# 1 Article

# 2 Transmission of information in evolution

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6 Abstract: The concept of cosmic evolution expands the concept of evolution from purely biological 7 evolution to include the physical evolution of stars and planet Earth and complex prebiotic 8 molecules, and also the cultural evolution, or technological development, of humans to the present 9 day. The process of evolution is a process containing three essential elements: 1) variation, 2) 10 selection, and 3) transmission to the next generation. It is an iterated process because the result of 11 each generation is fed into the process again to give the next generation. The first living cells 12 provided variation by mutation, and were subject to natural selection. Much later, sexual 13 reproduction came about and the process of evolution acquired a new "layer" whereby variation 14 was instead provided by a random combination of genes from each parent, selection was by sexual 15 selection, and two sets of chromosomes are transmitted to the next generation. Further investigation 16 reveals that subsequent cultural evolution, such as the use of tools and the invention of language, 17 also conforms to the variation-selection-transmission process. Furthermore, there are indications 18 that the transmission process follows a pattern which is commonly found in iterative processes. It 19 changes, and incurs an increased cost, at intervals that decrease by a constant factor equal to 20 4.66920..., otherwise known as the Feigenbaum Constant. Such patterns of decreasing intervals 21 normally reach an accumulation point and transition to chaotic behaviour, an event which appears 22 to be due to occur in about two hundred years from now.

Keywords: evolution; chaos theory; cosmic evolution; complexity; period-doubling; Feigenbaum
 Constant δ;

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# 26 1. Chance and inevitability: Chaos theory and evolution

The dominant narrative of evolution in modern times is that it proceeds at a variable rate, largely decided by changes in the environment. This narrative has been formalized as the theory of Punctuated Equilibrium. However, research shows that rate of evolution is hardly affected by environmental changes. and then, while environment affects abundance, it has little effect on speciation or extinction [New Scientist, The chaos theory of evolution, Keith Bennett **REFERENCE**].

This opens up the possibility that evolution proceeds at a constant speed and may be predictablein certain respects.

34 The neo-Darwinian understanding of evolution is that it is basically a process of variation – 35 caused by random genetic mutation – and Natural Selection. Successful variation survives and forms 36 the basis for further variation in what is a simple iterative process.

- 37 Simple iterative processes are often studied with the help of chaos theory, but this has not been38 the case with evolution. However, chaos theory has been used in the study of population dynamics.
- 39 Darwin's got the idea for his theory of natural selection while reading Thomas Malthus' book40 on population. So there is a good precedent for applying population theory to evolutionary theory.
- 41 That is what I attempt to do in this paper.

# 42 1. Aim of this paper

- 43 Here is an overview of what I try to do in this paper:
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| 45 |   |
|----|---|
| 46 | Population instabilities caused not by a temporary drop in a food supply, but a permanent drop          |
| 47 | in food supply due to faster and faster adaptation rates due to transformative events.                  |
| 48 | Food is normally in equilibrium until predator adaptation rate pulls away, causing increasing           |
| 49 | bifurcations doubling according to the number of feedback channels.                                     |
| 50 |   |
| 51 | • Extend the ideas and mathematics of Population Dynamics to cosmic (physical, biological and           |
| 52 | cultural) evolution, particularly the phenomenon of population bifurcations at intervals                |
| 53 | decreasing according to the Feigenbaum Constant $\delta$ , 4.66920                                      |
| 54 | • Notice that some events in the history of evolution introduce new ways to transmit information        |
| 55 | to the next generation.   |
| 56 | • Show how decreasing birth rate intervals in population dynamics can be translated into time           |
| 57 | intervals in evolution:   |
| 58 | <ul> <li>"Birth rate causing increased consumption"</li> </ul>  |
| 59 | replaced by   |
| 60 | o "time (and competition?) causing increased complexity causing increased                               |
| 61 | fitness causing increased consumption"  |
| 62 | • Show a possible pattern of evolutionary events that conforms to the pattern of population             |
| 63 | bifurcations, with time intervals decreasing by the Feigenbaum Constant $\delta$ , 4.66920              |
| 64 | • Attempt to define common criteria for the events in order to address cherry-picking. The criterion    |
| 65 | is, "a different way to transfer information", where "different" is identified by increased cost of the |
| 66 | transmission process.   |
| 67 | <ul> <li>Suggest a mechanism for population bifurcations in the history of evolution.</li> </ul>        |
| 68 |   |
| 69 | I hope the results may be of sufficient interest to warrant further investigation.                      |
|    |   |

# 70 **1.2. Cosmic Evolution**

# 71 1.1. Different kinds of evolution

When we think of evolution, we generally think of biological evolution. But there are other kinds of evolution, both before and after biological evolution. First there was physical evolution, which started at the moment the universe was created and which includes the evolution of stars and planets and eventually the evolution of complex molecules that were precursors of life. More recently there is cultural evolution, whereby humans progress without the need to evolve biologically [1]. The holy grail of the study of physical, biological and cultural evolution is to unite all three of them into a single theory of cosmic evolution [1].

Considering the whole history of the universe, the impression we get is that there is acceleration in evolution. For example, it took 3 billion years of single-celled life before life on Earth moved on to multicellular plants and animals, whereas much cultural evolution has happened on a timescale of a few thousand years.

83 1..2. Common ground

Physical, biological and cultural evolution are different, but two of them – biological and cultural
evolution share at least one similarity – they both developed several means to pass on information to
the next generation. The different means are shown in table mff.

| 0 | 0 |
|---|---|
| 0 | 0 |

| Evolutionary stage  | Type of evolution | Means of passing on information to next generation   |
|---------------------|-------------------|--|
| Life                | Biological        | Passes on a copy of the genetic code (RNA or DNA).   |
| Sexual reproduction | Biological        | Passes on a random mix of the genes of both parents. |
| Parental teaching   | Cultural          | Young are taught by demonstration                    |
| Spoken language     | Cultural          | Teaching of and by speech                            |
| Written language    | Cultural          | Teaching of writing                                  |
| Printing            | Cultural          | Information transmission via printed texts           |
| Internet            | Cultural          | Instant transmission of information                  |

89 Table mff. New means of passing on information

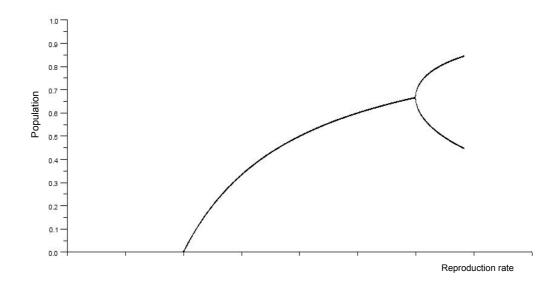
90 Looking at table mff it is apparent that the time interval between innovations in transmitting 91 information to the next generation has got smaller with each event.

#### 92 1.2. Population Dynamics

93 The study of evolution has much in common with the study of population dynamics. 94 Populations of species in ecosystems are studied using mathematical formulae to simulate on a 95 computer the effects of births, deaths and eating habits upon the population numbers. The population 96 of each generation is calculated from the population of the previous generation. This calculation can 97

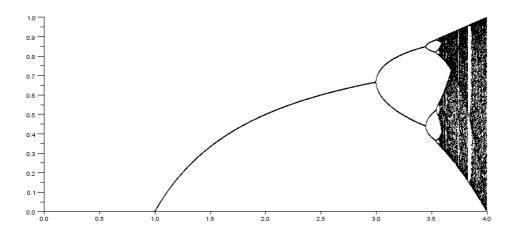
be run many times to simulate many generations in order to see the long-term population trend.

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102 Figure 1a. The population diagram in figure 1a shows population increasing quickly at first and then 103 more slowly as the birth rate increases. Then a bifurcation occurs, after which the population oscillates 104 between 2 values.



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Figure 1. After the first bifurcation, further bifurcations occur. The interval between the bifurcations gets smaller and smaller by a factor which converges to 4.66920..., which is called the Feigenbaum constant δ. (The intervals decrease to zero at the so-called Accumulation Point, at birth rate of around 3.6 on the x-axis, after which the pattern becomes chaotic.)

The diagram in figure 1 shows how equilibrium population levels of a species (y-axis) can vary depending on the birth rate (x-axis) of the species. As the birth rate is increased there is an increase in population. At a certain population so much food in the environment is consumed that there is not enough food the following year. This causes the population to fall the following year. The food source then recovers, and the population also recovers. But the same over-consumption happens again and the pattern repeats. The population will eventually settle down to an equilibrium with a repetitive pattern where it alternates been a high and a low level (for example in figure 1a).

