

TOPOLOGICAL GEOMETRODYNAMICS:  
AN OVERVIEW

Matti Pitkänen

*Köydenpunojankatu D 11, 10900, Hanko, Finland*



## Preface

This book belongs to a series of online books summarizing the recent state Topological Geometrodynamics (TGD) and its applications. TGD can be regarded as a unified theory of fundamental interactions but is not the kind of unified theory as so called GUTs constructed by graduate students at seventies and eighties using detailed recipes for how to reduce everything to group theory. Nowadays this activity has been completely computerized and it probably takes only a few hours to print out the predictions of this kind of unified theory as an article in the desired format. TGD is something different and I am not ashamed to confess that I have devoted the last 32 years of my life to this enterprise and am still unable to write The Rules.

I got the basic idea of Topological Geometrodynamics (TGD) during autumn 1978, perhaps it was October. What I realized was that the representability of physical space-times as 4-dimensional surfaces of some higher-dimensional space-time obtained by replacing the points of Minkowski space with some very small compact internal space could resolve the conceptual difficulties of general relativity related to the definition of the notion of energy. This belief was too optimistic and only with the advent of what I call zero energy ontology the understanding of the notion of Poincare invariance has become satisfactory.

It soon became clear that the approach leads to a generalization of the notion of space-time with particles being represented by space-time surfaces with finite size so that TGD could be also seen as a generalization of the string model. Much later it became clear that this generalization is consistent with conformal invariance only if space-time is 4-dimensional and the Minkowski space factor of imbedding space is 4-dimensional.

It took some time to discover that also the geometrization of also gauge interactions and elementary particle quantum numbers could be possible in this framework: it took two years to find the unique internal space providing this geometrization involving also the realization that family replication phenomenon for fermions has a natural topological explanation in TGD framework and that the symmetries of the standard model symmetries are much more profound than pragmatic TOE builders have believed them to be. If TGD is correct, main stream particle physics chose the wrong track leading to the recent deep crisis when people decided that quarks and leptons belong to same multiplet of the gauge group implying instability of proton.

There have been also longstanding problems.

- Gravitational energy is well-defined in cosmological models but is not conserved. Hence the conservation of the inertial energy does not seem to be consistent with the Equivalence Principle. Furthermore, the imbeddings of Robertson-Walker cosmologies turned out to be vacuum extremals with respect to the inertial energy. About 25 years was needed to realize that the sign of the inertial energy can be also negative and in cosmological scales the density of inertial energy vanishes: physically acceptable universes are creatable from vacuum. Eventually this led to the notion of zero energy ontology which deviates dramatically from the standard ontology being however consistent with the crossing symmetry of quantum field theories. In this framework the quantum numbers are assigned with zero energy states located at the boundaries of so called causal diamonds defined as intersections of future and past directed light-cones. The notion of energy-momentum becomes length scale dependent since one has a scale hierarchy for causal diamonds. This allows to understand the non-conservation of energy as apparent. Equivalence Principle generalizes and has a formulation in terms of coset representations of Super-Virasoro algebras providing also a justification for p-adic thermodynamics.
- From the beginning it was clear that the theory predicts the presence of long ranged classical electro-weak and color gauge fields and that these fields necessarily accompany classical electromagnetic fields. It took about 26 years to gain the maturity to admit the obvious: these fields are classical correlates for long range color and weak interactions assignable to dark matter. The only possible conclusion is that TGD physics is a fractal consisting of an entire hierarchy of fractal copies of standard model physics. Also the understanding of electro-weak massivation and screening of weak charges has been a long standing problem, and 32 years was needed to discover that what I call weak form of electric-magnetic duality gives a satisfactory solution of the problem and provides also surprisingly powerful insights to the mathematical structure of quantum TGD.

I started the serious attempts to construct quantum TGD after my thesis around 1982. The original optimistic hope was that path integral formalism or canonical quantization might be enough to construct the quantum theory but the first discovery made already during first year of TGD was that these formalisms might be useless due to the extreme non-linearity and enormous vacuum degeneracy of the theory. This turned out to be the case.

- It took some years to discover that the only working approach is based on the generalization of Einstein's program. Quantum physics involves the geometrization of the infinite-dimensional "world of classical worlds" (WCW) identified as 3-dimensional surfaces. Still few years had to pass before I understood that general coordinate invariance leads to a more or less unique solution of the problem and implies that space-time surfaces are analogous to Bohr orbits. Still a couple of years and I discovered that quantum states of the Universe can be identified as classical spinor fields in WCW. Only quantum jump remains the genuinely quantal aspect of quantum physics.
- During these years TGD led to a rather profound generalization of the space-time concept. Quite general properties of the theory led to the notion of many-sheeted space-time with sheets representing physical subsystems of various sizes. At the beginning of 90s I became dimly aware of the importance of p-adic number fields and soon ended up with the idea that p-adic thermodynamics for a conformally invariant system allows to understand elementary particle massivation with amazingly few input assumptions. The attempts to understand p-adicity from basic principles led gradually to the vision about physics as a generalized number theory as an approach complementary to the physics as an infinite-dimensional spinor geometry of WCW approach. One of its elements was a generalization of the number concept obtained by fusing real numbers and various p-adic numbers along common rationals. The number theoretical trinity involves besides p-adic number fields also quaternions and octonions and the notion of infinite prime.
- TGD inspired theory of consciousness entered the scheme after 1995 as I started to write a book about consciousness. Gradually it became difficult to say where physics ends and consciousness theory begins since consciousness theory could be seen as a generalization of quantum measurement theory by identifying quantum jump as a moment of consciousness and by replacing the observer with the notion of self identified as a system which is conscious as long as it can avoid entanglement with environment. "Everything is conscious and consciousness can be only lost" summarizes the basic philosophy neatly. The idea about p-adic physics as physics of cognition and intentionality emerged also rather naturally and implies perhaps the most dramatic generalization of the space-time concept in which most points of p-adic space-time sheets are infinite in real sense and the projection to the real imbedding space consists of discrete set of points. One of the most fascinating outcomes was the observation that the entropy based on p-adic norm can be negative. This observation led to the vision that life can be regarded as something in the intersection of real and p-adic worlds. Negentropic entanglement has interpretation as a correlate for various positively colored aspects of conscious experience and means also the possibility of strongly correlated states stable under state function reduction and different from the conventional bound states and perhaps playing key role in the energy metabolism of living matter.
- One of the latest threads in the evolution of ideas is only slightly more than six years old. Learning about the paper of Laurent Nottale about the possibility to identify planetary orbits as Bohr orbits with a gigantic value of gravitational Planck constant made once again possible to see the obvious. Dynamical quantized Planck constant is strongly suggested by quantum classical correspondence and the fact that space-time sheets identifiable as quantum coherence regions can have arbitrarily large sizes. During summer 2010 several new insights about the mathematical structure and interpretation of TGD emerged. One of these insights was the realization that the postulated hierarchy of Planck constants might follow from the basic structure of quantum TGD. The point is that due to the extreme non-linearity of the classical action principle the correspondence between canonical momentum densities and time derivatives of the imbedding space coordinates is one-to-many and the natural description of the situation is in terms of local singular covering spaces of the imbedding space. One could speak about effective value of Planck

constant coming as a multiple of its minimal value. The implications of the hierarchy of Planck constants are extremely far reaching so that the significance of the reduction of this hierarchy to the basic mathematical structure distinguishing between TGD and competing theories cannot be under-estimated.

From the point of view of particle physics the ultimate goal is of course a practical construction recipe for the S-matrix of the theory. I have myself regarded this dream as quite too ambitious taking into account how far reaching re-structuring and generalization of the basic mathematical structure of quantum physics is required. It has indeed turned out that the dream about explicit formula is unrealistic before one has understood what happens in quantum jump. Symmetries and general physical principles have turned out to be the proper guide line here. To give some impressions about what is required some highlights are in order.

- With the emergence of zero energy ontology the notion of S-matrix was replaced with M-matrix which can be interpreted as a complex square root of density matrix representable as a diagonal and positive square root of density matrix and unitary S-matrix so that quantum theory in zero energy ontology can be said to define a square root of thermodynamics at least formally.
- A decisive step was the strengthening of the General Coordinate Invariance to the requirement that the formulations of the theory in terms of light-like 3-surfaces identified as 3-surfaces at which the induced metric of space-time surfaces changes its signature and in terms of space-like 3-surfaces are equivalent. This means effective 2-dimensionality in the sense that partonic 2-surfaces defined as intersections of these two kinds of surfaces plus 4-D tangent space data at partonic 2-surfaces code for the physics. Quantum classical correspondence requires the coding of the quantum numbers characterizing quantum states assigned to the partonic 2-surfaces to the geometry of space-time surface. This is achieved by adding to the modified Dirac action a measurement interaction term assigned with light-like 3-surfaces.
- The replacement of strings with light-like 3-surfaces equivalent to space-like 3-surfaces means enormous generalization of the super conformal symmetries of string models. A further generalization of these symmetries to non-local Yangian symmetries generalizing the recently discovered Yangian symmetry of  $\mathcal{N} = 4$  supersymmetric Yang-Mills theories is highly suggestive. Here the replacement of point like particles with partonic 2-surfaces means the replacement of conformal symmetry of Minkowski space with infinite-dimensional super-conformal algebras. Yangian symmetry provides also a further refinement to the notion of conserved quantum numbers allowing to define them for bound states using non-local energy conserved currents.
- A further attractive idea is that quantum TGD reduces to almost topological quantum field theory. This is possible if the Kähler action for the preferred extremals defining WCW Kähler function reduces to a 3-D boundary term. This takes place if the conserved currents are so called Beltrami fields with the defining property that the coordinates associated with flow lines extend to single global coordinate variable. This ansatz together with the weak form of electric-magnetic duality reduces the Kähler action to Chern-Simons term with the condition that the 3-surfaces are extremals of Chern-Simons action subject to the constraint force defined by the weak form of electric magnetic duality. It is the latter constraint which prevents the trivialization of the theory to a topological quantum field theory. Also the identification of the Kähler function of WCW as Dirac determinant finds support as well as the description of the scattering amplitudes in terms of braids with interpretation in terms of finite measurement resolution coded to the basic structure of the solutions of field equations.
- In standard QFT Feynman diagrams provide the description of scattering amplitudes. The beauty of Feynman diagrams is that they realize unitarity automatically via the so called Cutkosky rules. In contrast to Feynman's original beliefs, Feynman diagrams and virtual particles are taken only as a convenient mathematical tool in quantum field theories. QFT approach is however plagued by UV and IR divergences and one must keep mind open for the possibility that a genuine progress might mean opening of the black box of the virtual particle.

In TGD framework this generalization of Feynman diagrams indeed emerges unavoidably. Light-like 3-surfaces replace the lines of Feynman diagrams and vertices are replaced by 2-D partonic

2-surfaces. Zero energy ontology and the interpretation of parton orbits as light-like "wormhole throats" suggests that virtual particles do not differ from on mass shell particles only in that the four- and three- momenta of wormhole throats fail to be parallel. The two throats of the wormhole defining virtual particle would contact carry on mass shell quantum numbers but for virtual particles the four-momenta need not be parallel and can also have opposite signs of energy. Modified Dirac equation suggests a number theoretical quantization of the masses of the virtual particles. The kinematic constraints on the virtual momenta are extremely restrictive and reduce the dimension of the sub-space of virtual momenta and if massless particles are not allowed (IR cutoff provided by zero energy ontology naturally), the number of Feynman diagrams contributing to a particular kind of scattering amplitude is finite and manifestly UV and IR finite and satisfies unitarity constraint in terms of Cutkosky rules. What is remarkable that fermionic propagators are massless propagators but for on mass shell four-momenta. This gives a connection with the twistor approach and inspires the generalization of the Yangian symmetry to infinite-dimensional super-conformal algebras.

What I have said above is strongly biased view about the recent situation in quantum TGD and I have left all about applications to the introductions of the books whose purpose is to provide a bird's eye view about TGD as it is now. This vision is single man's view and doomed to contain unrealistic elements as I know from experience. My dream is that young critical readers could take this vision seriously enough to try to demonstrate that some of its basic premises are wrong or to develop an alternative based on these or better premises. I must be however honest and tell that 32 years of TGD is a really vast bundle of thoughts and quite a challenge for anyone who is not able to cheat himself by taking the attitude of a blind believer or a light-hearted debunker trusting on the power of easy rhetoric tricks.

Matti Pitkänen

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In the situation in which the conventional scientific communication channels are strictly closed it is important to have some loop hole through which the information about the work done can at least in principle leak to the publicity through the iron wall of the academic censorship. Without any exaggeration I can say that without the world wide web I would not have survived as a scientist nor as individual. Homepage and blog are however not enough since only the formally published result is a result in recent day science. Publishing is however impossible without a direct support from power holders- even in archives like arXiv.org.

Situation changed for five years ago as Andrew Adamatsky proposed the writing of a book about TGD when I had already got used to the thought that my work would not be published during my life time. The Prespacetime Journal and two other journals related to quantum biology and consciousness - all of them founded by Huping Hu - have provided this kind of loop holes. In particular, Dainis Zeps, Phil Gibbs, and Arkadiusz Jadczyk deserve my gratitude for their kind help in the preparation of an article series about TGD catalyzing a considerable progress in the understanding of quantum TGD. Also the viXra archive founded by Phil Gibbs and its predecessor Archive Freedom have been of great help: Victor Christianto deserves special thanks for doing the hard work needed to run Archive Freedom. Also the Neuroquantology Journal founded by Sultan Tarlaci deserves a special mention for its publication policy. And last but not least: there are people who experience as a fascinating intellectual challenge to spoil the practical working conditions of a person working with something which might be called unified theory: I am grateful for the people who have helped me to survive through the virus attacks, an activity which has taken roughly one month per year during the last half decade and given a strong hue of grey to my hair.

For a person approaching his sixty year birthday it is somewhat easier to overcome the hard feelings due to the loss of academic human rights than for an impatient youngster. Unfortunately the economic situation has become increasingly difficult during the twenty years after the economic depression in Finland which in practice meant that Finland ceased to be a constitutional state in the strong sense of the word. It became possible to depose people like me from the society without fear about public reactions and the classification as dropout became a convenient tool of ridicule to circumvent the ethical issues. During last few years when the right wing has held the political power this trend has been steadily strengthening. In this kind of situation the concrete help from individuals has been and will be of utmost importance. Against this background it becomes obvious that this kind of work is not possible without the support from outside and I apologize for not being able to mention all the

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# Chapter 1

## Introduction

### 1.1 Background

*T(opological) G(eometro)D(ynamics)* is one of the many attempts to find a unified description of basic interactions. The development of the basic ideas of TGD to a relatively stable form took time of about half decade [30]. The great challenge is to construct a mathematical theory around these physically very attractive ideas and I have devoted the last twenty-three years for the realization of this dream and this has resulted in seven online books about TGD and eight online books about TGD inspired theory of consciousness and of quantum biology.

Quantum T(opological)D(ynamics) as a classical spinor geometry for infinite-dimensional configuration space, p-adic numbers and quantum TGD, and TGD inspired theory of consciousness have been for last decade of the second millenium the basic three strongly interacting threads in the tapestry of quantum TGD.

For few yeas ago the discussions with Tony Smith generated a fourth thread which deserves the name 'TGD as a generalized number theory'. The work with Riemann hypothesis made time ripe for realization that the notion of infinite primes could provide, not only a reformulation, but a deep generalization of quantum TGD. This led to a thorough and extremely fruitful revision of the basic views about what the final form and physical content of quantum TGD might be.

The fifth thread came with the realization that by quantum classical correspondence TGD predicts an infinite hierarchy of macroscopic quantum systems with increasing sizes, that it is not at all clear whether standard quantum mechanics can accommodate this hierarchy, and that a dynamical quantized Planck constant might be necessary and certainly possible in TGD framework. The identification of hierarchy of Planck constants whose values TGD "predicts" in terms of dark matter hierarchy would be natural. This also led to a solution of a long standing puzzle: what is the proper interpretation of the predicted fractal hierarchy of long ranged classical electro-weak and color gauge fields. Quantum classical correspondences allows only single answer: there is infinite hierarchy of p-adically scaled up variants of standard model physics and for each of them also dark hierarchy. Thus TGD Universe would be fractal in very abstract and deep sense.

TGD forces the generalization of physics to a quantum theory of consciousness, and represent TGD as a generalized number theory vision leads naturally to the emergence of p-adic physics as physics of cognitive representations. The seven online books [1, 2, 5, 6, 3, 4, 7] about TGD and eight online books about TGD inspired theory of consciousness and of quantum biology [8, 9, 10, 11, 12, 15, 13, 14] are warmly recommended to the interested reader.

### 1.2 Basic Ideas of TGD

The basic physical picture behind TGD was formed as a fusion of two rather disparate approaches: namely TGD is as a Poincare invariant theory of gravitation and TGD as a generalization of the old-fashioned string model.

### 1.2.1 TGD as a Poincare invariant theory of gravitation

The first approach was born as an attempt to construct a Poincare invariant theory of gravitation. Space-time, rather than being an abstract manifold endowed with a pseudo-Riemannian structure, is regarded as a surface in the 8-dimensional space  $H = M_+^4 \times CP_2$ , where  $M_+^4$  denotes the interior of the future light cone of the Minkowski space (to be referred as light cone in the sequel) and  $CP_2 = SU(3)/U(2)$  is the complex projective space of two complex dimensions [59, 73, 80, 17]. The identification of the space-time as a submanifold [36, 33] of  $M^4 \times CP_2$  leads to an exact Poincare invariance and solves the conceptual difficulties related to the definition of the energy-momentum in General Relativity. The actual choice  $H = M_+^4 \times CP_2$  implies the breaking of the Poincare invariance in the cosmological scales but only at the quantum level. It soon however turned out that submanifold geometry, being considerably richer in structure than the abstract manifold geometry, leads to a geometrization of all basic interactions. First, the geometrization of the elementary particle quantum numbers is achieved. The geometry of  $CP_2$  explains electro-weak and color quantum numbers. The different H-chiralities of  $H$ -spinors correspond to the conserved baryon and lepton numbers. Secondly, the geometrization of the field concept results. The projections of the  $CP_2$  spinor connection, Killing vector fields of  $CP_2$  and of  $H$ -metric to four-surface define classical electro-weak, color gauge fields and metric in  $X^4$ .

### 1.2.2 TGD as a generalization of the hadronic string model

The second approach was based on the generalization of the mesonic string model describing mesons as strings with quarks attached to the ends of the string. In the 3-dimensional generalization 3-surfaces correspond to free particles and the boundaries of the 3- surface correspond to partons in the sense that the quantum numbers of the elementary particles reside on the boundaries. Various boundary topologies (number of handles) correspond to various fermion families so that one obtains an explanation for the known elementary particle quantum numbers. This approach leads also to a natural topological description of the particle reactions as topology changes: for instance, two-particle decay corresponds to a decay of a 3-surface to two disjoint 3-surfaces.

### 1.2.3 Fusion of the two approaches via a generalization of the space-time concept

The problem is that the two approaches seem to be mutually exclusive since the orbit of a particle like 3-surface defines 4-dimensional surface, which differs drastically from the topologically trivial macroscopic space-time of General Relativity. The unification of these approaches forces a considerable generalization of the conventional space-time concept. First, the topologically trivial 3-space of General Relativity is replaced with a "topological condensate" containing matter as particle like 3-surfaces "glued" to the topologically trivial background 3-space by connected sum operation. Secondly, the assumption about connectedness of the 3-space is given up. Besides the "topological condensate" there is "vapor phase" that is a "gas" of particle like 3-surfaces (counterpart of the "baby universes" of GRT) and the nonconservation of energy in GRT corresponds to the transfer of energy between the topological condensate and vapor phase.

## 1.3 The five threads in the development of quantum TGD

The development of TGD has involved four strongly interacting threads: physics as infinite-dimensional geometry; p-adic physics; TGD inspired theory of consciousness and TGD as a generalized number theory. In the following these five threads are briefly described.

### 1.3.1 Quantum TGD as configuration space spinor geometry

A turning point in the attempts to formulate a mathematical theory was reached after seven years from the birth of TGD. The great insight was "Do not quantize". The basic ingredients to the new approach have served as the basic philosophy for the attempt to construct Quantum TGD since then and are the following ones:

1. Quantum theory for extended particles is free(!), classical(!) field theory for a generalized Schrödinger amplitude in the configuration space  $CH$  consisting of all possible 3-surfaces in  $H$ . "All possible" means that surfaces with arbitrary many disjoint components and with arbitrary internal topology and also singular surfaces topologically intermediate between two different manifold topologies are included. Particle reactions are identified as topology changes [38, 47, 32]. For instance, the decay of a 3-surface to two 3-surfaces corresponds to the decay  $A \rightarrow B + C$ . Classically this corresponds to a path of configuration space leading from 1-particle sector to 2-particle sector. At quantum level this corresponds to the dispersion of the generalized Schrödinger amplitude localized to 1-particle sector to two-particle sector. All coupling constants should result as predictions of the theory since no nonlinearities are introduced.
2. Configuration space is endowed with the metric and spinor structure so that one can define various metric related differential operators, say Dirac operator, appearing in the field equations of the theory.

### 1.3.2 p-Adic TGD

The p-adic thread emerged for roughly ten years ago as a dim hunch that p-adic numbers might be important for TGD. Experimentation with p-adic numbers led to the notion of canonical identification mapping reals to p-adics and vice versa. The breakthrough came with the successful p-adic mass calculations using p-adic thermodynamics for Super-Virasoro representations with the super-Kac-Moody algebra associated with a Lie-group containing standard model gauge group. Although the details of the calculations have varied from year to year, it was clear that p-adic physics reduces not only the ratio of proton and Planck mass, the great mystery number of physics, but all elementary particle mass scales, to number theory if one assumes that primes near prime powers of two are in a physically favored position. Why this is the case, became one of the key puzzlers and led to a number of arguments with a common gist: evolution is present already at the elementary particle level and the primes allowed by the p-adic length scale hypothesis are the fittest ones.

It became very soon clear that p-adic topology is not something emerging in Planck length scale as often believed, but that there is an infinite hierarchy of p-adic physics characterized by p-adic length scales varying to even cosmological length scales. The idea about the connection of p-adics with cognition motivated already the first attempts to understand the role of the p-adics and inspired 'Universe as Computer' vision but time was not ripe to develop this idea to anything concrete (p-adic numbers are however in a central role in TGD inspired theory of consciousness). It became however obvious that the p-adic length scale hierarchy somehow corresponds to a hierarchy of intelligences and that p-adic prime serves as a kind of intelligence quotient. Ironically, the almost obvious idea about p-adic regions as cognitive regions of space-time providing cognitive representations for real regions had to wait for almost a decade for the access into my consciousness.

There were many interpretational and technical questions crying for a definite answer. What is the relationship of p-adic non-determinism to the classical non-determinism of the basic field equations of TGD? Are the p-adic space-time region genuinely p-adic or does p-adic topology only serve as an effective topology? If p-adic physics is direct image of real physics, how the mapping relating them is constructed so that it respects various symmetries? Is the basic physics p-adic or real (also real TGD seems to be free of divergences) or both? If it is both, how should one glue the physics in different number field together to get *The Physics*? Should one perform p-adicization also at the level of the configuration space of 3-surfaces? Certainly the p-adicization at the level of super-conformal representation is necessary for the p-adic mass calculations. Perhaps the most basic and most irritating technical problem was how to precisely define p-adic definite integral which is a crucial element of any variational principle based formulation of the field equations. Here the frustration was not due to the lack of solution but due to the too large number of solutions to the problem, a clear symptom for the sad fact that clever inventions rather than real discoveries might be in question.

Despite these frustrating uncertainties, the number of the applications of the poorly defined p-adic physics grew steadily and the applications turned out to be relatively stable so that it was clear that the solution to these problems must exist. It became only gradually clear that the solution of the problems might require going down to a deeper level than that represented by reals and p-adics.

### 1.3.3 TGD as a generalization of physics to a theory consciousness

General coordinate invariance forces the identification of quantum jump as quantum jump between entire deterministic quantum histories rather than time=constant snapshots of single history. The new view about quantum jump forces a generalization of quantum measurement theory such that observer becomes part of the physical system. Thus a general theory of consciousness is unavoidable outcome. This theory is developed in detail in the books [8, 9, 10, 11, 12, 15, 13, 14].

#### Quantum jump as a moment of consciousness

The identification of quantum jump between deterministic quantum histories (configuration space spinor fields) as a moment of consciousness defines microscopic theory of consciousness. Quantum jump involves the steps

$$\Psi_i \rightarrow U\Psi_i \rightarrow \Psi_f ,$$

where  $U$  is informational "time development" operator, which is unitary like the S-matrix characterizing the unitary time evolution of quantum mechanics.  $U$  is however only formally analogous to Schrödinger time evolution of infinite duration although there is *no* real time evolution involved. It is not however clear whether one should regard U-matrix and S-matrix as two different things or not:  $U$ -matrix is a completely universal object characterizing the dynamics of evolution by self-organization whereas S-matrix is a highly context dependent concept in wave mechanics and in quantum field theories where it at least formally represents unitary time translation operator at the limit of an infinitely long interaction time. The S-matrix understood in the spirit of superstring models is however something very different and could correspond to U-matrix.

The requirement that quantum jump corresponds to a measurement in the sense of quantum field theories implies that each quantum jump involves localization in zero modes which parameterize also the possible choices of the quantization axes. Thus the selection of the quantization axes performed by the Cartesian outsider becomes now a part of quantum theory. Together these requirements imply that the final states of quantum jump correspond to quantum superpositions of space-time surfaces which are macroscopically equivalent. Hence the world of conscious experience looks classical. At least formally quantum jump can be interpreted also as a quantum computation in which matrix  $U$  represents unitary quantum computation which is however not identifiable as unitary translation in time direction and cannot be 'engineered'.

#### The notion of self

The concept of self is absolutely essential for the understanding of the macroscopic and macro-temporal aspects of consciousness. Self corresponds to a subsystem able to remain un-entangled under the sequential informational 'time evolutions'  $U$ . Exactly vanishing entanglement is practically impossible in ordinary quantum mechanics and it might be that 'vanishing entanglement' in the condition for self-property should be replaced with 'subcritical entanglement'. On the other hand, if space-time decomposes into p-adic and real regions, and if entanglement between regions representing physics in different number fields vanishes, space-time indeed decomposes into selves in a natural manner.

It is assumed that the experiences of the self after the last 'wake-up' sum up to single average experience. This means that subjective memory is identifiable as conscious, immediate short term memory. Selves form an infinite hierarchy with the entire Universe at the top. Self can be also interpreted as mental images: our mental images are selves having mental images and also we represent mental images of a higher level self. A natural hypothesis is that self  $S$  experiences the experiences of its subselves as kind of abstracted experience: the experiences of subselves  $S_i$  are not experienced as such but represent kind of averages  $\langle S_{ij} \rangle$  of sub-subselves  $S_{ij}$ . Entanglement between selves, most naturally realized by the formation of join along boundaries bonds between cognitive or material space-time sheets, provides a possible a mechanism for the fusion of selves to larger selves (for instance, the fusion of the mental images representing separate right and left visual fields to single visual field) and forms wholes from parts at the level of mental images.

### Relationship to quantum measurement theory

The third basic element relates TGD inspired theory of consciousness to quantum measurement theory. The assumption that localization occurs in zero modes in each quantum jump implies that the world of conscious experience looks classical. It also implies the state function reduction of the standard quantum measurement theory as the following arguments demonstrate (it took incredibly long time to realize this almost obvious fact!).

1. The standard quantum measurement theory a la von Neumann involves the interaction of brain with the measurement apparatus. If this interaction corresponds to entanglement between microscopic degrees of freedom  $m$  with the macroscopic effectively classical degrees of freedom  $M$  characterizing the reading of the measurement apparatus coded to brain state, then the reduction of this entanglement in quantum jump reproduces standard quantum measurement theory provide the unitary time evolution operator  $U$  acts as flow in zero mode degrees of freedom and correlates completely some orthonormal basis of configuration space spinor fields in non-zero modes with the values of the zero modes. The flow property guarantees that the localization is consistent with unitarity: it also means 1-1 mapping of quantum state basis to classical variables (say, spin direction of the electron to its orbit in the external magnetic field).
2. Since zero modes represent classical information about the geometry of space-time surface (shape, size, classical Kähler field,...), they have interpretation as effectively classical degrees of freedom and are the TGD counterpart of the degrees of freedom  $M$  representing the reading of the measurement apparatus. The entanglement between quantum fluctuating non-zero modes and zero modes is the TGD counterpart for the  $m - M$  entanglement. Therefore the localization in zero modes is equivalent with a quantum jump leading to a final state where the measurement apparatus gives a definite reading.

This simple prediction is of utmost theoretical importance since the black box of the quantum measurement theory is reduced to a fundamental quantum theory. This reduction is implied by the replacement of the notion of a point like particle with particle as a 3-surface. Also the infinite-dimensionality of the zero mode sector of the configuration space of 3-surfaces is absolutely essential. Therefore the reduction is a triumph for quantum TGD and favors TGD against string models.

Standard quantum measurement theory involves also the notion of state preparation which reduces to the notion of self measurement. Each localization in zero modes is followed by a cascade of self measurements leading to a product state. This process is obviously equivalent with the state preparation process. Self measurement is governed by the so called Negentropy Maximization Principle (NMP) stating that the information content of conscious experience is maximized. In the self measurement the density matrix of some subsystem of a given self localized in zero modes (after ordinary quantum measurement) is measured. The self measurement takes place for that subsystem of self for which the reduction of the entanglement entropy is maximal in the measurement. In p-adic context NMP can be regarded as the variational principle defining the dynamics of cognition. In real context self measurement could be seen as a repair mechanism allowing the system to fight against quantum thermalization by reducing the entanglement for the subsystem for which it is largest (fill the largest hole first in a leaking boat).

### Selves self-organize

The fourth basic element is quantum theory of self-organization based on the identification of quantum jump as the basic step of self-organization [38]. Quantum entanglement gives rise to the generation of long range order and the emergence of longer p-adic length scales corresponds to the emergence of larger and larger coherent dynamical units and generation of a slaving hierarchy. Energy (and quantum entanglement) feed implying entropy feed is a necessary prerequisite for quantum self-organization. Zero modes represent fundamental order parameters and localization in zero modes implies that the sequence of quantum jumps can be regarded as hopping in the zero modes so that Haken's classical theory of self organization applies almost as such. Spin glass analogy is a further important element: self-organization of self leads to some characteristic pattern selected by dissipation as some valley of the "energy" landscape.

Dissipation can be regarded as the ultimate Darwinian selector of both memes and genes. The mathematically ugly irreversible dissipative dynamics obtained by adding phenomenological dissipation terms to the reversible fundamental dynamical equations derivable from an action principle can be understood as a phenomenological description replacing in a well defined sense the series of reversible quantum histories with its envelope.

### Classical non-determinism of Kähler action

The fifth basic element are the concepts of association sequence and cognitive space-time sheet. The huge vacuum degeneracy of the Kähler action suggests strongly that the absolute minimum space-time is not always unique. For instance, a sequence of bifurcations can occur so that a given space-time branch can be fixed only by selecting a finite number of 3-surfaces with time like(!) separations on the orbit of 3-surface. Quantum classical correspondence suggest an alternative formulation. Space-time surface decomposes into maximal deterministic regions and their temporal sequences have interpretation a space-time correlate for a sequence of quantum states defined by the initial (or final) states of quantum jumps. This is consistent with the fact that the variational principle selects preferred extremals of Kähler action as generalized Bohr orbits.

In the case that non-determinism is located to a finite time interval and is microscopic, this sequence of 3-surfaces has interpretation as a simulation of a classical history, a geometric correlate for contents of consciousness. When non-determinism has long lasting and macroscopic effect one can identify it as volitional non-determinism associated with our choices. Association sequences relate closely with the cognitive space-time sheets defined as space-time sheets having finite time duration and psychological time can be identified as a temporal center of mass coordinate of the cognitive space-time sheet. The gradual drift of the cognitive space-time sheets to the direction of future force by the geometry of the future light cone explains the arrow of psychological time.

### p-Adic physics as physics of cognition and intentionality

The sixth basic element adds a physical theory of cognition to this vision. TGD space-time decomposes into regions obeying real and p-adic topologies labelled by primes  $p = 2, 3, 5, \dots$ . p-Adic regions obey the same field equations as the real regions but are characterized by p-adic non-determinism since the functions having vanishing p-adic derivative are pseudo constants which are piecewise constant functions. Pseudo constants depend on a finite number of positive binary digits of arguments just like numerical predictions of any theory always involve decimal cutoff. This means that p-adic space-time regions are obtained by gluing together regions for which integration constants are genuine constants. The natural interpretation of the p-adic regions is as cognitive representations of real physics. The freedom of imagination is due to the p-adic non-determinism. p-Adic regions perform mimicry and make possible for the Universe to form cognitive representations about itself. p-Adic physics space-time sheets serve also as correlates for intentional action.

A more more precise formulation of this vision requires a generalization of the number concept obtained by fusing reals and p-adic number fields along common rationals (in the case of algebraic extensions among common algebraic numbers). This picture is discussed in [24]. The application this notion at the level of the imbedding space implies that imbedding space has a book like structure with various variants of the imbedding space glued together along common rationals (algebraics). The implication is that genuinely p-adic numbers (non-rationals) are strictly infinite as real numbers so that most points of p-adic space-time sheets are at real infinity, outside the cosmos, and that the projection to the real imbedding space is discrete set of rationals (algebraics). Hence cognition and intentionality are almost completely outside the real cosmos and touch it at a discrete set of points only.

This view implies also that purely local p-adic physics codes for the p-adic fractality characterizing long range real physics and provides an explanation for p-adic length scale hypothesis stating that the primes  $p \simeq 2^k$ ,  $k$  integer are especially interesting. It also explains the long range correlations and short term chaos characterizing intentional behavior and explains why the physical realizations of cognition are always discrete (say in the case of numerical computations). Furthermore, a concrete quantum model for how intentions are transformed to actions emerges.

The discrete real projections of p-adic space-time sheets serve also space-time correlate for a logical thought. It is very natural to assign to p-adic binary digits a  $p$ -valued logic but as such this kind

of logic does not have any reasonable identification. p-Adic length scale hypothesis suggest that the  $p = 2^k - n$  binary digits represent a Boolean logic  $B^k$  with  $k$  elementary statements (the points of the  $k$ -element set in the set theoretic realization) with  $n$  taboos which are constrained to be identically true.

### 1.3.4 TGD as a generalized number theory

Quantum T(opological)D(ynamics) as a classical spinor geometry for infinite-dimensional configuration space, p-adic numbers and quantum TGD, and TGD inspired theory of consciousness, have been for last ten years the basic three strongly interacting threads in the tapestry of quantum TGD. For few years ago the discussions with Tony Smith generated a fourth thread which deserves the name 'TGD as a generalized number theory'. It involves three separate threads: the fusion of real and various p-adic physics to a single coherent whole by requiring number theoretic universality discussed already, the formulation of quantum TGD in terms of hyper-counterparts of classical number fields identified as sub-spaces of complexified classical number fields with Minkowskian signature of the metric defined by the complexified inner product, and the notion of infinite prime.

#### The role of classical number fields

The vision about the physical role of the classical number fields relies on the notion of number theoretic compactification stating that space-time surfaces can be regarded as surfaces of either  $M^8$  or  $M^4 \times CP_2$ . As surfaces of  $M^8$  identifiable as space of hyper-octonions they are hyper-quaternionic or co-hyper-quaternionic- and thus maximally associative or co-associative. This means that their tangent space is either hyper-quaternionic plane of  $M^8$  or an orthogonal complement of such a plane. These surface can be mapped in natural manner to surfaces in  $M^4 \times CP_2$  [19] provided one can assign to each point of tangent space a hyper-complex plane  $M^2(x) \subset M^4$ . One can also speak about  $M^8 - H$  duality.

This vision has very strong predictive power. It predicts that the extremals of Kähler action correspond to either hyper-quaternionic or co-hyper-quaternionic surfaces such that one can assign to tangent space at each point of space-time surface a hyper-complex plane  $M^2(x) \subset M^4$ . As a consequence, the  $M^4$  projection of space-time surface at each point contains  $M^2(x)$  and its orthogonal complement. These distributions are integrable implying that space-time surface allows dual slicings defined by string world sheets  $Y^2$  and partonic 2-surfaces  $X^2$ . The existence of this kind of slicing was earlier deduced from the study of extremals of Kähler action and christened as Hamilton-Jacobi structure. The physical interpretation of  $M^2(x)$  is as the space of non-physical polarizations and the plane of local 4-momentum.

One can fairly say, that number theoretical compactification is responsible for most of the understanding of quantum TGD that has emerged during last years. This includes the realization of Equivalence Principle at space-time level, dual formulations of TGD as Minkowskian and Euclidian string model type theories, the precise identification of preferred extremals of Kähler action as extremals for which second variation vanishes (at least for deformations representing dynamical symmetries) and thus providing space-time correlate for quantum criticality, the notion of number theoretic braid implied by the basic dynamics of Kähler action and crucial for precise construction of quantum TGD as almost-topological QFT, the construction of configuration space metric and spinor structure in terms of second quantized induced spinor fields with modified Dirac action defined by Kähler action realizing automatically the notion of finite measurement resolution and a connection with inclusions of hyper-finite factors of type  $II_1$  about which Clifford algebra of configuration space represents an example.

#### Infinite primes

The discovery of the hierarchy of infinite primes and their correspondence with a hierarchy defined by a repeatedly second quantized arithmetic quantum field theory gave a further boost for the speculations about TGD as a generalized number theory. The work with Riemann hypothesis led to further ideas.

After the realization that infinite primes can be mapped to polynomials representable as surfaces geometrically, it was clear how TGD might be formulated as a generalized number theory with infinite primes forming the bridge between classical and quantum such that real numbers, p-adic numbers, and various generalizations of p-adics emerge dynamically from algebraic physics as various completions of

the algebraic extensions of rational (hyper-)quaternions and (hyper-)octonions. Complete algebraic, topological and dimensional democracy would characterize the theory.

What is especially satisfying is that p-adic and real regions of the space-time surface could emerge automatically as solutions of the field equations. In the space-time regions where the solutions of field equations give rise to in-admissible complex values of the imbedding space coordinates, p-adic solution can exist for some values of the p-adic prime. The characteristic non-determinism of the p-adic differential equations suggests strongly that p-adic regions correspond to 'mind stuff', the regions of space-time where cognitive representations reside. This interpretation implies that p-adic physics is physics of cognition. Since Nature is probably extremely brilliant simulator of Nature, the natural idea is to study the p-adic physics of the cognitive representations to derive information about the real physics. This view encouraged by TGD inspired theory of consciousness clarifies difficult interpretational issues and provides a clear interpretation for the predictions of p-adic physics.

### 1.3.5 Dynamical quantized Planck constant and dark matter hierarchy

By quantum classical correspondence space-time sheets can be identified as quantum coherence regions. Hence the fact that they have all possible size scales more or less unavoidably implies that Planck constant must be quantized and have arbitrarily large values. If one accepts this then also the idea about dark matter as a macroscopic quantum phase characterized by an arbitrarily large value of Planck constant emerges naturally as does also the interpretation for the long ranged classical electro-weak and color fields predicted by TGD. Rather seldom the evolution of ideas follows simple linear logic, and this was the case also now. In any case, this vision represents the fifth, relatively new thread in the evolution of TGD and the ideas involved are still evolving.

#### Dark matter as large $\hbar$ phase

D. Da Rocha and Laurent Nottale [109] have proposed that Schrödinger equation with Planck constant  $\hbar$  replaced with what might be called gravitational Planck constant  $\hbar_{gr} = \frac{GmM}{v_0}$  ( $\hbar = c = 1$ ).  $v_0$  is a velocity parameter having the value  $v_0 = 144.7 \pm .7$  km/s giving  $v_0/c = 4.6 \times 10^{-4}$ . This is rather near to the peak orbital velocity of stars in galactic halos. Also subharmonics and harmonics of  $v_0$  seem to appear. The support for the hypothesis coming from empirical data is impressive.

Nottale and Da Rocha believe that their Schrödinger equation results from a fractal hydrodynamics. Many-sheeted space-time however suggests astrophysical systems are not only quantum systems at larger space-time sheets but correspond to a gigantic value of gravitational Planck constant. The gravitational (ordinary) Schrödinger equation would provide a solution of the black hole collapse (IR catastrophe) problem encountered at the classical level. The resolution of the problem inspired by TGD inspired theory of living matter is that it is the dark matter at larger space-time sheets which is quantum coherent in the required time scale [30].

Already before learning about Nottale's paper I had proposed the possibility that Planck constant is quantized [35] and the spectrum is given in terms of logarithms of Beraha numbers: the lowest Beraha number  $B_3$  is completely exceptional in that it predicts infinite value of Planck constant. The inverse of the gravitational Planck constant could correspond a gravitational perturbation of this as  $1/\hbar_{gr} = v_0/GMm$ . The general philosophy would be that when the quantum system would become non-perturbative, a phase transition increasing the value of  $\hbar$  occurs to preserve the perturbative character and at the transition  $n = 4 \rightarrow 3$  only the small perturbative correction to  $1/\hbar(3) = 0$  remains. This would apply to QCD and to atoms with  $Z > 137$  as well.

TGD predicts correctly the value of the parameter  $v_0$  assuming that cosmic strings and their decay remnants are responsible for the dark matter. The harmonics of  $v_0$  can be understood as corresponding to perturbations replacing cosmic strings with their n-branched coverings so that tension becomes  $n^2$ -fold: much like the replacement of a closed orbit with an orbit closing only after  $n$  turns.  $1/n$ -sub-harmonic would result when a magnetic flux tube split into  $n$  disjoint magnetic flux tubes. Also a model for the formation of planetary system as a condensation of ordinary matter around quantum coherent dark matter emerges [30].



### Dark matter as a source of long ranged weak and color fields

Long ranged classical electro-weak and color gauge fields are unavoidable in TGD framework. The smallness of the parity breaking effects in hadronic, nuclear, and atomic length scales does not however seem to allow long ranged electro-weak gauge fields. The problem disappears if long range classical electro-weak gauge fields are identified as space-time correlates for massless gauge fields created by dark matter. Also scaled up variants of ordinary electro-weak particle spectra are possible. The identification explains chiral selection in living matter and unbroken  $U(2)_{ew}$  invariance and free color in bio length scales become characteristics of living matter and of bio-chemistry and bio-nuclear physics. An attractive solution of the matter antimatter asymmetry is based on the identification of also antimatter as dark matter.

### p-Adic and dark matter hierarchies and hierarchy of moments of consciousness

Dark matter hierarchy assigned to a spectrum of Planck constant having arbitrarily large values brings additional elements to the TGD inspired theory of consciousness.

1. Macroscopic quantum coherence can be understood since a particle with a given mass can in principle appear as arbitrarily large scaled up copies (Compton length scales as  $\hbar$ ). The phase transition to this kind of phase implies that space-time sheets of particles overlap and this makes possible macroscopic quantum coherence.
2. The space-time sheets with large Planck constant can be in thermal equilibrium with ordinary ones without the loss of quantum coherence. For instance, the cyclotron energy scale associated with EEG turns out to be above thermal energy at room temperature for the level of dark matter hierarchy corresponding to magnetic flux quanta of the Earth's magnetic field with the size scale of Earth and a successful quantitative model for EEG results [37].

Dark matter hierarchy leads to detailed quantitative view about quantum biology with several testable predictions [37]. The applications to living matter suggests that the basic hierarchy corresponds to a hierarchy of Planck constants coming as  $\hbar(k) = \lambda^k(p)\hbar_0$ ,  $\lambda \simeq 2^{11}$  for  $p = 2^{127-1}$ ,  $k = 0, 1, 2, \dots$  [37]. Also integer valued sub-harmonics and integer valued sub-harmonics of  $\lambda$  might be possible. Each p-adic length scale corresponds to this kind of hierarchy and number theoretical arguments suggest a general formula for the allowed values of Planck constant  $\lambda$  depending logarithmically on p-adic prime [33]. Also the value of  $\hbar_0$  has spectrum characterized by Beraha numbers  $B_n = 4\cos^2(\pi/n)$ ,  $n \geq 3$ , varying by a factor in the range  $n > 3$  [33]. It must be however emphasized that the relation of this picture to the model of quantized gravitational Planck constant  $\hbar_{gr}$  appearing in Nottale's model is not yet completely understood.

The general prediction is that Universe is a kind of inverted Mandelbrot fractal for which each bird's eye of view reveals new structures in long length and time scales representing scaled down copies of standard physics and their dark variants. These structures would correspond to higher levels in self hierarchy. This prediction is consistent with the belief that 75 per cent of matter in the universe is dark.

#### 1. *Living matter and dark matter*

Living matter as ordinary matter quantum controlled by the dark matter hierarchy has turned out to be a particularly successful idea. The hypothesis has led to models for EEG predicting correctly the band structure and even individual resonance bands and also generalizing the notion of EEG [37]. Also a generalization of the notion of genetic code emerges resolving the paradoxes related to the standard dogma [36, 37]. A particularly fascinating implication is the possibility to identify great leaps in evolution as phase transitions in which new higher level of dark matter emerges [37].

It seems safe to conclude that the dark matter hierarchy with levels labelled by the values of Planck constants explains the macroscopic and macro-temporal quantum coherence naturally. That this explanation is consistent with the explanation based on spin glass degeneracy is suggested by following observations. First, the argument supporting spin glass degeneracy as an explanation of the macro-temporal quantum coherence does not involve the value of  $\hbar$  at all. Secondly, the failure of the perturbation theory assumed to lead to the increase of Planck constant and formation of macroscopic quantum phases could be precisely due to the emergence of a large number of new degrees

of freedom due to spin glass degeneracy. Thirdly, the phase transition increasing Planck constant has concrete topological interpretation in terms of many-sheeted space-time consistent with the spin glass degeneracy.

### 2. Dark matter hierarchy and the notion of self

The vision about dark matter hierarchy leads to a more refined view about self hierarchy and hierarchy of moments of consciousness [19, 37]. The larger the value of Planck constant, the longer the subjectively experienced duration and the average geometric duration  $T(k) \propto \lambda^k$  of the quantum jump.

Quantum jumps form also a hierarchy with respect to p-adic and dark hierarchies and the geometric durations of quantum jumps scale like  $\hbar$ . Dark matter hierarchy suggests also a slight modification of the notion of self. Each self involves a hierarchy of dark matter levels, and one is led to ask whether the highest level in this hierarchy corresponds to single quantum jump rather than a sequence of quantum jumps. The averaging of conscious experience over quantum jumps would occur only for sub-selves at lower levels of dark matter hierarchy and these mental images would be ordered, and single moment of consciousness would be experienced as a history of events. The quantum parallel dissipation at the lower levels would give rise to the experience of flow of time. For instance, hadron as a macro-temporal quantum system in the characteristic time scale of hadron is a dissipating system at quark and gluon level corresponding to shorter p-adic time scales. One can ask whether even entire life cycle could be regarded as a single quantum jump at the highest level so that consciousness would not be completely lost even during deep sleep. This would allow to understand why we seem to know directly that this biological body of mine existed yesterday.

The fact that we can remember phone numbers with 5 to 9 digits supports the view that self corresponds at the highest dark matter level to single moment of consciousness. Self would experience the average over the sequence of moments of consciousness associated with each sub-self but there would be no averaging over the separate mental images of this kind, be their parallel or serial. These mental images correspond to sub-selves having shorter wake-up periods than self and would be experienced as being time ordered. Hence the digits in the phone number are experienced as separate mental images and ordered with respect to experienced time.

### 3. The time span of long term memories as signature for the level of dark matter hierarchy

The simplest dimensional estimate gives for the average increment  $\tau$  of geometric time in quantum jump  $\tau \sim 10^4 CP_2$  times so that  $2^{127} - 1 \sim 10^{38}$  quantum jumps are experienced during secondary p-adic time scale  $T_2(k = 127) \simeq 0.1$  seconds which is the duration of physiological moment and predicted to be fundamental time scale of human consciousness [40]. A more refined guess is that  $\tau_p = \sqrt{p}\tau$  gives the dependence of the duration of quantum jump on p-adic prime  $p$ . By multi-p-fractality predicted by TGD and explaining p-adic length scale hypothesis, one expects that at least  $p = 2$ -adic level is also always present. For the higher levels of dark matter hierarchy  $\tau_p$  is scaled up by  $\hbar/\hbar_0$ . One can understand evolutionary leaps as the emergence of higher levels at the level of individual organism making possible intentionality and memory in the time scale defined  $\tau$  [36].

Higher levels of dark matter hierarchy provide a neat quantitative view about self hierarchy and its evolution. For instance, EEG time scales corresponds to  $k = 4$  level of hierarchy and a time scale of .1 seconds [19, 37], and EEG frequencies correspond at this level dark photon energies above the thermal threshold so that thermal noise is not a problem anymore. Various levels of dark matter hierarchy would naturally correspond to higher levels in the hierarchy of consciousness and the typical duration of life cycle would give an idea about the level in question.

The level would determine also the time span of long term memories as discussed in [37].  $k = 7$  would correspond to a duration of moment of conscious of order human lifetime which suggests that  $k = 7$  corresponds to the highest dark matter level relevant to our consciousness whereas higher levels would in general correspond to transpersonal consciousness.  $k = 5$  would correspond to time scale of short term memories measured in minutes and  $k = 6$  to a time scale of memories measured in days.

The emergence of these levels must have meant evolutionary leap since long term memory is also accompanied by ability to anticipate future in the same time scale. This picture would suggest that the basic difference between us and our cousins is not at the level of genome as it is usually understood but at the level of the hierarchy of magnetic bodies [36, 37]. In fact, higher levels of dark matter hierarchy motivate the introduction of the notions of super-genome and hyper-genome. The genomes of entire organ can join to form super-genome expressing genes coherently. Hyper-genomes would

result from the fusion of genomes of different organisms and collective levels of consciousness would express themselves via hyper-genome and make possible social rules and moral.

## 1.4 Bird's eye of view about the topics of the book

This book tries to give an overall view about quantum TGD as it stands now. The topics of this book are following.

1. In the first part of the book I will try to give an overall view about the evolution of TGD and about quantum TGD in its recent form. I cannot avoid the use of various concepts without detailed definitions and my hope is that reader only gets a bird's eye of view about TGD. Two visions about physics are discussed. According to the first vision physical states of the Universe correspond to classical spinor fields in the world of the classical worlds identified as 3-surfaces or equivalently as corresponding 4-surfaces analogous to Bohr orbits and identified as special extrema of Kähler action. TGD as a generalized number theory vision leading naturally also to the emergence of p-adic physics as physics of cognitive representations is the second vision.
2. The second part of the book is devoted to the vision about physics as infinite-dimensional configuration space geometry. The basic idea is that classical spinor fields in infinite-dimensional "world of classical worlds", space of 3-surfaces in  $M^4 \times CP_2$  describe the quantum states of the Universe. Quantum jump remains the only purely quantal aspect of quantum theory in this approach since there is no quantization at the level of the configuration space. Space-time surfaces correspond to special extremals of the Kähler action analogous to Bohr orbits and define what might be called classical TGD discussed in the first chapter. The construction of the configuration space geometry and spinor structure are discussed in remaining chapters.
3. The third part of the book describes physics as generalized number theory vision. Number theoretical vision involves three loosely related approaches: fusion of real and various p-adic physics to a larger whole as algebraic continuations of what might be called rational physics; space-time as a hyper-quaternionic surface of hyper-octonion space, and space-time surfaces as a representations of infinite primes.
4. The first chapter in fourth part of the book summarizes the basic ideas related to Neumann algebras known as hyper-finite factors of type  $II_1$  about which configuration space Clifford algebra represents canonical example. Second chapter is devoted to the basic ideas related to the hierarchy of Planck constants and related generalization of the notion of imbedding space to a book like structure.
5. The physical applications of TGD are the topic of the fifth part of the book. The cosmological and astrophysical applications of the many-sheeted space-time are summarized and the applications to elementary particle physics are discussed at the general level. TGD explains particle families in terms of generation genus correspondences (particle families correspond to 2-dimensional topologies labelled by genus). The notion of elementary particle vacuum functional is developed leading to an argument that the number of light particle families is three is discussed. The general theory for particle massivation based on p-adic thermodynamics is discussed at the general level. The detailed calculations of elementary particle masses are not however carried out in this book.

The seven online books about TGD [1, 2, 5, 6, 3, 4, 7] and eight online books about TGD inspired theory of consciousness and quantum biology [8, 9, 10, 11, 12, 15, 13, 14] are warmly recommended for the reader willing to get overall view about what is involved.

## 1.5 The contents of the book

### 1.5.1 PART I: General Overview

#### Topological Geometroynamics: Three Visions

In this chapter I will discuss three basic visions about quantum Topological Geometroynamics (TGD). It is somewhat matter of taste which idea one should call a vision and the selection of these three in a special role is what I feel natural just now.

1. The first vision is generalization of Einstein's geometrization program based on the idea that the Kähler geometry of the world of classical worlds (WCW) with physical states identified as classical spinor fields on this space would provide the ultimate formulation of physics.
2. Second vision is number theoretical and involves three threads. The first thread relies on the idea that it should be possible to fuse real number based physics and physics associated with various p-adic number fields to single coherent whole by a proper generalization of number concept. Second thread is based on the hypothesis that classical number fields could allow to understand the fundamental symmetries of physics and and imply quantum TGD from purely number theoretical premises with associativity defining the fundamental dynamical principle both classically and quantum mechanically. The third thread relies on the notion of infinite primes whose construction has amazing structural similarities with second quantization of super-symmetric quantum field theories. In particular, the hierarchy of infinite primes and integers allows to generalize the notion of numbers so that given real number has infinitely rich number theoretic anatomy based on the existence of infinite number of real units.
3. The third vision is based on TGD inspired theory of consciousness, which can be regarded as an extension of quantum measurement theory to a theory of consciousness raising observer from an outsider to a key actor of quantum physics.

#### TGD Inspired Theory of Consciousness

The basic ideas and implications of TGD inspired theory of consciousness are briefly summarized. The notions of quantum jump and self can be unified in the recent formulation of TGD relying on dark matter hierarchy characterized by increasing values of Planck constant. Negentropy Maximization Principle serves as a basic variational principle for the dynamics of quantum jump. The new view about the relation of geometric and subjective time leads to a new view about memory and intentional action. The quantum measurement theory based on finite measurement resolution and realized in terms of hyper-finite factors of type  $II_1$  justifies the notions of sharing of mental images and stereo-consciousness deduced earlier on basis of quantum classical correspondence. Qualia reduce to quantum number increments associated with quantum jump. Self-referentiality of consciousness can be understood from quantum classical correspondence implying a symbolic representation of contents of consciousness at space-time level updated in each quantum jump. p-Adic physics provides space-time correlates for cognition and intentionality.

#### Overall View About Evolution of TGD

This chapter provides a bird's eye view about evolution of TGD with the hope that this kind of summary might make it easier to follow the more technical representation provided by sub-sequent chapters. The geometrization of fundamental interactions assuming that space-times are representable as 4-surfaces of  $H = M_+^4 \times CP_2$  is wherefrom everything began. The two manners to understand TGD is TGD as a Poincare invariant theory of gravitation obtained by fusing special and general relativities, and TGD as a generalization of string model obtained by replacing 1-dimensional strings with 3-surfaces. The fusion of these approaches leads to the notion of the many-sheeted space-time.

The evolution of quantum TGD involve five threads which have become more and more entangled with each other. The first great vision was the reduction of the entire quantum physics (apart from quantum jump) to the geometry of classical spinor fields of the infinite-dimensional space of 3-surfaces in  $H$ , the great idea being that infinite-dimensional Kähler geometric existence and thus

physics is unique from the requirement that it is free of infinities. The outcome is geometrization and generalization of the known structures of the quantum field theory and of string models.

The second thread is p-adic physics. p-Adic physics was initiated by more or less accidental observations about reduction of basic mass scale ratios to the ratios of square roots of Mersenne primes and leading to the p-adic thermodynamics explaining elementary particle mass scales and masses with an unexpected success. p-Adic physics turned eventually to be the physics of cognition and intentionality. Consciousness theory based ideas have led to a generalization of the notion of number obtained by gluing real numbers and various p-adic number fields along common rationals to a more general structure and implies that many-sheeted space-time contains also p-adic space-time sheets serving as space-time correlates of cognition and intentionality. The hypothesis that real and p-adic physics can be regarded as algebraic continuation of rational number based physics provides extremely strong constraints on the general structure of quantum TGD.

TGD inspired theory of consciousness can be seen as a generalization of quantum measurement theory replacing the notion of observer as an outsider with the notion of self. The detailed analysis of what happens in quantum jump have brought considerable understanding about the basic structure of quantum TGD itself. It seems that even quantum jump itself could be seen as a number theoretical necessity in the sense that state function reduction and state preparation by self measurements are necessary in order to reduce the generalized quantum state which is a formal superposition over components in different number fields to a state which contains only rational or finitely-extended rational entanglement identifiable as bound state entanglement. The number theoretical information measures generalizing Shannon entropy (always non-negative) are one of the important outcomes of consciousness theory combined with p-adic physics.

Physics as a generalized number theory is the fourth thread. The key idea is that the notion of divisibility could make sense also for literally infinite numbers and perhaps make them useful from the point of view of physicist. The great surprise was that the construction of infinite primes corresponds to the repeated quantization of a super-symmetric arithmetic quantum field theory. This led to the vision about physics as a generalized number theory involving infinite primes, integers, rationals and reals, as well as their quaternionic and octonionic counterparts. A further generalization is based on the generalization of the number concept already mentioned. Space-time surfaces could be regarded in this framework as concrete representations for infinite primes and integers, whereas the dimensions 8 and 4 for imbedding space and space-time surface could be seen as reflecting the dimensions of octonions and quaternions and their hyper counterparts obtained by multiplying imaginary units by  $\sqrt{-1}$ . Also the dimension 2 emerges naturally as the maximal dimension of commutative sub-number field and relates to the ordinary conformal invariance central also for string models.

By quantum classical correspondence space-time sheets can be identified as quantum coherence regions. Hence the fact that they have all possible size scales more or less unavoidably implies that Planck constant must be quantized and have arbitrarily large values. If one accepts this then also the idea about dark matter as a macroscopic quantum phase characterized by an arbitrarily large value of Planck constant emerges naturally as does also the interpretation for the long ranged classical electro-weak and color fields predicted by TGD. Rather seldom the evolution of ideas follows simple linear logic, and this was the case also now. In any case, this vision represents the fifth, relatively new thread in the evolution of TGD and the ideas involved are still evolving.

This chapter represents a overall view about evolution of classical TGD and of p-adic concepts, a summary of the ideas generated by TGD inspired theory of consciousness, the vision about physics as a generalized number theory.

### Overall View About Quantum TGD: Part I

This chapter is the first one of the two chapters providing a summary about evolution of quantum TGD in nearly chronological order. By their nature these chapters are dynamical and I cannot guarantee internal consistency since the ideas discussed are those under most vigorous development. The discussions are based on the general vision that quantum states of the Universe correspond to the modes of classical spinor fields in the "world of the classical worlds" identified as the infinite-dimensional configuration space of 3-surfaces of  $H = M^4 \times CP_2$  (more or less-equivalently, the corresponding 4-surfaces defining generalized Bohr orbits). The following topics are discussed on basis of this vision in this chapter

In this chapter the discussion is mostly concentrated on general ideas whereas the topics related to

the construction of M-matrix are discussed on the second chapter. TGD relies heavily on geometric ideas and number theoretical ideas, which have gradually generalized during the years.

1. The basic vision is that it is possible to reduce quantum theory to configuration space geometry and spinor structure. The geometrization of loop spaces inspires the idea that the mere existence of Riemann connection fixes configuration space Kähler geometry uniquely. Accordingly, configuration space can be regarded as a union of infinite-dimensional symmetric spaces labelled by zero modes labelling classical non-quantum fluctuating degrees of freedom. The huge symmetries of the configuration space geometry deriving from the light-likeness of 3-surfaces and from the special conformal properties of the boundary of 4-D light-cone would guarantee the maximal isometry group necessary for the symmetric space property. Quantum criticality is the fundamental hypothesis allowing to fix the Kähler function and thus dynamics of TGD uniquely. Quantum criticality leads to surprisingly strong predictions about the evolution of coupling constants.
2. Configuration space spinors correspond to Fock states and anti-commutation relations for fermionic oscillator operators correspond to anti-commutation relations for the gamma matrices of the configuration space. Configuration space spinors define a von Neumann algebra known as hyperfinite factor of type  $II_1$  (HFFs). This has led to a profound understanding of quantum TGD. The outcome of this approach is that the exponents of Kähler function and Chern-Simons action are not fundamental objects but reduce to the Dirac determinant associated with the modified Dirac operator assigned to the light-like 3-surfaces.
3. p-Adic mass calculations relying on p-adic length scale hypothesis led to an understanding of elementary particle masses using only super-conformal symmetries and p-adic thermodynamics. The need to fuse real physics and various p-adic physics to single coherent whole led to a generalization of the notion of number obtained by gluing together reals and p-adics together along common rationals and algebraics. The interpretation of p-adic space-time sheets is as correlates for cognition and intentionality. p-Adic and real space-time sheets intersect along common rationals and algebraics and the subset of these points defines what I call number theoretic braid in terms of which both configuration space geometry and S-matrix elements should be expressible. Thus one would obtain number theoretical discretization which involves no adhoc elements and is inherent to the physics of TGD.
4. The work with HFFs combined with experimental input led to the notion of hierarchy of Planck constants interpreted in terms of dark matter. The hierarchy is realized via a generalization of the notion of imbedding space obtained by gluing infinite number of its variants along common lower-dimensional quantum critical sub-manifolds. This leads to the identification of number theoretical braids as points of partonic 2-surface which correspond to the minima of generalized eigenvalue of Dirac operator, a scalar field to which Higgs vacuum expectation is proportional to. Higgs vacuum expectation has thus a purely geometric interpretation. This leads to an explicit formula for the Dirac determinant. What is remarkable is that the construction gives also the 4-D space-time sheets associated with the light-like orbits of partonic 2-surfaces: they should correspond to preferred extremals of Kähler action. Thus hierarchy of Planck constants is now an essential part of construction of quantum TGD and of mathematical realization of the notion of quantum criticality.
5. HFFs lead also to an idea about how entire TGD emerges from classical number fields, actually their complexifications. In particular,  $CP_2$  could be interpreted as a structure related to octonions. This would mean that TGD could be seen also as a generalized number theory.

## Overall View About Quantum TGD: Part II

This chapter is the second one of two chapters providing a summary about evolution of quantum TGD in nearly chronological order. By their nature these chapters are dynamical and I cannot guarantee internal consistency since the ideas discussed are those under most vigorous development. In this chapter ideas related to the construction of S-matrix and coupling constant evolution are discussed.

The construction of S-matrix involves several ideas that have emerged during last years.

1. Zero energy ontology motivated originally by TGD inspired cosmology means that physical states have vanishing net quantum numbers and are decomposable to positive and negative energy parts separated by a temporal distance characterizing the system as space-time sheet of finite size in time direction. The particle physics interpretation is as initial and final states of a particle reaction. S-matrix and density matrix are unified to the notion of M-matrix expressible as a product of square root of density matrix and of unitary S-matrix. Thermodynamics becomes therefore a part of quantum theory. One must distinguish M-matrix from U-matrix defined between zero energy states and analogous to S-matrix and characterizing the unitary process associated with quantum jump. U-matrix is most naturally related to the description of intentional action since in a well-defined sense it has elements between physical systems corresponding to different number fields.
2. The notion of measurement resolution represented in terms of inclusions of HFFs is an essential element of the picture. Measurement resolution corresponds to the action of the included sub-algebra creating zero energy states in time scales shorter than the cutoff scale. This algebra effectively replaces complex numbers as coefficient fields and the condition that its action commutes with the M-matrix implies that M-matrix corresponds to Connes tensor product. Together with super-conformal symmetries this fixes possible M-matrices to a very high degree.
3. Zero energy ontology leads to profoundly new view about the notion of virtual particle allowing to prove that the M-matrix is finite and that the number of Feynman diagrams contributing to given reaction is finite if particles have p-adic thermal mass.
4. The symmetric space property of world of classical worlds (WCW) allows to reduce WCW functional integral to Fourier analysis in WCW having a direct generalization to p-adic context so that the great dream about algebraic universality can be realized.

### TGD and M-Theory

In this chapter a critical comparison of M-theory and TGD as two competing theories is carried out. Dualities and black hole physics are regarded as basic victories of M-theory.

a) The counterpart of electric magnetic duality plays an important role also in TGD and it has become clear that it might change the sign of Kähler coupling strength rather than leaving it invariant. The different signs would be related to different time orientations of the space-time sheets. This option is favored also by TGD inspired cosmology but unitarity seems to exclude it.

b) The AdS/CFT duality of Maldacena involved with the quantum gravitational holography has a direct counterpart in TGD with 3-dimensional causal determinants serving as holograms so that the construction of absolute minima of Kähler action reduces to a local problem.

c) The attempts to develop further the nebulous idea about space-time surfaces as quaternionic sub-manifolds of an octonionic imbedding space led to the realization of duality which could be called number theoretical spontaneous compactification. Space-time can be regarded equivalently as a hyper-quaternionic 4-surface in  $M^8$  with hyper-octonionic structure or as a 4-surface in  $M^4 \times CP_2$ .

d) The duality of string models relating Kaluza-Klein quantum numbers with YM quantum numbers could generalize to a duality between 7-dimensional light like causal determinants of the imbedding space (analogs of "big bang") and 3-dimensional light like causal determinants of space-time surface (analogs of black hole horizons).

e) The notion of cotangent bundle of configuration space of 3-surfaces suggests the interpretation of the number-theoretical compactification as a wave-particle duality in infinite-dimensional context. Also the duality of hyper-quaternionic and co-hyper-quaternionic 4-surfaces could be understood analogously. These ideas generalize at the formal level also to the M-theory assuming that stringy configuration space is introduced. The existence of Kähler metric very probably does not allow dynamical target space.

In TGD framework black holes are possible but putting black holes and particles in the same basket seems to be mixing of apples with oranges. The role of black hole horizons is taken in TGD by 3-D light like causal determinants, which are much more general objects. Black hole-elementary particle correspondence and p-adic length scale hypothesis have already earlier led to a formula for the entropy associated with elementary particle horizon.

The recent findings from RHIC have led to the realization that TGD predicts black hole like objects in all length scales. They are identifiable as highly tangled magnetic flux tubes in Hagedorn temperature and containing conformally confined matter with a large Planck constant and behaving like dark matter in a macroscopic quantum phase. The fact that string like structures in macroscopic quantum states are ideal for topological quantum computation modifies dramatically the traditional view about black holes as information destroyers.

The discussion of the basic weaknesses of M-theory is motivated by the fact that the few predictions of the theory are wrong which has led to the introduction of anthropic principle to save the theory. The mouse as a tailor history of M-theory, the lack of a precise problem to which M-theory would be a solution, the hard nosed reductionism, and the censorship in Los Alamos archives preventing the interaction with competing theories could be seen as the basic reasons for the recent blind alley in M-theory.

## 1.5.2 PART II: Physics as Infinite-dimensional Geometry and Generalized Number Theory: Basic Visions

### The geometry of the world of classical worlds

The topics of this chapter are the purely geometric aspects of the vision about physics as an infinite-dimensional Kähler geometry of the "world of classical worlds", with "classical world" identified either as 3-D surface of the unique Bohr orbit like 4-surface traversing through it. The non-determinism of Kähler action forces to generalize the notion of 3-surfaces so that unions of space-like surfaces with time like separations must be allowed. The considerations are restricted mostly to real context and the problems related to the p-adicization are discussed later.

There are two separate tasks involved.

1. Provide configuration space of 3-surfaces with Kähler geometry which is consistent with 4-dimensional general coordinate invariance so that the metric is  $\text{Diff}^4$  degenerate. General coordinate invariance implies that the definition of metric must assign to a give 3-surface  $X^3$  a 4-surface as a kind of Bohr orbit  $X^4(X^3)$ .
2. Provide the configuration space with a spinor structure. The great idea is to identify configuration space gamma matrices in terms of super algebra generators expressible using second quantized fermionic oscillator operators for induced free spinor fields at the space-time surface assignable to a given 3-surface. The isometry generators and contractions of Killing vectors with gamma matrices would thus form a generalization of Super Kac-Moody algebra.

From the experience with loop spaces one can expect that there is no hope about existence of well-defined Riemann connection unless this space is union of infinite-dimensional symmetric spaces with constant curvature metric and simple considerations requires that Einstein equations are satisfied by each component in the union. The coordinates labeling these symmetric spaces are zero modes having interpretation as genuinely classical variables which do not quantum fluctuate since they do not contribute to the line element of the configuration space.

The construction of the Kähler structure involves also the identification of complex structure.

1. Direct construction of Kähler function as action associated with a preferred Bohr orbit like extremal for some physically motivated action leads to a unique result.
2. Second approach is group theoretical and is based on a direct guess of isometries of the infinite-dimensional symmetric space formed by 3-surfaces with fixed values of zero modes. The group of isometries is generalization of Kac-Moody group obtained by replacing finite-dimensional Lie group with the group of symplectic transformations of  $\delta M_+^4 \times CP_2$ , where  $\delta M_+^4$  is the boundary of 4-dimensional future light-cone.
3. Third approach is based on the conjecture that yhr vacuum functional of the theory identifiable as an exponent of Kähler function is expressible as a Dirac determinant. This approach leads to an explicit expression of configuration space metric in terms of finite number of eigenvalues assignable to the modified Dirac operator defined by Kähler action. The notion of number theoretical compactification and the known properties of extremals of Kähler action play key role in this approach.



## Classical TGD

In this chapter the classical field equations associated with the Kähler action are studied. The study of the extremals of the Kähler action has turned out to be extremely useful for the development of TGD. Towards the end of year 2003 quite dramatic progress occurred in the understanding of field equations and it seems that field equations might be in well-defined sense exactly solvable. Years later the understanding of quantum TGD at fundamental level deepened the understanding.

### 1. Preferred extremals and quantum criticality

The identification of preferred extremals of Kähler action defining counterparts of Bohr orbits has been one of the basic challenges of quantum TGD. By quantum classical correspondence the non-deterministic space-time dynamics should mimic the dissipative dynamics of the quantum jump sequence. It should also represent space-time correlate for quantum criticality.

The solution of the problem through the understanding of the implications number theoretical compactification and the realization of quantum TGD at fundamental level in terms of second quantization of induced spinor fields assigned to the modified Dirac action defined by Kähler action. Noether currents assignable to the modified Dirac equation are conserved only if the first variation of the modified Dirac operator  $D_K$  defined by Kähler action vanishes. This is equivalent with the vanishing of the second variation of Kähler action -at least for the variations corresponding to dynamical symmetries having interpretation as dynamical degrees of freedom which are below measurement resolution and therefore effectively gauge symmetries.

The vanishing of the second variation in interior of  $X^4(X_l^3)$  is what corresponds exactly to quantum criticality so that the basic vision about quantum dynamics of quantum TGD would lead directly to a precise identification of the preferred extremals. Something which I should have noticed for more than decade ago! The question whether these extremals correspond to absolute minima remains however open. The vanishing of second variations of preferred extremals suggests a generalization of catastrophe theory of Thom, where the rank of the matrix defined by the second derivatives of potential function defines a hierarchy of criticalities with the tip of bifurcation set of the catastrophe representing the complete vanishing of this matrix. In the recent case this theory would be generalized to infinite-dimensional context.

The space-time representation for dissipation comes from the interpretation of regions of space-time surface with Euclidian signature of induced metric as generalized Feynman diagrams (or equivalently the light-like 3-surfaces defining boundaries between Euclidian and Minkowskian regions). Dissipation would be represented in terms of Feynman graphs representing irreversible dynamics and expressed in the structure of zero energy state in which positive energy part corresponds to the initial state and negative energy part to the final state. Outside Euclidian regions classical dissipation should be absent and this indeed the case for the known extremals.

### 2. Hamilton-Jacobi structure

Most known extremals share very general properties. One of them is Hamilton-Jacobi structure meaning the possibility to assign to the extremal so called Hamilton-Jacobi coordinates. This means dual slicings of  $M^4$  by string world sheets and partonic 2-surfaces. Number theoretic compactification led years later to the same condition. This slicing allows a dimensional reduction of quantum TGD to Minkowskian and Euclidian variants of string model and allows to understand how Equivalence Principle is realized at space-time level. Also holography in the sense that the dynamics of 3-dimensional space-time surfaces reduces to that for 2-D partonic surfaces in a given measurement resolution follows. The construction of quantum TGD relies in essential manner to this property.  $CP_2$  type vacuum extremals do not possess Hamilton-Jacobi structure but this can be understood in the picture provided by number theoretical compactification.

### 3. Physical interpretation of extremals

The vanishing of Lorentz 4-force for the induced Kähler field means that the vacuum 4-currents are in a mechanical equilibrium and dissipation is absent except in the sense that the super-position of generalized Feynman graphs representing the zero energy state represents dissipation. Lorentz 4-force vanishes for all known solutions of field equations.

1. The vanishing of the Lorentz 4-force in turn implies local covariant conservation of the ordinary energy momentum tensor. The corresponding condition is implied by Einstein's equations in

General Relativity.

2. The hypothesis would mean that the solutions of field equations are what might be called generalized Beltrami fields. The condition implies that vacuum currents can be non-vanishing only provided the dimension  $D_{CP_2}$  of the  $CP_2$  projection of the space-time surface is less than four so that in the regions with  $D_{CP_2} = 4$ , Maxwell's vacuum equations are satisfied.
3. The hypothesis that Kähler current is proportional to a product of an arbitrary function  $\psi$  of  $CP_2$  coordinates and of the instanton current generalizes Beltrami condition and reduces to it when electric field vanishes. Kähler current has vanishing divergence for  $D_{CP_2} < 4$ , and Lorentz 4-force indeed vanishes. The remaining task would be the explicit construction of the imbeddings of these fields and the demonstration that field equations can be satisfied.
4. Under additional conditions magnetic field reduces to what is known as Beltrami field. Beltrami fields are known to be extremely complex but highly organized structures. The natural conjecture is that topologically quantized many-sheeted magnetic and  $Z^0$  magnetic Beltrami fields and their generalizations serve as templates for the helical molecules populating living matter, and explain both chirality selection, the complex linking and knotting of DNA and protein molecules, and even the extremely complex and self-organized dynamics of biological systems at the molecular level.
5. Beltrami fields appear in physical applications as asymptotic self organization patterns for which Lorentz force and dissipation vanish. Preferred extremal property abstracted to purely algebraic generalized Beltrami conditions would make sense also in the p-adic context as it should by number theoretic universality.
6. As a consequence field equations can be reduced to algebraic conditions stating that energy momentum tensor and second fundamental form have no common components (this occurs also for minimal surfaces in string models) and only the conditions stating that Kähler current vanishes, is light-like, or proportional to instanton current, remain and define the remaining field equations. The conditions guaranteeing topologization to instanton current can be solved explicitly. Solutions can be found also in the more general case when Kähler current is not proportional to instanton current. On basis of these findings there are strong reasons to believe that classical TGD is exactly solvable.

#### 4. The dimension of $CP_2$ projection as classifier for the fundamental phases of matter

The dimension  $D_{CP_2}$  of  $CP_2$  projection of the space-time sheet encountered already in p-adic mass calculations classifies the fundamental phases of matter.

1. For  $D_{CP_2} = 4$  empty space Maxwell equations would hold true. This phase is chaotic and analogous to de-magnetized phase. There is also a CP breaking associated with this phase. At least  $CP_2$  type vacuum extremals and their deformations represent this phase.
2.  $D_{CP_2} = 2$  phase is analogous to ferromagnetic phase: highly ordered and relatively simple. In fact, this phase as such does not correspond to preferred extremals but only their small deformations obtained by topological condensation of  $CP_2$  type vacuum extremals representing elementary fermions at these extremals and by topological condensation of these extremals at larger space-time sheets creating wormhole contacts representing elementary bosons.
3.  $D_{CP_2} = 3$  is the analog of spin glass and liquid crystal phases, extremely complex but highly organized by the properties of the generalized Beltrami fields. Also these extremals would represent ground states whose small deformations represent the phase. This phase is the boundary between chaos and order and corresponds to life emerging in the interaction of magnetic bodies with bio-matter. It is possible only in a finite temperature interval (note however the p-adic hierarchy of critical temperatures) and characterized by chirality just like life.

#### 5. Specific extremals of Kähler action

The study of extremals of Kähler action represents more than decade old layer in the development of TGD.

1. The huge vacuum degeneracy is the most characteristic feature of Kähler action (any 4-surface having  $CP_2$  projection which is Legendre sub-manifold is vacuum extremal, Legendre sub-manifolds of  $CP_2$  are in general 2-dimensional). This vacuum degeneracy is behind the spin glass analogy and leads to the p-adic TGD. As found in the second part of the book, various particle like vacuum extremals also play an important role in the understanding of the quantum TGD.
2. The so called  $CP_2$  type vacuum extremals have finite, negative action and are therefore an excellent candidate for real particles whereas vacuum extremals with vanishing Kähler action are candidates for the virtual particles. These extremals have one dimensional  $M^4$  projection, which is light like curve but not necessarily geodesic and locally the metric of the extremal is that of  $CP_2$ : the quantization of this motion leads to Virasoro algebra. Space-times with topology  $CP_2 \# CP_2 \# \dots CP_2$  are identified as the generalized Feynmann diagrams with lines thickened to 4-manifolds of "thickness" of the order of  $CP_2$  radius. The quantization of the random motion with light velocity associated with the  $CP_2$  type extremals in fact led to the discovery of Super Virasoro invariance, which through the construction of the configuration space geometry, becomes a basic symmetry of quantum TGD.
3. There are also various non-vacuum extremals.
  - (a) String like objects, with string tension of same order of magnitude as possessed by the cosmic strings of GUTs, have a crucial role in TGD inspired model for the galaxy formation and in the TGD based cosmology.
  - (b) The so called massless extremals describe non-linear plane waves propagating with the velocity of light such that the polarization is fixed in given point of the space-time surface. The purely TGD:ish feature is the light like Kähler current: in the ordinary Maxwell theory vacuum gauge currents are not possible. This current serves as a source of coherent photons, which might play an important role in the quantum model of bio-system as a macroscopic quantum system.
  - (c) In the so called Maxwell's phase, ordinary Maxwell equations for the induced Kähler field are satisfied in an excellent approximation. A special case is provided by a radially symmetric extremal having an interpretation as the space-time exterior to a topologically condensed particle. The sign of the gravitational mass correlates with that of the Kähler charge and one can understand the generation of the matter antimatter asymmetry from the basic properties of this extremal. The possibility to understand the generation of the matter antimatter asymmetry directly from the basic equations of the theory gives strong support in favor of TGD in comparison to the ordinary EYM theories, where the generation of the matter antimatter asymmetry is still poorly understood.

### Physics as a generalized number theory

There are two basic approaches to the construction of quantum TGD. The first approach relies on the vision of quantum physics as infinite-dimensional Kähler geometry for the "world of classical worlds" identified as the space of 3-surfaces in in certain 8-dimensional space. Essentially a generalization of the Einstein's geometrization of physics program is in question. The second vision is the identification of physics as a generalized number theory. This program involves three threads: various p-adic physics and their fusion together with real number based physics to a larger structure, the attempt to understand basic physics in terms of classical number fields (in particular, identifying associativity condition as the basic dynamical principle), and infinite primes whose construction is formally analogous to a repeated second quantization of an arithmetic quantum field theory. In this article brief summaries of physics as infinite-dimensional geometry and generalized number theory are given to be followed by more detailed articles.

#### 1. *p-Adic physics and their fusion with real physics*

The basic technical problems of the fusion of real physics and various p-adic physics to single coherent whole relate to the notion of definite integral both at space-time level, imbedding space level and the level of WCW (the "world of classical worlds"). The expressibility of WCW as a union of

symmetric spaces leads to a proposal that harmonic analysis of symmetric spaces can be used to define various integrals as sums over Fourier components. This leads to the proposal the p-adic variant of symmetric space is obtained by an algebraic continuation through a common intersection of these spaces, which basically reduces to an algebraic variant of coset space involving algebraic extension of rationals by roots of unity. This brings in the notion of angle measurement resolution coming as  $\Delta\phi = 2\pi/p^n$  for given p-adic prime  $p$ . Also a proposal how one can complete the discrete version of symmetric space to a continuous p-adic version emerges and means that each point is effectively replaced with the p-adic variant of the symmetric space identifiable as a p-adic counterpart of the real discretization volume so that a fractal p-adic variant of symmetric space results.

If the Kähler geometry of WCW is expressible in terms of rational or algebraic functions, it can in principle be continued to the p-adic context. One can however consider the possibility that the integrals over partonic 2-surfaces defining flux Hamiltonians exist p-adically as Riemann sums. This requires that the geometries of the partonic 2-surfaces effectively reduce to finite sub-manifold geometries in the discretized version of  $\delta M_+^4 \times CP_2$ . If Kähler action is required to exist p-adically same kind of condition applies to the space-time surfaces themselves. These strong conditions might make sense in the intersection of the real and p-adic worlds assumed to characterize living matter.

### 2. TGD and classical number fields

The basic vision is that the geometry of the infinite-dimensional WCW ("world of classical worlds") is unique from its mere existence. This leads to its identification as a union of symmetric spaces whose Kähler geometries are fixed by generalized conformal symmetries. This fixes space-time dimension and the decomposition  $M^4 \times S$  and the idea is that the symmetries of the Kähler manifold  $S$  make it somehow unique. The motivating observations are that the dimensions of classical number fields are the dimensions of partonic 2-surfaces, space-time surfaces, and imbedding space and  $M^8$  can be identified as hyper-octonions- a sub-space of complexified octonions obtained by adding a commuting imaginary unit. This stimulates some questions.

Could one understand  $S = CP_2$  number theoretically in the sense that  $M^8$  and  $H = M^4 \times CP_2$  be in some deep sense equivalent ("number theoretical compactification" or  $M^8 - H$  duality)? Could associativity define the fundamental dynamical principle so that space-time surfaces could be regarded as associative or co-associative (defined properly) sub-manifolds of  $M^8$  or equivalently of  $H$ .

One can indeed define the associative (co-associative) 4-surfaces using octonionic representation of gamma matrices of 8-D spaces as surfaces for which the modified gamma matrices span an associative (co-associative) sub-space at each point of space-time surface. Also  $M^8 - H$  duality holds true if one assumes that this associative sub-space at each point contains a preferred plane of  $M^8$  identifiable as a preferred commutative or co-commutative plane (this condition generalizes to an integral distribution of commutative planes in  $M^8$ ). These planes are parametrized by  $CP_2$  and this leads to  $M^8 - H$  duality.

WCW itself can be identified as the space of 4-D local sub-algebras of the local Clifford algebra of  $M^8$  or  $H$  which are associative or co-associative. An open conjecture is that this characterization of the space-time surfaces is equivalent with the preferred extremal property of Kähler action with preferred extremal identified as a critical extremal allowing infinite-dimensional algebra of vanishing second variations.

### 3. Infinite primes

The construction of infinite primes is formally analogous to a repeated second quantization of an arithmetic quantum field theory by taking the many particle states of previous level elementary particles at the new level. Besides free many particle states also the analogs of bound states appear. In the representation in terms of polynomials the free states correspond to products of first order polynomials with rational zeros. Bound states correspond to  $n^{th}$  order polynomials with non-rational but algebraic zeros.

The construction can be generalized to classical number fields and their complexifications obtained by adding a commuting imaginary unit. Special class corresponds to hyper-octonionic primes for which the imaginary part of ordinary octonion is multiplied by the commuting imaginary unit so that one obtains a sub-space  $M^8$  with Minkowski signature of metric. Also in this case the basic construction reduces to that for rational or complex rational primes and more complex primes are obtained by acting using elements of the octonionic automorphism group which preserve the complex octonionic integer property.

Can one map infinite primes/integers/rationals to quantum states? Do they have space-time surfaces as correlates? Quantum classical correspondence realized in terms of modified Dirac operator implies that if infinite rationals can be mapped to quantum states then the mapping of quantum states to space-time surfaces automatically gives the map to space-time surfaces. The question is therefore whether the mapping to quantum states defined by WCW spinor fields is possible. A natural hypothesis is that number theoretic fermions can be mapped to real fermions and number theoretic bosons to WCW ("world of classical worlds") Hamiltonians. The crucial observation is that one can construct infinite hierarchy of hyper-octonionic units by forming ratios of infinite integers such that their ratio equals to one in real sense: the integers have interpretation as positive and negative energy parts of zero energy states. One can construct also sums of these units with complex coefficients using commuting imaginary unit and these sums can be normalized to unity and have interpretation as states in Hilbert space. These units can be assumed to possess well defined standard model quantum numbers. It is possible to map the quantum number combinations of WCW spinor fields to these states. Hence the points of  $M^8$  can be said to have infinitely complex number theoretic anatomy so that quantum states of the universe can be mapped to this anatomy. One could talk about algebraic holography or number theoretic Brahman=Atman identity.

One can also ask how infinite primes relate to the p-adicization program and to the hierarchy of Planck constants. The key observation is that infinite primes are in one-one correspondence with rational numbers at the lower level of hierarchy. At the first level of hierarchy the p-adic norm with respect to p-adic prime for this rational gives power  $p^{-n}$  so that one has two powers of  $p - p^{n+}$  and  $p^{n-}$  - since two infinite primes corresponding to fermionic vacua  $X \pm 1$ , where  $X$  is the product of all primes at given level of hierarchy, characterize the partonic 2-surface. The proposal inspired by the p-adicization program is that  $\Delta\phi = 2\pi/p^n$  defines angle measurement resolution crucial in the construction of p-adic variants of WCW ("world of classical world") as a union of symmetric coset spaces by starting from discrete variants of the real counterpart of symmetric space having common points with its p-adic variant. The two measurement resolutions correspond to  $CD$  and  $CP_2$  degrees of freedom. The hierarchy of Planck constants generalizes imbedding space to a book like structure with pages identified in terms of singular coverings and factor spaces of  $CD$  and  $CP_2$ . There are good arguments suggesting that only coverings characterized by integers  $n_a$  and  $n_b$  are realized. The identifications  $n_a = n_+$  and  $n_b = n_-$  lead to highly non-trivial physical predictions and allow sharpen the view about the hierarchy of Planck constants. Therefore the notion of finite measurement resolution becomes the common denominator for the three threads of the number theoretic vision and give also a connection with the physics as infinite-dimensional geometry program and with the inclusions of hyper-finite factors defined by WCW spinor fields and proposed to characterize finite measurement resolution at quantum level.

### 1.5.3 PART III: Hyperfinite factors of type $II_1$ and hierarchy of Planck constants

#### Was von Neumann right after all?

The work with TGD inspired model for quantum computation led to the realization that von Neumann algebras, in particular hyper-finite factors, could provide the mathematics needed to develop a more explicit view about the construction of M-matrix generalizing the notion of S-matrix in zero energy ontology. In this chapter I will discuss various aspects of hyper-finite factors and their possible physical interpretation in TGD framework. The original discussion has transformed during years from free speculation reflecting in many aspects my ignorance about the mathematics involved to a more realistic view about the role of these algebras in quantum TGD.

#### 1. Hyper-finite factors in quantum TGD

The following argument suggests that von Neumann algebras known as hyper-finite factors (HFFs) of type  $III_1$  appearing in relativistic quantum field theories provide also the proper mathematical framework for quantum TGD.

1. The Clifford algebra of the infinite-dimensional Hilbert space is a von Neumann algebra known as HFF of type  $II_1$ . There also the Clifford algebra at a given point (light-like 3-surface) of world of classical worlds (WCW) is therefore HFF of type  $II_1$ . If the fermionic Fock algebra defined

by the fermionic oscillator operators assignable to the induced spinor fields (this is actually not obvious!) is infinite-dimensional it defines a representation for HFF of type  $II_1$ . Super-conformal symmetry suggests that the extension of the Clifford algebra defining the fermionic part of a super-conformal algebra by adding bosonic super-generators representing symmetries of WCW respects the HFF property. It could however occur that HFF of type  $II_\infty$  results.

2. WCW is a union of sub-WCWs associated with causal diamonds ( $CD$ ) defined as intersections of future and past directed light-cones. One can allow also unions of  $CD$ s and the proposal is that  $CD$ s within  $CD$ s are possible. Whether  $CD$ s can intersect is not clear.
3. The assumption that the  $M^4$  proper distance  $a$  between the tips of  $CD$  is quantized in powers of 2 reproduces p-adic length scale hypothesis but one must also consider the possibility that  $a$  can have all possible values. Since  $SO(3)$  is the isotropy group of  $CD$ , the  $CD$ s associated with a given value of  $a$  and with fixed lower tip are parameterized by the Lobatchevski space  $L(a) = SO(3,1)/SO(3)$ . Therefore the  $CD$ s with a free position of lower tip are parameterized by  $M^4 \times L(a)$ . A possible interpretation is in terms of quantum cosmology with  $a$  identified as cosmic time [29]. Since Lorentz boosts define a non-compact group, the generalization of so called crossed product construction strongly suggests that the local Clifford algebra of WCW is HFF of type  $III_1$ . If one allows all values of  $a$ , one ends up with  $M^4 \times M^4_+$  as the space of moduli for WCW.
4. An interesting special aspect of 8-dimensional Clifford algebra with Minkowski signature is that it allows an octonionic representation of gamma matrices obtained as tensor products of unit matrix 1 and 7-D gamma matrices  $\gamma_k$  and Pauli sigma matrices by replacing 1 and  $\gamma_k$  by octonions. This inspires the idea that it might be possible to end up with quantum TGD from purely number theoretical arguments. This seems to be the case. One can start from a local octonionic Clifford algebra in  $M^8$ . Associativity condition is satisfied if one restricts the octonionic algebra to a subalgebra associated with any hyper-quaternionic and thus 4-D sub-manifold of  $M^8$ . This means that the modified gamma matrices associated with the Kähler action span a complex quaternionic sub-space at each point of the sub-manifold. This associative sub-algebra can be mapped a matrix algebra. Together with  $M^8 - H$  duality [31, 23] this leads automatically to quantum TGD and therefore also to the notion of WCW and its Clifford algebra which is however only mappable to an associative algebra and thus to HFF of type  $II_1$ .

#### 4. Hyper-finite factors and M-matrix

HFFs of type  $III_1$  provide a general vision about M-matrix.

1. The factors of type III allow unique modular automorphism  $\Delta^{it}$  (fixed apart from unitary inner automorphism). This raises the question whether the modular automorphism could be used to define the M-matrix of quantum TGD. This is not the case as is obvious already from the fact that unitary time evolution is not a sensible concept in zero energy ontology.
2. Concerning the identification of M-matrix the notion of state as it is used in theory of factors is a more appropriate starting point than the notion modular automorphism but as a generalization of thermodynamical state is certainly not enough for the purposes of quantum TGD and quantum field theories (algebraic quantum field theorists might disagree!). Zero energy ontology requires that the notion of thermodynamical state should be replaced with its "complex square root" abstracting the idea about M-matrix as a product of positive square root of a diagonal density matrix and a unitary S-matrix. This generalization of thermodynamical state -if it exists- would provide a firm mathematical basis for the notion of M-matrix and for the fuzzy notion of path integral.
3. The existence of the modular automorphisms relies on Tomita-Takesaki theorem, which assumes that the Hilbert space in which HFF acts allows cyclic and separable vector serving as ground state for both HFF and its commutant. The translation to the language of physicists states that the vacuum is a tensor product of two vacua annihilated by annihilation oscillator type algebra elements of HFF and creation operator type algebra elements of its commutant isomorphic to it. Note however that these algebras commute so that the two algebras are not hermitian conjugates

of each other. This kind of situation is exactly what emerges in zero energy ontology: the two vacua can be assigned with the positive and negative energy parts of the zero energy states entangled by M-matrix.

4. There exists infinite number of thermodynamical states related by modular automorphisms. This must be true also for their possibly existing "complex square roots". Physically they would correspond to different measurement interactions giving rise to Kähler functions of WCW differing only by a real part of holomorphic function of complex coordinates of WCW and arbitrary function of zero mode coordinates and giving rise to the same Kähler metric of WCW.

The concrete construction of M-matrix utilizing the idea of bosonic emergence (bosons as fermion anti-fermion pairs at opposite throats of wormhole contact) meaning that bosonic propagators reduce to fermionic loops identifiable as wormhole contacts leads to generalized Feynman rules for M-matrix in which modified Dirac action containing measurement interaction term defines stringy propagators. This M-matrix should be consistent with the above proposal.

#### 5. Connes tensor product as a realization of finite measurement resolution

The inclusions  $\mathcal{N} \subset \mathcal{M}$  of factors allow an attractive mathematical description of finite measurement resolution in terms of Connes tensor product but do not fix M-matrix as was the original optimistic belief.

1. In zero energy ontology  $\mathcal{N}$  would create states experimentally indistinguishable from the original one. Therefore  $\mathcal{N}$  takes the role of complex numbers in non-commutative quantum theory. The space  $\mathcal{M}/\mathcal{N}$  would correspond to the operators creating physical states modulo measurement resolution and has typically fractal dimension given as the index of the inclusion. The corresponding spinor spaces have an identification as quantum spaces with non-commutative  $\mathcal{N}$ -valued coordinates.
2. This leads to an elegant description of finite measurement resolution. Suppose that a universal M-matrix describing the situation for an ideal measurement resolution exists as the idea about square root of state encourages to think. Finite measurement resolution forces to replace the probabilities defined by the M-matrix with their  $\mathcal{N}$  "averaged" counterparts. The "averaging" would be in terms of the complex square root of  $\mathcal{N}$ -state and a direct analog of functionally or path integral over the degrees of freedom below measurement resolution defined by (say) length scale cutoff.
3. One can construct also directly M-matrices satisfying the measurement resolution constraint. The condition that  $\mathcal{N}$  acts like complex numbers on M-matrix elements as far as  $\mathcal{N}$ -"averaged" probabilities are considered is satisfied if M-matrix is a tensor product of M-matrix in  $\mathcal{M}(\mathcal{N}$  interpreted as finite-dimensional space with a projection operator to  $\mathcal{N}$ ). The condition that  $\mathcal{N}$  averaging in terms of a complex square root of  $\mathcal{N}$  state produces this kind of M-matrix poses a very strong constraint on M-matrix if it is assumed to be universal (apart from variants corresponding to different measurement interactions).

#### 6. Quantum spinors and fuzzy quantum mechanics

The notion of quantum spinor leads to a quantum mechanical description of fuzzy probabilities. For quantum spinors state function reduction cannot be performed unless quantum deformation parameter equals to  $q = 1$ . The reason is that the components of quantum spinor do not commute: it is however possible to measure the commuting operators representing moduli squared of the components giving the probabilities associated with 'true' and 'false'. The universal eigenvalue spectrum for probabilities does not in general contain (1,0) so that quantum qbits are inherently fuzzy. State function reduction would occur only after a transition to  $q=1$  phase and decoherence is not a problem as long as it does not induce this transition.

#### Does TGD predict the spectrum of Planck constants?

The quantization of Planck constant has been the basic theme of TGD since 2005. The basic idea was stimulated by the finding of Nottale that planetary orbits could be seen as Bohr orbits with enormous

value of Planck constant given by  $\hbar_{gr} = GM_1M_2/v_0$ ,  $v_0 \simeq 2^{-11}$  for the inner planets. This inspired the ideas that quantization is due to a condensation of ordinary matter around dark matter concentrated near Bohr orbits and that dark matter is in macroscopic quantum phase in astrophysical scales. The second crucial empirical input were the anomalies associated with living matter. The revised version of the chapter represents the vision about quantization of Planck constants from a perspective given by almost five years work with the idea. A very concise summary about the situation is as follows.

1. The hierarchy of Planck constants cannot be realized without generalizing the notions of imbedding space  $M^4 \times CP_2$  and space-time since particles with different values of Planck constant cannot appear in the same interaction vertex. This suggests some kind of book like structure for both  $M^4$  and  $CP_2$  factors of the generalized imbedding space is suggestive.
2. Schrödinger equation suggests that Planck constant corresponds to a scaling factor of  $M^4$  metric whose value labels different pages of the book. The scaling of  $M^4$  coordinate so that original metric results in  $M^4$  factor is possible so that the scaling of  $\hbar$  corresponds to the scaling of the size of causal diamond  $CD$  defined as the intersection of future and past directed light-cones. The light-like 3-surfaces having their 2-D and light-boundaries of  $CD$  are in a key role in the realization of zero energy states. The infinite-D spaces formed by these 3-surfaces define the fundamental sectors of the configuration space (world of classical worlds). Since the scaling of  $CD$  does not simply scale space-time surfaces, the coding of radiative corrections to the geometry of space-time sheets becomes possible and Kähler action can be seen as expansion in powers of  $\hbar/\hbar_0$ .
3. Quantum criticality of TGD Universe is one of the key postulates of quantum TGD. The most important implication is that Kähler coupling strength is analogous to critical temperature. The exact realization of quantum criticality would be in terms of critical sub-manifolds of  $M^4$  and  $CP_2$  common to all sectors of the generalized imbedding space. Quantum criticality would mean that the two kinds of number theoretic braids assignable to  $M^4$  and  $CP_2$  projections of the partonic 2-surface belong by the definition of number theoretic braids to these critical sub-manifolds. At the boundaries of  $CD$  associated with positive and negative energy parts of zero energy state in given time scale partonic two-surfaces belong to a fixed page of the Big Book whereas light-like 3-surface decomposes into regions corresponding to different values of Planck constant much like matter decomposes to several phases at thermodynamical criticality.
4. The connection with Jones inclusions was originally a purely heuristic guess based on the observation that the finite groups characterizing Jones inclusion characterize also pages of the Big Book. The key observation is that Jones inclusions are characterized by a finite subgroup  $G \subset SU(2)$  and that this group also characterizes the singular covering or factor spaces associated with  $CD$  or  $CP_2$  so that the pages of generalized imbedding space could indeed serve as correlates for Jones inclusions. The elements of the included algebra  $\mathcal{M}$  are invariant under the action of  $G$  and  $\mathcal{M}$  takes the role of complex numbers in the resulting non-commutative quantum theory.
5. The understanding of quantum TGD at parton level led to the realization that the dynamics of Kähler action realizes finite measurement resolution in terms of finite number of modes of the induced spinor field. This automatically implies cutoffs to the representations of various super-conformal algebras typical for the representations of quantum groups closely associated with Jones inclusions. The Clifford algebra spanned by the fermionic oscillator operators would provide a realization for the factor space  $\mathcal{N}/\mathcal{M}$  of hyper-finite factors of type  $II_1$  identified as the infinite-dimensional Clifford algebra  $\mathcal{N}$  of the configuration space and included algebra  $\mathcal{M}$  determining the finite measurement resolution. The resulting quantum Clifford algebra has anti-commutation relations dictated by the fractionization of fermion number so that its unit becomes  $r = \hbar/\hbar_0$ .  $SU(2)$  Lie algebra transforms to its quantum variant corresponding to the quantum phase  $q = exp(i2\pi/r)$ .
6. Jones inclusions appear as two variants corresponding to  $\mathcal{N} : \mathcal{M} < 4$  and  $\mathcal{N} : \mathcal{M} = 4$ . The tentative interpretation is in terms of singular  $G$ -factor spaces and  $G$ -coverings of  $M^4$  or  $CP_2$  in some sense. The alternative interpretation in terms of two geodesic spheres of  $CP_2$  would mean asymmetry between  $M^4$  and  $CP_2$  degrees of freedom.



7. Number theoretic Universality suggests an answer why the hierarchy of Planck constants is necessary. One must be able to define the notion of angle -or at least the notion of phase and of trigonometric functions- also in p-adic context. All that one can achieve naturally is the notion of phase defined as root of unity and introduced by allowing algebraic extension of p-adic number field by introducing the phase if needed. In the framework of TGD inspired theory of consciousness this inspires a vision about cognitive evolution as the gradual emergence of increasingly complex algebraic extensions of p-adic numbers and involving also the emergence of improved angle resolution expressible in terms of phases  $\exp(i2\pi/n)$  up to some maximum value of  $n$ . The coverings and factor spaces would realize these phases geometrically and quantum phases  $q$  naturally assignable to Jones inclusions would realize them algebraically. Besides p-adic coupling constant evolution based on hierarchy of p-adic length scales there would be coupling constant evolution with respect to  $\hbar$  and associated with angular resolution.

### 1.5.4 PART IV: Some Applications

#### Cosmology and Astrophysics in Many-Sheeted Space-Time

This chapter is devoted to the applications of TGD to astrophysics and cosmology are discussed. In a well-defined sense classical TGD defined as the dynamics of space-time surface determining them as kind of generalized Bohr orbits can be regarded as an exact part of quantum theory and assuming quantum classical correspondence has served as an extremely valuable guideline in the attempts to interpret TGD, to form a view about what TGD really predicts, and to guess what the underlying quantum theory could be and how it deviates from standard quantum theory. Also TGD inspired cosmology and astrophysics relies on this general picture.

##### 1. Many-sheeted cosmology

The many-sheeted space-time concept, the new view about the relationship between inertial and gravitational four-momenta, the basic properties of the paired cosmic strings, the existence of the limiting temperature, the assumption about the existence of the vapor phase dominated by cosmic strings, and quantum criticality imply a rather detailed picture of the cosmic evolution, which differs from that provided by the standard cosmology in several respects but has also strong resemblances with inflationary scenario.

The most important differences are following.

a) Many-sheetedness implies cosmologies inside cosmologies Russian doll like structure with a spectrum of Hubble constants.

b) TGD cosmology is also genuinely quantal: each quantum jump in principle recreates each sub-cosmology in 4-dimensional sense: this makes possible a genuine evolution in cosmological length scales so that the use of anthropic principle to explain why fundamental constants are tuned for life is not necessary.

c) The new view about energy means that inertial energy is negative for space-time sheets with negative time orientation and that the density of inertial energy vanishes in cosmological length scales. Therefore any cosmology is in principle creatable from vacuum and the problem of initial values of cosmology disappears. The density of matter near the initial moment is dominated by cosmic strings approaches to zero so that big bang is transformed to a silent whisper amplified to a relatively big bang.

d) Dark matter hierarchy with dynamical quantized Planck constant implies the presence of dark space-time sheets which differ from non-dark ones in that they define multiple coverings of  $M^4$ . Quantum coherence of dark matter in the length scale of space-time sheet involved implies that even in cosmological length scales Universe is more like a living organism than a thermal soup of particles.

e) Sub-critical and over-critical Robertson-Walker cosmologies are fixed completely from the imbeddability requirement apart from a single parameter characterizing the duration of the period after which transition to sub-critical cosmology necessarily occurs. The fluctuations of the microwave background reflect the quantum criticality of the critical period rather than amplification of primordial fluctuations by exponential expansion. This and also the finite size of the space-time sheets predicts deviations from the standard cosmology.

##### 2. Cosmic strings

Cosmic strings belong to the basic extremals of the Kähler action. The string tension of the cosmic strings is  $T \simeq .2 \times 10^{-6}/G$  and slightly smaller than the string tension of the GUT strings and this makes them very interesting cosmologically. Concerning the understanding of cosmic strings a decisive breakthrough came through the identification of gravitational four-momentum as the difference of inertial momenta associated with matter and antimatter and the realization that the net inertial energy of the Universe vanishes. This forced to conclude cosmological constant in TGD Universe is non-vanishing. p-Adic length fractality predicts that  $\Lambda$  scales as  $1/L^2(k)$  as a function of the p-adic scale characterizing the space-time sheet. The recent value of the cosmological constant comes out correctly. The gravitational energy density described by the cosmological constant is identifiable as that associated with topologically condensed cosmic strings and of magnetic flux tubes to which they are gradually transformed during cosmological evolution.

p-Adic fractality and simple quantitative observations lead to the hypothesis that pairs of cosmic strings are responsible for the evolution of astrophysical structures in a very wide length scale range. Large voids with size of order  $10^8$  light years can be seen as structures containing knotted and linked cosmic string pairs wound around the boundaries of the void. Galaxies correspond to same structure with smaller size and linked around the supra-galactic strings. This conforms with the finding that galaxies tend to be grouped along linear structures. Simple quantitative estimates show that even stars and planets could be seen as structures formed around cosmic strings of appropriate size. Thus Universe could be seen as fractal cosmic necklace consisting of cosmic strings linked like pearls around longer cosmic strings linked like...

### 3. Dark matter and quantization of gravitational Planck constant

The notion of gravitational Planck constant having gigantic value is perhaps the most radical idea related to the astrophysical applications of TGD. D. Da Rocha and Laurent Nottale have proposed that Schrödinger equation with Planck constant  $\hbar$  replaced with what might be called gravitational Planck constant  $\hbar_{gr} = \frac{GmM}{v_0}$  ( $\hbar = c = 1$ ).  $v_0$  is a velocity parameter having the value  $v_0 = 144.7 \pm .7$  km/s giving  $v_0/c = 4.6 \times 10^{-4}$ . This is rather near to the peak orbital velocity of stars in galactic halos. Also subharmonics and harmonics of  $v_0$  seem to appear. The support for the hypothesis coming from empirical data is impressive.

Nottale and Da Rocha believe that their Schrödinger equation results from a fractal hydrodynamics. Many-sheeted space-time however suggests astrophysical systems are not only quantum systems at larger space-time sheets but correspond to a gigantic value of gravitational Planck constant. The gravitational (ordinary) Schrödinger equation would provide a solution of the black hole collapse (IR catastrophe) problem encountered at the classical level. The resolution of the problem inspired by TGD inspired theory of living matter is that it is the dark matter at larger space-time sheets which is quantum coherent in the required time scale.

TGD predicts correctly the value of the parameter  $v_0$  assuming that cosmic strings and their decay remnants are responsible for the dark matter. The harmonics of  $v_0$  can be understood as corresponding to perturbations replacing cosmic strings with their n-branched coverings so that tension becomes  $n^2$ -fold: much like the replacement of a closed orbit with an orbit closing only after  $n$  turns.  $1/n$ -sub-harmonic would result when a magnetic flux tube split into  $n$  disjoint magnetic flux tubes. An attractive solution of the matter antimatter asymmetry is based on the identification of also antimatter as dark matter.

## Overall View About TGD from Particle Physics Perspective

Topological Geometroynamics is able to make rather precise and often testable predictions. In this and two other articles I want to describe the recent over all view about the aspects of quantum TGD relevant for particle physics.

In the first chapter I concentrate the heuristic picture about TGD with emphasis on particle physics.

- First I represent briefly the basic ontology: the motivations for TGD and the notion of many-sheeted space-time, the concept of zero energy ontology, the identification of dark matter in terms of hierarchy of Planck constant which now seems to follow as a prediction of quantum TGD, the motivations for p-adic physics and its basic implications, and the identification of space-time surfaces as generalized Feynman diagrams and the basic implications of this identification.

- Symmetries of quantum TGD are discussed. Besides the basic symmetries of the imbedding space geometry allowing to geometrize standard model quantum numbers and classical fields there are many other symmetries. General Coordinate Invariance is especially powerful in TGD framework allowing to realize quantum classical correspondence and implies effective 2-dimensionality realizing strong form of holography. Super-conformal symmetries of super string models generalize to conformal symmetries of 3-D light-like 3-surfaces and one can understand the generalization of Equivalence Principle in terms of coset representations for the two super Virasoro algebras associated with lightlike boundaries of so called causal diamonds defined as intersections of future and past directed lightcones (*CDs*) and with light-like 3-surfaces. Super-conformal symmetries imply generalization of the space-time supersymmetry in TGD framework consistent with the supersymmetries of minimal supersymmetric variant of the standard model. Twistorial approach to gauge theories has gradually become part of quantum TGD and the natural generalization of the Yangian symmetry identified originally as symmetry of  $\mathcal{N} = 4$  SYMs is postulated as basic symmetry of quantum TGD.
- The so called weak form of electric-magnetic duality has turned out to have extremely far reaching consequences and is responsible for the recent progress in the understanding of the physics predicted by TGD. The duality leads to a detailed identification of elementary particles as composite objects of massless particles and predicts new electro-weak physics at LHC. Together with a simple postulate about the properties of preferred extremals of Kähler action the duality allows also to realized quantum TGD as almost topological quantum field theory giving excellent hopes about integrability of quantum TGD.
- There are two basic visions about the construction of quantum TGD. Physics as infinite-dimensional Kähler geometry of world of classical worlds (WCW) endowed with spinor structure and physics as generalized number theory. These visions are briefly summarized as also the practical constructing involving the concept of Dirac operator. As a matter fact, the construction of TGD involves three Dirac operators. The Kähler Dirac equation holds true in the interior of space-time surface and its solutions have a natural interpretation in terms of description of matter, in particular condensed matter. Chern-Simons Dirac action is associated with the light-like 3-surfaces and space-like 3-surfaces at ends of space-time surface at light-like boundaries of *CD*. One can assign to it a generalized eigenvalue equation and the matrix valued eigenvalues correspond to the the action of Dirac operator on momentum eigenstates. Momenta are however not usual momenta but pseudo-momenta very much analogous to region momenta of twistor approach. The third Dirac operator is associated with super Virasoro generators and super Virasoro conditions define Dirac equation in WCW. These conditions characterize zero energy states as modes of WCW spinor fields and code for the generalization of *S*-matrix to a collection of what I call *M*-matrices defining the rows of unitary *U*-matrix defining unitary process.
- Twistor approach has inspired several ideas in quantum TGD during the last years and it seems that the Yangian symmetry and the construction of scattering amplitudes in terms of Grassmannian integrals generalizes to TGD framework. This is due to ZEO allowing to assume that all particles have massless fermions as basic building blocks. ZEO inspires the hypothesis that incoming and outgoing particles are bound states of fundamental fermions associated with wormhole throats. Virtual particles would also consist of on mass shell massless particles but without bound state constraint. This implies very powerful constraints on loop diagrams and there are excellent hopes about their finiteness. Twistor approach also inspires the conjecture that quantum TGD allows also formulation in terms of 6-dimensional holomorphic surfaces in the product  $CP_3 \times CP_3$  of two twistor spaces and general arguments allow to identify the partial differential equations satisfied by these surfaces.

### Particle Massivation in TGD Universe

This chapter represents the most recent view about particle massivation in TGD framework. This topic is necessarily quite extended since many several notions and new mathematics is involved. Therefore the calculation of particle masses involves five chapters. In the following my goal is to provide an up-to-date summary whereas the chapters are unavoidably a story about evolution of ideas.

The identification of the spectrum of light particles reduces to two tasks: the construction of massless states and the identification of the states which remain light in p-adic thermodynamics. The latter task is relatively straightforward. The thorough understanding of the massless spectrum requires however a real understanding of quantum TGD. It would be also highly desirable to understand why p-adic thermodynamics combined with p-adic length scale hypothesis works. A lot of progress has taken place in these respects during last years.

Zero energy ontology providing a detailed geometric view about bosons and fermions, the generalization of  $S$ -matrix to what I call  $M$ -matrix, the notion of finite measurement resolution characterized in terms of inclusions of von Neumann algebras, the derivation of p-adic coupling constant evolution and p-adic length scale hypothesis from the first principles, the realization that the counterpart of Higgs mechanism involves generalized eigenvalues of the modified Dirac operator: these are represent important steps of progress during last years with a direct relevance for the understanding of particle spectrum and massivation although the predictions of p-adic thermodynamics are not affected.

During 2010 a further progress took place. These steps of progress relate closely to zero energy ontology, bosonic emergence, the realization of the importance of twistors in TGD, and to the discovery of the weak form of electric-magnetic duality. Twistor approach and the understanding of the Chern-Simons Dirac operator served as a midwife in the process giving rise to the birth of the idea that all particles at fundamental level are massless and that both ordinary elementary particles and string like objects emerge from them. Even more, one can interpret virtual particles as being composed of these massless on mass shell particles assignable to wormhole throats so that four-momentum conservation poses extremely powerful constraints on loop integrals and makes them manifestly finite.

The weak form of electric-magnetic duality led to the realization that elementary particles correspond to bound states of two wormhole throats with opposite Kähler magnetic charges with second throat carrying weak isospin compensating that of the fermion state at second wormhole throat. Both fermions and bosons correspond to wormhole contacts: in the case of fermions topological condensation generates the second wormhole throat. This means that altogether four wormhole throats are involved with both fermions, gauge bosons, and gravitons (for gravitons this is unavoidable in any case). For p-adic thermodynamics the mathematical counterpart of string corresponds to a wormhole contact with size of order  $CP_2$  size with the role of its ends played by wormhole throats at which the signature of the induced 4-metric changes. The key observation is that for massless states the throats of spin 1 particle must have opposite three-momenta so that gauge bosons are necessarily massive, even photon and other particles usually regarded as massless must have small mass which in turn cancels infrared divergences and give hopes about exact Yangian symmetry generalizing that of  $\mathcal{N} = 4$  SYM. Besides this there is weak "stringy" contribution to the mass assignable to the magnetic flux tubes connecting the two wormhole throats at the two space-time sheets.

### 1. Physical states as representations of super-symplectic and Super Kac-Moody algebras

Physical states are assumed to belong to the representation of super-symplectic algebra and Super Kac-Moody algebra assignable  $SO(2) \times SU(3) \times SU(2)_{rot} \times U(2)_{ew}$  associated with the 2-D surfaces  $X^2$  defined by the intersections of light-like 3-surfaces with  $\delta M_{\pm}^4 \times CP_2$ . These 2-surfaces have interpretation as partons.

Yangian algebras associated with the super-conformal algebras and motivated by twistorial approach generalize the super-conformal symmetry and make it multi-local in the sense that generators can act on several partonic 2-surfaces simultaneously. These partonic 2-surfaces generalize the vertices for the external massless particles in twistor Grassmann diagrams [32]. The implications of this symmetry are yet to be deduced but one thing is clear: Yangians are tailor made for the description of massive bound states formed from several partons identified as partonic 2-surfaces. The preliminary discussion of what is involved can be found in [32].

### 2. Particle massivation

Particle massivation can be regarded as a generation of thermal conformal weight identified as mass squared and due to a thermal mixing of a state with vanishing conformal weight with those having higher conformal weights. The observed mass squared is not p-adic thermal expectation of mass squared but that of conformal weight so that there are no problems with Lorentz invariance.

One can imagine several microscopic mechanisms of massivation. The following proposal is the winner in the fight for survival between several competing scenarios.

1. Instead of energy, the Super Kac-Moody Virasoro (or equivalently super-symplectic) generator  $L_0$  (essentially mass squared) is thermalized in p-adic thermodynamics (and also in its real version assuming it exists). The fact that mass squared is thermal expectation of conformal weight guarantees Lorentz invariance. That mass squared, rather than energy, is a fundamental quantity at  $CP_2$  length scale is also suggested by a simple dimensional argument (Planck mass squared is proportional to  $\hbar$  so that it should correspond to a generator of some Lie-algebra (Virasoro generator  $L_0!$ )).
2. By Equivalence Principle the thermal average of mass squared can be calculated either in terms of thermodynamics for either super-symplectic of Super Kac-Moody Virasoro algebra and p-adic thermodynamics is consistent with conformal invariance.
3. There is also a modular contribution to the mass squared, which can be estimated using elementary particle vacuum functionals in the conformal modular degrees of freedom of the partonic 2-surface. It dominates for higher genus partonic 2-surfaces. For bosons both Virasoro and modular contributions seem to be negligible and could be due to the smallness of the p-adic temperature.
4. A long standing problem has been whether coupling to Higgs boson is needed to explain gauge boson masses via a generation of Higgs vacuum expectation having possibly interpretation in terms of a coherent state. The deviation  $\Delta h$  of the total ground state conformal weight from negative integer gives rise to Higgs type contribution to the thermal mass squared and dominates in case of gauge bosons for which p-adic temperature is small. In the case of fermions this contribution to the mass squared is small. It is natural to relate  $\Delta h$  to the generalized eigenvalues of Chern-Simons Dirac operator.
5. A natural identification of the non-integer contribution to the conformal weight is as Higgsy and stringy contributions to the vacuum conformal weight (strings are now "weak strings"). In twistor approach the generalized eigenvalues of Chern-Simons Dirac operator for external particles indeed correspond to light-like momenta and when the three-momenta are opposite this gives rise to non-vanishing mass. Higgs is necessary to give longitudinal polarizations for gauge bosons and also gauge bosons usually regarded as exactly massless particles would naturally receive small mass in this manner so that Higgs would disappear completely from the spectrum. The theoretical motivation for a small mass would be exact Yangian symmetry. Higgs vacuum expectation assignable to coherent state of Higgs bosons is not needed to explain the boson masses. Twistorial consideration suggest that Higgs disappears completely from the spectrum and this might happen also for its super counterpart.
6. Hadron massivation requires the understanding of the CKM mixing of quarks reducing to different topological mixing of U and D type quarks. Number theoretic vision suggests that the mixing matrices are rational or algebraic and this together with other constraints gives strong constraints on both mixing and masses of the mixed quarks.

p-Adic thermodynamics is what gives to this approach its predictive power.

1. p-Adic temperature is quantized by purely number theoretical constraints (Boltzmann weight  $\exp(-E/kT)$  is replaced with  $p^{L_0/T_p}$ ,  $1/T_p$  integer) and fermions correspond to  $T_p = 1$  whereas  $T_p = 1/n$ ,  $n > 1$ , seems to be the only reasonable choice for gauge bosons.
2. p-Adic thermodynamics forces to conclude that  $CP_2$  radius is essentially the p-adic length scale  $R \sim L$  and thus of order  $R \simeq 10^{3.5} \sqrt{\hbar G}$  and therefore roughly  $10^{3.5}$  times larger than the naive guess. Hence p-adic thermodynamics describes the mixing of states with vanishing conformal weights with their Super Kac-Moody Virasoro excitations having masses of order  $10^{-3.5}$  Planck mass.

### New Physics Predicted by TGD

TGD predicts a lot of new physics and it is quite possible that this new physics becomes visible at LHC. Although the calculational formalism is still lacking, p-adic length scale hypothesis allows to make precise quantitative predictions for particle masses by using simple scaling arguments.

The basic elements of quantum TGD responsible for new physics are following.

1. The new view about particles relies on their identification as partonic 2-surfaces (plus 4-D tangent space data to be precise). This effective metric 2-dimensionality implies generalization of the notion of Feynman diagram and holography in strong sense. One implication is the notion of field identity or field body making sense also for elementary particles and the Lamb shift anomaly of muonic hydrogen could be explained in terms of field bodies of quarks.
2. The topological explanation for family replication phenomenon implies genus generation correspondence and predicts in principle infinite number of fermion families. One can however develop a rather general argument based on the notion of conformal symmetry known as hyperellipticity stating that only the genera  $g = 0, 1, 2$  are light. What "light" means is however an open question. If light means something below  $CP_2$  mass there is no hope of observing new fermion families at LHC. If it means weak mass scale situation changes.

For bosons the implications of family replication phenomenon can be understood from the fact that they can be regarded as pairs of fermion and antifermion assignable to the opposite wormhole throats of wormhole throat. This means that bosons formally belong to octet and singlet representations of dynamical  $SU(3)$  for which 3 fermion families define 3-D representation. Singlet would correspond to ordinary gauge bosons. Also interacting fermions suffer topological condensation and correspond to wormhole contact. One can either assume that the resulting wormhole throat has the topology of sphere or that the genus is same for both throats.

3. The view about space-time supersymmetry differs from the standard view in many respects. First of all, the super symmetries are not associated with Majorana spinors. Super generators correspond to the fermionic oscillator operators assignable to leptonic and quark-like induced spinors and there is in principle infinite number of them so that formally one would have  $\mathcal{N} = \infty$  SUSY. I have discussed the required modification of the formalism of SUSY theories and it turns out that effectively one obtains just  $\mathcal{N} = 1$  SUSY required by experimental constraints. The reason is that the fermion states with higher fermion number define only short range interactions analogous to van der Waals forces. Right handed neutrino generates this super-symmetry broken by the mixing of the  $M^4$  chiralities implied by the mixing of  $M^4$  and  $CP_2$  gamma matrices for induced gamma matrices. The simplest assumption is that particles and their superpartners obey the same mass formula but that the p-adic length scale can be different for them.
4. The new view about particle massivation involves besides p-adic thermodynamics also Higgs but there is no need to assume that Higgs vacuum expectation plays any role. The most natural option favored by the assumption that elementary bosons are bound states of massless elementary fermions, by twistorial considerations, and by the fact that both gauge bosons and Higgs form  $SU(2)$  triplet and singlet, predicts that also photon and other massless gauge bosons develop small mass so that all Higgs particles and their colored variants would disappear from spectrum. Same could happen for Higgsinos.
5. One of the basic distinctions between TGD and standard model is the new view about color.
  - (a) The first implication is separate conservation of quark and lepton quantum numbers implying the stability of proton against the decay via the channels predicted by GUTs. This does not mean that proton would be absolutely stable. p-Adic and dark length scale hierarchies indeed predict the existence of scale variants of quarks and leptons and proton could decay to hadons of some zoomed up copy of hadrons physics. These decays should be slow and presumably they would involve phase transition changing the value of Planck constant characterizing proton. It might be that the simultaneous increase of Planck constant for all quarks occurs with very low rate.
  - (b) Also color excitations of leptons and quarks are in principle possible. Detailed calculations would be required to see whether their mass scale is given by  $CP_2$  mass scale. The so called leptohadron physics proposed to explain certain anomalies associated with both electron, muon, and  $\tau$  lepton could be understood in terms of color octet excitations of leptons.
6. Fractal hierarchies of weak and hadronic physics labelled by p-adic primes and by the levels of dark matter hierarchy are highly suggestive. Ordinary hadron physics corresponds to  $M_{107} = 2^{107} - 1$ . One especially interesting candidate would be scaled up hadronic physics which would

correspond to  $M_{89} = 2^{89} - 1$  defining the p-adic prime of weak bosons. The corresponding string tension is about 512 GeV and it might be possible to see the first signatures of this physics at LHC. Nuclear string model in turn predicts that nuclei correspond to nuclear strings of nucleons connected by colored flux tubes having light quarks at their ends. The interpretation might be in terms of  $M_{127}$  hadron physics. In biologically most interesting length scale range 10 nm-2.5  $\mu\text{m}$  there are four Gaussian Mersennes and the conjecture is that these and other Gaussian Mersennes are associated with zoomed up variants of hadron physics relevant for living matter. Cosmic rays might also reveal copies of hadron physics corresponding to  $M_{61}$  and  $M_{31}$

7. Weak form of electric magnetic duality implies that the fermions and antifermions associated with both leptons and bosons are Kähler magnetic monopoles accompanied by monopoles of opposite magnetic charge and with opposite weak isospin. For quarks Kähler magnetic charge need not cancel and cancellation might occur only in hadronic length scale. The magnetic flux tubes behave like string like objects and if the string tension is determined by weak length scale, these string aspects should become visible at LHC. If the string tension is 512 GeV the situation becomes less promising.

In this chapter the predicted new physics and possible indications for it are discussed.





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## Part I

# GENERAL OVERVIEW



## Chapter 2

# Topological Geometrodynamics: Three Visions

### 2.1 Introduction

Originally Topological Geometrodynamics (TGD) was proposed as a solution of the problems related to the definition of conserved four-momentum in General Relativity. It was assumed that physical space-times are representable as 4-D surfaces in certain higher-dimensional space-time having symmetries of the empty Minkowski space of Special Relativity. This is guaranteed by the decomposition  $H = M^4 \times S$ , where  $S$  is some compact internal space. It turned out that the choice  $S = CP_2$  is unique in the sense that it predicts the symmetries of the standard model and provides a realization for Einstein's dream of geometrizing of fundamental interactions at classical level. TGD can be also regarded as a generalization of super string models obtained by replacing strings with light-like 3-surfaces or equivalently with space-like 3-surfaces: the equivalence of these identification implies quantum holography.

The construction of quantum TGD turned out to be much more than mere technical problem of deriving S-matrix from path integral formalism. A new ontology of physics (many-sheeted space-time, zero energy ontology, generalization of the notion of number, and generalization of quantum theory based on spectrum of Planck constants giving hopes to understand what dark matter and dark energy are) and also a generalization of quantum measurement theory leading to a theory of consciousness and model for quantum biology providing new insights to the mysterious ability of living matter to circumvent the constraints posed by the second law of thermodynamics were needed. The construction of quantum TGD involves a handful of different approaches consistent with a similar overall view, and one can say that the construction of M-matrix, which generalizes the S-matrix of quantum field theories, is understood to a satisfactory degree although it is not possible to write even in principle explicit Feynman rules except at quantum field theory limit [21, 18].

In this chapter I will discuss three basic visions about quantum Topological Geometrodynamics (TGD). It is somewhat matter of taste which idea one should call a vision and the selection of these three in a special role is what I feel natural just now.

1. The first vision is generalization of Einstein's geometrization program based on the idea that the Kähler geometry of the world of classical worlds (WCW) with physical states identified as classical spinor fields on this space would provide the ultimate formulation of physics [2].
2. Second vision is number theoretical [6] and involves three threads.
  - (a) The first thread [24] relies on the idea that it should be possible to fuse real number based physics and physics associated with various p-adic number fields to single coherent whole by a proper generalization of number concept.
  - (b) Second thread [19] is based on the hypothesis that classical number fields could allow to understand the fundamental symmetries of physics and imply quantum TGD from purely number theoretical premises with associativity defining the fundamental dynamical principle both classically and quantum mechanically.

- (c) The third thread [16] relies on the notion of infinite primes whose construction has amazing structural similarities with second quantization of super-symmetric quantum field theories. In particular, the hierarchy of infinite primes and integers allows to generalize the notion of numbers so that given real number has infinitely rich number theoretic anatomy based on the existence of infinite number of real units. This implies number theoretical Brahman=Atman identity or number theoretical holography when one consider hyper-octonionic infinite primes.
- (d) The third vision is based on TGD inspired theory of consciousness [8], which can be regarded as an extension of quantum measurement theory to a theory of consciousness raising observer from an outsider to a key actor of quantum physics. The basic notions at quantum jump identified as a moment of consciousness and self. Negentropy Maximization Principle (NMP) defines the fundamental variational principle and reproduces standard quantum measurement theory and predicts second law but also some totally new physics in the intersection of real and p-adic worlds where it is possible to define a hierarchy of number theoretical variants of Shannon entropy which can be also negative. In this case NMP favors the generation of entanglement and state function reduction does not mean generation of randomness anymore. This vision has obvious almost applications to biological self-organization.

My aim is to provide a bird's eye of view and my hope is that reader would take the attitude that details which cannot be explained in this kind of representation are not essential for the purpose of getting a feeling about the great dream behind TGD.

## 2.2 Quantum physics as infinite-dimensional geometry

The first vision in its original form is a the generalization of Einstein's program for the geometrization of physics by replacing space-time with the WCW identified roughly as the space of 4-surfaces in  $H = M^4 \times CP_2$ . Later generalization due to replacement of  $H$  with book like structures from by real and p-adic variants of  $H$  emerged. A further book like structure of imbedding space emerged via the introduction of the hierarchy of Planck constants. These generalizations do not however add anything new to the basic geometric vision.

### 2.2.1 World of the classical worlds as the arena of quantum physics

Physics as the classical spinor field geometry of WCW consisting of light-like 3-surfaces in 8-D imbedding space  $H = M^4 \times CP_2$  (to be referred as configuration space  $CH$  or WCW in the sequel) is the oldest and best developed approach to TGD and means generalization of Einstein's program of geometrizing classical physics so that it applies to entire quantum physics [2]. There are two natural identifications for the 3-surfaces.

1. By general coordinate invariance light-like 3-surfaces can be identified as wormhole throats at which the signature of the induced metric changes from a Minkowskian signature of space-time sheet to that of deformed  $CP_2$  type vacuum extremal representing elementary particle. One can interpret so called  $CP_2$  type vacuum extremals as lines of generalized Feynman diagrams so that geometrization and generalization of the notion of Feynman diagram emerges.
2. In zero energy ontology causal diamonds ( $CD$ s) of  $M^4$  defined as intersection of future and past directed light-cones become define basic building bricks of WCW. The space-time surfaces belonging to  $CD$  having their 3-D future and past ends at the light-like boundaries of  $CD$  become the basic objects. The ends are 3-surfaces are space-like and come in pairs. WCW decomposes into a union over sub-WCWs associated with various  $CD$ s and their unions and the space-like ends of the space-time sheets at future and past boundaries of  $CD$  become very natural fundamental objects.

The condition that the two identifications of 3-surfaces are equivalent implies that all information about the geometry of WCW and quantum physics is coded by the 2-dimensional intersections of the



space-like and light-like 3-surfaces at the boundaries of  $CDs$  plus the information about the distribution of 4-D tangent spaces of the space-time sheet at these surfaces. I have christened partonic 2-surfaces since they are carriers of various quantum numbers. Therefore 4-D General Coordinate invariance implies effective 2-dimensionality and quantum holography. The effective two-dimensionality implies also by general consistency conditions related to conformal symmetries: this became obvious much before the emergence of zero energy ontology and led to interpretational difficulties at that time. The non-determinism of Kähler action defining space-time dynamics in the standard sense of the world implies that effective 2-dimensionality holds only locally.

WCW is endowed with Kähler metric guaranteeing the geometrization of hermitian conjugation of quantum theory.

1. The conjecture inspired by the geometry of loop spaces [68] is that  $H$  is fixed from the mere requirement that the infinite-dimensional Kähler geometry exists. WCW must reduce to a union of symmetric spaces having infinite-dimensional isometry groups and labeled by zero modes having interpretation as classical dynamical variables. This requires infinite-dimensional symmetry groups. At space-time level super-conformal symmetries are possible only if the basic dynamical objects can be identified as light-like or space-like 3-surfaces. At imbedding space level there are extended super-conformal symmetries assignable to the light-cone of  $H$  if the Minkowski space factor is four-dimensional.

The recent progress in the understanding of the representations of super-conformal symmetries leads to a beautiful generalization of Equivalence Principle in terms of Super Virasoro conditions for the coset construction involving the super-symplectic algebras associated with conformal symmetries of the light-cone of Minkowski space and super Kac-Moody symmetries associated with light-like 3-surfaces [31]. Einstein's equations result at long length scale limit [32]. A string model type description emerges in a finite measurement resolution when light-like 3-surfaces are replaced by braids. This means also quantum holography. General Coordinate Invariance implies that classical space-time physics becomes an exact part of quantum theory in the sense that space-time sheets are analogous to Bohr orbits.

2. The condition that the symmetries of standard model are realized geometrically and that one can understand the known quantum numbers characterizing elementary particles in terms of the geometry of the imbedding space, leads to a unique choice for the imbedding space as  $H = M^4 \times CP_2$ . The challenge is to understand what makes this choice so special and number theoretic approach based on classical number fields allows to interpret this choice number theoretically so that the standard model symmetries find a number theoretical interpretation.

### 2.2.2 Geometrization of fermionic statistics in terms of configuration space spinor structure

The great vision has been that the second quantization of the induced spinor fields can be understood geometrically in terms of the configuration space spinor structure in the sense that the anti-commutation relations for configuration space gamma matrices require anti-commutation relations for the oscillator operators for free second quantized induced spinor fields defined at space-time surface.

1. One must identify the counterparts of second quantized fermion fields as objects closely related to the configuration space spinor structure. Ramond model [68] has as its basic field the anti-commuting field  $\Gamma^k(x)$ , whose Fourier components are analogous to the gamma matrices of the configuration space and which behaves like a spin 3/2 fermionic field rather than a vector field. This suggests that they are analogous to spin 3/2 fields and therefore expressible in terms of the fermionic oscillator operators so that they naturally derive from the anti-commutativity of the fermionic oscillator operators.

Configuration space spinor fields can have arbitrary fermion number and there are good hopes of describing the whole physics in terms of configuration space spinor field. Clearly, fermionic oscillator operators would act in degrees of freedom analogous to the spin degrees of freedom of the ordinary spinor and bosonic oscillator operators would act in degrees of freedom analogous to the 'orbital' degrees of freedom of the ordinary spinor field. One non-trivial implication is bosonic emergence: elementary bosons correspond to fermion antifermion bound states associated with

the wormhole contacts (pieces of  $CP_2$  type vacuum extremals) with throats carrying fermion and antifermion numbers. Fermions correspond to single throats associated with topologically condensed  $CP_2$  type vacuum extremals.

2. The classical theory for the bosonic fields is an essential part of the configuration space geometry. It would be very nice if the classical theory for the spinor fields would be contained in the definition of the configuration space spinor structure somehow. The properties of the associated with the induced spinor structure are indeed very physical. The modified massless Dirac equation for the induced spinors predicts a separate conservation of baryon and lepton numbers. The differences between quarks and leptons result from the different couplings to the  $CP_2$  Kähler potential. In fact, these properties are shared by the solutions of massless Dirac equation of the imbedding space.
3. Since TGD should have a close relationship to the ordinary quantum field theories it would be highly desirable that the second quantized free induced spinor field would somehow appear in the definition of the configuration space geometry. This is indeed true if the complexified configuration space gamma matrices are linearly related to the oscillator operators associated with the second quantized induced spinor field on the space-time surface and its boundaries. There is actually no deep reason forbidding the gamma matrices of the configuration space to be spin half odd-integer objects whereas in the finite-dimensional case this is not possible in general. In fact, in the finite-dimensional case the equivalence of the spinorial and vectorial vielbeins forces the spinor and vector representations of the vielbein group  $SO(D)$  to have same dimension and this is possible for  $D = 8$ -dimensional Euclidian space only. This coincidence might explain the success of 10-dimensional super string models for which the physical degrees of freedom effectively correspond to an 8-dimensional Euclidian space.
4. It took a long time to realize that the ordinary definition of the gamma matrix algebra in terms of the anti-commutators  $\{\gamma_A, \gamma_B\} = 2g_{AB}$  must in TGD context be replaced with

$$\{\gamma_A^\dagger, \gamma_B\} = iJ_{AB} \ ,$$

where  $J_{AB}$  denotes the matrix elements of the Kähler form of the configuration space. The presence of the Hermitian conjugation is necessary because configuration space gamma matrices carry fermion number. This definition is numerically equivalent with the standard one in the complex coordinates. The realization of this delicacy is necessary in order to understand how the square of the configuration space Dirac operator comes out correctly.

### 2.2.3 Construction of the configuration space Clifford algebra in terms of second quantized induced spinor fields

The construction of WCW spinor structure must have a direct relationship to quantum physics as it is usually understood. The second quantization of the space-time spinor fields is needed to define the anticommutative gamma matrices of WCW: this means a geometrization of Fermi statistics [31] in the sense that free fermionic quantum fields at space-time surface correspond to purely classical Clifford algebra of WCW. This is in accordance with the idea that physics at WCW level is purely classical apart from the notion of quantum jump.

The identification of the correct variational principle for the dynamics of space-time spinor fields identified as induced spinor fields has involved many trials. Ironically, the final outcome was almost the most obvious guess. The so called modified Dirac action (the obvious guess) with measurement interaction term (required by quantum classical correspondence) added defines the fundamental dynamics providing space-time representation of quantum physics via classical space-time physics [18]. One can identify the vacuum functional -exponent of Kähler function of WCW- as a Dirac determinant. The conjecture is that Kähler function equals to Kähler action for a preferred extrema, which by internal consistency conditions must be critical in the sense that it allows infinite number of vanishing second variations. This realizes the notion of quantum criticality-one of guiding principles of quantum TGD-at space-time level.

Number theoretical approach in turn leads to the conclusion that space-time surfaces are either associative or co-associative in the sense that the modified gamma matrices at each point of space-time surface in their octonionic representation reduces to a quaternionic or co-quaternionic algebra and therefore have matrix representation. The conjecture is that these identifications of space-time dynamics are consistent or even equivalent.

The recent understanding of the modified Dirac action has emerged through a painful process and has strong physical implications.

1. Stringy propagators and emerge naturally thanks to the measurement interaction term in the modified Dirac action coupling to four-momentum and color hyper-charge and isospin.
2. The space-time super-symmetry generalizes to what might be called  $\mathcal{N} = \infty$  supersymmetry which however effectively reduces to  $\mathcal{N} = 1$  broken super-symmetry [susy]. The generators of the super-symmetry correspond to the modes of the induced spinor field at space-time sheet. Bosonic emergence means dramatic simplifications in the formulation of QFT limit of TGD. This formulation should generalize also to the level of the fundamental theory.
3. It is also possible to generalize the twistor program to TGD framework if one accepts the use of octonionic representation of the gamma matrices of imbedding space and hyper-quaternionicity of space-time surfaces [40].

### 2.2.4 Zero energy ontology and WCW geometry

In the zero energy ontology quantum states have vanishing net values of conserved quantum numbers and decompose to superposition of pairs of positive and negative energy states defining counterparts of initial and final states of a physical event in standard ontology.

#### Zero energy ontology

Zero energy ontology was forced by the interpretational problems created by the vacuum extremal property of Robertson-Walker cosmologies imbedded as 4-surfaces in  $M^4 \times CP_2$  meaning that the density of inertial mass (but not gravitational mass) for these cosmologies was vanishing meaning a conflict with Equivalence Principle. In zero energy ontology physical states are replaced by pairs of positive and negative energy states assigned to the past *resp.* future boundaries of causal diamonds defined as pairs of future and past directed light-cones ( $\delta M_{\pm}^4 \times CP_2$ ). The net values of all conserved quantum numbers of zero energy states vanish. Zero energy states are interpreted as pairs of initial and final states of a physical event such as particle scattering so that only events appear in the new ontology. It is possible to speak about the energy of the system if one identifies it as the average positive energy for the positive energy part of the system. Same applies to other quantum numbers.

The matrix ("M-matrix") representing time-like entanglement coefficients between positive and negative energy states unifies the notions of S-matrix and density matrix since it can be regarded as a complex square root of density matrix expressible as a product of real squared of density matrix and unitary S-matrix. The system can be also in thermal equilibrium so that thermodynamics becomes a genuine part of quantum theory and thermodynamical ensembles cease to be practical fictions of the theorist. In this case M-matrix represents a superposition of zero energy states for which positive energy state has thermal density matrix.

Zero energy ontology combined with the notion of quantum jump resolves several problems. For instance, the troublesome questions about the initial state of universe and about the values of conserved quantum numbers of the Universe can be avoided since everything is in principle creatable from vacuum. Communication with the geometric past using negative energy signals and time-like entanglement are crucial for the TGD inspired quantum model of memory and both make sense in zero energy ontology. Zero energy ontology leads to a precise mathematical characterization of the finite resolution of both quantum measurement and sensory and cognitive representations in terms of inclusions of von Neumann algebras known as hyperfinite factors of type  $II_1$ . The space-time correlate for the finite resolution is discretization which appears also in the formulation of quantum TGD.

### Causal diamonds

The imbedding space correlates for zero energy ontology are causal diamonds ( $CD$ s)  $CD$  serves as the correlate zero energy state at imbedding space-level whereas space-time sheets having their ends at the light-like boundaries of  $CD$  are the correlates of the system at the level of 4-D space-time. Zero energy state can be regarded as a quantum superposition of space-time sheets with fermionic and other quantum numbers assignable to the partonic 2-surfaces at the ends of the space-time sheets.

1. The basic construct in the zero energy ontology is the space  $CD \times CP_2$ , where the causal diamond  $CD$  is defined as an intersection of future and past directed light-cones with time-like separation between their tips regarded as points of the underlying universal Minkowski space  $M^4$ . In zero energy ontology physical states correspond to pairs of positive and negative energy states located at the boundaries of the future and past directed light-cones of a particular  $CD$ .
2.  $CD$ s form a fractal hierarchy and one can glue smaller  $CD$ s within larger  $CD$ s. Also unions of  $CD$ s are possible.
3. Without any restrictions  $CD$ s would be parametrized by the position of say lower tip of  $CD$  and by the relative  $M^4$  coordinates of the upper tip with respect to the lower one so that the moduli space would be  $M^4 \times M^4_+$ . p-Adic length scale hypothesis follows if the values of temporal distance  $T$  between tips of  $CD$  come in powers of  $2^n$ :  $T = 2^n T_0$ . This would reduce the future light-cone  $M^4_+$  reduces to a union of hyperboloids with quantized value of light-cone proper time. A possible interpretation of this distance is as a quantized cosmic time. Also the quantization of the hyperboloids to a lattices of discrete points classified by discrete sub-groups of Lorentz group is an attractive proposal and the quantization of cosmic redshifts provides some support for it.

Zero energy ontology forces to replaced the original WCW by a union of WCWs associated with  $CD$ s and their unions. This does not however mean any problems of principle since Clifford algebras are simply tensor products of the Clifford algebras of  $CD$ s for the unions of  $CD$ s.

### 2.2.5 Hierarchy of Planck constants and WCW geometry

The motivations for introducing the hierarchy of Planck constants interpreted in terms of phases of dark matter came from astrophysics [109, 30, 38] and biology [44] and led to a generalization of the imbedding space to a book like structure [35]. This implies additional richness of structure at the level of geometry of WCW. In the following the recent view about structure of imbedding space forced by the quantization of Planck constant is summarized.

#### The evolution of physical ideas about hierarchy of Planck constants

The evolution of the physical ideas related to the hierarchy of Planck constants and dark matter as a hierarchy of phases of matter with non-standard value of Planck constants was much faster than the evolution of mathematical ideas and quite a number of applications have been developed during last five years [35, 17, 30].

1. The starting point was the proposal of Nottale [109] that the orbits of inner planets correspond to Bohr orbits with Planck constant  $\hbar_{gr} = GMm/v_0$  and outer planets with Planck constant  $\hbar_{gr} = 5GMm/v_0$ ,  $v_0/c \simeq 2^{-11}$ . The basic proposal [30] was that ordinary matter condenses around dark matter which is a phase of matter characterized by a non-standard value of Planck constant whose value is gigantic for the space-time sheets mediating gravitational interaction. The interpretation of these space-time sheets could be as magnetic flux quanta or as massless extremals assignable to gravitons.
2. Ordinary particles possibly residing at these space-time sheet have enormous value of Compton length meaning that the density of matter at these space-time sheets must be very slowly varying. The string tension of string like objects implies effective negative pressure characterizing dark energy so that the interpretation in terms of dark energy might make sense [29]. TGD predicted a one-parameter family of Robertson-Walker cosmologies with critical or over-critical mass density and the "pressure" associated with these cosmologies is negative.

3. The quantization of Planck constant does not make sense unless one modifies the view about standard space-time is. Particles with different Planck constant must belong to different worlds in the sense local interactions of particles with different values of  $\hbar$  are not possible. This inspires the idea about the book like structure of the imbedding space obtained by gluing almost copies of  $H$  together along common "back" and partially labeled by different values of Planck constant.
4. Darkness is a relative notion in this framework and due to the fact that particles at different pages of the book like structure cannot appear in the same vertex of the generalized Feynman diagram. The phase transitions in which partonic 2-surface  $X^2$  during its travel along  $X_l^3$  leaks to another page of book are however possible and change Planck constant. Particle (say photon -) exchanges of this kind allow particles at different pages to interact. The interactions are strongly constrained by charge fractionization and are essentially phase transitions involving many particles. Classical interactions are also possible. It might be that we are actually observing dark matter via classical fields all the time and perhaps have even photographed it [35].
5. The realization that non-standard values of Planck constant give rise to charge and spin fractionization and anyonization led to the precise identification of the prerequisites of anyonic phase [17]. If the partonic 2-surface, which can have even astrophysical size, surrounds the tip of  $CD$ , the matter at the surface is anyonic and particles are confined at this surface. Dark matter could be confined inside this kind of light-like 3-surfaces around which ordinary matter condenses. If the radii of the basic pieces of these nearly spherical anyonic surfaces - glued to a connected structure by flux tubes mediating gravitational interaction - are given by Bohr rules, the findings of Nottale [109] can be understood. Dark matter would resemble to a high degree matter in black holes replaced in TGD framework by light-like partonic 2-surfaces with a minimum size of order Schwarzschild radius  $r_S$  of order scaled up Planck length  $l_{Pl} = \sqrt{\hbar_{gr}G} = GM$ . Black hole entropy is inversely proportional to  $\hbar$  and predicted to be of order unity so that dramatic modification of the picture about black holes is implied.
6. Perhaps the most fascinating applications are in biology. The anomalous behavior ionic currents through cell membrane (low dissipation, quantal character, no change when the membrane is replaced with artificial one) has a natural explanation in terms of dark supra currents. This leads to a vision about how dark matter and phase transitions changing the value of Planck constant could relate to the basic functions of cell, functioning of DNA and aminoacids, and to the mysteries of bio-catalysis. This leads also a model for EEG interpreted as a communication and control tool of magnetic body containing dark matter and using biological body as motor instrument and sensory receptor. One especially amazing outcome is the emergence of genetic code of vertebrates from the model of dark nuclei as nuclear strings [25, 35].

### The most general option for the generalized imbedding space

Simple physical arguments pose constraints on the choice of the most general form of the imbedding space.

1. The fundamental group of the space for which one constructs a non-singular covering space or factor space should be non-trivial. This is certainly not possible for  $M^4$ ,  $CD$ ,  $CP_2$ , or  $H$ . One can however construct singular covering spaces. The fixing of the quantization axes implies a selection of the sub-space  $H_4 = M^2 \times S^2 \subset M^4 \times CP_2$ , where  $S^2$  is geodesic sphere of  $CP_2$ .  $\hat{M}^4 = M^4 \setminus M^2$  and  $\hat{CP}_2 = CP_2 \setminus S^2$  have fundamental group  $Z$  since the codimension of the excluded sub-manifold is equal to two and homotopically the situation is like that for a punctured plane. The exclusion of these sub-manifolds defined by the choice of quantization axes could naturally give rise to the desired situation.
2.  $CP_2$  allows two geodesic spheres which left invariant by  $U(2)$  *resp.*  $SO(3)$ . The first one is homologically non-trivial. For homologically non-trivial geodesic sphere  $H_4 = M^2 \times S^2$  represents a straight cosmic string which is non-vacuum extremal of Kähler action (not necessarily preferred extremal). One can argue that the many-valuedness of  $\hbar$  is un-acceptable for non-vacuum extremals so that only homologically trivial geodesic sphere  $S^2$  would be acceptable. One could go even further. If the extremals in  $M^2 \times CP_2$  can be preferred non-vacuum extremals, the singular coverings of  $M^4$  are not possible. Therefore only the singular coverings and factor spaces of

$CP_2$  over the homologically trivial geodesic sphere  $S^2$  would be possible. This however looks a non-physical outcome.

- (a) The situation changes if the extremals of type  $M^2 \times Y^2$ ,  $Y^2$  a holomorphic surface of  $CP_3$ , fail to be hyperquaternionic. The tangent space  $M^2$  represents hypercomplex sub-space and the product of the modified gamma matrices associated with the tangent spaces of  $Y^2$  should belong to  $M^2$  algebra. This need not be the case in general.
  - (b) The situation changes also if one reinterprets the gluing procedure by introducing scaled up coordinates for  $M^4$  so that metric is continuous at  $M^2 \times CP_2$  but  $CD$ s with different size have different sizes differing by the ratio of Planck constants and would thus have only piece of lower or upper boundary in common.
3. For the more general option one would have four different options corresponding to the Cartesian products of singular coverings and factor spaces. These options can be denoted by  $C-C$ ,  $C-F$ ,  $F-C$ , and  $F-F$ , where  $C$  ( $F$ ) signifies for covering (factor space) and first (second) letter signifies for  $CD$  ( $CP_2$ ) and correspond to the spaces  $(\hat{C}D \hat{\times} G_a) \times (\hat{C}P_2 \hat{\times} G_b)$ ,  $(\hat{C}D \hat{\times} G_a) \times \hat{C}P_2/G_b$ ,  $\hat{C}D/G_a \times (\hat{C}P_2 \hat{\times} G_b)$ , and  $\hat{C}D/G_a \times \hat{C}P_2/G_b$ .
  4. The groups  $G_i$  could correspond to cyclic groups  $Z_n$ . One can also consider an extension by replacing  $M^2$  and  $S^2$  with its orbit under more general group  $G$  (say tetrahedral, octahedral, or icosahedral group). One expects that the discrete subgroups of  $SU(2)$  emerge naturally in this framework if one allows the action of these groups on the singular sub-manifolds  $M^2$  or  $S^2$ . This would replace the singular manifold with a set of its rotated copies in the case that the subgroups have genuinely 3-dimensional action (the subgroups which corresponds to exceptional groups in the ADE correspondence). For instance, in the case of  $M^2$  the quantization axes for angular momentum would be replaced by the set of quantization axes going through the vertices of tetrahedron, octahedron, or icosahedron. This would bring non-commutative homotopy groups into the picture in a natural manner.

### About the phase transitions changing Planck constant

There are several non-trivial questions related to the details of the gluing procedure and phase transition as motion of partonic 2-surface from one sector of the imbedding space to another one.

1. How the gluing of copies of imbedding space at  $M^2 \times CP_2$  takes place? It would seem that the covariant metric of  $CD$  factor proportional to  $\hbar^2$  must be discontinuous at the singular manifold since only in this manner the idea about different scaling factor of  $CD$  metric can make sense. On the other hand, one can always scale the  $M^4$  coordinates so that the metric is continuous but the sizes of  $CD$ s with different Planck constants differ by the ratio of the Planck constants.
2. One might worry whether the phase transition changing Planck constant means an instantaneous change of the size of partonic 2-surface in  $M^4$  degrees of freedom. This is not the case. Light-likeness in  $M^2 \times S^2$  makes sense only for surfaces  $X^1 \times D^2 \subset M^2 \times S^2$ , where  $X^1$  is light-like geodesic. The requirement that the partonic 2-surface  $X^2$  moving from one sector of  $H$  to another one is light-like at  $M^2 \times S^2$  irrespective of the value of Planck constant requires that  $X^2$  has single point of  $M^2$  as  $M^2$  projection. Hence no sudden change of the size  $X^2$  occurs.
3. A natural question is whether the phase transition changing the value of Planck constant can occur purely classically or whether it is analogous to quantum tunneling. Classical non-vacuum extremals of Chern-Simons action have two-dimensional  $CP_2$  projection to homologically non-trivial geodesic sphere  $S^2_I$ . The deformation of the entire  $S^2_I$  to homologically trivial geodesic sphere  $S^2_{II}$  is not possible so that only combinations of partonic 2-surfaces with vanishing total homology charge (Kähler magnetic charge) can in principle move from sector to another one, and this process involves fusion of these 2-surfaces such that  $CP_2$  projection becomes single homologically trivial 2-surface. A piece of a non-trivial geodesic sphere  $S^2_I$  of  $CP_2$  can be deformed to that of  $S^2_{II}$  using 2-dimensional homotopy flattening the piece of  $S^2$  to curve. If this homotopy cannot be chosen to be light-like, the phase transitions changing Planck constant take place only via quantum tunnelling. Obviously the notions of light-like homotopies (cobordisms) are very relevant for the understanding of phase transitions changing Planck constant.

**How one could fix the spectrum of Planck constants?**

The question how the observed Planck constant relates to the integers  $n_a$  and  $n_b$  defining the covering and factors spaces, is far from trivial and I have considered several options. The basic physical inputs are the condition that scaling of Planck constant must correspond to the scaling of the metric of  $CD$  (that is Compton lengths) on one hand and the scaling of the gauge coupling strength  $g^2/4\pi\hbar$  on the other hand.

1. One can assign to Planck constant to both  $CD$  and  $CP_2$  by assuming that it appears in the commutation relations of corresponding symmetry algebras. Algebraist would argue that Planck constants  $\hbar(CD)$  and  $\hbar(CP_2)$  must define a homomorphism respecting multiplication and division (when possible) by  $G_i$ . This requires  $r(X) = \hbar(X)\hbar_0 = n$  for covering and  $r(X) = 1/n$  for factor space or vice versa.
2. If one assumes that  $\hbar^2(X)$ ,  $X = M^4$ ,  $CP_2$  corresponds to the scaling of the covariant metric tensor  $g_{ij}$  and performs an over-all scaling of  $H$ -metric allowed by the Weyl invariance of Kähler action by dividing metric with  $\hbar^2(CP_2)$ , one obtains the scaling of  $M^4$  covariant metric by  $r^2 \equiv \hbar^2/\hbar_0^2 = \hbar^2(M^4)/\hbar^2(CP_2)$  whereas  $CP_2$  metric is not scaled at all.
3. The condition that  $\hbar$  scales as  $n_a$  is guaranteed if one has  $\hbar(CD) = n_a\hbar_0$ . This does not fix the dependence of  $\hbar(CP_2)$  on  $n_b$  and one could have  $\hbar(CP_2) = n_b\hbar_0$  or  $\hbar(CP_2) = \hbar_0/n_b$ . The intuitive picture is that  $n_b$ - fold covering gives in good approximation rise to  $n_a n_b$  sheets and multiplies YM action action by  $n_a n_b$  which is equivalent with the  $\hbar = n_a n_b \hbar_0$  if one effectively compresses the covering to  $CD \times CP_2$ . One would have  $\hbar(CP_2) = \hbar_0/n_b$  and  $\hbar = n_a n_b \hbar_0$ . Note that the descriptions using ordinary Planck constant and coverings and scaled Planck constant but contracting the covering would be alternative descriptions.

This gives the following formulas  $r \equiv \hbar/\hbar_0 = r(M^4)/r(CP_2)$  in various cases.

$$\begin{array}{cccc}
 C - C & F - C & C - F & F - F \\
 \hline
 r & n_a n_b & \frac{n_a}{n_b} & \frac{n_b}{n_a} & \frac{1}{n_a n_b}
 \end{array}$$

**Preferred values of Planck constants**

Number theoretic considerations favor the hypothesis that the integers corresponding to Fermat polygons constructible using only ruler and compass and given as products  $n_F = 2^k \prod_s F_s$ , where  $F_s = 2^{2^s} + 1$  are distinct Fermat primes, are favored. The reason would be that quantum phase  $q = \exp(i\pi/n)$  is in this case expressible using only iterated square root operation by starting from rationals. The known Fermat primes correspond to  $s = 0, 1, 2, 3, 4$  so that the hypothesis is very strong and predicts that p-adic length scales have satellite length scales given as multiples of  $n_F$  of fundamental p-adic length scale.  $n_F = 2^{11}$  corresponds in TGD framework to a fundamental constant expressible as a combination of Kähler coupling strength,  $CP_2$  radius and Planck length appearing in the expression for the tension of cosmic strings, and the powers of  $2^{11}$  seem to be especially favored as values of  $n_a$  in living matter [37].

**How Planck constants are visible in Kähler action?**

$\hbar(M^4)$  and  $\hbar(CP_2)$  appear in the commutation and anticommutation relations of various superconformal algebras. Only the ratio of  $M^4$  and  $CP_2$  Planck constants appears in Kähler action and is due to the fact that the  $M^4$  and  $CP_2$  metrics of the imbedding space sector with given values of Planck constants are proportional to the corresponding Planck constants [35]. This implies that Kähler function codes for radiative corrections to the classical action, which makes possible to consider the possibility that higher order radiative corrections to functional integral vanish as one might expect at quantum criticality. For a given p-adic length scale space-time sheets with all allowed values of Planck constants are possible. Hence the spectrum of quantum critical fluctuations could in the ideal case correspond to the spectrum of  $\hbar$  coding for the scaled up values of Compton lengths and other quantal lengths and times. If so, large  $\hbar$  phases could be crucial for understanding of quantum critical superconductors, in particular high  $T_c$  superconductors.

### Implications for the construction WCW geometry

1. In the realization of the hierarchy of Planck constants  $CD \times CP_2$  is replaced with a Cartesian product of book like structures formed by almost copies of  $CD$ s and  $CP_2$ s defined by singular coverings and factors spaces of  $CD$  and  $CP_2$  with singularities corresponding to intersection  $M^2 \cap CD$  and homologically trivial geodesic sphere  $S^2$  of  $CP_2$  for which the induced Kähler form vanishes. The coverings and factor spaces of  $CD$ s are glued together along common  $M^2 \cap CD$ . The coverings and factors spaces of  $CP_2$  are glued together along common homologically non-trivial geodesic sphere  $S^2$ . The choice of preferred  $M^2$  as subspace of tangent space of  $X^4$  at all its points and interpreted as space of non-physical polarizations, brings  $M^2$  into the theory also in different manner.  $S^2$  in turn defines a subspace of the much larger space of vacuum extremals as surfaces inside  $M^4 \times S^2$ .
2. Configuration space (the world of classical worlds, WCW) decomposes into a union of sub-WCWs corresponding to different choices of  $M^2$  and  $S^2$  and also to different choices of the quantization axes of spin and energy, color isospin and hyper-charge for each choice of this kind. This means breaking down of the isometries to a subgroup. This can be compensated by the fact that the union can be taken over the different choices of this subgroup.
3. This means extension of the moduli space of  $CD$ s from  $M^4 \times X$ , where  $X \subset M^4_+$  is suggested to be identifiable as a discrete lattice for the relative positions of the tips of  $CD$ . What is added is the space characterizing the choice of the quantization axes for energy and spin on one hand and color hypercharge and isospin on the other hand. This choice is part of a statefunction reduction process and means localization in this space. In the case of color charges the moduli space is the flag-manifold  $SU(3)/U(1) \times U(1)$ .

### 2.2.6 Hyper-finite factors and the notion of measurement resolution

The work with TGD inspired model [35, 34] for topological quantum computation [94] led to the realization that von Neumann algebras [60], in particular so called hyper-finite factors of type  $II_1$  [57], seem to provide the mathematics needed to develop a more explicit view about the construction of S-matrix. Later came the realization that the Clifford algebra of WCW defines a canonical representation of hyper-finite factors of type  $II_1$  and that WCW spinor fields give rise to HFFs of type  $III_1$  encountered also in relativistically invariant quantum field theories [33].

#### Philosophical ideas behind von Neumann algebras

The goal of von Neumann was to generalize the algebra of quantum mechanical observables. The basic ideas behind the von Neumann algebra are dictated by physics. The algebra elements allow Hermitian conjugation  $*$  and observables correspond to Hermitian operators. Any measurable function  $f(A)$  of operator  $A$  belongs to the algebra and one can say that non-commutative measure theory is in question.

The predictions of quantum theory are expressible in terms of traces of observables. Density matrix defining expectations of observables in ensemble is the basic example. The highly non-trivial requirement of von Neumann was that identical a priori probabilities for a detection of states of infinite state system must make sense. Since quantum mechanical expectation values are expressible in terms of operator traces, this requires that unit operator has unit trace:  $tr(Id) = 1$ .

In the finite-dimensional case it is easy to build observables out of minimal projections to 1-dimensional eigen spaces of observables. For infinite-dimensional case the probability of projection to 1-dimensional sub-space vanishes if each state is equally probable. The notion of observable must thus be modified by excluding 1-dimensional minimal projections, and allow only projections for which the trace would be infinite using the straightforward generalization of the matrix algebra trace as the dimension of the projection.

The non-trivial implication of the fact that traces of projections are never larger than one is that the eigen spaces of the density matrix must be infinite-dimensional for non-vanishing projection probabilities. Quantum measurements can lead with a finite probability only to mixed states with a density matrix which is projection operator to infinite-dimensional subspace. The simple von Neumann algebras for which unit operator has unit trace are known as factors of type  $II_1$  [57].



The definitions of adopted by von Neumann allow however more general algebras. Type  $I_n$  algebras correspond to finite-dimensional matrix algebras with finite traces whereas  $I_\infty$  associated with a separable infinite-dimensional Hilbert space does not allow bounded traces. For algebras of type  $III$  non-trivial traces are always infinite and the notion of trace becomes useless being replaced by the notion of state which is generalization of the notion of thermodynamical state. The fascinating feature of this notion of state is that it defines a unique modular automorphism of the factor defined apart from unitary inner automorphism and the question is whether this notion or its generalization might be relevant for the construction of M-matrix in TGD.

### Von Neumann, Dirac, and Feynman

The association of algebras of type  $I$  with the standard quantum mechanics allowed to unify matrix mechanism with wave mechanics. Note however that the assumption about continuous momentum state basis is in conflict with separability but the particle-in-box idealization allows to circumvent this problem (the notion of space-time sheet brings the box in physics as something completely real).

Because of the finiteness of traces von Neumann regarded the factors of type  $II_1$  as fundamental and factors of type  $III$  as pathological. The highly pragmatic and successful approach of Dirac [18] based on the notion of delta function, plus the emergence of  $s$  [63], the possibility to formulate the notion of delta function rigorously in terms of distributions [66, 65], and the emergence of path integral approach [64] meant that von Neumann approach was forgotten by particle physicists.

Algebras of type  $II_1$  have emerged only much later in conformal and topological quantum field theories [53, 51] allowing to deduce invariants of knots, links and 3-manifolds. Also algebraic structures known as bi-algebras, Hopf algebras, and ribbon algebras [81, 82] relate closely to type  $II_1$  factors. In topological quantum computation [94] based on braid groups [81] modular S-matrices they play an especially important role.

In algebraic quantum field theory [97] defined in Minkowski space the algebras of observables associated with bounded space-time regions correspond quite generally to the type  $III_1$  hyper-finite factor [95, 96].

### Hyper-finite factors in quantum TGD

The following argument suggests that von Neumann algebras known as hyper-finite factors (HFFs) of type  $II_1$  and  $III_1$ - the latter appearing in relativistic quantum field theories provide also the proper mathematical framework for quantum TGD.

1. The Clifford algebra of the infinite-dimensional Hilbert space is a von Neumann algebra known as HFF of type  $II_1$ . There also the Clifford algebra at a given point (light-like 3-surface) of WCW is therefore HFF of type  $II_1$ . If the fermionic Fock algebra defined by the fermionic oscillator operators assignable to the induced spinor fields (this is actually not obvious!) is infinite-dimensional it defines a representation for HFF of type  $II_1$ . Super-conformal symmetry suggests that the extension of the Clifford algebra defining the fermionic part of a super-conformal algebra by adding bosonic super-generators representing symmetries of WCW respects the HFF property. It could however occur that HFF of type  $II_\infty$  results.
2. WCW is a union of sub-WCWs associated with causal diamonds ( $CD$ ) defined as intersections of future and past directed light-cones. One can allow also unions of  $CD$ s and the proposal is that  $CD$ s within  $CD$ s are possible. Whether  $CD$ s can intersect is not clear.
3. The assumption that the  $M^4$  proper distance  $a$  between the tips of  $CD$  is quantized in powers of 2 reproduces p-adic length scale hypothesis but one must also consider the possibility that  $a$  can have all possible values. Since  $SO(3)$  is the isotropy group of  $CD$ , the  $CD$ s associated with a given value of  $a$  and with fixed lower tip are parameterized by the Lobatchevski space  $L(a) = SO(3,1)/SO(3)$ . Therefore the  $CD$ s with a free position of lower tip are parameterized by  $M^4 \times L(a)$ . A possible interpretation is in terms of quantum cosmology with  $a$  identified as cosmic time [29]. Since Lorentz boosts define a non-compact group, the generalization of so called crossed product construction strongly suggests that the local Clifford algebra of WCW is HFF of type  $III_1$ . If one allows all values of  $a$ , one ends up with  $M^4 \times M^4_+$  as the space of moduli for WCW.

### Hyper-finite factors and M-matrix

HFFs of type III<sub>1</sub> provide a general vision about M-matrix [33].

1. The factors of type III allow unique modular automorphism  $\Delta^{it}$  (fixed apart from unitary inner automorphism). This raises the question whether the modular automorphism could be used to define the M-matrix of quantum TGD. This is not the case as is obvious already from the fact that unitary time evolution is not a sensible concept in zero energy ontology.
2. Concerning the identification of M-matrix the notion of state as it is used in theory of factors is a more appropriate starting point than the notion modular automorphism but as a generalization of thermodynamical state is certainly not enough for the purposes of quantum TGD and quantum field theories (algebraic quantum field theorists might disagree!). Zero energy ontology requires that the notion of thermodynamical state should be replaced with its "complex square root" abstracting the idea about M-matrix as a product of positive square root of a diagonal density matrix and a unitary S-matrix. This generalization of thermodynamical state -if it exists- would provide a firm mathematical basis for the notion of M-matrix and for the fuzzy notion of path integral.
3. The existence of the modular automorphisms relies on Tomita-Takesaki theorem [86], which assumes that the Hilbert space in which HFF acts allows cyclic and separable vector serving as ground state for both HFF and its commutant. The translation to the language of physicists states that the vacuum is a tensor product of two vacua annihilated by annihilation oscillator type algebra elements of HFF and creation operator type algebra elements of its commutant isomorphic to it. Note however that these algebras commute so that the two algebras are not hermitian conjugates of each other. This kind of situation is exactly what emerges in zero energy ontology: the two vacua can be assigned with the positive and negative energy parts of the zero energy states entangled by M-matrix.
4. There exists infinite number of thermodynamical states related by modular automorphisms. This must be true also for their possibly existing "complex square roots". Physically they would correspond to different measurement interactions giving rise to Kähler functions of WCW differing only by a real part of holomorphic function of complex coordinates of WCW and arbitrary function of zero mode coordinates and giving rise to the same Kähler metric of WCW.

The concrete construction of M-matrix utilizing the idea of bosonic emergence (bosons as fermion anti-fermion pairs at opposite throats of wormhole contact) meaning that bosonic propagators reduce to fermionic loops identifiable as wormhole contacts leads to generalized Feynman rules for M-matrix in which modified Dirac action containing measurement interaction term defines stringy propagators [31]. This M-matrix should be consistent with the above proposal.

### Connes tensor product as a realization of finite measurement resolution

The inclusions  $\mathcal{N} \subset \mathcal{M}$  of factors allow an attractive mathematical description of finite measurement resolution in terms of Connes tensor product [47] but do not fix M-matrix as was the original optimistic belief.

1. In zero energy ontology  $\mathcal{N}$  would create states experimentally indistinguishable from the original one. Therefore  $\mathcal{N}$  takes the role of complex numbers in non-commutative quantum theory. The space  $\mathcal{M}/\mathcal{N}$  would correspond to the operators creating physical states modulo measurement resolution and has typically fractal dimension given as the index of the inclusion. The corresponding spinor spaces have an identification as quantum spaces with non-commutative  $\mathcal{N}$ -valued coordinates.
2. This leads to an elegant description of finite measurement resolution. Suppose that a universal M-matrix describing the situation for an ideal measurement resolution exists as the idea about square root of state encourages to think. Finite measurement resolution forces to replace the probabilities defined by the M-matrix with their  $\mathcal{N}$  "averaged" counterparts. The "averaging" would be in terms of the complex square root of  $\mathcal{N}$ -state and a direct analog of functionally or path integral over the degrees of freedom below measurement resolution defined by (say) length scale cutoff.

3. One can construct also directly M-matrices satisfying the measurement resolution constraint. The condition that  $\mathcal{N}$  acts like complex numbers on M-matrix elements as far as  $\mathcal{N}$ -"averaged" probabilities are considered is satisfied if M-matrix is a tensor product of M-matrix in  $\mathcal{M}(\mathcal{N}$  interpreted as finite-dimensional space with a projection operator to  $\mathcal{N}$ . The condition that  $\mathcal{N}$  averaging in terms of a complex square root of  $\mathcal{N}$  state produces this kind of M-matrix poses a very strong constraint on M-matrix if it is assumed to be universal (apart from variants corresponding to different measurement interactions).

### Number theoretical braids as space-time correlates for finite measurement resolution

Finite measurement resolution has discretization as a space-time counterpart. In the intersection of real and p-adic worlds defines as partonic 2-surfaces with a mathematical representation allowing interpretation in terms of real or p-adic number fields one can identify points common to real and p-adic worlds as rational points and common algebraic points (in preferred coordinates dictated by symmetries of imbedding space). Quite generally, one can identify rational points and algebraic points in some extension of rationals as points defining the initial points of what might be called number theoretical braid beginning from the partonic 2-surface at the past boundary of  $CD$  and connecting it with the future boundary of  $CD$ . The detailed definition of the braid inside light-like 3-surface is not relevant if only the information at partonic 2-surface is relevant for quantum physics.

Number theoretical braids are especially relevant for topological QFT aspect of quantum TGD. The topological QFT associated with braids accompanying light-like 3-surfaces having interpretation as lines of generalised Feynman diagrams should be important part of the definition of amplitudes assigned to generalised Feynman diagrams. The number theoretic braids relate also closely to a symplectic variant of conformal field theory emerges very naturally in TGD framework (symplectic symmetries acting on  $\delta M_{\pm}^4 \times CP_2$  are in question) and this leads to a concrete proposal for how to construct n-point functions needed to calculate M-matrix [31]. The mechanism guaranteeing the predicted absence of divergences in M-matrix elements can be understood in terms of vanishing of symplectic invariants as two arguments of n-point function coincide.

### Quantum spinors and fuzzy quantum mechanics

The notion of quantum spinor leads to a quantum mechanical description of fuzzy probabilities [33]. For quantum spinors state function reduction to spin eigenstates cannot be performed unless quantum deformation parameter  $q = \exp(i2\pi/n)$  equals to  $q = 1$ . The reason is that the components of quantum spinor do not commute: it is however possible to measure the commuting operators representing moduli squared of the components giving the probabilities associated with 'true' and 'false'. Therefore the probability for either spin state becomes a quantized observable. The universal eigenvalue spectrum for probabilities does not in general contain (1,0) so that quantum qbits are inherently fuzzy. State function reduction would occur only after a transition to  $q=1$  phase and decoherence is not a problem as long as it does not induce this transition.

## 2.3 Physics as a generalized number theory

Physics as a generalized number theory vision involves actually three threads: p-adic ideas [24], the ideas related to classical number fields [19], and the ideas related to the notion of infinite prime [16].

### 2.3.1 Fusion of real and p-adic physics to a coherent whole

p-Adic number fields were not present in the original approach to TGD. The success of the p-adic mass calculations (summarized in the first part of [4]) made however clear that one must generalize the notion of topology also at the infinitesimal level from that defined by real numbers so that the attribute "topological" in TGD gains much more profound meaning than intended originally. It took a decade to get convinced that the identification of p-adic physics as a correlate of cognition and intentionality is the most plausible interpretation discovered hitherto [42], and that p-adic topology of p-adic space-time sheets somehow induces the effective p-adic topology of real space-time sheets. The discovery of the properties of number theoretic variants of Shannon entropy led to the idea that living matter could be seen as something in the intersection of real and p-adic worlds and gave

additional support for this interpretation. If even elementary particles reside in this intersection and effective p-adic topology applies for real partonic 2-surfaces, the success of p-adic mass calculations can be understood.

The original view about physics as the geometry of WCW is not enough to meet the challenge of unifying real and p-adic physics to a single coherent whole. This inspired "physics as a generalized number theory" approach [6].

1. The first element is a generalization of the notion of number obtained by "gluing" reals and various p-adic number fields and their algebraic extensions along common rationals and algebraics to form a larger structure.
2. At the level of imbedding space this gluing corresponds to a gluing of real and p-adic variants of the imbedding space together along rational and common algebraic points (the number of which depends on algebraic extension of p-adic numbers used) to what could be seen as a book like structure. General Coordinate Invariance restricted to rationals or their extension requires preferred coordinates for  $CD \times CP_2$  and this kind coordinates can be fixed by isometries of  $H$ . The coordinates are however not completely unique since non-rational isometries produce new equally good choices. Whether this can be seen as an objection against the approach is not clear.
3. The analogous gluing of real and various p-adic physics to a larger structure forces to ask what are the common points of WCWs associated with real and various p-adic worlds. What it is to be a partonic 2-surface belonging to the intersection of real and p-adic variants of WCW? The natural answer is that partonic 2-surfaces which have a mathematical representation making sense both for real numbers and p-adic numbers or their algebraic extensions can be regarded as "common points" or identifiable points of p-adicity and reality. This of course applies also to partonic 2-surfaces corresponding to two different p-adic number fields. This mathematical property means a representability in terms of ratios of polynomials with rational (or possibly even algebraic) coefficients in the preferred imbedding space coordinates.
4. The intersections of WCWs and partonic 2-surfaces in different number fields are involved. An attractive idea is that only the information about common points of surfaces belonging to different number fields code for physics so that number-theoretically universal part of physics is number theoretical physics relying only on rationals and their algebraic extensions. For instance, the transition amplitudes between p-adic and real variants of partonic 2-surface can involve only the data at these points. This suggests the existence of what might be called number theoretical QFT. At space-time level this extension of introduce a discretization at space-time level in terms of rational and algebraic points common to real space-time sheets and their p-adic variants. The number of these points is in general finite for a given  $CD$  and the proposed interpretation is in terms of cognitive representations. The discrete intersections would define the initial and final points of number theoretical braids central for the formulation of the theory in finite measurement resolution.
5. Much later came the realization that living matter or what makes living matter living could be interpreted as something in this intersection of real and p-adic worlds so that number theoretic QFT might apply to crucial aspects of living matter.

The interpretation for discretization could be in terms of cognitive, sensory, and measurement resolutions rather than fundamental discreteness of the space-time. What looks rather counter intuitive first is that transcendental points of p-adic space-time sheets are at spatiotemporal infinity in real sense so that the correlates of cognition and intentionality cannot be localized to any finite spatiotemporal volume unlike those of sensory experience. The description of intentionality and cognition in this manner predicts p-adic fractality of real physics meaning chaos in short scales combined with long range correlations: p-adic mass calculations represent one example of p-adic fractality.

The realization of this program at the level of WCW is far from trivial. Modified Dirac equation and classical field equations make sense but quantities expressible as space-time integrals - in particular Kähler action- do not make sense p-adically. Therefore one can ask whether only the partonic surfaces in the intersection of real and p-adic worlds should be allowed. Also this restricted theory would be highly non-trivial physically.

### 2.3.2 Classical number fields and associativity and commutativity as fundamental law of physics

The dimensions of classical number fields appear as dimensions of basic objects in quantum TGD. Imbedding space has dimension 8, space-time has dimension 4, light-like 3-surfaces are orbits of 2-D partonic surfaces. If conformal QFT applies to 2-surfaces (this is questionable), one-dimensional structures would be the basic objects. The lowest level would correspond to discrete sets of points identifiable as intersections of real and p-adic space-time sheets. This suggests that besides p-adic number fields also classical number fields (reals, complex numbers, quaternions, octonions [55]) are involved [19] and the notion of geometry generalizes considerably. In the recent view about quantum TGD the dimensional hierarchy defined by classical number field indeed plays a key role.  $H = M^4 \times CP_2$  has a number theoretic interpretation and standard model symmetries can be understood number theoretically as symmetries of hyper-quaternionic planes of hyper-octonionic space.

The associativity condition  $A(BC) = (AB)C$  suggests itself as a fundamental physical law of both classical and quantum physics. Commutativity can be considered as an additional condition. In conformal field theories associativity condition indeed fixes the n-point functions of the theory. At the level of classical TGD space-time surfaces could be identified as maximal associative (hyper-quaternionic) submanifolds of the imbedding space whose points contain a preferred hyper-complex plane  $M^2$  in their tangent space and the hierarchy finite fields-rationals-reals-complex numbers-quaternions-octonions could have direct quantum physical counterpart [19]. This leads to the notion of number theoretic compactification analogous to the dualities of M-theory: one can interpret space-time surfaces either as hyper-quaternionic 4-surfaces of  $M^8$  or as 4-surfaces in  $M^4 \times CP_2$ . As a matter fact, commutativity in number theoretic sense is a further natural condition and leads to the notion of number theoretic braid naturally as also to direct connection with super string models.

At the level of modified Dirac action the identification of space-time surface as a hyper-quaternionic submanifold of  $H$  means that the modified gamma matrices of the space-time surface defined in terms of canonical momentum currents of Kähler action using octonionic representation for the gamma matrices of  $H$  span a hyper-quaternionic sub-space of hyper-octonions at each point of space-time surface (hyper-octonions are the subspace of complexified octonions for which imaginary units are octonionic imaginary units multiplied by commuting imaginary unit). Hyper-octonionic representation leads to a proposal for how to extend twistor program to TGD framework [18, 40].

### 2.3.3 Infinite primes and quantum physics

The hierarchy of infinite primes (and of integers and rationals) [16] was the first mathematical notion stimulated by TGD inspired theory of consciousness. The construction recipe is equivalent with a repeated second quantization of a super-symmetric arithmetic quantum field theory with bosons and fermions labeled by primes such that the many-particle states of previous level become the elementary particles of new level. At a given level there are free many particles states plus counterparts of many particle states. There is strong structural analogy with polynomial primes. For polynomials with rational coefficients free many-particle states would correspond to products of first order polynomials and bound states to irreducible polynomials with non-rational roots.

The hierarchy of space-time sheets with many particle states of space-time sheet becoming elementary particles at the next level of hierarchy. For instance, the description of proton as an elementary fermion would be in a well defined sense exact in TGD Universe. Also the hierarchy of n:th order logics are possible correlates for this hierarchy.

This construction leads also to a number theoretic generalization of space-time point since a given real number has infinitely rich number theoretical structure not visible at the level of the real norm of the number a due to the existence of real units expressible in terms of ratios of infinite integers. This number theoretical anatomy suggest a kind of number theoretical Brahman=Atman identity stating that the set consisting of number theoretic variants of single point of the imbedding space (equivalent in real sense) is able to represent the points of WCW or maybe even quantum states assignable to causal diamond. One could also speak about algebraic holography.

The correspondence between the quantum states defined by WCW spinor fields and wave functions in the infinite-dimensional discrete space of hyper-octonionic units can be made more concrete [16]. These wave functions must transforming irreducibly under discrete subgroup  $SU(3)$  of octonion automorphisms transforming ordinary hyper-octonionic prime to a new hyper-octonionic prime.  $SU(3)$

has interpretation as color group. One can assign standard model quantum numbers to these wave functions and prime property in principle fixes the spectrum of possible quantum states- in particular the spectrum of masses. Therefore the extremely esoteric looking notion of infinite prime might turn out to be very practical calculational tool.

## 2.4 Physics as extension of quantum measurement theory to a theory of consciousness

TGD inspired theory of consciousness could be seen as a generalization of quantum measurement theory to make observer, which in standard quantum measurement theory remains an outsider, a genuine part of physical system subject to laws of quantum physics. The basic notions are quantum jump identified as moment of consciousness and the notion of self [34]: in zero energy ontology these notions might however reduce to each other. Negentropy Maximization Principle [49] defines the dynamics of consciousness and as a special case reproduces standard quantum measurement theory.

### 2.4.1 Quantum jump as moment of consciousness

TGD suggests that the quantum jump between quantum histories could identified as moment of consciousness and could therefore be for consciousness theory what elementary particle is for physics [34].

This means that subjective time evolution corresponds to the sequence of quantum jumps  $\Psi_i \rightarrow U\Psi_i \rightarrow \Psi_f$  consisting of unitary process followed by state function process. Originally  $U$  was thought to be the TGD counterpart of the unitary time evolution operator  $U(-t, t)$ ,  $t \rightarrow \infty$ , associated with the scattering solutions of Schrödinger equation. It seems however impossible to assign any real Schrödinger time evolution with  $U$ . In zero energy ontology  $U$  defines a unitary matrix between zero energy states and is naturally assignable to intentional actions whereas the ordinary S-matrix telling what happens in particle physics experiment (for instance) generalizes to M-matrix defining time-like entanglement between positive and negative energy parts of zero energy states. One might say that  $U$  process corresponds to a fundamental act of creation creating a quantum superposition of possibilities and the remaining steps generalizing state function reduction process select between them.

### 2.4.2 Negentropy Maximization Principle and the notion of self

$U$ -process is followed by a sequence of state function reductions. Negentropy Maximization Principle (NMP [49]) states that in a given quantum state the most quantum entangled subsystem-complement pair can perform the quantum jump. More precisely: the reduction of the entanglement entropy in the quantum jump is as large as possible. This selects the pair in question and in case of ordinary entanglement entropy leads the selected pair to a product state. The interpretation of the reduction of the entanglement entropy as conscious information gain makes sense. The sequence of state function reductions decomposes at first step the entire system to two parts in such a manner that the reduction entanglement entropy is maximal. This process repeats itself for subsystems. If the subsystem in question cannot be divided into a pair of entangled free system the process stops since energy conservation does not allow it to occur (binding energy).

The original definition of self was as a subsystem able to remain unentangled under state function reductions associated with subsequent quantum jumps. Everything is consciousness but consciousness can be lost if self develops bound state entanglement during  $U$  process so that state function reduction to smaller un-entangled pieces is impossible.

The existence of number theoretical entanglement entropies in the intersection of real and various  $p$ -adic worlds force to modify this picture. The reduction process can stop also if the self in question allows only decompositions to pairs systems with negentropic entanglement. This does not require that that the system forms a bound state for any pair of subsystems so that the systems decomposing it can be free (no binding energy). This defines a new kind of bound state not describable as a jail defined by the bottom of a potential well. Subsystems are free but remain correlated by negentropic entanglement.

The ordinary state function reductions imply dissipation crucial for self organization and quantum jump could be regarded as the basic step of an iteration like process leading to the asymptotic self-

organization patterns. One could regard dissipation as a Darwinian selector as in standard theories of self-organization. NMP thus predicts that self organization and hence presumably also fractalization can occur inside selves. NMP would favor the generation of negentropic entanglement. This notion is highly attractive since it could allow to understand how quantum selforganization generates larger coherent structures. Note that state function reduction for negentropic entanglement is highly deterministic since the number of degenerate states with same negative entanglement entropy is expected to be small. This could allow to understand how living matter is able to develop almost deterministic cellular automaton like behaviors.

### 2.4.3 Life as islands of rational/algebraic numbers in the seas of real and p-adic continua?

The observation that Shannon entropy allows an infinite number of number theoretic variants for which the entropy can be negative in the case that probabilities are algebraic numbers leads to the idea that living matter in a well-defined sense corresponds to the intersection of real and p-adic worlds. This would mean that the mathematical expressions for the space-time surfaces (or at least 3-surfaces or partonic 2-surfaces and their 4-D tangent planes) make sense in both real and p-adic sense for some primes  $p$ . Same would apply to the expressions defining quantum states. In particular, entanglement probabilities would be rationals or algebraic numbers so that entanglement can be negentropic and the formation of bound states in the intersection of real and p-adic worlds generates information and is thus favored by NMP.

This picture has also a direct connection with consciousness [49].

1. Algebraic entanglement is a prerequisite for the realization of intentions as transformations of p-adic space-time sheets to real space-time sheets representing actions. Essentially a leakage between p-adic and real worlds is in question and makes sense only in zero energy ontology. Since various quantum numbers in real and p-adic sectors are not in general comparable in positive energy ontology so that conservation laws would be broken in positive energy ontology. Algebraic entanglement could be also called cognitiv-that is between real and p-adic worlds. The transformation can occur if the partonic 2-surfaces and their 4-D tangent space-distributions are representable using rational functions with rational coefficients in preferred coordinates for the imbedding space dictated by symmetry considerations. Intentional systems must live in the intersection of real and p-adic worlds. For the minimal option life would be also effectively 2-dimensional phenomenon and essentially a boundary phenomenon as also number theoretical criticality suggests.
2. The generation of non-rational (non-algebraic) bound state entanglement between the system and external world means that the system loses consciousness during the state function reduction process following the  $U$ -process generating the entanglement. What happens that the Universe corresponding to given  $CD$  decomposes to two un-entangled subsystems, which in turn decompose, and the process continues until all subsystems have only entropic bound state entanglement or negentropic algebraic entanglement with the external world.
3. If the sub-system generates entropic bound state entanglement in the the process, it loses consciousness. Note that the entanglement entropy of the sub-system is a sum over entanglement entropies over all subsystems involved. This hierarchy of subsystems corresponds to the hierarchy if sub- $CD$ s so that the survival without a loss of consciousness depends on what happens at all levels below the highest level for a given self. In more concrete terms, ability to stay conscious depends on what happens at cellular level too. The stable evolution of systems having algebraic entanglement is expected to be a process proceeding from short to long length scales as the evolution of life indeed is.
4.  $U$ -process generates a superposition of states in which any sub-system can have both real and algebraic entanglement with the external world. This would suggest that the choice of the type of entanglement is a volitional selection. A possible interpretation is as a choice between good and evil. The hedonistic complete freedom resulting as the entanglement entropy is reduced to zero on one hand, and the algebraic bound state entanglement implying correlations with the external world and meaning giving up the maximal freedom on the other hand. The hedonistic

option is risky since it can lead to non-algebraic bound state entanglement implying a loss of consciousness. The second option means expansion of consciousness - a fusion to the ocean of consciousness as described by spiritual practices.

5. This formulation means a sharpening of the earlier statement "Everything is conscious and consciousness can be only lost" with the additional statement "This happens when non-algebraic bound state entanglement is generated". Clearly, the quantum criticality of TGD Universe seems has very many aspects and life as a critical phenomenon in the number theoretical sense is only one of them besides the criticality of the space-time dynamics and the criticality with respect to phase transitions changing the value of Planck constant and other more familiar criticalities. How closely these criticalities relate remains an open question [38].

A good guess is that algebraic entanglement is essential for quantum computation, which therefore might correspond to a conscious process. Hence cognition could be seen as a quantum computation like process, a more appropriate term being quantum problem solving. Living-dead dichotomy could correspond to rational-irrational or to algebraic-transcendental dichotomy: this at least when life is interpreted as intelligent life. Life would in a well defined sense correspond to islands of rationality/algebraicity in the seas of real and p-adic continua.

The view about the crucial role of rational and algebraic numbers as far as intelligent life is considered, could have been guessed on very general grounds from the analogy with the orbits of a dynamical system. Rational numbers allow a predictable periodic decimal/pinary expansion and are analogous to one-dimensional periodic orbits. Algebraic numbers are related to rationals by a finite number of algebraic operations and are intermediate between periodic and chaotic orbits allowing an interpretation as an element in an algebraic extension of any p-adic number field. The projections of the orbit to various coordinate directions of the algebraic extension represent now periodic orbits. The decimal/pinary expansions of transcendentals are un-predictable being analogous to chaotic orbits. The special role of rational and algebraic numbers was realized already by Pythagoras, and the fact that the ratios for the frequencies of the musical scale are rationals supports the special nature of rational and algebraic numbers. The special nature of the Golden Mean, which involves  $\sqrt{5}$ , conforms the view that algebraic numbers rather than only rationals are essential for life.

#### 2.4.4 Two times

The basic implication of the proposed view is that subjective time and geometric time of physicist are not the same [34]. This is not a news actually. Geometric time is reversible, subjective time irreversible. Geometric future and past are in completely democratic position, subject future does not exist at all yet. One can say that the non-determinism of quantum jump is completely outside space-time and Hilbert space since quantum jumps replaces entire 4-D time evolution (or rather, their quantum superposition) with a new one, re-creates it. Also conscious existence defies any geometric description. This new view resolves the basic problem of quantum measurement theory due to the conflict between determinism of Schrödinger equation and randomness of quantum jump. The challenge is to understand how these two times correlate so closely as to lead to their erratic identification.

With respect to geometric time the contents of conscious experience is naturally determined by the space-time region inside  $CD$  in zero energy ontology. This geometro-temporal integration should have subjecto-temporal counterpart. The experiences of self are determined by the mental images assignable to subselves (having sub- $CD$ s as imbedding space correlates) and the quantum jump sequences associated with sub-selves define a sequence of mental images. The hypothesis is that self experiences these sequences of mental images as a continuous time flow. In absence of mental images self would have experience of "timelessness" in accordance with the reports of practitioners of various spiritual practices. Self would lose consciousness in quantum jump generating entropic entanglement and experience expansion of consciousness if the resulting entanglement is negentropic. The assumption that the integration of experiences of self involves a kind of averaging over sub-selves of sub-selves guarantees that the sensory experiences are reliable despite the fact that quantum nondeterminism is involved with each quantum jump.

Thus the measurement of density matrix defined by the  $MM^\dagger$ , where  $M$  is the M-matrix between positive and negative energy parts of the zero energy state would correspond to the passive aspects of consciousness such as sensory experiencing.  $U$  would represent at the fundamental level volition as a creation of a quantum superposition of possibilities. What follows it would be a selection between



them. The volitional choice between macroscopically differing space-time sheets representing different maxima of Kähler function could be basically responsible for the active aspect of consciousness. The fundamental perception-reaction feedback loop of biosystems would result from the combination of the active and passive aspects of consciousness represented by  $U$  and  $M$ .

### 2.4.5 General view about psychological time and intentionality

The recent TGD inspired attempts to understand the arrow of psychological time and the localization of the contents of conscious sensory experience and experienced volition to a rather narrow time interval of .1 seconds rely on zero energy ontology. The most argument below summarizes the most recent view [40].

#### Why sensory experience is about so short time interval?

The picture based on  $CD$ s implies automatically the 4-D character of conscious experience and memories form part of conscious experience even at elementary particle level. Amazingly, the secondary p-adic time scale of electron characterizing the time scale of electronic  $CD$  is  $T = 0.1$  seconds defining a fundamental time scale in living matter. The problem is to understand why the sensory experience is about a short time interval of geometric time rather than about the entire personal  $CD$  with temporal size of order life-time. The explanation would be that sensory input corresponds to subselves (mental images) with  $T \simeq .1$  s at the upper light-like boundary of  $CD$  in question. This requires a strong asymmetry between upper and lower light-like boundaries of  $CD$ s.

The localization of the contents of the sensory experience to the upper light-cone boundary and local arrow of time could emerge as a consequence of self-organization process involving conscious intentional action. Sub- $CD$ s would be in the interior of  $CD$  and self-organization process would lead to a distribution of  $CD$ s concentrated near the upper or lower boundary of  $CD$ . The local arrow of geometric time would depend on  $CD$  and even differ for  $CD$  and sub- $CD$ s.

1. The localization of contents of sensory experience to a narrow time interval would be due to the concentration of sub- $CD$ s representing mental images near the either boundary of  $CD$  representing self.
2. Phase conjugate signals identifiable as negative energy signals to geometric past are important when the arrow of time differs from the standard one in some time scale. If the arrow of time establishes itself as a phase transition, this kind of situations are rare. Negative energy signals as a basic mechanism of intentional action and transfer of metabolic energy would explain why living matter is so special.
3. Geometric memories would correspond to subselves in the interior of  $CD$ , the oldest of them to the regions near "lower" boundaries of  $CD$ . Since the density of sub- $CD$ s is small there geometric memories would be rare and not sharp. A temporal sequence of mental images, say the sequence of digits of a phone number, would correspond to a temporal sequence of sub- $CD$ s.
4. Sharing of mental images corresponds to a fusion of sub-selves/mental images to single sub-self by quantum entanglement: the space-time correlate could be flux tubes connecting space-time sheets associated with sub-selves represented also by space-time sheets inside their  $CD$ s.

#### Arrow of time

TGD forces a new view about the relationship between experienced and geometric time. Although the basic paradox of quantum measurement theory disappears the question about the arrow of geometric time remains. There are actually two times involved. The geometric time assignable to the space-time sheets and the  $M^4$  time assignable to the imbedding space.

Consider first the the geometric time assignable to the space-time sheets.

1. Selves correspond to  $CD$ s. The  $CD$ s and their projections to the imbedding space do not move anywhere. Therefore the standard explanation for the arrow of geometric time cannot work.

2. The only plausible interpretation at classical level relies on quantum classical correspondence and the fact that space-times are 4-surfaces of the imbedding space. If quantum jump corresponds to a shift for a quantum superposition of space-time sheets towards geometric past in the first approximation (as quantum classical correspondence suggests), one can understand the arrow of time. Space-time surfaces simply shift backwards with respect to the geometric time of the imbedding space and therefore to the 8-D perceptive field defined by the  $CD$ . This creates in the materialistic mind a temporal variant of train illusion. Space-time as 4-surface and macroscopic and macro-temporal quantum coherence are absolutely essential for this interpretation to make sense.

Why this shifting should always take place to the direction of geometric past of the imbedding space? Does it so always? The proposed mechanism for the localization of sensory experience to a short time interval suggests an explanation in terms of intentional action.

1.  $CD$  defines the perceptive field for self. Selves are curious about the space-time sheets outside their perceptive field and perform quantum jumps tending to shift the superposition of the space-time sheets so that unknown regions of space-time sheets emerge to the perceptive field. Either the upper or lower boundary of  $CD$  wins in the competition and the arrow of time results as a spontaneous symmetry breaking. The arrow of time can depend on  $CD$  but tends to be the same for  $CD$  and its sub- $CD$ s. Global arrow of time could establish itself by a phase transitions establishing the same arrow of time globally by a mechanism analogous to percolation phase transition.
2. Since the news come from the upper boundary of  $CD$ , self concentrates its attention to this region and improves the resolution of sensory experience. The sub- $CD$ s generated in this manner correspond to mental images with contents about this region. Hence the contents of conscious experience, in particular sensory experience, tends to be about the region near the upper boundary.

The emergence of the arrow of time at the level of imbedding space reduces to a modification of the oldest TGD based argument for the arrow of time which is wrong as such. If physical objects correspond to 3-surfaces inside future directed light-cone then the sequence of quantum jumps implies a diffusion to the direction of increasing value of light-cone proper time. The modification of the argument goes as follows.

1.  $CD$ s are characterized by their moduli. In particular, the relative coordinate for the tips of  $CD$  has values in past light cone  $M^4_-$  if the future tip is taken as the reference point. An attractive interpretation for the proper time of  $M^4_-$  is as cosmic time having quantized values. Quantum states correspond to wave functions in the modular degrees of freedom and each  $U$  process creates a non-localized wave function of this kind. Suppose that state function reduction implies a localization in the modular degrees of freedom so that  $CD$  is fixed completely apart from its center of mass position to which zero four-momentum constant plane wave is assigned. One can expect that in average sense diffraction occurs in  $M^4_-$  so that the size of  $CD$  tends to increase and that the most distant geometric past defined by the past boundary of  $CD$  recedes. This is nothing but cosmic expansion. This provides a formulation for the flow of time in terms of a cosmic redshift. This argument applies also to the positions of the sub- $CD$ s inside  $CD$ . Also their proper time distance from the tip of  $CD$  is expected to increase.
2. One can argue that one ends up with contradiction by changing the roles of upper and lower tips. In the case of  $CD$  itself is only the proper time distance between the tips which increases and speaking about "future" and "past" tips is only a convention. For sub- $CD$ s of  $CD$  the argument would imply that the sub- $CD$ s drifting from the opposite tips tend to concentrate in the middle region of  $CD$  unless either tip is in a preferred position. This requires a spontaneous selection of the arrow of time. One could say that the cosmic expansion implied by the drift in  $M^4_-$  "draws" the space-time sheet with it to the geometric past. The spontaneous generation of the asymmetry between the tips might require the "curious" conscious entities.

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## Chapter 3

# TGD Inspired Theory of Consciousness

### 3.1 Introduction

The conflict between the non-determinism of state function reduction and determinism of time evolution of Schrödinger equation is serious enough a problem to motivate the attempt to extend physics to a theory of consciousness by raising the observer from an outsider to a key notion also at the level of physical theory. Further motivations come from the failure of the materialistic and reductionistic dogmas in attempts to understand consciousness in neuroscience context. There are reasons to doubt that standard quantum physics could be enough to achieve this goal and the new physics predicted by TGD is indeed central in the proposed theory.

#### 3.1.1 Quantum jump as moment of consciousness and the notion of self

If quantum jump occurs between two different time evolutions of Schrödinger equation (understood here in very metaphorical sense) rather than interfering with single deterministic Schrödinger evolution, the basic problem of quantum measurement theory finds a resolution. The interpretation of quantum jump as a moment of consciousness means that volition and conscious experience are outside space-time and state space and that quantum states and space-time surfaces are "zombies". Quantum jump would have actually a complex anatomy corresponding to unitary process  $U$ , state function reduction and state preparation at least.

Quantum jump has a complex anatomy since it must include state preparation, state function reduction, and also unitary process characterized by  $U$ -matrix. Zero energy ontology means that one must distinguish between  $M$ -matrix and  $U$ -matrix.  $M$ -matrix characterizes the time like entanglement between positive and negative energy parts of zero energy state and is measured in particle scattering experiments.  $M$ -matrix need not be unitary and can be identified as a "complex" square root of density matrix representable as a product of its real and positive square root and of unitary  $S$ -matrix so that thermodynamics becomes part of quantum theory with thermodynamical ensemble being replaced with a zero energy state. The unitary  $U$ -matrix describes quantum transitions between zero energy states and is therefore something genuinely new. It is natural to assign the statistical description of intentional action with  $U$ -matrix since quantum jump occurs between zero energy states.

Negentropy Maximization Principle (NMP) codes for the dynamics of standard state function reduction and states that the state function reduction process following  $U$ -process gives rise to maximal reduction of entanglement entropy at each step. In the generic case this implies decomposition of the system to unique unentangled systems and the process repeats itself for these systems. The process stops when the resulting subsystem cannot be decomposed to a pair of free systems since energy conservation makes the reduction of entanglement kinematically impossible in the case of bound states.

Intuitively self corresponds to a sequence of quantum jumps which somehow integrates to a larger unit much like many-particle bound state is formed from more elementary building blocks. It also seems natural to assume that self stays conscious as long as it can avoid bound state entanglement

with the environment in which case the reduction of entanglement is energetically impossible. One could say that everything is conscious and consciousness can be only lost when the system forms bound state entanglement with environment.

There is an important exception to this vision based on ordinary Shannon entropy. There exists an infinite hierarchy of number theoretical entropies making sense for rational or even algebraic entanglement probabilities. In this case the entanglement negentropy can be negative so that NMP favors the generation of negentropic entanglement, which need not be bound state entanglement in standard sense. Negentropic entanglement might serve as a correlate for emotions like love and experience of understanding. The reduction of ordinary entanglement entropy to random final state implies second law at the level of ensemble. For the generation of negentropic entanglement the outcome of the reduction is not random: the prediction is that second law is not universal truth holding true in all scales. Since number theoretic entropies are natural in the intersection of real and p-adic worlds, this suggests that life resides in this intersection. The existence effectively bound states with no binding energy might have important implications for the understanding the stability of basic bio-polymers and the key aspects of metabolism [43]. A natural assumption is that self experiences expansion of consciousness as it entangles in this manner. Quite generally, an infinite self hierarchy with the entire Universe at the top is predicted.

Self is assumed to experience sub-selves as mental images identifiable as "averages" of their mental images. This implies the notion of ageing of mental images as being due to the growth of ensemble entropy as the ensemble consisting of quantum jumps (sub-sub-selves) increases.

If one accepts the hierarchy of Planck constants [35], it might be un-necessary to distinguish between self and quantum jump. The hierarchy of Planck constants interpreted in terms of dark matter hierarchy predicts a hierarchy of quantum jumps such that the size of space-time region contributing to the contents of conscious experience scales like  $\hbar$ . Also the hierarchy of space-time sheets labeled by p-adic primes suggests the same. That sequence of sub-selves/sub-quantum jumps are experienced as separate mental images explains why we can distinguish between digits of phone number. The irreducible component of self (pure awareness) would correspond to the highest level in the "personal" hierarchy of quantum jumps and the sequence of lower level quantum jumps would be responsible for the experience of time flow. Entire life cycle would correspond to single quantum jump at the highest(?) level of the personal self hierarchy and pure awareness would prevail during sleep: this would make it possible to experience directly that I existed yesterday.

There are thus two definitions of self. The first definition introduces self as a notion separate from quantum jump. Second definition reduces the notion of self to a fractal hierarchy of quantum jumps. The equivalence between two definitions of the notion of self will be proposed.

### 3.1.2 Sharing and fusion of mental images

The standard dogma about consciousness is that it is completely private. It seems that this cannot be the case in TGD Universe. Von Neumann algebras known as hyper-finite factors of type II<sub>1</sub> (HFF) [33, 35] provide the basic mathematical framework for quantum TGD and this suggests important modifications of the standard measurement theory besides those implied by the zero energy ontology predicting that all physical states have vanishing net quantum numbers and are creatable from vacuum. The notion of measurement resolution characterized in terms of Jones inclusions  $\mathcal{N} \subset \mathcal{M}$  of HFFs implies that entanglement is defined always modulo some resolution characterized by infinite-dimensional sub-Clifford algebra  $\mathcal{N}$  playing a role analogous to that of gauge algebra.

This modification has also important implications for consciousness. For ordinary quantum measurement theory separate selves are by definition unentangled and the same applies to their sub-selves so that they cannot entangle and thus fuse and shared mental images are impossible: consciousness would be completely private.

Space-time sheets as correlates for selves however suggests that space-time sheets topologically condensed at larger space-time sheets and serving as space-time correlates for mental images can be connected by join along boundaries bonds so that mental images could fuse and be shared.

HFFs allow to realize mathematically this intuitive picture. The entanglement in  $\mathcal{N}$  degrees of freedom between selves corresponding to  $\mathcal{M}$  is below the measurement resolution so that these selves can be regarded as separate conscious entities. These selves can be said to be unentangled although their sub-selves corresponding to  $\mathcal{N}$  (mental images at upper level) can entangle. Fusion and sharing of mental images becomes possible. For instance, in stereo vision right and left visual fields would fuse

together. More generally, a pool of shared stereo mental images might be fundamental for evolution of social structures and development of social and moral rules and language (shared mental images make possible common meaning for symbols of language). A concrete realization for this would be in terms of hyper-genome making possible collective gene expression [36, 40].

### 3.1.3 Qualia

Since physical states are labeled by quantum numbers, various qualia correspond naturally to the increments of quantum numbers in quantum jump which leads to a general classification of qualia in terms of the fundamental symmetries [42]. One can speak also about geometric qualia assignable to the increments of zero modes which correspond to the classical variables in ordinary quantum measurement theory and non-quantum fluctuating degrees of freedom which do not contribute to the metric of world of classical worlds (WCW) in TGD framework. Dark matter hierarchy suggests that also qualia form a hierarchy with larger values of Planck constant identifiable as more refined qualia. Rather amusingly, visual colors would correspond to increments of color quantum numbers assignable to quarks and gluons in standard model physics. The term "color", originally introduced as an algebraic joke, would directly relate to visual color.

### 3.1.4 Self-referentiality of consciousness

Quantum classical correspondence is the basic guiding principle of quantum TGD. Thanks to the failure of a complete determinism of classical dynamics, space-time surface can provide symbolic representations not only for quantum states (as maximal deterministic regions) but also for quantum jump sequences (sequences of quantum states) and thus for the contents of consciousness. These representations are regenerated in each quantum jump, and make possible the self referentiality of consciousness: self can be conscious of what it *was* conscious of.

### 3.1.5 Hierarchy of Planck constants and consciousness

The hierarchy of Planck constants is realized in terms of a generalization of the causal diamond  $CD \times CP_2$ , where  $CD$  is defined as an intersection of the future and past directed light-cones of 4-D Minkowski space  $M^4$ .  $CD \times CP_2$  is generalized by gluing singular coverings and factor spaces of both  $CD$  and  $CP_2$  together like pages of book along common back, which is 2-D sub-manifold which is  $M^2$  for  $CD$  and homologically trivial geodesic sphere  $S^2$  for  $CP_2$  [35]. The value of the Planck constant characterizes partially given page and arbitrary large values of  $\hbar$  are predicted so that macroscopic quantum phases are possible since the fundamental quantum scales scale like  $\hbar$ . All particles in the vertices of Feynman diagrams have the same value of Planck constant so that particles at different pages cannot have local interactions. Thus one can speak about relative darkness in the sense that only the interactions mediated by the exchange of particles and by classical fields are possible between different pages. Dark matter in this sense can be observed, say through the classical gravitational and electromagnetic interactions. It is in principle possible to photograph dark matter by the exchange of photons which leak to another page of book, reflect, and leak back. This leakage corresponds to  $\hbar$  changing phase transition occurring at quantum criticality and living matter is expected carry out these phase transitions routinely in bio-control. This picture leads to no obvious contradictions with what is really known about dark matter and to my opinion the basic difficulty in understanding of dark matter (and living matter) is the blind belief in standard quantum theory.

Dark matter hierarchy and p-adic length scale hierarchy would provide a quantitative formulation for the self hierarchy. To a given p-adic length scale one can assign a secondary p-adic time scale as the temporal distance between the tips of the causal diamond (pair of future and past directed light-cones in  $H = M^4 \times CP_2$ ). For electron this time scale is .1 second, the fundamental biorhythm. For a given p-adic length scale dark matter hierarchy gives rise to additional time scales coming as  $\hbar/\hbar_0$  multiples of this time scale. These two hierarchies could allow to get rid of the notion of self as a primary concept by reducing it to a quantum jump at higher level of hierarchy. Self would in general consists of quantum jumps inside quantum jumps inside... and thus experience the flow of time through sub-quantum jumps.

### 3.1.6 Zero energy ontology and consciousness

Zero energy ontology was forced by the interpretational problems created by the vacuum extremal property of Robertson-Walker cosmologies imbedded as 4-surfaces in  $M^4 \times CP_2$  meaning that the density of inertial mass (but not gravitational mass) for these cosmologies was vanishing meaning a conflict with Equivalence Principle. In zero energy ontology physical states are replaced by pairs of positive and negative energy states assigned to the past *resp.* future boundaries of causal diamonds defined as pairs of future and past directed light-cones ( $\delta M_{\pm}^4 \times CP_2$ ). The net values of all conserved quantum numbers of zero energy states vanish. Zero energy states are interpreted as pairs of initial and final states of a physical event such as particle scattering so that only events appear in the new ontology.

Zero energy ontology combined with the notion of quantum jump resolves several problems. For instance, the troublesome questions about the initial state of universe and about the values of conserved quantum numbers of the Universe can be avoided since everything is in principle creatable from vacuum. Communication with the geometric past using negative energy signals and time-like entanglement are crucial for the TGD inspired quantum model of memory and both make sense in zero energy ontology. Zero energy ontology leads to a precise mathematical characterization of the finite resolution of both quantum measurement and sensory and cognitive representations in terms of inclusions of von Neumann algebras known as hyperfinite factors of type  $II_1$ . The space-time correlate for the finite resolution is discretization which appears also in the formulation of quantum TGD.

At the imbedding space-level  $CD$  is the correlate of self whereas space-time sheets having their ends at the light-like boundaries of  $CD$  are the correlates at the level of 4-D space-time. The hierarchy of  $CD$ s within  $CD$ s corresponds to the hierarchy of selves. Zero energy ontology leads also an argument explaining why the arrow of subjective time induces an apparent arrow of geometric time as a result of intentional action and why the contents of sensory consciousness is restricted to such a narrow time interval (located near the future boundary of  $CD$ ).

## 3.2 Negentropy Maximization Principle

Negentropy Maximization Principle (NMP [49]) stating that the reduction of entanglement entropy is maximal at a given step of state function reduction process following  $U$ -process is the basic variational principle for TGD inspired theory of consciousness and says that the information contents of conscious experience is maximal. Although this principle is diametrically opposite to the second law of thermodynamics it is structurally similar to the second law. NMP does not dictate the dynamics completely since in state function reduction any eigen state of the density matrix is allowed as final state. NMP need not be in contradiction with second law of thermodynamics which might relate as much to the ageing of mental images as to physical reality.

### 3.2.1 Basic form of NMP

Negentropy Maximization Principle (NMP) in its original form codes for the basic rules of the standard state function reduction and implies that system ends up to an eigenstate of the density matrix identified as observable. In TGD framework must ask whether NMP should be restricted only to the entanglement between zero modes of WCW representing classical degrees of freedom and quantum fluctuating degrees of freedom or generalize it to apply to any pair of subsystems so that state function reduction sequence could be regarded as a sequence of self measurements. I have chosen the latter option as a working hypothesis.

NMP that the state function reduction process following  $U$ -process gives rise to a maximal reduction of entanglement entropy at each step of the process. State function process could proceed at the level of all  $CD$ s. It is not clear whether one can assign any geometric time duration to this process or whether there is any need for this. If the subsystem allows entangled pairs of free systems (no binding energy) there is more or less unique pair with the maximal entanglement entropy and NMP therefore implies a decomposition to a unique pair of unentangled systems. The process repeats itself for these systems and stops when the resulting subsystem cannot be decomposed to a pair of free systems since energy conservation makes the reduction of entanglement kinematically impossible in the case of bound states. Number theoretic entanglement entropies mean an important modification of this picture.

### 3.2.2 Number theoretic Shannon entropy as information

The notion of number theoretic entropy obtained by can be defined by replacing in Shannon entropy the logarithms of probabilities  $p_n$  by the logarithms of their p-adic norms  $|p_n|_p$ . This replacement makes sense for algebraic entanglement probabilities if appropriate algebraic extension of p-adic numbers is used. What is new that entanglement entropy can be negative, so that algebraic entanglement can carry information and NMP can force the generation of bound state entanglement so that evolution could lead to the generation of larger coherent bound states rather than only reducing entanglement. A possible interpretation for algebraic entanglement is in terms of experience of understanding or some positive emotion like love.

Standard formalism of physics lacks a genuine notion of information and one can speak only about increase of information as a local reduction entropy. It seems strange that a system gaining wisdom should increase the entropy of the environment. Hence number theoretic information measures could have highly non-trivial applications also outside the theory consciousness.

NMP combined with number theoretic entropies leads to an important exception to the rule that the generation of bound state entanglement between system and its environment during  $U$  process leads to a loss of consciousness. When entanglement probabilities are rational (or even algebraic) numbers, the entanglement entropy defined as a number theoretic variant of Shannon entropy can be non-positive (actually is) so that entanglement carries information. NMP favors the generation of algebraic entanglement. The attractive interpretation is that the generation of algebraic entanglement leads to an expansion of consciousness ("fusion into the ocean of consciousness") instead of its loss.

State function reduction period of the quantum jumps involves much more than in wave mechanics. For instance, the choice of quantization axes realized at the level of geometric delicacies related to  $CD$ s is involved.  $U$ -process generates a superposition of states in which any sub-system can have both real and algebraic entanglement with the external world. If state function reduction involves also a choice between generic and negentropic entanglement (between real world, a particular p-adic world, or their intersection) it might be possible to identify a candidate for the physical correlate for the choice between good and evil. The hedonistic complete freedom resulting as the entanglement entropy is reduced to zero on one hand, and the algebraic bound state entanglement implying correlations with the external world and meaning giving up the maximal freedom on the other hand. The hedonistic option is risky since it can lead to non-algebraic bound state entanglement implying a loss of consciousness. The second option means expansion of consciousness - a fusion to the ocean of consciousness as described by spiritual practices. Note that if the total entanglement negentropy defined as sum of contributions from various levels of  $CD$  hierarchy up to the highest matters in NMP then also subselves should develop negentropic entanglement. For instance, the generation of entropic entanglement at cell level can lead to a loss of consciousness also at higher levels. Life would evolve from short to long scales.

### 3.2.3 Life as islands of rational/algebraic numbers in the seas of real and p-adic continua?

Rational and even algebraic entanglement coefficients make sense in the intersection of real and p-adic worlds, which suggests that life and conscious intelligence reside in the intersection of the real and p-adic worlds. This would mean that the mathematical expressions for the space-time surfaces (or at least 3-surfaces or partonic 2-surfaces and their 4-D tangent planes) make sense in both real and p-adic sense for some primes  $p$ . Same would apply to the expressions defining quantum states. In particular, entanglement probabilities would be rationals or algebraic numbers so that entanglement can be negentropic and the formation of bound states in the intersection of real and p-adic worlds generates information and is thus favored by NMP.

The identification of intentionality as the basic aspect of life seems to be consistent with this idea.

1. The proposed realization of the intentional action has been as a transformation of p-adic space-time sheet to a real one. Also transformations of real space-time sheets to p-adic space-time sheets identifiable as cognitions are possible. Algebraic entanglement is a prerequisite for the realization of intentions in this manner. Essentially a leakage between p-adic and real worlds is in question and makes sense only in zero energy ontology. The reason is that various quantum numbers in real and p-adic sectors are not in general comparable in positive energy ontology so that conservation laws would be broken or even cease to make sense.

2. The transformation of intention to action can occur if the partonic 2-surfaces and their 4-D tangent space-distributions are representable using rational functions with rational (or even algebraic) coefficients in preferred coordinates for the imbedding space dictated by symmetry considerations. Intentional systems must live in the intersection of real and p-adic worlds.
3. For the minimal option life would be also effectively 2-dimensional phenomenon and essentially a boundary phenomenon as also number theoretical criticality suggests. There are good reasons to expect that only the data from the intersection of real and p-adic partonic two-surfaces appears in  $U$ -matrix so that only the data from rational and some algebraic points of the partonic 2-surface dictate  $U$ -matrix. This means discretization at parton level and something which might be called number theoretic quantum field theory should emerge as a description of intentional action.

A good guess is that algebraic entanglement is essential for quantum computation, which therefore might correspond to a conscious process. Hence cognition could be seen as a quantum computation like process, a more appropriate term being quantum problem solving [34]. Living-dead dichotomy could correspond to rational-irrational or to algebraic-transcendental dichotomy: this at least when life is interpreted as intelligent life. Life would in a well defined sense correspond to islands of rationality/algebraicity in the seas of real and p-adic continua. Life as a critical phenomenon in the number theoretical sense would be one aspect of quantum criticality of TGD Universe besides the criticality of the space-time dynamics and the criticality with respect to phase transitions changing the value of Planck constant and other more familiar criticalities. How closely these criticalities relate remains an open question [38].

The view about the crucial role of rational and algebraic numbers as far as intelligent life is considered, could have been guessed on very general grounds from the analogy with the orbits of a dynamical system. Rational numbers allow a predictable periodic decimal/pinary expansion and are analogous to one-dimensional periodic orbits. Algebraic numbers are related to rationals by a finite number of algebraic operations and are intermediate between periodic and chaotic orbits allowing an interpretation as an element in an algebraic extension of any p-adic number field. The projections of the orbit to various coordinate directions of the algebraic extension represent now periodic orbits. The decimal/pinary expansions of transcendentals are un-predictable being analogous to chaotic orbits. The special role of rational and algebraic numbers was realized already by Pythagoras, and the fact that the ratios for the frequencies of the musical scale are rationals supports the special nature of rational and algebraic numbers. The special nature of the Golden Mean, which involves  $\sqrt{5}$ , conforms the view that algebraic numbers rather than only rationals are essential for life.

### 3.2.4 Hyper-finite factors of type $II_1$ and NMP

Hyper-finite factors of type  $II_1$  bring in additional delicacies to NMP. The basic implication of finite measurement resolution characterized by Jones inclusion is that state function reduction can never reduce entanglement completely so that entire universe can be regarded as an infinite living organism. It would seem that entanglement coefficients become  $\mathcal{N}$  valued and the same is true for eigen states of density matrix. For quantum spinors associated with  $\mathcal{M}/\mathcal{N}$  entanglement probabilities must be defined as traces of the operators  $\mathcal{N}$ . An open question is whether entanglement probabilities defined in this manner are algebraic numbers always (as required by the notion of number theoretic entanglement entropy) or only in special cases.

## 3.3 Time, memory, and realization of intentional action

Quantum classical correspondence requires that the flow of subjective time identified as a sequence of quantum jumps should have the flow of geometric time as a space-time correlate. The understanding of the detailed relationship between these two times has however remained a long standing problem, and only the emergence of zero energy ontology allows an ad hoc free model for how the flow and arrow of geometric time emerge, and answers why the relationship between geometric past and future is so asymmetric and why sensory experience is about so narrow interval of geometric time. Also the notion of self reduces in well-defined sense to the notion of quantum jump with fractal structure.

### 3.3.1 Two times

The basic implication of the proposed view is that subjective time and geometric time of physicist are not the same [34]. This is not a news actually. Geometric time is reversible, subjective time irreversible. Geometric future and past are in completely democratic position, subject future does not exist at all yet. One can say that the non-determinism of quantum jump is completely outside space-time and Hilbert space since quantum jumps replaces entire 4-D time evolution (or rather, their quantum superposition) with a new one, re-creates it. Also conscious existence defies any geometric description. This new view resolves the basic problem of quantum measurement theory due to the conflict between determinism of Schödinger equation and randomness of quantum jump. The challenge is to understand how these two times correlate so closely as to lead to their erratic identification.

With respect to geometric time the contents of conscious experience is naturally determined by the space-time region inside  $CD$  in zero energy ontology. This geometro-temporal integration should have subjecto-temporal counterpart. The experiences of self are determined by the mental images assignable to subselves (having sub- $CD$ s as imbedding space correlates) and the quantum jump sequences associated with sub-selves define a sequence of mental images. The hypothesis is that self experiences these sequences of mental images as a continuous time flow. In absence of mental images self would have experience of "timelessness" in accordance with the reports of practitioners of various spiritual practices. Self would lose consciousness in quantum jump generating entropic entanglement and experience expansion of consciousness if the resulting entanglement is negentropic. The assumption that the integration of experiences of self involves a kind of averaging over sub-selves of sub-selves guarantees that the sensory experiences are reliable despite the fact that quantum nondeterminism is involved with each quantum jump.

Thus the measurement of density matrix defined by the  $MM^\dagger$ , where  $M$  is the M-matrix between positive and negative energy parts of the zero energy state would correspond to the passive aspects of consciousness such as sensory experiencing.  $U$  would represent at the fundamental level volition as a creation of a quantum superposition of possibilities. What follows it would be a selection between them. The volitional choice between macroscopically differing space-time sheets representing different maxima of Kähler function could be basically responsible for the active aspect of consciousness. The fundamental perception-reaction feedback loop of biosystems would result from the combination of the active and passive aspects of consciousness represented by  $U$  and  $M$ .

The fact that the contents of conscious experience is about 4-D rather than 3-D space-time region, motivates the notions of 4-D brain, body, and even society. In particular, conscious existence continues after biological death since 4-D body and brain continue to exist.

### 3.3.2 About the arrow of psychological time

Quantum classical correspondence predicts that the arrow of subjective time is somehow mapped to that for the geometric time. The detailed mechanism for how the arrow of psychological time emerges has however remained open. Also the notion of self is problematic.

#### Two earlier views about how the arrow of psychological time emerges

The basic question how the arrow of subjective time is mapped to that of geometric time. The common assumption of all models is that quantum jump sequence corresponds to evolution and that by quantum classical correspondence this evolution must have a correlate at space-time level so that each quantum jump replaces typical space-time surface with a more evolved one.

1. The earliest model assumes that the space-time sheet assignable to observer ("self") drifts along a larger space-time sheet towards geometric future quantum jump by quantum jump: this is like driving car in a landscape but in the direction of geometric time and seeing the changing landscape. There are several objections.
  - i) Why this drifting?
  - ii) If one has a large number of space-time sheets (the number is actually infinite) as one has in the hierarchy the drifting velocity of the smallest space-time sheet with respect to the largest one can be arbitrarily large (infinite).

- iii) It is alarming that the evolution of the background space-time sheet by quantum jumps, which must be the quintessence of quantum classical correspondence, is not needed at all in the model.
2. Second model relies on the idea that intentional action -understood as p-adic-to-real phase transition for space-time sheets and generating zero energy states and corresponding real space-time sheets - proceeds as a kind of wave front towards geometric future quantum jump by quantum jump. Also sensory input would be concentrated on this kind of wave front. The difficult problem is to understand why the contents of sensory input and intentional action are localized so strongly to this wave front and rather than coming from entire life cycle.

There are also other models but these two are the ones which represent basic types for them.

### The third option

The third explanation for the arrow of psychological time - which I have considered earlier but only half-seriously - looks to me the most elegant at this moment. This option is actually favored by Occam's razor since it uses only the assumption that space-time sheets are replaced by more evolved ones in each quantum jump. Also the model of topological quantum computation favors it. A more detailed discussion of this option can be found in [40]. Here only a rough summary of the basic ideas is given.

1. In standard picture the attention would gradually shift towards geometric future and space-time in 4-D sense would remain fixed. Now however the fact that quantum state is quantum superposition of space-time surfaces allows to assume that the attention of the conscious observer is directed to a fixed volume of 8-D imbedding space. Quantum classical correspondence is achieved if the evolution in a reasonable approximation means shifting of the space-time sheets and corresponding field patterns backwards backwards in geometric time by some amount per quantum jump so that the perceiver finds the geometric future in 4-D sense to enter to the perceptive field. This makes sense since the shift with respect to  $M^4$  time coordinate is an exact symmetry of extremals of Kähler action. It is also an excellent approximate symmetry for the preferred extremals of Kähler action and thus for maxima of Kähler function spoiled only by the presence of light-cone boundaries. This shift occurs for both the space-time sheet that perceiver identifies itself and perceived space-time sheet representing external world: both perceiver and percept change.
2. Both the landscape and observer space-time sheet remain in the same position in imbedding space but both are modified by this shift in each quantum jump. The perceiver experiences this as a motion in 4-D landscape. Perceiver (Mohammed) would not drift to the geometric future (the mountain) but geometric future (the mountain) would effectively come to the perceiver (Mohammed)!
3. There is an obvious analogy with Turing machine: what is however new is that the tape effectively comes from the geometric future and Turing machine can modify the entire incoming tape by intentional action. This analogy might be more than accidental and could provide a model for quantum Turing machine operating in TGD Universe. This Turing machine would be able to change its own program as a whole by using the outcomes of the computation already performed.
4. The concentration of the sensory input and the effects of conscious motor action to a narrow interval of time (.1 seconds typically, secondary p-adic time scale associated with the largest Mersenne  $M_{127}$  defining p-adic length scale which is not completely super-astronomical) can be understood as a concentration of sensory/motor attention to an interval with this duration: the space-time sheet representing sensory "me" would have this temporal length and "me" definitely corresponds to a zero energy state.
5. The fractal view about topological quantum computation strongly suggests an ensemble of almost copies of sensory "me" scattered along my entire life cycle and each of them experiencing my life as a separate almost copy.



6. The model of geometric and subjective memories would not be modified in an essential manner: memories would result when "me" is connected with my almost copy in the geometric past by braid strands or massless extremals (MEs) or their combinations (ME parallel to magnetic flux tube is the analog of Alfvén wave in TGD).

This argument leaves many questions open. What is the precise definition for the volume of attention? Is the attention of self doomed to be directed to a fixed volume or can quantum jumps change the volume of attention? What distinguishes between geometric future and past as far as contents of conscious experience are considered? How this picture relates to p-adic and dark matter hierarchies? Does this framework allow to formulate more precisely the notion of self? Zero energy ontology allows to give tentative answers to these questions.

### 3.3.3 Questions related to the notion of self

I have proposed two alternative notions of self and have not been able to choose between them. A further question is what happens during sleep: do we lose consciousness or is it that we cannot remember anything about this period? The work with the model of topological quantum computation has led to an overall view allowing to select the most plausible answer to these questions. But let us be cautious!

#### Can one choose between the two variants for the notion of self or are they equivalent?

I have considered two different notions of "self" and it is interesting to see whether the new view about time might allow to choose between them or to show that they are actually equivalent.

1. In the original variant of the theory "self" corresponds to a sequence of quantum jumps. "Self" would result through a binding of quantum jumps to single "string" in close analogy and actually in a concrete correspondence with the formation of bound states. Each quantum jump has a fractal structure: unitary process is followed by a sequence of state function reductions and preparations proceeding from long to short scales. Selves can have sub-selves and one has self hierarchy. The questionable assumption is that self remains conscious only as long as it is able to avoid entanglement with environment.

Even slightest entanglement would destroy self unless one introduces the notion of finite measurement resolution applying also to entanglement. This notion is indeed central for entire quantum TGD also leads to the notion of sharing of mental images: selves unentangled in the given measurement resolution can experience shared mental images resulting as fusion of sub-selves by entanglement not visible in the resolution used.

2. According to the newer variant of theory, quantum jump has a fractal structure so that there are quantum jumps within quantum jumps: this hierarchy of quantum jumps within quantum jumps would correspond to the hierarchy of dark matters labeled by the values of Planck constant. Each fractal structure of this kind would have highest level (largest Planck constant) and this level would correspond to the self. What might be called irreducible self would correspond to a quantum jump without any sub-quantum jumps (no mental images). The quantum jump sequence for lower levels of dark matter hierarchy would create the experience of flow of subjective time.

It would be nice to reduce the original notion of self hierarchy to the hierarchy defined by quantum jumps. There are some objections against this idea. One can argue that fractality is a purely geometric notion and since subjective experience does not reduce to the geometry it might be that the notion of fractal quantum jump does not make sense. It is also not quite clear whether the reasonable looking idea about the role of entanglement as destroyer of self can be kept in the fractal picture.

These objections fail if one can construct a well-defined mathematical scheme allowing to understand what fractality of quantum jump at the level of space-time correlates means and showing that the two views about self are equivalent. The following argument represents such a proposal. Let us start from the causal diamond model as a lowest approximation for a model of zero energy states and for the space-time region defining the contents of sensory experience.

Let us make the following assumptions.

1. Assume the hierarchy of causal diamonds within causal diamonds in a sense to be specified more precisely below. Causal diamonds would represent the volumes of attention. Assume that the highest level in this hierarchy defines the quantum jump containing sequences of lower level quantum jumps in some sense to be specified. Assume that these quantum jumps integrate to single continuous stream of consciousness as long as the sub...-sub-self in question remains unentangled and that entangling means loss of consciousness or at least that it is not possible to remember anything about contents of consciousness during entangled state.
2. Assume that the contents of conscious experience come from the interior of the causal diamond. A stronger condition would be that the contents come from the boundaries of the two light-cones involved since physical states are defined at these in the simplest picture. In this case one could identify the lower light-cone boundary as giving rise to memory.
3. The time span characterizing the contents of conscious experience associated with a given quantum jump would correspond to the temporal distance  $T$  between the tips of the causal diamond.  $T$  would also characterize the average and approximate shift of the superposition of space-time surfaces backwards in geometric time in single quantum jump at a given level of hierarchy. This time scale naturally scales as  $T_n = 2^n T_{CP_2}$  so that p-adic length scale hypothesis follows as a consequence.  $T$  would be essentially the secondary p-adic time scale  $T_{2,p} = \sqrt{p}T_p$  for  $p \simeq 2^k$ . This assumption - absolutely essential for the hierarchy of quantum jumps within quantum jumps - would differentiate the model from the model in which  $T$  corresponds to either  $CP_2$  time scale or p-adic time scale  $T_p$ . One would have hierarchy of quantum jumps with increasingly longer time span for memory and with increasing duration of geometric chronon at the highest level of fractal quantum jump. Without additional restrictions, the quantum jump at  $n^{th}$  level would contain  $2^n$  quantum jumps at the lowest level of hierarchy. Note that in the case of sub-self - and without further assumptions which will be discussed next - one would have just two quantum jumps: mental image appears, disappears or exists all the time. At the level of sub-sub-selves 4 quantum jumps and so on. Maybe this kind of simple predictions might be testable.
4. We know that the contents of sensory experience comes from a rather narrow time interval of duration about .1 seconds, which corresponds to the time scale  $T_{127}$  associated with electron. We also know that there is asymmetry between positive and negative energy parts of zero energy states both physically and at the level of conscious experience. This asymmetry must have some space-time correlate. The simplest correlate for the asymmetry between positive and negative energy states would be that the upper light-like boundaries in the structure formed by light-cones within light-cones intersect along light-like radial geodesic. No condition of this kind would be posed on lower light-cone boundaries. The scaling invariance of this condition makes it attractive mathematically and would mean that arbitrarily long time scales  $T_n$  can be present in the fractal hierarchy of light cones. At all levels of the hierarchy all contribution from upper boundary of the causal diamond to the conscious experience would come from boundary of the same past directed light-cone so that the conscious experience would be sharply localized in time in the manner as we know it to be. The new element would be that content of conscious experience would come from arbitrarily large region of Universe and seeing Milky Way would mean direct sensory contact with it.
5. These assumptions relate the hierarchy of quantum jumps to p-adic hierarchy. One can also include also dark matter hierarchy into the picture. For dark matter hierarchy the time scale hierarchy  $\{T_n\}$  is scaled by the factor  $r = \hbar/\hbar_0$  which can be also rational number. For  $r = 2^k$  the hierarchy of causal diamonds generalizes without difficulty and there is a kind of resonance involved which might relate to the fact that the model of EEG favors the values of  $k = 11n$ , where  $k = 11$  also corresponds in good approximation to proton-electron mass ratio. For more general values of  $\hbar/\hbar_0$  the generalization is possible assuming that the position of the upper tip of causal diamond is chosen in such a manner that their positions are always the same whereas the position of the lower light-cone boundary would correspond to  $\{rT_n\}$  for given value of Planck constant. Geometrically this picture generalizes the original idea about fractal hierarchy of quantum jumps so that it contains both p-adic hierarchy and hierarchy of Planck constants.

The contributions from lower the boundaries identifiable in terms of memories would correspond to different time scales and for a given value of time scale  $T$  the net contribution to conscious experience

would be much weaker than the sensory input in general. The asymmetry between geometric now and geometric past would be present for all contributions to conscious experience, not only sensory ones. What is nice that the contents of conscious experience would rather literally come from the boundary of the past directed light-cone along which the classical signals arrive. Hence the mystic feeling about telepathic connection with a distant object at distance of billions of light years expressed by an astrophysicist, whose name I have unfortunately forgotten, would not be romantic self deception.

This framework explains also the sharp distinction between geometric future and past (not surprisingly since energy and time are dual): this distinction has also been a long standing problem of TGD inspired theory of consciousness. Precognition is not possible unless one assumes that communications and sharing of mental images between selves inside disjoint causal diamonds is possible. Physically there seems to be no good reason to exclude the interaction between zero energy states associated with disjoint causal diamonds.

The mathematical formulation of this intuition is however a non-trivial challenge and can be used to articulate more precisely the views about what configuration space and configurations space spinor fields actually are mathematically.

1. Suppose that the causal diamonds with tips at different points of  $H = M^4 \times CP_2$  and characterized by distance between tips  $T$  define sectors  $CH_i$  of the full configuration space  $CH$  ("world of classical worlds"). Precognition would represent an interaction between zero energy states associated with different sectors  $CH_i$  in this scheme and tensor factor description is required.
2. Inside given sector  $CH_i$  it is not possible to speak about second quantization since every quantum state correspond to a single mode of a classical spinor field defined in that sector.
3. The question is thus whether the Clifford algebras and zero energy states associated with different sectors  $CH_i$  combine to form a tensor product so that these zero energy states can interact. Tensor product is required by the vision about zero energy insertions assignable to  $CH_i$  which correspond to causal diamonds inside causal diamonds. Also the assumption that zero energy states form an ensemble in 4-D sense - crucial for the deduction of scattering rates from  $M$ -matrix - requires tensor product.
4. The argument unifying the two definitions of self requires that the tensor product is restricted when  $CH_i$  correspond to causal diamonds inside each other. The tensor factors in shorter time scales are restricted to the causal diamonds hanging from a light-like radial ray at the upper end of the common past directed light-cone. If the causal diamonds are disjoint there is no obvious restriction to be posed, and this would mean the possibility of also precognition and sharing of mental images.

This scenario allows also to answers the questions related to a more precise definition of volume of attention. Causal diamond - or rather - the associated light-like boundaries containing positive and negative energy states define the primitive volume of attention. The obvious question whether the attention of a given self is doomed to be fixed to a fixed volume can be also answered. This is not the case. Selves can delocalize in the sense that there is a wave function associated with the position of the causal diamond and quantum jumps changing this position are possible. Also many-particle states assignable to a union of several causal diamonds are possible. Note that the identification of magnetic flux tubes as space-time correlates of directed attention in TGD inspired quantum biology makes sense if these flux tubes connect different causal diamonds. The directedness of attention in this sense should be also understood: it could be induced from the ordering of p-adic primes and Planck constant: directed attention would be always from longer to shorter scale.

#### What after biological death?

Could the new option allow to speculate about the course of events at the moment of death? Certainly this particular sensory "me" would effectively meet the geometro-temporal boundary of the biological body: sensory input would cease and there would be no biological body to use anymore. "Me" might lose its consciousness (if it can!). "Me" has also other mental images than sensory ones and these could begin to dominate the consciousness and "me" could direct its attention to space-time sheets corresponding to much longer time scale, perhaps even to that of life cycle, giving a summary about the life.

What after that? The Tibetan Book of Dead gives some inspiration. A western "me" might hope (and even try use its intentional powers to guarantee) that quantum Turing tape sooner later brings into the volume of attention (which might also change) a living organism, be it human or cat or dog or at least some little bug. If this "me" is lucky, it could direct its attention to it and become one of the very many sensory "me's" populating this particular 4-D biological body. There would be room for a newcomer unlike in the alternative models. A "me" with Eastern/New-Ageish traits could however direct its attention permanently to the dark space-time sheets and achieve what she might call enlightenment.

### Does sleep state involve a loss of consciousness?

The ability to avoid entropic entanglement with environment is essential for the original notion of self and in the case of sub-selves it would explain the finite life-time of mental images. Algebraic entanglement can be however negentropic and the idea that its generation does not lead to a loss of consciousness is attractive. If sleep really means a loss of consciousness it must lead to a generation of entropic entanglement. But does this really happen? Could sleep only lead to a loss of consciousness at those levels of self hierarchy responsible for conscious memories, which correspond to mental images and thus sub-*CDs* located in those space-time regions of *CD*, where the sleeping occurs?

Is the assumption about the loss of consciousness during sleep really necessary? Can one imagine good reasons for why we should remain conscious during sleep?

1. One could argue that if consciousness is really lost during sleep, we could not have the deep conviction that we existed yesterday.
2. Second argument is based on the assumption that brains are acting as topological quantum computers during sleep. During an ideal topological quantum computation the entanglement with the surrounding world is absent and thus topological quantum computation should correspond to a conscious experience with a vanishing entanglement entropy. Night time is the best time for topological quantum computation since sensory input and motor action do not take metabolic resources and we certainly do problem solving during sleep. Thus we should be conscious at some level during sleep and perform quite a long topological quantum computation. The problem with this argument is that the ideal topological quantum computation could be performed by a larger system than brain so that ability to perform topological quantum computation does not allow to conclude whether we are conscious during sleep or not. In fact, the idea that large number of brains entangle to a larger unit giving rise to a stereo consciousness about what it is to be human besides performing topological quantum computation like processes, is rather attractive.

Could it then be that we do not remember anything about the period of sleep because our attention is directed elsewhere and memory recall uses only copies of "me" assignable to brain manufacturing standardized mental images? Perhaps the communication link to the mental images during sleep experienced at dark matter levels of existence is lacking or sensory input and motor activities of busy westerners do not allow to use metabolic energy to build up this kind of communications. Hence one can at least half-seriously ask, whether self is actually eternal with respect to the subjective time and whether entangling with some system means only diving into the ocean of consciousness as someone has expressed it. Could we be Gods as also quantum classical correspondence in the reverse direction suggests (*p*-adic cognitive space-time sheets have literally infinite size in both temporal and spatial directions)?

### 3.3.4 Do declarative memories and intentional action involve communications with geometric past?

Communications with geometric past using time mirror mechanism in which phase conjugate photons propagating to the geometric past are reflected back as ordinary photons (typically dark photons with energies above thermal threshold) make possible realization of declarative memories in the brain of the geometric past [25].

This mechanism makes also possible realization of intentional actions as a process proceeding from longer to shorter time scales and inducing the desired action already in geometric past. This kind

of realization would make living systems extremely flexible and able to react instantaneously to the changes in the environment. This model explains Libet's puzzling finding that neural activity seems to precede volition [38].

Also a mechanism of remote metabolism ("quantum credit card") based on sending of negative energy signals to geometric past becomes possible [41]: this signal could also serve as a mere control signal inducing much larger positive energy flow from the geometric past. For instance, population inverted system in the geometric past could allow this kind of mechanism. Remote metabolism could also have technological implications.

### 3.3.5 Episodal memories as time-like entanglement

Time-like entanglement explains episodal memories as sharing of mental images with the brain of geometric past [25]. An essential element is the notion of magnetic body which serves as an intentional agent "looking" the brain of geometric past by allowing phase conjugate dark photons with negative energies to reflect from it as ordinary photons. The findings of Libet about time delays related to the passive aspects of consciousness [75] support the view that the part of the magnetic body corresponding to EEG time scale has the same size scale as Earth's magnetosphere. The unavoidable conclusion would be that our field/magnetic bodies contain layers with astrophysical sizes.

p-Adic length scale hierarchy and number theoretically preferred hierarchy of values of Planck constants, when combined with the condition that the frequencies  $f$  of photons involved with the communications in time scale  $T$  satisfy the condition  $f \sim 1/T$  and have energies above thermal energy, lead to rather stringent predictions for the time scales of long term memory. The model for the hierarchy of EEGs relies on the assumption that these time scales come as powers  $n = 2^{11k}$ ,  $k = 0, 1, 2, \dots$ , and predicts that the time scale corresponding to the duration of human life cycle is  $\sim 50$  years and corresponds to  $k = 7$  (amusingly, this corresponds to the highest level in chakra hierarchy).

## 3.4 Cognition and intentionality

### 3.4.1 Fermions and Boolean cognition

Fermionic Fock state basis defines naturally a quantum version of Boolean algebra. In zero energy ontology predicting that physical states have vanishing net quantum numbers, positive and negative energy components of zero energy states with opposite fermion numbers define realizations of Boolean functions via time-like quantum entanglement. One can also consider an interpretation of zero energy states in terms of rules of form  $A \rightarrow B$  with the instances of  $A$  and  $B$  represented as elements Fock state basis fixed by the diagonalization of the density matrix defined by  $M$ -matrix. Hence Boolean consciousness would be basic aspect of zero energy states. Physical states would be more like memes than matter. Note also that the fundamental super-symmetric duality between bosonic degrees of freedom (size and shape of the 3-surface) and fermionic degrees of freedom would correspond to the sensory-cognitive duality.

This would explain why Boolean and temporal causalities are so closely related. Note that zero energy ontology is certainly consistent with the usual positive energy ontology if unitary process  $U$  associated with the quantum jump is more or less trivial in the degrees of freedom usually assigned with the material world. There are arguments suggesting that  $U$  is tensor product of factoring S-matrices associated with 2-D integrable QFT theories [31]: these are indeed almost trivial in momentum degrees of freedom. This would also imply that our geometric past is rather stable so that quantum jump of geometric past does not suddenly change your profession from that of musician to that of physicist. The maximal diagonality of  $U$ -matrix for p-adic-to-real transitions would in turn favor precise realization of intentions as actions. One must however take this kind of arguments with extreme caution.

### 3.4.2 Fuzzy logic, quantum groups, and Jones inclusions

Matrix logic [33] emerges naturally when one calculates expectation values of logical functions defined by the zero energy states with positive energy fermionic Fock states interpreted as inputs and corresponding negative energy states interpreted as outputs. Also the non-commutative version of the quantum logic, with spinor components representing amplitudes for truth values replaced with non-commutative operators, emerges naturally. The finite resolution of quantum measurement generalizes

to a finite resolution of Boolean cognition and allows description in terms of Jones inclusions  $\mathcal{N} \subset \mathcal{M}$  of infinite-dimensional Clifford algebras of the world of classical worlds (WCW) identifiable in terms of fermionic oscillator algebras.  $\mathcal{N}$  defines the resolution in the sense that quantum measurement and conscious experience does not distinguish between states differing from each other by the action of  $\mathcal{N}$ .

The finite-dimensional quantum Clifford algebra  $\mathcal{M}/\mathcal{N}$  creates the physical states modulo the resolution. This algebra is non-commutative which means that corresponding quantum spinors have non-commutative components. The non-commutativity codes for the that the spinor components are correlated: the quantized fractal dimension for quantum counterparts of 2-spinors satisfying  $d = 2\cos(\pi/n) \leq 2$  expresses this correlation as a reduction of effective dimension.

The moduli of spinor components however commute and have interpretation as eigenvalues of truth and false operators or probabilities that the statement is true/false. They have quantized spectrum having also interpretation as probabilities for truth values and this spectrum differs from the spectrum  $\{1, 0\}$  for the ordinary logic so that fuzzy logic results from the finite resolution of Boolean cognition [33].

### 3.4.3 p-Adic physics as physics of cognition and intentionality

p-Adic physics as physics of cognition and intentionality provides a further element of TGD inspired theory of consciousness. At the fundamental level light-like 3-surfaces are basic dynamical objects in TGD Universe and have interpretation as orbits of partonic 2-surfaces. The generalization of the notion of number concept by fusing real numbers and various p-adic numbers to a more general structure makes possible to assign to real parton a p-adic prime  $p$  and corresponding p-adic partonic 3-surface obeying same algebraic equations. The almost topological QFT property of quantum TGD is an essential prerequisite for this. The intersection of real and p-adic 3-surfaces would consist of a discrete set of points with coordinates which are algebraic numbers. p-Adic partons would relate to both intentionality and cognition.

The transformation of p-adic variant of the partonic 3-surface with bosonic quantum numbers to its real counterpart in quantum jump would represent a transformation of intention to action and the unitary matrix  $U$  would govern this process. The larger the number of algebraic points in the intersection, the more precise the realization of intention as action would be.

Real fermion and its p-adic counterpart forming a pair would represent matter and its cognitive representation being analogous to a fermion-hole pair resulting when fermion is kicked out from Dirac sea. The larger the number of points in the intersection of real and p-adic surfaces, the better the resolution of the cognitive representation would be. This would explain why cognitive representations in the real world are always discrete (discreteness of numerical calculations represent the basic example about this fundamental limitation).

All transcendental p-adic integers are infinite as real numbers and one can say that most points of p-adic space-time sheets are at spatial and temporal infinity in the real sense so that intentionality and cognition would be literally cosmic phenomena. If the intersection of real and p-adic space-time sheet contains large number of points, the continuity and smoothness of p-adic physics should directly reflect itself as long range correlations of real physics realized as p-adic fractality. It would be possible to measure the correlates of cognition and intention and in the framework of zero energy ontology [31] the success of p-adic mass calculations can be seen as a direct evidence for the role of intentionality and cognition even at elementary particle level: all matter would be basically created by intentional action as zero energy states.

### 3.4.4 Algebraic Brahman=Atman identity

The proposed view about cognition and intentionality emerges from the notion of infinite primes [16], which was actually the first genuinely new mathematical idea inspired by TGD inspired consciousness theorizing. Infinite primes, integers, and rationals have a precise number theoretic anatomy. For instance, the simplest infinite primes correspond to the numbers  $P_{\pm} = X \pm 1$ , where  $X = \prod_k p_k$  is the product of all finite primes. Indeed,  $P_{\pm} \bmod p = 1$  holds true for all finite primes. The construction of infinite primes at the first level of the hierarchy is structurally analogous to the quantization of super-symmetric arithmetic quantum field theory with finite primes playing the role of momenta associated with fermions and bosons. Also the counterparts of bound states emerge. This process can

be iterated: at the second level the product of infinite primes constructed at the first level replaces  $X$  and so on.

The structural similarity with repeatedly second quantized quantum field theory strongly suggests that physics might in some sense reduce to a number theory for infinite rationals  $M/N$  and that second quantization could be followed by further quantizations. As a matter of fact, the hierarchy of space-time sheets could realize this endless second quantization geometrically and have also a direct connection with the hierarchy of logics labeled by their order. This could have rather breathtaking implications.

1. One is forced to ask whether this hierarchy corresponds to a hierarchy of realities for which level below corresponds in a literal sense infinitesimals and the level next above to infinity.
2. Second implication is that there is an infinite number of infinite rationals behaving like real units ( $M/N \equiv 1$  in real sense) so that space-time points could have infinitely rich number theoretical anatomy not detectable at the level of real physics. Infinite integers would correspond to positive energy many particle states and their inverses (infinitesimals with number theoretic structure) to negative energy many particle states and  $M/N \equiv 1$  would be a counterpart for zero energy ontology to which oneness and emptiness are assigned in mysticism.
3. Single space-time point, which is usually regarded as the most primitive and completely irreducible structure of mathematics, would take the role of Platonian mathematical ideas being able to represent in its number theoretical structure even the quantum state of entire Universe. Algebraic Brahman=Atman identity and algebraic holography would be realized in a rather literal sense.

This number theoretical anatomy should relate to mathematical consciousness in some manner. For instance, one can ask whether it makes sense to speak about quantum jumps changing the number theoretical anatomy of space-time points and whether these quantum jumps give rise to mathematical ideas. In fact, the identifications of Platonian ideas as spinor fields in WCW on one hand and as the set number theoretical anatomies of point of imbedding space force the conclusion that configuration space spinor fields (recall also the identification as correlates for logical mind) can be realized in terms of the space for number theoretic anatomies of imbedding space points. Therefore quantum jumps would correspond to changes in anatomy of the space-time points. Imbedding space would be experiencing genuine number theoretical evolution. The whole physics would reduce to the anatomy of numbers. All mathematical notions which are more than mere human inventions would be imbeddable to the Platonian ideas realized as the number theoretical anatomies of single imbedding space point.

In [23, 16] a concrete realization of this vision is discussed by assuming hyper-octonionic infinite primes as a starting point. In this picture associativity and commutativity are assigned only to infinite integers representing many particle states but not necessarily to infinite primes themselves: this guarantees the well-definedness of the space-time surface assigned to the infinite rational. Quantum states are required to be associative in the sense that they correspond to quantum superpositions of all possible associations for the products of (infinite) primes (say  $|A(BC)\rangle + |(AB)C\rangle$ ). The ground states of super conformal representations would correspond to infinite primes mappable to space-time surfaces (quantum classical correspondence). The excited states of super-conformal representations would be represented as quantum entangled states in the tensor product of state spaces  $\mathcal{H}_{h_k}$  formed from Schrödinger amplitudes in discrete subsets of the space of 8 real units associated with imbedding space 8 coordinates at point  $h_k$ : the interpretation is in terms of a 8-fold tensor power of basic super-conformal representation. Although the representations are not completely local at the level of imbedding space, they involve only a discrete set of points identifiable as arguments of  $n$ -point function. The basic symmetries of the standard model reduce to number theory if hyper-octonionic infinite rationals are allowed. Color confinement reduces to rationality of infinite integers representing many particle states.

### 3.5 Quantum information processing in living matter

The notion of magnetic body leads to a dramatic modification of the views about functions of brain. In the following the discussion of the new vision about life as number theoretically critical phenomenon is not discussed separately.

### 3.5.1 Magnetic body as intentional agent and experiencer

In TGD Universe brain would be basically a builder of symbolic representations assigning a meaning to the sensory input by decomposing sensory field to objects and making possible effective motor control by magnetic body containing dark matter. A concrete model for how magnetic controls biological body and receives information from it is discussed in the model for the hierarchy of EEGs [37].

Also magnetic body could have sensory qualia, which should be in a well-defined sense more refined than ordinary sensory qualia [42]. The quantum number increments associated with cyclotron phase transitions of dark ion cyclotron condensates at magnetic body could correspond to emotional and cognitive content of sensory input and would indeed have interpretation as higher level sensory qualia. Right brain sings – left brain talks metaphor would characterize this emotional-cognitive distinction for higher level qualia and would correspond to coding of sensory input from brain by frequency patterns *resp.* temporal patterns (analogs of phonemes). These qualia would be somatosensory qualia at the level of magnetic body.

Remote mental interactions between magnetic body and biological body are a key element of this picture. Remote mental interactions in the usual sense of the world would occur between magnetic body and some other, not necessary biological, body. This would include reception of sensory input from and motor control of other than own body. Also "dead" matter possesses magnetic bodies so that also psychokinesis would be based on the same mechanism. Magnetic body for which dissipation is much smaller than for ordinary matter (proportional to  $1/\hbar$ , would presumably continue its conscious existence after biological death and find another biological body and use it as a tool of sensory perception and intentional action.

### 3.5.2 Summary about the possible role of the magnetic body in living matter

The notion of magnetic/field body is probably the feature of TGD inspired theory of quantum biology which creates strongest irritation in standard model physicist. A ridicule as some kind of Mesmerism might be the probable reaction. The notion of magnetic/field body has however gradually gained more and more support and it is now an essential element of TGD based view about living matter. In the following I list the basic applications in the hope that the overall coherency of the picture might force some readers to take this notion seriously. I will talk only about magnetic body although it is clear that field body has also electric parts as well as radiative parts realized in terms of "massless extremals" or topological light rays.

In the following discussion the possible implications of the idea that living matter resides in the intersection of real and p-adic worlds is not taken into account. An attractive working hypothesis is that negentropic entanglement can be assigned to the magnetic bodies. For instance, the ends of the magnetic flux tubes connecting (say) biomolecules could be entangled negentropically. This idea has been already applied to explain the stability of high energy phosphate bond and of DNA polymers, which are highly charged [43].

#### Anatomy of magnetic body

Consider first the anatomy of the magnetic body.

1. Magnetic body has a fractal onion like structure with decreasing magnetic field strengths and the highest layers can have astrophysical sizes. Cyclotron wave length gives an estimate for the size of particular layer of magnetic body.  $B = .2$  Gauss is the field strength associated with a particular layer of the magnetic body assignable to vertebrates and EEG. This value is not the same as the nominal value of the Earth's magnetic field equal to .5 Gauss. It is quite possible that the flux quanta of the magnetic body correspond to those of wormhole magnetic field and thus consist of two parallel flux quanta which have opposite time orientation. This is true for flux tubes assigned to DNA in the model of DNA as a topological quantum computer.
2. The layers of the magnetic body are characterized by the values of Planck constant and the matter at the flux quanta can be interpreted as macroscopically quantum coherent dark matter. This picture makes sense only if one accepts the generalization of the notion of imbedding space.



3. In the case of wormhole magnetic fields it is natural to assign a definite temporal duration to the flux quanta and the time scales defined by EEG frequencies are natural. In particular, the inherent time scale .1 seconds assignable to electron as a duration of zero energy space-time sheet having positive and negative energy electron at its ends would correspond to 10 Hz cyclotron frequency for ordinary value of Planck constant. For larger values of Planck constants the time scale scales as  $\hbar$ . Quite generally, a connection between p-adic time scales of EEG and those of electron and lightest quarks is highly suggestive since light quarks play key role in the model of DNA as topological quantum computer.
4. TGD predicts also hierarchy of scaled variants of electro-weak and color physics so that ZXG, QXG, and GXG corresponding to  $Z^0$  boson,  $W$  boson, and gluons appearing effectively as massless particles below some biologically relevant length scale suggest themselves. In this phase quarks and gluons are unconfined and electroweak symmetries are unbroken so that gluons, weak bosons, quarks and even neutrinos might be relevant to the understanding of living matter. In particular, long ranged entanglement in charge and color degrees of freedom becomes possible. For instance, TGD based model of atomic nucleus as nuclear string suggests that biologically important fermionic could be actually chemically equivalent bosons and form cyclotron Bose-Einstein condensates.

### Functions of the magnetic body

The list of possible functions of the magnetic body is already now rather impressive.

1. Magnetic body controls biological body and receives sensory data from it. Together with zero energy ontology and new view about time explains Libet's strange findings about time lapses of consciousness. EEG, or actually fractal hierarchy of EXGs assignable to various body parts makes possible communications to and control by the various layers of the magnetic body. WXG could induce charge density gradients by the exchange of  $W$  boson.
2. The flux sheets of the magnetic body traverse through DNA strands. The hierarchy of Planck constants and quantization of magnetic flux predicts that the flux sheets can have arbitrarily large width. This leads to the idea that there is hierarchy of genomes corresponding to ordinary genome, supergenome consisting of genomes of several cell nuclei arranged along flux sheet like lines of text, and hypergenomes involving genomes of several organisms arranged in a similar manner. The prediction is coherent gene expression at the level of organ, and even of population. In this picture the big jumps in evolution, in particular, the emergence of EEG, could be seen as the emergence of a new larger layer of magnetic body characterized by a larger value of Planck constant. For instance, this would allow to understand why the quantal effects of ELF em fields requiring so large value of Planck constant that cyclotron energies are above thermal energy at body temperature are observed for vertebrates only.
3. Magnetic body makes possible information process in a manner highly analogous to topological quantum computation. The model of DNA as topological quantum computer assumes that flux tubes of wormhole magnetic field connect DNA nucleotides with the lipids of the lipid layer of nuclear or cell membrane. The flux tubes would continue through the membrane and split during topological quantum computation. The time-like braiding of flux tubes makes possible topological quantum computation via timelike braiding and space-like braiding makes possible the representation of memories. The model allows general vision about the deeper meaning of the structure of cell and makes testable predictions about DNA.

One prediction is the coloring of braid strands realized by an association of quark or antiquark to nucleotide. Color and spin of quarks and antiquarks would thus correspond to the quantum numbers assignable to braid ends. Color isospin could replace ordinary spin as a representation of qubit and quarks would naturally give rise to qutrit, with third quark would have interpretation as unspecified truth value. Fractionization of these quantum numbers takes place which increases the number of degrees of freedom. This prediction would relate closely to the discovery of topologist Barbara Shipman that the model for the honeybee dance suggests that quarks are in some manner involved with cognition. Also microtubules associated with axons connected to a space-time sheet outside axonal membrane via lipids could be involved with topological quantum computation and actually define an analog of a higher level programming language.

4. The strange findings about the behavior of cell membrane, in particular the finding that metabolic deprivation does not lead to the death of cell, the discovery that ionic currents through the cell membrane are quantal, and that these currents are essentially similar than those through an artificial membrane, suggest that the ionic currents are dark ionic Josephson currents along magnetic flux tubes. A high percent of biological ions would be dark and ionic channels and pumps would be responsible only for the control of the flow of ordinary ions through cell membrane.
5. These findings together with the discovery that also nerve pulse seems to involve only low dissipation lead to a model of nerve pulse in which dark ionic currents automatically return back as Josephson currents without any need for pumping. This does not exclude the possibility that ionic channels might be involved with the generation of nerve pulse so that the original view about quantal currents as controllers of the generation of nerve pulse would be turned upside down. Nerve pulse would result as a perturbation of kHz soliton sequence mathematically equivalent to a situation in which a sequence of gravitational penduli rotates with constant phase difference between neighbors except for one pendulum which oscillates and oscillation moves along the sequence with the same velocity as the kHz wave. The oscillation would be induced by a "kick" for which one can imagine several mechanisms.

The model explains features of nerve pulse not explained by Hodgkin-Huxley model. These include the mechanical changes associated with axon during nerve pulse, the outwards force generated by nerve pulse with a correct prediction for its order of magnitude, the adiabatic character of nerve pulse, and the small rise of temperature of membrane during pulse followed by a reduction slightly below the original temperature.

The model predicts that the time taken to travel along any axon is a multiple of time dictated by the resting potential so that synchronization is an automatic prediction. Not only kHz waves but also a fractal hierarchy of EEG (and EXG) waves are induced as Josephson radiation by voltage waves along axons and microtubules and by standing waves assignable to neuronal (cell) soma. The value of Planck constant involved with flux tubes determines the frequency scale of EXG so that a fractal hierarchy results. A hierarchy of preferred values of Planck constant coming as powers of  $2^{11}$  suggests itself and would correspond also a hierarchy of time scales of memory recall and of planned action. Ordinary EEG would correspond to  $2^{k11}$ ,  $k = 4$ , but also shorter and longer time scales are predicted.

The model forces to challenge the existing interpretation of nerve pulse patterns and the function of neural transmitters. Neural transmitters need not represent actual/only) signal but could be more analogous to links in quantum web. The transmitter would coding the address of the receiver, which could be gene inside neuronal nucleus. Nerve pulses would build a connection line between sender and receiver of nerve pulse along which actual signals would propagate. Also quantum entanglement between receiver and sender can be considered.

6. Acupuncture points, meridians, and Chi are key notions of Eastern medicine and find a natural identification in terms of magnetic body lacking from the western medicine. Also a connection with well established notions of DC currents and potentials discovered by Becker and with TGD based view about universal metabolic currencies as differences of zero point energies for pairs of space-time sheets with different p-adic length scale emerges.

Chi would correspond to these fundamental metabolic energy quanta to which ordinary chemically stored metabolic energy would be transformed. Meridians would most naturally correspond to flux tubes with large  $\hbar$  along which dark supra currents flow without dissipation and transfer the metabolic energy between distant cells. Acupuncture points would correspond to points between which metabolic energy is transferred and their high conductivity and semiconductor like behavior would conform with the interpretation in terms of metabolic energy storages. The energy gained in the potential difference between the points would help to kick the charge carrier to a smaller space-time sheet. It is possible that the main contribution to the of charge at magnetic flux tube is magnetic energy and slightly below the metabolic energy quantum and that the voltage difference gives only the lacking small energy increment making the transfer possible. Also direct kicking of charge carriers to smaller space-time sheets by photons is possible and the observed action spectrum for IR and red photons corresponds to the predicted increments of zero point kinetic energies.

7. Magnetic flux tubes could also play key role in bio-catalysis and explain the magic ability of biomolecules to find each other. The model of DNA as topological quantum computer [34] suggest that not only DNA and its conjugate but also some amino-acid sequences acting as catalysts could be connected to DNA and other amino-acids sequences or more general biomolecules by flux tubes acting as colored braid strands. The shortening of the flux tubes in a phase transition reducing the value of Planck constant would make possible extremely selective mechanisms of catalysis allowing precisely defined locations of reacting molecules to attach to each other. With recently discovered mechanism for programming sequences of biochemical reactions this would make possible to understand the miraculous looking feats of bio-catalysis.
8. The ability to construct "stories", temporally scaled down or possible also scaled up representations about the dynamical processes of external world, might be one of the key aspects of intelligence. There is direct empirical evidence for this activity in hippocampus. The phase transitions reducing or increasing the value of Planck constant would indeed allow to achieve this by scaling the time duration of the zero energy space-time sheets providing cognitive representations.

### Direct experimental evidence for the notion of magnetic body carrying dark matter

The list of nice things made possible by the magnetic body is impressive and one can ask whether there is any experimental support for this notion. The findings of Peter Gariaev and collaborators give evidence for the representation of DNA sequences based on the coding of nucleotide to a rotation angle of the polarization direction as photon travels through the flux tube and for the decoding of this representation to gene activation [107], for the transformation of laser light to light at various radio-wave frequencies having interpretation in terms of phase transitions increasing  $\hbar$  [35, 34], and even for the possibility to photograph magnetic flux tubes containing dark matter by using ordinary light in UV-IR range scattered from DNA [110].

### 3.5.3 Brain and consciousness

In the proposed vision the role of brain for consciousness is not so central than in neuroscience view. Brain is not the seat of sensory mental images but builder of symbolic representations and magnetic body replaces brain as an intentional agent and higher level experiencer. Furthermore, p-adic view about cognition means that only cognitive representations but not cognition itself can be localized in a finite space-time region.

The simplest sensory qualia would be realized at the level of sensory organs so that one can avoid the problematic assignment of sensory qualia to the sensory pathways. The new view about time would allow to resolve the objections against this view. For instance, phantom leg phenomenon would result by sharing of sensory mental images of the geometric past by time like quantum entanglement. For instance, visual colors would correspond to increments of color quantum numbers in quantum jumps at the level of retina. Our sensory mental images do not correspond to the sensory input as such. Rather, the feedback from brain (or from magnetic body via brain) to sensory organs is an essential element in the construction of sensory mental images. For instance, during REM sleep rapid eye movements would reflect the presence of this feedback. The feedback would be also very important in the case of hearing. Visual mental images in absence of eye movements could be interpreted as sharing of visual mental images by quantum entanglement (in particular, time-like entanglement giving rise to episodal memories).



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## Chapter 4

# Overall View About Evolution of TGD

### 4.1 Introduction

Topological Geometroynamics was born for twenty five years ago as an attempt to construct a Poincare invariant theory of gravitation by assuming that physically allowed space-times are representable as surfaces in the space  $H = M^4 \times CP_2$ , where  $M^4$  denotes Minkowski space and  $CP_2$  is complex projective space having real dimension four (see the appendix of the book). Poincare group was identified as the isometry group of  $M^4$  rather than of the space-time surface itself. The isometries of  $CP_2$  were identified as color group and the geometrization of electro-weak gauge fields and elementary particle quantum numbers was achieved in terms of the spinor structure of  $CP_2$ . Rather remarkably, for a quarter century after this discovery one can still say that  $CP_2$  codes the known elementary particle quantum numbers and interactions in its geometry. The construction of quantum theory suggests the replacement of  $M^4$  with  $M_+^4$ , the interior of the future light cone of Minkowski space so that Poincare invariance is broken by the global geometry of the light cone but not locally.

It took almost half decade to develop the new view about space-time implied by the basic hypothesis: this is summarized in my PhD thesis [99]. The construction of a mathematical theory around these physically very attractive ideas became the basic challenge and I have devoted my professional life to the realization of this dream. The great idea was that quantum physics reduces to the construction of Kähler metric and spinor structure for the infinite-dimensional space  $CH$  of all possible 3-surfaces of  $H$ . Physical states correspond to classical spinor fields in this space and a natural geometrization of fermionic statistics in terms of gamma matrices emerges [17, 17].

p-Adic number fields  $R_p$  [82] (one number field for each prime obtained as a completion of the rational numbers) emerged for about ten years ago as a separate thread only loosely related to quantum TGD. What made them so attractive was that, with certain additional assumptions about physically favored p-adic primes, it became possible to understand the basic elementary particle mass scales number theoretically. This led to a successful calculation of the elementary particle masses using p-adic thermodynamics assuming that Super Virasoro algebra and related Kac Moody algebras, which are also basic algebraic structures of string models, act as symmetries of TGD [20, 22, 23, 26]. The success of the mass calculations in turn forced the attempts to understand how Super Virasoro and related symmetries might emerge from basic TGD. Several trials led finally to the realization that these super algebras (or actually the proper generalizations of them) are the basic symmetries of quantum TGD. One of the most dramatic predictions is the uniqueness of the space  $H$ : quantum TGD exists mathematically (cancellation of various infinities occurs) only for the space  $M_+^4 \times CP_2$ , the choice which is forced also by the cosmological and symmetry considerations. One can say that infinite-dimensional Kähler geometric existence and thus physics is unique.

A third thread to the development emerged when I started systematic development of TGD inspired theory of consciousness [8]. This work has led to dramatic increase of understanding also at the level of basic quantum TGD and allowed to develop quantum measurement theory in which conscious observer is not anymore Cartesian outsider but an essential part of quantum physics. The need to understand the mechanism making bio-systems macroscopic quantum systems led to a dramatic progress in the

understanding of the new physics implied by the notion of many-sheeted space-time. Dramatic change in views about the relation between subjectively experienced and geometric time of physicist emerges and leads to the solution of the basic paradoxes of quantum physics. It became also clear that p-adic numbers are indeed an absolutely essential element of the mathematical formulation of quantum TGD proper and that the general properties of quantum TGD force the introduction of the p-adic numbers. One can say that physics involves both real and p-adic number fields with real numbers describing the topology of the real world and various p-adic number fields serving as correlates of cognition with the prime  $p$  labelling the p-adic topology serving as kind of intelligence quotient.

A further thread into the development of ideas came from the realization that physics might be basically number theory in generalized sense. TGD more or less forces the notion of infinite primes [16], and it turned out that their construction reduces to a repeated second quantization of arithmetic quantum field theory. Generalization of the concept of integer and real number emerges implying that the configuration space and state space of TGD could be imbedded into the field of generalized reals which is infinite-dimensional algebraic extension of ordinary reals. Physics could be basically theory of generalized reals! The dimensions of space-time *resp.* imbedding space correspond to the dimensions of quaternion *resp.* octonion fields as well as the dimensions of algebraic extensions of  $p > 2$ - *resp.* 2-adics allowing square root of ordinary p-adic number. The discussions with Tony Smith suggested that one can endow space-time and imbedding space with what might be called local quaternion and octonion structures.

This stimulated a development, which led to the notion of number theoretic compactification. Space-time surfaces can be regarded either as hyper-quaternionic, and thus maximally associative, 4-surfaces in  $M^8$  or as surfaces in  $M^4 \times CP_2$  [19]. What makes this duality possible is that  $CP_2$  parameterizes different quaternionic planes of octonion space containing a fixed imaginary unit. Hyper-quaternions/-octonions form a sub-space of complexified quaternions/-octonions for which imaginary units are multiplied by  $\sqrt{-1}$ : they are needed in order to have a number theoretic norm with Minkowski signature.

Further important number theoretical ideas emerged from the attempt to construct a model for how intentions are transformed to actions. The process was interpreted as a quantum jump in which p-adic space-time sheet representing intention is transformed to a real one. This model led to a bundle of ideas and conjectures.

1. The core idea is the generalization of the notion of number obtained by gluing all number fields together along rationals and algebraic numbers common to them. This means a generalization of the notion of manifold. In particular, imbedding space is obtained by gluing real and p-adic imbedding spaces together along rational points. This picture also justifies the decomposition of space-time surface to real and p-adic space-time sheets. Also finite-dimensional algebraic extensions, even extensions involving transcendentals like  $e$  are needed.
2. p-Adic space-time sheets are identified as correlates of intentionality and cognition. The differences between real and p-adic topologies (two rationals near to each other as p-adic numbers are very far in real sense) have deep implications concerning the understanding of cognitive consciousness. The evolution of cognition corresponds naturally to the increase of the p-adic prime and dimension of the extension of p-adic numbers.
3. Real physics and various p-adic physics are obtained from finitely extended rational physics by algebraic continuation to p-adic number fields and their extensions analogous to analytic continuation in complex analysis. This algebraic continuation is performed both at space-time level, state space level, and configuration space level. One can also generalize the notion of unitarity and the generalization poses extremely strong conditions on S-matrix.

This chapter represents a overall view of classical TGD, a discussion of the p-adic concepts, a summary of the ideas generated by TGD inspired theory of consciousness, and the vision about physics as generalized number theory.

## 4.2 Evolution of classical TGD

The TGD based space-time concept means a radical generalization of standard views already in the real context. Many-sheetedness means a hierarchy of space-time sheets of increasing size making

possible to understand the emergence of structures in terms of the macroscopic space-time topology. The non-determinism of the Kähler action forces the notion of the association sequence defined as a union of space-like 3-surfaces with time-like separations: association sequence provides a geometric correlate for thought as simulation of the classical history. Non-determinism forces also the notion of mind like space-time sheet defined as a space-time sheet having finite temporal duration, which is an attractive candidate for the geometric correlate of self. Topological field quantization means that space-time topology provides classical correlates for the basic notions of the quantum field theory. The decomposition of space-time surface into real and p-adic regions brings in besides the matter also cognitive representations of material world.

### 4.2.1 Quantum classical correspondence and why classical TGD is so important?

In standard quantum physics classical theory is seen as a result of some kind of approximation procedure, say stationary phase approximation. In TGD framework classical physics is an exact part of quantum physics, and even more of configuration space geometry since, apart from the complications caused by the classical non-determinism of the Kähler action, the definition of the Kähler geometry in terms of Kähler action assigns to a given 3-surface  $X^3$  a unique space-time surface  $X^4(X^3)$ .

The evolution of TGD inspired theory of consciousness has gradually led to the notion of quantum classical correspondence which states that every quantum aspect of existence has space-time correlate. The correspondence is certainly not faithful but rather like the representation of contents of consciousness provided by spoken or written language. Space-time surface can be indeed seen as a symbolic representation, kind of written language. Not only the characteristics of quantum states, but also quantum jumps and their sequences defining the contents of conscious experience, have space-time correlates made possible by the classical determinism of the Kähler action, and the inherent p-adic non-determinism of p-adic counterparts of the field equations. In fact, there are reasons to believe that classical non-determinism of the Kähler action and a p-adic non-determinism have close relationship in the sense that the effective topology of the real space-time sheets is expected to correspond to p-adic topology in some length scale range.

### 4.2.2 Classical fields

In TGD framework the physics of classical fields are an essential part of the quantum theory and the study of classical fields has provided the easiest manner to get grasp about the physics of TGD Universe.

#### Geometrization of classical fields and of quantum numbers

The basic motivation for TGD was provided by the finding that known interactions at classical level and quantum number spectrum of known particles could be readily understood from the assumption that space-time is a 4-surface in  $H = M^4 \times CP_2$ .

The geometrization of classical gauge fields is based on the following identifications.

1. The classical gravitational field is identified as the induced metric. The still open question is whether the classical gravitational fields couple to matter with the gravitational constant  $G \simeq kR^2$ ,  $k \simeq 10^{-8}$ , where  $R$  is  $CP_2$  size (the length of  $CP_2$  geodesic line). There is however an argument leading to a precise and correct prediction for  $k$ , and fixing the value of the Kähler coupling strength  $\alpha_K$  at electron length scale to a value rather near to that of the fine structure constant.
2. The geometrization of electro-weak gauge fields reduces to the curvature of  $CP_2$  just like the geometrization of gravitation reduces to the curvature of the space-time surface. Classical electro-weak fields are identified as components of  $CP_2$  spinor connection projected to the space-time surface. The holonomy group of  $CP_2$  spinor connection is  $U(2)$  and naturally identifiable as electro-weak gauge group.
3. Color symmetries correspond to the isometries of  $CP_2$  so that there is deep and unexpected connection between electro-weak and color interactions. Color gauge potentials are identified in

the spirit of Kaluza-Klein theory as projections of the Killing vector fields of color isometries to the space-time surface. Color gauge fields are of form  $F_{\alpha\beta}^A \propto H^A \times J_{\alpha\beta}$ , where  $H^A$  is the Hamiltonian of the color isometry and  $J$  denotes the induced Kähler form. Therefore the vacuum extremals of Kähler action carry also non-vanishing color gauge fields.

Also elementary particle quantum numbers can be understood in terms of the induced spinor structure and simple 3-topology.

1.  $CP_2$  does not allow ordinary spinor structure and it is necessary to couple  $CP_2$  spinors to the Kähler potential of  $CP_2$ . The couplings are different for different  $H$ -chiralities identifiable as leptonic and quark like spinors. Baryon and lepton numbers are separately conserved for both the ordinary massless Dirac action and modified Dirac action. The modified Dirac action is fixed uniquely by requiring that it has the vacuum degeneracy of Kähler action. The modified Dirac action allows local super-symmetries generated by the right-handed neutrino.
2. At the fundamental level color quantum numbers are not spin like quantum numbers but can be said to correspond to the color partial waves in  $CP_2$  center of mass degrees of freedom of the 3-surface representing the elementary particle. Ordinary Dirac equation for  $CP_2$  predicts wrong correlations between electro-weak and color quantum numbers of the color partial waves associated with the spinor harmonics. This was a longstanding problem of TGD approach but the construction of physical states as representations of the Super Kac Moody algebra allows to obtain correct correlations and an interpretation in terms of electro-weak symmetry breaking coded already into the  $CP_2$  geometry.
3. The first guess was that the genus of the two-dimensional boundary associated with the 3-surface representing particle explains family replication phenomenon. The identification of the super-conformal symmetries as symmetries associated with light like effectively 2-dimensional 3-surfaces  $X_l^3$  acting as causal determinants suggests a more concrete identification.

Quaternion conformal invariance allows to assign to  $X_l^3$  a highly unique 2-dimensional surface  $X^2$  as a surface at which superconformal structure reduces to ordinary conformal structure and thus becomes Abelian. The genus of this surface telling whether the surface is sphere, torus, etc... determines the particle family.  $X_l^3$  could correspond to either a boundary of 3-surface or to an elementary particle horizon. Elementary particle horizon would surround the wormhole contact connecting  $CP_2$  extremal with an Euclidian signature of the induced metric to a larger space-time sheet with a Minkowskian signature of metric. The induced metric is degenerate at the elementary particle horizon so that this surface is indeed metrically 2-dimensional.

More concretely, sphere, torus, and sphere with two handles would correspond to  $(e, \nu_e)$ ,  $(\mu, \nu_\mu)$ ,  $(\tau, \nu_\tau)$  in the leptonic sector and  $(u, d)$ ,  $(c, s)$ , and  $(t, b)$  in the quark sector respectively. The experimental absence of heavier particle families would be most naturally due to the fact that they are extremely heavy. The 3 lowest particle families differ from the higher genera in the sense that 2-surfaces with genus  $g < 3$  are always hyper-elliptic, that is they allow always  $Z_2$  conformal symmetry, whereas higher genera generically do not allow any conformal symmetries. Hyper-ellipticity is an excellent candidate for an explanation of the lightness of  $g < 3$  genera. The construction of elementary particle functionals as functionals in the conformal equivalence classes of the 2-surface  $X^2$  associated with  $X_l^3$  allows to formulate this argument more precisely.

The explanation of Cabibbo mixing as being due to the mixing of boundary topologies, and number theoretic arguments (complex rationality of CKM matrix) lead to a highly unique CKM matrix for quarks and also leptonic mixings can be fixed highly uniquely. Also bosons are predicted to possess family replication phenomenon.

### The new physics associated with classical gauge fields

Long range electro-weak, in particular  $Z^0$ , vacuum gauge fields are unavoidable in TGD: this is a necessary outcome of the induced gauge field concept reducing the number of the primary bosonic field variables to four ( $CP_2$  coordinates)! The interpretation of this puzzling prediction has been a long standing challenge of TGD. There are three alternative options to consider.

Option I: Classical gauge fields are space-time correlates for gauge bosons with mass scale determined by the p-adic length scale of the space-time sheet in question. The electro-weak charges of

elementary particles are screened by vacuum gauge charges (possible in TGD) in a region of size  $L_W$  of order intermediate boson length scale. This option does not explain the presence of long range electro-weak gauge fields unavoidably present if the dimension of  $CP_2$  projection of space-time sheet is higher than 2 nor classical color gauge fields present for non-vacuum extremals.

Option II: Electro-weak gauge charges are not screened in the length scale  $L_W$  and the gauge fluxes of elementary particles flow to larger space-time sheets via # throats within region of size  $L_W$  and elementary particles have the quantized values of em  $Z^0$  charges. The problem for this option are anomalously large Rutherford cross sections in condensed matter and large parity breaking effects in hadronic, nuclear, and atomic length scales. Despite this I regarded this option as the most realistic one until the realization that the mysterious long ranged weak fields could be assigned to dark matter particles at various space-time sheets.

Option III: There is a hierarchy of color electro-weak physics such that weak bosons are massless below the p-adic length scale determining the mass scale of weak bosons. Classical long range gauge fields serve as space-time correlates for gauge bosons below the p-adic length scale in question.

The unavoidable long ranged electro-weak and color gauge fields are created by dark matter and dark particles can screen dark nuclear electro-weak charges below the weak scale above which vacuum screening occurs as for ordinary weak interactions. Dark gauge bosons are massless below the appropriate p-adic length scale but massive above it and  $U(2)_{ew}$  is broken only in the fermionic sector. For dark copies of ordinary fermions masses are essentially identical with those of ordinary fermions.

This option is consistent with the standard elementary particle physics for visible matter apart from predictions such as the possibility of p-adically scaled up versions of ordinary quarks predicted to appear already in ordinary low energy hadron physics. The most interesting implications are seen in longer length scales. Dark quarks and gluons and a scaled up copy of ordinary gluons emerge already in ordinary nuclear physics [21] and explain some recently discovered anomalies such as neutron halos and tetra-neutron. The field bodies associated with are predicted to have sizes of order atom size. Also scaled down versions of weak bosons giving to interactions between exotic quarks with a range of order atomic length scale are predicted.

The new nuclear physics has deep implications for chemistry and condensed matter where color bonds between neighboring atoms might be part of the chemical bonding [28]. Long ranged repulsive weak force behind exotic quarks compensated by color force would contribute to the repulsive force assumed in van der Waals equations of state for condensed matter. No strong isotopic dependence is predicted.

Classical long range weak and color forces become also key players at the level of molecular physics and biophysics. Chiral selection of bio-molecules can be seen as one direct signature of the long ranged weak force which suggests that non-broken  $U(2)_{ew}$  symmetry and free color in bio length scales become characteristics of living matter and of bio-chemistry and bio-nuclear physics. The central role of the long ranged weak forces in bio-systems and in pre-biotic evolution is discussed in [10, 37, 12].

Classical em fields and  $Z^0$  fields are not invariant under color rotations acting as exact symmetries and are accompanied by classical color gauge fields. This implies new physics potentially important for TGD inspired theory of consciousness. For instance, in TGD Universe the original joke like term "quark color" inspired by certain algebraic similarities ceases to be a joke since it is possible to reduce the 3+3 primary colors in color vision to the 3+3 different increments of color quantum numbers induced by the absorption or emission of color octet gluon.

### 4.2.3 Many-sheeted space-time concept

The detailed study of TGD led to a further generalization of the space-time concept and the end result is what I have used to call topological condensate or many-sheeted space-time. The 3-space is many-sheeted such that the sheets of 3-space have finite size and outer boundary. The physical interpretation of a given space-time sheet of a finite size is as a 'particle'. Depending on their size, these particles correspond to elementary particles, nucleons, atomic nuclei, atoms, molecules, cells, ourselves, stars, galaxies, etc. For instance, my skin corresponds to the outer boundary of a 3-surface glued to a larger 3-surface identifiable as the room in which I sit! I am a small Universe glued to a larger one, the 3-space associated with me literally ends on my skin just as string ends at its end! The surface of earth, the outer surfaces of trees, etc...: everywhere I can see nontrivial 3-topology.

Important new physics is associated with the extremely tiny wormholes contacts with size of order  $CP_2$  length needed to perform the gluing operation. Join along boundaries bonds serving as space-

time correlates for the bound state formation is second important notion. The larger sheets of the many-sheeted space-time are ideal for carrying various macroscopic quantum phases. Topological field quantization allows to define precisely the notions of coherence and de-coherence and also means that one can assign to a given material system what might be called field body or magnetic body.

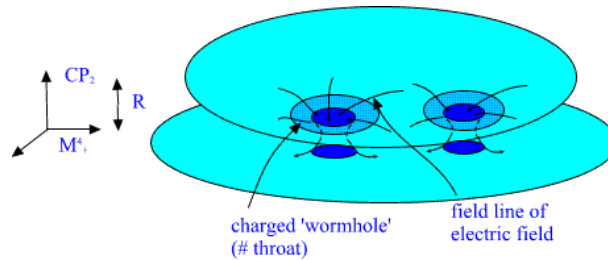


Figure 4.1: Charged wormholes feed the electromagnetic gauge flux to the 'lower' space-time sheet.

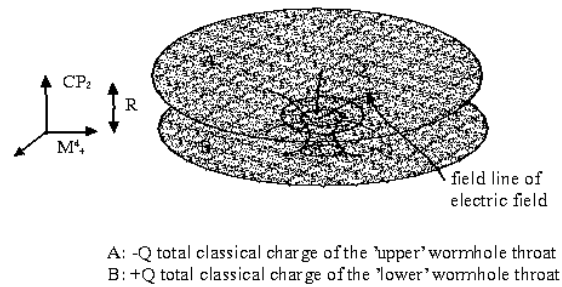


Figure 4.2: The two throats of wormhole behave as classical charges of opposite sign.

Obviously the outcome is a thorough-going generalization of the space-time concept and means that TGD has highly nontrivial consequences in all length scales rather than in particle physics only, as one might naively expect.

### Join along boundaries contacts and join along boundaries condensate

The recipe for constructing the 3-space of TGD Universe is simple. Take 3-surfaces with boundaries, glue them by topological sum to larger 3-surfaces, glue these 3-surfaces in turn on even larger 3-surfaces, etc.. The smallest 3-surfaces correspond to  $CP_2$  type extremals that is elementary particles and they are at the top of hierarchy. In this manner You get quarks, hadrons, nuclei, atoms, molecules,... cells, organs, ..., stars, ...,galaxies, etc...

Besides this, one can also glue different 3-surfaces together by tubes connecting their *boundaries*: this is just connected sum operation for boundaries. Take disks  $D^2$  on the boundaries of two objects

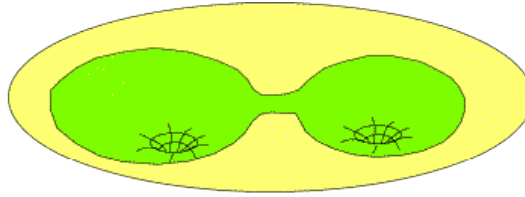


Figure: Illustration of wormhole contacts (of size of order elementary particle) and join along boundaries bonds.

Figure 4.3: Many-sheeted space-time structure results from the requirement of gauge flux conservation.

and connect these disks by cylinder  $D^2 \times D^1$  having  $D^2$ :s as its ends. Or more concretely: let the two 3-surfaces just touch each other.

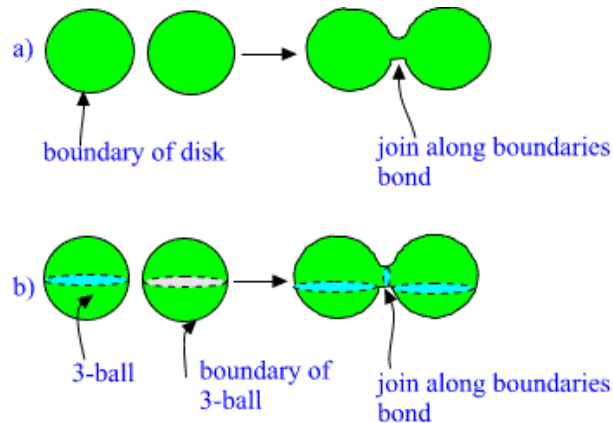


Figure 4.4: Join along boundaries bond a): in two dimensions and b): in 3-dimensions for solid balls.

Depending on the scale join along boundaries bonds are identified as color flux tubes connecting quarks, bonds giving rise to strong binding between nucleons inside nuclei, bonds connecting neutrons inside neutron star, chemical bonds between atoms and molecules, gap junctions connecting cells, the bond which is formed when You touch table with Your finger, etc.

One can construct from a group of nearby disjoint 3-surfaces so called join along boundaries condensate by allowing them to touch each other here and there.

The formation of join along boundaries condensates creates clearly strong correlation between two quantum systems and it is assumed that the formation of join along boundaries condensate is necessary prerequisite for the formation of *macroscopic quantum systems*. Crucially important examples in biology are gap junctions connecting cells and MAPs (micro-tubule associated proteins) connecting micro-tubules.

Quantum classical correspondence inspires the hypothesis that quite generally join along boundaries bonds are space-time correlates for the formation of the bound state entanglement. Since join along boundaries bonds between space-time sheets condensed on larger space-time sheets having no join along boundaries bonds between them is possible, one is forced to conclude that entanglement between sub-systems of un-entangled systems is possible in the many-sheeted space-time. The paradox disappears when entanglement is understood as a length scale dependent notion so that the bound

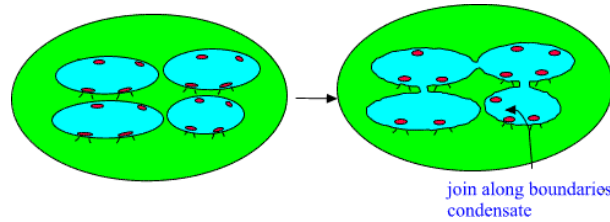


Figure 4.5: Join along boundaries condensate in 2 dimensions.

state entanglement of sub-systems is not visible in the length and time scales of the systems.

### Wormhole contacts

The gauge and gravitational fluxes at the boundary of a given space-time sheet must go somewhere by gauge flux conservation. This forces the existence of a larger space-time sheet and of tiny wormhole contacts connecting the two space-time sheets and feeding the gauge fluxes from the smaller sheet to the larger one. Wormhole contacts ( $\#$  contacts) are elementary particle like objects (actually deformed pieces of so called  $CP_2$  type extremals) having size of order  $CP_2$  size about  $10^4$  Planck lengths and, being sources and sinks of gauge field lines, wormhole throats effectively like classical charges, the charges of throats at the two space-time sheets being of opposite sign. Hence wormhole contacts look like dipoles and couple to the difference of the classical gauge potentials associated with the two space-time sheets. Also the coupling to the difference of the gauge potentials serving as order parameters for the coherent states of photons is possible.

The crucial experiment would be the one demonstrating the existence of the wormholes.

1. There are good reasons to expect that wormhole gauge flux is quantized. The reason for quantization would be that the extremals of the Kähler action are critical in the sense that they allow infinite number of vanishing second variations, which is mathematically a condition very similar to the Bohr's quantization condition. In the usual initial value problem one would fix only the imbedding space coordinates of 4-surface for given value of time and allow their time derivatives be arbitrary. Now absolute minimization fixes the values of the time derivatives just like Bohr's quantization rules fix the momenta. The most aesthetic possibility is that the unit of wormhole em charge is the smallest possible elementary particle charge  $1/3$  associated with d quarks but also integer charge could be considered.
2. If wormhole charge is quantized then the gauge flux of an external em field running from a larger space-time sheet to a smaller one is quantized. The experimental arrangement should demonstrate that this flux indeed can change by a multiple of the elementary flux only. One could also try to detect wormhole currents. It must be emphasized that wormhole current is a pseudo current in the sense that two space-time sheets carry opposite classical currents. These currents are created, when magnetic field penetrates from space-time sheet to another. The detection of charge  $1/3$  for the charge carriers of this current would be a triumph.
3. One cannot exclude the possibility that the recently found evidence for  $1/3$  charge in condensed matter systems (quantum Hall effect) could be interpreted in terms of an em gauge flux quantized in this manner. Electron current flowing inside a planar layer like structure is studied. Strong magnetic field, which could lead to a generation of wormhole currents is present! Evidence for some quasi particles in current flow possessing this charge has been found. The anyon interpretation of quasi particles as bound states of magnetic flux quanta and electrons explains the effect (McLaughlin wave function). The prediction is however that also fluxes of  $m/5$ ,  $m/7, \dots$ ,  $m$  integer, should be observed. Only  $1/3$  has been detected hitherto and it is not understood why higher charges have not been observed. The question is whether the quasi particles are actually wormholes created by the penetration of magnetic field and flowing along the boundaries of the arrangement.



One application of the new space-time concept is a model of brain. The basic idea is that brain can be regarded as a macroscopic quantum system and that our experiences of free will correspond to quantum jumps which are unpredictable as also is the end result of a free choice. The idea that quantum theory might provide some light in the problem of consciousness has become popular during the last years and a serious building of quantum theories of consciousness has begun. The bottleneck problem is how the brain can be a macroscopic quantum system. Some kind of super conductivity looks a promising idea but standard physics does not provide promising candidates for a super conductor like system. Wormholes might provide one such system besides high  $T_c$  electronic and protonic superconductors and Bose-Einstein condensates of bosonic ions.

To see what is involved, consider in more precise manner how many sheeted 3-space is constructed. When one glues a sheet of 3-space to a larger sheet of 3-space one does it by constructing extremely tiny elementary particle sized wormholes connecting the two sheets of 3-space.

These wormholes serve important function. For instance, the flux of the electric field (usually it is unlucky space traveller) flows to this kind of wormhole on the smaller sheet of 3-space and comes back from it to the larger sheet of 3-space. Since the field lines of the electric field flow to the wormhole on the smaller sheet of 3-space, the wormhole looks like a charge since it acts as a sink of field lines. Same applies on the larger sheet of 3-space except that the sign of the charge is opposite. Hence, on both space-time sheets wormhole looks classically like a charged particle. Shortly, wormholes behave like particles and represent a new exotic form of matter. More generally, it seems that many-sheeted nature of the space-time is crucial for the understanding of a bio-system as a macroscopic quantum system.

The interaction between space-time sheets is mediated by these wormholes having size of order  $CP_2$  radius  $R$  and located near the boundaries of the smaller space-time sheet. Wormholes feed various gauge fluxes from the smaller space-time sheet to the larger one (say from the atomic sheet to some molecular sheet). p-Adic considerations suggest that wormholes are light having mass of order  $1/L_p$ : this implies that they suffer Bose-Einstein condensation on the ground state. One could even say that space-time sheets "perceive" the external world and act on it with the help of the charged wormhole BE condensates near their boundaries. Wormholes provide a very general mechanism making possible the transfer of classical electromagnetic fields and various quantum numbers such as energy, momentum and angular momentum, between different space-time sheets and bio-systems are especially promising as far as applications are considered.

### Topological field quantization

Topological field quantization [31] implies that various notions of quantum field theory have rather precise classical analogies. Topological field quantization is basically implied by the compactness of  $CP_2$ , which typically implies that a given Maxwell field allows only a partial imbedding as a space-time surface in  $H$ . One can say that magnetic fields, electric fields and radiation fields decompose into field quanta.

The energies and other classical charges of the topological field quanta are quantized by the criticality of the extremals of the Kähler action making classical space-time surfaces the counterparts of the Bohr orbits. Feynman diagrams become classical space-time surfaces with lines thickened to 4-manifolds. For instance, "massless extremals" representing topologically quantized classical radiation fields are the classical counterparts of gravitons and photons. Topologically quantized non-radiative nearby fields give rise to various geometric structures such as magnetic and electric flux tubes.

Topological field quantization provides the correspondence between the abstract Fock space description of elementary particles and the description of the elementary particles as concrete geometric objects detected in the laboratory. In standard quantum field theory this kind of correspondence is lacking since classical fields are regarded as a phenomenological concept only.

Topological field quanta define coherence regions for the classical gauge fields and induced spinor fields and classical coherence is the prerequisite of the quantum coherence. Whether and how macroscopic and macro-temporal quantum coherence are possible in living matter is the basic question of quantum consciousness theories and quantum biology. In TGD this question is even more difficult since the first estimate for de-coherence time is  $CP_2$  time which is about  $10^4$  Planck times. The length scale hierarchy of space-time sheets allows immediately to understand at the level of space-time correlates how macroscopic and macro-temporal quantum coherence are possible. A good order of magnitude guess for the zero point energy of a particle at a space-time sheet of size  $L$  is given by  $E = \pi^2/2mL^2$ .

$T \leq \pi^2/2mL^2$  gives an estimate for the temperature of the space-time sheet populated by particles of mass  $m$ : the larger the size of the space-time sheet, the lower the temperature. Superconductivity and various macroscopic phenomena become thus possible at larger space-time sheets. TGD based model of living matter is based on the hypothesis that large space-time sheets are responsible for quantum control.

The virtual particles of quantum field theory have also classical counterparts. In particular, the virtual particles of quantum field theory can have negative energies: this is true also for the TGD counterparts of the virtual particles. The fundamental difference between TGD and GRT is that in TGD the sign of energy depends on the time orientation of the space-time sheet: this is due to the fact that in TGD energy current is vector field rather than part of tensor field. Therefore space-time sheets with negative energies are possible.

One can criticize the notion of time orientation. A more precise definition of the time orientation requires the realization that configuration space of 3-surfaces, call it  $CH$ , can be understood as a union of corresponding configuration spaces associated with unions of arbitrary many light cones, both future and past light cones with positive/negative energies assignable to to future/past lightcones. This brings in in a natural manner also the super-symplectic symmetries associated with the boundaries of the lightcones.

Negative energies would have quite dramatic technological consequences: consider only the possibility of generating energy from vacuum and classical signalling backwards in time along negative energy space-time sheets [26]. Also bio-systems might have invented negative energy space-time sheets: in fact, they define the basic mechanism for the realization of intentional action, long term memory, and metabolism [41].

Quantum classical correspondence suggests that quantum entanglement has the formation of the join along boundaries bonds as its geometric correlate. The superposition of the topologically quantized space-time surfaces in the state  $U\Psi$  could be regarded as a geometric correlate for quantum fields: creation/annihilation operators would correspond to positive/negative energy space-time sheets. This hypothesis, together with the expansion of the interacting quantum field in terms of creation and annihilation operators, would make it possible to make quantitative estimates about the fraction of energy density carried by the negative energy space-time sheets, in particular, about the energy density associated with the massless extremals.

In TGD Universe topological field quanta serve as templates for the formation of the bio-structures. Thus topologically quantized classical electromagnetic fields associated with the material objects, field bodies or more concretely, magnetic bodies, could be equally important for the functioning of the living systems as the structures formed by the visible bio-matter and the visible part of bio-system might represent only a dip of an ice berg. For instance, in [40] the implications of the notion of field body for the understanding of bio-systems and pre-biotic evolution are discussed in detail.

### Negative energy space-time sheets and new view about energy

Negative energy space-time sheets represents an important distinction between TGD and standard physics. They are possible because energy momentum tensor is replaced by a collection of conserved currents associated with various components of four momentum. This resolves the energy problem of general relativity but, since the sign of the conserved charged depends on the time orientation of the space-time sheet, the sign of energy is not positive definite anymore.

Quantum classical correspondence implies that also elementary particles can have negative energies and this means a new kind of physics. It seems that this physics has been already discovered: the strange properties of phase conjugate laser waves can be understood if they consist of negative energy photons.

Negative energy space-time sheets have far reaching implications for TGD inspired theory of consciousness. The so called time mirror mechanism involves the reflection of negative energy signals sent to the geometric past from population inverted lasers as amplified positive energy signals propagating to the geometric future. Time mirror mechanism provides the holy grail to the understanding of the mechanisms of brain functioning and also of the workings of the living matter. There are obvious implications for communication and energy technologies since negative energy signals could make possible instantaneous remote sensing and quantum control over arbitrarily long distances so that light velocity would cease to be a restriction forcing us to be habitants of 3-space instead of space-time.

If Kähler action were strictly deterministic, the only possible choice for  $H$  would be  $M_+^4 \times CP_2$ .

Together with negative energies the classical non-determinism of the Kähler action it is possible to assume that imbedding space is  $M^4 \times CP_2$  meaning exact Poincare invariance. The point is that generation of pairs of positive and negative energy space-time sheet at light-like 7-surfaces  $X_l^3 \times CP_2$  means emergence of new kind of causal determinants generalizing the light cone boundary  $\delta M_+^4 \times CP_2$  as a fundamental causal determinant. All states of the Universe have vanishing net quantum numbers and everything in the Universe would have been pair-created from vacuum. Future light cones containing positive energy could also be created when negative energy radiation (in particular gravitons) is generated and propagates to the geometric past and leaks from the future light cone. This vision can be applied also to the second quantization of fermions by giving fermions and anti-fermions opposite energies. Depending on time orientation either fermions or anti-fermions have negative energy.

By crossing symmetry the assumption that the net quantum numbers of the Universe vanish is not in conflict with elementary particle physics. In macroscopic length scales the identification of the gravitational energy as the difference of inertial (Poincare) energies of positive and negative energy matter plus the possibility that negative and positive energy matter interact weakly allows to understand why western view about objective reality with conserved positive total energy is so good an approximation. The non-conservation of the gravitational energy can be understood, and vacuum extremals, of which Robertson-Walker cosmologies, are most important examples find interpretation. The non-determinism of Kähler action explains naturally the fact that Universe is to some extent an outcome of engineering. The notion of gravitational energy generalizes to that of gravitational quantum numbers and the inertial-gravitational dichotomy is a direct correlate for the geometric-subjective dichotomy for time discovered while developing TGD inspired theory of consciousness. Indeed, positive and negative energy space-time sheets correspond to initial and final states of quantum jump so that gravitational quantum numbers characterize changes.

This vision would resolve the unpleasant philosophical questions like "What is the total fermion number of the Universe". One could see entire universe as a result of intentional actions in which intentions represented by p-adic space-time sheets are transformed to actions represented by real space-time sheets. Everyone knows the anecdotes about yogis and gurus creating material objects from nothing and very few "scientifically thinking" westerner can take these stories really seriously. Whether or not these stories are true, they might however express a deep truth about reality.

### More precise view about topological condensate

The challenge is to define precisely the concepts like classical gauge charge, gauge flux, wormhole contacts, join along boundaries bonds, topological condensation and evaporation, etc... Number theoretical vision allows to achieve this goal [32, 32].

The crucial ingredients in the model are so called  $CP_2$  type vacuum extremals. The realization that  $\#$  contacts (topological sum contacts and  $\#_B$  contacts (join along boundaries bonds) are accompanied by causal horizons which carry quantum numbers and allow identification as partons leads to a more detailed articulation of these notions.

The partons associated with topologically condensed  $CP_2$  type extremals carry elementary particle vacuum numbers whereas the parton pairs associated with  $\#$  contacts connecting two space-time sheets with Minkowskian signature of induced metric define parton pairs. These parton pairs do not correspond to ordinary elementary particles. Gauge fluxes through  $\#$  contacts can be identified as gauge charges of the partons. Gauge fluxes between space-time sheets can be transferred through  $\#$  and  $\#_B$  contacts concentrated near the boundaries of the smaller space-time sheet. The dynamics of topological condensation and evaporation can be formulated in terms of gauge interactions of partons and splitting and fusion of  $CP_2$  type extremals. This picture generalizes to the case of gravitational flux which need not be well-defined purely classically.

Number theoretical vision and p-adic length scale hypothesis allow to quantify this picture and lead to an overall view about interactions of particles in many-sheeted space-time. A far reaching generalization of standard physics results predicting an infinite hierarchy of dark matters besides ordinary elementary particles of standard model. In particular, the partons associated with  $\#$  and  $\#_B$  contacts represent dark matter.

#### 4.2.4 Classical non-determinism of Kähler action

The classical non-determinism of Kähler action has been deep source of inspiration and challenges and guided the evolution of TGD inspired theory of consciousness and finally also of quantum TGD proper. In nut-shell, classical non-determinism makes possible quantum-classical correspondence in the sense that space-time surface becomes a symbolic representation for the quantum states and quantum jump sequences defining conscious experience.

##### Matter-mind duality geometrically

The non-determinism of Kähler action implies huge vacuum degeneracy: any 4-surface whose projection belongs to  $M_+^4 \times Y^2$ , where  $Y^2$  is so called Lagrange manifold of  $CP_2$  (has vanishing induced Kähler form), is a vacuum extremal. This suggests that one must radically generalize the concept of space-time. It seems that the correct picture is roughly like follows. Space-time is many-sheeted. Each sheet can be regarded as a slightly deformed piece of  $M^4$  in  $H$  containing smaller sheets glued to it and being itself glued to a larger space-time sheet. Gluing means the formation of topological sum contacts between the space-time sheets. There are reasons to believe that topological sum contacts, "wormhole contacts" are located near the boundaries of the smaller space-time sheet.

Material space-time sheets have infinitely long time duration if they possess non-vanishing energy (and provided that they do feed their energy to some other space-time sheets). "Mind like" space-time sheets can be regarded as obtained by gluing space-time sheets with finite time duration to material space-time sheets. The gluing operation implies that tiny amounts of energy and momenta and other conserved quantities flow to the mind like space-time sheet when it begins and back to the material space-time sheets when mind like space-time sheet ends. Mind like space-time sheets are space-time correlates for contents of consciousness. In particular, they form symbolic representations for material space-time sheets. For instances, the frequencies of various oscillatory processes are mapped also to frequencies of processes occurring in mind like space-time sheets. The possibility of mind like space-time sheets implies that the absolute minima of Kähler action (or more general preferred extremals defining analogs of Bohr orbits [19]) are degenerate: one can glue mind like space-time sheets to given absolute minimum to get new absolute minima. This conforms with the fact that contents of consciousness are defined by a sequence of non-deterministic quantum jumps.

This picture must of course be taken with strong reservations, and one should actually state more precisely what "mind like" means. The interpretation of p-adic space-time sheets as correlates of intentions and cognitions gives some ideas about what aspects of consciousness mind like space-time sheets correlate with. The model for how intentions are realized as actions in quantum jump assumes that p-adic "topological light rays" representing intentions are transformed to real topological light rays with negative energy serving as correlates of desires, which in turn induce the action initiated in the geometric past. Thus it would seem that real "mind like" space-time sheets with negative energy would serve as correlates for desires.

The precise definition of p-adic space-time sheets is a separate question and requires a precise vision about how real and various p-adic physics integrate to a coherent whole. This requires a generalization of the number concept based on the fusion of real and p-adic number number fields to a larger book like structure along common rationals. The precise definition of p-adic space-time sheets is discussed in [19]. The surprising outcome, basically due to the difference between real and p-adic notions of distance, is that most points of p-adic space-time sheets can be said to reside at infinity of the real imbedding space and the projection to real space-time consists of a discrete set of rational points. Thus cognition can be said to look material cosmos from outside.

##### Association sequence concept and a mind like space-time sheets

The vacuum degeneracy of the Kähler action defining quantum TGD solves the difficulty. The vacuum degeneracy implies spin glass analogy and strongly suggests that the Bohr orbit like space-time surface defined as a preferred extremal of Kähler action and going through a given space-like 3-surface, cannot be unique in general. To achieve uniqueness one must generalize the concept of 3-surface to what might be called association sequence. In order to specify uniquely one of the degenerate absolute minimum space-times going through a given 3-surface one must fix some minimum number, say  $N$ , of 3-surfaces on a given preferred extremal. These sequences of disjoint 3-surfaces with time-like separations can be regarded as a simulations of the classical time development and hence as a geometric correlate of

conscious experience localized temporally. It seems that in real case geometric correlates of sensory experiences are in question whereas in p-adic case correlates of thoughts are in question.

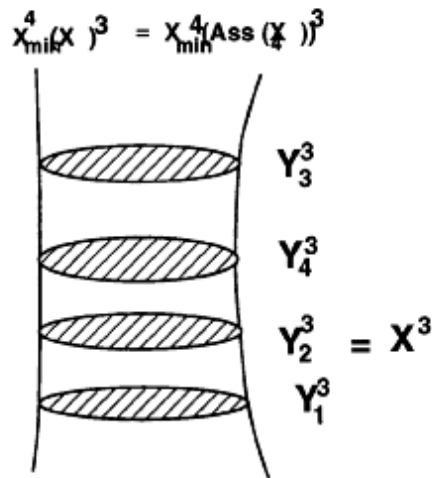


Figure 4.6: 'Association sequence': a geometric model for thought as a sequence of disjoint 3-surfaces with time-like separations.

Association sequences are very probably not all that is needed to overcome the complications caused by the non-determinism of Kähler action. The enormous vacuum degeneracy of Kähler action suggests strongly that the classical non-determinism does not reduce to simple sequences of bifurcations. Hence it seems that must give up the idea of identifying space-like 3-surfaces given value of geometric time as causal determinants which are possibly degenerate because of the bifurcations.

### Vacuum degeneracy and spin glass analogy

Kähler action determines configuration space geometry and is hence a cornerstone of quantum TGD. Kähler action can be regarded as a Maxwell action for the Kähler form of  $CP_2$  induced to space-time surface and defining nonlinear Maxwell field. Kähler action possesses enormous vacuum degeneracy. Any space-time surface in  $M_+^4 \times CP_2$ , where  $Y^2$  is so called Lagrange sub-manifold of  $CP_2$  having by definition vanishing induced Kähler form, is vacuum extremal. In canonical coordinates  $(P_i, Q_i)$  for  $CP_2$  Lagrange sub-manifolds correspond to functions

$$P_i = \nabla_i f(Q_j) .$$

This means that there is infinite number of vacuum sectors since all 4-surfaces in any six-dimensional space  $M_+^4 \times Y^2$  are vacua.

Also non-vacuum configurations are almost degenerate. Only the gravitational effects caused by the presence of the induced metric in the Maxwell action for the induced Kähler form of  $CP_2$  on space-time surface breaks the canonical invariance of the Kähler action. Canonical transformations of  $CP_2$  act as  $U(1)$  gauge transformations and in the absence of gravitation one would have ordinary  $U(1)$  gauge invariance. Gravitation however changes the situation. Various canonically related configurations are physically *non-equivalent*. This means a characteristic degeneracy analogous to the degeneracy of the states for spin glass rather than to the physically uninteresting gauge degeneracy. The effective breaking of  $U(1)$  gauge invariance makes possible vacuum charge densities, scalar wave pulses propagating with light velocity and carrying longitudinal electric field parallel to the propagation direction, and topological light rays carrying light like vacuum current and transversal electric and magnetic fields are predicted.

Contrary to the original beliefs, p-adic physics does not seem to follow from vacuum degeneracy alone. Rather, p-adic space-time topology is a genuine rather than only effective space-time topology and emerges independently from the vacuum degeneracy. p-Adic topology seems however to serve as effective topology for the real space-time sheets in the sense that the non-determinism implied by the vacuum degeneracy mimics the inherent non-determinism of p-adic field equations for some value of  $p$  so that one can indeed assign a definite p-adic prime to a given real space-time sheet. Vacuum degeneracy has a wide spectrum of implications. For instance, the spin glass degeneracy implied by it allows to understand at quantum level generation of macroscopic and macro-temporal quantum coherence. The same mechanism explains also color confinement.

The p-adic fractality of real space-time sheets is in turn implied by the fact that p-adic and real space-time sheets have common rational points which implies that the purely local p-adic physics sets constraints on the long ranged real physics because rational points close to each other p-adically are very distant in real sense.

### **Connection with catastrophe theory and Haken's theory of self-organization for spin glass**

If the effects related to the induced metric (classical gravitation) are neglected, canonical transformations of  $CP_2$  act as  $U(1)$  gauge symmetries and all canonically related surfaces are physically equivalent. Classical gravitation however breaks this gauge invariance but due to the extreme weakness of the gravitational interaction one has good reasons to expect that the maxima of Kähler function for given values of the zero modes are highly degenerate. The hypothesis that single maximum of Kähler function with respect to fiber degrees of freedom is selected in quantum jump, means huge simplification of the mathematical theory.

Besides the degeneracy resulting from the non-determinism, there is also the spin glass degeneracy related to zero modes. The nonphysical  $U(1)$  gauge degeneracy is transformed to physical spin glass degeneracy. The energies of various absolute minima differ only by the classical gravitational energy. Zero modes serve as coordinates for the "energy" landscape of quantum spin glass and the energy landscape of non-equilibrium thermodynamics is fractal containing valleys inside valleys...inside valleys.

One naturally ends up with a generalization of the catastrophe theory [47] to the infinite-dimensional configuration space context. Zero modes play the role of the control parameters forming master slave-hierarchy and non-zero modes characterizing various degenerate absolute minima of Kähler action correspond to the state variables [38]. There is natural connection with the non-equilibrium thermodynamics of Haken [52]. Since time development by quantum jumps means hopping in the zero modes characterizing the macroscopic space-time surfaces associated with the final states of the quantum jumps, Haken's classical theory applies almost as such. Asymptotically the self-organizing quantum jumping system (self) ends up to a fixed point, limiting cycle, strange attractor, etc. near the bottom of some valley of the energy landscape. The bottom of a valley corresponds to a maximum of the Kähler function rather than minimum of free energy as in thermodynamics since vacuum functional is exponent of Kähler function. Self-organization in spin glass energy landscape by quantum jumps is extremely powerful notion allowing to understand general features of living systems.

#### **4.2.5 Quantum classical correspondence as an interpretational guide**

The overall view about interpretation of TGD can be deduced from the general properties of space-time surfaces, the notion of induced gauge field, the general properties of Kähler action, and the known extremals using quantum classical correspondence. The most dramatic predictions follow without even considering field equations in detail by using quantum classical correspondence.

#### **The implications deriving from the topology of space-time surface and from the properties of induced gauge fields**

The notions of many-sheeted space-time, topological field quantization and the notion of field/magnetic body, follow from simple topological considerations. The observation that space-time sheets can have arbitrarily large sizes and their interpretation as quantum coherence regions forces to conclude that in TGD Universe macroscopic and macro-temporal quantum coherence are possible in arbitrarily long

scales. It took relatively long time to realize that perhaps the only manner to understand this is a generalization of the quantum theory itself by allowing Planck constant to be dynamical and quantized. TGD leads indeed to a "prediction" for the spectrum of Planck constants and macroscopic quantum phases with large value of Planck constant allow an identification as a dark matter hierarchy.

Also long ranged classical color and electro-weak fields are an unavoidable prediction and it took a considerable time to make the obvious conclusion: TGD Universe is fractal containing fractal copies of standard model physics at various space-time sheets and labelled by the collection of p-adic primes assignable to elementary particles and by the level of dark matter hierarchy defines as  $\hbar = \lambda^k \hbar_0$ ,  $k_d = 0, 1, \dots$ .  $\lambda$  depends logarithmically on p-adic length scale  $L(k)$  and satisfies  $\lambda \simeq 2^{11}$  in atomic length scale  $L(k = 137)$ . Dark space-time sheets are identifiable as space-time sheets defining locally  $\lambda^k$ -fold covering of  $M^4$  factor of imbedding space.

The new view about energy and time means that the sign of inertial energy depends on the time orientation of the space-time sheet and that negative energy space-time sheets serve as correlates for communications to the geometric past. This alone leads to profoundly new views about metabolism, long term memory, and realization of intentional action.

A further important fact is that the holonomy group of induced color gauge field is Abelian. Together with quantum classical correspondences this suggests a weak form of color confinement in the sense that only color neutral states of color multiplets are realized as physical states. This would mean a weak form of color confinement.

## 4.3 Evolution of p-adic ideas

It took quite a long time to end up with the recent picture how p-adic numbers emerge as a basic aspect of quantum TGD and what p-adicization of TGD might mean. Of course, recent picture need not be the final yet and there are several unsolved problems. In the following the basic properties of the p-adic numbers are described shortly and then it is demonstrated how p-adic numbers might emerge from TGD and how one should formulate p-adic version of quantum TGD formalism.

### 4.3.1 p-Adic numbers

Like real numbers, p-adic numbers can be regarded as completions of the rational numbers to a larger number field allowing the generalization of differential calculus. Each prime  $p$  defines a p-adic number field allowing the counterparts of the usual arithmetic operations. The basic difference between real and p-adic numbers is that p-adic topology is ultra-metric. Ultrametricity means that the distance function  $d(x, y)$  (the counterpart of  $|x - y|$  in the real context) satisfies the inequality

$$d(x, z) \leq \text{Max}\{d(x, y), d(y, z)\} ,$$

(Max(a,b) denotes maximum of  $a$  and  $b$ ) rather than the usual triangle inequality

$$d(x, z) \leq d(x, y) + d(y, z) .$$

p-Adic numbers have expansion in powers of  $p$  analogous to the decimal expansion

$$x = \sum_{n \geq 0} x_n p^n ,$$

and the number of terms in the expansion can be infinite so that p-adic number need not be finite as a real number. The norm of the p-adic number (counterpart of  $|x|$  for real numbers) is defined as

$$N_p(x) = \sum_{n \geq 0} x_n p^n = p^{-n_0} ,$$

and depends only very weakly on p-adic number. The ultra-metric distance function can be defined as  $d_p(x, y) = N_p(x - y)$ .

p-Adic numbers allow the generalization of the differential calculus and of the concept of analytic function  $f(x) = \sum f_n x^n$ . The basic rules of the p-adic differential calculus are the same as those of the ordinary differential calculus. There is however one important new element: the set of the functions

having vanishing p-adic derivative consists of so called pseudo constants, which depend on a finite number of positive binary digits of  $x$  only so that one has

$$f_N(x = \sum_n x_n p^n) = f(x_N = \sum_{n < N} x_n p^n) .$$

In the real case only constant functions have vanishing derivative. This implies that p-adic differential equations are non-deterministic.

An essential element is the map of the p-adic numbers to the positive real numbers by the so called canonical identification  $I$ :

$$I : \sum x_n p^n \in R_p \rightarrow \sum_n x_n p^{-n} \in R .$$

Canonical identification has inverse, which is single valued for the real numbers having infinite number of binary digits but two-valued for real numbers having finite number of binary digits (the reason is that real number with finite number of binary digits has two equivalent binary expansions: ( $x = 1 = .999999\dots$  in case of decimal expansion and  $x = 1 = 0yyyyy\dots$ ,  $y = p - 1$ , in the case of binary expansion).

Canonical identification in its basic form cannot map real space-time surface to p-adic ones or vice versa because it is not a general coordinate invariant notion. A variant of canonical identification, call it  $I_Q$ , maps defined only for rationals is given by  $I(q = m/n) = I(m)/I(n)$ , where  $q = m/n$  is the unique representation of rational  $q$  in terms of integers [24].

$I_Q$  can be applied to map rational points of p-adic  $CP_2$  to their real counterparts whereas the points of p-adic  $M^4$  are mapped as such to real points as such [24]. General coordinate invariance is not lost since the projection of p-adic space-time sheet to real imbedding space is discrete and genuinely p-adic points are at infinite real distance, "outside the real cosmos". This means a deep number theoretic difference between  $M^4$  and  $CP_2$  and gives one reason for the product decomposition of the imbedding space.  $O_Q$  makes it also possible to map the predictions of the p-adic probability theory and thermodynamics to real numbers so that probability is conserved.

### 4.3.2 Evolution of physical ideas

In the sequel the evolution of physical ideas related to p-adic numbers is summarized.

#### p-Adic length scale hypothesis

p-Adic length scale hypothesis [4] states that to a given p-adic prime  $p$  there corresponds a primary p-adic length scale  $L_p = \sqrt{p}l$ ,  $l \simeq 1.288 \times 10^4 \sqrt{G}$  ( $\sqrt{G}$  denotes Planck length) and that physically favored primes correspond to  $p \simeq 2^k$ ,  $k$  power of prime. The corresponding p-adic time scale is obtained as  $T_p = L_p/c$ . The justification for the first part of the hypothesis comes from Uncertainty Principle and from the p-adic mass calculations [4] predicting that the mass of elementary particle, resulting from the mixing of massless states with  $10^{-4} m_{Planck}$  mass states described by p-adic thermodynamics, is of order  $1/L_p$  for the light states.

The first principle explanation for p-adic length scale hypothesis derives from the fusion of real and p-adic physics to a single larger framework. The fact that real and p-adic space-time sheets can have common points implies that local p-adic physics give rise to p-adic fractality of real physics. Also multi-p p-adic fractality is possible.  $p \simeq 2^k$  would reflect the presence of 2-adic fractality besides  $p > 2$ -adic fractality.

A heuristic justification for the preferred values of  $p$  comes from elementary particle black hole analogy [27] generalizing the Bekenstein-Hawking area-entropy law to apply to the elementary particle horizon defined as the surface at which the Euclidian signature for the so called  $CP_2$  type extremal describing elementary particle changes to the Minkowskian signature of the background space-time at which elementary particle has suffered topological condensation.

The hypothesis is especially interesting above the elementary particle length scales  $p > M_{127}$  and has testable implications in nuclear physics, atomic physics and condensed matter length scales. The most convincing support for this hypothesis are provided by the elementary particle mass calculations: if one assumes that the p-adic primes associated with elementary particles are primes near prime powers of two, one can predict lepton and gauge boson masses with accuracy better than one per



cent. Also quark masses can be predicted but the calculation of the hadron masses requires some modelling (CKM matrix, color force, etc...). The existing empirical information about neutrino mass squared differences suggests that the allowed values of  $k$  are indeed *powers* of prime rather than primes.

It is natural to postulate that space-time sheets form a hierarchy with respect to  $p$  in the sense that the lower bound for the size of the space-time sheets at level  $p$  is of order  $L_p$  and that  $p_1 < p_2$  sheets condensed on  $p_2$  sheets behave like particles on sheet  $p_2$ .

The following table lists the p-adic length scales  $L_p$ ,  $p \simeq 2^k$ ,  $k$  power or prime, which might be interesting as far as condensed matter is considered (the notation  $L(k)$  will be used instead of  $L_p$ ). It must be emphasized that the definition of the length scale is bound to contain some unknown numerical factor  $K$ : the requirement that the thickness of cell membrane corresponds to  $L(151)$  fixes the proportionality coefficient  $K$  to  $K \simeq 1.1$ .

k	127	131	137	139	149
$L_p/10^{-10}m$	.025	.1	.8	1.6	50
k	151	157	163	167	169
$L_p/10^{-8}m$	1	8	64	256	512
k	173	179	181	191	193
$L_p/10^{-4}m$	.2	1.6	3.2	100	200
k	197	199	211	223	227
$L_p/m$	.08	.16	10	640	2560

Table 1. Primary p-adic length scales  $L_p = 2^{k-151}L_{151}$ ,  $p \simeq 2^k$ ,  $k$  prime, possibly relevant to bio physics. The last 3 scales are included in order to show that twin pairs are very frequent in the biologically interesting range of length scales. The length scale  $L(151)$  is take to be thickness of cell scale, which is  $10^{-8}$  meters in good approximation.

The assumption that p-adic space-time regions provide cognitive representations of the real space-time regions forces to conclude that cognition is present in all length scales and that the properties of the p-adic space-time regions reflect those of the real space-time regions. p-adic-real phase transitions and identifiable as transformation of intentions to actions [42] occurring even at elementary particle length scales would explain this elegantly.

Besides primary p-adic length scales also n-ary p-adic length scales defined as  $L_p(n) = p^{(n-1)/2}L_p$  and corresponding time scales are possible and form a fractal hierarchy coming as powers of  $\sqrt{p}$ . Accepting these scales means that all length scales  $L(n)$  coming as powers of  $2^{n/2}$ ,  $n$  a positive integer, should have a preferred physical role. The TGD inspired model for living matter lends support for the hypothesis that biologically important length and time scales indeed appear as half octaves. A possible explanation for this is the existence of a hierarchy of cognitive codes associated with the time scales  $T(n)$ . Any prime power factor  $k^i$  in the decomposition of the integer  $n$  to a product of prime power factors defines a candidate for a cognitive code. The duration of code word would be  $T(n)$  and the number of bits would be  $k^i$ . For prime values of  $n$  the information content of the code word is maximal so that one could understand why prime values of  $n$  are especially important.

### $CP_2$ type extremals and elementary particle black hole analogy

$CP_2$  type extremals are vacuum extremals having a finite negative action so that one can lower the action of the ordinary vacuum extremals by gluing  $CP_2$  type extremals to them.  $CP_2$  type extremals have one-dimensional  $M_+^4$  projection which is light like random curve. Light likeness condition leads to classical Virasoro algebra constraints.  $M^4 \times SO(3,1) \times SU(3) \times SU(2)_{ew}$  Super-Kac-Moody algebra acts as symmetries and the spectrum of elementary particles is precisely known. The obvious interpretation of the  $CP_2$  type extremals is as a model of elementary particle.

$CP_2$  type extremals are much like black holes in the sense that they possess elementary particle horizon: this is the surface at which the Euclidian signature of the metric of the  $CP_2$  type extremal changes to the Minkowskian signature of the background space-time. One can indeed generalize Bekenstein-Hawking law to a statement saying that the real counterpart of the p-adic entropy predicted by the p-adic thermodynamics is proportional to the surface area of the elementary particle horizon. In particular, for primes  $p \sim 2^k$ , where  $k$  is power of prime, the radius of the elementary particle horizon

is itself a p-adic length scale. This suggests a double p-adicization associated with  $p$  and  $k$  and an additional cognitive degeneracy due to the k-adic non-determinism, and hence also the dominance of the final states of quantum jump for which  $p \simeq 2^k$  holds true: there would be simply very many physically equivalent physical states for these values of  $p$ .

### p-Adic thermodynamics and particle massivation

The underlying idea of TGD based description of particle massivation is following. Due to the interaction of a topologically condensed 3-surface describing elementary particle with the background space-time, massless ground states are thermally mixed with the excitations with mass of order  $m_0 \sim 1/R$  ( $R$  is  $CP_2$  length scale,  $1/R$  of order  $10^{-4}$  Planck masses) created by the Super Virasoro generators. Instead of energy, the Virasoro generator  $L_0$  (essentially mass squared) is thermalized. This guarantees Lorentz invariance automatically. p-Adic temperature is quantized by purely number theoretical constraints (the Boltzmann weight  $\exp(-E/kT)$  is replaced with  $p^{L_0/T_p}$ ,  $1/T_p$  integer) and fermions correspond to  $T_p = 1$  whereas  $T_p = 1/2$  seems to be the only reasonable choice for bosons. That mass squared, rather than energy, is a fundamental quantity at  $CP_2$  length scale is also suggested by a simple dimensional argument (Planck mass squared is proportional to  $\hbar$  so that it should correspond to a generator of some Lie-algebra (Virasoro generator  $L_0$  representing scaling!)).

Optimal lowest order predictions for the charged lepton masses are obtained and photon, gluon and graviton appear as essentially massless particles. The calculations support the existence of massless gluons and electro-weak quanta associated with so called massless extremals (MEs). One important prediction is that p-adic thermodynamics cannot explain the masses of the intermediate gauge bosons although the predictions for the fermion masses are excellent. This observation led to the identification of the TGD counterpart of Higgs field whose vacuum expectation provides the dominating contribution to the bosonic masses and only shifts bosonic masses [20].

### p-Adic coupling constant evolution

The original hypothesis was that Kähler coupling strength  $\alpha_K$  is completely fixed by quantum criticality implying that  $\alpha_K$  is analogous to critical temperature. p-Adic considerations led to the view that there is infinite number of critical values of  $\alpha_K$  labelled by p-adic primes. In many-sheeted space-time one can indeed consider the possibility that  $\alpha_K$  is not a universal constant. This would mean that space-time sheets joined only by wormhole contacts and surrounded by light like elementary particle horizons would be characterized by different values of Kähler coupling strength.

Since p-adic primes correspond to p-adic length scales this inspires the idea that the ordinary coupling constant evolution is replaced by a discrete coupling constant evolution. This view is also consistent with the criticality of the Kähler coupling constant. The assumption that gravitational constant is invariant under critical temperature-adic coupling constant evolution fixes highly unique the evolution of Kähler coupling strength. This picture makes sense if one can assign to a given 3-surface a unique p-adic prime and there are good reasons to believe that this is indeed the case.

The progress in the understanding of the spectrum of Planck constants predicted by TGD however forced to question the idea about p-adic evolution of the Kähler coupling strength and consider the possibility that the original vision is correct after all. Assume that gauge bosons and graviton correspond to Mersenne primes and that graviton, or more generally, the space-time sheets mediating gravitational interaction, corresponds to the largest Mersenne prime for which the p-adic length scale is non-super-astronomical. This Mersenne is  $M_{127}$  defining the p-adic length scale of electron. If only  $p = M_{127}$  is experimentally relevant, one can tolerate the proportionality  $G = \exp(S_K(CP_2))L_p^2$  following from simple dimensional considerations ( $S_K(CP_2)$  denotes Kähler action for  $CP_2$  type extremals representing elementary particles) and meaning a rapid increase of  $G$  as a function of  $L_p$  if  $\alpha_K$  is RG invariant. This leads to a highly predictive scenario reproducing the basic features of electro-weak and color coupling constant evolution and also allowing to deduce the value of  $R^2/CP_2$  with electro-weak coupling  $\alpha_{U(1)}(M_{127})$ .

### Vacuum degeneracy of the Kähler action and spin glass analogy

The space of minima of free energy for spin glass is known to have ultra-metric topology. p-Adic topology is also ultra-metric and this motivated the hypothesis that quantum average space-time, 'topological condensate', defined as a maximum of Kähler function can be obtained by gluing together

regions characterized by various values of the p-adic prime  $p$ . It must be emphasized that this hypothesis is just a guess and not even correct as such, and it seems that TGD as a generalized number theory vision gives the real justification for the p-adics. A good guess is however that the ultra-metric topology of the reduced configuration space consisting of the maxima of the Kähler function is induced from the p-adic norm and that there is a close connection between the two p-adicities. The following arguments tries to make this idea more precise.

The unique feature of the Kähler action is its enormous vacuum degeneracy: any space-time surface, whose  $CP_2$  projection is a so called Lagrange manifold (having dimension  $D \leq 2$ ) is vacuum extremal. This is expected to imply a large degeneracy of the absolute minimum space-times: for instance, several absolute minima with the same action are possible for single 3-surface (this forces to a generalization of space-time concept obtained by introducing 'association sequences'). The degeneracy means an obvious analogy with the spin glass phase characterized by 'frustration' implying a large number of degenerate ground states. In the construction of the configuration space geometry the analogy between quantum TGD and spin glass becomes precise.

Spin glass consists of magnetized regions such that the direction of the magnetization varies randomly in the spatial degrees of freedom but is frozen in time. What is peculiar that, although there are large gradients on the boundaries of the regions with a definite direction of magnetization, no large surface energies are generated. An obvious p-adic explanation suggests itself: p-adic magnetization could be pseudo constant and hence piecewise constant with a vanishing derivative on the boundaries of the magnetized regions so that no p-adic surface energy would be generated.

In the description of the spin glass phase also ultra-metricity, which is the basic property of the p-adic topology, emerges in a natural manner. The energy landscape describing the free energy of spin glass as a function of various parameters characterizing spin glass, is fractal like function and there are infinite number of energy minima. In this case there is a standard manner to endow the space of the free energy minima with an ultra-metric topology [45].

The counterpart of the energy landscape in TGD can be constructed as follows. The configuration space of TGD (the space of 3-surfaces in  $H$ ) has fiber-space like structure deriving from the decomposition  $CH = \cup_{\text{zeromodes}} G/H$ . The fiber is the coset space  $G/H$  such that  $G$  is the group of the canonical transformation of the light cone boundary. In particular, the canonical transformations of  $CP_2$  act in the fiber as isometries. The base space is the infinite-dimensional space of the zero modes characterizing the size and shape as well as the classical Kähler field at the 3-surface.

To calculate S-matrix element, one must form Fock space inner product as a functional of 3-surface  $X^3$  multiplied with the vacuum functional  $exp(K)$  and integrate it over the entire configuration space:

$$S_{i \rightarrow f} = \int \langle \Psi_f, \Psi_i \rangle (X^3) exp(K(X^3)) \sqrt{GD} X^3 .$$

The integration over the fiber degrees of freedom reduces to a Gaussian integration around the maxima of the Kähler function with respect to the fiber coordinates. The equally poorly defined Gaussian and metric determinants cancel each other in this integration and one obtains a well defined end result. Canonical transformations are 'almost gauge symmetries' since only classical gravitational fields destroy canonical symmetries acting as  $U(1)$  gauge transformations. This means that the action for several canonically related configurations can be degenerate and several maxima are expected for given values of the zero modes. This means that the subset  $CH_0$  of the configuration space consisting of the maxima of the Kähler function has many sheets parameterized by the zero modes and that generalized catastrophe theory is obtained.

If a localization in the zero modes occurs in the quantum jump, one can circumvent the integration over the zero modes in practice. The exponent for the maximum of the Kähler action is expected to have maxima as a function of the zero modes too. The maxima of  $exp(K_{max})$  as function of zero modes define the counterpart of the energy landscape and  $exp(K_{max})$  is the counterpart of the energy serving as a height function of the energy landscape. It could quite well be that this height function can be induced from a p-adic norm. If so, the allowed values of  $p$  define a decomposition of the space of zero modes to sectors  $D_p$ . For 'full'  $CP_2$  type extremals representing virtual gravitons the exponent is indeed proportional to  $1/p$  if one takes seriously the argument determining the possible values of the Kähler coupling strength. Thus cognitive p-adicity and spin glass p-adicity would be related to each other. The connection with gravitons is especially interesting since also classical gravitation is closely related to the spin glass degeneracy.

### 4.3.3 Evolution of mathematical ideas

The evolution of mathematical ideas has been driven by the following frequently asked questions.

1. Is p-adicity realized at space-time level or only at the level of p-adic thermodynamics which was the first application of p-adic numbers? If p-adic space-time regions really make sense, what is their physical interpretation?
2. Physics seems to require correspondence between p-adic and real numbers. What is the role of canonical identification: does it only map p-adic probabilities to their real counterparts or could it be applied also at space-time level despite the obvious difficulties with general coordinate invariance? What about correspondence defined by rational numbers which can be regarded as numbers common to all number fields. Is it possible to assign to a real space-time surface a p-adic counterpart by procedure respecting general coordinate invariance?
3. Does the notion of p-adicization of real physics make sense? How one might achieve the p-adicization in general coordinate invariance manner? What should one p-adicize: only probability calculus and thermodynamics? Or should one include also Hilbert space level? What about p-adicization at space-time level and perhaps even configuration space-level?
4. What is the origin of p-adicity? What is the origin of p-adic length scale hypothesis? How it is possible to assign p-adic prime to a given real space-time sheet as required by the p-adic mass calculations?
5. There have been also technical problems. Besides differential calculus also integral calculus is basic element of classical physics since all variational principles involve integrals over space-time. Also the functional integral over configuration space is needed in order to define S-matrix elements. How one could circumvent the difficulties caused by the non-existence of a p-adic valued define integral based on Riemann sum.

#### **p-Adic physics as physics of cognition and intentionality and generalization of number concept**

The identification of p-adic physics as physics of cognition and intention suggests strongly connections between cognition, intentionality, and number theory. The new idea is that also real transcendental numbers can appear in the extensions of p-adic numbers which must be assumed to be finite-dimensional at least in the case of human cognition.

The basic ingredient is the new view about numbers: real and p-adic number fields are glued together like pages of a book along common rationals representing the rim of the book. Also the rational multiples of algebraic numbers existing p-adically are shared in this manner so that the pages of the book can be stuck together along these lines. This generalizes to the extensions of p-adic number fields and the outcome is a complex fractal book like structure containing books within books. This holds true also for manifolds and one ends up to the view about many-sheeted space-time realized as 4-surface in 8-D generalized imbedding space and containing both real and p-adic space-time sheets. The transformation of intention to action corresponds to a quantum jump in which p-adic space-time sheet is replaced with a real one.

One implication is that the rationals having short distance p-adically are very far away in the real sense. This implies that p-adically short temporal and spatial distances correspond to long real distances and that the evolution of cognition proceeds from long to short temporal and spatial scales whereas material evolution proceeds from short to long scales. Together with p-adic non-determinism due the fact that the integration constants of p-adic differential equations are piecewise constant functions this explains the long range temporal correlations and apparent local randomness of intentional behavior. The failure of the real statistics and its replacement by p-adic fractal statistics for time series defined by varying number  $N$  of measurements performed during a fixed time interval  $T$  allows very general tests for whether the system is intentional and what is the p-adic prime  $p$  characterizing the "intelligence quotient" of the system. The replacement of  $\log(p_n)$  in the formula  $S = -\sum_n p_n \log(p_n)$  of Shannon entropy with the logarithm of the p-adic norm  $|p_n|_p$  of the rational valued probability allows to define a hierarchy of number theoretic information measures which can have both negative and positive values.

Since p-adic numbers represent a highly number theoretical concept one might expect that there are deep connections between number theory and intentionality and cognition. The discussions with Uwe Kämpf in CASYS'2003 conference in Liege indeed stimulated a bundle of ideas allowing to develop a more detailed view about intention-to-action transformation and to disentangle these connections. These discussions made me aware of the fact that my recent views about the role of extensions of p-adic numbers are perhaps too limited. To see this consider the following arguments.

1. Pure p-adic numbers predict only p-adic length scales proportional to  $p^{n/2l}$ ,  $l$   $CP_2$  length scale about  $10^4$  Planck lengths,  $p \simeq 2^k$ ,  $k$  prime or power of prime. As a matter fact, all positive integer values of  $k$  are possible. This is however not enough to explain all known scale hierarchies. Fibonacci numbers  $F_n : F_n + 1 = F_n + F_{n-1}$  behave asymptotically like  $F_n = kF_{n-1}$ ,  $k$  solution of the equation  $k^2 = k+1$  given by  $k = \Phi = (1+\sqrt{5})/2 \simeq 1.6$ . Living systems and self-organizing systems represent a lot of examples about scale hierarchies coming in powers of the Golden Mean  $\Phi = (1 + \sqrt{5})/2$ .

By allowing the extensions of p-adics by algebraic numbers one ends up to the idea that also the length scales coming as powers of  $x$ , where  $x$  is a unit of algebraic extension analogous to imaginary unit, are possible. One would however expect that the generalization of the p-adic length scale hypothesis alone would predict only the powers  $\sqrt{x}p^{n/2}$  rather than  $x^k p^{n/2}$ ,  $k = 1, 2, \dots$ . Perhaps the purely kinematical explanation of these scales is not possible and genuine dynamics is needed. For sinusoidal logarithmic plane waves the harmonics correspond to the scalings of the argument by powers of some scaling factor  $x$ . Thus the powers of Golden Mean might be associated with logarithmic sinusoidal plane waves.

2. Physicist Hartmuth Mueller has developed what he calls Global Scaling Theory [53] based on the observation that powers of  $e$  (Neper number) define preferred length scales. These powers associate naturally with the nodes of logarithmic sinusoidal plane waves and correspond to various harmonics (matter tends to concentrate on the nodes of waves since force vanishes at the nodes). Mueller talks about physics of number line and there is great temptation to assume that deep number theory is indeed involved. What is troubling from TGD point of view that Neper number  $e$  is not algebraic. Perhaps a more general approach allowing also transcendentals must be adopted.
3. Classical mathematics, such as the theory of elementary functions, involves few crucially important transcendentals such as  $e$  and  $\pi$ . This might reflect the evolution of cognition: these numbers should be cognitively and number theoretically very special. The numbers  $e$  and  $\pi$  appear also repeatedly in the basic formulas of physics. They however look p-adically very troublesome since it has been very difficult to imagine a physically acceptable generalization of such simple concepts as exponent function, trigonometric functions, and logarithm resembling its real counterpart by allowing only the extensions of p-adic numbers based on algebraic numbers.

These considerations stimulate the question whether, besides the extensions of p-adics by algebraic numbers, also the extensions of p-adic numbers involving  $\pi$  and  $e$  and other transcendentals might be needed. The intuitive expectation motivated by the finiteness of human intelligence is that these extensions should have finite algebraic dimensions, and it indeed turns out that this is possible under some conditions which can be formulated as very general number theoretical conjectures. Since  $e^p$  exists p-adically, the powers  $e, \dots, e^{p-1}$  define a p-dimensional extension as do also the roots of polynomials with coefficients which are in an extension of rationals containing  $e$  and its powers. Contrary to the original conjecture,  $\pi$  however cannot belong to a finite-dimensional extension of p-adics. It is an open question whether one should allow infinite-dimensional extension of p-adic numbers containing  $\pi$ . In any case, the special role of  $\pi$  however becomes an extremely strong constraint for the p-adicization of quantum TGD by algebraic continuation from the realm of rationals to real and p-adic number fields.

Second question is whether there might be some dynamical mechanism allowing to understand the hierarchy of scalings coming in powers of some preferred transcendentals and algebraic numbers like Golden Mean. Conformal invariance implying that the system is characterized by a universal spectrum of scaling momenta for the logarithmic counterparts of plane waves seems to provide this mechanism. This spectrum is determined by the requirement that it exists for both reals and all p-adic number fields assuming that finite-dimensional extensions are allowed in the latter case. The

spectrum corresponds to the zeros of the Riemann Zeta if Zeta is required to exist for all number fields in the proposed sense, and a lot of new understanding related to Riemann hypothesis emerges and allows to develop further the previous TGD inspired ideas about how to prove Riemann hypothesis [52, 55].

### Algebraic continuation as a basic principle

One general idea which results as an outcome of the generalized notion of number is the idea of a universal function continuable from a function mapping rationals to rationals or to a finite extension of rationals to a function in any number field. This algebraic continuation is analogous to the analytical continuation of a real analytic function to the complex plane. Rational functions with rational coefficients are obviously functions satisfying this constraint. Algebraic functions with rational coefficients satisfy this requirement if appropriate finite-dimensional algebraic extensions of p-adic numbers are allowed. Exponent function is such a function. Logarithm is also such a function provided that the above mentioned number theoretic conjecture holds true.

The definition of a definite integral for p-adic numbers has been the key challenge in attempts to construct p-adic physics and algebraic continuations seems to solve this problem. The first problem is that p-adic numbers are not well ordered and one cannot define what ordered integration interval  $[a, b]$  means p-adically. The second problem is that Riemann sum gives identically vanishing p-adic integral if coordinate increments approach zero at the limit. One can however define the definite integral in terms of the integral function:

$$\int_a^b f(x)dx = F(b) - F(a) ; f(x) = \frac{dF(x)}{dx} .$$

Integral function  $F(x)$  is obtained using the inverse of the derivation just as in the real context. If integration limits are restricted to be rational numbers or finitely extended rational numbers, they can be ordered using the ordering of real numbers. This would essentially mean that p-adic integration measure is an algebraic continuation of the real integration measure.

Also residy calculus might be generalized so that the value of an integral along the real axis could be calculated by continuing it instead of the complex plane to any number field via its values in the subset of rational numbers forming the rim of the book like structure having number fields as its pages. If the poles of the continued function in the finitely extended number field allow interpretation as real numbers it might be possible to generalize the residy formula. One can also imagine of extending residy calculus to any algebraic extension. An interesting situation arises when the poles correspond to extended p-adic rationals common to different pages of the "great book". This could mean that the integral could be calculated at any page having the pole common. In particular, could a p-adic residy integral be calculated in the ordinary complex plane by utilizing the fact that in this case numerical approach makes sense.

Gaussian integration as a purely algebraic process gives hopes to define p-adic variants of configuration space integrals but only in the case that the integral over the configuration space reduces effectively to the Gaussian integral of a free quantum field theory. If configuration space is indeed a union of symmetric spaces, there are good hopes for achieving this (Duistermaat-Hecke theorem).

p-Adic integration is not necessarily needed to define the p-adic counterpart for the field equations associated with Kähler action but the continuation of the physics from real configuration space to the p-adic variants of the configuration spaces requires the existence of the p-adic valued Kähler action. If it is possible to assign to a given real space-time surface a p-adic counterpart uniquely in a given resolution for rational numbers, one can define the p-adic Kähler action as the real action interpreted as p-adic number in case that the real action belongs to a finite extension of rationals.

### 4.3.4 Generalized Quantum Mechanics

One can consider two generalizations of quantum mechanics to a fusion of p-adic and real quantum mechanics.

1. For the first generalization the guiding principle for the generalization of quantum mechanics is that quantum mechanics in a given number field is obtained as an algebraic continuation of the

quantum mechanics in the field of rational numbers common to all number fields or in finite-dimensional extensions of rational numbers. This means that  $U$ -matrices  $U_F$  for transitions from  $H_Q$  to  $H_F$ , where  $F$  refers to various completions of rationals, are obtained as algebraic continuations of the unitary  $U$ -matrix  $U_Q$  for  $H_Q$ . The generalization means enormously strong algebraic constraints on the form of the  $U$ -matrix.

2. A more radical option is that transitions from rational Hilbert space  $H_Q$  to the Hilbert spaces  $H_F$  associated with different number fields occur. This requires that  $U$ -process is followed by a process analogous to a state function reduction and preparation takes care that the resulting states become states in  $H_Q$ : this is what makes this generalization of a special interest. In this case one can speak about total scattering probability from  $H_Q$  to  $H_F$ . The  $U$ -matrices  $U_F$  are not anymore mere analytic continuations of  $U_Q$ . A possible interpretation of the unitary process  $H_Q \rightarrow H_F$  is as generation of intention whereas the reduction and preparation means the transformation of the intention to action.

The assumption that  $H_Q$  allows an algebraic continuation to the spaces  $H_F$  is probably too strong an idealization in p-adic and even in the real case. For instance, one cannot allow all rational valued momenta in p-adic case for the simple reason that the continuation to the p-adic case involves always some momentum cutoff if the extension of p-adics remains finite. Even in the real case the summation over all rational momenta in the unitarity conditions of  $U$ -matrix fails to make sense and cutoff is needed. A hierarchy of cutoffs suggests itself and has a natural interpretation as number theoretical hierarchy of extensions of p-adics.

In order to avoid un-necessary complications the following formal discussion however uses  $H_Q$  as a universal Hilbert space contained by the various state spaces  $H_F$ .

#### Quantum mechanics in $H_F$ as a algebraic continuation of quantum mechanics in $H_Q$

The rational Hilbert space  $H_Q$  is representable as the set of sequences of real or complex rationals of which only finite number are non-vanishing. Real and p-adic Hilbert spaces are obtained as the numbers in the sequences to become real or p-adic numbers and no limitations are posed to the number of non-vanishing elements. All these Hilbert spaces have rational Hilbert space  $H_Q$  as a common sub-space. Also momenta and other continuous quantum numbers are replaced by a discrete value set. Superposition principle holds true only in a restricted sense, and state function reduction and preparation leads always to a final state which corresponds to a state in  $H_Q$ . This picture differs from the earlier one in which p-adic and real Hilbert spaces were assumed to form a direct sum.

The notion of unitarity generalizes. Contrary to the earlier beliefs,  $U$ -matrix does not possess matrix elements between different number fields but between rational Hilbert space and Hilbert spaces associated with various completions of rationals. This makes sense since the final state of the quantum jump (and thus the initial state of the unitary process, is always in  $H_Q$ ).

The  $U$ -matrix is a collection of matrices  $U_F$  having matrix elements in the number field  $F$ .  $U_F$  maps  $H_Q$  to  $H_F$ . Each of these  $U$ -matrices is unitary. Also  $U_Q$  is unitary and  $U_F$  is obtained by algebraic continuation in the quantum numbers labelling the states of  $U_Q$  to  $U_F$ .

Hermitian conjugation makes sense since the defining condition

$$\langle \alpha_F | U n_Q \rangle = \langle U^\dagger \alpha_F | n_Q \rangle . \quad (4.3.1)$$

allows to interpret  $|n_Q\rangle$  also as an element of  $H_F$ . If  $U$  would map different completed number fields to each other, hermiticity conditions would not make sense.

The hermitian conjugate of  $U$ -matrix maps  $H_F$  to  $H_Q$  so that  $UU^\dagger$  resp.  $U^\dagger U$  maps  $H_F$  resp.  $H_Q$  to itself. This means that there are two independent unitarity conditions

$$\begin{aligned} U_F U_F^\dagger &= Id_F , \\ U_F^\dagger U_F &= Id_Q . \end{aligned} \quad (4.3.2)$$

One can write  $U = P_Q + T_F$  and  $U^\dagger = P_Q + T_F^\dagger$ , where  $P_Q$  refers to the projection operator to  $H_Q$ . This gives

$$\begin{aligned}
T_F + T_F^\dagger &= -T_F T_F^\dagger , \\
P_Q T_F + T_F^\dagger P_Q &= -T_F^\dagger T_F .
\end{aligned}
\tag{4.3.3}$$

It is convenient to introduce the notations  $T_Q = P_Q T_F$  and  $T_Q^\dagger = T_F^\dagger P_Q$  with analogous notations for  $U$  and  $U^\dagger$ . The first condition, when multiplied from both sides by  $P_Q$ , gives together with the second equation unitarity conditions for  $T_Q$

$$\begin{aligned}
T_Q + T_Q^\dagger &= -T_Q T_Q^\dagger , \\
T_Q + T_Q^\dagger &= -T_F^\dagger T_F .
\end{aligned}
\tag{4.3.4}$$

This means that the restriction of the U-matrix to  $H_Q$  is unitary.

The difference between the right hand sides of the equation should vanish. The understanding of how this happens requires more delicate considerations. For instance, in the case of  $F = C$  continuous sum over indices appears at the right hand side coming from four-momenta labelling the states. The restrictions of quantum numbers to  $Q$  and its subsets could be a process analogous to the momentum cutoff of quantum field theories. The continuation from discrete integer valued labels of, say discrete momenta, to continuous values is performed routinely in various physical models routinely, and it would seem that this process has cognitive and physical counterparts. This picture conforms with the vision that the rational (or extended rational) U-matrix  $U_Q$  gives the U-matrices  $U_F$  by an algebraic continuation in the quantum numbers labelling the states (say 4-momenta).

#### Could $U_F$ describe dispersion from $H_Q$ to the spaces $H_F$ ?

One can also consider a more general situation in which the states in  $H_Q$  can be said to disperse to the sectors  $H_F$ . In this case one can write

$$T = \text{''} \sum_F \text{''} T_F . \tag{4.3.5}$$

Here the sum has only a symbolic meaning since different number fields are in question and an actual summation is not possible. The  $T$ -matrix  $T_Q$  is the sum of the restrictions of  $T_F$  to  $H_Q$  and is the sum of rational valued  $T$ -matrices:  $T_Q = \sum_F P_Q T_F$ .

The  $T$ -matrices  $T_F$  are not anymore obtainable by algebraic continuation from same  $T$ -matrix  $T_Q$ . The unitarity conditions

$$\sum_F (P_Q T_F + T_F^\dagger P_Q) = - \sum_F T_F^\dagger T_F \tag{4.3.6}$$

make sense only if they are satisfied separately for each  $T_F$ , exactly as in the previous case. T

The diagonal elements

$$T_F^{mm} + \bar{T}_F^{mm} = \sum_\alpha T_F^{m\alpha} \bar{T}_F^{m\alpha} = \sum_r T_F^{mr} \bar{T}_F^{mr}$$

give essentially total scattering probabilities from the state  $|m\rangle$  of  $H_Q$  to the sector  $H_F$ , and must be rational (or extended rational) numbers. One can therefore say that each  $U$ -process leads with a definite probability to a particular sector of the state space.

The fact that states which are superpositions of states in different spaces  $H_F$  does not make sense mathematically, forces the occurrence of a process, which might be regarded as a number theoretical counterpart of state function reduction and preparation. First a sector  $H_F$  is selected with probability  $p_F$ . Then  $F$ -valued (in particular complex valued) entanglement in  $H_F$  is reduced by state reduction and preparation type processes to a rational or extended rational entanglement having interpretation as bound state entanglement. It would be natural to assume that Negentropy Maximization Principle governs this process. Obviously the possibility to reduce state function reduction to number theory forces to consider quite seriously the proposed option.



### 4.3.5 Do state function reduction and state-preparation have number theoretical origin?

The foregoing considerations support the view that state function reduction and state preparation are number theoretical necessities so that there would be a deep connection between number theory and free will. One could even say that free will is a number theoretic necessity. The resulting more unified view provides the reason why for state function reduction, and preparation and allows to generalize previous views developed gradually by physics and consciousness inspired educated guess work.

#### Negentropy Maximization Principle as variational principle of cognition

It is useful to discuss the original view about Negentropy Maximization Principle (NMP) before considering the possible generalization of NMP inspired by the number theoretic vision.

NMP was originally motivated by the need to construct a TGD based quantum measurement theory. Gradually it however became clear that standard quantum measurement theory more or less follows from the assumption that the world of conscious experience is classical: this meant that NMP became a principle governing only state preparation.

State function reduction is achieved if a localization in zero modes occurs in each quantum jump, and if  $U$  matrix in zero modes corresponds to a flow in some orthogonal basis for the configuration space spinor fields in the quantum fluctuating fiber degrees of freedom of the configuration space. The requirement that  $U$ -matrix induces effectively a flow in zero modes is consistent with the effective classicality of the zero modes requiring that quantum evolution causes no dispersion. The one-one correlation between preferred quantum state basis in quantum fluctuating degrees of freedom and zero modes implies nothing but a one-one correspondence between quantum states and classical variables crucial for the interpretation of quantum theory. It seems that number theoretical vision forces to generalize this view, and to raise NMP to a completely general principle applying also to the state function reduction as the original proposal indeed was.

In its original form NMP governs the dynamics of self measurements and thus applies to the quantum jumps reducing the entanglement between quantum fluctuating degrees of freedom for given values of zero modes. Self measurements reduce the entanglement only between sub-systems in quantum fluctuating degrees of freedom since they occur after the localization in the zero modes. Self measurement is repeated again and again for the unentangled sub-systems resulting in each self measurement. This cascade of self measurements leads to a state possessing only extended rational entanglement identifiable as bound state entanglement and having negative number theoretic entanglement entropy. This process should be equivalent with the state preparation process assumed to be performed by a conscious observer in standard quantum measurement theory.

NMP states that the self measurement can be regarded as a quantum measurement of the sub-system's density matrix reducing the counterpart of the entanglement entropy of some sub-system to a smaller value, and that this occurs for the sub-system for which the reduction of the entanglement entropy is largest among all sub-systems of the p-adic self. Inside each self NMP fixes some sub-system which is quantum measured in the quantum jump. One could perhaps say that self measurements make possible quantum level self repair since they allow the system in self state to fight against thermalization which results from the generation of unbound entanglement between sub-system-complement pairs.

#### NMP and number theory

The requirement the universe of conscious experience is classical is one manner to justify the notion of quantum jump. This hypothesis could be replaced by a postulate that state function reduction and preparation project quantum states to a definite number field and that only extended rational entanglement identifiable as bound state entanglement is stable. This is consistent with NMP since it is possible to assign to an extended rational entanglement a non-negative number theoretic negentropy as the maximum over entropies defined by various p-adic entropies  $S_p = -\sum p_k \log(|p_k|_p)$ .

The unitary process  $U$  would thus start from a product of bound states for which entanglement coefficient are extended rationals, and would lead to a formal superposition of states belonging to different number fields. Both state function reduction and state preparation would begin with a localization to a definite number field. This localization would be followed by a self measurement cascade reducing the entanglement to extended rational entanglement.

This vision forces to challenge the earlier views about state function reduction.

1. There is no good reason for why NMP could not be applied to both state function reduction and preparation.
2. If the entanglement between zero modes and quantum fluctuating degrees of freedom involves only discrete values of zero modes, the problems caused by the fact that no well-defined functional integral measure over zero modes exists, find an automatic resolution. Since extended rational entanglement possesses negative entanglement entropy, it is stable also against reduction if NMP applies completely generally. A discrete entanglement involving transcendentals not contained to any *finite* extension of any p-adic number field is unstable and reduced.
3. The quantum measurement lasts for a time determined by the life-time of the bound state entanglement between zero modes and quantum fluctuating degrees of freedom. Physical considerations of course support the view that it takes more than single quantum jump ( $10^{-39}$  seconds of psychological time) for the state function reduction to take place. The notion of zero mode-zero mode bound state entanglement seems however to be self-contradictory. If join along boundaries bonds are space-time correlates for the bound state entanglement, their formation should transform roughly half of the zero modes associated with the two space-time sheets to quantum fluctuating degrees of freedom.
4. If p-adic length scale hierarchy has as its counterpart a hierarchy of state function reduction and preparation cascades, one must accept the quantum parallel occurrence of state function reduction and preparation processes in the parallel quantum universes corresponding to different p-adic length scales. This picture provides a justification for the modelling of hadron as a quantum system in long length and time scales and as a dissipative system consisting of quarks and gluons in shorter length and time scales. The bound state entanglement between sub-systems of entangled systems having as a space-time correlate join along boundaries bonds connecting sub-system space-time sheets, is a second important implication of the new sub-system concept, and plays a central role in TGD inspired theory of consciousness.

## 4.4 The boost from TGD inspired theory of consciousness

Quite generally, TGD inspired theory of consciousness can be seen as a generalization of quantum measurement theory. The identification of quantum jump as a moment of consciousness is analogous to the identification of elementary particles as basic building blocks of matter. The observer is an outsider in standard quantum measurement theory and is replaced by the notion of self in TGD inspired theory of consciousness. Selves identified as systems able to avoid bound state entanglement and identifiable as ensembles of quantum jumps, are analogous to many-particle states. The sensory and other qualia of self are determined as statistical averages over quantum number and zero mode increments for the increasing sequence of quantum jumps defining self. Especially important are selves, which are in a state of macro-temporal quantum coherence since for these selves the entropy of the ensemble defined by the quantum jumps does not increase and the qualia stay sharp. These selves are analogous to bound states of elementary particles and their formation actually corresponds to the generation of bound state entanglement.

### 4.4.1 The anatomy of the quantum jump

In TGD framework quantum transitions correspond to a quantum jump between two different quantum histories rather than to a non-deterministic behavior of a single quantum history. Therefore  $U$ -matrix relates to each other two quantum histories rather than the initial and final states of a single quantum history.

To understand the philosophy behind the construction of  $U$ -matrix it is useful to notice that in TGD framework there is actually a 'holy trinity' of time developments instead of single time development encountered in ordinary quantum field theories.

1. The classical time development determined by the criticality condition selecting preferred extremals as generalized Bohr orbits [19].

2. The unitary "time development" defined by  $U$  associated with each quantum jump

$$\Psi_i \rightarrow U\Psi_i \rightarrow \Psi_f ,$$

and defining  $U$ -matrix. One cannot however assign to the  $U$ -matrix an interpretation as a unitary time-translation operator and this means that one must leave open the identification of  $U$ -matrix with S-matrix.

3. The time development of subjective experiences by quantum jumps identified as moments of consciousness. The value of psychological time associated with a given quantum jump is determined by the contents of consciousness of the observer. The understanding of psychological time and its arrow and of the dynamics of subjective time development requires the construction of theory of consciousness. A crucial role is played by the classical non-determinism of Kähler action implying that the non-determinism of quantum jump and hence also the contents of conscious experience can be concentrated into a finite volume of the imbedding space.

