The curve of matrix multiplication schemes

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ABSTRACT. Various methods have been devised to multiply N×N matrices using $O(N^E)$ arithmetic operations when $N \rightarrow \infty$, for various exponents E with 2<E≤3. However, in practice, the schemes with least known E are *not* the best, because they only start to win for infeasibly large N. Prior literature has unhealthily been fixated on E alone, i.e. on the asymptotic large-N performance alone. To address that, we propose investigating, for each method, not merely its E, but also its "breakeven N," meaning the least N causing that method to use fewer than the obvious algorithm's N³ bilinear multiplications [or fewer than Strassen's $O(N^{2.807355})$ scheme]. The set of (E,logN) datapoints then form a subset of the infinite rectangle (2,3]×[0,∞). Part of that rectangle is filled with datapoints, while another part contains none. What is of interest is the *curve* delineating the boundary between those two regions.

Along the way we also provide the best available review (with new results and a numerical table) of bounds on the Salem-Spencer function: the cardinality of the largest subset of {1,2,3,...,X} free of 3-term arithmetic progressions.

Notation

The "(a,b,c) matrix multiplication problem" shall mean multiplying a×b and b×c matrices to obtain an a×c matrix. We shall mainly be interested in the (N,N,N), i.e. square-matrices, case. Any M-multiplication bilinear algorithm to solve (a,b,c) yields as a consequence bilinear algorithms for (N,N,N) with $O(N^E)$, where E=3log(M)/log(abc), arithmetic operations. Rk[T] shall mean the minimum number of multiplications in a bilinear algorithm for solving problem T. And Rk_h[T] shall mean the same thing, but for "<u>APA</u> algorithms of degree=h," and <u>Rk</u>[T]=Rk_∞[T] shall mean in the case where unboundedly large h is permitted. It is known ("hexality") that (a,b,c), (a,c,b), (b,c,c), (b,c,a), (c,a,b), and (c,b,a) all have the same Rk[T]. Obviously Rk[(a,b,c)] is an increasing function of a,b, and c (proof: consider "zero padding"). We shall use T=U⊕V to denote the task T consisting of solving *both* problem U and (apparently wholy independent) problem V. And T=U⊗V denotes the "tensor product" task where each element of task U is replaced by an instance of task V; here note (aA,bB,cC)=(a,b,c)⊗(A,B,C) because each entry of the a×b, b×c, and a×c matrices is replaced by a block, the respective block sizes being A×B, B×C, and A×C. It is known that ⊗ is associative and together with ⊕ satisfies the distributive law, Rk[U⊗V]≤Rk[U]·Rk[V] and Rk[U]≤Rk[U]+Rk[V] and Rk[(a+A,b,c)]≤Rk[(a,b,c)]+Rk[(A,b,c)]. And correspondingly for the Rk_h and <u>Rk</u> variations, albeit with Rk_{max(j,h)}[U⊕V]≤Rk_j[U]+Rk_h[V] and Rk_{j+h}[U⊗V]≤Rk_j[U]·Rk_h[V]. Finally U⊗U⊗...⊗U with p letters "U" is the "pt^h tensor power" of U, written U^{⊗p}.

the "p" tensor power" of U, written U

Strassen versus Obvious

The obvious matrix multiplication method uses $M_{obv}(N)=N^3$ elementwise multiplication and $A_{obv}(N)=(N-1)N^2$ addition ops. In 1969, V.Strassen invented a formula to multiply 2×2 matrices *not* via the obvious (8×, 4+) scheme, but rather (7×, 18±), which Winograd 1971 improved to (7×, 15±). Strassen's "7" was shown to be best possible by both Hopcroft & Kerr and Winograd independently. Probert 1976 proved that Winograd's "15" was best possible if we are using 7 muls. However, Karstadt & Schwartz 2020 pointed out a way to do it in (7×, 12±) if the basis is changed (also showing "12" is best possible) provided the basis-change operations are not counted as part of the "cost."

Importantly, both the obvious, Strassen's, Winograd's, and Karstadt & Schwartz's formulas work even if the 2×2 matrices' entries are members of an arbitrary possibly-*noncommutative* ring. Therefore, we can recurse, i.e. can multiply 2N×2N matrices (even-sized) via 15 additions and 7 multiplications of N×N sublocks. Odd-sized matrices can be handled by treating their last row and last column conventionally, then handling the four (N-1)/2×(N-1)/2 remaining blocks by Strassen recursion. If T(N) denotes the runtime (e.g. op-count) to multiply N×N matrices, then we have T(2N)≤7T(N)+O(N²) and T(2N+1)≤7T(N)+O(N²) where for all sufficiently-small N we may use the obvious scheme, i.e. if 1≤N≤N₀ then use T(N)=N³ muls plus (N-1)N² adds. (Choose the threshold N₀ to optimize performance.) The solution is T(N)=O(N^S) where **S=log₂7≈2.807354922** is the **Strassen exponent**.

Unfortunately by using O-notation, we've *hidden* the constant factor. If we let $A_{str}(N)$ denote the number of addition/subtraction ops, and $M_{str}(N)$ denote the number of multiplications, then $A_{str}(2N)=7A_{str}(N)+15N^2$, $M_{str}(2N)=7M_{str}(N)$, $A_{str}(2N+1)=7A_{str}(N)+15N^2+(12N+2)N$, $M_{str}(2N+1)=7M_{str}(N)+(12N+6)N+1$, except that $A_{str}(N)=A_{obv}(N)$ and $M_{str}(N)=M_{obv}(N)$ if $N\leq N_0$. The simplest (but perhaps stupid) choice is to use $N_0=1$. I'll call that "**Str1**." These recurrences may equivalently be written in "top down" rather than "bottom up" style:

 $M_{str}(N) = 7M_{str}(\lfloor N/2 \rfloor) + (N \mod 2)(3[N-1]N+1), \qquad A_{str}(N) = 7A_{str}(\lfloor N/2 \rfloor) + 15\lfloor N/2 \rfloor^2 + (N \mod 2)(3N-2)(N-1)$

if N>N₀, with the base cases when N₀=1 being $M_{str1}(1)=1$ and $A_{str1}(1)=0$. These show $1 \le N^{-S}M_{str1}(N) \le 47/30 \approx 1.5666$ and $2.7666 \approx 83/30 \le N^{-S}A_{str1}(N) \le 5$ and we get

Ν	M _{obv} (N)	A _{obv} (N)	M _{str1} (N)	A _{str1} (N)	Ν	M _{obv} (N)	A _{obv} (N)	M _{str1} (N)	A _{str1} (N)
2	8	4	7	15	3	27	18	26	29
4	64	48	49	165	7	343	294	309	452
8	512	448	343	1395	15	3375	3150	2794	4501
16	4096	3840	2401	10725	31	29791	28830	22349	37612
32	32768	31744	16807	78915	63	250047	246078	168162	289293
64	262144	258048	117649	567765	127	2048383	2032254	1225141	2132340
128	2097152	2080768	823543	4035795	255	16581375	16516350	8770298	15362117
256	16777216	16711680	5764801	28496325	511	133432831	133171710	62173917	109291004
512	134217728	133955584	40353607	200457315	1023	1070599167	1069552638	438353938	772088317
1024	1073741824	1072693248	282475249	1407133365	2047	8577357823	8573167614	3081042053	5432876548
2048	8589934592	8585740288	1977326743	9865662195	4095	68669157375	68652388350	21617589162	38143275573

4096	68719476736	68702699520	13841287201	69122549925
8192	549755813888	549688705024	96889010407	484109507715
16384	4398046511104	4397778075648	678223072849	3389773186965
32768	35184372088832	35183298347008	4747561509943	23732438840595

81915495545118715494874193901515243770052674557008761638343972412538874396972851198106147579795418740014195032767351811509616633518007728537474335515162451312525684186553528146209200537528145779716915052047744925786919057872184

Therefore on a machine on which multiplication is >11 times more expensive than addition, Str1 would beat Obvious for every N≥2. But even on a machine on which multiplication and addition took equal time (and even using N_0 =1) the table shows Str1 would beat Obvious when N=512 and N=63, and hence for every N≥512. (And even in a ridiculous world where addition was arbitrarily *more* expensive than multiplication, Str1 still would win when N=8192 and N=255 and hence for every N≥8192.) If however we note that even on such an equal-time machine multiplying N×N *matrices* by the obvious method *is* >11 times more expensive than adding those matrices for each N>6, then we see that Strassen-Winograd using N_0 =13, "Str13," should beat Obvious for every N≥14 based on total (add & mul) op-count alone (and tie it for 1≤N≤13). This has ignored the fact Strassen-Winograd performs extra data copying and temporary storage. In addition to the 3N² entries of the 2 input and 1 output matrices, (2/3)N² extra words of storage are required if Boyer et al 2009's memory-efficient scheduling (their "table 1" due to Douglas et al 1994, or "table 9") are employed; Boyer "algorithm 2" gives a schedule using no extra storage at all ("in place") but increasing the constant factor in Strassen's arithmetic-op count by 20%; neither extra storage nor any constant factor op-count increase are needed if we permit overwriting both input matrices (their "algorithm 1").

The Karstadt-Schwartz (7×, 12±) changed-basis verson of Strassen can actually be made to work to reduce the total arithmetic-op count by a factor of 1.2 versus Strassen-Winograd when $N \rightarrow \infty$. They claim it experimentally outperforms Strassen-Winograd by about 12% when N=32768.

What happens on **real machines**? I first began programming on 8-bit microprocessors such as the Zilog Z80, Mostek 6502, Intel 8051, and RCA 1802 which had no hardware multiplication instruction. If you wanted to multiply, you needed to program multiplication using shifts and adds. (The Z80 was first sold in 1976 with clock speed 2.5 MHz, contained 8500 transistors, ran on a single 5-volt power supply thanks to using NMOS technology, and on average code would execute about 1 instruction every 7 clock cycles. Although the Z80 connected to external memory via an 8-bit-wide bus, internally some of its instructions could operate on 16-bit-wide data.) I <u>found</u> on the web some 64-bit unsigned integer multiply (128-bit output) routines for the Z80 claiming average runtime 8721 cycles; and <u>other</u> code (codelength 45 bytes) to add or subtract 64-bits words in 294 cycles. So the multiply/add time-ratio for 64-bit integers on the Z80 was about 8721/294≈29.66. Since this far exceeds 11, **for matrices of 64-bit numbers on the Z80, Strassen would have been superior to Obvious for every matrix size N≥2**.

In 1979, the Motorola 68000 processor came out. It had 68000 transistors, still used 5-volt NMOS, had clock frequencies 4-17 MHz, 16-bit bus but many 32-wide internal instructions, and on average code performed about 1 instruction every 5.7 clock cycles. (The "68SEC000," a CMOS version of the original 68000, as of year 2023 is still in production from Freescale Semiconductor Inc. with clock speeds 10-20 MHz and supply voltage 3.5-5V. The "eZ80," a faster, CMOS version of the Z80, now enhanced with many 24-bit-wide instructions, was introduced in 2001 and still was produced by Zilog Inc. as of year 2021. Its clock rates are up to 50 MHz and thanks to redesigned internal pipelines executes instructions about 3 times faster than the original design would at equal clock rates.) Unlike the Z80, the 68000 provided a multiplication instruction for unsigned 32-bit words (64-bit product) running in 38+2n clock cycles where n equals the number of nonzero-bits in the operand, e.g. typically 70 cycles. Meanwhile adding took 4 or 8 cycles. But if you wanted to multiply 64-bit-wide words, then multiplication was going to be at least 22 times more expensive than addition, so again Strassen on the 68000 would be superior to Obvious for every N≥2.

Today (year 2023) that is no longer the case. Modern CPUs use 1.2-volt CMOS, sometimes have over 10^{11} transistors on chip, operate at clock rates 1-9 GHz, and perform 64-bit-wide ops including both integer and floating point multiplication using maximally-parallelized hardware, with *comparable* speeds for addition, multiplication, and just copying data between different memory locations. They have fancy pipelining, branch prediction, and multicore parallelism schemes enabling average instruction rates far exceeding clock rate. Data copying was considered an almost neglectible cost – much cheaper than ± or × – on old machines like the Z80, but on year-2023 machines can be quite expensive. Furthermore, some modern machines have "**vectorization**" capability permitting extremely fast computation of vector inner products – i.e. the inner loop of the obvious matrix-multiplication method – providing an artificial advantage for "Obvious."

All this has caused claims that the breakeven N for Strassen can be as high as "several thousand" for some a priori reasonable-looking software on some modern hardware – quite an astounding change from the value "2" valid when I began programming in the late 1970s!

But I have trouble believing that any reasonable hardware and software at all resembling today's Von Neumann machines will ever disagree that Strassen beats Obvious for all N≥4000. And, if the matrix entries are sufficiently-wide **multiprecision** numbers (e.g. \geq 10 words wide) then even on today's machines Strassen should still beat Obvious for every N≥2. That's because for wide multiprecision numbers, multiplication *is* arbitrarily more expensive than either addition, subtraction, or scalings by rational constants with bounded numerators and denominators, since all the latter have linear-time algorithms, while none are known (and presumably none exist) for integer multiplication.

The curve. Our definition of "breakeven N."

