# The difference between a theory and an explanation

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#### Summary

In this paper, we try to show where and why quantum mechanics went wrong – and why and when the job of both the academic physicist as well as of the would-be student of quantum mechanics turned into *calculating* rather than *explaining* what might or might not be *happening*. Modern quantum physicists effectively resemble econometrists modeling input-output relations: if they are lucky, they will get some kind of *mathematical description* of what goes in and what goes out, but the math doesn't tell them how stuff actually *happens*.

To show what an actual *explanation* might look like, we bring the *Zitterbewegung* electron model and our photon model together to provide a classical explanation of Compton scattering of photons by electrons so as to show what electron-photon interference might actually *be*: two electromagnetic oscillations interfering (classically) with each other. While developing the model, we also offer some reflections on the *nature* of the Uncertainty Principle.

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# The difference between a theory and an explanation

### Introduction

The science of physics probes reality both *physically* as well as *logically*. Physical exploration involves experiments, measurements and discoveries. Logical progress occurs when a new theory or explanation replaces previous held beliefs and convictions. Such theory or explanation should be logically and mathematically consistent and, preferably, be applicable to a great variety of facts. Newton's force law<sup>1</sup> and theory of gravitation, Maxwell's equations, and Einstein's theory of relativity are obvious examples of such theories or explanations. What about Heisenberg's quantum mechanics?

We are not so sure in regard to the latter. It is a theory that may or may not work. [...] *Excuse me?* May *not* work? It *always* works, right?

Maybe. Maybe not. Prof. H. Pleijel, then Chairman of the Nobel Committee for Physics of the Royal Swedish Academy of Sciences, dutifully notes the following on the nature of the new 'matter waves' in the ceremonial speech for the 1933 Nobel Prize, which was awarded to Werner Karl Heisenberg for nothing less than "the creation of quantum mechanics" (no comments here):

"Matter is formed or represented by a great number of this kind of waves which have somewhat different velocities of propagation and such phase that they combine at the point in question. Such a system of waves forms a crest which propagates itself with quite a different velocity from that of its component waves, this velocity being the so-called group velocity. Such a wave crest represents a material point which is thus either formed by it or connected with it, and is called a wave packet. [...] As a result of this theory on is forced to the conclusion to conceive of matter as not being durable, or that it can have definite extension in space. The waves, which form the matter, travel, in fact, with different velocity and must, therefore, sooner or later separate. Matter changes form and extent in space. The picture which has been created, of matter being composed of unchangeable particles, must be modified."

Nice. It should sound familiar to you. If so, you should have asked yourself this: why is this everything **but true**? Real-life particles – electrons or atoms traveling in space – don't dissipate. It's simple: they do not dissipate! They disintegrate, perhaps, but that's something different, and it applies to composite non-stable particles which – for that reason – we shouldn't call them particles. Particles are particles are because of their stability and *integrity*—and that integrity is defined by the Planck-Einstein relation:  $E = h \cdot f$ .<sup>2</sup> That's all we need. A rather smart young man recently wrote this rather scathing criticism of his

<sup>&</sup>lt;sup>1</sup> Newton's force law is often referred to as the law of motion: a force is that what changes the state of motion of an object. The idea of a force acting on a (positive or negative) *charge* came later: it is usually associated with Benjamin Franklin's experiments around 1750 and – more systematically, perhaps – the work of Charles-Augustin de Coulomb, who published three *memoirs* on electromagnetism in 1785. Newton's *Philosophiæ Naturalis Principia Mathematica* (Mathematical Principles of Natural Philosophy) were first published in 1687: scientific revolutions take time. After a hundred years or so, it seems the quantum-mechanical one hasn't ended yet. <sup>2</sup> The interpretation of the Planck-Einstein relation is another matter, but one doesn't need matter-waves for it.

own profession-physics:

"QED should be the quantized version of Maxwell's laws, but it is not that at all. QED is a simple addition to quantum mechanics that attempts to justify two experimental discrepancies in the Dirac equation: the Lamb shift and the anomalous magnetic moment of the electron.<sup>3</sup> The reality is that QED is a bunch of fudge factors, numerology, ignored infinities, hocus-pocus, manipulated calculations, illegitimate mathematics, incomprehensible theories, hidden data, biased experiments, miscalculations, suspicious coincidences, lies, arbitrary substitutions of infinite values and budgets of 600 million dollars to continue the game. Maybe it is time to consider alternative proposals. Winter is coming."<sup>4</sup>

Prof. Dr. John P. Ralston practices the same self-criticism in a recent publication: "Quantum mechanics is the only subject in physics where teachers traditionally present haywire axioms they don't really believe, and regularly violate in research."<sup>5</sup> Unconvincing? Let us quote a proper Nobel Prize winner then, Willis E. Lamb. He got a Nobel Prize in 1955 for, yes, the discovery of the Lamb shift in the spectrum of the hydrogen atom.<sup>6</sup> When he was well over 80, he wrote a rather remarkable paper on the (non)sense and/or nonsense of the theoretical explanations of the phenomenon he had experimentally discovered.<sup>7</sup> The title of the paper is, significantly, *Anti-Photon*, and, in this paper, he politely blames Bohr rather than Heisenberg for all of these weird theories we are still struggling to understand:

"The Complementarity Principle and the notion of wave-particle duality were introduced by N. Bohr in 1927. They reflect the fact that he mostly dealt with theoretical and philosophical concepts, and left the detailed work to postdoctoral assistants. It is very likely that Bohr never, by himself, made a significant quantum-mechanical calculation after the formulation of quantum mechanics in 1925-1926."<sup>8</sup>

In fact, it is hard to see what Heisenberg's matrix mechanics actually explain. Pretty much nothing,

The Planck-Einstein relation basically tells us particles have a unique frequency: a *clock speed* which is equal to the cycle time  $T = 1/f = h/E = h/mc^2$ . Combining this idea with the *reality* of the magnetic moment of elementary particles, one arrives at the conclusion the frequency must be equal to the frequency of the motion of the electric charge, which both Erwin Schrödinger as well as Paul A.M. Dirac paid much attention to. We will come back to this. <sup>3</sup> The reader should note that we have very decent classical explanations for both. See

<sup>&</sup>lt;sup>4</sup> Oliver Consa, <u>Something is Rotten in the State of QED</u>, February 2020.

<sup>&</sup>lt;sup>5</sup> John P. Ralston, *How To Understand Quantum Mechanics*, 2017. We must add that we find Dr. Ralston's criticism of his own profession enlightening. However, we do *not* think his own interpretation of quantum mechanics – yet another model, yes – is very convincing.

<sup>&</sup>lt;sup>6</sup> We should be precise: Willis Eugene Lamb Jr. got *half* of the 1955 Nobel Prize in Physics for his discovery. He had to share it with Polykarp Kusch. To be precise, Lamb got his half of the prize "for his discoveries concerning the fine structure of the hydrogen spectrum" (which is the Lamb shift), while Polykarp Kusch got it "for his precision determination of the magnetic moment of the electron" (the so-called anomaly in the magnetic moment). The reader can look it all up on <u>the website of the Nobel Prize for Physics</u>.

<sup>&</sup>lt;sup>7</sup> One should, indeed, note that both Lamb as well as Kusch had *measured* the Lamb shift and the anomaly respectively, but both had left the explaining of it to (other) physicists—some more famous names you probably are more acquainted with, such as Julian Schwinger and Richard Feynman, who – together with Tomonaga – got <u>a</u> <u>Nobel Prize in physics</u> "for their fundamental work in quantum electrodynamics, with deep-ploughing consequences for the physics of elementary particles"—read: their *explanations* of this and other previously unexplained measurements.

<sup>&</sup>lt;sup>8</sup> See: W.E. Lamb Jr., <u>Anti-photon, Appl. Phys. B 60, 77-84 (1995)</u>.

perhaps. Indeed, we may want to think of it as a *calculation* rather than as a theory. We may illustrate this by referring to Heisenberg's pioneering of the scattering matrix, which is now more generally referred to as the *S*-matrix in quantum mechanics.<sup>9</sup> Scattering is actually a somewhat misleading term because it may refer to *any* interaction process. As usual, we can rely on Richard Feynman for a more vivid description of what an *S*-matrix actually is:

"The high-class theoretical physicist working in high-energy physics considers problems of the following general nature (because it's the way experiments are usually done). He starts with a couple of particles, like a proton and a proton, coming together from infinity. (In the lab, usually one particle is standing still, and the other comes from an accelerator that is practically at infinity on atomic level.) The things go crash and out come, say, two K-mesons, six  $\pi$ -mesons, and two neutrons in certain directions with certain momenta. What's the amplitude for this to happen? The mathematics looks like this: The  $\varphi$ -state specifies the spins and momenta of the incoming particles. The  $\chi$  would be the question about what comes out. For instance, with what amplitude do you get the six mesons going in such-and-such directions, and the two neutrons going off in these directions, with their spins so-and-so. In other words,  $\chi$  would be specified by giving all the momenta, and spins, and so on of the final products. Then the job of the theorist is to calculate the amplitude ( $\chi \mid S \mid \varphi$ )."<sup>10</sup>

The reader may or may not recognize the latter admonishment. The job of the theorist – or of the student in quantum mechanics – is to *calculate* rather than to *think* about what might or might not be *happening*.<sup>11</sup>

<sup>&</sup>lt;sup>9</sup> The *S* in *S*-matrix refers to scattering, not to the German *Spur* (the *trace* of a matrix) or some other term. I am inserting this rather pedantic note because part of the mystery of quantum mechanics is stuff like this: abbreviations or concepts that never get a proper definition or an accurate portrayal of the archaeology or genealogy of the idea. According to <u>the Wikipedia article on it</u>, a proper *S*-matrix was first introduced by John Archibald Wheeler in a 1937 article on the composite wavefunction for multi-particle nuclei. <sup>10</sup> See: *How states change with time*, Feynman's *Lectures*, Vol. III, Chapter 8, section 4.