$U$  is informational "time development" operator, which is unitary like the S-matrix characterizing the unitary time evolution of quantum mechanics.  $U$  is however only formally analogous to Schrödinger time evolution of infinite duration since there is *no* real time evolution or translation involved. It is not clear whether one should regard  $U$ -matrix and S-matrix as two different things or not:  $U$ -matrix is a completely universal object characterizing the dynamics of evolution by self-organization whereas S-matrix is a highly context dependent concept in wave mechanics and in quantum field theories where it at least formally represents unitary time translation operator at the limit of an infinitely long interaction time. The S-matrix understood in the spirit of superstring models is however something very different and could correspond to U-matrix.

The requirement that quantum jump corresponds to a measurement in the sense of quantum field theories implies that each quantum jump involves localization in zero modes which parameterize also the possible choices of the quantization axes. Thus the selection of the quantization axes performed by the Cartesian outsider becomes now a part of quantum theory. Together these requirements imply that the final states of quantum jump correspond to quantum superpositions of space-time surfaces which are macroscopically equivalent. Hence the world of conscious experience looks classical. Physically it seems obvious that  $U$  matrix should decompose to a cosmological  $U$ -matrix representing dispersion in configuration space and  $U$ -matrix representing local dynamics: this indeed occurs thanks to the classical non-determinism of the Kähler action. At least formally quantum jump can be interpreted also as a quantum computation in which matrix  $U$  represents unitary quantum computation. An important exception are the zero modes characterizing center of mass degrees of freedom of 3-surface which correspond to the isometries of  $M_+^4 \times CP_2$ . In these degrees of freedom localization does not occur. At the limit when 3-surfaces are regarded as pointlike objects theory should obviously reduce to quantum field theory.

### The three non-determinisms

Besides the non-determinism of quantum jump, TGD allows two other kinds of non-determinisms: the classical non-determinism basically due the vacuum degeneracy of the Kähler action and p-adic non-determinism of p-adic differential equations due to the fact that functions with vanishing p-adic derivative correspond to piecewise constant functions.

To achieve classical determinism in a generalized sense, one must generalize the definition of the 3-surfaces  $Y^3$  (belonging to light cone boundary) by allowing also "association sequences", that is 3-surfaces which have, besides the component belonging to the light cone boundary, also disjoint components which do not belong to the light cone boundary and have mutual *time-like separations*. This means the introduction of additional, one might hope typically discrete, degrees of freedom (consider non-determinism based on bifurcations as an example). It is even possible to have quantum entanglement between the states corresponding to different values of time.

Without the classical and p-adic non-determinisms general coordinate invariance would reduce the theory to the light cone boundary and this would mean essentially the loss of time which occurs also in the quantization of general relativity as a consequence of general coordinate invariance. Classical and p-adic non-determinisms imply that one can have quantum jumps with non-determinism (in conventional sense) located to a finite time interval. If quantum jumps correspond to moments of

consciousness, and if the contents of consciousness are determined by the locus of the non-determinism, then these quantum jumps must give rise to a conscious experience with contents located in a finite time interval.

Also p-adic space-time sheets obey their own quantum physics and are identifiable as seats of cognitive representations. p-Adic non-determinism is the basic prerequisite for imagination and simulation. The notion of cognitive space-time sheet as a space-time sheet having finite time duration is one aspect of the p-adic non-determinism and allows to understand how the notion of psychological time emerges. Cognitive space-time sheets simply drift quantum jump by quantum to the direction of geometric future since there is much more room there in the light cone cosmology.

The classical non-determinism is maximal for  $CP_2$  type extremals for which the  $M_+^4$  projection of the space-time surface is random lightlike curve. In this case, basic objects are essentially four-rather than 3-dimensional. The basic implication of the classical non-determinism is that quantum theory does not reduce to the light cone boundary. Secondly,  $U$ -matrix reduces to a tensor product of a cosmological  $U$ -matrix and local  $U$ -matrices relevant for particle physics. As a matter fact, an entire hierarchy of  $U$ -matrices defined in various p-adic time scales is expected to appear in the hierarchy. Thirdly, the classical non-determinism of  $CP_2$  type extremals allows a topologization of the Feynman diagrammatics of quantum field theories and string models. Although localization in zero modes characterizing zitterbewegung orbit occur in quantum jump, there is integral over the positions of vertices which correspond to cm degrees of freedom for imbedding space, and this gives rise to a sum over various Feynman diagrams.

### How psychological time and its arrow emerge?

How psychological time and its arrow emerge is the basic challenge for the hypothesis that quantum jumps occur between quantum histories and are identifiable as moments of consciousness. Mind like space-time sheets provide a geometric model of unconscious mind in TGD framework and make it possible to solve the puzzle of psychological time. The first argument is following.

Mind like space-time sheets have well center of mass time coordinate and this coordinate is zero mode identifiable as psychological time. Localization in zero modes means that final states of quantum jumps correspond to quantum superpositions of space-time surfaces having same number of mind like space-time sheets such that given mind like space-time sheet possesses same value of psychological time for all space-time surfaces appearing in the superposition. The arrow of psychological time follows from the gradual drift of the mind like space-time sheets in future direction occurring quantum jump by quantum jump and is implied by the geometry of future light cone (there is more volume in the future of a given light cone point than in its future). The simplest assumption is that the average increment of psychological time in single quantum jump is of order  $CP_2$  time, which is about  $10^4$  Planck times.

Besides classical non-determinism there is also p-adic non-determinism and one should keep mind open in the attempts to identify the roles of these two non-determinisms. The interpretation taken as a working hypothesis in the recent version of TGD inspired theory of consciousness is that p-adic space-time regions provide cognitive representations of the real regions and serve as correlates for intentions. Real regions are in turn symbolic representations for the material world in TGD sense of the word. This means that besides ordinary matter also higher level physical states associated with the real space-time sheets of a finite duration and having vanishing net energy are possible. The zero energy states representing pairs of incoming and outgoing states could make possible self-referential real physics representing the laws of physics in the structure of the higher level physical states. Real space-time sheets of finite temporal duration might be interpreted also as correlates of pure sensory experience as opposed to p-adic space-time sheets which can be identified as correlates of thoughts. Also volition could be assigned to the quantum jumps involving selection between various branches of multifurcations implied by the classical non-determinism.

A more refined argument explaining the arrow of psychological time is based on the idea that psychological time correspond to the moment of geometric time which gives the dominant contribution to the conscious experience, and that it is the transformation of intentions to actions which provides this contribution. The transformation of intentions to actions corresponds to the transformation of p-adic space-time sheets to real ones, and one can identify psychological time as characterizing the position of the intention-to action phase transition front. In order to have consistency with the basic facts about everyday conscious experience one must assume that the geometric past remains unable

to express intentions for a period of time longer than the life cycle since otherwise the decisions made in say my geometric youth subjectively now could induce dramatic changes in my recent life. This dead time would be analogous to the recovery time of neuron after the generation of nerve pulse.

#### Macro-temporal quantum coherence and spin glass degeneracy

At the space-time level the generation of macroscopic quantum coherence is easy to understand if one accepts the identification of the space-time sheets as coherence regions. Quantum criticality and the closely related spin glass degeneracy are essential for the fractal hierarchy of space-time sheets. The problem of understanding macro-temporal and macroscopic quantum coherence at the level of configuration space (of 3-surfaces) is a more tricky challenge although quantum-classical correspondence strongly suggests that this is possible.

Concerning macro-temporal quantum coherence, the situation in quantum TGD seems at the first glance to be even worse than in standard physics. The problem is that simplest estimate for the increment in psychological time in single quantum jump is about  $10^{-39}$  seconds derived from the idea that single quantum jump represent a kind of elementary particle of consciousness and thus corresponds to  $CP_2$  time of about  $10^{-39}$  seconds. If this time interval defines coherence time one ends up to a definite contradiction with the standard physics. Of course, the average increment of the geometric time during single quantum jump could vary and correspond to the de-coherence time. The idea of quantum jump as an elementary particle of consciousness does not support this assumption.

To understand how this naive conclusion is wrong, one must look more precisely the anatomy of quantum jump. The unitary process  $\Psi_i \rightarrow U\Psi_i$ , where  $\Psi_i$  is a prepared maximally unentangled state, corresponds to the quantum computation producing maximally entangled multi-verse state. Then follows the state function reduction and after this the state preparation involving a sequence of self measurements and given rise to a new maximally unentangled state  $\Psi_f$ .

1. What happens in the state function reduction is a localization in zero modes, which do not contribute to the line element of the configuration space metric. They are non-quantum fluctuating degrees of freedom and TGD counterparts of the macroscopic, classical degrees of freedom. There are however also quantum-fluctuating degrees of freedom and the assumption that zero modes and quantum fluctuating degrees of freedom are correlated like the direction of a pointer of a measurement apparatus and quantum numbers of the quantum system, implies standard quantum measurement theory.
2. Bound state entanglement is assumed to be stable against state function reduction and preparation. Bound state formation has as a geometric correlate formation of join along boundaries bonds between space-time sheets representing free systems. Thus the members of a pair of disjoint space-time sheets are joined to single space-time sheet. Half of the zero modes is transformed to quantum fluctuating degrees of freedom and only overall center of mass zero modes remain zero modes. These new quantum fluctuating degrees of freedom represent macroscopic quantum fluctuating degrees of freedom. In these degrees of freedom localization does not occur since bound states are in question.

Both state function reduction and state preparation stages leave this bound state entanglement intact, and in these degrees of freedom the system behaves effectively as a quantum coherent system. One can say that a sequence of quantum jumps binds to form a single long-lasting quantum jump effectively. This is in complete accordance with the fractality of consciousness. Quantum jumps represent moments of consciousness which are "elementary particles of consciousness" and in macro-temporal quantum coherent state these elementary particles bind to form atoms, molecules, etc. of consciousness.

3. The properties of the bound state plus its interaction with the environment allow to estimate the typical duration of the bound state. This time takes the role of coherence time. This suggests a connection with the standard approach to quantum computation. An essential element is spin glass degeneracy. The generation of join along boundaries bonds connecting the space-time sheets of the composite systems is the space-time correlate for the formation of the bound states. Spin glass degeneracy is much higher for the bound states because of the presence of the join along boundaries bonds. This together with the fact that these degenerate states are almost identical so that transition amplitudes between them are also almost identical, implies that the

life-time of the majority of bound states is much longer than one might expect otherwise. The detailed argument is carried out in [23] and can be applied to show that spin glass degeneracy for the color flux tubes explains color confinement [32].

4. The number theoretic notion of information relies on Shannon entropy in which the logarithms of probabilities are replaced by logarithms of their p-adic norms. This requires that the probabilities are rational or belong to a finite-dimensional extension of rationals. What is so important is that this entropy can have also negative values. If one assumes that bound states form a hierarchy such that the entanglement coefficients belong always to a finite-dimensional extension of rationals, one can define the entanglement entropy as a number theoretic entropy associated with some prime  $p$ . In p-adic context the prime is unique whereas in the real context the value of the prime can be selected in such a manner that the entropy is maximally negative. This prime would be naturally a maximal prime factor of the integer  $N$  defining the number of strictly deterministic regions of the space-time sheet in question. If this assumption is made, NMP alone implies the stability of bound states against state preparation by self measurements. This generalization of the information concept has far reaching implications in TGD inspired theory consciousness.

#### 4.4.2 Negentropy Maximization Principle and new information measures

TGD inspired theory of consciousness, in particular the formulation of Negentropy Maximization Principle (NMP) in p-adic context, has forced to rethink the notion of the information concept. In TGD state preparation process is realized as a sequence of self measurements. Each self measurement means a decomposition of the sub-system involved to two unentangled parts. The decomposition is fixed highly uniquely from the requirement that the reduction of the entanglement entropy is maximal.

The additional assumption is that bound state entanglement is stable against self measurement. This assumption is somewhat ad hoc and it would be nice to get rid of it. The only manner to achieve this seems to be a generalized definition of entanglement entropy allowing to assign a negative value of entanglement entropy to the bound state entanglement, so that bound state entanglement would actually carry information, in fact conscious information (experience of understanding). This would be very natural since macro-temporal quantum coherence corresponds to a generation of bound state entanglement, and is indeed crucial for ability to have long lasting non-entropic mental images.

The generalization of the notion of number concept leads immediately to the basic problem. How to generalize the notion of entanglement entropy that it makes sense for a genuinely p-adic entanglement? What about the number-theoretically universal entanglement with entanglement probabilities, which correspond to finite extension of rational numbers? One can also ask whether the generalized notion of information could make sense at the level of the space-time as suggested by quantum-classical correspondence.

In the real context Shannon entropy is defined for an ensemble with probabilities  $p_n$  as

$$S = - \sum_n p_n \log(p_n) . \quad (4.4.1)$$

As far as theory of consciousness is considered, the basic problem is that Shannon entropy is always non-negative so that as such it does not define a genuine information measure. One could define information as a change of Shannon entropy and this definition is indeed attractive in the sense that quantum jump is the basic element of conscious experience and involves a change. One can however argue that the mere ability to transfer entropy to environment (say by aggressive behavior) is not all that is involved with conscious information, and even less so with the experience of understanding or moment of heureka. One should somehow generalize the Shannon entropy without losing the fundamental additivity property.

#### p-Adic entropies

The key observation is that in the p-adic context the logarithm function  $\log(x)$  appearing in the Shannon entropy is not defined if the argument of logarithm has p-adic norm different from 1. Situation changes if one uses an extension of p-adic numbers containing  $\log(p)$ : the conjecture is that this

extension is finite-dimensional. One might however argue that Shannon entropy should be well defined even without the extension.

p-Adic thermodynamics inspires a manner to achieve this. One can replace  $\log(x)$  with the logarithm  $\log_p(|x|_p)$  of the p-adic norm of  $x$ , where  $\log_p$  denotes p-based logarithm. This logarithm is integer valued ( $\log_p(p^n) = n$ ), and is interpreted as a p-adic integer. The resulting p-adic entropy

$$\begin{aligned} S_p &= \sum_n p_n k(p_n) , \\ k(p_n) &= -\log_p(|p_n|) . \end{aligned} \quad (4.4.2)$$

is additive: that is the entropy for two non-interacting systems is the sum of the entropies of composites. Note that this definition differs from Shannon's entropy by the factor  $\log(p)$ . This entropy vanishes identically in the case that the p-adic norms of the probabilities are equal to one. This means that it is possible to have non-entropic entanglement for this entropy.

One can consider a modification of  $S_p$  using p-adic logarithm if the extension of the p-adic numbers contains  $\log(p)$ . In this case the entropy is formally identical with the Shannon entropy:

$$S_p = -\sum_n p_n \log(p_n) = -\sum_n p_n [-k(p_n) \log(p) + p^{k_n} \log(p_n/p^{k_n})] . \quad (4.4.3)$$

It seems that this entropy cannot vanish.

One must map the p-adic value entropy to a real number and here canonical identification can be used:

$$\begin{aligned} S_{p,R} &= (S_p)_R \times \log(p) , \\ (\sum_n x_n p^n)_R &= \sum_n x_n p^{-n} . \end{aligned} \quad (4.4.4)$$

The real counterpart of the p-adic entropy is non-negative.

### Number theoretic entropies and bound states

In the case that the probabilities are rational or belong to a finite-dimensional extension of rationals, it is possible to regard them as real numbers or p-adic numbers in some extension of p-adic numbers for any  $p$ . The visions that rationals and their finite extensions correspond to islands of order in the seas of chaos of real and p-adic transcendentals suggests that states having entanglement coefficients in finite-dimensional extensions of rational numbers are somehow very special. This is indeed the case. The p-adic entropy  $S_p = -\sum_n p_n \log_p(|p_n|) \log(p)$  can be interpreted in this case as an ordinary rational number in an extension containing  $\log(p)$ .

What makes this entropy so interesting is that it can have also negative values in which case the interpretation as an information measure is natural. In the real context one can fix the value of the value of the prime  $p$  by requiring that  $S_p$  is maximally negative, so that the information content of the ensemble could be defined as

$$I \equiv \text{Max}\{-S_p, p \text{ prime}\} . \quad (4.4.5)$$

This information measure is positive when the entanglement probabilities belong to a finite-dimensional extension of rational numbers. Thus kind of entanglement is stable against NMP, and has a natural interpretation as bound state entanglement. The prediction would be that the bound states of real systems form a number theoretical hierarchy according to the prime  $p$  and dimension of algebraic extension characterizing the entanglement.

Number theoretically state function reduction and state preparation could be seen as information generating processes projecting the physical states from either real or p-adic sectors of the state space to their intersection. Later an argument that these processes have a purely number theoretical interpretation will be developed based on the generalized notion of unitarity allowing the  $U$ -matrix to have matrix elements between the sectors of the state space corresponding to different number fields.

### Number theoretic information measures at the space-time level

Quantum classical correspondence suggests that the notion of entropy should have also space-time counterpart. Entropy requires ensemble and both the p-adic non-determinism and the non-determinism of Kähler action allow to define the required ensemble as the ensemble of strictly deterministic regions of the space-time sheet. One can measure various observables at these space-time regions, and the frequencies for the outcomes are rational numbers of form  $p_k = n(k)/N$ , where  $N$  is the number of strictly deterministic regions of the space-time sheet. The number theoretic entropies are well defined and negative if  $p$  divides the integer  $N$ . Maximum is expected to result for the largest prime power factor of  $N$ . This would mean the possibility to assign a unique prime to a given real space-time sheet and thus solve the basic problem created already by p-adic mass calculations.

The classical non-determinism resembles p-adic non-determinism in the sense that the space-time sheet obeys effective p-adic topology in some length and time scale range is consistent with this idea since p-adic fractality suggests that  $N$  is power of  $p$ .

## 4.5 TGD as a generalized number theory

The vision about a number theoretic formulation of quantum TGD is based on the gradual accumulation of wisdom coming from different sources. The attempts to find a formulation allowing to understand real and p-adic physics as aspects of some more general scenario have been an important stimulus and generated a lot of, not necessarily mutually consistent ideas, some of which might serve as building blocks of the final formulation. The original chapter representing the number theoretic vision as a consistent narrative grew so massive that I decided to divide it to three parts.

The first part is devoted to the p-adicization program attempting to construct physics in various number fields as an algebraic continuation of physics in the field of rationals (or appropriate extension of rationals). The program involves in essential manner the generalization of number concept obtained by fusing reals and p-adic number fields to a larger structure by gluing them together along common rationals. Highly non-trivial number theoretic conjectures are an outcome of the program.

Second part focuses on the idea that the tangent spaces of space-time and imbedding space can be regarded as 4- resp. 8-dimensional algebras such that space-time tangent space defines sub-algebra of imbedding space. The basic candidates for the pair of algebras are hyper-quaternions and hyper-octonions. The problems are caused by the Euclidian signature of the Euclidian norm.

The great idea is that space-time surfaces  $X^4$  correspond to hyper-quaternionic or co-hyper-quaternionic sub-manifolds of  $HO = M^8$ . The possibility to assign to  $X^4$  a surface in  $M^4 \times CP_2$  means a number theoretic analog for spontaneous compactification. Of course, nothing dynamical is involved: a dual relation between totally different descriptions of the physical world are in question. In the spirit of generalized algebraic geometry one can ask whether hyper-quaternionic space-time surfaces and their duals could be somehow assigned to hyper-octonion analytic maps  $HO \rightarrow HO$ , and there are good arguments suggesting that this is the case.

The third part is devoted to infinite primes. Infinite primes are in one-one correspondence with the states of super-symmetric arithmetic quantum field theories. The infinite-primes associated with hyper-quaternionic and hyper-octonionic numbers are the most natural ones physically because of the underlying Lorentz invariance, and the possibility to interpret them as momenta with mass squared equal to prime. Most importantly, the polynomials associated with hyper-octonionic infinite primes have automatically space-time surfaces as representatives so that space-time geometry becomes a representative for the quantum states.

### 4.5.1 The painting is the landscape

The work with TGD inspired theory of consciousness has led to a vision about the relationship of mathematics and physics. Physics is not in this view a model of reality but objective reality itself: painting is the landscape. One can also equate mathematics and physics in a well defined sense and the often implicitly assumed Cartesian theory-world division disappears. Physical realities are mathematical ideas represented by configuration space spinor fields (quantum histories) and quantum jumps between quantum histories give rise to consciousness and to the subjective existence of mathematician.

The concrete realization for the notion algebraic hologram based on the notion of infinite prime is a second new element. The notion of infinite rationals leads to the generalization of also the notion of



finite number since infinite-dimensional space of real units obtained from finite rational valued ratios  $q$  of infinite integers divided by  $q$ . These units are not units in p-adic sense. The generalization to the (hyper-)quaternionic and (hyper-)octonionic context means that ordinary space-time points become infinitely structured and space-time point is able to represent even the quantum physical state of the Universe in its algebraic structure. Single space-time point becomes the Platonia not visible at the level of real physics but essential for mathematical cognition.

In this view evolution becomes also evolution of mathematical structures, which become more and more self-conscious quantum jump by quantum jump. The notion of p-adic evolution is indeed a basic prediction of quantum TGD but even this vision might be generalized by allowing rational-adic topologies for which topology is defined by a ring with unit rather than number field.

### 4.5.2 p-Adic physics as physics of cognition

#### Real and p-adic regions of the space-time as geometric correlates of matter and mind

The solutions of the equations determining space-time surfaces are restricted by the requirement that imbedding space-coordinates are real. When this is not the case, one might apply instead of a real completion with some rational-adic or p-adic completion: this is how rational-adic p-adic physics could emerge from the basic equations of the theory. One could interpret the resulting rational-adic or p-adic regions as geometrical correlates for 'mind stuff'.

p-Adic non-determinism implies extreme flexibility and therefore makes the identification of the p-adic regions as seats of cognitive representations very natural. Unlike real completion, p-adic completions preserve the information about the algebraic extension of rationals and algebraic coding of quantum numbers must be associated with 'mind like' regions of space-time. p-Adics and reals are in the same relationship as map and territory.

The implications are far-reaching and consistent with TGD inspired theory of consciousness: p-adic regions are present even at elementary particle level and provide some kind of model of 'self' and external world. In fact, p-adic physics must model the p-adic cognitive regions representing real elementary particle regions rather than elementary particles themselves!

#### The generalization of the notion of number and p-adicization program

The unification of real physics of material work and p-adic physics of cognition and intentionality leads to the generalization of the notion of number field. Reals and various p-adic number fields are glued along their common rationals (and common algebraic numbers too) to form a fractal book like structure. Allowing all possible finite-dimensional extensions of p-adic numbers brings additional pages to this "Big Book".

At space-time level the book like structure corresponds to the decomposition of space-time surface to real and p-adic space-time sheets. This has deep implications for the view about cognition. For instance, two points infinitesimally near p-adically are infinitely distant in real sense so that cognition becomes a cosmic phenomenon.

One general idea which results as an outcome of the generalized notion of number is the idea of a universal function continuable from a function mapping rationals to rationals or to a finite extension of rationals to a function in any number field. This algebraic continuation is analogous to the analytical continuation of a real analytic function to the complex plane. Rational functions with rational coefficients are obviously functions satisfying this constraint. Algebraic functions with rational coefficients satisfy this requirement if appropriate finite-dimensional algebraic extensions of p-adic numbers are allowed. Exponent function is such a function.

For instance, residue calculus might be generalized so that the value of an integral along the real axis could be calculated by continuing it instead of the complex plane to any number field via its values in the subset of rational numbers forming the rim of the book like structure having number fields as its pages. If the poles of the continued function in the finitely extended number field allow interpretation as real numbers it might be possible to generalize the residue formula. One can also imagine of extending residue calculus to any algebraic extension. An interesting situation arises when the poles correspond to extended p-adic rationals common to different pages of the "great book". Could this mean that the integral could be calculated at any page having the pole common. In particular, could a p-adic residue integral be calculated in the ordinary complex plane by utilizing the fact that in this case numerical approach makes sense.

Algebraic continuation is the basic tool of p-adicization program. Entire physics of the TGD Universe should be algebraically continuable to various number fields. Real number based physics would define the physics of matter and p-adic physics would describe correlates of cognition and intentionality. The basic stumbling block of this program is integration and algebraic continuation should allow to circumvent this difficulty. Needless to say, the requirement that the continuation exists must pose immensely tight constraints on the physics.

Due to the fact that real and p-adic topologies are fundamentally different, ultraviolet and infrared cutoffs in the set of rationals are unavoidable notions and correspond to a hierarchy of different physical phases on one hand and different levels of cognition on the other hand. Two types of cutoffs are predicted: p-adic length scale cutoff and a cutoff due to phase resolution. The latter cutoff seems to correspond naturally to the hierarchy of algebraic extensions of p-adic numbers and Beraha numbers  $B_n = 4\cos^2(\pi/n)$ ,  $n \geq 3$  related closely to the hierarchy of quantum groups, braid groups, and  $II_1$  factors of von Neumann algebra [35]. This cutoff hierarchy seems to relate closely to the hierarchy of cutoffs defined by the hierarchy of subalgebras of the super-symplectic algebra defined by the hierarchy of sets  $(z_1, \dots, z_n)$ , where  $z_i$  are the first  $n$  non-trivial zeros of Riemann Zeta. Hence there are good hopes that the p-adicization program might unify apparently unrelated branches of mathematics.

### 4.5.3 Space-time-surface as a hyper-quaternionic sub-manifold of hyper-octonionic imbedding space?

Second thread in the development of ideas has been present for only few years ideas inspired by the possibility that quaternions and octonions might allow a deeper understanding of TGD. This thread emerged from the discussions with Tony Smith which stimulated very general ideas about space-time surface as associative, quaternionic sub-manifold of octonionic 8-space. Also the observation that quaternionic and octonionic primes have norm squared equal to prime in complete accordance with p-adic length scale hypothesis, led to suspect that the notion of primeness for quaternions, and perhaps even for octonions, might be fundamental for the formulation of quantum TGD [19]. It turned out that, much in spirit with transition from Riemannian to pseudo-Riemannian geometry, hyper-quaternions and hyper-octonions are forced by physical considerations.

#### Transition from string models to TGD as replacement of real/complex numbers with quaternions/octonions

One can fairly say, that quantum TGD results from string model with the pair of real and complex numbers replaced with the pair of hyper-quaternions and hyper-octonions. Hyper is necessary in order to take into the Minkowskian signature of the metric.

Space-time identified as a hyper-quaternionic sub-manifold of the hyper-octonionic space in the sense that the tangent space of the space-time surface defines a hyper-quaternionic sub-algebra of the hyper-octonionic tangent space of  $H$  at each space-time point, looks an attractive idea. Second possibility is that the tangent space-algebra of the space-time surface is either associative or co-associative at each point. One can also consider possibility that the dynamics of the space-time surface is determined from the requirement that space-time surface is algebraically closed in the sense that tangent space at each point has this property. Also the possibility that the property in question is associated with the normal space at each point of  $X^4$  can be considered.

Some delicacies are caused by the question whether the induced algebra at  $X^4$  is just the hyper-octonionic product or whether the algebra product is projected to the space-time surface. If the normal part of the product is projected out, the space-time algebra closes automatically.

The first guess would be that space-time surfaces are hyper-quaternionic sub-manifolds of hyper-octonionic space  $HO = M^8$  with the property that complex structure is fixed and same at all points of space-time surface. This corresponds to a global selection of a preferred octonionic imaginary unit. The automorphisms leaving this selection invariant form group  $SU(3)$  identifiable as color group. The selections of hyper-quaternionic sub-space under this condition are parameterized by  $CP_2$ . This means that each 4-surface in  $HO$  defines a 4-surface in  $M^4 \times CP_2$  and one can speak about number-theoretic analog of spontaneous compactification having of course nothing to do with dynamics. It would be possible to make physics in two radically different geometric pictures:  $HO$  picture and  $H = M^4 \times CP_2$  picture.

For a theoretical physicists of my generation it is easy to guess that the next step is to realize that it is possible to fix the preferred octonionic imaginary at each point of  $HO$  separately so that local  $S^6 = G_2/SU(3)$ , or equivalently the local group  $G_2$  subject to  $SU(3)$  gauge invariance, characterizes the possible choices of hyper-quaternionic structure with a preferred imaginary unit.  $G_2 \subset SO(7)$  is the automorphism group of octonions, and appears also in M-theory. This local choice has interpretation as a fixing of the plane of non-physical polarizations and rise to degeneracy which is a good candidate for the ground state degeneracy caused by the vacuum extremals.

$OH - -M^4 \times CP_2$  duality allows to construct a foliation of  $HO$  by hyper-quaternionic space-time surfaces in terms of maps  $HO \rightarrow SU(3)$  satisfying certain integrability conditions guaranteeing that the distribution of hyper-quaternionic planes integrates to a foliation by 4-surfaces. In fact, the freedom to fix the preferred imaginary unit locally extends the maps to  $HO \rightarrow G_2$  reducing to maps  $HO \rightarrow SU(3) \times S^6$  in the local trivialization of  $G_2$ . This foliation defines a four-parameter family of 4-surfaces in  $M^4 \times CP_2$  for each local choice of the preferred imaginary unit. The dual of this foliation defines a 4-parameter family co-hyper-quaternionic space-time surfaces.

Hyper-octonion analytic functions  $HO \rightarrow HO$  with real Taylor coefficients provide a physically motivated ansatz satisfying the integrability conditions. The basic reason is that hyper-octonion analyticity is not plagued by the complications due to non-commutativity and non-associativity. Indeed, this notion results also if the product is Abelianized by assuming that different octonionic imaginary units multiply to zero. A good candidate for the  $HO$  dynamics is free massless Dirac action with Weyl condition for an octonion valued spinor field using octonionic representation of gamma matrices and coupled to the  $G_2$  gauge potential defined by the tensor  $7 \times 7$  tensor product of the imaginary parts of spinor fields.

The basic conjecture is that the absolute minima of Kähler action in  $H = M^4 \times CP_2$  correspond to the hyper-quaternion analytic surfaces in  $HO$ . The map  $f : HO \rightarrow S^6$  would probably satisfy some constraints posed by the requirement that the resulting surfaces define solutions of field equations in  $M^4 \times CP_2$  picture. This conjecture has several variants. It could be that only the asymptotic behavior corresponds to hyper-quaternion analytic function but that hyper-quaternionicity is a general property of absolute minima. It could also be that maxima of Kähler function correspond to this kind of 4-surfaces. The encouraging hint is the fact that Hamilton-Jacobi coordinates coding for the local selection of the plane of non-physical polarizations, appear naturally also in the construction of general solutions of field equations [29].

### Physics as a generalized algebraic number theory and Universe as algebraic hologram

The third stimulus encouraging to think that TGD might be reduced to algebraic number theory and algebraic geometry in some generalized sense, came from the work with Riemann hypothesis [26]. One can assign to Riemann Zeta a super-conformal quantum field theory and identify Zeta as a Hermitian form in the state space possibly defining a Hilbert space metric. The proposed form of the Riemann hypothesis implies that the zeros of  $\zeta$  code for infinite primes which in turn have interpretation as Fock states of a super-symmetric quantum field theory if the proposed vision is correct.

A further stimulus came from the realization that algebraic extensions of rationals, which make possible a generalization of the notion of prime, could provide enormous representative and information storage power in arithmetic quantum field theory. Algebraic symmetries defined as transformations preserving the algebraic norm represent new kind of symmetries commuting with ordinary quantum numbers. Fractal scalings and discrete symmetries are in question so that the notion of fractality emerges to the fundamental physics in this manner.

The basic observation, completely consistent with fractality, is that these symmetries make possible what might be called *algebraic hologram*. The algebraic quantum numbers associated with elementary particle depend on the environment of the particle. The only possible conclusion seems to be that these fractal quantum numbers provide some kind of 'cognitive representation' about external world. This kind of an algebraic hologram would be in complete accordance with fractality and would provide first principle realization for fractality observed everywhere in Nature but not properly understood in standard physics framework. A further basic idea which emerged was the principle of *algebraic democracy*: all possible algebraic extensions of rational (hyper-)quaternions and (hyper-)octonions are possible and emerge dynamically as properties of physical systems in algebraic physics.

#### 4.5.4 Infinite primes and physics in TGD Universe

The notion of infinite primes emerged originally from TGD inspired theory of consciousness [14] but it soon turned out that the notion could be used to build a number theoretic interpretation of quantum TGD and relate quantum to classical. Also the notion of infinite-P  $p$ -adicities emerges naturally and could replace real topology with something more refined and appropriate for description of the space-time correlates of cognition.

##### Infinite primes and infinite hierarchy of second quantizations

The discovery of infinite primes was one important step in the development suggesting strongly the possibility to reduce physics to number theory. The construction of infinite primes can be regarded as a repeated second quantization of a super-symmetric arithmetic quantum field theory. Later it became clear that the process generalizes so that it applies even in the case of hyper-quaternionic and hyper-octonionic primes. This hierarchy of second quantizations means enormous generalization of physics to what might be regarded a physical counterpart for a hierarchy of abstractions about abstractions about.... The ordinary second quantized quantum physics corresponds only to the lowest level infinite primes.

What is remarkable is that one has quite realistic possibilities to understand the quantum numbers of physical particles in terms of hyper-octonionic infinite primes. Also the TGD inspired model for  $1/f$  noise [41] based on thermal arithmetic quantum field theory encouraged also to consider the idea about hyper-quaternionic or hyper-octonionic arithmetic quantum field theory as an essential element of quantum TGD.

##### Infinite primes as a bridge between quantum and classical

The final stimulus came from the observation stimulated by algebraic number theory [50]. Infinite primes can be mapped to polynomial primes and this observation allows to identify completely generally the spectrum of infinite primes whereas hitherto it was possible to construct explicitly only what might be called generating infinite primes. Infinite primes allow nice interpretation as Fock states of a second quantized super-symmetric quantum field theory. Also bound states are included.

This in turn led to the observation that one can represent infinite primes (integers) geometrically as surfaces related to the polynomials associated with infinite primes (integers). Thus infinite primes would serve as a bridge between Fock-space descriptions and geometric descriptions of physics: quantum and classical. Geometric objects could be seen as concrete representations of infinite numbers providing amplification of infinitesimals to macroscopic deformations of space-time surface. We see the infinitesimals as concrete geometric shapes!

The original mapping to 4-surfaces inspired by algebraic geometry was essentially as zeros of polynomials. It however turned out that the mapping is more delicate and based on the idea that space-time surfaces correspond to hyper-quaternionic or co-hyper-quaternionic sub-manifolds of imbedding space with hyper-octonionic structure. Also the attribute maximally associative or co-associative could be used. The assignment of a space-time surface to an infinite prime boils down to an assignment of a hyper-octonion analytic polynomial to infinite prime, which in turn defines a foliation of  $M^4 \times CP_2$  by hyper-quaternionic space-time surfaces. The procedure generalizes also to the higher levels of the hierarchy and the natural interpretation is in terms of the hierarchical structure of the many-sheeted space-time.

The connection with the basic ideas of algebraic geometry from the possibility to order space-time surfaces according to the complexity of the polynomial involved (at higher levels rational coefficients of the polynomial are replaced with rational polynomials). In particular, the notions of degree and genus make sense for space-time surface.

##### Various equivalent characterizations of space-times as surfaces

The idea about space-times as associative, hyper-quaternionic surfaces of a hyper-octonionic imbedding space  $M^8$  and the notion of infinite prime serving as a bridge between classical and quantum are the two basic tenets of the algebraic approach. This vision leads to an equivalence of quite different views about space-time: space-time as an associative/hyper-quaternionic or co-associative/co-hyperquaternionic surface of an hyper-octonionic imbedding space  $HO = M^8$ ; space-time as a surface

in  $H = M^4 \times CP_2$ ; space-time as a geometric counterpart of an infinite prime representing also Fock state identifiable as a particular ground state of super-symplectic representation; and finally, space-time surface as an absolute minimum of the Kähler action. The great challenge is to prove that the last characterization is equivalent with the others.

### Infinite primes and quantum gravitational holography

Infinite primes emerge naturally in the realization of the quantum gravitational holography in terms of the modified Dirac operator and provide a deeper understanding of the basic aspects of the configuration space geometry.

1. Two types of infinite primes are predicted corresponding to the two types of fermionic vacua  $X \pm 1$ , where  $X$  is the product of all finite primes. The physical interpretation for the two types of infinite primes  $X \pm 1$  is in terms of two quantizations for which creation and oscillator operators change role and which correspond to the two signs of inertial energy in TGD Universe. In particular, phase conjugate photons would be negative energy photons erratically believed to reduce to standard physics.
2. The new view about gravitational and inertial masses forced by TGD leads also the view that positive and negative energy space-time sheets are created pairwise at space-like 3-surfaces located at 7-D light-like causal determinants  $X_{\pm}^7 = \delta M_{\pm}^4 \times CP_2$ . The conjecture is that the ratio of Dirac determinants associated with the positive and negative energy space-time sheets, which is finite, equals to the exponent of Kähler function which would be thus determined completely by the data at 3-dimensional causal determinants and realizing quantum gravitational holography.
3. The spectra associated with the space-time sheets  $X_{+}^4$  and  $X_{-}^4$  meeting at  $X^3$  would correspond to the infinite primes built from the vacua corresponding to the infinite primes  $X \pm 1$ . The close analogy of the product of all finite hyper-octonionic primes with Dirac determinant suggest that the ratio of the determinants corresponds to the ratio of infinite primes defining  $X_{+}^4$  and  $X_{-}^4$ . The theory predicts the dependence of the eigenvalues of the modified Dirac operator on the value of the Kähler action. Both Kähler coupling strength and gravitational coupling strength are expressible in terms of the finite primes characterizing the ratio of the infinite primes and this ratio depends on the p-adic prime characterizing  $X_{+}^4$  and  $X_{-}^4$ .
4. Some modes of the spectrum of the modified Dirac operator at  $X_{\pm}^4$  become zero modes, and by the resulting spectral asymmetry the ratio of the determinants differs from unity. Thus the spectral asymmetry or the infinite primes defining the space-time sheets  $X_{+}^4$  and  $X_{-}^4$  is all that would be needed to deduce the value of the vacuum functional once causal determinants are known.

#### 4.5.5 Infinite primes and more precise view about p-adic length scale hypothesis

Number theoretical considerations allow to develop more quantitative vision about the how p-adic length scale hypothesis relates to the ideas just described.

#### How to define the notion of elementary particle?

p-Adic length scale hierarchy forces to reconsider carefully also the notion of elementary particle. p-Adic mass calculations led to the idea that particle can be characterized uniquely by single p-adic prime characterizing its mass squared. It however turned out that the situation is probably not so simple.

The work with modelling dark matter suggests that particle could be characterized by a collection of p-adic primes to which one can assign weak, color, em, gravitational interactions, and possibly also other interactions. It would also seem that only the space-time sheets containing common primes in this collection can interact. This leads to the notions of relative and partial darkness. An entire hierarchy of weak and color physics such that weak bosons and gluons of given physics are characterized by a given p-adic prime  $p$  and also the fermions of this physics contain space-time sheet characterized by same p-adic prime, say  $M_{89}$  as in case of weak interactions. In this picture the decay widths of

weak bosons do not pose limitations on the number of light particles if weak interactions for them are characterized by p-adic prime  $p \neq M_{89}$ . Same applies to color interactions.

The p-adic prime characterizing the mass of the particle would perhaps correspond to the largest p-adic prime associated with the particle. Graviton which corresponds to infinitely long ranged interactions, could correspond to the same p-adic prime or collection of them common to all particles. This might apply also to photons. Infinite range might mean that the join along boundaries bonds mediating these interactions can be arbitrarily long but their transversal sizes are characterized by the p-adic length scale in question.

The natural question is what this collection of p-adic primes characterizing particle means? The hint about the correct answer comes from the number theoretical vision, which suggests that at fundamental level the branching of boundary components to two or more components, completely analogous to the branching of line in Feynman diagram, defines vertices [16].

1. If space-time sheets correspond holographically to multi-p p-adic topology such that largest  $p$  determines the mass scale, the description of particle reactions in terms of branchings indeed makes sense. This picture allows also to understand the existence of different scaled up copies of QCD and weak physics. Multi-p p-adicity could number theoretically correspond to q-adic topology for  $q = m/n$  a rational number consistent with p-adic topologies associated with prime factors of  $m$  and  $n$  ( $1/p$ -adic topology is homeomorphic with p-adic topology).
2. One could also imagine that different p-adic primes in the collection correspond to different space-time sheets condensed at a larger space-time sheet or boundary components of a given space-time sheet. If the boundary topologies for gauge bosons are completely mixed, as the model of hadrons forces to conclude, this picture is consistent with the topological explanation of the family replication phenomenon and the fact that only charged weak currents involve mixing of quark families. The problem is how to understand the existence of different copies of say QCD. The second difficult question is why the branching leads always to an emission of gauge boson characterized by a particular p-adic prime, say  $M_{89}$ , if this p-adic prime does not somehow characterize also the particle itself.
3. The formulation of quantum TGD based on the identification of light-like 3-surfaces as fundamental dynamical objects (supported by 4-D general coordinate invariance) suggests that light-like 3 surface identifiable as orbits of partons are characterized by p-adic primes and one can even characterize what this means at the level of the modified Dirac operator characterizing quantum dynamics at parton level [23]. Space-time sheet itself would be characterized by a collection of p-adic primes so that multi-p-p-adicity would emerge naturally. Even q-adicity might make sense. In the lowest order approximation only partonic boundary components with same prime would interact. The hierarchy of space-time sheets would give rise to a hierarchy of infinite primes. This view leads also to a nice interpretation of infinite primes and fermion-boson dichotomy in terms of cognition and intentionality.

### What effective p-adic topology really means?

The need to characterize elementary particle p-adically leads to the question what p-adic effective topology really means. p-Adic mass calculations leave actually a lot of room concerning the answer to this question.

1. The naivest option is that each space-time sheet corresponds to single p-adic prime. A more general possibility is that the boundary components of space-time sheet correspond to different p-adic primes. This view is not favored by the view that each particle corresponds to a collection of p-adic primes each characterizing one particular interaction that the particle in question participates.
2. A more abstract possibility is that a given space-time sheet or boundary component can correspond to several p-adic primes. Indeed, a power series in powers of given integer  $n$  gives rise to a well-defined power series with respect to all prime factors of  $n$  and effective multi-p-adicity could emerge at the level of field equations in this manner.

One could say that space-time sheet or boundary component corresponds to several p-adic primes through its effective p-adic topology in a hologram like manner. This option is the most flexible one as far as physical interpretation is considered. It is also supported by the number theoretical considerations predicting the value of gravitational coupling constant [16].

An attractive hypothesis is that only space-time sheets characterized by integers  $n_i$  having common prime factors can be connected by join along boundaries bonds and can interact by particle exchanges and that each prime  $p$  in the decomposition corresponds to a particular interaction mediated by an elementary boson characterized by this prime.

### Do infinite primes code for q-adic effective space-time topologies?

Besides the hierarchy of space-time sheets, TGD predicts, or at least suggests, several hierarchies such as the hierarchy of infinite primes [16], hierarchy of Jones inclusions [33], hierarchy of dark matters with increasing values of  $\hbar$  [28, 19], the hierarchy of extensions of given p-adic number field, and the hierarchy of selves and quantum jumps with increasing duration with respect to geometric time. There are good reasons to expect that these hierarchies are closely related.

#### 1. Some facts about infinite primes

The hierarchy of infinite primes can be interpreted in terms of an infinite hierarchy of second quantized super-symmetric arithmetic quantum field theories allowing a generalization to quaternionic or perhaps even octonionic context [16]. Infinite primes, integers, and rationals have decomposition to primes of lower level.

Infinite prime has fermionic and bosonic parts having no common primes. Fermionic part is finite and corresponds to an integer containing and bosonic part is an integer multiplying the product of all primes with fermionic prime divided away. The infinite prime at the first level of hierarchy corresponds in a well defined sense a rational number  $q = m/n$  defined by bosonic and fermionic integers  $m$  and  $n$  having no common prime factors.

#### 2. Do infinite primes code for effective q-adic space-time topologies?

The most obvious question concerns the space-time interpretation of this rational number. Also the question arises about the possible relation with the integers characterizing space-time sheets having interpretation in terms of multi-p-adicity. One can assign to any rational number  $q = m/n$  so called q-adic topology. This topology is not consistent with number field property like p-adic topologies. Hence the rational number  $q$  assignable to infinite prime could correspond to an effective q-adic topology.

If this interpretation is correct, arithmetic fermion and boson numbers could be coded into effective q-adic topology of the space-time sheets characterizing the non-determinism of Kähler action in the relevant length scale range. For instance, the power series of  $q > 1$  in positive powers with integer coefficients in the range  $[0, q)$  define q-adically converging series, which also converges with respect to the prime factors of  $m$  and can be regarded as a p-adic power series. The power series of  $q$  in negative powers define in similar converging series with respect to the prime factors of  $n$ .

I have proposed earlier that the integers defining infinite rationals and thus also the integers  $m$  and  $n$  characterizing finite rational could correspond at space-time level to particles with positive *resp.* negative time orientation with positive *resp.* negative energies. Phase conjugate laser beams would represent one example of negative energy states. With this interpretation super-symmetry exchanging the roles of  $m$  and  $n$  and thus the role of fermionic and bosonic lower level primes would correspond to a time reversal.

1. The first interpretation is that there is single q-adic space-time sheet and that positive and negative energy states correspond to primes associated with  $m$  and  $n$  respectively. Positive (negative) energy space-time sheets would thus correspond to p-adicity ( $1/p$ -adicity) for the field modes describing the states.
2. Second interpretation is that particle (in extremely general sense that entire universe can be regarded as a particle) corresponds to a pair of positive and negative energy space-time sheets labelled by  $m$  and  $n$  characterizing the p-adic topologies consistent with  $m$ - and  $n$ -adicities. This looks natural since Universe has necessary vanishing net quantum numbers. Unless one allows the non-uniqueness due to  $m/n = mr/nr$ , positive and negative energy space-time sheets can be connected only by  $\#$  contacts so that positive and negative energy space-time sheets

cannot interact via the formation of  $\#_B$  contacts and would be therefore dark matter with respect to each other.

Positive energy particles and negative energy antiparticles would also have different mass scales. If the rate for the creation of  $\#$  contacts and their CP conjugates are slightly different, say due to the presence of electric components of gauge fields, matter antimatter asymmetry could be generated primordially.

These interpretations generalize to higher levels of the hierarchy. There is a homomorphism from infinite rationals to finite rationals. One can assign to a product of infinite primes the product of the corresponding rationals at the lower level and to a sum of products of infinite primes the sum of the corresponding rationals at the lower level and continue the process until one ends up with a finite rational. Same applies to infinite rationals. The resulting rational  $q = m/n$  is finite and defines q-adic effective topology, which is consistent with all the effective p-adic topologies corresponding to the primes appearing in factorizations of  $m$  and  $n$ . This homomorphism is of course not 1-1.

If this picture is correct, effective p-adic topologies would appear at all levels but would be dictated by the infinite-p p-adic topology which itself could refine infinite-P p-adic topology [16] coding information too subtle to be caught by ordinary physical measurements.

Obviously, one could assign to each elementary particle infinite prime, integer, or even rational to this a rational number  $q = m/n$ .  $q$  would associate with the particle q-adic topology consistent with a collection of p-adic topologies corresponding to the prime factors of  $m$  and  $n$  and characterizing the interactions that the particle can participate directly. In a very precise sense particles would represent both infinite and finite numbers.

#### Under what conditions space-time sheets can be connected by $\#_B$ contact?

Assume that particles are characterized by a p-adic prime determining its mass scale plus p-adic primes characterizing the gauge bosons to which they couple and assume that  $\#_B$  contacts mediate gauge interactions. The question is what kind of space-time sheets can be connected by  $\#_B$  contacts.

1. The first working hypothesis that comes in mind is that the p-adic primes associated with the two space-time sheets connected by  $\#_B$  contact must be identical. This would require that particle is many-sheeted structure with no other than gravitational interactions between various sheets. The problem of the multi-sheeted option is that the characterization of events like electron-positron annihilation to a weak boson looks rather clumsy.
2. If the notion of multi-p p-adicity is accepted, space-time sheets are characterized by integers and the largest prime dividing the integer might characterize the mass of the particle. In this case a common prime factor  $p$  for the integers characterizing the two space-time sheets could be enough for the possibility of  $\#_B$  contact and this contact would be characterized by this prime. If no common prime factors exist, only  $\#$  contacts could connect the space-time sheets. This option conforms with the number theoretical vision. This option would predict that the transition to large  $\hbar$  phase occurs simultaneously for all interactions.

#### What about the integer characterizing graviton?

If one accepts the hypothesis that graviton couples to both visible and dark matter, graviton should be characterized by an integer dividing the integers characterizing all particles. This leaves two options.

*Option I: gravitational constant characterizes graviton number theoretically*

The argument leading to an expression for gravitational constant in terms of  $CP_2$  length scale led to the proposal that the product of primes  $p \leq 23$  are common to all particles and one interpretation was in terms of multi-fractality. If so, graviton would be characterized by a product of some or all primes  $p \leq 23$  and would thus correspond to a very small p-adic length scale. This might be also the case for photon although it would seem that photon cannot couple to dark matter always.  $p = 23$  might characterize the transversal size of the massless extremal associated with the space-time sheet of graviton.

*Option II: graviton behaves as a unit with respect to multiplication*



One can also argue that if the largest prime assignable to a particle characterizes the size of the particle space-time sheet it does not make sense to assign any finite prime to a massless particle like graviton. Perhaps graviton corresponds to simplest possible infinite prime  $P = X \pm 1$ ,  $X$  the product of all primes.

As found, one can assign to any infinite prime, integer, and rational a rational number  $q = m/n$  to which one can assign a  $q$ -adic topology as effective space-time topology and as a special case effective  $p$ -adic topologies corresponding to prime factors of  $m$  and  $n$ .

In the case of  $P = X \pm 1$  the rational number would be equal to  $\pm 1$ . Graviton could thus correspond to  $p = 1$ -adic effective topology. The "prime"  $p = 1$  indeed appears as a factor of any integer so that graviton would couple to any particle. Formally the 1-adic norm of any number would be 1 or 0 which would suggest that a discrete topology is in question.

The following observations help in attempts to interpret this.

1.  $CP_2$  type extremals having interpretation as gravitational instantons are non-deterministic in the sense that  $M^4$  projection is random light-like curve. This condition implies Virasoro conditions which suggests interpretation in terms topological quantum theory limit of gravitation involving vanishing four-momenta but non-vanishing color charges. This theory would represent gravitation at the ultimate  $CP_2$  length scale limit without the effects of topological condensation. In longer length scales a hierarchy of effective theories of gravitation corresponds to the coupling of space-time sheets by join along boundaries bonds would emerge and could give rise to "strong gravities" with strong gravitational constant proportional to  $L_p^2$ . It is quite possible that the M-theory based vision about duality between gravitation and gauge interactions applies to electro-weak interactions and in these "strong gravities".
2.  $p$ -Adic length scale hypothesis  $p \simeq 2^k$ ,  $k$  integer, implies that  $L_k \propto \sqrt{k}$  corresponds to the size scale of causal horizon associated with  $\#$  contact. For  $p = 1$   $k$  would be zero and the causal horizon would contract to a point which would leave only generalized Feynman diagrams consisting of  $CP_2$  type vacuum extremals moving along random light-like orbits and obeying Virasoro conditions so that interpretation as a kind of topological gravity suggests itself.
3.  $p = 1$  effective topology can make marginally sense for vacuum extremals with vanishing Kähler form and carrying only gravitational charges. The induced Kähler form vanishes identically by the mere assumption that  $X^4$ , be it continuous or discontinuous, belongs to  $M^4 \times Y^2$ ,  $Y^2$  a Lagrange sub-manifold of  $CP_2$ .

Why topological graviton, or whatever the particle represented by  $CP_2$  type vacuum extremals should be called, should correspond to the weakest possible notion of continuity? The most plausible answer is that discrete topology is *consistent* with any other topology, in particular with any  $p$ -adic topology. This would express the fact that  $CP_2$  type extremals can couple to any  $p$ -adic prime. The vacuum property of  $CP_2$  type extremals implies that the splitting off of  $CP_2$  type extremal leaves the physical state invariant and means effectively multiplying integer by  $p = 1$ .

It seems that Option I suggested by the deduction of the value of gravitational constant looks more plausible as far as the interpretation of gravitation is considered. This does not however mean that  $CP_2$  type vacuum extremals carrying color quantum numbers could not describe gravitational interactions in  $CP_2$  length scale.

#### 4.5.6 Infinite primes, cognition and intentionality

Somehow it is obvious that infinite primes must have some very deep role to play in quantum TGD and TGD inspired theory of consciousness. What this role precisely is has remained an enigma although I have considered several detailed interpretations, one of them above.

In the following an interpretation allowing to unify the views about fermionic Fock states as a representation of Boolean cognition and  $p$ -adic space-time sheets as correlates of cognition is discussed. Very briefly, real and  $p$ -adic partonic 3-surfaces serve as space-time correlates for the bosonic super algebra generators, and pairs of real partonic 3-surfaces and their algebraically continued  $p$ -adic variants as space-time correlates for the fermionic super generators. Intentions/actions are represented by  $p$ -adic/real bosonic partons and cognitions by pairs of real partons and their  $p$ -adic variants and the geometric form of Fermi statistics guarantees the stability of cognitions against intentional action.

It must be emphasized that this interpretation is not identical with the one discussed above since it introduces different identification of the space-time correlates of infinite primes.

### Infinite primes very briefly

Infinite primes have a decomposition to infinite and finite parts allowing an interpretation as a many-particle state of a super-symmetric arithmetic quantum field theory for which fermions and bosons are labelled by primes. There is actually an infinite hierarchy for which infinite primes of a given level define the building blocks of the infinite primes of the next level. One can map infinite primes to polynomials and these polynomials in turn could define space-time surfaces or at least light-like partonic 3-surfaces appearing as solutions of Chern-Simons action so that the classical dynamics would not pose too strong constraints.

The simplest infinite primes at the lowest level are of form  $m_B X/s_F + n_B s_F$ ,  $X = \prod_i p_i$  (product of all finite primes). The simplest interpretation is that  $X$  represents Dirac sea with all states filled and  $X/s_F + s_F$  represents a state obtained by creating holes in the Dirac sea.  $m_B$ ,  $n_B$ , and  $s_F$  are defined as  $m_B = \prod_i p_i^{m_i}$ ,  $n_B = \prod_i q_i^{n_i}$ , and  $s_F = \prod_i q_i$ ,  $m_B$  and  $n_B$  have no common prime factors. The integers  $m_B$  and  $n_B$  characterize the occupation numbers of bosons in modes labelled by  $p_i$  and  $q_i$  and  $s_F = \prod_i q_i$  characterizes the non-vanishing occupation numbers of fermions.

The simplest infinite primes at all levels of the hierarchy have this form. The notion of infinite prime generalizes to hyper-quaternionic and even hyper-octonionic context and one can consider the possibility that the quaternionic components represent some quantum numbers at least in the sense that one can map these quantum numbers to the quaternionic primes.

The obvious question is whether configuration space degrees of freedom and configuration space spinor (Fock state) of the quantum state could somehow correspond to the bosonic and fermionic parts of the hyper-quaternionic generalization of the infinite prime. That hyper-quaternionic (or possibly hyper-octonionic) primes would define as such the quantum numbers of fermionic super generators does not make sense. It is however possible to have a map from the quantum numbers labelling super-generators to the finite primes. One must also remember that the infinite primes considered are only the simplest ones at the given level of the hierarchy and that the number of levels is infinite.

### Precise space-time correlates of cognition and intention

The best manner to end up with the proposal about how p-adic cognitive representations relate bosonic representations of intentions and actions and to fermionic cognitive representations is through the following arguments.

1. In TGD inspired theory of consciousness Boolean cognition is assigned with fermionic states. Cognition is also assigned with p-adic space-time sheets. Hence quantum classical correspondence suggests that the decomposition of the space-time into p-adic and real space-time sheets should relate to the decomposition of the infinite prime to bosonic and fermionic parts in turn relating to the above mention decomposition of physical states to bosonic and fermionic parts.

If infinite prime defines an association of real and p-adic space-time sheets and this association could serve as a space-time correlate for the Fock state defined by configuration space spinor for given 3-surface. Also spinor field as a map from real partonic 3-surface would have as a space-time correlate a cognitive representation mapping real partonic 3-surfaces to p-adic 3-surfaces obtained by algebraic continuation.

2. Consider first the concrete interpretation of integers  $m_B$  and  $n_B$ . The most natural guess is that the primes dividing  $m_B = \prod_i p_i^{m_i}$  characterize the effective p-adicities possible for the real 3-surface.  $m_i$  could define the numbers of disjoint partonic 3-surfaces with effective  $p_i$ -adic topology and associated with with the same real space-time sheet. These boundary conditions would force the corresponding real 4-surface to have all these effective p-adicities implying multi-p-adic fractality so that particle and wave pictures about multi-p-adic fractality would be mutually consistent. It seems natural to assume that also the integer  $n_i$  appearing in  $m_B = \prod_i q_i^{n_i}$  code for the number of real partonic 3-surfaces with effective  $q_i$ -adic topology.
3. Fermionic statistics allows only single genuinely  $q_i$ -adic 3-surface possibly forming a pair with its real counterpart from which it is obtained by algebraic continuation. Pairing would conform

with the fact that  $n_F$  appears both in the finite and infinite parts of the infinite prime (something absolutely essential concerning the consistency of interpretation!).

The interpretation could be as follows.

i) Cognitive representations must be stable against intentional action and fermionic statistics guarantees this. At space-time level this means that fermionic generators correspond to pairs of real effectively  $q_i$ -adic 3-surface and its algebraically continued  $q_i$ -adic counterpart. The quantum jump in which  $q_i$ -adic 3-surface is transformed to a real 3-surface is impossible since one would obtain two identical real 3-surfaces lying on top of each other, something very singular and not allowed by geometric exclusion principle for surfaces. The pairs of boson and fermion surfaces would thus form cognitive representations stable against intentional action.

ii) Physical states are created by products of super algebra generators. Bosonic generators can have both real or p-adic partonic 3-surfaces as space-time correlates depending on whether they correspond to intention or action. More precisely,  $m_B$  and  $n_B$  code for collections of real and p-adic partonic 3-surfaces. What remains to be interpreted is why  $m_B$  and  $n_B$  cannot have common prime factors (this is possible if one allows also infinite integers obtained as products of finite integer and infinite primes).

iii) Fermionic generators to the pairs of a real partonic 3-surface and its p-adic counterpart obtained by algebraic continuation and the pictorial interpretation is as fermion hole pair. Unrestricted quantum super-position of Boolean statements requires that many-fermion state is accompanied by a corresponding many-antifermion state. This is achieved very naturally if real and corresponding p-adic fermion have opposite fermion numbers so that the kicking of negative energy fermion from Dirac sea could be interpreted as creation of real-p-adic fermion pairs from vacuum.

If p-adic space-time sheets obey same algebraic expressions as real sheets (rational functions with algebraic coefficients), the Chern-Simons Noether charges associated with real partons defined as integrals can be assigned also with the corresponding p-adic partons if they are rational or algebraic numbers. This would allow to circumvent the problems related to the p-adic integration. Therefore one can consider also the possibility that p-adic partons carry Noether charges opposite to those of corresponding real partons sheet and that pairs of real and p-adic fermions can be created from vacuum. This makes sense also for the classical charges associated with Kähler action in space-time interior if the real space-time sheet obeying multi-p p-adic effective topology has algebraic representation allowing interpretation also as p-adic surface for all primes involved.

iv) This picture makes sense if the partonic 3-surfaces containing a state created by a product of super algebra generators are unstable against decay to this kind of 3-surfaces so that one could regard partonic 3-surfaces as a space-time representations for a configuration space spinor field.

4. Are alternative interpretations possible? For instance, could  $q = m_B/n_B$  code for the effective q-adic topology assignable to the space-time sheet. That q-adic numbers form a ring but not a number field casts however doubts on this interpretation as does also the general physical picture.

#### 4.5.7 Complete algebraic, topological, and dimensional democracy?

Without the notion of Platonia allowing realization of all imaginable algebraic structures cognitively but leaving no trace on the physics of matter, the idea about dimensional democracy would look almost compelling despite the fact that it might well be in conflict with the special role of the dimensions associated with the classical number fields. One can imagine several realizations of this idea.

1. The most (if not the only) plausible realization for the dimensional hierarchy would be following. Both fractal cosmology, non-determinism of Kähler action, and Poincare invariance favor the option in which configuration space is a union of sectors characterized by unions of future and past light cones  $M_{\pm}^4(a)$  where  $a$  characterizes the position  $a$  of the dip of the light-cone in  $M^4$ . Future/past dichotomy would correspond to positive/negative energy dichotomy and to the two kinds of infinite primes constructed from  $X \pm 1$ ,  $X$  the product of all finite primes. Hence the cm degrees of freedom for the sectors of the configuration space would correspond to the union of

the spaces  $(M^4)^m \times (M^4)^n$  of dimension  $D = 4(m + n)$ , and the dimensional democracy would conform with the 8-dimensionality of the imbedding space.

2. The most plausible identification consistent with the p-adic length scale hierarchy is as unions of  $n$  disjoint 4-surfaces of  $H$ . This correspondence is completely analogous to that involved when the configuration space of  $n$  point-like particles is identified as  $(E^3)^n$  in wave mechanics.
3. One might also consider of assigning with hyper-octonionic infinite primes of level  $n$   $4n$ -dimensional surfaces in  $8n$ -dimensional space  $H^n = (M_+^4 \times CP_2)^n$ . This would suggest a dimensional hierarchy of space-time surfaces and a complete dimensional and algebraic democracy: quite a considerable generalization of quantum TGD from its original formulation. This option does not however look physically plausible since it is not consistent with the hierarchical "abstractions about abstractions" structure of infinite primes and corresponding space-time representations.

Since quantum field theories are based on the notion of point like particles, the hierarchy of arithmetic quantum field theories associated with infinite primes cannot code entire quantum TGD but only the ground states of the super-symplectic representations. This might however be the crucial element needed to understand the construction S-matrix of quantum TGD at the general level.

One can imagine also a topological democracy and an evolution of algebraic topological structures. At the lowest, primordial level there are just algebraic surfaces allowing no completion to smooth ...-adic or real surfaces, and defined only in algebraic extensions of rationals by algebraic field equations. At higher levels rational-adic, p-adic and even infinite-P p-adic completions of infinite primes could appear and provide natural completions of function spaces. Of course, all these generalizations might make sense only as cognitive structures in Platonia and it is comforting to know that there is room in just a single point of TGD Universe for all this richness of imaginable structures!

The reader not familiar with the basic algebra of quaternions and octonions is encouraged to study some background material: the homepage of Tony Smith provides among other things an excellent introduction to quaternions and octonions [69]. String model builders are beginning to grasp the potential importance of octonions and quaternions and the articles about possible applications of octonions [44, 43, 79] provide an introduction to octonions using the language of physicist.

Personally I found quite frustrating to realize that I had neglected totally learning of the basic ideas of algebraic geometry, despite its obvious potential importance for TGD and its applications in string models. This kind of losses are the price one must pay for working outside the scientific community. It is not easy for a physicist to find readable texts about algebraic geometry and algebraic number theory from the bookshelves of mathematical libraries. The book "Algebraic Geometry for Scientists and Engineers" by Abhyankar [49], which is not so elementary as the name would suggest, introduces in enjoyable manner the basic concepts of algebraic geometry and binds the basic ideas with the more recent developments in the field. "Problems in Algebraic Number Theory" by Esmonde and Murty [50] in turn teaches algebraic number theory through exercises which concretize the abstract ideas. The book "Invitation to Algebraic Geometry" by K. E. Smith, L. Kahanpää, P. Kekäläinen and W. Traves is perhaps the easiest and most enjoyable introduction to the topic for a novice. It also contains references to the latest physics inspired work in the field.

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# Chapter 5

## An Overview About Quantum TGD: Part I

### 5.1 Introduction

This chapter is the first one of two chapters providing a summary about evolution of quantum TGD in nearly chronological order. By their nature these chapters are dynamical and I cannot guarantee internal consistency since the ideas discussed are those under most vigorous development.

The discussions are based on the general vision that quantum states of the Universe correspond to the modes of classical spinor fields in the "world of the classical worlds" identified as the infinite-dimensional configuration space of 3-surfaces of  $H = M^4 \times CP_2$  (more or less-equivalently, the corresponding 4-surfaces defining generalized Bohr orbits). The following topics are discussed on basis this vision.

#### 5.1.1 Geometric ideas

TGD relies heavily on geometric ideas, which have gradually generalized during the years.

1. The basic dynamical objects of TGD are 3-surfaces of 8-D imbedding space fixed uniquely by the symmetries of particle physics and the structure of standard model. 4-D general coordinate invariance allows to assume that these surfaces are light-like and the interpretation is as random light-like orbits of 2-dimensional partons. This picture leads immediately to an understanding of the fundamental super-conformal symmetries of the theory and realization that TGD can be seen as an almost topological quantum field theory.
2. The basic vision is that it is possible to reduce quantum theory to configuration space geometry and spinor structure. The geometrization of loop spaces inspires the idea that the mere existence of Riemann connection fixes configuration space Kähler geometry uniquely. Accordingly, configuration space can be regarded as a union of infinite-dimensional symmetric spaces labelled by zero modes labelling classical non-quantum fluctuating degrees of freedom. The huge symmetries of the configuration space geometry deriving from the light-likeness of 3-surfaces and from the special conformal properties of the boundary of 4-D light-cone would guarantee the maximal isometry group necessary for the symmetric space property. Quantum criticality is the fundamental hypothesis allowing to fix the Kähler function and thus dynamics of TGD uniquely. Quantum criticality leads to surprisingly strong predictions about the evolution of coupling constants.
3. Configuration space spinors correspond to Fock states and anti-commutation relations for fermionic oscillator operators correspond to anti-commutation relations for the gamma matrices of the configuration space. Configuration space Clifford algebra defines a von Neumann algebra known as hyper-finite factor of type  $II_1$  (HFFs). This has led to a profound understanding of quantum TGD. The outcome of this approach is that the exponents of Kähler function and Chern-Simons action are not fundamental objects but reduce to the Dirac determinant associated with the modified Dirac operator assigned to the light-like 3-surfaces.

4. The reduction of the configuration space geometrization to second quantization of induced spinor fields at light-like 3-surface is crucial for the practical progress made in the geometrization. The Dirac determinant defined as the product of generalized eigenvalues of the modified Dirac operator has identification as vacuum functional defined by Kähler function. By construction the generalized eigenvalues carry information about the preferred extremal of Kähler action, and their number for a given light-like 3-surface is finite so that finiteness of the theory is guaranteed and the notion of finite measurement resolution -forced originally by the properties of hyper-finite factors- emerges automatically.
5. p-Adic mass calculations relying on p-adic length scale hypothesis led to an understanding of elementary particle masses using only super-conformal symmetries and p-adic thermodynamics. The need to fuse real physics and various p-adic physics to single coherent whole led to a generalization of the notion of number obtained by gluing together reals and p-adics together along common rationals and algebraics. The interpretation of p-adic space-time sheets is as correlates for cognition and intentionality. p-Adic and real space-time sheets intersect along common rationals and algebraics and the subset of these points defines what I call number theoretic braid in terms of which both configuration space geometry and S-matrix elements should be expressible. Thus one would obtain number theoretical discretization which involves no ad hoc elements and is inherent to the physics of TGD.
6. The work with HFFs combined with experimental input led to the notion of hierarchy of Planck constants interpreted in terms of dark matter. The hierarchy is realized via a generalization of the notion of imbedding space obtained by gluing infinite number of its variants along common lower-dimensional quantum critical sub-manifolds.
7. HFFs lead also to an idea about how entire TGD emerges from classical number fields, actually their complexifications. In particular,  $CP_2$  could be interpreted as a structure related to octonions. This would mean that TGD could be seen also as a generalized number theory. The vision about TGD as a generalized number theory involves also the notion of infinite primes. This notion leads to a further generalization of the ideas about geometry: this time the notion of space-time point generalizes so that it has an infinitely complex number theoretical anatomy not visible in real topology.

### 5.1.2 Ideas related to the construction of S-matrix

The construction of S-matrix has been the most difficult challenge of TGD and involves several ideas that have emerged during last years. It is not possible to represent explicit formulas yet but the general principles behind S-matrix, or rather its generalization to M-matrix, are reasonably well understood now.

1. Zero energy ontology motivated originally by TGD inspired cosmology means that physical states have vanishing net quantum numbers and are decomposable to positive and negative energy parts separated by a temporal distance characterizing the system as space-time sheet of finite size in time direction. The particle physics interpretation is as initial and final states of a particle reaction. S-matrix and density matrix are unified to the notion of M-matrix expressible as a product of square root of density matrix and of unitary S-matrix. Thermodynamics becomes therefore a part of quantum theory.

One must distinguish M-matrix from U-matrix defined between zero energy states and analogous to S-matrix and characterizing the unitary process associated with quantum jump. U-matrix is most naturally related to the description of intentional action since in a well-defined sense it has elements between physical systems corresponding to different number fields.

2. The notion of measurement resolution represented in terms of inclusions of HFFs is an essential element of the picture. Measurement resolution corresponds to the action of the included sub-algebra creating zero energy states in time scales shorter than the cutoff scale. This algebra effectively replaces complex numbers as coefficient fields and the condition that its action commutes with the M-matrix implies that M-matrix corresponds to Connes tensor product. Thus S-matrix is characterized by the measurement resolution analogous to length scale cutoff of quantum field theories. Together with super-conformal symmetries this fixes possible M-matrices to

a very high degree. The amazing conclusion interpreted in terms of asymptotic freedom is that at the never-reachable limit of infinite measurement resolution the S-matrix becomes trivial.

3. An essential difference between TGD and string models is the replacement of stringy diagrams with generalized Feynman diagrams obtained by gluing 3-D light-like surfaces (instead of lines) together at their ends represented as partonic 2-surfaces. This makes the construction of vertices very simple. The notion of number theoretic braid in turn implies discretization having also interpretation in terms of non-commutativity due to finite measurement resolution replacing anti-commutativity along stringy curves with anti-commutativity at points of braids. Braids can replicate at vertices which suggests interpretation in terms of topological quantum computation combined with non-faithful copying and communication of information. The analogs of stringy diagrams have quite different interpretation in TGD: for instance, photons travelling via two different paths in double slit experiment are represented in terms of stringy branching of the photonic 2-surface.
4. Light-likeness of the basic fundamental objects implies that TGD is almost topological QFT so that the formulation in terms of category theoretical notions is expected to work. M-matrices form in a natural manner a functor from the category of cobordisms to the category of pairs of Hilbert spaces and this gives additional strong constraints on the theory.
5.  $HO - H$  duality or "number theoretical compactification" [19] states that one can regard space-time surfaces  $X^4$  either as hyperquaternionic surfaces in the space  $HO = M^8$  of hyper-octonions or as preferred extremals of Kähler action in  $M^4 \times CP_2$ . Hyper-quaternionicity means that the tangent space of  $X^4$  at each point is some hyperquaternionic subspace  $HQ = M^4$  of  $HO$ . Besides this a preferred plane  $M^2 \subset M^8$  identifiable as a plane of non-physical polarizations belongs to the tangent space at each point. This hypothesis provides a purely number theoretic interpretation of gauge conditions and implies a large number of "must-be-trues" of quantum TGD, and together with zero energy ontology leads to a precise view about the realization of zero energy states in terms of causal diamonds allowing to deduce p-adic length scale hypothesis and a general vision about coupling constant evolution in which time scales appear as power of 2 multiples of a basic length scale.

One important implication is a justification for the coset construction based on the lifting of Super Kac-Moody algebra (SKM) at a given light-like 3-surface to a sub-algebra of super-symplectic algebra (SC) lifted from  $\delta M^\pm \times CP_2$  to algebra in  $H$ . Coset construction provides a precise realization for what I used to call 7-3 duality stating that the actions of SC and SKM Virasoro algebras on physical states are identical. The interpretation is in terms of a generalization of Einstein's equations realizing Equivalence Principle in TGD framework. Also a justification for p-adic thermodynamics emerges.

6. The outcome is a generalization of Feynman diagrammatics in which the lines of Feynman diagrams are replaced with 3-D light-like surfaces meeting at 2-D surfaces representing vertices. The contribution of a given Feynman diagram is calculated using the fusion rules of a generalized conformal field theory recursively rather than instead of the ordinary Feynman rules. A new element is symplectically invariant (invariant under symplectic/contact transformations of  $\delta M^\pm \times CP_2$ ) factor of N-point function and thus expressible in terms of symplectic invariants constructed from the areas assignable to the geodesic triangles defined by the subsets of  $N$  points and satisfying fusion rules. Simple argument shows that this factor vanishes if any two arguments of N-point function are identical: this gives excellent hopes that infinities are avoided as general arguments indeed predict. The construction and classification of symplectic QFTs as analogs of conformal field theories becomes a basic mathematical challenge.

The restriction of the arguments of N-point functions to a discrete set of points at partonic 2-surfaces and defining number theoretical braids is an essential ingredient of the approach making it possible the completion of the theory to real and various p-adic domains. These points correspond to the unique intersection of the hyper-quaternionic (and thus associative subset  $M^4 \subset M^8$  with the partonic 2-surfaces, where  $M^4$  is now a fixed hyper-quaternionic plane of  $M^8$  which should not be confused with the varying hyper-quaternionic plane assignable to each point of  $X^4$ .

A structure resembling stringy perturbation theory involving fermionic propagators expressible as inverses of the super-generator  $G_0$  is what one expects. Contrary to original naive beliefs, the fact that  $G_0$  and also ordinary imbedding space gamma matrices  $\gamma^k$  must carry fermion number is not any problem. Even in the case of ordinary Feynman diagrams the interpretation that  $p^k \gamma_k$  creates 1-fermion state from vacuum works in massless gauge theories involving no scalar fields (and thus no Higgs field). There is no need for Majorana spinors leading to super string models and imbedding space dimension  $D = 8$  works.

### 5.1.3 Some general predictions of quantum TGD

TGD is consistent with the symmetries of the standard model by construction although there are definite deviations from the symmetries of standard model. TGD however predicts also a lot of new physics. Below just some examples of the predictions of TGD.

1. Fractal hierarchies meaning the existence of scaled variants of standard model physics is the basic prediction of quantum TGD. p-Adic length scale hypothesis predicts the possibility that elementary particles can have scaled variants with mass scales related by power of  $\sqrt{2}$ . Dark matter hierarchy predicts the existence of infinite number of scaled variants with same mass spectrum with quantum scales like Compton length scaling like  $\hbar$ .
2. TGD predicts that standard model fermions and gauge bosons differ topologically in a profound manner. Fermions correspond to light-like wormhole throats associated with topologically condensed  $CP_2$  type extremals whereas gauge bosons correspond to fermion-antifermion states associated with the throats of wormhole contacts connecting two space-time sheets with opposite time orientation. The implication is that Higgs vacuum expectation value cannot contribute to fermion mass: this conforms with the results of p-adic mass calculations. TGD predicts also so called super-symplectic quanta and these give dominating contribution to most hadron masses. These degrees of freedom correspond to those of hadronic string and should not reduce to QCD.
3. The most fascinating applications of zero energy ontology are to quantum biology and TGD inspired theory of consciousness. Basic new element are negative energy photons making possible communications to the direction of geometric past. Here also dark matter hierarchy is involved in an essential manner.
4. In cosmology the mere imbeddability required for Robertson-Walker cosmology implies that critical and over-critical cosmologies are almost unique and characterized by their finite duration. The cosmological expansion is accelerating for them and there is no need to assume cosmological constant. Macroscopic quantum coherence of dark matter in astrophysical scales is a dramatic prediction and allows also to assign periods of accelerating expansion to quantum phase transition changing the value of gravitational Planck constant. The dark matter parts of astrophysical systems are predicted to be quantum systems.
5. The notion of generalized imbedding space suggests that the physics of TGD Universe is universal in the sense that it is possible to engineer a system able to mimic the physics of any consistent gauge theory. Kind of analog of Turing machine would be in question.

## 5.2 Physics as geometry of configuration space spinor fields

The construction of the configuration space geometry has proceeded rather slowly. The experimentation with various ideas has however led to the identification of the basic constraints on the configuration space geometry.

### 5.2.1 Reduction of quantum physics to the Kähler geometry and spinor structure of configuration space of 3-surfaces

The basic philosophical motivation for the hypothesis that quantum physics could reduce to the construction of configuration space Kähler metric and spinor structure, is that infinite-dimensional Kähler geometric existence could be unique not only in the sense that the geometry of the space of

3-surfaces could be unique but that also the dimension of the space-time is fixed to  $D = 4$  by this requirement and  $M_+^4 \times CP_2$  is the only possible choice of imbedding space. This optimistic vision derives from the work of Dan Freed with loop spaces demonstrating that they possess unique Kähler geometry and from the fact that in  $D > 1$  case the existence of Riemann connection, finiteness of Ricci tensor, and general coordinate invariance poses even stronger constraints.

### 5.2.2 Constraints on configuration space geometry

The detailed considerations of the constraints on configuration space geometry suggests that it should possess at least the following properties.

1. Metric should be Kähler metric. This property is necessary if one wants to geometrize the oscillator algebra used in the construction of the physical states and to obtain a well defined divergence free functional integration in the configuration space.
2. Metric should allow Riemann connection, which, together with the Kähler property, very probably implies the existence of an infinite dimensional isometry group as the construction of Kähler geometry for the loop spaces demonstrates [68].
3. The so called symmetric spaces classified by Cartan [16] are Cartesian products of the coset spaces  $G/H$  with maximal isometry group  $G$ . Symmetric spaces possess  $G$  invariant metric and curvature tensor is constant so that all points of the symmetric space are metrically equivalent. Symmetric space structure means that the Lie-algebra of  $G$  decomposes as

$$g = h \oplus t \quad , \\ [h, h] \subset h \quad , \quad [h, t] \subset t \quad , \quad [t, t] \subset h \quad ,$$

where  $g$  and  $h$  denote the Lie-algebras of  $G$  and  $H$  respectively and  $t$  denotes the complement of  $h$  in  $g$ . The existence of the  $g = t + h$  decomposition poses an extremely strong constraint on the symmetry group  $G$ .

In the infinite-dimensional context symmetric space property would mean a drastic calculational simplification. The most one can hope is that configuration space is expressible as a union  $\cup_i (G/H)_i$  of symmetric spaces. Reduction to a union of  $G/H$  is the best one can hope since 3-surface of Planck size cannot be metrically equivalent with a 3-surface having the size of galaxy! The coordinates labelling the symmetric spaces in this union do not appear as differentials in the line element of configuration space and are thus zero modes. They correspond to non-quantum fluctuating degrees of freedom in a well defined sense and are identifiable as classical variables of quantum measurement theory.

4. Metric should be  $\text{Diff}^4$  (not only  $\text{Diff}^3$ !) invariant and degenerate and the definition of the metric should associate a unique space-time surface  $X^4(X^3)$  to a given 3-surface  $X^3$  to act on. This requirement is absolutely crucial for all developments.
5. Divergence cancellation requirement for the functional integral over the configuration space requires that the metric is Ricci flat and thus satisfies vacuum Einstein equations.

### 5.2.3 Configuration space as a union of symmetric spaces

In the finite-dimensional context, globally symmetric spaces are of form  $G/H$  and connection and curvature are independent of the metric, provided it is left invariant under  $G$ . Good guess is that same holds true in the infinite-dimensional context. The task is to identify the infinite-dimensional groups  $G$  and  $H$ . Only quite recently, more than seven years after the discovery of the candidate for Kähler function defining the metric, it became finally clear that these identifications follow quite nicely from  $\text{Diff}^4$  invariance and  $\text{Diff}^4$  degeneracy.

The crux of the matter is  $\text{Diff}^4$  degeneracy : all 3-surfaces on the orbit of 3-surface  $X^3$  must be physically equivalent so that one can effectively replace all 3-surfaces  $Z^3$  on the orbit of  $X^3$  with a suitably chosen surface  $Y^3$  on the orbit of  $X^3$ . The Lorentz and  $\text{Diff}^4$  invariant choice of  $Y^3$  is as the intersection of the 4-surface with the set  $\delta M_+^4 \times CP_2$ , where  $\delta M_+^4$  denotes the boundary of

the light cone: effectively the imbedding space can be replaced with the product  $\delta M_+^4 \times CP_2$  as far as vibrational degrees of freedom are considered. More precisely: configuration space has a fiber structure: the 3-surfaces  $Y^3 \subset \delta M_+^4 \times CP_2$  correspond to the base space and the 3-surfaces on the orbit of given  $Y^3$  and diffeomorphic with  $Y^3$  correspond to the fiber and are separated by a zero distance from each other in the configuration space metric.

These observations lead to the identification of the isometry group as some subgroup  $G$  of the group of the diffeomorphisms of  $\delta H = \delta M_+^4 \times CP_2$ . These diffeomorphisms indeed act in a natural manner in  $\delta CH$ , the space of the 3-surfaces in  $\delta H$ . Therefore one can identify the configuration space as the union of the coset spaces  $G/H$ , where  $H$  corresponds to the subgroup of  $G$  acting as diffeomorphisms for a given  $X^3$ .  $H$  depends on the topology of  $X^3$  and since  $G$  does not change the topology of the 3-surface, each 3-topology defines a separate orbit of  $G$ . Therefore, the union involves the sum over all topologies of  $X^3$  plus possibly other 'zero modes'.

The task is to identify correctly  $G$  as a sub-algebra of the diffeomorphisms of  $\delta H$ . The only possibility seems to be that the symplectic transformations of  $\delta H$  generated by the function algebra of  $\delta H$  act as isometries of the configuration space. The symplectic transformations act nontrivially also in  $\delta M_+^4$  since  $\delta M_+^4$  allows Kähler structure and thus also symplectic structure.

### The magic properties of the light like 3-surfaces

In case of the Kähler metric,  $G$ - and  $H$  Lie-algebras must allow a complexification so that the isometries can act as holomorphic transformations. The unique feature of the lightcone boundary  $\delta M_+^4$ , realized already seven years ago, is its metric degeneracy: the boundary of the light cone is metrically 2-dimensional sphere although it is topologically 3-dimensional! This implies that light cone boundary allows an infinite-dimensional group of conformal symmetries generated by an algebra, which is a generalization of the ordinary Virasoro algebra! There is actually also an infinite-dimensional group of isometries (!) isomorphic with the group of the conformal transformations! Even more, in case of  $\delta H$  the groups of the conformal symmetries and isometries are local with respect to  $CP_2$ . Furthermore, light cone boundary allows infinite dimensional group of symplectic transformations as the symmetries of the symplectic structure automatically associated with the Kähler structure. Therefore 4-dimensional Minkowski space is in a unique position in TGD approach.  $\delta M_+^4$  allows also complexification and Kähler structure unlike the boundaries of the higher-dimensional light cones so that it becomes possible to define a complexification in the tangent space of the configuration space, too.

The space of the vector fields on  $\delta H = \delta M_+^4 \times CP_2$  inherits the complex structure of the light cone boundary and  $CP_2$ . The complexification can be induced from the complex conjugation for the functions depending on the radial coordinate of the light cone boundary playing the same role as the time coordinate associated with string space-time sheet. In  $M_+^4$  degrees of freedom complexification works only provided that the radial vector fields possess zero norm as configuration space vector fields (they have also zero norm as vector fields).

The effective two-dimensionality of the light cone boundary allows also to circumvent the no-go theorems associated with the higher-dimensional Abelian extensions. First, in the dimensions  $D > 2$  Abelian extensions of the gauge algebra are extensions by an infinite dimensional Abelian group rather than central extensions by the group  $U(1)$ . In the present case the extension is a symplectic extension analogous to the extension defined by the Poisson bracket  $\{p, q\} = 1$  rather than the standard central extension but is indeed 1-dimensional and well defined provided that the configuration space metric is Kähler. Secondly,  $D > 2$  extensions possess no unitary faithful representations (satisfying certain well motivated physical constraints) [70]. The point is that light cone boundary is metrically and conformally 2-sphere and therefore the gauge algebra is effectively the algebra associated with the 2-sphere and, as a consequence, also the configuration space metric is Kähler.

There is counter argument against complexification. The Kähler structure of the light cone boundary is not unique: various complex structures are parameterized by  $SO(3,1)/SO(3)$  (Lobatchewski space). The definition of the Kähler function as absolute minimum of Kähler action however makes it possible to assign unique space-time surface  $X^4(Y^3)$  to any  $Y^3$  on the light cone boundary and the requirement that the group  $SO(3)$  specifying the Kähler structure is isotropy group of the classical four-momentum associated with  $X^4(Y^3)$ , fixes the complex structure uniquely as a function of  $Y^3$ . Thus it seems that Kähler action is necessary ingredient of the group theoretical approach.

### Symmetric space property reduces to conformal and symplectic invariance

The idea about symmetric space is extremely beautiful but it millenium had to change before I was ripe to identify the precise form of the Cartan decomposition. The solution of the puzzle turned out to be amazingly simple.

The algebra is a direct sum  $g = g_1 \oplus g_2$  such that  $g_1$  has  $h = n$  as conformal weights and  $g_2$  has more general conformal weights determined by the squares of the generalized eigenvalues  $\lambda_i$  of modified Dirac equation. Their number is finite for given light-like 3-surface and they are analogous to vacuum expectation values of Higgs. This motivates the guess that the ground state conformal weights are given by  $h = i/2 + \lambda_i^2$ . It is actually possible to regard the imaginary part of  $h$  as a pseudo conformal weight, which can be eliminated by a natural choice of the light-like radial coordinate of  $\delta M_+^4$ . The original speculation  $h = 1/2 + iy$ , where  $y$  is a combination of the imaginary parts of zeros of Riemann Zeta with integer coefficients, was motivated by the generalization of the formula for the ground state conformal weight of N-S representation but is inconsistent with the recent physical picture. The only physically motivated zeta is defined by the eigenvalues  $\lambda_i$  but its zeros do not define natural ground state conformal weights.

The requirement that ordinary Virasoro and Kac Moody generators annihilate physical states corresponds now to the fact that the generators of  $h$  vanish at the point of configuration space, which remains invariant under the action of  $h$ . The maximum of Kähler function corresponds naturally to this point and plays also an essential role in the integration over configuration space by generalizing the Gaussian integration of free quantum field theories.

The light cone conformal invariance differs in many respects from the conformal invariance of string theories. In particular, the finite-dimensional group defining Kac-Moody group is replaced by an infinite-dimensional symplectic group.

#### 5.2.4 An educated guess for the Kähler function

The turning point in the attempts to construct configuration space geometry was the realization that four-dimensional *Diff* invariance (not only 3-dimensional *Diff* invariance!) of General Relativity must have a counterpart in TGD. In order to realize this symmetry in the space of 3-surfaces, the definition of the configuration space metric should somehow associate to a given 3-surface  $X^3$  a unique space-time surface  $X^4(X^3)$  for  $\text{Diff}^4$  to act on. Physical considerations require that the metric should be, not only  $\text{Diff}^4$  invariant, but also  $\text{Diff}^4$  degenerate so that infinitesimal  $\text{Diff}^4$  transformations should correspond to zero norm vector fields of the configuration space.

Since Kähler function determines Kähler geometry, the definition of the Kähler function should associate a unique space-time surface  $X^4(X^3)$  to a given 3-surface  $X^3$ . The natural physical interpretation for this space-time surface is as the classical space-time associated with  $X^3$  so that in TGD classical physics ( $X^4(X^3)$ ) becomes a part of the configuration space geometry and of the quantum theory.

One could try to construct the configuration space geometry by finding the metric for a single representative 3-surface at each orbit of  $G$  and extending it by left translations to the entire orbit of  $G$ . The metric for this representative should be *Diff*<sup>3</sup> invariant and somehow it should associate a unique space-time surface to the 3-surface in question. The original attempt was however more indirect and based on the realization that the construction of the Kähler geometry reduces to that of finding Kähler function  $K(X^3)$  with the property that it associates a unique space-time surface  $X^4(X^3)$  to a given 3-surface  $X^3$  and possesses mathematically and physically acceptable properties. The guess for the Kähler function is the following one.

By  $\text{Diff}^4$  invariance one can restrict the consideration on the set of 3-surfaces  $Y^3$  on the 'light cone boundary'  $\delta H = \delta M_+^4 \times CP_2$  since one can define the space-time surface associated with  $X^3 \subset X^4(Y^3)$  to be  $X^4(X^3) = X^4(Y^3)$  in case that the initial value problem for  $X^3$  has  $X^4(Y^3)$  as its solution. This implies  $K(X^3) = K(Y^3)$ .

The value of the Kähler function  $K$  for a given 3-surface  $Y^3$  on light cone boundary is obtained in the following manner.

1. Consider all possible 4-surfaces  $X^4 \subset M_+^4 \times CP_2$  having  $Y^3$  as its sub-manifold:  $Y^3 \subset X^4$ . If  $Y^3$  has boundary then it belongs to the boundary of  $X^4$ :  $\delta Y^3 \subset \delta X^4$ .

2. Associate to each four surface Kähler action as the Maxwell action for the Abelian gauge field defined by the projection of the  $CP_2$  Kähler form to the four-surface. For a Minkowskian signature of the induced metric Kähler electric field gives a negative contribution to the action density whereas for an Euclidian signature the action density is always non-positive.
3. Define the value of the Kähler function  $K$  for  $Y^3$  as the absolute minimum of the Kähler action  $S_K$  over all possible four-surfaces having  $Y^3$  as its sub-manifold:  $K(Y^3) = \text{Min}\{S_K(X^4)|X^4 \supset Y^3\}$ .

This definition of the Kähler function has several physically appealing features.

1. Kähler geometry associates with each  $X^3$  a unique four-surface, which will be interpreted as the classical space-time associated with  $X^3$ . This means that the so called classical space time (and physics!) in TGD approach is not defined via some approximation procedure (stationary phase approximation of the functional integral) but is an essential part of not only quantum theory, but also of the configuration space geometry, which in turn might be determined by a mere mathematical consistency! Since quantum states are superpositions over these classical space-times, it is clear that the observed classical space-time is some kind of effective, quantum average space-time, presumably defined as an absolute minimum for the effective action of the theory.
2. The space-time surface associated with a given 3-surface is analogous to a Bohr orbit of the old fashioned quantum theory. The point is that the initial value problem in question differs from the ordinary initial value problem in that although the values of the  $H$  coordinates  $h^k$  as functions  $h^k(x)$  of  $X^3$  coordinates can be chosen arbitrarily, the time derivatives  $\partial_t h^k(x)$  at  $X^3$  are uniquely fixed by the principle selecting preferred extremals as generalized Bohr orbits (absolute minimization or something more delicate [19]) unlike in the ordinary variational problems encountered in the classical physics. This implies something closely analogous to the quantization of the symplectic momenta so that the space-time surface can be regarded as a generalized Bohr orbit. The classical quantization of electric charge and mass are possible consequences of the Bohr orbit property.
3. Kähler function is  $\text{Diff}^4$  invariant in the sense that the value of the Kähler function is same for all 3-surfaces belonging to the orbit of a given 3-surface. As a consequence, configuration space metric is  $\text{Diff}^4$  degenerate. The implications of the  $\text{Diff}^4$  invariance have turned out to be decisive, not only for the geometrization of the configuration space, but also for the construction of the quantum theory. For instance, time like vibrational modes tangential to the 4-surface imply tachyonic mass spectrum unless they correspond to the zero modes of the configuration space metric.  $\text{Diff}^4$  invariance however guarantees the required kind of degeneracy of the metric.
4. The non-determinism of Kähler action means that the complete reduction to the light cone boundary is not possible. This means a mathematical challenge but is physically a highly desirable feature since otherwise time would be lost as it is lost in the canonically quantized general relativity.

The most general expectation is that configuration space can be regarded as a union of coset spaces:  $C(H) = \cup_i G/H(i)$ . Index  $i$  labels 3-topology and zero modes. The group  $G$ , which can depend on 3-surface, can be identified as a subgroup of diffeomorphisms of  $\delta M_+^4 \times CP_2$  and  $H$  must contain as its subgroup a group, whose action reduces to  $\text{Diff}(X^3)$  so that these transformations leave 3-surface invariant.

The task is to identify plausible candidate for  $G$  and to show that the tangent space of the configuration space allows Kähler structure, in other words that the Lie-algebras of  $G$  and  $H(i)$  allow complexification. One must also identify the zero modes and construct integration measure for the functional integral in these degrees of freedom. Besides this one must deduce information about the explicit form of configuration space metric from symmetry considerations combined with the hypothesis that Kähler function is determined as absolute minimum of Kähler action.

It will be found that in the case of  $M_+^4 \times CP_2$  Kähler geometry, or strictly speaking contact Kähler geometry, characterized by a degenerate Kähler form ( $\text{Diff}^4$  degeneracy and plus possible other degeneracies) seems possible. Although it seems that this construction must be generalized by



allowing all light like 7-surfaces  $X_l^3 \times CP_2$ , at least those for which  $X_l^3$  is boundary of light-cone inside  $M_+^4$  or  $M^4$ , with the physical interpretation differing dramatically from the original one, the original construction discussed in the sequel involves the most essential aspects of the problem.

### 5.2.5 An alternative for the absolute minimization of Kähler action

One can criticize the assumption that extremals correspond to absolute minima, and the number theoretical vision discussed in [19] indeed favors the separate minimization of magnitudes of positive and negative contributions to the Kähler action.

For this option Universe would do its best to save energy, being as near as possible to vacuum. Also vacuum extremals would become physically relevant: note that they would be only inertial vacua and carry non-vanishing density gravitational energy. The non-determinism of the vacuum extremals would have an interpretation in terms of the ability of Universe to engineer itself.

The 3-surfaces for which  $CP_2$  projection is at least 2-dimensional and not a Lagrange manifold would correspond to non-vacua since conservation laws do not leave any other option. The variational principle would favor equally magnetic and electric configurations whereas absolute minimization of action based on  $S_K$  would favor electric configurations. The positive and negative contributions would be minimized for 4-surfaces in relative homology class since the boundary of  $X^4$  defined by the intersections with 7-D light-like causal determinants would be fixed. Without this constraint only vacuum bubbles would result.

The attractiveness of the number theoretical variational principle from the point of calculability of TGD would be that the initial values for the time derivatives of the imbedding space coordinates at  $X^3$  at light-like 7-D causal determinant could be computed by requiring that the energy of the solution is minimized. This could mean a computerizable solution to the construction of Kähler function.

It should be noticed that the considerations of this chapter relate only to the extremals of Kähler action which need not be absolute minima nor more general preferred extremals discussed in [19] although this is suggested by the high symmetries. The number theoretic approach based on the properties of quaternions and octonions discussed in the chapter [19] leads to a proposal for the general solution of field equations based on the generalization of the notion of calibration [79] providing absolute minima of volume to that of Kähler calibration. This approach will not be discussed in this chapter.

### 5.2.6 The construction of the configuration space geometry from symmetry principles

The gigantic size of the isometry group suggests that it might be possible to deduce very detailed information about the metric of the configuration space by group theoretical arguments. This turns out to be the case. In order to have a Kähler structure, one must define a complexification of the configuration space. Also one should identify the Lie algebra of the isometry group and try to derive explicit form of the Kähler metric using this information. One can indeed construct the metric in this manner but a rigorous proof that the corresponding Kähler function is the one defined by Kähler action does not exist yet although both approaches predict the same general qualitative properties for the metric. The argument stating the equivalence of the two approaches reduces to the hypothesis stating electric-magnetic duality of the theory. For the Bohr orbit like preferred extremals of Kähler action magnetic configuration space Hamiltonians derivable from group theoretical approach are essentially identical with electric configuration space Hamiltonians derivable from Kähler action.

### General Coordinate Invariance and generalized quantum gravitational holography

The basic motivation for the construction of configuration space geometry is the vision that physics reduces to the geometry of classical spinor fields in the infinite-dimensional configuration space of 3-surfaces of  $M_+^4 \times CP_2$  or of  $M^4 \times CP_2$ . Hermitian conjugation is the basic operation in quantum theory and its geometrization requires that configuration space possesses Kähler geometry. Kähler geometry is coded into Kähler function.

The original belief was that the four-dimensional general coordinate invariance of Kähler function reduces the construction of the geometry to that for the boundary of configuration space consisting of 3-surfaces on  $\delta M_+^4 \times CP_2$ , the moment of big bang. The proposal was that Kähler function  $K(Y^3)$

could be defined as absolute minimum of so called Kähler action for the unique space-time surface  $X^4(Y^3)$  going through given 3-surface  $Y^3$  at  $\delta M_+^4 \times CP_2$ . For  $\text{Diff}^4$  transforms of  $Y^3$  at  $X^4(Y^3)$  Kähler function would have the same value so that  $\text{Diff}^4$  invariance and degeneracy would be the outcome.

This picture is however too simple.

1. The degeneracy of the absolute minima caused by the classical non-determinism of Kähler action however brings in additional delicacies, and it seems that the reduction to the light cone boundary which in fact corresponds to what has become known as quantum gravitational holography must be replaced with a construction involving more general light like 7-surfaces  $X_l^3 \times CP_2$ .
2. It has also become obvious that the gigantic symmetries associated with  $\delta M_+^4 \times CP_2$  manifest themselves as the properties of propagators and vertices, and that  $M^4$  is favored over  $M_+^4$ . Cosmological considerations, Poincare invariance, and the new view about energy favor the decomposition of the configuration space to a union of configuration spaces associated with various 7-D causal determinants. The minimum assumption is that all possible unions of future and past light cone boundaries  $\delta M_\pm^4 \times CP_2 \subset M^4 \times CP_2$  label the sectors of  $CH$ : the nice feature of this option is that the considerations of this chapter restricted to  $\delta M_+^4 \times CP_2$  generalize almost trivially. This option is beautiful because the center of mass degrees of freedom associated with the different sectors of  $CH$  would correspond to  $M^4$  itself and its Cartesian powers. One cannot exclude the possibility that even more general light like surfaces  $X_l^3 \times CP_2$  of  $M^4$  are important as causal determinants.

The definition of the Kähler function requires that the many-to-one correspondence  $X^3 \rightarrow X^4(X^3)$  must be replaced by a bijective correspondence in the sense that  $X^3$  is unique among all its  $\text{Diff}^4$  translates. This also allows physically preferred "gauge fixing" allowing to get rid of the mathematical complications due to  $\text{Diff}^4$  degeneracy. The internal geometry of the space-time sheet  $X^4(X^3)$  must define the preferred 3-surface  $X^3$  and also a preferred light like 7-surface  $X_l^3 \times CP_2$ .

This is indeed possible. The possibility of negative values of Poincare energy (or equivalently inertial energy) inspires the hypothesis that the total quantum numbers and classical conserved quantities of the Universe vanish. This view is consistent with experimental facts if gravitational energy is defined as a difference of Poincare energies of positive and negative energy matter. Space-time surface consists of pairs of positive and negative energy space-time sheets created at some moment from vacuum and branching at that moment. This allows to select  $X^3$  uniquely and define  $X^4(X^3)$  as the absolute minimum of Kähler action in the set of 4-surfaces going through  $X^3$ . These space-time sheets should also define uniquely the light like 7-surface  $X_l^3 \times CP_2$ , most naturally as the "earliest" surface of this kind. Note that this means that it become possible to assign a unique value of geometric time to the space-time sheet.

The realization of this vision means a considerable mathematical challenge. The effective metric 2-dimensionality of 3-dimensional light-like surfaces  $X_l^3$  of  $M^4$  implies generalized conformal and symplectic invariances allowing to generalize quantum gravitational holography from light like boundary so that the complexities due to the non-determinism can be taken into account properly.

### Light like 3-D causal determinants, 7-3 duality, and effective 2-dimensionality

Thanks to the non-determinism of Kähler action, also light like 3-surfaces  $X_l^3$  of space-time surface appear as causal determinants (CDs). Examples are boundaries and elementary particle horizons at which Minkowskian signature of the induced metric transforms to Euclidian one. This brings in a second conformal symmetry related to the metric 2-dimensionality of the 3-D CD. This symmetry is identifiable as TGD counterpart of the Kac Moody symmetry of string models. The challenge is to understand the relationship of this symmetry to configuration space geometry and the interaction between the two conformal symmetries.

The possibility of spinorial shock waves at  $X_l^3$  leads to the hypothesis that they correspond to particle aspect of field particle duality whereas the physics in the interior of space-time corresponds to field aspect. More generally, field particle duality in TGD framework states that 3-D light like causal determinants and 7-D causal determinants are dual to each other. In particular, super-symplectic and Super Kac Moody symmetries are also dually related.

The underlying reason for 7-3 duality be understood from a simple geometric picture in which 3-D light like causal determinants  $X_l^3$  intersect 7-D causal determinants  $X^7$  along 2-D surfaces  $X^2$

and thus form 2-sub-manifolds of the space-like 3-surface  $X^3 \subset X^7$ . One can regard either symplectic deformations of  $X^7$  or Kac-Moody deformations of  $X^2$  as defining the tangent space of configuration space so that 7-3 duality would relate two different coordinate choices for  $CH$ .

The assumption that the data at either  $X^3$  or  $X_l^3$  are enough to determine configuration space geometry implies that the relevant data is contained to their intersection  $X^2$ . This is the case if the deformations of  $X_l^3$  not affecting  $X^2$  and preserving light likeness corresponding to zero modes or gauge degrees of freedom and induce deformations of  $X^3$  also acting as zero modes. The outcome is effective 2-dimensionality. One cannot over-emphasize the importance of this conclusion. It indeed stream lines dramatically the earlier formulas for configuration space metric involving 3-dimensional integrals over  $X^3 \subset M_+^4 \times CP_2$  reducing now to 2-dimensional integrals. Most importantly, no data about absolute minima of Kähler are needed to construct the configuration space metric so that the construction is also practical.

The reduction of data to that associated with 2-D surfaces conforms with the number theoretic vision about imbedding space as having hyper-octonionic structure [19]: the commutative sub-manifolds of  $OH = M^8$  have dimension not larger than two and for them tangent space is complex sub-space of hyper-octonion tangent space. Number theoretic counterpart of quantum measurement theory forces the reduction of relevant data to 2-D commutative sub-manifolds of  $X^3$ . These points are discussed in more detail in the next chapter whereas in this chapter the consideration will be restricted to  $X_l^3 = \delta M_+^4$  case which involves all essential aspects of the problem.

## Two guesses for configuration space Hamiltonians

The detailed view about configuration space Hamiltonians developed gradually through guesses. The last section of this chapter provides the recent view about the construction of configuration space Hamiltonians based on a fundamental action principle at partonic level. Although the magnetic and electric Hamiltonians discussed below do not represent the last step in this progress they deserve a discussion.

### 1. Magnetic Hamiltonians

Assuming that the elements of the radial Virasoro algebra of  $\delta M_+^4$  have zero norm, one ends up with an explicit identification of the symplectic structure of the configuration space. There is almost unique identification for the symplectic structure. Configuration space counterparts of  $\delta M^4 \times CP_2$  Hamiltonians are defined by the generalized signed and unsigned Kähler magnetic fluxes

$$\begin{aligned} Q_m(H_A, X^2) &= Z \int_{X^2} H_A J \sqrt{g_2} d^2 x \quad , \\ Q_m^+(H_A, r_M) &= Z \int_{X^2} H_A |J| \sqrt{g_2} d^2 x \quad , \\ J &\equiv \epsilon^{\alpha\beta} J_{\alpha\beta} \quad . \end{aligned}$$

$H_A$  is  $CP_2$  Hamiltonian multiplied by a function of coordinates of light cone boundary belonging to a unitary representation of the Lorentz group.  $Z$  is a conformal factor depending on symplectic invariants. The symplectic structure is induced by the symplectic structure of  $CP_2$ .

The most general flux is superposition of signed and unsigned fluxes  $Q_m$  and  $Q_m^+$ .

$$Q_m^{\alpha,\beta}(H_A, X^2) = \alpha Q_m(H_A, X^2) + \beta Q_m^+(H_A, X^2) \quad .$$

Thus it seems that symmetry arguments fix the form of the configuration space metric apart from the presence of a conformal factor  $Z$  multiplying the magnetic flux and the degeneracy related to the signed and unsigned fluxes.

The notion of 7-3-duality described in the introduction implies that the relevant data about configuration space geometry is contained by 2-D surfaces  $X^2$  at the intersections of 3-D light like CDS and 7-D causal determinants such as  $M_+^4 \times CP_2$ . In this case the entire Hamiltonian could be defined as the sum of magnetic fluxes over surfaces  $X_i^2 \subset X^3$ . The maximally optimistic guess would be that it is possible to fix both  $X_i^2$  and 7-D causal determinants freely with  $X_i^2$  possibly identified as commutative sub-manifold of octonionic  $H$ .

### 2. Electric Hamiltonians and electric-magnetic duality

Absolute minimization of Kähler action -which have however turned to be a wrong guess for the principle fixing the preferred extremals- suggested that one can identify configuration space Hamiltonians as classical charges  $Q_e(H_A)$  associated with the Hamiltonians of the symplectic transformations of the light cone boundary, that is as variational derivatives of the Kähler action with respect to the infinitesimal deformations induced by  $\delta M_+^4 \times CP_2$  Hamiltonians. Alternatively, one might simply replace Kähler magnetic field  $J$  with Kähler electric field defined by space-time dual  $*J$  in the formulas of previous section. These Hamiltonians are analogous to Kähler electric charge and the hypothesis motivated by the experience with the instantons of the Euclidian Yang Mills theories and 'Yin-Yang' principle, as well as by the duality of  $CP_2$  geometry, is that for the absolute minima of the Kähler action these Hamiltonians are affinely related:

$$Q_e(H_A) = Z [Q_m(H_A) + q_e(H_A)] .$$

Here  $Z$  and  $q_e$  are constants depending on symplectic invariants only. Thus the equivalence of the two approaches to the construction of configuration space geometry boils down to the hypothesis of a physically well motivated electric-magnetic duality.

The crucial technical idea is to regard configuration space metric as a quadratic form in the entire Lie-algebra of the isometry group  $G$  such that the matrix elements of the metric vanish in the sub-algebra  $H$  of  $G$  acting as  $Dif^3(X^3)$ . The Lie-algebra of  $G$  with degenerate metric in the sense that  $H$  vector fields possess zero norm, can be regarded as a tangent space basis for the configuration space at point  $X^3$  at which  $H$  acts as an isotropy group: at other points of the configuration space  $H$  is different. For given values of zero modes the maximum of Kähler function is the best candidate for  $X^3$ . This picture applies also in symplectic degrees of freedom.

### Complexification and explicit form of the metric and Kähler form

The identification of the Kähler form and Kähler metric in symplectic degrees of freedom follows trivially from the identification of the symplectic form and definition of complexification. The requirement that Hamiltonians are eigen states angular momentum (and possibly also of Lorentz boost), isospin and hypercharge implies physically natural complexification. In order to fix the complexification completely one must introduce some convention fixing which states correspond to 'positive' frequencies and which to 'negative frequencies' and which to zero frequencies that is to decompose the generators of the symplectic algebra to three sets  $Can_+$ ,  $Can_-$  and  $Can_0$ . One must distinguish between  $Can_0$  and zero modes, which are not considered here at all. For instance,  $CP_2$  Hamiltonians correspond to zero modes.

The natural complexification relies on the imaginary part of the radial conformal weight whereas the real part defines the  $g = t + h$  decomposition naturally. The wave vector associated with the radial logarithmic plane wave corresponds to the angular momentum quantum number associated with a wave in  $S^1$  in the case of Kac Moody algebra. One can imagine three options.

1. It is quite possible that the spectrum of  $k_2$  does not contain  $k_2 = 0$  at all so that the sector  $Can_0$  could be empty. This complexification is physically very natural since it is manifestly invariant under  $SU(3)$  and  $SO(3)$  defining the preferred spherical coordinates. The choice of  $SO(3)$  is unique if the classical four-momentum associated with the 3-surface is time like so that there are no problems with Lorentz invariance.
2. If  $k_2 = 0$  is possible one could have

$$\begin{aligned} Can_+ &= \{H_{m,n,k=k_1+ik_2}^a, k_2 > 0\} , \\ Can_- &= \{H_{m,n,k}^a, k_2 < 0\} , \\ Can_0 &= \{H_{m,n,k}^a, k_2 = 0\} . \end{aligned} \tag{5.2.1}$$

3. If it is possible to  $n_2 \neq 0$  for  $k_2 = 0$ , one could define the decomposition as

$$\begin{aligned}
Can_+ &= \{H_{m,n,k}^a, k_2 > 0 \text{ or } k_2 = 0, n_2 > 0\} , \\
Can_- &= \{H_{m,n,k}^a, k_2 < 0 \text{ or } k_2 = 0, n_2 < 0\} , \\
Can_0 &= \{H_{m,n,k}^a, k_2 = n_2 = 0\} .
\end{aligned} \tag{5.2.2}$$

In this case the complexification is unique and Lorentz invariance guaranteed if one can fix the  $SO(2)$  subgroup uniquely. The quantization axis of angular momentum could be chosen to be the direction of the classical angular momentum associated with the 3-surface in its rest system.

The only thing needed to get Kähler form and Kähler metric is to use the "half Poisson bracket"

$$\begin{aligned}
J_f(X(H_A), X(H_B)) &= 2Im(iQ_f(\{H_A, H_B\}_{-+})) , \\
G_f(X(H_A), X(H_B)) &= 2Re(iQ_f(\{H_A, H_B\}_{-+})) .
\end{aligned} \tag{5.2.3}$$

Here the subscript  $+$  and  $-$  refer to complex isometry current and its complex conjugate in terms of which the "half Poisson bracket" can be expressed.

Symplectic form, and thus also Kähler form and Kähler metric, could contain a conformal factor depending on the isometry invariants characterizing the size and shape of the 3-surface. At this stage one cannot say much about the functional form of this factor.

### 5.2.7 Configuration space spinor structure

Quantum TGD should be reducible to the classical spinor geometry of the configuration space. In particular, physical states should correspond to the modes of the configuration space spinor fields. The immediate consequence is that configuration space spinor fields cannot, as one might naively expect, be carriers of a definite spin and unit fermion number. Concerning the construction of the configuration space spinor structure there are some important clues.

1. The classical bosonic physics is coded into the definition of the configuration space metric; therefore the classical physics associated with the spinors of the imbedding space should be coded into the definition of the configuration space spinor structure. This means that the generalized massless Dirac equation for the induced spinor fields on  $X^4(X^3)$  should be closely related to the definition of the configuration space gamma matrices.
2. Complex probability amplitudes (scalar fields) in the configuration space correspond to the second quantized boson fields in  $X^4$ . Hence the spinor fields of the configuration space should correspond to the second quantized, free, induced spinor fields on  $X^4$ . The space of the configuration space spinors should be just the Fock space of the second quantized fermions on  $X^4$ !
3. Symplectic algebra might generalize to a super symplectic algebra and that super generators should be linearly related to the gamma matrices of the configuration space. If this indeed is the case then the construction of the configuration space spinor structure becomes a purely group theoretical problem.

The realization of these ideas is simple in principle. Perform a second quantization for the free induced spinor field in  $X^4$ . Express configuration space gamma matrices and symplectic super generators as superpositions of the fermionic oscillator operators. This means that configuration space gamma matrices are analogous to spin 3/2 fields and can be regarded as a superpartner of the gravitational field of the configuration space. Deduce the anti-commutation relations of the spinor fields from the requirement of super symplectic invariance. Generalize the flux representation for the configuration space Hamiltonians to a spinorial flux representation for their super partners.

### Configuration space gamma matrices as super algebra generators

The basic idea is that the space of the configuration space spinors must correspond to the Fock space for the second quantized induced spinor fields. In accordance with this the gamma matrices of the configuration space must be expressible as superpositions of the fermionic oscillator operators for the second quantized induced free spinor fields in  $X^4$  so that they are analogous to spin 3/2 fields. The Dirac equation is fixed from the requirement of super symmetry and has same vacuum degeneracy as Kähler action. A further assumption is that the contractions of the gamma matrices with isometry currents correspond to super charges of the group of isometries of the configuration space so that the construction reduces to group theory. Also the super Kac Moody algebra associated with light like 3-D causal determinants defines candidates for gamma matrices defining the components of the metric as anti-commutators and the question is whether the two definitions are mutually consistent.

### 7–3 duality

The failure of the classical non-determinism forces to introduce two kinds of causal determinants (CDs). 7-D light like causal determinants are unions of the boundaries of future and past directed light cones in  $M^4$  at arbitrary positions (also more general light like surfaces  $X^7 = X_l^3 \times CP_2$  might be considered).  $CH$  is a union of sectors associated with these 7-D causal determinants playing in a very rough sense the roles of big bangs and big crunches. The creation of pairs of positive and negative energy space-time sheets occurs at  $X^3 \subset X^7$  in the sense that negative and positive energy space-time sheet meet at  $X^3$ . Negative and positive energy space-time sheets are space-time correlates for bras and kets and the meeting of negative and positive energy space-time sheets is the space-time correlate for their scalar product.

Also 3-D light like causal determinants  $X_l^3 \subset X^4$  must be introduced: elementary particle horizons provide a basic example of this kind of CDs. The deformations of the 2-surfaces defining  $X_l^3$  define Kac Moody type conformal symmetries.

7–3 duality states that the two kind of causal determinants play a dual role in the construction of the theory and implies that 3-surfaces are effectively two-dimensional with respect to the  $CH$  metric in the sense that all relevant data about  $CH$  geometry is contained by the two-dimensional intersections  $X^2 = X_l^3 \cap X^7$  defining 2-sub-manifolds of  $X^3 \subset X^7$ .

The relationship between super-symplectic ( $SC$ ) and Super Kac-Moody ( $SKM$ ) symmetries has been one of the central themes in the development of TGD. The progress in the understanding of the number theoretical aspects of TGD gives good hopes of lifting  $SKMV$  ( $V$  denotes Virasoro) to a subalgebra of  $SCV$  so that coset construction works meaning that the differences of  $SCV$  and  $SKMV$  generators annihilate physical states. This condition has interpretation in terms of Equivalence Principle with coset Super Virasoro conditions defining a generalization of Einstein's equations in TGD framework. Also p-adic thermodynamics finds a justification since the expectation values of SKM conformal weights can be non-vanishing in physical states.

### The modified Dirac equation and gamma matrices

The modified Dirac equation is deduced from Kähler action by requiring it to have the same vacuum degeneracy as Kähler action itself. The interpretation of the solutions of the modified Dirac equation is as super gauge symmetry generators whereas physical degrees of freedom corresponds to generalized eigen modes at  $X_l^3$  and at space-like 3-surfaces  $X^3 \subset X^7$ .

The decisive property of the modified Dirac equation is that it allows shock wave solutions restricted to  $X_l^3$ : in terms of field-particle duality these shock waves correspond to the click caused by a particle in a detector. This allows to realize quantum gravitational holography and 7–3 duality in the sense that the induced second quantized spinor fields at the intersections  $X^2 = X_l^3 \cap X^7$  determine the super-generators super-symplectic and super Kac Moody algebras invariant under the super gauge symmetries generated by the solutions of the modified Dirac equation.

Both the function algebra and Poisson algebra of  $X^7$  allow super-symmetrization and both N-S and Ramond type representations are possible. For Ramond type representation the modified Dirac operators  $D_+$  and  $D_-^{-1}$  associated with the positive and negative energy space-time sheets  $X_\pm^4$  meeting at  $X^3$  are present in the expressions of the super generators. NS-type representations correspond to the replacement of these operators with projection operators to the space of spinor modes with non-vanishing eigenvalues of  $D_\pm$ . Both representations are necessary and correspond to leptonic and quark

like representations of configuration space gamma matrices. Similar statements apply to super Kac-Moody representations. These two kinds of representations correspond to super and kappa symmetries of super-string models.

### Expressing Kähler function in terms of Dirac determinant

Although quantum criticality in principle predicts the possible values of Kähler coupling strength, one might hope that there exists even more fundamental approach involving no coupling constants and predicting even quantum criticality and realizing quantum gravitational holography.

The simplest option (not the only one [31] is that Kähler function for a given space-time sheet is the product of Dirac determinants associated with the light-like partonic 3-surfaces associated with it. p-Adicization requires that for a given prime  $p$  the generalized eigenvalues of the modified Dirac operator  $D$  belong to an algebraic extension of rationals. The simplest manner to achieve this is to restrict the number of the allowed modes of  $D$  to those in the algebraic extension. This restriction would give rise to a purely physical cutoff and define one level in the number theoretical hierarchy of physics. This restriction could also lead automatically to a finite value of the Dirac determinant. As a matter fact, the properties of Kähler action imply automatically that the number of the eigenvalues is finite for given light-like 3-surface. The interpretation is that the dynamics defined by Kähler action automatically codes for the cutoff in measurement resolution.

### The relationship between super-symplectic and super Kac-Moody algebras

The Olive-Goddard-Kent coset construction generalizes in the sense that the differences of the Virasoro generators of super-symplectic and super Kac-Moody algebras annihilate the physical states. The interpretation is in terms of generalization of Equivalence Principle. In particular, the fact that the four-momenta assignable to super-symplectic and super Kac-Moody algebras are identical codes for the equivalence of inertial and gravitational masses. The central charges of the two Virasoro algebras must be identical so that the net central charge vanishes. This condition leads to a generalization of stringy mass formula involving besides super Kac-Moody algebra also the super-symplectic algebra and allowing continuum mass spectrum for many particle states.

The  $N = 4$  super symmetries generated by the solutions of the modified Dirac equation are pure super gauge transformations. All  $CP_2$  spinor harmonics except the covariantly constant right handed neutrino spinor carry color quantum numbers and thus a non-vanishing vacuum conformal weight: hence only an  $N = 1$  global super symmetry is in principle possible. Since the Ramond type super-generator corresponding to the covariantly constant neutrino vanishes identically even  $N = 1$  global super-symmetry is absent and no sparticles are predicted. This means a decisive difference in comparison with super string models and M-theory besides the fundamentally different realization of the super conformal algebras allowing super generators to carry fermion number and realizing bosonic sector of algebra as Hamiltonians rather than vector fields. This allows to avoid the notions of super-space and super-field and infinite-dimensional Kähler geometry is all that is needed to realize super-conformal symmetry.

#### 5.2.8 What about infinities?

The construction of a divergence free and unitary inner product for the configuration space spinor fields is one of the major challenges. In the sequel constraints on the geometry of the configuration space posed by the finiteness of the inner product are analyzed.

#### Inner product from divergence cancellation

Forgetting the delicacies related to the non-determinism of the Kähler action, the inner product is given by integrating the usual Fock space inner product defined at each point of the configuration space over the reduced configuration space containing only the 3-surfaces  $Y^3$  belonging to  $\delta H = \delta M_+^4 \times CP_2$  ('light cone boundary') using the exponent  $exp(K)$  as a weight factor:

$$\begin{aligned} \langle \Psi_1 | \Psi_2 \rangle &= \int \bar{\Psi}_1(Y^3) \Psi_2(Y^3) \exp(K) \sqrt{G} dY^3 , \\ \bar{\Psi}_1(Y^3) \Psi_2(Y^3) &\equiv \langle \Psi_1(Y^3) | \Psi_2(Y^3) \rangle_{Fock} . \end{aligned} \quad (5.2.4)$$

The degeneracy for the absolute minima of Kähler action implies additional summation over the degenerate minima associated with  $Y^3$ . The restriction of the integration on light cone boundary is  $\text{Diff}^4$  invariant procedure and resolves in elegant manner the problems related to the integration over  $\text{Diff}^4$  degrees of freedom. A variant of the inner product is obtained dropping the bosonic vacuum functional  $\exp(K)$  from the definition of the inner product and by assuming that it is included into the spinor fields themselves. Probably it is just a matter of taste how the necessary bosonic vacuum functional is included into the inner product: what is essential that the vacuum functional  $\exp(K)$  is somehow present in the inner product.

The unitarity of the inner product follows from the unitarity of the Fock space inner product and from the unitarity of the standard  $L^2$  inner product defined by configuration space integration in the set of the  $L^2$  integrable scalar functions. It could well occur that  $\text{Diff}^4$  invariance implies the reduction of the configuration space integration to  $C(\delta H)$ .

Consider next the bosonic integration in more detail. The exponent of the Kähler function appears in the inner product also in the context of the finite dimensional group representations. For the representations of the noncompact groups (say  $SL(2, R)$ ) in coset spaces (now  $SL(2, R)/U(1)$  endowed with Kähler metric) the exponent of Kähler function is necessary in order to get square integrable representations [59]. The scalar product for two complex valued representation functions is defined as

$$(f, g) = \int \bar{f} g \exp(nK) \sqrt{g} dV . \quad (5.2.5)$$

By unitarity, the exponent is an integer multiple of the Kähler function. In the present case only the possibility  $n = 1$  is realized if one requires a complete cancellation of the determinants. In finite dimensional case this corresponds to the restriction to single unitary representation of the group in question.

The sign of the action appearing in the exponent is of decisive importance in order to make theory stable. The point is that the theory must be well defined at the limit of infinitely large system. Minimization of action is expected to imply that the action of infinitely large system is bound from above: the generation of electric Kähler fields gives negative contributions to the action. This implies that at the limit of the infinite system the average action per volume is non-positive. For systems having negative average density of action vacuum functional  $\exp(K)$  vanishes so that only configurations with vanishing average action per volume have significant probability. On the other hand, the choice  $\exp(-K)$  would make theory unstable: probability amplitude would be infinite for all configurations having negative average action per volume. In the fourth part of the book it will be shown that the requirement that average Kähler action per volume cancels has important cosmological consequences.

Consider now the divergence cancellation in the bosonic integration. One can develop the Kähler function as a Taylor series around maximum of Kähler function and use the contravariant Kähler metric as a propagator. Gaussian and metric determinants cancel each other for a unique vacuum functional. Ricci flatness guarantees that metric determinant is constant in complex coordinates so that one avoids divergences coming from it. The non-locality of the Kähler function as a functional of the 3-surface serves as an additional regulating mechanism: if  $K(X^3)$  were a local functional of  $X^3$  one would encounter divergences in the perturbative expansion.

The requirement that quantum jump corresponds to a quantum measurement in the sense of quantum field theories implies that quantum jump involves localization in zero modes. Localization in the zero modes implies automatically p-adic evolution since the decomposition of the configuration space into sectors  $D_P$  labelled by the infinite primes  $P$  is determined by the corresponding decomposition in zero modes. Localization in zero modes would suggest that the calculation of the physical predictions does not involve integration over zero modes: this would dramatically simplify the calculational apparatus of the theory. Probably this simplification occurs at the level of practical calculations if  $U$ -matrix separates into a product of matrices associated with zero modes and fiber degrees of freedom.



One must also calculate the predictions for the ratios of the rates of quantum transitions to different values of zero modes and here one cannot actually avoid integrals over zero modes. To achieve this one is forced to define the transition probabilities for quantum jumps involving a localization in zero modes as

$$P(x, \alpha \rightarrow y, \beta) = \sum_{r,s} |S(r, \alpha \rightarrow s, \beta)|^2 |\Psi_r(x)|^2 |\Psi_s(y)|^2 ,$$

where  $x$  and  $y$  correspond to the zero mode coordinates and  $r$  and  $s$  label a complete state functional basis in zero modes and  $S(r, m \rightarrow s, n)$  involves integration over zero modes. In fact, only in this manner the notion of the localization in the zero modes makes mathematically sense at the level of S-matrix. In this case also unitarity conditions are well-defined. In zero modes state function basis can be freely constructed so that divergence difficulties could be avoided. An open question is whether this construction is indeed possible.

Some comments about the actual evaluation of the bosonic functional integral are in order.

1. Since configuration space metric is degenerate and the bosonic propagator is essentially the contravariant metric, bosonic integration is expected to reduce to an integration over the zero modes. For instance, isometry invariants are variables of this kind. These modes are analogous to the parameters describing the conformal equivalence class of the orbit of the string in string models.
2.  $\alpha_K$  is a natural small expansion parameter in configuration space integration. It should be noticed that  $\alpha_K$ , when defined by the criticality condition, could also depend on the coordinates parameterizing the zero modes.
3. Semiclassical approximation, which means the expansion of the functional integral as a sum over the extrema of the Kähler function, is a natural approach to the calculation of the bosonic integral. Symmetric space property suggests that for the given values of the zero modes there is only single extremum and corresponds to the maximum of the Kähler function. There are theorems stating that semiclassical approximation is exact for certain systems (for example for integrable systems (Duistermaat-Hecke theorem [51])). Symmetric space property suggests that Kähler function might possess the properties guaranteeing the exactness of the semiclassical approximation. This would mean that the calculation of the integral  $\int \exp(K) \sqrt{G} dY^3$  and even more complex integrals involving configuration space spinor fields would be completely analogous to a Gaussian integration of free quantum field theory. This kind of reduction actually occurs in string models and is consistent with the criticality of the Kähler coupling constant suggesting that all loop integrals contributing to the renormalization of the Kähler action should vanish. Also the condition that configuration space integrals are continuable to p-adic number fields requires this kind of reduction.

### Divergence cancellation, Ricci flatness, and symmetric space and Hyper Kähler properties

In the case of the loop spaces left invariance implies that Ricci tensor is a multiple of the metric tensor so that Ricci scalar has an infinite value. Mathematical consistency (essentially the absence of the divergences in the integration over the configuration space) forces the geometry to be Ricci flat: in other words, vacuum Einstein's equations are satisfied. It can be shown that Hyper Kähler property guarantees Ricci flatness. The reason is that the contractions of the curvature tensor appearing in the components of the Ricci tensor transform to traces over Lie algebra generators, which are  $SU(\infty)$  generators instead of  $U(\infty)$  generators as in case of loop spaces, so that the traces vanish.

Hyper Kähler property requires a quaternionic structure in the tangent space of the configuration space. Since any direction on the sphere  $S^2$  defined by the linear combinations of quaternionic imaginary units with unit norm defines a particular complexification physically, Hyper-Kähler property means the possibility to perform complexification in  $S^2$ -fold manners. An interesting possibility raised by the notion of number theoretical compactification [19] is that hyper Kähler structure could be replaced with what might be called "hyper-hyper-Kähler structure" resulting when quaternionic tangent space is replaced with its hyper-quaternionic variant. This would conform with the Minkowski

signature of the space-time surface. In this framework also hyper-octonionic structure might be considered. An interesting question not yet even touched, is whether the conjectured  $M^8 - M^4 \times CP_2$  duality is realized also at the level of the configuration space of 3-surfaces.

Consider now the arguments in favor of Ricci flatness of the configuration space.

1. The symplectic algebra of  $\delta M_+^4$  takes effectively the role of the  $U(1)$  extension of the loop algebra. More concretely, the  $SO(2)$  group of the rotation group  $SO(3)$  takes the role of  $U(1)$  algebra. Since volume preserving transformations are in question, the traces of the symplectic generators vanish identically and in finite-dimensional this should be enough for Ricci flatness even if Hyper Kähler property is not achieved.
2. The comparison with  $CP_2$  allows to link Ricci flatness with conformal invariance. The elements of the Ricci tensor are expressible in terms of traces of the generators of the holonomy group  $U(2)$  at the origin of  $CP_2$ , and since  $U(1)$  generator is non-vanishing at origin, the Ricci tensor is non-vanishing. In recent case the origin of  $CP_2$  is replaced with the maximum of Kähler function and holonomy group corresponds to super-symplectic generators labelled by integer valued real parts  $k_1$  of the conformal weights  $k = k_1 + i\rho$ . If generators with  $k_1 = n$  vanish at the maximum of the Kähler function, the curvature scalar should vanish at the maximum and by the symmetric space property everywhere. These conditions correspond to Virasoro conditions in super string models.

A possible source of difficulties are the generators having  $k_1 = 0$  and resulting as commutators of generators with opposite real parts of the conformal weights. It might be possible to assume that only the conformal weights  $k = k_1 + i\rho$ ,  $k_1 = 0, 1, \dots$  are possible since it is the imaginary part of the conformal weight which defines the complexification in the recent case. This would mean that the commutators involve only positive values of  $k_1$ .

3. In the infinite-dimensional case the Ricci tensor involves also terms which are non-vanishing even when the holonomy algebra does not contain  $U(1)$  factor. It will be found that symmetric space property guarantees Ricci flatness even in this case and the reason is essentially the vanishing of the generators having  $k_1 = n$  at the maximum of Kähler function.

There are also arguments in favor of the Hyper Kähler property. In the following argument reader can well consider replacing the attribute "quaternionic" with "hyper-quaternionic".

1. The dimensions of the imbedding space and space-time are 8 and 4 respectively so that the dimension of configuration space in vibrational modes is indeed multiple of four as required by Hyper Kähler property. Hyper Kähler property requires a quaternionic structure in the tangent space of the configuration space. Since any direction on the sphere  $S^2$  defined by the linear combinations of quaternionic imaginary units with unit norm defines a particular complexification physically, Hyper Kähler property means the possibility to perform complexification in  $S^2$ -fold manners.
2.  $S^2$ -fold degeneracy is indeed associated with the definition of the complex structure of the configuration space. First of all, the direction of the quantization axis for the spherical harmonics or for the eigen states of Lorentz Cartan algebra at  $X_+^2 \times CP_2$  can be chosen in  $S^2$ -fold manners. Quaternion conformal invariance means Hyper Kähler property almost by definition and the  $S^2$ -fold degeneracy for the complexification is obvious in this case.
3. One can see the super-symplectic conformal weights as points in a particular complex plane of the quaternionic space and the choice of this plane corresponds to a selection of one configuration space Kähler structure which are parameterized by  $S^2$ . The necessity to restrict the conformal weights to a complex plane brings in mind the commutativity constraint on simultaneously measurable quantum observables.

If these naive arguments survive a more critical inspection, the conclusion would be that the effective 2-dimensionality of light like 3-surfaces implying generalized conformal and symplectic symmetries would also imply Hyper Kähler property of the configuration space and make the theory well-defined mathematically. This obviously fixes the dimension of space-time surfaces as well as the dimension of Minkowski space factor of the imbedding space.

## 5.3 Identification of elementary particles and the role of Higgs in particle massivation

The development of the recent view about the identification of elementary particles and particle massivation has taken fifteen years since the discovery of p-adic thermodynamics around 1993. p-Adic thermodynamics worked excellently from the beginning for fermions. Only the understanding of gauge boson masses turned out to be problematic and group theoretical arguments led to the proposal that Higgs boson should be present and give the dominating contribution to the masses of gauge bosons whereas the contribution to fermion masses should be small and even negligible. The detailed understanding of quantum TGD at partonic level eventually led to the realization that the coupling to Higgs is not needed after all. The deviation  $\Delta h$  of the ground state conformal weight from negative integer has interpretation as effective Higgs contribution since Higgs vacuum expectation is naturally proportional to  $\Delta h$  but the coupling to Higgs does not cause massivation. In the following I summarize the basic identification of elementary particles and massivation. A more detailed discussion can be found in [32].

### 5.3.1 Identification of elementary particles

The developments in the formulation of quantum TGD which have taken place during the period 2005-2007 [23, 31] suggest dramatic simplifications of the general picture discussed in the earlier version of this chapter. p-Adic mass calculations [22, 23, 26] leave a lot of freedom concerning the detailed identification of elementary particles.

#### Elementary fermions and bosons

The basic open question is whether the *theory is on some sense free at parton level* as suggested by the recent view about the construction of S-matrix (actually its generalization M-matrix) and by the almost topological QFT property of quantum TGD at parton level [31]. If partonic 2-surfaces at elementary particle level carry only free many-fermion states, no bi-local composites of second quantized induced spinor field would be needed in the construction of the quantum states and this would simplify the theory enormously.

If this is the case, the basic conclusion would be that light-like 3-surfaces - in particular the ones at which the signature of induced metric changes from Minkowskian to Euclidian - are carriers of fermionic quantum numbers. These regions are associated naturally with  $CP_2$  type vacuum extremals identifiable as correlates for elementary fermions if only fermion number  $\pm 1$  is allowed for the stable states. The question however arises about the identification of elementary bosons.

Wormhole contacts with two light-like wormhole throats carrying fermion and anti-fermion quantum numbers are the first thing that comes in mind. The wormhole contact connects two space-time sheets with induced metric having Minkowski signature. Wormhole contact itself has an Euclidian metric signature so that there are two wormhole throats which are light-like 3-surfaces and would carry fermion and anti-fermion number. In this case a delicate question is whether the space-time sheets connected by wormhole contacts have opposite time orientations or not. If this the case the two fermions would correspond to positive and negative energy particles.

I considered first the identification of only Higgs as a wormhole contact but there is no reason why this identification should not apply also to gauge bosons (certainly not to graviton). This identification would imply quite a dramatic simplification since the theory would be free at single parton level and the only stable parton states would be fermions and anti-fermions.

This picture allows to understand the difference between fermions and gauge bosons and Higgs particle. For fermions topological explanation of family replication predicts three fermionic generations [31] corresponding to handle numbers  $g = 0, 1, 2$  for the partonic 2-surface. In the case of gauge bosons and Higgs this replication is not visible. This could be due to the fact that gauge bosons form singlet and octet representation of the dynamical  $SU(3)$  group associated with the handle number  $g = 0, 1, 2$  since bosons correspond to pairs of handles. If octet representation is heavy the experimental absence of family replication for bosons can be understood.

### Graviton and other stringy states

Fermion and anti-fermion can give rise to only single unit of spin since it is impossible to assign angular momentum with the relative motion of wormhole throats. Hence the identification of graviton as single wormhole contact is not possible. The only conclusion is that graviton must be a superposition of fermion-anti-fermion pairs and boson-anti-boson pairs with coefficients determined by the coupling of the parton to graviton. Graviton-graviton pairs might emerge in higher orders. Fermion and anti-fermion would reside at the same space-time sheet and would have a non-vanishing relative angular momentum. Also bosons could have non-vanishing relative angular momentum and Higgs bosons must indeed possess it.

Gravitons are stable if the throats of wormhole contacts carry non-vanishing gauge fluxes so that the throats of wormhole contacts are connected by flux tubes carrying the gauge flux. The mechanism producing gravitons would be the splitting of partonic 2-surfaces via the basic vertex. A connection with string picture emerges with the counterpart of string identified as the flux tube connecting the wormhole throats. Gravitational constant would relate directly to the value of the string tension.

The development of the understanding of gravitational coupling has had many twists and it is perhaps to summarize the basic misunderstandings.

1.  $CP_2$  length scale  $R$ , which is roughly  $10^{3.5}$  times larger than Planck length  $l_P = \sqrt{\hbar G}$ , defines a fundamental length scale in TGD. The challenge is to predict the value of Planck length  $\sqrt{\hbar G}$ . The outcome was an identification of a formula for  $R^2/\hbar G$  predicting that the magnitude of Kähler coupling strength  $\alpha_K$  is near to fine structure constant in electron length scale (for ordinary value of Planck constant should be added here).
2. The emergence of the parton level formulation of TGD finally demonstrated that  $G$  actually appears in the fundamental parton level formulation of TGD as a fundamental constant characterizing the  $M^4$  part of  $CP_2$  Kähler gauge potential [31, 17]. This part is pure gauge in the sense of standard gauge theory but necessary to guarantee that the theory does not reduce to topological QFT. Quantum criticality requires that  $G$  remains invariant under p-adic coupling constant evolution and is therefore predictable in principle at least.
3. The TGD view about coupling constant evolution [27] predicts the proportionality  $G \propto L_p^2$ , where  $L_p$  is p-adic length scale. Together with input from p-adic mass calculations one ends up to two conclusions. The correct conclusion was that Kähler coupling strength is equal to the fine structure constant in the p-adic length scale associated with Mersenne prime  $p = M_{127} = 2^{127} - 1$  assignable to electron [27]. I have considered also the possibility that  $\alpha_K$  would be equal to electro-weak  $U(1)$  coupling in this scale.
4. The additional - wrong- conclusion was that gravitons must always correspond to the p-adic prime  $M_{127}$  since  $G$  would otherwise vary as function of p-adic length scale. As a matter fact, the question was for years whether it is  $G$  or  $g_K^2$  which remains invariant under p-adic coupling constant evolution. I found both options unsatisfactory until I realized that RG invariance is possible for both  $g_K^2$  and  $G$ ! The point is that the exponent of the Kähler action associated with the piece of  $CP_2$  type vacuum extremal assignable with the elementary particle is exponentially sensitive to the volume of this piece and logarithmic dependence on the volume fraction is enough to compensate the  $L_p^2 \propto p$  proportionality of  $G$  and thus guarantee the constancy of  $G$ .

The explanation for the small value of the gravitational coupling strength serves as a test for the proposed picture. The exchange of ordinary gauge boson involves the exchange of single  $CP_2$  type extremal giving the exponent of Kähler action compensated by state normalization. In the case of graviton exchange two wormhole contacts are exchanged and this gives second power for the exponent of Kähler action which is not compensated. It would be this additional exponent that would give rise to the huge reduction of gravitational coupling strength from the naive estimate  $G \sim L_p^2$ .

Gravitons are obviously not the only stringy states. For instance, one obtains spin 1 states when the ends of string correspond to gauge boson and Higgs. Also non-vanishing electro-weak and color quantum numbers are possible and stringy states couple to elementary partons via standard couplings in this case. TGD based model for nuclei as nuclear strings having length of order  $L(127)$  [21] suggests that the strings with light  $M_{127}$  quark and anti-quark at their ends identifiable as companions of the

ordinary graviton are responsible for the strong nuclear force instead of exchanges of ordinary mesons or color van der Waals forces.

Also the TGD based model of high  $T_c$  super-conductivity involves stringy states connecting the space-time sheets associated with the electrons of the exotic Cooper pair [37, 45]. Thus stringy states would play a key role in nuclear and condensed matter physics, which means a profound departure from stringy wisdom, and breakdown of the standard reductionistic picture.

### Spectrum of non-stringy states

The 1-throat character of fermions is consistent with the generation-genus correspondence. The 2-throat character of bosons predicts that bosons are characterized by the genera  $(g_1, g_2)$  of the wormhole throats. Note that the interpretation of fundamental fermions as wormhole contacts with second throat identified as a Fock vacuum is excluded.

The general bosonic wave-function would be expressible as a matrix  $M_{g_1, g_2}$  and ordinary gauge bosons would correspond to a diagonal matrix  $M_{g_1, g_2} = \delta_{g_1, g_2}$  as required by the absence of neutral flavor changing currents (say gluons transforming quark genera to each other). 8 new gauge bosons are predicted if one allows all  $3 \times 3$  matrices with complex entries orthonormalized with respect to trace meaning additional dynamical  $SU(3)$  symmetry. Ordinary gauge bosons would be  $SU(3)$  singlets in this sense. The existing bounds on flavor changing neutral currents give bounds on the masses of the boson octet. The 2-throat character of bosons should relate to the low value  $T = 1/n \ll 1$  for the p-adic temperature of gauge bosons as contrasted to  $T = 1$  for fermions.

If one forgets the complications due to the stringy states (including graviton), the spectrum of elementary fermions and bosons is amazingly simple and almost reduces to the spectrum of standard model. In the fermionic sector one would have fermions of standard model. By simple counting leptonic wormhole throat could carry  $2^3 = 8$  states corresponding to 2 polarization states, 2 charge states, and sign of lepton number giving  $8+8=16$  states altogether. Taking into account phase conjugates gives  $16+16=32$  states.

In the non-stringy boson sector one would have bound states of fermions and phase conjugate fermions. Since only two polarization states are allowed for massless states, one obtains  $(2 + 1) \times (3 + 1) = 12$  states plus phase conjugates giving  $12+12=24$  states. The addition of color singlet states for quarks gives 48 gauge bosons with vanishing fermion number and color quantum numbers. Besides 12 electro-weak bosons and their 12 phase conjugates there are 12 exotic bosons and their 12 phase conjugates. For the exotic bosons the couplings to quarks and leptons are determined by the orthogonality of the coupling matrices of ordinary and boson states. For exotic counterparts of  $W$  bosons and Higgs the sign of the coupling to quarks is opposite. For photon and  $Z^0$  also the relative magnitudes of the couplings to quarks must change. Altogether this makes  $48+16+16=80$  states. Gluons would result as color octet states. Family replication would extend each elementary boson state into  $SU(3)$  octet and singlet and elementary fermion states into  $SU(3)$  triplets.

### What about light-like boundaries and macroscopic wormhole contacts?

Light-like boundaries of the space-time sheet as also wormhole throats can have macroscopic size and can carry free many-fermion states but not elementary bosons. Number theoretic braids and anyons might be assignable to these structures. Deformations of cosmic strings to magnetic flux tubes with a light-like outer boundary are especially interesting in this respect.

If the ends of a string like object move with light velocity as implied by the usual stringy boundary conditions they indeed define light-like 3-surfaces. Many-fermion states could be assigned at the ends of string. One could also connect in pairwise manner the ends of two time-like strings having opposite time orientation using two space-like strings so that the analog of boson state consisting of two wormhole contacts and analogous to graviton would result. "Wormhole throats" could have arbitrarily long distance in  $M^4$ .

Wormhole contacts can be regarded as slightly deformed  $CP_2$  type extremals only if the size of  $M^4$  projection is not larger than  $CP_2$  size. The natural question is whether one can construct macroscopic wormhole contacts at all.

1. The throats of wormhole contacts cannot belong to vacuum extremals. One might however hope that small deformations of macroscopic vacuum extremals could yield non-vacuum wormhole contacts of macroscopic size.

2. A large class of macroscopic wormhole contacts which are vacuum extremals consists of surfaces of form  $X_1^2 \times X_2^2 \subset (M^1 \times Y^2) \times E^3$ , where  $Y^2$  is Lagrangian manifold of  $CP_2$  (induced Kähler form vanishes) and  $M^4 = M^1 \times E^3$  represents decomposition of  $M^1$  to time-like and space-like sub-spaces.  $X_2^2$  is a stationary surface of  $E^3$ . Both  $X_1^2 \subset M^1 \times CP_2$  and  $X_2^2$  have an Euclidian signature of metric except at light-like boundaries  $X_a^1 \times X_2^2$  and  $X_b^1 \times X_2^2$  defined by ends of  $X_1^2$  defining the throats of the wormhole contact.
3. This kind of vacuum extremals could define an extremely general class of macroscopic wormhole contacts as their deformations. These wormhole contacts describe an interaction of wormhole throats regarded as closed strings as is clear from the fact that  $X^2$  can be visualized as an analog of closed string world sheet  $X_1^2$  in  $M^1 \times Y^2$  describing a reaction leading from a state with a given number of incoming closed strings to a state with a given number of outgoing closed strings which correspond to wormhole throats at the two space-time sheets involved.

If one accepts the hierarchy of Planck constants [35] leading to the generalization of the notion of imbedding space, the identification of anyonic phases in terms of macroscopic light-like surfaces emerges naturally. In this kind of states large fermion numbers are possible. Dark matter would correspond to this kind of phases and "partonic" 2-surfaces could have even astrophysical size. Also black holes can be identified as dark matter at light-like 3-surfaces analogous to black hole horizons and possessing gigantic value of Planck constant [17].

### 5.3.2 New view about the role of Higgs boson in massivation

The proposed identifications challenge the standard model view about particle massivation.

1. The standard model inspired interpretation would be that Higgs vacuum expectation associated with the coherent state of neutral Higgs wormhole contacts generates gauge boson mass. Higgs could not however contribute to fermion mass since Higgs condensate cannot accompany fermionic space-time sheets. Fermionic mass would be solely to p-adic thermodynamics. This assumption is consistent with experimental facts but means asymmetry between fermions and bosons.
2. The alternative interpretation inspired by p-adic thermodynamics. Besides the thermodynamical contribution to the particle mass there can be a small contribution from the ground state conformal weight unless this weight is not negative integer. Gauge boson mass would correspond to the ground state conformal weight present in both fermionic and bosonic states and in the case of gauge bosons this contribution would dominate due to the small value of p-adic temperature. For fermions p-adic thermodynamics for super Virasoro algebra would give the dominating contribution to the mass. Higgs vacuum expectation value would be proportional to the square root of ground state conformal weight for the simple reason that it is the only natural dimensional parameter available. Therefore the causal relation between Higgs and massivation would have been misunderstood in standard model inspired framework. As will be found, the generalized eigen values of the modified Dirac operator having dimension of mass have a natural interpretation as square roots of ground state conformal weight and eigenvalues reflect directly the dynamics of Kähler action.
3. The remaining problem is to understand how the negative value of the ground state conformal weight emerges. This negative conformal weight compensated by the action of Super Virasoro generators is necessary for the success of p-adic mass calculations. Also this problem finds a natural solution. The generalized eigenvalues of the modified Dirac operator are purely imaginary if the effective metric associated with the modified Dirac operator has Euclidian signature. Ground state conformal would be negative and if it is not integer, an effective Higgs contribution to the mass squared is implied. For fermions the deviation from negative integer would be small. Hence p-adic thermodynamics is able to describe the massivation without the introduction of coupling to Higgs, which in TGD framework would be necessarily only a phenomenological description.

### 5.3.3 General mass formulas

In the following general view about p-adic mass formulas and related problems is discussed.

**Mass squared as a thermal expectation of super Kac-Moody conformal weight**

The general view about particle massivation is based on the generalized coset construction allowing to understand the p-adic thermal contribution to mass squared as a thermal expectation value of the conformal weight for super Kac-Moody Virasoro algebra (*SKMV*) or equivalently super-symplectic Virasoro algebra (*SSV*). Conformal invariance holds true only for the generators of the differences of *SKMV* and *SSV* generators. In the case of *SSV* and *SKMV* only the generators  $L_n, n > 0$ , annihilate the physical states. Obviously the actions of super-symplectic Virasoro (*SSV*) generators and Super Kac-Moody Virasoro generators on physical states are identical. The interpretation is in terms of Equivalence Principle. p-Adic mass expectation value is same irrespective of whether it is calculated for the excitations created by *SSV* or *SKMV* generators and p-adic mass calculations are consisted with super-conformal invariance.

1. Super-Kac Moody conformal weights must be negative for elementary fermions and this can be understood if the ground state conformal weight corresponds to the square of the imaginary eigenvalue of the modified Dirac operator having dimensions of mass. If the value of ground state conformal weight is not negative integer, a contribution to mass squared analogous to Higgs expectation is obtained.
2. Massless state is thermalized with respect to *SKMV* (or *SSV*) with thermal excitations created by generators  $L_n, n > 0$ .

**Under what conditions conformal weight is additive**

The question whether four- momentum or conformal weight is additive in p-adic mass calculations becomes acute in hadronic mass calculations. Only the detailed understanding of quantum TGD at partonic level allowed to understand the situation. One can consider three options.

1. Conformal weight and thus mass squared is additive only inside the regions of  $X_l^3$ , which correspond to non-vanishing of induced Kähler magnetic field since these behave effectively as separate 3-surfaces as far as eigenmodes of the modified Dirac operator are considered. The spectrum of the ground state conformal weights is indeed different for these regions in the general case. The four-momenta associated with different regions would be additive. This makes sense since the tangent space of  $X^4(X_l^3)$  contains at each point of  $X_l^3$  a subspace  $M^2(x) \subset M^4$  defining the plane of non-physical polarizations and the natural interpretation is that four-momentum is in this plane. Hence the problem of original mass calculations forcing to assign all partonic four-momenta to a fixed plane  $M^2$  is avoided.
2. If assigns independent translational degrees of freedom only to disjoint partonic 2-surfaces, a separate mass formula for each  $X_i^2$  would result and four-momenta would be additive:

$$M_i^2 = \sum_i L_{0i}(SKM) . \tag{5.3.1}$$

Here  $L_{0i}(SKM)$  contains a  $CP_2$  cm term giving the  $CP_2$  contribution to the mass squared known once the spinorial partial waves associated with super generators used to construct the state are known. Also vacuum conformal weight is included.

3. At the other extreme one has the option is based on the assignment of the mass squared with the total cm. This option looked the only reasonable one for 15 years ago. This would give

$$M^2 = \left(\sum_i p_i\right)^2 = \sum_i M_i^2 + 2\sum_{i \neq j} p_i \cdot p_j = -\sum_i L_{0i}(SKM) . \tag{5.3.2}$$

The additivity of mass squared is strong condition and p-adic mass calculations for hadrons suggest that it holds true for quarks of low lying hadrons. For this option the decomposition of the net four momentum to a sum of individual momenta can be regarded as subjective unless there is a manner to measure the individual masses.

### Mass formula for bound states of partons

The coefficient of proportionality between mass squared and conformal weight can be deduced from the observation that the mass squared values for  $CP_2$  Dirac operator correspond to definite values of conformal weight in p-adic mass calculations. It is indeed possible to assign to partonic 2-surface  $X^2$   $CP_2$  partial waves correlating strongly with the net electro-weak quantum numbers of the parton so that the assignment of ground state conformal weight to  $CP_2$  partial waves makes sense. In the case of  $M^4$  degrees of freedom it is not possible to talk about momentum eigen states since translations take parton out of  $\delta H_+$  so that momentum must be assigned with the tip of the light-cone containing the particle.

The additivity of conformal weight means additivity of mass squared at parton level and this has been indeed used in p-adic mass calculations. This implies the conditions

$$\left(\sum_i p_i\right)^2 = \sum_i m_i^2 \quad (5.3.3)$$

The assumption  $p_i^2 = m_i^2$  makes sense only for massless partons moving collinearly. In the QCD based model of hadrons only longitudinal momenta and transverse momentum squared are used as labels of parton states, which would suggest that one has

$$\begin{aligned} p_{i,||}^2 &= m_i^2, \\ -\sum_i p_{i,\perp}^2 + 2\sum_{i,j} p_i \cdot p_j &= 0. \end{aligned} \quad (5.3.4)$$

The masses would be reduced in bound states:  $m_i^2 \rightarrow m_i^2 - (p_T^2)_i$ . This could explain why massive quarks can behave as nearly massless quarks inside hadrons.

## 5.4 Von Neumann algebras and TGD

The work with TGD inspired model [35] for topological quantum computation [94] led to the realization that von Neumann algebras [45, 44, 36, 60], in particular so called hyper-finite factors of type  $II_1$  [57], seem to provide the mathematics needed to develop a more explicit view about the construction of S-matrix. In this chapter I will discuss various aspects of type  $II_1$  factors and their physical interpretation in TGD framework. The lecture notes of R. Longo [51] give a concise and readable summary about the basic definitions and results related to von Neumann algebras and I have used this material freely in this chapter.

### 5.4.1 Philosophical ideas behind von Neumann algebras

The goal of von Neumann was to generalize the algebra of quantum mechanical observables. The basic ideas behind the von Neumann algebra are dictated by physics. The algebra elements allow Hermitian conjugation  $*$  and observables correspond to Hermitian operators. Any measurable function  $f(A)$  of operator  $A$  belongs to the algebra and one can say that non-commutative measure theory is in question.

The predictions of quantum theory are expressible in terms of traces of observables. Density matrix defining expectations of observables in ensemble is the basic example. The highly non-trivial requirement of von Neumann was that identical a priori probabilities for a detection of states of infinite state system must make sense. Since quantum mechanical expectation values are expressible in terms of operator traces, this requires that unit operator has unit trace:  $tr(Id) = 1$ .

In the finite-dimensional case it is easy to build observables out of minimal projections to 1-dimensional eigen spaces of observables. For infinite-dimensional case the probably of projection to



1-dimensional sub-space vanishes if each state is equally probable. The notion of observable must thus be modified by excluding 1-dimensional minimal projections, and allow only projections for which the trace would be infinite using the straightforward generalization of the matrix algebra trace as the dimension of the projection.

The non-trivial implication of the fact that traces of projections are never larger than one is that the eigen spaces of the density matrix must be infinite-dimensional for non-vanishing projection probabilities. Quantum measurements can lead with a finite probability only to mixed states with a density matrix which is projection operator to infinite-dimensional subspace. The simple von Neumann algebras for which unit operator has unit trace are known as factors of type  $II_1$  [57].

The definitions adopted by von Neumann allow however more general algebras. Type  $I_n$  algebras correspond to finite-dimensional matrix algebras with finite traces whereas  $I_\infty$  associated with a separable infinite-dimensional Hilbert space does not allow bounded traces. For algebras of type  $III$  non-trivial traces are always infinite and the notion of trace becomes useless.

### 5.4.2 Von Neumann, Dirac, and Feynman

The association of algebras of type  $I$  with the standard quantum mechanics allowed to unify matrix mechanism with wave mechanics. Note however that the assumption about continuous momentum state basis is in conflict with separability but the particle-in-box idealization allows to circumvent this problem (the notion of space-time sheet brings the box in physics as something completely real).

Because of the finiteness of traces von Neumann regarded the factors of type  $II_1$  as fundamental and factors of type  $III$  as pathological. The highly pragmatic and successful approach of Dirac [18] based on the notion of delta function, plus the emergence of  $s$  [63], the possibility to formulate the notion of delta function rigorously in terms of distributions [66, 65], and the emergence of path integral approach [64] meant that von Neumann approach was forgotten by particle physicists.

Algebras of type  $II_1$  have emerged only much later in conformal and topological quantum field theories [53, 51] allowing to deduce invariants of knots, links and 3-manifolds. Also algebraic structures known as bi-algebras, Hopf algebras, and ribbon algebras [81, 82] relate closely to type  $II_1$  factors. In topological quantum computation [94] based on braid groups [81] modular S-matrices they play an especially important role.

In algebraic quantum field theory [97] defined in Minkowski space the algebras of observables associated with bounded space-time regions correspond quite generally to the type  $III_1$  hyper-finite factor [95, 96].

### 5.4.3 Factors of type $II_1$ and quantum TGD

For me personally the realization that TGD Universe is tailored for topological quantum computation [35] led also to the realization that hyper-finite (ideal for numerical approximations) von Neumann algebras of type  $II_1$  have a direct relevance for TGD.

The basic facts about hyper-finite von Neumann factors of type  $II_1$  suggest a more concrete view about the general mathematical framework needed.

1. The effective 2-dimensionality of the construction of quantum states and configuration space geometry in quantum TGD framework makes hyper-finite factors of type  $II_1$  very natural as operator algebras of the state space. Indeed, the generators of conformal algebras, the gamma matrices of the configuration space, and the modes of the induced spinor fields are labelled by discrete labels. Hence the tangent space of the configuration space is a separable Hilbert space and its Clifford algebra is a hyper-finite type  $II_1$  factor. Super-symmetry requires that the bosonic algebra generated by configuration space Hamiltonians and the Clifford algebra of configuration space both correspond to hyper-finite type  $II_1$  factors.
2. Four-momenta relate to the positions of tips of future and past directed light cones appearing naturally in the construction of S-matrix. In fact, configuration space of 3-surfaces can be regarded as union of big-bang/big crunch type configuration spaces obtained as a union of light-cones parameterized by the positions of their tips. The algebras of observables associated with bounded regions of  $M^4$  are hyper-finite and of type  $III_1$  in algebraic quantum field theory [95]. The algebras of observables in the space spanned by the tips of these light-cones are not needed

in the construction of S-matrix so that there are good hopes of avoiding infinities coming from infinite traces.

3. Many-sheeted space-time concept forces to refine the notion of sub-system. Jones inclusions  $\mathcal{N} \subset \mathcal{M}$  for factors of type  $II_1$  define in a generic manner to imbed interacting sub-systems to a universal  $II_1$  factor which now naturally corresponds to the infinite Clifford algebra of the tangent space of configuration space of 3-surfaces and contains interaction as  $\mathcal{M} : \mathcal{N}$ -dimensional analog of tensor factor. Topological condensation of space-time sheet to a larger space-time sheet, the formation of bound states by the generation of join along boundaries bonds, interaction vertices in which space-time surface branches like a line of Feynman diagram: all these situations might be described by Jones inclusion [44, 52] characterized by the Jones index  $\mathcal{M} : \mathcal{N}$  assigning to the inclusion also a minimal conformal field theory and quantum group in case of  $\mathcal{M} : \mathcal{N} < 4$  and conformal theory with  $k = 1$  Kac Moody for  $\mathcal{M} : \mathcal{N} = 4$  [98].
4. von Neumann's somewhat artificial idea about identical a priori probabilities for states could be replaced with the finiteness requirement of quantum theory. Indeed, it is traces which produce the infinities of quantum field theories. That  $\mathcal{M} : \mathcal{N} = 4$  option is not realized physically as quantum field theory (it would rather correspond to string model type theory characterized by a Kac-Moody algebra instead of quantum group), could correspond to the fact that dimensional regularization works only in  $D = 4 - \epsilon$ . Dimensional regularization with space-time dimension  $D = 4 - \epsilon \rightarrow 4$  could be interpreted as the limit  $\mathcal{M} : \mathcal{N} \rightarrow 4$ .  $\mathcal{M}$  as an  $\mathcal{M} : \mathcal{N}$ -dimensional  $\mathcal{N}$ -module would provide a concrete model for a quantum space with non-integral dimension as well as its Clifford algebra. An entire sequence of regularized theories corresponding to the allowed values of  $\mathcal{M} : \mathcal{N}$  would be predicted.

#### 5.4.4 Does quantum TGD emerge from local version of HFF?

There are reasons to hope that the entire quantum TGD emerges from a version of HFF made local with respect to  $D \leq 8$  dimensional space  $H$  whose Clifford algebra  $Cl(H)$  raised to an infinite tensor power defines the infinite-dimensional Clifford algebra. Bott periodicity meaning that Clifford algebras satisfy the periodicity  $Cl(n + k8) \equiv Cl(n) \otimes Cl(8k)$  is an essential notion here [33, 35]. The points  $m$  of  $M^k$  can be mapped to elements  $m^k \gamma_k$  of the finite-dimensional Clifford algebra  $Cl(H)$  appearing as an additional tensor factor in the localized version of the algebra.

The requirement that the local version of HFF is not isomorphic with HFF itself is highly non-trivial. The only manner to achieve non-triviality is to multiply the algebra with a non-associative tensor factor representing the space of hyper-octonions  $M^8$  identifiable as sub-space of complexified octonions with tangent space spanned by real unit and octonionic imaginary unit multiplied by commuting imaginary unit (for a good review about properties of octonions see [55]).

Space-times could be regarded equivalently as surfaces in  $M^8$  or in  $M^4 \times CP_2$  and the dynamics would reduce to associativity (hyper-quaternionicity) or co-associativity condition. It is rather remarkable that  $CP_2$  forced by the standard model symmetries has also a purely number theoretic interpretation as parameterizing hyper-quaternionic four-planes containing a preferred hyper-octonionic imaginary unit defining hyper-complex structure in  $M^8$ . Physically this choice corresponds to a choice of Cartan algebra of Poincare algebra for which the system is at rest so that a connection with quantum measurement theory is suggestive. Color group is identifiable as a subgroup of octonionic automorphism group  $G_2$  respecting this choice.

#### 5.4.5 Quantum measurement theory with finite measurement resolution

Jones inclusions  $\mathcal{N} \subset \mathcal{M}$  [44, 45] of these algebras lead to quantum measurement theory with a finite measurement resolution characterized by  $\mathcal{N}$  [33, 35]. Quantum Clifford algebra  $\mathcal{M}/\mathcal{N}$  interpreted as  $\mathcal{N}$ -module creates physical states modulo measurement resolution. Complex rays of the state space resulting in the ordinary state function reduction are replaced by  $\mathcal{N}$ -rays and the notions of unitarity, hermiticity, and eigenvalue generalize [35, 31].

Non-commutative physics would be interpreted in terms of a finite measurement resolution rather than something emerging below Planck length scale. An important implication is that a finite measurement sequence can never completely reduce quantum entanglement so that entire universe would necessarily be an organic whole.

At the level of conscious experience, the entanglement below measurement resolution would give rise to a pool of shared and fused mental images giving rise to "stereo consciousness" (say stereovision) [34] so that contents of consciousness would not be something completely private as usually believed. Also fuzzy logic emerges naturally since ordinary spinors are replaced by quantum spinors for which the discrete spectrum of the eigenvalues of the moduli of its spinor components can be interpreted as probabilities that corresponding belief is true is universal [33].

#### 5.4.6 Cognitive consciousness, quantum computations, and Jones inclusions

Large  $\hbar$  phases provide good hopes of realizing topological quantum computation. There is an additional new element. For quantum spinors state function reduction cannot be performed unless quantum deformation parameter equals to  $q = 1$ . The reason is that the components of quantum spinor do not commute: it is however possible to measure the commuting operators representing moduli squared of the components giving the probabilities associated with 'true' and 'false'. The universal eigenvalue spectrum for probabilities does not in general contain (1,0) so that quantum qbits are inherently fuzzy. State function reduction would occur only after a transition to  $q=1$  phase and decoherence is not a problem as long as it does not induce this transition.

#### 5.4.7 Fuzzy quantum logic and possible anomalies in the experimental data for the EPR-Bohm experiment

The experimental data for EPR-Bohm experiment [103] excluding hidden variable interpretations of quantum theory. What is less known that the experimental data indicates about possibility of an anomaly challenging quantum mechanics [102]. The obvious question is whether this anomaly might provide a test for the notion of fuzzy quantum logic inspired by the TGD based quantum measurement theory with finite measurement resolution.

The experimental situation involves emission of two photons from spin zero system so that photons have opposite spins. What is measured are polarizations of the two photons with respect to polarization axes which differ from standard choice of this axis by rotations around the axis of photon momentum characterized by angles  $\alpha$  and  $\beta$ . The probabilities for observing polarizations  $(i, j)$ , where  $i, j$  is taken  $Z_2$  valued variable for a convenience of notation are  $P_{ij}(\alpha, \beta)$ , are predicted to be  $P_{00} = P_{11} = \cos^2(\alpha - \beta)/2$  and  $P_{01} = P_{10} = \sin^2(\alpha - \beta)/2$ .

Consider now the discrepancies.

1. One has four identities  $P_{i,i} + P_{i,i+1} = P_{ii} + P_{i+1,i} = 1/2$  having interpretation in terms of probability conservation. Experimental data of [103] are not consistent with this prediction [104] and this is identified as the anomaly.
2. The QM prediction  $E(\alpha, \beta) = \sum_i (P_{i,i} - P_{i,i+1}) = \cos(2(\alpha - \beta))$  is not satisfied neither: the maxima for the magnitude of  $E$  are scaled down by a factor  $\simeq .9$ . This deviation is not discussed in [104].

Both these findings raise the possibility that QM might not be consistent with the data. It turns out that fuzzy quantum logic predicted by TGD and implying that the predictions for the probabilities and correlation must be replaced by ensemble averages, can explain anomaly 2) but not anomaly a). A "mundane" explanation for anomaly 1) can be imagined [33].

### 5.5 Hierarchy of Planck constants and the generalization of the notion of imbedding space

In the following the recent view about structure of imbedding space forced by the quantization of Planck constant is summarized. The question is whether it might be possible in some sense to replace  $H$  or its Cartesian factors by their necessarily singular multiple coverings and factor spaces. One can consider two options: either  $M^4$  or the causal diamond  $CD$ . The latter one is the more plausible option from the point of view of WCW geometry.

### 5.5.1 The evolution of physical ideas about hierarchy of Planck constants

The evolution of the physical ideas related to the hierarchy of Planck constants and dark matter as a hierarchy of phases of matter with non-standard value of Planck constants was much faster than the evolution of mathematical ideas and quite a number of applications have been developed during last five years.

1. The starting point was the proposal of Nottale [109] that the orbits of inner planets correspond to Bohr orbits with Planck constant  $\hbar_{gr} = GMm/v_0$  and outer planets with Planck constant  $\hbar_{gr} = 5GMm/v_0$ ,  $v_0/c \simeq 2^{-11}$ . The basic proposal [30, 38] was that ordinary matter condenses around dark matter which is a phase of matter characterized by a non-standard value of Planck constant whose value is gigantic for the space-time sheets mediating gravitational interaction. The interpretation of these space-time sheets could be as magnetic flux quanta or as massless extremals assignable to gravitons.
2. Ordinary particles possibly residing at these space-time sheet have enormous value of Compton length meaning that the density of matter at these space-time sheets must be very slowly varying. The string tension of string like objects implies effective negative pressure characterizing dark energy so that the interpretation in terms of dark energy might make sense [29]. TGD predicted a one-parameter family of Robertson-Walker cosmologies with critical or over-critical mass density and the "pressure" associated with these cosmologies is negative.
3. The quantization of Planck constant does not make sense unless one modifies the view about standard space-time is. Particles with different Planck constant must belong to different worlds in the sense local interactions of particles with different values of  $\hbar$  are not possible. This inspires the idea about the book like structure of the imbedding space obtained by gluing almost copies of  $H$  together along common "back" and partially labeled by different values of Planck constant.
4. Darkness is a relative notion in this framework and due to the fact that particles at different pages of the book like structure cannot appear in the same vertex of the generalized Feynman diagram. The phase transitions in which partonic 2-surface  $X^2$  during its travel along  $X_l^3$  leaks to another page of book are however possible and change Planck constant. Particle (say photon -) exchanges of this kind allow particles at different pages to interact. The interactions are strongly constrained by charge fractionization and are essentially phase transitions involving many particles. Classical interactions are also possible. It might be that we are actually observing dark matter via classical fields all the time and perhaps have even photographed it [35].
5. The realization that non-standard values of Planck constant give rise to charge and spin fractionization and anyonization led to the precise identification of the prerequisites of anyonic phase. If the partonic 2-surface, which can have even astrophysical size, surrounds the tip of  $CD$ , the matter at the surface is anyonic and particles are confined at this surface. Dark matter could be confined inside this kind of light-like 3-surfaces around which ordinary matter condenses. If the radii of the basic pieces of these nearly spherical anyonic surfaces - glued to a connected structure by flux tubes mediating gravitational interaction - are given by Bohr rules, the findings of Nottale [109] can be understood. Dark matter would resemble to a high degree matter in black holes replaced in TGD framework by light-like partonic 2-surfaces with a minimum size of order Schwarzschild radius  $r_S$  of order scaled up Planck length  $l_{Pl} = \sqrt{\hbar_{gr}G} = GM$ . Black hole entropy is inversely proportional to  $\hbar$  and predicted to be of order unity so that dramatic modification of the picture about black holes is implied.
6. Perhaps the most fascinating applications are in biology. The anomalous behavior ionic currents through cell membrane (low dissipation, quantal character, no change when the membrane is replaced with artificial one) has a natural explanation in terms of dark supra currents. This leads to a vision about how dark matter and phase transitions changing the value of Planck constant could relate to the basic functions of cell, functioning of DNA and aminoacids, and to the mysteries of bio-catalysis. This leads also a model for EEG interpreted as a communication and control tool of magnetic body containing dark matter and using biological body as motor instrument and sensory receptor. One especially amazing outcome is the emergence of genetic code of vertebrates from the model of dark nuclei as nuclear strings [25, 35].

### 5.5.2 The most general option for the generalized imbedding space

Simple physical arguments pose constraints on the choice of the most general form of the imbedding space.

1. The fundamental group of the space for which one constructs a non-singular covering space or factor space should be non-trivial. This is certainly not possible for  $M^4$ ,  $CD$ ,  $CP_2$ , or  $H$ . One can however construct singular covering spaces. The fixing of the quantization axes implies a selection of the sub-space  $H_4 = M^2 \times S^2 \subset M^4 \times CP_2$ , where  $S^2$  is geodesic sphere of  $CP_2$ .  $\hat{M}^4 = M^4 \setminus M^2$  and  $\hat{CP}_2 = CP_2 \setminus S^2$  have fundamental group  $Z$  since the codimension of the excluded sub-manifold is equal to two and homotopically the situation is like that for a punctured plane. The exclusion of these sub-manifolds defined by the choice of quantization axes could naturally give rise to the desired situation.
2.  $CP_2$  allows two geodesic spheres which left invariant by  $U(2)$  resp.  $SO(3)$ . The first one is homologically non-trivial. For homologically non-trivial geodesic sphere  $H_4 = M^2 \times S^2$  represents a straight cosmic string which is non-vacuum extremal of Kähler action (not necessarily preferred extremal). One can argue that the many-valuedness of  $\hbar$  is un-acceptable for non-vacuum extremals so that only homologically trivial geodesic sphere  $S^2$  would be acceptable. One could go even further. If the extremals in  $M^2 \times CP_2$  can be preferred non-vacuum extremals, the singular coverings of  $M^4$  are not possible. Therefore only the singular coverings and factor spaces of  $CP_2$  over the homologically trivial geodesic sphere  $S^2$  would be possible. This however looks a non-physical outcome.
  - (a) The situation changes if the extremals of type  $M^2 \times Y^2$ ,  $Y^2$  a holomorphic surface of  $CP_3$ , fail to be hyperquaternionic. The tangent space  $M^2$  represents hypercomplex sub-space and the product of the modified gamma matrices associated with the tangent spaces of  $Y^2$  should belong to  $M^2$  algebra. This need not be the case in general.
  - (b) The situation changes also if one reinterprets the gluing procedure by introducing scaled up coordinates for  $M^4$  so that metric is continuous at  $M^2 \times CP_2$  but  $CD$ s with different size have different sizes differing by the ratio of Planck constants and would thus have only piece of lower or upper boundary in common.
3. For the more general option one would have four different options corresponding to the Cartesian products of singular coverings and factor spaces. These options can be denoted by  $C-C$ ,  $C-F$ ,  $F-C$ , and  $F-F$ , where  $C$  ( $F$ ) signifies for covering (factor space) and first (second) letter signifies for  $CD$  ( $CP_2$ ) and correspond to the spaces  $(\hat{CD} \hat{\times} G_a) \times (\hat{CP}_2 \hat{\times} G_b)$ ,  $(\hat{CD} \hat{\times} G_a) \times \hat{CP}_2/G_b$ ,  $\hat{CD}/G_a \times (CP_2 \hat{\times} G_b)$ , and  $\hat{CD}/G_a \times \hat{CP}_2/G_b$ .
4. The groups  $G_i$  could correspond to cyclic groups  $Z_n$ . One can also consider an extension by replacing  $M^2$  and  $S^2$  with its orbit under more general group  $G$  (say tetrahedral, octahedral, or icosahedral group). One expects that the discrete subgroups of  $SU(2)$  emerge naturally in this framework if one allows the action of these groups on the singular sub-manifolds  $M^2$  or  $S^2$ . This would replace the singular manifold with a set of its rotated copies in the case that the subgroups have genuinely 3-dimensional action (the subgroups which corresponds to exceptional groups in the ADE correspondence). For instance, in the case of  $M^2$  the quantization axes for angular momentum would be replaced by the set of quantization axes going through the vertices of tetrahedron, octahedron, or icosahedron. This would bring non-commutative homotopy groups into the picture in a natural manner.

### 5.5.3 About the phase transitions changing Planck constant

There are several non-trivial questions related to the details of the gluing procedure and phase transition as motion of partonic 2-surface from one sector of the imbedding space to another one.

1. How the gluing of copies of imbedding space at  $M^2 \times CP_2$  takes place? It would seem that the covariant metric of  $CD$  factor proportional to  $\hbar^2$  must be discontinuous at the singular manifold since only in this manner the idea about different scaling factor of  $CD$  metric can make sense. On the other hand, one can always scale the  $M^4$  coordinates so that the metric is continuous but the sizes of  $CD$ s with different Planck constants differ by the ratio of the Planck constants.

2. One might worry whether the phase transition changing Planck constant means an instantaneous change of the size of partonic 2-surface in  $M^4$  degrees of freedom. This is not the case. Light-likeness in  $M^2 \times S^2$  makes sense only for surfaces  $X^1 \times D^2 \subset M^2 \times S^2$ , where  $X^1$  is light-like geodesic. The requirement that the partonic 2-surface  $X^2$  moving from one sector of  $H$  to another one is light-like at  $M^2 \times S^2$  irrespective of the value of Planck constant requires that  $X^2$  has single point of  $M^2$  as  $M^2$  projection. Hence no sudden change of the size  $X^2$  occurs.
3. A natural question is whether the phase transition changing the value of Planck constant can occur purely classically or whether it is analogous to quantum tunneling. Classical non-vacuum extremals of Chern-Simons action have two-dimensional  $CP_2$  projection to homologically non-trivial geodesic sphere  $S^2_I$ . The deformation of the entire  $S^2_I$  to homologically trivial geodesic sphere  $S^2_{II}$  is not possible so that only combinations of partonic 2-surfaces with vanishing total homology charge (Kähler magnetic charge) can in principle move from sector to another one, and this process involves fusion of these 2-surfaces such that  $CP_2$  projection becomes single homologically trivial 2-surface. A piece of a non-trivial geodesic sphere  $S^2_I$  of  $CP_2$  can be deformed to that of  $S^2_{II}$  using 2-dimensional homotopy flattening the piece of  $S^2$  to curve. If this homotopy cannot be chosen to be light-like, the phase transitions changing Planck constant take place only via quantum tunnelling. Obviously the notions of light-like homotopies (cobordisms) are very relevant for the understanding of phase transitions changing Planck constant.

### 5.5.4 How one could fix the spectrum of Planck constants?

The question how the observed Planck constant relates to the integers  $n_a$  and  $n_b$  defining the covering and factors spaces, is far from trivial and I have considered several options. The basic physical inputs are the condition that scaling of Planck constant must correspond to the scaling of the metric of  $CD$  (that is Compton lengths) on one hand and the scaling of the gauge coupling strength  $g^2/4\pi\hbar$  on the other hand.

1. One can assign to Planck constant to both  $CD$  and  $CP_2$  by assuming that it appears in the commutation relations of corresponding symmetry algebras. Algebraist would argue that Planck constants  $\hbar(CD)$  and  $\hbar(CP_2)$  must define a homomorphism respecting multiplication and division (when possible) by  $G_i$ . This requires  $r(X) = \hbar(X)\hbar_0 = n$  for covering and  $r(X) = 1/n$  for factor space or vice versa.
2. If one assumes that  $\hbar^2(X)$ ,  $X = M^4$ ,  $CP_2$  corresponds to the scaling of the covariant metric tensor  $g_{ij}$  and performs an over-all scaling of  $H$ -metric allowed by the Weyl invariance of Kähler action by dividing metric with  $\hbar^2(CP_2)$ , one obtains the scaling of  $M^4$  covariant metric by  $r^2 \equiv \hbar^2/\hbar_0^2 = \hbar^2(M^4)/\hbar^2(CP_2)$  whereas  $CP_2$  metric is not scaled at all.
3. The condition that  $\hbar$  scales as  $n_a$  is guaranteed if one has  $\hbar(CD) = n_a\hbar_0$ . This does not fix the dependence of  $\hbar(CP_2)$  on  $n_b$  and one could have  $\hbar(CP_2) = n_b\hbar_0$  or  $\hbar(CP_2) = \hbar_0/n_b$ . The intuitive picture is that  $n_b$ - fold covering gives in good approximation rise to  $n_a n_b$  sheets and multiplies YM action action by  $n_a n_b$  which is equivalent with the  $\hbar = n_a n_b \hbar_0$  if one effectively compresses the covering to  $CD \times CP_2$ . One would have  $\hbar(CP_2) = \hbar_0/n_b$  and  $\hbar = n_a n_b \hbar_0$ . Note that the descriptions using ordinary Planck constant and coverings and scaled Planck constant but contracting the covering would be alternative descriptions.

This gives the following formulas  $r \equiv \hbar/\hbar_0 = r(M^4)/r(CP_2)$  in various cases.

$$\begin{array}{cccccc}
 & C - C & F - C & C - F & F - F & \\
 \hline
 r & n_a n_b & \frac{n_a}{n_b} & \frac{n_b}{n_a} & \frac{1}{n_a n_b} & 
 \end{array}$$

### 5.5.5 Preferred values of Planck constants

Number theoretic considerations favor the hypothesis that the integers corresponding to Fermat polygons constructible using only ruler and compass and given as products  $n_F = 2^k \prod_s F_s$ , where  $F_s = 2^{2^s} + 1$  are distinct Fermat primes, are favored. The reason would be that quantum phase  $q = exp(i\pi/n)$  is in this case expressible using only iterated square root operation by starting from

rational numbers. The known Fermat primes correspond to  $s = 0, 1, 2, 3, 4$  so that the hypothesis is very strong and predicts that p-adic length scales have satellite length scales given as multiples of  $n_F$  of fundamental p-adic length scale.  $n_F = 2^{11}$  corresponds in TGD framework to a fundamental constant expressible as a combination of Kähler coupling strength,  $CP_2$  radius and Planck length appearing in the expression for the tension of cosmic strings, and the powers of  $2^{11}$  seem to be especially favored as values of  $n_a$  in living matter [37].

### 5.5.6 How Planck constants are visible in Kähler action?

$\hbar(M^4)$  and  $\hbar(CP_2)$  appear in the commutation and anticommutation relations of various superconformal algebras. Only the ratio of  $M^4$  and  $CP_2$  Planck constants appears in Kähler action and is due to the fact that the  $M^4$  and  $CP_2$  metrics of the imbedding space sector with given values of Planck constants are proportional to the corresponding Planck constants. This implies that Kähler function codes for radiative corrections to the classical action, which makes possible to consider the possibility that higher order radiative corrections to functional integral vanish as one might expect at quantum criticality. For a given p-adic length scale space-time sheets with all allowed values of Planck constants are possible. Hence the spectrum of quantum critical fluctuations could in the ideal case correspond to the spectrum of  $\hbar$  coding for the scaled up values of Compton lengths and other quantal lengths and times. If so, large  $\hbar$  phases could be crucial for understanding of quantum critical superconductors, in particular high  $T_c$  superconductors.

### 5.5.7 Could the dynamics of Kähler action predict the hierarchy of Planck constants?

The original justification for the hierarchy of Planck constants came from the indications that Planck constant could have large values in both astrophysical systems involving dark matter and also in biology. The realization of the hierarchy in terms of the singular coverings and possibly also factor spaces of  $CD$  and  $CP_2$  emerged from consistency conditions. The formula for the Planck constant involves heuristic guess work and physical plausibility arguments. There are good arguments in favor of the hypothesis that only coverings are possible. Only a finite number of pages of the Big Book correspond to a given value of Planck constant, biological evolution corresponds to a gradual dispersion to the pages of the Big Book with larger Planck constant, and a connection with the hierarchy of infinite primes and p-adicization program based on the mathematical realization of finite measurement resolution emerges.

One can however ask whether this hierarchy could emerge directly from the basic quantum TGD rather than as a separate hypothesis. The following arguments suggest that this might be possible. One finds also a precise geometric interpretation of preferred extremal property interpreted as criticality in zero energy ontology.

### 1-1 correspondence between canonical momentum densities and time derivatives fails for Kähler action

The basic motivation for the geometrization program was the observation that canonical quantization for TGD fails. To see what is involved let us try to perform a canonical quantization in zero energy ontology at the 3-D surfaces located at the light-like boundaries of  $CD \times CP_2$ .

1. In canonical quantization canonical momentum densities  $\pi_k^0 \equiv \pi_k = \partial L_K / \partial(\partial_0 h^k)$ , where  $\partial_0 h^k$  denotes the time derivative of imbedding space coordinate, are the physically natural quantities in terms of which to fix the initial values: once their value distribution is fixed also conserved charges are fixed. Also the weak form of electric-magnetic duality given by  $J^{03} \sqrt{g_4} = 4\pi\alpha_K J_{12}$  and a mild generalization of this condition to be discussed below can be interpreted as a manner to fix the values of conserved gauge charges (not Noether charges) to their quantized values since Kähler magnetic flux equals to the integer giving the homology class of the (wormhole) throat. This condition alone need not characterize criticality, which requires an infinite number of deformations of  $X^4$  for which the second variation of the Kähler action vanishes and implies infinite number conserved charges. This in fact gives hopes of replacing  $\pi_k$  with these conserved Noether charges.

2. Canonical quantization requires that  $\partial_0 h^k$  in the energy is expressed in terms of  $\pi_k$ . The equation defining  $\pi_k$  in terms of  $\partial_0 h^k$  is however highly non-linear although algebraic. By taking squares the equations reduces to equations for rational functions of  $\partial_0 h^k$ .  $\partial_0 h^k$  appears in contravariant and covariant metric at most quadratically and in the induced Kähler electric field linearly and by multiplying the equations by  $\det(g_4)^3$  one can transform the equations to a polynomial form so that in principle  $\partial_0 h^k$  can be obtained as a solution of polynomial equations.
3. One can always eliminate one half of the coordinates by choosing 4 imbedding space coordinates as the coordinates of the spacetime surface so that the initial value conditions reduce to those for the canonical momentum densities associated with the remaining four coordinates. For instance, for space-time surfaces representable as map  $M^4 \rightarrow CP_2$   $M^4$  coordinates are natural and the time derivatives  $\partial_0 s^k$  of  $CP_2$  coordinates are multivalued. One would obtain four polynomial equations with  $\partial_0 s^k$  as unknowns. In regions where  $CP_2$  projection is 4-dimensional -in particular for the deformations of  $CP_2$  vacuum extremals the natural coordinates are  $CP_2$  coordinates and one can regard  $\partial_0 m^k$  as unknowns. For the deformations of cosmic strings, which are of form  $X^4 = X^2 \times Y^2 \subset M^4 \times CP_2$ , one can use coordinates of  $M^2 \times S^2$ , where  $S^2$  is geodesic sphere as natural coordinates and regard as unknowns  $E^2$  coordinates and remaining  $CP_2$  coordinates.
4. One can imagine solving one of the four polynomials equations for time derivatives in terms of other obtaining  $N$  roots. Then one would substitute these roots to the remaining 3 conditions to obtain algebraic equations from which one solves then second variable. Obviously situation is very complex without additional symmetries. The criticality of the preferred extremals might however give additional conditions allowing simplifications. The reasons for giving up the canonical quantization program was following. For the vacuum extremals of Kähler action  $\pi_k$  are however identically vanishing and this means that there is an infinite number of value distributions for  $\partial_0 h^k$ . For small deformations of vacuum extremals one might however hope a finite number of solutions to the conditions and thus finite number of space-time surfaces carrying same conserved charges.

If one assumes that physics is characterized by the values of the conserved charges one must treat the the many-valuedness of  $\partial_0 h^k$ . The most obvious guess is that one should replace the space of space-like 4-surfaces corresponding to different roots  $\partial_0 h^k = F^k(\pi_l)$  with four-surfaces in the covering space of  $CD \times CP_2$  corresponding to different branches of the many-valued function  $\partial_0 h^k = F(\pi_l)$  co-inciding at the ends of  $CD$ .

**Do the coverings forced by the many-valuedness of  $\partial_0 h^k$  correspond to the coverings associated with the hierarchy of Planck constants?**

The obvious question is whether this covering space actually corresponds to the covering spaces associated with the hierarchy of Planck constants. This would conform with quantum classical correspondence. The hierarchy of Planck constants and hierarchy of covering spaces was introduced to cure the failure of the perturbation theory at quantum level. At classical level the multivaluedness of  $\partial_0 h^k$  means a failure of perturbative canonical quantization and forces the introduction of the covering spaces. The interpretation would be that when the density of matter becomes critical the space-time surface splits to several branches so that the density at each branches is sub-critical. It is of course not at all obvious whether the proposed structure of the Big Book is really consistent with this hypothesis and one also consider modifications of this structure if necessary. The manner to proceed is by making questions.

1. The proposed picture would give only single integer characterizing the covering. Two integers assignable to  $CD$  and  $CP_2$  degrees of freedom are however needed. How these two coverings could emerge?
  - (a) One should fix also the values of  $\pi_k^n = \partial L_K / \partial h_n^k$ , where  $n$  refers to space-like normal coordinate at the wormhole throats. If one requires that charges do not flow between regions with different signatures of the metric the natural condition is  $\pi_k^n = 0$  and allows also multi-valued solution. Since wormhole throats carry magnetic charge and since weak form of electric-magnetic duality is assumed, one can assume that  $CP_2$  projection is four-dimensional so that one can use  $CP_2$  coordinates and regard  $\partial_0 m^k$  as unknowns. The basic



idea about topological condensation in turn suggests that  $M^4$  projection can be assumed to be 4-D inside space-like 3-surfaces so that here  $\partial_0 s^k$  are the unknowns. At partonic 2-surfaces one would have conditions for both  $\pi_k^0$  and  $\pi_k^n$ . One might hope that the numbers of solutions are finite for preferred extremals because of their symmetries and given by  $n_a$  for  $\partial_0 m^k$  and by  $n_b$  for  $\partial_0 s^k$ . The optimistic guess is that  $n_a$  and  $n_b$  corresponds to the numbers of sheets for singular coverings of  $CD$  and  $CP_2$ . The covering could be visualized as replacement of space-time surfaces with space-time surfaces which have  $n_a n_b$  branches.  $n_b$  branches would degenerate to single branch at the ends of diagrams of the generated Feynman graph and  $n_a$  branches would degenerate to single one at wormhole throats.

- (b) This picture is not quite correct yet. The fixing of  $\pi_k^0$  and  $\pi_k^n$  should relate closely to the effective 2-dimensionality as an additional condition perhaps crucial for criticality. One could argue that both  $\pi_k^0$  and  $\pi_k^n$  must be fixed at  $X^3$  and  $X_l^3$  in order to effectively bring in dynamics in two directions so that  $X^3$  could be interpreted as a an orbit of partonic 2-surface in space-like direction and  $X_l^3$  as its orbit in light-like direction. The additional conditions could be seen as gauge conditions made possible by symplectic and Kac-Moody type conformal symmetries. The conditions for  $\pi_0^k$  would give  $n_b$  branches in  $CP_2$  degrees of freedom and the conditions for  $\pi_k^n$  would split each of these branches to  $n_a$  branches.
  - (c) The existence of these two kinds of conserved charges (possibly vanishing for  $\pi_k^n$ ) could relate also very closely to the slicing of the space-time sheets by string world sheets and partonic 2-surfaces.
2. Should one then treat these branches as separate space-time surfaces or as a single space-time surface? The treatment as a single surface seems to be the correct thing to do. Classically the conserved changes would be  $n_a n_b$  times larger than for single branch. Kähler action need not (but could!) be same for different branches but the total action is  $n_a n_b$  times the average action and this effectively corresponds to the replacement of the  $\hbar_0/g_K^2$  factor of the action with  $\hbar/g_K^2$ ,  $r \equiv \hbar/\hbar_0 = n_a n_b$ . Since the conserved quantum charges are proportional to  $\hbar$  one could argue that  $r = n_a n_b$  tells only that the charge conserved charge is  $n_a n_b$  times larger than without multi-valuedness.  $\hbar$  would be only effectively  $n_a n_b$  fold. This is of course poor man's argument but might catch something essential about the situation.
  3. How could one interpret the condition  $J^{03}\sqrt{g_4} = 4\pi\alpha_K J_{12}$  and its generalization to be discussed below in this framework? The first observation is that the total Kähler electric charge is by  $\alpha_K \propto 1/(n_a n_b)$  same always. The interpretation would be in terms of charge fractionization meaning that each branch would carry Kähler electric charge  $Q_K = ng_K/n_a n_b$ . I have indeed suggested explanation of charge fractionization and quantum Hall effect based on this picture.
  4. The vision about the hierarchy of Planck constants involves also assumptions about imbedding space metric. The assumption that the  $M^4$  covariant metric is proportional to  $\hbar^2$  follows from the physical idea about  $\hbar$  scaling of quantum lengths as what Compton length is. One can always introduce scaled  $M^4$  coordinates bringing  $M^4$  metric into the standard form by scaling up the  $M^4$  size of  $CD$ . It is not clear whether the scaling up of  $CD$  size follows automatically from the proposed scenario. The basic question is why the  $M^4$  size scale of the critical extremals must scale like  $n_a n_b$ ? This should somehow relate to the weak self-duality conditions implying that Kähler field at each branch is reduced by a factor  $1/r$  at each branch. Field equations should possess a dynamical symmetry involving the scaling of  $CD$  by integer  $k$  and  $J^{0\beta}\sqrt{g_4}$  and  $J^{n\beta}\sqrt{g_4}$  by  $1/k$ . The scaling of  $CD$  should be due to the scaling up of the  $M^4$  time interval during which the branched light-like 3-surface returns back to a non-branched one.
  5. The proposed view about hierarchy of Planck constants is that the singular coverings reduce to single-sheeted coverings at  $M^2 \subset M^4$  for  $CD$  and to  $S^2 \subset CP_2$  for  $CP_2$ . Here  $S^2$  is any homologically trivial geodesic sphere of  $CP_2$  and has vanishing Kähler form. Weak self-duality condition is indeed consistent with any value of  $\hbar$  and implies that the vacuum property for the partonic 2-surface implies vacuum property for the entire space-time sheet as holography indeed requires. This condition however generalizes. In weak self-duality conditions the value of  $\hbar$  is free for any 2-D Lagrangian sub-manifold of  $CP_2$ .

The branching along  $M^2$  would mean that the branches of preferred extremals always collapse to single branch when their  $M^4$  projection belongs to  $M^2$ . Magnetically charged light-light-like

throats cannot have  $M^4$  projection in  $M^2$  so that self-duality conditions for different values of  $\hbar$  do not lead to inconsistencies. For spacelike 3-surfaces at the boundaries of  $CD$  the condition would mean that the  $M^4$  projection becomes light-like geodesic. Straight cosmic strings would have  $M^2$  as  $M^4$  projection. Also  $CP_2$  type vacuum extremals for which the random light-like projection in  $M^4$  belongs to  $M^2$  would represent this of situation. One can ask whether the degeneration of branches actually takes place along any string like object  $X^2 \times Y^2$ , where  $X^2$  defines a minimal surface in  $M^4$ . For these the weak self-duality condition would imply  $\hbar = \infty$  at the ends of the string. It is very plausible that string like objects feed their magnetic fluxes to larger space-times sheets through wormhole contacts so that these conditions are not encountered.

### Connection with the criticality of preferred extremals

Also a connection with quantum criticality and the criticality of the preferred extremals suggests itself. Criticality for the preferred extremals must be a property of space-like 3-surfaces and light-like 3-surfaces with degenerate 4-metric and the degeneration of the  $n_a n_b$  branches of the space-time surface at the its ends and at wormhole throats is exactly what happens at criticality. For instance, in catastrophe theory roots of the polynomial equation giving extrema of a potential as function of control parameters co-incide at criticality. If this picture is correct the hierarchy of Planck constants would be an outcome of criticality and of preferred extremal property and preferred extremals would be just those multi-branched space-time surfaces for which branches co-incide at the the boundaries of  $CD \times CP_2$  and at the throats.

### 5.5.8 A simple model for fractional quantum Hall effect

The generalization of the imbedding space suggests that it could possible to understand fractional quantum Hall effect [106] at the level of basic quantum TGD as integer QHE for non-standard value of Planck constant.

The formula for the quantized Hall conductance is given by

$$\begin{aligned} \sigma &= \nu \times \frac{e^2}{h} , \\ \nu &= \frac{n}{m} . \end{aligned} \tag{5.5.1}$$

Series of fractions in  $\nu = 1/3, 2/5, 3/7, 4/9, 5/11, 6/13, 7/15\dots, 2/3, 3/5, 4/7, 5/9, 6/11, 7/13\dots, 5/3, 8/5, 11/7, 14/9\dots 4/3, 7/5, 10/7, 13/9\dots, 1/5, 2/9, 3/13\dots, 2/7, 3/11\dots, 1/7\dots$  with odd denominator have been observed as are also  $\nu = 1/2$  and  $\nu = 5/2$  states with even denominator [106].

The model of Laughlin [74] cannot explain all aspects of FQHE. The best existing model proposed originally by Jain is based on composite fermions resulting as bound states of electron and even number of magnetic flux quanta [73]. Electrons remain integer charged but due to the effective magnetic field electrons appear to have fractional charges. Composite fermion picture predicts all the observed fractions and also their relative intensities and the order in which they appear as the quality of sample improves.

Before proposing the TGD based model of FQHE as IQHE with non-standard value of Planck constant, it is good to represent a simple explanation of IQHE effect. Choose the coordinates of the current carrying slab so that  $x$  varies in the direction of Hall current and  $y$  in the direction of the main current. For IQHE the value of Hall conductivity is given by  $\sigma = j_y/E_x = n_e e v/vB = n_e e/B = Ne^2/hBS = Ne^2/mh$ , were  $m$  characterizes the value of magnetized flux and  $N$  is the total number of electrons in the current. In the Landau gauge  $A_y = xB$  one can assume that energy eigenstates are momentum eigenstates in the direction of current and harmonic oscillator Gaussians in  $x$ -direction in which Hall current runs. This gives

$$\Psi \propto \exp(iky) H_n(x + kl^2) \exp\left(-\frac{(x+kl^2)^2}{2l^2}\right) , \quad l^2 = \frac{\hbar}{eB} . \tag{5.5.2}$$

Only the states for which the oscillator Gaussian differs considerably from zero inside slab are important so that the momentum eigenvalues are in good approximation in the range  $0 \leq k \leq k_{max} = L_x/l^2$ .

Using  $N = (L_y/2\pi) \int_0^{k_{max}} dk$  one obtains that the total number of momentum eigenstates associated with the given value of  $n$  is  $N = eBdL_xL_y/h = n$ . If  $\nu$  Landau states are filled, the value of  $\sigma$  is  $\sigma = \nu e^2/h$ .

The interpretation of FQHE as IQHE with non standard value of Planck constant could explain also the fractionization of charge, spin, and electron number. There are  $2 \times 2 = 4$  combinations of covering and factor spaces of  $CP_2$  and three of them can lead to the increase or at least fractionization of the Planck constant required by FQHE.

1. The prediction for the filling fraction in FQHE would be

$$\nu = \nu_0 \frac{\hbar_0}{\hbar} \quad , \quad \nu_0 = 1, 2, \dots \quad . \quad (5.5.3)$$

$\nu_0$  denotes the number of filled Landau levels.

2. Let us denote the options as C-C, C-F, F-C, F-F, where the first (second) letter tells whether a singular covering or factor space of  $CD$  ( $CP_2$ ) is in question. The observed filling fractions are consistent with options C-C, C-F, and F-C for which  $CD$  or  $CP_2$  or both correspond to a singular covering space. The values of  $\nu$  in various cases are given by the following table.

<i>Option</i>	<i>C – C</i>	<i>C – F</i>	<i>F – C</i>
$\nu$	$\frac{\nu_0}{n_a n_b}$	$\frac{\nu_0 n_b}{n_a}$	$\frac{\nu_0 n_a}{n_b}$

(5.5.4)

There is a complete symmetry under the exchange of  $CD$  and  $CP_2$  as far as values of  $\nu$  are considered.

3. All three options are consistent with observations. Charge fractionization allows only the options  $C – C$  and  $F – C$ . If one believes the general arguments stating that also spin is fractionized in FQHE then only the option  $C – C$ , for which charge and spin units are equal to  $1/n_b$  and  $1/n_a$  respectively, remains. For  $C – C$  option one must allow  $\nu_0 > 1$ .
4. Both  $\nu = 1/2$  and  $\nu = 5/2$  state has been observed [106, 72]. The fractionized charge is believed to be  $e/4$  in the latter case [75, 76]. This requires  $n_b = 4$  allowing only  $(C, C)$  and  $(F, C)$  options.  $n_i \geq 3$  holds true if coverings and factor spaces are correlates for Jones inclusions and this gives additional constraint. The minimal values of  $(\nu_0, n_a, n_b)$  are  $(2, 1, 4)$  for  $\nu = 1/2$  and  $(10, 1, 4)$  for  $\nu = 5/2$  for both  $C – C$  and  $F – C$  option. Filling fraction  $1/2$  corresponds in the composite fermion model and also experimentally to the limit of zero magnetic field [73].  $n_b = 2$  would be inconsistent with the observed fractionization of electric charge for  $\nu = 5/2$  and with the vision inspired by Jones inclusions implying  $n_i \geq 3$ .
5. A possible problematic aspect of the TGD based model is the experimental absence of even values of  $m$  except  $m = 2$  (Laughlin’s model predicts only odd values of  $m$ ). A possible explanation is that by some symmetry condition possibly related to fermionic statistics (as in Laughlin model) both  $n_a$  and  $n_b$  must be odd. This would require that  $m = 2$  case differs in some manner from the remaining cases.
6. Large values of  $m$  in  $\nu = n/m$  emerge as  $B$  increases. This can be understood from flux quantization. One has  $e \int BdS = n\hbar$ . By using actual fractional charge  $e_F = e/n_b$  in the flux factor would give for  $(C, C)$  option  $e_F \int BdS = nn_a \hbar_0$ . The interpretation is that each of the  $n_b$  sheets contributes one unit to the flux for  $e$ . Note that the value of magnetic field at given sheet is not affected so that the build-up of multiple covering seems to keep magnetic field strength below critical value.

7. The understanding of the thermal stability is not trivial. The original FQHE was observed in 80 mK temperature corresponding roughly to a thermal energy of  $T \sim 10^{-5}$  eV. For graphene the effect is observed at room temperature. Cyclotron energy for electron is (from  $f_e = 6 \times 10^5$  Hz at  $B = .2$  Gauss) of order thermal energy at room temperature in a magnetic field varying in the range 1-10 Tesla. This raises the question why the original FQHE requires such a low temperature. A possible explanation is that since FQHE involves several values of Planck constant, it is quantum critical phenomenon and is characterized by a critical temperature. The differences of single particle energies associated with the phase with ordinary Planck constant and phases with different Planck constant would characterize the transition temperature.

## 5.6 Number theoretic compactification and $M^8 - H$ duality

This section summarizes the basic vision about number theoretic compactification reducing the classical dynamics to number theory. In strong form  $M^8 - H$  duality boils down to the assumption that space-time surfaces can be regarded either as surfaces of  $H$  or as surfaces of  $M^8$  composed of hyper-quaternionic and co-hyper-quaternionic regions identifiable as regions of space-time possessing Minkowskian *resp.* Euclidian signature of the induced metric.

### 5.6.1 Basic idea behind $M^8 - M^4 \times CP_2$ duality

The hopes of giving  $M^4 \times CP_2$  hyper-octonionic structure are meager. This circumstance forces to ask whether four-surfaces  $X^4 \subset M^8$  could under some conditions define 4-surfaces in  $M^4 \times CP_2$  indirectly so that the spontaneous compactification of super string models would correspond in TGD to two different manners to interpret the space-time surface. The following arguments suggest that this is indeed the case.

The hard mathematical fact behind number theoretical compactification is that the quaternionic sub-algebras of octonions with fixed complex structure (that is complex sub-space) are parameterized by  $CP_2$  just as the complex planes of quaternion space are parameterized by  $CP_1 = S^2$ . Same applies to hyper-quaternionic sub-spaces of hyper-octonions.  $SU(3)$  would thus have an interpretation as the isometry group of  $CP_2$ , as the automorphism sub-group of octonions, and as color group.

1. The space of complex structures of the octonion space is parameterized by  $S^6$ . The subgroup  $SU(3)$  of the full automorphism group  $G_2$  respects the a priori selected complex structure and thus leaves invariant one octonionic imaginary unit, call it  $e_1$ . Hyper-quaternions can be identified as  $U(2)$  Lie-algebra but it is obvious that hyper-octonions do not allow an identification as  $SU(3)$  Lie algebra. Rather, octonions decompose as  $1 \oplus 1 \oplus 3 \oplus \bar{3}$  to the irreducible representations of  $SU(3)$ .
2. Geometrically the choice of a preferred complex (quaternionic) structure means fixing of complex (quaternionic) sub-space of octonions. The fixing of a hyper-quaternionic structure of hyper-octonionic  $M^8$  means a selection of a fixed hyper-quaternionic sub-space  $M^4 \subset M^8$  implying the decomposition  $M^8 = M^4 \times E^4$ . If  $M^8$  is identified as the tangent space of  $H = M^4 \times CP_2$ , this decomposition results naturally. It is also possible to select a fixed hyper-complex structure, which means a further decomposition  $M^4 = M^2 \times E^2$ .
3. The basic result behind number theoretic compactification and  $M^8 - H$  duality is that hyper-quaternionic sub-spaces  $M^4 \subset M^8$  containing a fixed hyper-complex sub-space  $M^2 \subset M^4$  or its light-like line  $M_{\pm}$  are parameterized by  $CP_2$ . The choices of a fixed hyper-quaternionic basis  $1, e_1, e_2, e_3$  with a fixed complex sub-space (choice of  $e_1$ ) are labeled by  $U(2) \subset SU(3)$ . The choice of  $e_2$  and  $e_3$  amounts to fixing  $e_2 \pm \sqrt{-1}e_3$ , which selects the  $U(2) = SU(2) \times U(1)$  subgroup of  $SU(3)$ .  $U(1)$  leaves 1 invariant and induced a phase multiplication of  $e_1$  and  $e_2 \pm e_3$ .  $SU(2)$  induces rotations of the spinor having  $e_2$  and  $e_3$  components. Hence all possible completions of  $1, e_1$  by adding  $e_2, e_3$  doublet are labeled by  $SU(3)/U(2) = CP_2$ .
4. Space-time surface  $X^4 \subset M^8$  is by the standard definition hyper-quaternionic if the tangent spaces of  $X^4$  are hyper-quaternionic planes. Co-hyper-quaternionicity means the same for normal spaces. The presence of fixed hyper-complex structure means at space-time level that the tangent space of  $X^4$  contains fixed  $M^2$  at each point. Under this assumption one can map the points

$(m, e) \in M^8$  to points  $(m, s) \in H$  by assigning to the point  $(m, e)$  of  $X^4$  the point  $(m, s)$ , where  $s \in CP_2$  characterize  $T(X^4)$  as hyper-quaternionic plane. This definition is not the only one and even the appropriate one in TGD context the replacement of the tangent plane with the 4-D plane spanned by modified gamma matrices defined by Kähler action is a more natural choice. This plane is not parallel to tangent plane in general. In the sequel  $T(X^4)$  denotes the preferred 4-plane which co-incides with tangent plane of  $X^4$  only if the action defining modified gamma matrices is 4-volume.

5. The choice of  $M^2$  can be made also local in the sense that one has  $T(X^4) \supset M^2(x) \subset M^4 \subset H$ . It turns out that strong form of number theoretic compactification requires this kind of generalization. In this case one must be able to fix the convention how the point of  $CP_2$  is assigned to a hyper-quaternionic plane so that it applies to all possible choices of  $M^2 \subset M^4$ . Since  $SO(3)$  hyper-quaternionic rotation relates the hyper-quaternionic planes to each other, the natural assumption is hyper-quaternionic planes related by  $SO(3)$  rotation correspond to the same point of  $CP_2$ . Under this assumption it is possible to map hyper-quaternionic surfaces of  $M^8$  for which  $M^2 \subset M^4$  depends on point of  $X^4$  to  $H$ .

### 5.6.2 Hyper-octonionic Pauli "matrices" and modified definition of hyper-quaternionicity

Hyper-octonionic Pauli matrices suggest an interesting possibility to define precisely what hyper-quaternionicity means at space-time level (for background see [40]).

1. According to the standard definition space-time surface  $X^4$  is hyper-quaternionic if the tangent space at each point of  $X^4$  in  $X^4 \subset M^8$  picture is hyper-quaternionic. What raises worries is that this definition involves in no manner the action principle so that it is far from obvious that this identification is consistent with the vacuum degeneracy of Kähler action. It also unclear how one should formulate hyper-quaternionicity condition in  $X^4 \subset M^4 \times CP_2$  picture.
2. The idea is to map the modified gamma matrices  $\Gamma^\alpha = \frac{\partial L_K}{\partial h_\alpha^k} \Gamma^k$ ,  $\Gamma_k = e_k^A \gamma_A$ , to hyper-octonionic Pauli matrices  $\sigma^\alpha$  by replacing  $\gamma_A$  with hyper-octonion unit. Hyper-quaternionicity would state that the hyper-octonionic Pauli matrices  $\sigma^\alpha$  obtained in this manner span complexified quaternion sub-algebra at each point of space-time. These conditions would provide a number theoretic manner to select preferred extremals of Kähler action. Remarkably, this definition applies both in case of  $M^8$  and  $M^4 \times CP_2$ .
3. Modified Pauli matrices span the tangent space of  $X^4$  if the action is four-volume because one has  $\frac{\partial L_K}{\partial h_\alpha^k} = \sqrt{g} g^{\alpha\beta} \partial h_\beta^l h_{kl}$ . Modified gamma matrices reduce to ordinary induced gamma matrices in this case: 4-volume indeed defines a super-conformally symmetric action for ordinary gamma matrices since the mass term of the Dirac action given by the trace of the second fundamental form vanishes for minimal surfaces.
4. For Kähler action the hyper-quaternionic sub-space does not coincide with the tangent space since  $\frac{\partial L_K}{\partial h_\alpha^k}$  contains besides the gravitational contribution coming from the induced metric also the "Maxwell contribution" from the induced Kähler form not parallel to space-time surface. Modified gamma matrices are required by super conformal symmetry for the extremals of Kähler action and they also guarantee that vacuum extremals defined by surfaces in  $M^4 \times Y^2$ ,  $Y^2$  a Lagrange sub-manifold of  $CP_2$ , are trivially hyper-quaternionic surfaces. The modified definition of hyper-quaternionicity does not affect in any manner  $M^8 \leftrightarrow M^4 \times CP_2$  duality allowing purely number theoretic interpretation of standard model symmetries.

A side comment not strictly related to hyper-quaternionicity is in order. The anticommutators of the modified gamma matrices define an effective Riemann metric and one can assign to it the counterparts of Riemann connection, curvature tensor, geodesic line, volume, etc... One would have two different metrics associated with the space-time surface. Only if the action defining space-time surface is identified as the volume in the ordinary metric, these metrics are equivalent. The index raising for the effective metric could be defined also by the induced metric and it is not clear whether one can define Riemann connection also in this case. Could this effective metric have concrete physical

significance and play a deeper role in quantum TGD? For instance, AdS-CFT duality leads to ask whether interactions be coded in terms of the gravitation associated with the effective metric.

### 5.6.3 Minimal form of $M^8 - H$ duality

The basic problem in the construction of quantum TGD has been the identification of the preferred extremals of Kähler action playing a key role in the definition of the theory. The most elegant manner to do this is by fixing the 4-D tangent space  $T(X^4(X_l^3))$  of  $X^4(X_l^3)$  at each point of  $X_l^3$  so that the boundary value problem is well defined. What I called number theoretical compactification allows to achieve just this although I did not fully realize this in the original vision. The minimal picture is following.

1. The basic observations are following. Let  $M^8$  be endowed with hyper-octonionic structure. For hyper-quaternionic space-time surfaces in  $M^8$  tangent spaces are by definition hyper-quaternionic. If they contain a preferred plane  $M^2 \subset M^4 \subset M^8$  in their tangent space, they can be mapped to 4-surfaces in  $M^4 \times CP_2$ . The reason is that the hyper-quaternionic planes containing preferred the hyper-complex plane  $M^2$  of  $M_\pm \subset M^2$  are parameterized by points of  $CP_2$ . The map is simply  $(m, e) \rightarrow (m, s(m, e))$ , where  $m$  is point of  $M^4$ ,  $e$  is point of  $E^4$ , and  $s(m, 2)$  is point of  $CP_2$  representing the hyperquaternionic plane. The inverse map assigns to each point  $(m, s)$  in  $M^4 \times CP_2$  point  $m$  of  $M^4$ , undetermined point  $e$  of  $E^4$  and 4-D plane. The requirement that the distribution of planes containing the preferred  $M^2$  or  $M_\pm$  corresponds to a distribution of planes for 4-D surface is expected to fix the points  $e$ . The physical interpretation of  $M^2$  is in terms of plane of non-physical polarizations so that gauge conditions have purely number theoretical interpretation.
2. In principle, the condition that  $T(X^4)$  contains  $M^2$  can be replaced with a weaker condition that either of the two light-like vectors of  $M^2$  is contained in it since already this condition assigns to  $T(X^4)$   $M^2$  and the map  $H \rightarrow M^8$  becomes possible. Only this weaker form applies in the case of massless extremals [29] as will be found.
3. The original idea was that hyper-quaternionic 4-surfaces in  $M^8$  containing  $M^2 \subset M^4$  in their tangent space could correspond to preferred extremals of Kähler action. This condition does not seem to be consistent with what is known about the extremals of Kähler action. The weaker form of the hypothesis is that hyper-quaternionicity holds only for 4-D tangent spaces of  $X_l^3 \subset H = M^4 \times CP_2$  identified as wormhole throats or boundary components lifted to 3-surfaces in 8-D tangent space  $M^8$  of  $H$ . The minimal hypothesis would be that only  $T(X^4(X_l^3))$  at  $X_l^3$  is associative that is hyper-quaternionic for fixed  $M^2$ .  $X_l^3 \subset M^8$  and  $T(X^4(X_l^3))$  at  $X_l^3$  can be mapped to  $X_l^3 \subset H$  if tangent space contains also  $M_\pm \subset M^2$  or  $M^2 \subset M^4 \subset M^8$  itself having interpretation as preferred hyper-complex plane. This condition is not satisfied by all surfaces  $X_l^3$  as is clear from the fact that the inverse map involves local  $E^4$  translation. The requirements that the distribution of hyper-quaternionic planes containing  $M^2$  corresponds to a distribution of 4-D tangent planes should fix the  $E^4$  translation to a high degree.
4. A natural requirement is that the image of  $X_l^3 \subset H$  in  $M^8$  is light-like. The condition that the determinant of induced metric vanishes gives an additional condition reducing the number of free parameters by one. This condition cannot be formulated as a condition on  $CP_2$  coordinate characterizing the hyper-quaternionic plane. Since  $M^4$  projections are same for the two representations, this condition is satisfied if the contributions from  $CP_2$  and  $E^4$  and projections to the induced metric are identical:  $s_{kl}\partial_\alpha s^k\partial_\beta s^l = e_{kl}\partial_\alpha e^k\partial_\beta e^l$ . This condition means that only a subset of light-like surfaces of  $M^8$  are realized physically. One might argue that this is as it must be since the volume of  $E^4$  is infinite and that of  $CP_2$  finite: only an infinitesimal portion of all possible light-like 3-surfaces in  $M^8$  can have  $H$  counterparts. The conclusion would be that number theoretical compactification is 4-D isometry between  $X^4 \subset H$  and  $X^4 \subset M^8$  at  $X_l^3$ . This unproven conjecture is unavoidable.
5.  $M^2 \subset T(X^4(X_l^3))$  condition fixes  $T(X^4(X_l^3))$  in the generic case by extending the tangent space of  $X_l^3$ , and the construction of configuration space spinor structure fixes boundary conditions completely by additional conditions necessary when  $X_l^3$  corresponds to a light-like 3 surfaces defining wormhole throat at which the signature of induced metric changes. What is especially

beautiful that only the data in  $T(X^4(X_l^3))$  at  $X_l^3$  is needed to calculate the vacuum functional of the theory as Dirac determinant: the only remaining conjecture (strictly speaking un-necessary but realistic looking) is that this determinant gives exponent of Kähler action for the preferred extremal and there are excellent hopes for this by the structure of the basic construction.

The basic criticism relates to the condition that light-like 3-surfaces are mapped to light-like 3-surfaces guaranteed by the condition that  $M^8 - H$  duality is isometry at  $X_l^3$ .

#### 5.6.4 Strong form of $M^8 - H$ duality

The proposed picture is the minimal one. One can of course ask whether the original much stronger conjecture that the preferred extrema of Kähler action correspond to hyper-quaternionic surfaces could make sense in some form. One can also wonder whether one could allow the choice of the plane  $M^2$  of non-physical polarization to be local so that one would have  $M^2(x) \subset M^4 \subset M^4 \times E^4$ , where  $M^4$  is fixed hyper-quaternionic sub-space of  $M^8$  and identifiable as  $M^4$  factor of  $H$ .

1. If  $M^2$  is same for all points of  $X_l^3$ , the inverse map  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  is fixed apart from possible non-uniqueness related to the local translation in  $E^4$  from the condition that hyper-quaternionic planes represent light-like tangent 4-planes of light-like 3-surfaces. The question is whether not only  $X_l^3$  but entire four-surface  $X^4(X_l^3)$  could be mapped to the tangent space of  $M^8$ . By selecting suitably the local  $E^4$  translation one might hope of achieving the achieving this. The conjecture would be that the preferred extrema of Kähler action are those for which the distribution integrates to a distribution of tangent planes.
2. There is however a problem. What is known about extremals of Kähler action is not consistent with the assumption that fixed  $M^2$  of  $M_\pm \subset M^2$  is contained in the tangent space of  $X^4$ . This suggests that one should relax the condition that  $M^2 \subset M^4 \subset M^8$  is a fixed hyper-complex plane associated with the tangent space or normal space  $X^4$  and allow  $M^2$  to vary from point to point so that one would have  $M^2 = M^2(x)$ . In  $M^8 \rightarrow H$  direction the justification comes from the observation (to be discussed below) that it is possible to uniquely fix the convention assigning  $CP_2$  point to a hyper-quaternionic plane containing varying hyper-complex plane  $M^2(x) \subset M^4$ .  
Number theoretic compactification fixes naturally  $M^4 \subset M^8$  so that it applies to any  $M^2(x) \subset M^4$ . Under this condition the selection is parameterized by an element of  $SO(3)/SO(2) = S^2$ . Note that  $M^4$  projection of  $X^4$  would be at least 2-dimensional in hyper-quaternionic case. In co-hyper-quaternionic case  $E^4$  projection would be at least 2-D.  $SO(2)$  would act as a number theoretic gauge symmetry and the  $SO(3)$  valued chiral field would approach to constant at  $X_l^3$  invariant under global  $SO(2)$  in the case that one keeps the assumption that  $M^2$  is fixed ad  $X_l^3$ .
3. This picture requires a generalization of the map assigning to hyper-quaternionic plane a point of  $CP_2$  so that this map is defined for all possible choices of  $M^2 \subset M^4$ . Since the  $SO(3)$  rotation of the hyper-quaternionic unit defining  $M^2$  rotates different choices parameterized by  $S^2$  to each other, a natural assumption is that the hyper-quaternionic planes related by  $SO(3)$  rotation correspond to the same point of  $CP_2$ . Denoting by  $M^2$  the standard representative of  $M^2$ , this means that for the map  $M^8 \rightarrow H$  one must perform  $SO(3)$  rotation of hyper-quaternionic plane taking  $M^2(x)$  to  $M^2$  and map the rotated plane to  $CP_2$  point. In  $M^8 \rightarrow H$  case one must first map the point of  $CP_2$  to hyper-quaternionic plane and rotate this plane by a rotation taking  $M^2(x)$  to  $M^2$ .
4. In this framework local  $M^2$  can vary also at the surfaces  $X_l^3$ , which considerably relaxes the boundary conditions at wormhole throats and light-like boundaries and allows much more general variety of light-like 3-surfaces since the basic requirement is that  $M^4$  projection is at least 1-dimensional. The physical interpretation would be that a local choice of the plane of non-physical polarizations is possible everywhere in  $X^4(X_l^3)$ . This does not seem to be in any obvious conflict with physical intuition.

These observation provide support for the conjecture that (classical)  $S^2 = SO(3)/SO(2)$  conformal field theory might be relevant for (classical) TGD.

1. General coordinate invariance suggests that the theory should allow a formulation using any light-like 3-surface  $X^3$  inside  $X^4(X_l^3)$  besides  $X_l^3$  identified as union of wormhole throats and boundary components. For these surfaces the element  $g(x) \in SO(3)$  would vary also at partonic 2-surfaces  $X^2$  defined as intersections of  $\delta CD \times CP_2$  and  $X^3$  (here  $CD$  denotes causal diamond defined as intersection of future and past directed light-cones). Hence one could have  $S^2 = SO(3)/SO(2)$  conformal field theory at  $X^2$  (regarded as quantum fluctuating so that also  $g(x)$  varies) generalizing to WZW model for light-like surfaces  $X^3$ .
2. The presence of  $E^4$  factor would extend this theory to a classical  $E^4 \times S^2$  WZW model bringing in mind string model with 6-D Euclidian target space extended to a model of light-like 3-surfaces. A further extension to  $X^4$  would be needed to integrate the WZW models associated with 3-surfaces to a full 4-D description. General Coordinate Invariance however suggests that  $X_l^3$  description is enough for practical purposes.
3. The choices of  $M^2(x)$  in the interior of  $X_l^3$  is dictated by dynamics and the first optimistic conjecture is that a classical solution of  $SO(3)/SO(2)$  Wess-Zumino-Witten model obtained by coupling  $SO(3)$  valued field to a covariantly constant  $SO(2)$  gauge potential characterizes the choice of  $M^2(x)$  in the interior of  $M^8 \supset X^4(X_l^3) \subset H$  and thus also partially the structure of the preferred extremal. Second optimistic conjecture is that the Kähler action involving also  $E^4$  degrees of freedom allows to assign light-like 3-surface to light-like 3-surface.
4. The best that one can hope is that  $M^8 - H$  duality could allow to transform the extremely non-linear classical dynamics of TGD to a generalization of WZW-type model. The basic problem is to understand how to characterize the dynamics of  $CP_2$  projection at each point.

In  $H$  picture there are two basic types of vacuum extremals:  $CP_2$  type extremals representing elementary particles and vacuum extremals having  $CP_2$  projection which is at most 2-dimensional Lagrange manifold and representing say hadron. Vacuum extremals can appear only as limiting cases of preferred extremals which are non-vacuum extremals. Since vacuum extremals have so decisive role in TGD, it is natural to require that this notion makes sense also in  $M^8$  picture. In particular, the notion of vacuum extremal makes sense in  $M^8$ .

This requires that Kähler form exist in  $M^8$ .  $E^4$  indeed allows full  $S^2$  of covariantly constant Kähler forms representing quaternionic imaginary units so that one can identify Kähler form and construct Kähler action. The obvious conjecture is that hyper-quaternionic space-time surface is extremal of this Kähler action and that the values of Kähler actions in  $M^8$  and  $H$  are identical. The elegant manner to achieve this, as well as the mapping of vacuum extremals to vacuum extremals and the mapping of light-like 3-surfaces to light-like 3-surfaces is to assume that  $M^8 - H$  duality is Kähler isometry so that induced Kähler forms are identical.

This picture contains many speculative elements and some words of warning are in order.

1. Light-likeness conjecture would boil down to the hypothesis that  $M^8 - H$  correspondence is Kähler isometry so that the metric and Kähler form of  $X^4$  induced from  $M^8$  and  $H$  would be identical. This would guarantee also that Kähler actions for the preferred extremal are identical. This conjecture is beautiful but strong.
2. The slicing of  $X^4(X_l^3)$  by light-like 3-surfaces is very strong condition on the classical dynamics of Kähler action and does not make sense for pieces of  $CP_2$  type vacuum extremals.

### Minkowskian-Euclidian $\leftrightarrow$ associative-co-associative

The 8-dimensionality of  $M^8$  allows to consider both associativity (hyper-quaternionicity) of the tangent space and associativity of the normal space- let us call this co-associativity of tangent space- as alternative options. Both options are needed as has been already found. Since space-time surface decomposes into regions whose induced metric possesses either Minkowskian or Euclidian signature, there is a strong temptation to propose that Minkowskian regions correspond to associative and Euclidian regions to co-associative regions so that space-time itself would provide both the description and its dual.

The proposed interpretation of conjectured associative-co-associative duality relates in an interesting manner to p-adic length scale hypothesis selecting the primes  $p \simeq 2^k$ ,  $k$  positive integer as



preferred p-adic length scales.  $L_p \propto \sqrt{p}$  corresponds to the p-adic length scale defining the size of the space-time sheet at which elementary particle represented as  $CP_2$  type extremal is topologically condensed and is of order Compton length.  $L_k \propto \sqrt{k}$  represents the p-adic length scale of the worm-hole contacts associated with the  $CP_2$  type extremal and  $CP_2$  size is the natural length unit now. Obviously the quantitative formulation for associative-co-associative duality would be in terms  $p \rightarrow k$  duality.

### Are the known extremals of Kähler action consistent with the strong form of $M^8 - H$ duality

It is interesting to check whether the known extremals of Kähler action [29] are consistent with strong form of  $M^8 - H$  duality assuming that  $M^2$  or its light-like ray is contained in  $T(X^4)$  or normal space.

1.  $CP_2$  type vacuum extremals correspond cannot be hyper-quaternionic surfaces but co-hyper-quaternionicity is natural for them. In the same manner canonically imbedded  $M^4$  can be only hyper-quaternionic.
2. String like objects are associative since tangent space obviously contains  $M^2(x)$ . Objects of form  $M^1 \times X^3 \subset M^4 \times CP_2$  do not have  $M^2$  either in their tangent space or normal space in  $H$ . So that the map from  $H \rightarrow M^8$  is not well defined. There are no known extremals of Kähler action of this type. The replacement of  $M^1$  random light-like curve however gives vacuum extremal with vanishing volume, which need not mean physical triviality since fundamental objects of the theory are light-like 3-surfaces.
3. For canonically imbedded  $CP_2$  the assignment of  $M^2(x)$  to normal space is possible but the choice of  $M^2(x) \subset N(CP_2)$  is completely arbitrary. For a generic  $CP_2$  type vacuum extremals  $M^4$  projection is a random light-like curve in  $M^4 = M^1 \times E^3$  and  $M^2(x)$  can be defined uniquely by the normal vector  $n \in E^3$  for the local plane defined by the tangent vector  $dx^\mu/dt$  and acceleration vector  $d^2x^\mu/dt^2$  assignable to the orbit.
4. Consider next massless extremals. Let us fix the coordinates of  $X^4$  as  $(t, z, x, y) = (m^0, m^2, m^1, m^2)$ . For simplest massless extremals  $CP_2$  coordinates are arbitrary functions of variables  $u = k \cdot m = t - z$  and  $v = \epsilon \cdot m = x$ , where  $k = (1, 1, 0, 0)$  is light-like vector of  $M^4$  and  $\epsilon = (0, 0, 1, 0)$  a polarization vector orthogonal to it. Obviously, the extremals defines a decomposition  $M^4 = M^2 \times E^2$ . Tangent space is spanned by the four  $H$ -vectors  $\nabla_\alpha h^k$  with  $M^4$  part given by  $\nabla_\alpha m^k = \delta_\alpha^k$  and  $CP_2$  part by  $\nabla_\alpha s^k = \partial_u s^k k_\alpha + \partial_v s^k \epsilon_\alpha$ .

The normal space cannot contain  $M^4$  vectors since the  $M^4$  projection of the extremal is  $M^4$ . To realize hyper-quaternionic representation one should be able to from these vector two vectors of  $M^2$ , which means linear combinations of tangent vectors for which  $CP_2$  part vanishes. The vector  $\partial_t h^k - \partial_z h^k$  has vanishing  $CP_2$  part and corresponds to  $M^4$  vector  $(1, -1, 0, 0)$  fix assigns to each point the plane  $M^2$ . To obtain  $M^2$  one would need  $(1, 1, 0, 0)$  too but this is not possible. The vector  $\partial_y h^k$  is  $M^4$  vector orthogonal to  $\epsilon$  but  $M^2$  would require also  $(1, 0, 0, 0)$ . The proposed generalization of massless extremals allows the light-like line  $M_\pm$  to depend on point of  $M^4$  [29], and leads to the introduction of Hamilton-Jacobi coordinates involving a local decomposition of  $M^4$  to  $M^2(x)$  and its orthogonal complement with light-like coordinate lines having interpretation as curved light rays.  $M^2(x) \subset T(X^4)$  assumption fails fails also for vacuum extremals of form  $X^1 \times X^3 \subset M^4 \times CP_2$ , where  $X^1$  is light-like random curve. In the latter case, vacuum property follows from the vanishing of the determinant of the induced metric.

5. The deformations of string like objects to magnetic flux quanta are basic conjectural extremals of Kähler action and the proposed picture supports this conjecture. In hyper-quaternionic case the assumption that local 4-D plane of  $X^3$  defined by modified gamma matrices contains  $M^2(x)$  but that  $T(X^3)$  does not contain it, is very strong. It states that  $T(X^4)$  at each point can be regarded as a product  $M^2(x) \times T^2$ ,  $T^2 \subset T(CP_2)$ , so that hyper-quaternionic  $X^4$  would be a collection of Cartesian products of infinitesimal 2-D planes  $M^2(x) \subset M^4$  and  $T^2(x) \subset CP_2$ . The extremals in question could be seen as local variants of string like objects  $X^2 \times Y^2 \subset M^4 \times CP_2$ , where  $X^2$  is minimal surface and  $Y^2$  holomorphic surface of  $CP_2$ . One can say that  $X^2$  is replaced by a collection of infinitesimal pieces of  $M^2(x)$  and  $Y^2$  with similar pieces of homologically non-trivial

geodesic sphere  $S^2(x)$  of  $CP_2$ , and the Cartesian products of these pieces are glued together to form a continuous surface defining an extremal of Kähler action. Field equations would pose conditions on how  $M^2(x)$  and  $S^2(x)$  can depend on  $x$ . This description applies to magnetic flux quanta, which are the most important must-be extremals of Kähler action.

### Geometric interpretation of strong $M^8 - H$ duality

In the proposed framework  $M^8 - H$  duality would have a purely geometric meaning and there would be nothing magical in it.

1.  $X^4(X_i^3) \subset H$  could be seen as a curve representing the orbit of a light-like 3-surface defining a 4-D surface. The question is how to determine the notion of tangent vector for the orbit of  $X_i^3$ . Intuitively tangent vector is a one-dimensional arrow tangential to the curve at point  $X_i^3$ . The identification of the hyper-quaternionic surface  $X^4(X_i^3) \subset M^8$  as tangent vector conforms with this intuition.
2. One could argue that  $M^8$  representation of space-time surface is kind of chart of the real space-time surface obtained by replacing real curve by its tangent line. If so, one cannot avoid the question under which conditions this kind of chart is faithful. An alternative interpretation is that a representation making possible to realize number theoretical universality is in question.
3. An interesting question is whether  $X^4(X_i^3)$  as orbit of light-like 3-surface is analogous to a geodesic line -possibly light-like- so that its tangent vector would be parallel translated in the sense that  $X^4(X^3)$  for any light-like surface at the orbit is same as  $X^4(X_i^3)$ . This would give justification for the possibility to interpret space-time surfaces as a geodesic of configuration space: this is one of the first -and practically forgotten- speculations inspired by the construction of configuration space geometry. The light-likeness of the geodesic could correspond at the level of  $X^4$  the possibility to decompose the tangent space to a direct sum of two light-like spaces and 2-D transversal space producing the foliation of  $X^4$  to light-like 3-surfaces  $X_i^3$  along light-like curves.
4.  $M^8 - H$  duality would assign to  $X_i^3$  classical orbit and its tangent vector at  $X_i^3$  as a generalization of Bohr orbit. This picture differs from the wave particle duality of wave mechanics stating that once the position of particle is known its momentum is completely unknown. The outcome is however the same: for  $X_i^3$  corresponding to wormhole throats and light-like boundaries of  $X^4$ , canonical momentum densities in the normal direction vanish identically by conservation laws and one can say that the analog of  $(q, p)$  phase space as the space carrying wave functions is replaced with the analog of subspace consisting of points  $(q, 0)$ . The dual description in  $M^8$  would not be analogous to wave functions in momentum space but to those in the space of unique tangents of curves at their initial points.

### The Kähler and spinor structures of $M^8$

If one introduces  $M^8$  as dual of  $H$ , one cannot avoid the idea that hyper-quaternionic surfaces obtained as images of the preferred extremals of Kähler action in  $H$  are also extremals of  $M^8$  Kähler action with same value of Kähler action. As found, this leads to the conclusion that the  $M^8 - H$  duality is Kähler isometry. Coupling of spinors to Kähler potential is the next step and this in turn leads to the introduction of spinor structure so that quantum TGD in  $H$  should have full  $M^8$  dual.

There are strong physical constraints on  $M^8$  dual and they could kill the hypothesis. The basic constraint to the spinor structure of  $M^8$  is that it reproduces basic facts about electro-weak interactions. This includes neutral electro-weak couplings to quarks and leptons identified as different  $H$ -chiralities and parity breaking.

1. By the flatness of the metric of  $E^4$  its spinor connection is trivial.  $E^4$  however allows full  $S^2$  of covariantly constant Kähler forms so that one can accommodate free independent Abelian gauge fields assuming that the independent gauge fields are orthogonal to each other when interpreted as realizations of quaternionic imaginary units.

2. One should be able to distinguish between quarks and leptons also in  $M^8$ , which suggests that one introduce spinor structure and Kähler structure in  $E^4$ . The Kähler structure of  $E^4$  is unique apart from  $SO(3)$  rotation since all three quaternionic imaginary units and the unit vectors formed from them allow a representation as an antisymmetric tensor. Hence one must select one preferred Kähler structure, that is fix a point of  $S^2$  representing the selected imaginary unit. It is natural to assume different couplings of the Kähler gauge potential to spinor chiralities representing quarks and leptons: these couplings can be assumed to be same as in case of  $H$ .
3. Electro-weak gauge potential has vectorial and axial parts. One part is vectorial involving coupling to Kähler form and  $Z^0$  contains both axial and vector parts. The free Kähler forms could thus allow to produce  $M^8$  counterparts of these gauge potentials possessing same couplings as their  $H$  counterparts. This picture would produce parity breaking in  $M^8$  picture correctly.
4. Only the charged parts of classical electro-weak gauge fields would be absent. This would conform with the standard thinking that charged classical fields are not important. The predicted classical  $W$  fields is one of the basic distinctions between TGD and standard model and in this framework. A further prediction is that this distinction becomes visible only in situations, where  $H$  picture is necessary. This is the case at high energies, where the description of quarks in terms of  $SU(3)$  color is convenient whereas  $SO(4)$  QCD would require large number of  $E^4$  partial waves. At low energies large number of  $SU(3)$  color partial waves are needed and the convenient description would be in terms of  $SO(4)$  QCD. Proton spin crisis might relate to this.
5. Also super-symmetries of quantum TGD crucial for the construction of configuration space geometry force this picture. In the absence of coupling to Kähler gauge potential all constant spinor fields and their conjugates would generate super-symmetries so that  $M^8$  would allow  $N = 8$  super-symmetry. The introduction of the coupling to Kähler gauge potential in turn means that all covariantly constant spinor fields are lost. Only the representation of all three neutral parts of electro-weak gauge potentials in terms of three independent Kähler gauge potentials allows right-handed neutrino as the only super-symmetry generator as in the case of  $H$ .
6. The  $SO(3)$  element characterizing  $M^2(x)$  is fixed apart from a local  $SO(2)$  transformation, which suggests an additional  $U(1)$  gauge field associated with  $SO(2)$  gauge invariance and representable as Kähler form corresponding to a quaternionic unit of  $E^4$ . A possible identification of this gauge field would be as a part of electro-weak gauge field.

### $M^8$ dual of configuration space geometry and spinor structure?

If one introduces  $M^8$  spinor structure and preferred extremals of  $M^8$  Kähler action, one cannot avoid the question whether it is possible or useful to formulate the notion of configuration space geometry and spinor structure for light-like 3-surfaces in  $M^8$  using the exponent of Kähler action as vacuum functional.

1. The isometries of the configuration space in  $M^8$  and  $H$  formulations would correspond to symplectic transformation of  $\delta M_{\pm}^4 \times E^4$  and  $\delta M_{\pm}^4 \times CP_2$  and the Hamiltonians involved would belong to the representations of  $SO(4)$  and  $SU(3)$  with 2-dimensional Cartan sub-algebras. In  $H$  picture color group would be the familiar  $SU(3)$  but in  $M^8$  picture it would be  $SO(4)$ . Color confinement in both  $SU(3)$  and  $SO(4)$  sense could allow these two pictures without any inconsistency.
2. For  $M^4 \times CP_2$  the two spin states of covariantly constant right handed neutrino and antineutrino spinors generate super-symmetries. This super-symmetry plays an important role in the proposed construction of configuration space geometry. As found, this symmetry would be present also in  $M^8$  formulation so that the construction of  $M^8$  geometry should reduce more or less to the replacement of  $CP_2$  Hamiltonians in representations of  $SU(3)$  with  $E^4$  Hamiltonians in representations of  $SO(4)$ . These Hamiltonians can be taken to be proportional to functions of  $E^4$  radius which is  $SO(4)$  invariant and these functions bring in additional degree of freedom.
3. The construction of Dirac determinant identified as a vacuum functional can be done also in  $M^8$  picture and the conjecture is that the result is same as in the case of  $H$ . In this framework

the construction is much simpler due to the flatness of  $E^4$ . In particular, the generalized eigenmodes of the Dirac operator  $D_K(Y_l^3)$  restricted to the  $X_l^3$  correspond to a situation in which one has fermion in induced Maxwell field mimicking the neutral part of electro-weak gauge field in  $H$  as far as couplings are considered. Induced Kähler field would be same as in  $H$ . Eigenmodes are localized to regions inside which the Kähler magnetic field is non-vanishing and apart from the fact that the metric is the effective metric defined in terms of canonical momentum densities via the formula  $\hat{\Gamma}^\alpha = \partial L_K / \partial h_\alpha^k \Gamma_k$  for effective gamma matrices. This in fact, forces the localization of modes implying that their number is finite so that Dirac determinant is a product over finite number eigenvalues. It is clear that  $M^8$  picture could dramatically simplify the construction of configuration space geometry.

4. The eigenvalue spectra of the transversal parts of  $D_K$  operators in  $M^8$  and  $H$  should be identical. This motivates the question whether it is possible to achieve a complete correspondence between  $H$  and  $M^8$  pictures also at the level of spinor fields at  $X^3$  by performing a gauge transformation eliminating the classical  $W$  gauge boson field altogether at  $X_l^3$  and whether this allows to transform the modified Dirac equation in  $H$  to that in  $M^8$  when restricted to  $X_l^3$ . That something like this might be achieved is supported by the fact that in Coulombic gauge the component of gauge potential in the light-like direction vanishes so that the situation is effectively 2-dimensional and holonomy group is Abelian.

### Why $M^8 - H$ duality is useful?

Skeptic could of course argue that  $M^8 - H$  duality produces only an inflation of unproven conjectures. There are however strong reasons for  $M^8 - H$  duality: both theoretical and physical.

1. The map of  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  and corresponding map of space-time surfaces would allow to realize number theoretical universality.  $M^8 = M^4 \times E^4$  allows linear coordinates as natural coordinates in which one can say what it means that the point of imbedding space is rational/algebraic. The point of  $X^4 \subset H$  is algebraic if it is mapped to an algebraic point of  $M^8$  in number theoretic compactification. This of course restricts the symmetry groups to their rational/algebraic variants but this does not have practical meaning. Number theoretical compactification could in fact be motivated by the number theoretical universality.
2.  $M^8 - H$  duality could provide much simpler description of preferred extremals of Kähler action since the Kähler form in  $E^4$  has constant components. If the spinor connection in  $E^4$  is combination of the three Kähler forms mimicking neutral part of electro-weak gauge potential, the eigenvalue spectrum for the modified Dirac operator would correspond to that for a fermion in  $U(1)$  magnetic field defined by an Abelian magnetic field whereas in  $M^4 \times CP_2$  picture  $U(2)_{ew}$  magnetic fields would be present.
3.  $M^8 - H$  duality provides insights to low energy hadron physics.  $M^8$  description might work when  $H$ -description fails. For instance, perturbative QCD which corresponds to  $H$ -description fails at low energies whereas  $M^8$  description might become perturbative description at this limit. Strong  $SO(4) = SU(2)_L \times SU(2)_R$  invariance is the basic symmetry of the phenomenological low energy hadron models based on conserved vector current hypothesis (CVC) and partially conserved axial current hypothesis (PCAC). Strong  $SO(4) = SU(2)_L \times SU(2)_R$  relates closely also to electro-weak gauge group  $SU(2)_L \times U(1)$  and this connection is not well understood in QCD description.  $M^8 - H$  duality could provide this connection. Strong  $SO(4)$  symmetry would emerge as a low energy dual of the color symmetry. Orbital  $SO(4)$  would correspond to strong  $SU(2)_L \times SU(2)_R$  and by flatness of  $E^4$  spin like  $SO(4)$  would correspond to electro-weak group  $SU(2)_L \times U(1)_R \subset SO(4)$ . Note that the inclusion of coupling to Kähler gauge potential is necessary to achieve respectable spinor structure in  $CP_2$ . One could say that the orbital angular momentum in  $SO(4)$  corresponds to strong isospin and spin part of angular momentum to the weak isospin.

### 5.6.5 $M^8 - H$ duality and low energy hadron physics

The description of  $M^8 - H$  at the configuration space level can be applied to gain a view about color confinement and its dual for electro-weak interactions at short distance limit. The basic idea is that

$SO(4)$  and  $SU(3)$  provide provide dual descriptions of quark color using  $E^4$  and  $CP_2$  partial waves and low energy hadron physics corresponds to a situation in which  $M^8$  picture provides the perturbative approach whereas  $H$  picture works at high energies. The basic prediction is that  $SO(4)$  should appear as dynamical symmetry group of low energy hadron physics and this is indeed the case.

Consider color confinement at the long length scale limit in terms of  $M^8 - H$  duality.

1. At high energy limit only lowest color triplet color partial waves for quarks dominate so that QCD description becomes appropriate whereas very higher color partial waves for quarks and gluons are expected to appear at the confinement limit. Since configuration space degrees of freedom begin to dominate, color confinement limit transcends the descriptive power of QCD.
2. The success of  $SO(4)$  sigma model in the description of low lying hadrons would directly relate to the fact that this group labels also the  $E^4$  Hamiltonians in  $M^8$  picture. Strong  $SO(4)$  quantum numbers can be identified as orbital counterparts of right and left handed electro-weak isospin coinciding with strong isospin for lowest quarks. In sigma model pion and sigma boson form the components of  $E^4$  valued vector field or equivalently collection of four  $E^4$  Hamiltonians corresponding to spherical  $E^4$  coordinates. Pion corresponds to  $S^3$  valued unit vector field with charge states of pion identifiable as three Hamiltonians defined by the coordinate components. Sigma is mapped to the Hamiltonian defined by the  $E^4$  radial coordinate. Excited mesons corresponding to more complex Hamiltonians are predicted.
3. The generalization of sigma model would assign to quarks  $E^4$  partial waves belonging to the representations of  $SO(4)$ . The model would involve also 6  $SO(4)$  gluons and their  $SO(4)$  partial waves. At the low energy limit only lowest representations would be important whereas at higher energies higher partial waves would be excited and the description based on  $CP_2$  partial waves would become more appropriate.
4. The low energy quark model would rely on quarks moving  $SO(4)$  color partial waves. Left *resp.* right handed quarks could correspond to  $SU(2)_L$  *resp.*  $SU(2)_R$  triplets so that spin statistics problem would be solved in the same manner as in the standard quark model.
5. Family replication phenomenon is described in TGD framework the same manner in both cases so that quantum numbers like strangeness and charm are not fundamental. Indeed, p-adic mass calculations allowing fractally scaled up versions of various quarks allow to replace Gell-Mann mass formula with highly successful predictions for hadron masses [23].

To my opinion these observations are intriguing enough to motivate a concrete attempt to construct low energy hadron physics in terms of  $SO(4)$  gauge theory.

### 5.6.6 The notion of number theoretical braid

Braids -not necessary number theoretical- provide a realization discretization as a space-time correlate for the finite measurement resolution. The notion of braid was inspired by the idea about quantum TGD as almost topological quantum field theory. Although the original form of this idea has been buried, the notion of braid has survived: in the decomposition of space-time sheets to string world sheets, the ends of strings define representatives for braid strands at light-like 3-surfaces.

The notion of number theoretic universality inspired the much more restrictive notion of number theoretic braid requiring that the points in the intersection of the braid with the partonic 2-surface correspond to rational or at most algebraic points of  $H$  in preferred coordinates fixed by symmetry considerations. The challenge has been to find a unique identification of the number theoretic braid or at least of the end points of the braid. The following consideration suggest that the number theoretic braids are not a useful notion in the generic case but make sense and are needed in the intersection of real and p-adic worlds which is in crucial role in TGD based vision about living matter [49].

It is only the braiding that matters in topological quantum field theories used to classify braids. Hence braid should require only the fixing of the end points of the braids at the intersection of the braid at the light-like boundaries of  $CDs$  and the braiding equivalence class of the braid itself. Therefore it is enough is to specify the topology of the braid and the end points of the braid in accordance with the attribute "number theoretic". Of course, the condition that all points of the strand of the number theoretic braid are algebraic is impossible to satisfy.

The situation in which the equations defining  $X^2$  make sense both in real sense and p-adic sense using appropriate algebraic extension of p-adic number field is central in the TGD based vision about living matter [49]. The reason is that in this case the notion of number theoretic entropy having negative values makes sense and entanglement becomes information carrying. This motivates the identification of life as something in the intersection of real and p-adic worlds. In this situation the identification of the ends of the number theoretic braid as points belonging to the intersection of real and p-adic worlds is natural. These points -call them briefly algebraic points- belong to the algebraic extension of rationals needed to define the algebraic extension of p-adic numbers. This definition however makes sense also when the equations defining the partonic 2-surfaces fail to make sense in both real and p-adic sense. In the generic case the set of points satisfying the conditions is discrete. For instance, according to Fermat's theorem the set of rational points satisfying  $X^n + Y^n = Z^n$  reduces to the point  $(0, 0, 0)$  for  $n = 3, 4, \dots$ . Hence the constraint might be quite enough in the intersection of real and p-adic worlds where the choice of the algebraic extension is unique.

One can however criticize this proposal.

1. One must fix the the number of points of the braid and outside the intersection and the non-uniqueness of the algebraic extension makes the situation problematic. Physical intuition suggests that the points of braid define carriers of quantum numbers assignable to second quantized induced spinor fields so that the total number of fermions antifermions would define the number of braids. In the intersection the highly non-trivial implication is that this number cannot exceed the number of algebraic points.
2. In the generic case one expects that even the smallest deformation of the partonic 2-surface can change the number of algebraic points and also the character of the algebraic extension of rational numbers needed. The restriction to rational points is not expected to help in the generic case. If the notion of number theoretical braid is meant to be practical, must be able to decompose WCW to open sets inside which the numbers of algebraic points of braid at its ends are constant. For real topology this is expected to be impossible and it does not make sense to use p-adic topology for WCW whose points do not allow interpretation as p-adic partonic surfaces.
3. In the intersection of real and p-adic worlds which corresponds to a discrete subset of WCW, the situation is different. Since the coefficients of polynomials involved with the definition of the partonic 2-surface must be rational or at most algebraic, continuous deformations are not possible so that one avoids the problem.
4. This forces to ask the reason why for the number theoretic braids. In the generic case they seem to produce only troubles. In the intersection of real and p-adic worlds they could however allow the construction of the elements of  $M$ -matrix describing quantum transitions changing p-adic to real surfaces and vice versa as realizations of intentions and generation of cognitions. In this the case it is natural that only the data from the intersection of the two worlds are used. In [49] I have sketched the idea about number theoretic quantum field theory as a description of intentional action and cognition.

There is also the the problem of fixing the interior points of the braid modulo deformations not affecting the topology of the braid.

1. Infinite number of non-equivalent braidings are possible. Should one allow all possible braidings for a fixed light-like 3-surface and say that their existence is what makes the dynamics essentially three-dimensional even in the topological sense? In this case there would be no problems with the condition that the points at both ends of braid are algebraic.
2. Or should one try to characterize the braiding uniquely for a given partonic 2-surfaces and corresponding 4-D tangent space distributions? The slicing of the space-time sheet by partonic 2-surfaces and string world sheets suggests that the ends of string world sheets could define the braid strands in the generic context when there is no algebraicity condition involved. This could be taken as a very natural manner to fix the topology of braid but leave the freedom to choose the representative for the braid. In the intersection of real and p-adic worlds there is no good reason for the end points of strands in this case to be algebraic at both ends of the string world

sheet. One can however start from the braid defined by the end points of string world sheets, restrict the end points to be algebraic at the end with a smaller number of algebraic points and then perform a topologically non-trivial deformation of the braid so that also the points at the other end are algebraic? Non-trivial deformations need not be possible for all possible choices of algebraic braid points at the other end of braid and different choices of the set of algebraic points would give rise to different braidings. A further constraint is that only the algebraic points at which one has assign fermion or antifermion are used so that the number of braid points is not always maximal.

3. One can also ask whether one should perform the gauge fixing for the strands of the number theoretic braid using algebraic functions making sense both in real and p-adic context. This question does not seem terribly relevant since since it is only the topology of the braid that matters.

### 5.6.7 Connection with string model and Equivalence Principle at space-time level

Coset construction allows to generalize Equivalence Principle and understand it at quantum level. This is however not quite enough: a precise understanding of Equivalence Principle is required also at the classical level. Also the mechanism selecting via stationary phase approximation a preferred extremal of Kähler action providing a correlation between quantum numbers of the particle and geometry of the preferred extremals is still poorly understood.

#### Is stringy action principle coded by the geometry of preferred extremals?

It seems very difficult to deduce Equivalence Principle as an identity of gravitational and inertial masses identified as Noether charges associated with corresponding action principles. Since string model is an excellent theory of quantum gravitation, one can consider a less direct approach in which one tries to deduce a connection between classical TGD and string model and hope that the bridge from string model to General Relativity is easier to build. Number theoretical compactification gives good hopes that this kind of connection exists.

1. Number theoretic compactification implies that the preferred extremals of Kähler action have the property that one can assign to each point of  $M^4$  projection  $P_{M^4}(X^4(X_l^3))$  of the preferred extremal  $M^2(x)$  identified as the plane of non-physical polarizations and also as the plane in which local massless four-momentum lies.
2. If the distribution of the planes  $M^2(x)$  is integrable, one can slice  $P_{M^4}(X^4(X_l^3))$  to string world-sheets. The intersection of string world sheets with  $X^3 \subset \delta M_{\pm}^4 \times CP_2$  corresponds to a light-like curve having tangent in local tangent space  $M^2(x)$  at light-cone boundary. This is the first candidate for the definition of number theoretic braid. Second definition assumes  $M^2$  to be fixed at  $\delta CD$ : in this case the slicing is parameterized by the sphere  $S^2$  defined by the light rays of  $\delta M_{\pm}^4$ .
3. One can assign to the string world sheet -call it  $Y^2$  - the standard area action

$$S_G(Y^2) = \int_{Y^2} T \sqrt{g_2} d^2 y , \quad (5.6.1)$$

where  $g_2$  is either the induced metric or only its  $M^4$  part. The latter option looks more natural since  $M^4$  projection is considered.  $T$  is string tension.

4. The naivest guess would be  $T = 1/\hbar G$  apart from some numerical constant but one must be very cautious here since  $T = 1/L_p^2$  apart from a numerical constant is also a good candidate if one accepts the basic argument identifying  $G$  in terms of p-adic length  $L_p$  and Kähler action for two pieces of  $CP_2$  type vacuum extremals representing propagating graviton. The formula reads  $G = L_p^2 \exp(-2a S_K(CP_2))$ ,  $a \leq 1$  [35, 27]. The interaction strength which would be  $L_p^2$  without the presence of  $CP_2$  type vacuum extremals is reduced by the exponential factor coming from the exponent of Kähler function of configuration space.

5. One would have string model in either  $CD \times CP_2$  or  $CD \subset M^4$  with the constraint that stringy world sheet belongs to  $X^4(X_l^3)$ . For the extremals of  $S_G(Y^2)$  gravitational four-momentum defined as Noether charge is conserved. The extremal property of string world sheet need not however be consistent with the preferred extremal property. This constraint might bring in coupling of gravitons to matter. The natural guess is that graviton corresponds to a string connecting wormhole contacts. The strings could also represent formation of gravitational bound states when they connect wormhole contacts separated by a large distance. The energy of the string is roughly  $E \sim \hbar TL$  and for  $T = 1/\hbar G$  gives  $E \sim L/G$ . Macroscopic strings are not allowed except as models of black holes. The identification  $T \sim 1/L_p^2$  gives  $E \sim \hbar L/L_p^2$ , which does not favor long strings for large values of  $\hbar$ . The identification  $G_p = L_p^2/\hbar_0$  gives  $T = 1/\hbar G_p$  and  $E \sim \hbar_0 L/L_p^2$ , which makes sense and allows strings with length not much longer than p-adic length scale. Quantization - that is the presence of configuration space degrees of freedom - would bring in massless gravitons as deformations of string whereas strings would carry the gravitational mass.
6. The exponent  $\exp(iS_G)$  can appear as a phase factor in the definition of quantum states for preferred extremals.  $S_G$  is not however enough. One can assign also to the points of number theoretic braid action describing the interaction of a point like current  $Qdx^\mu/ds$  with induced gauge potentials  $A_\mu$ . The corresponding contribution to the action is

$$S_{braid} = \int_{braid} iTr(Q \frac{dx^\mu}{ds} A_\mu) dx . \quad (5.6.2)$$

In stationary phase approximation subject to the additional constraint that a preferred extremal of Kähler action is in question one obtains the desired correlation between the geometry of preferred extremal and the quantum numbers of elementary particle. This interaction term carries information only about the charges of elementary particle. It is quite possible that the interaction term is more complex: for instance, it could contain spin dependent terms (Stern-Gerlach experiment).

7. The constraint coming from preferred extremal property of Kähler action can be expressed in terms of Lagrange multipliers

$$S_c = \int_{Y^2} \lambda^k D_\alpha \left( \frac{\partial L_K}{\partial \alpha h^k} \right) \sqrt{g_2} d^2 y . \quad (5.6.3)$$

8. The action exponential reads as

$$\exp(iS_G + S_{braid} + S_c) . \quad (5.6.4)$$

The resulting field equations couple stringy  $M^4$  degrees of freedom to the second variation of Kähler action with respect to  $M^4$  coordinates and involve third derivatives of  $M^4$  coordinates at the right hand side. If the second variation of Kähler action with respect to  $M^4$  coordinates vanishes, free string results. This is trivially the case if a vacuum extremal of Kähler action is in question.

9. An interesting question is whether the preferred extremal property boils down to the condition that the second variation of Kähler action with respect to  $M^4$  coordinates or actually all coordinates vanishes so that gravitonic string is free. As a matter fact, the stronger condition is required that the Noether currents associated with the modified Dirac action are conserved. The physical interpretation would be in terms of quantum criticality which is the basic conjecture about the dynamics of quantum TGD. This is clear from the fact that in 1-D system criticality means that the potential  $V(x) = ax + bx^2 + ..$  has  $b = 0$ . In field theory criticality corresponds to



the vanishing of the term  $m^2\phi^2/2$  so that massless situation corresponds to massless theory and criticality and long range correlations. For more than one dynamical variable there is a hierarchy of criticalities corresponding to the gradual reduction of the rank of the matrix of the matrix defined by the second derivatives of  $V(x)$  and this gives rise to a classification of criticalities. Maximum criticality would correspond to the total vanishing of this matrix. In infinite-D case this hierarchy is infinite.

### What does the equality of gravitational and inertial masses mean?

Consider next the question in what form Equivalence Principle could be realized in this framework.

1. Coset construction inspires the conjecture that gravitational and inertial four-momenta are identical. Also some milder form of it would make sense. What is clear is that the construction of preferred extremal involving the distribution of  $M^2(x)$  implies that conserved four-momentum associated with Kähler action can be expressed formally as stringy four-momentum. The integral of the conserved inertial momentum current over  $X^3$  indeed reduces to an integral over the curve defining string as one integrates over other two degrees of freedom. It would not be surprising if a stringy expression for four-momentum would result but with string tension depending on the point of string and possibly also on the component of four-momentum. If the dependence of string tension on the point of string and on the choice of the stringy world sheet is slow, the interpretation could be in terms of coupling constant evolution associated with the stringy coordinates. An alternative interpretation is that string tension corresponds to a scalar field. A quite reasonable option is that for given  $X_l^3$   $T$  defines a scalar field and that the observed  $T$  corresponds to the average value of  $T$  over deformations of  $X_l^3$ .
2. The minimum option is that Kähler mass is equal to the sum gravitational masses assignable to strings connecting points of wormhole throat or two different wormhole throats. This hypothesis makes sense even for wormhole contacts having size of order Planck length.
3. The condition that gravitational mass equals to the inertial mass (rest energy) assigned to Kähler action is the most obvious condition that one can imagine. The breaking of Poincare invariance to Lorentz invariance with respect to the tip of  $CD$  supports this form of Equivalence Principle. This would predict the value of the ratio of the parameter  $R^2T$  and p-adic length scale hypothesis would allow only discrete values for this parameter.  $p \simeq 2^k$  following from the quantization of the temporal distance  $T(n)$  between the tips of  $CD$  as  $T(n) = 2^n T_0$  would suggest string tension  $T_n = 2^n R^2$  apart from a numerical factor.  $G_p \propto 2^n R^2 / \hbar_0$  would emerge as a prediction of the theory.  $G$  can be seen either as a prediction or RG invariant input parameter fixed by quantum criticality. The arguments related to p-adic coupling constant evolution suggest  $R^2 / \hbar_0 G = 3 \times 2^{23}$  [35, 27].
4. The scalar field property of string tension should be consistent with the vacuum degeneracy of Kähler action. For instance, for the vacuum extremals of Kähler action stringy action is non-vanishing. The simplest possibility is that one includes the integral of the scalar  $J^{\mu\nu} J_{\mu\nu}$  over the degrees transversal to  $M^2$  to the stringy action so that string tension vanishes for vacuum extremals. This would be nothing but dimensional reduction of 4-D theory to a 2-D theory using the slicing of  $X^4(X_l^3)$  to partonic 2-surfaces and stringy word sheets. For cosmic strings Kähler action reduces to stringy action with string tension  $T \propto 1/g_K^2 R^2$  apart from a numerical constant. If one wants consistency with  $T \propto 1/L_p^2$ , one must have  $T \propto 1/g_K^2 2^n R^2$  for the cosmic strings deformed to Kähler magnetic flux tubes. This looks rather plausible if the thickness of deformed string in  $M^4$  degrees of freedom is given by p-adic length scale.

## 5.7 Does modified Dirac action define the fundamental action principle?

Although quantum criticality in principle predicts the possible values of Kähler coupling strength, one might hope that there exists even more fundamental approach involving no coupling constants and predicting even quantum criticality and realizing quantum gravitational holography. The Dirac determinant associated with the modified Dirac action is an excellent candidate in this respect.

The original working hypothesis was that Dirac determinant defines the vacuum functional of the theory having interpretation as the exponent of Kähler function of world of classical worlds (WCW) expressible and that Kähler function reduces to Kähler action for a preferred extremal of Kähler action.

### 5.7.1 What are the basic equations of quantum TGD?

A good place to start is to ask what might the basic equations of quantum TGD. There are two kinds of equations at the level of space-time surfaces.

1. Purely classical equations define the dynamics of the space-time sheets as preferred extremals of Kähler action. Preferred extremals are quantum critical in the sense that second variation vanishes for critical deformations representing zero modes. This condition guarantees that corresponding fermionic currents are conserved. There is infinite hierarchy of these currents and they define fermionic counterparts for zero modes. Space-time sheets can be also regarded as hyper-quaternionic surfaces. What these statements precisely mean has become clear only during this year. A rigorous proof for the equivalence of these two identifications is still lacking.
2. The purely quantal equations are associated with the representations of various super-conformal algebras and with the modified Dirac equation. The requirement that there are deformations of the space-time surface -actually infinite number of them- giving rise to conserved fermionic charges implies quantum criticality at the level of Kähler action in the sense of critical deformations. The precise form of the modified Dirac equation is not however completely fixed without further input. Quantal equations involve also generalized Feynman rules for  $M$ -matrix generalizing  $S$ -matrix to a "complex square root" of density matrix and defined by time-like entanglement coefficients between positive and negative energy parts of zero energy states is certainly the basic goal of quantum TGD.
3. The notion of weak electric-magnetic duality generalizing the notion of electric-magnetic duality [18, 53] leads to a detailed understanding of how TGD reduces to almost topological quantum field theory [18, 53]. If Kähler current defines Beltrami flow [62] it is possible to find a gauge in which Coulomb contribution to Kähler action vanishes so that it reduces to Chern-Simons term. If light-like 3-surfaces and ends of space-time surface are extremals of Chern-Simons action also effective 2-dimensionality is realized. The condition that the theory reduces to almost topological QFT and the hydrodynamical character of field equations leads to a detailed ansatz for the general solution of field equations and also for the solutions of the modified Dirac equation relying on the notion of Beltrami flow for which the flow parameter associated with the flow lines defined by a conserved current extends to a global coordinate. This makes the theory in well-defined sense completely integrable. Direct connection with massless theories emerges: every conserved Beltrami currents corresponds to a pair of scalar functions with the first one satisfying massless d'Alembert equation in the induced metric. The orthogonality of the gradients of these functions allows interpretation in terms of polarization and momentum directions. The Beltrami flow property can be also seen as one aspect of quantum criticality since the conserved currents associated with critical deformations define this kind of pairs.
4. The hierarchy of Planck constants provides also a fresh view to the quantum criticality. The original justification for the hierarchy of Planck constants came from the indications that Planck constant could have large values in both astrophysical systems involving dark matter and also in biology. The realization of the hierarchy in terms of the singular coverings and possibly also factor spaces of  $CD$  and  $CP_2$  emerged from consistency conditions. It however seems that TGD actually predicts this hierarchy of covering spaces. The extreme non-linearity of the field equations defined by Kähler action means that the correspondence between canonical momentum densities and time derivatives of the imbedding space coordinates is 1-to-many. This leads naturally to the introduction of the covering space of  $CD \times CP_2$ , where  $CD$  denotes causal diamond defined as intersection of future and past directed light-cones.

At the level of WCW there is the generalization of the Dirac equation which can be regarded as a purely classical Dirac equation. The modified Dirac operators associated with quarks and leptons carry

fermion number but the Dirac equations are well-defined. An orthogonal basis of solutions of these Dirac operators define in zero energy ontology a basis of zero energy states. The  $M$ -matrices defining entanglement between positive and negative energy parts of the zero energy state define what can be regarded as analogs of thermal S-matrices. The  $M$ -matrices associated with the solution basis of the WCW Dirac equation define by their orthogonality unitary  $U$ -matrix between zero energy states. This matrix finds the proper interpretation in TGD inspired theory of consciousness. WCW Dirac equation as the analog of super-Virasoro conditions for the "gamma fields" of superstring models defining super counterparts of Virasoro generators was the main focus during earlier period of quantum TGD but has not received so much attention lately and will not be discussed in this chapter.

### 5.7.2 Quantum criticality and modified Dirac action

The precise mathematical formulation of quantum criticality has remained one of the basic challenges of quantum TGD. The question leading to a considerable progress in the problem was simple: Under what conditions the modified Dirac action allows to assign conserved fermionic currents with the deformations of the space-time surface? The answer was equally simple: These currents exist only if these deformations correspond to vanishing second variations of Kähler action - which is what criticality is. The vacuum degeneracy of Kähler action strongly suggests that the number of critical deformations is always infinite and that these deformations define an infinite inclusion hierarchy of super-conformal algebras. This inclusion hierarchy would correspond to a fractal hierarchy of breakings of super-conformal symmetry generalizing the symmetry breaking hierarchies of gauge theories. These super-conformal inclusion hierarchies would realize the inclusion hierarchies for hyper-finite factors of type  $II_1$ .

#### Quantum criticality and fermionic representation of conserved charges associated with second variations of Kähler action

It is rather obvious that TGD allows a huge generalizations of conformal symmetries. The development of the understanding of conservation laws has been slow. Modified Dirac action provides excellent candidates for quantum counterparts of Noether charges. Unfortunately, the isometry charges vanish for Cartan algebras. The only manner to obtain non-trivial isometry charges is to add a direct coupling to the charges in Cartan algebra as will be found later. This addition involves Chern-Simons Dirac action so that the original intuition guided by almost TQFT idea was not wrong after all.

1. *Conservation of the fermionic current requires the vanishing of the second variation of Kähler action*

1. The modified Dirac action assigns to a deformation of the space-time surface a conserved charge expressible as bilinears of fermionic oscillator operators only if the first variation of the modified Dirac action under this deformation vanishes. The vanishing of the first variation for the modified Dirac action is equivalent with the vanishing of the second variation for the Kähler action. This can be seen by the explicit calculation of the second variation of the modified Dirac action and by performing partial integration for the terms containing derivatives of  $\Psi$  and  $\bar{\Psi}$  to give a total divergence representing the difference of the charge at upper and lower boundaries of the causal diamond plus a four-dimensional integral of the divergence term defined as the integral of the quantity

$$\begin{aligned} \Delta S_D &= \bar{\Psi} \Gamma^k D_\alpha J_k^\alpha \Psi \ , \\ J_k^\alpha &= \frac{\partial^2 L_K}{\partial h_\alpha^k \partial h_\beta^l} \delta h_\beta^k + \frac{\partial^2 L_K}{\partial h_\alpha^k \partial h^l} \delta h^l \ . \end{aligned} \quad (5.7.1)$$

Here  $h_\beta^k$  denote partial derivative of the imbedding space coordinate with respect to space-time coordinates. This term must vanish:

$$D_\alpha J_k^\alpha = 0 \ .$$

The condition states the vanishing of the second variation of Kähler action. This can of course occur only for preferred deformations of  $X^4$ . One could consider the possibility that these deformations vanish at light-like 3-surfaces or at the boundaries of CD. Note that covariant divergence is in question so that  $J_k^\alpha$  does not define conserved classical charge in the general case.

2. It is essential that the modified Dirac equation holds true so that the modified Dirac action vanishes: this is needed to cancel the contribution to the second variation coming from the determinant of the induced metric. The condition that the modified Dirac equation is satisfied for the deformed space-time surface requires that also  $\Psi$  suffers a transformation determined by the deformation. This gives

$$\delta\Psi = -\frac{1}{D} \times \Gamma^k J_k^\alpha \Psi . \quad (5.7.2)$$

Here  $1/D$  is the inverse of the modified Dirac operator defining the counterpart of the fermionic propagator.

3. The fermionic conserved currents associated with the deformations are obtained from the standard conserved fermion current

$$J^\alpha = \bar{\Psi} \Gamma^\alpha \Psi . \quad (5.7.3)$$

Note that this current is conserved only if the space-time surface is extremal of Kähler action: this is also needed to guarantee Hermiticity and same form for the modified Dirac equation for  $\Psi$  and its conjugate as well as absence of mass term essential for super-conformal invariance [83, 70]. Note also that ordinary divergence rather only covariant divergence of the current vanishes.

The conserved currents are expressible as sums of three terms. The first term is obtained by replacing modified gamma matrices with their increments in the deformation keeping  $\Psi$  and its conjugate constant. Second term is obtained by replacing  $\Psi$  with its increment  $\delta\Psi$ . The third term is obtained by performing same operation for  $\delta\bar{\Psi}$ .

$$J^\alpha = \bar{\Psi} \Gamma^k J_k^\alpha \Psi + \bar{\Psi} \hat{\Gamma}^\alpha \delta\Psi + \delta\bar{\Psi} \hat{\Gamma}^\alpha \Psi . \quad (5.7.4)$$

These currents provide a representation for the algebra defined by the conserved charges analogous to a fermionic representation of Kac-Moody algebra [62].

4. Also conserved super charges corresponding to super-conformal invariance are obtained. The first class of super currents are obtained by replacing  $\Psi$  or  $\bar{\Psi}$  right-handed neutrino spinor or its conjugate in the expression for the conserved fermion current and performing the above procedure giving two terms since nothing happens to the covariantly constant right handed-neutrino spinor. Second class of conserved currents is defined by the solutions of the modified Dirac equation interpreted as c-number fields replacing  $\Psi$  or  $\bar{\Psi}$  and the same procedure gives three terms appearing in the super current.
5. The existence of vanishing of second variations is analogous to criticality in systems defined by a potential function for which the rank of the matrix defined by second derivatives of the potential function vanishes at criticality. Quantum criticality becomes the prerequisite for the existence of quantum theory since fermionic anti-commutation relations in principle can be fixed from the condition that the algebra in question is equivalent with the algebra formed by the vector fields defining the deformations of the space-time surface defining second variations. Quantum criticality in this sense would also select preferred extremals of Kähler action as analogs of Bohr orbits and the the spectrum of preferred extremals would be more or less equivalent with the expected existence of infinite-dimensional symmetry algebras.

2. *About the general structure of the algebra of conserved charges*

Some general comments about the structure of the algebra of conserved charges are in order.

1. Any Cartan algebra of the isometry group  $P \times SU(3)$  (there are two types of them for  $P$  corresponding to linear and cylindrical Minkowski coordinates) defines critical deformations (one could require that the isometries respect the geometry of  $CD$ ). The corresponding charges are conserved but vanish since the corresponding conjugate coordinates are cyclic for the Kähler metric and Kähler form so that the conserved current is proportional to the gradient of a Killing vector field which is constant in these coordinates. Therefore one cannot represent isometry charges as fermionic bilinears. Four-momentum and color quantum numbers are defined for Kähler action as classical conserved quantities but this is probably not enough. This can be seen as a problem.
  - (a) Four-momentum and color Cartan algebra emerge naturally in the representations of super-conformal algebras. In the case of color algebra the charges in the complement of the Cartan algebra can be constructed in standard manner as extension of those for the Cartan algebra using free field representation of Kac-Moody algebras. In string theories four-momentum appears linearly in bosonic Kac-Moody generators and in Sugawara construction [58] of super Virasoro generators as bilinears of bosonic Kac-Moody generators and fermionic super Kac-Moody generators [62]. Also now quantized transversal parts for  $M^4$  coordinates could define a second quantized field having interpretation as an operator acting on spinor fields of WCW. The angle coordinates conjugate to color isospin and hyper charge take the role of  $M^4$  coordinates in case of  $CP_2$ .
  - (b) Somehow one should be able to feed the information about the super-conformal representation of the isometry charges to the modified Dirac action by adding to it a term coupling fermionic current to the Cartan charges in general coordinate invariant and isometry invariant manner. As will be shown later, this is possible. The interpretation is as measurement interaction guaranteeing also the stringy character of the fermionic propagators. The values of the couplings involved are fixed by the condition of quantum criticality assumed in the sense that Kähler function of WCW suffers only a  $U(1)$  gauge transformation  $K \rightarrow K + f + \bar{f}$ , where  $f$  is a holomorphic function of WCW coordinates depending also on zero modes.
  - (c) The simplest addition involves the modified gamma matrices defined by a Chern-Simon term at the light-like wormhole throats and is sum of Chern-Simons Dirac action and corresponding coupling term linear in Cartan charges assignable to the partonic 2-surfaces at the ends of the throats. Hence the modified Dirac equation in the interior of the space-time sheet is not affected and nothing changes as far as quantum criticality in interior is considered.
2. The action defined by four-volume gives a first glimpse about what one can expect. In this case modified gamma matrices reduce to the induced gamma matrices. Second variations satisfy d'Alembert type equation in the induced metric so that the analogs of massless fields are in question. Mass term is present only if some dimensions are compact. The vanishing of excitations at light-like boundaries is a natural boundary condition and might well imply that the solution spectrum could be empty. Hence it is quite possible that four-volume action leads to a trivial theory.
3. For the vacuum extremals of Kähler action the situation is different. There exists an infinite number of second variations and the classical non-determinism suggests that deformations vanishing at the light-like boundaries exist. For the canonical imbedding of  $M^4$  the equation for second variations is trivially satisfied. If the  $CP_2$  projection of the vacuum extremal is one-dimensional, the second variation contains a non-vanishing term and an equation analogous to massless d'Alembert equation for the increments of  $CP_2$  coordinates is obtained. Also for the vacuum extremals of Kähler action with 2-D  $CP_2$  projection all terms involving induced Kähler form vanish and the field equations reduce to d'Alembert type equations for  $CP_2$  coordinates. A possible interpretation is as the classical analog of Higgs field. For the deformations of non-vacuum extremals this would suggest the presence of terms analogous to mass terms: these kind

of terms indeed appear and are proportional to  $\delta s^k$ .  $M^4$  degrees of freedom decouple completely and one obtains QFT type situation.

4. The physical expectation is that at least for the vacuum extremals the critical manifold is infinite-dimensional. The notion of finite measurement resolution suggests infinite hierarchies of inclusions of hyper-finite factors of type  $II_1$  possibly having interpretation in terms of inclusions of the super conformal algebras defined by the critical deformations.
5. The properties of Kähler action give support for this expectation. The critical manifold is infinite-dimensional in the case of vacuum extremals. Canonical imbedding of  $M^4$  would correspond to maximal criticality analogous to that encountered at the tip of the cusp catastrophe. The natural guess would be that as one deforms the vacuum extremal the previously critical degrees of freedom are transformed to non-critical ones. The dimension of the critical manifold could remain infinite for all preferred extremals of the Kähler action. For instance, for cosmic string like objects any complex manifold of  $CP_2$  defines cosmic string like objects so that there is a huge degeneracy is expected also now. For  $CP_2$  type vacuum extremals  $M^4$  projection is arbitrary light-like curve so that also now infinite degeneracy is expected for the deformations.

### 3. Critical super algebra and zero modes

The relationship of the critical super-algebra to configuration space geometry is interesting.

1. The vanishing of the second variation plus the identification of Kähler function as a Kähler action for preferred extremals means that the critical variations are orthogonal to all deformations of the space-time surface with respect to the configuration space metric and thus correspond to zero modes. This conforms with the fact that configuration space metric vanishes identically for canonically imbedded  $M^4$ . Zero modes do not seem to correspond to gauge degrees of freedom so that the super-conformal algebra associated with the zero modes has genuine physical content.
2. Since the action of  $X^4$  local Hamiltonians of  $\delta M^4 \times CP_2$  corresponds to the action in quantum fluctuating degrees of freedom, critical deformations cannot correspond to this kind of Hamiltonians.
3. The notion of finite measurement resolution suggests that the degrees of freedom which are below measurement resolution correspond to vanishing gauge charges. The sub-algebras of critical super-conformal algebra for which charges annihilate physical states could correspond to this kind of gauge algebras.
4. The conserved super charges associated with the vanishing second variations cannot give configuration space metric as their anti-commutator. This would also lead to a conflict with the effective 2-dimensionality stating that the configuration space line-element is expressible as sum of contribution coming from partonic 2-surfaces as also with fermionic anti-commutation relations.

### 4. Connection with quantum criticality

The vanishing of the second variation for some deformations means that the system is critical, in the recent case quantum critical. Basic example of criticality is bifurcation diagram for cusp catastrophe. For some mysterious reason I failed to realize that quantum criticality realized as the vanishing of the second variation makes possible a more or less unique identification of preferred extremals and considered alternative identifications such as absolute minimization of Kähler action which is just the opposite of criticality. Both the super-symmetry of  $D_K$  and conservation Dirac Noether currents for modified Dirac action have thus a connection with quantum criticality.

1. Finite-dimensional critical systems defined by a potential function  $V(x^1, x^2, \dots)$  are characterized by the matrix defined by the second derivatives of the potential function and the rank of system classifies the levels in the hierarchy of criticalities. Maximal criticality corresponds to the complete vanishing of this matrix. Thom's catastrophe theory classifies these hierarchies, when the numbers of behavior and control variables are small (smaller than 5). In the recent case the situation is infinite-dimensional and the criticality conditions give additional field equations as existence of vanishing second variations of Kähler action.

2. The vacuum degeneracy of Kähler action allows to expect that this kind infinite hierarchy of criticalities is realized. For a general vacuum extremal with at most 2-D  $CP_2$  projection the matrix defined by the second variation vanishes because  $J_{\alpha\beta} = 0$  vanishes and also the matrix  $(J_k^\alpha + J_k^\alpha)(J_l^\beta + J_l^\beta)$  vanishes by the antisymmetry  $J_k^\alpha = -J_k^\alpha$ . Recall that the formulation of Equivalence Principle in string picture demonstrated that the reduction of stringy dynamics to that for free strings requires that second variation with respect to  $M^4$  coordinates vanish. This condition would guarantee the conservation of fermionic Noether currents defining gravitational four-momentum and other Poincare quantum numbers but not those for gravitational color quantum numbers. Encouragingly, the action of  $CP_2$  type vacuum extremals having random light-like curve as  $M^4$  projection have vanishing second variation with respect to  $M^4$  coordinates (this follows from the vanishing of Kähler energy momentum tensor, second fundamental form, and Kähler gauge current). In this case however the momentum is vanishing.
3. Conserved bosonic and fermionic Noether charges would characterize quantum criticality. In particular, the isometries of the imbedding space define conserved currents represented in terms of the fermionic oscillator operators if the second variations defined by the infinitesimal isometries vanish for the modified Dirac action. For vacuum extremals the dimension of the critical manifold is infinite: maybe there is hierarchy of quantum criticalities for which this dimension decreases step by step but remains always infinite. This hierarchy could closely relate to the hierarchy of inclusions of hyper-finite factors of type  $II_1$ . Also the conserved charges associated with Super-symplectic and Super Kac-Moody algebras would require infinite-dimensional critical manifold defined by the spectrum of second variations.
4. Phase transitions are characterized by the symmetries of the phases involved with the transitions, and it is natural to expect that dynamical symmetries characterize the hierarchy of quantum criticalities. The notion of finite quantum measurement resolution based on the hierarchy of Jones inclusions indeed suggests the existence of a hierarchy of dynamical gauge symmetries characterized by gauge groups in ADE hierarchy [35] with degrees of freedom below the measurement resolution identified as gauge degrees of freedom.
5. A breakthrough in understanding of the criticality was the discovery that the realization that the hierarchy of singular coverings of  $CD \times CP_2$  needed to realize the hierarchy of Planck constants could correspond directly to a similar hierarchy of coverings forced by the factor that classical canonical momentum densities correspond to several values of the time derivatives of the imbedding space coordinates led to a considerable progress if the understanding of the relationship between criticality and hierarchy of Planck constants [17, 39]. Therefore the problem which led to the geometrization program of quantum TGD, also allowed to reduce the hierarchy of Planck constants introduced on basis of experimental evidence to the basic quantum TGD. One can say that the 3-surfaces at the ends of  $CD$  *resp.* wormhole throats are critical in the sense that they are unstable against splitting to  $n_b$  *resp.*  $n_a$  surfaces so that one obtains space-time surfaces which can be regarded as surfaces in  $n_a \times n_b$  fold covering of  $CD \times CP_2$ . This allows to understand why Planck constant is effectively replaced with  $n_a n_b \hbar_0$  and explains charge fractionization.

#### Preferred extremal property as classical correlate for quantum criticality, holography, and quantum classical correspondence

The Noether currents assignable to the modified Dirac equation are conserved only if the first variation of the modified Dirac operator  $D_K$  defined by Kähler action vanishes. This is equivalent with the vanishing of the second variation of Kähler action -at least for the variations corresponding to dynamical symmetries having interpretation as dynamical degrees of freedom which are below measurement resolution and therefore effectively gauge symmetries.

The vanishing of the second variation in interior of  $X^4(X_l^3)$  is what corresponds exactly to quantum criticality so that the basic vision about quantum dynamics of quantum TGD would lead directly to a precise identification of the preferred extremals. Something which I should have noticed for more than decade ago! The question whether these extremals correspond to absolute minima remains however open.

The vanishing of second variations of preferred extremals -at least for deformations representing dynamical symmetries, suggests a generalization of catastrophe theory of Thom, where the rank of the matrix defined by the second derivatives of potential function defines a hierarchy of criticalities with the tip of bifurcation set of the catastrophe representing the complete vanishing of this matrix. In the recent case this theory would be generalized to infinite-dimensional context. There are three kind of variables now but quantum classical correspondence (holography) allows to reduce the types of variables to two.

1. The variations of  $X^4(X_l^3)$  vanishing at the intersections of  $X^4(X_l^3)$  with the light-like boundaries of causal diamonds  $CD$  would represent behavior variables. At least the vacuum extremals of Kähler action would represent extremals for which the second variation vanishes identically (the "tip" of the multi-furcation set).
2. The zero modes of Kähler function would define the control variables interpreted as classical degrees of freedom necessary in quantum measurement theory. By effective 2-dimensionality (or holography or quantum classical correspondence) meaning that the configuration space metric is determined by the data coming from partonic 2-surfaces  $X^2$  at intersections of  $X_l^3$  with boundaries of  $CD$ , the interiors of 3-surfaces  $X^3$  at the boundaries of  $CD$ s in rough sense correspond to zero modes so that there is indeed huge number of them. Also the variables characterizing 2-surface, which cannot be complexified and thus cannot contribute to the Kähler metric of configuration space represent zero modes. Fixing the interior of the 3-surface would mean fixing of control variables. Extremum property would fix the 4-surface and behavior variables if boundary conditions are fixed to sufficient degree.
3. The complex variables characterizing  $X^2$  would represent third kind of variables identified as quantum fluctuating degrees of freedom contributing to the configuration space metric. Quantum classical correspondence requires 1-1 correspondence between zero modes and these variables. This would be essentially holography stating that the 2-D "causal boundary"  $X^2$  of  $X^3(X^2)$  codes for the interior. Preferred extremal property identified as criticality condition would realize the holography by fixing the values of zero modes once  $X^2$  is known and give rise to the holographic correspondence  $X^2 \rightarrow X^3(X^2)$ . The values of behavior variables determined by extremization would fix then the space-time surface  $X^4(X_l^3)$  as a preferred extremal.
4. Clearly, the presence of zero modes would be absolutely essential element of the picture. Quantum criticality, quantum classical correspondence, holography, and preferred extremal property would all represent more or less the same thing. One must of course be very cautious since the boundary conditions at  $X_l^3$  involve normal derivative and might bring in delicacies forcing to modify the simplest heuristic picture.
5. There is a possible connection with the notion of self-organized criticality [62] introduced to explain the behavior of systems like sand piles. Self-organization in these systems tends to lead "to the edge". The challenge is to understand how system ends up to a critical state, which by definition is unstable. Mechanisms for this have been discovered and based on phase transitions occurring in a wide range of parameters so that critical point extends to a critical manifold. In TGD Universe quantum criticality suggests a universal mechanism of this kind. The criticality for the preferred extremals of Kähler action would mean that classically all systems are critical in well-defined sense and the question is only about the degree of criticality. Evolution could be seen as a process leading gradually to increasingly critical systems. One must however distinguish between the criticality associated with the preferred extremals of Kähler action and the criticality caused by the spin glass like energy landscape like structure for the space of the maxima of Kähler function.

### 5.7.3 Handful of problems with a common resolution

Theory building could be compared to pattern recognition or to a solving a crossword puzzle. It is essential to make trials, even if one is aware that they are probably wrong. When stares long enough to the letters which do not quite fit, one suddenly realizes what one particular crossword must actually be and it is soon clear what those other crosswords are. In the following I describe an example in which this analogy is rather concrete. Let us begin by listing the problems.



1. The condition that modified Dirac action allows conserved charges leads to the condition that the symmetries in question give rise to vanishing second variations of Kähler action. The interpretation is as quantum criticality and there are good arguments suggesting that the critical symmetries define an infinite-dimensional super-conformal algebra forming an inclusion hierarchy related to a sequence of symmetry breakings closely related to a hierarchy of inclusions of hyper-finite factors of types  $II_1$  and  $III_1$ . This means an enormous generalization of the symmetry breaking patterns of gauge theories.

There is however a problem. For the translations of  $M^4$  and color hyper charge and isospin (more generally, any Cartan algebra of  $P \times SU(3)$ ) the resulting fermionic charges vanish. The trial for the crossword in absence of nothing better would be the following argument. By the abelianity of these charges the vanishing of quantal representation of four-momentum and color Cartan charges is not a problem and that classical representation of these charges or their super-conformal representation is enough.

2. Modified Dirac equation is satisfied in the interior of space-time surface always. This means that one does not obtain off-mass shell propagation at all in 4-D sense. Effective 2-dimensionality suggests that off mass shell propagation takes place along wormhole throats. The reduction to almost topological QFT with Kähler function reducing to Chern-Simons type action implied by the weak form of electric-magnetic duality and a proper gauge choice for the induced Kähler gauge potential implies effective 3-dimensionality at classical level. This inspires the question whether Chern-Simons type action resulting from an instanton term could define the modified gamma matrices appearing in the 3-D modified Dirac action associated with wormhole throats and the ends of the space-time sheet at the boundaries of  $CD$ .

The assumption that modified Dirac equation is satisfied also at the ends and wormhole throats would realize effective 2-dimensionality as conditions on the boundary values of the 4-D Dirac equation but would not allow off mass shell propagation. Therefore one could argue that effective 2-dimensionality in this sense holds true only for incoming and outgoing particles.

The reduction of Kähler action to Chern-Simons term together with effective 2-dimensionality suggests that Kähler function corresponds to an extremum of this action with a constraint term due to the weak form of electric-magnetic duality. Without this term the extrema of Chern-Simons action have 2-D  $CP_2$  projection not consistent with the weak form of electric-magnetic duality. The extrema are not maxima of Kähler function: they are obtained by varying with respect to tangent space data of the partonic 2-surfaces. Lagrange multiplier term induces also to the modified gamma matrices a contribution which is of the same general form as for any general coordinate invariant action.

3. Quantum classical correspondence requires that the geometry of the space-time sheet should correlate with the quantum numbers characterizing positive (negative) energy part of the quantum state. One could argue that by multiplying WCW spinor field by a suitable phase factor depending on the charges of the state, the correspondence follows from stationary phase approximation. This crossword looks unconvincing. A more precise connection between quantum and classical is required.
4. In quantum measurement theory classical macroscopic variables identified as degrees of freedom assignable to the interior of the space-time sheet correlate with quantum numbers. Stern Gerlach experiment is an excellent example of the situation. The generalization of the imbedding space concept by replacing it with a book like structure implies that imbedding space geometry at given page and for given causal diamond ( $CD$ ) carries information about the choice of the quantization axes (preferred plane  $M^2$  of  $M^4$  resp. geodesic sphere of  $CP_2$  associated with singular covering/factor space of  $CD$  resp.  $CP_2$ ). This is a big step but not enough. Modified Dirac action as such does not seem to provide any hint about how to achieve this correspondence. One could even wonder whether dissipative processes or at least the breaking of  $T$  and  $CP$  characterizing the outcome of quantum jump sequence should have space-time correlate. How to achieve this?

Each of these problems makes one suspect that something is lacking from the modified Dirac action: there should exist an elegant manner to feed information about quantum numbers of the state

to the modified Dirac action in turn determining vacuum functional as an exponent Kähler function identified as Kähler action for the preferred extremal assumed to be dictated by quantum criticality and equivalently by hyper-quaternionicity.

This observation leads to what might be the correct question. Could a general coordinate invariant and Poincare invariant modification of the modified Dirac action consistent with the vacuum degeneracy of Kähler action allow to achieve this information flow somehow? In the following one manner to achieve this modification is discussed. It must be however emphasized that I have considered many alternatives and the one discussed below finds its justification only from the fact that it is the simplest one found hitherto.

### The identification of the measurement interaction term

The idea is simple: add to the modified Dirac action a term which is analogous to the Dirac action in  $M^4 \times CP_2$ . One can consider two options according to whether the term is assigned with interior or with a 3-D light-like 3-surface and last years have been continual argumentation about which option is the correct one.

1. The additional term would be essentially the analog of the ordinary Dirac action at the imbedding space level.

$$\begin{aligned}
 S_{int} &= \sum_A Q_A \int \bar{\Psi} g^{AB} j_{B\alpha} \hat{\Gamma}^\alpha \Psi \sqrt{g} d^4x \ , \\
 g_{AB} &= j_A^k h_{kl} j_B^l \ , \quad g^{AB} g_{BC} = \delta_C^A \ , \\
 j_{B\alpha} &= j_B^k h_{kl} \partial_\alpha h^l \ .
 \end{aligned} \tag{5.7.5}$$

The sum is over isometry charges  $Q_A$  interpreted as quantal charges and  $j^{Ak}$  denotes the Killing vector field of the isometry.  $g^{AB}$  is the inverse of the tensor  $g_{AB}$  defined by the local inner products of Killing vectors fields in  $M^4$  and  $CP_2$ . The space-time projections of the Killing vector fields  $j_{B\alpha}$  have interpretation as classical color gauge potentials in the case of  $SU(3)$ . In  $M^4$  degrees of freedom and for Cartan algebra of  $SU(3)$   $j_{B\alpha}$  reduce to the gradients of linear  $M^4$  coordinates in case of translations. Modified gamma matrices could be assigned to Kähler action or its instanton term or with Chern-Simons action.

2. The added term containing quantal charges must make sense in the modified Dirac equation. This requires that the physical state is an eigenstate of momentum and color charges. This allows only color hyper-charge and color isospin so that there is no hope of obtaining exactly the stringy formula for the propagator. The modified Dirac operator is given by

$$\begin{aligned}
 D &= D + D_{int} = \hat{\Gamma}^\alpha D_\alpha + \hat{\Gamma}^\alpha \sum_A Q_A g^{AB} j_{B\alpha} \\
 &= \hat{\Gamma}^\alpha (D_\alpha + \partial_\alpha \phi) \ , \quad \partial_\alpha \phi = \sum_A Q_A g^{AB} j_{B\alpha} \ .
 \end{aligned} \tag{5.7.6}$$

The conserved fermionic isometry currents are

$$J^{A\alpha} = \sum_B Q_B \bar{\Psi} g^{BC} j_C^k h_{kl} j_A^l \hat{\Gamma}^\alpha \Psi = Q_A \bar{\Psi} \hat{\Gamma}^\alpha \Psi \ . \tag{5.7.7}$$

Here the sum is restricted to a Cartan sub-algebra of Poincare group and color group.

3. An important restriction is that by four-dimensionality of  $M^4$  and  $CP_2$  the rank of  $g_{AB}$  is 4 so that  $g^{AB}$  exists only when one considers only four conserved charges. In the case of  $M^4$  this is achieved by a restriction to translation generators  $Q_A = p_A$ .  $g_{AB}$  reduces to Minkowski metric and Killing vector fields are constants. The Cartan sub-algebra could be however replaced by any four commuting charges in the case of Poincare algebra (second one corresponds to time translation plus translation, boost and rotation in given direction). In the case of  $SU(3)$  one must restrict the consideration either to  $U(2)$  sub-algebra or its complement.  $CP_2 = SU(3)/SU(2)$  decomposition would suggest the complement as the correct choice. One can indeed build the generators of  $U(2)$  as commutators of the charges in the complement. On the other hand, Cartan algebra is enough in free field construction of Kac-Moody algebras.
4. What is remarkable that for the Cartan algebra of  $M^4 \times SU(3)$  the measurement interaction term is equivalent with the addition of gauge part  $\partial_\alpha \phi$  of the induced Kähler gauge potential  $A_\alpha$ . This property might hold true for any measurement interaction term. This also suggests that the change in Kähler function is only the transformation  $A_\alpha \rightarrow A_\alpha + \partial_\alpha \phi$ ,  $\partial_\alpha \phi = \sum_A Q_A g^{AB} j_{B\alpha}$ .
5. Recall that the  $\phi$  for  $U(1)$  gauge transformations respecting the vanishing of the Coulomb interaction term of Kähler action [17, 39] the current  $j_K^\alpha \phi$  is conserved, which implies that the change of the Kähler action is trivial. These properties characterize the gauge transformations respecting the gauge in which Coulombic interaction term of the Kähler action vanishes so that Kähler action reduces to 3-dimensional generalized Chern-Simons term if the weak form of electric-magnetic duality holds true guaranteeing among other things that the induced Kähler field is not too singular at the wormhole throats [17, 39]. The scalar function assignable to the measurement interaction terms does not have this property and this is what is expected since it must change the value of the Kähler function and therefore affect the preferred extremal.

Concerning the precise form of the modified Dirac action the basic clue comes from the observation that the measurement interaction term corresponds to the addition of a gauge part to the induced  $CP_2$  Kähler gauge potential  $A_\alpha$ . The basic question is what part of the action one assigns the measurement interaction term.

1. One could define the measurement interaction term using either the four-dimensional instanton term or its reduction to Chern-Simons terms. The part of Dirac action defined by the instanton term in the interior does not reduce to a 3-D form unless the Dirac equation defined by the instanton term is satisfied : this cannot be true. Hence Chern-Simons term is the only possibility. The classical field equations associated with the Chern-Simons term cannot be assumed since they would imply that the  $CP_2$  projection of the wormhole throat and space-like 3-surface are 2-dimensional. This might hold true for space-like 3-surfaces at the ends of  $CD$  and incoming and outgoing particles but not for off mass shell particles. This is however not a problem since  $D_\alpha \Gamma_{C-S}^\alpha$  for the modified gamma matrices for Chern-Simons action does not contain second derivatives. This is due to the topological character of this term. For Kähler action second derivatives are present and this forces extremal property of Kähler action in the modified Dirac Kähler action so that classical physics results as a consistency condition.
2. If one assigns measurement interaction term to both  $D_K$  and  $D_{C-S}$  the measurement interaction corresponds to a mere gauge transformation for  $AS_\alpha$  and is trivial. Therefore it seems that one must choose between  $D_K$  or  $D_{C-S}$ . At least formally the measurement interaction term associated with  $D_K$  is gauge equivalent with its negative  $D_{C-S}$ . The addition of the measurement interaction to  $D_K$  changes the basis for the 4-D induced spinors by the phase  $\exp(-iQK\phi)$  and therefore also the basis for the generalized eigenstates of  $D_{C-S}$  and this brings in effectively the measurement interaction term affecting the Dirac determinant.
3. The definition of Dirac determinant should be in terms of Chern-Simons action induced by the instanton term and identified as a product of the generalized eigenvalues of this operator. The modified Dirac equation for  $\Psi$  is consistent with that for its conjugate if the coefficient of the instanton term is real and one uses the Dirac action  $\bar{\Psi}(D^\rightarrow - D^\leftarrow)\Psi$  giving modified Dirac equation as

$$D_{C-S}\Psi + \frac{1}{2}(D_\alpha \hat{\Gamma}_{C-S}^\alpha)\Psi = 0 . \quad (5.7.8)$$

As noticed, the divergence of gamma matrices does not contain second derivatives in the case of Chern-Simons action. In the case of Kähler action they occur unless field equations equivalent with the vanishing of the divergence term are satisfied.

Also the fermionic current is conserved in this case, which conforms with the idea that fermions flow along the light-like 3-surfaces. If one uses the action  $\bar{\Psi}D^{\rightarrow}\Psi$ ,  $\bar{\Psi}$  does not satisfy the Dirac equation following from the variational principle and fermion current is not conserved. Also if the Chern-Simons term is imaginary - as a naive idea about dissipation would suggest- the Dirac equation fails to be consistent with the conjugation.

4. Off mass shell states appear in the lines of the generalized Feynman diagrams and for these  $D_{C-S}$  cannot annihilate the spinor field. The generalized eigen modes of  $D_{C-S}$  should be such that one obtains the counterpart of Dirac propagator which is purely algebraic and does not therefore depend on the coordinates of the throat. This is satisfied if the generalized eigenvalues are expressible in terms of covariantly constant combinations of gamma matrices and here only  $M^4$  gamma matrices are possible. Therefore the eigenvalue equation regards as

$$D\Psi = \lambda^k \gamma_k \Psi , \quad D = D_{C-S} + D_\alpha \hat{\Gamma}_{C-S}^\alpha , \quad D_{C-S} = \hat{\Gamma}_{C-S}^\alpha D_\alpha . \quad (5.7.9)$$

Here the covariant derivatives  $D_\alpha$  contain the measurement interaction term as an apparent gauge term. Covariant constancy allows to take the square of this equation and one has

$$(D^2 + [D, \lambda^k \gamma_k])\Psi = \lambda^k \lambda_k \Psi . \quad (5.7.10)$$

The commutator term is analogous to magnetic moment interaction. The generalized eigenvalues correspond to  $\lambda = \sqrt{\lambda^k \lambda_k}$  and Dirac determinant is defined as a product of the eigenvalues.  $\lambda$  is completely analogous to mass. For incoming lines this mass would vanish so that all incoming particles irrespective their actual quantum numbers would be massless in this sense and the propagator is indeed that for a massless particle. Note that the eigen modes define the boundary values for the solutions of  $D_K \Psi = 0$  so that the values of  $\lambda$  indeed define the counterpart of the momentum space.

This transmutation of massive particles to effectively massless ones might make possible the application of the twistor formalism as such in TGD framework [40].  $N = 4$  SUSY is one of the very few gauge theory which might be UV finite but it is definitely unphysical due to the masslessness of the basic quanta. Could the resolution of the interpretational problems be that the four-momenta appearing in this theory do not directly correspond to the observed four-momenta?

## Objections

The alert reader has probably raised several critical questions. Doesn't the need to solve  $\lambda_k$  as functions of incoming quantum numbers plus the need to construct the measurement interactions makes the practical application of the theory hopelessly difficult? Could the resulting pseudo-momentum  $\lambda_k$  correspond to the actual four-momentum? Could one drop the measurement interaction term altogether and assume that the quantum classical correspondence is through the identification of the eigenvalues as the four-momenta of the on mass shell particles propagating at the wormhole throats? Could one indeed assume that the momenta have a continuous spectrum and thus do not depend on the boundary conditions at all? Usually the thinking is just the opposite and in the general case would lead to singular eigen modes.

1. Only the information about four-momentum would be fed into the space-time geometry. TGD however allows much more general measurement interaction terms and it would be very strange if the space-time geometry would not correlate also with the other quantum numbers. Mass formulas would of course contain information also about other quantum numbers so that this claim is not quite justified.
2. Number theoretic considerations and also the construction of octonionic variant of Dirac equation [16, 54] force the conclusion that the spectrum of pseudo four-momentum is restricted to a preferred plane  $M^2$  of  $M^4$  and this excludes the interpretation of  $\lambda^k$  as a genuine four-momentum. It also improves the hopes that the sum over pseudo-momenta does not imply divergences.
3. Dirac determinant would depend on the mass spectrum only and could not be identified as exponent of Kähler function. Note that the original guideline was the dream about stringy propagators. This is achieved for  $\lambda_A \lambda^A = n$  in suitable units. This spectrum would of course also imply that Dirac determinant defined in terms of  $\zeta$  function regularization is independent of the space-time surface and could not be identified with the exponent of Kähler function. One must of course take the identification of exponent of Kähler function as Dirac determinant as an additional conjecture which is not necessary for the calculation of Kähler function if the weak form of electric-magnetic duality is accepted.
4. All particles would behave as massless particles and this would not be consistent with the proposed Feynman diagrammatics inspired by zero energy ontology. Since wormhole throats carry on mass shell particles with positive or negative energy so that the net momentum can be also space-like propagators diverge for massless particles. One might overcome this problem by assuming small thermal mass (from p-adic thermodynamics [4]) and this is indeed assumed to reduce the number of generalized Feynman diagrams contributing to a given reaction to finite number.

Second objection of the skeptic reader relates to the delicacies of  $U(1)$  gauge invariance. The modified Dirac action seems to break gauge symmetries and this breaking of gauge symmetry is absolutely essential for the dependence of the Dirac determinant on the quantum numbers. It however seems that this breaking of gauge invariance is only apparent.

1. One must distinguish between genuine  $U(1)$  gauge transformations carried out for the induced Kähler gauge potential  $A_\alpha$  and apparent gauge transformations of the Kähler gauge potential  $A_k$  of  $S^2 \times CP_2$  induced by symplectic transformations deforming the space-time surface and affect also induced metric. This delicacy of  $U(1)$  gauge symmetry explains also the apparent breaking of  $U(1)$  gauge symmetry of Chern-Simons Dirac action due to the presence of explicit terms  $A_k$  and  $A_\alpha$ .
2.  $CP_2$  Kähler gauge potential is obtained in complex coordinates from Kähler function as  $(K_{\xi^i}, K_{\bar{\xi}^i}) = (\partial_{\xi^i} K, -\partial_{\bar{\xi}^i} K)$ . Gauge transformations correspond to the additions  $K \rightarrow K + f + \bar{f}$ , where  $f$  is a holomorphic function. Kähler gauge potential has a unique gauge in which the Kähler function of  $CP_2$  is  $U(2)$  invariant and contains no holomorphic part. Hence  $A_k$  is defined in a preferred gauge and is a gauge invariant quantity in this sense. Same applies to  $S^2$  part of the Kähler potential if present.
3.  $A_\alpha$  should be also gauge invariant under gauge transformation respecting the vanishing of Coulombic interaction energy. The allowed gauge transformations  $A_\alpha \rightarrow A_\alpha + \partial_\alpha \phi$  must satisfy  $D_\alpha(j_K^\alpha \phi) = 0$ . If the scalar function  $\phi$  reduces to constant at the wormhole throats and at the ends of the space-time surface  $D_{C-S}$  is gauge invariant. The gauge transformations for which  $\phi$  does not satisfy this condition are identified as representations of critical deformations of space-time surface so that the change of  $A_\alpha$  would code for this kind of deformation and indeed affect the modified Dirac operator and Kähler function (the change would be due to the change of zero modes).

### Some details about the modified Dirac equation defined by Chern-Simons action

First some general comments about  $D_{C-S}$  are in order.

1. Quite generally, there is vacuum avoidance in the sense that  $\Psi$  must vanish in the regions where the modified gamma matrices vanish. A physical analogy for the system consider is a charged particle in an external magnetic field. The effective metric defined by the anti-commutators of the modified gamma matrices so that standard intuitions might not help much. What one would naively expect would be analogs of bound states in magnetic field localized into regions inside which the magnetic field is non-vanishing.
2. If only  $CP_2$  Kähler form appears in the Kähler action, the modified Dirac action defined by the Chern-Simons term is non-vanishing only when the dimension of the  $CP_2$  projection of the 3-surface is  $D(CP_2) \geq 2$  and the induced Kähler field is non-vanishing. This conforms with the properties of Kähler action. The solutions of the modified Dirac equation with a vanishing eigenvalue  $\lambda$  would naturally correspond to incoming and outgoing particles.
3.  $D(CP_2) \leq 2$  is apparently inconsistent with the weak form of electric-magnetic duality requiring  $D(CP_2) = 3$ . The conclusion is wrong: the variations of Chern-Simons action are subject to the constraint that electric-magnetic duality holds true expressible in terms of Lagrange multiplier term

$$\int \Lambda_\alpha (J^{n\alpha} - K \epsilon^{n\alpha\beta\gamma} J_{\beta\gamma}) \sqrt{g_4} d^3 x . \quad (5.7.11)$$

This gives a constraint force to the field equations and also a dependence on the induced 4-metric so that one has only almost topological QFT. This term also guarantees the  $M^4$  part of WCW Kähler metric is non-trivial. The condition that the ends of space-time sheet and wormhole throats are extrema of Chern-Simons action subject to the electric-magnetic duality constraint is strongly suggested by the effective 2-dimensionality.

4. Electric-magnetic duality constraint gives an additional term to the Dirac action determined by the Lagrange multiplier term. This term gives an additional contribution to the modified gamma matrices having the same general form as coming from Kähler action and Chern-Simons action. In the following this term will not be considered. For the extremals it only affects the modified gamma matrices and leaves the general form of solutions unchanged.

In absence of the constraint from the weak form of electric-magnetic duality the explicit expression of  $D_{C-S}$  is given by

$$\begin{aligned} D &= \hat{\Gamma}^\mu D_\mu + \frac{1}{2} D_\mu \hat{\Gamma}^\mu , \\ \hat{\Gamma}^\mu &= \frac{\partial L_{C-S}}{\partial_\mu h^k} \Gamma_k = \epsilon^{\mu\alpha\beta} [2J_{kl} \partial_\alpha h^l A_\beta + J_{\alpha\beta} A_k] \Gamma^k D_\mu , \\ D_\mu \hat{\Gamma}^\mu &= B_K^\alpha (J_{k\alpha} + \partial_\alpha A_k) , \\ B_K^\alpha &= \epsilon^{\alpha\beta\gamma} J_{\beta\gamma} , \quad J_{k\alpha} = J_{kl} \partial_\alpha s^l , \quad \hat{\epsilon}^{\alpha\beta\gamma} = \epsilon^{\alpha\beta\gamma} \sqrt{g_3} . \end{aligned} \quad (5.7.12)$$

Note  $\hat{\epsilon}^{\alpha\beta\gamma}$  does not depend on the induced metric.

The extremals of Chern-Simons action without constraint term satisfy

$$B_K^\alpha (J_{kl} + \partial_l A_k) \partial_\alpha h^l = 0 , \quad B_K^\alpha = \epsilon^{\alpha\beta\gamma} J_{\beta\gamma} . \quad (5.7.13)$$

For a non-vanishing Kähler magnetic field  $B^\alpha$  these equations hold true when  $CP_2$  projection is 2-dimensional. This implies a vanishing of Chern-Simons action in absence of the constraint term realizing electric-magnetic duality, which is therefore absolutely essential in order for having a non-vanishing WCW metric.

Consider now the situation in more detail.

1. Suppose that one can assign a global coordinate to the flow lines of the Kähler magnetic field. In this case one might hope that ordinary intuitions about motion in constant magnetic field might be helpful. The repetition of the discussion of [17, 39] leads to the condition  $B \wedge dB = 0$  implying that a Beltrami flow for which current flows along the field lines and Lorentz forces vanishes is in question. This need not be the generic case.
2. With this assumption the modified Dirac operator reduces to a one-dimensional Dirac operator

$$D = \hat{\epsilon}^{r\alpha\beta} [2J_{kl}\partial_\alpha h^l A_\beta + J_{\alpha\beta} A_k] \Gamma^k D_r . \quad (5.7.14)$$

3. The general solutions of the modified Dirac equation is covariantly constant with respect to the coordinate  $r$ :

$$D_r \Psi = 0 . \quad (5.7.15)$$

The solution to this condition can be written immediately in terms of a non-integrable phase factor  $P \exp(i \int A_r dr)$ , where integration is along curve with constant transversal coordinates. If  $\hat{\Gamma}^v$  is light-like vector field also  $\hat{\Gamma}^v \Psi_0$  defines a solution of  $D_{C-S}$ . This solution corresponds to a zero mode for  $D_{C-S}$  and does not contribute to the Dirac determinant. Note that the dependence of these solutions on transversal coordinates of  $X_l^3$  is arbitrary.

4. The formal solution associated with a general eigenvalue can be constructed by integrating the eigenvalue equation separately along all coordinate curves. This makes sense if  $r$  indeed assigned to light-like curves indeed defines a global coordinate. What is strange that there is no correlation between the behaviors with respect longitudinal coordinate and transversal coordinates. System would be like a collection of totally uncorrelated point like particles reflecting the flow of the current along flux lines. It is difficult to say anything about the spectrum of the generalized eigenvalues in this case: it might be that the boundary conditions at the ends of the flow lines fix the allowed values of  $\lambda$ . Clearly, the Beltrami flow property is what makes this case very special.

### A connection with quantum measurement theory

It is encouraging that isometry charges and also other charges could make themselves visible in the geometry of space-time surface as they should by quantum classical correspondence. This suggests an interpretation in terms of quantum measurement theory.

1. The interpretation resolves the problem caused by the fact that the choice of the commuting isometry charges is not unique. Cartan algebra corresponds naturally to the measured observables. For instance, one could choose the Cartan algebra of Poincare group to consist of energy and momentum, angular momentum and boost (velocity) in particular direction as generators of the Cartan algebra of Poincare group. In fact, the choices of a preferred plane  $M^2 \subset M^4$  and geodesic sphere  $S^2 \subset CP_2$  allowing to fix the measurement sub-algebra to a high degree are implied by the replacement of the imbedding space with a book like structure forced by the hierarchy of Planck constants. Therefore the hierarchy of Planck constants seems to be required by quantum measurement theory. One cannot overemphasize the importance of this connection.
2. One can add similar couplings of the net values of the measured observables to the currents whose existence and conservation is guaranteed by quantum criticality. It is essential that one maps the observables to Cartan algebra coupled to critical current characterizing the observable in question. The coupling should have interpretation as a replacement of the induced Kähler gauge potential with its gauge transform. Quantum classical correspondence encourages the identification of the classical charges associated with Kähler action with quantal Cartan charges. This would support the interpretation in terms of a measurement interaction feeding information to classical space-time physics about the eigenvalues of the observables of the measured system. The resulting field equations remain second order partial differential equations since the second order partial derivatives appear only linearly in the added terms.

3. What about the space-time correlates of electro-weak charges? The earlier proposal explains this correlation in terms of the properties of quantum states: the coupling of electro-weak charges to Chern-Simons term could give the correlation in stationary phase approximation. It would be however very strange if the coupling of electro-weak charges with the geometry of the space-time sheet would not have the same universal description based on quantum measurement theory as isometry charges have.

- (a) The hint as how this description could be achieved comes from a long standing un-answered question motivated by the fact that electro-weak gauge group identifiable as the holonomy group of  $CP_2$  can be identified as  $U(2)$  subgroup of color group. Could the electro-weak charges be identified as classical color charges? This might make sense since the color charges have also identification as fermionic charges implied by quantum criticality. Or could electro-weak charges be only represented as classical color charges by mapping them to classical color currents in the measurement interaction term in the modified Dirac action? At least this question might make sense.
- (b) It does not make sense to couple both electro-weak and color charges to the same fermion current. There are also other fundamental fermion currents which are conserved. All the following currents are conserved.

$$J^\alpha = \bar{\Psi} O \hat{\Gamma}^\alpha \Psi$$

$$O \in \{1, J \equiv J_{kl} \Sigma^{kl}, \Sigma_{AB}, \Sigma_{AB} J\} . \quad (5.7.16)$$

Here  $J_{kl}$  is the covariantly constant  $CP_2$  Kähler form and  $\Sigma_{AB}$  is the (also covariantly) constant sigma matrix of  $M^4$  (flatness is absolutely essential).

- (c) Electromagnetic charge can be expressed as a linear combination of currents corresponding to  $O = 1$  and  $O = J$  and vectorial isospin current corresponds to  $J$ . It is natural to couple of electromagnetic charge to the the projection of Killing vector field of color hyper charge and coupling it to the current defined by  $O_{em} = a + bJ$ . This allows to interpret the puzzling finding that electromagnetic charge can be identified as anomalous color hyper-charge for induced spinor fields made already during the first years of TGD. There exist no conserved axial isospin currents in accordance with CVC and PCAC hypothesis which belong to the basic stuff of the hadron physics of old days.
  - (d) Color charges would couple naturally to lepton and quark number current and the  $U(1)$  part of electro-weak charges to the  $n = 1$  multiple of quark current and  $n = 3$  multiple of the lepton current (note that leptons *resp.* quarks correspond to  $t = 0$  *resp.*  $t = \pm 1$  color partial waves). If electro-weak *resp.* couplings to  $H$ -chirality are proportional to 1 *resp.*  $\Gamma_9$ , the fermionic currents assigned to color and electro-weak charges can be regarded as independent. This explains why the possibility of both vectorial and axial couplings in 8-D sense does not imply the doubling of gauge bosons.
  - (e) There is also an infinite variety of conserved currents obtained as the quantum critical deformations of the basic fermion currents identified above. This would allow in principle to couple an arbitrary number of observables to the geometry of the space-time sheet by mapping them to Cartan algebras of Poincare and color group for a particular conserved quantum critical current. Quantum criticality would therefore make possible classical space-time correlates of observables necessary for quantum measurement theory.
  - (f) The coupling constants associated with the deformations would appear in the couplings. Quantum criticality ( $K \rightarrow K + f + \bar{f}$  condition) should predict the spectrum of these couplings. In the case of momentum the coupling would be proportional to  $\sqrt{G/\hbar_0} = kR/\hbar_0$  and  $k \sim 2^{11}$  should follow from quantum criticality. p-Adic coupling constant evolution should follow from the dependence on the scale of  $CD$  coming as powers of 2.
4. Quantum criticality implies fluctuations in long length and time scales and it is not surprising that quantum criticality is needed to produce a correlation between quantal degrees of freedom and macroscopic degrees of freedom. Note that quantum classical correspondence can be regarded as an abstract form of entanglement induced by the entanglement between quantum charges  $Q_A$  and fermion number type charges assignable to zero modes.



5. Space-time sheets can have an arbitrary number of wormhole contacts so that the interpretation in terms of measurement theory coupling short and long length scales suggests that the measurement interaction terms are localizable at the wormhole throats. This would favor Chern-Simons term or possibly instanton term if reducible to Chern-Simons terms. The breaking of CP and T might relate to the fact that state function reductions performed in quantum measurements indeed induce dissipation and breaking of time reversal invariance.
6. The experimental arrangement quite concretely splits the quantum state to a quantum superposition of space-time sheets such that each eigenstate of the measured observables in the superposition corresponds to different space-time sheet already before the realization of state function reduction. This relates interestingly to the question whether state function reduction really occurs or whether only a branching of wave function defined by WCW spinor field takes place as in multiverse interpretation in which different branches correspond to different observers. TGD inspired theory consciousness requires that state function reduction takes place. Maybe multiversalist might be able to find from this picture support for his own beliefs.
7. One can argue that "free will" appears not only at the level of quantum jumps but also as the possibility to select the observables appearing in the modified Dirac action dictating in turn the Kähler function defining the Kähler metric of WCW representing the "laws of physics". This need not to be the case. The choice of  $CD$  fixes  $M^2$  and the geodesic sphere  $S^2$ : this does not fix completely the choice of the quantization axis but by isometry invariance rotations and color rotations do not affect Kähler function for given  $CD$  and for a given type of Cartan algebra. In  $M^4$  degrees of freedom the possibility to select the observables in two manners corresponding to linear and cylindrical Minkowski coordinates could imply that the resulting Kähler functions are different. The corresponding Kähler metrics do not differ if the real parts of the Kähler functions associated with the two choices differ by a term  $f(Z) + \overline{f(Z)}$ , where  $Z$  denotes complex coordinates of WCW, the Kähler metric remains the same. The function  $f$  can depend also on zero modes. If this is the case then one can allow in given CD superpositions of WCW spinor fields for which the measurement interactions are different. This condition is expected to pose non-trivial constraints on the measurement action and quantize coupling parameters appearing in it.

### New view about gravitational mass and matter antimatter asymmetry

The physical interpretation of the additional term in the modified Dirac action might force quite a radical revision of the ideas about matter and antimatter.

1. The term  $p_A \partial_\alpha m^A$  contracted with the fermion current is analogous to a gauge potential coupling to fermion number. Since the additional terms in the modified Dirac operator induce stringy propagation, a natural interpretation of the coupling to the induced spinor fields is in terms of gravitation. One might perhaps say that the measurement of four momentum induces gravitational interaction. Besides momentum components also color charges take the role of gravitational charges. As a matter fact, any observable takes this role via coupling to the projections of Killing vector fields of Cartan algebra. The analogy of color interactions with gravitational interactions is indeed one of the oldest ideas in TGD.
2. The coupling to four-momentum is through fermion number (both quark number and lepton number). For states with a vanishing fermion number isometry charges therefore vanish. In this framework matter antimatter asymmetry would be due to the fact that matter (antimatter) corresponds to positive (negative) energy parts of zero energy states for massive systems so that the contributions to the net gravitational four-momentum are of same sign. Could antimatter be unobservable to us because it resides at negative energy space-time sheets? As a matter fact, I proposed already years ago that gravitational mass is essentially the magnitude of the inertial mass but gave up this idea.
3. Bosons do not couple at all to gravitation if they are purely local bound states of fermion and anti-fermion at the same space-time sheet (say represented by generators of super Kac-Moody algebra). Therefore the only possible identification of gauge bosons is as wormhole contacts. If the fermion and anti-fermion at the opposite throats of the contact correspond to positive

and negative energy states the net gravitational energy receives a positive contribution from both sheets. If both correspond to positive (negative) energy the contributions to the net four-momentum have opposite signs. It is not yet clear which identification is the correct one.

### 5.7.4 Generalized eigenvalues of $D_{C-S}$ and General Coordinate Invariance

The fixing of light-like 3-surface to be the wormhole throat at which the signature of induced metric changes from Minkowskian to Euclidian corresponds to a convenient fixing of gauge. General Coordinate Invariance however requires that any light-like surface  $Y_l^3$  parallel to  $X_l^3$  in the slicing is equally good choice. In particular, it should give rise to same Kähler metric but not necessarily the same exponent of Kähler function identified as the product of the generalized eigenvalues of  $D_{C,S}$  at  $Y_l^3$ .

General Coordinate Invariance requires that the components of Kähler metric of configuration space defined in terms of Kähler function as

$$G_{k\bar{l}} = \partial_k \partial_{\bar{l}} K = \sum_i \partial_k \partial_{\bar{l}} \lambda_i$$

remain invariant under this flow. Here complex coordinate are of course associated with the configuration space. This is the case if the flow corresponds to the addition of sum of holomorphic function  $f(z)$  and its conjugate  $\bar{f}(z)$  which is anti-holomorphic function to  $K$ . This boils down to the scaling of eigenvalues  $\lambda_i$  by

$$\lambda_i \rightarrow \exp(f_i(z) + \overline{f_i(z)}) \lambda_i . \quad (5.7.17)$$

If the eigenvalues are interpreted as vacuum conformal weights, general coordinate transformations correspond to a spectral flow scaling the eigenvalues in this manner. This in turn would induce spectral flow of ground state conformal weights if the squares of  $\lambda_i$  correspond to ground state conformal weights.

## 5.8 Super-conformal symmetries at space-time and configuration space level

The physical interpretation and detailed mathematical understanding of super-conformal symmetries has developed rather slowly and has involved several side tracks. In the following I try to summarize the basic picture with minimal amount of formulas with the understanding that the statement "Noether charge associated with geometrically realized Kac-Moody symmetry" is enough for the reader to write down the needed formula explicitly.

### 5.8.1 Configuration space as a union of symmetric spaces

In finite-dimensional context globally symmetric spaces are of form  $G/H$  and connection and curvature are independent of the metric, provided it is left invariant under  $G$ . The hope is that same holds true in infinite-dimensional context. The most one can hope of obtaining is the decomposition  $C(H) = \cup_i G/H_i$  over orbits of  $G$ . One could allow also symmetry breaking in the sense that  $G$  and  $H$  depend on the orbit:  $C(H) = \cup_i G_i/H_i$  but it seems that  $G$  can be chosen to be same for all orbits. What is essential is that these groups are infinite-dimensional. The basic properties of the coset space decomposition give very strong constraints on the group  $H$ , which certainly contains the subgroup of  $G$ , whose action reduces to diffeomorphisms of  $X^3$ .

#### Consequences of the decomposition

If the decomposition to a union of coset spaces indeed occurs, the consequences for the calculability of the theory are enormous since it suffices to find metric and curvature tensor for single representative 3-surface on a given orbit (contravariant form of metric gives propagator in perturbative calculation of matrix elements as functional integrals over the configuration space). The representative surface can be chosen to correspond to the maximum of Kähler function on a given orbit and one obtains perturbation theory around this maximum (Kähler function is not isometry invariant).

The task is to identify the infinite-dimensional groups  $G$  and  $H$  and to understand the zero mode structure of the configuration space. Almost twenty (seven according to long held belief!) years after the discovery of the candidate for the Kähler function defining the metric, it became finally clear that these identifications follow quite nicely from  $Diff^4$  invariance and  $Diff^4$  degeneracy as well as special properties of the Kähler action.

The guess (not the first one!) would be following.  $G$  corresponds to the symplectic transformations of  $\delta M_{\pm}^4 \times CP_2$  leaving the induced Kähler form invariant. If  $G$  acts as isometries the values of Kähler form at partonic 2-surfaces (remember effective 2-dimensionality) are zero modes and configuration space allows slicing to symplectic orbits of the partonic 2-surface with fixed induced Kähler form. Quantum fluctuating degrees of freedom would correspond to symplectic group and to the fluctuations of the induced metric. The group  $H$  dividing  $G$  would in turn correspond to the Kac-Moody symmetries respecting light-likeness of  $X_l^3$  and acting in  $X_l^3$  but trivially at the partonic 2-surface  $X^2$ . This coset structure was originally discovered via coset construction for super Virasoro algebras of super-symplectic and super Kac-Moody algebras and realizes Equivalence Principle at quantum level.

### Configuration space isometries as a subgroup of $Diff(\delta M_{\pm}^4 \times CP_2)$

The reduction to light cone boundary leads to the identification of the isometry group as some subgroup of for the group  $G$  for the diffeomorphisms of  $\delta M_{\pm}^4 \times CP_2$ . These diffeomorphisms indeed act in a natural manner in  $\delta CH$ , the the space of 3-surfaces in  $\delta M_{\pm}^4 \times CP_2$ . Configuration space is expected to decompose to a union of the coset spaces  $G/H_i$ , where  $H_i$  corresponds to some subgroup of  $G$  containing the transformations of  $G$  acting as diffeomorphisms for given  $X^3$ . Geometrically the vector fields acting as diffeomorphisms of  $X^3$  are tangential to the 3-surface.  $H_i$  could depend on the topology of  $X^3$  and since  $G$  does not change the topology of 3-surface each 3-topology defines separate orbit of  $G$ . Therefore, the union involves sum over all topologies of  $X^3$  plus possibly other 'zero modes'. Different topologies are naturally glued together since singular 3-surfaces intermediate between two 3-topologies correspond to points common to the two sectors with different topologies.

### 5.8.2 Isometries of configuration space geometry as symplectic transformations of $\delta M_{\pm}^4 \times CP_2$

During last decade I have considered several candidates for the group  $G$  of isometries of the configuration space as the sub-algebra of the subalgebra of  $Diff(\delta M_{\pm}^4 \times CP_2)$ . To begin with let us write the general decomposition of  $difff(\delta M_{\pm}^4 \times CP_2)$ :

$$difff(\delta M_{\pm}^4 \times CP_2) = S(CP_2) \times difff(\delta M_{\pm}^4) \oplus S(\delta M_{\pm}^4) \times difff(CP_2) . \quad (5.8.1)$$

Here  $S(X)$  denotes the scalar function basis of space  $X$ . This Lie-algebra is the direct sum of light cone diffeomorphisms made local with respect to  $CP_2$  and  $CP_2$  diffeomorphisms made local with respect to light cone boundary.

The idea that entire diffeomorphism group would act as isometries looks unrealistic since the theory should be more or less equivalent with topological field theory in this case. Consider now the various candidates for  $G$ .

1. The fact that symplectic transformations of  $CP_2$  and  $M_{\pm}^4$  diffeomorphisms are dynamical symmetries of the vacuum extremals suggests the possibility that the diffeomorphisms of the light cone boundary and symplectic transformations of  $CP_2$  could leave Kähler function invariant and thus correspond to zero modes. The symplectic transformations of  $CP_2$  localized with respect to light cone boundary acting as symplectic transformations of  $CP_2$  have interpretation as local color transformations and are a good candidate for the isometries. The fact that local color transformations are not even approximate symmetries of Kähler action is not a problem: if they were exact symmetries, Kähler function would be invariant and zero modes would be in question.
2.  $CP_2$  local conformal transformations of the light cone boundary act as isometries of  $\delta M_{\pm}^4$ . Besides this there is a huge group of the symplectic symmetries of  $\delta M_{\pm}^4 \times CP_2$  if light cone boundary is provided with the symplectic structure. Both groups must be considered as candidates for groups of isometries.  $\delta M_{\pm}^4 \times CP_2$  option exploits fully the special properties of  $\delta M_{\pm}^4 \times CP_2$ , and

one can develop simple argument demonstrating that  $\delta M_+^4 \times CP_2$  symplectic invariance is the correct option. Also the construction of configuration space gamma matrices as super-symplectic charges supports  $\delta M_+^4 \times CP_2$  option.

This picture remained same for a long time. The discovery that Kac-Moody algebra consisting of  $X^2$  local symmetries generated by Hamiltonians of isometry sub-algebra of symplectic algebra forced to challenge this picture and ask whether also  $X^2$ -local transformations of symplectic group could be involved.

1. The basic condition is that the  $X^2$  local transformation acts leaves induced Kähler form invariant apart from diffeomorphism. Denote the infinitesimal generator of  $X^2$  local symplecto morphism by  $\Phi_A(x)j^{Ak}$ , where  $A$  labels Hamiltonians in the sum and by  $j^\alpha$  the generator of  $X^2$  diffeomorphism.
2. The invariance of  $J = \epsilon^{\alpha\beta} J_{\alpha\beta} \sqrt{g_2}$  modulo diffeomorphism under the infinitesimal symplectic transformation gives

$$\{H^A, \Phi_A\} \equiv \partial_\alpha H^A \epsilon^{\alpha\beta} \partial_\beta \Phi_A = \partial_\alpha J j^\alpha . \quad (5.8.2)$$

3. Note that here the Poisson bracket is not defined by  $J^\alpha \beta$  but  $\epsilon^{\alpha\beta}$  defined by the induced metric. Left hand side reflects the failure of symplectomorphism property due to the dependence of  $\Phi_A(x)$  on  $X^2$  coordinate which and comes from the gradients of  $\delta M^4 \times CP_2$  coordinates in the expression of the induced Kähler form. Right hand side corresponds to the action of infinitesimal diffeomorphism.
4. Let us assume that one can restrict the consideration to single Hamiltonian so that the transformation is generated by  $\Phi(x)H_A$  and that to each  $\Phi(x)$  there corresponds a diffeomorphism of  $X^2$ , which is a symplectic transformation of  $X^2$  with respect to symplectic form  $\epsilon^{\alpha\beta}$  and generated by Hamiltonian  $\Psi(x)$ . This transforms the invariance condition to

$$\{H^A, \Phi\} \equiv \partial_\alpha H^A \epsilon^{\alpha\beta} \partial_\beta \Phi = \partial_\alpha J \epsilon^{\alpha\beta} \partial_\beta \Psi_A = \{J, \Psi_A\} . \quad (5.8.3)$$

This condition can be solved identically by assuming that  $\Phi_A$  and  $\Psi$  are proportional to arbitrary smooth function of  $J$ :

$$\Phi = f(J) , \quad \Psi_A = -f(J)H_A . \quad (5.8.4)$$

Therefore the  $X^2$  local symplectomorphisms of  $H$  reduce to symplectic transformations of  $X^2$  with Hamiltonians depending on single coordinate  $J$  of  $X^2$ . The analogy with conformal invariance for which transformations depend on single coordinate  $z$  is obvious. As far as the anti-commutation relations for induced spinor fields are considered this means that  $J = \text{constant}$  curves behave as points. For extrema of  $J$  appearing as candidates for points of number theoretic braids  $J = \text{constant}$  curves reduce to points.

5. From the structure of the conditions it is easy to see that the transformations generate a Lie-algebra. For the transformations  $\Phi_A^1 H^A$   $\Phi_A^2 H^A$  the commutator is

$$\Phi_A^{[1,2]} = f_A^{BC} \Phi_B \Phi_C , \quad (5.8.5)$$

where  $f_A^{BC}$  are the structure constants for the symplectic algebra of  $\delta M_\pm^4 \times CP_2$ . From this form it is easy to check that Jacobi identities are satisfied. The commutator has same form as the commutator of gauge algebra generators. BRST gauge symmetry is perhaps the nearest analog of this symmetry. In the case of isometries these transforms realized local color gauge symmetry in TGD sense.

6. If space-time surface allows a slicing to light-like 3-surfaces  $Y_l^3$  parallel to  $X_l^3$ , these conditions make sense also for the partonic 2-surfaces defined by the intersections of  $Y_l^3$  with  $\delta M_{\pm}^4 \times CP_2$  and "parallel" to  $X^2$ . The local symplectic transformations also generalize to their local variants in  $X_l^3$ . Light-likeness of  $X_l^3$  means effective metric 2-dimensionality so that 2-D Kähler metric and symplectic form as well as the invariant  $J = \epsilon^{\alpha\beta} J_{\alpha\beta}$  exist. A straightforward calculation shows that the the notion of local symplectic transformation makes sense also now and formulas are exactly the same as above.

### 5.8.3 SUSY algebra defined by the anticommutation relations of fermionic oscillator operators and WCW local Clifford algebra elements as chiral super-fields

Whether TGD allows space-time supersymmetry has been a long-standing question. Majorana spinors appear in  $N = 1$  super-symmetric QFTs- in particular minimally super-symmetric standard model (MSSM). Majorana-Weyl spinors appear in M-theory and super string models. An undesirable consequence is chiral anomaly in the case that the numbers of left and right handed spinors are not same. For  $D = 11$  and  $D = 10$  these anomalies cancel which led to the breakthrough of string models and later to M-theory. The probable reason for considering these dimensions is that standard model does not predict right-handed neutrino (although neutrino mass suggests that right handed neutrino exists) so that the numbers of left and right handed Weyl-spinors are not the same.

In TGD framework the situation is different. Covariantly constant right-handed neutrino spinor acts as a super-symmetry in  $CP_2$ . One might think that right-handed neutrino in a well-defined sense disappears from the spectrum as a zero mode so that the number of right and left handed chiralities in  $M^4 \times CP_2$  would not be same. For light-like 3-surfaces covariantly constant right-handed neutrino does not however solve the counterpart of Dirac equation for a non-vanishing four-momentum and color quantum numbers of the physical state. Therefore it does not disappear from the spectrum anymore and one expects the same number of right and left handed chiralities.

In TGD framework the separate conservation of baryon and lepton numbers excludes Majorana spinors and also the the Minkowski signature of  $M^4 \times CP_2$  makes them impossible. The conclusion that TGD does not allow super-symmetry is however wrong. For  $\mathcal{N} = 2N$  Weyl spinors are indeed possible and if the number of right and left handed Weyl spinors is same super-symmetry is possible. In 8-D context right and left-handed fermions correspond to quarks and leptons and since color in TGD framework corresponds to  $CP_2$  partial waves rather than spin like quantum number, also the numbers of quark and lepton-like spinors are same.

The physical picture suggest a new kind of approach to super-symmetry in the sense that the anticommutations of fermionic oscillator operators associated with the modes of the induced spinor fields define a structure analogous to SUSY algebra. This means that  $\mathcal{N} = 2N$  SUSY with large  $N$  is in question allowing spins higher than two and also large fermion numbers. Recall that  $\mathcal{N} \leq 32$  is implied by the absence of spins higher than two and the number of real spinor components is  $N = 32$  also in TGD. The situation clearly differs from that encountered in super-string models and SUSYs and the large value of  $N$  allows to expect very powerful constraints on dynamics irrespective of the fact that SUSY is broken. Right handed neutrino modes define a sub-algebra for which the SUSY is only slightly broken by the absence of weak interactions and one could also consider a theory containing a large number of  $\mathcal{N} = 2$  super-multiplets corresponding to the addition of right-handed neutrinos and antineutrinos at the wormhole throat.

Masslessness condition is essential for super-symmetry and at the fundamental level it could be formulated in terms of modified gamma matrices using octonionic representation and assuming that they span local quaternionic sub-algebra at each point of the space-time sheet. SUSY algebra has standard interpretation with respect to spin and isospin indices only at the partonic 2-surfaces so that the basic algebra should be formulated at these surfaces. Effective 2-dimensionality would require that partonic 2-surfaces can be taken to be ends of any light-like 3-surface  $Y_l^3$  in the slicing of the region surrounding a given wormhole throat.

#### Super-algebra associated with the modified gamma matrices

Anti-commutation relations for fermionic oscillator operators associated with the induced spinor fields are naturally formulated in terms of the modified gamma matrices. Super-conformal symmetry sug-

gests that the anti-commutation relations for the fermionic oscillator operators at light-like 3-surfaces or at their ends are most naturally formulated as anti-commutation relations for SUSY algebra. The resulting anti-commutation relations would fix the quantum TGD.

$$\begin{aligned} \{a_{n\alpha}^\dagger, a_{n\beta}\} &= D_{mn} D_{\alpha\beta} \ , \\ D &= (p^\mu + \sum_a Q_a^\mu) \hat{\sigma}^\mu \ . \end{aligned} \quad (5.8.6)$$

Here  $p^\mu$  and  $Q_a^\mu$  are space-time projections of momentum and color charges in Cartan algebra. Their action is purely algebraic. The anti-commutations are nothing but a generalization of the ordinary equal-time anticommutation relations for fermionic oscillator operators to a manifestly covariant form. The matrix  $D_{m,n}$  is expected to reduce to a diagonal form with a proper normalization of the oscillator operators. The experience with extended SUSY algebra suggest that the anti-commutators could contain additional central term proportional to  $\delta_{\alpha\beta}$ .

One can consider basically two different options concerning the definition of the super-algebra.

1. If the super-algebra is defined at the 3-D ends of the intersection of  $X^4$  with the boundaries of  $CD$ , the modified gamma matrices appearing in the operator  $D$  appearing in the anti-commutator are associated with Kähler action. If the generalized masslessness condition  $D^2 = 0$  holds true -as suggested already earlier- one can hope that no explicit breaking of supersymmetry takes place and elegant description of massive states as effectively massless states making also possible generalization of twistor is possible. One must however notice that also massive representatives of SUSY exist.
2. SUSY algebra could be also defined at 2-D ends of light-like 3-surfaces.

According to considerations of [18] these options are equivalent for a large class of space-time sheets. If the effective 3-dimensionality realized in the sense that the effective metric defined by the modified gamma matrices is degenerate, propagation takes place along 3-D light-like 3-surfaces. This condition definitely fails for string like objects.

One can realize the local Clifford algebra also by introducing theta parameters in the standard manner and the expressing a collection of local Clifford algebra element with varying values of fermion numbers (function of  $CD$  and  $CP_2$  coordinates) as a chiral super-field. The definition of a chiral super field requires the introduction of super-covariant derivatives. Standard form for the anti-commutators of super-covariant derivatives  $D_\alpha$  make sense only if they do not affect the modified gamma matrices. This is achieved if  $p_k$  acts on the position of the tip of  $CD$  (rather than internal coordinates of the space-time sheet).  $Q_a$  in turn must act on  $CP_2$  coordinates of the tip.

### Super-fields associated with WCW Clifford algebra

WCW local Clifford algebra elements possess definite fermion numbers and it is not physically sensible to super-pose local Clifford algebra elements with different fermion numbers. The extremely elegant formulation of super-symmetric theories in terms of super-fields encourages to ask whether the local Clifford algebra elements could allow expansion in terms of complex theta parameters assigned to various fermionic oscillator operator in order to obtain formal superposition of elements with different fermion numbers. One can also ask whether the notion of chiral super field might make sense.

The obvious question is whether it makes sense to assign super-fields with the modified gamma matrices.

1. Modified gamma matrices are not covariantly constant but this is not a problem since the action of momentum generators and color generators is purely algebraic space-time coordinates.
2. One can define the notion of chiral super-field also at the fundamental level. Chiral super-field would be continuation of the local Clifford algebra of associated with  $CD$  to a local Clifford algebra element associated with the union of  $CD$ s. This would allow elegant description of cm degrees of freedom, which are the most interesting as far as QFT limit is considered.

3. Kähler function of WCW as a function of complex coordinates could be extended to a chiral super-field defined in quantum fluctuation degrees of freedom. It would depend on zero modes too. Does also the latter dependence allow super-space continuation? Coefficients of powers of theta would correspond to fermionic oscillator operators. Does this function define the propagators of various states associated with light-like 3-surface? Configuration space complex coordinates would correspond to the modes of induced spinor field so that super-symmetry would be realized very concretely.

#### 5.8.4 Identification of Kac-Moody symmetries

The Kac-Moody algebra of symmetries acting as symmetries respecting the light-likeness of 3-surfaces plays a crucial role in the identification of quantum fluctuating configuration space degrees of freedom contributing to the metric.

##### Identification of Kac-Moody algebra

The generators of bosonic super Kac-Moody algebra leave the light-likeness condition  $\sqrt{g_3} = 0$  invariant. This gives the condition

$$\delta g_{\alpha\beta} \text{Cof}(g^{\alpha\beta}) = 0 , \quad (5.8.7)$$

Here *Cof* refers to matrix cofactor of  $g_{\alpha\beta}$  and summation over indices is understood. The conditions can be satisfied if the symmetries act as combinations of infinitesimal diffeomorphisms  $x^\mu \rightarrow x^\mu + \xi^\mu$  of  $X^3$  and of infinitesimal conformal symmetries of the induced metric

$$\delta g_{\alpha\beta} = \lambda(x)g_{\alpha\beta} + \partial_\mu g_{\alpha\beta} \xi^\mu + g_{\mu\beta} \partial_\alpha \xi^\mu + g_{\alpha\mu} \partial_\beta \xi^\mu . \quad (5.8.8)$$

##### Ansatz as an $X^3$ -local conformal transformation of imbedding space

Write  $\delta h^k$  as a super-position of  $X^3$ -local infinitesimal diffeomorphisms of the imbedding space generated by vector fields  $J^A = j^{A,k} \partial_k$ :

$$\delta h^k = c_A(x) j^{A,k} . \quad (5.8.9)$$

This gives

$$\begin{aligned} c_A(x) [D_k j_l^A + D_l j_k^A] \partial_\alpha h^k \partial_\beta h^l + 2\partial_\alpha c_A h_{kl} j^{A,k} \partial_\beta h^l \\ = \lambda(x)g_{\alpha\beta} + \partial_\mu g_{\alpha\beta} \xi^\mu + g_{\mu\beta} \partial_\alpha \xi^\mu + g_{\alpha\mu} \partial_\beta \xi^\mu . \end{aligned} \quad (5.8.10)$$

If an  $X^3$ -local variant of a conformal transformation of the imbedding space is in question, the first term is proportional to the metric since one has

$$D_k j_l^A + D_l j_k^A = 2h_{kl} . \quad (5.8.11)$$

The transformations in question includes conformal transformations of  $H_\pm$  and isometries of the imbedding space  $H$ .

The contribution of the second term must correspond to an infinitesimal diffeomorphism of  $X^3$  reducible to infinitesimal conformal transformation  $\psi^\mu$ :

$$2\partial_\alpha c_A h_{kl} j^{A,k} \partial_\beta h^l = \xi^\mu \partial_\mu g_{\alpha\beta} + g_{\mu\beta} \partial_\alpha \xi^\mu + g_{\alpha\mu} \partial_\beta \xi^\mu . \quad (5.8.12)$$

### A rough analysis of the conditions

One could consider a strategy of fixing  $c_A$  and solving solving  $\xi^\mu$  from the differential equations. In order to simplify the situation one could assume that  $g_{ir} = g_{rr} = 0$ . The possibility to cast the metric in this form is plausible since generic 3-manifold allows coordinates in which the metric is diagonal.

1. The equation for  $g_{rr}$  gives

$$\partial_r c_A h_{klj}{}^{Ak} \partial_r h^k = 0 . \quad (5.8.13)$$

The radial derivative of the transformation is orthogonal to  $X^3$ . No condition on  $\xi^\alpha$  results. If  $c_A$  has common multiplicative dependence on  $c_A = f(r)d_A$  by a one obtains

$$d_A h_{klj}{}^{Ak} \partial_r h^k = 0 . \quad (5.8.14)$$

so that  $J^A$  is orthogonal to the light-like tangent vector  $\partial_r h^k X^3$  which is the counterpart for the condition that Kac-Moody algebra acts in the transversal degrees of freedom only. The condition also states that the components  $g_{ri}$  is not changed in the infinitesimal transformation.

It is possible to choose  $f(r)$  freely so that one can perform the choice  $f(r) = r^n$  and the notion of radial conformal weight makes sense. The dependence of  $c_A$  on transversal coordinates is constrained by the transversality condition only. In particular, a common scale factor having free dependence on the transversal coordinates is possible meaning that  $X^3$ - local conformal transformations of  $H$  are in question.

2. The equation for  $g_{ri}$  gives

$$\partial_r \xi^i = \partial_r c_A h_{klj}{}^{Ak} h^{ij} \partial_j h^k . \quad (5.8.15)$$

The equation states that  $g_{ri}$  are not affected by the symmetry. The radial dependence of  $\xi^i$  is fixed by this differential equation. No condition on  $\xi^r$  results. These conditions imply that the local gauge transformations are dynamical with the light-like radial coordinate  $r$  playing the role of the time variable. One should be able to fix the transformation more or less arbitrarily at the partonic 2-surface  $X^2$ .

3. The three independent equations for  $g_{ij}$  give

$$\xi^\alpha \partial_\alpha g_{ij} + g_{kj} \partial_i \xi^k + g_{ki} \partial_j \xi^k = \partial_i c_A h_{klj}{}^{Ak} \partial_j h^l . \quad (5.8.16)$$

These are 3 differential equations for 3 functions  $\xi^\alpha$  on 2 independent variables  $x^i$  with  $r$  appearing as a parameter. Note however that the derivatives of  $\xi^r$  do not appear in the equation. At least formally equations are not over-determined so that solutions should exist for arbitrary choices of  $c_A$  as functions of  $X^3$  coordinates satisfying the orthogonality conditions. If this is the case, the Kac-Moody algebra can be regarded as a local algebra in  $X^3$  subject to the orthogonality constraint.

This algebra contains as a subalgebra the analog of Kac-Moody algebra for which all  $c_A$  except the one associated with time translation and fixed by the orthogonality condition depends on the radial coordinate  $r$  only. The larger algebra decomposes into a direct sum of representations of this algebra.



### Commutators of infinitesimal symmetries

The commutators of infinitesimal symmetries need not be what one might expect since the vector fields  $\xi^\mu$  are functionals  $c_A$  and of the induced metric and also  $c_A$  depends on induced metric via the orthogonality condition. What this means that  $j^{A,k}$  in principle acts also to  $\phi_B$  in the commutator  $[c_A J^A, c_B J^B]$ .

$$[c_A J^A, c_B J^B] = c_A c_B J^{[A,B]} + J^A \circ c_B J^B - J^B \circ c_A J^A, \quad (5.8.17)$$

where  $\circ$  is a short hand notation for the change of  $c_B$  induced by the effect of the conformal transformation  $J^A$  on the induced metric.

Luckily, the conditions in the case  $g_{rr} = g_{ir} = 0$  state that the components  $g_{rr}$  and  $g_{ir}$  of the induced metric are unchanged in the transformation so that the condition for  $c_A$  resulting from  $g_{rr}$  component of the metric is not affected. Also the conditions coming from  $g_{ir} = 0$  remain unchanged. Therefore the commutation relations of local algebra apart from constraint from transversality result.

The commutator algebra of infinitesimal symmetries should also close in some sense. The orthogonality to the light-like tangent vector creates here a problem since the commutator does not obviously satisfy this condition automatically. The problem can be solved by following the recipes of non-covariant quantization of string model.

1. Make a choice of gauge by choosing time translation  $P^0$  in a preferred  $M^4$  coordinate frame to be the preferred generator  $J^{A_0} \equiv P^0$ , whose coefficient  $\Phi_{A_0} \equiv \Psi(P^0)$  is solved from the orthogonality condition. This assumption is analogous with the assumption that time coordinate is non-dynamical in the quantization of strings. The natural basis for the algebra is obtained by allowing only a single generator  $J^A$  besides  $P^0$  and putting  $d_A = 1$ .
2. This prescription must be consistent with the well-defined radial conformal weight for the  $J^A \neq P^0$  in the sense that the proportionality of  $d_A$  to  $r^n$  for  $J^A \neq P^0$  must be consistent with commutators.  $SU(3)$  part of the algebra is of course not a problem. From the Lorentz vector property of  $P^k$  it is clear that the commutators resulting in a repeated commutation have well-defined radial conformal weights only if one restricts  $SO(3, 1)$  to  $SO(3)$  commuting with  $P^0$ . Also  $D$  could be allowed without losing well-defined radial conformal weights but the argument below excludes it. This picture conforms with the earlier identification of the Kac-Moody algebra.

Conformal algebra contains besides Poincare algebra and the dilation  $D = m^k \partial_{m^k}$  the mutually commuting generators  $K^k = (m^r m_r \partial_{m^k} - 2m^k m^l \partial_{m^l})/2$ . The commutators involving added generators are

$$\begin{aligned} [D, K^k] &= -K^k, & [D, P^k] &= P^k, \\ [K^k, K^l] &= 0, & [K^k, P^l] &= m^{kl} D - M^{kl}. \end{aligned} \quad (5.8.18)$$

From the last commutation relation it is clear that the inclusion of  $K^k$  would mean loss of well-defined radial conformal weights.

3. The coefficient  $dm^0/dr$  of  $\Psi(P^0)$  in the equation

$$\Psi(P^0) \frac{dm^0}{dr} = -J^{Ak} h_{kl} \partial_r h^l$$

is always non-vanishing due to the light-likeness of  $r$ . Since  $P^0$  commutes with generators of  $SO(3)$  (but not with  $D$  so that it is excluded!), one can *define* the commutator of two generators as a commutator of the remaining part and identify  $\Psi(P^0)$  from the condition above.

4. Of course, also the more general transformations act as Kac-Moody type symmetries but the interpretation would be that the sub-algebra plays the same role as  $SO(3)$  in the case of Lorentz group: that is gives rise to generalized spin degrees of freedom whereas the entire algebra divided by this sub-algebra would define the coset space playing the role of orbital degrees of freedom. In

fact, also the Kac-Moody type symmetries for which  $c_A$  depends on the transversal coordinates of  $X^3$  would correspond to orbital degrees of freedom. The presence of these orbital degrees of freedom arranging super Kac-Moody representations into infinite multiplets labeled by function basis for  $X^2$  means that the number of degrees of freedom is much larger than in string models.

5. It is possible to replace the preferred time coordinate  $m^0$  with a preferred light-like coordinate. There are good reasons to believe that orbifold singularity for phases of matter involving non-standard value of Planck constant corresponds to a preferred light-ray going through the tip of  $\delta M_{\pm}^4$ . Thus it would be natural to assume that the preferred  $M^4$  coordinate varies along this light ray or its dual. The Kac-Moody group  $SO(3) \times E^3$  respecting the radial conformal weights would reduce to  $SO(2) \times E^2$  as in string models.  $E^2$  would act in tangent plane of  $S_{\pm}^2$  along this ray defining also  $SO(2)$  rotation axis.

### Hamiltonians

The action of these transformations on Kähler action is well-defined and one can deduce the conserved quantities having identification as configuration space Hamiltonians. Hamiltonians also correspond to closed 2-forms. The condition that the Hamiltonian reduces to a dual of closed 2-form is satisfied because  $X^2$ -local conformal transformations of  $M_{\pm}^4 \times CP_2$  are in question ( $X^2$ -locality does not imply any additional conditions).

### The action of Kac-Moody algebra on spinors and fermionic representations of Kac-Moody algebra

One can imagine two interpretations for the action of generalized Kac-Moody transformations on spinors.

1. The basic goal is to deduce the fermionic Noether charge associated with the bosonic Kac-Moody symmetry and this can be done by a standard recipe. The first contribution to the charge comes from the transformation of modified gamma matrices appearing in the modified Dirac action associated with fermions. Second contribution comes from spinor rotation.
2. Both  $SO(3)$  and  $SU(3)$  rotations have a standard action as spin rotation and electro-weak rotation allowing to define the action of the Kac-Moody algebra  $J^A$  on spinors.

### How central extension term could emerge?

The central extension term of Kac-Moody algebra could correspond to a symplectic extension which can emerge from the freedom to add a constant term to Hamiltonians as in the case of super-symplectic algebra. The expression of the Hamiltonians as closed forms could allow to understand how the central extension term emerges.

In principle one can construct a representation for the action of Kac-Moody algebra on fermions a representations as a fermionic bilinear and the central extension of Kac-Moody algebra could emerge in this construction just as it appears in Sugawara construction.

### About the interpretation of super Kac-Moody symmetries

Also the light like 3-surfaces  $X_l^3$  of  $H$  defining elementary particle horizons at which Minkowskian signature of the metric is changed to Euclidian and boundaries of space-time sheets can act as causal determinants, and thus contribute to the configuration space metric. In this case the symmetries correspond to the isometries of the imbedding space localized with respect to the complex coordinate of the 2-surface  $X^2$  determining the light like 3-surface  $X_l^3$  so that Kac-Moody type symmetry results. Also the condition  $\sqrt{g_3} = 0$  for the determinant of the induced metric seems to define a conformal symmetry associated with the light like direction.

If is enough to localize only the  $H$ -isometries with respect to  $X_l^3$ , the purely bosonic part of the Kac-Moody algebra corresponds to the isometry group  $M^4 \times SO(3, 1) \times SU(3)$ . The physical interpretation of these symmetries is not so obvious as one might think. The point is that one can generalize the formulas characterizing the action of infinitesimal isometries on spinor fields of finite-dimensional Kähler manifold to the level of the configuration space. This gives rise to bosonic generators containing

also a sigma-matrix term bilinear in fermionic oscillator operators. This representation need not be equivalent with the purely fermionic representations provided by induced Dirac action. Thus one has two groups of local color charges and the challenge is to find a physical interpretation for them.

The following arguments support one possible identification.

1. The hint comes from the fact that  $U(2)$  in the decomposition  $CP_2 = SU(3)/U(2)$  corresponds in a well-defined sense electro-weak algebra identified as a holonomy algebra of the spinor connection. Hence one could argue that the  $U(2)$  generators of either  $SU(3)$  algebra might be identifiable as generators of local  $U(2)_{ew}$  gauge transformations whereas non-diagonal generators would correspond to Higgs field. This interpretation would conform with the idea that Higgs field is a genuine scalar field rather than a composite of fermions.
2. Since  $X_l^3$ -local  $SU(3)$  transformations represented by fermionic currents are characterized by central extension they would naturally correspond to the electro-weak gauge algebra and Higgs bosons. This is also consistent with the fact that both leptons and quarks define fermionic Kac Moody currents.
3. The fact that only quarks appear in the gamma matrices of the configuration space supports the view that action of the generators of  $X_l^3$ -local color transformations on configuration space spinor fields represents local color transformations. If the action of  $X_l^3$ -local  $SU(3)$  transformations on configuration space spinor fields has trivial central extension term the identification as a representation of local color symmetries is possible.

The topological explanation of the family replication phenomenon is based on an assignment of 2-dimensional boundary to a 3-surface characterizing the elementary particle. The precise identification of this surface has remained open and one possibility is that the 2-surface  $X^2$  defining the light light-like surface associated with an elementary particle horizon is in question. This assumption would conform with the notion of elementary particle vacuum functionals defined in the zero modes characterizing different conformal equivalences classes for  $X^2$ .

### The relationship of the Super-Kac Moody symmetry to the standard super-conformal invariance

Super-Kac Moody symmetry can be regarded as  $N = 4$  complex super-symmetry with complex  $H$ -spinor modes of  $H$  representing the 4 physical helicities of 8-component leptonic and quark like spinors acting as generators of complex dynamical super-symmetries. The super-symmetries generated by the covariantly constant right handed neutrino appear with *both*  $M^4$  helicities: it however seems that covariantly constant neutrino does not generate any global super-symmetry in the sense of particle-sparticle mass degeneracy. Only righthanded neutrino spinor modes (apart from covariantly constant mode) appear in the expressions of configuration space gamma matrices forming a subalgebra of the full super-algebra.

$N = 2$  real super-conformal algebra is generated by the energy momentum tensor  $T(z)$ ,  $U(1)$  current  $J(z)$ , and super generators  $G^\pm(z)$  carrying  $U(1)$  charge. Now  $U(1)$  current would correspond to right-handed neutrino number and super generators would involve contraction of covariantly constant neutrino spinor with second quantized induced spinor field. The further facts that  $N = 2$  algebra is associated naturally with Kähler geometry, that the partition functions associated with  $N = 2$  super-conformal representations are modular invariant, and that  $N = 2$  algebra defines so called chiral ring defining a topological quantum field theory [82], lend a further support for the belief that  $N = 2$  super-conformal algebra acts in super-symplectic degrees of freedom.

The values of  $c$  and conformal weights for  $N = 2$  super-conformal field theories are given by

$$\begin{aligned}
 c &= \frac{3k}{k+2} \ , \\
 \Delta_{l,m}(NS) &= \frac{l(l+2) - m^2}{4(k+2)} \ , \ l = 0, 1, \dots, k \ , \\
 q_m &= \frac{m}{k+2} \ , \ m = -l, -l+2, \dots, l-2, l \ .
 \end{aligned}
 \tag{5.8.19}$$

$q_m$  is the fractional value of the  $U(1)$  charge, which would now correspond to a fractional fermion number. For  $k = 1$  one would have  $q = 0, 1/3, -1/3$ , which brings in mind anyons.  $\Delta_{l=0, m=0} = 0$  state would correspond to a massless state with a vanishing fermion number. Note that  $SU(2)_k$  Wess-Zumino model has the same value of  $c$  but different conformal weights. More information about conformal algebras can be found from the appendix of [82].

For Ramond representation  $L_0 - c/24$  or equivalently  $G_0$  must annihilate the massless states. This occurs for  $\Delta = c/24$  giving the condition  $k = 2[l(l+2) - m^2]$  (note that  $k$  must be even and that  $(k, l, m) = (4, 1, 1)$  is the simplest non-trivial solution to the condition). Note the appearance of a fractional vacuum fermion number  $q_{vac} = \pm c/12 = \pm k/4(k+2)$ . I have proposed that NS and Ramond algebras could combine to a larger algebra containing also lepto-quark type generators but this not necessary.

The conformal algebra defined as a direct sum of Ramond and NS  $N = 4$  complex sub-algebras associated with quarks and leptons might further extend to a larger algebra if lepto-quark generators acting effectively as half odd-integer Virasoro generators can be allowed. The algebra would contain spin and electro-weak spin as fermionic indices. Poincare and color Kac-Moody generators would act as symplectically extended isometry generators on configuration space Hamiltonians expressible in terms of Hamiltonians of  $X_l^3 \times CP_2$ . Electro-weak and color Kac-Moody currents have conformal weight  $h = 1$  whereas  $T$  and  $G$  have conformal weights  $h = 2$  and  $h = 3/2$ .

The experience with  $N = 4$  complex super-conformal invariance suggests that the extended algebra requires the inclusion of also second quantized induced spinor fields with  $h = 1/2$  and their super-partners with  $h = 0$  and realized as fermion-antifermion bilinears. Since  $G$  and  $\Psi$  are labeled by  $2 \times 4$  spinor indices, super-partners would correspond to  $2 \times (3 + 1) = 8$  massless electro-weak gauge boson states with polarization included. Their inclusion would make the theory highly predictive since induced spinor and electro-weak fields are the fundamental fields in TGD.

### 5.8.5 Coset space structure for configuration space as a symmetric space

The key ingredient in the theory of symmetric spaces is that the Lie-algebra of  $G$  has the following decomposition

$$g = h + t \quad , \quad [h, h] \subset h \quad , \quad [h, t] \subset t \quad , \quad [t, t] \subset h \quad .$$

In present case this has highly nontrivial consequences. The commutator of *any* two infinitesimal generators generating nontrivial deformation of 3-surface belongs to  $h$  and thus vanishing norm in the configuration space metric at the point which is left invariant by  $H$ . In fact, this same condition follows from Ricci flatness requirement and guarantees also that  $G$  acts as isometries of the configuration space. This generalization is supported by the properties of the unitary representations of Lorentz group at the light cone boundary and by number theoretical considerations.

The algebras suggesting themselves as candidates are symplectic algebra of  $\delta M^\pm \times CP_2$  and Kac-Moody algebra mapping light-like 3-surfaces to light-like 3-surfaces to be discussed in the next section.

The identification of the precise form of the coset space structure is however somewhat delicate.

1. The essential point is that both symplectic and Kac-Moody algebras allow representation in terms of  $X_l^3$ -local Hamiltonians. The general expression for the Hamilton of Kac-Moody algebra is

$$H = \sum \Phi_A(x) H^A \quad . \quad (5.8.20)$$

Here  $H^A$  are Hamiltonians of  $SO(3) \times SU(3)$  acting in  $\delta X_l^3 \times CP_2$ . For symplectic algebra any Hamiltonian is allowed. If  $x$  corresponds to any point of  $X_l^3$ , one must assume a slicing of the causal diamond  $CD$  by translates of  $\delta M_\pm^4$ .

2. For symplectic generators the dependence of form on  $r^\Delta$  on light-like coordinate of  $\delta X_l^3 \times CP_2$  is allowed.  $\Delta$  is complex parameter whose modulus squared is interpreted as conformal weight.  $\Delta$  is identified as analogous quantum number labeling the modes of induced spinor field.

3. One can wonder whether the choices of the  $r_M = \text{constant}$  sphere  $S^2$  is the only choice. The Hamiltonin-Jacobi coordinate for  $X_{X_l^3}^4$  suggest an alternative choice as  $E^2$  in the decomposition of  $M^4 = M^2(x) \times E^2(x)$  required by number theoretical compactification and present for known extremals of Kähler action with Minkowskian signature of induced metric. In this case  $SO(3)$  would be replaced with  $SO(2)$ . It however seems that the radial light-like coordinate  $u$  of  $X^4(X_l^3)$  would remain the same since any other curve along light-like boundary would be space-like.
4. The vector fields for representing Kac-Moody algebra must vanish at the partonic 2-surface  $X^2 \subset \delta M_{\pm}^4 \times CP_2$ . The corresponding vector field must vanish at each point of  $X^2$ :

$$j^k = \sum \Phi_A(x) J^{kl} H_l^A = 0 . \quad (5.8.21)$$

This means that the vector field corresponds to  $SO(2) \times U(2)$  defining the isotropy group of the point of  $S^2 \times CP_2$ .

