Real Numbers: a new (quantum) look ... with a hierarchical structure

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Abstract

• The motivation for re-designing *R*, as a Number System with "quantum structure", is briefly provided, including hints to applications.

• Rational numbers Q have much more structure beyond the ordered field structure which leads to Real Numbers as a metric completion, essential in Analysis and Classic Geometry (continuum / Limits / Calculus etc.):

A) Farey sequence filtration;

B) One point compactification, part of the *integral projective line* P^1Z :, i.e. the *rational unit circle*: $Q \rightarrow S_Q^1$ (including Pythagorean triples!).

• A *topological completion* of Q provides a new construction of the real numbers, compatible with the above structure, adequate for applications in Number Theory and Quantum Physics.

It is based on *continued fractions representation of real numbers*, and their "universal" binary representations using *the modular group*.

• Background on continued fractions, modular group.

Genesis of this project ...

Quantization of Classical Physics is difficult because of the "Continuum" (Real Numbers) ... Idea: Why not re-designing Physics as a "Discrete Theory" ...

2005: Quantum Computing, (Quantum) Digital World Theory" etc.; left to do: "Quantize The Qubit"!

2020: Solution: Finite groups for Standard Model etc. proton and neutron states (baryons) as *modular curves with finite structure* (Platonic, Archimedian solids etc.) [6] (... almost there!).

... but how to **Dispense of Real Numbers!?** see [1].

2023: (Stumbled upon) Real Numbers \rightarrow Continued Fractions \rightarrow Integral Mobius transformations! (Modular group, again!), so DISCRETE Lorentz Transformations: FINITE SPACE-TIME and STATES!!

Solution : Redesign REAL NUMBERS!

What is "wrong" with the Reals Numbers?

Top 10 reasons (for now) are:

1) They do not describe real quantities (Quantum Physics required quantization etc.);

2) Resulted from an "over-reaction" to extending Number Systems:

$$N \to Z \to Q \to R \to C \to H \to O...$$

the only analytic step in the sequence; in parallel algebraic numbers were developed, AG-periods etc. and R phased out gradually;

3) In Sciences we need 2D, to include periodicity (electric circuits etc.) so we need C; but do we really need C = R[i]?

4) Physics is conformal; Q are ratios, "conformal" $(Q \rightarrow P^1Z \rightarrow P^1Q)$, but then R is metric. We need "new reals" that are *fractional transformations*, to preserve the "field theory" tradition when extending algebraic numbers! 5) Nature is conformal and discrete: we always have *conjugate variables* (2D-conformal transformations. including rotations) and a *quantum unit* (compactification) [2].

(cont.)

6) Numbers are "shadows" of Math-Objects: almost all transcendental numbers don't have such associated shadows (they are ghosts of Cauchy completion: no Cauchy sequences to justify we need them!). 7) We need to move on from "ratios and fields" (e.g. Q etc.) to homogeneous structures (e.g. theory of ideas) and equations, as "true relations" (and look at their symmetries); i.e. a geometric picture: projective spaces / Hopf algebras (parallel and serial addition; renormalization via R-H Problem and Birkoff decomposition etc.) [2]. 8) R separates Math into Real Analysis and friends etc., and Number Theory and "friends" (Alg. NT, AG). The theory of p-adics is a theory in char = p only at "tangent level" (F_p) ; otherwise it is a mixture of AG and Analysis ("char 0").

9) Complete Q "to the left" and get p-adic numbers; "to the right" yields R; there should be a way to join them: by relating the prime at infinity and finite primes.

...3.14...

10) We don't really use R anyways! (excepting School, of course!).