<sup>&</sup>lt;sup>11</sup> Lamb's remark on Niels Bohr leaving the actual calculations to postdocs may or may not reflect a remark of Feynman – I can't recall if it's in <u>his Lectures</u> or in his <u>Strange Theory of Light and Matter</u> – on the rather extraordinary amount of calculus one needs to calculate anything based on quantum field theory or Feynman's own path integral formulation of quantum mechanics. We need to add a lot of the heavy lifting on the discovery of the Lamb shift was actually done by one of Lamb's own postdoc students, R. Retherford, who did not get much recognition for it—except for a brief mention in Lamb's Nobel Prize speech.

As for the calculations themselves, we may briefly mention that Stefano Laporta claims – in a 2017 article (other researchers have come up with similar articles in the meanwhile) – to have calculated 891 *four*-loop contributions to the anomalous magnetic moment, resulting in a precision of 'up to 1100 digits of precision' of the electron's *actual* g-ratio (slightly different from a theoretical value of 2, which is – in my not-so-humble view – simply just is what it is: a theoretical value based on mathematical idealizations). One gets an uncanny feeling here: if one has to calculate a zillion integrals all over space using 72 third-order diagrams to calculate the 12<sup>th</sup> digit in the anomalous magnetic moment, or 891 fourth-order diagrams to get the next level of precision, then there might something wrong with the theory—read: our mathematical idealizations. We refer to our own paper(s) for a more classical explanation of the anomalous magnetic moment.

## The meaning of probability amplitudes and state vectors

The  $\langle \chi | S | \varphi \rangle$  amplitude – which, according to Feynman, is all that matters in quantum physics – is a *probability amplitude* associated with the *in*-state  $\varphi$  and the *out*-state  $\chi$ . These states are referred to as *state vectors*<sup>12</sup> and they are described in terms of *base states* which are written as  $\langle i | and | j \rangle$  for an *out*- and an *in*-state respectively. Hence, the *S*-matrix is actually written as  $\langle i | S | j \rangle$  and, assuming we know what it is, we will be able to *resolve* and calculate the  $\langle \chi | S | \varphi \rangle$  amplitude as  $\Sigma_{ij} \langle \chi | i \rangle \langle i | S | j \rangle \langle j \rangle \langle j | \varphi \rangle$ .

This may all look a bit mind-boggling and rightly so, because it actually *is* mind-boggling. Just *google*, for example the *S*-matrix for Compton scattering<sup>13</sup> and let me know how *inspiring* you think these so-called explanation of a *physical process* actually are. For me, they are not an explanation at all. I think it is way out of whack. It is like economists modeling input-output relations: we get a *mathematical description* of what goes in and what goes out but it doesn't tell us how stuff actually *happens*.<sup>14</sup> Furthermore, when the process involves the creation or annihilation of elementary or non-elementary particles (think of short-lived mesons here), even the math becomes ugly. Paraphrasing Dirac, one is then tempted to think that it may be "more important to have beauty in one's equations than to have them fit experiment."<sup>15</sup>

The question, of course, runs deeper than that: we can and should *not* doubt that the likes of Bohr, Pauli, Heisenberg, Schrödinger, Dirac did what we are all doing, and that is to, somehow, try to make sense of it all. Hence, I am sure that even Heisenberg did not initially think of his *interpretation* of these relations as some kind of surrender of reason—which is, effectively, what we think it actually amounts to: stating that it is a law of Nature that even experts cannot possibly understand Nature "the way they would like to", as Richard Feynman put it<sup>16</sup>, relegates science to the realm of religious belief.

To be sure, the *accounting rules* and the *properties* of the *S*-matrix (as well as those of operator and Hamiltonian matrices) do incorporate some basic physical principles. One of them is the principle of *reversibility*, which is related to CPT-symmetry. We will say more about this later. As for now, the reader should note that the property of reversibility in *Nature* is logical but somewhat less straightforward than it appears to be at first. If we reverse *all* signs – the direction of time, the directions in space<sup>17</sup>, and the sign of the charges – then, yes, *Nature* seems to respect the principle of reversibility.<sup>18</sup> However, we may see what physicists gravely refer to as symmetry-breaking if we only reverse signs of, say, the directions

<sup>&</sup>lt;sup>12</sup> Of course, these vectors are a bit different than the usual Cartesian coordinate vectors that you are used to but the idea of base vectors (base states) and combining or adding them remains the same. Physicists will solemnly talk of a Hilbert space but that is just *jargon* meant to impress you.

<sup>&</sup>lt;sup>13</sup> I did this randomly just now and these two recent articles came out on top: <u>https://arxiv.org/abs/1512.06681</u> and <u>https://arxiv.org/abs/1810.11455</u>. You may get others. This *input-output calculus* approach allows for endless refinements and, hence, endless research—which is always nice from an academic point of view, of course.

<sup>&</sup>lt;sup>14</sup> The reader may be interested to know the author of this paper is an economist who has, effectively, dabbled in both macro- as well as micro-economic modeling and the training in statistics that comes with it. We are, therefore, very familiar with Marc Twain's 1907 quote: "There are three kinds of lies: lies, damned lies, and statistics."

<sup>&</sup>lt;sup>15</sup> The quote is, apparently, from a May 1963 paper or article in *Scientific American*. We didn't analyze the context in which Dirac is supposed to have said this.

<sup>&</sup>lt;sup>16</sup> See: <u>Feynman's Lectures</u>, III-1-1.

<sup>&</sup>lt;sup>17</sup> We are talking parity-symmetry here: think of the mirror image of things, or of turning space inside out.

<sup>&</sup>lt;sup>18</sup> As mentioned, we will make a critical note here later.

in space and the sign of the charge: some processes violate what is referred to as CP-symmetry, which involves swapping spatial directions and charges only.

We are not worried about that: if anything, it shows *physical* time has one direction only. However, because we wrote at length about these things elsewhere, we do not want to bore the reader with unnecessary philosophical reflections here.<sup>19</sup> We do want to highlight a few points here though, so as to give the reader a bit of an impression of what quantum-mechanical descriptions of physical processes by talking about amplitudes and states may actually *represent*.

## The meaning of spin in quantum mechanics

We must start here by what I now think of as a deep conceptual flaw in the mainstream interpretation of quantum mechanics: the mainstream interpretation does *not* integrate the concept of particle *spin* from the outset because it thinks of the + or – sign in front of the imaginary unit (*i*) in the elementary wavefunction  $(a \cdot e^{-i\cdot\theta} \text{ or } a \cdot e^{+i\cdot\theta})$  as a mathematical convention only. Indeed, most introductory courses in quantum mechanics will show that both  $a \cdot e^{-i\cdot\theta} = a \cdot e^{-i\cdot(\omega t - kx)}$  and  $a \cdot e^{+i\cdot\theta} = a \cdot e^{+i\cdot(\omega t - kx)}$  are acceptable waveforms for a particle that is propagating in a given direction (as opposed to, say, some real-valued sinusoid). One would expect that the professors would then proceed to provide some argument showing why one would be better than the other, or some discussion on why they might be different but, *no*! That is not the case. The professors usually conclude that "the choice is a matter of convention" and, that "happily, most physicists use the same convention."<sup>20</sup>

We are totally dumbfounded by this. All of the great physicists knew all particles – elementary or not – have spin.<sup>21</sup> All of them also knew it is spin that generates the magnetic moment and that we should, therefore, consider the idea of thinking of elementary particles as a ring current. Indeed, the ring current model is not applicable to electrons (and positrons only). Dirac starts his derivation of his famous wave equation for free electrons in his 1933 Nobel Prize speech with the following remark<sup>22</sup>:

"This procedure is successful in the case of electrons and positrons. *It is to be hoped that in the future some such procedure will be found for the case of the other particles*. I should like here to outline the method for electrons and positrons, *showing how one can deduce the spin properties* of the electron, and then how one can infer the existence of positrons *with similar spin properties*."