This expression could be deduced from the idea that the surfaces  $X^2$  are analogous to origin of  $CP_2$  at which  $U(2)$  vector fields vanish. Configuration space at  $X^2$  could be also regarded as the analog of the origin of local  $S^2 \times CP_2$ . This interpretation is in accordance with the original idea which however was given up in the lack of proper realization. The same picture can be deduced from braiding in which case the Kac-Moody algebra corresponds to local  $SO(2) \times U(2)$  for each point of the braid at  $X^2$ . The condition that Kac-Moody generators with positive conformal weight annihilate physical states could be interpreted by stating effective 2-dimensionality in the sense that the deformations of  $X_l^3$  preserving its light-likeness do not affect the physics. Note however that Kac-Moody type Virasoro generators do not annihilate physical states.

5. Kac-Moody algebra generator must leave induced Kähler form invariant at  $X^2$ . This is of course trivial since the action leaves each point invariant. The conditions of Cartan decomposition are satisfied. The commutators of the Kac-Moody vector fields with symplectic generators are non-vanishing since the action of symplectic generator on Kac-Moody generator restricted to  $X^2$  gives a non-vanishing result belonging to the symplectic algebra. Also the commutators of Kac-Moody generators are Kac-Moody generators.

### 5.8.6 The relationship between super-symplectic and Super Kac-Moody algebras, Equivalence Principle, and justification of p-adic thermodynamics

The relationship between super-symplectic algebra ( $SS$ ) acting at light-cone boundary and Super Kac-Moody algebra ( $SKM$ ) acting on light-like 3-surfaces has remained somewhat enigmatic due to the lack of physical insights. This is not the only problem. The question to precisely what extent Equivalence Principle (EP) remains true in TGD framework and what might be the precise mathematical realization of EP is waiting for an answer. Also the justification of p-adic thermodynamics for the scaling generator  $L_0$  of Virasoro algebra -in obvious conflict with the basic wisdom that this generator should annihilate physical states- is lacking. It seems that these three problems could have a common solution.

#### New vision about the relationship between $SSV$ and $SKMV$

Consider now the new vision about the relationship between  $SSV$  and  $SKMV$ .

1. The isometries of  $H$  assignable with  $SKM$  are also symplectic transformations [17] (note that I have used the attribute "canonical" instead of "symplectic" previously). Hence might consider the possibility that  $SKM$  could be identified as a subalgebra of  $SS$ . If this makes sense, a generalization of the coset construction obtained by replacing finite-dimensional Lie group with infinite-dimensional symplectic group suggests itself. The differences of  $SSV$  and  $SKMV$  elements would annihilate physical states and commute/anticommute with  $SKMV$ . Also the generators  $O_n$ ,  $n > 0$ , for both algebras would annihilate the physical states so that the differences of the elements would annihilate automatically physical states for  $n > 0$ .

2. The super-generator  $G_0$  contains the Dirac operator  $D$  of  $H$ . If the action of  $SSV$  and  $SKMV$  Dirac operators on physical states are identical then cm of degrees of freedom disappear from the differences  $G_0(SCV) - G_0(SKMV)$  and  $L_0(SCV) - L_0(SKMV)$ . One could interpret the identical action of the Dirac operators as the long sought-for precise realization of Equivalence Principle (EP) in TGD framework. EP would state that the total inertial four-momentum and color quantum numbers assignable to  $SS$  (imbedding space level) are equal to the gravitational four-momentum and color quantum numbers assignable to  $SKM$  (space-time level). Note that since super-symplectic transformations correspond to the isometries of the "world of classical worlds" the assignment of the attribute "inertial" to them is natural.

### Consistency with p-adic thermodynamics

The consistency with p-adic thermodynamics provides a strong reality test and has been already used as a constraint in attempts to understand the super-conformal symmetries in partonic level.

1. In physical states the p-adic thermal expectation value of the  $SKM$  and  $SS$  conformal weights would be non-vanishing and identical and mass squared could be identified equivalently either as the expectation value of  $SKM$  or  $SS$  scaling generator  $L_0$ . There would be no need to give up Super Virasoro conditions for  $SCV - SKMV$ .
2. There is consistency with p-adic mass calculations for hadrons [23] since the non-perturbative  $SS$  contributions and perturbative  $SKM$  contributions to the mass correspond to space-time sheets labeled by different p-adic primes. The earlier statement that  $SS$  is responsible for the dominating non-perturbative contributions to the hadron mass transforms to a statement reflecting  $SS - SKM$  duality. The perturbative quark contributions to hadron masses can be calculated most conveniently by using p-adic thermodynamics for  $SKM$  whereas non-perturbative contributions to hadron masses can be calculated most conveniently by using p-adic thermodynamics for  $SS$ . Also the proposal that the exotic analogs of baryons resulting when baryon loses its valence quarks [26] remains intact in this framework.
3. The results of p-adic mass calculations depend crucially on the number  $N$  of tensor factors contributing to the Super-Virasoro algebra. The required number is  $N = 5$  and during years I have proposed several explanations for this number. It seems that holonomic contributions that is electro-weak and spin contributions must be regarded as contributions separate from those coming from isometries.  $SKM$  algebras in electro-weak degrees and spin degrees of freedom, would give  $2+1=3$  tensor factors corresponding to  $U(2)_{ew} \times SU(2)$ .  $SU(3)$  and  $SO(3)$  (or  $SO(2) \subset SO(3)$  leaving the intersection of light-like ray with  $S^2$  invariant) would give 2 additional tensor factors. Altogether one would indeed have 5 tensor factors.

There are some further questions which pop up in mind immediately.

1. Why mass squared corresponds to the thermal expectation value of the net conformal weight? This option is forced among other things by Lorentz invariance but it is not possible to provide a really satisfactory answer to this question yet. In the coset construction there is no reason to require that the mass squared equals to the integer value conformal weight for  $SKM$  algebra. This allows the possibility that mass squared has same value for states with different values of  $SKM$  conformal weights appearing in the thermal state and equals to the average of the conformal weight.
2. The coefficient of proportionality can be however deduced from the observation that the mass squared values for  $CP_2$  Dirac operator correspond to definite values of conformal weight in p-adic mass calculations. It is indeed possible to assign to partonic 2-surface  $X^2$   $CP_2$  partial waves correlating strongly with the net electro-weak quantum numbers of the parton so that the assignment of ground state conformal weight to  $CP_2$  partial waves makes sense.
3. In the case of  $M^4$  degrees of freedom it is strictly speaking not possible to talk about momentum eigen states since translations take parton out of  $\delta H_+$ . This would suggest that 4-momentum must be assigned with the tip of the light-cone containing the particle but this is not consistent with zero energy ontology. Hence it seems that one must restrict the translations of  $X_l^3$  to

time like translations in the direction of geometric future at  $\delta M_+^4 \times CP_2$ . The decomposition of the partonic 3-surface  $X_l^3$  to regions  $X_{l,i}^3$  carrying non-vanishing induced Kähler form and the possibility to assign  $M^2(x) \subset M^4$  to the tangent space of  $X^4(X_l^3)$  at points of  $X_l^3$  suggests that the points of number theoretic braid to which oscillator operators can be assigned can carry four-momentum in the plane defined by  $M^2(x)$ . One could assume that the four-momenta assigned with points in given region  $X_i^3$  are collinear but even this restriction is not necessary.

4. The additivity of conformal weight means additivity of mass squared at parton level and this has been indeed used in p-adic mass calculations. This implies the conditions

$$\left(\sum_i p_i\right)^2 = \sum_i m_i^2 \quad (5.8.22)$$

The assumption  $p_i^2 = m_i^2$  makes sense only for massless partons moving collinearly. In the QCD based model of hadrons only longitudinal momenta and transverse momentum squared are used as labels of parton states, which together with the presence of preferred plane  $M^2$  would suggest that one has

$$\begin{aligned} p_{i,\parallel}^2 &= m_i^2, \\ -\sum_i p_{i,\perp}^2 + 2\sum_{i,j} p_i \cdot p_j &= 0. \end{aligned} \quad (5.8.23)$$

The masses would be reduced in bound states:  $m_i^2 \rightarrow m_i^2 - (p_T^2)_i$ . This could explain why massive quarks can behave as nearly massless quarks inside hadrons.

### How it is possible to have negative conformal weights for ground states?

p-Adic mass calculations require negative conformal weights for ground states [20]. The only elegant solution of the problems caused by this requirement seems to be p-adic: the conformal weights are positive in the real sense but as p-adic numbers their dominating part is negative integer (in the real sense), which can be compensated by the conformal weights of Super Virasoro generators.

1. If  $\pm\lambda_i^2$  as such corresponds to a ground state conformal weight and if  $\lambda_i$  is real the ground state conformal weight positive in the real sense. In complex case (instanton term) the most natural formula is  $h = \pm|\lambda|^2$ .
2. The first option is based on the understanding of conformal excitations in terms of CP breaking instanton term added to the modified Dirac operator. In this case the conformal weights are identified as  $h = n - |\lambda_k|^2$  and the minus sign comes from the Euclidian signature of the effective metric for the modified Dirac operator. Ground state conformal weight would be non-vanishing for non-zero modes of  $D(X_l^3)$ . Massless bosons produce difficulties unless one has  $h = |\lambda_i(1) - \lambda_i(2)|^2$ , where  $i = 1, 2$  refers to the two wormhole throats. In this case the difference can vanish and its non-vanishing would be due to the symmetric breaking. This scenario is assumed in p-adic mass calculations. Fermions are predicted to be always massive since zero modes of  $D(X^2)$  represent super gauge degrees of freedom.
3. In the context of p-adic thermodynamics a loop hole opens allowing  $\lambda_i$  to be real. In spirit of rational physics suppose that one has in natural units  $h = \lambda_i^2 = xp^2 - n$ , where  $x$  is integer. This number is positive and large in the real sense. In p-adic sense the dominating part of this number is  $-n$  and can be compensated by the net conformal weight  $n$  of Super Virasoro generators acting on the ground state.  $xp^2$  represents the small Higgs contribution to the mass squared proportional to  $(xp^2)_R \simeq x/p^2$  ( $R$  refers to canonical identification). By the basic features of the canonical identification  $p > x \simeq p$  should hold true for gauge bosons for which Higgs contribution dominates. For fermions  $x$  should be small since p-adic mass calculations are

consistent with the vanishing of Higgs contribution to the fermion mass. This would lead to the earlier conclusion that  $xp^2$  and hence  $B_K$  is large for bosons and small for fermions and that the size of fermionic (bosonic) wormhole throat is large (small). This kind of picture is consistent with the p-adic modular arithmetics and suggests by the cutoff for conformal weights implied by the fact that both the number of fermionic oscillator operators and the number of points of number theoretic braid are finite. This solution is however tricky and does not conform with number theoretical universality.



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## Chapter 6

# An Overview About Quantum TGD: Part II

### 6.1 Introduction

This chapter is the second one of two chapters providing a summary about evolution of quantum TGD in nearly chronological order. By their nature these chapters are dynamical and I cannot guarantee internal consistency since the ideas discussed are those under most vigorous development. In this chapter ideas related to the construction of S-matrix and coupling constant evolution are discussed.

The construction of S-matrix involves several ideas that have emerged during last years.

1. Zero energy ontology motivated originally by TGD inspired cosmology means that physical states have vanishing net quantum numbers and are decomposable to positive and negative energy parts separated by a temporal distance characterizing the system as space-time sheet of finite size in time direction. The particle physics interpretation is as initial and final states of a particle reaction. S-matrix and density matrix are unified to the notion of M-matrix expressible as a product of square root of density matrix and of unitary S-matrix. Thermodynamics becomes therefore a part of quantum theory. One must distinguish M-matrix from U-matrix defined between zero energy states and analogous to S-matrix and characterizing the unitary process associated with quantum jump. U-matrix is most naturally related to the description of intentional action since in a well-defined sense it has elements between physical systems corresponding to different number fields.
2. The notion of measurement resolution represented in terms of inclusions of HFFs is an essential element of the picture. Measurement resolution corresponds to the action of the included sub-algebra creating zero energy states in time scales shorter than the cutoff scale. This algebra effectively replaces complex numbers as coefficient fields and the condition that its action commutes with the M-matrix implies that M-matrix corresponds to Connes tensor product. Together with super-conformal symmetries this fixes possible M-matrices to a very high degree.
3. Zero energy ontology leads to profoundly new view about the notion of virtual particle allowing to prove that the M-matrix is finite and that the number of Feynman diagrams contributing to given reaction is finite if particles have p-adic thermal mass.
4. The symmetric space property of world of classical worlds (WCW) allows to reduce WCW functional integral to Fourier analysis in WCW having a direct generalization to p-adic context so that the great dream about algebraic universality can be realized.

### 6.2 About the construction of S-matrix

During years I have proposed a long list of nice looking ideas concerning the construction of S-matrix. After the progress in understanding the role of hyper-finite factors of type  $II_1$  it become clear that

the basic problems have been more at the conceptual level rather than calculational. Thus the key questions seem to be following ones.

What does one actually mean with S-matrix? How does S-matrix differ from the  $U$ -matrix associated with the quantum jump? What could S-matrix with a finite measurement resolution mean? What is the precise mathematical characterization of a physical state when the measurement resolution is finite? How does the fuzziness due to a finite measurement resolution affect the definition of transition probabilities defined by S-matrix?

The proper formulation of the notion of measurement resolution leads to a rather dramatic modification of the standard mathematical picture. S-matrix could be fractal and more or less the same for  $\mathcal{M}$  and its sub-factors. Transition probabilities would be defined by "quantum S-matrix" with non-commuting  $\mathcal{N}$  valued elements in non-commutative fuzzy "quantum quantum state space" with  $\mathcal{N}$  valued coefficients generated by  $\mathcal{M}/\mathcal{N}$ , where Jones inclusion  $\mathcal{N} \subset \mathcal{M}$  defines the measurement resolution. Transition probabilities would be eigenvalues of the transition probabilities, which would be commuting Hermitian operators in  $\mathcal{N}$ .

Classical TGD forces to question even the basic ontology and strongly suggests the notion of zero energy ontology in which physical states possess vanishing net quantum numbers and are creatable from vacuum: S-matrix would represent entanglement coefficients between positive and negative energy parts of the state.  $U$ -matrix would characterize transition amplitudes between zero energy states and could have elements between states belonging to different number fields. In particular, it could characterize transitions in which intention transforms to action.

At the more technical level the requirement of number theoretical universality leads to a rather concrete picture about the general form of S-matrix based on the notion of number theoretic braid. This notion emerges also from the non-commutativity implied by the finite measurement resolution characterized in terms of Jones inclusions.

The improved understanding of super-conformal symmetries during last year provides powerful additional constraints and suggest a modification of stringy picture replacing number theoretic strings with number theoretic braids.

### 6.2.1 About the general conceptual framework behind quantum TGD

Let us first list the basic conceptual framework in which I try to concretize the ideas about  $S$ -matrix.

#### $N = 4$ super-conformal invariance and light-like 3-surfaces as fundamental dynamical objects

Super-conformal symmetries generalized from string model context to TGD framework are symmetries of  $S$ -matrix and of its generalization to M-matrix. This is very powerful constraint but useless unless one has precisely defined ontology translated to a rigorous mathematical framework. The zero energy ontology of TGD is now rather well understood but differs dramatically from that of standard quantum field theories. Second deep difference is that path integral formalism is given up and the goal is to construct  $S$ -matrix as a generalization of braiding  $S$ -matrices with reaction vertices replaced with the replication of number theoretic braids associated with partonic 2-surfaces taking the role of vertices.

The path leading to the understanding of super-conformal invariance in TGD framework was long but the final outcome is briefly described. There are two kinds of super-conformal symmetries.

1. The first super-conformal invariance is associated with light-cone boundary and is due to its metric 2-dimensionality putting 4-D Minkowski space in a unique position. The symplectic transformations of  $\delta H_{\pm} = \delta M_{\pm}^4 \times CP_2$  are identified as isometries of the configuration space. The super-generators of super-symplectic algebra correspond to the gamma matrices of configuration space.
2. Light-like partonic 3-surfaces  $X^3$  are the basic dynamical objects and light-likeness is respected by the 3-D variant of Kac-Moody algebra of conformal transformations of imbedding space made local with respect to  $X^3$ . Ordinary 1-D Kac-Moody algebra with complex coordinate  $z$  replaced with a light-like radial coordinate  $r$  takes a special role and super Kac-Moody symmetry is associated with this. The conformal symmetries associated with  $X^2$  are counterpart of stringy conformal symmetries but have a role analogous to the conformal symmetries of critical statistical systems.



3. By the generalized coset construction the differences of SKMV and SCV generators annihilate physical states: the interpretation is in terms of Equivalence Principle. This also justifies the assumption that mass squared is p-adic thermal expectation value of Super Kac-Moody conformal weight. SKM algebra creates tachyonic ground states with various conformal weights as null states annihilated by  $L_n$ ,  $n > 0$  to which p-adic thermodynamics in SKMV degrees of freedom applies.

The light-likeness property allows the fermionic counterpart of the Chern-Simons action for the induced Kähler gauge potential as the only possible action principle. The resulting almost topological conformal field theory has maximal  $N = 4$  super-conformal symmetry with the inherent gauge group  $SU(2) \times U(2)$  identified in terms of rotations and electro-weak symmetries acting on imbedding space spinors.

Fermionic dynamics is determined by the modified Dirac action fixed uniquely by the requirement of super-conformal symmetry. The generalized eigen modes and the generalized eigen-values  $\lambda$  of the modified Dirac operator  $D$  are expected to play a fundamental role in quantum TGD.

### S-matrix as a functor

Almost topological QFT property of quantum allows to identify S-matrix as a functor from the category of generalized Feynman cobordisms to the category of operators mapping the Hilbert space of positive energy states to that for negative energy states: these Hilbert spaces are assignable to partonic 2-surfaces. Feynman cobordism is the generalized Feynman diagram having light-like 3-surfaces as lines glued together along their ends defining vertices as 2-surfaces. This picture differs dramatically from that of string models. There is a functional integral over the small deformations of Feynman cobordisms corresponding to maxima of Kähler function. Functor property generalizes the unitary condition and allows also thermal S-matrices which seem to be unavoidable since imbedding space degrees of freedom give rise to a factor of type I with  $Tr(id) = \infty$ .

### S-matrix in zero energy ontology

Zero energy ontology allows to construct unitary S-matrix in fermionic degrees of freedom as unitary entanglement coefficients between positive and negative energy parts of zero energy state. The basic properties of hyper-finite factor  $II_1$  are absolutely crucial. The inclusion of bosonic degrees of freedom lead to a replacement of HFF of type  $II_1$  with HFF of type  $II_\infty = II_1 \otimes I_\infty$ . However, normalizability of the states allows only a projection of S-matrix to a finite-dimensional subspace of incoming or outgoing states. Hence the S-matrix is effectively restricted to  $II_1 \otimes I_n = II_1$  factor so that at the level of physical states HFF of type  $II_1$  results. This is absolutely crucial for the unitarity of the S-matrix since it makes possible to have  $Tr(SS^\dagger) = Tr(Id) = 1$ . If factor of type I is present as a tensor factor, thermal S-matrix is the only possibility and later arguments in favor of the idea that thermodynamics is unavoidable part of quantum theory in zero energy ontology will be developed.

One can worry whether unitarity condition is consistent with the idea that fermionic degrees of freedom should allow to represent Boolean functions in terms of time-like entanglement. That unitary time evolution is able to represent this kind of functions in the case of quantum computers suggests that unitarity is not too strong a restriction. The basic question is whether only a "cognitive" representation of physical S-matrix in terms of time like entanglement or a genuine physical S-matrix is in question. It seems that the latter option is the only possible one so that physical systems would represent the laws of physics.

### U-matrix

Besides S-matrix there is also U-matrix defining the unitary process associated with the quantum jump. S- resp. U-matrix characterizes quantum state resp. quantum jump so that they cannot be one and same thing.

1. There are good arguments supporting the view that U-matrix is almost trivial, and the real importance of U-matrix seems to be related to the to the description of intentional action identified as a transition between p-adic and real zero energy states and to the possibility to perceive states rather than only changes as quantum jumps leaving the state almost unchanged.

2. State function reduction corresponds to a projection sub-factor in TGD inspired quantum measurement theory whereas  $U$  process in some sense corresponds its reversal. Therefore  $U$  matrix might correspond to unitary isomorphism mapping factor to a larger factor containing it.
3. State function reduction must be consistent with the unitarity of  $S$ -matrix defining time-like entanglement. Since state function reduction means essentially multiplication by a projector to a sub-space it seems that state function reduction for both incoming and outgoing states are possible and would naturally correspond to projections to sub-factors of corresponding HFFs of type  $II_1$ .

### Unitarity of S-matrix is not necessary in zero energy ontology

U-matrix is necessarily unitary. There are good reasons to believe that this condition combined with Lorentz invariance makes it almost trivial. In the case of S-matrix unitarity is not absolutely necessary.

The restriction of the time-like entanglement coefficients to a unitary  $S$ -matrix would conform with the idea that light-like partonic 2-surfaces represent a dynamical evolution at quantum level so that zero energy states must be orthogonal both with respect to positive and negative energy parts of the states. On the other hand, the light-like 3-surface can be chosen arbitrarily and its choice indeed affects  $S$ -matrix. Hence the theory cannot fully reduce to a 2-dimensional theory. The interpretation is that light-like 3-surfaces are in 1-1 correspondence with the ground states of super-conformal representations identifiable as light particles.

There are several arguments supporting the view that S-matrix need not be unitary. The simplest observation is that imbedding space degrees of freedom naturally give rise to a factor of type I so that only thermal S-matrix defines a normalizable zero energy state. S-matrix as functor from the category of Feynman cobordisms to the category operators defining entanglement coefficients implies that S-matrix in fermionic degrees of freedom for a product of cobordisms is product of the S-matrices for cobordisms. This implies that in fermionic degrees of freedom S-matrix is thermal S-matrix with time parameter replaced with complex time parameter whose imaginary part corresponds to inverse temperature. Also an argument based on the existence of universal thermal S-matrix with a complex time parameter for hyper-finite factors of type  $III_1$  supports the view that unitarity is not necessary. A further argument is based on the finding that in dimensions  $D < 4$  unitary S-matrix exists only if cobordism is trivial so that topology change would not be possible. This raises the fascinating possibility that thermodynamics - in particular p-adic thermodynamics - is an unavoidable and inherent property of quantum TGD.

### Does Connes tensor product fix the allowed M-matrices?

Hyperfiniteness factors of type  $II_1$  and the inclusion  $\mathcal{N} \subset \mathcal{M}$  inclusions have been proposed to define quantum measurement theory with a finite measurement resolution characterized by  $\mathcal{N}$  and with complex rays of state space replaced with  $\mathcal{N}$  rays. What this really means is far from clear.

1. Naively one expects that matrices whose elements are elements of  $\mathcal{N}$  give a representation for  $\mathcal{M}$ . Now however unit operator has unit trace and one cannot visualize the situation in terms of matrices in case of  $\mathcal{M}$  and  $\mathcal{N}$ .
2. The state space with  $\mathcal{N}$  resolution would be formally  $\mathcal{M}/\mathcal{N}$  consisting of  $\mathcal{N}$  rays. For  $\mathcal{M}/\mathcal{N}$  one has finite-D matrices with non-commuting elements of  $\mathcal{N}$ . In this case quantum matrix elements should be multiplets of selected elements of  $\mathcal{N}$ , **not all** possible elements of  $\mathcal{N}$ . One cannot therefore think in terms of the tensor product of  $\mathcal{N}$  with  $\mathcal{M}/\mathcal{N}$  regarded as a finite-D matrix algebra.
3. What does this mean? Obviously one must pose a condition implying that  $\mathcal{N}$  action commutes with matrix action just like  $C$ : this poses conditions on the matrices that one can allow. Connes tensor product [45] does just this. Note I have proposed already earlier the reduction of interactions to Connes tensor product (see the section "*Could Connes tensor product...*" later in this chapter) but without reference to zero energy ontology as a fundamental manner to define measurement resolution with respect time and assuming unitarity.

The starting point is the Jones inclusion sequence

$$\mathcal{N} \subset \mathcal{M} \subset \mathcal{M} \otimes_{\mathcal{N}} \mathcal{M} \dots$$

Here  $\mathcal{M} \otimes_{\mathcal{N}} \mathcal{M}$  is Connes tensor product which can be seen as elements of the ordinary tensor product commuting with  $\mathcal{N}$  action so that  $\mathcal{N}$  indeed acts like complex numbers in  $\mathcal{M}$ .  $\mathcal{M}/\mathcal{N}$  is in this picture represented with  $\mathcal{M}$  in which operators defined by Connes tensor products of elements of  $\mathcal{M}$ . The replacement  $\mathcal{M} \rightarrow \mathcal{M}/\mathcal{N}$  corresponds to the replacement of the tensor product of elements of  $\mathcal{M}$  defining matrices with Connes tensor product.

One can try to generalize this picture to zero energy ontology.

1.  $\mathcal{M} \otimes_{\mathcal{N}} \mathcal{M}$  would be generalized by  $\mathcal{M}_+ \otimes_{\mathcal{N}} \mathcal{M}_-$ . Here  $\mathcal{M}_+$  would create positive energy states and  $\mathcal{M}_-$  negative energy states and  $\mathcal{N}$  would create zero energy states in some shorter time scale resolution: this would be the precise meaning of finite measurement resolution.
2. Connes entanglement with respect to  $\mathcal{N}$  would define a non-trivial and unique recipe for constructing M-matrices as a generalization of S-matrices expressible as products of square root of density matrix and unitary S-matrix but it is not how clear how many M-matrices this allows. In any case M-matrices would depend on the triplet  $(\mathcal{N}, \mathcal{M}_+, \mathcal{M}_-)$  and this would correspond to p-adic length scale evolution giving replacing coupling constant evolution in TGD framework. Thermodynamics would enter the fundamental quantum theory via the square root of density matrix.
3. Zero energy ontology is a key element of this picture and the most compelling argument for zero energy ontology is the possibility of describing coherent states of Cooper pairs without giving up fermion number, charge, etc. conservation and automatic emerges of length scale dependent notion of quantum numbers (quantum numbers identified as those associated with positive energy factor).

To sum up, interactions would be an outcome of a finite measurement resolution and at the never-achievable limit of infinite measurement resolution the theory would be free: this would be the counterpart of asymptotic freedom.

### Quantum classical correspondence

Quantum classical correspondence states that there is a correspondence between quantum fluctuating degrees of freedom associated with partonic 2-surfaces and classical dynamics. The weakest form of this principle is that the ground states of partonic super-conformal representations (massless states which generate light masses observed in laboratory) correspond to the interior dynamics of space-time sheets containing the partonic 2-surfaces. At the space-time level there would be 1-1 correspondence with the maxima of Kähler function giving rise to the analog of spin glass energy landscape.

One could protest by saying that excited states of super-conformal representations have no space-time correlate in this picture. Quantum states are replaced with states in which the projection of S-matrix to a finite-dimensional space in bosonic degrees of freedom appears as time-like entanglement coefficients so that quantum classical correspondence is obtained in strict sense after all. These states are formally analogous which raises the question whether an actual relationship exists. For HFFs of type III unitary time evolution and thermal equilibrium are indeed closely related aspects of states [47].  $I_\infty \rightarrow I_n$  cutoff in the bosonic degrees of freedom would naturally have the discretization represented by number theoretic braids as a space-time correlate.

The effective elimination of the degrees of freedom associated with the space-time interior implied by the 1-1 correlation would allow to forget 4-D space-time degrees of freedom more or less completely as far as calculation of S-matrix is considered and everything would reduce to Fock space level as it does in quantum field theories. The functional integral around the maximum of Kähler function would select a set of preferred light-like partonic 3-surfaces. Quantum criticality suggests that the functional integral can be carried out exactly.

### How TGD differs from string models

An important detail which deserves to be mentioned separately is one crucial deviation from string model picture: the stringy decays of partonic 2-surfaces or 3-surfaces are space-time correlates for the propagation of particle via several different routes rather than genuine particle decay. Note that partonic 2-surfaces can have arbitrarily large size and the outer boundary of any physical system represents the basic example of this kind of surface. Particle reactions correspond to branchings of light-like partonic 2-surfaces so that incoming and outgoing partons are glued together along their ends. This picture makes sense because quantum TGD reduces to almost topological conformal QFT at parton level (only light-likeness brings in the notion of metric).

Quantum classical correspondence allows to interpret light-like partonic 3-surface either as a time evolution of a highly non-deterministic 2-D system or as a 3-D system. This state-dynamics duality was discovered already in [35], where it was realized that topological quantum computation has interpretation either as a program (state) or running of program (dynamics). Complete reduction to 2-D dynamics is not possible since the light-like 3-surfaces associated with maxima of Kähler action define spin glass energy landscape such that each maximum corresponds to its own  $S$ -matrix.

In this picture particle reactions correspond classically to branchings of partonic 2-surfaces generalizing the branchings for lines in Feynman diagrams. The stringy vertices for decays of surfaces correspond in TGD framework to the classical space-time correlate for a particle travelling along different paths and the particle creation and annihilation is a generalization of what occurs in Feynman diagrams with vertices replaced with 2-dimensional partonic surfaces along which light-like partonic 3-surfaces meet.

### Physics as a generalized number theory vision

TGD as a generalized number theory vision gives powerful constraints. New view about space-time involves p-adic space-time sheets as space-time correlates for cognitive representations in fermionic case and for intentions in the bosonic case. This leads to the notion of number theoretic braid belonging to the algebraic intersection of real and p-adic partonic surfaces obeying same algebraic equations.

The implication is that the data characterizing  $S$ -matrix elements should come from discrete algebraic points of number theoretic braids. The Galois groups for braids occupying regions of partonic 2-surface emerge as a new element and relate closely to the representations of braid groups in HFFs of type  $II_1$ . Number theoretic universality leads to the condition that  $S$ -matrix elements are algebraic numbers in the extension of rational defined by the extension of p-adic numbers involved.

### The role of hyper-finite factors of type $II_1$

The Clifford algebra of configuration space ("world of classical worlds") spinors is very naturally a hyper-finite factor of type  $II_1$ . During the last few years I have gradually learned something about the magnificent mathematical beauty of these objects.

1. TGD inspired quantum measurement theory with measurement resolution characterized in terms of Jones inclusion and based on HFFs of type  $II_1$  brings in non-commutative quantum physics and leads to powerful general predictions [33, 49]. The basic idea is that complex rays of the state space are replaced with  $\mathcal{N}$  rays for Jones inclusion  $\mathcal{N} \subset \mathcal{M}$ .  $\mathcal{N}$  defines the measurement resolution in the sense that the group  $G$  leaving elements of  $\mathcal{N}$  invariant characterizes the measured quantum numbers.
2. Hyper-finite factors have the property that they are isomorphic with their tensor powers. This makes possible the construction of vertices as unitary isomorphisms between tensor products of HFFs of type  $II_1$  associated with incoming and outgoing states. The core part of  $S$ -matrix boils down to a unitary isomorphism between tensor products of hyper-finite factors of type  $II_1$  associated with incoming *resp.* outgoing partonic 3-surfaces whose ends meet at the partonic 2-surface representing reaction vertex.
3. The study of Jones inclusions leads to the idea that Planck constant is dynamical and quantized. The predicted hierarchy of Planck constants involving a generalization of imbedding space concept and an explanation of dark matter as macroscopic quantum phases [35]. Here the special mathematical role of Jones inclusions with index  $r \leq 4$  is crucial.

4. The properties of HFFs inspire also the idea that TGD based physics should be able to mimic any imaginable quantum physical system defined by gauge theory or conformal field theory involving Kac-Moody symmetry. Thus the ultimate physics would be kind of analog for Turing machine. The prediction inspired by TGD based explanation of McKay correspondence [50] is that TGD Universe is indeed able to simulate gauge and Kac-Moody dynamics of a very large subset of ADE type groups. In fact, also much more general prediction that simulation should be possible for any compact Lie group emerges.
5. HFFs of type  $II_1$  lead also to deep connections with number theory [50] and number theoretical braids can be interpreted in terms of representations of Galois groups assignable with partonic 2-surfaces in terms of HFFs of type  $II_1$ . Particle decay represents a replication of number theoretical braids and this together with p-adic fractality and hierarchy of Planck constants suggests strongly direct connections with genetic code and DNA.

### Could TGD emerge from a local version of infinite-dimensional Clifford algebra?

A crucial step in the progress was the realization that TGD emerges from the mere idea that a local version of hyper-finite factor of type  $II_1$  represented as an infinite-dimensional Clifford algebra must exist (as analog of say local gauge groups). This implies a connection with the classical number fields. Quantum version of complexified octonions defining the coordinate with respect to which one localizes is unique by its non-associativity allowing to uniquely separate the powers of octonionic coordinate from the associative infinite-dimensional Clifford algebra elements appearing as Taylor coefficients in the expansion of Clifford algebra valued field.

Associativity condition implies the classical and quantum dynamics of TGD. Space-time surfaces are hyper-quaternionic or co-hyper-quaternionic sub-manifolds of hyper-octonionic imbedding space  $HO$ . Also the interpretation as a four-surface in  $H = M^4 \times CP_2$  emerges and implies  $HO - H$  duality. What is also nice that Minkowski spaces correspond to the spectra for the eigenvalues of maximal set of commuting quantum coordinates of suitably defined quantum spaces. Thus Minkowski signature has quantal explanation.

### 6.2.2 S-matrix as a functor in TQFTs

John Baez's [66] discusses in a physicist friendly manner the possible application of category theory to physics. The lessons obtained from the construction of topological quantum field theories (TQFTs) suggest that category theoretical thinking might be very useful in attempts to construct theories of quantum gravitation.

The point is that the Hilbert spaces associated with the initial and final state  $n-1$ -manifold of  $n$ -cobordism indeed form in a natural manner category. Morphisms of Hilb in turn are unitary or possibly more general maps between Hilbert spaces. TQFT itself is a functor assigning to a cobordism the counterpart of S-matrix between the Hilbert spaces associated with the initial and final  $n-1$ -manifold. The surprising result is that for  $n \leq 4$  the S-matrix can be unitary S-matrix only if the cobordism is trivial. This should lead even string theorist to raise some worried questions.

In the hope of feeding some category theoretic thinking into my spine, I briefly summarize some of the category theoretical ideas discussed in the article and relate it to the TGD vision, and after that discuss the worried questions from TGD perspective. That space-time makes sense only relative to imbedding space would conform with category theoretic thinking.

#### The \*-category of Hilbert spaces

Baez considers first the category of Hilbert spaces. Intuitively the definition of this category looks obvious: take linear spaces as objects in category Set, introduce inner product as additional structure and identify morphisms as maps preserving this inner product. In finite-D case the category with inner product is however identical to the linear category so that the inner product does not seem to be absolutely essential. Baez argues that in infinite-D case the morphisms need not be restricted to unitary transformations: one can consider also bounded linear operators as morphisms since they play key role in quantum theory (consider only observables as Hermitian operators). For hyper-finite factors of type  $II_1$  inclusions define very important morphisms which are not unitary transformations

but very similar to them. This challenges the belief about the fundamental role of unitarity and raises the question about how to weaken the unitarity condition without losing everything.

The existence of the inner product is essential only for the metric topology of the Hilbert space. Can one do without inner product as an inherent property of state space and reduce it to a morphism? One can indeed express inner product in terms of morphisms from complex numbers to Hilbert space and their conjugates. For any state  $\Psi$  of Hilbert space there is a unique morphism  $T_\Psi$  from  $\mathbb{C}$  to Hilbert space satisfying  $T_\Psi(1) = \Psi$ . If one assumes that these morphisms have conjugates  $T_\Psi^*$  mapping Hilbert space to  $\mathbb{C}$ , inner products can be defined as morphisms  $T_\Psi^* T_\Psi$ . The Hermitian conjugates of operators can be defined with respect to this inner product so that one obtains \*-category. Reader has probably realized that  $T_\Psi$  and its conjugate correspond to ket and bra in Dirac's formalism.

Note that in TGD framework based on hyper-finite factors of type  $II_1$  (HFFs) the inclusions of complex rays might be replaced with inclusions of HFFs with included factor representing the finite measurement resolution. Note also the analogy of inner product with the representation of space-times as 4-surfaces of the imbedding space in TGD.

### The monoidal \*-category of Hilbert spaces and its counterpart at the level of nCob

One can give the category of Hilbert spaces a structure of monoid by introducing explicitly the tensor products of Hilbert spaces. The interpretation is obvious for physicist. Baez describes the details of this identification, which are far from trivial and in the theory of quantum groups very interesting things happen. A non-commutative quantum version of the tensor product implying braiding is possible and associativity condition leads to the celebrated Yang-Baxter equations: inclusions of HFFs lead to quantum groups too.

At the level of nCob the counterpart of the tensor product is disjoint union of  $n-1$ -manifolds. This unavoidably creates the feeling of cosmic loneliness. Am I really a disjoint 3-surface in emptiness which is not vacuum even in the geometric sense? Cannot be true!

This horrifying sensation disappears if  $n-1$ -manifolds are  $n-1$ -surfaces in some higher-dimensional imbedding space so that there would be at least something between them. I can emit a little baby manifold moving somewhere perhaps being received by some-one somewhere and I can receive radiation from some-one at some distance and in some direction as small baby manifolds making gentle tosses on my face!

This consoling feeling could be seen as one of the deep justifications for identifying fundamental objects as light-like partonic 3-surfaces in TGD framework. Their ends correspond to 2-D partonic surfaces at the boundaries of future or past directed light-cones (states of positive and negative energy respectively) and are indeed disjoint but not in the desperately existential sense as 3-geometries of General Relativity.

This disjointness has also positive aspect in TGD framework. One can identify the color degrees of freedom of partons as those associated with  $CP_2$  degrees of freedom. For instance,  $SU(3)$  analogs for rotational states of rigid body become possible. 4-D space-time surfaces as preferred extremals of Kähler action connect the partonic 3-surfaces and bring in classical representation of correlations and thus of interactions. The representation as sub-manifolds makes it also possible to speak about positions of these sub-Universes and about distances between them. The inhabitants of TGD Universe are maximally free but not completely alone.

### TQFT as a functor

The category theoretic formulation of TQFT relies on a very elegant and general idea. Quantum transition has as a space-time correlate an  $n$ -dimensional surface having initial final states as its  $n-1$ -dimensional ends. One assigns Hilbert spaces of states to the ends and S-matrix would be a unitary morphism between the ends. This is expressed in terms of the category theoretic language by introducing the category nCob with objects identified as  $n-1$ -manifolds and morphisms as cobordisms and \*-category Hilb consisting of Hilbert spaces with inner product and morphisms which are bounded linear operators which do not however preserve the unitarity. Note that the morphisms of nCob cannot anymore be identified as maps between  $n-1$ -manifolds interpreted as sets with additional structure so that in this case category theory is more powerful than set theory.

TQFT is identified as a functor  $nCob \rightarrow Hilb$  assigning to  $n-1$ -manifolds Hilbert spaces, and to cobordisms unitary S-matrices in the category Hilb. This looks nice but the surprise is that for  $n \leq 4$

unitary S-matrix exists only if the cobordism is trivial so that topology changing transitions are not possible unless one gives up unitarity.

This raises several worried questions.

1. Does this result mean that in TQFT sense unitary S-matrix for topology changing transitions from a state containing  $n_i$  closed strings to a state containing  $n_f \neq n_i$  strings does not exist? Could the situation be same also for more general non-topological stringy S-matrices? Could the non-converging perturbation series for S-matrix with finite individual terms matrix fail to have a non-perturbative counterpart? Could it be that M-theory is doomed to remain a dream with no hope of being fulfilled?
2. Should one give up the unitarity condition and require that the theory predicts only the relative probabilities of transitions rather than absolute rates? What the proper generalization of the S-matrix could be?
3. What is the relevance of this result for quantum TGD?

### 6.2.3 S-matrix as a functor in quantum TGD

The result about the non-existence of unitary S-matrix for topology changing cobordisms allows new insights about the meaning of the departures of TGD from string models.

#### Cobordism cannot give interesting selection rules

When I started to work with TGD for more than 28 years ago, one of the first ideas was that one could identify the selection rules of quantum transitions as topological selection rules for cobordisms. Within week or two came the great disappointment: there were practically no selection rules. Could one revive this naive idea? Could the existence of unitary S-matrix force the topological selection rules after all? I am skeptic. If I have understood correctly the discussion of what happens in 4-D case [58] only the exotic diffeo-structures modify the situation in 4-D case.

#### Light-like 3-surfaces allow cobordism

In the physically interesting GRT like situation one would expect the cobordism to be mediated by a space-time surface possessing Lorentz signature. This brings in metric and temporal distance. This means complications since one must leave the pure TQFT context. Also the classical dynamics of quantum gravitation brings in strong selection rules related to the dynamics in metric degrees of freedom so that TQFT approach is not expected to be useful from the point of view of quantum gravity and certainly not the limit of a realistic theory of quantum gravitation.

In TGD framework situation is different. 4-D space-time sheets can have Euclidian signature of the induced metric so that Lorentz signature does not pose conditions. The counterparts of cobordisms correspond at fundamental level to light-like 3-surfaces, which are arbitrarily except for the light-likeness condition (the effective 2-dimensionality implies generalized conformal invariance and analogy with 3-D black-holes since 3-D vacuum Einstein equations are satisfied). Field equations defined by the Chern-Simons action imply that  $CP_2$  projection is at most 2-D but this condition holds true only for the extremals and one has functional integral over all light-like 3-surfaces. The temporal distance between points along light-like 3-surface vanishes. The constraints from light-likeness bring in metric degrees of freedom but in a very gentle manner and just to make the theory physically interesting.

#### Feynmann cobordism as opposed to ordinary cobordism

In string model context the discouraging results from TQFT hold true in the category of nCob, which corresponds to trouser diagrams for closed strings or for their open string counterparts. In TGD framework these diagrams are replaced with a direct generalization of Feynman diagrams for which 3-D light-like partonic 3-surfaces meet along their 2-D ends at the vertices. In honor of Feynman one could perhaps speak of Feynman cobordisms. These surfaces are singular as 3-manifolds but vertices are nice 2-manifolds. In contrast to this, in string models diagrams are nice 2-manifolds but vertices are singular as 1-manifolds (say eye-glass type configurations for closed strings).

This picture gains a strong support for the interpretation of fermions as light-like throats associated with connected sums of  $CP_2$  type extremals with space-time sheets with Minkowski signature and of bosons as pairs of light-like wormhole throats associated with  $CP_2$  type extremal connecting two space-time sheets with Minkowski signature of induced metric. The space-time sheets have opposite time orientations so that also zero energy ontology emerges unavoidably. There is also consistency TGD based explanation of the family replication phenomenon in terms of genus of light-like partonic 2-surfaces.

One can wonder what the 4-D space-time sheets associated with the generalized Feynman diagrams could look like? One can try to gain some idea about this by trying to assign 2-D surfaces to ordinary Feynman diagrams having a subset of lines as boundaries. In the case of  $2 \rightarrow 2$  reaction open string is pinched to a point at vertex.  $1 \rightarrow 2$  vertex, and quite generally, vertices with odd number of lines, are impossible. The reason is that 1-D manifolds of finite size can have either 0 or 2 ends whereas in higher-D the number of boundary components is arbitrary. What one expects to happen in TGD context is that wormhole throats which are at distance characterized by  $CP_2$  fuse together in the vertex so that some kind of pinches appear also now.

### Zero energy ontology

Zero energy ontology gives rise to a second profound distinction between TGD and standard QFT. Physical states are identified as states with vanishing net quantum numbers, in particular energy. Everything is creatable from vacuum - and one could add- by intentional action so that zero energy ontology is profoundly Eastern. Positive *resp.* negative energy parts of states can be identified as states associated with 2-D partonic surfaces at the boundaries of future *resp.* past directed light-cones, whose tips correspond to the arguments of n-point functions. Each incoming/outgoing particle would define a mini-cosmology corresponding to not so big bang/crunch. If the time scale of perception is much shorter than time interval between positive and zero energy states, the ontology looks like the Western positive energy ontology. Bras and kets correspond naturally to the positive and negative energy states and phase conjugation for laser photons making them indeed something which seems to travel in opposite time direction is counterpart for bra-ket duality.

### Finite temperature S-matrix defines genuine quantum state in zero energy ontology

In TGD framework one encounters two S-matrix like operators.

1. There is U-matrix between zero energy states. This is expected to be rather trivial but very important from the point of view of description of intentional actions as transitions transforming p-adic partonic 3-surfaces to their real counterparts.
2. The S-matrix like operator describing what happens in laboratory corresponds to the time-like entanglement coefficients between positive and negative energy parts of the state. Measurement of reaction rates would be a measurement of observables reducing time like entanglement and very much analogous to an ordinary quantum measurement reducing space-like entanglement. There is a finite measurement resolution described by inclusion of HFFs and this means that situation reduces effectively to a finite-dimensional one.

p-Adic thermodynamics strengthened with p-adic length scale hypothesis predicts particle masses with an amazing success. At first the thermodynamical approach seems to be in contradiction with the idea that elementary particles are quantal objects. Unitarity is however *not* necessary if one accepts that only relative probabilities for reductions to pairs of initial and final states interpreted as particle reactions can be measured.

The beneficial implications of unitarity are not lost if one replaces QFT with thermal QFT. Category theoretically this would mean that the time-like entanglement matrix associated with the product of cobordisms is a product of these matrices for the factors. The time parameter in S-matrix would be replaced with a complex time parameter with the imaginary part identified as inverse temperature. Hence the interpretation in terms of time evolution is not lost.

In the theory of hyper-finite factors of type  $III_1$  the partition function for thermal equilibrium states and S-matrix can be neatly fused to a thermal S-matrix for zero energy states and one could introduce p-adic thermodynamics at the level of quantum states. It seems that this picture applies



to HFFs by restriction. Therefore the loss of unitarity S-matrix might after all turn to a victory by more or less forcing both zero energy ontology and p-adic thermodynamics.

### Time-like entanglement coefficients as a square root of density matrix?

All quantum states do not correspond to thermal states and one can wonder what might be the most general identification of the quantum state in zero energy ontology. Density matrix formalism defines a very general formulation of quantum theory. Since the quantum states in zero energy ontology are analogous to operators, the idea that time-like entanglement coefficients in some sense define a square root of density matrix is rather natural. This would give the defining conditions

$$\begin{aligned} \rho^+ &= SS^\dagger, \rho^- = S^\dagger S, \\ \text{Tr}(\rho^\pm) &= 1. \end{aligned} \tag{6.2.1}$$

$\rho^\pm$  would define density matrix for positive/negative energy states. In the case HFFs of type  $II_1$  one obtains unitary S-matrix and also the analogs of pure quantum states are possible for factors of type I. The numbers  $p_{m,n}^+ = |S_{m,n}^2|/\rho_{m,m}^+$  and  $p_{m,n}^- = |S_{n,m}^2|/\rho_{m,m}^-$  give the counterparts of the usual scattering probabilities.

A physically well-motivated hypothesis would be that  $S$  has expression  $S = \sqrt{\rho}S_0$  such that  $S_0$  is a universal unitary S-matrix, and  $\sqrt{\rho}$  is square root of a state dependent density matrix. Note that in general  $S$  is not diagonalizable in the algebraic extension involved so that it is not possible to reduce the scattering to a mere phase change by a suitable choice of state basis. Clearly, S-matrix can be seen as matrix valued generalization of Schrödinger amplitude. Note that the "indices" of the S-matrices correspond to configuration space spinors (fermions and their bound states giving rise to gauge bosons and gravitons) and to configuration space degrees of freedom (world of classical worlds). For hyperfinite factor of  $II_1$  it is not strictly speaking possible to speak about indices since the matrix elements are traces of the S-matrix multiplied by projection operators to infinite-dimensional subspaces from right and left.

The functor property of S-matrices implies that they form a multiplicative structure analogous but not identical to groupoid [48]. Recall that groupoid has associative product and there exist always right and left inverses and identity in the sense that  $ff^{-1}$  and  $f^{-1}f$  are always defined but not identical and one has  $fgg^{-1} = f$  and  $f^{-1}fg = g$ .

The reason for the groupoid like property is that S-matrix is a map between state spaces associated with initial and final sets of partonic surfaces and these state spaces are different so that inverse must be replaced with right and left inverse. The defining conditions for groupoid are replaced with more general ones. Also now associativity holds but the role of inverse is taken by hermitian conjugate. Thus one has the conditions  $fgg^\dagger = f\rho_{g,+}$  and  $f^\dagger fg = \rho_{f,-}g$ , and the conditions  $ff^\dagger = \rho_+$  and  $f^\dagger f = \rho_-$  are satisfied. Here  $\rho_\pm$  is density matrix associated with positive/negative energy parts of zero energy state. If the inverses of the density matrices exist, groupoid axioms hold true since  $f_L^{-1} = f^\dagger \rho_{f,+}^{-1}$  satisfies  $ff_L^{-1} = Id_+$  and  $f_R^{-1} = \rho_{f,-}^{-1} f^\dagger$  satisfies  $f_R^{-1}f = Id_-$ .

There are good reasons to believe that also tensor product of its appropriate generalization to the analog of co-product makes sense with non-triviality characterizing the interaction between the systems of the tensor product. If so, the S-matrices would form very beautiful mathematical structure bringing in mind the corresponding structures for 2-tangles and N-tangles. Knowing how incredibly powerful the group like structures have been in physics one has good reasons to hope that groupoid like structure might help to deduce a lot of information about the quantum dynamics of TGD.

#### 6.2.4 Number theoretic constraints on S-matrix

Number theoretical universality leads to the hypothesis that S-matrix elements must be algebraic numbers [31]. This is achieved naturally if the definition of S-matrix elements involves only the data associated with the number theoretic braid. This leads naturally to a connection with braiding S-matrices also in the case of real-to-real transitions. Also the concept of number theoretic string emerges.

The partonic vertices appearing in S-matrix elements should be expressible in terms of N-point functions of almost topological  $N = 4$  super-conformal field theory but with the p-adically questionable

N-fold integrals over string replaced with sums over the strands of a braid: spin chain type string discretization could be in question [31]. Propagators, that is correlations between partonic 2-surfaces, would be due to the interior dynamics of space-time sheets which means a deviation from super string theory. Another function of interior degrees of freedom is to provide zero modes of metric of WCW identifiable as classical degrees of freedom of quantum measurement theory entangling with quantal degrees of freedom at partonic 3-surfaces.

### 6.3 The latest vision about the role of HFFs in TGD

It is clear that at least the hyper-finite factors of type  $II_1$  assignable to WCW spinors must have a profound role in TGD. Whether also HFFS of type  $III_1$  appearing also in relativistic quantum field theories emerge when WCW spinors are replaced with spinor fields is not completely clear. I have proposed several ideas about the role of hyper-finite factors in TGD framework. In particular, Connes tensor product is an excellent candidate for defining the notion of measurement resolution.

In the following this topic is discussed from the perspective made possible by zero energy ontology and the recent advances in the understanding of M-matrix using the notion of bosonic emergence. The conclusion is that the notion of state as it appears in the theory of factors is not enough for the purposes of quantum TGD. The reason is that state in this sense is essentially the counterpart of thermodynamical state. The construction of M-matrix might be understood in the framework of factors if one replaces state with its "complex square root" natural if quantum theory is regarded as a "complex square root" of thermodynamics. It is also found that the idea that Connes tensor product could fix M-matrix is too optimistic but an elegant formulation in terms of partial trace for the notion of M-matrix modulo measurement resolution exists and Connes tensor product allows interpretation as entanglement between sub-spaces consisting of states not distinguishable in the measurement resolution used. The partial trace also gives rise to non-pure states naturally.

#### 6.3.1 Basic facts about factors

In this section basic facts about factors are discussed. My hope that the discussion is more mature than or at least complementary to the summary that I could afford when I started the work with factors for more than half decade ago. I of course admit that this just a humble attempt of a physicist to express physical vision in terms of only superficially understood mathematical notions.

##### Basic notions

First some standard notations. Let  $\mathcal{B}(\mathcal{H})$  denote the algebra of linear operators of Hilbert space  $\mathcal{H}$  bounded in the norm topology with norm defined by the supremum of for the length of the image of a point of unit sphere  $\mathcal{H}$ . This algebra has a lot of common with complex numbers in that the counterparts of complex conjugation, order structure and metric structure determined by the algebraic structure exist. This means the existence involution -that is \*- algebra property. The order structure determined by algebraic structure means following:  $A \geq 0$  defined as the condition  $(A\xi, \xi) \geq 0$  is equivalent with  $A = B^*B$ . The algebra has also metric structure  $\|AB\| \leq \|A\|\|B\|$  (Banach algebra property) determined by the algebraic structure. The algebra is also  $C^*$  algebra:  $\|A^*A\| = \|A\|^2$  meaning that the norm is algebraically like that for complex numbers.

A von Neumann algebra  $\mathcal{M}$  [58] is defined as a weakly closed non-degenerate \*-subalgebra of  $\mathcal{B}(\mathcal{H})$  and has therefore all the above mentioned properties. From the point of view of physicist it is important that a sub-algebra is in question.

In order to define factors one must introduce additional structure.

1. Let  $\mathcal{M}$  be subalgebra of  $\mathcal{B}(\mathcal{H})$  and denote by  $\mathcal{M}'$  its commutant defined as the sub-algebra of  $\mathcal{B}(\mathcal{H})$  commuting with it and allowing to express  $\mathcal{B}(\mathcal{H})$  as  $\mathcal{B}(\mathcal{H}) = \mathcal{M} \vee \mathcal{M}'$ .
2. A factor is defined as a von Neumann algebra satisfying  $\mathcal{M}'' = \mathcal{M}$   $\mathcal{M}$  is called factor. The equality of double commutant with the original algebra is thus the defining condition so that also the commutant is a factor. An equivalent definition for factor is as the condition that the intersection of the algebra and its commutant reduces to a complex line spanned by a unit operator. The condition that the only operator commuting with all operators of the factor is unit operator corresponds to irreducibility in representation theory.

3. Some further basic definitions are needed.  $\Omega \in \mathcal{H}$  is cyclic if the closure of  $\mathcal{M}\Omega$  is  $\mathcal{H}$  and separating if the only element of  $\mathcal{M}$  annihilating  $\Omega$  is zero.  $\Omega$  is cyclic for  $\mathcal{M}$  if and only if it is separating for its commutant. In so called standard representation  $\Omega$  is both cyclic and separating.
4. For hyperfinite factors an inclusion hierarchy of finite-dimensional algebras whose union is dense in the factor exists. This roughly means that one can approximate the algebra in arbitrary accuracy with a finite-dimensional sub-algebra.

The definition of the factor might look somewhat artificial unless one is aware of the underlying physical motivations. The motivating question is what the decomposition of a physical system to non-interacting sub-systems could mean. The decomposition of  $\mathcal{B}(\mathcal{H})$  to  $\vee$  product realizes this decomposition.

1. Tensor product  $\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$  is the decomposition according to the standard quantum measurement theory and means the decomposition of operators in  $\mathcal{B}(\mathcal{H})$  to tensor products of mutually commuting operators in  $\mathcal{M} = \mathcal{B}(\mathcal{H}_1)$  and  $\mathcal{M}' = \mathcal{B}(\mathcal{H}_2)$ . The information about  $\mathcal{M}$  can be coded in terms of projection operators. In this case projection operators projecting to a complex ray of Hilbert space exist and arbitrary compact operator can be expressed as a sum of these projectors. For factors of type I minimal projectors exist. Factors of type  $I_n$  correspond to sub-algebras of  $\mathcal{B}(\mathcal{H})$  associated with infinite-dimensional Hilbert space and  $I_\infty$  to  $\mathcal{B}(\mathcal{H})$  itself. These factors appear in the standard quantum measurement theory where state function reduction can lead to a ray of Hilbert space.
2. For factors of type II no minimal projectors exist whereas finite projectors exist. For factors of type  $II_1$  all projectors have trace not larger than one and the trace varies in the range  $(0, 1]$ . In this case cyclic vectors  $\Omega$  exist. State function reduction can lead only to an infinite-dimensional subspace characterized by a projector with trace smaller than 1 but larger than zero. The natural interpretation would be in terms of finite measurement resolution. The tensor product of  $II_1$  factor and  $I_\infty$  is  $II_\infty$  factor for which the trace for a projector can have arbitrarily large values.  $II_1$  factor has a unique finite tracial state and the set of traces of projections spans unit interval. There is uncountable number of factors of type II but hyper-finite factors of type  $II_1$  are the exceptional ones and physically most interesting.
3. Factors of type III correspond to an extreme situation. In this case the projection operators  $E$  spanning the factor have either infinite or vanishing trace and there exists an isometry mapping  $E\mathcal{H}$  to  $\mathcal{H}$  meaning that the projection operator spans almost all of  $\mathcal{H}$ . All projectors are also related to each other by isometry. Factors of type III are smallest if the factors are regarded as sub-algebras of a fixed  $\mathcal{B}(\mathcal{H})$  where  $\mathcal{H}$  corresponds to isomorphism class of Hilbert spaces. Situation changes when one speaks about concrete representations. Also now hyper-finite factors are exceptional.
4. Von Neumann algebras define a non-commutative measure theory. Commutative von Neumann algebras indeed reduce to  $L^\infty(X)$  for some measure space  $(X, \mu)$  and vice versa.

### Weights, states and traces

The notions of weight, state, and trace are standard notions in the theory of von Neumann algebras.

1. A weight of von Neumann algebra is a linear map from the set of positive elements (those of form  $a^*a$ ) to non-negative reals.
2. A positive linear functional is weight with  $\omega(1)$  finite.
3. A state is a weight with  $\omega(1) = 1$ .
4. A trace is a weight with  $\omega(aa^*) = \omega(a^*a)$  for all  $a$ .
5. A tracial state is a weight with  $\omega(1) = 1$ .

A factor has a trace such that the trace of a non-zero projector is non-zero and the trace of projection is infinite only if the projection is infinite. The trace is unique up to a rescaling. For factors that are separable or finite, two projections are equivalent if and only if they have the same trace. Factors of type  $I_n$  the values of trace are equal to multiples of  $1/n$ . For a factor of type  $I_\infty$  the value of trace are  $0, 1, 2, \dots$ . For factors of type  $II_1$  the values span the range  $[0, 1]$  and for factors of type  $II_\infty$  in the range  $[0, \infty)$ . For factors of type III the values of the trace are  $0$ , and  $\infty$ .

### Tomita-Takesaki theory

Tomita-Takesaki theory is a vital part of the theory of factors. First some definitions.

1. Let  $\omega(x)$  be a faithful state of von Neumann algebra so that one has  $\omega(xx^*) > 0$  for  $x > 0$ . Assume by Riesz lemma the representation of  $\omega$  as a vacuum expectation value:  $\omega = (\cdot\Omega, \Omega)$ , where  $\Omega$  is cyclic and separating state.
2. Let

$$L^\infty(\mathcal{M}) \equiv \mathcal{M} \quad , \quad L^2(\mathcal{M}) = \mathcal{H} \quad , \quad L^1(\mathcal{M}) = \mathcal{M}_* \quad , \quad (6.3.1)$$

where  $\mathcal{M}_*$  is the pre-dual of  $\mathcal{M}$  defined by linear functionals in  $\mathcal{M}$ . One has  $\mathcal{M}_*^* = \mathcal{M}$ .

3. The conjugation  $x \rightarrow x^*$  is isometric in  $\mathcal{M}$  and defines a map  $\mathcal{M} \rightarrow L^2(\mathcal{M})$  via  $x \rightarrow x\Omega$ . The map  $S_0; x\Omega \rightarrow x^*\Omega$  is however non-isometric.
4. Denote by  $S$  the closure of the anti-linear operator  $S_0$  and by  $S = J\Delta^{1/2}$  its polar decomposition analogous that for complex number and generalizing polar decomposition of linear operators by replacing (almost) unitary operator with anti-unitary  $J$ . Therefore  $\Delta = S^*S > 0$  is positive self-adjoint and  $J$  an anti-unitary involution. The non-triviality of  $\Delta$  reflects the fact that the state is not trace so that hermitian conjugation represented by  $S$  in the state space brings in additional factor  $\Delta^{1/2}$ .
5. What  $x$  can be is puzzling to physicists. The restriction fermionic Fock space and thus to creation operators would imply that  $\Delta$  would act non-trivially only vacuum state so that  $\Delta > 0$  condition would not hold true. The resolution of puzzle is the allowance of tensor product of Fock spaces for which vacua are conjugates: only this gives cyclic and separating state. This is natural in zero energy ontology.

The basic results of Tomita-Takesaki theory are following.

1. The basic result can be summarized through the following formulas

$$\Delta^{it}M\Delta^{-it} = \mathcal{M} \quad , \quad J\mathcal{M}J = \mathcal{M}' \quad .$$

2. The latter formula implies that  $\mathcal{M}$  and  $\mathcal{M}'$  are isomorphic algebras. The first formula implies that a one parameter group of modular automorphisms characterizes partially the factor. The physical meaning of modular automorphisms is discussed in [37, 77]  $\Delta$  is Hermitian and positive definite so that the eigenvalues of  $\log(\Delta)$  are real but can be negative.  $\Delta^{it}$  is however not unitary for factors of type II and III. Physically the non-unitarity must relate to the fact that the flow is contracting so that hermiticity as a local condition is not enough to guarantee unitarity.
3.  $\omega \rightarrow \sigma_t^\omega = Ad\Delta^{it}$  defines a canonical evolution -modular automorphism- associated with  $\omega$  and depending on it. The  $\Delta$ :s associated with different  $\omega$ :s are related by a unitary inner automorphism so that their equivalence classes define an invariant of the factor.

Tomita-Takesaki theory gives rise to a non-commutative measure theory which is highly non-trivial. In particular the spectrum of  $\Delta$  can be used to classify the factors of type II and III.

### Modular automorphisms

Modular automorphisms of factors are central for their classification.

1. One can divide the automorphisms to inner and outer ones. Inner automorphisms correspond to unitary operators obtained by exponentiating Hermitian Hamiltonian belonging to the factor and connected to identity by a flow. Outer automorphisms do not allow a representation as a unitary transformations although  $\log(\Delta)$  is formally a Hermitian operator.
2. The fundamental group of the type  $II_1$  factor defined as fundamental group of corresponding  $II_\infty$  factor characterizes partially a factor of type  $II_1$ . This group consists real numbers  $\lambda$  such that there is an automorphism scaling the trace by  $\lambda$ . Fundamental group typically contains all reals but it can be also discrete and even trivial.
3. Factors of type III allow a one-parameter group of modular automorphisms, which can be used to achieve a partial classification of these factors. These automorphisms define a flow in the center of the factor known as flow of weights. The set of parameter values  $\lambda$  for which  $\omega$  is mapped to itself and the center of the factor defined by the identity operator (projector to the factor as a sub-algebra of  $\mathcal{B}(\mathcal{H})$ ) is mapped to itself in the modular automorphism defines the Connes spectrum of the factor. For factors of type  $III_\lambda$  this set consists of powers of  $\lambda < 1$ . For factors of type  $III_0$  this set contains only identity automorphism so that there is no periodicity. For factors of type  $III_1$  Connes spectrum contains all real numbers so that the automorphisms do not affect the identity operator of the factor at all.

The modules over a factor correspond to separable Hilbert spaces that the factor acts on. These modules can be characterized by M-dimension. The idea is roughly that complex rays are replaced by the sub-spaces defined by the action of  $\mathcal{M}$  as basic units. M-dimension is not integer valued in general. The so called standard module has a cyclic separating vector and each factor has a standard representation possessing antilinear involution  $J$  such that  $\mathcal{M}' = J\mathcal{M}J$  holds true (note that  $J$  changes the order of the operators in conjugation). The inclusions of factors define modules having interpretation in terms of a finite measurement resolution defined by  $\mathcal{M}$ .

### Crossed product as a manner to construct factors of type III

By using so called crossed product [40] for a group  $G$  acting in algebra  $A$  one can obtain new von Neumann algebras. One ends up with crossed product by a two-step generalization by starting from the semidirect product  $G \triangleleft H$  for groups defined as  $(g_1, h_1)(g_2, h_2) = (g_1 h_1(g_2), h_1 h_2)$  (note that Poincare group has interpretation as a semidirect product  $M^4 \triangleleft SO(3, 1)$  of Lorentz and translation groups). At the first step one replaces the group  $H$  with its group algebra. At the second step the the group algebra is replaced with a more general algebra. What is formed is the semidirect product  $A \triangleleft G$  which is sum of algebras  $Ag$ . The product is given by  $(a_1, g_1)(a_2, g_2) = (a_1 g_1(a_2), g_1 g_2)$ . This construction works for both locally compact groups and quantum groups. A not too highly educated guess is that the construction in the case of quantum groups gives the factor  $\mathcal{M}$  as a crossed product of the included factor  $\mathcal{N}$  and quantum group defined by the factor space  $\mathcal{M}/\mathcal{N}$ .

The construction allows to express factors of type III as crossed products of factors of type  $II_\infty$  and the 1-parameter group  $G$  of modular automorphisms assignable to any vector which is cyclic for both factor and its commutant. The ergodic flow  $\theta_\lambda$  scales the trace of projector in  $II_\infty$  factor by  $\lambda > 0$ . The dual flow defined by  $G$  restricted to the center of  $II_\infty$  factor does not depend on the choice of cyclic vector.

The Connes spectrum - a closed subgroup of positive reals - is obtained as the exponent of the kernel of the dual flow defined as set of values of flow parameter  $\lambda$  for which the flow in the center is trivial. Kernel equals to  $\{0\}$  for  $III_0$ , contains numbers of form  $\log(\lambda)Z$  for factors of type  $III_\lambda$  and contains all real numbers for factors of type  $III_1$  meaning that the flow does not affect the center.

### 6.3.2 Inclusions and Connes tensor product

Inclusions  $\mathcal{N} \subset \mathcal{M}$  of von Neumann algebras have physical interpretation as a mathematical description for sub-system-system relation. For type I algebras the inclusions are trivial and tensor product description applies as such. For factors of  $II_1$  and  $III$  the inclusions are highly non-trivial. The

inclusion of type  $II_1$  factors were understood by Vaughan Jones [44] and those of factors of type  $III$  by Alain Connes [69].

Formally sub-factor  $\mathcal{N}$  of  $\mathcal{M}$  is defined as a closed  $*$ -stable  $C$ -subalgebra of  $\mathcal{M}$ . Let  $\mathcal{N}$  be a sub-factor of type  $II_1$  factor  $\mathcal{M}$ . Jones index  $\mathcal{M} : \mathcal{N}$  for the inclusion  $\mathcal{N} \subset \mathcal{M}$  can be defined as  $\mathcal{M} : \mathcal{N} = \dim_{\mathcal{N}}(L^2(\mathcal{M})) = \text{Tr}_{\mathcal{N}'}(\text{id}_{L^2(\mathcal{M})})$ . One can say that the dimension of completion of  $\mathcal{M}$  as  $\mathcal{N}$  module is in question.

### Basic findings about inclusions

What makes the inclusions non-trivial is that the position of  $\mathcal{N}$  in  $\mathcal{M}$  matters. This position is characterized in case of hyper-finite  $II_1$  factors by index  $\mathcal{M} : \mathcal{N}$  which can be said to the dimension of  $\mathcal{M}$  as  $\mathcal{N}$  module and also as the inverse of the dimension defined by the trace of the projector from  $\mathcal{M}$  to  $\mathcal{N}$ . It is important to notice that  $\mathcal{M} : \mathcal{N}$  does not characterize either  $\mathcal{M}$  or  $\mathcal{N}$ , only the imbedding.

The basic facts proved by Jones are following [44].

1. For pairs  $\mathcal{N} \subset \mathcal{M}$  with a finite principal graph the values of  $\mathcal{M} : \mathcal{N}$  are given by

$$\begin{aligned} a) \quad \mathcal{M} : \mathcal{N} &= 4\cos^2(\pi/h) \quad , \quad h \geq 3 \quad , \\ b) \quad \mathcal{M} : \mathcal{N} &\geq 4 \quad . \end{aligned} \tag{6.3.2}$$

the numbers at right hand side are known as Beraha numbers [72]. The comments below give a rough idea about what finiteness of principal graph means.

2. As explained in [98], for  $\mathcal{M} : \mathcal{N} < 4$  one can assign to the inclusion Dynkin graph of ADE type Lie-algebra  $g$  with  $h$  equal to the Coxeter number  $h$  of the Lie algebra given in terms of its dimension and dimension  $r$  of Cartan algebra  $r$  as  $h = (\dim g - r)/r$ . The Lie algebras of  $SU(n)$ ,  $E_7$  and  $D_{2n+1}$  are however not allowed. For  $\mathcal{M} : \mathcal{N} = 4$  one can assign to the inclusion an extended Dynkin graph of type ADE characterizing Kac Moody algebra. Extended ADE diagrams characterize also the subgroups of  $SU(2)$  and the interpretation proposed in [45] is following. The ADE diagrams are associated with the  $n = \infty$  case having  $\mathcal{M} : \mathcal{N} \geq 4$ . There are diagrams corresponding to infinite subgroups:  $SU(2)$  itself, circle group  $U(1)$ , and infinite dihedral groups (generated by a rotation by a non-rational angle and reflection. The diagrams corresponding to finite subgroups are extension of  $A_n$  for cyclic groups, of  $D_n$  dihedral groups, and of  $E_n$  with  $n=6,7,8$  for tetrahedron, cube, dodecahedron. For  $\mathcal{M} : \mathcal{N} < 4$  ordinary Dynkin graphs of  $D_{2n}$  and  $E_6, E_8$  are allowed.

### Connes tensor product

The inclusions The basic idea of Connes tensor product is that a sub-space generated sub-factor  $\mathcal{N}$  takes the role of the complex ray of Hilbert space. The physical interpretation is in terms of finite measurement resolution: it is not possible to distinguish between states obtained by applying elements of  $\mathcal{N}$ .

Intuitively it is clear that it should be possible to decompose  $\mathcal{M}$  to a tensor product of factor space  $\mathcal{M}/\mathcal{N}$  and  $\mathcal{N}$ :

$$\mathcal{M} = \mathcal{M}/\mathcal{N} \otimes \mathcal{N} \quad . \tag{6.3.3}$$

One could regard the factor space  $\mathcal{M}/\mathcal{N}$  as a non-commutative space in which each point corresponds to a particular representative in the equivalence class of points defined by  $\mathcal{N}$ . The connections between quantum groups and Jones inclusions suggest that this space closely relates to quantum groups. An alternative interpretation is as an ordinary linear space obtained by mapping  $\mathcal{N}$  rays to ordinary complex rays. These spaces appear in the representations of quantum groups. Similar procedure makes sense also for the Hilbert spaces in which  $\mathcal{M}$  acts.

Connes tensor product can be defined in the space  $\mathcal{M} \otimes \mathcal{M}$  as entanglement which effectively reduces to entanglement between  $\mathcal{N}$  sub-spaces. This is achieved if  $\mathcal{N}$  multiplication from right is equivalent with  $\mathcal{N}$  multiplication from left so that  $\mathcal{N}$  acts like complex numbers on states. One can imagine variants of the Connes tensor product and in TGD framework one particular variant appears naturally as will be found.

In the finite-dimensional case Connes tensor product of Hilbert spaces has a rather simple representation. If the matrix algebra  $N$  of  $n \times n$  matrices acts on  $V$  from right,  $V$  can be regarded as a space formed by  $m \times n$  matrices for some value of  $m$ . If  $N$  acts from left on  $W$ ,  $W$  can be regarded as space of  $n \times r$  matrices.

1. In the first representation the Connes tensor product of spaces  $V$  and  $W$  consists of  $m \times r$  matrices and Connes tensor product is represented as the product  $VW$  of matrices as  $(VW)_{mr} e^{mr}$ . In this representation the information about  $N$  disappears completely as the interpretation in terms of measurement resolution suggests. The sum over intermediate states defined by  $N$  brings in mind path integral.
2. An alternative and more physical representation is as a state

$$\sum_n V_{mn} W_{nr} e^{mn} \otimes e^{nr}$$

in the tensor product  $V \otimes W$ .

3. One can also consider two spaces  $V$  and  $W$  in which  $N$  acts from right and define Connes tensor product for  $A^\dagger \otimes_N B$  or its tensor product counterpart. This case corresponds to the modification of the Connes tensor product of positive and negative energy states. Since Hermitian conjugation is involved, matrix product does not define the Connes tensor product now. For  $m = r$  case entanglement coefficients should define a unitary matrix commuting with the action of the Hermitian matrices of  $N$  and interpretation would be in terms of symmetry. HFF property would encourage to think that this representation has an analog in the case of HFFs of type  $II_1$ .
4. Also type  $I_n$  factors are possible and for them Connes tensor product makes sense if one can assign the inclusion of finite-D matrix algebras to a measurement resolution.

### 6.3.3 Factors in quantum field theory and thermodynamics

Factors arise in thermodynamics and in quantum field theories [77, 37, 51]. There are good arguments showing that in HFFS of  $III_1$  appear are relativistic quantum field theories. In non-relativistic QFTs the factors of type I appear so that the non-compactness of Lorentz group is essential. Factors of type  $III_1$  and  $III_\lambda$  appear also in relativistic thermodynamics.

The geometric picture about factors is based on open subsets of Minkowski space. The basic intuitive view is that for two subsets of  $M^4$ , which cannot be connected by a classical signal moving with at most light velocity, the von Neumann algebras commute with each other so that  $\vee$  product should make sense.

Some basic mathematical results of algebraic quantum field theory [77] deserve to be listed since they are suggestive also from the point of view of TGD.

1. Let  $\mathcal{O}$  be a bounded region of  $R^4$  and define the region of  $M^4$  as a union  $\cup_{|x|<\epsilon} (\mathcal{O} + x)$  where  $(\mathcal{O} + x)$  is the translate of  $\mathcal{O}$  and  $|x|$  denotes Minkowski norm. Then every projection  $E \in \mathcal{M}(\mathcal{O})$  can be written as  $WW^*$  with  $W \in \mathcal{M}(\mathcal{O}_\epsilon)$  and  $W^*W = 1$ . Note that the union is not a bounded set of  $M^4$ . This almost establishes the type III property.
2. Both the complement of light-cone and double light-cone define HFF of type  $III_1$ . Lorentz boosts induce modular automorphisms.
3. The so called split property suggested by the description of two systems of this kind as a tensor product in relativistic QFTs is believed to hold true. This means that the HFFs of type  $III_1$  associated with causally disjoint regions are sub-factors of factor of type  $I_\infty$ . This means

$$\mathcal{M}_1 \subset \mathcal{B}(\mathcal{H}_1) \times 1 \quad , \quad \mathcal{M}_2 \subset 1 \otimes \mathcal{B}(\mathcal{H}_2) \quad .$$

An infinite hierarchy of inclusions of HFFS of type  $III_1$ s is induced by set theoretic inclusions.

### 6.3.4 TGD and factors

The following vision about TGD and factors relies heavily on zero energy ontology, TGD inspired quantum measurement theory, basic vision about quantum TGD, and bosonic emergence.

#### The problems

Concerning the role of factors in TGD framework there are several problems of both conceptual and technical character.

##### 1. Conceptual problems

It is safest to start from the conceptual problems and take a role of skeptic.

1. Under what conditions the assumptions of Tomita-Takesaki formula stating the existence of modular automorphism and isomorphy of the factor and its commutant hold true? What is the physical interpretation of the formula  $\mathcal{M}' = J\mathcal{M}J$  relating factor and its commutant in TGD framework?
2. Is the identification  $M = \Delta^{it}$  sensible in quantum TGD and zero energy ontology, where M-matrix is "complex square root" of exponent of Hamiltonian defining thermodynamical state and the notion of unitary time evolution is given up? The notion of state  $\omega$  leading to  $\Delta$  is essentially thermodynamical and one can wonder whether one should take also a "complex square root" of  $\omega$  to get M-matrix giving rise to a genuine quantum theory.
3. TGD based quantum measurement theory involves both quantum fluctuating degrees of freedom assignable to light-like 3-surfaces and zero modes identifiable as classical degrees of freedom assignable to interior of the space-time sheet. Zero modes have also fermionic counterparts. State preparation should generate entanglement between the quantal and classical states. What this means at the level of von Neumann algebras?
4. What is the TGD counterpart for causal disjointness. At space-time level different space-time sheets could correspond to such regions whereas at imbedding space level causally disjoint *CDs* would represent such regions.

##### 2. Technical problems

There are also more technical questions.

1. What is the von Neumann algebra needed in TGD framework? Does one have a direct integral over factors (at least a direct integral over zero modes labeling factors)? Which factors appear in it? Can one construct the factor as a crossed product of some group  $G$  with a direct physical interpretation and of naturally appearing factor  $A$ ? Is  $A$  a HFF of type  $II_\infty$ ? assignable to a fixed *CD*? What is the natural Hilbert space  $\mathcal{H}$  in which  $A$  acts?
2. What are the geometric transformations inducing modular automorphisms of  $II_\infty$  inducing the scaling down of the trace? Is the action of  $G$  induced by the boosts in Lorentz group. Could also translations and scalings induce the action? What is the factor associated with the union of Poincare transforms of *CD*?  $\log(\Delta)$  is Hermitian algebraically: what does the non-unitarity of  $\exp(\log(\Delta)it)$  mean physically?
3. Could  $\Omega$  correspond to a vacuum which in conformal degrees of freedom depends on the choice of the sphere  $S^2$  defining the radial coordinate playing the role of complex variable in the case of the radial conformal algebra. Does \*-operation in  $\mathcal{M}$  correspond to Hermitian conjugation for fermionic oscillator operators and change of sign of super conformal weights?

The exponent of the modified Dirac action gives rise to the exponent of Kähler function as Dirac determinant and fermionic inner product defined by fermionic Feynman rules. It is implausible that this exponent could as such correspond to  $\omega$  or  $\Delta^{it}$  having conceptual roots in thermodynamics rather than QFT. If one assumes that the exponent of the modified Dirac action defines a "complex square root" of  $\omega$  the situation changes. This raises technical questions relating to the notion of square root of  $\omega$ .



1. Does the square root of  $\omega$  in the have a polar decomposition to a product of positive definite matrix (square root of the density matrix) and unitary matrix and does  $\omega^{1/2}$  correspond to the modulus in the decomposition? Does the square root of  $\Delta$  have similar decomposition with modulus equal equal to  $\Delta^{1/2}$  in standard picture so that modular automorphism, which is inherent property of von Neumann algebra, would not be affected?
2.  $\Delta^{it}$  or rather its generalization is defined modulo a unitary operator defined by some Hamiltonian and is therefore highly non-unique as such. This non-uniqueness applies also to  $|\Delta|$ . Could this non-uniqueness correspond to the thermodynamical degrees of freedom?

### Zero energy ontology and factors

The first question concerns the identification of the Hilbert space associated with the factors in zero energy ontology. As the positive or negative energy part of the zero energy state space or as the entire space of zero energy states? The latter option would look more natural physically and is forced by the condition that the vacuum state is cyclic and separating.

1. The commutant of HFF given as  $\mathcal{M}' = J\mathcal{M}J$ , where  $J$  is involution transforming fermionic oscillator operators and bosonic vector fields to their Hermitian conjugates. Also conformal weights would change sign in the map which conforms with the view that the light-like boundaries of  $CD$  are analogous to upper and lower hemispheres of  $S^2$  in conformal field theory. The presence of  $J$  representing essentially Hermitian conjugation would suggest that positive and zero energy parts of zero energy states are related by this formula so that state space decomposes to a tensor product of positive and negative energy states and  $M$ -matrix can be regarded as a map between these two sub-spaces.
2. The fact that HFF of type  $II_1$  has the algebra of fermionic oscillator operators as a canonical representation makes the situation puzzling for a novice. The assumption that the vacuum is cyclic and separating means that neither creation nor annihilation operators can annihilate it. Therefore Fermionic Fock space cannot appear as the Hilbert space in the Tomita-Takesaki theorem. The paradox is circumvented if the action of  $*$  transforms creation operators acting on the positive energy part of the state to annihilation operators acting on negative energy part of the state. If  $J$  permutes the two Fock vacuums in their tensor product, the action of  $S$  indeed maps permutes the tensor factors associated with  $\mathcal{M}$  and  $\mathcal{M}'$ .

It is far from obvious whether the identification  $M = \Delta^{it}$  makes sense in zero energy ontology.

1. In zero energy ontology  $M$ -matrix defines time-like entanglement coefficients between positive and negative energy parts of the state.  $M$ -matrix is essentially "complex square root" of the density matrix and quantum theory similar square root of thermodynamics. The notion of state as it appears in the theory of HFFS is however essentially thermodynamical. Therefore it is good to ask whether the "complex square root of state" could make sense in the theory of factors.
2. Quantum field theory suggests an obvious proposal concerning the meaning of the square root: one replaces exponent of Hamiltonian with imaginary exponential of action at  $T \rightarrow 0$  limit. In quantum TGD the exponent of modified Dirac action giving exponent of Kähler function as real exponent could be the manner to take this complex square root. Modified Dirac action can therefore be regarded as a "square root" of Kähler action.
3. The identification  $M = \Delta^{it}$  relies on the idea of unitary time evolution which is given up in zero energy ontology based on  $CD$ s? Is the reduction of the quantum dynamics to a flow a realistic idea? As will be found this automorphism could correspond to a time translation or scaling for either upper or lower light-cone defining  $CD$  and can ask whether  $\Delta^{it}$  corresponds to the exponent of scaling operator  $L_0$  defining single particle propagator as one integrates over  $t$ . Its complex square root would correspond to fermionic propagator.
4. In this framework  $J\Delta^{it}$  would map the positive energy and negative energy sectors to each other. If the positive and negative energy state spaces can identified by isometry then  $M = J\Delta^{it}$  identification can be considered but seems unrealistic.  $S = J\Delta^{1/2}$  maps positive and negative

energy states to each other: could  $S$  or its generalization appear in  $M$ -matrix as a part which gives thermodynamics? The exponent of the modified Dirac action does not seem to provide thermodynamical aspect and p-adic thermodynamics suggests strongly the presence exponent of  $\exp(-L_0/T_p)$  with  $T_p$  chose in such manner that consistency with p-adic thermodynamics is obtained. Could the generalization of  $J\Delta^{n/2}$  with  $\Delta$  replaced with its "square root" give rise to p-adic thermodynamics and also ordinary thermodynamics at the level of density matrix? The minimal option would be that power of  $\Delta^{it}$  which imaginary value of  $t$  is responsible for thermodynamical degrees of freedom whereas everything else is dictated by the unitary  $S$ -matrix appearing as phase of the "square root" of  $\omega$ .

### Zero modes and factors

The presence of zero modes justifies quantum measurement theory in TGD framework and the relationship between zero modes and HFFS involves further conceptual problems.

1. The presence of zero modes means that one has a direct integral over HFFs labeled by zero modes which by definition do not contribute to the configuration space line element. The realization of quantum criticality in terms of modified Dirac action [31] suggests that also fermionic zero mode degrees of freedom are present and correspond to conserved charges assignable to the critical deformations of the space-time sheets. Induced Kähler form characterizes the values of zero modes for a given space-time sheet and the symplectic group of light-cone boundary characterizes the quantum fluctuating degrees of freedom. The entanglement between zero modes and quantum fluctuating degrees of freedom is essential for quantum measurement theory. One should understand this entanglement.
2. Physical intuition suggests that classical observables should correspond to longer length scale than quantal ones. Hence it would seem that the interior degrees of freedom outside  $CD$  should correspond to classical degrees of freedom correlating with quantum fluctuating degrees of freedom of  $CD$ .
3. Quantum criticality means that modified Dirac action allows an infinite number of conserved charges which correspond to deformations leaving metric invariant and therefore act on zero modes. Does this super-conformal algebra commute with the super-conformal algebra associated with quantum fluctuating degrees of freedom? Could the restriction of elements of quantum fluctuating currents to 3-D light-like 3-surfaces actually imply this commutativity. Quantum holography would suggest a duality between these algebras. Quantum measurement theory suggests even 1-1 correspondence between the elements of the two super-conformal algebras. The entanglement between classical and quantum degrees of freedom would mean that prepared quantum states are created by operators for which the operators in the two algebras are entangled in diagonal manner.
4. The notion of finite measurement resolution has become key element of quantum TGD and one should understand how finite measurement resolution is realized in terms of inclusions of hyper-finite factors for which sub-factor defines the resolution in the sense that its action creates states not distinguishable from each other in the resolution used. The notion of finite measurement resolution suggests that one should speak about entanglement between sub-factors and corresponding sub-spaces rather than between states. Connes tensor product would code for the idea that the action of sub-factors is analogous to that of complex numbers and tracing over sub-factor realizes this idea.
5. Just for fun one can ask whether the duality between zero modes and quantum fluctuating degrees of freedom representing quantum holography could correspond to  $\mathcal{M}' = J\mathcal{M}J$ ? This interpretation must be consistent with the interpretation forced by zero energy ontology. If this crazy guess is correct (very probably not!), both positive and negative energy states would be observed in quantum measurement but in totally different manner. Since this identity would simplify enormously the structure of the theory, it deserves therefore to be shown wrong.

### Crossed product construction in TGD framework

The identification of the von Neumann algebra by crossed product construction is the basic challenge. Consider first the question how HFFs of type  $II_\infty$  could emerge, how modular automorphisms act on them, and how one can understand the non-unitary character of the  $\Delta^{it}$  in an apparent conflict with the hermiticity and positivity of  $\Delta$ .

1. If the number of spinor modes is infinite, the Clifford algebra at a given point of  $WCW(CD)$  (light-like 3-surfaces with ends at the boundaries of  $CD$ ) defines HFF of type  $II_1$  or possibly a direct integral of them. For a given  $CD$  having compact isotropy group  $SO(3)$  leaving the rest frame defined by the tips of  $CD$  invariant the factor defined by Clifford algebra valued fields in  $WCW(CD)$  is most naturally HFF of type  $II_\infty$ . The Hilbert space in which this Clifford algebra acts, consists of spinor fields in  $WCW(CD)$ . Also the symplectic transformations of light-cone boundary leaving light-like 3-surfaces inside  $CD$  can be included to  $G$ . In fact all conformal algebras leaving  $CD$  invariant could be included in  $CD$ .
2. The downwards scalings of the radial coordinate  $r_M$  of the light-cone boundary applied to the basis of  $WCW(CD)$  spinor fields could induce modular automorphism. These scalings reduce the size of the portion of light-cone in which the  $WCW$  spinor fields are non-vanishing and effectively scale down the size of  $CD$ .  $\exp(iL_0)$  as algebraic operator acts as a phase multiplication on eigen states of conformal weight and therefore as apparently unitary operator. The geometric flow however contracts the  $CD$  so that the interpretation of  $\exp(itL_0)$  as a unitary modular automorphism is not possible. The scaling down of  $CD$  reduces the value of the trace if it involves integral over the boundary of  $CD$ . A similar reduction is implied by the downward shift of the upper boundary of  $CD$  so that also time translations would induce modular automorphism. These shifts seem to be necessary to define rest energies of positive and negative energy parts of the zero energy state.
3. The non-triviality of the modular automorphisms of  $II_\infty$  factor reflects different choices of  $\omega$ . The degeneracy of  $\omega$  could be due to the non-uniqueness of conformal vacuum which is part of the definition of  $\omega$ . The radial Virasoro algebra of light-cone boundary is generated by  $L_n = L_{-n}^*$ ,  $n \neq 0$  and  $L_0 = L_0^*$  and negative and positive frequencies are in asymmetric position. The conformal gauge is fixed by the choice of  $SO(3)$  subgroup of Lorentz group defining the slicing of light-cone boundary by spheres and the tips of  $CD$  fix  $SO(3)$  uniquely. One can however consider also alternative choices of  $SO(3)$  and each corresponds to a slicing of the light-cone boundary by spheres but in general the sphere defining the intersection of the two light-cone does not belong to the slicing. Hence the action of Lorentz transformation inducing different choice of  $SO(3)$  can lead out from the preferred state space so that its representation must be non-unitary unless Virasoro generators annihilate the physical states. The non-vanishing of the conformal central charge  $c$  and vacuum weight  $h$  seems to be necessary and indeed can take place for super-symplectic algebra and Super Kac-Moody algebra since only the differences of the algebra elements are assumed to annihilate physical states.

The essential assumption in the above argument is that the number of modes  $D_K\Psi = 0$  for the induced spinor field is infinite. This assumption is highly non-trivial and need not hold true always as the detailed considerations of [18] demonstrate.

1. The Dirac determinant defining the vacuum functional is identified as the product of generalized eigenvalues of the 3-D dimensional reduction  $D_{K,3}$  of  $D_K$  to light-like 3-surfaces  $Y_l^3$ . A physical analogy for the modified Dirac equation is fermion in a magnetic field.
2. When the dimension  $D$  of the  $CP_2$  projection of the space-time sheet satisfies  $D > 2$ , the counterpart of the Schrödinger amplitude - call it  $R$ - can depend on single  $CP_2$  coordinate only. For  $D = 2$  (cosmic strings would be the basic example)  $R$  can depend on 2  $CP_2$  coordinates. In this case infinite number of modes are possible and are analogous to 2-D spherical harmonics in the cross section of the string like object. At least in the interior of cosmic strings this option seems to be realized so that in this case the Clifford algebra would be infinite-dimensional.
3. What is essential is that for string like objects the slicings by light-like 3-surfaces associated with the wormhole throats at the opposite ends of string like object can correspond to the same slicing.

Hence the situation is expected to be the same for all string like objects irrespective of the value of  $D$ . The coordinate on which  $R$  depends could be analogous to cylindrical angle coordinate and one would have infinite number of rotational modes. For infinite-dimensional case zeta function regularization must be used in the definition of Dirac determinant and under rather general conditions on spectrum reduces to the analytic continuation used to define Riemann Zeta.

4. For  $D > 2$  and for objects which are not string like objects situation is different. The slicings by light-like 3-surfaces associated with different wormhole throats must be defined on finite-sized basins separated by boundaries at which the spinor modes associated with particular throat must vanish. The modes are therefore restricted to a finite region of space-time sheet with a boundary. If  $R$  is analogous to a radial mode in constant magnetic field, there is a natural cutoff in oscillator modes which are analogous harmonic oscillator wave functions and Dirac determinant is automatically finite. Thus for  $D > 2$  or at least for  $D = 4$  - a phase analogous to QFT in  $M^4$  - the number of modes would be finite meaning that the Clifford algebra is finite-dimensional and one obtains only factor of type  $I_n$ .

Modular automorphism of HFFs type  $III_1$  can be induced by several geometric transformations for HFFs of type  $III_1$  obtained using the crossed product construction from  $II_\infty$  factor by extending  $CD$  to a union of its Lorentz transforms.

1. The crossed product would correspond to an extension of  $II_\infty$  by allowing a union of some geometric transforms of  $CD$ . If one assumes that only  $CD$ s for which the distance between tips is quantized in powers of 2, then scalings of either upper or lower boundary of  $CD$  cannot correspond to these transformations. Same applies to time translations acting on either boundary but not to ordinary translations. As found, the modular automorphisms reducing the size of  $CD$  could act in HFF of type  $II_\infty$ .
2. The geometric counterparts of the modular transformations would most naturally correspond to any non-compact one parameter sub-group of Lorentz group as also QFT suggests. The Lorentz boosts would replace the radial coordinate  $r_M$  of the light-cone boundary associated with the radial Virasoro algebra with a new one so that the slicing of light-cone boundary with spheres would be affected and one could speak of a new conformal gauge. The temporal distance between tips of  $CD$  in the rest frame would not be affected. The effect would seem to be however unitary because the transformation does not only modify the states but also transforms  $CD$ .
3. Since Lorentz boosts affect the isotropy group  $SO(3)$  of  $CD$  and thus also the conformal gauge defining the radial coordinate of the light-cone boundary, they affect also the definition of the conformal vacuum so that also  $\omega$  is affected so that the interpretation as a modular automorphism makes sense. The simplistic intuition of the novice suggests that if one allows wave functions in the space of Lorentz transforms of  $CD$ , unitarity of  $\Delta^{it}$  is possible. Note that the hierarchy of Planck constants assigns to  $CD$  preferred  $M^2$  and thus direction of quantization axes of angular momentum and boosts in this direction would be in preferred role.
4. One can also consider the HFF of type  $III_\lambda$  if the radial scalings by negative powers of 2 correspond to the automorphism group of  $II_\infty$  factor as the vision about allowed  $CD$ s suggests.  $\lambda = 1/2$  would naturally hold true for the factor obtained by allowing only the radial scalings. Lorentz boosts would expand the factor to HFF of type  $III_1$ . Why scalings by powers of 2 would give rise to periodicity should be understood.

The identification of  $M$ -matrix as modular automorphism  $\Delta^{it}$ , where  $t$  is complex number having as its real part the temporal distance between tips of  $CD$  quantized as  $2^n$  and temperature as imaginary part, looks at first highly attractive, since it would mean that  $M$ -matrix indeed exists mathematically. The proposed interpretations of modular automorphisms do not support the idea that they could define the S-matrix of the theory. In any case, the identification as modular automorphism would not lead to a magic universal formula since arbitrary unitary transformation is involved.

### 6.3.5 Can one identify $M$ -matrix from physical arguments?

Consider next the identification of  $M$ -matrix from physical arguments.

### Basic physical picture

The following physical picture could help in the attempt to guess what the complex square root of  $\omega$  is and also whether this idea makes sense at all. Consider first quantum TGD proper.

1. The exponent of Kähler function identified as Kähler action for preferred extremals defines the bosonic vacuum functional appearing in the functional integral over  $WCW(CD)$ . The exponent of Kähler function depends on the real part of  $t$  identified as Minkowski distance between the tips of  $CD$ . This dependence is not consistent with the dependence of  $\Delta^{it}$  on  $t$  and the natural interpretation is that the vacuum functional can be included in the definition of the inner product for spinors fields of  $WCW$ . More formally, the exponent of Kähler function defines  $\omega$  in bosonic degrees of freedom.
2. One can assign to the modified Dirac action Dirac determinant identified tentatively as the exponent of Kähler function. This determinant is defined as the product of the generalized eigenvalues of a 3-dimensional modified Dirac operator assignable to light-like 3-surfaces. The definition relies on quantum holography involving the slicing of space-time surface both by light-like 3-surfaces and by string world sheets. Hence also Kähler coupling strength follows as a prediction so that the theory involves therefore no free coupling parameters. Kähler function is defined only apart from an additive term which is sum of holomorphic and anti-holomorphic functions of the configuration space and this would naturally correspond to the effect of the modular automorphism. I have proposed that the choices of a particular light-like 3-surface in the slicing of  $X^4$  by light-like 3-surfaces at which vacuum functional is defined as Dirac determinant can differ by this kind of term having therefore interpretation also as a modular automorphism for a factor of type  $II_\infty$ .
3. Quantum criticality -implied by the condition that the modified Dirac action gives rise to conserved currents assignable to the deformations of the space-time surface - means the vanishing of the second variation of Kähler action for these deformations. Preferred extremals correspond to these 4-surfaces and  $M^8 - M^4 \times CP_2$  duality allows to identify them also as hyper-quaternionic space-time surfaces.
4. Second quantized spinor fields are the only quantum fields appearing at the space-time level. This justifies to the notion of bosonic emergence [21], which means that gauge bosons and possible counterpart of Higgs particle are identified as bound states of fermion and antifermion at opposite light-like throats of wormhole contact. This suggests that the  $M$ -matrix should allow a formulation solely in terms of the modified Dirac action.

### HFFs and the definition of Dirac determinant

The definition of the Dirac determinant -call it  $det(D)$ - discussed in [31] involves two assumptions. First, finite measurement resolution is assumed to correspond to a replacement of light-like 3-surfaces with braids whose strands carry fermion number. Secondly, the quantum holography justifies the assumption about dimensional reduction to a determinant assignable to 3-D Dirac operator.

1. The finiteness of the trace for HFF of type  $II_1$  indeed encourages the question whether one could define  $det(D)$  as the exponent of the trace of the logarithm of 3-D Dirac operator  $D_3$  even without the assumption of finite measurement resolution. The trace would be induced from the trace of the tensor product of hyper-finite factor of type  $II_1$  and factor of type I.
2. One might wonder whether holography could allow to define  $det(D)$  also in terms of the 4-D modified Dirac operator. The basic problem is of course that only the spinor fields satisfying  $D_4\Psi = 0$  are allowed and eigenvalue equation in standard sense breaks baryon and lepton number conservation. The critical deformation representing zero modes might however allow to circumvent this difficulty. The modified Dirac equation  $D\Psi = 0$  holding true for the 4-surfaces obtained as critical deformations can be written in the form  $D_0\Psi = D_0\delta\Psi = -\delta D\Psi$ , where the subscript  $_0$  refers to the non-deformed surface and one has  $\delta\Psi = O\Psi_0$  which involves propagator defined by  $D_4$ . Maybe one could define  $det(D)$  as the determinant of the operator  $-\delta D$  by identifying it as the exponent of the trace of the operator  $log(-\delta D)$ . This would require

a division by the deformation parameter  $\delta t$  at both sides of the modified Dirac equation and means only the elimination of an infinite proportionality factor from the determinant.

### Bosonic emergence and QFT limit of TGD

The QFT limit of TGD gives further valuable hints about the formulation of quantum TGD proper. In QFT limit Dirac action coupled to gauge potentials (and possibly the TGD counterpart of Higgs) defines the theory and bosonic propagators and vertices involving bosons as external particles emerge as radiative corrections [21]. There are no free coupling constants in the theory.

1. The construction involves at the first step the coupling of spinor fields  $\Psi$  to fermionic sources  $\xi$  leading to an expression of the effective action as a functional of gauge potentials and  $\xi$  containing the counterpart of YM action in the purely bosonic sector plus interaction terms representing N-boson vertices. Bosonic dynamics is therefore generated purely radiatively in accordance with the emergence idea. At the next step the coupling to external YM currents leads to Feynman rules in the standard manner.
2. The inverse of the bosonic propagator and N-boson vertices correspond to fermionic loops and coupling constants are predicted completely in terms of them provided one can define the loop integrals uniquely.
3. Fermionic loops do not make sense without cutoff in both mass squared and hyperbolic angle defining the maximum Lorentz boost which can be applied to a virtual fermion in the rest system of the virtual gauge boson. Zero energy ontology realized in terms of a hierarchy of *CDs* provides a physical justification for the hierarchy of hyperbolic cutoffs. p-Adic length scale hypothesis (the sizes of *CDs* come in powers of 2) allows to decompose momentum space to shells corresponding to mass squared intervals  $[n, n + 1)$  using  $CP_2$  mass squared as a unit. The hyperbolic cutoff can depend on p-adic mass scale and can differ for time-like and space-like momenta: the relationship between these cutoffs is fixed from the condition that gauge bosons do not generate mass radiatively. One can find a simple ansatz for the hyperbolic cutoff consistent with the coupling constant evolution in standard model. The vanishing of all on-mass-shell  $N > 2$ -boson vertices defined by the fermionic loops states their irreducibility to lower vertices and serves as a candidate for the condition fixing the hyperbolic cutoff as a function of the p-adic mass scale.

### A proposal for *M*-matrix

This picture can be taken as a template as one tries to imagine how the construction of *M*-matrix could proceed in quantum TGD proper.

1. Modified Dirac action should replace the ordinary Dirac action and define the theory. The linear couplings of spinors to fermionic external currents are needed. Also bosons represented as bound states of fermion and antifermion to the analogs of gauge currents are needed to construct the *M*-matrix and would correspond to an addition of quantum part to induced spinor connection. One can consider also the addition of quantum parts to the induced metric and induced gamma matrices.
2. The couplings of the induced spinor fields to external sources would be given as contractions of the fermionic sources with conformal super-currents. Conformal currents would couple to bosonic external currents analogous to external YM currents and *M*-matrix would result via the usual procedure leading to generalized Feynman diagrams for which sub-*CDs* would contain vertices.

One cannot however argue that everything would be crystal clear.

1. There are two kinds of super-conformal algebras corresponding to quantum fluctuating degrees of freedom and zero modes. The super-conformal algebra associated with the zero modes follows from quantum criticality guaranteeing the conservation of these currents. These currents are defined in the interior of the space-time surface. By quantum holography the quantum fluctuating super-conformal algebra is assigned with light-like 3-surfaces. Both these algebras form

a hierarchy of inclusions identifiable as counterparts for inclusions of HFFs. Which of the two super-conformal algebras one should use? Does quantum holography - interpreted as possibility of 1-1 entanglement between the two kinds of conformal currents for prepared states- mean that one can use either of them to construct  $M$ -matrix? How the dimensional reduction could be understood in terms of this duality?

2. The bosonic conserved currents in the interior of  $X^4$  implied by quantum criticality involve a purely local pairing of the induced spinor field and its conjugate. The problem is that gauge bosons as wormhole throats appearing in the dimensionally reduced description correspond to a non-local (in  $CP_2$  scale) pairing of spinor field and its conjugate at opposite wormhole throats. Should one accept as a fact that dimensionally reduced quantum fluctuating counterparts for the purely local zero mode currents are bi-local?
3. Only few days after posing these questions a plausible answer to them came through a resolution of several problems related to the formulation of quantum TGD (see the section "Handful of problems with a common resolution" of [23]). One important outcome of the formulation allowing to understand how stringy fermionic propagators emerge from the theory was that gravitational coupling vanishes for purely local composites of fermion and antifermion represented by Kac-Moody algebra and super-conformal algebra associated with critical deformations. Hence the only sensible identification of bosons seems to be as wormhole throats.
4. The construction of the bosonic propagators in terms of fermionic loops [21] as functionals integral over Grassmann variables generalizes. Fermionic loops correspond geometrically to wormhole contacts having fermion and anti-fermion at their opposite light-like throats. This implies a cutoff for momentum squared and hyperbolic angle of the virtual fermion in the rest system of boson crucial for the absence of loop divergences. Hence bosonic propagation is emergent as is also fermionic propagation which can be seen as induced by the measurement interaction for momentum. This justifies the cutoffs due to the finite measurement resolution.
5. It is essential that one first functionally integrates over the fermionic degrees of freedom and over the small deformations of light-like 3-surfaces and only after that constructs diagrams from tree diagrams with bosonic and fermionic lines by using generalized Cutkosky rules. Here the generalization of twistors to 8-D context allowing to regard massive particles as massless particles in 8-D framework is expected to be a crucial technical tool possibly allowing to achieve summations over large classes of generalized Feynman diagrams. Also the hierarchy of  $CDs$  is expected to be crucial in the construction.

The key idea is the addition of measurement interaction term to the modified Dirac action coupling to the conserved currents defined by quantum critical deformations for which the second variation of Kähler action vanishes. There remains a considerable freedom in choosing the precise form of the measurement interaction but there is a long list of arguments supporting the identification of the measurement interaction as the one defined by 3-D Chern-Simons term assignable with wormhole throats so that the dynamics in the interior of space-time sheet is not affected. This means that 3-D light-like wormhole throats carry induced spinor field which can be regarded as independent degrees of freedom having the spinor fields at partonic 2-surfaces as sources and acting as 3-D sources for the 4-D induced spinor field. The most general measurement interaction would involve the corresponding coupling also for Kähler action but is not physically motivated. Here are the arguments in favor of Chern-Simons Dirac action and corresponding measurement interaction.

1. A correlation between 4-D geometry of space-time sheet and quantum numbers is achieved by the identification of exponent of Kähler function as Dirac determinant making possible the entanglement of classical degrees of freedom in the interior of space-time sheet with quantum numbers.
2. Cartan algebra plays a key role not only at quantum level but also at the level of space-time geometry since quantum critical conserved currents vanish for Cartan algebra of isometries and the measurement interaction terms giving rise to conserved currents are possible only for Cartan algebras. Furthermore, modified Dirac equation makes sense only for eigen states of Cartan algebra generators. The hierarchy of Planck constants realized in terms of the book like

structure of the generalized imbedding space assigns to each  $CD$  (causal diamond) preferred Cartan algebra: in case of Poincare algebra there are two of them corresponding to linear and cylindrical  $M^4$  coordinates.

3. Quantum holography and dimensional reduction hierarchy in which partonic 2-surface defined fermionic sources for 3-D fermionic fields at light-like 3-surfaces  $Y_l^3$  in turn defining fermionic sources for 4-D spinors find an elegant realization. Effective 2-dimensionality is achieved if the replacement of light-like wormhole throat  $X_l^3$  with light-like 3-surface  $Y_l^3$  "parallel" with it in the definition of Dirac determinant corresponds to the  $U(1)$  gauge transformation  $K \rightarrow K + f + \bar{f}$  for Kähler function of WCW so that WCW Kähler metric is not affected. Here  $f$  is holomorphic function of WCW ("world of classical worlds") complex coordinates and arbitrary function of zero mode coordinates.
4. An elegant description of the interaction between super-conformal representations realized at partonic 2-surfaces and dynamics of space-time surfaces is achieved since the values of Cartan charges are feeded to the 3-D Dirac equation which also receives mass term at the same time. Almost topological QFT at wormhole throats results at the limit when four-momenta vanish: this is in accordance with the original vision about TGD as almost topological QFT.
5. A detailed view about the physical role of quantum criticality results. Quantum criticality fixes the values of Kähler coupling strength as the analog of critical temperature. Quantum criticality implies that second variation of Kähler action vanishes for critical deformations and the existence of conserved current except in the case of Cartan algebra of isometries. Quantum criticality allows to fix the values of couplings appearing in the measurement interaction by using the condition  $K \rightarrow K + f + \bar{f}$ . p-Adic coupling constant evolution can be understood also and corresponds to scale hierarchy for the sizes of causal diamonds ( $CDs$ ). To achieve internal consistency the quantum critical deformations for Kähler action must be also quantum critical for Chern-Simons action which implies that the deformations are orthogonal to Kähler magnetic field at each light-like 3-surface in the slicing of space-time sheet by light-like 3-surfaces.
6. CP breaking, irreversibility and the space-time description of dissipation are closely related. Also the interpretation of preferred extremals of Kähler action in regions where  $[D_{C-S}, D_{C-S,int}] = 0$  as asymptotic self organization patterns makes sense. Here  $D_{C-S}$  denotes the 3-D modified Dirac operator associated with Chern-Simons action and  $D_{C-S,int}$  to the corresponding measurement interaction term expressible as superposition of couplings to various observables to critical conserved currents.
7. A radically new view about matter antimatter asymmetry based on zero energy ontology emerges and one could understand the experimental absence of antimatter as being due to the fact antimatter corresponds to negative energy states. The identification of bosons as wormhole contacts is the only possible option in this framework.
8. Almost stringy propagators and a consistency with the identification of wormhole throats as lines of generalized Feynman diagrams is achieved. The notion of bosonic emergence leads to a long sought general master formula for the  $M$ -matrix elements. The counterpart for fermionic loop defining bosonic inverse propagator at QFT limit is wormhole contact with fermion and cutoffs in mass squared and hyperbolic angle for loop momenta of fermion and antifermion in the rest system of emitting boson have precise geometric counterpart.

On basis of above considerations it seems that the idea about "complex square root" of  $\omega$  might make sense in quantum TGD and that different measurement interactions correspond to various choices of  $\omega$ . Also the modular automorphism would make sense and because of its non-uniqueness  $\Delta$  could bring in the flexibility needed one wants thermodynamics. Stringy picture forces to ask whether  $\Delta$  could in some situation be proportional  $exp(L_0)$ , where  $L_0$  represents as the infinitesimal scaling generator of either super-symplectic algebra or super Kac-Moody algebra (the choice does not matter since the differences of the generators annihilate physical states in coset construction). This would allow to reproduce real thermodynamics consistent with p-adic thermodynamics.

In string models  $exp(iL_0\tau)$  is identified as the time evolution operator at single particle level whose integral over  $\tau$  defines the propagator. The quantization for the sizes of  $CDs$  does not however allow



integration over  $t$  in this sense. Could the integration over projectors with traces differing by scalings parameterized by  $t$  correspond to this integral? Or should one give up this idea since modified Dirac operator defines a propagator in any case?

### 6.3.6 Finite measurement resolution and HFFs

The finite resolution of quantum measurement leads in TGD framework naturally to the notion of quantum  $M$ -matrix for which elements have values in sub-factor  $\mathcal{N}$  of HFF rather than being complex numbers.  $M$ -matrix in the factor space  $\mathcal{M}/\mathcal{N}$  is obtained by tracing over  $\mathcal{N}$ . The condition that  $\mathcal{N}$  acts like complex numbers in the tracing implies that  $M$ -matrix elements are proportional to maximal projectors to  $\mathcal{N}$  so that  $M$ -matrix is effectively a matrix in  $\mathcal{M}/\mathcal{N}$  and situation becomes finite-dimensional. It is still possible to satisfy generalized unitarity conditions but in general case tracing gives a weighted sum of unitary  $M$ -matrices defining what can be regarded as a square root of density matrix.

#### About the notion of observable in zero energy ontology

Some clarifications concerning the notion of observable in zero energy ontology are in order.

1. As in standard quantum theory observables correspond to hermitian operators acting on either positive or negative energy part of the state. One can indeed define hermitian conjugation for positive and negative energy parts of the states in standard manner.
2. Also the conjugation  $A \rightarrow JAJ$  is analogous to hermitian conjugation. It exchanges the positive and negative energy parts of the states also maps the light-like 3-surfaces at the upper boundary of  $CD$  to the lower boundary and vice versa. The map is induced by time reflection in the rest frame of  $CD$  with respect to the origin at the center of  $CD$  and has a well defined action on light-like 3-surfaces and space-time surfaces. This operation cannot correspond to the sought for hermitian conjugation since  $JAJ$  and  $A$  commute. The formulation of quantum TGD in terms of the modified Dirac action requires the addition of CP and T breaking fermionic counterpart of instanton term to the modified Dirac action. An interesting question is what this term means from the point of view of the conjugation.
3. Zero energy ontology gives Cartan sub-algebra of the Lie algebra of symmetries a special status. Only Cartan algebra acting on either positive or negative states respects the zero energy property but this is enough to define quantum numbers of the state. In absence of symmetry breaking positive and negative energy parts of the state combine to form a state in a singlet representation of group. Since only the net quantum numbers must vanish zero energy ontology allows a symmetry breaking respecting a chosen Cartan algebra.
4. In order to speak about four-momenta for positive and negative energy parts of the states one must be able to define how the translations act on  $CD$ s. The most natural action is a shift of the upper (lower) tip of  $CD$ . In the scale of entire  $CD$  this transformation induced Lorentz boost fixing the other tip. The value of mass squared is identified as proportional to the average of conformal weight in p-adic thermodynamics for the scaling generator  $L_0$  for either super-symplectic or Super Kac-Moody algebra.

#### Inclusion of HFFS as characterizer of finite measurement resolution at the level of $S$ -matrix

The inclusion  $\mathcal{N} \subset \mathcal{M}$  of factors characterizes naturally finite measurement resolution. This means following things.

1. Complex rays of state space resulting usually in an ideal state function reduction are replaced by  $\mathcal{N}$ -rays since  $\mathcal{N}$  defines the measurement resolution and takes the role of complex numbers in ordinary quantum theory so that non-commutative quantum theory results. Non-commutativity corresponds to a finite measurement resolution rather than something exotic occurring in Planck length scales. The quantum Clifford algebra  $\mathcal{M}/\mathcal{N}$  creates physical states modulo resolution. The fact that  $\mathcal{N}$  takes the role of gauge algebra suggests that it might be necessary to fix a

gauge by assigning to each element of  $\mathcal{M}/\mathcal{N}$  a unique element of  $\mathcal{M}$ . Quantum Clifford algebra with fractal dimension  $\beta = \mathcal{M} : \mathcal{N}$  creates physical states having interpretation as quantum spinors of fractal dimension  $d = \sqrt{\beta}$ . Hence direct connection with quantum groups emerges.

2. The notions of unitarity, hermiticity, and eigenvalue generalize. The elements of unitary and hermitian matrices and  $\mathcal{N}$ -valued. Eigenvalues are Hermitian elements of  $\mathcal{N}$  and thus correspond entire spectra of Hermitian operators. The mutual non-commutativity of eigenvalues guarantees that it is possible to speak about state function reduction for quantum spinors. In the simplest case of a 2-component quantum spinor this means that second component of quantum spinor vanishes in the sense that second component of spinor annihilates physical state and second acts as element of  $\mathcal{N}$  on it. The non-commutativity of spinor components implies correlations between them and thus fractal dimension is smaller than 2.
3. The intuition about ordinary tensor products suggests that one can decompose  $\text{Tr}$  in  $\mathcal{M}$  as

$$\text{Tr}_{\mathcal{M}}(X) = \text{Tr}_{\mathcal{M}/\mathcal{N}} \times \text{Tr}_{\mathcal{N}}(X) . \quad (6.3.4)$$

Suppose one has fixed gauge by selecting basis  $|r_k\rangle$  for  $\mathcal{M}/\mathcal{N}$ . In this case one expects that operator in  $\mathcal{M}$  defines an operator in  $\mathcal{M}/\mathcal{N}$  by a projection to the preferred elements of  $\mathcal{M}$ .

$$\langle r_1 | X | r_2 \rangle = \langle r_1 | \text{Tr}_{\mathcal{N}}(X) | r_2 \rangle . \quad (6.3.5)$$

4. Scattering probabilities in the resolution defined by  $\mathcal{N}$  are obtained in the following manner. The scattering probability between states  $|r_1\rangle$  and  $|r_2\rangle$  is obtained by summing over the final states obtained by the action of  $\mathcal{N}$  from  $|r_2\rangle$  and taking the analog of spin average over the states created in the similar from  $|r_1\rangle$ .  $\mathcal{N}$  average requires a division by  $\text{Tr}(P_{\mathcal{N}}) = 1/\mathcal{M} : \mathcal{N}$  defining fractal dimension of  $\mathcal{N}$ . This gives

$$p(r_1 \rightarrow r_2) = \mathcal{M} : \mathcal{N} \times \langle r_1 | \text{Tr}_{\mathcal{N}}(S P_{\mathcal{N}} S^\dagger) | r_2 \rangle . \quad (6.3.6)$$

This formula is consistent with probability conservation since one has

$$\sum_{r_2} p(r_1 \rightarrow r_2) = \mathcal{M} : \mathcal{N} \times \text{Tr}_{\mathcal{N}}(S S^\dagger) = \mathcal{M} : \mathcal{N} \times \text{Tr}(P_{\mathcal{N}}) = 1 . \quad (6.3.7)$$

5. Unitarity at the level of  $\mathcal{M}/\mathcal{N}$  can be achieved if the unit operator  $Id$  for  $\mathcal{M}$  can be decomposed into an analog of tensor product for the unit operators of  $\mathcal{M}/\mathcal{N}$  and  $\mathcal{N}$  and  $M$  decomposes to a tensor product of unitary M-matrices in  $\mathcal{M}/\mathcal{N}$  and  $\mathcal{N}$ . For HFFs of type II projection operators of  $\mathcal{N}$  with varying traces are present and one expects a weighted sum of unitary M-matrices to result from the tracing having interpretation in terms of square root of thermodynamics.
6. This argument assumes that  $\mathcal{N}$  is HFF of type II<sub>1</sub> with finite trace. For HFFs of type III<sub>1</sub> this assumption must be given up. This might be possible if one compensates the trace over  $\mathcal{N}$  by dividing with the trace of the infinite trace of the projection operator to  $\mathcal{N}$ . This probably requires a limiting procedure which indeed makes sense for HFFs.

### Quantum $M$ -matrix

The description of finite measurement resolution in terms of inclusion  $\mathcal{N} \subset \mathcal{M}$  seems to boil down to a simple rule. Replace ordinary quantum mechanics in complex number field  $C$  with that in  $\mathcal{N}$ . This means that the notions of unitarity, hermiticity, Hilbert space ray, etc.. are replaced with their  $\mathcal{N}$  counterparts.

The full  $M$ -matrix in  $\mathcal{M}$  should be reducible to a finite-dimensional quantum  $M$ -matrix in the state space generated by quantum Clifford algebra  $\mathcal{M}/\mathcal{N}$  which can be regarded as a finite-dimensional matrix algebra with non-commuting  $\mathcal{N}$ -valued matrix elements. This suggests that full  $M$ -matrix can be expressed as  $M$ -matrix with  $\mathcal{N}$ -valued elements satisfying  $\mathcal{N}$ -unitarity conditions.

Physical intuition also suggests that the transition probabilities defined by quantum  $S$ -matrix must be commuting hermitian  $\mathcal{N}$ -valued operators inside every row and column. The traces of these operators give  $\mathcal{N}$ -averaged transition probabilities. The eigenvalue spectrum of these Hermitian matrices gives more detailed information about details below experimental resolution.  $\mathcal{N}$ -hermiticity and commutativity pose powerful additional restrictions on the  $M$ -matrix.

Quantum  $M$ -matrix defines  $\mathcal{N}$ -valued entanglement coefficients between quantum states with  $\mathcal{N}$ -valued coefficients. How this affects the situation? The non-commutativity of quantum spinors has a natural interpretation in terms of fuzzy state function reduction meaning that quantum spinor corresponds effectively to a statistical ensemble which cannot correspond to pure state. Does this mean that predictions for transition probabilities must be averaged over the ensemble defined by "quantum quantum states"?

### Quantum fluctuations and inclusions

Inclusions  $\mathcal{N} \subset \mathcal{M}$  of factors provide also a first principle description of quantum fluctuations since quantum fluctuations are by definition quantum dynamics below the measurement resolution. This gives hopes for articulating precisely what the important phrase "long range quantum fluctuations around quantum criticality" really means mathematically.

1. Phase transitions involve a change of symmetry. One might hope that the change of the symmetry group  $G_a \times G_b$  could universally code this aspect of phase transitions. This need not always mean a change of Planck constant but it means always a leakage between sectors of imbedding space. At quantum criticality 3-surfaces would have regions belonging to at least two sectors of  $H$ .
2. The long range of quantum fluctuations would naturally relate to a partial or total leakage of the 3-surface to a sector of imbedding space with larger Planck constant meaning zooming up of various quantal lengths.
3. For  $M$ -matrix in  $\mathcal{M}/\mathcal{N}$  regarded as  $cal\mathcal{N}$  module quantum criticality would mean a special kind of eigen state for the transition probability operator defined by the  $M$ -matrix. The properties of the number theoretic braids contributing to the  $M$ -matrix should characterize this state. The strands of the critical braids would correspond to fixed points for  $G_a \times G_b$  or its subgroup.

### $M$ -matrix in finite measurement resolution

The following arguments relying on the proposed identification of the space of zero energy states give a precise formulation for  $M$ -matrix in finite measurement resolution and the Connes tensor product involved. The original expectation that Connes tensor product could lead to a unique  $M$ -matrix is wrong. The replacement of  $\omega$  with its complex square root could lead to a unique hierarchy of  $M$ -matrices with finite measurement resolution and allow completely finite theory despite the fact that projectors have infinite trace for HFFs of type III<sub>1</sub>.

1. In zero energy ontology the counterpart of Hermitian conjugation for operator is replaced with  $\mathcal{M} \rightarrow J\mathcal{M}J$  permuting the factors. Therefore  $N \in \mathcal{N}$  acting to positive (negative) energy part of state corresponds to  $N \rightarrow N' = JNJ$  acting on negative (positive) energy part of the state.
2. The allowed elements of  $N$  must be such that zero energy state remains zero energy state. The superposition of zero energy states involved can however change. Hence one must have that the

counterparts of complex numbers are of form  $N = JN_1J \vee N_2$ , where  $N_1$  and  $N_2$  have same quantum numbers. A superposition of terms of this kind with varying quantum numbers for positive energy part of the state is possible.

3. The condition that  $N_{1i}$  and  $N_{2i}$  act like complex numbers in  $\mathcal{N}$ -trace means that the effect of  $JN_{1i}J \vee N_{2i}$  and  $JN_{2i}J \vee N_{1i}$  to the trace are identical and correspond to a multiplication by a constant. If  $\mathcal{N}$  is HFF of type  $II_1$  this follows from the decomposition  $\mathcal{M} = \mathcal{M}/\mathcal{N} \otimes \mathcal{N}$  and from  $Tr(AB) = Tr(BA)$  assuming that  $M$  is of form  $M = M_{\mathcal{M}/\mathcal{N}} \times P_{\mathcal{N}}$ . Contrary to the original hopes that Connes tensor product could fix the M-matrix there are no conditions on  $M_{\mathcal{M}/\mathcal{N}}$  which would give rise to a finite-dimensional M-matrix for Jones inclusions. One can replace the projector  $P_{\mathcal{N}}$  with a more general state if one takes this into account in  $*$  operation.
4. In the case of HFFs of type  $III_1$  the trace is infinite so that the replacement of  $Tr_{\mathcal{N}}$  with a state  $\omega_{\mathcal{N}}$  in the sense of factors looks more natural. This means that the counterpart of  $*$  operation exchanging  $N_1$  and  $N_2$  represented as  $SA\Omega = A^*\Omega$  involves  $\Delta$  via  $S = J\Delta^{1/2}$ . The exchange of  $N_1$  and  $N_2$  gives altogether  $\Delta$ . In this case the KMS condition  $\omega_{\mathcal{N}}(AB) = \omega_{\mathcal{N}}(\Delta A)$  guarantees the effective complex number property [73].
5. Quantum TGD more or less requires the replacement of  $\omega$  with its "complex square root" so that also a unitary matrix  $U$  multiplying  $\Delta$  is expected to appear in the formula for  $S$  and guarantee the symmetry. One could speak of a square root of KMS condition [73] in this case. The QFT counterpart would be a cutoff involving path integral over the degrees of freedom below the measurement resolution. In TGD framework it would mean a cutoff in the functional integral over WCW and for the modes of the second quantized induced spinor fields and also cutoff in sizes of causal diamonds. Discretization in terms of braids replacing light-like 3-surfaces should be the counterpart for the cutoff.
6. If one has  $M$ -matrix in  $\mathcal{M}$  expressible as a sum of  $M$ -matrices of form  $M_{\mathcal{M}/\mathcal{N}} \times M_{\mathcal{N}}$  with coefficients which correspond to the square roots of probabilities defining density matrix the tracing operation gives rise to square root of density matrix in  $M$ .

### Is universal M-matrix possible?

The realization of the finite measurement resolution could apply only to transition probabilities in which  $\mathcal{N}$ -trace or its generalization in terms of state  $\omega_{\mathcal{N}}$  is needed. One might however dream of something more.

1. Maybe there exists a universal M-matrix in the sense that the same M-matrix gives the M-matrices in finite measurement resolution for all inclusions  $\mathcal{N} \subset \mathcal{M}$ . This would mean that one can write

$$M = M_{\mathcal{M}/\mathcal{N}} \otimes M_{\mathcal{N}} \quad (6.3.8)$$

for any physically reasonable choice of  $\mathcal{N}$ . This would formally express the idea that  $M$  is as near as possible to M-matrix of free theory. Also fractality suggests itself in the sense that  $M_{\mathcal{N}}$  is essentially the same as  $M_{\mathcal{M}}$  in the same sense as  $\mathcal{N}$  is same as  $\mathcal{M}$ . It might be that the trivial solution  $M = 1$  is the only possible solution to the condition.

2.  $M_{\mathcal{M}/\mathcal{N}}$  would be obtained by the analog of  $Tr_{\mathcal{N}}$  or  $\omega_{\mathcal{N}}$  operation involving the "complex square root" of the state  $\omega$  in case of HFFs of type  $III_1$ . The QFT counterpart would be path integration over the degrees of freedom below cutoff to get effective action.
3. Universality probably requires assumptions about the thermodynamical part of the universal M-matrix. A possible alternative form of the condition is that it holds true only for canonical choice of "complex square root" of  $\omega$  or for the S-matrix part of  $M$ :

$$S = S_{\mathcal{M}/\mathcal{N}} \otimes S_{\mathcal{N}} \quad (6.3.9)$$

for any physically reasonable choice  $\mathcal{N}$ .

4. In TGD framework the condition would say that the M-matrix defined by the modified Dirac action gives M-matrices in finite measurement resolution via the counterpart of integration over the degrees of freedom below the measurement resolution.

An objection against the universality is that if the M-matrix is "complex square root of state" cannot be unique and there are infinitely many choices related by a unitary transformation induced by the flows representing modular automorphism giving rise to new choices. This would actually be a well-come result and make possible quantum measurement theory. In the section "Handful of problems with a common resolution" of [31] it was found that one must add to the modified Dirac action a measurement interaction term characterizing the measured observables. This implies stringy propagation as well as space-time correlates for quantum numbers characterizing the partonic states. These different modified Dirac actions would give rise to different Kähler functions. The corresponding Kähler metrics would not however differ if the real parts of the Kähler functions associated with the two choices differ by a term  $f(Z) + \bar{f}(\bar{Z})$ , where  $Z$  denotes complex coordinates of WCW, the Kähler metric remains the same. The function  $f$  can depend also on zero modes. If this is the case then one can allow in given CD superpositions of WCW spinor fields for which the measurement interactions are different.

### Connes tensor product and space-like entanglement

Ordinary linear Connes tensor product makes sense also in positive/negative energy sector and also now it makes sense to speak about measurement resolution. Hence one can ask whether Connes tensor product should be posed as a constraint on space-like entanglement. The interpretation could be in terms of the formation of bound states. The reducibility of HFFs and inclusions means that the tensor product is not uniquely fixed and ordinary entanglement could correspond to this kind of entanglement.

Also the counterpart of p-adic coupling constant evolution would makes sense. The interpretation of Connes tensor product would be as the variance of the states with respect to some subgroup of  $U(n)$  associated with the measurement resolution: the analog of color confinement would be in question.

### 2-vector spaces and entanglement modulo measurement resolution

John Baez and collaborators [87] are playing with very formal looking formal structures obtained by replacing vectors with vector spaces. Direct sum and tensor product serve as the basic arithmetic operations for the vector spaces and one can define category of n-tuples of vectors spaces with morphisms defined by linear maps between vectors spaces of the tuple. n-tuples allow also element-wise product and sum. They obtain results which make them happy. For instance, the category of linear representations of a given group forms 2-vector spaces since direct sums and tensor products of representations as well as n-tuples make sense. The 2-vector space however looks more or less trivial from the point of physics.

The situation could become more interesting in quantum measurement theory with finite measurement resolution described in terms of inclusions of hyper-finite factors of type  $II_1$ . The reason is that Connes tensor product replaces ordinary tensor product and brings in interactions via irreducible entanglement as a representation of finite measurement resolution. The category in question could give Connes tensor products of quantum state spaces and describing interactions. For instance, one could multiply  $M$ -matrices via Connes tensor product to obtain category of  $M$ -matrices having also the structure of 2-operator algebra.

1. The included algebra represents measurement resolution and this means that the infinite-D sub-Hilbert spaces obtained by the action of this algebra replace the rays. Sub-factor takes the role of complex numbers in generalized QM so that one obtains non-commutative quantum mechanics. For instance, quantum entanglement for two systems of this kind would not be between rays but between infinite-D subspaces corresponding to sub-factors. One could build a generalization of QM by replacing rays with sub-spaces and it would seem that quantum group concept does more or less this: the states in representations of quantum groups could be seen as infinite-dimensional Hilbert spaces.

2. One could speak about both operator algebras and corresponding state spaces modulo finite measurement resolution as quantum operator algebras and quantum state spaces with fractal dimension defined as factor space like entities obtained from HFF by dividing with the action of included HFF. Possible values of the fractal dimension are fixed completely for Jones inclusions. Maybe these quantum state spaces could define the notions of quantum 2-Hilbert space and 2-operator algebra via direct sum and tensor production operations. Fractal dimensions would make the situation interesting both mathematically and physically.

Suppose one takes the fractal factor spaces as the basic structures and keeps the information about inclusion.

1. Direct sums for quantum vectors spaces would be just ordinary direct sums with HFF containing included algebras replaced with direct sum of included HFFs.
2. The tensor products for quantum state spaces and quantum operator algebras are not anymore trivial. The condition that measurement algebras act effectively like complex numbers would require Connes tensor product involving irreducible entanglement between elements belonging to the two HFFs. This would have direct physical relevance since this entanglement cannot be reduced in state function reduction. The category would defined interactions in terms of Connes tensor product and finite measurement resolution.
3. The sequences of super-conformal symmetry breakings identifiable in terms of inclusions of super-conformal algebras and corresponding HFFs could have a natural description using the 2-Hilbert spaces and quantum 2-operator algebras.

### 6.3.7 Questions about quantum measurement theory in zero energy ontology

In the following some questions about quantum measurement theory are posed. First however a result about the relationship between  $U$ -matrix and  $M$ -matrix not known when the questions were made will be represented. The background allowing a deeper understanding of this result can be found from [49] discussing Negentropy Maximization Principle, which is the basic dynamical principle of TGD inspired theory of consciousness and states that the information content of conscious experience is maximal.

#### The relationship between $U$ -matrix and $M$ -matrix

Before proceeding it is a good idea to clarify the relationship between the notions of  $U$ -matrix and  $M$ -matrix. If state function reduction associated with time-like entanglement leads always to a product of positive and negative energy states (so that there is no counterpart of bound state entanglement and negentropic entanglement possible for zero energy states: these notions are discussed below)  $U$ -matrix and can be regarded as a collection of  $M$ -matrices

$$U_{m_+n_-,r_+,s_-} = M(m_+,n_-)_{r_+,s_-} \quad (6.3.10)$$

labeled by the pairs  $(m_+,n_-)$  labelling zero energy states assumed to reduced to pairs of positive and negative energy states.  $M$ -matrix element is the counterpart of S-matrix element  $S_{r,s}$  in positive energy ontology. Unitarity conditions for  $U$ -matrix read as

$$\begin{aligned} (UU^\dagger)_{m_+n_-,r_+,s_-} &= \sum_{k_+,l_-} M(m_+,n_-)_{k_+,l_-} \bar{M}(r_+,s_-)_{k_+,l_-} = \delta_{m_+r_+,n_-s_-} \ , \\ (U^\dagger U)_{m_+n_-,r_+,s_-} &= \sum_{k_+,l_-} \bar{M}(k_+,l_-)_{m_+,n_-} M(k_+,l_-)_{r_+,s_-} = \delta_{m_+r_+,n_-s_-} \ . \end{aligned} \quad (6.3.11)$$

The conditions state that the zero energy states associated with different labels are orthogonal as zero energy states and also that the zero energy states defined by the dual  $M$ -matrix

$$M^\dagger(m_+, n_-)_{k_+, l_-} \equiv \overline{M}(k_+ l_-)_{m_+, n_-} \quad (6.3.12)$$

-perhaps identifiable as phase conjugate states- define an orthonormal basis of zero energy states.

When time-like binding and negentropic entanglement are allowed also zero energy states with a label not implying a decomposition to a product state are involved with the unitarity condition but this does not affect the situation dramatically. As a matter fact, the situation is mathematically the same as for ordinary S-matrix in the presence of bound states. Here time-like bound states are analogous to space-like bound states and by definition are unable to decay to product states (free states). Negentropic entanglement makes sense only for entanglement probabilities, which are rationals or belong to their algebraic extensions. This is possible in what might be called the intersection of real and p-adic worlds (partonic surfaces in question have representation making sense for both real and p-adic numbers). Number theoretic entropy is obtained by replacing in the Shannon entropy the logarithms of probabilities with the logarithms of their p-adic norms. They satisfy the same defining conditions as ordinary Shannon entropy but can be also negative. One can always find prime  $p$  for which the entropy is maximally negative. The interpretation of negentropic entanglement is in terms of formations of rule or association. Schrödinger cat knows that it is better to not open the bottle: open bottle-dead cat, closed bottle-living cat and negentropic entanglement measures this information.

### Fractal hierarchy of state function reductions

In accordance with fractality, the conditions for the Connes tensor product at a given time scale imply the conditions at shorter time scales. On the other hand, in shorter time scales the inclusion would be deeper and would give rise to a larger reducibility of the representation of  $\mathcal{N}$  in  $\mathcal{M}$ . Formally, as  $\mathcal{N}$  approaches to a trivial algebra, one would have a square root of density matrix and trivial S-matrix in accordance with the idea about asymptotic freedom.

$M$ -matrix would give rise to a matrix of probabilities via the expression  $P(P_+ \rightarrow P_-) = Tr[P_+ M^\dagger P_- M]$ , where  $P_+$  and  $P_-$  are projectors to positive and negative energy energy  $\mathcal{N}$ -rays. The projectors give rise to the averaging over the initial and final states inside  $\mathcal{N}$  ray. The reduction could continue step by step to shorter length scales so that one would obtain a sequence of inclusions. If the  $U$ -process of the next quantum jump can return the  $M$ -matrix associated with  $\mathcal{M}$  or some larger HFF,  $U$  process would be kind of reversal for state function reduction.

Analytic thinking proceeding from vision to details; human life cycle proceeding from dreams and wild actions to the age when most decisions relate to the routine daily activities; the progress of science from macroscopic to microscopic scales; even biological decay processes: all these have an intriguing resemblance to the fractal state function reduction process proceeding to shorter and shorter time scales. Since this means increasing thermality of  $M$ -matrix,  $U$  process as a reversal of state function reduction might break the second law of thermodynamics.

The conservative option would be that only the transformation of intentions to action by  $U$  process giving rise to new zero energy states can bring in something new and is responsible for evolution. The non-conservative option is that the biological death is the  $U$ -process of the next quantum jump leading to a new life cycle. Breathing would become a universal metaphor for what happens in quantum Universe. The 4-D body would be lived again and again.

### How quantum classical correspondence is realized at parton level?

Quantum classical correspondence must assign to a given quantum state the most probable space-time sheet depending on its quantum numbers. The space-time sheet  $X^4(X^3)$  defined by the Kähler function depends however only on the partonic 3-surface  $X^3$ , and one must be able to assign to a given quantum state the most probable  $X^3$  - call it  $X_{max}^3$  - depending on its quantum numbers.

$X^4(X_{max}^3)$  should carry the gauge fields created by classical gauge charges associated with the Cartan algebra of the gauge group (color isospin and hypercharge and electromagnetic and  $Z^0$  charge) as well as classical gravitational fields created by the partons. This picture is very similar to that of quantum field theories relying on path integral except that the path integral is restricted to 3-surfaces  $X^3$  with exponent of Kähler function bringing in genuine convergence and that 4-D dynamics is deterministic apart from the delicacies due to the 4-D spin glass type vacuum degeneracy of Kähler action.

Stationary phase approximation selects  $X_{max}^3$  if the quantum state contains a phase factor depending not only on  $X^3$  but also on the quantum numbers of the state. A good guess is that the needed phase factor corresponds to either Chern-Simons type action or an action describing the interaction of the induced gauge field with the charges associated with the braid strand. This action would be defined for the induced gauge fields. YM action seems to be excluded since it is singular for light-like 3-surfaces associated with the light-like wormhole throats (not only  $\sqrt{\det(g_3)}$  but also  $\sqrt{\det(g_4)}$  vanishes).

The challenge is to show that this is enough to guarantee that  $X^4(X_{max}^3)$  carries correct gauge charges. Kind of electric-magnetic duality should relate the normal components  $F_{ni}$  of the gauge fields in  $X^4(X_{max}^3)$  to the gauge fields  $F_{ij}$  induced at  $X^3$ . An alternative interpretation is in terms of quantum gravitational holography. The difference between Chern-Simons action characterizing quantum state and the fundamental Chern-Simons type factor associated with the Kähler form would be that the latter emerges as the phase of the Dirac determinant.

One is forced to introduce gauge couplings and also electro-weak symmetry breaking via the phase factor. This is in apparent conflict with the idea that all couplings are predictable. The essential uniqueness of  $M$ -matrix in the case of HFFs of type  $II_1$  (at least) however means that their values as a function of measurement resolution time scale are fixed by internal consistency. Also quantum criticality leads to the same conclusion. Obviously a kind of bootstrap approach suggests itself.

### 6.3.8 How p-adic coupling constant evolution and p-adic length scale hypothesis emerge from quantum TGD proper?

What p-adic coupling constant evolution really means has remained for a long time more or less open. The progress made in the understanding of the S-matrix of theory has however changed the situation dramatically.

#### M-matrix and coupling constant evolution

The final breakthrough in the understanding of p-adic coupling constant evolution came through the understanding of S-matrix, or actually M-matrix defining entanglement coefficients between positive and negative energy parts of zero energy states in zero energy ontology [31]. M-matrix has interpretation as a "complex square root" of density matrix and thus provides a unification of thermodynamics and quantum theory. S-matrix is analogous to the phase of Schrödinger amplitude multiplying positive and real square root of density matrix analogous to modulus of Schrödinger amplitude.

The notion of finite measurement resolution realized in terms of inclusions of von Neumann algebras allows to demonstrate that the irreducible components of M-matrix are unique and possesses huge symmetries in the sense that the hermitian elements of included factor  $\mathcal{N} \subset \mathcal{M}$  defining the measurement resolution act as symmetries of M-matrix, which suggests a connection with integrable quantum field theories.

It is also possible to understand coupling constant evolution as a discretized evolution associated with time scales  $T_n$ , which come as octaves of a fundamental time scale:  $T_n = 2^n T_0$ . Number theoretic universality requires that renormalized coupling constants are rational or at most algebraic numbers and this is achieved by this discretization since the logarithms of discretized mass scale appearing in the expressions of renormalized coupling constants reduce to the form  $\log(2^n) = n \log(2)$  and with a proper choice of the coefficient of logarithm  $\log(2)$  dependence disappears so that rational number results. Recall that also the weaker condition  $T_p = p T_0$ ,  $p$  prime, would assign secondary p-adic time scales to the size scale hierarchy of CDs:  $p \simeq 2^n$  would result as an outcome of some kind of "natural selection" for this option. The highly satisfactory feature would be that p-adic time scales would reflect directly the geometry of imbedding space and configuration space.

#### p-Adic coupling constant evolution

An attractive conjecture is that the coupling constant evolution associated with CDs in powers of 2 implying time scale hierarchy  $T_n = 2^n T_0$  induces p-adic coupling constant evolution and explain why p-adic length scales correspond to  $L_p \propto \sqrt{p} R$ ,  $p \simeq 2^k$ ,  $R$   $CP_2$  length scale? This looks attractive but there seems to be a problem. p-Adic length scales come as powers of  $\sqrt{2}$  rather than 2 and the



strongly favored values of  $k$  are primes and thus odd so that  $n = k/2$  would be half odd integer. This problem can be solved.

1. The observation that the distance traveled by a Brownian particle during time  $t$  satisfies  $r^2 = Dt$  suggests a solution to the problem. p-Adic thermodynamics applies because the partonic 3-surfaces  $X^2$  are as 2-D dynamical systems random apart from light-likeness of their orbit. For  $CP_2$  type vacuum extremals the situation reduces to that for a one-dimensional random light-like curve in  $M^4$ . The orbits of Brownian particle would now correspond to light-like geodesics  $\gamma_3$  at  $X^3$ . The projection of  $\gamma_3$  to a time=constant section  $X^2 \subset X^3$  would define the 2-D path  $\gamma_2$  of the Brownian particle. The  $M^4$  distance  $r$  between the end points of  $\gamma_2$  would be given  $r^2 = Dt$ . The favored values of  $t$  would correspond to  $T_n = 2^n T_0$  (the full light-like geodesic). p-Adic length scales would result as  $L^2(k) = DT(k) = D2^k T_0$  for  $D = R^2/T_0$ . Since only  $CP_2$  scale is available as a fundamental scale, one would have  $T_0 = R$  and  $D = R$  and  $L^2(k) = T(k)R$ .
2. p-Adic primes near powers of 2 would be in preferred position. p-Adic time scale would not relate to the p-adic length scale via  $T_p = L_p/c$  as assumed implicitly earlier but via  $T_p = L_p^2/R_0 = \sqrt{p}L_p$ , which corresponds to secondary p-adic length scale. For instance, in the case of electron with  $p = M_{127}$  one would have  $T_{127} = .1$  second which defines a fundamental biological rhythm. Neutrinos with mass around .1 eV would correspond to  $L(169) \simeq 5 \mu\text{m}$  (size of a small cell) and  $T(169) \simeq 1. \times 10^4$  years. A deep connection between elementary particle physics and biology becomes highly suggestive.
3. In the proposed picture the p-adic prime  $p \simeq 2^k$  would characterize the thermodynamics of the random motion of light-like geodesics of  $X^3$  so that p-adic prime  $p$  would indeed be an inherent property of  $X^3$ . For the weaker condition would be  $T_p = pT_0$ ,  $p$  prime,  $p \simeq 2^n$  could be seen as an outcome of some kind of "natural selection". In this case,  $p$  would a property of  $CD$  and all light-like 3-surfaces inside it and also that corresponding sector of configuration space.
4. The fundamental role of 2-adicity suggests that the fundamental coupling constant evolution and p-adic mass calculations could be formulated also in terms of 2-adic thermodynamics. With a suitable definition of the canonical identification used to map 2-adic mass squared values to real numbers this is possible, and the differences between 2-adic and p-adic thermodynamics are extremely small for large values of for  $p \simeq 2^k$ . 2-adic temperature must be chosen to be  $T_2 = 1/k$  whereas p-adic temperature is  $T_p = 1$  for fermions. If the canonical identification is defined as

$$\sum_{n \geq 0} b_n 2^n \rightarrow \sum_{m \geq 1} 2^{-m+1} \sum_{(k-1)m \leq n < km} b_n 2^n ,$$

it maps all 2-adic integers  $n < 2^k$  to themselves and the predictions are essentially same as for p-adic thermodynamics. For large values of  $p \simeq 2^k$  2-adic real thermodynamics with  $T_R = 1/k$  gives essentially the same results as the 2-adic one in the lowest order so that the interpretation in terms of effective 2-adic/p-adic topology is possible.

## 6.4 Could one generalize the notion of twistor to 8-D case?

The basic problem of the twistor approach is that one cannot represent massive momenta in terms of twistors in elegant manner. I have proposed a possible representation of massive states based on the existence of preferred plane of  $M^2$  in the basic definition of theory allowing to express four-momentum as some of two light-like momenta allowing twistor description. One could however ask whether some more elegant representation of massive  $M^4$  momenta might be possible by generalizing the notion of twistor -perhaps by starting from the number theoretic vision.

The basic idea is obvious: in quantum TGD massive states in  $M^4$  can be regarded as massless states in  $M^8$  and  $CP_2$  (recall  $M^8 - H$  duality). One can therefore map any massive  $M^4$  momentum to a light-like  $M^8$  momentum and hope that this association could be made in a unique manner. One should assign to a massless 8-momentum an 8-dimensional spinor of fixed chirality. The spinor assigned with the light-like four-momentum is not unique without additional conditions. The existence of covariantly

constant right-handed neutrino in  $CP_2$  degrees generating the super-conformal symmetries could allow to eliminate the non-uniqueness. 8-dimensional twistor in  $M^8$  would be a pair of this kind of spinors fixing the momentum of massless particle and the point through which the corresponding light-geodesic goes through: the set of these points forms 8-D light-cone and one can assign to each point a spinor. In  $M^4 \times CP_2$  definitions makes also in the case of  $M^4 \times CP_2$  and twistor space would also now be a lifting of the space of light-like geodesics.

The possibility to interpret  $M^8$  as hyperoctonionic space suggests also the possibility to define the 8-D counterparts of sigma matrices to hyperoctonions to obtain a representation of sigma matrix algebra which is not a matrix representation. The mapping of gamma matrices to this representation allows to define a notion of hyper-quaternionicity in terms of the modified gamma matrices both in  $M^8$  and  $H$ .

### 6.4.1 Octo-twistors defined in terms of ordinary spinors

It is possible to define octo-twistors in terms of ordinary spinors of  $M^8$  or  $H$ .

1. The condition for the octo-twistor makes sense also for ordinary spinors and the explicit representation can be obtained by using triality. The ansatz is  $p^k = \bar{\Psi} \gamma^k \Psi$ . The condition  $p^k p_k = 0$  gives Dirac equation  $p^k \gamma_k \Psi = 0$  and its conjugate solved by  $\Psi = p^k \gamma_k \Psi_0$ . The expression of  $p^k$  in turn gives the normalization condition  $\bar{\Psi}_0 \gamma^k p_k \Psi_0 = 1/2$ .
2. Without further conditions almost any  $\Psi_0$  not annihilated by  $\gamma^k p_k$  is possible solution. One can map the spinor basis to hyper-octonion basis and assume  $\Psi_0 \rightarrow 1 = \sigma_0$ . This would give octo-twistor spinors as  $\Psi = p^k \gamma_k \Psi_0$  and its conjugate and there would be natural mapping to  $p^k \sigma_k$  so that  $\Psi$  and  $p^k$  would correspond to each other in 1-1 manner apart from the phase factor of  $\Psi$ .
3. A highly unique choice for  $\Psi_0$  is the covariantly constant (with respect to  $CP_2$  coordinates) right-handed neutrino spinor of  $M^4 \times CP_2$  since the Dirac operators of  $M^8$ ,  $H$ , and  $X^4$  reduce to free Dirac operator when acting on it in both  $M^8$  and  $H$  and giving also rise to super-conformal symmetry. The choice is unique apart from  $SO(3)$  rotation but the condition that spin eigen state is in question for the choice of quantization axis fixed by the choice of hyper-octonion units and also by the definition of the hierarchy of Planck constants fixes  $\Psi_0$  apart from the sign of the spin if reality is assumed. When  $p^k \gamma_k \Psi_0 = 0$  holds true for fixed  $\Psi_0$ , the ansatz fails so that the gauge choice is not global. There are two gauge patches corresponding to the two signs of the spin of  $\Psi_0$ . Right handed neutrino spinor reflects directly the homological magnetic monopole character of the Kähler form of  $CP_2$  so that the monopole property is in well defined sense transferred from  $CP_2$  to  $M^4$ . Note that this argument fails for quark spinors which do not allow any covariantly constant spinor.
4. For ordinary twistors the existence of the antisymmetric tensor  $\epsilon$  acting as Kähler form in the space of spinors is what allows to define second spinor and these spinors together form twistor. Ordinary twistors are pairs of spinors and also in the recent case one would have pairs of octo-spinors. The geometric interpretation would be as a light-like geodesic of  $M^8$  or tangent vector of light-like geodesic of  $M^4 \times CP_2$  and the two spinors would code for the momentum associated with the ray and the transverse position of the ray expressible in terms of a light-like vector. This would double the dimension to D=16 which happens to be the dimension of complexified octonions. The standard definition of twistors would suggest that one has 2 triplets of this kind so that Dirac equation and above argument would reduce the situation to 16-dimensional one. Twistors space would be  $C^8$  and 14-D projective twistor space would correspond to  $CP_7$ .
5. 2-D spinor and its conjugate as independent representations of Lorentz group define twistor. In an analogous manner  $M^8$  vector,  $M^8$ -spinor, and its conjugate define a triplet as independent representations. One can therefore ask whether a triplet of these independent representations could define octo-twistor so that two triplets would not be needed. Together they would form an entity with 24 components when the overall complex phase is eliminated and if no gauge choice fixing  $\Psi_0$  is made apart from the assumption  $\Psi_0$  has real components. If the overall phase is allowed, the number of components is 26 (the momentum constraint of course reduces the number of degrees of freedom to 8). It seems that the magic dimensions of string models

are unavoidable! Perhaps it might be possible to reduce 26-D string theory to 8-D theory by posing triality symmetry and additional gauge symmetry. The problem of this identification is that one does not have a geometric interpretation as a lifting of the space of light-like geodesics. One could of course define octo-twistors as a pair of triplets with the members of triplet obtained from each other via triality symmetry.

### 6.4.2 Could right handed neutrino spinor modes define octo-twistors?

There is no absolute need to interpret induced spinor fields as parts of octo-twistors. One can however ask whether this might make sense for the solutions of the modified Dirac equation  $D\Psi = 0$  representing right-handed neutrino and expressible as  $\Psi = D\Psi_0$ .

1. In the modified Dirac equation gamma matrices are replaced by the modified gamma matrices defined by the variation of Kähler action and the massless momentum  $p^k \sigma_k$  is replaced with the modified Dirac operator  $D$ . In plane wave basis the derivatives in  $D$  reduce to algebraic multiplication operators in the case of right handed neutrino since right-handed neutrino has no gauge couplings.
2. A non-trivial consistency condition comes from the condition  $D^2\Psi_0 = 0$  giving sum of two terms.
  - (a) The first term is the analog of scalar d'Alembertian and given by

$$G^{\mu\nu} D_\mu D_\nu \Psi_0, \quad G^{\mu\nu} = h_{kl} T^{\mu k} T^{\nu l}, \quad T^{\mu k} = \frac{\partial L_K}{\partial h_\alpha^k},$$

and has quantum numbers of right handed neutrino as it should.

- (b) Second term is given by

$$T^{\mu k} D_\mu T^{\nu l} \Sigma_{kl} D_\nu \Psi_0,$$

and in the general case contains charged components. Only electromagnetically neutral  $CP_2$  sigma matrices having right handed neutrino as eigen state are allowed if one wants twistor interpretation. This is not true in the general case but might be implied by the preferred extremal property.

- (c) This property would allow to choose the induced spinor fields to be eigenstates of electromagnetic charge globally and would be therefore physically very attractive. After all, one of the basic interpretational problems has been the fact that classical  $W$  fields seem to induce mixing of quarks and leptons with different electro-magnetic charges. If this is the case one could assign to each point of the space-time surface octo-twistor like abstract entity as the triplet  $(\bar{\Psi}_0 D, D, D\Psi_0)$ . This would map space-time sheet to a 4-D surface (in real sense) in the space of 8-D (in complex sense) leptonic spinors.

### 6.4.3 Octo-twistors and modified Dirac equation

Classical number fields define one vision about quantum TGD. This vision about quantum TGD has evolved gradually and involves several speculative ideas.

1. The hard core of the vision is that space-time surfaces as preferred extremals of Kähler action can be identified as what I have called hyper-quaternionic surfaces of  $M^8$  or  $M^4 \times CP_2$ . This requires only the mapping of the modified gamma matrices to octonions or to a basis of subspace of complexified octonions. This means also the mapping of spinors to octonionic spinors. There is no need to assume that imbedding space-coordinates are octonionic.
2. I have considered also the idea that quantum TGD might emerge from the mere associativity.
  - (a) Consider Clifford algebra of WCW. Treat "vibrational" degrees of freedom in terms second quantized spinor fields and add center of mass degrees of freedom by replacing 8-D gamma matrices with their octonionic counterparts - which can be constructed as tensor products of octonions providing alternative representation for the basis of 7-D Euclidian gamma matrix

algebra - and of 2-D sigma matrices. Spinor components correspond to tensor products of octonions with 2-spinors: different spin states for these spinors correspond to leptons and baryons.

- (b) Construct a local Clifford algebra by considering Clifford algebra elements depending on point of  $M^8$  or  $H$ . The octonionic 8-D Clifford algebra and its local variant are non-associative. Associative sub-algebra of 8-D Clifford algebra is obtained by restricting the elements so any quaternionic 4-plane. Doing the same for the local algebra means restriction of the Clifford algebra valued functions to any 4-D hyper-quaternionic sub-manifold of  $M^8$  or  $H$  which means that the gamma matrices span complexified quaternionic algebra at each point of space-time surface. Also spinors must be quaternionic.
- (c) The assignment of the 4-D gamma matrix sub-algebra at each point of space-time surface can be done in many manners. If the gamma matrices correspond to the tangent space of space-time surface, one obtains just induced gamma matrices and the standard definition of quaternionic sub-manifold. In this case induced 4-volume is taken as the action principle. If Kähler action defines the space-time dynamics, the modified gamma matrices do not span the tangent space in general.
- (d) An important additional element is involved. If the  $M^4$  projection of the space-time surface contains a preferred subspace  $M^2$  at each point, the quaternionic planes are labeled by points of  $CP_2$  and one can equivalently regard the surfaces of  $M^8$  as surfaces of  $M^4 \times CP_2$  (number-theoretical "compactification"). This generalizes:  $M^2$  can be replaced with a distribution of planes of  $M^4$  which integrates to a 2-D surface of  $M^4$  (for instance, for string like objects this is necessarily true). The presence of the preferred local plane  $M^2$  corresponds to the fact that octonionic spin matrices  $\Sigma_{AB}$  span 14-D Lie-algebra of  $G_2 \subset SO(7)$  rather than that 28-D Lie-algebra of  $SO(7,1)$  whereas octonionic imaginary units provide 7-D fundamental representation of  $G_2$ . Also spinors must be quaternionic and this is achieved if they are created by the Clifford algebra defined by induced gamma matrices from two preferred spinors defined by real and preferred imaginary octonionic unit. Therefore the preferred plane  $M^3 \subset M^4$  and its local variant has direct counterpart at the level of induced gamma matrices and spinors.
- (e) This framework implies the basic structures of TGD and therefore leads to the notion of world of classical worlds (WCW) and from this one ends up with the notion WCW spinor field and WCW Clifford algebra and also hyper-finite factors of type  $II_1$  and  $III_1$ . Note that  $M^8$  is exceptional: in other dimensions there is no reason for the restriction of the local Clifford algebra to lower-dimensional sub-manifold to obtain associative algebra.

The above line of ideas leads naturally to (hyper-)quaternionic sub-manifolds and to basic quantum TGD (note that the "hyper" is un-necessary if one accepts just the notion of quaternionic sub-manifold formulated in terms of modified gamma matrices). One can pose some further questions.

1. Quantum TGD reduces basically to the second quantization of the induced spinor fields. Could it be that the theory is integrable only for 4-D hyper-quaternionic space-time surfaces in  $M^8$  (equivalently in  $M^4 \times CP_2$ ) in the sense than one can solve the modified Dirac equation exactly only in these cases?
2. The construction of quantum TGD -including the construction of vacuum functional as exponent of Kähler function reducing to Kähler action for a preferred extremal - should reduce to the modified Dirac equation defined by Kähler action. Could it be that the modified Dirac equation can be solved exactly only for Kähler action.
3. Is it possible to solve the modified Dirac equation for the octonionic gamma matrices and octonionic spinors and map the solution as such to the real context by replacing gamma matrices and sigma matrices with their standard counterparts? Could the associativity conditions for octospinors and modified Dirac equation allow to pin down the form of solutions to such a high degree that the solution can be constructed explicitly?
4. Octonionic gamma matrices provide also a non-associative representation for 8-D version of Pauli sigma matrices and encourage the identification of 8-D twistors as pairs of octonionic spinors

conjectured to be highly relevant also for quantum TGD. Does the quaternionicity condition imply that octo-twistors reduce to something closely related to ordinary twistors as the fact that 2-D sigma matrices provide a matrix representation of quaternions suggests?

In the following I will try to answer these questions by developing a detailed view about the octonionic counterpart of the modified Dirac equation and proposing explicit solution ansätze for the modes of the modified Dirac equation.

### The replacement of $SO(7, 1)$ with $G_2$

The basic implication of octonionization is the replacement of  $SO(7, 1)$  as the structure group of spinor connection with  $G_2$ . This has some rather unexpected consequences.

#### 1. Octonionic representation of 8-D gamma matrices

Consider first the representation of 8-D gamma matrices in terms of tensor products of 7-D gamma matrices and 2-D Pauli sigma matrices.

1. The gamma matrices are given by

$$\gamma^0 = 1 \times \sigma_1 \quad , \quad \gamma^i = \gamma^i \otimes \sigma_2 \quad , \quad i = 1, \dots, 7 \quad . \quad (6.4.1)$$

7-D gamma matrices in turn can be expressed in terms of 6-D gamma matrices by expressing  $\gamma^7$  as

$$\gamma_{i+1}^{(7)} = \gamma_i^{(6)} \quad , \quad i = 1, \dots, 6 \quad , \quad \gamma_1^{(7)} = \gamma_7^{(6)} = \prod_{i=1}^6 \gamma_i^{(6)} \quad . \quad (6.4.2)$$

2. The octonionic representation is obtained as

$$\gamma_0 = 1 \times \sigma_1 \quad , \quad \gamma_i = e_i \otimes \sigma_2 \quad . \quad (6.4.3)$$

where  $e_i$  are the octonionic units.  $e_i^2 = -1$  guarantees that the  $M^4$  signature of the metric comes out correctly. Note that  $\gamma_7 = \prod \gamma_i$  is the counterpart for choosing the preferred octonionic unit and plane  $M^2$ .

3. The octonionic sigma matrices are obtained as commutators of gamma matrices:

$$\Sigma_{0i} = e_i \times \sigma_3 \quad , \quad \Sigma_{ij} = f_{ij}^k e_k \otimes 1 \quad . \quad (6.4.4)$$

These matrices span  $G_2$  algebra having dimension 14 and rank 2 and having imaginary octonion units and their conjugates as the fundamental representation and its conjugate. The Cartan algebra for the sigma matrices can be chosen to be  $\Sigma_{01}$  and  $\Sigma_{23}$  and belong to a quaternionic sub-algebra.

4. The lower dimension of the  $G_2$  algebra means that some combinations of sigma matrices vanish. All left or right handed generators of the algebra are mapped to zero: this explains why the dimension is halved from 28 to 14. From the octonionic triangle expressing the multiplication rules for octonion units [67] one finds  $e_4 e_5 = e_1$  and  $e_6 e_7 = -e_1$  and analogous expressions for the cyclic permutations of  $e_4, e_5, e_6, e_7$ . From the expression of the left handed sigma matrix  $I_L^3 = \sigma_{23} + \sigma^{30}$  representing left handed weak isospin (see the Appendix about the geometry of  $CP_2$  [58]) one can conclude that this particular sigma matrix and left handed sigma matrices

in general are mapped to zero. The quaternionic sub-algebra  $SU(2)_L \times SU(2)_R$  is mapped to that for the rotation group  $SO(3)$  since in the case of Lorentz group one cannot speak of a decomposition to left and right handed subgroups. The elements of the complement of the quaternionic sub-algebra are expressible in terms of  $\Sigma_{ij}$  in the quaternionic sub-algebra.

### 2. Some physical implications of $SO(7,1) \rightarrow G_2$ reduction

This has interesting physical implications if one believes that the octonionic description is equivalent with the standard one.

1. If  $SU(2)_L$  is mapped to zero only the right-handed parts of electro-weak gauge field survive octonization. The right handed part is neutral containing only photon and  $Z^0$  so that the gauge field becomes Abelian.  $Z^0$  and photon fields become proportional to each other ( $Z^0 \rightarrow \sin^2(\theta_W)\gamma$ ) so that classical  $Z^0$  field disappears from the dynamics, and one would obtain just electrodynamics. This might provide a deeper reason for why electrodynamics is an excellent description of low energy physics and of classical physics. This is consistent with the fact that  $CP_2$  coordinates define 4 field degrees of freedom so that single Abelian gauge field should be enough to describe classical physics. This would remove also the interpretational problems caused by the transitions changing the charge state of fermion induced by the classical  $W$  boson fields.

Also the realization of  $M^8 - H$  duality led to the conclusion  $M^8$  spinor connection should have only neutral components. The isospin matrix associated with the electromagnetic charge is  $e_1 \times 1$  and represents the preferred imaginary octonionic unit so that that the image of the electro-weak gauge algebra respects associativity condition. An open question is whether octonionization is part of  $M^8$ -H duality or defines a completely independent duality. The objection is that information is lost in the mapping so that it becomes questionable whether the same solutions to the modified Dirac equation can work as a solution for ordinary Clifford algebra.

2. If  $SU(2)_R$  were mapped to zero only left handed parts of the gauge fields would remain. All classical gauge fields would remain in the spectrum so that information would not be lost. The identification of the electro-weak gauge fields as three covariantly constant quaternionic units would be possible in the case of  $M^8$  allowing Hyper-Kähler structure [41], which has been speculated to be a hidden symmetry of quantum TGD at the level of WCW. This option would lead to difficulties with associativity since the action of the charged gauge potentials would lead out from the local quaternionic subspace defined by the octonionic spinor.
3. The gauge potentials and gauge fields defined by  $CP_2$  spinor connection are mapped to fields in  $SO(2) \subset SU(2) \times U(1)$  in quaternionic sub-algebra which in a well-defined sense corresponds to  $M^4$  degrees of freedom! Since the resulting interactions are of gravitational character, one might say that electro-weak interactions are mapped to manifestly gravitational interactions. Since  $SU(2)$  corresponds to rotational group one cannot say that spinor connection would give rise only to left or right handed couplings, which would be obviously a disaster.

### 3. Octo-spinors and their relation to ordinary imbedding space spinors

Octo-spinors are identified as octonion valued 2-spinors with basis

$$\begin{aligned} \Psi_{L,i} &= e_i \begin{pmatrix} 1 \\ 0 \end{pmatrix} , \\ \Psi_{q,i} &= e_i \begin{pmatrix} 0 \\ 1 \end{pmatrix} . \end{aligned} \tag{6.4.5}$$

One obtains quark and lepton spinors and conjugation for the spinors transforms quarks to leptons. Note that octospinors can be seen as 2-dimensional spinors with components which have values in the space of complexified octonions.

The leptonic spinor corresponding to real unit and preferred imaginary unit  $e_1$  corresponds naturally to the two spin states of the right handed neutrino. In quark sector this would mean that

right handed U quark corresponds to the real unit. The octonions decompose as  $1 + 1 + 3 + \bar{3}$  as representations of  $SU(3) \subset G_2$ . The concrete representations are given by

$$\begin{aligned} \{1 \pm ie_1\} &, & e_R \text{ and } \nu_R \text{ with spin } 1/2 &, \\ \{e_2 \pm ie_3\} &, & e_R \text{ and } \nu_L \text{ with spin } -1/2 &, \\ \{e_4 \pm ie_5\} &, & e_L \text{ and } \nu_L \text{ with spin } 1/2 &, \\ \{e_6 \pm ie_7\} &, & e_L \text{ and } \nu_L \text{ with spin } 1/2 &. \end{aligned} \tag{6.4.6}$$

Instead of spin one could consider helicity. All these spinors are eigenstates of  $e_1$  (and thus of the corresponding sigma matrix) with opposite values for the sign factor  $\epsilon = \pm$ . The interpretation is in terms of vectorial isospin. States with  $\epsilon = 1$  can be interpreted as charged leptons and D type quarks and those with  $\epsilon = -1$  as neutrinos and U type quarks. The interpretation would be that the states with vanishing color isospin correspond to right handed fermions and the states with non-vanishing SU(3) isospin (to be not confused with QCD color isospin) and those with non-vanishing SU(3) isospin to left handed fermions. The only difference between quarks and leptons is that the induced Kähler gauge potentials couple to them differently.

The importance of this identification is that it allows a unique map of the candidates for the solutions of the octonionic modified Dirac equation to those of ordinary one. There are some delicacies involved due to the possibility to chose the preferred unit  $e_1$  so that the preferred subspace  $M^2$  can corresponds to a sub-manifold  $M^2 \subset M^4$ .

### Octonionic counterpart of the modified Dirac equation

The solution ansatz for the octonionic counterpart of the modified Dirac equation discussed below makes sense also for ordinary modified Dirac equation which raises the hope that the same ansatz, and even same solution could provide a solution in both cases.

#### 1. The general structure of the modified Dirac equation

In accordance with quantum holography and the notion of generalized Feynman diagram, the modified Dirac equation involves two equations which must be consistent with each other.

1. There is 3-dimensional generalized eigenvalue equation for which the modified gamma matrices are defined by Chern-Simons action defined by the sum  $J_{tot} = J + J_1$  of Kähler forms of  $S^2$  and  $CP_2$  [31, 18].

$$\begin{aligned} D_3 \Psi &= [D_{C-S} + Q_{C-S}] \Psi = \lambda^k \gamma_k \Psi , \\ Q_{C-S} &= Q_\alpha \hat{\Gamma}_{C-S}^\alpha , \quad Q_\alpha = Q_A g^{AB} j_{B\alpha} . \end{aligned} \tag{6.4.7}$$

The gamma matrices  $\gamma_k$  are  $M^4$  gamma matrices in standard Minkowski coordinates and thus constant. Given eigenvalue  $\lambda_k$  defines pseudo momentum which is some function of the genuine momenta  $p_k$  and other quantum numbers via the boundary conditions associated with the generalized eigenvalue equation.

The charges  $Q_A$  correspond to real four-momentum and charges in color Cartan algebra. The term  $Q$  can be rather general since it provides a representation for the measurement interaction by mapping observables to Cartan algebra of isometry group and to the infinite hierarchy of conserved currents implied by quantum criticality. The operator  $O$  characterizes the quantum critical conserved current. The surface  $Y_l^3$  can be chosen to be any light-like 3-surface "parallel" to the wormhole throat in the slicing of  $X^4$ : this means an additional symmetry. Formally the measurement interaction term can be regarded as an addition of a gauge term to the Kähler gauge potential associated with the Kähler form  $J_{tot}$  of  $S^2 \times CP_2$ .

The square of the equation gives the spinor analog of d'Alembert equation and generalized eigenvalue as the analog of mass squared. The propagator associated with the wormhole throats is formally massless Dirac propagator so that standard twistor formalism applies also without

the octonionic representation of the gamma matrices although the physical particles propagating along the opposite wormhole throats are massive on mass shell particles with both signs of energy [18].

2. Second equation is the 4-D modified Dirac equation defined by Kähler action.

$$D_K \Psi = 0 . \quad (6.4.8)$$

The dimensional reduction of this operator to a sum corresponding to  $D_{K,3}$  acting on light-like 3-surfaces and 1-D operator  $D_{K,1}$  acting on the coordinate labeling the 3-D light-like 3-surfaces in the slicing would allow to assign eigenvalues to  $D_{K,3}$  as analogs of energy eigenvalues for ordinary Schrödinger equation. One proposal has been that Dirac determinant could be identified as the product of these eigenvalues. Another and more plausible identification is as the product of pseudo masses assignable to  $D_3$  defined by Chern-Simons action [99]. It must be however made clear that the identification of the exponent of the Kähler function to Chern-Simons term makes the identification as Dirac determinant unnecessary.

3. There are two options depending on whether one requires that the eigenvalue equation applies only on the wormhole throats and at the ends of the space-time surface or for all 3-surfaces in the slicing of the space-time surface by light-like 3-surfaces. In the latter case the condition that the pseudo four-momentum is same for all the light-like 3-surfaces in the slicing gives a consistency condition stating that the commutator of the two Dirac operators vanishes for the solutions in the case of preferred extremals, which depend on the momentum and color quantum numbers also:

$$[D_K, D_3] \Psi = 0 . \quad (6.4.9)$$

This condition is quite strong and there is no deep reason for it since  $\lambda_k$  does not correspond to the physical conserved momentum so that its spectrum could depend on the light-like 3-surface in the slicing. On the other hand, if the eigenvalues of  $D_3$  belong to the preferred hyper-complex plane  $M^2$ ,  $D_3$  effectively reduces to a 2-dimensional algebraic Dirac operator  $\lambda^k \gamma_k$  commuting with  $D_K$ : the values of  $\lambda^k$  cannot depend on slice since this would mean that  $D_K$  does not commute with  $D_3$ .

### 2. About the hyper-octonionic variant of the modified Dirac equation

What gives excellent hopes that the octonionic variant of modified Dirac equation could lead to a provide precise information about the solution spectrum of modified Dirac equation is the condition that everything in the equation should be associative. Hence the terms which are by their nature non-associative should vanish automatically.

1. The first implication is that the besides octonionic gamma matrices also octonionic spinors should belong to the local quaternionic plane at each point of the space-time surface. Spinors are also generated by quaternionic Clifford algebra from two preferred spinors defining a preferred plane in the space of spinors. Hence spinorial dynamics seems to mimic very closely the space-time dynamics and one might even hope that the solutions of the modified Dirac action could be seen as maps of the space-time surface to surfaces of the spinor space. The reduction to quaternionic sub-algebra suggest that some variant of ordinary twistors emerges in this manner in matrix representation.
2. The octonionic sigma matrices span  $G_2$  where as ordinary sigma matrices define  $SO(7,1)$ . On the other hand, the holonomies are identical in the two cases if right-handed charge matrices are mapped to zero so that there are indeed hopes that the solutions of the octonionic Dirac equation cannot be mapped to those of ordinary Dirac equation. If left-handed charge matrices are mapped to zero, the resulting theory is essentially the analog of electrodynamics coupled to gravitation at classical level but it is not clear whether this physically acceptable. It is not clear whether associativity condition leaves only this option under consideration.



3. The solution ansatz to the modified Dirac equation is expected to be of the form  $\Psi = D_K(\Psi_0 u_0 + \Psi_1 u_1)$ , where  $u_0$  and  $u_1$  are constant spinors representing real unit and the preferred unit  $e_1$ . Hence constant spinors associated with right handed electron and neutrino and right-handed  $d$  and  $u$  quark would appear in  $\Psi$  and  $\Psi_i$  could correspond to scalar coefficients of spinors with different charge. This ansatz would reduce the modified Dirac equation to  $D_K^2 \Psi_i = 0$  since there are no charged couplings present. The reduction of a d'Alembert type equation for single scalar function coupling to  $U(1)$  gauge potential and  $U(1)$  "gravitation" would obviously mean a dramatic simplification raising hopes about integrable theory.
4. The condition  $D_K^2 \Psi = 0$  involves products of three octonions and involves derivatives of the modified gamma matrices which might belong to the complement of the quaternionic sub-space. The restriction of  $\Psi$  to the preferred hyper-complex plane  $M^2$  simplifies the situation dramatically but  $(D_K^2)D_K \Psi = D_K(D_K^2)\Psi = 0$  could still fail. The problem is that the action of  $D_K$  is not algebraic so that one cannot treat reduce the associativity condition to  $(AA)A = A(AA)$ .

#### Could the notion of octo-twistor make sense?

Twistors have led to dramatic successes in the understanding of Feynman diagrammatics of gauge theories,  $N = 4$  SUSYs, and  $N = 8$  supergravity [79, 86, 84]. This motivated the question whether they might be applied in TGD framework too [40] - at least in the description of the QFT limit. The basic problem of the twistor program is how to overcome the difficulties caused by particle massivation and TGD framework suggests possible clues in this respect.

1. In TGD it is natural to regard particles as massless particles in 8-D sense and to introduce 8-D counterpart of twistors by relying on the geometric picture in which twistors correspond to a pair of spinors characterizing light-like momentum ray and a point of  $M^8$  through which the ray traverses. Twistors would consist of a pair of spinors and quark and lepton spinors define the natural candidate for the spinors in question. This approach would allow to handle massive on-mass-shell states but cannot cope with virtual momenta massive in 8-D sense.
2. The emergence of pseudo momentum  $\lambda_k$  from the generalized eigenvalue equation for  $D_{C-S}$  suggest a dramatically simpler solution to the problem. Since propagators are effectively massless propagators for pseudo momenta, which are functions of physical on shell momenta (with both signs of energy in zero energy ontology) and of other quantum numbers, twistor formalism can be applied in its standard form. An attractive assumption is that also  $\lambda^k$  are conserved in the vertices but a good argument justifying this is lacking. One can ask whether also  $N = 4$  SUSY,  $N = 8$  super-gravity, and even QCD could have similar interpretation.

This picture should apply also in the case of octotwistors with minor modifications and one might hope that octotwistors could provide new insights about what happens in the real case.

1. In the case of ordinary Clifford algebra unit matrix and six-dimensional gamma matrices  $\gamma_i$ ,  $i = 1, \dots, 6$  and  $\gamma_7 = \prod_i \gamma_i$  would define the variant of Pauli sigma matrices as  $\sigma_0 = 1$ ,  $\sigma_k = \gamma_k$ ,  $k = 1, \dots, 7$ . The problem is that masslessness condition does not correspond to the vanishing of the determinant for the matrix  $p_k \sigma^k$ .
2. In the case of octo-twistors Pauli sigma matrices  $\sigma^k$  would correspond to hyper-octonion units  $\{\sigma_0, \sigma_k\} = \{1, ie^k\}$  and one could assign to  $p_k \sigma^k$  a matrix by the linear map defined by the multiplication with  $P = p_k \sigma^k$ . The matrix is of form  $P_{mn} = p^k f_{kmn}$ , where  $f_{kmn}$  are the structure constants characterizing multiplication by hyper-octonion. The norm squared for octonion is the fourth root for the determinant of this matrix. Since  $p_k \sigma^k$  maps its octonionic conjugate to zero so that the determinant must vanish (as is easy to see directly by reducing the situation to that for hyper-complex numbers by considering the hyper-complex plane defined by  $P$ ).
3. Associativity condition for the octotwistors requires that the gamma matrix basis appearing in the generalized eigenvalue equation for Chern-Simons Dirac operator must differ by a local  $G_2$  rotation from the standard hyper-quaternionic gamma matrix for  $M^4$  so that it is always in the local hyper-quaternionic plane. This suggests that octo-twistor can be mapped to an ordinary

twistor by mapping the basis of hyper-quaternions to Pauli sigma matrices. A stronger condition guaranteeing the commutativity of  $D_3$  with  $\lambda^k \gamma_k$  is that  $\lambda_k$  belongs to a preferred hyper-complex plane  $M^2$  assignable to a given  $CD$ . Also the two spinors should belong to this plane for the proposed solution ansatz for the modified Dirac equation. Quaternionization would also allow to assign momentum to the spinors in standard manner.

The spectrum of pseudo-momenta would be 2-dimensional (continuum at worst) and this should certainly improve dramatically the convergence properties for the sum over the non-conserved pseudo-momenta in propagators which in the worst possible of worlds might destroy the manifest finiteness of the theory based on the generalized Feynman diagrams with the throats of wormholes carrying always on mass shell momenta. This effective 2-dimensionality should apply also in the real case and would have no catastrophic consequences since pseudo momenta are in question.

As a matter fact, the assumption the decomposition of quark momenta to longitudinal and transversal parts in perturbative QCD might have interpretation in terms of pseudo-momenta if they are conserved.

4.  $M^8 - H$  duality suggests a possible interpretation of the pseudo-momenta as  $M^8$  momenta which by purely number theoretical reasons must be commutative and thus belong to  $M^2$  hyper-complex plane. One ends up with the similar outcome as one constructs a representation for the quantum states defined by WCW spinor fields as superpositions of real units constructed as ratios of infinite hyper-octonionic integers with precisely defined number theoretic anatomy and transformation properties under standard model symmetries having number theoretic interpretation [16].

#### 6.4.4 What one really means with a virtual particle?

Massive particles are the basic problem of the twistor program. The twistorialization of massive particles does not seem to be a problem in TGD framework thanks to the possibility to interpret them as massless particles in 8-D sense but the situation is unsatisfactory for virtual particles.

The ideas possibly allowing to circumvent this problem emerged from a totally unexpected direction. The inspiration came from the finding of Martin Grusenick [84] who discovered that a Mickelson-Morley interferometer rotating in plane gives rise a non-trivial interference pattern when the plane is orthogonal to the Earth's surface but no effect when parallel to the Earth's surface. The effect could be due to a contraction of the system in the vertical direction caused by the own weight of the system and would thus involve no new physics. If not, then one must try to find General Relativistic explanation for it. Schwarzschild metric predicts this kind of effect but it is by a factor  $10^{-4}$  too small.

In TGD framework one can however consider an explanation of the effect [32].

1. By relaxing the empty space assumption to the assumption that only the energy density (that is  $G^{tt}$ ) vanishes but the other diagonal components of Einstein tensor in Schwarzschild coordinates can be non-vanishing allows to explain the effect in terms of the deviation of the radial component  $g_{rr}$  of the metric from Schwarzschild metric. The predicted deviation decreases as  $1/r$  and does not affect planetary orbits appreciably even if present for all astrophysical objects. The value of  $G$  determined from radial acceleration at the surface of Earth is predicted to deviate from the actual value as a consequence. The deviation of the metric from empty space metric could also explain the known surprisingly large variation in the measured values of  $G$  since nearby gravitational fields are involved.
2. The Einstein tensor in regions with vanishing energy density would obviously correspond to a tachyonic matter. This led to a series of ideas allowing to sharpen the physical meaning of Einstein's equations in TGD framework. The basic result would be the extension of quantum classical correspondence. The Einstein tensor in matter free regions would describe the presence of virtual particles and would fail to satisfy causality constraint since it corresponds to the space-like momentum exchange of the system with the external world (space-likeness follows if the scattering is elastic).
3. It is difficult to understand how the energy momentum tensor of matter could behave like  $G^{\alpha\beta}$  does if the latter describes tachyons. The resolution of the problem could be very simple in zero

energy ontology. In zero energy ontology bosons (and their super counterparts) correspond to wormhole contacts carrying fermion and antifermion numbers at the light-like wormhole throats and having opposite signs of energy. This allows the possibility that the fermions at the throats are on mass shell and the sum of their momenta gives rise to off mass shell momentum which can be also space-like. In zero energy ontology  $G^{\alpha\beta}$  would naturally correspond to the sum of on mass shell energy momentum tensors  $T_{\pm}^{\alpha\beta}$  associated with positive and negative energy fermions and their super-counterparts. Note that for the energy momentum tensor  $T^{\alpha\beta} = (\rho + p)u^{\alpha}u^{\beta} - pg^{\alpha\beta}$  of fluid with  $u^{\alpha}u_{\alpha} = 1$  constraint stating on mass shell condition the allowance of virtual particles would mean giving up the condition  $u^{\alpha}u_{\alpha} = 1$  for the velocity field.

### Could virtual particles be regarded as pairs of on mass shell particles with opposite energies?

This identification suggests a concrete identification of virtual particle as pairs of positive and negative energy on mass shell particles allowing an elegant formulation of the twistor program in the case of virtual particles [40, 18].

1. The basic idea is that massive on mass shell states can be regarded as massless states in 8-dimensional sense so that twistor program generalizes to the case of massive on mass shell states associated with the representations of super-conformal algebras. One has however allow now also off mass shell states, in particular those with space-like momenta, and the question is how to describe them in terms of generalized twistors. In the case of wormhole contacts the answer looks obvious. Bosons and their super partners could correspond to pairs of positive and negative energy on mass shell states and could be described using a pair of twistors associated with composite momenta massless in 8-D sense.
2. It took some time to realize that the most elegant identification of the on mass shall bosons would be as wormhole contacts for which both throats have either positive or negative energy. This would imply automatically on-mass shell property. The basic objection against this has been that one cannot construct massless spin 1 states in this manner. Dirac equation in  $M^4$  implies that the momenta are parallel and for fermion and antifermion the helicities are therefore opposite and only longitudinal polarization representing pure gauge degree of freedom is possible. It is amazing how long time it required to realize that I had swallowed this objection completely uncritically. After all, the first thing that I learned from the Dirac equation for massless induced spinors is that it mixes unavoidably  $M^4$  chiralities except for very special vacuum extremals like canonically imbedded  $M^4$ . Same applies to the modified Dirac equation. Therefore there is no problem! Of course, also the p-adic mass calculations involve imbedding spaced spinors for which  $M^4$  helicities are mixed strongly since only covariantly constant right handed neutrino is massless and possesses a well defined  $M^4$  helicity. At space-time level a pair of massless extremals (topological light rays) with same (opposite) energies and connected by wormhole contacts could serve as a space-time correlate for on (off) mass shell boson.
3. How can one then identify virtual fermions and their super-counterparts? These particles have been assumed to consist of single wormhole throat associated with a deformation of  $CP_2$  vacuum extremal so that the proposed definition would allow only on mass shell states. A possible resolution of the problem is the identification of also virtual fermions and their super-counterparts as wormhole contacts in the sense that the second wormhole throats is fermionic Fock vacuum carrying purely bosonic quantum numbers and corresponds to a state generated by purely bosonic generators of the super-symplectic algebra whose elements are in 1-1 correspondence with Hamiltonians of  $\delta M_{\pm}^4 \times CP_2$ . Thus the distinction between on mass shell and of mass shell states would be purely topological for fermions and their super partners.
4. The concrete physical interpretation would be that particle scattering event involves at least two parallel space-time sheets. Incoming (outgoing) fermion is topologically condensed at positive energy (negative energy) sheet and corresponds to single throat. In the interaction region fermionic spaced-time sheet touches with a high probably the large space-time sheet sheet since the distance between sheets is about  $10^4$  Planck lengths. The touching (topological sum) generates a second wormhole throat with a spherical topology and carrying no fermion number but

having on mass shell momentum. Virtual fermions would be interacting fermions. Since only topological sum contacts are formed, also virtual fermions are labeled by the genus  $g$  of the 2-D wormhole throat whereas bosons are labeled by the pair  $(g_1, g_2)$  of the genera of two wormhole throats. This classification is consistent with the mechanism giving rise to virtual bosons.

The proposed identification of virtual and on mass shell particles is beautiful but it is of course far from obvious whether it really make sense. Bosonic emergence means that the fundamental loop integrals are for fermionic loops. One could in principle get rid of bosonic loop integrals by using generalized Cutkosky rules [21, 18] but it would be highly satisfying to have a concrete physical interpretation for the loops. It interesting to see whether the proposed picture picture works in practice. Bosonic emergence means that one path integrates first over fermions to get bosonic action as radiative corrections. Only 3-vertices (or rather, 3 momenta are associated with the vertex [18]) are involved at the fermion level whereas at the bosonic level arbitrary high vertiecs appear.

### How to treat the new degrees of freedom?

The identification of off mass shell states as on mass shell states of positive and negative energy throats brings in new degrees of freedom. Let us first look what happens if the momenta of the two throats of wormhole contact are completely uncorrelated apart from the condition  $p_1 - p_2 = p$  coming from the energy conservation in the 3-vertex. Here  $p_1$  ( $-p_2$ ) is the momentum of on mass shell positive (negative) energy throat and  $p$  is the momentum of outgoing (incoming) wormhole contact. On mass shell conditions eliminate two degrees of freedom so that in absence of correlations the 4-D integral over loop momenta should be extended to a 6-D integral. For a given time-like virtual momentum  $p$  these degrees of freedom corresponds to 2-dimensional sphere as one finds by looking the situation in the rest system of  $p$  (the direction of  $\bar{p}_1 = -\bar{p}_2$  is arbitrary) so that additional loop integration is finite. For light-like  $p$  the additional degrees of freedom correspond to 2-D light-cone boundary  $\delta M_+^3$  defined by the condition  $t^2 - x^2 - y^2 = 0$ :  $\delta M_+^3$   $SO(1, 2)$  invariant 2-volume does not exist. This is not a catastrophe since massless momenta define lower-dimensional sub-manifold of the momentum space. For space-like  $p$  one has hyperboloid  $t^2 - x^2 - y^2 = -1$  and the 2-D loop integral would be infinite in absence of additional constraints.

A 2-dimensional integral appears at each line of Feynman diagram and if the only constraint comes from  $p_1 - p_2 = p$  one obtains new divergences for space-like momenta  $p$ . One can imagine several approaches to the problem.

1. The most conservative approach assumes that the freedom to select the decomposition  $p = p_1 + p_2$  is completely analogous to a gauge symmetry. This is the case if the propagators are just the usual ones. Although this decomposition would take place it would not have any physical consequences since scattering amplitudes do not depend on the choices of these decompositions. For each line the integral over the decompositions normalized by the volume of  $S^2$  or hyperboloid would give the same result as an arbitrary gauge choice fixing the decompositions.
2. For the second option the new degrees of freedom would be present for each line of the generalized Feynman diagram in a non-trivial manner, and the dependence of the emission vertices on the decompositions should allow to avoid the infinities for space-like  $p$ . The vertices would depend on Lorentz invariant quantities such as  $k \cdot p_1$  and  $k \cdot p_2$ , where  $k$  denotes the momentum of any line coming to the vertex, and in an optimist mood one could ask whether this dependence could allow to smooth out also the standard loop divergences by bringing in the effective momentum cutoff through the new momentum degrees of freedom. In twistorial description this kind of dependence could allow especially elegant realization. Note that also a sum over mass shells is involved and can cause divergences.
3. For the third option the new degrees of freedom would be eliminated by some physical mechanism fixing the direction of the projection of  $p_1$  (and  $p_2$ ) in the hyperplane normal to  $p$ . The minimum option would eliminate the additional 2-dimensional integral but would not pose conditions on the loop momenta  $p_1$  and  $p_2$ . One should be able to fix the direction of the projection of  $p_1$  in the hyperplane  $P(p)$  whose normal is  $p$  by some rule having a physical justification. As a matter fact, this option would be special case of the first one.

Bosonic sector (with super partners included) poses additional conditions. N-boson vertices are defined by fermionic loops and N-boson vertices with arbitrary large value of  $N$  are possible. Bosonic propagators emerge as inverses of 2-boson vertices defined by fermionic loops. Let  $p_B = p_1 + p_2$  denote the sought for decomposition to on mass shell momenta. For the first and second options there are no obvious problems in the bosonic sector. For the third option there is a serious difficulty involved the decompositions  $p_B = p_1 + p_2$  defined by the vertices at the opposite ends of the boson line are not in general consistent. This kind of conditions lead to a hopelessly clumsy formalism.

### Could additional degrees of freedom allow natural cutoff in loop integrals?

Second option involving two new degrees of freedom for each internal line deserves a more detailed discussion. The masses assignable to on mass shell throats define an inherent momentum cutoff allowing to get rid of infinities without giving up conformal invariance. Of course, mass squared cutoff comes also from the breakdown of the QFT limit at  $CP_2$  length scale but one might hope that this cutoff is not actually needed.

1. To see what is involved, consider a BFF vertex with the fermionic momenta  $p_1 = p_{11} + p_{12}$  and  $p_2 = p_{21} + p_{22}$ , and bosonic momentum  $p_3 = p_{31} + p_{32}$ . As a concrete example, one might consider the calculation of bosonic propagator as the inverse of the bosonic 2-vertex involving fermion loop for which a model was discussed in [21]. For definiteness restrict the consideration to the decomposition of the fermionic momentum  $p_1$ . The natural direction in the orthogonal complement  $P(p_1)$  of  $p_1$  is defined by  $p_2$  (equivalently by  $p_3$ ). The corresponding momentum projections

$$P_{i1} = p_i - \frac{p_i \cdot p_1 p_1}{p_1^2} \quad , \quad i = 2, 3$$

are the same.  $P_{i1}$  in general diverges for  $p_1^2 = 0$ .

2. Conformal invariance allows only dimensionless Lorentz invariants constructed from the momenta. Strong form of the conformal invariance does not allow dependence on the masses of the throats. For time-like (space-like)  $p_1$  the dimensionless variable

$$c_{12} \equiv \frac{p_{11} \cdot P_{21}}{\sqrt{p_{11}^2} \sqrt{P_{21}^2}} = c_{13}$$

describes the cosine (hyperbolic cosine) of the angle (hyperbolic angle) between  $p_{11}$  and  $P_{21}$ . The corresponding sine (hyperbolic sine)  $s_{i,i+1}$  vanishes when  $p_{11}$  is parallel to the projection of  $p_2$  ( $p_3$ ) in  $P(p_1)$ . Similar variables can be assigned to  $p_2$  and  $p_3$ . Together with the three analogous variables

$$c_{i,i} = \frac{p_{i1} \cdot p_i}{\sqrt{p_{i1}^2} \sqrt{p_i^2}}$$

measuring the hyperbolic angle between between  $p_{i1}$  and  $p_i$ , one has 6 variables.  $p_{i1}^2$  and  $p_i^2$  can have both signs and also vanish and this might lead difficulties if one wants Gaussians and analyticity.

3. The on mass shell property for throats allows to consider a milder form of conformal invariance for which one has variables

$$C_{12} \equiv \frac{p_{11} \cdot P_{21}}{m_1 m_2} = C_{13} \quad ,$$

where  $m_i$ ,  $i = 1, 2$  denote that throat masses. This introduces a cutoff in  $P_{21}$  when  $p_1$  is space-like. These variables have infinite values for massless throats so that massless throats cannot appear as building bricks of the virtual particles. The assumption that on mass-shell bosons involve massless wormhole throat would distinguish them from virtual bosons in a unique manner.

4. One can also identify dimensionless quantities formed from the loop momenta. Strong form of conformal invariance allows only

$$d_{ij} = \frac{p_i \cdot p_j}{\sqrt{p_i^2} \sqrt{p_j^2}}$$

possible also for ordinary loops. These variables give hope about cutoff with respect to Lorentz boost for  $p_i$  in the rest system of  $p_j$  but again the signs are problematic. The weaker form of conformal invariance allows also the variables

$$D_{ij} = \frac{p_i \cdot p_j}{m_i m_j}$$

not plagued by the sign problems and giving hopes also about mass squared cutoff. Indeed, if on mass shell throats are present they should take a key role in the physics of the virtual particles.

The following two simple examples give an idea about what might be involved.

1. Consider first a vertex factor which is a Gaussian of form  $\exp(-\sum_{ij} S_{ij}^2) = \exp(-2\sum_i (S_{i,i+1})^2 - \sum_i S_{i,i}^2)$  suppressing the momenta  $p_{i1}$  for which the projections in  $P(p_i)$  are not parallel to those of  $p_j$  and also large boosts of  $p_{i1}$  in the rest system of  $p_i$ . Massless throats would not appear at all in internal lines. The additional 2-D integrals together with the correlation between  $p_k$  and  $p_{i1}$  do not probably smooth out the standard loop divergences in momentum squared and hyperbolic angle. The replacement of  $S_{ij}$  with  $s_{ij}$  together with analyticity leads to difficulties since  $s_{ij}$  does not have a definite sign.
2. The exponential  $\exp(-\sum_{i \neq j} D_{ij}^2)$  forces the decoupling of massless throats from virtual states, is free of the sign difficulties, and allows a stronger hyperbolic cutoff as well as mass scale cutoff. The replacement of  $D_{ij}$  with  $d_{ij}$  leads to the same problems as encountered in the first example. The simple model for the hyperbolic cutoff discussed in [21] could allow a more refined formulation in this framework. It is however important to realize that this kind of cutoffs look rather adhoc for the generalization of supersymmetric action for fermions [18]. They might be present in the radiatively generated bosonic action.

### Could quantum classical correspondence fix the correct option?

Concerning the dynamics in the new degrees of freedom the above argument lead two options under consideration. The first option assumes  $M^2$  gauge invariance and can be criticized as being somewhat ad hoc unless one can find a convincing interpretation for the restriction of the momenta  $p_1$  and  $p_2$  to  $M^2 \cap P(p)$ , where  $M^2$  denotes a sub-space of  $M^4$  defining the space of non-physical polarizations and  $P(p)$  is the orthogonal complement of  $p = p_1 + p_2$ . For both options one can argue that the decomposition  $p = p_1 + p_2$  should have same space-time correlate.

1. Preferred extremals of Kähler action are characterized by a local choice of  $M^2(x) \subset M^4$  in such a manner that the subspaces  $M^2(x)$  integrate to a 2-D surface in  $M^4$ .  $M^2(x)$  has a physical interpretation as the sub-space of non-physical polarizations. Number theoretical interpretation is as a hyper-complex plane of complexified octonions. In the generalized Feynman diagrams only the choice of  $M^2(x)$  at the 2-D partonic 2-surfaces  $X^2$  identified as the ends the 3-D light-like wormhole throats  $X_l^3$  matters. For a given line one can also restrict the consideration to single point  $x$  of  $X^2$  since fermion numbers is carried by a light-like curve along  $X_l^3$ : the is an integral over possible choices of course. The additional degrees of freedom would therefore have a concrete interpretation in terms of space-time surfaces. The effective two-dimensionality states that  $M$ -matrix depends only the partonic 2-surfaces and their 4-D tangent spaces containing  $M^2(x)$  at the ends of the lines of generalized Feynman diagrams.
2. The first option would mean a complete independence on  $M^2(x)$  at partonic 2-surface implied by the first option would mean actual 2-dimensionality instead of only effective one. This is not quite in spirit of quantum TGD although it might make sense at QFT limit.

3. For the second option preferred extremals would reflect in their properties the decomposition  $p = p_1 + p_2$  for the internal lines and the dependence of vertices on the decomposition could correspond to the value of the vacuum functional for a given distribution of the planes  $M^2(x)$ . The locality of the choice  $M^2(x)$  would mean that  $p_1$  and  $p_2$  are not separately conserved during the propagation along the internal line and physical picture suggests that the choice  $M^2(x)$  is constant for light-like 3-surfaces representing lines of the generalized Feynman diagrams.

### Could the formulation of SUSY limit of TGD allow the new view about off mass shell particles?

Could the proposed heuristic ideas about off mass shell particles and diagram-wise finiteness of the perturbation theory, the suggested manner to fix the direction of the projections of  $p_1$  and  $p_2$  in  $P(p)$  in terms of the preferred polarization plane  $M^2 \subset M^4$  characterizing a given line of Feynman diagram, and the formulation of super-symmetric QFT limit of TGD [18] be consistent with each other?

1. There are good arguments that the generalized SUSY based on bosonic emergence and the generalization of super field concept guarantees the cancelation of divergences associated with particles and their super-partners. The new view about off mass shell particles encourages a dream about the finiteness of the individual diagrams justifying the motivations for the primitive model of [21].
2. The description of bosons and their superpartners as wormhole throats requires at the fundamental level the introduction of new degrees of freedom associated with  $p = p_1 - p_2$  decomposition. On mass shell property is possible and would realize twistorial dreams. If one keeps the original view about virtual fermions and their super-partners as single throated objects, there is no need to describe virtual fermions as wormhole contacts.
3. Quantum classical correspondence suggests that the projections of  $p_1$  and  $p_2$  into  $P(p)$  lie in the intersection  $M^2 \cap P(p)$ , where  $M^2$  characterizes the line of the generalized Feynman diagram. If so, then the new degrees of freedom mean integral over the planes  $M^2$  labeled by the points of  $s \in S^2$ . If also virtual fermions correspond to wormhole contacts, BFF-vertices would contain an amplitude  $f(\alpha, s_1, s_2, s_3)$  with  $s_i$  characterizing the lines. The parameters  $\alpha$  would code information about the momenta of virtual particles, about the masses of on mass shell particles comprising the virtual particles, and also about the dynamics of Kähler action involving exponent of Kähler function for the extremal in question. If virtual fermions are single throated, one has  $f(\alpha, s)$  with  $s$  characterizing the bosonic line. The generalization would require a characterization of the form factor  $f(\alpha, s_1, s_2, s_3)$  or  $f(\alpha, s)$  in principle predicted by TGD proper but probably only modelable at QFT limit. The view about preferred extremals allows the possibility that  $s_i$  is not conserved along line. If the values of  $s_i$  at the ends of the line are not correlated, the integral over  $s_i$  gives a form factor  $F(\alpha)$ .
4. The propagators for the generalized chiral super-field describing fermions would not be affected, and the effects of  $f$  would be only seen at the level of propagators and vertices for bosons and their super-partners.  $f$  could in principle guarantee the finiteness of individual contributions to both fermionic and bosonic loops without the need for Wick rotation.

### Trying to sum up

The proposed replacement of virtual particles as a convenient mathematical abstraction with something very real suggests that the black box of the loop integrals could be opened and one might even construct concrete models for off mass shell particles using twistorial formulation. The conservative approach would interpret the non-uniqueness of the decomposition of the loop momenta to on mass shell momenta in terms of gauge invariance. A more radical approach would assign two additional degrees of freedom to each line of generalized Feynman diagram and allow vertices to depend on the decomposition. This would give even hopes about the smoothing out of the standard divergences. As a matter fact, this idea was followed already in the chapter about bosonic emergence [21], where it was proposed that natural physical cutoffs on mass squared and hyperbolic angle characterizing the energy of virtual particle could guarantee the finiteness of fermionic loops. The construction of the super-symmetric QFT limit of TGD [18] however suggests that the cancelation of infinities takes

place by super-symmetry even without cutoffs. One interpretation is that this cancelation justifies the neglect of the physical cutoff as an excellent approximation. An interesting question is whether the loop integrals could make sense even without Wick rotation.

## 6.5 QFT limit of TGD

The understanding of the QFT limit of TGD has been one of the long-longstanding challenges in TGD. The considerations inspired by twistor approach to QFT led to the idea of bosonic emergence meaning that Dirac action coupled to gauge bosons and other particles could define YM part of the action as radiative corrections. This approach predicts the coupling constant evolution uniquely provided one finds a principle fixing the mass cutoff and hyperbolic cutoff. Zero energy ontology motivates the cutoffs and also leads to a set of conditions giving hopes of fixing hyperbolic cutoff uniquely as a function of the p-adic mass scale. The requirement is that bosonic  $N > 2$ -vertices defined by fermionic loops vanish for on mass-shell bosons by the defining property of vertex meaning that it does not represent scattering amplitude for on mass shell particles. These conditions generalize also to the massive case and even to quantum TGD proper.

### 6.5.1 Twistors and QFT limit of TGD

Twistors - a notion discovered by Penrose [79]- have provided a fresh approach to the construction of perturbative scattering amplitudes in Yang-Mills theories and in  $N = 4$  supersymmetric Yang-Mills theory. This approach was pioneered by Witten [86]. The latest step in the progress was the proposal by Nima Arkani-Hamed and collaborators [84] that super Yang Mills and super gravity amplitudes might be formulated in 8-D twistor space possessing real metric signature  $(4, 4)$ . The questions considered below are following.

1. Could twistor space provide a natural realization of  $N = 4$  super-conformal theory requiring critical dimension  $D = 8$  and signature metric  $(4, 4)$ ? Could string like objects in TGD sense be understood as strings in twistor space? More concretely, could one in some sense lift quantum TGD from  $M^4 \times CP_2$  to 8-D twistor space  $T$  so that one would have three equivalent descriptions of quantum TGD.
2. Could one construct the preferred extremals of Kähler action in terms of twistors -may be by mimicking the construction of hyper-quaternionic *resp.* co-hyper-quaternionic surfaces in  $M^8$  as surfaces having hyper-quaternionic tangent space *resp.* normal space at each point with the additional property that one can assign to each point  $x$  a plane  $M^2(x) \subset M^4$  as sub-space or as sub-space defined by light-like tangent vector in  $M^4$ . Could one mimic this construction by assigning to each point of  $X^4$  regarded as a 4-surface in  $T$  a 4-D plane of twistor space satisfying some conditions making possible the interpretation as a tangent plane and guaranteeing the existence of a map of  $X^4$  to a surface in  $M^4 \times CP_2$ . Could twistor formalism help to resolve the integrability conditions involved?
3. Could one modify the notion of Feynman diagram by allowing only massless loop momenta so that twistor formalism could be used in elegant manner to calculate loop integrals and whether the resulting amplitudes are finite in TGD framework where only fermions are elementary particles? Could one modify Feynman diagrams to twistor diagrams by replacing momentum eigenstates with light ray momentum eigenstates completely localized in transversal degrees of freedom?

The arguments of [40] suggest some these questions might have affirmative answers.

### Twistors and classical TGD

Consider first the twistorialization at the classical space-time level.

1. One can assign twistors to only 4-D Minkowski space (also to other than Lorentzian signature). One of the challenges of the twistor program is how to define twistors in the case of a general curved space-time. In TGD framework the structure of the imbedding space allows to circumvent this problem.



2. The lifting of classical TGD to twistor space level is a natural idea. Consider space-time surfaces representable as graphs of maps  $M^4 \rightarrow CP_2$ . At classical level the Hamilton-Jacobi structure [29] required by the number theoretic compactification means dual slicings of the  $M^4$  projection of the space-time surface  $X^4$  by stringy word sheets and partonic two-surfaces. Stringy slicing allows to assign to each point of the projection of  $X^4$  two light-like tangent vectors  $U$  and  $V$  parallel to light-like Hamilton-Jacobi coordinate curves. These vectors define components  $\tilde{\mu}$  and  $\lambda$  of a projective twistor, and twistor equation assigns to this pair a point  $m$  of  $M^4$ . The conjecture is that for preferred extremals of Kähler action this point corresponds to the  $M^4$  projection of the point in the natural  $M^4$  coordinates associated with the upper or lower tip of causal diamond  $CD$ . If this conjecture is correct one can lift the  $M^4$  projection of the space-time surface in  $CD \times CP_2 \subset M^4 \times CP_2$  to a surface in  $PT \times CP_2$ , where  $CP_3$  is projective twistor space  $PT = CP_3$ . Also induced spinor fields and induced gauge fields can be lifted to twistor space.
3. If one can fix the scales of the tangent vectors  $U$  and  $V$  and fix the phase of spinor  $\lambda$  one can consider also the lifting to 8-D twistor space  $T$  rather than 6-D projective twistor space  $PT$ . Kind of symmetry breaking would be in question. The proposal for how to achieve this relies on the notion of finite measurement resolution. The scale of  $V$  at partonic 2-surface  $X^2 \subset \delta CD \times X_l^3$  would naturally correlate with the energy of the massless particle assignable to the light-like curve beginning from that point and thus fix the scale of  $V$  coordinate. Symplectic triangulation discussed in [31] in turn allows to assign a phase factor to each strand of the number theoretic braid as the Kähler magnetic flux associated with the triangle having the point at its center. This allows to lift the stringy world sheets associated with number theoretic braids to their twistor variants but not the entire space-time surface. String model in twistor space is obtained in accordance with the fact that  $N = 4$  super-conformal invariance is realized as a string model in a target space with  $(4, 4)$  signature of metric. Note however that  $CP_2$  defines additional degrees of freedom for the target space so that 12-D space is actually in question.
4. One can consider also a more general problem of identifying the counterparts for the preferred extremals of Kähler action with arbitrary dimensions of  $M^4$  and  $CP_2$  projections in 10-D space  $PT \times CP_2$ . The key idea is the reduction of field equations to holomorphy as in Penrose's twistor representation of solutions of positive and negative frequency parts of free fields in  $M^4$ . A very helpful observation is that  $CP_2$  as a sub-manifold of  $PT$  corresponds to the 2-D space of null rays of the complexified Minkowski space  $M_c^4$ . For the 5-D space  $N \subset PT$  of null twistors this 2-D space contains 1-dimensional light ray in  $M^4$  so that  $N$  parametrizes the light-rays of  $M^4$ . The idea is to consider holomorphic surfaces in  $PT_{\pm} \times CP_2$  ( $\pm$  correlates with positive and negative energy parts of zero energy state) having dimensions  $D = 6, 8, 10$ ; restrict them to  $N \times CP_2$ , select a sub-manifold of light-rays from  $N$ , and select from each light-ray subset of points which can be discrete or portion of the light-ray in order to get a 4-D space-time surface. If integrability conditions for the resulting distribution of light-like vectors  $U$  and  $V$  can be satisfied (in other words they are gradients), a good candidate for a preferred extremal of Kähler action is obtained. Note that this construction raises light-rays to a role of fundamental geometric object.

### Twistors and Feynman diagrams

The recent successes of twistor concept in the understanding of 4-D gauge theories and  $N = 4$  SYM motivate the question of how twistorialization could help to understand construction of  $M$ -matrix in terms of Feynman diagrammatics or its generalization.

1. One of the basic problems of twistor program is how to treat massive particles. Massive four-momentum can be described in terms of two twistors but their choice is uniquely only modulo  $SO(3)$  rotation. This is ugly and one can consider several cures to the situation.
  - (a) Number theoretic compactification and hierarchy of Planck constants leading to a generalization of the notion of imbedding space assign to each sector of configuration space defined by a particular  $CD$  a unique plane  $M^2 \subset M^4$  defining quantization axes. The line connecting the tips of the  $CD$  selects also unique rest frame (time axis). The representation of

a light-like four-momentum as a sum of four-momentum in this plane and second light-like momentum is unique and same is true for the spinors  $\lambda$  apart from the phase factors (the spinor associated with  $M^2$  corresponds to spin up or spin down eigen state).

- (b) The tangent vectors of braid strands define light-like vectors in  $H$  and their  $M^4$  projection is time-like vector allowing a representation as a combination of  $U$  and  $V$ . Could also massive momenta be represented as unique combinations of  $U$  and  $V$ ?
- (c) One can consider also the possibility to represent massive particles as bound states of massless particles.

It will be found that one can lift ordinary Feynman diagrams to spinor diagrams and integrations over loop momenta correspond to integrations over the spinors characterizing the momentum.

2. One assign to ordinary momentum eigen states spinor  $\lambda$  but it is not clear how to identify the spinor  $\tilde{\mu}$  needed for a twistor.
  - (a) Could one assign  $\tilde{\mu}$  to spin polarization or perhaps to the spinor defined by the light-like  $M^2$  part of the massive momentum? Or could  $\lambda$  and  $\tilde{\mu}$  correspond to the vectors proportional to  $V$  and  $U$  needed to represent massive momentum?
  - (b) Or is something more profound needed? The notion of light-ray is central for the proposed construction of preferred extremals. Should momentum eigen states be replaced with light ray momentum eigen states with a complete localization in degrees of freedom transversal to light-like momentum? This concept is favored both by the notion of number theoretic braid and by the massless extremals (MEs) representing "topological light rays" as analogs of laser beams and serving as space-time correlates for photons represented as wormhole contacts connecting two parallel MEs. The transversal position of the light ray would bring in  $\tilde{\mu}$ . This would require a modification of the perturbation theory and the introduction of the ray analog of Feynman propagator. This generalization would be  $M^4$  counterpart for the highly successful twistor diagrammatics relying on twistor Fourier transform but making sense only for the (2,2) signature of Minkowski space.
3. In perturbation theory one can also consider the crazy idea of restricting the loop momenta to light-like momenta so that the auxiliary  $M^2$  twistors would not be needed at all. This idea failed but led to a first precise proposal for how Feynman diagrammatics producing unitarity and UV finite S-matrix could emerge from TGD, where only fermions are elementary particles and all coupling constants are in principle predictions of the theory. Emergence would mean that the fundamental action is just the Dirac action with gauge boson couplings and containing no bosonic kinetic term, that the perturbative functional integral over the fermion fields in the construction of the effective action induces bosonic kinetic term radiatively, and that a further perturbative functional integral over the gauge boson fields gives an effective action in which all bosonic n-point functions have emerged from the fermionic dynamics. Physically this would mean that bosons interact only when the wormhole contact representing boson and carrying fermion and antifermion quantum numbers at the opposite light-like wormhole throats decays to a pair of fermion and anti-fermion represented by  $CP_2$  type extremals with single wormhole throat only. Even fermionic propagators would emerge radiatively from the modified Dirac operator in more fundamental description [31]. What is remarkable is that p-adic length scale hypothesis and the notion of finite measurement resolution lead to a precise proposal how UV divergences are tamed in a description taking into account the finite measurement resolution. The model of QFT limit based on these is discussed in separate chapter [21] since the idea itself only marginally relates to twistors.

### Massive particles and the generalization of twistors to 8-D case

The basic problem of the twistor approach is that one cannot represent massive momenta in terms of twistors in elegant manner. This problem might be circumvented.

1. In quantum TGD massive states in  $M^4$  can be regarded as massless states in  $M^8$  and  $CP_2$  (recall  $M^8 - H$  duality), and one can map any massive  $M^4$  momentum to a light-like  $M^8$  momentum and hope that this association could be made in a unique manner.

2. One should assign to a massless 8-momentum an 8-dimensional spinor of fixed chirality. The spinor assigned with the light-like four-momentum is not unique without additional conditions. The existence of covariantly constant right-handed neutrino in  $CP_2$  degrees generating the super-conformal symmetries could allow to eliminate the non-uniqueness. 8-dimensional twistor in  $M^8$  would be a pair of this kind of spinors fixing the momentum of massless particle and the point through which the corresponding light-geodesic goes through: the set of these points forms 8-D light-cone and one can assign to each point a spinor. In  $M^4 \times CP_2$  definitions makes also in the case of  $M^4 \times CP_2$  and twistor space would also now be a lifting of the space of light-like geodesics.
3. The possibility to interpret  $M^8$  as hyperoctonionic space suggests also the possibility to define the 8-D counterparts of sigma matrices to hyperoctonions to obtain a representation of sigma matrix algebra which is not a matrix representation. The mapping of gamma matrices to this representation allows to define a notion of hyper-quaternionicity in terms of the modified gamma matrices both in  $M^8$  and  $H$ . In this case however hyper-quaternionic 4-plane associated with a given point of  $X^4$  is not tangent plane in the general case.

To sum up, perhaps the most important outcome of the interaction of twistor approach with TGD is a proposal for precise Feynman rules allowing to construct unitary and UV finite S-matrix discussed in [21]. This realizes a 31 year old dream to a surprisingly high degree. Everything would emerge radiatively from the modified Dirac operator and boson-fermion vertices dictated by the charge matrix of the boson coding boson as a fermion-antifermion bilinear.

### 6.5.2 Bosonic emergence and QFT limit of TGD

In TGD framework S-matrix must be constructed without the help of path integral. In TGD only fermions appear as fundamental particles. This suggests a bootstrap program in which one starts from Dirac action for fermions with couplings to gauge potentials and generates the remaining n-point functions for bosons as radiative corrections for fermionic action with effective action. The success of twistorial unitary cut method in massless gauge theories suggests that its basic results such as recursive generation of tree diagrams might be given a status of axioms. Also massive particles should be treated in practical approach and this could be achieved by generalizing the twistors to 8-D twistors.

1. In [31, 31] I have discussed how both field theoretic and stringy variants of the fermion propagator could arise via radiative self energy insertions described by a fundamental 2-vertex giving a contribution proportional to  $p^k \gamma_k$  and leading a propagator containing the counterpart as a mass term expressed in terms of  $CP_2$  gamma matrices so that massive particles can have fixed  $M^4 \times CP_2$  chirality.
2. In TGD bosons are identified as bound states of fermion and antifermion at opposite wormhole throats so that bosonic n-vertex would correspond to the decay of bosons to fermion pairs in the loop. Purely bosonic gauge boson couplings would be generated radiatively from triangle and box diagrams involving only fermion-boson couplings. Also bosonic propagator would be generated as a self-energy loop: bosons would propagate by decaying to fermion-antifermion pair and then fusing back to the boson. Gauge theory dynamics would be emergent and bosonic couplings would have form factors with IR and UV behaviors allowing finiteness of the loops constructed from them.
3. The problem of this approach are UV divergences present unless one introduces cutoff in mass squared and hyperbolic angle. This kind of cutoffs are natural in zero energy ontology and would state that the radiative corrections for given causal diamond ( $CD$ ) correspond to  $CD$ s in shorter scales and contained with the  $CD$ . p-Adic length scale hypothesis and the fractal structure of  $CD$ s suggest that mass scales come as half octaves fundamental scale.  $CP_2$  mass scale defines a natural upper cutoff for mass scale and hyperbolic cutoff is expected to depend on the p-adic mass scale.

The considerations of [21] lead to the conclusion that bosonic propagators could emerge from fermionic ones in the quantum field theory type description. This approach predicts all gauge couplings

and assuming a geometrically very natural hyperbolic UV cutoff motivated by zero energy ontology one can understand the evolution of standard model gauge couplings and reproduce correctly the values of fine structure constant at electron and intermediate boson length scales. Also asymptotic freedom follows as a basic prediction. The UV cutoff for the hyperbolic angle as a function of p-adic length scale is the ad hoc element of the model in its recent form, and a quantitative model for how this function could be fixed by quantum criticality is formulated and studied.

These considerations and numerical calculations lead to a general vision about how real and p-adic variants of TGD relate to each other and how p-adic fractalization takes place. As in case of twistorialization Cutkosky rules allowing unitarization of the tree amplitudes in terms of  $TT^\dagger$  contribution involving only light-like momenta seems to be the only working option and requires that  $TT^\dagger$  makes sense p-adically. The vanishing of the fermionic loops defining bosonic vertices for the incoming massless momenta emerges as a consistency condition suggested also by quantum criticality and by the fact that only BFF vertex is fundamental vertex if bosonic emergence is accepted. The vanishing of on mass shell  $N > 3$  bosonic vertices gives an infinite number of conditions on the hyperbolic cutoff as function of the integer  $k$  labeling p-adic length scale at the limit when bosons are massless and IR cutoff for the loop mass scale is taken to zero. It is not yet clear whether dynamical symmetries, in particular super-conformal symmetries, are involved with the realization of the vanishing conditions or whether hyperbolic cutoff is all that is needed.

### 6.5.3 Comparison of TGD and stringy views about super-conformal symmetries

The best manner to represent TGD based view about conformal symmetries is by comparison with the conformal symmetries of super string models.

#### Basic differences between the realization of super conformal symmetries in TGD and in super-string models

The realization super-symmetries in TGD framework differs from that in string models in several fundamental aspects.

1. In TGD framework super-symmetry generators acting as configuration space gamma matrices carry either lepton or quark number. Majorana condition required by the hermiticity of super generators which is crucial for super string models would be in conflict with the conservation of baryon and lepton numbers and is avoided. This is made possible by the realization of bosonic generators represented as Hamiltonians of symplectic transformations rather than vector fields generating them. This kind of representation applies also in Kac-Moody sector since the local transversal isometries localized in  $X_l^3$  and respecting light-likeness condition can be regarded as  $X^2$  local symplectic transformations, whose Hamiltonians generate also isometries. The fermionic representations of super-symplectic and super Kac-Moody generators can be identified as Noether charges in standard manner.
2. Super-symmetry generators can be identified as configuration space gamma matrices carrying quark and lepton numbers and the notion of super-space is not needed at all. Therefore no super-variant of geometry is needed. The distinction between Ramond and N-S representations important for  $N = 1$  super-conformal symmetry and allowing only ground state weight 0 an  $1/2$  disappears. Indeed, for  $N = 2$  super-conformal symmetry it is already possible to generate spectral flow transforming these Ramond and N-S representations to each other ( $G_n$  is not Hermitian anymore). This means that the interpretation of  $\lambda_i^2$  ( $\lambda_i$  is generalized eigenvalue of  $D_K(X^2)$ ) as ground state conformal weight does not lead to difficulties.
3. Kac-Moody and symplectic algebras generate larger algebra obtained by making symplectic algebra  $X^2$  local. This realization of super symmetries is what distinguishes between TGD and super string models and leads to a totally different physical interpretation of super-conformal symmetries. What makes spinor field mode a generator of gauge super-symmetry is that is c-number and not an eigenmode of  $D_K(X^2)$  and thus represents non-dynamical degrees of freedom. If the number of eigen modes of  $D_K(X^2)$  is indeed finite means that most of spinor field modes represent super gauge degrees of freedom. One must be here somewhat cautious since bound

state in the Coulomb potential associated with electric part of induced electro-weak gauge field might give rise to an infinite number of bound states which eigenvalues converging to a fixed eigenvalue (as in the case of hydrogen atom).

4. The finite number of spinor modes means that the representations of super-conformal algebras reduces to finite-dimensional ones in TGD framework and the notion of number theoretic braid indeed implies this. The physical interpretation is in terms of finite measurement resolution.

### Basic super-conformal symmetries

The identification of explicit representations of super conformal algebras was for a long time plagued by the lack of appropriate formalism. The modified Dirac operator  $D_K$  associated with Kähler action resolves this problem if one accepts the implications of number theoretic compactification supported by what is known about preferred extremals of Kähler action and one can identify the charges associated with symplectic and Kac-Moody algebra as Noether charges. Fermionic generators can in turn be identified from the condition that they anticommute to  $X^2$  local Hamiltonians of corresponding bosonic transformations. In case of Super Virasoro algebra Sugawara construction allows to construct super generators  $G$ .

1. Covariantly constant right handed neutrino is the fundamental generator of dynamical super conformal symmetries and appears in both leptonic and quark-like realizations of gamma matrices.  $\Gamma$  matrices have also Super Kac-Moody counterparts and reduce in special case to symplectic ones. Also super currents whose anti-commutators give products of corresponding Hamiltonians can be defined so that both ordinary product and Poisson bracket give rise to quark and lepton like realizations of super-symmetries. Besides this there are also electric and magnetic representations of the gamma matrices.
2. The zero modes of  $D_K(X^2)$  which do not depend on the light-like radial coordinate of  $X_l^3$  define super conformal symmetries for which any c-number spinor field generates super conformal symmetry. These symmetries are pure gauge symmetries but also them can be parameterized by Hamiltonians and by functions depending only on the coordinates of the transverse section  $X^2$  so that one obtains also now both function algebra and symplectic algebra localized with respect to  $X^2$ . Similar picture applies in both super-symplectic and super Kac-Moody sector. In particular, one can deduce canonical expressions for the super currents associated with these super symmetries. Since all charge states are possible for the generators of these super symmetries, these super symmetries naturally correspond to those assignable to electro-weak degrees of freedom.
3. The notion of  $X^2$  local super-symmetry makes sense if the choice of coordinates  $x$  for  $X^2$  is specified by the inherent properties of  $X^2$  so that same coordinates  $x$  apply for all surfaces obtained as deformations of  $X^2$ . The regions, where induced Kähler form is non-vanishing define good candidates for coordinate patches. The Hamilton-Jacobi coordinates associated with the decomposition of  $M^4$  are a natural choice. Also geodesic coordinates can be considered. The redundancy related to rotations of coordinate axis around origin can be reduced by choosing second axis so that it connects the origin to nearest point of the number theoretic braid.
4. The diffeomorphisms of light-like coordinate of  $\delta M_{\pm}^4$  and  $X_l^3$  playing the role of conformal transformations. One can construct fermionic representations of as Noether charges associated with modified Dirac action. The problem is however that that super-generators cannot be derived in this manner so that these transformations cannot be regarded as symplectic transformations. The manner to circumvent the difficulty is to construct fermionic super charges  $\Gamma_A$  as gamma matrices for both super symplectic and super Kac-Moody algebras in terms of generators  $j^{Ak}\Gamma_k$  and corresponding Kac-Moody algebra elements  $T^A$  as fermionic super charges. From these operators super generators  $G$  can be constructed by the standard Sugawara construction allowing to interpret operators  $G = T^A\Gamma_A$  as Dirac operators at the level of configuration space. By coset construction the actions of super-symplectic and super Kac-Moody Dirac operators are identical. Internal consistency requires that the Virasoro generators obtained as anticommutator  $L = \{G, G^\dagger\}$  are equal to the Virasoro generators derived as fermionic Noether charges.

### Finite measurement resolution and cutoff in the spectrum of conformal weights

The basic properties of Kähler action imply that the number generalized eigenvalues  $\lambda_i$  of  $D_K(X^2)$  is finite. The interpretation is that the notion of finite measurement resolution is coded by Kähler action to space-time dynamics. This has also implications for the representations of super-conformal algebras.

1. The fermionic representations of various super-algebras involve only finite number of oscillator operators. Hence some kind of cutoff in the number of states reflecting the finiteness of the measurement resolution is unavoidable. A cutoff reduce integers as labels of the generators of super-conformal algebras to a finite number of integers. Finite field  $G(p, 1)$  for some prime  $p$  would be a natural candidate. Since p-adic integers modulo  $p$  are in question the cutoff could relate closely to effective p-adicity and p-adic length scale-hypothesis.
2. The interpretation of the eigenvalues of the modified Dirac operator as ground state conformal weights raises the question how to represent states with conformal weights  $n + \lambda_i^2$ ,  $n > 0$ . The notion of number theoretic braid allows to circumvent the difficulty. Since canonical anti-commutation relations fail, one must replace the integral representations of super-conformal generators with discrete sums over the points of number theoretic braid, the resulting representations of super-conformal algebras must reduce to representation of finite-dimensional algebras. The cutoff on conformal weight must result from the fact that the higher Virasoro generators are expressible in terms of lower ones. The cutoff is not a problem since  $n < 3$  cutoff for conformal weights gives an excellent accuracy in p-adic mass calculations. A not-very-educated guess but the only one that one can imagine is that for  $p \simeq 2^k$ ,  $n_{max} = k$  defines the cutoff on allowed conformal weights.

### What are the counter parts of stringy conformal fields in TGD framework?

The experience with string models would suggest the conformal symmetries associated with the complex coordinates of  $X^2$  as a candidate for conformal super-symmetries. One can imagine two counterparts of the stringy coordinate  $z$  in TGD framework.

1. Super-symplectic and super Kac-Moody symmetries are local with respect to  $X^2$  in the sense that the coefficients of generators depend on the invariant  $J = \epsilon^{\alpha\beta} J_{\alpha\beta} \sqrt{g_2}$  rather than being completely free [17]. Thus the real variable  $J$  replaces complex coordinate and effective 1-dimensionality holds true also now but in different sense than for conformal field theories.
2. The slicing of  $X^2$  by string world sheets  $Y^2$  and partonic 2-surfaces  $X^2$  implied by number theoretic compactification implies string-parton duality and involves the super conformal fermionic gauge symmetries associated with the coordinates  $u$  and  $w$  in the dual dimensional reductions to stringy and partonic dynamics. These coordinates define the natural analogs of stringy coordinate.
3. An further identification for TGD parts of conformal fields is inspired by  $M^8 - H$  duality. Conformal fields would be fields in configuration space. The counterpart of  $z$  coordinate could be the hyper-octonionic  $M^8$  coordinate  $m$  appearing as argument in the Laurent series of configuration space Clifford algebra elements.  $m$  would characterize the position of the tip of  $CD$  and the fractal hierarchy of  $CD$ s within  $CD$ s would give a hierarchy of Clifford algebras and thus inclusions of hyper-finite factors of type  $II_1$ . Reduction to hyper-quaternionic field -that is field in  $M^4$  center of mass degrees of freedom- would be needed to obtained associativity. The arguments  $m$  at various level might correspond to arguments of N-point function in quantum field theory.

### Generalized coset representation

$X^2$  local super-symplectic algebra as super Kac-Moody algebra as sub-algebra. Since  $X^2$  locality corresponds to a full 2-D gauge invariance, one can conclude that SKM is in well defined sense sub-algebra of super-symplectic algebra so that generalized coset construction makes sense and generalizes Equivalence Principle in the sense that not only four-momenta but all analogous quantum numbers associated with SKM and SS algebras are identical.

1. In this framework the ground state conformal weights associated with both super-symplectic and super Kac-Moody algebras can be identified as squares of the eigenvalues  $\lambda_i$  of  $D_K(X^2)$ . This identification together with p-adic mass thermodynamics predicts that  $\lambda_i^2$  gives to mass squared a contribution analogous to the square of Higgs vacuum expectation. This identification would resolve the long-standing problem of identifying the values of these ground state conformal weights for super-conformal algebras and give a direct connection with Higgs mechanism.
2. The identification of SKM as a sub-algebra of super-symplectic algebra becomes more convincing if the light-like coordinate  $r$  allows lifting to a light-like coordinate of  $H$ . This is achieved if  $r$  is identified as coordinate associated with a light-like curve whose tangent at point  $x \in X_l^3$  is light-like vector in  $M^2(x) \subset T(X^4(X^3))$ . With this interpretation of SKM algebra as sub-algebra of super-symplectic algebra becomes natural.
3. The existence of a lifting of  $SS$  and  $SKM$  algebras to entire  $H$  would solve the problems. The lifting problem is obviously non-trivial only in  $M^4$  degrees of freedom. Suppose that the existence of an integrable distribution of planes  $M^2(x)$  and their orthogonal complements  $E^2(x)$  belonging to the tangent space of  $M^4$  projection  $P_{M^4}(X^4(X^3))$  characterizes the preferred extremals with Minkowskian signature of induced metric. In this case the lifting of the super-symplectic and super Kac-Moody algebras to entire  $H$  is possible. The local degrees of freedom contributing to the configuration space metric would belong to the integrable distribution of orthogonal complements  $E^2(x)$  of  $M^2(x)$  having physical interpretation as planes of physical polarizations.

## 6.6 Weak form electric-magnetic duality and its implications

The notion of electric-magnetic duality [87] was proposed first by Olive and Montonen and is central in  $\mathcal{N} = 4$  supersymmetric gauge theories. It states that magnetic monopoles and ordinary particles are two different phases of theory and that the description in terms of monopoles can be applied at the limit when the running gauge coupling constant becomes very large and perturbation theory fails to converge. The notion of electric-magnetic self-duality is more natural since for  $CP_2$  geometry Kähler form is self-dual and Kähler magnetic monopoles are also Kähler electric monopoles and Kähler coupling strength is by quantum criticality renormalization group invariant rather than running coupling constant. The notion of electric-magnetic (self-)duality emerged already two decades ago in the attempts to formulate the Kähler geometric of world of classical worlds. Quite recently a considerable step of progress took place in the understanding of this notion [17]. What seems to be essential is that one adopts a weaker form of the self-duality applying at partonic 2-surfaces. What this means will be discussed in the sequel.

Every new idea must be of course taken with a grain of salt but the good sign is that this concept leads to precise predictions. The point is that elementary particles do not generate monopole fields in macroscopic length scales: at least when one considers visible matter. The first question is whether elementary particles could have vanishing magnetic charges: this turns out to be impossible. The next question is how the screening of the magnetic charges could take place and leads to an identification of the physical particles as string like objects identified as pairs magnetic charged wormhole throats connected by magnetic flux tubes.

1. The first implication is a new view about electro-weak massivation reducing it to weak confinement in TGD framework. The second end of the string contains particle having electroweak isospin neutralizing that of elementary fermion and the size scale of the string is electro-weak scale would be in question. Hence the screening of electro-weak force takes place via weak confinement realized in terms of magnetic confinement.
2. This picture generalizes to the case of color confinement. Also quarks correspond to pairs of magnetic monopoles but the charges need not vanish now. Rather, valence quarks would be connected by flux tubes of length of order hadron size such that magnetic charges sum up to zero. For instance, for baryonic valence quarks these charges could be  $(2, -1, -1)$  and could be proportional to color hyper charge.
3. The highly non-trivial prediction making more precise the earlier stringy vision is that elementary particles are string like objects in electro-weak scale: this should become manifest at LHC energies.

4. The weak form electric-magnetic duality together with Beltrami flow property of Kähler leads to the reduction of Kähler action to Chern-Simons action so that TGD reduces to almost topological QFT and that Kähler function is explicitly calculable. This has enormous impact concerning practical calculability of the theory.
5. One ends up also to a general solution ansatz for field equations from the condition that the theory reduces to almost topological QFT. The solution ansatz is inspired by the idea that all isometry currents are proportional to Kähler current which is integrable in the sense that the flow parameter associated with its flow lines defines a global coordinate. The proposed solution ansatz would describe a hydrodynamical flow with the property that isometry charges are conserved along the flow lines (Beltrami flow). A general ansatz satisfying the integrability conditions is found. The solution ansatz applies also to the extremals of Chern-Simons action and to the conserved currents associated with the modified Dirac equation defined as contractions of the modified gamma matrices between the solutions of the modified Dirac equation. The strongest form of the solution ansatz states that various classical and quantum currents flow along flow lines of the Beltrami flow defined by Kähler current (Kähler magnetic field associated with Chern-Simons action). Intuitively this picture is attractive. A more general ansatz would allow several Beltrami flows meaning multi-hydrodynamics. The integrability conditions boil down to two scalar functions: the first one satisfies massless d'Alembert equation in the induced metric and the the gradients of the scalar functions are orthogonal. The interpretation in terms of momentum and polarization directions is natural.
6. The general solution ansatz works for induced Kähler Dirac equation and Chern-Simons Dirac equation and reduces them to ordinary differential equations along flow lines. The induced spinor fields are simply constant along flow lines of induced spinor field for Dirac equation in suitable gauge. Also the generalized eigen modes of the modified Chern-Simons Dirac operator can be deduced explicitly if the throats and the ends of space-time surface at the boundaries of  $CD$  are extremals of Chern-Simons action. Chern-Simons Dirac equation reduces to ordinary differential equations along flow lines and one can deduce the general form of the spectrum and the explicit representation of the Dirac determinant in terms of geometric quantities characterizing the 3-surface (eigenvalues are inversely proportional to the lengths of strands of the flow lines in the effective metric defined by the modified gamma matrices).

### 6.6.1 Could a weak form of electric-magnetic duality hold true?

Holography means that the initial data at the partonic 2-surfaces should fix the configuration space metric. A weak form of this condition allows only the partonic 2-surfaces defined by the wormhole throats at which the signature of the induced metric changes. A stronger condition allows all partonic 2-surfaces in the slicing of space-time sheet to partonic 2-surfaces and string world sheets. Number theoretical vision suggests that hyper-quaternionicity *resp.* co-hyperquaternionicity constraint could be enough to fix the initial values of time derivatives of the imbedding space coordinates in the space-time regions with Minkowskian *resp.* Euclidian signature of the induced metric. This is a condition on modified gamma matrices and hyper-quaternionicity states that they span a hyper-quaternionic sub-space.

#### Definition of the weak form of electric-magnetic duality

One can also consider alternative conditions possibly equivalent with this condition. The argument goes as follows.

1. The expression of the matrix elements of the metric and Kähler form of  $WCW$  in terms of the Kähler fluxes weighted by Hamiltonians of  $\delta M_{\pm}^4$  at the partonic 2-surface  $X^2$  looks very attractive. These expressions however carry no information about the 4-D tangent space of the partonic 2-surfaces so that the theory would reduce to a genuinely 2-dimensional theory, which cannot hold true. One would like to code to the  $WCW$  metric also information about the electric part of the induced Kähler form assignable to the complement of the tangent space of  $X^2 \subset X^4$ .
2. Electric-magnetic duality of the theory looks a highly attractive symmetry. The trivial manner to get electric magnetic duality at the level of the full theory would be via the identification of



the flux Hamiltonians as sums of the magnetic and electric fluxes. The presence of the induced metric is however troublesome since the presence of the induced metric means that the simple transformation properties of flux Hamiltonians under symplectic transformations -in particular color rotations- are lost.

3. A less trivial formulation of electric-magnetic duality would be as an initial condition which eliminates the induced metric from the electric flux. In the Euclidian version of 4-D YM theory this duality allows to solve field equations exactly in terms of instantons. This approach involves also quaternions. These arguments suggest that the duality in some form might work. The full electric magnetic duality is certainly too strong and implies that space-time surface at the partonic 2-surface corresponds to piece of  $CP_2$  type vacuum extremal and can hold only in the deep interior of the region with Euclidian signature. In the region surrounding wormhole throat at both sides the condition must be replaced with a weaker condition.
4. To formulate a weaker form of the condition let us introduce coordinates  $(x^0, x^3, x^1, x^2)$  such  $(x^1, x^2)$  define coordinates for the partonic 2-surface and  $(x^0, x^3)$  define coordinates labeling partonic 2-surfaces in the slicing of the space-time surface by partonic 2-surfaces and string world sheets making sense in the regions of space-time sheet with Minkowskian signature. The assumption about the slicing allows to preserve general coordinate invariance. The weakest condition is that the generalized Kähler electric fluxes are apart from constant proportional to Kähler magnetic fluxes. This requires the condition

$$J^{03} \sqrt{g_4} = K J_{12} . \quad (6.6.1)$$

A more general form of this duality is suggested by the considerations of [17] reducing the hierarchy of Planck constants to basic quantum TGD and also reducing Kähler function for preferred extremals to Chern-Simons terms [99] at the boundaries of  $CD$  and at light-like wormhole throats. This form is following

$$J^{n\beta} \sqrt{g_4} = K \epsilon \times \epsilon^{n\beta\gamma\delta} J_{\gamma\delta} \sqrt{g_4} . \quad (6.6.2)$$

Here the index  $n$  refers to a normal coordinate for the space-like 3-surface at either boundary of  $CD$  or for light-like wormhole throat.  $\epsilon$  is a sign factor which is opposite for the two ends of  $CD$ . It could be also opposite at the opposite sides of the wormhole throat. Note that the dependence on induced metric disappears at the right hand side and this condition eliminates the potentials singularity due to the reduction of the rank of the induced metric at wormhole throat.

5. Information about the tangent space of the space-time surface can be coded to the configuration space metric with loosing the nice transformation properties of the magnetic flux Hamiltonians if Kähler electric fluxes or sum of magnetic flux and electric flux satisfying this condition are used and  $K$  is symplectic invariant. Using the sum

$$J_e + J_m = (1 + K)J , \quad (6.6.3)$$

where  $J$  can denotes the Kähler magnetic flux, makes it possible to have a non-trivial configuration space metric even for  $K = 0$ , which could correspond to the ends of a cosmic string like solution carrying only Kähler magnetic fields. This condition suggests that it can depend only on Kähler magnetic flux and other symplectic invariants. Whether local symplectic coordinate invariants are possible at all is far from obvious, If the slicing itself is symplectic invariant then  $K$  could be a non-constant function of  $X^2$  depending on string world sheet coordinates. The light-like radial coordinate of the light-cone boundary indeed defines a symplectically invariant slicing and this slicing could be shifted along the time axis defined by the tips of  $CD$ .

### Electric-magnetic duality physically

What could the weak duality condition mean physically? For instance, what constraints are obtained if one assumes that the quantization of electro-weak charges reduces to this condition at classical level?

1. The first thing to notice is that the flux of  $J$  over the partonic 2-surface is analogous to magnetic flux

$$Q_m = \frac{e}{\hbar} \oint B dS = n .$$

$n$  is non-vanishing only if the surface is homologically non-trivial and gives the homology charge of the partonic 2-surface.

2. The expressions of classical electromagnetic and  $Z^0$  fields in terms of Kähler form [58] read as

$$\begin{aligned} \gamma &= \frac{eF_{em}}{\hbar} = 3J - \sin^2(\theta_W)R_{03} , \\ Z^0 &= \frac{g_Z F_Z}{\hbar} = 2R_{03} . \end{aligned} \quad (6.6.4)$$

Here  $R_{03}$  is one of the components of the curvature tensor in vielbein representation and  $F_{em}$  and  $F_Z$  correspond to the standard field tensors. From this expression one can deduce

$$J = \frac{e}{3\hbar} F_{em} + \sin^2(\theta_W) \frac{g_Z}{6\hbar} F_Z . \quad (6.6.5)$$

3. The weak duality condition when integrated over  $X^2$  implies

$$\begin{aligned} \frac{e^2}{3\hbar} Q_{em} + \frac{g_Z^2 p}{6} Q_{Z,V} &= K \oint J = Kn , \\ Q_{Z,V} &= \frac{I_V^3}{2} - Q_{em} , \quad p = \sin^2(\theta_W) . \end{aligned} \quad (6.6.6)$$

Here the vectorial part of the  $Z^0$  charge rather than as full  $Z^0$  charge  $Q_Z = I_L^3 + \sin^2(\theta_W)Q_{em}$  appears. The reason is that only the vectorial isospin is same for left and right handed components of fermion which are in general mixed for the massive states.

The coefficients are dimensionless and expressible in terms of the gauge coupling strengths and using  $\hbar = r\hbar_0$  one can write

$$\begin{aligned} \alpha_{em} Q_{em} + p \frac{\alpha_Z}{2} Q_{Z,V} &= \frac{3}{4\pi} \times rnK , \\ \alpha_{em} &= \frac{e^2}{4\pi\hbar_0} , \quad \alpha_Z = \frac{g_Z^2}{4\pi\hbar_0} = \frac{\alpha_{em}}{p(1-p)} . \end{aligned} \quad (6.6.7)$$

4. There is a great temptation to assume that the values of  $Q_{em}$  and  $Q_Z$  correspond to their quantized values and therefore depend on the quantum state assigned to the partonic 2-surface. The linear coupling of the modified Dirac operator to conserved charges implies correlation between the geometry of space-time sheet and quantum numbers assigned to the partonic 2-surface. The assumption of standard quantized values for  $Q_{em}$  and  $Q_Z$  would be also seen as the identification of the fine structure constants  $\alpha_{em}$  and  $\alpha_Z$ . This however requires weak isospin invariance.

### The value of $K$ from classical quantization of Kähler electric charge

The value of  $K$  can be deduced by requiring classical quantization of Kähler electric charge.

1. The condition that the flux of  $F^{03} = (\hbar/g_K)J^{03}$  defining the counterpart of Kähler electric field equals to the Kähler charge  $g_K$  would give the condition  $K = g_K^2/\hbar$ , where  $g_K$  is Kähler coupling constant which should be invariant under coupling constant evolution by quantum criticality. Within experimental uncertainties one has  $\alpha_K = g_K^2/4\pi\hbar_0 = \alpha_{em} \simeq 1/137$ , where  $\alpha_{em}$  is finite structure constant in electron length scale and  $\hbar_0$  is the standard value of Planck constant.
2. The quantization of Planck constants makes the condition highly non-trivial. The most general quantization of  $r$  is as rationals but there are good arguments favoring the quantization as integers corresponding to the allowance of only singular coverings of  $CD$  and  $CP_2$ . The point is that in this case a given value of Planck constant corresponds to a finite number of pages of the "Big Book". The quantization of the Planck constant implies a further quantization of  $K$  and would suggest that  $K$  scales as  $1/r$  unless the spectrum of values of  $Q_{em}$  and  $Q_Z$  allowed by the quantization condition scales as  $r$ . This is quite possible and the interpretation would be that each of the  $r$  sheets of the covering carries (possibly same) elementary charge. Kind of discrete variant of a full Fermi sphere would be in question. The interpretation in terms of anyonic phases [17] supports this interpretation.
3. The identification of  $J$  as a counterpart of  $eB/\hbar$  means that Kähler action and thus also Kähler function is proportional to  $1/\alpha_K$  and therefore to  $\hbar$ . This implies that for large values of  $\hbar$  Kähler coupling strength  $g_K^2/4\pi$  becomes very small and large fluctuations are suppressed in the functional integral. The basic motivation for introducing the hierarchy of Planck constants was indeed that the scaling  $\alpha \rightarrow \alpha/r$  allows to achieve the convergence of perturbation theory: Nature itself would solve the problems of the theoretician. This of course does not mean that the physical states would remain as such and the replacement of single particles with anyonic states in order to satisfy the condition for  $K$  would realize this concretely.

The weak form of electric-magnetic duality has surprisingly strong implications for basic view about quantum TGD as following considerations show.

#### 6.6.2 Magnetic confinement, the short range of weak forces, and color confinement

The weak form of electric-magnetic duality has surprisingly strong implications if one combines it with some very general empirical facts such as the non-existence of magnetic monopole fields in macroscopic length scales.

##### How can one avoid macroscopic magnetic monopole fields?

Monopole fields are experimentally absent in length scales above order weak boson length scale and one should have a mechanism neutralizing the monopole charge. How electroweak interactions become short ranged in TGD framework is still a poorly understood problem. What suggests itself is the neutralization of the weak isospin above the intermediate gauge boson Compton length by neutral Higgs bosons. Could the two neutralization mechanisms be combined to single one?

1. In the case of fermions and their super partners the opposite magnetic monopole would be a wormhole throat. If the magnetically charged wormhole contact is electromagnetically neutral but has vectorial weak isospin neutralizing the weak vectorial isospin of the fermion only the electromagnetic charge of the fermion is visible on longer length scales. The distance of this wormhole throat from the fermionic one should be of the order weak boson Compton length. An interpretation as a bound state of fermion and a wormhole throat state with the quantum numbers of a neutral Higgs boson would therefore make sense. The neutralizing throat would have quantum numbers of  $X_{-1/2} = \nu_L \bar{\nu}_R$  or  $X_{1/2} = \bar{\nu}_L \nu_R$ .  $\nu_L \bar{\nu}_R$  would not be neutral Higgs boson (which should correspond to a wormhole contact) but a super-partner of left-handed neutrino obtained by adding a right handed neutrino. This mechanism would apply separately to the fermionic and anti-fermionic throats of the gauge bosons and corresponding space-time sheets and leave only electromagnetic interaction as a long ranged interaction.

2. One can of course wonder what is the situation for the bosonic wormhole throats feeding gauge fluxes between space-time sheets. It would seem that these wormhole throats must always appear as pairs such that for the second member of the pair monopole charges and  $I_V^3$  cancel each other at both space-time sheets involved so that one obtains at both space-time sheets magnetic dipoles of size of weak boson Compton length. The proposed magnetic character of fundamental particles should become visible at TeV energies so that LHC might have surprises in store!

### Magnetic confinement and color confinement

Magnetic confinement generalizes also to the case of color interactions. One can consider also the situation in which the magnetic charges of quarks (more generally, of color excited leptons and quarks) do not vanish and they form color and magnetic singles in the hadronic length scale. This would mean that magnetic charges of the state  $q_{\pm 1/2} - X_{\mp 1/2}$  representing the physical quark would not vanish and magnetic confinement would accompany also color confinement. This would explain why free quarks are not observed. To how degree then quark confinement corresponds to magnetic confinement is an interesting question.

For quark and antiquark of meson the magnetic charges of quark and antiquark would be opposite and meson would correspond to a Kähler magnetic flux so that a stringy view about meson emerges. For valence quarks of baryon the vanishing of the net magnetic charge takes place provided that the magnetic net charges are  $(\pm 2, \mp 1, \mp 1)$ . This brings in mind the spectrum of color hyper charges coming as  $(\pm 2, \mp 1, \mp 1)/3$  and one can indeed ask whether color hyper-charge correlates with the Kähler magnetic charge. The geometric picture would be three strings connected to single vertex. Amusingly, the idea that color hypercharge could be proportional to color hyper charge popped up during the first year of TGD when I had not yet discovered  $CP_2$  and believed on  $M^4 \times S^2$ .

p-Adic length scale hypothesis and hierarchy of Planck constants defining a hierarchy of dark variants of particles suggest the existence of scaled up copies of QCD type physics and weak physics. For p-adically scaled up variants the mass scales would be scaled by a power of  $\sqrt{2}$  in the most general case. The dark variants of the particle would have the same mass as the original one. In particular, Mersenne primes  $M_k = 2^k - 1$  and Gaussian Mersennes  $M_{G,k} = (1 + i)^k - 1$  has been proposed to define zoomed copies of these physics. At the level of magnetic confinement this would mean hierarchy of length scales for the magnetic confinement.

One particular proposal is that the Mersenne prime  $M_{89}$  should define a scaled up variant of the ordinary hadron physics with mass scaled up roughly by a factor  $2^{(107-89)/2} = 512$ . The size scale of color confinement for this physics would be same as the weak length scale. It would look more natural that the weak confinement for the quarks of  $M_{89}$  physics takes place in some shorter scale and  $M_{61}$  is the first Mersenne prime to be considered. The mass scale of  $M_{61}$  weak bosons would be by a factor  $2^{(89-61)/2} = 2^{14}$  higher and about  $1.6 \times 10^4$  TeV.  $M_{89}$  quarks would have virtually no weak interactions but would possess color interactions with weak confinement length scale reflecting themselves as new kind of jets at collisions above TeV energies.

In the biologically especially important length scale range 10 nm -2500 nm there are as many as four Gaussian Mersennes corresponding to  $M_{G,k}$ ,  $k = 151, 157, 163, 167$ . This would suggest that the existence of scaled up scales of magnetic-, weak- and color confinement. An especially interesting possibly testable prediction is the existence of magnetic monopole pairs with the size scale in this range. There are recent claims about experimental evidence for magnetic monopole pairs [108].

### Magnetic confinement and stringy picture in TGD sense

The connection between magnetic confinement and weak confinement is rather natural if one recalls that electric-magnetic duality in super-symmetric quantum field theories means that the descriptions in terms of particles and monopoles are in some sense dual descriptions. Fermions would be replaced by string like objects defined by the magnetic flux tubes and bosons as pairs of wormhole contacts would correspond to pairs of the flux tubes. Therefore the sharp distinction between gravitons and physical particles would disappear.

The reason why gravitons are necessarily stringy objects formed by a pair of wormhole contacts is that one cannot construct spin two objects using only single fermion states at wormhole throats. Of course, also super partners of these states with higher spin obtained by adding fermions and anti-

fermions at the wormhole throat but these do not give rise to graviton like states [18]. The upper and lower wormhole throat pairs would be quantum superpositions of fermion anti-fermion pairs with sum over all fermions. The reason is that otherwise one cannot realize graviton emission in terms of joining of the ends of light-like 3-surfaces together. Also now magnetic monopole charges are necessary but now there is no need to assign the entities  $X_{\pm}$  with gravitons.

Graviton string is characterized by some p-adic length scale and one can argue that below this length scale the charges of the fermions become visible. Mersenne hypothesis suggests that some Mersenne prime is in question. One proposal is that gravitonic size scale is given by electronic Mersenne prime  $M_{127}$ . It is however difficult to test whether graviton has a structure visible below this length scale.

What happens to the generalized Feynman diagrams is an interesting question. It is not at all clear how closely they relate to ordinary Feynman diagrams. All depends on what one is ready to assume about what happens in the vertices. One could of course hope that zero energy ontology could allow some very simple description allowing perhaps to get rid of the problematic aspects of Feynman diagrams.

1. Consider first the recent view about generalized Feynman diagrams which relies zero energy ontology. A highly attractive assumption is that the particles appearing at wormhole throats are on mass shell particles. For incoming and outgoing elementary bosons and their super partners they would be positive it resp. negative energy states with parallel on mass shell momenta. For virtual bosons they the wormhole throats would have opposite sign of energy and the sum of on mass shell states would give virtual net momenta. This would make possible twistor description of virtual particles allowing only massless particles (in 4-D sense usually and in 8-D sense in TGD framework). The notion of virtual fermion makes sense only if one assumes in the interaction region a topological condensation creating another wormhole throat having no fermionic quantum numbers.
2. The addition of the particles  $X^{\pm}$  replaces generalized Feynman diagrams with the analogs of stringy diagrams with lines replaced by pairs of lines corresponding to fermion and  $X_{\pm 1/2}$ . The members of these pairs would correspond to 3-D light-like surfaces glued together at the vertices of generalized Feynman diagrams. The analog of 3-vertex would not be splitting of the string to form shorter strings but the replication of the entire string to form two strings with same length or fusion of two strings to single string along all their points rather than along ends to form a longer string. It is not clear whether the duality symmetry of stringy diagrams can hold true for the TGD variants of stringy diagrams.
3. How should one describe the bound state formed by the fermion and  $X^{\pm}$ ? Should one describe the state as superposition of non-parallel on mass shell states so that the composite state would be automatically massive? The description as superposition of on mass shell states does not conform with the idea that bound state formation requires binding energy. In TGD framework the notion of negentropic entanglement has been suggested to make possible the analogs of bound states consisting of on mass shell states so that the binding energy is zero [49]. If this kind of states are in question the description of virtual states in terms of on mass shell states is not lost. Of course, one cannot exclude the possibility that there is infinite number of this kind of states serving as analogs for the excitations of string like object.
4. What happens to the states formed by fermions and  $X_{\pm 1/2}$  in the internal lines of the Feynman diagram? Twistor philosophy suggests that only the higher on mass shell excitations are possible. If this picture is correct, the situation would not change in an essential manner from the earlier one.

The highly non-trivial prediction of the magnetic confinement is that elementary particles should have stringy character in electro-weak length scales and could behaving to become manifest at LHC energies. This adds one further item to the list of non-trivial predictions of TGD about physics at LHC energies [26].

#### Should $J + J_1$ appear in Kähler action?

The presence of the  $S^2$  Kähler form  $J_1$  in the weak form of electric-magnetic duality was originally suggested by an erratic argument about the reduction to almost topological QFT to be described in

the next subsection. In any case this argument raises the question whether one could replace  $J$  with  $J + J_1$  in the Kähler action. This would not affect the basic non-vacuum extremals but would modify the vacuum degeneracy of the Kähler action. Canonically imbedded  $M^4$  would become a monopole configuration with an infinite magnetic energy and Kähler action due to the monopole singularity at the line connecting tips of the  $CD$ . Action and energy can be made small by drilling a small hole around origin. This is however not consistent with the weak form of electro-weak duality. Amusingly, the modified Dirac equation reduces to ordinary massless Dirac equation in  $M^4$ .

This extremal can be transformed to a vacuum extremal by assuming that the solution is also a  $CP_2$  magnetic monopole with opposite contribution to the magnetic charge so that  $J + J_1 = 0$  holds true. This is achieved if one can regard space-time surface as a map  $M^4 \rightarrow CP_2$  reducing to a map  $(\Theta, \Phi) = (\theta, \pm\phi)$  with the sign chosen by properly projecting the homologically non-trivial  $r_M = \text{constant}$  spheres of  $CD$  to the homologically non-trivial geodesic sphere of  $CP_2$ . Symplectic transformations of  $S^2 \times CP_2$  produce new vacuum extremals of this kind. Using Darboux coordinates in which one has  $J = \sum_{k=1,2} P_k dQ^k$  and assuming that  $(P_1, Q_1)$  corresponds to the  $CP_2$  image of  $S^2$ , one can take  $Q_2$  to be arbitrary function of  $P^2$ , which in turn is an arbitrary function of  $M^4$  coordinates to obtain even more general vacuum extremals with 3-D  $CP_2$  projection. Therefore the spectrum of vacuum extremals, which is very relevant for the TGD based description of gravitation in long length scales because it allows to satisfy Einstein's equations as an additional condition, looks much richer than for the original option, and it is natural to ask whether this option might make sense.

An objection is that  $J_1$  is a radial monopole field and this breaks Lorentz invariance to  $SO(3)$ . Lorentz invariance is broken to  $SO(3)$  for a given  $CD$  also by the presence of the preferred time direction defined by the time-like line connecting the tips of the  $CD$  becoming carrying the monopole charge but is compensated since Lorentz boosts of  $CD$ s are possible. Could one consider similar compensation also now? Certainly the extremely small breaking of Lorentz invariance and the vanishing of the monopole charge for the vacuum extremals is all that is needed at the space-time level. No new gauge fields would be introduced since only the Kähler field part of photon and  $Z^0$  boson would receive an additional contribution.

The ultimate fate of the modification depends on whether it is consistent with the general relativistic description of gravitation. Since a breaking of spherical symmetry is involved, it is not at all clear whether one can find vacuum extremals which represent small deformations of the Reissner-Nordström metric and Robertson-Walker metric. The argument below shows that this option does not allow the imbedding of small deformations of physically plausible space-time metrics as vacuum extremals.

The basic vacuum extremal whose deformations should give vacuum extremals allowing interpretation as solutions of Einstein's equations is given by a map  $M^4 \rightarrow CP_2$  projecting the  $r_M$  constant spheres  $S^2$  of  $M^2$  to the homologically non-trivial geodesic sphere of  $CP_2$ . The winding number of this map is  $-1$  in order to achieve vanishing of the induced Kähler form  $J + J_1$ . For instance, the following two canonical forms of the map are possible

$$\begin{aligned} (\Theta, \Psi) &= (\theta_M, -\phi_M) , \\ (\Theta, \Psi) &= (\pi - \theta_M, \phi_M) . \end{aligned} \tag{6.6.8}$$

Here  $(\Theta, \Psi)$  refers to the geodesic sphere of  $CP_2$  and  $(\theta_M, \phi_M)$  to the sphere of  $M^4$ .

The resulting space-time surface is not flat and Einstein tensor is non-vanishing. More complex metrics can be constructed from this metric by a deformation making the  $CP_2$  projection 3-dimensional.

Using the expression of the  $CP_2$  line element in Eguchi-Hanson coordinates [56]

$$\frac{ds^2}{R^2} = \frac{dr^2}{F^2} + \frac{r^2}{F} (d\Psi + \cos\Theta d\Phi)^2 + \frac{r^2}{4F} (d\Theta^2 + \text{frac}{r^2} 4F \sin^2\Theta d\Phi^2) \tag{6.6.9}$$

and s the relationship  $r = \tan(\Theta)$ , one obtains following expression for the  $CP_2$  metric

$$\frac{ds^2}{R^2} = d\theta_M^2 + \sin^2(\theta_M) \left[ (d\phi_M + \cos(\theta)d\Phi)^2 + \frac{1}{4}(d\theta^2 + \sin^2(\theta)d\Phi^2) \right]. \quad (6.6.10)$$

The resulting metric is obtained from the metric of  $S^2$  by replacing  $d\phi^2$  which 3-D line element. The factor  $\sin^2(\theta_M)$  implies that the induced metric becomes singular at North and South poles of  $S^2$ . In particular, the gravitational potential is proportional to  $\sin^2(\theta_M)$  so that gravitational force in the radial direction vanishes at equators. It is very difficult to imagine any manner to produce a small deformation of Reissner-Nordström metric or Robertson-Walker metric. Hence it seems that the vacuum extremals produce by  $J + J_1$  option are not physical.

### 6.6.3 Could Quantum TGD reduce to almost topological QFT?

There seems to be a profound connection with the earlier unrealistic proposal that TGD reduces to almost topological quantum theory in the sense that the counterpart of Chern-Simons action assigned with the wormhole throats somehow dictates the dynamics. This proposal can be formulated also for the modified Dirac action action. I gave up this proposal but the following argument shows that Kähler action with weak form of electric-magnetic duality effectively reduces to Chern-Simons action plus Coulomb term.

1. Kähler action density can be written as a 4-dimensional integral of the Coulomb term  $j_K^\alpha A_\alpha$  plus and integral of the boundary term  $J^{n\beta} A_\beta \sqrt{g_4}$  over the wormhole throats and of the quantity  $J^{0\beta} A_\beta \sqrt{g_4}$  over the ends of the 3-surface.
2. If the self-duality conditions generalize to  $J^{n\beta} = 4\pi\alpha_K \epsilon^{n\beta\gamma\delta} J_{\gamma\delta}$  at throats and to  $J^{0\beta} = 4\pi\alpha_K \epsilon^{0\beta\gamma\delta} J_{\gamma\delta}$  at the ends, the Kähler function reduces to the counterpart of Chern-Simons action evaluated at the ends and throats. It would have same value for each branch and the replacement  $\hbar_0 \rightarrow r\hbar_0$  would effectively describe this. Boundary conditions would however give  $1/r$  factor so that  $\hbar$  would disappear from the Kähler function! The original attempt to realize quantum TGD as an almost topological QFT was in terms of Chern-Simons action but was given up. It is somewhat surprising that Kähler action gives Chern-Simons action in the vacuum sector defined as sector for which Kähler current is light-like or vanishes.

Holography encourages to ask whether also the Coulomb interaction terms could vanish. This kind of dimensional reduction would mean an enormous simplification since TGD would reduce to an almost topological QFT. The attribute "almost" would come from the fact that one has non-vanishing classical Noether charges defined by Kähler action and non-trivial quantum dynamics in  $M^4$  degrees of freedom. One could also assign to space-time surfaces conserved four-momenta which is not possible in topological QFTs. For this reason the conditions guaranteeing the vanishing of Coulomb interaction term deserve a detailed analysis.

1. For the known extremals  $j_K^\alpha$  either vanishes or is light-like ("massless extremals" for which weak self-duality condition does not make sense [29]) so that the Coulombic term vanishes identically in the gauge used. The addition of a gradient to  $A$  induces terms located at the ends and wormhole throats of the space-time surface but this term must be cancelled by the other boundary terms by gauge invariance of Kähler action. This implies that the  $M^4$  part of WCW metric vanishes in this case. Therefore massless extremals as such are not physically realistic: wormhole throats representing particles are needed.
2. The original naive conclusion was that since Chern-Simons action depends on  $CP_2$  coordinates only, its variation with respect to Minkowski coordinates must vanish so that the WCW metric would be trivial in  $M^4$  degrees of freedom. This conclusion is in conflict with quantum classical correspondence and was indeed too hasty. The point is that the allowed variations of Kähler function must respect the weak electro-magnetic duality which relates Kähler electric field depending on the induced 4-metric at 3-surface to the Kähler magnetic field. Therefore the dependence on  $M^4$  coordinates creeps via a Lagrange multiplier term

$$\int \Lambda_\alpha (J^{n\alpha} - K \epsilon^{n\alpha\beta\gamma} J_{\beta\gamma}) \sqrt{g_4} d^3x . \quad (6.6.11)$$

The (1,1) part of second variation contributing to  $M^4$  metric comes from this term.

3. This erratic conclusion about the vanishing of  $M^4$  part WCW metric raised the question about how to achieve a non-trivial metric in  $M^4$  degrees of freedom. The proposal was a modification of the weak form of electric-magnetic duality. Besides  $CP_2$  Kähler form there would be the Kähler form assignable to the light-cone boundary reducing to that for  $r_M = \text{constant}$  sphere - call it  $J^1$ . The generalization of the weak form of self-duality would be  $J^{n\beta} = \epsilon^{n\beta\gamma\delta} K (J_{\gamma\delta} + \epsilon J_{\gamma\delta}^1)$ . This form implies that the boundary term gives a non-trivial contribution to the  $M^4$  part of the WCW metric even without the constraint from electric-magnetic duality. Kähler charge is not affected unless the partonic 2-surface contains the tip of  $CD$  in its interior. In this case the value of Kähler charge is shifted by a topological contribution. Whether this term can survive depends on whether the resulting vacuum extremals are consistent with the basic facts about classical gravitation.
4. The Coulombic interaction term is not invariant under gauge transformations. The good news is that this might allow to find a gauge in which the Coulomb term vanishes. The vanishing condition fixing the gauge transformation  $\phi$  is

$$j_K^\alpha \partial_\alpha \phi = -j^\alpha A_\alpha . \quad (6.6.12)$$

This differential equation can be reduced to an ordinary differential equation along the flow lines  $j_K$  by using  $dx^\alpha/dt = j_K^\alpha$ . Global solution is obtained only if one can combine the flow parameter  $t$  with three other coordinates- say those at the either end of  $CD$  to form space-time coordinates. The condition is that the parameter defining the coordinate differential is proportional to the covariant form of Kähler current:  $dt = \phi j_K$ . This condition in turn implies  $d^2t = d(\phi j_K) = d\phi \wedge j_K + \phi dj_K = 0$  implying  $j_K \wedge dj_K = 0$  or more concretely,

$$\epsilon^{\alpha\beta\gamma\delta} j_\beta^K \partial_\gamma j_\delta^K = 0 . \quad (6.6.13)$$

$j_K$  is a four-dimensional counterpart of Beltrami field [62] and could be called generalized Beltrami field.

The integrability conditions follow also from the construction of the extremals of Kähler action [29]. The conjecture was that for the extremals the 4-dimensional Lorentz force vanishes (no dissipation): this requires  $j_K \wedge J = 0$ . One manner to guarantee this is the topologization of the Kähler current meaning that it is proportional to the instanton current:  $j_K = \phi j_I$ , where  $j_I = *(J \wedge A)$  is the instanton current, which is not conserved for 4-D  $CP_2$  projection. The conservation of  $j_K$  implies the condition  $j_I^\alpha \partial_\alpha \phi = \partial_\alpha j^\alpha \phi$  and from this  $\phi$  can be integrated if the integrability condition  $j_I \wedge dj_I = 0$  holds true implying the same condition for  $j_K$ . By introducing at least 3 or  $CP_2$  coordinates as space-time coordinates, one finds that the contravariant form of  $j_I$  is purely topological so that the integrability condition fixes the dependence on  $M^4$  coordinates and this selection is coded into the scalar function  $\phi$ . These functions define families of conserved currents  $j_K^\alpha \phi$  and  $j_I^\alpha \phi$  and could be also interpreted as conserved currents associated with the critical deformations of the space-time surface.

5. There are gauge transformations respecting the vanishing of the Coulomb term. The vanishing condition for the Coulomb term is gauge invariant only under the gauge transformations  $A \rightarrow A + \nabla \phi$  for which the scalar function the integral  $\int j_K^\alpha \partial_\alpha \phi$  reduces to a total divergence a giving an integral over various 3-surfaces at the ends of  $CD$  and at throats vanishes. This is satisfied if the allowed gauge transformations define conserved currents



$$D_\alpha(j^\alpha\phi) = 0 . \quad (6.6.14)$$

As a consequence Coulomb term reduces to a difference of the conserved charges  $Q_\phi^e = \int j^0\phi\sqrt{g_4}d^3x$  at the ends of the CD vanishing identically. The change of the imons type term is trivial if the total weighted Kähler magnetic flux  $Q_\phi^m = \sum \int J\phi dA$  over wormhole throats is conserved. The existence of an infinite number of conserved weighted magnetic fluxes is in accordance with the electric-magnetic duality. How these fluxes relate to the flux Hamiltonians central for WCW geometry is not quite clear.

6. The gauge transformations respecting the reduction to almost topological QFT should have some special physical meaning. The measurement interaction term in the modified Dirac interaction corresponds to a critical deformation of the space-time sheet and is realized as an addition of a gauge part to the Kähler gauge potential of  $CP_2$ . It would be natural to identify this gauge transformation giving rise to a conserved charge so that the conserved charges would provide a representation for the charges associated with the infinitesimal critical deformations not affecting Kähler action. The gauge transformed Kähler potential couples to the modified Dirac equation and its effect could be visible in the value of Kähler function and therefore also in the properties of the preferred extremal. The effect on WCW metric would however vanish since  $K$  would transform only by an addition of a real part of a holomorphic function. Kähler function is identified as a Dirac determinant for Chern-Simons Dirac action and the spectrum of this operator should not be invariant under these gauge transformations if this picture is correct. This is achieved if the gauge transformation is carried only in the Dirac action corresponding to the Chern-Simons term: this assumption is motivated by the breaking of time reversal invariance induced by quantum measurements. The modification of Kähler action can be guessed to correspond just to the Chern-Simons contribution from the instanton term.
7. A reasonable looking guess for the explicit realization of the quantum classical correspondence between quantum numbers and space-time geometry is that the deformation of the preferred extremal due to the addition of the measurement interaction term is induced by a  $U(1)$  gauge transformation induced by a transformation of  $\delta CD \times CP_2$  generating the gauge transformation represented by  $\phi$ . This interpretation makes sense if the fluxes defined by  $Q_\phi^m$  and corresponding Hamiltonians affect only zero modes rather than quantum fluctuating degrees of freedom.

To sum up, one could understand the basic properties of WCW metric in this framework. Effective 2-dimensionality would result from the existence of an infinite number of conserved charges in two different time directions (genuine conservation laws plus gauge fixing). The infinite-dimensional symmetric space for given values of zero modes corresponds to the Cartesian product of the WCWs associated with the partonic 2-surfaces at both ends of  $CD$  and the generalized Chern-Simons term decomposes into a sum of terms from the ends giving single particle Kähler functions and to the terms from light-like wormhole throats giving interaction term between positive and negative energy parts of the state. Hence Kähler function could be calculated without any knowledge about the interior of the space-time sheets and TGD would reduce to almost topological QFT as speculated earlier. Needless to say this would have immense boost to the program of constructing WCW Kähler geometry.

## 6.7 How to define generalized Feynman diagrams?

S-matrix codes to a high degree the predictions of quantum theories. The longstanding challenge of TGD has been to construct or at least demonstrate the mathematical existence of S-matrix- or actually M-matrix which generalizes this notion in zero energy ontology (ZEO) [5]. This work has led to the notion of generalized Feynman diagram and the challenge is to give a precise mathematical meaning for this object. The attempt to understand the counterpart of twistors in TGD framework [40] has inspired several key ideas in this respect but it turned out that twistors themselves need not be absolutely necessary in TGD framework.

1. The notion of generalized Feynman diagram defined by replacing lines of ordinary Feynman diagram with light-like 3-surfaces (elementary particle sized wormhole contacts with throats carrying quantum numbers) and vertices identified as their 2-D ends - I call them partonic 2-surfaces is central. Speaking somewhat loosely, generalized Feynman diagrams (plus background space-time sheets) define the "world of classical worlds" (WCW). These diagrams involve the analogs of stringy diagrams but the interpretation is different: the analogs of stringy loop diagrams have interpretation in terms of particle propagating via two different routes simultaneously (as in the classical double slit experiment) rather than as a decay of particle to two particles. For stringy diagrams the counterparts of vertices are singular as manifolds whereas the entire diagrams are smooth. For generalized Feynman diagrams vertices are smooth but entire diagrams represent singular manifolds just like ordinary Feynman diagrams do. String like objects however emerge in TGD and even ordinary elementary particles are predicted to be magnetic flux tubes of length of order weak gauge boson Compton length with monopoles at their ends as shown in accompanying article. This stringy character should become visible at LHC energies.
2. Zero energy ontology (ZEO) and causal diamonds (intersections of future and past directed lightcones) is second key ingredient. The crucial observation is that in ZEO it is possible to identify off mass shell particles as pairs of on mass shell particles at throats of wormhole contact since both positive and negative signs of energy are possible. The propagator defined by modified Dirac action does not diverge (except for incoming lines) although the fermions at throats are on mass shell. In other words, the generalized eigenvalue of the modified Dirac operator containing a term linear in momentum is non-vanishing and propagator reduces to  $G = i/\lambda\gamma$ , where  $\gamma$  is so called modified gamma matrix in the direction of stringy coordinate [31]. This means opening of the black box of the off mass shell particle-something which for some reason has not occurred to anyone fighting with the divergences of quantum field theories.
3. A powerful constraint is number theoretic universality requiring the existence of Feynman amplitudes in all number fields when one allows suitable algebraic extensions: roots of unity are certainly required in order to realize p-adic counter parts of plane waves. Also imbedding space, partonic 2-surfaces and WCW must exist in all number fields and their extensions. These constraints are enormously powerful and the attempts to realize this vision have dominated quantum TGD for last two decades.
4. Representation of 8-D gamma matrices in terms of octonionic units and 2-D sigma matrices is a further important element as far as twistors are considered [40]. Modified gamma matrices at space-time surfaces are quaternionic/associative and allow a genuine matrix representation. As a matter fact, TGD and WCW can be formulated as study of associative local sub-algebras of the local Clifford algebra of 8-D imbedding space parameterized by quaternionic space-time surfaces. Central conjecture is that quaternionic 4-surfaces correspond to preferred extremals of Kähler action [31] identified as critical ones (second variation of Kähler action vanishes for infinite number of deformations defining super-conformal algebra) and allow a slicing to string worldsheets parametrized by points of partonic 2-surfaces.
5. As far as twistors are considered, the first key element is the reduction of the octonionic twistor structure to quaternionic one at space-time surfaces and giving effectively 4-D spinor and twistor structure for quaternionic surfaces.

Quite recently quite a dramatic progress took place in this approach [40].

1. The progress was stimulated by the simple observation that on mass shell property puts enormously strong kinematic restrictions on the loop integrations. With mild restrictions on the number of parallel fermion lines appearing in vertices (there can be several since fermionic oscillator operator algebra defining SUSY algebra generates the parton states)- all loops are manifestly finite and if particles has always mass -say small p-adic thermal mass also in case of massless particles and due to IR cutoff due to the presence largest CD- the number of diagrams is finite. Unitarity reduces to Cutkosky rules [71] automatically satisfied as in the case of ordinary Feynman diagrams.

2. Ironically, twistors which stimulated all these development do not seem to be absolutely necessary in this approach although they are of course possible. Situation changes if one does not assume small  $p$ -adically thermal mass due to the presence of massless particles and one must sum infinite number of diagrams. Here a potential problem is whether the infinite sum respects the algebraic extension in question.

This is about fermionic and momentum space aspects of Feynman diagrams but not yet about the functional (not path-) integral over small deformations of the partonic 2-surfaces. The basic challenges are following.

1. One should perform the functional integral over WCW degrees of freedom for fixed values of on mass shell momenta appearing in the internal lines. After this one must perform integral or summation over loop momenta. Note that the order is important since the space-time surface assigned to the line carries information about the quantum numbers associated with the line by quantum classical correspondence realized in terms of modified Dirac operator.
2. One must define the functional integral also in the  $p$ -adic context.  $p$ -Adic Fourier analysis relying on algebraic continuation raises hopes in this respect.  $p$ -Adicity suggests strongly that the loop momenta are discretized and ZEO predicts this kind of discretization naturally.

It indeed seems that the functional integrals over WCW could be carried out at general level both in real and  $p$ -adic context. This is due to the symmetric space property (maximal number of isometries) of WCW required by the mere mathematical existence of Kähler geometry [17] in infinite-dimensional context already in the case of much simpler loop spaces [68].

1. The  $p$ -adic generalization of Fourier analysis allows to algebraize integration- the horrible looking technical challenge of  $p$ -adic physics- for symmetric spaces for functions allowing the analog of discrete Fourier decomposition. Symmetric space property is indeed essential also for the existence of Kähler geometry for infinite-D spaces as was learned already from the case of loop spaces. Plane waves and exponential functions expressible as roots of unity and powers of  $p$  multiplied by the direct analogs of corresponding exponent functions are the basic building bricks and key functions in harmonic analysis in symmetric spaces. The physically unavoidable finite measurement resolution corresponds to algebraically unavoidable finite algebraic dimension of algebraic extension of  $p$ -adics (at least some roots of unity are needed). The cutoff in roots of unity is very reminiscent to that occurring for the representations of quantum groups and is certainly very closely related to these as also to the inclusions of hyper-finite factors of type II<sub>1</sub> defining the finite measurement resolution.
2. WCW geometrization reduces to that for a single line of the generalized Feynman diagram defining the basic building brick for WCW. Kähler function decomposes to a sum of "kinetic" terms associated with its ends and interaction term associated with the line itself.  $p$ -Adicization boils down to the condition that Kähler function, matrix elements of Kähler form, WCW Hamiltonians and their super counterparts, are rational functions of complex WCW coordinates just as they are for those symmetric spaces that I know of. This allows straightforward continuation to  $p$ -adic context.
3. As far as diagrams are considered, everything is manifestly finite as the general arguments (non-locality of Kähler function as functional of 3-surface) developed two decades ago indeed allow to expect. General conditions on the holomorphy properties of the generalized eigenvalues  $\lambda$  of the modified Dirac operator can be deduced from the conditions that propagator decomposes to a sum of products of harmonics associated with the ends of the line and that similar decomposition takes place for exponent of Kähler action identified as Dirac determinant. This guarantees that the convolutions of propagators and vertices give rise to products of harmonic functions which can be Glebsch-Gordanized to harmonics and only the singlet contributes to the WCW integral in given vertex. The still unproven central conjecture is that Dirac determinant equals the exponent of Kähler function.

In the following this vision about generalized Feynman diagrams is discussed in more detail.

### 6.7.1 Questions

The goal is a proposal for how to perform the integral over WCW for generalized Feynman digrams and the best manner to proceed to to this goal is by making questions.

#### What does finite measurement resolution mean?

The first question is what finite measurement resolution means.

1. One expects that the algebraic continuation makes sense only for a finite measurement resolution in which case one obtains only finite sums of what one might hope to be algebraic functions. The finiteness of the algebraic extension would be in fact equivalent with the finite measurement resolution.
2. Finite measurement resolution means a discretization in terms of number theoretic braids. p-Adicization condition suggests that that one must allow only the number theoretic braids. For these the ends of braid at boundary of  $CD$  are algebraic points of the imbedding space. This would be true at least in the intersection of real and p-adic worlds.
3. The question is whether one can localize the points of the braid. The necessity to use momentum eigenstates to achieve quantum classical correspondence in the modified Dirac action [31] suggests however a delocalization of braid points, that is wave function in space of braid points. In real context one could allow all possible choices for braid points but in p-adic context only algebraic points are possible if one wants to replace integrals with sums. This implies finite measurement resolution analogous to that in lattice. This is also the only possibility in the intersection of real and p-adic worlds.

A non-trivial prediction giving a strong correlation between the geometry of the partonic 2-surface and quantum numbers is that the total number  $n_F + n_{\overline{F}}$  of fermions and antifermions is bounded above by the number  $n_{alg}$  of algebraic points for a given partonic 2-surface:  $n_F + n_{\overline{F}} \leq n_{alg}$ . Outside the intersection of real and p-adic worlds the problematic aspect of this definition is that small deformations of the partonic 2-surface can radically change the number of algebraic points unless one assumes that the finite measurement resolution means restriction of WCW to a sub-space of algebraic partonic surfaces.

4. One has also a discretization of loop momenta if one assumes that virtual particle momentum corresponds to ZEO defining rest frame for it and from the discretization of the relative position of the second tip of  $CD$  at the hyperboloid isometric with mass shell. Only the number of braid points and their momenta would matter, not their positions. The measurement interaction term in the modified Dirac action gives coupling to the space-time geometry and Kähler function through generalized eigenvalues of the modified Dirac operator with measurement interaction term linear in momentum and in the color quantum numbers assignable to fermions [31].

#### How to define integration in WCW degrees of freedom?

The basic question is how to define the integration over WCW degrees of freedom.

1. What comes mind first is Gaussian perturbation theory around the maxima of Kähler function. Gaussian and metric determinants cancel each other and only algebraic expressions remain. Finiteness is not a problem since the Kähler function is non-local functional of 3-surface so that no local interaction vertices are present. One should however assume the vanishing of loops required also by algebraic universality and this assumption look unrealistic when one considers more general functional integrals than that of vacuum functional since free field theory is not in question. The construction of the inverse of the WCW metric defining the propagator is also a very difficult challenge. Duistermaat-Hecke theorem states that something like this known as localization might be possible and one can also argue that something analogous to localization results from a generalization of mean value theorem.
2. Symmetric space property is more promising since it might reduce the integrations to group theory using the generalization of Fourier analysis for group representations so that there would

be no need for perturbation theory in the proposed sense. In finite measurement resolution the symmetric spaces involved would be finite-dimensional. Symmetric space structure of WCW could also allow to define p-adic integration in terms of p-adic Fourier analysis for symmetric spaces. Essentially algebraic continuation of the integration from the real case would be in question with additional constraints coming from the fact that only phase factors corresponding to finite algebraic extensions of rationals are used. Cutoff would emerge automatically from the cutoff for the dimension of the algebraic extension.

### How to define generalized Feynman diagrams?

Integration in symmetric spaces could serve as a model at the level of WCW and allow both the understanding of WCW integration and p-adicization as algebraic continuation. In order to get a more realistic view about the problem one must define more precisely what the calculation of the generalized Feynman diagrams means.

1. WCW integration must be carried out separately for all values of the momenta associated with the internal lines. The reason is that the spectrum of eigenvalues  $\lambda_i$  of the modified Dirac operator  $D$  depends on the momentum of line and momentum conservation in vertices translates to a correlation of the spectra of  $D$  at internal lines.
2. For tree diagrams algebraic continuation to the p-adic context if the expression involves only the replacement of the generalized eigenvalues of  $D$  as functions of momenta with their p-adic counterparts besides vertices. If these functions are algebraically universal and expressible in terms of harmonics of symmetric space, there should be no problems.
3. If loops are involved, one must integrate/sum over loop momenta. In p-adic context difficulties are encountered if the spectrum of the momenta is continuous. The integration over on mass shell loop momenta is analogous to the integration over sub-CDs, which suggests that internal line corresponds to a *sub-CD* in which it is at rest. There are excellent reasons to believe that the moduli space for the positions of the upper tip is a discrete subset of hyperboloid of future light-cone. If this is the case, the loop integration indeed reduces to a sum over discrete positions of the tip. p-Adicization would thus give a further good reason why for zero energy ontology.
4. Propagator is expressible in terms of the inverse of generalized eigenvalue and there is a sum over these for each propagator line. At vertices one has products of WCW harmonics assignable to the incoming lines. The product must have vanishing quantum numbers associated with the phase angle variables of WCW. Non-trivial quantum numbers of the WCW harmonic correspond to WCW quantum numbers assignable to excitations of ordinary elementary particles. WCW harmonics are products of functions depending on the "radial" coordinates and phase factors and the integral over the angles leaves the product of the first ones analogous to Legendre polynomials  $P_{l,m}$ . These functions are expected to be rational functions or at least algebraic functions involving only square roots.
5. In ordinary QFT incoming and outgoing lines correspond to propagator poles. In the recent case this would mean that the generalized eigenvalues  $\lambda = 0$  characterize them. Internal lines coming as pairs of throats of wormhole contacts would be on mass shell with respect to momentum but off shell with respect to  $\lambda$ .

#### 6.7.2 Generalized Feynman diagrams at fermionic and momentum space level

Negative energy ontology has already led to the idea of interpreting the virtual particles as pairs of positive and negative energy wormhole throats. Hitherto I have taken it as granted that ordinary Feynman diagrammatics generalizes more or less as such. It is however far from clear what really happens in the vertices of the generalized Feynmann diagrams. The safest approach relies on the requirement that unitarity realized in terms of Cutkosky rules in ordinary Feynman diagrammatics allows a generalization. This requires loop diagrams. In particular, photon-photon scattering can

take place only via a fermionic square loop so that it seems that loops must be present at least in the topological sense.

One must be however ready for the possibility that something unexpectedly simple might emerge. For instance, the vision about algebraic physics allows naturally only finite sums for diagrams and does not favor infinite perturbative expansions. Hence the true believer on algebraic physics might dream about finite number of diagrams for a given reaction type. For simplicity generalized Feynman diagrams without the complications brought by the magnetic confinement since by the previous arguments the generalization need not bring in anything essentially new.

The basic idea of duality in early hadronic models was that the lines of the dual diagram representing particles are only re-arranged in the vertices. This however does not allow to get rid of off mass shell momenta. Zero energy ontology encourages to consider a stronger form of this principle in the sense that the virtual momenta of particles could correspond to pairs of on mass shell momenta of particles. If also interacting fermions are pairs of positive and negative energy throats in the interaction region the idea about reducing the construction of Feynman diagrams to some kind of lego rules might work.

### Virtual particles as pairs of on mass shell particles in ZEO

The first thing is to try to define more precisely what generalized Feynman diagrams are. The direct generalization of Feynman diagrams implies that both wormhole throats and wormhole contacts join at vertices.

1. A simple intuitive picture about what happens is provided by diagrams obtained by replacing the points of Feynman diagrams (wormhole contacts) with short lines and imagining that the throats correspond to the ends of the line. At vertices where the lines meet the incoming on mass shell quantum numbers would sum up to zero. This approach leads to a straightforward generalization of Feynman diagrams with virtual particles replaced with pairs of on mass shell throat states of type  $++$ ,  $--$ , and  $+-$ . Incoming lines correspond to  $++$  type lines and outgoing ones to  $--$  type lines. The first two line pairs allow only time like net momenta whereas  $+-$  line pairs allow also space-like virtual momenta. The sign assigned to a given throat is dictated by the the sign of the on mass shell momentum on the line. The condition that Cutkosky rules generalize as such requires  $++$  and  $--$  type virtual lines since the cut of the diagram in Cutkosky rules corresponds to on mass shell outgoing or incoming states and must therefore correspond to  $++$  or  $--$  type lines.
2. The basic difference as compared to the ordinary Feynman diagrammatics is that loop integrals are integrals over mass shell momenta and that all throats carry on mass shell momenta. In each vertex of the loop mass incoming on mass shell momenta must sum up to on mass shell momentum. These constraints improve the behavior of loop integrals dramatically and give excellent hopes about finiteness. It does not however seem that only a finite number of diagrams contribute to the scattering amplitude besides tree diagrams. The point is that if a the reactions  $N_1 \rightarrow N_2$  and  $N_2 \rightarrow N_3$ , where  $N_i$  denote particle numbers, are possible in a common kinematical region for  $N_2$ -particle states then also the diagrams  $N_1 \rightarrow N_2 \rightarrow N_2 \rightarrow N_3$  are possible. The virtual states  $N_2$  include all all states in the intersection of kinematically allow regions for  $N_1 \rightarrow N_2$  and  $N_2 \rightarrow N_3$ . Hence the dream about finite number possible diagrams is not fulfilled if one allows massless particles. If all particles are massive then the particle number  $N_2$  for given  $N_1$  is limited from above and the dream is realized.
3. For instance, loops are not possible in the massless case or are highly singular (bringing in mind twistor diagrams) since the conservation laws at vertices imply that the momenta are parallel. In the massive case and allowing mass spectrum the situation is not so simple. As a first example one can consider a loop with three vertices and thus three internal lines. Three on mass shell conditions are present so that the four-momentum can vary in 1-D subspace only. For a loop involving four vertices there are four internal lines and four mass shell conditions so that loop integrals would reduce to discrete sums. Loops involving more than four vertices are expected to be impossible.
4. The proposed replacement of the elementary fermions with bound states of elementary fermions and monopoles  $X_{\pm}$  brings in the analog of stringy diagrammatics. The 2-particle wave functions

in the momentum degrees of freedom of fermions and  $X_{\pm}$  might allow more flexibility and allow more loops. Note however that there are excellent hopes about the finiteness of the theory also in this case.

### Loop integrals are manifestly finite

One can make also more detailed observations about loops.

1. The simplest situation is obtained if only 3-vertices are allowed. In this case conservation of momentum however allows only collinear momenta although the signs of energy need not be the same. Particle creation and annihilation is possible and momentum exchange is possible but is always light-like in the massless case. The scattering matrices of supersymmetric YM theories would suggest something less trivial and this raises the question whether something is missing. Magnetic monopoles are an essential element of also these theories as also massivation and symmetry breaking and this encourages to think that the formation of massive states as fermion  $X_{\pm}$  pairs is needed. Of course, in TGD framework one has also high mass excitations of the massless states making the scattering matrix non-trivial.
2. In YM theories on mass shell lines would be singular. In TGD framework this is not the case since the propagator is defined as the inverse of the 3-D dimensional reduction of the modified Dirac operator  $D$  containing also coupling to four-momentum (this is required by quantum classical correspondence and guarantees stringy propagators),

$$\begin{aligned} D &= i\hat{\Gamma}^{\alpha}p_{\alpha} + \hat{\Gamma}^{\alpha}D_{\alpha} \ , \\ p_{\alpha} &= p_k\partial_{\alpha}h^k \ . \end{aligned} \tag{6.7.1}$$

The propagator does not diverge for on mass shell massless momenta and the propagator lines are well-defined. This is of course of essential importance also in general case. Only for the incoming lines one can consider the possibility that 3-D Dirac operator annihilates the induced spinor fields. All lines correspond to generalized eigenstates of the propagator in the sense that one has  $D_3\Psi = \lambda\gamma\Psi$ , where  $\gamma$  is modified gamma matrix in the direction of the stringy coordinate emanating from light-like surface and  $D_3$  is the 3-dimensional dimensional reduction of the 4-D modified Dirac operator. The eigenvalue  $\lambda$  is analogous to energy. Note that the eigenvalue spectrum depends on 4-momentum as a parameter.

3. Massless incoming momenta can decay to massless momenta with both signs of energy. The integration measure  $d^2k/2E$  reduces to  $dx/x$  where  $x \geq 0$  is the scaling factor of massless momentum. Only light-like momentum exchanges are however possible and scattering matrix is essentially trivial. The loop integrals are finite apart from the possible delicacies related to poles since the loop integrands for given massless wormhole contact are proportional to  $dx/x^3$  for large values of  $x$ .
4. Irrespective of whether the particles are massless or not, the divergences are obtained only if one allows too high vertices as self energy loops for which the number of momentum degrees of freedom is  $3N - 4$  for  $N$ -vertex. The construction of SUSY limit of TGD in [18] led to the conclusion that the parallelly propagating  $N$  fermions for given wormhole throat correspond to a product of  $N$  fermion propagators with same four-momentum so that for fermions and ordinary bosons one has the standard behavior but for  $N > 2$  non-standard so that these excitations are not seen as ordinary particles. Higher vertices are finite only if the total number  $N_F$  of fermions propagating in the loop satisfies  $N_F > 3N - 4$ . For instance, a 4-vertex from which  $N = 2$  states emanate is finite.

### Taking into account magnetic confinement

What has been said above is not quite enough. The weak form of electric-magnetic duality [87] leads to the picture about elementary particles as pairs of magnetic monopoles inspiring the notions of

weak confinement based on magnetic monopole force. Also color confinement would have magnetic counterpart. This means that elementary particles would behave like string like objects in weak boson length scale. Therefore one must also consider the stringy case with wormhole throats replaced with fermion- $X_{\pm}$  pairs ( $X_{\pm}$  is electromagnetically neutral and  $\pm$  refers to the sign of the weak isospin opposite to that of fermion) and their super partners.

1. The simplest assumption in the stringy case is that fermion- $X_{\pm}$  pairs behave as coherent objects, that is scatter elastically. In more general case only their higher excitations identifiable in terms of stringy degrees of freedom would be created in vertices. The massivation of these states makes possible non-collinear vertices. An open question is how the massivation fermion- $X_{\pm}$  pairs relates to the existing TGD based description of massivation in terms of Higgs mechanism and modified Dirac operator.
2. Mass renormalization could come from self energy loops with negative energy lines as also vertex normalization. By very general arguments supersymmetry implies the cancellation of the self energy loops but would allow non-trivial vertex renormalization [18].
3. If only 3-vertices are allowed, the loops containing only positive energy lines are possible if on mass shell fermion- $X_{\pm}$  pair (or its superpartner) can decay to a pair of positive energy pair particles of same kind. Whether this is possible depends on the masses involved. For ordinary particles these decays are not kinematically possible below intermediate boson mass scale (the decays  $F_1 \rightarrow F_2 + \gamma$  are forbidden kinematically or by the absence of flavor changing neutral currents whereas intermediate gauge bosons can decay to on mass shell fermion-antifermion pair).
4. The introduction of IR cutoff for 3-momentum in the rest system associated with the largest  $CD$  (causal diamond) looks natural as scale parameter of coupling constant evolution and p-adic length scale hypothesis favors the inverse of the size scale of  $CD$  coming in powers of two. This parameter would define the momentum resolution as a discrete parameter of the p-adic coupling constant evolution. This scale does not have any counterpart in standard physics. For electron,  $d$  quark, and  $u$  quark the proper time distance between the tips of  $CD$  corresponds to frequency of 10 Hz, 1280 Hz, and 160 Hz: all these frequencies define fundamental bio-rhythms [37].

These considerations have left completely untouched one important aspect of generalized Feynman diagrams: the necessity to perform a functional integral over the deformations of the partonic 2-surfaces at the ends of the lines- that is integration over WCW. Number theoretical universality requires that WCW and these integrals make sense also p-adically and in the following these aspects of generalized Feynman diagrams are discussed.

### 6.7.3 How to define integration and p-adic Fourier analysis, integral calculus, and p-adic counterparts of geometric objects?

p-Adic differential calculus exists and obeys essentially the same rules as ordinary differential calculus. The only difference from real context is the existence of p-adic pseudoconstants: any function which depends on finite number of binary digits has vanishing p-adic derivative. This implies non-determinism of p-adic differential equations. One can define p-adic integral functions using the fact that indefinite integral is the inverse of differentiation. The basis problem with the definite integrals is that p-adic numbers are not well-ordered so that the crucial ordering of the points of real axis in definite integral is not unique. Also p-adic Fourier analysis is problematic since direct counterparts of  $\exp(ix)$  and trigonometric functions are not periodic. Also  $\exp(-x)$  fails to converge exponentially since it has p-adic norm equal to 1. Note also that these functions exist only when the p-adic norm of  $x$  is smaller than 1.

The following considerations support the view that the p-adic variant of a geometric objects, integration and p-adic Fourier analysis exists but only when one considers highly symmetric geometric objects such as symmetric spaces. This is welcome news from the point of view of physics. At the level of space-time surfaces this is problematic. The field equations associated with Kähler action and modified Dirac equation make sense. Kähler action defined as integral over p-adic space-time surface fails to exist. If however the Kähler function identified as Kähler for a preferred extremal of



Kähler action is rational or algebraic function of preferred complex coordinates of WCW with rational coefficients, its p-adic continuation is expected to exist.

### Circle with rotational symmetries and its hyperbolic counterparts

Consider first circle with emphasis on symmetries and Fourier analysis.

1. In this case angle coordinate  $\phi$  is the natural coordinate. It however does not make sense as such p-adically and one must consider either trigonometric functions or the phase  $\exp(i\phi)$  instead. If one wants to do Fourier analysis on circle one must introduce roots  $U_{n,N} = \exp(in2\pi/N)$  of unity. This means discretization of the circle. Introducing all roots  $U_{n,p} = \exp(i2\pi n/p)$ , such that  $p$  divides  $N$ , one can represent all  $U_{k,n}$  up to  $n = N$ . Integration is naturally replaced with sum by using discrete Fourier analysis on circle. Note that the roots of unity can be expressed as products of powers of roots of unity  $\exp(in2\pi/p^k)$ , where  $p^k$  divides  $N$ .
2. There is a number theoretical delicacy involved. By Fermat's theorem  $a^{p-1} \bmod p = 1$  for  $a = 1, \dots, p-1$  for a given p-adic prime so that for any integer  $M$  divisible by a factor of  $p-1$  the  $M$ :th roots of unity exist as ordinary p-adic numbers. The problem disappears if these values of  $M$  are excluded from the discretization for a given value of the p-adic prime. The manner to achieve this is to assume that  $N$  contains no divisors of  $p-1$  and is consistent with the notion of finite measurement resolution. For instance,  $N = p^n$  is an especially natural choice guaranteeing this.
3. The p-adic integral defined as a Fourier sum does not reduce to a mere discretization of the real integral. In the real case the Fourier coefficients must approach to zero as the wave vector  $k = n2\pi/N$  increases. In the p-adic case the condition consistent with the notion of finite measurement resolution for angles is that the p-adic valued Fourier coefficients approach to zero as  $n$  increases. This guarantees the p-adic convergence of the discrete approximation of the integral for large values of  $N$  as  $n$  increases. The map of p-adic Fourier coefficients to real ones by canonical identification could be used to relate p-adic and real variants of the function to each other.

This finding would suggest that p-adic geometries -in particular the p-adic counterpart of  $CP_2$ , are discrete. Variables which have the character of a radial coordinate are in natural manner p-adically continuous whereas phase angles are naturally discrete and described in terms of algebraic extensions. The conclusion is disappointing since one can quite well argue that the discrete structures can be regarded as real. Is there any manner to escape this conclusion?

1. Exponential function  $\exp(ix)$  exists p-adically for  $|x|_p \leq 1/p$  but is not periodic. It provides representation of p-adic variant of circle as group  $U(1)$ . One obtains actually a hierarchy of groups  $U(1)_{p,n}$  corresponding to  $|x|_p \leq 1/p^n$ . One could consider a generalization of phases as products  $Exp_p(N, n2\pi/N + x) = \exp(in2\pi n/N)\exp(ix)$  of roots of unity and exponent functions with an imaginary exponent. This would assign to each root of unity p-adic continuum interpreted as the analog of the interval between two subsequent roots of unity at circle. The hierarchies of measurement resolutions coming as  $2\pi/p^n$  would be naturally accompanied by increasingly smaller p-adic groups  $U(1)_{p,n}$ .
2. p-Adic integration would involve summation plus possibly also an integration over each p-adic variant of discretization interval. The summation over the roots of unity implies that the integral of  $\int \exp(ix)dx$  would appear for  $n = 0$ . Whatever the value of this integral is, it is compensated by a normalization factor guaranteeing orthonormality.
3. If one interprets the p-adic coordinate as p-adic integer without the identification of points differing by a multiple of  $n$  as different points the question whether one should require p-adic continuity arises. Continuity is obtained if  $U_n(x + mp^m) = U_n(x)$  for large values of  $m$ . This is obtained if one has  $n = p^k$ . In the spherical geometry this condition is not needed and would mean quantization of angular momentum as  $L = p^k$ , which does not look natural. If representations of translation group are considered the condition is natural and conforms with the spirit of the p-adic length scale hypothesis.

The hyperbolic counterpart of circle corresponds to the orbit of point under Lorentz group in two 2-D Minkowski space. Plane waves are replaced with exponentially decaying functions of the coordinate  $\eta$  replacing phase angle. Ordinary exponent function  $\exp(x)$  has unit p-adic norm when it exists so that it is not a suitable choice. The powers  $p^n$  existing for p-adic integers however approach to zero for large values of  $x = n$ . This forces discretization of  $\eta$  or rather the hyperbolic phase as powers of  $p^x$ ,  $x = n$ . Also now one could introduce products of  $\text{Exp}_p(n \log(p) + z) = p^n \exp(x)$  to achieve a p-adic continuum. Also now the integral over the discretization interval is compensated by orthonormalization and can be forgotten. The integral of exponential function would reduce to a sum  $\int \text{Exp}_p dx = \sum_k p^k = 1/(1-p)$ . One can also introduce finite-dimensional but non-algebraic extensions of p-adic numbers allowing  $e$  and its roots  $e^{1/n}$  since  $e^p$  exists p-adically.

### Plane with translational and rotational symmetries

Consider first the situation by taking translational symmetries as a starting point. In this case Cartesian coordinates are natural and Fourier analysis based on plane waves is what one wants to define. As in the previous case, this can be done using roots of unity and one can also introduce p-adic continuum by using the p-adic variant of the exponent function. This would effectively reduce the plane to a box. As already noticed, in this case the quantization of wave vectors as multiples of  $1/p^k$  is required by continuity.

One can take also rotational symmetries as a starting point. In this case cylindrical coordinates  $(\rho, \phi)$  are natural.

1. Radial coordinate can have arbitrary values. If one wants to keep the connection  $\rho = \sqrt{x^2 + y^2}$  with the Cartesian picture square root allowing extension is natural. Also the values of radial coordinate proportional to odd power of  $p$  are problematic since one should introduce  $\sqrt{p}$ : is this extension internally consistent? Does this mean that the points  $\rho \propto p^{2n+1}$  are excluded so that the plane decomposes to annuli?
2. As already found, angular momentum eigen states can be described in terms of roots of unity and one could obtain continuum by allowing also phases defined by p-adic exponent functions.
3. In radial direction one should define the p-adic variants for the integrals of Bessel functions and they indeed might make sense by algebraic continuation if one consistently defines all functions as Fourier expansions. Delta-function renormalization causes technical problems for a continuum of radial wave vectors. One could avoid the problem by using exponentially decaying variants of Bessel function in the regions far from origin, and here the already proposed description of the hyperbolic counterparts of plane waves is suggestive.
4. One could try to understand the situation also using Cartesian coordinates. In the case of sphere this is achieved by introducing two coordinate patches with Cartesian coordinates. Pythagorean phases are rational phases (orthogonal triangles for which all sides are integer valued) and form a dense set on circle. Complex rationals (orthogonal triangles with integer valued short sides) define a more general dense subset of circle. In both cases it is difficult to imagine a discretized version of integration over angles since discretization with constant angle increment is not possible.

### The case of sphere and more general symmetric space

In the case of sphere spherical coordinates are favored by symmetry considerations. For spherical coordinates  $\sin(\theta)$  is analogous to the radial coordinate of plane. Legendre polynomials expressible as polynomials of  $\sin(\theta)$  and  $\cos(\theta)$  are expressible in terms of phases and the integration measure  $\sin^2(\theta) d\theta d\phi$  reduces the integral of  $S^2$  to summation. As before one can introduce also p-adic continuum. Algebraic cutoffs in both angular momentum  $l$  and  $m$  appear naturally. Similar cutoffs appear in the representations of quantum groups and there are good reasons to expect that these phenomena are correlated.

Exponent of Kähler function appears in the integration over configuration space. From the expression of Kähler gauge potential given by  $A_\alpha = J_\alpha^\theta \partial_\theta K$  one obtains using  $A_\alpha = \cos(\theta) \delta_{\alpha,\phi}$  and  $J_{\theta\phi} = \sin(\theta)$  the expression  $\exp(K) = \sin(\theta)$ . Hence the exponent of Kähler function is expressible in terms of spherical harmonics.

The completion of the discretized sphere to a p-adic continuum- and in fact any symmetric space- could be performed purely group theoretically.

1. Exponential map maps the elements of the Lie-algebra to elements of Lie-group. This recipe generalizes to arbitrary symmetric space  $G/H$  by using the Cartan decomposition  $g = t + h$ ,  $[h, h] \subset h, [h, t] \subset t, [t, t] \subset h$ . The exponentiation of  $t$  maps  $t$  to  $G/H$  in this case. The exponential map has a p-adic generalization obtained by considering Lie algebra with coefficients with p-adic norm smaller than one so that the p-adic exponent function exists. As a matter fact, one obtains a hierarchy of Lie-algebras corresponding to the upper bounds of the p-adic norm coming as  $p^{-k}$  and this hierarchy naturally corresponds to the hierarchy of angle resolutions coming as  $2\pi/p^k$ . By introducing finite-dimensional transcendental extensions containing roots of  $e$  one obtains also a hierarchy of p-adic Lie-algebras associated with transcendental extensions.
2. In particular, one can exponentiate the complement of the  $SO(2)$  sub-algebra of  $SO(3)$  Lie-algebra in p-adic sense to obtain a p-adic completion of the discrete sphere. Each point of the discretized sphere would correspond to a p-adic continuous variant of sphere as a symmetric space. Similar construction applies in the case of  $CP_2$ . Quite generally, a kind of fractal or holographic symmetric space is obtained from a discrete variant of the symmetric space by replacing its points with the p-adic symmetric space.
3. In the N-fold discretization of the coordinates of M-dimensional space  $t$  one  $(N-1)^M$  discretization volumes which is the number of points with non-vanishing  $t$ -coordinates. It would be nice if one could map the p-adic discretization volumes with non-vanishing  $t$ -coordinates to their positive valued real counterparts by applying canonical identification. By group invariance it is enough to show that this works for a discretization volume assignable to the origin. Since the p-adic numbers with norm smaller than one are mapped to the real unit interval, the p-adic Lie algebra is mapped to the unit cell of the discretization lattice of the real variant of  $t$ . Hence by a proper normalization this mapping is possible.

The above considerations suggest that the hierarchies of measurement resolutions coming as  $\Delta\phi = 2\pi/p^n$  are in a preferred role. One must be however cautious in order to avoid too strong assumptions. The following arguments however support this identification.

1. The vision about p-adicization characterizes finite measurement resolution for angle measurement in the most general case as  $\Delta\phi = 2\pi M/N$ , where  $M$  and  $N$  are positive integers having no common factors. The powers of the phases  $\exp(i2\pi M/N)$  define identical Fourier basis irrespective of the value of  $M$  unless one allows only the powers  $\exp(i2\pi kM/N)$  for which  $kM < N$  holds true: in the latter case the measurement resolutions with different values of  $M$  correspond to different numbers of Fourier components. Otherwise the measurement resolution is just  $\Delta\phi = 2\pi/p^n$ . If one regards  $N$  as an ordinary integer, one must have  $N = p^n$  by the p-adic continuity requirement.
2. One can also interpret  $N$  as a p-adic integer and assume that state function reduction selects one particular prime (no superposition of quantum states with different p-adic topologies). For  $N = p^n M$ , where  $M$  is not divisible by  $p$ , one can express  $1/M$  as a p-adic integer  $1/M = \sum_{k \geq 0} M_k p^k$ , which is infinite as a real integer but effectively reduces to a finite integer  $K(p) = \sum_{k=0}^{N-1} M_k p^k$ . As a root of unity the entire phase  $\exp(i2\pi M/N)$  is equivalent with  $\exp(i2\pi R/p^n)$ ,  $R = K(p)M \bmod p^n$ . The phase would non-trivial only for p-adic primes appearing as factors in  $N$ . The corresponding measurement resolution would be  $\Delta\phi = R2\pi/N$ . One could assign to a given measurement resolution all the p-adic primes appearing as factors in  $N$  so that the notion of multi-p p-adicity would make sense. One can also consider the identification of the measurement resolution as  $\Delta\phi = |N/M|_p = 2\pi/p^k$ . This interpretation is supported by the approach based on infinite primes [16].

### What about integrals over partonic 2-surfaces and space-time surface?

One can of course ask whether also the integrals over partonic 2-surfaces and space-time surface could be p-adicized by using the proposed method of discretization. Consider first the p-adic counterparts of the integrals over the partonic 2-surface  $X^2$ .

1. WCW Hamiltonians and Kähler form are expressible using flux Hamiltonians defined in terms of  $X^2$  integrals of  $JH_A$ , where  $H_A$  is  $\delta CD \times CP_2$  Hamiltonian, which is a rational function of the preferred coordinates defined by the exponentials of the coordinates of the sub-space  $t$  in the appropriate Cartan algebra decomposition. The flux factor  $J = \epsilon^{\alpha\beta} J_{\alpha\beta} \sqrt{g_2}$  is scalar and does not actually depend on the induced metric.
2. The notion of finite measurement resolution would suggest that the discretization of  $X^2$  is somehow induced by the discretization of  $\delta CD \times CP_2$ . The coordinates of  $X^2$  could be taken to be the coordinates of the projection of  $X^2$  to the sphere  $S^2$  associated with  $\delta M_{\pm}^4$  or to the homologically non-trivial geodesic sphere of  $CP_2$  so that the discretization of the integral would reduce to that for  $S^2$  and to a sum over points of  $S^2$ .
3. To obtain an algebraic number as an outcome of the summation, one must pose additional conditions guaranteeing that both  $H_A$  and  $J$  are algebraic numbers at the points of discretization (recall that roots of unity are involved). Assume for definiteness that  $S^2$  is  $r_M = \text{constant}$  sphere. If the remaining preferred coordinates are functions of the preferred  $S^2$  coordinates mapping phases to phases at discretization points, one obtains the desired outcome. These conditions are rather strong and mean that the various angles defining  $CP_2$  coordinates -at least the two cyclic angle coordinates- are integer multiples of those assignable to  $S^2$  at the points of discretization. This would be achieved if the preferred complex coordinates of  $CP_2$  are powers of the preferred complex coordinate of  $S^2$  at these points. One could say that  $X^2$  is algebraically continued from a rational surface in the discretized variant of  $\delta CD \times CP_2$ . Furthermore, if the measurement resolutions come as  $2\pi/p^n$  as p-adic continuity actually requires and if they correspond to the p-adic group  $G_{p,n}$  for which group parameters satisfy  $|t|_p \leq p^{-n}$ , one can precisely characterize how a p-adic prime characterizes the real partonic 2-surface. This would be a fulfilment of one of the oldest dreams related to the p-adic vision.

A even more ambitious dream would be that even the integral of the Kähler action for preferred extremals could be defined using a similar procedure. The conjectured slicing of Minkowskian space-time sheets by string world sheets and partonic 2-surfaces encourages these hopes.

1. One could introduce local coordinates of  $H$  at both ends of  $CD$  by introducing a continuous slicing of  $M^4 \times CP_2$  by the translates of  $\delta M_{\pm}^4 \times CP_2$  in the direction of the time-like vector connecting the tips of  $CD$ . As space-time coordinates one could select four of the eight coordinates defining this slicing. For instance, for the regions of the space-time sheet representable as maps  $M^4 \rightarrow CP_2$  one could use the preferred  $M^4$  time coordinate, the radial coordinate of  $\delta M_{\pm}^4$ , and the angle coordinates of  $r_M = \text{constant}$  sphere.
2. Kähler action density should have algebraic values and this would require the strengthening of the proposed conditions for  $X^2$  to apply to the entire slicing meaning that the discretized space-time surface is a rational surface in the discretized  $CD \times CP_2$ . If this condition applies to the entire space-time surface it would effectively mean the discretization of the classical physics to the level of finite geometries. This seems quite strong implication but is consistent with the preferred extremal property implying the generalized Bohr rules. The reduction of Kähler action to 3-dimensional boundary terms is implied by rather general arguments. In this case only the effective algebraization of the 3-surfaces at the ends of  $CD$  and of wormhole throats is needed [17]. By effective 2-dimensionality these surfaces cannot be chosen freely.
3. If Kähler function and WCW Hamiltonians are rational functions, this kind of additional conditions are not necessary. It could be that the integrals of defining Kähler action flux Hamiltonians make sense only in the intersection of real and p-adic worlds assumed to be relevant for the physics of living systems.

### Tentative conclusions

These findings suggest following conclusions.

1. Exponent functions play a key role in the proposed p-adicization. This is not an accident since exponent functions play a fundamental role in group theory and p-adic variants of real geometries

exist only under symmetries- possibly maximal possible symmetries- since otherwise the notion of Fourier analysis making possible integration does not exist. The inner product defined in terms of integration reduce for functions representable in Fourier basis to sums and can be carried out by using orthogonality conditions. Convolution involving integration reduces to a product for Fourier components. In the case of imbedding space and WCW these conditions are satisfied but for space-time surfaces this is not possible.

2. There are several manners to choose the Cartan algebra already in the case of sphere. In the case of plane one can consider either translations or rotations and this leads to different p-adic variants of plane. Also the realization of the hierarchy of Planck constants leads to the conclusion that the extended imbedding space and therefore also WCW contains sectors corresponding to different choices of quantization axes meaning that quantum measurement has a direct geometric correlate.
3. The above described 2-D examples represent symplectic geometries for which one has natural decomposition of coordinates to canonical pairs of cyclic coordinate (phase angle) and corresponding canonical conjugate coordinate. p-Adicization depends on whether the conjugate corresponds to an angle or noncompact coordinate. In both cases it is however possible to define integration. For instance, in the case of  $CP_2$  one would have two canonically conjugate pairs and one can define the p-adic counterparts of  $CP_2$  partial waves by generalizing the procedure applied to spherical harmonics. Products of functions expressible using partial waves can be decomposed by tensor product decomposition to spherical harmonics and can be integrated. In particular inner products can be defined as integrals. The Hamiltonians generating isometries are rational functions of phases: this inspires the hope that also WCW Hamiltonians also rational functions of preferred WCW coordinates and thus allow p-adic variants.
4. Discretization by introducing algebraic extensions is unavoidable in the p-adicization of geometrical objects but one can have p-adic continuum as the analog of the discretization interval and in the function basis expressible in terms of phase factors and p-adic counterparts of exponent functions. This would give a precise meaning for the p-adic counterparts of the imbedding space and WCW if the latter is a symmetric space allowing coordinatization in terms of phase angles and conjugate coordinates.
5. The intersection of p-adic and real worlds would be unique and correspond to the points defining the discretization.

#### 6.7.4 Harmonic analysis in WCW as a manner to calculate WCW functional integrals

Previous examples suggest that symmetric space property, Kähler and symplectic structure and the use of symplectic coordinates consisting of canonically conjugate pairs of phase angles and corresponding "radial" coordinates are essential for WCW integration and p-adicization. Kähler function, the components of the metric, and therefore also metric determinant and Kähler function depend on the "radial" coordinates only and the possible generalization involves the identification the counterparts of the "radial" coordinates in the case of WCW.

##### Conditions guaranteeing the reduction to harmonic analysis

The basic idea is that harmonic analysis in symmetric space allows to calculate the functional integral over WCW.

1. Each propagator line corresponds to a symmetric space defined as a coset space  $G/H$  of the symplectic group and Kac-Moody group and one might hope that the proposed p-adicization works for it- at least when one considers the hierarchy of measurement resolutions forced by the finiteness of algebraic extensions. This coset space is as a manifold Cartesian product  $(G/H) \times (G/H)$  of symmetric spaces  $G/H$  associated with ends of the line. Kähler metric contains also an interaction term between the factors of the Cartesian product so that Kähler function can be said to reduce to a sum of "kinetic" terms and interaction term.

2. Effective 2-dimensionality and ZEO allow to treat the ends of the propagator line independently. This means an enormous simplification. Each line contributes besides propagator a piece to the exponent of Kähler action identifiable as interaction term in action and depending on the propagator momentum. This contribution should be expressible in terms of generalized spherical harmonics. Essentially a sum over the products of pairs of harmonics associated with the ends of the line multiplied by coefficients analogous to  $1/(p^2 - m^2)$  in the case of the ordinary propagator would be in question. The optimal situation is that the pairs are harmonics and their conjugates appear so that one has invariance under  $G$  analogous to momentum conservation for the lines of ordinary Feynman diagrams.
3. Momentum conservation correlates the eigenvalue spectra of the modified Dirac operator  $D$  at propagator lines [31].  $G$ -invariance at vertex dictates the vertex as the singlet part of the product of WCW harmonics associated with the vertex and one sums over the harmonics for each internal line. p-Adicization means only the algebraic continuation to real formulas to p-adic context.
4. The exponent of Kähler function depends on both ends of the line and this means that the geometries at the ends are correlated in the sense that that Kähler form contains interaction terms between the line ends. It is however not quite clear whether it contains separate "kinetic" or self interaction terms assignable to the line ends. For Kähler function the kinetic and interaction terms should have the following general expressions as functions of complex WCW coordinates:

$$\begin{aligned}
 K_{kin,i} &= \sum_n f_{i,n}(Z_i) \overline{f_{i,n}(Z_i)} + c.c. , \\
 K_{int} &= \sum_n g_{1,n}(Z_1) \overline{g_{2,n}(Z_2)} + c.c. , i = 1, 2 .
 \end{aligned} \tag{6.7.2}$$

Here  $K_{kin,i}$  define "kinetic" terms and  $K_{int}$  defines interaction term. One would have what might be called holomorphic factorization suggesting a connection with conformal field theories.

Symmetric space property -that is isometry invariance- suggests that one has

$$f_{i,n} = f_{2,n} \equiv f_n , \quad g_{1,n} = g_{2,n} \equiv g_n \tag{6.7.3}$$

such that the products are invariant under the group  $H$  appearing in  $G/H$  and therefore have opposite  $H$  quantum numbers. The exponent of Kähler function does not factorize although the terms in its Taylor expansion factorize to products whose factors are products of holomorphic and antiholomorphic functions.

5. If one assumes that the exponent of Kähler function reduces to a product of eigenvalues of the modified Dirac operator eigenvalues must have the decomposition

$$\lambda_k = \prod_{i=1,2} \exp \left[ \sum_n c_{k,n} g_n(Z_i) \overline{g_n(Z_i)} + c.c. \right] \times \exp \left[ \sum_n d_{k,n} g_n(Z_1) \overline{g_n(Z_2)} + c.c. \right] \tag{6.7.4}$$

Hence also the eigenvalues coming from the Dirac propagators have also expansion in terms of  $G/H$  harmonics so that in principle WCW integration would reduce to Fourier analysis in symmetric space.

### Generalization of WCW Hamiltonians

This picture requires a generalization of the view about configuration space Hamiltonians since also the interaction term between the ends of the line is present not taken into account in the previous approach.

1. The proposed representation of WCW Hamiltonians as flux Hamiltonians [17, 31]

$$\begin{aligned} Q(H_A) &= \int H_A(1+K)Jd^2x , \\ J &= \epsilon^{\alpha\beta}J_{\alpha\beta} , \quad J^{03}\sqrt{g_4} = KJ_{12} . \end{aligned} \quad (6.7.5)$$

works for the kinetic terms only since  $J$  cannot be the same at the ends of the line. The formula defining  $K$  assumes weak form of self-duality ( $^{03}$  refers to the coordinates in the complement of  $X^2$  tangent plane in the 4-D tangent plane).  $K$  is assumed to be symplectic invariant and constant for given  $X^2$ . The condition that the flux of  $F^{03} = (\hbar/g_K)J^{03}$  defining the counterpart of Kähler electric field equals to the Kähler charge  $g_K$  gives the condition  $K = g_K^2/\hbar$ , where  $g_K$  is Kähler coupling constant. Within experimental uncertainties one has  $\alpha_K = g_K^2/4\pi\hbar_0 = \alpha_{em} \simeq 1/137$ , where  $\alpha_{em}$  is finite structure constant in electron length scale and  $\hbar_0$  is the standard value of Planck constant.

The assumption that Poisson bracket of WCW Hamiltonians reduces to the level of imbedding space - in other words  $\{Q(H_A), Q(H_B)\} = Q(\{H_A, H_B\})$  - can be justified. One starts from the representation in terms of say flux Hamiltonians  $Q(H_A)$  and defines  $J_{A,B}$  as  $J_{A,B} \equiv Q(\{H_A, H_B\})$ . One has  $\partial H_A/\partial t_B = \{H_B, H_A\}$ , where  $t_B$  is the parameter associated with the exponentiation of  $H_B$ . The inverse  $J^{AB}$  of  $J_{A,B} = \partial H_B/\partial t_A$  is expressible as  $J^{A,B} = \partial t_A/\partial H_B$ . From these formulas one can deduce by using chain rule that the bracket  $\{Q(H_A), Q(H_B)\} = \partial t_C Q(H_A) J^{CD} \partial t_D Q(H_B)$  of flux Hamiltonians equals to the flux Hamiltonian  $Q(\{H_A, H_B\})$ .

2. One should be able to assign to WCW Hamiltonians also a part corresponding to the interaction term. The symplectic conjugation associated with the interaction term permutes the WCW coordinates assignable to the ends of the line. One should reduce this apparently non-local symplectic conjugation (if one thinks the ends of line as separate objects) to a non-local symplectic conjugation for  $\delta CD \times CP_2$  by identifying the points of lower and upper end of  $CD$  related by time reflection and assuming that conjugation corresponds to time reflection. Formally this gives a well defined generalization of the local Poisson brackets between time reflected points at the boundaries of  $CD$ . The connection of Hermitian conjugation and time reflection in quantum field theories is in accordance with this picture.
3. The only manner to proceed is to assign to the flux Hamiltonian also a part obtained by the replacement of the flux integral over  $X^2$  with an integral over the projection of  $X^2$  to a sphere  $S^2$  assignable to the light-cone boundary or to a geodesic sphere of  $CP_2$ , which come as two varieties corresponding to homologically trivial and non-trivial spheres. The projection is defined as by the geodesic line orthogonal to  $S^2$  and going through the point of  $X^2$ . The hierarchy of Planck constants assigns to  $CD$  a preferred geodesic sphere of  $CP_2$  as well as a unique sphere  $S^2$  as a sphere for which the radial coordinate  $r_M$  or the light-cone boundary defined uniquely is constant: this radial coordinate corresponds to spherical coordinate in the rest system defined by the time-like vector connecting the tips of  $CD$ . Either spheres or possibly both of them could be relevant.

Recall that also the construction of number theoretic braids and symplectic QFT [31] led to the proposal that braid diagrams and symplectic triangulations could be defined in terms of projections of braid strands to one of these spheres. One could also consider a weakening for the condition that the points of the number theoretic braid are algebraic by requiring only that the  $S^2$  coordinates of the projection are algebraic and that these coordinates correspond to the discretization of  $S^2$  in terms of the phase angles associated with  $\theta$  and  $\phi$ .

This gives for the corresponding contribution of the WCW Hamiltonian the expression

$$Q(H_A)_{int} = \int_{S^2_{\pm}} H_A X \delta^2(s_+, s_-) d^2 s_{\pm} = \int_{P(X^2_+) \cap P(X^2_-)} \frac{\partial(s^1, s^2)}{\partial(x^1_{\pm}, x^2_{\pm})} d^2 x_{\pm} . \quad (6.7.6)$$

Here the Poisson brackets between ends of the line using the rules involve delta function  $\delta^2(s_+, s_-)$  at  $S^2$  and the resulting Hamiltonians can be expressed as a similar integral of  $H_{[A,B]}$  over the upper or lower end since the integral is over the intersection of  $S^2$  projections.

The expression must vanish when the induced Kähler form vanishes for either end. This is achieved by identifying the scalar  $X$  in the following manner:

$$\begin{aligned} X &= J^+_{kl} J^-_{kl} , \\ J^{\pm}_{kl} &= (1 + K_{\pm}) \partial_{\alpha} s^k \partial_{\beta} s^l J^{\alpha\beta}_{\pm} . \end{aligned} \quad (6.7.7)$$

The tensors are lifts of the induced Kähler form of  $X^2_{\pm}$  to  $S^2$  (not  $CP_2$ ).

4. One could of course ask why these Hamiltonians could not contribute also to the kinetic terms and why the brackets with flux Hamiltonians should vanish. This relate to how one *defines* the Kähler form. It was shown above that in case of flux Hamiltonians the definition of Kähler form as brackets gives the basic formula  $\{Q(H_A), Q(H_B)\} = Q(\{H_A, H_B\})$  and same should hold true now. In the recent case  $J_{A,B}$  would contain an interaction term defined in terms of flux Hamiltonians and the previous argument should go through also now by identifying Hamiltonians as sums of two contributions and by introducing the doubling of the coordinates  $t_A$ .
5. The quantization of the modified Dirac operator must be reconsidered. It would seem that one must add to the super-Hamiltonian completely analogous term obtained by replacing  $(1 + K)J$  with  $X \partial(s^1, s^2) / \partial(x^1_{\pm}, x^2_{\pm})$ . Besides the anticommutation relations defining correct anticommutators to flux Hamiltonians, one should pose anticommutation relations consistent with the anticommutation relations of super Hamiltonians. In these anticommutation relations  $(1 + K)J \delta^2(x, y)$  would be replaced with  $X \delta^2(s^+, s^-)$ . This would guarantee that the oscillator operators at the ends of the line are not independent and that the resulting Hamiltonian reduces to integral over either end for  $H_{[A,B]}$ .
6. In the case of  $CP_2$  the Hamiltonians generating isometries are rational functions. This should hold true also now so that p-adic variants of Hamiltonians as functions in WCW would make sense. This in turn would imply that the components of the WCW Kähler form are rational functions. Also the exponentiation of Hamiltonians make sense p-adically if one allows the exponents of group parameters to be functions  $Exp_p(t)$ .

### Does the expansion in terms of partial harmonics converge?

The individual terms in the partial wave expansion seem to be finite but it is not at all clear whether the expansion in powers of  $K$  actually converges.

1. In the proposed scenario one performs the expansion of the vacuum functional  $exp(K)$  in powers of  $K$  and therefore in negative powers of  $\alpha_K$ . In principle an infinite number of terms can be present. This is analogous to the perturbative expansion based on using magnetic monopoles as basic objects whereas the expansion using the contravariant Kähler metric as a propagator would be in positive powers of  $\alpha_K$  and analogous to the expansion in terms of magnetically bound states of wormhole throats with vanishing net value of magnetic charge. At this moment one can only suggest various approaches to how one could understand the situation.
2. Weak form of self-duality and magnetic confinement could change the situation. Performing the perturbation around magnetic flux tubes together with the assumed slicing of the space-time sheet by stringy world sheets and partonic 2-surfaces could mean that the perturbation corresponds to the action assignable to the electric part of Kähler form proportional to  $\alpha_K$  by



the weak self-duality. Hence by  $K = 4\pi\alpha_K$  relating Kähler electric field to Kähler magnetic field the expansion would come in powers of a term containing sum of terms proportional to  $\alpha_K^0$  and  $\alpha_K$ . This would leave to the scattering amplitudes the exponents of Kähler function at the maximum of Kähler function so that the non-analytic dependence on  $\alpha_K$  would not disappear.

A further reason to be worried about is that the expansion containing infinite number of terms proportional to  $\alpha_K^0$  could fail to converge.

1. This could be also seen as a reason for why magnetic singlets are unavoidable except perhaps for  $\hbar < \hbar_0$ . By the holomorphic factorization the powers of the interaction part of Kähler action in powers of  $1/\alpha_K$  would naturally correspond to increasing and opposite net values of the quantum numbers assignable to the WCW phase coordinates at the ends of the propagator line. The magnetic bound states could have similar expansion in powers of  $\alpha_K$  as pairs of states with arbitrarily high but opposite values of quantum numbers. In the functional integral these quantum numbers would compensate each other. The functional integral would leave only an expansion containing powers of  $\alpha_K$  starting from some finite possibly negative (unless one assumes the weak form of self-duality) power. Various gauge coupling strengths are expected to be proportional to  $\alpha_K$  and these expansions should reduce to those in powers of  $\alpha_K$ .
2. Since the number of terms in the fermionic propagator expansion is finite, one might hope on basis of super-symmetry that the same is true in the case of the functional integral expansion. By the holomorphic factorization the expansion in powers of  $K$  means the appearance of terms with increasingly higher quantum numbers. Quantum number conservation at vertices would leave only a finite number of terms to tree diagrams. In the case of loop diagrams pairs of particles with opposite and arbitrarily high values of quantum numbers could be generated at the vertex and magnetic confinement might be necessary to guarantee the convergence. Also super-symmetry could imply cancellations in loops.

### Could one do without flux Hamiltonians?

The fact that the Kähler functions associated with the propagator lines can be regarded as interaction terms inspires the question whether the Kähler function could contain only the interaction terms so that Kähler form and Kähler metric would have components only between the ends of the lines.

1. The basic objection is that flux Hamiltonians too beautiful objects to be left without any role in the theory. One could also argue that the WCW metric would not be positive definite if only the non-diagonal interaction term is present. The simplest example is Hermitian  $2 \times 2$ -matrix with vanishing diagonal for which eigenvalues are real but of opposite sign.
2. One could of course argue that the expansions of  $\exp(K)$  and  $\lambda_k$  give in the general powers  $(f_n \overline{f_n})^m$  analogous to diverging tadpole diagrams of quantum field theories due to local interaction vertices. These terms do not produce divergences now but the possibility that the exponential series of this kind of terms could diverge cannot be excluded. The absence of the kinetic terms would allow to get rid of these terms and might be argued to be the symmetric space counterpart for the vanishing of loops in WCW integral.
3. In zero energy ontology this idea does not look completely non-sensical since physical states are pairs of positive and negative energy states. Note also that in quantum theory only creation operators are used to create positive energy states. The manifest non-locality of the interaction terms and absence of the counterparts of kinetic terms would provide a trivial manner to get rid of infinities due to the presence of local interactions. The safest option is however to keep both terms.

### Summary

The discussion suggests that one must treat the entire Feynman graph as single geometric object with Kähler geometry in which the symmetric space is defined as product of what could be regarded as analogs of symmetric spaces with interaction terms of the metric coming from the propagator lines. The exponent of Kähler function would be the product of exponents associated with all lines

and contributions to lines depend on quantum numbers (momentum and color quantum numbers) propagating in line via the coupling to the modified Dirac operator. The conformal factorization would allow the reduction of integrations to Fourier analysis in symmetric space. What is of decisive importance is that the entire Feynman diagrammatics at WCW level would reduce to the construction of WCW geometry for a single propagator line as a function of quantum numbers propagating on the line.

## 6.8 General vision about real and p-adic coupling constant evolution

The unification of super-symplectic and Super Kac-Moody symmetries allows new view about p-adic aspects of the theory forcing a considerable modification and refinement of the almost decade old first picture about color coupling constant evolution.

Perhaps the most important questions about coupling constant evolution relate to the basic hypothesis about preferred role of primes  $p \simeq 2^k$ ,  $k$  an integer. Why integer values of  $k$  are favored, why prime values are even more preferred, and why Mersenne primes  $M_n = 2^n - 1$  and Gaussian Mersennes seem to be at the top of the hierarchy?

Second bundle of questions relates to the color coupling constant evolution. Do Mersenne primes really define a hierarchy of fixed points of color coupling constant evolution for a hierarchy of asymptotically non-free QCD type theories both in quark and lepton sector of the theory? How the transitions  $M_n \rightarrow M_{n(next)}$  occur? What are the space-time correlates for the coupling constant evolution and for for these transitions and how space-time description relates to the usual description in terms of parton loops? How the condition that p-adic coupling constant evolution reflects the real coupling constant evolution can be satisfied and how strong conditions it poses on the coupling constant evolution?

### 6.8.1 A general view about coupling constant evolution

#### Zero energy ontology

In zero energy ontology one replaces positive energy states with zero energy states with positive and negative energy parts of the state at the boundaries of future and past direct light-cones forming a causal diamond. All conserved quantum numbers of the positive and negative energy states are of opposite sign so that these states can be created from vacuum. "Any physical state is creatable from vacuum" becomes thus a basic principle of quantum TGD and together with the notion of quantum jump resolves several philosophical problems (What was the initial state of universe?, What are the values of conserved quantities for Universe, Is theory building completely useless if only single solution of field equations is realized?).

At the level of elementary particle physics positive and negative energy parts of zero energy state are interpreted as initial and final states of a particle reaction so that quantum states become physical events. Equivalence Principle would hold true in the sense that the classical gravitational four-momentum of the vacuum extremal whose small deformations appear as the argument of configuration space spinor field is equal to the positive energy of the positive energy part of the zero energy quantum state. Equivalence Principle is expected to hold true for elementary particles and their composites but not for the quantum states defined around non-vacuum extremals.

#### Does the finiteness of measurement resolution dictate the laws of physics?

The hypothesis that the mere finiteness of measurement resolution could determine the laws of quantum physics [31] completely belongs to the category of not at all obvious first principles. The basic observation is that the Clifford algebra spanned by the gamma matrices of the "world of classical worlds" represents a von Neumann algebra [60] known as hyperfinite factor of type  $II_1$  (HFF) [35, 33, 31]. HFF [57, 47] is an algebraic fractal having infinite hierarchy of included subalgebras isomorphic to the algebra itself [44]. The structure of HFF is closely related to several notions of modern theoretical physics such as integrable statistical physical systems [53], anyons [70], quantum groups and conformal field theories [45, 82], and knots and topological quantum field theories [53, 51].

Zero energy ontology is second key element. In zero energy ontology these inclusions allow an interpretation in terms of a finite measurement resolution: in the standard positive energy ontology this

interpretation is not possible. Inclusion hierarchy defines in a natural manner the notion of coupling constant evolution and p-adic length scale hypothesis follows as a prediction. In this framework the extremely heavy machinery of renormalized quantum field theory involving the elimination of infinities is replaced by a precisely defined mathematical framework. More concretely, the included algebra creates states which are equivalent in the measurement resolution used. Zero energy states are associated with causal diamond formed by a pair of future and past directed light-cones having positive and negative energy parts of state at their boundaries. Zero energy state can be modified in a time scale shorter than the time scale of the zero energy state itself.

One can imagine two kinds of measurement resolutions. The element of the included algebra can leave the quantum numbers of the positive and negative energy parts of the state invariant, which means that the action of subalgebra leaves M-matrix invariant. The action of the included algebra can also modify the quantum numbers of the positive and negative energy parts of the state such that the zero energy property is respected. In this case the Hermitian operators subalgebra must commute with M-matrix.

The temporal distance between the tips of light-cones corresponds to the secondary p-adic time scale  $T_{p,2} = \sqrt{p}T_p$  by a simple argument based on the observation that light-like randomness of light-like 3-surface is analogous to Brownian motion. This gives the relationship  $T_p = L_p^2/Rc$ , where  $R$  is  $CP_2$  size. The action of the included algebra corresponds to an addition of zero energy parts to either positive or negative energy part of the state and is like addition of quantum fluctuation below the time scale of the measurement resolution. The natural hierarchy of time scales is obtained as  $T_n = 2^{-n}T$  since these insertions must belong to either upper or lower half of the causal diamond. This implies that preferred p-adic primes are near powers of 2. For electron the time scale in question is .1 seconds defining the fundamental biorhythm of 10 Hz.

M-matrix representing a generalization of S-matrix and expressible as a product of a positive square root of the density matrix and unitary S-matrix would define the dynamics of quantum theory [31]. The notion of thermodynamical state would cease to be a theoretical fiction and in a well-defined sense quantum theory could be regarded as a square root of thermodynamics. The original hope was that Connes tensor product realizing mathematical the finite measurement resolution could fix M-matrix to high degree turned out to be too optimistic.

### How do p-adic coupling constant evolution and p-adic length scale hypothesis emerge?

Zero energy ontology in which zero energy states have as imbedding space correlates causal diamonds for which the distance between the tips of future and past directed light-cones are power of 2 multiples of fundamental time scale ( $T_n = 2^n T_0$ ) implies in a natural manner coupling constant evolution. One must however emphasize that also the weaker condition  $T_p = pT_0$ ,  $p$  prime, is possible, and would assign all p-adic time scales to the size scale hierarchy of  $CDs$ .

Could the coupling constant evolution in powers of 2 implying time scale hierarchy  $T_n = 2^n T_0$  induce p-adic coupling constant evolution and explain why p-adic length scales correspond to  $L_p \propto \sqrt{p}R$ ,  $p \simeq 2^k$ ,  $R$   $CP_2$  length scale? This looks attractive but there is a problem. p-Adic length scales come as powers of  $\sqrt{2}$  rather than 2 and the strongly favored values of  $k$  are primes and thus odd so that  $n = k/2$  would be half odd integer. This problem can be solved.

1. The observation that the distance traveled by a Brownian particle during time  $t$  satisfies  $r^2 = Dt$  suggests a solution to the problem. p-Adic thermodynamics applies because the partonic 3-surfaces  $X^2$  are as 2-D dynamical systems random apart from light-likeness of their orbit. For  $CP_2$  type vacuum extremals the situation reduces to that for a one-dimensional random light-like curve in  $M^4$ . The orbits of Brownian particle would now correspond to light-like geodesics  $\gamma_3$  at  $X^3$ . The projection of  $\gamma_3$  to a time=constant section  $X^2 \subset X^3$  would define the 2-D path  $\gamma_2$  of the Brownian particle. The  $M^4$  distance  $r$  between the end points of  $\gamma_2$  would be given  $r^2 = Dt$ . The favored values of  $t$  would correspond to  $T_n = 2^n T_0$  (the full light-like geodesic). p-Adic length scales would result as  $L^2(k) = DT(k) = D2^k T_0$  for  $D = R^2/T_0$ . Since only  $CP_2$  scale is available as a fundamental scale, one would have  $T_0 = R$  and  $D = R$  and  $L^2(k) = T(k)R$ .
2. p-Adic primes near powers of 2 would be in preferred position. p-Adic time scale would not relate to the p-adic length scale via  $T_p = L_p/c$  as assumed implicitly earlier but via  $T_p = L_p^2/R_0 = \sqrt{p}L_p$ , which corresponds to secondary p-adic length scale. For instance, in the case of electron with  $p = M_{127}$  one would have  $T_{127} = .1$  second which defines a fundamental biological rhythm.

Neutrinos with mass around .1 eV would correspond to  $L(169) \simeq 5 \mu\text{m}$  (size of a small cell) and  $T(169) \simeq 1. \times 10^4$  years. A deep connection between elementary particle physics and biology becomes highly suggestive.

3. In the proposed picture the p-adic prime  $p \simeq 2^k$  would characterize the thermodynamics of the random motion of light-like geodesics of  $X^3$  so that p-adic prime  $p$  would indeed be an inherent property of  $X^3$ .

### 6.8.2 Both symplectic and conformal field theories are needed in TGD framework

Before one can say anything quantitative about coupling constant evolution, one must have a formulation for its TGD counterpart and thus also a more detailed formulation for how to calculate M-matrix elements. There is also the question about infinities. By very general arguments infinities of quantum field theories are predicted to cancel in TGD Universe - basically by the non-locality of Kähler function as a functional of 3-surface and by the general properties of the vacuum functional identified as the exponent of Kähler function. The precise mechanism leading to the cancellation of infinities of local quantum field theories has remained unspecified. Only the realization that the symplectic invariance of quantum TGD provides a mechanism regulating the short distance behavior of N-point functions changed the situation in this respect. This also leads to concrete view about the generalized Feynman diagrams giving M-matrix elements and rather close resemblance with ordinary Feynman diagrammatics.

#### Symplectic invariance

Symplectic (or canonical as I have called them) symmetries of  $\delta M_+^4 \times CP_2$  (light-cone boundary briefly) act as isometries of the "world of classical worlds". One can see these symmetries as analogs of Kac-Moody type symmetries with symplectic transformations of  $S^2 \times CP_2$ , where  $S^2$  is  $r_M = \text{constant}$  sphere of lightcone boundary, made local with respect to the light-like radial coordinate  $r_M$  taking the role of complex coordinate. Thus finite-dimensional Lie group  $G$  is replaced with infinite-dimensional group of symplectic transformations. This inspires the question whether a symplectic analog of conformal field theory at  $\delta M_+^4 \times CP_2$  could be relevant for the construction of n-point functions in quantum TGD and what general properties these n-point functions would have. This section appears already in the previous chapter about symmetries of quantum TGD [23] but because the results of the section provide the first concrete construction recipe of M-matrix in zero energy ontology, it is included also in this chapter.

#### Symplectic QFT at sphere

Actually the notion of symplectic QFT emerged as I tried to understand the properties of cosmic microwave background which comes from the sphere of last scattering which corresponds roughly to the age of  $5 \times 10^5$  years [38]. In this situation vacuum extremals of Kähler action around almost unique critical Robertson-Walker cosmology imbeddable in  $M^4 \times S^2$ , where there is homologically trivial geodesic sphere of  $CP_2$ . Vacuum extremal property is satisfied for any space-time surface which is surface in  $M^4 \times Y^2$ ,  $Y^2$  a Lagrangian sub-manifold of  $CP_2$  with vanishing induced Kähler form. Symplectic transformations of  $CP_2$  and general coordinate transformations of  $M^4$  are dynamical symmetries of the vacuum extremals so that the idea of symplectic QFT emerges natural. Therefore I shall consider first symplectic QFT at the sphere  $S^2$  of last scattering with temperature fluctuation  $\Delta T/T$  proportional to the fluctuation of the metric component  $g_{aa}$  in Robertson-Walker coordinates.

1. In quantum TGD the symplectic transformation of the light-cone boundary would induce action in the "world of classical worlds" (light-like 3-surfaces). In the recent situation it is convenient to regard perturbations of  $CP_2$  coordinates as fields at the sphere of last scattering (call it  $S^2$ ) so that symplectic transformations of  $CP_2$  would act in the field space whereas those of  $S^2$  would act in the coordinate space just like conformal transformations. The deformation of the metric would be a symplectic field in  $S^2$ . The symplectic dimension would be induced by the tensor properties of R-W metric in R-W coordinates: every  $S^2$  coordinate index would correspond to one unit of symplectic dimension. The symplectic invariance in  $CP_2$  degrees of freedom is

guaranteed if the integration measure over the vacuum deformations is symplectic invariant. This symmetry does not play any role in the sequel.

2. For a symplectic scalar field  $n \geq 3$ -point functions with a vanishing anomalous dimension would be functions of the symplectic invariants defined by the areas of geodesic polygons defined by subsets of the arguments as points of  $S^2$ . Since  $n$ -polygon can be constructed from 3-polygons these invariants can be expressed as sums of the areas of 3-polygons expressible in terms of symplectic form.  $n$ -point functions would be constant if arguments are along geodesic circle since the areas of all sub-polygons would vanish in this case. The decomposition of  $n$ -polygon to 3-polygons brings in mind the decomposition of the  $n$ -point function of conformal field theory to products of 2-point functions by using the fusion algebra of conformal fields (very symbolically  $\Phi_k \Phi_l = c_{kl}^m \Phi_m$ ). This intuition seems to be correct.
3. Fusion rules stating the associativity of the products of fields at different points should generalize. In the recent case it is natural to assume a non-local form of fusion rules given in the case of symplectic scalars by the equation

$$\Phi_k(s_1)\Phi_l(s_2) = \int c_{kl}^m f(A(s_1, s_2, s_3))\Phi_m(s)d\mu_s . \quad (6.8.1)$$

Here the coefficients  $c_{kl}^m$  are constants and  $A(s_1, s_2, s_3)$  is the area of the geodesic triangle of  $S^2$  defined by the symplectic measure and integration is over  $S^2$  with symplectically invariant measure  $d\mu_s$  defined by symplectic form of  $S^2$ . Fusion rules pose powerful conditions on  $n$ -point functions and one can hope that the coefficients are fixed completely.

4. The application of fusion rules gives at the last step an expectation value of 1-point function of the product of the fields involves unit operator term  $\int c_{kl} f(A(s_1, s_2, s))Idd\mu_s$  so that one has

$$\langle \Phi_k(s_1)\Phi_l(s_2) \rangle = \int c_{kl} f(A(s_1, s_2, s))d\mu_s . \quad (6.8.2)$$

Hence 2-point function is average of a 3-point function over the third argument. The absence of non-trivial symplectic invariants for 1-point function means that  $n = 1$ - an are constant, most naturally vanishing, unless some kind of spontaneous symmetry breaking occurs. Since the function  $f(A(s_1, s_2, s_3))$  is arbitrary, 2-point correlation function can have both signs. 2-point correlation function is invariant under rotations and reflections.

### Symplectic QFT with spontaneous breaking of rotational and reflection symmetries

CMB data suggest breaking of rotational and reflection symmetries of  $S^2$ . A possible mechanism of spontaneous symmetry breaking is based on the observation that in TGD framework the hierarchy of Planck constants assigns to each sector of the generalized imbedding space a preferred quantization axes. The selection of the quantization axis is coded also to the geometry of "world of classical worlds", and to the quantum fluctuations of the metric in particular. Clearly, symplectic QFT with spontaneous symmetry breaking would provide the sought-for really deep reason for the quantization of Planck constant in the proposed manner.

1. The coding of angular momentum quantization axis to the generalized imbedding space geometry allows to select South and North poles as preferred points of  $S^2$ . To the three arguments  $s_1, s_2, s_3$  of the 3-point function one can assign two squares with the added point being either North or South pole. The difference

$$\Delta A(s_1, s_2, s_3) \equiv A(s_1, s_2, s_3, N) - A(s_1, s_2, s_3, S) \quad (6.8.3)$$

of the corresponding areas defines a simple symplectic invariant breaking the reflection symmetry with respect to the equatorial plane. Note that  $\Delta A$  vanishes if arguments lie along a geodesic line or if any two arguments co-incide. Quite generally, symplectic QFT differs from conformal QFT in that correlation functions do not possess singularities.

2. The reduction to 2-point correlation function gives a consistency conditions on the 3-point functions

$$\begin{aligned} \langle (\Phi_k(s_1)\Phi_l(s_2))\Phi_m(s_3) \rangle &= c_{kl}^r \int f(\Delta A(s_1, s_2, s)) \langle \Phi_r(s)\Phi_m(s_3) \rangle d\mu_s \\ &= \end{aligned} \quad (6.8.4)$$

$$c_{kl}^r c_{rm} \int f(\Delta A(s_1, s_2, s)) f(\Delta A(s, s_3, t)) d\mu_s d\mu_t . \quad (6.8.5)$$

Associativity requires that this expression equals to  $\langle \Phi_k(s_1)(\Phi_l(s_2)\Phi_m(s_3)) \rangle$  and this gives additional conditions. Associativity conditions apply to  $f(\Delta A)$  and could fix it highly uniquely.

3. 2-point correlation function would be given by

$$\langle \Phi_k(s_1)\Phi_l(s_2) \rangle = c_{kl} \int f(\Delta A(s_1, s_2, s)) d\mu_s \quad (6.8.6)$$

4. There is a clear difference between  $n > 3$  and  $n = 3$  cases: for  $n > 3$  also non-convex polygons are possible: this means that the interior angle associated with some vertices of the polygon is larger than  $\pi$ .  $n = 4$  theory is certainly well-defined, but one can argue that so are also  $n > 4$  theories and skeptic would argue that this leads to an inflation of theories. TGD however allows only finite number of preferred points and fusion rules could eliminate the hierarchy of theories.
5. To sum up, the general predictions are following. Quite generally, for  $f(0) = 0$  n-point correlation functions vanish if any two arguments co-incide which conforms with the spectrum of temperature fluctuations. It also implies that symplectic QFT is free of the usual singularities. For symmetry breaking scenario 3-point functions and thus also 2-point functions vanish also if  $s_1$  and  $s_2$  are at equator. All these are testable predictions using ensemble of CMB spectra.

### Generalization to quantum TGD

Since number theoretic braids are the basic objects of quantum TGD, one can hope that the n-point functions assignable to them could code the properties of ground states and that one could separate from n-point functions the parts which correspond to the symplectic degrees of freedom acting as symmetries of vacuum extremals and isometries of the 'world of classical worlds'.

1. This approach indeed seems to generalize also to quantum TGD proper and the n-point functions associated with partonic 2-surfaces can be decomposed in such a manner that one obtains coefficients which are symplectic invariants associated with both  $S^2$  and  $CP_2$  Kähler form.
2. Fusion rules imply that the gauge fluxes of respective Kähler forms over geodesic triangles associated with the  $S^2$  and  $CP_2$  projections of the arguments of 3-point function serve basic building blocks of the correlation functions. The North and South poles of  $S^2$  and three poles of  $CP_2$  can be used to construct symmetry breaking n-point functions as symplectic invariants. Non-trivial 1-point functions vanish also now.
3. The important implication is that n-point functions vanish when some of the arguments co-incide. This might play a crucial role in taming of the singularities: the basic general prediction of TGD is that standard infinities of local field theories should be absent and this mechanism might realize this expectation.

Next some more technical but elementary first guesses about what might be involved.

1. It is natural to introduce the moduli space for n-tuples of points of the symplectic manifold as the space of symplectic equivalence classes of n-tuples. In the case of sphere  $S^2$  convex n-polygon allows  $n + 1$  3-sub-polygons and the areas of these provide symplectically invariant coordinates for the moduli space of symplectic equivalence classes of n-polygons ( $2^n$ -D space of polygons is reduced to  $n + 1$ -D space). For non-convex polygons the number of 3-sub-polygons is reduced so that they seem to correspond to lower-dimensional sub-space. In the case of  $CP_2$  n-polygon allows besides the areas of 3-polygons also 4-volumes of 5-polygons as fundamental symplectic invariants. The number of independent 5-polygons for n-polygon can be obtained by using induction: once the numbers  $N(k, n)$  of independent  $k \leq n$ -simplices are known for n-simplex, the numbers of  $k \leq n+1$ -simplices for  $n+1$ -polygon are obtained by adding one vertex so that by little visual gymnastics the numbers  $N(k, n+1)$  are given by  $N(k, n+1) = N(k-1, n) + N(k, n)$ . In the case of  $CP_2$  the allowance of 3 analogs  $\{N, S, T\}$  of North and South poles of  $S^2$  means that besides the areas of polygons  $(s_1, s_2, s_3)$ ,  $(s_1, s_2, s_3, X)$ ,  $(s_1, s_2, s_3, X, Y)$ , and  $(s_1, s_2, s_3, N, S, T)$  also the 4-volumes of 5-polygons  $(s_1, s_2, s_3, X, Y)$ , and of 6-polygon  $(s_1, s_2, s_3, N, S, T)$ ,  $X, Y \in \{N, S, T\}$  can appear as additional arguments in the definition of 3-point function.

2. What one really means with symplectic tensor is not clear since the naive first guess for the n-point function of tensor fields is not manifestly general coordinate invariant. For instance, in the model of CMB, the components of the metric deformation involving  $S^2$  indices would be symplectic tensors. Tensorial n-point functions could be reduced to those for scalars obtained as inner products of tensors with Killing vector fields of  $SO(3)$  at  $S^2$ . Again a preferred choice of quantization axis would be introduced and special points would correspond to the singularities of the Killing vector fields.

The decomposition of Hamiltonians of the "world of classical worlds" expressible in terms of Hamiltonians of  $S^2 \times CP_2$  to irreps of  $SO(3)$  and  $SU(3)$  could define the notion of symplectic tensor as the analog of spherical harmonic at the level of configuration space. Spin and gluon color would have natural interpretation as symplectic spin and color. The infinitesimal action of various Hamiltonians on n-point functions defined by Hamiltonians and their super counterparts is well-defined and group theoretical arguments allow to deduce general form of n-point functions in terms of symplectic invariants.

3. The need to unify p-adic and real physics by requiring them to be completions of rational physics, and the notion of finite measurement resolution suggest that discretization of also fusion algebra is necessary. The set of points appearing as arguments of n-point functions could be finite in a given resolution so that the p-adically troublesome integrals in the formulas for the fusion rules would be replaced with sums. Perhaps rational/algebraic variants of  $S^2 \times CP_2 = SO(3)/SO(2) \times SU(3)/U(2)$  obtained by replacing these groups with their rational/algebraic variants are involved. Tetrahedra, octahedra, and dodecahedra suggest themselves as simplest candidates for these discretized spaces. Also the symplectic moduli space would be discretized to contain only n-tuples for which the symplectic invariants are numbers in the allowed algebraic extension of rationals. This would provide an abstract looking but actually very concrete operational approach to the discretization involving only areas of n-tuples as internal coordinates of symplectic equivalence classes of n-tuples. The best that one could achieve would be a formulation involving nothing below measurement resolution.
4. This picture based on elementary geometry might make sense also in the case of conformal symmetries. The angles associated with the vertices of the  $S^2$  projection of n-polygon could define conformal invariants appearing in n-point functions and the algebraization of the corresponding phases would be an operational manner to introduce the space-time correlates for the roots of unity introduced at quantum level. In  $CP_2$  degrees of freedom the projections of n-tuples to the homologically trivial geodesic sphere  $S^2$  associated with the particular sector of  $CH$  would allow to define similar conformal invariants. This framework gives dimensionless areas (unit sphere is considered). p-Adic length scale hypothesis and hierarchy of Planck constants would bring in the fundamental units of length and time in terms of  $CP_2$  length.

The recent view about M-matrix described in [31] is something almost unique determined by Connes tensor product providing a formal realization for the statement that complex rays of state

space are replaced with  $\mathcal{N}$  rays where  $\mathcal{N}$  defines the hyper-finite sub-factor of type  $II_1$  defining the measurement resolution.  $M$ -matrix defines time-like entanglement coefficients between positive and negative energy parts of the zero energy state and need not be unitary. It is identified as square root of density matrix with real expressible as product of real and positive square root and unitary  $S$ -matrix. This  $S$ -matrix is what is measured in laboratory. There is also a general vision about how vertices are realized: they correspond to light-like partonic 3-surfaces obtained by gluing incoming and outgoing partonic 3-surfaces along their ends together just like lines of Feynman diagrams. Note that in string models string world sheets are non-singular as 2-manifolds whereas 1-dimensional vertices are singular as 1-manifolds. These ingredients we should be able to fuse together. So we try once again!

1. *Iteration* starting from vertices and propagators is the basic approach in the construction of  $n$ -point function in standard QFT. This approach does not work in quantum TGD. Symplectic and conformal field theories suggest that *recursion* replaces iteration in the construction. One starts from an  $n$ -point function and reduces it step by step to a vacuum expectation value of a 2-point function using fusion rules. Associativity becomes the fundamental dynamical principle in this process. Associativity in the sense of classical number fields has already shown its power and led to a hyper-octonionic formulation of quantum TGD promising a unification of various visions about quantum TGD [19].
2. Let us start from the representation of a zero energy state in terms of a causal diamond defined by future and past directed light-cones. Zero energy state corresponds to a quantum superposition of light-like partonic 3-surfaces each of them representing possible particle reaction. These 3-surfaces are very much like generalized Feynman diagrams with lines replaced by light-like 3-surfaces coming from the upper and lower light-cone boundaries and glued together along their ends at smooth 2-dimensional surfaces defining the generalized vertices.
3. It must be emphasized that the generalization of ordinary Feynman diagrammatics arises and conformal and symplectic QFTs appear only in the calculation of single generalized Feynman diagram. Therefore one could still worry about loop corrections. The fact that no integration over loop momenta is involved and there is always finite cutoff due to discretization together with recursive instead of iterative approach gives however good hopes that everything works. Note that this picture is in conflict with one of the earlier approaches based on positive energy ontology in which the hope was that only single generalized Feynman diagram could define the  $U$ -matrix thought to correspond to physical  $S$ -matrix at that time.
4. One can actually simplify things by identifying generalized Feynman diagrams as maxima of Kähler function with functional integration carried over perturbations around it. Thus one would have conformal field theory in both fermionic and configuration space degrees of freedom. The light-like time coordinate along light-like 3-surface is analogous to the complex coordinate of conformal field theories restricted to some curve. If it is possible continue the light-like time coordinate to a hyper-complex coordinate in the interior of 4-D space-time sheet, the correspondence with conformal field theories becomes rather concrete. Same applies to the light-like radial coordinates associated with the light-cone boundaries. At light-cone boundaries one can apply fusion rules of a symplectic QFT to the remaining coordinates. Conformal fusion rules are applied only to point pairs which are at different ends of the partonic surface and there are no conformal singularities since arguments of  $n$ -point functions do not co-incide. By applying the conformal and symplectic fusion rules one can eventually reduce the  $n$ -point function defined by the various fermionic and bosonic operators appearing at the ends of the generalized Feynman diagram to something calculable.
5. Finite measurement resolution defining the Connes tensor product is realized by the discretization applied to the choice of the arguments of  $n$ -point functions so that discretion is not only a space-time correlate of finite resolution but actually defines it. No explicit realization of the measurement resolution algebra  $\mathcal{N}$  seems to be needed. Everything should boil down to the fusion rules and integration measure over different 3-surfaces defined by exponent of Kähler function and by imaginary exponent of Chern-Simons action. The continuation of the configuration space Clifford algebra for 3-surfaces with cm degrees of freedom fixed to a hyper-octonionic variant of gamma matrix field of super-string models defined in  $M^8$  (hyper-octonionic space) and



$M^8 \leftrightarrow M^4 \times CP_2$  duality leads to a unique choice of the points, which can contribute to n-point functions as intersection of  $M^4$  subspace of  $M^8$  with the counterparts of partonic 2-surfaces at the boundaries of light-cones of  $M^8$ . Therefore there are hopes that the resulting theory is highly unique. Symplectic fusion algebra reduces to a finite algebra for each space-time surface if this picture is correct.

6. Consider next some of the details of how the light-like 3-surface codes for the fusion rules associated with it. The intermediate partonic 2- surfaces must be involved since otherwise the construction would carry no information about the properties of the light-like 3-surface, and one would not obtain perturbation series in terms of the relevant coupling constants. The natural assumption is that partonic 2-surfaces belong to future/past directed light-cone boundary depending on whether they are on lower/upper half of the causal diamond. Hyper-octonionic conformal field approach fixes the  $n_{int}$  points at intermediate partonic two-sphere for a given light-like 3-surface representing generalized Feynman diagram, and this means that the contribution is just  $N$ -point function with  $N = n_{out} + n_{int} + n_{in}$  calculable by the basic fusion rules. Coupling constant strengths would emerge through the fusion coefficients, and at least in the case of gauge interactions they must be proportional to Kähler coupling strength since n-point functions are obtained by averaging over small deformations with vacuum functional given by the exponent of Kähler function. The first guess is that one can identify the spheres  $S^2 \subset \delta M_{\pm}^4$  associated with initial, final and, and intermediate states so that symplectic n-points functions could be calculated using single sphere.

These findings raise the hope that quantum TGD is indeed a solvable theory. The coupling constant evolution is based on the same mechanism as in QFT and symplectic invariance replaces ad hoc UV cutoff with a genuine dynamical regulation mechanism. Causal diamond itself defines the physical IR cutoff. p-Adic and real coupling constant evolutions reflect the underlying evolution in powers of two for the temporal distance between the tips of the light-cones of the causal diamond and the association of macroscopic time scale as secondary p-adic time scale to elementary particles (.1 seconds for electron) serves as a first test for the picture. Even if one is not willing to swallow any bit of TGD, the classification of the symplectic QFTs remains a fascinating mathematical challenge in itself. A further challenge is the fusion of conformal QFT and symplectic QFT in the construction of n-point functions. One might hope that conformal and symplectic fusion rules could be treated independently.

### More detailed view about the construction of M-matrix elements

After three decades there are excellent hopes of building an explicit recipe for constructing M-matrix elements but the devil is in the details.

#### 1. Elimination of infinities and coupling constant evolution

The elimination of infinities would follow from the symplectic QFT part of the theory. The symplectic contribution to n-point functions vanishes when two arguments co-incide. The UV cancellation mechanism has nothing to do with the finite measurement resolution which corresponds to the size of the causal diamonds inside which the space-time sheets representing radiative corrections are. There is also IR cutoff due to the presence of largest causal diamond.

One can decompose the radiative corrections two two types. First kind of corrections appear both at the level of positive/and negative energy parts of zero energy states. Second kind of corrections appear at the level of interactions between them. This decomposition is standard in quantum field theories and corresponds to the renormalization constants of fields *resp.* renormalization of coupling constants. The corrections due to the increase of measurement resolution in time comes as very specific corrections to positive and negative energy states involving gluing of smaller causal diamonds to the upper and lower boundaries of causal diamonds along any radial light-like ray. The radiative corrections correspond to the interactions correspond to the addition of smaller causal diamonds in the interior of the larger causal diamond. Scales for the corrections come as scalings in powers of 2 rather than as continuous scaling of measurement resolution.

#### 2. Conformal symmetries

The basic questions are the following ones. How hyper-octonionic/-quaternionic/-complex super-conformal symmetry relates to the super-symplectic conformal symmetry at the imbedding space level and the super Kac-Moody symmetry associated with the light-like 3-surfaces? How do the dual  $HO = M^8$  and  $H = M^4 \times CP_2$  descriptions (number theoretic compactification) relate?

Concerning the understanding of these issues, the earlier construction of physical states poses strong constraints [23].