The Plan

Another "clue" is that we encounter the *modular group* everywhere! which is the symmetry group of the rationals Q ($SL_2(Z)$ preserves latices, are "symplectic transformations" and conformal in 2D etc.) ... So, we should probably *find a way to "extend" the field Q with its symmetries* Aut(Q), to the "groupoid" of fractions and relations between them P^1Q : Farey graph / map; this opens a Geometrization Program ... So, since we can't just get rid of real numbers, design a "bridge" between R and a "new" representation of the reals: CF and canonical Modular Group representation.

$$SL_2(Z) = \langle S, T \rangle \xrightarrow{CF} R$$
, and complete it : $R_{CF} = \overline{SL_2(Z)}$.

 $N \to Z \to Q \to \overline{SL_2(Z)}_{AG} \to R_{CF}[i] \to H \to O...$

Where Alg.-Geom. really means "as needed by the Theory"¹ ... and don't forget the extra structure of a groupoid, to use for modular curves with tessellation, SM etc.

¹... and push *i* inside!

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Modality and a few Benefits

The idea is to use CF representation to represent real numbers as ST-sequences of the modular group, including duality in Haar Wavelet Analysis.

It allows to investigate periods in the context of the modular group, in a geometric setting (projective space etc.). In fact think that it is a re-thinking of what C is ...

The geometric setting involves ant-podal map, "bifield structure" (Hopf algebra with duality) etc. A relation with modular forms is expected, and from the algebraic side, with L – functions and galois groups. Galois groups (π_1 , algebraic fundamental groups, abstract GG etc.) need "upgraded" to Hopf algebras of symmetries with duality (Hopf objects in a category). Then a Pontryagin duality may even turn into a Laglands duality etc.

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... and A little History of Real Analysis

• Fourier Analysis 1800s (used also by Babylonians!?)² is the study of functions (e.g. real), in terms of periodic functions: Signal analysis etc. is based on an additional structure of the Reals: Translations (i.e. $Z \rightarrow R$) and dilations modulo $Z D_n(x) = nx \mod Z$.

• The next step is *Wavelet Analysis*, where a hierarchy of details is introduced via *translations* T(x) = x - 1 and *scaling* by a powers of 2, $S(x) = 2^k x$: "zooming in or out" on the details of a function (signal); this is heavily used in transmission of pictures on the Web (see how a large image is loaded by your browser - on a slow connection!).

• ... but 2 is a choice (like 10 in decimal representations)! "Modular Group Analysis" of functions (new area) is "Universal", just adding inversion S(z) = 1/z. And, it is Quantum Physics and Number Theory "friendly" (allows to understand the atomic world etc.).

Main idea and implications

- Fourier Analysis: T(x) = x + 1 inv., $D_a(x) = ax$ affine group;
- Wavelet Analysis introduces "zooming in/out" grading by powers of 2.

Limitations: fixes the *prime at infinity*, separating Real Analysis from Number Theory. It is not NT friendly $\overline{(D_n(x) = nx \mod Z \text{ "screambles" irrationals})}$.

Modular Group Analysis "adds" inversion S(x) = 1/x; advantages:
1) Maps ∞ → p prime: <u>unifies</u> Real Analysis and Number Theory;
2) Adds a <u>scale structure</u> to the Reals (Farey & CF <u>filtration</u> etc.);
3) From (1) we expect to "bring Riemann Hypothesis Home" (to NT; not just a bridge to Weil's RH / Deligne Th. - see [11]).

4) Provides a *natural Algebraic-Geometric framework for Quantization* [6] and beyond (finite structures for *Standard Model of Elem. Part. Phys.*).

Goals and Designing Plans

- Introduce a new construction of the Reals, generalizing the idea of Haar wavelets, and compatible with the modular group action on fractions;
- Study the "meaningfull real numbers": $Q \subset Alg.Numbers \subset Periods$.
- Present background material on *continued fractions* and *modular group* (2D-congruence Arithmetic);
- Benefits: bring Analysis "home", to Algebraic Number Theory and Geometry.

