

# Neutrino mass phases and the CKM matrix

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## Abstract

The Brannen neutrino mass triplet extends Koide's rule for the charged leptons, which was used to correctly predict the  $\tau$  mass. Assuming that Koide's rule is exact, we consider the small deviation from the  $2/9$  lepton phase, noting connections to the CKM matrix and arithmetic information. An estimate for the fine structure constant  $\alpha$  is included.

Neutrino oscillations [1][2] indicate a triplet of non zero masses for active neutrinos. Any low energy relation between the masses in a triplet is a valuable clue to a theory beyond the Standard Model. Decades ago, Koide [3][4] found a relation for the charged lepton masses, that was later extended by Brannen [5][6] to the neutrino masses using density matrices. Both charged lepton and neutrino triplets are given as the eigenvalues of a circulant Hermitian mass matrix  $M$ . Let

$$\sqrt{M} = \frac{\sqrt{\mu}}{r} \begin{pmatrix} r & e^{i\theta} & e^{-i\theta} \\ e^{-i\theta} & r & e^{i\theta} \\ e^{i\theta} & e^{-i\theta} & r \end{pmatrix}, \quad (1)$$

where  $\mu$  is a scale parameter. For all leptons, the Koide rule corresponds to  $r = \sqrt{2}$ . For the charged leptons,  $3\mu$  happens to equal the proton mass  $m_p$ . For neutrinos, a global fit to data gives  $\mu = 0.01\text{eV}$ . Mass eigenvalues take the form

$$m_k = \mu \left(1 + \sqrt{2} \cos\left(\theta + \frac{2\pi k}{3}\right)\right)^2 \quad (2)$$

for  $k = 1, 2, 3$ . Phenomenologically, the charged lepton phase  $\theta_l$  is close to  $2/9$  [5] while the neutrino phase is  $2/9 + \pi/12$ , as if the neutrino masses are governed by a phase triplet

$$\theta_\nu = \frac{2}{9} + \epsilon + \frac{\pi}{12} \quad (3)$$

for  $\epsilon \sim 10^{-7}$ . The  $\pi/12$  is a fundamental arithmetic geometric phase for spinors. The  $2/9$  phase is associated with electric charge, since the phases  $2/27$  and  $4/27$  give the Koide triplets for the quarks [7]. However, since the neutrinos also carry the  $2/9$ , the rational charge should be viewed as a derivation of charge from quantum gravity. One possibility is

$$\frac{2}{9} = \frac{2\pi}{27} \cdot \frac{3}{\pi} \quad (4)$$

where 27 is the dimension of the exceptional Jordan circulant algebra, and  $3/\pi$  is the *apparent* dark energy/matter density fraction by the black hole pair production argument of Riofrio [8]. Then  $4/27$  is  $2/3$  ( $= 18/27$ ) of  $2/9$ . Neutrinos presumably lose the charge because the left and right (mirror) factors of  $2/9$  cancel. The diagonalisation of  $M$  uses the  $3 \times 3$  quantum Fourier transform [9], that is conjugation by

$$F = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \omega & \bar{\omega} \\ 1 & \bar{\omega} & \omega \end{pmatrix}, \quad (5)$$

where  $\omega$  is the primitive cubed root of unity. The connection between  $F$  and the tribimaximal mixing matrix [10] is discussed in [11]. One expects the square root  $\sqrt{M}$  to be the fundamental degree of freedom by color gravity duality in a motivic formulation for nonperturbative amplitudes. Neutrino masses are now well constrained by observation [12].

For any prime power dimension  $p$ , the Fourier transform matrix is viewed as a column set of eigenvectors for one Pauli operator, as is the identity. In dimension 2, the three Pauli matrices give

$$F_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}, \quad I_2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad R_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & i \\ i & 1 \end{pmatrix}. \quad (6)$$

There always exists [13][14] a circulant  $R$  that generates  $p$  out of the  $p+1$  mutually unbiased bases, all but  $F$ . For  $p = 3$ ,

$$R = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & \omega & 1 \\ 1 & 1 & \omega \\ \omega & 1 & 1 \end{pmatrix}. \quad (7)$$

Since  $R^3 = iI$ ,  $R$  is a matrix representation of the phase  $\delta = \pi/6$ . In a six dimensional system that combines one qubit ( $p = 2$ ) and one qutrit ( $p = 3$ ), the tensor product  $R \otimes R_2$  stands for the arithmetic phase  $\pi/12$  [5].