1. The state construction utilizes both super-symplectic and super Kac-Moody algebras. super-symplectic algebra has negative conformal weights and creates tachyonic ground states from which Super Kac-Moody algebra generates states with non-negative conformal weight determining the mass squared value of the state. The commutator of these two algebras annihilates the physical states. This requires that both super conformal algebras must allow continuation to hyper-octonionic algebras, which are independent.
2. The light-like radial coordinate at  $\delta M_{\pm}^4$  can be continued to a hyper-complex coordinate in  $M_{\pm}^2$  defined the preferred commutative plane of non-physical polarizations, and also to a hyper-quaternionic coordinate in  $M_{\pm}^4$ . Hence it would seem that super-symplectic algebra can be continued to an algebra in  $M_{\pm}^2$  or perhaps in the entire  $M_{\pm}^4$ . This would allow to continue also the operators  $G$ ,  $L$  and other super-symplectic operators to operators in hyper-quaternionic  $M_{\pm}^4$  needed in stringy perturbation theory.
3. Also the super KM algebra associated with the light-like 3-surfaces should be continueable to hyper-quaternionic  $M_{\pm}^4$ . Here  $HO - H$  duality comes in rescue. It requires that the preferred hyper-complex plane  $M^2$  is contained in the tangent plane of the space-time sheet at each point, in particular at light-like 3-surfaces. We already know that this allows to assign a unique space-time surface to a given collection of light-like 3-surfaces as hyper-quaternionic 4-surface of  $HO$  hypothesized to correspond to (an obviously preferred) extremal of Kähler action. An equally important implication is that the light-like coordinate of  $X^3$  can be continued to hyper-complex coordinate  $M^2$  coordinate and thus also to hyperquaternionic  $M^4$  coordinate.
4. The four-momentum appears in super generators  $G_n$  and  $L_n$ . It seems that the formal Fourier transform of four-momentum components to gradient operators to  $M_{\pm}^4$  is needed and defines these operators as particular elements of the CH Clifford algebra elements extended to fields in imbedding space.

### 3. What about stringy perturbation theory?

The analog of stringy perturbation theory does not seem only a highly attractive but also an unavoidable outcome since a generalization of massless fermionic propagator is needed. The inverse for the sum of super Kac-Moody and super-symplectic super-Virasoro generators  $G$  ( $L$ ) extended to an operator acting on the difference of the  $M^4$  coordinates of the end points of the propagator line connecting two partonic 2-surfaces should appear as fermionic (bosonic) propagator in stringy perturbation theory. Virasoro conditions imply that only  $G_0$  and  $L_0$  appear as propagators. Momentum eigenstates are not strictly speaking possible since discretization is present due to the finite measurement resolution. One can however represent these states using Fourier transform as a superposition of momentum eigenstates so that standard formalism can be applied.

Symplectic QFT gives an additional multiplicative contribution to n-point functions and there would be also braiding S-matrices involved with the propagator lines in the case that partonic 2-surface carries more than 1 point. This leaves still modular degrees of freedom of the partonic 2-surfaces describable in terms of elementary particle vacuum functionals and the proper treatment of these degrees of freedom remains a challenge.

### 4. What about non-hermiticity of the CH super-generators carrying fermion number?

TGD represents also a rather special challenge, which actually represents the fundamental difference between quantum TGD and super string models. The assignment of fermion number to CH gamma matrices and thus also to the super-generator  $G$  is unavoidable. Also  $M^4$  and  $H$  gamma matrices carry fermion number. This has been a long-standing interpretational problem in quantum TGD and I have been even ready to give up the interpretation of four-momentum operator appearing

in  $G_n$  and  $L_n$  as actual four-momenta. The manner to get rid of this problem would be the assumption of Majorana property but this would force to give up the interpretation of different imbedding space chiralities in terms of conserved lepton and quark numbers and would also lead to super-string theory with critical dimension 10 or 11. A further problem is how to obtain amplitudes which respect fermion number conservation using string perturbation theory if  $1/G = G^\dagger/L_0$  carries fermion number.

The recent picture does not leave many choices so that I was forced to face the truth and see how everything falls down to this single nasty detail! It became as a total surprise that gamma matrices carrying fermion number do not cause any difficulties in zero energy ontology and make sense even in the ordinary Feynman diagrammatics.

1. Non-hermiticity of  $G$  means that the center of mass terms  $CH$  gamma matrices must be distinguished from their Hermitian conjugates. In particular, one has  $\gamma_0 \neq \gamma_0^{agger}$ . One can interpret the fermion number carrying  $M^4$  gamma matrices of the complexified quaternion space.
2. One might think that  $M^4 \times CP_2$  gamma matrices carrying fermion number is a catastrophe but this is not the case in massless theory. Massless momentum eigen states can be created by the operator  $p^k \gamma_k^\dagger$  from a vacuum annihilated by gamma matrices and satisfying massless Dirac equation. The conserved fermion number defined by the integral of  $\bar{\Psi} \gamma^0 \Psi$  over 3-space gives just its standard value. A further experimentation shows that Feynman diagrams with non-hermitian gamma matrices give just the standard results since fermionic propagator and boson-emission vertices give compensating fermion numbers.
3. If the theory would contain massive fermions or a coupling to a scalar Higgs, a catastrophe would result. Hence ordinary Higgs mechanism is not possible in this framework. Of course, also the quantization of fermions is totally different. In TGD fermion mass is not a scalar in  $H$ . Part of it is given by  $CP_2$  Dirac operator, part by p-adic thermodynamics for  $L_0$ , and part by Higgs field which behaves like vector field in  $CP_2$  degrees of freedom, so that the catastrophe is avoided.
4. In zero energy ontology zero energy states are characterized by M-matrix elements constructed by applying the combination of stringy and symplectic Feynman rules and fermionic propagator is replaced with its super-conformal generalization reducing to an ordinary fermionic propagator for massless states. The norm of a single fermion state is given by a propagator connecting positive energy state and its conjugate with the propagator  $G_0/L_0$  and the standard value of the norm is obtained by using Dirac equation and the fact that Dirac operator appears also in  $G_0$ .
5. The hermiticity of super-generators  $G$  would require Majorana property and one would end up with superstring theory with critical dimension  $D = 10$  or  $D = 11$  for the imbedding space. Hence the new interpretation of gamma matrices, proposed already years ago, has very profound consequences and convincingly demonstrates that TGD approach is indeed internally consistent.

In this framework coupling constant evolution would have interpretation in terms of addition of intermediate zero energy states corresponding to the generalized Feynman diagrams obtained by the insertion of causal diamonds with a new shorter time scale  $T = T_{prev}/2$  to the previous Feynman diagram. p-Adic length scale hypothesis follows naturally. A very close correspondence with ordinary Feynman diagrammatics arises and ordinary vision about coupling constant evolutions arises. The absence of infinities follows from the symplectic invariance which is genuinely new element. p-Adic and real coupling constant evolutions can be seen as completions of coupling constant evolutions for physics based on rationals and their algebraic extensions.

## 6.9 The recent view about p-adic coupling constant evolution

One of the basic problems of quantum TGD is the understanding of p-adic coupling constant evolution. This evolution is discrete by p-adic length scale hypothesis justified by zero energy ontology. Discreteness means that continuous mass scale is replaced by mass scales coming as half octaves of  $CP_2$  mass. One key question has been whether it is Kähler coupling strength  $\alpha_K$  or gravitational

coupling constant, which remains invariant under p-adic coupling constant evolution. Second problem relates to the value of  $\alpha_K$ .

The realization that modified Dirac action assignable to Chern-Simons action for light-like 3-surfaces could be the fundamental variational principle initiated the process, which led to an answer to these and many other questions. The idea that some kind of Dirac determinant gives the vacuum functional identifiable as exponent of Kähler function in turn identifiable as Kähler action  $S_K$  for a preferred extremal came first. The basic challenges were to understand the conditions fixing this preferred extremal, how this information is feeded to the spectrum of generalized eigenvalues of the modified Dirac operator defined by C-S action, and how to define the Dirac determinant. A precise realization of the idea that light-like 3-surfaces can be regarded as spinorial shock waves provided a solution to these problems.

The most important outcome is a formula for Kähler coupling strength in terms of a calculable and manifestly finite Dirac determinant without any need for zeta function regularization. The formula fixes completely the number theoretic anatomy of Kähler coupling strength and of other gauge coupling strengths. When the formula for the gravitational constant involving Kähler coupling strength and the exponent of Kähler action for  $CP_2$  type vacuum extremal - which remains still a conjecture - is combined with the number theoretical results and with the constraints from the predictions of p-adic mass calculations, one ends up to an identification of Kähler coupling strength as fine structure constant at electron length scale characterized by p-adic prime  $M_{127}$ . Also the number theoretic anatomy of the ratio  $R^2/\hbar G$ , where  $R$  is  $CP_2$  size, can be understood to high degree and a relationship between the p-adic evolutions of electromagnetic and color coupling strengths emerges.

### 6.9.1 The bosonic action defining Kähler function as the effective action associated with the induced spinor fields

One could *define* the classical action defining Kähler function as the bosonic action giving rise to the divergences of the isometry currents. In this manner bosonic action, especially the value of the Kähler coupling strength, would come out as prediction of the theory containing no free parameters.

Thus the Kähler action  $S_B$  of preferred extremal of Kähler action defining Kähler function could be *defined* by the functional integral over the Grassmann variables for the exponent of the massless Dirac action. Formally the functional integral is defined as

$$\begin{aligned} \exp(S_B(X^4)) &= \int \exp(S_F) D\Psi D\bar{\Psi} , \\ S_F &= \bar{\Psi} \left[ \hat{\Gamma}^\alpha D_\alpha^\rightarrow - D_\alpha^\leftarrow \hat{\Gamma}^\alpha \right] \Psi \sqrt{g} . \end{aligned} \tag{6.9.1}$$

Formally the bosonic effective action is expressible as a logarithm of the fermionic functional determinant resulting from the functional integral over the Grassmann variables

$$\begin{aligned} S_B(X^4) &= \log(\det(D)) , \\ D &= \hat{\Gamma}^\alpha D_\alpha^\rightarrow . \end{aligned} \tag{6.9.2}$$

#### Can one do without zeta function regularization?

The rigorous definition of the fermionic determinant has been already discussed in [31]. The best one hope that the formal definition of the determinant as the the product of the generalized eigenvalues of  $D_{C-S}$  works as such. This is the case if the number of eigenvalues is finite; if the eigenvalues approach to constant which can be chosen to be equal to unity; or if the eigenvalues have approximate symmetry  $\lambda \rightarrow 1/\lambda$ .

1. Somewhat surprisingly the detailed construction of the eigenvalue spectrum discussed in [31] shows that the number of eigenvalues is indeed finite and that eigenvalues are bounded from above. The basic idea of the construction is following. The eigenvalues correspond to the generalized eigenvalues of the modified Dirac operator  $D_{C-S}$  for Chern-Simons action at  $X_7^3$ .

The modified Dirac equation for  $D_{C-S}$  does not however fix the eigenvalues but allows them to be arbitrary functions of the transversal coordinates of  $X_l^3$ . Therefore the data about preferred extremal of Kähler action can be feeded to the eigenvalue spectrum by assuming that spinor modes at  $X_l^3$  can be also regarded as spinorial shock waves in the sense that they correspond to singular solutions of 4-D modified Dirac operator  $D_K$  assignable to Kähler action.

2. Since modified Dirac equation for  $D_K$  is equivalent with the conservation of super current, the shock wave property means that the super current is restricted to  $X_l^3$  and thus has a vanishing normal component. In the case of wormhole throats the construction requires boundary conditions stating that there exist coordinates in which  $J_{ni} = 0$  and  $g_{ni} = 0$  at  $X_l^3$  [31]. Therefore classical gravitational field is effectively static at  $X_l^3$  and the Maxwell field defined by the induced Kähler form has only the magnetic part in these coordinates.
3. The generalized eigenvalues of  $D_{C-S}$  appearing in Dirac determinant can be identified as eigenvalues of the transversal part of 3-D Dirac operator defined by the restriction of  $D_K$  to  $X_l^3$  describing fermions in the electro-weak magnetic field associated with  $X_l^3$ . The physical analog is energy spectrum for Dirac operator in external magnetic field. The effective metric appearing in the modified Dirac operator corresponds to

$$\hat{g}^{\alpha\beta} = \frac{\partial L_K}{\partial h_\alpha^k} \frac{\partial L_K}{\partial h_\beta^l} h_{kl} \ ,$$

and vanishes at the boundaries of regions carrying non-vanishing Kähler magnetic field. Hence the shock waves must be localized to regions  $X_{l,i}^3$  containing a non-vanishing Kähler magnetic field. Cyclotron states in constant magnetic field serve as a good analog for the situation and only a finite number of cyclotron states are possible since for higher cyclotron states the wave function -essentially harmonic oscillator wave function- would concentrate outside  $X_{l,i}^3$ .

4. A more precise argument goes as follows. Assume that it is induced Kähler magnetic field  $B_K$  that matters. The vanishing of the effective contravariant metric near the boundary of  $X_{l,i}^3$  corresponds to an infinite effective mass for massive particle in constant magnetic field so that the counterpart for the cyclotron frequency scale  $eB/m$  reduces to zero. The radius of the cyclotron orbit is proportional to  $1/\sqrt{eB}$  and approaches to infinity. Hence the required localization is not possible only for cyclotron states for which the cyclotron radius is below that the transversal size scale of  $X_{l,i}^3$ .
5. The eigenvalues of the modified Dirac operator vanish for the vacuum extremals but the Dirac determinant equals to one in this case since zero eigenvalues do not correspond to localized solutions and by definition do not contribute to it.

### Zeta function regularization

In the more general case regularization is needed. The sum over the logarithms of the eigen values in turn can be identified as the derivative of the logarithm of the generalized Zeta function

$$\begin{aligned} \zeta_F(s) &\equiv \sum_n \lambda_n^{-s} \ , \\ D\Psi_n &= \lambda_n \Psi_n \ , \\ o &= n^\alpha \gamma_\alpha \ , \ [D, 0] = 0 \ . \end{aligned} \tag{6.9.3}$$

at  $s = 0$ :

$$S_B(X^4) = \log(\det(D)) = \sum_n \log(\lambda_n) = -\frac{d}{ds} \log(\zeta_F)(s, X^4) \ . \tag{6.9.4}$$

The vector  $n_\alpha$  identified as the gradient of a coordinate  $x^N$  normal to  $X^3$ . As shown in [31], the hermiticity of the modified Dirac operator is guaranteed if  $X^3$  is minimal hyper-surface or if Kähler action density  $L_K$  vanishes at  $X^3$ .

The vanishing of the normal components  $T^{nk}$  of the conserved currents associated with the isometries of  $H$  is necessary in order to have effective 3-dimensionality in the sense that the modified Dirac equation contains only derivatives acting on  $X^3$  coordinates. The reduction to the boundary and the dependence on the normal derivatives of the imbedding space coordinates realizes quantum gravitational holography.

The definition relying on the generalized Zeta function allows to circumvent the possible technical difficulties related to the precise definition of the Grassmannian functional integral and of the functional determinant since the possibly divergent sum over the logarithms of the eigenvalues can be identified as the derivative of Zeta function at  $s = 0$ , which can be defined by analytically continuing the zeta function outside the domain where the definition in terms of the eigenvalues works.

### Formula for the Kähler coupling strength

The identification of exponent of Kähler function as Dirac determinant leads to a formula relating Kähler action for the preferred extremal to the Dirac determinant. The eigenvalues are proportional to  $1/\alpha_K$  since the matrices  $\hat{\Gamma}^\alpha$  have this proportionality. This gives the formula

$$\exp\left(\frac{S_K(X^4(X^3))}{8\pi\alpha_K}\right) = \prod_i \lambda_i = \frac{\prod_i \lambda_{0,i}}{\alpha_K^N} . \quad (6.9.5)$$

Here  $\lambda_{0,i}$  corresponds to  $\alpha_K = 1$ .  $S_K = \int J^* J$  is the reduced Kähler action.

For  $S_K = 0$ , which might correspond to so called massless extremals [29] one obtains the formula

$$\alpha_K = \left(\prod_i \lambda_{0,i}\right)^{1/N} . \quad (6.9.6)$$

Thus for  $S_K = 0$  extremals one has an explicit formula for  $\alpha_K$  having interpretation as the geometric mean of the eigenvalues  $\lambda_{0,i}$ . Several values of  $\alpha_K$  are in principle possible.

p-Adicization suggests that  $\lambda_{0,i}$  are rational or at most algebraic numbers. This would mean that  $\alpha_K$  is  $N$ :th root of this kind of number.  $S_K$  in turn would be

$$S_K = 8\pi\alpha_K \log\left(\frac{\prod_i \lambda_{0,i}}{\alpha_K^N}\right) . \quad (6.9.7)$$

so that  $S_K$  would be expressible as a product of the transcendental  $\pi$ ,  $N$ :th root of rational, and logarithm of rational. This result would provide a general answer to the question about number theoretical anatomy of Kähler coupling strength and  $S_K$ . Note that  $S_K$  makes sense p-adically only if one adds  $\pi$  and its all powers to the extension of p-adic numbers. The exponent of Kähler function however makes sense also p-adically.

### 6.9.2 A revised view about coupling constant evolution

The development of the ideas related to number theoretic aspects has been rather tortuous and based on guess work since basic theory has been lacking.

1. The original hypothesis was that Kähler coupling strength is invariant under p-adic coupling constant evolution. Later I gave up this hypothesis and replaced it with the invariance of gravitational coupling since otherwise the prediction would have been that gravitational coupling strength is proportional to p-adic length scale squared. Second first guess was that Kähler coupling strength equals to the value of fine structure constant at electron length scale corresponding to Mersenne prime  $M_{127}$ . Later I replaced fine structure constant with electro-weak U(1) coupling strength at this length scale. The recent discussion returns back to the roots in both aspects.

2. The recent discussion relies on the progress made in the understanding of quantum TGD at partonic level [31]. What comes out is an explicit formula for Kähler couplings strength in terms of Dirac determinant involving only a finite number of eigenvalues of the modified Dirac operator. This formula dictates the number theoretical anatomy of  $g_K^2$  and also of other coupling constants: the most general option is that  $\alpha_K$  is a root of rational. The requirement that the rationals involved are simple combined with simple experimental inputs leads to very powerful predictions for the coupling parameters.
3. A further simplification is due to the discreteness of p-adic coupling constant evolution allowing to consider only length scales coming as powers of  $\sqrt{2}$ . This kind of discretization is necessary also number theoretically since logarithms can be replaced with 2-adic logarithms for powers of 2 giving integers. This raises the question whether  $p \simeq 2^k$  should be replaced with  $2^k$  in all formulas as the recent view about quantum TGD suggests.
4. The prediction is that Kähler coupling strength  $\alpha_K$  is invariant under p-adic coupling constant evolution and from the constraint coming from electron and top quark masses very near to fine structure constant so that the identification as fine structure constant is natural. Gravitational constant is predicted to be proportional to p-adic length scale squared and corresponds to the largest Mersenne prime ( $M_{127}$ ), which does not correspond to a completely super-astronomical p-adic length scale. For the parameter  $R^2/G$  p-adicization program allows to consider two options: either this constant is of form  $e^q$  or  $2^q$ : in both cases  $q$  is rational number.  $R^2/G = exp(q)$  allows only  $M_{127}$  gravitons if number theory is taken completely seriously.  $R^2/G = 2^q$  allows all p-adic length scales for gravitons and thus both strong and weak variants of ordinary gravitation.
5. A relationship between electromagnetic and color coupling constant evolutions based on the formula  $1/\alpha_{em} + 1/\alpha_s = 1/\alpha_K$  is suggested by the induced gauge field concept, and would mean that the otherwise hard-to-calculate evolution of color coupling strength is fixed completely. The predicted value of  $\alpha_s$  at intermediate boson length scale is correct.

It seems fair to conclude that the attempts to understand the implications of p-adicization for coupling constant evolution have begun to bear fruits.

### Identifications of Kähler coupling strength and gravitational coupling strength

To construct an expression for gravitational constant one can use the following ingredients.

1. The exponent  $exp(2S_K(CP_2))$  defining the value of Kähler function in terms of the Kähler action  $S_K(CP_2)$  of  $CP_2$  type extremal representing elementary particle expressible as

$$S_K(CP_2) = \frac{S_{K,R}(CP_2)}{8\pi\alpha_K} = \frac{\pi}{8\alpha_K} . \quad (6.9.8)$$

Since  $CP_2$  type extremals suffer topological condensation, one expects that the action is modified:

$$S_K(CP_2) \rightarrow a \times S_K(CP_2) . \quad (6.9.9)$$

$a < 1$  conforms with the idea that a piece of  $CP_2$  type extremal defining a wormhole contact is in question. One must however keep mind open in this respect.

2. The p-adic length scale  $L_p$  assignable to the space-time sheet along which gravitational interactions are mediated. Since Mersenne primes seem to characterized elementary bosons and since the Mersenne prime  $M_{127} = 2^{127} - 1$  defining electron length scale is the largest non-super-astronomical length scale it is natural to guess that  $M_{127}$  characterizes these space-time sheets.

1. *The formula for the gravitational constant*

A long standing basic conjecture has been that gravitational constant satisfies the following formula

$$\begin{aligned} \hbar G &\equiv r \hbar_0 G = L_p^2 \times \exp(-2a S_K(CP_2)) , \\ L_p &= \sqrt{p} R . \end{aligned} \quad (6.9.10)$$

Here  $R$  is  $CP_2$  radius defined by the length  $2\pi R$  of the geodesic circle. What was noticed before is that this relationship allows even constant value of  $G$  if  $a$  has appropriate dependence on  $p$ .

This formula seems to be correct but the argument leading to it was based on two erratic assumptions compensating each other.

1. I assumed that modulus squared for vacuum functional is in question: hence the factor  $2a$  in the exponent. The interpretation of zero energy state as a generalized Feynman diagram requires the use of vacuum functional so that the replacement  $2a \rightarrow a$  is necessary.
2. Second wrong assumption was that graviton corresponds to  $CP_2$  type vacuum extremal- that is wormhole contact in the recent picture. This does allow graviton to have spin 2. Rather, two wormhole contacts represented by  $CP_2$  vacuum extremals and connected by fluxes associated with various charges at their throats are needed so that graviton is string like object. This saves the factor  $2a$  in the exponent.

The highly non-trivial implication to be discussed later is that ordinary coupling constant strengths should be proportional to  $\exp(-a S_K(CP_2))$ .

The basic constraint to the coupling constant evolution comes for the invariance of  $g_K^2$  in p-adic coupling constant evolution:

$$\begin{aligned} g_K^2 &= \frac{a(p, r) \pi^2}{\log(pK)} , \\ K &= \frac{R^2}{\hbar G(p)} = \frac{1}{r} \frac{R^2}{\hbar_0 G(p)} \equiv \frac{K_0(p)}{r} . \end{aligned} \quad (6.9.11)$$

2. *How to guarantee that  $g_K^2$  is RG invariant and  $N$ :th root of rational?*

Suppose that  $g_K^2$  is  $N$ :th root of rational number and invariant under p-adic coupling constant evolution.

1. The most general manner to guarantee the expressibility of  $g_K^2$  as  $N$ :th root of rational is guaranteed for both options by the condition

$$a(p, r) = \frac{g_K^2}{\pi^2} \log\left(\frac{pK_0}{r}\right) . \quad (6.9.12)$$

That  $a$  would depend logarithmically on  $p$  and  $r = \hbar/\hbar_0$  looks rather natural. Even the invariance of  $G$  under p-adic coupling constant evolution can be considered.

2. The condition

$$\frac{r}{p} < K_0(p) . \quad (6.9.13)$$

must hold true to guarantee the condition  $a > 0$ . Since the value of gravitational Planck constant is very large, also the value of corresponding p-adic prime must very large to guarantee this condition. The condition  $a < 1$  is guaranteed by the condition



$$\frac{r}{p} > \exp\left(-\frac{\pi^2}{g_K^2}\right) \times K_0(p) . \quad (6.9.14)$$

The condition implies that for very large values of  $p$  the value of Planck constant must be larger than  $\hbar_0$ .

3. The two conditions are summarized by the formula

$$K_0(p) \times \exp\left(-\frac{\pi^2}{g_K^2}\right) < \frac{r}{p} < K_0(p) \quad (6.9.15)$$

characterizing the allowed interval for  $r/p$ . If  $G$  does not depend on  $p$ , the minimum value for  $r/p$  is constant. The factor  $\exp(-\frac{\pi^2}{g_K^2})$  equals to  $1.8 \times 10^{-47}$  for  $\alpha_K = \alpha_{em}$  so that  $r > 1$  is required for  $p \geq 4.2 \times 10^{-40}$ .  $M_{127} \sim 10^{38}$  is near the upper bound for  $p$  allowing  $r = 1$ . The constraint on  $r$  would be roughly  $r \geq 2^{k-131}$  and  $p \simeq 2^{131}$  is the first p-adic prime for which  $\hbar > 1$  is necessarily. The corresponding p-adic length scale is .1 Angstroms.

This conclusion need not apply to elementary particles such as neutrinos but only to the space-time sheets mediating gravitational interaction so that in the minimal scenario it would be gravitons which must become dark above this scale. This would bring a new aspect to vision about the role of gravitation in quantum biology and consciousness.

The upper bound for  $r$  behaves roughly as  $r < 2.3 \times 10^7 p$ . This condition becomes relevant for gravitational Planck constant  $GM_1 M_2 / v_0$  having gigantic values. For Earth-Sun system and for  $v_0 = 2^{-11}$  the condition gives the rough estimate  $p > 6 \times 10^{63}$ . The corresponding p-adic length scale would be of around  $L(215) \sim 40$  meters.

4. p-Adic mass calculations predict the mass of electron as  $m_e^2 = (5 + Y_e)2^{-127}/R^2$  where  $Y_e \in [0, 1]$  parameterizes the not completely known second order contribution. Top quark mass favors a small value of  $Y_e$  (the original experimental estimates for  $m_t$  were above the range allowed by TGD but the recent estimates are consistent with small value  $Y_e$  [23]). The range  $[0, 1]$  for  $Y_e$  restricts  $K_0 = R^2/\hbar_0 G$  to the range  $[2.3683, 2.5262] \times 10^7$ .
5. The best value for the inverse of the fine structure constant is  $1/\alpha_{em} = 137.035999070(98)$  and would correspond to  $1/g_K^2 = 10.9050$  and to the range  $(0.9757, 0.9763)$  for  $a$  for  $\hbar = \hbar_0$  and  $p = M_{127}$ . Hence one can seriously consider the possibility that  $\alpha_K = \alpha_{em}(M_{127})$  holds true. As a matter fact, this was the original hypothesis but was replaced later with the hypothesis that  $\alpha_K$  corresponds to electro-weak  $U(1)$  coupling strength in this length scale. The fact that  $M_{127}$  defines the largest Mersenne prime, which does not correspond to super-astronomical length scale might relate to this co-incidence.

To sum up, the recent view about coupling constant evolution differs strongly from previous much more speculative scenarios. It implies that  $g_K^2$  is root of rational number, possibly even rational, and can be assumed to be equal to  $e^2$ . Also  $R^2/\hbar G$  could be rational. The new element is that  $G$  need not be proportional to  $p$  and can be even invariant under coupling constant evolution since the the parameter  $a$  can depend on both  $p$  and  $r$ . An unexpected constraint relating  $p$  and  $r$  for space-time sheets mediating gravitation emerges.

### Are the color and electromagnetic coupling constant evolutions related?

Classical theory should be also able to say something non-trivial about color coupling strength  $\alpha_s$  too at the general level. The basic observations are following.

1. Both classical color YM action and electro-weak  $U(1)$  action reduce to Kähler action.

- Classical color holonomy is Abelian which is consistent also with the fact that the only signature of color that induced spinor fields carry is anomalous color hyper charge identifiable as an electro-weak hyper charge.

Suppose that  $\alpha_K$  is a strict RG invariant. One can consider two options.

- The original idea was that the sum of classical color action and electro-weak  $U(1)$  action is RG invariant and thus equals to its asymptotic value obtained for  $\alpha_{U(1)} = \alpha_s = 2\alpha_K$ . Asymptotically the couplings would approach to a fixed point defined by  $2\alpha_K$  rather than to zero as in asymptotically free gauge theories.

Thus one would have

$$\frac{1}{\alpha_{U(1)}} + \frac{1}{\alpha_s} = \frac{1}{\alpha_K} . \quad (6.9.16)$$

The relationship between  $U(1)$  and em coupling strengths is

$$\begin{aligned} \alpha_{U(1)} &= \frac{\alpha_{em}}{\cos^2(\theta_W)} \simeq \frac{1}{104.1867} , \\ \sin^2(\theta_W)|_{10 \text{ MeV}} &\simeq 0.2397(13) , \\ \alpha_{em}(M_{127}) &= 0.00729735253327 . \end{aligned} \quad (6.9.17)$$

Here Weinberg angle corresponds to 10 MeV energy is reasonably near to the value at electron mass scale. The value  $\sin^2(\theta_W) = 0.2397(13)$  corresponding to 10 MeV mass scale [57] is used. Note however that the previous argument implying  $\alpha_K = \alpha_{em}(M_{127})$  excludes  $\alpha = \alpha_{U(1)}(M_{127})$  option.

- Second option is obtained by replacing  $U(1)$  with electromagnetic gauge  $U(1)_{em}$ .

$$\frac{1}{\alpha_{em}} + \frac{1}{\alpha_s} = \frac{1}{\alpha_K} . \quad (6.9.18)$$

Possible justifications for this assumption are following. The notion of induced gauge field makes it possible to characterize the dynamics of classical electro-weak gauge fields using only the Kähler part of electro-weak action, and the induced Kähler form appears only in the electromagnetic part of the induced classical gauge field. A further justification is that em and color interactions correspond to unbroken gauge symmetries.

The following arguments are consistent with this conclusion.

- In TGD framework coupling constant is discrete and comes as powers of  $\sqrt{2}$  corresponding to p-adic primes  $p \simeq 2^k$ . Number theoretic considerations suggest that coupling constants  $g_i^2$  are algebraic or perhaps even rational numbers, and that the logarithm of mass scale appearing as argument of the renormalized coupling constant is replaced with 2-based logarithm of the p-adic length scale so that one would have  $g_i^2 = g_i^2(k)$ .  $g_K^2$  is predicted to be  $N$ :th root of rational but could also reduce to a rational. This would allow rational values for other coupling strengths too. This is possible if  $\sin(\theta_W)$  and  $\cos(\theta_W)$  are rational numbers which would mean that Weinberg angle corresponds to a Pythagorean triangle as proposed already earlier. This would mean the formulas  $\sin(\theta_W) = (r^2 - s^2)/(r^2 + s^2)$  and  $\cos(\theta_W) = 2rs/(r^2 + s^2)$ .
- A very strong prediction is that the beta functions for color and  $U(1)$  degrees of freedom are apart from sign identical and the increase of  $U(1)$  coupling compensates the decrease of the color coupling. This allows to predict the hard-to-calculate evolution of QCD coupling constant strength completely.

3.  $\alpha(M_{127}) = \alpha_K$  implies that  $M_{127}$  defines the confinement length scale in which the sign of  $\alpha_s$  becomes negative. TGD predicts that also  $M_{127}$  copy of QCD should exist and that  $M_{127}$  quarks should play a key role in nuclear physics [21, 25]. Hence one can argue that color coupling strength indeed diverges at  $M_{127}$  (the largest not completely super-astrophysical Mersenne prime) so that one would have  $\alpha_K = \alpha(M_{127})$ . Therefore the precise knowledge of  $\alpha(M_{127})$  in principle fixes the value of parameter  $K = R^2/G$  and thus also the second order contribution to the mass of electron.
4.  $\alpha_s(M_{89})$  is predicted to be  $1/\alpha_s(M_{89}) = 1/\alpha_K - 1/\alpha(M_{89})$ .  $\sin^2(\theta_W) = .23120$ ,  $\alpha_{em}(M_{89}) \simeq 1/127$ , and  $\alpha_{U(1)} = \alpha_{em}/\cos^2(\theta_W)$  give  $1/\alpha_{U(1)}(M_{89}) = 97.6374$ .  $\alpha = \alpha_{em}$  option gives  $1/\alpha_s(M_{89}) \simeq 10$ , which is consistent with experimental facts.  $\alpha = \alpha_{U(1)}$  option gives  $\alpha_s(M_{89}) = 0.1572$ , which is larger than QCD value. Hence  $\alpha = \alpha_{em}$  option is favored.

To sum up, the proposed formula would dictate the evolution of  $\alpha_s$  from the evolution of the electro-weak parameters without any need for perturbative computations. Although the formula of proposed kind is encouraged by the strong constraints between classical gauge fields in TGD framework, it should be deduced in a rigorous manner from the basic assumptions of TGD before it can be taken seriously.

### Can one deduce formulae for gauge couplings?

The improved physical picture behind gravitational constant allows also to consider a general formula for gauge couplings.

1. The natural guess for the general formula would be as

$$g^2(p, r) = kg_K^2 \times \exp[-a_g(p, r) \times S_K(CP_2)] . \quad (6.9.19)$$

here  $k$  is a numerical constant.

2. The condition

$g_K^2 = e^2(M_{127})$  fixes the value of  $k$  if it's value does not depend on the character of gauge interaction:

$$k = \exp[a_{gr}(M_{127}, r = 1) \times S_K(CP_2)] . \quad (6.9.20)$$

Hence the general formula reads as

$$g^2(p, r) = g_K^2 \times \exp[(-a_g(p, r) + a_{gr}(M_{127}, r = 1)) \times S_K(CP_2)] . \quad (6.9.21)$$

The value of  $a(M_{127}, r = 1)$  is near to its maximum value so that the exponential factor tends to increase the value of  $g^2$  from  $e^2$ . The formula can reproduce  $\alpha_s$  and various electro-weak couplings although it is quite possible that Weinberg angle corresponds to a group theoretic factor not representable in terms of  $a_g(p, r)$ . The volume of the  $CP_2$  type vacuum extremal would characterize gauge bosons. Analogous formula should apply also in the case of Higgs.

3.  $\alpha_{em}$  in very long length scales would correspond to

$$e^2(p \rightarrow \infty, r = 1) = e^2 \times \exp[(-1 + a(M_{127}, r = 1)) \times S_K(CP_2)] = e^2 x , \quad (6.9.22)$$

where  $x$  is in the range  $[0.6549, 0.6609]$ .

**Formula relating  $v_0$  to  $\alpha_K$  and  $R^2/G$** 

The parameter  $v_0 = 2^{-11}$  plays a key role in the formula for gravitational Planck constant and can be also seen as a fundamental constant in TGD framework. As a matter, factor  $v_0$  has interpretation as velocity parameter and is dimensionless when  $c = 1$  is used.

If  $v_0$  is identified as the rotation velocity of distant stars in galactic plane, one can use the Newtonian model for the motion of mass in the gravitational field of long straight string giving  $v_0 = \sqrt{TG}$ . String tension  $T$  can be expressed in terms of Kähler coupling strength as

$$T = \frac{b}{2\alpha_K R^2} ,$$

where  $R$  is the radius of geodesic circle. The factor  $b \leq 1$  would explain reduction of string tension in topological condensation caused by the fact that not entire geodesic sphere contributes to the action.

This gives

$$\begin{aligned} v_0 &= \frac{b}{2\sqrt{\alpha_K K}} , \\ \alpha_K(p) &= \frac{a\pi}{4\log(pK)} , \\ K &= \frac{R^2}{\hbar G} . \end{aligned} \tag{6.9.23}$$

The condition that  $\alpha_K$  has the desired value for  $p = M_{127} = 2^{127} - 1$  defining the p-adic length scale of electron fixes the value of  $b$  for given value of  $a$ . The value of  $b$  should be smaller than 1 corresponding to the reduction of string tension in topological condensation.

The condition 8.5.20 for  $v_0 = 2^{-m}$ , say  $m = 11$ , allows to deduce the value of  $a/b$  as

$$\frac{a}{b} = \frac{4 * \log(pK) 2^{2m-1}}{\pi K} . \tag{6.9.24}$$

For both  $K = e^q$  with  $q = 17$  and  $K = 2^q$  option with  $q = 24 + 1/2$   $m = 10$  is the smallest integer giving  $b < 1$ .  $K = e^q$  option gives  $b = .3302$  (.0826) and  $K = 2^q$  option gives  $b = .3362$  (.0841) for  $m = 10$  ( $m = 11$ ).

$m = 10$  corresponds to one third of the action of free cosmic string.  $m = 11$  corresponds to much smaller action smaller by a factor rather near  $1/12$ . The interpretation would be that as  $m$  increases the action of the topologically condensed cosmic string decreases. This would correspond to a gradual transformation of the cosmic string to a magnetic flux tube.

**Is the p-adic temperature proportional to the Chern-Simons coupling strength?**

Chern-Simons coupling strength has the same spectrum as p-adic temperature  $T_p$  apart from a multiplicative factor. The identification  $T_p = 1/k$  is indeed very natural since also  $1/k$  is temperature like parameter. The simplest guess is

$$T_p = \frac{1}{k} . \tag{6.9.25}$$

$\alpha_K$  is also temperature like parameter and the original conjecture was that  $\alpha_K$  and also other coupling strengths are expressible in terms of  $k$ . The recent view about how the information about Kähler action is feeded to the eigenvalue spectrum of the modified Dirac operator  $D_{C-S}$  associated with Chern-Simons action [31] does not encourage this conjecture.

For fermions one has  $T_p = 1$  so that fermionic light-like wormhole throats would correspond to  $k = 1$ . Since photon, graviton, and gluons are massless in an excellent approximation, p-adic temperature  $T_p = 1/k$  should be small for them. This holds true for intermediate gauge bosons too since Higgs gives the dominating contribution to their mass. Gauge bosons are identified as pairs of light-like wormhole throats associated with wormhole contacts, and one can consider the possibility that there is maximal p-adic temperature at which gauge boson wormhole contacts are

stable against splitting to fermion-antifermion pair. Fermions and possible exotic bosons created by bosonic generators of super-symplectic algebra would correspond to single wormhole throat and could also naturally correspond to the maximal value of p-adic temperature since there is nothing to which they can decay.

What could go wrong with this picture? The different values of  $k$  for fermions and bosons make sense only if the 4-D space-time sheets associated with fermions and bosons can be regarded as disjoint space-time regions. Gauge bosons correspond to wormhole contacts connecting (deformed pieces of  $CP_2$  type extremal) positive and negative energy space-time sheets whereas fermions would correspond to deformed  $CP_2$  type extremal glued to single space-time sheet having either positive or negative energy. These space-time sheets should make contact only in interaction vertices of the generalized Feynman diagrams, where partonic 3-surfaces are glued together along their ends. If this gluing together occurs only in these vertices, fermionic and bosonic space-time sheets are disjoint. For stringy diagrams this picture would fail.

To sum up, the resulting overall vision seems to be internally consistent and is consistent with generalized Feynman graphics, predicts exactly the spectrum of  $\alpha_K$ , suggests the identification of the inverse of p-adic temperature with  $k$ , allows to understand the differences between fermionic and bosonic massivation. One might hope that the additional objections (to be found sooner or later!) could allow to develop a more detailed picture.



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# Chapter 7

## TGD and M-Theory

### 7.1 Introduction

In this chapter a critical comparison of M-theory [55] and TGD (see [1, 2, 5, 6, 3, 4, 7] and [8, 9, 10, 11, 12, 15, 13, 14]) as two competing theories is carried out. Also some comments about the sociology of Big Science are made.

#### 7.1.1 From hadronic string model to M-theory

The evolution of string theories began 1968 from Veneziano formula realizing duality symmetry of hadronic interactions. It took two years to realize that Veneziano amplitude could be interpreted in terms of interacting strings: Nambu, Susskind and Nielsen made the discovery simultaneously 1970. The need to describe also fermions led to the discovery of super-symmetry [63] and Ramond and Neveu-Schwartz type superstrings in the beginning of seventies.

Gradually it became however clear that the strings do not describe hadrons: for instance, the critical dimensions for strings *resp.* superstrings were 26 *resp.* 10, and the breakthrough of QCD at 1973 meant an end for the era of hadronic string theory. 1974 Schwartz and Scherk proposed that strings might provide a quantum theory of gravitation [58] if one accepts that space-time has compactified dimensions.

The first superstring revolution was initiated around 1984 by the paper by Green and Schwartz demonstrating the cancellation of anomalies in certain superstring theories [57, 72]. The proposal was that superstrings might provide a divergence-free and anomaly-free quantum theory of gravitation. A crucial boost was given by Witten's interest on superstrings. Also the highly effective use of media played a key role in establishing superstring hegemony.

It became clear that superstrings come in five basic types [75]. There are type I strings (both open and closed) with  $N = 1$  super-symmetry and gauge group  $SO(32)$ , type IIA and IIB closed strings with  $N = 2$  super-symmetry, and heterotic strings, which are closed and possess  $N = 1$  super-symmetry with gauge groups  $SO(32)$  and  $E^8 \times E^8$ . There is an entire landscape of solutions associated with each superstring theory defined by the compactifications whose dynamics is partially determined by the vanishing of conformal anomalies. For a moment it was believed that it would be an easy task to find which of the superstrings would allow the compactification which corresponds to the observed Universe but it became clear that this was too much to hope. In particular, the number 4 for non-compact space-time dimensions is by no means in a special position.

Around 1995 came the second superstring revolution with the idea that various superstring species could be unified in terms of an 11-dimensional M-theory with M meaning membrane in the lowest approximation [55]. M-theory allowed to see various superstrings as limiting situations when 11-D theory reduces to 10-D one so that very special kind of membranes reduce to strings. This allowed to justify heuristically the claimed dualities between various superstrings [75]. Matrix Theory as a proposal for a non-perturbative formulation of M-theory appeared 2 years later [59].

Now, almost a decade later, M-theory is in a deep crisis: the few predictions that the theory can make are definitely wrong and even anthropic principle is advocated as a means to save the theory [64]. Despite this, very many people continue to work with M-theory and fill hep-th with highly speculative

preprints proving that this is dual with that although the flow of papers dealing with strings and M-theory has reduced dramatically.

A reader interested in critical views about string theory can consult the article of Smolin [61] criticizing anthropic principle, the web-lectures "Fantasy, Fashion, and Faith in Theoretical Physics" of Penrose [74] as well as his article in *New Scientist* [68] criticizing the notion of hidden space time dimensions, and the articles of Peter Woit [65]. Also the discussion group "Not Even Wrong" [60] gives a critical perspective to the situation almost a decade after the birth of M-theory.

### 7.1.2 Evolution of TGD briefly

The first superstring revolution shattered the world at 1984, about two years after my own doctoral dissertation (1982), and four years after the Esalem conference in which the quantum consciousness movement started. Remarkably, David Finkelstein was one of the organizers of the conference besides being the chief editor of "International Journal of Theoretical Physics", in which I managed to publish first articles about TGD. The first and last contact with stars was Wheeler's review of my first article published in *IJTP*, and I cannot tell what my and TGD's fate had been without Wheeler's highly encouraging review.

During the 31 years after the discovery that space-times could be regarded as 4-surfaces as well as extended objects generalizing strings, I have devoted my time to the development of TGD. Without exaggeration I can say that life devoted to TGD has been much more successful project than I dared or even could dream and has led outside the very narrow realms of particle physics and quantum gravity. Indeed, without knowing anything about Finkelstein and Esalem at that time, I started to write a book about consciousness around 1995 when the second superstring revolution occurred. TGD inspired theory of consciousness has now materialized as 8 online books at my home page.

Altogether these 31 years boil down to seven online books [1, 2, 5, 6, 3, 4, 7] about TGD proper and eight online books about TGD inspired theory of consciousness and of quantum biology [8, 9, 10, 11, 12, 15, 13, 14] plus printed book about TGD [?]. This makes about 8000 pages of TGD spanning everything between elementary particle physics and cosmology. One might expect that the sheer waste amount of material at my web site might have stirred some interest in the physics community despite the fact that it became impossible to publish anything and to get anything into Los Alamos archives after the second super-string revolution. The only visible reaction has been from my Finnish colleagues and guarantees that I will remain unemployed in the foreseeable future. I will discuss some reasons for this state of affairs after comparing string models and TGD, and considering the reasons for the failure of the theory formerly known as superstring model.

Before continuing, I hasten to admit that I am not a string specialist and I do not handle the technicalities of M-theory. On the other hand, TGD has given quite a good perspective about the real problems of TOEs and provides also solutions to them. Hence it is relatively easy to identify the heuristic and usually slippery parts of various arguments from the formula jungle. Also I want to express my deep admiration for the people living in the theory world but from my own experience I know how easy it is to fall on wishful thinking and how necessary but painful it is to lose face now and then.

My humble suggestion is that M-theorists might gain a lot by asking what "What possibly went wrong?". This chapter suggests answers to this question. Perhaps M-theorists might also spend few hours in the web to check whether M-theory is indeed the only viable approach to quantum gravity: the material at my own home page might provide a surprise in this respect.

## 7.2 A summary about the evolution of TGD

The basic idea about space-time as a 4-surface popped in my mind in autumn at 1978. The first implication was that I lost my job at Helsinki University. During the next 4 years this idea led to a thesis with the title "Topological GeometroDynamics" (TGD), which I think was suggested by David Finkelstein to distinguish TGD from Wheeler's GeometroDynamics.

### 7.2.1 Space-times as 4-surfaces

TGD (for a summary about the evolution of TGD see [29, 36]) can be seen as a solution to the energy problem of General Relativity via the unification of special and general relativities by assuming that space-times are representable as 4-surfaces in certain 8-dimensional space-time with the symmetries of empty Minkowski space. An alternative interpretation is as a generalization of string models by replacing strings with 3-dimensional surfaces: depending on their size they would represent elementary particles or the space we live in and anything between these extremes. From this point of view superstring theories are unique candidates for a Theory of Everything if space-time were 2- rather than 4-dimensional.

The first superstring revolution made me happy since I was convinced that it would be a matter of few years before TGD would replace superstring models as a natural generalization allowing to understand the four-dimensionality of the space-time. After all, only a half-page argument, a simple exercise in the realization of standard model symmetries, leads to a unique identification of the higher-dimensional imbedding space as a Cartesian product of Minkowski space and complex projective space  $CP_2$  unifying electro-weak and color symmetries in terms of its holonomy and isometry groups. By the 4-dimensionality of the basic objects there was no need for the imbedding space geometry to be dynamical. Theory realized the dream about the geometrization of fundamental interactions and predicted the observed quantum numbers. In particular, the horrors of spontaneous compactification to be crystallized in the notion of M-theory landscape two decades later can be circumvented completely.

### 7.2.2 Uniqueness of the imbedding space from the requirement of infinite-dimensional Kähler geometric existence

Later I discovered heuristic mathematical arguments suggesting but not proving that the choice of the imbedding space is unique. The arguments relied on the uniqueness of the infinite-dimensional Kähler geometry of the configuration space of 3-surfaces. This uniqueness was discovered already in the context of loop spaces by Dan Freed [68].

$CH$ , the "world of the classical worlds" serves as the arena of quantum dynamics [17], which reduces to the theory of classical spinor fields in  $CH$  and geometrizes fermionic anti-commutation relations and the notion of super-symmetry in terms of the gamma matrices of  $CH$  [31]. Only quantum jump is the genuinely non-classical element of the theory in  $CH$  context. The heuristic argument states that  $CH$  geometry exists only for  $H = M^4 \times CP_2$ .

In particular, number theoretical arguments relating to quaternions and octonions fix the dimensions of space-time and imbedding space to four and 8 respectively. The fact that the space of quaternionic sub-spaces of octonion space containing preferred plane complex plane is  $CP_2$  suggest an explanation for the special role of  $CP_2$ .

This stimulated a development, which led to notion of number theoretic compactification. Space-time surfaces can be regarded either as hyper-quaternionic, and thus maximally associative, 4-surfaces in  $M^8$  or as surfaces in  $M^4 \times CP_2$  [19]. What makes this duality possible is that  $CP_2$  parameterizes different quaternionic planes of octonion space containing a fixed imaginary unit. Hyper-quaternions/-octonions form a sub-space of complexified quaternions/-octonions for which imaginary units are multiplied by  $\sqrt{-1}$ : they are needed in order to have a number theoretic norm with Minkowski signature.

The weakest form of number theoretical compactification states that light-like 3-surfaces  $X_l^3 \subset HO$  are mapped to  $X_l^3 \subset M^4 \times CP_2$  and requires only that one can assign preferred plane  $M^2 \subset M^4$  to any connected component of  $X_l^3$ . This hyper-complex plane of hyper-quaternionic  $M^4$  has interpretation as the plane of non-physical polarizations so that the gauge conditions of super string theories are obtained purely number theoretically.  $M^2$  corresponds also to the degrees of freedom which do not contribute to the metric of the configuration space. The un-necessarily strong form would require that hyper-quaternionic 4-surfaces correspond to preferred extremals of Kähler action.

The requirement that  $M^2$  belongs to the tangent space  $T(X^4(X_l^3))$  at each point point of  $X_l^3$  fixes also the boundary conditions for the preferred extremal of Kähler action. The construction of configuration space spinor structure supports the conclusion that there must exist preferred coordinates of  $X^4$  in which additional conditions  $g_{ni} = 0$  and  $J_{ni} = 0$  at  $X_l^3$ . The conditions state that induced metric and Kähler form are stationary at  $X_l^3$ .  $M^2$  plays a key role also in many other constructions

of quantum TGD, in particular the generalization of the imbedding space needed to realize the idea about hierarchy of Planck constant allowing to identify dark matter as matter with a non-standard value of Planck constant.

The realization of 4-D general coordinate invariance forces to assume that Kähler function assigns a unique space-time surface to a given 3-surface: by the breakdown of the strict classical determinism of Kähler action unions of 3-surfaces with time like separations must be however allowed as 3-D causal determinants and quantum classical correspondence allows to interpret them as representations of quantum jump sequences at space-time level. Space-time surface defined as absolute minimum or some more general preferred extremal [19] of Kähler action is analogous to Bohr orbit so that classical physics becomes part of the definition of configuration space geometry rather than being a result of a stationary phase approximation.

### 7.2.3 TGD inspired theory of consciousness and other developments

During the last decade a lot has happened in TGD and it is sad that only those colleagues with mind open enough to make a visit my home page have had opportunity to be informed about this. Knowing the fact that a typical theoretical physicist reads only the articles published in respected journals about his own speciality, one can expect that the number of these physicists is not very high. Some examples of the work done during this decade are in order.

I have developed quantum TGD in a considerable detail with highly non-trivial number theoretical speculations relating to Riemann hypothesis and Riemann Zeta in general [26]. One outcome is a proposal for the proof of Riemann hypothesis [52].

During the same period I have constructed TGD inspired theory of consciousness [8]. One outcome is a theory of quantum measurement and of observer having direct implications for the quantum TGD itself. The results of the modification of the double slit experiment carried out by Afshar [78, 61] provides a difficult challenge for the existing interpretations of quantum theory and a support for the TGD view about quantum measurement in which space-time provides correlates for the non-deterministic process in question. The new views about energy and time have also profound technological implications.

TGD has forced the introduction of p-adic number fields besides real numbers and led to a generalization of number concept: p-adic number fields play a key role in the proposed physics of cognition and intentionality [20, 16]. The notion of infinite primes [16] leads to a generalization of the notion of space-time point [16]. Space-time point becomes infinitely structured in various p-adic senses but not in real sense (that is cognitively) so that the vision of Leibniz about monads reflecting the external world in their structure is realized in terms of algebraic holography. Space-time becomes algebraic hologram and realizes also Brahman=Atman idea of Eastern philosophies.

p-Adic number fields lead to the notion of a p-adic length scale hierarchy quantifying the notion of the many-sheeted space-time [20, 16]. One of the first applications was the calculation of elementary particle masses [20, 20]. The basic predictions are only weakly model independent since only p-adic thermodynamics for Super Virasoro algebra is involved. Not only the fundamental mass scales reduce to number theory but also individual masses are predicted correctly under very mild assumptions. Also predictions such as the possibility of neutrinos to have several mass scales were made on the basis of number theoretical arguments and have found experimental support [20].

TGD inspired cosmology can be regarded as a fractal cosmology containing cosmologies within cosmologies [29]. Sub-cosmology is defined in extremely general sense so that even the evolution of living organisms shares some crucial common aspects with cosmology in this sense. Initial singularities are absent. A period of flatness of 3-space following "big bang" is predicted by quantum criticality. The explanation of dark energy and dark matter are basically in terms of many-sheeted space-time although also new kinds of elementary particles are predicted (an entire hierarchy of asymptotically non-free standard model physics is possible). Dark matter and energy reside at larger space-time sheets, mainly magnetic flux quanta carrying magnetic and  $Z^0$  magnetic fields. Solar corona represent a leakage of dark matter to our space-time sheets from magnetic flux tubes. Cosmological constant is predicted to have a spectrum given in terms of p-adic length scales characterizing the sizes of space-time sheets, and the deep puzzle produced by  $10^{52}$ -fold discrepancy between experiment and theory disappears. Both the acceleration of cosmic expansion and the observed jerk [80] is understood.

The work with TGD inspired model for quantum computation led to the realization that von Neumann algebras, in particular hyper-finite factors of type  $II_1$  could provide the mathematics needed



to develop a more explicit view about the construction of S-matrix. This has turned out to be the case to the extent that a general master formula for S-matrix with interactions described as a deformation of ordinary tensor product to Connes tensor products emerges. The theory leads also to a prediction for the spectrum of Planck constants associated with  $M^4$  and  $CP_2$  degrees of freedom.

### 7.2.4 Von Neumann algebras and TGD

It has been for few years clear that TGD could emerge from the mere infinite-dimensionality of the Clifford algebra of infinite-dimensional "world of classical worlds" and from number theoretical vision in which classical number fields play a key role and determine imbedding space and space-time dimensions. This would fix completely the "world of classical worlds".

Infinite-dimensional Clifford algebra is a standard representation for von Neumann algebra known as a hyper-finite factor of type  $II_1$ . In TGD framework the infinite tensor power of  $C(8)$ , Clifford algebra of 8-D space would be the natural representation of this algebra.

#### How to localize infinite-dimensional Clifford algebra?

The basic new idea is to make this algebra *local*: local Clifford algebra as a generalization of gamma field of string models.

1. Represent Minkowski coordinate of  $M^d$  as linear combination of gamma matrices of D-dimensional space. This is the first guess. One fascinating finding is that this notion can be quantized and classical  $M^d$  is genuine quantum  $M^d$  with coordinate values eigenvalues of quantal commuting Hermitian operators built from matrix elements. Euclidian space is not obtained in this manner. Minkowski signature is something quantal and the standard quantum group  $Gl(2, q)(C)$  with (non-Hermitian matrix elements) gives  $M^4$ .
2. Form power series of the  $M^d$  coordinate represented as linear combination of gamma matrices with coefficients in corresponding infinite-D Clifford algebra. You would get tensor product of two algebra.
3. There is however a problem: one cannot distinguish the tensor product from the original infinite-D Clifford algebra.  $D = 8$  is however an exception! You can replace gammas in the expansion of  $M^8$  coordinate by hyper-octonionic units which are non-associative (or octonionic units in quantum complexified-octonionic case). Now you cannot anymore absorb the tensor factor to the Clifford algebra and you get genuine  $M^8$ -localized factor of type  $II_1$ . Everything is determined by infinite-dimensional gamma matrix fields analogous to conformal super fields with  $z$  replaced by hyperoctonion.
4. Octonionic non-associativity actually reproduces whole classical and quantum TGD: space-time surface must be associative sub-manifolds hence hyper-quaternionic surfaces of  $M^8$ . Representability as surfaces in  $M^4 \times CP_2$  follows naturally, the notion of configuration space of 3-surfaces, etc....

#### Connes tensor product for free fields as a universal definition of interaction quantum field theory

This picture has profound implications. Consider first the construction of S-matrix.

1. A non-perturbative construction of S-matrix emerges. The deep principle is simple. The canonical outer automorphism for von Neumann algebras defines a natural candidate unitary transformation giving rise to propagator. This outer automorphism is trivial for  $II_1$  factors meaning that all lines appearing in Feynman diagrams must be on mass shell states satisfying Super Virasoro conditions. You can allow all possible diagrams: all on mass shell loop corrections vanish by unitarity and what remains are diagrams with single N-vertex.
2. At 2-surface representing N-vertex space-time sheets representing generalized Bohr orbits of incoming and outgoing particles meet. This vertex involves von Neumann trace (finite!) of localized gamma matrices expressible in terms of fermionic oscillator operators and defining free fields satisfying Super Virasoro conditions.

3. For free fields ordinary tensor product would not give interacting theory. What makes S-matrix non-trivial is that *Connes tensor product* is used instead of the ordinary one. This tensor product is a universal description for interactions and we can forget perturbation theory! Interactions result as a deformation of tensor product. Unitarity of resulting S-matrix is unproven but I dare believe that it holds true.
4. The subfactor  $\mathcal{N}$  defining the Connes tensor product has interpretation in terms of the interaction between experimenter and measured system and each interaction type defines its own Connes tensor product. Basically  $\mathcal{N}$  represents the limitations of the experimenter. For instance, IR and UV cutoffs could be seen as primitive manners to describe what  $\mathcal{N}$  describes much more elegantly. At the limit when  $\mathcal{N}$  contains only single element, theory would become free field theory but this is ideal situation never achievable.
5. Large  $\hbar$  phases provide good hopes of realizing topological quantum computation. There is an additional new element. For quantum spinors state function reduction cannot be performed unless quantum deformation parameter equals to  $q = 1$ . The reason is that the components of quantum spinor do not commute: it is however possible to measure the commuting operators representing moduli squared of the components giving the probabilities associated with 'true' and 'false'. The universal eigenvalue spectrum for probabilities does not in general contain (1,0) so that quantum qbits are inherently fuzzy. State function reduction would occur only after a transition to  $q=1$  phase and decoherence is not a problem as long as it does not induce this transition.

### 7.2.5 Does dark matter at larger space-time sheets define super-quantal phase?

The last step in the rapid evolution of quantum TGD [30, 51] was stimulated when I learned that D. Da Rocha and Laurent Nottale [109] have proposed that Schrödinger equation with Planck constant  $\hbar$  replaced with what might be called gravitational Planck constant  $\hbar_{gr} = \frac{GmM}{v_0}$  ( $\hbar = c = 1$ ).  $v_0$  is a velocity parameter having the value  $v_0 = 144.7 \pm .7$  km/s giving  $v_0/c = 4.82 \times 10^{-4}$ . This is rather near to the peak orbital velocity of stars in galactic halos. Also subharmonics and harmonics of  $v_0$  seem to appear. The support for the hypothesis coming from empirical data is impressive.

Nottale and Da Rocha believe that their Schrödinger equation results from a fractal hydrodynamics. Many-sheeted space-time however suggests astrophysical systems are not only quantum systems at larger space-time sheets but correspond to a gigantic value of gravitational Planck constant. The gravitational (ordinary) Schrödinger equation would provide a solution of the black hole collapse (IR catastrophe) problem encountered at the classical level. The basic objection is that astrophysical systems are extremely classical whereas TGD predicts macrotemporal quantum coherence in the scale of life time of gravitational bound states. The resolution of the problem inspired by TGD inspired theory of living matter is that it is the dark matter at larger space-time sheets which is quantum coherent in the required time scale.

The earlier work with topological quantum computation [35] had already led to the idea that Planck constant could depend on the quantum phase  $q = \exp(i\pi/n)$ . The first attempts to understand the large values of the Planck constant led to a badly wrong formula for this dependence. The improved understanding of Jones inclusions and their role in TGD [33] allowed to deduce an extremely simple formula for the Planck constant, as a matter fact, for the two separate Planck constants assignable to with  $M^4$  and  $CP_2$  degrees of freedom appearing as scaling factors of the corresponding metrics. These Planck constants are given by the formulas  $\hbar(M^4) = n(CP_2)\hbar_0$  and  $\hbar(CP_2) = n(M^4)\hbar_0$  in terms of integers defining the corresponding quantum phases. The far reaching implication is that Planck constants can have arbitrarily large values. In this framework even imbedding space is a concept emerging from infinite-dimensional Clifford algebra but only the scaling factors of the metric can vary.

The general philosophy would be that when the quantum system becomes non-perturbative, a phase transition increasing the value of  $\hbar$  occurs to preserve the perturbative character. This would apply to QCD and to atoms with  $Z > 137$  and to any other system.  $q \neq 1$  quantum groups characterize non-perturbative phases.

The values of  $n$  for which the quantum phase is expressible using only iterated square root operation (corresponding polygon is obtained by ruler and compass construction) are of special interest since

they correspond to the lowest evolutionary levels for cognition so that corresponding systems should be especially abundant in the Universe. It should be noticed that this quantization does not depend at all on the parameter  $v_0$  appearing in the formula of Nottale and this gives strong additional constraints to the ratios of planetary masses and also on the masses themselves if one assumes that the gravitational Planck constant corresponds to the values allowed by ruler and compass construction. Also correct prediction for the ratio of densities of visible and dark matter emerges.

TGD predicts correctly the value of the parameter  $v_0$  assuming that cosmic strings and their decay remnants are responsible for the dark matter. The value of  $v_0$  has interpretation as velocity of distant stars around galaxies in the gravitational field of long cosmic string like objects traversing through galactic plane. The harmonics of  $v_0$  can be understood as corresponding to perturbations replacing cosmic strings with their  $n$ -branched coverings so that tension becomes  $n^2$ -fold: much like the replacement of a closed orbit with an orbit closing only after  $n$  turns. Sub-harmonics would result when cosmic strings decay to magnetic flux tubes: magnetic energy density per unit length is quantized by the preferred extremal property and the simplest possibility is the reduction of the energy density by a factor  $1/n^2$ .

$v_0$  can be expressed in terms of Kähler coupling strength  $\alpha_K$  and the parameter  $R^2/G$  characterizing  $CP_2$  size. The value  $v_0 = 2^{-11}$  favored both by the planetary Bohr orbitology and quantum model for living matter leads to new insights about coupling constant evolution. The surprising find was that  $\alpha_K$  is very nearly equal to the electro-weak coupling  $\alpha_{U(1)}$ . This observation led to new insights about coupling constant evolution.

1. Contrary to the earlier beliefs, it is possible to assume that  $\alpha_K$  is renormalization group invariant in strong sense if one assumes that gravitational interactions are mediated by space-time sheets labelled by  $M_{127}$ , the largest Mersenne prime which does not correspond to super-astronomical length scale.
2. Since classical color action reduces to Kähler action as does also electro-weak U(1) action, and since color holonomy is Abelian and induced spinors fields carry only anomalous color hyper charge as spinlike color quantum number identical with electroweak hypercharge, one can argue that the sum of color and U(1) actions equals to Kähler action implying  $1/\alpha_s + 1/\alpha_{U(1)} = 1/\alpha_K$  reducing the difficult-to-calculate evolution of color coupling strength to that of electroweak coupling constant evolution calculable perturbatively. The resulting predictions are consistent with the empirical facts and electron mass and  $\alpha_{U(1)}$  at electron length scale in principle fix the basic parameters of TGD completely.

The rather amazing coincidences between basic bio-rhythms and the periods associated with the states of orbits in solar system suggest that the frequencies defined by the energy levels of the gravitational Schrödinger equation might entrain with various biological frequencies such as the cyclotron frequencies associated with the magnetic flux tubes. For instance, the period associated with  $n=1$  orbit in the case of Sun is 24 hours within experimental accuracy for  $v_0$ .

Needless to add, if the proposed general picture is correct, not much is left from the super-string/M-theory approach to quantum gravitation since perturbative quantum field theory as the fundamental corner stone must be given up and because the underlying physical picture about gravitational interaction is simply wrong.

## 7.3 Quantum TGD in nutshell

This section provides a summary about quantum TGD. The discussions are based on the general vision that quantum states of the Universe correspond to the modes of classical spinor fields in the "world of the classical worlds" identified as the infinite-dimensional configuration space of light-like 3-surfaces of  $H = M^4 \times CP_2$  (more or less-equivalently, the corresponding 4-surfaces defining generalized Bohr orbits).

### 7.3.1 Geometric ideas

TGD relies heavily on geometric ideas, which have gradually generalized during the years. Symmetries play a key role as one might expect on basis of general definition of geometry as a structure characterized by a given symmetry.

### Physics as infinite-dimensional Kähler geometry

1. The basic idea is that it is possible to reduce quantum theory to configuration space geometry and spinor structure. The geometrization of loop spaces inspires the idea that the mere existence of Riemann connection fixes configuration space Kähler geometry uniquely. Accordingly, configuration space can be regarded as a union of infinite-dimensional symmetric spaces labelled by zero modes labelling classical non-quantum fluctuating degrees of freedom.

The huge symmetries of the configuration space geometry deriving from the light-likeness of 3-surfaces and from the special conformal properties of the boundary of 4-D light-cone would guarantee the maximal isometry group necessary for the symmetric space property. Quantum criticality is the fundamental hypothesis allowing to fix the Kähler function and thus dynamics of TGD uniquely. Quantum criticality leads to surprisingly strong predictions about the evolution of coupling constants.

2. Configuration space spinors correspond to Fock states and anti-commutation relations for fermionic oscillator operators correspond to anti-commutation relations for the gamma matrices of the configuration space. Configuration space gamma matrices contracted with Killing vector fields give rise to a super-algebra which together with Hamiltonians of the configuration space forms what I have used to call super-symplectic algebra.

Super-symplectic degrees of freedom represent completely new degrees of freedom and have no electroweak couplings. In the case of hadrons super-symplectic quanta correspond to what has been identified as non-perturbative sector of QCD: they define TGD correlate for the degrees of freedom assignable to hadronic strings. They are responsible for the most of the mass of hadron and resolve spin puzzle of proton.

Besides super-symplectic symmetries there are Super-Kac Moody symmetries assignable to light-like 3-surfaces and together these algebras extend the conformal symmetries of string models to dynamical conformal symmetries instead of mere gauge symmetries. The construction of the representations of these symmetries is one of the main challenges of quantum TGD. The assumption that the commutator algebra of these super-symplectic and super Kac-Moody algebras annihilates physical states gives rise to Super Virasoro conditions which could be regarded as analogs of configuration space Dirac equation.

Modular invariance is one aspect of conformal symmetries and plays a key role in the understanding of elementary particle vacuum functionals and the description of family replication phenomenon in terms of the topology of partonic 2-surfaces.

3. Configuration space spinors define a von Neumann algebra known as hyper-finite factor of type  $II_1$  (HFFs). This realization has led also to a profound generalization of quantum TGD through a generalization of the notion of imbedding space to characterize quantum criticality. The resulting space has a book like structure with various almost-copies of imbedding space representing the pages of the book meeting at quantum critical sub-manifolds. The outcome of this approach is that the exponents of Kähler function and Chern-Simons action are not fundamental objects but reduce to the Dirac determinant associated with the modified Dirac operator assigned to the light-like 3-surfaces.

### p-Adic physics as physics of cognition and intentionality

p-Adic mass calculations relying on p-adic length scale hypothesis led to an understanding of elementary particle masses using only super-conformal symmetries and p-adic thermodynamics. The need to fuse real physics and various p-adic physics to single coherent whole led to a generalization of the notion of number obtained by gluing together reals and p-adics together along common rationals and algebraics. The interpretation of p-adic space-time sheets is as correlates for cognition and intentionality. p-Adic and real space-time sheets intersect along common rationals and algebraics and the subset of these points defines what I call number theoretic braid in terms of which both configuration space geometry and S-matrix elements should be expressible. Thus one would obtain number theoretical discretization which involves no adhoc elements and is inherent to the physics of TGD.

Perhaps the most dramatic implication relates to the fact that points, which are p-adically infinitesimally close to each other, are infinitely distant in the real sense (recall that real and p-adic

imbedding spaces are glued together along rational imbedding space points). This means that any open set of  $p$ -adic space-time sheet is discrete and of infinite extension in the real sense. This means that cognition is a cosmic phenomenon and involves always discretization from the point of view of the real topology. The testable physical implication of effective  $p$ -adic topology of real space-time sheets is  $p$ -adic fractality meaning characteristic long range correlations combined with short range chaos.

Also a given real space-time sheets should correspond to a well-defined prime or possibly several of them. The classical non-determinism of Kähler action should correspond to  $p$ -adic non-determinism for some prime(s)  $p$  in the sense that the effective topology of the real space-time sheet is  $p$ -adic in some length scale range.  $p$ -Adic space-time sheets with same prime should have many common rational points with the real space-time and be easily transformable to the real space-time sheet in quantum jump representing intention-to-action transformation. The concrete model for the transformation of intention to action leads to a series of highly non-trivial number theoretical conjectures assuming that the extensions of  $p$ -adics involved are finite-dimensional and can contain also transcendentals.

An ideal realization of the space-time sheet as a cognitive representation results if the  $CP_2$  coordinates as functions of  $M_+^4$  coordinates have the same functional form for reals and various  $p$ -adic number fields and that these surfaces have discrete subset of rational numbers with upper and lower length scale cutoffs as common. The hierarchical structure of cognition inspires the idea that S-matrices form a hierarchy labelled by primes  $p$  and the dimensions of algebraic extensions.

The number-theoretic hierarchy of extensions of rationals appears also at the level of configuration space spinor fields and allows to replace the notion of entanglement entropy based on Shannon entropy with its number theoretic counterpart having also negative values in which case one can speak about genuine information. In this case case entanglement is stable against Negentropy Maximization Principle stating that entanglement entropy is minimized in the self measurement and can be regarded as bound state entanglement. Bound state entanglement makes possible macro-temporal quantum coherence. One can say that rationals and their finite-dimensional extensions define islands of order in the chaos of continua and that life and intelligence correspond to these islands.

TGD inspired theory of consciousness and number theoretic considerations inspired for years ago the notion of infinite primes [16]. It came as a surprise, that this notion might have direct relevance for the understanding of mathematical cognition. The ideas is very simple. There is infinite hierarchy of infinite rationals having real norm one but different but finite  $p$ -adic norms. Thus single real number (complex number, (hyper-)quaternion, (hyper-)octonion) corresponds to an algebraically infinite-dimensional space of numbers equivalent in the sense of real topology. Space-time and imbedding space points ((hyper-)quaternions, (hyper-)octonions) become infinitely structured and single space-time point would represent the Platonia of mathematical ideas. This structure would be completely invisible at the level of real physics but would be crucial for mathematical cognition and explain why we are able to imagine also those mathematical structures which do not exist physically. Space-time could be also regarded as an algebraic hologram. The connection with Brahman=Atman idea is also obvious.

### Hierarchy of Planck constants and dark matter hierarchy

The work with hyper-finite factors of type  $II_1$  (HFFs) combined with experimental input led to the notion of hierarchy of Planck constants interpreted in terms of dark matter [35]. The hierarchy is realized via a generalization of the notion of imbedding space obtained by gluing infinite number of its variants along common lower-dimensional quantum critical sub-manifolds. These variants of imbedding space are characterized by discrete subgroups of  $SU(2)$  acting in  $M^4$  and  $CP_2$  degrees of freedom as either symmetry groups or homotopy groups of covering. Among other things this picture implies a general model of fractional quantum Hall effect.

This framework also leads to the identification of number theoretical braids as points of partonic 2-surface which correspond to the minima of a generalized eigenvalue of Dirac operator, a scalar field to which Higgs vacuum expectation is proportional to. Higgs vacuum expectation has thus a purely geometric interpretation. The outcome is an explicit formula for the Dirac determinant consistent with the vacuum degeneracy of Kähler action and its finiteness and algebraic number property required by  $p$ -adicization requiring number theoretic universality. The zeta function associated with the eigenvalues (rather than Riemann Zeta as believed originally) in turn defines the super-symplectic conformal weights as its zeros so that a highly coherent picture result.

What is especially remarkable is that the construction gives also the 4-D space-time sheets associated with the light-like orbits of the partonic 2-surfaces: it remains to be shown whether they correspond to preferred extremals of Kähler action. It is clear that the hierarchy of Planck constants has become an essential part of the construction of quantum TGD and of mathematical realization of the notion of quantum criticality rather than a possible generalization of TGD.

### Number theoretical symmetries

TGD as a generalized number theory vision leads to the idea that also number theoretical symmetries are important for physics.

1. There are good reasons to believe that the strands of number theoretical braids can be assigned with the roots of a polynomial which suggests the interpretation corresponding Galois groups as purely number theoretical symmetries of quantum TGD. Galois groups are subgroups of the permutation group  $S_\infty$  of infinitely many objects acting as the Galois group of algebraic numbers. The group algebra of  $S_\infty$  is HFF which can be mapped to the HFF defined by configuration space spinors. This picture suggests a number theoretical gauge invariance stating that  $S_\infty$  acts as a gauge group of the theory and that global gauge transformations in its completion correspond to the elements of finite Galois groups represented as diagonal groups of  $G \times G \times \dots$  of the completion of  $S_\infty$ . The groups  $G$  should relate closely to finite groups defining inclusions of HFFs.
2. HFFs inspire also an idea about how entire TGD emerges from classical number fields, actually their complexifications. In particular,  $SU(3)$  acts as subgroup of octonion automorphisms leaving invariant preferred imaginary unit and  $M^4 \times CP_2$  can be interpreted as a structure related to hyper-octonions which is a subspace of complexified octonions for which metric has naturally Minkowski signature. This would mean that TGD could be seen also as a generalized number theory. This conjecture predicts the existence of two dual formulations of TGD based on the identification space-times as 4-surfaces in hyper-octonionic space  $M^8$  resp.  $M^4 \times CP_2$ .
3. The vision about TGD as a generalized number theory involves also the notion of infinite primes. This notion leads to a further generalization of the ideas about geometry: this time the notion of space-time point generalizes so that it has an infinitely complex number theoretical anatomy not visible in real topology.

### 7.3.2 The notions of imbedding space, 3-surface, and configuration space

The notions of imbedding space, 3-surface (and 4-surface), and configuration space (world of classical worlds (WCW)) are central to quantum TGD. The original idea was that 3-surfaces are space-like 3-surfaces of  $H = M^4 \times CP_2$  or  $H = M^4_+ \times CP_2$ , and WCW consists of all possible 3-surfaces in  $H$ . The basic idea was that the definition of Kähler metric of WCW assigns to each  $X^3$  a unique space-time surface  $X^4(X^3)$  allowing in this manner to realize general coordinate invariance. During years these notions have however evolved considerably.

#### The notion of imbedding space

Two generalizations of the notion of imbedding space were forced by number theoretical vision [24, 19, 16].

1. p-Adicization forced to generalize the notion of imbedding space by gluing real and p-adic variants of imbedding space together along rationals and common algebraic numbers. The generalized imbedding space has a book like structure with reals and various p-adic number fields (including their algebraic extensions) representing the pages of the book.
2. With the discovery of zero energy ontology [23, 31] it became clear that the so called causal diamonds (CDs) interpreted as intersections  $M^4_+ \cap M^4_-$  of future and past directed light-cones of  $M^4 \times CP_2$  define correlates for the quantum states. The position of the "lower" tip of  $CD$  characterizes the position of  $CD$  in  $H$ . If the temporal distance between upper and lower tip of  $CD$  is quantized in power-of-two multiples of  $CP_2$  length, p-adic length scale hypothesis

[27] follows as a consequence. The upper *resp.* lower light-like boundary  $\delta M_+^4 \times CP_2$  *resp.*  $\delta M_-^4 \times CP_2$  of  $CD$  can be regarded as the carrier of positive *resp.* negative energy part of the state. All net quantum numbers of states vanish so that everything is creatable from vacuum. Space-time surfaces assignable to zero energy states would reside inside  $CD \times CP_2$ s and have their 3-D ends at the light-like boundaries of  $CD \times CP_2$ . Fractal structure is present in the sense that  $CD$ s can contain  $CD$ s within  $CD$ s, and measurement resolution dictates the length scale below which the sub- $CD$ s are not visible.

3. The realization of the hierarchy of Planck constants [35] led to a further generalization of the notion of imbedding space. Generalized imbedding space is obtained by gluing together Cartesian products of singular coverings and factor spaces of  $CD$  and  $CP_2$  to form a book like structure. The particles at different pages of this book behave like dark matter relative to each other. This generalization also brings in the geometric correlate for the selection of quantization axes in the sense that the geometry of the sectors of the generalized imbedding space with non-standard value of Planck constant involves symmetry breaking reducing the isometries to Cartan subalgebra. Roughly speaking, each  $CD$  and  $CP_2$  is replaced with a union of  $CD$ s and  $CP_2$ s corresponding to different choices of quantization axes so that no breaking of Poincare and color symmetries occurs at the level of entire WCW.
4. The construction of quantum theory at partonic level brings in very important delicacies related to the Kähler gauge potential of  $CP_2$ . Kähler gauge potential must have what one might call pure gauge parts in  $M^4$  in order that the theory does not reduce to mere topological quantum field theory. Hence the strict Cartesian product structure  $M^4 \times CP_2$  breaks down in a delicate manner. These additional gauge components -present also in  $CP_2$ - play key role in the model of anyons, charge fractionization, and quantum Hall effect [17].

### The notions of 3-surface and space-time surface

The question what one exactly means with 3-surface turned out to be non-trivial.

1. The original identification of 3-surfaces was as arbitrary space-like 3-surfaces subject to Equivalence implied by General Coordinate Invariance. There was a problem related to the realization of Equivalence Principle since it was not at all obvious why the absolute minimum  $X^4(Y^3)$  for  $Y^3$  at  $X^4(X^3)$  and  $\text{Diff}^4$  related  $X^3$  should satisfy  $X^4(Y^3) = X^4(X^3)$ .
2. Much later it became clear that light-like 3-surfaces have unique properties for serving as basic dynamical objects, in particular for realizing the General Coordinate Invariance in 4-D sense (obviously the identification resolves the above mentioned problem) and understanding the conformal symmetries of the theory. On basis of these symmetries light-like 3-surfaces can be regarded as orbits of partonic 2-surfaces so that the theory is locally 2-dimensional. It is however important to emphasize that this indeed holds true only locally. At the level of WCW metric this means that the components of the Kähler form and metric can be expressed in terms of data assignable to 2-D partonic surfaces. It is however essential that information about normal space of the 2-surface is needed.
3. Rather recently came the realization that light-like 3-surfaces can have singular topology in the sense that they are analogous to Feynman diagrams. This means that the light-like 3-surfaces representing lines of Feynman diagram can be glued along their 2-D ends playing the role of vertices to form what I call generalized Feynman diagrams. The ends of lines are located at boundaries of sub- $CD$ s. This brings in also a hierarchy of time scales: the increase of the measurement resolution means introduction of sub- $CD$ s containing sub-Feynman diagrams. As the resolution is improved, new sub-Feynman diagrams emerge so that effective 2-D character holds true in discretized sense and in given resolution scale only.

The basic vision has been that space-time surfaces correspond to preferred extremals  $X^4(X^3)$  of Kähler action. Kähler function  $K(X^3)$  defining the Kähler geometry of the world of classical worlds would correspond to the Kähler action for the preferred extremal. The precise identification of the preferred extremals actually has however remained open.

1. The obvious guess motivated by physical intuition was that preferred extremals correspond to the absolute minima of Kähler action for space-time surfaces containing  $X^3$ . This choice has some nice implications. For instance, one can develop an argument for the existence of an infinite number of conserved charges. If  $X^3$  is light-like surface- either light-like boundary of  $X^4$  or light-like 3-surface assignable to a wormhole throat at which the induced metric of  $X^4$  changes its signature- this identification circumvents the obvious objections.
2. Much later number theoretical vision led to the conclusion that  $X^4(X_{l,i}^3)$ , where  $X_{l,i}^3$  denotes a connected component of the light-like 3-surfaces  $X_l^3$ , contain in their 4-D tangent space  $T(X^4(X_{l,i}^3))$  a subspace  $M_i^2 \subset M^4$  having interpretation as the plane of non-physical polarizations. This means a close connection with super string models. Geometrically this would mean that the deformations of 3-surface in the plane of non-physical polarizations would not contribute to the line element of WCW. This is as it must be since complexification does not make sense in  $M^2$  degrees of freedom.

In number theoretical framework  $M_i^2$  has interpretation as a preferred hyper-complex sub-space of hyper-octonions defined as 8-D subspace of complexified octonions with the property that the metric defined by the octonionic inner product has signature of  $M^8$ . A stronger condition would be that the condition holds true at all points of  $X^4(X^3)$  for a global choice  $M^2$  but this is un-necessary and leads to strong un-proven conjectures. The condition  $M_i^2 \subset T(X^4(X_{l,i}^3))$  in principle fixes the tangent space at  $X_{l,i}^3$ , and one has good hopes that the boundary value problem is well-defined and fixes  $X^4(X^3)$  uniquely as a preferred extremal of Kähler action. This picture is rather convincing since the choice  $M_i^2 \subset M^3$  plays also other important roles.

3. The next step [31] was the realization that the construction of the configuration space geometry in terms of modified Dirac action strengthens the boundary conditions to the condition that there exists space-time coordinates in which the induced  $CP_2$  Kähler form and induced metric satisfy the conditions  $J_{ni} = 0$ ,  $g_{ni} = 0$  hold at  $X_l^3$ . One could say that at  $X_l^3$  situation is static both metrically and for the Maxwell field defined by the induced Kähler form. There are reasons to hope that this is the final step in a long process.
4. The weakest form of number theoretic compactification [19] states that light-like 3-surfaces  $X^3 \subset X^4(X^3) \subset M^8$ , where  $X^4(X^3)$  hyper-quaternionic surface in hyper-octonionic  $M^8$  can be mapped to light-like 3-surfaces  $X^3 \subset X^4(X^3) \subset M^4 \times CP_2$ , where  $X^4(X^3)$  is now preferred extremum of Kähler action. The natural guess is that  $X^4(X^3) \subset M^8$  is a preferred extremal of Kähler action associated with Kähler form of  $E^4$  in the decomposition  $M^8 = M^4 \times E^4$ , where  $M^4$  corresponds to hyper-quaternions. The conjecture would be that the value of the Kähler action in  $M^8$  is same as in  $M^4 \times CP_2$ . A second interesting conjecture is that the hyper-quaternionic surfaces correspond to Kähler calibrations giving rise to absolute minima or maxima of Kähler action for  $M^8$  [19].

### The notion of configuration space

From the beginning there was a problem related to the precise definition of the configuration space ("world of classical worlds" (WCW)). Should one regard  $CH$  as the space of 3-surfaces of  $M^4 \times CP_2$  or  $M_+^4 \times CP_2$  or perhaps something more delicate.

1. For a long time I believed that the question " $M_+^4$  or  $M^4$ ?" had been settled in favor of  $M_+^4$  by the fact that  $M_+^4$  has interpretation as empty Robertson-Walker cosmology. The huge conformal symmetries assignable to  $\delta M_+^4 \times CP_2$  were interpreted as cosmological rather than laboratory symmetries. The work with the conceptual problems related to the notions of energy and time, and with the symmetries of quantum TGD, however led gradually to the realization that there are strong reasons for considering  $M^4$  instead of  $M_+^4$ .
2. With the discovery of zero energy ontology it became clear that the so called causal diamonds (CDs) define excellent candidates for the fundamental building blocks of the configuration space or "world of classical worlds" (WCW). The spaces  $CD \times CP_2$  regarded as subsets of  $H$  defined the sectors of WCW.



3. This framework allows to realize the huge symmetries of  $\delta M_{\pm}^4 \times CP_2$  as isometries of WCW. The gigantic symmetries associated with the  $\delta M_{\pm}^4 \times CP_2$  are also laboratory symmetries. Poincare invariance fits very elegantly with the two types of super-conformal symmetries of TGD. The first conformal symmetry corresponds to the light-like surfaces  $\delta M_{\pm}^4 \times CP_2$  of the imbedding space representing the upper and lower boundaries of  $CD$ . Second conformal symmetry corresponds to light-like 3-surface  $X_l^3$ , which can be boundaries of  $X^4$  and light-like surfaces separating space-time regions with different signatures of the induced metric. This symmetry is identifiable as the counterpart of the Kac Moody symmetry of string models.

A rather plausible conclusion is that configuration space (WCW) is a union of configuration spaces associated with the spaces  $CD \times CP_2$ .  $CD$ s can contain  $CD$ s within  $CD$ s so that a fractal like hierarchy having interpretation in terms of measurement resolution results. Since the complications due to p-adic sectors and hierarchy of Planck constants are not relevant for the basic construction, it reduces to a high degree to a study of a simple special case  $\delta M_{\pm}^4 \times CP_2$ .

### 7.3.3 The construction of M-matrix

The construction of S-matrix involves several ideas that have emerged during last years and involve symmetries in an essential manner.

#### Zero energy ontology

Zero energy ontology motivated originally by TGD inspired cosmology means that physical states have vanishing conserved net quantum numbers and are decomposable to positive and negative energy parts separated by a temporal distance characterizing the system as a space-time sheet of finite size in time direction. The particle physics interpretation is as initial and final states of a particle reaction. Obviously a profound modification of existing views about realization of symmetries is in question.

S-matrix and density matrix are unified to the notion of M-matrix defining time-like entanglement and expressible as a product of square root of density matrix and of unitary S-matrix. Thermodynamics becomes therefore a part of quantum theory. One must distinguish M-matrix from U-matrix defined between zero energy states and analogous to S-matrix and characterizing the unitary process associated with quantum jump. U-matrix is most naturally related to the description of intentional action since in a well-defined sense it has elements between physical systems corresponding to different number fields.

#### Quantum TGD as almost topological QFT

Light-likeness of the basic fundamental objects implies that TGD is almost topological QFT so that the formulation in terms of category theoretical notions is expected to work. M-matrices form in a natural manner a functor from the category of cobordisms to the category of pairs of Hilbert spaces and this gives additional strong constraints on the theory. Super-conformal symmetries implied by the light-likeness pose very strong constraints on both state construction and on M-matrix and U-matrix. The notions of n-category and n-groupoid which represents a generalization of the notion of group could be very relevant to this view about M-matrix.

#### Quantum measurement theory with finite measurement resolution

The notion of measurement resolution represented in terms of inclusions  $\mathcal{N} \subset \mathcal{M}$  of HFFs is an essential element of the picture. Measurement resolution corresponds to the action of the included sub-algebra creating zero energy states in time scales shorter than the cutoff scale. This means that complex rays of state space are effectively replaced with  $\mathcal{N}$  rays. The condition that the action of  $\mathcal{N}$  commutes with the M-matrix is a powerful symmetry and implies that the time-like entanglement characterized by M-matrix corresponds to Connes tensor product. Together with super-conformal symmetries this symmetry should fix possible M-matrices to a very high degree.

The notion of number theoretical braid realizes the notion of finite measurement resolution at space-time level and gives a direct connection to topological QFTs describing braids. The connection with quantum groups is highly suggestive since already the inclusions of HFFs involve these groups. Effective non-commutative geometry for the quantum critical sub-manifolds  $M^2 \subset M^4$  and  $S^2 \subset CP_2$

might provide an alternative notion for the reduction of stringy anti-commutation relations for induced spinor fields to anti-commutations at the points of braids.

### Generalization of Feynman diagrams

An essential difference between TGD and string models is the replacement of stringy diagrams with generalized Feynman diagrams obtained by gluing 3-D light-like surfaces (instead of lines) together at their ends represented as partonic 2-surfaces. This makes the construction of vertices very simple. The notion of number theoretic braid in turn implies discretization having also interpretation in terms of non-commutativity due to finite measurement resolution replacing anti-commutativity along stringy curves with anti-commutativity at points of braids. Braids can replicate at vertices which suggests an interpretation in terms of topological quantum computation combined with non-faithful copying and communication of information. The analogs of stringy diagrams have quite different interpretation in TGD: for instance, photons travelling via two different paths in double slit experiment are represented in terms of stringy branching of the photonic 2-surface.

### Symplectic variant of QFT as basic building block of construction

The latest discovery related to the construction of M-matrix was the realization that a symplectic variant of conformal field theories might be a further key element in the concrete construction of n-point functions and M-matrix in zero energy ontology. Although I have known super-symplectic (super-symplectic) symmetries to be fundamental symmetries of quantum TGD for almost two decades, I failed for some reason to realize the existence of symplectic QFT, and discovered it while trying to understand quite different problem - the fluctuations of cosmic microwave background! The symplectic contribution to the n-point function satisfies fusion rules and involves only variables which are symplectic invariants constructed using geodesic polygons assignable to the sub-polygons of n-polygon defined by the arguments of n-point function. Fusion rules lead to a concrete recursive formula for n-point functions and M-matrix in contrast to the iterative construction of n-point functions used in perturbative QFT.

## 7.4 Victories of M-theory from TGD view point

The basic victories of the M-theory relate to conformal symmetries and dualities and black hole physics and it is useful perform comparison with TGD.

### 7.4.1 Super-conformal symmetries

Space-time super-symmetries are regarded as one of the basic predictions of the super string model. Typically these super-symmetries appear at the level of effective quantum field theory limit derived from spontaneous compactification and predict that massless particles possess massless super partners, sparticles. The problem has been how to generalize Higgs mechanism to break the space-time super-symmetry. That sparticles have relatively low mass scale has been seen as one of the absolute predictions of M-theory and the ability to predict at least something has been counted as a success. Since sparticles have hitherto escaped the attempts to detect them, even this belief has been now challenged, and proposals has been made that perhaps M-theory might after all predict sparticles to be very massive.

Before continuing it must be emphasized that TGD and standard views about super-symmetry differ in many respects.

1. The standard view is inspired by the mathematically awkward and formal idea of assigning to the space-time coordinates anti-commuting super part. The belief is that string world sheet super-symmetries give rise to the space-time super symmetries of the low energy effective quantum field theory assigned to the string model.
2. In TGD the super-symmetry generators of the spectrum generating super-conformal algebra act as gamma matrices of the configuration space ("world of classical worlds"). The counterparts of the world sheet super-symmetries act as gauge super-symmetries at space-time level but do *not*

give rise to global space-time super-symmetries at the level of imbedding space. Anti-commuting infinitesimals are encountered nowhere.

### Super-symmetry at the space-time level

The interpretation of the bosonic Kac Moody symmetries is as deformations preserving the light likeness of the light like 3-D CD  $X_l^3$ . Gauge symmetries are in question when the intersections of  $X_l^3$  with 7-D causal determinants  $X^7$  are not changed. Since general coordinate invariance corresponds to gauge degeneracy of the metric it is possible to consider reduced configuration space consisting of the light like 3-D CDs. The conformal symmetries in question imply a further degeneracy of the configuration space metric and effective metric 2-dimensionality of 3-surfaces as a consequence. These conformal symmetries are accompanied by  $N = 4$  local super conformal symmetries defined by the solutions of the induced spinor fields.

Contrary to the original beliefs, these conformal symmetries do not seem to be continuable to quaternion conformal super symmetries in the interior of the space-time surface realized as real analytic power series of a quaternionic space-time coordinate. The reason is that these symmetries involve both transversal complex coordinate and light like coordinate as independent variables whereas quaternion conformal symmetries are algebraically one-dimensional.

A resolution of the interpretational problems came with the realization that it is hyper-quaternionic and -octonionic conformal symmetries, which are in question and that these symmetries are naturally associated with the description of the space-time surface as a 4-surface in hyper-quaternionic  $HO = M^8$  rather than in  $H$ . These symmetries are realized also at the level of  $H$ . Note that hyper-quaternionic symmetries act trivially in the interior of  $X^4$  but induce deformations of boundaries of  $X^4$ .

The solutions of the modified Dirac equation  $D\Psi = 0$ , define the modes which do not contribute to the Dirac determinant of the modified Dirac operator in terms of which the vacuum functional assumed to correspond to the exponent of the Kähler action is defined. Thus they define gauge super-symmetries. Usually  $D$  selects the physical helicities by the requirement that it annihilates physical states: now the situation is just the opposite.  $D^2$  annihilates the generalized eigen states both at space-like and light like 3-surfaces. Hence the roles of the physical and non-physical helicities are switched. It is the generalized eigen modes of  $D$  with non-vanishing eigenvalues  $\lambda$ , which code for the physics whereas the solutions of the modified Dirac equation define super gauge symmetries.

At the space-like 3-surfaces associated with 7-D causal determinants the spinor harmonics of the configuration space satisfy the  $M^4 \times CP_2$  counterpart of the massless Dirac equation so that non-physical helicities are eliminated in the standard sense at the imbedding space level. The righthanded neutrino does not generate an  $N = 1$  space-time super-symmetry contrary to the long held belief.

### Super-symmetry at the level of configuration space

The gamma matrices of the configuration space are defined as matrix elements of properly chosen operators between right-handed neutrino and second quantized induced spinor field at space-like boundaries  $X^3$  [31]. These generators define the fermionic generators of what I call super-symplectic algebra. The right handed neutrino can be replaced with any spinor harmonic of the imbedding space to obtain an extended super-algebra, which can be used to construct the physical states.

The requirement that super-generators vanish for the vacuum extremals requires that the modified Dirac operator  $D_+$  or the inverse of  $D_-$  appearing in the matrix element of the "Hermitian conjugate"  $S^- = (S^+)^\dagger$  of the super charge  $S^+$ . Here  $\pm$  refers to the negative and positive energy space-time sheets meeting at  $X^3$  or to the two maximally deterministic space-time regions separated by the causal determinant. The operators  $D_+$  and  $D_-^{-1}$  are restricted to the spinor modes not annihilated by  $D_\pm$ . The super-generator generated by the covariantly constant right handed neutrino vanishes identically: a more rigorous argument showing that  $N = 1$  global super symmetry is indeed absent.

If the configuration space decomposes into a union of sectors labelled by unions of light cones having tips at arbitrary points of  $M^4$ , the spinor harmonics can be assumed to define plane waves in  $M^4$  and even possess well-defined four-momenta and mass squared values. Same applies to the super-symplectic generators defined by their commutators. This means that the generators of the super-symplectic algebra generated in this manner would possess well defined four-momenta and thus their action would change the mass of the state. Space-time super-symmetries would be absent. Similar

argument applies to the Kac Moody algebras associated with the light like 3-D causal determinants if super-symplectic Super Kac-Moody algebras provide dual representations of quantum states.

If the gist of these admittedly heuristic arguments is correct, they force to modify drastically the existing view about space-time super-symmetries. The problem how to break super-symmetry disappears since there is no space-time symmetry to be broken down. Super-symmetries are realized as a spectrum generating algebra rather than symmetries in the standard sense.

I hasten to admit that I have myself believed that right handed neutrino defines a global super-symmetry and proposed that the topological condensation of sparticles and particles at space-time sheets with different p-adic primes would provide an elegant model for super-symmetry breaking using same general mass formulas but only a different mass scale. Giving up this assumption causes however only a sigh of relief. The predicted spectrum of massless states is reduced dramatically [20]. p-Adic mass calculations based on p-adic thermodynamics and representations of super-conformal algebra are not affected since the global  $N = 1$  super-symmetry implies only an additional vacuum degeneracy. Most predictions of TGD remain intact. The speculation that sneutrinos might be light and play a role in TGD based condensed matter physics is the only possible exception. One can however consider the possibility of light colored sneutrinos obtained by applying to a neutrino state a colored and thus non-vanishing super-symplectic generator defined by right handed antineutrino.

It deserves to be noticed that the notion super-symmetry in configuration space sense was discovered with the advent of super string models and generalized to a space-time super-symmetry when gauge theories made their breakthrough. The notion of spontaneous compactification (we meet our friend again and again!) inspired then the hypothesis that this super-symmetry has a space-time counterpart and everyone believed. There is now an entire industry making similar purely formal out of context applications and generalizations of quantum groups, which originally emerged naturally in knot and braid theory and in the theory of von Neumann algebras [16, 35].

## 7.4.2 Dualities

The starting point of duality physics was the classical paper of Montonen and Olive about electric-magnetic duality [67] which was generalized to what are known as S and T dualities in superstring context. The notion of duality is central also in TGD framework.

### Dualities as victories of M-theory

Dualities [75] allowing to unify various superstring models are regarded as basic victories of M-theory. The heuristic proofs for various dualities between various variants of superstring model that I have seen apply what might be called M-logic. Consider special examples defined by 11-dimensional super-gravity using a particular background and particular spontaneous compactification and demonstrate that these examples are consistent with the duality. Then generalize from special to general. For a non-specialist, it is difficult to decide, whether all this is just wishful thinking and clever choices of compactifications.

### Mirror symmetry of Calabi-Yau manifolds

String theory has stimulated very general conjectures about the properties of Calabi-Yau manifolds, which have turned out to be correct. Calabi-Yau manifolds are 3-dimensional Kähler manifolds with  $SU(3)$  (rather than  $U(3)$ ) holonomy group and thus satisfy empty space Einstein equations implied by the requirement of the vanishing of conformal anomaly in closed super string models. The prediction of the mirror symmetry for Calabi-Yau manifolds [69] emerged before the era of M-theory from the study of  $N = 2$  super-conformal sigma models with Calabi-Yau manifold as a target space and closed string world sheet as the "space-time". In the 11-dimensional M-theory context Calabi-Yau manifolds are obtained only by a special compactification for which 11<sup>th</sup> dimension corresponds to a circle. The argument taken from [69] written in a physicist friendly manner runs as follows.

1. In conformal field theories the so called marginal operators correspond to the deformations of the original conformal field theory respecting the property of being a conformal field theory, and thus the criticality of the physical system. In particular, the deformations of complex and Kähler structures of the target space, now Calabi-Yau space, induce this kind of deformations. The basic finding was that the operators inducing these two kinds of deformations differ only by

the opposite sign of their  $U(1)$  charge associated with the  $U(1)$  current of  $N = 2$  super-symmetry algebra.

2. The mere change of the sign of  $U(1)$  charge would correspond to a permutation of the spaces of complex and Kähler moduli which means a rather drastic geometric and even a topological change. On the other hand, the physical change must be marginal since the system remains critical. Both signs of  $U(1)$  charge seem highly plausible so that the hypothesis is that the Calabi-Yau manifolds appear a mirror pairs so that in a rough sense the moduli for Kähler and complex structures are permuted for the members of the mirror pair by performing a change of sign of  $U(1)$  charge for the left moving modes of string. Actually a generalization of the notion of Kähler moduli is necessary. This is achieved by combining the Kähler form and antisymmetric field  $B$  defining a generalization of  $U(1)$  gauge potential to form a imaginary and complex parts of a more general structure for which Kähler moduli space (Kähler cone) is complexified and by introducing so called extended Kähler cone combining the Kähler moduli associated with several Calabi-Yau spaces so that single Calabi-Yau manifold can have several mirrors [69].

There are two implications. First, two different Calabi-Yau geometries and even topologies give rise to the same conformally invariant physics: the physics  $\leftrightarrow$  geometry identification of General Relativity is not strictly true anymore. Secondly, the continuous change of the complex moduli for the Calabi-Yau manifold corresponds to a topology change for the mirror manifold so that even topology change corresponds to a quite smooth change of physics, in fact a change respecting 2-dimensional criticality. Even the possibility that the change involves a temporary contraction of the Calabi-Yau to a point during the change cannot be excluded [69], which looks really weird. Also singular Calabi-Yau manifolds are possible and not mere limiting cases of non-singular ones [69].

These implications might be also seen as a failure of the theory basically due to the spontaneous compactification trick. In TGD imbedding space is fixed and similar phenomenon does not occur. The moduli space of conformal structures of the metrically 2-dimensional light like causal determinants effectively corresponding to closed string world sheets is however involved also now, and implies naturally the concept of elementary particle vacuum functional defined in the moduli space of complex structures characterizing the effectively 2-D induced metrics at causal determinants [31]. The notion is essential for p-adic mass calculations and predicts correct ratios for electron, muon, and tau lepton masses [20].

To conclude, the discovery of the mirror symmetry is quite beautiful and impressive but as such does not provide support for the super string theory as a physical theory. The discovery could have been made by a conformal field theorist interested in two-dimensional critical statistical systems.

### 7.4.3 Dualities and conformal symmetries in TGD framework

The reason for discussing the rather speculative notion of dualities before considering the definition of the modified Dirac action and discussing the proposal how to define Kähler function in terms of Dirac determinants, is that the duality thinking gives the necessary overall view about the complex situation: even wrong vision is better than no vision at all.

The first candidate for a duality in TGD is electric-magnetic duality appearing in the construction of configuration space geometry.

#### Electric-magnetic duality

Electric-magnetic duality for the induced Kähler induced field is present also in TGD ( $CP_2$  Kähler form is self-dual). My original belief was that it corresponds to a self duality leaving Kähler coupling constant invariant as an analog of critical temperature:  $\alpha_K \rightarrow \alpha_K$  in this transformation [17]. This duality would allow to construct configuration space Kähler metric in terms of Kähler electric or magnetic fluxes.

This duality relates in an interesting manner to the idea that space-time surfaces can be regarded either hyper-quaternionic sub-manifolds of  $M^8$  endowed with hyper-octonionic tangent space or as 4-surfaces in  $M^4 \times CP_2$  [19]. The point is that one can consider also the dual definition for which the 4-D normal space defines 4-D subalgebra of 8-D algebra at each point of the space-time surface. One might speak of number theoretical spontaneous compactification. This duality corresponds to naturally to the decomposition of space-time surface to regions for which the signature of the induced

metric is Minkowskian *resp.* Euclidian. Therefore there are reasons to expect that the dichotomies electric-magnetic, associative -co-associative, and Minkowskian-Euclidian correspond to one and same duality.

### Are the two super-conformal symmetries dual to each other?

TGD predicts two kinds of super-conformal symmetries corresponding to 7-D surfaces  $\delta M_{\pm}^4$  of imbedding space and 3-D causal determinants of space-time surface. The innocent question of the novice is whether some kind of 7-3 duality might prevail in some sense so that these two kinds of causal determinants might provide alternative descriptions.

It has turned out [33, 35, 23, 31] that 3-7 duality does not make in the strong sense that one could describe TGD based physics using either 3-D or 7-D symmetries. Generalized coset construction stating that the differences of corresponding Super-Virasoro generators annihilate physical states looks highly attractive and would provide a generalization of Equivalence Principle assigning inertial and gravitational masses to the two conformal symmetries.

Since I took 7-3 duality quite seriously for some time, it deserves to be described in detail

1. A duality between space-time surfaces and light-like 3-surfaces, which I have referred earlier as 7-3 duality has simplified a lot the construction of the theory. The basic idea behind this duality is that space-time surface is fixed completely once either 3-D light-like 3-surfaces or space-like 3-surfaces at 7-D surfaces  $\delta CD \times CP_2$  are given: one cannot fix both arbitrarily. Second aspect of the duality was that super-symplectic conformal symmetries acting in  $\delta CD \times CP_2$  and super Kac-Moody type symmetries acting in  $X_l^3$  could provide dual descriptions and represent different coordinate choices for the world of classical worlds.
2. This picture re-emerged in a modified form in the construction of S-matrix [23, 31] accompanied by a more detailed formulation of the TGD counterpart of the quantum measurement theory. One can say that the classical dynamics in the interior dictated by the Kähler action (or number theoretically) is in a precise correspondence with the quantum dynamics at light-like partonic 3-surfaces  $X_l^3$  in the sense that conserved classical charges correspond to a maximum commuting set of quantal charges. Furthermore, the Dirac determinant associated with the modified Dirac action at  $X_l^3$  gives rise to the exponent of Kähler function of  $CH$ . The modified Dirac action would be simply Chern-Simons action for the induced Kähler gauge potential so that TGD would reduce to almost-topological QFT.
3. The strongest form of this duality would be quantum gravitational holography in a strong form: light-like 3-surfaces would provide a representation for the theory and a very intimate connection with closed super-string models would result. This alternative leads to a remarkable simplification of the basic formulas for configuration space Hamiltonians, Kähler metric, and gamma matrices since one can restrict the integrals in the defining formulas to 2-D intersections  $X^2$  of 3-D light-like 3-surfaces  $\delta CD \times CP_2$  identifiable as sub-manifolds of space-like 3-surfaces.

Duality in strong sense would mean that configuration space gamma matrices identified as should also anticommute to configuration space metric just like the super-symplectic charges do. This is quite possible: the representations would correspond to two different coordinates for the tangent space of  $CH$  determined by the Hamiltonians of  $\delta M_{\pm}^4 \times CP_2$  and by Kac Moody Lie-algebra and if the coordinatizations are faithful 7-3 duality corresponds to a change of  $CH$  coordinates.

Consider now the objections against 7-3 duality in strong form.

1. The first objection is that Super-Kac-Moody algebra might act in zero modes of configuration space metric. In this case the duality could be mediated by classical-quantum duality in the sense that zero modes would provide classical representation of quantum physics in quantum fluctuating degrees of freedom.
2. Second objection is that super-symplectic representations associated with  $\delta CD \times CP_2$  and Super-Kac Moody algebras associated with 3-D light-like 3-surfaces do not seem to be in dual relation. Super-symplectic and Super Kac-Moody representations can be realized at the above mentioned 2-D intersections  $X_l^2$ , and the action of Kac Moody algebra on super-symplectic algebra is well defined and does not lead out of super-symplectic algebra. Hence one can hope that same

representation space defines representations of both algebras, at least when one allows the representation to consist of several irreducible representations of both algebras.

There are however good reasons to consider a weaker form of the duality. The two super-conformal algebras could be in same relation and Virasoro algebras of group  $G$  and its subgroup  $H$  in giving rise to super Virasoro representation with a vanishing central charge using differences of the super Virasoro generators in question. Hence the super Virasoro algebras would be dual in the sense that their actions cancel each other. Together with the so called zero energy ontology [23, 31] according to which physical states in TGD Universe have always vanishing net conserved charges, this leads to a very elegant general picture about super-conformal symmetries and about the construction of S-matrix in TGD framework.

The realization that coset construction is possible for super-symplectic and Super Kac-Moody algebras provides a more convincing justification and more precise formulation for what I called originally 7-3 duality. Coset Super-Virasoro conditions provide TGD counterparts of Einstein's equations and realize generalization of Equivalence Principle in TGD framework. Coset construction justifies also p-adic thermodynamics. The construction will be discussed in detail in the last section of the book devoted to conformal symmetries.

### Quantum gravitational holography

The so called AdS/CFT duality of Maldacena [69] correspondence relates to quantum-gravitational holography states roughly that the gravitational theory in 10-dimensional  $AdS_{10-n} \times S^n$  manifold is equivalent with the conformal field theory at the boundary of  $AdS_D$  factor, which is  $D-1$ -dimensional Minkowski space. This duality has been seen as a manifestation of a duality between super-gravity with Kaluza-Klein quantum numbers (closed strings) and super Yang-Mills theories (open strings with quantum numbers at the ends of string).

In TGD quantum gravitational holography is realized in terms of the modified Dirac action at light like 3-D causal determinants [31], which by their metric 2-dimensionality allow superconformal invariance and are very much like world sheets of closed super string or the ends of an open string.

There are could reasons to believe that the value of Kähler action at maximally deterministic region of space-time sheets are expressible in terms of a Dirac determinant for the modified Dirac action associated with Chern-Simons action at light-like 3-surfaces [31, 16]. This would reduce enormously difficult problem of identifying preferred extremals of Kähler action and calculating corresponding Kähler action to local data at light-light-like 3-surfaces.

1. Number theoretical compactification states that light-like 3-surfaces in  $M^8$  can be mapped to light-like 3-surfaces in  $M^4 \times CP_2$ . This require that the tangent space of  $X^4(X_l^3)$  at  $X_l^3$  contains preferred plane  $M^2 \subset M^4$  having interpretation as hyper-complex sub-space.  $M^2$  is same for each connected component of  $X_l^3$ . Hence the boundary values are fixed at  $X_l^3$  to a high degree. The physical interpretation of  $M^2$  is as the plane of non-physical polarization so that gauge invariance would have purely number theoretic interpretation.
2. One must code the information about the preferred extremal of Kähler action to the spectrum of the modified Dirac operator  $D_{C-S}$  associated with Chern-Simons Dirac action, whose generalized eigenvalues are arbitrary functions of transversal coordinates of  $X_l^3$ . This is achieved by requiring that the spinor field at  $X_l^3$  can be regarded as spinorial shock waves. This means that they are singular solutions of the modified Dirac operator  $D_K$  associated with Kähler action in the interior of  $X^4$  and concentrated to  $X_l^3$ . Since modified Dirac equation reduces to super current conservation, the condition states that the 4-D super current is concentrated at  $X_l^3$  and flows along it. Therefore the singular solutions of  $D_K$  correspond to generalized eigenmodes of  $D_{C-S}$  and the Dirac determinant is simply the product of the eigen values analogous to cyclotron energies in the electro-weak magnetic field associated with  $X_l^3$ .
3. By the special properties of Kähler action, eigenmodes are localized into regions where induced Kähler form is non-vanishing and the number of modes is finite. Hence no regularization procedure is needed to define Dirac determinant, and it indeed carries information about the preferred extremal specified by the condition  $M^2 \subset T(X^4(X_l^3))$  and boundary conditions  $g_{ni} = 0$  and  $J_{ni} = 0$  for induced metric and Kähler form [31].

This reduction has enormous importance for the calculability of the theory. For instance, an explicit form for the Kähler coupling strength in terms of Dirac determinant fixing the number theoretic anatomy of also other couplings. The values of Kähler coupling strength and gravitational constant can be predicted by using the results of p-adic mass calculations [36, 31]. As a matter fact, Kähler coupling strength can be identified as fine structure constant in electron length scale.

Perhaps the most practical form of the quantum gravitational holography is implied by the generalized conformal invariance implying effective 2-dimensionality. This means that  $X_l^3$  represent generalized Feynman diagrams with lines representing by light-like 3-surfaces and vertices as 2-surfaces  $X^2 \subset \delta CD \times CP_2$  at which these lines meet. Vertices can be expressed as N-point functions of super-conformal field theory at these 2-surfaces. Only effective two-dimensionality is in question since one has hierarchy of  $CDs$  within  $CDs$  and improvement of measurement resolution brings into consideration  $CDs$  with smaller size. Effective 2-dimensionality obvious means quantum holography in lower dimensional sense and this sequence of holographies continues down to the level of number theoretic braids with information about M-matrix coded by a set of discrete points at partonic 2-surfaces  $X^2$ .

Computationally TGD would reduce to almost string model since light like 3-surfaces are analogous to closed string world sheets on one hand, and to the ends of open string on the other hand. There is also an analogy with the Wess-Zumino-Witten model: light like causal determinants would correspond to the 2-D space of WZW model and 4-surface to the associated 3-D space defining the central extension of the Kac-Moody algebra.

#### 7.4.4 Number theoretic compactification and $M^8 - H$ duality

This section summarizes the basic vision about number theoretic compactification reducing the classical dynamics to number theory. In strong form  $M^8 - H$  duality boils down to the assumption that space-time surfaces can be regarded either as surfaces of  $H$  or as surfaces of  $M^8$  composed of hyper-quaternionic and co-hyper-quaternionic regions identifiable as regions of space-time possessing Minkowskian *resp.* Euclidian signature of the induced metric.

##### Basic idea behind $M^8 - M^4 \times CP_2$ duality

The hopes of giving  $M^4 \times CP_2$  hyper-octonionic structure are meager. This circumstance forces to ask whether four-surfaces  $X^4 \subset M^8$  could under some conditions define 4-surfaces in  $M^4 \times CP_2$  indirectly so that the spontaneous compactification of super string models would correspond in TGD to two different manners to interpret the space-time surface. The following arguments suggest that this is indeed the case.

The hard mathematical fact behind number theoretical compactification is that the quaternionic sub-algebras of octonions with fixed complex structure (that is complex sub-space) are parameterized by  $CP_2$  just as the complex planes of quaternion space are parameterized by  $CP_1 = S^2$ . Same applies to hyper-quaternionic sub-spaces of hyper-octonions.  $SU(3)$  would thus have an interpretation as the isometry group of  $CP_2$ , as the automorphism sub-group of octonions, and as color group.

1. The space of complex structures of the octonion space is parameterized by  $S^6$ . The subgroup  $SU(3)$  of the full automorphism group  $G_2$  respects the a priori selected complex structure and thus leaves invariant one octonionic imaginary unit, call it  $e_1$ . Hyper-quaternions can be identified as  $U(2)$  Lie-algebra but it is obvious that hyper-octonions do not allow an identification as  $SU(3)$  Lie algebra. Rather, octonions decompose as  $1 \oplus 1 \oplus 3 \oplus \bar{3}$  to the irreducible representations of  $SU(3)$ .
2. Geometrically the choice of a preferred complex (quaternionic) structure means fixing of complex (quaternionic) sub-space of octonions. The fixing of a hyper-quaternionic structure of hyper-octonionic  $M^8$  means a selection of a fixed hyper-quaternionic sub-space  $M^4 \subset M^8$  implying the decomposition  $M^8 = M^4 \times E^4$ . If  $M^8$  is identified as the tangent space of  $H = M^4 \times CP_2$ , this decomposition results naturally. It is also possible to select a fixed hyper-complex structure, which means a further decomposition  $M^4 = M^2 \times E^2$ .
3. The basic result behind number theoretic compactification and  $M^8 - H$  duality is that hyper-quaternionic sub-spaces  $M^4 \subset M^8$  containing a fixed hyper-complex sub-space  $M^2 \subset M^4$  or its light-like line  $M_{\pm}$  are parameterized by  $CP_2$ . The choices of a fixed hyper-quaternionic basis



- 1,  $e_1, e_2, e_3$  with a fixed complex sub-space (choice of  $e_1$ ) are labeled by  $U(2) \subset SU(3)$ . The choice of  $e_2$  and  $e_3$  amounts to fixing  $e_2 \pm \sqrt{-1}e_3$ , which selects the  $U(2) = SU(2) \times U(1)$  subgroup of  $SU(3)$ .  $U(1)$  leaves 1 invariant and induced a phase multiplication of  $e_1$  and  $e_2 \pm e_3$ .  $SU(2)$  induces rotations of the spinor having  $e_2$  and  $e_3$  components. Hence all possible completions of 1,  $e_1$  by adding  $e_2, e_3$  doublet are labeled by  $SU(3)/U(2) = CP_2$ .
4. Space-time surface  $X^4 \subset M^8$  is by definition hyper-quaternionic if the tangent spaces of  $X^4$  are hyper-quaternionic planes. Co-hyper-quaternionicity means the same for normal spaces. The presence of fixed hyper-complex structure means at space-time level that the tangent space of  $X^4$  contains fixed  $M^2$  at each point. Under this assumption one can map the points  $(m, e) \in M^8$  to points  $(m, s) \in H$  by assigning to the point  $(m, e)$  of  $X^4$  the point  $(m, s)$ , where  $s \in CP_2$  characterize  $T(X^4)$  as hyper-quaternionic plane.
  5. The choice of  $M^2$  can be made also local in the sense that one has  $T(X^4) \supset M^2(x) \subset M^4 \subset H$ . It turns out that strong form of number theoretic compactification requires this kind of generalization. In this case one must be able to fix the convention how the point of  $CP_2$  is assigned to a hyper-quaternionic plane so that it applies to all possible choices of  $M^2 \subset M^4$ . Since  $SO(3)$  hyper-quaternionic rotation relates the hyper-quaternionic planes to each other, the natural assumption is hyper-quaternionic planes related by  $SO(3)$  rotation correspond to the same point of  $CP_2$ . Under this assumption it is possible to map hyper-quaternionic surfaces of  $M^8$  for which  $M^2 \subset M^4$  depends on point of  $X^4$  to  $H$ .

#### Minimal form of $M^8 - H$ duality

The basic problem in the construction of quantum TGD has been the identification of the preferred extremals of Kähler action playing a key role in the definition of the theory. The most elegant manner to do this is by fixing the 4-D tangent space  $T(X^4(X_i^3))$  of  $X^4(X_i^3)$  at each point of  $X_i^3$  so that the boundary value problem is well defined. What I called number theoretical compactification allows to achieve just this although I did not fully realize this in the original vision. The minimal picture is following.

1. The basic observations are following. Let  $M^8$  be endowed with hyper-octonionic structure. For hyper-quaternionic space-time surfaces in  $M^8$  tangent spaces are by definition hyper-quaternionic. If they contain a preferred plane  $M^2 \subset M^4 \subset M^8$  in their tangent space, they can be mapped to 4-surfaces in  $M^4 \times CP_2$ . The reason is that the hyper-quaternionic planes containing preferred the hyper-complex plane  $M^2$  of  $M_\pm \subset M^2$  are parameterized by points of  $CP_2$ . The map is simply  $(m, e) \rightarrow (m, s(m, e))$ , where  $m$  is point of  $M^4$ ,  $e$  is point of  $E^4$ , and  $s(m, 2)$  is point of  $CP_2$  representing the hyperquaternionic tangent plane. The inverse map assigns to each point  $(m, s)$  in  $M^4 \times CP_2$  point  $m$  of  $M^4$ , undetermined point  $e$  of  $E^4$  and 4-D plane. The requirement that the distribution of planes containing the preferred  $M^2$  or  $M_\pm$  corresponds to a distribution of planes for 4-D surface is expected to fix the points  $e$ . The physical interpretation of  $M^2$  is in terms of plane of non-physical polarizations so that gauge conditions have purely number theoretical interpretation.
2. In principle, the condition that  $T(X^4)$  contains  $M^2$  can be replaced with a weaker condition that either of the two light-like vectors of  $M^2$  is contained in it since already this condition assigns to  $T(X^4)$   $M^2$  and the map  $H \rightarrow M^8$  becomes possible. Only this weaker form applies in the case of massless extremals [29] as will be found.
3. The original idea was that hyper-quaternionic 4-surfaces in  $M^8$  containing  $M^2 \subset M^4$  in their tangent space could correspond to preferred extremals of Kähler action. This condition does not seem to be consistent with what is known about the extremals of Kähler action. The weaker form of the hypothesis is that hyper-quaternionicity holds only for 4-D tangent spaces of  $X_i^3 \subset H = M^4 \times CP_2$  identified as wormhole throats or boundary components lifted to 3-surfaces in 8-D tangent space  $M^8$  of  $H$ . The minimal hypothesis would be that only  $T(X^4(X_i^3))$  at  $X_i^3$  is associative that is hyper-quaternionic for fixed  $M^2$ .  $X_i^3 \subset M^8$  and  $T(X^4(X_i^3))$  at  $X_i^3$  can be mapped to  $X_i^3 \subset H$  if tangent space contains also  $M_\pm \subset M^2$  or  $M^2 \subset M^4 \subset M^8$  itself having interpretation as preferred hyper-complex plane. This condition is not satisfied by all surfaces  $X_i^3$  as is clear from the fact that the inverse map involves local  $E^4$  translation. The

requirements that the distribution of hyper-quaternionic planes containing  $M^2$  corresponds to a distribution of 4-D tangent planes should fix the  $E^4$  translation to a high degree.

4. A natural requirement is that the image of  $X_l^3 \subset H$  in  $M^8$  is light-like. The condition that the determinant of induced metric vanishes gives an additional condition reducing the number of free parameters by one. This condition cannot be formulated as a condition on  $CP_2$  coordinate characterizing the hyper-quaternionic tangent plane. Since  $M^4$  projections are same for the two representations, this condition is satisfied if the contributions from  $CP_2$  and  $E^4$  and projections to the induced metric are identical:  $s_{kl}\partial_\alpha s^k\partial_\beta s^l = e_{kl}\partial_\alpha e^k\partial_\beta e^l$ . This condition means that only a subset of light-like surfaces of  $M^8$  are realized physically. One might argue that this is as it must be since the volume of  $E^4$  is infinite and that of  $CP_2$  finite: only an infinitesimal portion of all possible light-like 3-surfaces in  $M^8$  can have  $H$  counterparts. The conclusion would be that number theoretical compactification is 4-D isometry between  $X^4 \subset H$  and  $X^4 \subset M^8$  at  $X_l^3$ . This unproven conjecture is unavoidable.
5.  $M^2 \subset T(X^4(X_l^3))$  condition fixes  $T(X^4(X_l^3))$  in the generic case by extending the tangent space of  $X_l^3$ , and the construction of configuration space spinor structure fixes boundary conditions completely by additional conditions necessary when  $X_l^3$  corresponds to a light-like 3 surfaces defining wormhole throat at which the signature of induced metric changes. What is especially beautiful that only the data in  $T(X^4(X_l^3))$  at  $X_l^3$  is needed to calculate the vacuum functional of the theory as Dirac determinant: the only remaining conjecture (strictly speaking un-necessary but realistic looking) is that this determinant gives exponent of Kähler action for the preferred extremal and there are excellent hopes for this by the structure of the basic construction.

The basic criticism relates to the condition that light-like 3-surfaces are mapped to light-like 3-surfaces guaranteed by the condition that  $M^8 - H$  duality is isometry at  $X_l^3$ .

### Strong form of $M^8 - H$ duality

The proposed picture is the minimal one. One can of course ask whether the original much stronger conjecture that the preferred extrema of Kähler action correspond to hyper-quaternionic surfaces could make sense in some form. One can also wonder whether one could allow the choice of the plane  $M^2$  of non-physical polarization to be local so that one would have  $M^2(x) \subset M^4 \subset M^4 \times E^4$ , where  $M^4$  is fixed hyper-quaternionic sub-space of  $M^8$  and identifiable as  $M^4$  factor of  $H$ .

1. If  $M^2$  is same for all points of  $X_l^3$ , the inverse map  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  is fixed apart from possible non-uniqueness related to the local translation in  $E^4$  from the condition that hyper-quaternionic planes represent light-like tangent 4-planes of light-like 3-surfaces. The question is whether not only  $X_l^3$  but entire four-surface  $X^4(X_l^3)$  could be mapped to the tangent space of  $M^8$ . By selecting suitably the local  $E^4$  translation one might hope of achieving this. The conjecture would be that the preferred extrema of Kähler action are those for which the distribution integrates to a distribution of tangent planes.
2. There is however a problem. What is known about extremals of Kähler action is not consistent with the assumption that fixed  $M^2$  of  $M_\pm \subset M^2$  is contained in the tangent space of  $X^4$ . This suggests that one should relax the condition that  $M^2 \subset M^4 \subset M^8$  is a fixed hyper-complex plane associated with the tangent space or normal space  $X^4$  and allow  $M^2$  to vary from point to point so that one would have  $M^2 = M^2(x)$ . In  $M^8 \rightarrow H$  direction the justification comes from the observation (to be discussed below) that it is possible to uniquely fix the convention assigning  $CP_2$  point to a hyper-quaternionic plane containing varying hyper-complex plane  $M^2(x) \subset M^4$ . Number theoretic compactification fixes naturally  $M^4 \subset M^8$  so that it applies to any  $M^2(x) \subset M^4$ . Under this condition the selection is parameterized by an element of  $SO(3)/SO(2) = S^2$ . Note that  $M^4$  projection of  $X^4$  would be at least 2-dimensional in hyper-quaternionic case. In co-hyper-quaternionic case  $E^4$  projection would be at least 2-D.  $SO(2)$  would act as a number theoretic gauge symmetry and the  $SO(3)$  valued chiral field would approach to constant at  $X_l^3$  invariant under global  $SO(2)$  in the case that one keeps the assumption that  $M^2$  is fixed ad  $X_l^3$ .
3. This picture requires a generalization of the map assigning to hyper-quaternionic plane a point of  $CP_2$  so that this map is defined for all possible choices of  $M^2 \subset M^4$ . Since the  $SO(3)$  rotation

of the hyper-quaternionic unit defining  $M^2$  rotates different choices parameterized by  $S^2$  to each other, a natural assumption is that the hyper-quaternionic planes related by  $SO(3)$  rotation correspond to the same point of  $CP_2$ . Denoting by  $M^2$  the standard representative of  $M^2$ , this means that for the map  $M^8 \rightarrow H$  one must perform  $SO(3)$  rotation of hyper-quaternionic plane taking  $M^2(x)$  to  $M^2$  and map the rotated tangent plane to  $CP_2$  point. In  $M^8 \rightarrow H$  case one must first map the point of  $CP_2$  to hyper-quaternionic plane and rotate this plane by a rotation taking  $M^2(x)$  to  $M^2$ .

4. In this framework local  $M^2$  can vary also at the surfaces  $X_l^3$ , which considerably relaxes the boundary conditions at wormhole throats and light-like boundaries and allows much more general variety of light-like 3-surfaces since the basic requirement is that  $M^4$  projection is at least 1-dimensional. The physical interpretation would be that a local choice of the plane of non-physical polarizations is possible everywhere in  $X^4(X_l^3)$ . This does not seem to be in any obvious conflict with physical intuition.

These observations provide support for the conjecture that (classical)  $S^2 = SO(3)/SO(2)$  conformal field theory might be relevant for (classical) TGD.

1. General coordinate invariance suggests that the theory should allow a formulation using any light-like 3-surface  $X^3$  inside  $X^4(X_l^3)$  besides  $X_l^3$  identified as union of wormhole throats and boundary components. For these surfaces the element  $g(x) \in SO(3)$  would vary also at partonic 2-surfaces  $X^2$  defined as intersections of  $\delta CD \times CP_2$  and  $X^3$  (here  $CD$  denotes causal diamond defined as intersection of future and past directed light-cones). Hence one could have  $S^2 = SO(3)/SO(2)$  conformal field theory at  $X^2$  (regarded as quantum fluctuating so that also  $g(x)$  varies) generalizing to WZW model for light-like surfaces  $X^3$ .
2. The presence of  $E^4$  factor would extend this theory to a classical  $E^4 \times S^2$  WZW model bringing in mind string model with 6-D Euclidian target space extended to a model of light-like 3-surfaces. A further extension to  $X^4$  would be needed to integrate the WZW models associated with 3-surfaces to a full 4-D description. General Coordinate Invariance however suggests that  $X_l^3$  description is enough for practical purposes.
3. The choices of  $M^2(x)$  in the interior of  $X_l^3$  is dictated by dynamics and the first optimistic conjecture is that a classical solution of  $SO(3)/SO(2)$  Wess-Zumino-Witten model obtained by coupling  $SO(3)$  valued field to a covariantly constant  $SO(2)$  gauge potential characterizes the choice of  $M^2(x)$  in the interior of  $M^8 \supset X^4(X_l^3) \subset H$  and thus also partially the structure of the preferred extremal. Second optimistic conjecture is that the Kähler action involving also  $E^4$  degrees of freedom allows to assign light-like 3-surface to light-like 3-surface.
4. The best that one can hope is that  $M^8 - H$  duality could allow to transform the extremely non-linear classical dynamics of TGD to a generalization of WZW-type model. The basic problem is to understand how to characterize the dynamics of  $CP_2$  projection at each point.

In  $H$  picture there are two basic types of vacuum extremals:  $CP_2$  type extremals representing elementary particles and vacuum extremals having  $CP_2$  projection which is at most 2-dimensional Lagrange manifold and representing say hadron. Vacuum extremals can appear only as limiting cases of preferred extremals which are non-vacuum extremals. Since vacuum extremals have so decisive role in TGD, it is natural to require that this notion makes sense also in  $M^8$  picture. In particular, the notion of vacuum extremal makes sense in  $M^8$ .

This requires that Kähler form exist in  $M^8$ .  $E^4$  indeed allows full  $S^2$  of covariantly constant Kähler forms representing quaternionic imaginary units so that one can identify Kähler form and construct Kähler action. The obvious conjecture is that hyper-quaternionic space-time surface is extremal of this Kähler action and that the values of Kähler actions in  $M^8$  and  $H$  are identical. The elegant manner to achieve this, as well as the mapping of vacuum extremals to vacuum extremals and the mapping of light-like 3-surfaces to light-like 3-surfaces is to assume that  $M^8 - H$  duality is Kähler isometry so that induced Kähler forms are identical.

This picture contains many speculative elements and some words of warning are in order.

1. Light-likeness conjecture would boil down to the hypothesis that  $M^8 - H$  correspondence is Kähler isometry so that the metric and Kähler form of  $X^4$  induced from  $M^8$  and  $H$  would be identical. This would guarantee also that Kähler actions for the preferred extremal are identical. This conjecture is beautiful but strong.
2. The slicing of  $X^4(X_l^3)$  by light-like 3-surfaces is very strong condition on the classical dynamics of Kähler action and does not make sense for pieces of  $CP_2$  type vacuum extremals.

1. *Minkowskian-Euclidian  $\leftrightarrow$  associative-co-associative*

The 8-dimensionality of  $M^8$  allows to consider both associativity (hyper-quaternionicity) of the tangent space and associativity of the normal space- let us call this co-associativity of tangent space-as alternative options. Both options are needed as has been already found. Since space-time surface decomposes into regions whose induced metric possesses either Minkowskian or Euclidian signature, there is a strong temptation to propose that Minkowskian regions correspond to associative and Euclidian regions to co-associative regions so that space-time itself would provide both the description and its dual.

The proposed interpretation of conjectured associative-co-associative duality relates in an interesting manner to p-adic length scale hypothesis selecting the primes  $p \simeq 2^k$ ,  $k$  positive integer as preferred p-adic length scales.  $L_p \propto \sqrt{p}$  corresponds to the p-adic length scale defining the size of the space-time sheet at which elementary particle represented as  $CP_2$  type extremal is topologically condensed and is of order Compton length.  $L_k \propto \sqrt{k}$  represents the p-adic length scale of the worm-hole contacts associated with the  $CP_2$  type extremal and  $CP_2$  size is the natural length unit now. Obviously the quantitative formulation for associative-co-associative duality would be in terms  $p \rightarrow k$  duality.

2. *Are the known extremals of Kähler action consistent with the strong form of  $M^8 - H$  duality*

It is interesting to check whether the known extremals of Kähler action [29] are consistent with strong form of  $M^8 - H$  duality assuming that  $M^2$  or its light-like ray is contained in  $T(X^4)$  or normal space.

1.  $CP_2$  type vacuum extremals correspond cannot be hyper-quaternionic surfaces but co-hyper-quaternionicity is natural for them. In the same manner canonically imbedded  $M^4$  can be only hyper-quaternionic.
2. String like objects are associative since tangent space obviously contains  $M^2(x)$ . Objects of form  $M^1 \times X^3 \subset M^4 \times CP_2$  do not have  $M^2$  either in their tangent space or normal space in  $H$ . So that the map from  $H \rightarrow M^8$  is not well defined. There are no known extremals of Kähler action of this type. The replacement of  $M^1$  random light-like curve however gives vacuum extremal with vanishing volume, which need not mean physical triviality since fundamental objects of the theory are light-like 3-surfaces.
3. For canonically imbedded  $CP_2$  the assignment of  $M^2(x)$  to normal space is possible but the choice of  $M^2(x) \subset N(CP_2)$  is completely arbitrary. For a generic  $CP_2$  type vacuum extremals  $M^4$  projection is a random light-like curve in  $M^4 = M^1 \times E^3$  and  $M^2(x)$  can be defined uniquely by the normal vector  $n \in E^3$  for the local plane defined by the tangent vector  $dx^\mu/dt$  and acceleration vector  $d^2x^\mu/dt^2$  assignable to the orbit.
4. Consider next massless extremals. Let us fix the coordinates of  $X^4$  as  $(t, z, x, y) = (m^0, m^2, m^1, m^2)$ . For simplest massless extremals  $CP_2$  coordinates are arbitrary functions of variables  $u = k \cdot m = t - z$  and  $v = \epsilon \cdot m = x$ , where  $k = (1, 1, 0, 0)$  is light-like vector of  $M^4$  and  $\epsilon = (0, 0, 1, 0)$  a polarization vector orthogonal to it. Obviously, the extremals defines a decomposition  $M^4 = M^2 \times E^2$ . Tangent space is spanned by the four  $H$ -vectors  $\nabla_\alpha h^k$  with  $M^4$  part given by  $\nabla_\alpha m^k = \delta_\alpha^k$  and  $CP_2$  part by  $\nabla_\alpha s^k = \partial_u s^k k_\alpha + \partial_v s^k \epsilon_\alpha$ .

The normal space cannot contain  $M^4$  vectors since the  $M^4$  projection of the extremal is  $M^4$ . To realize hyper-quaternionic representation one should be able to from these vector two vectors of  $M^2$ , which means linear combinations of tangent vectors for which  $CP_2$  part vanishes. The vector  $\partial_t h^k - \partial_z h^k$  has vanishing  $CP_2$  part and corresponds to  $M^4$  vector  $(1, -1, 0, 0)$  fix assigns

to each point the plane  $M^2$ . To obtain  $M^2$  one would need  $(1, 1, 0, 0)$  too but this is not possible. The vector  $\partial_y h^k$  is  $M^4$  vector orthogonal to  $\epsilon$  but  $M^2$  would require also  $(1, 0, 0, 0)$ . The proposed generalization of massless extremals allows the light-like line  $M_{\pm}$  to depend on point of  $M^4$  [29], and leads to the introduction of Hamilton-Jacobi coordinates involving a local decomposition of  $M^4$  to  $M^2(x)$  and its orthogonal complement with light-like coordinate lines having interpretation as curved light rays.  $M^2(x) \subset T(X^4)$  assumption fails also for vacuum extremals of form  $X^1 \times X^3 \subset M^4 \times CP_2$ , where  $X^1$  is light-like random curve. In the latter case, vacuum property follows from the vanishing of the determinant of the induced metric.

5. The deformations of string like objects to magnetic flux quanta are basic conjectural extremals of Kähler action and the proposed picture supports this conjecture. In hyper-quaternionic case the assumption that local 4-D tangent plane of  $X^3$  contains  $M^2(x)$  but that  $T(X^3)$  does not contain it, is very strong. It states that  $T(X^4)$  at each point can be regarded as a product  $M^2(x) \times T^2$ ,  $T^2 \subset T(CP_2)$ , so that hyper-quaternionic  $X^4$  would be a collection of Cartesian products of infinitesimal 2-D planes  $M^2(x) \subset M^4$  and  $T^2(x) \subset CP_2$ . The extremals in question could be seen as local variants of string like objects  $X^2 \times Y^2 \subset M^4 \times CP_2$ , where  $X^2$  is minimal surface and  $Y^2$  holomorphic surface of  $CP_2$ . One can say that  $X^2$  is replaced by a collection of infinitesimal pieces of  $M^2(x)$  and  $Y^2$  with similar pieces of homologically non-trivial geodesic sphere  $S^2(x)$  of  $CP_2$ , and the Cartesian products of these pieces are glued together to form a continuous surface defining an extremal of Kähler action. Field equations would pose conditions on how  $M^2(x)$  and  $S^2(x)$  can depend on  $x$ . This description applies to magnetic flux quanta, which are the most important must-be extremals of Kähler action.

### 3. Geometric interpretation of strong $M^8 - H$ duality

In the proposed framework  $M^8 - H$  duality would have a purely geometric meaning and there would nothing magical in it.

1.  $X^4(X_l^3) \subset H$  could be seen a curve representing the orbit of a light-like 3-surface defining a 4-D surface. The question is how to determine the notion of tangent vector for the orbit of  $X_l^3$ . Intuitively tangent vector is a one-dimensional arrow tangential to the curve at point  $X_l^3$ . The identification of the hyper-quaternionic surface  $X^4(X_l^3) \subset M^8$  as tangent vector conforms with this intuition.
2. One could argue that  $M^8$  representation of space-time surface is kind of chart of the real space-time surface obtained by replacing real curve by its tangent line. If so, one cannot avoid the question under which conditions this kind of chart is faithful. An alternative interpretation is that a representation making possible to realize number theoretical universality is in question.
3. An interesting question is whether  $X^4(X_l^3)$  as orbit of light-like 3-surface is analogous to a geodesic line -possibly light-like- so that its tangent vector would be parallel translated in the sense that  $X^4(X^3)$  for any light-like surface at the orbit is same as  $X^4(X_l^3)$ . This would give justification for the possibility to interpret space-time surfaces as a geodesic of configuration space: this is one of the first -and practically forgotten- speculations inspired by the construction of configuration space geometry. The light-likeness of the geodesic could correspond at the level of  $X^4$  the possibility to decompose the tangent space to a direct sum of two light-like spaces and 2-D transversal space producing the foliation of  $X^4$  to light-like 3-surfaces  $X_l^3$  along light-like curves.
4.  $M^8 - H$  duality would assign to  $X_l^3$  classical orbit and its tangent vector at  $X_l^3$  as a generalization of Bohr orbit. This picture differs from the wave particle duality of wave mechanics stating that once the position of particle is known its momentum is completely unknown. The outcome is however the same: for  $X_l^3$  corresponding to wormhole throats and light-like boundaries of  $X^4$ , canonical momentum densities in the normal direction vanish identically by conservation laws and one can say that the the analog of  $(q, p)$  phase space as the space carrying wave functions is replaced with the analog of subspace consisting of points  $(q, 0)$ . The dual description in  $M^8$  would not be analogous to wave functions in momentum space space but to those in the space of unique tangents of curves at their initial points.

#### 4. The Kähler and spinor structures of $M^8$

If one introduces  $M^8$  as dual of  $H$ , one cannot avoid the idea that hyper-quaternionic surfaces obtained as images of the preferred extremals of Kähler action in  $H$  are also extremals of  $M^8$  Kähler action with same value of Kähler action. As found, this leads to the conclusion that the  $M^8 - H$  duality is Kähler isometry. Coupling of spinors to Kähler potential is the next step and this in turn leads to the introduction of spinor structure so that quantum TGD in  $H$  should have full  $M^8$  dual.

There are strong physical constraints on  $M^8$  dual and they could kill the hypothesis. The basic constraint to the spinor structure of  $M^8$  is that it reproduces basic facts about electro-weak interactions. This includes neutral electro-weak couplings to quarks and leptons identified as different  $H$ -chiralities and parity breaking.

1. By the flatness of the metric of  $E^4$  its spinor connection is trivial.  $E^4$  however allows full  $S^2$  of covariantly constant Kähler forms so that one can accommodate free independent Abelian gauge fields assuming that the independent gauge fields are orthogonal to each other when interpreted as realizations of quaternionic imaginary units.
2. One should be able to distinguish between quarks and leptons also in  $M^8$ , which suggests that one introduce spinor structure and Kähler structure in  $E^4$ . The Kähler structure of  $E^4$  is unique apart from  $SO(3)$  rotation since all three quaternionic imaginary units and the unit vectors formed from them allow a representation as an antisymmetric tensor. Hence one must select one preferred Kähler structure, that is fix a point of  $S^2$  representing the selected imaginary unit. It is natural to assume different couplings of the Kähler gauge potential to spinor chiralities representing quarks and leptons: these couplings can be assumed to be same as in case of  $H$ .
3. Electro-weak gauge potential has vectorial and axial parts. Em part is vectorial involving coupling to Kähler form and  $Z^0$  contains both axial and vector parts. The free Kähler forms could thus allow to produce  $M^8$  counterparts of these gauge potentials possessing same couplings as their  $H$  counterparts. This picture would produce parity breaking in  $M^8$  picture correctly.
4. Only the charged parts of classical electro-weak gauge fields would be absent. This would conform with the standard thinking that charged classical fields are not important. The predicted classical  $W$  fields is one of the basic distinctions between TGD and standard model and in this framework. A further prediction is that this distinction becomes visible only in situations, where  $H$  picture is necessary. This is the case at high energies, where the description of quarks in terms of  $SU(3)$  color is convenient whereas  $SO(4)$  QCD would require large number of  $E^4$  partial waves. At low energies large number of  $SU(3)$  color partial waves are needed and the convenient description would be in terms of  $SO(4)$  QCD. Proton spin crisis might relate to this.
5. Also super-symmetries of quantum TGD crucial for the construction of configuration space geometry force this picture. In the absence of coupling to Kähler gauge potential all constant spinor fields and their conjugates would generate super-symmetries so that  $M^8$  would allow  $N = 8$  super-symmetry. The introduction of the coupling to Kähler gauge potential in turn means that all covariantly constant spinor fields are lost. Only the representation of all three neutral parts of electro-weak gauge potentials in terms of three independent Kähler gauge potentials allows right-handed neutrino as the only super-symmetry generator as in the case of  $H$ .
6. The  $SO(3)$  element characterizing  $M^2(x)$  is fixed apart from a local  $SO(2)$  transformation, which suggests an additional  $U(1)$  gauge field associated with  $SO(2)$  gauge invariance and representable as Kähler form corresponding to a quaternionic unit of  $E^4$ . A possible identification of this gauge field would be as a part of electro-weak gauge field.

#### 5. $M^8$ dual of configuration space geometry and spinor structure?

If one introduces  $M^8$  spinor structure and preferred extremals of  $M^8$  Kähler action, one cannot avoid the question whether it is possible or useful to formulate the notion of configuration space geometry and spinor structure for light-like 3-surfaces in  $M^8$  using the exponent of Kähler action as vacuum functional.

1. The isometries of the configuration space in  $M^8$  and  $H$  formulations would correspond to symplectic transformation of  $\delta M_{\pm}^4 \times E^4$  and  $\delta M_{\pm}^4 \times CP_2$  and the Hamiltonians involved would belong to the representations of  $SO(4)$  and  $SU(3)$  with 2-dimensional Cartan sub-algebras. In  $H$  picture color group would be the familiar  $SU(3)$  but in  $M^8$  picture it would be  $SO(4)$ . Color confinement in both  $SU(3)$  and  $SO(4)$  sense could allow these two pictures without any inconsistency.
2. For  $M^4 \times CP_2$  the two spin states of covariantly constant right handed neutrino and antineutrino spinors generate super-symmetries. This super-symmetry plays an important role in the proposed construction of configuration space geometry. As found, this symmetry would be present also in  $M^8$  formulation so that the construction of  $M^8$  geometry should reduce more or less to the replacement of  $CP_2$  Hamiltonians in representations of  $SU(3)$  with  $E^4$  Hamiltonians in representations of  $SO(4)$ . These Hamiltonians can be taken to be proportional to functions of  $E^4$  radius which is  $SO(4)$  invariant and these functions bring in additional degree of freedom.
3. The construction of Dirac determinant identified as a vacuum functional can be done also in  $M^8$  picture and the conjecture is that the result is same as in the case of  $H$ . In this framework the construction is much simpler due to the flatness of  $E^4$ . In particular, the generalized eigen modes of the Chern-Simons Dirac operator  $D_{C-S}$  identified as zero modes of 4-D Dirac operator  $D_K$  restricted to the  $X_l^3$  correspond to a situation in which one has fermion in induced Maxwell field mimicking the neutral part of electro-weak gauge field in  $H$  as far as couplings are considered. Induced Kähler field would be same as in  $H$ . Eigen modes are localized to regions inside which the Kähler magnetic field is non-vanishing and apart from the fact that the metric is the effective metric defined in terms of canonical momentum densities via the formula  $\hat{\Gamma}^\alpha = \partial L_K / \partial h_\alpha^k \Gamma_k$  for effective gamma matrices. This in fact, forces the localization of modes implying that their number is finite so that Dirac determinant is a product over finite number eigenvalues. It is clear that  $M^8$  picture could dramatically simplify the construction of configuration space geometry.
4. The eigenvalue spectra of the transversal parts of  $D_K$  operators in  $M^8$  and  $H$  should be identical. This motivates the question whether it is possible to achieve a complete correspondence between  $H$  and  $M^8$  pictures also at the level of spinor fields at  $X^3$  by performing a gauge transformation eliminating the classical  $W$  gauge boson field altogether at  $X_l^3$  and whether this allows to transform the modified Dirac equation in  $H$  to that in  $M^8$  when restricted to  $X_l^3$ . That something like this might be achieved is supported by the fact that in Coulombic gauge the component of gauge potential in the light-like direction vanishes so that the situation is effectively 2-dimensional and holonomy group is Abelian.

#### 6. Why $M^8 - H$ duality is useful?

Skeptic could of course argue that  $M^8 - H$  duality produces only an inflation of unproven conjectures. There are however strong reasons for  $M^8 - H$  duality: both theoretical and physical.

1. The map of  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  and corresponding map of space-time surfaces would allow to realize number theoretical universality.  $M^8 = M^4 \times E^4$  allows linear coordinates as natural coordinates in which one can say what it means that the point of imbedding space is rational/algebraic. The point of  $X^4 \subset H$  is algebraic if it is mapped to an algebraic point of  $M^8$  in number theoretic compactification. This of course restricts the symmetry groups to their rational/algebraic variants but this does not have practical meaning. Number theoretical compactification could in fact be motivated by the number theoretical universality.
2.  $M^8 - H$  duality could provide much simpler description of preferred extremals of Kähler action since the Kähler form in  $E^4$  has constant components. If the spinor connection in  $E^4$  is combination of the three Kähler forms mimicking neutral part of electro-weak gauge potential, the eigenvalue spectrum for the modified Dirac operator would correspond to that for a fermion in  $U(1)$  magnetic field defined by an Abelian magnetic field whereas in  $M^4 \times CP_2$  picture  $U(2)_{ew}$  magnetic fields would be present.
3.  $M^8 - H$  duality provides insights to low energy hadron physics.  $M^8$  description might work when  $H$ -description fails. For instance, perturbative QCD which corresponds to  $H$ -description

fails at low energies whereas  $M^8$  description might become perturbative description at this limit. Strong  $SO(4) = SU(2)_L \times SU(2)_R$  invariance is the basic symmetry of the phenomenological low energy hadron models based on conserved vector current hypothesis (CVC) and partially conserved axial current hypothesis (PCAC). Strong  $SO(4) = SU(2)_L \times SU(2)_R$  relates closely also to electro-weak gauge group  $SU(2)_L \times U(1)$  and this connection is not well understood in QCD description.  $M^8 - H$  duality could provide this connection. Strong  $SO(4)$  symmetry would emerge as a low energy dual of the color symmetry. Orbital  $SO(4)$  would correspond to strong  $SU(2)_L \times SU(2)_R$  and by flatness of  $E^4$  spin like  $SO(4)$  would correspond to electro-weak group  $SU(2)_L \times U(1)_R \subset SO(4)$ . Note that the inclusion of coupling to Kähler gauge potential is necessary to achieve respectable spinor structure in  $CP_2$ . One could say that the orbital angular momentum in  $SO(4)$  corresponds to strong isospin and spin part of angular momentum to the weak isospin.

### $M^8 - H$ duality and low energy hadron physics

The description of  $M^8 - H$  at the configuration space level can be applied to gain a view about color confinement and its dual for electro-weak interactions at short distance limit. The basic idea is that  $SO(4)$  and  $SU(3)$  provide dual descriptions of quark color using  $E^4$  and  $CP_2$  partial waves and low energy hadron physics corresponds to a situation in which  $M^8$  picture provides the perturbative approach whereas  $H$  picture works at high energies. The basic prediction is that  $SO(4)$  should appear as dynamical symmetry group of low energy hadron physics and this is indeed the case.

Consider color confinement at the long length scale limit in terms of  $M^8 - H$  duality.

1. At high energy limit only lowest color triplet color partial waves for quarks dominate so that QCD description becomes appropriate whereas very higher color partial waves for quarks and gluons are expected to appear at the confinement limit. Since configuration space degrees of freedom begin to dominate, color confinement limit transcends the descriptive power of QCD.
2. The success of  $SO(4)$  sigma model in the description of low lying hadrons would directly relate to the fact that this group labels also the  $E^4$  Hamiltonians in  $M^8$  picture. Strong  $SO(4)$  quantum numbers can be identified as orbital counterparts of right and left handed electro-weak isospin coinciding with strong isospin for lowest quarks. In sigma model pion and sigma boson form the components of  $E^4$  valued vector field or equivalently collection of four  $E^4$  Hamiltonians corresponding to spherical  $E^4$  coordinates. Pion corresponds to  $S^3$  valued unit vector field with charge states of pion identifiable as three Hamiltonians defined by the coordinate components. Sigma is mapped to the Hamiltonian defined by the  $E^4$  radial coordinate. Excited mesons corresponding to more complex Hamiltonians are predicted.
3. The generalization of sigma model would assign to quarks  $E^4$  partial waves belonging to the representations of  $SO(4)$ . The model would involve also 6  $SO(4)$  gluons and their  $SO(4)$  partial waves. At the low energy limit only lowest representations would be important whereas at higher energies higher partial waves would be excited and the description based on  $CP_2$  partial waves would become more appropriate.
4. The low energy quark model would rely on quarks moving  $SO(4)$  color partial waves. Left *resp.* right handed quarks could correspond to  $SU(2)_L$  *resp.*  $SU(2)_R$  triplets so that spin statistics problem would be solved in the same manner as in the standard quark model.
5. Family replication phenomenon is described in TGD framework the same manner in both cases so that quantum numbers like strangeness and charm are not fundamental. Indeed, p-adic mass calculations allowing fractally scaled up versions of various quarks allow to replace Gell-Mann mass formula with highly successful predictions for hadron masses [23].

To my opinion these observations are intriguing enough to motivate a concrete attempt to construct low energy hadron physics in terms of  $SO(4)$  gauge theory.

### The notion of number theoretical braid

The notion of number theoretic braid is essential for the view about quantum TGD as almost topological quantum field theory. Its realization discretization as a space-time correlate for the finite



measurement resolution. Number theoretical universality leads to this notion also and requires that the points in the intersection of the number theoretic braid with partonic 2-surface correspond to rational or at most algebraic points of  $H$  in preferred coordinates fixed by symmetry considerations. The challenge has been to find a unique identification of the number theoretic braid. Number theoretic vision indeed makes this possible.

The core element of number theoretic vision is that the laws of physics could be reduced to associativity conditions. One realization for associativity conditions is the level of  $M^8$  endowed with hyper-octonionic structure as a condition that the points sets possible as arguments of  $N$ -point function in  $X^4$  are associative and thus belong to hyper-quaternionic subspace  $M^4 \subset M^8$ . This decomposition must be consistent with the  $M^4 \times E^4$  decomposition implied by  $M^4 \times CP_2$  decomposition of  $H$ . What comes first in mind is that partonic 2-surfaces  $X^2$  belong to  $\delta M_{\pm}^4 \subset M^8$  defining the ends of the causal diamond and are thus associative. This boundary condition however freezes  $E^4$  degrees of freedom completely so that  $M^8$  configuration space geometry trivializes.

One can also consider the commutativity condition by requiring that arguments belong to a preferred commutative hyper-complex sub-space  $M^2$  of  $M^8$  which can be regarded as a curve in complex plane. Fixing preferred real and imaginary units means a choice of  $M^2$  interpreted as a partial choice of quantization axes at the level of  $M^8$ . One must distinguish this choice from the hyper-quaternionicity of space-time surfaces and from the condition that each tangent space of  $X^4$  contains  $M^2(x) \subset M^4$  in its tangent space or normal space. Commutativity condition indeed implies the notion of number theoretic braid and fixes it uniquely once a global selection of  $M^2 \subset M^8$  is made. There is also an alternative identification of number theoretic braid based on the assumption that braids are light-like curves with tangent vector in  $M^2(x)$ .

1. The strong form of commutativity condition would require that the arguments of the n-point function at partonic 2-surface belong to the intersection  $X^2 \cap M_{\pm}$ . This however allows quite too few points since an intersection of 2-D and 1-D objects in 7-D space would be in question. Associativity condition would reduce cure the problem but would trivialize configuration space geometry.
2. The weaker condition that only  $\delta M_{\pm}^4$  projections for the points of  $X^2$  commute is however sensible since the intersection of 1-D and 2-D surfaces of 3-D space results. This condition is also invariant under number theoretical duality. In the generic case this gives a discrete set of points as intersection of light-like radial geodesic and the projection  $P_{\delta M_{\pm}^4}(X^2)$ . This set is naturally identifiable in terms of points in the intersection of number theoretic braids with  $\delta CD \times E^4$ . One should show that this set of points consists of rational or at most algebraic points. Here the possibility to choose  $X^2$  to some degree could be essential. Any radial light ray from the tip of light-cone allows commutativity and one can consider the possibility of integrating over n-point functions with arguments at light ray to obtain maximal information.
3. For the pre-images of light-like 3-surfaces commutativity of the points in  $\delta M_{\pm}^4$  projection would allow the projections to be one-dimensional curves of  $M^2$  having thus interpretation as braid strands.  $M^2$  would play exactly the same role as the plane into which braid strands are projected in the construction of braid invariants. Therefore the plane of non-physical polarizations in gauge theories corresponds to the plane to which braids and knots are projected in braid and knot theories. A further constraint is that the braid strand connects algebraic points of  $M^8$  to algebraic points of  $M^8$ . It seems that this can be guaranteed only by posing some additional conditions to the light-like 3-surfaces themselves which is of course possible since they are in the role of fundamental dynamical objects.
4. An alternative identification of the number theoretic braid would give up commutativity condition for  $M^4$  projection and assume braid strand to be as a light-like curve having light-like tangent belonging to the local hyper-complex tangent sub-space  $M^2(x)$  at point  $x$ . This definition would apply both in  $X^3 \subset \delta M_{\pm}^4 \times CP_2$  and in  $X_l^3$ . Also now one would have a continuous distribution of number theoretic braids, with one braid assignable to each light-like curve with tangent  $\delta M_{\pm}^4 \supset M_{\pm}(x) \subset M^2(x)$ . In this case each light-like curve at  $\delta M_{\pm}^4$  with tangent in  $M_{\pm}(x)$  would define a number theoretic braid so that the only difference would be the replacement of light-like ray with a more general light-like curve.

There are reasons why the identification of the number theoretic braid strand as a curve having hyper-complex light-like tangent looks more attractive.

1. The preferred plane  $M^2(x)$  can be interpreted as the local plane of non-physical polarizations so that the interpretation as a number theoretic analog of gauge conditions posed in both quantum field theories and string models is possible. In TGD framework this would mean that super-conformal degrees of freedom are restricted to the orthogonal complement of  $M^2(x)$  and  $M^2(x)$  does not contribute to the configuration space metric. In Hamilton-Jacobi coordinates the pairs of light-like curves associated with coordinate lines can be interpreted as curved light rays. Hence the partonic planes  $M^2(x_i)$  associated with the points of the number theoretic braid could be also regarded as carriers four-momenta of fermions associated with the braid strands so that the standard gauge conditions  $\epsilon \cdot p = 0$  for polarization vector and four-momentum would be realized geometrically. The possibility of  $M^2$  to depend on point of  $X_l^3$  would be essential to have non-collinear momenta and for a classical description of interactions between braid strands.
2. One could also define analogs of string world sheets as sub-manifolds of  $P_{M^4_+}(X^4)$  having  $M^2(x) \subset M^4$  as their tangent space or being assignable to their tangent containing  $M_+(x)$  in the case that the distribution defined by the planes  $M^2(x)$  exists and is integrable. It must be emphasized that in the case of massless extremals one can assign only  $M_+(x) \subset M^4$  to  $T(X^4(x))$  so that only a foliation of  $X^4$  by light-like curves in  $M^4$  is possible. For  $P_{M^4_+}(X^4)$  however a foliation by 2-D stringy surfaces is obtained. Integrability of this distribution and thus the duality with stringy description has been suggested to be a basic feature of the preferred extremals and is equivalent with the existence of Hamilton-Jacobi coordinates for a large class of extremals of Kähler action [29].
3. The possibility of dual descriptions based on integrable distribution of planes  $M^2(x)$  allowing identification as 2-dimensional stringy sub-manifolds of  $X^4(X^3)$  and the flexibility provided by the hyper-complex conformal invariance raise the hopes of achieving the lifting of super-symplectic algebra  $SS$  and super Kac-Moody algebra  $SKM$  to  $H$ . At the light-cone boundary the light-like radial coordinate could be lifted to a hyper-complex coordinate defining coordinate for  $M^2$ . At  $X_l^3$  one could fix the light-like coordinate varying along the braid strands and it can be lifted to a light-like hyper-complex coordinate in  $M^4$  by requiring that the tangent to the coordinate curve is light-like line of  $M^2(x)$  at point  $x$ . The total four-momenta and color quantum numbers assignable to  $SS$  and  $SKM$  degrees of freedom are naturally identical since they can be identified as the four-momentum of the partonic 2-surface  $X^2 \subset X^3 \cap \delta M^4_{\pm} \times CP_2$ . Equivalence Principle would emerge as an identity.

### 7.4.5 Configuration gamma matrices as hyper-octonionic conformal fields

The fact that the Clifford algebra generated by configuration space gamma matrices forms a canonical representation for hyper-finite factor of type  $II_1$  (HFFs) and led to a breakthrough in the understanding of quantum TGD. The inclusions of hyper-finite factors of type  $II_1$  led to a realization of finite quantum measurement resolution as a basic principle governing dynamics and together with zero energy ontology this approach led to the generalization of S-matrix to M-matrix identified as time like entanglement coefficients between positive and negative energy parts of zero energy state and its identification as Connes tensor product. HFFs generated also ideas about how quantum TGD might be reducible to a generalization of HFFs to its local variant which is necessarily complex-octonionic as also to a construction of quantum variant of gamma matrix algebra leading to identification of quantum counterparts of hyper-octonions and hyper-quaternions as unique structures.

#### Only the quantum variants of $M^4$ and $M^8$ emerge from local hyper-finite $II_1$ factors

The fantastic properties of hyperfinite factors of type  $II_1$  (HFFs) inspire the idea that a localized hyper-octonionic version of Clifford algebra of configuration space might allow to see space-time, embedding space, and configuration space as structures emerging from a hyper-octonionic version of HFF. Surprisingly, commutativity and associativity imply most of the speculative "must-be-true's" of quantum TGD.

Configuration space gamma matrices act only in vibrational degrees of freedom of 3-surface. One must also include center of mass degrees of freedom which appear as zero modes. The natural idea is that the resulting local gamma matrices define a local version of HFF of type  $II_1$  as a generalization of conformal field of gamma matrices appearing super string models obtained by replacing complex numbers with hyper-octonions identified as a subspace of complexified octonions.

As a matter fact, one can generalize octonions to quantum octonions for which quantum commutativity means restriction to a hyper-octonionic subspace of quantum octonions. Non-associativity is essential for obtaining something non-trivial: otherwise this algebra reduces to HFF of type  $II_1$  since matrix algebra as a tensor factor would give an algebra isomorphic with the original one. The octonionic variant of conformal invariance fixes the dependence of local gamma matrix field on the coordinate of  $HO$ . The coefficients of Laurent expansion of this field must commute with octonions. !

Super-symmetry suggests that the representations of  $CH$  Clifford algebra  $\mathcal{M}$  as  $\mathcal{N}$  module  $\mathcal{M}/\mathcal{N}$  should have bosonic counterpart in the sense that the coordinate for  $M^8$  representable as a particular  $M^2(Q)$  element should have quantum counterpart. Same would apply to  $M^4$  coordinate representable as  $M^2(C)$  element. Quantum matrix representation of  $\mathcal{M}/\mathcal{N}$  as  $SL_q(2, F)$  matrix,  $F = C, H$  is the natural candidate for this representation. As a matter fact, this guess is not quite correct. It is the interpretation of  $M_2(C)$  as a quaternionic quantum algebra whose generalization to the octonionic quantum algebra works.

Quantum variants of  $M^D$  exist for all dimensions but only spaces  $M^4$  and  $M^8$  and their linear sub-spaces emerge from hyper-finite factors of type  $II_1$ . This is due to the non-associativity of the octonionic representation of the gamma matrices making it impossible to absorb the powers of the octonionic coordinate to the Clifford algebra element so that the local algebra character would disappear. Even more: quantum coordinates for these spaces are commutative operators so that their spectra define ordinary  $M^4$  and  $M^8$  which are thus already quantal concepts.

Consider first hyper-quaternions and the emergence of  $M^4$ .

1. The commutation relations for  $M_{2,q}(C)$  matrices

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad (7.4.1)$$

read as

$$\begin{aligned} ab &= qba, & ac &= qac, & bd &= qdb, & cd &= qdc, \\ [a, d] &= (q - q^{-1})bc, & bc &= cb. \end{aligned} \quad (7.4.2)$$

2. These relations could be extended by postulating complex conjugates of these relations for complex conjugates  $a^\dagger, b^\dagger, c^\dagger, d^\dagger$  plus the following non-vanishing commutators of type  $[x, y^\dagger]$ :

$$[a, a^\dagger] = [b, b^\dagger] = [c, c^\dagger] = [d, d^\dagger] = 1. \quad (7.4.3)$$

This extension is not necessary for what comes.

3. The matrices representing  $M^4$  point must be expressible as sums of Pauli spin matrices. This can be represented as following conditions on physical states

$$\begin{aligned} O|phys\rangle &= 0, \\ O &\in \{a - a^\dagger, d - d^\dagger, b - c^\dagger, c - b^\dagger\}. \end{aligned} \quad (7.4.4)$$

For instance, the first two conditions follow from the reality of Pauli sigma matrices  $\sigma_x, \sigma_y, \sigma_z$ . These conditions are compatible only if the operators  $O$  commute. These conditions need not be consistent with the commutation relations between  $a, b, c, d$  and their Hermitian conjugates. This is easy to see by noticing that the difference of  $J_+ - J_-$  acts apart from imaginary unit like  $J_y$  and annihilates  $j_y = 0$  state for every representation of rotation group diagonalized with respect to  $J_y$ .

4. What is essential is that the operators of  $O$  are of form  $A - A^\dagger$  and their commutators are also of the same form that the commutativity conditions reduce the condition that the Lie-algebra like structure generated by these operators annihilates the physical state. Hence it is possible to define quantum states for which  $M^4$  coordinates have well-defined eigenvalues so that ordinary  $M^4$  emerges purely quantally from quaternions whose real coefficients are made non-Hermitian operators to obtain operator complexification of quaternions. Also the quantum states in which  $M^4$  coordinates are emerge naturally.
5.  $M_{2,q}(C)$  matrices define the quantum analog of  $C^4$  and one can wonder whether also other linear sub-spaces can be defined consistently or whether  $M_q^4$  and thus Minkowski signature is unique. This seems to be not the case. For instance, the replacement  $a - a^\dagger \rightarrow a + a^\dagger$  making also time variable Euclidian is impossible since  $[a + a^\dagger, d - d^\dagger] = 2(q - q^{-1})(bc + b^\dagger c^\dagger)$  is not proportional to a difference of operator and its hermitian conjugate and one does not obtain closed algebra.

What about  $M^8$ : does it have analogous description in terms of physical states annihilated by the Lie algebra generated by the differences  $a_i - a_i^\dagger, i = 0, ..7$ ?

1. The representation of  $M^4$  point as  $M_2(C)$  matrix can be interpreted a combination of 4-D gamma matrices defining hyper-quaternionic units. Hyper-octonionic units indeed have anticommutation relations of gamma matrices of  $M^8$  and would give classical representation of  $M^8$ . The counterpart of  $M_{2,q}(C)$  would thus be obtained by replacing the coefficients of hyper-octonionic units with operators satisfying the generalization of  $M_{2,q}(C)$  commutation relations. One should identify the reality conditions and find whether they are mutually consistent.
2. In quaternionic case basis for matrix algebra is formed by the sigma matrices and  $M^4$  point is represented by a hermitian matrix expressible as linear combination of hermitian sigma matrices with coefficients which act on physical states like hermitian operators. In the hyper-octonionic case would expect that real octonion unit and octonionic imaginary units multiplied by commuting imaginary unit to define the counterparts of sigma matrices and that the physically representable sub-space of complex quantum octonions corresponds to operator valued coordinates which act like hermitian matrices. The restriction to complex quaternionic sub-space must give hyper-quaternions and  $M^4$  so that the only sensible generalization is that  $M^8$  holds quite generally. This is also required by  $SO^7$  invariance allowing to choose the sub-space  $M^4$  freely. Again the key point should be that the conditions giving rise to real eigenvalues give rise to a Lie-algebra which must annihilate the physical state. For other signatures one would not obtain Lie algebra.
3. One can also make guess for the concrete realization of the algebra. Introduce the coefficients of  $E^4$  gamma matrices having interpretation as quaternionic units as

$$\begin{aligned} a_0 &= ix(a + d) \quad , \quad a_3 = x(a - d) \quad , \\ a_1 &= x(ib + c) \quad , \quad a_2 = x(ib - c) \quad , \\ x &= \frac{1}{\sqrt{2}} \quad , \end{aligned}$$

and write the commutations relations for them to see how the generalization should be performed.

4. The selections of complex and quaternionic sub-algebras of octonions are fundamental for TGD and quantum octonionic algebra should reflect these selections in its structure. In the case of hyper-quaternions the selection of commutative sub-algebra implies the breaking of 4-D Lorentz symmetry. In the case of hyper-octonions the selection of hyper-quaternion sub-algebra should

induce the breaking of 8-D Lorentz symmetry. Hyper-quaternionic sub-algebra obeys the commutations of  $M_q(2, C)$  whereas the coefficients in the complement commute mutually and quantum commute with the complex sub-algebra. This nails down the commutation relations completely:

$$\begin{aligned}
 [a_0, a_3] &= \frac{i}{2}(q - q^{-1})(a_1^2 - a_2^2) , \\
 [a_i, a_j] &= 0 , \quad i, j \neq 0, 3 , \\
 a_0 a_i &= q a_i a_0 , \quad i \neq 0, 3 , \\
 a_3 a_i &= q a_i a_3 , \quad i \neq 0, 3 .
 \end{aligned} \tag{7.4.5}$$

Note that there is symmetry breaking in the sense that the commutation relations for sub-algebras relating to both  $M^4$  and  $M^2$  are in distinguished role.

Dimensions  $D = 4$  and  $D = 8$  are indeed unique if one takes this argument seriously.

1. For dimensions other than  $D = 4$  and  $D = 8$  a representation of the point of  $M^D$  as element of Clifford algebra of  $M^D$  is needed. The coefficients should be real for the signatures and this requires that the elements of Clifford algebra are Hermitian. Gamma matrices are the only natural candidates and when Majorana conditions can be satisfied one obtains quantum representation of  $M^D$ . 10-D Minkowski space of super-string models would represent one example of this kind of situation.
2. For other dimensions  $D \geq 8$  but now octonionic units must be replaced by gamma matrices and an explicit matrix representation can be introduced. These gamma matrices can be included as a tensor factor to the infinite-dimensional Clifford algebra so that the local Clifford algebra reduces to a mere Clifford algebra. The units of quantum octonions which are just ordinary octonion units do not however allow matrix representation so that this reduction is not possible and imbedding space and space-time indeed emerge genuinely. The non-associativity of octonions would determine the laws of physics in TGD Universe!

### Configuration space spinor fields as hyper-octonionic conformal fields

A further proposed application of this picture is to the construction of configuration space spinor fields as generalizations of conformal fields. The basic problem is to treat center of mass degrees of freedom properly, and the idea that conformal invariance generalizes to hyper-octonionic - or at least hyper-quaternionic - conformal invariance is attractive. If so, the usual expansion in powers of complex coordinate  $z$  would be replaced in powers of hyper-octonionic coordinate  $h$  and the coefficients would be elements of Clifford algebra for sub-configuration space consisting of light-like 3-surfaces with frozen center of mass degrees of freedom. This is possible if one can map the points of  $H$  to those of  $M^8$  and  $M^8 - H$  duality allows to achieve this.

The natural condition would be that N-point functions defined by configuration space spinor fields for which  $M^8$  coordinate labels the position of the tip of the causal diamond containing the zero energy state involve only those points which are mutually associative and would thus belong to a hyper-quaternionic sub-space  $M^4 \subset M^8$  would be in question and the outcome would be the analog of  $M^4$  quantum field theory.

Commutativity would restrict the points to  $M^2 \subset M^4 \subset M^8$  and hyper-complex variant conformal field theory would result: this theory would be analogous with integrable models known as factorizing quantum field theories in  $M^2$  in which particle scattering is almost trivial (interactions generate only phase lag).

### 7.4.6 Black hole physics

The hierarchy of Planck constants has forced to modify dramatically TGD based view about black holes. TGD black holes however have a lot of common with ordinary black holes.

### M-theory and black holes

The reproduction of the formula for the black hole entropy [62, 75] has been sold as a victory of M-theory. The first thing that has been forgotten is that GRT based formula has never been experimentally verified and could be even wrong.

One can also criticize the procedure leading to the formula.

1. First M-theory is replaced by 11-D super gravity in order to calculate something. What this effectively means that, although the aim was to replace General Relativity with something more fundamental, one ends up with 11-D classical super-gravity after all.
2. After this one finds black-hole type solutions and identifies them with M-branes. At this step one could protest by saying that the fundamental theory should replace black holes with something less singular.
3. Next quantum gravitational holography is assumed and a conformal field theory on brane identified as a black hole horizon leads to an estimate for the entropy and estimates for what are known as greyness factors. The last step is nice in the 4-D situation and also TGD would suggest something very similar.

In Matrix Theory based estimate things look even less elegant. In [59] a matrix theory based estimate for the entropy is made producing the correct order of magnitude for the entropy estimate using conformal field theory. An essential step is the estimate for the number  $N$  of 0-branes (ordinary particles) and is ad hoc (in particular one does not take the limit  $N \rightarrow \infty$ ). I do not whether the arguments are more rigorous in other estimates but, to put it mildly, I do not find this argument is not too convincing.

### Black holes in TGD framework

Black holes in the standard sense are possible in TGD framework but would be basically astrophysical objects and putting black holes and elementary particles in the same basket would be mixing apples with oranges. The vision about dark matter as a macroscopic quantum phases with large value of Planck constant (the value of gravitational Planck constant is enormous) forces to reconsider the identification of black holes. One can view TGD counterparts of black hole horizons as light-like 3-surfaces at which the signature of the induced metric changes. Black holes would be gigantic elementary particle (or rather parton-) like objects containing particles in anyonic phase with fractional charges guaranteing confinement. Dark anyonic matter at light-like 3-surfaces of astrophysical size analogous to stringy black holes thought to be tightly tangled strings has several basic characteristics of black hole and would populate TGD Universe in all length scales.

In TGD Universe the role of black hole horizons is taken by light like 3-surfaces which are fundamental objects of the theory whereas the role of big bang is taken by the boundary  $\delta M_+^4$  of causal diamond ( $CD$ ). The basic difference to black hole horizons is that the signature of induced metric changes at the wormhole throat.

1. The basic example is provided by elementary particle horizons surrounding the ends of the wormhole contacts having Euclidian signature of the induced metric and connecting with each other space-time sheets with Minkowskian signature of the induced metric. The light-like wormhole throats are carriers of fermion numbers. The interpretation of wormhole contacts is in terms of gauge bosons and Higgs bosons consisting of fermion and antifermion at the two wormhole throats. By its spin the only possible identification of graviton is as a pair of wormhole contacts connected by a flux tube carrying various gauge fluxes. Elementary fermions correspond to wormhole throats associated with  $CP_2$  type vacuum extremals (note Euclidian signature of induced metric) glued to the background space-time with Minkowskian signature of metric.
2. Second example is provided by light-like surfaces separating maximal deterministic regions of the space-time sheet. Light-like boundaries is a further example. By their metric 2-dimensionality various causal determinants indeed allow conformal field theory in an effectively 2-dimensional sense.
3. The formula for the black hole entropy generalizes to elementary particle level and involves p-adic length scale hypothesis and p-adic mass calculations [27].

4. The new element is the hierarchy of Planck constants [35, 30, 38] inspired by the findings that gravitational Planck constant might have gigantic value [109]. This leads to a vision about dark matter as phases of matter with large Planck constant and hence macroscopically quantum coherent since all quantum scales are scaled up. The space-time sheets mediating gravitational interaction would have gigantic value of Planck constant:  $\hbar_{gr} = GM_1M_2/v_0$ ,  $v_0 = 2^{-11}$  gives a good example about the situation. The implication is that black hole entropy proportional to  $1/\hbar$  is of order unity if  $\hbar_{gr} = GM^2/v_0$ ,  $v_0 = 1/4$  holds true for black holes. This would change completely the view about black holes as highly entropic objects. In particular, Planck length scales as  $\sqrt{\hbar}$  so that Schwarzschild radius represents Planck length for this kind of black hole and defines naturally kind of minimum length scales below which the signature of induced metric becomes Euclidian in TGD Universe.
5. The progress in the understanding of the realization of the hierarchy of Planck constants in terms of book like structure of imbedding space with the pages of book representing Cartesian products of singular coverings and factor spaces of causal diamond  $CD$  and  $CP_2$  led to a detailed picture about identification of anyonic systems as macroscopic light-like 3-surfaces containing dark matter in anyonic form possessing fractional quantum numbers. Anyonicity means that the "partonic" 2-surface of macroscopic size system surrounds the tip of  $CD$  so that homologically non-trivial 2-surface is in question. Anyonic phase could be even responsible for the properties of living matter [17, 19]. This also inspired the proposal that dark matter resides at light-like 3-surfaces of astrophysical and even cosmological size scale possessing very complex topology: typically spherical topologies glued together by flux tubes. Black holes in standard sense would result in gravitational collapse of this kind of systems. An open question is whether the topology actually transforms to simple spherical topology in this process or whether it is more or less conserved so that huge information about the topology of orbits of dark matter particles surrounding the object would be preserved.

More concrete ideas about black hole like structures emerged from the attempts to understand the strange events reported by RHIC (Relativistic Heavy Ion Collider) [76, 66] during last years. This work led to a dramatic increase of understanding of TGD and allowed to fuse together separate threads of TGD [29].

1. The scaled down TGD inspired cosmology involving (not so) big crunch followed by (not so) big bang serves as a model for the events, and predicts a new phase identifiable as color glass condensate identifiable as tightly tangled color magnetic flux tube modelable as a hadronic string in Hagedorn temperature.

This state makes a phase transition to quark gluon plasma during a period of critical cosmology analogous to inflationary cosmology characterized completely by its duration and quark gluon plasma analogous to radiation dominated cosmology in turn hadronizes giving rise to the analog of matter dominated cosmology.

The assumption that anyonicity is responsible for the formation of the gluonic Bose-Einstein condensate explains the liquid like character of color glass condensate. Anyonicity forces the system to behave like a single particle like unit since fractionally charged particles cannot leave the light-like 2-surface surrounding the tip of  $CD$ .

2. RHIC events suggest processes analogous to the formation and evaporation of black hole. The TGD inspired description in terms of the formation of hadronic black hole and its evaporation and essentially identical with the description as a mini bang. The hadronic black hole is the same tightly tangled color magnetic flux tube that defines the initial state of the hadronic mini bang. The attribute 'hadronic' means that Planck length is replaced with hadronic length so that strong gravitation is in question. Black hole temperature is identifiable as Hagedorn temperature and predicted to be 195 MeV for bosonic strings in 4-D space-time and slightly higher than the hadronization temperature measured to be about 176 MeV [29].
3. As also the small value of black hole entropy suggests, black holes and their scaled counterparts would not be merciless information destroyers in TGD Universe. The entanglement of particles possessing different conformal weights to give states with a vanishing net conformal weight and having particle like integrity would make black hole like states ideal candidates for quantum

computer like systems [35]. One could even imagine that the galactic black hole is a highly tangled cosmic string in Hagedorn temperature performing quantum computations the complexity of which is totally out of reach of human intellect! Indeed, TGD inspired consciousness predicts that evolution leads to the increase of information and intelligence, and the evolution of stars should not form exception to this. Also the interpretation of black hole as consisting of dark matter follows from this picture [19].

Concerning the mathematical description of dark matter - and of matter quite generally- TGD has led to amazingly simple mathematical framework, which might have something to with Matrix theory approach. The characteristic aspects of the classical dynamic determined by Kähler action is its vacuum degeneracy and this not only allows but even forces the notion of finite measurement resolution originally inspired by the inclusions of hyper-finite factors of type II<sub>1</sub> (HFFs) having configuration space Clifford algebra as a canonical representative. The notion of finite measurement resolution leads to a discretization of physics in terms of number theoretic braids and finite number of fermionic oscillator operators characterizing any subsystem [31]. Even the infinite-dimensional world of classical worlds can be described with arbitrary accuracy as a finite-dimensional space and these descriptions define a hierarchy of inclusions of HFFs associated with configuration space Clifford algebra.

#### 7.4.7 Zero energy ontology and Witten's approach to 3-D quantum gravitation

There is an interesting relationship of quantum TGD to the recent yet unpublished work of Witten related to 3-D quantum blackholes [70], which - despite that it does not directly relate to M-theory - provides additional perspective.

1. The motivation of Witten is to find an exact quantum theory for blackholes in 3-D case. Witten proposes that the quantum theory for 3-D  $AdS_3$  blackhole with a negative cosmological constant can be reduced by  $AdS_3/CFT_2$  correspondence to a 2-D conformal field theory at the 2-D boundary of  $AdS_3$  analogous to blackhole horizon. This conformal field theory would be a Chern-Simons theory associated with the isometry group  $SO(1,2) \times SO(1,2)$  of  $AdS_3$ . Witten restricts the consideration to  $\Lambda < 0$  solutions because  $\Lambda = 0$  does not allow black-hole solutions and Witten believes that  $\Lambda > 0$  solutions are non-perturbatively unstable.
2. This conformal theory would have the so called monster group [66, 70] as the group of its discrete hidden symmetries. The primary fields of the corresponding conformal field theory would form representations of this group. The existence of this kind of conformal theory has been demonstrated already [73]. In particular, it has been shown that this theory does not allow massless states. On the other hand, for the 3-D vacuum Einstein equations the vanishing of the Einstein tensor requires the vanishing of curvature tensor, which means that gravitational radiation is not possible. Hence  $AdS_3$  theory in Witten's sense might define this conformal field theory.

Witten's construction has obviously a strong structural similarity to TGD.

1. Chern-Simons action for the induced Kähler form - or equivalently, for the induced classical color gauge field proportional to Kähler form and having Abelian holonomy - corresponds to the Chern-Simons action in Witten's theory.
2. Light-like 3-surfaces can be regard as 3-D solutions of vacuum Einstein equations. Due to the effective 2-dimensionality of the induced metric Einstein tensor vanishes identically and vacuum Einstein equations are satisfied for  $\Lambda = 0$ . One can say that light-like partonic 3-surfaces correspond to empty space solutions of Einstein equations. Even more, partonic 3-surfaces are very much analogous to 3-D black-holes if one identifies the counterpart of black-hole horizon with the intersection of  $\delta M_{\pm}^4 \times CP_2$  with the partonic 2-surface.
3. For light-like 3-surfaces curvature tensor is non-vanishing which raises the question whether one obtains gravitons in this case. The fact that time direction does not contribute to the metric means that propagating waves are not possible so that no 3-D gravitational radiation is obtained. There is analog for this result at quantum level. If partonic fermions are assumed to be free



fields as is done in the recent formulation of quantum TGD, gravitons can be obtained only as parton-antiparton bound states connected by flux tubes and are therefore genuinely stringy objects. Hence it is not possible to speak about 3-D gravitons as single parton states.

4. Vacuum Einstein equations can be regarded as gauge fixing allowing to eliminate partially the gauge degeneracy due to the general coordinate invariance. Additional super conformal symmetries are however present and have an identification in terms of additional symmetries related to the fact that space-time surfaces correspond to preferred extremals of Kähler action whose existence was concluded before the discovery of the formulation in terms of light-like 3-surfaces.

There are also interesting differences.

1. According to Witten, his theory has no obvious generalization to 4-D black-holes whereas 3-D light-like determinants define the generalization of blackhole horizons which are also light-like 3-surfaces in the induced metric. In particular, light-like 3-surfaces define a 4-D quantum holography.
2. Also the fermionic counterpart of Chern-Simons action for the induced spinors whose form is dictated by the super-conformal symmetry is present. Furthermore, partonic 3-surfaces are dynamical unlike  $AdS_3$  and the analog of Witten's theory results by freezing the vibrational degrees of freedom in TGD framework.
3. The very notion of light-likeness involves the induced metric implying that the theory is almost-topological but not quite. This small but important distinction indeed guarantees that the theory is physically interesting.
4. In Witten's theory the gauge group corresponds to the isometry group  $SO(1,2) \times SO(1,2)$  of  $AdS_3$ . The group of isometries of light-like 3-surface is something much much mightier. It corresponds to the conformal transformations of 2-dimensional section of the 3-surfaces made local with respect to the radial light-like coordinate in such a manner that radial scaling compensates the conformal scaling of the metric produced by the conformal transformation.

The direct TGD counterpart of the Witten's gauge group would be thus infinite-dimensional and essentially same as the group of 2-D conformal transformations. Presumably this can be interpreted in terms of the extension of conformal invariance implied by the presence of ordinary conformal symmetries associated with 2-D cross section plus "conformal" symmetries with respect to the radial light-like coordinate. This raises the question about the possibility to formulate quantum TGD as something analogous to string field theory using Chern-Simons action for this infinite-dimensional group.

5. Monster group does not have any special role in TGD framework. However, all finite groups and - as it seems - also compact groups can appear as groups of dynamical symmetries at the partonic level in the general framework provided by the inclusions of hyper-finite factors of type  $II_1$  [35]. Compact groups and their quantum counterparts would closely relate to a hierarchy of Jones inclusions associated with the TGD based quantum measurement theory with finite measurement resolution defined by inclusion as well as to the generalization of the imbedding space related to the hierarchy of Planck constants [35]. Discrete groups would correspond to the number theoretical braids providing representations of Galois groups for extensions of rationals realized as braidings [37].
6. To make it clear, I am not suggesting that  $AdS_3/CFT_2$  correspondence should have a TGD counterpart. If it had, a reduction of TGD to a closed string theory would take place. The almost-topological QFT character of TGD excludes this on general grounds. More concretely, the dynamics would be effectively 2-dimensional if the radial superconformal algebras associated with the light-like coordinate would act as pure gauge symmetries. Concrete manifestations of the genuine 3-D character are following.
  - (a) Generalized super-conformal representations decompose into infinite direct sums of stringy super-conformal representations.

- (b) In p-adic thermodynamics explaining successfully particle massivation radial conformal symmetries act as dynamical symmetries crucial for the particle massivation interpreted as a generation of a thermal conformal weight.
- (c) The maxima of Kähler function defining Kähler geometry in the world of classical worlds correspond to special light-like 3-surfaces analogous to bottoms of valleys in spin glass energy landscape meaning that there is infinite number of different 3-D lightlike surfaces associated with given 2-D partonic configuration each giving rise to different background affecting the dynamics in quantum fluctuating degrees of freedom. This is the analogy of landscape in TGD framework but with a direct physical interpretation in say living matter.

As noticed, Witten's theory is essentially for 2-D fundamental objects. It is good to sum up what is needed to get a theory for 3-D fundamental objects in TGD framework from an approach similar to Witten's in many respects. This connection is obtained if one brings in 4-D holography, replaces 3-metrics with light-like 3-surfaces (light-likeness constraint is possible by 4-D general coordinate invariance), and accepts the new view about  $M$ -matrix implied by the zero energy ontology.

1. Light-like 3-surfaces can be regarded as solutions vacuum Einstein equations with vanishing cosmological constant (Witten considers solutions with non-vanishing cosmological constant). The effective 2-D character of the induced metric is what makes this possible.
2. Zero energy ontology is also an essential element: quantum states of 3-D theory in zero energy ontology correspond to generalized  $S$ -matrices: **Matrix** or  $M$ -matrix might be a proper term. **Matrix** is a "complex square root" of density matrix -matrix valued generalization of Schrodinger amplitude - defining time like entanglement coefficients. Its "phase" is unitary matrix and might be rather universal. **Matrix** is a functor from the category of Feynman cobordisms and matrices have groupoid like structure (see discussion below). Without this generalization theory would reduce to a theory for 2-D fundamental objects.
3. Theory becomes genuinely 4-D because  $M$ -matrix is not universal anymore but characterizes zero energy states.
4. 4-D holography is obtained via the Kähler metric of the world of classical worlds assigning to light-like 3-surface a preferred extremal of Kähler action as the analog of Bohr orbit containing 3-D lightlike surfaces as submanifolds (analogs of blackhole horizons and lightlike boundaries) [17]. Interiors of 4-D space-time sheets corresponds to zero modes of the metric and to the classical variables of quantum measurement theory (quantum classical correspondence). The conjecture is that Dirac determinant for the modified Dirac action associated with partonic 3-surfaces defines the vacuum functional as the exponent of Kähler function with Kähler coupling strength fixed completely as the analog of critical temperature so that everything reduces to almost topological QFT [31].

## 7.5 What went wrong with string models?

As will be found, the few physical predictions of M-theory are wrong. It is instructive to try to understand what went wrong with M-theories and string models by comparing it with earlier successful theories and with TGD.

### 7.5.1 Problems of M-theory

At the physical side the situation in M-theory can be regarded as a catastrophe and without the association of the attribute "the only known candidate for the quantum theory of gravitation..." to the letter M bringing in mind Pavlov dogs, no-one could take it seriously. The various problems of M-theory have been discussed in the article of Smolin [61] as also by Penrose in his lecture series "Fashion, Faith and Fantasy in Theoretical Physics" [74]. The discussions of "Not Even Wrong" [60] group provide a vivid critical view about the situation.

1. M-theory has not been able to explain why the dimension of the space-time is four and has even failed to reproduce the standard model. Unless one assumes that the small dimensions form

a singular manifold (something so ugly that it turns my stomach around), M-theory predicts chiral symmetry just like Kaluza-Klein theories: the symmetry is inconsistent with the standard model. Ironically, just this was the reason why superstrings replaced Kaluza-Klein theories in the first superstring revolution. This full  $\pi$  twist represents a good example of M-logic.

The predicted massless scalar fields have not been observed. The predicted low energy supersymmetry is experimentally absent, and now papers have begun to appear suggesting that M-theory after all might predict only high energy super-symmetry. One of the first findings after the second superstring revolution was that the prediction for the unification scale was wrong. I remember that Witten proposed at that time a suitable compactification of the 11<sup>th</sup> dimension to a circle to circumvent this problem.

2. Cosmological constant is now believed to be non-vanishing and positive [79] whereas the cosmological constant predicted by M-theory is negative. M-theories provide no explanation for the accelerated expansion [79]. There is a plethora of cosmological observations which M-theory cannot even address.

This sad state of affairs has led to the introduction of the anthropic principle [64] but not in the sense that it would really predict something but as an M-logic proof that M-theory after all predicts among other things also the cosmological constant correctly. The premise is that M-theory is correct and the conclusion is that the observed universe must represent some distant corner of the M-landscape, and we must be ready to accept as a fact, that we will never be able to find our way to this distant part of the Theory Universe, and be happy with learning new dualities.

### 7.5.2 Mouse as a tailor

The history of string models differs dramatically from that for theories which has been successful as physical theories. As a rule, new theories have started from a precise problem which earlier theories have not been able to solve, and have led to a new ontology and inspired new mathematics.

String model was born as a model of hadrons. It however became gradually clear that the constraints on space-time dimensions make it unrealistic for this purpose. The conclusion of the mouse was not so humble as in the tale: admittedly string models fail for hadrons but who knows, they might describe everything.

After a decade of tailoring the cat was told that superstrings do not seem to make a TOE after all. The mouse said that he could tailor even something more grandiose just by sewing together all the previous failures. Now it has become clear that the result is an enormous bundle of solutions of the possibly existing M-theory, which at practical level is reduced after few heuristic arguments to compactifications of 11-D super gravity. There is still however a little problem: not a single one of these solutions seems to describe the Universe we live in. Now the mouse suggests that we should give up the dream about a theory of the observable universe as unrealistic, stop complaining and be happy with all these beautiful dualities.

Is the time ripe for the story to end as its original version did or shall the cat provide still another decade of financial support for the expensive tailor?

### 7.5.3 The dogma of reductionism

#### M-theory as an outcome of hard-nosed reductionism

The philosophical background of string models is hard-nosed reductionism taken down to Planck length: something taken to be so self-evident that it has not been even mentioned. Hence the theory cannot make any predictions about or utilize the rich experimental input coming from the known physics.

This means that string theorists do not pay any attention to the pressing problems of quantum measurement theory, to the problems related to the relationship between experienced and geometric time, and to the problems surrounding to the poor understanding of second law. Not to even mention the questions about the difference between animate and in-animate matter, and about what it means to be a conscious system.

The belief that the action defining functional integral summarizes the physics leads to an approach which is extremely pragmatic: start from the existing formulas of perturbative field theories and try to

combine them in order to cook up a more general theory. The danger that theoreticians fall into a kind of mathematical insanity in this kind of situation is obvious, and the possible failure of reductionism means a tragic failure of the entire approach.

### Giving up reductionism

TGD cannot be regarded as a success from the point of view of sociology of science but the success of TGD as a physical theory is undeniable and basically due to the facts that TGD emerged as a solution to a well-defined problem, and that the notion of many-sheeted space-time plus p-adic length scale hypothesis [27] provide a precise quantitative formulation for how reductionism fails.

1. I ended up with TGD by starting from a very real problem of general relativity and soon found that I could end up to TGD also from string models. From the beginning the contact of TGD with experimental physics was very intimate. Later the quantum classical correspondence has become a basic guide line in the construction of the theory.
2. One cannot deny that string theories partially solved the divergence problem of perturbative quantum field theories. Unfortunately, it is highly implausible that the sum of the perturbation series would converge so that as such it is useless. This has in fact been seen as a victory of the theory since one can hope that a genuinely non-perturbative approach could lead to a unique theory.

In TGD framework the absence of the basic divergences is highly plausible already from the basic construction involving new ontology of space-time. Vacuum functional identified as an exponent of Kähler function is not anymore a local functional of 3-surface so that basic perturbative divergences resulting from the micro-locality are absent. Also Gaussian and metric determinants cancel and the definition of Kähler function in terms of Dirac determinant is free of divergencies [31].

3. The construction of quantum TGD was not possible without the theory of consciousness. Key element is the replacement of space-time micro-locality with classical locality in the "world of classical worlds" making possible to understand how macroscopic and macro-temporal quantum coherence are possible [41, 43, 49]. Thanks to the notion of self [45, 32, 39], observer ceases to be an outsider and quantum measurement theory is becomes an essential part of the theory. Completely un-expected outcomes were the already mentioned generalizations of the number concept and the identification of the space-time correlates of cognition and intentionality.
4. TGD generalizes in a dramatic manner the ontology of space-time in terms of the notion of the many-sheeted space-time involving also the new view about numbers. The identification of space-time sheets as space-time counterparts of physical objects resolves the question about the generation of structures. The ontology of quantum TGD is discussed in [32] from the point of view of category theory. One important implication is that even quantum superposition and quantum logic can have space-time correlates at the level of many-sheeted space-time.
5. TGD resolves the paradoxes due to the conflict between the non-determinism of quantum jump and determinism of Schrödinger equation and, by the classical non-determinism, quantum-classical correspondence can be realized at the space-time level even for quantum jump sequences. TGD leads to a new view about the relationship between geometric and subjectively experienced time rather than just identifying them [39].
6. In a dual manner TGD makes a distinction between inertial and gravitational masses: quite generally, gravitational quantum numbers are differences for those associated with positive and negative energy matter. The prediction is that Equivalence Principle in its standard form holds true only when the interaction between positive and negative energy matter can be neglected [32, 29]. Thus the conservation of the inertial momenta is consistent with the non-conservation of gravitational momenta. This should have been obvious from the beginning from the fact that Robertson-Walker cosmologies correspond to vacuum extremals in TGD [29]: it however took 25 years to realize that Einstein was probably wrong. Implications are rather dramatic. For instance, Universe as a whole has vanishing inertial quantum numbers and is created again in

every quantum jump in 4-D sense so that the question about what were the initial values at the moment of big bang becomes obsolete [29].

Negative energies make possible what I call remote metabolism playing in key role in TGD inspired theory of consciousness and of quantum biology: the system can gain energy by sending negative energy to geometric past [41, 43, 39]. Time mirror mechanism makes possible communications with geometric past and future and communications with an effectively super-luminal velocity become possible.

7. The duality between theory and reality is resolved. TGD based ontology postulates only three levels of existence corresponding to existences in these sense of classical and quantum physics, and conscious existence which corresponds to the quantum jumps between the quantum states [32]. The possibility that space-time points are infinitely structured in p-adic sense although this structure is not visible in real sense [16], would resolve the challenge posed by the question why all those structures that we can imagine mathematically, are not realized physically. Obviously, a reincarnation of the monad idea of Leibniz is in question.

#### 7.5.4 The loosely defined M

In a sharp contrast with M-theory [55], Newton's mechanics and gravitational theory, Maxwell's electrodynamics, Special and General Relativities, and even Bohr's rules were from the beginning relatively precisely defined theories able to make testable predictions. The lack of a precise definition of what "M" means has led to a flood of speculations based on speculations based on...

"M" as "membrane" would be a rather precise definition but does not really make sense since the huge conformal invariance of string models is lost as objects become 2-dimensional. For this reason one prefers to replace "M" with Mystery, Mother, or perhaps Matrix, but still think in terms of membranes which behave like strings. It became however clear that also branes of various dimensions are needed as discovered by Polchinski [56] and identified as non-perturbative objects at which string ends are attached to: this interpretation is the only possible one since otherwise momentum conservation would be lost for D-branes.

Needless to say, a theory using geometric structures consisting of parts possessing different dimensions does not satisfy the standards of the conventional mathematical aesthetics. An outsider could argue that the non-uniqueness of the boundary conditions (Neumann, Dirichlet and mixtures of them) is the fundamental failure of the string theory, and that a viable theory should predict the dynamics of boundaries. This is indeed the case in TGD where the criticality of the Kähler action guaranteeing general coordinate invariance in 4-D sense does this and implies that the space-time surface is a field theory counterpart of Bohr orbit.

A good example of brave new M-logic is provided by the construction of what is called Matrix Theory [59]. One starts from M-theory "known" to have 11-D supergravity as a low energy limit, replaces it with a 11-D supergravity, restricts the consideration to  $N$  0-branes (point particles) living in an effectively 10-D space, in an ad hoc manner replaces their position coordinates in 10-D space with non-commuting  $N \times N$ -matrix valued coordinates assuming that eigenvalues correspond to  $N$  space-time points, postulates a non-relativistic Schrödinger equation for this matrix, and by generalizing bravely the notion of holography, concludes that the original theory and even more follows from this very-very special theory at  $N \rightarrow \infty$  limit. From Matrix Theory one then deduces all superstring dualities and black hole physics using an argumentation with a comparable rigor.

It must be added that TGD predicts a rich variety of objects resulting as asymptotic self-organization patterns for which Kähler-Lorentz 4-force vanishes by quantum classical correspondence. The solutions are classified by the dimension of either their  $M^4$  or  $CP_2$  projection [29]. This variety includes cosmic strings and magnetic flux tubes besides space-time sheets. Magnetic flux tubes and string like objects can indeed attach to the boundaries of space-time sheets and there are obvious correspondences with branes with dimensions of branes restricted to run from 0 to 4 ( $p = -1, \dots, 3$ ) but only as objects obtained by idealizing 4-dimensional object with a lower-dimensional object.

Even the possibility of single space-time point or space-time curve to mimic the quantum dynamics of the quantum state of Universe is predicted but only at the level of cognition and relying on the new notion about what mathematical point is [16]. I however do not think that this has much to do with Matrix Theory.

### 7.5.5 Los Alamos, M-theory, and TGD

String models have been seen not only as a kind of holy grail of modern physics but also as an ideology promising an Utopia. As a rule, ideologies have tried to establish the new world order using censorship. String model hegemony has followed the tradition.

For about decade ago it became impossible for me to get anything to hep-th and other physics related archives. Interestingly, for few years ago my article about Riemann hypothesis was accepted to the math archives of Los Alamos and is also published [52]: it was however not possible to get it cross-listed to hep-th. For a few years American Mathematical Society has had a link to my homepage [54] as one of the few examples about new mathematics related to quantum physics.

I have learned that I am not the only victim of the string revolution (see the comments in "Not Even Wrong" discussion group [60]). Despite the official statement that anyone can contribute to LANL, an invisible peer system is acting. After 20 years of string revolutions it seems that physics itself has become the victim which has suffered the most severe injuries.

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Part II

**PHYSICS AS  
INFINITE-DIMENSIONAL  
SPINOR GEOMETRY AND  
GENERALIZED NUMBER  
THEORY: BASIC VISIONS**



## Chapter 8

# The Geometry of the World of Classical Worlds

### 8.1 Introduction

In this chapter a summary about basic ideas related to the construction of the Kähler geometry of infinite-dimensional configuration space of 3-surfaces (more or less-equivalently, the corresponding 4-surfaces defining generalized Bohr orbits).

#### 8.1.1 The quantum states of Universe as modes of classical spinor field in the "world of classical worlds"

The vision behind the construction of configuration space geometry is that physics reduces to the geometry of classical spinor fields in the infinite-dimensional configuration space of 3-surfaces of  $M_+^4 \times CP_2$  or  $M^4 \times CP_2$ , where  $M^4$  and  $M_+^4$  denote Minkowski space and its light cone respectively. This configuration space might be called the "world of classical worlds".

a) Hermitian conjugation is the basic operation in quantum theory and its geometrization requires that configuration space possesses Kähler geometry. One of the basic features of the Kähler geometry is that it is solely determined by the so called  $J$ , which defines both the  $J$  and the components of the  $g$  in complex coordinates via the general formulas [59]

$$\begin{aligned} J &= i\partial_k\partial_{\bar{l}}Kdz^k \wedge d\bar{z}^l, \\ ds^2 &= 2\partial_k\partial_{\bar{l}}Kdz^k d\bar{z}^l. \end{aligned} \tag{8.1.1}$$

Kähler form is covariantly constant two-form and can be regarded as a representation of imaginary unit in the tangent space of the configuration space

$$J_{mr}J^{rn} = -g_m^n. \tag{8.1.2}$$

As a consequence Kähler form defines also symplectic structure in configuration space.

#### 8.1.2 Definition of Kähler function

The task of finding Kähler geometry for the configuration space reduces to that of finding Kähler function and identifying the complexification. The main constraints on the Kähler function result from the requirement of  $\text{Diff}^4$  symmetry and degeneracy. requires that the definition of the Kähler function assigns to a given 3-surface  $X^3$  a unique space-time surface  $X^4(X^3)$ , the generalized Bohr orbit defining the classical physics associated with  $X^3$ . The natural guess is that Kähler function is defined by what might be called Kähler action, which is essentially Maxwell action with Maxwell field expressible in terms of  $CP_2$  coordinates. Absolute minimization is the first guess for how to fix  $X^4(X^3)$  uniquely.

It has however become clear that this option might well imply that Kähler is negative and infinite for the entire Universe so that the vacuum functional would be identically vanishing. Quantum criticality suggests the correct principle to be the criticality, that is vanishing of the second variation of Kähler action - at least for deformation identifiable as dynamical symmetries. This principle now follows from the conservation of Noether currents the modified Dirac action.

If Kähler action would define a strictly deterministic variational principle,  $\text{Diff}^4$  degeneracy and general coordinate invariance would be achieved by restricting the consideration to 3-surfaces  $Y^3$  at the boundary of  $M_+^4$  and by defining Kähler function for 3-surfaces  $X^3$  at  $X^4(Y^3)$  and diffeo-related to  $Y^3$  as  $K(X^3) = K(Y^3)$ . This reduction might be called . The classical non-determinism of the Kähler action however introduces complications which might be however overcome by generalizing the notion of quantum gravitational holography.

### 8.1.3 Configuration space metric from symmetries

A complementary approach to the problem of constructing configuration space geometry is based on symmetries. The work of Dan Freed [68] has demonstrated that the Kähler geometry of loop spaces is unique from the existence of Riemann connection and fixed completely by the Kac Moody symmetries of the space. In 3-dimensional context one has even better reasons to expect uniqueness. The guess is that configuration space is a union of symmetric spaces labelled by zero modes not appearing in the line element as differentials. The generalized conformal invariance of metrically 2-dimensional light like 3-surfaces acting as causal determinants is the corner stone of the construction. The construction works only for 4-dimensional space-time and imbedding space which is a product of four-dimensional Minkowski space or its future light cone with  $CP_2$ .

### 8.1.4 What principle selects the preferred extremals?

Space-time surfaces should be analogous to Bohr orbits in order to make possible possible realization of general coordinate invariance. The first guess is that absolute minimization of Kähler action might be the principle selecting preferred extremals. One can criticize the assumption that extremals correspond to the absolute minima of Kähler action. Any other principle allowing to assign to a given 3-surface a unique space-time surface in principle must in principle be considered as a viable alternative.

The construction of quantum TGD in terms of the modified Dirac action associated with Kähler action led to what looks like a final answer to the question about the principle selecting preferred extremals. The Noether currents associated with modified Dirac action are conserved if second variations of Kähler action vanish - at least for the deformations corresponding to dynamical symmetries. This is nothing but space-time correlate for quantum criticality and it is amusing that I failed to realize this for so long time.

In this chapter I will first consider the basic properties of the configuration space, discuss briefly the various approaches to the geometrization of the configuration space, and introduce the two complementary strategies based on a direct guess of Kähler function and on the group theoretical approach assuming that configuration space can be regarded as a union of symmetric spaces. After these preliminaries a definition of the Kähler function is proposed and various physical and mathematical motivations behind the proposed definition are discussed. The key feature of the Kähler action is classical non-determinism, and various implications of the classical non-determinism are discussed.

## 8.2 How to generalize the construction of configuration space geometry to take into account the classical non-determinism?

If the imbedding space were  $H_+ = M_+^4 \times CP_2$  and if Kähler action were deterministic, the construction of configuration space geometry reduces to  $\delta M_+^4 \times CP_2$ . Thus in this limit quantum holography principle [69, 61] would be satisfied also in TGD framework and actually reduce to the general coordinate invariance. The classical non-determinism of Kähler action however means that this construction is not quite enough and the challenge is to generalize the construction.



### 8.2.1 Quantum holography in the sense of quantum gravity theories

In string theory context quantum holography is more or less synonymous with Maldacena conjecture [69] which (very roughly) states that string theory in Anti-de-Sitter space AdS is equivalent with a conformal field theory at the boundary of AdS. In purely quantum gravitational context [61], quantum holography principle states that quantum gravitational interactions at high energy limit in AdS can be described using a topological field theory reducing to a conformal (and non-gravitational) field theory defined at the *time like* boundary of the AdS. Thus the time like boundary plays the role of a dynamical hologram containing all information about correlation functions of  $d+1$  dimensional theory. This reduction also conforms with the fact that black hole entropy is proportional to the horizon area rather than the volume inside horizon.

Holography principle reduces to general coordinate invariance in TGD. If the action principle assigning space-time surface to a given 3-surface  $X^3$  at light cone boundary were completely deterministic, four-dimensional general coordinate invariance would reduce the construction of the configuration geometry for the space of 3-surfaces in  $M_+^4 \times CP_2$  to the construction of the geometry at the boundary of the configuration space consisting of 3-surfaces in  $\delta M_+^4 \times CP_2$  (moment of big bang). Also the quantum theory would reduce to the boundary of the future light cone.

The classical non-determinism of Kähler action however implies that quantum holography in this strong form fails. This is very desirable from the point of view of both physics and consciousness theory. Classical determinism would also mean that time would be lost in TGD as it is lost in GRT. Classical non-determinism is also absolutely essential for quantum consciousness and makes possible conscious experiences with contents localized into finite time interval despite the fact that quantum jumps occur between configuration space spinor fields defining what I have used to call quantum histories. Classical non-determinism makes it also possible to generalize quantum-classical correspondence in the sense that classical non-determinism at the space-time level provides correlate for quantum non-determinism. The failure of classical determinism is a difficult challenge for the construction of the configuration space geometry. One might however hope that the notion of quantum holography generalizes.

### 8.2.2 How the classical determinism fails in TGD?

One might hope that determinism in a generalized sense might be achieved by generalizing the notion of 3-surface by allowing unions of space-like 3-surfaces with time like separations with very strong but not complete correlations between the space-like 3-surfaces. In this case the non-determinism would mean that the 3-surfaces  $Y^3$  at light cone boundary correspond to at most enumerable number of preferred extremals  $X^4(Y^3)$  of Kähler action so that one would get finite or at most enumerably infinite number of replicas of a given configuration space region and the construction would still reduce to the light cone boundary.

1. This is probably quite too simplistic view. Any 4-surface which has  $CP_2$  projection which belongs to so called Lagrange manifold of  $CP_2$  having by definition vanishing induced Kähler form is vacuum extremal. Thus there is an infinite variety of 6-dimensional sub-manifolds of  $H$  for which all extremals of Kähler action are vacua.
2.  $CP_2$  type vacuum extremals are different since they possess non-vanishing Kähler form and Kähler action. They are identifiable as classical counterparts of elementary particles have  $M_+^4$  projection which is a random light like curve (this in fact gives rise to conformal invariance identifiable as counterpart of quaternion conformal invariance). Thus there are good reasons to suspect that classical non-determinism might destroy the dream about complete reduction to the light cone boundary.
3. The wormhole contacts connecting different space-time sheets together can be seen as pieces of  $CP_2$  type extremals and one expects that the non-determinism is still there and that the metrically 2-dimensional elementary particle horizons (light like 3-surfaces of  $H$  surrounding wormhole contacts and having time-like  $M_+^4$  projection) might be a crucial element in the understanding of quantum TGD. The non-determinism of  $CP_2$  type extremals is absolutely crucial for the ordinary elementary particle physics. It seems that the conformal symmetries responsible for the ordinary elementary particle quantum numbers acting in these degrees of freedom do not contribute to the configuration space metric line element.

4. The possibility of space-time sheets with a negative time orientation with ensuing negative sign of classical energy is a further blow against  $\delta M_+^4$  reductionism. Space-time sheets can be created as pairs of positive and negative energy space-time sheet from vacuum and this forces to modify radically the ontology of physics. Crossing symmetry allows to interpret particle reactions as a creation of zero energy states from vacuum, and the identification of the gravitational energy as the difference between positive and negative energies of matter supports the view that the net inertial (conserved Poincare-) energy of the universe vanishes both in quantal and classical sense. This option resolves unpleasant questions about net conserved quantum numbers of Universe, and provides an elegant interpretation of the vacuum extremals as correlates for systems with vanishing Poincare energy. This option is the only possible alternative from the point of view of TGD inspired cosmology where Robertson-Walker metrics are vacuum extremals with respect to inertial energy. In particular, super-symplectic invariance transforms to a fundamental symmetry of elementary particle physics besides the conformal symmetry associated with 3-D light like causal determinants which means a dramatic departure from string models unless it is somehow equivalent with the super-symplectic symmetry.

The treatment of the non-determinism in a framework in which the prediction of time evolution is seen as initial value problem, seems to be difficult. Also the notion of configuration space becomes a messy concept. Zero energy ontology changes the situation completely. Light-like 3-surfaces become representations of generalized Feynman diagrams and brings in the notion of finite time resolution. One obtains adirect connection with the concepts of quantum field theory with path integral with cutoff replaced with a sum over various preferred extremals with cutoff in time resolution.

### 8.2.3 The notions of imbedding space, 3-surface, and configuration space

The notions of imbedding space, 3-surface (and 4-surface), and configuration space (world of classical worlds (WCW)) are central to quantum TGD. The original idea was that 3-surfaces are space-like 3-surfaces of  $H = M^4 \times CP_2$  or  $H = M_+^4 \times CP_2$ , and WCW consists of all possible 3-surfaces in  $H$ . The basic idea was that the definition of Kähler metric of WCW assigns to each  $X^3$  a unique space-time surface  $X^4(X^3)$  allowing in this manner to realize general coordinate invariance. During years these notions have however evolved considerably. Therefore it seems better to begin directly from the recent picture.

#### The notion of imbedding space

Two generalizations of the notion of imbedding space were forced by number theoretical vision [24, 19, 16].

1. p-Adicization forced to generalize the notion of imbedding space by gluing real and p-adic variants of imbedding space together along rationals and common algebraic numbers. The generalized imbedding space has a book like structure with reals and various p-adic number fields (including their algebraic extensions) representing the pages of the book.
2. With the discovery of zero energy ontology [23, 31] it became clear that the so called causal diamonds ( $CD$ s) interpreted as intersections  $M_+^4 \cap M_-^4$  of future and past directed light-cones of  $M^4 \times CP_2$  define correlates for the quantum states. The position of the "lower" tip of  $CD$  characterizes the position of  $CD$  in  $H$ . If the temporal distance between upper and lower tip of  $CD$  is quantized power of 2 multiples of  $CP_2$  length, p-adic length scale hypothesis [27] follows as a consequence. The upper *resp.* lower light-like boundary  $\delta M_+^4 \times CP_2$  *resp.*  $\delta M_-^4 \times CP_2$  of  $CD$  can be regarded as the carrier of positive *resp.* negative energy part of the state. All net quantum numbers of states vanish so that everything is creatable from vacuum. Space-time surfaces assignable to zero energy states would reside inside  $CD \times CP_2$ s and have their 3-D ends at the light-like boundaries of  $CD \times CP_2$ . Fractal structure is present in the sense that  $CD$ s can contain  $CD$ s within  $CD$ s, and measurement resolution dictates the length scale below which the sub- $CD$ s are not visible.
3. The realization of the hierarchy of Planck constants [35] led to a further generalization of the notion of imbedding space. Generalized imbedding space is obtained by gluing together Cartesian

products of singular coverings and factor spaces of  $CD$  and  $CP_2$  to form a book like structure. The particles at different pages of this book behave like dark matter relative to each other. This generalization also brings in the geometric correlate for the selection of quantization axes in the sense that the geometry of the sectors of the generalized imbedding space with non-standard value of Planck constant involves symmetry breaking reducing the isometries to Cartan subalgebra. Roughly speaking, each  $CD$  and  $CP_2$  is replaced with a union of  $CD$ s and  $CP_2$ s corresponding to different choices of quantization axes so that no breaking of Poincare and color symmetries occurs at the level of entire WCW.

4. The construction of quantum theory at partonic level brings in very important delicacies related to the Kähler gauge potential of  $CP_2$ . Kähler gauge potential must have what one might call pure gauge parts in  $M^4$  in order that the theory does not reduce to mere topological quantum field theory. Hence the strict Cartesian product structure  $M^4 \times CP_2$  breaks down in a delicate manner. These additional gauge components -present also in  $CP_2$ - play key role in the model of anyons, charge fractionization, and quantum Hall effect [17].

### The notions of 3-surface and space-time surface

The question what one exactly means with 3-surface turned out to be non-trivial.

1. The original identification of 3-surfaces was as arbitrary space-like 3-surfaces subject to Equivalence implied by General Coordinate Invariance. There was a problem related to the realization of General Coordinate Invariance since it was not at all obvious why the preferred extremal  $X^4(Y^3)$  for  $Y^3$  at  $X^4(X^3)$  and  $Diff^4$  related  $X^3$  should satisfy  $X^4(Y^3) = X^4(X^3)$ .
2. Much later it became clear that light-like 3-surfaces have unique properties for serving as basic dynamical objects, in particular for realizing the General Coordinate Invariance in 4-D sense (obviously the identification resolves the above mentioned problem) and understanding the conformal symmetries of the theory. On basis of these symmetries light-like 3-surfaces can be regarded as orbits of partonic 2-surfaces so that the theory is locally 2-dimensional. It is however important to emphasize that this indeed holds true only locally. At the level of WCW metric this means that the components of the Kähler form and metric can be expressed in terms of data assignable to 2-D partonic surfaces. It is however essential that information about normal space of the 2-surface is needed.
3. At some stage came the realization that light-like 3-surfaces can have singular topology in the sense that they are analogous to Feynman diagrams. This means that the light-like 3-surfaces representing lines of Feynman diagram can be glued along their 2-D ends playing the role of vertices to form what I call generalized Feynman diagrams. The ends of lines are located at boundaries of sub- $CD$ s. This brings in also a hierarchy of time scales: the increase of the measurement resolution means introduction of sub- $CD$ s containing sub-Feynman diagrams. As the resolution is improved, new sub-Feynman diagrams emerge so that effective 2-D character holds true in discretized sense and in given resolution scale only.
4. A further complication relates to the hierarchy of Planck constants forcing to generalize the notion of imbedding space and also to the fact that for non-standard values of Planck constant there is symmetry breaking due to preferred plane  $M^2$  preferred homologically trivial geodesic sphere of  $CP_2$  having interpretation as geometric correlate for the selection of quantization axis. For given sector of  $CH$  this means union over choices of this kind.

The basic vision forced by the generalization of General Coordinate Invariance has been that space-time surfaces correspond to preferred extremals  $X^4(X^3)$  of Kähler action and are thus analogous to Bohr orbits. Kähler function  $K(X^3)$  defining the Kähler geometry of the world of classical worlds would correspond to the Kähler action for the preferred extremal. The precise identification of the preferred extremals actually has however remained open.

The obvious but rather ad hoc guess motivated by physical intuition was that preferred extremals correspond to the absolute minima of Kähler action for space-time surfaces containing  $X^3$ . This choice has some nice implications. For instance, one can develop an argument for the existence of an infinite number of conserved charges. If  $X^3$  is light-like surface- either light-like boundary of  $X^4$  or light-like

3-surface assignable to a wormhole throat at which the induced metric of  $X^4$  changes its signature—this identification circumvents the obvious objections. This option however failed to have a direct analog in the p-adic sectors of the world of classical worlds (WCW). The reason is that minimization does not make sense for the p-adic valued counterpart of Kähler action since it is not even well-defined although the field equations make sense p-adically. Therefore, if absolute minimization makes sense it must have expression as purely algebraic conditions.

Much later number theoretical compactification led to important progress in the understanding of the preferred extremals and the conjectures were consistent with what is known about the known extremals.

1. The conclusion was that one can assign to the 4-D tangent space  $T(X^4(X_i^3)) \subset M^8$  a subspace  $M^2(x) \subset M^4$  having interpretation as the plane of non-physical polarizations. This in the case that the induced metric has Minkowskian signature. If not, and if co-hyper-quaternionic surface is in question, similar assigned should be possible in normal space. This means a close connection with super string models. Geometrically this would mean that the deformations of 3-surface in the plane of non-physical polarizations would not contribute to the line element of WCW. This is as it must be since complexification does not make sense in  $M^2$  degrees of freedom.
2. In number theoretical framework  $M^2(x)$  has interpretation as a preferred hyper-complex subspace of hyper-octonions defined as 8-D subspace of complexified octonions with the property that the metric defined by the octonionic inner product has signature of  $M^8$ . The condition  $M^2(x) \subset T(X^4(X_i^3))$  in principle fixes the tangent space at  $X_i^3$ , and one has good hopes that the boundary value problem is well-defined and could fix  $X^4(X^3)$  at least partially as a preferred extremal of Kähler action. This picture is rather convincing since the choice  $M^2(x) \subset M^4$  plays also other important roles.
3. At the level of  $H$  the counterpart for the choice of  $M^2(x)$  seems to be following. Suppose that  $X^4(X_i^3)$  has Minkowskian signature. One can assign to each point of the  $M^4$  projection  $P_{M^4}(X^4(X_i^3))$  a sub-space  $M^2(x) \subset M^4$  and its complement  $E^2(x)$ , and the distributions of these planes are integrable and define what I have called Hamilton-Jacobi coordinates which can be assigned to the known extremals of Kähler with Minkowskian signature. This decomposition allows to slice space-time surfaces by string world sheets and their 2-D partonic duals. Also a slicing to 1-D light-like surfaces and their 3-D light-like duals  $Y_i^3$  parallel to  $X_i^3$  follows under certain conditions on the induced metric of  $X^4(X_i^3)$ . This decomposition exists for known extremals and has played key role in the recent developments. Physically it means that 4-surface (3-surface) reduces effectively to 3-D (2-D) surface and thus holography at space-time level.
4. The weakest form of number theoretic compactification [19] states that light-like 3-surfaces  $X^3 \subset X^4(X^3) \subset M^8$ , where  $X^4(X^3)$  hyper-quaternionic surface in hyper-octonionic  $M^8$  can be mapped to light-like 3-surfaces  $X^3 \subset X^4(X^3) \subset M^4 \times CP_2$ , where  $X^4(X^3)$  is now preferred extremum of Kähler action. The natural guess is that  $X^4(X^3) \subset M^8$  is a preferred extremal of Kähler action associated with Kähler form of  $E^4$  in the decomposition  $M^8 = M^4 \times E^4$ , where  $M^4$  corresponds to hyper-quaternions. The conjecture would be that the value of the Kähler action in  $M^8$  is same as in  $M^4 \times CP_2$ : in fact that 2-surface would have identical induced metric and Kähler form so that this conjecture would follow trivial.  $M^8 - H$  duality would in this sense be Kähler isometry.

The study of the modified Dirac equation meant further steps of progress and lead to a rather detailed view about what preferred extremals are.

1. The detailed construction of the generalized eigen modes of the modified Dirac operator  $D_K$  associated with Kähler action [31] relies on the vision that the generalized eigenvalues of this operator code for information about preferred extremal of Kähler action. The view about TGD as almost topological QFT is realized if the eigenmodes correspond to the solutions of  $D_K$ , which are effectively 3-dimensional. Otherwise almost topological QFT property would require Chern-Simons action alone and this choice is definitely un-physical. The first guess was that the eigenmodes are restricted to  $X_i^3$  and therefore analogous to spinorial shock waves. As I realized that number theoretical compactification requires the slicing of  $X^4(X_i^3)$  by light-like 3-surfaces

$Y_l^3$  parallel to  $X_l^3$ , it became clear that super-conformal gauge invariance with respect to the coordinate labeling the slices is a more natural manner to realized effective 3-dimensionality and guarantees that  $Y_l^3$  is gauge equivalent with  $X_l^3$  (General Coordinate Invariance).

2. The eigen modes of the modified Dirac operator  $D_K$  have the defining property that they are localized in regions of  $X_l^3$ , where the induced Kähler gauge field is non-vanishing. This guarantees that the number of generalized eigen modes is finite so that Dirac determinant is also finite and algebraic number if eigenvalues are algebraic numbers, and therefore makes sense also in p-adic context although Kähler action itself does not make sense p-adically.
3. The construction of the configuration space geometry in terms of modified Dirac action strengthens also the boundary conditions to the condition that there exists space-time coordinates in which the induced  $CP_2$  Kähler form and induced metric satisfy the conditions  $J_{ni} = 0$ ,  $g_{ni} = 0$  hold at  $X_l^3$ . One could say that at  $X_l^3$  situation is static both metrically and for the Maxwell field defined by the induced Kähler form.
4. The final step in the rapid evolution of ideas that too place during three months - at least I hope so since I do not want to continue this updating endlessly - was the realization that the introduction of imaginary CP breaking instanton part to the Kähler action is possible and also necessary if one wants a stringy variant of Feynman rules. Imaginary part does not contribute to the configuration space metric. This enriches the spectrum of the modified Dirac operator with an infinite number of conformal excitations breaking the effective 2-dimensionality of 3-surfaces and exact holography. Conformal excitations make possible stringy Feynman diagrammatics [31]. A breaking of effective 3-dimensionality of space-time surface comes through the non-determinism of Kähler action which indeed is the mechanism breaking the effective 2-dimensionality. Dirac determinant can be defined in terms of zeta function regularization using Riemann Zeta. Finite measurement resolution realized in terms of braids defined on basis of purely physical criteria however forces a cutoff in conformal weight and finiteness so that number theoretical universality is not lost.
5. This picture relying crucially on the the slicing of  $X^4(X^3)$  did not yet fix the definition of preferred extremals analytically at the level of field equations. The next step of progress was the realization that the requirement that the conservation of the Noether currents associated with the modified Dirac equation requires that the second variation of the Kähler action vanishes. In strongest form this condition would be satisfied for all variations and in weak sense only for those defining dynamical symmetries. The interpretation is as space-time correlate for quantum criticality and the vacuum degeneracy of Kähler action makes the criticality plausible. A generalization of the ideas of the catastrophe theory to infinite-dimensional context results [17]. These conditions make sense also in p-adic context and have a number theoretical universal form.

Although the details of this vision might change it can be defended by its ability to fuse together all great visions about quantum TGD. In the sequel the considerations are restricted to 3-surfaces in  $M_+^4 \times CP_2$ . The basic outcome is that Kähler metric is expressible using the data at partonic 2-surfaces  $X^2 \subset \delta M_+^4 \times CP_2$ . The generalization to the actual physical situation requires the replacement of  $X^2 \subset \delta M_+^4 \times CP_2$  with unions of partonic 2-surfaces located at light-like boundaries of  $CDs$  and sub- $CDs$ .

### The notion of configuration space

From the beginning there was a problem related to the precise definition of the configuration space ("world of classical worlds" (WCW)). Should one regard  $CH$  as the space of 3-surfaces of  $M^4 \times CP_2$  or  $M_+^4 \times CP_2$  or perhaps something more delicate.

1. For a long time I believed that the question " $M_+^4$  or  $M^4$ ?" had been settled in favor of  $M_+^4$  by the fact that  $M_+^4$  has interpretation as empty Robertson-Walker cosmology. The huge conformal symmetries assignable to  $\delta M_+^4 \times CP_2$  were interpreted as cosmological rather than laboratory symmetries. The work with the conceptual problems related to the notions of energy and time, and with the symmetries of quantum TGD, however led gradually to the realization that there are strong reasons for considering  $M^4$  instead of  $M_+^4$ .

2. With the discovery of zero energy ontology it became clear that the so called causal diamonds ( $CD$ s) define excellent candidates for the fundamental building blocks of the configuration space or "world of classical worlds" ( $WCW$ ). The spaces  $CD \times CP_2$  regarded as subsets of  $H$  defined the sectors of  $WCW$ .
3. This framework allows to realize the huge symmetries of  $\delta M_{\pm}^4 \times CP_2$  as isometries of  $WCW$ . The gigantic symmetries associated with the  $\delta M_{\pm}^4 \times CP_2$  are also laboratory symmetries. Poincare invariance fits very elegantly with the two types of super-conformal symmetries of TGD. The first conformal symmetry corresponds to the light-like surfaces  $\delta M_{\pm}^4 \times CP_2$  of the imbedding space representing the upper and lower boundaries of  $CD$ . Second conformal symmetry corresponds to light-like 3-surface  $X_l^3$ , which can be boundaries of  $X^4$  and light-like surfaces separating space-time regions with different signatures of the induced metric. This symmetry is identifiable as the counterpart of the Kac Moody symmetry of string models.

A rather plausible conclusion is that configuration space ( $WCW$ ) is a union of configuration spaces associated with the spaces  $CD \times CP_2$ .  $CD$ s can contain  $CD$ s within  $CD$ s so that a fractal like hierarchy having interpretation in terms of measurement resolution results. Since the complications due to p-adic sectors and hierarchy of Planck constants are not relevant for the basic construction, it reduces to a high degree to a study of a simple special case  $\delta M_{\pm}^4 \times CP_2$ .

A further piece of understanding emerged from the following observations.

1. The induced Kähler form at the partonic 2-surface  $X^2$  - the basic dynamical object if holography is accepted- can be seen as a fundamental symplectic invariant so that the values of  $\epsilon^{\alpha\beta} J_{\alpha\beta}$  at  $X^2$  define local symplectic invariants not subject to quantum fluctuations in the sense that they would contribute to the configuration space metric. Hence only induced metric corresponds to quantum fluctuating degrees of freedom at configuration space level and TGD is a genuine theory of gravitation at this level.
2. Configuration space can be divided into slices for which the induced Kähler forms of  $CP_2$  and  $\delta M_{\pm}^4$  at the partonic 2-surfaces  $X^2$  at the light-like boundaries of  $CD$ s are fixed. The symplectic group of  $\delta M_{\pm}^4 \times CP_2$  parameterizes quantum fluctuating degrees of freedom in given scale (recall the presence of hierarchy of  $CD$ s).
3. This leads to the identification of the coset space structure of the sub-configuration space associated with given  $CD$  in terms of the generalized coset construction for super-symplectic and super Kac-Moody type algebras (symmetries respecting light-likeness of light-like 3-surfaces). Configuration space in quantum fluctuating degrees of freedom for given values of zero modes can be regarded as being obtained by dividing symplectic group with Kac-Moody group. Equivalently, the local coset space  $S^2 \times CP_2$  is in question: this was one of the first ideas about configuration space which I gave up as too naive!
4. Generalized coset construction and coset space structure have very deep physical meaning since they realize Equivalence Principle at quantum level: the identical actions of Super Virasoro generators for super-symplectic and super Kac-Moody algebras implies that inertial and gravitational four-momenta are identical.

### 8.2.4 The treatment of non-determinism of Kähler action in zero energy ontology

The non-determinism of Kähler action means that the reduction of the construction of the configuration space geometry to the light cone boundary fails. Besides degeneracy of the preferred extrema of Kähler action, the non-determinism should manifest itself as a presence of causal determinants also other than light cone boundary.

One can imagine two kinds of causal determinants.

1. Elementary particle horizons and light-like boundaries  $X_l^3 \subset X^4$  of 4-surfaces representing worm-hole throats act as causal determinants for the space-time dynamics defined by Kähler action. The boundary values of this dynamics have been already considered.

2. At imbedding space level causal determinants correspond to light like  $CD$  forming a fractal hierarchy of  $CD$ s within  $CD$ s. These causal determinants determine the dynamics of zero energy states having interpretation as pairs of initial and final states in standard quantum theory.

The manner to treat the classical non-determinism would be roughly following.

1. The replacement of space-like 3-surface  $X^3$  with  $X_l^3$  transforms initial value problem for  $X^3$  to a boundary value problem for  $X_l^3$ . In principle one can also use the surfaces  $X^3 \subset \delta CD \times CP_2$  if  $X_l^3$  fixes  $X^4(X_l^3)$  and thus  $X^3$  uniquely. For years an important question was whether both  $X^3$  and  $X_l^3$  contribute separately to the configuration space geometry or whether they provide descriptions, which are in some sense dual. This led to the notion of 7-3 duality and I even considered the possibility that  $\delta M_+^4 \times CP_2$  could be replaced with a more general surface  $X_l^3 \times CP_2$  allowing also generalized symplectic and conformal symmetries. 7-3 duality is not a good term since the actual duality actually relates descriptions based on space-like 3-surfaces  $X^3$  and light-like 3-surfaces  $X_l^3$ . Hence it seems that the proper place for 7-3 duality is in paper basked.
2. Only Super-Kac-Moody type conformal algebra makes sense in the interior of  $X_l^3$ . In the 2-D intersections of  $X_l^3$  with the boundary of causal diamond ( $CD$ ) defined as intersection of future and past directed light-cones super-symplectic algebra makes sense. This implies effective two-dimensionality which is broken by the non-determinism represented using the hierarchy of  $CD$ s meaning that the data from these 2-D surfaces and their normal spaces at boundaries of  $CD$ s in various scales determine the configuration space metric.
3. An important question has been whether Kac-Moody and super-symplectic algebras provide descriptions which are dual in some sense. At the level of Super-Virasoro algebras duality seems to be satisfied in the sense of generalized coset construction meaning that the differences of Super Virasoro generators of super-symplectic and super Kac-Moody algebras annihilate physical states. Among other things this means that four-momenta assignable to the two Super Virasoro representations are identical. The interpretation is in terms of a generalization of Equivalence Principle [31, 23]. This gives also a justification for p-adic thermodynamics applying only to Super Kac-Moody algebra.
4. Light-like 3-surfaces can be regarded also as generalized Feynman diagrams. The finite length resolution means also a cutoff in the number of generalized Feynman diagrams and this number remains always finite if the light-like 3-surfaces identifiable as maxima of Kähler function correspond to the diagrams. The finiteness of this number is also essential for number theoretic universality since it guarantees that the elements of  $M$ -matrix are algebraic numbers if momenta and other quantum numbers have this property. The introduction of new sub- $CD$ s means also introduction of zero energy states in corresponding time scale.
5. The notion of finite measurement resolution expressed in terms of hierarchy of  $CD$ s within  $CD$ s is important for the treatment of classical non-determinism. In a given resolution the non-determinism of Kähler action remains invisible below the time scale assigned to the smallest  $CD$ s. One could also say that complete non-determinism characterized in terms path integral with cutoff is replaced in TGD framework with the partial failure of classical non-determinism leading to generalized Feynman diagrams. This gives rise to discrete coupling constant evolution and avoids the mathematical ill-definedness and infinities plaguing path integral formalism since the functional integral over 3-surfaces is well defined.
6. Dirac determinant defining vacuum functional is assumed to correspond to exponent of Kähler action for its preferred extremal. Dirac determinant is defined as a product of finite number of eigenvalues of the transverse part  $D_K(X^2)$  of the modified Dirac operator  $D_K$  assumed to have decomposition  $D_K = D_K(X^2) + D_K(Y^2)$  reflecting the dual slicings of  $X^4$  to string world sheets  $Y^2$  and partonic 2-surfaces  $X^2$ . The existence of the slicing is supported by the properties of known extremals of Kähler action and strongly suggested by number theoretical compactification, and it implies among other things dimensional reduction to Minkowskian string model like theory and its Euclidian equivalent allowing to understand how Equivalence Principle is realized at space-time level. Finite number for the eigenvalues raises even hope that in a given resolution the functional integral reduces to Gaussian integral over a finite-dimensional space of logarithms of eigenvalues.

7. One can ask why Kähler action and playing with all these delicacies related to the failure of complete determinism. After all, one could formally replace Kähler action with 4-volume as in brane models. Space-time surfaces would be minimal surfaces and Dirac operator would be standard Dirac operator for the induced metric. Dirac determinant would however become infinite since the modes would not be anymore analogs of cyclotron states necessarily localized to a finite region of  $X_l^3$ . Recall that for Kähler action  $X_l^3$  indeed decomposes into patches inside with induced Kähler form is non-vanishing and Dirac determinant defining the exponent of Kähler function is well-defined and finite without any regularization procedure. Hence Kähler action is completely unique.

### 8.2.5 Category theory and configuration space geometry

Due the effects caused by the classical non-determinism even classical TGD universes are very far from simple Cartesian clockworks, and the understanding of the general structure of the configuration space is a formidable challenge. Category theory is a branch of mathematics which is basically a theory about universal aspects of mathematical structures. Thus category theoretical thinking might help in disentangling the complexities of the configuration space geometry and the basic ideas of category theory are discussed in this spirit and as an innocent layman. It indeed turns out that the approach makes highly non-trivial predictions.

In zero energy ontology the effects of non-determinism are taken into account in terms of causal diamonds forming a hierarchical fractal structure. One must allow also the unions of  $CDs$ ,  $CDs$  within  $CDs$ , and probably also overlapping of  $CDs$ , and there are good reasons to expect that  $CDs$  and corresponding algebraic structures could define categories. If one does not allow overlapping  $CDs$  then set theoretic inclusion map defines a natural arrow. If one allows both unions and intersections then  $CDs$  would form a structure analogous to the set of open sets used in set theoretic topology. One could indeed see  $CDs$  (or rather their Cartesian products with  $CP_2$ ) as analogs of open sets in Minkowskian signature.

So called ribbon categories seem to be tailor made for the formulation of quantum TGD and allow to build bridge to topological and conformal field theories. This discussion based on standard ontology. In [31] rather detailed category theoretical constructions are discussed. Important role is played by the notion of operad [48, 57]: this structure can be assigned with both generalized Feynman diagrams and with the hierarchy of symplectic fusion algebras realizing symplectic analogs of the fusion rules of conformal field theories.

## 8.3 Constraints on the configuration space geometry

The constraints on the configuration space geometry result both from the infinite dimension of the configuration space and from physically motivated symmetry requirements. There are three basic physical requirements on the configuration space geometry: namely four-dimensional Diff invariance, Kähler property and the decomposition of configuration space into a union  $\cup_i G/H_i$  of symmetric spaces  $G/H_i$ , each coset space allowing  $G$ -invariant metric such that  $G$  is subgroup of some 'universal group' having natural action on 3-surfaces. Together with the infinite dimensionality of the configuration space these requirements pose extremely strong constraints on the configuration space geometry. In the following we shall consider these requirements in more detail.

### 8.3.1 Configuration space as "the world of classical worlds"

The first naive view about the configuration space of TGD was that it consists of all 3-surfaces of  $M_+^4 \times CP_2$  containing sets of

1. surfaces with all possible manifold topologies and arbitrary numbers of components (N-particle sectors)
2. singular surfaces topologically intermediate between two manifold topologies (see Fig. 8.3.1)

The symbol  $C(H)$  will be used to denote the set of 3-surfaces  $X^3 \subset H$ . It should be emphasized that surfaces related by  $Diff^3$  transformations will be regarded as different surfaces in the sequel.



$$\begin{aligned}
 C_1 &= \{ \text{circle with horizontal line} \} \cup \{ \text{circle with vertical line} \} \cup \{ \text{circle with two horizontal lines} \} \cup \dots \\
 C_2 &= \{ \text{circle with horizontal line} \cup \text{circle with horizontal line} \} \cup \{ \text{circle with vertical line} \cup \text{circle with vertical line} \} \cup \dots \\
 \delta C_1 &= \{ \text{circle with two horizontal lines} \} \cup \{ \text{circle with vertical line} \} \cup \dots \\
 \delta C_2 &= \{ \text{circle with two horizontal lines} \} \cup \{ \text{circle with vertical line} \cup \text{circle with horizontal line} \} \cup \dots
 \end{aligned}$$

Figure 8.1: Structure of the configuration space: two-dimensional visualization

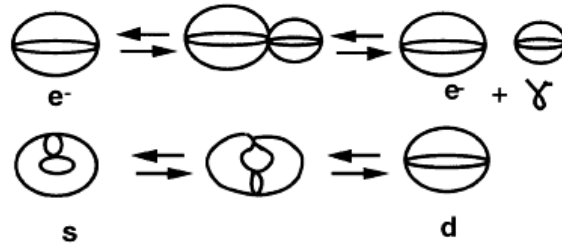


Figure 8.2: Two-dimensional visualization of topological description of particle reactions. a) Generalization of stringy diagram describing particle decay: 4-surface is smooth manifold and vertex a non-unique singular 3-manifold, b) Topological description of particle decay in terms of a singular 4-manifold but smooth and unique 3-manifold at vertex. c) Topological origin of Cabibbo mixing.

These surfaces form a connected(!) space since it is possible to glue various N-particle sectors to each other along their boundaries consisting of sets of singular surfaces topologically intermediate between corresponding manifold topologies. The connectedness of the configuration space is a necessary prerequisite for the description of topology changing particle reactions as continuous paths in configuration space (see Fig. 8.3.1).

### 8.3.2 Diff<sup>4</sup> invariance and Diff<sup>4</sup> degeneracy

Diff<sup>4</sup> plays fundamental role as the gauge group of General Relativity. In string models *Diff*<sup>2</sup> invariance (*Diff*<sup>2</sup> acts on the orbit of the string) plays central role in making possible the elimination of the time like and longitudinal vibrational degrees of freedom of string. Also in the present case the elimination of the tachyons (time like oscillatory modes of 3-surface) is a physical necessity and Diff<sup>4</sup> invariance provides an obvious manner to do the job.

In the standard functional integral formulation the realization of Diff<sup>4</sup> invariance is an easy task at the formal level. The problem is however that the path integral over four-surfaces is plagued by divergences and doesn't make sense. In the present case the configuration space consists of 3-surfaces and only *Diff*<sup>3</sup> emerges automatically as the group of re-parameterizations of 3-surface. Obviously one should somehow define the action of Diff<sup>4</sup> in the space of 3-surfaces. Whatever the action of Diff<sup>4</sup> is it must leave the configuration space metric invariant. Furthermore, the elimination of tachyons is expected to be possible only provided the time like deformations of the 3-surface correspond to zero norm vector fields of the configuration space so that 3-surface and its Diff<sup>4</sup> image have zero distance. The conclusion is that configuration space metric should be both Diff<sup>4</sup> invariant and Diff<sup>4</sup> degenerate.

The problem is how to define the action of  $\text{Diff}^4$  in  $C(H)$ . Obviously the only manner to achieve  $\text{Diff}^4$  invariance is to require that the very definition of the configuration space metric somehow associates a unique space-time surface to a given 3-surface for  $\text{Diff}^4$  to act on! The obvious physical interpretation of this space time surface is as "classical space time" so that "Classical Physics" would be contained in configuration space geometry. It is this requirement, which has turned out to be decisive concerning the understanding of the configuration space geometry. Amusingly enough, the historical development was not this: the definition of  $\text{Diff}^4$  degenerate Kähler metric was found by a guess and only later it was realized that  $\text{Diff}^4$  invariance and degeneracy could have been postulated from beginning!

### 8.3.3 Decomposition of the configuration space into a union of symmetric spaces $G/H$

The extremely beautiful theory of finite-dimensional symmetric spaces constructed by Elie Cartan suggests that configuration space should possess a decomposition into a union of coset spaces  $CH = \cup_i G/H_i$  such that the metric inside each coset space  $G/H_i$  is left invariant under the infinite dimensional isometry group  $G$ . The metric equivalence of surfaces inside each coset space  $G/H_i$  does not mean that 3-surfaces inside  $G/H_i$  are physically equivalent. The reason is that the vacuum functional is exponent of Kähler action which is not isometry invariant so that the 3-surfaces, which correspond to maxima of Kähler function for a given orbit, are in a preferred position physically. For instance, one can calculate functional integral around this maximum perturbatively. The sum of over  $i$  means actually integration over the zero modes of the metric (zero modes correspond to coordinates not appearing as coordinate differentials in the metric tensor).

The coset space  $G/H$  is a symmetric space only under very special Lie-algebraic conditions. Denoting the Cartan decomposition of the Lie-algebra  $g$  of  $G$  to the direct sum of  $H$  Lie-algebra  $h$  and its complement  $t$  by  $g = h \oplus t$ , one has

$$[h, h] \subset h \quad , \quad [h, t] \subset t \quad , \quad [t, t] \subset h \quad .$$

This decomposition turn out to play crucial role in guaranteing that  $G$  indeed acts as isometries and that the metric is Ricci flat.

The four-dimensional  $\text{Diff}$  invariance indeed suggests to a beautiful solution of the problem of identifying  $G$ . The point is that any 3-surface  $X^3$  is  $\text{Diff}^4$  equivalent to the intersection of  $X^4(X^3)$  with the light cone boundary. This in turn implies that 3-surfaces in the space  $\delta H = \delta M_+^4 \times CP_2$  should be all what is needed to construct configuration space geometry. The group  $G$  can be identified as some subgroup of diffeomorphisms of  $\delta H$  and  $H_i$  contains that subgroup of  $G$ , which acts as diffeomorphisms of the 3-surface  $X^3$ . Since  $G$  preserves topology, configuration space must decompose into union  $\cup_i G/H_i$ , where  $i$  labels 3-topologies and various zero modes of the metric. For instance, the elements of the Lie-algebra of  $G$  invariant under configuration space complexification correspond to zero modes.

The reduction to the light cone boundary, identifiable as the moment of big bang, looks perhaps odd at first. In fact, it turns out that the classical non-determinism of Kähler action forces does not allow the complete reduction to the light cone boundary: physically this is a highly desirable implication but means a considerable mathematical challenge.

Kähler property implies that the tangent space of the configuration space allows complexification and that there exists a covariantly constant two-form  $J_{kl}$ , which can be regarded as a representation of the imaginary unit in the tangent space of the configuration space:

$$J_k^r J_{rl} = -G_{kl} \quad . \quad (8.3.1)$$

There are several physical and mathematical reasons suggesting that configuration space metric should possess Kähler property in some generalized sense.

1. Kähler property turns out to be a necessary prerequisite for defining divergence free configuration space integration. We will leave the demonstration of this fact later although the argument as such is completely general.

2. Kähler property very probably implies an infinite-dimensional isometry group. The study of the loop groups  $Map(S^1, G)$  [68] shows that loop group allows only single Kähler metric with well defined Riemann connection and this metric allows local  $G$  as its isometries!

To see this consider the construction of Riemannian connection for  $Map(X^3, H)$ . The defining formula for the connection is given by the expression

$$\begin{aligned}
 2(\nabla_X Y, Z) &= X(Y, Z) + Y(Z, X) - Z(X, Y) \\
 &+ ([X, Y], Z) + ([Z, X], Y) - ([Y, Z], X)
 \end{aligned}
 \tag{8.3.2}$$

$X, Y, Z$  are smooth vector fields in  $Map(X^3, G)$ . This formula defines  $\nabla_X Y$  uniquely provided the tangent space of  $Map$  is complete with respect to Riemann metric. In the finite-dimensional case completeness means that the inverse of the covariant metric tensor exists so that one can solve the components of connection from the conditions stating the covariant constancy of the metric. In the case of the loop spaces with Kähler metric this is however not the case.

Now the symmetry comes into the game: if  $X, Y, Z$  are left (local gauge) invariant vector fields defined by the Lie-algebra of local  $G$  then the first three terms drop away since the scalar products of left invariant vector fields are constants. The expression for the covariant derivative is given by

$$\nabla_X Y = (Ad_X Y - Ad_X^* Y - Ad_Y^* X)/2
 \tag{8.3.3}$$

where  $Ad_X^*$  is the adjoint of  $Ad_X$  with respect to the metric of the loop space.

At this point it is important to realize that Freed's argument does not force the isometry group of the configuration space to be  $Map(X^3, M^4 \times SU(3))!$  Any symmetry group, whose Lie algebra is complete with respect to the configuration space metric ( in the sense that any tangent space vector is expressible as superposition of isometry generators modulo a zero norm tangent vector) is an acceptable alternative.

The Kähler property of the metric is quite essential in one-dimensional case in that it leads to the requirement of left invariance as a mathematical consistency condition and we expect that dimension three makes no exception in this respect. In 3-dimensional case the degeneracy of the metric turns out to be even larger than in 1-dimensional case due to the four-dimensional Diff degeneracy. So we expect that the metric ought to possess some infinite-dimensional isometry group and that the above formula generalizes also to the 3-dimensional case and to the case of local coset space. Note that in  $M^4$  degrees of freedom  $Map(X^3, M^4)$  invariance would imply the flatness of the metric in  $M^4$  degrees of freedom.

The physical implications of the above purely mathematical conjecture should not be underestimated. For example, one natural looking manner to construct physical theory would be based on the idea that configuration space geometry is dynamical and this approach is followed in the attempts to construct string theories [65]. Various physical considerations (in particular the need to obtain oscillator operator algebra) seem to imply that configuration space geometry is necessarily Kähler. The above result however states that configuration space Kähler geometry cannot be dynamical quantity and is dictated solely by the requirement of internal consistency. This result is extremely nice since it has been already found that the definition of the configuration space metric must somehow associate a unique classical space time and "classical physics" to a given 3-surface: uniqueness of the geometry implies the uniqueness of the "classical physics".

3. The choice of the imbedding space becomes highly unique. In fact, the requirement that configuration space is not only symmetric space but also (contact) Kähler manifold inheriting its (degenerate) Kähler structure from the imbedding space suggests that spaces, which are products of four-dimensional Minkowski space with complex projective spaces  $CP_n$ , are perhaps the only possible candidates for  $H$ . The reason for the unique position of the four-dimensional Minkowski

space turns out to be that the boundary of the light cone of  $D$ -dimensional Minkowski space is metrically a sphere  $S^{D-2}$  despite its topological dimension  $D - 1$ : for  $D = 4$  one obtains two-sphere allowing Kähler structure and infinite parameter group of conformal symmetries!

4. It seems possible to understand the basic mathematical structures appearing in string model in terms of the Kähler geometry rather nicely.
  - (a) The projective representations of the infinite-dimensional isometry group (not necessarily Map!) correspond to the ordinary representations of the corresponding centrally extended group [46]. The representations of Kac Moody group indeed play central role in string models [68, 66] and configuration space approach would explain their occurrence, not as a result of some quantization procedure, but as a consequence of symmetry of the underlying geometric structure.
  - (b) The bosonic oscillator operators of string models would correspond to centrally extended Lie-algebra generators of the isometry group acting on spinor fields of the configuration space.
  - (c) The "fermionic" fields ( Ramond fields, [68, 66]) should correspond to gamma matrices of the configuration space. Fermionic oscillator operators would correspond simply to contractions of isometry generators  $j_A^k$  with complexified gamma matrices of configuration space

$$\begin{aligned}\Gamma_A^\pm &= j_A^k \Gamma_k^\pm \\ \Gamma_k^\pm &= (\Gamma^k \pm J_l^k \Gamma^l) / \sqrt{2}\end{aligned}\tag{8.3.4}$$

( $J_l^k$  is the Kähler form of the configuration space) and would create various spin excitations of the configuration space spinor field.  $\Gamma_k^\pm$  are the complexified gamma matrices, complexification made possible by the Kähler structure of the configuration space.

This suggests that some generalization of the so called Super Kac Moody algebra of string models [68, 66] should be regarded as a spectrum generating algebra for the solutions of field equations in configuration space.

Although the Kähler structure seems to be physically well motivated there is a rather heavy counter argument against the whole idea. Kähler structure necessitates complex structure in the tangent space of the configuration space. In  $CP_2$  degrees of freedom no obvious problems of principle are expected: configuration space should inherit in some sense the complex structure of  $CP_2$ .

In Minkowski degrees of freedom the signature of the Minkowski metric seems to pose a serious obstacle for complexification: somehow one should get rid of two degrees of freedom so that only two Euclidian degrees of freedom remain. An analogous difficulty is encountered in quantum field theories: only two of the four possible polarizations of gauge boson correspond to physical degrees of freedom: mathematically the wrong polarizations correspond to zero norm states and transverse states span a complex Hilbert space with Euclidian metric. Also in string model analogous situation occurs: in case of  $D$ -dimensional Minkowski space only  $D - 2$  transversal degrees of freedom are physical. The solution to the problem seems therefore obvious: configuration space metric must be degenerate so that each vibrational mode spans effectively a 2-dimensional Euclidian plane allowing complexification.

We shall find that the definition of Kähler function to be proposed indeed provides a solution to this problem and also to the problems listed before.

1. The definition of the metric doesn't differentiate between 1- and  $N$ -particle sectors, avoids spin statistics difficulty and has the physically appealing property that one can associate to each 3-surface a unique classical space time: classical physics is described by the geometry of the configuration space! And the geometry of the configuration space is determined uniquely by the requirement of mathematical consistency!
2. Complexification is possible only provided the dimension of the Minkowski space equals to four(!).

3. It is possible to identify a unique candidate for the necessary infinite-dimensional isometry group  $G$ .  $G$  is subgroup of the diffeomorphism group of  $\delta M_+^4 \times CP_2$ . Essential role is played by the fact that the boundary of the four-dimensional light cone, which, despite being topologically 3-dimensional, is metrically two-dimensional(!) Euclidian sphere, and therefore allows infinite-parameter groups of isometries as well as conformal and symplectic symmetries and also Kähler structure unlike the higher-dimensional light cone boundaries. Therefore configuration space metric is Kähler only in the case of four-dimensional Minkowski space and allows symplectic  $U(1)$  central extension without conflict with the no-go theorems about higher dimensional central extensions.

The study of the vacuum degeneracy of Kähler function defined by Kähler action forces to conclude that the isometry group must consist of the symplectic transformations of  $\delta H = \delta M_+^4 \times CP_2$ . The corresponding Lie algebra can be regarded as a loop algebra associated with the symplectic group of  $S^2 \times CP_2$ , where  $S^2$  is  $r_M = \text{constant}$  sphere of light cone boundary. Thus the finite-dimensional group  $G$  defining loop group in case of string models extends to an infinite-dimensional group in TGD context. This group is a real monster! The radial Virasoro localized with respect to  $S^2 \times CP_2$  defines naturally complexification for both  $G$  and  $H$ . The general form of the Kähler metric deduced on basis of this symmetry has same qualitative properties as that deduced from Kähler function identified as the absolute minimum of Kähler action. Also the zero modes, among them isometry invariants, can be identified.

4. The construction of the configuration space spinor structure is based on the identification of the configuration space gamma matrices as linear superpositions of the oscillator operators associated with the second quantized induced spinor fields. The extension of the symplectic invariance to super symplectic invariance fixes the anti-commutation relations of the induced spinor fields, and configuration space gamma matrices correspond directly to the super generators. Physics as number theory vision suggests strongly that configuration space geometry exists for 8-dimensional imbedding space only and that the choice  $M_+^4 \times CP_2$  for the imbedding space is the only possible one.

## 8.4 Identification of the Kähler function

There are two approaches to the construction of the configuration space geometry: a direct physics based guess of the Kähler function and a group theoretic approach based on the hypothesis that  $CH$  can be regarded as a union of symmetric spaces. The rest of this chapter is devoted to the first approach.

### 8.4.1 Definition of Kähler function

Quite generally, Kähler function  $K$  defines Kähler metric in complex coordinates via the following formula

$$J_{k\bar{l}} = ig_{k\bar{l}} = i\partial_k\partial_{\bar{l}}K . \quad (8.4.1)$$

Kähler function is defined only modulo a real part of holomorphic function so that one has the gauge symmetry

$$K \rightarrow K + f + \bar{f} . \quad (8.4.2)$$

Let  $X^3$  be a given 3-surface and let  $X^4$  be any four-surface containing  $X^3$  as a sub-manifold:  $X^4 \supset X^3$ . The 4-surface  $X^4$  possesses in general boundary. If the 3-surface  $X^3$  has nonempty boundary  $\delta X^3$  then the boundary of  $X^3$  belongs to the boundary of  $X^4$ :  $\delta X^3 \subset \delta X^4$ .

The projection of  $CP_2$  Kähler form  $J$  (induced Kähler form) defines Maxwell field on  $X^4$ . One can associate to Kähler form Maxwell action and also Chern-Simons anomaly term proportional to  $\int_{X^4} J \wedge J$  in well known manner. Chern Simons term is purely topological term and well defined for orientable 4-manifolds, only. Since there is no deep reason for excluding non-orientable space-time

surfaces it seems reasonable to drop Chern Simons term from consideration. Therefore Kähler action  $S_K(X^4)$  can be defined as

$$S_K(X^4) = k_1 \int_{X^4; X^3 \subset X^4} J \wedge (*J) . \quad (8.4.3)$$

The sign of the square root of the metric determinant, appearing implicitly in the formula, is defined in such a manner that the action density is negative for the Euclidian signature of the induced metric and such that for a Minkowskian signature of the induced metric Kähler electric field gives a negative contribution to the action density.

The notational convention

$$k_1 \equiv \frac{1}{16\pi\alpha_K} , \quad (8.4.4)$$

where  $\alpha_K$  will be referred as Kähler coupling strength will be used in the sequel. If the preferred extremals minimize/maximize [19] the absolute value of the action in each region where action density has a definite sign, the value of  $\alpha_K$  can depend on space-time sheet.

Induced Kähler form defines a Maxwell field and it is important to characterize precisely its relationship to the gauge fields as they are defined in gauge theories. Kähler form  $J$  is related to the corresponding Maxwell field  $F$  via the formula

$$J = \frac{g_K}{\hbar} F . \quad (8.4.5)$$

Similar relationship holds true also for the other induced gauge fields. The inverse proportionality of  $J$  to  $\hbar$  does not matter in the ordinary gauge theory context where one routinely chooses units by putting  $\hbar = 1$  but becomes very important when one considers a hierarchy of Planck constants [35]. By  $\alpha_K = g_K^2/4\pi\hbar$  the large Planck constant means weaker interactions and convergence of the functional integral defined by the exponent of Kähler function and one can argue that the convergence of the functional integral is what forces the hierarchy of Planck constants. This is in accordance with the vision that Mother Nature likes theoreticians and takes care that the perturbation theory works by making a phase transition increasing the value of the Planck constant in the situation when perturbation theory fails. This leads to a replacement of the  $M^4$  (or more precisely, causal diamond  $CD$ ) and  $CP_2$  factors of the imbedding space ( $CD \times CP_2$ ) with its  $r = \hbar/\hbar_0$ -fold singular covering (one can consider also singular factor spaces). If the components of the space-time surfaces at the sheets of the covering are identical, one can interpret  $r$ -fold value of Kähler action as a sum of  $r$  identical contributions from the sheets of the covering with ordinary value of Planck constant and forget the presence of the covering. Physical states are however different even in the case that one assumes that sheets carry identical quantum states and anyonic phase could correspond to this kind of phase [17].

One can define the Kähler function in the following manner. Consider first the case  $H = M_+^4 \times CP_2$  and neglect for a moment the non-determinism of Kähler action. Let  $X^3$  be a 3-surface at the light-cone boundary  $\delta M_+^4 \times CP_2$ . Define the value  $K(X^3)$  of Kähler function  $K$  as the value of the Kähler action for some preferred extremal in the set of four-surfaces containing  $X^3$  as a sub-manifold:

$$K(X^3) = K(X_{pref}^4) , \quad X_{pref}^4 \subset \{X^4 | X^3 \subset X^4\} . \quad (8.4.6)$$

The original hypothesis was that the intersections of the four-surface with the boundary of the light cone ( $\delta M_+^4 \times CP_2$ ) defined by the condition  $a = \sqrt{(m^0)^2 - r_M^2} = 0$  and with the surface  $a \rightarrow \infty$  are not subject to variational conditions since this would have meant that all universes have vanishing classical conserved quantities. Define the value  $K(Y^3)$  of Kähler function for all  $\text{Diff}^4$  related 3-surfaces at  $X^4(X^3)$  as  $K(X^3)$  so that the metric is  $\text{Diff}^4$  degenerate.

Absolute minimization of Kähler action was the first identification for the principle selecting the preferred extremal. The worst that can happen for this option is that the value of Kähler action is negative and infinite for the entire Universe so that the vacuum functional defined by its exponent vanishes. A more plausible choice of the preferred extremal is based on the assumption that the

absolute values of the contributions to Kähler action are separately minimized in regions of definite sign for Kähler action density. This implies the minimization of the absolute value of the net action and extremals are as near as possible to vacuum extremals, and minimize their energy: this gives hopes of constructing the extremals using only data at  $X^3$ . I ended up to this option from number theoretical vision, which also leads to an explicit proposal for how to construct these extremals of Kähler action [19].

This simple picture is too simple to be true and must be generalized even in case of  $M_+^4$ . It has however become clear that the gigantic symmetries associated with  $\delta M_+^4 \times CP_2$  are also symmetries at the laboratory scale. Furthermore,  $M^4$  is as a good option as  $M_+^4$ , and number theoretically even better since it allows interpretation as the space of hyperquaternions. Also exact Poincare invariance favors  $M^4$  option.

$M^4$  option makes sense only if  $X^3$  is selected uniquely by the internal geometry of  $X^4$ . The possibility of negative Poincare energies inspires the hypothesis that the total quantum numbers and classical conserved quantities of the Universe vanish. By crossing symmetry this view is consistent with elementary particle physics. Consistency with macroscopic physics can be achieved if gravitational energy is defined as the difference of Poincare energies of positive and negative energy matter. This definition indeed resolves the long lasting puzzle created by the fact that Robertson-Walker cosmologies correspond to vacuum extremals with respect to inertial energy and momentum. Space-time surfaces consist of pairs of positive and negative energy space-time sheets created at some moment from vacuum and branching at that moment to separate space-time sheets. This allows to select  $X^3$  uniquely and define  $X^4(X^3)$  as the absolute minimum of Kähler action. Also a natural fixing of Diff<sup>4</sup> gauge becomes possible. This view is also consistent with the non-determinism of Kähler action. This option works for both  $M_+^4$  and  $M^4$  and is very probably the correct one.

### 8.4.2 Minkowski space or its future light cone or something else?

The basic question is whether one should choose the imbedding space to be  $M^4 \times CP_2$  or  $M_+^4 \times CP_2$ .

$M_+^4$  option has several nice features.

1. Since future light cone corresponds to vacuum cosmology (cosmic time is Lorentz invariant distance) the latter choice seems to be more physical since it makes big bang cosmology a geometrical necessity and implies the arrow of time naturally. The loss of exact Poincare invariance could be seen as a problem. Even if one accepts light cone alternative as the correct one (as we shall cautiously do) there are two alternative definitions of the Kähler function.
2. For  $M_+^4$  option minimizing four-surfaces belong to the future light cone so that the presence of the light cone boundary reflects itself in the properties of minimizing four-surfaces: big bang cosmology is expected to manifest itself in the time development of four-surfaces. This alternative implies the loss of Poincare invariance in cosmological scales: in the laboratory scale Poincare invariance is of course practically exact since Poincare invariance is a symmetry of the extremals of Kähler action and broken only in the set of absolute minima.
3. One could avoid the loss of Poincare invariance without totally giving up the light cone cosmology by defining the metric of  $C(M_+^4 \times CP_2)$  as the restriction of the metric of  $C(M^4 \times CP_2)$ : minimizing four-surfaces would belong to  $M^4$  although 3-surfaces belong to light cone. Poincare invariance becomes exact symmetry at the Lie algebra level broken only "kinematically". One can however heavily criticize this alternative: if one wants to interpret four-surface as an actual space-time then it is highly artificial to allow four-surfaces, which do not belong to the actual imbedding space. A second questionable feature is that the presence of the light cone boundary does not reflect itself in the properties of 4-surfaces as it should.

$M^4$  option makes many highly non-trivial and nice predictions which are allowed but not predicted by  $M_+^4$  option. The mathematical elegance of  $M^4$  option is definitely superior to that of  $M_+^4$  alternative.

1. Suppose that the classical non-determinism of Kähler action indeed implies that all light like 7-surfaces  $X_l^3 \times CP_2$ , where  $X_l^3$  is light like surface of  $M_+^4$ , can act as causal determinants. As already noticed, this makes sense if pairs of space-time sheets having opposite time orientation and opposite energies can be created from vacuum at these 7-surfaces.

2. For  $M^4$  option the total energy of classical and by quantum-classical correspondence of also quantum universes must vanish and all matter would be created from vacuum. There would be no need to ponder the academic but very nasty question about total fermion numbers of the universe: all states of the universe would be vacua as far net quantum numbers are considered. Of course, also in the case of  $M^4_+$  it is possible and natural to postulate that nothing flows out from the future light cone or into it and this would imply vanishing total quantum numbers.
3.  $M^4$  option allows both maximal space-time symmetries and forces the fractal hierarchy of cosmologies inside cosmologies defined by light cones inside light cones as does in fact also  $M^4_+$  option. These cosmologies would be a result of dynamics rather than of the properties of the imbedding space. If the separation of positive and negative energy densities can be achieved in cosmological length scales, this option might work. The nice feature is that configuration space becomes a union of configuration spaces associated with various light-like causal determinants  $X^3_l \times CP_2$  with the most plausible identification of  $X^3_l$  being as a union of future and past directed light cone boundaries.
4. Poincare transformations act as symmetries and one can assign to given space-time sheet unique value of geometric time as the moment of geometric time when it was created. This is of utmost importance concerning the understanding of the relationship between subjective and geometric time in TGD inspired theory of consciousness. It makes also possible to assign to S-matrix time parameter identifiable as interaction time without problems with energy conservation.
5. For  $M^4$  option the super conformal invariance associated with light like 3-surfaces  $X^3_l \times CP_2$  and super-conformal invariance associated with 3-dimensional light-like boundaries and "elementary particle" horizons of space-time surfaces interact very naturally. The super conformal invariance associated with 3-dimensional light-like surfaces corresponds to the Super Kac Moody symmetries of string models with Poincare symmetry being exact, and determines mass squared formula. The super-symplectic invariance associated with  $X^3_l \times CP_2$  is something new and it modifies that the stringy mass formula. The interaction of super Kac-Moody conformal algebra in super-symplectic algebra is of special significance in the construction of quantum theory.
6.  $M^4$  can be interpreted as the space of quaternions with Minkowski metric identifiable as the imaginary part of  $q^2$ . The imbedding space can be interpreted as a space having hyper-octonionic tangent space structure [19], and space-time surfaces as maximal associative sub-manifolds with hyper-quaternionic tangent space structure. Furthermore, the fact that  $CP_2$  parameterizes hyper-quaternionic planes of hyper-octonion space, raises  $M^4 \times CP_2$  in a completely unique position number theoretically.

Which of this alternatives is correct? At the practical laboratory level there are no testable differences between these options and it is very difficult to test whether the first moments of our cosmology are associated with a cosmology inside cosmology or  $M^4_+$ . One could however say that whereas  $M^4_+$  option allows what seems to be the correct interpretation,  $M^4$  option forces it, and its mathematical elegance is superior. For a long time I nearly-believed that  $M^4_+$  alternative is the correct one but after a long period of certainty I began to feel more and more empathy towards  $M^4$  option.

It actually turned out that both options are in a well-defined sense correct. The notion of zero energy ontology leads to the conclusion that configuration space can be regarded as a union of sub-configuration spaces associated with spaces  $CD \times CP_2$ , where  $CD$  denotes what I have called causal diamond and defined as intersection of future and past directed light-cones of  $M^4$ . The position for the lower tip of  $CD$  varies in  $M^4$  and defines the position of  $CD$  in  $M^4$  since the temporal distance between lower and upper tips is assumed to be quantized as power of two multiple of  $CP_2$  size (this predicts p-adic length scale hypothesis). At the level of single  $CD$  Poincare invariance is broken to Lorentz invariance but the union over sub-configuration spaces associated with  $CD$ s guarantees global Poincare invariance. These aspects are discussed in more detail in the next section.

### 8.4.3 The values of the Kähler coupling strength?

Since the vacuum functional of the theory turns out to be essentially the exponent  $\exp(K)$  of the Kähler function, the dynamics depends on the normalization of the Kähler function. Since the Theory of Everything should be unique it would be highly desirable to find arguments fixing the normalization



or equivalently the possible values of the Kähler coupling strength  $\alpha_K$ . Also a discrete spectrum of values is acceptable.

The quantization of Kähler form could result in the following manner. It will be found that Abelian extension of the isometry group results by coupling spinors of the configuration space to a multiple of Kähler potential. This means that Kähler potential plays role of gauge connection so that Kähler form must be integer valued by Dirac quantization condition for magnetic charge. So, if Kähler form is co-homologically nontrivial it is quantized.

Unfortunately, the exact definition of renormalization group concept is not at all obvious. There is however a much more general but more or less equivalent manner to formulate the condition fixing the value of  $\alpha_K$ . Vacuum functional  $\exp(K)$  is analogous to the exponent  $\exp(-H/T)$  appearing in the definition of the partition function of a statistical system and S-matrix elements and other interesting physical quantities are integrals of type  $\langle O \rangle = \int \exp(K) O \sqrt{G} dV$  and therefore analogous to the thermal averages of various observables.  $\alpha_K$  is completely analogous to temperature. The critical points of a statistical system correspond to critical temperatures  $T_c$  for which the partition function is nonanalytic function of  $T - T_c$  and according RGE hypothesis critical systems correspond to fixed points of renormalization group evolution. Therefore, a mathematically more precise manner to fix the value of  $\alpha_K$  is to require that some integrals of type  $\langle O \rangle$  (not necessary S-matrix elements) become nonanalytic at  $1/\alpha_K - 1/\alpha_K^c$ .

This analogy suggests also a physical motivation for the unique value or value spectrum of  $\alpha_K$ . Below the critical temperature critical systems suffer something analogous to spontaneous magnetization. At the critical point critical systems are characterized by long range correlations and arbitrarily large volumes of magnetized and non-magnetized phases are present. Spontaneous magnetization might correspond to the generation of Kähler magnetic fields: the most probable 3-surfaces are Kähler magnetized for subcritical values of  $\alpha_K$ . At the critical values of  $\alpha_K$  the most probable 3-surfaces contain regions dominated by either Kähler electric and or Kähler magnetic fields: by the compactness of  $CP_2$  these regions have in general outer boundaries.

This suggests that 3-space has hierarchical, fractal like structure: 3-surfaces with all sizes (and with outer boundaries) are possible and they have suffered topological condensation on each other. Therefore the critical value of  $\alpha_K$  allows the richest possible topological structure for the most probable 3-space. In fact, this hierarchical structure is in accordance with the basic ideas about renormalization group invariance. This hypothesis has highly nontrivial consequences even at the level of ordinary condensed matter physics.

The assumption about single critical value of  $\alpha_K$  is probably too strong. p-Adic length scale hierarchy together with the immense vacuum degeneracy of the Kähler action leads to the hypothesis that different p-adic length scales correspond to different critical values of  $\alpha_K$ , and that ordinary coupling constant evolution is replaced by a piecewise constant evolution induced by that for  $\alpha_K$ .

Renormalization group invariance is closely related with criticality. The self duality of the Kähler form and Weyl tensor of  $CP_2$  indeed suggest RG invariance. The point is that in  $N = 1$  supersymmetric field theories duality transformation relates the strong coupling limit for ordinary particles with the weak coupling limit for magnetic monopoles and vice versa. If the theory is self dual these limits must be identical so that action and coupling strength must be RG invariant quantities. The geometric realization of the duality transformation is easy to guess in the standard complex coordinates  $\xi_1, \xi_2$  of  $CP_2$  (see Appendix of the book). In these coordinates the metric and Kähler form are invariant under the permutation  $\xi_1 \leftrightarrow \xi_2$  having Jacobian  $-1$ .

Consistency requires that particles of the theory are equivalent with magnetic monopoles: the so called  $CP_2$  type extremals identified as elementary particles are isometric imbeddings of  $CP_2$  and can be regarded as monopoles. The magnetic flux however flows in internal degrees of freedom (possible by nontrivial homology of  $CP_2$ ) so that no long range  $1/r^2$  magnetic field is created. The magnetic contribution to Kähler action is positive and this suggests that ordinary magnetic monopoles are not stable, since they do not minimize Kähler action: a cautious conclusion in accordance with the experimental evidence is that TGD does not predict magnetic monopoles. It must be emphasized that the prediction of monopoles of practically all gauge theories and string theories and follows from the existence of a conserved electromagnetic charge.

#### 8.4.4 Absolute minimization or something else?

The requirement that the 4-surface having given 3-surface as its sub-manifold is absolute minimum of the Kähler action is the most obvious guess for the principle selecting the preferred extremals and has been taken as a working hypothesis for about one and half decades.

The principle admittedly looks somewhat ad hoc, and in the beginning of 2005 I proposed that that absolute minimization principle should be perhaps relaxed in the sense that the absolute values of the contributions to the net Kähler action coming from regions where the action density has definite sign [19] are separately minimized (or maximized in dual case). This would allow  $\alpha_K$  to depend on space-time sheet and allow to understand p-adic evolution of  $\alpha_K$ .

Later further number theoretical ideas and the proposal for the formulation of quantum TGD in terms of second quantized induced spinor fields at light-like 3-surfaces led to a mathematically beautiful and physically transparent vision about the choice of the preferred extremals  $X^4(X^3)$  of Kähler action discussed in detail in [19, 31].

#### Preferred extremal property as classical correlate for quantum criticality, holography, and quantum classical correspondence

Further insights emerged through the realization that Noether currents assignable to the modified Dirac equation are conserved only if the first variation of the modified Dirac operator  $D_K$  defined by Kähler action vanishes. This is equivalent with the vanishing of the second variation of Kähler action -at least for the variations corresponding to dynamical symmetries having interpretation as dynamical degrees of freedom which are below measurement resolution and therefore effectively gauge symmetries.

The vanishing of the second variation in interior of  $X^4(X_l^3)$  is what corresponds exactly to quantum criticality so that the basic vision about quantum dynamics of quantum TGD would lead directly to a precise identification of the preferred extremals. Something which I should have noticed for more than decade ago! The question whether these extremals correspond to absolute minima remains however open.

The vanishing of second variations of preferred extremals -at least for deformations representing dynamical symmetries, suggests a generalization of catastrophe theory of Thom, where the rank of the matrix defined by the second derivatives of potential function defines a hierarchy of criticalities with the tip of bifurcation set of the catastrophe representing the complete vanishing of this matrix. In the recent case this theory would be generalized to infinite-dimensional context. There are three kind of variables now but quantum classical correspondence (holography) allows to reduce the types of variables to two.

1. The variations of  $X^4(X_l^3)$  vanishing at the intersections of  $X^4(X_l^3)$  with the light-like boundaries of causal diamonds  $CD$  would represent behavior variables. At least the vacuum extremals of Kähler action would represent extremals for which the second variation vanishes identically (the "tip" of the multi-furcation set).
2. The zero modes of Kähler function would define the control variables interpreted as classical degrees of freedom necessary in quantum measurement theory. By effective 2-dimensionality (or holography or quantum classical correspondence) meaning that the configuration space metric is determined by the data coming from partonic 2-surfaces  $X^2$  at intersections of  $X_l^3$  with boundaries of  $CD$ , the interiors of 3-surfaces  $X^3$  at the boundaries of  $CD$ s in rough sense correspond to zero modes so that there is indeed huge number of them. Also the variables characterizing 2-surface, which cannot be complexified and thus cannot contribute to the Kähler metric of configuration space represent zero modes. Fixing the interior of the 3-surface would mean fixing of control variables. Extremum property would fix the 4-surface and behavior variables if boundary conditions are fixed to sufficient degree.
3. The complex variables characterizing  $X^2$  would represent third kind of variables identified as quantum fluctuating degrees of freedom contributing to the configuration space metric. Quantum classical correspondence requires 1-1 correspondence between zero modes and these variables. This would be essentially holography stating that the 2-D "causal boundary"  $X^2$  of  $X^3(X^2)$  codes for the interior. Preferred extremal property identified as criticality condition would

realize the holography by fixing the values of zero modes once  $X^2$  is known and give rise to the holographic correspondence  $X^2 \rightarrow X^3(X^2)$ . The values of behavior variables determined by extremization would fix then the space-time surface  $X^4(X_l^3)$  as a preferred extremal.

4. Clearly, the presence of zero modes would be absolutely essential element of the picture. Quantum criticality, quantum classical correspondence, holography, and preferred extremal property would all represent more or less the same thing. One must of course be very cautious since the boundary conditions at  $X_l^3$  involve normal derivative and might bring in delicacies forcing to modify the simplest heuristic picture.

#### Is criticality consistent with absolute minimization?

The basic question is whether number theoretic view about preferred extremals imply absolute minimization or something analogous to it.

1. The number theoretic conditions defining preferred extremals are purely algebraic and make sense also p-adically and this is enough since p-adic variants of field equations make sense although the notion of Kähler action does not make sense as integral. Despite this the identification of the vacuum functional as exponent of Kähler function as Dirac determinant allows to define the exponent of Kähler function as a p-adic number [31].
2. The general objection against all extremization principles is that they do not make sense p-adically since p-adic numbers are not well-ordered.
3. These observations do not encourage the idea about equivalence of the two approaches. On the other hand, real and p-adic sectors are related by algebraic continuation and it could be quite enough if the equivalence were true in real context alone.

The finite-dimensional analogy allows to compare absolute minimization and criticality with each other.

1. Absolute minimization would select the branch of Thom's catastrophe surface with the smallest value of potential function for given values of control variables. In general this value would not correspond to criticality since absolute minimization says nothing about the values of control variables (zero modes).
2. Criticality forces the space-time surface to belong to the bifurcation set and thus fixes the values of control variables, that is the interior of 3-surface assignable to the partonic 2-surface, and realized holography. If the catastrophe has more than  $N = 3$  sheets, several preferred extremals are possible for given values of control variables fixing  $X^3(X^2)$  unless one assumes that absolute minimization or some other criterion is applied in the bifurcation set. In this sense absolute minimization might make sense in the real context and if the selection is between finite number of alternatives is in question, it should be possible carry out the selection in number theoretically universal manner.

The most general expectation is that configuration space can be regarded as a union of coset spaces which are infinite-dimensional symmetric spaces with Kähler structure:  $C(H) = \cup_i G/H(i)$ . Index  $i$  labels 3-topology and zero modes. The group  $G$ , which can depend on 3-surface, can be identified as a subgroup of diffeomorphisms of  $\delta M_+^4 \times CP_2$  and  $H$  must contain as its subgroup a group, whose action reduces to  $Diff(X^3)$  so that these transformations leave 3-surface invariant.

The task is to identify plausible candidate for  $G$  and to show that the tangent space of the configuration space allows Kähler structure, in other words that the Lie-algebras of  $G$  and  $H(i)$  allow complexification. One must also identify the zero modes and construct integration measure for the functional integral in these degrees of freedom. Besides this one must deduce information about the explicit form of configuration space metric from symmetry considerations combined with the hypothesis that Kähler function is Kähler action for a preferred extremal of Kähler action.

### 8.4.5 How to identify the preferred extremals of Kähler action?

The identification of the preferred extremals turned out to be far from trivial and almost two decades was needed to achieve this goal (or at least to have strong arguments for believing that the goal is achieved, says the skeptic inside me).

1. The original physically motivated but otherwise ad hoc assumption was that preferred extremals correspond to absolute minima of Kähler action. This option failed to have a direct analog in the p-adic sectors of the world of classical worlds (WCW). The reason is that minimization does not make sense for the p-adic valued counterpart of Kähler action since it is not even well-defined although the field equations make sense p-adically. Therefore, if absolute minimization makes sense it must have expression as purely algebraic conditions.
2. Much later number theoretic vision [19] led to a more realistic proposal relying on the notion of number theoretic compactification stating that light-like 3-surfaces  $X_l^3$  or even space-time surfaces  $X^4(X_l^3)$  themselves can be regarded as surfaces in either  $M^8$  or  $M^4 \times CP_2$ .
  - (a) Light-like 3-surfaces  $X_l^3$  are the basic dynamical objects of quantum TGD and are defined by the throats of wormhole contacts and of topological condensed  $CP_2$  type vacuum extremals and have interpretation as elementary particles.
  - (b)  $M^8$  is regarded as a sub-space of complexified octonions with Minkowskian signature of natural metric (I have referred to  $M^8$  as the space  $HO$  of hyperoctonions). The mapping of connected components of  $X_l^3 \subset M^8$  to  $X_l^3 \subset M^4 \times CP_2$  is possible if  $X^4(X_l^3)$  has  $M_i^2 \subset M^4$  as a subspace of its tangent space at each point of  $X_{l,i}^3$ .  $X^4(X_l^3) \subset M^8$  would correspond to hyper-quaternionic 4-surface meaning that its tangent space is hyper-quaternionic at each point.  $X^4(X_l^3) \subset M^4 \times CP_2$  would in turn be a preferred extremal of Kähler action. The condition that  $M_i^2$  belongs to the tangent space of  $X^4(X_l^3)$  at  $X_{l,i}^3$  fixes at least partially the boundary conditions selecting preferred extremals of Kähler action in  $M^4 \times CP_2$  and preferred hyper-quaternionic surfaces in  $M^8$ .  $M^2$  has interpretation as the plane of non-physical polarizations.
  - (c) The detailed construction of the generalized eigen modes of the modified Dirac operator associated with Chern-Simons action [31] relies on the requirement that the generalized eigenvalues of this operator code for information about preferred extremal of Kähler action. This is achieved if the eigenmodes correspond to singular shockwave type solutions of modified Dirac operator defined by Kähler action restricted to  $X_l^3$ . In the case of wormhole throats this leads to boundary conditions stating that there exist coordinates in which  $J_{ni} = 0$  and  $g_{ni} = 0$  at  $X_l^3$  [31]. Therefore classical gravitational field is effectively static at  $X_l^3$  and the Maxwell field defined by the induced Kähler form has only the magnetic part in these coordinates.
  - (d) The basic conjecture motivating the construction is that the exponent of Kähler action defining vacuum functional equals to Dirac determinant for the eigenmodes having the defining property that they are localized in regions of  $X_l^3$ , where the induced Kähler gauge field is non-vanishing. This guarantees that the number of generalized eigen modes is finite so that Dirac determinant is finite and can be algebraic number, and therefore makes sense also in p-adic context although Kähler action does not make sense p-adically.
  - (e) My basic sin during these years have been the strong tendency to make un-necessarily strong conjectures. Also now the original proposal stated that entire 4-surface  $X^4(X_l^3)$  must contain  $M^2$  in its tangent space in both  $M^8$  and  $M^4 \times CP_2$ . This condition would force same plane of non-physical polarizations for all light-like 3-surfaces assignable to  $X^4$ . This condition is unnecessarily strong since light-like 3-surfaces are the basic physical objects. If the statement were true, it would allow to identify the preferred extremals of Kähler action as images of hyper-quaternionic surfaces of  $M^8$  - an extremely powerful statement. Cosmic strings  $X^2 \times Y^2 \subset M^4 \times CP_2$  and also quite general class of known extremals of Kähler action however fail to satisfy this condition, which suggests that it is un-necessary strong. The weaker conjecture that  $X^4(X^3)$  can be also regarded as a preferred extremal of Kähler action associated with  $M^4 \times E^4$  might however make sense.

3. A further step in progress was the emergence of zero energy ontology implying that causal diamonds  $CD$ s defined as intersections of future and past directed light-cones define the sectors of WCW as the set of light-like 3-surfaces in  $CD \times CP_2$ . The positions of the tips of  $CD$  in  $M^4$  characterize the position of  $CD$  in  $M^4$  and if the temporal distance between tips of  $CD$  is quantized in powers of two - as suggested by the geometry of  $CD$  - p-adic length scale hypothesis follows.
4. The interpretation of light-like 3-surfaces as generalized Feynman diagrams - meaning that they are singular as 3-manifolds - is an important element of picture. The lines of diagrams represented by light-like 3-surfaces intersect at vertices, which are 2-D partonic surfaces at light-like boundaries of sub- $CD$ s, and the fractal hierarchy of  $CD$ s within  $CD$ s is behind coupling constant evolution with improved measurement resolution described as addition of sub- $CD$ s. The presence of sub- $CD$ s also breaks effective 2-dimensionality implied by conformal invariants in light-like direction, and the outcome is 3-dimensionality in discretized sense.
5. A further complication relates to the hierarchy of Planck constants forcing to generalize the notion of imbedding space and also to the fact that for non-standard values of Planck constant there is symmetry breaking due to preferred plane  $M^2$  preferred homologically trivial geodesic sphere of  $CP_2$  having interpretation as geometric correlate for the selection of quantization axis. For given sector of  $CH$  this means union over choices of this kind.

In the sequel the considerations are restricted to 3-surfaces in  $M^4_+ \times CP_2$ . The basic outcome is that Kähler metric is expressible using the data at partonic 2-surfaces  $X^2 \subset \delta M^4_+ \times CP_2$ . The generalization to the actual physical situation requires the replacement of  $X^2 \subset \delta M^4_+ \times CP_2$  with unions of partonic 2-surfaces located at light-like boundaries of  $CD$ s and sub- $CD$ s. It will be found that in the case of  $M^4_+ \times CP_2$  Kähler geometry, or strictly speaking contact Kähler geometry, characterized by a degenerate Kähler form ( $\text{Diff}^4$  degeneracy and plus possible other degeneracies) seems possible.

## 8.5 Construction of the WCW geometry from symmetry principles

Besides the direct guess of Kähler function one can also try to construct WCW geometry using symmetry principles. The mere existence of WCW geometry as a union of symmetric spaces requires maximal possible symmetries and means a reduction to single point of WCW with fixed values of zero modes. Therefore there are good hopes that the construction might work in practice.

### 8.5.1 General Coordinate Invariance and generalized quantum gravitational holography

The basic motivation for the construction of configuration space geometry is the vision that physics reduces to the geometry of classical spinor fields in the infinite-dimensional configuration space of 3-surfaces of  $M^4_+ \times CP_2$  or of  $M^4 \times CP_2$ . Hermitian conjugation is the basic operation in quantum theory and its geometrization requires that configuration space possesses Kähler geometry. Kähler geometry is coded into Kähler function.

The original belief was that the four-dimensional general coordinate invariance of Kähler function reduces the construction of the geometry to that for the boundary of configuration space consisting of 3-surfaces on  $\delta M^4_+ \times CP_2$ , the moment of big bang. The proposal was that Kähler function  $K(Y^3)$  could be defined as a preferred extremal of so called Kähler action for the unique space-time surface  $X^4(Y^3)$  going through given 3-surface  $Y^3$  at  $\delta M^4_+ \times CP_2$ . For  $\text{Diff}^4$  transforms of  $Y^3$  at  $X^4(Y^3)$  Kähler function would have the same value so that  $\text{Diff}^4$  invariance and degeneracy would be the outcome. The proposal was that the preferred extremal is absolute minimum of Kähler action.

This picture turned out to be too simple.

1. Absolute minima had to be replaced by preferred extremals containing  $M^2$  in the tangent space of  $X^4$  at light-like 3-surfaces  $X^3_l$ . The reduction to the light cone boundary which in fact corresponds to what has become known as quantum gravitational holography must be replaced with a construction involving light-like boundaries of causal diamonds  $CD$  already described.

2. It has also become obvious that the gigantic symmetries associated with  $\delta M_{\pm}^4 \times CP_2 \subset CD \times CP_2$  manifest themselves as the properties of propagators and vertices. Cosmological considerations, Poincare invariance, and the new view about energy favor the decomposition of the configuration space to a union of configuration spaces assignable to causal diamonds  $CD$ s defined as intersections of future and past directed light-cones. The minimum assumption is that  $CD$ s label the sectors of  $CH$ : the nice feature of this option is that the considerations of this chapter restricted to  $\delta M_{\pm}^4 \times CP_2$  generalize almost trivially. This option is beautiful because the center of mass degrees of freedom associated with the different sectors of  $CH$  would correspond to  $M^4$  itself and its Cartesian powers.

The definition of the Kähler function requires that the many-to-one correspondence  $X^3 \rightarrow X^4(X^3)$  must be replaced by a bijective correspondence in the sense that  $X^3$  as light-like 3-surface is unique among all its  $\text{Diff}^4$  translates. This also allows physically preferred "gauge fixing" allowing to get rid of the mathematical complications due to  $\text{Diff}^4$  degeneracy. The internal geometry of the space-time sheet  $X^4(X^3)$  must define the preferred 3-surface  $X^3$ .

This is indeed possible. The possibility of negative Poincare energies inspires the hypothesis that the total quantum numbers and classical conserved quantities of the Universe vanish. This view is consistent with experimental facts if gravitational energy is defined as a difference of Poincare energies of positive and negative energy matter. Space-time surface consists of pairs of positive and negative energy space-time sheets created at some moment from vacuum and branching at that moment. This allows to select  $X^3$  uniquely and define  $X^4(X^3)$  as a preferred extremal Kähler action in the set of 4-surfaces going through  $X^3$ .

The realization of this vision means a considerable mathematical challenge. The effective metric 2-dimensionality of 3-dimensional light-like surfaces  $X_l^3$  of  $M^4$  implies generalized conformal and symplectic invariances allowing to generalize quantum gravitational holography from light like boundary so that the complexities due to the non-determinism can be taken into account properly.

### 8.5.2 Light like 3-D causal determinants and effective 2-dimensionality

The light like 3-surfaces  $X_l^3$  of space-time surface appear as 3-D causal determinants. Examples are boundaries and elementary particle horizons at which Minkowskian signature of the induced metric transforms to Euclidian one. This brings in a second conformal symmetry related to the metric 2-dimensionality of the 3-D light-like 3-surface. This symmetry is identifiable as TGD counterpart of the Kac Moody symmetry of string models. The challenge is to understand the relationship of this symmetry to configuration space geometry and the interaction between the two conformal symmetries.

The analog of conformal invariance in the light-like direction of  $X_l^3$  and in the light-like radial direction of  $\delta M_{\pm}^4$  implies that the data at either  $X^3$  or  $X_l^3$  are enough to determine configuration space geometry. This implies that the relevant data is contained to their intersection  $X^2$  plus 4-D tangent space of  $X^2$  at least for finite regions of  $X^3$ . This is the case if the deformations of  $X_l^3$  not affecting  $X^2$  and preserving light likeness corresponding to zero modes or gauge degrees of freedom and induce deformations of  $X^3$  also acting as zero modes. The outcome is effective 2-dimensionality. One must be however cautious in order to not make over-statements. The reduction to 2-D theory in global sense would trivialize the theory to string model like theory and does not occur even locally. Moreover, the reduction to effectively 2-D theory must take place for finite region of  $X^3$  only so one has in well defined sense three-dimensionality in discrete sense. A more precise formulation of this vision is in terms of hierarchy of causal diamonds ( $CD$ s) containing  $CD$ s containing.... The introduction of sub- $CD$ s brings in improved measurement resolution and means also that effective 2-dimensionality is realized in the scale of sub- $CD$  only.

One cannot over-emphasize the importance of the effective 2-dimensionality. It indeed simplifies dramatically the earlier formulas for configuration space metric involving 3-dimensional integrals over  $X^3 \subset M_{\pm}^4 \times CP_2$  reducing now to 2-dimensional integrals. Note that  $X^3$  is determined by preferred extremal property of  $X^4(X_l^3)$  once  $X_l^3$  is fixed and one can hope that this mapping is one-to-one.

The reduction of data to that associated with 2-D surfaces and their 4-D tangent space distributions conforms with the number theoretic vision about imbedding space as having hyper-octonionic structure [19]: the commutative sub-manifolds of  $H$  have dimension not larger than two and for them tangent space is complex sub-space of complexified octonion tangent space. Number theoretic counterpart of quantum measurement theory forces the reduction of relevant data to 2-D commutative sub-manifolds

of  $X^3$ . These points are discussed in more detail in the next chapter whereas in this chapter the consideration will be restricted to  $X_l^3 = \delta M_+^4$  case which involves all essential aspects of the problem.

### 8.5.3 Magic properties of light cone boundary and isometries of configuration space

The special conformal, metric and symplectic properties of the light cone of four-dimensional Minkowski space:  $\delta M_+^4$ , the boundary of four-dimensional light cone is metrically 2-dimensional(!) sphere allowing infinite-dimensional group of conformal transformations and isometries(!) as well as Kähler structure. Kähler structure is not unique: possible Kähler structures of light cone boundary are parametrized by Lobatchevski space  $SO(3,1)/SO(3)$ . The requirement that the isotropy group  $SO(3)$  of  $S^2$  corresponds to the isotropy group of the unique classical 3-momentum assigned to  $X^4(Y^3)$  defined as absolute minimum of Kähler action, fixes the choice of the complex structure uniquely. Therefore group theoretical approach and the approach based on Kähler action complement each other.

The allowance of an infinite-dimensional group of isometries isomorphic to the group of conformal transformations of 2-sphere is completely unique feature of the 4-dimensional light cone boundary. Even more, in case of  $\delta M_+^4 \times CP_2$  the isometry group of  $\delta M_+^4$  becomes localized with respect to  $CP_2$ ! Furthermore, the Kähler structure of  $\delta M_+^4$  defines also symplectic structure.

Hence any function of  $\delta M_+^4 \times CP_2$  would serve as a Hamiltonian transformation acting in both  $CP_2$  and  $\delta M_+^4$  degrees of freedom. These transformations obviously differ from ordinary local gauge transformations. This group leaves the symplectic form of  $\delta M_+^4 \times CP_2$ , defined as the sum of light cone and  $CP_2$  symplectic forms, invariant. The group of symplectic transformations of  $\delta M_+^4 \times CP_2$  is a good candidate for the isometry group of the configuration space.

The approximate symplectic invariance of Kähler action is broken only by gravitational effects and is exact for vacuum extremals. This suggests that Kähler function is in a good approximation invariant under the symplectic transformations of  $CP_2$  would mean that  $CP_2$  symplectic transformations correspond to zero modes having zero norm in the Kähler metric of configuration space.

The groups  $G$  and  $H$ , and thus configuration space itself, should inherit the complex structure of the light cone boundary. The diffeomorphisms of  $M^4$  act as dynamical symmetries of vacuum extremals. The radial Virasoro localized with respect to  $S^2 \times CP_2$  could in turn act in zero modes perhaps inducing conformal transformations: note that these transformations lead out from the symmetric space associated with given values of zero modes.

### 8.5.4 Symplectic transformations of $\delta M_+^4 \times CP_2$ as isometries of configuration space

The symplectic transformations of  $\delta M_+^4 \times CP_2$  are excellent candidates for inducing symplectic transformations of the configuration space acting as isometries. There are however deep differences with respect to the Kac Moody algebras.

1. The conformal algebra of the configuration space is gigantic when compared with the Virasoro + Kac Moody algebras of string models as is clear from the fact that the Lie-algebra generator of a symplectic transformation of  $\delta M_+^4 \times CP_2$  corresponding to a Hamiltonian which is product of functions defined in  $\delta M_+^4$  and  $CP_2$  is sum of generator of  $\delta M_+^4$ -local symplectic transformation of  $CP_2$  and  $CP_2$ -local symplectic transformations of  $\delta M_+^4$ . This means also that the notion of local gauge transformation generalizes.
2. The physical interpretation is also quite different: the relevant quantum numbers label the unitary representations of Lorentz group and color group, and the four-momentum labeling the states of Kac Moody representations is not present. Physical states carrying no energy and momentum at quantum level are predicted. The appearance of a new kind of angular momentum not assignable to elementary particles might shed some light to the longstanding problem of baryonic spin (quarks are not responsible for the entire spin of proton). The possibility of a new kind of color might have implications even in macroscopic length scales.
3. The central extension induced from the natural central extension associated with  $\delta M_+^4 \times CP_2$  Poisson brackets is anti-symmetric with respect to the generators of the symplectic algebra rather than symmetric as in the case of Kac Moody algebras associated with loop spaces. At

first this seems to mean a dramatic difference. For instance, in the case of  $CP_2$  symplectic transformations localized with respect to  $\delta M_+^4$  the central extension would vanish for Cartan algebra, which means a profound physical difference. For  $\delta M_+^4 \times CP_2$  symplectic algebra a generalization of the Kac Moody type structure however emerges naturally.

The point is that  $\delta M_+^4$ -local  $CP_2$  symplectic transformations are accompanied by  $CP_2$  local  $\delta M_+^4$  symplectic transformations. Therefore the Poisson bracket of two  $\delta M_+^4$  local  $CP_2$  Hamiltonians involves a term analogous to a central extension term symmetric with respect to  $CP_2$  Hamiltonians, and resulting from the  $\delta M_+^4$  bracket of functions multiplying the Hamiltonians. This additional term could give the entire bracket of the configuration space Hamiltonians at the maximum of the Kähler function where one expects that  $CP_2$  Hamiltonians vanish and have a form essentially identical with Kac Moody central extension because it is indeed symmetric with respect to indices of the symplectic group.

### 8.5.5 Symmetric space property reduces to conformal and symplectic invariance

The idea about symmetric space is extremely beautiful but it millenium had to change before time was ripe for identifying the precise form of the Cartan decomposition. The solution of the puzzle turned out to be amazingly simple.

The inspiration came from the finding that quantum TGD leads naturally to an extension of Super Algebras by combining Ramond and Neveu-Schwartz algebras into single algebra. This led to the introduction Virasoro generators and generators of symplectic algebra of  $CP_2$  localized with respect to the light cone boundary and carrying conformal weights with a half integer valued real part.

Soon came the realization that the conformal weights  $h = -1/2 - i \sum_i y_i$ , where  $z_i = 1/2 + y_i$  are non-trivial zeros of Riemann Zeta, are excellent candidates for the super-symplectic ground state conformal weights. It took some time to answer affirmatively the question whether also the negatives of the trivial zeros  $z = -2n$ ,  $n > 0$  could be included. Thus the conjecture inspired by the work with Riemann hypothesis stating that the zeros of Riemann Zeta appear at the level of basic quantum TGD gets some support.

The main objection against this conjecture is that Riemann Zeta has no direct connection with basic quantum TGD. Rather, the zeta function  $\zeta_D = \sum_n \lambda_n^{-s}$  - call it Dirac Zeta - defined by the eigenvalues  $\lambda$  of the modified Dirac operator [31] analogous to cyclotron energies looks physically better motivated than Riemann Zeta. The number of eigenvalues is finite and this has natural connection with the finite measurement resolution meaning that finite number of  $CDs$  contribute to the Dirac determinant. As a consequence the analytic continuation to all values of  $s$  exists automatically. The general vision about the spectrum of zeros for this zeta is lacking. In particular, the question under what conditions Riemann hypothesis holds true is lacking.

If the conjecture holds true, the generators whose commutators define the basis of the entire algebra have conformal weights given by the negatives of the zeros of Riemann Zeta or Dirac Zeta. The algebra is a direct sum  $g = g_1 \oplus g_2$  such that  $g_1$  has  $h = n$  as conformal weights and  $g_2$   $h = n - 1/2 + iy$ , where  $y$  is sum over imaginary parts  $y_i$  of non-trivial zeros of Zeta. Only  $h = 2n$ ,  $n > 1$ , and  $h = -1/2 - iy + n$ , such that  $n$  is even (odd) if  $y$  is sum of odd (even) number of  $y_i$  correspond to the weights labeling the generators of  $t$  in the Cartan decomposition  $g = h + t$ . The resulting super-symplectic algebra would quite well be christened as Riemann (or Dirac) algebra.

The requirement that ordinary Virasoro and Kac Moody generators annihilate physical states corresponds now to the fact that the generators of  $h$  vanish at the point of configuration space, which remains invariant under the action of  $h$ . The maximum of Kähler function corresponds naturally to this point and plays also an essential role in the integration over configuration space by generalizing the Gaussian integration of free quantum field theories.

The light cone conformal invariance differs in many respects from the conformal invariance of string theories. Finite-dimensional Kac-Moody group is replaced by an infinite-dimensional symplectic group. Conformal weights could correspond to zeros of Riemann zeta and suitable superpositions of them in case of trivial zeros, and physical states can have non-vanishing but real conformal weights just as the representations of color group in  $CP_2$  can have non-vanishing color isospin and hyper charge. The conformal weights have also interpretation as quantum numbers associated with unitary representations of Lorentz group: thus there is no conflict between conformal invariance and Lorentz



invariance in TGD framework. Complex conformal weights however correspond to complex values of mass squared and super-conformal invariance for physical plays fundamental role in string models. This suggest that 7-3-duality could in TGD framework translate to the statement that the sums of super-symplectic and Super Kac-Moody type super-conformal generators annihilate the physical states. This would generalize Goddard-Olive-Kent construction [58].

### 8.5.6 Attempts to identify configuration space Hamiltonians

I have made several attempts to identify configuration space Hamiltonians. The first two candidates referred to as magnetic and electric Hamiltonians, emerged in a relatively early stage. The third candidate represents the recent view based on the the formulation of quantum TGD using 3-D light-like surfaces identified as orbits of partons.

#### Magnetic Hamiltonians

Assuming that the elements of the radial Virasoro algebra of  $\delta M_+^4$  have zero norm, one ends up with an explicit identification of the symplectic structures of the configuration space. There is almost unique identification for the symplectic structure. Configuration space counterparts of  $\delta M^4 \times CP_2$  Hamiltonians are defined by the generalized signed and and unsigned Kähler magnetic fluxes

$$Q_m(H_A, X^2) = Z \int_{X^2} H_A J \sqrt{g_2} d^2 x \ ,$$

$$Q_m^+(H_A, r_M) = Z \int_{X^2} H_A |J| \sqrt{g_2} d^2 x \ ,$$

$$J \equiv \epsilon^{\alpha\beta} J_{\alpha\beta} \ .$$

$H_A$  is  $CP_2$  Hamiltonian multiplied by a function of coordinates of light cone boundary belonging to a unitary representation of the Lorentz group.  $Z$  is a conformal factor depending on symplectic invariants. The symplectic structure is induced by the symplectic structure of  $CP_2$ .

The most general flux is superposition of signed and unsigned fluxes  $Q_m$  and  $Q_m^+$ .

$$Q_m^{\alpha,\beta}(H_A, X^2) = \alpha Q_m(H_A, X^2) + \beta Q_m^+(H_A, X^2) \ .$$

Thus it seems that symmetry arguments fix the form of the configuration space metric apart from the presence of a conformal factor  $Z$  multiplying the magnetic flux and the degeneracy related to the signed and unsigned fluxes.

#### Electric Hamiltonians and electric-magnetic duality

Absolute minimization of Kähler action in turn suggests that one can identify configuration space Hamiltonians as classical charges  $Q_e(H_A)$  associated with the Hamiltonians of the symplectic transformations of the light cone boundary, that is as variational derivatives of the Kähler action with respect to the infinitesimal deformations induced by  $\delta M_+^4 \times CP_2$  Hamiltonians. Alternatively, one might simply replace Kähler magnetic field  $J$  with Kähler electric field defined by space-time dual  $*J$  in the formulas of previous section. These Hamiltonians are analogous to Kähler electric charge and the hypothesis motivated by the experience with the instantons of the Euclidian Yang Mills theories and 'Yin-Yang' principle, as well as by the duality of  $CP_2$  geometry, is that for the absolute minima of the Kähler action these Hamiltonians are affinely related:

$$Q_e(H_A) = Z [Q_m(H_A) + q_e(H_A)] \ .$$

Here  $Z$  and  $q_e$  are constants depending on symplectic invariants only. Thus the equivalence of the two approaches to the construction of configuration space geometry boils down to the hypothesis of a physically well motivated electric-magnetic duality.

The crucial technical idea is to regard configuration space metric as a quadratic form in the entire Lie-algebra of the isometry group  $G$  such that the matrix elements of the metric vanish in the sub-algebra  $H$  of  $G$  acting as  $Diff^3(X^3)$ . The Lie-algebra of  $G$  with degenerate metric in the sense that  $H$  vector fields possess zero norm, can be regarded as a tangent space basis for the configuration space at point  $X^3$  at which  $H$  acts as an isotropy group: at other points of the configuration space  $H$  is

different. For given values of zero modes the maximum of Kähler function is the best candidate for  $X^3$ . This picture applies also in symplectic degrees of freedom.

### 8.5.7 General expressions for the symplectic and Kähler forms

One can derive general expressions for symplectic and Kähler forms as well as Kähler metric of the configuration space. The fact that these expressions involve only first variation of the Kähler action implies huge simplification of the basic formulas. Duality hypothesis leads to further simplifications of the formulas.

#### Closedness requirement

The fluxes of Kähler magnetic and electric fields for the Hamiltonians of  $\delta M_+^4 \times CP_2$  suggest a general representation for the components of the symplectic form of the configuration space. The basic requirement is that Kähler form satisfies the defining condition

$$X \cdot J(Y, Z) + J([X, Y], Z) + J(X, [Y, Z]) = 0, \quad (8.5.1)$$

where  $X, Y, Z$  are now vector fields associated with Hamiltonian functions defining configuration space coordinates.

#### Matrix elements of the symplectic form as Poisson brackets

Quite generally, the matrix element of  $J(X(H_A), X(H_B))$  between vector fields  $X(H_A)$  and  $X(H_B)$  defined by the Hamiltonians  $H_A$  and  $H_B$  of  $\delta M_+^4 \times CP_2$  is expressible as Poisson bracket

$$J^{AB} = J(X(H_A), X(H_B)) = \{H_A, H_B\}. \quad (8.5.2)$$

$J^{AB}$  denotes contravariant components of the symplectic form in coordinates given by a subset of Hamiltonians. The magnetic flux Hamiltonians  $Q_m^{\alpha, \beta}(H_{A, k})$  provide an explicit representation for the Hamiltonians at the level of configuration space so that the components of the symplectic form of the configuration space are expressible as classical charges for the Poisson brackets of the Hamiltonians of the light cone boundary:

$$J(X(H_A), X(H_B)) = Q_m^{\alpha, \beta}(\{H_A, H_B\}). \quad (8.5.3)$$

Recall that the superscript  $\alpha, \beta$  refers the coefficients of  $J$  and  $|J|$  in the superposition of these Kähler magnetic fluxes. Note that  $Q_m^{\alpha, \beta}$  contains unspecified conformal factor depending on symplectic invariants characterizing  $Y^3$  and is unspecified superposition of signed and unsigned magnetic fluxes.

This representation does not carry information about the tangent space of space-time surface at the partonic 2-surface, which motivates the proposal that also electric fluxes are present and proportional to magnetic fluxes with a factor  $K$ , which is symplectic invariant so that commutators of flux Hamiltonians come out correctly. This would give

$$Q_m^{\alpha, \beta}(H_A)_{em} = Q_e^{\alpha, \beta}(H_A) + Q_m^{\alpha, \beta}(H_A) = (1 + K)Q_m^{\alpha, \beta}(H_A). \quad (8.5.4)$$

Since Kähler form relates to the standard field tensor by a factor  $e/\hbar$ , flux Hamiltonians are dimensionless so that commutators do not involve  $\hbar$ . The commutators would come as

$$Q_{em}^{\alpha, \beta}(\{H_A, H_B\}) \rightarrow (1 + K)Q_m^{\alpha, \beta}(\{H_A, H_B\}). \quad (8.5.5)$$

The factor  $1 + K$  plays the same role as Planck constant in the commutators.

WCW Hamiltonians vanish for the extrema of the Kähler function as variational derivatives of the Kähler action. Hence Hamiltonians are good candidates for the coordinates appearing as coordinates in

the perturbative functional integral around extrema (with maxima giving dominating contribution). It is clear that configuration space coordinates around a given extremum include only those Hamiltonians, which vanish at extremum (that is those Hamiltonians which span the tangent space of  $G/H$ ) In Darboux coordinates the Poisson brackets reduce to the symplectic form

$$\begin{aligned} \{P^I, Q^J\} &= J^{IJ} = J_I \delta^{I,J} . \\ J_I &= 1 . \end{aligned} \tag{8.5.6}$$

It is not clear whether Darboux coordinates with  $J_I = 1$  are possible in the recent case: probably the unit matrix on right hand side of the defining equation is replaced with a diagonal matrix depending on symplectic invariants so that one has  $J_I \neq 1$ . The integration measure is given by the symplectic volume element given by the determinant of the matrix defined by the Poisson brackets of the Hamiltonians appearing as coordinates. The value of the symplectic volume element is given by the matrix formed by the Poisson brackets of the Hamiltonians and reduces to the product

$$Vol = \prod_I J_I$$

in generalized Darboux coordinates.

Kähler potential (that is gauge potential associated with Kähler form) can be written in Darboux coordinates as

$$A = \sum_I J_I P_I dQ^I . \tag{8.5.7}$$

**General expressions for Kähler form, Kähler metric and Kähler function**

The expressions of Kähler form and Kähler metric in complex coordinates can be obtained by transforming the contravariant form of the symplectic form from symplectic coordinates provided by Hamiltonians to complex coordinates:

$$J^{Z^i \bar{Z}^j} = i G^{Z^i \bar{Z}^j} = \partial_{H^A} Z^i \partial_{H^B} \bar{Z}^j J^{AB} , \tag{8.5.8}$$

where  $J^{AB}$  is given by the classical Kähler charge for the light cone Hamiltonian  $\{H^A, H^B\}$ . Complex coordinates correspond to linear coordinates of the complexified Lie-algebra providing exponentiation of the isometry algebra via exponential mapping. What one must know is the precise relationship between allowed complex coordinates and Hamiltonian coordinates: this relationship is in principle calculable. In Darboux coordinates the expressions become even simpler:

$$J^{Z^i \bar{Z}^j} = i G^{Z^i \bar{Z}^j} = \sum_I J(I) (\partial_{P^i} Z^i \partial_{Q^i} \bar{Z}^j - \partial_{Q^i} Z^i \partial_{P^i} \bar{Z}^j) . \tag{8.5.9}$$

Kähler function can be formally integrated from the relationship

$$\begin{aligned} A_{Z^i} &= i \partial_{Z^i} K , \\ A_{\bar{Z}^i} &= -i \partial_{\bar{Z}^i} K . \end{aligned} \tag{8.5.10}$$

holding true in complex coordinates. Kähler function is obtained formally as integral

$$K = \int_0^Z (A_{Z^i} dZ^i - A_{\bar{Z}^i} d\bar{Z}^i) . \tag{8.5.11}$$

### *Diff*( $X^3$ ) invariance and degeneracy and conformal invariances of the symplectic form

$J(X(H_A), X(H_B))$  defines symplectic form for the coset space  $G/H$  only if it is *Diff*( $X^3$ ) degenerate. This means that the symplectic form  $J(X(H_A), X(H_B))$  vanishes whenever Hamiltonian  $H_A$  or  $H_B$  is such that it generates diffeomorphism of the 3-surface  $X^3$ . If effective 2-dimensionality holds true,  $J(X(H_A), X(H_B))$  vanishes if  $H_A$  or  $H_B$  generates two-dimensional diffeomorphism  $d(H_A)$  at the surface  $X_i^2$ .

One can always write

$$J(X(H_A), X(H_B)) = X(H_A)Q(H_B|X_i^2) .$$

If  $H_A$  generates diffeomorphism, the action of  $X(H_A)$  reduces to the action of the vector field  $X_A$  of some  $X_i^2$ -diffeomorphism. Since  $Q(H_B|r_M)$  is manifestly invariant under the diffeomorphisms of  $X^2$ , the result is vanishing:

$$X_A Q(H_B|X_i^2) = 0 ,$$

so that *Diff*<sup>2</sup> invariance is achieved.

The radial diffeomorphisms possibly generated by the radial Virasoro algebra do not produce trouble. The change of the flux integrand  $X$  under the infinitesimal transformation  $r_M \rightarrow r_M + \epsilon r_M^n$  is given by  $r_M^n dX/dr_M$ . Replacing  $r_M$  with  $r_M^{-n+1}/(-n+1)$  as variable, the integrand reduces to a total divergence  $dX/du$  the integral of which vanishes over the closed 2-surface  $X_i^2$ . Hence radial Virasoro generators having zero norm annihilate all matrix elements of the symplectic form. The induced metric of  $X_i^2$  induces a unique conformal structure and since the conformal transformations of  $X_i^2$  can be interpreted as a mere coordinate changes, they leave the flux integrals invariant.

### Complexification and explicit form of the metric and Kähler form

The identification of the Kähler form and Kähler metric in symplectic degrees of freedom follows trivially from the identification of the symplectic form and definition of complexification. The requirement that Hamiltonians are eigen states of angular momentum (and possibly Lorentz boost generator), isospin and hypercharge implies physically natural complexification. In order to fix the complexification completely one must introduce some convention fixing which states correspond to 'positive' frequencies and which to 'negative frequencies' and which to zero frequencies that is to decompose the generators of the symplectic algebra to three sets  $Can_+$ ,  $Can_-$  and  $Can_0$ . One must distinguish between  $Can_0$  and zero modes, which are not considered here at all. For instance,  $CP_2$  Hamiltonians correspond to zero modes.

The natural complexification relies on the imaginary part of the radial conformal weight whereas the real part defines the  $g = t + h$  decomposition naturally. The wave vector associated with the radial logarithmic plane wave corresponds to the angular momentum quantum number associated with a wave in  $S^1$  in the case of Kac Moody algebra. One can imagine three options.

1. It is quite possible that the spectrum of  $k_2$  does not contain  $k_2 = 0$  at all so that the sector  $Can_0$  could be empty. This complexification is physically very natural since it is manifestly invariant under  $SU(3)$  and  $SO(3)$  defining the preferred spherical coordinates. The choice of  $SO(3)$  is unique if the classical four-momentum associated with the 3-surface is time like so that there are no problems with Lorentz invariance.
2. If  $k_2 = 0$  is possible one could have

$$\begin{aligned} Can_+ &= \{H_{m,n,k=k_1+ik_2}^a, k_2 > 0\} , \\ Can_- &= \{H_{m,n,k}^a, k_2 < 0\} , \\ Can_0 &= \{H_{m,n,k}^a, k_2 = 0\} . \end{aligned} \tag{8.5.12}$$

3. If it is possible to  $n_2 \neq 0$  for  $k_2 = 0$ , one could define the decomposition as

$$\begin{aligned}
Can_+ &= \{H_{m,n,k}^a, k_2 > 0 \text{ or } k_2 = 0, n_2 > 0\} , \\
Can_- &= \{H_{m,n,k}^a, k_2 < 0 \text{ or } k_2 = 0, n_2 < 0\} , \\
Can_0 &= \{H_{m,n,k}^a, k_2 = n_2 = 0\} .
\end{aligned} \tag{8.5.13}$$

In this case the complexification is unique and Lorentz invariance guaranteed if one can fix the  $SO(2)$  subgroup uniquely. The quantization axis of angular momentum could be chosen to be the direction of the classical angular momentum associated with the 3-surface in its rest system.

The only thing needed to get Kähler form and Kähler metric is to write the half Poisson bracket defined by Eq. 8.5.15

$$\begin{aligned}
J_f(X(H_A), X(H_B)) &= 2Im(iQ_f(\{H_A, H_B\}_{-+})) , \\
G_f(X(H_A), X(H_B)) &= 2Re(iQ_f(\{H_A, H_B\}_{-+})) .
\end{aligned} \tag{8.5.14}$$

Symplectic form, and thus also Kähler form and Kähler metric, could contain a conformal factor depending on the isometry invariants characterizing the size and shape of the 3-surface. At this stage one cannot say much about the functional form of this factor.

### Comparison of $CP_2$ Kähler geometry with configuration space geometry

The explicit discussion of the role of  $g = t + h$  decomposition of the tangent space of the configuration space provides deep insights to the metric of the symmetric space. There are indeed many questions to be answered. To what point of configuration space (that is 3-surface) the proposed  $g = t + h$  decomposition corresponds to? Can one derive the components of the metric and Kähler form from the Poisson brackets of complexified Hamiltonians? Can one characterize the point in question in terms of the properties of configuration space Hamiltonians? Does the central extension of the configuration space reduce to the symplectic central extension of the symplectic algebra or can one consider also other options?

#### 1. Cartan decomposition for $CP_2$

A good manner to gain understanding is to consider the  $CP_2$  metric and Kähler form at the origin of complex coordinates for which the sub-algebra  $h = u(2)$  defines the Cartan decomposition.

1.  $g = t + h$  decomposition depends on the point of the symmetric space in general. In case of  $CP_2$   $u(2)$  sub-algebra transforms as  $g \circ u(2) \circ g^{-1}$  when the point  $s$  is replaced by  $gsg^{-1}$ . This is expected to hold true also in case of configuration space (unless it is flat) so that the task is to identify the point of the configuration space at which the proposed decomposition holds true.
2. The Killing vector fields of  $h$  sub-algebra vanish at the origin of  $CP_2$  in complex coordinates. The corresponding Hamiltonians need not vanish but their Poisson brackets must vanish. It is possible to add suitable constants to the Hamiltonians in order to guarantee that they vanish at origin.
3. It is convenient to introduce complex coordinates and decompose isometry generators to holomorphic components  $J_+^a = j^{ak} \partial_k$  and  $j_-^a = j^{a\bar{k}} \partial_{\bar{k}}$ . One can introduce what might be called half Poisson bracket and half inner product defined as

$$\begin{aligned}
\{H^a, H^b\}_{-+} &\equiv \partial_{\bar{k}} H^a J^{\bar{k}l} \partial_l H^b \\
&= j^{ak} J_{\bar{k}\bar{l}} j^{b\bar{l}} = -i(j_+^a, j_-^b) .
\end{aligned} \tag{8.5.15}$$

One can express Poisson bracket of Hamiltonians and the inner product of the corresponding Killing vector fields in terms of real and imaginary parts of the half Poisson bracket:

$$\begin{aligned} \{H^a, H^b\} &= 2Im(i\{H^a, H^b\}_{-+}) , \\ (j^a, j^b) &= 2Re(i\{j^a_+, j^b_-\}) = 2Re(i\{H^a, H^b\}_{-+}) . \end{aligned} \quad (8.5.16)$$

What this means that Hamiltonians and their half brackets code all information about metric and Kähler form. Obviously this is of utmost importance in the case of the configuration space metric whose symplectic structure and central extension are derived from those of  $CP_2$ .

Consider now the properties of the metric and Kähler form at the origin.

1. The relations satisfied by the half Poisson brackets can be written symbolically as

$$\begin{aligned} \{h, h\}_{-+} &= 0 , \\ Re(i\{h, t\}_{-+}) &= 0 , \quad Im(i\{h, t\}_{-+}) = 0 , \\ Re(i\{t, t\}_{-+}) &\neq 0 , \quad Im(i\{t, t\}_{-+}) \neq 0 . \end{aligned} \quad (8.5.17)$$

2. The first two conditions state that  $h$  vector fields have vanishing inner products at the origin. The first condition states also that the Hamiltonians for the commutator algebra  $[h, h] = SU(2)$  vanish at origin whereas the Hamiltonian for  $U(1)$  algebra corresponding to the color hyper charge need not vanish although it can be made vanishing. The third condition implies that the Hamiltonians of  $t$  vanish at origin.
3. The last two conditions state that the Kähler metric and form are non-vanishing between the elements of  $t$ . Since the Poisson brackets of  $t$  Hamiltonians are Hamiltonians of  $h$ , the only possibility is that  $\{t, t\}$  Poisson brackets reduce to a non-vanishing  $U(1)$  Hamiltonian at the origin or that the bracket at the origin is due to the symplectic central extension. The requirement that all Hamiltonians vanish at origin is very attractive aesthetically and forces to interpret  $\{t, t\}$  brackets at origin as being due to a symplectic central extension. For instance, for  $S^2$  the requirement that Hamiltonians vanish at origin would mean the replacement of the Hamiltonian  $H = \cos(\theta)$  representing a rotation around z-axis with  $H_3 = \cos(\theta) - 1$  so that the Poisson bracket of the generators  $H_1$  and  $H_2$  can be interpreted as a central extension term.
4. The conditions for the Hamiltonians of  $u(2)$  sub-algebra state that their variations with respect to  $g$  vanish at origin. Thus  $u(2)$  Hamiltonians have extremum value at origin.
5. Also the Kähler function of  $CP_2$  has extremum at the origin. This suggests that in the case of the configuration space the counterpart of the origin corresponds to the maximum of the Kähler function.

## 2. Cartan algebra decomposition at the level of configuration space

The discussion of the properties of  $CP_2$  Kähler metric at origin provides valuable guide lines in an attempt to understand what happens at the level of the configuration space. The use of the half bracket for the configuration space Hamiltonians in turn allows to calculate the matrix elements of the configuration space metric and Kähler form explicitly in terms of the magnetic or electric flux Hamiltonians.

The earlier construction was rather tricky and formula-rich and not very convincing physically. Cartan decomposition had to be assigned with something and in lack of anything better it was assigned with Super Virasoro algebra, which indeed allows this kind of decompositions but without any strong physical justification. The realization that super-symplectic and super Kac-Moody symmetries define coset construction at the level of basic quantum TGD, and that this construction provides a realization of Equivalence Principle at microscopic level, forced eventually the realization that also the coset space decomposition of configuration space realizes Equivalence Principle geometrically.

It must be however emphasized that holography implying effective 2-dimensionality of 3-surfaces in some length scale resolution is absolutely essential for this construction since it allows to effectively reduce Kac-Moody generators associated with  $X_l^3$  to  $X^2 = X_l^3 \cap \delta M_{\pm}^4 \times CP_2$ . In the similar manner super-symplectic generators can be dimensionally reduced to  $X^2$ . Number theoretical compactification forces the dimensional reduction and the known extremals are consistent with it [29]. The construction of configuration space spinor structure and metric in terms of the second quantized spinor fields [31] relies to this picture as also the recent view about  $M$ -matrix [31].

In this framework the coset space decomposition becomes trivial.

1. The algebra  $g$  is labeled by color quantum numbers of  $CP_2$  Hamiltonians and by the label  $(m, n, k)$  labeling the function basis of the light cone boundary. Also a localization with respect to  $X^2$  is needed. This is a new element as compared to the original view.
2. Super Kac-Moody algebra is labeled by color octet Hamiltonians and function basis of  $X^2$ . Since Lie-algebra action does not lead out of irreps, this means that Cartan algebra decomposition is satisfied.

### Comparison with loop groups

It is useful to compare the recent approach to the geometrization of the loop groups consisting of maps from circle to Lie group  $G$  [68], which served as the inspirer of the configuration space geometry approach but later turned out to not apply as such in TGD framework.

In the case of loop groups the tangent space  $T$  corresponds to the local Lie-algebra  $T(k, A) = \exp(ik\phi)T_A$ , where  $T_A$  generates the finite-dimensional Lie-algebra  $g$  and  $\phi$  denotes the angle variable of circle;  $k$  is integer. The complexification of the tangent space corresponds to the decomposition

$$T = \{X(k > 0, A)\} \oplus \{X(k < 0, A)\} \oplus \{X(k = 0, A)\} = T_+ \oplus T_- \oplus T_0$$

of the tangent space. Metric corresponds to the central extension of the loop algebra to Kac Moody algebra and the Kähler form is given by

$$J(X(k_1 < 0, A), X(k_2 > 0, B)) = k_2 \delta(k_1 + k_2) \delta(A, B) .$$

In present case the finite dimensional Lie algebra  $g$  is replaced with the Lie-algebra of the symplectic transformations of  $\delta M_{\pm}^4 \times CP_2$  centrally extended using symplectic extension. The scalar function basis on circle is replaced with the function basis on an interval of length  $\Delta r_M$  with periodic boundary conditions; effectively one has circle also now.

The basic difference is that one can consider two kinds of central extensions now.

1. Central extension is most naturally induced by the natural central extension ( $\{p, q\} = 1$ ) defined by Poisson bracket. This extension is anti-symmetric with respect to the generators of the symplectic group: in the case of the Kac Moody central extension it is symmetric with respect to the group  $G$ . The symplectic transformations of  $CP_2$  might correspond to non-zero modes also because they are not exact symmetries of Kähler action. The situation is however rather delicate since  $k = 0$  light cone harmonic has a diverging norm due to the radial integration unless one poses both lower and upper radial cutoffs although the matrix elements would be still well defined for typical 3-surfaces. For Kac Moody group  $U(1)$  transformations correspond to the zero modes. Light cone function algebra can be regarded as a local  $U(1)$  algebra defining central extension in the case that only  $CP_2$  symplectic transformations local with respect to  $\delta M_{\pm}^4$  act as isometries: for Kac Moody algebra the central extension corresponds to an ordinary  $U(1)$  algebra. In the case that entire light cone symplectic algebra defines the isometries the central extension reduces to a  $U(1)$  central extension.

### Symmetric space property implies Ricci flatness and isometric action of symplectic transformations

The basic structure of symmetric spaces is summarized by the following structural equations

$$\begin{aligned} g &= h + t , \\ [h, h] &\subset h , \quad [h, t] \subset t , \quad [t, t] \subset h . \end{aligned} \tag{8.5.18}$$

In present case the equations imply that all commutators of the Lie-algebra generators of  $Can(\neq 0)$  having non-vanishing integer valued radial quantum number  $n_2$ , possess zero norm. This condition is extremely strong and guarantees isometric action of  $Can(\delta M_+^4 \times CP_2)$  as well as Ricci flatness of the configuration space metric.

The requirement  $[t, t] \subset h$  and  $[h, t] \subset t$  are satisfied if the generators of the isometry algebra possess generalized parity  $P$  such that the generators in  $t$  have parity  $P = -1$  and the generators belonging to  $h$  have parity  $P = +1$ . Conformal weight  $n$  must somehow define this parity. The first possibility to come into mind is that odd values of  $n$  correspond to  $P = -1$  and even values to  $P = 1$ . Since  $n$  is additive in commutation, this would automatically imply  $h \oplus t$  decomposition with the required properties. This assumption looks however somewhat artificial. TGD however forces a generalization of Super Algebras and N-S and Ramond type algebras can be combined to a larger algebra containing also Virasoro and Kac Moody generators labeled by half-odd integers. This suggests strongly that isometry generators are labeled by half integer conformal weight and that half-odd integer conformal weight corresponds to parity  $P = -1$  whereas integer conformal weight corresponds to parity  $P = 1$ . Coset space would structure would state conformal invariance of the theory since super-symplectic generators with integer weight would correspond to zero modes.

Quite generally, the requirement that the metric is invariant under the flow generated by vector field  $X$  leads together with the covariant constancy of the metric to the Killing conditions

$$X \cdot g(Y, Z) = 0 = g([X, Y], Z) + g(Y, [X, Z]) . \quad (8.5.19)$$

If the commutators of the complexified generators in  $Can(\neq 0)$  have zero norm then the two terms on the right hand side of Eq. (8.5.19) vanish separately. This is true if the conditions

$$Q_m^{\alpha, \beta}(\{H^A, \{H^B, H^C\}\}) = 0 , \quad (8.5.20)$$

are satisfied for all triplets of Hamiltonians in  $Can_{\neq 0}$ . These conditions follow automatically from the  $[t, t] \subset h$  property and guarantee also Ricci flatness as will be found later.

It must be emphasized that for Kähler metric defined by purely magnetic fluxes, one cannot pose the conditions of Eq. (8.5.20) as consistency conditions on the initial values of the time derivatives of imbedding space coordinates whereas in general case this is possible. If the consistency conditions are satisfied for a single surface on the orbit of symplectic group then they are satisfied on the entire orbit. Clearly, isometry and Ricci flatness requirements and the requirement of time reversal invariance might well force Kähler electric alternative.

### How to find Kähler function?

If one has found the expansion of configuration space Kähler form in terms of electric fluxes one can solve also the Kähler function from the defining partial differential equations  $J_{k\bar{l}} = \partial k \partial_{\bar{l}} K$ . The solution is not unique since the equation allows the symmetry

$$K \rightarrow K + f(z^k) + \overline{f(z^k)} ,$$

where  $f$  is arbitrary holomorphic function of  $z^k$ . This non-uniqueness is probably eliminated by the requirement that Kähler function vanishes for vacuum extremals. This in turn makes in principle possible to find the maxima of Kähler function and to perform functional integration perturbatively around them.

Electric-magnetic duality implies that, apart from conformal factor depending on isometry invariants, one can solve Kähler metric without any knowledge on the initial values of the time derivatives of the imbedding space coordinates. Apart from conformal factor the resulting geometry is purely intrinsic to  $\delta CH$ . The role of Kähler action is only to define  $Diff^4$  invariance and give the rule how the metric is translated to metric on arbitrary point of  $CH$ . The degeneracy of the preferred extrema also implies that configuration space has multi-sheeted structure analogous to that encountered in case of Riemann surfaces.

The most promising concrete construction recipe for Kähler function is in terms of the modified Dirac operator [31]. The recipe is described briefly in the introduction. If the conjecture that Dirac



determinant coincides with the exponent of Kähler action for a preferred extremal is correct, the value of the Kähler coupling strength follows as a prediction of the theory. From the construction it is clear that Dirac determinant involves only a finite number of eigenvalues of the modified Dirac operator and can thus be an algebraic or even rational number if eigenvalues have this property. The most satisfactory property of the construction is that one can use the intuition from the behavior of 2-D magnetic systems.

## 8.6 Ricci flatness and divergence cancelation

Divergence cancelation in configuration space integration requires Ricci flatness and in this section the arguments in favor of Ricci flatness are discussed in detail.

### 8.6.1 Inner product from divergence cancelation

Forgetting the delicacies related to the non-determinism of the Kähler action, the inner product is given by integrating the usual Fock space inner product defined at each point of the configuration space over the reduced configuration space containing only the 3-surfaces  $Y^3$  belonging to  $\delta H = \delta M_+^4 \times CP_2$  ('lightcone boundary') using the exponent  $exp(K)$  as a weight factor:

$$\begin{aligned} \langle \Psi_1 | \Psi_2 \rangle &= \int \bar{\Psi}_1(Y^3) \Psi_2(Y^3) exp(K) \sqrt{G} dY^3 , \\ \bar{\Psi}_1(Y^3) \Psi_2(Y^3) &\equiv \langle \Psi_1(Y^3) | \Psi_2(Y^3) \rangle_{Fock} . \end{aligned} \quad (8.6.1)$$

The degeneracy for the preferred extremals of Kähler action implies additional summation over the degenerate extremals associated with  $Y^3$ . The restriction of the integration on light cone boundary is  $Diff^4$  invariant procedure and resolves in elegant manner the problems related to the integration over  $Diff^4$  degrees of freedom. A variant of the inner product is obtained dropping the bosonic vacuum functional  $exp(K)$  from the definition of the inner product and by assuming that it is included into the spinor fields themselves. Probably it is just a matter of taste how the necessary bosonic vacuum functional is included into the inner product: what is essential that the vacuum functional  $exp(K)$  is somehow present in the inner product.

The unitarity of the inner product follows from the unitarity of the Fock space inner product and from the unitarity of the standard  $L^2$  inner product defined by configuration space integration in the set of the  $L^2$  integrable scalar functions. It could well occur that  $Diff^4$  invariance implies the reduction of the configuration space integration to  $C(\delta H)$ .

Consider next the bosonic integration in more detail. The exponent of the Kähler function appears in the inner product also in the context of the finite dimensional group representations. For the representations of the noncompact groups (say  $SL(2, R)$ ) in coset spaces (now  $SL(2, R)/U(1)$  endowed with Kähler metric) the exponent of Kähler function is necessary in order to get square integrable representations [59]. The scalar product for two complex valued representation functions is defined as

$$(f, g) = \int \bar{f} g exp(nK) \sqrt{g} dV . \quad (8.6.2)$$

By unitarity, the exponent is an integer multiple of the Kähler function. In the present case only the possibility  $n = 1$  is realized if one requires a complete cancelation of the determinants. In finite dimensional case this corresponds to the restriction to single unitary representation of the group in question.

The sign of the action appearing in the exponent is of decisive importance in order to make theory stable. The point is that the theory must be well defined at the limit of infinitely large system. Minimization of action is expected to imply that the action of infinitely large system is bound from above: the generation of electric Kähler fields gives negative contributions to the action. This implies that at the limit of the infinite system the average action per volume is non-positive. For systems having negative average density of action vacuum functional  $exp(K)$  vanishes so that only configurations with vanishing average action per volume have significant probability. On the other hand, the choice  $exp(-K)$  would make theory unstable: probability amplitude would be infinite for

all configurations having negative average action per volume. In the fourth part of the book it will be shown that the requirement that average Kähler action per volume cancels has important cosmological consequences.

Consider now the divergence cancelation in the bosonic integration. One can develop the Kähler function as a Taylor series around maximum of Kähler function and use the contravariant Kähler metric as a propagator. Gaussian and metric determinants cancel each other for a unique vacuum functional. Ricci flatness guarantees that metric determinant is constant in complex coordinates so that one avoids divergences coming from it. The non-locality of the Kähler function as a functional of the 3-surface serves as an additional regulating mechanism: if  $K(X^3)$  were a local functional of  $X^3$  one would encounter divergences in the perturbative expansion.

The requirement that quantum jump corresponds to a quantum measurement in the sense of quantum field theories implies that quantum jump involves localization in zero modes. Localization in the zero modes implies automatically p-adic evolution since the decomposition of the configuration space into sectors  $D_P$  labeled by the infinite primes  $P$  is determined by the corresponding decomposition in zero modes. Localization in zero modes would suggest that the calculation of the physical predictions does not involve integration over zero modes: this would dramatically simplify the calculational apparatus of the theory. Probably this simplification occurs at the level of practical calculations if  $U$ -matrix separates into a product of matrices associated with zero modes and fiber degrees of freedom.

One must also calculate the predictions for the ratios of the rates of quantum transitions to different values of zero modes and here one cannot actually avoid integrals over zero modes. To achieve this one is forced to define the transition probabilities for quantum jumps involving a localization in zero modes as

$$P(x, \alpha \rightarrow y, \beta) = \sum_{r,s} |S(r, \alpha \rightarrow s, \beta)|^2 |\Psi_r(x)|^2 |\Psi_s(y)|^2 \quad ,$$

where  $x$  and  $y$  correspond to the zero mode coordinates and  $r$  and  $s$  label a complete state functional basis in zero modes and  $S(r, m \rightarrow s, n)$  involves integration over zero modes. In fact, only in this manner the notion of the localization in the zero modes makes mathematically sense at the level of S-matrix. In this case also unitarity conditions are well-defined. In zero modes state function basis can be freely constructed so that divergence difficulties could be avoided. An open question is whether this construction is indeed possible.

Some comments about the actual evaluation of the bosonic functional integral are in order.

1. Since configuration space metric is degenerate and the bosonic propagator is essentially the contravariant metric, bosonic integration is expected to reduce to an integration over the zero modes. For instance, isometry invariants are variables of this kind. These modes are analogous to the parameters describing the conformal equivalence class of the orbit of the string in string models.
2.  $\alpha_K$  is a natural small expansion parameter in configuration space integration. It should be noticed that  $\alpha_K$ , when defined by the criticality condition, could also depend on the coordinates parameterizing the zero modes.
3. Semiclassical approximation, which means the expansion of the functional integral as a sum over the extrema of the Kähler function, is a natural approach to the calculation of the bosonic integral. Symmetric space property suggests that for the given values of the zero modes there is only single extremum and corresponds to the maximum of the Kähler function. There are theorems (Duistermaat-Hecke theorem) stating that semiclassical approximation is exact for certain systems (for example for integrable systems [51]). Symmetric space property suggests that Kähler function might possess the properties guaranteeing the exactness of the semiclassical approximation. This would mean that the calculation of the integral  $\int \exp(K) \sqrt{G} dY^3$  and even more complex integrals involving configuration space spinor fields would be completely analogous to a Gaussian integration of free quantum field theory. This kind of reduction actually occurs in string models and is consistent with the criticality of the Kähler coupling constant suggesting that all loop integrals contributing to the renormalization of the Kähler action should vanish. Also the condition that configuration space integrals are continuable to p-adic number fields requires this kind of reduction.

### 8.6.2 Why Ricci flatness

It has been already found that the requirement of divergence cancelation poses extremely strong constraints on the metric of the configuration space. The results obtained hitherto are the following.

1. If the vacuum functional is the exponent of Kähler function one gets rid of the divergences resulting from the Gaussian determinants and metric determinants: determinants cancel each other.
2. The non-locality of the Kähler action gives good hopes of obtaining divergence free perturbation theory.

The following arguments show that Ricci flatness of the metric is a highly desirable property.

1. Dirac operator should be a well defined operator. In particular its square should be well defined. The problem is that the square of Dirac operator contains curvature scalar, which need not be finite since it is obtained via two infinite-dimensional trace operations from the curvature tensor. In case of loop spaces [68] the Kähler property implies that even Ricci tensor is only conditionally convergent. In fact, loop spaces with Kähler metric are Einstein spaces (Ricci tensor is proportional to metric) and Ricci scalar is infinite.

In 3-dimensional case situation is even worse since the trace operation involves 3 summation indices instead of one! The conclusion is that Ricci tensor had better to vanish in vibrational degrees of freedom.

2. For Ricci flat metric the determinant of the metric is constant in geodesic complex coordinates as is seen from the expression for Ricci tensor [59]

$$R_{k\bar{l}} = \partial_k \partial_{\bar{l}} \ln(\det(g)) \quad (8.6.3)$$

in Kähler metric. This obviously simplifies considerably functional integration over the configuration space: one obtains just the standard perturbative field theory in the sense that metric determinant gives no contributions to the functional integration.

3. The constancy of the metric determinant results not only in calculational simplifications: it also eliminates divergences. This is seen by expanding the determinant as a functional Taylor series with respect to the coordinates of the configuration space. In local complex coordinates the first term in the expansion of the metric determinant is determined by Ricci tensor

$$\delta\sqrt{g} \propto R_{k\bar{l}} z^k \bar{z}^{\bar{l}} . \quad (8.6.4)$$

In configuration space integration using standard rules of Gaussian integration this term gives a contribution proportional to the contraction of the propagator with Ricci tensor. But since the propagator is just the contravariant metric one obtains Ricci scalar as result. So, in order to avoid divergences, Ricci scalar must be finite: this is certainly guaranteed if Ricci tensor vanishes.

4. The following group theoretic argument suggests that Ricci tensor either vanishes or is divergent. The holonomy group of the configuration space is a subgroup of  $U(n = \infty)$  ( $D = 2n$  is the dimension of the Kähler manifold) by Kähler property and Ricci flatness is guaranteed if the  $U(1)$  factor is absent from the holonomy group. In fact Ricci tensor is proportional to the trace of the  $U(1)$  generator and since this generator corresponds to an infinite dimensional unit matrix the trace diverges: therefore given element of the Ricci tensor is either infinite or vanishes. Therefore the vanishing of the Ricci tensor seems to be a mathematical necessity. This naive argument doesn't hold true in the case of loop spaces, for which Kähler metric with finite non-vanishing Ricci tensor exists [68]. Note however that also in this case the sum defining Ricci tensor is only conditionally convergent.

There are indeed good hopes that Ricci tensor vanishes. By the previous argument the vanishing of the Ricci tensor is equivalent with the absence of divergences in configuration space integration. That divergences are absent is suggested by the non-locality of the Kähler function as a functional of 3-surface: the divergences of local field theories result from the locality of interaction vertices. Ricci flatness in vibrational degrees of freedom is not only necessary mathematically. It is also appealing physically: one can regard Ricci flat configuration space as a vacuum solution of Einstein's equations  $G^{\alpha\beta} = 0$ .

### 8.6.3 Ricci flatness and Hyper Kähler property

Ricci flatness property is guaranteed if configuration space geometry is Hyper Kähler [52, 54] (there exists 3 covariantly constant antisymmetric tensor fields, which can be regarded as representations of quaternionic imaginary units). Hyper Kähler property guarantees Ricci flatness because the contractions of the curvature tensor appearing in the components of the Ricci tensor transform to traces over Lie algebra generators, which are  $SU(n)$  generators instead of  $U(n)$  generators so that the traces vanish. In the case of the loop spaces left invariance implies that Ricci tensor in the vibrational degrees is a multiple of the metric tensor so that Ricci scalar has an infinite value. This is basically due to the fact that Kac-Moody algebra has  $U(1)$  central extension.

Consider now the arguments in favor of Ricci flatness of the configuration space.

1. The symplectic algebra of  $\delta M_+^4$  takes effectively the role of the  $U(1)$  extension of the loop algebra. More concretely, the  $SO(2)$  group of the rotation group  $SO(3)$  takes the role of  $U(1)$  algebra. Since volume preserving transformations are in question, the traces of the symplectic generators vanish identically and in finite-dimensional this should be enough for Ricci flatness even if Hyper Kähler property is not achieved.
2. The comparison with  $CP_2$  allows to link Ricci flatness with conformal invariance. The elements of the Ricci tensor are expressible in terms of traces of the generators of the holonomy group  $U(2)$  at the origin of  $CP_2$ , and since  $U(1)$  generator is non-vanishing at origin, the Ricci tensor is non-vanishing. In recent case the origin of  $CP_2$  is replaced with the maximum of Kähler function and holonomy group corresponds to super-symplectic generators labelled by integer valued real parts  $k_1$  of the conformal weights  $k = k_1 + i\rho$ . If generators with  $k_1 = n$  vanish at the maximum of the Kähler function, the curvature scalar should vanish at the maximum and by the symmetric space property everywhere. These conditions correspond to Virasoro conditions in super string models.

A possible source of difficulties are the generators having  $k_1 = 0$  and resulting as commutators of generators with opposite real parts of the conformal weights. It might be possible to assume that only the conformal weights  $k = k_1 + i\rho$ ,  $k_1 = 0, 1, \dots$  are possible since it is the imaginary part of the conformal weight which defines the complexification in the recent case. This would mean that the commutators involve only positive values of  $k_1$ .

3. In the infinite-dimensional case the Ricci tensor involves also terms which are non-vanishing even when the holonomy algebra does not contain  $U(1)$  factor. It will be found that symmetric space property guarantees Ricci flatness even in this case and the reason is essentially the vanishing of the generators having  $k_1 = n$  at the maximum of Kähler function.

There are also arguments in favor of the Hyper Kähler property.

1. The dimensions of the imbedding space and space-time are 8 and 4 respectively so that the dimension of configuration space in vibrational modes is indeed multiple of four as required by Hyper Kähler property. Hyper Kähler property requires a quaternionic structure in the tangent space of the configuration space. Since any direction on the sphere  $S^2$  defined by the linear combinations of quaternionic imaginary units with unit norm defines a particular complexification physically, Hyper Kähler property means the possibility to perform complexification in  $S^2$ -fold manners.
2.  $S^2$ -fold degeneracy is indeed associated with the definition of the complex structure of the configuration space. First of all, the direction of the quantization axis for the spherical harmonics

or for the eigen states of Lorentz Cartan algebra at  $\delta M_+^4$  can be chosen in  $S^2$ -fold manners. Quaternion conformal invariance means Hyper Kähler property almost by definition and the  $S^2$ -fold degeneracy for the complexification is obvious in this case.

If these naive arguments survive a more critical inspection, the conclusion would be that the effective 2-dimensionality of light like 3-surfaces implying generalized conformal and symplectic symmetries would also imply Hyper Kähler property of the configuration space and make the theory well-defined mathematically. This obviously fixes the dimension of space-time surfaces as well as the dimension of Minkowski space factor of the imbedding space.

In the sequel we shall show that Ricci flatness is guaranteed provided that the holonomy group of the configuration space is isomorphic to some subgroup of  $SU(n = \infty)$  instead of  $U(n = \infty)$  ( $n$  is the complex dimension of the configuration space) implied by the Kähler property of the metric. We also derive an expression for the Ricci tensor in terms of the structure constants of the isometry algebra and configuration space metric. The expression for the Ricci tensor is formally identical with that obtained by Freed for loop spaces: the only difference is that the structure constants of the finite-dimensional group are replaced with the group  $Can(\delta H)$ . Also the arguments in favor of Hyper Kähler property are discussed in more detail.

#### 8.6.4 The conditions guaranteing Ricci flatness

In the case of Kähler geometry Ricci flatness condition can be characterized purely Lie-algebraically: the holonomy group of the Riemann connection, which in general is subgroup of  $U(n)$  for Kähler manifold of complex dimension  $n$ , must be subgroup of  $SU(n)$  so that the Lie-algebra of this group consists of traceless matrices. This condition is easy to derive using complex coordinates. Ricci tensor is given by the following expression in complex vielbein basis

$$R^{A\bar{B}} = R^{A\bar{C}B}{}_{\bar{C}} , \quad (8.6.5)$$

where the latter summation is only over the antiholomorphic indices  $\bar{C}$ . Using the cyclic identities

$$\sum_{cycl\ \bar{C}B\bar{D}} R^{A\bar{C}B\bar{D}} = 0 , \quad (8.6.6)$$

the expression for Ricci tensor reduces to the form

$$R^{A\bar{B}} = R^{A\bar{B}C}{}_{\bar{C}} , \quad (8.6.7)$$

where the summation is only over the holomorphic indices  $C$ . This expression can be regarded as a trace of the curvature tensor in the holonomy algebra of the Riemann connection. The trace is taken over holomorphic indices only: the traces over holomorphic and anti-holomorphic indices cancel each other by the antisymmetry of the curvature tensor. For Kähler manifold holonomy algebra is subalgebra of  $U(n)$ , when the complex dimension of manifold is  $n$  and Ricci tensor vanishes if and only if the holonomy Lie-algebra consists of traceless matrices, or equivalently: holonomy group is subgroup of  $SU(n)$ . This condition is expected to generalize also to the infinite-dimensional case.

We shall now show that if configuration space metric is Kähler and possesses infinite-dimensional isometry algebra with the property that its generators form a complete basis for the tangent space (every tangent vector is expressible as a superposition of the isometry generators plus zero norm vector) it is possible to derive a representation for the Ricci tensor in terms of the structure constants of the isometry algebra and of the components of the metric and its inverse in the basis formed by the isometry generators and that Ricci tensor vanishes identically for the proposed complexification of the configuration space provided the generators  $\{H_{A,m \neq 0}, H_{B,n \neq 0}\}$  correspond to zero norm vector fields of configuration space.

The general definition of the curvature tensor as an operator acting on vector fields reads

$$R(X,Y)Z = [\nabla_X, \nabla_Y]Z - \nabla_{[X,Y]}Z . \quad (8.6.8)$$

If the vector fields considered are isometry generators the covariant derivative operator is given by the expression

$$\begin{aligned} \nabla_X Y &= (Ad_X Y - Ad_X^* Y - Ad_Y^* X)/2 , \\ (Ad_X^* Y, Z) &= (Y, Ad_X Z) , \end{aligned} \quad (8.6.9)$$

where  $Ad_X Y = [X, Y]$  and  $Ad_X^*$  denotes the adjoint of  $Ad_X$  with respect to configuration space metric.

In the sequel we shall assume that the vector fields in question belong to the basis formed by the isometry generators. The matrix representation of  $Ad_X$  in terms of the structure constants  $C_{X,Y:Z}$  of the isometry algebra is given by the expression

$$\begin{aligned} Ad_{X_n}^m &= C_{X,Y:Z} \hat{Y}_n Z^m , \\ [X, Y] &= C_{X,Y:Z} Z , \\ \hat{Y} &= g^{-1}(Y, V) V , \end{aligned} \quad (8.6.10)$$

where the summation takes place over the repeated indices and  $\hat{Y}$  denotes the dual vector field of  $Y$  with respect to the configuration space metric. From its definition one obtains for  $Ad_X^*$  the matrix representation

$$\begin{aligned} Ad_{X_n}^{*m} &= C_{X,Y:Z} \hat{Y}^m Z_n , \\ Ad_X^* Y &= C_{X,U:V} g(Y, U) g^{-1}(V, W) W = g(Y, U) g^{-1}([X, U], W) W , \end{aligned} \quad (8.6.11)$$

where the summation takes place over the repeated indices.

Using the representations of  $\nabla_X$  in terms of  $Ad_X$  and its adjoint and the representations of  $Ad_X$  and  $Ad_X^*$  in terms of the structure constants and some obvious identities (such as  $C_{[X,Y],Z:V} = C_{X,Y:U} C_{U,Z:V}$ ) one can by a straightforward but tedious calculation derive a more detailed expression for the curvature tensor and Ricci tensor. Straightforward calculation of the Ricci tensor has however turned to be very tedious even in the case of the diagonal metric and in the following we shall use a more convenient representation [68] of the curvature tensor applying in case of the Kähler geometry.

The expression of the curvature tensor is given in terms of the so called Toeplitz operators  $T_X$  defined as linear operators in the "positive energy part"  $G_+$  of the isometry algebra spanned by the  $(1, 0)$  parts of the isometry generators. In present case the positive and negative energy parts and cm part of the algebra can be defined just as in the case of loop spaces:

$$\begin{aligned} G_+ &= \{H^{Ak} | k > 0\} , \\ G_- &= \{H^{Ak} | k < 0\} , \\ G_0 &= \{H^{Ak} | k = 0\} . \end{aligned} \quad (8.6.12)$$

Here  $H^{Ak}$  denote the Hamiltonians generating the symplectic transformations of  $\delta H$ . The positive energy generators with non-vanishing norm have positive radial scaling dimension:  $k \geq 0$ , which corresponds to the imaginary part of the scaling momentum  $K = k_1 + i\rho$  associated with the factors  $(r_M/r_0)^K$ . A priori the spectrum of  $\rho$  is continuous but it is quite possible that the spectrum of  $\rho$  is discrete and  $\rho = 0$  does not appear at all in the spectrum in the sense that the flux Hamiltonians associated with  $\rho = 0$  elements vanish for the maximum of Kähler function which can be taken to be the point where the calculations are done.

$T_X$  differs from  $Ad_X$  in that the negative energy part of  $Ad_X Y = [X, Y]$  is dropped away:

$$\begin{aligned} T_X : G_+ &\rightarrow G_+ , \\ Y &\rightarrow [X, Y]_+ . \end{aligned} \quad (8.6.13)$$

Here "+" denotes the projection to "positive energy" part of the algebra. Using Toeplitz operators one can associate to various isometry generators linear operators  $\Phi(X_0)$ ,  $\Phi(X_-)$  and  $\Phi(X_+)$  acting on  $G_+$ :

$$\begin{aligned}
\Phi(X_0) &= T_{X_0}, \quad X_0 \in G_0, \\
\Phi(X_-) &= T_{X_-}, \quad X_- \in G_-, \\
\Phi(X_+) &= -T_{X_-}^*, \quad X_+ \in G_+.
\end{aligned} \tag{8.6.14}$$

Here "\*" denotes hermitian conjugate in the diagonalized metric: the explicit representation  $\Phi(X_+)$  is given by the expression [68]

$$\begin{aligned}
\Phi(X_+) &= D^{-1}T_{X_-}D, \\
DX_+ &= d(X)X_-, \\
d(X) &= g(X_-, X_+).
\end{aligned} \tag{8.6.15}$$

Here  $d(X)$  is just the diagonal element of metric assumed to be diagonal in the basis used.  $g$  denotes the conformal factor associated with the metric.

The representations for the action of  $\Phi(X_0)$ ,  $\Phi(X_-)$  and  $\Phi(X_+)$  in terms of metric and structure constants of the isometry algebra are in the case of the diagonal metric given by the expressions

$$\begin{aligned}
\Phi(X_0)Y_+ &= C_{X_0, Y_+; U_+}U_+, \\
\Phi(X_-)Y_+ &= C_{X_-, Y_+; U_+}U_+, \\
\Phi(X_+)Y_+ &= \frac{d(Y)}{d(U)}C_{X_-, Y_-; U_-}U_+.
\end{aligned} \tag{8.6.16}$$

The expression for the action of the curvature tensor in positive energy part  $G_+$  of the isometry algebra in terms of these operators is given as [68]:

$$R(X, Y)Z_+ = \{[\Phi(X), \Phi(Y)] - \Phi([X, Y])\}Z_+. \tag{8.6.17}$$

The calculation of the Ricci tensor is based on the observation that for Kähler manifolds Ricci tensor is a tensor of type (1,1), and therefore it is possible to calculate Ricci tensor as the trace of the curvature tensor with respect to indices associated with  $G_+$ .

$$Ricci(X_+, Y_-) = (\hat{Z}_+, R(X_+, Y_-)Z_+) \equiv Trace(R(X_+, Y_-)), \tag{8.6.18}$$

where the summation over  $Z_+$  generators is performed.

Using the explicit representations of the operators  $\Phi$  one obtains the following explicit expression for the Ricci tensor

$$\begin{aligned}
Ricci(X_+, Y_-) &= Trace\{[D^{-1}T_{X_+}D, T_{Y_-}] - T_{[X_+, Y_-]|_{G_0+G_-}} \\
&\quad - D^{-1}T_{[X_+, Y_-]|_{G_+}}D\}.
\end{aligned} \tag{8.6.19}$$

This expression is identical to that encountered in case of loop spaces and the following arguments are repetition of those applying in the case of loop spaces.

The second term in the Ricci tensor is the only term present in the finite-dimensional case. This term vanishes if the Lie-algebra in question consists of traceless matrices. Since symplectic transformations are volume-preserving the traces of Lie-algebra generators vanish so that this term is absent. The last term gives a non-vanishing contribution to the trace for the same reason.

The first term is quadratic in structure constants and does not vanish in case of loop spaces. It can be written explicitly using the explicit representations of the various operators appearing in the formula:

$$\begin{aligned}
\text{Trace}\{[D^{-1}T_{X_-}D, T_{Y_-}]\} &= \sum_{Z_+, U_+} [C_{X_-, U_-:Z_-} C_{Y_-, Z_+:U_+} \frac{d(U)}{d(Z)} \\
&- C_{X_-, Z_-:U_-} C_{Y_-, U_+:Z_+} \frac{d(Z)}{d(U)}] . \tag{8.6.20}
\end{aligned}$$

Each term is antisymmetric under the exchange of  $U$  and  $Z$  and one might fail to conclude that the sum vanishes identically. This is not the case. By the diagonality of the metric with respect to radial quantum number, one has  $m(X_-) = m(Y_-)$  for the non-vanishing elements of the Ricci tensor. Furthermore, one has  $m(U) = m(Z) - m(Y)$ , which eliminates summation over  $m(U)$  in the first term and summation over  $m(Z)$  in the second term. Note however, that summation over other labels related to symplectic algebra are present.

By performing the change  $U \rightarrow Z$  in the second term one can combine the sums together and as a result one has finite sum

$$\begin{aligned}
\sum_{0 < m(Z) < m(X)} [C_{X_-, U_-:Z_-} C_{Y_-, Z_+:U_+} \frac{d(U)}{d(Z)}] &= C \sum_{0 < m(Z) < m(X)} \frac{m(X)}{m(Z) - m(X)} , \\
C &= \sum_{Z, U} C_{X, U:Z} C_{Y, Z:U} \frac{d_0(U)}{d_0(Z)} . \tag{8.6.21}
\end{aligned}$$

Here the dependence of  $d(X) = |m(X)|d_0(X)$  on  $m(X)$  is factored out;  $d_0(X)$  does not depend on  $k_X$ . The dependence on  $m(X)$  in the resulting expression factorizes out, and one obtains just the purely group theoretic term  $C$ , which should vanish for the space to be Ricci flat.

The sum is quadratic in structure constants and can be visualized as a loop sum. It is instructive to write the sum in terms of the metric in the symplectic degrees of freedom to see the geometry behind the Ricci flatness:

$$C = \sum_{Z, U} g([Y, Z], U) g^{-1}([X, U], Z) . \tag{8.6.22}$$

Each term of this sum involves a commutator of two generators with a non-vanishing norm. Since tangent space complexification is inherited from the local coset space, the non-vanishing commutators in complexified basis are always between generators in  $Can_{\neq 0}$ ; that is they do not belong to rigid  $su(2) \times su(3)$ .

The condition guaranteeing Ricci flatness at the maximum of Kähler function and thus everywhere is simple. All elements of type  $[X_{\neq 0}, Y_{\neq 0}]$  vanish or have vanishing norm. In case of  $CP_2$  Kähler geometry this would correspond to the vanishing of the  $U(2)$  generators at the origin of  $CP_2$  (note that the holonomy group is  $U(2)$  in case of  $CP_2$ ). At least formally stronger condition is that the algebra generated by elements of this type, the commutator algebra associated with  $Can_{\neq 0}$ , consist of elements of zero norm. Already the (possibly) weaker condition implies that adjoint map  $Ad_{X_{\neq 0}}$  and its hermitian adjoint  $Ad_{X_{\neq 0}}^*$  create zero norm states. Since isometry conditions involve also adjoint action the condition also implies that  $Can_{\neq 0}$  acts as isometries. More concrete form for the condition is that all flux factors involving double Poisson bracket and three generators in  $Can_{\neq 0}$  vanish:

$$Q_e(\{H_A, \{H_B, H_C\}\}) = 0 , \text{ for } H_A, H_B, H_C \text{ in } Can_{\neq 0} . \tag{8.6.23}$$

The vanishing of fluxes involving two Poisson brackets and three Hamiltonians guarantees isometry invariance and Ricci flatness and, as found in [17], is implied by the  $[t, t] \subset \mathfrak{h}$  property of the Lie-algebra of coset space  $G/H$  having symmetric space structure.

The conclusion is that the mere existence of the proposed isometry group (guaranteed by the symmetric space property) implies the vanishing of the Ricci tensor and vacuum Einstein equations. The existence of the infinite parameter isometry group in turn follows basically from the condition guaranteeing the existence of the Riemann connection. Therefore vacuum Einstein equations seem to



arise, not only as a consequence of a physically motivated variational principle but as a mathematical consistency condition in infinite dimensional Kähler geometry. The flux representation seems to provide elegant manner to formulate and solve these conditions and isometry invariance implies Ricci flatness.

### 8.6.5 Is configuration space metric Hyper Kähler?

The requirement that configuration space integral integration is divergence free implies that configuration space metric is Ricci flat. The so called Hyper-Kähler metrics [54, 52, 70] are particularly nice representatives of Ricci flat metrics. In the following the basic properties of Hyper-Kähler metrics are briefly described and the problem whether Hyper Kähler property could realized in case of  $M_+^4 \times CP_2$  is considered.

#### Hyper-Kähler property

Hyper-Kähler metric is a generalization of the Kähler metric. For Kähler metric metric tensor and Kähler form correspond to the complex numbers 1 and  $i$  and therefore define complex structure in the tangent space of the manifold. For Hyper Kähler metric tangent space allows three closed Kähler forms  $I, J, K$ , which with respect to the multiplication obey the algebra of quaternionic imaginary units and have square equal to  $-1$ , which corresponds to the metric of Hyper Kähler space.

$$I^2 = J^2 = K^2 = -1 \quad IJ = -JI = K, \text{ etc. } . \quad (8.6.24)$$

To define Kähler structure one must choose one of the Kähler forms or any linear combination of  $I, J$  and  $K$  with unit norm. The group  $SO(3)$  rotates different Kähler structures to each other playing thus the role of quaternion automorphisms. This group acts also as coordinate transformations in Hyper Kähler manifold but in general fails to act as isometries.

If  $K$  is chosen to define complex structure then  $K$  is tensor of type  $(1, 1)$  in complex coordinates,  $I$  and  $J$  being tensors of type  $(2, 0) + (0, 2)$ . The forms  $I + iJ$  and  $I - iJ$  are holomorphic and anti-holomorphic forms of type  $(2, 0)$  and  $(0, 2)$  respectively and defined standard step operators  $I_+$  and  $I_-$  of  $SU(2)$  algebra. The holonomy group of Hyper-Kähler metric is always  $Sp(k)$ ,  $k \leq \dim M/4$ , the group of  $k \times k$  unitary matrices with quaternionic entries. This group is indeed subgroup of  $SU(2k)$ , so that its generators are traceless and Hyper Kähler metric is therefore Ricci flat.

Hyper Kähler metrics have been encountered in the context of 3-dimensional super symmetric sigma models: a necessary prerequisite for obtaining  $N = 4$  super-symmetric sigma model is that target space allows Hyper Kähler metric [64, 70]. In particular, it has been found that Hyper Kähler property is decisive for the divergence cancelation.