Definitions: If some scheme for multiplying N×N matrices has op-count T(N) which obeys $\lim_{N\to\infty} \log(T(N))/\log(N)=E$, then I say it has **exponent E**. Aside from Strassen and Obvious, we are only going to be considering schemes with E<S= $\log_2 7\approx 2.807354922$. The least N>1 causing the scheme to employ <N³ bilinear multiplications, is its **breakeven N**. For "mass production" schemes that do not just multiply *one* pair of N×N matrices, but in fact K independently specifiable such pairs, the breakeven N is the least N>1 causing the scheme to employ <N³K bilinear multiplications.

My definition of "breakeven N" is the simplest. But it can be criticized for reasons we've already discussed causing the "true" breakeven N to perhaps be up to a factor 2000 greater than my definition (depending on hardware & software). Also my definition is subject to abuse by "cheaters." (Don't do that.) Further, if you wanted for the mul-count not merely to go below N³ but in fact to *beat it by a factor of 2*, then you'd need to multiply our breakeven N by a factor up to $2^{1/(3-E)}$, which is <37 for schemes with E<2.807355.

Also interesting is the breakeven N versus Strassen rather than versus Obvious. I'll call those N_{str} and N_{obv}.

It also is interesting to consider your algorithm's breakeven N versus the *best* matrix multiplication algorithm with greater E than yours... that being, arguably, the N that matters most. But the trouble with that is that I *do not know* the best ones. Therefore, I'm mainly going to stick with Obvious and Strassen.

It seems nicest to plot the resulting set of (E,N) datapoints on **semilog paper**, i.e. instead plot (E,log₂N). The main goal of the present work is simply to produce that plot.

Exponent E	Breakeven N _{obv}	Log ₂ N _{obv}	Breakeven ⁷ N _{str}	Log ₂ N _{str}	Comment
3 1		0			The Obvious matrix multiplication method
2.807354922 2		1	2	1	Strassen 1969 (Winograd variant) 7-mul formula for (2,2,2), all coeffs. in {0,±1}.
2.795668800 1	2	3.5850	12	3.5850	1040-mul formula from Rk[(2,4,4)]≤26 and Rk[(6,3,3)]≤40
2.792341873 1	8	4.1699	18	4.1699	3200-mul formula from Rk[(6,3,3)]≤40
2.790137008 2	2	4.4594	22	4.4594	5566-mul formula by Drevet, Nazrul Islam, Schost 2011
2.785876483 2	4	4.5850	24	4.5850	7000-mul formula by Drevet, Nazrul Islam, Schost 2011
2.7826796792	6	4.7004	26	4.7004	8658-mul formula by Drevet, Nazrul Islam, Schost 2011
2.780276441 2	8	4.8074	28	4.8074	10556-mul formula by Drevet, Nazrul Islam, Schost 2011
2.780141891 4	8	5.5850	48	5.5850	Pan 1980: $(2n^3+27n^2-2n)/6$ -mul exact formula for (n,n,n) with n=even, here when n=48. Hadas & Schwartz 2023 have a redo of Pan 1980 which they claim will multiply N×N matrices with total op-count [2+o(1)]N ^E with the same exponent E=In(47216)/In(48)=2.78014 as Pan; and they say they can beat Strassen's total op-count when N≈13800.
2.779885224 2	985984	21.5098	7.032×10 ⁶⁰	283.2120	10-mul APA formula by Bini et al 1979 for $(2,2,3)$ with degree=1, arising from tiling 2 copies of a 5-mul APA ₁ formula for $(2,2,2)$ with 1 input zeroed.
2.774299980 5	4	5.7549	54	5.7549	Smirnov 2013: 40-mul exact formula for (3,3,6), all coefficients in ±{0,1/8,1}.
2.773371017 4	4	5.4594	44	5.4594	Pan 1982: $(4n^3+45n^2+128n+108)/12$ -mul exact formula for (n,n,n) with n=even, here when n=44. Hadas & Schwartz 2023 have a redo of Pan 1982 which they claim will multiply N×N matrices with total op-count [8.082+o(1)]N ^E with the same exponent E=ln(36133)/ln(44)≈2.77337 as Pan.
2.739153525 1	889568	20.8496	2.4088×10 ³¹	104.2481	Smirnov 14-mul APA formula for (3,2,3) with degree=2.
2.728435621 ?		?	?	?	Smith 18-mul APA formula for (2,3,4) if valid (unknown degree).
2.726833028 4	3046721	25.3594	3.0903×10 ³¹	104.6075	Smirnov 20-mul APA formula for (3,3,3) with degree=6.
2.5479929122	62144	18	2.749×10 ¹¹	38	Schönhage 1981: lemma 6.1 with k=n=4 via his <u>ASI</u> .
2.478495141 1	220703125	30.1851	1.1921×10 ¹⁶	53.4043	My simplified version of a Strassen 1987 "laser" method.
2.403632261 ≤	68719476736	≤36	≤3.7779×10 ²²	² ≤75	"Baby" Coppersmith-Winograd 1990, their §6
2.3871900 3	656158440062976	6 51.6993	≤6.189×10 ²⁸	≤95.6436	"Toddler" Coppersmith-Winograd 1990, their §7
2.3754770 ?		?	?	?	"Monster" Coppersmith-Winograd 1990, their §8

APA (arbitrary precision approximate) formulae

"APA formulae" arise when the coefficients in a formula for multiplying matrices AB are *not* ordinary numbers like ±1, but rather *polynomials* in some variable x; and the matrix product C=AB arises as C=x^{-h}formula(A,B)+error where error=O(x) in the *limit* $x \rightarrow 0$. (Such a formula is said to be "approximate with degree=h." For any specific value of x, APA formulae will in general deliver wrong answers.) APA formulae can be converted to ordinary exact formulae by taking appropriate linear combinations of the APA(x) formulae for h+1 different values of x.

In particular, if we let x be h+1 different complex numbers forming a regular (h+1)-gon centered at the origin, then the required "linear combination" is simply the "average." If our matrices had complex-number entries, this yields an exact formula in work (for us measured as number of bilinear multiplications) equivalent to h+1 specified-x invocations of the APA formula.

For multiplicand matrices A,B with *real* entries, we do not need all h+1 roots of unity, only $\lfloor (h+1)/2 \rfloor$, because those in the lower halfplane yield results which are the complex conjugates of the results arising from roots we'd already handled in the upper halfplane. But (except when h≤1) this trick is *not* good enough to reduce the cost to equivalent to h+1 specified-x invocations of the APA formula, because a complex multiplication costs 3, not 2, real multiplications. So the cost factor exceeds h+1 by 50%.

Nevertheless, one can achieve that goal: use h+1 specified real values of x, although unfortunately the unequal both-signed weights now required in the linear combination increase numerical roundoff errors. Any h+1 distinct values x_k work – due to the known formula for <u>Vandermonde determinants</u> being nonzero, there is always a unique linear combination of the P(x_k) guaranteed to equal P(0). If the polynomial P(x) had rational coefficients and the x_k are rational, then the coefficients in the linear combination will also be rationals.

Examples: Let L(x) be a linear, Q(x) a quadratic, C(x) a cubic, F(x) a fourth-degree polynomial, and Z(x) fifth-degree. Then here is a list of two kinds ("geometric" and "arithmetic") of formulae for P(0):

 $L(0) = [2L(\frac{1}{2})+L(-1)]/3 = [L(-1)+L(1)]/2.$

 $Q(0) = [8Q(\frac{1}{2})+2Q(-1)-Q(2)]/9 = [Q(-1)+3Q(1)-Q(2)]/3.$

 $C(0) = [64C(-\frac{1}{4})+24C(\frac{1}{2})-6C(-1)-C(2)]/81 = [-C(-2)+4C(-1)+4C(1)-C(2)]/6.$

 $F(0) = [1024F(-\frac{1}{4})+320F(\frac{1}{2})-120F(-1)-10F(2)+F(-4)]/1215 = [-F(-2)+5F(-1)+10F(1)-5F(2)+F(3)]/10.$

 $Z(0) = [32768Z(-\frac{1}{4}) + 11264Z(\frac{1}{2}) - 3520Z(-1) - 440Z(2) + 22Z(-4) + Z(8)]/40095 = [Z(-3) - 6Z(-2) + 15Z(-1) + 15Z(1) - 6Z(2) + Z(3)]/20.$

These lists of formulae exhibit some patterns. Those patterns may be proven valid by the theory of <u>Lagrange interpolation</u>, and alternatively by induction on the degree. The sequence of lefthand formulas [which I am calling "geometric" because their x_k are proportional to $(-2)^k$] has the sequence of largest coefficient lratios|= $2^{(h+1)h/2}$, exhibiting superexponential growth.

The sequence of righthand formulas, which I call "arithmetic" since their x_k are the h+1 nonzero integers with least absolute values, sorted into increasing order starting from -[(h+1)/2]), are expressible in closed form. The coefficient-numerators for h=0,1,2,...,8 arise from this all-integer **number-triangle**



where note that every number (if we ignore its sign) is the sum of the two above it (as in "Pascal's triangle") *except* for the numbers in the middle column. Each column of the triangle has constant sign. Rows containing an even number of entries are palindromic, aka even-symmetric. Rows containing an odd number of entries have odd symmetry if their (always positive) central entry is ignored; their row-sum therefore equals their central entry. Those odd-cardinality rows have rightmost entry 1, -1, 1, -1, 1, -1, ... alternating sign with period=2. (Also true about the even-cardinality rows.) The sequence 1,2,3,6,10,20,35,70,126... of common denominators are the row-sums (now *not* ignoring signs!) and arise from the formula binomial(n, $\lfloor n/2 \rfloor$) where n=h+1 is the cardinality of that row. These facts are enough to completely specify the entire infinite triangle. Since binomial(n, $\lfloor n/2 \rfloor$) is upper-bounded by 2^h, this arithmetic class of formulas has largest coefficient [ratio] growing merely exponentially(h) – more desirable from the standpoint of numerical precision than the geometric formulas' *super*exponential growth.

What is the **best** choice of the x_k for k=0,1,2,...,h? If h is odd, there is reason to argue that the answer is $x_k=\cos(k\pi/h)$. This choice maximizes the (h+1)×(h+1) Vandermonde [determinant] for h+1 points on the real interval [-1,1], or equivalently (by considering the logarithm of that [determinant]) minimizes the potential energy of h+1 mutually-repelling unit electrostatic charges (logarithmic potential) located on that interval. However, if h>3 most of these x_k are irrational, which would be rather sad if the entries of your matrices happened to be exact rational numbers.

All that works for matrices whose entries are integer, rational, real, or complex, which are the most important cases in practice. However, trouble can sometimes arise for APA formulas if the matrix-entries instead are elements of the wrong **finite field** or **ring**. E.g. the first few geometric and arithmetic formulas we tabulated involve *division* by 2, 3, 6, or 81. In such rings as "the integers mod 6" which contain the wrong "zero-divisors" (here 2 and 3) all four of those divisions are *forbidden*! Also the latter three divisions are forbidden in the field of "integers mod 3." I shall not delve into that.

An M-multiplication degree-h APA formula for multiplying N×N matrices (2≤M≲N^S) has breakevens

and

 $N_{obv} = N^{\lceil k \rceil}$ where $k = \ln(N^{-3}M)^{-1} \text{ LambertW}_{-1}(M^{1/h}N^{-3/h}h^{-1}\ln(N^{3}M^{-1})) - h^{-1}$ $N_{str} \le N^{\lceil k \rceil}$ where $k = \ln(N^{-S}M)^{-1} \text{ LambertW}_{-1}(M^{1/h}N^{-S/h}h^{-1}\ln(N^{S}M^{-1})) - h^{-1}$.

These formulas arise from solving $kh+1=(N^3/M)^k$ or $kh+1=(N^5/M)^k$ for $k\geq 1$. The LambertW₋₁(x) function is the real value of y with y<-1 obeying $e^yy=x$. It is defined for $-e^{-1}\leq x<0$. Actually that N_{str} formula can yield overestimates in cases where round-to-integer effects are unfavorable for Strassen, which is why I wrote " \leq ," but the overestimation factors usually are not large.

Example: Bini et al 1979's 10-mul degree-1 APA formula for (2,2,3) matrix multiplication yields an M=1000 multiplication APA formula with degree h=3 for multiplying 12×12 matrices: $Rk_3[(12,12,12)] \le 1000$. Compare the best known (as of year 2023) exact formula, which uses 1040 muls, and $12^3=1728$ for the obvious method. We find $k_{obv}=-3^{-1}ln(6/5)^{-1}LambertW_{-1}(-6^{-1}5ln(6/5))-3^{-1}\approx5.10453$ solves $3k+1=(6/5)^{3k}$ with $k\ge 1$. Hence $\lceil k_{obv}\rceil=6$ and Bini's breakeven $N_{obv}=12^6=2985984$. Similarly $k_{str}\approx80.4302$ from solving 3k+1=exp([ln(12)ln(7)/ln(2)-ln(1000)]k) for k>1. Hence $\lceil k_{str}\rceil=81$ suggesting Bini's breakeven $N_{str}\le 12^{81}\approx 2.592 \times 10^{87}$.

That is not exact; it is an overestimate. To verify that we compute $M_{str1}(12^{81})\approx 3.36883 \times 10^{245}$ and compare that to the number of bilinear multiplications $244 \times 1000^{81} = 2.44 \times 10^{245}$ used by Bini to multiply N×N matrices with N=12⁸¹. If N=12⁸⁰ then $M_{str1}(12^{80})\approx 3.44444 \times 10^{242}$ while Bini uses $241 \times 1000^{80} = 2.41 \times 10^{242}$. If N=12⁷⁹ then $M_{str1}(12^{79})\approx 2.764 \times 10^{239}$ while Bini uses 2.38×10^{239} . If N=12⁷⁸ then $M_{str1}(12^{78})\approx 2.667 \times 10^{236}$ while Bini uses 2350×10^{236} . So the exact value of N_{str} for Bini is $12^{79} \approx 7.032 \times 10^{60}$ with $\log_2 N_{str} \approx 283.2120$.