Further increases in reproduction rate cause further bifurcations and the population settles to a
4-year cycle, then 8-year, etc. At even higher reproduction rates, the population level becomes chaotic,
with no fixed cycle (figure 1).

120 1.3. The Feigenbaum constant  $\delta$ 

An interesting feature of these so-called *period-doubling* bifurcations is that – no matter the exact
 form of the mapping – the interval between them (on the horizontal axis) always gets smaller and
 smaller by a factor which converges to 4.66920..., which is called the Feigenbaum constant δ. The
 intervals decrease to zero at the so-called Accumulation Point (at birth rate of around 3.6 in figure 1)
 after which the pattern becomes chaotic.

- 126 1.4. Similarities between population dynamics and evolution
- 127 There are ways in which population dynamics and evolution are similar:
- Both processes can be simulated mathematically by iteratively applying the same mapping over and over.
  - They both have decreasing intervals between events:
  - In population dynamics, the interval between bifurcations (measured in birth rate) decreases.
    - In evolution the interval between events (measured in time) decreases.
    - Also, both may have the same pattern of feedback thresholds:
  - In population dynamics there are several thresholds of consumption of resources beyond the carrying capacity of the ecosystem due to increasing birth rates, where each threshold incurs negative feedback which affects the population level. Each threshold crossed requires twice the time to recover to the highest population level. Intervals between the thresholds diminish according to the Feigenbaum Constant

- 140 o In evolution, mentioned above, we can find at least two biological 141 information channels and five cultural information channels (see table mff), 142 each of which allows evolutionarily useful information to be transmitted to 143 the next generation. Each of these channels form at different points in time 144 when all the pieces needed for that channel fall into place. I will try to show 145 below that the creation of these information channels follows the same 146 pattern of thresholds and intervals diminishing according to the 147 Feigenbaum Constant, and also cause negative feedback in the population 148 level.
- 149 *1.4. Birth rate intervals*

150 The intervals in population diagram are not time intervals, but birth rate intervals, using the 151 mapping:

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- 153 154

 $\mathbf{x}_{n+1} = a \cdot \mathbf{x}_n (1 - \mathbf{x}_n)$ 

where n is the generation,  $x_n$  is the population at generation n, *a* is the birth rate.  $(1 - x_n)$  represents resources left for generation n+1. This mapping generates the bifurcations, the source of which is the lack of food resources, as mentioned previously.

158 1.4. Changing the parameter from birth rate to time

In trying to apply the mathematics of population dynamics to evolution, does it make sense to
use time instead of birth rate? Birth rate affects population, obviously, but also affects consumption
of resources.

But what if we look at what happens on an evolutionary timescale? We can expect the species to evolve towards higher complexity with time due to competition. Increased complexity would probably mean increased food consumption and increased birth rate. The causal chain "Elapsed time  $\rightarrow$  Increased complexity  $\rightarrow$  Increased birth rate" would mean that we could replace the birth rate on the x-axis of a population diagram with either complexity or time<sup>1</sup>.

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| Population d | lynam | l <mark>ics:</mark>   |
|--------------|-------|---|
|              | 0     | Increased birth rate $\rightarrow$ increased consumption  |
|              |       |   |
| Evolution:   |       |   |
|              | 0     | Elapsed time $\rightarrow$ increased complexity $\rightarrow$ increased fitness $\rightarrow$ increased |
|              |       | consumption   |
|              |       | · · ·   |

175 1.4. Chance and inevitability

How does one measure complexity? There is no agreed universal measure of complexity – no
less than 15 different ways to measure it are in use in different disciplines. I will assume it is a number
and that it increases by the same amount with each mutation.

179 *1.4. Evolution* 

For evolution, the mapping is the same as for population dynamics, but with birth rate replacedby complexity or time:

<sup>&</sup>lt;sup>1</sup> This ignores the question of linearity. But the pattern of decreasing intervals means that any smooth function will tend towards linearity as the interval gets smaller – because any smooth curve will look straighter and straighter as one looks at smaller and smaller pieces of it. So linearity can be ignored in this case.

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$$x_{n+1} = c.x_n(1 - x_n)$$

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185 where n is the generation, xn is the population at generation n, c is the complexity, or time. 186 Mathematically, this mapping should also generate population bifurcations. In population dynamics, 187 the population bifurcations are caused by food depletion due to increased birth rate. I suggest that, 188 on an evolutionary timescale, the increased birth rate *is caused by a step change increase in fitness of the* 189 *species in question. These step changes in fitness are in turn caused by the creation of new processes at pre-*190 *determined thresholds in complexity.* 

#### 191 1.4. Population Bifurcation Diagram for the whole of time.

192 It would be very helpful to have a diagram that covers the whole of evolution, so we cannot 193 follow just one species. We can assume that the line on the diagram always refers to species that are 194 ancestors of modern humans. We need to choose the variables on the diagram to compensate for fact 195 that the different species in our ancestry have had different numbers of offspring, different 196 reproduction patterns, etc. I shall assume such changes can be made.

197 *1.4. Calculating dates* 

198Taking the 7 events in table mff, it happens that we know the dates of the last 3 events fairly199accurately (see table 2). The Feigenbaum constant 4.66920 matches the ratio of intervals between these2002 are the site of the last 3 events fairly

200 3 events, within the margins of error (between 3.92 and 4.84).

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| New means of<br>passing on<br>information | Date (upper &<br>lower limit) | Years before<br>2000 (upper &<br>lower limit) | Interval since<br>previous event<br>(upper & lower<br>limit) | Ratio of previous<br>interval to this<br>interval (upper &<br>lower limit) |
|---|-------------------------------|---|--|--|
| Writing                                   | 3400-2600 BCE [2]             | 5,400-4,600 years                             | (Not applicable)   | (Not applicable)   |
| Printing                                  | 1039-1048 CE [3]              | 961-952 years                                 | 3639 to 4448 years   | (Not applicable)   |
| Internet                                  | 1967 CE [4]                   | 33 years                                      | 919 to 928 years   | Between 3.92 and 4.84  |

# 205 **Table 2.** New means of passing on information

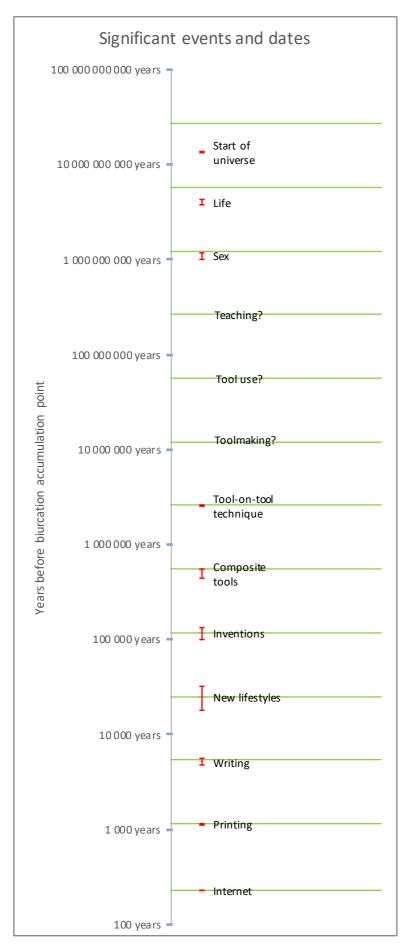
#### 206 3.2. Calculation of theoretical dates

So now we have three events (Writing, Printing, Internet) with fairly accurate dates. What
happens if we work backwards from them using the Feigenbaum constant to see if we can get any
other dates of important evolutionary events?

I tried different years within the date range 1039-1048 for the invention of the printing machine (first invented in China) and found that 1048 gives the best fit to other dates in evolution. Further dates back in time are calculated by simply multiplying every interval by the Feigenbaum constant 4.66920 as follows:

$$A_{n} = A_{n+1} + 4.66920 \times (A_{n+1} - A_{n+2})$$
(2)

- 214 where A<sub>n</sub> is the date of event *n*, and using following starting values:
- Date of the Internet,  $A_{12} = 1967$  CE
- Date of the Printing Machine, A<sub>11</sub> = 1048 CE
- 217



220Figure 1. Events in evolution shown on a logarithmic time scale (measured from the Accumulation221Point, where the sequence converges around the year 2217). Green lines are the dates predicted by222the Feigenbaum Constant  $\delta$  (= 4.66920...). The accuracy of known dates are shown by the red error223bars which show the margin of error. Dates for Teaching, Tool use, and Tool-making are not known.224The other dates match the predicted dates, except for the first two dates which nevertheless indicate225a growing convergence to the predicted dates, as is normal.

