

# On non-principal arithmetical numberings and families

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## Abstract

The paper studies  $\Sigma_n^0$ -computable families ( $n \geq 2$ ) and their numberings. It is proved that any non-trivial  $\Sigma_n^0$ -computable family has a complete with respect to any of its elements  $\Sigma_n^0$ -computable non-principal numbering. It is established that if a  $\Sigma_n^0$ -computable family is not principal, then any of its  $\Sigma_n^0$ -computable numberings has a minimal cover and, if the family is infinite, is incomparable with one of its minimal  $\Sigma_n^0$ -computable numberings. It is also shown that for any  $\Sigma_n^0$ -computable numbering  $\nu$  of a  $\Sigma_n^0$ -computable non-principal family there exists its  $\Sigma_n^0$ -computable numbering that is incomparable with  $\nu$ . If a non-trivial  $\Sigma_n^0$ -computable family contains the least and greatest elements under inclusion, then for any of its  $\Sigma_n^0$ -computable non-principal non-least numberings  $\nu$  there exists a  $\Sigma_n^0$ -computable numbering of the family incomparable with  $\nu$ . In particular, this is true for the family of all  $\Sigma_n^0$ -sets and for the families consisting of two inclusion-comparable  $\Sigma_n^0$ -sets (semilattices of the  $\Sigma_n^0$ -computable numberings of such families are isomorphic to the semilattice of  $m$ -degrees of  $\Sigma_n^0$ -sets).

**Keywords:** non-principal numbering, complete numbering, minimal cover, minimal numbering.

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## 1 Introduction

One of the basic properties of the Gödel numbering  $x \mapsto W_x$  is its *principality*, i.e. for every computable numbering  $\nu$  of a family of c.e. sets there exists a computable function  $f$  such that  $\nu(x) = W_{f(x)}$  for each  $x$ . This property is intensively studied

in the literature (cf., e.g., [1–4]), since the principal numberings contain information about all computable numberings of the numbered family. Another key property of the Gödel numbering is that for any partially computable function  $\psi$  there exists a computable function  $f$  such that, for every  $x$ ,  $W_{f(x)} = W_{\psi(x)}$  if  $\psi(x)$  converges, and  $W_{f(x)} = \emptyset$  otherwise. This property called by Mal'tsev [5, 6] the *completeness* (with respect to  $\emptyset$ ) is also actively studied in the theory of numberings (cf., e.g., [1, 7–13]) and was used by Ershov [14] to prove Kleene's recursion theorems in arbitrary (not necessarily computable) numberings (i.e. surjective mappings from  $\mathbb{N}$  onto nonempty countable sets).

In this paper, we consider generalized computable numberings of families of arithmetical sets which were first introduced and studied in Goncharov and Sorbi's paper [15]. Let us fix, until the end of the paper,  $n \geq 2$ . By [15], a numbering  $\nu$  of a nonempty family of arithmetical sets is said to be  $\Sigma_n^0$ -*computable* if

$$G_\nu = \{\langle x, y \rangle \in \mathbb{N} \times \mathbb{N} : y \in \nu(x)\} \in \Sigma_n^0.$$

Families with  $\Sigma_n^0$ -computable numberings are themselves called  $\Sigma_n^0$ -*computable*. If  $G_\nu$  is c.e., then the numbering  $\nu$  is called *computable*.

Even if a  $\Sigma_n^0$ -computable family is not principal (i.e. has no principal numberings), it always has a  $\Sigma_n^0$ -computable numbering complete with respect to any preselected element (cf., e.g., [8]). In Section 3, we discuss how the algorithmic expressiveness of such a complete numbering can be improved and prove that it can be chosen to be complete simultaneously with respect to all elements of the numbered family.

Another motivation for studying non-principal  $\Sigma_n^0$ -families is that some structural properties of their numberings are proved for principal and non-principal families separately (cf., e.g., [16–18]). In Sections 4 and 5, we prove that for every  $\Sigma_n^0$ -computable numbering, say  $\nu$ , of a non-principal  $\Sigma_n^0$ -computable family there exists its minimal cover (for arbitrary  $\Sigma_n^0$ -computable families this question was raised by Badaev and Podzorov in their paper [19]) and, if  $\nu$  is not the least, a  $\Sigma_n^0$ -computable numbering that is incomparable with  $\nu$ .