Example: Smirnov 2013's 14-mul degree-2 APA formula for (3,2,3) matrix multiplication yields an $M=14^3=2744$ multiplication APA formula with degree h=6 for multiplying 18×18 matrices. (The least known mul-count for an exact formula is 3200; the obvious method uses $18^3=5832$.) We find $k_{obv}=-3^{-1}ln(9/7)^{-1}LambertW_{-1}(-6^{-1}7^{1/2}ln(9/7))-6^{-1}\approx4.38727$ solves $6k+1=(9/7)^{3k}$ with $k\geq1$. Hence $[k_{obv}]=5$ and the breakeven $N_{obv}=18^5=1889568$. Similarly $k_{str}\approx25.5645$. Hence $[k_{str}]=26$ and the breakeven $N_{str}\leq18^{26}\approx4.336\times10^{32}$. Again this is an overestimate, and exact comparisons of $M_{str1}(N)$ and Smirnov mulcounts show that the exact N_{str} , i.e. the least N such that Smirnov's mulcount is below Strassen's, is $18^{25}\approx2.4088\times10^{31}$ with $log_2N_{str}\approx104.2481$.

Example: Smirnov 2013 also found a 20-mul APA formula with degree h=6 for multiplying 3×3 matrices. That compares with 23 muls for the most efficient known exact formula (found by Laderman, Sykora, and various others) and 27 for the obvious method. We find $k_{obv} \approx 15.0397$ solves $6k+1=(27/20)^k$ with $k\geq 1$. Hence $[k_{obv}]=16$ and the breakeven $N_{obv}=3^{16}=43046721$. Similarly $k_{str}\approx 67.9766$. Hence $[k_{str}]=68$ and the breakeven $N_{str}\leq 3^{68}\approx 2.781\times 10^{32}$. Again this is an overestimate, and exactly comparing $M_{str1}(N)$ versus Smirnov's mul-counts show that the exact N_{str} is $3^{66}\approx 3.0903\times 10^{31}$ with $log_2N_{str}\approx 104.6075$.

Schönhage 1981's "asymptotic sum inequality" (ASI) and his specific result E≈2.547993

Schönhage in his lemma 6.1 stated an APA formula proving Rk₂[(k,1,n)⊕(1,m,1)]≤kn+1 where m=(k-1)(n-1) and k,n≥2.

In contrast, $Rk[(k,1,n)\oplus(1,m,1)]=kn+m=Rk[(k,1,n)]+Rk[(1,m,1)]$. More generally Strassen has stated the still-open "additivity conjecture" that $Rk[U\oplus V\oplus...\oplus W]=Rk[U]+Rk[V]+...+Rk[W]$ always holds. I'm skeptical – but if true, that would imply that improvements like Schönhage's lemma 6.1 can *only* be obtained using APA algorithms.

Schönhage's "asymptotic sum inequality" (ASI – sometimes more-stupidly called his "tau theorem") shows that his lemma 6.1 yields a matrix multiplication algorithm with exponent $\leq E \approx 2.54799291220440756106$, where this E solves $16^{E}+9^{E}=17^{3}$. This is the least exponent obtainable from Strassen's lemma 6.1 and the ASI and arises from k=n=4, i.e. from Schönhage's 17-mul APA algorithm for simultaneously performing (4,1,4) and (1,9,1) rectangular matrix multiplications approximately with accuracy degree=2.

More generally, Schönhage's ASI claims that if $\underline{Rk}[(a_1,b_1,c_1)\oplus(a_2,b_2,c_2)\oplus \dots \oplus (a_p,b_p,c_p)] \le M$, then the exponent E of matrix multiplication is upper-bounded by the solution E of $\sum_{1 \le i \le p} (a_i b_i c_i)^E = M^3$.

Our $(4,1,4) \oplus (1,9,1)$ case is especially favorable for the ASI, not only because it uniquely minimizes E over all cases of Schönhage's lemma 6.1, and is maximally-simple, but also because the "symmetrization step" in the proof of the ASI may be skipped because (4,1,4) and (1,9,1) both are palindromes.

We now shall figure out the breakeven N for that Schönhage 1981 matrix multiplication method while at the same time hopefully making it clear why and how the ASI works. Schönhage's first point is that $Rk_{2P}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes P}] \le 17^{P}$. The Pth tensor power of $(4,1,4)\oplus(1,9,1)$ is seen (due to expanding it via the "binomial theorem") to contain binomial(P,a)=binomial(P,b) copies of $(4^{a},9^{b},4^{a})$ for each pair (a,b) of non-negative integers with a+b=P. Here binomial(A,B)=A!/[B!(A-B)!]. If we further demand N=4^{a}=9^{b} then $(4^{a},9^{b},4^{a})=(N,N,N)$. Actually you cannot satisfy this demand exactly with *integer* a,b, but if P is large enough to ignore round-to-integer error then we find a=(log3/log6)P≈0.613147P and b=(log2/log6)P≈0.386853P. We therefore can *approximately* multiply two N×N matrices with N=4^{(log3/log6)P}≈2.33965^P, hence with N³≈12.8072^P, in 17^P/binomial(P,a) muls *per problem*, with approximation degree=2P. Hence we can *exactly* multiply them in (2P+1)17^P/binomial(P,P·log3/log6) muls *per problem* where note we are solving binomial(P,P·log3/log6) independent problems of this type simultaneously. Solving for least integer P>1 such that #muls≤N³ we find P=13. Therefore breakeven N_{obv}=2.33965¹³≈62945.06 would work – *if* we did not need to worry about round-to-integer effects on matrix sizes.

It seems to me those effects can be handled by filling a fraction≤O(1/P) of the rows or columns of some of our matrices with 0s.

More precise than that general idea, though, is to consider specific numbers and the specific roundings-to-integers arising from them. Specifically $Rk_{26}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes 13}] \le 17^{13}$, and $\{(4,1,4)\oplus(1,9,1)\}^{\otimes 13}$ contains binomial(13,5)=binomial(13,8)=1287 copies of $(4^8,9^5,4^8)=(65536,59049,65536)$. Therefore, 59049×59049 matrices can be multiplied in $17^{13}27/1287 \approx 2.07788 \times 10^{14}$ bilinear multiplications per copy, which slightly exceeds the obvious method's 59049³ ≈ 2.05891 \times 10^{14}. So the choice P=13 does not quite work to beat Obvious. Nor does P=14.

With **P=15**, we have $\text{Rk}_{30}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes 15}] \le 17^{15}$, and $\{(4,1,4)\oplus(1,9,1)\}^{\otimes 15}$ contains binomial(15,6)=binomial(15,9)=5005 copies of $(4^9,9^6,4^9)=(262144,531441,262144)$. Therefore, N×N matrices with N=262144=2¹⁸ can be multiplied in $17^{15}31/5005\approx 1.77293\times 10^{16}$ bilinear multiplications per copy, which is less than the obvious method's $262144^3=2^{54}\approx 1.80144\times 10^{16}$. Hence the breakeven $N_{obv}=262144=2^{18}$.

If we instead solve for the least integer P>1 such that #muls≤N^S we would find, ignoring integer roundoff effects, P≈27. But the roundoffs again are unfavorable. Specifically:

P=27: $Rk_{54}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes 27}] \le 17^{27}$, and $\{(4,1,4)\oplus(1,9,1)\}^{\otimes 27}$ contains binomial(27,16)=binomial(27,11)=13037895 copies of $(4^{16},9^{11},4^{16})=(4294967296, 31381059609, 4294967296)$. Therefore, N×N matrices with N=4¹⁶=2³² can be multiplied in $17^{27}55/13037895\approx7.035\times10^{27}$ bilinear multiplications per copy, which is less than the obvious method's $2^{96}\approx79.228\times10^{27}$ but not as good as Strassen's $7^{32}\approx1.104\times10^{27}$.

P=28: $Rk_{56}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes 28}] \le 17^{28}$, and $\{(4,1,4)\oplus(1,9,1)\}^{\otimes 28}$ contains binomial(28,17)=binomial(28,11)=21474180 copies of $(4^{17},9^{11},4^{17})=(17179869184, 31381059609, 17179869184)$. Therefore, N×N matrices with N=4¹⁷=2³⁴ can be multiplied in $17^{28}57/21474180 \approx 7.525 \times 10^{28}$ bilinear multiplications per copy, which is less than the obvious method's $2^{102} \approx 507.0602 \times 10^{28}$ but not as good as Strassen's $7^{34} \approx 5.412 \times 10^{28}$.

P=29: $Rk_{58}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes 29}] \le 17^{29}$, and $\{(4,1,4)\oplus(1,9,1)\}^{\otimes 29}$ contains binomial(29,18)=binomial(29,11)=34597290 copies of $(4^{18},9^{11},4^{18})=(68719476736, 31381059609, 68719476736)$. Therefore, $9^{11} \times 9^{11}$ matrices can be multiplied in $17^{29}59/34597290 \approx 8.219 \times 10^{29}$ bilinear multiplications per copy, which is much less than the obvious method's $3^{66} \approx 309.032 \times 10^{29}$ but not as good as Strassen's #muls $\le 7^{35} \approx 3.788 \times 10^{29}$.

P=30: $Rk_{60}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes 30}] \le 17^{30}$, and $\{(4,1,4)\oplus(1,9,1)\}^{\otimes 30}$ contains binomial(30,18)=binomial(30,12)=86493225 copies of $(4^{18},9^{12},4^{18})=(68719476736, 282429536481, 68719476736)$. Therefore, N×N matrices with N=4¹⁸=2³⁶ can be multiplied in 17³⁰61/86493225≈5.7785×10³⁰ bilinear multiplications per copy, which is much less than the obvious method's 2¹⁰⁸≈324.519×10³⁰ but not as good as Strassen's 7³⁶≈2.652×10³⁰.

Finally with **P=31**, we get $Rk_{62}[\{(4,1,4)\oplus(1,9,1)\}^{\otimes 31}] \le 17^{31}$, and $\{(4,1,4)\oplus(1,9,1)\}^{\otimes 31}$ contains binomial(31,19)=binomial(31,12)=141120525 copies of $(4^{19},9^{12},4^{19})=(274877906944, 282429536481, 274877906944)$. Therefore, N×N matrices with N= $4^{19}=2^{38}$ can be multiplied in $17^{31}63/141120525\approx6.218\times10^{31}$ bilinear multiplications per copy, which is much less than the obvious method's $2^{114}\approx2076.919\times10^{31}$ and (finally!) also beats Strassen's $7^{38}\approx12.9935\times10^{31}$, indeed by a factor>2. So the breakeven $N_{str}=2^{38}\approx2.749\times10^{11}$.

It is quite interesting that this Schönhage ASI method (shown yellow in the table) actually does much *better* then any of the plain APA methods tabulated (shown pink) reckoned by either E, N_{obv}, or N_{str}. It would appear to obsolete every known plain-APA method. (Admittedly Schönhage ASI solves *many*, not just one, matrix-multiplication problem; and it wastefully computes up to about P times as many output quantities as we want, simply wasting both compute time and memory before we discarded them; but even taking both those complaints into account all known plain-APA's still seem obsoleted reckoned by either E or N_{str}. Furthermore, *if* Strassen's <u>additivity conjecture</u> is correct, then for each N there must exist a *single copy* N×N matrix-multiplication algorithm with the same or

smaller mul-count as our ASI-method's mul-count per copy.)

Strassen 1987's "laser method": E≤ln(54)/ln(5)≈2.4784951415313494

Strassen's idea is quite similar in essence to Schönhage's ASI. (Incidentally, this whole construction of Strassen's is helpfully re-explained by Coppersmith & Winograd 1990 in their §3. Here I am going to provide a simpler related method I call "simplified laser" which yields the same exponents E.) Both consider high \otimes -powers of some nice APA method T, then find inside the resulting messes, many copies of efficient APA formulas for multiplying large square matrices. (There also is a lot of other stuff there too – which we simply discard, ignore, and waste!) The difference is that Strassen-laser, unlike Schönhage-ASI, does not demand that T be a \otimes of rectangular matrix product tasks. Strassen allows a wider class of bilinear tasks T that can bear little to no resemblance to any matrix product task. Nevertheless at the end of the game, Strassen still finds inside the resulting messes, many copies of efficient APA formulas for multiplying large matrices, albeit these copies can occur somewhat "encrypted," e.g. with their entries permuted. (Decryptions do not increase the bilinear-mul count.)

Strassen stated an APA₁ formula for a certain family (parameterized by an integer q) of tasks T with $Rk_1[T] \le q+1$. Hence $Rk_p[T^{\otimes p}] \le (q+1)^p$ and

 $Rk_{3p}[T^{\otimes p} \otimes T'^{\otimes p} \otimes T'^{\otimes p}] \le (q+1)^{3p}$. He then argued that $T^{\otimes p} \otimes T'^{\otimes p} \otimes T'^{\otimes p}$ where T, T', and T'' are three symmetric altered versions of T, contains $2^{2p-2}3$ independent rectangular matrix multiplication tasks (a,b,c), with perhaps-differing (a,b,c)'s but in all cases obeying $abc=q^{3p}$. Then (essentially by the ASI), Strassen deduces $E\le [3ln(q+1)-ln(4)]/ln(q)$. The best (E-minimizing) choice of q is q=5, yielding $E\le ln(54)/ln(5)\approx 2.4784951415313494$.