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| Innovation matching the<br>date | Known date (years before 2000)   | Known<br>interval since<br>previous<br>innovation<br>(upper &<br>lower limit) | Theoretical interval<br>since previous<br>innovation | Interval<br>error |
|---------------------------------|----------------------------------|---|--|-------------------|
| 1. Start of universe            | 13.820 – 13.778 by [5]           | n/a   | n/a  |                   |
| 2. Life                         | 4.28 – 3.77 by [6]               | 10.05 – 9.498 by  | 21.13 by (=919×4.6692011)                            | 110%              |
| 3. Sex & multicellularity       | 1.2 – 1.0 by [7] [8]             | 3.28 – 2.57 by  | 4.53 by (=919×4.6692010)                             | 38%               |
| 4. Behavioural flexibility      | unknown                          | unknown   | 969 my (=919×4.66920 <sup>9</sup> )                  | unknown           |
| 5. Using tools                  | unknown                          | unknown   | 207 my (=919×4.66920 <sup>8</sup> )                  | unknown           |
| 6. Making tools                 | unknown                          | unknown   | 44.5 my (=919×4.669207)                              | unknown           |
| 7. Tool-made tools              | 2.60 – 2.55 my [9]               | unknown   | 9.52 my (=919×4.66920°)                              | unknown           |
| 8. Composite tools              | 550 – 450 ty [10][11][12]        | 2.15 – 2.00 my  | 2.04 my (=919×4.66920 <sup>5</sup> )                 | 0%                |
| 9. New inventions               | 135 – 100 ty [13]                | 450 – 315 ty  | 437 ty (=919×4.669204)                               | 0%                |
| 10. New livelihoods             | 32 – 18 ty [14]                  | 117 – 68 ty   | 93.6 ty (=919×4.669203)                              | 0%                |
| 11. Writing                     | 5.4 – 4.6 ty (3400-2600 BCE) [2] | 27.4 – 12.6 ty  | 20.0 ty (=919×4.66920 <sup>2</sup> )                 | 0%                |
| 12. Printing                    | 961 – 952 y (1039-1048 CE) [3]   | 4,448 – 3.639 y   | 4,291 y (=919×4.669201)                              | 0%                |
| 13. Internet                    | 33 y (1967 CE) [4]               | 928 – 919 y   | 919 y (=919×4.66920°)                                | 0%                |
| (Sum of unknowns                |                                  | 1.22 – 0.78 by  | 1.23 by  | 0.8%)             |

# 228 Key: by = billion years, my = million years, ty = thousand years, y = years

229Table 1. Predicted dates and matching evolution events. The predicted dates are at intervals that230decrease by the factor 4.66920, the Feigenbaum Constant δ. There are 4 unknown intervals, due to 3231events that I have assumed to be part of the series, but for which we have no dates, namely: parental232teaching, tool-use, and tool-making. Note that there is a large error at the beginning (start of the233universe and life), which apparently converges quickly to the theoretical value. This convergence234from a different interval is normal for period-doubling bifurcations.

235 1.3. Events with unknown dates

I have included three events which we know definitely happened, for which we do not know the dates – 1) Behavioural flexibility & Parental teaching, 2) Tool use, and 3) Tool-making. But we know what happened around the predicted dates, so we can find circumstantial evidence to back up the events. (We know that behavioural flexibility and parental teaching came first, because tool use and tool-making rely on them, and that tool use must have come before tool-making.)

- Behavioural Flexibility & Parental Teaching. These two go together because when discovering new useful behaviours, they will be unlikely to have an evolutionary impact unless they are passed on to one's offspring. The date predicted for this (264 million years ago [15]) is very close to the appearance of Cynodonts (260 million years ago), which were immediate ancestors to mammals. If not Cynodonts, it may have been their ancestors, Therapsids.
- **Tool use.** The date predicted for tool use (56.6 million years ago) is soon after monkeys evolved (60 million years [16]), and we know that monkeys use tools and that now-extinct monkeys were likely the first animals to do so.

250 Tool-making. The date predicted for tool-making (12.1 million years ago) is close to when 251 great apes evolved (11.9 million years ago), and we know that great apes make tools. Now-252 extinct great apes of their immediate ancestors may have been the first animals to make tools.

#### 253 1.x. Tools

254 The definition of a tool is an object used to extend the ability of an individual to modify features 255 of the surrounding environment. For example, a stone can be used as a tool to break nutshells. A 256 bird's nest is not a tool, because it is not used to perform actions on things.

257 To manipulate an object with a tool, the tool has to be incorporated into the tool-user's body 258 schema, which is a collection of processes by which the working surface of the tool can be placed at the 259 intended position and angle by moving the *held part of the tool* with (usually) the hand or fingers.

260 1.x. The predicted events

261 I have listed the predicted dates (generated backwards from the events Writing, Printing, and 262 Internet) in table y and matched them with evolutionary events that fit the dates and also could be 263 interpreted as representing new forms of evolution. The events are also shown on a logarithmic scale 264 in figure x.

265 The result is a total of 13 events where the time interval converges to the Feigenbaum Constant 266  $\delta$  = 4.66920.... The dates match remarkably well (all within the error margin of known dates), with 267 the exception of the first two dates, which nevertheless show a convergence to the Feigenbaum 268 constant.

269 1.13. Common characteristics of the events

At each event, we find the following:

- TRANSFORMATIVE INNOVATION: An innovation, consisting of one or more major • parts, that transcends the current evolutionary process, adding a faster means of generating evolutionary solutions.
- VARIATION: Generation of variation in evolutionary solutions of a particular type ٠ 276 (different type for each stage). Each new stage (for species that adopt it) provides faster rate 277 of evolutionary variation. Each variation generated is at some point subject to selection. It 278 can be selected (successful) or not selected (unsuccessful).
- 279 SELECTION: The process of selection where solutions produced by the "VARIATION" • 280 stage are selected for continued existence, or not. There are basically three kinds of Selection: 281 Natural Selection (of individuals), Sexual Selection (of individuals), and Conscious Selection 282 (by animals of their own individual solutions when an animal decides to pass on information 283 -in the form of skills or information – to their offspring.).
- 284 • INFORMATION TRANSMISSION: A way of passing on the successful evolutionary 285 solutions to future generations in a suitable format to match the class of solutions being 286 created. It is possible that true that each Transformative Innovation contains a fundamentally 287 new kind of information, which may correspond to a new cognitive level, and that this new 288 information may require an addition or "upgrade" in the process of Transmission for each 289 new stage. 290
- 291 The characteristics of each event are shown in table v.
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| Stage of<br>Evo-<br>lution                            | Transform-<br>ative<br>Innovation  | Source of<br>variation<br>Each new<br>stage becomes<br>the main<br>driver of<br>evolution by<br>providing a<br>faster rate of<br>useful<br>evolutionary<br>solutions<br>and/or<br>improvements | Scope<br>of varia-<br>tion                                      | Selec-<br>tion   | Inform-<br>ation<br>Transmis<br>sion<br>to the next<br>generation      | Comments<br>Each new stage:<br>• Requires the<br>previous stage<br>• Does not<br>replace, but<br>adds to the<br>previous stage<br>• Co-evolves with<br>previous stages |
|---|--|--|---|--|--|--|
| 1.<br>Universe  | The universe   | Random<br>molecular<br>change  | All<br>possible<br>molecular<br>combin-<br>ations               | None<br>(no life,<br>no<br>selection<br>)  | None<br>(no life, no<br>trans-<br>mission)                             |  |
| 2.<br>Self-<br>replicatin<br>g single-<br>celled life | The self-<br>replicating cell  | Random<br>mutation.  | Single-cell<br>physical<br>and<br>behaviour<br>traits.          | Natural selection  | Genetic<br>code -<br>passed on in<br>cell division                     | The first living cells<br>contained many<br>innovations.   |
| 3.<br>Sex and<br>Multi-<br>cellularity                | Sexual<br>reproduction<br>and complex<br>multicellularity.   | Random<br>mixing of<br>genes that<br>come from<br>parents that<br>have already<br>proved to<br>have sufficient<br>fitness to<br>survive  | Multi-<br>cellular -<br>physical<br>and<br>behaviour<br>traits. | Sexual<br>selection  | 2x genetic<br>code:<br>complete<br>genetic code<br>from each<br>parent | Two innovations here (sex<br>enables complex<br>multicellularity).   |
| 4.<br>Behav-<br>ioural<br>Flexibility                 | Alternative<br>behaviours in<br>different<br>situations,<br>instead of a<br>genetically<br>programmed<br>response. | Trial and error<br>behaviour in<br>different<br>situations.  | All<br>possible<br>be-<br>haviours.                             | Conscio<br>us eval-<br>uation<br>and<br>selection<br>of each<br>behav-<br>iour<br>(instead<br>of<br>selection<br>of the<br>whole<br>living<br>individ-<br>ual) | Parental<br>teaching by<br>demonstrati<br>on                           |  |
| 5.  | Finding ways to<br>use found<br>objects as tools,  | Trial and error<br>using found   | All<br>possible   | (Same as<br>above)   | Parental<br>teaching of<br>found tool                                  | Co-evolution favours<br>genetic changes that<br>enhance use of particular  |