In the eigenvalues of (2),  $\theta = 0$  gives a degenerate pair of masses while the  $\theta = \delta$  triplet includes the mass scale  $\mu$ . We therefore define a basic dimensionless mass splitting parameter

$$\Delta \equiv m_{\theta=0} - m_{\theta=\delta} = \frac{1}{2} + 2\sqrt{2} - \sqrt{6}. \quad (8)$$

If this mass comes from a Koide triplet, the phase satisfies a relation

$$\Delta = (1 + \sqrt{2} \cos(\bar{\omega} + \theta + \frac{\pi}{12}))^2, \quad (9)$$

which has a solution

$$\theta = 0.21760 \text{ rad} \equiv \frac{2}{9} - \kappa. \quad (10)$$

Now compare  $\theta$  to the three neutrino phases in (3). The phase differences are shown in Table 1. Observe the striking similarity to the three Euler angles of the CKM matrix [15][16]. Letting [11]

$$\rho = 0.611 = \frac{\pi}{4} - \frac{2}{3} \cdot \frac{\pi}{12} = \frac{7\pi}{36} \quad (11)$$

be a quark deformation of a tribimaximal angle, we get an analogous possibility for the PMNS matrix, providing an empirical argument for the importance of the  $\pi/12$ , as shown in

TABLE I. phase differences ( $^\circ$ )

	$\epsilon$	2/9	$\pi/12$
2/9	12.7	0	2.3
$2/9 - \kappa$	12.5	0.3	2.0
$2/9 + \kappa$	13.0	0.3	2.5
	$\rho$	4/27	$\rho + \pi/12$
0	35.0	8.5	50.0

Table 1. If these quark and lepton mass phases are exact, this leaves at most five parameters for *all* masses and mixing phases: an  $r$  value for the quarks,  $\kappa$  (which is close to  $\pi/729$ ), two mass scale ratios, and one unknown correction. It is likely that this set can be reduced further, leaving *only* the two mass scale ratios  $\mu_l/\mu_\nu$  and  $\mu_q/\mu_\nu$ .

Koide et al [17] develop a Yukawaon model that accurately recovers mixing matrices and quark and lepton masses with only six parameters. In the ribbon scheme [11] for leptons and quarks, one expects some form of quark lepton complementarity, since leptons are easily transformed into quarks with ribbon twist operators.

Now consider the normalised determinant of (1),

$$D(\theta) \equiv -1 + \sqrt{2} \cos(3\theta), \quad (12)$$

arising as a cubic in  $r$ . Since  $D$  is invariant under the Fourier transform, it is a product  $\pm\sqrt{m_1 m_2 m_3}$  of eigenvalues. The range of  $D + 1$  is centred around zero precisely when  $r = \sqrt{2}$ , and this  $\sqrt{2}$  defines the tribimaximal mixing matrix [10] as a derivative of  $F$  [11]. The diagonal element  $r$  for the circulant  $M$  is

$$r_M = \frac{4}{\sqrt{5 - 4D}}. \quad (13)$$

The condition  $D = 0$  is obtained for  $\theta = \pm\pi/12$ . Allowing for a  $-\pi/12$  in (3), we obtain a set of mirror masses, used in [18][19] to study the mirror neutrino CMB cosmology [19]. At  $D + 1 = 0$  (ie. the phase  $\delta$ ) and  $r = \sqrt{2}$ , the Brannen rule for neutrinos gives

$$m_1 m_2 m_3 = \frac{1}{729} (\sqrt{m_1} + \sqrt{m_2} - \sqrt{m_3})^6. \quad (14)$$

Allowing the mass differences between  $\theta$  and  $(\theta + \delta)$  to define

$$\Delta(\theta) = \frac{1}{2} \cos^2 \theta + \sqrt{2} \sin \theta + (2\sqrt{2} - \sqrt{6}) \cos \theta + \frac{\sqrt{3}}{4} \sin 2\theta, \quad (15)$$

we obtain the Weierstrass cubic for  $\mathbf{D}^2 = 4m_1m_2m_3$ ,

$$\mathbf{D}^2 = 4\Delta^3 + 24\Delta - 14. \quad (16)$$

Neutrinos are neutral because their ribbon diagrams have no charge twists [11]. In the categorical ribbon scheme, one full twist stands for a unit of charge. To estimate the fine structure constant using this charge, consider quantum data for Jones invariants from Chern-Simons field theory [20]. Empirically, we take the Hopf link invariant at  $5\pi/6$ , which traces over a twist at the minimal mass in the  $\delta$  triplet, to obtain [21]

$$\sqrt{\alpha} = 4 \cosh\left(\frac{2\pi}{5\pi/6 + 1}\right), \quad (17)$$

roughly giving  $\alpha = 137.096$ .

These results motivate a further study of ribbon graphs in color gravity theories, where the obviously arithmetic nature of neutrino triplets plays an important role.

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