Hyper-Kähler metrics arise also in monopole and instanton physics [54]. The moduli spaces for monopoles have Hyper Kähler property. This suggests that Hyper Kähler property is characteristic for the configuration (or moduli) spaces of 4-dimensional Yang Mills types systems. Since YM action appears in the definition of configuration space metric there are hopes that also in present case the metric possesses Hyper-Kähler property.

$CP_2$  allows what might be called almost Hyper-Kähler structure known as quaternionion structure. This means that the Weil tensor of  $CP_2$  consists of three components in one-one correspondence with components of iso-spin and only one of them- the one corresponding to Kähler form- is covariantly constant. The physical interpretation is in terms of electroweak symmetry breaking selecting one isospin direction as a favored direction.

#### Does the 'almost' Hyper-Kähler structure of $CP_2$ lift to a genuine Hyper-Kähler structure in configuration space?

The Hyper-Kähler property of configuration space metric does not seem to be in conflict with the general structure of TGD.

1. In string models the dimension of the "space-time" is two and Weyl invariance and complex structures play a decisive role in the theory. In present case the dimension of the space-time is four and one therefore might hope that quaternions play a similar role. Indeed, Weyl invariance

implies YM action in dimension 4 and as already mentioned moduli spaces of instantons and monopoles enjoy the Hyper Kähler property.

2. Also the dimension of the imbedding space is important. The dimension of Hyper Kähler manifold must be multiple of 4. The dimension of configuration space is indeed infinite multiple of 8: each vibrational mode giving one "8".
3. The complexification of the configuration space in symplectic degrees of freedom is inherited from  $S^2 \times CP_2$  and  $CP_2$  Kähler form defines the symplectic form of configuration space. The point is that  $CP_2$  Weyl tensor has 3 covariantly constant components, having as their square metric apart from sign. One of them is Kähler form, which is closed whereas the other two are non-closed forms and therefore fail to define Kähler structure. The group  $SU(2)$  of electro-weak isospin rotations rotate these forms to each other. It would not be too surprising if one could identify the configuration space counterparts of these forms as representations of quaternionic units at the level of configuration space. The failure of the Hyper Kähler property at the level of  $CP_2$  geometry is due to the electro-weak symmetry breaking and physical intuition (in particular, p-adic mass calculations [4]) suggests that electro-weak symmetry might not be broken at the level of configuration space geometry).

A possible topological obstruction for the Hyper Kähler property is related to the cohomology of the configuration space: the three Kähler forms must be co-homologically trivial as is clear from the following argument. If any of 3 quaternionic 2-form is cohomologically nontrivial then by  $SO(3)$  symmetry rotating Kähler forms to each other all must be co-homologically nontrivial. On the other hand, electro-weak isospin rotation leads to a linear combination of 3 Kähler forms and the flux associated with this form is in general not integer valued. The point is however that Kähler form forms only the (1,1) part of the symplectic form and must be co-homologically trivial whereas the zero mode part is same for all complexifications and can be co-homologically nontrivial. The cohomological non-triviality of the zero mode part of the symplectic form is indeed a nice feature since it fixes the normalization of the Kähler function apart from a multiplicative integer. On the other hand the hypothesis that Kähler coupling strength is analogous to critical temperature provides a dynamical (and perhaps equivalent) manner to fix the normalization of the Kähler function.

Since the properties of the configuration space metric are inherited from  $M_+^4 \times CP_2$  then also the Hyper Kähler property should be understandable in terms of the imbedding space geometry. In particular, the complex structure in  $CP_2$  vibrational degrees of freedom is inherited from  $CP_2$ . Hyper Kähler property implies the existence of a continuum (sphere  $S^2$ ) of complex structures: any linear superposition of 3 independent Kähler forms defines a respectable complex structure. Therefore also  $CP_2$  should have this continuum of complex structures and this is certainly not the case.

Indeed, if we had instead of  $CP_2$  Hyper Kähler manifold with 3 covariantly constant 2-forms then it would be easy to understand the Hyper Kähler structure of configuration space. Given the Kähler structure of the configuration space would be obtained by replacing induced Kähler electric and magnetic fields in the definition of flux factors  $Q(H_{A,m})$  with the appropriate component of the induced Weyl tensor.  $CP_2$  indeed manages to be very nearly Hyper Kähler manifold!

How  $CP_2$  fails to be Hyper Kähler manifold can be seen in the following manner. The Weyl tensor of  $CP_2$  allows three independent components, which are self dual as 2-forms and rotated to each other by vielbein rotations.

$$\begin{aligned}
 W_{03} &= W_{12} \equiv 2I_3 = 2(e^0 \wedge e^3 + e^1 \wedge e^2) , \\
 W_{01} &= W_{23} \equiv I_1 = -e^0 \wedge e^1 - e^2 \wedge e^3 , \\
 W_{02} &= W_{31} \equiv I_2 = -e^0 \wedge e^2 - e^3 \wedge e^1 .
 \end{aligned} \tag{8.6.25}$$

The component  $I_3$  is just the Kähler form of  $CP_2$ . Remaining components are covariantly constant only with respect to spinor connection and not closed forms so that they cannot be interpreted as Maxwell fields. Their squares equal however apart from sign with the metric of  $CP_2$ , when appropriate normalization factor is used. If these forms were covariantly constant Kähler action defined by any linear superposition of these forms would indeed define Kähler structure in configuration space and the group  $SO(3)$  would rotate these forms to each other. The projections of the components of the Weyl tensor on 3-surface define 3 vector fields as their duals and only one of these vector fields

(Kähler magnetic field) is divergenceless. One might regard these 3 vector fields as counter parts of quaternion units associated with the broken Hyper Kähler structure, that is quaternion structure. The interpretation in terms of electro-weak symmetry breaking is obvious.

One cannot exclude the possibility that the symplectic invariance of the induced Kähler electric field implies that the electric parts of the other two components of induced Weyl tensor are symplectic invariants. This is the minimum requirement. What is however obvious is that the magnetic parts cannot be closed forms for arbitrary 3-surfaces at light cone boundary. One counter example is enough and  $CP_2$  type extremals seem to provide this counter example: the components of the induced Weyl tensor are just the same as they are for  $CP_2$  and clearly not symplectically invariant.

Thus it seems that configuration space could allow Hyper Kähler structure broken by electro-weak interactions but it cannot be inherited from  $CP_2$ . An open question is whether it allows genuine quaternionic structure. Good prospects for obtaining quaternionic structure are provided by the quaternionic counterpart  $QP_2$  of  $CP_2$ , which is 8-dimensional and has coset space structure  $QP_2 = Sp(3)/Sp(2) \times Sp(1)$ . This choice does not seem to be consistent with the symmetries of the standard model. Note however that the over all symmetry group is obtained by replacing complex numbers with quaternions on the matrix representation of the standard model group.

### Could different complexifications for $M_+^4$ and light like surfaces induce Hyper Kähler structure for configuration space?

Quaternionic structure means also the existence of a family of complex structures parameterized by a sphere  $S^2$ . The complex structure of the configuration space is inherited from the complex structure of some light like surface.

In the case of the light cone boundary  $\delta M_+^4$  the complex structure corresponds to the choice of quantization axis of angular momentum for the sphere  $r_M = \text{constant}$  so that the coordinates orthogonal to the quantization axis define a complex coordinate: the sphere  $S^2$  parameterizes these choices. Thus there is a temptation to identify the choice of quantization axis with a particular imaginary unit and Hyper Kähler structure would directly relate to the properties rotation group. This would bring an additional item to the list of miraculous properties of light like surfaces of 4-dimensional space-times.

This might relate to the fact that configuration space geometry is not determined by the symplectic algebra of  $CP_2$  localized with respect to the light cone boundary as one might first expect but consists of  $M_+^4 \times CP_2$  Hamiltonians so that infinitesimal symplectic transformation of  $CP_2$  involves always also  $M_+^4$ -symplectic transformation.  $M_+^4$  Hamiltonians are defined by a function basis generated as products of the Hamiltonians  $H_3$  and  $H_1 \pm iH_2$  generating rotations with respect to three orthogonal axes, and two of these Hamiltonians are complexified.

Also the light like 3-surfaces  $X_l^3$  associated with quaternion conformal invariance are determined by some 2-surface  $X^2$  and the choice of complex coordinates and if  $X^2$  is sphere the choices are labelled by  $S^2$ . In this case, the presence of quaternion conformal structure would be almost obvious since it is possible to choose some complex coordinate in several manners and the choices are labelled by  $S^2$ . The choice of the complex coordinate in turn fixes 2-surface  $X^2$  as a surface for which the remaining coordinates are constant.  $X^2$  need not however be located at the elementary particle horizon unless one poses additional constraint. One might hope that different choices of  $X^2$  resulting in this manner correspond to all possible different selections of the complex structure and that this choice could fix uniquely the conformal equivalence class of  $X^2$  appearing as argument in elementary particle vacuum functionals. If  $X^2$  has a more complex topology the identification is not so clear but since conformal algebra  $SL(2, C)$  containing algebra of rotation group is involved, one might argue that the choice of quantization axis also now involves  $S^2$  degeneracy. If these arguments are correct one could conclude that Hyper Kähler structure is implicitly involved and guarantees Ricci flatness of the configuration space metric.

## 8.7 Does modified Dirac action define the fundamental action principle?

Although quantum criticality in principle predicts the possible values of Kähler coupling strength, one might hope that there exists even more fundamental approach involving no coupling constants

and predicting even quantum criticality and realizing quantum gravitational holography. The Dirac determinant associated with the modified Dirac action is an excellent candidate in this respect.

The original working hypothesis was that Dirac determinant defines the vacuum functional of the theory having interpretation as the exponent of Kähler function of world of classical worlds (WCW) expressible and that Kähler function reduces to Kähler action for a preferred extremal of Kähler action.

### 8.7.1 What are the basic equations of quantum TGD?

A good place to start is to ask what might the basic equations of quantum TGD. There are two kinds of equations at the level of space-time surfaces.

1. Purely classical equations define the dynamics of the space-time sheets as preferred extremals of Kähler action. Preferred extremals are quantum critical in the sense that second variation vanishes for critical deformations representing zero modes. This condition guarantees that corresponding fermionic currents are conserved. There is infinite hierarchy of these currents and they define fermionic counterparts for zero modes. Space-time sheets can be also regarded as hyper-quaternionic surfaces. What these statements precisely mean has become clear only during this year. A rigorous proof for the equivalence of these two identifications is still lacking.
2. The purely quantal equations are associated with the representations of various super-conformal algebras and with the modified Dirac equation. The requirement that there are deformations of the space-time surface -actually infinite number of them- giving rise to conserved fermionic charges implies quantum criticality at the level of Kähler action in the sense of critical deformations. The precise form of the modified Dirac equation is not however completely fixed without further input. Quantal equations involve also generalized Feynman rules for  $M$ -matrix generalizing  $S$ -matrix to a "complex square root" of density matrix and defined by time-like entanglement coefficients between positive and negative energy parts of zero energy states is certainly the basic goal of quantum TGD.
3. The notion of weak electric-magnetic duality generalizing the notion of electric-magnetic duality [18, 53] leads to a detailed understanding of how TGD reduces to almost topological quantum field theory [18, 53]. If Kähler current defines Beltrami flow [62] it is possible to find a gauge in which Coulomb contribution to Kähler action vanishes so that it reduces to Chern-Simons term. If light-like 3-surfaces and ends of space-time surface are extremals of Chern-Simons action also effective 2-dimensionality is realized. The condition that the theory reduces to almost topological QFT and the hydrodynamical character of field equations leads to a detailed ansatz for the general solution of field equations and also for the solutions of the modified Dirac equation relying on the notion of Beltrami flow for which the flow parameter associated with the flow lines defined by a conserved current extends to a global coordinate. This makes the theory in well-defined sense completely integrable. Direct connection with massless theories emerges: every conserved Beltrami currents corresponds to a pair of scalar functions with the first one satisfying massless d'Alembert equation in the induced metric. The orthogonality of the gradients of these functions allows interpretation in terms of polarization and momentum directions. The Beltrami flow property can be also seen as one aspect of quantum criticality since the conserved currents associated with critical deformations define this kind of pairs.
4. The hierarchy of Planck constants provides also a fresh view to the quantum criticality. The original justification for the hierarchy of Planck constants came from the indications that Planck constant could have large values in both astrophysical systems involving dark matter and also in biology. The realization of the hierarchy in terms of the singular coverings and possibly also factor spaces of  $CD$  and  $CP_2$  emerged from consistency conditions. It however seems that TGD actually predicts this hierarchy of covering spaces. The extreme non-linearity of the field equations defined by Kähler action means that the correspondence between canonical momentum densities and time derivatives of the imbedding space coordinates is 1-to-many. This leads naturally to the introduction of the covering space of  $CD \times CP_2$ , where  $CD$  denotes causal diamond defined as intersection of future and past directed light-cones.

At the level of WCW there is the generalization of the Dirac equation which can be regarded as a purely classical Dirac equation. The modified Dirac operators associated with quarks and leptons carry fermion number but the Dirac equations are well-defined. An orthogonal basis of solutions of these Dirac operators define in zero energy ontology a basis of zero energy states. The  $M$ -matrices defining entanglement between positive and negative energy parts of the zero energy state define what can be regarded as analogs of thermal S-matrices. The  $M$ -matrices associated with the solution basis of the WCW Dirac equation define by their orthogonality unitary  $U$ -matrix between zero energy states. This matrix finds the proper interpretation in TGD inspired theory of consciousness. WCW Dirac equation as the analog of super-Virasoro conditions for the "gamma fields" of superstring models defining super counterparts of Virasoro generators was the main focus during earlier period of quantum TGD but has not received so much attention lately and will not be discussed in this chapter.

### 8.7.2 Quantum criticality and modified Dirac action

The precise mathematical formulation of quantum criticality has remained one of the basic challenges of quantum TGD. The question leading to a considerable progress in the problem was simple: Under what conditions the modified Dirac action allows to assign conserved fermionic currents with the deformations of the space-time surface? The answer was equally simple: These currents exist only if these deformations correspond to vanishing second variations of Kähler action - which is what criticality is. The vacuum degeneracy of Kähler action strongly suggests that the number of critical deformations is always infinite and that these deformations define an infinite inclusion hierarchy of super-conformal algebras. This inclusion hierarchy would correspond to a fractal hierarchy of breakings of super-conformal symmetry generalizing the symmetry breaking hierarchies of gauge theories. These super-conformal inclusion hierarchies would realize the inclusion hierarchies for hyper-finite factors of type  $II_1$ .

#### Quantum criticality and fermionic representation of conserved charges associated with second variations of Kähler action

It is rather obvious that TGD allows a huge generalizations of conformal symmetries. The development of the understanding of conservation laws has been slow. Modified Dirac action provides excellent candidates for quantum counterparts of Noether charges. Unfortunately, the isometry charges vanish for Cartan algebras. The only manner to obtain non-trivial isometry charges is to add a direct coupling to the charges in Cartan algebra as will be found later. This addition involves Chern-Simons Dirac action so that the original intuition guided by almost TQFT idea was not wrong after all.

1. *Conservation of the fermionic current requires the vanishing of the second variation of Kähler action*

1. The modified Dirac action assigns to a deformation of the space-time surface a conserved charge expressible as bilinears of fermionic oscillator operators only if the first variation of the modified Dirac action under this deformation vanishes. The vanishing of the first variation for the modified Dirac action is equivalent with the vanishing of the second variation for the Kähler action. This can be seen by the explicit calculation of the second variation of the modified Dirac action and by performing partial integration for the terms containing derivatives of  $\Psi$  and  $\bar{\Psi}$  to give a total divergence representing the difference of the charge at upper and lower boundaries of the causal diamond plus a four-dimensional integral of the divergence term defined as the integral of the quantity

$$\begin{aligned} \Delta S_D &= \bar{\Psi} \Gamma^k D_\alpha J_k^\alpha \Psi , \\ J_k^\alpha &= \frac{\partial^2 L_K}{\partial h_\alpha^k \partial h_\beta^l} \delta h_\beta^k + \frac{\partial^2 L_K}{\partial h_\alpha^k \partial h^l} \delta h^l . \end{aligned} \quad (8.7.1)$$

Here  $h_\beta^k$  denote partial derivative of the imbedding space coordinate with respect to space-time coordinates. This term must vanish:

$$D_\alpha J_k^\alpha = 0 .$$

The condition states the vanishing of the second variation of Kähler action. This can of course occur only for preferred deformations of  $X^4$ . One could consider the possibility that these deformations vanish at light-like 3-surfaces or at the boundaries of CD. Note that covariant divergence is in question so that  $J_k^\alpha$  does not define conserved classical charge in the general case.

2. It is essential that the modified Dirac equation holds true so that the modified Dirac action vanishes: this is needed to cancel the contribution to the second variation coming from the determinant of the induced metric. The condition that the modified Dirac equation is satisfied for the deformed space-time surface requires that also  $\Psi$  suffers a transformation determined by the deformation. This gives

$$\delta\Psi = -\frac{1}{D} \times \Gamma^k J_k^\alpha \Psi . \quad (8.7.2)$$

Here  $1/D$  is the inverse of the modified Dirac operator defining the counterpart of the fermionic propagator.

3. The fermionic conserved currents associated with the deformations are obtained from the standard conserved fermion current

$$J^\alpha = \bar{\Psi} \Gamma^\alpha \Psi . \quad (8.7.3)$$

Note that this current is conserved only if the space-time surface is extremal of Kähler action: this is also needed to guarantee Hermiticity and same form for the modified Dirac equation for  $\Psi$  and its conjugate as well as absence of mass term essential for super-conformal invariance [83, 70]. Note also that ordinary divergence rather only covariant divergence of the current vanishes.

The conserved currents are expressible as sums of three terms. The first term is obtained by replacing modified gamma matrices with their increments in the deformation keeping  $\Psi$  and its conjugate constant. Second term is obtained by replacing  $\Psi$  with its increment  $\delta\Psi$ . The third term is obtained by performing same operation for  $\delta\bar{\Psi}$ .

$$J^\alpha = \bar{\Psi} \Gamma^k J_k^\alpha \Psi + \bar{\Psi} \hat{\Gamma}^\alpha \delta\Psi + \delta\bar{\Psi} \hat{\Gamma}^\alpha \Psi . \quad (8.7.4)$$

These currents provide a representation for the algebra defined by the conserved charges analogous to a fermionic representation of Kac-Moody algebra [62].

4. Also conserved super charges corresponding to super-conformal invariance are obtained. The first class of super currents are obtained by replacing  $\Psi$  or  $\bar{\Psi}$  right-handed neutrino spinor or its conjugate in the expression for the conserved fermion current and performing the above procedure giving two terms since nothing happens to the covariantly constant right handed-neutrino spinor. Second class of conserved currents is defined by the solutions of the modified Dirac equation interpreted as c-number fields replacing  $\Psi$  or  $\bar{\Psi}$  and the same procedure gives three terms appearing in the super current.

5. The existence of vanishing of second variations is analogous to criticality in systems defined by a potential function for which the rank of the matrix defined by second derivatives of the potential function vanishes at criticality. Quantum criticality becomes the prerequisite for the existence of quantum theory since fermionic anti-commutation relations in principle can be fixed from the condition that the algebra in question is equivalent with the algebra formed by the vector fields defining the deformations of the space-time surface defining second variations. Quantum criticality in this sense would also select preferred extremals of Kähler action as analogs of Bohr orbits and the spectrum of preferred extremals would be more or less equivalent with the expected existence of infinite-dimensional symmetry algebras.

2. *About the general structure of the algebra of conserved charges*

Some general comments about the structure of the algebra of conserved charges are in order.

1. Any Cartan algebra of the isometry group  $P \times SU(3)$  (there are two types of them for  $P$  corresponding to linear and cylindrical Minkowski coordinates) defines critical deformations (one could require that the isometries respect the geometry of  $CD$ ). The corresponding charges are conserved but vanish since the corresponding conjugate coordinates are cyclic for the Kähler metric and Kähler form so that the conserved current is proportional to the gradient of a Killing vector field which is constant in these coordinates. Therefore one cannot represent isometry charges as fermionic bilinears. Four-momentum and color quantum numbers are defined for Kähler action as classical conserved quantities but this is probably not enough. This can be seen as a problem.
  - (a) Four-momentum and color Cartan algebra emerge naturally in the representations of super-conformal algebras. In the case of color algebra the charges in the complement of the Cartan algebra can be constructed in standard manner as extension of those for the Cartan algebra using free field representation of Kac-Moody algebras. In string theories four-momentum appears linearly in bosonic Kac-Moody generators and in Sugawara construction [58] of super Virasoro generators as bilinears of bosonic Kac-Moody generators and fermionic super Kac-Moody generators [62]. Also now quantized transversal parts for  $M^4$  coordinates could define a second quantized field having interpretation as an operator acting on spinor fields of WCW. The angle coordinates conjugate to color isospin and hyper charge take the role of  $M^4$  coordinates in case of  $CP_2$ .
  - (b) Somehow one should be able to feed the information about the super-conformal representation of the isometry charges to the modified Dirac action by adding to it a term coupling fermionic current to the Cartan charges in general coordinate invariant and isometry invariant manner. As will be shown later, this is possible. The interpretation is as measurement interaction guaranteeing also the stringy character of the fermionic propagators. The values of the couplings involved are fixed by the condition of quantum criticality assumed in the sense that Kähler function of WCW suffers only a  $U(1)$  gauge transformation  $K \rightarrow K + f + \bar{f}$ , where  $f$  is a holomorphic function of WCW coordinates depending also on zero modes.
  - (c) The simplest addition involves the modified gamma matrices defined by a Chern-Simon term at the light-like wormhole throats and is sum of Chern-Simons Dirac action and corresponding coupling term linear in Cartan charges assignable to the partonic 2-surfaces at the ends of the throats. Hence the modified Dirac equation in the interior of the space-time sheet is not affected and nothing changes as far as quantum criticality in interior is considered.
2. The action defined by four-volume gives a first glimpse about what one can expect. In this case modified gamma matrices reduce to the induced gamma matrices. Second variations satisfy d'Alembert type equation in the induced metric so that the analogs of massless fields are in question. Mass term is present only if some dimensions are compact. The vanishing of excitations at light-like boundaries is a natural boundary condition and might well imply that the solution spectrum could be empty. Hence it is quite possible that four-volume action leads to a trivial theory.

3. For the vacuum extremals of Kähler action the situation is different. There exists an infinite number of second variations and the classical non-determinism suggests that deformations vanishing at the light-like boundaries exist. For the canonical imbedding of  $M^4$  the equation for second variations is trivially satisfied. If the  $CP_2$  projection of the vacuum extremal is one-dimensional, the second variation contains a non-vanishing term and an equation analogous to massless d'Alembert equation for the increments of  $CP_2$  coordinates is obtained. Also for the vacuum extremals of Kähler action with 2-D  $CP_2$  projection all terms involving induced Kähler form vanish and the field equations reduce to d'Alembert type equations for  $CP_2$  coordinates. A possible interpretation is as the classical analog of Higgs field. For the deformations of non-vacuum extremals this would suggest the presence of terms analogous to mass terms: these kind of terms indeed appear and are proportional to  $\delta s^k$ .  $M^4$  degrees of freedom decouple completely and one obtains QFT type situation.
4. The physical expectation is that at least for the vacuum extremals the critical manifold is infinite-dimensional. The notion of finite measurement resolution suggests infinite hierarchies of inclusions of hyper-finite factors of type  $II_1$  possibly having interpretation in terms of inclusions of the super conformal algebras defined by the critical deformations.
5. The properties of Kähler action give support for this expectation. The critical manifold is infinite-dimensional in the case of vacuum extremals. Canonical imbedding of  $M^4$  would correspond to maximal criticality analogous to that encountered at the tip of the cusp catastrophe. The natural guess would be that as one deforms the vacuum extremal the previously critical degrees of freedom are transformed to non-critical ones. The dimension of the critical manifold could remain infinite for all preferred extremals of the Kähler action. For instance, for cosmic string like objects any complex manifold of  $CP_2$  defines cosmic string like objects so that there is a huge degeneracy is expected also now. For  $CP_2$  type vacuum extremals  $M^4$  projection is arbitrary light-like curve so that also now infinite degeneracy is expected for the deformations.

### 3. Critical super algebra and zero modes

The relationship of the critical super-algebra to configuration space geometry is interesting.

1. The vanishing of the second variation plus the identification of Kähler function as a Kähler action for preferred extremals means that the critical variations are orthogonal to all deformations of the space-time surface with respect to the configuration space metric and thus correspond to zero modes. This conforms with the fact that configuration space metric vanishes identically for canonically imbedded  $M^4$ . Zero modes do not seem to correspond to gauge degrees of freedom so that the super-conformal algebra associated with the zero modes has genuine physical content.
2. Since the action of  $X^4$  local Hamiltonians of  $\delta M^4 \times CP_2$  corresponds to the action in quantum fluctuating degrees of freedom, critical deformations cannot correspond to this kind of Hamiltonians.
3. The notion of finite measurement resolution suggests that the degrees of freedom which are below measurement resolution correspond to vanishing gauge charges. The sub-algebras of critical super-conformal algebra for which charges annihilate physical states could correspond to this kind of gauge algebras.
4. The conserved super charges associated with the vanishing second variations cannot give configuration space metric as their anti-commutator. This would also lead to a conflict with the effective 2-dimensionality stating that the configuration space line-element is expressible as sum of contribution coming from partonic 2-surfaces as also with fermionic anti-commutation relations.

### 4. Connection with quantum criticality

The vanishing of the second variation for some deformations means that the system is critical, in the recent case quantum critical. Basic example of criticality is bifurcation diagram for cusp catastrophe. For some mysterious reason I failed to realize that quantum criticality realized as the vanishing of



the second variation makes possible a more or less unique identification of preferred extremals and considered alternative identifications such as absolute minimization of Kähler action which is just the opposite of criticality. Both the super-symmetry of  $D_K$  and conservation Dirac Noether currents for modified Dirac action have thus a connection with quantum criticality.

1. Finite-dimensional critical systems defined by a potential function  $V(x^1, x^2, \dots)$  are characterized by the matrix defined by the second derivatives of the potential function and the rank of system classifies the levels in the hierarchy of criticalities. Maximal criticality corresponds to the complete vanishing of this matrix. Thom's catastrophe theory classifies these hierarchies, when the numbers of behavior and control variables are small (smaller than 5). In the recent case the situation is infinite-dimensional and the criticality conditions give additional field equations as existence of vanishing second variations of Kähler action.
2. The vacuum degeneracy of Kähler action allows to expect that this kind infinite hierarchy of criticalities is realized. For a general vacuum extremal with at most 2-D  $CP_2$  projection the matrix defined by the second variation vanishes because  $J_{\alpha\beta} = 0$  vanishes and also the matrix  $(J_k^\alpha + J_k^\alpha)(J_l^\beta + J_l^\beta)$  vanishes by the antisymmetry  $J_k^\alpha = -J_k^\alpha$ . Recall that the formulation of Equivalence Principle in string picture demonstrated that the reduction of stringy dynamics to that for free strings requires that second variation with respect to  $M^4$  coordinates vanish. This condition would guarantee the conservation of fermionic Noether currents defining gravitational four-momentum and other Poincare quantum numbers but not those for gravitational color quantum numbers. Encouragingly, the action of  $CP_2$  type vacuum extremals having random light-like curve as  $M^4$  projection have vanishing second variation with respect to  $M^4$  coordinates (this follows from the vanishing of Kähler energy momentum tensor, second fundamental form, and Kähler gauge current). In this case however the momentum is vanishing.
3. Conserved bosonic and fermionic Noether charges would characterize quantum criticality. In particular, the isometries of the imbedding space define conserved currents represented in terms of the fermionic oscillator operators if the second variations defined by the infinitesimal isometries vanish for the modified Dirac action. For vacuum extremals the dimension of the critical manifold is infinite: maybe there is hierarchy of quantum criticalities for which this dimension decreases step by step but remains always infinite. This hierarchy could closely relate to the hierarchy of inclusions of hyper-finite factors of type  $II_1$ . Also the conserved charges associated with Super-symplectic and Super Kac-Moody algebras would require infinite-dimensional critical manifold defined by the spectrum of second variations.
4. Phase transitions are characterized by the symmetries of the phases involved with the transitions, and it is natural to expect that dynamical symmetries characterize the hierarchy of quantum criticalities. The notion of finite quantum measurement resolution based on the hierarchy of Jones inclusions indeed suggests the existence of a hierarchy of dynamical gauge symmetries characterized by gauge groups in ADE hierarchy [35] with degrees of freedom below the measurement resolution identified as gauge degrees of freedom.
5. A breakthrough in understanding of the criticality was the discovery that the realization that the hierarchy of singular coverings of  $CD \times CP_2$  needed to realize the hierarchy of Planck constants could correspond directly to a similar hierarchy of coverings forced by the factor that classical canonical momentum densities correspond to several values of the time derivatives of the imbedding space coordinates led to a considerable progress if the understanding of the relationship between criticality and hierarchy of Planck constants [17, 39]. Therefore the problem which led to the geometrization program of quantum TGD, also allowed to reduce the hierarchy of Planck constants introduced on basis of experimental evidence to the basic quantum TGD. One can say that the 3-surfaces at the ends of  $CD$  *resp.* wormhole throats are critical in the sense that they are unstable against splitting to  $n_b$  *resp.*  $n_a$  surfaces so that one obtains space-time surfaces which can be regarded as surfaces in  $n_a \times n_b$  fold covering of  $CD \times CP_2$ . This allows to understand why Planck constant is effectively replaced with  $n_a n_b \hbar_0$  and explains charge fractionization.

### Preferred extremal property as classical correlate for quantum criticality, holography, and quantum classical correspondence

The Noether currents assignable to the modified Dirac equation are conserved only if the first variation of the modified Dirac operator  $D_K$  defined by Kähler action vanishes. This is equivalent with the vanishing of the second variation of Kähler action -at least for the variations corresponding to dynamical symmetries having interpretation as dynamical degrees of freedom which are below measurement resolution and therefore effectively gauge symmetries.

The vanishing of the second variation in interior of  $X^4(X_l^3)$  is what corresponds exactly to quantum criticality so that the basic vision about quantum dynamics of quantum TGD would lead directly to a precise identification of the preferred extremals. Something which I should have noticed for more than decade ago! The question whether these extremals correspond to absolute minima remains however open.

The vanishing of second variations of preferred extremals -at least for deformations representing dynamical symmetries, suggests a generalization of catastrophe theory of Thom, where the rank of the matrix defined by the second derivatives of potential function defines a hierarchy of criticalities with the tip of bifurcation set of the catastrophe representing the complete vanishing of this matrix. In the recent case this theory would be generalized to infinite-dimensional context. There are three kind of variables now but quantum classical correspondence (holography) allows to reduce the types of variables to two.

1. The variations of  $X^4(X_l^3)$  vanishing at the intersections of  $X^4(X_l^3)$  with the light-like boundaries of causal diamonds  $CD$  would represent behavior variables. At least the vacuum extremals of Kähler action would represent extremals for which the second variation vanishes identically (the "tip" of the multi-furcation set).
2. The zero modes of Kähler function would define the control variables interpreted as classical degrees of freedom necessary in quantum measurement theory. By effective 2-dimensionality (or holography or quantum classical correspondence) meaning that the configuration space metric is determined by the data coming from partonic 2-surfaces  $X^2$  at intersections of  $X_l^3$  with boundaries of  $CD$ , the interiors of 3-surfaces  $X^3$  at the boundaries of  $CD$ s in rough sense correspond to zero modes so that there is indeed huge number of them. Also the variables characterizing 2-surface, which cannot be complexified and thus cannot contribute to the Kähler metric of configuration space represent zero modes. Fixing the interior of the 3-surface would mean fixing of control variables. Extremum property would fix the 4-surface and behavior variables if boundary conditions are fixed to sufficient degree.
3. The complex variables characterizing  $X^2$  would represent third kind of variables identified as quantum fluctuating degrees of freedom contributing to the configuration space metric. Quantum classical correspondence requires 1-1 correspondence between zero modes and these variables. This would be essentially holography stating that the 2-D "causal boundary"  $X^2$  of  $X^3(X^2)$  codes for the interior. Preferred extremal property identified as criticality condition would realize the holography by fixing the values of zero modes once  $X^2$  is known and give rise to the holographic correspondence  $X^2 \rightarrow X^3(X^2)$ . The values of behavior variables determined by extremization would fix then the space-time surface  $X^4(X_l^3)$  as a preferred extremal.
4. Clearly, the presence of zero modes would be absolutely essential element of the picture. Quantum criticality, quantum classical correspondence, holography, and preferred extremal property would all represent more or less the same thing. One must of course be very cautious since the boundary conditions at  $X_l^3$  involve normal derivative and might bring in delicacies forcing to modify the simplest heuristic picture.
5. There is a possible connection with the notion of self-organized criticality [62] introduced to explain the behavior of systems like sand piles. Self-organization in these systems tends to lead "to the edge". The challenge is to understand how system ends up to a critical state, which by definition is unstable. Mechanisms for this have been discovered and based on phase transitions occurring in a wide range of parameters so that critical point extends to a critical manifold. In TGD Universe quantum criticality suggests a universal mechanism of this kind. The criticality for the preferred extremals of Kähler action would mean that classically all systems are critical

in well-defined sense and the question is only about the degree of criticality. Evolution could be seen as a process leading gradually to increasingly critical systems. One must however distinguish between the criticality associated with the preferred extremals of Kähler action and the criticality caused by the spin glass like energy landscape like structure for the space of the maxima of Kähler function.

### 8.7.3 Handful of problems with a common resolution

Theory building could be compared to pattern recognition or to a solving a crossword puzzle. It is essential to make trials, even if one is aware that they are probably wrong. When stares long enough to the letters which do not quite fit, one suddenly realizes what one particular crossword must actually be and it is soon clear what those other crosswords are. In the following I describe an example in which this analogy is rather concrete. Let us begin by listing the problems.

1. The condition that modified Dirac action allows conserved charges leads to the condition that the symmetries in question give rise to vanishing second variations of Kähler action. The interpretation is as quantum criticality and there are good arguments suggesting that the critical symmetries define an infinite-dimensional super-conformal algebra forming an inclusion hierarchy related to a sequence of symmetry breakings closely related to a hierarchy of inclusions of hyper-finite factors of types  $II_1$  and  $III_1$ . This means an enormous generalization of the symmetry breaking patterns of gauge theories.

There is however a problem. For the translations of  $M^4$  and color hyper charge and isospin (more generally, any Cartan algebra of  $P \times SU(3)$ ) the resulting fermionic charges vanish. The trial for the crossword in absence of nothing better would be the following argument. By the abelianity of these charges the vanishing of quantal representation of four-momentum and color Cartan charges is not a problem and that classical representation of these charges or their super-conformal representation is enough.

2. Modified Dirac equation is satisfied in the interior of space-time surface always. This means that one does not obtain off-mass shell propagation at all in 4-D sense. Effective 2-dimensionality suggests that off mass shell propagation takes place along wormhole throats. The reduction to almost topological QFT with Kähler function reducing to Chern-Simons type action implied by the weak form of electric-magnetic duality and a proper gauge choice for the induced Kähler gauge potential implies effective 3-dimensionality at classical level. This inspires the question whether Chern-Simons type action resulting from an instanton term could define the modified gamma matrices appearing in the 3-D modified Dirac action associated with wormhole throats and the ends of the space-time sheet at the boundaries of  $CD$ .

The assumption that modified Dirac equation is satisfied also at the ends and wormhole throats would realize effective 2-dimensionality as conditions on the boundary values of the 4-D Dirac equation but would not allow off mass shell propagation. Therefore one could argue that effective 2-dimensionality in this sense holds true only for incoming and outgoing particles.

The reduction of Kähler action to Chern-Simons term together with effective 2-dimensionality suggests that Kähler function corresponds to an extremum of this action with a constraint term due to the weak form of electric-magnetic duality. Without this term the extrema of Chern-Simons action have 2-D  $CP_2$  projection not consistent with the weak form of electric-magnetic duality. The extrema are not maxima of Kähler function: they are obtained by varying with respect to tangent space data of the partonic 2-surfaces. Lagrange multiplier term induces also to the modified gamma matrices a contribution which is of the same general form as for any general coordinate invariant action.

3. Quantum classical correspondence requires that the geometry of the space-time sheet should correlate with the quantum numbers characterizing positive (negative) energy part of the quantum state. One could argue that by multiplying WCW spinor field by a suitable phase factor depending on the charges of the state, the correspondence follows from stationary phase approximation. This crossword looks unconvincing. A more precise connection between quantum and classical is required.

4. In quantum measurement theory classical macroscopic variables identified as degrees of freedom assignable to the interior of the space-time sheet correlate with quantum numbers. Stern Gerlach experiment is an excellent example of the situation. The generalization of the imbedding space concept by replacing it with a book like structure implies that imbedding space geometry at given page and for given causal diamond ( $CD$ ) carries information about the choice of the quantization axes (preferred plane  $M^2$  of  $M^4$  resp. geodesic sphere of  $CP_2$  associated with singular covering/factor space of  $CD$  resp.  $CP_2$  ). This is a big step but not enough. Modified Dirac action as such does not seem to provide any hint about how to achieve this correspondence. One could even wonder whether dissipative processes or at least the breaking of  $T$  and  $CP$  characterizing the outcome of quantum jump sequence should have space-time correlate. How to achieve this?

Each of these problems makes one suspect that something is lacking from the modified Dirac action: there should exist an elegant manner to feed information about quantum numbers of the state to the modified Dirac action in turn determining vacuum functional as an exponent Kähler function identified as Kähler action for the preferred extremal assumed to be dictated by by quantum criticality and equivalently by hyper-quaternionicity.

This observation leads to what might be the correct question. Could a general coordinate invariant and Poincare invariant modification of the modified Dirac action consistent with the vacuum degeneracy of Kähler action allow to achieve this information flow somehow? In the following one manner to achieve this modification is discussed. It must be however emphasized that I have considered many alternatives and the one discussed below finds its justification only from the fact that it is the simplest one found hitherto.

### The identification of the measurement interaction term

The idea is simple: add to the modified Dirac action a term which is analogous to the Dirac action in  $M^4 \times CP_2$ . One can consider two options according to whether the term is assigned with interior or with a 3-D light-like 3-surface and last years have been continual argumentation about which option is the correct one.

1. The additional term would be essentially the analog of the ordinary Dirac action at the imbedding space level.

$$\begin{aligned}
 S_{int} &= \sum_A Q_A \int \bar{\Psi} g^{AB} j_{B\alpha} \hat{\Gamma}^\alpha \Psi \sqrt{g} d^4x \ , \\
 g_{AB} &= j_A^k h_{kl} j_B^l \ , \quad g^{AB} g_{BC} = \delta_C^A \ , \\
 j_{B\alpha} &= j_B^k h_{kl} \partial_\alpha h^l \ .
 \end{aligned} \tag{8.7.5}$$

The sum is over isometry charges  $Q_A$  interpreted as quantal charges and  $j^{Ak}$  denotes the Killing vector field of the isometry.  $g^{AB}$  is the inverse of the tensor  $g_{AB}$  defined by the local inner products of Killing vectors fields in  $M^4$  and  $CP_2$ . The space-time projections of the Killing vector fields  $j_{B\alpha}$  have interpretation as classical color gauge potentials in the case of  $SU(3)$ . In  $M^4$  degrees of freedom and for Cartan algebra of  $SU(3)$   $j_{B\alpha}$  reduce to the gradients of linear  $M^4$  coordinates in case of translations. Modified gamma matrices could be assigned to Kähler action or its instanton term or with Chern-Simons action.

2. The added term containing quantal charges must make sense in the modified Dirac equation. This requires that the physical state is an eigenstate of momentum and color charges. This allows only color hyper-charge and color isospin so that there is no hope of obtaining exactly the stringy formula for the propagator. The modified Dirac operator is given by

$$\begin{aligned}
 D &= D + D_{int} = \hat{\Gamma}^\alpha D_\alpha + \hat{\Gamma}^\alpha \sum_A Q_A g^{AB} j_{B\alpha} \\
 &= \hat{\Gamma}^\alpha (D_\alpha + \partial_\alpha \phi) \ , \quad \partial_\alpha \phi = \sum_A Q_A g^{AB} j_{B\alpha} \ .
 \end{aligned} \tag{8.7.6}$$

The conserved fermionic isometry currents are

$$J^{A\alpha} = \sum_B Q_B \bar{\Psi} g^{BC} j_C^k h_{kl} j_A^l \hat{\Gamma}^\alpha \Psi = Q_A \bar{\Psi} \hat{\Gamma}^\alpha \Psi . \quad (8.7.7)$$

Here the sum is restricted to a Cartan sub-algebra of Poincare group and color group.

3. An important restriction is that by four-dimensionality of  $M^4$  and  $CP_2$  the rank of  $g_{AB}$  is 4 so that  $g^{AB}$  exists only when one considers only four conserved charges. In the case of  $M^4$  this is achieved by a restriction to translation generators  $Q_A = p_A$ .  $g_{AB}$  reduces to Minkowski metric and Killing vector fields are constants. The Cartan sub-algebra could be however replaced by any four commuting charges in the case of Poincare algebra (second one corresponds to time translation plus translation, boost and rotation in given direction). In the case of  $SU(3)$  one must restrict the consideration either to  $U(2)$  sub-algebra or its complement.  $CP_2 = SU(3)/SU(2)$  decomposition would suggest the complement as the correct choice. One can indeed build the generators of  $U(2)$  as commutators of the charges in the complement. On the other hand, Cartan algebra is enough in free field construction of Kac-Moody algebras.
4. What is remarkable that for the Cartan algebra of  $M^4 \times SU(3)$  the measurement interaction term is equivalent with the addition of gauge part  $\partial_\alpha \phi$  of the induced Kähler gauge potential  $A_\alpha$ . This property might hold true for any measurement interaction term. This also suggests that the change in Kähler function is only the transformation  $A_\alpha \rightarrow A_\alpha + \partial_\alpha \phi$ ,  $\partial_\alpha \phi = \sum_A Q_A g^{AB} j_{B\alpha}$ .
5. Recall that the  $\phi$  for  $U(1)$  gauge transformations respecting the vanishing of the Coulomb interaction term of Kähler action [17, 39] the current  $j_K^\alpha \phi$  is conserved, which implies that the change of the Kähler action is trivial. These properties characterize the gauge transformations respecting the gauge in which Coulombic interaction term of the Kähler action vanishes so that Kähler action reduces to 3-dimensional generalized Chern-Simons term if the weak form of electric-magnetic duality holds true guaranteeing among other things that the induced Kähler field is not too singular at the wormhole throats [17, 39]. The scalar function assignable to the measurement interaction terms does not have this property and this is what is expected since it must change the value of the Kähler function and therefore affect the preferred extremal.

Concerning the precise form of the modified Dirac action the basic clue comes from the observation that the measurement interaction term corresponds to the addition of a gauge part to the induced  $CP_2$  Kähler gauge potential  $A_\alpha$ . The basic question is what part of the action one assigns the measurement interaction term.

1. One could define the measurement interaction term using either the four-dimensional instanton term or its reduction to Chern-Simons terms. The part of Dirac action defined by the instanton term in the interior does not reduce to a 3-D form unless the Dirac equation defined by the instanton term is satisfied : this cannot be true. Hence Chern-Simons term is the only possibility. The classical field equations associated with the Chern-Simons term cannot be assumed since they would imply that the  $CP_2$  projection of the wormhole throat and space-like 3-surface are 2-dimensional. This might hold true for space-like 3-surfaces at the ends of  $CD$  and incoming and outgoing particles but not for off mass shell particles. This is however not a problem since  $D_\alpha \hat{\Gamma}_{C-S}^\alpha$  for the modified gamma matrices for Chern-Simons action does not contain second derivatives. This is due to the topological character of this term. For Kähler action second derivatives are present and this forces extremal property of Kähler action in the modified Dirac Kähler action so that classical physics results as a consistency condition.
2. If one assigns measurement interaction term to both  $D_K$  and  $D_{C-S}$  the measurement interaction corresponds to a mere gauge transformation for  $AS_\alpha$  and is trivial. Therefore it seems that one must choose between  $D_K$  or  $D_{C-S}$ . At least formally the measurement interaction term associated with  $D_K$  is gauge equivalent with its negative  $D_{C-S}$ . The addition of the measurement interaction to  $D_K$  changes the basis for the 4-D induced spinors by the phase  $\exp(-iQK\phi)$  and therefore also the basis for the generalized eigenstates of  $D_{C-S}$  and this brings in effectively the measurement interaction term affecting the Dirac determinant.

3. The definition of Dirac determinant should be in terms of Chern-Simons action induced by the instanton term and identified as a product of the generalized eigenvalues of this operator. The modified Dirac equation for  $\Psi$  is consistent with that for its conjugate if the coefficient of the instanton term is real and one uses the Dirac action  $\bar{\Psi}(D^\rightarrow - D^\leftarrow)\Psi$  giving modified Dirac equation as

$$D_{C-S}\Psi + \frac{1}{2}(D_\alpha \hat{\Gamma}_{C-S}^\alpha)\Psi = 0 . \quad (8.7.8)$$

As noticed, the divergence of gamma matrices does not contain second derivatives in the case of Chern-Simons action. In the case of Kähler action they occur unless field equations equivalent with the vanishing of the divergence term are satisfied.

Also the fermionic current is conserved in this case, which conforms with the idea that fermions flow along the light-like 3-surfaces. If one uses the action  $\bar{\Psi}D^\rightarrow\Psi$ ,  $\bar{\Psi}$  does not satisfy the Dirac equation following from the variational principle and fermion current is not conserved. Also if the Chern-Simons term is imaginary - as a naive idea about dissipation would suggest- the Dirac equation fails to be consistent with the conjugation.

4. Off mass shell states appear in the lines of the generalized Feynman diagrams and for these  $D_{C-S}$  cannot annihilate the spinor field. The generalized eigen modes of  $D_{C-S}$  should be such that one obtains the counterpart of Dirac propagator which is purely algebraic and does not therefore depend on the coordinates of the throat. This is satisfied if the generalized eigenvalues are expressible in terms of covariantly constant combinations of gamma matrices and here only  $M^4$  gamma matrices are possible. Therefore the eigenvalue equation reads as

$$D\Psi = \lambda^k \gamma_k \Psi , \quad D = D_{C-S} + D_\alpha \hat{\Gamma}_{C-S}^\alpha , \quad D_{C-S} = \hat{\Gamma}_{C-S}^\alpha D_\alpha . \quad (8.7.9)$$

Here the covariant derivatives  $D_\alpha$  contain the measurement interaction term as an apparent gauge term. Covariant constancy allows to take the square of this equation and one has

$$(D^2 + [D, \lambda^k \gamma_k])\Psi = \lambda^k \lambda_k \Psi . \quad (8.7.10)$$

The commutator term is analogous to magnetic moment interaction. The generalized eigenvalues correspond to  $\lambda = \sqrt{\lambda^k \lambda_k}$  and Dirac determinant is defined as a product of the eigenvalues.  $\lambda$  is completely analogous to mass. For incoming lines this mass would vanish so that all incoming particles irrespective their actual quantum numbers would be massless in this sense and the propagator is indeed that for a massless particle. Note that the eigen modes define the boundary values for the solutions of  $D_K\Psi = 0$  so that the values of  $\lambda$  indeed define the counterpart of the momentum space.

This transmutation of massive particles to effectively massless ones might make possible the application of the twistor formalism as such in TGD framework [40].  $N = 4$  SUSY is one of the very few gauge theory which might be UV finite but it is definitely unphysical due to the masslessness of the basic quanta. Could the resolution of the interpretational problems be that the four-momenta appearing in this theory do not directly correspond to the observed four-momenta?

### Objections

The alert reader has probably raised several critical questions. Doesn't the need to solve  $\lambda_k$  as functions of incoming quantum numbers plus the need to construct the measurement interactions makes the practical application of the theory hopelessly difficult? Could the resulting pseudo-momentum  $\lambda_k$  correspond to the actual four-momentum? Could one drop the measurement interaction term altogether and assume that the quantum classical correspondence is through the identification of the eigenvalues as the four-momenta of the on mass shell particles propagating at the wormhole throats? Could one indeed assume that the momenta have a continuous spectrum and thus do not depend on the boundary conditions at all? Usually the thinking is just the opposite and in the general case would lead to singular eigen modes.

1. Only the information about four-momentum would be fed into the space-time geometry. TGD however allows much more general measurement interaction terms and it would be very strange if the space-time geometry would not correlate also with the other quantum numbers. Mass formulas would of course contain information also about other quantum numbers so that this claim is not quite justified.
2. Number theoretic considerations and also the construction of octonionic variant of Dirac equation [16, 54] force the conclusion that the spectrum of pseudo four-momentum is restricted to a preferred plane  $M^2$  of  $M^4$  and this excludes the interpretation of  $\lambda^k$  as a genuine four-momentum. It also improves the hopes that the sum over pseudo-momenta does not imply divergences.
3. Dirac determinant would depend on the mass spectrum only and could not be identified as exponent of Kähler function. Note that the original guideline was the dream about stringy propagators. This is achieved for  $\lambda_A \lambda^A = n$  in suitable units. This spectrum would of course also imply that Dirac determinant defined in terms of  $\zeta$  function regularization is independent of the space-time surface and could not be identified with the exponent of Kähler function. One must of course take the identification of exponent of Kähler function as Dirac determinant as an additional conjecture which is not necessary for the calculation of Kähler function if the weak form of electric-magnetic duality is accepted.
4. All particles would behave as massless particles and this would not be consistent with the proposed Feynman diagrammatics inspired by zero energy ontology. Since wormhole throats carry on mass shell particles with positive or negative energy so that the net momentum can be also space-like propagators diverge for massless particles. One might overcome this problem by assuming small thermal mass (from p-adic thermodynamics [4]) and this is indeed assumed to reduce the number of generalized Feynman diagrams contributing to a given reaction to finite number.

Second objection of the skeptic reader relates to the delicacies of  $U(1)$  gauge invariance. The modified Dirac action seems to break gauge symmetries and this breaking of gauge symmetry is absolutely essential for the dependence of the Dirac determinant on the quantum numbers. It however seems that this breaking of gauge invariance is only apparent.

1. One must distinguish between genuine  $U(1)$  gauge transformations carried out for the induced Kähler gauge potential  $A_\alpha$  and apparent gauge transformations of the Kähler gauge potential  $A_k$  of  $S^2 \times CP_2$  induced by symplectic transformations deforming the space-time surface and affect also induced metric. This delicacy of  $U(1)$  gauge symmetry explains also the apparent breaking of  $U(1)$  gauge symmetry of Chern-Simons Dirac action due to the presence of explicit terms  $A_k$  and  $A_\alpha$ .
2.  $CP_2$  Kähler gauge potential is obtained in complex coordinates from Kähler function as  $(K_{\xi^i}, K_{\bar{\xi}^i}) = (\partial_{\xi^i} K, -\partial_{\bar{\xi}^i} K)$ . Gauge transformations correspond to the additions  $K \rightarrow K + f + \bar{f}$ , where  $f$  is a holomorphic function. Kähler gauge potential has a unique gauge in which the Kähler function of  $CP_2$  is  $U(2)$  invariant and contains no holomorphic part. Hence  $A_k$  is defined in a preferred gauge and is a gauge invariant quantity in this sense. Same applies to  $S^2$  part of the Kähler potential if present.

3.  $A_\alpha$  should be also gauge invariant under gauge transformation respecting the vanishing of Coulombic interaction energy. The allowed gauge transformations  $A_\alpha \rightarrow A_\alpha + \partial_\alpha \phi$  must satisfy  $D_\alpha(j_K^\alpha \phi) = 0$ . If the scalar function  $\phi$  reduces to constant at the wormhole throats and at the ends of the space-time surface  $D_{C-S}$  is gauge invariant. The gauge transformations for which  $\phi$  does not satisfy this condition are identified as representations of critical deformations of space-time surface so that the change of  $A_\alpha$  would code for this kind of deformation and indeed affect the modified Dirac operator and Kähler function (the change would be due to the change of zero modes).

### Some details about the modified Dirac equation defined by Chern-Simons action

First some general comments about  $D_{C-S}$  are in order.

1. Quite generally, there is vacuum avoidance in the sense that  $\Psi$  must vanish in the regions where the modified gamma matrices vanish. A physical analogy for the system consider is a charged particle in an external magnetic field. The effective metric defined by the anti-commutators of the modified gamma matrices so that standard intuitions might not help much. What one would naively expect would be analogs of bound states in magnetic field localized into regions inside which the magnetic field is non-vanishing.
2. If only  $CP_2$  Kähler form appears in the Kähler action, the modified Dirac action defined by the Chern-Simons term is non-vanishing only when the dimension of the  $CP_2$  projection of the 3-surface is  $D(CP_2) \geq 2$  and the induced Kähler field is non-vanishing. This conforms with the properties of Kähler action. The solutions of the modified Dirac equation with a vanishing eigenvalue  $\lambda$  would naturally correspond to incoming and outgoing particles.
3.  $D(CP_2) \leq 2$  is apparently inconsistent with the weak form of electric-magnetic duality requiring  $D(CP_2) = 3$ . The conclusion is wrong: the variations of Chern-Simons action are subject to the constraint that electric-magnetic duality holds true expressible in terms of Lagrange multiplier term

$$\int \Lambda_\alpha (J^{n\alpha} - K \epsilon^{n\alpha\beta\gamma} J_{\beta\gamma}) \sqrt{g_4} d^3x . \quad (8.7.11)$$

This gives a constraint force to the field equations and also a dependence on the induced 4-metric so that one has only almost topological QFT. This term also guarantees the  $M^4$  part of WCW Kähler metric is non-trivial. The condition that the ends of space-time sheet and wormhole throats are extrema of Chern-Simons action subject to the electric-magnetic duality constraint is strongly suggested by the effective 2-dimensionality.

4. Electric-magnetic duality constraint gives an additional term to the Dirac action determined by the Lagrange multiplier term. This term gives an additional contribution to the modified gamma matrices having the same general form as coming from Kähler action and Chern-Simons action. In the following this term will not be considered. For the extremals it only affects the modified gamma matrices and leaves the general form of solutions unchanged.

In absence of the constraint from the weak form of electric-magnetic duality the explicit expression of  $D_{C-S}$  is given by

$$\begin{aligned} D &= \hat{\Gamma}^\mu D_\mu + \frac{1}{2} D_\mu \hat{\Gamma}^\mu , \\ \hat{\Gamma}^\mu &= \frac{\partial L_{C-S}}{\partial_\mu h^k} \Gamma_k = \epsilon^{\mu\alpha\beta} [2J_{kl} \partial_\alpha h^l A_\beta + J_{\alpha\beta} A_k] \Gamma^k D_\mu , \\ D_\mu \hat{\Gamma}^\mu &= B_K^\alpha (J_{k\alpha} + \partial_\alpha A_k) , \\ B_K^\alpha &= \epsilon^{\alpha\beta\gamma} J_{\beta\gamma} , \quad J_{k\alpha} = J_{kl} \partial_\alpha s^l , \quad \hat{\epsilon}^{\alpha\beta\gamma} = \epsilon^{\alpha\beta\gamma} \sqrt{g_3} . \end{aligned} \quad (8.7.12)$$



Note  $\hat{\epsilon}^{\alpha\beta\gamma}$  = does not depend on the induced metric.

The extremals of Chern-Simons action without constraint term satisfy

$$B_K^\alpha (J_{kl} + \partial_l A_k) \partial_\alpha h^l = 0, \quad B_K^\alpha = \epsilon^{\alpha\beta\gamma} J_{\beta\gamma}. \quad (8.7.13)$$

For a non-vanishing Kähler magnetic field  $B^\alpha$  these equations hold true when  $CP_2$  projection is 2-dimensional. This implies a vanishing of Chern-Simons action in absence of the constraint term realizing electric-magnetic duality, which is therefore absolutely essential in order for having a non-vanishing WCW metric.

Consider now the situation in more detail.

1. Suppose that one can assign a global coordinate to the flow lines of the Kähler magnetic field. In this case one might hope that ordinary intuitions about motion in constant magnetic field might be helpful. The repetition of the discussion of [17, 39] leads to the condition  $B \wedge dB = 0$  implying that a Beltrami flow for which current flows along the field lines and Lorentz forces vanishes is in question. This need not be the generic case.
2. With this assumption the modified Dirac operator reduces to a one-dimensional Dirac operator

$$D = \hat{\epsilon}^{r\alpha\beta} [2J_{kl} \partial_\alpha h^l A_\beta + J_{\alpha\beta} A_k] \Gamma^k D_r. \quad (8.7.14)$$

3. The general solutions of the modified Dirac equation is covariantly constant with respect to the coordinate  $r$ :

$$D_r \Psi = 0. \quad (8.7.15)$$

The solution to this condition can be written immediately in terms of a non-integrable phase factor  $P \exp(i \int A_r dr)$ , where integration is along curve with constant transversal coordinates. If  $\hat{\Gamma}^v$  is light-like vector field also  $\hat{\Gamma}^v \Psi_0$  defines a solution of  $D_{C-S}$ . This solution corresponds to a zero mode for  $D_{C-S}$  and does not contribute to the Dirac determinant. Note that the dependence of these solutions on transversal coordinates of  $X_l^3$  is arbitrary.

4. The formal solution associated with a general eigenvalue can be constructed by integrating the eigenvalue equation separately along all coordinate curves. This makes sense if  $r$  indeed assigned to light-like curves indeed defines a global coordinate. What is strange that there is no correlation between the behaviors with respect longitudinal coordinate and transversal coordinates. System would be like a collection of totally uncorrelated point like particles reflecting the flow of the current along flux lines. It is difficult to say anything about the spectrum of the generalized eigenvalues in this case: it might be that the boundary conditions at the ends of the flow lines fix the allowed values of  $\lambda$ . Clearly, the Beltrami flow property is what makes this case very special.

### A connection with quantum measurement theory

It is encouraging that isometry charges and also other charges could make themselves visible in the geometry of space-time surface as they should by quantum classical correspondence. This suggests an interpretation in terms of quantum measurement theory.

1. The interpretation resolves the problem caused by the fact that the choice of the commuting isometry charges is not unique. Cartan algebra corresponds naturally to the measured observables. For instance, one could choose the Cartan algebra of Poincare group to consist of energy and momentum, angular momentum and boost (velocity) in particular direction as generators of the Cartan algebra of Poincare group. In fact, the choices of a preferred plane  $M^2 \subset M^4$  and geodesic sphere  $S^2 \subset CP_2$  allowing to fix the measurement sub-algebra to a high degree are implied by the replacement of the imbedding space with a book like structure forced by the hierarchy of Planck constants. Therefore the hierarchy of Planck constants seems to be required by quantum measurement theory. One cannot overemphasize the importance of this connection.

2. One can add similar couplings of the net values of the measured observables to the currents whose existence and conservation is guaranteed by quantum criticality. It is essential that one maps the observables to Cartan algebra coupled to critical current characterizing the observable in question. The coupling should have interpretation as a replacement of the induced Kähler gauge potential with its gauge transform. Quantum classical correspondence encourages the identification of the classical charges associated with Kähler action with quantal Cartan charges. This would support the interpretation in terms of a measurement interaction feeding information to classical space-time physics about the eigenvalues of the observables of the measured system. The resulting field equations remain second order partial differential equations since the second order partial derivatives appear only linearly in the added terms.
3. What about the space-time correlates of electro-weak charges? The earlier proposal explains this correlation in terms of the properties of quantum states: the coupling of electro-weak charges to Chern-Simons term could give the correlation in stationary phase approximation. It would be however very strange if the coupling of electro-weak charges with the geometry of the space-time sheet would not have the same universal description based on quantum measurement theory as isometry charges have.
  - (a) The hint as how this description could be achieved comes from a long standing un-answered question motivated by the fact that electro-weak gauge group identifiable as the holonomy group of  $CP_2$  can be identified as  $U(2)$  subgroup of color group. Could the electro-weak charges be identified as classical color charges? This might make sense since the color charges have also identification as fermionic charges implied by quantum criticality. Or could electro-weak charges be only represented as classical color charges by mapping them to classical color currents in the measurement interaction term in the modified Dirac action? At least this question might make sense.
  - (b) It does not make sense to couple both electro-weak and color charges to the same fermion current. There are also other fundamental fermion currents which are conserved. All the following currents are conserved.

$$\begin{aligned}
 J^\alpha &= \bar{\Psi} O \hat{\Gamma}^\alpha \Psi \\
 O &\in \{1, J \equiv J_{kl} \Sigma^{kl}, \Sigma_{AB}, \Sigma_{AB} J\} .
 \end{aligned} \tag{8.7.16}$$

Here  $J_{kl}$  is the covariantly constant  $CP_2$  Kähler form and  $\Sigma_{AB}$  is the (also covariantly) constant sigma matrix of  $M^4$  (flatness is absolutely essential).

- (c) Electromagnetic charge can be expressed as a linear combination of currents corresponding to  $O = 1$  and  $O = J$  and vectorial isospin current corresponds to  $J$ . It is natural to couple of electromagnetic charge to the the projection of Killing vector field of color hyper charge and coupling it to the current defined by  $O_{em} = a + bJ$ . This allows to interpret the puzzling finding that electromagnetic charge can be identified as anomalous color hyper-charge for induced spinor fields made already during the first years of TGD. There exist no conserved axial isospin currents in accordance with CVC and PCAC hypothesis which belong to the basic stuff of the hadron physics of old days.
- (d) Color charges would couple naturally to lepton and quark number current and the  $U(1)$  part of electro-weak charges to the  $n = 1$  multiple of quark current and  $n = 3$  multiple of the lepton current (note that leptons *resp.* quarks correspond to  $t = 0$  *resp.*  $t = \pm 1$  color partial waves). If electro-weak *resp.* couplings to  $H$ -chirality are proportional to 1 *resp.*  $\Gamma_9$ , the fermionic currents assigned to color and electro-weak charges can be regarded as independent. This explains why the possibility of both vectorial and axial couplings in 8-D sense does not imply the doubling of gauge bosons.
- (e) There is also an infinite variety of conserved currents obtained as the quantum critical deformations of the basic fermion currents identified above. This would allow in principle to couple an arbitrary number of observables to the geometry of the space-time sheet by mapping them to Cartan algebras of Poincare and color group for a particular conserved quantum critical current. Quantum criticality would therefore make possible classical space-time correlates of observables necessary for quantum measurement theory.

- (f) The coupling constants associated with the deformations would appear in the couplings. Quantum criticality ( $K \rightarrow K + f + \bar{f}$  condition) should predict the spectrum of these couplings. In the case of momentum the coupling would be proportional to  $\sqrt{G/\hbar_0} = kR/\hbar_0$  and  $k \sim 2^{11}$  should follow from quantum criticality. p-Adic coupling constant evolution should follow from the dependence on the scale of  $CD$  coming as powers of 2.
4. Quantum criticality implies fluctuations in long length and time scales and it is not surprising that quantum criticality is needed to produce a correlation between quantal degrees of freedom and macroscopic degrees of freedom. Note that quantum classical correspondence can be regarded as an abstract form of entanglement induced by the entanglement between quantum charges  $Q_A$  and fermion number type charges assignable to zero modes.
  5. Space-time sheets can have an arbitrary number of wormhole contacts so that the interpretation in terms of measurement theory coupling short and long length scales suggests that the measurement interaction terms are localizable at the wormhole throats. This would favor Chern-Simons term or possibly instanton term if reducible to Chern-Simons terms. The breaking of CP and T might relate to the fact that state function reductions performed in quantum measurements indeed induce dissipation and breaking of time reversal invariance.
  6. The experimental arrangement quite concretely splits the quantum state to a quantum superposition of space-time sheets such that each eigenstate of the measured observables in the superposition corresponds to different space-time sheet already before the realization of state function reduction. This relates interestingly to the question whether state function reduction really occurs or whether only a branching of wave function defined by WCW spinor field takes place as in multiverse interpretation in which different branches correspond to different observers. TGD inspired theory consciousness requires that state function reduction takes place. Maybe multiversalist might be able to find from this picture support for his own beliefs.
  7. One can argue that "free will" appears not only at the level of quantum jumps but also as the possibility to select the observables appearing in the modified Dirac action dictating in turn the Kähler function defining the Kähler metric of WCW representing the "laws of physics". This need not to be the case. The choice of  $CD$  fixes  $M^2$  and the geodesic sphere  $S^2$ : this does not fix completely the choice of the quantization axis but by isometry invariance rotations and color rotations do not affect Kähler function for given  $CD$  and for a given type of Cartan algebra. In  $M^4$  degrees of freedom the possibility to select the observables in two manners corresponding to linear and cylindrical Minkowski coordinates could imply that the resulting Kähler functions are different. The corresponding Kähler metrics do not differ if the real parts of the Kähler functions associated with the two choices differ by a term  $f(Z) + \bar{f}(\bar{Z})$ , where  $Z$  denotes complex coordinates of WCW, the Kähler metric remains the same. The function  $f$  can depend also on zero modes. If this is the case then one can allow in given  $CD$  superpositions of WCW spinor fields for which the measurement interactions are different. This condition is expected to pose non-trivial constraints on the measurement action and quantize coupling parameters appearing in it.

### New view about gravitational mass and matter antimatter asymmetry

The physical interpretation of the additional term in the modified Dirac action might force quite a radical revision of the ideas about matter and antimatter.

1. The term  $p_A \partial_\alpha m^A$  contracted with the fermion current is analogous to a gauge potential coupling to fermion number. Since the additional terms in the modified Dirac operator induce stringy propagation, a natural interpretation of the coupling to the induced spinor fields is in terms of gravitation. One might perhaps say that the measurement of four momentum induces gravitational interaction. Besides momentum components also color charges take the role of gravitational charges. As a matter fact, any observable takes this role via coupling to the projections of Killing vector fields of Cartan algebra. The analogy of color interactions with gravitational interactions is indeed one of the oldest ideas in TGD.

2. The coupling to four-momentum is through fermion number (both quark number and lepton number). For states with a vanishing fermion number isometry charges therefore vanish. In this framework matter antimatter asymmetry would be due to the fact that matter (antimatter) corresponds to positive (negative) energy parts of zero energy states for massive systems so that the contributions to the net gravitational four-momentum are of same sign. Could antimatter be unobservable to us because it resides at negative energy space-time sheets? As a matter fact, I proposed already years ago that gravitational mass is essentially the magnitude of the inertial mass but gave up this idea.
3. Bosons do not couple at all to gravitation if they are purely local bound states of fermion and anti-fermion at the same space-time sheet (say represented by generators of super Kac-Moody algebra). Therefore the only possible identification of gauge bosons is as wormhole contacts. If the fermion and anti-fermion at the opposite throats of the contact correspond to positive and negative energy states the net gravitational energy receives a positive contribution from both sheets. If both correspond to positive (negative) energy the contributions to the net four-momentum have opposite signs. It is not yet clear which identification is the correct one.

### 8.7.4 Generalized eigenvalues of $D_{C-S}$ and General Coordinate Invariance

The fixing of light-like 3-surface to be the wormhole throat at which the signature of induced metric changes from Minkowskian to Euclidian corresponds to a convenient fixing of gauge. General Coordinate Invariance however requires that any light-like surface  $Y_t^3$  parallel to  $X_t^3$  in the slicing is equally good choice. In particular, it should give rise to same Kähler metric but not necessarily the same exponent of Kähler function identified as the product of the generalized eigenvalues of  $D_{C,S}$  at  $Y_t^3$ .

General Coordinate Invariance requires that the components of Kähler metric of configuration space defined in terms of Kähler function as

$$G_{k\bar{l}} = \partial_k \partial_{\bar{l}} K = \sum_i \partial_k \partial_{\bar{l}} \lambda_i$$

remain invariant under this flow. Here complex coordinate are of course associated with the configuration space. This is the case if the flow corresponds to the addition of sum of holomorphic function  $f(z)$  and its conjugate  $\bar{f}(z)$  which is anti-holomorphic function to  $K$ . This boils down to the scaling of eigenvalues  $\lambda_i$  by

$$\lambda_i \rightarrow \exp(f_i(z) + \overline{f_i(z)}) \lambda_i \quad . \quad (8.7.17)$$

If the eigenvalues are interpreted as vacuum conformal weights, general coordinate transformations correspond to a spectral flow scaling the eigenvalues in this manner. This in turn would induce spectral flow of ground state conformal weights if the squares of  $\lambda_i$  correspond to ground state conformal weights.

## 8.8 Representations for the configuration space gamma matrices in terms of super-symplectic charges at light cone boundary

During years I have considered several variants for the representation of WCW gamma matrices and each of these proposals has had some weakness.

1. One question has been whether the Noether currents assignable to WCW Hamiltonians should play any role in the construction or whether one can use only the generalization of flux Hamiltonians. Magnetic flux Hamiltonians do not refer to the space-time dynamics implying genuine 2-dimensionality, which is a catastrophe. If the sum of the magnetic and electric flux Hamiltonians and the weak form of self duality is assumed effective 2-dimensionality is achieved. The challenge is to identify the super-partners of the flux Hamiltonians and postulate correct anti-commutation relations for the induced spinor fields to achieve anti-commutation to flux Hamiltonians.

2. In the original proposal for WCW gamma matrices the covariantly constant right handed spinors played a key role. This led to interpretational problems with quarks. Are they needed at all or do leptons and quarks define somehow equivalent representations? I discovered only recently a brutally simple but deadly objection against this approach: the resulting WCW gamma matrices do not generate all WCW spinors from Fock vacuum. Therefore all modes of the induced spinor fields must be used.

The latter objection forced to realize that nothing is changed if one replaces the covariantly constant right handed neutrino with the collection of quark spinor modes  $q_n$  resp. leptonic spinor modes  $L_n$  multiplied by the contractions  $J_{A+} = j^{Ak}\Gamma_k$  resp. its conjugate  $J_{A-} = j^{A\bar{k}}\Gamma_{\bar{k}}$ . It is essential that only of these contractions is used for a given  $H$ -chirality.

1. If the anti-commutator of the spinor fields is of form  $J = J_{\alpha\beta}\epsilon^{\alpha\beta}\delta^2(x,y)$  at  $X^2$  for magnetic flux Hamiltonians and appropriate generalization of this from the sum of magnetic and electric flux Hamiltonians, the "half-Poisson bracket"  $\partial_k H_A J^{k\bar{l}} \partial_{\bar{l}} H_B$  from the quark spinor field and its conjugate as anti-commutator from the leptonic spinor field can combine to the full Poisson bracket if the remaining factors are identical.
2. This happens if the quark modes and lepton-like modes are in 1-1 correspondence and the contractions of the eigenmodes resulting in the contraction satisfy  $\bar{q}_m \gamma^0 q_n = \bar{L}_m \gamma^0 L_n = \Phi_{mn}$ . The resulting Hamiltonians define an  $X^2$ -local algebra: that this extension is needed became obvious already earlier. A stronger condition is that the spinors can be expressed in terms of scalar function bases  $\{\Phi_m\}$  so that one would have  $q_{m,i} = \{\Phi_m\}q_i$  and  $L_{m,i} = \{\Phi_m\}L_i$  so that one would assign to the super-currents the local Hamiltonians  $\Phi_m H_A$ .
3. One could of course still argue that it is questionable to use sum of quark and lepton gamma matrices since this the resulting objects to not have a well defined fermion number and cannot be used to generate physical states from vacuum. How seriously this argument should be taken is not clear to me at this moment. One could of course consider also a scenario in which one divides leptonic (or quark) modes to two classes analogous to quark and lepton modes and uses  $J_{A+}$  resp.  $J_{A-}$  for these two classes.

In any case, the recent view is that all modes of the induced spinor fields must be used, that lepton-quark degeneracy is absolutely essential for the construction of WCW geometry, and that the original super-symmetrization of the flux Hamiltonians combined with weak electric-magnetic duality is the correct approach. There are also fermionic Noether charges and their super counterparts implied by the criticality but these can be assigned with zero modes.

This section represents both the earlier version of the construction of configuration gamma matrices and the construction introducing explicitly the notion of finite measurement resolution. The motivation for the latter option is that if the number the generalized eigen modes of modified Dirac operator is finite, strictly local anti-commutation relations fail unless one restricts the set of points included to that corresponding to number theoretic braid. In the following integral expressions for configuration space Hamiltonians and their super-counterparts are derived first. After that the motivations for replacing integrals with sums are discussed and the expressions for Hamiltonians and super Hamiltonians are derived.

### 8.8.1 Magnetic flux representation of the super-symplectic algebra

In order to derive representation of the configuration space gamma matrices and super charges it is good to restate the basic facts about the magnetic flux representation of the configuration space gamma matrices using the original approach based on 2-dimensional integrals.

### 8.8.2 Quantization of the modified Dirac action and configuration space geometry

The quantization of the modified Dirac action involves a fusion of various number theoretical ideas. The naive approach would be based on standard canonical quantization of induced spinor fields by posing anti-commutation relations between  $\Psi$  and canonical momentum density  $\partial L/\partial(\partial_t\Psi)$ .

### Generalized magnetic and electric fluxes

Isometry invariants are just a special case of fluxes defining natural coordinate variables for the configuration space. Canonical transformations of  $CP_2$  act as  $U(1)$  gauge transformations on the Kähler potential of  $CP_2$  (similar conclusion holds at the level of  $\delta M_+^4 \times CP_2$ ).

One can generalize these transformations to local symplectic transformations by allowing the Hamiltonians to be products of the  $CP_2$  Hamiltonians with the real and imaginary parts of the functions  $f_{s,n,k}$  defining the Lorentz covariant function basis  $H_A$ ,  $A \equiv (a, s, n, k)$  at the light cone boundary:  $H_A = H_a \times f(s, n, k)$ , where  $a$  labels the Hamiltonians of  $CP_2$ .

One can associate to any Hamiltonian  $H^A$  of this kind magnetic or electric flux via the following formulas:

$$Q_{m/e}(H_A|X^2) = \int_{X^2} H_A J_{m/e} . \quad (8.8.1)$$

Here the magnetic (electric) flux  $J_m$  ( $J_e$ ) denotes the flux associated with induced Kähler field and its dual which is well-defined since  $X^2$  is part of 4-D space-time surface.

The flux Hamiltonians

$$Q_i(H_A|X^2) = Q_i(H_A|X^2) , \quad A \equiv (a, s, n, k) \quad (8.8.2)$$

provide a representation of WCW Hamiltonians as far as the "kinetic" part of Kähler form is considered.

### Anti-commutation relations between oscillator operators associated with same partonic 2-surface

The construction of WCW gamma matrices leads to the anti-commutation relations given by

$$\begin{aligned} \{\bar{\Psi}(x)\gamma^0, \Psi(x)\} &= [J_e + J_m]\delta_{x,y}^2 , \\ J_e &= \int J^{03}\sqrt{g_4} . \end{aligned} \quad (8.8.3)$$

Kähler magnetic flux  $J_m = \epsilon^{\alpha\beta} J_{\alpha\beta}\sqrt{g_2}$  has no dependence on the induced metric.

If the weak- form of the electric-magnetic duality holds true, Kähler electric flux relates to it via the formula

$$J^{03}\sqrt{g_4} = K J_{12} ,$$

where  $K$  is symplectic invariant and identifiable in terms of Kähler coupling strength from classical charge quantization condition for Kähler electric flux. The condition that the flux of  $F^{03} = (\hbar/g_K)J^{03}$  defining the counterpart of Kähler electric field equals to the Kähler charge  $g_K$  gives the condition  $K = g_K^2/\hbar = 4\pi\alpha_K$ , where  $g_K$  is Kähler coupling constant. Within experimental uncertainties one has  $\alpha_K = g_K^2/4\pi\hbar_0 = \alpha_{em} \simeq 1/137$ , where  $\alpha_{em}$  is finite structure constant in electron length scale and  $\hbar_0$  is the standard value of Planck constant. The arguments leading to the identification  $\epsilon \pm 1$  at the opposite boundaries of  $CD$  are discussed in [17, 39]. An alternative identification is as  $\epsilon = 0$  but predicts that WCW is trivial in  $M^4$  degrees of freedom if Kähler function reduces to Chern-Simons terms.

The general form of the anti-commutation relations is therefore

$$\{\bar{\Psi}(x)\gamma^0, \Psi(x)\} = (1 + K)J\delta_{x,y}^2 . \quad (8.8.4)$$

What is nice that at the limit of vacuum extremals the right hand side vanishes when both  $J$  and  $J^1$  vanish so that spinor fields become non-dynamical. One can criticize the non-vanishing of the anti-commutator for vacuum extremals of Kähler action.

For the latter option the fermionic counterparts of local flux Hamiltonians can be written in the form

$$\begin{aligned}
 H_{A,\pm,n} &= \epsilon_q(A, \mp, n)H_{A,\pm,q,n} + \epsilon_L(A, \pm)H_{A,\mp,L,n} \quad , \\
 H_{A,+,q,n} &= \oint \bar{\Psi} J_+^A q_n d^2x \quad , \\
 H_{A,-,q,n} &= \oint \bar{q}_n J_-^A \Psi d^2x \quad , \\
 H_{A,-,L,n} &= \oint \bar{\Psi} J_+^A L_n d^2x \quad , \\
 H_{A,+,L,n} &= \oint \bar{L}_n J_-^A \Psi d^2x \quad , \\
 J_+^A &= j^{Ak} \Gamma_k \quad , \quad J_-^A = j^{A\bar{k}} \Gamma_{\bar{k}} \quad .
 \end{aligned} \tag{8.8.5}$$

The commutative parameters  $\epsilon_q(A, \pm, n)$  *resp.*  $\epsilon_L(A, \pm, n)$  are assumed to carry quark *resp.* lepton number opposite to that of  $H_{A,\mp,q,n}$  *resp.*  $H_{A,\mp,L,n}$  and satisfy  $\epsilon_i(A, +, n)\epsilon_i(A, -, n) = 1$ . One encounters a hierarchy discrete algebras satisfying this condition in the construction of a symplectic analog of conformal quantum field theory required by the construction of quantum TGD [5]. Associativity condition fixes uniquely the commutative multiplication of these units and analogs of plane waves with discrete momentum are in question.

Suppose that there is a one-one correspondence between quark modes and leptonic modes is satisfied and the label  $n$  decomposes as  $n = (m, i)$ , where  $n$  labels a scalar function basis and  $i$  labels spinor components. This would give

$$\begin{aligned}
 q_n = q_{m,i} &= \Phi_m q_i \quad , \\
 L_n = L_{m,i} &= \Phi_m L_i \quad , \\
 \bar{q}_i \gamma^0 q_j &= \bar{L}_i \gamma^0 L_j = g_{ij} \quad .
 \end{aligned} \tag{8.8.6}$$

Suppose that the inner products  $g_{ij}$  are constant. The simplest possibility is  $g_{ij} = \delta_{ij}$  Under these assumptions the anti-commutators of the super-symmetric flux Hamiltonians give flux Hamiltonians.

$$\{H_{A,+,n}, H_{A,-,n}\} = g_{ij} \oint \bar{\Phi}_m \Phi_n H_A J d^2x \quad . \tag{8.8.7}$$

The product of scalar functions can be expressed as

$$\bar{\Phi}_m \Phi_n = c_{mn}^k \Phi_k \quad . \tag{8.8.8}$$

Note that the notion of symplectic QFT [31] led to a scalar function algebra of similar kind consisting of phase factors and there excellent reasons to consider the possibility that there is a deep connection with this approach.

One expects that the symplectic algebra is restricted to a direct sum of symplectic algebras localized to the regions where the induced Kähler form is non-vanishing implying that the algebras associated with different region form to a direct sum. Also the contributions to configuration space metric are direct sums. The symplectic algebras associated with different region can be truncated to finite-dimensional spaces of symplectic algebras associated with the regions in question. As far as coordinatization of the reduced configuration space is considered, these symplectic sub-spaces are enough. These truncated algebras naturally correspond to the hyper-finite factor property of the Clifford algebra of configuration space.

### Generalization of WCW Hamiltonians and anti-commutation relations between flux Hamiltonians belonging to different ends of $CD$

This picture requires a generalization of the view about configuration space Hamiltonians since also the interaction term between the ends of the line is present not taken into account in the previous approach.

1. The proposed representation of WCW Hamiltonians as flux Hamiltonians [17, 51, 31]

$$Q(H_A) = \int H_A J d^2x . \quad (8.8.9)$$

works for the kinetic terms only since  $J$  is not expected to be the same at the ends of the line.

The assumption that Poisson bracket of WCW Hamiltonians reduces to the level of imbedding space - in other words  $\{Q(H_A), Q(H_B)\} = Q(\{H_A, H_B\})$  - can be justified. One starts from the representation in terms of say flux Hamiltonians  $Q(H_A)$  and defines  $J_{A,B}$  as  $J_{A,B} \equiv Q(\{H_A, H_B\})$ . One has  $\partial H_A / \partial t_B = \{H_B, H_A\}$ , where  $t_B$  is the parameter associated with the exponentiation of  $H_B$ . The inverse  $J^{AB}$  of  $J_{A,B} = \partial H_B / \partial t_A$  is expressible as  $J^{A,B} = \partial t_A / \partial H_B$ . From these formulas one can deduce by using chain rule that the bracket  $\{Q(H_A), Q(H_B)\} = \partial t_C Q(H_A) J^{CD} \partial t_D Q(H_B)$  of flux Hamiltonians equals to the flux Hamiltonian  $Q(\{H_A, H_B\})$ .

2. One should be able to assign to WCW Hamiltonians also a part corresponding to the interaction term. The symplectic conjugation associated with the interaction term permutes the WCW coordinates assignable to the ends of the line. One should reduce this apparently non-local symplectic conjugation (if one thinks the ends of line as separate objects) to a non-local symplectic conjugation for  $\delta CD \times CP_2$  by identifying the points of lower and upper end of  $CD$  related by time reflection and assuming that conjugation corresponds to time reflection. Formally this gives a well defined generalization of the local Poisson brackets between time reflected points at the boundaries of  $CD$ . The connection of Hermitian conjugation and time reflection in quantum field theories is in accordance with this picture.
3. Perhaps the only manner to proceed is to assign to the flux Hamiltonian also a part obtained by the replacement of the flux integral over  $X^2$  with an integral over the projection of  $X^2$  to a sphere  $S^2$  assignable to the light-cone boundary or to a geodesic sphere of  $CP_2$ , which come as two varieties corresponding to homologically trivial and non-trivial spheres. The projection is defined as by the geodesic line orthogonal to  $S^2$  and going through the point of  $X^2$ . The hierarchy of Planck constants assigns to  $CD$  a preferred geodesic sphere of  $CP_2$  as well as a unique sphere  $S^2$  as a sphere for which the radial coordinate  $r_M$  or the light-cone boundary defined uniquely is constant: this radial coordinate corresponds to spherical coordinate in the rest system defined by the time-like vector connecting the tips of  $CD$ . Either spheres or possibly both of them could be relevant.

Recall that also the construction of number theoretic braids and symplectic QFT [31] led to the proposal that braid diagrams and symplectic triangulations could be defined in terms of projections of braid strands to one of these spheres. One could also consider a weakening for the condition that the points of the number theoretic braid are algebraic by requiring only that the  $S^2$  coordinates of the projection are algebraic and that these coordinates correspond to the discretization of  $S^2$  in terms of the phase angles associated with  $\theta$  and  $\phi$ .

This gives for the corresponding contribution of the WCW Hamiltonian the expression

$$Q(H_A)_{int} = (1 + K) \int_{S^2_{\pm}} H_A X \delta^2(s_+, s_-) d^2s_{\pm} = (1 + K) \int_{P(X^2_+) \cap P(X^2_-)} \frac{\partial(s^1, s^2)}{\partial(x^1_{\pm}, x^2_{\pm})} \quad (8.8.10)$$

Here the Poisson brackets between ends of the line using the rules involve delta function  $\delta^2(s_+, s_-)$  at  $S^2$  and the resulting Hamiltonians can be expressed as a similar integral of  $H_{[A,B]}$  over the upper or lower end since the integral is over the intersection of  $S^2$  projections.



The expression must vanish when the induced Kähler form vanishes for either end. This is achieved by identifying the scalar  $X$  in the following manner:

$$\begin{aligned} X &= J_{kl}^+ + J_{kl}^- , \\ J_{\pm}^{kl} &= \partial_{\alpha} s^k \partial_{\beta} s^l J_{\pm}^{\alpha\beta} . \end{aligned} \tag{8.8.11}$$

The tensors are lifts of the induced Kähler form of  $X_{\pm}^2$  to  $S^2$  (not  $CP_2$ ).

4. One could of course ask why these Hamiltonians could not contribute also to the kinetic terms and why the brackets with flux Hamiltonians should vanish. This relate to how one *defines* the Kähler form. It was shown above that in case of flux Hamiltonians the definition of Kähler form as brackets gives the basic formula  $\{Q(H_A), Q(H_B)\} = Q(\{H_A, H_B\})$  and same should hold true now. In the recent case  $J_{A,B}$  would contain an interaction term defined in terms of flux Hamiltonians and the previous argument should go through also now by identifying Hamiltonians as sums of two contributions and by introducing the doubling of the coordinates  $t_A$ .
5. The quantization of the modified Dirac operator must be reconsidered. It would seem that one must add to the super-Hamiltonian completely analogous term obtained by replacing  $J$  with  $X\partial(s^1, s^2)/\partial(x_{\pm}^1, x_{\pm}^2)$ . Besides the anti-commutation relations defining correct anti-commutators to flux Hamiltonians, one should pose anti-commutation relations consistent with the anti-commutation relations of super Hamiltonians. In these anti-commutation relations  $J\delta^2(x, y)$  would be replaced with  $X\delta^2(s^+, s^-)$ . This would guarantee that the oscillator operators at the ends of the line are not independent and that the resulting Hamiltonian reduces to integral over either end for  $H_{[A,B]}$ .

### 8.8.3 Expressions for configuration space super-symplectic generators in finite measurement resolution

The expressions of configuration space Hamiltonians and their super counterparts just discussed were based on 2-dimensional integrals. This is problematic for several reasons.

1. In p-adic context integrals do not makes sense so that this representation fails in p-adic context (for pe-adic numbers see[58]). Sums would be more appropriate if one wants number theoretic universality at the level of basic formulas.
2. The use of sums would also conform with the notion of finite measurement resolution having discretization in terms of intersections of  $X^2$  with number theoretic braids as a space-time correlate.
3. Number theoretic duality suggests a unique realization of the discretization in the sense that only the points of partonic 2-surface  $X^2$  whose  $\delta M_{\pm}^4$  projections commute in hyper-octonionic sense and thus belong to the intersections of the projection  $P_{M^4}(X^2)$  with radial light-like geodesics  $M_{\pm}$  representing intersections of  $M^2 \subset M^4 \subset M^8$  with  $\delta M_{\pm}^4 \times CP_2$  contribute to the configuration space Hamiltonians and super Hamiltonians and therefore to the configuration space metric.

Clearly, finite measurement resolution seems to be an unavoidable aspect of the geometrization of the configuration space as one can expect on basis of the fact that configuration space Clifford algebra provides representation for hyper-finite factors of type  $II_1$  whose inclusions provide a representation for the finite measurement resolution. This means that the infinite-dimensional configuration space can be represented as a finite-dimensional space in arbitrary precise approximation so that also configuration Clifford algebra and configuration space spinor fields becomes finite-dimensional.

The modification of anti-commutation relations to this case is

$$\{\bar{\Psi}(x_m)\gamma^0, \Psi(x_n)\} = (1 + K)J\delta_{x_m, x_n} . \tag{8.8.12}$$

Note that the constancy of  $\gamma^0$  implies a complete symmetry between the two points. The number of points must be the maximal one consistent with the Kronecker delta type anti-commutation relations so that information is not lost.

The question arises about the choice of the points  $x_m$ . This choice should be general coordinate invariant. The number theoretic vision leads to the notion of number theoretic braid defined as the set of points common to real and p-adic variant of  $X^2$ . The points of the number theoretic braid are excellent candidates for points  $x_n$ . The p-adic variant exists only if  $X^2$  is defined by rational functions with coefficients which are possibly algebraic and thus make sense both in real and p-adic sense. These points belong to the algebraic extension of rational numbers appearing in the representation of  $X^2$  as an algebraic surface but one can consider quite generally the possibility that the points of the number theoretic braid are rational or in a finite algebraic extension of rationals. What is important that if one restricts the consideration to rational points this criterion makes sense even if  $X^2$  is not algebraic. In the generic case one can expect that the number of these points is finite.

#### 8.8.4 Configuration space geometry and hierarchy of inclusions of hyper-finite factors of $II_1$

The configuration space metric defined as anti-commutators of the configuration space gamma matrices is extremely degenerate since it effectively corresponds to a quadratic form in  $N$ -dimensional space, where  $N_m$  is the total number of the eigenmodes of  $D_K$ . Since two Hamiltonians whose values and corresponding Killing vector fields co-incide at the points of  $B$  are equivalent for given ray  $M_\pm$ , it is natural to pose a cutoff in the number of Hamiltonians used for the representation of reduced configuration space in given region inside which induced Kähler form is non-vanishing. The natural manner to pose this cutoff is by ordering the representations with respect to dimension and eigenvalue of Casimir operator for the irreducible representations of  $SO(3) \times SO(4)$  in case of  $M^8$  and for the representations of  $SO(3) \times SU(3)$  in case of  $H$ .

This boils down to a hierarchy of approximate representations of the configuration space as Kähler manifold with spinor structure with a truncation of the Clifford algebra to a finite dimensional Clifford algebra. This is in spirit with the proposed interpretation of the inclusion sequence of hyper-finite factors of type  $II_1$  and with the very notion of hyper-finiteness. A surprisingly concrete connection of the configuration space geometry with generalized eigenvalue spectrum of  $D_K(X^3)$  and basic quantum physics results. For instance, from the general expression of Kähler metric in terms of Kähler function

$$G_{k\bar{l}} = \partial_k \partial_{\bar{l}} K = \frac{\partial_k \partial_{\bar{l}} \exp(K)}{\exp(K)} - \frac{\partial_k \exp(K)}{\exp(K)} \frac{\partial_{\bar{l}} \exp(K)}{\exp(K)}, \quad (8.8.13)$$

and from the expression of  $\exp(K) = \prod_i \lambda_i$  as the product of finite number of eigenvalues of  $D_K(X^3)$ , the expression

$$G_{k\bar{l}} = \sum_i \frac{\partial_k \partial_{\bar{l}} \lambda_i}{\lambda_i} - \frac{\partial_k \lambda_i}{\lambda_i} \frac{\partial_{\bar{l}} \lambda_i}{\lambda_i} \quad (8.8.14)$$

for the configuration space metric follows. Here complex coordinates refer to the complex coordinates of configuration space.

A good candidate for these complex coordinates are the complex coordinates of  $S^2 \times S$ ,  $S = CP_2$  or  $E^4$ , for the points of  $B$  so that a close connection with the geometry of imbedding space is obtained. Once these coordinates have been specified  $G$  can be contracted with the Killing vector fields of configuration space isometries defining the coordinates for the truncated configuration space. By studying the behavior of eigenvalue spectrum under small deformations of  $X_i^3$  by symplectic transformations of  $\delta CD \times S$  the components of  $G$  can be estimated.

### 8.9 Weak form electric-magnetic duality and its implications

The notion of electric-magnetic duality [87] was proposed first by Olive and Montonen and is central in  $\mathcal{N} = 4$  supersymmetric gauge theories. It states that magnetic monopoles and ordinary particles

are two different phases of theory and that the description in terms of monopoles can be applied at the limit when the running gauge coupling constant becomes very large and perturbation theory fails to converge. The notion of electric-magnetic self-duality is more natural since for  $CP_2$  geometry Kähler form is self-dual and Kähler magnetic monopoles are also Kähler electric monopoles and Kähler coupling strength is by quantum criticality renormalization group invariant rather than running coupling constant. The notion of electric-magnetic (self-)duality emerged already two decades ago in the attempts to formulate the Kähler geometric of world of classical worlds. Quite recently a considerable step of progress took place in the understanding of this notion [17]. What seems to be essential is that one adopts a weaker form of the self-duality applying at partonic 2-surfaces. What this means will be discussed in the sequel.

Every new idea must be of course taken with a grain of salt but the good sign is that this concept leads to precise predictions. The point is that elementary particles do not generate monopole fields in macroscopic length scales: at least when one considers visible matter. The first question is whether elementary particles could have vanishing magnetic charges: this turns out to be impossible. The next question is how the screening of the magnetic charges could take place and leads to an identification of the physical particles as string like objects identified as pairs magnetic charged wormhole throats connected by magnetic flux tubes.

1. The first implication is a new view about electro-weak massivation reducing it to weak confinement in TGD framework. The second end of the string contains particle having electroweak isospin neutralizing that of elementary fermion and the size scale of the string is electro-weak scale would be in question. Hence the screening of electro-weak force takes place via weak confinement realized in terms of magnetic confinement.
2. This picture generalizes to the case of color confinement. Also quarks correspond to pairs of magnetic monopoles but the charges need not vanish now. Rather, valence quarks would be connected by flux tubes of length of order hadron size such that magnetic charges sum up to zero. For instance, for baryonic valence quarks these charges could be  $(2, -1, -1)$  and could be proportional to color hyper charge.
3. The highly non-trivial prediction making more precise the earlier stringy vision is that elementary particles are string like objects in electro-weak scale: this should become manifest at LHC energies.
4. The weak form electric-magnetic duality together with Beltrami flow property of Kähler leads to the reduction of Kähler action to Chern-Simons action so that TGD reduces to almost topological QFT and that Kähler function is explicitly calculable. This has enormous impact concerning practical calculability of the theory.
5. One ends up also to a general solution ansatz for field equations from the condition that the theory reduces to almost topological QFT. The solution ansatz is inspired by the idea that all isometry currents are proportional to Kähler current which is integrable in the sense that the flow parameter associated with its flow lines defines a global coordinate. The proposed solution ansatz would describe a hydrodynamical flow with the property that isometry charges are conserved along the flow lines (Beltrami flow). A general ansatz satisfying the integrability conditions is found. The solution ansatz applies also to the extremals of Chern-Simons action and to the conserved currents associated with the modified Dirac equation defined as contractions of the modified gamma matrices between the solutions of the modified Dirac equation. The strongest form of the solution ansatz states that various classical and quantum currents flow along flow lines of the Beltrami flow defined by Kähler current (Kähler magnetic field associated with Chern-Simons action). Intuitively this picture is attractive. A more general ansatz would allow several Beltrami flows meaning multi-hydrodynamics. The integrability conditions boil down to two scalar functions: the first one satisfies massless d'Alembert equation in the induced metric and the the gradients of the scalar functions are orthogonal. The interpretation in terms of momentum and polarization directions is natural.
6. The general solution ansatz works for induced Kähler Dirac equation and Chern-Simons Dirac equation and reduces them to ordinary differential equations along flow lines. The induced spinor fields are simply constant along flow lines of induced spinor field for Dirac equation in suitable

gauge. Also the generalized eigen modes of the modified Chern-Simons Dirac operator can be deduced explicitly if the throats and the ends of space-time surface at the boundaries of  $CD$  are extremals of Chern-Simons action. Chern-Simons Dirac equation reduces to ordinary differential equations along flow lines and one can deduce the general form of the spectrum and the explicit representation of the Dirac determinant in terms of geometric quantities characterizing the 3-surface (eigenvalues are inversely proportional to the lengths of strands of the flow lines in the effective metric defined by the modified gamma matrices).

### 8.9.1 Could a weak form of electric-magnetic duality hold true?

Holography means that the initial data at the partonic 2-surfaces should fix the configuration space metric. A weak form of this condition allows only the partonic 2-surfaces defined by the wormhole throats at which the signature of the induced metric changes. A stronger condition allows all partonic 2-surfaces in the slicing of space-time sheet to partonic 2-surfaces and string world sheets. Number theoretical vision suggests that hyper-quaternionicity *resp.* co-hyperquaternionicity constraint could be enough to fix the initial values of time derivatives of the imbedding space coordinates in the space-time regions with Minkowskian *resp.* Euclidian signature of the induced metric. This is a condition on modified gamma matrices and hyper-quaternionicity states that they span a hyper-quaternionic sub-space.

#### Definition of the weak form of electric-magnetic duality

One can also consider alternative conditions possibly equivalent with this condition. The argument goes as follows.

1. The expression of the matrix elements of the metric and Kähler form of  $WCW$  in terms of the Kähler fluxes weighted by Hamiltonians of  $\delta M_{\pm}^4$  at the partonic 2-surface  $X^2$  looks very attractive. These expressions however carry no information about the 4-D tangent space of the partonic 2-surfaces so that the theory would reduce to a genuinely 2-dimensional theory, which cannot hold true. One would like to code to the  $WCW$  metric also information about the electric part of the induced Kähler form assignable to the complement of the tangent space of  $X^2 \subset X^4$ .
2. Electric-magnetic duality of the theory looks a highly attractive symmetry. The trivial manner to get electric magnetic duality at the level of the full theory would be via the identification of the flux Hamiltonians as sums of of the magnetic and electric fluxes. The presence of the induced metric is however troublesome since the presence of the induced metric means that the simple transformation properties of flux Hamiltonians under symplectic transformations -in particular color rotations- are lost.
3. A less trivial formulation of electric-magnetic duality would be as an initial condition which eliminates the induced metric from the electric flux. In the Euclidian version of 4-D YM theory this duality allows to solve field equations exactly in terms of instantons. This approach involves also quaternions. These arguments suggest that the duality in some form might work. The full electric magnetic duality is certainly too strong and implies that space-time surface at the partonic 2-surface corresponds to piece of  $CP_2$  type vacuum extremal and can hold only in the deep interior of the region with Euclidian signature. In the region surrounding wormhole throat at both sides the condition must be replaced with a weaker condition.
4. To formulate a weaker form of the condition let us introduce coordinates  $(x^0, x^3, x^1, x^2)$  such  $(x^1, x^2)$  define coordinates for the partonic 2-surface and  $(x^0, x^3)$  define coordinates labeling partonic 2-surfaces in the slicing of the space-time surface by partonic 2-surfaces and string world sheets making sense in the regions of space-time sheet with Minkowskian signature. The assumption about the slicing allows to preserve general coordinate invariance. The weakest condition is that the generalized Kähler electric fluxes are apart from constant proportional to Kähler magnetic fluxes. This requires the condition

$$J^{03} \sqrt{g_4} = K J_{12} . \quad (8.9.1)$$

A more general form of this duality is suggested by the considerations of [17] reducing the hierarchy of Planck constants to basic quantum TGD and also reducing Kähler function for preferred extremals to Chern-Simons terms [99] at the boundaries of  $CD$  and at light-like wormhole throats. This form is following

$$J^{n\beta}\sqrt{g_4} = K\epsilon \times \epsilon^{n\beta\gamma\delta} J_{\gamma\delta}\sqrt{g_4} . \quad (8.9.2)$$

Here the index  $n$  refers to a normal coordinate for the space-like 3-surface at either boundary of  $CD$  or for light-like wormhole throat.  $\epsilon$  is a sign factor which is opposite for the two ends of  $CD$ . It could be also opposite of opposite at the opposite sides of the wormhole throat. Note that the dependence on induced metric disappears at the right hand side and this condition eliminates the potentials singularity due to the reduction of the rank of the induced metric at wormhole throat.

- Information about the tangent space of the space-time surface can be coded to the configuration space metric with loosing the nice transformation properties of the magnetic flux Hamiltonians if Kähler electric fluxes or sum of magnetic flux and electric flux satisfying this condition are used and  $K$  is symplectic invariant. Using the sum

$$J_e + J_m = (1 + K)J_{12} , \quad (8.9.3)$$

where  $J$  denotes the Kähler magnetic flux, , makes it possible to have a non-trivial configuration space metric even for  $K = 0$ , which could correspond to the ends of a cosmic string like solution carrying only Kähler magnetic fields. This condition suggests that it can depend only on Kähler magnetic flux and other symplectic invariants. Whether local symplectic coordinate invariants are possible at all is far from obvious, If the slicing itself is symplectic invariant then  $K$  could be a non-constant function of  $X^2$  depending on string world sheet coordinates. The light-like radial coordinate of the light-cone boundary indeed defines a symplectically invariant slicing and this slicing could be shifted along the time axis defined by the tips of  $CD$ .

### Electric-magnetic duality physically

What could the weak duality condition mean physically? For instance, what constraints are obtained if one assumes that the quantization of electro-weak charges reduces to this condition at classical level?

- The first thing to notice is that the flux of  $J$  over the partonic 2-surface is analogous to magnetic flux

$$Q_m = \frac{e}{\hbar} \oint B dS = n .$$

$n$  is non-vanishing only if the surface is homologically non-trivial and gives the homology charge of the partonic 2-surface.

- The expressions of classical electromagnetic and  $Z^0$  fields in terms of Kähler form [58] read as

$$\begin{aligned} \gamma &= \frac{eF_{em}}{\hbar} = 3J - \sin^2(\theta_W)R_{03} , \\ Z^0 &= \frac{g_Z F_Z}{\hbar} = 2R_{03} . \end{aligned} \quad (8.9.4)$$

Here  $R_{03}$  is one of the components of the curvature tensor in vielbein representation and  $F_{em}$  and  $F_Z$  correspond to the standard field tensors. From this expression one can deduce

$$J = \frac{e}{3\hbar} F_{em} + \sin^2(\theta_W) \frac{g_Z}{6\hbar} F_Z . \quad (8.9.5)$$

3. The weak duality condition when integrated over  $X^2$  implies

$$\begin{aligned} \frac{e^2}{3\hbar} Q_{em} + \frac{g_Z^2 p}{6} Q_{Z,V} &= K \oint J = Kn , \\ Q_{Z,V} &= \frac{I_V^3}{2} - Q_{em} , \quad p = \sin^2(\theta_W) . \end{aligned} \quad (8.9.6)$$

Here the vectorial part of the  $Z^0$  charge rather than as full  $Z^0$  charge  $Q_Z = I_L^3 + \sin^2(\theta_W) Q_{em}$  appears. The reason is that only the vectorial isospin is same for left and right handed components of fermion which are in general mixed for the massive states.

The coefficients are dimensionless and expressible in terms of the gauge coupling strengths and using  $\hbar = r\hbar_0$  one can write

$$\begin{aligned} \alpha_{em} Q_{em} + p \frac{\alpha_Z}{2} Q_{Z,V} &= \frac{3}{4\pi} \times rnK , \\ \alpha_{em} &= \frac{e^2}{4\pi\hbar_0} , \quad \alpha_Z = \frac{g_Z^2}{4\pi\hbar_0} = \frac{\alpha_{em}}{p(1-p)} . \end{aligned} \quad (8.9.7)$$

4. There is a great temptation to assume that the values of  $Q_{em}$  and  $Q_Z$  correspond to their quantized values and therefore depend on the quantum state assigned to the partonic 2-surface. The linear coupling of the modified Dirac operator to conserved charges implies correlation between the geometry of space-time sheet and quantum numbers assigned to the partonic 2-surface. The assumption of standard quantized values for  $Q_{em}$  and  $Q_Z$  would be also seen as the identification of the fine structure constants  $\alpha_{em}$  and  $\alpha_Z$ . This however requires weak isospin invariance.

### The value of $K$ from classical quantization of Kähler electric charge

The value of  $K$  can be deduced by requiring classical quantization of Kähler electric charge.

1. The condition that the flux of  $F^{03} = (\hbar/g_K) J^{03}$  defining the counterpart of Kähler electric field equals to the Kähler charge  $g_K$  would give the condition  $K = g_K^2/\hbar$ , where  $g_K$  is Kähler coupling constant which should invariant under coupling constant evolution by quantum criticality. Within experimental uncertainties one has  $\alpha_K = g_K^2/4\pi\hbar_0 = \alpha_{em} \simeq 1/137$ , where  $\alpha_{em}$  is finite structure constant in electron length scale and  $\hbar_0$  is the standard value of Planck constant.
2. The quantization of Planck constants makes the condition highly non-trivial. The most general quantization of  $r$  is as rationals but there are good arguments favoring the quantization as integers corresponding to the allowance of only singular coverings of  $CD$  and  $CP_2$ . The point is that in this case a given value of Planck constant corresponds to a finite number pages of the "Big Book". The quantization of the Planck constant implies a further quantization of  $K$  and would suggest that  $K$  scales as  $1/r$  unless the spectrum of values of  $Q_{em}$  and  $Q_Z$  allowed by the quantization condition scales as  $r$ . This is quite possible and the interpretation would be that each of the  $r$  sheets of the covering carries (possibly same) elementary charge. Kind of discrete variant of a full Fermi sphere would be in question. The interpretation in terms of anyonic phases [17] supports this interpretation.

3. The identification of  $J$  as a counterpart of  $eB/\hbar$  means that Kähler action and thus also Kähler function is proportional to  $1/\alpha_K$  and therefore to  $\hbar$ . This implies that for large values of  $\hbar$  Kähler coupling strength  $g_K^2/4\pi$  becomes very small and large fluctuations are suppressed in the functional integral. The basic motivation for introducing the hierarchy of Planck constants was indeed that the scaling  $\alpha \rightarrow \alpha/r$  allows to achieve the convergence of perturbation theory: Nature itself would solve the problems of the theoretician. This of course does not mean that the physical states would remain as such and the replacement of single particles with anyonic states in order to satisfy the condition for  $K$  would realize this concretely.

The weak form of electric-magnetic duality has surprisingly strong implications for basic view about quantum TGD as following considerations show.

### 8.9.2 Magnetic confinement, the short range of weak forces, and color confinement

The weak form of electric-magnetic duality has surprisingly strong implications if one combines it with some very general empirical facts such as the non-existence of magnetic monopole fields in macroscopic length scales.

#### How can one avoid macroscopic magnetic monopole fields?

Monopole fields are experimentally absent in length scales above order weak boson length scale and one should have a mechanism neutralizing the monopole charge. How electroweak interactions become short ranged in TGD framework is still a poorly understood problem. What suggests itself is the neutralization of the weak isospin above the intermediate gauge boson Compton length by neutral Higgs bosons. Could the two neutralization mechanisms be combined to single one?

1. In the case of fermions and their super partners the opposite magnetic monopole would be a wormhole throat. If the magnetically charged wormhole contact is electromagnetically neutral but has vectorial weak isospin neutralizing the weak vectorial isospin of the fermion only the electromagnetic charge of the fermion is visible on longer length scales. The distance of this wormhole throat from the fermionic one should be of the order weak boson Compton length. An interpretation as a bound state of fermion and a wormhole throat state with the quantum numbers of a neutral Higgs boson would therefore make sense. The neutralizing throat would have quantum numbers of  $X_{-1/2} = \nu_L \bar{\nu}_R$  or  $X_{1/2} = \bar{\nu}_L \nu_R$ .  $\nu_L \bar{\nu}_R$  would not be neutral Higgs boson (which should correspond to a wormhole contact) but a super-partner of left-handed neutrino obtained by adding a right handed neutrino. This mechanism would apply separately to the fermionic and anti-fermionic throats of the gauge bosons and corresponding space-time sheets and leave only electromagnetic interaction as a long ranged interaction.
2. One can of course wonder what is the situation situation for the bosonic wormhole throats feeding gauge fluxes between space-time sheets. It would seem that these wormhole throats must always appear as pairs such that for the second member of the pair monopole charges and  $I_V^3$  cancel each other at both space-time sheets involved so that one obtains at both space-time sheets magnetic dipoles of size of weak boson Compton length. The proposed magnetic character of fundamental particles should become visible at TeV energies so that LHC might have surprises in store!

#### Magnetic confinement and color confinement

Magnetic confinement generalizes also to the case of color interactions. One can consider also the situation in which the magnetic charges of quarks (more generally, of color excited leptons and quarks) do not vanish and they form color and magnetic singlets in the hadronic length scale. This would mean that magnetic charges of the state  $q_{\pm 1/2} - X_{\mp 1/2}$  representing the physical quark would not vanish and magnetic confinement would accompany also color confinement. This would explain why free quarks are not observed. To how degree then quark confinement corresponds to magnetic confinement is an interesting question.

For quark and antiquark of meson the magnetic charges of quark and antiquark would be opposite and meson would correspond to a Kähler magnetic flux so that a stringy view about meson emerges. For valence quarks of baryon the vanishing of the net magnetic charge takes place provided that the magnetic net charges are  $(\pm 2, \mp 1, \mp 1)$ . This brings in mind the spectrum of color hyper charges coming as  $(\pm 2, \mp 1, \mp 1)/3$  and one can indeed ask whether color hyper-charge correlates with the Kähler magnetic charge. The geometric picture would be three strings connected to single vertex. Amusingly, the idea that color hypercharge could be proportional to color hyper charge popped up during the first year of TGD when I had not yet discovered  $CP_2$  and believed on  $M^4 \times S^2$ .

p-Adic length scale hypothesis and hierarchy of Planck constants defining a hierarchy of dark variants of particles suggest the existence of scaled up copies of QCD type physics and weak physics. For p-adically scaled up variants the mass scales would be scaled by a power of  $\sqrt{2}$  in the most general case. The dark variants of the particle would have the same mass as the original one. In particular, Mersenne primes  $M_k = 2^k - 1$  and Gaussian Mersennes  $M_{G,k} = (1 + i)^k - 1$  has been proposed to define zoomed copies of these physics. At the level of magnetic confinement this would mean hierarchy of length scales for the magnetic confinement.

One particular proposal is that the Mersenne prime  $M_{89}$  should define a scaled up variant of the ordinary hadron physics with mass scaled up roughly by a factor  $2^{(107-89)/2} = 512$ . The size scale of color confinement for this physics would be same as the weak length scale. It would look more natural that the weak confinement for the quarks of  $M_{89}$  physics takes place in some shorter scale and  $M_{61}$  is the first Mersenne prime to be considered. The mass scale of  $M_{61}$  weak bosons would be by a factor  $2^{(89-61)/2} = 2^{14}$  higher and about  $1.6 \times 10^4$  TeV.  $M_{89}$  quarks would have virtually no weak interactions but would possess color interactions with weak confinement length scale reflecting themselves as new kind of jets at collisions above TeV energies.

In the biologically especially important length scale range 10 nm -2500 nm there are as many as four Gaussian Mersennes corresponding to  $M_{G,k}$ ,  $k = 151, 157, 163, 167$ . This would suggest that the existence of scaled up scales of magnetic-, weak- and color confinement. An especially interesting possibly testable prediction is the existence of magnetic monopole pairs with the size scale in this range. There are recent claims about experimental evidence for magnetic monopole pairs [108].

### Magnetic confinement and stringy picture in TGD sense

The connection between magnetic confinement and weak confinement is rather natural if one recalls that electric-magnetic duality in super-symmetric quantum field theories means that the descriptions in terms of particles and monopoles are in some sense dual descriptions. Fermions would be replaced by string like objects defined by the magnetic flux tubes and bosons as pairs of wormhole contacts would correspond to pairs of the flux tubes. Therefore the sharp distinction between gravitons and physical particles would disappear.

The reason why gravitons are necessarily stringy objects formed by a pair of wormhole contacts is that one cannot construct spin two objects using only single fermion states at wormhole throats. Of course, also super partners of these states with higher spin obtained by adding fermions and anti-fermions at the wormhole throat but these do not give rise to graviton like states [18]. The upper and lower wormhole throat pairs would be quantum superpositions of fermion anti-fermion pairs with sum over all fermions. The reason is that otherwise one cannot realize graviton emission in terms of joining of the ends of light-like 3-surfaces together. Also now magnetic monopole charges are necessary but now there is no need to assign the entities  $X_{\pm}$  with gravitons.

Graviton string is characterized by some p-adic length scale and one can argue that below this length scale the charges of the fermions become visible. Mersenne hypothesis suggests that some Mersenne prime is in question. One proposal is that gravitonic size scale is given by electronic Mersenne prime  $M_{127}$ . It is however difficult to test whether graviton has a structure visible below this length scale.

What happens to the generalized Feynman diagrams is an interesting question. It is not at all clear how closely they relate to ordinary Feynman diagrams. All depends on what one is ready to assume about what happens in the vertices. One could of course hope that zero energy ontology could allow some very simple description allowing perhaps to get rid of the problematic aspects of Feynman diagrams.

1. Consider first the recent view about generalized Feynman diagrams which relies zero energy ontology. A highly attractive assumption is that the particles appearing at wormhole throats



are on mass shell particles. For incoming and outgoing elementary bosons and their super partners they would be positive it resp. negative energy states with parallel on mass shell momenta. For virtual bosons they the wormhole throats would have opposite sign of energy and the sum of on mass shell states would give virtual net momenta. This would make possible twistor description of virtual particles allowing only massless particles (in 4-D sense usually and in 8-D sense in TGD framework). The notion of virtual fermion makes sense only if one assumes in the interaction region a topological condensation creating another wormhole throat having no fermionic quantum numbers.

2. The addition of the particles  $X^\pm$  replaces generalized Feynman diagrams with the analogs of stringy diagrams with lines replaced by pairs of lines corresponding to fermion and  $X_{\pm 1/2}$ . The members of these pairs would correspond to 3-D light-like surfaces glued together at the vertices of generalized Feynman diagrams. The analog of 3-vertex would not be splitting of the string to form shorter strings but the replication of the entire string to form two strings with same length or fusion of two strings to single string along all their points rather than along ends to form a longer string. It is not clear whether the duality symmetry of stringy diagrams can hold true for the TGD variants of stringy diagrams.
3. How should one describe the bound state formed by the fermion and  $X^\pm$ ? Should one describe the state as superposition of non-parallel on mass shell states so that the composite state would be automatically massive? The description as superposition of on mass shell states does not conform with the idea that bound state formation requires binding energy. In TGD framework the notion of negentropic entanglement has been suggested to make possible the analogs of bound states consisting of on mass shell states so that the binding energy is zero [49]. If this kind of states are in question the description of virtual states in terms of on mass shell states is not lost. Of course, one cannot exclude the possibility that there is infinite number of this kind of states serving as analogs for the excitations of string like object.
4. What happens to the states formed by fermions and  $X_{\pm 1/2}$  in the internal lines of the Feynman diagram? Twistor philosophy suggests that only the higher on mass shell excitations are possible. If this picture is correct, the situation would not change in an essential manner from the earlier one.

The highly non-trivial prediction of the magnetic confinement is that elementary particles should have stringy character in electro-weak length scales and could behaving to become manifest at LHC energies. This adds one further item to the list of non-trivial predictions of TGD about physics at LHC energies [26].

#### Should $J + J_1$ appear in Kähler action?

The presence of the  $S^2$  Kähler form  $J_1$  in weak form of electric-magnetic duality was originally suggested by an erratic argument about the reduction to almost topological QFT to be described in the next subsection. In any case this argument raises the question whether one could replace  $J$  with  $J + J_1$  in the Kähler action. This would not affect the basic non-vacuum extremals but would modify the vacuum degeneracy of the Kähler action. Canonically imbedded  $M^4$  would become a monopole configuration with an infinite magnetic energy and Kähler action due to the monopole singularity at the line connecting tips of the  $CD$ . Action and energy can be made small by drilling a small hole around origin. This is however not consistent with the weak form of electro-weak duality. Amusingly, the modified Dirac equation reduces to ordinary massless Dirac equation in  $M^4$ .

This extremal can be transformed to a vacuum extremal by assuming that the solution is also a  $CP_2$  magnetic monopole with opposite contribution to the magnetic charge so that  $J + J_1 = 0$  holds true. This is achieved if one can regard space-time surface as a map  $M^4 \rightarrow CP_2$  reducing to a map  $(\Theta, \Phi) = (\theta, \pm\phi)$  with the sign chosen by properly projecting the homologically non-trivial  $r_M = \text{constant}$  spheres of  $CD$  to the homologically non-trivial geodesic sphere of  $CP_2$ . Symplectic transformations of  $S^2 \times CP_2$  produce new vacuum extremals of this kind. Using Darboux coordinates in which one has  $J = \sum_{k=1,2} P_k dQ^k$  and assuming that  $(P_1, Q_1)$  corresponds to the  $CP_2$  image of  $S^2$ , one can take  $Q_2$  to be arbitrary function of  $P^2$  which in turn is an arbitrary function of  $M^4$  coordinates to obtain even more general vacuum extremals with 3-D  $CP_2$  projection. Therefore the

spectrum of vacuum extremals, which is very relevant for the TGD based description of gravitation in long length scales because it allows to satisfy Einstein's equations as an additional condition, looks much richer than for the original option, and it is natural to ask whether this option might make sense.

An objection is that  $J_1$  is a radial monopole field and this breaks Lorentz invariance to  $SO(3)$ . Lorentz invariance is broken to  $SO(3)$  for a given  $CD$  also by the presence of the preferred time direction defined by the time-like line connecting the tips of the  $CD$  becoming carrying the monopole charge but is compensated since Lorentz boosts of  $CD$ s are possible. Could one consider similar compensation also now? Certainly the extremely small breaking of Lorentz invariance and the vanishing of the monopole charge for the vacuum extremals is all that is needed at the space-time level. No new gauge fields would be introduced since only the Kähler field part of photon and  $Z^0$  boson would receive an additional contribution.

The ultimate fate of the modification depends on whether it is consistent with the general relativistic description of gravitation. Since a breaking of spherical symmetry is involved, it is not at all clear whether one can find vacuum extremals which represent small deformations of the Reissner-Nordström metric and Robertson-Walker metric. The argument below shows that this option does not allow the imbedding of small deformations of physically plausible space-time metrics as vacuum extremals.

The basic vacuum extremal whose deformations should give vacuum extremals allowing interpretation as solutions of Einstein's equations is given by a map  $M^4 \rightarrow CP_2$  projecting the  $r_M$  constant spheres  $S^2$  of  $M^2$  to the homologically non-trivial geodesic sphere of  $CP_2$ . The winding number of this map is  $-1$  in order to achieve vanishing of the induced Kähler form  $J + J_1$ . For instance, the following two canonical forms of the map are possible

$$\begin{aligned} (\Theta, \Psi) &= (\theta_M, -\phi_M) , \\ (\Theta, \Psi) &= (\pi - \theta_M, \phi_M) . \end{aligned} \tag{8.9.8}$$

Here  $(\Theta, \Psi)$  refers to the geodesic sphere of  $CP_2$  and  $(\theta_M, \phi_M)$  to the sphere of  $M^4$ . The resulting space-time surface is not flat and Einstein tensor is non-vanishing. More complex metrics can be constructed from this metric by a deformation making the  $CP_2$  projection 3-dimensional.

Using the expression of the  $CP_2$  line element in Eguchi-Hanson coordinates [56]

$$\frac{ds^2}{R^2} = \frac{dr^2}{F^2} + \frac{r^2}{F} (d\Psi + \cos\Theta d\Phi)^2 + \frac{r^2}{4F} (d\Theta^2 + \frac{r}{F} d\Theta^2 + 4F \sin^2\Theta d\Phi^2) \tag{8.9.9}$$

and using the relationship  $r = \tan(\Theta)$ , one obtains following expression for the  $CP_2$  metric

$$\frac{ds^2}{R^2} = d\theta_M^2 + \sin^2(\theta_M) \left[ (d\phi_M + \cos(\theta) d\Phi)^2 + \frac{1}{4} (d\theta^2 + \sin^2(\theta) d\Phi^2) \right] . \tag{8.9.10}$$

The resulting metric is obtained from the metric of  $S^2$  by replacing  $d\phi^2$  which 3-D line element. The factor  $\sin^2(\theta_M)$  implies that the induced metric becomes singular at North and South poles of  $S^2$ . In particular, the gravitational potential is proportional to  $\sin^2(\theta_M)$  so that gravitational force in the radial direction vanishes at equators. It is very difficult to imagine any manner to produce a small deformation of Reissner-Nordström metric or Robertson-Walker metric. Hence it seems that the vacuum extremals produced by  $J + J_1$  option are not physical.

### 8.9.3 Could Quantum TGD reduce to almost topological QFT?

There seems to be a profound connection with the earlier unrealistic proposal that TGD reduces to almost topological quantum theory in the sense that the counterpart of Chern-Simons action assigned with the wormhole throats somehow dictates the dynamics. This proposal can be formulated also for the modified Dirac action. I gave up this proposal but the following argument shows that

Kähler action with weak form of electric-magnetic duality effectively reduces to Chern-Simons action plus Coulomb term.

1. Kähler action density can be written as a 4-dimensional integral of the Coulomb term  $j_K^\alpha A_\alpha$  plus and integral of the boundary term  $J^{n\beta} A_\beta \sqrt{g_4}$  over the wormhole throats and of the quantity  $J^{0\beta} A_\beta \sqrt{g_4}$  over the ends of the 3-surface.
2. If the self-duality conditions generalize to  $J^{n\beta} = 4\pi\alpha_K \epsilon^{n\beta\gamma\delta} J_{\gamma\delta}$  at throats and to  $J^{0\beta} = 4\pi\alpha_K \epsilon^{0\beta\gamma\delta} J_{\gamma\delta}$  at the ends, the Kähler function reduces to the counterpart of Chern-Simons action evaluated at the ends and throats. It would have same value for each branch and the replacement  $\hbar_0 \rightarrow r\hbar_0$  would effectively describe this. Boundary conditions would however give  $1/r$  factor so that  $\hbar$  would disappear from the Kähler function! The original attempt to realize quantum TGD as an almost topological QFT was in terms of Chern-Simons action but was given up. It is somewhat surprising that Kähler action gives Chern-Simons action in the vacuum sector defined as sector for which Kähler current is light-like or vanishes.

Holography encourages to ask whether also the Coulomb interaction terms could vanish. This kind of dimensional reduction would mean an enormous simplification since TGD would reduce to an almost topological QFT. The attribute "almost" would come from the fact that one has non-vanishing classical Noether charges defined by Kähler action and non-trivial quantum dynamics in  $M^4$  degrees of freedom. One could also assign to space-time surfaces conserved four-momenta which is not possible in topological QFTs. For this reason the conditions guaranteeing the vanishing of Coulomb interaction term deserve a detailed analysis.

1. For the known extremals  $j_K^\alpha$  either vanishes or is light-like ("massless extremals" for which weak self-duality condition does not make sense [29]) so that the Coulombic term vanishes identically in the gauge used. The addition of a gradient to  $A$  induces terms located at the ends and wormhole throats of the space-time surface but this term must be cancelled by the other boundary terms by gauge invariance of Kähler action. This implies that the  $M^4$  part of WCW metric vanishes in this case. Therefore massless extremals as such are not physically realistic: wormhole throats representing particles are needed.
2. The original naive conclusion was that since Chern-Simons action depends on  $CP_2$  coordinates only, its variation with respect to Minkowski coordinates must vanish so that the WCW metric would be trivial in  $M^4$  degrees of freedom. This conclusion is in conflict with quantum classical correspondence and was indeed too hasty. The point is that the allowed variations of Kähler function must respect the weak electro-magnetic duality which relates Kähler electric field depending on the induced 4-metric at 3-surface to the Kähler magnetic field. Therefore the dependence on  $M^4$  coordinates creeps via a Lagrange multiplier term

$$\int \Lambda_\alpha (J^{n\alpha} - K \epsilon^{n\alpha\beta\gamma} J_{\beta\gamma}) \sqrt{g_4} d^3x . \quad (8.9.11)$$

The (1,1) part of second variation contributing to  $M^4$  metric comes from this term.

3. This erratic conclusion about the vanishing of  $M^4$  part WCW metric raised the question about how to achieve a non-trivial metric in  $M^4$  degrees of freedom. The proposal was a modification of the weak form of electric-magnetic duality. Besides  $CP_2$  Kähler form there would be the Kähler form assignable to the light-cone boundary reducing to that for  $r_M = \text{constant}$  sphere - call it  $J^1$ . The generalization of the weak form of self-duality would be  $J^{n\beta} = \epsilon^{n\beta\gamma\delta} K (J_{\gamma\delta} + \epsilon J_{\gamma\delta}^1)$ . This form implies that the boundary term gives a non-trivial contribution to the  $M^4$  part of the WCW metric even without the constraint from electric-magnetic duality. Kähler charge is not affected unless the partonic 2-surface contains the tip of  $CD$  in its interior. In this case the value of Kähler charge is shifted by a topological contribution. Whether this term can survive depends on whether the resulting vacuum extremals are consistent with the basic facts about classical gravitation.

4. The Coulombic interaction term is not invariant under gauge transformations. The good news is that this might allow to find a gauge in which the Coulomb term vanishes. The vanishing condition fixing the gauge transformation  $\phi$  is

$$j_K^\alpha \partial_\alpha \phi = -j^\alpha A_\alpha \quad . \quad (8.9.12)$$

This differential equation can be reduced to an ordinary differential equation along the flow lines  $j_K$  by using  $dx^\alpha/dt = j_K^\alpha$ . Global solution is obtained only if one can combine the flow parameter  $t$  with three other coordinates- say those at the either end of  $CD$  to form space-time coordinates. The condition is that the parameter defining the coordinate differential is proportional to the covariant form of Kähler current:  $dt = \phi j_K$ . This condition in turn implies  $d^2t = d(\phi j_K) = d(\phi j_K) = d\phi \wedge j_K + \phi dj_K = 0$  implying  $j_K \wedge dj_K = 0$  or more concretely,

$$\epsilon^{\alpha\beta\gamma\delta} j_\beta^K \partial_\gamma j_\delta^K = 0 \quad . \quad (8.9.13)$$

$j_K$  is a four-dimensional counterpart of Beltrami field [62] and could be called generalized Beltrami field.

The integrability conditions follow also from the construction of the extremals of Kähler action [29]. The conjecture was that for the extremals the 4-dimensional Lorentz force vanishes (no dissipation): this requires  $j_K \wedge J = 0$ . One manner to guarantee this is the topologization of the Kähler current meaning that it is proportional to the instanton current:  $j_K = \phi j_I$ , where  $j_I = *(J \wedge A)$  is the instanton current, which is not conserved for 4-D  $CP_2$  projection. The conservation of  $j_K$  implies the condition  $j_I^\alpha \partial_\alpha \phi = \partial_\alpha j^\alpha \phi$  and from this  $\phi$  can be integrated if the integrability condition  $j_I \wedge dj_I = 0$  holds true implying the same condition for  $j_K$ . By introducing at least 3 or  $CP_2$  coordinates as space-time coordinates, one finds that the contravariant form of  $j_I$  is purely topological so that the integrability condition fixes the dependence on  $M^4$  coordinates and this selection is coded into the scalar function  $\phi$ . These functions define families of conserved currents  $j_K^\alpha \phi$  and  $j_I^\alpha \phi$  and could be also interpreted as conserved currents associated with the critical deformations of the space-time surface.

5. There are gauge transformations respecting the vanishing of the Coulomb term. The vanishing condition for the Coulomb term is gauge invariant only under the gauge transformations  $A \rightarrow A + \nabla\phi$  for which the scalar function the integral  $\int j_K^\alpha \partial_\alpha \phi$  reduces to a total divergence a giving an integral over various 3-surfaces at the ends of  $CD$  and at throats vanishes. This is satisfied if the allowed gauge transformations define conserved currents

$$D_\alpha(j^\alpha \phi) = 0 \quad . \quad (8.9.14)$$

As a consequence Coulomb term reduces to a difference of the conserved charges  $Q_\phi^e = \int j^0 \phi \sqrt{g_4} d^3x$  at the ends of the CD vanishing identically. The change of the imons type term is trivial if the total weighted Kähler magnetic flux  $Q_\phi^m = \sum \int J \phi dA$  over wormhole throats is conserved. The existence of an infinite number of conserved weighted magnetic fluxes is in accordance with the electric-magnetic duality. How these fluxes relate to the flux Hamiltonians central for WCW geometry is not quite clear.

6. The gauge transformations respecting the reduction to almost topological QFT should have some special physical meaning. The measurement interaction term in the modified Dirac interaction corresponds to a critical deformation of the space-time sheet and is realized as an addition of a gauge part to the Kähler gauge potential of  $CP_2$ . It would be natural to identify this gauge transformation giving rise to a conserved charge so that the conserved charges would provide a representation for the charges associated with the infinitesimal critical deformations not affecting Kähler action. The gauge transformed Kähler potential couples to the modified

Dirac equation and its effect could be visible in the value of Kähler function and therefore also in the properties of the preferred extremal. The effect on WCW metric would however vanish since  $K$  would transform only by an addition of a real part of a holomorphic function. Kähler function is identified as a Dirac determinant for Chern-Simons Dirac action and the spectrum of this operator should not be invariant under these gauge transformations if this picture is correct. This is achieved if the gauge transformation is carried only in the Dirac action corresponding to the Chern-Simons term: this assumption is motivated by the breaking of time reversal invariance induced by quantum measurements. The modification of Kähler action can be guessed to correspond just to the Chern-Simons contribution from the instanton term.

7. A reasonable looking guess for the explicit realization of the quantum classical correspondence between quantum numbers and space-time geometry is that the deformation of the preferred extremal due to the addition of the measurement interaction term is induced by a  $U(1)$  gauge transformation induced by a transformation of  $\delta CD \times CP_2$  generating the gauge transformation represented by  $\phi$ . This interpretation makes sense if the fluxes defined by  $Q_\phi^m$  and corresponding Hamiltonians affect only zero modes rather than quantum fluctuating degrees of freedom.

To sum up, one could understand the basic properties of WCW metric in this framework. Effective 2-dimensionality would result from the existence of an infinite number of conserved charges in two different time directions (genuine conservation laws plus gauge fixing). The infinite-dimensional symmetric space for given values of zero modes corresponds to the Cartesian product of the WCWs associated with the partonic 2-surfaces at both ends of  $CD$  and the generalized Chern-Simons term decomposes into a sum of terms from the ends giving single particle Kähler functions and to the terms from light-like wormhole throats giving interaction term between positive and negative energy parts of the state. Hence Kähler function could be calculated without any knowledge about the interior of the space-time sheets and TGD would reduce to almost topological QFT as speculated earlier. Needless to say this would have immense boost to the program of constructing WCW Kähler geometry.

#### 8.9.4 A general solution ansatz based on almost topological QFT property

The basic vision behind the ansatz is the reduction of quantum TGD to almost topological field theory. This requires that the flow parameters associated with the flow lines of isometry currents and Kähler current extend to global coordinates. This leads to integrability conditions implying generalized Beltrami flow and Kähler action for the preferred extremals reduces to Chern-Simons action when weak electro-weak duality is applied as boundary conditions. The strongest form of the hydrodynamical interpretation requires that all conserved currents are parallel to Kähler current. In the more general case one would have several hydrodynamic flows. Also the braidings (several of them for the most general ansatz) assigned with the light-like 3-surfaces are naturally defined by the flow lines of conserved currents. The independent behavior of particles at different flow lines can be seen as a realization of the complete integrability of the theory. In free quantum field theories on mass shell Fourier components are in a similar role but the geometric interpretation in terms of flow is of course lacking. This picture should generalize also to the solution of the modified Dirac equation.

##### Basic field equations

Consider first the equations at general level.

1. The breaking of the Poincare symmetry due to the presence of monopole field occurs and leads to the isometry group  $T \times SO(3) \times SU(3)$  corresponding to time translations, rotations, and color group. The Cartan algebra is four-dimensional and field equations reduce to the conservation laws of energy  $E$ , angular momentum  $J$ , color isospin  $I_3$ , and color hypercharge  $Y$ .
2. Quite generally, one can write the field equations as conservation laws for  $I, J, I_3$ , and  $Y$ .

$$D_\alpha [D_\beta (J^{\alpha\beta} H_A) - j_K^\alpha H^A + T^{\alpha\beta} j_A^l h_{kl} \partial_\beta h^l] = 0 . \quad (8.9.15)$$

The first term gives a contraction of the symmetric Ricci tensor with antisymmetric Kähler form and vanishes so that one has

$$D_\alpha [j_K^\alpha H^A - T^{\alpha\beta} j_A^k h_{kl} \partial_\beta h^l] = 0 . \quad (8.9.16)$$

For energy one has  $H_A = 1$  and energy current associated with the flow lines is proportional to the Kähler current. Its divergence vanishes identically.

3. One can express the divergence of the term involving energy momentum tensor as a sum of terms involving  $j_K^\alpha J_{\alpha\beta}$  and contraction of second fundamental form with energy momentum tensor so that one obtains

$$j_K^\alpha D_\alpha H^A = j_K^\alpha J_{\alpha\beta} j_\beta^A + T^{\alpha\beta} H_{\alpha\beta}^k j_k^A . \quad (8.9.17)$$

### Hydrodynamical solution ansatz

The characteristic feature of the solution ansatz would be the reduction of the dynamics to hydrodynamics analogous to that for a continuous distribution of particles initially at the end of  $X^3$  of the light-like 3-surface moving along flow lines defined by currents  $j_A$  satisfying the integrability condition  $j_A \wedge dj_A = 0$ . Field theory would reduce effectively to particle mechanics along flow lines with conserved charges defined by various isometry currents. The strongest condition is that all isometry currents  $j_A$  and also Kähler current  $j_K$  are proportional to the same current  $j$ . The more general option corresponds to multi-hydrodynamics.

Conserved currents are analogous to hydrodynamical currents in the sense that the flow parameter along flow lines extends to a global space-time coordinate. The conserved current is proportional to the gradient  $\nabla\Phi$  of the coordinate varying along the flow lines:  $J = \Psi\nabla\Phi$  and by a proper choice of  $\Psi$  one can allow to have conservation. The initial values of  $\Psi$  and  $\Phi$  can be selected freely along the flow lines beginning from either the end of the space-time surface or from wormhole throats.

If one requires hydrodynamics also for Chern-Simons action (effective 2-dimensionality is required for preferred extremals), the initial values of scalar functions can be chosen freely only at the partonic 2-surfaces. The freedom to choose the initial values of the charges conserved along flow lines at the partonic 2-surfaces means the existence of an infinite number of conserved charges so that the theory would be integrable and even in two different coordinate directions. The basic difference as compared to ordinary conservation laws is that the conserved currents are parallel and their flow parameter extends to a global coordinate.

1. The most general assumption is that the conserved isometry currents

$$J_A^\alpha = j_K^\alpha H^A - T^{\alpha\beta} j_A^k h_{kl} \partial_\beta h^l \quad (8.9.18)$$

and Kähler current are integrable in the sense that  $J_A \wedge J_A = 0$  and  $j_K \wedge j_K = 0$  hold true. One could imagine the possibility that the currents are not parallel.

2. The integrability condition  $dJ_A \wedge J_A = 0$  is satisfied if one has

$$J_A = \Psi_A d\Phi_A . \quad (8.9.19)$$

The conservation of  $J_A$  gives

$$d * (\Psi_A d\Phi_A) = 0 . \quad (8.9.20)$$

This would mean separate hydrodynamics for each of the currents involved. In principle there is not need to assume any further conditions and one can imagine infinite basis of scalar function pairs  $(\Psi_A, \Phi_A)$  since criticality implies infinite number deformations implying conserved Noether currents.

3. The conservation condition reduces to d'Alembert equation in the induced metric if one assumes that  $\nabla\Psi_A$  is orthogonal with every  $d\Phi_A$ .

$$d * d\Phi_A = 0 \ , \ d\Psi_A \cdot d\Phi_A = 0 \ . \quad (8.9.21)$$

Taking  $x = \Phi_A$  as a coordinate the orthogonality condition states  $g^{xj}\partial_j\Psi_A = 0$  and in the general case one cannot solve the condition by simply assuming that  $\Psi_A$  depends on the coordinates transversal to  $\Phi_A$  only. These conditions bring in mind  $p \cdot p = 0$  and  $p \cdot e$  condition for massless modes of Maxwell field having fixed momentum and polarization.  $d\Phi_A$  would correspond to  $p$  and  $d\Psi_A$  to polarization. The condition that each isometry current corresponds its own pair  $(\Psi_A, \Phi_A)$  would mean that each isometry current corresponds to independent light-like momentum and polarization. Ordinary free quantum field theory would support this view whereas hydrodynamics and QFT limit of TGD would support single flow.

These are the most general hydrodynamical conditions that one can assume. One can consider also more restricted scenarios.

1. The strongest ansatz is inspired by the hydrodynamical picture in which all conserved isometry charges flow along same flow lines so that one would have

$$J_A = \Psi_A d\Phi \ . \quad (8.9.22)$$

In this case same  $\Phi$  would satisfy simultaneously the d'Alembert type equations.

$$d * d\Phi = 0 \ , \ d\Psi_A \cdot d\Phi = 0. \quad (8.9.23)$$

This would mean that the massless modes associated with isometry currents move in parallel manner but can have different polarizations. The spinor modes associated with light-light like 3-surfaces carry parallel four-momenta, which suggest that this option is correct. This allows a very general family of solutions and one can have a complete 3-dimensional basis of functions  $\Psi_A$  with gradient orthogonal to  $d\Phi$ .

2. Isometry invariance under  $T \times SO(3) \times SU(3)$  allows to consider the possibility that one has

$$J_A = k_A \Psi_A d\Phi_{G(A)} \ , \ d * (d\Phi_{G(A)}) = 0 \ , \ d\Psi_A \cdot d\Phi_{G(A)} = 0 \ . \quad (8.9.24)$$

where  $G(A)$  is  $T$  for energy current,  $SO(3)$  for angular momentum currents and  $SU(3)$  for color currents. Energy would thus flow along its own flux lines, angular momentum along its own flow lines, and color quantum numbers along their own flow lines. For instance, color currents would differ from each other only by a numerical constant. The replacement of  $\Psi_A$  with  $\Psi_{G(A)}$  would be too strong a condition since Killing vector fields are not related by a constant factor.

To sum up, the most general option is that each conserved current  $J_A$  defines its own integrable flow lines defined by the scalar function pair  $(\Psi_A, \Phi_A)$ . A complete basis of scalar functions satisfying the d'Alembert type equation guaranteeing current conservation could be imagined with restrictions coming from the effective 2-dimensionality reducing the scalar function basis effectively to the partonic 2-surface. The diametrically opposite option corresponds to the basis obtained by assuming that only single  $\Phi$  is involved.

The proposed solution ansatz can be compared to the earlier ansatz [17] stating that Kähler current is topologized in the sense that for  $D(CP_2) = 3$  it is proportional to the identically conserved

instanton current (so that 4-D Lorentz force vanishes) and vanishes for  $D(CP_2) = 4$  (Maxwell phase). This hypothesis requires that instanton current is Beltrami field for  $D(CP_2) = 3$ . In the recent case the assumption that also instanton current satisfies the Beltrami hypothesis in strong sense (single function  $\Phi$ ) generalizes the topologization hypothesis for  $D(CP_2) = 3$ . As a matter fact, the topologization hypothesis applies to isometry currents also for  $D(CP_2) = 4$  although instanton current is not conserved anymore.

### Can one require the extremal property in the case of Chern-Simons action?

Effective 2-dimensionality is achieved if the ends and wormhole throats are extremals of Chern-Simons action. The strongest condition would be that space-time surfaces allow orthogonal slicings by 3-surfaces which are extremals of Chern-Simons action.

Also in this case one can require that the flow parameter associated with the flow lines of the isometry currents extends to a global coordinate. Kähler magnetic field  $B = *J$  defines a conserved current so that all conserved currents would flow along the field lines of  $B$  and one would have 3-D Beltrami flow. Note that in magnetohydrodynamics the standard assumption is that currents flow along the field lines of the magnetic field.

For wormhole throats light-likeness causes some complications since the induced metric is degenerate and the contravariant metric must be restricted to the complement of the light-like direction. This means that d'Alembert equation reduces to 2-dimensional Laplace equation. For space-like 3-surfaces one obtains the counterpart of Laplace equation with partonic 2-surfaces serving as sources. The interpretation in terms of analogs of Coulomb potentials created by 2-D charge distributions would be natural.

## 8.9.5 Hydrodynamic picture in fermionic sector

Super-symmetry inspires the conjecture that the hydrodynamical picture applies also to the solutions of the modified Dirac equation.

### 4-dimensional modified Dirac equation and hydrodynamical picture

Consider first the solutions of the induced spinor field in the interior of space-time surface.

1. The local inner products of the modes of the induced spinor fields define conserved currents

$$\begin{aligned} D_\alpha J_{mn}^\alpha &= 0 , \\ J_{mn}^\alpha &= \bar{u}_m \hat{\Gamma}^\alpha u_n , \\ \hat{\Gamma}^\alpha &= \frac{\partial L_K}{\partial(\partial_\alpha h^k)} \Gamma_k . \end{aligned} \tag{8.9.25}$$

The conjecture is that the flow parameters of also these currents extend to a global coordinate so that one would have in the completely general case the condition

$$\begin{aligned} J_{mn}^\alpha &= \Phi_{mn} d\Psi_{mn} , \\ d*(d\Phi_{mn}) &= 0 , \quad \nabla \Psi_{mn} \cdot \Phi_{mn} = 0 . \end{aligned} \tag{8.9.26}$$

The condition  $\Phi_{mn} = \Phi$  would mean that the massless modes propagate in parallel manner and along the flow lines of Kähler current. The conservation condition along the flow line implies that the current component  $J_{mn}$  is constant along it. Everything would reduce to initial values at the ends of the space-time sheet boundaries of  $CD$  and 3-D modified Dirac equation would reduce everything to initial values at partonic 2-surfaces.



2. One might hope that the conservation of these super currents for all modes is equivalent with the modified Dirac equation. The modes  $u_n$  appearing in  $\Psi$  in quantized theory would be kind of "square roots" of the basis  $\Phi_{mn}$  and the challenge would be to deduce the modes from the conservation laws.
3. The quantization of the induced spinor field in 4-D sense would be fixed by those at 3-D space-like ends by the fact that the oscillator operators are carried along the flow lines as such so that the anti-commutator of the induced spinor field at the opposite ends of the flow lines at the light-like boundaries of  $CD$  is in principle fixed by the anti-commutations at the either end. The anti-commutations at 3-D surfaces cannot be fixed freely since one has 3-D Chern-Simons flow reducing the anti-commutations to those at partonic 2-surfaces.

The following argument suggests that induced spinor fields are in a suitable gauge simply constant along the flow lines of the Kähler current just as massless spinor modes are constant along the geodesic in the direction of momentum.

1. The modified gamma matrices are of form  $T_k^\alpha \Gamma^k$ ,  $T_k^\alpha = \partial L_K / \partial (\partial_\alpha h^k)$ . The H-vectors  $T_k^\alpha$  can be expressed as linear combinations of a subset of Killing vector fields  $j_A^k$  spanning the tangent space of  $H$ . For  $CP_2$  the natural choice are the 4 Lie-algebra generators in the complement of  $U(2)$  sub-algebra. For  $CD$  one can use generator time translation and three generators of rotation group  $SO(3)$ . The completeness of the basis defined by the subset of Killing vector fields gives completeness relation  $h_l^k = j^{Ak} j_{Ak}$ . This implies  $T^{\alpha k} = T^{\alpha k} j_A^k j_A^k = T^{\alpha A} j_A^k$ . One can define gamma matrices  $\Gamma_A$  as  $\Gamma_k j_A^k$  to get  $T_k^\alpha \Gamma^k = T^{\alpha A} \Gamma_A$ .
2. This together with the condition that all isometry currents are proportional to the Kähler current (or if this vanishes to some conserved current- say energy current) satisfying Beltrami flow property implies that one can reduce the modified Dirac equation to an ordinary differential equation along flow lines. The quantities  $T^{tA}$  are constant along the flow lines and one obtains

$$T^{tA} j_A D_t \Psi = 0 . \tag{8.9.27}$$

By choosing the gauge suitably the spinors are just constant along flow lines so that the spinor basis reduces by effective 2-dimensionality to a complete spinor basis at partonic 2-surfaces.

**Generalized eigen modes for the modified Chern-Simons Dirac equation and hydrodynamical picture**

Hydrodynamical picture helps to understand also the construction of generalized eigen modes of 3-D Chern-Simons Dirac equation.

*The general form of generalized eigenvalue equation for Chern-Simons Dirac action*

Consider first the the general form and interpretation of the generalized eigenvalue equation assigned with the modified Dirac equation for Chern-Simons action [31]. This is of course only an approximation since an additional contribution to the modified gamma matrices from the Lagrangian multiplier term guaranteeing the weak form of electric-magnetic duality must be included.

1. The modified Dirac equation for  $\Psi$  is consistent with that for its conjugate if the coefficient of the instanton term is real and one uses the Dirac action  $\bar{\Psi}(D^\rightarrow - D^\leftarrow)\Psi$  giving modified Dirac equation as

$$D_{C-S} \Psi + \frac{1}{2} (D_\alpha \hat{\Gamma}_{C-S}^\alpha) \Psi = 0 . \tag{8.9.28}$$

As noticed, the divergence  $D_\alpha \hat{\Gamma}_{C-S}^\alpha$  does not contain second derivatives in the case of Chern-Simons action. In the case of Kähler action they occur unless field equations equivalent with the

vanishing of the divergence term are satisfied. The extremals of Chern-Simons action provide a natural manner to define effective 2-dimensionality.

Also the fermionic current is conserved in this case, which conforms with the idea that fermions flow along the light-like 3-surfaces. If one uses the action  $\bar{\Psi}D\rightarrow\Psi$ ,  $\bar{\Psi}$  does not satisfy the Dirac equation following from the variational principle and fermion current is not conserved.

2. The generalized eigen modes of  $D_{C-S}$  should be such that one obtains the counterpart of Dirac propagator which is purely algebraic and does not therefore depend on the coordinates of the throat. This is satisfied if the generalized eigenvalues are expressible in terms of covariantly constant combinations of gamma matrices and here only  $M^4$  gamma matrices are possible. Therefore the eigenvalue equation would read as

$$D\Psi = \lambda^k \gamma_k \Psi \ , \ D = D_{C-S} + \frac{1}{2} D_\alpha \hat{\Gamma}_{C-S}^\alpha \ , \ D_{C-S} = \hat{\Gamma}_{C-S}^\alpha D_\alpha \ . \quad (8.9.29)$$

Here the covariant derivatives  $D_\alpha$  contain the measurement interaction term as an apparent gauge term. For extremals one has

$$D = D_{C-S} \ . \quad (8.9.30)$$

Covariant constancy allows to take the square of this equation and one has

$$(D^2 + [D, \lambda^k \gamma_k])\Psi = \lambda^k \lambda_k \Psi \ . \quad (8.9.31)$$

The commutator term is analogous to magnetic moment interaction.

3. The generalized eigenvalues correspond to  $\lambda = \sqrt{\lambda^k \lambda_k}$  and Dirac determinant is defined as a product of the eigenvalues and conjecture to give the exponent of Kähler action reducing to Chern-Simons term.  $\lambda$  is completely analogous to mass.  $\lambda_k$  cannot be however interpreted as ordinary four-momentum: for instance, number theoretic arguments suggest that  $\lambda_k$  must be restricted to the preferred plane  $M^2 \subset M^4$  interpreted as a commuting hyper-complex plane of complexified quaternions. For incoming lines this mass would vanish so that all incoming particles irrespective their actual quantum numbers would be massless in this sense and the propagator is indeed that for a massless particle. Note that the eigen-modes define the boundary values for the solutions of  $D_K\Psi = 0$  so that the values of  $\lambda$  indeed define the counterpart of the momentum space.

This transmutation of massive particles to effectively massless ones might make possible the application of the twistor formalism as such in TGD framework [40].  $N = 4$  SUSY is one of the very few gauge theory which might be UV finite but it is definitely unphysical due to the masslessness of the basic quanta. Could the resolution of the interpretational problems be that the four-momenta appearing in this theory do not directly correspond to the observed four-momenta?

## 2. Inclusion of the constraint term

As already noticed one must include also the constraint term due to the weak form of electric-magnetic duality and this changes somewhat the above simple picture.

1. At the 3-dimensional ends of the space-time sheet and at wormhole throats the 3-dimensionality allows to introduce a coordinate varying along the flow lines of Kähler magnetic field  $B = *J$ . In this case the integrability conditions state that the flow is Beltrami flow. Note that the value of  $B^\alpha$  along the flow line defining magnetic flux appearing in anti-commutation relations is constant. This suggests that the generalized eigenvalue equation for the Chern-Simons action reduces to a collection of ordinary apparently independent differential equations associated with the flow lines beginning from the partonic 2-surface. This indeed happens when the  $CP_2$  projection is 2-dimensional. In this case it however seems that the basis  $u_n$  is not of much help.
2. The conclusion is wrong: the variations of Chern-Simons action are subject to the constraint that electric-magnetic duality holds true expressible in terms of Lagrange multiplier term

$$\int \Lambda_\alpha (J^{n\alpha} - K \epsilon^{n\alpha\beta\gamma} J_{\beta\gamma}) \sqrt{g_4} d^3 x . \quad (8.9.32)$$

This gives a constraint force to the field equations and also a dependence on the induced 4-metric so that one has only almost topological QFT. This term also guarantees the  $M^4$  part of WCW Kähler metric is non-trivial. The condition that the ends of space-time sheet and wormhole throats are extrema of Chern-Simons action subject to the electric-magnetic duality constraint is strongly suggested by the effective 2-dimensionality. Without the constraint term Chern-Simons action would vanish for its extremals so that Kähler function would be identically zero.

This term implies also an additional contribution to the modified gamma matrices besides the contribution coming from Chern-Simons action so that the first guess for the modified Dirac operator would not be quite correct. This contribution is of exactly of the same general form as the contribution for any general coordinate invariant action. The dependence of the induced metric on  $M^4$  degrees of freedom guarantees that also  $M^4$  gamma matrices are present. In the following this term will not be considered.

3. When the contribution of the constraint term to the modified gamma matrices is neglected, the explicit expression of the modified Dirac operator  $D_{C-S}$  associated with the Chern-Simons term is given by

$$\begin{aligned} D &= \hat{\Gamma}^\mu D_\mu + \frac{1}{2} D_\mu \hat{\Gamma}^\mu , \\ \hat{\Gamma}^\mu &= \frac{\partial L_{C-S}}{\partial_\mu h^k} \Gamma_k = \epsilon^{\mu\alpha\beta} [2J_{kl} \partial_\alpha h^l A_\beta + J_{\alpha\beta} A_k] \Gamma^k D_\mu , \\ D_\mu \hat{\Gamma}^\mu &= B_K^\alpha (J_{k\alpha} + \partial_\alpha A_k) , \\ B_K^\alpha &= \epsilon^{\alpha\beta\gamma} J_{\beta\gamma} , \quad J_{k\alpha} = J_{kl} \partial_\alpha s^l , \quad \hat{\epsilon}^{\alpha\beta\gamma} = \epsilon^{\alpha\beta\gamma} \sqrt{g_3} . \end{aligned} \quad (8.9.33)$$

For the extremals of Chern-Simons action one has  $D_\alpha \hat{\Gamma}^\alpha = 0$ . Analogous condition holds true when the constraining contribution to the modified gamma matrices is added.

### 3. Generalized eigenvalue equation for Chern-Simons Dirac action

Consider now the Chern-Simons Dirac equation in more detail assuming that the inclusion of the constraint contribution to the modified gamma matrices does not induce any complications. Assume also extremal property for Chern-Simons action with constraint term and Beltrami flow property.

1. For the extremals the Chern-Simons Dirac operator (constraint term not included) reduces to a one-dimensional Dirac operator

$$D_{C-S} = \hat{\epsilon}^{r\alpha\beta} [2J_{k\alpha} A_\beta + J_{\alpha\beta} A_k] \Gamma^k D_r . \quad (8.9.34)$$

Constraint term implies only a modification of the modified gamma matrices but the form of the operator remains otherwise same when extrema are in question so that one has  $D_\alpha \hat{\Gamma}^\alpha = 0$ .

2. For the extremals of Chern-Simons action the general solution of the modified Chern-Simons Dirac equation ( $\lambda^k = 0$ ) is covariantly constant with respect to the coordinate  $r$ :

$$D_r \Psi = 0 . \quad (8.9.35)$$

The solution to this condition can be written immediately in terms of a non-integrable phase factor  $P \exp(i \int A_r dr)$ , where integration is along curve with constant transversal coordinates. If  $\hat{\Gamma}^v$  is light-like vector field also  $\hat{\Gamma}^v \Psi_0$  defines a solution of  $D_{C-S}$ . This solution corresponds to a zero mode for  $D_{C-S}$  and does not contribute to the Dirac determinant (suggested to give rise to the exponent of Kähler function identified as Kähler action). Note that the dependence of these solutions on transversal coordinates of  $X_i^3$  is arbitrary which conforms with the hydrodynamic picture. The solutions of Chern-Simons-Dirac are obtained by similar integration procedure also when extremals are not in question.

The formal solution associated with a general eigenvalue  $\lambda$  can be constructed by integrating the eigenvalue equation separately along all coordinate curves. This makes sense if  $r$  indeed assigned to possibly light-like flow lines of  $B^\alpha$  or more general Beltrami field possible induced by the constraint term. There are very strong consistency conditions coming from the conditions that  $\Psi$  in the interior is constant along the flow lines of Kähler current and continuous at the ends and throats (call them collectively boundaries), where  $\Psi$  has a non-trivial variation along the flow lines of  $B^\alpha$ .

1. This makes sense only if the flow lines of the Kähler current are transversal to the boundaries so that the spinor modes at boundaries dictate the modes of the spinor field in the interior. Effective 2-dimensionality means that the spinor modes in the interior can be calculated either by starting from the throats or from the ends so that the data at either upper or lower partonic 2-surfaces dictates everything in accordance with zero energy ontology.
2. This gives an infinite number of commuting diagrams stating that the flow-line time evolution along flow lines along wormhole throats from lower partonic 2-surface to the upper one is equivalent with the flow-line time evolution along the lower end of space-time surface to interior, then along interior to the upper end of the space-time surface and then back to the upper partonic 2-surface. If the space-time surface allows a slicing by partonic 2-surfaces these conditions can be assumed for any pair of partonic 2-surfaces connected by Chern-Simons flow evolution.
3. Since the time evolution along interior keeps the spinor field as constant in the proper gauge and since the flow evolutions at the lower and upper ends are in a reverse direction, there is a strong attemptation to assume that the spinor field at the ends of the of the flow lines of Kähler magnetic field are identical apart from a gauge transformation. This leads to a particle-in-box quantization of the values of the pseudo-mass (periodic boundary conditions). These conditions will be assumed in the sequel.

These assumptions lead to the following picture about the generalized eigen modes.

1. By choosing the gauge so that covariant derivative reduces to ordinary derivative and using the constancy of  $\hat{\Gamma}^r$ , the solution of the generalized eigenvalue equation can be written as

$$\begin{aligned} \Psi &= \exp(iL(r)\hat{\Gamma}^r \lambda^k \Gamma_k) \Psi_0 , \\ L(r) &= \int_0^r \frac{1}{\sqrt{\hat{g}^{rr}}} dr . \end{aligned} \quad (8.9.36)$$

$L(r)$  can be regarded as the along flux line as defined by the effective metric defined by modified gamma matrices. If  $\lambda_k$  is linear combination of  $\Gamma^0$  and  $\Gamma^{rM}$  it anti-commutes with  $\Gamma^r$  which contains only  $CP_2$  gamma matrices so that the pseudo-momentum is a priori arbitrary.

2. When the constraint term taking care of the electric-magnetic duality is included, also  $M^4$  gamma matrices are present. If they are in the orthogonal complement of a preferred plane  $M^2 \subset M^4$ , anti-commutativity is achieved. This assumption cannot be fully justified yet but conforms with the general physical vision. There is an obvious analogy with the condition that polarizations are in a plane orthogonal to  $M^2$ . The condition indeed states that only transversal deformations define quantum fluctuating WCW degrees of freedom contributing to the WCW Kähler metric. In  $M^8-H$  duality the preferred plane  $M^2$  is interpreted as a hyper-complex plane belonging to the tangent space of the space-time surface and defines the plane of non-physical polarizations. Also a generalization of this plane to an integrable distribution of planes  $M^2(x)$  has been proposed and one must consider also now the possibility of a varying plane  $M^2(x)$  for the pseudo-momenta. The scalar function  $\Phi$  appearing in the general solution ansatz for the field equations satisfies massless d'Alembert equation and its gradient defines a local light-like direction at space-time-level and hence a 2-D plane of the tangent space. Maybe the projection of this plane to  $M^4$  could define the preferred  $M^2$ . The minimum condition is that these planes are defined only at the ends of space-time surface and at wormhole throats.
3. If one accepts this hypothesis, one can write

$$\begin{aligned}\Psi &= \left[ \cos(L(r)\lambda) + i \sin(\lambda(r)) \hat{\Gamma}^r \lambda^k \Gamma_k \right] \Psi_0, \\ \lambda &= \sqrt{\lambda_k \lambda^k}.\end{aligned}\tag{8.9.37}$$

4. Boundary conditions should fix the spectrum of masses. If the the flow lines of Kähler current coincide with the flow lines of Kähler magnetic field or more general Beltrami current at wormhole throats one ends up with difficulties since the induced spinor fields must be constant along flow lines and only trivial eigenvalues are possible. Hence it seems that the two Beltrami fields must be transversal. This requires that at the partonic 2-surfaces the value of the induced spinor mode in the interior coincides with its value at the throat. Since the induced spinor fields in interior are constant along flow lines, one must have

$$\exp(i\lambda L_{(max)}) = 1.\tag{8.9.38}$$

This implies that one has essentially particle in a box with size defined by the effective metric

$$\lambda_n = \frac{n2\pi}{L(r_{max})}.\tag{8.9.39}$$

5. This condition cannot however hold true simultaneously for all points of the partonic 2-surfaces since  $L(r_{max})$  depends on the point of the surface. In the most general case one can consider only a subset consisting of the points for which the values of  $L(r_{max})$  are rational multiples of the value of  $L(r_{max})$  at one of the points -call it  $L_0$ . This implies the notion of number theoretical braid. Induced spinor fields are localized to the points of the braid defined by the flow lines of the Kähler magnetic field (or equivalently, any conserved current- this resolves the longstanding issue about the identification of number theoretical braids). The number of the included points depends on measurement resolution characterized somehow by the number rationals which are allowed. Only finite number of harmonics and sub-harmonics of  $L_0$  are possible so that for integer multiples the number of points is finite. If  $n_{max}L_0$  and  $L_0/n_{min}$  are the largest and smallest lengths involved, one can argue that the rationals  $n_{max}/n$ ,  $n = 1, \dots, n_{max}$  and  $n/n_{min}$ ,  $n = 1, \dots, n_{min}$  are the natural ones.

6. One can consider also algebraic extensions for which  $L_0$  is scaled from its reference value by an algebraic number so that the mass scale  $m$  must be scaled up in similar manner. The spectrum comes also now in integer multiples. p-Adic mass calculations predicts mass scales to the inverses of square roots of prime and this raises the expectation that  $\sqrt{n}$  harmonics and sub-harmonics of  $L_0$  might be necessary. Notice however that pseudo-momentum spectrum is in question so that this argument is on shaky grounds.

There is also the question about the allowed values of  $(\lambda_0, \lambda_3)$  for a given value of  $\lambda$ . This issue will be discussed in the next section devoted to the attempt to calculate the Dirac determinant assignable to this spectrum: suffice it to say that integer valued spectrum is the first guess implying that the pseudo-momenta satisfy  $n_0^2 - n_3^2 = n^2$  and therefore correspond to Pythagorean triangles. What is remarkable that the notion of number theoretic braid pops up automatically from the Beltrami flow hypothesis.

## 8.10 How to define Dirac determinant?

The basic challenge is to define Dirac determinant hoped to give rise to the exponent of Kähler action associated with the preferred extremal. The reduction to almost topological QFT gives this kind of expression in terms of Chern-Simons action and one might hope of obtaining even more concrete expression from the Chern-Simons Dirac determinant. The calculation of the previous section allowed to calculate the most general spectrum of the modified Dirac operator. If the number of the eigenvalues is infinite as the naive expectation is then Dirac determinant diverges if calculated as the product of the eigenvalues and one must calculate it by using some kind of regularization procedure. Zeta function regularization is the natural manner to do this.

The following arguments however lead to a concrete vision how the regularization could be avoided and a connection with infinite primes. In fact, the manifestly finite option and the option involving zeta function regularization give Kähler functions differing only by a scaling factor and only the manifestly finite option satisfies number theoretical constraints coming from p-adicization. An explicit expression for the Dirac determinant in terms of geometric data of the orbit of the partonic 2-surface emerges.

Arithmetic quantum field theory defined by infinite primes emerges naturally. The lines of the generalized Feynman graphs are characterized by infinite primes and the selection rules correlating the geometries of the lines of the generalized Feynman graphs corresponds to the conservation of the sum of number theoretic momenta  $\log(p_i)$  assignable to sub-braids corresponding to different primes  $p_i$  assignable to the orbit of parton. This conforms with the vision that infinite primes indeed characterize the geometry of light-like 3-surfaces and therefore also of space-time sheets. The eigenvalues of the modified Dirac operator are proportional  $1/\sqrt{p_i}$  where  $p_i$  are the primes appearing in the definition of the p-adic prime and the interpretation as analogs of Higgs vacuum expectation values makes sense and is consistent with p-adic length scale hypothesis and p-adic mass calculations. It must be emphasized that all this is essentially due to single basic hypothesis, namely the reduction of quantum TGD to almost topological QFT guaranteed by the Beltrami ansatz for field equations and by the weak form of electric-magnetic duality.

### 8.10.1 Dirac determinant when the number of eigenvalues is infinite

At first sight the general spectrum looks the only reasonable possibility but if the eigenvalues correlate with the geometry of the partonic surface as quantum classical correspondence suggests, this conclusion might be wrong. The original hope was the number of eigenvalues would be finite so that also determinant would be finite automatically. There were some justifications for this hope in the definition of Dirac determinant based on the dimensional reduction of  $D_K$  as  $D_K = D_{K,3} + D_1$  and the identification of the generalized eigenvalues as those assigned to  $D_{K,3}$  as analogs of energy eigenvalues assignable to the light-like 3-surface. It will be found that number theoretic input could allow to achieve a manifest finiteness in the case of  $D_{C-S}$  and that this option is the only possible one if number theoretic universality is required.

If there are no constraints on the eigenvalue spectrum of  $D_{C-S}$  for a given partonic orbit, the naive definition of the determinant gives an infinite result and one must define Dirac determinant using  $\zeta$  function regularization implying that Kähler function reduces to the derivative of the zeta function  $\zeta_D(s)$  -call it Dirac Zeta- associated with the eigenvalue spectrum.

Consider now the situation when the number of eigenvalues is infinite.

1. In this kind of situation zeta function regularization is the standard manner to define the Dirac determinant. What one does is to assign zeta function to the spectrum- let us call it Dirac zeta function and denote by  $\zeta_D(s)$ - as

$$\zeta_D(s) = \sum_k \lambda_k^{-s} . \quad (8.10.1)$$

If the eigenvalue  $\lambda_k$  has degeneracy  $g_k$  it appears  $g_k$  times in the sum. In the case of harmonic oscillator one obtains Riemann zeta for which sum representation converges only for  $Re(s) \geq 1$ . Riemann zeta can be however analytically continued to the entire complex plane and the idea is that this can be done also in the more general case.

2. By the basic conjecture Kähler function corresponds to the logarithm of the Dirac determinant and equals to the sum of the logarithms of the eigenvalues

$$K = \log\left(\prod \lambda_k\right) = -\frac{d\zeta_D}{ds} \Big|_{s=0} . \quad (8.10.2)$$

The expression on the left hand side diverges if taken as such but the expression on the right had side based on the analytical continuation of the zeta function is completely well-defined and finite quantity. Note that the replacement of eigenvalues  $\lambda_k$  by their powers  $\lambda_k^n$  -or equivalently the increase of the degeneracy by a factor  $n$  - brings in only a factor  $n$  to  $K$ :  $K \rightarrow nK$ .

3. Dirac determinant involves in the minimal situation only the integer multiples of pseudo-mass scale  $\lambda = 2\pi/L_{min}$ . One can consider also rational and even algebraic multiples  $qL_{min} < L_{max}$ ,  $q \geq 1$ , of  $L_{min}$  so that one would have several integer spectra simultaneously corresponding to different braids. Here  $L_{min}$  and  $L_{max}$  are the extrema of the braid strand length determined in terms of the effective metric as  $L = \int (\hat{g}^{rr})^{-1/2} dr$ . The question what multiples are involved will be needed later.
4. Each rational or algebraic multiple of  $L_{min}$  gives to the zeta function a contribution which is of same form so that one has

$$\zeta_D = \sum_q \zeta(\log(qx)s) , \quad x = \frac{L_{min}}{R} , \quad 1 \leq q < \frac{L_{max}}{L_{min}} . \quad (8.10.3)$$

Kähler function can be expressed as

$$K = \sum_n \log(\lambda_n) = -\frac{d\zeta_D(s)}{ds} = -\sum_q \log(qx) \frac{d\zeta(s)}{ds} \Big|_{s=0} , \quad x = \frac{L_{min}}{R} . \quad (8.10.4)$$

What is remarkable that the number theoretical details of  $\zeta_D$  determine only the overall scaling factor of Kähler function and thus the value of Kähler coupling strength, which would be purely number theoretically determined if the hypothesis about the role of infinite primes is correct. Also the value of  $R$  is irrelevant since it does not affect the Kähler metric.

5. The dependence of Kähler function on WCW degrees of freedom would be coded completely by the dependence of the length scales  $qL_{min}$  on the complex coordinates of WCW: note that this dependence is different for each scale. This is reminiscent of the coding of the shape of the drum (or more generally - manifold) by the spectrum of its eigen frequencies. Now Kähler geometry would code for the dependence of the spectrum on the shape of the drum defined by the partonic 2-surface and the 4-D tangent space distribution associated with it.

What happens at the limit of vacuum extremals serves as a test for the identification of Kähler function as Dirac determinant. The weak form of electric magnetic duality implies that all components of the induced Kähler field vanish simultaneously if Kähler magnetic field cancels. In the modified Chern-Simons Dirac equation one obtains  $L = \int (\hat{g}^{rr})^{-1/2} dr$ . The modified gamma matrix  $\hat{\Gamma}^r$  approaches a finite limit when Kähler magnetic field vanishes

$$\hat{\Gamma}^r = \epsilon^{r\beta\gamma} (2J_{\beta k} A_\gamma + J_{\beta\gamma} A_k) \Gamma^k \rightarrow 2\epsilon^{r\beta\gamma} J_{\beta k} \Gamma^k . \quad (8.10.5)$$

The relevant component of the effective metric is  $\hat{g}^{rr}$  and is given by

$$\hat{g}^{rr} = (\hat{\Gamma}^r)^2 = 4\epsilon^{r\beta\gamma} \epsilon^{r\mu\nu} J_{\beta k} J_\mu{}^k A_\gamma A_\nu . \quad (8.10.6)$$

The limit is non-vanishing in general and therefore the eigenvalues remain finite also at this limit as also the parameter  $L_{min} = \int (\hat{g}^{rr})^{-1/2} dr$  defining the minimum of the length of the braid strand defined by Kähler magnetic flux line in the effective metric unless  $\hat{g}^{rr}$  goes to zero everywhere inside the partonic surface. Chern-Simons action and Kähler action vanish for vacuum extremals so that in this case one could require that Dirac determinant approaches to unity in a properly chosen gauge. Dirac determinant should approach to unit for vacuum extremals indeed approaches to unity since there are no finite eigenvalues at the limit  $\hat{g}^{rr} = 0$ .

### 8.10.2 Hyper-octonionic primes

Before detailed discussion of the hyper-octonionic option it is good to consider the basic properties of hyper-octonionic primes.

1. Hyper-octonionic primes are of form

$$\Pi_p = (n_0, n_3, n_1, n_2, \dots, n_7) , \quad \Pi_p^2 = n_0^2 - \sum_i n_i^2 = p \text{ or } p^2 . \quad (8.10.7)$$

2. Hyper-octonionic primes have a standard representation as hyper-complex primes. The Minkowski norm squared factorizes into a product as

$$n_0^2 - n_3^2 = (n_0 + n_3)(n_0 - n_3) . \quad (8.10.8)$$

If one has  $n_3 \neq 0$ , the prime property implies  $n_0 - n_3 = 1$  so that one obtains  $n_0 = n_3 + 1$  and  $2n_3 + 1 = p$  giving

$$(n_0, n_3) = ((p+1)/2, (p-1)/2) . \quad (8.10.9)$$

Note that one has  $(p+1)/2$  odd for  $p \bmod 4 = 1$  and  $(p+1)/2$  even for  $p \bmod 4 = 3$ . The difference  $n_0 - n_3 = 1$  characterizes prime property.

If  $n_3$  vanishes the prime prime property implies equivalence with ordinary prime and one has  $n_0^2 = p^2$ . These hyper-octonionic primes represent particles at rest.



3. The action of a discrete subgroup  $G(p)$  of the octonionic automorphism group  $G_2$  generates form hyper-complex primes with  $n_3 \neq 0$  further hyper-octonionic primes  $\Pi(p, k)$  corresponding to the same value of  $n_0$  and  $p$  and for these the integer valued projection to  $M^2$  satisfies  $n_0^2 - n_3^2 = n > p$ . It is also possible to have a state representing the system at rest with  $(n_0, n_3) = ((p+1)/2, 0)$  so that the pseudo-mass varies in the range  $[\sqrt{p}, (p+1)/2]$ . The subgroup  $G(n_0, n_3) \subset SU(3)$  leaving invariant the projection  $(n_0, n_3)$  generates the hyper-octonionic primes corresponding to the same value of mass for hyper-octonionic primes with same Minkowskian length  $p$  and pseudo-mass  $\lambda = n \geq \sqrt{p}$ .
4. One obtains two kinds of primes corresponding to the lengths of pseudo-momenta equal to  $p$  or  $\sqrt{p}$ . The first kind of particles are always at rest whereas the second kind of particles can be brought at rest only if one interprets the pseudo-momentum as  $M^2$  projection. This brings in mind the secondary p-adic length scales assigned to causal diamonds (CDs) and the primary p-adic lengths scales assigned to particles.

If the  $M^2$  projections of hyper-octonionic primes with length  $\sqrt{p}$  characterize the allowed basic momenta,  $\zeta_D$  is sum of zeta functions associated with various projections which must be in the limits dictated by the geometry of the orbit of the partonic surface giving upper and lower bounds  $L_{max}$  and  $L_{min}$  on the length  $L$ .  $L_{min}$  is scaled up to  $\sqrt{n_0^2 - n_3^2} L_{min}$  for a given projection  $(n_0, n_3)$ . In general a given  $M^2$  projection  $(n_0, n_3)$  corresponds to several hyper-octonionic primes since  $SU(3)$  rotations give a new hyper-octonionic prime with the same  $M^2$  projection. This leads to an inconsistency unless one has a good explanation for why some basic momentum can appear several times. One might argue that the spinor mode is degenerate due to the possibility to perform discrete color rotations of the state. For hyper complex representatives there is no such problem and it seems favored. In any case, one can look how the degeneracy factors for given projection can be calculated.

1. To calculate the degeneracy factor  $D(n)$  associated with given pseudo-mass value  $\lambda = n$  one must find all hyper-octonionic primes  $\Pi$ , which can have projection in  $M^2$  with length  $n$  and sum up the degeneracy factors  $D(n, p)$  associated with them:

$$\begin{aligned}
 D(n) &= \sum_p D(n, p) , \\
 D(n, p) &= \sum_{n_0^2 - n_3^2 = p} D(p, n_0, n_3) , \\
 n_0^2 - n_3^2 &= n , \quad \Pi_p^2(n_0, n_3) = n_0^2 - n_3^2 - \sum_i n_i^2 = n - \sum_i n_i^2 = p . \quad (8.10.10)
 \end{aligned}$$

2. The condition  $n_0^2 - n_3^2 = n$  allows only Pythagorean triangles and one must find the discrete subgroup  $G(n_0, n_3) \subset SU(3)$  producing hyper-octonions with integer valued components with length  $p$  and components  $(n_0, n_3)$ . The points at the orbit satisfy the condition

$$\sum n_i^2 = p - n . \quad (8.10.11)$$

The degeneracy factor  $D(p, n_0, n_3)$  associated with given mass value  $n$  is the number of elements of in the coset space  $G(n_0, n_3, p)/H(n_0, n_3, p)$ , where  $H(n_0, n_3, p)$  is the isotropy group of given hyper-octonionic prime obtained in this manner. For  $n_0^2 - n_3^2 = p^2$   $D(n_0, n_3, p)$  obviously equals to unity.

### 8.10.3 Three basic options for the pseudo-momentum spectrum

The calculation of the scaling factor of the Kähler function requires the knowledge of the degeneracies of the mass squared eigen values. There are three options to consider.

### First option: all pseudo-momenta are allowed

If the degeneracy for pseudo-momenta in  $M^2$  is same for all mass values- and formally characterizable by a number  $N$  telling how many 2-D pseudo-momenta reside on mass shell  $n_0^2 - n_3^2 = m^2$ . In this case zeta function would be proportional to a sum of Riemann Zetas with scaled arguments corresponding to scalings of the basic mass  $m$  to  $m/q$ .

$$\zeta_D(s) = N \sum_q \zeta(\log(qx)s) , \quad x = \frac{L_{min}}{R} . \quad (8.10.12)$$

This option provides no idea about the possible values of  $1 \leq q \leq L_{max}/L_{min}$ . The number  $N$  is given by the integral of relativistic density of states  $\int dk/2\sqrt{k^2 + m^2}$  over the hyperbola and is logarithmically divergent so that the normalization factor  $N$  of the Kähler function would be infinite.

### Second option: All integer valued pseudomomenta are allowed

Second option is inspired by number theoretic vision and assumes integer valued components for the momenta using  $m_{max} = 2\pi/L_{min}$  as mass unit. p-Adicization motivates also the assumption that momentum components using  $m_{max}$  as mass scale are integers. This would restrict the choice of the number theoretical braids.

Integer valuedness together with masses coming as integer multiples of  $m_{max}$  implies  $(\lambda_0, \lambda_3) = (n_0, n_3)$  with on mass shell condition  $n_0^2 - n_3^2 = n^2$ . Note that the condition is invariant under scaling. These integers correspond to Pythagorean triangles plus the degenerate situation with  $n_3 = 0$ . There exists a finite number of pairs  $(n_0, n_3)$  satisfying this condition as one finds by expressing  $n_0$  as  $n_0 = n_3 + k$  giving  $2n_3k + k^2 = p^2$  giving  $n_3 < n^2/2, n_0 < n^2/2 + 1$ . This would be enough to have a finite degeneracy  $D(n) \geq 1$  for a given value of mass squared and  $\zeta_D$  would be well defined.  $\zeta_D$  would be a modification of Riemann zeta given by

$$\begin{aligned} \zeta_D &= \sum_q \zeta_1(\log(qx)s) , \quad x = \frac{L_{min}}{R} , \\ \zeta_1(s) &= \sum g_n n^{-s} , \quad g_n \geq 1 . \end{aligned} \quad (8.10.13)$$

For generalized Feynman diagrams this option allows conservation of pseudo-momentum and for loops no divergences are possible since the integral over two-dimensional virtual momenta is replaced with a sum over discrete mass shells containing only a finite number of points. This option looks thus attractive but requires a regularization. On the other hand, the appearance of a zeta function having a strong resemblance with Riemann zeta could explain the finding that Riemann zeta is closely related to the description of critical systems. This point will be discussed later.

### Third option: Infinite primes code for the allowed mass scales

According to the proposal of [16, 54] the hyper-complex parts of hyper-octonionic primes appearing in their infinite counterparts correspond to the  $M^2$  projections of real four-momenta. This hypothesis suggests a very detailed map between infinite primes and standard model quantum numbers and predicts a universal mass spectrum [16]. Since pseudo-momenta are automatically restricted to the plane  $M^2$ , one cannot avoid the question whether they could actually correspond to the hyper-octonionic primes defining the infinite prime. These interpretations need not of course exclude each other. This option allows several variants and at this stage it is not possible to exclude any of these options.

1. One must choose between two alternatives for which pseudo-momentum corresponds to hyper-complex prime serving as a canonical representative of a hyper-octonionic prime or a projection of hyper-octonionic prime to  $M^2$ .
2. One must decide whether one allows a) only the momenta corresponding to hyper-complex primes, b) also their powers (p-adic fractality), or c) all their integer multiples ("Riemann option").

One must also decide what hyper-octonionic primes are allowed.

1. The first guess is that all hyper-complex/hyper-octonionic primes defining length scale  $\sqrt{p}L_{min} \leq L_{max}$  or  $pL_{min} \leq L_{max}$  are allowed. p-Adic fractality suggests that also the higher p-adic length scales  $p^{n/2}L_{min} < L_{max}$  and  $p^n L_{min} < L_{max}$ ,  $n \geq 1$ , are possible.

It can however happen that no primes are allowed by this criterion. This would mean vanishing Kähler function which is of course also possible since Kähler action can vanish (for instance, for massless extremals). It seems therefore safer to allow also the scale corresponding to the trivial prime  $(n_0, n_3) = (1, 0)$  (1 is formally prime because it is not divisible by any prime different from 1) so that at least  $L_{min}$  is possible. This option also allows only rather small primes unless the partonic 2-surface contains vacuum regions in which case  $L_{max}$  is infinite: in this case all primes would be allowed and the exponent of Kähler function would vanish.

2. The hypothesis that only the hyper-complex or hyper-octonionic primes appearing in the infinite hyper-octonionic prime are possible looks more reasonable since large values of  $p$  would be possible and could be identified in terms of the p-adic length scale hypothesis. All hyper-octonionic primes appearing in infinite prime would be possible and the geometry of the orbit of the partonic 2-surface would define an infinite prime. This would also give a concrete physical interpretation for the earlier hypothesis that hyper-octonionic primes appearing in the infinite prime characterize partonic 2-surfaces geometrically. One can also identify the fermionic and purely bosonic primes appearing in the infinite prime as braid strands carrying fermion number and purely bosonic quantum numbers. This option will be assumed in the following.

### 8.10.4 Expression for the Dirac determinant for various options

The expressions for the Dirac determinant for various options can be deduced in a straightforward manner. Numerically Riemann option and manifestly finite option do not differ much but their number theoretic properties are totally different.

#### Riemann option

All integer multiples of these basic pseudo-momenta would be allowed for Riemann option so that  $\zeta_D$  would be sum of Riemann zetas with arguments scaled by the basic pseudo-masses coming as inverses of the basic length scales for braid strands. For the option involving only hyper-complex primes the formula for  $\zeta_D$  reads as

$$\zeta_D = \zeta(\log(x_{min}s)) + \sum_{i,n} \zeta(\log(x_{i,n}s)) + \sum_{i,n} \zeta(\log(y_{i,n}s)) ,$$

$$x_{i,n} = p_i^{n/2} x_{min} \leq x_{max} , \quad p_i \geq 3 , \quad y_{i,n} = p_i^n x_{min} \leq x_{max} \cdot p_i \geq 2 ,$$
(8.10.14)

$L_{max}$  resp.  $L_{min}$  is the maximal resp. minimal length  $L = \int (\hat{g}^{rr})^{-1/2} dr$  for the braid strand defined by the flux line of the Kähler magnetic field in the effective metric. The contributions correspond to the effective hyper-complex prime  $p_1 = (1, 0)$  and hyper-complex primes with Minkowski lengths  $\sqrt{p}$  ( $p \geq 3$ ) and  $p$ ,  $p \geq 2$ . If also higher p-adic length scales  $L_n = p^{n/2}L_{min} < L_{max}$  and  $L_n = p^n L_{min} < L_{max}$ ,  $n > 1$ , are allowed there is no further restriction on the summation. For the restricted option only  $L_n$ ,  $n = 0, 2$  is allowed.

The expressions for the Kähler function and its exponent reads as

$$K = k(\log(x_{min}) + \sum_i \log(x_i) + \sum_i \log(y_i)) ,$$

$$\exp(K) = \left(\frac{1}{x_{min}}\right)^k \times \prod_i \left(\frac{1}{x_i}\right)^k \times \prod_i \left(\frac{1}{y_i}\right)^k ,$$

$$x_i \leq x_{max} , \quad y_i \leq x_{max} , \quad k = -\frac{d\zeta(s)}{ds} \Big|_{s=0} = \frac{1}{2} \log(2\pi) \simeq .9184 .$$
(8.10.15)

From the point of view of p-adicization program the appearance of strongly transcendental numbers in the normalization factor of  $\zeta_D$  is not a well-come property.

If the scaling of the WCW Kähler metric by  $1/k$  is a legitimate procedure it would allow to get rid of the transcendental scaling factor  $k$  and this scaling would cancel also the transcendental from the exponent of Kähler function. The scaling is not however consistent with the view that Kähler coupling strength determines the normalization of the WCW metric.

This formula generalizes in a rather obvious manner to the cases when one allows  $M^2$  projections of hyper-octonionic primes.

### Manifestly finite options

The options for which one does not allow summation over all integer multiples of the basic momenta characterized by the canonical representatives of hyper-complex primes or their projections to  $M^2$  are manifestly finite. They differ from the Riemann option only in that the normalization factor  $k \simeq .9184$  defined by the derivative Riemann Zeta at origin is replaced with  $k = 1$ . This would mean manifest finiteness of  $\zeta_D$ . Kähler function and its exponent are given by

$$K = k(\log(x_{min}) + \sum_i \log(x_i) + \sum_i \log(y_i)) , \quad x_i \leq x_{max} , \quad y_i \leq x_{max} ,$$

$$exp(K) = \frac{1}{x_{min}} \times \prod_i \frac{1}{x_i} \times \prod_i \frac{1}{y_i} .$$
(8.10.16)

Numerically the Kähler functions do not differ much since their ratio is .9184. Number theoretically these functions are however completely different. The resulting dependence involves only square roots of primes and is an algebraic function of the lengths  $p_i$  and rational function of  $x_{min}$ . p-Adicization program would require rational values of the lengths  $x_{min}$  in the intersection of the real and p-adic worlds if one allows algebraic extension containing the square roots of the primes involved. Note that in p-adic context this algebraic extension involves two additional square roots for  $p > 2$  if one does not want square root of  $p$ . Whether one should allow for  $R_p$  also extension based on  $\sqrt{p}$  is not quite clear. This would give 8-D extension.

For the more general option allowing all projections of hyper-complex primes to  $M^2$  the general form of Kähler function is same. Instead of pseudo-masses coming as primes and their square roots one has pseudomasses coming as square roots of some integers  $n \leq p$  or  $n \leq p^2$  for each  $p$ . In this case the conservation laws are not so strong.

Note that in the case of vacuum extremals  $x_{min} = \infty$  holds true so that there are no primes satisfying the condition and Kähler function vanishes as it indeed should.

### More concrete picture about the option based on infinite primes

The identification of pseudo-momenta in terms of infinite primes suggests a rather concrete connection between number theory and physics.

1. One could assign the finite hyper-octonionic primes  $\Pi_i$  making the infinite prime to the sub-braids identified as Kähler magnetic flux lines with the same length  $L$  in the effective metric. The primes assigned to the finite part of the infinite prime correspond to single fermion and some number of bosons. The primes assigned to the infinite part correspond to purely bosonic states assignable to the purely bosonic braid strands. Purely bosonic state would correspond to the action of a WCW Hamiltonian to the state.

This correspondence can be expanded to include all quantum numbers by using the pair of infinite primes corresponding to the "vacuum primes"  $X \pm 1$ , where  $X$  is the product of all finite primes [16]. The only difference with respect to the earlier proposal is that physical momenta would be replaced by pseudo-momenta.

2. Different primes  $p_i$  appearing in the infinite prime would correspond to their own sub-braids. For each sub-braid there is a  $N$ -fold degeneracy of the generalized eigen modes corresponding

to the number  $N$  of braid strands so that many particle states are possible as required by the braid picture.

3. The correspondence of infinite primes with the hierarchy of Planck constants could allow to understand the fermion-many boson states and many boson states assigned with a given finite prime in terms of many-particle states assigned to  $n_a$  and  $n_b$ -sheeted singular covering spaces of  $CD$  and  $CP_2$  assignable to the two infinite primes. This interpretation requires that only single p-adic prime  $p_i$  is realized as quantum state meaning that quantum measurement always selects a particular p-adic prime  $p_i$  (and corresponding sub-braid) characterizing the p-adicity of the quantum state. This selection of number field behind p-adic physics responsible for cognition looks very plausible.
4. The correspondence between pairs of infinite primes and quantum states [16] allows to interpret color quantum numbers in terms of the states associated with the representations of a finite subgroup of  $SU(3)$  transforming hyper-octonionic primes to each other and preserving the  $M^2$  pseudo-momentum. Same applies to  $SO(3)$ . The most natural interpretation is in terms of wave functions in the space of discrete  $SU(3)$  and  $SO(3)$  transforms of the partonic 2-surface. The dependence of the pseudo-masses on these quantum numbers is natural so that the projection hypothesis finds support from this interpretation.
5. The infinite prime characterizing the orbit of the partonic 2-surface would thus code which multiples of the basic mass  $2\pi/L_{min}$  are possible. Either the  $M^2$  projections of hyper-octonionic primes or their hyper-complex canonical representatives would fix the basic  $M^2$  pseudo-momenta for the corresponding number theoretic braid associated. In the reverse direction the knowledge of the light-like 3-surface, the  $CD$  and  $CP_2$  coverings, and the number of the allowed discrete  $SU(3)$  and  $SU(2)$  rotations of the partonic 2-surface would dictate the infinite prime assignable to the orbit of the partonic 2-surface.

One would also like to understand whether there is some kind of conservation laws associated with the pseudo-momenta at vertices. The arithmetic QFT assignable to infinite primes would indeed predict this kind of conservation laws.

1. For the manifestly finite option the ordinary conservation of pseudo-momentum conservation at vertices is not possible since the addition of pseudo-momenta does not respect the condition  $n_0 - n_3 = 1$ . In fact, this difference in the sum of hyper-complex prime momenta tells how many momenta are present. If one applies the conservation law to the sum of the pseudo-momenta corresponding to different primes and corresponding braids, one can have reactions in which the number of primes involved is conserved. This would give the selection rule  $\sum_1^N p_i = \sum_1^N p_f$ . These reactions have interpretation in terms of the geometry of the 3-surface representing the line of the generalized Feynman diagram.
2. Infinite primes define an arithmetic quantum field theory in which the total momentum defined as  $\sum n_i \log(p_i)$  is a conserved quantity. As matter fact, each prime  $p_i$  would define a separately conserved momentum so that there would be an infinite number of conservation laws. If the sum  $\sum_i \log(p_i)$  is conserved in the vertex, the primes  $p_i$  associated with the incoming particle are shared with the outgoing particles so that also the total momentum is conserved. This looks the most plausible option and would give very powerful number theoretical selection rules at vertices since the collection of primes associated with incoming line would be union of the collections associated with the outgoing lines and also total pseudo-momentum would be conserved.
3. For the both Riemann zeta option and manifestly finite options the arithmetic QFT associated with infinite primes would be realized at the level of pseudo-momenta meaning very strong selection rules at vertices coding for how the geometries of the partonic lines entering the vertex correlate. WCW integration would reduce for the lines of Feynman diagram to a sum over light-like 3-surfaces characterized by  $(x_{min}, x_{max})$  with a suitable weighting factor and the exponent of Kähler function would give an exponential damping as a function of  $x_{min}$ .

### Which option to choose?

One should be able to make two choices. One must select between hyper-complex representations and the projections of hyper-octonionic primes and between the manifestly finite options and the one producing Riemann zeta?

Hyper-complex option seems to be slightly favored over the projection option.

1. The appearance of the scales  $\sqrt{p_i}x_{min}$  and possibly also their  $p^n$  multiples brings in mind p-adic length scales coming as  $\sqrt{p^n}$  multiples of  $CP_2$  length scale. The scales  $p_i x_{min}$  associated with hyper-complex primes reducing to ordinary primes in turn bring in mind the size scales assignable to  $CDs$ . The hierarchy of Planck constants implies also  $\hbar/\hbar_0 = \sqrt{n_a n_b}$  multiples of these length scales but mass scales would not depend on  $n_a$  and  $n_b$  [24]. For large values of  $p$  the pseudo-momenta are almost light-like for hyper-complex option whereas the projection option allows also states at rest.
2. Hyper-complex option predicts that only the p-adic pseudo-mass scales appear in the partition function and is thus favored by the p-adic length scale hypothesis. Projection option predicts also the possibility of the mass scales (not all of them) coming as  $1/\sqrt{n}$ . These mass scales are however not predicted by the hierarchy of Planck constants.
3. The same pseudo-mass scale can appear several times for the projection option. This degeneracy corresponds to the orbit of the hyper-complex prime under the subgroup of  $SU(3)$  respecting integer property. Similar statement holds true in the case of  $SO(3)$ : these groups are assigned to the two infinite primes characterizing parton. The natural assignment of this degeneracy is to the discrete color rotational and rotational degrees associated with the partonic 2-surface itself rather than spinor modes at fixed partonic 2-surface. That the pseudo-mass would depend on color and angular momentum quantum numbers would make sense.

Consider next the arguments in favor of the manifestly finite option.

1. The manifestly finite option is admittedly more elegant than the one based on Riemann zeta and also guarantees that no additional loop summations over pseudo-momenta are present. The strongest support for the manifestly finite option comes from number theoretical universality.
2. One could however argue that the restriction of the pseudo-momenta to a finite number is not consistent with the modified Dirac-Chern-Simons equation. Quantum classical correspondence however implies correlation between the geometry of the partonic orbits and the pseudo-momenta and the summation over all prime valued pseudo-momenta is present but with a weighting factor coming from Kähler function implying exponential suppression.

The Riemann zeta option could be also defended.

1. The numerical difference of the normalization factors of the Kähler function is however only about 8 per cent and quantum field theorists might interpret the replacement the length scales  $x_i$  and  $y_i$  with  $x_i^d$  and  $y_i^d$ ,  $d \simeq .9184$ , in terms of an anomalous dimension of these length scales. Could one say that radiative corrections mean the scaling of the original preferred coordinates so that one could still have consistency with number theoretic universality?
2. Riemann zeta with a non-vanishing argument could have also other applications in quantum TGD. Riemann zeta has interpretation as a partition function and the zeros of partition functions have interpretation in terms of phase transitions. The quantum criticality of TGD indeed corresponds to a phase transition point. There is also experimental evidence that the distribution of zeros of zeta corresponds to the distribution of energies of quantum critical systems in the sense that the energies correspond to the imaginary parts of the zeros of zeta [54].

The first explanation would be in terms of the analogs of the harmonic oscillator coherent states with integer multiple of the basic momentum taking the role of occupation number of harmonic oscillator and the zeros  $s = 1/2 + iy$  of  $\zeta$  defining the values of the complex coherence parameters. TGD inspired strategy for the proof of Riemann hypothesis indeed leads to the identification of the zeros as coherence parameters rather than energies as in the case of Hilbert-Polya hypothesis

[26] and the vanishing of the zeta at zero has interpretation as orthogonality of the state with respect to the state defined by a vanishing coherence parameter interpreted as a tachyon. One should demonstrate that the energies of quantum states can correspond to the imaginary parts of the coherence parameters.

Second interpretation could be in terms of quantum critical zero energy states for which the "complex square root of density matrix" defines time-like entanglement coefficients of  $M$ -matrix. The complex square roots of the probabilities defined by the coefficient of harmonic oscillator states (perhaps identifiable in terms of the multiples of pseudo-momentum) in the coherent state defined by the zero of  $\zeta$  would define the  $M$ -matrix in this situation. Energy would correspond also now to the imaginary part of the coherence parameter. The norm of the state would be completely well-defined.

### Representation of configuration Kähler metric in terms of eigenvalues of $D_{C-S}$

A surprisingly concrete connection of the configuration space metric in terms of generalized eigenvalue spectrum of  $D_{C-S}$  results. From the general expression of Kähler metric in terms of Kähler function

$$G_{k\bar{l}} = \partial_k \partial_{\bar{l}} K = \frac{\partial_k \partial_{\bar{l}} \exp(K)}{\exp(K)} - \frac{\partial_k \exp(K)}{\exp(K)} \frac{\partial_{\bar{l}} \exp(K)}{\exp(K)}, \quad (8.10.17)$$

and from the expression of  $\exp(K) = \prod_i \lambda_i$  as the product of finite number of eigenvalues of  $D_{C-S}$ , the expression

$$G_{k\bar{l}} = \sum_i \frac{\partial_k \partial_{\bar{l}} \lambda_i}{\lambda_i} - \frac{\partial_k \lambda_i}{\lambda_i} \frac{\partial_{\bar{l}} \lambda_i}{\lambda_i} \quad (8.10.18)$$

for the configuration space metric follows. Here complex coordinates refer to the complex coordinates of configuration space. Hence the knowledge of the eigenvalue spectrum of  $D_{C-S}(X^3)$  as function of some complex coordinates of configuration space allows to deduce the metric to arbitrary accuracy. If the above arguments are correct the calculation reduces to the calculation of the derivatives of  $\log(\sqrt{p}L_{min}/R)$ , where  $L_{min}$  is the length of the Kähler magnetic flux line between partonic 2-surfaces with respect to the effective metric defined by the anti-commutators of the modified gamma matrices. Note that these length scales have different dependence on WCW coordinates so that one cannot reduce everything to  $L_{min}$ . Therefore one would have explicit representation of the basic building brick of WCW Kähler metric in terms of the geometric data associated with the orbit of the partonic 2-surface.

### The formula for the Kähler action of $CP_2$ type vacuum extremals is consistent with the Dirac determinant formula

The first killer test for the formula of Kähler function in terms of the Dirac determinant based on infinite prime hypothesis is provided by the action of  $CP_2$  type vacuum extremals. One of the first attempts to make quantitative predictions in TGD framework was the prediction for the gravitational constant. The argument went as follows.

1. For dimensional reasons gravitational constant must be proportional to p-adic length scale squared, where  $p$  characterizes the space-time sheet of the graviton. It must be also proportional to the square of the vacuum function for the graviton representing a line of generalized Feynman diagram and thus to the exponent  $\exp(-2K)$  of Kähler action for topologically condensed  $CP_2$  type vacuum extremals with very long projection. If topological condensation does not reduce much of the volume of  $CP_2$  type vacuum extremal, the action is just Kähler action for  $CP_2$  itself. This gives

$$\hbar_0 G = L_p^2 \exp(2L_K(CP_2)) = pR^2 \exp(2L_K(CP_2)). \quad (8.10.19)$$

2. Using as input the constraint  $\alpha_K \simeq \alpha_{em} \sim 1/137$  for Kähler coupling strengths coming from the comparison of the TGD prediction for the rotation velocity of distant galaxies around galactic nucleus and the p-adic mass calculation for the electron mass, one obtained the result

$$\exp(2L_K(CP_2)) = \frac{1}{p \times \prod_{p_i \leq 23} p_i} . \quad (8.10.20)$$

The product contains the product of all primes smaller than 24 ( $p_i \in \{2, 3, 5, 7, 11, 13, 17, 19, 23\}$ ). The expression for the Kähler function would be just of the form predicted by the Dirac determinant formula with  $L_{min}$  replaced with  $CP_2$  length scale. As a matter fact, this was the first indication that particles are characterized by several p-adic primes but that only one of them is "active". As explained, the number theoretical state function reduction explains this.

3. The same formula for the gravitational constant would result for any prime  $p$  but the value of Kähler coupling strength would depend on prime  $p$  logarithmically for this option. I indeed proposed that this formula fixes the discrete evolution of the Kähler coupling strength as function of p-adic prime from the condition that gravitational constant is renormalization group invariant quantity but gave up this hypothesis later. It is wisest to keep an agnostic attitude to this issue.
4. I also made numerous brave attempts to deduce an explicit formula for Kähler coupling strength. The general form of the formula is

$$\frac{1}{\alpha_K} = k \log(K^2), \quad K^2 = p \times 2 \times 3 \times 5 \dots \times 23 . \quad (8.10.21)$$

The problem is the exact value of  $k$  cannot be known precisely and the guesses for its value depend on what one means with number theoretical universality. Should Kähler action be a rational number? Or is it Kähler function which is rational number (it is for the Dirac determinant option in this particular case). Is Kähler coupling strength  $g_K^2/4\pi$  or  $g_K^2$  a rational number? Some of the guesses were  $k = \pi/4$  and  $k = 137/107$ . The facts that the value of Kähler action for the line of a generalized diagram is not exactly  $CP_2$  action and the value of  $\alpha_K$  is not known precisely makes these kind of attempts hopeless in absence of additional ideas.

Also other elementary particles -in particular exchanged bosons- should involve the exponent of Kähler action for  $CP_2$  type vacuum extremal. Since the values of gauge couplings are gigantic as compared to the expression of the gravitational constant the value of Kähler action must be rather small for them.  $CP_2$  type vacuum extremals must be short in the sense that  $L_{min}$  in the effective metric is very short. Note however that the p-adic prime characterizing the particle according to p-adic mass calculations would be large also now. One can of course ask whether this p-adic prime characterizes the gravitational space-time sheets associated with the particle and not the particle itself. The assignment of p-adic mass calculations with thermodynamics at gravitational space-time sheets of the particle would be indeed natural. The value of  $\alpha_K$  would depend on  $p$  in logarithmic manner for this option. The topological condensation of could also eat a lot of  $CP_2$  volume for them.

### Eigenvalues of $D_{C-S}$ as vacuum expectations of Higgs field?

Infinite prime hypothesis implies the analog of p-adic length scale hypothesis but since pseudo-momenta are in question, this need not correspond to the p-adic length scale hypothesis for the actual masses justified by p-adic thermodynamics. Note also that  $L_{min}$  does not correspond to  $CP_2$  length scale. This is actually not a problem since the effective metric is not  $M^4$  metric and one can quite well consider the possibility that  $L_{min}$  corresponds to  $CP_2$  length scale in the induced metric. The reason is that light-like 3- surface is in question the distance along the Kähler magnetic flux line reduces essentially to a distance along the partonic 2-surface having size scale of order  $CP_2$  length for the partonic 2-surfaces identified as wormhole throats. Therefore infinite prime can code for genuine



p-adic length scales associated with the light-like 3-surface and quantum states would correspond by number theoretical state function reduction hypothesis to single ordinary prime.

Support for this identification comes also from the expression of gravitational constant deduced from p-adic length scale hypothesis. The result is that gravitational constant is assumed to be proportional to have the expression  $G = L_p^2 \exp(-2S_K(CP_2))$ , where  $p$  characterizes graviton or the space-time sheet mediating gravitational interaction and exponent gives Kähler action for  $CP_2$  type vacuum extremal representing graviton. The argument allows to identify the p-adic prime  $p = M_{127}$  associated with electron (largest Mersenne prime which does not correspond to super-astronomical length scale) as the p-adic prime characterizing also graviton. The exponent of Kähler action is proportional to  $1/p$  which conforms with the general expression for Kähler function. I have considered several identifications of the numerical factor and one of them has been as product of primes  $2 \leq p \leq 23$  assuming that somehow the primes  $\{2, \dots, 23, p\}$  characterize graviton. This guess is indeed consistent with the prediction of the infinite-prime hypothesis.

The first guess inspired by the p-adic mass calculations is that the squares  $\lambda_i^2$  of the eigenvalues of  $D_{C-S}$  could correspond to the conformal weights of ground states. Another natural physical interpretation of  $\lambda$  is as an analog of the Higgs vacuum expectation. The instability of the Higgs=0 phase would correspond to the fact that  $\lambda = 0$  mode is not localized to any region in which ew magnetic field or induced Kähler field is non-vanishing. By the previous argument one would have order of magnitude estimate  $h_0 = \sqrt{2\pi/L_{min}}$ .

1. The vacuum expectation value of Higgs is only proportional to the scale of  $\lambda$ . Indeed, Higgs and gauge bosons as elementary particles correspond to wormhole contacts carrying fermion and anti-fermion at the two wormhole throats and must be distinguished from the space-time correlate of its vacuum expectation as something proportional to  $\lambda$ . For free fermions the vacuum expectation value of Higgs does not seem to be even possible since free fermions do not correspond to wormhole contacts between two space-time sheets but possess only single wormhole throat (p-adic mass calculations are consistent with this). If fermion suffers topological condensation as indeed assumed to do in interaction region, a wormhole contact is generated and makes possible the generation of Higgs vacuum expectation value.
2. Physical considerations suggest that the vacuum expectation of Higgs field corresponds to a particular eigenvalue  $\lambda_i$  of modified Chern-Simons Dirac operator so that the eigenvalues  $\lambda_i$  would define TGD counterparts for the minima of Higgs potential. For the minimal option one has only a finite number of pseudo-mass eigenvalues inversely proportional  $\sqrt{p}$  so that the identification as a Higgs vacuum expectation is consistent with the p-adic length scale hypothesis. Since the vacuum expectation of Higgs corresponds to a condensate of wormhole contacts giving rise to a coherent state, the vacuum expectation cannot be present for topologically condensed  $CP_2$  type vacuum extremals representing fermions since only single wormhole throat is involved. This raises a hen-egg question about whether Higgs contributes to the mass or whether Higgs is only a correlate for massivation having description using more profound concepts. From TGD point of view the most elegant option is that Higgs does not give rise to mass but Higgs vacuum expectation value accompanies bosonic states and is naturally proportional to  $\lambda_i$ . With this interpretation  $\lambda_i$  could give a contribution to both fermionic and bosonic masses.
3. If the coset construction for super-symplectic and super Kac-Moody algebra implying Equivalence Principle is accepted, one encounters what looks like a problem. p-Adic mass calculations require negative ground state conformal weight compensated by Super Virasoro generators in order to obtain massless states. The tachyonicity of the ground states would mean a close analogy with both string models and Higgs mechanism.  $\lambda_i^2$  is very natural candidate for the ground state conformal weights identified but would have wrong sign. Therefore it seems that  $\lambda_i^2$  can define only a deviation of the ground state conformal weight from negative value and is positive.
4. In accordance with this  $\lambda_i^2$  would give constant contribution to the ground state conformal weight. What contributes to the thermal mass squared is the deviation of the ground state conformal weight from half-odd integer since the negative integer part of the total conformal weight can be compensated by applying Virasoro generators to the ground state. The first guess motivated by cyclotron energy analogy is that the lowest conformal weights are of form  $h_c = -n/2 + \lambda_i^2$  where the negative contribution comes from Super Virasoro representation. The

negative integer part of the net conformal weight can be canceled using Super Virasoro generators but  $\Delta h_c$  would give to mass squared a contribution analogous to Higgs contribution. The mapping of the real ground state conformal weight to a p-adic number by canonical identification involves some delicacies.

5. p-Adic mass calculations are consistent with the assumption that Higgs type contribution is vanishing (that is small) for fermions and dominates for gauge bosons. This requires that the deviation of  $\lambda_i^2$  with smallest magnitude from half-odd integer value in the case of fermions is considerably smaller than in the case of gauge bosons in the scale defined by p-adic mass scale  $1/L(k)$  in question. Somehow this difference could relate to the fact that bosons correspond to pairs of wormhole throats.

### Is there a connection between p-adic thermodynamics, hierarchy of Planck constants, and infinite primes

The following observations suggest that there might be an intrinsic connection between p-adic thermodynamics, hierarchy of Planck constants, and infinite primes.

1. p-Adic thermodynamics [20] is based on string mass formula in which mass squared is proportional to conformal weight having values which are integers apart from the contribution of the conformal weight of vacuum which can be non-integer valued. The thermal expectation in p-adic thermodynamics is obtained by replacing the Boltzman weight  $\exp(-E/T)$  of ordinary thermodynamics with p-adic conformal weight  $p^{n/T_p}$ , where  $n$  is the value of conformal weight and  $1/T_p = m$  is integer values inverse p-adic temperature. Apart from the ground state contribution and scale factor p-adic mass squared is essentially the expectation value

$$\langle n \rangle = \frac{\sum_n g(n) n p^{\frac{n}{T_p}}}{\sum_n g(n) p^{\frac{n}{T_p}}} . \quad (8.10.22)$$

$g(n)$  denotes the degeneracy of a state with given conformal weight and depends only on the number of tensor factors in the representations of Virasoro or Super-Virasoro algebra. p-Adic mass squared is mapped to its real counterpart by canonical identification  $\sum x_n p^n \rightarrow \sum x_n p^{-n}$ . The real counterpart of p-adic thermodynamics is obtained by the replacement  $p^{-\frac{n}{T_p}}$  and gives under certain additional assumptions in an excellent accuracy the same results as the p-adic thermodynamics.

2. An intriguing observation is that one could interpret p-adic and real thermodynamics for mass squared also in terms of number theoretic thermodynamics for the number theoretic momentum  $\log(p^n) = n \log(p)$ . The expectation value for this differs from the expression for  $\langle n \rangle$  only by the factor  $\log(p)$ .
3. In the proposed characterization of the partonic orbits in terms of infinite primes the primes appearing in infinite prime are identified as p-adic primes. For minimal option the p-adic prime characterizes  $\sqrt{p}$ - or  $p$ - multiple of the minimum length  $L_{min}$  of braid strand in the effective metric defined by modified Chern-Simons gamma matrix. One can consider also  $(\sqrt{p})^n$  and  $p^n$  (p-adic fractality)- and even integer multiples of  $L_{min}$  if they are below  $L_{max}$ . If light-like 3-surface contains vacuum regions arbitrary large  $p$ :s are possible since for these one has  $L_{min} \rightarrow \infty$ . Number theoretic state function reduction implies that only single  $p$  can be realized -one might say "is active"- for a given quantum state. The powers  $p_i^n$  appearing in the infinite prime have interpretation as many particle states with total number theoretic momentum  $n_i \log(p)_i$ . For the finite part of infinite prime one has one fermion and  $n_i - 1$  bosons and for the bosonic part  $n_i$  bosons. The arithmetic QFT associated with infinite primes - in particular the conservation of the number theoretic momentum  $\sum n_i \log(p)_i$  - would naturally describe the correlations between the geometries of light-like 3-surfaces representing the incoming lines of the vertex of generalized Feynman diagram. As a matter fact, the momenta associated with different primes are separately conserved so that one has infinite number of conservation laws.

4. One must assign two infinite primes to given partonic two surface so that one has for a given prime  $p$  two integers  $n_+$  and  $n_-$ . Also the hierarchy of Planck constants assigns to a given page of the Big Book two integers and one has  $\hbar = n_a n_b \hbar_0$ . If one has  $n_a = n_+$  and  $n_b = n_-$  then the reactions in which given initial number theoretic momenta  $n_{\pm, i} \log(p_i)$  is shared between final states would have concrete interpretation in terms of the integers  $n_a, n_b$  characterizing the coverings of incoming and outgoing lines.

Note that one can also consider the possibility that the hierarchy of Planck constants emerges from the basic quantum TGD. Basically due to the vacuum degeneracy of Kähler action the canonical momentum densities correspond to several values of the time derivatives of the imbedding space coordinates so that for a given partonic 2-surface there are several space-time sheets with same conserved quantities defined by isometry currents and Kähler current. This forces the introduction of  $N$ -fold covering of  $CD \times CP_2$  in order to describe the situation. The splitting of the partonic 2-surface into  $N$  pieces implies a charge fractionization during its travel to the upper end of  $CD$ . One can also develop an argument suggesting that the coverings factorize to coverings of  $CD$  and  $CP_2$  so that the number of the sheets of the covering is  $N = n_a n_b$  [17].

These observations make one wonder whether there could be a connection between p-adic thermodynamics, hierarchy of Planck constants, and infinite primes.

1. Suppose that one accepts the identification  $n_a = n_+$  and  $n_b = n_-$ . Could one perform a further identification of these integers as non-negative conformal weights characterizing physical states so that conservation of the number theoretic momentum for a given p-adic prime would correspond to the conservation of conformal weight. In p-adic thermodynamics this conformal weight is sum of conformal weights of 5 tensor factors of Super-Virasoro algebra. The number must be indeed five and one could assign them to the factors of the symmetry group. One factor for color symmetries and two factors of electro-weak  $SU(2)_L \times U(1)$  are certainly present. The remaining two factors could correspond to transversal degrees of freedom assignable to string like objects but one can imagine also other identifications [20].
2. If this interpretation is correct, a given conformal weight  $n = n_a = n_+$  (say) would correspond to all possible distributions of five conformal weights  $n_i$ ,  $i = 1, \dots, 5$  between the  $n_a$  sheets of covering of  $CD$  satisfying  $\sum_{i=1}^5 n_i = n_a = n_+$ . Single sheet of covering would carry only unit conformal weight so that one would have the analog of fractionization also now and a possible interpretation would be in terms of the instability of states with conformal weight  $n > 1$ . Conformal thermodynamics would also mean thermodynamics in the space of states determined by infinite primes and in the space of coverings.
3. The conformal weight assignable to the  $CD$  would naturally correspond to mass squared but there is also the conformal weight assignable to  $CP_2$  and one can wonder what its interpretation might be. Could it correspond to the expectation of pseudo mass squared characterizing the generalized eigenstates of the modified Dirac operator? Note that one should allow in the spectrum also the powers of hyper-complex primes up to some maximum power  $p^{n_{max}/2} \leq L_{max}/L_{min}$  so that Dirac determinant would be non-vanishing and Kähler function finite. From the point of conformal invariance this is indeed natural.

## 8.11 How to define generalized Feynman diagrams?

S-matrix codes to a high degree the predictions of quantum theories. The longstanding challenge of TGD has been to construct or at least demonstrate the mathematical existence of S-matrix- or actually M-matrix which generalizes this notion in zero energy ontology (ZEO) [5]. This work has led to the notion of generalized Feynman diagram and the challenge is to give a precise mathematical meaning for this object. The attempt to understand the counterpart of twistors in TGD framework [40] has inspired several key ideas in this respect but it turned out that twistors themselves need not be absolutely necessary in TGD framework.

1. The notion of generalized Feynman diagram defined by replacing lines of ordinary Feynman diagram with light-like 3-surfaces (elementary particle sized wormhole contacts with throats carrying quantum numbers) and vertices identified as their 2-D ends - I call them partonic 2-surfaces

is central. Speaking somewhat loosely, generalized Feynman diagrams (plus background space-time sheets) define the "world of classical worlds" (WCW). These diagrams involve the analogs of stringy diagrams but the interpretation is different: the analogs of stringy loop diagrams have interpretation in terms of particle propagating via two different routes simultaneously (as in the classical double slit experiment) rather than as a decay of particle to two particles. For stringy diagrams the counterparts of vertices are singular as manifolds whereas the entire diagrams are smooth. For generalized Feynman diagrams vertices are smooth but entire diagrams represent singular manifolds just like ordinary Feynman diagrams do. String like objects however emerge in TGD and even ordinary elementary particles are predicted to be magnetic flux tubes of length of order weak gauge boson Compton length with monopoles at their ends as shown in accompanying article. This stringy character should become visible at LHC energies.

2. Zero energy ontology (ZEO) and causal diamonds (intersections of future and past directed lightcones) is second key ingredient. The crucial observation is that in ZEO it is possible to identify off mass shell particles as pairs of on mass shell particles at throats of wormhole contact since both positive and negative signs of energy are possible. The propagator defined by modified Dirac action does not diverge (except for incoming lines) although the fermions at throats are on mass shell. In other words, the generalized eigenvalue of the modified Dirac operator containing a term linear in momentum is non-vanishing and propagator reduces to  $G = i/\lambda\gamma$ , where  $\gamma$  is so called modified gamma matrix in the direction of stringy coordinate [31]. This means opening of the black box of the off mass shell particle-something which for some reason has not occurred to anyone fighting with the divergences of quantum field theories.
3. A powerful constraint is number theoretic universality requiring the existence of Feynman amplitudes in all number fields when one allows suitable algebraic extensions: roots of unity are certainly required in order to realize p-adic counter parts of plane waves. Also imbedding space, partonic 2-surfaces and WCW must exist in all number fields and their extensions. These constraints are enormously powerful and the attempts to realize this vision have dominated quantum TGD for last two decades.
4. Representation of 8-D gamma matrices in terms of octonionic units and 2-D sigma matrices is a further important element as far as twistors are considered [40]. Modified gamma matrices at space-time surfaces are quaternionic/associative and allow a genuine matrix representation. As a matter fact, TGD and WCW can be formulated as study of associative local sub-algebras of the local Clifford algebra of 8-D imbedding space parameterized by quaternionic space-time surfaces. Central conjecture is that quaternionic 4-surfaces correspond to preferred extremals of Kähler action [31] identified as critical ones (second variation of Kähler action vanishes for infinite number of deformations defining super-conformal algebra) and allow a slicing to string worldsheets parametrized by points of partonic 2-surfaces.
5. As far as twistors are considered, the first key element is the reduction of the octonionic twistor structure to quaternionic one at space-time surfaces and giving effectively 4-D spinor and twistor structure for quaternionic surfaces.

Quite recently quite a dramatic progress took place in this approach [40].

1. The progress was stimulated by the simple observation that on mass shell property puts enormously strong kinematic restrictions on the loop integrations. With mild restrictions on the number of parallel fermion lines appearing in vertices (there can be several since fermionic oscillator operator algebra defining SUSY algebra generates the parton states)- all loops are manifestly finite and if particles has always mass -say small p-adic thermal mass also in case of massless particles and due to IR cutoff due to the presence largest CD- the number of diagrams is finite. Unitarity reduces to Cutkosky rules [71] automatically satisfied as in the case of ordinary Feynman diagrams.
2. Ironically, twistors which stimulated all these development do not seem to be absolutely necessary in this approach although they are of course possible. Situation changes if one does not assume small p-adically thermal mass due to the presence of massless particles and one must sum infinite number of diagrams. Here a potential problem is whether the infinite sum respects the algebraic extension in question.

This is about fermionic and momentum space aspects of Feynman diagrams but not yet about the functional (not path-) integral over small deformations of the partonic 2-surfaces. The basic challenges are following.

1. One should perform the functional integral over WCW degrees of freedom for fixed values of on mass shell momenta appearing in the internal lines. After this one must perform integral or summation over loop momenta. Note that the order is important since the space-time surface assigned to the line carries information about the quantum numbers associated with the line by quantum classical correspondence realized in terms of modified Dirac operator.
2. One must define the functional integral also in the p-adic context. p-Adic Fourier analysis relying on algebraic continuation raises hopes in this respect. p-Adicity suggests strongly that the loop momenta are discretized and ZEO predicts this kind of discretization naturally.

It indeed seems that the functional integrals over WCW could be carried out at general level both in real and p-adic context. This is due to the symmetric space property (maximal number of isometries) of WCW required by the mere mathematical existence of Kähler geometry [17] in infinite-dimensional context already in the case of much simpler loop spaces [68].

1. The p-adic generalization of Fourier analysis allows to algebraize integration- the horrible looking technical challenge of p-adic physics- for symmetric spaces for functions allowing the analog of discrete Fourier decomposition. Symmetric space property is indeed essential also for the existence of Kähler geometry for infinite-D spaces as was learned already from the case of loop spaces. Plane waves and exponential functions expressible as roots of unity and powers of p multiplied by the direct analogs of corresponding exponent functions are the basic building bricks and key functions in harmonic analysis in symmetric spaces. The physically unavoidable finite measurement resolution corresponds to algebraically unavoidable finite algebraic dimension of algebraic extension of p-adics (at least some roots of unity are needed). The cutoff in roots of unity is very reminiscent to that occurring for the representations of quantum groups and is certainly very closely related to these as also to the inclusions of hyper-finite factors of type II<sub>sub*λ*1</sub>/sub*λ* defining the finite measurement resolution.
2. WCW geometrization reduces to that for a single line of the generalized Feynman diagram defining the basic building brick for WCW. Kähler function decomposes to a sum of "kinetic" terms associated with its ends and interaction term associated with the line itself. p-Adicization boils down to the condition that Kähler function, matrix elements of Kähler form, WCW Hamiltonians and their super counterparts, are rational functions of complex WCW coordinates just as they are for those symmetric spaces that I know of. This allows straightforward continuation to p-adic context.
3. As far as diagrams are considered, everything is manifestly finite as the general arguments (non-locality of Kähler function as functional of 3-surface) developed two decades ago indeed allow to expect. General conditions on the holomorphy properties of the generalized eigenvalues  $\lambda$  of the modified Dirac operator can be deduced from the conditions that propagator decomposes to a sum of products of harmonics associated with the ends of the line and that similar decomposition takes place for exponent of Kähler action identified as Dirac determinant. This guarantees that the convolutions of propagators and vertices give rise to products of harmonic functions which can be Glebsch-Gordanized to harmonics and only the singlet contributes to the WCW integral in given vertex. The still unproven central conjecture is that Dirac determinant equals the exponent of Kähler function.

In the following this vision about generalized Feynman diagrams is discussed in more detail.

### 8.11.1 Questions

The goal is a proposal for how to perform the integral over WCW for generalized Feynman digrams and the best manner to proceed to to this goal is by making questions.

### What does finite measurement resolution mean?

The first question is what finite measurement resolution means.

1. One expects that the algebraic continuation makes sense only for a finite measurement resolution in which case one obtains only finite sums of what one might hope to be algebraic functions. The finiteness of the algebraic extension would be in fact equivalent with the finite measurement resolution.
2. Finite measurement resolution means a discretization in terms of number theoretic braids. p-Adicization condition suggests that that one must allow only the number theoretic braids. For these the ends of braid at boundary of  $CD$  are algebraic points of the imbedding space. This would be true at least in the intersection of real and p-adic worlds.
3. The question is whether one can localize the points of the braid. The necessity to use momentum eigenstates to achieve quantum classical correspondence in the modified Dirac action [31] suggests however a delocalization of braid points, that is wave function in space of braid points. In real context one could allow all possible choices for braid points but in p-adic context only algebraic points are possible if one wants to replace integrals with sums. This implies finite measurement resolution analogous to that in lattice. This is also the only possibility in the intersection of real and p-adic worlds.

A non-trivial prediction giving a strong correlation between the geometry of the partonic 2-surface and quantum numbers is that the total number  $n_F + n_{\overline{F}}$  of fermions and antifermions is bounded above by the number  $n_{alg}$  of algebraic points for a given partonic 2-surface:  $n_F + n_{\overline{F}} \leq n_{alg}$ . Outside the intersection of real and p-adic worlds the problematic aspect of this definition is that small deformations of the partonic 2-surface can radically change the number of algebraic points unless one assumes that the finite measurement resolution means restriction of WCW to a sub-space of algebraic partonic surfaces.

4. One has also a discretization of loop momenta if one assumes that virtual particle momentum corresponds to ZEO defining rest frame for it and from the discretization of the relative position of the second tip of  $CD$  at the hyperboloid isometric with mass shell. Only the number of braid points and their momenta would matter, not their positions. The measurement interaction term in the modified Dirac action gives coupling to the space-time geometry and Kähler function through generalized eigenvalues of the modified Dirac operator with measurement interaction term linear in momentum and in the color quantum numbers assignable to fermions [31].

### How to define integration in WCW degrees of freedom?

The basic question is how to define the integration over WCW degrees of freedom.

1. What comes mind first is Gaussian perturbation theory around the maxima of Kähler function. Gaussian and metric determinants cancel each other and only algebraic expressions remain. Finiteness is not a problem since the Kähler function is non-local functional of 3-surface so that no local interaction vertices are present. One should however assume the vanishing of loops required also by algebraic universality and this assumption look unrealistic when one considers more general functional integrals than that of vacuum functional since free field theory is not in question. The construction of the inverse of the WCW metric defining the propagator is also a very difficult challenge. Duistermaat-Hecke theorem states that something like this known as localization might be possible and one can also argue that something analogous to localization results from a generalization of mean value theorem.
2. Symmetric space property is more promising since it might reduce the integrations to group theory using the generalization of Fourier analysis for group representations so that there would be no need for perturbation theory in the proposed sense. In finite measurement resolution the symmetric spaces involved would be finite-dimensional. Symmetric space structure of WCW could also allow to define p-adic integration in terms of p-adic Fourier analysis for symmetric spaces. Essentially algebraic continuation of the integration from the real case would be in question with additional constraints coming from the fact that only phase factors corresponding

to finite algebraic extensions of rationals are used. Cutoff would emerge automatically from the cutoff for the dimension of the algebraic extension.

### How to define generalized Feynman diagrams?

Integration in symmetric spaces could serve as a model at the level of WCW and allow both the understanding of WCW integration and p-adicization as algebraic continuation. In order to get a more realistic view about the problem one must define more precisely what the calculation of the generalized Feynman diagrams means.

1. WCW integration must be carried out separately for all values of the momenta associated with the internal lines. The reason is that the spectrum of eigenvalues  $\lambda_i$  of the modified Dirac operator  $D$  depends on the momentum of line and momentum conservation in vertices translates to a correlation of the spectra of  $D$  at internal lines.
2. For tree diagrams algebraic continuation to the p-adic context if the expression involves only the replacement of the generalized eigenvalues of  $D$  as functions of momenta with their p-adic counterparts besides vertices. If these functions are algebraically universal and expressible in terms of harmonics of symmetric space, there should be no problems.
3. If loops are involved, one must integrate/sum over loop momenta. In p-adic context difficulties are encountered if the spectrum of the momenta is continuous. The integration over on mass shell loop momenta is analogous to the integration over sub-CDs, which suggests that internal line corresponds to a *sub-CD* in which it is at rest. There are excellent reasons to believe that the moduli space for the positions of the upper tip is a discrete subset of hyperboloid of future light-cone. If this is the case, the loop integration indeed reduces to a sum over discrete positions of the tip. p-Adicization would thus give a further good reason why for zero energy ontology.
4. Propagator is expressible in terms of the inverse of generalized eigenvalue and there is a sum over these for each propagator line. At vertices one has products of WCW harmonics assignable to the incoming lines. The product must have vanishing quantum numbers associated with the phase angle variables of WCW. Non-trivial quantum numbers of the WCW harmonic correspond to WCW quantum numbers assignable to excitations of ordinary elementary particles. WCW harmonics are products of functions depending on the "radial" coordinates and phase factors and the integral over the angles leaves the product of the first ones analogous to Legendre polynomials  $P_{l,m}$ . These functions are expected to be rational functions or at least algebraic functions involving only square roots.
5. In ordinary QFT incoming and outgoing lines correspond to propagator poles. In the recent case this would mean that the generalized eigenvalues  $\lambda = 0$  characterize them. Internal lines coming as pairs of throats of wormhole contacts would be on mass shell with respect to momentum but off shell with respect to  $\lambda$ .

#### 8.11.2 Generalized Feynman diagrams at fermionic and momentum space level

Negative energy ontology has already led to the idea of interpreting the virtual particles as pairs of positive and negative energy wormhole throats. Hitherto I have taken it as granted that ordinary Feynman diagrammatics generalizes more or less as such. It is however far from clear what really happens in the vertices of the generalized Feynmann diagrams. The safest approach relies on the requirement that unitarity realized in terms of Cutkosky rules in ordinary Feynman diagrammatics allows a generalization. This requires loop diagrams. In particular, photon-photon scattering can take place only via a fermionic square loop so that it seems that loops must be present at least in the topological sense.

One must be however ready for the possibility that something unexpectedly simple might emerge. For instance, the vision about algebraic physics allows naturally only finite sums for diagrams and does not favor infinite perturbative expansions. Hence the true believer on algebraic physics might

dream about finite number of diagrams for a given reaction type. For simplicity generalized Feynman diagrams without the complications brought by the magnetic confinement since by the previous arguments the generalization need not bring in anything essentially new.

The basic idea of duality in early hadronic models was that the lines of the dual diagram representing particles are only re-arranged in the vertices. This however does not allow to get rid of off mass shell momenta. Zero energy ontology encourages to consider a stronger form of this principle in the sense that the virtual momenta of particles could correspond to pairs of on mass shell momenta of particles. If also interacting fermions are pairs of positive and negative energy throats in the interaction region the idea about reducing the construction of Feynman diagrams to some kind of lego rules might work.

### Virtual particles as pairs of on mass shell particles in ZEO

The first thing is to try to define more precisely what generalized Feynman diagrams are. The direct generalization of Feynman diagrams implies that both wormhole throats and wormhole contacts join at vertices.

1. A simple intuitive picture about what happens is provided by diagrams obtained by replacing the points of Feynman diagrams (wormhole contacts) with short lines and imagining that the throats correspond to the ends of the line. At vertices where the lines meet the incoming on mass shell quantum numbers would sum up to zero. This approach leads to a straightforward generalization of Feynman diagrams with virtual particles replaced with pairs of on mass shell throat states of type  $++$ ,  $--$ , and  $+ -$ . Incoming lines correspond to  $++$  type lines and outgoing ones to  $--$  type lines. The first two line pairs allow only time like net momenta whereas  $+ -$  line pairs allow also space-like virtual momenta. The sign assigned to a given throat is dictated by the the sign of the on mass shell momentum on the line. The condition that Cutkosky rules generalize as such requires  $++$  and  $--$  type virtual lines since the cut of the diagram in Cutkosky rules corresponds to on mass shell outgoing or incoming states and must therefore correspond to  $++$  or  $--$  type lines.
2. The basic difference as compared to the ordinary Feynman diagrammatics is that loop integrals are integrals over mass shell momenta and that all throats carry on mass shell momenta. In each vertex of the loop mass incoming on mass shell momenta must sum up to on mass shell momentum. These constraints improve the behavior of loop integrals dramatically and give excellent hopes about finiteness. It does not however seem that only a finite number of diagrams contribute to the scattering amplitude besides tree diagrams. The point is that if a the reactions  $N_1 \rightarrow N_2$  and  $N_2 \rightarrow N_3$ , where  $N_i$  denote particle numbers, are possible in a common kinematical region for  $N_2$ -particle states then also the diagrams  $N_1 \rightarrow N_2 \rightarrow N_2 \rightarrow N_3$  are possible. The virtual states  $N_2$  include all all states in the intersection of kinematically allow regions for  $N_1 \rightarrow N_2$  and  $N_2 \rightarrow N_3$ . Hence the dream about finite number possible diagrams is not fulfilled if one allows massless particles. If all particles are massive then the particle number  $N_2$  for given  $N_1$  is limited from above and the dream is realized.
3. For instance, loops are not possible in the massless case or are highly singular (bringing in mind twistor diagrams) since the conservation laws at vertices imply that the momenta are parallel. In the massive case and allowing mass spectrum the situation is not so simple. As a first example one can consider a loop with three vertices and thus three internal lines. Three on mass shell conditions are present so that the four-momentum can vary in 1-D subspace only. For a loop involving four vertices there are four internal lines and four mass shell conditions so that loop integrals would reduce to discrete sums. Loops involving more than four vertices are expected to be impossible.
4. The proposed replacement of the elementary fermions with bound states of elementary fermions and monopoles  $X_{\pm}$  brings in the analog of stringy diagrammatics. The 2-particle wave functions in the momentum degrees of freedom of fermions and  $X_{\pm}$  might allow more flexibility and allow more loops. Note however that there are excellent hopes about the finiteness of the theory also in this case.



### Loop integrals are manifestly finite

One can make also more detailed observations about loops.

1. The simplest situation is obtained if only 3-vertices are allowed. In this case conservation of momentum however allows only collinear momenta although the signs of energy need not be the same. Particle creation and annihilation is possible and momentum exchange is possible but is always light-like in the massless case. The scattering matrices of supersymmetric YM theories would suggest something less trivial and this raises the question whether something is missing. Magnetic monopoles are an essential element of also these theories as also massivation and symmetry breaking and this encourages to think that the formation of massive states as fermion  $X_{\pm}$  pairs is needed. Of course, in TGD framework one has also high mass excitations of the massless states making the scattering matrix non-trivial.
2. In YM theories on mass shell lines would be singular. In TGD framework this is not the case since the propagator is defined as the inverse of the 3-D dimensional reduction of the modified Dirac operator  $D$  containing also coupling to four-momentum (this is required by quantum classical correspondence and guarantees stringy propagators),

$$\begin{aligned} D &= i\hat{\Gamma}^{\alpha}p_{\alpha} + \hat{\Gamma}^{\alpha}D_{\alpha} \ , \\ p_{\alpha} &= p_k\partial_{\alpha}h^k \ . \end{aligned} \tag{8.11.1}$$

The propagator does not diverge for on mass shell massless momenta and the propagator lines are well-defined. This is of course of essential importance also in general case. Only for the incoming lines one can consider the possibility that 3-D Dirac operator annihilates the induced spinor fields. All lines correspond to generalized eigenstates of the propagator in the sense that one has  $D_3\Psi = \lambda\gamma\Psi$ , where  $\gamma$  is modified gamma matrix in the direction of the stringy coordinate emanating from light-like surface and  $D_3$  is the 3-dimensional dimensional reduction of the 4-D modified Dirac operator. The eigenvalue  $\lambda$  is analogous to energy. Note that the eigenvalue spectrum depends on 4-momentum as a parameter.

3. Massless incoming momenta can decay to massless momenta with both signs of energy. The integration measure  $d^2k/2E$  reduces to  $dx/x$  where  $x \geq 0$  is the scaling factor of massless momentum. Only light-like momentum exchanges are however possible and scattering matrix is essentially trivial. The loop integrals are finite apart from the possible delicacies related to poles since the loop integrands for given massless wormhole contact are proportional to  $dx/x^3$  for large values of  $x$ .
4. Irrespective of whether the particles are massless or not, the divergences are obtained only if one allows too high vertices as self energy loops for which the number of momentum degrees of freedom is  $3N - 4$  for  $N$ -vertex. The construction of SUSY limit of TGD in [18] led to the conclusion that the parallelly propagating  $N$  fermions for given wormhole throat correspond to a product of  $N$  fermion propagators with same four-momentum so that for fermions and ordinary bosons one has the standard behavior but for  $N > 2$  non-standard so that these excitations are not seen as ordinary particles. Higher vertices are finite only if the total number  $N_F$  of fermions propagating in the loop satisfies  $N_F > 3N - 4$ . For instance, a 4-vertex from which  $N = 2$  states emanate is finite.

### Taking into account magnetic confinement

What has been said above is not quite enough. The weak form of electric-magnetic duality [87] leads to the picture about elementary particles as pairs of magnetic monopoles inspiring the notions of weak confinement based on magnetic monopole force. Also color confinement would have magnetic counterpart. This means that elementary particles would behave like string like objects in weak boson length scale. Therefore one must also consider the stringy case with wormhole throats replaced with fermion- $X_{\pm}$  pairs ( $X_{\pm}$  is electromagnetically neutral and  $\pm$  refers to the sign of the weak isospin opposite to that of fermion) and their super partners.

1. The simplest assumption in the stringy case is that fermion- $X_{\pm}$  pairs behave as coherent objects, that is scatter elastically. In more general case only their higher excitations identifiable in terms of stringy degrees of freedom would be created in vertices. The massivation of these states makes possible non-collinear vertices. An open question is how the massivation fermion- $X_{\pm}$  pairs relates to the existing TGD based description of massivation in terms of Higgs mechanism and modified Dirac operator.
2. Mass renormalization could come from self energy loops with negative energy lines as also vertex normalization. By very general arguments supersymmetry implies the cancellation of the self energy loops but would allow non-trivial vertex renormalization [18].
3. If only 3-vertices are allowed, the loops containing only positive energy lines are possible if on mass shell fermion- $X_{\pm}$  pair (or its superpartner) can decay to a pair of positive energy pair particles of same kind. Whether this is possible depends on the masses involved. For ordinary particles these decays are not kinematically possible below intermediate boson mass scale (the decays  $F_1 \rightarrow F_2 + \gamma$  are forbidden kinematically or by the absence of flavor changing neutral currents whereas intermediate gauge bosons can decay to on mass shell fermion-antifermion pair).
4. The introduction of IR cutoff for 3-momentum in the rest system associated with the largest  $CD$  (causal diamond) looks natural as scale parameter of coupling constant evolution and p-adic length scale hypothesis favors the inverse of the size scale of  $CD$  coming in powers of two. This parameter would define the momentum resolution as a discrete parameter of the p-adic coupling constant evolution. This scale does not have any counterpart in standard physics. For electron,  $d$  quark, and  $u$  quark the proper time distance between the tips of  $CD$  corresponds to frequency of 10 Hz, 1280 Hz, and 160 Hz: all these frequencies define fundamental bio-rhythms [37].

These considerations have left completely untouched one important aspect of generalized Feynman diagrams: the necessity to perform a functional integral over the deformations of the partonic 2-surfaces at the ends of the lines- that is integration over WCW. Number theoretical universality requires that WCW and these integrals make sense also p-adically and in the following these aspects of generalized Feynman diagrams are discussed.

### 8.11.3 How to define integration and p-adic Fourier analysis, integral calculus, and p-adic counterparts of geometric objects?

p-Adic differential calculus exists and obeys essentially the same rules as ordinary differential calculus. The only difference from real context is the existence of p-adic pseudoconstants: any function which depends on finite number of binary digits has vanishing p-adic derivative. This implies non-determinism of p-adic differential equations. One can define p-adic integral functions using the fact that indefinite integral is the inverse of differentiation. The basis problem with the definite integrals is that p-adic numbers are not well-ordered so that the crucial ordering of the points of real axis in definite integral is not unique. Also p-adic Fourier analysis is problematic since direct counterparts of  $\exp(ix)$  and trigonometric functions are not periodic. Also  $\exp(-x)$  fails to converge exponentially since it has p-adic norm equal to 1. Note also that these functions exist only when the p-adic norm of  $x$  is smaller than 1.

The following considerations support the view that the p-adic variant of a geometric objects, integration and p-adic Fourier analysis exists but only when one considers highly symmetric geometric objects such as symmetric spaces. This is welcome news from the point of view of physics. At the level of space-time surfaces this is problematic. The field equations associated with Kähler action and modified Dirac equation make sense. Kähler action defined as integral over p-adic space-time surface fails to exist. If however the Kähler function identified as Kähler for a preferred extremal of Kähler action is rational or algebraic function of preferred complex coordinates of WCW with rational coefficients, its p-adic continuation is expected to exist.

### Circle with rotational symmetries and its hyperbolic counterparts

Consider first circle with emphasis on symmetries and Fourier analysis.

1. In this case angle coordinate  $\phi$  is the natural coordinate. It however does not make sense as such p-adically and one must consider either trigonometric functions or the phase  $\exp(i\phi)$  instead. If one wants to do Fourier analysis on circle one must introduce roots  $U_{n,N} = \exp(in2\pi/N)$  of unity. This means discretization of the circle. Introducing all roots  $U_{n,p} = \exp(i2\pi n/p)$ , such that  $p$  divides  $N$ , one can represent all  $U_{k,n}$  up to  $n = N$ . Integration is naturally replaced with sum by using discrete Fourier analysis on circle. Note that the roots of unity can be expressed as products of powers of roots of unity  $\exp(in2\pi/p^k)$ , where  $p^k$  divides  $N$ .
2. There is a number theoretical delicacy involved. By Fermat's theorem  $a^{p-1} \bmod p = 1$  for  $a = 1, \dots, p-1$  for a given p-adic prime so that for any integer  $M$  divisible by a factor of  $p-1$  the  $M$ :th roots of unity exist as ordinary p-adic numbers. The problem disappears if these values of  $M$  are excluded from the discretization for a given value of the p-adic prime. The manner to achieve this is to assume that  $N$  contains no divisors of  $p-1$  and is consistent with the notion of finite measurement resolution. For instance,  $N = p^n$  is an especially natural choice guaranteeing this.
3. The p-adic integral defined as a Fourier sum does not reduce to a mere discretization of the real integral. In the real case the Fourier coefficients must approach to zero as the wave vector  $k = n2\pi/N$  increases. In the p-adic case the condition consistent with the notion of finite measurement resolution for angles is that the p-adic valued Fourier coefficients approach to zero as  $n$  increases. This guarantees the p-adic convergence of the discrete approximation of the integral for large values of  $N$  as  $n$  increases. The map of p-adic Fourier coefficients to real ones by canonical identification could be used to relate p-adic and real variants of the function to each other.

This finding would suggest that p-adic geometries -in particular the p-adic counterpart of  $CP_2$ , are discrete. Variables which have the character of a radial coordinate are in natural manner p-adically continuous whereas phase angles are naturally discrete and described in terms of algebraic extensions. The conclusion is disappointing since one can quite well argue that the discrete structures can be regarded as real. Is there any manner to escape this conclusion?

1. Exponential function  $\exp(ix)$  exists p-adically for  $|x|_p \leq 1/p$  but is not periodic. It provides representation of p-adic variant of circle as group  $U(1)$ . One obtains actually a hierarchy of groups  $U(1)_{p,n}$  corresponding to  $|x|_p \leq 1/p^n$ . One could consider a generalization of phases as products  $Exp_p(N, n2\pi/N + x) = \exp(in2\pi n/N)\exp(ix)$  of roots of unity and exponent functions with an imaginary exponent. This would assign to each root of unity p-adic continuum interpreted as the analog of the interval between two subsequent roots of unity at circle. The hierarchies of measurement resolutions coming as  $2\pi/p^n$  would be naturally accompanied by increasingly smaller p-adic groups  $U(1)_{p,n}$ .
2. p-Adic integration would involve summation plus possibly also an integration over each p-adic variant of discretization interval. The summation over the roots of unity implies that the integral of  $\int \exp(inx)dx$  would appear for  $n = 0$ . Whatever the value of this integral is, it is compensated by a normalization factor guaranteeing orthonormality.
3. If one interprets the p-adic coordinate as p-adic integer without the identification of points differing by a multiple of  $n$  as different points the question whether one should require p-adic continuity arises. Continuity is obtained if  $U_n(x + mp^m) = U_n(x)$  for large values of  $m$ . This is obtained if one has  $n = p^k$ . In the spherical geometry this condition is not needed and would mean quantization of angular momentum as  $L = p^k$ , which does not look natural. If representations of translation group are considered the condition is natural and conforms with the spirit of the p-adic length scale hypothesis.

The hyperbolic counterpart of circle corresponds to the orbit of point under Lorentz group in two 2-D Minkowski space. Plane waves are replaced with exponentially decaying functions of the coordinate  $\eta$  replacing phase angle. Ordinary exponent function  $\exp(x)$  has unit p-adic norm when it exists so that it is not a suitable choice. The powers  $p^n$  existing for p-adic integers however approach to zero for large values of  $x = n$ . This forces discretization of  $\eta$  or rather the hyperbolic phase as powers of  $p^x$ ,  $x = n$ . Also now one could introduce products of  $Exp_p(n \log(p) + z) = p^n \exp(x)$  to

achieve a  $p$ -adic continuum. Also now the integral over the discretization interval is compensated by orthonormalization and can be forgotten. The integral of exponential function would reduce to a sum  $\int \text{Exp}_p dx = \sum_k p^k = 1/(1-p)$ . One can also introduce finite-dimensional but non-algebraic extensions of  $p$ -adic numbers allowing  $e$  and its roots  $e^{1/n}$  since  $e^p$  exists  $p$ -adically.

### Plane with translational and rotational symmetries

Consider first the situation by taking translational symmetries as a starting point. In this case Cartesian coordinates are natural and Fourier analysis based on plane waves is what one wants to define. As in the previous case, this can be done using roots of unity and one can also introduce  $p$ -adic continuum by using the  $p$ -adic variant of the exponent function. This would effectively reduce the plane to a box. As already noticed, in this case the quantization of wave vectors as multiples of  $1/p^k$  is required by continuity.

One can take also rotational symmetries as a starting point. In this case cylindrical coordinates  $(\rho, \phi)$  are natural.

1. Radial coordinate can have arbitrary values. If one wants to keep the connection  $\rho = \sqrt{x^2 + y^2}$  with the Cartesian picture square root allowing extension is natural. Also the values of radial coordinate proportional to odd power of  $p$  are problematic since one should introduce  $\sqrt{p}$ : is this extension internally consistent? Does this mean that the points  $\rho \propto p^{2n+1}$  are excluded so that the plane decomposes to annuli?
2. As already found, angular momentum eigen states can be described in terms of roots of unity and one could obtain continuum by allowing also phases defined by  $p$ -adic exponent functions.
3. In radial direction one should define the  $p$ -adic variants for the integrals of Bessel functions and they indeed might make sense by algebraic continuation if one consistently defines all functions as Fourier expansions. Delta-function renormalization causes technical problems for a continuum of radial wave vectors. One could avoid the problem by using exponentially decaying variants of Bessel function in the regions far from origin, and here the already proposed description of the hyperbolic counterparts of plane waves is suggestive.
4. One could try to understand the situation also using Cartesian coordinates. In the case of sphere this is achieved by introducing two coordinate patches with Cartesian coordinates. Pythagorean phases are rational phases (orthogonal triangles for which all sides are integer valued) and form a dense set on circle. Complex rationals (orthogonal triangles with integer valued short sides) define a more general dense subset of circle. In both cases it is difficult to imagine a discretized version of integration over angles since discretization with constant angle increment is not possible.

### The case of sphere and more general symmetric space

In the case of sphere spherical coordinates are favored by symmetry considerations. For spherical coordinates  $\sin(\theta)$  is analogous to the radial coordinate of plane. Legendre polynomials expressible as polynomials of  $\sin(\theta)$  and  $\cos(\theta)$  are expressible in terms of phases and the integration measure  $\sin^2(\theta)d\theta d\phi$  reduces the integral of  $S^2$  to summation. As before one can introduce also  $p$ -adic continuum. Algebraic cutoffs in both angular momentum  $l$  and  $m$  appear naturally. Similar cutoffs appear in the representations of quantum groups and there are good reasons to expect that these phenomena are correlated.

Exponent of Kähler function appears in the integration over configuration space. From the expression of Kähler gauge potential given by  $A_\alpha = J_\alpha^\theta \partial_\theta K$  one obtains using  $A_\alpha = \cos(\theta)\delta_{\alpha,\phi}$  and  $J_{\theta\phi} = \sin(\theta)$  the expression  $\exp(K) = \sin(\theta)$ . Hence the exponent of Kähler function is expressible in terms of spherical harmonics.

The completion of the discretized sphere to a  $p$ -adic continuum- and in fact any symmetric space- could be performed purely group theoretically.

1. Exponential map maps the elements of the Lie-algebra to elements of Lie-group. This recipe generalizes to arbitrary symmetric space  $G/H$  by using the Cartan decomposition  $g = t + h$ ,  $[h, h] \subset h, [h, t] \subset t, [t, t] \subset h$ . The exponentiation of  $t$  maps  $t$  to  $G/H$  in this case. The

exponential map has a p-adic generalization obtained by considering Lie algebra with coefficients with p-adic norm smaller than one so that the p-adic exponent function exists. As a matter fact, one obtains a hierarchy of Lie-algebras corresponding to the upper bounds of the p-adic norm coming as  $p^{-k}$  and this hierarchy naturally corresponds to the hierarchy of angle resolutions coming as  $2\pi/p^k$ . By introducing finite-dimensional transcendental extensions containing roots of  $e$  one obtains also a hierarchy of p-adic Lie-algebras associated with transcendental extensions.

2. In particular, one can exponentiate the complement of the  $SO(2)$  sub-algebra of  $SO(3)$  Lie-algebra in p-adic sense to obtain a p-adic completion of the discrete sphere. Each point of the discretized sphere would correspond to a p-adic continuous variant of sphere as a symmetric space. Similar construction applies in the case of  $CP_2$ . Quite generally, a kind of fractal or holographic symmetric space is obtained from a discrete variant of the symmetric space by replacing its points with the p-adic symmetric space.
3. In the N-fold discretization of the coordinates of M-dimensional space  $t$  one  $(N-1)^M$  discretization volumes which is the number of points with non-vanishing  $t$ -coordinates. It would be nice if one could map the p-adic discretization volumes with non-vanishing  $t$ -coordinates to their positive valued real counterparts by applying canonical identification. By group invariance it is enough to show that this works for a discretization volume assignable to the origin. Since the p-adic numbers with norm smaller than one are mapped to the real unit interval, the p-adic Lie algebra is mapped to the unit cell of the discretization lattice of the real variant of  $t$ . Hence by a proper normalization this mapping is possible.

The above considerations suggest that the hierarchies of measurement resolutions coming as  $\Delta\phi = 2\pi/p^n$  are in a preferred role. One must be however cautious in order to avoid too strong assumptions. The following arguments however support this identification.

1. The vision about p-adicization characterizes finite measurement resolution for angle measurement in the most general case as  $\Delta\phi = 2\pi M/N$ , where  $M$  and  $N$  are positive integers having no common factors. The powers of the phases  $\exp(i2\pi M/N)$  define identical Fourier basis irrespective of the value of  $M$  unless one allows only the powers  $\exp(i2\pi kM/N)$  for which  $kM < N$  holds true: in the latter case the measurement resolutions with different values of  $M$  correspond to different numbers of Fourier components. Otherwise the measurement resolution is just  $\Delta\phi = 2\pi/p^n$ . If one regards  $N$  as an ordinary integer, one must have  $N = p^n$  by the p-adic continuity requirement.
2. One can also interpret  $N$  as a p-adic integer and assume that state function reduction selects one particular prime (no superposition of quantum states with different p-adic topologies). For  $N = p^n M$ , where  $M$  is not divisible by  $p$ , one can express  $1/M$  as a p-adic integer  $1/M = \sum_{k \geq 0} M_k p^k$ , which is infinite as a real integer but effectively reduces to a finite integer  $K(p) = \sum_{k=0}^{N-1} M_k p^k$ . As a root of unity the entire phase  $\exp(i2\pi M/N)$  is equivalent with  $\exp(i2\pi R/p^n)$ ,  $R = K(p)M \bmod p^n$ . The phase would non-trivial only for p-adic primes appearing as factors in  $N$ . The corresponding measurement resolution would be  $\Delta\phi = R2\pi/N$ . One could assign to a given measurement resolution all the p-adic primes appearing as factors in  $N$  so that the notion of multi-p p-adicity would make sense. One can also consider the identification of the measurement resolution as  $\Delta\phi = |N/M|_p = 2\pi/p^k$ . This interpretation is supported by the approach based on infinite primes [16].

### What about integrals over partonic 2-surfaces and space-time surface?

One can of course ask whether also the integrals over partonic 2-surfaces and space-time surface could be p-adicized by using the proposed method of discretization. Consider first the p-adic counterparts of the integrals over the partonic 2-surface  $X^2$ .

1. WCW Hamiltonians and Kähler form are expressible using flux Hamiltonians defined in terms of  $X^2$  integrals of  $JH_A$ , where  $H_A$  is  $\delta CD \times CP_2$  Hamiltonian, which is a rational function of the preferred coordinates defined by the exponentials of the coordinates of the sub-space  $t$  in the appropriate Cartan algebra decomposition. The flux factor  $J = \epsilon^{\alpha\beta} J_{\alpha\beta} \sqrt{g_2}$  is scalar and does not actually depend on the induced metric.

2. The notion of finite measurement resolution would suggest that the discretization of  $X^2$  is somehow induced by the discretization of  $\delta CD \times CP_2$ . The coordinates of  $X^2$  could be taken to be the coordinates of the projection of  $X^2$  to the sphere  $S^2$  associated with  $\delta M_{\pm}^4$  or to the homologically non-trivial geodesic sphere of  $CP_2$  so that the discretization of the integral would reduce to that for  $S^2$  and to a sum over points of  $S^2$ .
3. To obtain an algebraic number as an outcome of the summation, one must pose additional conditions guaranteeing that both  $H_A$  and  $J$  are algebraic numbers at the points of discretization (recall that roots of unity are involved). Assume for definiteness that  $S^2$  is  $r_M = \text{constant}$  sphere. If the remaining preferred coordinates are functions of the preferred  $S^2$  coordinates mapping phases to phases at discretization points, one obtains the desired outcome. These conditions are rather strong and mean that the various angles defining  $CP_2$  coordinates -at least the two cyclic angle coordinates- are integer multiples of those assignable to  $S^2$  at the points of discretization. This would be achieved if the preferred complex coordinates of  $CP_2$  are powers of the preferred complex coordinate of  $S^2$  at these points. One could say that  $X^2$  is algebraically continued from a rational surface in the discretized variant of  $\delta CD \times CP_2$ . Furthermore, if the measurement resolutions come as  $2\pi/p^n$  as p-adic continuity actually requires and if they correspond to the p-adic group  $G_{p,n}$  for which group parameters satisfy  $|t|_p \leq p^{-n}$ , one can precisely characterize how a p-adic prime characterizes the real partonic 2-surface. This would be a fulfilment of one of the oldest dreams related to the p-adic vision.

A even more ambitious dream would be that even the integral of the Kähler action for preferred extremals could be defined using a similar procedure. The conjectured slicing of Minkowskian space-time sheets by string world sheets and partonic 2-surfaces encourages these hopes.

1. One could introduce local coordinates of  $H$  at both ends of  $CD$  by introducing a continuous slicing of  $M^4 \times CP_2$  by the translates of  $\delta M_{\pm}^4 \times CP_2$  in the direction of the time-like vector connecting the tips of  $CD$ . As space-time coordinates one could select four of the eight coordinates defining this slicing. For instance, for the regions of the space-time sheet representable as maps  $M^4 \rightarrow CP_2$  one could use the preferred  $M^4$  time coordinate, the radial coordinate of  $\delta M_{\pm}^4$ , and the angle coordinates of  $r_M = \text{constant}$  sphere.
2. Kähler action density should have algebraic values and this would require the strengthening of the proposed conditions for  $X^2$  to apply to the entire slicing meaning that the discretized space-time surface is a rational surface in the discretized  $CD \times CP_2$ . If this condition applies to the entire space-time surface it would effectively mean the discretization of the classical physics to the level of finite geometries. This seems quite strong implication but is consistent with the preferred extremal property implying the generalized Bohr rules. The reduction of Kähler action to 3-dimensional boundary terms is implied by rather general arguments. In this case only the effective algebraization of the 3-surfaces at the ends of  $CD$  and of wormhole throats is needed [17]. By effective 2-dimensionality these surfaces cannot be chosen freely.
3. If Kähler function and WCW Hamiltonians are rational functions, this kind of additional conditions are not necessary. It could be that the integrals of defining Kähler action flux Hamiltonians make sense only in the intersection of real and p-adic worlds assumed to be relevant for the physics of living systems.

### Tentative conclusions

These findings suggest following conclusions.

1. Exponent functions play a key role in the proposed p-adicization. This is not an accident since exponent functions play a fundamental role in group theory and p-adic variants of real geometries exist only under symmetries- possibly maximal possible symmetries- since otherwise the notion of Fourier analysis making possible integration does not exist. The inner product defined in terms of integration reduce for functions representable in Fourier basis to sums and can be carried out by using orthogonality conditions. Convolution involving integration reduces to a product for Fourier components. In the case of imbedding space and WCW these conditions are satisfied but for space-time surfaces this is not possible.

2. There are several manners to choose the Cartan algebra already in the case of sphere. In the case of plane one can consider either translations or rotations and this leads to different p-adic variants of plane. Also the realization of the hierarchy of Planck constants leads to the conclusion that the extended imbedding space and therefore also WCW contains sectors corresponding to different choices of quantization axes meaning that quantum measurement has a direct geometric correlate.
3. The above described 2-D examples represent symplectic geometries for which one has natural decomposition of coordinates to canonical pairs of cyclic coordinate (phase angle) and corresponding canonical conjugate coordinate. p-Adicization depends on whether the conjugate corresponds to an angle or noncompact coordinate. In both cases it is however possible to define integration. For instance, in the case of  $CP_2$  one would have two canonically conjugate pairs and one can define the p-adic counterparts of  $CP_2$  partial waves by generalizing the procedure applied to spherical harmonics. Products of functions expressible using partial waves can be decomposed by tensor product decomposition to spherical harmonics and can be integrated. In particular inner products can be defined as integrals. The Hamiltonians generating isometries are rational functions of phases: this inspires the hope that also WCW Hamiltonians also rational functions of preferred WCW coordinates and thus allow p-adic variants.
4. Discretization by introducing algebraic extensions is unavoidable in the p-adicization of geometrical objects but one can have p-adic continuum as the analog of the discretization interval and in the function basis expressible in terms of phase factors and p-adic counterparts of exponent functions. This would give a precise meaning for the p-adic counterparts of the imbedding space and WCW if the latter is a symmetric space allowing coordinatization in terms of phase angles and conjugate coordinates.
5. The intersection of p-adic and real worlds would be unique and correspond to the points defining the discretization.

#### 8.11.4 Harmonic analysis in WCW as a manner to calculate WCW functional integrals

Previous examples suggest that symmetric space property, Kähler and symplectic structure and the use of symplectic coordinates consisting of canonically conjugate pairs of phase angles and corresponding "radial" coordinates are essential for WCW integration and p-adicization. Kähler function, the components of the metric, and therefore also metric determinant and Kähler function depend on the "radial" coordinates only and the possible generalization involves the identification the counterparts of the "radial" coordinates in the case of WCW.

##### Conditions guaranteeing the reduction to harmonic analysis

The basic idea is that harmonic analysis in symmetric space allows to calculate the functional integral over WCW.

1. Each propagator line corresponds to a symmetric space defined as a coset space  $G/H$  of the symplectic group and Kac-Moody group and one might hope that the proposed p-adicization works for it- at least when one considers the hierarchy of measurement resolutions forced by the finiteness of algebraic extensions. This coset space is as a manifold Cartesian product  $(G/H) \times (G/H)$  of symmetric spaces  $G/H$  associated with ends of the line. Kähler metric contains also an interaction term between the factors of the Cartesian product so that Kähler function can be said to reduce to a sum of "kinetic" terms and interaction term.
2. Effective 2-dimensionality and ZEO allow to treat the ends of the propagator line independently. This means an enormous simplification. Each line contributes besides propagator a piece to the exponent of Kähler action identifiable as interaction term in action and depending on the propagator momentum. This contribution should be expressible in terms of generalized spherical harmonics. Essentially a sum over the products of pairs of harmonics associated with the ends of the line multiplied by coefficients analogous to  $1/(p^2 - m^2)$  in the case of the ordinary propagator would be in question. The optimal situation is that the pairs are harmonics and their conjugates

appear so that one has invariance under  $G$  analogous to momentum conservation for the lines of ordinary Feynman diagrams.

3. Momentum conservation correlates the eigenvalue spectra of the modified Dirac operator  $D$  at propagator lines [31].  $G$ -invariance at vertex dictates the vertex as the singlet part of the product of WCW harmonics associated with the vertex and one sums over the harmonics for each internal line. p-Adicization means only the algebraic continuation to real formulas to p-adic context.
4. The exponent of Kähler function depends on both ends of the line and this means that the geometries at the ends are correlated in the sense that that Kähler form contains interaction terms between the line ends. It is however not quite clear whether it contains separate "kinetic" or self interaction terms assignable to the line ends. For Kähler function the kinetic and interaction terms should have the following general expressions as functions of complex WCW coordinates:

$$\begin{aligned} K_{kin,i} &= \sum_n f_{i,n}(Z_i) \overline{f_{i,n}(Z_i)} + c.c. , \\ K_{int} &= \sum_n g_{1,n}(Z_1) \overline{g_{2,n}(Z_2)} + c.c. , i = 1, 2 . \end{aligned} \quad (8.11.2)$$

Here  $K_{kin,i}$  define "kinetic" terms and  $K_{int}$  defines interaction term. One would have what might be called holomorphic factorization suggesting a connection with conformal field theories. Symmetric space property -that is isometry invariance- suggests that one has

$$f_{i,n} = f_{2,n} \equiv f_n , \quad g_{1,n} = g_{2,n} \equiv g_n \quad (8.11.3)$$

such that the products are invariant under the group  $H$  appearing in  $G/H$  and therefore have opposite  $H$  quantum numbers. The exponent of Kähler function does not factorize although the terms in its Taylor expansion factorize to products whose factors are products of holomorphic and antiholomorphic functions.

5. If one assumes that the exponent of Kähler function reduces to a product of eigenvalues of the modified Dirac operator eigenvalues must have the decomposition

$$\lambda_k = \prod_{i=1,2} \exp \left[ \sum_n c_{k,n} g_n(Z_i) \overline{g_n(Z_i)} + c.c. \right] \times \exp \left[ \sum_n d_{k,n} g_n(Z_1) \overline{g_n(Z_2)} + c.c. \right] \quad (8.11.4)$$

Hence also the eigenvalues coming from the Dirac propagators have also expansion in terms of  $G/H$  harmonics so that in principle WCW integration would reduce to Fourier analysis in symmetric space.

### Generalization of WCW Hamiltonians

This picture requires a generalization of the view about configuration space Hamiltonians since also the interaction term between the ends of the line is present not taken into account in the previous approach.

1. The proposed representation of WCW Hamiltonians as flux Hamiltonians [17, 31]

$$\begin{aligned} Q(H_A) &= \int H_A (1 + K) J d^2 x , \\ J &= \epsilon^{\alpha\beta} J_{\alpha\beta} , \quad J^{03} \sqrt{g_4} = K J_{12} . \end{aligned} \quad (8.11.5)$$



works for the kinetic terms only since  $J$  cannot be the same at the ends of the line. The formula defining  $K$  assumes weak form of self-duality (<sup>03</sup> refers to the coordinates in the complement of  $X^2$  tangent plane in the 4-D tangent plane).  $K$  is assumed to be symplectic invariant and constant for given  $X^2$ . The condition that the flux of  $F^{03} = (\hbar/g_K)J^{03}$  defining the counterpart of Kähler electric field equals to the Kähler charge  $g_K$  gives the condition  $K = g_K^2/\hbar$ , where  $g_K$  is Kähler coupling constant. Within experimental uncertainties one has  $\alpha_K = g_K^2/4\pi\hbar_0 = \alpha_{em} \simeq 1/137$ , where  $\alpha_{em}$  is finite structure constant in electron length scale and  $\hbar_0$  is the standard value of Planck constant.

The assumption that Poisson bracket of WCW Hamiltonians reduces to the level of imbedding space - in other words  $\{Q(H_A), Q(H_B)\} = Q(\{H_A, H_B\})$  - can be justified. One starts from the representation in terms of say flux Hamiltonians  $Q(H_A)$  and defines  $J_{A,B}$  as  $J_{A,B} \equiv Q(\{H_A, H_B\})$ . One has  $\partial H_A/\partial t_B = \{H_B, H_A\}$ , where  $t_B$  is the parameter associated with the exponentiation of  $H_B$ . The inverse  $J^{AB}$  of  $J_{A,B} = \partial H_B/\partial t_A$  is expressible as  $J^{A,B} = \partial t_A/\partial H_B$ . From these formulas one can deduce by using chain rule that the bracket  $\{Q(H_A), Q(H_B)\} = \partial t_C Q(H_A) J^{CD} \partial t_D Q(H_B)$  of flux Hamiltonians equals to the flux Hamiltonian  $Q(\{H_A, H_B\})$ .

2. One should be able to assign to WCW Hamiltonians also a part corresponding to the interaction term. The symplectic conjugation associated with the interaction term permutes the WCW coordinates assignable to the ends of the line. One should reduce this apparently non-local symplectic conjugation (if one thinks the ends of line as separate objects) to a non-local symplectic conjugation for  $\delta CD \times CP_2$  by identifying the points of lower and upper end of  $CD$  related by time reflection and assuming that conjugation corresponds to time reflection. Formally this gives a well defined generalization of the local Poisson brackets between time reflected points at the boundaries of  $CD$ . The connection of Hermitian conjugation and time reflection in quantum field theories is in accordance with this picture.
3. The only manner to proceed is to assign to the flux Hamiltonian also a part obtained by the replacement of the flux integral over  $X^2$  with an integral over the projection of  $X^2$  to a sphere  $S^2$  assignable to the light-cone boundary or to a geodesic sphere of  $CP_2$ , which come as two varieties corresponding to homologically trivial and non-trivial spheres. The projection is defined as by the geodesic line orthogonal to  $S^2$  and going through the point of  $X^2$ . The hierarchy of Planck constants assigns to  $CD$  a preferred geodesic sphere of  $CP_2$  as well as a unique sphere  $S^2$  as a sphere for which the radial coordinate  $r_M$  or the light-cone boundary defined uniquely is constant: this radial coordinate corresponds to spherical coordinate in the rest system defined by the time-like vector connecting the tips of  $CD$ . Either spheres or possibly both of them could be relevant.

Recall that also the construction of number theoretic braids and symplectic QFT [31] led to the proposal that braid diagrams and symplectic triangulations could be defined in terms of projections of braid strands to one of these spheres. One could also consider a weakening for the condition that the points of the number theoretic braid are algebraic by requiring only that the  $S^2$  coordinates of the projection are algebraic and that these coordinates correspond to the discretization of  $S^2$  in terms of the phase angles associated with  $\theta$  and  $\phi$ .

This gives for the corresponding contribution of the WCW Hamiltonian the expression

$$Q(H_A)_{int} = \int_{S_{\pm}^2} H_A X \delta^2(s_+, s_-) d^2 s_{\pm} = \int_{P(X_+^2) \cap P(X_-^2)} \frac{\partial(s^1, s^2)}{\partial(x_{\pm}^1, x_{\pm}^2)} d^2 x_{\pm} . \quad (8.11.6)$$

Here the Poisson brackets between ends of the line using the rules involve delta function  $\delta^2(s_+, s_-)$  at  $S^2$  and the resulting Hamiltonians can be expressed as a similar integral of  $H_{[A,B]}$  over the upper or lower end since the integral is over the intersection of  $S^2$  projections.

The expression must vanish when the induced Kähler form vanishes for either end. This is achieved by identifying the scalar  $X$  in the following manner:

$$\begin{aligned}
X &= J_+^{kl} J_{kl}^- , \\
J_{\pm}^{kl} &= (1 + K_{\pm}) \partial_{\alpha} s^k \partial_{\beta} s^l J_{\pm}^{\alpha\beta} .
\end{aligned} \tag{8.11.7}$$

The tensors are lifts of the induced Kähler form of  $X_{\pm}^2$  to  $S^2$  (not  $CP_2$ ).

4. One could of course ask why these Hamiltonians could not contribute also to the kinetic terms and why the brackets with flux Hamiltonians should vanish. This relate to how one *defines* the Kähler form. It was shown above that in case of flux Hamiltonians the definition of Kähler form as brackets gives the basic formula  $\{Q(H_A), Q(H_B)\} = Q(\{H_A, H_B\})$  and same should hold true now. In the recent case  $J_{A,B}$  would contain an interaction term defined in terms of flux Hamiltonians and the previous argument should go through also now by identifying Hamiltonians as sums of two contributions and by introducing the doubling of the coordinates  $t_A$ .
5. The quantization of the modified Dirac operator must be reconsidered. It would seem that one must add to the super-Hamiltonian completely analogous term obtained by replacing  $(1 + K)J$  with  $X\partial(s^1, s^2)/\partial(x_{\pm}^1, x_{\pm}^2)$ . Besides the anticommutation relations defining correct anticommutators to flux Hamiltonians, one should pose anticommutation relations consistent with the anticommutation relations of super Hamiltonians. In these anticommutation relations  $(1 + K)J\delta^2(x, y)$  would be replaced with  $X\delta^2(s^+, s^-)$ . This would guarantee that the oscillator operators at the ends of the line are not independent and that the resulting Hamiltonian reduces to integral over either end for  $H_{[A,B]}$ .
6. In the case of  $CP_2$  the Hamiltonians generating isometries are rational functions. This should hold true also now so that p-adic variants of Hamiltonians as functions in WCW would make sense. This in turn would imply that the components of the WCW Kähler form are rational functions. Also the exponentiation of Hamiltonians make sense p-adically if one allows the exponents of group parameters to be functions  $Exp_p(t)$ .

### Does the expansion in terms of partial harmonics converge?

The individual terms in the partial wave expansion seem to be finite but it is not at all clear whether the expansion in powers of  $K$  actually converges.

1. In the proposed scenario one performs the expansion of the vacuum functional  $exp(K)$  in powers of  $K$  and therefore in negative powers of  $\alpha_K$ . In principle an infinite number of terms can be present. This is analogous to the perturbative expansion based on using magnetic monopoles as basic objects whereas the expansion using the contravariant Kähler metric as a propagator would be in positive powers of  $\alpha_K$  and analogous to the expansion in terms of magnetically bound states of wormhole throats with vanishing net value of magnetic charge. At this moment one can only suggest various approaches to how one could understand the situation.
2. Weak form of self-duality and magnetic confinement could change the situation. Performing the perturbation around magnetic flux tubes together with the assumed slicing of the space-time sheet by stringy world sheets and partonic 2-surfaces could mean that the perturbation corresponds to the action assignable to the electric part of Kähler form proportional to  $\alpha_K$  by the weak self-duality. Hence by  $K = 4\pi\alpha_K$  relating Kähler electric field to Kähler magnetic field the expansion would come in powers of a term containing sum of terms proportional to  $\alpha_K^0$  and  $\alpha_K$ . This would leave to the scattering amplitudes the exponents of Kähler function at the maximum of Kähler function so that the non-analytic dependence on  $\alpha_K$  would not disappear.

A further reason to be worried about is that the expansion containing infinite number of terms proportional to  $\alpha_K^0$  could fail to converge.

1. This could be also seen as a reason for why magnetic singlets are unavoidable except perhaps for  $\hbar < \hbar_0$ . By the holomorphic factorization the powers of the interaction part of Kähler action in powers of  $1/\alpha_K$  would naturally correspond to increasing and opposite net values of

the quantum numbers assignable to the WCW phase coordinates at the ends of the propagator line. The magnetic bound states could have similar expansion in powers of  $\alpha_K$  as pairs of states with arbitrarily high but opposite values of quantum numbers. In the functional integral these quantum numbers would compensate each other. The functional integral would leave only an expansion containing powers of  $\alpha_K$  starting from some finite possibly negative (unless one assumes the weak form of self-duality) power. Various gauge coupling strengths are expected to be proportional to  $\alpha_K$  and these expansions should reduce to those in powers of  $\alpha_K$ .

2. Since the number of terms in the fermionic propagator expansion is finite, one might hope on basis of super-symmetry that the same is true in the case of the functional integral expansion. By the holomorphic factorization the expansion in powers of  $K$  means the appearance of terms with increasingly higher quantum numbers. Quantum number conservation at vertices would leave only a finite number of terms to tree diagrams. In the case of loop diagrams pairs of particles with opposite and arbitrarily high values of quantum numbers could be generated at the vertex and magnetic confinement might be necessary to guarantee the convergence. Also super-symmetry could imply cancellations in loops.

### Could one do without flux Hamiltonians?

The fact that the Kähler functions associated with the propagator lines can be regarded as interaction terms inspires the question whether the Kähler function could contain only the interaction terms so that Kähler form and Kähler metric would have components only between the ends of the lines.

1. The basic objection is that flux Hamiltonians too beautiful objects to be left without any role in the theory. One could also argue that the WCW metric would not be positive definite if only the non-diagonal interaction term is present. The simplest example is Hermitian  $2 \times 2$ -matrix with vanishing diagonal for which eigenvalues are real but of opposite sign.
2. One could of course argue that the expansions of  $\exp(K)$  and  $\lambda_k$  give in the general powers  $(f_n \bar{f}_n)^m$  analogous to diverging tadpole diagrams of quantum field theories due to local interaction vertices. These terms do not produce divergences now but the possibility that the exponential series of this kind of terms could diverge cannot be excluded. The absence of the kinetic terms would allow to get rid of these terms and might be argued to be the symmetric space counterpart for the vanishing of loops in WCW integral.
3. In zero energy ontology this idea does not look completely non-sensical since physical states are pairs of positive and negative energy states. Note also that in quantum theory only creation operators are used to create positive energy states. The manifest non-locality of the interaction terms and absence of the counterparts of kinetic terms would provide a trivial manner to get rid of infinities due to the presence of local interactions. The safest option is however to keep both terms.

### Summary

The discussion suggests that one must treat the entire Feynman graph as single geometric object with Kähler geometry in which the symmetric space is defined as product of what could be regarded as analogs of symmetric spaces with interaction terms of the metric coming from the propagator lines. The exponent of Kähler function would be the product of exponents associated with all lines and contributions to lines depend on quantum numbers (momentum and color quantum numbers) propagating in line via the coupling to the modified Dirac operator. The conformal factorization would allow the reduction of integrations to Fourier analysis in symmetric space. What is of decisive importance is that the entire Feynman diagrammatics at WCW level would reduce to the construction of WCW geometry for a single propagator line as a function of quantum numbers propagating on the line.



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## Condensed matter physics

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# Chapter 9

## Classical TGD

### 9.1 Introduction

A brief summary of what might be called basic principles is in order to facilitate the reader to assimilate the basic tools and rules of intuitive thinking involved.

#### 9.1.1 Quantum-classical correspondence

The fundamental meta level guiding principle is quantum-classical correspondence (classical physics is an exact part of quantum TGD). The principle states that all quantum aspects of the theory, which means also various aspects of consciousness such as volition, cognition, and intentionality, should have space-time correlates [8]. Real space-time sheets provide kind of symbolic representations whereas p-adic space-time sheets provide correlates for cognition and intentions. All that we can symbolically communicate about conscious experience relies on quantal space-time engineering to build these representations.

The progress in the understanding of quantum TGD has demonstrated that quantum classical correspondence is more or less equivalent with holography, quantum criticality, and criticality as the principle selecting the preferred extremals of Kähler action. It also guarantees 1-1 correspondence between quantum states and classical states essential for quantum measurement theory.

#### 9.1.2 Classical physics as exact part of quantum theory

Classical physics corresponds to the dynamics of space-time surfaces determined by the criticality in the sense that extremals allow an infinite number of deformations giving rise to a vanishing second variation of the Kähler action [19]. This dynamics have several unconventional features basically due to the possibility to interpret the Kähler action as a Maxwell action expressible in terms of the induced metric defining classical gravitational field and induced Kähler form defining a non-linear Maxwell field not as such identifiable as electromagnetic field however.

#### **Classical long ranged weak and color fields as signature for a fractal hierarchy of copies standard model physics**

The geometrization of classical fields means that various classical fields are expressible in terms of imbedding space-coordinates and are thus not primary dynamical variables. This predicts the presence of long range weak and color (gluon) fields not possible in standard physics context. It took 26 years to end up with a convincing interpretation for this puzzling prediction.

What seems to be the correct interpretation is in terms of an infinite fractal hierarchy of copies of standard models physics with appropriately scaled down mass spectra for quarks, leptons, and gauge bosons. Both p-adic length scales and the values of Planck constant predicted by TGD [33] label various physics in this hierarchy. Also other quantum numbers are predicted as labels. This means that universe would be analogous to an inverted Mandelbrot fractal with each bird's eye of view revealing new long length scale structures serving also as correlates for higher levels of self hierarchy.

Exotic dark weak forces and their dark variants are consistent with the experimental widths for ordinary weak gauge bosons since the particles belonging to different levels of the hierarchy do not have direct couplings at Feynman diagram level although they have indirect classical interactions and also the de-coherence reducing the value of  $\hbar$  is possible. Classical long ranged weak fields play a key role in quantum control and communications in living matter [37, 43]. Long ranged classical color force in turn is the backbone in the model of color vision [42]: colors correspond to the increments of color quantum numbers in this model. The increments of weak isospin in turn could define the basic color like quale associated with hearing (black-white  $\leftrightarrow$  to silence-sound [42, 40, 34]).

### Topological field quantization and the notion of many-sheeted space-time

The compactness of  $CP_2$  implies the notions of many-sheeted space-time and topological field quantization. Topological field quantization means that various classical field configurations decompose into topological field quanta. One can see space-time as a gigantic Feynman diagram with lines thickened to 4-surfaces. Criticality of the preferred extremals implies that only selected field configurations analogous to Bohr's orbits are realized physically so that quantum-classical correspondence becomes very predictive. An interpretation as a 4-D quantum hologram is a further very useful picture [43] but will not be discussed in this chapter in any detail.

Topological field quantization implies that the field patterns associated with material objects form extremely complex topological structures which can be said to belong to the material objects. The notion of field body, in particular magnetic body, typically much larger than the material system, differentiates between TGD and Maxwell's electrodynamics, and has turned out to be of fundamental importance in the TGD inspired theory of consciousness. One can say that field body provides an abstract representation of the material body.

One implication of many-sheetedness is the possibility of macroscopic quantum coherence. By quantum classical correspondence large space-time sheets as quantum coherence regions are macroscopic quantum systems and therefore ideal sites of the quantum control in living matter.

1. The original argument was that each space-time sheet carrying matter has a temperature determined by its size and the mass of the particles residing at it via de Broglie wave length  $\lambda_{dB} = \sqrt{2mE}$  assumed to define the p-adic length scale by the condition  $L(k) < \lambda_{dB} < L(k_>)$ . This would give very low temperatures when the size of the space-time sheet becomes large enough. The original belief indeed was that the large space-time sheets can be very cold because they are not in thermal equilibrium with the smaller space-time sheets at higher temperature.
2. The assumption about thermal isolation is not needed if one accepts the possibility that Planck constant is dynamical and quantized and that dark matter corresponds to a hierarchy of phases characterized by increasing values of Planck constant [33, 19]. From  $E = hf$  relationship it is clear that arbitrarily low frequency dark photons (say EEG photons) can have energies above thermal energy which would explain the correlation of EEG with consciousness. This vision allows to formulate more precisely the basic notions of TGD inspired theory of consciousness and leads to a model of living matter giving precise quantitative predictions. Also the ability of this vision to generate new insights to quantum biology provides strong support for it [37].

Many-sheeted space-time predicts also fundamental mechanisms of metabolism based on the dropping of particles between space-time sheets with an ensuing liberation of the quantized zero point kinetic energy. Also the notion of many-sheeted laser follows naturally and population inverted many-sheeted lasers serve as storages of metabolic energy [41].

Space-time sheets topologically condense to larger space-time sheets by wormhole contacts which have Euclidian signature of metric. This implies causal horizon (or elementary particle horizon) at which the signature of the induced metric changes from Minkowskian to Euclidian. This forces to modify the notion of sub-system. What is new is that two systems represented by space-time sheets can be unentangled although their sub-systems bound state entangle with the mediation of the join along boundaries bonds connecting the boundaries of sub-system space-time sheets. This is not allowed by the notion of sub-system in ordinary quantum mechanics. This notion in turn implies the central concept of fusion and sharing of mental images by entanglement [8].

### Zero energy ontology

The notion of zero energy ontology emerged implicitly in cosmological context from the observation that the imbeddings of Robertson-Walker metrics are always vacuum extremals. In fact, practically all solutions of Einstein's equations have this property very naturally. The explicit formulation emerged with the progress in the formulation of quantum TGD. In zero energy ontology physical states are creatable from vacuum and have vanishing net quantum numbers, in particular energy. Zero energy states can be decomposed to positive and negative energy parts with definite geometro-temporal separation, call it  $T$ , and having interpretation in terms of initial and final states of particle reactions. Zero energy ontology is consistent with ordinary positive energy ontology at the limit when the time scale of the perception of observer is much shorter than  $T$ . One of the implications is a new view about fermions and bosons allowing to understand Higgs mechanism among other things.

Zero energy ontology leads to the view about S-matrix as a characterizer of time-like entanglement associated with the zero energy state and a generalization of S-matrix to what might be called M-matrix emerges. M-matrix is complex square root of density matrix expressible as a product of real valued "modulus" and unitary matrix representing phase and can be seen as a matrix valued generalization of Schrödinger amplitude. Also thermodynamics becomes an inherent element of quantum theory in this approach.

### TGD Universe is quantum spin glass

Since Kähler action is Maxwell action with Maxwell field and induced metric expressed in terms of  $M_+^4 \times CP_2$  coordinates, the gauge invariance of Maxwell action as a symmetry of the vacuum extremals (this implies is a gigantic vacuum degeneracy) but not of non-vacuum extremals. Gauge symmetry related space-time surfaces are not physically equivalent and gauge degeneracy transforms to a huge spin glass degeneracy. Spin glass degeneracy provides a universal mechanism of macro-temporal quantum coherence and predicts degrees of freedom called zero modes not possible in quantum field theories describing particles as point-like objects. Zero modes not contributing to the configuration space line element are identifiable as effectively classical variables characterizing the size and shape of the 3-surface as well as the induced Kähler field. Spin glass degeneracy as mechanism of macroscopic quantum coherence should be equivalent with dark matter hierarchy as a source of the coherence [43].

### Classical and p-adic non-determinism

The vacuum degeneracy of Kähler action implies classical non-determinism, which means that space-like 3-surface is not enough to fix the space-time surface associated with it uniquely as an absolute minimum of action, and one must generalize the notion of 3-surface by allowing sequences of 3-surfaces with time like separations to achieve determinism in a generalized sense. These "association sequences" can be seen as symbolic representations for the sequences of quantum jumps defining selves and thus for contents of consciousness. Not only speech and written language define symbolic representations but all real space-time sheets of the space-time surfaces can be seen in a very general sense as symbolic representations of not only quantum states but also of quantum jump sequences. An important implication of the classical non-determinism is the possibility to have conscious experiences with contents localized with respect to geometric time. Without this non-determinism conscious experience would have no correlates localized at space-time surface, and there would be no psychological time.

p-Adic non-determinism follows from the inherent non-determinism of p-adic differential equations for any action principle and is due to the fact that integration constants, which by definition are functions with vanishing derivatives, are not constants but functions of the pinary cutoffs  $x_N$  defined as  $x = \sum_k x_k p^k \rightarrow x_N = \sum_{k < N} x_k p^k$  of the arguments of the function. In p-adic topology one can therefore fix the behavior of the space-time surface at discrete set of space-time points *above* some length scale defined by p-adic concept of nearness by fixing the integration constants. In the real context this corresponds to the fixing the behavior *below* some time/length scales since points p-adically near to each other are in real sense faraway. This is a natural correlate for the possibility to plan the behavior and p-adic non-determinism is assumed to be a classical correlate for the non-determinism of intentionality, and perhaps also imagination and cognition.

These two non-determinisms allow to understand the self-referentiality of consciousness at a very general level. In a given quantum jump a space-time surface can be created with the property that it represents symbolically or cognitively something about the contents of consciousness before the

quantum jump. Thus it becomes possible to become conscious about being conscious of something. This is very much like mathematician expressing her thoughts as symbol sequences which provides feedback to go the next abstraction level.

Classical and p-adic non-determinisms force also the generalization of the notion of quantum entanglement. Time-like entanglement, crucial for understanding long term memory and precognition becomes possible. The notion of many-sheeted space-time forces also to modify the notion of sub-system, which implies that unentangled systems can have entangled sub-systems. One can partially understand this in terms of length scale dependent notion of entanglement (the entanglement of sub-systems is not seen in the length scale resolution defined by the size of unentangled systems) but only partially. The formation of join along boundaries bonds between sub-system space-time sheets and the fact that topologically condensed space-time sheets are separated by elementary particle horizons from larger space-time sheets, provide the deeper topological motivation for the generalization of sub-system concept.

### Dark matter hierarchy and hierarchy of Planck constants

Dark matter revolution with levels of the hierarchy labeled by values of Planck constant forces a further generalization of the notion of imbedding space and thus of space-time. One can say, that imbedding space is a book like structure obtained by gluing together infinite number of copies of the imbedding space like pages of a book: two copies characterized by singular discrete bundle structure are glued together along 4-dimensional set of common points. These points have physical interpretation in terms of quantum criticality. Particle states belonging to different sectors (pages of the book) can interact via field bodies representing space-time sheets which have parts belonging to two pages of this book.

This picture has profound consequences. For instance, gauge boson masses are in excellent approximation due to coupling to Higgs boson and fermion masses originate from p-adic thermodynamics. Also a detailed understanding of hadronic anatomy in terms of super-symplectic quanta and a microscopic theory of black-holes emerge.

All this is a work in progress and there are many uncertainties involved. Despite this it seems that it is good to sum up the recent view in order to make easier to refer to the new developments in the existing chapters.

### p-Adic fractality of life and consciousness

p-Adic fractality of biology and consciousness has become an increasingly important guide line in the construction of the theory. This notion allows to relate phenomena occurring in the molecular level to phenomena like remote viewing and psychokinesis and it leads also to the view that topological field quanta of various fields of astrophysical size are crucial for the functioning of bio-systems. If one accepts p-adic fractality, the theory can be tested in unexpected manners, in particular in molecular and cellular length scales where the systems are much simpler. Sensory perception, long term memory, remote mental interactions, metabolism: all these phenomena rely on the same basic mechanisms. p-Adic length scale hypothesis allows to quantify the hypothesis with testable quantitative predictions.

### Double slit experiment and classical non-determinism

Bohr's complementarity principle is the basic element of Copenhagen interpretation and at the same time one of the most poorly defined aspects of this interpretation. If the possibility of macroscopic quantum entanglement between measurement instrument and quantum system is accepted, complementary principle becomes un-necessary. This is however not all that is needed. If classical non-determinism makes it possible to represent quantum jump sequences at space-time level, a revision of space-time description of quantum measurement is necessary. This sounds very logical but to be honest, I write these lines only after having learned about the remarkable experiment done by Shahriar Afshar [61].

The variant of double slit experiment by Shahriar Afshar seems to contradict the Copenhagen interpretation which states that the particle and field aspects are complementarity and thus mutually exclusive. In the case of double slit experiment complementarity predicts that the measurement of whether the photon came to the detector through slit 1 or 2 should destroy the interference pattern of electromagnetic fields in the region behind the screen.

The experimental arrangement of Afshar differs from the standard double slit experiment in that a lens was added behind the screen. The lens transmitted the photons coming from slits 1 and 2 via mirrors to detectors A and B so that in particle picture a photon detected by A (B) could be regarded as coming from slit 1 (2). In the first step both slits were open and the detectors represented interference patterns representing diffraction through single slit. The other slit was then closed and metal wires at the positions of dark interference rings were added. These wires degraded somewhat the image in the second detector. After this the second slit was opened again. Surprisingly, the resulting interference pattern was the original one.

The measurement certainly measures the particle aspect of photons. On the other hand, the preservation of the detected patterns means that no photons did enter in the regions containing the wires so that also interference pattern is there. Hence wave and particle aspects seem to be mutually consistent.

This finding is difficult to understand in Copenhagen interpretation and also in the many-worlds interpretation of quantum mechanics. Afshar himself suggest that the very notion of photon must be questioned. It is however difficult to accept this view since the photon absorption quite concretely corresponds to a click in the detector and also because the mathematical formalism of second quantization works so fantastically.

The conclusion can be criticized. What is primarily measured is not basically through which slit the photons came but whether the direction of the momentum of the photon emerging from the lens was in the angle range characterizing the detector or not. One can however argue that in deterministic physics for fields the two measurements are equivalent so that the problem remains.

In TGD framework the classical physics is not completely deterministic and this has led to a generalization of the notion of quantum classical correspondence. Space-time surface provides a classical (unfaithful) representation not only for quantum states but for quantum jump sequences or equivalently, for sequences of quantum states. The most obvious identification for the quantum states is as the maximal non-deterministic regions of a given space-time sheet.

In the recent context this would mean that the fields in the region between the screen and lens represent the state before the state function reduction and thus the interference pattern, whereas the fields in the region between lens and detectors represent the situation after the state function reduction. The interaction with lens involves classical non-determinism.

This picture conforms also with the notion of topological field quantization. The space-time decomposes into space-time sheets interpreted, topological field quanta (topological light rays containing photons, flux quanta of magnetic field, etc.). Topological field quanta correspond to the coherence regions for classical fields with spinor fields included. De-coherence corresponds to the splitting of space-time sheet to smaller, possibly parallel space-time sheets. Topological field quantum carries classical fields inside it but behaves as a whole like particle. Hence particle and wave aspects are consistent in the sense that below the size scale  $L$  of the topological field quantum (say the thickness of a magnetic flux tube or topological light ray) the description as a wave applies and above  $L$  particle description makes sense. In the recent case the coherence is lost at the lens space-time sheet where the space-time sheet representing interference pattern decomposes to two sheets representing photon beams going to the two detectors.

### 9.1.3 Some basic ideas of TGD inspired theory of consciousness and quantum biology

The following ideas of TGD inspired theory of consciousness and of quantum biology are the most relevant ones for what will follow.

1. "Everything is conscious and consciousness can be only lost" is the briefest manner to summarize TGD inspired theory of consciousness. Quantum jump as moment of consciousness and the notion of self are key concepts of the theory. Self is a system able to avoid bound state entanglement with environment and can be formally seen as an ensemble of quantum jumps. The contents of consciousness of self are defined by the averaged increments of quantum numbers and zero modes (sensory and geometric qualia). Moment of consciousness can be said to be the counterpart of elementary particle and self the counterpart of many-particle state, either bound and free. The selves formed by macro-temporal quantum coherence are in turn the counterparts of atoms, molecules and larger structures. Macro-temporal quantum coherence effectively

binds a sequence of quantum jumps to a single quantum jump as far as conscious experience is considered. The idea that conscious experience is about changes amplified to macroscopic quantum phase transitions, is the key philosophical guideline in the construction of various models, such as the model of qualia, the capacitor model of sensory receptor, the model of cognitive representations, and declarative memories.

2. Macro-temporal quantum coherence is a second consequence of the spin glass degeneracy [43]. It is essentially due to the formation of bound states and has as a topological correlate the formation of join along boundaries bonds connecting the boundaries of the component systems. During macro-temporal coherence quantum jumps integrate effectively to single long-lasting quantum jump and one can say that system is in a state of oneness, eternal now, outside time. Macro-temporal quantum coherence makes possible stable non-entropic mental images. Negative energy MEs are one particular mechanism making possible macro-temporal quantum coherence via the formation of bound states, and remote metabolism and sharing of mental images are other facets of this mechanism. The real understanding of the origin of macroscopic quantum coherence requires the generalization of quantum theory allowing dynamical and quantized Planck constant [19, 37].
3. p-Adic physics as physics of intentionality and of cognition is a further key idea of TGD inspired theory of consciousness. p-Adic space-time sheets as correlates for intentions and p-adic-to-real transformations of them as correlates for the transformation of intentions to actions allow deeper understanding of also psychological time as a front of p-adic-to-real transition propagating to the direction of the geometric future. Negative energy MEs are absolutely essential for the understanding of how precisely targeted intentionality is realized.

## 9.2 Many-sheeted space-time, magnetic flux quanta, electrets and MEs

TGD inspired theory of consciousness and of living matter relies on space-time sheets carrying ordinary matter, topological light rays (massless extremals, MEs), and magnetic and electric flux quanta. There are some new results which motivate a separate discussion of them.

### 9.2.1 Dynamical quantized Planck constant and dark matter hierarchy

By quantum classical correspondence space-time sheets can be identified as quantum coherence regions. Hence the fact that they have all possible size scales more or less unavoidably implies that Planck constant must be quantized and have arbitrarily large values. If one accepts this then also the idea about dark matter as a macroscopic quantum phase characterized by an arbitrarily large value of Planck constant emerges naturally as does also the interpretation for the long ranged classical electro-weak and color fields predicted by TGD. Rather seldom the evolution of ideas follows simple linear logic, and this was the case also now. In any case, this vision represents the fifth, relatively new thread in the evolution of TGD and the ideas involved are still evolving.

#### Dark matter as large $\hbar$ phase

D. Da Rocha and Laurent Nottale have proposed that Schrödinger equation with Planck constant  $\hbar$  replaced with what might be called gravitational Planck constant  $\hbar_{gr} = \frac{GmM}{v_0}$  ( $\hbar = c = 1$ ).  $v_0$  is a velocity parameter having the value  $v_0 = 144.7 \pm .7$  km/s giving  $v_0/c = 4.6 \times 10^{-4}$ . This is rather near to the peak orbital velocity of stars in galactic halos. Also sub-harmonics and harmonics of  $v_0$  seem to appear. The support for the hypothesis coming from empirical data is impressive.

Nottale and Da Rocha believe that their Schrödinger equation results from a fractal hydrodynamics. Many-sheeted space-time however suggests astrophysical systems are not only quantum systems at larger space-time sheets but correspond to a gigantic value of gravitational Planck constant. The gravitational (ordinary) Schrödinger equation would provide a solution of the black hole collapse (IR catastrophe) problem encountered at the classical level. The resolution of the problem inspired by TGD inspired theory of living matter is that it is the dark matter at larger space-time sheets which is quantum coherent in the required time scale [30].



### Dark matter as a source of long ranged weak and color fields

Long ranged classical electro-weak and color gauge fields are unavoidable in TGD framework. The smallness of the parity breaking effects in hadronic, nuclear, and atomic length scales does not however seem to allow long ranged electro-weak gauge fields. The problem disappears if long ranged classical electro-weak gauge fields are identified as space-time correlates for massless gauge fields created by dark matter. Also scaled up variants of ordinary electro-weak particle spectra are possible. The identification explains chiral selection in living matter and unbroken  $U(2)_{ew}$  invariance and free color in bio length scales become characteristics of living matter and of bio-chemistry and bio-nuclear physics. An attractive solution of the matter antimatter asymmetry is based on the identification of also antimatter as dark matter.

### Dark matter hierarchy and consciousness

The emergence of the vision about dark matter hierarchy has meant a revolution in TGD inspired theory of consciousness. Dark matter hierarchy means also a hierarchy of long term memories with the span of the memory identifiable as a typical geometric duration of moment of consciousness at the highest level of dark matter hierarchy associated with given self so that even human life cycle represents at this highest level single moment of consciousness.

Dark matter hierarchy leads to detailed quantitative view about quantum biology with several testable predictions [37]. The applications to living matter suggests that the basic hierarchy corresponds to a hierarchy of Planck constants coming as  $\hbar(k) = \lambda^k(p)\hbar_0$ ,  $\lambda \simeq 2^{11}$  for  $p = 2^{127-1}$ ,  $k = 0, 1, 2, \dots$  [37]. Also integer valued sub-harmonics and integer valued sub-harmonics of  $\lambda$  might be possible. Each p-adic length scale corresponds to this kind of hierarchy and number theoretical arguments suggest a general formula for the allowed values of Planck constant  $\lambda$  depending logarithmically on p-adic prime [33]. Also the value of  $\hbar_0$  has spectrum characterized by Beraha numbers  $B_n = 4\cos^2(\pi/n)$ ,  $n \geq 3$ , varying by a factor in the range  $n > 3$  [33].

The general prediction is that Universe is a kind of inverted Mandelbrot fractal for which each bird's eye of view reveals new structures in long length and time scales representing scaled down copies of standard physics and their dark variants. These structures would correspond to higher levels in self hierarchy. This prediction is consistent with the belief that 75 per cent of matter in the universe is dark.

#### 1. Living matter and dark matter

Living matter as ordinary matter quantum controlled by the dark matter hierarchy has turned out to be a particularly successful idea. The hypothesis has led to models for EEG predicting correctly the band structure and even individual resonance bands and also generalizing the notion of EEG [37]. Also a generalization of the notion of genetic code emerges resolving the paradoxes related to the standard dogma [36, 37]. A particularly fascinating implication is the possibility to identify great leaps in evolution as phase transitions in which new higher level of dark matter emerges [37].

It seems safe to conclude that the dark matter hierarchy with levels labelled by the values of Planck constants explains the macroscopic and macro-temporal quantum coherence naturally. That this explanation is consistent with the explanation based on spin glass degeneracy is suggested by following observations. First, the argument supporting spin glass degeneracy as an explanation of the macro-temporal quantum coherence does not involve the value of  $\hbar$  at all. Secondly, the failure of the perturbation theory assumed to lead to the increase of Planck constant and formation of macroscopic quantum phases could be precisely due to the emergence of a large number of new degrees of freedom due to spin glass degeneracy. Thirdly, the phase transition increasing Planck constant has concrete topological interpretation in terms of many-sheeted space-time consistent with the spin glass degeneracy.

#### 2. Dark matter hierarchy and the notion of self

The vision about dark matter hierarchy leads to a more refined view about self hierarchy and hierarchy of moments of consciousness [19, 37]. The larger the value of Planck constant, the longer the subjectively experienced duration and the average geometric duration  $T(k) \propto \lambda^k$  of the quantum jump.

Dark matter hierarchy suggests also a slight modification of the notion of self. Each self involves a hierarchy of dark matter levels, and one is led to ask whether the highest level in this hierarchy

corresponds to a single quantum jump rather than a sequence of quantum jumps. The averaging of conscious experience over quantum jumps would occur only for sub-selves at lower levels of dark matter hierarchy and these mental images would be ordered, and single moment of consciousness would be experienced as a history of events. One can ask whether even entire life cycle could be regarded as a single quantum jump at the highest level so that consciousness would not be completely lost even during deep sleep. This would allow to understand why we seem to know directly that this biological body of mine existed yesterday.

The fact that we can remember phone numbers with 5 to 9 digits supports the view that self corresponds at the highest dark matter level to single moment of consciousness. Self would experience the average over the sequence of moments of consciousness associated with each sub-self but there would be no averaging over the separate mental images of this kind, be their parallel or serial. These mental images correspond to sub-selves having shorter wake-up periods than self and would be experienced as being time ordered. Hence the digits in the phone number are experienced as separate mental images and ordered with respect to experienced time.

### 9.2.2 p-Adic length scale hypothesis and the connection between thermal de Broglie wave length and size of the space-time sheet

Also real space-time sheets are assumed to be characterized by p-adic prime  $p$  and assumed to have a size determined by primary p-adic length scale  $L_p$  or possibly n-ary p-adic length scale  $L_p(n)$ . Since multi-p-fractality is allowed [24], one cannot exclude even the possibility that each space-time dimension might correspond to its own p-adic length scale and even several p-adic primes could be associated with single dimension.

The possibility to assign a p-adic prime to the real space-time sheets is required by the success of the elementary particle mass calculations and various applications of the p-adic length scale hypothesis. Rational numbers are common to reals and all p-adic number fields. The p-adic-to-real transition transforming intentions to actions is made possible by a large number of common rational points between p-adic and real space-time surfaces, which supports the view that real space-time sheets obeys effective p-adic topology as an approximate topology in some resolution and below some length scale. p-Adic prime thus characterizes the classical non-determinism of the Kähler action.

Parallel space-time sheets with distance about  $10^4$  Planck lengths form a hierarchy. Each material object (...atom, molecule, ..., cell,...) would correspond to this kind of space-time sheet. The p-adic primes  $p \simeq 2^k$ ,  $k$  prime or power of prime, characterize the size scales of the space-time sheets in the hierarchy. The p-adic length scale  $L(k)$  can be expressed in terms of cell membrane thickness as

$$L(k) = 2^{(k-151)/2} \times L(151) \quad , \quad (9.2.1)$$

$L(151) \simeq 10$  nm. These are so called primary p-adic length scales but there are also n-ary p-adic length scales related by a scaling of power of  $\sqrt{p}$  to the primary p-adic length scale. Quite recent model for photosynthesis [41] gives additional support for the importance of also n-ary p-adic length scales so that the relevant p-adic length scales would come as half-octaves in a good approximation but prime and power of prime values of  $k$  would be especially important.

### 9.2.3 Topological light rays (massless extremals, MEs)

I have described MEs, or "topological light rays", in detail in [47] and in [48, 45], and describe here only very briefly the basic characteristics of MEs and concentrate on new idea about their possible role for consciousness and life.

#### What MEs are?

MEs (massless extremals, topological light rays) can be regarded as topological field quanta of classical radiation fields [48, 45]. They are typically tubular space-time sheets inside which radiation fields propagate with light velocity in single direction without dispersion. The simplest case corresponds to a straight cylindrical ME but also curved MEs, kind of curved light rays, are possible. The initial values for a given moment of time are arbitrary by light likeness. Therefore MEs are ideal for precisely targeted communications. What distinguishes MEs from Maxwellian radiation fields in empty space

is that light like vacuum 4-current is possible: ordinary Maxwell's equations would state that this current vanishes. Quite generally, purely geometric vacuum charge densities and 3-currents are purely TGD based prediction and could be seen as a classical correlate of the vacuum polarization predicted by quantum field theories.

MEs are fractal structures containing MEs within MEs. The so called scaling law of homeopathy predicts that the high frequency MEs inside low frequency MEs are in a ratio having discrete values [36]. One can indeed justify this relationship. As ions drop from smaller space-time sheets to magnetic flux tubes, zero point kinetic energy is liberated as high frequency MEs, and the ions dropped to magnetic flux tubes generate cyclotron radiation, and the ratio of the fundamental frequencies is constant not depending on particle mass and being determined solely by p-adic length scale hypothesis. The model for the radio waves induced by the irradiation of DNA by laser light [107] gives support for this picture [43].

### Two basic types of MEs

MEs have 2-dimensional  $CP_2$  projection which means that electro-weak holonomy group is Abelian (color holonomy is always Abelian which suggests that physical states in TGD Universe correspond to states of color multiplets with vanishing color hypercharge and isospin rather than color singlets). If  $CP_2$  projection belongs to a homologically non-trivial geodesic sphere, only em and  $Z^0$  fields and Abelian color gauge fields are present. In the homologically trivial case only classical  $W$  fields are non-vanishing.

1. Neutral MEs can be assigned to various kinds of communications from biological body to the magnetic body and fractal hierarchy of EEGs and ZEGs represent the basic example in this respect [37].
2. Dark  $W$  MEs serving as correlate for dark  $W$  exchanges induce an exotic ionization of atomic nuclei [21, 28, 37]. This induces charge entanglement between magnetic body and biological body generating dark plasma oscillation patterns inducing nerve pulse patterns and ion waves at the space-time sheets occupied by the ordinary matter. The mechanism is based on many-sheeted Faraday law inducing electromagnetic fields at ordinary space-time sheet in turn giving rise to ohmic currents. State function reduction selects one of the exotically ionized configurations. This mechanism is the most plausible candidate for how magnetic body as an intentional agent controls biological body.

### Negative energy MEs

MEs can have either positive or negative energy depending on the time orientation. The understanding of negative energy MEs has increased considerably. Phase conjugate laser beams [55] are the most plausible standard physics counterparts of negative energy MEs since they can be interpreted as time reversed laser beams and do not possess direct Maxwellian analog. By quantum-classical correspondence one can interpret the frequencies associated with negative energy MEs as energies. One can also assume that the Bose-Einstein condensed photons associated with negative energy MEs and with the coherent light generated by the light like vacuum current have negative energies.

For frequencies for which energy is above the thermal energy there is no system which could interact with negative energy MEs or absorb negative energy photons. Therefore negative energy MEs and corresponding photons should propagate through matter practically without any interaction. Feinberg has demonstrated that phase conjugate laser beams behave similarly: for instance, one can see through chickens using these laser beams [57]. This means that negative energy MEs do not respect Faraday cages and thus represent an attractive candidate for the hypothetical Psi field.

Negative energy MEs have many applications.

1. Negative energy MEs ideal for generating time like entanglement. Since negative energies are involved, this entanglement can be seen as a correlate for the bound state entanglement leading to a macro-temporal quantum coherence. Negative energy MEs make thus possible telepathic sharing of mental images. Negative energy MEs are involved with both sensory perception, long term memory, and motor action. In the model for living matter [37] The charge entanglement generated by  $W$  MEs inducing exotic weak charge and electromagnetic charge is assumed to be

responsible for bio-control whereas neutral MEs in general carrying both em and  $Z^0$  fields are responsible for communications.

2. Negative energy MEs are ideal for a precisely targeted realization of intentions. p-Adic ME having a large number of common rational points with negative energy ME is generated and transformed to a real ME in quantum jump. The system receives positive energy and momentum as a recoil effect and the transition is not masked by ordinary spontaneously occurring quantum transitions since the energy of the system increases. One can say that negative energy ME represents the desires communicated to the geometric past and inducing as a reaction the desired action realized as say neuronal activity and generation of positive energy MEs.
3. The generation of negative energy MEs is also in a key role in remote metabolism and MEs serve as quantum credit cards implying an extreme flexibility of the metabolism. If the system receiving negative energy MEs is a population inverted laser or its many-sheeted counterpart, then quite a small field intensity associated with negative energy MEs (intensity of negative energy photons) can lead to the amplification of the time reflected positive energy signal. The reason is that the rate for the induced emission is proportional to the number of particles dropped to the ground state from the excited state. Therefore even negative energy bio-photons might serve as quantum controllers of metabolism and induce much more intense beams of positive energy photons, say when interacting with mitochondria.

### 9.2.4 Magnetic flux quanta and electrets

Magnetic flux tubes and electrets are extremals of Kähler action dual to each other. Also layer like magnetic flux quanta and their electric counterparts are possible. The magnetic/electric field is in a good approximation of constant magnitude but has varying direction.

#### Magnetic fields and life

The magnetic field associated with any material system is topologically quantized, and one can assign to any system a magnetic body. An attractive idea is that the relationship of the magnetic body to the material system is to some degree that of the manual to an electronic instrument. Quantitative arguments related to the dark matter hierarchy assuming that magnetic bodies are dark suggest that cognitions and emotions are regarded as somatosensory qualia of the magnetic body [37, 42]. Magnetic body would in this case serve as a kind of computer screen at which the data items processes in say brain are communicated either classically (positive energy MEs) or by sharing of mental images (negative energy MEs).

Magnetic body is also an active intentional agent: motor actions are controlled from magnetic body and proceed as cascade like processes from long to short length and time scales as quantum communications of desires at various levels of hierarchy of magnetic bodies. Communication occurs backwards in geometric time by negative energy MEs. Motor action as a response to these desires occurs by classical communications by positive energy MEs and as neural activities. This explains the coherence and synchrony of motor actions difficult to understand in neuroscience framework. The sizes of flux quanta are astrophysical: for instance, EEG frequency of 7.8 Hz corresponds to a wave length defined by Earth's circumference. The non-locality in the length scale of magnetosphere, and even in length scales up to light life, is forced by Uncertainty Principle alone, if taken seriously in macroscopic length scales.

The leakage of supra currents of ions and their Cooper pairs from magnetic flux tubes of the Earth's magnetic field to smaller space-time sheets and their dropping back involving liberation of the zero point kinetic energy defines one particular metabolic "Karma's cycle". The dropping of protons from  $k = 137$  atomic space-time sheet involved with the utilization of ATP molecules is only a special instance of the general mechanism involving an entire hierarchy of zero point kinetic energies defining universal metabolic currencies. This leads to the idea that the topologically quantized magnetic field of Earth defines the analog of central nervous system and blood circulation present already during the pre-biotic evolution and making possible primitive metabolism. This has far reaching implications for the understanding of how pre-biotic evolution led to living matter as we understand it [43].

For instance, it has recently become clear that the dropping of atoms and molecules from space-time sheets labelled by p-adic prime  $p \simeq 2^k$ ,  $k = 131$ , liberates photons at visible and near infrared wave

lengths. The hot  $k = 131$  space-time sheets (with temperatures above 1000 K) could have served as a source of metabolic energy for life-forms at cool  $k = 137$  sheets. Photosynthesis could have developed in the circumstances where solar radiation was replaced with these photons. The correct prediction is that chlorophylls should be especially sensitive to these wave lengths. In particular, it is predicted that also IR wave lengths 700-1000 nm should have been utilized. There indeed are bacteria using only this portion of solar radiation. This leads to a scenario making sense only in TGD universe. Pre-biotic life could have developed at the cool space-time sheets in the hot interior of Earth below crust, where  $k = 131$  space-time sheets are possible and this life could still be there [43]. Also the life as we know it, could involve hot spots generated by the cavitation of water inside cell. The classical repulsive  $Z^0$  force causes a strong acceleration during final stages of bubble collapse creating high temperatures, and could explain also sono-luminescence [56] as suggested in [28].

Magnetic Mother Gaia could also form sensory and other representations receiving input from several brains via negative energy EEG MEs entangling magnetosphere with brains. The multi-brained magnetospheric selves could be responsible for the third person aspect of consciousness and for the evolution of social structures. For instance, the successful healing by prayer and meditation groups [62], and the experiments of Mark Germaine [60] provide support for the notion of multi-brained magnetospheric selves are involved. Magnetic flux tubes could function as wave guides for MEs and this aspect is crucial in the model of long term memory.

### Electrets and bio-systems

Bio-systems are known to be full of electrets and liquid crystals [58]. Perhaps the most fundamental electret structure is cell membrane. In particular, the water inside cells tends to be in gel phase which is liquid crystal phase. There are many good reasons for why water should be in ordered phase. One very fundamental reason is that bio-polymers are stable in liquid crystal/ordered water phase since there are no free water molecules available for the depolymerization by hydration. In fact, only a couple of years ago it was experimentally discovered that bio-polymers can be stabilized around ice.

The capacitor model for sensory receptor is one very important application of the electret concept [48, 42]. Sensory qualia result in the flow of particles with given quantum numbers from the plate to another one in quantum discharge. This kind of amplification of quantum number *resp.* zero mode increments would give rise to both geometric *resp.* non-geometric qualia [42].

Also micro-tubuli are electrets. Sol-gel transition, as any phase transition, is an good candidate for the representation of a conscious bit and controlled local sol-gel transition between ordinary and liquid crystal water could be a basic control tool making possible cellular locomotion, changes of protein conformations, etc... The tubulin dimers of micro-tubuli could induce sol-gel transformations by generating negative energy MEs, and micro-tubular surface could provide bit maps of their environment somewhat like sensory areas of brain provide maps of body. If gel→sol transition around tubulin inducing conformational change induces sol→gel transformation in some point of environment as would be the case for the seesaw mechanism to be discussed below, a one-one correspondence would result. By this one-one correspondence micro-tubules would automatically generate kind of conscious log files about the control activities which could have evolved to micro-tubular declarative memory representations about what happens inside cell [41].

## 9.3 General considerations

The solution families of field equations studied in this chapter were found already during eighties. The physical interpretation turned out to be the the really tough problem. What is the principle selecting preferred extremals of Kähler action as analogs of Bohr orbits assigning to 3-surface  $X^3$  a unique space-time surface  $X^4(X^3)$ ? Does Equivalence Principle hold true and if so, in what sense? These have been the key questions. The realization that light-like 3-surfaces  $X_l^3$  associated with the light-like wormhole throats at which the signature of the induced metric changes from Minkowskian to Euclidian led to the formulation of quantum TGD in terms of second quantized induced spinor fields at these surfaces. Together with the notion of number theoretical compactification this approach allowed to identify the conditions characterizing the preferred extremals. What is remarkable that these conditions are consistent with what is known about extremals. Also a connection with string models and understanding of the space-time realization of Equivalence Principle emerged. In this

section the theoretical background behind field equations is briefly summarized. I will not repeat the discussion of previous two chapters [32, 18] summarizing the general vision about many-sheeted space-time, and consideration will be restricted to those aspects of vision leading to direct predictions about the properties of preferred extremals of Kähler action.

### 9.3.1 Number theoretical compactification and $M^8 - H$ duality

The notion of hyper-quaternionic and octonionic manifold makes sense but it not plausible that  $H = M^4 \times CP_2$  could be endowed with a hyper-octonionic manifold structure. Situation changes if  $H$  is replaced with hyper-octonionic  $M^8$ . Suppose that  $X^4 \subset M^8$  consists of hyper-quaternionic and co-hyper-quaternionic regions. The basic observation is that the hyper-quaternionic sub-spaces of  $M^8$  with a fixed hyper-complex structure (containing in their tangent space a fixed hyper-complex subspace  $M^2$  or at least one of the light-like lines of  $M^2$ ) are labeled by points of  $CP_2$ . Hence each hyper-quaternionic and co-hyper-quaternionic four-surface of  $M^8$  defines a 4-surface of  $M^4 \times CP_2$ . One can loosely say that the number-theoretic analog of spontaneous compactification occurs: this of course has nothing to do with dynamics.

This picture was still too naive and it became clear that not all known extremals of Kähler action contain fixed  $M^2 \subset M^4$  or light-like line of  $M^2$  in their tangent space.

1. The first option represents the minimal form of number theoretical compactification.  $M^8$  is interpreted as the tangent space of  $H$ . Only the 4-D tangent spaces of light-like 3-surfaces  $X_l^3$  (wormhole throats or boundaries) are assumed to be hyper-quaternionic or co-hyper-quaternionic and contain fixed  $M^2$  or its light-like line in their tangent space. Hyper-quaternionic regions would naturally correspond to space-time regions with Minkowskian signature of the induced metric and their co-counterparts to the regions for which the signature is Euclidian. What is of special importance is that this assumption solves the problem of identifying the boundary conditions fixing the preferred extremals of Kähler action since in the generic case the intersection of  $M^2$  with the 3-D tangent space of  $X_l^3$  is 1-dimensional. The surfaces  $X^4(X_l^3) \subset M^8$  would be hyper-quaternionic or co-hyper-quaternionic but would not allow a local mapping between the 4-surfaces of  $M^8$  and  $H$ .
2. One can also consider a more local map of  $X^4(X_l^3) \subset H$  to  $X^4(X_l^3) \subset M^8$ . The idea is to allow  $M^2 \subset M^4 \subset M^8$  to vary from point to point so that  $S^2 = SO(3)/SO(2)$  characterizes the local choice of  $M^2$  in the interior of  $X^4$ . This leads to a quite nice view about strong geometric form of  $M^8 - H$  duality in which  $M^8$  is interpreted as tangent space of  $H$  and  $X^4(X_l^3) \subset M^8$  has interpretation as tangent for a curve defined by light-like 3-surfaces at  $X_l^3$  and represented by  $X^4(X_l^3) \subset H$ . Space-time surfaces  $X^4(X_l^3) \subset M^8$  consisting of hyper-quaternionic and co-hyper-quaternionic regions would naturally represent a preferred extremal of  $E^4$  Kähler action. The value of the action would be same as  $CP_2$  Kähler action.  $M^8 - H$  duality would apply also at the induced spinor field and at the level of configuration space. The possibility to assign  $M^2(x) \subset M^4$  to each point of  $M^4$  projection  $P_{M^4}(X^4(X_l^3))$  is consistent with what is known about extremals of Kähler action with only one exception:  $CP_2$  type vacuum extremals. In this case  $M^2$  can be assigned to the normal space.
3. Strong form of  $M^8 - H$  duality satisfies all the needed constraints if it represents Kähler isometry between  $X^4(X_l^3) \subset M^8$  and  $X^4(X_l^3) \subset H$ . This implies that light-like 3-surface is mapped to light-like 3-surface and induced metrics and Kähler forms are identical so that also Kähler action and field equations are identical. The only differences appear at the level of induced spinor fields at the light-like boundaries since due to the fact that gauge potentials are not identical.
4. The map of  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  would be crucial for the realization of the number theoretical universality.  $M^8 = M^4 \times E^4$  allows linear coordinates as those preferred coordinates in which the points of imbedding space are rational/algebraic. Thus the point of  $X^4 \subset H$  is algebraic if it is mapped to algebraic point of  $M^8$  in number theoretic compactification. This of course restricts the symmetry groups to their rational/algebraic variants but this does not have practical meaning. Number theoretical compactification could thus be motivated by the number theoretical universality.

5. The possibility to use either  $M^8$  or  $H$  picture might be extremely useful for calculational purposes. In particular,  $M^8$  picture based on  $SO(4)$  gluons rather than  $SU(3)$  gluons could perturbative description of low energy hadron physics. The strong  $SO(4)$  symmetry of low energy hadron physics can be indeed seen direct experimental support for the  $M^8 - H$  duality.

Number theoretical compactification has quite deep implications for quantum TGD and is actually responsible for most of the progress in the understanding of the mathematical structure of quantum TGD. A very powerful prediction is that preferred extremals should allow slicings to either stringy world sheets or dual partonic 2-surfaces as well as slicing by light-like 3-surfaces. Both predictions are consistent with what is known about extremals.

1. If the distribution of planes  $M^2(x)$  is integrable, it is possible to slice  $X^4(X^3)$  to a union of 2-dimensional surfaces having interpretation as string world sheets and dual 2-dimensional copies of partonic surfaces  $X^2$ . This decomposition defining 2+2 Kaluza-Klein type structure realizes quantum gravitational holography and allows to understand Equivalence Principle at space-time level in the sense that dimensional reduction defined by the integral of Kähler action over the 2-dimensional space labeling stringy world sheets gives rise to the analog of stringy action and one obtains string model like description of quantum TGD as dual for a description based on light-like partonic 3-surfaces. String tension is not however equal to the inverse of gravitational constant as one might naively expect but the connection is more delicate.
2. Second implication is the slicing of  $X^4(X^3)$  to light-like 3-surfaces  $Y_l^3$  "parallel" to  $X_l^3$ . Also this slicing realizes quantum gravitational holography if one requires General Coordinate Invariance in the sense that the Dirac determinant defined by the generalized eigenvalues of the transverse part  $D_K(X^2)$  of  $D_K$  differs for two 3-surfaces  $Y_l^3$  in the slicing only by an exponent of a real part of a holomorphic function of configuration space complex coordinates giving no contribution to the Kähler metric. The requirement that the zero modes of the 4-D modified Dirac operators  $D_K$  reduce to the analogs of 3-D shock waves for all 3-surfaces  $Y_l^3$  in the slicing requires that Noether currents are parallel to  $Y_l^3$ . Clearly, 3+1 type Kaluza-Klein structure is in question. This slicing allows to realize RG flow at space-time level using the light-like coordinate associated with the slicing as RG parameter [18]. The prediction is RG invariance of couplings for a causal diamond ( $CD$ ) in given p-adic length scale meaning a justification of the hypothesis that coupling constant evolution reduces to a discrete p-adic coupling constant evolution with p-adic length scales coming as half octaves. This prediction follows if the known properties of extremals of Kähler action hold true quite generally.
3. The assumption that Kähler current and other gauge currents flow along the slices  $Y_l^3$  of the slicing of  $X^4(X^3)$  is enough for the renormalization group invariance of gauge couplings inside  $CD$  guaranteeing p-adic coupling constant evolution [18]. The current could thus have also a component parallel to the transverse cross section in which case the current would be space-like. Space-likeness brings in mind the Euclidian signature of the effective metric defined by the modified gamma matrices  $\hat{\Gamma}^\alpha = (\partial L_K / \partial h_\alpha^k) \gamma^k$  necessary for the Higgs mechanism. Dissipation would be absent but Lorentz force would be non-vanishing. The general solution ansatz for the field equations allows besides light-like Kähler currents also space-like gauge currents, which can be regarded as topological currents. The gluing of  $CP_2$  type vacuum extremals to the known extremals with light-like gauge currents could generate the transversal part of the currents and increase the dimension  $D_{CP_2}$  of the  $CP_2$  projection to at least  $D_{CP_2} = 3$ .

### 9.3.2 The exponent of Kähler function as Dirac determinant for the modified Dirac action

Although quantum criticality in principle predicts the possible values of Kähler coupling strength, one might hope that there exists even more fundamental approach involving no coupling constants and predicting even quantum criticality and realizing quantum gravitational holography.

The identification of the light-like partonic 3-surfaces as carriers of elementary particle quantum numbers inspired by the TGD based quantum measurement theory suggests the identification of the modified Dirac action as that associated with the Chern-Simons action for the induced Kähler gauge potential. It however turned out that it is 4-D modified Dirac action associated with Kähler

action, which is the correct choice. The point is that only the solutions of  $D_K$  which are effectively 3-dimensional by generalized super-conformal gauge invariance are physical. The effective metric defined by the modified gamma matrices is non-singular even for light-like 3-surfaces  $Y_l^3$ , and this allows to develop a well-defined theory involving also metric degrees of freedom. In this framework  $C-S$  action emerges as a phase factor of quantum states for phases with non-standard value of Planck constant and is related to anyons and charge fractionization.

Absolutely essential role is played by number theoretical compactification predicted that space-time sheets have dual slicings to string world sheets and partonic 2-surfaces. This prediction is supported by the properties of known extremals of Kähler action. This allows the decompositions  $D_K = D_K(Y^2) + D_K(X^2)$  generalized eigenvalues can be associated associated with  $D_K(X^2)$  for zero modes of  $D_K$ .

1. The Dirac determinant defined by the product of Dirac determinants associated with the light-like partonic 3-surfaces  $X_l^3$  associated with a given space-time sheet  $X^4$  is the simplest candidate for vacuum functional identifiable as the exponent of the Kähler function. One can of course worry about the finiteness of the Dirac determinant. p-Adicization requires that the eigenvalues belong to a given algebraic extension of rationals. This restriction would imply a hierarchy of physics corresponding to different extensions and could automatically imply the finiteness and algebraic number property of the Dirac determinants if only finite number of eigenvalues would contribute. The regularization would be performed by physics itself if this were the case.
- 2.
3. The basic problem has been how to feed in the information about the preferred extremal of Kähler action to the eigenvalue spectrum  $D_K(X^2)$  at light-like 3-surface  $X_l^3$ . The identification of the preferred extremal came possible via boundary conditions at  $X_l^3$  dictated by number theoretical compactification. The basic observation is that the Dirac equation associated with the 4-D Dirac operator  $D_K$  defined by Kähler action can be seen as a conservation law for a super current. By restricting the super current to flow along  $X_l^3$  by requiring that its normal component vanishes, one obtains a singular solution of 4-D modified Dirac equation restricted to  $X_l^3$ . The "energy" spectrum to the spectrum of eigenvalues for  $D_K(X^2)$  and the product of the eigenvalues defines the Dirac determinant in standard manner. Since the eigenmodes are restricted to those localized to regions of non-vanishing induced Kähler form, the number of eigen modes is finite and therefore also Dirac determinant is finite. The eigenvalues can be also algebraic numbers.
4. It remains to be proven that the product of eigenvalues gives rise to the exponent of Kähler action for the preferred extremal of Kähler action. At this moment the only justification for the conjecture is that this the only thing that one can imagine.
5. An additional bonus is precise definition of quantum criticality. The Noether currents associated with the modified Dirac action are conserved if its variation with respect to  $H$ -coordinates vanishes. This means that the second variation of Kähler action varies. One can consider also a weaker form of quantum criticality in which case only the variations with respect to deformations defining the conserved currents are vanishing. This would give to a hierarchy of criticalities defined by the second variations of Kähler action. The vacuum degeneracy of Kähler action would be essential for the realization of quantum criticality and could correspond to a hierarchy of dynamical gauge symmetries characterizing finite measurement resolution suggested by the hierarchy of Jones inclusions [35].
6. A long-standing conjecture has been that the zeros of Riemann Zeta are somehow relevant for quantum TGD. Riemann zeta is however naturally replaced Dirac zeta defined by the eigenvalues of  $D_K(X^2)$  and closely related to Riemann Zeta since the spectrum consists essentially for the cyclotron energy spectra for localized solutions region of non-vanishing induced Kähler magnetic field and hence is in good approximation integer valued up to some cutoff integer. In zero energy ontology the Dirac zeta function associated with these eigenvalues defines "square root" of thermodynamics assuming that the energy levels of the system in question are expressible as logarithms of the eigenvalues of the modified Dirac operator defining kind of fundamental constants. Critical points correspond to approximate zeros of Dirac zeta and if Kähler function



vanishes at criticality as it indeed should, the thermal energies at critical points are in first order approximation proportional to zeros themselves so that a connection between quantum criticality and approximate zeros of Dirac zeta emerges.

7. The discretization induced by the number theoretic braids reduces the world of classical worlds to effectively finite-dimensional space and configuration space Clifford algebra reduces to a finite-dimensional algebra. The interpretation is in terms of finite measurement resolution represented in terms of Jones inclusion  $\mathcal{M} \subset \mathcal{N}$  of HFFs with  $\mathcal{M}$  taking the role of complex numbers. The finite-D quantum Clifford algebra spanned by fermionic oscillator operators is identified as a representation for the coset space  $\mathcal{N}/\mathcal{M}$  describing physical states modulo measurement resolution. In the sectors of generalized imbedding space corresponding to non-standard values of Planck constant quantum version of Clifford algebra is in question.

Concerning the understanding of preferred extremals, the basic prediction (assuming that Kähler gauge potential has no gauge part in  $M^4$ ) is that the  $CP_2$  projection of the light-like 3-surfaces is 3-dimensional for non-vacuum partons. One implication is that a very general family of cosmic string type solutions with 2-D  $CP_2$  projection cannot correspond to preferred extremals. If ideal cosmic strings were preferred extremals, the most general realization for the hierarchy of Planck constants in terms of a book like structure of the imbedding space would not be possible [35]. Also massless extremals have 2-D  $CP_2$  projection and are excluded as preferred extremals. The interpretation is that the preferred extremals must be deformations of these extremals containing topologically condensed  $CP_2$  type vacuum extremals representing elementary particles and that these extremals provide only smoothed out representation of the actual physics. The general principle would be that matter is present only if light-like 3-surfaces at which the signature of the induced metric changes (light-like boundary components cannot be excluded but in this case gauge charges would vanish). That the interaction with a larger Minkowskian space-time sheet creates matter could be seen as a variant of Mach Principle.

### 9.3.3 Preferred extremal property as classical correlate for quantum criticality, holography, and quantum classical correspondence

The Noether currents assignable to the modified Dirac equation are conserved only if the first variation of the modified Dirac operator  $D_K$  defined by Kähler action vanishes. This is equivalent with the vanishing of the second variation of Kähler action -at least for the variations corresponding to dynamical symmetries having interpretation as dynamical degrees of freedom which are below measurement resolution and therefore effectively gauge symmetries.

The vanishing of the second variation in interior of  $X^4(X_l^3)$  is what corresponds exactly to quantum criticality so that the basic vision about quantum dynamics of quantum TGD would lead directly to a precise identification of the preferred extremals. Something which I should have noticed for more than decade ago! The question whether these extremals correspond to absolute minima remains however open.

The vanishing of second variations of preferred extremals -at least for deformations representing dynamical symmetries, suggests a generalization of catastrophe theory of Thom, where the rank of the matrix defined by the second derivatives of potential function defines a hierarchy of criticalities with the tip of bifurcation set of the catastrophe representing the complete vanishing of this matrix. In the recent case this theory would be generalized to infinite-dimensional context. There are three kind of variables now but quantum classical correspondence (holography) allows to reduce the types of variables to two.

1. The variations of  $X^4(X_l^3)$  vanishing at the intersections of  $X^4(X_l^3)$  with the light-like boundaries of causal diamonds  $CD$  would represent behavior variables. At least the vacuum extremals of Kähler action would represent extremals for which the second variation vanishes identically (the "tip" of the multi-furcation set).
2. The zero modes of Kähler function would define the control variables interpreted as classical degrees of freedom necessary in quantum measurement theory. By effective 2-dimensionality (or holography or quantum classical correspondence) meaning that the configuration space metric is determined by the data coming from partonic 2-surfaces  $X^2$  at intersections of  $X_l^3$  with

boundaries of  $CD$ , the interiors of 3-surfaces  $X^3$  at the boundaries of  $CD$ s in rough sense correspond to zero modes so that there is indeed huge number of them. Also the variables characterizing 2-surface, which cannot be complexified and thus cannot contribute to the Kähler metric of configuration space represent zero modes. Fixing the interior of the 3-surface would mean fixing of control variables. Extremum property would fix the 4-surface and behavior variables if boundary conditions are fixed to sufficient degree.

3. The complex variables characterizing  $X^2$  would represent third kind of variables identified as quantum fluctuating degrees of freedom contributing to the configuration space metric. Quantum classical correspondence requires 1-1 correspondence between zero modes and these variables. This would be essentially holography stating that the 2-D "causal boundary"  $X^2$  of  $X^3(X^2)$  codes for the interior. Preferred extremal property identified as criticality condition would realize the holography by fixing the values of zero modes once  $X^2$  is known and give rise to the holographic correspondence  $X^2 \rightarrow X^3(X^2)$ . The values of behavior variables determined by extremization would fix then the space-time surface  $X^4(X_l^3)$  as a preferred extremal.
4. Clearly, the presence of zero modes would be absolutely essential element of the picture. Quantum criticality, quantum classical correspondence, holography, and preferred extremal property would all represent more or less the same thing. One must of course be very cautious since the boundary conditions at  $X_l^3$  involve normal derivative and might bring in delicacies forcing to modify the simplest heuristic picture.

The basic question is whether number theoretic view about preferred extremals imply absolute minimum property or criticality of the extremals.

1. The number theoretic conditions defining preferred extremals are purely algebraic and make sense also p-adically and this is enough since p-adic variants of field equations make sense although the notion of Kähler action does not make sense as integral. Despite this the identification of the vacuum functional as exponent of Kähler function as Dirac determinant allows to define the exponent of Kähler function as a p-adic number [31].
2. The general objection against all extremization principles is that they do not make sense p-adically since p-adic numbers are not well-ordered.
3. These observations do not encourage the idea about equivalence of the two approaches. On the other hand, real and p-adic sectors are related by algebraic continuation and it could be quite enough if the equivalence were true in real context alone.

The finite-dimensional analogy allows to compare absolute minimization and criticality with each other.

1. Absolute minimization would select the branch of Thom's catastrophe surface with the smallest value of potential function for given values of control variables. In general this value would not correspond to criticality since absolute minimization says nothing about the values of control variables (zero modes).
2. Criticality forces the space-time surface to belong to the bifurcation set and thus fixes the values of control variables, that is the interior of 3-surface assignable to the partonic 2-surface, and realized holography. If the catastrophe has more than  $N = 3$  sheets, several preferred extremals are possible for given values of control variables fixing  $X^3(X^2)$  unless one assumes that absolute minimization or some other criterion is applied in the bifurcation set. In this sense absolute minimization might make sense in the real context and if the selection is between finite number of alternatives is in question, it should be possible carry out the selection in number theoretically universal manner.

## 9.4 General view about field equations

In this section field equations are deduced and discussed in general level. The fact that the divergence of the energy momentum tensor, Lorentz 4-force, does not vanish in general, in principle makes possible

the mimicry of even dissipation and of the second law. For asymptotic self organization patterns for which dissipation is absent the Lorentz 4-force must vanish. This condition is guaranteed if Kähler current is proportional to the instanton current in the case that  $CP_2$  projection of the space-time sheet is smaller than four and vanishes otherwise. An attractive identification for the vanishing of Lorentz 4-force is as a condition equivalent with the selection of preferred extremal of Kähler action. If preferred extremals correspond to absolute minima this principle would be essentially equivalent with the second law of thermodynamics.

### 9.4.1 Field equations

The requirement that Kähler action is stationary leads to the following field equations in the interior of the four-surface

$$\begin{aligned} D_\beta(T^{\alpha\beta}h_\alpha^k) - j^\alpha J_l^k \partial_\alpha h^l &= 0 , \\ T^{\alpha\beta} &= J^{\nu\alpha} J_\nu^\beta - \frac{1}{4} g^{\alpha\beta} J^{\mu\nu} J_{\mu\nu} . \end{aligned} \quad (9.4.1)$$

Here  $T^{\alpha\beta}$  denotes the traceless canonical energy momentum tensor associated with the Kähler action. An equivalent form for the first equation is

$$\begin{aligned} T^{\alpha\beta} H_{\alpha\beta}^k - j^\alpha (J_\alpha^\beta h_\beta^k + J_l^k \partial_\alpha h^l) &= 0 , \\ H_{\alpha\beta}^k &= D_\beta \partial_\alpha h^k . \end{aligned} \quad (9.4.2)$$

$H_{\alpha\beta}^k$  denotes the components of the second fundamental form and  $j^\alpha = D_\beta J^{\alpha\beta}$  is the gauge current associated with the Kähler field.

On the boundaries of  $X^4$  and at wormhole throats the field equations are given by the expression

$$\frac{\partial L_K}{\partial_n h^k} = T^{n\beta} \partial_\beta h^k - J^{n\alpha} (J_\alpha^\beta \partial_\beta h^k + J_l^k \partial_\alpha h^l) = 0 . \quad (9.4.3)$$

At wormhole throats problems are caused by the vanishing of metric determinant implying that contravariant metric is singular.

For  $M^4$  coordinates boundary conditions are satisfied if one assumes

$$T^{n\beta} = 0 \quad (9.4.4)$$

stating that there is no flow of four-momentum through the boundary component or wormhole throat. This means that there is no energy exchange between Euclidian and Minkowskian regions so that Euclidian regions provide representations for particles as autonomous units. This is in accordance with the general picture [18]. Note that momentum transfer with external world necessarily involves generalized Feynman diagrams also at classical level.

For  $CP_2$  coordinates the boundary conditions are more delicate. The construction of configuration space spinor structure [31] led to the conditions

$$g_{ni} = 0 , \quad J_{ni} = 0 . \quad (9.4.5)$$

$J^{ni} = 0$  does not and should not follow from this condition since contravariant metric is singular. It seems that limiting procedure is necessary in order to see what comes out.

The condition that Kähler electric charge defined as a gauge flux is non-vanishing would require that the quantity  $J^{nr} \sqrt{g}$  is finite (here  $r$  refers to the light-like coordinate of  $X_l^3$ ). Also  $g^{nr} \sqrt{g_4}$  which is analogous to gravitational flux if  $n$  is interpreted as time coordinate could be non-vanishing. These conditions are consistent with the above condition if one has

$$\begin{aligned}
J_{ni} = 0 \ , \quad g_{ni} = 0 \ , \quad J_{ir} = 0 \ , \quad g_{ir} = 0 \ , \\
J^{nk} = 0 \ k \neq r \ , \quad g^{nk} = 0 \ k \neq r \ , \quad J^{nr} \sqrt{g_4} \neq 0 \ , \quad g^{nr} \sqrt{g_4} \neq 0 \ .
\end{aligned}
\tag{9.4.6}$$

The interpretation of this conditions is rather transparent.

1. The first two conditions state that covariant form of the induced Kähler electric field is in direction normal to  $X_I^3$  and metric separate into direct sum of normal and tangential contributions. Fifth and sixth condition state the same in contravariant form for  $k \neq n$ .
2. Third and fourth condition state that the induced Kähler field at  $X_I^3$  is purely magnetic and that the metric of  $x_I^3$  reduces to a block diagonal form. The reduction to purely magnetic field is of obvious importance as far as the understanding of the generalized eigen modes of the modified Dirac operator is considered [31].
3. The last two conditions must be understood as a limit and  $\neq$  means only the possibility of non-vanishing Kähler gauge flux or analog of gravitational flux through  $X_I^3$ .
4. The vision inspired by number theoretical compactification allows to identify  $r$  and  $n$  in terms of the light-like coordinates assignable to an integrable distribution of planes  $M^2(x)$  assumed to be assignable to  $M^4$  projection of  $X^4(X_I^3)$ . Later it will be found that Hamilton-Jacobi structure assignable to the extremals indeed means the existence of this kind of distribution meaning slicing of  $X^4(X_I^3)$  both by string world sheets and dual partonic 2-surfaces as well as by light-like 3-surfaces  $Y_I^3$ .
5. The physical analogy for the situation is the surface of an ideal conductor. It would not be surprising that these conditions are satisfied by all induced gauge fields.

#### 9.4.2 Topologization and light-likeness of the Kähler current as alternative manners to guarantee vanishing of Lorentz 4-force

The general solution of 4-dimensional Einstein-Yang Mills equations in Euclidian 4-metric relies on self-duality of the gauge field, which topologizes gauge charge. This topologization can be achieved by a weaker condition, which can be regarded as a dynamical generalization of the Beltrami condition. An alternative manner to achieve vanishing of the Lorentz 4-force is light-likeness of the Kähler 4-current. This does not require topologization.

##### Topologization of the Kähler current for $D_{CP_2} = 3$ : covariant formulation

The condition states that Kähler 4-current is proportional to the instanton current whose divergence is instanton density and vanishes when the dimension of  $CP_2$  projection is smaller than four:  $D_{CP_2} < 4$ . For  $D_{CP_2} = 2$  the instanton 4-current vanishes identically and topologization is equivalent with the vanishing of the Kähler current.

If the simplest vision about light-like 3-surfaces as basic dynamical objects is accepted  $D_{CP_2} = 2$ , corresponds to a non-physical situation and only the deformations of these surfaces - most naturally resulting by gluing of  $CP_2$  type vacuum extremals on them - can represent preferred extremals of Kähler action. One can however speak about  $D_{CP_2} = 2$  phase if 4-surfaces are obtained are obtained in this manner.

$$j^\alpha \equiv D_\beta J^{\alpha\beta} = \psi \times j_I^\alpha = \psi \times \epsilon^{\alpha\beta\gamma\delta} J_{\beta\gamma} A_\delta \ . \tag{9.4.7}$$

Here the function  $\psi$  is an arbitrary function  $\psi(s^k)$  of  $CP_2$  coordinates  $s^k$  regarded as functions of space-time coordinates. It is essential that  $\psi$  depends on the space-time coordinates through the  $CP_2$  coordinates only. Hence the representation as an imbedded gauge field is crucial element of the solution ansatz.

The field equations state the vanishing of the divergence of the 4-current. This is trivially true for instanton current for  $D_{CP_2} < 4$ . Also the contraction of  $\nabla\psi$  (depending on space-time coordinates

through  $CP_2$  coordinates only) with the instanton current is proportional to the winding number density and therefore vanishes for  $D_{CP_2} < 4$ .

The topologization of the Kähler current guarantees the vanishing of the Lorentz 4-force. Indeed, using the self-duality condition for the current, the expression for the Lorentz 4-force reduces to a term proportional to the instanton density:

$$\begin{aligned} j^\alpha J_{\alpha\beta} &= \psi \times j_I^\alpha J_{\alpha\beta} \\ &= \psi \times \epsilon^{\alpha\mu\nu\delta} J_{\mu\nu} A_\delta J_{\alpha\beta} . \end{aligned} \tag{9.4.8}$$

Since all vector quantities appearing in the contraction with the four-dimensional permutation tensor are proportional to the gradients of  $CP_2$  coordinates, the expression is proportional to the instanton density, and thus winding number density, and vanishes for  $D_{CP_2} < 4$ .

Remarkably, the topologization of the Kähler current guarantees also the vanishing of the term  $j^\alpha J^{k_l} \partial_\alpha s^k$  in the field equations for  $CP_2$  coordinates. This means that field equations reduce in both  $M_+^4$  and  $CP_2$  degrees of freedom to

$$T^{\alpha\beta} H_{\alpha\beta}^k = 0 . \tag{9.4.9}$$

These equations differ from the equations of minimal surface only by the replacement of the metric tensor with energy momentum tensor. The earlier proposal that quaternion conformal invariance in a suitable sense might provide a general solution of the field equations could be seen as a generalization of the ordinary conformal invariance of string models. If the topologization of the Kähler current implying effective dimensional reduction in  $CP_2$  degrees of freedom is consistent with quaternion conformal invariance, the quaternion conformal structures must differ for the different dimensions of  $CP_2$  projection.

**Topologization of the Kähler current for  $D_{CP_2} = 3$ : non-covariant formulation**

In order to gain a concrete understanding about what is involved it is useful to repeat these arguments using the 3-dimensional notation. The components of the instanton 4-current read in three-dimensional notation as

$$\bar{j}_I = \bar{E} \times \bar{A} + \phi \bar{B} , \quad \rho_I = \bar{B} \cdot \bar{A} . \tag{9.4.10}$$

The self duality conditions for the current can be written explicitly using 3-dimensional notation and read

$$\begin{aligned} \nabla \times \bar{B} - \partial_t \bar{E} &= \bar{j} = \psi \bar{j}_I = \psi (\phi \bar{B} + \bar{E} \times \bar{A}) , \\ \nabla \cdot \bar{E} &= \rho = \psi \rho_I . \end{aligned} \tag{9.4.11}$$

For a vanishing electric field the self-duality condition for Kähler current reduces to the Beltrami condition

$$\nabla \times \bar{B} = \alpha \bar{B} , \quad \alpha = \psi \phi . \tag{9.4.12}$$

The vanishing of the divergence of the magnetic field implies that  $\alpha$  is constant along the field lines of the flow. When  $\phi$  is constant and  $\bar{A}$  is time independent, the condition reduces to the Beltrami condition with  $\alpha = \phi = constant$ , which allows an explicit solution [51].

One can check also the vanishing of the Lorentz 4-force by using 3-dimensional notation. Lorentz 3-force can be written as

$$\rho_I \bar{E} + \bar{j} \times \bar{B} = \psi \bar{B} \cdot \bar{A} \bar{E} + \psi (\bar{E} \times \bar{A} + \phi \bar{B}) \times \bar{B} = 0 . \tag{9.4.13}$$

The fourth component of the Lorentz force reads as

$$\bar{j} \cdot \bar{E} = \psi \bar{B} \cdot \bar{E} + \psi (\bar{E} \times \bar{A} + \phi \bar{B}) \cdot \bar{E} = 0 . \quad (9.4.14)$$

The remaining conditions come from the induction law of Faraday and could be guaranteed by expressing  $\bar{E}$  and  $\bar{B}$  in terms of scalar and vector potentials.

The density of the Kähler electric charge of the vacuum is proportional to the helicity density of the so called helicity charge  $\rho = \psi \rho_I = \psi \bar{B} \cdot \bar{A}$ . This charge is topological charge in the sense that it does not depend on the induced metric at all. Note the presence of arbitrary function  $\psi$  of  $CP_2$  coordinates.

Further conditions on the functions appearing in the solution ansatz come from the 3 independent field equations for  $CP_2$  coordinates. What is remarkable that the generalized self-duality condition for the Kähler current allows to understand the general features of the solution ansatz to very high degree without any detailed knowledge about the detailed solution. The question whether field equations allow solutions consistent with the self duality conditions of the current will be dealt later. The optimistic guess is that the field equations and topologization of the Kähler current relate to each other very intimately.

#### Vanishing or light likeness of the Kähler current guarantees vanishing of the Lorentz 4-force for $D_{CP_2} = 2$

For  $D_{CP_2} = 2$  one can always take two  $CP_2$  coordinates as space-time coordinates and from this it is clear that instanton current vanishes so that topologization gives a vanishing Kähler current. In particular, the Beltrami condition  $\nabla \times \bar{B} = \alpha \bar{B}$  is not consistent with the topologization of the instanton current for  $D_{CP_2} = 2$ .

$D_{CP_2} = 2$  case can be treated in a coordinate invariant manner by using the two coordinates of  $CP_2$  projection as space-time coordinates so that only a magnetic or electric field is present depending on whether the gauge current is time-like or space-like. Light-likeness of the gauge current provides a second manner to achieve the vanishing of the Lorentz force and is realized in case of massless extremals having  $D_{CP_2} = 2$ : this current is in the direction of propagation whereas magnetic and electric fields are orthogonal to it so that Beltrami conditions is certainly not satisfied.

#### Under what conditions topologization of Kähler current yields Beltrami conditions?

Topologization of the Kähler 4-current gives rise to magnetic Beltrami fields if either of the following conditions is satisfied.

1. The  $\bar{E} \times \bar{A}$  term contributing besides  $\phi \bar{B}$  term to the topological current vanishes. This requires that  $\bar{E}$  and  $\bar{A}$  are parallel to each other

$$\bar{E} = \nabla \Phi - \partial_t \bar{A} = \beta \bar{A} \quad (9.4.15)$$

This condition is analogous to the Beltrami condition. Now only the 3-space has as its coordinates time coordinate and two spatial coordinates and  $\bar{B}$  is replaced with  $\bar{A}$ . Since  $E$  and  $B$  are orthogonal, this condition implies  $\bar{B} \cdot \bar{A} = 0$  so that Kähler charge density is vanishing.

2. The vector  $\bar{E} \times \bar{A}$  is parallel to  $\bar{B}$ .

$$\bar{E} \times \bar{A} = \beta \bar{B} \quad (9.4.16)$$

The condition is consistent with the orthogonality of  $\bar{E}$  and  $\bar{B}$  but implies the orthogonality of  $\bar{A}$  and  $\bar{B}$  so that electric charge density vanishes

In both cases vector potential fails to define a contact structure since  $B \cdot A$  vanishes (contact structures are discussed briefly below), and there exists a global coordinate along the field lines of  $\bar{A}$  and the full contact structure is lost again. Note however that the Beltrami condition for magnetic field means that magnetic field defines a contact structure irrespective of whether  $\bar{B} \cdot \bar{A}$  vanishes or not. The transition from the general case to Beltrami field would thus involve the replacement

$$(\bar{A}, \bar{B}) \rightarrow_{\nabla \times} (\bar{B}, \bar{j})$$

induced by the rotor.

One must of course take these considerations somewhat cautiously since the inner product depends on the induced 4-metric and it might be that induced metric could allow small vacuum charge density and make possible genuine contact structure.

### Hydrodynamic analogy

The field equations of TGD are basically hydrodynamic equations stating the local conservation of the currents associated with the isometries of the imbedding space. Therefore it is intriguing that Beltrami fields appear also as solutions of ideal magnetohydrodynamics equations and as steady solutions of non-viscous incompressible flow described by Euler equations [53].

In hydrodynamics the role of the magnetic field is taken by the velocity field. TGD based models for nuclei [32] and condensed matter [28] involve in an essential manner valence quarks having large  $\hbar$  and exotic quarks giving nucleons anomalous color and weak charges creating long ranged color and weak forces. Weak forces have a range of order atomic radius and could be responsible for the repulsive core in van der Waals potential.

This raises the idea that the incompressible flow could occur along the field lines of the  $Z^0$  magnetic field so that the velocity field would be proportional to the  $Z^0$  magnetic field and the Beltrami condition for the velocity field would reduce to that for  $Z^0$  magnetic field. Thus the flow lines of hydrodynamic flow would directly correspond to those of  $Z^0$  magnetic field. The generalized Beltrami flow based on the topologization of the  $Z^0$  current would allow to model also the non-stationary incompressible non-viscous hydrodynamical flows.

It would seem that one cannot describe viscous flows using flows satisfying generalized Beltrami conditions since the vanishing of the Lorentz 4-force says that there is no local dissipation of the classical field energy. One might claim that this is not a problem since in TGD framework viscous flow could be seen as a practical description of a quantum jump sequence by replacing the corresponding sequence of space-time surfaces with a single space-time surface.

On the other hand, quantum classical correspondence requires that also dissipative effects have space-time correlates. Kähler fields, which are dissipative, and thus correspond to a non-vanishing Lorentz 4-force, represent one candidate for correlates of this kind. If this is the case, then the fields satisfying the generalized Beltrami condition provide space-time correlates only for the asymptotic self organization patterns for which the viscous effects are negligible, and also the solutions of field equations describing effects of viscosity should be possible.

One must however take this argument with a grain of salt. Dissipation, that is the transfer of conserved quantities to degrees of freedom corresponding to shorter scales, could correspond to a transfer of these quantities between different space-time sheets of the many-sheeted space-time. Here the opponent could however argue that larger space-time sheets mimic the dissipative dynamics in shorter scales and that classical currents represent "symbolically" averaged currents in shorter length scales, and that the local non-conservation of energy momentum tensor consistent with local conservation of isometry currents provides a unique manner to mimic the dissipative dynamics. This view will be developed in more detail below.

### The stability of generalized Beltrami fields

The stability of generalized Beltrami fields is of high interest since unstable points of space-time sheets are those around which macroscopic changes induced by quantum jumps are expected to be localized.

#### 1. Contact forms and contact structures

The stability of Beltrami flows has been studied using the theory of contact forms in three-dimensional Riemann manifolds [54]. Contact form is a one-form  $A$  (that is covariant vector field

$A_\alpha$ ) with the property  $A \wedge dA \neq 0$ . In the recent case the induced Kähler gauge potential  $A_\alpha$  and corresponding induced Kähler form  $J_{\alpha\beta}$  for any 3-sub-manifold of space-time surface define a contact form so that the vector field  $A^\alpha = g^{\alpha\beta} A_\beta$  is not orthogonal with the magnetic field  $B^\alpha = \epsilon^{\alpha\beta\gamma} J_{\beta\gamma}$ . This requires that magnetic field has a helical structure. Induced metric in turn defines the Riemann structure.

If the vector potential defines a contact form, the charge density associated with the topologized Kähler current must be non-vanishing. This can be seen as follows.

1. The requirement that the flow lines of a one-form  $X_\mu$  defined by the vector field  $X^\mu$  as its dual allows to define a global coordinate  $x$  varying along the flow lines implies that there is an integrating factor  $\phi$  such that  $\phi X = dx$  and therefore  $d(\phi X) = 0$ . This implies  $d\log(\phi) \wedge X = -dX$ . From this the necessary condition for the existence of the coordinate  $x$  is  $X \wedge dX = 0$ . In the three-dimensional case this gives  $\overline{X} \cdot (\nabla \times \overline{X}) = 0$ .
2. This condition is by definition not satisfied by the vector potential defining a contact form so that one cannot identify a global coordinate varying along the flow lines of the vector potential. The condition  $\overline{B} \cdot \overline{A} \neq 0$  states that the charge density for the topologized Kähler current is non-vanishing. The condition that the field lines of the magnetic field allow a global coordinate requires  $\overline{B} \cdot \nabla \times \overline{B} = 0$ . The condition is not satisfied by Beltrami fields with  $\alpha \neq 0$ . Note that in this case magnetic field defines a contact structure.

Contact structure requires the existence of a vector  $\xi$  satisfying the condition  $A(\xi) = 0$ . The vector field  $\xi$  defines a plane field, which is orthogonal to the vector field  $A^\alpha$ . Reeb field in turn is a vector field for which  $A(X) = 1$  and  $dA(X; \cdot) = 0$  hold true. The latter condition states the vanishing of the cross product  $X \times B$  so that  $X$  is parallel to the Kähler magnetic field  $B^\alpha$  and has unit projection in the direction of the vector field  $A^\alpha$ . Any Beltrami field defines a Reeb field irrespective of the Riemannian structure.

### 2. Stability of the Beltrami flow and contact structures

Contact structures are used in the study of the topology and stability of the hydrodynamical flows [54], and one might expect that the notion of contact structure and its proper generalization to the four-dimensional context could be useful in TGD framework also. An example giving some idea about the complexity of the flows defined by Beltrami fields is the Beltrami field in  $R^3$  possessing closed orbits with all possible knot and link types simultaneously [54]!

Beltrami flows associated with Euler equations are known to be unstable [54]. Since the flow is volume preserving, the stationary points of the Beltrami flow are saddle points at which also vorticity vanishes and linear instabilities of Navier-Stokes equations can develop. From the point of view of biology it is interesting that the flow is stabilized by vorticity which implies also helical structures. The stationary points of the Beltrami flow correspond in TGD framework to points at which the induced Kähler magnetic field vanishes. They can be unstable by the vacuum degeneracy of Kähler action implying classical non-determinism. For generalized Beltrami fields velocity and vorticity (both divergence free) are replaced by Kähler current and instanton current.

More generally, the points at which the Kähler 4-current vanishes are expected to represent potential instabilities. The instanton current is linear in Kähler field and can vanish in a gauge invariant manner only if the induced Kähler field vanishes so that the instability would be due to the vacuum degeneracy also now. Note that the vanishing of the Kähler current allows also the generation of region with  $D_{CP_2} = 4$ . The instability of the points at which induce Kähler field vanish is manifested in quantum jumps replacing the generalized Beltrami field with a new one such that something new is generated around unstable points. Thus the regions in which induced Kähler field becomes weak are the most interesting ones. For example, unwinding of DNA could be initiated by an instability of this kind.

### 9.4.3 How to satisfy field equations?

The topologization of the Kähler current guarantees also the vanishing of the term  $j^\alpha J^{k_l} \partial_\alpha s^k$  in the field equations for  $CP_2$  coordinates. This means that field equations reduce in both  $M_+^4$  and  $CP_2$  degrees of freedom to



$$T^{\alpha\beta}H_{\alpha\beta}^k = 0 . \tag{9.4.17}$$

These equations differ from the equations of minimal surface only by the replacement of the metric tensor with energy momentum tensor. The following approach utilizes the properties of Hamilton Jacobi structures of  $M_+^4$  introduced in the study of massless extremals and contact structures of  $CP_2$  emerging naturally in the case of generalized Beltrami fields.

**String model as a starting point**

String model serves as a starting point.

1. In the case of Minkowskian minimal surfaces representing string orbit the field equations reduce to purely algebraic conditions in light cone coordinates  $(u, v)$  since the induced metric has only the component  $g_{uv}$ , whereas the second fundamental form has only diagonal components  $H_{uu}^k$  and  $H_{vv}^k$ .
2. For Euclidian minimal surfaces  $(u, v)$  is replaced by complex coordinates  $(w, \bar{w})$  and field equations are satisfied because the metric has only the component  $g^{w\bar{w}}$  and second fundamental form has only components of type  $H_{ww}^k$  and  $H_{\bar{w}\bar{w}}^k$ . The mechanism should generalize to the recent case.

**The general form of energy momentum tensor as a guideline for the choice of coordinates**

Any 3-dimensional Riemann manifold allows always a orthogonal coordinate system for which the metric is diagonal. Any 4-dimensional Riemann manifold in turn allows a coordinate system for which 3-metric is diagonal and the only non-diagonal components of the metric are of form  $g^{ti}$ . This kind of coordinates might be natural also now. When  $\bar{E}$  and  $\bar{B}$  are orthogonal, energy momentum tensor has the form

$$T = \begin{pmatrix} \frac{E^2+B^2}{2} & 0 & 0 & EB \\ 0 & \frac{E^2+B^2}{2} & 0 & 0 \\ 0 & 0 & \frac{-E^2+B^2}{2} & 0 \\ EB & 0 & 0 & \frac{E^2-B^2}{2} \end{pmatrix} \tag{9.4.18}$$

in the tangent space basis defined by time direction and longitudinal direction  $\bar{E} \times \bar{B}$ , and transversal directions  $\bar{E}$  and  $\bar{B}$ . Note that  $T$  is traceless.

The optimistic guess would be that the directions defined by these vectors integrate to three orthogonal coordinates of  $X^4$  and together with time coordinate define a coordinate system containing only  $g^{ti}$  as non-diagonal components of the metric. This however requires that the fields in question allow an integrating factor and, as already found, this requires  $\nabla \times X \cdot X = 0$  and this is not the case in general.

Physical intuition suggests however that  $X^4$  coordinates allow a decomposition into longitudinal and transversal degrees freedom. This would mean the existence of a time coordinate  $t$  and longitudinal coordinate  $z$  the plane defined by time coordinate and vector  $\bar{E} \times \bar{B}$  such that the coordinates  $u = t - z$  and  $v = t + z$  are light like coordinates so that the induced metric would have only the component  $g^{uv}$  whereas  $g^{vv}$  and  $g^{uu}$  would vanish in these coordinates. In the transversal space-time directions complex space-time coordinate coordinate  $w$  could be introduced. Metric could have also non-diagonal components besides the components  $g^{w\bar{w}}$  and  $g^{uv}$ .

**Hamilton Jacobi structures in  $M_+^4$**

Hamilton Jacobi structure in  $M_+^4$  can understood as a generalized complex structure combing transversal complex structure and longitudinal hyper-complex structure so that notion of holomorphy and Kähler structure generalize.

1. Denote by  $m^i$  the linear Minkowski coordinates of  $M^4$ . Let  $(S^+, S^-, E^1, E^2)$  denote local coordinates of  $M_+^4$  defining a *local* decomposition of the tangent space  $M^4$  of  $M_+^4$  into a direct, not necessarily orthogonal, sum  $M^4 = M^2 \oplus E^2$  of spaces  $M^2$  and  $E^2$ . This decomposition has an interpretation in terms of the longitudinal and transversal degrees of freedom defined by local light-like four-velocities  $v_{\pm} = \nabla S_{\pm}$  and polarization vectors  $\epsilon_i = \nabla E^i$  assignable to light ray. Assume that  $E^2$  allows complex coordinates  $w = E^1 + iE^2$  and  $\bar{w} = E^1 - iE^2$ . The simplest decomposition of this kind corresponds to the decomposition  $(S^+ \equiv u = t + z, S^- \equiv v = t - z, w = x + iy, \bar{w} = x - iy)$ .
2. In accordance with this physical picture,  $S^+$  and  $S^-$  define light-like curves which are normals to light-like surfaces and thus satisfy the equation:

$$(\nabla S_{\pm})^2 = 0 \quad .$$

The gradients of  $S_{\pm}$  are obviously analogous to local light like velocity vectors  $v = (1, \bar{v})$  and  $\bar{v} = (1, -\bar{v})$ . These equations are also obtained in geometric optics from Hamilton Jacobi equation by replacing photon's four-velocity with the gradient  $\nabla S$ : this is consistent with the interpretation of massless extremals as Bohr orbits of em field.  $S_{\pm} = \text{constant}$  surfaces can be interpreted as expanding light fronts. The interpretation of  $S_{\pm}$  as Hamilton Jacobi functions justifies the term Hamilton Jacobi structure.

