

Definitive Proof of the Near-Square Prime Conjecture, Landau's Fourth Problem

By Kenneth A. Watanabe, PhD

Abstract

The Near-Square Prime conjecture, states that there are an infinite number of prime numbers of the form $x^2 + 1$. In this paper, a function was derived that determines the number of prime numbers of the form $x^2 + 1$ that are less than $n^2 + 1$ for large values of n . Then by mathematical induction, it is proven that as the value of n goes to infinity, the function goes to infinity, thus proving the Near-Square Prime conjecture.

Introduction

The Near-Square Prime conjecture, first proposed by Euler in 1760, states that there are an infinite number of prime numbers of the form $x^2 + 1$. In this paper, a function was derived that determines the number of prime numbers of the form $x^2 + 1$ that are less than $n^2 + 1$ for large values of n . Then by mathematical induction, it is proven that as the value of n goes to infinity, the function goes to infinity, thus proving the Near-Square Prime conjecture.

Functions

Let the function $l(x)$ be the largest prime number of the form $4i+1$ that is less than x . For example, $l(10.5) = 5$, $l(20) = 17$, $l(17) = 13$.

Let the function $\pi(n)$ represent the number of primes of the form $x^2 + 1$ that are less than or equal to $n^2 + 1$.

Let the set \mathbb{K} equal the set of odd integers of the form $x^2 + 1$.

Let $\pi(n)$ represent the number of prime numbers in \mathbb{K} that are less than $n^2 + 1$.

Methodology

We will look only at cases where n is an even number because if n is odd, then n^2+1 will be an even number and thus not prime.

The set of odd integers of the form (x^2+1) less than or equal to $n^2 + 1$ is as follows:

$$\mathbb{K} = \{5, 17, 37, 65, 101, 145, 197, 257, 325, 401, 485, \dots, n^2+1\}$$

These numbers are in the form $4x^2 + 8x + 5$, where x is an integer greater than or equal to 0.

There are $n/2$ numbers in the set. Notice that not all these numbers are prime.

To identify the numbers that are prime, we will eliminate the values divisible by primes of the form $4i+1$ since primes of other forms do not evenly divide numbers of the form x^2+1 . This is a known theorem of quadratic residues.

Primes of the form $4i + 1$ are

$$\{5, 13, 17, 29, 37, 41, 53, 61, 73, 89, 97, 101, 109, 113, 137, \dots\}$$

Note that the minimum gap between primes of the form $4i+1$ is 4, and there are no consecutive gaps of 4. This is because for the sequence $5, 9, 13, 17, 21, 25, 29, 33, \dots$, every 3rd number is divisible by 3. According to Dirichlet's Theorem, there are an infinite number of prime numbers of the form $4i + 1$.

We start by eliminating all number of the form $x^2 + 1$ from the set \mathbb{K} that are divisible by the prime number 5.

$$\mathbb{K} = \{5, 17, 37, 65, 101, 145, 197, 257, 325, 401, 485, 577, 677, 785, 901, 1025, 1157, 1297, 1445, 1601, 1765, 1937, \dots, n^2+1\}$$

Notice that every 5th number after 5, 2 of them are divisible by 5. This is a property of quadratic equations.

The equation $y = 4x^2 + 8x + 5$ can be written as $y = x(4x+8) + 5$. Values of $x=5k$ or $5k+3$ where k is an integer, will result in a value of y that is evenly divisible by 5. Plugging $5k$ for x gives $5k(4x+8)$ which is divisible by 5, plugging $5k+3$ for x gives $x(4(5k+3)+8) = x(20k+20)$ which is also divisible by 5.

Thus, as $n \rightarrow \infty$, about 2/5ths of the numbers of the form $x^2 + 1$ are evenly divisible by 5.

$$\# \text{ of values divisible by 5 limit } n \rightarrow \infty = (n/2)(2/5)$$

Next, we eliminate values divisible by 13, the next higher prime of the form $4i+1$, from the set \mathbb{K} .

$$\mathbb{K} = \{5, 17, 37, 65, 101, 145, 197, 257, 325, 401, 485, 577, 677, 785, 901, 1025, 1157, 1297, 1445, 1601, 1765, 1937, \dots, n^2+1\}$$

Notice that every 13 numbers, 2 are divisible by 13.