If q is prime (and 5 *is* prime), then the number of possible 3-tuples (a,b,c) of positive integers obeying $abc=q^{3p}$ equals 3(p+1)(3p+2)/2. Therefore, even if we restrict attention to the single most-popular 3-tuple (a,b,c), – just ignore and waste all the others – there must be at least $2^{2p}/[2(p+1)(3p+2)]$. This diminution is not enough to alter the asymptotic (when $p \rightarrow \infty$) value of E. The advantage of this "simplified laser method" is that we do not need to apply the ASI to many different (a,b,c)'s – we only have one type. Furthermore by the 3-rotation-symmetry of Strassen's $T \otimes T' \otimes T''$ and the classic "central limit theorem" governing binomial and trinomial coefficients, one may see that for large-enough p, the most popular (a,b,c) – or at least most popular up to arbitrarily small relative counting-error – should in fact have $a=b=c=q^p$. Therefore with this "simplified laser" we do not need the ASI since we are already where we want to be without it.

In summary (and converting from APA to exact, and assuming our p are large enough to make the "central limit theorem" valid enough for our purposes) my "simplified Strassen laser method" in this case employs $(q+1)^{3p}(3p+1)$ bilinear muls to multiply at least $2^{2p}/[2(p+1)(3p+2)]$ different pairs of N×N matrices with N=q^p, and the best choice of q (which for me must be prime) is q=5. *Per copy*, then, the mul-count for exact N×N matrix multiplication is $(q+1)^{3p}2^{1-2p}(p+1)(3p+2)$ (3p+1) which when q=5 simplifies to $6^{3p}2^{1-2p}(p+1)(3p+2)(3p+1)$. That beats Obvious, which uses 5^{3p} , if p≥13, hence the breakeven $N_{obv}=5^{13}=1220703125\approx1.221\times10^9$ with $log_2(N_{obv})\approx30.185$.

Laser's mul-count first goes below $M_{str1}(5^p)$ when p=23, when N=5²³=11920928955078125~1.1921×10¹⁶, whereupon $M_{str1}(N)\approx 1.80966\times 10^{45}$ and laser's mul-count $\approx 1.66976\times 10^{45}$. Hence $N_{str}=5^{23}\approx 5.960\times 10^{16}$ with $log_2(N_{str})\approx 53.4043$.

My simplified Strassen laser method with E \approx 2.4785 again would appear to obsolete every known plain-APA method. However, I have (very roughly) calculated that it first beats Schönhage 1981's ASI method with E \approx 2.5480 for N somewhere between N \approx 10³⁰ and 10⁶⁰ with 100 \leq log₂N \leq 200.

Interlude about Salem-Spencer function

If X \geq 0 is an integer, **SalemSpencer(X)** denotes the greatest cardinality of a subset of {1,2,3,...,X} containing no 3-term arithmetic progression. (Or "a subset of {J,J+1,J+2,...,J-1+X}" for any particular J, e.g. starting from J=0 is often more convenient, and J does not even need to be an integer.) For example SalemSpencer(14)=8 because of the unique {1,2,4,5,10,11,13,14}. These sets have also been called "nonaveraging sets" since no element is the average of any other two: if a,b,c \in S then a+c=2b \Rightarrow a=b=c.

The table: The primary purpose of this entire section is to compile a big table – by far the best available – of bounds on SalemSpencer(X), for X up to about 10^{50} . All tabulated SalemSpencer "values">1024 really are merely *lower bounds* (since I got tired of writing ">"). Bounds stated with decimal points are inexact, since computed by Monte Carlo, but have <0.001 relative standard statistical errors. "×N": indicates a "product" using ModSS(X)>N. When SalemSpencer(X)>B we also tabulate the "exponent" e=log(B)/log(X) obeying X^e=B. Although <u>Behrend</u>'s constructions show e→1 when X→∞, the greatest e achieved in the table for X>100 is only o=0.760 arising from X=2.54254×10⁵⁰ and R=6.281×10³⁸ via the Tbb(34.31.351) Triangular Behrend construction

X>100 is only e≈0.769 arising from X≈2.54254×10⁵⁰ and B≈6.281×10³⁸ via the Tbh(34,31,351) <u>Triangular Behrend</u> construction. **Key:** b3,b5,b7=Base 3,5,7 bounds by <u>Szekeres</u>, <u>Rusza</u>, and <u>me</u>. EX=exhaustive searches for X≤211 by Fausto A.C. <u>Cariboni</u>. GGK=incomplete "branch & bound" computer searches by Gasarch, Glenn, Kruskal 2008. GT & JW=computer searches by Gavin Theobald & Jaroslaw Wroblewski. Tbh(D,R,V): My "triangular Behrend" construction (described below). WY=J.Wroblewski & Fumitaka Yura computer search.

There is an **O(XlogX)-op algorithm** which, given a subset of {0,1,2,...,X-1}, decides whether it is a nonaveraging set (and if not, finds a counterexample, indeed finds *all* midpoints b of 3-term-arithmetic progressions):

- 1. Sort the set into increasing order in O(XlogX) steps.
- 2. Let $a_j=1$ if j is in the set, otherwise $a_j=0$.
- 3. Let P(u) be the polynomial $\sum_{0 \le j < X} u^{(a_j)}$.
- 4. Compute $P(u)^2$, which takes O(Xlog X) operations using fast convolution algorithms based on the <u>FFT</u>.
- 5. If all coefficients of $P(u)^2$ are <3 then output "set is nonaveraging" and stop.
- If any coefficient of u^{2b} is ≥3 then output "set contains 3-term arithmetic progression a,b,c" and for any particular such b we can search for suitable a (going leftward from b) and c (going rightward from b the same distance) in O(X) steps.

				х	SalemSpencer(X)	[1000e]	Reason
х	SalemSpencer(X)	1000e	Reason	53350	1640	680	41×40
1	1			57475	1720	679	43×40
2-3	2	1000	b3(1),EX	78375	2080 > 2 ¹¹	677	1040×2
4	3	792	EX	100375	2560	681	b3(6)×40
5-8	4	861	b3(2),EX	235125	4160 > 2 ¹²	673	1040×2 ²
11-12	6	747	EX	237600	4560	680	GT×40
14-19	8	787	b3(3),EX	300300	5120	677	GT×40
20-23	9	733	EX	387750	6440	681	GT×40
26-29	11	735	EX	705375	8320 > 2 ¹³	670	4160×2
32-35	13	740 746	EX h3(4) EX	1058750	12800	681	8×40 ²
41-30 63-70	20	740	53(4),LA	1966250	17600	674	11×40 ²
100-103	20	715	FX	2116125	$16640 > 2^{14}$	667	x2
122-136	32	721	b3(5).EX	2110125	10040 > 2	007	~~
174-193	40	715	EX	2420000	20800	070	13×40 ²
209-211	43	704	EX	4764375	32000	674	20×40 ²
222	44-52	700	22×2,GGK	6348375	33280 > 2 ¹⁵	664	×2
227	45-55	701	GGK	7184375	41600	673	26×40 ²
233	46-56	702	GT,GGK	12780625	62400	674	39×40 ²
245	48-58	703	GT,GGK	15805625	68800 > 2 ¹⁶	671	43×40 ²
256	≥49 >52	701	GI	20570000	84800	673	53×40 ²
332	≥53 >61	708	GT	25107500	97600	674	61×40^2
365	≥64	704	b3(6)	47416875	$127600 > 2^{17}$	669	x2
518	≥80	701	8×10	47410075	137600 > 2	003	~~
768	≥102	696	GT	106631250	257600	674	161×40 ²
809	≥108	699	GT	141232023	258048	664	D7(5)
864	≥114	700	GT	142250625	275200 > 218	667	×2
916	≥119	700	GT	291156250	512000	674	8×40 ³
1023	≥126	697	GT	423696069	516096	662	b7(5)×2
1092	$128 = 2^7$	693	GT	426751875	550400 > 2 ¹⁹	665	×2
1241	≥151	704	GT	540718750	704000	669	11×40 ³
1375	≥160	702	4×40	665500000	832000	670	13×40 ³
1410	≥161	700	GT	852671875	1024000	672	$b_{3(4)} \times 40^{3}$
1881	≥1/2 >180	682 683	43×4	1310203125	$1280000 > 2^{20}$	669	20×40^3
2548	>2100	689	8x28	2079687500	1728000	669	27×40 ³
2828	≥248	693	8×31	2537218750	2048000	671	27 ~ 40 b2(5) × 40 ³
3180	≥256 = 2 ⁸	687	GT	3536729279	2040000	662	$D_{3}(3)^{40}$
3850	≥320	698	8×40	3030720270	2.107e6 > 2-1	002	1DH(9,11,12)^2
5500	≥360	683	9×40	4346546875	2752000	668	43×40 ³
7150	≥440	685	11×40	6920604385	3784704	668	b7(6)=Tbh(12,7,6)
8800	≥520 > 2 ⁹	688	13×40	10610184834	4.215e6 > 2 ²²	660	Tbh(9,11,12)×4
9900	≥560	687	14×40	12968325779	5.960e6	669	Tbh(10,11,13)
11275	≥640	692	16×40	19219943492	7.890e6	670	Tbh(9,15,23)
14025	≥680	683	17×40	28528868646	8.000e6	660	Tbh(10,7,5)×31
14850	≥720	684	18×40	36579093772	9.461e6 > 2 ²³	660	Tbh(11,7,5)×10
15950	≥760	685	19×40	38838806325	1.032e7	662	Tbh(10,7,5)×40
17325	≥800	684	20×40	4844465988	1.406e7	668	Tbh(13,7,7)
26125	1040 > 2 ¹⁰	683	26×40	62285439465	15138816	005	D/(b)×4
33550	1280	686	32×40	80067968750	20480000	670	8×40 ⁴
46475	1560	684	39×40	116714932011	2.384e7	666	1bh(10,11,13)×4
				142655126682	3.417e7 > 2 ²⁵	675	Tbh(11,11,15)