The simplest surfaces of this kind correspond to  $t = z$  and  $t = -z$  light fronts which are planes. They are dual to each other by hyper complex conjugation  $u = t - z \rightarrow v = t + z$ . One should somehow generalize this conjugation operation. The simplest candidate for the conjugation  $S^+ \rightarrow S^-$  is as a conjugation induced by the conjugation for the arguments:  $S^+(t - z, t + z, x, y) \rightarrow S^-(t - z, t + z, x, y) = S^+(t + z, t - z, x, -y)$  so that a dual pair is mapped to a dual pair. In transversal degrees of freedom complex conjugation would be involved.

3. The coordinates  $(S_{\pm}, w, \bar{w})$  define local light cone coordinates with the line element having the form

$$\begin{aligned} ds^2 &= g_{+-} dS^+ dS^- + g_{w\bar{w}} dw d\bar{w} \\ &+ g_{+w} dS^+ dw + g_{+\bar{w}} dS^+ d\bar{w} \\ &+ g_{-w} dS^- dw + g_{-\bar{w}} dS^- d\bar{w} \quad . \end{aligned} \quad (9.4.19)$$

Conformal transformations of  $M_+^4$  leave the general form of this decomposition invariant. Also the transformations which reduces to analytic transformations  $w \rightarrow f(w)$  in transversal degrees of freedom and hyper-analytic transformations  $S^+ \rightarrow f(S^+), S^- \rightarrow f(S^-)$  in longitudinal degrees of freedom preserve this structure.

4. The basic idea is that of generalized Kähler structure meaning that the notion of Kähler function generalizes so that the non-vanishing components of metric are expressible as

$$\begin{aligned} g_{w\bar{w}} &= \partial_w \partial_{\bar{w}} K \quad , \quad g_{+-} = \partial_{S^+} \partial_{S^-} K \quad , \\ g_{w\pm} &= \partial_w \partial_{S^{\pm}} K \quad , \quad g_{\bar{w}\pm} = \partial_{\bar{w}} \partial_{S^{\pm}} K \quad . \end{aligned} \quad (9.4.20)$$

for the components of the metric. The expression in terms of Kähler function is coordinate invariant for the same reason as in case of ordinary Kähler metric. In the standard lightcone coordinates the Kähler function is given by

$$K = w_0 \bar{w}_0 + uw \quad , \quad w_0 = x + iy \quad , \quad u = t - z \quad , \quad v = t + z \quad . \quad (9.4.21)$$

The Christoffel symbols satisfy the conditions

$$\left\{ \begin{matrix} k \\ w \bar{w} \end{matrix} \right\} = 0 \quad , \quad \left\{ \begin{matrix} k \\ + - \end{matrix} \right\} = 0 \quad . \quad (9.4.22)$$

If energy momentum tensor has only the components  $T^{w\bar{w}}$  and  $T^{+-}$ , field equations are satisfied in  $M_+^4$  degrees of freedom.

5. The Hamilton Jacobi structures related by these transformations can be regarded as being equivalent. Since light-like 3- surface is, as the dynamical evolution defined by the light front, fixed by the 2-surface serving as the light source, these structures should be in one-one correspondence with 2-dimensional surfaces with two surfaces regarded as equivalent if they correspond to different time=constant snapshots of the same light front, or are related by a conformal transformation of  $M_+^4$ . Obviously there should be quite large number of them. Note that the generating two-dimensional surfaces relate also naturally to quaternion conformal invariance and corresponding Kac Moody invariance for which deformations defined by the  $M^4$  coordinates as functions of the light-cone coordinates of the light front evolution define Kac Moody algebra, which thus seems to appear naturally also at the level of solutions of field equations.

The task is to find all possible local light cone coordinates defining one-parameter families 2-surfaces defined by the condition  $S_i = constant$ ,  $i = +$  or  $-$ , dual to each other and expanding with light velocity. The basic open questions are whether the generalized Kähler function indeed makes sense and whether the physical intuition about 2-surfaces as light sources parameterizing the set of all possible Hamilton Jacobi structures makes sense.

Hamilton Jacobi structure means the existence of foliations of the  $M^4$  projection of  $X^4$  by 2-D surfaces analogous to string word sheets labeled by  $w$  and the dual of this foliation defined by partonic 2-surfaces labeled by the values of  $S_i$ . Also the foliation by light-like 3-surfaces  $Y_l^3$  labeled by  $S_\pm$  with  $S_\mp$  serving as light-like coordinate for  $Y_l^3$  is implied. This is what number theoretic compactification and  $M^8 - H$  duality predict when space-time surface corresponds to hyper-quaternionic surface of  $M^8$  [18, 19].

### Contact structure and generalized Kähler structure of $CP_2$ projection

In the case of 3-dimensional  $CP_2$  projection it is assumed that one can introduce complex coordinates  $(\xi, \bar{\xi})$  and the third coordinate  $s$ . These coordinates would correspond to a contact structure in 3-dimensional  $CP_2$  projection defining transversal symplectic and Kähler structures. In these coordinates the transversal parts of the induced  $CP_2$  Kähler form and metric would contain only components of type  $g_{w\bar{w}}$  and  $J_{w\bar{w}}$ . The transversal Kähler field  $J_{w\bar{w}}$  would induce the Kähler magnetic field and the components  $J_{sw}$  and  $J_{s\bar{w}}$  the Kähler electric field.

It must be emphasized that the non-integrability of the contact structure implies that  $J$  cannot be parallel to the tangent planes of  $s = constant$  surfaces,  $s$  cannot be parallel to neither  $A$  nor the dual of  $J$ , and  $\xi$  cannot vary in the tangent plane defined by  $J$ . A further important conclusion is that for the solutions with 3-dimensional  $CP_2$  projection topologized Kähler charge density is necessarily non-vanishing by  $A \wedge J \neq 0$  whereas for the solutions with  $D_{CP_2} = 2$  topologized Kähler current vanishes.

Also the  $CP_2$  projection is assumed to possess a generalized Kähler structure in the sense that all components of the metric except  $s_{ss}$  are derivable from a Kähler function by formulas similar to  $M_+^4$  case.

$$s_{w\bar{w}} = \partial_w \partial_{\bar{w}} K \quad , \quad s_{ws} = \partial_w \partial_s K \quad , \quad s_{\bar{w}s} = \partial_{\bar{w}} \partial_s K \quad . \quad (9.4.23)$$

Generalized Kähler property guarantees that the vanishing of the Christoffel symbols of  $CP_2$  (rather than those of 3-dimensional projection), which are of type  $\left\{ \begin{matrix} k \\ \xi \bar{\xi} \end{matrix} \right\}$ .

$$\left\{ \begin{matrix} k \\ \xi \bar{\xi} \end{matrix} \right\} = 0 \quad . \quad (9.4.24)$$

Here the coordinates of  $CP_2$  have been chosen in such a manner that three of them correspond to the coordinates of the projection and fourth coordinate is constant at the projection. The upper index  $k$  refers also to the  $CP_2$  coordinate, which is constant for the  $CP_2$  projection. If energy momentum tensor has only components of type  $T^{+-}$  and  $T^{w\bar{w}}$ , field equations are satisfied even when if non-diagonal Christoffel symbols of  $CP_2$  are present. The challenge is to discover solution ansatz, which guarantees this property of the energy momentum tensor.

A stronger variant of Kähler property would be that also  $s_{ss}$  vanishes so that the coordinate lines defined by  $s$  would define light like curves in  $CP_2$ . The topologization of the Kähler current however implies that  $CP_2$  projection is a projection of a 3-surface with strong Kähler property. Using  $(s, \xi, \bar{\xi}, S^-)$  as coordinates for the space-time surface defined by the ansatz ( $w = w(\xi, s)$ ,  $S^+ = S^+(s)$ ) one finds that  $g_{ss}$  must be vanishing so that stronger variant of the Kähler property holds true for  $S^- = \text{constant}$  3-surfaces.

The topologization condition for the Kähler current can be solved completely generally in terms of the induced metric using  $(\xi, \bar{\xi}, s)$  and some coordinate of  $M_+^4$ , call it  $x^4$ , as space-time coordinates. Topologization boils down to the conditions

$$\begin{aligned} \partial_{\beta}(J^{\alpha\beta}\sqrt{g}) &= 0 \text{ for } \alpha \in \{\xi, \bar{\xi}, s\} , \\ g^{4i} &\neq 0 . \end{aligned} \quad (9.4.25)$$

Thus 3-dimensional empty space Maxwell equations and the non-orthogonality of  $X^4$  coordinate lines and the 3-surfaces defined by the lift of the  $CP_2$  projection.

#### A solution ansatz yielding light-like current in $D_{CP_2} = 3$ case

The basic idea is that of generalized Kähler structure and solutions of field equations as maps or deformations of canonically imbedded  $M_+^4$  respecting this structure and guaranteeing that the only non-vanishing components of the energy momentum tensor are  $T^{\xi\xi}$  and  $T^{s-}$  in the coordinates  $(\xi, \bar{\xi}, s, S^-)$ .

1. The coordinates  $(w, S^+)$  are assumed to holomorphic functions of the  $CP_2$  coordinates  $(s, \xi)$

$$S^+ = S^+(s) , \quad w = w(\xi, s) . \quad (9.4.26)$$

Obviously  $S^+$  could be replaced with  $S^-$ . The ansatz is completely symmetric with respect to the exchange of the roles of  $(s, w)$  and  $(S^+, \xi)$  since it maps longitudinal degrees of freedom to longitudinal ones and transverse degrees of freedom to transverse ones.

2. Field equations are satisfied if the only non-vanishing components of the energy momentum tensor are of type  $T^{\xi\bar{\xi}}$  and  $T^{s-}$ . The reason is that the  $CP_2$  Christoffel symbols for projection and projections of  $M_+^4$  Christoffel symbols are vanishing for these lower index pairs.
3. By a straightforward calculation one can verify that the only manner to achieve the required structure of energy momentum tensor is to assume that the induced metric in the coordinates  $(\xi, \bar{\xi}, s, S^-)$  has as non-vanishing components only  $g_{\xi\bar{\xi}}$  and  $g_{s-}$

$$g_{ss} = 0 , \quad g_{\xi s} = 0 , \quad g_{\bar{\xi} s} = 0 . \quad (9.4.27)$$

Obviously the space-time surface must factorize into an orthogonal product of longitudinal and transversal spaces.

4. The condition guaranteeing the product structure of the metric is

$$\begin{aligned} s_{ss} &= m_{+w}\partial_s w(\xi, s)\partial_s S^+(s) + m_{+\bar{w}}\partial_s \bar{w}(\xi, s)\partial_s S^+(s) , \\ s_{s\xi} &= m_{+w}\partial_{\xi} w(\xi)\partial_s S^+(s) , \\ s_{s\bar{\xi}} &= m_{+w}\partial_{\bar{\xi}} w(\bar{\xi})\partial_s S^+(s) . \end{aligned} \quad (9.4.28)$$

Thus the function of dynamics is to diagonalize the metric and provide it with strong Kähler property. Obviously the  $CP_2$  projection corresponds to a light-like surface for all values of  $S^-$  so that space-time surface is foliated by light-like surfaces and the notion of generalized conformal invariance makes sense for the entire space-time surface rather than only for its boundary or elementary particle horizons.

5. The requirement that the Kähler current is proportional to the instanton current means that only the  $j^-$  component of the current is non-vanishing. This gives the following conditions

$$\begin{aligned} j^\xi \sqrt{g} &= \partial_\beta (J^{\xi\beta} \sqrt{g}) = 0 \quad , \quad j^{\bar{\xi}} \sqrt{g} = \partial_\beta (J^{\bar{\xi}\beta} \sqrt{g}) = 0 \quad , \\ j^+ \sqrt{g} &= \partial_\beta (J^{+\beta} \sqrt{g}) = 0 \quad . \end{aligned} \tag{9.4.29}$$

Since  $J^{+\beta}$  vanishes, the condition

$$\sqrt{g} j^+ = \partial_\beta (J^{+\beta} \sqrt{g}) = 0 \tag{9.4.30}$$

is identically satisfied. Therefore the number of field equations reduces to three.

The physical interpretation of the solution ansatz deserves some comments.

1. The light-like character of the Kähler current brings in mind  $CP_2$  extremals for which  $CP_2$  projection is light like. This suggests that the topological condensation of  $CP_2$  type extremal occurs on  $D_{CP_2} = 3$  helical space-time sheet representing zitterbewegung. In the case of many-body system light-likeness of the current does not require that particles are massless if particles of opposite charges can be present. Field tensor has the form  $(J^{\xi\bar{\xi}}, J^{\xi-}, J^{\bar{\xi}-})$ . Both helical magnetic field and electric field present as is clear when one replaces the coordinates  $(S^+, S^-)$  with time-like and space-like coordinate. Magnetic field dominates but the presence of electric field means that genuine Beltrami field is not in question.
2. Since the induced metric is product metric, 3-surface is metrically product of 2-dimensional surface  $X^2$  and line or circle and obeys product topology. If absolute minima correspond to asymptotic self-organization patterns, the appearance of the product topology and even metric is not so surprising. Thus the solutions can be classified by the genus of  $X^2$ . An interesting question is how closely the explanation of family replication phenomenon in terms of the topology of the boundary component of elementary particle like 3-surface relates to this. The heaviness and instability of particles which correspond to genera  $g > 2$  (sphere with more than two handles) might have simple explanation as absence of (stable)  $D_{CP_2} = 3$  solutions of field equations with genus  $g > 2$ .
3. The solution ansatz need not be the most general. Kähler current is light-like and already this is enough to reduce the field equations to the form involving only energy momentum tensor. One might hope of finding also solution ansätze for which Kähler current is time-like or space-like. Space-likeness of the Kähler current might be achieved if the complex coordinates  $(\xi, \bar{\xi})$  and hyper-complex coordinates  $(S^+, S^-)$  change the role. For this solution ansatz electric field would dominate. Note that the possibility that Kähler current is always light-like cannot be excluded.
4. Suppose that  $CP_2$  projection quite generally defines a foliation of the space-time surface by light-like 3-surfaces, as is suggested by the conformal invariance. If the induced metric has Minkowskian signature, the fourth coordinate  $x^4$  and thus also Kähler current must be time-like or light-like so that magnetic field dominates. Already the requirement that the metric is non-degenerate implies  $g_{s4} \neq 0$  so that the metric for the  $\xi = \text{constant}$  2-surfaces has a Minkowskian signature. Thus space-like Kähler current does not allow the lift of the  $CP_2$  projection to be light-like.

### Are solutions with time-like or space-like Kähler current possible in $D_{CP_2} = 3$ case?

As noticed in the section about number theoretical compactification, the flow of gauge currents along slices  $Y_l^3$  of  $X^4(X_l^3)$  "parallel" to  $X_l^3$  requires only that gauge currents are parallel to  $Y_l^3$  and can thus be space-like. The following ansatz gives good hopes for obtaining solutions with space-like and perhaps also time-like Kähler currents.

1. Assign to light-like coordinates coordinates  $(T, Z)$  by the formula  $T = S^+ + S^-$  and  $Z = S^+ - S^-$ . Space-time coordinates are taken to be  $(\xi, \bar{\xi}, s)$  and coordinate  $Z$ . The solution ansatz with time-like Kähler current results when the roles of  $T$  and  $Z$  are changed. It will however be found that the same solution ansatz can give rise to both space-like and time-like Kähler current.
2. The solution ansatz giving rise to a space-like Kähler current is defined by the equations

$$T = T(Z, s) \quad , \quad w = w(\xi, s) \quad . \quad (9.4.31)$$

If  $T$  depends strongly on  $Z$ , the  $g_{ZZ}$  component of the induced metric becomes positive and the Kähler current time-like.

3. The components of the induced metric are

$$\begin{aligned} g_{ZZ} &= m_{ZZ} + m_{TT} \partial_Z T \partial_s T \quad , \quad g_{Zs} = m_{TT} \partial_Z T \partial_s T \quad , \\ g_{ss} &= s_{ss} + m_{TT} \partial_s T \partial_s T \quad , \quad g_{w\bar{w}} = s_{w\bar{w}} + m_{w\bar{w}} \partial_\xi w \partial_{\bar{\xi}} \bar{w} \quad , \\ g_{s\xi} &= s_{s\xi} \quad , \quad g_{s\bar{\xi}} = s_{s\bar{\xi}} \quad . \end{aligned} \quad (9.4.32)$$

Topologized Kähler current has only  $Z$ -component and 3-dimensional empty space Maxwell's equations guarantee the topologization.

In  $CP_2$  degrees of freedom the contractions of the energy momentum tensor with Christoffel symbols vanish if  $T^{ss}$ ,  $T^{\xi s}$  and  $T^{\xi \xi}$  vanish as required by internal consistency. This is guaranteed if the condition

$$J^{\xi s} = 0 \quad (9.4.33)$$

holds true. Note however that  $J^{\xi Z}$  is non-vanishing. Therefore only the components  $T^{\xi \bar{\xi}}$  and  $T^{Z \xi}$ ,  $T^{Z \bar{\xi}}$  of energy momentum tensor are non-vanishing, and field equations reduce to the conditions

$$\begin{aligned} \partial_{\bar{\xi}}(J^{\xi \bar{\xi}} \sqrt{g}) + \partial_Z(J^{\xi Z} \sqrt{g}) &= 0 \quad , \\ \partial_\xi(J^{\bar{\xi} \xi} \sqrt{g}) + \partial_Z(J^{\bar{\xi} Z} \sqrt{g}) &= 0 \quad . \end{aligned} \quad (9.4.34)$$

In the special case that the induced metric does not depend on  $z$ -coordinate equations reduce to holomorphicity conditions. This is achieved if  $T$  depends linearly on  $Z$ :  $T = aZ$ .

The contractions with  $M_{\pm}^4$  Christoffel symbols come from the non-vanishing of  $T^{Z \xi}$  and vanish if the Hamilton Jacobi structure satisfies the conditions

$$\begin{aligned} \{T^k_w\} &= 0 \quad , \quad \{T^k_{\bar{w}}\} = 0 \quad , \\ \{Z^k_w\} &= 0 \quad , \quad \{Z^k_{\bar{w}}\} = 0 \end{aligned} \quad (9.4.35)$$

hold true. The conditions are equivalent with the conditions

$$\{ \pm w \}^k = 0 \quad , \quad \{ \pm \bar{w} \}^k = 0 \quad . \tag{9.4.36}$$

These conditions possess solutions (standard light cone coordinates are the simplest example). Also the second derivatives of  $T(s, Z)$  contribute to the second fundamental form but they do not give rise to non-vanishing contractions with the energy momentum tensor. The cautious conclusion is that also solutions with time-like or space-like Kähler current are possible.

$D_{CP_2} = 4$  case

The preceding discussion was for  $D_{CP_2} = 3$  and one should generalize the discussion to  $D_{CP_2} = 4$  case.

1. Hamilton Jacobi structure for  $M_+^4$  is expected to be crucial also now.
2. One might hope that for  $D_{CP_2} = 4$  the Kähler structure of  $CP_2$  defines a foliation of  $CP_2$  by 3-dimensional contact structures. This requires that there is a coordinate varying along the field lines of the normal vector field  $X$  defined as the dual of the three-form  $A \wedge dA = A \wedge J$ . By the previous considerations the condition for this reads as  $dX = d(\log \phi) \wedge X$  and implies  $X \wedge dX = 0$ . Using the self duality of the Kähler form one can express  $X$  as  $X^k = J^{kl} A_l$ . By a brief calculation one finds that  $X \wedge dX \propto X$  holds true so that (somewhat disappointingly) a foliation of  $CP_2$  by contact structures does not exist.

For  $D_{CP_2} = 4$  case Kähler current vanishes and this case corresponds to what I have called earlier Maxwellian phase since empty space Maxwell's equations are indeed satisfied.

1. *Solution ansatz with a 3-dimensional  $M_+^4$  projection*

The basic idea is that the complex structure of  $CP_2$  is preserved so that one can use complex coordinates  $(\xi^1, \xi^2)$  for  $CP_2$  in which  $CP_2$  Christoffel symbols and energy momentum tensor have automatically the desired properties. This is achieved the second light like coordinate, say  $v$ , is non-dynamical so that the induced metric does not receive any contribution from the longitudinal degrees of freedom. In this case one has

$$S^+ = S^+(\xi^1, \xi^2) \quad , \quad w = w(\xi^1, \xi^2) \quad , \quad S^- = constant \quad . \tag{9.4.37}$$

The induced metric does possess only components of type  $g_{i\bar{j}}$  if the conditions

$$g_{+w} = 0 \quad , \quad g_{+\bar{w}} = 0 \quad . \tag{9.4.38}$$

This guarantees that energy momentum tensor has only components of type  $T^{i\bar{j}}$  in coordinates  $(\xi^1, \xi^2)$  and their contractions with the Christoffel symbols of  $CP_2$  vanish identically. In  $M_+^4$  degrees of freedom one must pose the conditions

$$\{ w_+ \}^k = 0 \quad , \quad \{ \bar{w}_+ \}^k = 0 \quad , \quad \{ +_+ \}^k = 0 \quad . \tag{9.4.39}$$

on Christoffel symbols. These conditions are satisfied if the the  $M_+^4$  metric does not depend on  $S^+$ :

$$\partial_+ m_{kl} = 0 \quad . \tag{9.4.40}$$

This means that  $m_{-w}$  and  $m_{-\bar{w}}$  can be non-vanishing but like  $m_{+-}$  they cannot depend on  $S^+$ .

The second derivatives of  $S^+$  appearing in the second fundamental form are also a source of trouble unless they vanish. Hence  $S^+$  must be a linear function of the coordinates  $\xi^k$ :

$$S^+ = a_k \xi^k + \bar{a}_k \bar{\xi}^k \quad . \tag{9.4.41}$$

Field equations are the counterparts of empty space Maxwell equations  $j^\alpha = 0$  but with  $M_+^4$  coordinates  $(u, w)$  appearing as dynamical variables and entering only through the induced metric. By holomorphy the field equations can be written as

$$\partial_j(J^{\bar{j}}\sqrt{g}) = 0 \quad , \quad \partial_{\bar{j}}(J^{\bar{j}i}\sqrt{g}) = 0 \quad , \quad (9.4.42)$$

and can be interpreted as conditions stating the holomorphy of the contravariant Kähler form.

What is remarkable is that the  $M_+^4$  projection of the solution is 3-dimensional light like surface and that the induced metric has Euclidian signature. Light front would become a concrete geometric object with one compactified dimension rather than being a mere conceptualization. One could see this as topological quantization for the notion of light front or of electromagnetic shock wave, or perhaps even as the realization of the particle aspect of gauge fields at classical level.

If the latter interpretation is correct, quantum classical correspondence would be realized very concretely. Wave and particle aspects would both be present. One could understand the interactions of charged particles with electromagnetic fields both in terms of absorption and emission of topological field quanta and in terms of the interaction with a classical field as particle topologically condenses at the photonic light front.

For  $CP_2$  type extremals for which  $M_+^4$  projection is a light like curve correspond to a special case of this solution ansatz: transversal  $M_+^4$  coordinates are constant and  $S^+$  is now arbitrary function of  $CP_2$  coordinates. This is possible since  $M_+^4$  projection is 1-dimensional.

### 2. Are solutions with a 4-dimensional $M_+^4$ projection possible?

The most natural solution ansatz is the one for which  $CP_2$  complex structure is preserved so that energy momentum tensor has desired properties. For four-dimensional  $M_+^4$  projection this ansatz does not seem to make promising since the contribution of the longitudinal degrees of freedom implies that the induced metric is not anymore of desired form since the components  $g_{ij} = m_{+-}(\partial_{\xi^i}S^+\partial_{\xi^j}S^- + m_{+-}\partial_{\xi^i}S^-\partial_{\xi^j}S^+)$  are non-vanishing.

1. The natural dynamical variables are still Minkowski coordinates  $(w, \bar{w}, S^+, S^-)$  for some Hamilton Jacobi structure. Since the complex structure of  $CP_2$  must be given up,  $CP_2$  coordinates can be written as  $(\xi, s, r)$  to stress the fact that only "one half" of the Kähler structure of  $CP_2$  is respected by the solution ansatz.
2. The solution ansatz has the same general form as in  $D_{CP_2} = 3$  case and must be symmetric with respect to the exchange of  $M_+^4$  and  $CP_2$  coordinates. Transverse coordinates are mapped to transverse ones and longitudinal coordinates to longitudinal ones:

$$(S^+, S^-) = (S^+(s, r), S^-(s, r)) \quad , \quad w = w(\xi) \quad . \quad (9.4.43)$$

This ansatz would describe ordinary Maxwell field in  $M_+^4$  since the roles of  $M_+^4$  coordinates and  $CP_2$  coordinates are interchangeable.

It is however far from obvious whether there are any solutions with a 4-dimensional  $M_+^4$  projection. That empty space Maxwell's equations would allow only the topologically quantized light fronts as its solutions would realize quantum classical correspondence very concretely.

### $D_{CP_2} = 2$ case

Hamilton Jacobi structure for  $M_+^4$  is assumed also for  $D_{CP_2} = 2$ , whereas the contact structure for  $CP_2$  is in  $D_{CP_2} = 2$  case replaced by the induced Kähler structure. Topologization yields vanishing Kähler current. Light-likeness provides a second manner to achieve vanishing Lorentz force but one cannot exclude the possibility of time- and space-like Kähler current.

#### 1. Solutions with vanishing Kähler current



1. String like objects, which are products  $X^2 \times Y^2 \subset M_+^4 \times CP_2$  of minimal surfaces  $Y^2$  of  $M_+^4$  with geodesic spheres  $S^2$  of  $CP_2$  and carry vanishing gauge current. String like objects allow considerable generalization from simple Cartesian products of  $X^2 \times Y^2 \subset M^4 \times S^2$ . Let  $(w, \bar{w}, S^+, S^-)$  define the Hamilton Jacobi structure for  $M_+^4$ .  $w = \text{constant}$  surfaces define minimal surfaces  $X^2$  of  $M_+^4$ . Let  $\xi$  denote complex coordinate for a sub-manifold of  $CP_2$  such that the imbedding to  $CP_2$  is holomorphic:  $(\xi^1, \xi^2) = (f^1(\xi), f^2(\xi))$ . The resulting surface  $Y^2 \subset CP_2$  is a minimal surface and field equations reduce to the requirement that the Kähler current vanishes:  $\partial_{\bar{\xi}}(J^{\xi\bar{\xi}}\sqrt{g_2}) = 0$ . One-dimensional strings are deformed to 3-dimensional cylinders representing magnetic flux tubes. The oscillations of string correspond to waves moving along string with light velocity, and for more general solutions they become TGD counterparts of Alfven waves associated with magnetic flux tubes regarded as oscillations of magnetic flux lines behaving effectively like strings. It must be emphasized that Alfven waves are a phenomenological notion not really justified by the properties of Maxwell's equations.
2. Also electret type solutions with the role of the magnetic field taken by the electric field are possible.  $(\xi, \bar{\xi}, u, v)$  would provide the natural coordinates and the solution ansatz would be of the form

$$(s, r) = (s(u, v), r(u, v)) \quad , \quad \xi = \text{constant} \quad , \quad (9.4.44)$$

and corresponds to a vanishing Kähler current.

3. Both magnetic and electric fields are necessarily present only for the solutions carrying non-vanishing electric charge density (proportional to  $\bar{B} \cdot \bar{A}$ ). Thus one can ask whether more general solutions carrying both magnetic and electric field are possible. As a matter fact, one must first answer the question what one really means with the magnetic field. By choosing the coordinates of 2-dimensional  $CP_2$  projection as space-time coordinates one can define what one means with magnetic and electric field in a coordinate invariant manner. Since the  $CP_2$  Kähler form for the  $CP_2$  projection with  $D_{CP_2} = 2$  can be regarded as a pure Kähler magnetic field, the induced Kähler field is either magnetic field or electric field.

The form of the ansatz would be

$$(s, r) = (s, r)(u, v, w, \bar{w}) \quad , \quad \xi = \text{constant} \quad . \quad (9.4.45)$$

As a matter fact,  $CP_2$  coordinates depend on two properly chosen  $M^4$  coordinates only.

#### 1. Solutions with light-like Kähler current

There are large classes of solutions of field equations with a light-like Kähler current and 2-dimensional  $CP_2$  projection.

1. Massless extremals for which  $CP_2$  coordinates are arbitrary functions of one transversal coordinate  $e = f(w, \bar{w})$  defining local polarization direction and light like coordinate  $u$  of  $M_+^4$  and carrying in the general case a light like current. In this case the holomorphy does not play any role.
2. The string like solutions thickened to magnetic flux tubes carrying TGD counterparts of Alfven waves generalize to solutions allowing also light-like Kähler current. Also now Kähler metric is allowed to develop a component between longitudinal and transversal degrees of freedom so that Kähler current develops a light-like component. The ansatz is of the form

$$\xi^i = f^i(\xi) \quad , \quad w = w(\xi) \quad , \quad S^- = s^- \quad , \quad S^+ = s^+ + f(\xi, \bar{\xi}) \quad .$$

Only the components  $g_{+\xi}$  and  $g_{+\bar{\xi}}$  of the induced metric receive contributions from the modification of the solution ansatz. The contravariant metric receives contributions to  $g^{-\xi}$  and  $g^{-\bar{\xi}}$

whereas  $g^{+\xi}$  and  $g^{+\bar{\xi}}$  remain zero. Since the partial derivatives  $\partial_{\xi}\partial_+h^k$  and  $\partial_{\bar{\xi}}\partial_+h^k$  and corresponding projections of Christoffel symbols vanish, field equations are satisfied. Kähler current develops a non-vanishing component  $j^-$ . Apart from the presence of the electric field, these solutions are highly analogous to Beltrami fields.

### Could $D_{CP_2} = 2 \rightarrow 3$ transition occur in rotating magnetic systems?

I have studied the imbeddings of simple cylindrical and helical magnetic fields in various applications of TGD to condensed matter systems, in particular in attempts to understand the strange findings about rotating magnetic systems [27].

Let  $S^2$  be the homologically non-trivial geodesic sphere of  $CP_2$  with standard spherical coordinates ( $U \equiv \cos(\theta), \Phi$ ) and let  $(t, \rho, \phi, z)$  denote cylindrical coordinates for a cylindrical space-time sheet. The simplest possible space-time surfaces  $X^4 \subset M_+^4 \times S^2$  carrying helical Kähler magnetic field depending on the radial cylindrical coordinate  $\rho$ , are given by:

$$\begin{aligned} U &= U(\rho) \quad , \quad \Phi = n\phi + kz \quad , \\ J_{\rho\phi} &= n\partial_{\rho}U \quad , \quad J_{\rho z} = k\partial_{\rho}U \quad . \end{aligned} \tag{9.4.46}$$

This helical field is not Beltrami field as one can easily find. A more general ansatz corresponding defined by

$$\Phi = \omega t + kz + n\phi$$

would in cylindrical coordinates give rise to both helical magnetic field and radial electric field depending on  $\rho$  only. This field can be obtained by simply replacing the vector potential with its rotated version and provides the natural first approximation for the fields associated with rotating magnetic systems.

A non-vanishing vacuum charge density is however generated when a constant magnetic field is put into rotation and is implied by the condition  $\bar{E} = \bar{v} \times \bar{B}$  stating vanishing of the Lorentz force. This condition does not follow from the induction law of Faraday although Faraday observed this effect first. This is also clear from the fact that the sign of the charge density depends on the direction of rotation.

The non-vanishing charge density is not consistent with the vanishing of the Kähler 4-current and requires a 3-dimensional  $CP_2$  projection and topologization of the Kähler current. Beltrami condition cannot hold true exactly for the rotating system. The conclusion is that rotation induces a phase transition  $D_{CP_2} = 2 \rightarrow 3$ . This could help to understand various strange effects related to the rotating magnetic systems [27]. For instance, the increase of the dimension of  $CP_2$  projection could generate join along boundaries contacts and wormhole contacts leading to the transfer of charge between different space-time sheets. The possibly resulting flow of gravitational flux to larger space-time sheets might help to explain the claimed antigravity effects.

#### 9.4.4 $D_{CP_2} = 3$ phase allows infinite number of topological charges characterizing the linking of magnetic field lines

When space-time sheet possesses a  $D = 3$ -dimensional  $CP_2$  projection, one can assign to it a non-vanishing and conserved topological charge characterizing the linking of the magnetic field lines defined by Chern-Simons action density  $A \wedge dA/4\pi$  for induced Kähler form. This charge can be seen as classical topological invariant of the linked structure formed by magnetic field lines.

The topological charge can also vanish for  $D_{CP_2} = 3$  space-time sheets. In Darboux coordinates for which Kähler gauge potential reads as  $A = P_k dQ^k$ , the surfaces of this kind result if one has  $Q^2 = f(Q^1)$  implying  $A = fdQ^1$ ,  $f = P_1 + P_2\partial_{Q^1}Q^2$ , which implies the condition  $A \wedge dA = 0$ . For these space-time sheets one can introduce  $Q^1$  as a global coordinate along field lines of  $A$  and define the phase factor  $\exp(i \int A_{\mu} dx^{\mu})$  as a wave function defined for the entire space-time sheet. This function could be interpreted as a phase of an order parameter of super-conductor like state and there is a high temptation to assume that quantum coherence in this sense is lost for more general  $D_{CP_2} = 3$  solutions.

Chern-Simons action is known as helicity in electrodynamics [52]. Helicity indeed describes the linking of magnetic flux lines as is easy to see by interpreting magnetic field as incompressible fluid flow having  $A$  as vector potential:  $B = \nabla \times A$ . One can write  $A$  using the inverse of  $\nabla \times$  as  $A = (1/\nabla \times)B$ . The inverse is non-local operator expressible as

$$\frac{1}{\nabla \times} B(r) = \int dV' \frac{(r - r')}{|r - r'|^3} \times B(r') ,$$

as a little calculation shows. This allows to write  $\int A \cdot B$  as

$$\int dV A \cdot B = \int dV dV' B(r) \cdot \left( \frac{(r - r')}{|r - r'|^3} \times B(r') \right) ,$$

which is completely analogous to the Gauss formula for linking number when linked curves are replaced by a distribution of linked curves and an average is taken.

For  $D_{CP_2} = 3$  field equations imply that Kähler current is proportional to the helicity current by a factor which depends on  $CP_2$  coordinates, which implies that the current is automatically divergence free and defines a conserved charge for  $D = 3$ -dimensional  $CP_2$  projection for which the instanton density vanishes identically. Kähler charge is not equal to the helicity defined by the inner product of magnetic field and vector potential but to a more general topological charge.

The number of conserved topological charges is infinite since the product of any function of  $CP_2$  coordinates with the helicity current has vanishing divergence and defines a topological charge. A very natural function basis is provided by the scalar spherical harmonics of  $SU(3)$  defining Hamiltonians of  $CP_2$  canonical transformations and possessing well defined color quantum numbers. These functions define an infinite number of conserved charges which are also classical knot invariants in the sense that they are not affected at all when the 3-surface interpreted as a map from  $CP_2$  projection to  $M_+^4$  is deformed in  $M_+^4$  degrees of freedom. Also canonical transformations induced by Hamiltonians in irreducible representations of color group affect these invariants via Poisson bracket action when the  $U(1)$  gauge transformation induced by the canonical transformation corresponds to a single valued scalar function. These link invariants are additive in union whereas the quantum invariants defined by topological quantum field theories are multiplicative.

Also non-Abelian topological charges are well-defined. One can generalize the topological current associated with the Kähler form to a corresponding current associated with the induced electro-weak gauge fields whereas for classical color gauge fields the Chern-Simons form vanishes identically. Also in this case one can multiply the current by  $CP_2$  color harmonics to obtain an infinite number of invariants in  $D_{CP_2} = 3$  case. The only difference is that  $A \wedge dA$  is replaced by  $Tr(A \wedge (dA + 2A \wedge A/3))$ .

There is a strong temptation to assume that these conserved charges characterize colored quantum states of the conformally invariant quantum theory as a functional of the light-like 3-surface defining boundary of space-time sheet or elementary particle horizon surrounding wormhole contacts. They would be TGD analogs of the states of the topological quantum field theory defined by Chern-Simons action as highest weight states associated with corresponding Wess-Zumino-Witten theory. These charges could be interpreted as topological counterparts of the isometry charges of configuration space of 3-surfaces defined by the algebra of canonical transformations of  $CP_2$ .

The interpretation of these charges as contributions of light-like boundaries to configuration space Hamiltonians would be natural. The dynamics of the induced second quantized spinor fields relates to that of Kähler action by a super-symmetry, so that it should define super-symmetric counterparts of these knot invariants. The anti-commutators of these super charges cannot however contribute to configuration space Kähler metric so that topological zero modes are in question. These Hamiltonians and their super-charge counterparts would be responsible for the topological sector of quantum TGD.

#### 9.4.5 Preferred extremal property and the topologization/light-likeness of Kähler current?

The basic question is under what conditions the Kähler current is either topologized or light-like so that the Lorentz force vanishes. Does this hold for all preferred extremals of Kähler action? Or only asymptotically as suggested by the fact that generalized Beltrami fields can be interpreted as asymptotic self-organization patterns, when dissipation has become insignificant. Or does topologization take place in regions of space-time surface having Minkowskian signature of the induced metric?

And what asymptotia actually means? Do absolute minima of Kähler action correspond to preferred extremals?

One can challenge the interpretation in terms of asymptotic self organization patterns assigned to the Minkowskian regions of space-time surface.

1. Zero energy ontology challenges the notion of approach to asymptotia in Minkowskian sense since the dynamics of light-like 3-surfaces is restricted inside finite volume  $CD \subset M^4$  since the partonic 2-surfaces representing their ends are at the light-like boundaries of causal diamond in a given p-adic time scale.
2. One can argue that generic non-asymptotic field configurations have  $D_{CP_2} = 4$ , and would thus carry a vanishing Kähler four-current if Beltrami conditions were satisfied universally rather than only asymptotically.  $j^\alpha = 0$  would obviously hold true also for the asymptotic configurations, in particular those with  $D_{CP_2} < 4$  so that empty space Maxwell's field equations would be universally satisfied for asymptotic field configurations with  $D_{CP_2} < 4$ . The weak point of this argument is that it is 3-D light-like 3-surfaces rather than space-time surfaces which are the basic dynamical objects so that the generic and only possible case corresponds to  $D_{CP_2} = 3$  for  $X_l^3$ . It is quite possible that preferred extremal property implies that  $D_{CP_2} = 3$  holds true in the Minkowskian regions since these regions indeed represent empty space. Geometrically this would mean that the  $CP_2$  projection does not change as the light-like coordinate labeling  $Y_l^3$  varies. This conforms nicely with the notion of quantum gravitational holography.
3. The failure of the generalized Beltrami conditions would mean that Kähler field is completely analogous to a dissipative Maxwell field for which also Lorentz force vanishes since  $\bar{j} \cdot \bar{E}$  is non-vanishing (note that isometry currents are conserved although energy momentum tensor is not). Quantum classical correspondence states that classical space-time dynamics is by its classical non-determinism able to mimic the non-deterministic sequence of quantum jumps at space-time level, in particular dissipation in various length scales defined by the hierarchy of space-time sheets. Classical fields would represent "symbolically" the average dynamics, in particular dissipation, in shorter length scales. For instance, vacuum 4-current would be a symbolic representation for the average of the currents consisting of elementary particles. This would seem to support the view that  $D_{CP_2} = 4$  Minkowskian regions are present. The weak point of this argument is that there is fractal hierarchy of length scales represented by the hierarchy of causal diamonds ( $CD$ s) and that the resulting hierarchy of generalized Feynman graphs might be enough to represent dissipation classically.
4. One objection to the idea is that second law realized as an asymptotic vanishing of Lorentz-Kähler force implies that all space-like 3-surfaces approaching same asymptotic state have the same value of Kähler function assuming that the Kähler function assignable to space-like 3-surface is same for all space-like sections of  $X^4(X_l^3)$  (assuming that one can realize general coordinate invariance also in this sense). This need not be the case. In any case, this need not be a problem since it would mean an additional symmetry extending general coordinate invariance. The exponent of Kähler function would be highly analogous to a partition function defined as an exponent of Hamiltonian with Kähler coupling strength playing the role of temperature.

It seems that asymptotic self-organization pattern need not be correct interpretation for non-dissipating regions, and the identification of light-like 3-surfaces as generalized Feynman diagrams encourages an alternative interpretation.

1.  $M^8 - H$  duality states that also the  $H$  counterparts of co-hyper-hyperquaternionic surfaces of  $M^8$  are preferred extremals of Kähler action.  $CP_2$  type vacuum extremals represent the basic example of these and a plausible conjecture is that the regions of space-time with Euclidian signature of the induced metric represent this kind of regions. If this conjecture is correct, dissipation could be assigned with regions having Euclidian signature of the induced metric. This makes sense since dissipation has quantum description in terms of Feynman graphs and regions of Euclidian signature indeed correspond to generalized Feynman graphs. This argument would suggest that generalized Beltrami conditions or light-likeness hold true inside Minkowskian regions rather than only asymptotically.

2. One could of course play language games and argue that asymptotia is with respect to the Euclidian time coordinate inside generalized Feynman graphs and is achieved exactly when the signature of the induced metric becomes Minkowskian. This is somewhat artificial attempt to save the notion of asymptotic self-organization pattern since the regions outside Feynman diagrams represent empty space providing a holographic representations for the matter at  $X_l^3$  so that the vanishing of  $j^\alpha F_{\alpha\beta}$  is very natural.
3. What is then the correct identification of asymptotic self-organization pattern. Could correspond to the negative energy part of the zero energy state at the upper light-like boundary  $\delta M_-^4$  of  $CD$ ? Or in the case of phase conjugate state to the positive energy part of the state at  $\delta M_+^4$ ? An identification consistent with the fractal structure of zero energy ontology and TGD inspired theory of consciousness is that the entire zero energy state reached by a sequence of quantum jumps represents asymptotic self-organization pattern represented by the asymptotic generalized Feynman diagram or their superposition. Biological systems represent basic examples about self-organization, and one cannot avoid the questions relating to the relationship between experience and geometric time. A detailed discussion of these points can be found in [43].

Absolute minimization of Kähler action was the first guess for the criterion selecting preferred extremals. Absolute minimization in a strict sense of the word does not make sense in the p-adic context since p-adic numbers are not well-ordered, and one cannot even define the action integral as a p-adic number. The generalized Beltrami conditions and the boundary conditions defining the preferred extremals are however local and purely algebraic and make sense also p-adically. If absolute minimization reduces to these algebraic conditions, it would make sense.

#### 9.4.6 Generalized Beltrami fields and biological systems

The following arguments support the view that generalized Beltrami fields play a key role in living systems, and that  $D_{CP_2} = 2$  corresponds to ordered phase,  $D_{CP_2} = 3$  to spin glass phase and  $D_{CP_2} = 4$  to chaos, with  $D_{CP_2} = 3$  defining life as a phenomenon at the boundary between order and chaos. If the criteria suggested by the number theoretic compactification are accepted, it is not clear whether  $D_{CP_2}$  extremals can define preferred extremals of Kähler action. For instance, cosmic strings are not preferred extremals and the  $Y_l^3$  associated with MEs allow only covariantly constant right handed neutrino eigenmode of  $D_K(X^2)$ . The topological condensation of  $CP_2$  type vacuum extremals around  $D_{CP_2} = 2$  type extremals is however expected to give preferred extremals and if the density of the condensate is low enough one can still speak about  $D_{CP_2} = 2$  phase. A natural guess is also that the deformation of  $D_{CP_2} = 2$  extremals transforms light-like gauge currents to space-like topological currents allowed by  $D_{CP_2} = 3$  phase.

#### Why generalized Beltrami fields are important for living systems?

Chirality, complexity, and high level of organization make  $D_{CP_2} = 3$  generalized Beltrami fields excellent candidates for the magnetic bodies of living systems.

1. Chirality selection is one of the basic signatures of living systems. Beltrami field is characterized by a chirality defined by the relative sign of the current and magnetic field, which means parity breaking. Chirality reduces to the sign of the function  $\psi$  appearing in the topologization condition and makes sense also for the generalized Beltrami fields.
2. Although Beltrami fields can be extremely complex, they are also extremely organized. The reason is that the function  $\alpha$  is constant along flux lines so that flux lines must in the case of compact Riemann 3-manifold belong to 2-dimensional  $\alpha = \text{constant}$  closed surfaces, in fact two-dimensional invariant tori [53].

For generalized Beltrami fields the function  $\psi$  is constant along the flow lines of the Kähler current. Space-time sheets with 3-dimensional  $CP_2$  projection serve as an illustrative example. One can use the coordinates for the  $CP_2$  projection as space-time coordinates so that one space-time coordinate disappears totally from consideration. Hence the situation reduces to a flow in a 3-dimensional submanifold of  $CP_2$ . One can distinguish between three types of flow lines corresponding to space-like, light-like and time-like topological current. The 2-dimensional  $\psi = \text{constant}$  invariant manifolds are

sub-manifolds of  $CP_2$ . Ordinary Beltrami fields are a special case of space-like flow with flow lines belonging to the 2-dimensional invariant tori of  $CP_2$ . Time-like and light-like situations are more complex since the flow lines need not be closed so that the 2-dimensional  $\psi = \text{constant}$  surfaces can have boundaries.

For periodic self-organization patterns flow lines are closed and  $\psi = \text{constant}$  surfaces of  $CP_2$  must be invariant tori. The dynamics of the periodic flow is obtained from that of a steady flow by replacing one spatial coordinate with effectively periodic time coordinate. Therefore topological notions like helix structure, linking, and knotting have a dynamical meaning at the level of  $CP_2$  projection. The periodic generalized Beltrami fields are highly organized also in the temporal domain despite the potentiality for extreme topological complexity.

For these reasons topologically quantized generalized Beltrami fields provide an excellent candidate for a generic model for the dynamics of biological self-organization patterns. A natural guess is that many-sheeted magnetic and  $Z^0$  magnetic fields and their generalizations serve as templates for the helical molecules populating living matter, and explain both chiral selection, the complex linking and knotting of DNA and protein molecules, and even the extremely complex and self-organized dynamics of biological systems at the molecular level.

The intricate topological structures of DNA, RNA, and protein molecules are known to have a deep significance besides their chemical structure, and they could even define something analogous to the genetic code. Usually the topology and geometry of bio-molecules is believed to reduce to chemistry. TGD suggests that space-like generalized Beltrami fields serve as templates for the formation of bio-molecules and bio-structures in general. The dynamics of bio-systems would in turn utilize the time-like Beltrami fields as templates. There could even exist a mapping from the topology of magnetic flux tube structures serving as templates for bio-molecules to the templates of self-organized dynamics. The helical structures, knotting, and linking of bio-molecules would thus define a symbolic representation, and even coding for the dynamics of the bio-system analogous to written language.

### $D_{CP_2} = 3$ systems as boundary between $D_{CP_2} = 2$ order and $D_{CP_2} = 4$ chaos

The dimension of  $CP_2$  projection is basic classifier for the asymptotic self-organization patterns.

#### 1. $D_{CP_2} = 4$ phase, dead matter, and chaos

$D_{CP_2} = 4$  corresponds to the ordinary Maxwellian phase in which Kähler current and charge density vanish and there is no topologization of Kähler current. By its maximal dimension this phase would naturally correspond to disordered phase, ordinary "dead matter". If one assumes that Kähler charge corresponds to either em charge or  $Z^0$  charge then the signature of this state of matter would be em neutrality or  $Z^0$  neutrality.

#### 2. $D_{CP_2} = 2$ phase as ordered phase

By the low dimension of  $CP_2$  projection  $D_{CP_2} = 2$  phase is the least stable phase possible only at cold space-time sheets. Kähler current is either vanishing or light-like, and Beltrami fields are not possible. This phase is highly ordered and much like a topological quantized version of ferro-magnet. In particular, it is possible to have a global coordinate varying along the field lines of the vector potential also now. The magnetic and  $Z^0$  magnetic body of any system is a candidate for this kind of system.  $Z^0$  field is indeed always present for vacuum extremals having  $D_{CP_2} = 2$  and the vanishing of em field requires that that  $\sin^2(\theta_W)$  ( $\theta_W$  is Weinberg angle) vanishes.

#### 3. $D_{CP_2} = 3$ corresponds to living matter

$D_{CP_2} = 3$  corresponds to highly organized phase characterized in the case of space-like Kähler current by complex helical structures necessarily accompanied by topologized Kähler charge density  $\propto \bar{A} \cdot \bar{B} \neq 0$  and Kähler current  $\bar{E} \times \bar{A} + \phi \bar{B}$ . For time like Kähler currents the helical structures are replaced by periodic oscillation patterns for the state of the system. By the non-maximal dimension of  $CP_2$  projection this phase must be unstable against too strong external perturbations and cannot survive at too high temperatures. Living matter is thus excellent candidate for this phase and it might be that the interaction of the magnetic body with living matter makes possible the transition from  $D_{CP_2} = 2$  phase to the self-organizing  $D_{CP_2} = 3$  phase.

Living matter which is indeed populated by helical structures providing examples of space-like Kähler current. Strongly charged lipid layers of cell membrane might provide example of time-like

Kähler current. Cell membrane, micro-tubuli, DNA, and proteins are known to be electrically charged and  $Z^0$  charge plays key role in TGD based model of catalysis discussed in [43]. For instance, denaturing of DNA destroying its helical structure could be interpreted as a transition leading from  $D_{CP_2} = 3$  phase to  $D_{CP_2} = 4$  phase. The prediction is that the denatured phase should be electromagnetically (or  $Z^0$ ) neutral.

Beltrami fields result when Kähler charge density vanishes. For these configurations magnetic field and current density take the role of the vector potential and magnetic field as far as the contact structure is considered. For Beltrami fields there exist a global coordinate along the field lines of the vector potential but not along those of the magnetic field. As a consequence, the covariant consistency condition  $(\partial_s - qeA_s)\Psi = 0$  frequently appearing in the physics of super conducting systems would make sense along the flow lines of the vector potential for the order parameter of Bose-Einstein condensate. If Beltrami phase is super-conducting, then the state of the system must change in the transition to a more general phase. It is impossible to assign slicing of 4-surface by 3-D surfaces labeled by a coordinate  $t$  varying along the flow lines. This means that one cannot speak about a continuous evolution of Schrödinger amplitude with  $t$  playing the role of time coordinate. One could perhaps say that the entire space-time sheet represents single quantum event which cannot be decomposed to evolution. This would conform with the assignment of macroscopic and macro-temporal quantum coherence with living matter.

The existence of these three phases brings in mind systems allowing chaotic de-magnetized phase above critical temperature  $T_c$ , spin glass phase at the critical point, and ferromagnetic phase below  $T_c$ . Similar analogy is provided by liquid phase, liquid crystal phase possible in the vicinity of the critical point for liquid to solid transition, and solid phase. Perhaps one could regard  $D_{CP_2} = 3$  phase and life as a boundary region between  $D_{CP_2} = 2$  order and  $D_{CP_2} = 4$  chaos. This would naturally explain why life as it is known is possible in relatively narrow temperature interval.

### Can one assign a continuous Schrödinger time evolution to light-like 3-surfaces?

Alain Connes wrote [49] about factors of various types using as an example Schrödinger equation for various kinds of foliations of space-time to time=constant slices. If this kind of foliation does not exist, one cannot speak about time evolution of Schrödinger equation at all. Depending on the character of the foliation one can have factor of type I, II, or III. For instance, torus with slicing  $dx = a dy$  in flat coordinates, gives a factor of type I for rational values of  $a$  and factor of type II for irrational values of  $a$ .

#### 1. 3-D foliations and type III factors

Connes mentioned 3-D foliations  $V$  which give rise to type III factors. Foliation property requires a slicing of  $V$  by a one-form  $v$  to which slices are orthogonal (this requires metric).

1. The foliation property requires that  $v$  multiplied by suitable scalar is gradient. This gives the integrability conditions  $dv = w \wedge v$ ,  $w = -d\psi/\psi = -d\log(\psi)$ . Something proportional to  $\log(\psi)$  can be taken as a third coordinate varying along flow lines of  $v$ : the flow defines a continuous sequence of maps of 2-dimensional slice to itself.
2. If the so called Godbillon-Vey invariant defined as the integral of  $dw \wedge w$  over  $V$  is non-vanishing, factor of type III is obtained using Schrödinger amplitudes for which the flow lines of foliation define the time evolution. The operators of the algebra in question are transversal operators acting on Schrödinger amplitudes at each slice. Essentially Schrödinger equation in 3-D space-time would be in question with factor of type III resulting from the exotic choice of the time coordinate defining the slicing.

#### 2. What happens in case of light-like 3-surfaces?

In TGD light-like 3-surfaces are natural candidates for  $V$  and it is interesting to look what happens in this case. Light-likeness is of course a disturbing complication since orthogonality condition and thus contravariant metric is involved with the definition of the slicing. Light-likeness is not however involved with the basic conditions.

1. The one-form  $v$  defined by the induced Kähler gauge potential  $A$  defining also a braiding is a unique identification for  $v$ . If foliation exists, the braiding flow defines a continuous sequence of maps of partonic 2-surface to itself.
2. Physically this means the possibility of a super-conducting phase with order parameter satisfying covariant constancy equation  $D\psi = (d/dt - ieA)\psi = 0$ . This would describe a supra current flowing along flow lines of  $A$ .
3. If the integrability fails to be true, one *cannot* assign Schrödinger time evolution with the flow lines of  $v$ . One might perhaps say that 3-surface behaves like single quantum event not allowing slicing into a continuous Schrödinger time evolution.
4. In TGD Schrödinger amplitudes are replaced by second quantized induced spinor fields. Hence one does not face the problem whether it makes sense to speak about Schrödinger time evolution of complex order parameter along the flow lines of a foliation or not. Also the fact that the "time evolution" for the modified Dirac operator corresponds to single position dependent generalized eigenvalue identified as Higgs expectation same for all transversal modes (essentially  $z^n$  labeled by conformal weight) is crucial since it saves from the problems caused by the possible non-existence of Schrödinger evolution.

#### 4. Extremals of Kähler action

Some comments relating to the interpretation of the classification of the extremals of Kähler action by the dimension of their  $CP_2$  projection are in order. It has been already found that the extremals can be classified according to the dimension  $D$  of the  $CP_2$  projection of space-time sheet in the case that  $A_a = 0$  holds true.

1. For  $D_{CP_2} = 2$  integrability conditions for the vector potential can be satisfied for  $A_a = 0$  so that one has generalized Beltrami flow and one can speak about Schrödinger time evolution associated with the flow lines of vector potential defined by covariant constancy condition  $D\psi = 0$  makes sense. Kähler current is vanishing or light-like. This phase is analogous to a super-conductor or a ferromagnetic phase. For non-vanishing  $A_a$  the Beltrami flow property is lost but the analogy with ferromagnetism makes sense still.
2. For  $D_{CP_2} = 3$  foliations are lost. The phase is dominated by helical structures. This phase is analogous to spin glass phase around phase transition point from ferromagnetic to non-magnetized phase and expected to be important in living matter systems.
3.  $D_{CP_2} = 4$  is analogous to a chaotic phase with vanishing Kähler current and to a phase without magnetization. The interpretation in terms of non-quantum coherent "dead" matter is suggestive.

An interesting question is whether the ordinary 8-D imbedding space which defines one sector of the generalized imbedding space could correspond to  $A_a = 0$  phase. If so, then all states for this sector would be vacua with respect to  $M^4$  quantum numbers.  $M^4$ -trivial zero energy states in this sector could be transformed to non-trivial zero energy states by a leakage to other sectors.

## 9.5 Basic extremals of Kähler action

The solutions of field equations can be divided to vacuum extremals and non-vacuum extremal. Vacuum extremals come as two basic types:  $CP_2$  type vacuum extremals for which the induced Kähler field and Kähler action are non-vanishing and the extremals for which the induced Kähler field vanishes. The deformations of both extremals are expected to be of fundamental importance in TGD universe.



### 9.5.1 $CP_2$ type vacuum extremals

These extremals correspond to various isometric imbeddings of  $CP_2$  to  $M^4_+ \times CP_2$ . One can also drill holes to  $CP_2$ . Using the coordinates of  $CP_2$  as coordinates for  $X^4$  the imbedding is given by the formula

$$\begin{aligned} m^k &= m^k(u) , \\ m_{kl}\dot{m}^k\dot{m}^l &= 0 , \end{aligned} \tag{9.5.1}$$

where  $u(s^k)$  is an arbitrary function of  $CP_2$  coordinates. The latter condition tells that the curve representing the projection of  $X^4$  to  $M^4$  is light like curve. One can choose the functions  $m^i, i = 1, 2, 3$  freely and solve  $m^0$  from the condition expressing light likeness so that the number of this kind of extremals is very large.

The induced metric and Kähler field are just those of  $CP_2$  and energy momentum tensor  $T^{\alpha\beta}$  vanishes identically by the self duality of the Kähler form of  $CP_2$ . Also the canonical current  $j^\alpha = D_\beta J^{\alpha\beta}$  associated with the Kähler form vanishes identically. Therefore the field equations in the interior of  $X^4$  are satisfied. The field equations are also satisfied on the boundary components of  $CP_2$  type extremal because the non-vanishing boundary term is, besides the normal component of Kähler electric field, also proportional to the projection operator to the normal space and vanishes identically since the induced metric and Kähler form are identical with the metric and Kähler form of  $CP_2$ .

As a special case one obtains solutions for which  $M^4$  projection is light like geodesic. The projection of  $m^0 = \text{constant}$  surfaces to  $CP_2$  is  $u = \text{constant}$  3-sub-manifold of  $CP_2$ . Geometrically these solutions correspond to a propagation of a massless particle. In a more general case the interpretation as an orbit of a massless particle is not the only possibility. For example, one can imagine a situation, where the center of mass of the particle is at rest and motion occurs along a circle at say  $(m^1, m^2)$  plane. The interpretation as a massive particle is natural. Amusingly, there is nice analogy with the classical theory of Dirac electron: massive Dirac fermion moves also with the velocity of light (zitterbewegung). The quantization of this random motion with light velocity leads to Virasoro conditions and this led to a breakthrough in the understanding of the symmetries of TGD. Super Virasoro invariance is a general symmetry of the configuration space geometry and quantum TGD.

The action for all extremals is same and given by the Kähler action for the imbedding of  $CP_2$ . The value of the action is given by

$$S = -\frac{\pi}{8\alpha_K} . \tag{9.5.2}$$

To derive this expression we have used the result that the value of Lagrangian is constant:  $L = 4/R^4$ , the volume of  $CP_2$  is  $V(CP_2) = \pi^2 R^4/2$  and the definition of the Kähler coupling strength  $k_1 = 1/16\pi\alpha_K$  (by definition,  $\pi R$  is the length of  $CP_2$  geodesics). Four-momentum vanishes for these extremals so that they can be regarded as vacuum extremals. The value of the action is negative so that these vacuum extremals are indeed favored by the minimization of the Kähler action. The the principle selecting preferred extremals of Kähler action suggests that ordinary vacuums with vanishing Kähler action density are unstable against the generation of  $CP_2$  type extremals. There are even reasons to expect that  $CP_2$  type extremals are for TGD what black holes are for GRT. Indeed, the nice generalization of the area law for the entropy of black hole [27] supports this view.

In accordance with the basic ideas of TGD topologically condensed vacuum extremals should somehow correspond to massive particles. The properties of the  $CP_2$  type vacuum extremals are in accordance with this interpretation. Although these objects move with a velocity of light, the motion can be transformed to a mere so that the center of mass motion is trivial. Even the generation of the rest mass could be understood classically as a consequence of the minimization of action. Long range Kähler fields generate negative action for the topologically condensed vacuum extremal (momentum zero massless particle) and Kähler field energy in turn is identifiable as the rest mass of the topologically condensed particle.

An interesting feature of these objects is that they can be regarded as gravitational instantons [80]. A further interesting feature of  $CP_2$  type extremals is that they carry nontrivial classical color charges. The possible relationship of this feature to color confinement raises interesting questions. Could one model classically the formation of the color singlets to take place through the emission of

"colorons": states with zero momentum but non-vanishing color? Could these peculiar states reflect the infrared properties of the color interactions?

### 9.5.2 Vacuum extremals with vanishing Kähler field

Vacuum extremals correspond to 4-surfaces with vanishing Kähler field and therefore to gauge field zero configurations of gauge field theory. These surfaces have  $CP_2$  projection, which is Lagrange manifold. The condition expressing Lagrange manifold property is obtained in the following manner. Kähler potential of  $CP_2$  can be expressed in terms of the canonical coordinates  $(P_i, Q_i)$  for  $CP_2$  as

$$A = \sum_k P_k dQ^k . \quad (9.5.3)$$

The conditions

$$P_k = \partial_{Q^k} f(Q^i) , \quad (9.5.4)$$

where  $f(Q^i)$  is arbitrary function of its arguments, guarantee that Kähler potential is pure gauge. It is clear that canonical transformations, which act as local  $U(1)$  gauge transformations, transform different vacuum configurations to each other so that vacuum degeneracy is enormous. Also  $M_+^4$  diffeomorphisms act as the dynamical symmetries of the vacuum extremals. Some sub-group of these symmetries extends to the isometry group of the configuration space in the proposed construction of the configuration space metric. The vacuum degeneracy is still enhanced by the fact that the topology of the four-surface is practically free.

Vacuum extremals are certainly not absolute minima of the action. For the induced metric having Minkowski signature the generation of Kähler electric fields lowers the action. For Euclidian signature both electric and magnetic fields tend to reduce the action. Therefore the generation of Euclidian regions of space-time is expected to occur.  $CP_2$  type extremals, identifiable as real (as contrast to virtual) elementary particles, can be indeed regarded as these Euclidian regions.

Particle like vacuum extremals can be classified roughly by the number of the compactified dimensions  $D$  having size given by  $CP_2$  length. Thus one has  $D = 3$  for  $CP_2$  type extremals,  $D = 2$  for string like objects,  $D = 1$  for membranes and  $D = 0$  for pieces of  $M^4$ . As already mentioned, the rule  $h_{vac} = -D$  relating the vacuum weight of the Super Virasoro representation to the number of compactified dimensions of the vacuum extremal is very suggestive.  $D < 3$  vacuum extremals would correspond in this picture to virtual particles, whose contribution to the generalized Feynmann diagram is not suppressed by the exponential of Kähler action unlike that associated with the virtual  $CP_2$  type lines.

$M^4$  type vacuum extremals (representable as maps  $M_+^4 \rightarrow CP_2$  by definition) are also expected to be natural idealizations of the space-time at long length scales obtained by smoothing out small scale topological inhomogenities (particles) and therefore they should correspond to space-time of GRT in a reasonable approximation.

The reason would be "Yin-Yang principle" discussed in [29].

1. Consider first the option for which Kähler function corresponds to an absolute minimum of Kähler action. Vacuum functional as an exponent of Kähler function is expected to concentrate on those 3-surfaces for which the Kähler action is non-negative. On the other hand, the requirement that Kähler action is absolute minimum for the space-time associated with a given 3-surface, tends to make the action negative. Therefore the vacuum functional is expected to differ considerably from zero only for 3-surfaces with a vanishing Kähler action per volume. It could also occur that the degeneracy of 3-surfaces with same large negative action compensates the exponent of Kähler function.
2. If preferred extrema correspond to Kähler calibrations or their duals [19], Yin-Yang principle is modified to a more local principle. For Kähler calibrations (their duals) the absolute value of action in given region is minimized (maximized). A given region with a positive (negative sign) of action density favors Kähler electric (magnetic) fields. In long length scales the average density of Kähler action per four-volume tends to vanish so that Kähler function of the entire universe

is expected to be very nearly zero. This regularizes the theory automatically and implies that average Kähler action per volume vanishes. Positive and finite values of Kähler function are of course favored.

In both cases the vanishing of Kähler action per volume in long length scales makes vacuum extremals excellent idealizations for the smoothed out space-time surface. Robertson-Walker cosmologies provide a good example in this respect. As a matter fact the smoothed out space-time is not a mere fictive concept since larger space-time sheets realize it as a essential part of the Universe.

Several absolute minima could be possible and the non-determinism of the vacuum extremals is not expected to be reduced completely. The remaining degeneracy could be even infinite. A good example is provided by the vacuum extremals representable as maps  $M_+^4 \rightarrow D^1$ , where  $D^1$  is one-dimensional curve of  $CP_2$ . This degeneracy could be interpreted as a space-time correlate for the non-determinism of quantum jumps with maximal deterministic regions representing quantum states in a sequence of quantum jumps.

### 9.5.3 Cosmic strings

Cosmic strings are extremals of type  $X^2 \times S^2$ , where  $X^2$  is minimal surface in  $M_+^4$  (analogous to the orbit of a bosonic string) and  $S^2$  is the homologically non-trivial geodesic sphere of  $CP_2$ . The action of these extremals is positive and thus absolute minima are certainly not in question. One can however consider the possibility that these extremals are building blocks of the absolute minimum space-time surfaces since the principle selecting preferred extremals of the Kähler action is global rather than a local. Cosmic strings can contain also Kähler charged matter in the form of small holes containing elementary particle quantum numbers on their boundaries and the negative Kähler electric action for a topologically condensed cosmic string could cancel the Kähler magnetic action.

The string tension of the cosmic strings is given by

$$T = \frac{1}{8\alpha_K R^2} \simeq .2210^{-6} \frac{1}{G} , \tag{9.5.5}$$

where  $\alpha_K \simeq \alpha_{em}$  has been used to get the numerical estimate. The string tension is of the same order of magnitude as the string tension of the cosmic strings of GUTs and this leads to the model of the galaxy formation providing a solution to the dark matter puzzle as well as to a model for large voids as caused by the presence of a strongly Kähler charged cosmic string. Cosmic strings play also fundamental role in the TGD inspired very early cosmology.

### 9.5.4 Massless extremals

Massless extremals are characterized by massless wave vector  $p$  and polarization vector  $\varepsilon$  orthogonal to this wave vector. Using the coordinates of  $M^4$  as coordinates for  $X^4$  the solution is given as

$$\begin{aligned} s^k &= f^k(u, v) , \\ u &= p \cdot m , & v &= \varepsilon \cdot m , \\ p \cdot \varepsilon &= 0 , & p^2 &= 0 . \end{aligned}$$

$CP_2$  coordinates are arbitrary functions of  $p \cdot m$  and  $\varepsilon \cdot m$ . Clearly these solutions correspond to plane wave solutions of gauge field theories. It is important to notice however that linear super position doesn't hold as it holds in Maxwell phase. Gauge current is proportional to wave vector and its divergence vanishes as a consequence. Also cylindrically symmetric solutions for which the transverse coordinate is replaced with the radial coordinate  $\rho = \sqrt{m_1^2 + m_2^2}$  are possible. In fact,  $v$  can be *any* function of the coordinates  $m^1, m^2$  transversal to the light like vector  $p$ .

Boundary conditions on the boundaries of the massless extremal are satisfied provided the normal component of the energy momentum tensor vanishes. Since energy momentum tensor is of the form  $T^{\alpha\beta} \propto p^\alpha p^\beta$  the conditions  $T^{n\beta} = 0$  are satisfied if the  $M^4$  projection of the boundary is given by the equations of form

$$\begin{aligned} H(p \cdot m, \varepsilon \cdot m, \varepsilon_1 \cdot m) &= 0 , \\ \varepsilon \cdot p &= 0 , & \varepsilon_1 \cdot p &= 0 , & \varepsilon \cdot \varepsilon_1 &= 0 . \end{aligned} \tag{9.5.6}$$

where  $H$  is arbitrary function of its arguments. Recall that for  $M^4$  type extremals the boundary conditions are also satisfied if Kähler field vanishes identically on the boundary.

The following argument suggests that there are not very many manners to satisfy boundary conditions in case of  $M^4$  type extremals. The boundary conditions, when applied to  $M^4$  coordinates imply the vanishing of the normal component of energy momentum tensor. Using coordinates, where energy momentum tensor is diagonal, the requirement boils down to the condition that at least one of the eigen values of  $T^{\alpha\beta}$  vanishes so that the determinant  $\det(T^{\alpha\beta})$  must vanish on the boundary: this condition defines 3-dimensional surface in  $X^4$ . In addition, the normal of this surface must have same direction as the eigen vector associated with the vanishing eigen value: this means that three additional conditions must be satisfied and this is in general true in single point only. The boundary conditions in  $CP_2$  coordinates are satisfied provided that the conditions

$$J^{n\beta} J_l^k \partial_\beta s^l = 0$$

are satisfied. The identical vanishing of the normal components of Kähler electric and magnetic fields on the boundary of massless extremal property provides a manner to satisfy all boundary conditions but it is not clear whether there are any other manners to satisfy them.

The characteristic feature of the massless extremals is that in general the Kähler gauge current is non-vanishing. In ordinary Maxwell electrodynamics this is not possible. This means that these extremals are accompanied by vacuum current, which contains in general case both weak and electromagnetic terms as well as color part.

A possible interpretation of the solution is as the exterior space-time to a topologically condensed particle with vanishing mass described by massless  $CP_2$  type extremal, say photon or neutrino. In general the surfaces in question have boundaries since the coordinates  $s^k$  are bounded: this is in accordance with the general ideas about topological condensation. The fact that massless plane wave is associated with  $CP_2$  type extremal combines neatly the wave and particle aspects at geometrical level.

The fractal hierarchy of space-time sheets implies that massless extremals should be interesting also in long length scales. The presence of a light like electromagnetic vacuum current implies the generation of coherent photons and also coherent gravitons are generated since the Einstein tensor is also non-vanishing and light like (proportional to  $k^\alpha k^\beta$ ). Massless extremals play an important role in the TGD based model of bio-system as a macroscopic quantum system. The possibility of vacuum currents is what makes possible the generation of the highly desired coherent photon states.

### 9.5.5 Generalization of the solution ansatz defining massless extremals (MEs)

The solution ansatz for MEs has developed gradually to an increasingly general form and the following formulation is the most general one achieved hitherto. Rather remarkably, it rather closely resembles the solution ansatz for the  $CP_2$  type extremals and has direct interpretation in terms of geometric optics. Equally remarkable is that the latest generalization based on the introduction of the local light cone coordinates was inspired by quantum holography principle.

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#### Local light cone coordinates

The solution involves a decomposition of  $M_+^4$  tangent space localizing the decomposition of Minkowski space to an orthogonal direct sum  $M^2 \oplus E^2$  defined by light-like wave vector and polarization vector orthogonal to it. This decomposition defines what might be called local light cone coordinates.

1. Denote by  $m^i$  the linear Minkowski coordinates of  $M^4$ . Let  $(S^+, S^-, E^1, E^2)$  denote local coordinates of  $M_+^4$  defining a *local* decomposition of the tangent space  $M^4$  of  $M_+^4$  into a direct

orthogonal sum  $M^4 = M^2 \oplus E^2$  of spaces  $M^2$  and  $E^2$ . This decomposition has interpretation in terms of the longitudinal and transversal degrees of freedom defined by local light-like four-velocities  $v_{\pm} = \nabla S_{\pm}$  and polarization vectors  $\epsilon_i = \nabla E^i$  assignable to light ray.

2. With these assumptions the coordinates  $(S_{\pm}, E^i)$  define local light cone coordinates with the metric element having the form

$$ds^2 = 2g_{+-}dS^+dS^- + g_{11}(dE^1)^2 + g_{22}(dE^2)^2 . \tag{9.5.7}$$

If complex coordinates are used in transversal degrees of freedom one has  $g_{11} = g_{22}$ .

3. This family of light cone coordinates is not the most general family since longitudinal and transversal spaces are orthogonal. One can also consider light-cone coordinates for which one non-diagonal component, say  $m_{1+}$ , is non-vanishing if the solution ansatz is such that longitudinal and transversal spaces are orthogonal for the induced metric.

**A conformally invariant family of local light cone coordinates**

The simplest solutions to the equations defining local light cone coordinates are of form  $S_{\pm} = k \cdot m$  giving as a special case  $S_{\pm} = m^0 \pm m^3$ . For more general solutions of from

$$S_{\pm} = m^0 \pm f(m^1, m^2, m^3) , \quad (\nabla_3 f)^2 = 1 ,$$

where  $f$  is an otherwise arbitrary function, this relationship reads as

$$S^+ + S^- = 2m^0 .$$

This condition defines a natural rest frame. One can integrate  $f$  from its initial data at some two-dimensional  $f = constant$  surface and solution describes curvilinear light rays emanating from this surface and orthogonal to it. The flow velocity field  $\bar{v} = \nabla f$  is irrotational so that closed flow lines are not possible in a connected region of space and the condition  $\bar{v}^2 = 1$  excludes also closed flow line configuration with singularity at origin such as  $v = 1/\rho$  rotational flow around axis.

One can identify  $E^2$  as a local tangent space spanned by polarization vectors and orthogonal to the flow lines of the velocity field  $\bar{v} = \nabla f(m^1, m^2, m^3)$ . Since the metric tensor of any 3-dimensional space allows always diagonalization in suitable coordinates, one can always find coordinates  $(E^1, E^2)$  such that  $(f, E^1, E^2)$  form orthogonal coordinates for  $m^0 = constant$  hyperplane. Obviously one can select the coordinates  $E^1$  and  $E^2$  in infinitely many manners.

**Closer inspection of the conditions defining local light cone coordinates**

Whether the conformal transforms of the local light cone coordinates  $\{S_{\pm} = m^0 \pm f(m^1, m^2, m^3), E^i\}$  define the only possible compositions  $M^2 \oplus E^2$  with the required properties, remains an open question. The best that one might hope is that any function  $S^+$  defining a family of light-like curves defines a local decomposition  $M^4 = M^2 \oplus E^2$  with required properties.

1. Suppose that  $S^+$  and  $S^-$  define light-like vector fields which are not orthogonal (proportional to each other). Suppose that the polarization vector fields  $\epsilon_i = \nabla E^i$  tangential to local  $E^2$  satisfy the conditions  $\epsilon_i \cdot \nabla S^+ = 0$ . One can formally integrate the functions  $E^i$  from these condition since the initial values of  $E^i$  are given at  $m^0 = constant$  slice.
2. The solution to the condition  $\nabla S_+ \cdot \epsilon_i = 0$  is determined only modulo the replacement

$$\epsilon_i \rightarrow \hat{\epsilon}_i = \epsilon_i + k \nabla S_+ ,$$

where  $k$  is any function. With the choice

$$k = - \frac{\nabla E^i \cdot \nabla S^-}{\nabla S^+ \cdot \nabla S^-}$$

one can satisfy also the condition  $\hat{\epsilon}_i \cdot \nabla S^- = 0$ .

3. The requirement that also  $\hat{\epsilon}_i$  is gradient is satisfied if the integrability condition

$$k = k(S^+)$$

is satisfied: in this case  $\hat{\epsilon}_i$  is obtained by a gauge transformation from  $\epsilon_i$ . The integrability condition can be regarded as an additional, and obviously very strong, condition for  $S^-$  once  $S^+$  and  $E^i$  are known.

4. The problem boils down to that of finding local momentum and polarization directions defined by the functions  $S^+$ ,  $S^-$  and  $E^1$  and  $E^2$  satisfying the orthogonality and integrability conditions

$$\begin{aligned} (\nabla S^+)^2 = (\nabla S^-)^2 = 0 \quad , \quad \nabla S^+ \cdot \nabla S^- \neq 0 \quad , \\ \nabla S^+ \cdot \nabla E^i = 0 \quad , \quad \frac{\nabla E^i \cdot \nabla S^-}{\nabla S^+ \cdot \nabla S^-} = k_i(S^+) \quad . \end{aligned}$$

The number of integrability conditions is 3+3 (all derivatives of  $k_i$  except the one with respect to  $S^+$  vanish): thus it seems that there are not much hopes of finding a solution unless some discrete symmetry relating  $S^+$  and  $S^-$  eliminates the integrability conditions altogether.

A generalization of the spatial reflection  $f \rightarrow -f$  working for the separable Hamilton Jacobi function  $S_{\pm} = m^0 \pm f$  ansatz could relate  $S^+$  and  $S^-$  to each other and trivialize the integrability conditions. The symmetry transformation of  $M_+^4$  must perform the permutation  $S^+ \leftrightarrow S^-$ , preserve the light-likeness property, map  $E^2$  to  $E^2$ , and multiply the inner products between  $M^2$  and  $E^2$  vectors by a mere conformal factor. This encourages the conjecture that all solutions are obtained by conformal transformations from the solutions  $S_{\pm} = m^0 \pm f$ .

### General solution ansatz for MEs for given choice of local light cone coordinates

Consider now the general solution ansatz assuming that a local wave-vector-polarization decomposition of  $M_+^4$  tangent space has been found.

1. Let  $E(S^+, E^1, E^2)$  be an arbitrary function of its arguments: the gradient  $\nabla E$  defines at each point of  $E^2$  an  $S^+$ -dependent (and thus time dependent) polarization direction orthogonal to the direction of local wave vector defined by  $\nabla S^+$ . Polarization vector depends on  $E^2$  position only.
2. Quite a general family of MEs corresponds to the solution family of the field equations having the general form

$$s^k = f^k(S^+, E) \quad ,$$

where  $s^k$  denotes  $CP_2$  coordinates and  $f^k$  is an arbitrary function of  $S^+$  and  $E$ . The solution represents a wave propagating with light velocity and having definite  $S^+$  dependent polarization in the direction of  $\nabla E$ . By replacing  $S^+$  with  $S^-$  one obtains a dual solution. Field equations are satisfied because energy momentum tensor and Kähler current are light-like so that all tensor contractions involved with the field equations vanish: the orthogonality of  $M^2$  and  $E^2$  is essential for the light-likeness of energy momentum tensor and Kähler current.

3. The simplest solutions of the form  $S_{\pm} = m^0 \pm m^3$ ,  $(E^1, E^2) = (m^1, m^2)$  and correspond to a cylindrical MEs representing waves propagating in the direction of the cylinder axis with light velocity and having polarization which depends on point  $(E^1, E^2)$  and  $S^+$  (and thus time). For these solutions four-momentum is light-like: for more general solutions this cannot be the case. Polarization is in general case time dependent so that both linearly and circularly polarized waves are possible. If  $m^3$  varies in a finite range of length  $L$ , then 'free' solution represents geometrically a cylinder of length  $L$  moving with a light velocity. Of course, ends could be also anchored to the emitting or absorbing space-time surfaces.

4. For the general solution the cylinder is replaced by a three-dimensional family of light like curves and in this case the rectilinear motion of the ends of the cylinder is replaced with a curvilinear motion with light velocity unless the ends are anchored to emitting/absorbing space-time surfaces. The non-rotational character of the velocity flow suggests that the freely moving particle like 3-surface defined by ME cannot remain in a infinite spatial volume. The most general ansatz for MEs should be useful in the intermediate and nearby regions of a radiating object whereas in the far away region radiation solution is expected to decompose to cylindrical ray like MEs for which the function  $f(m^1, m^2, m^2)$  is a linear function of  $m^i$ .
5. One can try to generalize the solution ansatz further by allowing the metric of  $M_+^4$  to have components of type  $g_{i+}$  or  $g_{i-}$  in the light cone coordinates used. The vanishing of  $T^{11}$ ,  $T^{+1}$ , and  $T^{--}$  is achieved if  $g_{i\pm} = 0$  holds true for the induced metric. For  $s^k = s^k(S^+, E^1)$  ansatz neither  $g_{2\pm}$  nor  $g_{1-}$  is affected by the imbedding so that these components of the metric must vanish for the Hamilton Jacobi structure:

$$ds^2 = 2g_{+-}dS^+dS^- + 2g_{1+}dE^1dS^+ + g_{11}(dE^1)^2 + g_{22}(dE^2)^2 . \quad (9.5.8)$$

$g_{1+} = 0$  can be achieved by an additional condition

$$m_{1+} = s_{kl}\partial_1 s^k \partial_+ s^l . \quad (9.5.9)$$

The diagonalization of the metric seems to be a general aspect of absolute minima. The absence of metric correlations between space-time degrees of freedom for asymptotic self-organization patterns is somewhat analogous to the minimization of non-bound entanglement in the final state of the quantum jump.

### Are the boundaries of space-time sheets quite generally light like surfaces with Hamilton Jacobi structure?

Quantum holography principle naturally generalizes to an approximate principle expected to hold true also in non-cosmological length and time scales.

1. The most general ansatz for topological light rays or massless extremals (MEs) inspired by the quantum holographic thinking relies on the introduction of the notion of local light cone coordinates  $S_+, S_-, E_1, E_2$ . The gradients  $\nabla S_+$  and  $\nabla S_-$  define two light like directions just like Hamilton Jacobi functions define the direction of propagation of wave in geometric optics. The two polarization vector fields  $\nabla E_1$  and  $\nabla E_2$  are orthogonal to the direction of propagation defined by either  $S_+$  or  $S_-$ . Since also  $E_1$  and  $E_2$  can be chosen to be orthogonal, the metric of  $M_+^4$  can be written locally as  $ds^2 = g_{+-}dS_+dS_- + g_{11}dE_1^2 + g_{22}dE_2^2$ . In the earlier ansatz  $S_+$  and  $S_-$  were restricted to the variables  $k \cdot m$  and  $\tilde{k} \cdot m$ , where  $k$  and  $\tilde{k}$  correspond to light like momentum and its mirror image and  $m$  denotes linear  $M^4$  coordinates: these MEs describe cylindrical structures with constant direction of wave propagation expected to be most important in regions faraway from the source of radiation.
2. Boundary conditions are satisfied if the 3-dimensional boundaries of MEs have one light like direction ( $S_+$  or  $S_-$  is constant). This means that the boundary of ME has metric dimension  $d = 2$  and is characterized by an infinite-dimensional super-symplectic and super-conformal symmetries just like the boundary of the imbedding space  $M_+^4 \times CP_2$ : The boundaries are like moments for mini big bangs (in TGD based fractal cosmology big bang is replaced with a silent whisper amplified to not necessarily so big bang).
3. These observations inspire the conjecture that boundary conditions for  $M^4$  like space-time sheets fixed by the variational principle selecting preferred extremals of Kähler action quite generally require that space-time boundaries correspond to light like 3-surfaces with metric dimension equal to  $d = 2$ . This does not yet imply that light like surfaces of imbedding space would take the role of the light cone boundary: these light like surface could be seen only as a special case of causal determinants analogous to event horizons.





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## Chapter 10

# Physics as a Generalized Number Theory

### 10.1 Physics as a generalized number theory

Physics as a generalized number theory program involves three threads: various p-adic physics and their fusion together with real number based physics to a larger structure [24], the attempt to understand basic physics in terms of classical number fields [19], and infinite primes [16] whose construction is formally analogous to a repeated second quantization of an arithmetic quantum field theory. A common denominator of these approaches is a precise mathematical formulation for the notion of finite measurement resolution, which could be taken as one of the basic guiding principles of quantum TGD and is at quantum level realized in terms of inclusions of hyper-finite factors about which configuration space spinor fields provide an example [33]. In the following these threads are described briefly. More detailed summaries will be given in separate articles.

#### 10.1.1 p-Adic physics and unification of real and p-adic physics

p-Adic numbers [58, 82, 43] became a part of TGD through the successes of p-adic thermodynamics in the description of elementary particle massivation [4]. The p-adicization program attempts to construct physics in various number fields as an algebraic continuation of physics in the field of rationals (or appropriate extension of rationals). The program involves in an essential manner the generalization of number concept obtained by fusing reals and p-adic number fields to a larger structure by gluing them together along common rationals.

#### **Real and p-adic regions of the space-time as geometric correlates of matter and mind**

One could end up with p-adic space-time sheets via field equations. The solutions of the equations determining space-time surfaces are restricted by the requirement that the coordinates are real. When this is not the case, one might apply instead of a real completion with some p-adic completion. It however seems that p-adicity is present at deeper level and automatically present via the generalization of the number concept obtained by fusing reals and p-adics along rationals and common algebraics.

p-Adic non-determinism due to the presence of non-constant functions with a vanishing derivative implies extreme flexibility and therefore suggests the identification of the p-adic regions as seats of cognitive representations. Unlike the completion of reals to complex numbers, the completions of p-adic numbers preserve the information about the algebraic extension of rationals and algebraic coding of quantum numbers must be associated with 'mind like' regions of space-time. p-Adics and reals are in the same relationship as map and territory.

The implications are far-reaching and consistent with TGD inspired theory of consciousness: p-adic regions are present even at elementary particle level and provide some kind of model of 'self' and external world. In fact, p-adic physics must model the p-adic cognitive regions representing real elementary particle regions rather than elementary particles themselves!

### The generalization of the notion of number

The unification of real physics of material work and p-adic physics of cognition and intentionality leads to the generalization of the notion of number field. Reals and various p-adic number fields are glued along their common rationals (and common algebraic numbers too) to form a fractal book like structure. Allowing all possible finite-dimensional extensions of p-adic numbers brings additional pages to this "Big Book".

At space-time level the book like structure corresponds to the decomposition of space-time surface to real and p-adic space-time sheets. This has deep implications for the view about cognition. For instance, two points infinitesimally near p-adically are infinitely distant in real sense so that cognition becomes a cosmic phenomenon.

### Zero energy ontology, cognition, and intentionality

One could argue that conservation laws forbid p-adic-real phase transitions in practice so that cognitions (intentions) realized as real-to-padic (p-adic-to-real) transitions would not be possible. The situation changes if one accepts zero energy ontology [23, 31].

#### 1. Zero energy ontology classically

In TGD inspired cosmology [29] the imbeddings of Robertson-Walker cosmologies are vacuum extremals. Same applies to the imbeddings of Reissner-Nordström solution [32] and in practice to all solutions of Einstein's equations imbeddable as extremals of Kähler action. Since four-momentum currents define a collection of vector fields rather than a tensor in TGD, both positive and negative signs for energy corresponding to two possible assignments of the arrow of the geometric time to a given space-time surface are possible. This leads to the view that all physical states have vanishing net energy classically and that physically acceptable universes are creatable from vacuum.

The result is highly desirable since one can avoid unpleasant questions such as "What are the net values of conserved quantities like rest mass, baryon number, lepton number, and electric charge for the entire universe?", "What were the initial conditions in the big bang?", "If only single solution of field equations is selected, isn't the notion of physical theory meaningless since in principle it is not possible to compare solutions of the theory?". This picture fits also nicely with the view that entire universe understood as quantum counterpart 4-D space-time is recreated in each quantum jump and allows to understand evolution as a process of continual re-creation.

#### 2. Zero energy ontology at quantum level

Also the construction of S-matrix [31] leads to the conclusion that all physical states possess vanishing conserved quantum numbers. Furthermore, the entanglement coefficients between positive and negative energy components of the state have interpretation as  $M$ -matrix identifiable as a "complex square root" of density matrix expressible as a product of positive diagonal square root of the density matrix and of a unitary S-matrix. S-matrix thus becomes a property of the zero energy state and physical states code by their structure what is usually identified as quantum dynamics.

The collection of  $M$ -matrices defines an orthonormal state basis for zero energy states and together they define unitary  $U$ -matrix characterizing transition amplitudes between zero energy states. This matrix would not be however the counterpart of the usual S-matrix. Rather the unitary matrix phase of a given  $M$ -matrix would define the S-matrix measured in laboratory.  $U$ -matrix would also characterize the transitions between different number fields possible in the intersection of real and p-adic worlds and having interpretation in terms of intention and cognition.

At space-time level this would mean that positive energy component and negative energy component are at a temporal distance characterized by the time scale of the causal diamond ( $CD$ ) and the rational (perhaps integer) characterizing the value of Planck constant for the state in question. The scale in question would also characterize the geometric duration of quantum jump and the size scale of space-time region contributing to the contents of conscious experience. The interpretation in terms of a mini bang followed by a mini crunch suggests itself also.  $CD$ s are indeed important also in TGD inspired cosmology [29].

#### 3. Hyper-finite factors of type $II_1$ and new view about S-matrix

The representation of S-matrix as unitary entanglement coefficients would not make sense in ordinary quantum theory but in TGD the von Neumann algebra in question is not a type I factor as for

quantum mechanics or a type III factor as for quantum field theories, but what is called hyper-finite factor of type II<sub>1</sub> [33]. This algebra is an infinite-dimensional algebra with the almost defining, and at the first look very strange, property that the infinite-dimensional unit matrix has unit trace. The infinite dimensional Clifford algebra spanned by the configuration space gamma matrices (configuration space understood as the space of 3-surfaces, the "world of classical worlds") is indeed very naturally algebra of this kind since infinite-dimensional Clifford algebras provide a canonical representations for hyper-finite factors of type II<sub>1</sub>.

#### 4. *The new view about quantum measurement theory*

This mathematical framework leads to a new kind of quantum measurement theory. The basic assumption is that only a finite number of degrees of freedom can be quantum measured in a given measurement and the rest remain untouched. What is known as Jones inclusions  $\mathcal{N} \subset \mathcal{M}$  of von Neumann algebras allow to realize mathematically this idea [33].  $\mathcal{N}$  characterizes measurement resolution and quantum measurement reduces the entanglement in the non-commutative quantum space  $\mathcal{M}/\mathcal{N}$ . The outcome of the quantum measurement is still represented by a unitary S-matrix but in the space characterized by  $\mathcal{N}$ . It is not possible to end up with a pure state with a finite sequence of quantum measurements.

The obvious objection is that the replacement of a universal S-matrix coding entire physics with a state dependent unitary entanglement matrix is too heavy a price to be paid for the resolution of the above mentioned paradoxes. Situation could be saved if the S-matrices have fractal structure. The quantum criticality of TGD Universe indeed implies fractality. The possibility of an infinite sequence of Jones inclusions for hyperfinite type II<sub>1</sub> factors isomorphic as von Neumann algebras expresses this fractal character algebraically. Thus one can hope that the S-matrix appearing as entanglement coefficients is more or less universal in the same manner as Mandelbrot fractal looks more or less the same in all length scales and for all resolutions. Whether this kind of universality must be posed as an additional condition on entanglement coefficients or is an automatic consequence of unitarity in type II<sub>1</sub> sense is an open question.

#### 5. *The S-matrix for p-adic-real transitions makes sense*

In zero energy ontology conservation laws do not forbid p-adic-real transitions and one can develop a relatively concrete vision about what happens in these kind of transitions. The starting point is the generalization of the number concept obtained by gluing p-adic number fields and real numbers along common rationals (expressing it very roughly). At the level of the imbedding space this means that p-adic and real space-time sheets intersect only along common rational points of the imbedding space and transcendental p-adic space-time points are infinite as real numbers so that they can be said to be infinite distant points so that intentionality and cognition become cosmic phenomena.

In this framework the long range correlations characterizing p-adic fractality can be interpreted as being due to a large number of common rational points of imbedding space for real space-time sheet and p-adic space-time sheet from which it resulted in the realization of intention in quantum jump. Thus real physics would carry direct signatures about the presence of intentionality. Intentional behavior is indeed characterized by short range randomness and long range correlations.

One can even develop a general vision about how to construct the S-matrix elements characterizing the process [31]. The basic guideline is the vision that real and various p-adic physics as well as their hybrids are continuable from the rational physics. This means that these S-matrix elements must be characterizable using data at rational points of the imbedding space shared by p-adic and real space-time sheets so that more or less same formulas describe all these S-matrix elements. Note that also  $p_1 \rightarrow p_2$  p-adic transitions are possible.

### **What number theoretical universality might mean?**

Number theoretic universality has been one of the basic guide lines in the construction of quantum TGD. There are two forms of the principle.

1. The strong form of number theoretical universality states that physics for any system should effectively reduce to a physics in algebraic extension of rational numbers at the level of  $M$ -matrix so that an interpretation in both real and p-adic sense (allowing a suitable algebraic extension of p-adics) is possible. One can however worry whether this principle only means that physics is

algebraic so that there would be no need to talk about real and p-adic physics at the level of  $M$ -matrix elements. It is not possible to get rid of real and p-adic numbers at the level of classical physics since calculus is a prerequisite for the basic variational principles used to formulate the theory. For this option the possibility of completion is what poses conditions on  $M$ -matrix.

2. The weak form of principle requires only that both real and p-adic variants of physics make sense and that the intersection of these physics consist of physics associated with various algebraic extensions of rational numbers. In this rational physics would be like rational numbers allowing infinite number of algebraic extensions and real numbers and p-adic number fields as its completions. Real and p-adic physics would be completions of rational physics. In this framework criticality with respect to phase transitions changing number field becomes a viable concept. This form of principle allows also purely p-adic phenomena such as p-adic pseudo non-determinism assigned to imagination and cognition. Genuinely p-adic physics does not however allow definition of notions like conserved quantities since the notion of definite integral is lacking and only the purely local form of real physics allows p-adic counterpart.

Experience has taught that it is better to avoid too strong statements and perhaps the weak form of the principle is enough. It is however clear that number theoretical criticality could provide important insights to quantum TGD. p-Adic thermodynamics [4] is an excellent example of this. In consciousness theory the transitions transforming intentions to actions and actions to cognitions would be key applications. Needless to say, zero energy ontology is absolutely essential: otherwise this kind of transitions would not make sense.

### p-Adicization by algebraic continuation

The basic challenges of the p-adicization program are following.

1. The first problem -the conceptual one- is the identification of preferred coordinates in which functions are algebraic and for which algebraic values of coordinates are in preferred position. This problem is encountered both at the level of space-time, imbedding space, and configuration space. Here the group theoretical considerations play decisive role and the selection of preferred coordinates relates closely to the selection of quantization axes. This selection has direct physical correlates at the level of imbedding space and the hierarchy of Planck constants has interpretation as a correlate for the selection of quantization axes [35].

Algebraization does not necessarily mean discretization at space-time level: for instance, the coordinates characterizing partonic 2-surface can be algebraic so that algebraic point of the configuration space results and surface is not discretized. If this kind of function spaces are finite-dimensional, it is possible to fix  $X^2$  completely data for a finite number of points only.

2. Local physics generalizes as such to p-adic context (field equations, etc...). The basic stumbling block of this program is integration already at space-time (Kähler action, flux Hamiltonians, etc...). The problem becomes really horrible looking at configuration space level (functional integral). Algebraic continuation could allow to circumvent this difficulty. Needless to say, the requirement that the continuation exists must pose immensely tight constraints on the physics. Also the existence of the Kähler geometry does this and the solution to the constraint is that WCW is a union of symmetric spaces.

In the case of symmetric spaces Fourier analysis generalizes to harmonics analysis and one can reduce integration to summation for functions allowing Fourier decomposition. In p-adic context the existence of plane waves requires an algebraic extension allowing roots of unity characterizing the measurement accuracy for angle like variables. This leads in the case of symmetric spaces to a general p-adicization recipe. One starts from a discrete variant of the symmetric space for which points correspond to roots of unity and replaces each discrete point with its p-adic completion representing the p-adic variant of the symmetric space so that kind of fractal variant of the symmetric space is obtained. There is an infinite hierarchy of p-adicizations corresponding to measurement resolutions and to the choice of preferred coordinates and the interpretation is in terms of cognitive representations. This requires a refined view about General Coordinate Invariance taking into account the fact that cognition is also part of the quantum state.



One general idea which results as an outcome of the generalized notion of number is the idea of a universal function continuable from a function mapping rationals to rationals or to a finite extension of rationals to a function in any number field. This algebraic continuation is analogous to the analytical continuation of a real analytic function to the complex plane.

1. Rational functions with rational coefficients are obviously functions satisfying this constraint. Algebraic functions with rational coefficients satisfy this requirement if appropriate finite-dimensional algebraic extensions of p-adic numbers are allowed. Exponent function is such a function.
2. For instance, residue calculus essential in the construction of N-point functions of conformal field theory might be generalized so that the value of an integral along the real axis could be calculated by continuing it instead of the complex plane to any number field via its values in the subset of rational numbers forming the rim of the book like structure having number fields as its pages. If the poles of the continued function in the finitely extended number field allow interpretation as real numbers it might be possible to generalize the residue formula. One can also imagine of extending residue calculus to any algebraic extension. An interesting situation arises when the poles correspond to extended p-adic rationals common to different pages of the "great book". Could this mean that the integral could be calculated at any page having the pole common. In particular, could a p-adic residue integral be calculated in the ordinary complex plane by utilizing the fact that in this case numerical approach makes sense.
3. Algebraic continuation is the basic tool of p-adicization program. Entire physics of the TGD Universe should be algebraically continuable to various number fields. Real number based physics would define the physics of matter and p-adic physics would describe correlates of cognition and intentionality.
4. For instance, the idea that number theoretically critical partonic 2-surfaces are expressible in terms of rational functions with rational or algebraic coefficients so that also p-adic variants of these surfaces make sense, is very attractive.
5. Finite sums and products respect algebraic number property and the condition of finiteness is coded naturally by the notion of finite measurement resolution in terms of the notion of (number theoretic) braid. This simplifies dramatically the algebraic continuation since configuration space reduces to a finite-dimensional space and the space of configuration space spinor fields reduces to finite-dimensional function space.

The real configuration space can well contain sectors for which p-adicization does not make sense. For instance, if the exponent of Kähler function and Kähler are not expressible in terms of algebraic functions with rational or at most algebraic functions or more general functions making sense p-adically, the continuation is not possible. p-Adic non-determinism in p-adic sectors makes also impossible the continuation to real sector. All this is consistent with vision about rational and algebraic physics as an analog of rational and algebraic numbers allowing completion to various continuous number fields.

Due to the fact that real and p-adic topologies are fundamentally different, ultraviolet and infrared cutoffs in the set of rationals are unavoidable notions and correspond to a hierarchy of different physical phases on one hand and different levels of cognition on the other hand. For instance, most points p-adic space-time sheets reside at infinity in real sense and p-adically infinitesimal is infinite in real sense. Two types of cutoffs are predicted p-adic length scale cutoff and a cutoff due to phase resolution related to the hierarchy of Planck constants. Zero energy ontology provides natural realization for the p-adic length scale cutoff. The latter cutoff seems to correspond naturally to the hierarchy of algebraic extensions of p-adic numbers and quantum phases  $\exp(i2\pi/n)$ ,  $n \geq 3$ , coming as roots of unity and defining extensions of rationals and p-adics allowing to define p-adically sensible trigonometric functions. These phases relate closely to the hierarchy of quantum groups, braid groups, and  $II_1$  factors of von Neumann algebra.

### 10.1.2 TGD and classical number fields

This chapter is second one in a multi-chapter devoted to the vision about TGD as a generalized number theory. The basic theme is the role of classical number fields in quantum TGD. A central notion is  $M^8 -$

$H$  duality which might be also called number theoretic compactification. This duality allows to identify imbedding space equivalently either as  $M^8$  or  $M^4 \times CP_2$  and explains the symmetries of standard model number theoretically. These number theoretical symmetries induce also the symmetries dictating the geometry of the "world of classical worlds" (WCW) as a union of symmetric spaces. This infinite-dimensional Kähler geometry is expected to be highly unique from the mere requirement of its existence requiring infinite-dimensional symmetries provided by the generalized conformal symmetries of the light-cone boundary  $\delta M_+^4 \times S$  and of light-like 3-surfaces and the answer to the question what makes 8-D imbedding space and  $S = CP_2$  so unique would be the reduction of these symmetries to number theory.

Zero energy ontology has become the corner stone of both quantum TGD and number theoretical vision. In zero energy ontology either light-like or space-like 3-surfaces can be identified as the fundamental dynamical objects, and the extension of general coordinate invariance leads to effective 2-dimensionality (strong form of holography) in the sense that the data associated with partonic 2-surfaces and the distribution of 4-D tangent spaces at them located at the light-like boundaries of causal diamonds ( $CDs$ ) defined as intersections of future and past directed light-cones code for quantum physics and the geometry of WCW.

The basic number theoretical structures are complex numbers, quaternions and octonions, and their complexifications obtained by introducing additional commuting imaginary unit  $\sqrt{-1}$ . Hyper-octonionic (-quaternionic,-complex) sub-spaces for which octonionic imaginary units are multiplied by commuting  $\sqrt{-1}$  have naturally Minkowskian signature of metric. The question is whether and how the hyper-structures could allow to understand quantum TGD in terms of classical number fields. The answer which looks the most convincing one relies on the existence of octonionic representation of 8-D gamma matrix algebra.

1. The first guess is that associativity condition for the sub-algebras of the local Clifford algebra defined in this manner could select 4-D surfaces as associative (hyper-quaternionic) sub-spaces of this algebra and define WCW purely number theoretically. The associative sub-spaces in question would be spanned by the modified gamma matrices defined by the modified Dirac action fixed by the variational principle (Kähler action) selecting space-time surfaces as preferred extremals [18].
2. This condition is quite not enough: one must strengthen it with the condition that a preferred commutative and thus hyper-complex sub-space is contained in the tangent space of the space-time surface. This condition actually generalizes somewhat since one can introduce a family of so called Hamilton-Jacobi coordinates for  $M^4$  allowing an integrable distribution of decompositions of tangent space to the space of non-physical and physical polarizations [29]. The physical interpretation is as a number theoretic realization of gauge invariance selecting a preferred local commutative plane of non-physical polarizations.
3. Even this is not yet the whole story: one can define also the notions of co-associativity and co-commutativity applying in the regions of space-time surface with Euclidian signature of the induced metric. The basic unproven conjecture is that the decomposition of space-time surfaces to associative and co-associative regions containing preferred commutative *resp.* co-commutative 2-plane in the 4-D tangent plane is equivalent with the preferred extremal property of Kähler action and the hypothesis that space-time surface allows a slicing by string world sheets and by partonic 2-surfaces [18].

### Hyper-octonions and hyper-quaternions

The discussions for years ago with Tony Smith [69] stimulated very general ideas about space-time surface as an associative, quaternionic sub-manifold of octonionic 8-space (for octonions see [67]). Also the observation that quaternionic and octonionic primes have norm squared equal to prime in complete accordance with p-adic length scale hypothesis, led to suspect that the notion of primeness for quaternions, and perhaps even for octonions, might be fundamental for the formulation of quantum TGD. The original idea was that space-time surfaces could be regarded as four-surfaces in 8-D imbedding space with the property that the tangent spaces of these spaces can be locally regarded as 4-*resp.* 8-dimensional quaternions and octonions.

It took some years to realize that the difficulties related to the realization of Lorentz invariance might be overcome by replacing quaternions and octonions with hyper-quaternions and hyper-octonions. Hyper-quaternions *resp.* -octonions is obtained from the algebra of ordinary quaternions and octonions by multiplying the imaginary part with  $\sqrt{-1}$  and can be regarded as a sub-space of complexified quaternions *resp.* octonions. The transition is the number theoretical counterpart of the transition from Riemannian to pseudo-Riemannian geometry performed already in Special Relativity. The loss of number field and even sub-algebra property is not fatal and has a clear physical meaning. The notion of primeness is inherited from that for complexified quaternions *resp.* octonions.

Note that hyper-variants of number fields make also sense  $p$ -adically unlike the notions of number fields themselves unless restricted to be algebraic extensions of rational variants of number fields. What deserves separate emphasis is that the basic structure of the standard model would reduce to number theory.

### Number theoretical compactification and $M^8 - H$ duality

The notion of hyper-quaternionic and octonionic manifold makes sense but it not plausible that  $H = M^4 \times CP_2$  could be endowed with a hyper-octonionic manifold structure. Situation changes if  $H$  is replaced with hyper-octonionic  $M^8$ . Suppose that  $X^4 \subset M^8$  consists of hyper-quaternionic and co-hyper-quaternionic regions. The basic observation is that the hyper-quaternionic sub-spaces of  $M^8$  with a fixed hyper-complex structure (containing in their tangent space a fixed hyper-complex subspace  $M^2$  or at least one of the light-like lines of  $M^2$ ) are labeled by points of  $CP_2$ . Hence each hyper-quaternionic and co-hyper-quaternionic four-surface of  $M^8$  defines a 4-surface of  $M^4 \times CP_2$ . One can loosely say that the number-theoretic analog of spontaneous compactification occurs: this of course has nothing to do with dynamics.

This picture was still too naive and it became clear that not all known extremals of Kähler action contain fixed  $M^2 \subset M^4$  or light-like line of  $M^2$  in their tangent space.

1. The first option represents the minimal form of number theoretical compactification.  $M^8$  is interpreted as the tangent space of  $H$ . Only the 4-D tangent spaces of light-like 3-surfaces  $X_l^3$  (wormhole throats or boundaries) are assumed to be hyper-quaternionic or co-hyper-quaternionic and contain fixed  $M^2$  or its light-like line in their tangent space. Hyper-quaternionic regions would naturally correspond to space-time regions with Minkowskian signature of the induced metric and their co-counterparts to the regions for which the signature is Euclidian. What is of special importance is that this assumption solves the problem of identifying the boundary conditions fixing the preferred extremals of Kähler action since in the generic case the intersection of  $M^2$  with the 3-D tangent space of  $X_l^3$  is 1-dimensional. The surfaces  $X^4(X_l^3) \subset M^8$  would be hyper-quaternionic or co-hyper-quaternionic but would not allow a local mapping between the 4-surfaces of  $M^8$  and  $H$ .
2. One can also consider a more local map of  $X^4(X_l^3) \subset H$  to  $X^4(X_l^3) \subset M^8$ . The idea is to allow  $M^2 \subset M^4 \subset M^8$  to vary from point to point so that  $S^2 = SO(3)/SO(2)$  characterizes the local choice of  $M^2$  in the interior of  $X^4$ . This leads to a quite nice view about strong geometric form of  $M^8 - H$  duality in which  $M^8$  is interpreted as tangent space of  $H$  and  $X^4(X_l^3) \subset M^8$  has interpretation as tangent for a curve defined by light-like 3-surfaces at  $X_l^3$  and represented by  $X^4(X_l^3) \subset H$ . Space-time surfaces  $X^4(X_l^3) \subset M^8$  consisting of hyper-quaternionic and co-hyper-quaternionic regions would naturally represent a preferred extremal of  $E^4$  Kähler action. The value of the action would be same as  $CP_2$  Kähler action.  $M^8 - H$  duality would apply also at the induced spinor field and at the level of configuration space.
3. Strong form of  $M^8 - H$  duality satisfies all the needed constraints if it represents Kähler isometry between  $X^4(X_l^3) \subset M^8$  and  $X^4(X_l^3) \subset H$ . This implies that light-like 3-surface is mapped to light-like 3-surface and induced metrics and Kähler forms are identical so that also Kähler action and field equations are identical. The only differences appear at the level of induced spinor fields at the light-like boundaries since due to the fact that gauge potentials are not identical.
4. The map of  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  would be crucial for the realization of the number theoretical universality.  $M^8 = M^4 \times E^4$  allows linear coordinates as those preferred coordinates in which the points of imbedding space are rational/algebraic. Thus the point of  $X^4 \subset H$  is algebraic

if it is mapped to algebraic point of  $M^8$  in number theoretic compactification. This of course restricts the symmetry groups to their rational/algebraic variants but this does not have practical meaning. Number theoretical compactification could thus be motivated by the number theoretical universality.

5. The possibility to use either  $M^8$  or  $H$  picture might be extremely useful for calculational purposes. In particular,  $M^8$  picture based on  $SO(4)$  gluons rather than  $SU(3)$  gluons could perturbative description of low energy hadron physics. The strong  $SO(4)$  symmetry of low energy hadron physics can be indeed seen direct experimental support for the  $M^8 - H$  duality.

### 10.1.3 Infinite primes

The notion of prime seems to capture something very essential about what it is to be elementary building block of matter and has become a fundamental conceptual element of TGD. The notion of prime gains its generality from its reducibility to the notion of prime ideal of an algebra. Thus the notion of prime is well-defined, not only in case of quaternions and octonions, but also in the case of hyper-quaternions and -octonions, which are especially natural physically and for which numbers having zero norm correspond physically to light-like 8-vectors. Many interpretations for infinite primes have been competing for survival but it seems that the recent state of TGD allows to exclude some of them from consideration.

#### The notion of infinite prime

Simple arguments show that the p-adic prime characterizing the 3-surface representing the entire universe increases in a statistical sense in the sequence of quantum jumps: the reason is simply that the size of primes is bounded below. This leads to a peculiar paradox: if the number of quantum jumps already occurred is infinite, this prime is most naturally infinite. On the other hand, if one assumes that only finite number of quantum jumps have occurred, one encounters the problem of understanding why the initial quantum history was what it was. Furthermore, since the size of the 3-surface representing the entire Universe is infinite, p-adic length scale hypothesis suggest also that the p-adic prime associated with the entire universe is infinite.

These arguments motivate the attempt to construct a theory of infinite primes and to extend quantum TGD so that also infinite primes are possible. Rather surprisingly, one can construct infinite primes by repeating a procedure analogous to a quantization of a super symmetric arithmetic quantum field theory. At given level of hierarchy one can identify the decomposition of space-time surface to p-adic regions with the corresponding decomposition of the infinite prime to primes at lower level of infinity: at the basic level are finite primes for which one cannot find any formula.

This and other observations suggest that the Universe of quantum TGD might basically provide a physical representation of number theory allowing also infinite primes. The proposal suggests also a possible generalization of real numbers to a number system akin to hyper-reals introduced by Robinson in his non-standard calculus [76] providing rigorous mathematical basis for calculus. In fact, some rather natural requirements lead to a unique generalization for the concepts of integer, rational and real. Somewhat surprisingly, infinite integers and reals can be regarded as infinite-dimensional vector spaces with integer and real valued coefficients respectively and this raises the question whether the tangent space for the configuration space of 3-surfaces could be regarded as the space of generalized 8-D hyper-octonionic numbers.

#### Infinite primes and physics in TGD Universe

Several different views about how infinite primes, integers, and rationals might be relevant in TGD Universe have emerged.

##### 1. *Infinite primes, cognition, and intentionality*

The correlation of infinite primes with cognition is the first fascinating possibility and this possibility has stimulated several ideas.

1. The hierarchy of infinite primes associated with algebraic extensions of rationals leading gradually towards algebraic closure of rationals would in turn define cognitive hierarchy corresponding to algebraic extensions of p-adic numbers.

2. Infinite primes form an infinite hierarchy so that the points of space-time and imbedding space can be seen as infinitely structured and able to represent all imaginable algebraic structures. Certainly counter-intuitively, single space-time point -or more generally wave functions in the space of the units associated with the point- might be even capable of representing the quantum state of the entire physical Universe in its structure. For instance, in the real sense surfaces in the space of units correspond to the same real number 1, and single point, which is structure-less in the real sense could represent arbitrarily high-dimensional spaces as unions of real units. For real physics this structure is completely invisible and is relevant only for the physics of cognition. One can say that Universe is an algebraic hologram, and there is an obvious connection both with Brahman=Atman identity of Eastern philosophies and Leibniz's notion of monad.
3. One can assign to infinite primes at  $n^{\text{th}}$  level of hierarchy rational functions of  $n$  rational arguments which form a natural hierarchical structure in that highest level corresponds to a polynomial with coefficients which are rational functions of the arguments at the lower level. One can solve one of the arguments in terms of lower ones to get a hierarchy of algebraic extensions. At the lowest level algebraic extensions of rationals emerge, at the next level algebraic extensions of space of rational functions of single variable, etc... This would suggest that infinite primes code for the correlation between quantum states and the algebraic extensions appearing in their physical description and characterizing their cognitive correlates. The hierarchy of infinite primes would also correlate with a hierarchy of logics of various orders (hierarchy of statements about statements about...).

### 2. Infinite primes and super-symmetric quantum field theory

Consider next the physical interpretation.

1. The discovery of infinite primes suggested strongly the possibility to reduce physics to number theory. The construction of infinite primes can be regarded as a repeated second quantization of a super-symmetric arithmetic quantum field theory. This suggests that configuration space spinor fields or at least the ground states of associated super-conformal representations could be mapped to infinite primes in both bosonic and fermionic degrees of freedom. The process might generalize so that it applies in the case of quaternionic and octonionic primes and their hyper counterparts. This hierarchy of second quantizations means enormous generalization of physics to what might be regarded a physical counterpart for a hierarchy of abstractions about abstractions about.... The ordinary second quantized quantum physics corresponds only to the lowest level infinite primes.
2. The ordinary primes appearing as building blocks of infinite primes at the first level of the hierarchy could be identified as coding for p-adic primes assignable to fermionic and bosonic partons identified as 2-surfaces of a given space-time sheet. The hierarchy of infinite primes would correspond to hierarchy of space-time sheets defined by the topological condensate. This leads also to a precise identification of p-adic and real variants of bosonic partonic 2-surfaces as correlates of intention and action and pairs of p-adic and real fermionic partons as correlates for cognitive representations.
3. The idea that infinite primes characterize quantum states of the entire Universe, perhaps ground states of super-conformal representations, if not all states, could be taken further. It turns out that this idea makes sense when one considers discrete wave functions in the space of infinite primes and that one can indeed represent standard model quantum numbers in this manner.
4. The number theoretical supersymmetry suggests also space-time supersymmetry TGD framework. Space-time super-symmetry in its standard form is not possible in TGD Universe and this cheated me to believe that this supersymmetry is completely absent in TGD Universe. The progress in the understanding of the properties of the modified Dirac action however led to a generalization of the space-time super-symmetry as a dynamical and broken symmetry of quantum TGD [18].

Here however emerges the idea about the number theoretic analog of color confinement. Rational (infinite) primes allow not only a decomposition to (infinite) primes of algebraic extensions of rationals

but also to algebraic extensions of quaternionic and octonionic (infinite) primes. The physical analog is the decomposition of a particle to its more elementary constituents. This fits nicely with the idea about number theoretic resolution represented as a hierarchy of Galois groups defined by the extensions of rationals and realized at the level of physics in terms of Jones inclusions [33] defined by these groups having a natural action on space-time surfaces, induced spinor fields, and on configuration space spinor fields representing physical states [23].

### 3. Infinite primes and physics as number theory

The hierarchy of algebraic extensions of rationals implying corresponding extensions of p-adic numbers suggests that Galois groups, which are the basic symmetry groups of number theory, should have concrete physical representations using induced spinor fields and configuration space spinor fields and also infinite primes and real units formed as infinite rationals. These groups permute zeros of polynomials and thus have a concrete physical interpretation both at the level of partonic 2-surfaces dictated by algebraic equations and at the level of braid hierarchy. The vision about the role of hyperfinite factors of  $II_1$  and of Jones inclusions as descriptions of quantum measurements with finite measurement resolution leads to concrete ideas about how these groups are realized.

$G_2$  acts as automorphisms of hyper-octonions and  $SU(3)$  as its subgroup respecting the choice of a preferred imaginary unit. The discrete subgroups of  $SU(3)$  permuting to each other hyper-octonionic primes are analogous to Galois group and turned out to play a crucial role in the understanding of the correspondence between infinite hyper-octonionic primes and quantum states predicted by quantum TGD.

### 4. The notion of finite measurement resolution as the key concept

TGD predicts several hierarchies: the hierarchy of space-time sheets, the hierarchy of infinite primes, the hierarchy of Jones inclusions identifiable in terms of finite measurement resolution [33], the dark matter hierarchy characterized by increasing values of  $\hbar$  [35], the hierarchy of extensions of a given p-adic number field. TGD inspired theory of consciousness predicts the hierarchy of selves and quantum jumps with increasing duration with respect to geometric time. These hierarchies should be closely related.

The notion of finite measurement resolution turns out to be the key concept: the p-adic norm of the rational defined by the infinite prime characterizes the angle measurement resolution for given p-adic prime  $p$ . It is essential that one has what might be called a state function reduction selecting a fixed p-adic prime which could be also infinite. This gives direct connections with cognition and with the p-adicization program relying also on angle measurement resolution. Also the value the integers characterizing the singular coverings of  $CD$  and  $CP_2$  defining as their product Planck constant characterize the measurement resolution for a given p-adic prime in  $CD$  and  $CP_2$  degrees of freedom. This conforms with the fact that elementary particles are characterized by two infinite primes. Hence finite measurement resolution ties tightly together the three threads of the number theoretic vision. Finite measurement resolution relates also closely to the inclusions of hyper-finite factors central for TGD inspired quantum measurement theory so that the characterization of the finite measurement resolution, which has been the ugly duckling of theoretical physics, transforms to a beautiful swan.

### 5. Space-time correlates of infinite primes

Infinite primes code naturally for Fock states in a hierarchy of super-symmetric arithmetic quantum field theories. Quantum classical correspondence leads to ask whether infinite primes could also code for the space-time surfaces serving as symbolic representations of quantum states. This would a generalization of algebraic geometry would emerge and could reduce the dynamics of Kähler action to algebraic geometry and organize 4-surfaces to a physical hierarchy according to their algebraic complexity. Note that this conjecture should be consistent with two other conjectures about the dynamics of space-time surfaces (space-time surfaces as preferred extrema of Kähler action and space-time surfaces as quaternionic or co-quaternionic (as associative or co-associative) 4-surfaces of hyper-octonion space  $M^8$ ).

The representation of space-time surfaces as algebraic surfaces in  $M^8$  is however too naive idea and the attempt to map hyper-octonionic infinite primes to algebraic surfaces has not led to any concrete progress.

The solution came from quantum classical correspondence, which requires the map of the quantum numbers of configuration space spinor fields to space-time geometry. The modified Dirac equation

with measurement interaction term realizes this requirement. Therefore, if one wants to map infinite rationals to space-time geometry it is enough to map infinite primes to quantum numbers. This map can be indeed achieved thanks to the detailed picture about the interpretation of the symmetries of infinite primes in terms of standard model symmetries.

### **Generalization of ordinary number fields: infinite primes and cognition**

Both fermions and p-adic space-time sheets are identified as correlates of cognition in TGD Universe. The attempt to relate these two identifications leads to a rather concrete model for how bosonic generators of super-algebras correspond to either real or p-adic space-time sheets (actions and intentions) and fermionic generators to pairs of real space-time sheets and their p-adic variants obtained by algebraic continuation (note the analogy with fermion hole pairs).

The introduction of infinite primes, integers, and rationals leads also to a generalization of classical number fields since an infinite algebra of real (complex, etc...) units defined by finite ratios of infinite rationals multiplied by ordinary rationals which are their inverses becomes possible. These units are not units in the p-adic sense and have a finite p-adic norm which can differ from one. This construction generalizes also to the case of hyper- quaternions and -octonions although non-commutativity and in case of octonions also non-associativity pose technical problems. Obviously this approach differs from the standard introduction of infinitesimals in the sense that sum is replaced by multiplication meaning that the set of real and also more general units becomes infinitely degenerate.

Infinite primes form an infinite hierarchy so that the points of space-time and imbedding space can be seen as infinitely structured and able to represent all imaginable algebraic structures. Certainly counter-intuitively, single space-time point is even capable of representing the quantum state of the entire physical Universe in its structure. For instance, in the real sense surfaces in the space of units correspond to the same real number 1, and single point, which is structure-less in the real sense could represent arbitrarily high-dimensional spaces as unions of real units.

One might argue that for the real physics this structure is invisible and is relevant only for the physics of cognition. On the other hand, one can consider the possibility of mapping the configuration space and configuration space spinor fields to the number theoretical anatomies of a single point of imbedding space so that the structure of this point would code for the world of classical worlds and for the quantum states of the Universe. Quantum jumps would induce changes of configuration space spinor fields interpreted as wave functions in the set of number theoretical anatomies of single point of imbedding space in the ordinary sense of the word, and evolution would reduce to the evolution of the structure of a typical space-time point in the system. Physics would reduce to space-time level but in a generalized sense. Universe would be an algebraic hologram, and there is an obvious connection both with Brahman=Atman identity of Eastern philosophies and Leibniz's notion of monad.

Infinite rationals are in one-one correspondence with quantum states and in zero energy ontology hyper-octonionic units identified as ratios of the infinite integers associated with the positive and negative energy parts of the zero energy state define a representation of WCW spinor fields. The action of subgroups of SU(3) and rotation group SU(2) preserving hyper-octonionic and hyper-quaternionic primeness and identification of momentum and electro-weak charges in terms of components of hyper-octonionic primes makes this representation unique. Hence Brahman-Atman identity has a completely concrete realization and fixes completely the quantum number spectrum including particle masses and correlations between various quantum numbers.

## **10.2 p-Adic physics and the fusion of real and p-adic physics to a single coherent whole**

In this section basic facts about p-adic numbers [58, 82, 43] and the question about their relation to real numbers are discussed. Also the basic technicalities related to the notion of p-adic physics are discussed. Also included is a section about the physics in the intersection of real and p-adic worlds relevant to living systems in TGD Universe.

### 10.2.1 Background

It is good to start with a summary of the basic mathematical problems related to the p-adicization of physics and a rough formulation for how one might resolve these problems.

#### Problems

It is far from obvious what the p-adic counterpart of real physics could mean and how one could fuse together real and p-adic physics. Therefore it is good to list the basic problems and proposals for their solution.

The first problem concerns the correspondence between real and p-adic numbers.

1. The success of p-adic mass calculations involves the notions of p-adic probability, thermodynamics, and the mapping of p-adic probabilities to the real ones by a continuous correspondence  $x = \sum x_n p^n \rightarrow Id(x) = \sum x_n p^{-n}$  that I have christened canonical identification. The problem is that  $I$  does not respect symmetries defined by isometries and also general coordinate invariance is possible only if one can identify preferred imbedding space coordinates. The reason is that  $I$  does not commute with the basic arithmetic operations.  $I$  allows several variants and it is possible to have correspondence which respects symmetries in arbitrary accuracy in preferred coordinates. Thus  $I$  can play a role at space-time level only if one defines symmetries modulo measurement resolution.  $I$  would make sense only in the interval defining the measurement resolution for a given coordinate variable and the p-adic effective topology would make sense just because the finite measurement resolution does not allow to well-order the points.
2. The identification of real and p-adic numbers via rationals common to all number fields - or more generally along algebraic extension of rationals- respects symmetries and algebra but is not continuous. At the imbedding space level preferred coordinates are required also now. The maximal symmetries of the imbedding space allow identification of this kind of coordinates. They are not unique. For instance,  $M^4$  linear coordinates look very natural but for  $CP_2$  trigonometric functions of angle like coordinates look more suitable and Fourier analysis suggests strongly the introduction of algebraic extensions involving roots of unity. Partly the non-uniqueness has an interpretation as an imbedding space correlate for the selection of the quantization axes. The symmetric space [75] property of WCW gives hopes that general coordinate invariance in quantal sense can be realized. The existence of p-adic harmonic analysis suggests a discretization of the p-adic variant of imbedding space and WCW based on roots of unity.
3. One can consider a compromise between the two correspondences. Discretization via common algebraic points can be completed to a p-adic continuum by assigning to each real discretization interval (say angle increment  $2\pi/N$ ) p-adic numbers with norm smaller than one.

Second problem relates to integration and Fourier analysis. Both these procedures are fundamental for physics -be it classical or quantum. The p-adic variant of definite integral does not exist in the sense required by the action principles of physics although classical partial differential equations assigned to a particular variational principle make perfect sense. Fourier analysis is also possible only if one allows algebraic extension of p-adic numbers allowing a sufficient number of roots of unity correlating with the measurement resolution of angle. The finite number of them has interpretation in terms of finite angle resolution. Fourier analysis provides also an algebraic realization of definite integral when one integrates over the entire manifold as one indeed does in the case of WCW. If the space in question allows maximal symmetries as WCW and imbedding space do, there are excellent hopes of having p-adic variants of both integration and harmonic analysis and the above described procedure allows a precise completion of the discretized variant of real manifold to its continuous p-adic variant.

The third problem relates to the definitions of the p-adic variants of Riemannian, symplectic [72], and Kähler [78] geometries. It is possible to generalize formally the notion of Riemann metric although non-local quantities like areas and total curvatures do not make sense if defined in terms of integrals. If all relevant quantities assignable to the geometry (family of Hamiltonians defining isometries, Killing vector fields, components of metric and Kähler form, Kähler function, etc...) are expressible in terms of rational functions involving only rational numbers as coefficients of polynomials, they allow an algebraic continuation to the p-adic context and the p-adic variant of the geometry makes sense.



The fourth problem relates to the question what one means with p-adic quantum mechanics. In TGD framework p-adic quantum theory utilizes p-adic Hilbert space. The motivation is that the notions of p-adic probability and unitarity are well defined. From the beginning it was clear that the straightforward generalization of Schrödinger equation is not very interesting physically and gradually the conviction has developed that the most realistic approach must rely on the attempt to find the p-adic variant of the TGD inspired quantum physics in all its complexity. The recent approach starts from a rather concrete view about generalized Feynman diagrams defining the points of WCW and leads to a rather detailed view about what the p-adic variants of QM could be and how they could be fused with real QM to a larger structure. Even more, just the requirement that this p-adicization exists, gives very powerful constraints on the real variant of the quantum TGD.

The fifth problem relates to the notion of information in p-adic context. p-Adic thermodynamics leads naturally to the question what p-adic entropy might mean and this in turn leads to the realization that for rational or even algebraic probabilities p-adic variant of Shannon entropy can be negative and has minimum for a unique prime. One can say that the entanglement in the intersection of real and p-adic worlds is negentropic. This leads to rather fascinating vision about how negentropic entanglement makes it possible for living systems to overcome the second law of thermodynamics. The formulation of quantum theory in the intersection of real and living worlds becomes the basic challenge.

The proposed solutions to the technical problems could be rephrased in terms of the notion of algebraic universality. Various p-adic physics are obtained as algebraic continuation of real physics through the common algebraic points of real and p-adic worlds and by performing completion in the sense that the interval corresponding to finite measurement resolution are replaced with their p-adic counterpart via canonical identification. This allows to have exact symmetries as their discrete variants and also a continuous correspondence if desired. Particular p-adicization is characterized by a choice of preferred imbedding space coordinates, which has interpretation in terms of a particular cognitive representation. Hence one is forced to refine the view about general coordinate invariance. Different coordinate choices correspond to different cognitive representations having delicate effects on physics if it is assumed to include also the effects of cognition.

### Program

These ideas lead to a reasonably well defined p-adicization program. Try to define precisely the concepts of the p-adic space-time and configuration space (WCW), formulate the finite-p p-adic versions of quantum TGD. Try to fuse together real and various p-adic quantum TGDs to form a full theory of physics and cognition.

The construction of the p-adic TGD necessitates the generalization of the basic tools of standard physics such as differential and integral calculus, the concept of Hilbert space, Riemannian geometry, group theory, action principles, and the notions of probability and unitarity to the p-adic context. Also new physical thinking and philosophy is needed. The notions of zero energy ontology, hierarchy of Planck constants and the generalization of the notion of imbedding space required by it are essential but not discussed in detail in this article.

In the following I try to describe the most central problems and ideas of the p-adicization program. Page number of a readable article must be finite and this has forced to leave away a lot of topics. p-Adic mass calculations, which form the corner stone of the entire approach would require entire article series. The vision about how to define generalized Feynman diagrams and their p-adic variants by utilizing the assumption that WCW is symmetric space allowing algebraization of integration crucial for the entire approach is discussed in the May issue of this Journal [40]. Negentropy Maximization Principle [49] relevant for understanding the profound implications of the negentropic entanglement is not discussed. The applications of p-adic length scale hypothesis to the physics of living matter [37] and the model of cognition and intentionality based on p-adic numbers [42] have been also left out.

### 10.2.2 Summary of the basic physical ideas

In the following various manners to end up with p-adic physics and with the idea about p-adic topology as an effective topology of space-time surface are described.

### p-Adic mass calculations briefly

p-Adic mass calculations based on p-adic thermodynamics with energy replaced with the generator  $L_0 = zd/dz$  of infinitesimal scaling are described in the first part of [4].

1. p-Adic thermodynamics is justified by the randomness of the motion of partonic 2-surfaces restricted only by the light-likeness of the orbit.
2. It is essential that the conformal symmetries associated with the light-like coordinates of parton and light-cone boundary are not gauge symmetries but dynamical symmetries. The point is that there are two kinds of super-conformal symmetries [83, 70]: the super-symplectic conformal symmetries assignable to the light-like boundaries of  $CD \times CP_2$  and super Kac-Moody symmetries [62] assignable to light-like 3-surfaces defining fundamental dynamical objects. In so called coset construction [61] the differences of super-conformal generators of these algebras annihilate the physical states. This leads to a generalization of Equivalence Principle since one can assign four-momentum to the generators of both algebras identifiable as inertial *resp.* gravitational four-momentum. A second important consequence is that the generators of either algebra do not act like gauge transformations so that it makes sense to construct p-adic thermodynamics for them.
3. In p-adic thermodynamics scaling generator  $L_0$  having conformal weights as its eigen values replaces energy and Boltzmann weight  $exp(H/T)$  is replaced by  $p^{L_0/T_p}$ . The quantization  $T_p = 1/n$  of conformal temperature and thus quantization of mass squared scale is implied by number theoretical existence of Boltzmann weights. p-Adic length scale hypothesis states that primes  $p \simeq 2^k$ ,  $k$  integer. A stronger hypothesis is that  $k$  is prime (in particular Mersenne prime or Gaussian Mersenne) makes the model very predictive and fine tuning is not possible.

Mersenne primes are very special number theoretically because bit as the unit of information unit corresponds to  $\log(2)$  and can be said to exist for  $M_n$ -adic topology. The reason is that  $\log(1+p)$  existing always p-adically corresponds for  $M_n = 2^n - 1$  to  $\log(2^n) \equiv n\log(2)$  so that one has  $\log(2 \equiv \log(1 + M_n)/n$ . Since the powers of 2 modulo  $p$  give all integers  $n \in \{1, p-1\}$  by Fermat's theorem, one can say that the logarithms of all integers modulo  $M_n$  exist in this sense and therefore the logarithm of all p-adic integers not divisible by  $p$  exist. For other primes one must introduce a transcendental extension containing  $\log(a)$  where  $a$  is so called primitive root. One could criticize the identification since  $\log(1 + M_n)$  corresponding in the real sense to  $n$  bits corresponds in p-adic sense to a very small information content since the p-adic norm of the p-adic bit is  $1/M_n$ .

The basic mystery number of elementary particle physics defined by the ratio of Planck mass and proton mass follows thus from number theory once  $CP_2$  radius is fixed to about  $10^4$  Planck lengths. Mass scale becomes additional discrete variable of particle physics so that there is not more need to force top quark and neutrinos with mass scales differing by 12 orders of magnitude to the same multiplet of gauge group. Electron, muon, and  $\tau$  correspond to Mersenne prime  $k = 127$  (the largest non-super-astrophysical Mersenne), and Mersenne primes  $k = 113, 107$ . Intermediate gauge bosons and photon correspond to Mersenne  $M_{89}$ , and graviton to  $M_{127}$ .

The value of  $k$  for quark can depend on hadronic environment [23] and this would produce precise mass formulas for low energy hadrons. This kind of dependence conforms also with the indications that neutrino mass scale depends on environment [55]. Amazingly, the biologically most relevant length scale range between 10 nm and 4  $\mu\text{m}$  contains four Gaussian Mersennes  $(1+i)^n - 1$ ,  $n = 151, 157, 163, 167$  and scaled copies of standard model physics in cell length scale could be an essential aspect of macroscopic quantum coherence prevailing in cell length scale.

p-Adic mass thermodynamics is not quite enough: also Higgs boson is needed and wormhole contact carrying fermion and anti-fermion quantum numbers at the light-like wormhole throats is excellent candidate for Higgs [20]. The coupling of Higgs to fermions can be small and induce only a small shift of fermion mass: this could explain why Higgs has not been observed. Also the Higgs contribution to mass squared can be understood thermodynamically if identified as absolute value for the thermal expectation value of the eigenvalues of the modified Dirac operator having interpretation as complex square root of conformal weight.

The original belief was that only Higgs corresponds to wormhole contact. The assumption that fermion fields are free in the conformal field theory applying at parton level forces to identify all gauge

bosons as wormhole contacts connecting positive and negative energy space-time sheets [20]. Fermions correspond to topologically condensed  $CP_2$  type extremals with single light-like wormhole throat. Gravitons are identified as string like structures involving pair of fermions or gauge bosons connected by a flux tube. Partonic 2-surfaces are characterized by genus which explains family replication phenomenon and an explanation for why their number is three emerges [31]. Gauge bosons are labeled by pairs  $(g_1, g_2)$  of handle numbers and can be arranged to octet and singlet representations of the resulting dynamical  $SU(3)$  symmetry. Ordinary gauge bosons are  $SU(3)$  singlets and the heaviness of octet bosons explains why higher boson families are effectively absent. The different character of bosons could also explain why the p-adic temperature for bosons is  $T_p = 1/n < 1$  so that Higgs contribution to the mass dominates.

**p-Adic length scale hypothesis, zero energy ontology, and hierarchy of Planck constants**

Zero energy ontology and the hierarchy of Planck constants realized in terms of the generalization of the imbedding space lead to a deeper understanding of the origin of the p-adic length scale hypothesis.

*1. Zero energy ontology*

In zero energy ontology one replaces positive energy states with zero energy states with positive and negative energy parts of the state at the light-like boundaries of  $CD$ . All conserved quantum numbers of the positive and negative energy states are of opposite sign so that these states can be created from vacuum. "Any physical state is creatable from vacuum" becomes thus a basic principle of quantum TGD and together with the notion of quantum jump resolves several philosophical problems (What was the initial state of universe?, What are the values of conserved quantities for Universe?, Is theory building completely useless if only single solution of field equations is realized?). At the level of elementary particle physics positive and negative energy parts of zero energy state are interpreted as initial and final states of a particle reaction so that quantum states become physical events.

*2. Does the finiteness of measurement resolution dictate the laws of physics?*

The hypothesis that the mere finiteness of measurement resolution could determine the laws of quantum physics [31] completely belongs to the category of not at all obvious first principles. The basic observation is that the Clifford algebra [63] spanned by the gamma matrices of the "world of classical worlds" represents a von Neumann algebra [60] known as hyperfinite factor of type  $II_1$  (HFF) [35, 33, 31]. HFF [57, 47] is an algebraic fractal having infinite hierarchy of included subalgebras isomorphic to the algebra itself [44]. The structure of HFF is closely related to several notions of modern theoretical physics such as integrable statistical physical systems [53], anyons [70], quantum groups and conformal field theories[81], and knots and topological quantum field theories [53, 51].

Zero energy ontology is second key element. In zero energy ontology these inclusions allow an interpretation in terms of a finite measurement resolution: in the standard positive energy ontology this interpretation is not possible. Inclusion hierarchy defines in a natural manner the notion of coupling constant evolution and p-adic length scale hypothesis follows as a prediction. In this framework the extremely heavy machinery of renormalized quantum field theory involving the elimination of infinities is replaced by a precisely defined mathematical framework. More concretely, the included algebra creates states which are equivalent in the measurement resolution used. Zero energy state can be modified in a time scale shorter than the time scale of the zero energy state itself.

One can imagine two kinds of measurement resolutions. The element of the included algebra can leave the quantum numbers of the positive and negative energy parts of the state invariant, which means that the action of subalgebra leaves  $M$ -matrix invariant. The action of the included algebra can also modify the quantum numbers of the positive and negative energy parts of the state such that the zero energy property is respected. In this case the Hermitian operators subalgebra must commute with  $M$ -matrix.

The temporal distance between the tips of  $CD$  corresponds to the secondary p-adic time scale  $T_{p,2} = \sqrt{p}T_p$  by a simple argument based on the observation that light-like randomness of light-like 3-surface is analogous to Brownian motion. This gives the relationship  $T_p = L_p^2/Rc$ , where  $R$  is  $CP_2$  size. The action of the included algebra corresponds to an addition of zero energy parts to either positive or negative energy part of the state and is like addition of quantum fluctuation below the time scale of the measurement resolution. The natural hierarchy of time scales is obtained as  $T_n = 2^{-n}T$  since these insertions must belong to either upper or lower half of the causal diamond. This implies

that preferred p-adic primes are near powers of 2. For electron the time scale in question is .1 seconds defining the fundamental biorhythm of 10 Hz.

M-matrix representing a generalization of S-matrix and expressible as a product of a positive square root of the density matrix and unitary S-matrix would define the dynamics of quantum theory [31]. The notion of thermodynamical state would cease to be a theoretical fiction and in a well-defined sense quantum theory could be regarded as a square root of thermodynamics. Connes tensor product [47] provides a mathematical description of the finite measurement resolution but does not fix the M-matrix as was the original hope. The remaining challenge is the calculation of M-matrix and the progress induced by zero energy ontology during last years has led to rather concrete proposal for the construction of M-matrix.

It turns out however that the mathematical representation for the notion of finite resolution for angle measurement serves as a common demonitor for all basic approaches to quantum TGD: the Kähler geometry [78] of WCW identified as a union of infinite-dimensional symmetric spaces, inclusions of hyper finite factors as representation of finite measurement resolution, p-adicization program, the role of classical number fields [79, 71, 67], and infinite primes so that it is fair to say that all approaches to TGD which originally seemed almost independent, converge to a coherent mathematical structure.

### 3. How do p-adic coupling constant evolution and p-adic length scale hypothesis emerge?

Zero energy ontology in which zero energy states have as imbedding space correlates CD's for which the distance between the tips of future and past directed light-cones are power of 2 multiples of fundamental time scale ( $T_n = 2^n T_0$ ) implies in a natural manner coupling constant evolution. A weaker condition would be  $T_p = p T_0$ ,  $p$  prime, and would assign all p-adic time scales to the size scale hierarchy of CD's.

Could the coupling constant evolution in powers of 2 implying time scale hierarchy  $T_n = 2^n T_0$  (or  $T_p = p T_0$ ) induce p-adic coupling constant evolution and explain why p-adic length scales correspond to  $L_p \propto \sqrt{p} R$ ,  $p \simeq 2^k$ ,  $R$   $CP_2$  length scale? This looks attractive but there is a problem. p-Adic length scales come as powers of  $\sqrt{2}$  rather than 2 and the strongly favored values of  $k$  are primes and thus odd so that  $n = k/2$  would be half odd integer. This problem can be solved.

1. The observation that the distance traveled by a Brownian particle during time  $t$  satisfies  $r^2 = Dt$  suggests a solution to the problem. p-Adic thermodynamics applies because the partonic 3-surfaces  $X^2$  are as 2-D dynamical systems random apart from light-likeness of their orbit. For  $CP_2$  type vacuum extremals the situation reduces to that for a one-dimensional random light-like curve in  $M^4$ . The orbits of Brownian particle would now correspond to light-like geodesics  $\gamma_3$  at  $X^3$ . The projection of  $\gamma_3$  to a time=constant section  $X^2 \subset X^3$  would define the 2-D path  $\gamma_2$  of the Brownian particle. The  $M^4$  distance  $r$  between the end points of  $\gamma_2$  would be given  $r^2 = Dt$ . The favored values of  $t$  would correspond to  $T_n = 2^n T_0$  (the full light-like geodesic). p-Adic length scales would result as  $L^2(k) = DT(k) = D2^k T_0$  for  $D = R^2/T_0$ . Since only  $CP_2$  scale is available as a fundamental scale, one would have  $T_0 = R$  and  $D = R$  and  $L^2(k) = T(k)R$ .
2. p-Adic primes near powers of 2 would be in preferred position. p-Adic time scale would not relate to the p-adic length scale via  $T_p = L_p/c$  as assumed implicitly earlier but via  $T_p = L_p^2/R_0 = \sqrt{p} L_p$ , which corresponds to secondary p-adic length scale. For instance, in the case of electron with  $p = M_{127}$  one would have  $T_{127} = .1$  second which defines a fundamental biological rhythm. Neutrinos with mass around .1 eV would correspond to  $L(169) \simeq 5 \mu\text{m}$  (size of a small cell) and  $T(169) \simeq 1. \times 10^4$  years. A deep connection between elementary particle physics and biology becomes highly suggestive.
3. In the proposed picture the p-adic prime  $p \simeq 2^k$  would characterize the thermodynamics of the random motion of light-like geodesics of  $X^3$  so that p-adic prime  $p$  would indeed be an inherent property of  $X^3$ . For  $T_p = p T_0$  the above argument is not enough for p-adic length scale hypothesis and p-adic length scale hypothesis might be seen as an outcome of a process analogous to natural selection. Resonance like effect favoring octaves of a fundamental frequency might be in question. In this case,  $p$  would a property of  $CD$  and all light-like 3-surfaces inside it and also that corresponding sector of configuration space.

### 4. Mersenne primes and Gaussian Mersennes

The generalization of the imbedding space required by the postulated hierarchy of Planck constants [35] means a book like structure for which the pages are products of singular coverings or factor spaces of  $CD$  (causal diamond defined as intersection of future and past directed light-cones) and of  $CP_2$  [35]. This predicts that Planck constants are rationals and that a given value of Planck constant corresponds to an infinite number of different pages of the Big Book, which might be seen as a drawback. If only singular covering spaces are allowed the values of Planck constant are products of integers and given value of Planck constant corresponds to a finite number of pages given by the number of decompositions of the integer to two different integers. The definition of the book like structure assigns to a given  $CD$  preferred quantization axes and so that quantum measurement has direct correlate at the level of moduli space of  $CD$ s.

TGD inspired quantum biology and number theoretical considerations suggest preferred values for  $r = \hbar/\hbar_0$ . For the most general option the values of  $\hbar$  are products and ratios of two integers  $n_a$  and  $n_b$ . Ruler and compass integers defined by the products of distinct Fermat primes and power of two are number theoretically favored values for these integers because the phases  $\exp(i2\pi/n_i)$ ,  $i \in \{a, b\}$ , in this case are number theoretically very simple and should have emerged first in the number theoretical evolution via algebraic extensions of p-adics and of rationals. p-Adic length scale hypothesis favors powers of two as values of  $r$ .

One can however ask whether a more precise characterization of preferred Mersennes could exist and whether there could exist a stronger correlation between hierarchies of p-adic length scales and Planck constants. Mersenne primes  $M_k = 2^k - 1$ ,  $k \in \{89, 107, 127\}$ , and Gaussian Mersennes  $M_{G,k} = (1+i)k - 1$ ,  $k \in \{113, 151, 157, 163, 167, 239, 241, \dots\}$  are expected to be physically highly interesting and up to  $k = 127$  indeed correspond to elementary particles. The number theoretical miracle is that all the four p-adic length scales with  $k \in \{151, 157, 163, 167\}$  are in the biologically highly interesting range  $10 \text{ nm} - 2.5 \mu\text{m}$ . The question has been whether these define scaled up copies of electro-weak and QCD type physics with ordinary value of  $\hbar$ . The proposal that this is the case and that these physics are in a well-defined sense induced by the dark scaled up variants of corresponding lower level physics leads to a prediction for the preferred values of  $r = 2^{k_d}$ ,  $k_d = k_i - k_j$ .

What induction means is that dark variant of exotic nuclear physics induces exotic physics with ordinary value of Planck constant in the new scale in a resonant manner: dark gauge bosons transform to their ordinary variants with the same Compton length. This transformation is natural since in length scales below the Compton length the gauge bosons behave as massless and free particles. As a consequence, lighter variants of weak bosons emerge and QCD confinement scale becomes longer.

This proposal will be referred to as Mersenne hypothesis. It leads to strong predictions about EEG [37] since it predicts a spectrum of preferred Josephson frequencies for a given value of membrane potential and also assigns to a given value of  $\hbar$  a fixed size scale having interpretation as the size scale of the body part or magnetic body. Also a vision about evolution of life emerges. Mersenne hypothesis is especially interesting as far as new physics in condensed matter length scales is considered: this includes exotic scaled up variants of the ordinary nuclear physics and their dark variants. Even dark nucleons are possible and this gives justification for the model of dark nucleons predicting the counterparts of DNA, RNA, tRNA, and aminoacids as well as realization of vertebrate genetic code [36].

These exotic nuclear physics with ordinary value of Planck constant could correspond to ground states that are almost vacuum extremals corresponding to homologically trivial geodesic sphere of  $CP_2$  near criticality to a phase transition changing Planck constant. Ordinary nuclear physics would correspond to homologically non-trivial geodesic sphere and far from vacuum extremal property. For vacuum extremals of this kind classical  $Z^0$  field proportional to electromagnetic field is present and this modifies dramatically the view about cell membrane as Josephson junction. The model for cell membrane as almost vacuum extremal indeed led to a quantitative breakthrough in TGD inspired model of EEG and is therefore something to be taken seriously. The safest option concerning empirical facts is that the copies of electro-weak and color physics with ordinary value of Planck constant are possible only for almost vacuum extremals - that is at criticality against phase transition changing Planck constant.

### p-Adic physics and the notion of finite measurement resolution

Canonical identification mapping p-adic numbers to reals in a continuous manner plays a key role in some applications of TGD and together with the discretization necessary to define the p-adic variants

of integration and harmonic analysis suggests that p-adic topology identified as an effective topology could provide an elegant manner to characterize finite measurement resolution.

1. Finite measurement resolution can be characterized as an interval of minimum length. Below this length scale one cannot distinguish points from each other. A natural definition for this inability could be as an inability to well-order the points. The real topology is too strong in the modelling in kind of situation since it brings in large amount of processing of pseudo information whereas p-adic topology which lacks the notion of well-ordering could be more appropriate as effective topology and together with a binary cutoff could allow to get rid of the irrelevant information.
2. This suggest that canonical identification applies only inside the intervals defining finite measurement resolution in a given discretization of the space considered by say small cubes. The canonical identification is unique only modulo diffeomorphism applied on both real and p-adic side but this is not a problem since this would only reflect the absence of the well-ordering lost by finite measurement resolution. Also the fact that the map makes sense only at positive real axis would be natural if one accepts this identification.

This interpretation would suggest that there is an infinite hierarchy of measurement resolutions characterized by the value of the p-adic prime. This would mean quite interesting refinement of the notion of finite measurement resolution. At the level of quantum theory it could be interpreted as a maximization of p-adic entanglement negentropy as a function of the p-adic prime. Perhaps one might say that there is a unique p-adic effective topology allowing to maximize the information content of the theory relying on finite measurement resolution.

### p-Adic numbers and the analogy of TGD with spin-glass

The vacuum degeneracy of the Kähler action leads to a precise spin glass analogy at the level of the configuration space geometry and the generalization of the energy landscape concept to TGD context leads to the hypothesis about how p-adicity could be realized at the level of the configuration space. Also the concept of p-adic space-time surface emerges rather naturally.

#### 1. Spin glass briefly

The basic characteristic of the spin glass phase [82] is that the direction of the magnetization varies spatially, being constant inside a given spatial region, but does not depend on time. In the real context this usually leads to large surface energies on the surfaces at which the magnetization direction changes. Regions with different direction of magnetization clearly correspond non-vacuum regions separated by almost vacuum regions. Amusingly, if 3-space is effectively p-adic and if magnetization direction is p-adic pseudo constant, no surface energies are generated so that p-adics might be useful even in the context of the ordinary spin glasses.

Spin glass phase allows a great number of different ground states minimizing the free energy. For the ordinary spin glass, the partition function is the average over a probability distribution of the coupling constants for the partition function with Hamiltonian depending on the coupling constants. Free energy as a function of the coupling constants defines 'energy landscape' and the set of free energy minima can be endowed with an ultra-metric distance function using a standard construction [45].

#### 2. Vacuum degeneracy of Kähler action

The Kähler action defining configuration space geometry allows enormous vacuum degeneracy: any four-surface for which the induced Kähler form vanishes, is an extremal of the Kähler action. Induced Kähler form vanishes if the  $CP_2$  projection of the space-time surface is Lagrangian manifold [73] of  $CP_2$ : these manifolds are at most two-dimensional and any canonical transformation of  $CP_2$  creates a new Lagrangian sub-manifold [73]. An explicit representation for Lagrangian sub-manifolds is obtained using some canonical coordinates  $P_i, Q_i$  for  $CP_2$ : by assuming

$$P_i = \partial_i f(Q_1, Q_2) \quad , \quad i = 1, 2 \quad ,$$

where  $f$  arbitrary function of its arguments. One obtains a 2-dimensional sub-manifold of  $CP_2$  for which the induced Kähler form proportional to  $dP_i \wedge dQ^i$  vanishes. The roles of  $P_i$  and  $Q_i$  can

obviously be interchanged. A familiar example of Lagrange manifolds are  $p_i = \text{constant}$  surfaces of the ordinary  $(p_i, q_i)$  phase space.

Since vacuum degeneracy is removed only by the classical gravitational interaction there are good reasons to expect large ground state degeneracy, when the system corresponds to a small deformation of a vacuum extremal. This degeneracy is very much analogous to the ground state degeneracy of spin glass but is 4-dimensional.

### 3. Vacuum degeneracy of the Kähler action and physical spin glass analogy

Quite generally, the dynamical reason for the physical spin glass degeneracy is the fact that Kähler action has a huge vacuum degeneracy. Any 4-surface with  $CP_2$  projection, which is a Lagrangian submanifold (generically two-dimensional), is vacuum extremal. This implies that space-time decomposes into non-vacuum regions characterized by non-vanishing Kähler magnetic and electric fields such that the (presumably thin) regions between the non-vacuum regions are vacuum extremals. Therefore no surface energies are generated. Also the fact that various charges and momentum and energy can flow to larger space-time sheets via wormholes is an important factor making possible strong field gradients without introducing large surface energies. From a given preferred extremal of Kähler action one obtains a new one by adding arbitrary space-time surfaces which is vacuum extremal and deforming them.

The symplectic invariance of the Kähler action for vacuum extremals allows a further understanding of the vacuum degeneracy. The presence of the classical gravitational interaction spoils the canonical group  $Can(CP_2)$  as gauge symmetries of the action and transforms it to the isometry group of  $CH$ . As a consequence, the  $U(1)$  gauge degeneracy is transformed to a spin glass type degeneracy and several, perhaps even infinite number of maxima of Kähler function become possible. Given sheet has naturally as its boundary the 3-surfaces for which two maxima of the Kähler function coalesce or are created from single maximum by a cusp catastrophe [51]. In catastrophe regions there are several sheets and the value of the maximum Kähler function determines which give a measure for the importance of various sheets. The quantum jumps selecting one of these sheets can be regarded as phase transitions.

In TGD framework classical non-determinism forces to generalize the notion of the 3-surface by replacing it with a sequence of space like 3-surfaces having time like separations such that the sequence characterizes uniquely one branch of multifurcation. This characterization works when non-determinism has discrete nature. For  $CP_2$  type extremals which are bosonic vacua, basic objects are essentially four-dimensional since  $M_+^4$  projection of  $CP_2$  type extremal is random light like curve. This effective four-dimensionality of the basic objects makes it possible to topologize Feynman diagrams of quantum field theories by replacing the lines of Feynman diagrams with  $CP_2$  type extremals.

In TGD framework spin glass analogy holds true also in the time direction, which reflects the fact that the vacuum extremals are non-deterministic. For instance, by gluing vacuum extremals with a finite space-time extension (also in time direction!) to a non-vacuum extremal and deforming slightly, one obtains good candidates for the degenerate preferred extremals. This non-determinism is expected to make the preferred extremals of the Kähler action highly degenerate. The construction of S-matrix at the high energy limit suggests that since a localization selecting one degenerate maximum occurs, one must accept as a fact that each choice of the parameters corresponds to a particular S-matrix and one must average over these choices to get scattering rates. This averaging for scattering rates corresponds to the averaging over the thermodynamical partition functions for spin glass. A more general is that one allows final state wave functions to depend on the zero modes which affect S-matrix elements: in the limit that wave functions are completely localized, one ends up with the simpler scenario.

### 4. p-Adic non-determinism and spin glass analogy

One must carefully distinguish between cognitive and physical spin-glass analogy. Cognitive spin-glass analogy is due to the p-adic non-determinism. p-Adic pseudo constants induce a non-determinism which essentially means that p-adic extrema depend on the p-adic pseudo constants which depend on a finite number of positive binary digits of their arguments only. Thus p-adic extremals are glued from pieces for which the values of the integration constants are genuine constants. Obviously, an optimal cognitive representation is achieved if pseudo constants reduce to ordinary constants.

More precisely, any function

$$\begin{aligned} f(x) &= f(x_N) , \\ x_N &= \sum_{k \leq N} x_k p^k , \end{aligned} \tag{10.2.1}$$

which does not depend on the binary digits  $x_n$ ,  $n > N$  has a vanishing p-adic derivative and is thus a pseudo constant. These functions are piecewise constant below some length scale, which in principle can be arbitrary small but finite. The result means that the constants appearing in the solutions the p-adic field equations are constants functions only below some length scale. For instance, for linear differential equations integration constants are arbitrary pseudo constants. In particular, the p-adic counterparts of the preferred extremals are highly degenerate because of the presence of the pseudo constants. This in turn means a characteristic randomness of the spin glass also in the time direction since the surfaces at which the pseudo constants change their values do not give rise to infinite surface energy densities as they would do in the real context.

The basic character of cognition would be spin glass like nature making possible 'engineering' at the level of thoughts (planning) whereas classical non-determinism of the Kähler action would make possible 'engineering' at the level of the real world.

### Life as islands of rational/algebraic numbers in the seas of real and p-adic continua?

The possibility to define entropy differently for rational/algebraic entanglement and the fact that number theoretic entanglement entropy can be negative raises the question about which kind of systems can possess this kind of entanglement. I have considered several identifications but the most elegant interpretation is based on the idea that living matter resides in the intersection of real and p-adic worlds, somewhat like rational numbers live in the intersection of real and p-adic number fields.

The observation that Shannon entropy allows an infinite number of number theoretic variants for which the entropy can be negative in the case that probabilities are algebraic numbers leads to the idea that living matter in a well-defined sense corresponds to the intersection of real and p-adic worlds. This would mean that the mathematical expressions for the space-time surfaces (or at least 3-surfaces or partonic 2-surfaces and their 4-D tangent planes) make sense in both real and p-adic sense for some primes  $p$ . Same would apply to the expressions defining quantum states. In particular, entanglement probabilities would be rationals or algebraic numbers so that entanglement can be negentropic and the formation of bound states in the intersection of real and p-adic worlds generates information and is thus favored by NMP.

This picture has also a direct connection with consciousness.

1. Algebraic entanglement is a prerequisite for the realization of intentions as transformations of p-adic space-time sheets to real space-time sheets representing actions. Essentially a leakage between p-adic and real worlds is in question and makes sense only in zero energy ontology. since various quantum numbers in real and p-adic sectors are not in general comparable in positive energy ontology so that conservation laws would be broken. Algebraic entanglement could be also called cognitive. The transformation can occur if the partonic 2-surfaces and their 4-D tangent space-distributions are representable using rational functions with rational coefficients in preferred coordinates for the imbedding space dictated by symmetry considerations. Intentional systems must live in the intersection of real and p-adic worlds. For the minimal option life would be also effectively 2-dimensional phenomenon and essentially a boundary phenomenon as also number theoretical criticality suggests.
2. The generation of non-rational (non-algebraic) bound state entanglement between the system and external world means that the system loses consciousness during the state function reduction process following the  $U$ -process generating the entanglement. What happens that the Universe corresponding to given  $CD$  decomposes to two un-entangled subsystems, which in turn decompose, and the process continues until all subsystems have only entropic bound state entanglement or negentropic algebraic entanglement with the external world.
3. If the sub-system generates entropic bound state entanglement in the the process, it loses consciousness. Note that the entanglement entropy of the sub-system is a sum over entanglement



entropies over all subsystems involved. This hierarchy of subsystems corresponds to the hierarchy of sub-*CDs* so that the survival without a loss of consciousness depends on what happens at all levels below the highest level for a given self. In more concrete terms, ability to stay conscious depends on what happens at cellular level too. The stable evolution of systems having algebraic entanglement is expected to be a process proceeding from short to long length scales as the evolution of life indeed is.

4. *U*-process generates a superposition of states in which any sub-system can have both real and algebraic entanglement with the external world. This would suggest that the choice of the type of entanglement is a volitional selection. A possible interpretation is as a choice between good and evil. The hedonistic complete freedom resulting as the entanglement entropy is reduced to zero on one hand, and the algebraic bound state entanglement implying correlations with the external world and meaning giving up the maximal freedom on the other hand. The hedonistic option is risky since it can lead to non-algebraic bound state entanglement implying a loss of consciousness. The second option means expansion of consciousness - a fusion to the ocean of consciousness as described by spiritual practices.
5. This formulation means a sharpening of the earlier statement "Everything is conscious and consciousness can be only lost" with the additional statement "This happens when non-algebraic bound state entanglement is generated and the system does not remain in the intersection of real and p-adic worlds anymore". Clearly, the quantum criticality of TGD Universe seems has very many aspects and life as a critical phenomenon in the number theoretical sense is only one of them besides the criticality of the space-time dynamics and the criticality with respect to phase transitions changing the value of Planck constant and other more familiar criticalities. How closely these criticalities relate remains an open question.

A good guess is that algebraic entanglement is essential for quantum computation, which therefore might correspond to a conscious process. Hence cognition could be seen as a quantum computation like process, a more appropriate term being quantum problem solving. Living-dead dichotomy could correspond to rational-irrational or to algebraic-transcendental dichotomy: this at least when life is interpreted as intelligent life. Life would in a well defined sense correspond to islands of rationality/algebraicity in the seas of real and p-adic continua.

The view about the crucial role of rational and algebraic numbers as far as intelligent life is considered, could have been guessed on very general grounds from the analogy with the orbits of a dynamical system. Rational numbers allow a predictable periodic decimal/pinary expansion and are analogous to one-dimensional periodic orbits. Algebraic numbers are related to rationals by a finite number of algebraic operations and are intermediate between periodic and chaotic orbits allowing an interpretation as an element in an algebraic extension of any p-adic number field. The projections of the orbit to various coordinate directions of the algebraic extension represent now periodic orbits. The decimal/pinary expansions of transcendentals are un-predictable being analogous to chaotic orbits. The special role of rational and algebraic numbers was realized already by Pythagoras, and the fact that the ratios for the frequencies of the musical scale are rationals supports the special nature of rational and algebraic numbers. The special nature of the Golden Mean, which involves  $\sqrt{5}$ , conforms the view that algebraic numbers rather than only rationals are essential for life.

### **p-Adic physics as physics of cognition and intention**

The vision about p-adic physics as physics of cognition has gradually established itself as one of the key ideas of TGD inspired theory of consciousness. There are several motivations for this idea.

The strongest motivation is the vision about living matter as something residing in the intersection of real and p-adic worlds. One of the earliest motivations was p-adic non-determinism identified tentatively as a space-time correlate for the non-determinism of imagination. p-Adic non-determinism follows from the fact that functions with vanishing derivatives are piecewise constant functions in the p-adic context. More precisely, p-adic pseudo constants depend on the pinary cutoff of their arguments and replace integration constants in p-adic differential equations. In the case of field equations this means roughly that the initial data are replaced with initial data given for a discrete set of time values chosen in such a manner that unique solution of field equations results. Solution can be fixed also in a discrete subset of rational points of the imbedding space. Presumably the uniqueness requirement

implies some unique binary cutoff. Thus the space-time surfaces representing solutions of p-adic field equations are analogous to space-time surfaces consisting of pieces of solutions of the real field equations. p-Adic reality is much like the dream reality consisting of rational fragments glued together in illogical manner or pieces of child's drawing of body containing body parts in more or less chaotic order.

The obvious looking interpretation for the solutions of the p-adic field equations is as a geometric correlate of imagination. Plans, intentions, expectations, dreams, and cognition in general are expected to have p-adic space-time sheets as their geometric correlates. This in the sense that p-adic spacetime sheets somehow initiate the real neural processes providing symbolic counterparts for the cognitive representations provided by p-adic spacetime sheets and p-adic fermions. A deep principle seems to be involved: incompleteness is characteristic feature of p-adic physics but the flexibility made possible by this incompleteness is absolutely essential for imagination and cognitive consciousness in general.

p-Adic space-time regions can suffer topological phase transitions to real topology and vice versa in quantum jumps replacing space-time surface with a new one. This process has interpretation as a topological correlate for the mind-matter interaction in the sense of transformation of intention to action and symbolic representation to cognitive representation. p-Adic cognitive representations could provide the physical correlates for the notions of memes [89] and morphic fields [88]. p-Adic real entanglement makes possible cognitive measurements and cognitive quantum computation like processes, and provides correlates for the experiences of understanding and confusion.

At the level of brain the fundamental sensory-motor loop could be seen as a loop in which real-to-p-adic phase transition occurs at the sensory step and its reverse at the motor step. Nerve pulse patterns would correspond to temporal sequences of quark like sub-CDs of duration 1 millisecond inside electronic sub-CD of duration .1 s with the states of sub-CDs allowing interpretation as a bit (this would give rise to memetic code). The real space-time sheets assignable to these sub-CDs are transformed to p-adic ones as sensory input transforms to thought. Intention in transforms to action in the reverse process in motor action. One can speak about creation of matter from vacuum in these time scales.

Although p-adic space-time sheets as such are not conscious, p-adic physics would provide beautiful mathematical realization for the intuitions of Descartes. The formidable challenge is to develop experimental tests for p-adic physics. The basic problem is that we can perceive p-adic reality only as 'thoughts' unlike the 'real' reality which represents itself to us as sensory experiences. Thus it would seem that we should be able generalize the physics of sensory experiences to physics of cognitive experiences.

### 10.2.3 p-Adic numbers

#### Basic properties of p-adic numbers

p-Adic numbers ( $p$  is prime: 2,3,5,... ) can be regarded as a completion of the rational numbers using a norm, which is different from the ordinary norm of real numbers [18]. p-Adic numbers are representable as power expansion of the prime number  $p$  of form:

$$x = \sum_{k \geq k_0} x(k)p^k, \quad x(k) = 0, \dots, p-1 \quad (10.2.2)$$

The norm of a p-adic number is given by

$$|x| = p^{-k_0(x)} \quad (10.2.3)$$

Here  $k_0(x)$  is the lowest power in the expansion of the p-adic number. The norm differs drastically from the norm of the ordinary real numbers since it depends on the lowest binary digit of the p-adic number only. Arbitrarily high powers in the expansion are possible since the norm of the p-adic number is finite also for numbers, which are infinite with respect to the ordinary norm. A convenient representation for p-adic numbers is in the form

$$x = p^{k_0} \varepsilon(x) , \tag{10.2.4}$$

where  $\varepsilon(x) = k + \dots$  with  $0 < k < p$ , is p-adic number with unit norm and analogous to the phase factor  $\exp(i\phi)$  of a complex number.

The distance function  $d(x, y) = |x - y|_p$  defined by the p-adic norm possesses a very general property called ultra-metricity:

$$d(x, z) \leq \max\{d(x, y), d(y, z)\} . \tag{10.2.5}$$

The properties of the distance function make it possible to decompose  $R_p$  into a union of disjoint sets using the criterion that  $x$  and  $y$  belong to same class if the distance between  $x$  and  $y$  satisfies the condition

$$d(x, y) \leq D . \tag{10.2.6}$$

This division of the metric space into classes has following properties:

1. Distances between the members of two different classes  $X$  and  $Y$  do not depend on the choice of points  $x$  and  $y$  inside classes. One can therefore speak about distance function between classes.
2. Distances of points  $x$  and  $y$  inside single class are smaller than distances between different classes.
3. Classes form a hierarchical tree.

Notice that the concept of the ultra-metricity emerged in physics from the models for spin glasses and is believed to have also applications in biology [20]. The emergence of p-adic topology as the topology of the effective space-time would make ultra-metricity property basic feature of physics.

### Extensions of p-adic numbers

Algebraic democracy suggests that all possible real algebraic extensions of the p-adic numbers are possible. This conclusion is also suggested by various physical requirements, say the fact that the eigenvalues of a Hamiltonian representable as a rational or p-adic  $N \times N$ -matrix, being roots of N:th order polynomial equation, in general belong to an algebraic extension of rationals or p-adics. The dimension of the algebraic extension cannot be interpreted as physical dimension. Algebraic extensions are characteristic for cognitive physics and provide a new manner to code information. A possible interpretation for the algebraic dimension is as a dimension for a cognitive representation of space and might explain how it is possible to mathematically imagine spaces with all possible dimensions although physical space-time dimension is four. The idea of algebraic hologram and other ideas related to the physical interpretation of the algebraic extensions of p-adic numbers are discussed in [24].

It seems however that algebraic democracy must be extended to include also transcendentals in the sense that finite-dimensional extensions involving also transcendental numbers are possible: for instance, Neper number  $e$  defines a  $p$ -dimensional extension. It has become clear that these extensions fundamental for understanding how p-adic physics as physics of cognition is able to mimic real physics. The evolution of mathematical cognition can be seen as a process in which p-adic space-time sheets involving increasing value of p-adic prime  $p$  and increasing dimension of algebraic extension appear in quantum jumps.

#### 1. Recipe for constructing algebraic extensions

Real numbers allow only complex numbers as an algebraic extension. For p-adic numbers algebraic extensions of arbitrary dimension are possible [18]. The simplest manner to construct  $(n+1)$ -dimensional extensions is to consider irreducible polynomials  $P_n(t)$  in  $R_p$  assumed to have rational coefficients: irreducibility means that the polynomial does not possess roots in  $R_p$  so that one cannot decompose it into a product of lower order  $R_p$  valued

polynomials. This condition is equivalent with the condition with irreducibility in the finite field  $G(p, 1)$ , that is modulo  $p$  in  $R_p$ .

Denoting one of the roots of  $P_n(t)$  by  $\theta$  and defining  $\theta^0 = 1$  the general form of the extension is given by

$$Z = \sum_{k=0, \dots, n-1} x_k \theta^k . \quad (10.2.7)$$

Since  $\theta$  is root of the polynomial in  $R_p$  it follows that  $\theta^n$  is expressible as a sum of lower powers of  $\theta$  so that these numbers indeed form an  $n$ -dimensional linear space with respect to the  $p$ -adic topology.

Especially simple odd-dimensional extensions are cyclic extensions obtained by considering the roots of the polynomial

$$\begin{aligned} P_n(t) &= t^n + \epsilon d , \\ \epsilon &= \pm 1 . \end{aligned} \quad (10.2.8)$$

For  $n = 2m + 1$  and ( $n = 2m, \epsilon = +1$ ) the irreducibility of  $P_n(t)$  is guaranteed if  $d$  does not possess  $n$ :th root in  $R_p$ . For ( $n = 2m, \epsilon = -1$ ) one must assume that  $d^{1/2}$  does not exist  $p$ -adically. In this case  $\theta$  is one of the roots of the equation

$$t^n = \pm d , \quad (10.2.9)$$

where  $d$  is a  $p$ -adic integer with a finite number of binary digits. It is possible although not necessary to identify the roots as complex numbers. There exists  $n$  complex roots of  $d$  and  $\theta$  can be chosen to be one of the real or complex roots satisfying the condition  $\theta^n = \pm d$ . The roots can be written in the general form

$$\begin{aligned} \theta &= d^{1/n} \exp(i\phi(m)), \quad m = 0, 1, \dots, n-1 , \\ \phi(m) &= \frac{m2\pi}{n} \text{ or } \frac{m\pi}{n} . \end{aligned} \quad (10.2.10)$$

Here  $d^{1/n}$  denotes the real root of the equation  $\theta^n = d$ . Each of the phase factors  $\phi(m)$  gives rise to an algebraically equivalent extension: only the representation is different. Physically these extensions need not be equivalent since the identification of the algebraically extended  $p$ -adic numbers with the complex numbers plays a fundamental role in the applications. The cases  $\theta^n = \pm d$  are physically and mathematically quite different.

## 2. $p$ -Adic valued norm for numbers in algebraic extension

The  $p$ -adic valued norm of an algebraically extended  $p$ -adic number  $x$  can be defined as some power of the ordinary  $p$ -adic norm of the determinant of the linear map  $x : {}^e R_p^n \rightarrow {}^e R_p^n$  defined by the multiplication with  $x$ :  $y \rightarrow xy$

$$N(x) = \det(x)^\alpha , \quad \alpha > 0 . \quad (10.2.11)$$

Real valued norm can be defined as the  $p$ -adic norm of  $N(x)$ . The requirement that the norm is homogenous function of degree one in the components of the algebraically extended 2-adic number (like also the standard norm of  $R^n$ ) implies the condition  $\alpha = 1/n$ , where  $n$  is the dimension of the algebraic extension.

The canonical correspondence between the points of  $R_+$  and  $R_p$  generalizes in obvious manner: the point  $\sum_k x_k \theta^k$  of algebraic extension is identified as the point  $(x_R^0, x_R^1, \dots, x_R^k, \dots)$  of  $R^n$  using the binary expansions of the components of  $p$ -adic number. The  $p$ -adic linear structure of the algebraic extension induces a linear structure in  $R_+^n$  and  $p$ -adic multiplication induces a multiplication for the vectors of  $R_+^n$ .

3. Algebraic extension allowing square root of ordinary p-adic numbers

The existence of a square root of an ordinary p-adic number is a common theme in various applications of the p-adic numbers and for long time I erratically believed that only this extension is involved with p-adic physics. Despite this square root allowing extension is of central importance and deserves a more detailed discussion.

1. The p-adic generalization of the representation theory of the ordinary groups and Super Kac Moody and Super Virasoro algebras exists provided an extension of the p-adic numbers allowing square roots of the 'real' p-adic numbers is used. The reason is that the matrix elements of the raising and lowering operators in Lie-algebras as well as of oscillator operators typically involve square roots. The existence of square root might play a key role in various p-adic considerations.
2. The existence of a square root of a real p-adic number is also a necessary ingredient in the definition of the p-adic unitarity and probability concepts since the solution of the requirement that  $p_{mn} = S_{mn} \bar{S}_{mn}$  is ordinary p-adic number leads to expressions involving square roots.
3. p-Adic length scales hypothesis states that the p-adic length scale is proportional to the square root of p-adic prime.
4. Simple metric geometry of polygons involves square roots basically via the theorem of Pythagoras. p-Adic Riemannian geometry necessitates the existence of square root since the definition of the infinitesimal length  $ds$  involves square root. Note however that p-adic Riemannian geometry can be formulated as a mere differential geometry without any reference to global concepts like lengths, areas, or volumes.

The original belief that square root allowing extensions of p-adic numbers are exceptional seems to be wrong in light of TGD as a generalized number theory vision. All algebraic extensions of p-adic numbers are possible and the interpretation of algebraic dimension of the extension as a physical dimension is not the correct thing to do. Rather, the possibility of arbitrarily high algebraic dimension reflects the ability of mathematical cognition to imagine higher-dimensional spaces. Square root allowing extension of the p-adic numbers is the simplest one imaginable, and it is fascinating that it indeed is the dimension of space-time surface for  $p > 2$  and dimension of imbedding space for  $p = 2$ . Thus the square root allowing extensions deserve to be discussed.

The results can be summarized as follows.

1. In  $p > 2$  case the general form of extension is

$$Z = (x + \theta y) + \sqrt{p}(u + \theta v) , \tag{10.2.12}$$

where the condition  $\theta^2 = x$  for some p-adic number  $x$  not allowing square root as a p-adic number. For  $p \bmod 4 = 3$   $\theta$  can be taken to be imaginary unit. This extension is natural for p-adication of space-time surface so that space-time can be regarded as a number field locally. Imbedding space can be regarded as a cartesian product of two 4-dimensional extensions locally.

2. In  $p = 2$  case 8-dimensional extension is needed to define square roots. The extension is defined by adding  $\theta_1 = \sqrt{-1} \equiv i$ ,  $\theta_2 = \sqrt{2}$ ,  $\theta_3 = \sqrt{3}$  and the products of these so that the extension can be written in the form

$$Z = x_0 + \sum_k x_k \theta_k + \sum_{k < l} x_{kl} \theta_{kl} + x_{123} \theta_1 \theta_2 \theta_3 . \tag{10.2.13}$$

Clearly,  $p = 2$  case is exceptional as far as the construction of the conformal field theory limit is considered since the structure of the representations of Virasoro algebra and groups in general changes drastically in  $p = 2$  case. The result suggest that in  $p = 2$  limit space-time surface and  $H$  are in same relation as real numbers and complex numbers: space-time surfaces defined as the absolute minima of 2-adiced Kähler action are perhaps identifiable as surfaces for which the imaginary part of 2-adically analytic function in  $H$  vanishes.

The physically interesting feature of p-adic group representations is that if one doesn't use  $\sqrt{p}$  in the extension the number of allowed spins for representations of  $SU(2)$  is finite: only spins  $j < p$  are allowed. In  $p = 3$  case just the spins  $j \leq 2$  are possible. If 4-dimensional extension is used for  $p = 2$  rather than 8-dimensional then one gets the same restriction for allowed spins.

4. *Is e an exceptional transcendental?*

One can consider also the possibility of transcendent extensions of p-adic numbers and an open problem is whether the infinite-dimensional extensions involving powers of  $\pi$  and logarithms of primes make sense and whether they should be allowed. For instance, it is not clear whether the allowance of powers of  $\pi$  is consistent with the extensions based on roots of unity. This question is not academic since Feynman amplitudes in real context involve powers of  $\pi$  and algebraic universality forces the consider that also they p-adic variants might involve powers of  $\pi$ .

Neper number obviously defines the simplest transcendental extension isince only the powers  $e^k$ ,  $k = 1, \dots, p - 1$  of  $e$  are needed to define p-adic counterpart of  $e^x$  for  $x = n$  so that the extension is finite-dimensional. In the case of trigonometric functions deriving from  $e^{ix}$ , also  $e^i$  and its  $p - 1$  powers must belong to the extension.

An interesting question is whether  $e$  is a number theoretically exceptional transcendental or whether it could be easy to find also other transcendentals defining finite-dimensional extensions of p-adic numbers.

1. Consider functions  $f(x)$ , which are analytic functions with rational Taylor coefficients, when expanded around origin for  $x > 0$ . The values of  $f(n)$ ,  $n = 1, \dots, p - 1$  should belong to an extension, which should be finite-dimensional.
2. The expansion of these functions to Taylor series generalizes to the p-adic context if also the higher derivatives of  $f$  at  $x = n$  belong to the extension. This is achieved if the higher derivatives are expressible in terms of the lower derivatives using rational coefficients and rational functions or functions, which are defined at integer points (such as exponential and logarithm) by construction. A differential equation of some finite order involving only rational functions with rational coefficients must therefore be satisfied ( $e^x$  satisfying the differential equation  $df/dx = f$  is the optimal case in this sense). The higher derivatives could also reduce to rational functions at some step ( $\log(x)$  satisfying the differential equation  $df/dx = 1/x$ ).
3. The differential equation allows to develop  $f(x)$  in power series, say in origin

$$f(x) = \sum f_n \frac{x^n}{n!}$$

such that  $f_{n+m}$  is expressible as a rational function of the  $m$  lower derivatives and is therefore a rational number.

The series converges when the p-adic norm of  $x$  satisfies  $|x|_p \leq p^k$  for some  $k$ . For definiteness one can assume  $k = 1$ . For  $x = 1, \dots, p - 1$  the series does not converge in this case, and one can introduce an extension containing the values  $f(k)$  and hope that a finite-dimensional extension results.

Finite-dimensionality requires that the values are related to each other algebraically although they need not be algebraic numbers. This means symmetry. In the case of exponent function this relationship is exceptionally simple. The algebraic relationship reflects the fact that exponential map represents translation and exponent function is an eigen function of a translation operator. The necessary presence of symmetry might mean that the situation reduces always to either exponential action. Also the phase factors  $\exp(iq\pi)$  could be interpreted in terms of exponential symmetry. Hence the reason for the exceptional role of exponent function reduces to group theory.

Also other extensions than those defined by roots of  $e$  are possible. Any polynomial has  $n$  roots and for transcendental coefficients the roots define a finite-dimensional extension of rationals. It would seem that one could allow the coefficients of the polynomial to be functions in an extension of rationals by powers of a root of  $e$  and algebraic numbers so that one would obtain infinite hierarchy of transcendental extensions.

### p-Adic Numbers and finite fields

Finite fields (Galois fields) consists of finite number of elements and allow sum, multiplication and division. A convenient representation for the elements of a finite field is as the roots of the polynomial equation  $t^p - t = 0 \pmod p$ , where  $p$  is prime,  $m$  an arbitrary integer and  $t$  is element of a field of characteristic  $p$  ( $pt = 0$  for each  $t$ ). The number of elements in a finite field is  $p^m$ , that is power of prime number and the multiplicative group of a finite field is group of order  $p^m - 1$ .  $G(p, 1)$  is just cyclic group  $Z_p$  with respect to addition and  $G(p, m)$  is in rough sense  $m$ :th Cartesian power of  $G(p, 1)$ .

The elements of the finite field  $G(p, 1)$  can be identified as the p-adic numbers  $0, \dots, p - 1$  with p-adic arithmetics replaced with modulo  $p$  arithmetics. The finite fields  $G(p, m)$  can be obtained from  $m$ -dimensional algebraic extensions of the p-adic numbers by replacing the p-adic arithmetics with the modulo  $p$  arithmetics. In TGD context only the finite fields  $G(p > 2, 2)$ ,  $p \pmod 4 = 3$  and  $G(p = 2, 4)$  appear naturally. For  $p > 2$ ,  $p \pmod 4 = 3$  one has:  $x + iy + \sqrt{p}(u + iv) \rightarrow x_0 + iy_0 \in G(p, 2)$ .

An interesting observation is that the unitary representations of the p-adic scalings  $x \rightarrow p^k x$   $k \in Z$  lead naturally to finite field structures. These representations reduce to representations of a finite cyclic group  $Z_m$  if  $x \rightarrow p^m x$  acts trivially on representation functions for some value of  $m$ ,  $m = 1, 2, \dots$ . Representation functions, or equivalently the scaling momenta  $k = 0, 1, \dots, m - 1$  labelling them, have a structure of cyclic group. If  $m \neq p$  is prime the scaling momenta form finite field  $G(m, 1) = Z_m$  with respect to the summation and multiplication modulo  $m$ . Also the p-adic counterparts of the ordinary plane waves carrying p-adic momenta  $k = 0, 1, \dots, p - 1$  can be given the structure of Finite Field  $G(p, 1)$ : one can also define complexified plane waves as square roots of the real p-adic plane waves to obtain Finite Field  $G(p, 2)$ .

### 10.2.4 What is the correspondence between p-adic and real numbers?

There must be some kind of correspondence between reals and p-adic numbers. This correspondence can depend on context. In p-adic mass calculations one must map p-adic mass squared values to real numbers in a continuous manner and canonical identification  $x = \sum x_n p^n \rightarrow Id(x) = \sum x_n p^{-n}$  is a natural first guess. Also p-adic probabilities could be mapped to their real counterparts by a suitable normalization. One can wonder whether p-adic valued S-matrices have any physical meaning and whether they could be obtained as algebraic continuation from a number theoretically universal S-matrix whose matrix elements are algebraic numbers allowing an interpretation as real or p-adic numbers in suitable algebraic extension: this would pose extremely strong constraints on S-matrix. If one wants to introduce p-adic physics at space-time level one must be able to relate p-adic and real space-time regions to each other and the identification along common rational points of real and various p-adic variants of the imbedding space suggests itself here.

#### Generalization of the number concept

The recent view about the unification of real and p-adic physics is based on the generalization of number concept obtained by fusing together real and p-adic number fields along common rationals.

##### 1. Rational numbers as numbers common to all number fields

The unification of real physics of material work and p-adic physics of cognition and intentionality leads to the generalization of the notion of number field. Reals and various p-adic number fields are glued along their common rationals (and common algebraic numbers appearing in the extension of p-adic numbers too) to form a fractal book like structure. Allowing all possible finite-dimensional algebraic and perhaps even transcendental extensions of p-adic numbers adds additional pages to this "Big Book".

This leads to a generalization of the notion of manifold as a collection of a real manifold and its p-adic variants glued together along common points. The outcome of experimentation is that this generalization makes sense under very high symmetries and that it is safest to lean strongly on the physical picture provided by quantum TGD.

1. The most natural guess is that the coordinates of common points are rational or in some algebraic extension of rational numbers. General coordinate invariance and preservation of symmetries require preferred coordinates existing when the manifold has maximal number of isometries.

This approach is especially natural in the case of linear spaces- in particular Minkowski space  $M^4$ . The natural coordinates are in this case linear Minkowski coordinates. The choice of coordinates is not completely unique and has interpretation as a geometric correlate for the choice of quantization axes for a given  $CD$ .

2. As will be found, the need to have a well-defined integration based on Fourier analysis (or its generalization to harmonic analysis [77] in symmetric spaces) poses very strong constraints and allows p-adicization only if the space has maximal symmetries. Fourier analysis requires the introduction of an algebraic extension of p-adic numbers containing sufficiently many roots of unity.
  - (a) This approach is especially natural in the case of compact symmetric spaces such as  $CP_2$  [52].
  - (b) Also symmetric spaces such the 3-D proper time  $a = \text{constant}$  hyperboloid of  $M^4$ -call it  $H(a)$  -allowing Lorentz group as isometries allows a p-adic variant utilizing the hyperbolic counterparts for the roots of unity.  $M^4 \times H(a = 2^n a_0)$  appears as a part of the moduli space of  $CD$ s.
  - (c) For light-cone boundaries associated with  $CD$ s  $SO(3)$  invariant radial coordinate  $r_M$  defining the radius of sphere  $S^2$  defines the hyperbolic coordinate and angle coordinates of  $S^2$  would correspond to phase angles and  $M^4_{\pm}$  projections for the common points of real and p-adic variants of partonic 2-surfaces would be this kind of points. Same applies to  $CP_2$  projections. In the "intersection of real and p-adic worlds" real and p-adic partonic 2-surfaces would obey same algebraic equations and would be obtained by an algebraic continuation from the corresponding equations making sense in the discrete variant of  $M^4_{\pm} \times CP_2$ . This connection with discrete sub-manifolds geometries means very powerful constraints on the partonic 2-surfaces in the intersection.
3. The common algebraic points of real and p-adic variant of the manifold form a discrete space but one could identify the p-adic counterpart of the real discretization intervals  $(0, 2\pi/N)$  for angle like variables as p-adic numbers of norm smaller than 1 using canonical identification or some variant of it. Same applies to the the hyperbolic counterpart of this interval. The non-uniqueness of this map could be interpreted in terms of a finite measurement resolution. In particular, the condition that WCW allows Kähler geometry requires a decomposition to a union of symmetric spaces so that there are good hopes that p-adic counterpart is analogous to that assigned to  $CP_2$ .

## 2. How large p-adic space-time sheets can be?

Space-time region having finite size in the real sense can have arbitrarily large size in p-adic sense and vice versa. This raises a rather thought provoking questions. Could the p-adic space-time sheets have cosmological or even infinite size with respect to the real metric but have be p-adically finite? How large space-time surface is responsible for the p-adic representation of my body? Could the large or even infinite size of the cognitive space-time sheets explain why creatures of a finite physical size can invent the notion of infinity and construct cosmological theories? Could it be that pinary cutoff  $O(p^n)$  defining the resolution of a p-adic cognitive representation would define the size of the space-time region needed to realize the cognitive representation?

In fact, the mere requirement that the neighborhood of a point of the p-adic space-time sheet contains points, which are p-adically infinitesimally near to it can mean that points infinitely distant from this point in the real sense are involved. A good example is provided by an integer valued point  $x = n < p$  and the point  $y = x + p^m$ ,  $m > 0$ : the p-adic distance of these points is  $p^{-m}$  whereas at the limit  $m \rightarrow \infty$  the real distance goes as  $p^m$  and becomes infinite for infinitesimally near points. The points  $n + y$ ,  $y = \sum_{k>0} x_k p^k$ ,  $0 < n < p$ , form a p-adically continuous set around  $x = n$ . In the real topology this point set is discrete set with a minimum distance  $\Delta x = p$  between neighboring points whereas in the p-adic topology every point has arbitrary nearby points. There are also rationals, which are arbitrarily near to each other both p-adically and in the real sense. Consider points  $x = m/n$ ,  $m$  and  $n$  not divisible by  $p$ , and  $y = (m/n) \times (1 + p^k r)/(1 + p^k s)$ ,  $s = r + 1$  such that neither  $r$  or  $s$  is divisible by  $p$  and  $k \gg 1$  and  $r \gg p$ . The p-adic and real distances are  $|x - y|_p = p^{-k}$



and  $|x - y| \simeq (m/n)/(r + 1)$  respectively. By choosing  $k$  and  $r$  large enough the points can be made arbitrarily close to each other both in the real and p-adic senses.

The idea about astrophysical size of the p-adic cognitive space-time sheets providing representation of body and brain is consistent with TGD inspired theory of consciousness, which forces to take very seriously the idea that even human consciousness involves astrophysical length scales.

3. *Generalizing complex analysis by replacing complex numbers by generalized numbers*

One general idea which results as an outcome of the generalized notion of number is the idea of a universal function continuable from a function mapping rationals to rationals or to a finite extension of rationals to a function in any number field. This algebraic continuation is analogous to the analytical continuation of a real analytic function to the complex plane. Rational functions for which polynomials have rational coefficients are obviously functions satisfying this constraint. Algebraic functions for which polynomials have rational coefficients satisfy this requirement if appropriate finite-dimensional algebraic extensions of p-adic numbers are allowed.

For instance, one can ask whether residue calculus might be generalized so that the value of an integral along the real axis could be calculated by continuing it instead of the complex plane to any number field via its values in the subset of rational numbers forming the back of the book like structure (in very metaphorical sense) having number fields as its pages. If the poles of the continued function in the finitely extended number field allow interpretation as real numbers it might be possible to generalize the residue formula. One can also imagine of extending residue calculus to any algebraic extension. An interesting situation arises when the poles correspond to extended p-adic rationals common to different pages of the "Big Book". Could this mean that the integral could be calculated at any page having the pole common. In particular, could a p-adic residue integral be calculated in the ordinary complex plane by utilizing the fact that in this case numerical approach makes sense. Contrary to the first expectations the algebraically continued residue calculus does not seem to have obvious applications in quantum TGD.

**Canonical identification**

Canonical There exists a natural continuous map  $Id : R_p \rightarrow R_+$  from p-adic numbers to non-negative real numbers given by the "binary" expansion of the real number for  $x \in R$  and  $y \in R_p$  this correspondence reads

$$\begin{aligned}
 y &= \sum_{k > N} y_k p^k \rightarrow x = \sum_{k < N} y_k p^{-k} , \\
 y_k &\in \{0, 1, \dots, p - 1\} .
 \end{aligned}
 \tag{10.2.14}$$

This map is continuous as one easily finds out. There is however a little difficulty associated with the definition of the inverse map since the binary expansion like also desimal expansion is not unique ( $1 = 0.999\dots$ ) for the real numbers  $x$ , which allow binary expansion with finite number of binary digits

$$\begin{aligned}
 x &= \sum_{k=N_0}^N x_k p^{-k} , \\
 x &= \sum_{k=N_0}^{N-1} x_k p^{-k} + (x_N - 1)p^{-N} + (p - 1)p^{-N-1} \sum_{k=0, \dots} p^{-k} .
 \end{aligned}
 \tag{10.2.15}$$

The p-adic images associated with these expansions are different

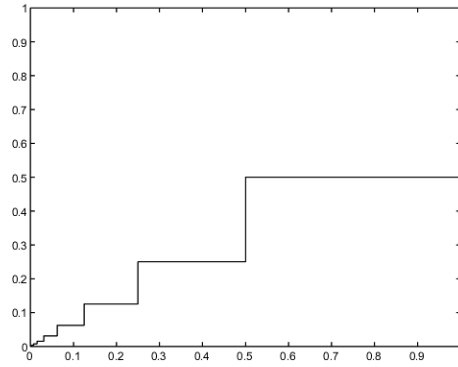


Figure 10.1: The real norm induced by canonical identification from 2-adic norm.

$$\begin{aligned}
 y_1 &= \sum_{k=N_0}^N x_k p^k, \\
 y_2 &= \sum_{k=N_0}^{N-1} x_k p^k + (x_N - 1)p^N + (p-1)p^{N+1} \sum_{k=0, \dots} p^k \\
 &= y_1 + (x_N - 1)p^N - p^{N+1}, \tag{10.2.16}
 \end{aligned}$$

so that the inverse map is either two-valued for p-adic numbers having expansion with finite number of pinary digits or single valued and discontinuous and non-surjective if one makes pinary expansion unique by choosing the one with finite number of pinary digits. The finite number of pinary digits expansion is a natural choice since in the numerical work one always must use a pinary cutoff on the real axis.

1. *Canonical identification is a continuous map of non-negative reals to p-adics*

The topology induced by the inverse of the canonical identification map in the set of positive real numbers differs from the ordinary topology. The difference is easily understood by interpreting the p-adic norm as a norm in the set of the real numbers. The norm is constant in each interval  $[p^k, p^{k+1})$  (see Fig. A-4.2) and is equal to the usual real norm at the points  $x = p^k$ : the usual linear norm is replaced with a piecewise constant norm. This means that p-adic topology is coarser than the usual real topology and the higher the value of  $p$  is, the coarser the resulting topology is above a given length scale. This hierarchical ordering of the p-adic topologies will be a central feature as far as the proposed applications of the p-adic numbers are considered.

Ordinary continuity implies p-adic continuity since the norm induced from the p-adic topology is rougher than the ordinary norm. This allows two alternative interpretations. Either p-adic image of a physical systems provides a good representation of the system above some pinary cutoff or the physical system can be genuinely p-adic below certain length scale  $L_p$  and become in good approximation real, when a length scale resolution  $L_p$  is used in its description. The first interpretation is correct if canonical identification is interpreted as a cognitive map. p-Adic continuity implies ordinary continuity from right as is clear already from the properties of the p-adic norm (the graph of the norm is indeed continuous from right). This feature is one clear signature of the p-adic topology.

If one considers seriously the application of canonical identification to basic quantum TGD one cannot avoid the question about the p-adic counterparts of the negative real numbers. There is no satisfactory manner to circumvent the fact that canonical images of p-adic numbers are naturally non-negative. This is not a problem if canonical identification applies only to the coordinate interval  $(0, 2\pi/N)$  or its hyperbolic variant defining the finite measurement resolution. That p-adicization program works only for highly symmetric spaces is not a problem from the point of view of TGD.

2. *Canonical identification maps the predictions of the p-adic probability calculus and statistical physics to real numbers*

p-Adic mass calculations based on p-adic thermodynamics were the first and rather successful application of the p-adic physics (see the four chapters in [4]. The essential element of the approach was the replacement of the Boltzmann weight  $e^{-E/T}$  with its p-adic generalization  $p^{L_0/T_p}$ , where  $L_0$  is the Virasoro generator corresponding to scaling and representing essentially mass squared operator instead of energy.  $T_p$  is inverse integer valued p-adic temperature. The predicted mass squared averages were mapped to real numbers by canonical identification.

One could also construct a real variant of this approach by considering instead of the ordinary Boltzmann weights the weights  $p^{-L_0/T_p}$ . The quantization of temperature to  $T_p = \log(p)/n$  would be a completely ad hoc assumption. In the case of real thermodynamics all particles are predicted to be light whereas in case of p-adic thermodynamics particle is light only if the ratio for the degeneracy of the lowest massive state to the degeneracy of the ground state is integer. Immense number of particles disappear from the spectrum of light particles by this criterion. For light particles the predictions are same as of p-adic thermodynamics in the lowest non-trivial order but in the next order deviations are possible.

Also p-adic probabilities and the p-adic entropy can be mapped to real numbers by canonical identification. The general idea is that a faithful enough cognitive representation of the real physics can by the number theoretical constraints involved make predictions, which would be extremely difficult to deduce from real physics.

3. *The variant of canonical identification commuting with division of integers*

The basic problems of canonical identification is that it does not respect unitarity. For this reason it is not well suited for relating p-adic and real scattering amplitudes. The problem of the correspondence via direct rationals or roots of unity is that it does not respect continuity. The restriction of S-matrix to a discrete intersection of real and p-adic worlds is one manner to solve this difficulty.

One can also consider alternative approach to achieve a compromise between algebra and topology achieved by using a modification of canonical identification  $I_{R_p \rightarrow R}$  defined as  $I_1(r/s) = I(r)/I(s)$ . If the conditions  $r \ll p$  and  $s \ll p$  hold true, the map respects algebraic operations and also unitarity and various symmetries. It seems that this option must be used to relate p-adic transition amplitudes to real ones and vice versa [26]. In particular, real and p-adic coupling constants are related by this map. Also some problems related to p-adic mass calculations find a nice resolution when  $I_1$  is used.

This variant of canonical identification is not equivalent with the original one using the infinite expansion of  $q$  in powers of  $p$  since canonical identification does not commute with product and division. The variant is however unique in the recent context when  $r$  and  $s$  in  $q = r/s$  have no common factors. For integers  $n < p$  it reduces to direct correspondence.

Generalized numbers would be regarded in this picture as a generalized manifold obtained by gluing different number fields together along rationals. Instead of a direct identification of real and p-adic rationals, the p-adic rationals in  $R_p$  are mapped to real rationals (or vice versa) using a variant of the canonical identification  $I_{R \rightarrow R_p}$  in which the expansion of rational number  $q = r/s = \sum r_n p^n / \sum s_n p^n$  is replaced with the rational number  $q_1 = r_1/s_1 = \sum r_n p^{-n} / \sum s_n p^{-n}$  interpreted as a p-adic number:

$$q = \frac{r}{s} = \frac{\sum_n r_n p^n}{\sum_m s_m p^m} \rightarrow q_1 = \frac{\sum_n r_n p^{-n}}{\sum_m s_m p^{-m}} . \quad (10.2.17)$$

$R_{p_1}$  and  $R_{p_2}$  are glued together along common rationals by an the composite map  $I_{R \rightarrow R_{p_2}} I_{R_{p_1} \rightarrow R}$ .

This variant of canonical identification seems to be an excellent candidate for mapping the predictions of p-adic mass calculations to real numbers and also for relating p-adic and real scattering amplitudes to each other [26]. The deviations of predictions from those for standard form of canonical identification are however small.

The cautious conclusion of this section is that symmetric space approach involving both the identification along common rationals of roots of unity in large and canonical identification below the measurement resolution provide the safest approach to the p-adicization of quantum TGD. The impossibility to well-order the points below measurement resolution explains why effective p-adic topology works in real context. The discussion of integration and Fourier analysis will provide further support for the conclusion.

### 10.2.5 p-Adic variants of the basic mathematical structures relevant to physics

The basic existential questions worrying a person planning to become a p-adic quantum physicist are rather obvious. How to define p-adic probabilities, p-adic thermodynamics, and p-adic unitarity and perhaps even p-adic Hilbert space? Is it possible to define the p-adic variant of the manifold concept? As already noticed for symmetric spaces p-adic variants might exist but what about space-time surfaces: could it be enough to consider only the p-adic variants of the partonic 2-surfaces in the manner already discussed? Can one somehow circumvent the difficulties related to the definition of the p-adic variant of definite integral? Perhaps by using Fourier analysis? How can one circumvent the fact that the basic variational principle involves integral over space-time surface which is p-adically notoriously difficult to define? Is all this just a waste of time or could it be that the enormous constraints from p-adicization could provide information about real physics not achievable otherwise (as in the case of p-adic mass calculations)?

#### p-Adic probabilities

p-Adic super conformal representations necessitate p-adic QM based on the p-adic unitarity and p-adic probability concepts. The concept of a p-adic probability indeed makes sense as shown by [75]. p-Adic probabilities can be defined as relative frequencies  $N_i/N$  in a long series consisting of total number  $N$  of observations and  $N_i$  outcomes of type  $i$ . Probability conservation corresponds to

$$\sum_i N_i = N, \quad (10.2.18)$$

and the only difference as compared to the usual probability is that the frequencies are interpreted as p-adic numbers.

The interpretation as p-adic numbers means that the relative frequencies converge to probabilities in a p-adic rather than real sense in the limit of a large number  $N$  of observations. If one requires that probabilities are limiting values of the frequency ratios in p-adic sense one must pose restrictions on the possible numbers of the observations  $N$  if  $N$  is larger than  $p$ . For  $N$  smaller than  $p$ , the situation is similar to the real case. This means that for  $p = M_{127} \simeq 10^{38}$ , appropriate for the particle physics experiments, p-adic probability differs in no observable manner from the ordinary probability.

If the number of observations is larger than  $p$ , the situation changes. If  $N_1$  and  $N_2$  are two numbers of observations they are near to each other in the p-adic sense if they differ by a large power of  $p$ . A possible interpretation of this restriction is that the observer at the  $p$ :th level of the condensate cannot choose the number of the observations freely. The restrictions to this freedom come from the requirement that the sensible statistical questions in a p-adically conformally invariant world must respect p-adic conformal invariance [83].

The most important application of the p-adic probability is the description of the particle massivation based on p-adic thermodynamics. Instead of energy, Virasoro generator  $l$  is thermalized and in the low temperature phase temperature is quantized in the sense that the counterpart of the Boltzmann weight  $\exp(H/T)$  is  $p^{L_0/T}$ , where  $T = 1/n$  from the requirement that Boltzmann weight exists ( $L_0$  has integer spectrum). The surprising success of the mass calculations shows that p-adic probability theory is much more than a formal possibility.

In particle physics context coupling constant evolution is replaced with a discrete p-adic coupling constant evolution and the renormalization is related to the the change of the reduction of the p-adic length scale  $L_p$  in the length scale hierarchy rather than p-adic fractality for a fixed value of  $p$ . In zero energy ontology the evolution corresponds to the hierarchy of  $CD$ s with scales coming as powers of 2 in accordance with p-adic length scale hypothesis.

#### 1. p-Adic probabilities and p-adic fractals

p-Adic probabilities are natural in the statistical description of the fractal structures, which can contain same structural detail with all possible sizes.

1. The concept of a structural detail in a fractal seems to be reasonably well defined concept. The structural detail is clearly fixed by its topology and p-adic conformal invariants associated with

it. Clearly, a finite resolution defined by some power of  $p$  of the p-adic cutoff scale must be present in the definition. For example, p-adic angles are conformal invariants in the p-adic case, too. The overall size of the detail doesn't matter. Let us therefore assume that it is possible to make a list, possibly infinite, of the structural details appearing in the p-adic fractal.

2. What kind of questions related to the structural details of the p-adic fractal one can ask? The first thing one can ask is how many times  $i$ :th structural detail appears in a finite region of the fractal structure: although this number is infinite as a real number it might possess (and probably does so!) finite norm as a p-adic number and provides a useful p-adic invariant of the fractal. If a complete list about the structural details of the fractal is at use one can calculate also the total number of structural details defined as  $N = \sum_i N_i$ . This means that one can also define p-adic probability for the appearance of  $i$ :th structural detail as a relative frequency  $p_i = N_i/N$ .
3. One can consider conditional probabilities, too. It is natural to ask what is the probability for the occurrence of the structural detail subject to the condition that part of the structural detail is fixed (apart from the p-adic conformal transformations). In order to evaluate these probabilities as relative frequencies one needs to look only for those structural details containing the substructure in question.
4. The evaluation of the p-adic probabilities of occurrence can be done by evaluating the required numbers  $N_i$  and  $N$  in a given resolution. A better estimate is obtained by increasing the resolution and counting the numbers of the hitherto unobserved structural details. The increase in the resolution greatly increases the number of the observations in case of p-adic fractal and the fluctuations in the values of  $N_i$  and  $N$  increase with the resolution so that  $N_i/N$  has no well defined limit as a real number although one can define the probabilities of occurrence as a resolution dependent concept. In the p-adic sense the increase in the values of  $N_i$  and fluctuations are small and the procedure should converge rapidly so that reliable estimates should result with quite a reasonable resolution. Notice that the increase of the fluctuations in the real sense, when resolution is increased is in accordance with the criticality of the system.
5. p-Adic frequencies and probabilities define via the canonical correspondence real valued invariants of the fractal structure.

p-Adic fractality in this sense could have practical applications only for small values of  $p$ . They could be important in the macroscopic length scales if the hierarchy of Planck constants meaning scaling up  $L_p \rightarrow \sqrt{r}L_p$ ,  $r = \hbar/\hbar_0$ , of the p-adic length scales. In elementary particle physics  $L_p$  is of the order of the Compton length associated with the particle for  $r = 1$  and already in the first downward step  $CP_2$  length scale  $R$  is achieved whereas upward step gives astrophysical length scale in the case of electron ( $p = M_{127} = 2^{127} - 1$ ) for instance. For large enough values of Planck constant and for small p-adic primes  $p$  the situation changes.

### 2. Relationship between p-adic and real probabilities

There are uniqueness problems related to the mapping of p-adic probabilities to real ones. These problems find a nice resolution from the requirement that the map respects probability conservation. The implied modification of the original mapping does not change measurably the predictions for the masses of light particles.

*a) How unique the map of p-adic probabilities and mass squared values are mapped to real numbers is?*

The mapping of p-adic thermodynamical probabilities and mass squared values to real numbers is not completely unique.

1. The canonical identification  $Id : \sum x_n p^n \rightarrow \sum x_n p^{-n}$  takes care of this mapping but does not respect the sum of probabilities so that the real images  $I(p_n)$  of the probabilities must be normalized. This is a somewhat alarming feature.
2. The modification of the canonical identification mapping rationals by the formula  $I(r/s) = I(r)/I(s)$  has appeared naturally in various applications, in particular because it respects unitarity of unitary matrices with rational elements with  $r < p, s < p$ . In the case of p-adic

thermodynamic the formula  $I(g(n)p^n/Z) \rightarrow I(g(n)p^n)/I(Z)$  would be very natural although  $Z$  need not be rational anymore. For  $g(n) < p$  the real counterparts of the p-adic probabilities would sum up to one automatically for this option. One cannot deny that this option is more convincing than the original one. The generalization of this formula to map p-adic mass squared to a real one is obvious.

3. Options 1) and 2) differ dramatically when the  $n = 0$  massless ground state has ground state degeneracy  $D > 1$ . For option 1) the real mass is predicted to be of order  $CP_2$  mass whereas for option 2) it would be by a factor  $1/D$  smaller than the minimum mass predicted by the option 1). Thus option 2) would predict a large number of additional exotic states. For those states which are light for option 1), the two options make identical predictions as far as the significant two lowest order terms are considered. Hence this interpretation would not change the predictions of the p-adic mass calculations in this respect. Option 2) is definitely more in accord with the real physics based intuitions and the main role of p-adic thermodynamics would be to guarantee the quantization of the temperature and fix practically uniquely the spectrum of the "Hamiltonian".

*b) Under what conditions the mapping of p-adic ensemble probabilities to real probabilities respects probability conservation?*

One can consider also a more general situation. Assume that one has an ensemble consisting of independent elementary events such that the number of events of type  $i$  is  $N_i$ . The probabilities are given by  $p_i = N_i/N$  and  $N = \sum N_i$  is the total number of elementary events. Even in the case that  $N$  is infinite as a real number it is natural to map the p-adic probabilities to their real counterparts using the rational canonical identification  $I(p_i) = I(N_i)/I(N)$ . Of course,  $N_i$  and  $N$  exist as well defined p-adic numbers under very stringent conditions only.

The question is under what conditions this map respects probability conservation. The answer becomes obvious by looking at the binary expansions of  $N_i$  and  $N$ . If the integers  $N_i$  (possibly infinite as real integers) have binary expansions having no common binary digits, the sum of probabilities is conserved in the map. Note that this condition can assign also to a finite ensemble with finite number of a unique value of  $p$ .

This means that the selection of a basis for independent events corresponds to a decomposition of the set of integers labelling binary digits to disjoint sets and brings in mind the selection of orthonormalized basis of quantum states in quantum theory. What is physically highly non-trivial that this "orthogonalization" alone puts strong constraints on probabilities of the allowed elementary events. One can say that the probabilities define distributions of binary digits analogous to non-negative probability amplitudes in the space of integers labelling binary digits, and the probabilities of independent events must be orthogonal with respect to the inner product defined by point-wise multiplication in the space of binary digits.

p-Adic thermodynamics for which Boltzman weights  $g(E)exp(-E/T)$  are replaced by  $g(E)p^{E/T}$  such that one has  $g(E) < p$  and  $E/T$  is integer valued, satisfies this constraint. The quantization of  $E/T$  to integer values implies quantization of both  $T$  and "energy" spectrum and forces so called super conformal invariance [83, 70] in TGD applications, which is indeed a basic symmetry of the theory.

There are infinitely many ways to choose the elementary events and each choice corresponds to a decomposition of the infinite set of integers  $n$  labelling the powers of  $p$  to disjoint subsets. These subsets can be also infinite. One can assign to this kind of decomposition a resolution which is the poorer the larger the subsets involved are. p-Adic thermodynamics would represent the situation in which the resolution is maximal since each set contains only single binary digit. Note the analogy with the basis of completely localized wave functions in a lattice.

*c) How to map p-adic transition probabilities to real ones?*

p-Adic variants of TGD, if they exist, give rise to S-matrices and transition probabilities  $P_{ij}$ , which are p-adic numbers.

1. The p-adic probabilities defined by rows of S-matrix mapped to real numbers using canonical identification respecting the  $q = r/s$  decomposition of rational number or its appropriate generalization should define real probabilities.

2. The simplest example would be simple renormalization for the real counterparts of the p-adic probabilities  $(P_{ij})_R$  obtained by canonical identification (or more probably its appropriate modification).

$$\begin{aligned}
 P_{ij} &= \sum_{k \geq 0} P_{ij}^k p^k , \\
 P_{ij} &\rightarrow \sum_{k \geq 0} P_{ij}^k p^{-k} \equiv (P_{ij})_R , \\
 (P_{ij})_R &\rightarrow \frac{(P_{ij})_R}{\sum_j (P_{ij})_R} \equiv P_{ij}^R .
 \end{aligned}
 \tag{10.2.19}$$

The procedure converges rapidly in powers of  $p$  and resembles renormalization procedure of quantum field theories. The procedure automatically divides away one four-momentum delta function from the square of S-matrix element containing the square of delta function with no well defined mathematical meaning. Usually one gets rid of the delta function interpreting it as the inverse of the four-dimensional measurement volume so that transition rate instead of transition probability is obtained. Of course, also now same procedure should work either as a discrete or a continuous version.

3. Probability interpretation would suggest that the real counterparts of p-adic probabilities sum up to unity. This condition is rather strong since it would hold separately for each row and column of the S-matrix.
4. A further condition would be that the real counterparts of the p-adic probabilities for a given prime  $p$  are identical with the transition probabilities defined by the real S-matrix for real space-time sheets with effective p-adic topology characterized by  $p$ . This condition might allow to deduce all relevant phase information about real and corresponding p-adic S-matrices using as an input only the observable transition probabilities.

*d) What it means that p-adically independent events are not independent in real sense?*

A further condition would be that p-adic quantum transitions represent also in the real sense independent elementary events so that the real counterpart for a sum of the p-adic probabilities for a finite number of transitions equals to the sum of corresponding real probabilities. This condition is definitely too strong in the general case since only a single transition could correspond to a given p-adic norm of transition probability  $P_{ij}$  with  $i$  fixed. In p-adic thermodynamics it can be satisfied if the degeneracy for an energy eigenstate for a given eigen value  $L_0 = n$  is not larger than  $p$ . This condition fails for large values of  $n$  for super Virasoro representations since the degeneracy grows exponentially. This has not practical implications for the large values of  $p$  considered.

The crucial question concerns the physical difference between the real counterpart for the sum of the p-adic transition probabilities and for the sum of the real counterparts of these probabilities, which are in general different:

$$\left( \sum_j P_{ij} \right)_R \neq \sum_j (P_{ij})_R .
 \tag{10.2.20}$$

The suggestion is that p-adic sum of the transition probabilities corresponds to the experimental situation, when one does not monitor individual transitions but using some common experimental signature only looks whether the transition leads to this set of the final states or not. When one looks each transition separately or effectively performs different experiment by considering only one transition channel in each experiment one must use the sum of the real probabilities. More precisely, the choice of the experimental signatures divides the set  $U$  of the final states to a disjoint union  $U = \cup_i U_i$  and one must define the real counterparts for the transition probabilities  $P_{iU_k}$  as

$$\begin{aligned}
P_{iU_k} &= \sum_{j \in U_k} P_{ij} \ , \\
P_{iU_k} &\rightarrow (P_{iU_k})_R \ , \\
(P_{iU_k})_R &\rightarrow \frac{(P_{iU_k})_R}{\sum_l (P_{iU_l})_R} \equiv P_{iU_k}^R \ .
\end{aligned}
\tag{10.2.21}$$

The assumption means deep a departure from the ordinary probability theory. If p-adic physics is the physics of cognitive systems, there need not be anything mysterious in the dependence of the behavior of system on how it is monitored. At least half-jokingly one might argue that the behavior of an intelligent system indeed depends strongly on whether the boss is nearby or not. The precise definition for the monitoring could be based on the decomposition of the density matrix representing the entangled subsystem into a direct sum over the subspaces associated with the degenerate eigenvalues of the density matrix. This decomposition provides a natural definition for the notions of the monitoring and resolution.

The renormalization procedure is in fact familiar from standard physics. Assume that the labels  $j$  correspond to momenta. The division of momentum space to cells of a given size so that the individual momenta inside cells are not monitored separately means that momentum resolution is finite. Therefore one must perform p-adic summation over the cells and define the real probabilities in the proposed manner. p-Adic effects resulting from the difference between p-adic and real summations could be the counterpart of the renormalization effects in QFT. It should be added that similar resolution can be defined also for the initial states by decomposing them into a union of disjoint subsets.

### 2. p-Adic thermodynamics

The p-adic field theory limit as such is not expected to give a realistic theory at elementary particle physics level. The point is that particles are expected to be either massless or possess mass of order  $10^{-4}$  Planck mass. The p-adic description of particle massivation described in [4] shows that p-adic thermodynamics provides the proper formulation of the problem. What is thermalized is Virasoro generator  $L_0$  (mass squared contribution is not included to  $L_0$  so that states do not have a fixed conformal weight). Temperature is quantized purely number theoretically in low temperature limit ( $\exp(H/kT) \rightarrow p^{L_0/T}$ ,  $T = 1/n$ ): in fact, the partition function does not even exist in high temperature phase. The extremely small mixing of massless states with Planck mass states implies massivation and predictions of the p-adic thermodynamics for the fermionic masses are in excellent agreement with experimental masses. Thermodynamic approach also explains the emergence of the length scale  $L_p$  for a given p-adic condensation level and one can develop arguments explaining why primes near prime powers of two are favored.

It should be noticed that rational p-adic temperatures  $1/T = k/n$  are possible, if one poses the restriction that thermal probabilities are non-vanishing only for some subalgebra of the Super Virasoro algebra isomorphic to the Super Virasoro algebra itself. The generators  $L_{kn}, G_{kn}$ , where  $k$  is a positive integer, indeed span this kind of a subalgebra by the fractality of the Super Virasoro algebra and  $p^{L_0/T}$  is integer valued with this restriction.

One might apply thermodynamics approach should also in the calculation of S-matrix. What is needed is thermodynamical expectation value for the transition amplitudes squared over incoming and outgoing states. In this expectation value 3-momenta are fixed and only mass squared varies.

### 3. Generalization of the notion of information

TGD inspired theory of consciousness, in particular the formulation of Negentropy Maximization Principle (NMP) in p-adic context, has forced to rethink the notion of the information concept. In TGD state preparation process is realized as a sequence of self measurements. Each self measurement means a decomposition of the sub-system involved to two unentangled parts. The decomposition is fixed highly uniquely from the requirement that the reduction of the entanglement entropy is maximal.

The additional assumption is that bound state entanglement is stable against self measurement. This assumption is somewhat ad hoc and it would be nice to get rid of it. The only manner to achieve this seems to be a generalized definition of entanglement entropy allowing to assign a negative value



of entanglement entropy to the bound state entanglement, so that bound state entanglement would actually carry information, in fact conscious information (experience of understanding). This would be very natural since macro-temporal quantum coherence corresponds to a generation of bound state entanglement, and is indeed crucial for ability to have long lasting non-entropic mental images.

The generalization of the notion of number concept leads immediately to the basic problem. How to generalize the notion of entanglement entropy that it makes sense for a genuinely p-adic entanglement? What about the number-theoretically universal entanglement with entanglement probabilities, which correspond to finite extension of rational numbers? One can also ask whether the generalized notion of information could make sense at the level of the space-time as suggested by quantum-classical correspondence.

In the real context Shannon entropy is defined for an ensemble with probabilities  $p_n$  as

$$S = - \sum_n p_n \log(p_n) . \tag{10.2.22}$$

As far as theory of consciousness is considered, the basic problem is that Shannon entropy is always non-negative so that as such it does not define a genuine information measure. One could define information as a change of Shannon entropy and this definition is indeed attractive in the sense that quantum jump is the basic element of conscious experience and involves a change. One can however argue that the mere ability to transfer entropy to environment (say by aggressive behavior) is not all that is involved with conscious information, and even less so with the experience of understanding or moment of heureka. One should somehow generalize the Shannon entropy without losing the fundamental additivity property.

*a) p-Adic entropies*

The key observation is that in the p-adic context the logarithm function  $\log(x)$  appearing in the Shannon entropy is not defined if the argument of logarithm has p-adic norm different from 1. Situation changes if one uses an extension of p-adic numbers containing  $\log(p)$ : the conjecture is that this extension is finite-dimensional. One might however argue that Shannon entropy should be well defined even without the extension.

p-Adic thermodynamics inspires a manner to achieve this. One can replace  $\log(x)$  with the logarithm  $\log_p(|x|_p)$  of the p-adic norm of  $x$ , where  $\log_p$  denotes p-based logarithm. This logarithm is integer valued ( $\log_p(p^n) = n$ ), and is interpreted as a p-adic integer. The resulting p-adic entropy

$$\begin{aligned} S_p &= \sum_n p_n k(p_n) , \\ k(p_n) &= -\log_p(|p_n|) . \end{aligned} \tag{10.2.23}$$

is additive: that is the entropy for two non-interacting systems is the sum of the entropies of composites. Note that this definition differs from Shannon's entropy by the factor  $\log(p)$ . This entropy vanishes identically in the case that the p-adic norms of the probabilities are equal to one. This means that it is possible to have non-entropic entanglement for this entropy.

One can consider a modification of  $S_p$  using p-adic logarithm if the extension of the p-adic numbers contains  $\log(p)$ . In this case the entropy is formally identical with the Shannon entropy:

$$S_p = - \sum_n p_n \log(p_n) = - \sum_n p_n [ -k(p_n) \log(p) + p^{k_n} \log(p_n/p^{k_n}) ] . \tag{10.2.24}$$

It seems that this entropy cannot vanish.

One must map the p-adic value entropy to a real number and here canonical identification can be used:

$$\begin{aligned} S_{p,R} &= (S_p)_R \times \log(p) , \\ (\sum_n x_n p^n)_R &= \sum_n x_n p^{-n} . \end{aligned} \tag{10.2.25}$$

The real counterpart of the p-adic entropy is non-negative.

*b) Number theoretic entropies and metabolic energy*

In the case that the probabilities are rational or belong to a finite-dimensional extension of rationals, it is possible to regard them as real numbers or p-adic numbers in some extension of p-adic numbers for any  $p$ . The visions that rationals and their finite extensions correspond to islands of order in the seas of chaos of real and p-adic transcendentals suggests that states having entanglement coefficients in finite-dimensional extensions of rational numbers are somehow very special. This is indeed the case. The p-adic entropy  $S_p = -\sum_n p_n \log_p(|p_n|) \log(p)$  can be interpreted in this case as an ordinary rational number in an extension containing  $\log(p)$ .

What makes this entropy so interesting is that it can have also negative values in which case the interpretation as an information measure is natural. In the real context one can fix the value of the value of the prime  $p$  by requiring that  $S_p$  is maximally negative, so that the information content of the ensemble could be defined as

$$I \equiv \text{Max}\{-S_p, p \text{ prime}\} . \quad (10.2.26)$$

This information measure is positive when the entanglement probabilities belong to a finite-dimensional extension of rational numbers. Thus kind of entanglement is stable against NMP [49], and has a natural interpretation as a negentropic entanglement.

There is no need to interpret negentropic entanglement as bound state entanglement as was the original proposal. This together with the vision about life as something in the intersection of the real and p-adic worlds inspires the idea about a connection between information and metabolism in living matter. Metabolic energy could be carried by negentropic entanglement and the feed of metabolic energy would be also feed of negentropy. In particular, the poorly understood high energy phosphate bond could be identified as a bond involving negentropic entanglement [34]. The prediction would be that the negentropic states of real systems form a number theoretical hierarchy according to the prime  $p$  and dimension of algebraic extension characterizing the entanglement.

Number theoretically state function reduction and state preparation could be seen as information generating processes in the intersection of real and p-adic worlds. p-Adic  $\leftrightarrow$  real transitions make sense in the intersection with interpretation as a realization of intentional action and build-up of cognitive representations. Later an argument that these processes have a purely number theoretical interpretation will be developed based on the generalized notion of unitarity allowing the  $U$ -matrix to have matrix elements between the sectors of the state space corresponding to different number fields.

**How to define integration and p-adic Fourier analysis, integral calculus, and p-adic counterparts of geometric objects?**

p-Adic differential calculus exists and obeys essentially the same rules as ordinary differential calculus. The only difference from real context is the existence of p-adic pseudoconstants: any function which depends on finite number of binary digits has vanishing p-adic derivative. This implies non-determinism of p-adic differential equations. One can define p-adic integral functions using the fact that indefinite integral is the inverse of differentiation. The basis problem with the definite integrals is that p-adic numbers are not well-ordered so that the crucial ordering of the points of real axis in definite integral is not unique. Also p-adic Fourier analysis is problematic since direct counterparts of  $\exp(ix)$  and trigonometric functions are not periodic. Also  $\exp(-x)$  fails to converge exponentially since it has p-adic norm equal to 1. Note also that these functions exist only when the p-adic norm of  $x$  is smaller than 1.

The following considerations support the view that the p-adic variant of a geometric objects, integration and p-adic Fourier analysis exists but only when one considers highly symmetric geometric objects such as symmetric spaces. This is welcome news from the point of view of physics. At the level of space-time surfaces this is problematic. The field equations associated with Kähler action and modified Dirac equation make sense. Kähler action defined as integral over p-adic space-time surface fails to exist. If however the Kähler function identified as Kähler for a preferred extremal of Kähler action is rational or algebraic function of preferred complex coordinates of WCW with rational coefficients, its p-adic continuation is expected to exist.

1. Circle with rotational symmetries and its hyperbolic counterparts

Consider first circle with emphasis on symmetries and Fourier analysis.

1. In this case angle coordinate  $\phi$  is the natural coordinate. It however does not make sense as such p-adically and one must consider either trigonometric functions or the phase  $\exp(i\phi)$  instead. If one wants to do Fourier analysis on circle one must introduce roots  $U_{n,N} = \exp(in2\pi/N)$  of unity. This means discretization of the circle. Introducing all roots  $U_{n,p} = \exp(i2\pi n/p)$ , such that  $p$  divides  $N$ , one can represent all  $U_{k,n}$  up to  $n = N$ . Integration is naturally replaced with sum by using discrete Fourier analysis on circle. Note that the roots of unity can be expressed as products of powers of roots of unity  $\exp(in2\pi/p^k)$ , where  $p^k$  divides  $N$ .
2. There is a number theoretical delicacy involved. By Fermat's theorem  $a^{p-1} \bmod p = 1$  for  $a = 1, \dots, p-1$  for a given p-adic prime so that for any integer  $M$  divisible by a factor of  $p-1$  the  $M$ :th roots of unity exist as ordinary p-adic numbers. The problem disappears if these values of  $M$  are excluded from the discretization for a given value of the p-adic prime. The manner to achieve this is to assume that  $N$  contains no divisors of  $p-1$  and is consistent with the notion of finite measurement resolution. For instance,  $N = p^n$  is an especially natural choice guaranteeing this.
3. The p-adic integral defined as a Fourier sum does not reduce to a mere discretization of the real integral. In the real case the Fourier coefficients must approach to zero as the wave vector  $k = n2\pi/N$  increases. In the p-adic case the condition consistent with the notion of finite measurement resolution for angles is that the p-adic valued Fourier coefficients approach to zero as  $n$  increases. This guarantees the p-adic convergence of the discrete approximation of the integral for large values of  $N$  as  $n$  increases. The map of p-adic Fourier coefficients to real ones by canonical identification could be used to relate p-adic and real variants of the function to each other.

This finding would suggest that p-adic geometries -in particular the p-adic counterpart of  $CP_2$ , are discrete. Variables which have the character of a radial coordinate are in natural manner p-adically continuous whereas phase angles are naturally discrete and described in terms of algebraic extensions. The conclusion is disappointing since one can quite well argue that the discrete structures can be regarded as real. Is there any manner to escape this conclusion?

1. Exponential function  $\exp(ix)$  exists p-adically for  $|x|_p \leq 1/p$  but is not periodic. It provides representation of p-adic variant of circle as group  $U(1)$ . One obtains actually a hierarchy of groups  $U(1)_{p,n}$  corresponding to  $|x|_p \leq 1/p^n$ . One could consider a generalization of phases as products  $Exp_p(N, n2\pi/N + x) = \exp(in2\pi n/N)\exp(ix)$  of roots of unity and exponent functions with an imaginary exponent. This would assign to each root of unity p-adic continuum interpreted as the analog of the interval between two subsequent roots of unity at circle. The hierarchies of measurement resolutions coming as  $2\pi/p^n$  would be naturally accompanied by increasingly smaller p-adic groups  $U(1)_{p,n}$ .
2. p-Adic integration would involve summation plus possibly also an integration over each p-adic variant of discretization interval. The summation over the roots of unity implies that the integral of  $\int \exp(ix)dx$  would appear for  $n = 0$ . Whatever the value of this integral is, it is compensated by a normalization factor guaranteeing orthonormality.
3. If one interprets the p-adic coordinate as p-adic integer without the identification of points differing by a multiple of  $n$  as different points the question whether one should require p-adic continuity arises. Continuity is obtained if  $U_n(x + mp^m) = U_n(x)$  for large values of  $m$ . This is obtained if one has  $n = p^k$ . In the spherical geometry this condition is not needed and would mean quantization of angular momentum as  $L = p^k$ , which does not look natural. If representations of translation group are considered the condition is natural and conforms with the spirit of the p-adic length scale hypothesis.

The hyperbolic counterpart of circle corresponds to the orbit of point under Lorentz group in two 2-D Minkowski space. Plane waves are replaced with exponentially decaying functions of the coordinate  $\eta$  replacing phase angle. Ordinary exponent function  $\exp(x)$  has unit p-adic norm when it

exists so that it is not a suitable choice. The powers  $p^n$  existing for p-adic integers however approach to zero for large values of  $x = n$ . This forces discretization of  $\eta$  or rather the hyperbolic phase as powers of  $p^x$ ,  $x = n$ . Also now one could introduce products of  $Exp_p(n \log(p) + z) = p^n exp(x)$  to achieve a p-adic continuum. Also now the integral over the discretization interval is compensated by orthonormalization and can be forgotten. The integral of exponential function would reduce to a sum  $\int Exp_p dx = \sum_k p^k = 1/(1-p)$ . One can also introduce finite-dimensional but non-algebraic extensions of p-adic numbers allowing  $e$  and its roots  $e^{1/n}$  since  $e^p$  exists p-adically.

### 2. Plane with translational and rotational symmetries

Consider first the situation by taking translational symmetries as a starting point. In this case Cartesian coordinates are natural and Fourier analysis based on plane waves is what one wants to define. As in the previous case, this can be done using roots of unity and one can also introduce p-adic continuum by using the p-adic variant of the exponent function. This would effectively reduce the plane to a box. As already noticed, in this case the quantization of wave vectors as multiples of  $1/p^k$  is required by continuity.

One can take also rotational symmetries as a starting point. In this case cylindrical coordinates  $(\rho, \phi)$  are natural.

1. Radial coordinate can have arbitrary values. If one wants to keep the connection  $\rho = \sqrt{x^2 + y^2}$  with the Cartesian picture square root allowing extension is natural. Also the values of radial coordinate proportional to odd power of  $p$  are problematic since one should introduce  $\sqrt{p}$ : is this extension internally consistent? Does this mean that the points  $\rho \propto p^{2n+1}$  are excluded so that the plane decomposes to annuli?
2. As already found, angular momentum eigen states can be described in terms of roots of unity and one could obtain continuum by allowing also phases defined by p-adic exponent functions.
3. In radial direction one should define the p-adic variants for the integrals of Bessel functions and they indeed might make sense by algebraic continuation if one consistently defines all functions as Fourier expansions. Delta-function renormalization causes technical problems for a continuum of radial wave vectors. One could avoid the problem by using exponentially decaying variants of Bessel function in the regions far from origin, and here the already proposed description of the hyperbolic counterparts of plane waves is suggestive.
4. One could try to understand the situation also using Cartesian coordinates. In the case of sphere this is achieved by introducing two coordinate patches with Cartesian coordinates. Pythagorean phases are rational phases (orthogonal triangles for which all sides are integer valued) and form a dense set on circle. Complex rationals (orthogonal triangles with integer valued short sides) define a more general dense subset of circle. In both cases it is difficult to imagine a discretized version of integration over angles since discretization with constant angle increment is not possible.

### 3. The case of sphere and more general symmetric space

In the case of sphere spherical coordinates are favored by symmetry considerations. For spherical coordinates  $\sin(\theta)$  is analogous to the radial coordinate of plane. Legendre polynomials expressible as polynomials of  $\sin(\theta)$  and  $\cos(\theta)$  are expressible in terms of phases and the integration measure  $\sin^2(\theta)d\theta d\phi$  reduces the integral of  $S^2$  to summation. As before one can introduce also p-adic continuum. Algebraic cutoffs in both angular momentum  $l$  and  $m$  appear naturally. Similar cutoffs appear in the representations of quantum groups and there are good reasons to expect that these phenomena are correlated.

Exponent of Kähler function appears in the integration over configuration space. From the expression of Kähler gauge potential given by  $A_\alpha = J_\alpha^\theta \partial_\theta K$  one obtains using  $A_\alpha = \cos(\theta)\delta_{\alpha,\phi}$  and  $J_{\theta\phi} = \sin(\theta)$  the expression  $exp(K) = \sin(\theta)$ . Hence the exponent of Kähler function is expressible in terms of spherical harmonics.

The completion of the discretized sphere to a p-adic continuum- and in fact any symmetric space- could be performed purely group theoretically.

1. Exponential map maps the elements of the Lie-algebra to elements of Lie-group. This recipe generalizes to arbitrary symmetric space  $G/H$  by using the Cartan decomposition  $g = t + h$ ,  $[h, h] \subset h, [h, t] \subset t, [t, t] \subset h$ . The exponentiation of  $t$  maps  $t$  to  $G/H$  in this case. The exponential map has a p-adic generalization obtained by considering Lie algebra with coefficients with p-adic norm smaller than one so that the p-adic exponent function exists. As a matter fact, one obtains a hierarchy of Lie-algebras corresponding to the upper bounds of the p-adic norm coming as  $p^{-k}$  and this hierarchy naturally corresponds to the hierarchy of angle resolutions coming as  $2\pi/p^k$ . By introducing finite-dimensional transcendental extensions containing roots of  $e$  one obtains also a hierarchy of p-adic Lie-algebras associated with transcendental extensions.
2. In particular, one can exponentiate the complement of the  $SO(2)$  sub-algebra of  $SO(3)$  Lie-algebra in p-adic sense to obtain a p-adic completion of the discrete sphere. Each point of the discretized sphere would correspond to a p-adic continuous variant of sphere as a symmetric space. Similar construction applies in the case of  $CP_2$ . Quite generally, a kind of fractal or holographic symmetric space is obtained from a discrete variant of the symmetric space by replacing its points with the p-adic symmetric space.
3. In the N-fold discretization of the coordinates of M-dimensional space  $t$  one  $(N-1)^M$  discretization volumes which is the number of points with non-vanishing  $t$ -coordinates. It would be nice if one could map the p-adic discretization volumes with non-vanishing  $t$ -coordinates to their positive valued real counterparts by applying canonical identification. By group invariance it is enough to show that this works for a discretization volume assignable to the origin. Since the p-adic numbers with norm smaller than one are mapped to the real unit interval, the p-adic Lie algebra is mapped to the unit cell of the discretization lattice of the real variant of  $t$ . Hence by a proper normalization this mapping is possible.

The above considerations suggests that the hierarchies of measurement resolutions coming as  $\Delta\phi = 2\pi/p^n$  are in a preferred role. One must be however cautious in order to avoid too strong assumptions. The above considerations suggest that the hierarchies of measurement resolutions coming as  $\Delta\phi = 2\pi/p^n$  are in a preferred role. One must be however cautious in order to avoid too strong assumptions. The following arguments however support this identification.

1. The vision about p-adicization characterizes finite measurement resolution for angle measurement in the most general case as  $\Delta\phi = 2\pi M/N$ , where  $M$  and  $N$  are positive integers having no common factors. The powers of the phases  $\exp(i2\pi M/N)$  define identical Fourier basis irrespective of the value of  $M$  unless one allows only the powers  $\exp(i2\pi kM/N)$  for which  $kM < N$  holds true: in the latter case the measurement resolutions with different values of  $M$  correspond to different numbers of Fourier components. Otherwise the measurement resolution is just  $\Delta\phi = 2\pi/p^n$ . If one regards  $N$  as an ordinary integer, one must have  $N = p^n$  by the p-adic continuity requirement.
2. One can also interpret  $N$  as a p-adic integer and assume that state function reduction selects one particular prime (no superposition of quantum states with different p-adic topologies). For  $N = p^n M$ , where  $M$  is not divisible by  $p$ , one can express  $1/M$  as a p-adic integer  $1/M = \sum_{k \geq 0} M_k p^k$ , which is infinite as a real integer but effectively reduces to a finite integer  $K(p) = \sum_{k=0}^{N-1} M_k p^k$ . As a root of unity the entire phase  $\exp(i2\pi M/N)$  is equivalent with  $\exp(i2\pi R/p^n)$ ,  $R = K(p)M \bmod p^n$ . The phase would non-trivial only for p-adic primes appearing as factors in  $N$ . The corresponding measurement resolution would be  $\Delta\phi = R2\pi/N$ . One could assign to a given measurement resolution all the p-adic primes appearing as factors in  $N$  so that the notion of multi-p p-adicity would make sense. One can also consider the identification of the measurement resolution as  $\Delta\phi = |N/M|_p = 2\pi/p^k$ . This interpretation is supported by the approach based on infinite primes [16].

4. *What about integrals over partonic 2-surfaces and space-time surface?*

One can of course ask whether also the integrals over partonic 2-surfaces and space-time surface could be p-adicized by using the proposed method of discretization. Consider first the p-adic counterparts of the integrals over the partonic 2-surface  $X^2$ .

1. WCW Hamiltonians and Kähler form are expressible using flux Hamiltonians defined in terms of  $X^2$  integrals of  $JH_A$ , where  $H_A$  is  $\delta CD \times CP_2$  Hamiltonian, which is a rational function of the preferred coordinates defined by the exponentials of the coordinates of the sub-space  $t$  in the appropriate Cartan algebra decomposition. The flux factor  $J = \epsilon^{\alpha\beta} J_{\alpha\beta} \sqrt{g_2}$  is scalar and does not actually depend on the induced metric.
2. The notion of finite measurement resolution would suggest that the discretization of  $X^2$  is somehow induced by the discretization of  $\delta CD \times CP_2$ . The coordinates of  $X^2$  could be taken to be the coordinates of the projection of  $X^2$  to the sphere  $S^2$  associated with  $\delta M_{\pm}^4$  or to the homologically non-trivial geodesic sphere of  $CP_2$  so that the discretization of the integral would reduce to that for  $S^2$  and to a sum over points of  $S^2$ .
3. To obtain an algebraic number as an outcome of the summation, one must pose additional conditions guaranteeing that both  $H_A$  and  $J$  are algebraic numbers at the points of discretization (recall that roots of unity are involved). Assume for definiteness that  $S^2$  is  $r_M = \text{constant}$  sphere. If the remaining preferred coordinates are functions of the preferred  $S^2$  coordinates mapping phases to phases at discretization points, one obtains the desired outcome. These conditions are rather strong and mean that the various angles defining  $CP_2$  coordinates -at least the two cyclic angle coordinates- are integer multiples of those assignable to  $S^2$  at the points of discretization. This would be achieved if the preferred complex coordinates of  $CP_2$  are powers of the preferred complex coordinate of  $S^2$  at these points. One could say that  $X^2$  is algebraically continued from a rational surface in the discretized variant of  $\delta CD \times CP_2$ . Furthermore, if the measurement resolutions come as  $2\pi/p^n$  as p-adic continuity actually requires and if they correspond to the p-adic group  $G_{p,n}$  for which group parameters satisfy  $|t|_p \leq p^{-n}$ , one can precisely characterize how a p-adic prime characterizes the real partonic 2-surface. This would be a fulfilment of one of the oldest dreams related to the p-adic vision.

A even more ambitious dream would be that even the integral of the Kähler action for preferred extremals could be defined using a similar procedure. The conjectured slicing of Minkowskian space-time sheets by string world sheets and partonic 2-surfaces encourages these hopes.

1. One could introduce local coordinates of  $H$  at both ends of  $CD$  by introducing a continuous slicing of  $M^4 \times CP_2$  by the translates of  $\delta M_{\pm}^4 \times CP_2$  in the direction of the time-like vector connecting the tips of  $CD$ . As space-time coordinates one could select four of the eight coordinates defining this slicing. For instance, for the regions of the space-time sheet representable as maps  $M^4 \rightarrow CP_2$  one could use the preferred  $M^4$  time coordinate, the radial coordinate of  $\delta M_{\pm}^4$ , and the angle coordinates of  $r_M = \text{constant}$  sphere.
2. Kähler action density should have algebraic values and this would require the strengthening of the proposed conditions for  $X^2$  to apply to the entire slicing meaning that the discretized space-time surface is a rational surface in the discretized  $CD \times CP_2$ . If this condition applies to the entire space-time surface it would effectively mean the discretization of the classical physics to the level of finite geometries. This seems quite strong implication but is consistent with the preferred extremal property implying the generalized Bohr rules.

##### 5. Tentative conclusions

These findings suggest following conclusions.

1. Exponent functions play a key role in the proposed p-adicization. This is not an accident since exponent functions play a fundamental role in group theory and p-adic variants of real geometries exist only under symmetries- possibly maximal possible symmetries- since otherwise the notion of Fourier analysis making possible integration does not exist. The inner product defined in terms of integration reduce for functions representable in Fourier basis to sums and can be carried out by using orthogonality conditions. Convolution involving integration reduces to a product for Fourier components. In the case of imbedding space and WCW these conditions are satisfied but for space-time surfaces this is not possible.

2. There are several manners to choose the Cartan algebra already in the case of sphere. In the case of plane one can consider either translations or rotations and this leads to different p-adic variants of plane. Also the realization of the hierarchy of Planck constants leads to the conclusion that the extended imbedding space and therefore also WCW contains sectors corresponding to different choices of quantization axes meaning that quantum measurement has a direct geometric correlate. One can imagine also other discretizations and choices of preferred coordinates and the interpretation is that they correspond to different cognitive representations and to different p-adic physics. This means a refinement of General Coordinate Invariance taking into account cognition.
3. The above described 2-D examples represent symplectic geometries for which one has natural decomposition of coordinates to canonical pairs of cyclic coordinate (phase angle) and corresponding canonical conjugate coordinate. p-Adicization depends on whether the conjugate corresponds to an angle or noncompact coordinate. In both cases it is however possible to define integration. For instance, in the case of  $CP_2$  one would have two canonically conjugate pairs and one can define the p-adic counterparts of  $CP_2$  partial waves by generalizing the procedure applied to spherical harmonics. Products of functions expressible using partial waves can be decomposed by tensor product decomposition to spherical harmonics and can be integrated. In particular inner products can be defined as integrals. The Hamiltonians generating isometries are rational functions of phases: this inspires the hope that also WCW Hamiltonians also rational functions of preferred WCW coordinates and thus allow p-adic variants.
4. Discretization by introducing algebraic extensions seems unavoidable in the p-adicization of geometrical objects but one can have p-adic continuum as the analog of the discretization interval and in the function basis expressible in terms of phase factors and p-adic counterparts of exponent functions. As already described, the exponential map for Lie group provide an elegant manner to realize this. This would give a precise meaning for the p-adic counterparts of the imbedding space and WCW if the latter is a symmetric space allowing coordinatization in terms of phase angles and conjugate coordinates. The intersection of p-adic and real worlds in a given measurement resolution would be unique and correspond to the points defining the discretization.

### p-Adic imbedding space

The construction of both quantum TGD and p-adic QFT limit requires p-adicization of the imbedding space geometry. Also the fact that p-adic Poincare invariance throws considerable light to the p-adic length scale hypothesis suggests that p-adic geometry is really needed. The construction of the p-adic version of the imbedding space geometry and spinor structure relies on the symmetry arguments and to the generalization of the analytic formulas of the real case almost. The essential element is the notion of finite measurement resolution leading to discretization in large and to p-adicization below the resolution scale. This approach leads to a highly nontrivial generalization of the symmetry concept and p-adic Poincare invariance throws light to the p-adic length scale hypothesis. An important delicacy is related to the identification of the fundamental p-adic length scale, which corresponds to the unit element of the p-adic number field and is mapped to the unit element of the real number field in the canonical identification mapping p-adic mass squared to its real counterpart.

#### 1. p-Adic Riemannian geometry depends on cognitive representation

p-Adic Riemann geometry is a direct formal generalization of the ordinary Riemann geometry. In the minimal purely algebraic generalization one does not try to define concepts like arc length and volume involving definite integrals but simply defines the p-adic geometry via the metric identified as a quadratic form in the tangent space of the p-adic manifold. Canonical identification would make it possible to define p-adic variant of Riemann integral formally allowing to calculate arc lengths and similar quantities but looks like a trick. The realization that the p-adic variant of harmonic analysis makes it possible to define definite integrals in the case of symmetric space became possible only after a detailed vision about what quantum TGD is [18] had emerged.

Symmetry considerations dictate the p-adic counterpart of the Riemann geometry for  $M_+^4 \times CP_2$  to a high degree but not uniquely. This non-uniqueness might relate to the distinction between different cognitive representations. For instance, in the case of Euclidian plane one can introduce linear or cylindrical coordinates and the manifest symmetries dictating the preferred coordinates correspond to

translational and rotational symmetries in these two cases and give rise to different p-adic variants of the plane. Both linear and cylindrical coordinates are fixed only modulo the action of group consisting of translations and rotations and the degeneracy of choices can be interpreted in terms of a choice of quantization axes of angular momentum and momenta.

The most natural looking manner to define the p-adic counterpart of  $M^4$  is by using a p-adic completion for a subset of rational points in coordinates which are preferred on physical basis. In case of  $M^4$  linear Minkowski coordinates are an obvious choice but also the counterparts of Robertson-Walker coordinates for  $M^4_+$  defined as  $[t, (z, x, y)] = a \times [\cosh(\eta), \sinh(\eta)(\cos(\theta), \sin(\theta)\cos(\phi), \sin(\theta)\sin(\phi))]$  expressible in terms of phases and their hyperbolic counterparts and transforming nicely under the Cartan algebra of Lorentz group are possible. p-Adic variant is obtained by introducing finite measurement resolution for angle and replacing angle range by finite number of roots of unity. Same applies to hyperbolic angles.

Rational  $CP_2$  could be defined as a coset space  $SU(3, Q)/U(2, Q)$  associated with complex rational unitary  $3 \times 3$ -matrices.  $CP_2$  could be defined as coset space of complex rational matrices by choosing one point in each coset  $SU(3, Q)/U(2, Q)$  as a complex rational  $3 \times 3$ -matrix representable in terms of Pythagorean phases [72] and performing a completion for the elements of this matrix by multiplying the elements with the p-adic exponentials  $\exp(iu)$ ,  $|u|_p < 1$  such that one obtains p-adically unitary matrix.

This option is not very natural as far as integration is considered.  $CP_2$  however allows the analog of spherical coordinates for  $S^2$  expressible in terms of angle variables alone and this suggests the introduction of the variant of  $CP_2$  for which the coordinate values correspond to roots of unity. Completion would be performed in the same manner as for rational  $CP_2$ . This non-uniqueness need not be a drawback but could reflect the fact that the p-adic cognitive representation of real geometry are geometrically non-equivalent. This means a refinement of the principle of General Coordinate Invariance taking into account the fact that the cognitive representation of the real world affects the world with cognition included in a delicate manner.

## 2. The identification of the fundamental p-adic length scale

The fundamental p-adic length scale corresponds to the p-adic unit  $e = 1$  and is mapped to the unit of the real numbers in the canonical identification. The correct physical identification of the fundamental p-adic length scale is of crucial importance since the predictions of the theory for p-adic masses depend on the choice of this scale.

In TGD the 'radius'  $R$  of  $CP_2$  is the fundamental length scale ( $2\pi R$  is by definition the length of the  $CP_2$  geodesics). In accordance with the idea that p-adic QFT limit makes sense only above length scales larger than the radius of  $CP_2$   $R$  is of same order of magnitude as the p-adic length scale defined as  $l = \pi/m_0$ , where  $m_0$  is the fundamental mass scale and related to the 'cosmological constant'  $\Lambda$  ( $R_{ij} = \Lambda s_{ij}$ ) of  $CP_2$  by

$$m_0^2 = 2\Lambda . \quad (10.2.27)$$

The relationship between  $R$  and  $l$  is uniquely fixed:

$$R^2 = \frac{3}{m_0^3} = \frac{3}{2\Lambda} = \frac{3l^2}{\pi^2} . \quad (10.2.28)$$

Consider now the identification of the fundamental length scale.

1. One must use  $R^2$  or its integer multiple, rather than  $l^2$ , as the fundamental p-adic length scale squared in order to avoid the appearance of the p-adically ill defined  $\pi$ :s in various formulas of  $CP_2$  geometry.
2. The identification for the fundamental length scale as  $1/m_0$  leads to difficulties.
  - (a) The p-adic length for the  $CP_2$  geodesic is proportional to  $\sqrt{3}/m_0$ . For the physically most interesting p-adic primes satisfying  $p \bmod 4 = 3$  so that  $\sqrt{-1}$  does not exist as an ordinary p-adic number,  $\sqrt{3} = i\sqrt{-3}$  belongs to the complex extension of the p-adic numbers. Hence one has troubles in getting real length for the  $CP_2$  geodesic.



- (b) If  $m_0^2$  is the fundamental mass squared scale then general quark states have mass squared, which is integer multiple of  $1/3$  rather than integer valued as in string models.
- 3. These arguments suggest that the correct choice for the fundamental length scale is as  $1/R$  so that  $M^2 = 3/R^2$  appearing in the mass squared formulas is p-adically real and all values of the mass squared are integer multiples of  $1/R^2$ . This does not affect the real counterparts of the thermal expectation values of the mass squared in the lowest p-adic order but the effects, which are due to the modulo arithmetics, are seen in the higher order contributions to the mass squared. As a consequence, one must identify the p-adic length scale  $l$  as

$$l \equiv \pi R \ ,$$

rather than  $l = \pi/m_0$ . This is indeed a very natural identification. What is especially nice is that this identification also leads to a solution of some longstanding problems related to the p-adic mass calculations. It would be highly desirable to have the same p-adic temperature  $T_p = 1$  for both the bosons and fermions rather than  $T_p = 1/2$  for bosons and  $T_p = 1$  for fermions. For instance, black hole elementary particle analogy as well as the need to get rid of light boson exotics suggests this strongly. It indeed turns out possible to achieve this with the proposed identification of the fundamental mass squared scale.

### 3. p-Adic counterpart of $M_{\pm}^4$

The construction of the p-adic counterpart of  $M_{\pm}^4$  seems a relatively straightforward task and should reduce to the construction of the p-adic counter part of the real axis with the standard metric. As already noticed, linear Minkowski coordinates are physically and mathematically preferred coordinates and it is natural to construct the metric in these coordinates.

There are some quite interesting delicacies related to the p-adic version of the Poincare invariance. Consider first translations. In order to have imaginary unit needed in the construction of the ordinary representations of the Poincare group one must have  $p \bmod 4 = 3$  to guarantee that  $\sqrt{-1}$  does not exist as an ordinary p-adic number. It however seems that the construction of the representations is at least formally possible by replacing imaginary unit with the square root of some other p-adic number not existing as a p-adic number.

It seems that only the discrete group of translations allows representations consisting of orthogonal planewaves. p-Adic planewaves can be defined in the lattice consisting of the multiples of  $x_0 = m/n$  consisting of points with p-adic norm not larger than  $|x_0|_p$  and the points  $p^n x_0$  define fractally scaled-down versions of this set. In canonical identification these sets corresponds to volumes scaled by factors  $p^{-n}$ .

A physically interesting question is whether the Lorentz group should contain only the elements obtained by exponentiating the Lie-algebra generators of the Lorentz group or whether also large Lorentz transformations, containing as a subgroup the group of the rational Lorentz transformations, should be allowed. If the group contains only small Lorentz transformations, the quantization volume of  $M_{\pm}^4$  (say the points with coordinates  $m^k$  having p-adic norm not larger than one) is also invariant under Lorentz transformations. This means that the quantization of the theory in the p-adic cube  $|m^k| < p^n$  is a Poincare invariant procedure unlike in the real case.

The appearance of the square root of  $p$ , rather than the naively expected  $p$ , in the expression of the p-adic length scale can be understood if the p-adic version of  $M^4$  metric contains  $p$  as a scaling factor:

$$\begin{aligned} ds^2 &= pR^2 m_{kl} dm^k dm^l \ , \\ R &\leftrightarrow 1 \ , \end{aligned} \tag{10.2.29}$$

where  $m_{kl}$  is the standard  $M^4$  metric  $(1, -1, -1, -1)$ . The p-adic distance function is obtained by integrating the line element using p-adic integral calculus and this gives for the distance along the  $k$ :th coordinate axis the expression

$$s = R\sqrt{p}m^k \ . \tag{10.2.30}$$

The map from p-adic  $M^4$  to real  $M^4$  is canonical identification plus a scaling determined from the requirement that the real counterpart of an infinitesimal p-adic geodesic segment is same as the length of the corresponding real geodesic segment:

$$m^k \rightarrow \pi(m^k)_R . \quad (10.2.31)$$

The p-adic distance along the k:th coordinate axis from the origin to the point  $m^k = (p-1)(1+p+p^2+\dots) = -1$  on the boundary of the set of the p-adic numbers with norm not larger than one, corresponds to the fundamental p-adic length scale  $L_p = \sqrt{pl} = \sqrt{p}\pi R$ :

$$\sqrt{p}((p-1)(1+p+\dots))R \rightarrow \pi R \frac{(p-1)(1+p^{-1}+p^{-2}+\dots)}{\sqrt{p}} = L_p . \quad (10.2.32)$$

What is remarkable is that the shortest distance in the range  $m^k = 1, \dots, m-1$  is actually  $L/\sqrt{p}$  rather than  $l$  so that p-adic numbers in range span the entire  $R_+$  at the limit  $p \rightarrow \infty$ . Hence p-adic topology approaches real topology in the limit  $p \rightarrow \infty$  in the sense that the length of the discretization step approaches to zero.

#### 4. The two variants of $CP_2$

As noticed,  $CP_2$  allows two variants based on rational discretization and on the discretization based on roots of unity. The root of unity option corresponds to the phases associated with  $1/(1+r^2) = \tan^2(u/2) = (1-\cos(u))/(1+\cos(u))$  and implies that integrals of spherical harmonics can be reduced to summations when angular resolution  $\Delta u = 2\pi/N$  is introduced. In the p-adic context, one can replace distances with trigonometric functions of distances along zig zag curves connecting the points of the discretization. Physically this notion of distance is quite reasonable since distances are often measured using interferometer.

In the case of rational variant of  $CP_2$  one can proceed by defining the p-adic counterparts of  $SU(3)$  and  $U(2)$  and using the identification  $CP_2 = SU(3)/U(2)$ . The p-adic counterpart of  $SU(3)$  consists of all  $3 \times 3$  unitary matrices satisfying p-adic unitarity conditions (rows/columns are mutually orthogonal unit vectors) or its suitable subgroup: the minimal subgroup corresponds to the exponentials of the Lie-algebra generators. If one allows algebraic extensions of the p-adic numbers, one obtains several extensions of the group. The extension allowing the square root of a p-adically real number is the most interesting one in this respect since the general solution of the unitarity conditions involves square roots.

The subgroup of  $SU(3)$  obtained by exponentiating the Lie-algebra generators of  $SU(3)$  normalized so that their nonvanishing elements have unit p-adic norm, is of the form

$$SU(3)_0 = \{x = \exp(\sum_k it_k X_k) ; |t_k|_p < 1\} = \{x = 1 + iy ; |y|_p < 1\} . \quad (10.2.33)$$

The diagonal elements of the matrices in this group are of the form  $1 + O(p)$ . In order  $O(p)$  these matrices reduce to unit matrices.

Rational  $SU(3)$  matrices do not in general allow a representation as an exponential. In the real case all  $SU(3)$  matrices can be obtained from diagonalized matrices of the form

$$h = \text{diag}\{\exp(i\phi_1), \exp(i\phi_2), \exp(\exp(-i(\phi_1 + \phi_2)))\} . \quad (10.2.34)$$

The exponentials are well defined provided that one has  $|\phi_i|_p < 1$  and in this case the diagonal elements are of form  $1 + O(p)$ . For  $p \bmod 4 = 3$  one can however consider much more general diagonal matrices

$$h = \text{diag}\{z_1, z_2, z_3\} ,$$

for which the diagonal elements are rational complex numbers

$$z_i = \frac{(m_i + in_i)}{\sqrt{m_i^2 + n_i^2}} ,$$

satisfying  $z_1 z_2 z_3 = 1$  such that the components of  $z_i$  are integers in the range  $(0, p-1)$  and the square roots appearing in the denominators exist as ordinary p-adic numbers. These matrices indeed form a group as is easy to see. By acting with  $SU(3)_0$  to each element of this group and by applying all possible automorphisms  $h \rightarrow ghg^{-1}$  using rational  $SU(3)$  matrices one obtains entire  $SU(3)$  as a union of an infinite number of disjoint components.

The simplest (unfortunately not physical) possibility is that the 'physical'  $SU(3)$  corresponds to the connected component of  $SU(3)$  represented by the matrices, which are unit matrices in order  $O(p)$ . In this case the construction of  $CP_2$  is relatively straightforward and the real formalism should generalize as such. In particular, for  $p \bmod 4 = 3$  it is possible to introduce complex coordinates  $\xi_1, \xi_2$  using the complexification for the Lie-algebra complement of  $su(2) \times u(1)$ . The real counterparts of these coordinates vary in the range  $[0, 1)$  and the end points correspond to the values of  $t_i$  equal to  $t_i = 0$  and  $t_i = -p$ . The p-adic sphere  $S^2$  appearing in the definition of the p-adic light cone is obtained as a geodesic submanifold of  $CP_2$  ( $\xi_1 = \xi_2$  is one possibility). From the requirement that real  $CP_2$  can be mapped to its p-adic counterpart it is clear that one must allow all connected components of  $CP_2$  obtained by applying discrete unitary matrices having no exponential representation to the basic connected component. In practice this corresponds to the allowance of all possible values of the p-adic norm for the components of the complex coordinates  $\xi_i$  of  $CP_2$ .

The simplest approach to the definition of the  $CP_2$  metric is to replace the expression of the Kähler function in the real context with its p-adic counterpart. In standard complex coordinates for which the action of  $U(2)$  subgroup is linear, the expression of the Kähler function reads as

$$\begin{aligned} K &= \log(1 + r^2) , \\ r^2 &= \sum_i \bar{\xi}_i \xi_i . \end{aligned} \tag{10.2.35}$$

p-Adic logarithm exists provided  $r^2$  is of order  $O(p)$ . This is the case when  $\xi_i$  is of order  $O(p)$ . The definition of the Kähler function in a more general case, when all possible values of the p-adic norm are allowed for  $r$ , is based on the introduction of a p-adic pseudo constant  $C$  to the argument of the Kähler function

$$K = \log\left(\frac{1 + r^2}{C}\right) .$$

$C$  guarantees that the argument is of the form  $\frac{1+r^2}{C} = 1 + O(p)$  allowing a well-defined p-adic logarithm. This modification of the Kähler function leaves the definition of Kähler metric, Kähler form and spinor connection invariant.

A more elegant manner to avoid the difficulty is to use the exponent  $\Omega = \exp(K) = 1 + r^2$  of the Kähler function instead of Kähler function, which indeed well defined for all coordinate values. In terms of  $\Omega$  one can express the Kähler metric as

$$g_{k\bar{l}} = \frac{\partial_k \partial_{\bar{l}} \Omega}{\Omega} - \frac{\partial_k \Omega \partial_{\bar{l}} \Omega}{\Omega^2} . \tag{10.2.36}$$

The p-adic metric can be defined as

$$s_{i\bar{j}} = R^2 \partial_i \partial_{\bar{j}} K = R^2 \frac{(\delta_{i\bar{j}} r^2 - \bar{\xi}_i \xi_j)}{(1 + r^2)^2} . \tag{10.2.37}$$

The expression for the Kähler form is the same as in the real case and the components of the Kähler form in the complex coordinates are numerically equal to those of the metric apart from the factor of  $i$ . The components in arbitrary coordinates can be deduced from these by the standard transformation formulas.

### 10.2.6 Quantum physics in the intersection of p-adic and real worlds

The p-adicization of quantum TGD means several challenges. One should define the notions of Riemann geometry and its variants such as Kähler geometry in the p-adic context. The notion of the p-adic space-time surface and its relationship to its real counterpart should be understood. Also the construction of Kähler geometry of "world of classical worlds" (WCW) in p-adic context should be carried out and the notion of WCW spinor fields should be defined in the p-adic context. The crucial technical problems relate to the notion of integral and Fourier analysis, which are the central elements of any physical theory. The basic challenge is to overcome the fact that although the field equations assignable to a given variational principle make sense p-adically, the action defined as an integral over arbitrary space-time surface has no natural p-adic counterpart as such in the generic case. What raises hopes that these challenges could be overcome is the symmetric space property of WCW and the idea of algebraic continuation. If WCW geometry is expressible in terms of rational functions with rational coefficients it allows a generalization to the p-adic context. Also integration can be reduced to Fourier analysis in the case of symmetric spaces. I have discussed the p-adicization and fusion of real and p-adic physics in earlier article [40] and will not go to it here anymore. Suffice it to say that the notion of symmetric space allowing to algebraize the integration is central element of the approach.

The intersection of real and p-adic worlds is especially interesting as far as the physics of living system is considered in TGD framework and is discussed in this section.

#### What it means to be in the intersection of real and p-adic worlds?

The first question is what one really means when one speaks about a partonic 2-surface in the intersection of real and p-adic worlds or in the intersection of two p-adic worlds.

1. Many algebraic numbers can be regarded also as ordinary p-adic numbers: square roots of roughly one half of integers provide a simple example about this. Should one assume that all algebraic numbers representable as ordinary p-adic numbers belong to the intersection of the real and p-adic variants of partonic 2-surface (or to the intersection of two different p-adic number fields)? Is there any hope that the listing of the points in the intersection is possible without a complete knowledge of the number theoretic anatomy of p-adic number fields in this kind of situation? And is the set of common algebraic points for real and p-adic variants of the partonic 2-surface  $X^2$  quite too large- say a dense sub-set of  $X^2$ ?

This hopeless looking complexity is simplified considerably if one reduces the considerations to algebraic extensions of rationals since these induce the algebraic extensions of p-adic numbers. For instance, if the p-adic number field contains some  $n$ :th roots of integers in the range  $(1, p-1)$  as ordinary p-adic numbers they are identified with their real counterparts. In principle one should be able to characterize the -probably infinite-dimensional- algebraic extension of rationals which is representable by a given p-adic number field as p-adic numbers of unit norm. This does not look very practical.

2. At the level WCW one must direct the attention to the function spaces used to define partonic 2-surfaces. That is the spaces of rational functions or even algebraic functions with coefficients of polynomials in algebraic extensions of rational numbers making sense with arguments in all number fields so that algebraic extensions of rationals provide a neat hierarchy defining also the points of partonic 2-surfaces to be considered. If one considers only the algebraic points of  $X^2$  belonging to the extension appearing in the definition the function space as common to various number fields one has good hopes that the number of common points is finite.
3. Already the ratios of polynomials with rational coefficients lead to algebraic extensions of rationals via their roots. One can replace the coefficients of polynomials with numbers in algebraic extensions of rationals. Also algebraic functions involving roots of rational functions can be considered and force to introduce the algebraic extensions of p-adic numbers. For instance, an  $n$ :th root of a polynomial with rational coefficients is well defined if  $n$ :th roots of p-adic integers in the range  $(1, p-1)$  are well well-defined. One clearly obtains an infinite hierarchy of function spaces. This would give rise to a natural hierarchy in which one introduces  $n$ :th roots for a

minimum number of p-adic integers in the range  $(1, p - 1)$  in the range  $1 \leq n \leq N$ . Note that also the roots of unity would be introduced in a natural manner.

The situation is made more complex because the partonic 2-surface is in general defined by the vanishing of six rational functions so that algebraic extensions are needed. An exception occurs when six preferred imbedding space coordinates are expressible as rational functions of the remaining two preferred coordinates. In this case the number of common rational points consists of all rational points associated with the remaining two coordinates. This situation is clearly non-generic. Usually the number of common points is much smaller (the set of rational points satisfying  $x^n + y^n = z^n$  for  $n > 2$  is a good example). This however suggests that these surfaces are of special importance since the naive expectation is that the amplitude for transformation of intention to action or its reversal is especially large in this case. This might also explain why these surfaces are easy to understand mathematically.

4. These considerations suggest that the numbers common to reals and p-adics must be defined as rationals and algebraic numbers appearing explicitly in the algebraic extension or rationals associated with the function spaces used to define partonic 2-surfaces. This would make the deduction of the common points of partonic 2-surface a task possible at least in principle. Algebraic extensions of rationals rather than those of p-adic numbers would be in the fundamental role and induce the extensions of p-adic numbers.

### Braids and number theoretic braids

Braids -not necessary number theoretical- provide a realization discretization as a space-time correlate for the finite measurement resolution. The notion of braid was inspired by the idea about quantum TGD as almost topological quantum field theory. Although the original form of this idea has been buried, the notion of braid has survived: in the decomposition of space-time sheets to string world sheets, the ends of strings define representatives for braid strands at light-like 3-surfaces.

The notion of number theoretic universality inspired the much more restrictive notion of number theoretic braid requiring that the points in the intersection of the braid with the partonic 2-surface correspond to rational or at most algebraic points of  $H$  in preferred coordinates fixed by symmetry considerations. The challenge has been to find a unique identification of the number theoretic braid or at least of the end points of the braid. The following consideration suggest that the number theoretic braids are not a useful notion in the generic case but make sense and are needed in the intersection of real and p-adic worlds which is in crucial role in TGD based vision about living matter [49].

It is only the braiding that matters in topological quantum field theories used to classify braids. Hence braid should require only the fixing of the end points of the braids at the intersection of the braid at the light-like boundaries of  $CDs$  and the braiding equivalence class of the braid itself. Therefore it is enough to specify the topology of the braid and the end points of the braid in accordance with the attribute "number theoretic". Of course, the condition that all points of the strand of the number theoretic braid are algebraic is impossible to satisfy.

The situation in which the equations defining  $X^2$  make sense both in real sense and p-adic sense using appropriate algebraic extension of p-adic number field is central in the TGD based vision about living matter [49]. The reason is that in this case the notion of number entanglement theoretic entropy having negative values makes sense and entanglement becomes information carrying. This motivates the identification of life as something in the intersection of real and p-adic worlds. In this situation the identification of the ends of the number theoretic braid as points belonging to the intersection of real and p-adic worlds is natural. These points -call them briefly algebraic points- belong to the algebraic extension of rationals needed to define the algebraic extension of p-adic numbers. This definition however makes sense also when the equations defining the partonic 2-surfaces fail to make sense in both real and p-adic sense. In the generic case the set of points satisfying the conditions is discrete. For instance, according to Fermat's theorem the set of rational points satisfying  $X^n + Y^n = Z^n$  reduces to the point  $(0, 0, 0)$  for  $n = 3, 4, \dots$ . Hence the constraint might be quite enough in the intersection of real and p-adic worlds where the choice of the algebraic extension is unique.

One can however criticize this proposal.

1. One must fix the the number of points of the braid and outside the intersection and the non-uniqueness of the algebraic extension makes the situation problematic. Physical intuition suggests that the points of braid define carriers of quantum numbers assignable to second quantized

induced spinor fields so that the total number of fermions antifermions would define the number of braids. In the intersection the highly non-trivial implication is that this number cannot exceed the number of algebraic points.

2. In the generic case one expects that even the smallest deformation of the partonic 2-surface can change the number of algebraic points and also the character of the algebraic extension of rational numbers needed. The restriction to rational points is not expected to help in the generic case. If the notion of number theoretical braid is meant to be practical, must be able to decompose WCW to open sets inside which the numbers of algebraic points of braid at its ends are constant. For real topology this is expected to be impossible and it does not make sense to use p-adic topology for WCW whose points do not allow interpretation as p-adic partonic surfaces.
3. In the intersection of real and p-adic worlds which corresponds to a discrete subset of WCW, the situation is different. Since the coefficients of polynomials involved with the definition of the partonic 2-surface must be rational or at most algebraic, continuous deformations are not possible so that one avoids the problem.
4. This forces to ask the reason why for the number theoretic braids. In the generic case they seem to produce only troubles. In the intersection of real and p-adic worlds they could however allow the construction of the elements of  $M$ -matrix describing quantum transitions changing p-adic to real surfaces and vice versa as realizations of intentions and generation of cognitions. In this the case it is natural that only the data from the intersection of the two worlds are used. In [49] I have sketched the idea about number theoretic quantum field theory as a description of intentional action and cognition.

There is also the the problem of fixing the interior points of the braid modulo deformations not affecting the topology of the braid.

1. Infinite number of non-equivalent braidings are possible. Should one allow all possible braidings for a fixed light-like 3-surface and say that their existence is what makes the dynamics essentially three-dimensional even in the topological sense? In this case there would be no problems with the condition that the points at both ends of braid are algebraic.
2. Or should one try to characterize the braiding uniquely for a given partonic 2-surfaces and corresponding 4-D tangent space distributions? The slicing of the space-time sheet by partonic 2-surfaces and string world sheets suggests that the ends of string world sheets could define the braid strands in the generic context when there is no algebraicity condition involved. This could be taken as a very natural manner to fix the topology of braid but leave the freedom to choose the representative for the braid. In the intersection of real and p-adic worlds there is no good reason for the end points of strands in this case to be algebraic at both ends of the string world sheet. One can however start from the braid defined by the end points of string world sheets, restrict the end points to be algebraic at the end with a smaller number of algebraic points and then perform a topologically non-trivial deformation of the braid so that also the points at the other end are algebraic? Non-trivial deformations need not be possible for all possible choices of algebraic braid points at the other end of braid and different choices of the set of algebraic points would give rise to different braidings. A further constraint is that only the algebraic points at which one has assign fermion or antifermion are used so that the number of braid points is not always maximal.
3. One can also ask whether one should perform the gauge fixing for the strands of the number theoretic braid using algebraic functions making sense both in real and p-adic context. This question does not seem terribly relevant since since it is only the topology of the braid that matters.

### Number theoretical Quantum Mechanics

The vision about life as something in the intersection of the p-adic and real worlds requires a generalization of quantum theory to describe the  $U$ -process properly. One must answer several questions.

What it means mathematically to be in this intersection? What the leakage between different sectors does mean? Is it really possible to formally extend quantum theory so that direct sums of Hilbert spaces in different number fields make sense? Or should one consider the possibility of using only complex, algebraic, or rational Hilbert spaces also in p-adic sectors so that p-adicization would take place only at the level of geometry?

1. *What it means to be in the intersection of real and p-adic worlds?*

The first question is what one really means when one speaks about a partonic 2-surface in the intersection of real and p-adic worlds or in the intersection of two p-adic worlds.

1. Many algebraic numbers can be regarded also as ordinary p-adic numbers: square roots of roughly one half of integers provide a simple example about this. Should one assume that all algebraic numbers representable as ordinary p-adic numbers belong to the intersection of the real and p-adic variants of partonic 2-surface (or to the intersection of two different p-adic number fields)? Is there any hope that the listing of the points in the intersection is possible without a complete knowledge of the number theoretic anatomy of p-adic number fields in this kind of situation? And is the set of common algebraic points for real and p-adic variants of the partonic 2-surface  $X^2$  quite too large- say a dense sub-set of  $X^2$ ?

This hopeless looking complexity is simplified considerably if one reduces the considerations to algebraic extensions of rationals since these induce the algebraic extensions of p-adic numbers. For instance, if the p-adic number field contains some  $n$ :th roots of integers in the range  $(1, p-1)$  as ordinary p-adic numbers they are identified with their real counterparts. In principle one should be able to characterize the -probably infinite-dimensional- algebraic extension of rationals which is representable by a given p-adic number field as p-adic numbers of unit norm. This does not look very practical.

2. At the level WCW one must direct the attention to the function spaces used to define partonic 2-surfaces. That is the spaces of rational functions or even algebraic functions with coefficients of polynomials in algebraic extensions of rational numbers making sense with arguments in all number fields so that algebraic extensions of rationals provide a neat hierarchy defining also the points of partonic 2-surfaces to be considered. If one considers only the algebraic points of  $X^2$  belonging to the extension appearing in the definition the function space as common to various number fields one has good hopes that the number of common points is finite.
3. Already the ratios of polynomials with rational coefficients lead to algebraic extensions of rationals via their roots. One can replace the coefficients of polynomials with numbers in algebraic extensions of rationals. Also algebraic functions involving roots of rational functions can be considered and force to introduce the algebraic extensions of p-adic numbers. For instance, an  $n$ :th root of a polynomial with rational coefficients is well defined if  $n$ :th roots of p-adic integers in the range  $(1, p-1)$  are well well-defined. One clearly obtains an infinite hierarchy of function spaces. This would give rise to a natural hierarchy in which one introduces  $n$ :th roots for a minimum number of p-adic integers in the range  $(1, p-1)$  in the range  $1 \leq n \leq N$ . Note that also the roots of unity would be introduced in a natural manner.

The situation is made more complex because the partonic 2-surface is in general defined by the vanishing of six rational functions so that algebraic extensions are needed. An exception occurs when six preferred imbedding space coordinates are expressible as rational functions of the remaining two preferred coordinates. In this case the number of common rational points consists of all rational points associated with the remaining two coordinates. This situation is clearly non-generic. Usually the number of common points is much smaller (the set of rational points satisfying  $x^n + y^n = z^n$  for  $n > 2$  is a good example). This however suggests that these surfaces are of special importance since the naive expectation is that the amplitude for transformation of intention to action or its reversal is especially large in this case. This might also explain why these surfaces are easy to understand mathematically.

4. These considerations suggest that the numbers common to reals and p-adics must be defined as rationals and algebraic numbers appearing explicitly in the algebraic extension or rationals associated with the function spaces used to define partonic 2-surfaces. This would make the

deduction of the common points of partonic 2-surface a task possible at least in principle. Algebraic extensions of rationals rather than those of p-adic numbers would be in the fundamental role and induce the extensions of p-adic numbers.

Let us next try to summarize the geometrical picture at the level of  $WCW$  and  $WCW$  spinor fields.

1.  $WCW$  decomposes into  $WCWs$  associated with  $CDs$  and their unions. For the unions one has Cartesian product of  $WCWs$  associated with  $CDs$ . At the level of  $WCW$  spinor fields one has tensor product.
2. The  $WCW$  for a given  $CD$  decomposes into a union of sectors corresponding to various number fields and their algebraic extensions. The sub- $WCW$  corresponding to the intersection consists of partonic 2-surfaces  $X^2$  (plus distribution of 4-D tangent spaces  $T(X^4)$  at  $X^2$  - a complication which will not be considered in the sequel), whose mathematical representation makes sense in real number field and in some algebraic extensions of p-adic number fields. The extension of p-adic number fields needed for algebraic extension of rationals depends on  $p$  and is in general sub-extension of the extension of rationals. This sub- $WCW$  is a sub-manifold of  $WCW$  itself. It has also a filtering by sub-manifolds of  $QCW$ . For instance, partonic 2-surfaces representable using ratios of polynomials with degree below fixed number  $N$  defines an inclusion hierarchy with levels labelled by  $N$ .
3. The spaces of  $WCW$  spinors associated with these sectors are dictated by the second quantization of induced spinor fields with dynamics dictated by the modified Dirac action in more or less one-one correspondence. The dimension for the modes of induced spinor field (solutions of the modified Dirac equation at the space-time surface holographically assigned with  $X^2$  plus the 4-D tangent space-space distribution) in general depends on the partonic 2-surface and the classical criticality of space-time surface suggests an inclusion hierarchy of super-conformal algebras corresponding to a hierarchy of criticalities. For instance, the partonic 2-surfaces  $X^2$  having polynomial representations in referred coordinates could correspond to simplest possible surfaces nearest to the vacuum extremals and having in a well define sense smallest (but possibly infinite) dimension for the space of spinor modes.
4. For each  $CD$  one can decompose the Hilbert space to a formal direct sum of orthogonal state spaces associated with various number fields

$$H = \bigoplus_F H_F . \quad (10.2.38)$$

Here  $F$  serves as a label for number fields. For the sake of simplicity and to get idea about what is involved, all complications due to algebraic extensions are neglected in the sequel so that only rational surfaces are regarded as being common to various sectors of  $WCW$ .

5. The states in the direct sum make sense only formally since the formal inner product of these states would be a sum of numbers in different number fields unless one assigns complex Hilbert space with each sector or restricts the coefficients to be rational which is of course also possible. This problem is avoided if the state function reduction process induces inside each  $CD$  a choice of the number field. One could say that state function is a number theoretical necessity at least in this sense.
  - (a) Should the state function reduction in this sense involve a reduction of entanglement between distinct  $CDs$  is not clear. One could indeed consider the possibility of a purely number theoretical reduction not induced by NMP and taking place in the absence of entanglement with reduction probabilities determined by the probabilities assignable to various number fields which should be rational or at most algebraic. Hard experience however suggests that one should not make exceptions from principles.



- (b) The alternative is to allow the Hilbert spaces in question to have rational or at most algebraic coefficients in the intersection of real and various p-adic worlds. This means that the entanglement is algebraic and NMP need not lead to a pure state: the superposition of pairs of entangled states is however mathematically well defined since inner products give algebraic numbers. Cognitive entanglement stable under NMP would become possible. The experience of understanding could be a correlate for it. The pairs in the sum defining the entangled state defined the instances of a concept as a mapping of real world state to its symbol structurally analogous to a Boolean rule. The entangled states between different p-adic number fields would define maps between symbolic representations.
6. Assume that each  $H_F$  allows a decomposition to a direct sum of two orthogonal parts corresponding to  $WCW$  spinor fields localized to the intersection of number fields and to the complements of the intersection:

$$\begin{aligned} H &= H_{nm} \oplus H_m , \\ H_{nm} &= \oplus_F H_{nm,F} , \quad H_m = \oplus_F H_{m,F} . \end{aligned} \tag{10.2.39}$$

Here  $nm$  stands for 'no mixing' (no mixing between different number fields and localization to the complement of the intersection) and  $m$  for 'mixing' (mixing between different number fields in the intersection).  $F$  labels the number fields. Orthogonal direct sum might be mathematically rather singular and un-necessarily strong assumption but the notion of number theoretical criticality favors it.

2. *The general structure of  $U$ -matrix neglecting the complexities due to algebraic extensions*

$M$ -matrix is diagonal with respect to the number field for obvious reasons.  $U$ -matrix can however induce a leakage between different number fields as well as entanglement between different number fields when unions of  $CD$ s are considered. The simplest assumption is that this entanglement is induced by the leakage between different number fields for single  $CD$  but not directly. For instance, the members of entangled pair of real states associated with two  $CD$ s leak to various p-adic sectors and induce in this manner entanglement between different number fields. One must however notice that the part of  $U$ -matrix acting in the tensor product of Hilbert spaces assignable to separate  $CD$ s must be considered separately: it seems that the entanglement inducing part of  $U$  is diagonal with respect to number field except in the intersection.

To simplify the rather complex situation consider first the  $U$  matrix for a given  $CD$  by neglecting the possibility of algebraic extensions of the p-adic number fields. Restrict also the consideration to single  $CD$ .

1. The unitarity conditions do not make sense in a completely general sense since one cannot add numbers belonging to different number fields. The problem can be circumvented if the  $U$ -matrix decomposes into a product of  $U$ -matrices, which both are such that unitarity conditions make sense for them. Here an essential assumption is that unit matrix and projection operators are number theoretically universal. In this spirit assume that for a given  $CD$   $U$  decomposes to a product of two  $U$ -matrices  $U_{nm}$  inducing no mixing between different number fields and  $U_m$  inducing the mixing in the intersection:

$$U = U_{nm} U_m . \tag{10.2.40}$$

Here the subscript 'nm' (no mixing) having nothing to do with the induces of  $U$  as a matrix means that the action is restricted to a dispersion in a sector of  $WCW$  characterized by particular number field. The subscript 'm' (mixing) in turn means that the action corresponds to a leakage between different number fields possible in the intersection of worlds corresponding to different number fields and that  $U_m$  acts non-trivially in this intersection.

2. Assume that  $U_{nm}$  decomposes into a formal direct sum of  $U$ -matrices associated with various number fields  $F$ :

$$U_{nm} = \oplus_F U_{nm,F} . \quad (10.2.41)$$

$U_{nm,F}$  acts inside  $H_F$  in both  $WCW$  and spin degrees of freedom, does not mix states belonging to different number fields, and creates a state which is always mathematically completely well defined in particular number field although the direct sum over number fields is only formally defined. Unitarity condition gives a direct sum of projection operators to Hilbert spaces associated with various number fields. One can assume that this object is number theoretically universal.

3.  $U_m$  acts in the intersection of the real and p-adic worlds identified in the simplified picture in terms of surfaces representable using ratios of polynomials with rational coefficients. The resulting superposition of configuration space spinor fields in different number fields is as such not mathematical sensible although the expression of  $U_m$  is mathematically well-defined. If the leakage takes place with same probability amplitude irrespective of the quantum state,  $U_m$  is a unitary operator, not affecting at all the spinor indices of  $WCW$  spinor fields characterizing quantum numbers of the state and whose action is analogous to unitary mixing of the identical copies of the state in various number fields.

The probability with which the intention is realized as action would not therefore depend at all on the quantum number fields, but only on the data at points common to the variants of the partonic 2-surface in various number fields. Intention would reduce completely to the algebraic geometry of partonic 2-surfaces. This assumption allows to write  $U$  in the form

$$U = U_{nm}U_m , \quad (10.2.42)$$

where  $U_m$  acts as an identity operator in  $H_{nm}$ .

### 3. The general structure of $U$ -matrix when algebraic extensions of rationals are allowed

Consider now the generalization of the previous argument allowing also algebraic extensions.

1. For each algebraic extension of rationals one can express  $WCW$  as a union of two parts. The first one corresponds to 2-surfaces, which belong to the intersection of real and p-adic worlds. The second one corresponds to 2-surfaces in the algebraic extension of genuine p-adic numbers and having necessarily infinite size in real sense. Therefore the decomposition of  $U$  to a product  $U = U_{nm}U_m$  makes sense also now.
2. It is natural to assume that  $U_m$  decomposes to a product of two operators:  $U_m = U_H U_Q$ . The strictly horizontal operator  $U_H$  connects only same algebraic extensions of rationals assigned to different number fields. Here one must think that p-adic number fields represent a large number of algebraic extensions of rationals without need for an algebraic extension in the p-adic sense. The second unitary operator  $U_Q$  describes the leakage between different algebraic extensions of rationals. Number theoretical universality encourages the assumption that this unitary operator reduces to an operator  $U_Q$  acting on algebraic extensions of rationals regarded effectively as quantum states so that it would be same for all number fields. One can even consider the possibility that  $U_Q$  depends on the extensions of rationals only and not at all on partonic 2-surfaces. One cannot assume that  $U_Q$  corresponds just to an inclusion to a larger state space since this would give an infinite number of identical copies of same state and imply a non-normalizable state. Physically  $U_Q$  would define dispersion in the space of algebraic extension of rationals defining the rational function space giving rise to the sub- $WCW$ . The simplest possibility is that  $U_Q$  between different algebraic extensions is just the projection operator to their intersection multiplied by a numerical constant determined number theoretical in terms of ratios of dimensions of the algebraic extensions so that the diffusion between extensions products unit norm states.

One must take into account the consistency conditions from the web of inclusions for the algebraic extensions of rationals inducing extensions of p-adic numbers.

1. There is an infinite inverted pyramide-like web of natural inclusions of *WCW*s associated with algebraic extensions of rational numbers and one can assign a copy of this web to all number fields if a given p-adic number field is characterized by a web defined by algebraic extensions of rational numbers, which it is able to represent without explicit introduction of the algebraic extension, so that the pyramide is same for all number fields. For instance, the *WCW* corresponding to p-adic numbers proper is included to the *WCW*s associated with any of its genuine algebraic extensions and defines the lower tip of the inverted pyramide. From this tip an arrow emerges connecting it to every algebraic extension defining a node of this web. Besides these arrows there are arrows from a given extension to all extensions containing it.
2. These geometric inclusions induce inclusions of the corresponding Hilbert spaces defined by rational functions and possibly by algebraic functions in which case sub-web must be considered (all  $n$ :th roots of integers in the range  $(1, p - 1)$  must be introduced simultaneously). Leakage can occur between different extensions only through *WCW* spinor fields located in the common intersection of these spaces containing always the rational surfaces. The intersections of *WCW*s associated with various extensions of p-adic number fields correspond to *WCW*s assignable to rational functions with coefficients in various algebraic extensions of rationals using preferred coordinates of  $CD$  and  $CP_2$ .

Together with unitarity conditions this web poses strong constraints on the unitary matrices  $U_m$  and  $U_Q$  expressible conveniently in terms of commuting diagrams. There are two kinds of webs. The vertical webs are defined by the algebraic extensions of rationals. These form a larger web in which lines connect the nodes of identical webs associated with various p-adic number fields and represent algebraic extensions of rationals.

1. One has the general product decomposition  $U = U_{nm}U_QU_m$ , where  $U_{nm}$  does not induce mixing between number fields, and  $U_m$  does it purely horizontally but without affecting quantum states in *WCW* spin degrees of freedom, and  $P(H_{nm})$  projects to the complement of the intersection of number fields holds true also now.
2. Each algebraic extension of rationals gives unitary conditions for the corresponding  $U_{nm,F}$  for each p-adic number field with extensions included. These conditions are relatively simple and no commuting diagrams are needed.
3. In the horizontal web  $U_m$  mixes the states in the intersections of two number fields but connects only same algebraic extensions so that the lines are strictly horizontal.  $U_Q$  acts strictly vertically in the web formed by algebraic extension of rationals and its action is unitary. One has infinite number of commuting diagrams involving  $U_m$  and  $U_Q$  since the actions along all routes connecting given points between  $p_1$  and  $p_2$  must be identical.
4. If algebraic universality holds in the sense that  $U_m$  is expressible using only the data about the common points of 2-surfaces in the intersection defined by particular extensions using some universal functions, and  $U_Q$  is purely number theoretical unitary matrix having no dependence on partonic 2-surfaces, one can hope that the constraints due to commuting diagrams in the web of horizontal inclusions can be satisfied automatically and only the unitarity constraints remain. This web of inclusions brings strongly in mind the web of inclusions of hyper-finite factors.

## 10.3 TGD and classical number fields

This section is devoted to the vision about TGD as a generalized number theory. The basic theme is the role of classical number fields [79, 71, 67] in quantum TGD. A central notion is  $M^8 - H$  duality which might be also called number theoretic compactification. This duality allows to identify imbedding space equivalently either as  $M^8$  or  $M^4 \times CP_2$  and explains the symmetries of standard model number theoretically. These number theoretical symmetries induce also the symmetries dictating the geometry of the "world of classical worlds" (*WCW*) as a union of symmetric spaces [75]. This

infinite-dimensional Kähler geometry is expected to be highly unique from the mere requirement of its existence requiring infinite-dimensional symmetries provided by the generalized conformal symmetries of the light-cone boundary  $\delta M_+^4 \times S$  and of light-like 3-surfaces and the answer to the question what makes 8-D imbedding space and  $S = CP_2$  so unique would be the reduction of these symmetries to number theory.

Zero energy ontology has become the corner stone of also number theoretical vision. In zero energy ontology either light-like or space-like 3-surfaces can be identified as the fundamental dynamical objects, and the extension of general coordinate invariance leads to effective 2-dimensionality (strong form of holography) in the sense that the data associated with partonic 2-surfaces and the distribution of 4-D tangent spaces at them located at the light-like boundaries of causal diamonds (*CDs*) defined as intersections of future and past directed light-cones code for quantum physics and the geometry of WCW. Also the hierarchy of Planck constants [35] plays a role but not so important one.

The basic number theoretical structures are complex numbers, quaternions [71] and octonions [67], and their complexifications obtained by introducing additional commuting imaginary unit  $\sqrt{-1}$ . Hyper-octonionic (-quaternionic,-complex) sub-spaces for which octonionic imaginary units are multiplied by commuting  $\sqrt{-1}$  have naturally Minkowskian signature of metric. The question is whether and how the hyper-structures could allow to understand quantum TGD in terms of classical number fields. The answer which looks the most convincing one relies on the existence of octonionic representation of 8-D gamma matrix algebra.

1. The first guess is that associativity condition for the sub-algebras of the local Clifford algebra defined in this manner could select 4-D surfaces as associative (hyper-quaternionic) sub-spaces of this algebra and define WCW purely number theoretically. The associative sub-spaces in question would be spanned by the modified gamma matrices defined by the modified Dirac action fixed by the variational principle (Kähler action) selecting space-time surfaces as preferred extremals [18].
2. This condition is quite not enough: one must strengthen it with the condition that a preferred commutative and thus hyper-complex sub-algebra is contained in the tangent space of the space-time surface. This condition actually generalizes somewhat since one can introduce a family of so called Hamilton-Jacobi coordinates for  $M^4$  allowing an integrable distribution of decompositions of tangent space to the space of non-physical and physical polarizations [29]. The physical interpretation is as a number theoretic realization of gauge invariance selecting a preferred local commutative plane of non-physical polarizations.
3. Even this is not yet the whole story: one can define also the notions of co-associativity and co-commutativity applying in the regions of space-time surface with Euclidian signature of the induced metric. The basic unproven conjecture is that the decomposition of space-time surfaces to associative and co-associative regions containing preferred commutative *resp.* co-commutative 2-plane in the 4-D tangent plane is equivalent with the preferred extremal property of Kähler action and the hypothesis that space-time surface allows a slicing by string world sheets and by partonic 2-surfaces [18].

### Hyper-octonions and hyper-quaternions

The discussions for years ago with Tony Smith [69] stimulated very general ideas about space-time surface as an associative, quaternionic sub-manifold of octonionic 8-space: in what sense remained however an open question. Also the observation that quaternionic and octonionic primes have norm squared equal to prime in complete accordance with p-adic length scale hypothesis, led to suspect that the notion of primeness for quaternions, and perhaps even for octonions, might be fundamental for the formulation of quantum TGD. The original idea was that space-time surfaces could be regarded as four-surfaces in 8-D imbedding space with the property that the tangent spaces of these spaces can be locally regarded as 4- *resp.* 8-dimensional quaternions and octonions.

It took some years to realize that the difficulties related to the realization of Lorentz invariance might be overcome by replacing quaternions and octonions with hyper-quaternions and hyper-octonions. Hyper-quaternions *resp.* -octonions is obtained from the algebra of ordinary quaternions and octonions by multiplying the imaginary part with  $\sqrt{-1}$  and can be regarded as a sub-space of complexified quaternions *resp.* octonions. The transition is the number theoretical counterpart of the

transition from Riemannian to pseudo-Riemannian geometry performed already in Special Relativity. The loss of number field and even sub-algebra property is not fatal and has a clear physical meaning. The notion of primeness is inherited from that for complexified quaternions *resp.* octonions.

Complexified number fields make also sense *p*-adically unlike the notions of number fields themselves unless restricted to be algebraic extensions of rational variants of number fields. What deserves separate emphasis is that the basic structure of the standard model would reduce to number theory.

### Number theoretical compactification and $M^8 - H$ duality

The notion of hyper-quaternionic and octonionic manifold makes sense but it not plausible that  $H = M^4 \times CP_2$  could be endowed with a hyper-octonionic manifold structure. Situation changes if  $H$  is replaced with hyper-octonionic  $M^8$ . Suppose that  $X^4 \subset M^8$  consists of hyper-quaternionic and co-hyper-quaternionic regions. The basic observation is that the hyper-quaternionic sub-spaces of  $M^8$  with a fixed hyper-complex structure (containing in their tangent space a fixed hyper-complex subspace  $M^2$  or at least one of the light-like lines of  $M^2$ ) are labeled by points of  $CP_2$ . Hence each hyper-quaternionic and co-hyper-quaternionic four-surface of  $M^8$  defines a 4-surface of  $M^4 \times CP_2$ . One can loosely say that the number-theoretic analog of spontaneous compactification occurs: this of course has nothing to do with dynamics as in super string model.

This picture was still too naive and it became clear that not all known extremals of Kähler action contain fixed  $M^2 \subset M^4$  or light-like line of  $M^2$  in their tangent space.

1. The first option represents the minimal form of number theoretical compactification.  $M^8$  is interpreted as the tangent space of  $H$ . Only the 4-D tangent spaces of light-like 3-surfaces  $X_l^3$  (wormhole throats or boundaries) are assumed to be hyper-quaternionic or co-hyper-quaternionic and contain fixed  $M^2$  or its light-like line in their tangent space. Hyper-quaternionic regions would naturally correspond to space-time regions with Minkowskian signature of the induced metric and their co-counterparts to the regions for which the signature is Euclidian. What is of special importance is that this assumption solves the problem of identifying the boundary conditions fixing the preferred extremals of Kähler action since in the generic case the intersection of  $M^2$  with the 3-D tangent space of  $X_l^3$  is 1-dimensional. The surfaces  $X^4(X_l^3) \subset M^8$  would be hyper-quaternionic or co-hyper-quaternionic but would not allow a local mapping between the 4-surfaces of  $M^8$  and  $H$ .
2. One can also consider a more local map of  $X^4(X_l^3) \subset H$  to  $X^4(X_l^3) \subset M^8$ . The idea is to allow  $M^2 \subset M^4 \subset M^8$  to vary from point to point so that  $S^2 = SO(3)/SO(2)$  characterizes the local choice of  $M^2$  in the interior of  $X^4$ . This leads to a quite nice view about strong geometric form of  $M^8 - H$  duality in which  $M^8$  is interpreted as tangent space of  $H$  and  $X^4(X_l^3) \subset M^8$  has interpretation as tangent for a curve defined by light-like 3-surfaces at  $X_l^3$  and represented by  $X^4(X_l^3) \subset H$ . Space-time surfaces  $X^4(X_l^3) \subset M^8$  consisting of hyper-quaternionic and co-hyper-quaternionic regions would naturally represent a preferred extremal of  $E^4$  Kähler action. The value of the action would be same as  $CP_2$  Kähler action.  $M^8 - H$  duality would apply also at the induced spinor field and at the level of configuration space.
3. Strong form of  $M^8 - H$  duality satisfies all the needed constraints if it represents Kähler isometry between  $X^4(X_l^3) \subset M^8$  and  $X^4(X_l^3) \subset H$ . This implies that light-like 3-surface is mapped to light-like 3-surface and induced metrics and Kähler forms are identical so that also Kähler action and field equations are identical. The only differences appear at the level of induced spinor fields at the light-like boundaries since due to the fact that gauge potentials are not identical.
4. The map of  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  would be crucial for the realization of the number theoretical universality.  $M^8 = M^4 \times E^4$  allows linear coordinates as those preferred coordinates in which the points of imbedding space are rational/algebraic. Thus the point of  $X^4 \subset H$  is algebraic if it is mapped to algebraic point of  $M^8$  in number theoretic compactification. This of course restricts the symmetry groups to their rational/algebraic variants but this does not have practical meaning. Number theoretical compactification could thus be motivated by the number theoretical universality.
5. The possibility to use either  $M^8$  or  $H$  picture might be extremely useful for calculational purposes. In particular,  $M^8$  picture based on  $SO(4)$  gluons rather than  $SU(3)$  gluons could per-

turbative description of low energy hadron physics. The strong  $SO(4)$  symmetry of low energy hadron physics can be indeed seen direct experimental support for the  $M^8 - H$  duality.

### Notations

Some notational conventions are in order before continuing. The fields of quaternions *resp.* octonions having dimension 4 *resp.* 8 and will be denoted by  $Q$  and  $O$ . Their complexified variants will be denoted by  $Q_C$  and  $O_C$ . The sub-spaces of hyper-quaternions  $HQ$  and hyper-octonions  $HO$  are obtained by multiplying the quaternionic and octonionic imaginary units by  $\sqrt{-1}$ . These sub-spaces are very intimately related with the corresponding algebras, and can be seen as Euclidian and Minkowkian variants of the same basic structure. Also the Abelianized versions of the hyper-quaternionic and -octonionic sub-spaces can be considered: these algebras have a representation in the space of spinors of imbedding space  $H = M^4 \times CP_2$ .

### 10.3.1 Quaternion and octonion structures and their hyper counterparts

In this introductory section the notions of quaternion and octonion structures and their hyper counterparts are introduced with strong emphasis on the physical interpretation. Literature contains several variants of these structures (Hyper-Kähler structure [41] and quaternion Kähler structure possessed also by  $CP_2$  [42]). The notion introduced here is inspired by the physical motivations coming from TGD. As usual the first proposal based on the notions of (hyper-)quaternion and (hyper-)octonion analyticity was not the correct one. Much later a local variant of the notion based on tangent space emerged.

#### Octonions and quaternions

In the following only the basic definitions relating to octonions and quaternions are given. There is an excellent article by John Baez [67] describing octonions and their relations to the rest of mathematics and physics.

Octonions can be expressed as real linear combinations  $\sum_k x^k I_k$  of the octonionic real unit  $I_0 = 1$  (counterpart of the unit matrix) and imaginary units  $I_a$ ,  $a = 1, \dots, 7$  satisfying

$$\begin{aligned} I_0^2 &= I_0 \equiv 1 \quad , \\ I_a^2 &= -I_0 = -1 \quad , \\ I_0 I_a &= I_a \quad . \end{aligned} \tag{10.3.1}$$

Octonions are closed with respect to the ordinary sum of the 8-dimensional vector space and with respect to the octonionic multiplication, which is neither commutative ( $ab \neq ba$  in general) nor associative ( $a(bc) \neq (ab)c$  in general).

A concise manner to summarize octonionic multiplication is by using octonionic triangle. Each line (6 altogether) containing 3 octonionic imaginary units forms an associative triple which together with  $I_0 = 1$  generate a division algebra of quaternions. Also the circle spanned by the 3 imaginary units at the middle of the sides of the triangle is associative triple. The multiplication rules for each associative triple are simple:

$$I_a I_b = \epsilon_{abc} I_c \quad , \tag{10.3.2}$$

where  $\epsilon_{abc}$  is 3-dimensional permutation symbol.  $\epsilon_{abc} = 1$  for the clockwise sequence of vertices (the direction of the arrow along the circumference of the triangle and circle). As a special case this rule gives the multiplication table of quaternions. A crucial observation for what follows is that any pair of imaginary units belongs to one associative triple.

The non-vanishing structure constants  $d_{ab}^c$  of the octonionic algebra can be read directly from the octonionic triangle. For a given pair  $I_a, I_b$  one has

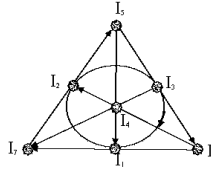


Figure 10.2: Octonionic triangle: the six lines and one circle containing three vertices define the seven associative triplets for which the multiplication rules of the ordinary quaternion imaginary units hold true. The arrow defines the orientation for each associative triplet. Note that the product for the units of each associative triplets equals to real unit apart from sign factor.

$$\begin{aligned}
 I_a I_b &= d_{ab}{}^c I_c , \\
 d_{ab}{}^c &= \epsilon_{ab}{}^c , \\
 I_a^2 &= d_{aa}{}^0 I_0 = -I_0 , \\
 I_0^2 &= d_{00}{}^0 I_0 , \\
 I_0 I_a &= d_{0a}{}^a I_a = I_a .
 \end{aligned}
 \tag{10.3.3}$$

For  $\epsilon_{abc}$   $c$  belongs to the same associative triple as  $ab$ .

Non-associativity means that is not possible to represent octonions as matrices since matrix product is associative. Quaternions can be represented and the structure constants provide the defining representation as  $I_a \rightarrow d_{abc}$ , where  $b$  and  $c$  are regarded as matrix indices of  $4 \times 4$  matrix. The algebra automorphisms of octonions form 14-dimensional group  $G_2$ , one of the so called exceptional Lie-groups. The isotropy group of imaginary octonion unit is the group  $SU(3)$ . The Euclidian inner product of the two octonions is defined as the real part of the product  $\bar{x}y$

$$\begin{aligned}
 (x, y) &= Re(\bar{x}y) = \sum_{k=0,..7} x_k y_k , \\
 \bar{x} &= x^0 I_0 - \sum_{i=1,..,7} x^i I_i ,
 \end{aligned}
 \tag{10.3.4}$$

and is just the Euclidian norm of the 8-dimensional space.

### Hyper-octonions and hyper-quaternions

The Euclidicity of the quaternion norm suggests that octonions are not a sensible concept in TGD context. One can imagine two manners to circumvent this conclusion.

1. Minkowskian metric for octonions and quaternions is obtained by identifying Minkowski inner product  $xy$  as the real counterpart of the product

$$x \cdot y \equiv \text{Re}(xy) = x^0 y^0 - \sum_k x^k y^k . \quad (10.3.5)$$

$SO(1, 7)$  ( $SO(1, 3)$  in quaternionic case) Lorentz invariance appears completely naturally as the symmetry of the real part of the octonion (quaternion) product and hence of octonions/quaternions and there is no need to perform the complexification of the octonion algebra. Furthermore, only the signature  $(1, 7)$  ( $(1, 3)$  in the quaternionic case) is possible and this would raise  $M_+^4 \times CP_2$  in a preferred position.

This norm does not give rise to a number theoretic norm defining a homomorphism to real numbers. Indeed, the number theoretic norm defined by the determinant of the linear mapping defined by the multiplication with quaternion or octonion, is inherently Euclidian. This is in conflict with the idea that quaternionic and octonionic primes and their infinite variants should have key role in TGD [16].

2. Hyper-octonions and hyper-quaternions provide a possible solution to these problems. These are obtained by multiplying imaginary units by commutative and associative  $\sqrt{-1}$ . These numbers form a sub-space of complexified octonions/quaternions and the cross product of imaginary parts leads out from this sub-space. In this case number theoretic norm induced from  $Q_C/O_C$  gives the fourth/eighth power of Minkowski length and Lorentz group acts as its symmetries. Light-like hyper-quaternions and -octonions causing the failure of the number field property have also a clear physical interpretation.

A criticism against the notion of hyper-quaternionic and octonionic primeness is that the tangent space as an algebra property is lost and the notion of primeness is inherited from  $Q_C/O_C$ . Also non-commutativity and non-associativity could cause difficulties.

Zero energy ontology leads to a possible physical interpretation of complexified octonions. The moduli space for causal diamonds corresponds to a Cartesian product of  $M^4 \times CP_2$  whose points label the position of either tip of  $CD \times CP_2$  and space  $I$  whose points label the relative position of the second tip with respect to the first one. p-Adic length scale hypothesis results if one assumes that the proper time distance between the tips comes in powers of two so that one has union of hyperboloids  $H_n \times CP_2$ ,  $H_n = \{m \in M_+^4 | a = 2^n a_0\}$ . A further quantization of hyperboloids  $H_n$  is obtained by replacing it with a lattice like structure is highly suggestive and would correspond to an orbit of a point of  $H_n$  under a subgroup of  $SL(2, Q_C)$  or  $SL(2, Z_C)$  acting as Lorentz transformations in standard manner. Also algebraic extensions of  $Q_C$  and  $Z_C$  can be considered. Also in the case of  $CP_2$  discretization is highly suggestive so that one would have an orbit of a point of  $CP_2$  under a discrete subgroup of  $SU(3, Q)$ .

The outcome could be interpreted by saying that the moduli space in question is  $H \times I$  such that  $H$  corresponds to hyper-octonions and  $I$  to a discretized version of  $\sqrt{-1}H$  and thus a subspace of complexified octonions. An open question whether the quantization has some deeper mathematical meaning.

### Basic constraints

Before going to details it is useful to make clear the constraints on the concept of the hyper-octonionic structure implied by TGD view about physics.

$M^4 \times CP_2$  cannot certainly be regarded as having any global octonionic structure (for instance in the sense that it could be regarded as a coset space associated with some exceptional group). There are however clear indications for the importance of the hyper-quaternionic and -octonionic structures.

1.  $SU(3)$  is the only simple 8-dimensional Lie-group and acts as the group of isometries of  $CP_2$ : if  $SU(3)$  had some kind of octonionic structure,  $CP_2$  would become unique candidate for the space  $S$ . The decomposition  $SU(3) = h + t$  to  $U(2)$  subalgebra and its complement corresponds rather closely to the decomposition of (hyper-)octonions to (hyper-)quaternionic sub-space and



its complement. The electro-weak  $U(2)$  algebra has a natural 1+3 decomposition and generators allow natural hyper-quaternionic structure. The components of the Weyl tensor of  $CP_2$  behave with respect to multiplication like quaternionic imaginary units but only one of them is covariantly constant so that hyper Kähler structure [41] with three covariantly constant quaternionic imaginary units represented by Kähler forms is not possible. These tensors and metric tensor however define quaternionic structure [42].

2.  $M_+^4$  has a natural 1+3 decomposition and a unique cosmic time coordinate defined as the light cone proper time. Hyper-quaternionic structure is consistent with the Minkowskian signature of the inner product and hyper quaternion units have a natural representation in terms of covariantly constant self-dual symplectic forms [72] and their contractions with sigma matrices. It is not however clear whether this representation is physically interesting.

### How to define hyper-quaternionic and hyper-octonionic structures?

I have considered several proposals for how to define quaternionic and octonionic structures and their hyper-counterparts.

1. (Hyper-)octonionic manifolds would be obtained by gluing together coordinate patches using (hyper-)octonion analytic functions with real Laurent coefficients (this guarantees associativity and commutativity). This definition does not yet involve metric or any other structures (such as Kähler structure). This approach does not seem to be physically realistic.
2. Second option is based on the idea of representing quaternionic and octonionic imaginary units as antisymmetric tensors. This option makes sense for quaternionic manifolds [61] and  $CP_2$  indeed represents an example of this kind of manifold. The problem with the octonionic structure is that antisymmetric tensors cannot define non-associative product.
3. If the manifold is endowed with metric, octonionic structure should be defined as a local tangent space structure analogous to eight-bein structure and local gauge algebra structures. This can be achieved by contracting octo-bein vectors with the standard octonionic basis to get octonion form  $I_k$ . Each vector field  $a^k$  defines naturally octonion field  $A = a^k I_k$ . The product of two vector fields can be defined by the octonionic multiplication and this leads to the introduction of a tensor field  $d_{klm}$  of these structure constants obtained as the contraction of the octo-bein vectors with the octonionic structure constants  $d_{abc}$ . Hyper-octonion structure can be defined in a completely analogous manner.

It is possible to induce octonionic structure to any 4-dimensional space-time surface by forming the projection of  $I_k$  to the space-time surface and redefining the products of  $I_k$ 's by dropping away that part of the product, which is orthogonal to the space-time surface. This means that the structure constants of the new 4-dimensional algebra are the projections of  $d_{klm}$  to the space-time surface. One can also define similar induced algebra in the 4-dimensional normal space of the space-time surface. The hypothesis would be that the induced tangential is associative or hyper-quaternionic algebra. Also co-associativity defined as associativity of the normal space algebra is possible. This property would give for the 4-dimensionality of the space-time surface quite special algebraic meaning. The problem is now that there is no direct connection with quantum TGD proper- in particular the connection with the classical dynamics defined by Kähler action is lacking.

4. 8-dimensional gamma matrices allow a representation in terms of tensor products of octonions and  $2 \times 2$  matrices. Genuine matrices are of course not in question since the product of the gamma matrices fails to be associative. An associative representation is obtained by restricting the matrices to a quaternionic plane of complex octonions. If the space-time surface is hyper-quaternionic in the sense that induced gamma matrices define a quaternionic plane of complexified octonions at each point of space-time surface the resulting local Clifford algebra is associative and structure constants define a matrix representation for the induced gamma matrices.

A more general definition allows gamma matrices to be modified gamma matrices defined by Kähler action appearing in the modified Dirac action and forced both by internal consistency and

super-conformal symmetry [31, 18]. The modified gamma matrices associated with Kähler action do not in general define tangent space of the space-time surface as the induced gamma matrices do. Also co-associativity can be considered if one can identify a preferred imaginary unit such that the multiplication of the modified gamma matrices with this unit gives a quaternionic basis. This condition makes sense only if the preferred extremals of the action are hyper-quaternionic surfaces in the sense defined by the action. That this is true for Kähler action at least is an unproven conjecture.

In the sequel only the fourth option will be considered.

### How to end up to quantum TGD from number theory?

An interesting possibility is that quantum TGD could emerge from a condition that a local version of hyper-finite factor of type  $II_1$  represented as a local version of infinite-dimensional Clifford algebra exists. The conditions are that "center or mass" degrees of freedom characterizing the position of  $CD$  separate uniquely from the "vibrational" degrees of freedom being represented in terms of octonions and that for physical states associativity holds true. The resulting local Clifford algebra would be identifiable as the local Clifford algebra of WCW (being an analog of local gauge groups and conformal fields [83]).

The uniqueness of  $M^8$  and  $M^4 \times CP_2$  as well as the role of hyper-quaternionic space-time surfaces as fundamental dynamical objects indeed follow from rather weak conditions if one restricts the consideration to gamma matrices and spinors instead of assuming that  $M^8$  coordinates are hyper-octonionic as was done in the first attempts.

1. The unique feature of  $M^8$  and any 8-dimensional space with Minkowski signature of metric is that it is possible to have an octonionic representation of the complexified gamma matrices [31, 23] and of spinors. This does not require octonionic coordinates for  $M^8$ . The restriction to a quaternionic plane for both gamma matrices and spinors guarantees the associativity.
2. One can also consider a local variant of the octonionic Clifford algebra in  $M^8$ . This algebra contains associative subalgebras for which one can assign to each point of  $M^8$  a hyper-quaternionic plane. It is natural to assume that this plane is either a tangent plane of 4-D manifold defined naturally by the induced gamma matrices defining a basis of tangent space or more generally, by modified gamma matrices defined by a variational principle (these gamma matrices do not define tangent space in general). Kähler action defines a unique candidate for the variational principle in question. Associativity condition would automatically select sub-algebras associated with 4-D hyper-quaternionic space-time surfaces.
3. This vision bears a very concrete connection to quantum TGD. In [23] the octonionic formulation of the modified Dirac equation is studied and shown to lead to a highly unique general solution ansatz for the equation working also for the matrix representation of the Clifford algebra. An open question is whether the resulting solution as such defined also solutions of the modified Dirac equation for the matrix representation of gammas. Also a possible identification for 8-dimensional counterparts of twistors as octo-twistors follows: associativity implies that these twistors are very closely related to the ordinary twistors. In TGD framework octo-twistors provide an attractive manner to get rid of the difficulties posed by massive particles for the ordinary twistor formalism.
4. Associativity implies hyperquaternionic space-time surfaces (in a more general sense as usual) and this leads naturally to the notion of WCW and local Clifford algebra in this space. Number theoretic arguments imply  $M^8 - H$  duality. The resulting infinite-dimensional Clifford algebra would differ from von Neumann algebras in that the Clifford algebra and spinors assignable to the center of mass degrees of freedom of causal diamond  $CD$  would be expressed in terms of octonionic units although they are associative at space-time surfaces. One can therefore say that quantum TGD follows by assuming that the tangent space of the imbedding space corresponds to a classical number field with maximal dimension.
5. The slicing of the Minkowskian space-time surface inside  $CD$  by stringy world sheets and by partonic 2-surfaces inspires the question whether the modified gamma matrices associated with the

stringy world sheets *resp.* partonic 2-surfaces could be commutative *resp.* co-commutative. Commutativity would also be seen as the justification for why the fundamental objects are effectively 2-dimensional.

This formulation is undeniably the most convincing one found hitherto since the notion of hyper-quaternionic structure is local and has elegant formulation in terms of modified gamma matrices.

### 10.3.2 Number theoretical compactification and $M^8 - H$ duality

This section summarizes the basic vision about number theoretic compactification reducing the classical dynamics to number theory. In strong form  $M^8 - H$  duality boils down to the assumption that space-time surfaces can be regarded either as surfaces of  $H$  or as surfaces of  $M^8$  composed of associative and co-associative regions identifiable as regions of space-time possessing Minkowskian *resp.* Euclidian signature of the induced metric.

#### Basic idea behind $M^8 - M^4 \times CP_2$ duality

The observation that  $M^4 \times CP_2$  does not allow octonionic structure in the sense that transition functions would be octonion analytic functions with real coefficients forced to ask whether four-surfaces  $X^4 \subset M^8$  could under some conditions define 4-surfaces in  $M^4 \times CP_2$  indirectly so that the spontaneous compactification of super string models would correspond in TGD to two different manners to interpret the space-time surface. The following arguments suggest that this is indeed the case. One could end up to the duality also from the attempt to understand  $M^4 \times CP_2$  decomposition number theoretically.

The hard mathematical fact behind number theoretical compactification is that the quaternionic sub-algebras of octonions with fixed complex structure (that is complex sub-space) are parameterized by  $CP_2$  just as the complex planes of quaternion space are parameterized by  $CP_1 = S^2$ . Same applies to hyper-quaternionic sub-spaces of hyper-octonions.  $SU(3)$  would thus have an interpretation as the isometry group of  $CP_2$ , as the automorphism sub-group of octonions, and as color group.

1. The space of complex structures of the octonion space is parameterized by  $S^6$ . The subgroup  $SU(3)$  of the full automorphism group  $G_2$  respects the a priori selected complex structure and thus leaves invariant one octonionic imaginary unit, call it  $e_1$ . Hyper-quaternions can be identified as  $U(2)$  Lie-algebra but it is obvious that hyper-octonions do not allow an identification as  $SU(3)$  Lie algebra. Rather, octonions decompose as  $1 \oplus 1 \oplus 3 \oplus \bar{3}$  to the irreducible representations of  $SU(3)$ .
2. Geometrically the choice of a preferred complex (quaternionic) structure means fixing of complex (quaternionic) sub-space of octonions. The fixing of a hyper-quaternionic structure of hyper-octonionic  $M^8$  means a selection of a fixed hyper-quaternionic sub-space  $M^4 \subset M^8$  implying the decomposition  $M^8 = M^4 \times E^4$ . If  $M^8$  is identified as the tangent space of  $H = M^4 \times CP_2$ , this decomposition results naturally. It is also possible to select a fixed hyper-complex structure, which means a further decomposition  $M^4 = M^2 \times E^2$ .
3. The basic result behind number theoretic compactification and  $M^8 - H$  duality is that hyper-quaternionic sub-spaces  $M^4 \subset M^8$  containing a fixed hyper-complex sub-space  $M^2 \subset M^4$  or its light-like line  $M_{\pm}$  are parameterized by  $CP_2$ . The choices of a fixed hyper-quaternionic basis  $1, e_1, e_2, e_3$  with a fixed complex sub-space (choice of  $e_1$ ) are labeled by  $U(2) \subset SU(3)$ . The choice of  $e_2$  and  $e_3$  amounts to fixing  $e_2 \pm \sqrt{-1}e_3$ , which selects the  $U(2) = SU(2) \times U(1)$  subgroup of  $SU(3)$ .  $U(1)$  leaves 1 invariant and induced a phase multiplication of  $e_1$  and  $e_2 \pm e_3$ .  $SU(2)$  induces rotations of the spinor having  $e_2$  and  $e_3$  components. Hence all possible completions of  $1, e_1$  by adding  $e_2, e_3$  doublet are labeled by  $SU(3)/U(2) = CP_2$ .
4. Space-time surface  $X^4 \subset M^8$  is by the standard definition hyper-quaternionic if the tangent spaces of  $X^4$  are hyper-quaternionic planes. Co-hyper-quaternionicity means the same for normal spaces. The presence of fixed hyper-complex structure means at space-time level that the tangent space of  $X^4$  contains fixed  $M^2$  at each point. Under this assumption one can map the points  $(m, e) \in M^8$  to points  $(m, s) \in H$  by assigning to the point  $(m, e)$  of  $X^4$  the point  $(m, s)$ , where  $s \in CP_2$  characterize  $T(X^4)$  as hyper-quaternionic plane. This definition is not the only one and

even the appropriate one in TGD context the replacement of the tangent plane with the 4-D plane spanned by modified gamma matrices defined by Kähler action is a more natural choice. This plane is not parallel to tangent plane in general. In the sequel  $T(X^4)$  denotes the preferred 4-plane which co-incides with tangent plane of  $X^4$  only if the action defining modified gamma matrices is 4-volume.

5. The choice of  $M^2$  can be made also local in the sense that one has  $T(X^4) \supset M^2(x) \subset M^4 \subset H$ . It turns out that strong form of number theoretic compactification requires this kind of generalization. In this case one must be able to fix the convention how the point of  $CP_2$  is assigned to a hyper-quaternionic plane so that it applies to all possible choices of  $M^2 \subset M^4$ . Since  $SO(3)$  hyper-quaternionic rotation relates the hyper-quaternionic planes to each other, the natural assumption is hyper-quaternionic planes related by  $SO(3)$  rotation correspond to the same point of  $CP_2$ . Under this assumption it is possible to map hyper-quaternionic surfaces of  $M^8$  for which  $M^2 \subset M^4$  depends on point of  $X^4$  to  $H$ .

### Hyper-octonionic Pauli "matrices" and modified definition of hyper-quaternionicity

Hyper-octonionic Pauli matrices suggest an interesting possibility to define precisely what hyper-quaternionicity means at space-time level (for background see [40]).

1. According to the standard definition space-time surface  $X^4$  is hyper-quaternionic if the tangent space at each point of  $X^4$  in  $X^4 \subset M^8$  picture is hyper-quaternionic. What raises worries is that this definition involves in no manner the action principle so that it is far from obvious that this identification is consistent with the vacuum degeneracy of Kähler action. It also unclear how one should formulate hyper-quaternionicity condition in  $X^4 \subset M^4 \times CP_2$  picture.
2. The idea is to map the modified gamma matrices  $\Gamma^\alpha = \frac{\partial L_K}{\partial h_\alpha^k} \Gamma^k$ ,  $\Gamma_k = e_k^A \gamma_A$ , to hyper-octonionic Pauli matrices  $\sigma^\alpha$  by replacing  $\gamma_A$  with hyper-octonion unit. Hyper-quaternionicity would state that the hyper-octonionic Pauli matrices  $\sigma^\alpha$  obtained in this manner span complexified quaternion sub-algebra at each point of space-time. These conditions would provide a number theoretic manner to select preferred extremals of Kähler action. Remarkably, this definition applies both in case of  $M^8$  and  $M^4 \times CP_2$ .
3. Modified Pauli matrices span the tangent space of  $X^4$  if the action is four-volume because one has  $\frac{\partial L_K}{\partial h_\alpha^k} = \sqrt{g} g^{\alpha\beta} \partial h_\beta^l h_{kl}$ . Modified gamma matrices reduce to ordinary induced gamma matrices in this case: 4-volume indeed defines a super-conformally symmetric action for ordinary gamma matrices since the mass term of the Dirac action given by the trace of the second fundamental form vanishes for minimal surfaces.
4. For Kähler action the hyper-quaternionic sub-space does not coincide with the tangent space since  $\frac{\partial L_K}{\partial h_\alpha^k}$  contains besides the gravitational contribution coming from the induced metric also the "Maxwell contribution" from the induced Kähler form not parallel to space-time surface. Modified gamma matrices are required by super conformal symmetry for the extremals of Kähler action and they also guarantee that vacuum extremals defined by surfaces in  $M^4 \times Y^2$ ,  $Y^2$  a Lagrange sub-manifold of  $CP_2$ , are trivially hyper-quaternionic surfaces. The modified definition of hyper-quaternionicity does not affect in any manner  $M^8 \leftrightarrow M^4 \times CP_2$  duality allowing purely number theoretic interpretation of standard model symmetries.

A side comment not strictly related to hyper-quaternionicity is in order. The anticommutators of the modified gamma matrices define an effective Riemann metric and one can assign to it the counterparts of Riemann connection, curvature tensor, geodesic line, volume, etc... One would have two different metrics associated with the space-time surface. Only if the action defining space-time surface is identified as the volume in the ordinary metric, these metrics are equivalent. The index raising for the effective metric could be defined also by the induced metric and it is not clear whether one can define Riemann connection also in this case. Could this effective metric have concrete physical significance and play a deeper role in quantum TGD? For instance, AdS-CFT duality leads to ask whether interactions be coded in terms of the gravitation associated with the effective metric.

### Minimal form of $M^8 - H$ duality

The basic problem in the construction of quantum TGD has been the identification of the preferred extremals of Kähler action playing a key role in the definition of the theory. The most elegant manner to do this is by fixing the 4-D tangent space  $T(X^4(X_l^3))$  of  $X^4(X_l^3)$  at each point of  $X_l^3$  so that the boundary value problem is well defined. What I called number theoretical compactification allows to achieve just this although I did not fully realize this in the original vision. The minimal picture is following.

1. The basic observations are following. Let  $M^8$  be endowed with hyper-octonionic structure. For hyper-quaternionic space-time surfaces in  $M^8$  tangent spaces are by definition hyper-quaternionic. If they contain a preferred plane  $M^2 \subset M^4 \subset M^8$  in their tangent space, they can be mapped to 4-surfaces in  $M^4 \times CP_2$ . The reason is that the hyper-quaternionic planes containing preferred the hyper-complex plane  $M^2$  of  $M_\pm \subset M^2$  are parameterized by points of  $CP_2$ . The map is simply  $(m, e) \rightarrow (m, s(m, e))$ , where  $m$  is point of  $M^4$ ,  $e$  is point of  $E^4$ , and  $s(m, 2)$  is point of  $CP_2$  representing the hyperquaternionic plane. The inverse map assigns to each point  $(m, s)$  in  $M^4 \times CP_2$  point  $m$  of  $M^4$ , undetermined point  $e$  of  $E^4$  and 4-D plane. The requirement that the distribution of planes containing the preferred  $M^2$  or  $M_\pm$  corresponds to a distribution of planes for 4-D surface is expected to fix the points  $e$ . The physical interpretation of  $M^2$  is in terms of plane of non-physical polarizations so that gauge conditions have purely number theoretical interpretation.
2. In principle, the condition that  $T(X^4)$  contains  $M^2$  can be replaced with a weaker condition that either of the two light-like vectors of  $M^2$  is contained in it since already this condition assigns to  $T(X^4)$   $M^2$  and the map  $H \rightarrow M^8$  becomes possible. Only this weaker form applies in the case of massless extremals [29] as will be found.
3. The original idea was that hyper-quaternionic 4-surfaces in  $M^8$  containing  $M^2 \subset M^4$  in their tangent space could correspond to preferred extremals of Kähler action. This condition does not seem to be consistent with what is known about the extremals of Kähler action. The weaker form of the hypothesis is that hyper-quaternionicity holds only for 4-D tangent spaces of  $X_l^3 \subset H = M^4 \times CP_2$  identified as wormhole throats or boundary components lifted to 3-surfaces in 8-D tangent space  $M^8$  of  $H$ . The minimal hypothesis would be that only  $T(X^4(X_l^3))$  at  $X_l^3$  is associative that is hyper-quaternionic for fixed  $M^2$ .  $X_l^3 \subset M^8$  and  $T(X^4(X_l^3))$  at  $X_l^3$  can be mapped to  $X_l^3 \subset H$  if tangent space contains also  $M_\pm \subset M^2$  or  $M^2 \subset M^4 \subset M^8$  itself having interpretation as preferred hyper-complex plane. This condition is not satisfied by all surfaces  $X_l^3$  as is clear from the fact that the inverse map involves local  $E^4$  translation. The requirements that the distribution of hyper-quaternionic planes containing  $M^2$  corresponds to a distribution of 4-D tangent planes should fix the  $E^4$  translation to a high degree.
4. A natural requirement is that the image of  $X_l^3 \subset H$  in  $M^8$  is light-like. The condition that the determinant of induced metric vanishes gives an additional condition reducing the number of free parameters by one. This condition cannot be formulated as a condition on  $CP_2$  coordinate characterizing the hyper-quaternionic plane. Since  $M^4$  projections are same for the two representations, this condition is satisfied if the contributions from  $CP_2$  and  $E^4$  and projections to the induced metric are identical:  $s_{kl}\partial_\alpha s^k \partial_\beta s^l = e_{kl}\partial_\alpha e^k \partial_\beta e^l$ . This condition means that only a subset of light-like surfaces of  $M^8$  are realized physically. One might argue that this is as it must be since the volume of  $E^4$  is infinite and that of  $CP_2$  finite: only an infinitesimal portion of all possible light-like 3-surfaces in  $M^8$  can have  $H$  counterparts. The conclusion would be that number theoretical compactification is 4-D isometry between  $X^4 \subset H$  and  $X^4 \subset M^8$  at  $X_l^3$ . This unproven conjecture is unavoidable.
5.  $M^2 \subset T(X^4(X_l^3))$  condition fixes  $T(X^4(X_l^3))$  in the generic case by extending the tangent space of  $X_l^3$ , and the construction of configuration space spinor structure fixes boundary conditions completely by additional conditions necessary when  $X_l^3$  corresponds to a light-like 3 surfaces defining wormhole throat at which the signature of induced metric changes. What is especially beautiful that only the data in  $T(X^4(X_l^3))$  at  $X_l^3$  is needed to calculate the vacuum functional of the theory as Dirac determinant: the only remaining conjecture (strictly speaking un-necessary

but realistic looking) is that this determinant gives exponent of Kähler action for the preferred extremal and there are excellent hopes for this by the structure of the basic construction.

The basic criticism relates to the condition that light-like 3-surfaces are mapped to light-like 3-surfaces guaranteed by the condition that  $M^8 - H$  duality is isometry at  $X_l^3$ .

### Strong form of $M^8 - H$ duality

The proposed picture is the minimal one. One can of course ask whether the original much stronger conjecture that the preferred extrema of Kähler action correspond to hyper-quaternionic surfaces could make sense in some form. One can also wonder whether one could allow the choice of the plane  $M^2$  of non-physical polarization to be local so that one would have  $M^2(x) \subset M^4 \subset M^4 \times E^4$ , where  $M^4$  is fixed hyper-quaternionic sub-space of  $M^8$  and identifiable as  $M^4$  factor of  $H$ .

1. If  $M^2$  is same for all points of  $X_l^3$ , the inverse map  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  is fixed apart from possible non-uniqueness related to the local translation in  $E^4$  from the condition that hyper-quaternionic planes represent light-like tangent 4-planes of light-like 3-surfaces. The question is whether not only  $X_l^3$  but entire four-surface  $X^4(X_l^3)$  could be mapped to the tangent space of  $M^8$ . By selecting suitably the local  $E^4$  translation one might hope of achieving the achieving this. The conjecture would be that the preferred extrema of Kähler action are those for which the distribution integrates to a distribution of tangent planes.
2. There is however a problem. What is known about extremals of Kähler action is not consistent with the assumption that fixed  $M^2$  of  $M_\pm \subset M^2$  is contained in the tangent space of  $X^4$ . This suggests that one should relax the condition that  $M^2 \subset M^4 \subset M^8$  is a fixed hyper-complex plane associated with the tangent space or normal space  $X^4$  and allow  $M^2$  to vary from point to point so that one would have  $M^2 = M^2(x)$ . In  $M^8 \rightarrow H$  direction the justification comes from the observation (to be discussed below) that it is possible to uniquely fix the convention assigning  $CP_2$  point to a hyper-quaternionic plane containing varying hyper-complex plane  $M^2(x) \subset M^4$ . Number theoretic compactification fixes naturally  $M^4 \subset M^8$  so that it applies to any  $M^2(x) \subset M^4$ . Under this condition the selection is parameterized by an element of  $SO(3)/SO(2) = S^2$ . Note that  $M^4$  projection of  $X^4$  would be at least 2-dimensional in hyper-quaternionic case. In co-hyper-quaternionic case  $E^4$  projection would be at least 2-D.  $SO(2)$  would act as a number theoretic gauge symmetry and the  $SO(3)$  valued chiral field would approach to constant at  $X_l^3$  invariant under global  $SO(2)$  in the case that one keeps the assumption that  $M^2$  is fixed ad  $X_l^3$ .
3. This picture requires a generalization of the map assigning to hyper-quaternionic plane a point of  $CP_2$  so that this map is defined for all possible choices of  $M^2 \subset M^4$ . Since the  $SO(3)$  rotation of the hyper-quaternionic unit defining  $M^2$  rotates different choices parameterized by  $S^2$  to each other, a natural assumption is that the hyper-quaternionic planes related by  $SO(3)$  rotation correspond to the same point of  $CP_2$ . Denoting by  $M^2$  the standard representative of  $M^2$ , this means that for the map  $M^8 \rightarrow H$  one must perform  $SO(3)$  rotation of hyper-quaternionic plane taking  $M^2(x)$  to  $M^2$  and map the rotated plane to  $CP_2$  point. In  $M^8 \rightarrow H$  case one must first map the point of  $CP_2$  to hyper-quaternionic plane and rotate this plane by a rotation taking  $M^2(x)$  to  $M^2$ .
4. In this framework local  $M^2$  can vary also at the surfaces  $X_l^3$ , which considerably relaxes the boundary conditions at wormhole throats and light-like boundaries and allows much more general variety of light-like 3-surfaces since the basic requirement is that  $M^4$  projection is at least 1-dimensional. The physical interpretation would be that a local choice of the plane of non-physical polarizations is possible everywhere in  $X^4(X_l^3)$ . This does not seem to be in any obvious conflict with physical intuition.

These observation provide support for the conjecture that (classical)  $S^2 = SO(3)/SO(2)$  conformal field theory might be relevant for (classical) TGD.

1. General coordinate invariance suggests that the theory should allow a formulation using any light-like 3-surface  $X^3$  inside  $X^4(X_l^3)$  besides  $X_l^3$  identified as union of wormhole throats and boundary components. For these surfaces the element  $g(x) \in SO(3)$  would vary also at partonic

2-surfaces  $X^2$  defined as intersections of  $\delta CD \times CP_2$  and  $X^3$  (here  $CD$  denotes causal diamond defined as intersection of future and past directed light-cones). Hence one could have  $S^2 = SO(3)/SO(2)$  conformal field theory at  $X^2$  (regarded as quantum fluctuating so that also  $g(x)$  varies) generalizing to WZW model for light-like surfaces  $X^3$ .

2. The presence of  $E^4$  factor would extend this theory to a classical  $E^4 \times S^2$  WZW model bringing in mind string model with 6-D Euclidian target space extended to a model of light-like 3-surfaces. A further extension to  $X^4$  would be needed to integrate the WZW models associated with 3-surfaces to a full 4-D description. General Coordinate Invariance however suggests that  $X_l^3$  description is enough for practical purposes.
3. The choices of  $M^2(x)$  in the interior of  $X_l^3$  is dictated by dynamics and the first optimistic conjecture is that a classical solution of  $SO(3)/SO(2)$  Wess-Zumino-Witten model obtained by coupling  $SO(3)$  valued field to a covariantly constant  $SO(2)$  gauge potential characterizes the choice of  $M^2(x)$  in the interior of  $M^8 \supset X^4(X_l^3) \subset H$  and thus also partially the structure of the preferred extremal. Second optimistic conjecture is that the Kähler action involving also  $E^4$  degrees of freedom allows to assign light-like 3-surface to light-like 3-surface.
4. The best that one can hope is that  $M^8 - H$  duality could allow to transform the extremely non-linear classical dynamics of TGD to a generalization of WZW-type model. The basic problem is to understand how to characterize the dynamics of  $CP_2$  projection at each point.

In  $H$  picture there are two basic types of vacuum extremals:  $CP_2$  type extremals representing elementary particles and vacuum extremals having  $CP_2$  projection which is at most 2-dimensional Lagrange manifold and representing say hadron. Vacuum extremals can appear only as limiting cases of preferred extremals which are non-vacuum extremals. Since vacuum extremals have so decisive role in TGD, it is natural to require that this notion makes sense also in  $M^8$  picture. In particular, the notion of vacuum extremal makes sense in  $M^8$ .

This requires that Kähler form exist in  $M^8$ .  $E^4$  indeed allows full  $S^2$  of covariantly constant Kähler forms representing quaternionic imaginary units so that one can identify Kähler form and construct Kähler action. The obvious conjecture is that hyper-quaternionic space-time surface is extremal of this Kähler action and that the values of Kähler actions in  $M^8$  and  $H$  are identical. The elegant manner to achieve this, as well as the mapping of vacuum extremals to vacuum extremals and the mapping of light-like 3-surfaces to light-like 3-surfaces is to assume that  $M^8 - H$  duality is Kähler isometry so that induced Kähler forms are identical.

This picture contains many speculative elements and some words of warning are in order.

1. Light-likeness conjecture would boil down to the hypothesis that  $M^8 - H$  correspondence is Kähler isometry so that the metric and Kähler form of  $X^4$  induced from  $M^8$  and  $H$  would be identical. This would guarantee also that Kähler actions for the preferred extremal are identical. This conjecture is beautiful but strong.
2. The slicing of  $X^4(X_l^3)$  by light-like 3-surfaces is very strong condition on the classical dynamics of Kähler action and does not make sense for pieces of  $CP_2$  type vacuum extremals.

it 1. Minkowskian-Euclidian  $\leftrightarrow$  associative-co-associative

The 8-dimensionality of  $M^8$  allows to consider both associativity (hyper-quaternionicity) of the tangent space and associativity of the normal space- let us call this co-associativity of tangent space- as alternative options. Both options are needed as has been already found. Since space-time surface decomposes into regions whose induced metric possesses either Minkowskian or Euclidian signature, there is a strong temptation to propose that Minkowskian regions correspond to associative and Euclidian regions to co-associative regions so that space-time itself would provide both the description and its dual.

The proposed interpretation of conjectured associative-co-associative duality relates in an interesting manner to p-adic length scale hypothesis selecting the primes  $p \simeq 2^k$ ,  $k$  positive integer as preferred p-adic length scales.  $L_p \propto \sqrt{p}$  corresponds to the p-adic length scale defining the size of the space-time sheet at which elementary particle represented as  $CP_2$  type extremal is topologically

condensed and is of order Compton length.  $L_k \propto \sqrt{k}$  represents the p-adic length scale of the worm-hole contacts associated with the  $CP_2$  type extremal and  $CP_2$  size is the natural length unit now. Obviously the quantitative formulation for associative-co-associative duality would be in terms  $p \rightarrow k$  duality.

2. *Are the known extremals of Kähler action consistent with the strong form of  $M^8 - H$  duality*

It is interesting to check whether the known extremals of Kähler action [29] are consistent with strong form of  $M^8 - H$  duality assuming that  $M^2$  or its light-like ray is contained in  $T(X^4)$  or normal space.

1.  $CP_2$  type vacuum extremals correspond cannot be hyper-quaternionic surfaces but co-hyper-quaternionicity is natural for them. In the same manner canonically imbedded  $M^4$  can be only hyper-quaternionic.
2. String like objects are associative since tangent space obviously contains  $M^2(x)$ . Objects of form  $M^1 \times X^3 \subset M^4 \times CP_2$  do not have  $M^2$  either in their tangent space or normal space in  $H$ . So that the map from  $H \rightarrow M^8$  is not well defined. There are no known extremals of Kähler action of this type. The replacement of  $M^1$  random light-like curve however gives vacuum extremal with vanishing volume, which need not mean physical triviality since fundamental objects of the theory are light-like 3-surfaces.
3. For canonically imbedded  $CP_2$  the assignment of  $M^2(x)$  to normal space is possible but the choice of  $M^2(x) \subset N(CP_2)$  is completely arbitrary. For a generic  $CP_2$  type vacuum extremals  $M^4$  projection is a random light-like curve in  $M^4 = M^1 \times E^3$  and  $M^2(x)$  can be defined uniquely by the normal vector  $n \in E^3$  for the local plane defined by the tangent vector  $dx^\mu/dt$  and acceleration vector  $d^2x^\mu/dt^2$  assignable to the orbit.
4. Consider next massless extremals. Let us fix the coordinates of  $X^4$  as  $(t, z, x, y) = (m^0, m^2, m^1, m^2)$ . For simplest massless extremals  $CP_2$  coordinates are arbitrary functions of variables  $u = k \cdot m = t - z$  and  $v = \epsilon \cdot m = x$ , where  $k = (1, 1, 0, 0)$  is light-like vector of  $M^4$  and  $\epsilon = (0, 0, 1, 0)$  a polarization vector orthogonal to it. Obviously, the extremals defines a decomposition  $M^4 = M^2 \times E^2$ . Tangent space is spanned by the four  $H$ -vectors  $\nabla_\alpha h^k$  with  $M^4$  part given by  $\nabla_\alpha m^k = \delta_\alpha^k$  and  $CP_2$  part by  $\nabla_\alpha s^k = \partial_u s^k k_\alpha + \partial_v s^k \epsilon_\alpha$ .

The normal space cannot contain  $M^4$  vectors since the  $M^4$  projection of the extremal is  $M^4$ . To realize hyper-quaternionic representation one should be able to from these vector two vectors of  $M^2$ , which means linear combinations of tangent vectors for which  $CP_2$  part vanishes. The vector  $\partial_t h^k - \partial_z h^k$  has vanishing  $CP_2$  part and corresponds to  $M^4$  vector  $(1, -1, 0, 0)$  fix assigns to each point the plane  $M^2$ . To obtain  $M^2$  one would need  $(1, 1, 0, 0)$  too but this is not possible. The vector  $\partial_y h^k$  is  $M^4$  vector orthogonal to  $\epsilon$  but  $M^2$  would require also  $(1, 0, 0, 0)$ . The proposed generalization of massless extremals allows the light-like line  $M_\pm$  to depend on point of  $M^4$  [29], and leads to the introduction of Hamilton-Jacobi coordinates involving a local decomposition of  $M^4$  to  $M^2(x)$  and its orthogonal complement with light-like coordinate lines having interpretation as curved light rays.  $M^2(x) \subset T(X^4)$  assumption fails fails also for vacuum extremals of form  $X^1 \times X^3 \subset M^4 \times CP_2$ , where  $X^1$  is light-like random curve. In the latter case, vacuum property follows from the vanishing of the determinant of the induced metric.

5. The deformations of string like objects to magnetic flux quanta are basic conjectural extremals of Kähler action and the proposed picture supports this conjecture. In hyper-quaternionic case the assumption that local 4-D plane of  $X^3$  defined by modified gamma matrices contains  $M^2(x)$  but that  $T(X^3)$  does not contain it, is very strong. It states that  $T(X^4)$  at each point can be regarded as a product  $M^2(x) \times T^2$ ,  $T^2 \subset T(CP_2)$ , so that hyper-quaternionic  $X^4$  would be a collection of Cartesian products of infinitesimal 2-D planes  $M^2(x) \subset M^4$  and  $T^2(x) \subset CP_2$ . The extremals in question could be seen as local variants of string like objects  $X^2 \times Y^2 \subset M^4 \times CP_2$ , where  $X^2$  is minimal surface and  $Y^2$  holomorphic surface of  $CP_2$ . One can say that  $X^2$  is replaced by a collection of infinitesimal pieces of  $M^2(x)$  and  $Y^2$  with similar pieces of homologically non-trivial geodesic sphere  $S^2(x)$  of  $CP_2$ , and the Cartesian products of these pieces are glued together to form a continuous surface defining an extremal of Kähler action. Field equations would pose conditions on how  $M^2(x)$  and  $S^2(x)$  can depend on  $x$ . This description applies to magnetic flux quanta, which are the most important must-be extremals of Kähler action.



### 3. Geometric interpretation of strong $M^8 - H$ duality

In the proposed framework  $M^8 - H$  duality would have a purely geometric meaning and there would be nothing magical in it.

1.  $X^4(X_l^3) \subset H$  could be seen as a curve representing the orbit of a light-like 3-surface defining a 4-D surface. The question is how to determine the notion of tangent vector for the orbit of  $X_l^3$ . Intuitively the tangent vector is a one-dimensional arrow tangential to the curve at point  $X_l^3$ . The identification of the hyper-quaternionic surface  $X^4(X_l^3) \subset M^8$  as a tangent vector conforms with this intuition.
2. One could argue that  $M^8$  representation of space-time surface is kind of chart of the real space-time surface obtained by replacing the real curve by its tangent line. If so, one cannot avoid the question under which conditions this kind of chart is faithful. An alternative interpretation is that a representation making possible to realize number theoretical universality is in question.
3. An interesting question is whether  $X^4(X_l^3)$  as an orbit of a light-like 3-surface is analogous to a geodesic line -possibly light-like- so that its tangent vector would be parallel translated in the sense that  $X^4(X^3)$  for any light-like surface at the orbit is the same as  $X^4(X_l^3)$ . This would give justification for the possibility to interpret space-time surfaces as a geodesic of configuration space: this is one of the first -and practically forgotten- speculations inspired by the construction of configuration space geometry. The light-likeness of the geodesic could correspond at the level of  $X^4$  to the possibility to decompose the tangent space to a direct sum of two light-like spaces and a 2-D transversal space producing the foliation of  $X^4$  to light-like 3-surfaces  $X_l^3$  along light-like curves.
4.  $M^8 - H$  duality would assign to  $X_l^3$  a classical orbit and its tangent vector at  $X_l^3$  as a generalization of a Bohr orbit. This picture differs from the wave-particle duality of wave mechanics stating that once the position of a particle is known its momentum is completely unknown. The outcome is however the same: for  $X_l^3$  corresponding to wormhole throats and light-like boundaries of  $X^4$ , canonical momentum densities in the normal direction vanish identically by conservation laws and one can say that the analog of  $(q, p)$  phase space as the space carrying wave functions is replaced with the analog of a subspace consisting of points  $(q, 0)$ . The dual description in  $M^8$  would not be analogous to wave functions in momentum space but to those in the space of unique tangents of curves at their initial points.

### 4. The Kähler and spinor structures of $M^8$

If one introduces  $M^8$  as dual to  $H$ , one cannot avoid the idea that hyper-quaternionic surfaces obtained as images of the preferred extremals of Kähler action in  $H$  are also extremals of  $M^8$  Kähler action with the same value of Kähler action. As found, this leads to the conclusion that the  $M^8 - H$  duality is Kähler isometry. Coupling of spinors to Kähler potential is the next step and this in turn leads to the introduction of a spinor structure so that quantum TGD in  $H$  should have full  $M^8$  dual.

There are strong physical constraints on  $M^8$  dual and they could kill the hypothesis. The basic constraint to the spinor structure of  $M^8$  is that it reproduces basic facts about electro-weak interactions. This includes neutral electro-weak couplings to quarks and leptons identified as different  $H$ -chiralities and parity breaking.

1. By the flatness of the metric of  $E^4$  its spinor connection is trivial.  $E^4$  however allows full  $S^2$  of covariantly constant Kähler forms so that one can accommodate free independent Abelian gauge fields assuming that the independent gauge fields are orthogonal to each other when interpreted as realizations of quaternionic imaginary units.
2. One should be able to distinguish between quarks and leptons also in  $M^8$ , which suggests that one introduce a spinor structure and Kähler structure in  $E^4$ . The Kähler structure of  $E^4$  is unique apart from  $SO(3)$  rotation since all three quaternionic imaginary units and the unit vectors formed from them allow a representation as an antisymmetric tensor. Hence one must select one preferred Kähler structure, that is fix a point of  $S^2$  representing the selected imaginary unit. It is natural to assume different couplings of the Kähler gauge potential to spinor chiralities representing quarks and leptons: these couplings can be assumed to be the same as in the case of  $H$ .

3. Electro-weak gauge potential has vectorial and axial parts. Em part is vectorial involving coupling to Kähler form and  $Z^0$  contains both axial and vector parts. The free Kähler forms could thus allow to produce  $M^8$  counterparts of these gauge potentials possessing same couplings as their  $H$  counterparts. This picture would produce parity breaking in  $M^8$  picture correctly.
4. Only the charged parts of classical electro-weak gauge fields would be absent. This would conform with the standard thinking that charged classical fields are not important. The predicted classical  $W$  fields is one of the basic distinctions between TGD and standard model and in this framework. A further prediction is that this distinction becomes visible only in situations, where  $H$  picture is necessary. This is the case at high energies, where the description of quarks in terms of  $SU(3)$  color is convenient whereas  $SO(4)$  QCD would require large number of  $E^4$  partial waves. At low energies large number of  $SU(3)$  color partial waves are needed and the convenient description would be in terms of  $SO(4)$  QCD. Proton spin crisis might relate to this.
5. Also super-symmetries of quantum TGD crucial for the construction of configuration space geometry force this picture. In the absence of coupling to Kähler gauge potential all constant spinor fields and their conjugates would generate super-symmetries so that  $M^8$  would allow  $N = 8$  super-symmetry. The introduction of the coupling to Kähler gauge potential in turn means that all covariantly constant spinor fields are lost. Only the representation of all three neutral parts of electro-weak gauge potentials in terms of three independent Kähler gauge potentials allows right-handed neutrino as the only super-symmetry generator as in the case of  $H$ .
6. The  $SO(3)$  element characterizing  $M^2(x)$  is fixed apart from a local  $SO(2)$  transformation, which suggests an additional  $U(1)$  gauge field associated with  $SO(2)$  gauge invariance and representable as Kähler form corresponding to a quaternionic unit of  $E^4$ . A possible identification of this gauge field would be as a part of electro-weak gauge field.

5.  $M^8$  dual of configuration space geometry and spinor structure?

If one introduces  $M^8$  spinor structure and preferred extremals of  $M^8$  Kähler action, one cannot avoid the question whether it is possible or useful to formulate the notion of configuration space geometry and spinor structure for light-like 3-surfaces in  $M^8$  using the exponent of Kähler action as vacuum functional.

1. The isometries of the configuration space in  $M^8$  and  $H$  formulations would correspond to symplectic transformation of  $\delta M_{\pm}^4 \times E^4$  and  $\delta M_{\pm}^4 \times CP_2$  and the Hamiltonians involved would belong to the representations of  $SO(4)$  and  $SU(3)$  with 2-dimensional Cartan sub-algebras. In  $H$  picture color group would be the familiar  $SU(3)$  but in  $M^8$  picture it would be  $SO(4)$ . Color confinement in both  $SU(3)$  and  $SO(4)$  sense could allow these two pictures without any inconsistency.
2. For  $M^4 \times CP_2$  the two spin states of covariantly constant right handed neutrino and antineutrino spinors generate super-symmetries. This super-symmetry plays an important role in the proposed construction of configuration space geometry. As found, this symmetry would be present also in  $M^8$  formulation so that the construction of  $M^8$  geometry should reduce more or less to the replacement of  $CP_2$  Hamiltonians in representations of  $SU(3)$  with  $E^4$  Hamiltonians in representations of  $SO(4)$ . These Hamiltonians can be taken to be proportional to functions of  $E^4$  radius which is  $SO(4)$  invariant and these functions bring in additional degree of freedom.
3. The construction of Dirac determinant identified as a vacuum functional can be done also in  $M^8$  picture and the conjecture is that the result is same as in the case of  $H$ . In this framework the construction is much simpler due to the flatness of  $E^4$ . In particular, the generalized eigen modes of the Dirac operator  $D_K(Y_i^3)$  restricted to the  $X_i^3$  correspond to a situation in which one has fermion in induced Maxwell field mimicking the neutral part of electro-weak gauge field in  $H$  as far as couplings are considered. Induced Kähler field would be same as in  $H$ . Eigen modes are localized to regions inside which the Kähler magnetic field is non-vanishing and apart from the fact that the metric is the effective metric defined in terms of canonical momentum densities via the formula  $\hat{\Gamma}^\alpha = \partial L_K / \partial h_\alpha^k \Gamma_k$  for effective gamma matrices. This in fact, forces the localization of modes implying that their number is finite so that Dirac determinant is a

product over finite number eigenvalues. It is clear that  $M^8$  picture could dramatically simplify the construction of configuration space geometry.

4. The eigenvalue spectra of the transversal parts of  $D_K$  operators in  $M^8$  and  $H$  should be identical. This motivates the question whether it is possible to achieve a complete correspondence between  $H$  and  $M^8$  pictures also at the level of spinor fields at  $X^3$  by performing a gauge transformation eliminating the classical  $W$  gauge boson field altogether at  $X_l^3$  and whether this allows to transform the modified Dirac equation in  $H$  to that in  $M^8$  when restricted to  $X_l^3$ . That something like this might be achieved is supported by the fact that in Coulombic gauge the component of gauge potential in the light-like direction vanishes so that the situation is effectively 2-dimensional and holonomy group is Abelian.

#### 6. Why $M^8 - H$ duality is useful?

Skeptics could of course argue that  $M^8 - H$  duality produces only an inflation of unproven conjectures. There are however strong reasons for  $M^8 - H$  duality: both theoretical and physical.

1. The map of  $X_l^3 \subset H \rightarrow X_l^3 \subset M^8$  and corresponding map of space-time surfaces would allow to realize number theoretical universality.  $M^8 = M^4 \times E^4$  allows linear coordinates as natural coordinates in which one can say what it means that the point of imbedding space is rational/algebraic. The point of  $X^4 \subset H$  is algebraic if it is mapped to an algebraic point of  $M^8$  in number theoretic compactification. This of course restricts the symmetry groups to their rational/algebraic variants but this does not have practical meaning. Number theoretical compactification could in fact be motivated by the number theoretical universality.
2.  $M^8 - H$  duality could provide much simpler description of preferred extremals of Kähler action since the Kähler form in  $E^4$  has constant components. If the spinor connection in  $E^4$  is combination of the three Kähler forms mimicking neutral part of electro-weak gauge potential, the eigenvalue spectrum for the modified Dirac operator would correspond to that for a fermion in  $U(1)$  magnetic field defined by an Abelian magnetic field whereas in  $M^4 \times CP_2$  picture  $U(2)_{ew}$  magnetic fields would be present.
3.  $M^8 - H$  duality provides insights to low energy hadron physics.  $M^8$  description might work when  $H$ -description fails. For instance, perturbative QCD which corresponds to  $H$ -description fails at low energies whereas  $M^8$  description might become perturbative description at this limit. Strong  $SO(4) = SU(2)_L \times SU(2)_R$  invariance is the basic symmetry of the phenomenological low energy hadron models based on conserved vector current hypothesis (CVC) and partially conserved axial current hypothesis (PCAC). Strong  $SO(4) = SU(2)_L \times SU(2)_R$  relates closely also to electro-weak gauge group  $SU(2)_L \times U(1)$  and this connection is not well understood in QCD description.  $M^8 - H$  duality could provide this connection. Strong  $SO(4)$  symmetry would emerge as a low energy dual of the color symmetry. Orbital  $SO(4)$  would correspond to strong  $SU(2)_L \times SU(2)_R$  and by flatness of  $E^4$  spin like  $SO(4)$  would correspond to electro-weak group  $SU(2)_L \times U(1)_R \subset SO(4)$ . Note that the inclusion of coupling to Kähler gauge potential is necessary to achieve respectable spinor structure in  $CP_2$ . One could say that the orbital angular momentum in  $SO(4)$  corresponds to strong isospin and spin part of angular momentum to the weak isospin.

#### $M^8 - H$ duality and low energy hadron physics

The description of  $M^8 - H$  at the configuration space level can be applied to gain a view about color confinement and its dual for electro-weak interactions at short distance limit. The basic idea is that  $SO(4)$  and  $SU(3)$  provide dual descriptions of quark color using  $E^4$  and  $CP_2$  partial waves and low energy hadron physics corresponds to a situation in which  $M^8$  picture provides the perturbative approach whereas  $H$  picture works at high energies. The basic prediction is that  $SO(4)$  should appear as dynamical symmetry group of low energy hadron physics and this is indeed the case.

Consider color confinement at the long length scale limit in terms of  $M^8 - H$  duality.

1. At high energy limit only lowest color triplet color partial waves for quarks dominate so that QCD description becomes appropriate whereas very higher color partial waves for quarks and

gluons are expected to appear at the confinement limit. Since configuration space degrees of freedom begin to dominate, color confinement limit transcends the descriptive power of QCD.

2. The success of  $SO(4)$  sigma model in the description of low lying hadrons would directly relate to the fact that this group labels also the  $E^4$  Hamiltonians in  $M^8$  picture. Strong  $SO(4)$  quantum numbers can be identified as orbital counterparts of right and left handed electro-weak isospin coinciding with strong isospin for lowest quarks. In sigma model pion and sigma boson form the components of  $E^4$  valued vector field or equivalently collection of four  $E^4$  Hamiltonians corresponding to spherical  $E^4$  coordinates. Pion corresponds to  $S^3$  valued unit vector field with charge states of pion identifiable as three Hamiltonians defined by the coordinate components. Sigma is mapped to the Hamiltonian defined by the  $E^4$  radial coordinate. Excited mesons corresponding to more complex Hamiltonians are predicted.
3. The generalization of sigma model would assign to quarks  $E^4$  partial waves belonging to the representations of  $SO(4)$ . The model would involve also 6  $SO(4)$  gluons and their  $SO(4)$  partial waves. At the low energy limit only lowest representations would be important whereas at higher energies higher partial waves would be excited and the description based on  $CP_2$  partial waves would become more appropriate.
4. The low energy quark model would rely on quarks moving  $SO(4)$  color partial waves. Left *resp.* right handed quarks could correspond to  $SU(2)_L$  *resp.*  $SU(2)_R$  triplets so that spin statistics problem would be solved in the same manner as in the standard quark model.
5. Family replication phenomenon is described in TGD framework the same manner in both cases so that quantum numbers like strangeness and charm are not fundamental. Indeed, p-adic mass calculations allowing fractally scaled up versions of various quarks allow to replace Gell-Mann mass formula with highly successful predictions for hadron masses [23].

To my opinion these observations are intriguing enough to motivate a concrete attempt to construct low energy hadron physics in terms of  $SO(4)$  gauge theory.

### 10.3.3 Quaternions, octonions, and modified Dirac equation

Classical number fields define one vision about quantum TGD. This vision about quantum TGD has evolved gradually and involves several speculative ideas.

1. The hard core of the vision is that space-time surfaces as preferred extremals of Kähler action can be identified as what I have called hyper-quaternionic surfaces of  $M^8$  or  $M^4 \times CP_2$ . This requires only the mapping of the modified gamma matrices to octonions or to a basis of subspace of complexified octonions. This means also the mapping of spinors to octonionic spinors. There is no need to assume that imbedding space-coordinates are octonionic.
2. I have considered also the idea that quantum TGD might emerge from the mere associativity.
  - (a) Consider Clifford algebra of WCW. Treat "vibrational" degrees of freedom in terms second quantized spinor fields and add center of mass degrees of freedom by replacing 8-D gamma matrices with their octonionic counterparts - which can be constructed as tensor products of octonions providing alternative representation for the basis of 7-D Euclidian gamma matrix algebra - and of 2-D sigma matrices. Spinor components correspond to tensor products of octonions with 2-spinors: different spin states for these spinors correspond to leptons and baryons.
  - (b) Construct a local Clifford algebra by considering Clifford algebra elements depending on point of  $M^8$  or  $H$ . The octonionic 8-D Clifford algebra and its local variant are non-associative. Associative sub-algebra of 8-D Clifford algebra is obtained by restricting the elements so any quaternionic 4-plane. Doing the same for the local algebra means restriction of the Clifford algebra valued functions to any 4-D hyper-quaternionic sub-manifold of  $M^8$  or  $H$  which means that the gamma matrices span complexified quaternionic algebra at each point of space-time surface. Also spinors must be quaternionic.

- (c) The assignment of the 4-D gamma matrix sub-algebra at each point of space-time surface can be done in many manners. If the gamma matrices correspond to the tangent space of space-time surface, one obtains just induced gamma matrices and the standard definition of quaternionic sub-manifold. In this case induced 4-volume is taken as the action principle. If Kähler action defines the space-time dynamics, the modified gamma matrices do not span the tangent space in general.
- (d) An important additional element is involved. If the  $M^4$  projection of the space-time surface contains a preferred subspace  $M^2$  at each point, the quaternionic planes are labeled by points of  $CP_2$  and one can equivalently regard the surfaces of  $M^8$  as surfaces of  $M^4 \times CP_2$  (number-theoretical "compactification"). This generalizes:  $M^2$  can be replaced with a distribution of planes of  $M^4$  which integrates to a 2-D surface of  $M^4$  (for instance, for string like objects this is necessarily true). The presence of the preferred local plane  $M^2$  corresponds to the fact that octonionic spin matrices  $\Sigma_{AB}$  span 14-D Lie-algebra of  $G_2 \subset SO(7)$  rather than that 28-D Lie-algebra of  $SO(7,1)$  whereas octonionic imaginary units provide 7-D fundamental representation of  $G_2$ . Also spinors must be quaternionic and this is achieved if they are created by the Clifford algebra defined by induced gamma matrices from two preferred spinors defined by real and preferred imaginary octonionic unit. Therefore the preferred plane  $M^3 \subset M^4$  and its local variant has direct counterpart at the level of induced gamma matrices and spinors.
- (e) This framework implies the basic structures of TGD and therefore leads to the notion of world of classical worlds (WCW) and from this one ends up with the notion WCW spinor field and WCW Clifford algebra and also hyper-finite factors of type  $II_1$  and  $III_1$ . Note that  $M^8$  is exceptional: in other dimensions there is no reason for the restriction of the local Clifford algebra to lower-dimensional sub-manifold to obtain associative algebra.

The above line of ideas leads naturally to (hyper-)quaternionic sub-manifolds and to basic quantum TGD (note that the "hyper" is un-necessary if one accepts just the notion of quaternionic sub-manifold formulated in terms of modified gamma matrices). One can pose some further questions.

1. Quantum TGD reduces basically to the second quantization of the induced spinor fields. Could it be that the theory is integrable only for 4-D hyper-quaternionic space-time surfaces in  $M^8$  (equivalently in  $M^4 \times CP_2$ ) in the sense than one can solve the modified Dirac equation exactly only in these cases?
2. The construction of quantum TGD -including the construction of vacuum functional as exponent of Kähler function reducing to Kähler action for a preferred extremal - should reduce to the modified Dirac equation defined by Kähler action. Could it be that the modified Dirac equation can be solved exactly only for Kähler action.
3. Is it possible to solve the modified Dirac equation for the octonionic gamma matrices and octonionic spinors and map the solution as such to the real context by replacing gamma matrices and sigma matrices with their standard counterparts? Could the associativity conditions for octospinors and modified Dirac equation allow to pin down the form of solutions to such a high degree that the solution can be constructed explicitly?
4. Octonionic gamma matrices provide also a non-associative representation for 8-D version of Pauli sigma matrices and encourage the identification of 8-D twistors as pairs of octonionic spinors conjectured to be highly relevant also for quantum TGD. Does the quaternionicity condition imply that octo-twistors reduce to something closely related to ordinary twistors as the fact that 2-D sigma matrices provide a matrix representation of quaternions suggests?

In the following I will try to answer these questions by developing a detailed view about the octonionic counterpart of the modified Dirac equation and proposing explicit solution ansätze for the modes of the modified Dirac equation.

### The replacement of $SO(7,1)$ with $G_2$

The basic implication of octonionization is the replacement of  $SO(7,1)$  as the structure group of spinor connection with  $G_2$ . This has some rather unexpected consequences.

1. *Octonionic representation of 8-D gamma matrices*

Consider first the representation of 8-D gamma matrices in terms of tensor products of 7-D gamma matrices and 2-D Pauli sigma matrices.