If we subtract 65 from both sides of $y = 4x^2 + 8x + 5$, we get $y-65 = 4x^2 + 8x - 60$ which can be written as $(4x - 12)(4x + 20)$. Values of $x = 13k + 3$ or $13k + 8$ will result in an integer value of

$y/13$. If we plug $x = 13k+3$ in the left side, we get $52k(4x+20)$ which is divisible by 13. If we plug $13k + 8$ in the right side we get $(4x-12)(52k+52)$ which is divisible by 13.

Thus, as $n \rightarrow \infty$, about $2/13$ ths of the values are eliminated. However, notice that 65 and 325 are also divisible by 5. About $2/5$ ths of the numbers divisible by 13 are also divisible by 5. So to avoid double counting, we must multiply the number divisible by 13 by $3/5$.

$$\# \text{ of values divisible by 13 and not 5 limit } n \rightarrow \infty = (n/2)(3/5)(2/13)$$

Next, we eliminate values divisible by 17, the next higher prime of the form $4i+1$, from the set \mathbb{K} .

$$\mathbb{K} = \{5, 17, 37, 65, 101, 145, 197, 257, 325, 401, 485, 577, 677, 785, 901, 1025, 1157, 1297, 1445, 1601, 1765, 1937, \dots, n^2+1\}$$

Notice that every 17 numbers after 17, 2 are divisible by 17.

If we subtract 17 from both sides of $y = 4x^2 + 8x + 5$, we get $y - 17 = 4x^2 + 8x + 5 - 17$ which can be written as $(4x - 4)(4x + 12)$. Values of $x = 17k + 1$ or $17k + 14$ will result in an integer value of $y/17$. Thus, there will always be at least 2 values of x every 17 numbers.

$$\# \text{ of values divisible by 17 and not 5 or 13 limit } n \rightarrow \infty = (n/2)(3/5)(11/13)(2/17)$$

The fact that $y = 4x^2 + 8x + 5$ is quadratic, for every p numbers, there will always be 2 values of x that will result in a y that is evenly divisible by p .

The general formula for number of values in the set \mathbb{K} that are divisible by p where p is a prime number of the form $4i+1$ is:

$$\# \text{ of values evenly divisible by only } p \text{ limit } n \rightarrow \infty = (n/2)(3/5)(11/13)(15/17)\dots(2/p)$$

This can be written as

$$\# \text{ of values evenly divisible by only } p \text{ limit } n \rightarrow \infty = (n/2)(2/p)\prod_{q=5}^p (q - 2)/q$$

where the product is over prime numbers of the form $4i+1$.

We only need to go up to $l(n)$ since prime numbers greater than $l(n)$ will not evenly divide any odd number less than n^2+1 that is not already divisible by a lower prime.

Summing up all these gives us the total number of composite numbers in set \mathbb{K} that are less than or equal to $n^2 + 1$.

of composite numbers in \mathbb{K} limit $n \rightarrow \infty$

$$= (n/2)(2/5) + (n/2)(3/5)(2/13) + (n/2)(3/5)(11/13)(2/17) + \dots + (n/2)(2/l(n))\prod_{q=5}^{l(n)} (q - 2)/q$$

$$= (n/2)[(2/5) + (3/5)(2/13) + (3/5)(11/13)(2/17) + \dots + (2/l(n))\prod_{q=5}^{l(n)} (q - 2)/q]$$

$$= (n/2)[\sum_{p=5}^{l(n)} (\frac{2}{p}) \prod_{q=5}^{l(p)} (q - 2)/q]$$

where the sum and products are over prime numbers of the form $4i+1$.

