

# Explicit $U(2)$ matrices and the emergence of electroweak charges

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## Abstract

We make the  $U(2)$  structure completely explicit in terms of  $2 \times 2$  matrices and show how Woit's [1] construction on  $\Lambda^*(\mathbb{C}^2)$  reproduces the chiral electroweak charges of the first lepton and quark generations.

## 1 Explicit form of $U(2)$ matrices

An element  $U \in U(2)$  is a  $2 \times 2$  complex matrix satisfying  $U^\dagger U = \mathbf{1}_2$ . A convenient parametrization is

$$U = e^{i\alpha} \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix}, \quad |a|^2 + |b|^2 = 1, \quad \alpha \in \mathbb{R}. \quad (1)$$

The overall phase  $e^{i\alpha}$  generates the  $U(1)$  factor, while the  $2 \times 2$  matrix

$$S = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \in SU(2), \quad \det S = 1, \quad (2)$$

generates the  $SU(2)$  factor. The Lie algebra generators of  $SU(2)$  in this representation are the Pauli matrices

$$T_1 = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad T_2 = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad T_3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad (3)$$

and the  $U(1)$  generator is proportional to the identity,

$$Y_0 = \mathbf{1}_2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}. \quad (4)$$

Exponentiating, a general  $U(2)$  element can be written as

$$U = e^{i\alpha Y_0} e^{i\vec{\theta} \cdot \vec{T}}, \quad \vec{\theta} \in \mathbb{R}^3. \quad (5)$$

## 2 Action of $U(2)$ on $\Lambda^*(\mathbb{C}^2)$

Let  $\mathbb{C}^2$  have basis  $\{e_1, e_2\}$ . The exterior algebra

$$\Lambda^*(\mathbb{C}^2) = \Lambda^0(\mathbb{C}^2) \oplus \Lambda^1(\mathbb{C}^2) \oplus \Lambda^2(\mathbb{C}^2) \cong \mathbb{C} \oplus \mathbb{C}^2 \oplus \mathbb{C} \quad (6)$$

has the explicit basis

$$\Lambda^0 : \{1\}, \quad \Lambda^1 : \{e_1, e_2\}, \quad \Lambda^2 : \{e_1 \wedge e_2\}. \quad (7)$$

An element  $U \in U(2)$  acts on  $\mathbb{C}^2$  by

$$\begin{pmatrix} e_1 \\ e_2 \end{pmatrix} \mapsto \begin{pmatrix} e'_1 \\ e'_2 \end{pmatrix} = U \begin{pmatrix} e_1 \\ e_2 \end{pmatrix} = \begin{pmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \end{pmatrix}, \quad (8)$$

so explicitly

$$e'_1 = u_{11}e_1 + u_{12}e_2, \quad e'_2 = u_{21}e_1 + u_{22}e_2. \quad (9)$$

The induced action on  $\Lambda^*(\mathbb{C}^2)$  is:

- On  $\Lambda^0$ :  $1 \mapsto 1$  (trivial representation).
- On  $\Lambda^1$ : the fundamental doublet,

$$\begin{pmatrix} e_1 \\ e_2 \end{pmatrix} \mapsto U \begin{pmatrix} e_1 \\ e_2 \end{pmatrix}. \quad (10)$$

- On  $\Lambda^2$ :

$$e'_1 \wedge e'_2 = (u_{11}u_{22} - u_{12}u_{21}) e_1 \wedge e_2 = \det(U) e_1 \wedge e_2. \quad (11)$$

Using the explicit form (1), we have

$$\det(U) = e^{2i\alpha}, \quad (12)$$

so under the  $U(1)$  phase  $e^{i\alpha}$  the three components transform with weights

$$\Lambda^0 : e^{0 \cdot i\alpha}, \quad \Lambda^1 : e^{1 \cdot i\alpha}, \quad \Lambda^2 : e^{2 \cdot i\alpha}. \quad (13)$$

The  $SU(2)$  part acts nontrivially only on  $\Lambda^1$ , making it a doublet, while  $\Lambda^0$  and  $\Lambda^2$  are  $SU(2)$  singlets.

### 3 Lepton hypercharges from $U(2)$ weights

Woit's identification for a lepton generation is

$$\Lambda^0(\mathbb{C}^2) \sim \nu_R, \quad \Lambda^1(\mathbb{C}^2) \sim (\nu_L, e_L), \quad \Lambda^2(\mathbb{C}^2) \sim e_R. \quad (14)$$

From the  $U(1)$  weights above, we assign a hypercharge  $Y$  proportional to the  $U(1)$  weight  $k$  on  $\Lambda^k$ . Choosing

$$Y = -k, \quad (15)$$

we obtain

$$Y(\Lambda^0) = 0, \quad Y(\Lambda^1) = -1, \quad Y(\Lambda^2) = -2. \quad (16)$$

Thus:

- $\nu_R$  (from  $\Lambda^0$ ) is an  $SU(2)$  singlet with  $Y = 0$ ;
- $(\nu_L, e_L)$  (from  $\Lambda^1$ ) form an  $SU(2)$  doublet with  $Y = -1$ ;
- $e_R$  (from  $\Lambda^2$ ) is an  $SU(2)$  singlet with  $Y = -2$ .

These are precisely the Standard Model electroweak quantum numbers for a lepton generation, with electric charge given by  $Q = T_3 + Y/2$ .

## 4 Quark hypercharges via a vacuum shift

For quarks, Woit uses the same  $U(2)$  action but shifts the “vacuum”  $U(1)$  charge so that the average hypercharge of a full generation (leptons plus quarks) vanishes. Concretely, starting from the lepton hypercharges  $Y_{\text{lep}} = \{0, -1, -2\}$  on  $\Lambda^0, \Lambda^1, \Lambda^2$ , one introduces a constant shift

$$Y_{\text{quark}} = Y_{\text{lep}} + Y_0, \quad (17)$$

and chooses  $Y_0$  so that the resulting assignments match

$$(u_L, d_L) : Y = +\frac{1}{3}, \quad u_R : Y = +\frac{4}{3}, \quad d_R : Y = -\frac{2}{3}. \quad (18)$$

In this way, the same explicit  $U(2)$  matrices (1) acting on  $\Lambda^*(\mathbb{C}^2)$ , together with an appropriate choice of overall  $U(1)$  normalization and shift, reproduce the chiral electroweak charges of the first generation of leptons and quarks.

### Reference

[1] <https://ai.vixra.org/abs/2503.0012>