<sup>&</sup>lt;sup>19</sup> See, for example, our paper on the physical meaning of the quantum-mechanical wavefunction (*Euler's* wavefunction: the double life of -1) and our blog post(s) on CPT-symmetry.

<sup>&</sup>lt;sup>20</sup> In case you wonder, this is a quote from the MIT's edX course on quantum mechanics (8.01.1*x*). We quote this example for the same reason as why we use Feynman's *Lectures* as a standard reference: it's authoritative and, more importantly, also available online so the reader can check and explore for himself.

<sup>&</sup>lt;sup>21</sup> Even photons – despite their spin-one property – cannot not come in a zero-spin state. When studying Feynman's *Lectures*, I found that to be one of the weirdest things: Feynman first spends several chapters on explaining spin-one particles to, then, in some obscure <u>footnote</u> suddenly write this: "The photon is a spin-one particle which has, however, no "zero" state." The issue is related to another glaring inconsistency: in the first three chapters of his *Lectures* on physics, he talks about adding wavefunctions and the basic rules of quantum mechanics, and it all happens with a plus sign. However, in his chapter on the theoretical distinction between bosons and fermions, he suddenly says we should be adding the amplitudes of fermions combine with a minus sign. In any case, we cracked our own jokes on the boson-fermion theory and so we should leave it at that. <sup>22</sup> Needless to say, all *italics* in the quote are ours.

This should suffice to remove any doubt in regard to the importance of the concept of spin in quantum mechanics: it is the lynchpin of everything. As mentioned, the introduction above serves as an introduction to Dirac's derivation of his wave equation for an electron in free space<sup>23</sup> – which we will *not* write down but which involves four operators, denoted as  $\alpha_r p_r$  (r = 1, 2, 3) and  $\alpha_0 mc$  respectively<sup>24</sup> – and says the following about it:

"The new variables  $\alpha_r$  which we have to introduce to get a relativistic wave equation linear in  $W^{25}$ , give rise to the spin of the electron. From the general principles of quantum mechanics one can easily deduce that these variables a give the electron a spin angular momentum of half a quantum and a magnetic moment of one Bohr magneton in the reverse direction to the angular momentum. These results are in agreement with experiment. They were, in fact, first obtained from the experimental evidence provided-by spectroscopy and afterwards confirmed by the theory."

He then continues by leaving us an excellent historical summary of the ring current or *Zitterbewegung* model of the electron<sup>26</sup>:

"The variables  $\alpha$  also give rise to some rather unexpected phenomena concerning the motion of the electron. These have been fully worked out by Schrödinger. It is found that an electron which seems to us to be moving slowly, must actually have a very high frequency oscillatory motion of small amplitude superposed on the regular motion which appears to us. As a result of this oscillatory motion, the velocity of the electron at any time equals the velocity of light. This is a prediction which cannot be directly verified by experiment, since the frequency of the oscillatory motion is so high and its amplitude is so small. But one must believe in this consequence of the theory, since other consequences of the theory which are inseparably bound up with this one, such as the law of scattering of light by an electron, are confirmed by experiment."

We wrote about this elsewhere<sup>27</sup> and so we will not dwell on it much longer. The question here is: why did such great intellects fail to *fully* exploit the power of Euler's ubiquitous  $\psi = a \cdot e^{i\theta}$  function?. Why not? Because the Schrödinger and Dirac may have been *too* obsessed by their wave equation – as opposed to the wavefunction that is its solution. They didn't integrate spin—not from the outset, at least. The mistake is illustrated below.

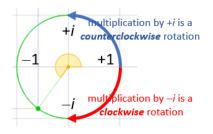
<sup>&</sup>lt;sup>23</sup> The concept of free space should not confuse the reader: it makes abstraction of any external fields or forces, such as the force between a positively charged nucleus and the electron in some orbital.

<sup>&</sup>lt;sup>24</sup> Dirac's wave equation can be written in various equivalent ways. We refer to Dirac's *Principles of Quantum Mechanics* or, for the reader who can follow Dirac's *very* succinct summary of it, the above-mentioned Nobel Prize speech.

<sup>&</sup>lt;sup>25</sup> As mentioned, we don't want to get into the detail of (the derivation of) Dirac's equation but the reader should note Dirac only considers the *kinetic* energy of the electron which is, therefore, denoted as *W* rather than as  $E = mc^2$ . In our <u>ring current model of an electron</u>,

<sup>&</sup>lt;sup>26</sup> The term *Zitterbewegung* was, effectively, coined by Erwin Schrödinger in a 1930 paper which analyzed the solutions to Dirac's equation (Dirac had derived his equation in 1928 but the whole theoretical framework that accompanied it – his *Principles to Quantum Mechanics* – was also published in 1930 as well.

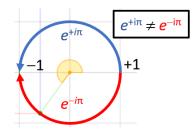
<sup>&</sup>lt;sup>27</sup> See our *Explanation of the Electron and Its Wavefunction*.



**Figure 1**: The meaning of +i and -i

It is a very subtle but fundamental blunder. Spin-zero particles do not exist.<sup>28</sup> All *real* particles – electrons, photons, anything – have spin, and spin (a shorthand for angular momentum) is always in one direction or the other: it is just the *magnitude* of the spin that differs. It is, therefore, completely odd that the plus (+) or the minus (–) sign of the imaginary unit (*i*) in the  $a \cdot e^{\pm i\theta}$  function is *not* being used to include the spin *direction* in the mathematical description.

In fact, we call it a blunder because it is more than just odd: it's plain *wrong* because this non-used *degree of freedom* in the mathematical description leads to the *false* argument that the wavefunction of spin-½ particles have a 720-degree symmetry. Indeed, physicists treat -1 as a *common phase factor* in the argument of the wavefunction: they think we can just multiply a set of amplitudes – let's say *two* amplitudes, to focus our mind (think of a beam splitter or alternative paths here) – with -1 and get the same *states*. We find it rather obvious that that is not necessarily the case: -1 is *not* necessarily a common phase factor. We should think of -1 as a complex number itself: the phase factor may be  $+\pi$  or, alternatively,  $-\pi$ . To put it simply, when going from +1 to -1, it matters how you get there – and vice versa – as illustrated below.<sup>29</sup>



**Figure 2**:  $e^{+i\pi} \neq e^{-i\pi}$ 

What's the point? It is this: if we exploit the full descriptive power of Euler's function, then all weird symmetries disappear – and we just talk standard 360-degree symmetries in space. Also, weird mathematical conditions – such as the *Hermiticity* of quantum-mechanical operators – can easily be explained as embodying some common-sense *physical* law: energy and momentum conservation, for

<sup>&</sup>lt;sup>28</sup> We are obviously not talking about the zero-spin state of, say, a spin-one atom here. We talk about *elementary* particles only here.

<sup>&</sup>lt;sup>29</sup> The quantum-mechanical argument is technical, and I did *not* reproduce it in this book. I encourage the reader to glance through it, though. See: <u>Euler's Wavefunction: The Double Life of – 1</u>. Note that the  $e^{+i\pi} \neq e^{-i\pi}$  expression is *horror* to any mathematician! Hence, if you're a mathematician, you should switch off. If you're an amateur physicist, you should be excited, because it actually *is* the secret key to unlocking the so-called mystery of quantum mechanics. Remember Aquinas' warning: *quia parvus error in principio magnus est in fine*. A small error in the beginning can lead to great errors in the conclusions, and we sure think of this as an error in the beginning!

example, or *physical* reversibility: we need to be able to play the movie backwards.

Here we must note something funny with charges, however. Combining the + and – sign for the imaginary unit with the direction of travel, we get *four possible structures* for the wavefunction for an electron:

Spin and direction of travel	Spin up ( <i>J</i> = +ħ/2)	Spin down (J = —ħ/2)
Positive <i>x</i> -direction	$\psi = \exp[i(kx - \omega t)]$	$\psi^* = \exp[-i(kx-\omega t)] = \exp[i(\omega t-kx)]$
Negative <i>x</i> -direction	$\chi = \exp[-i(kx+\omega t)] = \exp[i(\omega t-kx)]$	$\chi^* = \exp[i(kx+\omega t)]$

 Table 1: Occam's Razor: mathematical possibilities versus physical realities

However, this triggers the obvious question: how do we know it's an electron or a *negative* charge, as opposed to a positron, or a *positive* charge? Indeed, consider a particular direction of the elementary current generating the magnetic moment. It is then very easy to see that the magnetic moment of an electron ( $\mu = -q_e\hbar/2m$ ) and that of a positron ( $\mu = +q_e\hbar/2m$ ) would be opposite. We may, therefore, associate a particular direction of rotation with an angular frequency *vector*  $\boldsymbol{\omega}$  which – depending on the direction of the current – will be up or down with regard to the plane of rotation. We associate this with the spin property, which is also up or down. We, therefore, have another set of four possibilities reflecting one another:

Matter-antimatter	Spin up ( <i>J</i> = +ħ/2)	Spin down (J = -ħ/2)
Electron	μ <sub>e-</sub> = –q <sub>e</sub> ħ/2m	$\mu_{e-}$ = +q <sub>e</sub> $\hbar/2m$
Positron	$\mu_{e+}$ = +q <sub>e</sub> $\hbar/2m$	$\mu_{e^+}$ = $-q_e\hbar/2m$

So how do we distinguish them? It is a deep philosophical question which we cannot fully answer for the time being. We can only offer a few thoughts here:

**1.** The idea of time reversal is a wonderful invention of our mind but we think it does *not* correspond to anything real. When charges are involved, you will see two like charges repel each other and, therefore, you will see them move away from each other. However, when playing the movie backward, you will know it the movie cannot be *real* because the same two charges now move *towards* each other. The funny thing is that a reversal of the sign of the charges doesn't help to fix the situation because, say, reversing the charge of two electrons now gives us two positrons moving towards each other, which doesn't make sense physically either!