The Rational Numbers with The Farey Filtration

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Farey Fractions

• Fractions in the interval [0,1] can be grouped by the size of their denominators (in reduced form):

$$\begin{split} F_1 &= \{0/1, 1/1\}, \\ F_2 &= \{0/2, 1/2, 1/1\} = F_1 \cup \{1/2\}, \\ F_3 &= \{0/3, 1/3, 1/2, 2/3, 1/1\}, \ F_3 = F_2 \cup \{1/3, 2/3\} \text{ etc.} \end{split}$$

Definition

The Farey sequences of fractions F_n are defined inductivly:

a) $F_1 = \{0/1, 1/1\};$

b) F_n is defined by adjoining to F_{n-1} the "new" (irreducible) fractions with denominator n, which are of the form k/n with gcd(k, n) = 1 (irreducible fractions of denominator n).

Ex. $\mathit{F}_6 = \mathit{F}_5 \cup \{1/6, 5/6\}$ (see [2] for more details.

• Abstract Algebra interpretation (briefly): a fraction 3/5 can be mapped to $3 \in Z/5Z$; then $F_n \cong F_{n-1} \cup (Z/n, \cdot)*$, i.e. a disjoint union of the units U(Z/n) of the rings (Z/n, +, x) ...

Filtrations vs. Grading ...

This provides a *filtration of the rationals*:

$$Q = \cup_{n \in N} F_n, \quad F_1 \subset F_2 \cdots F_{n-1} \subset F_n \cdots$$

• A *grading structure* on a vector space/ ring / field etc. is a much richer, but also rigid structure. Ex.:

A) Vector spaces: $V = V_1 \oplus V_2 \oplus V_2...;$ B) Polynomials: $R[x] = R \oplus R \oplus R..., P(x) = c_0 + c_1x + c_2x^2...$

• A *grading structure* on a ring (e.g. polynomials) is equivalent to a derivation rule, i.e. DERIVATIVE, via the *Power Rule*!!

$$(x^3)'=3x^2$$

comes from the grading, no limits or Calculus needed!!

Topology from Filtration

A topological structure (what is "near", limits etc.) can be defined using open sets, topology for short, or sequences: Sequencial Space / Sequencial Topology [2].

 $\textit{Main idea}: \quad \textit{Convergent Sequences} \leftrightarrow \textit{Topology}.$

Hence, instead of using a metric to define *Cauchy sequences* of rational numbers, we define the class of *sequences cofinal with the Farey filtration*, as "convergent" by definition.

The real number $x \in R$ can be represented as continued fractions CF(x), which in turn, can be represented as a sequence W of the standard generators U(z) = 1/z and T(z) = z + 1 of the modular group $SL_2(Z)$. 1) In this way the filtration structure of Q can be transferred to the Reals, and a natural *depth of approximation* defined, instead of using a metric. 2) The relation with *p*-adic numbers will be studied elsewhere.

(Details / proofs, will appear in the joint article with Anurag Kurumbail)

The Modular Group (MG)

A group everybody should know ... Why?

- Congruence arithmetic is about Z and Z/n: 1D ...
- 2D-Congruence arithmetic is a "complexification" of the above: $SL_2(Z)$ conformal transformations on the *rational circle* $S_Q^1 = Q/Z$, including *Pythagorean triples* ... (relating nice elementary topics).

Definition

The modular group $MG = PSL_2(Z)$ is the group of 2D-matrices with integer coefficients $SL_2(Z)$, modulo ± 1 .

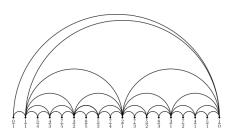
To a modular transformation, we associate a (complex) *fractional transformation* (*integral Mobius transformation*):

$$S = \begin{bmatrix} a & b \\ c & d \end{bmatrix}, a, b, c, d \in Z \mapsto T(z) = rac{az+b}{cz+d}, \ z \in C.$$

Generators of MG & Farey Fractions

Ex. Unit translation T(z) = z + 1 (addition of 1 as a generator of (Z, +)), and geometric inversion S(z) = -1/z are generators of the modular group. On fractions: T(m/n) = (m + n)/n, S(m/n) = -n/m.