x	SalemSpencer(X)	[1000e]	Reason	x	SalemSpencer(X)	1000e	Reason
288321933617	5.875e7	677	Tbh(10,15,26)	7.45058e17	4.660e12	708	Tbh(13.25.111)
427965380046	6.835e7 > 2 ²⁶	673	Tbh(11,11,15)×2	1.19851e18	5.803e12	706	Tbh(14.19.55)×2
711137909204	7.890e7	666	Tbh(9,15,23)×10	1.62196e18	7.720e12	707	Tbh(14,21,87)
864965800851	1.175e8	676	Tbh(10,15,26)×2	3.2842e18	1.213e13	706	Tbh(16,15,39)
1007990560869	1.216e8	673	Tbh(10,17,43)	3.66263e19	1.044e14	716	Tbh(13,31,133)×2
1283896140138	1 367e8 > 2 ²⁷	671	Tbh(11,11,15)×4	5.58794e19	1.169e14	712	Tbh(14,25,118)×2
1569209936615	1.973e8	680	Tbh(12 11 16)	1.02183e20	1.642e14	710	Tbh(15,21,95)×2
2373778833372	2 109e8	672	Tbh(15,7,7)	1.09879e20	2.087e14	714	Tbh(13,31,133)×4
2594897402553	2.350e8	674	Tbh(10.15.26)×4	1.33318e20	2.977e14	719	Tbh(15,23,86)
3851688420414	2 73468 > 2 ²⁸	670	Tbh(11 11 15)×8	1.48779e20	3.295e14	719	Tbh(14,29,154)
4707629809845	3 94768	678	$T_{bb}(12, 11, 16) \times 2$	3.29637e20	4.174e14	712	Tbh(13,31,133)×8
7784692207659	4 700e8	672	Tbh(10 15 26)×8	4.65661e20	7.333e14	719	Tbh(15,25,127)
8330807606041	6.070e8 > 0 ²⁹	680	Tbh(10, 21, 60)	7.15284e20	8.739e14	716	Tbh(16,21,101)
12074497012726	0.270eo > 2	600	Tbh(10,21,00)	1.47716e21	2.202e15	724	Tbh(15,27,117)
129/440/012/20	0.94060	002	TbH(11,15,20)*2	3.06631e21	3.461e15	723	Tbh(16,23,92)
1/261312845878	1.140e9 > 2 ³⁰	684	1Dn(13,11,17)	4.31459e21	4.776e15	724	Tbh(15,29,164)
20712905678375	1.466e9	688	Tbh(10,23,57)	9.19892e21	6.922e15	721	Tbh(16,23,92)×2
38923461038178	1.788e9	680	1bh(11,15,26)×4	1.17326e22	1.244e16	729	Tbh(15,31,155)
47683145343751	2.414e9 > 2 ³¹	685	Tbh(10,25,83)	3.51979e22	2.489e16	727	Tbh(15,31,155)×2
58244920356306	3.273e9	691	Tbh(11,19,43)	3.98832e22	2.985e16	728	Tbh(16,27,126)
64872778301117	3.423e9	690	Tbh(12,15,29)	7.0525e22	4.033e16	726	Tbh(17,23,98)
143049436031253	4.830e9 > 2 ³²	684	Tbh(10,25,83)×2	1.25123e23	6.941e16	729	Tbh(16,29,177)
175138372880731	6.562e9	689	Tbh(11,21,68)	2.11575e23	8.065e16	724	Tbh(17,23,98)×2
210353319238441	7.673e9	690	Tbh(10,29,112)	9.8921e23	2.497e17	725	Tbh(19,19,75)
291310964560397	9.012e9 > 2 ³³	688	Tbh(12,17,52)	1.07685624	4.053e17	732	Tbh(17,27,133)
409810293823897	1.457e10	695	Tbh(10,31,102)	1.62208e24	4.706617	729	1Dn(18,23,103)
631059957715323	1.535e10	688	Tbh(10,29,112)×2	3.62857624	1.011018	733	TDN(17,29,188)
973096800297992	2 624e10 > 2 ³⁴	695	Tbh(13,15,32)	1.27590024	1.472e10 2.021o19	730	1011(10,25,154) Tbb(17.20,199)v2
1106655274513275	5 3.128e10	697	Tbh(12,19,46)	1.00007020	2.021010	737	Tbh(17,29,100)^2
2088623609220854	$43826e10 > 2^{35}$	690	Tbb(15 11 20)	2 007/0625	5 521018	736	Tbh(18.27.170)
200002000022000-	5 2/0e10 2	603	Tbh(13, 15, 32)x2	2.90749623	5 992-18	735	Tbh(17 31 176)x2
331996582353982	5 6 257e10	695	Tbh(12 19 46)×2	1.05229e26	1 474e19	736	Tbh(18 29 199)
267701202669120	20.207010	606	Tbh(12,13,40)**2	1 81899e26	1.863e19	733	Tbh(19 25 162)
405000000000000000000000000000000000000	5 6.917e10 > 2°°	090	TDH(12,21,70)	2 61674e26	2 208e19	732	Tbh(18 27 142)×4
4952288038881423	07.784010	693	TDN(13,17,57)	3 04427e26	2.397e19	731	Tbh(17 31 176)×8
0/0/0/1202001920	1.050011	602	$1011(10, 10, 02) \times 4$	3.49527e26	4.661e19	740	Tbh(18.31.186)
9.9099610	1.202011	604	$Tbh(12, 19, 40)^4$ Tbh(12, 21, 76)×2	7.85021e26	7.523e19	739	Tbh(19.27.150)
1.10337010	2.016011	600	$Tbh(12,21,70)^2$	1.04858e27	9.323e19	739	Tbh(18,31,186)×2
2 10265e16	2.010e11 3.004e11	703	Tbh(13, 19, 52)	3.05163e27	2.153e20	739	Tbh(19,29,211)
2.10203010 2.98023e16	3.738e11	702	Tbh(12 25 102)	4.54747e27	2.362e20	736	Tbh(20,25,170)
4 37894e16	4 031e11	697	$Tbh(14, 15, 35) \times 2$	7.06519e27	3.009e20	735	Tbh(19,27,150)×4
5 49023e16	4.392e11	695	Tbh(11 29 124)×4	1.08353e28	7.261e20	744	Tbh(19,31,196)
7 50473e16	8 964e11	708	Tbh(12 27 93)	2.11956e28	1.027e21	741	Tbh(20,27,158)
2 18947e17	1 560e12	703	Tbh(15 15 37)	3.2506e28	1.452e21	742	Tbh(19,31,196)×2
2.25142e17	1.793e12	706	Tbh(12,27.93)×2	6.35867e28	2.054e21	739	Tbh(20,27,158)×2
2.52018e17	2.219e12	709	Tbh(13,23,75)	8.84973e28	3.149e21	742	Tbh(20,29,222)
3.99503e17	2.901e12	708	Tbh(14,19,55)	1.9076e29	4.108e21	738	Tbh(20,27,158)×4
5 30722017	3.161e12	705	Tbh(12,29,132)×2	3.35895e29	1.133e22	746	Tbh(20,31,207)

х	SalemSpencer(X)	[1000e]	Reason	х	SalemSpencer(X)	[1000e]	Reason
5.72281e29	1.404e22	744	Tbh(21,27,166)	2.68297e39	6.680e29	756	Tbh(26,31,270)×4
1.00769e30	2.266e22	745	Tbh(20,31,207)×2	4.5797e39	9.282e29	755	Tbh(27,29,300)×2
1.71684e30	2.808e22	742	Tbh(21,27,166)×2	5.98626e39	1.284e30	756	Tbh(28,27,222)
2.56642e30	4.611e22	745	Tbh(21,29,232)	8.04892e39	1.336e30	754	Tbh(26,31,270)×8
5.15053e30	5.616e22	740	Tbh(21,27,166)×4	9.24136e39	2.623e30	761	Tbh(27,31,281)
7.69926e30	9.222e22	743	Tbh(21,29,232)×2	2.77241e40	5.245e30	759	Tbh(27,31,281)×2
1.04128e31	1.770e23	749	Tbh(21,31,217)	4.42705e40	6.837e30	758	Tbh(28,29,311)
1.54516e31	1.920e23	746	Tbh(22.27.174)	8.31722e40	1.049e31	758	Tbh(27.31.281)×4
2.30978e31	1.844e23	741	Tbh(21,29,232)×4	1.61629e41	1.766e31	758	Tbh(29.27.230)
3.12383e31	3.540e23	747	Tbh(21.31.217)×2	2.49517e41	2.098e31	756	Tbh(27.31.281)×8
4.63547e31	3.841e23	744	Tbh(22.27.174)×2	2.86482e41	4.122e31	762	Tbh(28.31.291)
7.44262e31	6.761e23	747	Tbh(22.29.245)	8.59446e41	8.243e31	761	Tbh(28.31.291)×2
9.37148e31	7.080e23	745	Tbh(21.31.217)×4	1.28384e42	1.008e32	760	Tbh(29.29.322)
1.39064e32	7.682e23	743	Tbh(22.27.174)×4	2.57834e42	1.649e32	759	Tbh(28.31.291)×4
2.23279e32	1.352e24	745	Tbh(22.29.245)×2	4.36398e42	2.432e32	759	Tbh(30.27.237)
3.22795e32	2.767e24	751	Tbh(22.31.228)	8.88094e42	6.481e32	763	Tbh(29.31.302)
4 17193e32	2 631e24	748	Tbh(23 27 182)	2 66428e43	1 296e33	762	Tbh(29 31 302)×2
6 69836e32	2 704e24	744	Tbh(22 29 245)×4	3 72314e43	1 487e33	761	Tbh(30 29 333)
9.68386e32	5.534e24	750	Tbh(22.31.228)×2	7.99285e43	2.592e33	761	Tbh(29.31.302)×4
1 77636e33	6 168e24	745	Tbh(24 25 205)	1 17828e44	3 350e33	760	Tbh(31 27 246)
2 15836e33	9 923e24	749	Tbh(23 29 255)	2 75309e44	1 020e34	765	Tbh(30 31 312)
2 90516e33	1 107e25	748	Tbh(22 31 228)×4	8 25928e44	2 039e34	763	Tbh(30 31 312)×2
5 32907e33	1 234e25	743	Tbh(24 25 205)×2	1 07971e45	2 194e34	762	Tbh(31 29 345)
6 47508e33	1.985e25	748	Tbh(23 29 255)×2	2 47778e45	4 078e34	762	Tbh(30 31 312)×4
1.00067e34	4.331e25	754	Tbh(23.31.238)	3.18134e45	4.616e34	761	Tbh(32.27.254)
2 74376e34	4 704e25	745	Tbh(22 31 228)×17	7 43335e45	8 157e34	761	Tbh(30 31 312)×8
3 002e34	8 663e25	752	Tbh(23 31 238)×2	8 53459e45	1 605e35	766	Tbh(31 31 322)
6 25925e34	1 458e26	751	Tbh(24 29 266)	2 56038e46	3 210e35	765	Tbh(31 31 322)×2
9 00599e34	1 733e26	750	Tbh(23 31 238)×4	3 13116e46	3 240e35	763	Tbh(32 29 356)
1 87777e35	2.915e26	750	Tbh(24 29 266)×2	7 68113e46	6 421e35	763	Tbh(31 31 322)×4
2 7018e35	3 465e26	749	Tbh(23 31 238)×8	8 58963e46	6 367e35	762	Tbh(33 27 262)
3 10206e35	6 786e26	755	Tbh(24 31 249)	9 39349e46	6 480e35	762	Tbh(32 29 356)×2
9 30619e35	1.357e27	754	Tbh(24 31 249)×2	1 69407e47	7 157e35	759	Tbh(34 25 291)
1 81518e36	2 143e27	753	Tbh(25 29 277)	2 64572e47	2 528e36	767	Tbh(32 31 332)
2 79186e36	2 715e27	752	Thh(24 31 249)x4	7 93717e47	5.056e36	766	Tbh(32,31,332)x2
5 44554e36	4 285e27	752	Thh(25 29 277)x2	1 98002e48	5 137e36	760	Thh(31 31 322)×32
8 2116e36	6 796e27	753	Tbh(26 27 206)	2 38115e48	1.011e37	764	Tbh(32 31 332)×4
9.6164e36	1.064e28	757	Tbh(25 31 259)	8 20174e48	3 984e37	768	Tbh(33 31 343)
2 88492e37	2 128e28	756	Tbh(25 31 259)×2	2 24886e49	4 297e37	762	Tbh(32 31 332)×17
5 26403e37	3 152e28	755	Tbh(26 29 289)	2 46052e49	7.969e37	767	Tbh(33 31 343)×2
8 65476e37	4 256e28	754	Tbh(25 31 259)×4	6 26184e49	1 212e38	764	Tbh(35 27 278)
1 57921e38	6.304e28	753	Tbh(26 29 289)×2	7 38156e49	1.594e38	766	Tbh(33 31 343)×4
2 21713e38	9.338e28	755	Tbh(27 27 214)	2 21447e50	3 188e38	764	Tbh(33 31 343)×8
2 98108e38	1 670e29	759	Tbh(26 31 270)	2 54254e50	6 281e38	769	Tbh(34 31 354)
6.6514e38	1.868e29	753	Tbh(27.27 214)×2	7.62762e50	1.256e39	768	Tbh(34.31 354)×2
8.94325e38	3.340e29	757	Tbh(26.31.270)×2	1.69070e51	1.673e39	765	Tbh(36.27.286)
1.52657e39	4.641e29	757	Tbh(27.29.300)	2.28828e51	2.513e39	767	Tbh(34.31.354)×4
		-	· · · · · · · · · · · /			-	······································

Due to exhaustive computer searches, SalemSpencer(X) is <u>known</u> exactly for each X \leq 211. Unfortunately, it seems entirely possible that nobody will ever know any exact value of SalemSpencer(X) for any X \geq 2000. For all large-enough X, there exist positive constants c₁, c₂, c₃, c₄, such that

 $c_1 X \exp(-c_2 [lgX]^{1/2}) (lgX)^{1/4} < SalemSpencer(X) < c_3 X \exp(-c_4 [lgX]^{1/9})$

Here $IgX \equiv Iog_2 X$, the lower bound is Elkin 2011's improvement of Behrend 1946 which both have $c_2 = 8^{1/2} \ln 2 < 1.9605163$, and the upper bound was proved by Kelley & Meka as refined by Bloom & Sisask in 2023 with $c_3 = 1$ for all large-enough X. Miscellaneous bounds:

• Monotonicity & Subadditivity: SalemSpencer(X)≤SalemSpencer(X+Y)≤SalemSpencer(X)+SalemSpencer(Y).

Cubes: The sequence of nonnegative cubes 0,1,8,27,64,125,... contains no three elements in arithmetic progression (theorem by L.Euler 1770 and by A-M.Legendre 1823 or before, see Dickson vol2 p.572-573). Indeed Darmon & Merel 1997 showed for each K≥3 that there are no three nonnegative Kth-powers in arithmetic progression, proving a conjecture of Denes 1952, who had already proven it for K∈{3,4,5,...,30} in his Satz 9. Hence
Salar Spanner(X) > 14 × 1/3 |. But that does not work for any user (K=2) due to (K=2) due to (K=2) due to (K=2).

SalemSpencer(X)>[1+X^{1/3}]. But that does **not** work for squares (K=2) due to (1,25,49), nor for triangle numbers due to (6,21,36) – although numerous authors starting with Euler in 1780 all proved or repeated a 1640 claim by Fermat that no *four* squares form an arithmetic progression (Dickson vol.2 p.440 and near bottom of p.635 lists citations, few or none of which actually contain proofs; Itard 1963 allegedly proved it by "Fermat's method of infinite descent"

on his p.112-113; the webpage by Brown gives another; Conrad uses an elliptic curve to prove in his "theorem 3.4" the somewhat stronger claim that no four rational squares form an arithmetic progression; also no 4 triangular numbers form an arithmetic progression for the same reason).