The reader may think we did, perhaps, not think of P-symmetry: all left-handed things are now righthanded and vice versa, right? Yes, but that doesn't solve the problem here.

**2.** So what about CPT-symmetry then? Frankly, we think the concept of CPT-symmetry is not all that useful and we, therefore, wonder why physicists are so enthralled about it. We think the concept of motion itself implies that time can have one direction only. If it wouldn't, then we would not be able to describe trajectories in spacetime by a well-behaved function. In other words, the concept of motion itself would become meaningless.

The diagrams below illustrate the point. The spacetime trajectory in the diagram on the right is not *kosher*, because our object travels back in time in not less than three sections of the graph. Spacetime trajectories – or, to put it more simply, *motions* – need to be described by well-defined functions: for every value of *t*, we should have one, and only one, value of *x*. The reverse, of course, is *not* true: a particle can travel back to where it was (or, if there is no motion, just stay where it is). Hence, it is easy to see that the concepts of motion and time are related to us using well-behaved functions to describe reality.<sup>30</sup>

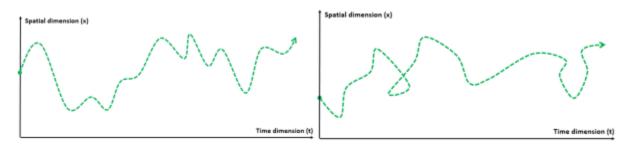


Figure 3: A well- and a not-well behaved trajectory in spacetime<sup>31</sup>

**3.** The obvious question then is this: what *is* anti-matter then? We have no answer to that. We just feel that the suggestion of some physicists – Richard Feynman, in particular – that anti-particles may be particles travelling back in time is nonsense. Dirac's suggestion that, somehow, antimatter must imply the concept of *negative* energy states is, currently, all we have.<sup>32</sup>

We must now come back to the matter at hand, which is the meaning of conjugates in quantum physics.

## The meaning of conjugating amplitudes, wavefunctions and matrices

The ubiquitous concept of a *state* in quantum mechanics is very abstract and general and, therefore, adds to the mystery of the quantum-mechanical description of things. We may start by noting that the concept of an initial and a final state – or an *in-* and an *out-*state – are very intimately linked: they correspond to the *bra* and the *ket* in Dirac's bra-ket notation which – we should not remind the reader – must be read *from right to left*. As such, we like to think the concepts of the initial and final state simply separate the present from the (possible) the future.

In practice, we will use *matrix mechanics* when states are *discrete*, while the wavefunction approach allows us to model how a particle may *evolve* which, in practice, usually means what and where we expect its momentum and position to be. One of Feynman's very first examples of a state is the *spin* 

<sup>&</sup>lt;sup>30</sup> We wish the reader who would want to try using not-so-well-behaved functions to arrive at some kind of description of reality the best of luck.

<sup>&</sup>lt;sup>31</sup> We actually do not like the concept of spacetime very much: time and space are *related* (through special and general relativity theory, to be precise) but they are *not* the same. Nor are they *similar*. We do, therefore, *not* think that some 'kind of union of the two' will replace the separate concepts of space and time any time soon, despite Minkowski's stated expectations in this regard back in 1908. Grand statements and generalizations are not often useful in physics.

<sup>&</sup>lt;sup>32</sup> We do offer some *very* speculative thoughts on the nature of antimatter in our paper on <u>The Ring Current Model</u> for <u>Antimatter and Other Questions</u>, but we do not want to mention these here because we feel these ideas do not make all that much sense.

state of *spin-one* atoms<sup>33</sup>, which may be +1, 0 or -1. These are referred to as *base states*. Base states need to be independent, which is captured by the usual *Kronecker delta rule*:

$$\langle i \mid j \rangle = \delta_{ij}$$

This basically says a base state is a base state:  $\langle i | j \rangle = 1$  if, and only if, i = j. Otherwise  $\langle i | j \rangle = 0$ . It obviously also means a particle can be in one state only at any point in time. The *evolution* from one state to another involves a *process* or – another oft-used terms in introductory courses – an *apparatus*, such as a *filter*, which may also be referred to as polarizer.<sup>34</sup> Now, the *amplitude* for a particle to go from state  $\varphi$  to state  $\chi$  will be  $\langle \chi | \varphi \rangle$ . An amplitude is, of course, a *probability* amplitude here: it is a complex number or a *complex-valued* wavefunction. There is a most remarkable *law* in quantum mechanics, and it is this: **the amplitude to go from the** *out*-state  $\chi$  to the *in*-state  $\varphi$  is the (complex) conjugate of the amplitude to go from the *in*-state  $\varphi$  to the *out*-state  $\chi$  – and vice versa, of course! It is more easily written like this:

$$\langle \phi \mid \chi \rangle = \langle \chi \mid \phi \rangle^*$$
 and  $\langle \chi \mid \phi \rangle = \langle \phi \mid \chi \rangle^*$ 

Feynman *proves* this rule for a three-state system and a simple *filter* apparatus<sup>35</sup> but we find it easier to think of it as a simple consequence of this theoretical possibility of *reversibility*: a sign reversal of the imaginary unit *i*. Instead of +*i* (or, conversely, -i), we now use -i (or, conversely, +i) in our wavefunction.

It is probably easiest to show what this means in terms of actual *probabilities* – some easily understandable number between 0 and 1, that is – rather than in terms of probability *amplitudes*, which are complex-valued numbers evolving in space and in time. Indeed, we get the actual *probability* from taking the absolute square<sup>36</sup> of the complex-valued amplitude.

In our realist interpretation, we understand this quantum-mechanical rule in terms of mass or energy densities: we think of the oscillating or *Zitterbewegung* charge as passing more time here than there and, hence, the associated *energy density* will be higher here than there.<sup>37</sup> However, that interpretation does not matter here. We just want to show you that we can use this quantum-mechanical rule for calculating probabilities to derive the above-mentioned quantum-mechanical reversibility law. Indeed, the absolute square of a complex number is the product of the same number with its complex conjugate. We, therefore, get this<sup>38</sup>:

<sup>&</sup>lt;sup>33</sup> While a photon is generally considered to be a spin-one particle, it does not have a zero state. In contrast, spinone atoms are *composite* particles and, hence, its component particles may effectively line up in a way that produces a spin-zero state.

<sup>&</sup>lt;sup>34</sup> We find the term somewhat confusing but a polarized beam is a beam of particles with the same spin.

 <sup>&</sup>lt;sup>35</sup> See: Feynman's Lectures, III-5-5 (<u>Interfering Amplitudes</u>) and III-5-6 (<u>The Machinery of Quantum Mechanics</u>).
 <sup>36</sup> The absolute square is, obviously, a shorthand for the absolute value of the square.

<sup>&</sup>lt;sup>37</sup> You may think of this like follows. The energy in an oscillation – *any* physical oscillation – is always proportional to the *square* of the (maximum) amplitude of the oscillation, so we can write this:  $E \propto a^2$ . To be precise, the energy of an electron in our ring current model is equal to  $E = m \cdot a^2 \cdot \omega^2$ . We get this from (1) Einstein's mass-energy equivalence relation ( $E = m \cdot c^2$ ) and (2) our interpretation of *c* (lightspeed) as a tangential velocity ( $c = a \cdot \omega$ ). We think the mass of the electron is just the equivalent mass of the energy of the *Zitterbewegung* charge in its oscillation. We, therefore, think it makes sense to think that the probability of actually *finding* the charge here or there will be proportional to the energy density here and there.