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|   | 1 -   |   |   |                    |   |  |
|---|---|---|---|--------------------|---|--|
| Using<br>found<br>tools<br>6.<br>Making<br>tools                        | thereby<br>extending the<br>body faster than<br>evolving<br>biological body<br>extensions.<br>Techniques to<br>replicate found<br>tools   | objects as<br>tools.<br>Trial and error<br>manufacture<br>of copies of<br>found tools.                  | uses of<br>tools<br>found in<br>the<br>environme<br>nt.<br>All<br>possible<br>hand-<br>made<br>tools that<br>replicate<br>or<br>improve<br>upon<br>found<br>toold | (Same as<br>above) | skills,<br>including<br>giving tool<br>to young<br>("tool<br>transfer")<br>Parental<br>teaching of<br>toolmaking<br>skills,<br>including<br>tool manu-<br>facture for<br>teaching | tools or tools in general,<br>such as brain size.<br>variation in the<br><b>development, production</b><br><b>and use</b> of made tools?.  |
| 7.<br>Tool-<br>made<br>tools  | Tool-made tools,<br>i.e. any object<br>held as a tool in<br>one hand while<br>being made<br>using a tool in<br>the other hand,<br>which gives<br>complete control<br>over the process<br>(e.g. freehand<br>stone knapping). | Higher<br>precision tools<br>possible with<br>tool-on-tool<br>technique.                                | tools.<br>Higher<br>quality<br>tools.   | (Same as<br>above) | Parental<br>teaching of<br>tool-made<br>tool skills.  | This level of tool-making<br>may have required (and<br>co-evolved with) a<br>rudimentary spoken or<br>gestural language.   |
| 8.<br>Compo-<br>site tools  | Composite tools,<br>i.e. tools made<br>from separate<br>parts fastened<br>together  | Greater<br>possibilities to<br>make optimal<br>tools by using<br>different<br>materials.                | Improve-<br>ment on<br>simple<br>tools.   | (Same as<br>above) | Parental<br>teaching of<br>composite<br>tool skills.  | This level of tool-making<br>may have required (and<br>co-evolved with) a<br>rudimentary spoken<br>language.   |
| 9.<br>New<br>invent-<br>tions   | Made objects<br>that have new<br>functions (i.e.<br>not just better<br>versions of<br>found objects).   | Ability to<br>imagine new<br>kinds of<br>tools for new<br>kinds of uses.                                | Tools<br>limited<br>only by<br>current<br>techno-<br>logy.  | (Same as<br>above) | Parental<br>teaching of<br>the use of<br>various<br>inventions +<br>language?   | Extending manufacturing<br>skills beyond the<br>traditional hunting,<br>scavenging and gathering<br>activities may have<br>required some kind of<br>primitive language to give<br>names to new inventions. |
| 10.<br>Social<br>inno-<br>vations<br>(and<br>complete<br>language?<br>) | New forms of<br>organisation for<br>specific ends.  | Imagining<br>improvements<br>and changes<br>in livelihood.<br>Fully<br>developed<br>spoken<br>language. | Unlimited<br>scope new<br>for liveli-<br>hoods.   | (Same as<br>above) | Parental<br>teaching of<br>spoken<br>language.  | Social Innovations must<br>have been enabled by<br>invention of<br>communication using<br>fully-developed spoken<br>language.  |
| 11.<br>Writing  | The invention of<br>Writing, which<br>increases the   | Creativity in<br>inventing<br>useful kinds of   | All<br>possible<br>hand-  | (Same as<br>above) | Parental or<br>school<br>teaching of  |  |

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|   | mind's capacity<br>by storing<br>information<br>externally on<br>media which<br>can be shared.   | documents<br>(contracts,<br>accounts,<br>laws, etc.)                              | written<br>docu-<br>ments.   |  | reading and<br>writing   |   |  |
|---|--|---|--|--|--|---|--|
| 12.<br>Printing   | The moveable<br>type printing<br>machine,<br>making<br>knowledge<br>available to the<br>majority, not<br>just elites.  | Knowledge<br>and creativity.  | Limited<br>only by<br>current<br>know-<br>ledge.                           | (Same as<br>above)                                   | Parental or<br>school<br>teaching<br>with the aid<br>of books    |   |  |
| 13.<br>The<br>Internet<br>14. Sub-<br>sequent   | The Internet<br>computer<br>network.   | Creativity.   | All<br>possible<br>online<br>services.                                     | (Same as<br>above)                                   | Parental or<br>school<br>teaching<br>with the aid<br>of Internet | The Internet is becoming<br>the main repository of<br>information, with a search<br>facility that mimics that of<br>the mind. |  |
| <ul> <li>Here is</li> <li>Eve</li> <li>Tra</li> <li>For</li> <li>Info</li> <li>Startin</li> </ul> | •  | vation: <b>Beginni</b><br>solutions subject<br>sion to next gen<br>low complexity | <b>ng of the u</b><br>Sted to select<br>eration: <b>(N</b><br>y, the state | universe<br>tion: Atom<br>ot applical<br>of the univ | s/molecules<br>ble – no livir<br>verse increase                  |   |  |
| <ul> <li>Tra</li> <li>For</li> <li>Info</li> <li>The ea</li> </ul>                                | <ul> <li>Transformative innovation: Self-replication</li> <li>Form of evolutionary solutions subjected to selection: DNA-defined cellular traits</li> <li>Information transmission to next generation: DNA copying The earliest cells replicated themselves by growing and dividing into two cells. Each cell has copies of the genetic code which contains all the information the cell needs to grow and replicated</li> </ul> |   |  |  |  |   |  |
| • Tra<br>mu   | <ul> <li>Event number: 3</li> <li>Transformative innovation: Sexual Reproduction and Complex (i.e. differentiated cell) multicellularity</li> <li>Form of evolutionary solutions subjected to selection: DNA-defined multicellular traits</li> </ul>   |   |  |  |  |   |  |

#### • Information transmission to next generation: Sexual Reproduction

Multicellularity with differentiated cells (e.g. muscle cells, brain cells, etc) – known as *complex multicellularity* – is probably necessary for intelligent life to evolve. Plants and animals are multicellular. But multicellularity is apparently not viable without sexual reproduction. The reasons are complicated and not all evolutionary biologists are in agreement, but there is evidence that they evolve at the same time in red algae found in 1.2 billion year old rocks [8]. If this is the case, then sexual reproduction and complex multicellularity could be seen as different aspects of the same innovation.

Sexual reproduction also seems to evolve faster than simple self-replication (which is basically cloning). With self-replication, useful mutations occur, but often in different cells. There is no mechanism for the mutations to move so that they are both in the same cell, so each cell has to evolve the same mutations on its own. Sexual reproduction combines genes from 2 parents, which is a way of collecting good mutations into a single cell. 99% of all species today reproduce sexually, so it is clearly advantageous [20].

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• Event number: **4** 

#### • Transformative innovation: Behavioural flexibility and Parental teaching

• Form of evolutionary solutions subjected to selection: Novel behaviours

• Information transmission to next generation: Parental teaching

Cultural evolution goes back before language and before humans. *Social learning*, whereby young animals learn from their elders seems date back to the beginning of sexual reproduction or even earlier<sup>2</sup>. Parental Teaching, on the other hand, is a deliberate act which is more in keeping with the theme of deliberately pushing knowledge to the next generation (c.f. passing on DNA during Self-Replication, and shuffling genes for the benefit of offspring during Sexual Reproduction).

Teaching is any deliberate behaviour or change in behaviour in order to pass on information, such as performing a task more slowly in order to demonstrate it to another of the species. For example, meerkats teach their young how eat scorpions by giving them dead or disabled scorpions [24]. The young meerkats learn by imitation or emulation, and the knowledge gets passed on, shortcutting the biological genetic route for the passing on of knowledge. So Parental Teaching would seem to count as a new way of passing on information.