Our notation from computability theory is mostly standard. In the following,  $\varphi_e$  denotes the partially computable function with the Gödel number  $e$ . We write  $\varphi_e(x) \downarrow$  if this computation converges, and  $\varphi_e(x) \uparrow$  otherwise. For a partial function  $\psi$  we denote its domain and range by  $\text{dom } \psi$  and  $\text{ran } \psi$  respectively. We let  $c(x, y)$  denote the computable pairing function  $2^x(2y + 1) - 1$ . For unexplained notions we refer to Soare [20, 21].

## 2 Preliminaries on the theory of numberings

For the main concepts and notions of the theory of numberings we refer to the book by Ershov [14] and his paper [22].

**Definition 1.** *A numbering  $\nu$  of a set  $S$  is said to be complete with respect to a special element  $a \in S$  if for every partially computable function  $\psi$  there exists a computable function  $f$  such that, for each  $x$ ,  $\nu(f(x)) = \nu(\psi(x))$  if  $\psi(x)$  converges, and  $\nu(f(x)) = a$  otherwise.*

We say that a numbering  $\nu$  is *complete* if it is complete with respect to some special element.

Given numberings  $\mu$  and  $\nu$ , we say that  $\mu$  is *reducible* to  $\nu$  (denoted by  $\mu \leq \nu$ ) if there exists a computable function  $f$  such that  $\mu(x) = \nu(f(x))$  for each  $x$  (in this case, we say that  $\mu$  is reducible to  $\nu$  via  $f$ ). We note that if  $\mu \leq \nu$ , then  $\text{ran } \mu \subseteq \text{ran } \nu$ . We write  $\mu < \nu$  if  $\mu \leq \nu$  and  $\nu \not\leq \mu$ . The numbering  $\mu$  is called *minimal* if  $\mu \leq \alpha$  for every numbering  $\alpha \leq \mu$  with  $\text{ran } \alpha = \text{ran } \mu$ . The numberings  $\mu$  and  $\nu$  are said to be *incomparable* if  $\mu \not\leq \nu$  and  $\nu \not\leq \mu$ . If in the definition of reducibility of numberings we replace the computable function  $f$  by an  $X$ -computable one ( $X \subseteq \mathbb{N}$ ), then we obtain the notion of  $X$ -*reducibility*  $\leq^X$ . For numberings  $\nu_0$  and  $\nu_1$ , their *direct sum* is defined by  $(\nu_0 \oplus \nu_1)(2x + i) = \nu_i(x)$ ,  $i = 0, 1$ ,  $x \in \mathbb{N}$ .

A  $\Sigma_n^0$ -computable numbering  $\nu$  of a family  $\mathcal{A}$  is said to be a *minimal cover* of its  $\Sigma_n^0$ -computable numbering  $\mu$  if  $\mu < \nu$  and there is no numbering  $\alpha$  such that  $\mu < \alpha < \nu$ . It is said to be the *least numbering* if  $\nu \leq \alpha$  for each  $\Sigma_n^0$ -computable numbering  $\alpha$  of  $\mathcal{A}$ . We say that  $\nu$  is *principal* if  $\alpha \leq \nu$  for each  $\Sigma_n^0$ -computable numbering  $\alpha$  of  $\mathcal{A}$ . Families with  $\Sigma_n^0$ -computable principal numberings are called *principal* as well. By replacing the reducibility  $\leq$  with  $\leq^X$ , we obtain the definitions of the  $X$ -*principality*.

### 3 Complete non-principal numberings

The study of the sets of special elements of complete numberings was initiated by Denisov and Lavrov in their paper [9]. From results by Khisamiev [11], it follows that every  $\Sigma_n^0$ -computable family containing the least element under inclusion has a  $\Sigma_n^0$ -computable numbering complete simultaneously with respect to all of its elements. It was proved by Badaev, Goncharov, and Sorbi [12] that there exists a  $\Sigma_n^0$ -computable principal family with a  $\Sigma_n^0$ -computable non-principal numbering complete simultaneously with respect to all elements of the family. The following theorem shows that any non-trivial (i.e. containing more than one element)  $\Sigma_n^0$ -computable family has such a numbering.

**Theorem 1.** *Every non-trivial  $\Sigma_n^0$ -computable family  $\mathcal{A}$  has a complete with respect to each of its elements  $\Sigma_n^0$ -computable non-principal numbering.*

*Proof.* Let  $\nu$  be a  $\Sigma_n^0$ -computable numbering of the family  $\mathcal{A}$  such that  $\nu(0) \neq \nu(1)$ . Without loss of generality we assume that if  $\mathcal{A}$  is finite and  $|\mathcal{A}| = k > 1$ , then  $\nu(i) \neq \nu(j)$  for all  $i < j \leq k - 1$  and  $\nu(i) = \nu(k - 1)$  for each  $i \geq k$ .