<sup>&</sup>lt;sup>38</sup> The reader should carefully note what is commutative and what not here!

$$|\langle \phi \mid \chi \rangle|^{2} = \langle \phi \mid \chi \rangle \langle \phi \mid \chi \rangle^{*} = \langle \chi \mid \phi \rangle^{*} \langle \chi \mid \phi \rangle = \langle \chi \mid \phi \rangle^{*} \langle \chi \mid \phi \rangle = |\langle \chi \mid \phi \rangle|^{2}$$

At this point, the reader may wonder what we want to say here. We are just saying that the quantummechanical  $\langle \phi | \chi \rangle = \langle \chi | \phi \rangle^*$  rule only states physical processes should be reversible in space and in time, and that it amounts to stating that **the probability of going from the** *out*-state  $\chi$  to the *in*-state  $\phi$ is the same as going from the *in*-state  $\phi$  to the *out*-state  $\chi$ .

The question is: does this rule or law make sense? In other words, is it true, **always?** In mechanics – think of elastic collisions of particles or particles moving in force fields – it should be the case. However, when disintegration processes are involved, one should not expect this law to apply. Why not? Disintegration involves unstable systems moving to some kind of *stable* state. Energy, angular momentum, and linear momentum will be conserved, but that's about all we can say about it. Inventing new quantities to be conserved – such as strangeness<sup>39</sup> – or invoking other consequences of so-called symmetry-breaking processes does not add much explanatory power in our view.

[...]

Let us wrap up here—this *section* of this rather long and winding paper, at least! Let's summarize the basics of our discussion above: if we can go *from* state  $\varphi$  *to* state  $\chi$ , then we must be able to go *back* to state  $\varphi$  *from* state  $\chi$  in space and in time. This amounts to playing a movie backwards: an exploding suitcase bomb will, in practice, *not* suddenly un-explode and get back into the suitcase but, theoretically (read: making abstraction of arguments involving entropy and other *macroscopic* considerations), such reversed processes may be *imagined*.<sup>40</sup> However, instead of imaging an exploding or un-exploding bomb, let us stick to the more abstract business of states and vectors and so we should try to think of an apparatus or a process that will be operating on some state  $|\psi\rangle$  to produce some other state  $|\phi\rangle$ . The Great Dirac taught us to write that like this:

#### $\langle \phi | A | \psi \rangle$

We can now take the complex conjugate:

 $\langle \phi | \mathsf{A} | \psi \rangle^* = \langle \psi | \mathsf{A}^+ | \phi \rangle$ 

A<sup>+</sup> is, of course, the conjugate transpose of A – we write:  $A^+_{ij}=(A_{ji})^*$  – and we will call the operator (and the matrix) Hermitian if the conjugate transpose of this operator (or the matrix) gives us the same operator matrix, so that is if A<sup>+</sup> = A. Many quantum-mechanical operators are Hermitian, and we will also often *impose* that condition on the *S*-matrix. Why? Because you should think of an operator or an *S*-matrix as a symmetric apparatus or a reversible process. It's as simple as that. Hence, the Hermiticity

<sup>&</sup>lt;sup>39</sup> A careful analysis of the processes involving K-mesons in <u>Feynman's rather enigmatic Lecture on it</u> reveals that the quark hypothesis results from a rather unproductive approach to analyzing disintegration processes: inventing new quantities that are supposedly being conserved, such as strangeness, is... Well... As strange as it sounds. We, therefore, think the concept of quarks confuses rather than illuminates the search for a truthful theory of matter. See our introduction on *Smoking Gun Physics* in our general paper on <u>the power of classical physics</u>. This text is based on an earlier critical appraisal of <u>the theory of virtual particles</u>.

<sup>&</sup>lt;sup>40</sup> This rather extreme example of before and after states is, perhaps, less extreme than it appears to be: the proton-proton collisions in CERN's LHC colliders are quite violent too, albeit at a much smaller scale. However, as mentioned, one should not necessarily expect that the *disintegration* processes involved in the creation of such *disequilibrium* situations would or should be reversible.

condition amounts to a simple reversibility condition too!

Needless to say, we may be mistaken, of course! We, therefore, invite the professional reader to challenge this interpretation! The professional reader may also note we forgot to specify the second of the three basic rules of quantum math, and that's the resolution of amplitudes into base states—which is written like this:

$$\langle \chi \mid \phi \rangle = \Sigma_{\text{all } i} \langle \chi \mid i \rangle \langle i \mid \phi \rangle$$

This, too, can be shown to be true based on a thought experiment<sup>41</sup> or, else, one can use a much more abstract argument (as Dirac does as part of his formalization of the theoretical framework for working with amplitudes) and simply write:

$$| = \sum_{\text{all } i} | i \rangle \langle i |$$

This, then allows us to do what we did, and that's to write the  $\langle \chi | S | \varphi \rangle$  amplitude as  $\Sigma_{ij} \langle \chi | i \rangle \langle i | S | j \rangle \langle j | \varphi \rangle$ .

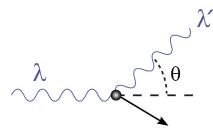
Any case, this is all very abstract and, therefore, very tiring. Furthermore, it does not amount to what we think of as any *real* explanation for real-life physical processes: it merely *describes* them. As mentioned, this is more like economists modeling input-output relations: we get a *mathematical description* of what goes in and what goes out but it doesn't tell us how stuff actually *happens*.

So how would or might a *real* explanation then look like? Let us illustrate this using the example of Compton scattering.

## A classical explanation of Compton scattering

#### Energy and momentum conservation

Compton scattering is referred to as inelastic because the frequency of the incoming and outgoing photon are different. The situation is illustrated below.



The reader will be familiar with the formulas and, most probably, also with how these formulas can be derived from two very classical laws only: (1) energy conservation and (2) momentum conservation. Let us quickly do this to remind the reader of the elegance of classical reasoning:

**1.** The energy conservation law tells us that the total (relativistic) energy of the electron ( $E = m_e c^2$ ) and the incoming photon must be equal to the total energy of the outgoing photon and the electron, which

<sup>&</sup>lt;sup>41</sup> Feynman provides such argument based on placing an open filter (no masks) in-between two other filters. See the above-mentioned reference to Feynman's *Lectures* (III-5-5).

is now moving and, hence, includes the kinetic energy from its (linear) motion. We use a prime (') to designate variables measured after the interaction. Hence,  $E_{e'}$  and  $E_{\gamma'}$  are the energy of the moving electron (e') and the outgoing photon ( $\gamma'$ ) in the state after the event. We write:

$$E_e + E_{\gamma} = E_{e'} + E_{\gamma'}$$

We can now use (i) the mass-energy equivalence relation ( $E = mc^2$ ), (ii) the Planck-Einstein relation for a photon ( $E = h \cdot f$ ) and (iii) the relativistically correct relation ( $E^2 - p^2c^2 = m^2c^4$ ) between energy and momentum for *any* particle – charged or non-charged, matter-particles or photons or whatever other distinction one would like to make<sup>42</sup> – to re-write this as<sup>43</sup>:

$$m_{e}c^{2} + hf = \sqrt{p_{e'}^{2}c^{2} + m_{e}^{2}c^{4} + hf'} \iff p_{e'}^{2}c^{2} = (hf - hf' + m_{e}^{2}c^{4})^{2} - m_{e}^{2}c^{4}$$
(1)

**2.** This looks rather monstrous but things will fall into place soon enough because we will now derive another equation based on the momentum conservation law. Momentum is a vector, and so we have a vector equation here<sup>44</sup>:

$$\vec{p}_{\gamma} = \vec{p}_{\gamma'} + \vec{p}_{e'} \Leftrightarrow \vec{p}_{e'} = \vec{p}_{\gamma} - \vec{p}_{\gamma'}$$

For reasons that will be obvious later – it is just the usual thing: ensuring we can combine two equations into one, as we did with our formulas for the radius – we square this equation and multiply with Einstein's constant  $c^2$  to get this<sup>45</sup>:

$$\vec{p}_{e'}^2 = \vec{p}_{\gamma}^2 + \vec{p}_{\gamma'}^2 - 2\vec{p}_{\gamma}\vec{p}_{\gamma'} \iff p_{e'}^2c^2 = p_{\gamma}^2c^2 + p_{\gamma'}^2c^2 - 2(p_{\gamma}c)(p_{\gamma'}c) \cdot \cos\theta$$
$$\iff p_{e'}^2c^2 = h^2f^2 + h^2f'^2 - 2(hf)(hf') \cdot \cos\theta \ (2)$$

**3.** We can now combine equations (1) and (2):

 $\mathbf{p}_{e'}^2 c^2 = (Eq.1) = (Eq.1) = (hf - hf' + m_e^2 c^4)^2 - m_e^2 c^4 = h^2 f^2 + h^2 {f'}^2 - 2(hf)(hf') \cdot \cos\theta$ 

<sup>&</sup>lt;sup>42</sup> This is, once again, a standard textbook equation but – if the reader would require a reminder of how this formula comes out of special relativity theory – we may refer him to the online *Lectures* of Richard Feynman. Chapters 15 and 16 offer a concise but comprehensive overview of the basics of relativity theory and <u>section 5 of Chapter 6</u> gives the reader the formula he needs here. It should be noted that we dropped the 0 subscript for the rest mass or energy:  $m_0 = m$ . The *prime* symbol (') takes care of everything here and so you should carefully distinguish between primed and non-primed variables.