There are many interesting properties of MG and its action on Farey fractions, but not enough time now ...



$$T(2/1) = \frac{2}{1} + 1 = 3/2$$

Each arc denotes an action of a MT

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Continued Fractions (CF)

Briefly, to get to our goal: $\bar{Q} = R$...

Real numbers have a *continued fraction* representation: a) CF of a rational function is finite:

$$7/5 = 1 + 1/CF(5/2) = 1 + 1/[2 + 1/2] = [1; 2, 2];$$

b) CF of a quadratic number is periodic, e.g.

$$\sqrt{2} = [1; 2, 2, 2...] = [1; (2)];$$

c) CF of algebraic numbers?;d) ... of (Algebraic-Geometric) Periods? e.g.:

$$\int_0^1 rac{4}{x^2+1} = \pi, \quad \zeta(4) = \pi^4/90, \; \textit{where} \; \zeta(k) = \sum_n 1/n^k.$$

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Modular Group Representation of Reals

• A CF of a real number r defines a unique sequence $W = (k_1, k_2, k_3 \cdots)$ of $PSL_2(Z)$ elements, such that $r = \dots T^{k_3}ST^{k_2}ST^{k_1}(0)$.; e.g.:

$$W = (3, 2, 2) : r = T^3 \circ S \circ T^2 \circ S \circ T^2(0).$$

Computing: $T^2(0) = 2, S(2) = -1/2, T^2(1/2) = 1/2 + 2$ etc.

• Conversely, each *ST* – *sequence W* defines a *Dedekind cut*, hence a real number [3].

Theorem

The correspondence $W \rightarrow r(W)$ is a bijection and compatible with the usual topology of R.

... and Euclid's Algorithm

[Connections with elementary Math ...]

This is just encoding *Euclid's algorithm*, when comparing two integers m and n, to find gcd(m, n) [3]:

$$m = q_1 \ n + r_1, \ n = q_2 \ r_1 + r_2 \quad etc. \quad Ex. \frac{7}{5} = 1 + \frac{2}{5}, \quad \frac{5}{2} = 2 + \frac{1}{2}.$$

Denote $E(m, n) = (n, r) \Leftrightarrow m = qn + r$. Here the fraction m/n is represented as it should, as a pair $(m, n)^3$. Equivalently:

$$\begin{bmatrix} 7\\5 \end{bmatrix} = \begin{bmatrix} 1 & 1\\0 & 1 \end{bmatrix}^1 \circ \begin{bmatrix} 0 & 1\\1 & 0 \end{bmatrix} \circ \begin{bmatrix} 1 & 1\\0 & 1 \end{bmatrix}^2 \circ \begin{bmatrix} 0 & 1\\1 & 0 \end{bmatrix} \circ \begin{bmatrix} 1 & 1\\0 & 1 \end{bmatrix}^2 \circ \begin{bmatrix} 1\\0 \end{bmatrix}$$
$$W = TST^2ST^2S \leftrightarrow W = (1, 2, 2) \leftrightarrow \frac{7}{5} = [1; 2, 2] CF.$$

³The monoid-to-group construction: $Q = Z \times Z^{\times} / \sim . = \mathbb{R} + \mathbb{R}$

In other words ...

Rational numbers, as equivalence classes of pairs (irreducible representatives), have an MG-representation, with *two digits* S, T, or even resembling the decimal rep.:

$$W = TSTTSTT = 1.2.2$$
, "multiple "dot" - rep.

A *dot* signifies the inversion S; compare with, e.g. 3.14, from integer to fractional.

This provides a *resolution depth*, similar to a *p-adic valuation*, except it is *universal* (geometric), base independent:

$$\nu(W) = \# of S's, i.e. "dots".$$

• The well-known theory of CF ensures convergence: even products and odd products define a Dedekind cut, when the *MG Word* (MG-sequence) is infinite.