If we define the function $W(x)$ as follows

$$W(x) = \sum_{p=5}^x \left(\frac{2}{p}\right) \prod_{q=5}^p (q - 2)/q$$

where x is a prime number and the sum and products are over prime numbers of the form 4i+1,

Examples of values of W(x) are:

$$W(5) = 2/5$$

$$W(13) = (2/5) + (3/5)(2/13)$$

$$W(17) = (2/5) + (3/5)(2/13) + (3/5)(11/13)(2/17)$$

$$W(29) = (2/5) + (3/5)(2/13) + (3/5)(11/13)(2/17) + (3/5)(11/13)(15/17)(2/29)$$

Etc

The equation for the total number of composite values in set \mathbb{K} is:

$$\# \text{ of composite numbers in } \mathbb{K} \text{ limit } n \rightarrow \infty = (n/2)(W(l(n)))$$

The number of primes of the form x^2+1 in \mathbb{K} that are less than n^2+1 limit $n \rightarrow \infty$ equals the total number of values in \mathbb{K} , which is $(n/2)$, minus the # of composite values in \mathbb{K} .

$$\pi(n) = (n/2) - (n/2)(W(l(n)))$$

$$\pi(n) = (n/2)(1-W(l(n)))$$

Equation 1

To verify that I derived equation 1 properly, I plotted the number of primes of the form $x^2 + 1$ that are less than or equal to $n^2 + 1$ (blue line) and $\pi(n)$ (orange line) for values of n up to 1000 and as can be seen, the lines correspond very nicely.

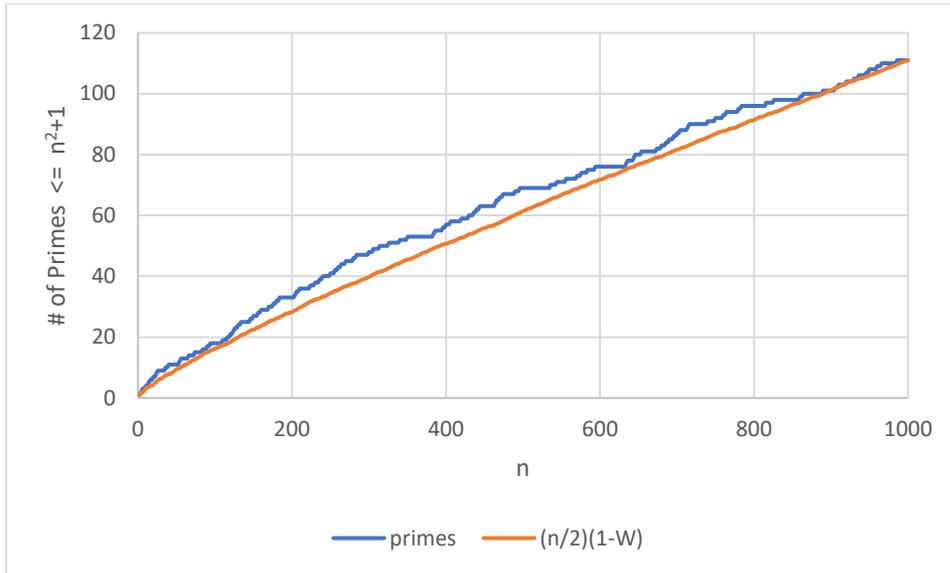


Figure 1. Number of primes of the form $x^2 + 1$ that are less than or equal to $n^2 + 1$.

Since I will be using mathematical induction to prove the Near-Square Prime conjecture, I need to define $1 - W(p_{i+1})$ in terms of $W(p_i)$. Below are the values of $1 - W(p_i)$.

$$1 - W(5) = 1 - (2/5) = 3/5$$

$$1 - W(13) = 1 - (2/5) - (3/5)(2/13) = (3/5)(11/13)$$

$$1 - W(17) = 1 - (2/5) - (3/5)(2/13) - (3/5)(11/13)(2/17) = (3/5)(11/13)(15/17)$$

$$1 - W(29) = 1 - (2/5) - (3/5)(2/13) - (3/5)(11/13)(2/17) - (3/5)(11/13)(15/17)(2/29) = (3/5)(11/13)(15/17)(27/29)$$

Notice the value of $1 - W(p_{i+1})$ is equal to $((p_{i+1} - 2)/p_{i+1})$ times the previous value of $1 - W(p_i)$.