But if parental teaching is passing on information, what information is being passed on? Firstly, this is teaching of *learned* behaviour, not genetically-programmed teaching. Also, it presumably teaches behaviours which are not passed on by social learning because opportunities for observation are rare, or because learning the behaviour is difficult or dangerous. Such a case may be the meerkats' handling of scorpions. If the meerkats did not actively teach the behaviour, the behaviour may not

<sup>&</sup>lt;sup>2</sup> Social learning is a process whereby young animals learn from their elders. Social learning is very widespread, as most species interact with their young at the beginning of their lives [21] and it covers a whole spectrum of situations, including learning prior to birth. For example, the fact that new-born rats respond positively to foods that the mother ate during pregnancy is counted as social learning [22]. There is even evidence of social learning in other sexually-reproducing forms of life such as plants and microbes [23]. So social learning may be an inherent feature of sexually-reproducing life, or even self-replicating cells, with juveniles learning about other members of their own species at the same time as learning about everything else in their environment. That implies that social learning evolved slowly as multicellular animals evolved that the beginning of learning may count as part of the same innovation as the first sexual reproduction or the first cells. There is no sudden evolution of social learning and insofar as social learning affects evolution, it can perhaps be considered to be "factored in", in the same way that multicellularity also seemed to appear with sexual reproduction.

get passed on. This is an evolutionary shortcut, because new useful behaviours can be passed ondirectly through teaching instead of through genetic code mutation, which takes a long time.

While the teacher would have taught by demonstration, the pupil would have learned from the
 teacher by imitation, which is considered to be a symbolic means of communication. Animals already
 have a talent for this, probably from having practiced social learning.

364 We do not know when parental teaching first appeared, but the predicted date, 264 million years 365 ago, was about the time when Cynodonts emerged, which were descendants of pelycosaurs 366 ("mammal-like reptiles"), had mammal-like skulls and were ancestors of modern mammals. Some 367 cynodonts are thought to have engaged in parental care [25]. Some cynodonts were mammals, and 368 modern mammals have been observed teaching their young [24]. Parental care is thought to date 369 back even further to 520 million years ago [26], but that is not the same as parental teaching. That the 370 first parental teaching could have happened 264 million years ago with the cynodonts or their 371 immediate ancestors, the Therapsids, is not implausible.

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- Event number: **5** 
  - *Transformative innovation:* **Tool use**
  - Form of evolutionary solutions subjected to selection: Found tools
  - Information transmission to next generation: **Teaching tool use**

The use of tools is undoubtedly important in evolution. A tool is, in effect, an addition to the body. It instantly extends the body without having to wait for biological evolution [27]. The tools in question would basically be sticks and stones that happen to be lying around on the ground and used without modification for a useful purpose.

56.6 million years ago, the first monkeys had evolved. Monkeys use tools today [28], and it is
not implausible to suggest that they were the first to use tools 56.6 million years ago.

Chimpanzees have been observed teaching their offspring how to place nuts on a so-called anvil stone and crack them open using a stone of suitable size and weight [29]. While they are learning, young chimpanzees are allowed to use their mother's tools. This is called "tool transfer" and even without additional teaching, it fulfils all the criteria to qualify as teaching on its own because 1) it has a "cost" (giving up the tool to the pupil), and 2) the pupil learns from practicing with the tool [30].

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- Event number: 6
- *Transformative innovation:* **Making tools**
- Form of evolutionary solution subjected to selection s: Made tools
- Information transmission to next generation: **Teaching tool-making**

This is the time of the first great apes or hominids. Great apes have been observed making tools [31]. If teaching tool use is a significant new way to pass on information, then perhaps teaching toolmaking is too. Teaching the making of tools is a three-part process, usually in the following sequence: 1) Demonstration of how to use the tool; 2) Repeated tool transfer until use of the tool is mastered; 3) Teaching of how to make the tool [32]. Research on this subject is not extensive and there a few detailed descriptions in the literature of teaching the making of tools. The process of teaching young chimpanzees to use and make tools takes several years.

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# 403 *Possible levels of language?*

404 It is believed that language developed at some time during the period when the next 4 405 innovations occurred. We know that language had already developed by the time Writing was 406 invented. But we know very little about the development of language, as no trace was left apart from 407 the end result.

408 It seems unlikely that spoken language developed fully in one step, and it is often proposed that 409 it developed in two steps: for example, a primitive language and then a more sophisticated language 410 for the "Upper Palaeolithic Revolution" [33]. There are many different theories of language 411 development and none have explained in any detail how language evolved. The bifurcation pattern 412 suggests that there were four important innovations during this period. Could there have been four 413 levels of language that evolved step-wise? Each new level of language would ideally encode a new 414 kind of information than can be transferred to other individuals, and thus qualify as a new means of 415 transferring information. It is possible that the earliest forms of language mainly consisted of 416 gestures. Later forms would have been mainly spoken. There would be a progression from simple 417 grammar, or no grammar, to the grammatical structures we have today. They may have been changes 418 to enable discussion of imaginary scenarios, which would have been useful for problem-solving. 419 Brain size also co-evolved with language and tools [34]. The fact that language developed during 420 this period suggests that language may have been required for the tool innovations to happen.

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- Event number: 7
- Transformative innovation: Tool-on-tool technique
- Form of evolutionary solutions subjected to selection: **Objects made with tools**
- Information transmission to next generation: Tool-on-tool teaching (& language learning?)

427 2.6 million years ago was not the first time that stone tools were made. Stone tools made with
428 the "bipolar" technique using with an anvil stone have been dated to 700,000 years earlier [35]. But
429 the Freehand Knapping technique marks a significant advance.

A tool is an extension to the body. When a tool is held in the hand, it has to be incorporated into
mind's "body schema" so that the working tip of the tool can be moved as if it were a part of the body
[27]. Modern humans can do this easily, but our ancestors may not have been as proficient.

With the Freehand Knapping technique, a stone is held in each hand, without the support of an anvil stone. One stone is hit with the other to break off flakes. The movement of each hand has to be coordinated with the other hand. With the freehand technique, the tool being used and the object being made *both become extensions of the body*.

437 Although it required greater dexterity, early humans clearly found that this technique gave 438 better results, because they used it from then onwards. The freehand technique gives greater control 439 over the resulting flakes (although the bipolar anvil technique continued to be used for certain types 440 of stone and smaller stones that were difficult to work with the freehand technique) [36]. The 441 freehand technique required improved perceptual abilities, learning capacities and bimanual 442 dexterity compared with the bipolar technique [37]. The improved control eventually led to very 443 finely made stone tools, such as spear heads.

444 Experiments have shown that teaching modern humans the freehand flaking technique is more 445 effective if gestures are used during teaching , and even more effective if spoken language is used 446 [17]. So it may be that some form of language had evolved which enabled hominins to teach the 447 freehand technique to others. Modern humans, with more advanced innate tool abilities, can learn 448 the freehand knapping technique without language, but this may not have been the case for early 449 hominins. It has been suggested that hominins at this time engaged in social foraging which 450 demanded increased co-operation and communication, and that they may have developed gesture 451 as a means of communication [38].

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- Event number: 8
- Transformative innovation: Assembly techniques
- Form of evolutionary solutions subjected to selection: **Composite tools**
- Information transmission to next generation: **Composite tool teaching (& language learning?)**

The prime candidate for this innovation is the earliest known stone-tipped spear from 550,000-459 450,000 years ago [10][11][12]. The significance of this spear is that it is the first known example of a 460 composite tool. It had a wooden shaft and a sharpened stone tip attached to the shaft by a method 461 known as hafting. From this point onwards, early humans had the ability to conceive of a human462 made object made of more than one component and were able to construct one. This is a significant463 skill as most things made by humans today are composite objects.

464 Note that this is not a new tool, because spears had already been in use for a very long time, but
465 making a tool by making separate parts and joining them together is a new and important principle
466 for making things.

- 467 Just as with the Freehand Tool Technique, it may have been that a new language innovation was468 required to teach the making of composite tools.
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- Event number: **9** 
  - Transformative innovation: Creating new objects to solve problems
  - Form of evolutionary solutions subjected to selection: New inventions
  - Information transmission to next generation: Teaching use of inventions (& language learning?)

476 Boats, clothes, beads, harpoons, sewing needles, mortars and pestles, cloth, flutes, rope, pottery. 477 These are just some of the new things that humans started to make, beginning around 119,000 years 478 ago. It seems as though humans suddenly gained the ability to invent new things. It is significant that 479 everything that humans had made until this point were copies of the first tools used, which were 480 originally twigs and sharp sticks that were found lying around. The previous pinnacle of human 481 technology - the stone-tipped wooden spear - was a just superior version of a sharp stick first found 482 and used perhaps tens of millions of years before.