1. The gamma matrices are given by

$$\gamma^0 = 1 \times \sigma_1 \quad , \quad \gamma^i = \gamma^i \otimes \sigma_2 \quad , \quad i = 1, \dots, 7 \quad . \quad (10.3.6)$$

7-D gamma matrices in turn can be expressed in terms of 6-D gamma matrices by expressing  $\gamma^7$  as

$$\gamma_{i+1}^{(7)} = \gamma_i^{(6)} \quad , \quad i = 1, \dots, 6 \quad , \quad \gamma_1^{(7)} = \gamma_7^{(6)} = \prod_{i=1}^6 \gamma_i^{(6)} \quad . \quad (10.3.7)$$

2. The octonionic representation is obtained as

$$\gamma_0 = 1 \times \sigma_1 \quad , \quad \gamma_i = e_i \otimes \sigma_2 \quad . \quad (10.3.8)$$

where  $e_i$  are the octonionic units.  $e_i^2 = -1$  guarantees that the  $M^4$  signature of the metric comes out correctly. Note that  $\gamma_7 = \prod \gamma_i$  is the counterpart for choosing the preferred octonionic unit and plane  $M^2$ .

3. The octonionic sigma matrices are obtained as commutators of gamma matrices:

$$\Sigma_{0i} = e_i \times \sigma_3 \quad , \quad \Sigma_{ij} = f_{ij}^{\quad k} e_k \otimes 1 \quad . \quad (10.3.9)$$

These matrices span  $G_2$  algebra having dimension 14 and rank 2 and having imaginary octonion units and their conjugates as the fundamental representation and its conjugate. The Cartan algebra for the sigma matrices can be chosen to be  $\Sigma_{01}$  and  $\Sigma_{23}$  and belong to a quaternionic sub-algebra.

4. The lower dimension of the  $G_2$  algebra means that some combinations of sigma matrices vanish. All left or right handed generators of the algebra are mapped to zero: this explains why the dimension is halved from 28 to 14. From the octonionic triangle expressing the multiplication rules for octonion units [67] one finds  $e_4 e_5 = e_1$  and  $e_6 e_7 = -e_1$  and analogous expressions for the cyclic permutations of  $e_4, e_5, e_6, e_7$ . From the expression of the left handed sigma matrix  $I_L^3 = \sigma_{23} + \sigma^{30}$  representing left handed weak isospin (see the Appendix about the geometry of  $CP_2$  [58]) one can conclude that this particular sigma matrix and left handed sigma matrices in general are mapped to zero. The quaternionic sub-algebra  $SU(2)_L \times SU(2)_R$  is mapped to that for the rotation group  $SO(3)$  since in the case of Lorentz group one cannot speak of a decomposition to left and right handed subgroups. The elements of the complement of the quaternionic sub-algebra are expressible in terms of  $\Sigma_{ij}$  in the quaternionic sub-algebra.

2. *Some physical implications of  $SO(7,1) \rightarrow G_2$  reduction*

This has interesting physical implications if one believes that the octonionic description is equivalent with the standard one.

1. If  $SU(2)_L$  is mapped to zero only the right-handed parts of electro-weak gauge field survive octonization. The right handed part is neutral containing only photon and  $Z^0$  so that the gauge field becomes Abelian.  $Z^0$  and photon fields become proportional to each other ( $Z^0 \rightarrow \sin^2(\theta_W)\gamma$ ) so that classical  $Z^0$  field disappears from the dynamics, and one would obtain just electrodynamics. This might provide a deeper reason for why electrodynamics is an excellent description of low energy physics and of classical physics. This is consistent with the fact that  $CP_2$  coordinates define 4 field degrees of freedom so that single Abelian gauge field should be enough to describe classical physics. This would remove also the interpretational problems caused by the transitions changing the charge state of fermion induced by the classical  $W$  boson fields.

Also the realization of  $M^8 - H$  duality led to the conclusion  $M^8$  spinor connection should have only neutral components. The isospin matrix associated with the electromagnetic charge is  $e_1 \times 1$  and represents the preferred imaginary octonionic unit so that that the image of the electro-weak gauge algebra respects associativity condition. An open question is whether octonionization is part of  $M^8$ -H duality or defines a completely independent duality. The objection is that information is lost in the mapping so that it becomes questionable whether the same solutions to the modified Dirac equation can work as a solution for ordinary Clifford algebra.

2. If  $SU(2)_R$  were mapped to zero only left handed parts of the gauge fields would remain. All classical gauge fields would remain in the spectrum so that information would not be lost. The identification of the electro-weak gauge fields as three covariantly constant quaternionic units would be possible in the case of  $M^8$  allowing Hyper-Kähler structure [41], which has been speculated to be a hidden symmetry of quantum TGD at the level of WCW. This option would lead to difficulties with associativity since the action of the charged gauge potentials would lead out from the local quaternionic subspace defined by the octonionic spinor.
3. The gauge potentials and gauge fields defined by  $CP_2$  spinor connection are mapped to fields in  $SO(2) \subset SU(2) \times U(1)$  in quaternionic sub-algebra which in a well-defined sense corresponds to  $M^4$  degrees of freedom! Since the resulting interactions are of gravitational character, one might say that electro-weak interactions are mapped to manifestly gravitational interactions. Since  $SU(2)$  corresponds to rotational group one cannot say that spinor connection would give rise only to left or right handed couplings, which would be obviously a disaster.

3. *Octo-spinors and their relation to ordinary imbedding space spinors*

Octo-spinors are identified as octonion valued 2-spinors with basis

$$\begin{aligned} \Psi_{L,i} &= e_i \begin{pmatrix} 1 \\ 0 \end{pmatrix} , \\ \Psi_{q,i} &= e_i \begin{pmatrix} 0 \\ 1 \end{pmatrix} . \end{aligned} \tag{10.3.10}$$

One obtains quark and lepton spinors and conjugation for the spinors transforms quarks to leptons. Note that octospinors can be seen as 2-dimensional spinors with components which have values in the space of complexified octonions.

The leptonic spinor corresponding to real unit and preferred imaginary unit  $e_1$  corresponds naturally to the two spin states of the right handed neutrino. In quark sector this would mean that right handed U quark corresponds to the real unit. The octonions decompose as  $1 + 1 + 3 + \bar{3}$  as representations of  $SU(3) \subset G_2$ . The concrete representations are given by

$$\begin{aligned} \{1 \pm ie_1\} &, \quad e_R \text{ and } \nu_R \text{ with spin } 1/2 , \\ \{e_2 \pm ie_3\} &, \quad e_R \text{ and } \nu_L \text{ with spin } -1/2 , \\ \{e_4 \pm ie_5\} &, \quad e_L \text{ and } \nu_L \text{ with spin } 1/2 , \\ \{e_6 \pm ie_7\} &, \quad e_L \text{ and } \nu_L \text{ with spin } 1/2 . \end{aligned} \tag{10.3.11}$$

Instead of spin one could consider helicity. All these spinors are eigenstates of  $e_1$  (and thus of the corresponding sigma matrix) with opposite values for the sign factor  $\epsilon = \pm$ . The interpretation is in

terms of vectorial isospin. States with  $\epsilon = 1$  can be interpreted as charged leptons and D type quarks and those with  $\epsilon = -1$  as neutrinos and U type quarks. The interpretation would be that the states with vanishing color isospin correspond to right handed fermions and the states with non-vanishing SU(3) isospin (to be not confused with QCD color isospin) and those with non-vanishing SU(3) isospin to left handed fermions. The only difference between quarks and leptons is that the induced Kähler gauge potentials couple to them differently.

The importance of this identification is that it allows a unique map of the candidates for the solutions of the octonionic modified Dirac equation to those of ordinary one. There are some delicacies involved due to the possibility to chose the preferred unit  $e_1$  so that the preferred subspace  $M^2$  can corresponds to a sub-manifold  $M^2 \subset M^4$ .

### Octonionic counterpart of the modified Dirac equation

The solution ansatz for the octonionic counterpart of the modified Dirac equation discussed below makes sense also for ordinary modified Dirac equation which raises the hope that the same ansatz, and even same solution could provide a solution in both cases.

#### 1. The general structure of the modified Dirac equation

In accordance with quantum holography and the notion of generalized Feynman diagram, the modified Dirac equation involves two equations which must be consistent with each other.

1. There is 3-dimensional generalized eigenvalue equation for which the modified gamma matrices are defined by Chern-Simons action defined by the sum  $J_{tot} = J + J_1$  of Kähler forms of  $S^2$  and  $CP_2$  [31, 18].

$$\begin{aligned} D_3\Psi &= [D_{C-S} + Q_{C-S}]\Psi = \lambda^k \gamma_k \Psi \ , \\ Q_{C-S} &= Q_\alpha \hat{\Gamma}_{C-S}^\alpha \ , \quad Q_\alpha = Q_{AG}{}^{AB} j_{B\alpha} \ . \end{aligned} \tag{10.3.12}$$

The gamma matrices  $\gamma_k$  are  $M^4$  gamma matrices in standard Minkowski coordinates and thus constant. Given eigenvalue  $\lambda_k$  defines pseudo momentum which is some function of the genuine momenta  $p_k$  and other quantum numbers via the boundary conditions associated with the generalized eigenvalue equation.

The charges  $Q_A$  correspond to real four-momentum and charges in color Cartan algebra. The term  $Q$  can be rather general since it provides a representation for the measurement interaction by mapping observables to Cartan algebra of isometry group and to the infinite hierarchy of conserved currents implied by quantum criticality. The operator  $O$  characterizes the quantum critical conserved current. The surface  $Y_l^3$  can be chosen to be any light-like 3-surface "parallel" to the wormhole throat in the slicing of  $X^4$ : this means an additional symmetry. Formally the measurement interaction term can be regarded as an addition of a gauge term to the Kähler gauge potential associated with the Kähler form  $J_{tot}$  of  $S^2 \times CP_2$ .

The square of the equation gives the spinor analog of d'Alembert equation and generalized eigenvalue as the analog of mass squared. The propagator associated with the wormhole throats is formally massless Dirac propagator so that standard twistor formalism applies also without the octonionic representation of the gamma matrices although the physical particles propagating along the opposite wormhole throats are massive on mass shell particles with both signs of energy [18].

2. Second equation is the 4-D modified Dirac equation defined by Kähler action.

$$D_K\Psi = 0 \ . \tag{10.3.13}$$

The dimensional reduction of this operator to a sum corresponding to  $D_{K,3}$  acting on light-like 3-surfaces and 1-D operator  $D_{K,1}$  acting on the coordinate labeling the 3-D light-like 3-surfaces in



the slicing would allow to assign eigenvalues to  $D_{K,3}$  as analogs of energy eigenvalues for ordinary Schrödinger equation. One proposal has been that Dirac determinant could be identified as the product of these eigen values. Another and more plausible identification is as the product of pseudo masses assignable to  $D_3$  defined by Chern-Simons action [99]. It must be however made clear that the identification of the exponent of the Kähler function to Chern-Simons term makes the identification as Dirac determinant unnecessary.

3. There are two options depending on whether one requires that the eigenvalue equation applies only on the wormhole throats and at the ends of the space-time surface or for all 3-surfaces in the slicing of the space-time surface by light-like 3-surfaces. In the latter case the condition that the pseudo four-momentum is same for all the light-like 3-surfaces in the slicing gives a consistency condition stating that the commutator of the two Dirac operators vanishes for the solutions in the case of preferred extremals, which depend on the momentum and color quantum numbers also:

$$[D_K, D_3] \Psi = 0 . \quad (10.3.14)$$

This condition is quite strong and there is no deep reason for it since  $\lambda_k$  does not correspond to the physical conserved momentum so that its spectrum could depend on the light-like 3-surface in the slicing. On the other hand, if the eigenvalues of  $D_3$  belong to the preferred hyper-complex plane  $M^2$ ,  $D_3$  effectively reduces to a 2-dimensional algebraic Dirac operator  $\lambda^k \gamma_k$  commuting with  $D_K$ : the values of  $\lambda^k$  cannot depend on slice since this would mean that  $D_K$  does not commute with  $D_3$ .

*About the hyper-octonionic variant of the modified Dirac equation*

What gives excellent hopes that the octonionic variant of modified Dirac equation could lead to a provide precise information about the solution spectrum of modified Dirac equation is the condition that everything in the equation should be associative. Hence the terms which are by their nature non-associative should vanish automatically.

1. The first implication is that besides octonionic gamma matrices also octonionic spinors should belong to the local quaternionic plane at each point of the space-time surface. Spinors are also generated by quaternionic Clifford algebra from two preferred spinors defining a preferred plane in the space of spinors. Hence spinorial dynamics seems to mimic very closely the space-time dynamics and one might even hope that the solutions of the modified Dirac action could be seen as maps of the space-time surface to surfaces of the spinor space. The reduction to quaternionic sub-algebra suggests that some variant of ordinary twistors emerges in this manner in matrix representation.
2. The octonionic sigma matrices span  $G_2$  where as ordinary sigma matrices define  $SO(7,1)$ . On the other hand, the holonomies are identical in the two cases if right-handed charge matrices are mapped to zero so that there are indeed hopes that the solutions of the octonionic Dirac equation cannot be mapped to those of ordinary Dirac equation. If left-handed charge matrices are mapped to zero, the resulting theory is essentially the analog of electrodynamics coupled to gravitation at classical level but it is not clear whether this is physically acceptable. It is not clear whether associativity condition leaves only this option under consideration.
3. The solution ansatz to the modified Dirac equation is expected to be of the form  $\Psi = D_K(\Psi_0 u_0 + \Psi_1 u_1)$ , where  $u_0$  and  $u_1$  are constant spinors representing real unit and the preferred unit  $e_1$ . Hence constant spinors associated with right handed electron and neutrino and right-handed  $d$  and  $u$  quark would appear in  $\Psi$  and  $\Psi_i$  could correspond to scalar coefficients of spinors with different charge. This ansatz would reduce the modified Dirac equation to  $D_K^2 \Psi_i = 0$  since there are no charged couplings present. The reduction of a d'Alembert type equation for single scalar function coupling to  $U(1)$  gauge potential and  $U(1)$  "gravitation" would obviously mean a dramatic simplification raising hopes about integrable theory.

4. The condition  $D_K^2 \Psi = 0$  involves products of three octonions and involves derivatives of the modified gamma matrices which might belong to the complement of the quaternionic sub-space. The restriction of  $\Psi$  to the preferred hyper-complex plane  $M^2$  simplifies the situation dramatically but  $(D_K^2)D_K \Psi = D_K(D_K^2)\Psi = 0$  could still fail. The problem is that the action of  $D_K$  is not algebraic so that one cannot treat reduce the associativity condition to  $(AA)A = A(AA)$ .

### Could the notion of octo-twistor make sense?

Twistors have led to dramatic successes in the understanding of Feynman diagrammatics of gauge theories,  $N = 4$  SUSYs, and  $N = 8$  supergravity [79, 86, 84]. This motivated the question whether they might be applied in TGD framework too [40] - at least in the description of the QFT limit. The basic problem of the twistor program is how to overcome the difficulties caused by particle massivation and TGD framework suggests possible clues in this respect.

1. In TGD it is natural to regard particles as massless particles in 8-D sense and to introduce 8-D counterpart of twistors by relying on the geometric picture in which twistors correspond to a pair of spinors characterizing light-like momentum ray and a point of  $M^8$  through which the ray traverses. Twistors would consist of a pair of spinors and quark and lepton spinors define the natural candidate for the spinors in question. This approach would allow to handle massive on-mass-shell states but cannot cope with virtual momenta massive in 8-D sense.
2. The emergence of pseudo momentum  $\lambda_k$  from the generalized eigenvalue equation for  $D_{C-S}$  suggest a dramatically simpler solution to the problem. Since propagators are effectively massless propagators for pseudo momenta, which are functions of physical on shell momenta (with both signs of energy in zero energy ontology) and of other quantum numbers, twistor formalism can be applied in its standard form. An attractive assumption is that also  $\lambda^k$  are conserved in the vertices but a good argument justifying this is lacking. One can ask whether also  $N = 4$  SUSY,  $N = 8$  super-gravity, and even QCD could have similar interpretation.

This picture should apply also in the case of octotwistors with minor modifications and one might hope that octotwistors could provide new insights about what happens in the real case.

1. In the case of ordinary Clifford algebra unit matrix and six-dimensional gamma matrices  $\gamma_i$ ,  $i = 1, \dots, 6$  and  $\gamma_7 = \prod_i \gamma_i$  would define the variant of Pauli sigma matrices as  $\sigma_0 = 1$ ,  $\sigma_k = \gamma_k$ ,  $k = 1, \dots, 7$ . The problem is that masslessness condition does not correspond to the vanishing of the determinant for the matrix  $p_k \sigma^k$ .
2. In the case of octo-twistors Pauli sigma matrices  $\sigma^k$  would correspond to hyper-octonion units  $\{\sigma_0, \sigma_k\} = \{1, ie^k\}$  and one could assign to  $p_k \sigma^k$  a matrix by the linear map defined by the multiplication with  $P = p_k \sigma^k$ . The matrix is of form  $P_{mn} = p^k f_{kmn}$ , where  $f_{kmn}$  are the structure constants characterizing multiplication by hyper-octonion. The norm squared for octonion is the fourth root for the determinant of this matrix. Since  $p_k \sigma^k$  maps its octonionic conjugate to zero so that the determinant must vanish (as is easy to see directly by reducing the situation to that for hyper-complex numbers by considering the hyper-complex plane defined by  $P$ ).
3. Associativity condition for the octotwistors requires that the gamma matrix basis appearing in the generalized eigenvalue equation for Chern-Simons Dirac operator must differ by a local  $G_2$  rotation from the standard hyper-quaternionic gamma matrix for  $M^4$  so that it is always in the local hyper-quaternionic plane. This suggests that octo-twistor can be mapped to an ordinary twistor by mapping the basis of hyper-quaternions to Pauli sigma matrices. A stronger condition guaranteeing the commutativity of  $D_3$  with  $\lambda^k \gamma_k$  is that  $\lambda_k$  belongs to a preferred hyper-complex plane  $M^2$  assignable to a given  $CD$ . Also the two spinors should belong to this plane for the proposed solution ansatz for the modified Dirac equation. Quaternionization would also allow to assign momentum to the spinors in standard manner.

The spectrum of pseudo-momenta would be 2-dimensional (continuum at worst) and this should certainly improve dramatically the convergence properties for the sum over the non-conserved pseudo-momenta in propagators which in the worst possible of worlds might destroy the manifest finiteness of the theory based on the generalized Feynman diagrams with the throats of

wormholes carrying always on mass shell momenta. This effective 2-dimensionality should apply also in the real case and would have no catastrophic consequences since pseudo momenta are in question.

As a matter fact, the assumption the decomposition of quark momenta to longitudinal and transversal parts in perturbative QCD might have interpretation in terms of pseudo-momenta if they are conserved.

4.  $M^8 - H$  duality suggests a possible interpretation of the pseudo-momenta as  $M^8$  momenta which by purely number theoretical reasons must be commutative and thus belong to  $M^2$  hyper-complex plane. One ends up with the similar outcome as one constructs a representation for the quantum states defined by WCW spinor fields as superpositions of real units constructed as ratios of infinite hyper-octonionic integers with precisely defined number theoretic anatomy and transformation properties under standard model symmetries having number theoretic interpretation [16].

## 10.4 Infinite primes

The notion of prime seems to capture something very essential about what it is to be elementary building block of matter and has become a fundamental conceptual element of TGD. The notion of prime gains its generality from its reducibility to the notion of prime ideal of an algebra. Thus the notion of prime is well-defined, not only in case of quaternions and octonions, but also for their complexifications and one can speak about infinite primes in the case of hyper-quaternions and -octonions, which are especially natural physically and for which numbers having zero norm correspond physically to light-like 8-vectors.

### 10.4.1 Basic ideas

#### The notion of infinite prime

The original motivation for the notion of infinite prime came from the first attempts to construct TGD inspired theory of consciousness (around 1995) [8]. Suppose very naively that the 4-surfaces in a given sector of the "world of classical worlds" (WCW) are labelled by a fixed p-adic prime. The natural expectation is that evolution by quantum jumps means dispersion in the space of these sectors and leads to the increase of the p-adic prime characterizing the Universe. As one moves backwards in subjective time (sequence of quantum jumps) one ends up to the situation in which the prime characterizing the universe was  $p = 2$ . Should one assume that there was the first quantum jump when everything began? If not, then it would seem that the p-adic prime characterizing the Universe must be infinite. Second problem is that the p-adic length scales are finite and if the size scale of Universe is given by p-adic length scale the Universe has finite sized: this does not make sense in TGD framework. The only way out of the problems is the assumption that the p-adic prime characterizing the entire Universe is literally infinite and that p-adic primes characterizing space-time sheets are finite.

These arguments, which are by no means central for the recent view about p-adic primes, motivated the attempt to construct a theory of infinite primes and to extend quantum TGD accordingly. This turns out to be possible. The recipe for constructing infinite primes is structurally equivalent with a repeated second quantization of an arithmetic super-symmetric quantum field theory. At the lowest level one has fermionic and bosonic states labeled by finite primes and infinite primes correspond to many particle states of this theory. Also infinite primes analogous to bound states are predicted. This hierarchy of quantizations can be continued indefinitely by taking the many particle states of the previous level as elementary particles at the next level. It must be also emphasized that the notion of infinity is relativistic. With respect to the p-adic norm infinite primes have unit norm for all finite and infinite primes so that there is nothing to become scared of!

Construction could make sense also for hyper-quaternionic and hyper-octonionic primes although non-commutativity and non-associativity pose technical challenges. One can also construct infinite number of real units as ratios of infinite integers with a precise number theoretic anatomy. The fascinating finding is that the quantum states labeled by standard model quantum numbers allow a representation as wave functions in the discrete space of these units. Space-time point becomes

infinitely richly structured in the sense that one can associate to it a wave function in the space of real (or octonionic) units allowing to represent the WCW spinor fields. One can speak about algebraic holography or number theoretic Brahman=Atman identity and one can also say that the points of imbedding space and space-time surface are subject to a number theoretic evolution. In philosophical mood one can of course also ask whether there exists a hierarchy of imbedding spaces in which the imbedding space at the lower level represents something with infinitesimal size in the sense of real topology and whether this hierarchy is accompanied also by a hierarchy of conscious entities.

This picture suggest that the Universe of quantum TGD might basically provide a physical representation of number theory allowing also infinite primes. The proposal suggests also a possible generalization of real numbers to a number system akin to hyper-reals introduced by Robinson in his non-standard calculus [76] providing a rigorous mathematical basis for calculus. In fact, some rather natural requirements lead to a unique generalization for the concepts of integer, rational and real. Infinite integers and reals can be regarded as infinite-dimensional vector spaces with integer and real valued coefficients respectively. Same generalization could make sense for all classical number fields [79, 71, 67].

### Infinite primes and physics in TGD Universe

Several different views about how infinite primes, integers, and rationals might be relevant in TGD Universe have emerged.

#### 1. *Infinite primes and super-symmetric quantum field theory*

Consider next the physical interpretation.

1. The discovery of infinite primes suggested strongly the possibility to reduce physics to number theory. The construction of infinite primes can be regarded as a repeated second quantization of a super-symmetric arithmetic quantum field theory. This suggests that configuration space spinor fields or at least the ground states of associated super-conformal representations [70] (for super-conformal invariance see [70]) could be mapped to infinite primes in both bosonic and fermionic degrees of freedom. The process might generalize so that it applies in the case of quaternionic and octonionic primes and their hyper counterparts. This hierarchy of second quantizations means enormous generalization of physics to what might be regarded a physical counterpart for a hierarchy of abstractions about abstractions about.... The ordinary second quantized quantum physics corresponds only to the lowest level infinite primes.
2. The ordinary primes appearing as building blocks of infinite primes at the first level of the hierarchy could be identified as coding for p-adic primes assignable to fermionic and bosonic partons identified as 2-surfaces of a given space-time sheet. The hierarchy of infinite primes would correspond to hierarchy of space-time sheets defined by the topological condensate. This leads also to a precise identification of p-adic and real variants of bosonic partonic 2-surfaces as correlates of intention and action and pairs of p-adic and real fermionic partons as correlates for cognitive representations.
3. The idea that infinite primes characterize quantum states of the entire Universe, perhaps ground states of super-conformal representations, if not all states, could be taken further. It turns out that this idea makes sense when one considers discrete wave functions in the space of infinite primes and that one can indeed represent standard model quantum numbers in this manner.
4. The number theoretical supersymmetry suggests also space-time supersymmetry TGD framework. Space-time super-symmetry in its standard form is not possible in TGD Universe and this cheated me to believe that this supersymmetry is completely absent in TGD Universe. The progress in the understanding of the properties of the modified Dirac action however led to a generalization of the space-time super-symmetry as a dynamical and broken symmetry of quantum TGD [18].

Here however emerges the idea about the number theoretic analog of color confinement. Rational (infinite) primes allow not only a decomposition to (infinite) primes of algebraic extensions of rationals but also to algebraic extensions of quaternionic and octonionic (infinite) primes. The physical analog is the decomposition of a particle to its more elementary constituents. This fits nicely with the idea

about number theoretic resolution represented as a hierarchy of Galois groups defined by the extensions of rationals and realized at the level of physics in terms of Jones inclusions [33] defined by these groups having a natural action on space-time surfaces, induced spinor fields, and on configuration space spinor fields representing physical states [23].

### 2. Infinite primes and physics as number theory

The hierarchy of algebraic extensions of rationals implying corresponding extensions of p-adic numbers [58, 82, 43, 67] suggests that Galois groups, which are the basic symmetry groups of number theory, should have concrete physical representations using induced spinor fields and configuration space spinor fields and also infinite primes and real units formed as infinite rationals. These groups permute zeros of polynomials and thus have a concrete physical interpretation both at the level of partonic 2-surfaces dictated by algebraic equations and at the level of braid hierarchy. The vision about the role of hyperfinite factors of  $II_1$  and of Jones inclusions as descriptions of quantum measurements with finite measurement resolution leads to concrete ideas about how these groups are realized.

$G_2$  acts as automorphisms of hyper-octonions and  $SU(3)$  as its subgroup respecting the choice of a preferred imaginary unit. The discrete subgroups of  $SU(3)$  permuting to each other hyper-octonionic primes are analogous to Galois group and turned out to play a crucial role in the understanding of the correspondence between infinite hyper-octonionic primes and quantum states predicted by quantum TGD.

### 3. The notion of finite measurement resolution as the key concept

TGD predicts several hierarchies: the hierarchy of space-time sheets, the hierarchy of infinite primes, the hierarchy of Jones inclusions identifiable in terms of finite measurement resolution [33], the dark matter hierarchy characterized by increasing values of  $\hbar$  [35], the hierarchy of extensions of a given p-adic number field. TGD inspired theory of consciousness predicts the hierarchy of selves and quantum jumps with increasing duration with respect to geometric time. These hierarchies should be closely related.

The notion of finite measurement resolution turns out to be the key concept: the p-adic norm of the rational defined by the infinite prime characterizes the angle measurement resolution for given p-adic prime  $p$ . It is essential that one has what might be called a state function reduction selecting a fixed p-adic prime which could be also infinite. This gives direct connections with cognition and with the p-adicization program relying also on angle measurement resolution. Also the value the integers characterizing the singular coverings of  $CD$  and  $CP_2$  defining as their product Planck constant characterize the measurement resolution for a given p-adic prime in  $CD$  and  $CP_2$  degrees of freedom. This conforms with the fact that elementary particles are characterized by two infinite primes. Hence finite measurement resolution ties tightly together the three threads of the number theoretic vision. Finite measurement resolution relates also closely to the inclusions of hyper-finite factors central for TGD inspired quantum measurement theory with finite measurement resolution.

### 4. Space-time correlates of infinite primes

Infinite primes code naturally for Fock states in a hierarchy of super-symmetric arithmetic quantum field theories. Quantum classical correspondence leads to ask whether infinite primes could also code for the space-time surfaces serving as symbolic representations of quantum states. This would a generalization of algebraic geometry would emerge and could reduce the dynamics of Kähler action to algebraic geometry and organize 4-surfaces to a physical hierarchy according to their algebraic complexity. This conjecture should be consistent with two other conjectures about the dynamics of space-time surfaces (space-time surfaces as preferred extrema of Kähler action and space-time surfaces as quaternionic or co-quaternionic (as associative or co-associative) 4-surfaces of hyper-octonion space  $M^8$ ).

Quantum classical correspondence requires the map of the quantum numbers of configuration space spinor fields to space-time geometry. The modified Dirac equation with measurement interaction term realizes this requirement. Therefore, if one wants to map infinite rationals to space-time geometry it is enough to map infinite primes to quantum numbers. This map can be indeed achieved thanks to the detailed picture about the interpretation of the symmetries of infinite primes in terms of standard model symmetries. The notion of finite measurement resolution allows to deduce much more detailed about this correspondence. In particular, the rational defined by the infinite prime classifies the finite sub-manifold geometry defined by the discretization of the partonic 2-surface implied by the finite

measurement resolution. Also a direct correlation between integers defining Planck constant and the "fermionic" part of the infinite prime emerges.

### Infinite primes, cognition, and intentionality

The correlation of infinite primes with cognition is the first fascinating possibility and this possibility has stimulated several ideas.

1. One can define the notion of prime also for the algebraic extensions of rationals. The hierarchy of infinite primes associated with algebraic extensions of rationals leading gradually towards algebraic closure of rationals would in turn define cognitive hierarchy corresponding to algebraic extensions of p-adic numbers.
2. The introduction of infinite primes, integers, and rationals leads also to a generalization of classical number fields since an infinite algebra of real (complex, etc...) units defined by finite ratios of infinite rationals multiplied by ordinary rationals which are their inverses becomes possible. These units are not units in the p-adic sense and have a finite p-adic norm which can be differ from one. This construction generalizes also to the case of hyper- quaternions and -octonions although non-commutativity and in case of octonions also non-associativity pose technical problems. Obviously this approach differs from the standard introduction of infinitesimals in the sense that sum of infinitesimals (real zeros) is replaced by multiplication of real units meaning that the set of real and also more general units becomes infinitely degenerate.
3. Infinite primes form an infinite hierarchy so that the points of space-time and imbedding space can be seen as infinitely structured and able to represent all imaginable algebraic structures. Certainly counter-intuitively, single space-time point -or more generally wave functions in the space of the units associated with the point- might be even capable of representing the quantum state of the entire physical Universe in its structure. For instance, in the real sense surfaces in the space of units correspond to the same real number 1, and single point, which is structure-less in the real sense could represent arbitrarily high-dimensional spaces as unions of real units. For real physics this structure is completely invisible and is relevant only for the physics of cognition. One can say that Universe is an algebraic hologram, and there is an obvious connection both with Brahman=Atman identity of Eastern philosophies and Leibniz's notion of monad.
4. In zero energy ontology hyper-octonionic units identified as ratios of the infinite integers associated with the positive and negative energy parts of the zero energy state define a representation of WCW spinor fields. The action of subgroups of SU(3) and rotation group SU(2) preserving hyper-octonionic and hyper-quaternionic primeness and identification of momentum and electro-weak charges in terms of components of hyper-octonionic primes makes this representation unique. Hence Brahman-Atman identity has a completely concrete realization and fixes completely the quantum number spectrum including particle masses and correlations between various quantum numbers.
5. One can assign to infinite primes at  $n^{th}$  level of hierarchy rational functions of  $n$  rational arguments which form a natural hierarchical structure in that highest level corresponds to a polynomial with coefficients which are rational functions of the arguments at the lower level. One can solve one of the arguments in terms of lower ones to get a hierarchy of algebraic extensions. At the lowest level algebraic extensions of rationals emerge, at the next level algebraic extensions of space of rational functions of single variable, etc... This would suggest that infinite primes code for the correlation between quantum states and the algebraic extensions appearing in their their physical description and characterizing their cognitive correlates. The hierarchy of infinite primes would also correlate with a hierarchy of logics of various orders (hierarchy of statements about statements about...).

#### 10.4.2 Infinite primes, integers, and rationals

The definition of the infinite integers and rationals is a straightforward procedure and structurally similar to a repeated second quantization of a super-symmetric quantum field theory but including also the number theoretic counterparts of bound states.

### The first level of hierarchy

In the following the concept of infinite prime is developed gradually by stepwise procedure rather than giving directly the basic definitions. The hope is that the development of the concept in the same manner as it actually occurred would make it easier to understand it.

#### Step 1

One could try to define infinite primes  $P$  by starting from the basic idea in the proof of Euclid for the existence of infinite number of primes. Take the product of all finite primes and add 1 to get a new prime:

$$\begin{aligned} P &= 1 + X \quad , \\ X &= \prod_p p \quad . \end{aligned} \tag{10.4.1}$$

If  $P$  were divisible by finite prime then  $P - X = 1$  would be divisible by finite prime and one would encounter contradiction. One could of course worry about the possible existence of infinite primes smaller than  $P$  and possibly dividing  $P$ . The numbers  $N = P - k$ ,  $k > 1$ , are certainly not primes since  $k$  can be taken as a factor. The number  $P' = P - 2 = -1 + X$  could however be prime.  $P$  is certainly not divisible by  $P - 2$ . It seems that one cannot express  $P$  and  $P - 2$  as product of infinite integer and finite integer. Neither it seems possible to express these numbers as products of more general numbers of form  $\prod_{p \in U} p + q$ , where  $U$  is infinite subset of finite primes and  $q$  is finite integer.

#### Step 2

$P$  and  $P - 2$  are not the only possible candidates for infinite primes. Numbers of form

$$\begin{aligned} P(\pm, n) &= \pm 1 + nX \quad , \\ k(p) &= 0, 1, \dots \quad , \\ n &= \prod_p p^{k(p)} \quad , \\ X &= \prod_p p \quad , \end{aligned} \tag{10.4.2}$$

where  $k(p) \neq 0$  holds true only in finite set of primes, are characterized by a integer  $n$ , and are also good prime candidates. The ratio of these primes to the prime candidate  $P$  is given by integer  $n$ . In general, the ratio of two prime candidates  $P(m)$  and  $P(n)$  is rational number  $m/n$  telling which of the prime candidates is larger. This number provides ordering of the prime candidates  $P(n)$ . The reason why these numbers are good candidates for infinite primes is the same as above. No finite prime  $p$  with  $k(p) \neq 0$  appearing in the product can divide these numbers since, by the same arguments as appearing in Euclid's theorem, it would divide also 1. On the other hand it seems difficult to invent any decomposition of these numbers containing infinite numbers. Already at this stage one can notice the structural analogy with the construction of multiboson states in quantum field theory: the numbers  $k(p)$  correspond to the occupation numbers of bosonic states of quantum field theory in one-dimensional box, which suggests that the basic structure of QFT might have number theoretic interpretation in some very general sense. It turns out that this analogy generalizes.

#### Step 3

All  $P(n)$  satisfy  $P(n) \geq P(1)$ . One can however also the possibility that  $P(1)$  is not the smallest infinite prime and consider even more general candidates for infinite primes, which are smaller than  $P(1)$ . The trick is to drop from the infinite product of primes  $X = \prod_p p$  some primes away by dividing it by integer  $s = \prod_{p_i} p_i$ , multiply this number by an integer  $n$  not divisible by any prime dividing  $s$  and to add to/subtract from the resulting number  $nX/s$  natural number  $ms$  such that  $m$  expressible as a product of powers of only those primes which appear in  $s$  to get

$$\begin{aligned} P(\pm, m, n, s) &= n \frac{X}{s} \pm ms \quad , \\ m &= \prod_{p|s} p^{k(p)} \quad , \\ n &= \prod_{p \nmid s} p^{k(p)}, \quad k(p) \geq 0 \quad . \end{aligned} \tag{10.4.3}$$

Here  $x|y$  means 'x divides y'. To see that no prime  $p$  can divide this prime candidate it is enough to calculate  $P(\pm, m, n, s)$  modulo  $p$ : depending on whether  $p$  divides  $s$  or not, the prime divides only the

second term in the sum and the result is nonzero and finite (although its precise value is not known). The ratio of these prime candidates to  $P(+, 1, 1, 1)$  is given by the rational number  $n/s$ : the ratio does not depend on the value of the integer  $m$ . One can however order the prime candidates with given values of  $n$  and  $s$  using the difference of two prime candidates as ordering criterion. Therefore these primes can be ordered.

One could ask whether also more general numbers of the form  $n\frac{X}{s} \pm m$  are primes. In this case one cannot prove the indivisibility of the prime candidate by  $p$  not appearing in  $m$ . Furthermore, for  $s \bmod 2 = 0$  and  $m \bmod 2 \neq 0$ , the resulting prime candidate would be even integer so that it looks improbable that one could obtain primes in more general case either.

Step 4

An even more general series of candidates for infinite primes is obtained by using the following ansatz which in principle is contained in the original ansatz allowing infinite values of  $n$

$$\begin{aligned} P(\pm, m, n, s|r) &= nY^r \pm ms \ , \\ Y &= \frac{X}{s} \ , \\ m &= \prod_{p|s} p^{k(p)} \ , \\ n &= \prod_{p|\frac{X}{s}} p^{k(p)} \ , \quad k(p) \geq 0 \ . \end{aligned} \tag{10.4.4}$$

The proof that this number is not divisible by any finite prime is identical to that used in the previous case. It is not however clear whether the ansatz for given  $r$  is not divisible by infinite primes belonging to the lower level. A good example in  $r = 2$  case is provided by the following unsuccessful ansatz

$$\begin{aligned} N &= (n_1Y + m_1s)(n_2Y + m_2s) = \frac{n_1n_2X^2}{s^2} - m_1m_2s^2 \ , \\ Y &= \frac{X}{s} \ , \\ n_1m_2 - n_2m_1 &= 0 \ . \end{aligned}$$

Note that the condition states that  $n_1/m_1$  and  $-n_2/m_2$  correspond to the same rational number or equivalently that  $(n_1, m_1)$  and  $(n_2, m_2)$  are linearly dependent as vectors. This encourages the guess that all other  $r = 2$  prime candidates with finite values of  $n$  and  $m$  at least, are primes. For higher values of  $r$  one can deduce analogous conditions guaranteeing that the ansatz does not reduce to a product of infinite primes having smaller value of  $r$ . In fact, the conditions for primality state that the polynomial  $P(n, m, r)(Y) = nY^r + m$  with integer valued coefficients ( $n > 0$ ) defined by the prime candidate is irreducible in the field of integers, which means that it does not reduce to a product of lower order polynomials of same type.

Step 5

A further generalization of this ansatz is obtained by allowing infinite values for  $m$ , which leads to the following ansatz:

$$\begin{aligned} P(\pm, m, n, s|r_1, r_2) &= nY^{r_1} \pm ms \ , \\ m &= P_{r_2}(Y)Y + m_0 \ , \\ Y &= \frac{X}{s} \ , \\ m_0 &= \prod_{p|s} p^{k(p)} \ , \\ n &= \prod_{p|Y} p^{k(p)} \ , \quad k(p) \geq 0 \ . \end{aligned} \tag{10.4.5}$$

Here the polynomial  $P_{r_2}(Y)$  has order  $r_2$  is divisible by the primes belonging to the complement of  $s$  so that only the finite part  $m_0$  of  $m$  is relevant for the divisibility by finite primes. Note that the part proportional to  $s$  can be infinite as compared to the part proportional to  $Y^{r_1}$ : in this case one must however be careful with the signs to get the sign of the infinite prime correctly. By using same arguments as earlier one finds that these prime candidates are not divisible by finite primes. One must also require that the ansatz is not divisible by lower order infinite primes of the same type. These conditions are equivalent to the conditions guaranteeing the polynomial primeness for polynomials of form  $P(Y) = nY^{r_1} \pm (P_{r_2}(Y)Y + m_0)s$  having integer-valued coefficients. The construction of these polynomials can be performed recursively by starting from the first order polynomials representing first level infinite primes:  $Y$  can be regarded as formal variable and one can forget that it is actually infinite number.



By finite-dimensional analogy, the infinite value of  $m$  means infinite occupation numbers for the modes represented by integer  $s$  in some sense. For finite values of  $m$  one can always write  $m$  as a product of powers of  $p_i|s$ . Introducing explicitly infinite powers of  $p_i$  is not in accordance with the idea that all exponents appearing in the formulas are finite and that the only infinite variables are  $X$  and possibly  $S$  (formulas are symmetric with respect to  $S$  and  $X/S$ ). The proposed representation of  $m$  circumvents this difficulty in an elegant manner and allows to say that  $m$  is expressible as a product of infinite powers of  $p_i$  despite the fact that it is not possible to derive the infinite values of the exponents of  $p_i$ .

Summarizing, an infinite series of candidates for infinite primes has been found. The prime candidates  $P(\pm, m, n, s)$  labeled by rational numbers  $n/s$  and integers  $m$  plus the primes  $P(\pm, m, n, s|r_1, r_2)$  constructed as  $r_1$ :th or  $r_2$ :th order polynomials of  $Y = X/s$ : the latter ansatz reduces to the less general ansatz of infinite values of  $n$  are allowed.

One can ask whether the  $p \bmod 4 = 3$  condition guaranteeing that the square root of  $-1$  does not exist as a  $p$ -adic number, is satisfied for  $P(\pm, m, n, s)$ .  $P(\pm, 1, 1, 1) \bmod 4$  is either 3 or 1. The value of  $P(\pm, m, n, s) \bmod 4$  for odd  $s$  on  $n$  only and is same for all states containing even/odd number of  $p \bmod = 3$  excitations. For even  $s$  the value of  $P(\pm, m, n, s) \bmod 4$  depends on  $m$  only and is same for all states containing even/odd number of  $p \bmod = 3$  excitations. This condition resembles G-parity condition of Super Virasoro algebras. Note that either  $P(+, m, n, s)$  or  $P(-, m, n, s)$  but not both are physically interesting infinite primes ( $2m \bmod 4 = 2$  for odd  $m$ ) in the sense of allowing complex Hilbert space. Also the additional conditions satisfied by the states involving higher powers of  $X/s$  resemble to Virasoro conditions. An open problem is whether the analogy with the construction of the many-particle states in super-symmetric theory might be a hint about more deeper relationship with the representation of Super Virasoro algebras and related algebras.

It is not clear whether even more general prime candidates exist. An attractive hypothesis is that one could write explicit formulas for all infinite primes so that generalized theory of primes would reduce to the theory of finite primes.

**Infinite primes form a hierarchy**

By generalizing using general construction recipe, one can introduce the second level prime candidates as primes not divisible by any finite prime  $p$  or infinite prime candidate of type  $P(\pm, m, n, s)$  (or more general prime at the first level: in the following we assume for simplicity that these are the only infinite primes at the first level). The general form of these prime candidates is exactly the same as at the first level. Particle-analogy makes it easy to express the construction recipe. In present case 'vacuum primes' at the lowest level are of the form

$$\begin{aligned} \frac{X_1}{S} &\pm S, \\ X_1 &= X \prod_{P(\pm, m, n, s)} P(\pm, m, n, s), \\ S &= s \prod_{P_i} P_i, \\ s &= \prod_{p_i} p_i. \end{aligned} \tag{10.4.6}$$

$S$  is product of ordinary primes  $p$  and infinite primes  $P_i(\pm, m, n, s)$ . Primes correspond to physical states created by multiplying  $X_1/S$  ( $S$ ) by integers not divisible by primes appearing  $S$  ( $X_1/S$ ). The integer valued functions  $k(p)$  and  $K(p)$  of prime argument give the occupation numbers associated with  $X/s$  and  $s$  type 'bosons' respectively. The non-negative integer-valued function  $K(P) = K(\pm, m, n, s)$  gives the occupation numbers associated with the infinite primes associated with  $X_1/S$  and  $S$  type 'bosons'. More general primes can be constructed by mimicking the previous procedure.

One can classify these primes by the value of the integer  $K_{tot} = \sum_{P|X/S} K(P)$ : for a given value of  $K_{tot}$  the ratio of these prime candidates is clearly finite and given by a rational number. At given level the ratio  $P_1/P_2$  of two primes is given by the expression

$$\frac{P_1(\pm, m_1, n_1, s_1, K_1, S_1)}{P_2(\pm, m_2, n_2, s_2, K, S_2)} = \frac{n_1 s_2}{n_2 s_1} \prod_{\pm, m, n, s} \left(\frac{n}{s}\right)^{K_1^+(\pm, n, m, s) - K_2^+(\pm, n, m, s)}. \tag{10.4.7}$$

Here  $K_i^+$  denotes the restriction of  $K_i(P)$  to the set of primes dividing  $X/S$ . This ratio must be smaller than 1 if it is to appear as the first order term  $P_1 P_2 \rightarrow P_1/P_2$  in the canonical identification and again it seems that it is not possible to get all rationals for a fixed value of  $P_2$  unless one allows infinite values of  $N$  expressed neatly using the more general ansatz involving higher power of  $S$ .

### Construction of infinite primes as a repeated quantization of a super-symmetric arithmetic quantum field theory

The procedure for constructing infinite primes is very much reminiscent of the second quantization of an super-symmetric arithmetic quantum field theory in which single particle fermion and boson states are labeled by primes. In particular, there is nothing especially frightening in the particle representation of infinite primes: theoretical physicists actually use these kind of representations quite routinely.

1. The binary-valued function telling whether a given prime divides  $s$  can be interpreted as a fermion number associated with the fermion mode labeled by  $p$ . Therefore infinite prime is characterized by bosonic and fermionic occupation numbers as functions of the prime labeling various modes and situation is super-symmetric.  $X$  can be interpreted as the counterpart of Dirac sea in which every negative energy state state is occupied and  $X/s \pm s$  corresponds to the state containing fermions understood as holes of Dirac sea associated with the modes labeled by primes dividing  $s$ .
2. The multiplication of the 'vacuum'  $X/s$  with  $n = \prod_{p|X/s} p^{k(p)}$  creates  $k(p)$  'p-bosons' in mode of type  $X/s$  and multiplication of the 'vacuum'  $s$  with  $m = \prod_{p|s} p^{k(p)}$  creates  $k(p)$  'p-bosons' in mode of type  $s$  (mode occupied by fermion). The vacuum states in which bosonic creation operators act, are tensor products of two vacuums with tensor product represented as sum

$$|vac(\pm)\rangle = |vac(\frac{X}{s})\rangle \otimes |vac(\pm s)\rangle \leftrightarrow \frac{X}{s} \pm s \quad (10.4.8)$$

obtained by shifting the prime powers dividing  $s$  from the vacuum  $|vac(X)\rangle = X$  to the vacuum  $\pm 1$ . One can also interpret various vacuums as many fermion states. Prime property follows directly from the fact that any prime of the previous level divides either the first or second factor in the decomposition  $NX/S \pm MS$ .

3. This picture applies at each level of infinity. At a given level of hierarchy primes  $P$  correspond to all the Fock state basis of all possible many-particle states of second quantized super-symmetric theory. At the next level these many-particle states are regarded as single particle states and further second quantization is performed so that the primes become analogous to the momentum labels characterizing various single-particle states at the new level of hierarchy.
4. There are two nonequivalent quantizations for each value of  $S$  due to the presence of  $\pm$  sign factor. Two primes differing only by sign factor are like G-parity  $+1$  and  $-1$  states in the sense that these primes satisfy  $P \bmod 4 = 3$  and  $P \bmod 4 = 1$  respectively. The requirement that  $-1$  does not have p-adic square root so that Hilbert space is complex, fixes G-parity to say  $+1$ . This observation suggests that there exists a close analogy with the theory of Super Virasoro algebras so that quantum TGD might have interpretation as number theory in infinite context. An alternative interpretation for the  $\pm$  degeneracy is as counterpart for the possibility to choose the fermionic vacuum to be a state in which either all positive or all negative energy fermion states are occupied.
5. One can also generalize the construction to include polynomials of  $Y = X/S$  to get infinite hierarchy of primes labeled by the two integers  $r_1$  and  $r_2$  associated with the polynomials in question. An entire hierarchy of vacuums labeled by  $r_1$  is obtained. A possible interpretation of these primes is as counterparts for the bound states of quantum field theory. The coefficient for the power  $(X/s)^{r_1}$  appearing in the highest term of the general ansatz, codes the occupation numbers associated with vacuum  $(X/s)^{r_1}$ . All the remaining terms are proportional to  $s$  and combine to form, in general infinite, integer  $m$  characterizing various infinite occupation numbers for the subsystem characterized by  $s$ . The additional conditions guaranteing prime number property are equivalent with the primality conditions for polynomials with integer valued coefficients and resemble Super Virasoro conditions. For  $r_2 > 0$  bosonic occupation numbers associated with the modes with fermion number one are infinite and one cannot write explicit formula for the boson number.

6. One could argue that the analogy with super-symmetry is not complete. The modes of Super Virasoro algebra are labeled by natural number whereas now modes are labeled by prime. This need not be a problem since one can label primes using natural number  $n$ . Also 8-valued spin index associated with fermionic and bosonic single particle states in TGD world is lacking (space-time is surface in 8-dimensional space). This index labels the spin states of 8-dimensional spinor with fixed chirality. One could perhaps get also spin index by considering infinite octonionic primes, which correspond to vectors of 8-dimensional integer lattice such that the length squared of the lattice vector is ordinary prime:

$$\sum_{k=1,\dots,8} n_k^2 = \text{prime} .$$

Thus one cannot exclude the possibility that TGD based physics might provide representation for octonions extended to include infinitely large octonions. The notion of prime octonion is well defined in the set of integer octonions and it is easy to show that the Euclidian norm squared for a prime octonion is prime. If this result generalizes then the construction of generalized prime octonions would generalize the construction of finite prime octonions. It would be interesting to know whether the results of finite-dimensional case might generalize to the infinite-dimensional context. One cannot exclude the possibility that prime octonions are in one-one correspondence with physical states in quantum TGD.

These observations suggest a close relationship between quantum TGD and the theory of infinite primes in some sense: even more, entire number theory and mathematics might be reducible to quantum physics understood properly or equivalently, physics might provide the representation of basic mathematics. Of course, already the uniqueness of the basic mathematical structure of quantum TGD points to this direction. Against this background the fact that 8-dimensionality of the imbedding space allows introduction of octonion structure (also p-adic algebraic extensions) acquires new meaning. Same is also suggested by the fact that the algebraic extensions of p-adic numbers allowing square root of real p-adic number are 4- and 8-dimensional.

What is especially interesting is that the core of number theory would be concentrated in finite primes since infinite primes are obtained by straightforward procedure providing explicit formulas for them. Repeated quantization provides also a model of abstraction process understood as construction of hierarchy of natural number valued functions about ..... At the first level infinite primes are characterized by the integer valued function  $k(p)$  giving occupation numbers plus subsystem-complement division (division to thinker and external world!). At the next level prime is characterized in a similar manner. One should also notice that infinite prime at given level is characterized by a pair  $(R = MN, S)$  of integers at previous level. Equivalently, infinite prime at given level is characterized by fermionic and bosonic occupation numbers as functions in the set of primes at previous level.

### Construction in the case of an arbitrary commutative number field

The basic construction recipe for infinite primes is simple and generalizes even to the case of algebraic extensions of rationals. Let  $K = Q(\theta)$  be an algebraic number field (see the Appendix of [24] for the basic definitions). In the general case the notion of prime must be replaced by the concept of irreducible defined as an algebraic integer with the property that all its decompositions to a product of two integers are such that second integer is always a unit (integer having unit algebraic norm, see Appendix of [24]).

Assume that the irreducibles of  $K = Q(\theta)$  are known. Define two irreducibles to be equivalent if they are related by a multiplication with a unit of  $K$ . Take one representative from each equivalence class of units. Define the irreducible to be positive if its first non-vanishing component in an ordered basis for the algebraic extension provided by the real unit and powers of  $\theta$ , is positive. Form the counterpart of Fock vacuum as the product  $X$  of these representative irreducibles of  $K$ .

The unique factorization domain (UFD) property (see Appendix of [24]) of infinite primes does not require the ring  $O_K$  of algebraic integers of  $K$  to be UFD although this property might be forced somehow. What is needed is to find the primes of  $K$ ; to construct  $X$  as the product of all irreducibles of  $K$  but not counting units which are integers of  $K$  with unit norm; and to apply second quantization to

get primes which are first order monomials.  $X$  is in general a product of powers of primes. Generating infinite primes at the first level correspond to generalized rationals for  $K$  having similar representation in terms of powers of primes as ordinary rational numbers using ordinary primes.

### Mapping of infinite primes to polynomials and geometric objects

The mapping of the generating infinite primes to first order monomials labeled by their rational zeros is extremely simple at the first level of the hierarchy:

$$P_{\pm}(m, n, s) = \frac{mX}{s} \pm ns \rightarrow x_{\pm} \pm \frac{m}{sn} . \quad (10.4.9)$$

Note that a monomial having zero as its root is not obtained. This mapping induces the mapping of all infinite primes to polynomials.

The simplest infinite primes are constructed using ordinary primes and second quantization of an arithmetic number theory corresponds in one-one manner to rationals. Indeed, the integer  $s = \prod_i p_i^{k_i}$  defining the numbers  $k_i$  of bosons in modes  $k_i$ , where fermion number is one, and the integer  $r$  defining the numbers of bosons in modes where fermion number is zero, are co-prime. Moreover, the generating infinite primes can be written as  $(n/s)X \pm ms$  corresponding to the two vacua  $V = X \pm 1$  and the roots of corresponding monomials are positive *resp.* negative rationals.

More complex infinite primes correspond sums of powers of infinite primes with rational coefficients such that the corresponding polynomial has rational coefficients and roots which are not rational but belong to some algebraic extension of rationals. These infinite primes correspond simply to products of infinite primes associated with some algebraic extension of rationals. Obviously the construction of higher infinite primes gives rise to a hierarchy of higher algebraic extensions.

It is possible to continue the process indefinitely by constructing the Dirac vacuum at the  $n$ :th level as a product of primes of previous levels and applying the same procedure. At the second level Dirac vacuum  $V = X \pm 1$  involves  $X$  which is the product of all primes at previous levels and in the polynomial correspondence  $X$  thus correspond to a new independent variable. At the  $n$ :th level one would have polynomials  $P(q_1|q_2|\dots)$  of  $q_1$  with coefficients which are rational functions of  $q_2$  with coefficients which are.... The hierarchy of infinite primes would be thus mapped to the functional hierarchy in which polynomial coefficients depend on parameters depending on ....

At the second level one representation of infinite primes would be as algebraic curve resulting as a locus of  $P(q_1|q_2) = 0$ : this certainly makes sense if  $q_1$  and  $q_2$  commute. At higher levels the locus is a higher-dimensional surface.

### How to order infinite primes?

One can order the infinite primes, integers and rationals. The ordering principle is simple: one can decompose infinite integers to two parts: the 'large' and the 'small' part such that the ratio of the small part with the large part vanishes. If the ratio of the large parts of two infinite integers is different from one or their sign is different, ordering is obvious. If the ratio of the large parts equals to one, one can perform same comparison for the small parts. This procedure can be continued indefinitely.

In case of infinite primes ordering procedure goes like follows. At given level the ratios are rational numbers. There exists infinite number of primes with ratio 1 at given level, namely the primes with same values of  $N$  and same  $S$  with  $MS$  infinitesimal as compared to  $NX/S$ . One can order these primes using either the relative sign or the ratio of  $(M_1S_1)/(M_2S_2)$  of the small parts to decide which of the two is larger. If also this ratio equals to one, one can repeat the process for the small parts of  $M_iS_i$ . In principle one can repeat this process so many times that one can decide which of the two primes is larger. Same of course applies to infinite integers and also to infinite rationals build from primes with infinitesimal  $MS$ . If  $NS$  is not infinitesimal it is not obvious whether this procedure works. If  $N_iX_i/M_iS_i = x_i$  is finite for both numbers (this need not be the case in general) then the ratio  $\frac{M_1S_1}{M_2S_2} \frac{(1+x_2)}{(1+x_1)}$  provides the needed criterion. In case that this ratio equals one, one can consider use the ratio of the small parts multiplied by  $\frac{(1+x_2)}{(1+x_1)}$  of  $M_iS_i$  as ordering criterion. Again the procedure can be repeated if needed.

### What is the cardinality of infinite primes at given level?

The basic problem is to decide whether Nature allows also integers  $S$ ,  $R = MN$  represented as infinite product of primes or not. Infinite products correspond to subsystems of infinite size ( $S$ ) and infinite total occupation number ( $R$ ) in QFT analogy.

1. One could argue that  $S$  should be a finite product of integers since it corresponds to the requirement of finite size for a physically acceptable subsystem. One could apply similar argument to  $R$ . In this case the set of primes at given level has the cardinality of integers ( $alef_0$ ) and the cardinality of all infinite primes is that of integers. If also infinite integers  $R$  are assumed to involve only finite products of infinite primes the set of infinite integers is same as that for natural numbers.
2. NMP is well defined in p-adic context also for infinite subsystems and this suggests that one should allow also infinite number of factors for both  $S$  and  $R = MN$ . Super symmetric analogy suggests the same: one can quite well consider the possibility that the total fermion number of the universe is infinite. It seems however natural to assume that the occupation numbers  $K(P)$  associated with various primes  $P$  in the representations  $R = \prod_P P^{K(P)}$  are finite but nonzero for infinite number of primes  $P$ . This requirement applied to the modes associated with  $S$  would require the integer  $m$  to be explicitly expressible in powers of  $P_i|S$  ( $P_{r_2} = 0$ ) whereas all values of  $r_1$  are possible. If infinite number of prime factors is allowed in the definition of  $S$ , then the application of diagonal argument of Cantor shows that the number of infinite primes is larger than  $alef_0$  already at the first level. The cardinality of the first level is  $2^{alef_0} 2^{alef_0} = 2^{alef_0}$ . The first factor is the cardinality of reals and comes from the fact that the sets  $S$  form the set of all possible subsets of primes, or equivalently the cardinality of all possible binary valued functions in the set of primes. The second factor comes from the fact that integers  $R = NM$  (possibly infinite) correspond to all natural number-valued functions in the set of primes: if only finite powers  $k(p)$  are allowed then one can map the space of these functions to the space of binary valued functions bijectively and the cardinality must be  $2^{alef_0}$ . The general formula for the cardinality at given level is obvious: for instance, at the second level the cardinality is the cardinality of all possible subsets of reals. More generally, the cardinality for a given level is the cardinality for the subset of possible subsets of primes at the previous level.

### How to generalize the concepts of infinite integer, rational and real?

The allowance of infinite primes forces to generalize also the concepts of integer, rational and real number. It is not obvious how this could be achieved. The following arguments lead to a possible generalization which seems practical (yes!) and elegant.

#### 1. Infinite integers form infinite-dimensional vector space with integer coefficients

The first guess is that infinite integers  $N$  could be defined as products of the powers of finite and infinite primes.

$$N = \prod_k p_k^{n_k} = nM, \quad n_k \geq 0, \quad (10.4.10)$$

where  $n$  is finite integer and  $M$  is infinite integer containing only powers of infinite primes in its product expansion.

It is not however not clear whether the sums of infinite integers really allow similar decomposition. Even in the case that this decomposition exists, there seems to be no way of deriving it. This would suggest that one should regard sums

$$\sum_i n_i M_i$$

of infinite integers as infinite-dimensional linear space spanned by  $M_i$  so that the set of infinite integers would be analogous to an infinite-dimensional algebraic extension of say p-adic numbers such that each coordinate axes in the extension corresponds to single infinite integer of form  $N = mM$ . Thus the most general infinite integer  $N$  would have the form

$$N = m_0 + \sum m_i M_i . \quad (10.4.11)$$

This representation of infinite integers indeed looks promising from the point of view of practical calculations. The representation looks also attractive physically. One can interpret the set of integers  $N$  as a linear space with integer coefficients  $m_0$  and  $m_i$ :

$$N = m_0|1\rangle + \sum m_i|M_i\rangle . \quad (10.4.12)$$

$|M_i\rangle$  can be interpreted as a state basis representing many-particle states formed from bosons labeled by infinite primes  $p_k$  and  $|1\rangle$  represents Fock vacuum. Therefore this representation is analogous to a quantum superposition of bosonic Fock states with integer, rather than complex valued, superposition coefficients. If one interprets  $M_i$  as orthogonal state basis and interprets  $m_i$  as p-adic integers, one can define inner product as

$$\langle N_a, N_b \rangle = m_0(a)m_0(b) + \sum_i m_i(a)m_i(b) . \quad (10.4.13)$$

This expression is well defined p-adic number if the sum contains only enumerable number of terms and is always bounded by p-adic ultrametricity. It converges if the p-adic norm of  $m_i$  approaches to zero when  $M_i$  increases.

### 2. Generalized rationals

Generalized rationals could be defined as ratios  $R = M/N$  of the generalized integers. This works nicely when  $M$  and  $N$  are expressible as products of powers of finite or infinite primes but for more general integers the definition does not look attractive. This suggests that one should restrict the generalized rationals to be numbers having the expansion as a product of positive and negative primes, finite or infinite:

$$N = \prod_k p_k^{n_k} = \frac{n_1 M_1}{n M} . \quad (10.4.14)$$

### 3. Generalized reals form infinite-dimensional real vector space

One could consider the possibility of defining generalized reals as limiting values of the generalized rationals. A more practical definition of the generalized reals is based on the generalization of the binary expansion of ordinary real number given by

$$\begin{aligned} x &= \sum_{n \geq n_0} x_n p^{-n} , \\ x_n &\in \{0, \dots, p-1\} . \end{aligned} \quad (10.4.15)$$

It is natural to try to generalize this expansion somehow. The natural requirement is that sums and products of the generalized reals and canonical identification map from the generalized reals to generalized p-adics are readily calculable. Only in this manner the representation can have practical value.

These requirements suggest the following generalization

$$\begin{aligned} X &= x_0 + \sum_N x_N p^{-N} , \\ N &= \sum_i m_i M_i , \end{aligned} \quad (10.4.16)$$

where  $x_0$  and  $x_N$  are ordinary reals. Note that  $N$  runs over infinite integers which has *vanishing finite part*. Note that generalized reals can be regarded as infinite-dimensional linear space such that each infinite integer  $N$  corresponds to one coordinate axis of this space. One could interpret generalized real as a superposition of bosonic Fock states formed from single boson state labeled by prime  $p$  such that occupation number is either 0 or infinite integer  $N$  with a vanishing finite part:

$$X = x_0|0\rangle + \sum_N x_N|N\rangle . \tag{10.4.17}$$

The natural inner product is

$$\langle X, Y \rangle = x_0y_0 + \sum_N x_Ny_N . \tag{10.4.18}$$

The inner product is well defined if the number of  $N$ :s in the sum is enumerable and  $x_N$  approaches zero sufficiently rapidly when  $N$  increases. Perhaps the most natural interpretation of the inner product is as  $R_p$  valued inner product.

The sum of two generalized reals can be readily calculated by using only sum for reals:

$$X + Y = x_0 + y_0 + \sum_N (x_N + y_N)p^{-N} , \tag{10.4.19}$$

The product  $XY$  is expressible in the form

$$XY = x_0y_0 + x_0Y + Xy_0 + \sum_{N_1, N_2} x_{N_1}y_{N_2}p^{-N_1-N_2} , \tag{10.4.20}$$

If one assumes that infinite integers form infinite-dimensional vector space in the manner proposed, there are no problems and one can calculate the sums  $N_1 + N_2$  by summing component wise manner the coefficients appearing in the sums defining  $N_1$  and  $N_2$  in terms of infinite integers  $M_i$  allowing expression as a product of infinite integers.

Canonical identification map from ordinary reals to p-adics

$$x = \sum_k x_k p^{-k} \rightarrow x_p = \sum_k x_k p^k ,$$

generalizes to the form

$$x = x_0 + \sum_N x_N p^{-N} \rightarrow (x_0)_p + \sum_N (x_N)_p p^N , \tag{10.4.21}$$

so that all the basic requirements making the concept of generalized real computationally useful are satisfied.

There are several interesting questions related to generalized reals.

1. Are the extensions of reals defined by various values of p-adic primes mathematically equivalent or not? One can map generalized reals associated with various choices of the base  $p$  to each other in one-one manner using the mapping

$$X = x_0 + \sum_N x_N p_1^{-N} \rightarrow x_0 + \sum_N x_N p_2^{-N} . \tag{10.4.22}$$

The ordinary real norms of *finite* (this is important!) generalized reals are identical since the representations associated with different values of base  $p$  differ from each other only infinitesimally. This would suggest that the extensions are physically equivalent. If these extensions are not mathematically equivalent then  $p$ -adic primes could have a deep role in the definition of the generalized reals.

2. One can generalize previous formulas for the generalized reals by replacing the coefficients  $x_0$  and  $x_i$  by complex numbers, quaternions or octonions so as to get generalized complex numbers, quaternions and octonions. Also inner product generalizes in an obvious manner. The 8-dimensionality of the imbedding space provokes the question whether it might be possible to regard the infinite-dimensional configuration space of 3-surfaces, or rather, its tangent space, as a Hilbert space realization of the generalized octonions. This kind of identification could perhaps reduce TGD based physics to generalized number theory.

### Comparison with the approach of Cantor

The main difference between the approach of Cantor and the proposed approach is that Cantor uses only the basic arithmetic concepts such as sum and multiplication and the concept of successor defining ordering of both finite and infinite ordinals. Cantor's approach is also purely set theoretic. The problems of purely set theoretic approach are related to the question what the statement 'Set is Many allowing to regard itself as One' really means and to the fact that there is no obvious connection with physics.

The proposed approach is based on the introduction of the concept of prime as a basic concept whereas partial ordering is based on the use of ratios: using these one can recursively define partial ordering and get precise quantitative information based on finite reals. The ordering is only partial and there is infinite number of ratios of infinite integers giving rise to same real unit which in turn leads to the idea about number theoretic anatomy of real point.

The 'Set is Many allowing to regard itself as One' is defined as quantum physicist would define it: many particle states become single particle states in the second quantization describing the counterpart for the construction of the set of subsets of a given set. One could also say that integer as such corresponds to set as 'One' and its decomposition to a product of primes corresponds to the set as 'Many'. The concept of prime, the ultimate 'One', has as its physical counterpart the concept of elementary particle understood in very general sense. The new element is the physical interpretation: the sum of two numbers whose ratio is zero correspond to completely physical finite-subsystem-infinite complement division and the iterated construction of the set of subsets of a set at given level is basically  $p$ -adic evolution understood in the most general possible sense and realized as a repeated second quantization. What is attractive is that this repeated second quantization can be regarded also as a model of abstraction process and actually the process of abstraction itself.

The possibility to interpret the construction of infinite primes either as a repeated bosonic quantization involving subsystem-complement division or as a repeated super-symmetric quantization could have some deep meaning. A possible interpretation consistent with these two pictures is based on the hypothesis that fermions provide a reflective level of consciousness in the sense that the  $2^N$  element Fock basis of many-fermion states formed from  $N$  single-fermion states can be regarded as a set of all possible statements about  $N$  basic statements. Statements about whether a given element of set  $X$  belongs to some subset  $S$  of  $X$  are certainly the fundamental statements from the point of view of mathematics. Hence one could argue that many-fermion states provide cognitive representation for the subsets of some set. Single fermion states represent the points of the set and many-fermion states represent possible subsets.

### 10.4.3 Can one generalize the notion of infinite prime to the non-commutative and non-associative context?

The notion of prime and more generally, that of irreducible, makes sense also in more general number fields and even algebras. The considerations of [19] suggests that the notion of infinite prime should be generalized to the case of complex numbers, quaternions, and octonions as well as to their hyper counterparts which seem to be physically the most interesting ones [19]. Also the hierarchy of infinite primes should generalize as also the representation of infinite primes as polynomials although



associativity is expected to pose technical problems.

### Quaternionic and octonionic primes and their hyper counterparts

The loss of commutativity and associativity implies that the definitions of quaternionic and octonionic primes are not completely straightforward.

#### 1. Basic facts about quaternions and octonions

Both quaternions and octonions allow both Euclidian norm and the Minkowskian norm defined as a trace of the linear operator defined by the multiplication with octonion. Minkowskian norm has the metric signature of  $H = M^4 \times CP_2$  or  $M_+^4 \times CP_2$  so that  $H$  can be regarded locally as an octonionic space. Both norms are a multiplicative and the notions of both quaternionic and octonionic prime are well defined despite non-associativity. Quaternionic and octonionic primes have length squared equal to rational prime.

In the case of quaternions different basis of imaginary units  $I, J, K$  are related by 3-dimensional rotation group and different quaternionic basis span a 3-dimensional sphere. There is 2-sphere of complex structures since imaginary unit can be any unit vector of imaginary 3-space.

A basis for octonionic imaginary units  $J, K, L, M, N, O, P$  can be chosen in many manners and fourteen-dimensional subgroup  $G_2$  of the group  $SO(7)$  of rotations of imaginary units is the group labeling the octonionic structures related by octonionic automorphisms to each other. It deserves to be mentioned that  $G_2$  is unique among the simple Lie-groups in that the ratio of the square roots of lengths for long and short roots of  $G_2$  Lie-algebra are in ratio 3 : 1 [87]. For other Lie-groups this ratio is either 2:1 or all roots have same length. The set of equivalence classes of the octonion structures is  $SO(7)/G_2 = S^7$ . In the case of quaternions there is only one equivalence class.

The group of automorphisms for octonions with a fixed imaginary part is  $SU(3)$ . The coset space  $S^6 = G_2/SU(3)$  labels possible complex structures of the octonion space specified by a selection of a preferred imaginary unit.  $SU(3)/U(2) = CP_2$  could be thought of as the space of octonionic structures giving rise to a given quaternionic structure with complex structure fixed. This can be seen as follows. The units  $1, I$  are  $SU(3)$  singlets whereas  $J, J_1, J_2$  and  $K, K_1, K_2$  form  $SU(3)$  triplet and antitriplet. Under  $U(2)$   $J$  and  $K$  transform like objects having vanishing  $SU(3)$  isospin and suffer only a  $U(1)$  phase transformation determined by multiplication with complex unit  $I$  and are mixed with each other in orthogonal mixture. Thus  $1, I, J, K$  is transformed to itself under  $U(2)$ .

#### 2. Quaternionic and octonionic primes

Quaternionic primes with  $p \bmod 4 = 1$  can correspond to  $(n_1, n_2)$  with  $n_1$  even and  $n_2$  odd or vice versa. For  $p \bmod 4 = 3$   $(n_1, n_2, n_3)$  with  $n_i$  odd is the minimal option. In this case there is however large number of primes having only two components: in particular, Gaussian primes with  $p \bmod 4 = 1$  define also quaternionic primes. Purely real Gaussian primes with  $p \bmod 4 = 3$  with norm  $z\bar{z}$  equal to  $p^2$  are not quaternionic primes, and are replaced with 3-component quaternionic primes allowing norm equal to  $p$ . Similar conclusions hold true for octonionic primes.

The reality condition for polynomials associated with Gaussian infinite primes requires that the products of generating prime and its conjugate are present so that the outcome is a real polynomial of second order.

#### 3. Hyper primes

The notion of prime generalizes to hyper-quaternionic and octonionic case. The factorization  $n_0^2 - n_3^2 = (n_0 + n_3)(n_0 - n_3)$  implies that any hyper-quaternionic and -octonionic prime has one particular representative as  $(n_0, n_3, 0, \dots) = (n_3 + 1, n_3, 0, \dots)$ ,  $n_3 = (p - 1)/2$  for  $p > 2$ .  $p = 2$  is exceptional: a representation with minimal number of components is given by  $(2, 1, 1, 0, \dots)$ .

Notice that the interpretation of hyper-quaternionic primes (or integers) as four-momenta implies that it is not possible to find rest system for them if one assumes the entire quaternionic prime as four-momentum: only a system where energy is minimum is possible. The introduction of a preferred hyper-complex plane necessary for several reasons- in particular for the possibility to identify standard model quantum numbers in terms of infinite primes- allows to identify the momentum of particle in the preferred plane as the first two components of the hyper prime in fixed coordinate frame. Note that this leads to a universal spectrum for mass squared.

For time like hyper-primes the momentum is always time like for hyper-primes. In this case it is possible to find a rest frame by applying a hyper-primeness preserving  $G_2$  transformation so that the resulting momentum has no component in the preferred frame. As a matter fact,  $SU(3)$  rotation is enough for a suitable choice of  $SU(3)$ . These transformations form a discrete subgroup of  $SU(3)$  since hyper-integer property must be preserved. Massless states correspond to a null norm for the corresponding hyper integer unless one allows also tachyonic hyper primes with minimal representatives  $(n_3, n_3 - 1, 0, \dots)$ ,  $n_3 = (p - 1)/2$ . Note that Gaussian primes with  $p \bmod 4 = 1$  are representable as space-like primes of form  $(0, n_1, n_2, 0)$ :  $n_1^2 + n_2^2 = p$  and would correspond to genuine tachyons. Space-like primes with  $p \bmod 4 = 3$  have at least 3 non-vanishing components which are odd integers.

The notion of "irreducible" (see Appendix of [24]) is defined as the equivalence class of primes related by a multiplication with a unit and is more fundamental than that of prime. All Lorentz boosts of a hyper prime combine to form an irreducible. Note that the units cannot correspond to real particles in corresponding arithmetic quantum field theory.

If the situation for  $p > 2$  is effectively 2-dimensional in the sense that it is always possible to transform the hyper prime to a 2-component form by multiplying it by a suitable unit representing Lorentz boost, the theory for time-like hyper primes effectively reduces to the 2-dimensional hyper-complex case when irreducibles are chosen to belong to  $H_2$ . The physical counterpart for the choice of  $H_2$  would be the choice of the plane of longitudinal polarizations, or equivalently, of quantization axis for spin. This hypothesis is physically highly attractive since it would imply number theoretic universality and conform with the effective 2-dimensionality. Of course, the hyper-octonionic primes related by  $SO(7, 1)$  boosts need not represent physically equivalent states.

Also the rigorous notion of hyper primeness seems to require effective 2-dimensionality. If effective 2-dimensionality holds true, hyper integers have a decomposition to a product of hyper primes multiplied by a suitable unit. The representation is obtained by Lorentz boosting the hyper integer first to a 2-component form and then decomposing it to a product of hyper-complex primes.

### Hyper-octonionic infinite primes

The infinite-primes associated with hyper-octonions are the most natural ones physically because of the underlying Lorentz invariance. It is however not possible to interpret them as 8-momenta with mass squared equal to prime. The proper identification of standard model quantum numbers will be discussed later.

#### 1. *Should infinite primes be commutative and associative?*

The basic objections against (hyper-)quaternionic and (hyper-)octonionic infinite primes relate to the non-commutativity and non-associativity.

In the case of quaternionic infinite primes non-commutativity, and in the case of octonionic infinite primes also non-associativity, might be expected to cause difficulties in the definition of  $X$ . Fortunately, the fact that all conjugates of a given finite prime appear in the product defining  $X$ , implies that the contribution from each irreducible with a given norm  $p$  is real and  $X$  is real. Therefore the multiplication and division of  $X$  with quaternionic or octonionic primes is a well-defined procedure, and generating infinite primes are well-defined apart from the degeneracy due to non-commutativity and non-associativity of the finite number of lower level primes. Also the products of infinite primes are well defined, since by the reality of  $X$  it is possible to tell how the products  $AB$  and  $BA$  differ. Of course, also infinite primes representing physical states containing infinite numbers of fermions and bosons are possible and infinite primes of this kind must be analogous to generators of a free algebra for which  $AB$  and  $BA$  are not related in any manner.

The original idea was that infinite hyper-octonionic primes could be mapped to polynomials and one could assign to these space-time surfaces in analogy with the identification of surfaces as zero loci of polynomials. Although this idea has been given up, it is good to make clear its problematic aspects.

1. The sums of products of monomials of generating infinite primes define higher level infinite primes and also here non-commutativity and associativity cause potential technical difficulties. The assignment of a monomial to a quaternionic or octonionic infinite prime is not unique since the rational obtained by dividing the finite part  $mr$  with the integer  $n$  associated with infinite part can be defined either as  $(1/n) \times mr$  or  $mr \times (1/n)$  and the resulting non-commuting rationals are different.

2. If the polynomial associated with infinite prime has real-rational coefficients, these difficulties do not appear. The problem is that the polynomials as such would not contain information about the number field in question.
3. Commutativity requirement for infinite primes allows real-rationals or possibly algebraic extensions of them as the coefficients of the polynomials formed from hyper-octonionic infinite primes. If only infinite primes with complex rational coefficients are allowed and only the vacuum state  $V_{\pm} = X \pm 1$  involving product over all primes of the number field, would reveal the number field. One could thus construct the generating infinite primes using the notion of hyper-octonionic prime for any algebraic extension of rationals.

The idea about mapping of infinite primes to polynomials in turn defining space-time surfaces is non-realistic. The recent view is more abstract and based on the mapping of wave functions in the space of hyper-octonion units assignable to single imbedding space point by its number-theoretic anatomy and a further mapping of quantum numbers to the geometry of space-time surface by the coupling of the modified Dirac action to the quantum numbers via measurement interaction. In this approach one cannot assume commutativity of hyper-octonionic primes at any level. The problems due to non-commutativity and non-associativity are however circumvented by assuming that permutations and associations of are represented as phase factors and therefore do not change the quantum state. This means the introduction of association statistics besides permutation statistics. Besides Fermi and Bose statistics one can consider braid statistics. Note that Fermi statistics makes sense only when the fermionic finite primes appearing in the state do not commute.

*2. The construction recipe for hyper-octonionic infinite primes*

The following argument represents the construction recipe for the first level hyper-octonionic primes without the restriction to rational infinite primes. If the reduction is possible always by a suitable  $G_2$  rotation then the construction of the infinite primes analogous to bound states is obtained in trivial manner from that for rational variants of these primes. The recipe generalizes to the higher levels in trivial manner.

Each hyper-octonionic prime has a number of conjugates obtained by applying transformations of  $G_2$  respecting the property of being hyper-octonionic integer.

1. The number of conjugates of given finite prime depends on the number of non-vanishing components of the the prime with norm  $p$  in the minimal representation having minimal energy. Several primes with a given norm  $p$  not related by a multiplication with unit or by automorphism are in principle possible. The degeneracy is determined by the number of elements of a subgroup of Galois group acting non-trivially on the prime.

Galois group contains the permutations of 7 imaginary units and 7 conjugations of units consistent with the octonionic product.  $X$  is proportional to  $p^{N(p)}$  where  $N(p)$  in principle depends on  $p$ .

There could exist also  $G_2$  transformations which change the number of components of the infinite prime. They satisfy tight number theoretical constraints since the quantity  $\sum_{i=1}^7 n_i^2$  must be preserved. For instance, for the transformation from standard form with two components to that with more than two components one has  $n_1^2(i) = \sum_k n_k^2(f)$ . For the transformation from 2-component prime to 3-component prime one has a condition characterizing Pythagorean triangle. One can however consider also a situation when no such  $G_2$  transformation exist so that one has several  $G_2$  orbits corresponding to the same rational prime.

The construction itself would be relatively straightforward. Consider first the construction of the "vacuum" primes.

1. In the case of ordinary infinite primes there are two different vacuum primes  $X \pm 1$ . This is the case also now. It turns out that this degeneracy corresponds to the spin and orbital degrees of freedom for the spinor fields of WCW.
2. The product  $X$  of all hyper-octonionic irreducibles can be regarded as the counterpart of Dirac vacuum in a rather concrete sense. Moreover, in the hyper-quaternionic and octonionic case the norm of  $X$  is analogous to the Dirac determinant of a fermionic field theory with prime valued

mass spectrum and integer valued momentum components. The inclusion of only irreducible eliminates from the infinite product defining Dirac determinant product over various Lorentz boosts of  $p^k \gamma_k - m$ .

3. Infinite prime property requires that  $X$  must be defined by taking one representative from each  $G_2$  equivalence class representing irreducible and forming the product of all its  $G_2$  conjugates. The standard representative for the hyper-octonionic primes can be taken to be time-like positive energy prime unless one allows also tachyonic primes in which case a natural representative has a vanishing real component. The conjugates of each irreducible appear in  $X$  so for a given norm  $p$  the net result is real for each rational prime  $p$ .

The construction of non-vacuum primes is equally straightforward.

1. If the conjectured effective 2-dimensionality holds true, it is enough to construct hyper-complex primes first. To the finite hyper-complex primes appearing in these infinite primes one can apply transformations of  $G_2$  mapping hyper-octonionic integers to hyper-octonionic integers. The infinite prime would have degeneracy defined by the product of  $G_2$  orbits of finite primes involved. Every finite prime would be like particle possessing finite number of quantum states. If there are several  $G_2$  orbits corresponding to the same finite prime exist they must be also included and the conjectured effective 2-dimensionality fails.
2. An interesting question is what happens when the finite part of an infinite prime is multiplied by light like integer  $k$ . The first guess is that  $k$  describes the presence of a massless particle. If the resulting infinite integer is multiplied with conjugates  $k_{c,i}$  of  $k$  an integer of form  $\prod_i k_{c,i} mX/n$  having formally zero norm results. It would thus seem that there is a kind of gauge invariance in the sense that infinite primes for which both finite and infinite part are multiplied with the same light-like primes, are divisors of zero and correspond to gauge degrees of freedom. This conclusion is supported by the interpretation of the projection of infinite prime to the preferred hyper-complex plane as momentum of particle in a preferred  $M^2$  plane assigned by the hierarchy of Planck constants to each  $CD$  and also required by the p-adicization.
3. More complex infinite hyper-octonionic primes can be constructed from rational hyper-complex and complex infinite primes using a representation in terms of polynomials and then acting on the finite primes appearing in their expression by elements of  $G_2$  preserving integer property. This construction works at all levels of the hierarchy and one might hope that it is all that is needed. If there are several  $G_2$  orbits for given finite prime  $p$  one encounters a problem since hyper-octonionic primes with more than 2 components do not allow associative and commutative polynomial representations. The interpretation as bound states is suggestive.

#### 10.4.4 How to interpret the infinite hierarchy of infinite primes?

From the foregoing it should be clear that infinite primes might play key role in quantum physics. One can even consider the possibility that physics reduces to a generalized number theory, and that infinite primes are crucial for understanding mathematically consciousness and cognition. Of course, one must leave open the question whether infinite primes really provide really the mathematics of consciousness or whether they are only a beautiful but esoteric mathematical construct. In this spirit the following subsections give only different points of view to the problem with no attempt to a coherent overall view.

#### Infinite primes and hierarchy of super-symmetric arithmetic quantum field theories

Infinite primes are a generalization of the notion of prime. They turn out to provide number theoretic correlates of both free, interacting and bound states of a super-symmetric arithmetic quantum field theory. It turns also possible to assign to infinite prime space-time surface as a geometric correlate although the original proposal for how to achieve this failed. Hence infinite primes serve as a bridge between classical and quantum and realize quantum classical correspondence stating that quantum states have classical counterparts, and has served as a basic heuristic guideline of TGD. More precisely, the natural hypothesis is that infinite primes code for the ground states of super-symplectic representations (for instance, ordinary particles correspond to states of this kind).

1. *Generating infinite primes as counterparts of Fock states of a super-symmetric arithmetic quantum field theory*

The basic construction recipe for infinite primes is simple and generalizes to the quaternionic case.

1. Form the product of all primes and call it  $X$ :

$$X = \prod_p p .$$

2. Form the vacuum states

$$V_{\pm} = X \pm 1 .$$

3. From these vacua construct all *generating* infinite primes by the following process. Kick out from the Dirac sea some negative energy fermions: they correspond to a product  $s$  of first powers of primes:  $V \rightarrow X/s \pm s$  ( $s$  is thus square-free integer). This state represents a state with some fermions represented as holes in Dirac sea but no bosons. Add bosons by multiplying by integer  $r$ , which decomposes into parts as  $r = mn$ :  $m$  corresponding to bosons in  $X/s$  is product of powers of primes dividing  $X/s$  and  $n$  corresponds to bosons in  $s$  and is product of powers of primes dividing  $s$ . This step can be described as  $X/s \pm s \rightarrow mX/s \pm ns$ .

Generating infinite primes are thus in one-one correspondence with the Fock states of a super-symmetric arithmetic quantum field theory and can be written as

$$P_{\pm}(m, n, s) = \frac{mX}{s} \pm ns ,$$

where  $X$  is product of all primes at previous level.  $s$  is square free integer.  $m$  and  $n$  have no common factors, and neither  $m$  and  $s$  nor  $n$  and  $X/s$  have common factors.

The physical analog of the process is the creation of Fock states of a super-symmetric arithmetic quantum field theory. The factorization of  $s$  to a product of first powers of primes corresponds to many-fermion state and the decomposition of  $m$  and  $n$  to products of powers of prime correspond to bosonic Fock states since  $p^k$  corresponds to  $k$ -particle state in arithmetic quantum field theory.

2. *More complex infinite primes as counterparts of bound states*

Generating infinite primes are not all that are possible. One can construct also polynomials of the generating primes and under certain conditions these polynomials are non-divisible by both finite primes and infinite primes already constructed. As found, the conjectured effective 2-dimensionality for hyper-octonionic primes allows the reduction of polynomial representation of hyper-octonionic primes to that for hyper-complex primes. This would be in accordance with the effective 2-dimensionality of the basic objects of quantum TGD.

The physical counterpart of  $n$ :th order irreducible polynomial is as a bound state of  $n$  particles whereas infinite integers constructed as products of infinite primes correspond to non-bound but interacting states. This process can be repeated at the higher levels by defining the vacuum state to be the product of all primes at previous levels and repeating the process. A repeated second quantization of a super-symmetric arithmetic quantum field theory is in question.

The infinite primes represented by irreducible polynomials correspond to quantum states obtained by mapping the superposition of the products of the generating infinite primes to a superposition of the corresponding Fock states. If complex rationals are the coefficient field for infinite integers, this gives rise to states in a complex Hilbert space and irreducibility corresponds to a superposition of states with varying particle number and the presence of entanglement. For instance, the superpositions of several products of type  $\prod_{i=1, \dots, n} P_i$  of  $n$  generating infinite primes are possible and in general give rise to irreducible infinite primes decomposing into a product of infinite primes in algebraic extension of rationals.

3. *How infinite rationals correspond to quantum states and space-time surfaces?*

The most promising answer to the question how infinite rationals correspond to space-time surfaces is discussed in detail in the next section. Here it is enough to give only the basic idea.

1. In zero energy ontology hyper-octonionic units (in real sense) defined by ratios of infinite integers have an interpretation as representations for pairs of positive and negative energy states. Suppose that the quantum number combinations characterizing positive and negative energy quantum states are representable as superpositions of real units defined by ratios of infinite integers at each point of the space-time surface. If this is true, the quantum classical correspondence coded by the measurement interaction term of the modified Dirac action maps the quantum numbers also to space-time geometry and implies a correspondence between infinite rationals and space-time surfaces.
2. The space-time surface associated with the infinite rational is in general not a union of the space-time surfaces associated with the primes composing the integers defining the rational. There the classical description of interactions emerges automatically. The description of classical states in terms of infinite integers would be analogous to the description of many particle states as finite integers in arithmetic quantum field theory. This mapping could in principle make sense both in real and p-adic sectors of WCW.

The finite primes which correspond to particles of an arithmetic quantum field theory present in Fock state, correspond to the space-time sheets of finite size serving as the building blocks of the space-time sheet characterized by infinite prime.

*4. What is the interpretation of the higher level infinite primes?*

Infinite hierarchy of infinite primes codes for a hierarchy of Fock states such that many-particle Fock states of a given level serve as elementary particles at next level. The unavoidable conclusion is that higher levels represent totally new physics not described by the standard quantization procedures. In particular, the assignment of fermion/boson property to arbitrarily large system would be in some sense exact. Topologically these higher level particles could correspond to space-time sheets containing many-particle states and behaving as higher level elementary particles.

This view suggests that the generating quantum numbers are present already at the lowest level and somehow coded by the hyper-octonionic primes taking the role of momentum quantum number they have in arithmetic quantum field theories. The task is to understand whether and how hyper-octonionic primes can code for quantum numbers predicted by quantum TGD.

The quantum numbers coding higher level states are collections of quantum numbers of lower level states. At geometric level the replacement of the coefficients of polynomials with rational functions is the equivalent of replacing single particle states with new single particle states consisting of many-particle states.

**Infinite primes, the structure of many-sheeted space-time, and the notion of finite measurement resolution**

The mapping of infinite primes to space-time surfaces codes the structure of infinite prime to the structure of space-time surface in a rather non-implicit manner, and the question arises about the concrete correspondence between the structure of infinite prime and topological structure of the space-time surface. It turns out that the notion of finite measurement resolution is the key concept: infinite prime characterizes angle measurement resolution. This gives a direct connection with the p-adicization program relying also on angle measurement resolution as well as a connection with the hierarchy of Planck constants. Finite measurement resolution relates also closely to the inclusions of hyper-finite factors central for TGD inspired quantum measurement theory.

*1. The first intuitions*

The concrete prediction of the general vision is that the hierarchy of infinite primes should somehow correspond to the hierarchy of space-time sheets or partonic 2-surfaces if one accepts the effective 2-dimensionality. The challenge is to find space-time counterparts for infinite primes at the lowest level of the hierarchy.

One could hope that the Fock space structure of infinite prime would have a more concrete correspondence with the structure of the many-sheeted space-time. One might that the space-time sheets labeled by primes  $p$  would directly correspond to the primes appearing in the definition of infinite prime. This expectation seems to be too simplistic.

1. What seems to be a safe guess is that the simplest infinite primes at the lowest level of the hierarchy should correspond to elementary particles. If inverses of infinite primes correspond to negative energy space-time sheets, this would explain why negative energy particles are not encountered in elementary particle physics.
2. More complex infinite primes at the lowest level of the hierarchy could be interpreted in terms of structures formed by connecting these structures by join along boundaries bonds to get space-time correlates of bound states. Even simplest infinite primes must correspond to bound state structures if the condition that the corresponding polynomial has real-rational coefficients is taken seriously.

Infinite primes at the lowest level of hierarchy correspond to several finite primes rather than single finite prime. The number of finite primes is however finite.

1. A possible interpretation for multi-p property is in terms of multi-p p-adic fractality prevailing in the interior of space-time surface. The effective p-adic topology of these space-time sheets would depend on length scale. In the longest scale the topology would correspond to  $p_n$ , in some shorter length scale there would be smaller structures with  $p_{n-1} < p_n$ -adic topology, and so on... . A good metaphor would be a wave containing ripples, which in turn would contain still smaller ripples. The multi-p p-adic fractality would be assigned with the 4-D space-time sheets associated with elementary particles. The concrete realization of multi-p p-adicity would be in terms of infinite integers coming as power series  $\sum x_n N^n$  and having interpretation as p-adic numbers for any prime dividing  $N$ .
2. Effective 2-dimensionality would suggest that the individual p-adic topologies could be assigned with the 2-dimensional partonic surfaces. Thus infinite prime would characterize at the lowest level space-time sheet and corresponding partonic 2-surfaces. There are however reasons to think that even single partonic 2-surface corresponds to a multi-p p-adic topology.

### 2. Do infinite primes code for the finite measurement resolution?

The above describe heuristic picture is not yet satisfactory. In order to proceed, it is good to ask what determines the finite prime or set of them associated with a given partonic 2-surface. It is good to recall first the recent view about the p-adicization program relying crucially on the notion of finite measurement resolution.

1. The vision about p-adicization characterizes finite measurement resolution for angle measurement in the most general case as  $\Delta\phi = 2\pi M/N$ , where  $M$  and  $N$  are positive integers having no common factors. The powers of the phases  $\exp(i2\pi M/N)$  define identical Fourier basis irrespective of the value of  $M$  and measurement resolution does not depend on on the value of  $M$ . Situation is different if one allows only the powers  $\exp(i2\pi kM/N)$  for which  $kM < N$  holds true: in the latter case the measurement resolutions with different values of  $M$  correspond to different numbers of Fourier components. If one regards  $N$  as an ordinary integer, one must have  $N = p^n$  by the p-adic continuity requirement.
2. One can also interpret  $N$  as a p-adic integer. For  $N = p^n M$ , where  $M$  is not divisible by  $p$ , one can express  $1/M$  as a p-adic integer  $1/M = \sum_{k \geq 0} M_k p^k$ , which is infinite as a real integer but effectively reduces to a finite integer  $K(p) = \sum_{k=0}^{N-1} M_k p^k$ . As a root of unity the entire phase  $\exp(i2\pi M/N)$  is equivalent with  $\exp(i2\pi R/p^n)$ ,  $R = K(p)M \pmod{p^n}$ . The phase would non-trivial only for p-adic primes appearing as factors in  $N$ . The corresponding measurement resolution would be  $\Delta\phi = R2\pi/N$  if modular arithmetics is used to define the the measurement resolution. This works at the first level of the hierarchy but not at higher levels. The alternative manner to assign a finite measurement resolution to  $M/N$  for given  $p$  is as  $\Delta\phi = 2\pi|N/M|_p = 2\pi/p^n$ . In this case the small fermionic part of the infinite prime would fix the measurement resolution. The argument below shows that only this option works also at the higher levels of hierarchy and is therefore more plausible.
3. p-Adicization conditions in their strong form require that the notion of integration based on harmonic analysis [77] in symmetric spaces [75] makes sense even at the level of partonic 2-surfaces.

These conditions are satisfied if the partonic 2-surfaces in a given measurement resolution can be regarded as algebraic continuations of discrete surfaces whose points belong to the discrete variant of the  $\delta M_{\pm}^4 \times CP_2$ . This condition is extremely powerful since it effectively allows to code the geometry of partonic 2-surfaces by the geometry of finite sub-manifold geometries for a given measurement resolution. This condition assigns the integer  $N$  to a given partonic surface and all primes appearing as factors of  $N$  define possible effective p-adic topologies assignable to the partonic 2-surface.

How infinite primes could then code for the finite measurement resolution? Can one identify the measurement resolution for  $M/N = M/(Rp^n)$  as  $\Delta\phi = ((M/R) \bmod p^n) \times 2\pi/p^n$  or as  $\Delta\phi = 2\pi/p^n$ ? The following argument allows only the latter option.

1. Suppose that p-adic topology makes sense also for infinite primes and that state function reduction selects power of infinite prime  $P$  from the product of lower level infinite primes defining the integer  $N$  in  $M/N$ . Suppose that the rational defined by infinite integer defines measurement resolution also at the higher levels of the hierarchy.
2. The infinite primes at the first level of hierarchy representing Fock states are in one-one correspondence with finite rationals  $M/N$  for which integers  $M$  and  $N$  can be chosen to characterize the infinite bosonic part and finite fermionic part of the infinite prime. This correspondence makes sense also at higher levels of the hierarchy but  $M$  and  $N$  are infinite integers. Also other option obtained by exchanging "bosonic" and "fermionic" but later it will be found that only the first identification makes sense.
3. The first guess is that the rational  $M/N$  characterizing the infinite prime characterizes the measurement resolution for angles and therefore partially classifies also the finite sub-manifold geometry assignable to the partonic 2-surface. One should define what  $M/N = ((M/R) \bmod P^n) \times P^{-n}$  is for infinite primes. This would require expression of  $M/R$  in modular arithmetics modulo  $P^n$ . This does not make sense.
4. For the second option the measurement resolution defined as  $\Delta\phi = 2\pi|N/M|_P = 2\pi/P^n$  makes sense. The Fourier basis obtained in this manner would be infinite but all states  $\exp(ik/P^n)$  would correspond in real sense to real unity unless one allows  $k$  to be infinite P-adic integer smaller than  $P^n$  and thus expressible as  $k = \sum_{m < n} k_m P^m$ , where  $k_m$  are infinite integers smaller than  $P$ . In real sense one obtains all roots  $\exp(iq2\pi)$  of unity with  $q < 1$  rational. For instance, for  $n = 1$  one can have  $0 < k/P < 1$  for a suitably chosen infinite prime  $k$ . Thus one would have essentially continuum theory at higher levels of the hierarchy. The purely fermionic part  $N$  of the infinite prime would code for both the number of Fourier components in discretization for each power of prime involved and the ratio characterize the angle resolution.

The proposed relation between infinite prime and finite measurement resolution implies very strong number theoretic selection rules on the reaction vertices.

1. The point is that the vertices of generalized Feynman diagrams correspond to partonic 2-surfaces at which the ends of light-like 3-surfaces describing the orbits of partonic 2-surfaces join together. Suppose that the partonic 2-surfaces appearing at both ends of the propagator lines correspond to same rational as finite sub-manifold geometries. If so, then for a given p-adic effective topology the integers assignable to all lines entering the vertex must contain this p-adic prime as a factor. Particles would correspond to integers and only the particles having common prime factors could appear in the same vertex.
2. In fact, already the work with modelling dark matter [35] led to ask whether particle could be characterized by a collection of p-adic primes to which one can assign weak, color, em, gravitational interactions, and possibly also other interactions. It also seemed natural to assume that only the space-time sheets containing common primes in this collection can interact. This inspired the notions of relative and partial darkness. An entire hierarchy of weak and color physics such that weak bosons and gluons of given physics are characterized by a given p-adic prime  $p$  and also the fermions of this physics contain space-time sheet characterized by same p-adic prime, say  $M_{89}$  as in case of weak interactions. In this picture the decay widths of weak



bosons do not pose limitations on the number of light particles if weak interactions for them are characterized by p-adic prime  $p \neq M_{89}$ . Same applies to color interactions.

The possibility of multi-p p-adicity raises the question about how to fix the p-adic prime characterizing the mass of the particle. The mass scale of the contribution of a given throat to the mass squared is given by  $p^{-n/2}$ , where  $T = 1/n$  corresponds to the p-adic temperature of throat. Hence the dominating contribution to the mass squared corresponds to the smallest prime power  $p^n$  associated with the throats of the particle. This works if the integers characterizing other particles than graviton are divisible by the gravitonic p-adic prime or a product of p-adic primes assignable to graviton. If the smallest power  $p^n$  assignable to the graviton is large enough, the mass of graviton is consistent with the empirical bounds on it. The same consideration applies in the case of photons. Recall that the number theoretically very natural condition that in zero energy ontology the number of generalized Feynman graphs contributing to a given process is finite is satisfied if all particles have a non-vanishing but arbitrarily small p-adic thermal mass [18].

### 3. Interpretational problem

The identification of infinite prime as a characterizer of finite measurement resolution looks nice but there is an interpretational problem.

1. The model characterizing the quantum numbers of WCW spinor fields to be discussed in the next section involves a pair of infinite primes  $P_+$  and  $P_-$  corresponding to the two vacuum primes  $X \pm 1$ . Do they correspond to two different measurement resolutions perhaps assignable to  $CD$  and  $CP_2$  degrees of freedom?
2. Different measurement resolutions in  $CD$  and  $CP_2$  degrees of freedom need not be not a problem as long as one considers only the discrete variants of symmetric spaces involved. What might be a problem is that in the general case the p-adic primes associated with  $CD$  and  $CP_2$  degrees of freedom would not be same unless the integers  $N_+$  and  $N_-$  are assumed to have same prime factors (they indeed do if  $p^0 = 1$  is formally counted as prime power factors).
3. The idea of assigning different p-adic effective topologies to  $CD$  and  $CP_2$  does not look attractive. Both  $CD$  and  $CP_2$  and thus also partonic 2-surface could however possess simultaneously both p-adic effective topologies. This kind of option might make sense since the integers representable as infinite powers series of integer  $N$  can be regarded as p-adic integers for all prime factors of  $N$ . As a matter fact, this kind of multi-p p-adicity could make sense also for the partonic 2-surfaces characterized by a measurement resolution  $\Delta\phi = 2\pi M/N$ . One would have what might be interpreted as  $N_+N_-$ -adicity.
4. It will be found that quantum measurement means also the measurement of the p-adic prime selecting same p-adic prime from  $N_+$  and  $N_-$ . If  $N_{\pm}$  is divisible only by  $p^0 = 1$ , the corresponding angle measurement resolution is trivial. From the point of view of consciousness state function reduction selects also the p-adic prime characterizing the cognitive representation which is very natural since quantum superpositions of different p-adic topologies are not natural physically.

### How the hierarchy of Planck constants could relate to infinite primes and p-adic hierarchy?

Besides the hierarchy of space-time sheets, TGD predicts, or at least suggests, several hierarchies such as the hierarchy of infinite primes, the hierarchy of Jones inclusions identifiable in terms of finite measurement resolution[33], the dark matter hierarchy characterized by increasing values of  $\hbar$  [35], the hierarchy of extensions of given p-adic number field, and the hierarchy of selves and quantum jumps with increasing duration with respect to geometric time. There are good reasons to expect that these hierarchies are closely related. Number theoretical considerations give hopes about developing a more quantitative vision about the relationship between these hierarchies, in particular between the hierarchy of infinite primes, p-adic length scale hierarchy, and the hierarchy if Planck constants.

If infinite primes code for the hierarchy of measurement resolutions, the correlations between the p-adic hierarchy and the hierarchy of Planck constants indeed suggest themselves and allow also to select between two interpretations for the fact that two infinite primes  $N_+$  and  $N_-$  are needed to characterize elementary particles (see the next section).

Recall that the hierarchy of Planck constants in the most general situation corresponds to a replacement  $M^4$  and  $CP_2$  factors of the imbedding space with singular coverings and factor spaces. The condition that Planck constant is integer valued allows only singular coverings characterized by two integers  $n_a$  resp.  $n_b$  assignable to  $CD$  resp.  $CP_2$ . This condition also guarantees that a given value of Planck constant corresponds to only a finite number of pages of the "Big Book" and therefore looks rather attractive mathematically. This option also forces evolution as a dispersion to the pages of the books characterized by increasing values of Planck constant.

Concerning the correspondence between the hierarchy of Planck constants and p-adic length scale hierarchy there seems to be only single working option. The following assumptions make precise the relationship between finite measurement resolution, infinite primes and hierarchy of Planck constants.

1. Measurement resolution  $CD$  resp.  $CP_2$  degrees of freedom is assumed to correspond to the rational  $M_+/N_+$  resp.  $M_-/N_-$ .  $N_{\pm}$  is identified as the integer assigned to the fermionic part of the infinite integer..
2. One must always fix the consideration to a fixed p-adic prime. This process could be regarded as analogous to fixing the quantization axes and  $p$  would also characterize the p-adic cognitive space-time sheets involved. The p-adic prime is therefore same for  $CD$  and  $CP_2$  degrees of freedom as required by internal consistency.
3. The relationship to the hierarchy of Planck constants is fixed by the identifications  $n_a = n_+(p)$  and  $n_b = n_-(p)$  so that the number of sheets of the covering equals to the number of bosons in the fermionic mode  $p$  of the quantum state defined by infinite prime.
4. A physically attractive hypothesis is that number theoretical bosons resp. fermions correspond to WCW orbital resp. spin degrees of freedom. The first ones correspond to the symplectic algebra [72] of WCW and the latter one to purely fermionic degrees of freedom.

Consider now the basic consequences of these assumptions from the point of view of physics and cognition.

1. Finite measurement resolution reduces for a given value of  $p$  to

$$\Delta\phi = \frac{2\pi}{p^{n_{\pm}(p)+1}} = \frac{2\pi}{p^{n_{a/b}}} ,$$

where  $n_{\pm}(p) = n_{a/b} - 1$  is the number of bosons in the mode  $p$  in the fermionic part of the state. The number theoretical fermions and bosons and also their probably existing physical counterparts are necessary for a non-trivial angle measurement resolution. The value of Planck constant given by

$$\frac{\hbar}{\hbar_0} = n_a n_b = (n_+(p) + 1) \times (n_-(p) + 1)$$

tells the total number of bosons added to the fermionic mode  $p$  assigned to the infinite prime.

2. The presence of  $\hbar > \hbar_0$  partonic 2-surfaces is absolutely essential for a Universe able to measure its own state. This is in accordance with the interpretation of hierarchy of Planck constants in TGD inspired theory of consciousness. One can also say that  $\hbar = 0$  sector does not allow cognition at all since  $N_{\pm} = 1$  holds true. For given  $p$   $\hbar = n_a n_b = 0$  means that given fermionic prime corresponds to a fermion in the Dirac sea meaning  $n_{\pm}(p) = -1$ . Kicking out of fermions from Dirac sea makes possible cognition. For purely bosonic vacuum primes one has  $\hbar = 0$  meaning trivial measurement resolution so that the physics is purely classical and would correspond to the purely bosonic sector of the quantum TGD.
3. For  $\hbar = \hbar_0$  the number of bosons in the fermionic state vanishes and the general expression for the measurement resolution reduces to  $\Delta\phi = 2\pi/p$ . When one adds  $n_{\pm}(p)$  bosons to the fermionic part of the infinite prime, the measurement resolution increases from  $\Delta\phi = 2\pi/p$  to  $\Delta\phi = 2\pi/p^{n_{\pm}(p)+1}$ . Adding a sheet to the covering means addition of a number theoretic boson to the fermionic part of infinite prime. The presence of both number theoretic bosons and fermions with the values of p-adic prime  $p_1 \neq p$  does not affect the measurement resolution  $\Delta\phi = 2\pi/p^n$  for a given prime  $p$ .

4. The resolutions in  $CD$  and  $CP_2$  degrees of freedom correspond to the same value of the p-adic prime  $p$  so that one has discretizations based on  $\Delta\phi = 2\pi/p^{n_a}$  in  $CD$  degrees of freedom and  $\Delta\phi = 2\pi/p^{n_b}$  in  $CP_2$  degrees of freedom. The finite sub-manifold geometries make sense in this case and since the effective p-adic topology is same, the continuation to continuous p-adic partonic 2-surface is possible.

p-Adic thermodynamics involves the p-adic temperature  $T = 1/n$  as basic parameter and the p-adic mass scale of the particle comes as  $p^{-(n+1)/2}$ . The natural question is whether one could assume the relation  $T_{\pm} = 1/(n_{\pm}(p) + 1)$  between p-adic temperature and infinite prime and thus the relations  $T_a = 1/n_a(p)$  and  $T_b = 1/n_b(p)$ . This identification is not consistent with the recent physical interpretation of the p-adic thermodynamics nor with the view about dark matter hierarchy and must be given up.

1. The minimal non-trivial measurement resolution with  $n_i = 1$  and  $\hbar = \hbar_0$  corresponds to the p-adic temperature  $T_i = 1$ . p-Adic mass calculations indeed predict  $T = 1$  for fermions for  $\hbar = \hbar_0$ . In the case of gauge bosons  $T \geq 2$  is favored so that gauge bosons would be dark. This would require that gauge bosons propagate along dark pages of the Big Book and become "visible" before entering to the interaction vertex.
2. p-Adic thermodynamics also assumes same p-adic temperature in  $CD$  and  $CP_2$  degrees of freedom but the proposed identification allows also different temperatures. In principle the separation of the super-conformal degrees of freedom of  $CD$  and  $CP_2$  might allow different p-adic temperatures. This would assign to different p-adic mass scales to the particles and the larger mass scale should give the dominant contribution.
3. For dark particles the p-adic mass scale would be by a factor  $1/\sqrt{p}^{n_i(p)-1}$  lower than for ordinary particles. This is in conflict with the assumption that the mass of the particle does not depend on  $\hbar$ . This prediction would kill completely the recent vision about the dark matter.

#### 10.4.5 How infinite primes could correspond to quantum states and space-time surfaces?

The hierarchy of infinite primes is in one-one correspondence with a hierarchy of second quantizations of an arithmetic quantum field theory. The additive quantum number in question is energy like quantity for ordinary primes and given by the logarithm of prime whereas p-adic length scale hypothesis suggests that the conserved quantity is proportional to the inverse of prime or its square root. For infinite primes at the first level of hierarchy these quantum numbers label single particles states having interpretation as ordinary elementary particles. For octonionic and hyper-octonionic primes the quantum number is analogous to a momentum with 8 components. The question is whether these number theoretic quantum numbers could have interpretation as genuine quantum numbers. Quantum classical correspondence raises another question. Is it possible to label space-time surfaces by infinite primes? Could this correspondence be even one-to-one?

I have considered these questions already more than decade ago. The discussion at that time was necessarily highly speculative and just a mathematical exercise. After that time however a lot of progress has taken place in quantum TGD and it is highly interaction to see what comes out from the interaction of the notion of infinite prime with the notions of zero energy ontology and generalized imbedding space, and with the recent vision about how measurement interaction in the modified Dirac action allows to code information about quantum numbers to the space-time geometry. The possibility of this coding allows to simplify the discussion dramatically. If one can map infinite hyper-octonionic primes to quantum numbers of the standard model naturally, then the their map of to the geometry of space-time surfaces realizes the coding of space-time surfaces by infinite primes (and more generally by integers and rationals). Also a detailed realization of number theoretic Brahman=Atman identity emerges as an outcome.

#### A brief summary about various moduli spaces and their symmetries

It is good to sum up the number theoretic symmetries before trying to construct an overall view about the situation. Several kinds of number theoretical symmetry groups are involved corresponding

to symmetries in the moduli spaces of hyper-octonionic and hyper-quaternionic structures, symmetries mapping hyper-octonionic primes to hyper-octonionic primes, and translations acting in the space of causal diamonds ( $CD$ s) and shifting. The moduli space for  $CD$ s labeled by pairs of its tips that its pairs of points of  $M^4 \times CP_2$  is also in important role.

1. The basic idea is that color  $SU(3) \subset G_2$  acts as automorphisms of hyper-octonion structure with a preferred imaginary unit.  $SO(7,1)$  acts as symmetries in the moduli space of hyper-octonion structures. Associativity implies symmetry breaking so that only hyper-quaternionic structures are considered and  $SO(3,1) \times SO(4)$  acts as symmetries of the moduli space for hyper-quaternionic structures.
2.  $CP_2$  parameterizes the moduli space of hyper-quaternionic structures induced from a given hyper-octonionic structure with preferred imaginary unit.
3. Color group  $SU(3)$  is the analog of Galois group for the extension of reals to octonions and has a natural action on the decompositions of rational infinite primes to hyper-octonionic infinite primes. For given hyper-octonionic prime one can identify a subgroup of  $SU(3)$  generating a finite set of hyper-octonionic primes for it at sphere  $S^7$ . This suggests wave function at the orbit of given hyper-octonionic prime in turn generalizing to wave functions in the space of infinite primes.
4. Four-momenta correspond to translational degrees of freedom associated with the preferred points of  $M^4$  coded by the infinite rational (tip of the light-cone). Color quantum numbers in cm degrees of freedom can be assigned to the  $CP_2$  projection of the preferred point of  $H$ . As a matter fact, the definition of hyper-octonionic structure involves the choice of origin of  $M^8$  giving rise to the preferred point of  $H$ .

These symmetries deserve a more detailed discussion.

1. The choice of global hyper-octonionic coordinate is dictated only modulo a transformation of  $SO(1,7)$  acting as isometries of hyper-octonionic norm and as transformations in moduli space of hyper-octonion structures.  $SO(7)$  respects the choice of the real unit.  $SO(1,3) \times SO(4)$  acts in the moduli space of global hyper-quaternionic structures identified as sub-structures of hyper-octonionic structure. The choice of global hyper-octonionic structures involves also a choice of origin implying preferred point of  $H$ . The  $M^4$  projection of this point corresponds to the tip of  $CD$ . Since the integers representing physical states must be hyper-quaternionic by associativity conditions, the symmetry breaking ("number theoretic compactification") to  $SO(1,3) \times SO(4)$  occurs very naturally. This group acts as spinor rotations in  $H$  picture and as isometries in  $M^8$  picture. The choice of both tips of  $CD$  reduces  $SO(1,3)$  to  $SO(3)$ .
2.  $SO(1,7)$  allows 3 different 8-dimensional representations ( $8_v$ ,  $8_s$ , and  $\bar{8}_s$ ). All these representations must decompose under  $SU(3)$  as  $1 + 1 + 3 + \bar{3}$  as little exercise with  $SO(8)$  triality demonstrates. Under  $SO(6) \cong SU(4)$  the decompositions are  $1 + 1 + 6$  and  $4 + \bar{4}$  for  $8_v$  and  $8_s$  and its conjugate. Both hyper-octonion spinors and gamma matrices are identified as hyper-octonion units rather than as matrices. It would be natural to assign to bosonic  $M^8$  primes  $8_v$  and to fermionic  $M^8$  primes  $8_s$  and  $\bar{8}_s$ . One can distinguish between  $8_v$ ,  $8_s$  and  $\bar{8}_s$  for hyper-octonionic units only if one considers the full  $SO(1,3) \times SO(4)$  action in the moduli space of hyper-octonionic structures.
3.  $G_2$  acts as automorphisms on octonionic imaginary units and  $SU(3)$  respects the choice of preferred imaginary unit meaning a choice of preferred hyper-complex plane  $M^4 \subset M^4$ . Associativity requires a reduction to hyper-quaternionic primes and implies color confinement in number theoretical and as it turns also in physical sense. For hyper-quaternionic primes the automorphisms restrict to  $SO(3)$  which has right/left action of fermionic hyper-quaternionic primes and adjoint action on bosonic hyper-quaternionic primes. The choice of hyper-quaternionic structure is global as opposed to the local choice of hyper-quaternionic tangent space of space-time surface assigning to a point of  $HQ \subset HO$  a point of  $CP_2$ .  $U(2) \subset SU(3)$  leaves invariant given hyper-quaternionic structure which are thus parameterized by  $CP_2$ . Color partial waves can be interpreted as partial waves in this moduli space.

### Associativity and commutativity or only their quantum variants?

Associativity and commutativity conditions are absolutely essential notions in quantum TGD and also in the mapping of infinite primes to the space-time sheets. Hyper-quaternionicity formulated in terms of the modified gamma matrices defined by Kähler action fixes classical space-time dynamics and a very beautiful algebra formulation of quantum TGD in terms of the complexified local Clifford algebra of imbedding space emerges.

Associativity implies hyper-quaternionicity and commutativity requirement in turn leads to complex rational infinite primes. Since one can decompose complex rational primes to hyper-quaternionic and even hyper-octonionic primes, one might hope that this could allow to represent states which consist of colored constituents. This representations has however the flavor of a formal trick and the considerations related to concrete representations of infinite primes suggest that the rationality of infinite primes might be a too restrictive condition.

A more radical possibility is that physical states are only quantum associative and commutative. In case of associativity this means that they are obtained as quantum superpositions in the space of real units over all possible associations performed for a given product of hyper-octonion primes (for instance,  $|A(BC)\rangle + |(AB)C\rangle$ ). These states would be associative in quantum sense but would not reduce to hyper-quaternionic primes. Also the notion of quantum commutativity makes sense. The fact that mesons are quantum superpositions of quark-antiquark pairs which each corresponds to different pair of hyper-quaternionic primes and are thus not representable classically, suggests that one can require only quantum associativity and quantum commutativity.

### The correspondence between infinite primes and standard model quantum numbers

I have considered several candidates for the correspondence between infinite primes and standard model quantum numbers. The confusing aspect has been the dual nature of hyper-octonionic primes. On one hand they could be interpreted as components of 8-D momentum representing perhaps momentum and other quantum numbers. On the other hand, they transform like representations of  $SU(3) \subset G_2$  and behave like color singlets and triplets so that the idea about quantum superpositions of infinite primes related by  $SU(3)$  action is attractive. The second puzzling feature is that there are two kinds of infinite primes corresponding to two signs for the "small" part of the infinite prime. The following proposal leads to an interpretation for these aspects.

1. The number of components of hyper-octonionic prime is 8 as is the dimension of the Cartan algebra of the product of Poincare group, color group  $SU(3)$  and electro-weak gauge group  $SU(2)_L \times U(1)$  defining the quantum numbers of particles. One might therefore dream about a number theoretic interpretation of elementary particle quantum numbers by interpreting hyper-octonionic prime as 8-momentum. This form of the big idea fails. The point is that complexified basis for octonions consists of two color singlets and color triplet and its conjugate. For a given hyper-octonionic prime one can construct new primes by using a subgroup  $G$  of  $SU(3)$  by definition respecting the property that the values of the components of prime as integers and as a consequence also the modulus squared so that the primes are at sphere  $S^7$ . This group is analogous to Galois group. Identifying prime as an element of basis of quantum states, one can form wave functions at the discrete orbit of given prime transforming according to irreducible representations of color group. Triality  $t \pm 1$  states correspond to color partial waves associated with quarks and antiquarks and triality  $t = 0$  states to gluons and leptons and their color excitations. The states can be chosen to be eigenstates of the preferred hyper-octonionic imaginary unit  $ie_1$ . Additive four-momentum could be assigned the  $M^2$  part of the hyper-octonion as will be found. Therefore the construction applies in special but natural coordinates assignable to the particle required also by zero energy ontology and hierarchy of Planck constants as well as by p-adicization program.
2. This construction gives only the quantum numbers assignable to color partial waves in configuration space degrees of freedom. Also the quantum numbers assignable to imbedding space spinors are wanted. Luckily, there are two kinds of infinite primes, which might be denoted by  $P_{\pm}$  because the sign of the "small" part of the infinite prime can be chosen freely. Super-conformal symmetry [83] suggests that quantum numbers associated with spinorial and configuration space degrees freedom can be assigned to the infinite primes of these two types.

- (a) In the case of spinor degrees of freedom one can restrict the multiplets to those generated by  $SU(2)$  subgroup of  $SU(3)$  identified as rotation group. The interpretation is in terms of automorphism group of quaternions. Discrete subgroups of  $SU(2)$  generate the orbit of given hyper-octonionic prime and one obtains finite number of  $SU(2)$  multiplets having interpretation in terms of rotational degrees of freedom associated with the light-cone boundary. In the case of fermions (bosons) only half odd integer (integer) spins are allowed.
- (b) Remarkably, four of the hyper-octonionic units remain invariant under  $SU(2)$ . Also now only the hyper-complex projection in  $M^2 \subset M^4$  can be interpreted as four-momentum in the preferred frame and the interpretation as a counterpart of Dirac equation eliminating four complex non-physical helicities of the imbedding spinor of given chirality. The states of same spin associated with the two spin doublets have interpretation as electro-weak doublets. As a representation of  $SU(3)$  electro-weak doublets would correspond to quark and antiquark in color isospin doublet. This leaves two additional quantum numbers assignable to the color isospin singlets. The natural interpretation is in terms of electromagnetic charge and weak isospin. An analogous picture emerges also in the description of super-symmetric QFT limit of TGD [18] replacing massless particles identified as light-like geodesics of  $M^4$  with light like geodesics of  $M^4 \times CP_2$  and assigning to them two quantum numbers in the Cartan algebra of  $SU(3)$  and identified as electro-weak charges. Also conformal weight expressible in terms of stringy mass formula allows a description in terms of infinite primes. What is not achieved is the number theoretical description of genus of the partonic 2-surface and wave functions in the moduli space of the partonic 2-surfaces.
3. In this picture leptons, gauge bosons, and gluons correspond to an infinite prime of type  $P_+$  or  $P_-$  whereas quarks as well as color excitations of leptons correspond to a pair of primes of type  $P_+$  and  $P_-$ . One can fix the notations by assigning color quantum numbers to  $P_+$  and spinorial quantum numbers to  $P_-$ . Both  $P_+$  and  $P_-$  contribute to four-momentum. Each pair of infinite primes of this kind defines a finite-dimensional space of quantum states assignable to the subgroups of  $SU(3)$  and  $SU(2)$  respecting the prime property. Needless to say, this prediction is extremely powerful and fixes the spectrum of the quantum numbers almost completely!
  4. An interesting question is whether one can require number theoretical color confinement in the sense that the physical states resulting as tensor products of states assignable to a given infinite prime in  $P_+$  are color singlets. This might be necessary to guarantee associativity.  $G_2$  singletness would be even stronger condition but not possible for massless states. What is interesting is that spin and color in well-defined sense separate from each other. One can wonder whether this relates somehow to the spin puzzle of proton meaning that quarks do not seem to contribute to baryonic spin.
  5. The appearance of discrete subgroups of  $SU(3)$  and  $SU(2)$  strongly suggests a connection with the inclusions of the hyper-finite factors of type  $II_1$  characterized by these subgroups, which are expected to play a fundamental role in quantum TGD. An interesting question is whether also infinite subgroups could be involved. For instance, one can consider the subgroups generated by discrete subgroup and infinite cyclic group and these might be involved with the inclusions for which the index is equal to four. The appearance of these groups suggests also a connection with the hierarchy of Planck constants and one can ask how the singular coverings defining the pages of the book like structure relate to the moduli space of causal diamonds.

The rather unexpected conclusion is that the wave functions in the discrete space defined by infinite primes are able to code for the quantum numbers of configuration space spinor fields and thus for configuration space spinor fields. A fascinating possibility is that even M-matrix- which is nothing but a characterization of zero energy state- could find an elegant formulation as entanglement coefficients associated with the pair of the integer and inverse integer characterizing the positive and negative energy states.

1. The great vision is that associativity and commutativity conditions fix the number theoretical quantum dynamics completely. Quantum associativity states that the wave functions in the space of infinite primes, integers, and rationals are invariant under associations of finite hyper-octonionic primes ( $A(BC)$  and  $(AB)C$  are the basic associations), physics requires associativity

only apart from a phase factor, in the simplest situation  $+1/ - 1$  but in more general case phase factor. The condition of commutativity poses a more familiar condition implying that permutations induce only a phase factor which is  $+/- 1$  for boson and fermion statistics and a more general phase for quantum group statistics for the anyonic phases, which correspond to nonstandard values of Planck constant in TGD framework. These symmetries induce time-like entanglement for zero energy states and perhaps non-trivial enough M-matrix.

2. One must also remember that besides the infinite primes defining the counterparts of free Fock states of supersymmetric QFT, also infinite primes analogous to bound states are predicted. The analogy with polynomial primes illustrates what is involved. In the space of polynomials with integer coefficients polynomials of degree one correspond free single particle states and one can form free many particle states as their products. Higher degree polynomials with algebraic roots correspond to bound states being not decomposable to a product of polynomials of first degree in the field of rationals. Could also positive and negative energy parts of zero energy states form an analog of bound state giving rise to highly non-trivial M-matrix?

### How space-time geometry could be coded by infinite primes

Second key question is whether space-time geometry could be characterized in terms of infinite primes (and integers and rationals in the most general case) and how this is achieved. This problem trivializes by quantum classical correspondence realized in terms of the measurement interaction term in the modified Dirac action.

1. The addition of the measurement interaction term to the modified Dirac action defined by Kähler action implies that space-time sheets carry information about four-momentum, color quantum numbers, and electro-weak quantum numbers. One must assign to the space-time sheet assignable to a given collection of partonic 2-surfaces at least one pair of infinite primes or rather wave function at the orbits of these primes under the group respecting the prime property. Pairs of infinite-primes at the first level would characterize the quantum numbers assigned with the partonic surface  $X^2$ , that is the tangent space of the space-time surface at  $X^2$  fixing the initial values for the preferred extremal of Kähler action.
2. Zero energy ontology implies a hierarchy of  $CD$ s within  $CD$ s and this hierarchy as well as the hierarchy of space-time sheets corresponds naturally to the hierarchy of infinite primes. One can assign standard model quantum numbers to various partonic 2-surfaces with positive and negative energy parts of the quantum state assignable to the light-like boundaries of  $CD$ . Also infinite integers and rationals are possible and the inverses of infinite primes would naturally correspond to elementary particles with negative energy. The condition that zero energy state has vanishing net quantum numbers implies that the ratio of infinite integers assignable to zero energy state equals to real unit in real sense and has vanishing total quantum numbers.
3. Neither quantum numbers nor infinite primes coding them cannot characterize the partonic 2-surface itself completely since they say nothing about the deformation of the space-time surface but only about labels characterizing the WCW spinor field. Also the topology of partonic 2-surface fails to be coded. Quantum classical correspondence however suggests that this correspondence could be possible in a weaker sense. In the Gaussian approximation for functional integral over the world of classical worlds space-time surface and thus the collection of partonic 2-surfaces is effectively replaced with the one corresponding to the maximum of Kähler function, and in this sense one-one correspondence is possible unless the situation is non-perturbative. In this case the physics implied by the hierarchy of Planck constants could however guarantee uniqueness. One of the basic ideas behind the identification of the dark matter as phases with non-standard value of Planck constant is that when perturbative description of the system fails, a phase transition increasing the value of Planck constant takes place and makes perturbative description possible. Geometrically this phase transition means a leakage to another sector of the imbedding space realized as a book like structure with pages partially labeled by the values of Planck constant. Anyonic phases and fractionization of quantum numbers is one possible outcome of this phase transition. An interesting question is what the fractionization of the quantum numbers means number theoretically.

### How to achieve consistency with p-adic mass formula

The first argument against the proposal that infinite primes could code for four-momentum in preferred coordinates is that the logarithms of finite primes and even less those of hyper-octonionic primes are natural from the point of view of p-adic mass calculations predicting that the mass squared of particle behaves as  $1/p$  for  $T_p = 1$  (fermions) and  $1/p^2$  for  $T_p = 1/2$  (gauge bosons). This difficulty might be circumvented.

#### 1. Ordinary primes

Consider first ordinary primes for which the inverse always exists.

1. One can map finite primes  $p$  to phase factors  $\exp(i2\pi/p)$ . The roots of unity play the role of primes in the decomposition of the roots of unity  $\exp(i2\pi/n)$ ,  $n = \prod_i p_i^{n_i}$ .  $1/n$  is expressible as a sum of form

$$\begin{aligned} \frac{1}{n} &= \sum_i P_i, \\ P_i &= \frac{k_i}{p_i^{n_i}}. \end{aligned} \quad (10.4.23)$$

giving

$$\exp\left(\frac{i2\pi}{n}\right) = \exp(i2\pi \sum_i P_i) = \exp(i2\pi \sum_i \frac{k_i}{p_i^{n_i}}). \quad (10.4.24)$$

Apart from a common normalization factor one can interpret the coefficients  $P_i$  as energy like quantities assigned to the single particle states. The power  $p_i^{n_i}$  would correspond to various p-adic inverse temperature  $1/T_p = 2n_i$  in this expansion.

2. The representation in terms of phase factors is not unique since  $P_i^k$  and  $P_i^k + np_i^k$  define the same phase. This non-uniqueness is completely analogous to the non-uniqueness of momentum in the presence of a discrete translational symmetry and can be interpreted in terms of lattice momentum. Physically this corresponds to a finite measurement resolution. Also in the formulation of symplectic QFT defining one part of quantum TGD only phases defined by the roots of unity appear and similar non-uniqueness emerges and is due to the discretization serving as a space-time correlate for a finite measurement resolution implying UV cutoff.
3. Mass squared is proportional to  $1/p_i^2$  so that only the p-adic temperatures  $T_p = 1/2n_i$  are possible for rational primes. For more general primes one can however have also a situation in which the modulus square of prime is ordinary prime. For instance, Gaussian (complex) primes  $P = m + in$  satisfy  $|P|^2 = p$  for  $p \bmod 4 = 1$  and  $|P|^2 = p^2$  for  $p \bmod 4 = 3$  (for example, rational prime 5 decomposes as  $5 = (2 + i)(2 - i)$ ). Therefore it is possible to have states satisfying  $M^2 \propto 1/p$ ,  $p$  ordinary prime for hyper-octonionic primes. These primes correspond to the rational primes decomposing to the products of ordinary primes and also also higher roots of  $p$  might be possible. The finite prime assignable to the hyper-octonionic prime has a natural interpretation as the p-adic prime assignable to an elementary particle. In zero energy ontology this assignment makes sense also for virtual particles having interpretation as pairs of positive and negative energy on mass shell particles assignable to the light-like throats of wormhole contact.

#### 2. Hyper-octonionic primes with inverse

Consider next the situation for hyper-octonionic primes when the integers in question have inverse. We are interested only in the longitudinal part of infinite prime in  $M^2$ . The phase factor makes sense also in the case of hyper-octonionic primes if the condition  $|P| > 0$  holds true so that one has massive



particles in 8-D sense possibly resulting via p-adic thermodynamics. If the imaginary unit appearing in the exponent is the imaginary unit  $i$  appearing in the complexification of octonions, the exponent has the character of a phase factor for hyper-octonionic primes. The reason is that  $1/P = P^*/|P|^2$  is hyper-octonionic number of form  $O_0 + iO_1$ , where  $O_1$  is a purely imaginary octonion. The exponent in the phase factor is therefore  $2\pi(iO_0 - O_1)$  and involves only imaginary units, and one can write  $\exp(i2\pi(O_0 + iO_1)) = \exp(iO_0) \times \exp(-O_1)$ . Both factors are phase factors. This condition analogous to unitarity is one further good reason for hyper-octonions and Minkowskian signature.

### 3. Light-like hyper-octonionic primes

The proposed representation as a phase factor fails for massless particles since light-like hyper-primes do not possess an inverse. One must therefore define the notion of primeness differently to see what might be the physical interpretation of these primes. Since the multiplication of hyper-octonionic integer by light-like prime yields zero norm prime, the natural interpretation would be as a gauge transformation and one might consider gauge transformations obtained by exponentiating Lie algebra with light-like coefficients.

One can consider two options depending on whether one requires that the relevant algebra has unit or not.

1. For the first option hyper-octonionic light-like integers are of form  $n(1 + e)$  and the product of two light-like integers  $n_i(1 + e)$  is of form  $2n_1n_2(1 + e)$ . Here  $e$  could be arbitrary hyper-octonionic imaginary unit consistent with the prime property. This does not however allow unit light-like integer acting like unit since one has  $(1 + e)^2 = 2(1 + e)$ . All odd integers would be primes.
2. The number  $E = (1 + e)/2$  behaves as a unit. If one requires that unit is included in the algebra integers can be defined as numbers of form  $nE$  so that their product is  $n_1n_2E$  and equivalent with the ordinary product of integers so that primes correspond to ordinary primes.

One can construct the first level infinite primes from these primes just as in the case of ordinary primes. Now however  $X = \prod p_i$  is replaced with  $X = \prod_n [(2n + 1)(1 + e)]$  for the first option and equal to the  $X = E \prod p_i$  for the second option.

The multiplicative phase factor could be defined for both options as  $\exp(i2\pi E/N)$  where  $N$  is a light-like hyper-octonionic integer. This definition would eliminate the singular  $1/E$  factor and the situation reduces essentially to that for ordinary primes in the case of massless states. If the infinite prime  $P_{\pm}$  is such that one can assign to it non-trivial multiplets in color or rotational degrees of freedom (half odd integer spin for fermions) it must have a part in the complement of  $M^2$ . For standard model elementary particles this is always the case. The energy spectrum is of form  $1/2(2m + 1)$  or  $1/p$ . For light-like hyper-octonions the projection to  $M^2$  is in general time-like and quantized. If one does not allow the unit  $E$  in exponent the phase factor is ill-defined and one must identify the light-like hyper-octonionic primes as gauge degrees of freedom.

$M^2$  momentum is light-like only for states which are spinless color and electro-weak singlets having no counterpart in standard model counterpart nor in quantum TGD. Therefore light-like hyper-octonionic primes reducing to  $M^2$  could correspond to gauge degrees of freedom.  $M^2$  momentum is of form  $P = (1, 1)/2(2m + 1)$  for the first option and of form  $P = (1, 1)/p$  for the second option. Even for graviton, photon, gluons, and right handed neutrino either hyper-octonionic prime is space-like if the state is massless. Light-like hyper-octonions can however characterize massive states but the proposed interpretation in terms of gauge degrees of freedom is highly suggestive.

If one interprets hyper-octonionic prime as 8-D momentum, which is of course not necessary in the recent framework, one could worry about conflict with TGD variant of twistor program. In accordance with associativity the role of 8-momentum in fermionic propagator is however taken by its projection to the hyper-quaternionic sub-space defined by the modified gamma matrices at given point of space-time sheet and masslessness holds for this projection so that 8-D tachyons are possible [40]. This is highly analogous to the identification of the four-momentum as  $M^2$  projection of hyperfinite prime.

### 4. The treatment of zero modes

There are also zero modes which are absolutely crucial for quantum measurement theory. They entangle with quantum fluctuating degrees of freedom in quantum measurement situation and thus map quantum numbers to positions of pointers. The interior degrees of freedom of space-time interior

must correspond to zero modes and they represent space-time correlates for quantum states realized at light-like partonic 3-surfaces. Quantum measurement theory suggests 1-1 correspondence between zero modes and quantum fluctuating degrees of freedom so that also super-symmetry should have zero mode counterpart. The recent progress in understanding of the modified Dirac action [18] leads to a concrete identification of the super-conformal algebra of zero modes as related to the deformation of the space-time surface defining vanishing second variations of Kähler action.

### Complexification of octonions in zero energy ontology

The complexification of octonions plays a crucial role in the number theoretical vision and could be regarded as its weakest point. It has however a natural physical interpretation in zero energy ontology.

1.  $CD$  has two tips, which correspond to the points of  $M^4$ . For  $M^4$  the fixing of the quantization axes requires choosing a time-like direction fixing the rest system. This direction is naturally defined by the tips of  $CD$ . The moduli space for  $CD$ s is  $M^4 \times M_+^4$ . The realization of the hierarchy of Planck constants forces also a choice of a space-like direction fixing the quantization axes of spin.
2. In the case of  $CP_2$  the choice of the quantization axes requires fixing of a preferred point of  $CP_2$  remaining invariant under  $U(2)$  subgroup of  $SU(3)$  acting linearly on complex coordinates having origin at this point and containing also the Cartan subgroup. This fixes the quantization axes of color hyper-charge. If the preferred  $CP_2$  points associated with the light-like boundaries of  $CD$  are different they fix a unique geodesic circle of  $CP_2$  fixing the quantization axes for color isospin. The moduli space is therefore  $(CP_2)^2$ .
3. The full moduli space is  $M^4 \times M_+^4 \times (CP_2)^2$ . In  $M^8$  description the moduli space would naturally correspond to pairs of points of  $M^4$  and  $E^4$  so that the moduli space for the choices  $CD$ s and quantization axes would be  $M^4 \times M_+^4 \times (E^4)^2$ . This space can be regarded locally as the space of complexified octonions.
4. p-Adic length scale hypothesis follows if the time-like distance between the tips of  $CD$ s is quantized in powers of two so that a union of 3-D proper-time constant hyperboloids of  $M_+^4$  results. Hierarchy of Planck constants implies rational multiples of these basic distances. Hyperboloids are coset spaces of Lorentz group and this suggests even more general quantization in which one replaces the hyperboloids with spaces obtained by identifying the points related by the action of a discrete subgroup of Lorentz group. This would give the analog of lattice cell obtained and one would obtain a lattice like structure consisting of unit cells labeled by the elements of the sub-group of Lorentz group. The interpretation of the moduli space of  $CD$ s as a discrete momentum space dual to the configuration space is suggestive. In the case of  $CP_2$  similar quantization could correspond to the replacement of  $CP_2$  with equivalence classes of points of  $CP_2$  under action of a discrete subgroup of  $SU(3)$ .
5. Could this discrete space be identified as the space of hyper-octonionic primes as looks natural? In other words, could the discrete points of the dual space  $M_+^4 \times CP_2$  decompose to subsets in one-one corresponds with the orbits of  $G_+$  and  $G_-$  appearing in the reductions  $SO(7,1) \rightarrow SO(7) \rightarrow G_2 \rightarrow SU(3) \rightarrow G_+$  for primes in  $P_+$  and  $SO(7,1) \rightarrow SO(7) \rightarrow G_2 \rightarrow SU(3) \rightarrow SU(2) \rightarrow G_-$  in  $P_-$ ? One can also consider the subgroups of  $G_2$  respecting the hyperbolic prime property. This would allow to integrate  $G_+ \times G_-$  multiplets to larger multiplets and get an over all view about multiplet structure. An interesting question is whether  $SO(7,1)$  could contain non-compact discrete subgroups with infinite number of elements and respecting the property of being hyper-octonionic prime. If this idea is correct, the dual space  $M_+^4 \times CP_2$  would play a role of heavenly sphere providing a representation for the quantum numbers labeling configuration space spinor fields.

### The relation to number theoretic Brahman=Atman identity

Number theoretic Brahman=Atman identity -one might also use the term algebraic holography - states the number theoretic anatomy of single space-time point is enough to code for both WCW and and WCW spinors fields- the quantum states of entire Universe or at least the sub-Universe defined by

*CD.* The entire quantum TGD could be represented in terms of 8-D imbedding space with the notion of number generalized to allow real units defined as ration of infinite integers and having number theoretical anatomy.

Before continuing it is perhaps good to represent the most obvious objection against the idea. The correspondence between WCW and WCW spinors with infinite rationals and their discreteness means that also WCW (world of classical worlds) and space of WCW spinors should be discrete. First this looks non-sensible but is indeed what one obtains if space-time surfaces correspond to light-like 3-surfaces expressible in terms of algebraic equations involving rational functions with rational coefficients.

By the above considerations it is indeed clear that zero energy states correspond to ratios of infinite integers boiling down to a hyper-octonionic unit with vanishing net four-momentum and electro-weak charges. Configuration space spinor fields can be mapped to wave functions in the space of these units and even the reduced configuration space consisting of the maxima of Kähler function could be coded by these wave functions. The wave functions in the space of hyper-octonion units would be induced by the discrete wave functions associated with the orbits of hyper-octonionic finite primes appearing in the decomposition of the infinite hyper-octonionic primes of type  $P_+$  and  $P_-$ . The net color and quantum numbers and spin associated with the wave function in the space of hyper-octonionic units are vanishing. Clearly, a detailed realization of number theoretic Brahman=Atman identity emerges predicting reducing even the spectrum of possible quantum numbers to number theory.

In the original formulation of Brahman-Atman identity the description based on  $H$  was used. This leads to the conclusion that that the analog of a complex Schrödinger amplitude in the space of number-theoretic anatomies of a given imbedding space point represented by single point of  $H$  and represented as 8-tuples of real units should naturally represent the dependence of WCW spinors understood as ground states of super-conformal representations obtained as an 8-fold tensor power of a fundamental representation or product of representations perhaps differing somehow. The 8-tuples define a number theoretical analog of  $U(1)^8$  group in terms of which all number theoretical symmetries are represented. This description should be equivalent with the use of single hyper-octonion unit.



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Part III

**HYPER-FINITE FACTORS OF  
TYPE  $II_1$  AND HIERARCHY OF  
PLANCK CONSTANTS**



# Chapter 11

## Was von Neumann Right After All?

### 11.1 Introduction

The work with TGD inspired model [35] for topological quantum computation [94] led to the realization that von Neumann algebras [45, 44, 36, 60], in particular so called hyper-finite factors of type  $II_1$  [57], seem to provide the mathematics needed to develop a more explicit view about the construction of S-matrix. In this chapter I will discuss various aspects of type  $II_1$  factors and their physical interpretation in TGD framework. The lecture notes of R. Longo [51] give a concise and readable summary about the basic definitions and results related to von Neumann algebras and I have used this material freely in this chapter. The original discussion has transformed during years from free speculation reflecting in many aspects my ignorance about the mathematics involved to a more realistic view about the role of these algebras in quantum TGD.

#### 11.1.1 Philosophical ideas behind von Neumann algebras

The goal of von Neumann was to generalize the algebra of quantum mechanical observables. The basic ideas behind the von Neumann algebra are dictated by physics. The algebra elements allow Hermitian conjugation  $*$  and observables correspond to Hermitian operators. Any measurable function  $f(A)$  of operator  $A$  belongs to the algebra and one can say that non-commutative measure theory is in question.

The predictions of quantum theory are expressible in terms of traces of observables. Density matrix defining expectations of observables in ensemble is the basic example. The highly non-trivial requirement of von Neumann was that identical a priori probabilities for a detection of states of infinite state system must make sense. Since quantum mechanical expectation values are expressible in terms of operator traces, this requires that unit operator has unit trace:  $tr(Id) = 1$ .

In the finite-dimensional case it is easy to build observables out of minimal projections to 1-dimensional eigen spaces of observables. For infinite-dimensional case the probability of projection to 1-dimensional sub-space vanishes if each state is equally probable. The notion of observable must thus be modified by excluding 1-dimensional minimal projections, and allow only projections for which the trace would be infinite using the straightforward generalization of the matrix algebra trace as the dimension of the projection.

The non-trivial implication of the fact that traces of projections are never larger than one is that the eigen spaces of the density matrix must be infinite-dimensional for non-vanishing projection probabilities. Quantum measurements can lead with a finite probability only to mixed states with a density matrix which is projection operator to infinite-dimensional subspace. The simple von Neumann algebras for which unit operator has unit trace are known as factors of type  $II_1$  [57].

The definitions adopted by von Neumann allow however more general algebras. Type  $I_n$  algebras correspond to finite-dimensional matrix algebras with finite traces whereas  $I_\infty$  associated with a separable infinite-dimensional Hilbert space does not allow bounded traces. For algebras of type  $III$  non-trivial traces are always infinite and the notion of trace becomes useless being replaced by the notion of state which is generalization of the notion of thermodynamical state. The fascinating feature of this notion of state is that it defines a unique modular automorphism of the factor defined apart from unitary inner automorphism and the question is whether this notion or its generalization might be relevant for the construction of M-matrix in TGD.

### 11.1.2 Von Neumann, Dirac, and Feynman

The association of algebras of type  $I$  with the standard quantum mechanics allowed to unify matrix mechanism with wave mechanics. Note however that the assumption about continuous momentum state basis is in conflict with separability but the particle-in-box idealization allows to circumvent this problem (the notion of space-time sheet brings the box in physics as something completely real).

Because of the finiteness of traces von Neumann regarded the factors of type  $II_1$  as fundamental and factors of type  $III$  as pathological. The highly pragmatic and successful approach of Dirac [?] based on the notion of delta function, plus the emergence of  $s$  [63], the possibility to formulate the notion of delta function rigorously in terms of distributions [66, 65], and the emergence of path integral approach [64] meant that von Neumann approach was forgotten by particle physicists.

Algebras of type  $II_1$  have emerged only much later in conformal and topological quantum field theories [53, 51] allowing to deduce invariants of knots, links and 3-manifolds. Also algebraic structures known as bi-algebras, Hopf algebras, and ribbon algebras [81, 82] relate closely to type  $II_1$  factors. In topological quantum computation [94] based on braid groups [81] modular S-matrices they play an especially important role.

In algebraic quantum field theory [97] defined in Minkowski space the algebras of observables associated with bounded space-time regions correspond quite generally to the type  $III_1$  hyper-finite factor [95, 96].

### 11.1.3 Hyper-finite factors in quantum TGD

The following argument suggests that von Neumann algebras known as hyper-finite factors (HFFs) of type  $III_1$  appearing in relativistic quantum field theories provide also the proper mathematical framework for quantum TGD.

1. The Clifford algebra of the infinite-dimensional Hilbert space is a von Neumann algebra known as HFF of type  $II_1$ . There also the Clifford algebra at a given point (light-like 3-surface) of world of classical worlds (WCW) is therefore HFF of type  $II_1$ . If the fermionic Fock algebra defined by the fermionic oscillator operators assignable to the induced spinor fields (this is actually not obvious!) is infinite-dimensional it defines a representation for HFF of type  $II_1$ . Super-conformal symmetry suggests that the extension of the Clifford algebra defining the fermionic part of a super-conformal algebra by adding bosonic super-generators representing symmetries of WCW respects the HFF property. It could however occur that HFF of type  $II_\infty$  results.
2. WCW is a union of sub-WCWs associated with causal diamonds ( $CD$ ) defined as intersections of future and past directed light-cones. One can allow also unions of  $CD$ s and the proposal is that  $CD$ s within  $CD$ s are possible. Whether  $CD$ s can intersect is not clear.
3. The assumption that the  $M^4$  proper distance  $a$  between the tips of  $CD$  is quantized in powers of 2 reproduces p-adic length scale hypothesis but one must also consider the possibility that  $a$  can have all possible values. Since  $SO(3)$  is the isotropy group of  $CD$ , the  $CD$ s associated with a given value of  $a$  and with fixed lower tip are parameterized by the Lobatchevski space  $L(a) = SO(3,1)/SO(3)$ . Therefore the  $CD$ s with a free position of lower tip are parameterized by  $M^4 \times L(a)$ . A possible interpretation is in terms of quantum cosmology with  $a$  identified as cosmic time [29]. Since Lorentz boosts define a non-compact group, the generalization of so called crossed product construction strongly suggests that the local Clifford algebra of WCW is HFF of type  $III_1$ . If one allows all values of  $a$ , one ends up with  $M^4 \times M^4_+$  as the space of moduli for WCW.
4. An interesting special aspect of 8-dimensional Clifford algebra with Minkowski signature is that it allows an octonionic representation of gamma matrices obtained as tensor products of unit matrix 1 and 7-D gamma matrices  $\gamma_k$  and Pauli sigma matrices by replacing 1 and  $\gamma_k$  by octonions. This inspires the idea that it might be possible to end up with quantum TGD from purely number theoretical arguments. This seems to be the case. One can start from a local octonionic Clifford algebra in  $M^8$ . Associativity condition is satisfied if one restricts the octonionic algebra to a subalgebra associated with any hyper-quaternionic and thus 4-D sub-manifold of  $M^8$ . This means that the modified gamma matrices associated with the Kähler action span a complex quaternionic sub-space at each point of the sub-manifold. This associative

sub-algebra can be mapped a matrix algebra. Together with  $M^8 - H$  duality [31, 23] this leads automatically to quantum TGD and therefore also to the notion of WCW and its Clifford algebra which is however only mappable to an associative algebra and thus to HFF of type  $II_1$ .

#### 11.1.4 Hyper-finite factors and M-matrix

HFFs of type  $III_1$  provide a general vision about M-matrix.

1. The factors of type III allow unique modular automorphism  $\Delta^{it}$  (fixed apart from unitary inner automorphism). This raises the question whether the modular automorphism could be used to define the M-matrix of quantum TGD. This is not the case as is obvious already from the fact that unitary time evolution is not a sensible concept in zero energy ontology.
2. Concerning the identification of M-matrix the notion of state as it is used in theory of factors is a more appropriate starting point than the notion modular automorphism but as a generalization of thermodynamical state is certainly not enough for the purposes of quantum TGD and quantum field theories (algebraic quantum field theorists might disagree!). Zero energy ontology requires that the notion of thermodynamical state should be replaced with its "complex square root" abstracting the idea about M-matrix as a product of positive square root of a diagonal density matrix and a unitary S-matrix. This generalization of thermodynamical state -if it exists- would provide a firm mathematical basis for the notion of M-matrix and for the fuzzy notion of path integral.
3. The existence of the modular automorphisms relies on Tomita-Takesaki theorem, which assumes that the Hilbert space in which HFF acts allows cyclic and separable vector serving as ground state for both HFF and its commutant. The translation to the language of physicists states that the vacuum is a tensor product of two vacua annihilated by annihilation oscillator type algebra elements of HFF and creation operator type algebra elements of its commutant isomorphic to it. Note however that these algebras commute so that the two algebras are not hermitian conjugates of each other. This kind of situation is exactly what emerges in zero energy ontology: the two vacua can be assigned with the positive and negative energy parts of the zero energy states entangled by M-matrix.
4. There exists infinite number of thermodynamical states related by modular automorphisms. This must be true also for their possibly existing "complex square roots". Physically they would correspond to different measurement interactions giving rise to Kähler functions of WCW differing only by a real part of holomorphic function of complex coordinates of WCW and arbitrary function of zero mode coordinates and giving rise to the same Kähler metric of WCW.

The concrete construction of M-matrix utilizing the idea of bosonic emergence (bosons as fermion anti-fermion pairs at opposite throats of wormhole contact) meaning that bosonic propagators reduce to fermionic loops identifiable as wormhole contacts leads to generalized Feynman rules for M-matrix in which modified Dirac action containing measurement interaction term defines stringy propagators. This M-matrix should be consistent with the above proposal.

#### 11.1.5 Connes tensor product as a realization of finite measurement resolution

The inclusions  $\mathcal{N} \subset \mathcal{M}$  of factors allow an attractive mathematical description of finite measurement resolution in terms of Connes tensor product but do not fix M-matrix as was the original optimistic belief.

1. In zero energy ontology  $\mathcal{N}$  would create states experimentally indistinguishable from the original one. Therefore  $\mathcal{N}$  takes the role of complex numbers in non-commutative quantum theory. The space  $\mathcal{M}/\mathcal{N}$  would correspond to the operators creating physical states modulo measurement resolution and has typically fractal dimension given as the index of the inclusion. The corresponding spinor spaces have an identification as quantum spaces with non-commutative  $\mathcal{N}$ -valued coordinates.

2. This leads to an elegant description of finite measurement resolution. Suppose that a universal M-matrix describing the situation for an ideal measurement resolution exists as the idea about square root of state encourages to think. Finite measurement resolution forces to replace the probabilities defined by the M-matrix with their  $\mathcal{N}$  "averaged" counterparts. The "averaging" would be in terms of the complex square root of  $\mathcal{N}$ -state and a direct analog of functionally or path integral over the degrees of freedom below measurement resolution defined by (say) length scale cutoff.
3. One can construct also directly M-matrices satisfying the measurement resolution constraint. The condition that  $\mathcal{N}$  acts like complex numbers on M-matrix elements as far as  $\mathcal{N}$ -"averaged" probabilities are considered is satisfied if M-matrix is a tensor product of M-matrix in  $\mathcal{M}(\mathcal{N})$  interpreted as finite-dimensional space with a projection operator to  $\mathcal{N}$ . The condition that  $\mathcal{N}$  averaging in terms of a complex square root of  $\mathcal{N}$  state produces this kind of M-matrix poses a very strong constraint on M-matrix if it is assumed to be universal (apart from variants corresponding to different measurement interactions).

### 11.1.6 Quantum spinors and fuzzy quantum mechanics

The notion of quantum spinor leads to a quantum mechanical description of fuzzy probabilities. For quantum spinors state function reduction cannot be performed unless quantum deformation parameter equals to  $q = 1$ . The reason is that the components of quantum spinor do not commute: it is however possible to measure the commuting operators representing moduli squared of the components giving the probabilities associated with 'true' and 'false'. The universal eigenvalue spectrum for probabilities does not in general contain (1,0) so that quantum qbits are inherently fuzzy. State function reduction would occur only after a transition to  $q=1$  phase and decoherence is not a problem as long as it does not induce this transition.

This chapter represents a summary about the development of the ideas with last sections representing the recent vision about the role of HFFs in TGD. I have saved the reader from those speculations that have turned out to reflect my own ignorance or are inconsistent with what I regarded established parts of quantum TGD.

## 11.2 Von Neumann algebras

In this section basic facts about von Neumann algebras are summarized using as a background material the concise summary given in the lecture notes of Longo [51].

### 11.2.1 Basic definitions

A formal definition of von Neumann algebra [44, 36, 60] is as a  $*$ -subalgebra of the set of bounded operators  $\mathcal{B}(\mathcal{H})$  on a Hilbert space  $\mathcal{H}$  closed under weak operator topology, stable under the conjugation  $J = *: x \rightarrow x^*$ , and containing identity operator  $Id$ . This definition allows also von Neumann algebras for which the trace of the unit operator is not finite.

Identity operator is the only operator commuting with a simple von Neumann algebra. A general von Neumann algebra allows a decomposition as a direct integral of simple algebras, which von Neumann called factors. Classification of von Neumann algebras reduces to that for factors.

$\mathcal{B}(\mathcal{H})$  has involution  $*$  and is thus a  $*$ -algebra.  $\mathcal{B}(\mathcal{H})$  has order structure  $A \geq 0 : (Ax, x) \geq 0$ . This is equivalent to  $A = BB^*$  so that order structure is determined by algebraic structure.  $\mathcal{B}(\mathcal{H})$  has metric structure in the sense that norm defined as supremum of  $\|Ax\|$ ,  $\|x\| \leq 1$  defines the notion of continuity.  $\|A\|^2 = \inf\{\lambda > 0 : AA^* \leq \lambda I\}$  so that algebraic structure determines metric structure.

There are also other topologies for  $\mathcal{B}(\mathcal{H})$  besides norm topology.

1.  $A_i \rightarrow A$  strongly if  $\|Ax - A_i x\| \rightarrow 0$  for all  $x$ . This topology defines the topology of  $C^*$  algebra.  $\mathcal{B}(\mathcal{H})$  is a Banach algebra that is  $\|AB\| \leq \|A\| \times \|B\|$  (inner product is not necessary) and also  $C^*$  algebra that is  $\|AA^*\| = \|A\|^2$ .
2.  $A_i \rightarrow A$  weakly if  $(A_i x, y) \rightarrow (Ax, y)$  for all pairs  $(x, y)$  (inner product is necessary). This topology defines the topology of von Neumann algebra as a sub-algebra of  $\mathcal{B}(\mathcal{H})$ .



Denote by  $M'$  the commutant of  $\mathcal{M}$  which is also algebra. Von Neumann's bicommutant theorem says that  $\mathcal{M}$  equals to its own bi-commutant. Depending on whether the identity operator has a finite trace or not, one distinguishes between algebras of type  $II_1$  and type  $II_\infty$ .  $II_1$  factor allow trace with properties  $tr(Id) = 1$ ,  $tr(xy) = tr(yx)$ , and  $tr(x^*x) > 0$ , for all  $x \neq 0$ . Let  $L^2(\mathcal{M})$  be the Hilbert space obtained by completing  $\mathcal{M}$  respect to the inner product defined  $\langle x|y \rangle = tr(x^*y)$  defines inner product in  $\mathcal{M}$  interpreted as Hilbert space. The normalized trace induces a trace in  $M'$ , natural trace  $Tr_{M'}$ , which is however not necessarily normalized.  $JxJ$  defines an element of  $M'$ : if  $\mathcal{H} = L^2(\mathcal{M})$ , the natural trace is given by  $Tr_{M'}(JxJ) = tr_M(x)$  for all  $x \in M$  and bounded.

### 11.2.2 Basic classification of von Neumann algebras

Consider first some definitions. First of all, Hermitian operators with positive trace expressible as products  $xx^*$  are of special interest since their sums with positive coefficients are also positive.

In quantum mechanics Hermitian operators can be expressed in terms of projectors to the eigen states. There is a natural partial order in the set of isomorphism classes of projectors by inclusion:  $E < F$  if the image of  $\mathcal{H}$  by  $E$  is contained to the image of  $\mathcal{H}$  by a suitable isomorph of  $F$ . Projectors are said to be metrically equivalent if there exist a partial isometry which maps the images  $\mathcal{H}$  by them to each other. In the finite-dimensional case metric equivalence means that isomorphism classes are identical  $E = F$ .

The algebras possessing a minimal projection  $E_0$  satisfying  $E_0 \leq F$  for any  $F$  are called type I algebras. Bounded operators of  $n$ -dimensional Hilbert space define algebras  $I_n$  whereas the bounded operators of infinite-dimensional separable Hilbert space define the algebra  $I_\infty$ .  $I_n$  and  $I_\infty$  correspond to the operator algebras of quantum mechanics. The states of harmonic oscillator correspond to a factor of type I.

The projection  $F$  is said to be finite if  $F < E$  and  $F \equiv E$  implies  $F = E$ . Hence metric equivalence means identity. Simple von Neumann algebras possessing finite projections but no minimal projections so that any projection  $E$  can be further decomposed as  $E = F + G$ , are called factors of type II.

Hyper-finiteness means that any finite set of elements can be approximated arbitrary well with the elements of a finite-dimensional sub-algebra. The hyper-finite  $II_\infty$  algebra can be regarded as a tensor product of hyper-finite  $II_1$  and  $I_\infty$  algebras. Hyper-finite  $II_1$  algebra can be regarded as a Clifford algebra of an infinite-dimensional separable Hilbert space sub-algebra of  $I_\infty$ .

Hyper-finite  $II_1$  algebra can be constructed using Clifford algebras  $C(2n)$  of  $2n$ -dimensional spaces and identifying the element  $x$  of  $2^n \times 2^n$  dimensional  $C(n)$  as the element  $diag(x, x)/2$  of  $2^{n+1} \times 2^{n+1}$ -dimensional  $C(n+1)$ . The union of algebras  $C(n)$  is formed and completed in the weak operator topology to give a hyper-finite  $II_1$  factor. This algebra defines the Clifford algebra of infinite-dimensional separable Hilbert space and is thus a sub-algebra of  $I_\infty$  so that hyper-finite  $II_1$  algebra is more regular than  $I_\infty$ .

von Neumann algebras possessing no finite projections (all traces are infinite or zero) are called algebras of type III. It was later shown by Connes [69] that these algebras are labeled by a parameter varying in the range  $[0, 1]$ , and referred to as algebras of type  $III_x$ .  $III_1$  category contains a unique hyper-finite algebra. It has been found that the algebras of observables associated with bounded regions of 4-dimensional Minkowski space in quantum field theories correspond to hyper-finite factors of type  $III_1$  [51]. Also statistical systems at finite temperature correspond to factors of type III and temperature parameterizes one-parameter set of automorphisms of this algebra [95]. Zero temperature limit correspond to  $I_\infty$  factor and infinite temperature limit to  $II_1$  factor.

### 11.2.3 Non-commutative measure theory and non-commutative topologies and geometries

von Neumann algebras and  $C^*$  algebras give rise to non-commutative generalizations of ordinary measure theory (integration), topology, and geometry. It must be emphasized that these structures are completely natural aspects of quantum theory. In particular, for the hyper-finite type  $II_1$  factors quantum groups and Kac Moody algebras [98] emerge quite naturally without any need for ad hoc modifications such as making space-time coordinates non-commutative. The effective 2-dimensionality of quantum TGD (partonic or stringy 2-surfaces code for states) means that these structures appear completely naturally in TGD framework.

### Non-commutative measure theory

von Neumann algebras define what might be a non-commutative generalization of measure theory and probability theory [51].

1. Consider first the commutative case. Measure theory is something more general than topology since the existence of measure (integral) does not necessitate topology. Any measurable function  $f$  in the space  $L^\infty(X, \mu)$  in measure space  $(X, \mu)$  defines a bounded operator  $M_f$  in the space  $\mathcal{B}(L^2(X, \mu))$  of bounded operators in the space  $L^2(X, \mu)$  of square integrable functions with action of  $M_f$  defined as  $M_f g = fg$ .
2. Integral over  $\mathcal{M}$  is very much like trace of an operator  $f_{x,y} = f(x)\delta(x,y)$ . Thus trace is a natural non-commutative generalization of integral (measure) to the non-commutative case and defined for von Neumann algebras. In particular, generalization of probability measure results if the case  $\text{tr}(Id) = 1$  and algebras of type  $I_n$  and  $II_1$  are thus very natural from the point of view of non-commutative probability theory.

The trace can be expressed in terms of a cyclic vector  $\Omega$  or vacuum/ground state in physicist's terminology.  $\Omega$  is said to be cyclic if the completion  $\overline{M\Omega} = H$  and separating if  $x\Omega$  vanishes only for  $x = 0$ .  $\Omega$  is cyclic for  $\mathcal{M}$  if and only if it is separating for  $M'$ . The expression for the trace given by

$$\text{Tr}(ab) = \left( \frac{(ab + ba)}{2}, \Omega \right) \quad (11.2.1)$$

is symmetric and allows to defined also inner product as  $(a, b) = \text{Tr}(a^*b)$  in  $\mathcal{M}$ . If  $\Omega$  has unit norm  $(\Omega, \Omega) = 1$ , unit operator has unit norm and the algebra is of type  $II_1$ . Fermionic oscillator operator algebra with discrete index labeling the oscillators defines  $II_1$  factor. Group algebra is second example of  $II_1$  factor.

The notion of probability measure can be abstracted using the notion of state. State  $\omega$  on a  $C^*$  algebra with unit is a positive linear functional on  $\mathcal{U}$ ,  $\omega(1) = 1$ . By so called KMS construction [51] any state  $\omega$  in  $C^*$  algebra  $\mathcal{U}$  can be expressed as  $\omega(x) = (\pi(x)\Omega, \Omega)$  for some cyclic vector  $\Omega$  and  $\pi$  is a homomorphism  $\mathcal{U} \rightarrow \mathcal{B}(\mathcal{H})$ .

### Non-commutative topology and geometry

$C^*$  algebras generalize in a well-defined sense ordinary topology to non-commutative topology.

1. In the Abelian case Gelfand Naimark theorem [51] states that there exists a contravariant functor  $F$  from the category of unital abelian  $C^*$  algebras and category of compact topological spaces. The inverse of this functor assigns to space  $X$  the continuous functions  $f$  on  $X$  with norm defined by the maximum of  $f$ . The functor assigns to these functions having interpretation as eigen states of mutually commuting observables defined by the function algebra. These eigen states are delta functions localized at single point of  $X$ . The points of  $X$  label the eigenfunctions and thus define the spectrum and obviously span  $X$ . The connection with topology comes from the fact that continuous map  $Y \rightarrow X$  corresponds to homomorphism  $C(X) \rightarrow C(Y)$ .
2. In non-commutative topology the function algebra  $C(X)$  is replaced with a general  $C^*$  algebra. Spectrum is identified as labels of simultaneous eigen states of the Cartan algebra of  $C^*$  and defines what can be observed about non-commutative space  $X$ .
3. Non-commutative geometry can be very roughly said to correspond to  $*$ -subalgebras of  $C^*$  algebras plus additional structure such as symmetries. The non-commutative geometry of Connes [68] is a basic example here.

#### 11.2.4 Modular automorphisms

von Neumann algebras allow a canonical unitary evolution associated with any state  $\omega$  fixed by the selection of the vacuum state  $\Omega$  [51]. This unitary evolution is an automorphism fixed apart form unitary automorphisms  $A \rightarrow UAU^*$  related with the choice of  $\Omega$ .

Let  $\omega$  be a normal faithful state:  $\omega(x^*x) > 0$  for any  $x$ . One can map  $\mathcal{M}$  to  $L^2(\mathcal{M})$  defined as a completion of  $\mathcal{M}$  by  $x \rightarrow x\Omega$ . The conjugation  $*$  in  $\mathcal{M}$  has image at Hilbert space level as a map  $S_0 : x\Omega \rightarrow x^*\Omega$ . The closure of  $S_0$  is an anti-linear operator and has polar decomposition  $S = J\Delta^{1/2}$ ,  $\Delta = SS^*$ .  $\Delta$  is positive self-adjoint operator and  $J$  anti-unitary involution. The following conditions are satisfied

$$\begin{aligned} \Delta^{it}\mathcal{M}\Delta^{-it} &= \mathcal{M} , \\ J\mathcal{M}J &= \mathcal{M}' . \end{aligned} \tag{11.2.2}$$

$\Delta^{it}$  is obviously analogous to the time evolution induced by positive definite Hamiltonian and induces also the evolution of the expectation  $\omega$  as  $\pi \rightarrow \Delta^{it}\pi\Delta^{-it}$ .

### 11.2.5 Joint modular structure and sectors

Let  $\mathcal{N} \subset \mathcal{M}$  be an inclusion. The unitary operator  $\gamma = J_N J_M$  defines a canonical endomorphisms  $M \rightarrow N$  in the sense that it depends only up to inner automorphism on  $\mathcal{N}$ ,  $\gamma$  defines a sector of  $\mathcal{M}$ . The sectors of  $\mathcal{M}$  are defined as  $Sect(\mathcal{M}) = End(\mathcal{M})/Inn(\mathcal{M})$  and form a semi-ring with respected to direct sum and composition by the usual operator product. It allows also conjugation.

$L^2(\mathcal{M})$  is a normal bi-module in the sense that it allows commuting left and right multiplications. For  $a, b \in \mathcal{M}$  and  $x \in L^2(\mathcal{M})$  these multiplications are defined as  $axb = aJb^*Jx$  and it is easy to verify the commutativity using the factor  $Jy^*J \in \mathcal{M}'$ . Connes [68] has shown that all normal bi-modules arise in this way up to unitary equivalence so that representation concepts make sense. It is possible to assign to any endomorphism  $\rho$  index  $Ind(\rho) \equiv M : \rho(\mathcal{M})$ . This means that the sectors are in 1-1 correspondence with inclusions. For instance, in the case of hyper-finite  $II_1$  they are labeled by Jones index. Furthermore, the objects with non-integral dimension  $\sqrt{[M : \rho(\mathcal{M})]}$  can be identified as quantum groups, loop groups, infinite-dimensional Lie algebras, etc..

### 11.2.6 Basic facts about hyper-finite factors of type III

Hyper-finite factors of type  $II_1, II_\infty$  and  $III_1, III_0, III_\lambda, \lambda \in (0, 1)$ , allow by definition hierarchy of finite approximations and are unique as von Neumann algebras. Also hyper-finite factors of type  $II_\infty$  and type  $III$  could be relevant for the formulation of TGD. HFFs of type  $II_\infty$  and  $III$  could appear at the level operator algebra but that at the level of quantum states one would obtain HFFs of type  $II_1$ . These extended factors inspire highly non-trivial conjectures about quantum TGD. The book of Connes [68] provides a detailed view about von Neumann algebras in general.

#### Basic definitions and facts

A highly non-trivial result is that HFFs of type  $II_\infty$  are expressible as tensor products  $II_\infty = II_1 \otimes I_\infty$ , where  $II_1$  is hyper-finite [68].

1. *The existence of one-parameter family of outer automorphisms*

The unique feature of factors of type  $III$  is the existence of one-parameter unitary group of outer automorphisms. The automorphism group originates in the following manner.

1. Introduce the notion of linear functional in the algebra as a map  $\omega : \mathcal{M} \rightarrow C$ .  $\omega$  is said to be hermitian it respects conjugation in  $\mathcal{M}$ ; positive if it is consistent with the notion of positivity for elements of  $\mathcal{M}$  in which case it is called weight; state if it is positive and normalized meaning that  $\omega(1) = 1$ , faithful if  $\omega(A) > 0$  for all positive  $A$ ; a trace if  $\omega(AB) = \omega(BA)$ , a vector state if  $\omega(A)$  is "vacuum expectation"  $\omega_\Omega(A) = (\Omega, \omega(A)\Omega)$  for a non-degenerate representation  $(\mathcal{H}, \pi)$  of  $\mathcal{M}$  and some vector  $\Omega \in \mathcal{H}$  with  $\|\Omega\| = 1$ .
2. The existence of trace is essential for hyper-finite factors of type  $II_1$ . Trace does not exist for factors of type  $III$  and is replaced with the weaker notion of state. State defines inner product via the formula  $(x, y) = \phi(y^*x)$  and  $*$  is isometry of the inner product.  $*$ -operator has property known as pre-closedness implying polar decomposition  $S = J\Delta^{1/2}$  of its closure.  $\Delta$  is positive definite unbounded operator and  $J$  is isometry which restores the symmetry between  $\mathcal{M}$  and its commutant  $\mathcal{M}'$  in the Hilbert space  $\mathcal{H}_\phi$ , where  $\mathcal{M}$  acts via left multiplication:  $\mathcal{M}' = J\mathcal{M}J$ .

3. The basic result of Tomita-Takesaki theory is that  $\Delta$  defines a one-parameter group  $\sigma_\phi^t(x) = \Delta^{it}x\Delta^{-it}$  of automorphisms of  $\mathcal{M}$  since one has  $\Delta^{it}\mathcal{M}\Delta^{-it} = \mathcal{M}$ . This unitary evolution is an automorphism fixed apart from unitary automorphism  $A \rightarrow UAU^*$  related with the choice of  $\phi$ . For factors of type I and II this automorphism reduces to inner automorphism so that the group of outer automorphisms is trivial as is also the outer automorphism associated with  $\omega$ . For factors of type III the group of these automorphisms divided by inner automorphisms gives a one-parameter group of  $Out(\mathcal{M})$  of outer automorphisms, which does not depend at all on the choice of the state  $\phi$ .

More precisely, let  $\omega$  be a normal faithful state:  $\omega(x^*x) > 0$  for any  $x$ . One can map  $\mathcal{M}$  to  $L^2(\mathcal{M})$  defined as a completion of  $\mathcal{M}$  by  $x \rightarrow x\Omega$ . The conjugation  $*$  in  $\mathcal{M}$  has image at Hilbert space level as a map  $S_0 : x\Omega \rightarrow x^*\Omega$ . The closure of  $S_0$  is an anti-linear operator and has polar decomposition  $S = J\Delta^{1/2}$ ,  $\Delta = SS^*$ .  $\Delta$  is positive self-adjoint operator and  $J$  anti-unitary involution. The following conditions are satisfied

$$\begin{aligned}\Delta^{it}\mathcal{M}\Delta^{-it} &= \mathcal{M} , \\ J\mathcal{M}J &= \mathcal{M}' .\end{aligned}\tag{11.2.3}$$

$\Delta^{it}$  is obviously analogous to the time evolution induced by positive definite Hamiltonian and induces also the evolution of the expectation  $\omega$  as  $\pi \rightarrow \Delta^{it}\pi\Delta^{-it}$ . What makes this result thought provoking is that it might mean a universal quantum dynamics apart from inner automorphisms and thus a realization of general coordinate invariance and gauge invariance at the level of Hilbert space.

### 2. Classification of HFFs of type III

Connes achieved an almost complete classification of hyper-finite factors of type III completed later by others. He demonstrated that they are labeled by single parameter  $0 \leq \lambda \leq 1$  and that factors of type  $III_\lambda$ ,  $0 \leq \lambda < 1$  are unique. Haagerup showed the uniqueness for  $\lambda = 1$ . The idea was that the the group has an invariant, the kernel  $T(M)$  of the map from time like  $R$  to  $Out(M)$ , consisting of those values of the parameter  $t$  for which  $\sigma_\phi^t$  reduces to an inner automorphism and to unity as outer automorphism. Connes also discovered also an invariant, which he called spectrum  $S(\mathcal{M})$  of  $\mathcal{M}$  identified as the intersection of spectra of  $\Delta_\phi \setminus \{0\}$ , which is closed multiplicative subgroup of  $R^+$ .

Connes showed that there are three cases according to whether  $S(\mathcal{M})$  is

1.  $R^+$ , type  $III_1$
2.  $\{\lambda^n, n \in Z\}$ , type  $III_\lambda$ .
3.  $\{1\}$ , type  $III_0$ .

The value range of  $\lambda$  is this by convention. For the reversal of the automorphism it would be that associated with  $1/\lambda$ .

Connes constructed also an explicit representation of the factors  $0 < \lambda < 1$  as crossed product  $II_\infty$  factor  $\mathcal{N}$  and group  $Z$  represented as powers of automorphism of  $II_\infty$  factor inducing the scaling of trace by  $\lambda$ . The classification of HFFs of type III reduced thus to the classification of automorphisms of  $\mathcal{N} \otimes \mathcal{B}(\mathcal{H})$ . In this sense the theory of HFFs of type III was reduced to that for HFFs of type  $II_\infty$  or even  $II_1$ . The representation of Connes might be also physically interesting.

### Probabilistic view about factors of type III

Second very concise representation of HFFs relies on thermodynamical thinking and realizes factors as infinite tensor product of finite-dimensional matrix algebras acting on state spaces of finite state systems with a varying and finite dimension  $n$  such that one assigns to each factor a density matrix characterized by its eigen values. Intuitively one can think the finite matrix factors as associated with  $n$ -state system characterized by its energies with density matrix  $\rho$  defining a thermodynamics. The logarithm of the  $\rho$  defines the single particle quantum Hamiltonian as  $H = \log(\rho)$  and  $\Delta = \rho = \exp(H)$  defines the automorphism  $\sigma_\phi$  for each finite tensor factor as  $\exp(iHt)$ . Obviously free field representation is in question.

Depending on the asymptotic behavior of the eigenvalue spectrum one obtains different factors [68].

1. Factor of type I corresponds to ordinary thermodynamics for which the density matrix as a function of matrix factor approaches sufficiently fast that for a system for which only ground state has non-vanishing Boltzmann weight.
2. Factor of type  $II_1$  results if the density matrix approaches to identity matrix sufficiently fast. This means that the states are completely degenerate which for ordinary thermodynamics results only at the limit of infinite temperature. Spin glass could be a counterpart for this kind of situation.
3. Factor of type  $III$  results if one of the eigenvalues is above some lower bound for all tensor factors in such a manner that neither factor of type I or  $II_1$  results but thermodynamics for systems having infinite number of degrees of freedom could yield this kind of situation.

This construction demonstrates how varied representations factors can have, a fact which might look frustrating for a novice in the field. In particular, the infinite tensor power of  $M(2, C)$  with state defined as an infinite tensor power of  $M(2, C)$  state assigning to the matrix  $A$  the complex number  $(\lambda^{1/2} A_{11} + \lambda^{-1/2} \phi(A) = A_{22}) / (\lambda^{1/2} + \lambda^{-1/2})$  defines HFF  $III_\lambda$  [99, 68]. Formally the same algebra which for  $\lambda = 1$  gives ordinary trace and HFF of type  $II_1$ , gives  $III$  factor only by replacing trace with state. This simple model was discovered by Powers in 1967.

It is indeed the notion of state or thermodynamics is what distinguishes between factors. This looks somewhat weird unless one realizes that the Hilbert space inner product is defined by the "thermodynamical" state  $\phi$  and thus probability distribution for operators and for their thermal expectation values. Inner product in turn defines the notion of norm and thus of continuity and it is this notion which differs dramatically for  $\lambda = 1$  and  $\lambda < 1$  so that the completions of the algebra differ dramatically.

In particular, there is no sign about  $I_\infty$  tensor factor or crossed product with  $Z$  represented as automorphisms inducing the scaling of trace by  $\lambda$ . By taking tensor product of  $I_\infty$  factor represented as tensor power with induces running from  $-\infty$  to 0 and  $II_1$  HFF with indices running from 1 to  $\infty$  one can make explicit the representation of the automorphism of  $II_\infty$  factor inducing scaling of trace by  $\lambda$  and transforming matrix factors possessing trace given by square root of index  $\mathcal{M} : \mathcal{N}$  to those with trace 2.

## 11.3 Braid group, von Neumann algebras, quantum TGD, and formation of bound states

The article of Vaughan Jones in [52] discusses the relation between knot theory, statistical physics, and von Neumann algebras. The intriguing results represented stimulate concrete ideas about how to understand the formation of bound states quantitatively using the notion of join along boundaries bond. All mathematical results represented in the following discussion can be found in [52] and in the references cited therein so that I will not bother to refer repeatedly to this article in the sequel.

### 11.3.1 Factors of von Neumann algebras

Von Neumann algebras  $M$  are algebras of bounded linear operators acting in Hilbert space. These algebras contain identity, are closed with respect to Hermitian conjugation, and are topologically complete. Finite-dimensional von Neumann algebras decompose into a direct sum of algebras  $M_n$ , which act essentially as matrix algebras in Hilbert spaces  $\mathcal{H}_{nm}$ , which are tensor products  $C^n \otimes \mathcal{H}_m$ . Here  $\mathcal{H}_m$  is an  $m$ -dimensional Hilbert space in which  $M_n$  acts trivially.  $m$  is called the multiplicity of  $M_n$ .

A factor of von Neumann algebra is a von Neumann algebra whose center is just the scalar multiples of identity. The algebra of bounded operators in an infinite-dimensional Hilbert space is certainly a factor. This algebra decomposes into "atoms" represented by one-dimensional projection operators. This kind of von Neumann algebras are called type I factors.

The so called type  $II_1$  factors and type  $III$  factors came as a surprise even for Murray and von Neumann.  $II_1$  factors are infinite-dimensional and analogs of the matrix algebra factors  $M_n$ . They allow a trace making possible to define an inner product in the algebra. The trace defines a generalized dimension for any subspace as the trace of the corresponding projection operator. This dimension is

however continuous and in the range  $[0, 1]$ : the finite-dimensional analog would be the dimension of the sub-space divided by the dimension of  $\mathcal{H}_n$  and having values  $(0, 1/n, 2/n, \dots, 1)$ .  $\text{II}_1$  factors are isomorphic and there exists a minimal "hyper-finite"  $\text{II}_1$  factor is contained by every other  $\text{II}_1$  factor.

Just as in the finite-dimensional case, one can assign a multiplicity to the Hilbert spaces where  $\text{II}_1$  factors act on. This multiplicity, call it  $\dim_M(\mathcal{H})$  is analogous to the dimension of the Hilbert space tensor factor  $\mathcal{H}_m$ , in which  $\text{II}_1$  factor acts trivially. This multiplicity can have all positive real values. Quite generally, von Neumann factors of type I and  $\text{II}_1$  are in many respects analogous to the coefficient field of a vector space.

### 11.3.2 Sub-factors

Sub-factors  $N \subset M$ , where  $N$  and  $M$  are of type  $\text{II}_1$  and have same identity, can be also defined. The observation that  $M$  is analogous to an algebraic extension of  $N$  motivates the introduction of index  $|M : N|$ , which is essentially the dimension of  $M$  with respect to  $N$ . This dimension is an analog for the complex dimension of  $CP_2$  equal to 2 or for the algebraic dimension of the extension of p-adic numbers.

The following highly non-trivial results about the dimensions of the tensor factors hold true.

1. If  $N \subset M$  are  $\text{II}_1$  factors and  $|M : N| < 4$ , there is an integer  $n \geq 3$  such  $|M : N| = r = 4\cos^2(\pi/n)$ ,  $n \geq 3$ .
2. For each number  $r = 4\cos^2(\pi/n)$  and for all  $r \geq 4$  there is a sub-factor  $R_r \subset R$  with  $|R : R_r| = r$ .

One can say that  $M$  effectively decomposes to a tensor product of  $N$  with a space, whose dimension is quantized to a certain algebraic number  $r$ . The values of  $r$  corresponding to  $n = 3, 4, 5, 6, \dots$  are  $r = 1, 2, 1 + \Phi \simeq 2.61, 3, \dots$  and approach to the limiting value  $r = 4$ . For  $r \geq 4$  the dimension becomes continuous.

An even more intriguing result is that by starting from  $N \subset M$  with a projection  $e_N : M \rightarrow N$  one can extend  $M$  to a larger  $\text{II}_1$  algebra  $\langle M, e_N \rangle$  such that one has

$$\begin{aligned} |\langle M, e_N \rangle : M| &= |M : N| , \\ \text{tr}(xe_N) &= |M : N|^{-1} \text{tr}(x) , \quad x \in M . \end{aligned} \quad (11.3.1)$$

One can continue this process and the outcome is a tower of  $\text{II}_1$  factors  $M_i \subset M_{i+1}$  defined by  $M_1 = N$ ,  $M_2 = M$ ,  $M_{i+1} = \langle M_i, e_{M_{i-1}} \rangle$ . Furthermore, the projection operators  $e_{M_i} \equiv e_i$  define a Temperley-Lieb representation of the braid algebra via the formulas

$$\begin{aligned} e_i^2 &= e_i , \\ e_i e_{i\pm 1} e_i &= \tau e_i , \quad \tau = 1/|M : N| \\ e_i e_j &= e_j e_i , \quad |i - j| \geq 2 . \end{aligned} \quad (11.3.2)$$

Temperley Lieb algebra will be discussed in more detail later. Obviously the addition of a tensor factor of dimension  $r$  is analogous with the addition of a strand to a braid.

The hyper-finite algebra  $R$  is generated by the set of braid generators  $\{e_1, e_2, \dots\}$  in the braid representation corresponding to  $r$ . Sub-factor  $R_1$  is obtained simply by dropping the lowest generator  $e_1$ ,  $R_2$  by dropping  $e_1$  and  $e_2$ , etc..

### 11.3.3 $\text{II}_1$ factors and the spinor structure of infinite-dimensional configuration space of 3-surfaces

The following observations serve as very suggestive guidelines for how one could interpret the above described results in TGD framework.

1. The discrete spectrum of dimensions  $1, 2, 1 + \Phi, 3, \dots$  below  $r < 4$  brings in mind the discrete energy spectrum for bound states whereas the for  $r \geq 4$  the spectrum of dimensions is analogous to a continuum of unbound states. The fact that  $r$  is an algebraic number for  $r < 4$  conforms

with the vision that bound state entanglement corresponds to entanglement probabilities in an extension of rationals defining a finite-dimensional extension of p-adic numbers for every prime  $p$ .

2. The discrete values of  $r$  correspond precisely to the angles  $\phi$  allowed by the unitarity of Temperley-Lieb representations of the braid algebra with  $d = -\sqrt{r}$ . For  $r \geq 4$  Temperley-Lieb representation is not unitary since  $\cos^2(\pi/n)$  becomes formally larger than one ( $n$  would become imaginary and continuous). This could mean that  $r \geq 4$ , which in the generic case is a transcendental number, represents unbound entanglement, which in TGD Universe is not stable against state preparation and state function reduction processes.
3. The formula  $tr(xe_N) = |M : N|^{-1}tr(x)$  is completely analogous to the formula characterizing the normalization of the link invariant induced by the second Markov move in which a new strand is added to a braid such that it braids only with the leftmost strand and therefore does not change the knot resulting as a link closure. Hence the addition of a single strand seems to correspond to an introduction of an  $r$ -dimensional sub-factor to  $II_1$  factor.

In TGD framework the generation of bound state has the formation of (possibly braided join along boundaries bonds as a space-time correlate and this encourages a rather concrete interpretation of these findings. Also the  $I_1$  factors themselves have a nice interpretation in terms of the configuration space spinor structure.

1. *The interpretation of  $II_1$  factors in terms of Clifford algebra of configuration space*

The Clifford algebra of an infinite-dimensional Hilbert space defines a  $II_1$  factor. The counterparts for  $e_i$  would naturally correspond to the analogs of projection operators  $(1 + \sigma_i)/2$  and thus to operators of form  $(1 + \Sigma_{ij})/2$ , defined by a subset of sigma matrices. The first guess is that the index pairs are  $(i, j) = (1, 2), (2, 3), (3, 4), \dots$ . The dimension of the Clifford algebra is  $2^N$  for  $N$ -dimensional space so that  $\Delta N = 1$  would correspond to  $r = 2$  in the classical case and to one qubit. The problem with this interpretation is  $r > 2$  has no physical interpretation: the formation of bound states is expected to reduce the value of  $r$  from its classical value rather than increase it.

One can however consider also the sequence  $(i, j) = (1, 1 + k), (1 + k, 1 + 2k), (1 + 2k, 1 + 3k), \dots$ . For  $k = 2$  the reduction of  $r$  from  $r = 4$  would be due to the loss of degrees of freedom due to the formation of a bound state and  $(r = 4, \Delta N = 2)$  would correspond to the classical limit resulting at the limit of weak binding. The effective elimination of the projection operators from the braid algebra would reflect this loss of degrees of freedom. This interpretation could at least be an appropriate starting point in TGD framework.

In TGD Universe physical states correspond to configuration space spinor fields, whose gamma matrix algebra is constructed in terms of second quantized free induced spinor fields defined at space-time sheets. The original motivation was the idea that the quantum states of the Universe correspond to the modes of purely classical free spinor fields in the infinite-dimensional configuration space of 3-surfaces (the world of classical worlds) possessing general coordinate invariant (in 4-dimensional sense!) Kähler geometry. Quantum information-theoretical motivation could have come from the requirement that these fields must be able to code information about the properties of the point (3-surface, and corresponding space-time sheet). Scalar fields would treat the 3-surfaces as points and are thus not enough. Induced spinor fields allow however an infinite number of modes: according to the naive Fourier analyst's intuition these modes are in one-one correspondence with the points of the 3-surface. Second quantization gives much more. Also non-local information about the induced geometry and topology must be coded, and here quantum entanglement for states generated by the fermionic oscillator operators coding information about the geometry of 3-surface provides enormous information storage capacity.

In algebraic geometry also the algebra of the imbedding space of algebraic variety divided by the ideal formed by functions vanishing on the surface codes information about the surface: for instance, the maximal ideals of this algebra code for the points of the surface (functions of imbedding space vanishing at a particular point). The function algebra of the imbedding space indeed plays a key role in the construction of the configuration space-geometry besides second quantized fermions.

The Clifford algebra generated by the configuration space gamma matrices at a given point (3-surface) of the configuration space of 3-surfaces could be regarded as a  $II_1$ -factor associated with the local tangent space endowed with Hilbert space structure (configuration space Kähler metric). The

counterparts for  $e_i$  would naturally correspond to the analogs of projection operators  $(1 + \sigma_i)/2$  and thus operators of form  $(G_{\overline{AB}} \times 1 + \Sigma_{\overline{AB}})$  formed as linear combinations of components of the Kähler metric and of the sigma matrices defined by gamma matrices and contracted with the generators of the isometries of the configuration space. The addition of single complex degree of freedom corresponds to  $\Delta N = 2$  and  $r = 4$  and the classical limit and would correspond to the addition of single braid. ( $r < 4, \Delta N < 2$ ) would be due to the binding effects.

$r = 1$  corresponds to  $\Delta N = 0$ . The first interpretation is in terms of strong binding so that the addition of particle does not increase the number of degrees of freedom. In TGD framework  $r = 1$  might also correspond to the addition of zero modes which do not contribute to the configuration space metric and spinor structure but have a deep physical significance. ( $r = 2, \Delta N = 1$ ) would correspond to strong binding reducing the spinor and space-time degrees of freedom by a factor of half.  $r = \Phi^2$  ( $n = 5$ ) *resp.*  $r = 3$  ( $n = 6$ ) corresponds to  $\Delta N_r \simeq 1.3885$  *resp.*  $\Delta N_r = 1.585$ . Using the terminology of quantum field theories, one might say that in the infinite-dimensional context a given complex bound state degree of freedom possesses anomalous real dimension  $r < 2$ .  $r \geq 4$  would correspond to a unbound entanglement and increasingly classical behavior.

### 11.3.4 About possible space-time correlates for the hierarchy of $\Pi_1$ sub-factors

By quantum classical correspondence the infinite-dimensional physics at the configuration space level should have definite space-time correlates. In particular, the dimension  $r$  should have some fractal dimension as a space-time correlate.

#### 1. Quantum classical correspondence

Join along boundaries bonds serve as correlates for bound state formation. The presence of join along boundaries bonds would lead to a generation of bound states just by reducing the degrees of freedom to those of connected 3-surface. The bonds would constrain the two 3-surfaces to single space-like section of imbedding space.

This picture would allow to understand the difficulties related to Bethe-Salpeter equations for bound states based on the assumption that particles are points moving in  $M^4$ . The restriction of particles to time=constant section leads to a successful theory which is however non-relativistic. The basic binding energy would relate to the entanglement of the states associated with the bonded 3-surfaces. Since the classical energy associated with the bonds is positive, the binding energy tends to be reduced as  $r$  increases.

By spin glass degeneracy join along boundaries bonds have an infinite number of degrees of freedom in the ordinary sense. Since the system is infinite-dimensional and quantum critical, one expects that the number  $r$  of degrees freedom associated with a single join along boundaries bond is universal. Since join along boundaries bonds correspond to the strands of a braid and are correlates for the bound state formation, the natural guess is that  $r = 4\cos^2(\pi/n)$ ,  $n = 3, 4, 5, \dots$  holds true.  $r < 4$  should characterize both binding energy and the dimension of the effective tensor factor introduced by a new join along boundaries bond.

The assignment of 2 "bare" and  $\Delta N \leq 2$  renormalized real dimensions to single join along boundaries bond is consistent with the effective two-dimensionality of anyon systems and with the very notion of the braid group. The picture conforms also with the fact that the degrees of freedom in question are associated with metrically 2-dimensional light-like boundaries (of say magnetic flux tubes) acting as causal determinants. Also vibrational degrees of freedom described by Kac-Moody algebra are present and the effective 2-dimensionality means that these degrees of freedom are not excited and only topological degrees of freedom coded by the position of the puncture remain.

( $r \geq 4, \Delta N \geq 2$ ), if possible at all, would mean that the tensor factor associated with the join along boundaries bond is effectively more than 4-dimensional due to the excitation of the vibrational Kac-Moody degrees of freedom. The finite value of  $r$  would mean that most of them are eliminated also now but that their number is so large that bound state entanglement is not possible anymore.

The introduction of non-integer dimension could be seen as an effective description of an infinite-dimensional system as a finite-dimensional system in the spirit of renormalization group philosophy. The non-unitarity of  $r \geq 4$  Temperley-Lieb representations could mean that they correspond to unbound entanglement unstable against state function reduction and preparation processes. Since



this kind of entanglement does not survive in quantum jump it is not representable in terms of braid groups.

2. Does  $r$  define a fractal dimension of  $CP_2$  projection of partonic 2-surface?

On basis of the quantum classical correspondence one expects that  $r$  should define some fractal dimension at the space-time level. Since  $r$  varies in the range  $1, \dots, 4$  and corresponds to the fractal dimension of 2-D Clifford algebra the corresponding spinors would have dimension  $d = \sqrt{r}$ . There are two options.

1.  $D = r/2$  is suggested on basis of the construction of quantum version of  $M^d$ .
2.  $D = \log_2(r)$  is natural on basis of the dimension  $d = 2^{D/2}$  of spinors in D-dimensional space.

$r$  can be assigned with  $CP_2$  degrees of freedom in the model for the quantization of Planck constant based on the explicit identification of Josephson inclusions in terms of finite subgroups of  $SU(2) \subset SU(3)$ . Hence  $D$  should relate to the  $CP_2$  projection of the partonic 2-surface and one could have  $D = D(X^2)$ , the latter being the average dimension of the  $CP_2$  projection of the partonic 2-surface for the preferred extremals of Kähler action.

Since a strongly interacting non-perturbative phase should be in question, the dimension for the  $CP_2$  projection of the space-time surface must be at least  $D(X^4) = 2$  to guarantee that non-vacuum extremals are in question. This is true for  $D(X^2) = r/2 \geq 1$ . The logarithmic formula  $D(X^2) = \log_2(r) \geq 0$  gives  $D(X^2) = 0$  for  $n = 3$  meaning that partonic 2-surfaces are vacua: space-time surface can still be a non-vacuum extremal.

As  $n$  increases, the number of  $CP_2$  points covering a given  $M^4$  point and related by the finite subgroup of  $G \subset SU(2) \subset SU(3)$  defining the inclusion increases so that the fractal dimension of the  $CP_2$  projection is expected to increase also.  $D(X^2) = 2$  would correspond to the space-time surfaces for which partons have topological magnetic charge forcing them to have a 2-dimensional  $CP_2$  projection. There are reasons to believe that the projection must be homologically non-trivial geodesic sphere of  $CP_2$ .

### 11.3.5 Could binding energy spectra reflect the hierarchy of effective tensor factor dimensions?

If one takes completely seriously the idea that join along boundaries bonds are a correlate of binding then the spectrum of binding energies might reveal the hierarchy of the fractal dimensions  $r(n)$ . Hydrogen atom and harmonic oscillator have become symbols for bound state systems. Hence it is of interest to find whether the binding energy spectrum of these systems might be expressed in terms of the "binding dimension"  $x(n) = 4 - r(n)$  characterizing the deviation of dimension from that at the limit of a vanishing binding energy. The binding energies of hydrogen atom are in a good approximation given by  $E(n)/E(1) = 1/n^2$  whereas in the case of harmonic oscillator one has  $E(n)/E_0 = 2n + 1$ . The constraint  $n \geq 3$  implies that the principal quantum number must correspond  $n - 2$  in the case of hydrogen atom and to  $n - 3$  in the case of harmonic oscillator.

Before continuing one must face an obvious objection. By previous arguments different values of  $r$  correspond to different values of  $\hbar$ . The value of  $\hbar$  cannot however differ for the states of hydrogen atom. This is certainly true. The objection however leaves open the possibility that the states of the light-like boundaries of join along boundaries bonds correspond to reflective level and represent some aspects of the physics of, say, hydrogen atom.

In the general case the energy spectrum satisfies the condition

$$\frac{E_B(n)}{E_B(3)} = \frac{f(4 - r(n))}{f(3)}, \tag{11.3.3}$$

where  $f$  is some function. The simplest assumption is that the spectrum of binding energies  $E_B(n) = E(n) - E(\infty)$  is a linear function of  $r(n) - 4$ :

$$\frac{E_B(n)}{E_B(3)} = \frac{4 - r(n)}{3} = \frac{4}{3} \sin^2\left(\frac{\pi}{n}\right) \rightarrow \frac{4\pi^2}{3} \times \frac{1}{n^2}. \tag{11.3.4}$$

In the linear approximation the ratio  $E(n+1)/E(n)$  approaches  $(n/n+1)^2$  as in the case of hydrogen atom but for small values the linear approximation fails badly. An exact correspondence results for

$$\frac{E(n)}{E(1)} = \frac{1}{n^2} ,$$

$$n = \frac{1}{\pi \arcsin\left(\sqrt{1-r(n+2)/4}\right)} - 2 .$$

Also the ionized states with  $r \geq 4$  would correspond to bound states in the sense that two particle would be constrained to move in the same space-like section of space-time surface and should be distinguished from genuinely free states when particles correspond to disjoint space-time sheets.

For the harmonic oscillator one express  $E(n) - E(0)$  instead of  $E(n) - E(\infty)$  as a function of  $x = 4 - r$  and one would have

$$\frac{E(n)}{E(0)} = 2n + 1 ,$$

$$n = \frac{1}{\pi \arcsin\left(\sqrt{1-r(n+3)/4}\right)} - 3 .$$

In this case ionized states would not be possible due to the infinite depth of the harmonic oscillator potential well.

### 11.3.6 Four-color problem, $\Pi_1$ factors, and anyons

The so called four-color problem can be phrased as a question whether it is possible to color the regions of a plane map using only four colors in such a manner that no adjacent regions have the same color (for an enjoyable discussion of the problem see [55]). One might call this kind of coloring complete. There is no loss of generality in assuming that the map can be represented as a graph with regions represented as triangle shaped faces of the graph. For the dual graph the coloring of faces becomes coloring of vertices and the question becomes whether the coloring is possible in such a manner that no vertices at the ends of the same edge have same color. The problem can be generalized by replacing planar maps with maps defined on any two-dimensional surface with or without boundary and arbitrary topology. The four-color problem has been solved with an extensive use of computer [46] but it would be nice to understand why the complete coloring with four colors is indeed possible.

There is a mysterious looking connection between four-color problem and the dimensions  $r(n) = 4\cos^2(\pi/n)$ , which are in fact known as Beraha numbers in honor of the discoverer of this connection [72]. Consider a more general problem of coloring two-dimensional map using  $m$  colors. One can construct a polynomial  $P(m)$ , so called chromatic polynomial, which tells the number of colorings satisfying the condition that no neighboring vertices have the same color. The vanishing of the chromatic polynomial for an integer value of  $m$  tells that the complete coloring using  $m$  colors is not possible.

$P(m)$  has also other than integer valued real roots. The strange discovery due to Beraha is that the numbers  $B(n)$  appear as approximate roots of the chromatic polynomial in many situations. For instance, the four non-integral real roots of the chromatic polynomial of the truncated icosahedron are very close to  $B(5)$ ,  $B(7)$ ,  $B(8)$  and  $B(9)$ . These findings led Beraha to formulate the following conjecture. Let  $P_i$  be a sequence of chromatic polynomials for a graph for which the number of vertices approaches infinity. If  $r_i$  is a root of the polynomial approaching a well-defined value at the limit  $i \rightarrow \infty$ , then the limiting value of  $r(i)$  is Beraha number.

A physicist's proof for Beraha's conjecture based on quantum groups and conformal theory has been proposed [72]. It is interesting to look for the a possible physical interpretation of 4-color problem and Beraha's conjecture in TGD framework.

1. In TGD framework  $B(n)$  corresponds to a renormalized dimension for a 2-spin system consisting of two qubits, which corresponds to 4 different colors. For  $B(n) = 4$  two spin 1/2 fermions obeying Fermi statistics are in question. Since the system is 2-dimensional, the general case corresponds to two anyons with fractional spin  $B(n)/4$  giving rise to  $B(n) < 4$  colors and obeying fractional statistics instead of Fermi statistics. One can replace coloring problem with the problem whether an ideal antiferro-magnetic lattice using anyons with fractional spin  $B(n)/4$

is possible energetically. In other words, does this system form a quantum mechanical bound state even at the limit when the lengths of the edges approach to zero.

2. The failure of coloring means that there are at least two neighboring vertices in the lattice with the property that the spins at the ends of the same edge are in the same direction. Lattice defect would be in question. At the limit of an infinitesimally short edge length the failure of coloring is certainly not an energetically favored option for fermionic spins ( $m = 4$ ) but is allowed by anyonic statistics for  $m = B(n) < 4$ . Thus one has reasons to expect that when anyonic spin is  $B(n)/4$  the formation of a purely 2-anyon bound states becomes possible and they form at the limit of an infinitesimal edge length a kind of topological macroscopic quantum phase with a non-vanishing binding energy. That  $B(n)$  are roots of the chromatic polynomial at the continuum limit would have a clear physical interpretation.
3. Only  $B(n) < 4$  defines a sub-factor of von Neumann algebra allowing unitary Temperley-Lieb representations. This is consistent with the fact that for  $m = 4$  complete coloring must exist. The physical argument is that otherwise a macroscopic quantum phase with non-vanishing binding energy could result at the continuum limit and the upper bound for  $r$  from unitarity would be larger than 4. For  $m = 4$  the completely anti-ferromagnetic state would represent the ground state and the absence of anyon-pair condensate would mean a vanishing binding energy.

### 11.4 Inclusions of $II_1$ and $III_1$ factors

Inclusions  $\mathcal{N} \subset \mathcal{M}$  of von Neumann algebras have physical interpretation as a mathematical description for sub-system-system relation. For type  $I$  algebras the inclusions are trivial and tensor product description applies as such. For factors of  $II_1$  and  $III$  the inclusions are highly non-trivial. The inclusion of type  $II_1$  factors were understood by Vaughan Jones [44] and those of factors of type  $III$  by Alain Connes [69].

Sub-factor  $\mathcal{N}$  of  $\mathcal{M}$  is defined as a closed \*-stable C-subalgebra of  $\mathcal{M}$ . Let  $\mathcal{N}$  be a sub-factor of type  $II_1$  factor  $\mathcal{M}$ . Jones index  $\mathcal{M} : \mathcal{N}$  for the inclusion  $\mathcal{N} \subset \mathcal{M}$  can be defined as  $\mathcal{M} : \mathcal{N} = \dim_{\mathcal{N}}(L^2(\mathcal{M})) = \text{Tr}_{\mathcal{N}'}(id_{L^2(\mathcal{M})})$ . One can say that the dimension of completion of  $\mathcal{M}$  as  $\mathcal{N}$  module is in question.

#### 11.4.1 Basic findings about inclusions

What makes the inclusions non-trivial is that the position of  $\mathcal{N}$  in  $\mathcal{M}$  matters. This position is characterized in case of hyper-finite  $II_1$  factors by index  $\mathcal{M} : \mathcal{N}$  which can be said to the dimension of  $\mathcal{M}$  as  $\mathcal{N}$  module and also as the inverse of the dimension defined by the trace of the projector from  $\mathcal{M}$  to  $\mathcal{N}$ . It is important to notice that  $\mathcal{M} : \mathcal{N}$  does not characterize either  $\mathcal{M}$  or  $\mathcal{N}$ , only the imbedding.

The basic facts proved by Jones are following [44].

1. For pairs  $\mathcal{N} \subset \mathcal{M}$  with a finite principal graph the values of  $\mathcal{M} : \mathcal{N}$  are given by

$$\begin{aligned}
 &a) \mathcal{M} : \mathcal{N} = 4\cos^2(\pi/h) \ , \quad h \geq 3 \ , \\
 &b) \mathcal{M} : \mathcal{N} \geq 4 \ .
 \end{aligned}
 \tag{11.4.1}$$

the numbers at right hand side are known as Beraha numbers [72]. The comments below give a rough idea about what finiteness of principal graph means.

2. As explained in [98], for  $\mathcal{M} : \mathcal{N} < 4$  one can assign to the inclusion Dynkin graph of ADE type Lie-algebra  $g$  with  $h$  equal to the Coxeter number  $h$  of the Lie algebra given in terms of its dimension and dimension  $r$  of Cartan algebra  $r$  as  $h = (\dim g - r)/r$ . The Lie algebras of  $SU(n)$ ,  $E_7$  and  $D_{2n+1}$  are however not allowed. For  $\mathcal{M} : \mathcal{N} = 4$  one can assign to the inclusion an extended Dynkin graph of type ADE characterizing Kac Moody algebra. Extended ADE diagrams characterize also the subgroups of  $SU(2)$  and the interpretation proposed in [45] is

following. The ADE diagrams are associated with the  $n = \infty$  case having  $\mathcal{M} : \mathcal{N} \geq 4$ . There are diagrams corresponding to infinite subgroups:  $SU(2)$  itself, circle group  $U(1)$ , and infinite dihedral groups (generated by a rotation by a non-rational angle and reflection). The diagrams corresponding to finite subgroups are extension of  $A_n$  for cyclic groups, of  $D_n$  dihedral groups, and of  $E_n$  with  $n=6,7,8$  for tetrahedron, cube, dodecahedron. For  $\mathcal{M} : \mathcal{N} < 4$  ordinary Dynkin graphs of  $D_{2n}$  and  $E_6, E_8$  are allowed.

The interpretation of [45] is that the subfactors correspond to inclusions  $\mathcal{N} \subset \mathcal{M}$  defined in the following manner.

1. Let  $G$  be a finite subgroup of  $SU(2)$ . Denote by  $R$  the infinite-dimensional Clifford algebras resulting from infinite-dimensional tensor power of  $M_2(C)$  and by  $R_0$  its subalgebra obtained by restricting  $M_2(C)$  element of the first factor to be unit matrix. Let  $G$  act by automorphisms in each tensor factor.  $G$  leaves  $R_0$  invariant. Denote by  $R_0^G$  and  $R^G$  the sub-algebras which remain element wise invariant under the action of  $G$ . The resulting Jones inclusions  $R_0^G \subset R^G$  are consistent with the ADE correspondence.
2. The argument suggests the existence of quantum versions of subgroups of  $SU(2)$  for which representations are truncations of those for ordinary subgroups. The results have been generalized to other Lie groups.
3. Also  $SL(2, C)$  acts as automorphisms of  $M_2(C)$ . An interesting question is what happens if one allows  $G$  to be any discrete subgroups of  $SL(2, C)$ . Could this give inclusions with  $\mathcal{M} : \mathcal{N} > 4$ ?. The strong analogy of the spectrum of indices with spectrum of energies with hydrogen atom would encourage this interpretation: the subgroup  $SL(2, C)$  not reducing to those of  $SU(2)$  would correspond to the possibility for the particle to move with respect to each other with constant velocity.

### 11.4.2 The fundamental construction and Temperley-Lieb algebras

It was shown by Jones [52] that for a given Jones inclusion with  $\beta = \mathcal{M} : \mathcal{N} < \infty$  there exists a tower of finite  $II_1$  factors  $\mathcal{M}_k$  for  $k = 0, 1, 2, \dots$  such that

1.  $\mathcal{M}_0 = \mathcal{N}, \mathcal{M}_1 = \mathcal{M}$ ,
2.  $\mathcal{M}_{k+1} = \text{End}_{\mathcal{M}_{k-1}} \mathcal{M}_k$  is the von Neumann algebra of operators on  $L^2(\mathcal{M}_k)$  generated by  $\mathcal{M}_k$  and an orthogonal projection  $e_k : L^2(\mathcal{M}_k) \rightarrow L^2(\mathcal{M}_{k-1})$  for  $k \geq 1$ , where  $\mathcal{M}_k$  is regarded as a subalgebra of  $\mathcal{M}_{k+1}$  under right multiplication.

It can be shown that  $\mathcal{M}_{k+1}$  is a finite factor. The sequence of projections on  $\mathcal{M}_\infty = \cup_{k \geq 0} \mathcal{M}_k$  satisfies the relations

$$\begin{aligned}
 e_i^2 &= e_i, & e_i^- e_i &, \\
 e_i &= \beta e_i e_j e_i & \text{for } |i - j| = 1 &, \\
 e_i e_j &= e_j e_i & \text{for } |i - j| \geq 2 &.
 \end{aligned}
 \tag{11.4.2}$$

The construction of hyper-finite  $II_1$  factor using Clifford algebra  $C(2)$  represented by  $2 \times 2$  matrices allows to understand the theorem in  $\beta = 4$  case in a straightforward manner. In particular, the second formula involving  $\beta$  follows from the identification of  $x$  at  $(k - 1)^{th}$  level with  $(1/\beta) \text{diag}(x, x)$  at  $k^{th}$  level.

By replacing  $2 \times 2$  matrices with  $\sqrt{\beta} \times \sqrt{\beta}$  matrices one can understand heuristically what is involved in the more general case.  $\mathcal{M}_k$  is  $\mathcal{M}_{k-1}$  module with dimension  $\sqrt{\beta}$  and  $\mathcal{M}_{k+1}$  is the space of  $\sqrt{\beta} \times \sqrt{\beta}$  matrices  $\mathcal{M}_{k-1}$  valued entries acting in  $\mathcal{M}_k$ . The transition from  $\mathcal{M}_k$  to  $\mathcal{M}_{k-1}$  linear maps of  $\mathcal{M}_k$  happens in the transition to the next level.  $x$  at  $(k - 1)^{th}$  level is identified as  $(x/\beta) \times \text{Id}_{\sqrt{\beta} \times \sqrt{\beta}}$  at the next level. The projection  $e_k$  picks up the projection of the matrix with  $\mathcal{M}_{k-1}$  valued entries in the direction of the  $\text{Id}_{\sqrt{\beta} \times \sqrt{\beta}}$ .

The union of algebras  $A_{\beta, k}$  generated by  $1, e_1, \dots, e_k$  defines Temperley-Lieb algebra  $A_\beta$  [53]. This algebra is naturally associated with braids. Addition of one strand to a braid adds one generator to

this algebra and the representations of the Temperley Lieb algebra provide link, knot, and 3-manifold invariants [81]. There is also a connection with systems of statistical physics and with Yang-Baxter algebras [57].

A further interesting fact about the inclusion hierarchy is that the elements in  $\mathcal{M}_i$  belonging to the commutator  $\mathcal{N}'$  of  $\mathcal{N}$  form finite-dimensional spaces. Presumably the dimension approaches infinity for  $n \rightarrow \infty$ .

### 11.4.3 Connection with Dynkin diagrams

The possibility to assign Dynkin diagrams ( $\beta < 4$ ) and extended Dynkin diagrams ( $\beta = 4$  to Jones inclusions can be understood heuristically by considering a characterization of so called bipartite graphs [92, 98] by the norm of the adjacency matrix of the graph.

Bipartite graphs  $\Gamma$  is a finite, connected graph with multiple edges and black and white vertices such that any edge connects white and black vertex and starts from a white one. Denote by  $w(\Gamma)$  ( $b(\Gamma)$ ) the number of white (black) vertices. Define the adjacency matrix  $\Lambda = \Lambda(\Gamma)$  of size  $b(\Gamma) \times w(\Gamma)$  by

$$w_{b,w} = \begin{cases} m(e) & \text{if there exists } e \text{ such that } \delta e = b - w \text{ ,} \\ 0 & \text{otherwise .} \end{cases} \tag{11.4.3}$$

Here  $m(e)$  is the multiplicity of the edge  $e$ .

Define norm  $\|\Gamma\|$  as

$$\begin{aligned} \|X\| &= \max\{\|X\|; \|x\| \leq 1\} \text{ ,} \\ \|\Gamma\| &= \|\Lambda(\Gamma)\| = \left\| \begin{array}{cc} 0 & \Lambda(\Gamma) \\ \Lambda(\Gamma)^t & 0 \end{array} \right\| \text{ .} \end{aligned} \tag{11.4.4}$$

Note that the matrix appearing in the formula is  $(m+n) \times (m+n)$  symmetric square matrix so that the norm is the eigenvalue with largest absolute value.

Suppose that  $\Gamma$  is a connected finite graph with multiple edges (sequences of edges are regarded as edges). Then

1. If  $\|\Gamma\| \leq 2$  and if  $\Gamma$  has a multiple edge,  $\|\Gamma\| = 2$  and  $\Gamma = \tilde{A}_1$ , the extended Dynkin diagram for  $SU(2)$  Kac Moody algebra.
2.  $\|\Gamma\| < 2$  if and only if  $\Gamma$  is one of the Dynkin diagrams of A,D,E. In this case  $\|\Gamma\| = 2\cos(\pi/h)$ , where  $h$  is the Coxeter number of  $\Gamma$ .
3.  $\|\Gamma\| = 2$  if and only if  $\Gamma$  is one of the extended Dynkin diagrams  $\tilde{A}, \tilde{D}, \tilde{E}$ .

This result suggests that one can indeed assign to the Jones inclusions Dynkin diagrams. To really understand how the inclusions can be characterized in terms bipartite diagrams would require a deeper understanding of von Neumann algebras. The following argument only demonstrates that bipartite graphs naturally describe inclusions of algebras.

1. Consider a bipartite graph. Assign to each white vertex linear space  $W(w)$  and to each edge of a linear space  $W(b, w)$ . Assign to a given black vertex the vector space  $\oplus_{\delta e=b-w} W(b, w) \otimes W(w)$  where  $(b, w)$  corresponds to an edge ending to  $b$ .
2. Define  $\mathcal{N}$  as the direct sum of algebras  $End(W(w))$  associated with white vertices and  $\mathcal{M}$  as direct sum of algebras  $\oplus_{\delta e=b-w} End(W(b, w)) \otimes End(W(w))$  associated with black vertices.
3. There is homomorphism  $N \rightarrow M$  defined by imbedding direct sum of white endomorphisms  $x$  to direct sum of tensor products  $x$  with the identity endomorphisms associated with the edges starting from  $x$ .

It is possible to show that Jones inclusions correspond to the Dynkin diagrams of  $A_n, D_{2n}$ , and  $E_6, E_8$  and extended Dynkin diagrams of ADE type. In particular, the dual of the bi-partite graph associated with  $\mathcal{M}_{n-1} \subset \mathcal{M}_n$  obtained by exchanging the roles of white and black vertices describes the inclusion  $\mathcal{M}_n \subset \mathcal{M}_{n+1}$  so that two subsequent Jones inclusions might define something fundamental (the corresponding space-time dimension is  $2 \times \log_2(\mathcal{M} : \mathcal{N}) \leq 4$ ).

#### 11.4.4 Indices for the inclusions of type $III_1$ factors

Type  $III_1$  factors appear in relativistic quantum field theory defined in 4-dimensional Minkowski space [95]. An overall summary of basic results discovered in algebraic quantum field theory is described in the lectures of Longo [51]. In this case the inclusions for algebras of observables are induced by the inclusions for bounded regions of  $M^4$  in axiomatic quantum field theory. Tomita's theory of modular Hilbert algebras [86, 96] forms the mathematical corner stone of the theory.

The basic notion is Haag-Kastler net [56] consisting of bounded regions of  $M^4$ . Double cone serves as a representative example. The von Neumann algebra  $\mathcal{A}(O)$  is generated by observables localized in bounded region  $O$ . The net satisfies the conditions implied by local causality:

1. Isotony:  $O_1 \subset O_2$  implies  $\mathcal{A}(O_1) \subset \mathcal{A}(O_2)$ .
2. Locality:  $O_1 \subset O'_2$  implies  $\mathcal{A}(O_1) \subset \mathcal{A}(O'_2)'$  with  $O'$  defined as  $\{x : \langle x, y \rangle < 0 \text{ for all } y \in O\}$ .
3. Haag duality  $\mathcal{A}(O')' = \mathcal{A}(O)$ .

Besides this Poincare covariance, positive energy condition, and the existence of vacuum state is assumed.

DHR (Doplicher-Haag-Roberts) [76] theory allows to deduce the values of Jones index and they are squares of integers in dimensions  $D > 2$  so that the situation is rather trivial. The 2-dimensional case is distinguished from higher dimensional situations in that braid group replaces permutation group since the paths representing the flows permuting identical particles can be linked in  $X^2 \times T$  and anyonic statistics [70, 74] becomes possible. In the case of 2-D Minkowski space  $M^2$  Jones inclusions with  $\mathcal{M} : \mathcal{N} < 4$  plus a set of discrete values of  $\mathcal{M} : \mathcal{N}$  in the range (4, 6) are possible. In [51] some values are given ( $\mathcal{M} : \mathcal{N} = 5, 5.5049\dots, 5.236\dots, 5.828\dots$ ).

At least intersections of future and past light cones seem to appear naturally in TGD framework such that the boundaries of future/past directed light cones serve as seats for incoming/outgoing states defined as intersections of space-time surface with these light cones.  $III_1$  sectors cannot thus be excluded as factors in TGD framework. On the other hand, the construction of S-matrix at space-time level is reduced to  $II_1$  case by effective 2-dimensionality.

### 11.5 TGD and hyper-finite factors of type $II_1$ : ideas and questions

By effective 2-dimensionality of the construction of quantum states the hyper-finite factors of type  $II_1$  fit naturally to TGD framework. In particular, infinite dimensional spinors define a canonical representations of this kind of factor. The basic question is whether only hyper-finite factors of type  $II_1$  appear in TGD framework. Affirmative answer would allow to interpret physical  $M$ -matrix as time like entanglement coefficients.

#### 11.5.1 What kind of hyper-finite factors one can imagine in TGD?

The working hypothesis has been that only hyper-finite factors of type  $II_1$  appear in TGD. The basic motivation has been that they allow a new view about  $M$ -matrix as an operator representable as time-like entanglement coefficients of zero energy states so that physical states would represent laws of physics in their structure. They allow also the introduction of the notion of measurement resolution directly to the definition of reaction probabilities by using Jones inclusion and the replacement of state space with a finite-dimensional state space defined by quantum spinors. This hypothesis is of course just an attractive working hypothesis and deserves to be challenged.

#### Configuration space spinors

For configuration space spinors the HFF  $II_1$  property is very natural because of the properties of infinite-dimensional Clifford algebra and the inner product defined by the configuration space geometry does not allow other factors than this. A good guess is that the values of conformal weights label the factors appearing in the tensor power defining configuration space spinors. Because of

the non-degeneracy and super-symplectic symmetries the density matrix representing metric must be essentially unit matrix for each conformal weight which would be the defining characteristic of hyper-finite factor of type  $II_1$ .

### Bosonic degrees of freedom

The bosonic part of the super-symplectic algebra consists of Hamiltonians of  $CH$  in one-one correspondence with those of  $\delta M_{\pm}^4 \times CP_2$ . Also the Kac-Moody algebra acting leaving the light-likeness of the partonic 3-surfaces intact contributes to the bosonic degrees of freedom. The commutator of these algebras annihilates physical states and there are also Virasoro conditions associated with ordinary conformal symmetries of partonic 2-surface [23]. The labels of Hamiltonians of configuration space and spin indices contribute to bosonic degrees of freedom.

Hyper-finite factors of type  $II_1$  result naturally if the system is an infinite tensor product finite-dimensional matrix algebra associated with finite dimensional systems [68]. Unfortunately, neither Virasoro, symplectic nor Kac-Moody algebras do have decomposition into this kind of infinite tensor product. If bosonic degrees for super-symplectic and super-Kac Moody algebra indeed give  $I_{\infty}$  factor one has HFF if type  $II_{\infty}$ . This looks the most natural option but threatens to spoil the beautiful idea about  $M$ -matrix as time-like entanglement coefficients between positive and negative energy parts of zero energy state.

The resolution of the problem is surprisingly simple and trivial after one has discovered it. The requirement that state is normalizable forces to project  $M$ -matrix to a finite-dimensional sub-space in bosonic degrees of freedom so that the reduction  $I_{\infty} \rightarrow I_n$  occurs and one has the reduction  $II_{\infty} \rightarrow II_1 \times I_n = II_1$  to the desired HFF.

One can consider also the possibility of taking the limit  $n \rightarrow \infty$ . One could indeed say that since  $I_{\infty}$  factor can be mapped to an infinite tensor power of  $M(2, C)$  characterized by a state which is not trace, it is possible to map this representation to HFF by replacing state with trace [68]. The question is whether the forcing the bosonic foot to fermionic shoe is physically natural. One could also regard the  $II_1$  type notion of probability as fundamental and also argue that it is required by full super-symmetry realized also at the level of many-particle states rather than mere single particle states.

### How the bosonic cutoff is realized?

Normalizability of state requires that projection to a finite-dimensional bosonic sub-space is carried out for the bosonic part of the  $M$ -matrix. This requires a cutoff in quantum numbers of super-conformal algebras. The cutoff for the values of conformal weight could be formulated by replacing integers with  $Z_n$  or with some finite field  $G(p, 1)$ . The cutoff for the labels associated with Hamiltonians defined as an upper bound for the dimension of the representation looks also natural.

Number theoretical braids which are discrete and finite structures would define space-time correlate for this cutoff. p-Adic length scale  $p \simeq 2^k$  hypothesis could be interpreted as stating the fact that only powers of  $p$  up to  $p^k$  are significant in p-adic thermodynamics which would correspond to finite field  $G(k, 1)$  if  $k$  is prime. This has no consequences for p-adic mass calculations since already the first two terms give practically exact results for the large primes associated with elementary particles [4].

Finite number of strands for the theoretical braids would serve as a correlate for the reduction of the representation of Galois group  $S_{\infty}$  of rationals to an infinite produce of diagonal copies of finite-dimensional Galois group so that same braid would repeat itself like a unit cell of lattice i condensed matter [50].

### HFF of type $III$ for field operators and HFF of type $II_1$ for states?

One could also argue that the Hamiltonians with fixed conformal weight are included in fermionic  $II_1$  factor and bosonic factor  $I_{\infty}$  factor, and that the inclusion of conformal weights leads to a factor of type  $III$ . Conformal weight could relate to the integer appearing in the crossed product representation  $III = Z \times_{cr} II_{\infty}$  of HFF of type  $III$  [68].

The value of conformal weight is non-negative for physical states which suggests that  $Z$  reduces to semigroup  $N$  so that a factor of type  $III$  would reduce to a factor of type  $II_{\infty}$  since trace would become finite. If unitary process corresponds to an automorphism for  $II_{\infty}$  factor, the action of automorphisms affecting scaling must be uni-directional. Also thermodynamical irreversibility suggests the same. The

assumption that state function reduction for positive energy part of state implies unitary process for negative energy state and vice versa would only mean that the shifts for positive and negative energy parts of state are opposite so that  $Z \rightarrow N$  reduction would still hold true.

### HFF of type $II_1$ for the maxima of Kähler function?

Probabilistic interpretation allows to gain heuristic insights about whether and how hyper-finite factors of type  $II_1$  might be associated with configuration space degrees of freedom. They can appear both in quantum fluctuating degrees of freedom associated with a given maximum of Kähler function and in the discrete space of maxima of Kähler function.

Spin glass degeneracy is the basic prediction of classical TGD and means that instead of a single maximum of Kähler function analogous to single free energy minimum of a thermodynamical system there is a fractal spin glass energy landscape with valleys inside valleys. The discretization of the configuration space in terms of the maxima of Kähler function crucial for the p-adicization problem, leads to the analog of spin glass energy landscape and hyper-finite factor of type  $II_1$  might be the appropriate description of the situation.

The presence of the tensor product structure is a powerful additional constraint and something analogous to this should emerge in configuration space degrees of freedom. Fractality of the many-sheeted space-time is a natural candidate here since the decomposition of the original geometric structure to parts and replacing them with the scaled down variant of original structure is the geometric analog of forming a tensor power of the original structure.

### 11.5.2 Direct sum of HFFs of type $II_1$ as a minimal option

HFF  $II_1$  property for the Clifford algebra of the configuration space means a definite distinction from the ordinary Clifford algebra defined by the fermionic oscillator operators since the trace of the unit matrix of the Clifford algebra is normalized to one. This does not affect the anti-commutation relations at the basic level and delta functions can appear in them at space-time level. At the level of momentum space  $I_\infty$  property requires discrete basis and anti-commutators involve only Kronecker deltas. This conforms with the fact that HFF of type  $II_1$  can be identified as the Clifford algebra associated with a separable Hilbert space.

#### $II_\infty$ factor or direct sum of HFFs of type $II_1$ ?

The expectation is that super-symplectic algebra is a direct sum over HFFs of type  $II_1$  labeled by the radial conformal weight. In the same manner the algebra defined by fermionic anti-commutation relations at partonic 2-surface would decompose to a direct sum of algebras labeled by the conformal weight associated with the light-like coordinate of  $X_l^3$ . Super-conformal symmetry suggests that also the configuration space degrees of freedom correspond to a direct sum of HFFs of type  $II_1$ .

One can of course ask why not  $II_\infty = I_\infty \times II_1$  structures so that one would have single factor rather than a direct sum of factors.

1. The physical motivation is that the direct sum property allow to decompose M-matrix to direct summands associated with various sectors with weights whose moduli squared have an interpretation in terms of the density matrix. This is also consistent with p-adic thermodynamics where conformal weights take the place of energy eigen values.
2.  $II_\infty$  property would predict automorphisms scaling the trace by an arbitrary positive real number  $\lambda \in R_+$ . These automorphisms would require the scaling of the trace of the projectors of Clifford algebra having values in the range  $[0, 1]$  and it is difficult to imagine how these automorphisms could be realized geometrically.

### How HFF property reflects itself in the construction of geometry of WCW?

The interesting question is what HFF property and finite measurement resolution realizing itself as the use of projection operators means concretely at the level of the configuration space geometry.

Super-Hamiltonians define the Clifford algebra of the configuration space. Super-conformal symmetry suggests that the unavoidable restriction to projection operators instead of complex rays is



realized also configuration space degrees of freedom. Of course, infinite precision in the determination of the shape of 3-surface would be physically a completely unrealistic idea.

In the fermionic situation the anti-commutators for the gamma matrices associated with configuration space individual Hamiltonians in 3-D sense are replaced with anti-commutators where Hamiltonians are replaced with projectors to subspaces of the space spanned by Hamiltonians. This projection is realized by restricting the anti-commutator to partonic 2-surfaces so that the anti-commutator depends only the restriction of the Hamiltonian to those surfaces.

What is interesting that the measurement resolution has a concrete particle physical meaning since the parton content of the system characterizes the projection. The larger the number of partons, the better the resolution about configuration space degrees of freedom is. The degeneracy of configuration space metric would be interpreted in terms of finite measurement resolution inherent to HFFs of type  $II_1$ , which is not due to Jones inclusions but due to the fact that one can project only to infinite-D subspaces rather than complex rays.

Effective 2-dimensionality in the sense that configuration space Hamiltonians reduce to functionals of the partonic 2-surfaces of  $X_l^3$  rather than functionals of  $X_l^3$  could be interpreted in this manner. For a wide class of Hamiltonians actually effective 1-dimensionality holds true in accordance with conformal invariance.

The generalization of configuration space Hamiltonians and super-Hamiltonians by allowing integrals over the 2-D boundaries of the patches of  $X_l^3$  would be natural and is suggested by the requirement of discretized 3-dimensionality at the level of configuration space.

By quantum classical correspondence the inclusions of HFFs related to the measurement resolution should also have a geometric description. Measurement resolution corresponds to braids in given time scale and as already explained there is a hierarchy of braids in time scales coming as negative powers of two corresponding to the addition of zero energy components to positive/negative energy state. Note however that particle reactions understood as decays and fusions of braid strands could also lead to a notion of measurement resolution.

### 11.5.3 Bott periodicity, its generalization, and dimension $D = 8$ as an inherent property of the hyper-finite $II_1$ factor

Hyper-finite  $II_1$  factor can be constructed as infinite-dimensional tensor power of the Clifford algebra  $M_2(C) = C(2)$  in dimension  $D = 2$ . More precisely, one forms the union of the Clifford algebras  $C(2n) = C(2)^{\otimes n}$  of  $2n$ -dimensional spaces by identifying the element  $x \in C(2n)$  as block diagonal elements  $diag(x, x)$  of  $C(2(n+1))$ . The union of these algebras is completed in weak operator topology and can be regarded as a Clifford algebra of real infinite-dimensional separable Hilbert space and thus as sub-algebra of  $I_\infty$ . Also generalizations obtained by replacing complex numbers by quaternions and octonions are possible.

1. The dimension 8 is an inherent property of the hyper-finite  $II_1$  factor since Bott periodicity theorem states  $C(n+8) = C_n(16)$ . In other words, the Clifford algebra  $C(n+8)$  is equivalent with the algebra of  $16 \times 16$  matrices with entries in  $C(n)$ . Or articulating it still differently:  $C(n+8)$  can be regarded as  $16 \times 16$  dimensional module with  $C(n)$  valued coefficients. Hence the elements in the union defining the canonical representation of hyper-finite  $II_1$  factor are  $16^n \times 16^n$  matrices having  $C(0)$ ,  $C(2)$ ,  $C(4)$  or  $C(6)$  valued elements.
2. The idea about a local variant of the infinite-dimensional Clifford algebra defined by power series of space-time coordinate with Taylor coefficients which are Clifford algebra elements fixes the interpretation. The representation as a linear combination of the generators of Clifford algebra of the finite-dimensional space allows quantum generalization only in the case of Minkowski spaces. However, if Clifford algebra generators are representable as gamma *matrices*, the powers of coordinate can be absorbed to the Clifford algebra and the local algebra is lost. Only if the generators are represented as quantum versions of octonions allowing no matrix representation because of their non-associativity, the local algebra makes sense. From this it is easy to deduce both quantum and classical TGD.

### 11.5.4 The interpretation of Jones inclusions in TGD framework

By the basic self-referential property of von Neumann algebras one can consider several interpretations of Jones inclusions consistent with sub-system-system relationship, and it is better to start by considering the options that one can imagine.

#### How Jones inclusions relate to the new view about sub-system?

Jones inclusion characterizes the imbedding of sub-system  $\mathcal{N}$  to  $\mathcal{M}$  and  $\mathcal{M}$  as a finite-dimensional  $\mathcal{N}$ -module is the counterpart for the tensor product in finite-dimensional context. The possibility to express  $\mathcal{M}$  as  $\mathcal{N}$  module  $\mathcal{M}/\mathcal{N}$  states fractality and can be regarded as a kind of self-referential "Brahman=Atman identity" at the level of infinite-dimensional systems.

Also the mysterious looking almost identity  $CH^2 = CH$  for the configuration space of 3-surfaces would fit nicely with the identity  $M \oplus M = M$ .  $M \otimes M \subset M$  in configuration space Clifford algebra degrees of freedom is also implied and the construction of  $\mathcal{M}$  as a union of tensor powers of  $C(2)$  suggests that  $M \otimes M$  allows  $\mathcal{M} : \mathcal{N} = 4$  inclusion to  $\mathcal{M}$ . This paradoxical result conforms with the strange self-referential property of factors of  $II_1$ .

The notion of many-sheeted space-time forces a considerable generalization of the notion of sub-system and simple tensor product description is not enough. Topological picture based on the length scale resolution suggests even the possibility of entanglement between sub-systems of un-entangled sub-systems. The possibility that hyper-finite  $II_1$ -factors describe the physics of TGD also in bosonic degrees of freedom is suggested by configuration space super-symmetry. On the other hand, bosonic degrees could naturally correspond to  $I_\infty$  factor so that hyper-finite  $II_\infty$  would be the net result.

The most general view is that Jones inclusion describes all kinds of sub-system-system inclusions. The possibility to assign conformal field theory to the inclusion gives hopes of rather detailed view about dynamics of inclusion.

1. The topological condensation of space-time sheet to a larger space-time sheet mediated by worm-hole contacts could be regarded as Jones inclusion.  $\mathcal{N}$  would correspond to the condensing space-time sheet,  $\mathcal{M}$  to the system consisting of both space-time sheets, and  $\sqrt{\mathcal{M}} : \mathcal{N}$  would characterize the number of quantum spinorial degrees of freedom associated with the interaction between space-time sheets. Note that by general results  $\mathcal{M} : \mathcal{N}$  characterizes the fractal dimension of quantum group ( $\mathcal{M} : \mathcal{N} < 4$ ) or Kac-Moody algebra ( $\mathcal{M} : \mathcal{N} = 4$ ) [98].
2. The branchings of space-time sheets (space-time surface is thus homologically like branching like of Feynman diagram) correspond naturally to n-particle vertices in TGD framework. What is nice is that vertices are nice 2-dimensional surfaces rather than surfaces having typically pinch singularities. Jones inclusion would naturally appear as inclusion of operator spaces  $\mathcal{N}_i$  (essentially Fock spaces for fermionic oscillator operators) creating states at various lines as sub-spaces  $N_i \subset M$  of operators creating states in common von Neumann factor  $\mathcal{M}$ . This would allow to construct vertices and vertices in natural manner using quantum groups or Kac-Moody algebras.

The fundamental  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{M} \otimes_{\mathcal{N}} \mathcal{M}$  inclusion suggests a concrete representation based on the identification  $N_i = M$ , where  $M$  is the universal Clifford algebra associated with incoming line and  $\mathcal{N}$  is defined by the condition that  $\mathcal{M}/\mathcal{N}$  is the quantum variant of Clifford algebra of  $H$ .  $N$ -particle vertices could be defined as traces of Connes products of the operators creating incoming and outgoing states. It will be found that this leads to a master formula for S-matrix if the generalization of the old-fashioned string model duality implying that all generalized Feynman diagrams reduce to diagrams involving only single vertex is accepted.

3. If 4-surfaces can branch as the construction of vertices requires, it is difficult to argue that 3-surfaces and partonic/stringy 2-surfaces could not do the same. As a matter fact, the master formula for S-matrix to be discussed later explains the branching of 4-surfaces as an apparent affect. Despite this one can consider the possibility that this kind of joins are possible so that a new kind of mechanism of topological condensation would become possible. 3-space-sheets and partonic 2-surfaces whose p-adic fractality is characterized by different p-adic primes could be connected by "joins" representing branchings of 2-surfaces. The structures formed by soap film foam provide a very concrete illustration about what would happen. In the TGD based model

of hadrons [23] it has been assumed that join along boundaries bonds (JABs) connect quark space-time space-time sheets to the hadronic space-time sheet. The problem is that, at least for identical primes, the formation of join along boundaries bond fuses two systems to single bound state. If JABs are replaced joins, this objection is circumvented.

4. The space-time correlate for the formation of bound states is the formation of JABs. Standard intuition tells that the number of degrees of freedom associated with the bound state is smaller than the number of degrees of freedom associated with the pair of free systems. Hence the inclusion of the bound state to the tensor product could be regarded as Jones inclusion. On the other hand, one could argue that the JABs carry additional vibrational degrees of freedom so that the idea about reduction of degrees of freedom might be wrong: free system could be regarded as sub-system of bound state by Jones inclusion. The self-referential holographic properties of von Neumann algebras allow both interpretations: any system can be regarded as sub-system of any system in accordance with the bootstrap idea.
5. Maximal deterministic regions inside given space-time sheet bounded by light-like causal determinants define also sub-systems in a natural manner and also their inclusions would naturally correspond to Jones inclusions.
6. The TGD inspired model for topological quantum computation involves the magnetic flux tubes defined by join along boundaries bonds connecting space-time sheets having light-like boundaries. These tubes condensed to background 3-space can become linked and knotted and code for quantum computations in this manner. In this case the addition of new strand to the system corresponds to Jones inclusion in the hierarchy associated with inclusion  $\mathcal{N} \subset \mathcal{M}$ . The anyon states associated with strands would be represented by a finite tensor product of quantum spinors assignable to  $\mathcal{M}/\mathcal{N}$  and representing quantum counterpart of  $H$ -spinors.

One can regard  $\mathcal{M} : \mathcal{N}$  degrees of freedom correspond to quantum group or Kac-Moody degrees of freedom. Quantum group degrees of freedom relate closely to the conformal and topological degrees of freedom as the connection of II<sub>1</sub> factors with topological quantum field theories and braid matrices suggests itself. For the canonical inclusion this factorization would correspond to factorization of quantum  $H$ -spinor from configuration space spinor.

A more detailed study of canonical inclusions to be carried out later demonstrates what this factorization corresponds at the space-time level to a formation of space-time sheets which can be regarded as multiple coverings of  $M^4$  and  $CP_2$  with invariance group  $G = G_a \times G_b \subset SL(2, C) \times SU(2)$ ,  $SU(2) \subset SU(3)$ . The unexpected outcome is that Planck constants assignable to  $M^4$  and  $CP_2$  degrees of freedom depend on the canonical inclusions. The existence of macroscopic quantum phases with arbitrarily large Planck constants is predicted.

It would seem possible to assign the  $\mathcal{M} : \mathcal{N}$  degrees quantum spinorial degrees of freedom to the interface between subsystems represented by  $\mathcal{N}$  and  $\mathcal{M}$ . The interface could correspond to the worm-hole contacts, joins, JABs, or light-like causal determinants serving as boundary between maximal deterministic regions, etc... In terms of the bipartite diagrams representing the inclusions, joins (say) would correspond to the edges connecting white vertices representing sub-system (the entire system without the joins) to black vertices (entire system).

### About the interpretation of $\mathcal{M} : \mathcal{N}$ degrees of freedom

The Clifford algebra  $\mathcal{N}$  associated with a system formed by two space-time sheet can be regarded as  $1 \leq \mathcal{M} : \mathcal{N} \leq 4$ -dimensional module having  $\mathcal{N}$  as its coefficients. It is possible to imagine several interpretations the degrees of freedom labeled by  $\beta$ .

1. The  $\beta = \mathcal{M} : \mathcal{N}$  degrees of freedom could relate to the interaction of the space-time sheets. Beraha numbers appear in the construction of S-matrices of topological quantum field theories and an interpretation in terms of braids is possible. This would suggest that the interaction between space-time sheets can be described in terms of conformal quantum field theory and the S-matrices associated with braids describe this interaction. Jones inclusions would characterize the effective number of active conformal degrees of freedom. At  $n = 3$  limit these degrees of freedom disappear completely since the conformal field theory defined by the Chern-Simons action describing this interaction would become trivial ( $c = 0$  as will be found).

2. The interpretation in terms of imbedding space Clifford algebra would suggest that  $\beta$ -dimensional Clifford algebra of  $\sqrt{\beta}$ -dimensional spinor space is in question. For  $\beta = 4$  the algebra would be the Clifford algebra of 2-dimensional space.  $\mathcal{M}/\mathcal{N}$  would have interpretation as complex quantum spinors with components satisfying  $z_1 z_2 = q z_2 z_1$  and its conjugate and having fractal complex dimension  $\sqrt{\beta}$ . This would conform with the effective 2-dimensionality of TGD. For  $\beta < 4$  the fractal dimension of partonic quantum spinors defining the basic conformal fields would be reduced and become  $d = 1$  for  $n = 3$ : the interpretation is in terms of strong correlations caused by the non-commutativity of the components of quantum spinor. For number theoretical generalizations of infinite-dimensional Clifford algebras  $Cl(C)$  obtained by replacing  $C$  with Abelian complexification of quaternions or octonions one would obtain higher-dimensional spinors.

### 11.5.5 Configuration space, space-time, and imbedding space and hyper-finite type $II_1$ factors

The preceding considerations have by-passed the question about the relationship of the configuration space tangent space to its Clifford algebra. Also the relationship between space-time and imbedding space and their quantum variants could be better. In particular, one should understand how effective 2-dimensionality can be consistent with the 4-dimensionality of space-time.

#### Super-conformal symmetry and configuration space Poisson algebra as hyper-finite type $II_1$ factor

It would be highly desirable to achieve also a description of the configuration space degrees of freedom using von Neumann algebras. Super-conformal symmetry relating fermionic degrees of freedom and configuration space degrees of freedom suggests that this might be the case. Super-symplectic algebra has as its generators configuration space Hamiltonians and their super-counterparts identifiable as  $CH$  gamma matrices. Super-symmetry requires that the Clifford algebra of  $CH$  and the Hamiltonian vector fields of  $CH$  with symplectic central extension both define hyper-finite  $II_1$  factors. By super-symmetry Poisson bracket corresponds to an anti-commutator for gamma matrices. The ordinary quantized version of Poisson bracket is obtained as  $\{P_i, Q_j\} \rightarrow [P_i, Q_j] = J_{ij} Id$ . Finite trace version results by assuming that  $Id$  corresponds to the projector  $CH$  Clifford algebra having unit norm. The presence of zero modes means direct integral over these factors.

Configuration space gamma matrices anti-commuting to identity operator with unit norm corresponds to the tangent space  $T(CH)$  of  $CH$ . Thus it would not be surprising if  $T(CH)$  could be imbedded in the sigma matrix algebra as a sub-space of operators defined by the gamma matrices generating this algebra. At least for  $\beta = 4$  construction of hyper-finite  $II_1$  factor this definitely makes sense.

The dimension of the configuration space defined as the trace of the projection operator to the sub-space spanned by gamma matrices is obviously zero. Thus configuration space has in this sense the dimensionality of single space-time point. This sounds perhaps absurd but the generalization of the number concept implied by infinite primes indeed leads to the view that single space-time point is infinitely structured in the number theoretical sense although in the real sense all states of the point are equivalent. The reason is that there is infinitely many numbers expressible as ratios of infinite integers having unit real norm in the real sense but having different p-adic norms.

#### How to understand the dimensions of space-time and imbedding space?

One should be able to understand the dimensions of 3-space, space-time and imbedding space in a convincing matter in the proposed framework. There is also the question whether space-time and imbedding space emerge uniquely from the mathematics of von Neumann algebras alone.

##### 1. The dimensions of space-time and imbedding space

Two sub-sequent inclusions dual to each other define a special kind of inclusion giving rise to a quantum counterpart of  $D = 4$  naturally. This would mean that space-time is something which emerges at the level of cognitive states.

The special role of classical division algebras in the construction of quantum TGD [19],  $D = 8$  Bott periodicity generalized to quantum context, plus self-referential property of type  $II_1$  factors might explain why 8-dimensional imbedding space is the only possibility.

State space has naturally quantum dimension  $D \leq 8$  as the following simple argument shows. The space of quantum states has quark and lepton sectors which both are super-symmetric implying  $D \leq 4$  for each. Since these sectors correspond to different Hamiltonian algebras (triality one for quarks and triality zero for leptonic sector), the state space has quantum dimension  $D \leq 8$ .

### 2. How the lacking two space-time dimensions emerge?

3-surface is the basic dynamical unit in TGD framework. This seems to be in conflict with the effective 2-dimensionality [19] meaning that partonic 2-surface code for quantum states, and with the fact that hyper-finite  $II_1$  factors have intrinsic quantum dimension 2.

A possible resolution of the problem is that the foliation of 3-surface by partonic two-surfaces defines a one-dimensional direct integral of isomorphic hyper-finite type  $II_1$  factors, and the zero mode labeling the 2-surfaces in the foliation serves as the third spatial coordinate. For a given 3-surface the contribution to the configuration space metric can come only from 2-D partonic surfaces defined as intersections of 3-D light-like CDs with  $X_{\pm}^7$  [17]. Hence the direct integral should somehow relate to the classical non-determinism of Kähler action.

1. The one-parameter family of intersections of light-like CD with  $X_{\pm}^7$  inside  $X^4 \cap X_{\pm}^7$  could indeed be basically due to the classical non-determinism of Kähler action. The contribution to the metric from the normal light-like direction to  $X^3 = X^4 \cap X_{\pm}^7$  can cause the vanishing of the metric determinant  $\sqrt{g_4}$  of the space-time metric at  $X^2 \subset X^3$  under some conditions on  $X^2$ . This would mean that the space-time surface  $X^4(X^3)$  is not uniquely determined by the minimization principle defining the value of the Kähler action, and the complete dynamical specification of  $X^3$  requires the specification of partonic 2-surfaces  $X_i^2$  with  $\sqrt{g_4} = 0$ .
2. The known solutions of field equations [29] define a double foliation of the space-time surface defined by Hamilton-Jacobi coordinates consisting of complex transversal coordinate and two light-like coordinates for  $M^4$  (rather than space-time surface). Number theoretical considerations inspire the hypothesis that this foliation exists always [19]. Hence a natural hypothesis is that the allowed partonic 2-surfaces correspond to the 2-surfaces in the restriction of the double foliation of the space-time surface by partonic 2-surfaces to  $X^3$ , and are thus locally parameterized by single parameter defining the third spatial coordinate.
3. There is however also a second light-like coordinate involved and one might ask whether both light-like coordinates appear in the direct sum decomposition of  $II_1$  factors defining  $T(CH)$ . The presence of two kinds of light-like CDs would provide the lacking two space-time coordinates and quantum dimension  $D = 4$  would emerge at the limit of full non-determinism. Note that the duality of space-like partonic and light-like stringy 2-surfaces conforms with this interpretation since it corresponds to a selection of partonic/stringy 2-surface inside given 3-D CD whereas the dual pairs correspond to different CDs.
4. That the quantum dimension would be  $2D_q = \beta < 4$  above  $CP_2$  length scale conforms with the fact that non-determinism is only partial and time direction is dynamically frozen to a high degree. For vacuum extremals there is strong non-determinism but in this case there is no real dynamics. For  $CP_2$  type extremals, which are not vacuum extremals as far action and small perturbations are considered, and which correspond to  $\beta = 4$  there is a complete non-determinism in time direction since the  $M^4$  projection of the extremal is a light-like random curve and there is full 4-D dynamics. Light-likeness gives rise to conformal symmetry consistent with the emergence of Kac Moody algebra [29].

### 3. Time and cognition

In a completely deterministic physics time dimension is strictly speaking redundant since the information about physical states is coded by the initial values at 3-dimensional slice of space-time. Hence the notion of time should emerge at the level of cognitive representations possible by to the non-determinism of the classical dynamics of TGD.

Since Jones inclusion means the emergence of cognitive representation, the space-time view about physics should correspond to cognitive representations provided by Feynman diagram states with zero energy with entanglement defined by a two-sided projection of the lowest level S-matrix. These states would represent the "laws of quantum physics" cognitively. Also space-time surface serves as a classical correlate for the evolution by quantum jumps with maximal deterministic regions serving as correlates of quantum states. Thus the classical non-determinism making possible cognitive representations would bring in time. The fact that quantum dimension of space-time is smaller than  $D = 4$  would reflect the fact that the loss of determinism is not complete.

4. *Do space-time and imbedding space emerge from the theory of von Neumann algebras and number theory?*

The considerations above force to ask whether the notions of space-time and imbedding space emerge from von Neumann algebras as predictions rather than input. The fact that it seems possible to formulate the S-matrix and its generalization in terms of inherent properties of infinite-dimensional Clifford algebras suggest that this might be the case.

### Inner automorphisms as universal gauge symmetries?

The continuous outer automorphisms  $\Delta^{it}$  of HFFs of type III are not completely unique and one can worry about the interpretation of the inner automorphisms. A possible resolution of the worries is that inner automorphisms act as universal gauge symmetries containing various super-conformal symmetries as a special case. For hyper-finite factors of type  $II_1$  in the representation as an infinite tensor power of  $M_2(C)$  this would mean that the transformations non-trivial in a finite number of tensor factors only act as analogs of local gauge symmetries. In the representation as a group algebra of  $S_\infty$  all unitary transformations acting on a finite number of braid strands act as gauge transformations whereas the infinite powers  $P \times P \times \dots$ ,  $P \in S_n$ , would act as counterparts of global gauge transformations. In particular, the Galois group of the closure of rationals would act as local gauge transformations but diagonally represented finite Galois groups would act like global gauge transformations and periodicity would make possible to have finite braids as space-time correlates without a loss of information.

### Do unitary isomorphisms between tensor powers of $II_1$ define vertices?

What would be left would be the construction of unitary isomorphisms between the tensor products of the HFFs of type  $II_1 \otimes I_n = II_1$  at the partonic 2-surfaces defining the vertices. This would be the only new element added to the construction of braiding  $M$ -matrices.

As a matter fact, this element is actually not completely new since it generalizes the fusion rules of conformal field theories, about which standard example is the fusion rule  $\phi_i = c_i^{jk} \phi_j \phi_k$  for primary fields. These fusion rules would tell how a state of incoming HFF decomposes to the states of tensor product of two outgoing HFFs.

These rules indeed have interpretation in terms of Connes tensor products  $\mathcal{M} \otimes_{\mathcal{N}} \dots \otimes_{\mathcal{N}} \mathcal{M}$  for which the sub-factor  $\mathcal{N}$  takes the role of complex numbers [61] so that one has  $\mathcal{M}$  becomes  $\mathcal{N}$  bimodule and "quantum quantum states" have  $\mathcal{N}$  as coefficients instead of complex numbers. In TGD framework this has interpretation as quantum measurement resolution characterized by  $\mathcal{N}$  (the group  $G$  characterizing leaving the elements of  $\mathcal{N}$  invariant defines the measured quantum numbers).

### 11.5.6 Quaternions, octonions, and hyper-finite type $II_1$ factors

Quaternions and octonions as well as their hyper counterparts obtained by multiplying imaginary units by commuting  $\sqrt{-1}$  and forming a sub-space of complexified division algebra, are in a central role in the number theoretical vision about quantum TGD [19]. Therefore the question arises whether complexified quaternions and perhaps even octonions could be somehow inherent properties of von Neumann algebras. One can also wonder whether the quantum counterparts of quaternions and octonions could emerge naturally from von Neumann algebras. The following considerations allow to get grasp of the problem.

### Quantum quaternions and quantum octonions

Quantum quaternions have been constructed as deformation of quaternions [89]. The key observation that the Gledsch Gordan coefficients for the tensor product  $3 \otimes 3 = 5 \oplus 3 \oplus 1$  of spin 1 representation of  $SU(2)$  with itself gives the anti-commutative part of quaternionic product as spin 1 part in the decomposition whereas the commutative part giving spin 0 representation is identifiable as the scalar product of the imaginary parts. By combining spin 0 and spin 1 representations, quaternionic product can be expressed in terms of Gledsch-Gordan coefficients. By replacing GGC:s by their quantum group versions for group  $sl(2)_q$ , one obtains quantum quaternions.

There are two different proposals for the construction of quantum octonions [62, 61]. Also now the idea is to express quaternionic and octonionic multiplication in terms of Gledsch-Gordan coefficients and replace them with their quantum versions.

1. The first proposal [62] relies on the observation that for the tensor product of  $j = 3$  representations of  $SU(2)$  the Gledsch-Gordan coefficients for  $7 \otimes 7 \rightarrow 7$  in  $7 \otimes 7 = 9 \oplus 7 \oplus 5 \oplus 3 \oplus 1$  defines a product, which is equivalent with the antisymmetric part of the product of octonionic imaginary units. As a matter fact, the antisymmetry defines 7-dimensional Malcev algebra defined by the anticommutator of octonion units and satisfying b definition the identity

$$[[x, y, z], x] = [x, y, [x, z]] \quad , \quad [x, y, z] \equiv [x, [y, z]] + [y, [z, x]] + [z, [x, y]] \quad . \quad (11.5.1)$$

7-element Malcev algebra defining derivations of octonionic algebra is the only complex Malcev algebra not reducing to a Lie algebra. The  $j = 0$  part of the product corresponds also now to scalar product for imaginary units. Octonions are constructed as sums of  $j = 0$  and  $j = 3$  parts and quantum Gledsch-Gordan coefficients define the octonionic product.

2. In the second proposal [61] the quantum group associated with  $SO(8)$  is used. This representation does not allow unit but produces a quantum version of octonionic triality assigning to three octonions a real number.

### Quaternionic or octonionic quantum mechanics?

There have been numerous attempts to introduce quaternions and octonions to quantum theory. Quaternionic or octonionic quantum mechanics, which means the replacement of the complex numbers as coefficient field of Hilbert space with quaternions or octonions, is the most obvious approach (for example and references to the literature see for instance [53]).

In both cases non-commutativity poses serious interpretational problems. In the octonionic case the non-associativity causes even more serious obstacles [93, 53].

1. Assuming that an orthonormalized state basis with respect to an octonion valued inner product has been found, the multiplication of any basis with octonion spoils the orthonormality. The proposal to circumvent this difficulty discussed in [93] eliminates non-associativity by assuming that octonions multiply states one by one (rather than multiplying each other before multiplying the state). Effectively this means that octonions are replaced with  $8 \times 8$ -matrices.
2. The definition of the tensor product leads also to difficulties since associativity is lost (recall that Yang-Baxter equation codes for associativity in case of braid statistics [81, 82]).
3. The notion of hermitian conjugation is problematic and forces a selection of a preferred imaginary unit, which does not look nice. Note however that the local selection of a preferred imaginary unit is in a key role in the proposed construction of space-time surfaces as hyper-quaternionic or co-hyper-quaternionic surfaces and allows to interpret space-time surfaces either as surfaces in 8-D Minkowski space  $M^8$  of hyper-octonions or in  $M^4 \times CP_2$ . This selection turns out to have quite different interpretation in the proposed framework.

### Hyper-finite factor $II_1$ has a natural Hyper-Kähler structure

In the case of hyper-finite factors of type  $II_1$  quaternions a more natural approach is based on the generalization of the Hyper-Kähler structure rather than quaternionic quantum mechanics. The reason is that also configuration space tangent space should and is expected to have this structure [17]. The Hilbert space remains a complex Hilbert space but the quaternionic units are represented as operators in Hilbert space. The selection of the preferred unit is necessary and natural. The identity operator representing quaternionic real unit has trace equal to one, is expected to give rise to the series of quantum quaternion algebras in terms of inclusions  $\mathcal{N} \subset \mathcal{M}$  having interpretation as  $\mathcal{N}$ -modules.

The representation of the quaternion units is rather explicit in the structure of hyper-finite  $II_1$  factor. The  $\mathcal{M} : \mathcal{N} \equiv \beta = 4$  hierarchical construction can be regarded as Connes tensor product of infinite number of 4-D Clifford algebras of Euclidian plane with Euclidian signature of metric ( $diag(-1, -1)$ ). This algebra is nothing but the quaternionic algebra in the representation of quaternionic imaginary units by Pauli spin matrices multiplied by  $i$ .

The imaginary unit of the underlying complex Hilbert space must be chosen and there is whole sphere  $S^2$  of choices and in every point of configuration space the choice can be made differently. The space-time correlate for this local choice of preferred hyper-octonionic unit [19]. At the level of configuration space geometry the quaternion structure of the tangent space means the existence of Hyper-Kähler structure guaranteing that configuration space has a vanishing Einstein tensor. It it would not vanish, curvature scalar would be infinite by symmetric space property (as in case of loop spaces) and induce a divergence in the functional integral over 3-surfaces from the expansion of  $\sqrt{g}$  [17].

The quaternionic units for the  $II_1$  factor, are simply limiting case for the direct sums of  $2 \times 2$  units normalized to one. Generalizing from  $\beta = 4$  to  $\beta < 4$ , the natural expectation is that the representation of the algebra as  $\beta = \mathcal{M} : \mathcal{N}$ -dimensional  $\mathcal{N}$ -module gives rise to quantum quaternions with quaternion units defined as infinite sums of  $\sqrt{\beta} \times \sqrt{\beta}$  matrices.

At Hilbert space level one has an infinite Connes tensor product of 2-component spinor spaces on which quaternionic matrices have a natural action. The tensor product of Clifford algebras gives the algebra of  $2 \times 2$  quaternionic matrices acting on 2-component quaternionic spinors (complex 4-component spinors). Thus double inclusion could correspond to (hyper-)quaternionic structure at space-time level. Note however that the correspondence is not complete since hyper-quaternions appear at space-time level and quaternions at Hilbert space level.

### Von Neumann algebras and octonions

The octonionic generalization of the Hyper-Kähler manifold does not make sense as such since octonionic units are not representable as linear operators. The allowance of anti-linear operators inherently present in von Neumann algebras could however save the situation. Indeed, the Cayley-Dickson construction for the division algebras (for a nice explanation see [55]), which allows to extend any  $*$  algebra, and thus also any von Neumann algebra, by adding an imaginary unit it and identified as  $*$ , comes in rescue.

The basic idea of the Cayley-Dickson construction is following. The  $*$  operator, call it  $J$ , representing a conjugation defines an *anti-linear* operator in the original algebra  $A$ . One can extend  $A$  by adding this operator as a new element to the algebra. The conditions satisfied by  $J$  are

$$a(Jb) = J(a*b) \ , \ (aJ)b = (ab*)J \ , \ (Ja)(bJ^{-1}) = (ab)* \ . \quad (11.5.2)$$

In the associative case the conditions are equivalent to the first condition.

It is intuitively clear that this addition extends the hyper-Kähler structure to an octonionic structure at the level of the operator algebra. The quantum version of the octonionic algebra is fixed by the quantum quaternion algebra uniquely and is consistent with the Cayley-Dickson construction. It is not clear whether the construction is equivalent with either of the earlier proposals [62, 61]. It would however seem that the proposal is simpler.

### Physical interpretation of quantum octonion structure

Without further restrictions the extension by  $J$  would mean that vertices contain operators, which are superpositions of linear and anti-linear operators. This would give superpositions of states and



their time-reversals and mean that state could be a superposition of states with opposite values of say fermion numbers. The problem disappears if either the linear operators  $A$  or anti-linear operators  $JA$  can be used to construct physical states from vacuum. The fact, that space-time surfaces are either hyper-quaternionic or co-hyper-quaternionic, is a space-time correlate for this restriction.

The  $HQ - coHQ$  duality discussed in [19] states that the descriptions based on hyper-quaternionic and co-hyper-quaternionic surfaces are dual to each other. The duality can have two meanings.

1. The vacuum is invariant under  $J$  so that one can use either complexified quaternionic operators  $A$  or their co-counterparts of form  $JA$  to create physical states from vacuum.
2. The vacuum is not invariant under  $J$ . This could relate to the breaking of  $CP$  and  $T$  invariance known to occur in meson-antimeson systems. In TGD framework two kinds of vacua are predicted corresponding intuitively to vacua in which either the product of all positive or negative energy fermionic oscillator operators defines the vacuum state, and these two vacua could correspond to a vacuum and its  $J$  conjugate, and thus to positive and negative energy states. In this case the two state spaces would not be equivalent although the physics associated with them would be equivalent.

The considerations of [19] related to the detailed dynamics of  $HQ - coHQ$  duality demonstrate that the variational principles defining the dynamics of hyper-quaternionic and co-hyper-quaternionic space-time surfaces are antagonistic and correspond to world as seen by a conscientious book-keeper on one hand and an imaginative artist on the other hand.  $HQ$  case is conservative: differences measured by the magnitude of Kähler action tend to be minimized, the dynamics is highly predictive, and minimizes the classical energy of the initial state.  $coHQ$  case is radical: differences are maximized (this is what the construction of sensory representations would require). The interpretation proposed in [19] was that the two space-time dynamics are just different predictions for what would happen (has happened) if no quantum jumps would occur (had occurred). A stronger assumption is that these two views are associated with systems related by time reversal symmetry.

What comes in mind first is that this antagonism follows from the assumption that these dynamics are actually time-reversals of each other with respect to  $M^4$  time (the rapid elimination of differences in the first dynamics would correspond to their rapid enhancement in the second dynamics). This is not the case so that  $T$  and  $CP$  symmetries are predicted to be broken in accordance with the  $CP$  breaking in meson-antimeson systems [26] and cosmological matter-antimatter asymmetry [29].

### 11.5.7 Does the hierarchy of infinite primes relate to the hierarchy of $II_1$ factors?

The hierarchy of Feynman diagrams accompanying the hierarchy defined by Jones inclusions  $\mathcal{M}_0 \subset \mathcal{M}_1 \subset \dots$  gives a concrete representation for the hierarchy of cognitive dynamics providing a representation for the material world at the lowest level of the hierarchy. This hierarchy seems to relate directly to the hierarchy of space-time sheets.

Also the construction of infinite primes [16] leads to an infinite hierarchy. Infinite primes at the lowest level correspond to polynomials of single variable  $x_1$  with rational coefficients, next level to polynomials  $x_1$  for which coefficients are rational functions of variable  $x_2$ , etc... so that a natural ordering of the variables is involved.

If the variables  $x_i$  are hyper-octonions (sub-space of complexified octonions for which elements are of form  $x + \sqrt{-1}y$ , where  $x$  is real number and  $y$  imaginary octonion and  $\sqrt{-1}$  is commuting imaginary unit, this hierarchy of states could provide a realistic representation of physical states as far as quantum numbers related to imbedding space degrees of freedom are considered in  $M^8$  picture dual to  $M^4 \times CP_2$  picture [19]. Infinite primes are mapped to space-time surfaces in a manner analogous to the mapping of polynomials to the loci of their zeros so that infinite primes, integers, and rationals become concrete geometrical objects.

Infinite primes are also obtained by a repeated second quantization of a super-symmetric arithmetic quantum field theory. Infinite rational numbers correspond in this description to pairs of positive energy and negative energy states of opposite energies having interpretation as pairs of initial and final states so that higher level states indeed represent transitions between the states. For these reasons this hierarchy has been interpreted as a correlate for a cognitive hierarchy coding information about quantum dynamics at lower levels. This hierarchy has also been assigned with the hierarchy of

space-time sheets. Just as the hierarchy of generalized Feynman diagrams provides self representations of the lowest matter level and is coded by it, finite primes code the hierarchy of infinite primes.

Infinite primes, integers, and rationals have finite p-adic norms equal to 1, and one can wonder whether a Hilbert space like structure with dimension given by an infinite prime or integer makes sense, and whether it has anything to do with the Hilbert space for which dimension is infinite in the sense of the limiting value for a dimension of sub-space. The Hilbert spaces with dimension equal to infinite prime would define primes for the tensor product of these spaces. The dimension of this kind of space defined as any p-adic norm would be equal to one.

One cannot exclude the possibility that infinite primes could express the infinite dimensions of hyper-finite  $III_1$  factors, which cannot be excluded and correspond to that part of quantum TGD which relates to the imbedding space rather than space-time surface. Indeed, infinite primes code naturally for the quantum numbers associated with the imbedding space. Secondly, the appearance of 7-D light-like causal determinants  $X_{\pm}^7 = M_{\pm}^4 \times CP_2$  forming nested structures in the construction of S-matrix brings in mind similar nested structures of algebraic quantum field theory [95]. If this is were the case, the hierarchy of Beraha numbers possibly associated with the phase resolution could correspond to hyper-finite factors of type  $II_1$ , and the decomposition of space-time surface to regions labeled by p-adic primes and characterized by infinite primes could correspond to hyper-finite factors of type  $III_1$  and represent imbedding space degrees of freedom.

The state space would in this picture correspond to the tensor products of hyper-finite factors of type  $II_1$  and  $III_1$  (of course, also factors  $I_n$  and  $I_{\infty}$  are also possible).  $III_1$  factors could be assigned to the sub-configuration spaces defined by 3-surfaces in regions of  $M^4$  expressible in terms of unions and intersections of  $X_{\pm}^7 = M_{\pm}^4 \times CP_2$ . By conservation of four-momentum, bounded regions of this kind are possible only for the states of zero net energy appearing at the higher levels of hierarchy. These sub-configuration spaces would be characterized by the positions of the tips of light cones  $M_{\pm}^4 \subset M^4$  involved. This indeed brings in continuous spectrum of four-momenta forcing to introduce non-separable Hilbert spaces for momentum eigen states and necessitating  $III_1$  factors. Infinities would be avoided since the dynamics proper would occur at the level of space-time surfaces and involve only  $II_1$  factors.

## 11.6 Could HFFs of type $III$ have application in TGD framework?

One can imagine several manners for how HFFs of type  $III$  could emerge in TGD although the proposed view about  $M$ -matrix in zero energy ontology suggests that HFFs of type  $III_1$  should be only an auxiliary tool at best. Same is suggested with interpretational problems associated with them. Both TGD inspired quantum measurement theory, the idea about a variant of HFF of type  $II_1$  analogous to a local gauge algebra, and some other arguments, suggest that HFFs of type  $III$  could be seen as a useful idealization allowing to make non-trivial conjectures both about quantum TGD and about HFFs of type  $III$ . Quantum fields would correspond to HFFs of type  $III$  and  $II_{\infty}$  whereas physical states ( $M$ -matrix) would correspond to HFF of type  $II_1$ . I have summarized first the problems of  $III_1$  factors so that reader can decide whether the further reading is worth of it.

### 11.6.1 Problems associated with the physical interpretation of $III_1$ factors

Algebraic quantum field theory approach [97, 95] has led to a considerable understanding of relativistic quantum field theories in terms of hyper-finite  $III_1$  factors. There are however several reasons to suspect that the resulting picture is in conflict with physical intuition. Also the infinities of non-trivial relativistic QFTs suggest that something goes wrong.

#### Are the infinities of quantum field theories due the wrong type of von Neumann algebra?

The infinities of quantum field theories involve basically infinite traces and it is now known that the algebras of observables for relativistic quantum field theories for bounded regions of Minkowski space correspond to hyper-finite  $III_1$  algebras, for which non-trivial traces are always infinite. This might be the basic cause of the divergence problems of relativistic quantum field theory.

On basis of this observations there is some temptation to think that the finite traces of hyper-finite  $II_1$  algebras might provide a resolution to the problems but not necessarily in QFT context. One can play with the thought that the subtraction of infinities might be actually a process in which  $III_1$  algebra is transformed to  $II_1$  algebra. A more plausible idea suggested by dimensional regularization is that the elimination of infinities actually gives rise to  $II_1$  inclusion at the limit  $\mathcal{M} : \mathcal{N} \rightarrow 4$ . It is indeed known that the dimensional regularization procedure of quantum field theories can be formulated in terms of bi-algebras assignable to Feynman diagrams and [71, 70] and the emergence of bi-algebras suggests that a connection with  $II_1$  factors and critical role of dimension  $D = 4$  might exist.

### Continuum of inequivalent representations of commutation relations

There is also a second difficulty related to type III algebras. There is a continuum of inequivalent representations for canonical commutation relations [67]. In thermodynamics this is blessing since temperature parameterizes these representations. In quantum field theory context situation is however different and this problem has been usually put under the rug.

### Entanglement and von Neumann algebras

In quantum field theories where 4-D regions of space-time are assigned to observables. In this case hyper-finite type  $III_1$  von Neumann factors appear. Also now inclusions make sense and has been studied in fact, the parameters characterizing Jones inclusions appear also now and this due to the very general properties of the inclusions.

The algebras of type  $III_1$  have rather counter-intuitive properties from the point of view of entanglement. For instance, product states between systems having space-like separation are not possible at all so that one can speak of intrinsic entanglement [36]. What looks worse is that the decomposition of entangled state to product states is highly non-unique.

Mimicking the steps of von Neumann one could ask what the notion of observables could mean in TGD framework. Effective 2-dimensionality states that quantum states can be constructed using the data given at partonic or stringy 2-surfaces. This data includes also information about normal derivatives so that 3-dimensionality actually lurks in. In any case this would mean that observables are assignable to 2-D surfaces. This would suggest that hyper-finite  $II_1$  factors appear in quantum TGD at least as the contribution of single space-time surface to S-matrix is considered. The contributions for configuration space degrees of freedom meaning functional (not path-) integral over 3-surfaces could of course change the situation.

Also in case of  $II_1$  factors, entanglement shows completely new features which need not however be in conflict with TGD inspired view about entanglement. The eigen values of density matrices are infinitely degenerate and quantum measurement can remove this degeneracy only partially. TGD inspired theory of consciousness has led to the identification of rational (more generally algebraic entanglement) as bound state entanglement stable in state function reduction. When an infinite number of states are entangled, the entanglement would correspond to rational (algebraic number) valued traces for the projections to the eigen states of the density matrix. The symplectic transformations of  $CP_2$  are almost  $U(1)$  gauge symmetries broken only by classical gravitation. They imply a gigantic spin glass degeneracy which could be behind the infinite degeneracies of eigen states of density matrices in case of  $II_1$  factors.

## 11.6.2 Quantum measurement theory and HFFs of type III

The attempt to interpret the HFFs of type III in terms of quantum measurement theory based on Jones inclusions leads to highly non-trivial conjectures about these factors.

### Could the scalings of trace relate to quantum measurements?

What should be understood is the physical meaning of the automorphism inducing the scaling of trace. In the representation based of factors based on infinite tensor powers the action of  $g$  should transform single  $n \times n$  matrix factor with density matrix  $Id/n$  to a density matrix  $e_{11}$  of a pure state.

Obviously the number of degrees of freedom is affected and this can be interpreted in terms of appearance or disappearance of correlations. Quantization and emergence of non-commutativity indeed

implies the emergence of correlations and effective reduction of degrees of freedom. In particular, the fundamental quantum Clifford algebra has reduced dimension  $\mathcal{M} : \mathcal{N} = r \leq 4$  instead of  $r = 4$  since the replacement of complex valued matrix elements with  $\mathcal{N}$  valued ones implies non-commutativity and correlations.

The transformation would be induced by the shift of finite-dimensional state to right or left so that the number of matrix factors overlapping with  $I_\infty$  part increases or is reduced. Could it have interpretation in terms of quantum measurement for a quantum Clifford factor? Could quantum measurement for  $\mathcal{M}/\mathcal{N}$  degrees of freedom reducing the state in these degrees of freedom to a pure state be interpreted as a transformation of single finite-dimensional matrix factor to a type I factor inducing the scaling of the trace and could the scalings associated with automorphisms of HFFs of type III also be interpreted in terms of quantum measurement?

This interpretation does not as such say anything about HFF factors of type III since only a decomposition of  $III_1$  factor to  $I_2^k$  factor and  $II_1$  factor with a reduced trace of projector to the latter. However, one can ask whether the scaling of trace for HFFs of type III could correspond to a situation in which infinite number of finite-dimensional factors have been quantum measured. This would correspond to the inclusion  $\mathcal{N} \subset \mathcal{M}_\infty = \cup_n \mathcal{M}_n$  where  $\mathcal{N} \subset \mathcal{M} \subset \dots \mathcal{M}_n \dots$  defines the canonical inclusion sequence. Physicist can of course ask whether the presence of infinite number of  $I_2$ -, or more generally,  $I_n$ -factors is at all relevant to quantum measurement and it has already become clear that situation at the level of  $M$ -matrix reduces to  $I_n$ .

### Could the theory of HFFs of type III relate to the theory of Jones inclusions?

The idea about a connection of HFFs of type III and quantum measurement theory seems to be consistent with the basic facts about inclusions and HFFs of type  $III_1$ .

1. Quantum measurement would scale the trace by a factor  $2^k/\sqrt{\mathcal{M} : \mathcal{N}}$  since the trace would become a product for the trace of the projector to the newly born  $M(2, C)^{\otimes k}$  factor and the trace for the projection to  $\mathcal{N}$  given by  $1/\sqrt{\mathcal{M} : \mathcal{N}}$ . The continuous range of values  $\mathcal{M} : \mathcal{N} \geq 4$  gives good hopes that all values of  $\lambda$  are realized. The prediction would be that  $2^k \sqrt{\mathcal{M} : \mathcal{N}} \geq 1$  holds always true.
2. The values  $\mathcal{M} : \mathcal{N} \in \{r_n = 4\cos^2(\pi/n)\}$  for which the single  $M(2, C)$  factor emerges in state function reduction would define preferred values of the inverse of  $\lambda = \sqrt{\mathcal{M} : \mathcal{N}/4}$  parameterizing factors  $III_\lambda$ . These preferred values vary in the range  $[1/2, 1]$ .
3.  $\lambda = 1$  at the end of continuum would correspond to HFF  $III_1$  and to Jones inclusions defined by infinite cyclic subgroups dense in  $U(1) \subset SU(2)$  and this group combined with reflection. These groups correspond to the Dynkin diagrams  $A_\infty$  and  $D_\infty$ . Also the classical values of  $\mathcal{M} : \mathcal{N} = n^2$  characterizing the dimension of the quantum Clifford  $\mathcal{M} : \mathcal{N}$  are possible. In this case the scaling of trace would be trivial since the factor  $n$  to the trace would be compensated by the factor  $1/n$  due to the disappearance of  $\mathcal{M}/\mathcal{N}$  factor  $III_1$  factor.
4. Inclusions with  $\mathcal{M} : \mathcal{N} = \infty$  are also possible and they would correspond to  $\lambda = 0$  so that also  $III_0$  factor would also have a natural identification in this framework. These factors correspond to ergodic systems and one might perhaps argue that quantum measurement in this case would give infinite amount of information.
5. This picture makes sense also physically. p-Adic thermodynamics for the representations of super-conformal algebra could be formulated in terms of factors of type  $I_\infty$  and in excellent approximation using factors  $I_n$ . The generation of arbitrary number of type  $II_1$  factors in quantum measurement allow this possibility.

### The end points of spectrum of preferred values of $\lambda$ are physically special

The fact that the end points of the spectrum of preferred values of  $\lambda$  are physically special, supports the hopes that this picture might have something to do with reality.

1. The Jones inclusion with  $q = \exp(i\pi/n)$ ,  $n = 3$  (with principal diagram reducing to a Dynkin diagram of group  $SU(3)$ ) corresponds to  $\lambda = 1/2$ , which corresponds to HFF  $III_1$  differing in

essential manner from factors  $III_\lambda$ ,  $\lambda < 1$ . On the other hand,  $SU(3)$  corresponds to color group which appears as an isometry group and important subgroup of automorphisms of octonions thus differs physically from the ADE gauge groups predicted to be realized dynamically by the TGD based view about McKay correspondence [50].

2. For  $r = 4$   $SU(2)$  inclusion parameterized by extended ADE diagrams  $M(2, C)^{\otimes 2}$  would be created in the state function reduction and also this would give  $\lambda = 1/2$  and scaling by a factor of 2. Hence the end points of the range of discrete spectrum would correspond to the same scaling factor and same HFF of type III.  $SU(2)$  could be interpreted either as electro-weak gauge group, group of rotations of the geodesic sphere of  $\delta M_\pm^4$ , or a subgroup of  $SU(3)$ . In TGD interpretation for McKay correspondence a phase transition replacing gauge symmetry with Kac-Moody symmetry.
3. The scalings of trace by factor 2 seem to be preferred physically which should be contrasted with the fact that primes near prime powers of 2 and with the fact that quantum phases  $q = \exp(i\pi/n)$  with  $n$  equal to Fermat integer proportional to power of 2 and product of the Fermat primes (the known ones are 5, 17, 257, and  $2^{16} + 1$ ) are in a special role in TGD Universe.

### 11.6.3 What could one say about $II_1$ automorphism associated with the $II_\infty$ automorphism defining factor of type III?

An interesting question relates to the interpretation of the automorphisms of  $II_\infty$  factor inducing the scaling of trace.

1. If the automorphism for Jones inclusion involves the generator of cyclic automorphism sub-group  $Z_n$  of  $II_1$  factor then it would seem that for other values of  $\lambda$  this group cannot be cyclic.  $SU(2)$  has discrete subgroups generated by arbitrary phase  $q$  and these are dense in  $U(1) \subset SU(2)$  sub-group. If the interpretation in terms of Jones inclusion makes sense then the identification  $\lambda = \sqrt{\mathcal{M} : \mathcal{N}}/2^k$  makes sense.
2. If HFF of type  $II_1$  is realized as group algebra of infinite symmetric group [50], the outer automorphism induced by the diagonally imbedded finite Galois groups can induce only integer values of  $n$  and  $Z_n$  would correspond to cyclic subgroups. This interpretation conforms with the fact that the automorphisms in the completion of inner automorphisms of HFF of type  $II_1$  induce trivial scalings. Therefore only automorphisms which do not belong to this completion can define HFFs of type III.

### 11.6.4 What could be the physical interpretation of two kinds of invariants associated with HFFs type III?

TGD predicts two kinds of counterparts for  $S$ -matrix:  $M$ -matrix and  $U$ -matrix. Both are expected to be more or less universal.

There are also *two* kinds of invariants and automorphisms associated with HFFs of type III.

1. The first invariant corresponds to the scaling  $\lambda \in ]0, 1[$  of the trace associated with the automorphism of factor of  $II_\infty$ . Also the end points of the interval make sense. The inverse of this scaling accompanies the inverse of this automorphism.
2. Second invariant corresponds to the time scales  $t = T_0$  for which the outer automorphism  $\sigma_t$  reduces to inner automorphism. It turns out that  $T_0$  and  $\lambda$  are related by the formula  $\lambda^{iT_0} = 1$ , which gives the allowed values of  $T_0$  as  $T_0 = n2\pi/\log(\lambda)$  [68]. This formula can be understood intuitively by realizing that  $\lambda$  corresponds to the eigenvalue of the density matrix  $\Delta = e^H$  in the simplest possible realization of the state  $\phi$ .

The presence of two automorphisms and invariants brings in mind  $U$  matrix characterizing the unitary process occurring in quantum jump and  $M$ -matrix characterizing time like entanglement.

1. If one accepts the vision based on quantum measurement theory then  $\lambda$  corresponds to the scaling of the trace resulting when quantum Clifford algebra  $\mathcal{M}/\mathcal{N}$  reduces to a tensor power of  $M(2, C)$  factor in the state function reduction. The proposed interpretation for  $U$  process would be as the inverse of state function reduction transforming this factor back to  $\mathcal{M}/\mathcal{N}$ . Thus  $U$  process and state function reduction would correspond naturally to the scaling and its inverse. This picture might apply not only in single particle case but also for zero energy states which can be seen as states associated the a tensor power of HFFs of type  $II_1$  associated with partons.
2. The implication is that  $U$  process can occur only in the direction in which trace is reduced. This would suggest that the full  $III_1$  factor is not a physical notion and that one must restrict the group  $Z$  in the crossed product  $Z \times_{cr} II_\infty$  to the group  $N$  of non-negative integers. In this kind of situation the trace is well defined since the traces for the terms in the crossed product comes as powers  $\lambda^{-n}$  so that the net result is finite. This would mean a reduction to  $II_\infty$  factor.
3. Since time  $t$  is a natural parameter in elementary particle physics experiment, one could argue that  $\sigma_t$  could define naturally  $M$ -matrix. Time parameter would most naturally correspond to a parameter of scaling affecting all  $M_\pm^4$  coordinates rather than linear time. This conforms also with the fundamental role of conformal transformations and scalings in TGD framework.

The identification of the full  $M$ -matrix in terms of  $\sigma$  does not seem to make sense generally. It would however make sense for incoming and outgoing number theoretic braids so that  $\sigma$  could define universal braiding  $M$ -matrices. Inner automorphisms would bring in the dependence on experimental situation. The reduction of the braiding matrix to an inner automorphism for critical values of  $t$  which could be interpreted in terms of scaling by power of  $p$ . This trivialization would be a counterpart for the elimination of propagator legs from  $M$ -matrix element. Vertex itself could be interpreted as unitary isomorphism between tensor product of incoming and outgoing HFFs of type  $II_1$  would code all what is relevant about the particle reaction.

### 11.6.5 Does the time parameter $t$ represent time translation or scaling?

The connection  $T_n = n2\pi/\log(\lambda)$  would give a relationship between the scaling of trace and value of time parameter for which the outer automorphism represented by  $\sigma$  reduces to inner automorphism. It must be emphasized that the time parameter  $t$  appearing in  $\sigma$  need not have anything to do with time translation. The alternative interpretation is in terms of  $M_\pm^4$  scaling (implying also time scaling) but one cannot exclude even preferred Lorentz boosts in the direction of quantization axis of angular momentum.

#### Could the time parameter correspond to scaling?

The central role of conformal invariance in quantum TGD suggests that  $t$  parameterizes scaling rather than translation. In this case scalings would correspond to powers of  $(K\lambda)^n$ . The numerical factor  $K$  which cannot be excluded a priori, seems to reduce to  $K = 1$ .

1. The scalings by powers of  $p$  have a simple realization in terms of the representation of HFF of type  $II_\infty$  as infinite tensor power of  $M(p, C)$  with suitably chosen densities matrices in factors to get product of  $I_\infty$  and  $II_1$  factor. These matrix algebras have the remarkable property of defining prime tensor power factors of finite matrix algebras. Thus p-adic fractality would reflect directly basic properties of matrix algebras as suggested already earlier. That scalings by powers of  $p$  would correspond to automorphism reducing to inner automorphisms would conform with p-adic fractality.
2. Also scalings by powers  $[\sqrt{\mathcal{M} : \mathcal{N}/2^k}]^n$  would be physically preferred if one takes previous arguments about Jones inclusions seriously and if also in this case scalings are involved. For  $q = \exp(i\pi/n)$ ,  $n = 5$  the minimal value of  $n$  allowing universal topological quantum computation would correspond to a scaling by Golden Mean and these fractal scalings indeed play a key role in living matter. In particular, Golden Mean makes it visible in the geometry of DNA.

### Could the time parameter correspond to time translation?

One can consider also the interpretation of  $\sigma_t$  as time translation. TGD predicts a hierarchy of Planck constants parameterized by rational numbers such that integer multiples are favored. In particular, integers defining ruler and compass polygons are predicted to be in a very special role physically. Since the geometric time span associated with zero energy state should scale as Planck constant one expects that preferred values of time  $t$  associated with  $\sigma$  are quantized as rational multiples of some fundamental time scales, say the basic time scale defined by  $CP_2$  length or p-adic time scales.

1. For  $\lambda = 1/p$ ,  $p$  prime, the time scale would be  $T_n = nT_1$ ,  $T_1 = T_0 = 2\pi/\log(p)$  which is not what p-adic length scale hypothesis would suggest.
2. For Jones inclusions one would have  $T_n/T_0 = n2\pi/\log(2^{2k}/\mathcal{M} : \mathcal{N})$ . In the limit when  $\lambda$  becomes very small (the number  $k$  of reduced  $M(2, C)$  factors is large one obtains  $T_n = (n/k)t_1$ ,  $T_1 = T_0\pi/\log(2)$ . Approximate rational multiples of the basic length scale would be obtained as also predicted by the general quantization of Planck constant.

### p-Adic thermodynamics from first principles

Quantum field theory at non-zero temperature can be formulated in the functional integral formalism by replacing the time parameter associated with the unitary time evolution operator  $U(t)$  with a complexified time containing as imaginary part the inverse of the temperature:  $t \rightarrow t + i\hbar/T$ . In the framework of standard quantum field theory this is a mere computational trick but the time parameter associated with the automorphisms  $\sigma_t$  of HFF of type *III* is a temperature like parameter from the beginning, and its complexification would naturally lead to the analog of thermal QFT.

Thus thermal equilibrium state would be a genuine quantum state rather than fictive but useful auxiliary notion. Thermal equilibrium is defined separately for each incoming parton braid and perhaps even braid (partons can have arbitrarily large size). At elementary particle level p-adic thermodynamics could be in question so that particle massivation would have first principle description. p-Adic thermodynamics is under relatively mild conditions equivalent with its real counterpart obtained by the replacement of  $p^{L_0}$  interpreted as a p-adic number with  $p^{-L_0}$  interpreted as a real number.

### 11.6.6 Could HFFs of type *III* be associated with the dynamics in $M_{\pm}^4$ degrees of freedom?

HFFs of type *III* could be also assigned with the poorly understood dynamics in  $M_{\pm}^4$  degrees of freedom which should have a lot of to do with four-dimensional quantum field theory. Hyper-finite factors of type  $III_1$  might emerge when one extends  $II_1$  to a local algebra by multiplying it with hyper-octonions replaced as analog of matrix factor and considers hyper-quaternionic subalgebra. The resulting algebra would be the analog of local gauge algebra and the elements of algebra would be analogous to conformal fields with complex argument replaced with hyper-octonionic, -quaternionic, or -complex one. Since quantum field theory in  $M^4$  gives rise to hyper-finite  $III_1$  factors one might guess that the hyper-quaternionic restriction indeed gives these factors.

The expansion of the local HFF  $II_{\infty}$  element as  $O(m) = \sum_n m^n O_n$ , where  $M^4$  coordinate  $m$  is interpreted as hyper-quaternion, could have interpretation as expansion in which  $O_n$  belongs to  $\mathcal{N}g^n$  in the crossed product  $\mathcal{N} \times_{cr} \{g^n, n \in \mathbb{Z}\}$ . The analogy with conformal fields suggests that the power  $g^n$  inducing  $\lambda^n$  fold scaling of trace increases the conformal weight by  $n$ .

One can ask whether the scaling of trace by powers of  $\lambda$  defines an inclusion hierarchy of sub-algebras of conformal sub-algebras as suggested by previous arguments. One such hierarchy would be the hierarchy of sub-algebras containing only the generators  $O_m$  with conformal weight  $m \geq n$ ,  $n \in \mathbb{Z}$ .

It has been suggested that the automorphism  $\Delta$  could correspond to scaling inside light-cone. This interpretation would fit nicely with Lorentz invariance and TGD in general. The factors  $III_{\lambda}$  with  $\lambda$  generating semi-subgroups of integers (in particular powers of primes) could be of special physical importance in TGD framework. The values of  $t$  for which automorphism reduces to inner automorphism should be of special physical importance in TGD framework. These automorphisms correspond to scalings identifiable in terms of powers of p-adic prime  $p$  so that p-adic fractality would find an explanation at the fundamental level.

If the above mentioned expansion in powers of  $m^n$  of  $M_{\pm}^4$  coordinate makes sense then the action of  $\sigma^t$  representing a scaling by  $p^n$  would leave the elements  $O$  invariant or induce a mere inner automorphism. Conformal weight  $n$  corresponds naturally to  $n$ -ary  $p$ -adic length scale by uncertainty principle in  $p$ -adic mass calculations.

The basic question is the physical interpretation of the automorphism inducing the scaling of trace by  $\lambda$  and its detailed action in HFF. This scaling could relate to a scaling in  $M^4$  and to the appearance in the trace of an integral over  $M^4$  or subspace of it defining the trace. Fractal structures suggests itself strongly here. At the level of construction of physical states one always selects some minimum non-positive conformal weight defining the tachyonic ground state and physical states have non-negative conformal weights. The interpretation would be as a reduction to HFF of type  $II_{\infty}$  or even  $II_1$ .

### 11.6.7 Could the continuation of braidings to homotopies involve $\Delta^{it}$ automorphisms

The representation of braidings as special case of homotopies might lead from discrete automorphisms for HFFs type  $II_1$  to continuous outer automorphisms for HFFs of type  $III_1$ . The question is whether the periodic automorphism of  $II_1$  represented as a discrete sub-group of  $U(1)$  would be continued to  $U(1)$  in the transition.

The automorphism of  $II_{\infty}$  HFF associated with a given value of the scaling factor  $\lambda$  is unique. If Jones inclusions defined by the preferred values of  $\lambda$  as  $\lambda = \sqrt{\mathcal{M} : \mathcal{N}}/2^k$  (see the previous considerations), then this automorphism could involve a periodic automorphism of  $II_1$  factor defined by the generator of cyclic subgroup  $Z_n$  for  $\mathcal{M} : \mathcal{N} < 4$  besides additional shift transforming  $II_1$  factor to  $I_{\infty}$  factor and inducing the scaling.

### 11.6.8 HFFs of type $III$ as super-structures providing additional uniqueness?

If the braiding  $M$ -matrices are as such highly unique. One could however consider the possibility that they are induced from the automorphisms  $\sigma_t$  for the HFFs of type  $III$  restricted to HFFs of type  $II_{\infty}$ . If a reduction to inner automorphism in HFF of type  $III$  implies same with respect to HFF of type  $II_{\infty}$  and even  $II_1$ , they could be trivial for special values of time scaling  $t$  assignable to the partons and identifiable as a power of prime  $p$  characterizing the parton. This would allow to eliminate incoming and outgoing legs. This elimination would be the counterpart of the division of propagator legs in quantum field theories. Particle masses would however play no role in this process now although the power of  $p$ -adic prime would fix the mass scale of the particle.

## 11.7 The latest vision about the role of HFFs in TGD

It is clear that at least the hyper-finite factors of type  $II_1$  assignable to WCW spinors must have a profound role in TGD. Whether also HFFs of type  $III_1$  appearing also in relativistic quantum field theories emerge when WCW spinors are replaced with spinor fields is not completely clear. I have proposed several ideas about the role of hyper-finite factors in TGD framework. In particular, Connes tensor product is an excellent candidate for defining the notion of measurement resolution.

In the following this topic is discussed from the perspective made possible by zero energy ontology and the recent advances in the understanding of  $M$ -matrix using the notion of bosonic emergence. The conclusion is that the notion of state as it appears in the theory of factors is not enough for the purposes of quantum TGD. The reason is that state in this sense is essentially the counterpart of thermodynamical state. The construction of  $M$ -matrix might be understood in the framework of factors if one replaces state with its "complex square root" natural if quantum theory is regarded as a "complex square root" of thermodynamics. It is also found that the idea that Connes tensor product could fix  $M$ -matrix is too optimistic but an elegant formulation in terms of partial trace for the notion of  $M$ -matrix modulo measurement resolution exists and Connes tensor product allows interpretation as entanglement between sub-spaces consisting of states not distinguishable in the measurement resolution used. The partial trace also gives rise to non-pure states naturally.



### 11.7.1 Basic facts about factors

In this section basic facts about factors are discussed. My hope that the discussion is more mature than or at least complementary to the summary that I could afford when I started the work with factors for more than half decade ago. I of course admit that this just a humble attempt of a physicist to express physical vision in terms of only superficially understood mathematical notions.

#### Basic notions

First some standard notations. Let  $\mathcal{B}(\mathcal{H})$  denote the algebra of linear operators of Hilbert space  $\mathcal{H}$  bounded in the norm topology with norm defined by the supremum of for the length of the image of a point of unit sphere  $\mathcal{H}$ . This algebra has a lot of common with complex numbers in that the counterparts of complex conjugation, order structure and metric structure determined by the algebraic structure exist. This means the existence involution -that is \*- algebra property. The order structure determined by algebraic structure means following:  $A \geq 0$  defined as the condition  $(A\xi, \xi) \geq 0$  is equivalent with  $A = B^*B$ . The algebra has also metric structure  $\|AB\| \leq \|A\|\|B\|$  (Banach algebra property) determined by the algebraic structure. The algebra is also  $C^*$  algebra:  $\|A^*A\| = \|A\|^2$  meaning that the norm is algebraically like that for complex numbers.

A von Neumann algebra  $\mathcal{M}$  [58] is defined as a weakly closed non-degenerate \*-subalgebra of  $\mathcal{B}(\mathcal{H})$  and has therefore all the above mentioned properties. From the point of view of physicist it is important that a sub-algebra is in question.

In order to define factors one must introduce additional structure.

1. Let  $\mathcal{M}$  be subalgebra of  $\mathcal{B}(\mathcal{H})$  and denote by  $\mathcal{M}'$  its commutant defined as the sub-algebra of  $\mathcal{B}(\mathcal{H})$  commuting with it and allowing to express  $\mathcal{B}(\mathcal{H})$  as  $\mathcal{B}(\mathcal{H}) = \mathcal{M} \vee \mathcal{M}'$ .
2. A factor is defined as a von Neumann algebra satisfying  $\mathcal{M}'' = \mathcal{M}$   $\mathcal{M}$  is called factor. The equality of double commutant with the original algebra is thus the defining condition so that also the commutant is a factor. An equivalent definition for factor is as the condition that the intersection of the algebra and its commutant reduces to a complex line spanned by a unit operator. The condition that the only operator commuting with all operators of the factor is unit operator corresponds to irreducibility in representation theory.
3. Some further basic definitions are needed.  $\Omega \in \mathcal{H}$  is cyclic if the closure of  $\mathcal{M}\Omega$  is  $\mathcal{H}$  and separating if the only element of  $\mathcal{M}$  annihilating  $\Omega$  is zero.  $\Omega$  is cyclic for  $\mathcal{M}$  if and only if it is separating for its commutant. In so called standard representation  $\Omega$  is both cyclic and separating.
4. For hyperfinite factors an inclusion hierarchy of finite-dimensional algebras whose union is dense in the factor exists. This roughly means that one can approximate the algebra in arbitrary accuracy with a finite-dimensional sub-algebra.

The definition of the factor might look somewhat artificial unless one is aware of the underlying physical motivations. The motivating question is what the decomposition of a physical system to non-interacting sub-systems could mean. The decomposition of  $\mathcal{B}(\mathcal{H})$  to  $\vee$  product realizes this decomposition.

1. Tensor product  $\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$  is the decomposition according to the standard quantum measurement theory and means the decomposition of operators in  $\mathcal{B}(\mathcal{H})$  to tensor products of mutually commuting operators in  $\mathcal{M} = \mathcal{B}(\mathcal{H}_1)$  and  $\mathcal{M}' = \mathcal{B}(\mathcal{H}_2)$ . The information about  $\mathcal{M}$  can be coded in terms of projection operators. In this case projection operators projecting to a complex ray of Hilbert space exist and arbitrary compact operator can be expressed as a sum of these projectors. For factors of type I minimal projectors exist. Factors of type  $I_n$  correspond to sub-algebras of  $\mathcal{B}(\mathcal{H})$  associated with infinite-dimensional Hilbert space and  $I_\infty$  to  $\mathcal{B}(\mathcal{H})$  itself. These factors appear in the standard quantum measurement theory where state function reduction can lead to a ray of Hilbert space.
2. For factors of type II no minimal projectors exists whereas finite projectors exist. For factors of type  $II_1$  all projectors have trace not larger than one and the trace varies in the range  $(0, 1]$ . In this case cyclic vectors  $\Omega$  exist. State function reduction can lead only to an infinite-dimensional

subspace characterized by a projector with trace smaller than 1 but larger than zero. The natural interpretation would be in terms of finite measurement resolution. The tensor product of  $II_1$  factor and  $I_\infty$  is  $II_\infty$  factor for which the trace for a projector can have arbitrarily large values.  $II_1$  factor has a unique finite tracial state and the set of traces of projections spans unit interval. There is uncountable number of factors of type II but hyper-finite factors of type  $II_1$  are the exceptional ones and physically most interesting.

3. Factors of type III correspond to an extreme situation. In this case the projection operators  $E$  spanning the factor have either infinite or vanishing trace and there exists an isometry mapping  $E\mathcal{H}$  to  $\mathcal{H}$  meaning that the projection operator spans almost all of  $\mathcal{H}$ . All projectors are also related to each other by isometry. Factors of type III are smallest if the factors are regarded as sub-algebras of a fixed  $\mathcal{B}(\mathcal{H})$  where  $\mathcal{H}$  corresponds to isomorphism class of Hilbert spaces. Situation changes when one speaks about concrete representations. Also now hyper-finite factors are exceptional.
4. Von Neumann algebras define a non-commutative measure theory. Commutative von Neumann algebras indeed reduce to  $L^\infty(X)$  for some measure space  $(X, \mu)$  and vice versa.

### Weights, states and traces

The notions of weight, state, and trace are standard notions in the theory of von Neumann algebras.

1. A weight of von Neumann algebra is a linear map from the set of positive elements (those of form  $a^*a$ ) to non-negative reals.
2. A positive linear functional is weight with  $\omega(1)$  finite.
3. A state is a weight with  $\omega(1) = 1$ .
4. A trace is a weight with  $\omega(aa^*) = \omega(a^*a)$  for all  $a$ .
5. A tracial state is a weight with  $\omega(1) = 1$ .

A factor has a trace such that the trace of a non-zero projector is non-zero and the trace of projection is infinite only if the projection is infinite. The trace is unique up to a rescaling. For factors that are separable or finite, two projections are equivalent if and only if they have the same trace. Factors of type  $I_n$  the values of trace are equal to multiples of  $1/n$ . For a factor of type  $I_\infty$  the value of trace are  $0, 1, 2, \dots$ . For factors of type  $II_1$  the values span the range  $[0, 1]$  and for factors of type  $II_\infty$  in the range  $[0, \infty)$ . For factors of type III the values of the trace are  $0$ , and  $\infty$ .

### Tomita-Takesaki theory

Tomita-Takesaki theory is a vital part of the theory of factors. First some definitions.

1. Let  $\omega(x)$  be a faithful state of von Neumann algebra so that one has  $\omega(xx^*) > 0$  for  $x > 0$ . Assume by Riesz lemma the representation of  $\omega$  as a vacuum expectation value:  $\omega = (\cdot\Omega, \Omega)$ , where  $\Omega$  is cyclic and separating state.
2. Let

$$L^\infty(\mathcal{M}) \equiv \mathcal{M} \quad , \quad L^2(\mathcal{M}) = \mathcal{H} \quad , \quad L^1(\mathcal{M}) = \mathcal{M}_* \quad , \quad (11.7.1)$$

where  $\mathcal{M}_*$  is the pre-dual of  $\mathcal{M}$  defined by linear functionals in  $\mathcal{M}$ . One has  $\mathcal{M}_*^* = \mathcal{M}$ .

3. The conjugation  $x \rightarrow x^*$  is isometric in  $\mathcal{M}$  and defines a map  $\mathcal{M} \rightarrow L^2(\mathcal{M})$  via  $x \rightarrow x\Omega$ . The map  $S_0; x\Omega \rightarrow x^*\Omega$  is however non-isometric.

4. Denote by  $S$  the closure of the anti-linear operator  $S_0$  and by  $S = J\Delta^{1/2}$  its polar decomposition analogous that for complex number and generalizing polar decomposition of linear operators by replacing (almost) unitary operator with anti-unitary  $J$ . Therefore  $\Delta = S^*S > 0$  is positive self-adjoint and  $J$  an anti-unitary involution. The non-triviality of  $\Delta$  reflects the fact that the state is not trace so that hermitian conjugation represented by  $S$  in the state space brings in additional factor  $\Delta^{1/2}$ .
5. What  $x$  can be is puzzling to physicists. The restriction fermionic Fock space and thus to creation operators would imply that  $\Delta$  would act non-trivially only vacuum state so that  $\Delta > 0$  condition would not hold true. The resolution of puzzle is the allowance of tensor product of Fock spaces for which vacua are conjugates: only this gives cyclic and separating state. This is natural in zero energy ontology.

The basic results of Tomita-Takesaki theory are following.

1. The basic result can be summarized through the following formulas

$$\Delta^{it}M\Delta^{-it} = \mathcal{M} \quad , \quad J\mathcal{M}J = \mathcal{M}' \quad .$$

2. The latter formula implies that  $\mathcal{M}$  and  $\mathcal{M}'$  are isomorphic algebras. The first formula implies that a one parameter group of modular automorphisms characterizes partially the factor. The physical meaning of modular automorphisms is discussed in [37, 77]  $\Delta$  is Hermitian and positive definite so that the eigenvalues of  $\log(\Delta)$  are real but can be negative.  $\Delta^{it}$  is however not unitary for factors of type II and III. Physically the non-unitarity must relate to the fact that the flow is contracting so that hermiticity as a local condition is not enough to guarantee unitarity.
3.  $\omega \rightarrow \sigma_t^\omega = Ad\Delta^{it}$  defines a canonical evolution -modular automorphism- associated with  $\omega$  and depending on it. The  $\Delta$ :s associated with different  $\omega$ :s are related by a unitary inner automorphism so that their equivalence classes define an invariant of the factor.

Tomita-Takesaki theory gives rise to a non-commutative measure theory which is highly non-trivial. In particular the spectrum of  $\Delta$  can be used to classify the factors of type II and III.

### Modular automorphisms

Modular automorphisms of factors are central for their classification.

1. One can divide the automorphisms to inner and outer ones. Inner automorphisms correspond to unitary operators obtained by exponentiating Hermitian Hamiltonian belonging to the factor and connected to identity by a flow. Outer automorphisms do not allow a representation as a unitary transformations although  $\log(\Delta)$  is formally a Hermitian operator.
2. The fundamental group of the type  $II_1$  factor defined as fundamental group group of corresponding  $II_\infty$  factor characterizes partially a factor of type  $II_1$ . This group consists real numbers  $\lambda$  such that there is an automorphism scaling the trace by  $\lambda$ . Fundamental group typically contains all reals but it can be also discrete and even trivial.
3. Factors of type III allow a one-parameter group of modular automorphisms, which can be used to achieve a partial classification of these factors. These automorphisms define a flow in the center of the factor known as flow of weights. The set of parameter values  $\lambda$  for which  $\omega$  is mapped to itself and the center of the factor defined by the identity operator (projector to the factor as a sub-algebra of  $\mathcal{B}(\mathcal{H})$ ) is mapped to itself in the modular automorphism defines the Connes spectrum of the factor. For factors of type  $III_\lambda$  this set consists of powers of  $\lambda < 1$ . For factors of type  $III_0$  this set contains only identity automorphism so that there is no periodicity. For factors of type  $III_1$  Connes spectrum contains all real numbers so that the automorphisms do not affect the identity operator of the factor at all.

The modules over a factor correspond to separable Hilbert spaces that the factor acts on. These modules can be characterized by M-dimension. The idea is roughly that complex rays are replaced by the sub-spaces defined by the action of  $\mathcal{M}$  as basic units. M-dimension is not integer valued in general. The so called standard module has a cyclic separating vector and each factor has a standard representation possessing antilinear involution  $J$  such that  $\mathcal{M}' = J\mathcal{M}J$  holds true (note that  $J$  changes the order of the operators in conjugation). The inclusions of factors define modules having interpretation in terms of a finite measurement resolution defined by  $\mathcal{M}$ .

### Crossed product as a manner to construct factors of type III

By using so called crossed product [40] for a group  $G$  acting in algebra  $A$  one can obtain new von Neumann algebras. One ends up with crossed product by a two-step generalization by starting from the semidirect product  $G \triangleleft H$  for groups defined as  $(g_1, h_1)(g_2, h_2) = (g_1 h_1(g_2), h_1 h_2)$  (note that Poincare group has interpretation as a semidirect product  $M^4 \triangleleft SO(3, 1)$  of Lorentz and translation groups). At the first step one replaces the group  $H$  with its group algebra. At the second step the the group algebra is replaced with a more general algebra. What is formed is the semidirect product  $A \triangleleft G$  which is sum of algebras  $Ag$ . The product is given by  $(a_1, g_1)(a_2, g_2) = (a_1 g_1(a_2), g_1 g_2)$ . This construction works for both locally compact groups and quantum groups. A not too highly educated guess is that the construction in the case of quantum groups gives the factor  $\mathcal{M}$  as a crossed product of the included factor  $\mathcal{N}$  and quantum group defined by the factor space  $\mathcal{M}/\mathcal{N}$ .

The construction allows to express factors of type III as crossed products of factors of type  $II_\infty$  and the 1-parameter group  $G$  of modular automorphisms assignable to any vector which is cyclic for both factor and its commutant. The ergodic flow  $\theta_\lambda$  scales the trace of projector in  $II_\infty$  factor by  $\lambda > 0$ . The dual flow defined by  $G$  restricted to the center of  $II_\infty$  factor does not depend on the choice of cyclic vector.

The Connes spectrum - a closed subgroup of positive reals - is obtained as the exponent of the kernel of the dual flow defined as set of values of flow parameter  $\lambda$  for which the flow in the center is trivial. Kernel equals to  $\{0\}$  for  $III_0$ , contains numbers of form  $\log(\lambda)Z$  for factors of type  $III_\lambda$  and contains all real numbers for factors of type  $III_1$  meaning that the flow does not affect the center.

### 11.7.2 Inclusions and Connes tensor product

Inclusions  $\mathcal{N} \subset \mathcal{M}$  of von Neumann algebras have physical interpretation as a mathematical description for sub-system-system relation. For type  $I$  algebras the inclusions are trivial and tensor product description applies as such. For factors of  $II_1$  and  $III$  the inclusions are highly non-trivial. The inclusion of type  $II_1$  factors were understood by Vaughan Jones [44] and those of factors of type  $III$  by Alain Connes [69].

Formally sub-factor  $\mathcal{N}$  of  $\mathcal{M}$  is defined as a closed  $*$ -stable C-subalgebra of  $\mathcal{M}$ . Let  $\mathcal{N}$  be a sub-factor of type  $II_1$  factor  $\mathcal{M}$ . Jones index  $\mathcal{M} : \mathcal{N}$  for the inclusion  $\mathcal{N} \subset \mathcal{M}$  can be defined as  $\mathcal{M} : \mathcal{N} = \dim_{\mathcal{N}}(L^2(\mathcal{M})) = \text{Tr}_{\mathcal{N}'}(id_{L^2(\mathcal{M})})$ . One can say that the dimension of completion of  $\mathcal{M}$  as  $\mathcal{N}$  module is in question.

#### Basic findings about inclusions

What makes the inclusions non-trivial is that the position of  $\mathcal{N}$  in  $\mathcal{M}$  matters. This position is characterized in case of hyper-finite  $II_1$  factors by index  $\mathcal{M} : \mathcal{N}$  which can be said to the dimension of  $\mathcal{M}$  as  $\mathcal{N}$  module and also as the inverse of the dimension defined by the trace of the projector from  $\mathcal{M}$  to  $\mathcal{N}$ . It is important to notice that  $\mathcal{M} : \mathcal{N}$  does not characterize either  $\mathcal{M}$  or  $\mathcal{N}$ , only the imbedding.

The basic facts proved by Jones are following [44].

1. For pairs  $\mathcal{N} \subset \mathcal{M}$  with a finite principal graph the values of  $\mathcal{M} : \mathcal{N}$  are given by

$$\begin{aligned} a) \quad \mathcal{M} : \mathcal{N} &= 4\cos^2(\pi/h) \quad , \quad h \geq 3 \quad , \\ b) \quad \mathcal{M} : \mathcal{N} &\geq 4 \quad . \end{aligned} \tag{11.7.2}$$

the numbers at right hand side are known as Beraha numbers [72]. The comments below give a rough idea about what finiteness of principal graph means.

- As explained in [98], for  $\mathcal{M} : \mathcal{N} < 4$  one can assign to the inclusion Dynkin graph of ADE type Lie-algebra  $g$  with  $h$  equal to the Coxeter number  $h$  of the Lie algebra given in terms of its dimension and dimension  $r$  of Cartan algebra  $r$  as  $h = (\dim g - r)/r$ . The Lie algebras of  $SU(n)$ ,  $E_7$  and  $D_{2n+1}$  are however not allowed. For  $\mathcal{M} : \mathcal{N} = 4$  one can assign to the inclusion an extended Dynkin graph of type ADE characterizing Kac Moody algebra. Extended ADE diagrams characterize also the subgroups of  $SU(2)$  and the interpretation proposed in [45] is following. The ADE diagrams are associated with the  $n = \infty$  case having  $\mathcal{M} : \mathcal{N} \geq 4$ . There are diagrams corresponding to infinite subgroups:  $SU(2)$  itself, circle group  $U(1)$ , and infinite dihedral groups (generated by a rotation by a non-rational angle and reflection. The diagrams corresponding to finite subgroups are extension of  $A_n$  for cyclic groups, of  $D_n$  dihedral groups, and of  $E_n$  with  $n=6,7,8$  for tetrahedron, cube, dodecahedron. For  $\mathcal{M} : \mathcal{N} < 4$  ordinary Dynkin graphs of  $D_{2n}$  and  $E_6, E_8$  are allowed.

### Connes tensor product

The inclusions The basic idea of Connes tensor product is that a sub-space generated sub-factor  $\mathcal{N}$  takes the role of the complex ray of Hilbert space. The physical interpretation is in terms of finite measurement resolution: it is not possible to distinguish between states obtained by applying elements of  $\mathcal{N}$ .

Intuitively it is clear that it should be possible to decompose  $\mathcal{M}$  to a tensor product of factor space  $\mathcal{M}/\mathcal{N}$  and  $\mathcal{N}$ :

$$\mathcal{M} = \mathcal{M}/\mathcal{N} \otimes \mathcal{N} . \quad (11.7.3)$$

One could regard the factor space  $\mathcal{M}/\mathcal{N}$  as a non-commutative space in which each point corresponds to a particular representative in the equivalence class of points defined by  $\mathcal{N}$ . The connections between quantum groups and Jones inclusions suggest that this space closely relates to quantum groups. An alternative interpretation is as an ordinary linear space obtained by mapping  $\mathcal{N}$  rays to ordinary complex rays. These spaces appear in the representations of quantum groups. Similar procedure makes sense also for the Hilbert spaces in which  $\mathcal{M}$  acts.

Connes tensor product can be defined in the space  $\mathcal{M} \otimes \mathcal{M}$  as entanglement which effectively reduces to entanglement between  $\mathcal{N}$  sub-spaces. This is achieved if  $\mathcal{N}$  multiplication from right is equivalent with  $\mathcal{N}$  multiplication from left so that  $\mathcal{N}$  acts like complex numbers on states. One can imagine variants of the Connes tensor product and in TGD framework one particular variant appears naturally as will be found.

In the finite-dimensional case Connes tensor product of Hilbert spaces has a rather simple representation. If the matrix algebra  $N$  of  $n \times n$  matrices acts on  $V$  from right,  $V$  can be regarded as a space formed by  $m \times n$  matrices for some value of  $m$ . If  $N$  acts from left on  $W$ ,  $W$  can be regarded as space of  $n \times r$  matrices.

- In the first representation the Connes tensor product of spaces  $V$  and  $W$  consists of  $m \times r$  matrices and Connes tensor product is represented as the product  $VW$  of matrices as  $(VW)_{mr} e^{mr}$ . In this representation the information about  $N$  disappears completely as the interpretation in terms of measurement resolution suggests. The sum over intermediate states defined by  $N$  brings in mind path integral.
- An alternative and more physical representation is as a state

$$\sum_n V_{mn} W_{nr} e^{mn} \otimes e^{nr}$$

in the tensor product  $V \otimes W$ .

3. One can also consider two spaces  $V$  and  $W$  in which  $N$  acts from right and define Connes tensor product for  $A^\dagger \otimes_N B$  or its tensor product counterpart. This case corresponds to the modification of the Connes tensor product of positive and negative energy states. Since Hermitian conjugation is involved, matrix product does not define the Connes tensor product now. For  $m = r$  case entanglement coefficients should define a unitary matrix commuting with the action of the Hermitian matrices of  $N$  and interpretation would be in terms of symmetry. HFF property would encourage to think that this representation has an analog in the case of HFFs of type  $II_1$ .
4. Also type  $I_n$  factors are possible and for them Connes tensor product makes sense if one can assign the inclusion of finite-D matrix algebras to a measurement resolution.

### 11.7.3 Factors in quantum field theory and thermodynamics

Factors arise in thermodynamics and in quantum field theories [77, 37, 51]. There are good arguments showing that in HFFS of  $III_1$  appear are relativistic quantum field theories. In non-relativistic QFTs the factors of type I appear so that the non-compactness of Lorentz group is essential. Factors of type  $III_1$  and  $III_\lambda$  appear also in relativistic thermodynamics.

The geometric picture about factors is based on open subsets of Minkowski space. The basic intuitive view is that for two subsets of  $M^4$ , which cannot be connected by a classical signal moving with at most light velocity, the von Neumann algebras commute with each other so that  $\vee$  product should make sense.

Some basic mathematical results of algebraic quantum field theory [77] deserve to be listed since they are suggestive also from the point of view of TGD.

1. Let  $\mathcal{O}$  be a bounded region of  $R^4$  and define the region of  $M^4$  as a union  $\cup_{|x|<\epsilon}(\mathcal{O} + x)$  where  $(\mathcal{O} + x)$  is the translate of  $\mathcal{O}$  and  $|x|$  denotes Minkowski norm. Then every projection  $E \in \mathcal{M}(\mathcal{O})$  can be written as  $WW^*$  with  $W \in \mathcal{M}(\mathcal{O}_\epsilon)$  and  $W^*W = 1$ . Note that the union is not a bounded set of  $M^4$ . This almost establishes the type III property.
2. Both the complement of light-cone and double light-cone define HFF of type  $III_1$ . Lorentz boosts induce modular automorphisms.
3. The so called split property suggested by the description of two systems of this kind as a tensor product in relativistic QFTs is believed to hold true. This means that the HFFs of type  $III_1$  associated with causally disjoint regions are sub-factors of factor of type  $I_\infty$ . This means

$$\mathcal{M}_1 \subset \mathcal{B}(\mathcal{H}_1) \times 1 \quad , \quad \mathcal{M}_2 \subset 1 \otimes \mathcal{B}(\mathcal{H}_2) \quad .$$

An infinite hierarchy of inclusions of HFFS of type  $III_1$ s is induced by set theoretic inclusions.

### 11.7.4 TGD and factors

The following vision about TGD and factors relies heavily on zero energy ontology, TGD inspired quantum measurement theory, basic vision about quantum TGD, and bosonic emergence.

#### The problems

Concerning the role of factors in TGD framework there are several problems of both conceptual and technical character.

#### 1. Conceptual problems

It is safest to start from the conceptual problems and take a role of skeptic.

1. Under what conditions the assumptions of Tomita-Takesaki formula stating the existence of modular automorphism and isomorphy of the factor and its commutant hold true? What is the physical interpretation of the formula  $\mathcal{M}' = J\mathcal{M}J$  relating factor and its commutant in TGD framework?

2. Is the identification  $M = \Delta^{it}$  sensible in quantum TGD and zero energy ontology, where M-matrix is "complex square root" of exponent of Hamiltonian defining thermodynamical state and the notion of unitary time evolution is given up? The notion of state  $\omega$  leading to  $\Delta$  is essentially thermodynamical and one can wonder whether one should take also a "complex square root" of  $\omega$  to get M-matrix giving rise to a genuine quantum theory.
3. TGD based quantum measurement theory involves both quantum fluctuating degrees of freedom assignable to light-like 3-surfaces and zero modes identifiable as classical degrees of freedom assignable to interior of the space-time sheet. Zero modes have also fermionic counterparts. State preparation should generate entanglement between the quantal and classical states. What this means at the level of von Neumann algebras?
4. What is the TGD counterpart for causal disjointness. At space-time level different space-time sheets could correspond to such regions whereas at imbedding space level causally disjoint *CDs* would represent such regions.

### 2. Technical problems

There are also more technical questions.

1. What is the von Neumann algebra needed in TGD framework? Does one have a direct integral over factors (at least a direct integral over zero modes labeling factors)? Which factors appear in it? Can one construct the factor as a crossed product of some group  $G$  with a direct physical interpretation and of naturally appearing factor  $A$ ? Is  $A$  a HFF of type  $II_\infty$ ? assignable to a fixed *CD*? What is the natural Hilbert space  $\mathcal{H}$  in which  $A$  acts?
2. What are the geometric transformations inducing modular automorphisms of  $II_\infty$  inducing the scaling down of the trace? Is the action of  $G$  induced by the boosts in Lorentz group. Could also translations and scalings induce the action? What is the factor associated with the union of Poincare transforms of *CD*?  $\log(\Delta)$  is Hermitian algebraically: what does the non-unitarity of  $\exp(\log(\Delta)it)$  mean physically?
3. Could  $\Omega$  correspond to a vacuum which in conformal degrees of freedom depends on the choice of the sphere  $S^2$  defining the radial coordinate playing the role of complex variable in the case of the radial conformal algebra. Does \*-operation in  $\mathcal{M}$  correspond to Hermitian conjugation for fermionic oscillator operators and change of sign of super conformal weights?

The exponent of the modified Dirac action gives rise to the exponent of Kähler function as Dirac determinant and fermionic inner product defined by fermionic Feynman rules. It is implausible that this exponent could as such correspond to  $\omega$  or  $\Delta^{it}$  having conceptual roots in thermodynamics rather than QFT. If one assumes that the exponent of the modified Dirac action defines a "complex square root" of  $\omega$  the situation changes. This raises technical questions relating to the notion of square root of  $\omega$ .

1. Does the square root of  $\omega$  in the have a polar decomposition to a product of positive definite matrix (square root of the density matrix) and unitary matrix and does  $\omega^{1/2}$  correspond to the modulus in the decomposition? Does the square root of  $\Delta$  have similar decomposition with modulus equal equal to  $\Delta^{1/2}$  in standard picture so that modular automorphism, which is inherent property of von Neumann algebra, would not be affected?
2.  $\Delta^{it}$  or rather its generalization is defined modulo a unitary operator defined by some Hamiltonian and is therefore highly non-unique as such. This non-uniqueness applies also to  $|\Delta|$ . Could this non-uniqueness correspond to the thermodynamical degrees of freedom?

### Zero energy ontology and factors

The first question concerns the identification of the Hilbert space associated with the factors in zero energy ontology. As the positive or negative energy part of the zero energy state space or as the entire space of zero energy states? The latter option would look more natural physically and is forced by the condition that the vacuum state is cyclic and separating.

1. The commutant of HFF given as  $\mathcal{M}' = JMJ$ , where  $J$  is involution transforming fermionic oscillator operators and bosonic vector fields to their Hermitian conjugates. Also conformal weights would change sign in the map which conforms with the view that the light-like boundaries of  $CD$  are analogous to upper and lower hemispheres of  $S^2$  in conformal field theory. The presence of  $J$  representing essentially Hermitian conjugation would suggest that positive and zero energy parts of zero energy states are related by this formula so that state space decomposes to a tensor product of positive and negative energy states and  $M$ -matrix can be regarded as a map between these two sub-spaces.
2. The fact that HFF of type  $\text{II}_1$  has the algebra of fermionic oscillator operators as a canonical representation makes the situation puzzling for a novice. The assumption that the vacuum is cyclic and separating means that neither creation nor annihilation operators can annihilate it. Therefore Fermionic Fock space cannot appear as the Hilbert space in the Tomita-Takesaki theorem. The paradox is circumvented if the action of  $*$  transforms creation operators acting on the positive energy part of the state to annihilation operators acting on negative energy part of the state. If  $J$  permutes the two Fock vacuums in their tensor product, the action of  $S$  indeed maps permutes the tensor factors associated with  $\mathcal{M}$  and  $\mathcal{M}'$ .

It is far from obvious whether the identification  $M = \Delta^{it}$  makes sense in zero energy ontology.

1. In zero energy ontology  $M$ -matrix defines time-like entanglement coefficients between positive and negative energy parts of the state.  $M$ -matrix is essentially "complex square root" of the density matrix and quantum theory similar square root of thermodynamics. The notion of state as it appears in the theory of HFFS is however essentially thermodynamical. Therefore it is good to ask whether the "complex square root of state" could make sense in the theory of factors.
2. Quantum field theory suggests an obvious proposal concerning the meaning of the square root: one replaces exponent of Hamiltonian with imaginary exponential of action at  $T \rightarrow 0$  limit. In quantum TGD the exponent of modified Dirac action giving exponent of Kähler function as real exponent could be the manner to take this complex square root. Modified Dirac action can therefore be regarded as a "square root" of Kähler action.
3. The identification  $M = \Delta^{it}$  relies on the idea of unitary time evolution which is given up in zero energy ontology based on  $CD$ s? Is the reduction of the quantum dynamics to a flow a realistic idea? As will be found this automorphism could correspond to a time translation or scaling for either upper or lower light-cone defining  $CD$  and can ask whether  $\Delta^{it}$  corresponds to the exponent of scaling operator  $L_0$  defining single particle propagator as one integrates over  $t$ . Its complex square root would correspond to fermionic propagator.
4. In this framework  $J\Delta^{it}$  would map the positive energy and negative energy sectors to each other. If the positive and negative energy state spaces can identified by isometry then  $M = J\Delta^{it}$  identification can be considered but seems unrealistic.  $S = J\Delta^{1/2}$  maps positive and negative energy states to each other: could  $S$  or its generalization appear in  $M$ -matrix as a part which gives thermodynamics? The exponent of the modified Dirac action does not seem to provide thermodynamical aspect and p-adic thermodynamics suggests strongly the presence exponent of  $\exp(-L_0/T_p)$  with  $T_p$  chose in such manner that consistency with p-adic thermodynamics is obtained. Could the generalization of  $J\Delta^{n/2}$  with  $\Delta$  replaced with its "square root" give rise to p-adic thermodynamics and also ordinary thermodynamics at the level of density matrix? The minimal option would be that power of  $\Delta^{it}$  which imaginary value of  $t$  is responsible for thermodynamical degrees of freedom whereas everything else is dictated by the unitary  $S$ -matrix appearing as phase of the "square root" of  $\omega$ .

### Zero modes and factors

The presence of zero modes justifies quantum measurement theory in TGD framework and the relationship between zero modes and HFFS involves further conceptual problems.

1. The presence of zero modes means that one has a direct integral over HFFs labeled by zero modes which by definition do not contribute to the configuration space line element. The realization



of quantum criticality in terms of modified Dirac action [31] suggests that also fermionic zero mode degrees of freedom are present and correspond to conserved charges assignable to the critical deformations of the pace-time sheets. Induced Kähler form characterizes the values of zero modes for a given space-time sheet and the symplectic group of light-cone boundary characterizes the quantum fluctuating degrees of freedom. The entanglement between zero modes and quantum fluctuating degrees of freedom is essential for quantum measurement theory. One should understand this entanglement.

2. Physical intuition suggests that classical observables should correspond to longer length scale than quantal ones. Hence it would seem that the interior degrees of freedom outside  $CD$  should correspond to classical degrees of freedom correlating with quantum fluctuating degrees of freedom of  $CD$ .
3. Quantum criticality means that modified Dirac action allows an infinite number of conserved charges which correspond to deformations leaving metric invariant and therefore act on zero modes. Does this super-conformal algebra commute with the super-conformal algebra associated with quantum fluctuating degrees of freedom? Could the restriction of elements of quantum fluctuating currents to 3-D light-like 3-surfaces actually imply this commutativity. Quantum holography would suggest a duality between these algebras. Quantum measurement theory suggests even 1-1 correspondence between the elements of the two super-conformal algebras. The entanglement between classical and quantum degrees of freedom would mean that prepared quantum states are created by operators for which the operators in the two algebras are entangled in diagonal manner.
4. The notion of finite measurement resolution has become key element of quantum TGD and one should understand how finite measurement resolution is realized in terms of inclusions of hyper-finite factors for which sub-factor defines the resolution in the sense that its action creates states not distinguishable from each other in the resolution used. The notion of finite measurement resolution suggests that one should speak about entanglement between sub-factors and corresponding sub-spaces rather than between states. Connes tensor product would code for the idea that the action of sub-factors is analogous to that of complex numbers and tracing over sub-factor realizes this idea.
5. Just for fun one can ask whether the duality between zero modes and quantum fluctuating degrees of freedom representing quantum holography could correspond to  $\mathcal{M}' = J\mathcal{M}J$ ? This interpretation must be consistent with the interpretation forced by zero energy ontology. If this crazy guess is correct (very probably not!), both positive and negative energy states would be observed in quantum measurement but in totally different manner. Since this identity would simplify enormously the structure of the theory, it deserves therefore to be shown wrong.

### Crossed product construction in TGD framework

The identification of the von Neumann algebra by crossed product construction is the basic challenge. Consider first the question how HFFs of type  $II_\infty$  could emerge, how modular automorphisms act on them, and how one can understand the non-unitary character of the  $\Delta^{it}$  in an apparent conflict with the hermiticity and positivity of  $\Delta$ .

1. If the number of spinor modes is infinite, the Clifford algebra at a given point of  $WCW(CD)$  (light-like 3-surfaces with ends at the boundaries of  $CD$ ) defines HFF of type  $II_1$  or possibly a direct integral of them. For a given  $CD$  having compact isotropy group  $SO(3)$  leaving the rest frame defined by the tips of  $CD$  invariant the factor defined by Clifford algebra valued fields in  $WCW(CD)$  is most naturally HFF of type  $II_\infty$ . The Hilbert space in which this Clifford algebra acts, consists of spinor fields in  $WCW(CD)$ . Also the symplectic transformations of light-cone boundary leaving light-like 3-surfaces inside  $CD$  can be included to  $G$ . In fact all conformal algebras leaving  $CD$  invariant could be included in  $CD$ .
2. The downwards scalings of the radial coordinate  $r_M$  of the light-cone boundary applied to the basis of  $WCW(CD)$  spinor fields could induce modular automorphism. These scalings reduce the size of the portion of light-cone in which the  $WCW$  spinor fields are non-vanishing

and effectively scale down the size of  $CD$ .  $\exp(iL_0)$  as algebraic operator acts as a phase multiplication on eigen states of conformal weight and therefore as apparently unitary operator. The geometric flow however contracts the  $CD$  so that the interpretation of  $\exp(itL_0)$  as a unitary modular automorphism is not possible. The scaling down of  $CD$  reduces the value of the trace if it involves integral over the boundary of  $CD$ . A similar reduction is implied by the downward shift of the upper boundary of  $CD$  so that also time translations would induce modular automorphism. These shifts seem to be necessary to define rest energies of positive and negative energy parts of the zero energy state.

3. The non-triviality of the modular automorphisms of  $II_\infty$  factor reflects different choices of  $\omega$ . The degeneracy of  $\omega$  could be due to the non-uniqueness of conformal vacuum which is part of the definition of  $\omega$ . The radial Virasoro algebra of light-cone boundary is generated by  $L_n = L_{-n}^*$ ,  $n \neq 0$  and  $L_0 = L_0^*$  and negative and positive frequencies are in asymmetric position. The conformal gauge is fixed by the choice of  $SO(3)$  subgroup of Lorentz group defining the slicing of light-cone boundary by spheres and the tips of  $CD$  fix  $SO(3)$  uniquely. One can however consider also alternative choices of  $SO(3)$  and each corresponds to a slicing of the light-cone boundary by spheres but in general the sphere defining the intersection of the two light-cone does not belong to the slicing. Hence the action of Lorentz transformation inducing different choice of  $SO(3)$  can lead out from the preferred state space so that its representation must be non-unitary unless Virasoro generators annihilate the physical states. The non-vanishing of the conformal central charge  $c$  and vacuum weight  $h$  seems to be necessary and indeed can take place for super-symplectic algebra and Super Kac-Moody algebra since only the differences of the algebra elements are assumed to annihilate physical states.

The essential assumption in the above argument is that the number of modes  $D_K\Psi = 0$  for the induced spinor field is infinite. This assumption is highly non-trivial and need not hold true always as the detailed considerations of [18] demonstrate.

1. The Dirac determinant defining the vacuum functional is identified as the product of generalized eigenvalues of the 3-D dimensional reduction  $D_{K,3}$  of  $D_K$  to light-like 3-surfaces  $Y_l^3$ . A physical analogy for the modified Dirac equation is fermion in a magnetic field.
2. When the dimension  $D$  of the  $CP_2$  projection of the space-time sheet satisfies  $D > 2$ , the counterpart of the Schrödinger amplitude - call it  $R$ - can depend on single  $CP_2$  coordinate only. For  $D = 2$  (cosmic strings would be the basic example)  $R$  can depend on 2  $CP_2$  coordinates. In this case infinite number of modes are possible and are analogous to 2-D spherical harmonics in the cross section of the string like object. At least in the interior of cosmic strings this option seems to be realized so that in this case the Clifford algebra would be infinite-dimensional.
3. What is essential is that for string like objects the slicings by light-like 3-surfaces associated with the wormhole throats at the opposite ends of string like object can correspond to the same slicing. Hence the situation is expected to be the same for all string like objects irrespective of the value of  $D$ . The coordinate on which  $R$  depends could be analogous to cylindrical angle coordinate and one would have infinite number of rotational modes. For infinite-dimensional case zeta function regularization must be used in the definition of Dirac determinant and under rather general conditions on spectrum reduces to the analytic continuation used to define Riemann Zeta.
4. For  $D > 2$  and for objects which are not string like objects situation is different. The slicings by light-like 3-surfaces associated with different wormhole throats must be defined on finite-sized basins separated by boundaries at which the spinor modes associated with particular throat must vanish. The modes are therefore restricted to a finite region of space-time sheet with a boundary. If  $R$  is analogous to a radial mode in constant magnetic field, there is a natural cutoff in oscillator modes which are analogous harmonic oscillator wave functions and Dirac determinant is automatically finite. Thus for  $D > 2$  or at least for  $D = 4$ - a phase analogous to QFT in  $M^4$  - the number of modes would be finite meaning that the Clifford algebra is finite-dimensional and one obtains only factor of type  $I_n$ .

Modular automorphism of HFFs type  $III_1$  can be induced by several geometric transformations for HFFs of type  $III_1$  obtained using the crossed product construction from  $II_\infty$  factor by extending  $CD$  to a union of its Lorentz transforms.

1. The crossed product would correspond to an extension of  $II_\infty$  by allowing a union of some geometric transforms of  $CD$ . If one assumes that only  $CD$ s for which the distance between tips is quantized in powers of 2, then scalings of either upper or lower boundary of  $CD$  cannot correspond to these transformations. Same applies to time translations acting on either boundary but not to ordinary translations. As found, the modular automorphisms reducing the size of  $CD$  could act in HFF of type  $II_\infty$ .
2. The geometric counterparts of the modular transformations would most naturally correspond to any non-compact one parameter sub-group of Lorentz group as also QFT suggests. The Lorentz boosts would replace the radial coordinate  $r_M$  of the light-cone boundary associated with the radial Virasoro algebra with a new one so that the slicing of light-cone boundary with spheres would be affected and one could speak of a new conformal gauge. The temporal distance between tips of  $CD$  in the rest frame would not be affected. The effect would seem to be however unitary because the transformation does not only modify the states but also transforms  $CD$ .
3. Since Lorentz boosts affect the isotropy group  $SO(3)$  of  $CD$  and thus also the conformal gauge defining the radial coordinate of the light-cone boundary, they affect also the definition of the conformal vacuum so that also  $\omega$  is affected so that the interpretation as a modular automorphism makes sense. The simplistic intuition of the novice suggests that if one allows wave functions in the space of Lorentz transforms of  $CD$ , unitarity of  $\Delta^{it}$  is possible. Note that the hierarchy of Planck constants assigns to  $CD$  preferred  $M^2$  and thus direction of quantization axes of angular momentum and boosts in this direction would be in preferred role.
4. One can also consider the HFF of type  $III_\lambda$  if the radial scalings by negative powers of 2 correspond to the automorphism group of  $II_\infty$  factor as the vision about allowed  $CD$ s suggests.  $\lambda = 1/2$  would naturally hold true for the factor obtained by allowing only the radial scalings. Lorentz boosts would expand the factor to HFF of type  $III_1$ . Why scalings by powers of 2 would give rise to periodicity should be understood.

The identification of  $M$ -matrix as modular automorphism  $\Delta^{it}$ , where  $t$  is complex number having as its real part the temporal distance between tips of  $CD$  quantized as  $2^n$  and temperature as imaginary part, looks at first highly attractive, since it would mean that  $M$ -matrix indeed exists mathematically. The proposed interpretations of modular automorphisms do not support the idea that they could define the S-matrix of the theory. In any case, the identification as modular automorphism would not lead to a magic universal formula since arbitrary unitary transformation is involved.

### 11.7.5 Can one identify $M$ -matrix from physical arguments?

Consider next the identification of  $M$ -matrix from physical arguments.

#### Basic physical picture

The following physical picture could help in the attempt to guess what the complex square root of  $\omega$  is and also whether this idea makes sense at all. Consider first quantum TGD proper.

1. The exponent of Kähler function identified as Kähler action for preferred extremals defines the bosonic vacuum functional appearing in the functional integral over  $WCW(CD)$ . The exponent of Kähler function depends on the real part of  $t$  identified as Minkowski distance between the tips of  $CD$ . This dependence is not consistent with the dependence of  $\Delta^{it}$  on  $t$  and the natural interpretation is that the vacuum functional can be included in the definition of the inner product for spinors fields of  $WCW$ . More formally, the exponent of Kähler function defines  $\omega$  in bosonic degrees of freedom.
2. One can assign to the modified Dirac action Dirac determinant identified tentatively as the exponent of Kähler function. This determinant is defined as the product of the generalized eigenvalues of a 3-dimensional modified Dirac operator assignable to light-like 3-surfaces. The definition relies on quantum holography involving the slicing of space-time surface both by light-like 3-surfaces and by string world sheets. Hence also Kähler coupling strength follows as a prediction so that the theory involves therefore no free coupling parameters. Kähler function

is defined only apart from an additive term which is sum of holomorphic and anti-holomorphic functions of the configuration space and this would naturally correspond to the effect of the modular automorphism. I have proposed that the choices of a particular light-like 3-surface in the slicing of  $X^4$  by light-like 3-surfaces at which vacuum functional is defined as Dirac determinant can differ by this kind of term having therefore interpretation also as a modular automorphism for a factor of type  $\text{II}_\infty$ .

3. Quantum criticality -implied by the condition that the modified Dirac action gives rise to conserved currents assignable to the deformations of the space-time surface - means the vanishing of the second variation of Kähler action for these deformations. Preferred extremals correspond to these 4-surfaces and  $M^8 - M^4 \times CP_2$  duality allows to identify them also as hyper-quaternionic space-time surfaces.
4. Second quantized spinor fields are the only quantum fields appearing at the space-time level. This justifies to the notion of bosonic emergence [21], which means that gauge bosons and possible counterpart of Higgs particle are identified as bound states of fermion and antifermion at opposite light-like throats of wormhole contact. This suggests that the  $M$ -matrix should allow a formulation solely in terms of the modified Dirac action.

### HFFs and the definition of Dirac determinant

The definition of the Dirac determinant -call it  $\det(D)$ - discussed in [31] involves two assumptions. First, finite measurement resolution is assumed to correspond to a replacement of light-like 3-surfaces with braids whose strands carry fermion number. Secondly, the quantum holography justifies the assumption about dimensional reduction to a determinant assignable to 3-D Dirac operator.

1. The finiteness of the trace for HFF of type  $\text{II}_1$  indeed encourages the question whether one could define  $\det(D)$  as the exponent of the trace of the logarithm of 3-D Dirac operator  $D_3$  even without the assumption of finite measurement resolution. The trace would be induced from the trace of the tensor product of hyper-finite factor of type  $\text{II}_1$  and factor of type I.
2. One might wonder whether holography could allow to define  $\det(D)$  also in terms of the 4-D modified Dirac operator. The basic problem is of course that only the spinor fields satisfying  $D_4\Psi = 0$  are allowed and eigenvalue equation in standard sense breaks baryon and lepton number conservation. The critical deformation representing zero modes might however allow to circumvent this difficulty. The modified Dirac equation  $D\Psi = 0$  holding true for the 4-surfaces obtained as critical deformations can be written in the form  $D_0\Psi = D_0\delta\Psi = -\delta D\Psi$ , where the subscript  $_0$  refers to the non-deformed surface and one has  $\delta\Psi = O\Psi_0$  which involves propagator defined by  $D_4$ . Maybe one could define  $\det(D)$  as the determinant of the operator  $-\delta D$  by identifying it as the exponent of the trace of the operator  $\log(-\delta D)$ . This would require a division by the deformation parameter  $\delta t$  at both sides of the modified Dirac equation and means only the elimination of an infinite proportionality factor from the determinant.

### Bosonic emergence and QFT limit of TGD

The QFT limit of TGD gives further valuable hints about the formulation of quantum TGD proper. In QFT limit Dirac action coupled to gauge potentials (and possibly the TGD counterpart of Higgs) defines the theory and bosonic propagators and vertices involving bosons as external particles emerge as radiative corrections [21]. There are no free coupling constants in the theory.

1. The construction involves at the first step the coupling of spinor fields  $\Psi$  to fermionic sources  $\xi$  leading to an expression of the effective action as a functional of gauge potentials and  $\xi$  containing the counterpart of YM action in the purely bosonic sector plus interaction terms representing N-boson vertices. Bosonic dynamics is therefore generated purely radiatively in accordance with the emergence idea. At the next step the coupling to external YM currents leads to Feynman rules in the standard manner.
2. The inverse of the bosonic propagator and N-boson vertices correspond to fermionic loops and coupling constants are predicted completely in terms of them provided one can define the loop integrals uniquely.

3. Fermionic loops do not make sense without cutoff in both mass squared and hyperbolic angle defining the maximum Lorentz boost which can be applied to a virtual fermion in the rest system of the virtual gauge boson. Zero energy ontology realized in terms of a hierarchy of *CDs* provides a physical justification for the hierarchy of hyperbolic cutoffs. p-Adic length scale hypothesis (the sizes of *CDs* come in powers of 2) allows to decompose momentum space to shells corresponding to mass squared intervals  $[n, n + 1)$  using  $CP_2$  mass squared as a unit. The hyperbolic cutoff can depend on p-adic mass scale and can differ for time-like and space-like momenta: the relationship between these cutoffs is fixed from the condition that gauge bosons do not generate mass radiatively. One can find a simple ansatz for the hyperbolic cutoff consistent with the coupling constant evolution in standard model. The vanishing of all on-mass-shell  $N > 2$ -boson vertices defined by the fermionic loops states their irreducibility to lower vertices and serves as a candidate for the condition fixing the hyperbolic cutoff as a function of the p-adic mass scale.

### A proposal for *M*-matrix

This picture can be taken as a template as one tries to imagine how the construction of *M*-matrix could proceed in quantum TGD proper.

1. Modified Dirac action should replace the ordinary Dirac action and define the theory. The linear couplings of spinors to fermionic external currents are needed. Also bosons represented as bound states of fermion and antifermion to the analogs of gauge currents are needed to construct the *M*-matrix and would correspond to an addition of quantum part to induced spinor connection. One can consider also the addition of quantum parts to the induced metric and induced gamma matrices.
2. The couplings of the induced spinor fields to external sources would be given as contractions of the fermionic sources with conformal super-currents. Conformal currents would couple to bosonic external currents analogous to external YM currents and *M*-matrix would result via the usual procedure leading to generalized Feynman diagrams for which sub-*CDs* would contain vertices.

One cannot however argue that everything would be crystal clear.

1. There are two kinds of super-conformal algebras corresponding to quantum fluctuating degrees of freedom and zero modes. The super-conformal algebra associated with the zero modes follows from quantum criticality guaranteeing the conservation of these currents. These currents are defined in the interior of the space-time surface. By quantum holography the quantum fluctuating super-conformal algebra is assigned with light-like 3-surfaces. Both these algebras form a hierarchy of inclusions identifiable as counterparts for inclusions of HFFs. Which of the two super-conformal algebras one should use? Does quantum holography - interpreted as possibility of 1-1 entanglement between the two kinds of conformal currents for prepared states- mean that one can use either of them to construct *M*-matrix? How the dimensional reduction could be understood in terms of this duality?
2. The bosonic conserved currents in the interior of  $X^4$  implied by quantum criticality involve a purely local pairing of the induced spinor field and its conjugate. The problem is that gauge bosons as wormhole throats appearing in the dimensionally reduced description correspond to a non-local (in  $CP_2$  scale) pairing of spinor field and its conjugate at opposite wormhole throats. Should one accept as a fact that dimensionally reduced quantum fluctuating counterparts for the purely local zero mode currents are bi-local?
3. Only few days after posing these questions a plausible answer to them came through a resolution of several problems related to the formulation of quantum TGD (see the section "Handful of problems with a common resolution" of [23]). One important outcome of the formulation allowing to understand how stringy fermionic propagators emerge from the theory was that gravitational coupling vanishes for purely local composites of fermion and antifermion represented by Kac-Moody algebra and super-conformal algebra associated with critical deformations. Hence the only sensible identification of bosons seems to be as wormhole throats.

4. The construction of the bosonic propagators in terms of fermionic loops [21] as functionals integral over Grassmann variables generalizes. Fermionic loops correspond geometrically to wormhole contacts having fermion and anti-fermion at their opposite light-like throats. This implies a cutoff for momentum squared and hyperbolic angle of the virtual fermion in the rest system of boson crucial for the absence of loop divergences. Hence bosonic propagation is emergent as is also fermionic propagation which can be seen as induced by the measurement interaction for momentum. This justifies the cutoffs due to the finite measurement resolution.
5. It is essential that one first functionally integrates over the fermionic degrees of freedom and over the small deformations of light-like 3-surfaces and only after that constructs diagrams from tree diagrams with bosonic and fermionic lines by using generalized Cutkosky rules. Here the generalization of twistors to 8-D context allowing to regard massive particles as massless particles in 8-D framework is expected to be a crucial technical tool possibly allowing to achieve summations over large classes of generalized Feynman diagrams. Also the hierarchy of *CDs* is expected to be crucial in the construction.

The key idea is the addition of measurement interaction term to the modified Dirac action coupling to the conserved currents defined by quantum critical deformations for which the second variation of Kähler action vanishes. There remains a considerable freedom in choosing the precise form of the measurement interaction but there is a long list of arguments supporting the identification of the measurement interaction as the one defined by 3-D Chern-Simons term assignable with wormhole throats so that the dynamics in the interior of space-time sheet is not affected. This means that 3-D light-like wormhole throats carry induced spinor field which can be regarded as independent degrees of freedom having the spinor fields at partonic 2-surfaces as sources and acting as 3-D sources for the 4-D induced spinor field. The most general measurement interaction would involve the corresponding coupling also for Kähler action but is not physically motivated. Here are the arguments in favor of Chern-Simons Dirac action and corresponding measurement interaction.

1. A correlation between 4-D geometry of space-time sheet and quantum numbers is achieved by the identification of exponent of Kähler function as Dirac determinant making possible the entanglement of classical degrees of freedom in the interior of space-time sheet with quantum numbers.
2. Cartan algebra plays a key role not only at quantum level but also at the level of space-time geometry since quantum critical conserved currents vanish for Cartan algebra of isometries and the measurement interaction terms giving rise to conserved currents are possible only for Cartan algebras. Furthermore, modified Dirac equation makes sense only for eigen states of Cartan algebra generators. The hierarchy of Planck constants realized in terms of the book like structure of the generalized imbedding space assigns to each *CD* (causal diamond) preferred Cartan algebra: in case of Poincare algebra there are two of them corresponding to linear and cylindrical  $M^4$  coordinates.
3. Quantum holography and dimensional reduction hierarchy in which partonic 2-surface defined fermionic sources for 3-D fermionic fields at light-like 3-surfaces  $Y_l^3$  in turn defining fermionic sources for 4-D spinors find an elegant realization. Effective 2-dimensionality is achieved if the replacement of light-like wormhole throat  $X_l^3$  with light-like 3-surface  $Y_l^3$  "parallel" with it in the definition of Dirac determinant corresponds to the  $U(1)$  gauge transformation  $K \rightarrow K + f + \bar{f}$  for Kähler function of WCW so that WCW Kähler metric is not affected. Here  $f$  is holomorphic function of WCW ("world of classical worlds") complex coordinates and arbitrary function of zero mode coordinates.
4. An elegant description of the interaction between super-conformal representations realized at partonic 2-surfaces and dynamics of space-time surfaces is achieved since the values of Cartan charges are feeded to the 3-D Dirac equation which also receives mass term at the same time. Almost topological QFT at wormhole throats results at the limit when four-momenta vanish: this is in accordance with the original vision about TGD as almost topological QFT.
5. A detailed view about the physical role of quantum criticality results. Quantum criticality fixes the values of Kähler coupling strength as the analog of critical temperature. Quantum

criticality implies that second variation of Kähler action vanishes for critical deformations and the existence of conserved current except in the case of Cartan algebra of isometries. Quantum criticality allows to fix the values of couplings appearing in the measurement interaction by using the condition  $K \rightarrow K + f + \bar{f}$ . p-Adic coupling constant evolution can be understood also and corresponds to scale hierarchy for the sizes of causal diamonds (CDs). To achieve internal consistency the quantum critical deformations for Kähler action must be also quantum critical for Chern-Simons action which implies that the deformations are orthogonal to Kähler magnetic field at each light-like 3-surface in the slicing of space-time sheet by light-like 3-surfaces.

6. CP breaking, irreversibility and the space-time description of dissipation are closely related. Also the interpretation of preferred extremals of Kähler action in regions where  $[D_{C-S}, D_{C-S,int}] = 0$  as asymptotic self organization patterns makes sense. Here  $D_{C-S}$  denotes the 3-D modified Dirac operator associated with Chern-Simons action and  $D_{C-S,int}$  to the corresponding measurement interaction term expressible as superposition of couplings to various observables to critical conserved currents.
7. A radically new view about matter antimatter asymmetry based on zero energy ontology emerges and one could understand the experimental absence of antimatter as being due to the fact antimatter corresponds to negative energy states. The identification of bosons as wormhole contacts is the only possible option in this framework.
8. Almost stringy propagators and a consistency with the identification of wormhole throats as lines of generalized Feynman diagrams is achieved. The notion of bosonic emergence leads to a long sought general master formula for the  $M$ -matrix elements. The counterpart for fermionic loop defining bosonic inverse propagator at QFT limit is wormhole contact with fermion and cutoffs in mass squared and hyperbolic angle for loop momenta of fermion and antifermion in the rest system of emitting boson have precise geometric counterpart.

On basis of above considerations it seems that the idea about "complex square root" of  $\omega$  might make sense in quantum TGD and that different measurement interactions correspond to various choices of  $\omega$ . Also the modular automorphism would make sense and because of its non-uniqueness  $\Delta$  could bring in the flexibility needed one wants thermodynamics. Stringy picture forces to ask whether  $\Delta$  could in some situation be proportional  $exp(L_0)$ , where  $L_0$  represents as the infinitesimal scaling generator of either super-symplectic algebra or super Kac-Moody algebra (the choice does not matter since the differences of the generators annihilate physical states in coset construction). This would allow to reproduce real thermodynamics consistent with p-adic thermodynamics.

In string models  $exp(iL_0\tau)$  is identified as the time evolution operator at single particle level whose integral over  $\tau$  defines the propagator. The quantization for the sizes of CDs does not however allow integration over  $t$  in this sense. Could the integration over projectors with traces differing by scalings parameterized by  $t$  correspond to this integral? Or should one give up this idea since modified Dirac operator defines a propagator in any case?

### 11.7.6 Finite measurement resolution and HFFs

The finite resolution of quantum measurement leads in TGD framework naturally to the notion of quantum  $M$ -matrix for which elements have values in sub-factor  $\mathcal{N}$  of HFF rather than being complex numbers.  $M$ -matrix in the factor space  $\mathcal{M}/\mathcal{N}$  is obtained by tracing over  $\mathcal{N}$ . The condition that  $\mathcal{N}$  acts like complex numbers in the tracing implies that  $M$ -matrix elements are proportional to maximal projectors to  $\mathcal{N}$  so that  $M$ -matrix is effectively a matrix in  $\mathcal{M}/\mathcal{N}$  and situation becomes finite-dimensional. It is still possible to satisfy generalized unitarity conditions but in general case tracing gives a weighted sum of unitary  $M$ -matrices defining what can be regarded as a square root of density matrix.

#### About the notion of observable in zero energy ontology

Some clarifications concerning the notion of observable in zero energy ontology are in order.

1. As in standard quantum theory observables correspond to hermitian operators acting on either positive or negative energy part of the state. One can indeed define hermitian conjugation for positive and negative energy parts of the states in standard manner.

2. Also the conjugation  $A \rightarrow JAJ$  is analogous to hermitian conjugation. It exchanges the positive and negative energy parts of the states also maps the light-like 3-surfaces at the upper boundary of  $CD$  to the lower boundary and vice versa. The map is induced by time reflection in the rest frame of  $CD$  with respect to the origin at the center of  $CD$  and has a well defined action on light-like 3-surfaces and space-time surfaces. This operation cannot correspond to the sought for hermitian conjugation since  $JAJ$  and  $A$  commute. The formulation of quantum TGD in terms of the modified Dirac action requires the addition of CP and T breaking fermionic counterpart of instanton term to the modified Dirac action. An interesting question is what this term means from the point of view of the conjugation.
3. Zero energy ontology gives Cartan sub-algebra of the Lie algebra of symmetries a special status. Only Cartan algebra acting on either positive or negative states respects the zero energy property but this is enough to define quantum numbers of the state. In absence of symmetry breaking positive and negative energy parts of the state combine to form a state in a singlet representation of group. Since only the net quantum numbers must vanish zero energy ontology allows a symmetry breaking respecting a chosen Cartan algebra.
4. In order to speak about four-momenta for positive and negative energy parts of the states one must be able to define how the translations act on  $CD$ s. The most natural action is a shift of the upper (lower) tip of  $CD$ . In the scale of entire  $CD$  this transformation induced Lorentz boost fixing the other tip. The value of mass squared is identified as proportional to the average of conformal weight in p-adic thermodynamics for the scaling generator  $L_0$  for either super-symplectic or Super Kac-Moody algebra.

### Inclusion of HFFS as characterizer of finite measurement resolution at the level of $S$ -matrix

The inclusion  $\mathcal{N} \subset \mathcal{M}$  of factors characterizes naturally finite measurement resolution. This means following things.

1. Complex rays of state space resulting usually in an ideal state function reduction are replaced by  $\mathcal{N}$ -rays since  $\mathcal{N}$  defines the measurement resolution and takes the role of complex numbers in ordinary quantum theory so that non-commutative quantum theory results. Non-commutativity corresponds to a finite measurement resolution rather than something exotic occurring in Planck length scales. The quantum Clifford algebra  $\mathcal{M}/\mathcal{N}$  creates physical states modulo resolution. The fact that  $\mathcal{N}$  takes the role of gauge algebra suggests that it might be necessary to fix a gauge by assigning to each element of  $\mathcal{M}/\mathcal{N}$  a unique element of  $\mathcal{M}$ . Quantum Clifford algebra with fractal dimension  $\beta = \mathcal{M} : \mathcal{N}$  creates physical states having interpretation as quantum spinors of fractal dimension  $d = \sqrt{\beta}$ . Hence direct connection with quantum groups emerges.
2. The notions of unitarity, hermiticity, and eigenvalue generalize. The elements of unitary and hermitian matrices and  $\mathcal{N}$ -valued. Eigenvalues are Hermitian elements of  $\mathcal{N}$  and thus correspond entire spectra of Hermitian operators. The mutual non-commutativity of eigenvalues guarantees that it is possible to speak about state function reduction for quantum spinors. In the simplest case of a 2-component quantum spinor this means that second component of quantum spinor vanishes in the sense that second component of spinor annihilates physical state and second acts as element of  $\mathcal{N}$  on it. The non-commutativity of spinor components implies correlations between them and thus fractal dimension is smaller than 2.
3. The intuition about ordinary tensor products suggests that one can decompose  $\text{Tr}$  in  $\mathcal{M}$  as

$$\text{Tr}_{\mathcal{M}}(X) = \text{Tr}_{\mathcal{M}/\mathcal{N}} \times \text{Tr}_{\mathcal{N}}(X) . \quad (11.7.4)$$

Suppose one has fixed gauge by selecting basis  $|r_k\rangle$  for  $\mathcal{M}/\mathcal{N}$ . In this case one expects that operator in  $\mathcal{M}$  defines an operator in  $\mathcal{M}/\mathcal{N}$  by a projection to the preferred elements of  $\mathcal{M}$ .

$$\langle r_1 | X | r_2 \rangle = \langle r_1 | \text{Tr}_{\mathcal{N}}(X) | r_2 \rangle . \quad (11.7.5)$$



4. Scattering probabilities in the resolution defined by  $\mathcal{N}$  are obtained in the following manner. The scattering probability between states  $|r_1\rangle$  and  $|r_2\rangle$  is obtained by summing over the final states obtained by the action of  $\mathcal{N}$  from  $|r_2\rangle$  and taking the analog of spin average over the states created in the similar from  $|r_1\rangle$ .  $\mathcal{N}$  average requires a division by  $Tr(P_{\mathcal{N}}) = 1/\mathcal{M} : \mathcal{N}$  defining fractal dimension of  $\mathcal{N}$ . This gives

$$p(r_1 \rightarrow r_2) = \mathcal{M} : \mathcal{N} \times \langle r_1 | Tr_{\mathcal{N}}(SP_{\mathcal{N}}S^\dagger) | r_2 \rangle . \quad (11.7.6)$$

This formula is consistent with probability conservation since one has

$$\sum_{r_2} p(r_1 \rightarrow r_2) = \mathcal{M} : \mathcal{N} \times Tr_{\mathcal{N}}(SS^\dagger) = \mathcal{M} : \mathcal{N} \times Tr(P_{\mathcal{N}}) = 1 . \quad (11.7.7)$$

5. Unitarity at the level of  $\mathcal{M}/\mathcal{N}$  can be achieved if the unit operator  $Id$  for  $\mathcal{M}$  can be decomposed into an analog of tensor product for the unit operators of  $\mathcal{M}/\mathcal{N}$  and  $\mathcal{N}$  and  $M$  decomposes to a tensor product of unitary  $M$ -matrices in  $\mathcal{M}/\mathcal{N}$  and  $\mathcal{N}$ . For HFFs of type II projection operators of  $\mathcal{N}$  with varying traces are present and one expects a weighted sum of unitary  $M$ -matrices to result from the tracing having interpretation in terms of square root of thermodynamics.
6. This argument assumes that  $\mathcal{N}$  is HFF of type II<sub>1</sub> with finite trace. For HFFs of type III<sub>1</sub> this assumption must be given up. This might be possible if one compensates the trace over  $\mathcal{N}$  by dividing with the trace of the infinite trace of the projection operator to  $\mathcal{N}$ . This probably requires a limiting procedure which indeed makes sense for HFFs.

### Quantum $M$ -matrix

The description of finite measurement resolution in terms of inclusion  $\mathcal{N} \subset \mathcal{M}$  seems to boil down to a simple rule. Replace ordinary quantum mechanics in complex number field  $C$  with that in  $\mathcal{N}$ . This means that the notions of unitarity, hermiticity, Hilbert space ray, etc.. are replaced with their  $\mathcal{N}$  counterparts.

The full  $M$ -matrix in  $\mathcal{M}$  should be reducible to a finite-dimensional quantum  $M$ -matrix in the state space generated by quantum Clifford algebra  $\mathcal{M}/\mathcal{N}$  which can be regarded as a finite-dimensional matrix algebra with non-commuting  $\mathcal{N}$ -valued matrix elements. This suggests that full  $M$ -matrix can be expressed as  $M$ -matrix with  $\mathcal{N}$ -valued elements satisfying  $\mathcal{N}$ -unitarity conditions.

Physical intuition also suggests that the transition probabilities defined by quantum  $S$ -matrix must be commuting hermitian  $\mathcal{N}$ -valued operators inside every row and column. The traces of these operators give  $\mathcal{N}$ -averaged transition probabilities. The eigenvalue spectrum of these Hermitian matrices gives more detailed information about details below experimental resolution.  $\mathcal{N}$ -hermicity and commutativity pose powerful additional restrictions on the  $M$ -matrix.

Quantum  $M$ -matrix defines  $\mathcal{N}$ -valued entanglement coefficients between quantum states with  $\mathcal{N}$ -valued coefficients. How this affects the situation? The non-commutativity of quantum spinors has a natural interpretation in terms of fuzzy state function reduction meaning that quantum spinor corresponds effectively to a statistical ensemble which cannot correspond to pure state. Does this mean that predictions for transition probabilities must be averaged over the ensemble defined by "quantum quantum states"?

### Quantum fluctuations and inclusions

Inclusions  $\mathcal{N} \subset \mathcal{M}$  of factors provide also a first principle description of quantum fluctuations since quantum fluctuations are by definition quantum dynamics below the measurement resolution. This gives hopes for articulating precisely what the important phrase "long range quantum fluctuations around quantum criticality" really means mathematically.

1. Phase transitions involve a change of symmetry. One might hope that the change of the symmetry group  $G_a \times G_b$  could universally code this aspect of phase transitions. This need not always mean a change of Planck constant but it means always a leakage between sectors of imbedding space. At quantum criticality 3-surfaces would have regions belonging to at least two sectors of  $H$ .
2. The long range of quantum fluctuations would naturally relate to a partial or total leakage of the 3-surface to a sector of imbedding space with larger Planck constant meaning zooming up of various quantal lengths.
3. For  $M$ -matrix in  $\mathcal{M}/\mathcal{N}$  regarded as  $calN$  module quantum criticality would mean a special kind of eigen state for the transition probability operator defined by the  $M$ -matrix. The properties of the number theoretic braids contributing to the  $M$ -matrix should characterize this state. The strands of the critical braids would correspond to fixed points for  $G_a \times G_b$  or its subgroup.

### **$M$ -matrix in finite measurement resolution**

The following arguments relying on the proposed identification of the space of zero energy states give a precise formulation for  $M$ -matrix in finite measurement resolution and the Connes tensor product involved. The original expectation that Connes tensor product could lead to a unique  $M$ -matrix is wrong. The replacement of  $\omega$  with its complex square root could lead to a unique hierarchy of  $M$ -matrices with finite measurement resolution and allow completely finite theory despite the fact that projectors have infinite trace for HFFs of type  $III_1$ .