This gives us the following equation:

$$1 - W(p_{i+1}) = ((p_{i+1} - 2)/p_{i+1})(1 - W(p_i)) \quad \text{Equation 2}$$

Let $l(n) = p_i$ and let's approximate $n = p_i$. Since n is an even integer, n is at least $p_i + 1$ so this approximation errs on the side of caution. Plugging p_i for $l(n)$ and n into equation 1 gives the following:

$$\pi(p_i) = (p_i/2)(1 - W(p_i))$$

$$\pi(p_{i+1}) = (p_{i+1}/2)(1 - W(p_{i+1}))$$

$$\pi(p_{i+1}) = (p_{i+1}/2) ((p_{i+1} - 2)/p_{i+1})(1 - W(p_i)) \quad \text{Using equation 2}$$

$$\pi(p_{i+1}) = ((p_{i+1} - 2)/2)(1 - W(p_i))$$

Taking the ratio of $\pi(p_{i+1})/\pi(p_i)$ gives:

$$\pi(p_{i+1})/\pi(p_i) = ((p_{i+1} - 2)/2)(1 - W(p_i)) / (p_i/2)(1 - W(p_i))$$

$$\pi(p_{i+1})/\pi(p_i) = (p_{i+1} - 2)/p_i > 1$$

Since p_{i+1} is at least $p_i + 4$, this proves that $\pi(p_{i+1})$ will always be bigger than $\pi(p_i)$. However, plugging in $p_i + 4$ for p_{i+1} gives $(p_i + 4 - 2)/p_i = (p_i + 2)/p_i$ which approaches 1 as p_i goes to infinity. This could mean that $\pi(p_i)$ approaches a constant.

To prove that $\pi(p_i)$ goes to infinity as p_i goes to infinity, I will prove that $\pi(p_i)^2$ goes to infinity.

This is done because it is easier to prove that $\pi(p_i)^2$ goes to infinity.

$$\pi(p_i)^2 = (p_i^2/4)(1-W(p_i))^2$$

$$\pi(p_{i+1})^2 = ((p_{i+1}-2)^2/4)(1-W(p_i))^2$$

Let $\Delta\pi(p_i)$ represent the difference between $\pi(p_{i+1})^2$ and $\pi(p_i)^2$.

$$\Delta\pi(p_i) = \pi(p_{i+1}) - \pi(p_i)$$

$$\Delta\pi(p_i) = ((p_{i+1}-2)^2/4)(1-W(p_i))^2 - (p_i^2/4)(1-W(p_i))^2$$

$$\Delta\pi(p_i) = ((p_{i+1} - 2)^2 - p_i^2)(1-W(p_i))^2/4$$

We know that p_{i+1} is at least $p_i + 4$, so to simplify things, let's substitute p_{i+1} with $p_i + 4$. We will call this new function $\Delta\pi^*(p_i)$ which will always be less than or equal to $\Delta\pi(p_i)$.

$$\Delta\pi^*(p_i) = ((p_i + 4 - 2)^2 - p_i^2)(1-W(p_i))^2/4$$

$$\Delta\pi^*(p_i) = ((p_i + 2)^2 - p_i^2)(1-W(p_i))^2/4$$

$$\Delta\pi^*(p_i) = ((p_i^2 + 4p_i + 4) - p_i^2)(1-W(p_i))^2/4$$

$$\Delta\pi^*(p_i) = (4p_i + 4)(1-W(p_i))^2/4$$

$$\Delta\pi^*(p_i) = (p_i + 1)(1-W(p_i))^2$$

I will prove $\Delta\pi^*(p_i) > 0$ by mathematical induction.