483 New inventions are considered to be associated with the Upper Palaeolithic Revolution [39], but
484 the first inventions came earlier and the archaeological record agrees with the bifurcation-predicted
485 date of 119,000 years ago.

This new ability for invention did not seem to require much advance in manual techniques so much as a new creativity or problem-solving ability. These new inventions would also possibly require new cognitive abilities to use and to explain to others, and may have been associated with new language abilities. A significant change in language associated with the Upper Palaeolithic Revolution has been proposed [33].

491 Of the earliest inventions here I use the date of the first bead necklace (135,000-100,000 years ago
492 [13]) for this innovation, because the although the dates for the other earliest inventions - boats and
493 clothes – fit the bifurcation pattern, evidence is circumstantial and without actual artefacts.

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- Event number: **10**
- Transformative innovation: Language and Organisational skills
- Form of evolutionary solutions subjected to selection: New livelihoods
- Information transmission to next generation: Language learning

500 The Neolithic Revolution supposedly began 12,000 years ago with the domestication of sheep 501 and various plants and led to the first agricultural civilisations. But the date predicted by the 502 bifurcation pattern is 24,900 years ago. This agrees with the date of the first animal to be domesticated, 503 which was the dog (32,000 - 18,000 years ago [14]). Dogs appear to have been an integral part of the 504 Neolithic revolution [40]. It is believed that humans and dogs worked in a mutually beneficial 505 partnership, initially in hunting [41], but later with herding. This partnership may have been 506 important in the move away from hunting, scavenging and gathering, to organising new livelihoods 507 leading to agriculture and civilisation.

508This innovation also seems to have come from crossing a cognitive threshold that may have been509associated with an advance in language. It seems to have enabled a capacity for inventing new510livelihoods. Communication must have been important to make these new livelihoods work. At some511point language seems have given humans to the capacity for logical reasoning and problem-solving.512We know from experiments that some kinds of problems can only be solved with the aid of language

513 [42]. Certainly, some kind of logical reasoning and problem-solving ability must have been necessary

- 514 for humans to abandon scavenging, hunting and gathering (which for tens of millions of years was
- 515 the only thing they knew how to do) and invent new ways of living, ending up with civilisation and 516 the specialisation of labour.
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- **5**18 Event number: **11** 
  - Transformative innovation: Writing
    - Form of evolutionary solutions subjected to selection: Handwritten works
    - Information transmission to next generation: Teaching of reading and writing

We know very little about the evolution of spoken language, but we do know a lot about written language. Much information is today passed on by the written word. The first writing was called Cuneiform and it was developed as a means to record trade, debt, and tax information [43]. It also enabled the recording of religious knowledge, literature, and medical texts. Without the aid of writing, humans would have had to evolve much increased memory abilities which, even if possible, would take a long time to evolve.

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- Event number: **12** 
  - Transformative innovation: Movable Type Printing (machine replication of writing)
- Form of evolutionary solutions subjected to selection: Printed works
  - Information transmission to next generation: Replication of knowledge

534 An important innovation in the transfer of information that happened after writing was invented 535 was the invention of the printing machine. To be more precise, the invention of movable type printing 536 in 1039-1048 CE [3]. This was perhaps the first machine for handling symbols. Movable type printing 537 had small printing blocks for each character which could be assembled together in a frame and used 538 to print text onto paper. The moveable type made the process of composing a page of text very quick 539 compared with the previous technique of carving wood blocks for printing. Movable type printing 540 was invented in China and later spread to Europe. The 400-year delay before it spread to Europe 541 could be thought to have slowed European development. When movable type printing arrived in 542 Europe, it was an instant success and may have made up for lost time by incorporating new 543 technological developments that had taken place in the meantime.

544 If evolution is about passing on information, the printing machine was the machine to do it. 545 Before printing, books were copied by hand, which made them very expensive and mainly owned 546 by wealthy establishments such as religious authorities.

Frinting democratised knowledge, putting into the hands of many more people. Science and mathematics, which were revolutionized by the invention of writing, were again boosted by the ability of printing to spread accurately-replicated knowledge, without the errors often caused by hand-copying.

- 551 Event 13 the Internet
- 552 Event number: **13**
- 553 Transformative innovation: Internet (knowledge search and delivery)
- Form of evolutionary solutions subjected to selection: Web pages and services
- 555 Information transmission to next generation: Search and delivery of knowledge

556 If we were to look for other, more recent examples of ways of transferring information, the 557 Internet comes to mind. The Internet is a store of information as well as a communication channel. It 558 contains search functions that allow us to find information far more quickly than before, and also to 559 find other people whom we might be interested in exchanging information with and instantly 560 communicate with them in a variety of different ways.

# 561 5. General features

- Only a few species take part in the latest stage of evolution perhaps only one species to begin with. Unrelated species can reach the same stage at a later date (e.g. tool use in crows).
- Previous stages do not disappear when the next stage starts.
- Each new stage adds to the previous stages, which remain active.
- Co-evolution: At each stage, there is likely to be co-evolution with previous (lower) stages.
   For example, tool development would have favoured individuals with better tool abilities, and may have favoured larger brains.
- 570 1.4. Defining Transformative Innovations by the cost of transmission to the next generation
- 571 The way to identify a Transformative Innovation seems to be whether it causes an essential 572 change in the transmission of information to the next generation. Most events in the history of 573 evolution don't do that.
- 574 In addition, it is not easy to define an essential change in transmission. Certainly just changing 575 for example, the swapping of teaching how to use one tool to teaching how to use a different tool
- does not count. But teaching how to *make* a tool instead of just how to *use* a tool is an essential change.One approach to this problem could be to list the costs to the parent of various information
- 578 transfer activities. Table it shows the costs of transferring knowledge to the next generation.

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| Stage of Evolution             | Costs of Information Transmission to next generation                       |  |  |  |  |
|--------------------------------|--|--|--|--|--|
| -                              | Each cost is additional to the cost of the previous stage                  |  |  |  |  |
| 1. Universe                    | None (no life, no transmission)  |  |  |  |  |
| 2. Self-replicating life       | Cost of copying genetic code for cell division.                            |  |  |  |  |
| 3. Sex and Multicellularity    | Cost of sex (more costly than cell division because males do not bear      |  |  |  |  |
|                                | offspring, and mother's offspring have only half of her DNA).              |  |  |  |  |
| 4. Behavioural Flexibility     | Cost of Parental teaching by demonstration                                 |  |  |  |  |
| 5. Using found tools           | Cost of giving tool to young, known as "tool transfer". The tool itself is |  |  |  |  |
|                                | part of the information passed on to the next generation                   |  |  |  |  |
| 6. Making tools                | Cost of showing how to make a tool as well as how to use it.               |  |  |  |  |
| 7. Tool-made tools             | As toolmaking, with extra cost of finding a tool to use.                   |  |  |  |  |
| 8. Composite tools             | Cost of teaching joining together of parts.                                |  |  |  |  |
| 9. New inventions              | Unknown cost (cost of teaching an incomplete spoken language?)             |  |  |  |  |
| 10. Social innovations         | Cost of teaching complete language.  |  |  |  |  |
| 11. Writing                    | Cost of teaching reading and writing.                                      |  |  |  |  |
| 12. Printing                   | Cost of books in teaching.   |  |  |  |  |
| 13. The Internet               | Cost of the Internet in teaching.  |  |  |  |  |
| 14. Subsequent events Unknown. |  |  |  |  |  |



Table it: Increasing costs of Information Transmission to the next generation is a possible way to 581 identify significant changes, and thereby identify Transformative Innovations.

582 I have not identified an additional cost for Event 9. I suspect that an incomplete spoken language 583 (which would involve an extra cost) was needed for this stage of development, not least because it 584 seems unlikely that a complete language – i.e. a language with all the capabilities of any spoken 585 language today - should appear fully-formed out of nowhere without incomplete languages to 586 precede it. It has been proposed that language developed in two stages. I suggest that language may 587 have developed in up to four stages, in tandem with tool use and social innovation.

588 The scenario I am suggesting is that one or more of the Transformative Innovations 7, 8 and 9 589 (tool-made tools, composite tools, new inventions, social innovation) required new levels of 590 communication in order to pass on necessary information.

591 The first stage may have been a gestural language as opposed to a spoken language. I suggest 592 that the language developments would co-evolve in lock-step with the practical innovations because 593 the practical innovations needed the language innovations, and the language innovations needed the 594 practical innovations in order to be used.

595 In this scenario, each step in language development would require some new concepts that 596 would incur a cost in order to transmit to the next generation.