Now we are going to define by induction sequences  $\{\mu_s\}_{s \in \mathbb{N}}$  and  $\{\alpha_s\}_{s \in \mathbb{N}}$  of partial mappings from  $\mathbb{N}$  to  $\mathcal{A}$  such that

- $\mu_s \subseteq \mu_{s+1}$  and  $\alpha_s \subseteq \alpha_{s+1}$  for each  $s$ ;
- $\mu = \bigcup_s \mu_s$  is a  $\Sigma_n^0$ -computable numbering of  $\mathcal{A}$  complete with respect to each of its elements;
- $\alpha = \bigcup_s \alpha_s$  is a  $\Sigma_n^0$ -computable numbering of a subfamily of  $\mathcal{A}$  such that  $\alpha \not\leq \mu$ .

At the same time, for every  $s$ , we will define an equivalence relation  $\eta_s$  on  $\mathbb{N}$  and a strictly increasing computable sequence of integers  $\{z_i^s\}_{i \in \mathbb{N}}$ .

Let  $\mu_0(x)$  and  $\alpha_0(x)$  be undefined for each  $x$ . We define  $\eta_0$  to be the equality relation on  $\mathbb{N}$  and  $z_i^0 = i$  for each  $i$ .

Assume by induction that the partial mappings  $\mu_s : \mathbb{N} \rightarrow \mathcal{A}$  and  $\alpha_s : \mathbb{N} \rightarrow \mathcal{A}$ , the equivalence relation  $\eta_s$ , and the strictly increasing computable sequence  $\{z_i^s\}_{i \in \mathbb{N}}$  have already been defined and satisfy the following conditions:

1.  $\mu_t \subseteq \mu_s$ ,  $\alpha_t \subseteq \alpha_s$ , and  $\eta_t \subseteq \eta_s$  for each  $t \leq s$ ;
2. the sequence  $\{z_i^s\}_{i \in \mathbb{N}}$  strictly increasing and computable;
3.  $\langle z_i^s, z_j^s \rangle \notin \eta_s$  for any distinct  $i$  and  $j$ ;
4.  $\text{dom } \mu_s = \mathbb{N} \setminus (\bigcup_i [z_i^s]_{\eta_s})$ , where  $[z]_{\eta_s}$  is used to denote the  $\eta_s$ -equivalence class of an integer  $z$ ;
5. for all  $x, y \in \text{dom } \mu_s$ , if  $\langle x, y \rangle \in \eta_s$ , then  $\mu_s(x) = \mu_s(y)$ .

It is not hard to see that conditions 1–5 hold for  $s = 0$ . To define the partial mappings  $\mu_{s+1}$  and  $\alpha_{s+1}$ , the equivalence relation  $\eta_{s+1}$ , and the sequence  $\{z_i^{s+1}\}_{i \in \mathbb{N}}$ , we consider the following several cases.

i.  $s = 3t$  for some  $t$ .

We choose the least  $y \notin \text{dom } \mu_s$  and fix an integer  $l$  such that  $y \in [z_l^s]_{\eta_s}$ . In this case, we provide that  $\mu(y) = \nu(t)$ . So we will obtain that  $\text{ran } \mu = \mathcal{A}$ . For every  $z$ , we define

$$\mu_{s+1}(z) = \begin{cases} \nu(t), & \text{if } z \in [z_0^s] \cup \dots \cup [z_l^s], \\ \mu_s(z), & \text{if } z \in \text{dom } \mu_s, \\ \text{undefined,} & \text{otherwise.} \end{cases}$$

We set  $\alpha_{s+1} = \alpha_s$  and define  $\eta_{s+1}$  to be the equivalence relation generated by the binary relation

$$\eta_s \cup \{ \langle z_i^s, z_j^s \rangle : i, j \leq l \}.$$

For every  $i$ , we let

$$z_i^{s+1} = z_{l+i+1}^s.$$

It is not hard to see that induction assumptions 1–5 hold and  $\mu(y) = \nu(t)$ .

ii.  $s = 3t + 1$  for some  $t$ .

In this case, we provide the completeness of  $\mu$  with respect to  $\nu(t)$ . We define  $\eta_{s+1}$  to be the equivalence relation generated by the binary relation

$$\eta_s \cup \{ \langle z_{c(e,x)}^s, \varphi_e(x) \rangle \in \mathbb{N} \times \mathbb{N} : \varphi_e(x) \downarrow \}.$$

Using the Recursion Theorem we choose a strictly increasing computable sequence  $\{c(e_i, 0)\}_{i \in \mathbb{N}}$  such that

$$\varphi_{e_i}(0) = z_{c(e_i, 0)}^s \tag{1}$$

for each  $i$ . For every  $i$ , we set

$$z_i^{s+1} = z_{c(e_i, 0)}^s.$$

Thus, induction assumption 2 holds. From the definition of the equivalence  $\eta_{s+1}$  and equalities (1), it follows that induction assumption 3 also holds.

