

# Locally accurate matrix product approximation to thermal states

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## Abstract

In one-dimensional quantum systems with short-range interactions, we prove that a thermal state at inverse temperature  $\beta = O(1)$  has a matrix product representation with bond dimension  $e^{\tilde{O}(\sqrt{\beta \log(1/\epsilon)})}$  such that all local properties are approximated to accuracy  $\epsilon$ .

## 1 Introduction

One of the most fundamental statements in quantum statistical mechanics is that the state at temperature  $T = 1/\beta$  is described by the density matrix

$$\sigma_\beta := e^{-\beta H} / Z, \quad Z := \text{tr} e^{-\beta H}, \quad (1)$$

where  $Z$  is the partition function of the Hamiltonian  $H$ . In a system of  $N$  spins or qudits with local dimension  $d$ ,  $\sigma_\beta$  is a square matrix of order  $d^N$ . From a computational point of view, it is highly desirable to encode  $\sigma_\beta$  with a small number of parameters.

Here we focus on one-dimensional systems with short-range interactions. In a remarkable sequence of papers [1–4],  $\sigma_\beta$  is proved to be efficiently approximated by a matrix product operator (MPO) [5, 6]. Let  $\tilde{O}(x) := O(x \log x)$ . The state-of-the-art result is

**Theorem 1** ([4]). *There exists an MPO  $\rho$  with bond dimension*

$$e^{\tilde{O}(\beta^{2/3} + \sqrt{\beta \log(N/\epsilon)})} \quad (2)$$

*such that  $\|\sigma_\beta - \rho\|_1 \leq \epsilon$ , where  $\|X\|_1 := \text{tr} \sqrt{X^\dagger X}$  denotes the trace norm.*

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*Remark.* See Refs. [1–3] for analogues of this theorem in two and higher spatial dimensions.

In practice, we may not have to increase the bond dimension with the system size  $N$ . An extreme example is the infinite imaginary time-evolving block decimation algorithm [7], which yields a translation-invariant matrix product representation of thermal states directly in the thermodynamic limit. It is empirically observed that a constant bond dimension is sufficient for computing expectation values of local observables. This observation cannot be explained by Theorem 1, where the bond dimension (2) grows with the system size  $N$  and diverges in the thermodynamic limit  $N \rightarrow +\infty$ .

We prove that there exists an MPO with bond dimension

$$e^{\tilde{O}(\beta^{2/3} + \sqrt{\beta \log(1/\epsilon)})} \quad (3)$$

such that all local properties of  $\sigma_\beta$  are approximated to accuracy  $\epsilon$ . For  $\beta = O(1)$ , the bond dimension (3) is sub-polynomial in  $1/\epsilon$ , i.e.,  $o(1/\epsilon^c)$  for an arbitrarily small constant  $c > 0$ .

## 2 Results

Consider a chain of  $N$  spins or qudits with local dimension  $d$ .

**Definition 1** (matrix product operator [5, 6]). Let  $\{\hat{O}_j\}_{j=0}^{d^2-1}$  be a basis of the space of linear operators on the Hilbert space of a spin. Let  $\{D_i\}_{i=0}^N$  with  $D_0 = D_N = 1$  be a sequence of positive integers. An MPO has the form

$$\rho = \sum_{j_1, j_2, \dots, j_N=0}^{d^2-1} \left( A_{j_1}^{(1)} A_{j_2}^{(2)} \cdots A_{j_N}^{(N)} \right) \hat{O}_{j_1} \otimes \hat{O}_{j_2} \otimes \cdots \otimes \hat{O}_{j_N}, \quad (4)$$

where  $A_{j_i}^{(i)}$  is a matrix of size  $D_{i-1} \times D_i$ . Define  $\max_{0 \leq i \leq N} D_i$  as the bond dimension of the MPO  $\rho$ .

Consider a local Hamiltonian

$$H = \sum_{i=1}^{N-1} H_i, \quad \|H_i\| = O(1), \quad (5)$$

where  $H_i$  represents the nearest-neighbor interaction between spins at positions  $i, i+1$ , and  $\|\cdot\|$  denotes the operator norm. The thermal state  $\sigma_\beta$  at inverse temperature  $\beta$  is given by Eq. (1).