- **Certain squares or triangular numbers:** Nevertheless, the squares n^2 arising only from n that <u>are</u> products of distinct primes of form 4j+3 (examples: n=19 and n=3.7.23) is a nonaveraging set. So are the triangular numbers (n+1)n/2 arising only from n with 2n+1 equalling such a product. Consequently, SalemSpencer(X)≥c(X/logX)^{1/2} for some positive constant c. [Proof sketch: L.Pisano ("Fibonacci") in 1225 proved a theorem completely characterizing the triples (a,b,c) of nonnegative integers such that $a^2+c^2=2b^2$, namely: $a=(k/2)(x^2+2xy-y^2)$, $b=(k/2)(x^2+y^2)$, $c=(k/2)(y^2+2xy-x^2)$ for any 0 < x < y and k > 0 such that a,b,c all are integers. Then in view of the famous <u>two-squares</u> theorem (originally stated by A.Girard in 1632) that a number z is representable as a sum of two squares $z=x^2+y^2$ if and only if all the primes of form 4j+3 in its prime factorization occur only raised to *even* powers, we see that if we restrict attention only to the n^2 with n being products of distinct primes all of form 4j+3, then the middle elements b^2 of 3-term arithmetic progressions of squares, can never occur. Finally, the fact that the numbers up to X≥3 that are products of distinct primes all of form 4j+3, is known to have cardinality asymptotic to a positive
- constant times (X/logX)^{1/2} by arguments related to the "Landau-Ramanujan constant."] Randomizing argument: Permute the elements of {1,2,3,...,X} into random order than add them one at a time to the set (when permitted). The expected cardinality of the final set, which lower-bounds SalemSpencer(X), will be $\ge 2X^{1/2}/3$.
- Explicit square-based construction: Let P be a prime. Consider the set of 2-digit numbers AB written in radix R=2P+1 with each digit in {0,1,2,...,P-1} [causing addition of two such numbers to be carryless] and B=A²-1 mod P. Then this set is nonaveraging and shows SalemSpencer(2[P-1]P+1)≥P. Apparently this construction never is optimal. Hence for an infinite set of X we have SalemSpencer(X)≥[(2X-1)^{1/2}+1]/2 and in view of known results about gaps between consecutive primes SalemSpencer(X) \geq (X/2)^{1/2}[1-o(1)] so that SalemSpencer(X)>0.7071X^{1/2} for all large-enough X. **G.Szekeres' Base-3 method:** Consider the <u>set</u> of nonnegative numbers whose radix-3 representation contains no 2. For this integer subset a+c=2b is
- impossible. If we consider only the (<k)-digit ternary numbers, this shows SalemSpencer([3^k+1]/2)≥2^k. That Szekeres bound is never optimal if k≥7, but nevertheless works well for X<10¹⁰. Szekeres' bound also arises from "greed" instead of the above "randomizing argument," i.e. if you do *not* randomly pre-permute {0,1,2,...,X-1} but simply "greedily" add elements in increasing order when permitted, then you get precisely Szekeres' base-3 construction. For all large-enough X, Szekeres also shows SalemSpencer(X)>X^{0.6309}. since **0.6309**<log2/log3. Indeed for every X≥0 we have SalemSpencer(X)>(1/2) (2X)^{log2/log3}
- Products: SalemSpencer(XY)≥ModSS(X)SalemSpencer(Y) where ModSS(Y) is the cardinality (preferably largest possible) of a nonaveraging subset of the integers modulo Y. [The nonaveraging set showing this is Xa+b where a is in the SalemSpencer(Y) set and b in the ModSS(X) set.] Also ModSS(XY)≥ModSS(X)ModSS(Y) and ModSS(X)≤SalemSpencer(X)≤ModSS(2X-1). These are very useful for "filling holes" in the table. For example,

because ModSS(3)=2 [and hence ModSS(3^k)≥2^k for each k≥0] we see that SalemSpencer(3Y)≥2SalemSpencer(Y), which enables forcing all "holes" in our table of SalemSpencer(X) lower bounds to be at most a factor 3 wide. More examples: $ModSS(37) \ge 10$ from {0,1,3,7,17,24,25,28,29,35} mod 37; ModSS(85)≥17 from {0,1,3,4,9,10,13,24,28,29,31,36,40,42,50,66,73} mod 85 (Fumitaka Yura); ModSS(182)≥28 from

{0,5,6,9,22,23,29,31,32,34,43,48,50,51,60,61,75,84,85,92,101,103,104,106,112,129,130,135}; ModSS(202)≥31 from

(0,9,10,17,19,22,23,40,42,43,47,56,59,60,66,68,79,81,87,88,91,100,104,105,107,125,128,130,137,138,147}; ModSS(232)≥32 from

{0,3,8,15,17,21,33,36,40,46,50,53,62,68,76,81,82,87,95,101,110,113,117,123,127,130,142,146,148,155,160,163}; ModSS(275)≥40 from {0,7,8,10,17,22,23,28,40,42,43,45,53,54,59,60,87,88,93,94,102,104,105,107,119,124,125,130,137,139,140,147,177,183,199,209,213,223,239,245}. The last four all are by Gavin Theobald. The mod-232 one plus Szekeres shows, e.g. SalemSpencer($232^{k}[3^{j}+1]/2$) $\geq 2^{5k+j}$ for all j,k ≥ 0 . The mod-275 one plus Szekeres similarly shows SalemSpencer($275^{k}[3^{j}+1]/2$) $\geq 2^{j}40^{k}$ for all j,k ≥ 0 , and hence SalemSpencer(X) $\geq X^{0.6567}$ for all large-enough X since

0.6567<log(40)/log(275). Indeed for every X≥0 we have (after enough examination of small-X cases) SalemSpencer(X)>X^{log(40)/log(275)}.

- New "Modular sum of two squares" construction: Let P be prime with P mod 4=3 and hence unrepresentable as a sum of two squares [every multiple of P below P² also is unrepresentable]. Consider the set of 3-digit numbers ABC written in radix R=2P+1 with each digit in {0,1,2,...,P-1} [causing addition of two such numbers to be carryless] and C=A²+B²-1 mod P. Then this set is nonaveraging and shows SalemSpencer([4P²+2P-5]P+1)≥P² and hence for
- all large-enough X that SalemSpencer(X) \geq (X/4)^{0.6666} since **0.6666**<2/3. Apparently this construction is never optimal. **I.Z.Ruzsa's Base-5 method:** Consider the set of nonnegative numbers written in radix 5 using digits 0,1,2 only with *exactly* a fixed count of 1s. Again for this integer subset a+c=2b is impossible. If we consider 3k-digit numbers using count=k, then the maximum permitted number is 222...222111...111₅, i.e.

(after evaluating the geometric sum) [125^k2-5^k-1]/4, while the minimum is 000...000111...111₅=[5^k-1]/4. Hence Rusza's construction shows

SalemSpencer([25^k-1]5^k/2+1)≥2^{2k}(3k)!/[(2k)!k!], which first outperforms Szekeres when k=6, showing SalemSpencer(1907348625001)≥76038144 while Szekeres only gives 67108864=2²⁶. This 3k-digit Ruzsa bound apparently never is optimal. For all large-enough X this also shows SalemSpencer(X)>X^{0.6826} since **0.6826**<log3/log5.

- New Base-7 construction by me: Consider the set of nonnegative numbers written in radix 7 using digits 0,1,2,3 only with *exactly* a fixed count of digits lying in the set {1,2}. For any such integer subset a+c=2b is impossible. If we consider 2k-digit numbers using count=k, this shows SalemSpencer([(7^k3-2)7^k+5]/6)≥2^{2k}(2k)!k!⁻². This first exceeds Szekeres when k=3, showing SalemSpencer(58711)≥1280, versus 1024 from Szekeres, and k=4, showing SalemSpencer(2881601)≥17920, versus 16384 from Szekeres. For large X this also shows SalemSpencer(X)>X^{0.7124} since 0.7124<log4/log7.
- L.Moser 1953's upper bound (obsoleted by below): SalemSpencer(X)<min{2X/5+3, 4X/11+5}. My new optimal-linear upper bound: SalemSpencer(X)<min{X, (2X+2)/3, (4X+16)/9, (8X+104)/27} with equality only when X=0, 1, 2, 5, 14, and 41. Proof: SalemSpencer(162)=36 by exhaustive search and since 162=6×27 and 36=6×6 we see that SalemSpencer(X)≤6X/27=2X/9 whenever X is a multiple of 162. For 0≤X≤211 the result holds by exhaustive verification. For X>211 it follows from subadditivity. Q.E.D. I conjecture (16X+640)/81 can be adjoined as a fifth argument of the min, with X=122 added as a new equality-case. It even is somewhat plausible one can also adjoin (32X+1280)/243 and X=365, but this pattern definitely cannot be continued further. [Pattern? 0,2,16,104,640,1280 arise from 2ⁿ⁻¹(3ⁿ-1).

and 0,1,2,5,14,41,122,365 from (3ⁿ+1)/2.]

Jaroslaw Wroblewski's open question: what is the minimum θ , such that for every B≥1, a cardinality=B nonaveraging subset of {1,2,3,...,[B^θ]} exists? Because SalemSpencer(204)=42 we know that $\theta \ge \log(204)/\log(42)>1.4228$. Wroblewski conjectured $\theta \le 3/2=1.50$. I can prove $\theta \le \log(275)/\log(40)<1.522623$ from ModSS(275)≥40 combined with known bounds for SalemSpencer(X) for small X. The data in my table is compatible with this conjecture: $1.42 \le \theta \le 1.52$. Molsen 1941 showed: Whenever N \geq 118 there are primes in (N,4N/3] congruent to each of 1, 5, 7, and 11 modulo 12. <u>Consequently</u> whenever N \geq 33 there are primes in (N,4N/3] congruent to 2 modulo 12. <u>Consequently</u> whenever N \geq 33 there are primes in (N,4N/3] congruent to 3 mod 4. This improved Breusch 1932's theorem that between every number N \geq 7 and 2N there is at least one prime number from each of the four progressions 3k+1, 3k+2, 4k+1 and 4k+3; for the cases 4k+1 and 4k+3 almost the same result was shown entirely using elementary methods by Erdös 1935 (redone more precisely by Moree 1993); those in turn improved "Bertrand's postulate" that for each N≥4 at least one prime p exists with N<p<2N-2. Anyhow, Molsen combined with my modular-sum-of-two-squares construction and explicit verifications for all small B shows that Wroblewski is correct if

weakened by a factor of 10, that is: a cardinality=B nonaveraging subset of {1,2,3,...,[10B^{3/2}]} always exists.

Behrend 1946's lower bounds are ultimately superior to any of the above-listed miscellaneous constructions. I'll first explain his construction, then show how to improve it. It arises by choosing good parameters D,R,V in the following. Consider the set of D-digit numbers written in radix R=4J+1 but only permitting digits $x_k \in \{-J, 1-J, ..., J-1, J\}$. Here x_k , k=0,1,2,...,D-1, is the *k*th digit.) This prevents "carries" if two such numbers are added. Further demand that the $\sum_{0 \le k < D} (x_k)^2 = V$. We can call this set "Beh(D,R,V)." It cannot contain any 3-term arithmetic progression because the sphere of radius= \sqrt{V} is a strictly-**convex** surface, whose intersection with a line therefore has at most *two* points.

Rather stupidly, Behrend did not notice (in view of "<u>Chebyshev's inequality</u>" from probability theory, regarding the digits as being uniform-in-[-J,J] random integers) that only $O(J^2D^{1/2})$ of those candidate V – namely the ones within $\pm O(\sigma)$ away from Expectation(V)=(J+1)JD/3, where the standard deviation σ is asymptotic when J $\rightarrow\infty$ to 8(D/45)^{1/2}J² – can allow substantial cardinalities; and they in total constitute at least a positive constant fraction of the summed cardinalities. Elkin 2011 understood this. Hence SalemSpencer(R^D)≥(2J+1)^D $\kappa/(J^2D^{1/2}+1)$. for some positive constant κ . That does not improve Behrend's c₂, but does improve the bound-formula he gave at the start of his paper by a factor of order (IgX)^{1/4}.

Further, if we employ the "central limit theorem" rather than merely Chebyshev's bound then we can determine the exact asymptotic (when $D \rightarrow \infty$, $R \rightarrow \infty$, $V \rightarrow \infty$) value of the constant κ , namely $\kappa = 8^{-1} (2\pi/45)^{-1/2} \approx 0.3345233$.

So I recommend V≈(J+1)JD/3 and asymptotically D≈(2IgX)^{1/2}.

A second rather stupid decision by Behrend (unfortunately repeated by Elkin) was choosing the **squaring function** $f(x)=x^2$ in his demand that $\sum_{0 \le k < D} f(x_k)=V$. A better choice would have been the *slowest-growing* concave- \cup integer—integer function, which is f(x)=(x+1)x/2 for $x \ge 0$ (*triangular* rather than square numbers). Asymptotically, this simple change improves Behrend's lower bounds on the SalemSpencer function by a factor of 2. More precisely:

My new "triangular Behrend" construction: Define the strictly-concave- \cup function $F_{I}(x)$ mapping integers to nonnegative integers as follows:

- If J is odd: F_J(x)=(x-[J/2])(x-[J/2])/2,
- If J is even: F_J(x)=[2x-J][2x+2sign(2x-J)-J]/8.

Among all functions mapping integers x to nonnegative integers that are even-symmetric about x=J/2 and strictly-concave- \cup , $F_J(x)$ is minimal for each x (this is easy to prove using induction on x \rightarrow x+1). Consider the set Tbh(D,R,V) of D-digit radix=R=2J+1 nonnegative integers, with all digits x₀, x₁,..., x_{D-1}, lying in {0,1,2,...,J}, such that $\sum_{0 \le k < D} F_J(x_k) = V$.

Claim: if a<b<c all are members of Tbh(D,R,V), then it is impossible for a+c=2b. (Proof: "carries" impossible; strictly-convex surface.)

Consequence: If X equals 1 plus the difference between the maximum and minimum elements of Tbh(D,R,V), then SalemSpencer(X)≥#Tbh(D,R,V).