Next, we let  $\alpha_{s+1} = \alpha_s$  and  $\mu_{s+1}(x) = \mu_s(x)$  for each  $x \in \text{dom } \mu_s$ . Thus, induction assumption 1 also holds. Now, for every  $x \notin \text{dom } \mu_s$ , we define

$$\mu_{s+1}(x) = \begin{cases} \mu_s(\varphi_e(y)), & \text{if } \langle x, z_{c(e,y)}^s \rangle \in \eta_{s+1} \ \& \ \varphi_e(y) \downarrow \in \text{dom } \mu_s, \\ \text{undefined}, & \text{if } \exists i [\langle x, z_i^{s+1} \rangle \in \eta_{s+1}], \\ \nu(t), & \text{otherwise.} \end{cases}$$

Therefore, induction assumptions 4 and 5 hold. By the definition of  $\mu_{s+1}$  we have that, for all  $e, y$ ,

$$\mu_{s+1}(z_{c(e,y)}^s) = \begin{cases} \mu(\varphi_e(y)), & \text{if } \varphi_e(y) \downarrow, \\ \nu(t), & \text{if } \varphi_e(y) \uparrow, \end{cases}$$

whenever  $z_{c(e,y)}^s \in \text{dom } \mu_{s+1}$ . We also have that  $\varphi_{e_i}(0) = z_{c(e_i,0)}^s$  for each  $i$ . Hence, the numbering  $\mu$  will be complete with respect to  $\nu(t)$ .

iii.  $s = 3t + 2$  for some  $t$ .

In this case, we provide that  $\alpha$  is not reducible to  $\mu$  via  $\varphi_t$ . Let us first assume that  $\mathcal{A}$  is infinite. If there exist integers  $x \notin \text{dom } \alpha_s$  and  $l$  such that  $\langle \varphi_t(x) \downarrow, z_l^s \rangle \in \eta_s$ , then, for every  $y \leq x$ , we define

$$\alpha_{s+1}(y) = \begin{cases} \nu(0), & \text{if } y \notin \text{dom } \alpha_s, \\ \alpha_s(y), & \text{if } y \in \text{dom } \alpha_s. \end{cases}$$

For every  $z$ , we also define

$$\mu_{s+1}(z) = \begin{cases} \nu(1), & \text{if } z \in [z_0^s]_{\eta_s} \cup \dots \cup [z_l^s]_{\eta_s}, \\ \mu_s(z), & \text{if } z \in \text{dom } \mu_s, \\ \text{undefined}, & \text{otherwise.} \end{cases}$$

We let  $\eta_{s+1}$  to be the equivalence relation generated by the binary relation

$$\eta_s \cup \{ \langle z_i^s, z_j^s \rangle : i, j \leq l \}.$$

For every  $i$ , we set

$$z_i^{s+1} = z_{l+i+1}^s.$$

Since  $\nu(0) \neq \nu(1)$ , we have

$$\alpha(x) \neq \mu(\varphi_t(x)).$$

If the required  $x$  and  $l$  do not exist, then we let  $\mu_{s+1} = \mu_s$ ,  $\eta_{s+1} = \eta_s$ , and  $z_i^{s+1} = z_i^s$  for each  $i$ . Next we choose the least  $y \notin \text{dom } \alpha_s$  and define

$$\alpha_{s+1}(y + u) = \nu(u)$$

for each  $u \leq s$ . For every  $z \in \text{dom } \alpha_s$ , we set  $\alpha_{s+1}(z) = \alpha_s(z)$ . Thus,  $\text{ran } \alpha$  will be infinite. Since  $\mathcal{A}$  is infinite and  $\text{ran } \mu_s$  is finite, we will have that  $\alpha$  cannot be reduced to  $\mu$  via  $\varphi_t$ .