<sup>&</sup>lt;sup>43</sup> We realize we are cutting some corners. We trust the reader will be able to *google* the various steps in-between.
<sup>44</sup> We could have used **boldface** to denote vectors, but the calculations make the arrow notation more convenient here. So as to make sure our reader stays awake, we note that the objective of the step from the first to the second equation is to derive a formula for the (linear) momentum of the electron *after* the interaction. As mentioned, the linear momentum of the electron *before* the interaction is zero, because its (linear) velocity is zero:

**p**e = **0**.

<sup>&</sup>lt;sup>45</sup> We do not want to sound disrespectful when referring to  $c^2$  as Einstein's constant. It has a deep meaning, in fact. Einstein does not have any fundamental constant or unit named after him. Nor does Dirac. We think  $c^2$  would be an appropriate 'Einstein constant'. Also, in light of Dirac's remarks on the nature of the strong force, we would suggest naming the unit of the strong charge after him. More to the point, note these steps – finally ! – incorporated the directional aspect we needed for the analysis. Note that we also use the rather obvious E = pc relation for photons in the transformation of formulas here.

The reader will be able to do the horrible stuff of actually squaring the expression between the brackets and verifying only cross-products remain. We get:

$$(hf - hf')m_{e}c^{2} = h(f - f')m_{e}c^{2} = h^{2}ff'(1 - \cos\theta)$$

Multiplying both sides of the equation by the 1/hmeff constant yields the formula we were looking for:

$$\frac{(f-f')m_{e}c}{f\cdot f'} = \frac{fm_{e}c - f'^{m_{e}}c}{f\cdot f'} = \frac{h}{m_{e}c}(1 - \cos\theta)$$
$$\Leftrightarrow \frac{c}{f'} - \frac{c}{f} = \lambda' - \lambda = \Delta f = \frac{h}{m_{e}c}(1 - \cos\theta)$$

The formulas allow us also to calculate the angle in which the electron is going to recoil. It is equal to:

$$\cot\left(\frac{\theta}{2}\right) = \left(1 + \frac{E_{\gamma}}{E_{e}}\right) \tan \phi$$

The *h*/mc factor on the left-hand side of the right-hand side of the formula for the difference between the wavelengths is, effectively, a distance: about 2.426 *pico*meter ( $10^{-12}$  m). The  $1 - \cos\theta$  factor goes from 0 to 2 as  $\theta$  goes from 0 to  $\pi$ . Hence, the maximum difference between the two wavelengths is about 4.85 pm. This corresponds, unsurprisingly, to *half* the (relativistic) energy of an electron.<sup>46</sup> Hence, a highly energetic photon could lose up to 255 keV.<sup>47</sup> That sounds enormous, but Compton scattering is usually done with highly energetic X- or gamma-rays.

Could we imagine that a photon loses all of its energy to the electron? No. We refer to Prof. Dr. Patrick LeClair's course notes on Compton scattering<sup>48</sup> for a very interesting and more detailed explanation of what actually happens to energies and frequencies, and what depends on what exactly. He shows that the electron's kinetic energy will always be a *fraction* of the incident photon's energy, and that fraction may approach but will never actually reach unity. In his words: "This means that there will always be some energy left over for a scattered photon. Put another way, it means that a stationary, free electron cannot absorb a photon! Scattering must occur. Absorption can only occur if the electron is bound to a nucleus."

#### What might actually be happening

A photon *interacts* with an electron, so we actually think of the photon as being briefly absorbed, before the electron emits another photon of *lower* energy. The energy difference between the incoming and outgoing photon then gets added to the *kinetic* energy of the electron according to the law we just derived:

$$\lambda' - \lambda = \Delta f = \frac{h}{mc}(1 - \cos\theta)$$

Now, we think of the interference as a process during which – temporarily – an unstable wavicle is created. This unstable wavicle does *not* respect the integrity of Planck's quantum of action ( $E = h \cdot f$ ). The equilibrium situation is then re-established as the electron emits a new photon and moves away. Both

<sup>&</sup>lt;sup>46</sup> The energy is inversely proportional to the wavelength:  $E = h \cdot f = hc/\lambda$ .

<sup>&</sup>lt;sup>47</sup> The electron's rest energy is about 511 keV.

<sup>&</sup>lt;sup>48</sup> See the exposé of Prof. Dr. Patrick R. LeClair on Compton scattering.

the electron and the photon respect the integrity of Planck's quantum of action again and they are, therefore, *stable*.

The geometry of the whole thing is simple and difficult at the same time. There is, for example, also a formula for the angle of the *outgoing* photon, which uses the angle for the *incoming* photon, but that's all stuff which the reader can look up. The question is: how does this happen, *exactly*? And what determines the *plane* which is formed by the outgoing photon and the recoiling electron?

None of the standard textbooks will try to answer that question, because they don't think of electrons and photons as having some *internal structure* which may explain all of the formulas they get out of their arguments, which are based on the conservation of (1) energy and (2) linear and angular momentum. In contrast, we believe our geometrical models may not only show *why* but also *how* all of this happens. Let us walk over the basics of that.

#### The Compton wavelength as a circumference

According to our ring current model of an electron, the wavefunction  $\psi(\mathbf{x}, t) = \psi(x, y, z, t)$  describes the actual position of the pointlike *Zitterbewegung* charge in its oscillatory motion around some center.<sup>49</sup> We may therefore paraphrase Prof. Dr. Patrick LeClair and identify the Compton wavelength with a *"distance scale within which we can localize the electron in a particle-like sense."*<sup>50</sup> Why are we so sure of that?</sup>

We should first discuss the  $2\pi$  factor. Indeed, we have h, not  $\hbar$ , in the equation. Hence, should we think of the Compton *wavelength* or the Compton *radius* of an electron?  $2\pi$  is a sizable factor – a factor equal to about 6.28 – so that is large enough to matter when discussing *size*.<sup>51</sup> Of course, we know it is the factor which relates the *circumference* of a circle with its radius but a wavelength is something linear, isn't it? If we should think of the wavelength of an electron, then what should we *imagine* it to be anyway? We all know that *Louis de Broglie* associated a wavelength with the *classical* momentum p =

<sup>&</sup>lt;sup>49</sup> We are often tempted to use a semicolon to separate the time variable from the space coordinates. Hence, we would prefer to write  $\psi(\mathbf{x}, t) = \psi(x, y, z; t)$  instead of  $\psi(\mathbf{x}, t) = \psi(x, y, z; t)$ . The semicolon then functions as a serial comma. Of course, we are well aware of Minkowski's view on the relativity of space and time: "Space by itself, and time by itself, are doomed to fade away into mere shadows, and only a kind of union of the two will preserve an independent reality." However, we feel space and time are related but also very separate categories of our mind: perhaps some very advanced minds may claim they can effectively understand the Universe in terms of four-vector algebra, but we surely do not. We understand things in terms of motion, and any equation of motion implies the idea of a motion in space and in time—not in some 'kind of union of the two'. What I am saying is that the oft-used concept of spacetime is not something we can easily *imagine*: space and time are fundamentally different categories of the mind. We cannot go backward in time, for example. Not in our reality, at least. Why not? Because the **x** = (x, y, z) = ( $f_x(t)$ ,  $f_y(t)$ ,  $f_z(t) = f(t)$  – the fundamental equation of motion – would no longer be a proper function: a physical object cannot be at two different places at the same point in time. It can return to a place where it was (or it can simply stay where it is), but it will then be there at a different point of time. That's why time goes by in one direction only. Why do we highlight this point? Because some physicists – including Feynman – seem to suggest we should think of antimatter as particles traveling back in time. We think that is plain nonsensical.

<sup>&</sup>lt;sup>50</sup> See the reference above.