Note the max and min determining X both are easy to find using "rightward-traveling greed." And we can print out the whole set #Tbh(D,R,V) for the best (cardinality-maximizing) value of V (and find that V) algorithmically in near-linear time, namely O([J+1]^D) operations, because: There are only F_J(J)D+1 possible

values of V and all the Tbh(D,R,V), for all possible V simultaneously, can be enumerated in $O([J+1]^D)$ operations. When J is odd the expected value of V is (J+2)JD/6; when J is even (J+2)(J+1)JD/(6J+6). Furthermore, if you do not want to *generate* Tbh(D,R,V) – you merely want to *estimate its cardinality* (for every V yielding high cardinality simultaneously) – with relative errors ϵ (with correctness probability $\geq 1-\delta$) then you can do that in $O[J^2D^{1/2}|log\delta|\epsilon^{-2}]$ steps by Monte Carlo. So I certainly do not agree with claims (e.g. Moser 1953) that Behrend's method is "nonconstructive." Empirically, triangular Behrend always seems either to outperform or tie original Behrend, if so completely obsoleting it.

Szekeres, Ruzsa, and my base 3, 5, and 7 methods all arise as special cases of my Tbh construction with R=3, 5, and 7. Empirically, the Tbh construction works better when R mod 4=3 (odd J) than for R mod 4=1 (even J).

New "multiple sphere" improvements of the Beh and Tbh constructions: Here are the cases with 2 spheres:

- Beh_{≠0}(D,R,V-1)∪Beh(D,R,V), where the "≠0" subscript means all the digits must be nonzero has (with optimal choice of V, in limits where D,R,V all go to ∞) approximately twice the cardinality of Behrend's original bound and still works, i.e. contains no 3-term arithmetic progressions.
- If J is odd then consider the set Tbh_{≠0,|distinct|}(D,R,V-1)∪Tbh(D,R,V), where the "|distinct|" subscript means that no two digits a,b are permitted to have |2a-J|=|2b-J| while the "≠0" subscript means that no digit a obeys F_J(a)=0. This set has (with optimal choice of V, in limits where D,R,V all go to ∞) approximately twice the cardinality of my Tbh bound (hence about 4 times Behrend's original bound) and still works.

It is possible to adjoin more spheres while imposing more conditions on the digits; but the conditions become more and more onerous the more spheres we adjoin, causing the resulting bounds to be less and less "constructive." Here are the cases with **3 spheres**:

- Beh_{≠0,|distinct|}(D,R,V-2)∪Beh_{≠0}(D,R,V-1)∪Beh(D,R,V), where V≥1, and "|distinct|" here means any two digits a and b must have |a|≠|b|.
- (For J odd) Tbh_{≠C3,|distinct|,≠0}(D,R,V-2)∪Tbh_{|distinct|,≠0}(D,R,V-1)∪Tbh(D,R,V), where V≥2, "|distinct|" here means any two digits a and b must have |2a-J| ≠|2b-J| where 2J+1=R, and "C3" means that any three digits a,b,c must have F_J(a)+F_J(b)=F_J(c).

For the general case with L concentric spheres from $\sum F_J(x_k) \in \{V, V-1, ..., V+1-L\}$, you get asymptotically L times greater lower bounds than plain (L=1) Beh or Tbh

would have provided. [Call those sets "Tbh_L."] This is valid for any fixed L, as well as if we permit L to grow like a sufficiently small positive constant times $(IgX)^{1/2}/IgIgX$. However, the computational effort required to verify that a given D-digit number obeys the conditions, grows with L, perhaps something like D^[L/2].

Furthermore, the L-sphere Tbh idea only can significantly improve SalemSpencer lower bounds if D≋R^L. That does not seem to happen, not even for L=2, within the domain covered by my table.

Elkin's improvement is: instead of making Behrend's D digits, regarded as an integer D-vector, be the lattice points on the *sphere* [this sphere has radius= $V^{1/2}$ and center (0,0,...,0) in Behrend's original construction as I described it] and within the axis-oriented hypercube of sidelength=2J+1; make this set of vectors be the *extreme points of the convex hull* of the lattice points *within* both that sphere and hypercube. That (he showed) with optimal choice of V improves Behrend's bound-formula by a further factor of order≥(IgX)^{1/2}. For odd J, Elkin's idea also works for my Tbh variant; the surfaces still are spheres, but the radius now is $[2V+\frac{1}{2}]^{1/2}$ and the center is (J/2, J/2, ..., J/2), which now is not a integer-lattice point at all. (For even J the Tbh surfaces are non-spherical, but still strictly convex.) Unfortunately Elkin's sets are algorithmically much harder to compute than the Beh and Tbh sets and their few-sphere versions. So the epithet "nonconstructive" is much more appropriate for Elkin.

Nevertheless, we now – for the first time – present an **algorithm** to produce non-averaging sets which actually can be larger than, and always are at least as large as, Elkin's extreme-point sets, and that can be implemented to run in time and memory both bounded by $|S|^{1+o(1)}$ where |S| is the cardinality of the output set. (It also permits J to be even, in which case it outputs new kinds of sets):

- 1. Let S(v) denote the set of D-digit radix-R numbers with all digits x_k in [0,J] where R=2J+1 and obeying $\sum_{0 \le k < D} F_J(x_k) = v$.
- 2. Let S=S(V).
- 3. For k=1,2,...,V^{o(1)} {
 - $S \leftarrow S \cup S(V-k)$. Use the prior fast algorithm to determine all $b \in S$ that are midpoints of 2 other set elements. Then remove all such midpoints.

}

Elkin pointed out to me that his SODA 2010 paper contained a fast algorithm for constructing his sets omitted in his 2011 journal paper. Elkin's algorithm is different from mine but perhaps comparably fast. Elkin's sets were extreme points of a convex body in D-dimensional space and hence contained, not merely "no 3-term arithmetic progressions" but actually "no $\vec{a}, \vec{b}, \vec{c}$ with \vec{b} being *any* convex rational-linear combination of $\vec{a} & \vec{c}$." Since the algorithm above only asks for the former, weaker, demand that $\vec{a}+\vec{c}\neq 2\vec{D}$, it ought to produce larger sets than Elkin, perhaps even larger by a factor which grows unboundedly with X.

Future work. By more prolific use of product constructions, mixed-radix generalizations of Tbh, and also considering constructions something like Elkin's and/or adjoining more set-members to Tbh sets, one could produce a larger, finer-grained, and more-precise table of lower bounds. It also would be feasible to extend it up to, say, X=10⁹⁹⁹. Nobody seems to have decent explicit upper bounds, so somebody should try to produce them.

"Baby Coppersmith-Winograd": E≤In(4000/27)/In(8)≈2.403632260832873

As an application of the Laser method, plus new ideas, Coppersmith & Winograd 1990 in their §6 devised a matrix multiplication method with exponent $E \le \ln(4000/27)/\ln(8) \approx 2.40363$. I'll now try to summarize the key parts of their derivation.

They begin (in their EQ5) by describing a certain task T (which is already 3-way symmetric, unlike Strassen's T we discussed previously) that they can approximately solve via a degree-3 APA (APA₃) formula (which they state) employing q+2 multiplications. Then they take the 3Pth tensor power T^{\otimes 3P} of T, which therefore is an APA_{9P} formula. They plan to consider large P. Let Y=2X+1 where X=(2P)!P!⁻². They will use a Z=SalemSpencer(X) set in their construction, i.e. a Z-element subset of {1,2,3,...,X} without any 3-element arithmetic progressions. They also will use Behrend's theorem that Z≥X^{1-o(1)} when X→∞.

They then create 3P+1 random quantities, each an integer in the interval [0,Y), that they call "w_j." They then argue that their tensor product after a certain processing based on the w_j's (which does not include any bilinear muls) is applied to it, contains at least H different encrypted square-matrix products (with disjoint variables) of form (N,N,N), where N=q^P, where Expectation(H) \geq (Z/4) (3P)!P!⁻³ Y⁻². (The expectation is over the randomness in the w_j's; this is their EQ8.) They then argue that some suitable particular values for all their w_j's *must exist* that cause H≥Expectation(H). They select and use those particular suitable values.

Therefore: if N=q^P then

 Rk_{9P} [H independent copies of (N,N,N) matmul task] \leq (q+2)^{3P}

for some H obeying

 $H \ge 4^{-1} (3P)!P!^{-3}$ SalemSpencer((2P)!P!^{-2}) [2(2P)!P!^{-2}+1]^{-2}.

Consequently,

Rk[H independent copies of (N,N,N) matmul task] \leq (9P+1) (q+2)^{3P}.

They argue that in the limit of large P, where the SalemSpencer "o(1)" goes to 0, this yields matrix multiplication exponent $E \le \log_q(4(q+2)^3/27)$ which if q=8 (the E-minimizing choice) shows $E \le \log_8(4000/27) < 2.40364$.

A problem with understanding Coppersmith-Winograd is that the SalemSpencer(X) function is *unknown*. That makes it almost impossible to determine the breakeven N_{obv} and N_{str} . All we can do is determine **upper bounds** on them by using known lower bounds on SalemSpencer(X) for appropriate X. If those lower bounds are assumed/hoped to be nearly tight, then our estimates of N_{obv} and N_{str} will be fairly accurate.

Numerical Example: q=8, P=11: Then N=8¹¹=2³³=8589934592. The combined multiplication count to multiply all H pairs of N×N matrices is

$$\#$$
muls \leq (9P+1) (q+2)^{3P} = 10³⁵.

Here the number of copies H obeys

 $H \ge 4^{-1} 33! 11!^{-3} SalemSpencer(22! 11!^{-2}) [22!11!^{-2}+1]^{-2} = 34131748865760 SalemSpencer(705432) 1410865^{-2} \ge 34131748865760 \cdot 8320 \cdot 1410865^{-2} > 142662.867.$

Hence

which has failed to be cheaper than the Obvious method's mul-count $N^3=2^{99}\approx 6.34 \times 10^{29}$. However it would be cheaper *if* somebody could improve the lower bound on SalemSpencer(705432) from 8320 to 9202, which might be possible.

Numerical Example: q=8, P=12: Now N=8¹²=2³⁶=68719476736. The combined multiplication count to multiply all H pairs of N×N matrices is

#muls ≤ (9P+1) (q+2)^{3P} =
$$109 \times 10^{36}$$
.

Here the number of copies H obeys

 $H \ge 4^{-1} 36! 12!^{-3} SalemSpencer(24! 12!^{-2}) [24!12!^{-2}+1]^{-2} = 846182940630300 SalemSpencer(2704156) 5408313^{-2} \ge 846182940630300 \cdot 20800 \cdot 5408313^{-2} \ge 601733.187.$

Hence

which is cheaper than the Obvious method's mul-count $N^3 = 2^{108} \approx 3.25 \times 10^{32}$. Hence $N_{obv} = 2^{36} = 68719476736 \approx 6.87 \times 10^{10}$ if our table of lower bounds were all that were known about the SalemSpencer function. But with future improvements it is possible this might drop to $N_{obv} = 2^{33} = 8589934592 \approx 8.59 \times 10^{9}$.

Numerical Example: q=8, P=24: Coppersmith-Winograd with N=8²⁴=2⁷² uses about 37% more muls than Strassen's 7⁷² if the lower bound SalemSpencer(32247603683100) \geq 1.466×10⁹ is all we know.

Numerical Example: q=8, P=25: Coppersmith-Winograd with N=8²⁵=2⁷⁵ beats Strassen's mul-count 7⁷⁵ if the lower bound SalemSpencer(126410606437752) \geq 3.69×10⁹ is used. This lower bound cannot be read directly from our bounds table but is deducible from SalemSpencer(143049436031253) \geq 4.830×10⁹ if you believe that the rightmost 17261312845878 among the 143049436031253 contain at most 1.140×10⁹ elements of the nonaveraging set. Therefore N_{str} \leq 2⁷⁵ \approx 3.7779×10²².

"Toddler" Coppersmith-Winograd: E≤2.3871900

Toddler, from §7 of Coppersmith & Winograd 1990, is conceptually similar to Baby.

In their EQ10 they invent a certain new task T (again already 3-way symmetric) that they can approximately solve via a stated APA₃ formula again employing q+2 multiplications. They take the 3Pth tensor power $T^{\otimes 3P}$ of T, therefore an APA_{9P} formula. Let L=[β P] where β is a constant with 0< β <1 whose optimal value they will determine later. Let Y=2X+1 where X=(P+L)!(2P-2L)!L!⁻²(P-L)!⁻³. They again use a Z=SalemSpencer(X) set in their construction, i.e. a Z-element subset of {1,2,3,...,X} without any 3-element arithmetic progressions and again use Behrend's theorem that $Z \ge X^{1-o(1)}$ when $X \to \infty$. Then again using a "randomized encryption" scheme they argue that their tensor product after a certain "decryption" contains at least H different encrypted square-matrix products (with disjoint variables) of form (N,N,N), where N=q^{P-L}, where

Expectation(H)
$$\geq$$
 (Z/4) (3P)!(P-L)!⁻³L!⁻³ Y⁻²

They then again argue that some suitable particular values for all their randoms *must exist* that cause $H \ge Expectation(H)$, and select and use those particular suitable values. Therefore: if $N = q^{P-L}$ then

 Rk_{9P} [H independent copies of (N,N,N) matmul task] \leq (q+2)^{3P}

for some H obeying

 $H \ge 4^{-1} (3P)!(P-L)!^{-3}L!^{-3} SalemSpencer((P+L)!(2P-2L)!L!^{-2}(P-L)!^{-3}) [2(P+L)!(2P-2L)!L!^{-2}(P-L)!^{-3}+1]^{-2}.$

Consequently,

Rk[H independent copies of (N,N,N) matmul task] \leq (9P+1) (q+2)^{3P}

which is $\leq (9P+1)(q+2)^{3P}/H$ muls *per copy*. They argue that in the limit of large P, where the SalemSpencer "o(1)" goes to 0, if they take q=6 and $\beta=6/125=0.048$, this yields matrix multiplication exponent E ≤ 2.38719 . Notice that with this choice of β , we already need P ≥ 21 just to allow L>0 so that this method can operate nontrivially at all.