**Theorem 2.** *There exists an MPO  $\rho$  with bond dimension (3) such that*

$$|\text{tr}(\sigma_\beta \hat{O}) - \text{tr}(\rho \hat{O})| \leq \epsilon \quad (6)$$

for any local observable  $\hat{O}$  with  $\|\hat{O}\| \leq 1$ .

*Proof.* We will purify  $\sigma_\beta$ . We introduce a second (auxiliary) copy of the system. Spins in the original and auxiliary systems are labeled by  $1, 2, \dots, N$  and  $\bar{1}, \bar{2}, \dots, \bar{N}$ , respectively. Let  $\{|j\rangle\}_{j=0}^{d-1}$  be the computational basis of the Hilbert space of a spin, and

$$|\Psi\rangle := \frac{e^{-\beta H/2} \otimes I}{\sqrt{Z}} \bigotimes_{i=1}^N |\psi\rangle_i, \quad |\psi\rangle_i := \sum_{j=0}^{d-1} |j\rangle_i |j\rangle_{\bar{i}}, \quad (7)$$

where  $|\psi\rangle_i$  is an (unnormalized) maximally entangled state of spins  $i$  and  $\bar{i}$ . By construction,  $|\Psi\rangle$  is normalized and is a purification of  $\sigma_\beta = \text{tr}_a(|\Psi\rangle\langle\Psi|)$ , where  $\text{tr}_a$  denotes the partial trace over the auxiliary system. Combining every pair of spins  $i, \bar{i}$  into a composite spin of local dimension  $d^2$ , we obtain a chain of  $N$  composite spins. Let  $i|i+1$  be a cut separating the first  $i$  and the last  $N-i$  composite spins.

**Lemma 1** (Eq. (83) of Ref. [4]). *Let  $\lambda_1 \geq \lambda_2 \geq \dots$  be the Schmidt coefficients of  $|\Psi\rangle$  across the cut  $i|i+1$  in non-ascending order. Then,*

$$\sum_{j>Q_\delta} \lambda_j^2 \leq \delta \quad \text{for} \quad Q_\delta := e^{\tilde{O}(\beta^{2/3} + \sqrt{\beta \log(1/\delta)})}. \quad (8)$$

Using this lemma and Lemma 4 in Ref. [8], we obtain an MPO  $\tilde{\rho}$  with bond dimension  $Q_\delta^2$  such that

$$|\langle\Psi|\hat{O}|\Psi\rangle - \text{tr}(\tilde{\rho}\hat{O})| = O(\sqrt{\delta}) \quad (9)$$

for any local observable  $\hat{O}$  with  $\|\hat{O}\| \leq 1$ . As tracing out the auxiliary system does not increase the bond dimension,  $\rho := \text{tr}_a \tilde{\rho}$  is an MPO on the original system with bond dimension  $Q_\delta^2$ . We complete the proof by letting  $\epsilon$  be the right-hand side of Eq. (9).  $\square$

*Remark.* Recall that  $\tilde{\rho}$  is a locally accurate approximation (9) to the purification  $|\Psi\rangle$  of  $\sigma_\beta$ . Since (pure) matrix product states (MPS) [9, 10] are more favorable than MPO in both theory [11] and practice [12], one might prefer  $\tilde{\rho}$  to be an MPS. This can be achieved by using the main results of Refs. [13–15] instead of Lemma 4 in Ref. [8] at the price of weakening the upper bound (3) on the bond dimension of  $\rho$  to  $e^{\tilde{O}(\beta^{2/3} + \sqrt{\beta \log(1/\epsilon)})}/\epsilon$ .

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Recently, I became aware of a related work by Alhambra and Cirac [16], which constructed locally accurate tensor network approximations to thermal states and time-evolution operators in any spatial dimension. Specializing to thermal states in one dimension, my methods and results are significantly different from theirs. Their proof consists of two steps:

1. Construct local approximations assuming exponential decay of correlations.
2. Merge local approximations using the “averaging trick” of Refs. [13, 15, 17].

The proof of Theorem 2 uses neither of these ingredients. Different from Eq. (5) in Result 1 of Ref. [16], the bound (3) does not depend on the correlation length and is sub-polynomial in  $1/\epsilon$  for  $\beta = O(1)$ . This solves an open problem in the conclusion section of Ref. [16].

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