<sup>&</sup>lt;sup>51</sup> The *nature* of a  $2\pi$  factor is definitely very different from that of a 2 or 1/2 factor, which we often got when analyzing something using non-relativistic equations. A  $2\pi$  factor is associated with something circular, so we need to explain *what circular feature*, and not in approximate but in *exact* terms—which is not easy in this particular case.

mv of a particle but, again, how should we *imagine* this wavelength? The *de Broglie* relation says  $\lambda = h/p = h/mv$  goes to infinity ( $\infty$ ) for v going to 0 and m going to m<sub>0</sub> (the rest mass of the electron). Hence, the *de Broglie* and the *Compton* wavelength of an electron are very different concepts:

$$\lambda_c = h/mc \neq \lambda = h/p = h/mv$$

The illustration below (for which credit goes to an Italian group of *zbw* theorists<sup>52</sup>) helps to make an interesting point. Think of the black circle (in the illustration on the left-hand side below) as circumscribing the *Zitterbewegung* of the pointlike charge. Think of it as the electron at rest: its *radius* is the radius of the oscillation of the oscillation of the *zbw* charge  $a = \hbar/mc$ . Note that we actually do ask you to make abstraction of the two-dimensional *plane* of the oscillation of the *Zitterbewegung* (*zbw*) charge, which need not be perpendicular to the direction of motion of the electron as a whole: it can be in the same plane or, most likely, it may be *zittering* around itself. The point is this: we can introduce yet another definition of a wavelength here—the distance between two crests or two troughs of the wave, as shown in the illustration on the right-hand side.<sup>53</sup>

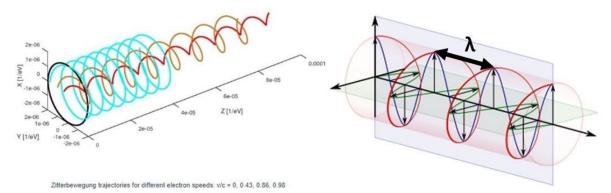


Figure 4: The wavelength(s) of an electron

We should now present a rather particular geometric property of the *Zitterbewegung (zbw)* motion: the  $a = \hbar/mc$  radius – the Compton radius of our electron – must decrease as the (classical) velocity of our electron increases. That is what is visualized in the illustration on the left-hand side (above). What happens here is quite easy to understand. If the tangential velocity remains equal to *c*, and the pointlike charge has to cover some horizontal distance as well, then the circumference of its rotational motion

<sup>&</sup>lt;sup>52</sup> Vassallo, G., Di Tommaso, A. O., and Celani, F, *The Zitterbewegung interpretation of quantum mechanics as theoretical framework for ultra-dense deuterium and low energy nuclear reactions*, in: Journal of Condensed Matter Nuclear Science, 2017, Vol 24, pp. 32-41. Don't worry about the rather weird distance scale ( $1 \times 10^{-6} \text{ eV}^{-1}$ ). Time and distance can be expressed in *inverse* energy units when using so-called *natural units* ( $c = \hbar = 1$ ). We are not very fond of using natural units because we think they may *hide* rather than clarify or simplify some of the more fundamental relations. Just note that  $1 \times 10^{-9} \text{ eV}^{-1} = 1 \text{ GeV}^{-1} \approx 0.1975 \times 10^{-15} \text{ m}$ . As you can see, the *zbw* radius (for v = 0) is of the order of  $2 \times 10^{-6} \text{ eV}^{-1}$  in the diagram, so that's about  $0.4 \times 10^{-12}$  m, which is more or less in agreement with the Compton radius as calculated ( $a_{v=0} \approx 0.386 \times 10^{-12} \text{ m}$ ).

<sup>&</sup>lt;sup>53</sup> Because it is a wave in two or three dimensions, we cannot really say there are crests or troughs, but the terminology might help you with the interpretation of the rather particular geometry here, which is that of an Archimedes screw, but that's only because of the rather particular orientation of the *plane* of the *zbw* oscillation, which we ask you *not* to accept for granted: you should, instead, imagine the plane of oscillation itself is probably *not* stable: the (in)famous uncertainty in quantum mechanics may actually be related to our lack of knowledge in regard to the plane of the *zbw* oscillation: it may itself be *zittering* around.

*must* decrease so it can cover the extra distance. How can we analyze this more precisely? This rather remarkable thing should be consistent with the use of the *relativistic* mass concept in our formula for the *zbw* radius *a*, which we write as:

$$a = \frac{\hbar}{\mathrm{m}c} = \frac{\lambda_C}{2\pi}$$

The  $\lambda_c$  is the *Compton* wavelength, so that's the circumference of the circular motion.<sup>54</sup> How can it decrease? If the electron moves, it will have some kinetic energy, which we must add to the *rest energy*. Hence, the mass m in the denominator (mc) increases and, because  $\hbar$  and c are physical constants, a must decrease.<sup>55</sup> How does that work with the frequency? The frequency is proportional to the energy (E =  $\hbar \cdot \omega = h \cdot f = h/T$ ) so the frequency – in whatever way you want to measure it – must also *increase*. The *cycle* time T, therefore, must *decrease*. What happens, really, is that we are stretching our Archimedes' screw, so to speak. It is quite easy to see that we get the following formula for our new  $\lambda$  wavelength:

$$\lambda = v \cdot \mathbf{T} = \frac{v}{f} = v \cdot \frac{h}{\mathbf{E}} = v \cdot \frac{h}{\mathbf{mc}^2} = \frac{v}{c} \cdot \frac{h}{\mathbf{mc}} = \beta \cdot \lambda_c$$

Can the (classical or linear) velocity go to c? In theory, yes, but, in practice, no. The m in the formula is not the mass of the *zbw* charge but the mass of the electron as a whole. That is *non-zero* for v = 0, unlike the rest mass of the *zbw* charge, which only acquires mass because of its motion. We calculated this *relativistic* mass of the *zbw* charge as equal to 1/2 of the electron (rest) mass. The point is this: we are *not* moving a zero-mass thing here. The energy that is, therefore, required to bring v up to c will be infinitely large: think of the enormous energies that are required to speed electrons up to *nearlightspeed* in accelerators.

The point is this: an electron does not become photon-like when moving at near-lightspeed velocities. However, we do see that the *circumference* of the circle that circumscribes the two-dimensional *zbw* oscillation of the *zbw* charges does seem to transform into some linear wavelength when *v* goes to *c*!

Of course, we immediately admit this still does not *explain* what's going on, *exactly*. It only shows we should not necessarily think of the Compton wavelength as a purely *linear* feature.

Another remark that we should make here is that, while we emphasize that we should not think of a photon as a *charge* travelling at lightspeed – it is *not*—and I mean *not at all*: photons do *not* carry charge<sup>56</sup> – the analysis above does relate the geometry of our *zbw* electron to the geometry of our photon model. Let us quickly introduce that now as part of a larger reflection of what may or may not be going on in photon-electron interactions.

#### Probing electrons with photons

The relation between what we think of as the *radius* of the *Zitterbewegung* oscillation of the electric charge ( $a = \hbar/m_ec$ ), the *Compton* wavelength of an electron ( $\lambda_c = h/m_ec$ ), and the *wavelength* of a

<sup>&</sup>lt;sup>54</sup> Needless to say, the *C* subscript stands for the *C* of Compton, not for the speed of light (*c*).

<sup>&</sup>lt;sup>55</sup> We advise the reader to always think about proportional (y = kx) and inversely proportional (y = x/k) relations in our *exposé*, because they are not always intuitive.

<sup>&</sup>lt;sup>56</sup> This is, in fact, the quintessential difference between matter-particles and energy carriers such as photons (for the electromagnetic force) and neutrinos (for the strong(er) force).

photon ( $\lambda = c/f$ ) is not very obvious. However, we should not be discouraged because we immediately note on thing, at least: the wavelength of a photon is the same as its Compton wavelength *and* its *de Broglie* wavelength. Furthermore, because v = c and, therefore,  $\beta = 1$ , it is also equal to that *third* wavelength we introduced above:  $\lambda = \beta \lambda_c$ . In short, the wavelength of a photon is its wavelength, so we write:

$$\lambda = \frac{c}{f} = \frac{ch}{E} = \frac{ch}{mc^2} = \frac{h}{mc} = \frac{h}{p}$$

But so what *is* that wavelength, *really*? Indeed, we should probably start by recognizing this: when probing the *size* of an electron with photons, we had better have some idea of what a photon actually *is*. Indeed, almost any textbook will tell you that, because of the wave nature of light, there is a limitation on how close two spots can be and still be seen as two separate spots: that distance is *of the order of* the wavelength of the light that we are using.<sup>57</sup> There is a reason for that, of course, and it's got as much to do with the wave as with the particle nature of light. Light comes in lumps too: photons. These photons *pack* energy but they also pack one (natural) unit of *physical action* (*h*) or – what amounts to the same – one unit of angular momentum ( $\hbar$ ).