• The canonical family of MG-Sequences define a *sequencial topology* implying *Cauchy convergence* and usual topology, EXCEPT it has more and usual topology, EXCEPT it has more and usual topology. Lucian M. Ionescu, Undergraduate Colloquiun Ceal NUMDERS: a Nev November 1, 2023 20/36

Work to be done ...

• Define in analogy with convergence of series:

$$s = \sum_{1}^{\infty} a_n$$
: $s_n = \sum_{1}^{n} a_j$, $s = \lim_{n \to \infty} s_n$,

but for *partial products* of the MG-word W, and *check* the Axioms of a Sequential Topology:

$$q_n = \prod_{j=1..n} W_j, \quad \lambda(W) := Lim \ q_n \ (Dedekind \ cut).$$

• The extension and intrinsic interpretation in terms of *complex integral* Mobius transformations $PSL_2(Z[i])$ on the Riemann sphere $S^2 = CP^1$ is left for later developments ...

Rethinking : Complex Numbers C = R[i] via MG.

... and p-adic Numbers (Sketch / Skip for now ...)

The p-adic numbers Q_p are the "other completions of Q, conform *Ostrovski Theorem*. They are *filtered fields*.

They also have CF, hence expressible in terms of the Modular Group. The completion of Q relative to the absolute value |x - y| (usual Real Numbers) is also referred to as the norm corresponding to the *prime at infinity*.

One expects to have a unification by considering the Riemann sphere and inversion; similar to "point at infinity" for meromorphic functions ... Indeed, the projective space CP^1 (or RP^1 , the circle) can be view as defining two charts (S^2 North Pole = ∞ and S^2 South Pole = 0), and two isomorphic fields (about 0 ind ∞), isomorphic under inversion S(z) = 1/z.

This allows to add additional structure to the adeles ...

Why bother rethinking R? (in brief)

• The *measurement process*, e.g. in Quantum Physics, shows the inadequacy of choosing an arbitrary unit of measurement⁴

- Physics quantities have a *Natural Unit*, e.g. Planck's constant *h*, electric charge *e* etc., as if a *greatest common divisor* ...
- Physics Laws have evolved into Algebraic-Geometric Period Laws [5]:

Foundations : Cohomological Physics "+" Number Theory.

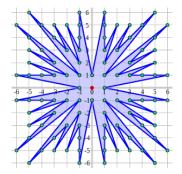
What physicists measure in experiments are *AG-Periods*, disguised as "general real numbers", via the *quantity* / *unit traditional approach*! (e.g. Feynman scattering amplitudes, charges, actions, angular momentum etc.). The role of periods in Physics is supported by the presence of *fundamental dimensional constants* (see *Buckingham's Pi-Theorem* [4]), e.g. α , R# etc. These are naturally expressible via the Modular Group approach to "numbers", as *encoding a comparison process*.

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Farey Filtration and Projective Line

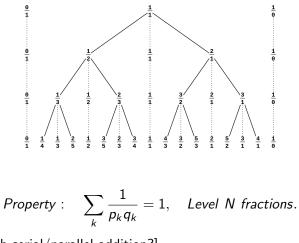
Farey sequence is a filtration of rationals in the interval [0, 1]. When a farey fraction $r = (p, q) \in Q$ (irreducible) is plotted as a pair $(p, q) \in Z \times Z$, it encodes the rays of the projective integral line $Q \to P^1 Z$



- There are interesting connections with Pythagorean triples and rational circle, Pell's equation.
- It relates with density of visible points and Riemann zeta function ...

Better: 2/3 trees (other filtrations)

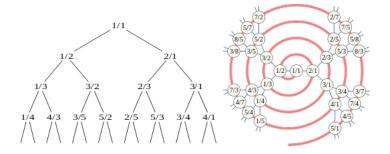
• Stern-Brocot Tree is a filtration for all rationals:



[Relation with serial/parallel addition?]