597 As evidence for the co-evolution of tools and language, experiments have shown that teaching 598 modern humans the freehand flaking technique ("tool-made tools") is more effective if gestures are 599 used during teaching, and even more effective if spoken language is used [17].

600 1.4. In between Transformative Innovations

601 In between the Transformative Innovations there are thousands of innovations that are 602 absolutely essential to our evolution. But indispensable as they are, the hypothesis of this paper is 603 that only a handful of then changed the way that information is passed on to the next generation. The 604 transformative innovations are about the creation of new or enhanced channels to transmit 605 information to the next generation, not about what innovations are transmitted on those channels. 606 Just to give two examples:

607 **Cave paintings (44,000 years ago):** These are thought to have a religious significance and not • 608 used to teach offspring. They were nevertheless an essential innovation, not only because 609 image-making has been and still is essential to us, but because art led to pictograms and 610 writing.

611
 The Industrial Revolution (1760 - 1820): Despite the importance of the Industrial Revolution,
 612 it didn't originate a new way of passing on information to the next generation.

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|           | Mature stage  | $\rightarrow$ | New stage   |
|-----------|---|---------------|---|
|           | Cyclic molecular processes                          | $\rightarrow$ | Self-replicating Life                               |
|           | Complex DNA-determined single cells                 | $\rightarrow$ | Sex and differentiated multicellularity             |
|           | Sentient multicellular animals                      | $\rightarrow$ | Behavioural flexibility                             |
|           | Established culture of trying new behaviours        | $\rightarrow$ | Finding and using tools                             |
|           | Established tool-using skills                       | $\rightarrow$ | Making tools  |
|           | Established tool-making skills                      | $\rightarrow$ | Making objects with tools                           |
|           | Established making- objects -with-tool skills       | $\rightarrow$ | Composite tools and assembly                        |
|           | Established composite tool skills                   | $\rightarrow$ | New inventions                                      |
|           | Established invention culture                       | $\rightarrow$ | New livelihoods, language                           |
| Organis   | ational skills, labour specialisation, civilisation | $\rightarrow$ | Writing/ Handwritten works                          |
| Establish | ned importance of legal documents and ledgers       | $\rightarrow$ | Printing/ Printed matter                            |
|           | Widespread reading and writing skills               | $\rightarrow$ | Internet-connected information sources and services |

615 **Table w.** The mature state of each stage serves as a basis for the next stage.

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617 1.1. Each stage seems to build on the previous stage.

618Table w shows the maturation of each stage and how it provides the biology, knowledge and619skills needed for the next stage. The only part that doesn't fit this pattern is the sudden arrival of620language. This could be an argument for more rudimentary language levels corresponding to the tool621levels, starting with the "tool-on-tool" event.

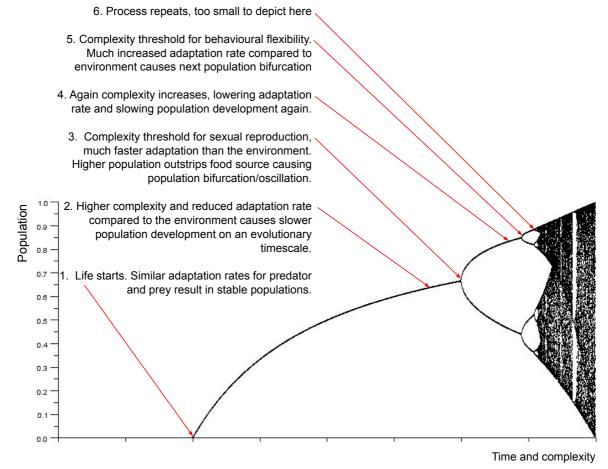
# 622 **1.** Possible explanation of population bifurcations

623 1.5.3. Proposed reason for population bifurcation in evolution – faster predator adaptation causing shortage of
 624 prey.

In population dynamics, the population level bifurcates and oscillates between two levels
 because of an increase in birth rate causing overconsumption of prey. What is the corresponding
 mechanism for evolution where birth rate is replaced by complexity?

628 In general, predators are more complex creatures than their prey. But there is a "cost of 629 complexity"[18] whereby the more complex a species is, the slower its adaptation rate. So prey should 630 be able to adapt more quickly than their predators. In this situation, overconsumption of prey is 631 unlikely, and under-consumption is more likely. Under-consumption of prey would give a stable 632 population level. But if the predator were to evolve by acquiring one of the transformative 633 innovations listed above, then the balance may well be disturbed to the advantage of the predator 634 and result in overconsumption of prey, causing a bifurcation in the predator population level (figure 635 gt).

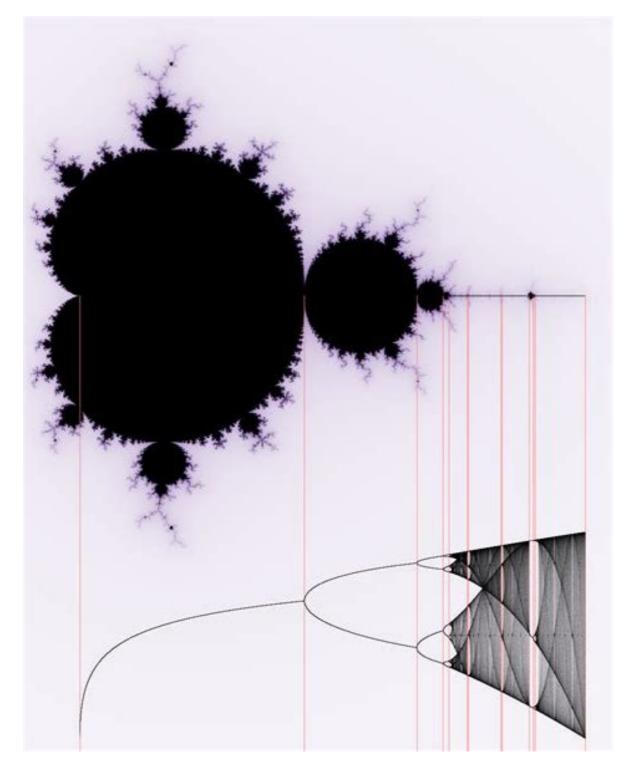
636 In practice, such population oscillation would not be likely to have left a trace in the fossil record.



638Figure gt. Suggested mechanism for population bifurcations in evolution. The "cost of complexity"639reduces the adaptation rate of the most complex species. When a transformative event occurs, the640adaptation rate increases, causing overconsumption and a population bifurcation.

#### 641 1.4.1 Self-similarity

642 The hypothesis of new levels of the TRANSFORMATIVE INNOVATION-VARIATION-643 SELECTION-TRANSMISSION evolution process is reminiscent of the self-similarity of fractal 644 structures. For example, the Mandelbrot Set (figure ms) is a fractal figure generated by iteration of a 645 mapping which is not significantly different from that of the population bifurcation diagram, but is 646 "shown from above" in the complex number plane. Figure ms shows the relationship between the 647 Feigenbaum Tree and the Mandelbrot Set. The Mandelbrot Set shows self-similarity in the form of 648 small copies of the Mandelbrot set within the Mandelbrot Set pattern (figure mms). There are an 649 infinite number of mini-Mandelbrot Sets in the Mandelbrot Set, and each one is different in size and 650 slightly different in form. This is analogous to an infinite number of evolutionary stages, all 651 containing the pattern TRANSFORMATIVE INNOVATION-VARIATION-SELECTION-TRANS-652 MISSION, but all different in size and detail. The smaller the mini-Mandelbrot, the more iterations 653 are required for it to appear.



**Figure ms.** The Mandelbrot set, which is a bifurcation diagram shown from "above" in the complex number plane.

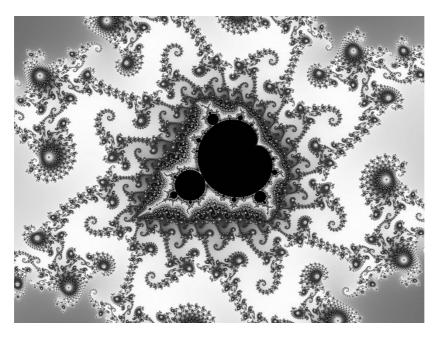


Figure mms. A mini-Mandelbrot set – of which there are an infinite number, in various sizes – is part
 of the detail of the Mandelbrot set. It is an example of self-similarity which is often found in iterated
 systems. It is analogous to transformative innovations providing alternative evolutionary processes.