#muls ≤ (9P+1) (q+2)^{3P} ≈ $190 \times 8^{63} \approx 1.491 \times 10^{59}$.

Here the number of copies H obeys

 $H \ge 4^{-1} 63! \ 20!^{-3} \ 1!^{-3} \ SalemSpencer(\ 40! \ 22! \ 1!^{-2} \ 20!^{-3} \) \ (40! \ 22! \ 1!^{-2} \ 20!^{-3} \ 2+1)^{-2} = \ 34419383037232130160280840132350 \ SalemSpencer(63685096314840) \ 127370192629681^{-2}$

Using SalemSpencer(63685096314840)> 3.273×10^9 we find H $\ge 6.944 \times 10^{12}$. Hence

#muls per copy $\leq 1.491 \times 10^{59}$ / (6.944×10¹²) $\leq 2.147 \times 10^{46}$

which is cheaper, by more than a factor of 2, than the Obvious method's mul-count $N^3 = 6^{60} \approx 4.8874 \times 10^{46}$. Hence $N_{obv} = 6^{20} = 3656158440062976$ with $\log_2(N) \approx 51.69925$.

Numerical Example: q=6, P=32, L=1: Then N=6³¹=1326443518324400147398656. The combined multiplication count to multiply all H pairs of N×N matrices is

Here the number of copies H obeys

 $H \ge 4^{-1} 96! 31!^{-3} 1!^{-3} SalemSpencer(62! 33! 1!^{-2} 31!^{-3}) (62!33!1!^{-2}31!^{-3}2+1)^{-2} = 445908090855572846831392535773770961755140997120 SalemSpencer(491492341037555708928) 982984682075111417857^{-2}$

Using SalemSpencer(491492341037555708928)>7.333×10¹⁴ we find H ≥ 3.384×10²⁰. Hence

#muls per copy $\leq 1.437 \times 10^{89} / (3.384 \times 10^{20}) \leq 4.247 \times 10^{68}$.

This fails to be as cheap as Strassen's mul-count $M_{str1}(N)=64790535543956475873471063036267033900568157035323972289522237508492 \approx 6.479 \times 10^{67}$. Hence $N_{str}>6^{31}$ if our lower bound on SalemSpencer(491492341037555708928) is correct to within a factor of 6.

Similarly we find P=37 also is not enough to make Toddler beat Mstr1(N), at least if the conjectural bound

SalemSpencer(622172631629232511560824)>1.6×10¹⁷ is not too weak. However, **P=38** suffices to make Toddler beat $M_{str1}(N)$, indeed by over 20%, using SalemSpencer(2587765496345398042971024)≥4.706×10¹⁷. Hence $N_{str} \le 6^{37} \approx 6.189 \times 10^{28}$ with $\log_2(N) \approx 95.6436$.

"Monster" Coppersmith-Winograd: E≤2.3754770

Monster, from §8 of Coppersmith & Winograd 1990, is conceptually similar to Toddler. However, they now use intentionally-*coupled* (rather than statistically independent) uniform random variables in their decryption scheme; they begin by defining T now to be the *tensor square* of (their EQ10) Toddler task; and they do not merely find a lot of independent copies of square-matrix multiplications inside the high tensor powers of T they then consider, but rather a variety of different sizes and shapes of rectangular matrix multiplication tasks – then they use Schönhage's ASI on those. They also need to optimize three real parameters simultaneously to tune their construction (whereas for Toddler there was only one real parameter "\beta" to optimize).

I'm not going to try to work out the breakeven N for Monster because I do not understand it well enough. I think, though, that they considerably exceed the corresponding breakeven N's for Toddler. It took everybody 20 years to recover from Coppersmith-Winograd 1990, but then during 2010-2023, other workers

Authors of papers on "post-Coppersmith-Winograd" fast matrix multiplication:

A.M.Davie, Andrew J. Stothers, Virginia Vassilevska-Williams, Francois Le Gall, Josh Alman, Ran Duan, Hongxun Wu, Renfei Zhou

considered higher tensor powers (up to power 32) in CW's E=2.375477 construction, improved the randomized encryption/decryption schemes, and/or added other tricks, while solving optimization problems (or trying to) sometimes with over 300 real parameters(!) to find out how to optimally tune their algorithms... which allegedly improved the exponent bound even further to E<2.3736898 and E<2.3729269 and E<2.3728639 and E<2.3728596 and E<2.371866. These later schemes make Monster look simple by comparison, and I believe their breakeven N's exceed Monster's. I shall not examine any of them either. However, I think that at least some of the authors who devised those improvements must have written special purpose software to help them; and that software hopefully could be repurposed comparatively easily, to estimate their breakeven N's. Unfortunately when I tried enquiring about that by emailing those authors, I received zero responses.

Coppersmith-Winograd (baby, toddler, and monster) all can be described (or criticized) as seeming closer to a "nonconstructive proof that an algorithm exists" than "an algorithm" for matrix multiplication.

The rate of decrease of exponents (for true op-counts that are not power-laws)

For the simplest recursive matrix-multiplication schemes, e.g. based on Strassen's 7-mul (2,2,2) formula and Smirnov's 40-mul (3,3,6) formula, the mul-count of the algorithm applied to N×N matrices is bounded between two positive constants times N^E (for whatever E is appropriate for that algorithm) for all N≥1. However, for plain-APA schemes, e.g. based on Smirnov's 20-mul APA₆ formula for (3,3,3), that is not true! Now the mul-count is bounded between two positive

constants times $N^{E}\log(N)$. Equivalently we can regard this as $N^{E(N)}$ with *non-constant* exponent $E(N)=(c+lnlnN)/lnN+E(\infty)$ for some c bounded between two constants. In other words the exponent E(N) now is **decreasing** toward its limit value – and rather slowly!

The mul-count of the Schönhage ASI scheme (with E≈2.547993) we analysed is bounded between two positive constants times N^Elog(N)^{3/2}, which we may equivalently regard as N^{E(N)} where E(N)=(3/2)(c+lnlnN)/lnN+E(∞) for some c bounded between two constants.

With the particular instantiation of Strassen's laser method we examined (q=8, E≈2.478495), the mul-count is bounded between two positive constants times N^{E} log(N)³. We may equivalently regard this as $N^{E(N)}$ where E(N)=3(c+lnlnN)/lnN+E(∞) for some c bounded between two constants.

With Coppersmith-Winograd, an additional ingredient enters the pot: the Behrend/Elkin lower bounds on the SalemSpencer(X) function. These (if presumed nearly tight) cause E(N) for "Baby" to behave like $E(N)=4(3IgN)^{-1/2}+E(\infty)$, and for "Toddler" like $E(N)=(8.00689/IgN)^{1/2}+E(\infty)$. ?? ignoring lower order terms. These are much slower rates of decrease for E(N). E.g, back in the fixed-E point of view Baby's mul-count is $N^{E}exp(4[In(2^{1/3})In(N)]^{1/2}[1\pm o(1)])$.

To give you an idea of just how slow these rates of approach to the limit $E(\infty)$ are, we tabulate crude estimates of the least N needed to cause E(N) to approximate $E(\infty)$ accurate to 1, 2, and 3 decimal places:

Quantity	N causing Quantity=0.1	N causing Quantity=0.01	N causing Quantity=0.001	Comment
InInN/InN	3.43×10 ¹⁵	1.29×10 ²⁸¹	7.94×10 ³⁹⁵⁹	plain APA schemes
(3/2)InInN/InN	7.46×10 ²⁶	5.07×10 ⁴⁵²	4.42×10 ⁶²³⁵	Certain Schönhage ASI schemes including the one with E≈2.548 we examined
3InInN/InN	2.08×10 ⁶⁵	9.02×10 ¹⁰⁰⁹	1.81×10 ¹³⁴⁷⁵	Certain Strassen Laser schemes including the one with E≤2.479 we examined
4(3lgN) ^{-1/2}	3.54×10 ¹⁶⁰	8.57×10 ¹⁶⁰⁵⁴	2.04×10 ¹⁶⁰⁵⁴⁹³	Coppersmith-Winograd "Baby" (Behrend)
(8.00689/lgN) ^{1/}	² 1.07×10 ²⁴¹	1.38×10 ²⁴¹⁰³	1.15×10 ²⁴¹⁰³¹⁴	Coppersmith-Winograd "Toddler" (Behrend)

Evidently, when authors of theoretical papers helpfully state exponents E accurate to 10 decimal places... that is not always as helpful as they think. The final line of the table also suggests that in order for Coppersmith-Winograd Toddler to beat Schönhage's ASI scheme with $E\approx 2.548$, we need N at least 10^{90} .

The limitations of asymptotics in theoretical computer science

Once an algorithm's breakeven N's correspond to input sizes exceeding the number of bits of entropy storable in the **observable universe**, which should be below 10^{124} (from Bekenstein-Hawking entropy of black hole with mass= 10^{53} kg=mass in observ.universe) then we can agree it is no longer going to be an algorithm of practical interest. (Actually, black holes seem to be "write only memories," posing a slight problem. If we agree to abstain from using black holes then the entropy potentially storable using the particles present in the observable universe today – mainly photons, neutrinos, and gravitons left over from the big bang – should be below 10^{91} bits.) That is: the number $3N^2$ of matrix entries in the 3 matrices exceeds 10^{124} when N exceeds 6×10^{61} , or equivalently $\log_2(N) > 205.2$.

This threshold definitely is exceeded for the N causing breakeven between Bini et al's (2,2,3) APA based scheme versus Strassen's 2×2-based scheme. It also seems exceeded for the N causing breakeven between Coppersmith-Winograd "Toddler" versus the Schönhage ASI scheme with E≈2.548.

Those of us who lack confidence in our ability to command all information potentially storable in the entire observable universe, might regard it as beyond reach if a problem's input size, in bits, exceeds merely the number 2×10⁵⁰ of atoms in **planet Earth**. This lesser threshold seems safely exceeded by the N_{str} causing breakeven between Coppersmith-Winograd Toddler, versus Strassen's 2×2 method.

To place the Universe and Earth thresholds in perspective, let us mention a few other problems. John <u>Tromp</u> upper bounded the number of legal positions (including en passant and castling statuses and side to move) in **chess** by 8.727×10^{45} (and 2.892×10^{39} for positions with no promoted pawns). He estimated the true number to be 4.82×10^{44} to within ±10%. That would pose no obstacle to a demigod who could control the isotopic type of each atom in the dwarf planet Ceres (10^{46} atoms) – such a demigod could solve chess and store the win/loss/draw value of every legal position.

Now instead consider the oriental game of **go** which is played on an H×W grid (traditionally H=W=19) with each grid point either occupied by a black stone, a white stone, or empty. The number of go position diagrams therefore is 3^{361} . However, only a subset of these can actually arise during a legal game of go. The exact cardinality T of that subset was <u>computed</u> by Tromp & Gunnar Farnebäck in 2016: T≈2.081681994×10¹⁷⁰≈3^{356.97}. Unfortunately, a hypothetical database giving the perfect-play win/loss value (or final score value; you win if your final score, minus your opponent's, exceeds the pre-agreed "komi" constant value) of every such position would *not* necessarily solve go. That's because of the "superko rule" that moves that repeat a go-diagram that previously occurred in the game are illegal. This rule causes the true game-state *not* to merely be described by the board diagram plus "who is to move" – also a possibly-huge amount of game prior-history information could be required! Although the superko rule prevents go games from lasting for an infinite number of moves, it does not stop them from lasting for at least 10¹⁰⁰. This problem could be greatly reduced if we added to the Tromp-Taylor <u>ruleset</u> for go, this additional rule:

If any string of 100 consecutive moves occurs whose net effect is *not* to decrease the number of empty grid points, then the game instantly ends. (I do not know whether "100" is the best value to use.)

This would ensure that go games last <36100 moves. Tromp suspects that "multiple ko" situations do not occur in perfect play – although triple-to-quintuple kos *have* occurred in professional go games at a historical rate of about once per 8000 games – in which case a database size below 361T presumably indeed would solve go.

Anyhow, for us the important thing is that such a T-bit go database would be too big to be storable in the observable universe.

As our final example, consider the Harvey & van der Hoeven 2021 algorithm for multiplying N-bit integers in time O(NlogN) on a multitape Turing machine (which time-bound conjecturally is optimal). The crucial step in this algorithm which distinguishes it from previous slower ones can only happen when $N \ge 2^{1729}$.

When I was taught computer science as a student in 1980s my teachers contended that only asymptotic bounds matter; so algorithms with better asymptotics are better – for all but a tiny number of atypically-naughty algorithm examples. Unfortunately it looks now that the reality is more the opposite. The term "galactic

algorithm" was introduced by K.Regan & R.J.Lipton to denote the "rare" naughty examples, which in fact appear to be the majority of algorithms at CS theory conferences today.

Conclusions

Strassen-like exact formulas and perhaps some instantiations of Schönhage's ASI are the only two fast matrix multiplication ideas yet proposed with breakeven N small enough to possibly have practical interest. These are the rows color-coded white and yellow in our main table.

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