Now suppose that  $\mathcal{A}$  is finite. If there exist integers  $x \notin \text{dom } \alpha_s$  and  $l$  such that  $\langle \varphi_t(x) \downarrow, z_i^s \rangle \in \eta_s$ , then we define  $\mu_{s+1}$ ,  $\alpha_{s+1}$ ,  $\eta_{s+1}$ , and  $\{z_i^{s+1}\}_{i \in \mathbb{N}}$  in the same way as in the case of infinite  $\mathcal{A}$ . Otherwise, if  $\varphi_t(y) \downarrow$  for the least  $y \notin \text{dom } \alpha_s$  (note that  $\varphi_t(y) \in \text{dom } \mu_s$ ), then we take an  $i < k$  with

$$\nu(i) \neq \mu_s(\varphi_t(y))$$

(such  $i$  can be chosen effectively by the finiteness of  $\mathcal{A}$ , the choice of  $\nu$ , and the definition of  $\mu_s$ ) and define  $\alpha_{s+1}(y) = \nu(i)$ . For every  $z \in \text{dom } \alpha_s$ , we set  $\alpha_{s+1}(z) = \alpha_s(z)$ . Let  $\mu_{s+1} = \mu_s$ ,  $\eta_{s+1} = \eta_s$ , and  $z_i^{s+1} = z_i^s$  for each  $i$ . Therefore, we will have again that  $\alpha$  is not reducible to  $\mu$  via  $\varphi_t$ .

It is not hard to see that in this case inductive assumptions 1–5 hold as well.

Thus, by the definition of the numbering  $\mu$ , we have that it is  $\Sigma_n^0$ -computable and complete with respect to  $\nu(t)$  for each  $t$ . Since  $\alpha \oplus \mu \not\leq \mu$ , it is also not principal. This completes the proof of the theorem.  $\square$

## 4 Minimal covers

The minimal covers of  $\Sigma_n^0$ -computable numberings were first studied by Badaev and Podzorov in their paper [19]. In that paper, a series of sufficient conditions for the existence of minimal covers was proved, among which there is the non- $\emptyset'$ -principality of a numbering being covered. The following theorem shows that instead of  $\emptyset'$  one can take any non-computable c.e. set. Using this theorem, we then prove that any  $\Sigma_n^0$ -computable numbering of a  $\Sigma_n^0$ -computable non-principal family has a minimal cover.

**Theorem 2.** *Let  $C$  be a non-computable c.e. set. If a  $\Sigma_n^0$ -computable numbering  $\nu$  of a family  $\mathcal{A}$  is not  $C$ -principal, then it has a minimal cover.*

*Proof.* By [23, Lemma 3.3], for every non-computable c.e. set  $B$  there exists a c.e. equivalence  $\eta \leq_T B$  such that

- a) the class  $[y]_\eta$  is finite for each  $y$ ;
- b) for every  $e$ , if  $\text{ran } \varphi_e$  is infinite, then

$$\mathbb{N}/\eta =^* \{[\varphi_e(y)]_\eta : \varphi_e(y) \downarrow\},$$

where for arbitrary sets  $X$  and  $Y$  the notation  $X =^* Y$  means that their symmetric difference is finite.

Let  $\eta \leq_T C$  be a c.e. equivalence relation satisfying conditions a) and b). Fix a  $\Sigma_n^0$ -computable numberings  $\alpha$  of the family  $\mathcal{A}$  such that  $\alpha \not\leq^C \nu$  and choose a  $C$ -computable sequence  $\{a_i\}_{i \in \mathbb{N}}$  of pairwise non- $\eta$ -equivalent integers with

$$\mathbb{N}/\eta = \{[a_i]_\eta : i \in \mathbb{N}\}.$$

Now we define a  $\Sigma_n^0$ -computable numbering  $\mu$  of  $\mathcal{A}$  by letting

$$\mu(x) = \alpha(i)$$

whenever  $\langle x, a_i \rangle \in \eta$ . Since  $\alpha \not\leq^C \nu$ , we have  $\mu \not\leq \nu$ .

Let  $\beta$  be an arbitrary  $\Sigma_n^0$ -numbering of  $\mathcal{A}$  such that  $\nu < \beta \leq \mu \oplus \nu$ . To prove that  $\mu \oplus \nu$  is a minimal cover of  $\nu$ , it remains to show that  $\mu \leq \beta$ . Fix an index  $n$  such that  $\beta \leq \mu \oplus \nu$  via  $\varphi_n$ . Since  $\beta \not\leq \nu$ ,  $\text{ran } \varphi_n$  contains infinitely many even integers. Now, by condition b), the c.e.-ness of  $\eta$ , and the equalities  $\mu(x) = \mu(y)$  for all  $x, y$  with  $\langle x, y \rangle \in \eta$ , we have  $\mu \leq \beta$ .  $\square$

**Corollary 3.** *Every  $\Sigma_n^0$ -computable numbering of a  $\Sigma_n^0$ -computable non-principal family has a minimal cover.*