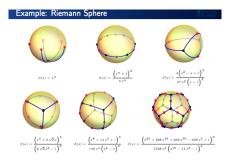
Calkin-Wilf Tree

• Calkin-Wilf tree (see Wiki):



Modular Group, Platonic solids, Dessins d'Enfant ...

Using $SL_2(Z)$ and its subgroups as additional structure (modular curves), provides models in Elementary Particle Physics [6].



Problem. When considering a congruence subgroup $\Gamma \rightarrow SL_2(Z)$ and its geometry in *C*, defines "special real numbers" *CF* mod Γ . Are these periods of the associated Belyi map? Is there a connection with modular forms?

Applications to Algebraic-Geometry and the SM

The use of Reals obtained via the modular group has ties to important Algebraic-Geometric objects with applications to *Number Theory* and *Elementary Particle Physics*.

• For example, the *Farey map* (the above triangulation M_3 of C_+) has reductions *mod n* (as pairs and group action) which include *Platonic Solids* for n = 3, 4, 5 (see [12]):





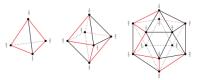


FIGURE 2. Tetrahedron, Octahedron and Icosahedron respectively.

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For the relation with quark flavors: u, d, s, c, t, b see [6, 7].

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From Numbers to Mathematical Structures and Objects

• Numbers are "shadows" of Sets (Cantor's cardinal numbers), Abelian Groups and other *algebraic-geometric structures*, which are used as Physics models ...

Various classes of numbers are in fact grouped as belonging to Algebraic or Geometric Theories!

- 1) Rational numbers;
- 2) Algebraic numbers;
- 3) Periods etc.

"Arithmetic's 5 Operations"

So, what the German mathematician Martin Eichler supposedly said [10]: "There are five fundamental operations in mathematics: Addition, subtraction, multiplication, division and modular forms." (jokingly) is quite for "real" ...

Bridging the "gap": Some fun topics in elementary Arithmetic and Number Theory:

- Congruence arithmetic and divisibility tests; e.g. 700s AD Arabic *Casting out Nines Error Test*;
- Euclid's gcd algorithm and Continued Fractions representations of numbers;
- Rational numbers, Farey fractions and graph (mix of arithmetic, graphs, linear transformations).

Conclusions

A different approach to Real Numbers allows to extend Fourier Analysis and Wavelet Theory, with implications in Math and Physics. This allows to "bring char 0 to Number Theory": a new theory of Adeles. Helps understand the practical Number Systems:

 $N \rightarrow Z \rightarrow Q \rightarrow Algebraic \rightarrow Geometric (Periods) ...$

[Note: *e* and π are *really special* ... (To be continued)] The general use of the new structure, MG-sequences representing real numbers is:

To understand a Real Number \rightarrow Study it's CF in SL₂(Z)!

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i.e. don't forget R, just "translate into modern language".

Further developments

Some suggestions are included:

• Study classes of "real numbers" with periodic ST-representations modulo a congruence subgroup $\Gamma \rightarrow SL_2(Z)$. e.g. at level p: $\Gamma = SL_2(F_p)$; relations with the *modular curves*? its Hodge-de Rham periods?

The MG representation of the Reals provides a *fractional representation*, extending the one for rational numbers; consequences? How is the extended real line related to adeles, via this MG/ ST-representation? *Modular forms* of level *n* are *translation invariant* f(T(z)) = f(z) (Fourier periodic) and intertwines the antipodal inversion with a shift in the grading of its series (integration vs. 1/n! dⁿ/dzⁿ):

$$f(S(z)) = z^n f(z), \quad f(W(z)) = z^n \nu^{(W(z))} f(z),$$

where $\nu(W(z)) = \#$ of S's (inversions), is a generalization of a valuation. Consequences?

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