Let us recall the basics of what we have actually presented a few times in previous papers already.<sup>58</sup> Angular momentum comes in units of  $\hbar$ . When analyzing the electron orbitals for the simplest of atoms (the one-proton hydrogen atom), this rule amounts to saying the electron orbitals are separated by a amount of *physical action* that is equal to  $h = 2\pi \cdot \hbar$ . Hence, when an electron jumps from one level to the next – say from the second to the first – then the atom will lose one unit of h. The photon that is emitted or absorbed will have to pack that somehow. It will also have to pack the related energy, which is given by the Rydberg formula:

$$\mathbf{E}_{n_2} - \mathbf{E}_{n_1} = -\frac{1}{{n_2}^2} \mathbf{E}_R + \frac{1}{{n_1}^2} \mathbf{E}_R = \left(\frac{1}{{n_1}^2} - \frac{1}{{n_2}^2}\right) \cdot \mathbf{E}_R = \left(\frac{1}{{n_1}^2} - \frac{1}{{n_2}^2}\right) \cdot \frac{\alpha^2 \mathbf{m}c^2}{2}$$

To focus our thinking, we considered the transition from the second to the first level, for which the  $1/1^2 - 1/2^2$  factor is equal 0.75. Hence, the energy of the photon that is being emitted will be equal to  $(0.75) \cdot E_R \approx 10.2 \text{ eV}$ . Now, if the total action is equal to h, then the cycle time T can be calculated as:

$$\mathbf{E} \cdot \mathbf{T} = h \Leftrightarrow \mathbf{T} = \frac{h}{\mathbf{E}} \approx \frac{4.135 \times 10^{-15} \text{eV} \cdot \text{s}}{10.2 \text{ eV}} \approx 0.4 \times 10^{-15} \text{ s}$$

This corresponds to a wavelength of  $(3 \times 10^8 \text{ m/s}) \cdot (0.4 \times 10^{-15} \text{ s}) = 122 \text{ nm}$ , which is the wavelength of the light ( $\lambda = c/f = c \cdot T = h \cdot c/E$ ) that we would associate with this photon energy.<sup>59</sup> This rather simple calculation is sufficient to illustrate our photon model: we think of a photon as being *pointlike* but, at the same time, the Planck-Einstein relation tells us it packs *one wavelength*—or *one cycle*. The *integrity* of that cycle is associated with its energy (E) and its cycle time (T) or – alternatively – with its momentum

<sup>&</sup>lt;sup>57</sup> See, for example, <u>Feynman's discussion of using photons to try to detect electrons as part of the two-slit</u> <u>experiment</u>.

<sup>&</sup>lt;sup>58</sup> See, for example, our paper on *Relativity, Light, and Photons*.

<sup>&</sup>lt;sup>59</sup> Just so you can imagine what we are talking about, this is short-wave ultraviolet light (UV-C). It is the light that is used to purify water, food or even air. It kills or inactivate microorganisms by destroying nucleic acids and disrupting their DNA. It is, therefore, harmful. Fortunately, the ozone layer of our atmosphere blocks most of it.

(p) and its wavelength ( $\lambda$ ), as evidenced in the E·T = p· $\lambda$  = *h* relation:

$$p = mc = \frac{E}{c^2}c = \frac{E}{c} \Leftrightarrow pc = E$$
$$c = f\lambda = \frac{E}{h}\lambda \Longrightarrow \frac{hc}{\lambda} = E$$
$$\Rightarrow p \cdot c = \frac{h \cdot c}{\lambda} \Leftrightarrow p \cdot \lambda = h = E \cdot T$$

So, yes, the equations above sort of vaguely tell us that if we think of measuring some distance or some time – as we do when we probe an electron with photons – that we will have to content ourselves with measuring it in wavelength units ( $\lambda$ ) or, equivalently, in cycle time units ( $T = 1/f = \lambda/c$ ).

However, when probing electrons as part of Compton scattering processes, we are beating the usual game here: we are actually measuring an *effective radius of interference* not in terms of the wavelength of the light that we are using but in terms of the *difference* in the wavelength of the photon that goes in and comes out of the scattering process. That's what the Compton scattering formula tells us:

$$\lambda' - \lambda = \Delta f = \frac{h}{\mathrm{m}c}(1 - \cos\theta)$$

We already mentioned that the  $1 - \cos\theta$  factor on the right-hand side of this equation goes from 0 to 2 as  $\theta$  goes from 0 to  $\pi$ . Hence, the maximum possible change in the wavelength is equal to  $2\lambda_c$ , which we get from a head-on collision with the photon being scattered backwards at 180 degrees.<sup>60</sup> However, that doesn't answer the question in regard to the  $2\pi$  factor: the h/mc factor is still the Compton wavelength, so that is  $2\pi$  times the radius:  $\lambda_c = 2\pi \cdot a = 2\pi\hbar/mc$ . In fact, mentioning the  $2\lambda_c$  value for the maximum difference in wavelength introduces an additional factor 2.

Who ordered *that*? Let us advance a *possible* explanation: we are *not* saying it is *the* explanation, but it may be *an* explanation. It goes like follows.

#### The electron-photon excitation: a temporary spin-2 particle?

We think the energy of the incident photon – as an electromagnetic oscillation, that is – is temporarily absorbed by the electron and, hence, the electron is, therefore, in an excited state, which is a state of non-equilibrium. As it returns to equilibrium, the electron emits some of the excess energy as a new photon, and the remainder gives the electron as a whole some additional momentum.

How should we model this? One intriguing possibility is that the electron radius becomes larger because it must now incorporate *two* units of *h* or, when talking angular momentum, two units of  $\hbar$ . So we should, perhaps, re-do our calculation of the Compton radius of our electron as follows:

$$E = mc^{2} \\ E = 2hf = 2\hbar\omega \} \Rightarrow mc^{2} = 2\hbar\omega \\ c = a\omega \iff a = \frac{c}{\omega} \iff \omega = \frac{c}{a} \} \Rightarrow ma^{2}\omega^{2} = 2\hbar\omega \implies m\frac{c^{2}}{\omega^{2}}\omega^{2} = \frac{2\hbar c}{a} \iff a = \frac{2\hbar}{mc}$$

This may actually work—as long as we remember the energy and mass factors here are the combined

<sup>&</sup>lt;sup>60</sup> The calculation of the angle of the *outgoing* photon involves a different formula, which the reader can also look up from any standard course. See, for example, Prof. Dr. Patrick R. LeClair's lecture on Compton scattering, which we referenced already. The reader should note that the  $1 - \cos\theta$  is equal to -1 for  $\pm\pi$ , and that there is *no* change in wavelength for  $\theta = 0$ , which is when the photon goes straight through, in which case there is no scattering.

energies and masses of the electron *and* the photon. Of course, this triggers the next question: what's the typical energy or mass of the incoming photon? To demonstrate the Compton shift, Compton used X-ray photons with an energy of about 17 keV, so that's about 3.3% of the energy of the electron, which is equal to 511 keV. For practical purposes, we may say the photon doesn't change the energy of the electron very much but, of course, it is significant enough to cause a significant *change* in the state of motion of the electron.<sup>61</sup>

Hence, the excited state of an electron may involve a *larger* radius—twice as large, *approximately*. Do we think it explains the above-mentioned factor 2? We will let the reader think for himself here as we haven't made our mind up on it yet.

## Conclusions

The professional reader will point out that – despite our claim we'd give you a *real* explanation of Compton scattering – we failed to produce all of the *details* of the process. How does it work, *exactly*? We effectively did not work out all of the details, but we do have some very strong *clues* here on how a *definitive* explanation – including *all* relevant variables, including the mentioned *plane* formed by the outgoing photon and the recoiling electron – may look like. The *plane* of the *Zitterbewegung* oscillation, for example, must – without any doubt – play a crucial role in determining the angles of the incoming as well as the outgoing photon. Also, it is quite obvious that the *circular motion* of the *zbw* charge must explain the  $\pi$  or  $2\pi$  factor. It is *not* a size factor: the size of the electron is of the order of the Compton radius (*a*) but, because of the circular motion, it is actually the *circumference* of the motion ( $\lambda = 2\pi a$ ) that must enter the Compton scattering formula.

The image of a hand sling throwing a stone comes to mind, of course—but that's probably too simplistic: throwing some mass out by converting circular to linear motion is easy enough to imagine, but here we're not talking mass. At the same time, our models are all 'mass without mass' models and so they show that energy is, ultimately, motion: an oscillating force on a charge in case of the electron – or, in case of the photon, an oscillating electromagnetic field. The motion that's associated with an electron is circular, while the motion of the oscillating electromagnetic field is linear. As such, we may – figuratively speaking – say that 'circular' motion is being converted into 'linear' motion, and vice versa.

In terms of the 'mechanics' of what might actually be going on when a photon is absorbed or emitted by an electron, that's all what we can give you for the time being ! You'll have to admit we can't quite show you what's going on inside of the box, but we did open it at least, didn't we? Just in case you think we didn't, we invite you – once again – to google the papers explaining the *S*-matrix of Compton scattering processes.<sup>62</sup> We are sure you will prefer to re-read our paper and – who knows? – start doing some pretty calculations yourself!

<sup>&</sup>lt;sup>61</sup> Arthur Compton actually did *not* fire photons into free electrons but into electron shells bound into atoms: it is only because the *binding* energy between the nucleus and the orbital electron is much lower than the energy of the X-ray photons that one could think of the electrons as being free. In fact, the experiment knocked them out of their orbitals!

<sup>&</sup>lt;sup>62</sup> As mentioned in the introduction, we rather randomly googled the following two papers: <u>https://arxiv.org/abs/1512.06681</u> and <u>https://arxiv.org/abs/1810.11455</u>. You may get others. This *input-output calculus* approach allows for endless refinements and, hence, endless research—which is always nice from an academic point of view, of course.