*Proof.* Let  $\nu$  be a  $\Sigma_n^0$ -computable numbering of a  $\Sigma_n^0$ -computable non-principal family  $\mathcal{A}$  and let  $C$  be a low<sub>2</sub> non-computable c.e. set. Since

$$C <_T \emptyset' <_T \emptyset'' \equiv_T C'',$$

$\emptyset'$  is high over  $C$ . It follows that there exists an  $\emptyset'$ -computable sequence  $\{f_n\}_{n \in \mathbb{N}}$  consisting of all  $C$ -computable functions (cf., e.g., [20, 24]). Since the family  $\mathcal{A}$  is not principal, its  $\Sigma_n^0$ -computable numbering

$$\beta : c(n, x) \mapsto \nu(f_n(x))$$

is not principal as well. Therefore, the numbering  $\nu$  is not  $C$ -principal (because otherwise  $\beta$  would be principal). By Theorem 2,  $\nu$  has a minimal cover.  $\square$

The question of the existence of minimal covers of numberings of principal families remains open.

Now, using the technique from the proof of Theorem 2 we prove that for any  $\Sigma_n^0$ -computable numbering  $\nu$  of a  $\Sigma_n^0$ -computable non-principal family there exists its minimal  $\Sigma_n^0$ -computable numbering that is not reducible to  $\nu$ .

**Proposition 4.** *For every  $\Sigma_n^0$ -computable numbering  $\nu$  of an infinite  $\Sigma_n^0$ -computable non-principal family  $\mathcal{A}$  there exists its minimal  $\Sigma_n^0$ -computable numbering  $\mu$  such that  $\mu \oplus \nu$  is a minimal cover of  $\nu$  and, hence,  $\mu \not\leq \nu$ .*

*Proof.* Let  $C$  be a low<sub>2</sub> non-computable c.e. set. In the same way as in the proof of Corollary 3, it is proved that  $\nu$  is not  $C$ -principal. Let us define a numbering  $\mu$  in the same way as in the proof of Theorem 2. Thus,  $\mu \oplus \nu$  is a minimal cover of  $\nu$ . Since the family  $\mathcal{A}$  is infinite, for every index  $e$ , if  $\mu \circ \varphi_e$  is a numbering of  $\mathcal{A}$ , then  $\text{ran } \varphi_e$  is infinite. Now, by condition b) in the proof of Theorem 2, the c.e.-ness of  $\eta$ , and the equalities  $\mu(x) = \mu(y)$  for all  $x, y$  with  $\langle x, y \rangle \in \eta$ , we have that  $\mu$  is minimal.  $\square$

The corresponding question (on the existence of such minimal numberings for arbitrary not necessarily principal families) was posed by Badaev and Goncharov

in [25]. In [25, 26], some other partial answers to this question were obtained, but in the general case it remains open.

## 5 Incomparable numberings

One of the classical theorems of the theory of numberings proved by Badaev [27] states that for any non-principal non-least computable numbering  $\nu$  of a family of c.e. sets there exists its computable numbering that is incomparable with  $\nu$ . It is unknown whether Badaev's theorem holds for  $\Sigma_n^0$ -computable families, however, if the family is not principal, then the following theorem holds.

**Theorem 5.** *Let  $\mathcal{A}$  be a  $\Sigma_n^0$ -computable non-principal family. Then for every  $\Sigma_n^0$ -computable non-least numbering  $\nu$  of  $\mathcal{A}$  there exists its  $\Sigma_n^0$ -computable numbering  $\mu$  that is incomparable with  $\nu$ .*

*Proof.* If the family  $\mathcal{A}$  is infinite, then the conclusion of the theorem follows immediately from Corollary 4. So, we will assume that  $\mathcal{A}$  is finite. Let

$$\mathcal{A} = \{P_0, \dots, P_m\}.$$

In [3, 19], it was proved that a finite  $\Sigma_n^0$ -computable family is principal if and only if it has the least element under inclusion. Let  $R_0, \dots, R_k$  be all the pairwise distinct and minimal under inclusion elements of  $\mathcal{A}$ . Since  $\mathcal{A}$  is not principal,  $k > 0$ . Just as in the proof of [14, I § 2, Proposition 4], we choose finite sets  $F_0, \dots, F_k$  that are pairwise incomparable under inclusion and

$$F_i \subseteq R_i \text{ \& } F_i \not\subseteq R_j$$

for any distinct  $i, j \leq k$ . Fix a strongly  $\emptyset^{(n-1)}$ -computable double sequence of finite sets  $\{\nu_t(x)\}_{t \in \mathbb{N}}$  such that

$$\nu_t(x) \subseteq \nu_{t+1}(x) \text{ \& } \nu(x) = \bigcup_s \nu_s(x)$$

for all  $t, x$ .