665 8.2. Evolutionary steps seem to be getting smaller, complexity-wise

666 The intervals get shorter and there seems to be less evolution (i.e. less increase in complexity) 667 involved at each stage. For example, consider from a subjective point of view the amount of evolution 668 between "Multicellularity" and "Behavioural flexibility" (where life evolved from single cells to 669 animals with legs, eyes, and brains), and compare it with the amount of evolution between "Using 670 tools" and "Making tools". Intuitively it seems clear that less evolution is happening at each step. 671

672

| Event number                     | Year of Event | Interval until Next Event |
|----------------------------------|---------------|---------------------------|
| 13 (The Internet)                | 1967          | 197 years                 |
| 14                               | 2164          | 42.2 years                |
| 15                               | 2206          | 9.03 years                |
| 16                               | 2215          | 1.93 years                |
| 17                               | 2217          | 0.41 years                |
| 18                               | 2217          | 32 days                   |
| 19                               | 2217          | 6.9 days                  |
| 20                               | 2217          | 1.47 days                 |
| 21                               | 2217          | 7.56 hours                |
| 22                               | 2217          | 1.61 hours                |
| 23                               | 2217          | 20.8 mins                 |
| 24                               | 2217          | 4.45 mins                 |
| 25                               | 2217          | 57.2 secs                 |
| 26                               | 2217          | 12.2 secs                 |
| 27                               | 2217          | 2.62 secs                 |
| 28                               | 2217          | 0.56 secs                 |
| (Infinite number of events here) |               | (Intervals approach 0)    |
| 00                               | 2217          | Accumulation Point        |
| (Post-bifurcation stage)         | 2217 onwards  | Chaotic zone              |

673 674

**Table 3.** Predicted future events, with intervals and dates. The intervals are easy calculated by dividing the previous interval by the Feigenbaum Constant 4.66920. The years stated may not be exact

675 - they are based on the date of the invention of the computer network (Internet).

# 676 1.11. Future events

677

678

679

Evolution is still going on, and the bifurcation pattern predicts further dates for the future, shown in table 3. I do not know what these events might be, but they should continue the pattern of transformative innovations. It seems unlikely that there will really be an infinite number of infinitely

short events, because there ought to be a physical limit on how small and quick events can be. It maybe that the events become less important as they become smaller and shorter.

# 682 9. Conclusion – symbolic information transmission across generations

The way information is passed on from one generation to the next is the key to this paper. That and the idea that it must happen symbolically, which emphasizes the importance of spoken language and writing (as opposed to analogue forms of communication such as paintings or television) and links them to the symbolic codes (or perhaps more accurately, *discrete* codes) of DNA, and also to parental teaching through demonstration – which is essentially a symbolic process whereby, for example, hand movements by the teacher trigger in the pupil so-called mirror neurons which control the same movement in the pupil's hand [19].

690 It is changes in the information transmission process that indicate a new mode of evolution in a 691 pattern apparently governed by chaos theory. It seems that each change is accompanied by a 692 transmission cost which is essentially higher at each new evolution mode – if one factors out the 693 complexity of the particular thing being transmitted – because the transmission process has an extra 694 stage.

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- 699

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# 815 Appendix A – Other possible events

- 816 There are other innovations which are not included in the bifurcation-predicted pattern, and this 817 exclusion must of course be justified. A number of possible innovations are discussed below.
- 818 B.1. Other information innovations.
- 819 Table p shows a list of other communication innovations for comparison.
- 820

| Information Transfer Innovations                 | Date                  |
|--|-----------------------|
| Various forms of animal communication:           |                       |
| - Hormone communication                          | Unknown               |
| - Auditory communication                         | Unknown               |
| - Visual communication                           | Unknown               |
| - Olfactory communication                        | Unknown               |
| - Electrical communication                       | Unknown               |
| - Touch communication                            | Unknown               |
| - Seismic communication                          | Unknown               |
| - Thermal communication                          | Unknown               |
| Predicted date of parental teaching              | 264 million years ago |
| Cave paintings                                   | 44,000 years ago      |
| Music/Singing                                    | Unknown               |
| Courier/postal service                           | Unknown               |
| Drawings, Pictures                               | Pre-date writing      |
| Visual signalling at a distance (Nuragic towers) | 1800 BCE              |
| Smoke signals                                    | 800 BCE               |
| Printed Newspapers                               | 1609 CE               |
| Semaphore Telegraph                              | 1792 CE               |
| Photography                                      | 1822 CE               |
| Cinema   | 1830 CE               |
| Fax  | 1843 CE               |
| Telephone  | 1876 CE               |
| Sound recording                                  | 1877 CE               |
| Radio transmission                               | 1888 CE               |
| Telex  | 1926 CE               |
| TV transmission                                  | 1926 CE               |
| Teletext   | Early 1970s CE        |
| Internet email                                   | 1971 CE               |
| World Wide Web                                   | 1989 CE               |
| Smartphone                                       | 1995 CE               |

# 821 **Table p.** Alternative means of communication.

- 822 B.2. Other information innovations using discrete coding rather than continuous analogue signals..
- 823 The transformative events all involve discrete code systems, as opposed to what we might call
- 824 analogue systems. If we restrict ourselves to discrete code systems, we get the list in table q.
- 825

| Discrete Information Transfer Innovations        | Date           |
|--|----------------|
| Various forms of animal communication            | >300 M years   |
| Visual signalling at a distance (Nuragic towers) | 1800 BCE       |
| Smoke signals                                    | 800 BCE        |
| Semaphore Telegraph                              | 1792 CE        |
| Telex  | 1926 CE        |
| Teletext   | Early 1970s CE |
| Internet email                                   | 1971 CE        |
| World Wide Web                                   | 1989 CE        |
| Smartphone                                       | 1995 CE        |

826 **Table q.** Alternative means of communication using discrete coding.

**Evolution** 

Animal communication – such as alarm calls – was, as far as we know, not used in or for parentalteaching.

| 829 | The different forms of signalling at a distance (Nuragic towers, smoke signals, telegraph, telex, |
|-----|---|
| 830 | teletext) are not suitable for passing on more than small amounts of information.                 |

831 The other inventions in the table (Internet email, World Wide Web, smartphone) are really832 subordinate to the data network Internet (1967 CE) which predated them.

| 833               |       |           |                       |  |
|-------------------|-------|-----------|-----------------------|--|
| 834               |       |           |                       |  |
| 835               |       |           |                       |  |
| 835<br>836<br>837 |       |           |                       |  |
| 837               |       |           |                       |  |
|                   | Stage | of How is | it driving evolution? |  |

| ••  |
|---|
| Sex and multicellularity.                                   |
| Sex is invented, in which two members of the same species   |
| combine their genetic codes. Whereas mutations often        |
| result in new genes that do not work, causing the death of  |
| the organism, sex only uses genes that have already         |
| worked, albeit in new combinations. Some advantages of      |
| sex are:  |
| • Only using previously successful genes means the          |
| offspring is more likely to be viable.                      |
| • Sex also provides a way for advantageous genes to         |
| come together in a single organism.                         |
| • The genomes of both parents are randomly shuffled for     |
| each offspring.   |
| The random shuffling, plus the reliance on tested genes     |
| mean that the generation of viable yet unique variations of |
| a species is faster than ever. And multicellularity allows  |
| complex forms such as plants and animals to evolve, which   |
| was not possible before.                                    |
| Hand-written Documents                                      |
|   |

Add these to appendix?

|              | The written word is not just communication, it is a shared    |
|--------------|---|
|              | memory and reference. A hand-written document is a            |
|              | persisting object that can record things that two or more     |
|              | people have agreed upon. Such a document enables              |
|              | agreements to be made, accounts to be opened, laws to be      |
|              | reliably documented. It became an essential part of society.  |
|              | The invention and use of new kinds of documents became        |
|              | the main source of variation and innovation in human          |
|              | society, taking over the role as the main driver of evolution |
|              | of intelligent life on Earth. Various kinds of hand-written   |
|              | documents quickly became established, such as contracts,      |
|              | accounts, and descriptions of laws. Such documents            |
|              | enabled organisation of groups of people on a larger scale    |
|              | and led to what we know of as cities and civilisation and     |
|              | an even greater degree of labour specialisation.              |
| 12. Printing | Printed works   |
|              | Before the movable type printing machine, books were          |
|              | hand-copied and could cost as much as a house.                |
|              | Knowledge was expensive. There was a need for                 |
|              | inexpensive replication of knowledge. The printing            |
|              | machine provided that. Until recently, most of our            |
|              | knowledge was in the form of printed matter. The most         |
|              | established knowledge is in the school and university         |
|              | textbooks. Less established knowledge is in other books       |
|              | and journals.   |
|              |   |

Variation driving evolution?

14. Subsequent Innovations

838

839

840 Upload to archive?