Base case

$$p_0 = 5$$

$$\Delta\pi^*(5) = (5+1)(1-W(5))^2$$

$$\Delta\pi^*(5) = (6)(1-2/5)^2$$

$$\Delta\pi^*(5) = 6(3/5)^2$$

$$\Delta\pi^*(5) = 6(9/25)$$

$$\Delta\pi^*(5) = 72/25 > 1$$

Assuming the following

$$\Delta\pi^*(p_i) > 0$$

prove that

$$\Delta\pi^*(p_{i+1}) > 0$$

$$\Delta\pi^*(p_i) = (p_i + 1)(1-W(p_i))^2 \quad \text{Assume } > 1$$

$$\Delta\pi^*(p_{i+1}) = (p_{i+1} + 1)(1-W(p_{i+1}))^2$$

$$\Delta\pi^*(p_{i+1}) = (p_{i+1} + 1)[((p_{i+1} - 2)/p_{i+1})(1-W(p_i))]^2$$

$$\Delta\pi^*(p_{i+1}) = (p_{i+1} + 1)((p_{i+1} - 2)^2/p_{i+1}^2)(1-W(p_i))^2$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1} + 1)((p_{i+1} - 2)^2/p_{i+1}^2)(1-W(p_i))^2 / (p_i + 1)(1-W(p_i))^2$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1} + 1)((p_{i+1} - 2)^2 / p_{i+1}^2) / (p_i + 1)$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1} + 1)(p_{i+1} - 2)^2 / (p_{i+1}^2 (p_i + 1))$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1} + 1)(p_{i+1}^2 - 4p_{i+1} + 4) / (p_{i+1}^2 p_i + p_{i+1}^2)$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1}^3 - 4p_{i+1}^2 + 4 p_{i+1} + p_{i+1}^2 - 4p_{i+1} + 4) / (p_{i+1}^2 p_i + p_{i+1}^2)$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1}^3 - 3p_{i+1}^2 + 4) / (p_{i+1}^2 p_i + p_{i+1}^2)$$

The minimum p_{i+1} can be is $p_i + 4$. Substituting p_i with $p_{i+1} - 4$ gives

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1}^3 - 3p_{i+1}^2 + 4) / (p_{i+1}^2 (p_{i+1} - 4) + p_{i+1}^2)$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1}^3 - 3p_{i+1}^2 + 4) / (p_{i+1}^3 - 4 p_{i+1}^2 + p_{i+1}^2)$$

$$\Delta\pi^*(p_{i+1}) / \Delta\pi^*(p_i) = (p_{i+1}^3 - 3p_{i+1}^2 + 4) / (p_{i+1}^3 - 3p_{i+1}^2) > 1$$

Since the numerator is always greater than the denominator, the ratio will always be greater than 1, thus proving that $\Delta\pi^*(p_{i+1}) > \Delta\pi^*(p_i)$ for any p_i and p_{i+1} . Since $\Delta\pi^*(p_0) = 72/25$, then $\Delta\pi^*(p_i) > 72/25$ for all p_i .

The first value of $\pi(p_0)^2$ is

$$\pi(5)^2 = [(5/2)(1-(2/5))]^2 = [(5/2)(3/5)]^2 = 9/4.$$

Since the gap between $\pi(p_i)^2$ and $\pi(p_{i+1})^2$ is always greater than $72/25$, then as p_i goes to infinity, $\pi(p_i)^2$ goes to infinity. Therefore, $\pi(p_i)$ also goes to infinity as p_i goes to infinity.

This proves that there are an infinite number of primes of the form $n^2 + 1$ thus proving the near square primes conjecture.

Summary

It has been shown that as n goes to infinity, the number of prime numbers of the form $x^2 + 1$ that are less than or equal to $n^2 + 1$ approaches the following equation:

$$\pi(n) = (n/2)(1-W(1/n))$$

where $W(x)$ is defined as follows:

$$W(x) = \sum_{p=5}^x \left(\frac{2}{p}\right) \prod_{q=5}^p (q - 2)/q$$

where x is a prime number and the sum and products are over prime numbers of the form $4i+1$.

By mathematical induction, it is proven that $\pi(p_i)^2$ goes to infinity as p_i goes to infinity thus proving that there are an infinite number of prime numbers of the form $x^2 + 1$.

References

Euler, L. "De numeris primis valde magnis." *Novi Commentarii academiae scientiarum Petropolitanae* **9**, 99-153, (1760) 1764. Reprinted in *Commentat. arithm.* **1**, 356-378, 1849. Reprinted in *Opera Omnia: Series 1, Volume 3*, pp. 1-45.

Dirichlet, P. G. L. (1837), "Beweis des Satzes, dass jede unbegrenzte arithmetische Progression, deren erstes Glied und Differenz ganze Zahlen ohne gemeinschaftlichen Factor sind, unendlich viele Primzahlen enthält" [Proof of the theorem that every unbounded arithmetic progression, whose first term and

common difference are integers without common factors, contains infinitely many prime numbers],

Abhandlungen der Königlich-Preussischen Akademie der Wissenschaften zu Berlin, 48: 45–71