Now we proceed to defining a  $\Sigma_n^0$ -computable numbering  $\mu$  of the family  $\mathcal{A}$  that is incomparable with  $\nu$ . Let  $\mu_0(x) = P_x$  for each  $x \leq m$ . Assume by induction that the partial mapping  $\mu_s : \mathbb{N} \rightarrow \mathcal{A}$  has already been defined. Let us define the partial mapping  $\mu_{s+1}$ . For every  $y \in \text{dom } \mu_s$ , we define  $\mu_{s+1}(y) = \mu_s(y)$ . Take the least  $x \notin \text{dom } \mu_s$ . If  $\varphi_s(x) \downarrow$ , then we fix the least  $t$  such that there exists an  $i \leq k$  with  $F_i \subseteq \nu_t(\varphi_s(x))$  and define

$$\mu_{s+1}(x) = \begin{cases} R_1, & \text{if } i = 0, \\ R_0, & \text{if } i > 0. \end{cases}$$

If  $\varphi_s(x) \uparrow$ , then we set  $\mu_{s+1}(x) = P_0$ . Therefore, the numbering  $\mu = \bigcup_s \mu_s$  is not reducible to  $\nu$  via  $\varphi_s$ . If there exists a  $y$  with  $\varphi_s(y) \downarrow > x$ , then we fix the least  $t$  for

which there exists an  $i \leq k$  such that  $F_i \subseteq \nu_t(y)$ . For every  $z$  with  $x < z \leq \varphi_s(y)$ , we define

$$\mu_{s+1}(z) = \begin{cases} R_1, & \text{if } i = 0, \\ R_0, & \text{if } i > 0. \end{cases}$$

Thus,  $\nu$  is not reducible to  $\mu$  via  $\varphi_s$ . If the required  $y$  does not exist, then  $\varphi_s$  is bounded above. Hence, if  $\nu \leq \mu$  via  $\varphi_s$ , then  $\nu$  is the least numbering of  $\mathcal{A}$ . This contradicts the condition of the theorem.

It follows directly from the definition of partial mappings  $\mu_s : \mathbb{N} \rightarrow \mathcal{A}$ ,  $s \in \mathbb{N}$ , that  $\mu = \bigcup_s \mu_s$  is a  $\Sigma_n^0$ -computable numbering of  $\mathcal{A}$ , not comparable to  $\nu$ .  $\square$

Denisov [28] and Khutoretskii [29] proved, respectively, that for any non-principal non-least computable numbering  $\nu$  of a family consisting of two c.e. sets comparable by inclusion (recall that the semilattice of its computable numberings is isomorphic to the semilattice of c.e.  $m$ -degrees [14]) or of the family of all c.e. sets there exist its computable numberings that are incomparable with  $\nu$ . The following theorem generalizes these results to the case of  $\Sigma_n^0$ -computable families.

**Theorem 6.** *Let  $\mathcal{A}$  be a non-trivial  $\Sigma_n^0$ -computable family with the least and the greatest elements under inclusion. Then for every  $\Sigma_n^0$ -computable non-principal and non-least numbering  $\nu$  of  $\mathcal{A}$  there exists its  $\Sigma_n^0$ -computable numbering  $\mu$  that is incomparable with  $\nu$ .*

*Proof.* If the family  $\mathcal{A}$  is not principal, then the conclusion of the theorem follows immediately from Theorem 5. Suppose  $\mathcal{A}$  is principal. Let  $\alpha$  be a  $\Sigma_n^0$ -computable principal numbering of  $\mathcal{A}$  such that  $\alpha(0)$  is the least element of  $\mathcal{A}$  under inclusion and  $\alpha(1)$  is its inclusion-greatest element. Fix strongly  $\emptyset^{(n-1)}$ -computable double sequences of finite sets  $\{\alpha_t(x)\}_{t \in \mathbb{N}}$  and  $\{\nu_t(x)\}_{t \in \mathbb{N}}$  such that

$$\alpha_t(x) \subseteq \alpha_{t+1}(x) \ \& \ \alpha(x) = \bigcup_s \alpha_s(x),$$

$$\nu_t(x) \subseteq \nu_{t+1}(x) \ \& \ \nu(x) = \bigcup_s \nu_s(x)$$

for all  $t, x$ .

Now we proceed to defining a binary function  $f \leq_T \emptyset^{(n-1)}$  such that

$$f(x, t) \neq f(x, t+1) \Rightarrow f(x, t) = 0 \vee f(x, t+1) = 1,$$

$$\exists y [\lim_s f(y, s) = x]$$

for all  $x, t$ . Hence, the numbering

$$\mu : x \mapsto \alpha(\lim_s f(x, s))$$

will be a  $\Sigma_n^0$ -computable numbering of  $\mathcal{A}$ . We will also provide that the numberings  $\mu$  and  $\nu$  are incomparable. In what follows, we denote

$$\mu_t(x) = \alpha_t(f(x, t))$$

for all  $x, t$ .

For every  $x$ , we let  $f(x, 0) = 0$ . Next, we need binary functions  $l$  and  $m$  defined as follows:

$$l(e, t) = \max\{r \leq t : \forall x \leq r [\varphi_{e,s}(x) \downarrow \& \mu_s(x) \uparrow r = \nu_s(\varphi_e(x)) \uparrow r]\},$$

$$m(e, t) = \max\{r \leq t : \forall x \leq r [\varphi_{e,s}(x) \downarrow \& \nu_s(x) \uparrow r = \mu_s(\varphi_e(x)) \uparrow r]\}$$

for all  $e, t$ . It is not hard to see that for every  $e$  there exist limits  $\lim_t l(e, t)$ ,  $\lim_t m(e, t)$  and

$$\lim_t l(e, t) = \infty \Leftrightarrow \mu = \nu \circ \varphi_e,$$

$$\lim_t m(e, t) = \infty \Leftrightarrow \nu = \mu \circ \varphi_e.$$

We assume by induction on  $s$  that all the values  $f(x, s)$ ,  $x \in \mathbb{N}$ , have already been defined. To define the values  $f(x, s+1)$ , we consider the following several cases.

i.  $s = 3t$  for some  $t$ .

In these cases, we provide that  $\text{ran } \mu = \mathcal{A}$ . Fix the least  $e$  such that  $f(c(2e, x), s) \neq e$  for each  $x$  and define

$$f(c(2e, z), s+1) = e$$

for the least  $z$  with  $f(c(2e, z), s) = 0$ . For every  $y \neq c(2e, z)$ , we set  $f(y, s+1) = f(y, s)$ .

ii.  $s = 3t + 1$  for some  $t$ .

In these cases, we provide that  $\mu \not\leq \nu$ . If there exists an  $e \leq s$  such that

$$l(e, t+1) > l(e, t),$$

then we take the least such  $e$  and define

$$f(c(2e+1, x), s+1) = x$$

for the least  $x > 0$  with  $f(c(2e+1, x), s) = 0$ . Thus,

$$\forall k < e [\lim_u l(k, u) < \infty \& \lim_u m(k, u) < \infty] \Rightarrow \lim_u l(e, u) < \infty.$$

Indeed, otherwise we would have that

$$\mu(c(2e+1, x)) = \alpha(x)$$

for all (except for a finite number) integers  $x$ . Therefore,  $\mu \leq \nu$  via  $\varphi_e$  and hence  $\alpha \leq \nu$ . This contradicts the non-principality of  $\nu$ . For each  $y$  (not equal to  $c(2e+1, x)$  if the required  $e$  and  $x$  exist), we define  $f(y, s+1) = f(y, s)$ .

iii.  $s = 3t + 2$  for some  $t$ .

In these cases, we provide that  $\nu \not\leq \mu$ . If there exists an  $e \leq s$  such that

$$m(e, t+1) > m(e, t),$$

then we take the least such  $e$  and define

$$f(z, s + 1) = 1$$

for the least  $z = c(i, v)$  with  $i > 2e + 1$  and  $f(z, s) \neq 1$ . Thus,

$$\forall k < e [\lim_u l(k, u) < \infty \ \& \ \lim_u m(k, u) < \infty] \Rightarrow \lim_u m(e, u) < \infty.$$

Indeed, otherwise we would have that

$$\mu(c(i, x)) = \alpha(1)$$

for all  $i > 2e + 1$  and  $x$ . For all  $j \leq 2e + 1$  and for all (except for a finite number) integers  $x$ , we would have that  $\mu(c(j, x)) = \alpha(0)$ . Hence,  $\nu \leq \mu$  via  $\varphi_e$  and the numbering  $\mu$  is the least. This contradicts the fact that the numbering  $\nu$  is not the least. For each  $y$  (not equal to  $z$  if it exists), we define  $f(y, s + 1) = f(y, s)$ .

Now it follows directly from the definition of the function  $f$  that the numbering  $\mu$  is  $\Sigma_n^0$ -computable and incomparable with  $\nu$ .  $\square$

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