1. In zero energy ontology the counterpart of Hermitian conjugation for operator is replaced with  $\mathcal{M} \rightarrow J\mathcal{M}J$  permuting the factors. Therefore  $N \in \mathcal{N}$  acting to positive (negative) energy part of state corresponds to  $N \rightarrow N' = JNJ$  acting on negative (positive) energy part of the state.
2. The allowed elements of  $N$  must be such that zero energy state remains zero energy state. The superposition of zero energy states involved can however change. Hence one must have that the counterparts of complex numbers are of form  $N = JN_1J \vee N_2$ , where  $N_1$  and  $N_2$  have same quantum numbers. A superposition of terms of this kind with varying quantum numbers for positive energy part of the state is possible.
3. The condition that  $N_{1i}$  and  $N_{2i}$  act like complex numbers in  $\mathcal{N}$ -trace means that the effect of  $JN_{1i}J \vee N_{2i}$  and  $JN_{2i}J \vee N_{1i}$  to the trace are identical and correspond to a multiplication by a constant. If  $\mathcal{N}$  is HFF of type  $II_1$  this follows from the decomposition  $\mathcal{M} = \mathcal{M}/\mathcal{N} \otimes \mathcal{N}$  and from  $Tr(AB) = Tr(BA)$  assuming that  $M$  is of form  $M = M_{\mathcal{M}/\mathcal{N}} \times P_{\mathcal{N}}$ . Contrary to the original hopes that Connes tensor product could fix the  $M$ -matrix there are no conditions on  $M_{\mathcal{M}/\mathcal{N}}$  which would give rise to a finite-dimensional  $M$ -matrix for Jones inclusions. One can replace the projector  $P_{\mathcal{N}}$  with a more general state if one takes this into account in  $*$  operation.
4. In the case of HFFs of type  $III_1$  the trace is infinite so that the replacement of  $Tr_N$  with a state  $\omega_N$  in the sense of factors looks more natural. This means that the counterpart of  $*$  operation exchanging  $N_1$  and  $N_2$  represented as  $SA\Omega = A^*\Omega$  involves  $\Delta$  via  $S = J\Delta^{1/2}$ . The exchange of  $N_1$  and  $N_2$  gives altogether  $\Delta$ . In this case the KMS condition  $\omega_{\mathcal{N}}(AB) = \omega_{\mathcal{N}}\Delta A$  guarantees the effective complex number property [73].
5. Quantum TGD more or less requires the replacement of  $\omega$  with its "complex square root" so that also a unitary matrix  $U$  multiplying  $\Delta$  is expected to appear in the formula for  $S$  and guarantee the symmetry. One could speak of a square root of KMS condition [73] in this case. The QFT counterpart would be a cutoff involving path integral over the degrees of freedom below the measurement resolution. In TGD framework it would mean a cutoff in the functional integral over WCW and for the modes of the second quantized induced spinor fields and also cutoff in sizes of causal diamonds. Discretization in terms of braids replacing light-like 3-surfaces should be the counterpart for the cutoff.
6. If one has  $M$ -matrix in  $\mathcal{M}$  expressible as a sum of  $M$ -matrices of form  $M_{\mathcal{M}/\mathcal{N}} \times M_{\mathcal{N}}$  with coefficients which correspond to the square roots of probabilities defining density matrix the tracing operation gives rise to square root of density matrix in  $M$ .

### Is universal M-matrix possible?

The realization of the finite measurement resolution could apply only to transition probabilities in which  $\mathcal{N}$ -trace or its generalization in terms of state  $\omega_{\mathcal{N}}$  is needed. One might however dream of something more.

1. Maybe there exists a universal M-matrix in the sense that the same M-matrix gives the M-matrices in finite measurement resolution for all inclusions  $\mathcal{N} \subset \mathcal{M}$ . This would mean that one can write

$$M = M_{\mathcal{M}/\mathcal{N}} \otimes M_{\mathcal{N}} \quad (11.7.8)$$

for any physically reasonable choice of  $\mathcal{N}$ . This would formally express the idea that  $M$  is as near as possible to M-matrix of free theory. Also fractality suggests itself in the sense that  $M_{\mathcal{N}}$  is essentially the same as  $M_{\mathcal{M}}$  in the same sense as  $\mathcal{N}$  is same as  $\mathcal{M}$ . It might be that the trivial solution  $M = 1$  is the only possible solution to the condition.

2.  $M_{\mathcal{M}/\mathcal{N}}$  would be obtained by the analog of  $Tr_{\mathcal{N}}$  or  $\omega_{\mathcal{N}}$  operation involving the "complex square root" of the state  $\omega$  in case of HFFs of type III<sub>1</sub>. The QFT counterpart would be path integration over the degrees of freedom below cutoff to get effective action.
3. Universality probably requires assumptions about the thermodynamical part of the universal M-matrix. A possible alternative form of the condition is that it holds true only for canonical choice of "complex square root" of  $\omega$  or for the S-matrix part of  $M$ :

$$S = S_{\mathcal{M}/\mathcal{N}} \otimes S_{\mathcal{N}} \quad (11.7.9)$$

for any physically reasonable choice  $\mathcal{N}$ .

4. In TGD framework the condition would say that the M-matrix defined by the modified Dirac action gives M-matrices in finite measurement resolution via the counterpart of integration over the degrees of freedom below the measurement resolution.

An objection against the universality is that if the M-matrix is "complex square root of state" cannot be unique and there are infinitely many choices related by a unitary transformation induced by the flows representing modular automorphism giving rise to new choices. This would actually be a well-come result and make possible quantum measurement theory. In the section "Handful of problems with a common resolution" of [31] it was found that one must add to the modified Dirac action a measurement interaction term characterizing the measured observables. This implies stringy propagation as well as space-time correlates for quantum numbers characterizing the partonic states. These different modified Dirac actions would give rise to different Kähler functions. The corresponding Kähler metrics would not however differ if the real parts of the Kähler functions associated with the two choices differ by a term  $f(Z) + \bar{f}(Z)$ , where  $Z$  denotes complex coordinates of WCW, the Kähler metric remains the same. The function  $f$  can depend also on zero modes. If this is the case then one can allow in given CD superpositions of WCW spinor fields for which the measurement interactions are different.

### Connes tensor product and space-like entanglement

Ordinary linear Connes tensor product makes sense also in positive/negative energy sector and also now it makes sense to speak about measurement resolution. Hence one can ask whether Connes tensor product should be posed as a constraint on space-like entanglement. The interpretation could be in terms of the formation of bound states. The reducibility of HFFs and inclusions means that the tensor product is not uniquely fixed and ordinary entanglement could correspond to this kind of entanglement.

Also the counterpart of p-adic coupling constant evolution would makes sense. The interpretation of Connes tensor product would be as the variance of the states with respect to some subgroup of  $U(n)$  associated with the measurement resolution: the analog of color confinement would be in question.

### 2-vector spaces and entanglement modulo measurement resolution

John Baez and collaborators [87] are playing with very formal looking formal structures obtained by replacing vectors with vector spaces. Direct sum and tensor product serve as the basic arithmetic operations for the vector spaces and one can define category of  $n$ -tuples of vector spaces with morphisms defined by linear maps between vectors spaces of the tuple.  $n$ -tuples allow also element-wise product and sum. They obtain results which make them happy. For instance, the category of linear representations of a given group forms 2-vector spaces since direct sums and tensor products of representations as well as  $n$ -tuples make sense. The 2-vector space however looks more or less trivial from the point of physics.

The situation could become more interesting in quantum measurement theory with finite measurement resolution described in terms of inclusions of hyper-finite factors of type  $\text{II}_1$ . The reason is that Connes tensor product replaces ordinary tensor product and brings in interactions via irreducible entanglement as a representation of finite measurement resolution. The category in question could give Connes tensor products of quantum state spaces and describing interactions. For instance, one could multiply  $M$ -matrices via Connes tensor product to obtain category of  $M$ -matrices having also the structure of 2-operator algebra.

1. The included algebra represents measurement resolution and this means that the infinite-D sub-Hilbert spaces obtained by the action of this algebra replace the rays. Sub-factor takes the role of complex numbers in generalized QM so that one obtains non-commutative quantum mechanics. For instance, quantum entanglement for two systems of this kind would not be between rays but between infinite-D subspaces corresponding to sub-factors. One could build a generalization of QM by replacing rays with sub-spaces and it would seem that quantum group concept does more or less this: the states in representations of quantum groups could be seen as infinite-dimensional Hilbert spaces.
2. One could speak about both operator algebras and corresponding state spaces modulo finite measurement resolution as quantum operator algebras and quantum state spaces with fractal dimension defined as factor space like entities obtained from HFF by dividing with the action of included HFF. Possible values of the fractal dimension are fixed completely for Jones inclusions. Maybe these quantum state spaces could define the notions of quantum 2-Hilbert space and 2-operator algebra via direct sum and tensor production operations. Fractal dimensions would make the situation interesting both mathematically and physically.

Suppose one takes the fractal factor spaces as the basic structures and keeps the information about inclusion.

1. Direct sums for quantum vectors spaces would be just ordinary direct sums with HFF containing included algebras replaced with direct sum of included HFFs.
2. The tensor products for quantum state spaces and quantum operator algebras are not anymore trivial. The condition that measurement algebras act effectively like complex numbers would require Connes tensor product involving irreducible entanglement between elements belonging to the two HFFs. This would have direct physical relevance since this entanglement cannot be reduced in state function reduction. The category would defined interactions in terms of Connes tensor product and finite measurement resolution.
3. The sequences of super-conformal symmetry breakings identifiable in terms of inclusions of super-conformal algebras and corresponding HFFs could have a natural description using the 2-Hilbert spaces and quantum 2-operator algebras.

### 11.7.7 Questions about quantum measurement theory in zero energy ontology

In the following some questions about quantum measurement theory are posed. First however a result about the relationship between  $U$ -matrix and  $M$ -matrix not known when the questions were made will be represented. The background allowing a deeper understanding of this result can be found from [49] discussing Negentropy Maximization Principle, which is the basic dynamical principle of TGD

inspired theory of consciousness and states that the information content of conscious experience is maximal.

### The relationship between $U$ -matrix and $M$ -matrix

Before proceeding it is a good idea to clarify the relationship between the notions of  $U$ -matrix and  $M$ -matrix. If state function reduction associated with time-like entanglement leads always to a product of positive and negative energy states (so that there is no counterpart of bound state entanglement and negentropic entanglement possible for zero energy states: these notions are discussed below)  $U$ -matrix and can be regarded as a collection of  $M$ -matrices

$$U_{m_+n_-,r_+,s_-} = M(m_+,n_-)_{r_+,s_-} \quad (11.7.10)$$

labeled by the pairs  $(m_+,n_-)$  labelling zero energy states assumed to reduced to pairs of positive and negative energy states.  $M$ -matrix element is the counterpart of S-matrix element  $S_{r,s}$  in positive energy ontology. Unitarity conditions for  $U$ -matrix read as

$$\begin{aligned} (UU^\dagger)_{m_+n_-,r_+,s_-} &= \sum_{k_+,l_-} M(m_+,n_-)_{k_+,l_-} \overline{M}(r_+,s_-)_{k_+,l_-} = \delta_{m_+r_+,n_-s_-} \quad , \\ (U^\dagger U)_{m_+n_-,r_+,s_-} &= \sum_{k_+,l_-} \overline{M}(k_+,l_-)_{m_+,n_-} M(k_+,l_-)_{r_+,s_-} = \delta_{m_+r_+,n_-s_-} \quad . \end{aligned} \quad (11.7.11)$$

The conditions state that the zero energy states associated with different labels are orthogonal as zero energy states and also that the zero energy states defined by the dual  $M$ -matrix

$$M^\dagger(m_+,n_-)_{k_+,l_-} \equiv \overline{M}(k_+,l_-)_{m_+,n_-} \quad (11.7.12)$$

-perhaps identifiable as phase conjugate states- define an orthonormal basis of zero energy states.

When time-like binding and negentropic entanglement are allowed also zero energy states with a label not implying a decomposition to a product state are involved with the unitarity condition but this does not affect the situation dramatically. As a matter fact, the situation is mathematically the same as for ordinary S-matrix in the presence of bound states. Here time-like bound states are analogous to space-like bound states and by definition are unable to decay to product states (free states). Negentropic entanglement makes sense only for entanglement probabilities, which are rationals or belong to their algebraic extensions. This is possible in what might be called the intersection of real and p-adic worlds (partonic surfaces in question have representation making sense for both real and p-adic numbers). Number theoretic entropy is obtained by replacing in the Shannon entropy the logarithms of probabilities with the logarithms of their p-adic norms. They satisfy the same defining conditions as ordinary Shannon entropy but can be also negative. One can always find prime  $p$  for which the entropy is maximally negative. The interpretation of negentropic entanglement is in terms of formations of rule or association. Schrödinger cat knows that it is better to not open the bottle: open bottle-dead cat, closed bottle-living cat and negentropic entanglement measures this information.

### Fractal hierarchy of state function reductions

In accordance with fractality, the conditions for the Connes tensor product at a given time scale imply the conditions at shorter time scales. On the other hand, in shorter time scales the inclusion would be deeper and would give rise to a larger reducibility of the representation of  $\mathcal{N}$  in  $\mathcal{M}$ . Formally, as  $\mathcal{N}$  approaches to a trivial algebra, one would have a square root of density matrix and trivial S-matrix in accordance with the idea about asymptotic freedom.

$M$ -matrix would give rise to a matrix of probabilities via the expression  $P(P_+ \rightarrow P_-) = Tr[P_+ M^\dagger P_- M]$ , where  $P_+$  and  $P_-$  are projectors to positive and negative energy energy  $\mathcal{N}$ -rays. The projectors give rise to the averaging over the initial and final states inside  $\mathcal{N}$  ray. The reduction could continue step by step to shorter length scales so that one would obtain a sequence of inclusions. If the  $U$ -process of

the next quantum jump can return the  $M$ -matrix associated with  $\mathcal{M}$  or some larger HFF, U process would be kind of reversal for state function reduction.

Analytic thinking proceeding from vision to details; human life cycle proceeding from dreams and wild actions to the age when most decisions relate to the routine daily activities; the progress of science from macroscopic to microscopic scales; even biological decay processes: all these have an intriguing resemblance to the fractal state function reduction process proceeding to shorter and shorter time scales. Since this means increasing thermality of  $M$ -matrix, U process as a reversal of state function reduction might break the second law of thermodynamics.

The conservative option would be that only the transformation of intentions to action by U process giving rise to new zero energy states can bring in something new and is responsible for evolution. The non-conservative option is that the biological death is the  $U$ -process of the next quantum jump leading to a new life cycle. Breathing would become a universal metaphor for what happens in quantum Universe. The 4-D body would be lived again and again.

### How quantum classical correspondence is realized at parton level?

Quantum classical correspondence must assign to a given quantum state the most probable space-time sheet depending on its quantum numbers. The space-time sheet  $X^4(X^3)$  defined by the Kähler function depends however only on the partonic 3-surface  $X^3$ , and one must be able to assign to a given quantum state the most probable  $X^3$  - call it  $X^3_{max}$  - depending on its quantum numbers.

$X^4(X^3_{max})$  should carry the gauge fields created by classical gauge charges associated with the Cartan algebra of the gauge group (color isospin and hypercharge and electromagnetic and  $Z^0$  charge) as well as classical gravitational fields created by the partons. This picture is very similar to that of quantum field theories relying on path integral except that the path integral is restricted to 3-surfaces  $X^3$  with exponent of Kähler function bringing in genuine convergence and that 4-D dynamics is deterministic apart from the delicacies due to the 4-D spin glass type vacuum degeneracy of Kähler action.

Stationary phase approximation selects  $X^3_{max}$  if the quantum state contains a phase factor depending not only on  $X^3$  but also on the quantum numbers of the state. A good guess is that the needed phase factor corresponds to either Chern-Simons type action or an action describing the interaction of the induced gauge field with the charges associated with the braid strand. This action would be defined for the induced gauge fields. YM action seems to be excluded since it is singular for light-like 3-surfaces associated with the light-like wormhole throats (not only  $\sqrt{\det(g_3)}$  but also  $\sqrt{\det(g_4)}$  vanishes).

The challenge is to show that this is enough to guarantee that  $X^4(X^3_{max})$  carries correct gauge charges. Kind of electric-magnetic duality should relate the normal components  $F_{ni}$  of the gauge fields in  $X^4(X^3_{max})$  to the gauge fields  $F_{ij}$  induced at  $X^3$ . An alternative interpretation is in terms of quantum gravitational holography. The difference between Chern-Simons action characterizing quantum state and the fundamental Chern-Simons type factor associated with the Kähler form would be that the latter emerges as the phase of the Dirac determinant.

One is forced to introduce gauge couplings and also electro-weak symmetry breaking via the phase factor. This is in apparent conflict with the idea that all couplings are predictable. The essential uniqueness of  $M$ -matrix in the case of HFFs of type  $II_1$  (at least) however means that their values as a function of measurement resolution time scale are fixed by internal consistency. Also quantum criticality leads to the same conclusion. Obviously a kind of bootstrap approach suggests itself.

### 11.7.8 How p-adic coupling constant evolution and p-adic length scale hypothesis emerge from quantum TGD proper?

What p-adic coupling constant evolution really means has remained for a long time more or less open. The progress made in the understanding of the S-matrix of theory has however changed the situation dramatically.

#### M-matrix and coupling constant evolution

The final breakthrough in the understanding of p-adic coupling constant evolution came through the understanding of S-matrix, or actually M-matrix defining entanglement coefficients between positive

and negative energy parts of zero energy states in zero energy ontology [31]. M-matrix has interpretation as a "complex square root" of density matrix and thus provides a unification of thermodynamics and quantum theory. S-matrix is analogous to the phase of Schrödinger amplitude multiplying positive and real square root of density matrix analogous to modulus of Schrödinger amplitude.

The notion of finite measurement resolution realized in terms of inclusions of von Neumann algebras allows to demonstrate that the irreducible components of M-matrix are unique and possesses huge symmetries in the sense that the hermitian elements of included factor  $\mathcal{N} \subset \mathcal{M}$  defining the measurement resolution act as symmetries of M-matrix, which suggests a connection with integrable quantum field theories.

It is also possible to understand coupling constant evolution as a discretized evolution associated with time scales  $T_n$ , which come as octaves of a fundamental time scale:  $T_n = 2^n T_0$ . Number theoretic universality requires that renormalized coupling constants are rational or at most algebraic numbers and this is achieved by this discretization since the logarithms of discretized mass scale appearing in the expressions of renormalized coupling constants reduce to the form  $\log(2^n) = n \log(2)$  and with a proper choice of the coefficient of logarithm  $\log(2)$  dependence disappears so that rational number results. Recall that also the weaker condition  $T_p = p T_0$ ,  $p$  prime, would assign secondary p-adic time scales to the size scale hierarchy of CDs:  $p \simeq 2^n$  would result as an outcome of some kind of "natural selection" for this option. The highly satisfactory feature would be that p-adic time scales would reflect directly the geometry of imbedding space and configuration space.

### p-Adic coupling constant evolution

An attractive conjecture is that the coupling constant evolution associated with CDs in powers of 2 implying time scale hierarchy  $T_n = 2^n T_0$  induces p-adic coupling constant evolution and explain why p-adic length scales correspond to  $L_p \propto \sqrt{p} R$ ,  $p \simeq 2^k$ ,  $R$   $CP_2$  length scale? This looks attractive but there seems to be a problem. p-Adic length scales come as powers of  $\sqrt{2}$  rather than 2 and the strongly favored values of  $k$  are primes and thus odd so that  $n = k/2$  would be half odd integer. This problem can be solved.

1. The observation that the distance traveled by a Brownian particle during time  $t$  satisfies  $r^2 = Dt$  suggests a solution to the problem. p-Adic thermodynamics applies because the partonic 3-surfaces  $X^2$  are as 2-D dynamical systems random apart from light-likeness of their orbit. For  $CP_2$  type vacuum extremals the situation reduces to that for a one-dimensional random light-like curve in  $M^4$ . The orbits of Brownian particle would now correspond to light-like geodesics  $\gamma_3$  at  $X^3$ . The projection of  $\gamma_3$  to a time=constant section  $X^2 \subset X^3$  would define the 2-D path  $\gamma_2$  of the Brownian particle. The  $M^4$  distance  $r$  between the end points of  $\gamma_2$  would be given  $r^2 = Dt$ . The favored values of  $t$  would correspond to  $T_n = 2^n T_0$  (the full light-like geodesic). p-Adic length scales would result as  $L^2(k) = DT(k) = D2^k T_0$  for  $D = R^2/T_0$ . Since only  $CP_2$  scale is available as a fundamental scale, one would have  $T_0 = R$  and  $D = R$  and  $L^2(k) = T(k)R$ .
2. p-Adic primes near powers of 2 would be in preferred position. p-Adic time scale would not relate to the p-adic length scale via  $T_p = L_p/c$  as assumed implicitly earlier but via  $T_p = L_p^2/R_0 = \sqrt{p} L_p$ , which corresponds to secondary p-adic length scale. For instance, in the case of electron with  $p = M_{127}$  one would have  $T_{127} = .1$  second which defines a fundamental biological rhythm. Neutrinos with mass around .1 eV would correspond to  $L(169) \simeq 5 \mu\text{m}$  (size of a small cell) and  $T(169) \simeq 1. \times 10^4$  years. A deep connection between elementary particle physics and biology becomes highly suggestive.
3. In the proposed picture the p-adic prime  $p \simeq 2^k$  would characterize the thermodynamics of the random motion of light-like geodesics of  $X^3$  so that p-adic prime  $p$  would indeed be an inherent property of  $X^3$ . For the weaker condition would be  $T_p = p T_0$ ,  $p$  prime,  $p \simeq 2^n$  could be seen as an outcome of some kind of "natural selection". In this case,  $p$  would a property of CD and all light-like 3-surfaces inside it and also that corresponding sector of configuration space.
4. The fundamental role of 2-adicity suggests that the fundamental coupling constant evolution and p-adic mass calculations could be formulated also in terms of 2-adic thermodynamics. With a suitable definition of the canonical identification used to map 2-adic mass squared values to real numbers this is possible, and the differences between 2-adic and p-adic thermodynamics

are extremely small for large values of for  $p \simeq 2^k$ . 2-adic temperature must be chosen to be  $T_2 = 1/k$  whereas p-adic temperature is  $T_p = 1$  for fermions. If the canonical identification is defined as

$$\sum_{n \geq 0} b_n 2^n \rightarrow \sum_{m \geq 1} 2^{-m+1} \sum_{(k-1)m \leq n < km} b_n 2^n ,$$

it maps all 2-adic integers  $n < 2^k$  to themselves and the predictions are essentially same as for p-adic thermodynamics. For large values of  $p \simeq 2^k$  2-adic real thermodynamics with  $T_R = 1/k$  gives essentially the same results as the 2-adic one in the lowest order so that the interpretation in terms of effective 2-adic/p-adic topology is possible.

### 11.7.9 Planar algebras and generalized Feynman diagrams

Planar algebras [80] are a very general notion due to Vaughan Jones and a special class of them is known to characterize inclusion sequences of hyper-finite factors of type  $II_1$  [91]. In the following an argument is developed that planar algebras might have interpretation in terms of planar projections of generalized Feynman diagrams (these structures are metrically 2-D by presence of one light-like direction so that 2-D representation is especially natural). In [31] the role of planar algebras and their generalizations is also discussed.

#### Planar algebra very briefly

First a brief definition of planar algebra.

1. One starts from planar  $k$ -tangles obtained by putting disks inside a big disk. Inner disks are empty. Big disk contains  $2k$  braid strands starting from its boundary and returning back or ending to the boundaries of small empty disks in the interior containing also even number of incoming lines. It is possible to have also loops. Disk boundaries and braid strands connecting them are different objects. A black-white coloring of the disjoint regions of  $k$ -tangle is assumed and there are two possible options (photo and its negative). Equivalence of planar tangles under diffeomorphisms is assumed.
2. One can define a product of  $k$ -tangles by identifying  $k$ -tangle along its outer boundary with some inner disk of another  $k$ -tangle. Obviously the product is not unique when the number of inner disks is larger than one. In the product one deletes the inner disk boundary but if one interprets this disk as a vertex-parton, it would be better to keep the boundary.
3. One assigns to the planar  $k$ -tangle a vector space  $V_k$  and a linear map from the tensor product of spaces  $V_{k_i}$  associated with the inner disks such that this map is consistent with the decomposition  $k$ -tangles. Under certain additional conditions the resulting algebra gives rise to an algebra characterizing multi-step inclusion of HFFs of type  $II_1$ .
4. It is possible to bring in additional structure and in TGD framework it seems necessary to assign to each line of tangle an arrow telling whether it corresponds to a strand of a braid associated with positive or negative energy parton. One can also wonder whether disks could be replaced with closed 2-D surfaces characterized by genus if braids are defined on partonic surfaces of genus  $g$ . In this case there is no topological distinction between big disk and small disks. One can also ask why not allow the strands to get linked (as suggested by the interpretation as planar projections of generalized Feynman diagrams) in which case one would not have a planar tangle anymore.

#### General arguments favoring the assignment of a planar algebra to a generalized Feynman diagram

There are some general arguments in favor of the assignment of planar algebra to generalized Feynman diagrams.



1. Planar diagrams describe sequences of inclusions of HFF:s and assign to them a multi-parameter algebra corresponding indices of inclusions. They describe also Connes tensor powers in the simplest situation corresponding to Jones inclusion sequence. Suppose that also general Connes tensor product has a description in terms of planar diagrams. This might be trivial.
2. Generalized vertices identified geometrically as partonic 2-surfaces indeed contain Connes tensor products. The smallest sub-factor  $N$  would play the role of complex numbers meaning that due to a finite measurement resolution one can speak only about  $N$ -rays of state space and the situation becomes effectively finite-dimensional but non-commutative.
3. The product of planar diagrams could be seen as a projection of 3-D Feynman diagram to plane or to one of the partonic vertices. It would contain a set of 2-D partonic 2-surfaces. Some of them would correspond vertices and the rest to partonic 2-surfaces at future and past directed light-cones corresponding to the incoming and outgoing particles.
4. The question is how to distinguish between vertex-partons and incoming and outgoing partons. If one does not delete the disk boundary of inner disk in the product, the fact that lines arrive at it from both sides could distinguish it as a vertex-parton whereas outgoing partons would correspond to empty disks. The direction of the arrows associated with the lines of planar diagram would allow to distinguish between positive and negative energy partons (note however line returning back).
5. One could worry about preferred role of the big disk identifiable as incoming or outgoing parton but this role is only apparent since by compactifying to say  $S^2$  the big disk exterior becomes an interior of a small disk.

#### A more detailed view

The basic fact about planar algebras is that in the product of planar diagrams one glues two disks with identical boundary data together. One should understand the counterpart of this in more detail.

1. The boundaries of disks would correspond to 1-D closed space-like stringy curves at partonic 2-surfaces along which fermionic anti-commutators vanish.
2. The lines connecting the boundaries of disks to each other would correspond to the strands of number theoretic braids and thus to braided time evolutions. The intersection points of lines with disk boundaries would correspond to the intersection points of strands of number theoretic braids meeting at the generalized vertex.  
[Number theoretic braid belongs to an algebraic intersection of a real parton 3-surface and its  $p$ -adic counterpart obeying same algebraic equations: of course, in time direction algebraicity allows only a sequence of snapshots about braid evolution].
3. Planar diagrams contain lines, which begin and return to the same disk boundary. Also "vacuum bubbles" are possible. Braid strands would disappear or appear in pairwise manner since they correspond to zeros of a polynomial and can transform from complex to real and vice versa under rather stringent algebraic conditions.
4. Planar diagrams contain also lines connecting any pair of disk boundaries. Stringy decay of partonic 2-surfaces with some strands of braid taken by the first and some strands by the second parton might bring in the lines connecting boundaries of any given pair of disks (if really possible!).
5. There is also something to worry about. The number of lines associated with disks is even in the case of  $k$ -tangles. In TGD framework incoming and outgoing tangles could have odd number of strands whereas partonic vertices would contain even number of  $k$ -tangles from fermion number conservation. One can wonder whether the replacement of boson lines with fermion lines could imply naturally the notion of half- $k$ -tangle or whether one could assign half- $k$ -tangles to the spinors of the configuration space ("world of classical worlds") whereas corresponding Clifford algebra defining HFF of type  $II_1$  would correspond to  $k$ -tangles.

### 11.7.10 Miscellaneous

The following considerations are somewhat out-of-date: hence the title 'Miscellaneous'.

#### Connes tensor product and fusion rules

One should demonstrate that Connes tensor product indeed produces an  $M$ -matrix with physically acceptable properties.

The reduction of the construction of vertices to that for  $n$ -point functions of a conformal field theory suggest that Connes tensor product is essentially equivalent with the fusion rules for conformal fields defined by the Clifford algebra elements of  $CH(CD)$  (4-surfaces associated with 3-surfaces at the boundary of causal diamond  $CD$  in  $M^4$ ), extended to local fields in  $M^4$  with gamma matrices acting on configuration space spinors assignable to the partonic boundary components.

Jones speculates that the fusion rules of conformal field theories can be understood in terms of Connes tensor product [45] and refers to the work of Wassermann about the fusion of loop group representations as a demonstration of the possibility to formula the fusion rules in terms of Connes tensor product [41].

Fusion rules are indeed something more intricate than the naive product of free fields expanded using oscillator operators. By its very definition Connes tensor product means a dramatic reduction of degrees of freedom and this indeed happens also in conformal field theories.

1. For non-vanishing  $n$ -point functions the tensor product of representations of Kac Moody group associated with the conformal fields must give singlet representation.
2. The ordinary tensor product of Kac Moody representations characterized by given value of central extension parameter  $k$  is not possible since  $k$  would be additive.
3. A much stronger restriction comes from the fact that the allowed representations must define integrable representations of Kac-Moody group [84]. For instance, in case of  $SU(2)_k$  Kac Moody algebra only spins  $j \leq k/2$  are allowed. In this case the quantum phase corresponds to  $n = k + 2$ .  $SU(2)$  is indeed very natural in TGD framework since it corresponds to both electro-weak  $SU(2)_L$  and isotropy group of particle at rest.

Fusion rules for localized Clifford algebra elements representing operators creating physical states would replace naive tensor product with something more intricate. The naivest approach would start from  $M^4$  local variants of gamma matrices since gamma matrices generate the Clifford algebra  $Cl$  associated with  $CH(CD)$ . This is certainly too naive an approach. The next step would be the localization of more general products of Clifford algebra elements elements of Kac Moody algebras creating physical states and defining free on mass shell quantum fields. In standard quantum field theory the next step would be the introduction of purely local interaction vertices leading to divergence difficulties. In the recent case one transfers the partonic states assignable to the light-cone boundaries  $\delta M_{\pm}^4(m_i) \times CP_2$  to the common partonic 2-surfaces  $X_V^2$  along  $X_{L,i}^3$  so that the products of field operators at the same space-time point do not appear and one avoids infinities.

The remaining problem would be the construction an explicit realization of Connes tensor product. The formal definition states that left and right  $\mathcal{N}$  actions in the Connes tensor product  $\mathcal{M} \otimes_{\mathcal{N}} \mathcal{M}$  are identical so that the elements  $nm_1 \otimes m_2$  and  $m_1 \otimes m_2n$  are identified. This implies a reduction of degrees of freedom so that free tensor product is not in question. One might hope that at least in the simplest choices for  $\mathcal{N}$  characterizing the limitations of quantum measurement this reduction is equivalent with the reduction of degrees of freedom caused by the integrability constraints for Kac-Moody representations and dropping away of higher spins from the ordinary tensor product for the representations of quantum groups. If fusion rules are equivalent with Connes tensor product, each type of quantum measurement would be characterized by its own conformal field theory.

In practice it seems safest to utilize as much as possible the physical intuition provided by quantum field theories. In [31] a rather precise vision about generalized Feynman diagrams is developed and the challenge is to relate this vision to Connes tensor product.

#### Connection with topological quantum field theories defined by Chern-Simons action

There is also connection with topological quantum field theories (TQFTs) defined by Chern- Simons action [53].

1. The light-like 3-surfaces  $X_l^3$  defining propagators can contain unitary matrix characterizing the braiding of the lines connecting fermions at the ends of the propagator line. Therefore the modular  $S$ -matrix representing the braiding would become part of propagator line. Also incoming particle lines can contain similar  $S$ -matrices but they should not be visible in the  $M$ -matrix. Also entanglement between different partonic boundary components of a given incoming 3-surface by a modular  $S$ -matrix is possible.
2. Besides  $CP_2$  type extremals MEs with light-like momenta can appear as brehmstrahlung like exchanges always accompanied by exchanges of  $CP_2$  type extremals making possible momentum conservation. Also light-like boundaries of magnetic flux tubes having macroscopic size could carry light-like momenta and represent similar brehmstrahlung like exchanges. In this case the modular  $S$ -matrix could make possible topological quantum computations in  $q \neq 1$  phase [35]. Notice the somewhat counter intuitive implication that magnetic flux tubes of macroscopic size would represent change in quantum jump rather than quantum state. These quantum jumps can have an arbitrary long geometric duration in macroscopic quantum phases with large Planck constant [37].

There is also a connection with topological QFT defined by Chern-Simons action allowing to assign topological invariants to the 3-manifolds [53]. If the light-like CDs  $X_{L,i}^3$  are boundary components, the 3-surfaces associated with particles are glued together somewhat like they are glued in the process allowing to construct 3-manifold by gluing them together along boundaries. All 3-manifold topologies can be constructed by using only torus like boundary components.

This would suggest a connection with 2+1-dimensional topological quantum field theory defined by Chern-Simons action allowing to define invariants for knots, links, and braids and 3-manifolds using surgery along links in terms of Wilson lines. In these theories one consider gluing of two 3-manifolds, say three-spheres  $S^3$  along a link to obtain a topologically non-trivial 3-manifold. The replacement of link with Wilson lines in  $S^3 \# S^3 = S^3$  reduces the calculation of link invariants defined in this manner to Chern-Simons theory in  $S^3$ .

In the recent situation more general structures are possible since arbitrary number of 3-manifolds are glued together along link so that a singular 3-manifolds with a book like structure are possible. The allowance of CDs which are not boundaries, typically 3-D light-like throats of wormhole contacts at which induced metric transforms from Minkowskian to Euclidian, brings in additional richness of structure. If the scaling factor of  $CP_2$  metric can be arbitrary large as the quantization of Planck constant predicts, this kind of structure could be macroscopic and could be also linked and knotted. In fact, topological condensation could be seen as a process in which two 4-manifolds are glued together by drilling light-like CDs and connected by a piece of  $CP_2$  type extremal.

## 11.8 Jones inclusions and cognitive consciousness

Configuration space spinors have a natural interpretation in terms of a quantum version of Boolean algebra. Beliefs of various kinds are the basic element of cognition and obviously involve a representation of the external world or part of it as states of the system defining the believer. Jones inclusions mediating unitary mappings between the spaces of configuration spaces spinors of two systems are excellent candidates for these maps, and it is interesting to find what one kind of model for beliefs this picture leads to.

The resulting quantum model for beliefs provides a cognitive interpretation for quantum groups and predicts a universal spectrum for the probabilities that a given belief is true. This spectrum depends only on the integer  $n$  characterizing the quantum phase  $q = \exp(i2\pi/n)$  characterizing the Jones inclusion. For  $n \neq \infty$  the logic is inherently fuzzy so that absolute knowledge is impossible.  $q = 1$  gives ordinary quantum logic with qbits having precise truth values after state function reduction.

### 11.8.1 Does one have a hierarchy of $U$ - and $M$ -matrices?

$U$ -matrix describes scattering of zero energy states and since zero energy states can be illustrated in terms of Feynman diagrams one can say that scattering of Feynman diagrams is in question. The initial and final states of the scattering are superpositions of Feynman diagrams characterizing the corresponding  $M$ -matrices which contain also the positive square root of density matrix as a factor.

The hypothesis that  $U$ -matrix is the tensor product of  $S$ -matrix part of  $M$ -matrix and its Hermitian conjugate would make  $U$ -matrix an object deducible by physical measurements. One cannot of course exclude that something totally new emerges. For instance, the description of quantum jumps creating zero energy state from vacuum might require that  $U$ -matrix does not reduce in this manner. One can assign to the  $U$ -matrix a square like structure with  $S$ -matrix and its Hermitian conjugate assigned with the opposite sides of a square.

One can imagine of constructing higher level physical states as composites of zero energy states by replacing the  $S$ -matrix with  $M$ -matrix in the square like structure. These states would provide a physical representation of  $U$ -matrix. One could define  $U$ -matrix for these states in a similar manner. This kind of hierarchy could be continued indefinitely and the hierarchy of higher level  $U$  and  $M$ -matrices would be labeled by a hierarchy of  $n$ -cubes,  $n = 1, 2, \dots$ . TGD inspired theory of consciousness suggests that this hierarchy can be interpreted as a hierarchy of abstractions represented in terms of physical states. This hierarchy brings strongly in mind also the hierarchies of  $n$ -algebras and  $n$ -groups and this forces to consider the possibility that something genuinely new emerges at each step of the hierarchy. A connection with the hierarchies of infinite primes [16] and Jones inclusions are suggestive.

### 11.8.2 Feynman diagrams as higher level particles and their scattering as dynamics of self consciousness

The hierarchy of inclusions of hyper-finite factors of  $II_1$  as counterpart for many-sheeted space-time lead inevitably to the idea that this hierarchy corresponds to a hierarchy of generalized Feynman diagrams for which Feynman diagrams at a given level become particles at the next level. Accepting this idea, one is led to ask what kind of quantum states these Feynman diagrams correspond, how one could describe interactions of these higher level particles, what is the interpretation for these higher level states, and whether they can be detected.

#### Jones inclusions as analogs of space-time surfaces

The idea about space-time as a 4-surface replicates itself at the level of operator algebra and state space in the sense that Jones inclusion can be seen as a representation of the operator algebra  $\mathcal{N}$  as infinite-dimensional linear sub-space (surface) of the operator algebra  $\mathcal{M}$ . This encourages to think that generalized Feynman diagrams could correspond to image surfaces in  $II_1$  factor having identification as kind of quantum space-time surfaces.

Suppose that the modular  $S$ -matrices are representable as the inner automorphisms  $\Delta(\mathcal{M}_k^{it})$  assigned to the external lines of Feynman diagrams. This would mean that  $\mathcal{N} \subset \mathcal{M}_k$  moves inside  $calM_k$  along a geodesic line determined by the inner automorphism. At the vertex the factors  $calM_k$  to fuse along  $\mathcal{N}$  to form a Connes tensor product. Hence the copies of  $\mathcal{N}$  move inside  $\mathcal{M}_k$  like incoming 3-surfaces in  $H$  and fuse together at the vertex. Since all  $\mathcal{M}_k$  are isomorphic to a universal factor  $\mathcal{M}$ , many-sheeted space-time would have a kind of quantum image inside  $II_1$  factor consisting of pieces which are  $d = \mathcal{M} : \mathcal{N}/2$ -dimensional quantum spaces according to the identification of the quantum space as subspace of quantum group to be discussed later. In the case of partonic Clifford algebras the dimension would be indeed  $d \leq 2$ .

#### The hierarchy of Jones inclusions defines a hierarchy of $S$ -matrices

It is possible to assign to a given Jones inclusion  $\mathcal{N} \subset \mathcal{M}$  an entire hierarchy of Jones inclusions  $\mathcal{M}_0 \subset \mathcal{M}_1 \subset \mathcal{M}_2, \dots$ ,  $\mathcal{M}_0 = \mathcal{N}$ ,  $\mathcal{M}_1 = \mathcal{M}$ . A possible interpretation for these inclusions would be as a sequence of topological condensations.

This sequence also defines a hierarchy of Feynman diagrams inside Feynman diagrams. The factor  $\mathcal{M}$  containing the Feynman diagram having as its lines the unitary orbits of  $\mathcal{N}$  under  $\Delta_{\mathcal{M}}$  becomes a parton in  $\mathcal{M}_1$  and its unitary orbits under  $\Delta_{\mathcal{M}_1}$  define lines of Feynman diagrams in  $\mathcal{M}_1$ . The concrete representation for  $M$ -matrix or projection of it to some subspace as entanglement coefficients of partons at the ends of a braid assignable to the space-like 3-surface representing a vertex of a higher level Feynman diagram. In this manner quantum dynamics would be coded and simulated by quantum states.

The outcome can be said to be a hierarchy of Feynman diagrams within Feynman diagrams, a fractal structure for which many particle scattering events at a given level become particles at the next

level. The particles at the next level represent dynamics at the lower level: they have the property of "being about" representing perhaps the most crucial element of conscious experience. Since net conserved quantum numbers can vanish for a system in TGD Universe, this kind of hierarchy indeed allows a realization as zero energy states. Crossing symmetry can be understood in terms of this picture and has been applied to construct a model for  $M$ -matrix at high energy limit [31].

One might perhaps say that quantum space-time corresponds to a double inclusion and that further inclusions bring in  $N$ -parameter families of space-time surfaces.

### Higher level Feynman diagrams

The lines of Feynman diagram in  $\mathcal{M}_{n+1}$  are geodesic lines representing orbits of  $\mathcal{M}_n$  and this kind of lines meet at vertex and scatter. The evolution along lines is determined by  $\Delta_{\mathcal{M}_{n+1}}$ . These lines contain within themselves  $\mathcal{M}_n$  Feynman diagrams with similar structure and the hierarchy continues down to the lowest level at which ordinary elementary particles are encountered.

For instance, the generalized Feynman diagrams at the second level are ribbon diagrams obtained by thickening the ordinary diagrams in the new time direction. The interpretation as ribbon diagrams crucial for topological quantum computation and suggested to be realizable in terms of zero energy states in [35] is natural. At each level a new time parameter is introduced so that the dimension of the diagram can be arbitrarily high. The dynamics is not that of ordinary surfaces but the dynamics induced by the  $\Delta_{\mathcal{M}_n}$ .

### Quantum states defined by higher level Feynman diagrams

The intuitive picture is that higher level quantum states corresponds to the self reflective aspect of existence and must provide representations for the quantum dynamics of lower levels in their own structure. This dynamics is characterized by  $M$ -matrix whose elements have representation in terms of Feynman diagrams.

1. These states correspond to zero energy states in which initial states have "positive energies" and final states have "negative energies". The net conserved quantum numbers of initial and final state partons compensate each other. Gravitational energies, and more generally gravitational quantum numbers defined as absolute values of the net quantum numbers of initial and final states do not vanish. One can say that thoughts have gravitational mass but no inertial mass.
2. States in sub-spaces of positive and negative energy states are entangled with entanglement coefficients given by  $M$ -matrix at the level below.

To make this more concrete, consider first the simplest non-trivial case. In this case the particles can be characterized as ordinary Feynman diagrams, or more precisely as scattering events so that the state is characterized by  $\hat{S} = P_{in} S P_{out}$ , where  $S$  is  $S$ -matrix and  $P_{in}$  resp.  $P_{out}$  is the projection to a subspace of initial resp. final states. An entangled state with the projection of  $S$ -matrix giving the entanglement coefficients is in question.

The larger the domains of projectors  $P_{in}$  and  $P_{out}$ , the higher the representative capacity of the state. The norm of the non-normalized state  $\hat{S}$  is  $Tr(\hat{S}\hat{S}^\dagger) \leq 1$  for  $II_1$  factors, and at the limit  $\hat{S} = S$  the norm equals to 1. Hence, by  $II_1$  property, the state always entangles infinite number of states, and can in principle code the entire  $S$ -matrix to entanglement coefficients.

The states in which positive and negative energy states are entangled by a projection of  $S$ -matrix might define only a particular instance of states for which conserved quantum numbers vanish. The model for the interaction of Feynman diagrams discussed below applies also to these more general states.

### The interaction of $\mathcal{M}_n$ Feynman diagrams at the second level of hierarchy

What constraints can one pose to the higher level reactions? How Feynman diagrams interact? Consider first the scattering at the second level of hierarchy ( $\mathcal{M}_1$ ), the first level  $\mathcal{M}_0$  being assigned to the interactions of the ordinary matter.

1. Conservation laws pose constraints on the scattering at level  $\mathcal{M}_1$ . The Feynman diagrams can transform to new Feynman diagrams only in such a manner that the net quantum numbers are

conserved separately for the initial positive energy states and final negative energy states of the diagram. The simplest assumption is that positive energy matter and negative energy matter know nothing about each other and effectively live in separate worlds. The scattering matrix form Feynman diagram like states would thus be simply the tensor product  $S \otimes S^\dagger$ , where  $S$  is the  $S$ -matrix characterizing the lowest level interactions and identifiable as unitary factor of  $M$ -matrix for zero energy states. Reductionism would be realized in the sense that, apart from the new elements brought in by  $\Delta_{\mathcal{M}_n}$  defining single particle free dynamics, the lowest level would determine in principle everything occurring at the higher level providing representations about representations about... for what occurs at the basic level. The lowest level would represent the physical world and higher levels the theory about it.

2. The description of hadronic reactions in terms of partons serves as a guide line when one tries to understand higher level Feynman diagrams. The fusion of hadronic space-time sheets corresponds to the vertices  $\mathcal{M}_1$ . In the vertex the analog of parton plasma is formed by a process known as parton fragmentation. This means that the partonic Feynman diagrams belonging to disjoint copies of  $\mathcal{M}_0$  find themselves inside the same copy of  $\mathcal{M}_0$ . The standard description would apply to the scattering of the initial *resp.* final state partons.
3. After the scattering of partons hadronization takes place. The analog of hadronization in the recent case is the organization of the initial and final state partons to groups  $I_i$  and  $F_i$  such that the net conserved quantum numbers are same for  $I_i$  and  $F_i$ . These conditions can be satisfied if the interactions in the plasma phase occur only between particles belonging to the clusters labeled by the index  $i$ . Otherwise only single particle states in  $\mathcal{M}_1$  would be produced in the reactions in the generic case. The cluster decomposition of  $S$ -matrix to a direct sum of terms corresponding to partitions of the initial state particles to clusters which do not interact with each other obviously corresponds to the "hadronization". Therefore no new dynamics need to be introduced.
4. One cannot avoid the question whether the parton picture about hadrons indeed corresponds to a higher level physics of this kind. This would require that hadronic space-time sheets carry the net quantum numbers of hadrons. The net quantum numbers associated with the initial state partons would be naturally identical with the net quantum numbers of hadron. Partons and their negative energy conjugates would provide in this picture a representation of hadron about hadron. This kind of interpretation of partons would make understandable why they cannot be observed directly. A possible objection is that the net gravitational mass of hadron would be three times the gravitational mass deduced from the inertial mass of hadron if partons feed their gravitational fluxes to the space-time sheet carrying Earth's gravitational field.
5. This picture could also relate to the suggested duality between string and parton pictures [19]. In parton picture hadron is formed from partons represented by space-like 2-surfaces  $X_i^2$  connected by join along boundaries bonds. In string picture partonic 2-surfaces are replaced with string orbits. If one puts positive and negative energy particles at the ends of string diagram one indeed obtains a higher level representation of hadron. If these pictures are dual then also in parton picture positive and negative energies should compensate each other. Interestingly, light-like 3-D causal determinants identified as orbits of partons could be interpreted as orbits of light like string world sheets with "time" coordinate varying in space-like direction.

### Scattering of Feynman diagrams at the higher levels of hierarchy

This picture generalizes to the description of higher level Feynman diagrams.

1. Assume that higher level vertices have recursive structure allowing to reduce the Feynman diagrams to ordinary Feynman diagrams by a procedure consisting of finite steps.
2. The lines of diagrams are classified as incoming or outgoing lines according to whether the time orientation of the line is positive or negative. The time orientation is associated with the time parameter  $t_n$  characterizing the automorphism  $\Delta_{\mathcal{M}_n}^{it_n}$ . The incoming and outgoing net quantum numbers compensate each other. These quantum numbers are basically the quantum numbers of the state at the lowest level of the hierarchy.

3. In the vertices the  $\mathcal{M}_{n+1}$  particles fuse and  $\mathcal{M}_n$  particles form the analog of quark gluon plasma. The initial and final state particles of  $\mathcal{M}_n$  Feynman diagram scatter independently and the  $S$ -matrix  $S_{n+1}$  describing the process is tensor product  $S_n \otimes S_n^\dagger$ . By the clustering property of  $S$ -matrix, this scattering occurs only for groups formed by partons formed by the incoming and outgoing particles  $\mathcal{M}_n$  particles and each outgoing  $\mathcal{M}_{n+1}$  line contains and irreducible  $\mathcal{M}_n$  diagram. By continuing the recursion one finally ends down with ordinary Feynman diagrams.

### 11.8.3 Logic, beliefs, and spinor fields in the world of classical worlds

Beliefs can be characterized as Boolean value maps  $\beta_i(p)$  telling whether  $i$  believes in proposition  $p$  or not. Additional structure is brought in by introducing the map  $\lambda_i(p)$  telling whether  $p$  is true or not in the environment of  $i$ . The task is to find quantum counterpart for this model.

#### Configuration space spinors as logic statements

In TGD framework the infinite-dimensional configuration space (CH) spinor fields defined in CH, the "world of classical worlds", describe quantum states of the Universe [31]. CH spinor field can be regarded as a state in infinite-dimensional Fock space and are labeled by a collection of various two valued indices like spin and weak isospin. The interpretation is as a collection of truth values of logic statements one for each fermionic oscillator operator in the state. For instance, spin up and down would correspond to two possible truth values of a proposition characterized by other quantum numbers of the mode.

The hierarchy of space-time sheet could define a physical correlate for the hierarchy of higher order logics (statements about statements about...). The space-time sheet containing  $N$  fermions topologically condensed at a larger space-time sheet behaves as a fermion or boson depending on whether  $N$  is odd or even. This hierarchy has also a number theoretic counterpart: the construction of infinite primes [16] corresponds to a repeated second quantization of a super-symmetric quantum field theory.

#### Quantal description of beliefs

The question is whether TGD inspired theory of consciousness allows a fundamental description of beliefs.

1. Beliefs define a model about some subsystem of universe constructed by the believer. This model can be understood as some kind of representation of real word in the state space representing the beliefs.
2. One can wonder what is the difference between real and p-adic variants of CH spinor fields and whether they could represent reality and beliefs about reality. CH spinors (as opposed to spinor fields) are constructible in terms of fermionic oscillator operators and seem to be universal in the sense that one cannot speak about p-adic and real CH spinors as different objects. Real/ p-adic spinor fields however have real/p-adic space-time sheets as arguments. This would suggest that there is no fundamental difference between the logic statements represented by p-adic and real CH spinors.

These observations suggest a more concrete view about how beliefs emerge physically.

The idea that p-adic CH spinor fields could serve as representations of beliefs and real CH spinor fields as representations of reality looks very nice but the fact that the outcomes of p-adic-to-real phase transition and its reversal are highly non-predictable does not support it as such.

Quantum statistical determinism could however come into rescue. Belief could be represented as an ensemble of p-adic mental images resulting in transitions of real mental images representing reality to p-adic states. p-Adic ensemble average would represent the belief.

It is not at all clear whether real-to-padic transitions can occur at high enough rate since p-adic-to-real transition are expected to be highly irreversible. The real initial states much have nearly vanishing quantum numbers emitted in the transition to p-adic state to guarantee conservation laws (p-adic conservation laws hold true only piecewise since conserved quantities are pseudo constants). The system defined by an ensemble of real Boolean mental images representing reality would automatically generate a p-adic variant representing a belief about reality.

p-Adic CH spinors can also represent the cognitive aspects of intention whereas p-adic space-time sheets would represent its geometric aspects reflected in sensory experience. p-Adic space-time sheet could also serve only as a space-time correlate for the fundamental representation of intention in terms of p-adic CH spinor field. This view is consistent with the proposed identification of beliefs since the transitions associated with intentions *resp.* beliefs would be p-adic-to-real *resp.* real-to-padic.

#### 11.8.4 Jones inclusions for hyperfinite factors of type $II_1$ as a model for symbolic and cognitive representations

Consider next a more detailed model for how cognitive representations and beliefs are realized at quantum level. This model generalizes trivially to symbolic representations.

The Clifford algebra of gamma matrices associated with CH spinor fields corresponds to a von Neumann algebra known as hyper-finite factor of type  $II_1$ . The mathematics of these algebras is extremely beautiful and reproduces basic mathematical structures of modern physics (conformal field theories, quantum groups, knot and braid groups,...) from the mere assumption that the world of classical worlds possesses infinite-dimensional Kähler geometry and allows spinor structure.

The almost defining feature is that the infinite-dimensional unit matrix of the Clifford algebra in question has by definition unit trace. Type  $II_1$  factors allow also what are known as Jones inclusions of Clifford algebras  $\mathcal{N} \subset \mathcal{M}$ . What is special to  $II_1$  factors is that the induced unitary mappings between spinor spaces are genuine inclusions rather than 1-1 maps.

The S-matrix associated with the real-to-p-adic quantum transition inducing belief from reality would naturally define Jones inclusion of CH Clifford algebra  $\mathcal{N}$  associated with the real space-time sheet to the Clifford algebra  $\mathcal{M}$  associated with the p-adic space-time sheet. The moduli squared of S-matrix elements would define probabilities for pairs or real and belief states.

In Jones inclusion  $\mathcal{N} \subset \mathcal{M}$  the factor  $\mathcal{N}$  is included in factor  $\mathcal{M}$  such that  $\mathcal{M}$  can be expressed as  $\mathcal{N}$ -module over quantum space  $\mathcal{M}/\mathcal{N}$  which has fractal dimension given by Jones index  $\mathcal{M} : \mathcal{N} = 4\cos^2(\pi/n) \leq 4$ ,  $n = 3, 4, \dots$  varying in the range  $[1, 4]$ . The interpretation is as the fractal dimension corresponding to a dimension of Clifford algebra acting in  $d = \sqrt{\mathcal{M} : \mathcal{N}}$ -dimensional spinor space:  $d$  varies in the range  $[1, 2]$ . The interpretation in terms of a quantal variant of logic is natural.

##### Probabilistic beliefs

For  $\mathcal{M} : \mathcal{N} = 4$  ( $n = \infty$ ) the dimension of spinor space is  $d = 2$  and one can speak about ordinary 2-component spinors with  $\mathcal{N}$ -valued coefficients representing generalizations of qubits. Hence the inclusion of a given  $\mathcal{N}$ -spinor as M-spinor can be regarded as a belief on the proposition and for the decomposition to a spinor in N-module  $\mathcal{M}/\mathcal{N}$  involves for each index a choice  $\mathcal{M}/\mathcal{N}$  spinor component selecting super-position of up and down spins. Hence one has a superposition of truth values in general and one can speak only about probabilistic beliefs. It is not clear whether one can choose the basis in such a manner that  $\mathcal{M}/\mathcal{N}$  spinor corresponds always to truth value 1. Since CH spinor field is in question and even if this choice might be possible for a single 3-surface, it need not be possible for deformations of it so that at quantum level one can only speak about probabilistic beliefs.

##### Fractal probabilistic beliefs

For  $d < 2$  the spinor space associated with  $\mathcal{M}/\mathcal{N}$  can be regarded as quantum plane having complex quantum dimension  $d$  with two non-commuting complex coordinates  $z^1$  and  $z^2$  satisfying  $z^1 z^2 = q z^2 z^1$  and  $\overline{z^1 z^2} = \overline{q} \overline{z^2 z^1}$ . These relations are consistent with hermiticity of the real and imaginary parts of  $z^1$  and  $z^2$  which define ordinary quantum planes. Hermiticity also implies that one can identify the complex conjugates of  $z^i$  as Hermitian conjugates.

The further commutation relations  $[z^1, z^2] = [z^2, \overline{z^1}] = 0$  and  $[z^1, \overline{z^1}] = [z^2, \overline{z^2}] = r$  give a closed algebra satisfying Jacobi identities. One could argue that  $r \geq 0$  should be a function  $r(n)$  of the quantum phase  $q = \exp(i2\pi/n)$  vanishing at the limit  $n \rightarrow \infty$  to guarantee that the algebra becomes commutative at this limit and truth values can be chosen to be non-fuzzy.  $r = \sin(\pi/n)$  would be the simplest choice. As will be found, the choice of  $r(n)$  does not however affect at all the spectrum for the probabilities of the truth values.  $n = \infty$  case corresponding to non-fuzzy quantum logic is also possible and must be treated separately: it corresponds to Kac Moody algebra instead of quantum groups.



The non-commutativity of complex spinor components means that  $z^1$  and  $z^2$  are not independent coordinates: this explains the reduction of the number of the effective number of truth values to  $d < 2$ . The maximal reduction occurs to  $d = 1$  for  $n = 3$  so that there is effectively only single truth value and one could perhaps speak about taboo or dogma or complete disappearance of the notions of truth and false (this brings in mind reports about meditative states: in fact  $n = 3$  corresponds to a phase in which Planck constant becomes infinite so that the system is maximally quantal).

As non-commuting operators the components of  $d$ -spinor are not simultaneously measurable for  $d < 2$ . It is however possible to measure simultaneously the operators describing the probabilities  $z^1 \overline{z^1}$  and  $z^2 \overline{z^2}$  for truth values since these operators commute. An inherently fuzzy Boolean logic would be in question with the additional feature that the spinorial counterparts of statement and its negation cannot be regarded as independent observables although the corresponding probabilities satisfy the defining conditions for commuting observables.

If one can speak of a measurement of probabilities for  $d < 2$ , it differs from the ordinary quantum measurement in the sense that it cannot involve a state function reduction to a pure qubit meaning irreducible quantal fuzziness. One could speak of fuzzy qbits or fqbits (or quantum qbits) instead of qbits. This picture would provide the long sought interpretation for quantum groups.

The previous picture applies to all representations  $M_1 \subset M_2$ , where  $M_1$  and  $M_2$  denote either real or p-adic Clifford algebras for some prime  $p$ . For instance, real-real Jones inclusion could be interpreted as symbolic representations assignable to a unitary mapping of the states of a subsystem  $M_1$  of the external world to the state space  $M_2$  of another real subsystem.  $p_1 \rightarrow p_2$  unitary inclusions would in turn map cognitive representations to cognitive representations. There is a strong temptation to assume that these Jones inclusions define unitary maps realizing universe as a universal quantum computer mimicking itself at all levels utilizing cognitive and symbolic representations. Subsystem-system inclusion would naturally define one example of Jones inclusion.

### The spectrum of probabilities of truth values is universal

It is actually possible to calculate the spectrum of the probabilities of truth values with rather mild additional assumptions.

1. Since the Hermitian operators  $X_1 = (z^1 \overline{z^1} + \overline{z^1} z^1)/2$  and  $X_2 = (z^2 \overline{z^2} + \overline{z^2} z^2)/2$  commute, physical states can be chosen to be eigen states of these operators and it is possible to assign to the truth values probabilities given by  $p_1 = X_1/R^2$  and  $p_2 = X_2/R^2$ ,  $R^2 = X_1 + X_2$ .
2. By introducing the analog of the harmonic oscillator vacuum as a state  $|0\rangle$  satisfying  $z^1|0\rangle = z^2|0\rangle = 0$ , one obtains eigen states of  $X_1$  and  $X_2$  as states  $|n_1, n_2\rangle = \overline{z^1}^{n_1} \overline{z^2}^{n_2} |0\rangle$ ,  $n_1 \geq 0, n_2 \geq 0$ . The eigenvalues of  $X_1$  and  $X_2$  are given by a modified harmonic oscillator spectrum as  $(1/2 + n_1 q^{n_2})r$  and  $(1/2 + n_2 q^{n_1})r$ . The reality of eigenvalues (hermiticity) is guaranteed if one has  $n_1 = N_1 n$  and  $n_2 = N_2 n$  and implies that the spectrum of eigen states gets increasingly thinner for  $n \rightarrow \infty$ . This must somehow reflect the fractal dimension. The fact that large values of oscillator quantum numbers  $n_1$  and  $n_2$  correspond to the classical limit suggests that modulo condition guarantees approximate classicality of the logic for  $n \rightarrow \infty$ .
3. The probabilities  $p_1$  and  $p_2$  for the truth values given by  $(p_1, p_2) = (1/2 + N_1 n, 1/2 + N_2 n)/[1 + (N_1 + N_2)n]$  are rational and allow an interpretation as both real and p-adic numbers. All states are inherently fuzzy and only at the limits  $N_1 \gg N_2$  and  $N_2 \gg N_1$  non-fuzzy states result. As noticed,  $n = \infty$  must be treated separately and corresponds to an ordinary non-fuzzy qbit logic. At  $n \rightarrow \infty$  limit one has  $(p_1, p_2) = (N_1, N_2)/(N_1 + N_2)$ : at this limit  $N_1 = 0$  or  $N_2 = 0$  states are non-fuzzy.

### How to define variants of belief quantum mechanically?

Probabilities of true and false for Jones inclusion characterize the plausibility of the belief and one can ask whether this description is enough to characterize states such as knowledge, misbelief, doubt, delusion, and ignorance. The truth value of  $\beta_i(p)$  is determined by the measurement of probability assignable to Jones inclusion on the p-adic side. The truth value of  $\lambda_i(p)$  is determined by a similar measurement on the real side.  $\beta$  and  $\lambda$  appear completely symmetrically and one can consider all kinds of triplets  $\mathcal{M}_1 \subset \mathcal{M}_2 \subset \mathcal{M}_3$  assuming that there exist unitary S-matrix like maps mediating a

sequence  $\mathcal{M}_1 \subset \mathcal{M}_2 \subset \mathcal{M}_3$  of Jones inclusions. Interestingly, the hierarchies of Jones inclusions are a key concept in the theory of hyper-finite factors of type  $II_1$  and pair of inclusions plays a fundamental role.

Let us restrict the consideration to the situation when  $\mathcal{M}_1$  corresponds to a real subsystem of the external world,  $\mathcal{M}_2$  its real representation by a real subsystem, and  $\mathcal{M}_3$  to p-adic cognitive representation of  $\mathcal{M}_3$ . Assume that both real and p-adic sides involve a preferred state basis for qubits representing truth and false.

Assume first that both  $\mathcal{M}_1 \subset \mathcal{M}_2$  and  $\mathcal{M}_2 \subset \mathcal{M}_3$  correspond to  $d = 2$  case for which ordinary quantum measurement or truth value is possible giving outcome true or false. Assume further that the truth values have been measured in both  $\mathcal{M}_2$  and  $\mathcal{M}_3$ .

1. Knowledge corresponds to the proposition  $\beta_i(p) \wedge \lambda_i(p)$ .
2. Misbelief to the proposition  $\beta_i(p) \wedge \neq \lambda_i(p)$ .  
Knowledge and misbelief would involve both the measurement of real and p-adic probabilities .
3. Assume next that one has  $d < 2$  form  $\mathcal{M}_2 \subset \mathcal{M}_3$ . Doubt can be regarded neither belief or disbelief:  $\beta_i(p) \wedge \neq \beta_i(\neq p)$ : belief is inherently fuzzy although proposition can be non-fuzzy.  
Assume next that truth values in  $\mathcal{M}_1 \subset \mathcal{M}_2$  inclusion corresponds to  $d < 2$  so that the basic propositions are inherently fuzzy.
4. Delusion is a belief which cannot be justified:  $\beta_i(p) \wedge \lambda_i(p) \wedge \neq \lambda(\neq p)$ . This case is possible if  $d = 2$  holds true for  $\mathcal{M}_2 \subset \mathcal{M}_3$ . Note that also misbelief that cannot be shown wrong is possible.  
In this case truth values cannot be quantum measured for  $\mathcal{M}_1 \subset \mathcal{M}_2$  but can be measured for  $\mathcal{M}_2 \subset \mathcal{M}_3$ . Hence the states are products of pure  $\mathcal{M}_3$  states with fuzzy  $\mathcal{M}_2$  states.
5. Ignorance corresponds to the proposition  $\beta_i(p) \wedge \neq \beta_i(\neq p) \wedge \lambda_i(p) \wedge \neq \lambda(\neq p)$ . Both real representational states and belief states are inherently fuzzy.

Quite generally, only for  $d_1 = d_2 = 2$  ideal knowledge and ideal misbelief are possible. Fuzzy beliefs and logics approach to ordinary one at the limit  $n \rightarrow \infty$ , which according to the proposal of [30] corresponds to the ordinary value of Planck constant. For other cases these notions are only approximate and quantal approach allows to characterize the goodness of the approximation. A new kind of inherent quantum uncertainty of knowledge is in question and one could speak about a Uncertainty Principle for cognition and symbolic representations. Also the unification of symbolic and various kinds of cognitive representations deserves to be mentioned.

### 11.8.5 Intentional comparison of beliefs by topological quantum computation?

Intentional comparison would mean that for a given initial state also the final state of the quantum jump is fixed. This requires the ability to engineer S-matrix so that it leads from a given state to single state only. Any S-matrix representing permutation of the initial states fulfills these conditions. This condition is perhaps unnecessarily strong.

Quantum computation is basically the engineering of S-matrix so that it represents a superposition of parallel computations. In TGD framework topological quantum computation based on the braiding of magnetic flux tubes would be represented as an evolution characterized by braid [35]. The dynamical evolution would be associated with light-like boundaries of braids. This evolution has dual interpretations either as a limit of time evolution of quantum state (program running) or a quantum state satisfying conformal invariance constraints (program code).

The dual interpretation would mean that conformally invariant states are equivalent with engineered time evolutions and topological computation realized as braiding connecting the quantum states to be compared (beliefs represented as many-fermion states at the boundaries of magnetic flux tubes) could give rise to conscious computational comparison of beliefs. The complexity of braiding would give a measure for how much the states to be compared differ.

Note that quantum computation is defined by a unitary map which could also be interpreted as symbolic representation of states of system  $M_1$  as states of system  $M_2$  mediated by the braid of

join along boundaries bonds connecting the two space-time sheets in question and having light-like boundaries. These considerations suggest that the idea about S-matrix of the Universe should be generalized so that the dynamics of the Universe is dynamics of mimicry described by an infinite collection of fermionic S-matrices representable in terms of Jones inclusions.

### 11.8.6 The stability of fuzzy qbits and quantum computation

The stability of fqbts against state function reduction might have deep implications for quantum computation since quantum spinors would be stable against state function reduction induced by the perturbations inducing de-coherence in the normal situation. If this is really true, and if the only dangerous perturbations are those inducing the phase transition to qbits, the implications for quantum computation could be dramatic. Of course, the rigidity of qbits could be just another way to say that topological quantum computations are stable against thermal perturbations not destroying anyons [35].

The stability of fqbts could also be another manner to state the stability of rational, or more generally algebraic, bound state entanglement against state function reduction, which is one of the basic hypothesis of TGD inspired theory of consciousness [34]. For sequences of Jones inclusions or equivalently, for multiple Connes tensor products, one would obtain tensor products of quantum spinors making possible arbitrary complex configurations of fqbts. Anyonic braids in topological quantum computation would have interpretation as representations for this kind of tensor products.

### 11.8.7 Fuzzy quantum logic and possible anomalies in the experimental data for the EPR-Bohm experiment

The experimental data for EPR-Bohm experiment [103] excluding hidden variable interpretations of quantum theory. What is less known that the experimental data indicates about possibility of an anomaly challenging quantum mechanics [102]. The obvious question is whether this anomaly might provide a test for the notion of fuzzy quantum logic inspired by the TGD based quantum measurement theory with finite measurement resolution.

#### The anomaly

The experimental situation involves emission of two photons from spin zero system so that photons have opposite spins. What is measured are polarizations of the two photons with respect to polarization axes which differ from standard choice of this axis by rotations around the axis of photon momentum characterized by angles  $\alpha$  and  $\beta$ . The probabilities for observing polarizations  $(i, j)$ , where  $i, j$  is taken  $Z_2$  valued variable for a convenience of notation are  $P_{ij}(\alpha, \beta)$ , are predicted to be  $P_{00} = P_{11} = \cos^2(\alpha - \beta)/2$  and  $P_{01} = P_{10} = \sin^2(\alpha - \beta)/2$ .

Consider now the discrepancies.

1. One has four identities  $P_{i,i} + P_{i,i+1} = P_{ii} + P_{i+1,i} = 1/2$  having interpretation in terms of probability conservation. Experimental data of [103] are not consistent with this prediction [104] and this is identified as the anomaly.
2. The QM prediction  $E(\alpha, \beta) = \sum_i (P_{i,i} - P_{i,i+1}) = \cos(2(\alpha - \beta))$  is not satisfied neither: the maxima for the magnitude of  $E$  are scaled down by a factor  $\simeq .9$ . This deviation is not discussed in [104].

Both these findings raise the possibility that QM might not be consistent with the data. It turns out that fuzzy quantum logic predicted by TGD and implying that the predictions for the probabilities and correlation must be replaced by ensemble averages, can explain anomaly b) but not anomaly a). A "mundane" explanation for anomaly a) is proposed.

#### Predictions of fuzzy quantum logic for the probabilities and correlations

1. *The description of fuzzy quantum logic in terms statistical ensemble*

The fuzzy quantum logic implies that the predictions  $P_{i,j}$  for the probabilities should be replaced with ensemble averages over the ensembles defined by fuzzy quantum logic. In practice this means that following replacements should be carried out:

$$P_{i,j} \rightarrow P^2 P_{i,j} + (1-P)^2 P_{i+1,j+1} + P(1-P) [P_{i,j+1} + P_{i+1,j}] . \quad (11.8.1)$$

Here  $P$  is one of the state dependent universal probabilities/fuzzy truth values for some value of  $n$  characterizing the measurement situation. The concrete predictions would be following

$$\begin{aligned} P_{0,0} = P_{1,1} &\rightarrow A \frac{\cos^2(\alpha - \beta)}{2} + B \frac{\sin^2(\alpha - \beta)}{2} \\ &= (A - B) \frac{\cos^2(\alpha - \beta)}{2} + \frac{B}{2} , \\ P_{0,1} = P_{1,0} &\rightarrow A \frac{\sin^2(\alpha - \beta)}{2} + B \frac{\cos^2(\alpha - \beta)}{2} \\ &= (A - B) \frac{\sin^2(\alpha - \beta)}{2} + \frac{B}{2} , \\ A &= P^2 + (1 - P)^2 , \quad B = 2P(1 - P) . \end{aligned} \quad (11.8.2)$$

The prediction is that the graphs of probabilities as a function as function of the angle  $\alpha - \beta$  are scaled by a factor  $1 - 4P(1 - P)$  and shifted upwards by  $P(1 - P)$ . The value of  $P$ , and one might hope even the value of  $n$  labeling Jones inclusion and the integer  $m$  labeling the quantum state might be deducible from the experimental data as the upward shift. The basic prediction is that the maxima of curves measuring probabilities  $P(i, j)$  have minimum at  $B/2 = P(1 - P)$  and maximum is scaled down to  $(A - B)/2 = 1/2 - 2P(1 - P)$ .

If the  $P$  is same for all pairs  $i, j$ , the correlation  $E = \sum_i (P_{ii} - P_{i,i+1})$  transforms as

$$E(\alpha, \beta) \rightarrow [1 - 4P(1 - P)] E(\alpha, \beta) . \quad (11.8.3)$$

Only the normalization of  $E(\alpha, \beta)$  as a function of  $\alpha - \beta$  reducing the magnitude of  $E$  occurs. In particular the maximum/minimum of  $E$  are scaled down from  $E = \pm 1$  to  $E = \pm(1 - 4P(1 - P))$ .

From the figure 1b) of [104] the scaling down indeed occurs for magnitudes of  $E$  with same amount for minimum and maximum. Writing  $P = 1 - \epsilon$  one has  $A - B \simeq 1 - 4\epsilon$  and  $B \simeq 2\epsilon$  so that the maximum is in the first approximation predicted to be at  $1 - 4\epsilon$ . The graph would give  $1 - P \simeq \epsilon \simeq .025$ . Thus the model explains the reduction of the magnitude for the maximum and minimum of  $E$  which was not however considered to be an anomaly in [102, 104].

A further prediction is that the identities  $P(i, i) + P(i + 1, i) = 1/2$  should still hold true since one has  $P_{i,i} + P_{i,i+1} = (A - B)/2 + B = 1$ . This is implied also by probability conservation. The four curves corresponding to these identities do not however co-incide as the figure 6 of [104] demonstrates. This is regarded as the basic anomaly in [102, 104]. From the same figure it is also clear that below  $\alpha - \beta < 10$  degrees  $P_{++} = P_{--}$   $\Delta P_{+-} = -\Delta P_{-+}$  holds true in a reasonable approximation. After that one has also non-vanishing  $\Delta P_{ii}$  satisfying  $\Delta P_{++} = -\Delta P_{--}$ . This kind of splittings guarantee the identity  $\sum_{i,j} P_{ij} = 1$ . These splittings are not visible in  $E$ .

Since probability conservation requires  $P_{ii} + P_{i,i+1} = 1$ , a mundane explanation for the discrepancy could be that the failure of the conditions  $P_{i,i} + P_{i,i+1} = 1$  means that the measurement efficiency is too low for  $P_{+-}$  and yields too low values of  $P_{+-} + P_{-+}$  and  $P_{+-} + P_{++}$ . The constraint  $\sum_{i,j} P_{ij} = 1$  would then yield too high value for  $P_{-+}$ . Similar reduction of measurement efficiency for  $P_{++}$  could explain the splitting for  $\alpha - \beta > 10$  degrees.

Clearly asymmetry with respect to exchange of photons or of detectors is in question.

1. The asymmetry of two photon state with respect to the exchange of photons could be considered as a source of asymmetry. This would mean that the photons are not maximally entangled. This could be seen as an alternative "mundane" explanation.

2. The assumption that the parameter  $P$  is different for the detectors does not change the situation as is easy to check.
3. One manner to achieve splittings which resemble observed splittings is to assume that the value of the probability parameter  $P$  depends on the *polarization pair*:  $P = P(i, j)$  so that one has  $(P(-, +), P(+, -)) = (P + \Delta, P - \Delta)$  and  $(P(-, -), P(+, +)) = (P + \Delta_1, P - \Delta_1)$ .  $\Delta \simeq .025$  and  $\Delta_1 \simeq \Delta/2$  could produce the observed splittings qualitatively. One would however always have  $P(i, i) + P(i, i + 1) \geq 1/2$ . Only if the procedure extracting the correlations uses the constraint  $\sum_{i,j} P_{ij} = 1$  effectively inducing a constant shift of  $P_{ij}$  downwards an asymmetry of observed kind can result. A further objection is that there are no special reason for the values of  $P(i, j)$  to satisfy the constraints.

2. *Is it possible to say anything about the value of  $n$  in the case of EPR-Bohm experiment?*

To explain the reduction of the maximum magnitudes of the correlation  $E$  from 1 to  $\sim .9$  in the experiment discussed above one should have  $p_1 \simeq .9$ . It is interesting to look whether this allows to deduce any information about the value of  $n$ . At the limit of large values of  $N_i n$  one would have  $(N_1 - N_2)/(N_1 + N_2) \simeq .4$  so that one cannot say anything about  $n$  in this case.  $(N_1, N_2) = (3, 1)$  satisfies the condition exactly. For  $n = 3$ , the smallest possible value of  $n$ , this would give  $p_1 \simeq .88$  and for  $n = 4$   $p_1 = .41$ . With high enough precision it might be possible to select between  $n = 3$  and  $n = 4$  options if small values of  $N_i$  are accepted.

### 11.8.8 Category theoretic formulation for quantum measurement theory with finite measurement resolution?

I have been trying to understand whether category theory might provide some deeper understanding about quantum TGD, not just as a powerful organizer of fuzzy thoughts but also as a tool providing genuine physical insights. Marni Dee Sheppard (or Kea in her blog Arcadian Functor at <http://keamonad.blogspot.com/>) is also interested in categories but in much more technical sense. Her dream is to find a category theoretical formulation of M-theory as something, which is not the 11-D something making me rather unhappy as a physicist with second foot still deep in the muds of low energy phenomenology.

#### Locales, frames, Sierpinski topologies and Sierpinski space

The ideas below popped up when Kea mentioned in M-theory lesson 51 the notions of locale and frame [54]. In Wikipedia I learned that complete Heyting algebras, which are fundamental to category theory, are objects of three categories with differing arrows. CHey, Loc and its opposite category Frm (arrows reversed). Complete Heyting algebras are partially ordered sets which are complete lattices. Besides the basic logical operations there is also algebra multiplication (I have considered the possible role of categories and Heyting algebras in TGD in [32]). From Wikipedia I also learned that locales and the dual notion of frames form the foundation of pointless topology [75]. These topologies are important in topos theory which does not assume axiom of choice.

The so called particular point topology [79] assumes a selection of single point but I have the physicist's feeling that it is otherwise rather near to pointless topology. Sierpinski topology [78] is this kind of topology. Sierpinski topology is defined in a simple manner: the set is open only if it contains a given preferred point  $p$ . The dual of this topology defined in the obvious sense exists also. Sierpinski space consisting of just two points 0 and 1 is the universal building block of these topologies in the sense that a map of an arbitrary space to Sierpinski space provides it with Sierpinski topology as the induced topology. In category theoretical terms Sierpinski space is the initial object in the category of frames and terminal object in the dual category of locales. This category theoretic reductionism looks highly attractive.

#### Particular point topologies, their generalization, and number theoretical braids

Pointless, or rather particular point topologies might be very interesting from physicist's point of view. After all, every classical physical measurement has a finite space-time resolution. In TGD framework discretization by number theoretic braids replaces partonic 2-surface with a discrete set consisting of

algebraic points in some extension of rationals: this brings in mind something which might be called a topology with a set of particular algebraic points. Could this preferred set belong to any open set in the particular point topology appropriate in this situation?

Perhaps the physical variant for the axiom of choice could be restricted so that only sets of algebraic points in some extension of rationals can be chosen freely and the choice is defined by the intersection of p-adic and real partonic 2-surfaces and in the framework of TGD inspired theory of consciousness would thus involve the interaction of cognition and intentionality with the material world. The extension would depend on the position of the physical system in the algebraic evolutionary hierarchy defining also a cognitive hierarchy. Certainly this would fit very nicely to the formulation of quantum TGD unifying real and p-adic physics by gluing real and p-adic number fields to single super-structure via common algebraic points.

### Analogs of particular point topologies at the level of state space: finite measurement resolution

There is also a finite measurement resolution in Hilbert space sense not taken into account in the standard quantum measurement theory based on factors of type I. In TGD framework one indeed introduces quantum measurement theory with a finite measurement resolution so that complex rays become included hyper-finite factors of type  $II_1$  (HFFs).

1. Could topology with particular algebraic points have a generalization allowing a category theoretic formulation of the quantum measurement theory without states identified as complex rays?
2. How to achieve this? In the transition of ordinary Boolean logic to quantum logic in the old fashioned sense (von Neuman again!) the set of subsets is replaced with the set of subspaces of Hilbert space. Perhaps this transition has a counterpart as a transition from Sierpinski topology to a structure in which sub-spaces of Hilbert space are quantum sub-spaces with complex rays replaced with the orbits of subalgebra defining the measurement resolution. Sierpinski space  $\{0,1\}$  would in this generalization be replaced with the quantum counterpart of the space of 2-spinors. Perhaps one should also introduce q-category theory with Heyting algebra being replaced with q-quantum logic.

### Fuzzy quantum logic as counterpart for Sierpinski space

The program formulated above might indeed make sense. The lucky association induced by Kea's blog was to the ideas about fuzzy quantum logic realized in terms of quantum 2-spinor that I had developed a couple of years ago. Fuzzy quantum logic would reflect the finite measurement resolution. I just list the pieces of the argument.

**Spinors and qbits:** Spinors define a quantal variant of Boolean statements, qbits. One can however go further and define the notion of quantum qbit, qqbit. I indeed did this for couple of years ago (the last section of this chapter).

**Q-spinors and qqbits:** For q-spinors the two components  $a$  and  $b$  are not commuting numbers but non-Hermitian operators:  $ab = qba$ ,  $q$  a root of unity. This means that one cannot measure both  $a$  and  $b$  simultaneously, only either of them.  $aa^\dagger$  and  $bb^\dagger$  however commute so that probabilities for bits 1 and 0 can be measured simultaneously. State function reduction is not possible to a state in which  $a$  or  $b$  gives zero. The interpretation is that one has q-logic is inherently fuzzy: there are no absolute truths or falsehoods. One can actually predict the spectrum of eigenvalues of probabilities for say 1. Obviously quantum spinors would be state space counterparts of Sierpinski space and for  $q \neq 1$  the choice of preferred spinor component is very natural. Perhaps this fuzzy quantum logic replaces the logic defined by the Heyting algebra.

**Q-locale:** Could one think of generalizing the notion of locale to quantum locale by using the idea that sets are replaced by sub-spaces of Hilbert space in the conventional quantum logic. Q-openness would be defined by identifying quantum spinors as the initial object,  $q$ -Sierpinski space.  $a$  (resp.  $b$  for the dual category) would define q-open set in this space. Q-open sets for other quantum spaces would be defined as inverse images of  $a$  (resp.  $b$ ) for morphisms to this space. Only for  $q=1$  one could have the q-counterpart of rather uninteresting topology in which all sets are open and every map is continuous.

**Q-locale and HFFs:** The  $q$ -Sierpinski character of  $q$ -spinors would conform with the very special role of Clifford algebra in the theory of HFFs, in particular, the special role of Jones inclusions to which one can assign spinor representations of  $SU(2)$ . The Clifford algebra and spinors of the world of classical worlds identifiable as Fock space of quark and lepton spinors is the fundamental example in which 2-spinors and corresponding Clifford algebra serves as basic building brick although tensor powers of any matrix algebra provides a representation of HFF.

**Q-measurement theory:** Finite measurement resolution ( $q$ -quantum measurement theory) means that complex rays are replaced by sub-algebra rays. This would force the Jones inclusions associated with  $SU(2)$  spinor representation and would be characterized by quantum phase  $q$  and bring in the  $q$ -topology and  $q$ -spinors. Fuzzyness of qubits of course correlates with the finite measurement resolution.

**Q-n-logos:** For other  $q$ -representations of  $SU(2)$  and for representations of compact groups (Appendix) one would obtain something which might have something to do with quantum  $n$ -logos, quantum generalization of  $n$ -valued logic. All of these would be however less fundamental and induced by  $q$ -morphisms to the fundamental representation in terms of spinors of the world of classical worlds. What would be however very nice that if these  $q$ -morphisms are constructible explicitly it would become possible to build up  $q$ -representations of various groups using the fundamental physical realization - and as I have conjectured [5] - McKay correspondence and huge variety of its generalizations would emerge in this manner.

**The analogs of Sierpinski spaces:** The discrete subgroups of  $SU(2)$ , and quite generally, the groups  $Z_n$  associated with Jones inclusions and leaving the choice of quantization axes invariant, bring in mind the  $n$ -point analogs of Sierpinski space with unit element defining the particular point. Note however that  $n \geq 3$  holds true always so that one does not obtain Sierpinski space itself. If all these  $n$  preferred points belong to any open set it would not be possible to decompose this preferred set to two subsets belonging to disjoint open sets. Recall that the generalized imbedding space related to the quantization of Planck constant is obtained by gluing together coverings  $M^4 \times CP_2 \rightarrow M^4 \times CP_2/G_a \times G_b$  along their common points of base spaces. The topology in question would mean that if some point in the covering belongs to an open set, all of them do so. The interpretation would be that the points of fiber form a single inseparable quantal unit.

Number theoretical braids identified as subsets of the intersection of real and  $p$ -adic variants of algebraic partonic 2-surface define a second candidate for the generalized Sierpinski space with a set of preferred points.

## 11.9 Appendix: Inclusions of hyper-finite factors of type $II_1$

Many names have been assigned to inclusions: Jones, Wenzl, Ocneanu, Pimsner-Popa, Wasserman [56]. It would seem to me that the notion Jones inclusion includes them all so that various names would correspond to different concrete realizations of the inclusions conjugate under outer automorphisms.

1. According to [56] for inclusions with  $\mathcal{M} : \mathcal{N} \leq 4$  (with  $A_1^{(1)}$  excluded) there exists a countable infinity of sub-factors which are pairwise non inner conjugate but conjugate to  $\mathcal{N}$ .
2. Also for any finite group  $G$  and its outer action there exists uncountably many sub-factors which are pairwise non inner conjugate but conjugate to the fixed point algebra of  $G$  [56]. For any amenable group  $G$  the inclusion is also unique apart from outer automorphism [61].

Thus it seems that not only Jones inclusions but also more general inclusions are unique apart from outer automorphism.

Any  $*$ -endomorphism  $\sigma$ , which is unit preserving, faithful, and weakly continuous, defines a sub-factor of type  $II_1$  factor [56]. The construction of Jones leads to a standard inclusion sequence  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{M}^1 \subset \dots$ . This sequence means addition of projectors  $e_i$ ,  $i < 0$ , having visualization as an addition of braid strand in braid picture. This hierarchy exists for all factors of type II. At the limit  $\mathcal{M}^\infty = \cup_i \mathcal{M}^i$  the braid sequence extends from  $-\infty$  to  $\infty$ . Inclusion hierarchy can be understood as a hierarchy of Connes tensor powers  $\mathcal{M} \otimes_{\mathcal{N}} \mathcal{M} \dots \otimes_{\mathcal{N}} \mathcal{M}$ . Also the ordinary tensor powers of hyper-finite factors of type  $II_1$  (HFF) as well as their tensor products with finite-dimensional matrix algebras are isomorphic to the original HFF so that these objects share the magic of fractals.

Under certain assumptions the hierarchy can be continued also in opposite direction. For a finite index an infinite inclusion hierarchy of factors results with the same value of index.  $\sigma$  is said to be basic if it can be extended to \*-endomorphisms from  $\mathcal{M}^1$  to  $\mathcal{M}$ . This means that the hierarchy of inclusions can be continued in the opposite direction: this means elimination of strands in the braid picture. For finite factors (as opposed to hyper-finite ones) there are no basic \*-endomorphisms of  $\mathcal{M}$  having fixed point algebra of non-abelian  $G$  as a sub-factor [56].

### 11.9.1 Jones inclusions

For hyper-finite factors of type  $II_1$  Jones inclusions allow basic \*-endomorphism. They exist for all values of  $\mathcal{M} : \mathcal{N} = r$  with  $r \in \{4\cos^2(\pi/n) | n \geq 3\} \cap [4, \infty)$  [56]. They are defined for an algebra defined by projectors  $e_i, i \geq 1$ . All but nearest neighbor projectors commute.  $\lambda = 1/r$  appears in the relations for the generators of the algebra given by  $e_i e_j e_i = \lambda e_i, |i - j| = 1$ .  $\mathcal{N} \subset \mathcal{M}$  is identified as the double commutator of algebra generated by  $e_i, i \geq 2$ .

This means that principal graph and its dual are equivalent and the braid defined by projectors can be continued not only to  $-\infty$  but that also the dropping of arbitrary number of strands is possible [56]. It would seem that ADE property of the principal graph meaning single root length codes for the duality in the case of  $r \leq 4$  inclusions.

Irreducibility holds true for  $r < 4$  in the sense that the intersection of  $Q' \cap P = P' \cap P = C$ . For  $r \geq 4$  one has  $\dim(Q' \cap P) = 2$ . The operators commuting with  $Q$  contain besides identify operator of  $Q$  also the identify operator of  $P$ .  $Q$  would contain a single finite-dimensional matrix factor less than  $P$  in this case. Basic \*-endomorphisms with  $\sigma(P) = Q$  is  $\sigma(e_i) = e_{i+1}$ . The difference between genuine symmetries of quantum TGD and symmetries which can be mimicked by TGD could relate to the irreducibility for  $r < 4$  and raise these inclusions in a unique position. This difference could partially justify the hypothesis [35] that only the groups  $G_a \times G_b \subset SU(2) \times SU(2) \subset SL(2, C) \times SU(3)$  define orbifold coverings of  $H_{\pm} = M_{\pm}^4 \times CP_2 \rightarrow H_{\pm}/G_a \times G_b$ .

### 11.9.2 Wassermann's inclusion

Wasserman's construction of  $r = 4$  factors clarifies the role of the subgroup of  $G \subset SU(2)$  for these inclusions. Also now  $r = 4$  inclusion is characterized by a discrete subgroup  $G \subset SU(2)$  and is given by  $(1 \otimes \mathcal{M})^G \subset (M_2(C) \times \mathcal{M})^G$ . According to [56] Jones inclusions are irreducible also for  $r = 4$ . The definition of Wasserman inclusion for  $r = 4$  seems however to imply that the identity matrices of both  $\mathcal{M}^G$  and  $(M(2, C) \otimes \mathcal{M})^G$  commute with  $\mathcal{M}^G$  so that the inclusion should be reducible for  $r = 4$ .

Note that  $G$  leaves both the elements of  $\mathcal{N}$  and  $\mathcal{M}$  invariant whereas  $SU(2)$  leaves the elements of  $\mathcal{N}$  invariant.  $M(2, C)$  is effectively replaced with the orbifold  $M(2, C)/G$ , with  $G$  acting as automorphisms. The space of these orbits has complex dimension  $d = 4$  for finite  $G$ .

For  $r < 4$  inclusion is defined as  $M^G \subset M$ . The representation of  $G$  as outer automorphism must change step by step in the inclusion sequence  $\dots \subset \mathcal{N} \subset \mathcal{M} \subset \dots$  since otherwise  $G$  would act trivially as one proceeds in the inclusion sequence. This is true since each step brings in additional finite-dimensional tensor factor in which  $G$  acts as automorphisms so that although  $\mathcal{M}$  can be invariant under  $G_{\mathcal{M}}$  it is not invariant under  $G_{\mathcal{N}}$ .

These two inclusions might accompany each other in TGD based physics. One could consider  $r < 4$  inclusion  $\mathcal{N} = \mathcal{M}^G \subset \mathcal{M}$  with  $G$  acting non-trivially in  $\mathcal{M}/\mathcal{N}$  quantum Clifford algebra.  $\mathcal{N}$  would decompose by  $r = 4$  inclusion to  $\mathcal{N}_1 \subset \mathcal{N}$  with  $SU(2)$  taking the role of  $G$ .  $\mathcal{N}/\mathcal{N}_1$  quantum Clifford algebra would transform non-trivially under  $SU(2)$  but would be  $G$  singlet.

In TGD framework the  $G$ -invariance for  $SU(2)$  representations means a reduction of  $S^2$  to the orbifold  $S^2/G$ . The coverings  $H_{\pm} \rightarrow H_{\pm}/G_a \times G_b$  should relate to these double inclusions and  $SU(2)$  inclusion could mean Kac-Moody type gauge symmetry for  $\mathcal{N}$ . Note that the presence of the factor containing only unit matrix should relate directly to the generator  $d$  in the generator set of affine algebra in the McKay construction [50]. The physical interpretation of the fact that almost all ADE type extended diagrams ( $D_n^{(1)}$  must have  $n \geq 4$ ) are allowed for  $r = 4$  inclusions whereas  $D_{2n+1}$  and  $E_6$  are not allowed for  $r < 4$ , remains open.



### 11.9.3 Generalization from $SU(2)$ to arbitrary compact group

The inclusions with index  $\mathcal{M} : \mathcal{N} < 4$  have one-dimensional relative commutant  $\mathcal{N}' \cup \mathcal{M}$ . The most obvious conjecture that  $\mathcal{M} : \mathcal{N} \geq 4$  corresponds to a non-trivial relative commutant is wrong. The index for Jones inclusion is identifiable as the square of quantum dimension of the fundamental representation of  $SU(2)$ . This identification generalizes to an arbitrary representation of arbitrary compact Lie group.

In his thesis Wenzl [55] studied the representations of Hecke algebras  $H_n(q)$  of type  $A_n$  obtained from the defining relations of symmetric group by the replacement  $e_i^2 = (q-1)e_i + q$ .  $H_n$  is isomorphic to complex group algebra of  $S_n$  if  $q$  is not a root of unity and for  $q = 1$  the irreducible representations of  $H_n(q)$  reduce trivially to Young's representations of symmetric groups. For primitive roots of unity  $q = \exp(i2\pi/l)$ ,  $l = 4, 5, \dots$ , the representations of  $H_n(\infty)$  give rise to inclusions for which index corresponds to a quantum dimension of any irreducible representation of  $SU(k)$ ,  $k \geq 2$ . For  $SU(2)$  also the value  $l = 3$  is allowed for spin  $1/2$  representation.

The inclusions are obtained by dropping the first  $m$  generators  $e_k$  from  $H_\infty(q)$  and taking double commutant of both  $H_\infty$  and the resulting algebra. The relative commutant corresponds to  $H_m(q)$ . By reducing by the minimal projection to relative commutant one obtains an inclusion with a trivial relative commutant. These inclusions are analogous to a discrete states superposed in continuum. Thus the results of Jones generalize from the fundamental representation of  $SU(2)$  to all representations of all groups  $SU(k)$ , and in fact to those of general compact groups as it turns out.

The generalization of the formula for index to square of quantum dimension of an irreducible representation of  $SU(k)$  reads as

$$\mathcal{M} : \mathcal{N} = \prod_{1 \leq r < s \leq k} \frac{\sin^2((\lambda_r - \lambda_s + s - r)\pi/l)}{\sin^2((s - r)n/l)}. \tag{11.9.1}$$

Here  $\lambda_r$  is the number of boxes in the  $r^{th}$  row of the Yang diagram with  $n$  boxes characterizing the representations and the condition  $1 \leq k \leq l - 1$  holds true. Only Young diagrams satisfying the condition  $l - k = \lambda_1 - \lambda_{r_{max}}$  are allowed.

The result would allow to restrict the generalization of the imbedding space in such a manner that only cyclic group  $Z_n$  appears in the covering of  $M^4 \rightarrow M^4/G_a$  or  $CP_2 \rightarrow CP_2/G_b$  factor. Be as it may, it seems that quantum representations of any compact Lie group can be realized using the generalization of the imbedding space. In the case of  $SU(2)$  the interpretation of higher-dimensional quantum representations in terms of Connes tensor products of 2-dimensional fundamental representations is highly suggestive.

The groups  $SO(3, 1) \times SU(3)$  and  $SL(2, C) \times U(2)_{ew}$  have a distinguished position both in physics and quantum TGD and the vision about physics as a generalized number theory implies them. Also the general pattern for inclusions selects these groups, and one can say that the condition that all possible statistics are realized is guaranteed by the choice  $M^4 \times CP_2$ .

1.  $n > 2$  for the quantum counterparts of the fundamental representation of  $SU(2)$  means that braid statistics for Jones inclusions cannot give the usual fermionic statistics. That Fermi statistics cannot "emerge" conforms with the role of infinite- $D$  Clifford algebra as a canonical representation of HFF of type  $II_1$ .  $SO(3, 1)$  as isometries of  $H$  gives  $Z_2$  statistics via the action on spinors of  $M^4$  and  $U(2)$  holonomies for  $CP_2$  realize  $Z_2$  statistics in  $CP_2$  degrees of freedom.
2.  $n > 3$  for more general inclusions in turn excludes  $Z_3$  statistics as braid statistics in the general case.  $SU(3)$  as isometries induces a non-trivial  $Z_3$  action on quark spinors but trivial action at the imbedding space level so that  $Z_3$  statistics would be in question.



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## Chapter 12

# Does TGD Predict a Spectrum of Planck Constants?

### 12.1 Introduction

The quantization of Planck constant has been the basic theme of TGD since 2005 and the perspective in the earlier version of this chapter reflected the situation for about year and one half after the basic idea stimulated by the finding of Nottale [109] that planetary orbits could be seen as Bohr orbits with enormous value of Planck constant given by  $\hbar_{gr} = GM_1M_2/v_0$ ,  $v_0 \simeq 2^{-11}$  for the inner planets. The general form of  $\hbar_{gr}$  is dictated by Equivalence Principle. This inspired the ideas that quantization is due to a condensation of ordinary matter around dark matter concentrated near Bohr orbits and that dark matter is in macroscopic quantum phase in astrophysical scales.

The second crucial empirical input were the anomalies associated with living matter. Mention only the effects of ELF radiation at EEG frequencies on vertebrate brain and anomalous behavior of the ionic currents through cell membrane. If the value of Planck constant is large, the energy of EEG photons is above thermal energy and one can understand the effects on both physiology and behavior. If ionic currents through cell membrane have large Planck constant the scale of quantum coherence is large and one can understand the observed low dissipation in terms of quantum coherence.

#### 12.1.1 The evolution of mathematical ideas

From the beginning the basic challenge -besides the need to deduce a general formula for the quantized Planck constant- was to understand how the quantization of Planck constant is mathematically possible. From the beginning it was clear that since particles with different values of Planck constant cannot appear in the same vertex, a generalization of space-time concept is needed to achieve this.

During last five years or so many deep ideas -both physical and mathematical- related to the construction of quantum TGD have emerged and this has led to a profound change of perspective in this and also other chapters. The overall view about TGD is described briefly in [51].

1. For more than five years ago I realized that von Neumann algebras known as hyperfinite factors of type  $II_1$  (HFFs) are highly relevant for quantum TGD since the Clifford algebra of configuration space ("world of classical worlds", WCW) is direct sum over HFFs. Jones inclusions are particular class of inclusions of HFFs and quantum groups are closely related to them. This led to a conviction that Jones inclusions can provide a detailed understanding of what is involved and predict very simple spectrum for Planck constants associated with  $M^4$  and  $CP_2$  degrees of freedom (later I replaced  $M^4$  by its light cone  $M^4_{\pm}$  and finally with the causal diamond  $CD$  defined as intersection of future and past light-cones of  $M^4$ ).
2. The notion of zero energy ontology replaces physical states with zero energy states consisting of pairs of positive and negative energy states at the light-like boundaries  $\delta M^4_{\pm} \times CP_2$  of  $CD$ s forming a fractal hierarchy containing  $CD$ s within  $CD$ s. In standard ontology zero energy state corresponds to a physical event, say particle reaction. This led to the generalization of S-matrix to M-matrix identified as Connes tensor product characterizing time like entanglement between

positive and negative energy states. M-matrix is product of square root of density matrix and unitary S-matrix just like Schrödinger amplitude is product of modulus and phase, which means that thermodynamics becomes part of quantum theory and thermodynamical ensembles are realized as single particle quantum states. This led also to a solution of long standing problem of understanding how geometric time of the physicist is related to the experienced time identified as a sequence of quantum jumps interpreted as moments of consciousness [43] in TGD inspired theory of consciousness which can be also seen as a generalization of quantum measurement theory [49].

3. Another closely related idea was the emergence of measurement resolution as the basic element of quantum theory. Measurement resolution is characterized by inclusion  $\mathcal{M} \subset \mathcal{N}$  of HFFs with  $\mathcal{M}$  characterizing the measurement resolution in the sense that the action of  $\mathcal{M}$  creates states which cannot be distinguished from each other within measurement resolution used. Hence complex rays of state space are replaced with  $\mathcal{M}$  rays. One of the basic challenges is to define the nebulous factor space  $\mathcal{N}/\mathcal{M}$  having finite fractional dimension  $\mathcal{N} : \mathcal{M}$  given by the index of inclusion. It was clear that this space should correspond to quantum counterpart of Clifford algebra of world of classical worlds reduced to a finite-quantum dimensional algebra by the finite measurement resolution [31].
4. The realization that light-like 3-surfaces at which the signature of induced metric of space-time surface changes from Minkowskian to Euclidian are ideal candidates for basic dynamical objects besides light-like boundaries of space-time surface was a further decisive step or progress. This led to vision that quantum TGD is almost topological quantum field theory ("almost" because light-likeness brings in induced metric) characterized by Chern-Simons action for induced Kähler gauge potential of  $CP_2$ . Together with zero energy ontology this led to the generalization of the notion of Feynman diagram to a light-like 3-surface for which lines correspond to light-like 3-surfaces and vertices to 2-D partonic surface at which these 3-D surface meet. This means a strong departure from string model picture. The interaction vertices should be given by N-point functions of a conformal field theory with second quantized induced spinor fields defining the basic fields in terms of which also the gamma matrices of world of classical worlds could be constructed as super generators of super conformal symmetries [31].
5. By quantum classical correspondence finite measurement resolution should have a space-time correlate. The obvious guess was that this correlate is discretization at the level of construction of M-matrix. In almost-TQFT context the effective replacement of light-like 3-surface with braids defining basic objects of TQFTs is the obvious guess. Also number theoretic universality necessary for the p-adicization of quantum TGD by a process analogous to the completion of rationals to reals and various p-adic number fields requires discretization since only rational and possibly some algebraic points of the imbedding space (in suitable preferred coordinates) allow interpretation both as real and p-adic points. It was clear that the construction of M-matrix boils to the precise understanding of number theoretic braids [31].
6. The interaction with M-theory dualities [34] led to a handful of speculations about dualities possible in TGD framework, and one of these dualities-  $M^8 - M^4 \times CP_2$  duality - eventually led to a unique identification of number theoretic braids. The dimensions of partonic 2-surface, space-time, and imbedding space strongly suggest that classical number fields, or more precisely their complexifications might help to understand quantum TGD. If the choice of imbedding space is unique because of uniqueness of infinite-dimensional Kähler geometric existence of world of classical worlds then standard model symmetries coded by  $M^4 \times CP_2$  should have some deeper meaning and the most obvious guess is that  $M^4 \times CP_2$  can be understood geometrically.  $SU(3)$  belongs to the automorphism group of octonions as well as hyper-octonions  $M^8$  identified by subspace of complexified octonions with Minkowskian signature of induced metric. This led to the discovery that hyper-quaternionic 4-surfaces in  $M^8$  can be mapped to  $M^4 \times CP_2$  provided their tangent space contains preferred  $M^2 \subset M^4 \subset M^4 \times E^4$ . Years later I realized that the map generalizes so that  $M^2$  can depend on the point of  $X^4$ . The interpretation of  $M^2(x)$  is both as a preferred hyper-complex (commutative) sub-space of  $M^8$  and as a local plane of non-physical polarizations so that a purely number theoretic interpretation of gauge conditions emerges in TGD framework. This led to a rapid progress in the construction of the quantum TGD. In

particular, the challenge of identifying the preferred extremal of Kähler action associated with a given light-like 3-surface  $X_l^3$  could be solved and the precise relation between  $M^8$  and  $M^4 \times CP_2$  descriptions was understood [31].

7. Also the challenge of reducing quantum TGD to the physics of second quantized induced spinor fields found a resolution recently [31]. For years ago it became clear that the vacuum functional of the theory must be the Dirac determinant associated with the induced spinor fields so that the theory would predict all coupling parameters from quantum criticality. Even more, the vacuum functional should correspond to the exponent of Kähler action for a preferred extremal. The problem was that the generalized eigenmodes of Chern-Simons Dirac operator allow a generalized eigenvalues to be arbitrary functions of two coordinates in the directions transversal to the light-like direction of  $X_l^3$ . The progress in the understanding of number theoretic compactification however allowed to understand how the information about the preferred extremal of Kähler action is coded to the spectrum of eigen modes.

The basic idea is simple and I actually discovered it for more than half decade ago but forgot! The generalized eigen modes of 3-D Chern-Simons Dirac operator  $D_{C-S}$  correspond to the zero modes of a 4-D modified Dirac operator defined by Kähler action localized to  $X_l^3$  so that induced spinor fields can be seen as 4-D spinorial shock waves. This led to a concrete interpretation of the eigenvalues as analogous to cyclotron energies of fermion in classical electro-weak magnetic fields defined by the induced spinor connection and a connection with anyon physics emerges by 2-dimensionality of the evolving system. Also it was possible to identify the boundary conditions for the preferred extremal of Kähler action -analog of Bohr orbit- at  $X_l^3$  and also to the vision about how general coordinate invariance allows to use any light-like 3-surface  $X^3 \subset X^4(X_l^3)$  instead of using only wormhole throat to second quantize induced spinor field.

8. It became as a total surprise that due to the huge vacuum degeneracy of induced spinor fields the number of generalized eigenmodes identified in this manner was finite. The good news was that the theory is manifestly finite and zeta function regularization is not needed to define the Dirac determinant. The manifest finiteness had been actually must-be-true from the beginning. The apparently bad news was that the Clifford algebra of WCW world constructed from the oscillator operators is bound to be finite-dimensional. The resolution of the paradox comes from the realization that this algebra represents the somewhat mysterious coset space  $\mathcal{N}/\mathcal{M}$  so that finite measurement resolution and the notion inclusion are coded by the vacuum degeneracy of Kähler action and the maximally economical description in terms of inclusions emerges automatically.
9. A unique identification of number theoretic braids became also possible and relates to the construction of the generalized imbedding space by gluing together singular coverings and factor spaces of  $CD \setminus M^2$  and  $CP_2 \setminus S_I^2$  to form a book like structure. Here  $M^2$  is preferred plane of  $M^4$  defining quantization axis of energy and angular momentum and  $S_I^2$  is one of the two geodesic spheres of  $CP_2$ . The interpretation of the selection of these sub-manifolds is as a geometric correlate for the selection of quantization axes and  $CD$  defining basic sector of world of classical worlds is replaced by a union corresponding to these choices. Number theoretic braids come in two variants dual to each other, and correspond to the intersection of  $M^2$  and  $M^4$  projection of  $X_l^3$  on one hand and  $S_I^2$  and  $CP_2$  projection of  $X_l^3$  on the other hand. This is simplest option and would mean that the points of number theoretic braid belong to  $M^2$  ( $S_I^2$ ) and are thus quantum critical although entire  $X^2$  at the boundaries of  $CD$  belongs to a fixed page of the Big Book. This means solution of a long standing problem of understanding in what sense TGD Universe is quantum critical. The phase transitions changing Planck constant correspond to tunneling represented geometrically by a leakage of partonic 2-surface from a page of Big Book to another one.
10. Many other steps of progress have occurred during the last years. Much earlier it had become clear that the basic difference between TGD and string models is that in TGD framework the super algebra generators are non-hermitian and carry quark or lepton number [31]. Super-space concept is un-necessary because super generators anticommute to Hamiltonians of bosonic symmetries rather than corresponding vector fields. This allows to avoid the Majorana condition of super string models fixing space-time dimension to 10 or 11. During last years a much more precise understanding of super-symplectic and super Kac-Moody symmetries has emerged. The

generalized coset representation for these two Super Virasoro algebras generalizes Equivalence Principle and predicts as a special case the equivalence of gravitational and inertial masses. Coset construction also provides justification for p-adic thermodynamics in apparent conflict with super-conformal invariance. The construction of the fusion rules of symplectic QFT as analog of conformal QFT led to the notion of number theoretic braid and to an explicit construction of a hierarchy of algebras realizing symplectic fusion rules and the notion of finite measurement resolution [31]. This approach led to the formulation of generalized Feynman diagrams and coupling constant evolution in terms of operads Taylor made for a mathematical realization of the notion of coupling constant evolution. One of the future challenges is to combine symplectic fusion algebras with the realization for the hierarchy of Planck constants.

### 12.1.2 The evolution of physical ideas

The evolution of physical ideas related to the hierarchy of Planck constants and dark matter as a hierarchy of phases of matter with non-standard value of Planck constants was much faster than the evolution of mathematical ideas and quite a number of applications have been developed during last five years.

1. The basic idea was that ordinary matter condenses around dark matter which is a phase of matter characterized by non-standard value of Planck constant.
2. The realization that non-standard values of Planck constant give rise to charge and spin fractionization and anyonization led to the precise identification of the prerequisites of anyonic phase [17]. If the partonic 2-surface, which can have even astrophysical size, surrounds the tip of  $CD$ , the matter at the surface is anyonic and particles are confined at this surface. Dark matter could be confined inside this kind of light-like 3-surfaces around which ordinary matter condenses. If the radii of the basic pieces of these nearly spherical anyonic surfaces - glued to a connected structure by flux tubes mediating gravitational interaction - are given by Bohr rules, the findings of Nottale [109] can be understood. Dark matter would resemble to a high degree matter in black holes replaced in TGD framework by light-like partonic 2-surfaces with minimum size of order Schwarzschild radius  $r_S$  of order scaled up Planck length:  $r_S \sim \sqrt{\hbar G}$ . Black hole entropy being inversely proportional to  $\hbar$  is predicted to be of order unity so that dramatic modification of the picture about black holes is implied.
3. Darkness is a relative concept and due to the fact that particles at different pages of book cannot appear in the same vertex of the generalized Feynman diagram. The phase transitions in which partonic 2-surface  $X^2$  during its travel along  $X_l^3$  leaks to different page of book are however possible and change Planck constant so that particle exchanges of this kind allow particles at different pages to interact. The interactions are strongly constrained by charge fractionization and are essentially phase transitions involving many particles. Classical interactions are also possible. This allows to conclude that we are actually observing dark matter via classical fields all the time and perhaps have even photographed it [110, 35].
4. Perhaps the most fascinating applications are in biology. The anomalous behavior ionic currents through cell membrane (low dissipation, quantal character, no change when the membrane is replaced with artificial one) has a natural explanation in terms of dark supra currents. This leads to a vision about how dark matter and phase transitions changing the value of Planck constant could relate to the basic functions of cell, functioning of DNA and aminoacids, and to the mysteries of bio-catalysis. This leads also a model for EEG interpreted as a communication and control tool of magnetic body containing dark matter and using biological body as motor instrument and sensory receptor. One especially shocking outcome is the emergence of genetic code of vertebrates from the model of dark nuclei as nuclear strings [25, 35].

### 12.1.3 Brief summary about the generalization of the imbedding space concept

A brief summary of the basic vision in order might help reader to assimilate the more detailed representation about the generalization of imbedding space.

1. The hierarchy of Planck constants cannot be realized without generalizing the notions of imbedding space and space-time since particles with different values of Planck constant cannot appear in the same interaction vertex. This suggests some kind of book like structure for both  $M^4$  and  $CP_2$  factors of the generalized imbedding space is suggestive.
2. Schrödinger equation suggests that Planck constant corresponds to a scaling factor of  $M^4$  metric whose value labels different pages of the book. The scaling of  $M^4$  coordinate so that original metric results in  $M^4$  factor is possible so that the scaling of  $\hbar$  corresponds to the scaling of the size of causal diamond  $CD$  defined as the intersection of future and past directed light-cones. The light-like 3-surfaces having their 2-D and light-boundaries of  $CD$  are in a key role in the realization of zero energy states. The infinite-D spaces formed by these 3-surfaces define the fundamental sectors of the configuration space (world of classical worlds). Since the scaling of  $CD$  does not simply scale space-time surfaces, the coding of radiative corrections to the geometry of space-time sheets becomes possible and Kähler action can be seen as expansion in powers of  $\hbar/\hbar_0$ .
3. Quantum criticality of TGD Universe is one of the key postulates of quantum TGD. The most important implication is that Kähler coupling strength is analogous to critical temperature. The exact realization of quantum criticality would be in terms of critical sub-manifolds of  $M^4$  and  $CP_2$  common to all sectors of the generalized imbedding space. Quantum criticality would mean that the two kinds of number theoretic braids assignable to  $M^4$  and  $CP_2$  projections of the partonic 2-surface belong by the definition of number theoretic braids to these critical sub-manifolds. At the boundaries of  $CD$  associated with positive and negative energy parts of zero energy state in given time scale partonic two-surfaces belong to a fixed page of the Big Book whereas light-like 3-surface decomposes into regions corresponding to different values of Planck constant much like matter decomposes to several phases at thermodynamical criticality.
4. The connection with Jones inclusions was originally a purely heuristic guess based on the observation that the finite groups characterizing Jones inclusion characterize also pages of the Big Book. The key observation is that Jones inclusions are characterized by a finite subgroup  $G \subset SU(2)$  and that this group also characterizes the singular covering or factor spaces associated with  $CD$  or  $CP_2$  so that the pages of generalized imbedding space could indeed serve as correlates for Jones inclusions. The elements of the included algebra  $\mathcal{M}$  are invariant under the action of  $G$  and  $\mathcal{M}$  takes the role of complex numbers in the resulting non-commutative quantum theory.
5. The understanding of quantum TGD at parton level led to the realization that the dynamics of Kähler action realizes finite measurement resolution in terms of finite number of modes of the induced spinor field. This automatically implies cutoffs to the representations of various super-conformal algebras typical for the representations of quantum groups closely associated with Jones inclusions [19]. The Clifford algebra spanned by the fermionic oscillator operators would provide a realization for the factor space  $\mathcal{N}/\mathcal{M}$  of hyper-finite factors of type  $II_1$  identified as the infinite-dimensional Clifford algebra  $\mathcal{N}$  of the configuration space and included algebra  $\mathcal{M}$  determining the finite measurement resolution. The resulting quantum Clifford algebra has anti-commutation relations dictated by the fractionization of fermion number so that its unit becomes  $r = \hbar/\hbar_0$ .  $SU(2)$  Lie algebra transforms to its quantum variant corresponding to the quantum phase  $q = \exp(i2\pi/r)$ .
6. Jones inclusions appear as two variants corresponding to  $\mathcal{N} : \mathcal{M} < 4$  and  $\mathcal{N} : \mathcal{M} = 4$ . The tentative interpretation is in terms of singular  $G$ -factor spaces and  $G$ -coverings of  $M^4$  or  $CP_2$  in some sense. The alternative interpretation in terms of two geodesic spheres of  $CP_2$  would mean asymmetry between  $M^4$  and  $CP_2$  degrees of freedom.
7. Number theoretic Universality suggests an answer why the hierarchy of Planck constants is necessary. One must be able to define the notion of angle -or at least the notion of phase and of trigonometric functions- also in p-adic context. All that one can achieve naturally is the notion of phase defined as root of unity and introduced by allowing algebraic extension of p-adic number field by introducing the phase if needed. In the framework of TGD inspired theory of consciousness this inspires a vision about cognitive evolution as the gradual emergence of

increasingly complex algebraic extensions of p-adic numbers and involving also the emergence of improved angle resolution expressible in terms of phases  $\exp(i2\pi/n)$  up to some maximum value of  $n$ . The coverings and factor spaces would realize these phases geometrically and quantum phases  $q$  naturally assignable to Jones inclusions would realize them algebraically. Besides p-adic coupling constant evolution based on hierarchy of p-adic length scales there would be coupling constant evolution with respect to  $\hbar$  and associated with angular resolution.

## 12.2 Experimental input

In this section basic experimental inputs suggesting a hierarchy of Planck constants and the identification of dark matter as phases with non-standard value of Planck constant are discussed.

### 12.2.1 Hints for the existence of large $\hbar$ phases

Quantum classical correspondence suggests the identification of space-time sheets identifiable as quantum coherence regions. Since they can have arbitrarily large sizes, phases with arbitrarily large quantum coherence lengths and arbitrarily long de-coherence times seem to be possible in TGD Universe. In standard physics context this seems highly implausible. If Planck constant can have arbitrarily large values, the situation changes since Compton lengths and other quantum scales are proportional to  $\hbar$ . Dark matter is excellent candidate for large  $\hbar$  phases.

The expression for  $\hbar_{gr}$  in the model explaining the Bohr orbits for planets is of form  $\hbar_{gr} = GM_1M_2/v_0$  [30]. This suggests that the interaction is associated with some kind of interface between the systems, perhaps join along boundaries connecting the space-time sheets associated with systems possessing gravitational masses  $M_1$  and  $M_2$ . Also a large space-time sheet carrying the mutual classical gravitational field could be in question. This argument generalizes to the case  $\hbar/\hbar_0 = Q_1Q_2\alpha/v_0$  in case of generic phase transition to a strongly interacting phase with  $\alpha$  describing gauge coupling strength.

There exist indeed some experimental indications for the existence of phases with a large  $\hbar$ .

1. With inspiration coming from the finding of Nottale [109] I have proposed an explanation of dark matter as a macroscopic quantum phase with a large value of  $\hbar$  [30]. Any interaction, if sufficiently strong, can lead to this kind of phase. The increase of  $\hbar$  would make the fine structure constant  $\alpha$  in question small and guarantee the convergence of perturbation series.
2. Living matter could represent a basic example of large  $\hbar$  phase [45, 19]. Even ordinary condensed matter could be "partially dark" in many-sheeted space-time[28]. In fact, the realization of hierarchy of Planck constants leads to a considerably weaker notion of darkness stating that only the interaction vertices involving particles with different values of Planck constant are impossible and that the notion of darkness is relative notion. For instance, classical interactions and photon exchanges involving a phase transition changing the value of  $\hbar$  of photon are possible in this framework.
3. There is claim about a detection in RHIC (Relativistic Heavy Ion Collider in Brookhaven) of states behaving in some respects like mini black holes [66]. These states could have explanation as color flux tubes at Hagedorn temperature forming a highly tangled state and identifiable as stringy black holes of strong gravitation. The strings would carry a quantum coherent color glass condensate, and would be characterized by a large value of  $\hbar$  naturally resulting in confinement phase with a large value of  $\alpha_s$  [29]. The progress in hadronic mass calculations led to a concrete model of color glass condensate of single hadron as many-particle state of super-symplectic gluons [23, 26]- something completely new from the point of QCD - responsible for non-perturbative aspects of hadron physics. In RHIC events these color glass condensate would fuse to single large condensate. This condensate would be present also in ordinary black-holes and the blackness of black-hole would be darkness.
4. I have also discussed a model for cold fusion based on the assumption that nucleons can be in large  $\hbar$  phase. In this case the relevant strong interaction strength is  $Q_1Q_2\alpha_{em}$  for two nucleon clusters inside nucleus which can increase  $\hbar$  so large that the Compton length of protons becomes of order atomic size and nuclear protons form a macroscopic quantum phase [28, 19].

### 12.2.2 Quantum coherent dark matter and $\hbar$

The argument based on gigantic value of  $\hbar_{gr}$  explaining darkness of dark matter is attractive but one should be very cautious.

Consider first ordinary QED  $e = \sqrt{\alpha 4\pi\hbar}$  appears in vertices so that perturbation expansion in powers of  $\sqrt{\hbar}$  basically. This would suggest that large  $\hbar$  leads to large effects. All predictions are however in powers of alpha and large  $\hbar$  means small higher order corrections. What happens can be understood on basis of dimensional analysis. For instance, cross sections are proportional to  $(\hbar/m)^2$ , where  $m$  is the relevant mass and the remaining factor depends on  $\alpha = e^2/(4\pi\hbar)$  only. In the more general case tree amplitudes with  $n$  vertices are proportional to  $e^n$  and thus to  $\hbar^{n/2}$  and loop corrections give only powers of  $\alpha$  which get smaller when  $\hbar$  increases. This must relate to the powers of  $1/\hbar$  from the integration measure associated with the momentum loop integrals affected by the change of  $\alpha$ .

Consider now the effects of the scaling of  $\hbar$ . The scaling of Compton lengths and other quantum kinematical parameters is the most obvious effect. An obvious effect is due to the change of  $\hbar$  in the commutation relations and in the change of unit of various quantum numbers. In particular, the right hand side of oscillator operator commutation and anti-commutation relations is scaled. A further effect is due to the scaling of the eigenvalues of the modified Dirac operator  $\hbar\Gamma^\alpha D_\alpha$ .

The exponent  $exp(K)$  of Kähler function  $K$  defining perturbation series in the configuration space degrees of freedom is proportional to  $1/g_K^2$  and does not depend on  $\hbar$  at all if there is only single Planck constant. The propagator is proportional to  $g_K^2$ . This can be achieved also in QED by absorbing  $e$  from vertices to  $e^2$  in photon propagator. Hence it would seem that the dependence on  $\alpha_K$  (and  $\hbar$ ) must come from vertices which indeed involve Jones inclusions of the  $II_1$  factors of the incoming and outgoing lines.

This however suggests that the dependence of the scattering amplitudes on  $\hbar$  is purely kinematical so that all higher radiative corrections would be absent. This seems to leave only one option: the scale factors of covariant  $CD$  and  $CP_2$  metrics can vary and might have discrete spectrum of values.

1. The invariance of Kähler action with respect to overall scaling of metric however allows to keep  $CP_2$  metric fixed and consider only a spectrum for the scale factors of  $M^4$  metric.
2. The first guess motivated by Schrödinger equation is that the scaling factor of covariant  $CD$  metric corresponds the ratio  $r^2 = (\hbar/\hbar_0)^2$ . This would mean that the value of Kähler action depends on  $r^2$ . The scaling of  $M^4$  coordinate by  $r$  the metric reduces to the standard form but if causal diamonds with quantized temporal distance between their tips are the basic building blocks of the configuration space geometry as zero energy ontology requires, this scaling of  $\hbar$  scales the size of  $CD$  by  $r$  so that genuine effect results since  $M^4$  scalings are not symmetries of Kähler action.
3. In this picture  $r$  would code for radiative corrections to Kähler function and thus space-time physics. Even in the case that the radiative corrections to the configuration space functional integral vanish, as suggested by quantum criticality, they would be actually taken into account.

This kind of dynamics is not consistent with the original view about imbedding space and forces to generalize the notion of imbedding spaces since it is clear that particles with different Planck constants cannot appear in the same vertex of Feynman diagram. Somehow different values of Planck constant must be analogous to different pages of book having almost copies of imbedding space as pages. A possible resolution of the problem comes from the realization that the fundamental structure might be the inclusion hierarchy of number theoretical Clifford algebras from which entire TGD could emerge including generalization of the imbedding space concept.

### 12.2.3 The phase transition changing the value of Planck constant as a transition to non-perturbative phase

**A phase transition increasing  $\hbar$  as a transition guaranteeing the convergence of perturbation theory**

The general vision is that a phase transition increasing  $\hbar$  occurs when perturbation theory ceases to converge. Very roughly, this would occur when the parameter  $x = Q_1 Q_2 \alpha$  becomes larger than

one. The net quantum numbers for "spontaneously magnetized" regions provide new natural units for quantum numbers. The assumption that standard quantization rules prevail poses very strong restrictions on allowed physical states and selects a subspace of the original configuration space. One can of course, consider the possibility of giving up these rules at least partially in which case a spectrum of fractionally charged anyon like states would result with confinement guaranteed by the fractionization of charges.

The necessity of large  $\hbar$  phases has been actually highly suggestive since the first days of quantum mechanics. The classical looking behavior of macroscopic quantum systems remains still a poorly understood problem and large  $\hbar$  phases provide a natural solution of the problem.

In TGD framework quantum coherence regions correspond to space-time sheets. Since their sizes are arbitrarily large the conclusion is that macroscopic and macro-temporal quantum coherence are possible in all scales. Standard quantum theory definitely fails to predict this and the conclusion is that large  $\hbar$  phases for which quantum length and time scales are proportional to  $\hbar$  and long are needed.

Somewhat paradoxically, large  $\hbar$  phases explain the effective classical behavior in long length and time scales. Quantum perturbation theory is an expansion in terms of gauge coupling strengths inversely proportional to  $\hbar$  and thus at the limit of large  $\hbar$  classical approximation becomes exact. Also the Coulombic contribution to the binding energies of atoms vanishes at this limit. The fact that we experience world as a classical only tells that large  $\hbar$  phase is essential for our sensory perception. Of course, this is not the whole story and the full explanation requires a detailed anatomy of quantum jump.

### The criterion for the occurrence of the phase transition increasing the value of $\hbar$

In the case of planetary orbits the large value of  $\hbar_{gr} = 2GM/v_0$  makes possible to apply Bohr quantization to planetary orbits. This leads to a more general idea that the phase transition increasing  $\hbar$  occurs when the system consisting of interacting units with charges  $Q_i$  becomes non-perturbative in the sense that the perturbation series in the coupling strength  $\alpha Q_i Q_j$ , where  $\alpha$  is the appropriate coupling strength and  $Q_i Q_j$  represents the maximum value for products of gauge charges, ceases to converge. Thus Mother Nature would resolve the problems of theoretician. A primitive formulation for this criterion is the condition  $\alpha Q_i Q_j \geq 1$ .

The first working hypothesis was the existence of dark matter hierarchies with  $\hbar = \lambda^k \hbar_0$ ,  $k = 0, 1, \dots$ ,  $\lambda = n/v_0$  or  $\lambda = 1/nv_0$ ,  $v_0 \simeq 2^{-11}$ . This rule turned out to be quite too specific. The mathematically plausible formulation predicts that in principle any rational value for  $r = \hbar(M^4)/\hbar(CP_2)$  is possible but there are certain number theoretically preferred values of  $r$  such as those coming powers of 2.

## 12.3 A generalization of the notion of imbedding space as a realization of the hierarchy of Planck constants

In the following the basic ideas concerning the realization of the hierarchy of Planck constants are summarized and after that a summary about generalization of the imbedding space is given. In [17] the important delicacies associated with the Kähler structure of generalized imbedding space are discussed. The background for the recent vision is quite different from that for half decade ago. Zero energy ontology and the notion of causal diamond, number theoretic compactification leading to the precise identification of number theoretic braids, the realization of number theoretic universality, and the understanding of the quantum dynamics at the level of modified Dirac action fix to a high degree the vision about generalized imbedding space.

### 12.3.1 Basic ideas

The first key idea in the geometric realization of the hierarchy of Planck constants emerges from the study of Schrödinger equation and states that Planck constant appears a scaling factor of  $M^4$  metric. Second key idea is the connection with Jones inclusions inspiring an explicit formula for Planck constants. For a long time this idea remained heuristic must-be-true feeling but the recent view about quantum TGD provide a justification for it.



### Scaling of Planck constant and scalings of $CD$ and $CP_2$ metrics

The key property of Schrödinger equation is that kinetic energy term depends on  $\hbar$  whereas the potential energy term has no dependence on it. This makes the scaling of  $\hbar$  a non-trivial transformation. If the contravariant metric scales as  $r = \hbar/\hbar_0$  the effect of scaling of Planck constant is realized at the level of imbedding space geometry provided it is such that it is possible to compare the regions of generalized imbedding space having different value of Planck constant.

In the case of Dirac equation same conclusion applies and corresponds to the minimal substitution  $p - eA \rightarrow i\hbar\nabla - eA$ . Consider next the situation in TGD framework.

1. The minimal substitution  $p - eA \rightarrow i\hbar\nabla - eA$  does not make sense in the case of  $CP_2$  Dirac operator since, by the non-triviality of spinor connection, one cannot choose the value of  $\hbar$  freely. In fact, spinor connection of  $CP_2$  is defined in such a manner that spinor connection corresponds to the quantity  $\hbar eQA$ , where  $Q$  denotes  $A$  gauge potential, and there is no natural manner to separate  $\hbar e$  from it.
2. The contravariant  $CD$  metric scales like  $\hbar^2$ . In the case of Dirac operator in  $M^4 \times CP_2$  one can assign separate Planck constants to Poincare and color algebras and the scalings of  $CD$  and  $CP_2$  metrics induce scalings of corresponding values of  $\hbar^2$ . As far as Kähler action is considered,  $CP_2$  metric could be always thought of being scaled to its standard form.
3. Dirac equation gives the eigenvalues of wave vector squared  $k^2 = k^i k_i$  rather than four-momentum squared  $p^2 = p^i p_i$  in  $CD$  degrees of freedom and its analog in  $CP_2$  degrees of freedom. The values of  $k^2$  are proportional to  $1/r^2$  so that  $p^2$  does not depend on it for  $p^i = \hbar k^i$ : analogous conclusion applies in  $CP_2$  degrees of freedom. This gives rise to the invariance of mass squared and the desired scaling of wave vector when  $\hbar$  changes.

This consideration generalizes to the case of the induced gamma matrices and induced metric in  $X^4$ , modified Dirac operator, and Kähler action which carry dynamical information about the ratio  $r = \hbar_{eff}/\hbar_0$ .

### Kähler function codes for a perturbative expansion in powers of $\hbar(CD)/\hbar(CP_2)$

Suppose that one accepts that the spectrum of  $CD$  resp.  $CP_2$  Planck constants is accompanied by a hierarchy of overall scalings of covariant  $CD$  (causal diamond) metric by  $(\hbar(M^4)/\hbar_0)^2$  and  $CP_2$  metric by  $(\hbar(CP_2)/\hbar_0)^2$  followed by overall scaling by  $r^2 = (\hbar_0/\hbar(CP_2))^2$  so that  $CP_2$  metric suffers no scaling and difficulties with isometric gluing procedure of sectors are avoided.

The first implication of this picture is that the modified Dirac operator determined by the induced metric and spinor structure depends on  $r$  in a highly nonlinear manner but there is no dependence on the overall scaling of the  $H$  metric. This in turn implies that the fermionic oscillator algebra used to define configuration space spinor structure and metric depends on the value of  $r$ . Same is true also for Kähler action and configuration space Kähler function. Hence Kähler function is analogous to an effective action expressible as infinite series in powers of  $r$ .

This interpretation allows to overcome the paradox caused by the hypothesis that loop corrections to the functional integral over configuration space defined by the exponent of Kähler function serving as vacuum functional vanish so that tree approximation is exact. This would imply that all higher order corrections usually interpreted in terms of perturbative series in powers of  $1/\hbar$  vanish. The paradox would result from the fact that scattering amplitudes would not receive higher order corrections and classical approximation would be exact.

The dependence of both states created by Super Kac-Moody algebra and the Kähler function and corresponding propagator identifiable as contravariant configuration space metric would mean that the expressions for scattering amplitudes indeed allow an expression in powers of  $r$ . What is so remarkable is that the TGD approach would be non-perturbative from the beginning and "semiclassical" approximation, which might be actually exact, automatically would give a full expansion in powers of  $r$ . This is in a sharp contrast to the usual quantization approach.

### Jones inclusions and hierarchy of Planck constants

From the beginning it was clear that Jones inclusions of hyper-finite factors of type  $II_1$  are somehow related to the hierarchy of Planck constants. The basic motivation for this belief has been that

configuration space Clifford algebra provides a canonical example of hyper-finite factor of type  $II_1$  and that Jones inclusion of these Clifford algebras is excellent candidate for a first principle description of finite measurement resolution.

Consider the inclusion  $\mathcal{N} \subset \mathcal{M}$  of hyper-finite factors of type  $II_1$  [33]. A deep result is that one can express  $\mathcal{M}$  as  $\mathcal{N} : \mathcal{M}$ -dimensional module over  $\mathcal{N}$  with fractal dimension  $\mathcal{N} : \mathcal{M} = B_n$ .  $\sqrt{b_n}$  represents the dimension of a space of spinor space renormalized from the value 2 corresponding to  $n = \infty$  down to  $\sqrt{b_n} = 2\cos(\pi/n)$  varying thus in the range  $[1, 2]$ .  $B_n$  in turn would represent the dimension of the corresponding Clifford algebra. The interpretation is that finite measurement resolution introduces correlations between components of quantum spinor implying effective reduction of the dimension of quantum spinors providing a description of the factor space  $\mathcal{N}/\mathcal{M}$ .

This would suggest that somehow the hierarchy of Planck constants must represent finite measurement resolution and since phase factors coming as roots of unity are naturally associated with Jones inclusions the natural guess was that angular resolution and coupling constant evolution associated with it is in question. This picture would suggest that the realization of the hierarchy of Planck constant in terms of a book like structure of generalized imbedding space provides also a geometric realization for a hierarchy of Jones inclusions.

The notion of number theoretic braid and realization that the modified Dirac operator has only finite number of generalized eigenmodes -thanks to the vacuum degeneracy of Kähler action- finally led to the understanding how the notion of finite measurement resolution is coded to the Kähler action and the realized in practice by second quantization of induced spinor fields and how these spinor fields endowed with q-anticommutation relations give rise to a representations of finite-quantum dimensional factor spaces  $\mathcal{N}/\mathcal{M}$  associated with the hierarchy of Jones inclusions having generalized imbedding space as space-time correlate. This means enormous simplification since infinite-dimensional spinor fields in infinite-dimensional world of classical worlds are replaced with finite-quantum-dimensional spinor fields in discrete points sets provided by number theoretic braids.

The study of a concrete model for Jones inclusions in terms of finite subgroups  $G$  of  $SU(2)$  defining sub-algebras of infinite-dimensional Clifford algebra as fixed point sub-algebras leads to what looks like a correct track concerning the understanding of quantization of Planck constants.

The ADE diagrams of  $A_n$  and  $D_{2n}$  characterize cyclic and dihedral groups whereas those of  $E_6$  and  $E_8$  characterize tetrahedral and icosahedral groups. This approach leads to the hypothesis that the scaling factor of Planck constant assignable to Poincare (color) algebra corresponds to the order of the maximal cyclic subgroup of  $G_b \subset SU(2)$  ( $G_a \subset SL(2, C)$ ) acting as symmetry of space-time sheet in  $CP_2$  ( $CD$ ) degrees of freedom. It predicts arbitrarily large  $CD$  and  $CP_2$  Planck constants in the case of  $A_n$  and  $D_{2n}$  under rather general assumptions.

There are two manners for how  $G_a$  and  $G_b$  can act as symmetries corresponding to  $G_i$  coverings and factor spaces. These coverings and factor spaces are singular and associated with spaces  $\hat{CD} \setminus M^2$  and  $CP_2 \setminus S_7^2$ , where  $S_7^2$  is homologically trivial geodesic sphere of  $CP_2$ . The physical interpretation is that  $M^2$  and  $S_7^2$  fix preferred quantization axes for energy and angular moment and color quantum numbers so that also a connection with quantum measurement theory emerges.

### 12.3.2 The vision

A brief summary of the basic vision behind the generalization of the imbedding space concept needed to realize the hierarchy of Planck constants is in order before going to the detailed representation.

1. The hierarchy of Planck constants cannot be realized without generalizing the notions of imbedding space and space-time because particles with different values of Planck constant cannot appear in the same interaction vertex. Some kind of book like structure for the generalized imbedding space forced also by p-adicization but in different sense is suggestive. Both  $M^4$  and  $CP_2$  factors would have the book like structure so that a Cartesian product of books would be in question.
2. The study of Schrödinger equation suggests that Planck constant corresponds to a scaling factor of  $CD$  metric whose value labels different pages of the book. The scaling of  $M^4$  coordinate so that original metric results in  $CD$  factor is possible so that the interpretation for scaled up value of  $\hbar$  is as scaling of the size of causal diamond  $CD$ .

3. The light-like 3-surfaces having their 2-D and light-boundaries of  $CD$  are in a key role in the realization of zero energy states, and the infinite-D spaces of light-like 3-surfaces inside scaled variants of  $CD$  define the fundamental building brick of the configuration space (world of classical worlds). Since the scaling of  $CD$  does not simply scale space-time surfaces the effect of scaling on classical and quantum dynamics is non-trivial and a coupling constant evolution results and the coding of radiative corrections to the geometry of space-time sheets becomes possible. The basic geometry of  $CD$  suggests that the allowed sizes of  $CD$  come in the basic sector  $\hbar = \hbar_0$  as powers of two. This predicts p-adic length scale hypothesis and lead to number theoretically universal discretized p-adic coupling constant evolution. Since the scaling is accompanied by a formation of singular coverings and factor spaces, different scales are distinguished at the level of topology. p-Adic length scale hierarchy affords similar characterization of length scales in terms of effective topology.
4. The idea that TGD Universe is quantum critical in some sense is one of the key postulates of quantum TGD. The basic ensuing prediction is that Kähler coupling strength is analogous to critical temperature. Quantum criticality in principle fixes the p-adic evolution of various coupling constants also the value of gravitational constant. The exact realization of quantum criticality would be in terms of critical sub-manifolds of  $M^4$  and  $CP_2$  common to all sectors of the generalized imbedding space. Quantum criticality of TGD Universe means that the two kinds of number theoretic braids assignable to  $M^4$  and  $CP_2$  projections of the partonic 2-surface belong by the very definition of number theoretic braids to these critical sub-manifolds. At the boundaries of  $CD$  associated with positive and negative energy parts of zero energy state in a given time scale partonic two-surfaces belong to a fixed page of the Big Book whereas light-like 3-surface decomposes to regions corresponding to different values of Planck constant much like matter decomposes to several phases at criticality.

The connection with Jones inclusions was originally a purely heuristic guess, and it took half decade to really understand why and how they are involved. The notion of measurement resolution is the key concept.

1. The key observation is that Jones inclusions are characterized by a finite subgroup  $G \subset SU(2)$  and the this group also characterizes the singular covering or factor spaces associated with  $CD$  or  $CP_2$  so that the pages of generalized imbedding space could indeed serve as correlates for Jones inclusions.
2. The dynamics of Kähler action realizes finite measurement resolution in terms of finite number of modes of the induced spinor field automatically implying cutoffs to the representations of various super-conformal algebras typical for the representations of quantum groups associated with Jones inclusions. The interpretation of the Clifford algebra spanned by the fermionic oscillator operators is as a realization for the concept of the factor space  $\mathcal{N}/\mathcal{M}$  of hyper-finite factors of type  $II_1$  identified as the infinite-dimensional Clifford algebra  $\mathcal{N}$  of the configuration space and included algebra  $\mathcal{M}$  determining the finite measurement resolution for angle measurement in the sense that the action of this algebra on zero energy state has no detectable physical effects.  $\mathcal{M}$  takes the role of complex numbers in quantum theory and makes physics non-commutative. The resulting quantum Clifford algebra has anti-commutation relations dictated by the fractionization of fermion number so that unit becomes  $r = \hbar/\hbar_0$ .  $SU(2)$  Lie algebra transforms to its quantum variant corresponding to the quantum phase  $q = \exp(i2\pi/r)$ .
3.  $G$  invariance for the elements of the included algebra can be interpreted in terms of finite measurement resolution in the sense that action by  $G$  invariant Clifford algebra element has no detectable effects. Quantum groups realize this view about measurement resolution for angle measurement. The  $G$ -invariance of the physical states created by fermionic oscillator operators which by definition are not  $G$  invariant guarantees that quantum states as a whole have non-fractional quantum numbers so that the leakage between different pages is possible in principle. This hypothesis is consistent with the TGD inspired model of quantum Hall effect [17].
4. Concerning the formula for Planck constant in terms of the integers  $n_a$  and  $n_b$  characterizing orders of the maximal cyclic subgroups of groups  $G_a$  and  $G_b$  defining coverings and factor spaces associated with  $CD$  and  $CP_2$  the basic constraint is that the overall scaling of  $H$  metric has no

effect on physics. What matters is the ratio of Planck constants  $r = \hbar(M^4)/\hbar(CP_2)$  appearing as a scaling factor of  $M^4$  metric. This leaves two options if one requires that the Planck constant defines a homomorphism. The model for dark gravitons suggests a unique choice between these two options but one must keep still mind open for the alternative.

5. Jones inclusions appear as two variants corresponding to  $\mathcal{N} : \mathcal{M} < 4$  and  $\mathcal{N} : \mathcal{M} = 4$ . The tentative interpretation is in terms of singular  $G$ -factor spaces and  $G$ -coverings of  $M^4$  and  $CP_2$  in some sense. The alternative interpretation assigning the inclusions to the two different geodesic spheres of  $CP_2$  would mean asymmetry between  $M^4$  and  $CP_2$  degrees of freedom and is therefore not convincing.
6. The natural question is why the hierarchy of Planck constants is needed. Is it really necessary? Number theoretic Universality suggests that this is the case. One must be able to define the notion of angle -or at least the notion of phase and of trigonometric functions- also in the p-adic context. All that one can achieve naturally is the notion of phase defined as a root of unity and introduced by allowing algebraic extension of p-adic number field by introducing the phase. In the framework of TGD inspired theory of consciousness this inspires a vision about cognitive evolution as the gradual emergence of increasingly complex algebraic extensions of p-adic numbers and involving also the emergence of improved angle resolution expressible in terms of phases  $\exp(i2\pi/n)$  up to some maximum value of  $n$ . The coverings and factor spaces would realize these phases purely geometrically and quantum phases  $q$  assignable to Jones inclusions would realize them algebraically. Besides p-adic coupling constant evolution based on the hierarchy of p-adic length scales there would be coupling constant evolution with respect to  $\hbar$  and associated with angular resolution.

### 12.3.3 Hierarchy of Planck constants and the generalization of the notion of imbedding space

In the following the recent view about structure of imbedding space forced by the quantization of Planck constant is summarized. The question is whether it might be possible in some sense to replace  $H$  or its Cartesian factors by their necessarily singular multiple coverings and factor spaces. One can consider two options: either  $M^4$  or the causal diamond  $CD$ . The latter one is the more plausible option from the point of view of WCW geometry.

#### The evolution of physical ideas about hierarchy of Planck constants

The evolution of the physical ideas related to the hierarchy of Planck constants and dark matter as a hierarchy of phases of matter with non-standard value of Planck constants was much faster than the evolution of mathematical ideas and quite a number of applications have been developed during last five years.

1. The starting point was the proposal of Nottale [109] that the orbits of inner planets correspond to Bohr orbits with Planck constant  $\hbar_{gr} = GMm/v_0$  and outer planets with Planck constant  $\hbar_{gr} = 5GMm/v_0$ ,  $v_0/c \simeq 2^{-11}$ . The basic proposal [30] was that ordinary matter condenses around dark matter which is a phase of matter characterized by a non-standard value of Planck constant whose value is gigantic for the space-time sheets mediating gravitational interaction. The interpretation of these space-time sheets could be as magnetic flux quanta or as massless extremals assignable to gravitons.
2. Ordinary particles possibly residing at these space-time sheet have enormous value of Compton length meaning that the density of matter at these space-time sheets must be very slowly varying. The string tension of string like objects implies effective negative pressure characterizing dark energy so that the interpretation in terms of dark energy might make sense [29]. TGD predicted a one-parameter family of Robertson-Walker cosmologies with critical or over-critical mass density and the "pressure" associated with these cosmologies is negative.
3. The quantization of Planck constant does not make sense unless one modifies the view about standard space-time is. Particles with different Planck constant must belong to different worlds in the sense local interactions of particles with different values of  $\hbar$  are not possible. This inspires

the idea about the book like structure of the imbedding space obtained by gluing almost copies of  $H$  together along common "back" and partially labeled by different values of Planck constant.

4. Darkness is a relative notion in this framework and due to the fact that particles at different pages of the book like structure cannot appear in the same vertex of the generalized Feynman diagram. The phase transitions in which partonic 2-surface  $X^2$  during its travel along  $X_i^3$  leaks to another page of book are however possible and change Planck constant. Particle (say photon -) exchanges of this kind allow particles at different pages to interact. The interactions are strongly constrained by charge fractionization and are essentially phase transitions involving many particles. Classical interactions are also possible. It might be that we are actually observing dark matter via classical fields all the time and perhaps have even photographed it [35].
5. The realization that non-standard values of Planck constant give rise to charge and spin fractionization and anyonization led to the precise identification of the prerequisites of anyonic phase [17]. If the partonic 2-surface, which can have even astrophysical size, surrounds the tip of  $CD$ , the matter at the surface is anyonic and particles are confined at this surface. Dark matter could be confined inside this kind of light-like 3-surfaces around which ordinary matter condenses. If the radii of the basic pieces of these nearly spherical anyonic surfaces - glued to a connected structure by flux tubes mediating gravitational interaction - are given by Bohr rules, the findings of Nottale [109] can be understood. Dark matter would resemble to a high degree matter in black holes replaced in TGD framework by light-like partonic 2-surfaces with a minimum size of order Schwarzschild radius  $r_S$  of order scaled up Planck length  $l_{Pl} = \sqrt{\hbar_{gr}G} = GM$ . Black hole entropy is inversely proportional to  $\hbar$  and predicted to be of order unity so that dramatic modification of the picture about black holes is implied.
6. Perhaps the most fascinating applications are in biology. The anomalous behavior ionic currents through cell membrane (low dissipation, quantal character, no change when the membrane is replaced with artificial one) has a natural explanation in terms of dark supra currents. This leads to a vision about how dark matter and phase transitions changing the value of Planck constant could relate to the basic functions of cell, functioning of DNA and aminoacids, and to the mysteries of bio-catalysis. This leads also a model for EEG interpreted as a communication and control tool of magnetic body containing dark matter and using biological body as motor instrument and sensory receptor. One especially amazing outcome is the emergence of genetic code of vertebrates from the model of dark nuclei as nuclear strings [25, 35].

### The most general option for the generalized imbedding space

Simple physical arguments pose constraints on the choice of the most general form of the imbedding space.

1. The fundamental group of the space for which one constructs a non-singular covering space or factor space should be non-trivial. This is certainly not possible for  $M^4$ ,  $CD$ ,  $CP_2$ , or  $H$ . One can however construct singular covering spaces. The fixing of the quantization axes implies a selection of the sub-space  $H_4 = M^2 \times S^2 \subset M^4 \times CP_2$ , where  $S^2$  is geodesic sphere of  $CP_2$ .  $\hat{M}^4 = M^4 \setminus M^2$  and  $\hat{CP}_2 = CP_2 \setminus S^2$  have fundamental group  $Z$  since the codimension of the excluded sub-manifold is equal to two and homotopically the situation is like that for a punctured plane. The exclusion of these sub-manifolds defined by the choice of quantization axes could naturally give rise to the desired situation.
2.  $CP_2$  allows two geodesic spheres which left invariant by  $U(2)$  resp.  $SO(3)$ . The first one is homologically non-trivial. For homologically non-trivial geodesic sphere  $H_4 = M^2 \times S^2$  represents a straight cosmic string which is non-vacuum extremal of Kähler action (not necessarily preferred extremal). One can argue that the many-valuedness of  $\hbar$  is un-acceptable for non-vacuum extremals so that only homologically trivial geodesic sphere  $S^2$  would be acceptable. One could go even further. If the extremals in  $M^2 \times CP_2$  can be preferred non-vacuum extremals, the singular coverings of  $M^4$  are not possible. Therefore only the singular coverings and factor spaces of  $CP_2$  over the homologically trivial geodesic sphere  $S^2$  would be possible. This however looks a non-physical outcome.

- (a) The situation changes if the extremals of type  $M^2 \times Y^2$ ,  $Y^2$  a holomorphic surface of  $CP_3$ , fail to be hyperquaternionic. The tangent space  $M^2$  represents hypercomplex sub-space and the product of the modified gamma matrices associated with the tangent spaces of  $Y^2$  should belong to  $M^2$  algebra. This need not be the case in general.
  - (b) The situation changes also if one reinterprets the gluing procedure by introducing scaled up coordinates for  $M^4$  so that metric is continuous at  $M^2 \times CP_2$  but  $CD$ s with different size have different sizes differing by the ratio of Planck constants and would thus have only piece of lower or upper boundary in common.
3. For the more general option one would have four different options corresponding to the Cartesian products of singular coverings and factor spaces. These options can be denoted by  $C - C$ ,  $C - F$ ,  $F - C$ , and  $F - F$ , where  $C$  ( $F$ ) signifies for covering (factor space) and first (second) letter signifies for  $CD$  ( $CP_2$ ) and correspond to the spaces  $(\hat{C}D \hat{\times} G_a) \times (\hat{C}P_2 \hat{\times} G_b)$ ,  $(\hat{C}D \hat{\times} G_a) \times \hat{C}P_2/G_b$ ,  $\hat{C}D/G_a \times (\hat{C}P_2 \hat{\times} G_b)$ , and  $\hat{C}D/G_a \times \hat{C}P_2/G_b$ .
  4. The groups  $G_i$  could correspond to cyclic groups  $Z_n$ . One can also consider an extension by replacing  $M^2$  and  $S^2$  with its orbit under more general group  $G$  (say tetrahedral, octahedral, or icosahedral group). One expects that the discrete subgroups of  $SU(2)$  emerge naturally in this framework if one allows the action of these groups on the singular sub-manifolds  $M^2$  or  $S^2$ . This would replace the singular manifold with a set of its rotated copies in the case that the subgroups have genuinely 3-dimensional action (the subgroups which corresponds to exceptional groups in the ADE correspondence). For instance, in the case of  $M^2$  the quantization axes for angular momentum would be replaced by the set of quantization axes going through the vertices of tetrahedron, octahedron, or icosahedron. This would bring non-commutative homotopy groups into the picture in a natural manner.

### About the phase transitions changing Planck constant

There are several non-trivial questions related to the details of the gluing procedure and phase transition as motion of partonic 2-surface from one sector of the imbedding space to another one.

1. How the gluing of copies of imbedding space at  $M^2 \times CP_2$  takes place? It would seem that the covariant metric of  $CD$  factor proportional to  $\hbar^2$  must be discontinuous at the singular manifold since only in this manner the idea about different scaling factor of  $CD$  metric can make sense. On the other hand, one can always scale the  $M^4$  coordinates so that the metric is continuous but the sizes of  $CD$ s with different Planck constants differ by the ratio of the Planck constants.
2. One might worry whether the phase transition changing Planck constant means an instantaneous change of the size of partonic 2-surface in  $M^4$  degrees of freedom. This is not the case. Light-likeness in  $M^2 \times S^2$  makes sense only for surfaces  $X^1 \times D^2 \subset M^2 \times S^2$ , where  $X^1$  is light-like geodesic. The requirement that the partonic 2-surface  $X^2$  moving from one sector of  $H$  to another one is light-like at  $M^2 \times S^2$  irrespective of the value of Planck constant requires that  $X^2$  has single point of  $M^2$  as  $M^2$  projection. Hence no sudden change of the size  $X^2$  occurs.
3. A natural question is whether the phase transition changing the value of Planck constant can occur purely classically or whether it is analogous to quantum tunneling. Classical non-vacuum extremals of Chern-Simons action have two-dimensional  $CP_2$  projection to homologically non-trivial geodesic sphere  $S_I^2$ . The deformation of the entire  $S_I^2$  to homologically trivial geodesic sphere  $S_{II}^2$  is not possible so that only combinations of partonic 2-surfaces with vanishing total homology charge (Kähler magnetic charge) can in principle move from sector to another one, and this process involves fusion of these 2-surfaces such that  $CP_2$  projection becomes single homologically trivial 2-surface. A piece of a non-trivial geodesic sphere  $S_I^2$  of  $CP_2$  can be deformed to that of  $S_{II}^2$  using 2-dimensional homotopy flattening the piece of  $S^2$  to curve. If this homotopy cannot be chosen to be light-like, the phase transitions changing Planck constant take place only via quantum tunnelling. Obviously the notions of light-like homotopies (cobordisms) are very relevant for the understanding of phase transitions changing Planck constant.

**How one could fix the spectrum of Planck constants?**

The question how the observed Planck constant relates to the integers  $n_a$  and  $n_b$  defining the covering and factors spaces, is far from trivial and I have considered several options. The basic physical inputs are the condition that scaling of Planck constant must correspond to the scaling of the metric of  $CD$  (that is Compton lengths) on one hand and the scaling of the gauge coupling strength  $g^2/4\pi\hbar$  on the other hand.

1. One can assign to Planck constant to both  $CD$  and  $CP_2$  by assuming that it appears in the commutation relations of corresponding symmetry algebras. Algebraist would argue that Planck constants  $\hbar(CD)$  and  $\hbar(CP_2)$  must define a homomorphism respecting multiplication and division (when possible) by  $G_i$ . This requires  $r(X) = \hbar(X)\hbar_0 = n$  for covering and  $r(X) = 1/n$  for factor space or vice versa.
2. If one assumes that  $\hbar^2(X)$ ,  $X = M^4$ ,  $CP_2$  corresponds to the scaling of the covariant metric tensor  $g_{ij}$  and performs an over-all scaling of  $H$ -metric allowed by the Weyl invariance of Kähler action by dividing metric with  $\hbar^2(CP_2)$ , one obtains the scaling of  $M^4$  covariant metric by  $r^2 \equiv \hbar^2/\hbar_0^2 = \hbar^2(M^4)/\hbar^2(CP_2)$  whereas  $CP_2$  metric is not scaled at all.
3. The condition that  $\hbar$  scales as  $n_a$  is guaranteed if one has  $\hbar(CD) = n_a\hbar_0$ . This does not fix the dependence of  $\hbar(CP_2)$  on  $n_b$  and one could have  $\hbar(CP_2) = n_b\hbar_0$  or  $\hbar(CP_2) = \hbar_0/n_b$ . The intuitive picture is that  $n_b$ - fold covering gives in good approximation rise to  $n_a n_b$  sheets and multiplies YM action action by  $n_a n_b$  which is equivalent with the  $\hbar = n_a n_b \hbar_0$  if one effectively compresses the covering to  $CD \times CP_2$ . One would have  $\hbar(CP_2) = \hbar_0/n_b$  and  $\hbar = n_a n_b \hbar_0$ . Note that the descriptions using ordinary Planck constant and coverings and scaled Planck constant but contracting the covering would be alternative descriptions.

This gives the following formulas  $r \equiv \hbar/\hbar_0 = r(M^4)/r(CP_2)$  in various cases.

$C - C$	$F - C$	$C - F$	$F - F$
$r$	$n_a n_b$	$\frac{n_a}{n_b}$	$\frac{n_b}{n_a}$
			$\frac{1}{n_a n_b}$

**Preferred values of Planck constants**

Number theoretic considerations favor the hypothesis that the integers corresponding to Fermat polygons constructible using only ruler and compass and given as products  $n_F = 2^k \prod_s F_s$ , where  $F_s = 2^{2^s} + 1$  are distinct Fermat primes, are favored. The reason would be that quantum phase  $q = \exp(i\pi/n)$  is in this case expressible using only iterated square root operation by starting from rationals. The known Fermat primes correspond to  $s = 0, 1, 2, 3, 4$  so that the hypothesis is very strong and predicts that p-adic length scales have satellite length scales given as multiples of  $n_F$  of fundamental p-adic length scale.  $n_F = 2^{11}$  corresponds in TGD framework to a fundamental constant expressible as a combination of Kähler coupling strength,  $CP_2$  radius and Planck length appearing in the expression for the tension of cosmic strings, and the powers of  $2^{11}$  was proposed to define favored as values of  $n_a$  in living matter [37].

The hypothesis that Mersenne primes  $M_k = 2^k - 1$ ,  $k \in \{89, 107, 127\}$ , and Gaussian Mersennes  $M_{G,k} = (1 + i)k - 1$ ,  $k \in \{113, 151, 157, 163, 167, 239, 241.. \}$  (the number theoretical miracle is that all the four p-adic length scales with  $k \in \{151, 157, 163, 167\}$  are in the biologically highly interesting range 10 nm-2.5  $\mu$ m) define scaled up copies of electro-weak and QCD type physics with ordinary value of  $\hbar$  and that these physics are induced by dark variants of corresponding lower level physics leads to a prediction for the preferred values of  $r = 2^{k_d}$ ,  $k_d = k_i - k_j$ , and the resulting picture finds support from the ensuing models for biological evolution and for EEG [37]. This hypothesis - to be referred to as Mersenne hypothesis - replaces the rather ad hoc proposal  $r = \hbar/\hbar_0 = 2^{11k}$  for the preferred values of Planck constant.

**How Planck constants are visible in Kähler action?**

$\hbar(M^4)$  and  $\hbar(CP_2)$  appear in the commutation and anticommutation relations of various superconformal algebras. Only the ratio of  $M^4$  and  $CP_2$  Planck constants appears in Kähler action and is

due to the fact that the  $M^4$  and  $CP_2$  metrics of the imbedding space sector with given values of Planck constants are proportional to the corresponding Planck. This implies that Kähler function codes for radiative corrections to the classical action, which makes possible to consider the possibility that higher order radiative corrections to functional integral vanish as one might expect at quantum criticality. For a given p-adic length scale space-time sheets with all allowed values of Planck constants are possible. Hence the spectrum of quantum critical fluctuations could in the ideal case correspond to the spectrum of  $\hbar$  coding for the scaled up values of Compton lengths and other quantal lengths and times. If so, large  $\hbar$  phases could be crucial for understanding of quantum critical superconductors, in particular high  $T_c$  superconductors.

### A simple model for fractional quantum Hall effect

The generalization of the imbedding space suggests that it could possible to understand fractional quantum Hall effect [106] at the level of basic quantum TGD as integer QHE for non-standard value of Planck constant.

The formula for the quantized Hall conductance is given by

$$\begin{aligned}\sigma &= \nu \times \frac{e^2}{h} , \\ \nu &= \frac{n}{m} .\end{aligned}\tag{12.3.1}$$

Series of fractions in  $\nu = 1/3, 2/5, 3/7, 4/9, 5/11, 6/13, 7/15\dots, 2/3, 3/5, 4/7, 5/9, 6/11, 7/13\dots, 5/3, 8/5, 11/7, 14/9\dots, 4/3, 7/5, 10/7, 13/9\dots, 1/5, 2/9, 3/13\dots, 2/7, 3/11\dots, 1/7\dots$  with odd denominator have been observed as are also  $\nu = 1/2$  and  $\nu = 5/2$  states with even denominator [106].

The model of Laughlin [74] cannot explain all aspects of FQHE. The best existing model proposed originally by Jain is based on composite fermions resulting as bound states of electron and even number of magnetic flux quanta [73]. Electrons remain integer charged but due to the effective magnetic field electrons appear to have fractional charges. Composite fermion picture predicts all the observed fractions and also their relative intensities and the order in which they appear as the quality of sample improves.

Before proposing the TGD based model of FQHE as IQHE with non-standard value of Planck constant, it is good to represent a simple explanation of IQHE effect. Choose the coordinates of the current carrying slab so that  $x$  varies in the direction of Hall current and  $y$  in the direction of the main current. For IQHE the value of Hall conductivity is given by  $\sigma = j_y/E_x = n_e e v/vB = n_e e/B = Ne^2/hBS = Ne^2/mh$ , where  $m$  characterizes the value of magnetized flux and  $N$  is the total number of electrons in the current. In the Landau gauge  $A_y = xB$  one can assume that energy eigenstates are momentum eigenstates in the direction of current and harmonic oscillator Gaussians in x-direction in which Hall current runs. This gives

$$\Psi \propto \exp(iky) H_n(x + kl^2) \exp\left(-\frac{(x+kl^2)^2}{2l^2}\right) , \quad l^2 = \frac{\hbar}{eB} .\tag{12.3.2}$$

Only the states for which the oscillator Gaussian differs considerably from zero inside slab are important so that the momentum eigenvalues are in good approximation in the range  $0 \leq k \leq k_{max} = L_x/l^2$ . Using  $N = (L_y/2\pi) \int_0^{k_{max}} dk$  one obtains that the total number of momentum eigenstates associated with the given value of  $n$  is  $N = eBdL_xL_y/h = n$ . If  $\nu$  Landau states are filled, the value of  $\sigma$  is  $\sigma = \nu e^2/h$ .

The interpretation of FQHE as IQHE with non standard value of Planck constant could explain also the fractionization of charge, spin, and electron number. There are  $2 \times 2 = 4$  combinations of covering and factor spaces of  $CP_2$  and three of them can lead to the increase or at least fractionization of the Planck constant required by FQHE.

1. The prediction for the filling fraction in FQHE would be

$$\nu = \nu_0 \frac{\hbar_0}{\hbar} , \quad \nu_0 = 1, 2, \dots .\tag{12.3.3}$$

$\nu_0$  denotes the number of filled Landau levels.



2. Let us denote the options as C-C, C-F, F-C, F-F, where the first (second) letter tells whether a singular covering or factor space of  $CD$  ( $CP_2$ ) is in question. The observed filling fractions are consistent with options C-C, C-F, and F-C for which  $CD$  or  $CP_2$  or both correspond to a singular covering space. The values of  $\nu$  in various cases are given by the following table.

<i>Option</i>	$C - C$	$C - F$	$F - C$
$\nu$	$\frac{\nu_0}{n_a n_b}$	$\frac{\nu_0 n_b}{n_a}$	$\frac{\nu_0 n_a}{n_b}$

(12.3.4)

There is a complete symmetry under the exchange of  $CD$  and  $CP_2$  as far as values of  $\nu$  are considered.

3. All three options are consistent with observations. Charge fractionization allows only the options  $C - C$  and  $F - C$ . If one believes the general arguments stating that also spin is fractionized in FQHE then only the option  $C - C$ , for which charge and spin units are equal to  $1/n_b$  and  $1/n_a$  respectively, remains. For  $C - C$  option one must allow  $\nu_0 > 1$ .
4. Both  $\nu = 1/2$  and  $\nu = 5/2$  state has been observed [106, 72]. The fractionized charge is believed to be  $e/4$  in the latter case [75, 76]. This requires  $n_b = 4$  allowing only  $(C, C)$  and  $(F, C)$  options.  $n_i \geq 3$  holds true if coverings and factor spaces are correlates for Jones inclusions and this gives additional constraint. The minimal values of  $(\nu_0, n_a, n_b)$  are  $(2, 1, 4)$  for  $\nu = 1/2$  and  $(10, 1, 4)$  for  $\nu = 5/2$  for both  $C - C$  and  $F - C$  option. Filling fraction  $1/2$  corresponds in the composite fermion model and also experimentally to the limit of zero magnetic field [73].  $n_b = 2$  would be inconsistent with the observed fractionization of electric charge for  $\nu = 5/2$  and with the vision inspired by Jones inclusions implying  $n_i \geq 3$ .
5. A possible problematic aspect of the TGD based model is the experimental absence of even values of  $m$  except  $m = 2$  (Laughlin's model predicts only odd values of  $m$ ). A possible explanation is that by some symmetry condition possibly related to fermionic statistics (as in Laughlin model) both  $n_a$  and  $n_b$  must be odd. This would require that  $m = 2$  case differs in some manner from the remaining cases.
6. Large values of  $m$  in  $\nu = n/m$  emerge as  $B$  increases. This can be understood from flux quantization. One has  $e \int B dS = n\hbar$ . By using actual fractional charge  $e_F = e/n_b$  in the flux factor would give for  $(C, C)$  option  $e_F \int B dS = n n_a \hbar_0$ . The interpretation is that each of the  $n_b$  sheets contributes one unit to the flux for  $e$ . Note that the value of magnetic field at given sheet is not affected so that the build-up of multiple covering seems to keep magnetic field strength below critical value.
7. The understanding of the thermal stability is not trivial. The original FQHE was observed in 80 mK temperature corresponding roughly to a thermal energy of  $T \sim 10^{-5}$  eV. For graphene the effect is observed at room temperature. Cyclotron energy for electron is (from  $f_e = 6 \times 10^5$  Hz at  $B = .2$  Gauss) of order thermal energy at room temperature in a magnetic field varying in the range 1-10 Tesla. This raises the question why the original FQHE requires such a low temperature. A possible explanation is that since FQHE involves several values of Planck constant, it is quantum critical phenomenon and is characterized by a critical temperature. The differences of single particle energies associated with the phase with ordinary Planck constant and phases with different Planck constant would characterize the transition temperature.

### 12.3.4 Could the dynamics of Kähler action predict the hierarchy of Planck constants?

The original justification for the hierarchy of Planck constants came from the indications that Planck constant could have large values in both astrophysical systems involving dark matter and also in

biology. The realization of the hierarchy in terms of the singular coverings and possibly also factor spaces of  $CD$  and  $CP_2$  emerged from consistency conditions. The formula for the Planck constant involves heuristic guess work and physical plausibility arguments. There are good arguments in favor of the hypothesis that only coverings are possible. Only a finite number of pages of the Big Book correspond to a given value of Planck constant, biological evolution corresponds to a gradual dispersion to the pages of the Big Book with larger Planck constant, and a connection with the hierarchy of infinite primes and p-adicization program based on the mathematical realization of finite measurement resolution emerges.

One can however ask whether this hierarchy could emerge directly from the basic quantum TGD rather than as a separate hypothesis. The following arguments suggest that this might be possible. One finds also a precise geometric interpretation of preferred extremal property interpreted as criticality in zero energy ontology.

### 1-1 correspondence between canonical momentum densities and time derivatives fails for Kähler action

The basic motivation for the geometrization program was the observation that canonical quantization for TGD fails. To see what is involved let us try to perform a canonical quantization in zero energy ontology at the 3-D surfaces located at the light-like boundaries of  $CD \times CP_2$ .

1. In canonical quantization canonical momentum densities  $\pi_k^0 \equiv \pi_k = \partial L_K / \partial(\partial_0 h^k)$ , where  $\partial_0 h^k$  denotes the time derivative of imbedding space coordinate, are the physically natural quantities in terms of which to fix the initial values: once their value distribution is fixed also conserved charges are fixed. Also the weak form of electric-magnetic duality given by  $J^{03} \sqrt{g_4} = 4\pi\alpha_K J_{12}$  and a mild generalization of this condition to be discussed below can be interpreted as a manner to fix the values of conserved gauge charges (not Noether charges) to their quantized values since Kähler magnetic flux equals to the integer giving the homology class of the (wormhole) throat. This condition alone need not characterize criticality, which requires an infinite number of deformations of  $X^4$  for which the second variation of the Kähler action vanishes and implies infinite number conserved charges. This in fact gives hopes of replacing  $\pi_k$  with these conserved Noether charges.
2. Canonical quantization requires that  $\partial_0 h^k$  in the energy is expressed in terms of  $\pi_k$ . The equation defining  $\pi_k$  in terms of  $\partial_0 h^k$  is however highly non-linear although algebraic. By taking squares the equations reduces to equations for rational functions of  $\partial_0 h^k$ .  $\partial_0 h^k$  appears in contravariant and covariant metric at most quadratically and in the induced Kähler electric field linearly and by multiplying the equations by  $\det(g_4)^3$  one can transform the equations to a polynomial form so that in principle  $\partial_0 h^k$  can be obtained as a solution of polynomial equations.
3. One can always eliminate one half of the coordinates by choosing 4 imbedding space coordinates as the coordinates of the spacetime surface so that the initial value conditions reduce to those for the canonical momentum densities associated with the remaining four coordinates. For instance, for space-time surfaces representable as map  $M^4 \rightarrow CP_2$   $M^4$  coordinates are natural and the time derivatives  $\partial_0 s^k$  of  $CP_2$  coordinates are multivalued. One would obtain four polynomial equations with  $\partial_0 s^k$  as unknowns. In regions where  $CP_2$  projection is 4-dimensional -in particular for the deformations of  $CP_2$  vacuum extremals the natural coordinates are  $CP_2$  coordinates and one can regard  $\partial_0 m^k$  as unknowns. For the deformations of cosmic strings, which are of form  $X^4 = X^2 \times Y^2 \subset M^4 \times CP_2$ , one can use coordinates of  $M^2 \times S^2$ , where  $S^2$  is geodesic sphere as natural coordinates and regard as unknowns  $E^2$  coordinates and remaining  $CP_2$  coordinates.
4. One can imagine solving one of the four polynomial equations for time derivatives in terms of other obtaining  $N$  roots. Then one would substitute these roots to the remaining 3 conditions to obtain algebraic equations from which one solves then second variable. Obviously situation is very complex without additional symmetries. The criticality of the preferred extremals might however give additional conditions allowing simplifications. The reasons for giving up the canonical quantization program was following. For the vacuum extremals of Kähler action  $\pi_k$  are however identically vanishing and this means that there is an infinite number of value distributions for  $\partial_0 h^k$ . For small deformations of vacuum extremals one might however hope a finite

number of solutions to the conditions and thus finite number of space-time surfaces carrying same conserved charges.

If one assumes that physics is characterized by the values of the conserved charges one must treat the the many-valuedness of  $\partial_0 h^k$ . The most obvious guess is that one should replace the space of space-like 4-surfaces corresponding to different roots  $\partial_0 h^k = F^k(\pi_l)$  with four-surfaces in the covering space of  $CD \times CP_2$  corresponding to different branches of the many-valued function  $\partial_0 h^k = F(\pi_l)$  co-inciding at the ends of  $CD$ .

**Do the coverings forces by the many-valuedness of  $\partial_0 h^k$  correspond to the coverings associated with the hierarchy of Planck constants?**

The obvious question is whether this covering space actually corresponds to the covering spaces associated with the hierarchy of Planck constants. This would conform with quantum classical correspondence. The hierarchy of Planck constants and hierarchy of covering spaces was introduced to cure the failure of the perturbation theory at quantum level. At classical level the multivaluedness of  $\partial_0 h^k$  means a failure of perturbative canonical quantization and forces the introduction of the covering spaces. The interpretation would be that when the density of matter becomes critical the space-time surface splits to several branches so that the density at each branches is sub-critical. It is of course not at all obvious whether the proposed structure of the Big Book is really consistent with this hypothesis and one also consider modifications of this structure if necessary. The manner to proceed is by making questions.

1. The proposed picture would give only single integer characterizing the covering. Two integers assignable to  $CD$  and  $CP_2$  degrees of freedom are however needed. How these two coverings could emerge?
  - (a) One should fix also the values of  $\pi_k^n = \partial L_K / \partial h_n^k$ , where  $n$  refers to space-like normal coordinate at the wormhole throats. If one requires that charges do not flow between regions with different signatures of the metric the natural condition is  $\pi_k^n = 0$  and allows also multi-valued solution. Since wormhole throats carry magnetic charge and since weak form of electric-magnetic duality is assumed, one can assume that  $CP_2$  projection is four-dimensional so that one can use  $CP_2$  coordinates and regard  $\partial_0 m^k$  as un-knows. The basic idea about topological condensation in turn suggests that  $M^4$  projection can be assumed to be 4-D inside space-like 3-surfaces so that here  $\partial_0 s^k$  are the unknowns. At partonic 2-surfaces one would have conditions for both  $\pi_k^0$  and  $\pi_k^n$ . One might hope that the numbers of solutions are finite for preferred extremals because of their symmetries and given by  $n_a$  for  $\partial_0 m^k$  and by  $n_b$  for  $\partial_0 s^k$ . The optimistic guess is that  $n_a$  and  $n_b$  corresponds to the numbers of sheets for singular coverings of  $CD$  and  $CP_2$ . The covering could be visualized as replacement of space-time surfaces with space-time surfaces which have  $n_a n_b$  branches.  $n_b$  branches would degenerate to single branch at the ends of diagrams of the generated Feynman graph and  $n_a$  branches would degenerate to single one at wormhole throats.
  - (b) This picture is not quite correct yet. The fixing of  $\pi_k^0$  and  $\pi_k^n$  should relate closely to the effective 2-dimensionality as an additional condition perhaps crucial for criticality. One could argue that both  $\pi_k^0$  and  $\pi_k^n$  must be fixed at  $X^3$  and  $X_l^3$  in order to effectively bring in dynamics in two directions so that  $X^3$  could be interpreted as a an orbit of partonic 2-surface in space-like direction and  $X_l^3$  as its orbit in light-like direction. The additional conditions could be seen as gauge conditions made possible by symplectic and Kac-Moody type conformal symmetries. The conditions for  $\pi_k^0$  would give  $n_b$  branches in  $CP_2$  degrees of freedom and the conditions for  $\pi_k^n$  would split each of these branches to  $n_a$  branches.
  - (c) The existence of these two kinds of conserved charges (possibly vanishing for  $\pi_k^n$ ) could relate also very closely to the slicing of the space-time sheets by string world sheets and partonic 2-surfaces.
2. Should one then treat these branches as separate space-time surfaces or as a single space-time surface? The treatment as a single surface seems to be the correct thing to do. Classically the conserved changes would be  $n_a n_b$  times larger than for single branch. Kähler action need not

(but could!) be same for different branches but the total action is  $n_a n_b$  times the average action and this effectively corresponds to the replacement of the  $\hbar_0/g_K^2$  factor of the action with  $\hbar/g_K^2$ ,  $r \equiv \hbar/\hbar_0 = n_a n_b$ . Since the conserved quantum charges are proportional to  $\hbar$  one could argue that  $r = n_a n_b$  tells only that the charge conserved charge is  $n_a n_b$  times larger than without multi-valuedness.  $\hbar$  would be only effectively  $n_a n_b$  fold. This is of course poor man's argument but might catch something essential about the situation.

3. How could one interpret the condition  $J^{03}\sqrt{g_4} = 4\pi\alpha_K J_{12}$  and its generalization to be discussed below in this framework? The first observation is that the total Kähler electric charge is by  $\alpha_K \propto 1/(n_a n_b)$  same always. The interpretation would be in terms of charge fractionization meaning that each branch would carry Kähler electric charge  $Q_K = ng_K/n_a n_b$ . I have indeed suggested explanation of charge fractionization and quantum Hall effect based on this picture.
4. The vision about the hierarchy of Planck constants involves also assumptions about imbedding space metric. The assumption that the  $M^4$  covariant metric is proportional to  $\hbar^2$  follows from the physical idea about  $\hbar$  scaling of quantum lengths as what Compton length is. One can always introduce scaled  $M^4$  coordinates bringing  $M^4$  metric into the standard form by scaling up the  $M^4$  size of  $CD$ . It is not clear whether the scaling up of  $CD$  size follows automatically from the proposed scenario. The basic question is why the  $M^4$  size scale of the critical extremals must scale like  $n_a n_b$ ? This should somehow relate to the weak self-duality conditions implying that Kähler field at each branch is reduced by a factor  $1/r$  at each branch. Field equations should possess a dynamical symmetry involving the scaling of  $CD$  by integer  $k$  and  $J^{0\beta}\sqrt{g_4}$  and  $J^{n\beta}\sqrt{g_4}$  by  $1/k$ . The scaling of  $CD$  should be due to the scaling up of the  $M^4$  time interval during which the branched light-like 3-surface returns back to a non-branched one.
5. The proposed view about hierarchy of Planck constants is that the singular coverings reduce to single-sheeted coverings at  $M^2 \subset M^4$  for  $CD$  and to  $S^2 \subset CP_2$  for  $CP_2$ . Here  $S^2$  is any homologically trivial geodesic sphere of  $CP_2$  and has vanishing Kähler form. Weak self-duality condition is indeed consistent with any value of  $\hbar$  and implies that the vacuum property for the partonic 2-surface implies vacuum property for the entire space-time sheet as holography indeed requires. This condition however generalizes. In weak self-duality conditions the value of  $\hbar$  is free for any 2-D Lagrangian sub-manifold of  $CP_2$ .

The branching along  $M^2$  would mean that the branches of preferred extremals always collapse to single branch when their  $M^4$  projection belongs to  $M^2$ . Magnetically charged light-light-like throats cannot have  $M^4$  projection in  $M^2$  so that self-duality conditions for different values of  $\hbar$  do not lead to inconsistencies. For spacelike 3-surfaces at the boundaries of  $CD$  the condition would mean that the  $M^4$  projection becomes light-like geodesic. Straight cosmic strings would have  $M^2$  as  $M^4$  projection. Also  $CP_2$  type vacuum extremals for which the random light-like projection in  $M^4$  belongs to  $M^2$  would represent this of situation. One can ask whether the degeneration of branches actually takes place along any string like object  $X^2 \times Y^2$ , where  $X^2$  defines a minimal surface in  $M^4$ . For these the weak self-duality condition would imply  $\hbar = \infty$  at the ends of the string. It is very plausible that string like objects feed their magnetic fluxes to larger space-times sheets through wormhole contacts so that these conditions are not encountered.

### Connection with the criticality of preferred extremals

Also a connection with quantum criticality and the criticality of the preferred extremals suggests itself. Criticality for the preferred extremals must be a property of space-like 3-surfaces and light-like 3-surfaces with degenerate 4-metric and the degeneration of the  $n_a n_b$  branches of the space-time surface at the its ends and at wormhole throats is exactly what happens at criticality. For instance, in catastrophe theory roots of the polynomial equation giving extrema of a potential as function of control parameters co-incide at criticality. If this picture is correct the hierarchy of Planck constants would be an outcome of criticality and of preferred extremal property and preferred extremals would be just those multi-branched space-time surfaces for which branches co-incide at the the boundaries of  $CD \times CP_2$  and at the throats.

### 12.3.5 Realization of quantum criticality in terms of number theoretic braids

The long standing question has been how to define formally the vision that TGD Universe is quantum critical. The notion of number theoretical braid combined with hierarchy of Planck constant provide a solution to this question and also fixes the precise realization of the generalized imbedding space.

#### The notion of number theoretical braid

The notion of number theoretic braid is essential for the view about quantum TGD as almost topological quantum field theory. Its realization discretization as a space-time correlate for the finite measurement resolution. Number theoretical universality leads to this notion also and requires that the points in the intersection of the number theoretic braid with partonic 2-surface correspond to rational or at most algebraic points of  $H$  in preferred coordinates fixed by symmetry considerations. The challenge has been to find a unique identification of the number theoretic braid. Number theoretic vision indeed makes this possible.

The core element of number theoretic vision is that the laws of physics could be reduced to associativity conditions. One realization for associativity conditions is the level of  $M^8$  endowed with hyper-octonionic structure as a condition that the points sets possible as arguments of  $N$ -point function in  $X^4$  are associative and thus belong to hyper-quaternionic subspace  $M^4 \subset M^8$ . This decomposition must be consistent with the  $M^4 \times E^4$  decomposition implied by  $M^4 \times CP_2$  decomposition of  $H$ . What comes first in mind is that partonic 2-surfaces  $X^2$  belong to  $\delta M_{\pm}^4 \subset M^8$  defining the ends of the causal diamond and are thus associative. This boundary condition however freezes  $E^4$  degrees of freedom completely so that  $M^8$  configuration space geometry trivializes.

1. *Are the points of number theoretic braid commutative?*

One can also consider the commutativity condition by requiring that arguments belong to a preferred commutative hyper-complex sub-space  $M^2$  of  $M^8$  which can be regarded as a curve in complex plane. Fixing preferred real and imaginary units means a choice of  $M^2$  interpreted as a partial choice of quantization axes at the level of  $M^8$ . One must distinguish this choice from the hyper-quaternionicity of space-time surfaces and from the condition that each tangent space of  $X^4$  contains  $M^2(x) \subset M^4$  in its tangent space or normal space. Commutativity condition indeed implies the notion of number theoretic braid and fixes it uniquely once a global selection of  $M^2 \subset M^8$  is made. There is also an alternative identification of number theoretic braid based on the assumption that braids are light-like curves with tangent vector in  $M^2(x)$ .

1. The strong form of commutativity condition would require that the arguments of the  $n$ -point function at partonic 2-surface belong to the intersection  $X^2 \cap M_{\pm}$ . This however allows quite too few points since an intersection of 2-D and 1-D objects in 7-D space would be in question. Associativity condition would reduce cure the problem but would trivialize configuration space geometry.
2. The weaker condition that only  $\delta CD$  projections for the points of  $X^2$  commute is however sensible since the intersection of 1-D and 2-D surfaces of 3-D space results. This condition is also invariant under number theoretical duality. In the generic case this gives a discrete set of points as intersection of light-like radial geodesic and the projection  $P_{\delta M_{\pm}^4}(X^2)$ . This set is naturally identifiable in terms of points in the intersection of number theoretic braids with  $\delta CD \times E^4$ . One should show that this set of points consists of rational or at most algebraic points. Here the possibility to choose  $X^2$  to some degree could be essential. Any radial light ray from the tip of light-cone allows commutativity and one can consider the possibility of integrating over  $n$ -point functions with arguments at light ray to obtain maximal information.
3. For the pre-images of light-like 3-surfaces commutativity of the points in  $\delta M_{\pm}^4$  projection would allow the projections to be one-dimensional curves of  $M^2$  having thus interpretation as braid strands.  $M^2$  would play exactly the same role as the plane into which braid strands are projected in the construction of braid invariants. Therefore the plane of non-physical polarizations in gauge theories corresponds to the plane to which braids and knots are projected in braid and knot theories. A further constraint is that the braid strand connects algebraic points of  $M^8$  to

algebraic points of  $M^8$ . It seems that this can be guaranteed only by posing some additional conditions to the light-like 3-surfaces themselves which is of course possible since they are in the role of fundamental dynamical objects.

2. *Are number theoretic braids light-like curves with tangent in  $M^2(x)$ ?*

There are reasons why the identification of the number theoretic braid strand as a curve having hyper-complex light-like tangent looks more attractive.

1. An alternative identification of the number theoretic braid would give up commutativity condition for  $CD$  projection and assume braid strand to be as a light-like curve having light-like tangent belonging to the local hyper-complex tangent sub-space  $M^2(x)$  at point  $x$ . This definition would apply both in  $X^3 \subset \delta M_{\pm}^4 \times CP_2$  and in  $X_l^3$ . Also now one would have a continuous distribution of number theoretic braids, with one braid assignable to each light-like curve with tangent  $\delta M_{\pm}^4 \supset M_+(x) \subset M^2(x)$ . In this case each light-like curve at  $\delta M_{\pm}^4$  with tangent in  $M_+(x)$  would define a number theoretic braid so that the only difference would be the replacement of light-like ray with a more general light-like curve.
2. The preferred plane  $M^2(x)$  can be interpreted as the local plane of non-physical polarizations so that the interpretation as a number theoretic analog of gauge conditions posed in both quantum field theories and string models is possible. In TGD framework this would mean that super-conformal degrees of freedom are restricted to the orthogonal complement of  $M^2(x)$  and  $M^2(x)$  does not contribute to the configuration space metric. In Hamilton-Jacobi coordinates the pairs of light-like curves associated with coordinate lines can be interpreted as curved light rays. Hence the partonic planes  $M^2(x_i)$  associated with the points of the number theoretic braid could be also regarded as carriers four-momenta of fermions associated with the braid strands so that the standard gauge conditions  $\epsilon \cdot p = 0$  for polarization vector and four-momentum would be realized geometrically. The possibility of  $M^2$  to depend on point of  $X_l^3$  would be essential to have non-collinear momenta and for a classical description of interactions between braid strands.
3. One could also define analogs of string world sheets as sub-manifolds of  $P_{M_{\pm}^4}(X^4)$  having  $M^2(x) \subset M^4$  as their tangent space or being assignable to their tangent containing  $M_+(x)$  in the case that the distribution defined by the planes  $M^2(x)$  exists and is integrable. It must be emphasized that in the case of massless extremals one can assign only  $M_+(x) \subset M^4$  to  $T(X^4(x))$  so that only a foliation of  $X^4$  by light-like curves in  $CD$  is possible. For  $P_{M_{\pm}^4}(X^4)$  however a foliation by 2-D stringy surfaces is obtained. Integrability of this distribution and thus the duality with stringy description has been suggested to be a basic feature of the preferred extremals and is equivalent with the existence of Hamilton-Jacobi coordinates for a large class of extremals of Kähler action [29].
4. The possibility of dual descriptions based on integrable distribution of planes  $M^2(x)$  allowing identification as 2-dimensional stringy sub-manifolds of  $X^4(X^3)$  and the flexibility provided by the hyper-complex conformal invariance raise the hopes of achieving the lifting of super-symplectic algebra  $SS$  and super Kac-Moody algebra  $SKM$  to  $H$ . At the light-cone boundary the light-like radial coordinate could be lifted to a hyper-complex coordinate defining coordinate for  $M^2$ . At  $X_l^3$  one could fix the light-like coordinate varying along the braid strands and it can be lifted to a light-like hyper-complex coordinate in  $CD$  by requiring that the tangent to the coordinate curve is light-like line of  $M^2(x)$  at point  $x$ . The total four-momenta and color quantum numbers assignable to  $SS$  and  $SKM$  degrees of freedom are naturally identical since they can be identified as the four-momentum of the partonic 2-surface  $X^2 \subset X^3 \cap \delta M_{\pm}^4 \times CP_2$ . Equivalence Principle would emerge as an identity.

3. *Are also  $CP_2$  duals of number theoretic braids possible?*

This picture is probably not enough. From the beginning the idea that also the  $CP_2$  projections of points of  $X^2$  define number theoretic braids has been present. The dual role of the braids defined by  $M^2$  and  $CP_2$  projections of  $X^2$  is suggested both by the construction of the symplectic fusion algebras [31] and by the model of anyons [17].  $M^2$  and the geodesic sphere  $S_i^2 \subset CP_2$ , where one has either

$i = I$  or  $i = II$ , where  $i = I/II$  corresponds to homologically trivial/non-trivial geodesic sphere, are in a key role in the geometric realization of the hierarchy of Planck constants in terms of the book like structure of the generalized imbedding space. The fact that  $S_I^2$  corresponds to vacuum extremals would suggest that only the intersection  $S_{II}^2 \cap P_{CP_2}(X^2)$  can define  $CP_2$  counterpart of the number theoretic braid.  $CD$  braid could be the proper description in the associative case (Minkowskian signature of induced metric) and  $CP_2$  braid in the co-associative case (Euclidian signature of induced metric). The duality of these descriptions would be reflected also by the fact that the physical Planck constant is given by  $\hbar = r\hbar_0$ ,  $r = \hbar(M^4)/\hbar(CP_2)$ , so that only the ratio of the two Planck constants matters in commutation relations.

### Number theoretic braids are quantum critical

The elegant manner to realized quantum criticality is as quantum criticality of number theoretic braids.

1. All particles, be their elementary particles or anyonic systems of astrophysical size, have light-like partonic 3-surfaces as space-time correlates. If the corresponding partonic 2-surfaces  $X^2$  are as a whole quantum critical their  $M^2$  projection belongs to to  $M_+ \subset \delta M_{\pm}^4$  or  $CP_2$  projection to  $S_i^2$ ,  $i = I$  or  $II$  corresponding to homological trivial and non-trivial geodesic spheres. If  $X^2$  is fully quantum critical, it belongs  $M^2 \times S^2$  defining the intersection of all pages of the big book. This definition is quite too stringent. The geometric picture about phase transition implies that only a 1-D curves of partonic 2-surface correspond to exact quantum criticality so that 2-surface could consist of several parts having different values of Planck constant during transition. This would realize at the level of partonic 2-surfaces the geometric view about criticality as decomposition to regions consisting of different phases.
2. The construction of M-matrix utilizes only the data from the discrete number theoretic braids defined by the intersections of with  $M_{\pm} \cap P_{\delta M_{\pm}^4}(X^2)$  where one has  $M_{\pm} \subset M^2 \cap \delta M^4_{\pm}$  where  $M_{\pm} = M^2 \cap \delta M^4_{\pm}$  is light-like ray. The proposed dual description uses number theoretic braids defined by  $CP_2$  projection  $S_i^2 \cap P_{CP_2}(X^2)$ ,  $i = I$  or  $II$ . Quantum criticality of TGD Universe would mean that  $M^2$  and  $S_i^2$  defining the quantum measurement axis and quantum critical manifolds also define number theoretical braids.

### Which geodesic sphere?

There are two geodesic spheres in  $CP_2$ . Which one should choose or are both possible? It seems that it is not possible to make final conclusion yet.

1. For the homologically non-trivial geodesic sphere  $S_{II}^2$  corresponding to the simplest cosmic strings, the isometry group is  $U(2) \subset SU(3)$ . The homologically trivial geodesic sphere  $S_I^2$  has vanishing induced Kähler form and in maximal quantum criticality would correspond to vacuum extremals. It has isometry group  $SO(3) \subset SU(3)$ . One could argue that  $U(1)$  factor excludes  $S_{II}^2$  but there is actually no reason for restricting the consideration to  $SU(2)$ . For  $SU(2)$  color isospin would be the quantum number involved and  $G$  would act as phase rotations for complex coordinates of  $CP_2$ . For  $SO(3)$   $SO(2)$  would mix complex coordinates like real coordinates of plane and  $SO(2)$  quantum number would not allow interpretation in terms of color.
2. One could also argue that for a quantum critical partonic 2-surface containing regions with different values of Planck constant these regions must correspond to different regions inside which induced Kähler form is non-vanishing since second quantization in this case would be done for a fixed value of Planck constant. This is not guaranteed in the case  $S_{II}^2$  but in the case of  $S_I^2$  there exists a 1-D curve separating the regions with different Planck constant from each other. Again one can however simply restrict the partonic two-surfaces at  $\delta CD \times CP_2$  to belong to single page of generalized imbedding space so that quantum criticality as decomposition to regions belonging to different pages would hold true only for  $X_I^3$  and quantum criticality would be purely dynamical phenomenon analogous to quantum tunneling. In particular, there would be no vertex characterizing the phase transition changing the page.

3. Quantum criticality corresponds to long range fluctuations and non-deterministic behavior and there is a strong temptation to assign with with the vacuum degeneracy of Kähler action implying also 4-D spin glass like character of TGD Universe. This would favor  $S_I^2$ . If  $S_I^2$  is chosen one can ask whether all surfaces  $M^2 \times Y^2$ ,  $Y^2$  Lagrangian sub-manifold of  $CP_2$  defining vacuum sectors of the theory should be allowed. The answer seems to be "No" since in the generic case  $SO(3)$  does not act as  $H$ -isometries of  $Y^2$ . If one allows these sub-manifolds or even sub-manifolds of form  $M^4 \times Y^2$  to appear as intersection of fractally scaled up variants, one must replace Cartan algebra as algebra associated with  $SO(3)$  subgroup of symplectic transformations of  $CP_2$  mapping  $Y^2$  to itself (if this kind of algebra exists).
4. If partonic 2-surfaces belong to single page of the Big book, there is no reason to exclude the option allowing both  $S_I^2$  and  $S_{II}^2$ . This raises the question whether the two kinds of Jones inclusions corresponding to  $\mathcal{M} : \mathcal{N} < 4$  and  $\mathcal{M} : \mathcal{N} = 4$  correspond to the two geodesic spheres.  $S_I^2$  would correspond to a phase obtained as small perturbations of vacuum extremals and  $S_{II}^2$  to string like objects (Kähler magnetic flux tubes) obtained as perturbations of cosmic strings. The objection is that there would be asymmetry between  $CD$  and  $CP_2$  degrees of freedom since for  $CD$  only  $M^2$  appears and would correspond to either  $\mathcal{M} : \mathcal{N} < 4$  or  $\mathcal{M} : \mathcal{N} = 4$ . If singular coverings and factor spaces correspond to the two kinds of Jones inclusions, the factors are in completely symmetric position.
5. An argument favoring  $S_I^2$  is that situation should be symmetric with respect to  $CD$  and  $CP_2$ . Since  $M^2$  corresponds to non-physical polarizations and  $S_I^2$  to vacuum extremals, one might argue that these are the correct choices.  $S_{II}^2$  corresponds also to a non-holomorphic sub-manifold of  $CP_2$  being given by  $\xi^2 = \bar{\xi}^2$  in Eguchi-Hanson coordinates. Symmetry argument suggests also that  $M^2(x) \oplus E^2(x)$  decomposition should have  $CP_2$  counter part and correspond to a slicing of  $CP_2$  to geodesic spheres. This slicing would be analogous to the slicing of sphere by geodesic circles labeled by the value of the coordinate  $\theta$  and intersecting at two diametrically opposite points at equator. The variation range  $\theta$  defines half-geodesic circle orthogonal to the slices. The spherical  $CP_2$  coordinate pairs  $(r, \Psi)$  and  $(r, \Phi)$  would label the slices of  $S_I^2$  and  $S_{II}^2$  slicings with three intersection points.  $(r, \Psi)$  and the complex coordinate for  $S_{II}^2$  would define the analog of Hamilton-Jacobi coordinates for  $CP_2$  and the analogy with sphere would suggest that the variation ranges of  $(r, \Psi)$  resp.  $(r, \Phi)$  define pieces of  $S_{II}^2$  resp.  $S_I^2$ . The dual geodesic spheres are not orthogonal to the geodesic spheres which they label as the expression of  $CP_2$  metric in Eguchi-Hanson coordinates shows [58]. In fact, orthogonality fails of longitudinal and transversal planes fails for Hamilton-Jacobi coordinates too.

### What are the correct anti-commutation relations for fermionic oscillator operators?

Quantum commutation relations make sense only for quantum version of the  $SU(2)$  Lie algebra. The reason is that the quantum commutation relations of form  $a^\dagger a + qaa^\dagger = \hbar$  are consistent with the hermiticity of the right hand side only for real values of  $q$ .

The vision about fractionization of various quantum numbers as being due to the fractionization of fermion number suggests that the correct anti-commutation relations are of form

$$\begin{aligned} a^\dagger a + aa^\dagger &= \hbar = r\hbar_0 , \\ r &= \frac{\hbar(CD)}{\hbar(CP_2)} . \end{aligned} \tag{12.3.5}$$

One cannot transform these relations to the standard form for all pages of the Big Book simultaneously by scaling the oscillator operators by  $1/\sqrt{r}$ . The unit of fermion number becomes  $r$  so that single fermion leakage between sectors with different value of  $r$  is not possible.

One can consider also a more delicate modification is based on the replacement of  $r$  with its  $q$ -counterpart  $r_q$ .

$$a^\dagger a + aa^\dagger = \hbar_q = r_q \hbar_0 . \tag{12.3.6}$$



It is however not completely clear what one means with  $q$ -rational. The counterpart  $n_q$  of integer  $n$  is  $n_q = (q^n - q^{-n})/(q - q^{-1})$ . The two alternative definitions of  $r_q$  for  $r = n_1/n_2$  would be

$$r_q = \frac{(q^r - q^{-r})}{q - q^{-1}}$$

and

$$r_q = \frac{m_q}{n_q} .$$

$q = \exp(i2\pi/r)$  however implies that  $r_q$  vanishes in both cases so that the modification does not make sense.

A good guess for the commutation relations of quantum  $SU(2)$  is as

$$\begin{aligned} [J_z, J_{\pm}] &= \pm J_{\pm} , \\ [J_+, J_-] &= r\hbar_0 \times \frac{q^{\frac{J_z}{r\hbar_0}} - q^{-r\frac{J_z}{r\hbar_0}}}{q - q^{-1}} , \\ q &= \exp(i\frac{2\pi}{r}) . \end{aligned} \tag{12.3.7}$$

For the eigenstates of  $J_z$  with  $J_z = mr\hbar_0$  the right hand side gives  $\exp(im2\pi/r) - \exp(-im2\pi/r)$  just as for the standard quantum group for which one has  $r = n$ .

One could criticize the appearance of  $\hbar(CP_2)$  in the denominator of  $r$  as something having no concrete interpretation: why the covering of  $CP_2$  should reduce the unit of fermion number? The interpretation in terms of the scaling of metric does not leave however leave any other option and one should find some elegant interpretation for the formula before one can accept it. A possible interpretation in the case of  $CD$  braids is that fermion number  $r = n_a/n_b$  for coverings is associated with single  $CP_2$  page so that the  $n_b$  pages would carry fermion number  $n_a$ . If  $CP_2$  corresponds to factor space and  $CD$  to covering, single pages would carry fermion number  $n_a$  but only  $1/n_B$   $CP_2$  pages would be present so that again the unit of fermion number would be  $n_a$ .

## 12.4 Jones inclusions and generalization of the imbedding space

The original motivation for the generalization of the imbedding space was the idea that the pages of the Big Book would provide correlates for Jones inclusions. In the following an attempt to formulate this vision more precisely is carried out.

### 12.4.1 Basic facts about Jones inclusions

Here only basic facts about Jones inclusions are discussed. Appendix contains a more detailed discussion of inclusions of HFFs.

#### Jones inclusions defined by subgroups of $SL(2, C) \times SU(2)$

Jones inclusions with  $\mathcal{M} : \mathcal{N} < 4$  have representation as  $R_0^G \subset R^G$  with  $G$  a discrete subgroup of  $SU(2)$ .  $SO(3)$  or  $SU(2)$  can be interpreted as acting in  $CP_2$  as rotations. On quantum spinors the action corresponds to double cover of  $G$ .

A more general choice for  $G$  would be as a discrete subgroup  $G_a \times G_b \subset SL(2, C) \times SU(2) \times SU(2)$ . Poincare invariance suggests that the subgroup of  $SL(2, C)$  reduces either to a discrete subgroup of  $SU(2)$  and in the case that the rotation are genuinely 3-dimensional ( $E^6, E^8$ ), the only possible interpretation would be as isotropy group of a particle at rest. When the group acts on plane as in case of  $A_n$  and  $D_{2n}$ , it could be also assigned to a massless particle.

If the group involves boosts it contains an infinite number of elements and it is not clear whether this kind of situation is physically sensible. In this case Jones inclusion could be interpreted as an inclusion for the tensor product of  $G$  invariant algebras associated with  $CD$  and  $CP_2$  degrees of freedom and one would have  $\mathcal{M} : \mathcal{N} = \mathcal{M} : \mathcal{N}(G_a) \times \mathcal{M} : \mathcal{N}(G_b)$ . Since the index increases as the

order of  $G$  increases one has reasons to expect that in the case of  $G_a = SL(2, C)$   $N_a = \infty$  implies larger  $\mathcal{M} : \mathcal{N}(G_a) > 4$ .

A possible interpretation is that the values  $\mathcal{M} : \mathcal{N} \leq 4$  are analogous to bound state energies so that a discrete rotation group acting in the relative rotational degrees of freedom can act as a symmetry group whereas the values  $\mathcal{M} : \mathcal{N} > 4$  are analogous to ionized states for which particles are almost freely moving with respect to each other with a constant velocity.

When one restricts the coefficients to  $G$ -invariant elements of Clifford algebra the Clifford field is  $G$ -invariant under the natural action of  $G$ . This allows two interpretations. Either the Clifford field is  $G$  invariant or that the Clifford field is defined in orbifold  $CD/G_a \times CP_2/G_b$ .  $CD/G_a$  is obtained by replacing hyperboloid  $H_a$  ( $t^2 - x^2 - y^2 - z^2 = a^2$ ) with  $H_a/G_a$ . These spaces have been considered as cosmological models having 3-space with finite volume [29] (also a lattice like structure could be in question).

### The quantum phases associated with sub-groups of $SU(2)$

It is natural to identify quantum phase as that defined by the maximal cyclic subgroup for finite subgroups of  $SU(2)$  and infinite subgroups of  $SL(2, C)$ . Before continuing a brief summary about quantum phases associated with finite subgroups of  $SU(2)$  is in order.  $E_6$  corresponds to  $N = 24$  and  $n = 3$  and  $E_8$  to icosahedron with  $N = 120$ ,  $n = 5$  and Golden mean and the minimal value of  $n$  making possible universal topological quantum computer [35].

$D_n$  and  $A_n$  have orders  $2n$  and  $n + 1$  and act as symmetry groups of  $n$ -polygon and have  $n$ -element cyclic group as a maximal cyclic subgroup. For double covers the orders are twice this. Thus  $A_n$  resp.  $D_{2n}$  correspond to  $q = \exp(i\pi/n)$  resp.  $q = \exp(i\pi/2n)$ . Note that the restriction  $n \geq 3$  means geometrically that only non-trivial polygons are allowed.

### 12.4.2 Jones inclusions and the hierarchy of Planck constants

The anyonic arguments for the quantization of Planck constant suggest that one can assign separate scalings of Planck constant to  $CD$  and  $CP_2$  degrees of freedom and that these scalings in turn reflect as scalings of  $M^4 \pm$  and  $CP_2$  metrics. This is definitely not in accordance with the original TGD vision based on uniqueness of imbedding space but makes sense if space-time and imbedding space are emergent concepts as the hierarchy of number theoretical von Neumann algebra inclusions indeed suggests. Indeed, the scaling factors of  $CD$  and  $CP_2$  metric remain non-fixed by the general uniqueness arguments since Cartesian product is in question.

#### Hierarchy of Planck constants and choice of quantization axis

Jones inclusions seem to relate in a natural manner to the selection of quantization axis.

1. In the case of  $CD$  the orbifold singularity is for all groups  $G_a$  except  $E_6$  and  $E_8$  the time-like plane  $M^2$  corresponding to a radial ray through origin defining the quantization axis of angular momentum and intersecting light-cone boundary along a preferred light-like ray. For  $E_6$  and  $E_8$  (tetrahedral and icosahedral symmetries) the singularity consists of planes  $M^2$  related by symmetries of  $G$  sharing time-like line  $M^1$  and in this case there are several alternative identifications of the quantization axes as axis around which the maximal cyclic subgroup acts as rotations.
2. From this it should be obvious that Jones inclusions represented in this manner would relate very closely to the selection of quantization axes and provide a geometric representation for this selection at the level of space-time and configuration space. The existence of the preferred direction of quantization at a given level of dark matter level should have observable consequences. For instance, in cosmology this could mean a breaking of perfect rotational symmetry at dark matter space-time sheets. The interpretation would be as a quantum effect in cosmological length scales. An interesting question is whether the observed asymmetry of cosmic microwave background could have interpretation as a quantum effect in cosmological length and time scales.

### Do factor spaces and coverings correspond to the two kinds of Jones inclusions?

What could be the interpretation of the singular coverings and factor spaces? If both geodesic spheres of  $CP_2$  are allowed  $\mathcal{M} : \mathcal{N} = 4$  could correspond to the allowance of cosmic strings and other analogous objects. This option is however asymmetric with respect to  $CD$  and  $CP_2$  and the more plausible option is that the two kinds of Jones inclusions correspond to singular factor spaces and coverings.

1. Jones inclusions appear in two varieties corresponding to  $\mathcal{M} : \mathcal{N} < 4$  and  $\mathcal{M} : \mathcal{N} = 4$  and one can assign a hierarchy of subgroups of  $SU(2)$  with both of them. In particular, their maximal Abelian subgroups  $Z_n$  label these inclusions. The interpretation of  $Z_n$  as invariance group is natural for  $\mathcal{M} : \mathcal{N} < 4$  and it naturally corresponds to the coset spaces. For  $\mathcal{M} : \mathcal{N} = 4$  the interpretation of  $Z_n$  has remained open. Obviously the interpretation of  $Z_n$  as the homology group defining covering would be natural.
2. For covering spaces one would however obtain the degrees of freedom associated with the discrete fiber and the degrees of freedom in question would not disappear completely and would be characterized by the discrete subgroup of  $SU(2)$ . For anyons the non-trivial homotopy of plane brings in non-trivial connection with a flat curvature and the non-trivial dynamics of topological QFTs. Also now one might expect similar non-trivial contribution to appear in the spinor connection of  $\hat{C}D \hat{\times} G_a$  and  $\hat{C}P_2 \hat{\times} G_b$ . In conformal field theory models non-trivial monodromy would correspond to the presence of punctures in plane. This picture is also consistent with the  $G$  singlets of the quantum states despite the fact that fermionic oscillator operators belong to non-trivial irreps of  $G$ .

### Coverings and factors spaces form an algebra like structure

It is easy to see that coverings and factor spaces defining the pages of the Big Book form an algebra like structure.

1. For factor spaces the unit for quantum numbers like orbital angular momentum is multiplied by  $n_a$  resp.  $n_b$  and for coverings it is divided by this number. These two kind of spaces are in a well defined sense obtained by multiplying and dividing the factors of  $\hat{H}$  by  $G_a$  resp.  $G_b$  and multiplication and division are expected to relate to Jones inclusions with  $\mathcal{M} : \mathcal{N} < 4$  and  $\mathcal{M} : \mathcal{N} = 4$ , which both are labeled by a subset of discrete subgroups of  $SU(2)$ .
2. The discrete subgroups of  $SU(2)$  with fixed quantization axis possess a well defined multiplication with product defined as the group generated by forming all possible products of group elements as elements of  $SU(2)$ . This product is commutative and all elements are idempotent and thus analogous to projectors. Trivial group  $G_1$ , two-element group  $G_2$  consisting of reflection and identity, the cyclic groups  $Z_p$ ,  $p$  prime, and tetrahedral, octahedral, and icosahedral groups are the generators of this algebra.

By commutativity one can regard this algebra as an 11-dimensional module having natural numbers as coefficients ("rig"). The trivial group  $G_1$ , two-element group  $G_2$  generated by reflection, and tetrahedral, octahedral, and icosahedral groups define 5 generating elements for this algebra. The products of groups other than trivial group define 10 units for this algebra so that there are 11 units altogether. The groups  $Z_p$  generate a structure analogous to natural numbers acting as analog of coefficients of this structure. Clearly, one has effectively 11-dimensional commutative algebra in 1-1 correspondence with the 11-dimensional "half-lattice"  $N^{11}$  ( $N$  denotes natural numbers). Leaving away reflections, one obtains  $N^7$ . The projector representation suggests a connection with Jones inclusions. An interesting question concerns the possible Jones inclusions assignable to the subgroups containing infinitely manner elements. Reader has of course already asked whether dimensions 11, 7 and their difference 4 might relate somehow to the mathematical structures of M-theory with 7 compactified dimensions. One could introduce generalized configuration space spinor fields in the configuration space labeled by sectors of  $H$  with given quantization axes. By introducing Fourier transform in  $N^{11}$  one would formally obtain an infinite-component field in 11-D space.

### Connection between Jones inclusions, hierarchy of Planck constants, and finite number of spinor modes

The original generalization of the imbedding space to accommodate the hierarchy of Planck constants was based on the idea that the singular coverings and factor spaces associated with the causal diamond  $CD$  and  $CP_2$ , which appears as factors of  $CD \times CP_2$  correspond somehow to Jones inclusions, and that the integers  $n_a$  and  $n_b$  characterizing the orders of maximal cyclic groups of groups  $G_a$  and  $G_b$  associated with the two Cartesian factors correspond to quantum phases  $q = \exp(i2\pi/n_i)$  in such a manner that singular factor spaces correspond to Jones inclusions with index  $\mathcal{M} : \mathcal{N} < 4$  and coverings to those with index  $\mathcal{M} : \mathcal{N} = 4$ .

Since Jones inclusions are interpreted in terms of finite measurement resolution, the mathematical realization of this heuristic picture should rely on the same concept realized also by the fact that the number of non-zero modes for induced spinor fields is finite. This allows to consider two possible interpretations.

1. The finite number of modes defines an approximation to the hyper-finite factor of type  $II_1$  defined by configuration space Clifford algebra.
2. The Clifford algebra spanned by fermionic oscillator operators is quantum Clifford algebra and corresponds to the somewhat nebulous object  $\mathcal{N}/\mathcal{M}$  associated with the inclusion  $\mathcal{M} \subset \mathcal{N}$  and coding the finite measurement resolution to a finite quantum dimension of the Clifford algebra. The fact that quantum dimension is smaller than the actual dimension would reflect correlations between spinor components so that they are not completely independent.

If the latter interpretation is correct then second quantized induced spinor fields should obey quantum variant of anticommutation relations reducing to ordinary anticommutation relations only for  $n_a = n_b = 0$  (no singular coverings nor factor spaces). This would give the desired connection between inclusions and hierarchy of Planck constants. It is possible to have infinite number of quantum group like structure for  $\hbar = \hbar_0$ .

There are two quantum phases  $q$  and one should understand what is the phase that appears in the quantum variant of anti-commutation relations. A possible resolution of the problem relies on the observation that there are two kinds of number theoretic braids. The first kind of number theoretic braid is defined as the intersection of  $M_+$  (or light-like curve of  $\delta M_+^4$  in more general case) and of  $\delta M_+^4$  projection of  $X^2$ . Second of braid is defined as the intersection of  $CP_2$  projection of  $X^2$  of homologically non-trivial sphere  $S_{II}^2$  of  $CP_2$ . The intuitive expectation is that these dual descriptions apply for light-like 3-surfaces associated *resp.* co-associative regions of space-time surface and that both descriptions apply at wormhole throats. The duality of these descriptions is guaranteed also at wormhole throats if physical Planck constant is given by  $\hbar = r\hbar_0$ ,  $r = \hbar(M^4)/\hbar(CP_2)$ , so that only the ratio of the two Planck constants matters in commutation relations. This would suggest that it is  $q = \exp(i2\pi/r)$ , which appears in quantum variant of anti-commutation relations of the induced spinor fields.

### The action of $G_a \times G_b$ on configuration space spinors and spinor fields

The first question is what kind of measurement resolution is in question. In zero energy ontology the included states would typically correspond to insertion of zero energy states to the positive or negative part of the physical state in time scale below the time resolution defined by the time scale assignable to the smallest  $CD$  present in the zero energy state. Does the description in terms of  $G$  invariance apply in this case or does it relate only to time and length scale resolution whereas hierarchy of Planck constants would relate to angle resolution? Assume that this is the case.

The second question is how the idea about  $\mathcal{M}$  as an included algebra defining finite measurement resolution and  $G$  invariance as a symmetry defining  $\mathcal{M}$  as the included algebra relate to each other.

1. One cannot say that  $G$  creates states, which cannot be distinguished from each other. Rather  $G$ -invariant elements of  $\mathcal{M}$  create states whose presence in the state cannot be detected.
2. For covering space option  $\mathcal{M}$  represents states which are invariant under discrete subgroup of  $SU(2)$  acting in the covering. States with integer spin would be below measurement resolution and only fractional spins of form  $j/n$  would be observable. For factor space option  $\mathcal{M}$  would

represents states which are invariant under discrete subgroup of  $SU(2)$  acting in  $H$ -say states with spin. States with spin which is multiple of  $n$  would be below measurement resolution. The situation would be very similar to each other. Number theoretic considerations and the fact that the number of fermionic oscillator operators is finite suggest that for coverings the condition  $L_z < 1$  and for factor spaces the condition  $L_z < n$  is satisfied by the generators of Clifford algebra regarded as irreducible representation of  $G$ . For factor spaces the interpretation could be in terms of finite angular resolution  $\Delta\phi \leq 2\pi/n$  excluding angular momenta  $L_z \geq n$ . For coverings the resolution would be related to rotations (or rather, braidings) as multiples of  $2\pi$ : multiples  $m2\pi$   $m \geq n$  cannot be distinguished from  $m \bmod n$  multiples.

3. The minimal assumption is that integer orbital angular momenta are excluded for coverings and  $n$ -multiples are excluded for factor spaces. The stronger assumption would be that there is angular momentum cutoff. This point is however very delicate. The states with  $j > n$  can be obtained as tensor products of representations with  $j = m$ . If entanglement is present one cannot anymore express the state as a product of  $\mathcal{M}$  element and  $\mathcal{N}$  element so that the states  $j > n$  created in this manner would not be equivalent with those with  $j \bmod n$ . The replacement of the ordinary tensor product with Connes tensor product would indeed generate automatically entangled states and one could interpret Connes tensor product as a manner to create only the allowed states.
4. For quantum groups allow only finite number of representations up to some maximum spin determined by the integer  $n$  characterizing quantum phase  $q$ . This would mean angular momentum cutoff leaving only a finite number of representations of quantum group [19]. This fits nicely with what one obtains in the case of factor spaces. For coverings the new element is that the unit of spin becomes  $1/n$ : otherwise the situation seems to be similar. Quantum group like structure is obtained if the fermionic oscillator operators satisfy the quantum version of anti-commutation relations. The algebra would be very similar except that the orbital angular momentum labeling oscillator operators has different unit. Oscillator operators are naturally in irreducible representations of  $G$  and only the non-trivial representations of  $G$  are allowed.
5. Besides Jones inclusions corresponding to  $\mathcal{M} : \mathcal{N} < 4$  there are inclusions with  $\mathcal{M} : \mathcal{N} = 4$  to which one can also assign quantum phases. It would be natural to assign covering spaces and factor spaces to these two kinds of inclusions. For the minimal option excluding only the orbital angular momentum which are integers or multiples of  $n$  the fraction of excluded states is very small for coverings so that  $\mathcal{M} : \mathcal{N} = 4$  is natural for this option.  $\mathcal{M} : \mathcal{N} < 4$  would in turn correspond naturally to factor spaces.
6. Since the two kinds of number theoretic braids correspond to points which belong to  $M^2$  or  $S^2$ , one might argue that several quantum anticommutation relations must be satisfied simultaneously. This is not the case since the eigen modes of  $D_{C-S}$  and hence also oscillator operators code information about partonic surface  $X^2$  itself and also about  $X^4(X_l^3)$  rather than being purely local objects. In the case of covering space the oscillator operators can be arranged to irreducible representations of  $G$  and in the case of factor space the oscillator operators are  $G$ -invariant.

One must distinguish between  $G$  invariance for configuration space spinors and spinor fields.

1. In the case of factor spaces 3-surface are  $G$  invariant so that there is no difference between spinors and spinor fields as far as  $G$  is considered. Irreducible representations of  $G$  would correspond to the superpositions of  $G$ -transforms of oscillator operators for a fixed  $G$ -invariant  $X_l^3$ .
2. For covering space option  $G$ -invariance would mean that 3-surface is a mere  $G$ -fold copy of single 3-surface. There is no obvious reason to assume this. Hence one cannot separate spinorial degrees of freedom from configuration space degrees of freedom since  $G$  affects both the spin degrees of freedom and the 3-surface. Irreducible representations of  $G$  would correspond to genuine configuration space spinor fields involving a superposition of  $G$ -transforms of also  $X_l^3$ . The presence of both orbital and spin degrees of freedom could provide alternative explanation for why  $\mathcal{M} : \mathcal{N} = 4$  holds true for covering space option.

If the fermionic oscillator algebra is interpreted as a representation for  $\mathcal{N}/\mathcal{M}$ , allowed fermionic oscillator operators belong to non-trivial irreps of  $G$ . One can however ask whether the many-fermion states created by these operators are  $G$ -invariant for some physical reason so that one would have kind of  $G$ -confinement forcing the states to be many-fermion states with standard unit of quantum numbers for coverings and integer multiples of  $n$  for factor spaces. This would conform with the ideas that anyonicity is a microscopic property not visible at the level of entire state and that many-fermion systems in the anyonic state resulting in strong coupling limit for ordinary value of  $\hbar$  are in question. The processes changing the value of Planck constant would be phase transitions involving all fermions of the  $G$ -invariant state and would be slow for this reason. This would also contribute to the invisibility of dark matter.

### 12.4.3 Questions

#### What is the role of dimensions?

Could the dimensions of  $CD$  and  $CP_2$  and the dimensions of spaces defined by the choice of the quantization axes play a fundamental role in the construction from the constraint that the fundamental group is non-trivial?

1. Suppose that the sub-manifold in question is geodesic sub-manifold containing the orbits of its points under Cartan subgroup defining quantization axes. A stronger assumption would be that the orbit of maximal compact subgroup is in question.
2. For  $M^{2n}$  Cartan group contains translations in time direction with orbit  $M^1$  and Cartan subgroup of  $SO(2n-1)$  and would be  $M^n$  so that  $\hat{M}^{2n}$  would have a trivial fundamental group for  $n > 2$ . Same result applies in massless case for which one has  $SO(1,1) \times SO(2n-2)$  acts as Cartan subgroup. The orbit under maximal compact subgroup would not be in question.
3. For  $CP_2$  homologically non-trivial geodesic sphere  $CP_1$  contains orbits of the Cartan subgroup. For  $CP_n = SU(n+1)/SU(n) \times U(1)$  having real dimension  $2n$  the sub-manifold  $CP_{n-1}$  contains orbits of the Cartan subgroup and defines a sub-manifold with codimension 2 so that the dimensional restriction does not appear.
4. For spheres  $S^{n-1} = SO(n)/SO(n-1)$  the dimension is  $n-1$  and orbit of  $SO(n-1)$  of point left fixed by Cartan subgroup  $SO(2) \times ..$  would for  $n=2$  consist of two points and  $S_{n-2}$  in more general case. Again co-dimension 2 condition would be satisfied.

#### What about holes of the configuration space?

One can raise analogous questions at the level of configuration space geometry. Vacuum extremals correspond to Lagrangian sub-manifolds  $Y^2 \subset CP_2$  with vanishing induced Kähler form. They correspond to singularities of the configuration space ("world of classical worlds") and configuration space spinor fields should vanish for the vacuum extremals. Effectively this would mean a hole in configuration space, and the question is whether this hole could also naturally lead to the introduction of covering spaces and factor spaces of the configuration spaces. How much information about the general structure of the theory just this kind of decomposition might allow to deduce? This kind of singularities are infinite-dimensional variants of those discussed in catastrophe theory and this suggests that their understanding might be crucial.

#### Are more general inclusions of HFFs possible?

The proposed scenario could be criticized because discrete subgroups of  $SU(2)$  are in a preferred position. The Jones inclusions considered correspond to quantum spinor representations of various quantum groups  $SU(2)_q$ ,  $q = \exp(i2\pi/n)$ . This explains the result  $\mathcal{M} : \mathcal{N} \leq 4$ . These representations are certainly in preferred role as far as configuration space spinor fields are considered but it is possible to assign a hierarchy of inclusions of HFFs labeled by quantum phase  $q$  with arbitrary representation of an arbitrary compact Lie group. These inclusions would be analogous to discrete states in the continuum  $\mathcal{M} : \mathcal{N} > 4$ .

Since the inclusions are characterized by single quantum phase  $q = \exp(i2\pi/n)$  in the case of compact Lie groups (Appendix), one can ask whether more general discrete groups than subgroups of  $SU(2)$  should be allowed. The inclusions of HFFs associated with higher dimensional Lie groups have  $\mathcal{M} : \mathcal{N} > 4$  and are analogous to bound states in continuum (Appendix). In the case of  $CP_2$  this would allow to consider much more general sub-groups.

The question is therefore whether some principle selects subgroups of  $SU(2)$ . There are indeed good arguments supporting the hypothesis that only discrete Abelian subgroups of  $SU(2)$  are possible.

1. The notion of number theoretic braid allows only the only subgroups of rotation group leaving  $M^2$  invariant and sub-groups of  $SU(3)$  leaving geodesic sphere  $S^2_i$  invariant. This would drop groups having genuinely 3-D action. In the case of  $SU(3)$  discrete subgroups of  $SO(3)$  or  $U(2)$  remain under consideration. The geodesic sphere of type II is however analogous to North/South pole of  $S^2$  and second phase factor associated with the coordinates  $(\xi^1, \xi^2)$  becomes redundant since  $(|\xi^1|^2 + |\xi^2|^2)^{1/2}$  becomes infinite at  $S^2_{II}$  so that  $\xi^1/\xi^2$  becomes appropriate coordinate. Hence action of  $U(2)$  reduces to that of  $SU(2)$  since  $\xi^1$  and  $\xi^2$  correspond to same value of color hyper charge associated with  $U(1)$ .
2. A physically attractive possibility is that  $G_a \times G_b$  leaves the choice of quantization axes invariant. This condition makes sense also for coverings. This would leave only Abelian groups into consideration and drop  $D_{2n}$ ,  $E_6$ , and  $E_8$ . It is quite possible that only these groups define sectors of the generalized imbedding space. This means that  $G_b = Z_{n_1} \times Z_{n_2} \subset U(1)_I \times U(1)_Y \subset SU(2) \times U(1)_Y$  and even more general subgroups of  $SU(3)$  (if non-commutativity is allowed) are a priori possible. Again the first argument reduces the list to cyclic subgroups of  $SU(2)$ .
3. The products of groups  $Z_n$  are also number theoretically in a very special position since they relate naturally to the finite cyclic extensions and also to the maximal Abelian extension of rationals. With this restriction on  $G_a \times G_b$  one can consider the hypothesis that elementary particles correspond are maximally quantum critical systems left invariant by all groups  $G_a \times G_b$  respecting a given choice of quantization axis and implying that darkness is associated only to field bodies and Planck constant becomes characterizer of interactions rather than elementary particles themselves.

#### 12.4.4 How does the hierarchy of Planck constants affect the modified Dirac equation?

It is not quite obvious how  $\hbar/\hbar_0 = \hbar(M^4)/\hbar(CP_2)$  and  $\hbar(M^4)$  and  $\hbar(CP_2)$  make themselves visible in the dynamics of the theory. To see what is involved some simple dimensional considerations are needed.

##### General view about the role of $\hbar$

The usual convention of putting  $\hbar = 1$  simplifies things tremendously but when  $\hbar$  is assumed to have a spectrum, one is forced to check how  $\hbar$  appears in the theory.

1.  $\hbar$  appears in the anticommutators of the induced fermion fields restricted to the points of the number theoretic braids. Standard canonical anticommutation rule states that the anticommutator  $\{\bar{\Psi}\hat{\Gamma}^0(x_m), \Psi(x_n)\}$  equals to  $\hbar\delta_{m,n}$ . This is due to the dimension  $\sqrt{\hbar}/L^{3/2}$  of the induced spinor field forcing the modified Dirac action to be proportional to  $1/\hbar$ . The overall scaling of the action does not matter at all since it implies only an overall scaling of the eigenvalue spectrum giving an additive constant to Kähler action. The scaling of  $M^4$  and  $CP_2$  metrics by the same factor induces the scaling of the modified gamma matrices  $\hat{\Gamma}^\alpha$  by same factor which does not affect the value Kähler function apart from additive constant. This conforms with the Weyl invariance of Kähler action. One can therefore identify  $\hbar$  as a scaling factor of  $M^4$  metric when  $CP_2$  metric is scaled down to its standard form. By introducing a scaled up  $M^4$  coordinate, the standard form of metric is obtained but the size of causal diamond  $CD$  is scaled up so that various values of  $\hbar$  label different sizes of  $CD$ . Therefore radiative corrections reflect breaking of the scaling invariance with respect to  $M^4$  scalings for the preferred extremals.

2. General Coordinate Invariance forces to conclude that Kähler gauge potential is dimensionless so that Kähler action must be proportional to  $\hbar_0/g_K^2$  or  $\hbar/g_K^2$ . The latter option would lead to non-sensible results since the action of  $CP_2$  type vacuum extremals would be scaled up and gravitational constant would be extremely small for dark matter with large Planck constant. The first option is also consistent with the fact that the scaling of  $1/\hbar$ -factor in the modified Dirac action affects Kähler function only by an addition of a constant term.
3. It is important to notice the difference between Kähler gauge potential, which is classical field, and gauge potential of QFTs which is quantum field. By General Coordinate Invariance Kähler gauge potential is dimensionless whereas quantal gauge potential has different dimension  $[\hbar/g]/L = [\sqrt{\hbar}]/L$ . The instanton term in Kähler action is also dimensionless and the invariance under the overall scaling of  $\hbar$  requires that the scaling factor equals to  $k/4\pi$ . Instanton term therefore brings in no dependence on  $\hbar$  at the classical level and the character of CP breaking depends on  $\hbar$  only via the breaking of scale invariance.

The book like structure of  $M^4$  and  $CP_2$  means that if the  $M^4$  resp.  $CP_2$  projection partonic surface corresponds to a light-like ray of  $M^2$  or preferred geodesic sphere  $S_i^2$  of  $CP_2$ , problems might be encountered since the value of  $\hbar$  is non-unique.  $S_I^2$  produces no problems since it corresponds to vanishing induced Kähler field so that vertices vanish. Partonic 2-surfaces with  $S_{II}^2$  projection need not correspond to preferred extremals. Problems are avoided if these kind of 2-surfaces are not allowed as vertices. Since M-matrix characterizes zero energy state, this kind of condition can be posed at least formally.

An interesting question is what happens in exact quantum criticality (with respect to the change of Planck constant). Could one have a topological field theory in  $S_i^2$  or factorizing QFT in  $M^2$  as one might expect on basis of the observation that at criticality the theory reduces to a pure topological QFT or something analogous to it? For factorizing QFT in  $M^2$  particle scattering is elastic [62]: particles just pass by and at most permute their momenta. The S-matrix reduces to a braiding S-matrix at the limits  $v \rightarrow 0$  and  $v \rightarrow c$  for particle velocities. The S-matrix of factorizing QFTs does not depend on  $\hbar$  as is clear from the fact that it depends only on the rapidity differences of the incoming and outgoing particles: this can be seen also from exact some exact solutions to the defining relations of Zamolodchikov algebra [65]. Also the N-point functions of topological QFTs are independent of  $\hbar$  since there is no coupling constant strength with dimensions of  $\hbar$  (such as  $g^2$  in gauge theory) and no fundamental mass parameter so that  $\hbar\omega/m$  for some characteristic frequency could introduce  $\hbar$  dependence.

Fusion rules suggest that also the N-point functions of conformal field theories can be made independent of Planck constant by a suitable scaling of conformal fields. This is suggested also by the fact that the commutation relations of conformal algebras (energy momentum tensor and Kac-Moody currents) allow indeed elimination of  $\hbar$  completely by an appropriate scaling of generators. All this conforms with the notion that radiative corrections correspond to the breaking of scale invariance in  $M^4$  degrees of freedom.

### Do anyonic phases make $\hbar(M^4)$ and $\hbar(CP_2)$ separately visible?

There are good reasons to expect that something in the modified Dirac equation differentiates between different pages of book like structure associated with  $CD$  (causal diamond of  $M^4$ ) and  $CP_2$  realizing the hierarchy of phases with different Planck constants. The ratio  $\hbar(CD)/\hbar(CP_2)$  is visible via radiative corrections and reflects the breaking of scale invariance associated with scalings of  $CD$  making itself manifest at the level of preferred extremals. One could however ask whether also information about  $\hbar(CD)$  and  $\hbar(CP_2)$  rather than only their ratio could be coded to the modified Dirac action.

The intuitive view is that non-standard values of Planck constant correspond to anyonic or at least potentially anyonic phases of matter with fractionization of quantum numbers. This suggests that the Kähler gauge potential of  $CP_2$  extends to that in  $CD \times CP_2$  and possess what gauge theorist would call a pure gauge part. This part could be present also in  $CD$ . Since Kähler gauge potential does not relate to a genuine gauge field ( $U(1)$  gauge transformations correspond to symplectic transformations of  $CP_2$  and are symmetries only for vacuum extremals), even the addition of a pure gauge might change physics. A more conservative assumption is that the pure gauge part cannot be eliminated by a non-singular global gauge transformation as it typically is in topological gauge theories defined by Chern-Simons action.



**The modification of Kähler gauge potential in  $CD$**

The singular pure gauge part is visible in the modified Dirac equation in the covariant derivative  $D_\alpha$ , which receives  $\Delta A_\alpha$  term:  $D_\alpha \rightarrow D_\alpha + \Delta A_\alpha$ .

Let us consider first what happens in the case of  $CD$ .

1. Let  $M^2 \times E^2$  denote the decomposition of  $M^4$  implied by the hierarchy of Planck constants and introduce cylindrical coordinates  $(t, z, \rho, \phi)$ .
2. The presence of  $M^2$  singularity means that there z-axis represents an infinitely thin cylindrical hole in  $E^3$  and homology group is thus non-trivial. Therefore one can one introduce a modification of Kähler gauge potential not allowed in  $E^3$ . The modification is  $\Delta A_\phi = \Delta = k/n$ . As a consequence, the phases  $exp(im\phi)$  associated with the eigenstates of orbital angular momentum are transformed to  $exp(i(m - k/n)\phi)$  and become many-valued unless one replaces  $CD$  with its  $n$ -fold covering, which is just what has been done.
3. That spin fractionization takes place becomes clear by studying the expression of the angular momentum current  $J_z$  for modified Dirac operator. If the first variation of  $D_K$  vanishes in absence of  $\Delta A$ , there is no contribution to conserved charges from  $D_\alpha$  term and only the change of  $\Psi$  under the symmetry transformation contributes.  $\Delta A_\phi$  however brings to the current associated with  $J_z$  an additional term which reduces to fermion current multiplied by  $\Delta A$  when  $\Delta A_\phi$  constant. Genuine charge fractionization results but only if one cannot eliminate  $\Delta A_\phi$  by a gauge transformation, which is well defined for the entire partonic 2-surface. This is the case if  $X^2$  encloses the tip of  $CD$  so that homological triviality of  $X^2$  in  $CD \setminus M^2$  can be seen as a necessary condition for anyonization.
4.  $\Delta A$  modifies conserved currents associated with all those symmetries which affect the value of  $\phi$ , in particular translations in the plane  $E^2$ . If one accepts the angular momentum fractionization in this manner, then only the projection of four-momentum to the plane  $M^2$  is good quantum number. Angular momentum and square of transversal momentum would be additional good quantum numbers. That this is the case is suggested also by p-adic mass calculations and parton model of hadrons.

**The modification of Kähler gauge potential in  $CP_2$**

In  $CP_2$  degrees of freedom the homologically trivial geodesic sphere  $S^2_I$  and its homologically non-trivial counterpart  $S^2_{II}$  define candidates for the two backs of the  $CP_2$  book.

1. First a summary of some basic facts about  $CP_2$  is in order.
  - (a) The Eguchi-Hanson coordinates  $(\xi^1, \xi^2)$  of  $CP_2$  to which  $U(2)$  by definition acts linearly are related to the "spherical coordinates" via the equations

$$\begin{aligned} \xi^1 &= r \exp(i \frac{(\Psi + \Phi)}{2}) \cos(\frac{\Theta}{2}) , \\ \xi^2 &= r \exp(i \frac{(\Psi - \Phi)}{2}) \sin(\frac{\Theta}{2}) . \end{aligned} \tag{12.4.1}$$

The ranges of the variables  $r, \Theta, \Phi, \Psi$  are  $[0, \infty], [0, \pi], [0, 4\pi], [0, 2\pi]$  respectively. The different choices of quantization of  $I_3$  and  $Y_A$  are related by  $SU(3)$  rotations of these coordinates.

- (b) In these coordinates Kähler gauge potential is given by

$$B_K = \frac{r(d\Psi + \cos\Theta d\Phi)}{2F} , \quad F = 1 + r^2 . \tag{12.4.2}$$

Kähler gauge potentials for different choices of quantization axes are related by  $U(1)$  gauge transformation induced by the  $SU(3)$  rotation in question.

(c) The standard representatives for the geodesic spheres of  $CP_2$  are given by the equations

$$S_I^2 : \xi^1 = \bar{\xi}^2 \text{ or equivalently } (\Theta = \pi/2, \Psi = 0) ,$$

$$S_{II}^2 : \xi^1 = \xi^2 \text{ or equivalently } (\Theta = \pi/2, \Phi = 0) .$$

2. The natural identification of the quantization axes corresponds to directions which remain invariant under  $SO(2) \subset SO(3) \subset SU(3)$  for  $S_I^2$ . For  $S_{II}^2$  the action of  $U(2)$  reduces to that of  $SU(2)$  since and  $U(1)$  inducing same phase rotation of both complex coordinates  $(\xi^1, \xi^2)$  is the natural identification defined the invariant manifolds which induce slicing of  $S^2$  to circles parallel to equator. The circles to which  $\Delta A$  can be assigned are circles "going around"  $S_I^2$ .
3. In the case of  $S_I^2$  different values of angle  $\Phi$  correspond to the same point of  $S_I^2$  and rotations "around"  $S_I^2$  correspond to rotations  $\Psi \rightarrow \Psi + \delta\Psi$  so that  $\Delta A_\Psi$  would be naturally non-vanishing in this case. For  $S_{II}^2$  the roles of  $\Phi$  and  $\Psi$  are changed.
4.  $\Delta A$  would be thus of form  $\Delta A_\Phi + \Delta A_\Psi$  if one accepts  $CP_2$  book with two backs.

### $\Delta A$ is necessary for charge fractionization

The introduction of  $\Delta A$  explicitly into the modified Dirac equation means an explicit breaking of Lorentz invariance and color symmetry. The interpretation is as an imbedding space correlate for the choice of quantization axes. Lorentz symmetry is something sacred and this motivates the question whether the instanton term in modified Dirac action could be enough. It however seems impossible to understand charge fractionization without this term. A further justification for  $\Delta A$  comes from the requirement that it makes both  $\hbar(CD)$  and  $\hbar(CP_2)$  visible in the fundamental physics rather than only their ratio  $\hbar/\hbar_0 = \hbar(CD)/\hbar(CP_2)$ .  $\Delta A$  indeed implies charge fractionization as the following argument shows in more detail.

1. The values of  $\Delta A$  relate to the values of integers  $n_a$  and  $n_b$  appearing in the integers  $n_a$  and  $n_b$  appearing in the expression of Planck constants  $\hbar(CD)$  and  $\hbar(CP_2)$  and characterizing the orders of maximal cyclic subgroups associated with the covering. For singular coverings  $\Delta A(CD) = 1/n_a$  ( $\Delta A(CP_2) = 1/n_b$ ) would hold true naturally. For singular factor spaces one would have  $\Delta A(CD) = n_a$  ( $\Delta A(CP_2) = n_b$ ).
2. The full Kähler gauge potential would be of form  $A + \Delta A(M^4) + \Delta A(CP_2)$ . As consequence, the Chern-Simons term appearing at  $X_I^3$  is of form  $A \wedge J + \Delta A(M^4) \wedge J + \Delta A(M^4) \wedge J$  and contains anomalous parts.  $\Delta A(M^4)$  implies that  $C - S$  action is non-vanishing also for 2-dimensional  $CP_2$  projection at least when  $X^2$  has homologically non-trivial  $CP_2$  projection.
  - (a) As already explained,  $\Delta A$  gives an anomalous contribution to spin and color hypercharge and isopin. The contribution is of form  $\bar{\Psi} \hat{\Gamma}^\alpha \Delta A_\phi \Psi$  and boils down to a shift  $\Delta S_z = \Delta A_\phi$  for single fermion state. Shift is in question whereas non-standard values of  $\hbar$  imply scaling of the basic charge unit.
  - (b) What happens in the case of electro-weak charges is not quite obvious. Electro-weak gauge charges can be identified as fermionic Noether charges associated with  $D_K$ . Noether currents are of form  $\bar{\Psi} \{\hat{\Gamma}^\alpha, Q\} \Psi$ . The charge matrices associated with the couplings of photon and  $Z^0$  are covariantly constant being combinations of matrices  $P_\pm = (1 \pm \Gamma_9)/2$  (coupling to Kähler gauge potential), matrix  $P_\pm J$ ,  $J = J^{kl} \Sigma_{kl}$  (coupling to the vectorial part of spinor curvature),  $P_\pm (1 - \gamma_5) J^{kl} \Sigma_{kl}$  (left handed coupling of  $Z^0$ ).  $\{\hat{\Gamma}^\alpha, Q\}$  does not contribute to the divergence of Noether current so that these Noether currents are conserved.  $W$  boson charge matrices are not covariantly constant. The non-conservation of corresponding Noether currents obviously reflects electro-weak symmetry breaking. There is no anomalous contribution to electro-weak gauge charges.
  - (c) An old idea of TGD - motivated by the fact that  $U(2) \subset SU(3)$  can be identified with the holonomy group of spinor connection identifiable as  $U(2)_{ew}$  - is that electro-weak gauge charges can be identified as color gauge charges assignable to color Noether currents whereas

quark and gluon color resides in  $CP_2$  partial waves for center of mass degrees of freedom of  $X^3$  and thus in configuration space degrees of freedom. In fact, the vanishing of the first variation of  $D_K$  implies that these charges are vanishing so that the idea as such does not work and by previous observation need not to do so. The anomalous contribution is however non-vanishing since its first variation does not vanish and gives to the electro weak currents an anomalous contribution of form  $\bar{\Psi}\hat{\Gamma}^\alpha(a\Delta A_\Phi + b\Delta A_\Psi)\Psi$ , where  $a$  and  $b$  depend on the detailed correspondence between electro-weak and color hyper-charge and isospin. Anomalous contributions to isospins are identifiable as such and anomalous Kähler contribution must be proportional to the anomalous color hyper-charge. Leptons (quarks) couple to  $n = -3$  ( $n = 1$ ) multiple of Kähler gauge potential so that the contribution to anomalous Kähler charge is of form  $nk\Delta A_\Psi$ , where  $k = 1$  is the simplest guess. This predicts the shifts  $\Delta I_{3,ew} = \Delta A_\Phi$  and  $\Delta Q_K = n\Delta A_\Psi$  allowing to deduce the shifts of em and  $Z^0$  charges.

3.  $\Delta A$  affects the transversal part  $D_K(X^2)$  of the modified Dirac operator via covariant derivative, which means that the preferred extremal is modified since the moduli of the eigenvalues are modified. Charge fractionization should also make itself visible as a selection of the preferred extremal of Kähler action through stationary phase approximation. The phase factor  $\exp(i \int Tr(QA_\mu)dx^\mu)$ , where  $Q$  is the charge matrix characterizing particle assigned to the strands of number theoretic braid generates a correlation between the properties of preferred extremal and quantum numbers associated with  $X_l^3$ . If  $Q$  is replaced with the fractionized charge the desired correlation results but not otherwise if one believes following argument. Stationary phase approximation gives field equations in which charges at partonic strands play the role of sources:  $\Delta A$  is not visible in the source nor in the motion of sources nor in the equations of the extremals of  $C - S$  action. Thus it seems that extremum is not affected by the modification of Chern-Simons action unless very delicate effects are involved.

## 12.5 Vision about dark matter as phases with non-standard value of Planck constant

### 12.5.1 Dark rules

It is useful to summarize the basic phenomenological view about dark matter.

#### The notion of relative darkness

The essential difference between TGD and more conventional models of dark matter is that darkness is only relative concept.

1. Generalized imbedding space forms a book like structure and particles at different pages of the book are dark relative to each other since they cannot appear in the same vertex identified as the partonic 2-surface along which light-like 3-surfaces representing the lines of generalized Feynman diagram meet.
2. Particles at different space-time sheets act via classical gauge field and gravitational field and can also exchange gauge bosons and gravitons (as also fermions) provided these particles can leak from page to another. This means that dark matter can be even photographed [110]. This interpretation is crucial for the model of living matter based on the assumption that dark matter at magnetic body controls matter visible to us. Dark matter can also suffer a phase transition to visible matter by leaking between the pages of the Big Book.
3. The notion of standard value  $\hbar_0$  of  $\hbar$  is not a relative concept in the sense that it corresponds to rational  $r = 1$ . In particular, the situation in which both  $CD$  and  $CP_2$  correspond to trivial coverings and factor spaces would naturally correspond to standard physics.

### Is dark matter anyonic?

In [17] a detailed model for the Kähler structure of the generalized imbedding space is constructed. What makes this model non-trivial is the possibility that  $CP_2$  Kähler form can have gauge parts which would be excluded in full imbedding space but are allowed because of singular covering/factor-space property. The model leads to the conclusion that dark matter is anyonic if the partonic 2-surface, which can have macroscopic or even astrophysical size, encloses the tip of  $CD$  within it. Therefore the partonic 2-surface is homologically non-trivial when the tip is regarded as a puncture. Fractional charges for anyonic elementary particles imply confinement to the partonic 2-surface and the particles can escape the two surface only via reactions transforming them to ordinary particles. This would mean that the leakage between different pages of the big book is a rare phenomenon. This could partially explain why dark matter is so difficult to observe.

### Field body as carrier of dark matter

The notion of "field body" implied by topological field quantization is essential. There would be em,  $Z^0$ ,  $W$ , gluonic, and gravitonic field bodies, each characterized by its one prime. The motivation for considering the possibility of separate field bodies seriously is that the notion of induced gauge field means that all induced gauge fields are expressible in terms of four  $CP_2$  coordinates so that only single component of a gauge potential allows a representation as an independent field quantity. Perhaps also separate magnetic and electric field bodies for each interaction and identifiable as flux quanta must be considered. This kind of separation requires that the fermionic content of the flux quantum (say fermion and anti-fermion at the ends of color flux tube) is such that it conforms with the quantum numbers of the corresponding boson.

What is interesting that the conceptual separation of interactions to various types would have a direct correlate at the level of space-time topology. From a different perspective inspired by the general vision that many-sheeted space-time provides symbolic representations of quantum physics, the very fact that we make this conceptual separation of fundamental interactions could reflect the topological separation at space-time level.

p-Adic mass calculations for quarks encourage to think that the p-adic length scale characterizing the mass of particle is associated with its electromagnetic body and in the case of neutrinos with its  $Z^0$  body.  $Z^0$  body can contribute also to the mass of charged particles but the contribution would be small. It is also possible that these field bodies are purely magnetic for color and weak interactions. Color flux tubes would have exotic fermion and anti-fermion at their ends and define colored variants of pions. This would apply not only in the case of nuclear strings but also to molecules and larger structures so that scaled variants of elementary particles and standard model would appear in all length scales as indeed implied by the fact that classical electro-weak and color fields are unavoidable in TGD framework.

One can also go further and distinguish between magnetic field body of free particle for which flux quanta start and return to the particle and "relative field" bodies associated with pairs of particles. Very complex structures emerge and should be essential for the understanding the space-time correlates of various interactions. In a well-defined sense they would define space-time correlate for the conceptual analysis of the interactions into separate parts. In order to minimize confusion it should be emphasized that the notion of field body used in this chapter relates to those space-time correlates of interactions, which are more or less *static* and related to the formation of *bound states*.

### 12.5.2 Phase transitions changing Planck constant

The general picture is that p-adic length scale hierarchy corresponds to p-adic coupling constant evolution and hierarchy of Planck constants to the coupling constant evolution related to phase resolution. Both evolutions imply a book like structure of the generalized imbedding space.

#### Transition to large $\hbar$ phase and failure of perturbation theory

One of the first ideas was that the transition to large  $\hbar$  phase occurs when perturbation theory based on the expansion in terms of gauge coupling constant ceases to converge: Mother Nature would take care of the problems of theoretician. The transition to large  $\hbar$  phase obviously reduces the value of gauge coupling strength  $\alpha \propto 1/\hbar$  so that higher orders in perturbation theory are reduced whereas

the lowest order "classical" predictions remain unchanged. A possible quantitative formulation of the criterion is that maximal 2-particle gauge interaction strength parameterized as  $Q_1 Q_2 \alpha$  satisfies the condition  $Q_1 Q_2 \alpha \simeq 1$ .

A justification for this picture would be that in non-perturbative phase large quantum fluctuations are present (as functional integral formalism suggests). At space-time level this could mean that space-time sheet is near to a non-deterministic vacuum extremal -at least if homologically trivial geodesic sphere defines the number theoretic braids. At certain critical value of coupling constant strength one expects that the transition amplitude for phase transition becomes very large. The resulting phase would be of course different from the original since typically charge fractionization would occur.

One should understand why the failure of the perturbation theory (expected to occur for  $\alpha Q_1 Q_2 > 1$ ) induces the reduction of Clifford algebra, scaling down of  $CP_2$  metric, and whether the  $G$ -symmetry is exact or only approximate. A partial understanding already exists. The discrete  $G$  symmetry and the reduction of the dimension of Clifford algebra would have interpretation in terms of a loss of degrees of freedom as a strongly bound state is formed. The multiple covering of  $M_{\pm}^4$  accompanying strong binding can be understood as an automatic consequence of  $G$ -invariance. A concrete realization for the binding could be charge fractionization which would not allow the particles bound on large light-like 3-surface to escape without transformation to ordinary particles.

Two examples perhaps provide more concrete view about this idea.

1. The proposed scenario can reproduce the huge value of the gravitational Planck constant. One should however develop a convincing argument why non-perturbative phase for the gravitating dark matter leads to a formation of  $G_a \times$  covering of  $CD \setminus M^2 \times CP_2 \setminus S_1^2$  with the huge value of  $\hbar_{eff} = n_a/n_b \simeq GM_1 M_2/v_0$ . The basic argument is that the dimensionless parameter  $\alpha_{gr} = GM_1 M_2/4\pi\hbar$  should be so small that perturbation theory works. This gives  $\hbar_{gr} \geq GM_1 M_2/4\pi$  so that order of magnitude is predicted correctly.
2. Color confinement represents the simplest example of a transition to a non-perturbative phase. In this case  $A_2$  and  $n = 3$  would be the natural option. The value of Planck constant would be 3 times higher than its value in perturbative QCD. Hadronic space-time sheets would be 3-fold coverings of  $M_{\pm}^4$  and baryonic quarks of different color would reside on 3 separate sheets of the covering. This would resolve the color statistics paradox suggested by the fact that induced spinor fields do not possess color as spin like quantum number and by the facts that for orbifolds different quarks cannot move in independent  $CP_2$  partial waves assignable to  $CP_2$  cm degrees of freedom as in perturbative phase.

### The mechanism of phase transition and selection rules

The mechanism of phase transition is at classical level similar to that for ordinary phase transitions. The partonic 2-surface decomposes to regions corresponding to difference values of  $\hbar$  at quantum criticality in such a manner that regions in which induced Kähler form is non-vanishing are contained within single page of imbedding space. It might be necessary to assume that only a region corresponding to single value of  $\hbar$  is possible for partonic 2-surfaces and  $\delta CD \times CP_2$  so that quantum criticality would be associated with the intermediate state described by the light-like 3-surface. One could also see the phase transition as a leakage of  $X^2$  from given page to another: this is like going through a closed door through a narrow slit between door and floor. By quantum criticality the points of number theoretic braid are already in the slit.

As in the case of ordinary phase transitions the allowed phase transitions must be consistent with the symmetries involved. This means that if the state is invariant under the maximal cyclic subgroups  $G_a$  and  $G_b$  then also the final state must satisfy this condition. This gives constraints to the orders of maximal cyclic subgroups  $Z_a$  and  $Z_b$  for initial and final state:  $n(Z_{a_i})$  resp.  $n(Z_{b_i})$  must divide  $n(Z_{a_f})$  resp.  $n(Z_{b_f})$  or vice versa in the case that factors of  $Z_i$  do not leave invariant the states. If this is the case similar condition must hold true for appropriate subgroups. In particular, powers of prime  $Z_{p^n}$ ,  $n = 1, 2, \dots$  define hierarchies of allowed phase transitions.

### 12.5.3 Coupling constant evolution and hierarchy of Planck constants

If the overall vision is correct, quantum TGD would be characterized by two kinds of couplings constant evolutions. p-Adic coupling constant evolution would correspond to length scale resolution

and the evolution with respect to Planck constant to phase resolution. Both evolution would have number theoretic interpretation.

### Evolution with respect to phase resolution

The coupling constant evolution in phase resolution in p-adic degrees of freedom corresponds to emergence of algebraic extensions allowing increasing variety of phases  $\exp(i2\pi/n)$  expressible p-adically. This evolution can be assigned to the emergence of increasingly complex quantum phases and the increase of Planck constant.

One expects that quantum phases  $q = \exp(i\pi/n)$  which are expressible using only iterated square root operation are number theoretically very special since they correspond to algebraic extensions of p-adic numbers obtained by an iterated square root operation, which should emerge first. Therefore systems involving these values of  $q$  should be especially abundant in Nature. That arbitrarily high square roots are involved as becomes clear by studying the case  $n = 2^k$ :  $\cos(\pi/2^k) = \sqrt{[1 + \cos(\pi/2^{k-1})]}/2$ .

These polygons are obtained by ruler and compass construction and Gauss showed that these polygons, which could be called Fermat polygons, have  $n_F = 2^k \prod_s F_{n_s}$  sides/vertices: all Fermat primes  $F_{n_s}$  in this expression must be different. The analog of the p-adic length scale hypothesis emerges since larger Fermat primes are near a power of 2. The known Fermat primes  $F_n = 2^{2^n} + 1$  correspond to  $n = 0, 1, 2, 3, 4$  with  $F_0 = 3$ ,  $F_1 = 5$ ,  $F_2 = 17$ ,  $F_3 = 257$ ,  $F_4 = 65537$ . It is not known whether there are higher Fermat primes.  $n = 3, 5, 15$ -multiples of p-adic length scales clearly distinguishable from them are also predicted and this prediction is testable in living matter. I have already earlier considered the possibility that Fermat polygons could be of special importance for cognition and for biological information processing [42].

This condition could be interpreted as a kind of resonance condition guaranteeing that scaled up sizes for space-time sheets have sizes given by p-adic length scales. The numbers  $n_F$  could take the same role in the evolution of Planck constant assignable with the phase resolution as Mersenne primes have in the evolution assignable to the p-adic length scale resolution.

The Dynkin diagrams of exceptional Lie groups  $E_6$  and  $E_8$  are exceptional as subgroups of rotation group in the sense that they cannot be reduced to symmetry transformations of plane. They correspond to the symmetry group  $S_4 \times Z_2$  of tetrahedron and  $A_5 \times Z_2$  of dodecahedron or its dual polytope icosahedron ( $A_5$  is 60-element subgroup of  $S_5$  consisting of even permutations). Maximal cyclic subgroups are  $Z_4$  and  $Z_5$  and thus their orders correspond to Fermat polygons. Interestingly,  $n = 5$  corresponds to minimum value of  $n$  making possible topological quantum computation using braids and also to Golden Mean.

### Is there a correlation between the values of p-adic prime and Planck constant?

The obvious question is whether there is a correlation between p-adic length scale and the value of Planck constant. One-to-one correspondence is certainly excluded but loose correlation seems to exist.

1. In [27] the information about the number theoretic anatomy of Kähler coupling strength is combined with input from p-adic mass calculations predicting  $\alpha_K$  to be the value of fine structure constant at the p-adic length scale associated with electron. One can also develop an explicit expression for gravitational constant assuming its renormalization group invariance on basis of dimensional considerations and this model leads to a model for the fraction of volume of the wormhole contact (piece of  $CP_2$  type extremal) from the volume of  $CP_2$  characterizing gauge boson and for similar volume fraction for the piece of the  $CP_2$  type vacuum extremal associated with fermion.
2. The requirement that gravitational constant is renormalization group invariant implies that the volume fraction depends logarithmically on p-adic length scale and Planck constant (characterizing quantum scale). The requirement that this fraction in the range  $(0, 1)$  poses a correlation between the rational characterizing Planck constant and p-adic length scale. In particular, for space-time sheets mediating gravitational interaction Planck constant must be larger than  $\hbar_0$  above length scale which is about .1 Angstrom. Also an upper bound for  $\hbar$  for given p-adic length scale results but is very large. This means that quantum gravitational effects should become important above atomic length scale [27].

## 12.6 Some applications

Below some applications of the hierarchy of Planck constants as a model of dark matter are briefly discussed. The range of applications varying from elementary particle physics to cosmology and I hope that this will convince the reader that the idea has strong physical motivations.

### 12.6.1 A simple model of fractional quantum Hall effect

The generalization of the imbedding space suggests that it could be possible to understand fractional quantum Hall effect [106] at the level of basic quantum TGD. This section represents the first rough model of QHE constructed for a couple of years ago is discussed. Needless to emphasize, the model represents only the basic idea and involves an ad hoc assumption about charge fractionization.

Recall that the formula for the quantized Hall conductance is given by

$$\begin{aligned}\sigma &= \nu \times \frac{e^2}{h} , \\ \nu &= \frac{n}{m} .\end{aligned}\tag{12.6.1}$$

Series of fractions in  $\nu = 1/3, 2/5, 3/7, 4/9, 5/11, 6/13, 7/15, \dots, 2/3, 3/5, 4/7, 5/9, 6/11, 7/13, \dots, 5/3, 8/5, 11/7, 14/9, \dots, 4/3, 7/5, 10/7, 13/9, \dots, 1/5, 2/9, 3/13, \dots, 2/7, 3/11, \dots, 1/7, \dots$  with odd denominator have been observed as are also  $\nu = 1/2$  and  $\nu = 5/2$  states with even denominator [106].

The model of Laughlin [74] cannot explain all aspects of FQHE. The best existing model proposed originally by Jain is based on composite fermions resulting as bound states of electron and even number of magnetic flux quanta [73]. Electrons remain integer charged but due to the effective magnetic field electrons appear to have fractional charges. Composite fermion picture predicts all the observed fractions and also their relative intensities and the order in which they appear as the quality of sample improves.

The generalization of the notion of imbedding space suggests the possibility to interpret these states in terms of fractionized charge, spin, and electron number. There are  $2 \times 2 = 4$  combinations of covering and factor spaces of  $CP_2$  and three of them can lead to the increase of Planck constant. Besides this one can consider two options for the formula of Planck constant so that which the very meager theoretical background one can make only guesses. In the following a model based on option II for which the number of states is conserved in the phase transition changing  $\hbar$ .

1. The easiest manner to understand the observed fractions is by assuming that both  $CD$  and  $CP_2$  correspond to covering spaces so that both spin and electric charge and fermion number are fractionized. This means that  $e$  in electronic charge density is replaced with fractional charge. Quantized magnetic flux is proportional to  $e$  and the question is whether also here fractional charge appears. Assume that this does not occur.
2. With this assumption the expression for the Planck constant becomes for Option II as  $r = \hbar/\hbar_0 = n_a/n_b$  and charge and spin units are equal to  $1/n_b$  and  $1/n_a$  respectively. This gives  $\nu = nn_a/n_b$ . The values  $m = 2, 3, 5, 7, \dots$  are observed. Planck constant can have arbitrarily large values. There are general arguments stating that also spin is fractionized in FQHE.
3. Both  $\nu = 1/2$  and  $\nu = 5/2$  state has been observed [106, 72]. The fractionized charge is  $e/4$  in the latter case [72, 76]. Since  $n_i > 3$  holds true if coverings and factor spaces are correlated for Jones inclusions, this requires  $n_a = 4$  and  $n_b = 8$  for  $\nu = 1/2$  and  $n_b = 4$  and  $n_a = 10$  for  $\nu = 5/2$ . Correct fractionization of charge is predicted. For  $n_b = 2$  also  $Z_2$  would appear as the fundamental group of the covering space. Filling fraction  $1/2$  corresponds in the composite fermion model and also experimentally to the limit of zero magnetic field [73].  $n_b = 2$  is inconsistent with the observed fractionization of electric charge for  $\nu = 5/2$  and with the vision inspired by Jones inclusions.
4. A possible problematic aspect of the TGD based model is the experimental absence of even values of  $n_b$  except  $n_b = 2$  (Laughlin's model predicts only odd values of  $n$ ). A possible explanation is that by some symmetry condition possibly related to fermionic statistics (as in Laughlin model)

$n_a/n_b$  must reduce to a rational with an odd denominator for  $n_b > 2$ . In other words, one has  $n_a \propto 2^r$ , where  $2^r$  the largest power of 2 divisor of  $n_b$ .

5. Large values of  $n_a$  emerge as  $B$  increases. This can be understood from flux quantization. One has  $e \int BdS = n\hbar(M^4) = nn_a\hbar_0$ . By using actual fractional charge  $e_F = e/n_b$  in the flux factor would give  $e_F \int BdS = n(n_a/n_b)\hbar_0 = n\hbar$ . The interpretation is that each of the  $n_a$  sheets contributes one unit to the flux for  $e$ . Note that the value of magnetic field in given sheet is not affected so that the build-up of multiple covering seems to keep magnetic field strength below critical value.
6. The understanding of the thermal stability is not trivial. The original FQHE was observed in 80 mK temperature corresponding roughly to a thermal energy of  $T \sim 10^{-5}$  eV. For graphene the effect is observed at room temperature. Cyclotron energy for electron is (from  $f_e = 6 \times 10^5$  Hz at  $B = .2$  Gauss) of order thermal energy at room temperature in a magnetic field varying in the range 1-10 Tesla. This raises the question why the original FQHE requires so low temperature. The magnetic energy of a flux tube of length  $L$  is by flux quantization roughly  $e^2 B^2 S \sim E_c(e)m_e L$  ( $\hbar_0 = c = 1$ ) and exceeds cyclotron roughly by a factor  $L/L_e$ ,  $L_e$  electron Compton length so that thermal stability of magnetic flux quanta is not the explanation. A possible explanation is that since FQHE involves several values of Planck constant, it is quantum critical phenomenon and is characterized by a critical temperature. The differences of the energies associated with the phase with ordinary Planck constant and phases with different Planck constant would characterize the transition temperature.

As already noticed, it is possible to imagine several other options and the assumption about charge fractionization -although consistent with fractionization for  $\nu = 5/2$ , is rather adhoc. Therefore the model can be taken as a warm-up exercise only. In [17], where the delicacies of Kähler structure of generalized imbedding space are discussed, also a more detailed of QHE is discussed.

### 12.6.2 Gravitational Bohr orbitology

The basic question concerns justification for gravitational Bohr orbitology [30]. The basic vision is that visible matter identified as matter with  $\hbar = \hbar_0$  ( $n_a = n_b = 1$ ) concentrates around dark matter at Bohr orbits for dark matter particles. The question is what these Bohr orbits really mean. Should one in improved approximation relate Bohr orbits to 3-D wave functions for dark matter as ordinary Bohr rules would suggest or do the Bohr orbits have some deeper meaning different from that in wave mechanics. Anyonic variants of partonic 2-surfaces with astrophysical size are a natural guess for the generalization of Bohr orbits.

#### Dark matter as large $\hbar$ phase

D. Da Rocha and Laurent Nottale have proposed that Schrödinger equation with Planck constant  $\hbar$  replaced with what might be called gravitational Planck constant  $\hbar_{gr} = \frac{GmM}{v_0}$  ( $\hbar = c = 1$ ).  $v_0$  is a velocity parameter having the value  $v_0 = 144.7 \pm .7$  km/s giving  $v_0/c = 4.6 \times 10^{-4}$ . This is rather near to the peak orbital velocity of stars in galactic halos. Also subharmonics and harmonics of  $v_0$  seem to appear. The support for the hypothesis coming from empirical data is impressive [30].

Nottale and Da Rocha believe that their Schrödinger equation results from a fractal hydrodynamics. Many-sheeted space-time however suggests astrophysical systems are not only quantum systems at larger space-time sheets but correspond to a gigantic value of gravitational Planck constant. The gravitational (ordinary) Schrödinger equation -or at least Bohr rules with appropriate interpretation - would provide a solution of the black hole collapse (IR catastrophe) problem encountered at the classical level. The resolution of the problem inspired by TGD inspired theory of living matter is that it is the dark matter at larger space-time sheets which is quantum coherent in the required time scale.

#### Prediction for the parameter $v_0$

One of the key questions relate to the value of the parameter  $v_0$ . Before the introduction of the hierarchy of Planck constants I proposed that the value of the parameter  $v_0$  assuming that cosmic strings and their decay remnants are responsible for the dark matter. The harmonics of  $v_0$  can be



understood as corresponding to perturbations replacing cosmic strings with their  $n$ -branched coverings so that tension becomes  $n$ -fold much like the replacement of a closed orbit with an orbit closing only after  $n$  turns.  $1/n$ -sub-harmonic would result when a magnetic flux tube split into  $n$  disjoint magnetic flux tubes. The planetary mass ratios can be produced with an accuracy better than 10 per cent assuming ruler and compass phases.

### Further predictions

The study of inclinations (tilt angles with respect to the Earth's orbital plane) leads to a concrete model for the quantum evolution of the planetary system. Only a stepwise breaking of the rotational symmetry and angular momentum Bohr rules plus Newton's equation (or geodesic equation) are needed, and gravitational Schrödinger equation holds true only inside flux quanta for the dark matter.

1. During pre-planetary period dark matter formed a quantum coherent state on the ( $Z^0$ ) magnetic flux quanta (spherical cells or flux tubes). This made the flux quantum effectively a single rigid body with rotational degrees of freedom corresponding to a sphere or circle (full  $SO(3)$  or  $SO(2)$  symmetry).
2. In the case of spherical shells associated with inner planets the  $SO(3) \rightarrow SO(2)$  symmetry breaking led to the generation of a flux tube with the inclination determined by  $m$  and  $j$  and a further symmetry breaking, kind of an astral traffic jam inside the flux tube, generated a planet moving inside flux tube. The semiclassical interpretation of the angular momentum algebra predicts the inclinations of the inner planets. The predicted (real) inclinations are 6 (7) resp. 2.6 (3.4) degrees for Mercury resp. Venus). The predicted (real) inclination of the Earth's spin axis is 24 (23.5) degrees.
3. The  $v_0 \rightarrow v_0/5$  transition allowing to understand the radii of the outer planets in the model of Da Rocha and Nottale can be understood as resulting from the splitting of ( $Z^0$ ) magnetic flux tube to five flux tubes representing Earth and outer planets except Pluto, whose orbital parameters indeed differ dramatically from those of other planets. The flux tube has a shape of a disk with a hole glued to the Earth's spherical flux shell.

It is important to notice that effectively a multiplication  $n \rightarrow 5n$  of the principal quantum number is in question. This allows to consider also alternative explanations. Perhaps external gravitational perturbations have kicked dark matter from the orbit or Earth to  $n = 5k$ ,  $k = 2, 3, \dots, 7$  orbits: the fact that the tilt angles for Earth and all outer planets except Pluto are nearly the same, supports this explanation. Or perhaps there exist at least small amounts of dark matter at all orbits but visible matter is concentrated only around orbits containing some critical amount of dark matter and these orbits satisfy  $n \bmod 5 = 0$  for some reason.

4. A remnant of the dark matter is still in a macroscopic quantum state at the flux quanta. It couples to photons as a quantum coherent state but the coupling is extremely small due to the gigantic value of  $\hbar_{gr}$  scaling alpha by  $\hbar/\hbar_{gr}$ : hence the darkness.

The rather amazing coincidences between basic bio-rhythms and the periods associated with the states of orbits in solar system suggest that the frequencies defined by the energy levels of the gravitational Schrödinger equation might entrain with various biological frequencies such as the cyclotron frequencies associated with the magnetic flux tubes. For instance, the period associated with  $n = 1$  orbit in the case of Sun is 24 hours within experimental accuracy for  $v_0$ .

### Comparison with Bohr quantization of planetary orbits

The predictions of the generalization of the p-adic length scale hypothesis are consistent with the TGD based model for the Bohr quantization of planetary orbits and some new non-trivial predictions follow.

1. The model can explain the enormous values of gravitational Planck constant  $\hbar_{gr}/\hbar_0 = \simeq GMm/v_0 = n_a/n_b$ . The favored values of this parameter should correspond to  $n_{F_a}/n_{F_b}$  so that the mass ratios  $m_1/m_2 = n_{F_{a,1}}n_{F_{b,2}}/n_{F_{b,1}}n_{F_{a,2}}$  for planetary masses should be preferred. The general prediction  $GMm/v_0 = n_a/n_b$  is of course not testable.

- Nottale [109] has suggested that also the harmonics and sub-harmonics of  $\hbar_{gr}$  are possible and in fact required by the model for planetary Bohr orbits (in TGD framework this is not absolutely necessary [30]). The prediction is that favored values of  $n$  should be of form  $n_F = 2^k \prod F_i$  such that  $F_i$  appears at most once. In Nottale's model for planetary orbits as Bohr orbits in solar system [30]  $n = 5$  harmonics appear and are consistent with either  $n_{F,a} \rightarrow F_1 n_{F_a}$  or with  $n_{F,b} \rightarrow n_{F_b}/F_1$  if possible.

The prediction for the ratios of planetary masses can be tested. In the table below are the experimental mass ratios  $r_{exp} = m(pl)/m(E)$ , the best choice of  $r_R = [n_{F,a}/n_{F,b}] * X$ ,  $X$  common factor for all planets, and the ratios  $r_{pred}/r_{exp} = n_{F,a}(planet)n_{F,b}(Earth)/n_{F,a}(Earth)n_{F,b}(planet)$ . The deviations are at most 2 per cent.

<i>planet</i>	<i>Me</i>	<i>V</i>	<i>E</i>	<i>M</i>	<i>J</i>
<i>y</i>	$\frac{2^{13} \times 5}{17}$	$2^{11} \times 17$	$2^9 \times 5 \times 17$	$2^8 \times 17$	$\frac{2^{23} \times 5}{7}$
<i>y/x</i>	1.01	.98	1.00	.98	1.01
<i>planet</i>	<i>S</i>	<i>U</i>	<i>N</i>	<i>P</i>	
<i>y</i>	$2^{14} \times 3 \times 5 \times 17$	$\frac{2^{21} \times 5}{17}$	$\frac{2^{17} \times 17}{3}$	$\frac{2^4 \times 17}{3}$	
<i>y/x</i>	1.01	.98	.99	.99	

Table 1. The table compares the ratios  $x = m(pl)/(m(E))$  of planetary mass to the mass of Earth to prediction for these ratios in terms of integers  $n_F$  associated with Fermat polygons.  $y$  gives the best fit for the allowed factors of the known part  $y$  of the rational  $n_{F,a}/n_{F,b} = yX$  characterizing planet, and the ratios  $y/x$ . Errors are at most 2 per cent.

A stronger prediction comes from the requirement that  $GMm/v_0$  equals to  $n = n_{F_a}/n_{F_b}$   $n_F = 2^k \prod_k F_{n_k}$ , where  $F_i = 2^{2^i} + 1$ ,  $i = 0, 1, 2, 3, 4$  is Fibonacci prime. The fit using solar mass and Earth mass gives  $n_F = 2^{254} \times 5 \times 17$  for  $1/v_0 = 2044$ , which within the experimental accuracy equals to the value  $2^{11} = 2048$  whose powers appear as scaling factors of Planck constant in the model for living matter [37]. For  $v_0 = 4.6 \times 10^{-4}$  reported by Nottale the prediction is by a factor 16/17.01 too small (6 per cent discrepancy).

A possible solution of the discrepancy is that the empirical estimate for the factor  $GMm/v_0$  is too large since  $m$  contains also the the visible mass not actually contributing to the gravitational force between dark matter objects whereas  $M$  is known correctly. The assumption that the dark mass is a fraction  $1/(1 + \epsilon)$  of the total mass for Earth gives

$$1 + \epsilon = \frac{17}{16} \tag{12.6.2}$$

in an excellent approximation. This gives for the fraction of the visible matter the estimate  $\epsilon = 1/16 \simeq 6$  per cent. The estimate for the fraction of visible matter in cosmos is about 4 per cent so that estimate is reasonable and would mean that most of planetary and solar mass would be also dark (as a matter dark energy would be in question).

That  $v_0(eff) = v_0/(1 - \epsilon) \simeq 4.6 \times 10^{-4}$  equals with  $v_0(eff) = 1/(2^7 \times F_2) = 4.5956 \times 10^{-4}$  within the experimental accuracy suggests a number theoretical explanation for the visible-to-dark fraction.

The original unconsciously performed identification of the gravitational and inertial Planck constants leads to some confusing conclusions but it seems that the new view about the quantization of Planck constants resolves these problems and allows to see  $\hbar_{gr}$  as a special case of  $\hbar_I$ .

- $\hbar_{gr}$  is proportional to the product of masses of interacting systems and not a universal constant like  $\hbar$ . One can however express the gravitational Bohr conditions as a quantization of circulation  $\oint v \cdot dl = n(GM/v_0)\hbar_0$  so that the dependence on the planet mass disappears as required by Equivalence Principle. This would suggest that gravitational Bohr rules relate to velocity rather than inertial momentum as is indeed natural. The quantization of circulation is consistent with the basic prediction that space-time surfaces are analogous to Bohr orbits.

2.  $\hbar_{gr}$  seems to characterize a relationship between planet and central mass and quite generally between two systems with the property that smaller system is topologically condensed at the space-time sheet of the larger system. Thus it would seem that  $\hbar_{gr}$  is not a universal constant and cannot correspond to a special value of ordinary Planck constant. Certainly this would be the case if  $\hbar_I$  is quantized as  $\lambda^k$ -multiplet of ordinary Planck constant with  $\lambda \simeq 2^{11}$ .

The recent view about the quantization of Planck constant in terms of coverings of  $CD$  seems to resolve these problems.

1. The integer quantization of Planck constants is consistent with the huge values of gravitational Planck constant within experimental resolution and the killer test for  $\hbar = \hbar_{gr}$  emerges if one takes seriously the stronger prediction  $\hbar_{gr} = n_{F,a}/n_{F,b}$ .
2. One can also regard  $\hbar_{gr}$  as ordinary Planck constant  $\hbar_{eff}$  associated with the space-time sheet along which the masses interact provided each pair  $(M, m_i)$  of masses is characterized by its own sheets. These sheets could correspond to flux tube like structures carrying the gravitational flux of dark matter. If these sheets corresponds to  $n_{F_a}$ -fold covering of  $CD$ , one can understand  $\hbar_{gr}$  as a particular instance of the  $\hbar_{eff}$ .

### Quantum Hall effect and dark anyonic systems in astrophysical scales

Bohr orbitology could be understood if dark matter concentrates on 2-dimensional partonic surfaces usually assigned with elementary particles and having size of order  $CP_2$  radius. The interpretation is in terms of wormhole throats assignable to topologically condensed  $CP_2$  type extremals (fermions) and pairs of them assignable to wormhole contacts (gauge bosons). Wormhole throat defines the light-like 3-surface at which the signature of metric of space-time surface changes from Minkowskian to Euclidian.

Large value of Planck constant would allow partons with astrophysical size. Since anyonic systems are 2-dimensional, the natural idea is that dark matter corresponds to systems carrying large fermion number residing at partonic 2-surfaces of astrophysical size and that visible matter condenses around these. Not only black holes but also ordinary stars, planetary systems, and planets could correspond at the level of dark matter to atom like structures consisting of anyonic 2-surfaces which can have complex topology (flux tubes associated with planetary orbits connected by radial flux tubes to the central spherical anyonic surface). Charge and spin fractionization are key features of anyonic systems and Jones inclusions inspiring the generalization of imbedding space indeed involve quantum groups central in the modeling of anyonic systems. Hence one has could hopes that a coherent theoretical picture could emerge along these lines.

This seems to be the case. Anyons and charge and spin fractionization are discussed in detail [17] and leads to a precise identification of the delicacies involved with the Kähler gauge potential of  $CP_2$  Kähler form in the sectors of the generalized imbedding space corresponding to various pages of boook like structures assignable to  $CD$  and  $CP_2$ . The basic outcome is that anyons correspond geometrically to partonic 2-surfaces at the light-like boundaries of  $CD$  containing the tip of  $CD$  inside them. This is what gives rise to charge fractionization and also to confinement like effects since elementary particles in anyonic states cannot as such leak to the other pages of the generalized imbedding space.  $G_a$  and  $G_b$  invariance of the states imply that fractionization occurs only at single particle level and total charge is integer valued.

This picture is much more flexible that that based on  $G_a$  symmetries of  $CD$  orbifold since partonic 2-surfaces do not possess any orbifold symmetries in  $CD$  sector anymore. In this framework various astrophysical structures such as spokes and circles would be parts of anyonic 2-surfaces with complex topology representing quantum geometrically quantum coherence in the scale of say solar system. Planets would have formed by the condensation of ordinary matter in the vicinity of the anyonic matter. This would predict stars, planetary system, and even planets to have onion-like structure consisting of shells at the level of dark matter. Similar conclusion is suggested also by purely classical model for the final state of star predicting that matter is strongly concentrated at the surface of the star [32].

### Anyonic view about blackholes

A new element to the model of black hole comes from the vision that black hole horizon as a light-like 3-surface corresponds to a light-like orbit of light-like partonic 2-surface. This allows two kinds of black holes. Fermion like black hole would correspond to a deformed  $CP_2$  type extremal which Euclidian signature of metric and topologically condensed at a space-time sheet with a Minkowskian signature. Boson like black hole would correspond to a wormhole contact connecting two space-time sheets with Minkowskian signature. Wormhole contact would be a piece deformed  $CP_2$  type extremal possessing two light-like throats defining two black hole horizons very near to each other. It does not seem absolutely necessary to assume that the interior metric of the black-hole is realized in another space-time sheet with Minkowskian signature.

Second new element relates to the value of Planck constant. For  $\hbar_{gr} = 4GM^2$  the Planck length  $L_P(\hbar) = \sqrt{\hbar G}$  equals to Schwarzschild radius and Planck mass equals to  $M_P(\hbar) = \sqrt{\hbar/G} = 2M$ . If the mass of the system is below the ordinary Planck mass:  $M \leq m_P(\hbar_0)/2 = \sqrt{\hbar_0/4G}$ , gravitational Planck constant is smaller than the ordinary Planck constant.

Black hole surface contains ultra dense matter so that perturbation theory is not expected to converge for the standard value of Planck constant but do so for gravitational Planck constant. If the phase transition increasing Planck constant is a friendly gesture of Nature making perturbation theory convergent, one expects that only the black holes for which Planck constant is such that  $GM^2/4\pi\hbar < 1$  holds true are formed. Black hole entropy -being proportional to  $1/\hbar$ - is of order unity so that TGD black holes are not very entropic.

If the partonic 2-surface surrounds the tip of causal diamond  $CD$ , the matter at its surface is in anyonic state with fractional charges. Anyonic black hole can be seen as single gigantic elementary particle stabilized by fractional quantum numbers of the constituents preventing them from escaping from the system and transforming to ordinary visible matter. A huge number of different black holes are possible for given value of  $\hbar$  since there is infinite variety of pairs  $(n_a, n_b)$  of integers giving rise to same value of  $\hbar$ .

One can imagine that the partonic surface is not exact sphere except for ideal black holes but contains large number of magnetic flux tubes giving rise to handles. Also a pair of spheres with different radii can be considered with surfaces of spheres connected by braided flux tubes. The braiding of these handles can represent information and one can even consider the possibility that black hole can act as a topological quantum computer. There would be no sharp difference between the dark parts of black holes and those of ordinary stars. Only the volume containing the complex flux tube structures associated with the orbits of planets and various objects around star would become very small for black hole so that the black hole might code for the topological information of the matter collapsed into it.

### 12.6.3 Accelerating periods of cosmic expansion as phase transitions increasing the value of Planck constant

There are several pieces of evidence for accelerated expansion, which need not mean cosmological constant, although this is the interpretation adopted in [92, 60]. Quantum cosmology predicts that astrophysical objects do not follow cosmic expansion except in jerk-wise quantum leaps increasing the value of the gravitational Planck constant. This assumption provides explanation for the apparent cosmological constant. Also planets are predicted to expand in this manner. This provides a new version of Expanding Earth theory originally postulated to explain the intriguing findings suggesting that continents have once formed a connected continent covering the entire surface of Earth but with radius which was one half of the recent one.

#### The four pieces of evidence for accelerated expansion

##### 1. Supernovas of type Ia

Supernovas of type Ia define standard candles since their luminosity varies in an oscillatory manner and the period is proportional to the luminosity. The period gives luminosity and from this the distance can be deduced by using Hubble's law:  $d = cz/H_0$ ,  $H_0$  Hubble's constant. The observation was that the farther the supernova was the more dimmer it was as it should have been. In other words, Hubble's

constant increased with distance and the cosmic expansion was accelerating rather than decelerating as predicted by the standard matter dominated and radiation dominated cosmologies.

### 2. *Mass density is critical and 3-space is flat*

It is known that the contribution of ordinary and dark matter explaining the constant velocity of distance stars rotating around galaxy is about 25 per cent from the critical density. Could it be that total mass density is critical?

From the anisotropy of cosmic microwave background one can deduce that this is the case. What criticality means geometrically is that 3-space defined as surface with constant value of cosmic time is flat. This reflects in the spectrum of microwave radiation. The spots representing small anisotropies in the microwave background temperature is 1 degree and this correspond to flat 3-space. If one had dark matter instead of dark energy the size of spot would be .5 degrees!

Thus in a cosmology based on general relativity cosmological constant remains the only viable option. The situation is different in TGD based quantum cosmology based on sub-manifold gravity and hierarchy of gravitational Planck constants.

### 3. *The energy density of vacuum is constant in the size scale of big voids*

It was observed that the density of dark energy would be constant in the scale of  $10^8$  light years. This length scale corresponds to the size of big voids containing galaxies at their boundaries.

### 4. *Integrated Sachs-Wolf effect*

Also so called integrated Sachs-Wolf effect supports accelerated expansion. Very slow variations of mass density are considered. These correspond to gravitational potentials. Cosmic expansion tends to flatten them but mass accretion to form structures compensates this effect so that gravitational potentials are unaffected and there is no effect of CMB. Situation changes if dark matter is replaced with dark energy the accelerated expansion flattening the gravitational potentials wins the tendency of mass accretion to make them deeper. Hence if photon passes by an over-dense region, it receives a little energy. Similarly, photon loses energy when passing by an under-dense region. This effect has been observed.

## **Accelerated expansion in classical TGD**

The minimum TGD based explanation for accelerated expansion involves only the fact that the imbeddings of critical cosmologies correspond to accelerated expansion. A more detailed model allows to understand why the critical cosmology appears during some periods.

The first observation is that critical cosmologies (flat 3-space) imbeddable to 8-D imbedding space  $H$  correspond to negative pressure cosmologies and thus to accelerating expansion. The negativity of the counterpart of pressure in Einstein tensor is due to the fact that space-time sheet is forced to be a 4-D surface in 8-D imbedding space. This condition is analogous to a force forcing a particle at the surface of 2-sphere and gives rise to what could be called constraint force. Gravitation in TGD is sub-manifold gravitation whereas in GRT it is manifold gravitation. This would be minimum interpretation involving no assumptions about what mechanism gives rise to the critical periods.

## **Accelerated expansion and hierarchy of Planck constants**

One can go one step further and introduce the hierarchy of Planck constants. The basic difference between TGD and GRT based cosmologies is that TGD cosmology is quantum cosmology. Smooth cosmic expansion is replaced by an expansion occurring in discrete jerks corresponding to the increase of gravitational Planck constant. At space-time level this means the replacement of 8-D imbedding space  $H$  with a book like structure containing almost-copies of  $H$  with various values of Planck constant as pages glued together along critical manifold through which space-time sheet can leak between sectors with different values of  $\hbar$ . This process is the geometric correlate for the the phase transition changing the value of Planck constant.

During these phase transition periods critical cosmology applies and predicts automatically accelerated expansion. Neither genuine negative pressure due to "quintessence" nor cosmological constant is needed. Note that quantum criticality replaces inflationary cosmology and predicts a unique cosmology apart from single parameter. Criticality also explains the fluctuations in microwave temperature

as long range fluctuations characterizing criticality.

### Accelerated expansion and flatness of 3-cosmology

Observations 1) and 2) about super-novae and critical cosmology (flat 3-space) are consistent with this cosmology. In TGD dark energy must be replaced with dark matter because the mass density is critical during the phase transition. This does not lead to wrong sized spots since it is the increase of Planck constant which induces the accelerated expansion understandable also as a constraint force due to imbedding to  $H$ .

### The size of large voids is the characteristic scale

The TGD based model in its simplest form model assigns the critical periods of expansion to large voids of size  $10^8$  ly. Also larger and smaller regions can express similar periods and dark space-time sheets are expected to obey same universal "cosmology" apart from a parameter characterizing the duration of the phase transition. Observation 3) that just this length scale defines the scale below which dark energy density is constant is consistent with TGD based model.

The basic prediction is jerkwise cosmic expansion with jerks analogous to quantum transitions between states of atom increasing the size of atom. The discovery of large voids with size of order  $10^8$  ly but age much longer than the age of galactic large voids conforms with this prediction. On the other hand, it is known that the size of galactic clusters has not remained constant in very long time scale so that jerkwise expansion indeed seems to occur.

### Do cosmic strings with negative gravitational mass cause the phase transition inducing accelerated expansion

Quantum classical correspondence is the basic principle of quantum TGD and suggest that the effective antigravity manifested by accelerated expansion might have some kind of concrete space-time correlate. A possible correlate is super heavy cosmic string like objects at the center of large voids which have negative gravitational mass under very general assumptions. The repulsive gravitational force created by these objects would drive galaxies to the boundaries of large voids. At some state the pressure of galaxies would become too strong and induce a quantum phase transition forcing the increase of gravitational Planck constant and expansion of the void taking place much faster than the outward drift of the galaxies. This process would repeat itself. In the average sense the cosmic expansion would not be accelerating.

## 12.6.4 Phase transition changing Planck constant and expanding Earth theory

TGD predicts that cosmic expansion at the level of individual astrophysical systems does not take place continuously as in classical gravitation but through discrete quantum phase transitions increasing gravitational Planck constant and thus various quantum length and time scales. The reason would be that stationary quantum states for dark matter in astrophysical length scales cannot expand. One would have the analog of atomic physics in cosmic scales. Increases of  $\hbar$  by a power of two are favored in these transitions but also other scalings are possible.

This has quite far reaching implications.

1. These periods have a highly unique description in terms of a critical cosmology for the expanding space-time sheet. The expansion is accelerating. The accelerating cosmic expansion can be assigned to this kind of phase transition in some length scale (TGD Universe is fractal). There is no need to introduce cosmological constant and dark energy would be actually dark matter.
2. The recently observed void which has same size of about  $10^8$  light years as large voids having galaxies near their boundaries but having an age which is much higher than that of the large voids, would represent one example of jerk-wise expansion.
3. This picture applies also to solar system and planets might be perhaps seen as having once been parts of a more or less connected system, the primordial Sun. The Bohr orbits for inner and outer planets correspond to gravitational Planck constant which is 5 times larger for outer

planets. This suggests that the space-time sheet of outer planets has suffered a phase transition increasing the size scale by a factor of 5. Earth can be regarded either as  $n=1$  orbit for Planck constant associated with outer planets or  $n=5$  orbit for inner planetary system. This might have something to do with the very special position of Earth in planetary system. One could even consider the possibility that both orbits are present as dark matter structures. The phase transition would also explain why  $n=1$  and  $n=2$  Bohr orbits are absent and one only  $n=3,4$ , and 5 are present.

4. Also planets should have experienced this kind of phase transitions increasing the radius: the increase by a factor two would be the simplest situation.

The obvious question - that I did not ask - is whether this kind of phase transition might have occurred for Earth and led from a completely granite covered Earth - Pangeia without seas - to the recent Earth. Neither it did not occur to me to check whether there is any support for a rapid expansion of Earth during some period of its history.

Situation changed when my son visited me last Saturday and told me about a Youtube video [99] by Neal Adams, an American comic book and commercial artist who has also produced animations for geologists. We looked the amazing video a couple of times and I looked it again yesterday. The video is very impressive artwork but in the lack of references skeptic probably cannot avoid the feeling that Neal Adams might use his highly developed animation skills to cheat you. I found also a polemic article [98] of Adams but again the references were lacking. Perhaps the reason of polemic tone was that the concrete animation models make the expanding Earth hypothesis very convincing but geologists refuse to consider seriously arguments by a layman without a formal academic background.

### The claims of Adams

The basic claims of Adams were following.

1. The radius of Earth has increased during last 185 million years (dinosaurs [109] appeared for about 230 million years ago) by about factor 2. If this is assumed all continents have formed at that time a single super-continent, Pangeia, filling the entire Earth surface rather than only 1/4 of it since the total area would have grown by a factor of 4. The basic argument was that it is very difficult to imagine Earth with 1/4 of surface containing granite and 3/4 covered by basalt. If the initial situation was covering by mere granite -as would look natural- it is very difficult for a believer in thermodynamics to imagine how the granite would have gathered to a single connected continent.
2. Adams claims that Earth has grown by keeping its density constant, rather than expanded, so that the mass of Earth has grown linearly with radius. Gravitational acceleration would have thus doubled and could provide a partial explanation for the disappearance of dinosaurs: it is difficult to cope in evolving environment when you get slower all the time.
3. Most of the sea floor is very young and the areas covered by the youngest basalt are the largest ones. This Adams interprets this by saying that the expansion of Earth is accelerating. The alternative interpretation is that the flow rate of the magma slows down as it recedes from the ridge where it erupts. The upper bound of 185 million years for the age of sea floor requires that the expansion period - if it is already over - lasted about 185 million years after which the flow increasing the area of the sea floor transformed to a convective flow with subduction so that the area is not increasing anymore.
4. The fact that the continents fit together - not only at the Atlantic side - but also at the Pacific side gives strong support for the idea that the entire planet was once covered by the super-continent. After the emergence of subduction theory this evidence as been dismissed.
5. I am not sure whether Adams mentions the following objections [103]. Subduction only occurs on the other side of the subduction zone so that the other side should show evidence of being much older in the case that oceanic subduction zones are in question. This is definitely not the case. This is explained in plate tectonics as a change of the subduction direction. My explanation would be that by the symmetry of the situation both oceanic plates bend down so that this would represent new type of boundary not assumed in the tectonic plate theory.

6. As a master visualizer Adams notices that Africa and South-America do not actually fit together in absence of expansion unless one assumes that these continents have suffered a deformation. Continents are not easily deformable stuff. The assumption of expansion implies a perfect fit of *all* continents without deformation.

Knowing that the devil is in the details, I must admit that these arguments look rather convincing to me and what I learned from Wikipedia articles supports this picture.

### The critic of Adams of the subduction mechanism

The prevailing tectonic plate theory [97] has been compared to the Copernican revolution in geology. The theory explains the young age of the seafloor in terms of the decomposition of the lithosphere to tectonic plates and the convective flow of magma to which oceanic tectonic plates participate. The magma emerges from the crests of the mid ocean ridges representing a boundary of two plates and leads to the expansion of sea floor. The variations of the polarity of Earth's magnetic field coded in sea floor provide a strong support for the hypothesis that magma emerges from the crests.

The flow back to would take place at so called oceanic trenches [102] near continents which represent the deepest parts of ocean. This process is known as subduction. In subduction oceanic tectonic plate bends and penetrates below the continental tectonic plate, the material in the oceanic plate gets denser and sinks into the magma. In this manner the oceanic tectonic plate suffers a metamorphosis returning back to the magma: everything which comes from Earth's interior returns back. Subduction mechanism explains elegantly formation of mountains [101] (orogeny), earth quake zones, and associated zones of volcanic activity [100].

Adams is very polemic about the notion of subduction, in particular about the assumption that it generates steady convective cycle. The basic objections of Adams against subduction are following.

1. There are not enough subduction zones to allow a steady situation. According to Adams, the situation resembles that for a flow in a tube which becomes narrower. In a steady situation the flow should accelerate as it approaches subduction zones rather than slow down. Subduction zones should be surrounded by large areas of sea floor with constant age. Just the opposite is suggested by the fact that the youngest portion of sea-floor near the ridges is largest. The presence of zones at which both ocean plates bend down could improve the situation. Also jamming of the flow could occur so that the thickness of oceanic plate increases with the distance from the eruption ridge. Jamming could increase also the density of the oceanic plate and thus the effectiveness of subduction.
2. There is no clear evidence that subduction has occurred at other planets. The usual defense is that the presence of sea is essential for the subduction mechanism.
3. One can also wonder what is the mechanism that led to the formation of single super continent Pangeia covering 1/4 of Earth's surface. How probable the gathering of all separate continents to form single cluster is? The later events would suggest that just the opposite should have occurred from the beginning.

### Expanding Earth theories are not new

After I had decided to check the claims of Adams, the first thing that I learned is that Expanding Earth theory [103], whose existence Adams actually mentions, is by no means new. There are actually many of them.

The general reason why these theories were rejected by the main stream community was the absence of a convincing physical mechanism of expansion or of growth in which the density of Earth remains constant.

1. 1888 Yarkovski postulated some sort of aether absorbed by Earth and transforming to chemical elements (TGD version of aether could be dark matter). 1909 Mantovani postulated thermal expansion but no growth of the Earth's mass.
2. Paul Dirac's idea about changing Planck constant led Pascual Jordan in 1964 to a modification of general relativity predicting slow expansion of planets. The recent measurement of the gravitational constant imply that the upper bound for the relative change of gravitational constant



is 10 times too small to produce large enough rate of expansion. Also many other theories have been proposed but they are in general conflict with modern physics.

3. The most modern version of Expanding Earth theory is by Australian geologist Samuel W. Carey. He calculated that in Cambrian period (about 500 million years ago) all continents were stuck together and covered the entire Earth. Deep seas began to evolve then.

### Summary of TGD based theory of Expanding Earth

TGD based model differs from the tectonic plate model but allows subduction which cannot imply considerable back-flow of magma. Let us sum up the basic assumptions and implications.

1. The expansion is or was due to a quantum phase transition increasing the value of gravitational Planck constant and forced by the cosmic expansion in the average sense.
2. Tectonic plates do not participate to the expansion and therefore new plate must be formed and the flow of magma from the crests of mid ocean ridges is needed. The decomposition of a single plate covering the entire planet to plates to create the mid ocean ridges is necessary for the generation of new tectonic plate. The decomposition into tectonic plates is thus prediction rather than assumption.
3. The expansion forced the decomposition of Pangeia super-continent covering entire Earth for about 530 million years ago to split into tectonic plates which began to recede as new non-expanding tectonic plate was generated at the ridges creating expanding sea floor. The initiation of the phase transition generated formation of deep seas.
4. The eruption of plasma from the crests of ocean ridges generated oceanic tectonic plates which did not participate to the expansion by density reduction but by growing in size. This led to a reduction of density in the interior of the Earth roughly by a factor  $1/8$ . From the upper bound for the age of the seafloor one can conclude that the period lasted for about 185 million years after which it transformed to convective flow in which the material returned back to the Earth interior. Subduction at continent-ocean floor boundaries and downwards double bending of tectonic plates at the boundaries between two ocean floors were the mechanisms. Thus tectonic plate theory would be more or less the correct description for the recent situation.
5. One can consider the possibility that the subducted tectonic plate does not transform to magma but is fused to the tectonic layer below continent so that it grows to an iceberg like structure. This need not lead to a loss of the successful predictions of plate tectonics explaining the generation of mountains, earthquake zones, zones of volcanic activity, etc...
6. From the video of Adams it becomes clear that the tectonic flow is East-West asymmetric in the sense that the western side is more irregular at large distances from the ocean ridge at the western side. If the magma rotates with slightly lower velocity than the surface of Earth (like liquid in a rotating vessel), the erupting magma would rotate slightly slower than the tectonic plate and asymmetry would be generated.
7. If the planet has not experienced a phase transition increasing the value of Planck constant, there is no need for the decomposition to tectonic plates and one can understand why there is no clear evidence for tectonic plates and subduction in other planets. The conductive flow of magma could occur below this plate and remain invisible.

The biological implications might provide a possibility to test the hypothesis.

1. Great steps of progress in biological evolution are associated with catastrophic geological events generating new evolutionary pressures forcing new solutions to cope in the new situation. Cambrian explosion indeed occurred about 530 years ago (the book "Wonderful Life" of Stephen Gould [106] explains this revolution in detail) and led to the emergence of multicellular creatures, and generated huge number of new life forms living in seas. Later most of them suffered extinction: large number of phylae and groups emerged which are not present nowadays.

Thus Cambrian explosion is completely exceptional as compared to all other dramatic events in the evolution in the sense that it created something totally new rather than only making more complex something which already existed. Gould also emphasizes the failure to identify any great change in the environment as a fundamental puzzle of Cambrian explosion. Cambrian explosion is also regarded in many quantum theories of consciousness (including TGD) as a revolution in the evolution of consciousness: for instance, micro-tubuli emerged at this time. The periods of expansion might be necessary for the emergence of multicellular life forms on planets and the fact that they unavoidably occur sooner or later suggests that also life develops unavoidably.

2. TGD predicts a decrease of the surface gravity by a factor  $1/4$  during this period. The reduction of the surface gravity would have naturally led to the emergence of dinosaurs 230 million years ago as a response coming 45 million years after the accelerated expansion ceased. Other reasons led then to the decline and eventual catastrophic disappearance of the dinosaurs. The reduction of gravity might have had some gradually increasing effects on the shape of organisms also at microscopic level and manifest itself in the evolution of genome during expansion period.
3. A possibly testable prediction following from angular momentum conservation ( $\omega R^2 = \text{constant}$ ) is that the duration of day has increased gradually and was four times shorter during the Cambrian era. For instance, genetically coded bio-clocks of simple organisms during the expansion period could have followed the increase of the length of day with certain lag or failed to follow it completely. The simplest known circadian clock is that of the prokaryotic cyanobacteria. Recent research has demonstrated that the circadian clock of *Synechococcus elongatus* can be reconstituted in vitro with just the three proteins of their central oscillator. This clock has been shown to sustain a 22 hour rhythm over several days upon the addition of ATP: the rhythm is indeed faster than the circadian rhythm. For humans the average innate circadian rhythm is however 24 hours 11 minutes and thus conforms with the fact that human genome has evolved much later than the expansion ceased.
4. Scientists have found a fossil of a sea scorpion with size of 2.5 meters [104], which has lived for about 10 million years for 400 million years ago in Germany. The gigantic size would conform nicely with the much smaller value of surface gravity at that time. The finding would conform nicely with the much smaller value of surface gravity at that time. Also the emergence of trees could be understood in terms of a gradual growth of the maximum plant size as the surface gravity was reduced. The fact that the oldest known tree fossil is 385 million years old [105] conforms with this picture.

### Did intra-terrestrial life burst to the surface of Earth during Cambrian expansion?

The possibility of intra-terrestrial life [43] is one of the craziest TGD inspired ideas about the evolution of life and it is quite possible that in its strongest form the hypothesis is unrealistic. One can however try to find what one obtains from the combination of the IT hypothesis with the idea of pre-Cambrian granite Earth. Could the harsh pre-Cambrian conditions have allowed only intra-terrestrial multicellular life? Could the Cambrian explosion correspond to the moment of birth for this life in the very concrete sense that the magma flow brought it into the day-light?

1. Gould emphasizes the mysterious fact that very many life forms of Cambrian explosion looked like final products of a long evolutionary process. Could the eruption of magma from the Earth interior have induced a burst of intra-terrestrial life forms to the Earth's surface? This might make sense: the life forms living at the bottom of sea do not need direct solar light so that they could have had intra-terrestrial origin. It is quite possible that Earth's mantle contained low temperature water pockets, where the complex life forms might have evolved in an environment shielded from meteoric bombardment and UV radiation.
2. Sea water is salty. It is often claimed that the average salt concentration inside cell is that of the primordial sea: I do not know whether this claim can be really justified. If the claim is true, the cellular salt concentration should reflect the salt concentration of the water inside the pockets. The water inside water pockets could have been salty due to the diffusion of the salt from ground but need not have been same as that for the ocean water (higher than for cell interior and for

obvious reasons). Indeed, the water in the underground reservoirs in arid regions such as Sahara is salty, which is the reason for why agriculture is absent in these regions. Note also that the cells of marine invertebrates are osmoconformers able to cope with the changing salinity of the environment so that the Cambrian revolutionaries could have survived the change in the salt concentration of environment.

3. What applies to Earth should apply also to other similar planets and Mars [90] is very similar to Earth. The radius is .533 times that for Earth so that after quantum leap doubling the radius and thus Schumann frequency scale (7.8 Hz would be the lowest Schumann frequency) would be essentially same as for Earth now. Mass is .131 times that for Earth so that surface gravity would be .532 of that for Earth now and would be reduced to .131 meaning quite big dinosaurs! have learned that Mars probably contains large water reservoirs in it's interior and that there is an un-identified source of methane gas usually assigned with the presence of life. Could it be that Mother Mars is pregnant and just waiting for the great quantum leap when it starts to expand and gives rise to a birth of multicellular life forms. Or expressing freely how Bible describes the moment of birth: in the beginning there was only darkness and water and then God said Let the light come!

To sum up, TGD would provide only the long sought mechanism of expansion and a possible connection with the biological evolution. It would be indeed fascinating if Planck constant changing quantum phase transitions in planetary scale would have profoundly affected the biosphere.

### 12.6.5 Allais effect as evidence for large values of gravitational Planck constant?

Allais effect [89, 94] is a fascinating gravitational anomaly associated with solar eclipses. It was discovered originally by M. Allais, a Nobelist in the field of economy, and has been reproduced in several experiments but not as a rule. The experimental arrangement uses so called paraconical pendulum, which differs from the Foucault pendulum in that the oscillation plane of the pendulum can rotate in certain limits so that the motion occurs effectively at the surface of sphere.

#### Experimental findings

Consider first a brief summary of the findings of Allais and others [94].

a) In the ideal situation (that is in the absence of any other forces than gravitation of Earth) paraconical pendulum should behave like a Foucault pendulum. The oscillation plane of the paraconical pendulum however begins to rotate.

b) Allais concludes from his experimental studies that the orbital plane approach always asymptotically to a limiting plane and the effect is only particularly spectacular during the eclipse. During solar eclipse the limiting plane contains the line connecting Earth, Moon, and Sun. Allais explains this in terms of what he calls the anisotropy of space.

c) Some experiments carried out during eclipse have reproduced the findings of Allais, some experiments not. In the experiment carried out by Jeverdan and collaborators in Romania it was found that the period of oscillation of the pendulum decreases by  $\Delta f/f \simeq 5 \times 10^{-4}$  [89, 93] which happens to correspond to the constant  $v_0 = 2^{-11}$  appearing in the formula of the gravitational Planck constant. It must be however emphasized that the overall magnitude of  $\Delta f/f$  varies by five orders of magnitude. Even the sign of  $\Delta f/f$  varies from experiment to experiment.

d) There is also quite recent finding by Popescu and Olenici, which they interpret as a quantization of the plane of oscillation of paraconical oscillator during solar eclipse [95].

#### TGD based models for Allais effect

I have already earlier proposed an explanation of the effect in terms of classical  $Z^0$  force [26]. If the  $Z^0$  charge to mass ratio of pendulum varies and if Earth and Moon are  $Z^0$  conductors, the resulting model is quite flexible and one might hope it could explain the high variation of the experimental results.

The rapid variation of the effect during the eclipse is however a problem for this approach and suggests that gravitational screening or some more general interference effect might be present. Gravitational screening alone cannot however explain Allais effect.

A model based on the idea that gravitational interaction is mediated by topological light rays (MEs) and that gravitons correspond to a gigantic value of the gravitational Planck constant however explains the Allais effect as an interference effect made possible by macroscopic quantum coherence in astrophysical length scales. Equivalence Principle fixes the model to a high degree and one ends up with an explicit formula for the anomalous gravitational acceleration and the general order of magnitude and the large variation of the frequency change as being due to the variation of the distance ratio  $r_{S,P}/r_{M,P}$  ( $S$ ,  $M$ , and  $P$  refer to Sun, Moon, and pendulum respectively). One can say that the pendulum acts as an interferometer.

### 12.6.6 Applications to elementary particle physics, nuclear physics, and condensed matter physics

The hierarchy of Planck constants could have profound implications for even elementary particle physics since the strong constraints on the existence of new light particles coming from the decay widths of intermediate gauge bosons can be circumvented because direct decays to dark matter are not possible. On the other hand, if light scaled versions of elementary particles exist they must be dark since otherwise their existence would be visible in these decay widths. The constraints on the existence of dark nuclei and dark condensed matter are much milder. Cold fusion and some other anomalies of nuclear and condensed matter physics - in particular the anomalies of water- might have elegant explanation in terms of dark nuclei.

#### Leptohadron hypothesis

TGD suggests strongly the existence of lepto-hadron physics [24]. Lepto-hadrons are bound states of color excited leptons and the anomalous production of  $e^+e^-$  pairs in heavy ion collisions finds a nice explanation as resulting from the decays of lepto-hadrons with basic condensate level  $k = 127$  and having typical mass scale of one  $MeV$ . The recent indications on the existence of a new fermion with quantum numbers of muon neutrino and the anomaly observed in the decay of ortho-positronium give further support for the lepto-hadron hypothesis. There is also evidence for anomalous production of low energy photons and  $e^+e^-$  pairs in hadronic collisions.

The identification of lepto-hadrons as a particular instance in the predicted hierarchy of dark matters interacting directly only via graviton exchange allows to circumvent the lethal counter arguments against the lepto-hadron hypothesis ( $Z^0$  decay width and production of colored lepton jets in  $e^+e^-$  annihilation) even without assumption about the loss of asymptotic freedom.

PCAC hypothesis and its sigma model realization lead to a model containing only the coupling of the lepto-pion to the axial vector current as a free parameter. The prediction for  $e^+e^-$  production cross section is of correct order of magnitude only provided one assumes that lepto-pions (or electro-pions) decay to lepto-nucleon pair  $e_{ex}^+e_{ex}^-$  first and that lepto-nucleons, having quantum numbers of electron and having mass only slightly larger than electron mass, decay to lepton and photon. The peculiar production characteristics are correctly predicted. There is some evidence that the resonances decay to a final state containing  $n > 2$  particle and the experimental demonstration that lepto-nucleon pairs are indeed in question, would be a breakthrough for TGD.

During 18 years after the first published version of the model also evidence for colored  $\mu$  has emerged [70]. Towards the end of 2008 CDF anomaly [69] gave a strong support for the colored excitation of  $\tau$ . The lifetime of the light long lived state identified as a charged  $\tau$ -pion comes out correctly and the identification of the reported 3 new particles as p-adically scaled up variants of neutral  $\tau$ -pion predicts their masses correctly. The observed muon jets can be understood in terms of the special reaction kinematics for the decays of neutral  $\tau$ -pion to 3  $\tau$ -pions with mass scale smaller by a factor 1/2 and therefore almost at rest. A spectrum of new particles is predicted. The discussion of CDF anomaly [24] led to a modification and generalization of the original model for lepto-pion production and the predicted production cross section is consistent with the experimental estimate.

### Cold fusion, plasma electrolysis, and burning salt water

The article of Kanarev and Mizuno [82] reports findings supporting the occurrence of cold fusion in NaOH and KOH hydrolysis. The situation is different from standard cold fusion where heavy water  $D_2O$  is used instead of  $H_2O$ .

In nuclear string model nucleon are connected by color bonds representing the color magnetic body of nucleus and having length considerably longer than nuclear size. One can consider also dark nuclei for which the scale of nucleus is of atomic size [25]. In this framework can understand the cold fusion reactions reported by Mizuno as nuclear reactions in which part of what I call dark proton string having negatively charged color bonds (essentially a zoomed up variant of ordinary nucleus with large Planck constant) suffers a phase transition to ordinary matter and experiences ordinary strong interactions with the nuclei at the cathode. In the simplest model the final state would contain only ordinary nuclear matter. The generation of plasma in plasma electrolysis can be seen as a process analogous to the positive feedback loop in ordinary nuclear reactions.

Rather encouragingly, the model allows to understand also deuterium cold fusion and leads to a solution of several other anomalies.

1. The so called lithium problem of cosmology (the observed abundance of lithium is by a factor 2.5 lower than predicted by standard cosmology [87]) can be resolved if lithium nuclei transform partially to dark lithium nuclei.
2. The so called  $H_{1.5}O$  anomaly of water [83, 85, 84, 86] can be understood if 1/4 of protons of water forms dark lithium nuclei or heavier dark nuclei formed as sequences of these just as ordinary nuclei are constructed as sequences of  ${}^4He$  and lighter nuclei in nuclear string model. The results force to consider the possibility that nuclear isotopes unstable as ordinary matter can be stable dark matter.
3. The mysterious behavior burning salt water [76] can be also understood in the same framework.
4. The model explains the nuclear transmutations observed in Kanarev's plasma electrolysis. This kind of transmutations have been reported also in living matter long time ago [67, 68]. Intriguingly, several biologically important ions belong to the reaction products in the case of NaOH electrolysis. This raises the question whether cold nuclear reactions occur in living matter and are responsible for generation of biologically most important ions.

### 12.6.7 Applications to biology and neuroscience

The notion of field or magnetic body regarded as carrier of dark matter with large Planck constant and quantum controller of ordinary matter is the basic idea in the TGD inspired model of living matter.

#### Do molecular symmetries in living matter relate to non-standard values of Planck constant?

Water is exceptional element and the possibility that  $G_a$  as symmetry of singular factor space of  $CD$  in water and living matter is intriguing.

1. There is evidence for an icosahedral clustering in water [72]. Synaptic contacts contain clathrin molecules which are truncated icosahedrons and form lattice structures and are speculated to be involved with quantum computation like activities possibly performed by microtubules. Many viruses have the shape of icosahedron. One can ask whether these structures could be formed around templates formed by dark matter corresponding to 120-fold covering of  $CP_2$  points by  $CD$  points and having  $\hbar(CP_2) = 5\hbar_0$  perhaps corresponding color confined light dark quarks. Of course, a similar covering of  $CD$  points by  $CP_2$  could be involved.
2. It should be noticed that single nucleotide in DNA double strands corresponds to a twist of  $2\pi/10$  per single DNA triplet so that 10 DNA strands corresponding to length  $L(151) = 10$  nm (cell membrane thickness) correspond to  $3 \times 2\pi$  twist. This could be perhaps interpreted as evidence for group  $C_{10}$  perhaps making possible quantum computation at the level of DNA.

3. What makes realization of  $G_a$  as a symmetry of singular factor space of  $CD$  is that the biomolecules most relevant for the functioning of brain (DNA nucleotides, aminoacids acting as neurotransmitters, molecules having hallucinogenic effects) contain aromatic 5- and 6-cycles.

These observations led to an identification of the formula for Planck constant (two alternatives were allowed by the condition that Planck constant is algebraic homomorphism) which was not consistent with the model for dark gravitons. If one accepts the proposed formula of Planck constant, the dark space-time sheets with large Planck constant correspond to factor spaces of both  $\hat{C}D \setminus M^2$  and of  $CP_2 \setminus S_I^2$ . This identification is of course possible and it remains to be seen whether it leads to any problems. For gravitational space-time sheets only coverings of both  $CD$  and  $CP_2$  make sense and the covering group  $G_a$  has very large order and does not correspond to geometric symmetries analogous to those of molecules.

### High $T_c$ super-conductivity in living matter

The model for high  $T_c$  super-conductivity realized as quantum critical phenomenon predicts the basic scales of cell membrane [37] from energy minimization and p-adic length scale hypothesis. This leads to the vision that cell membrane and possibly also its scaled up dark fractal variants define Josephson junctions generating Josephson radiation communicating information about the nearby environment to the magnetic body.

Any model of high  $T_c$  superconductivity should explain various strange features of high  $T_c$  superconductors. One should understand the high value of  $T_c$ , the ambivalent character of high  $T_c$  superconductors suggesting both BCS type Cooper pairs and exotic Cooper pairs with non-vanishing spin, the existence of pseudogap temperature  $T_{c1} > T_c$  and scaling law for resistance for  $T_c \leq T < T_{c1}$ , the role of fluctuating charged stripes which are anti-ferromagnetic defects of a Mott insulator, the existence of a critical doping, etc...[74, 73].

There are reasons to believe that high  $T_c$  super-conductors correspond to quantum criticality in which at least two (cusp catastrophe as in van der Waals model), or possibly three or even more phases, are competing. A possible analogy is provided by the triple critical point for water vapor, liquid phase and ice coexist. Instead of long range thermal fluctuations long range quantum fluctuations manifesting themselves as fluctuating stripes are present [74].

The TGD based model for high  $T_c$  super-conductivity [37] relies on the notions of quantum criticality, general ideas of catastrophe theory, dynamical Planck constant, and many-sheeted space-time. The 4-dimensional spin glass character of space-time dynamics deriving from the vacuum degeneracy of the Kähler action defining the basic variational principle would realize space-time correlates for quantum fluctuations.

1. Two kinds of super-conductivities and ordinary non-super-conducting phase would be competing at quantum criticality at  $T_c$  and above it only one super-conducting phase and ordinary conducting phase located at stripes representing ferromagnetic defects making possible formation of  $S = 1$  Cooper pairs.
2. The first super-conductivity would be based on exotic Cooper pairs of large  $\hbar$  dark electrons with  $\hbar = 2^{11}\hbar_0$  and able to have spin  $S = 1$ , angular momentum  $L = 2$ , and total angular momentum  $J = 2$ . Second type of super-conductivity would be based on BCS type Cooper pairs having vanishing spin and bound by phonon interaction. Also they have large  $\hbar$  so that gap energy and critical temperature are scaled up in the same proportion. The exotic Cooper pairs are possible below the pseudo gap temperature  $T_{c1} > T_c$  but are unstable against decay to BCS type Cooper pairs which above  $T_c$  are unstable against a further decay to conduction electrons flowing along stripes. This would reduce the exotic super-conductivity to finite conductivity obeying the observed scaling law for resistance.
3. The mere assumption that electrons of exotic Cooper pairs feed their electric flux to larger space-time sheet via *two* elementary particle sized wormhole contacts rather than only *one* wormhole contacts implies that the throats of wormhole contacts defining analogs of Higgs field must carry quantum numbers of quark and anti-quark. This inspires the idea that cylindrical space-time sheets, the radius of which turns out to be about about 5 nm, representing zoomed up dark electrons of Cooper pair with Planck constant  $\hbar = 2^{11}\hbar_0$  are colored and bound by a scaled

up variant of color force to form a color confined state. Formation of Cooper pairs would have nothing to do with direct interactions between electrons. Thus high  $T_c$  super-conductivity could be seen as a first indication for the presence of scaled up variant of QCD in mesoscopic length scales.

This picture leads to a concrete model for high  $T_c$  superconductors as quantum critical superconductors [37]. p-Adic length scale hypothesis stating that preferred p-adic primes  $p \simeq 2^k$ ,  $k$  integer, with primes (in particular Mersenne primes) preferred, makes the model quantitative.

1. An unexpected prediction is that coherence length  $\xi$  is actually  $\hbar_{eff}/\hbar_0 = 2^{11}$  times longer than the coherence length 5-10 Angstroms deduced theoretically from gap energy using conventional theory and varies in the range  $1 - 5 \mu\text{m}$ , the cell nucleus length scale. Hence type I super-conductor would be in question with stripes as defects of anti-ferromagnetic Mott insulator serving as duals for the magnetic defects of type I super-conductor in nearly critical magnetic field.
2. At quantitative level the model reproduces correctly the four poorly understood photon absorption lines and allows to understand the critical doping ratio from basic principles.
3. The current carrying structures have structure locally similar to that of axon including the double layered structure of cell membrane and also the size scales are predicted to be same. One of the characteristic absorption lines has energy of .05 eV which corresponds to the Josephson energy for neuronal membrane for activation potential  $V = 50$  mV. Hence the idea that axons are high  $T_c$  superconductors is highly suggestive. Dark matter hierarchy coming in powers  $\hbar/\hbar_0 = 2^{k11}$  suggests hierarchy of Josephson junctions needed in TGD based model of EEG [37].

### Magnetic body as a sensory perceiver and intentional agent

The hypothesis that dark magnetic body serves as an intentional agent using biological body as a motor instrument and sensory receptor is consistent with Libet's findings about strange time delays of consciousness. Magnetic body would carry cyclotron Bose-Einstein condensates of various ions. Magnetic body must be able to perform motor control and receive sensory input from biological body.

Cell membrane would be a natural sensor providing information about cell interior and exterior to the magnetic body and dark photons at appropriate frequency range would naturally communicate this information. The strange quantitative co-incidences with the physics of cell membrane and high  $T_c$  super-conductivity support the idea that Josephson radiation generated by Josephson currents of dark electrons through cell membrane is responsible for this communication [37].

Also fractally scaled up versions of cell membrane at higher levels of dark matter hierarchy (in particular those corresponding to powers  $n = 2^{k11}$ ) are possible and the model for EEG indeed relies on this hypothesis. The thickness for the fractal counterpart of cell membrane thickness would be  $2^{44}$  fold and of order of depth of ionosphere! Although this looks weird it is completely consistent with the notion of magnetic body as an intentional agent.

Motor control would be most naturally performed via genome: this is achieved if flux sheets traverse through DNA strands. Flux quantization for large values of Planck constant requires rather large widths for the flux sheets. If flux sheet contains sequences of genomes like the page of book contains lines of text, a coherent gene expression becomes possible at level of organs and even populations and one can speak about super- and hyper-genomes. Introns might relate to the collective gene expression possibly realized electromagnetically rather than only chemically [37, 45].

Dark cyclotron radiation with photon energy above thermal energy could be used for coordination purposes at least. The predicted hierarchy of copies of standard model physics leads to ask whether also dark copies of electro-weak gauge bosons and gluons could be important in living matter. As already mentioned, dark  $W$  bosons could make possible charge entanglement and non-local quantum bio-control by inducing voltage differences and thus ionic currents in living matter.

The identification of plasmoids as rotating magnetic flux structures carrying dark ions and electrons as primitive life forms is natural in this framework. There exists experimental support for this identification [108] but the main objection is the high temperature involved: this objection could be circumvented if large  $\hbar$  phase is involved. A model for the pre-biotic evolution relying also on this idea is discussed in [43].

At the level of biology there are now several concrete applications leading to a rich spectrum of predictions. Magnetic flux quanta would carry charged particles with large Planck constant.

1. The shortening of the flux tubes connecting biomolecules in a phase transition reducing Planck constant could be a basic mechanism of bio-catalysis and explain the mysterious ability of biomolecules to find each other. Similar process in time direction could explain basic aspects of symbolic memories as scaled down representations of actual events.
2. The strange behavior of cell membrane suggests that a dominating portion of important biological ions are actually dark ions at magnetic flux tubes so that ionic pumps and channels are needed only for visible ions. This leads to a model of nerve pulse explaining its unexpected thermodynamical properties with basic properties of Josephson currents making it unnecessary to use pumps to bring ions back after the pulse. The model predicts automatically EEG as Josephson radiation and explains the synchrony of both kHz radiation and of EEG.
3. The DC currents of Becker could be accompanied by Josephson currents running along flux tubes making possible dissipation free energy transfer and quantum control over long distances and meridians of chinese medicine could correspond to these flux tubes.
4. The model of DNA as topological quantum computer assumes that nucleotides and lipids are connected by ordinary or "wormhole" magnetic flux tubes acting as strands of braid and carrying dark matter with large Planck constant. The model leads to a new vision about TGD in which the assignment of nucleotides to quarks allows to understand basic regularities of DNA not understood from biochemistry.
5. Each physical system corresponds to an onionlike hierarchy of field bodies characterized by  $p$ -adic primes and value of Planck constant. The highest value of Planck constant in this hierarchy provides kind of intelligence quotient characterizing the evolutionary level of the system since the time scale of planned action and memory correspond to the temporal distance between tips of corresponding causal diamond ( $CD$ ). Also the spatial size of the system correlates with the Planck constant. This suggests that great evolutionary leaps correspond to the increase of Planck constant for the highest level of hierarchy of personal magnetic bodies. For instance, neurons would have much more evolved magnetic bodies than ordinary cells.
6. At the level of DNA this vision leads to an idea about hierarchy of genomes. Magnetic flux sheets traversing DNA strands provide a natural mechanism for magnetic body to control the behavior of biological body by controlling gene expression. The quantization of magnetic flux states that magnetic flux is proportional to  $\hbar$  and thus means that the larger the value of  $\hbar$  is the larger the width of the flux sheet is. For larger values of  $\hbar$  single genome is not enough to satisfy this condition. This leads to the idea that the genomes of organs, organism, and even population, can organize like lines of text at the magnetic flux sheets and form in this manner a hierarchy of genomes responsible for a coherent gene expression at level of cell, organ, organism and population and perhaps even entire biosphere. This would also provide a mechanism by which collective consciousness would use its biological body - biosphere.

### DNA as topological quantum computer

I ended up with the recent model of tqc in bottom-up manner and this representation is followed also in the text. The model which looks the most plausible one relies on two specific ideas.

1. Sharing of labor means conjugate DNA would do tqc and DNA would "print" the outcome of tqc in terms of mRNA yielding amino-acids in the case of exons. RNA could result also in the case of introns but not always. The experience about computers and the general vision provided by TGD suggests that introns could express the outcome of tqc also electromagnetically in terms of standardized field patterns as Gariaev's findings suggest [107]. Also speech would be a form of gene expression. The quantum states braid (in zero energy ontology) would entangle with characteristic gene expressions. This argument turned out to be based on a slightly wrong belief about DNA: later I learned that both strand and its conjugate are transcribed but in different directions. The symmetry breaking in the case of transcription is only local which is also visible



in DNA replication as symmetry breaking between leading and lagging strand. Thus the idea about *entire* leading strand devoted to printing and second strand to tqc must be weakened appropriately.

2. The manipulation of braid strands transversal to DNA must take place at 2-D surface. Here dancing metaphor for topological quantum computation [66] generalizes. The ends of the space-like braid are like dancers whose feet are connected by thin threads to a wall so that the dancing pattern entangles the threads. Dancing pattern defines both the time-like braid, the running of classical tqc program and its representation as a dynamical pattern. The space-like braid defined by the entangled threads represents memory storage so that tqc program is automatically written to memory as the braiding of the threads during the tqc. The inner membrane of the nuclear envelope and cell membrane with entire endoplasmic reticulum included are good candidates for dancing halls. The 2-surfaces containing the ends of the hydrophobic ends of lipids could be the parquets and lipids the dancers. This picture seems to make sense.

One ends up to the model also in top-down manner.

1. Darwinian selection for which standard theory of self-organization [64] provides a model, should apply also to tqc programs. Tqc programs should correspond to asymptotic self-organization patterns selected by dissipation in the presence of metabolic energy feed. The spatial and temporal pattern of the metabolic energy feed characterizes the tqc program - or equivalently - sub-program call.
2. Since braiding characterizes the tqc program, the self-organization pattern should correspond to a hydrodynamical flow or a pattern of magnetic field inducing the braiding. Braid strands must correspond to magnetic flux tubes of the magnetic body of DNA. If each nucleotide is transversal magnetic dipole it gives rise to transversal flux tubes, which can also connect to the genome of another cell.
3. The output of tqc sub-program is probability distribution for the outcomes of state function reduction so that the sub-program must be repeated very many times. It is represented as four-dimensional patterns for various rates (chemical rates, nerve pulse patterns, EEG power distributions,...) having also identification as temporal densities of zero energy states in various scales. By the fractality of TGD Universe there is a hierarchy of tqc's corresponding to p-adic and dark matter hierarchies. Programs (space-time sheets defining coherence regions) call programs in shorter scale. If the self-organizing system has a periodic behavior each tqc module defines a large number of almost copies of itself asymptotically. Generalized EEG could naturally define this periodic pattern and each period of EEG would correspond to an initiation and halting of tqc. This brings in mind the periodically occurring sol-gel phase transition inside cell near the cell membrane.
4. Fluid flow must induce the braiding which requires that the ends of braid strands must be anchored to the fluid flow. Recalling that lipid mono-layers of the cell membrane are liquid crystals and lipids of interior mono-layer have hydrophilic ends pointing towards cell interior, it is easy to guess that DNA nucleotides are connected to lipids by magnetic flux tubes and hydrophilic lipid ends are stuck to the flow.
5. The topology of the braid traversing cell membrane cannot be affected by the hydrodynamical flow. Hence braid strands must be split during tqc. This also induces the desired magnetic isolation from the environment. Halting of tqc reconnects them and make possible the communication of the outcome of tqc.
6. There are several problems related to the details of the realization. How nucleotides A,T,C,G are coded to strand color and what this color corresponds to? The prediction that wormhole contacts carrying quark and anti-quark at their ends appear in all length scales in TGD Universe resolves the problem. How to split the braid strands in a controlled manner? High  $T_c$  super conductivity provides a partial understanding of the situation: braid strand can be split only if the supra current flowing through it vanishes. From the proportionality of Josephson current to the quantity  $\sin(\int 2eV dt)$  it follows that a suitable voltage pulse  $V$  induces DC supra-current

and its negative cancels it. The conformation of the lipid controls whether it can follow the flow or not. How magnetic flux tubes can be cut without breaking the conservation of the magnetic flux? The notion of wormhole magnetic field saves the situation now: after the splitting the flux returns back along the second space-time sheet of wormhole magnetic field.

To sum up, it seems that essentially all new physics involved with TGD based view about quantum biology enter to the model in crucial manner.

### Quantum model of nerve pulse and EEG

In this article a unified model of nerve pulse and EEG is discussed.

1. In TGD Universe the function of EEG and its variants is to make possible communications from the cell membrane to the magnetic body and the control of the biological body by the magnetic body via magnetic flux sheets traversing DNA by inducing gene expression. This leads to the notions of super- and hyper-genome predicting coherent gene expression at level of organs and population.
2. The assignment the predicted ranged classical weak and color gauge fields to dark matter hierarchy was a crucial step in the evolution of the model, and led among other things to a model of high  $T_c$  superconductivity predicting the basic scales of cell, and also to a generalization of EXG to a hierarchy of ZXGs, WXGs, and GXGs corresponding to  $Z^0$ ,  $W$  bosons and gluons.
3. Dark matter hierarchy and the associated hierarchy of Planck constants plays a key role in the model. For instance, in the case of EEG Planck constant must be so large that the energies of dark EEG photons are above thermal energy at physiological temperatures. The assumption that a considerable fraction of the ionic currents through the cell membrane are dark currents flowing along the magnetic flux tubes explains the strange findings about ionic currents through cell membrane. Concerning the model of nerve pulse generation, the newest input comes from the model of DNA as a topological quantum computer and experimental findings challenging Hodgkin-Huxley model as even approximate description of the situation.
4. The identification of the cell interior as gel phase containing most of water as structured water around cytoskeleton - rather than water containing bio-molecules as solutes as assumed in Hodgkin-Huxley model - allows to understand many of the anomalous behaviors associated with the cell membrane and also the different densities of ions in the interior and exterior of cell at qualitative level. The proposal of Pollack that basic biological functions involve phase transitions of gel phase generalizes in TGD framework to a proposal that these phase transitions are induced by quantum phase transitions changing the value of Planck constant. In particular, gel-sol phase transition for the peripheral cytoskeleton induced by the primary wave would accompany nerve pulse propagation. This view about nerve pulse is not consistent with Hodgkin-Huxley model.

The model leads to the following picture about nerve pulse and EEG.

1. The system would consist of two superconductors- microtubule space-time sheet and the space-time sheet in cell exterior- connected by Josephson junctions represented by magnetic flux tubes defining also braiding in the model of tqc. The phase difference between two super-conductors would obey Sine-Gordon equation allowing both standing and propagating solitonic solutions. A sequence of rotating gravitational penduli coupled to each other would be the mechanical analog for the system. Soliton sequences having as a mechanical analog penduli rotating with constant velocity but with a constant phase difference between them would generate moving kHz synchronous oscillation. Periodic boundary conditions at the ends of the axon rather than chemistry determine the propagation velocities of kHz waves and kHz synchrony is an automatic consequence since the times taken by the pulses to travel along the axon are multiples of same time unit. Also moving oscillations in EEG range can be considered and would require larger value of Planck constant in accordance with vision about evolution as gradual increase of Planck constant.

2. During nerve pulse one pendulum would be kicked so that it would start to oscillate instead of rotating and this oscillation pattern would move with the velocity of kHz soliton sequence. The velocity of kHz wave and nerve pulse is fixed by periodic boundary conditions at the ends of the axon implying that the time spent by the nerve pulse in traveling along axon is always a multiple of the same unit: this implies kHz synchrony. The model predicts the value of Planck constant for the magnetic flux tubes associated with Josephson junctions and the predicted force caused by the ionic Josephson currents is of correct order of magnitude for reasonable values of the densities of ions. The model predicts kHz em radiation as Josephson radiation generated by moving soliton sequences. EEG would also correspond to Josephson radiation: it could be generated either by moving or standing soliton sequences (latter are naturally assignable to neuronal cell bodies for which  $\hbar$  should be correspondingly larger): synchrony is predicted also now.

## 12.7 Some mathematical speculations

### 12.7.1 The content of McKay correspondence in TGD framework

The possibility to assign Dynkin diagrams with the inclusions of  $II_1$  algebras is highly suggestive concerning possible physical interpretations. The basic findings are following.

1. For  $\beta = \mathcal{M} : \mathcal{N} < 4$  Dynkin diagrams code for the inclusions and correspond to simply laced Lie algebras.  $SU(2)$ ,  $D_{2n+1}$ , and  $E_7$  are excluded.
2. Extended ADE Dynkin diagrams coding for simply laced ADE Kac Moody algebras appear at  $\beta = 4$ . Also  $SU(2)$  Kac Moody algebra appears.

#### Does TGD give rise to ADE hierarchy of gauge theories

The first question is whether any finite subgroup  $G \subset SU(2)$  acting in  $CP_2$  degrees of freedom could somehow give rise to multiplets of the corresponding gauge group having interactions described by a gauge theory. Orbifold picture suggests that might be the case.

1. The "sheets" for the space-time sheet forming an  $N(G)$ -fold cover of  $CD$  are in one-one correspondence with group  $G$ . This degeneracy gives rise to additional states and these states correspond to the group algebra having basis given by group characters  $\chi(g)$ . One obtains irreducible representations of  $G$  with degeneracies given by their dimensions. Altogether one obtains  $N(G)$  states in this manner. In the case of  $A(n)$  the number of these states is  $n + 1$ , the number of the states of the fundamental representation of  $SU(n + 1)$ . In the same manner, for  $D_{2n}$  the number of these states equals to the number of states in the fundamental representation of  $D_{2n}$ . It seems that the rule is quite general. Thus these representations would in the case of fermions give the states of the fundamental representation of the corresponding gauge group.
2. From fermion and antifermion states one can construct in a similar manner pairs giving  $N(G)^2$  states defining in the case of  $A(n)$   $n^2 - 1$ -dimensional gauge boson multiplet plus singlet. Also other groups must give boson multiplet plus possible other multiplets. For instance, for  $D(4)$  the number of states is 64 and boson multiplet is 8-dimensional so that many other spin 1 states result.
3. These findings give hopes that the orbifold multiplets could be modelled by a gauge theory based on corresponding gauge group. What is nice that this huge hierarchy of gauge theories is associated with dark matter so that the predictivity and falsifiability are not lost unlike in M-theory.

#### Does one obtain also a hierarchy of conformal theories with ADE Kac Moody symmetry?

Consider next the question Kac Moody interactions correspond to extended ADE diagrams are possible.

1. In this case the notion of orbifold seems to break down since the symmetry related points form a continuum  $SU(2)$  and space-time surface would become 6-dimensional if the  $CD$  projection is 4-dimensional. If one takes space-time as something which emerges, one could take this possibility half seriously. A more natural natural possibility is that  $CD$  projection is 2-dimensional geodesic sphere in which case one would have string like objects so that conformal field theory with Kac-Moody algebra would emerge naturally.
2. The new degrees of freedom would define 2-dimensional continuum and it would not be completely surprising if conformal field theory based on ADE Kac Moody algebra could describe the situation. One possibility is that these continua for different inclusions correspond to  $SU(2)$  decompose to an  $N(G)$ -fold covers of  $S^2/G$  orbifold so that also now groups  $G$  would be involved with the Jones inclusions, which might provide a hint about how to construct them.  $S^2/G$  would play the role of stringy world sheet for the conformal field theory in question. This effective re-arrangement of the topology  $S^2$  might be due to the fact that conformal fields possess  $G$  symmetry which effectively groups points of  $S^2$  to  $n(G)$ -multiplets. The localized representations of the Lie group corresponding to  $G$  would correspond to the multiplets obtained from the representations of group algebra of  $G$  as in previous case.
3. The formula for the scaling factor of  $CD$  metric would give infinite scaling factor if one identifies the scaling factor as maximal order of cyclic subgroup of  $SU(2)$ . As a matter fact there is no finite cyclic subgroup of this kind. The solution to the problem would be identification of the scaling factor as the order of the maximal cyclic subgroup of  $G$  so that the scaling factors would be same for the two situations related by McKay correspondence.

### Generalization to $CD$ degrees of freedom

One can ask whether the proposed picture generalizes formally also the case of  $CD$ .

1. In this case quantum groups would correspond to discrete subgroups  $G \subset SL(2, C)$ . Kac Moody group would correspond to  $G$ -Kac Moody algebra made local with respect to  $SL(2, C)$  orbit in  $CD$  divided by  $G$ . These orbits are 3-dimensional hyperboloids  $H_a$  with a constant value of light cone proper time  $a$  so that the division by  $G$  gives fundamental domain  $H_a/G$  with a finite 3-volume.
2. The 4-dimensionality of space-time would require 1-dimensional  $CP_2$  projection. Vacuum extremals of Kähler action would be in question. Robertson-Walker metric have 1-dimensional  $CP_2$  projection and carry non-vanishing density of gravitational mass so that in this sense the theory would be non-trivial.  $G$  would label different lattice like cosmologies defined by tessellations with fundamental domain  $H_a/G$ .
3. The multiplets of  $G$  would correspond to collections of points, one from each cells of the lattice like structure. Macroscopic quantum coherence would be realized in cosmological scales. If one takes seriously the vision about the role of short distance p-adic physics as a generator of long range correlations of the real physics reflected as p-adic fractality, this idea does not look so weird anymore.

Complexified modular group  $SL(2, Z + iZ)$  and its subgroups are interesting as far as p-adicization is considered. The principal congruence subgroups  $\Gamma(N)$  of  $SL(2, Z + iZ)$  which are unit matrices modulo  $N$  define normal subgroups of the complex modular group and are especially interesting candidates for groups  $G \subset SL(2, C)$ . The group  $\Gamma(N = p^k)$  labeling fundamental domains of the tessellation  $H_a/\Gamma(N = p^k)$  defines a mathematically attractive candidate for a point set associated with the intersections of p-adic space-time sheets with real space-time sheets. Also analogous groups for algebraic extensions of  $Z$  are interesting.

The simplest discrete subgroup of  $SL(2, C)$  with infinite number of elements would corresponds to powers of boost to single direction and correspond at the non-relativistic limit to multiples of basic velocity. This could also give rise to quantization of cosmic recession velocities. There is evidence for the quantization of cosmic recession velocities (for a model in which single object produces quantized redshifts see [33]) and it is interesting to see whether they could be interpreted in terms of the lattice like periodicity in cosmological length scales implied by the

effective reduction of physics to  $M_+^4/G_n$ . In [96] the values  $z = 2.63, 3.45, 4.47$  of cosmic red shift are listed. These correspond to recession velocities  $v = (z^2 - 1)/(z^2 + 1)$  are (0.75, 0.85, 0.90). The corresponding hyperbolic angles are given by  $\eta = \text{acosh}(1/(1 - v^2))$  and the values of  $\eta$  are (1.46, 1.92, 2.39). The differences  $\eta(2) - \eta(1) = .466$  and  $\eta(3) - \eta(2) = .467$  are same within experimental uncertainties. One has however  $\eta(n)/(\eta(2) - \eta(1)) = (3.13, 4.13, 5.13)$  instead of (3, 4, 5). A possible interpretation is in terms of the velocity of the observer with respect to the frame in which quantization of  $\eta$  happens.

### Quantitative support for the interpretation

A more detailed analysis of the situation gives support for the proposed vision.

1. A given value of quantum group deformation parameter  $q = \exp(i\pi/n)$  makes sense for any Lie algebra but now a preferred Lie-algebra is assigned to a given value of quantum deformation parameter. At the limit  $\beta = 4$  when quantum deformation parameter becomes trivial, the gauge symmetry is replaced by Kac Moody symmetry.
2. The prediction is that Kac-Moody central extension parameter should vanish for  $\beta < 4$ . There is an intriguing relationship to formula for the quantum phase  $q_{KM}$  associated with (possibly trivial) Kac-Moody central extension and the phase defined by ADE diagram

$$\begin{aligned} q_{KM} &= \exp(i\phi) \ , \quad \phi_1 = \frac{\pi}{k+h^v} \ , \\ q_{Jones} &= \exp(i\phi) \ , \quad \phi = \frac{\pi}{h} \end{aligned}$$

In the first formula sum of Kac-Moody central extension parameter  $k$  and dual Coxeter number  $h^v$  appears whereas Coxeter number  $h$  appears in the second formula. Internal consistency requires

$$k + h^v = h \ . \tag{12.7.1}$$

It is easy see that the dual Coxeter number  $h^v$  and Coxeter number  $h$  given by  $h = (\dim(g) - r)/r$ , where  $r$  is the dimension of Cartan algebra of  $g$ , are identical for ADE algebras so that the Kac-Moody central extension parameter  $k$  must indeed vanish. For  $SO(2n + 1)$ ,  $Sp(n)$ ,  $G_2$ , and  $F_4$  the condition  $h = h^v$  does not hold true but one has  $h(n) = 2n = h^v + 1$  for  $SO(2n + 1)$ ,  $h(n) = 2n = 2(h^v - 1)$  for  $Sp(n)$ ,  $h = 6 = h^v + 2$  for  $G_2$ , and  $h = 12 = h^v + 3$  for  $F_4$ .

What is intriguing that  $G_2$ , which seems to play a fundamental role in the dual formulation of quantum TGD based on the identification of space-times as surfaces in hyper-octonionic space  $M^8$  [19] is not allowed. As a matter fact,  $G_2 \rightarrow SU(3)$  reduction occurs also in the dual formulation based on  $G_2/SU(3)$  coset model and is required by the separate conservation of quark and lepton numbers predicted by TGD. ADE groups would be associated with the interaction between space-time sheets rather than entire dynamics and need not have anything to do with the Kac-Moody algebra associated with color and electro-weak interactions appearing in the construction of physical states [20].

3. There seems to be a concrete connection with conformal field theories. This connection would allow to understand the emergence of quantum groups appearing naturally in these theories. Quite generally, the conformal central extension parameter for unitary Virasoro representations resulting by Sugawara construction from Kac Moody representations satisfies either of the conditions

$$\begin{aligned} c &\geq \frac{k \dim(g)}{k + h^v} + 1 \ , \\ c &= \frac{k \dim(g)}{k + h^v} + 1 - \frac{6}{(h - 1)h} \ . \end{aligned} \tag{12.7.2}$$

For  $k = 0$ , which should be interesting for  $\beta < 4$ , the second formula reduces to

$$c = 1 - \frac{6}{(h-1)h} . \quad (12.7.3)$$

The formula gives the values of  $c$  for minimal conformal field theories with finite number of conformal fields and real conformal weights. Indeed,  $h$  in this formula seems to correspond to the same  $h$  as appearing in the expression  $\beta \equiv \mathcal{M} : \mathcal{N} = 4\cos^2(\pi/h)$  .

$\beta = 3, h = 6$  corresponds to three-state Potts model with  $c = 4/5$  which should thus have a gauge group for which Coxeter number is 6: the group should be either  $SU(6)$  or  $SO(8)$ . Two-state Potts model, that is Ising model with  $\beta = 2, h = 4$  would correspond to  $c = 1/2$  and to a gauge group  $SU(4)$  or  $SO(4)$ . For  $h = 3$  ("one-state Potts model") with group  $SU(3)$  one would have  $c = 0$  and vanishing conformal anomaly so that conformal degrees of freedom would become pure gauge degrees of freedom.

These observations give support for the following picture.

1. Quite generally, the number of states of the generalized  $\beta$ -state Potts model has an interpretation as the dimension  $\beta = \mathcal{M} : \mathcal{N}$  of  $\mathcal{M}$  as  $\mathcal{N}$ -module. Besides the models with integer number of states there is an infinite number of models for which the number of states is not an integer. The conditions  $c \leq 1$  guaranteeing real conformal weights and  $\beta \leq 4$  correspond to each other for these models.
2.  $\beta > 4$  Potts models would be formally obtained by allowing  $h$  to be imaginary in the defining formula for  $\mathcal{M} : \mathcal{N}$ . In this case  $c$  would be however complex so that the theory would not be unitary.
3. For minimal models with ( $\beta < 4, c < 1$ ) Kac-Moody central extension parameter is vanishing so that Kac Moody algebra indeed acts like gauge symmetries and gauge symmetries would be in question. ( $\beta = 4, c = 1$ ) would define a "four-state Potts model" with infinite-dimensional unitary group acting as a gauge group. On the other hand, the appearance of extended ADE Dynkin diagrams suggests strongly that this limit is not realized but that  $\beta = \mathcal{M} : \mathcal{N} = 4$  corresponds to  $k = 1$  conformal field theory allowing Kac Moody symmetries for any ADE group, which as simply-laced groups allows vertex operator construction. The appearance of  $k\dim(g)/(k+g)$  in the more general formula would thus code the Kac Moody group whereas for  $\beta < 4$  ADE diagram codes for the preferred gauge group characterizing the minimal CFT.
4. The possibility that any ADE gauge group or Kac-Moody group can characterize the interaction between space-time sheets conforms with the idea about Universe as a Topological Quantum Computer able to simulate any conceivable quantum dynamics. Of course, one cannot exclude the possibility that only electro-weak and color symmetries are realized in this manner.

### $G_a$ as a symmetry group of magnetic body and McKay correspondence

The group  $G_a \subset SU(2) \subset SL(2, C)$  means exact rotational symmetry realized in terms of  $CD$  coverings of  $CP_2$ . The 5 and 6-cycles in biochemistry (sugars, DNA,...) are excellent candidates for these symmetries. For very large values of Planck constant, say for the values  $\hbar(CD)/\hbar(CP_2) = GMm/v_0 = (n_a/n_b)\hbar_0$ ,  $v_0 = 2^{-11}$ , required by the model for planetary orbits as Bohr orbits [30],  $G_a$  is huge and corresponds to either  $Z_{n_a}$  or in the case of even value of  $n_a$  to the group generated by  $Z_n$  and reflection acting on plane and containing  $2n_a$  elements.

The notion of magnetic body seems to provide the only conceivable candidate for a geometric object possessing  $G_a$  as symmetries. In the first approximation the magnetic field associated with a dark matter system is expected to be modellable as a dipole field having rotational symmetry around the dipole axis. Topological quantization means that this field decomposes into flux tube like structures related by the rotations of  $Z_n$  or  $D_{2n}$ . Dark particles would have wave functions delocalized to this set of these flux quanta and span group algebra of  $G_a$ . Magnetic flux quanta are indeed assumed to

mediate gravitational interactions in the TGD based model for the quantization of radii of planetary orbits and this explains the dependence of  $\hbar_{gr}$  on the masses of planet and central object [30].

For the model of dark matter hierarchy appearing in the model of living matter one has  $n_a = 2^{11k}$ ,  $k = 1, 2, 3, \dots, 7$  for cyclotron time scales below life cycle for a magnetic field  $B_d = .2$  Gauss at  $k = 4$  level of hierarchy (the field strength is fixed by the model for the effects of ELF em fields on vertebrate brain at harmonics of cyclotron frequencies of biologically important ions [37]). Note that  $B_d$  scales as  $2^{-11k}$  from the requirement that cyclotron energy is constant.

ADE correspondence between subgroups of  $SU(2)$  and Lie groups in ADE hierarchy encourages to consider the possibility that TGD could mimic ADE hierarchy of gauge theories. In the case of  $G_a$  this would mean that many fermion states constructed from single fermion states, which are in one-one correspondence with the elements of  $G_a$  group algebra, would define multiplets of the gauge group corresponding to the Dynkin diagram characterizing  $G_a$ : for instance,  $SU(n_a)$  in the case of  $Z_{n_a}$ . Fermion multiplet would contain  $n_a$  states and gauge boson multiplet  $n_a^2 - 1$  states. This would provide enormous information processing capacity since for  $n_a = 2^{11k}$  fermion multiplet would code exactly  $11k$  bits of information. Magnetic body could represent binary information using the many-particle states belonging to the representations of say  $SU(n_a)$  at its flux tubes.

### 12.7.2 Jones inclusions, the large $N$ limit of $SU(N)$ gauge theories and AdS/CFT correspondence

The framework based on Jones inclusions has an obvious resemblance with larger  $N$  limit of  $SU(N)$  gauge theories and also with the celebrated AdS/CFT correspondence [63] so that a more detailed comparison is in order.

#### Large $N$ limit of gauge theories and series of Jones inclusions

The large  $N$  limit of  $SU(N)$  gauge field theories has as definite resemblance with the series of Jones inclusions with the integer  $n \geq 3$  characterizing the quantum phase  $q = \exp(i\pi/n)$  and the order of the maximal cyclic subgroup of the subgroup of  $SU(2)$  defining the inclusion. Recall that all ADE groups except  $D_{2n+1}$  and  $E_7$  are allowed ( $SU(2)$  is excluded since it would correspond to  $n = 2$ ).

The limiting procedure keeps the value of  $g^2 N$  fixed. Rather remarkably, this is equivalent with keeping  $\alpha N$  constant but assuming  $\hbar$  to scale as  $n = N$ . Thus the quantization of Planck constants would provide a physical laboratory for the testing of large  $N$  limit.

The observation suggesting a description of YM theories in terms of closed strings is that Feynman diagrams can be interpreted as being imbedded at closed 2-surfaces of minimal genus guaranteeing that the internal lines meet except in vertices. The contribution of genus  $g$  diagrams is proportional to  $N^{g-1}$  at the large  $N$  limit. The interpretation in terms of closed partonic 2-surfaces is highly suggestive and the  $N^{g-1}$  should come from the multiple covering property of  $CP_2$  by  $N$   $CD$ -points (or vice versa) with the finite subgroup of  $G \subset SU(2)$  defining the Jones inclusion and acting as symmetries of the surface.

#### Analogy between stacks of branes and multiple coverings of $CD$ and $CP_2$

An important aspect of AdS/CFT dualities is a prediction of an infinite hierarchy of gauge groups, which as such is as interesting as the claimed dualities. The prediction relies on the notion  $D_p$ -branes.  $D_p$ -branes are  $p + 1$ -dimensional surfaces of the target space at which the ends of open strings can end. In the simplest situation one considers  $N$  parallel  $p$ -branes at the limit when the distances between branes characterized by an expectation value of Higgs fields approach zero to obtain what is called  $N$ -stack of branes. There are  $N^2$  different strings connecting the branes and the heuristic idea is that they correspond to gauge bosons of  $U(N)$  gauge theory. Note that the requirement that AdS/CFT dualities exist forces the introduction of branes and the optimistic interpretation is that a non-perturbative effect of still unknown M-theory is in question. In the limit of an ideal stack one assumes that  $U(N)$  gauge theory at the brane representing the stack is obtained. The branes must also carry a  $p$ -form defining gauge potential for a closed  $p + 1$ -form. This Ramond charge is quantized and its value equals to  $N$ .

Consider now the group  $G_a \times G_b \subset SL(2, C) \times SU(2) \subset SU(3)$  defining double Jones inclusion and implying the scalings  $\hbar(M^4) \rightarrow n(G_b)\hbar(M^4)$  and  $\hbar(CP_2) \rightarrow n(G_a)\hbar(CP_2)$ . These space-time surfaces

define  $n(G_a)$ -fold multiple coverings of  $CP_2$  and  $n(G_b)$ -fold multiple coverings of  $CD$ . In  $CP_2$  degrees of freedom the collection of  $G_b$ -related partonic 2-surfaces (/3-surfaces/4-surfaces) is highly analogous to the stack of branes. In  $CD$  degrees of freedom the stack of copies of surface typically correspond to along a circle ( $A_n, D_{2n}$  or at vertices of tetrahedron or isosahedron).

In TGD framework the interpretation strings are not needed to define gauge fields. The group algebra of  $G$  realized as discrete plane waves at  $G$ -orbit gives rise to representations of  $G$ . The hypothesis supported by few examples is that these additional degrees of freedom allow to construct multiplets of the gauge group assignable to the ADE diagram characterizing the inclusion.

### AdS/CFT duality

AdS/CFT duality is a further aspect of the brane construction. The dual description of the situation is in terms of a string theory in a background in which  $N$ -brane acts as a macroscopic object giving rise to a black-hole like object in (say) 10-dimensional target space. This background has the form  $AdS_5 \times X_5$ , where  $AdS_5$  is 5-dimensional hyperboloid of  $M^6$  and thus allows  $SO(4, 2)$  as isometries.  $X_5$  is compact constant curvature space.  $S^5$  gives rise to  $N = 4$  SUSY in  $M^4$  with  $M^4$  interpreted as a brane. The first support for the dualities comes from the symmetries: for instance, the  $N = 4$  super-symmetrized isometries of  $AdS_5 \times S^5$  are same as the symmetries of 4-dimensional  $N = 4$  SUSY for  $p = 3$  branes.  $N$ -branes can be used as models for black holes in target space and black-hole entropy can be calculated using either target space picture or conformal field theory at brane and the results turn out to be the same.

Does the TGD equivalent of this duality exist in some sense?

1. As far as partonic 2-surfaces identified as 1-branes are considered, conformal field theory description is trivially true. In TGD framework the analog of Ramond charges are the integers  $n_a$  and  $n_b$  characterizing the multiplicities of the maximal Abelian subgroups having clear topological meaning. This conforms with the observation that large  $N$  limit of the gauge field theories can be formulated in terms of closed surfaces at which the Feynman diagrams are imbedded without self crossings. It seems that the integers  $n_a$  and  $n_b$  characterizing the Jones inclusion naturally take the role of Ramond charge: this does not of course exclude the possibility they can be expressed as fluxes at space-time level as will be indeed found.
2. Conformal field theory description can be generalized in the sense that one replaces the  $n(G_a) \times n(G_b)$  partonic surfaces with single one and describes the new states as primary fields arranged into representations of the ADE group in question. This would mean that the standard model gauge group extends by additional factor which is however non-trivially related to it.
3. If one can accept the idea that the conformal field theory description for partons gives rise to  $M^4$  gauge theory as an approximate description, it is not too difficult to imagine that also ADE hierarchy of gauge theories results as a description of the exotic states. One can say that CFT in  $p$ -brane is replaced now with CFT on partonic 2-surface (1-brane) analogous to a closed string.
4. In the minimal interpretation there is no need to add strings connecting the branches of the double covering of the partonic 2-surface whose function is essentially that of making possible gauge bosons as fermion anti-fermion pairs. One could of course imagine gauge fluxes as counterparts of strings but just the fact that  $G$ -invariance dictates the configurations completely forces to question this kind of dynamics.
5. There is no reason to expect the emergence of  $N = 4$  super-symmetric field theory in  $M^4$  as in the case of super-string models. The reasons should be already obvious: super-conformal generators  $G$  anticommute to  $L_0$  proportional to mass squared rather than four-momentum and the spectrum extended by  $G_a \times G_b$  degeneracy contains more states.

One can of course ask whether higher values of  $p$  could make sense in TGD framework.

1. It seems that the light-like orbits of the partonic 2-surfaces defining 2-branes do not bring in anything new since the generalized conformal invariance makes it possible the restriction to a 2-dimensional cross section of the light like causal determinant.



2. The idea of regarding space-time surface  $X^4$  as a 3-brane in  $H$  in which some kind of conformal field theory is defined is in conflict with the basis ideas of TGD. The role of  $X^4$  interior is to provide classical correlates for quantum dynamics to make possible quantum measurement theory and also introduce correlations between partonic 2-surfaces even in the case that partonic conformal dynamics reduces to a topological string theory. It is quantum classical correspondence which corresponds to this duality.

### What is the counterpart of the Ramond charge in TGD?

The condition that there exist a  $p$ -form defining  $p + 1$ -gauge field with  $p$ -charge equal to  $n_a$  or  $n_b$  is a rather stringent additional condition also in TGD framework. For  $n < \infty$  this kind of charge is defined by Jones inclusion and represented topologically so that Ramond charge is not needed in  $n < \infty$  case. By the earlier arguments one must however be able to assign integers  $n_a$  and  $n_b$  also to  $G = SU(2)$  inclusions with Kac-Moody algebra characterized by an extended ADE diagram with the phases  $q_i = \exp(i\pi/n_i)$  relating to the monodromy of the theory. Since Jones inclusion does not define in this case the value of  $n < \infty$  in any obvious manner, the counterpart of the Ramond charge is needed.

1. For partonic 2-surfaces ordinary gauge potential would define this form and the condition would state that magnetic flux equals to  $n$  so that the anyonic partonic two-surfaces would be homologically non-trivial in  $CP_2$  degrees of freedom. String ends would define basic example of this situation. This would be the case also in  $M^4_+$  degrees of freedom: the partonic 2-surface would essentially wind  $n_a$  times around the tip of  $\delta CD$  and the gauge field in question would be monopole magnetic field in  $\delta CD$ . This kind of situation need not correspond to anything cosmological since future and past light-cones appear in the basic definition of the scattering amplitudes.
2. For  $p = 3$  Chern-Simons action for the induced  $CP_2$  Kähler form associated with the partonic 2-surface indeed defines this kind of charge. Ramond charge should be simply  $N$ .  $CP_2$  type extremals or their small deformations satisfy this constraint and are indeed very natural in elementary particle physics context but too restrictive in a more general context.

Note that the light-like orbits of non-deformed  $CP_2$  extremals have light-like random curve as an  $M^4$  projection and the conformal symmetries of  $M^4$  obviously respect light-likeness property. Hence  $SO(4, 2)$  symmetry characterizing  $AdS_5/CFT$  is not excluded but would be broken by  $p$ -adic thermodynamics and by TGD based Higgs mechanism involving the identification of inertial momentum as average value of non-conserved gravitational momentum parallel to the light-like zitterbewegung orbit.

### Can one speak about black hole like structures in TGD framework?

For  $AdS/CFT$  correspondence there is also a dynamical coupling to the target space metric. The coupling to H-metric is present also now since the overall scalings of the  $CD$  resp.  $CP_2$  metrics by  $n_b$  resp. by  $n_a$  are involved. This applies to when multiple covering is used explicitly. In the description in which one replaces the multiple covering by ordinary  $M^4 \times CP_2$ , the metric suffers a genuine change and something analogous to the black-hole type metrics encountered in  $AdS/CFT$  correspondence might be encountered.

Consider as an example an  $n_a$ -fold covering of  $CP_2$  points by  $M^4$  points (ADE diagram  $A_{n_a-1}$ ). The  $n$ -fold covering means only  $n2\pi$  rotation for the phase angle  $\psi$  of  $CP_2$  complex coordinate leads to the original point. The replacement  $\psi \rightarrow \psi/n_a$  gives rise to what would look like ordinary  $M^4 \times CP_2$  but with a modified  $CP_2$  metric. The metric components containing  $\psi$  as index are scaled down by  $1/n_a$  or  $1/n_a^2$ . Notice that  $\Psi$  effectively disappears from the dynamics at the large  $n_a$  limit.

If one uses an effective description in which covering is eliminated the metric is indeed affected at the level of imbedding space black hole like structures at the level of dynamic space might make emerge also in TGD framework at large  $N$  limit since the masses of the objects in question become large and  $CP_2$  metric is scaled by  $N$  so that  $CP_2$  has very large size at this limit. This need not lead to any inconsistencies if these phases are interpreted as dark matter. At the elementary particle level  $p$ -adic thermodynamics predicts that  $p$ -adic entropy is proportional to thermal mass squared which implies elementary particle black-hole analogy.

### Other dualities

Also quantum classical correspondence defines in a loose sense a duality justifying the basic assumptions of quantum measurement theory. The light-like orbits of 2-D partons are characterized by a generalization of ordinary 2-D conformal invariance so that CFT part of the duality would be very natural. The dynamical target space would be replaced with the space-time surface  $X^4$  with a dynamical metric providing classical correlates for the quantum dynamics at partonic 2-surfaces. The duality in this sense cannot be however exact since classical dynamics cannot fully represent quantum dynamics.

Classical description is not expected to be unique. The basic condition on space-time surfaces assignable to a given configuration of partonic 2-surfaces associated with the surface  $X^3_V$  defining S-matrix element are posed by quantum classical correspondence. Both hyper-quaternionic and co-hyper-quaternionic space-time surfaces are acceptable and this would define a fundamental duality.

A concrete example about this HQ-coHQ duality would be the equivalence of space-time descriptions using 4-D  $CP_2$  type extremals and 4-D string like objects connecting them. If one restricts to  $CP_2$  type extremals and string like objects of from  $X^2 \times Y^2$ , the target space reduces effectively to  $M^4$  and the dynamical degrees of freedom correspond in both cases to transversal  $M^4$  degrees of freedom. Note that for  $CP_2$  type extremals the conditions stating that random light-likeness of the  $M^4$  projection of the  $CP_2$  type extremal are equivalent to Virasoro conditions.  $CP_2$  type extremals could be identified as co-HQ surfaces whereas stringlike objects would correspond to HQ aspect of the duality.

HQ-coHQ provides dual classical descriptions of same phenomena. Particle massivation would be a basic example. Higgs mechanism in a gauge theory description based on  $CP_2$  type extremals would rely on zitterbewegung implying that the average value of gravitational mass identified as inertial mass is non-vanishing and is discussed already. Higgs field would be assigned to the wormhole contacts. The dual description for the massivation would be in terms of string tension and mass squared would be proportional to the distance between  $G$ -related points of  $CP_2$ .

These observations would suggest that also a super-conformal algebra containing  $SL(2, R) \times SU(2)_L \times U(1)$  or its compact version exists and corresponds to a trivial inclusion. This is indeed the case [60]. The so called large  $N = 4$  super-conformal algebra contains energy momentum current, 2+2 super generators  $G$ ,  $SU(2) \times SU(2) \times U(1)$  Kac-Moody algebra (both  $SU(2)$  and  $SL(2, R)$ ) could be interpreted as acting on  $M^4$  spin degrees of freedom, and 2 spin 1/2 fermionic currents having interpretation in terms of right handed neutrinos corresponding to two H-chiralities. Interestingly, the scalar generator is now missing.

### 12.7.3 Could McKay correspondence and Jones inclusions relate to each other?

The understanding of Langlands correspondence for general reductive Lie groups in TGD framework seems to require some physical mechanism allowing the emergence of these groups in TGD based physics. The physical idea would be that quantum dynamics of TGD is able to emulate the dynamics of any gauge theory or even stringy dynamics of conformal field theory having Kac-Moody type symmetry and that this emulation relies on quantum deformations induced by finite measurement resolution described in terms of Jones inclusions of sub-factors characterized by group  $G$  leaving elements of sub-factor invariant. Finite measurement resolution would result simply from the fact that only quantum numbers defined by the Cartan algebra of  $G$  are measured.

There are good reasons to expect that infinite Clifford algebra has the capacity needed to realize representations of an arbitrary Lie group. It is indeed known that that any quantum group characterized by quantum parameter which is root of unity or positive real number can be assigned to Jones inclusion [61]. For  $q = 1$  this would give ordinary Lie groups. In fact, all amenable groups define unique sub-factor and compact Lie groups are amenable ones.

It was so called McKay correspondence [54] which originally stimulated the idea about TGD as an analog of Universal Turing machine able to mimic both ADE type gauge theories and theories with ADE type Kac-Moody symmetry algebra. This correspondence and its generalization might also provide understanding about how general reductive groups emerge. In the following I try to cheat the reader to believe that the tensor product of representations of  $SU(2)$  Lie algebras for Connes tensor powers of  $\mathcal{M}$  could induce ADE type Lie algebras as quantum deformations for the direct sum of  $n$

copies of  $SU(2)$  algebras This argument generalizes also to the case of other compact Lie groups.

### About McKay correspondence

McKay correspondence [54] relates discrete finite subgroups of  $SU(2)$  ADE groups. A simple description of the correspondences is as follows [54].

1. Consider the irreps of a discrete subgroup  $G \subset SU(2)$  which correspond to irreps of  $G$  and can be obtained by restricting irreducible representations of  $SU(2)$  to those of  $G$ . The irreducible representations of  $SU(2)$  define the nodes of the graph.
2. Define the lines of graph by forming a tensor product of any of the representations appearing in the diagram with a doublet representation which is always present unless the subgroup is 2-element group. The tensor product regarded as that for  $SU(2)$  representations gives representations  $j - 1/2$ , and  $j + 1/2$  which one can decompose to irreducibles of  $G$  so that a branching of the graph can occur. Only branching to two branches occurs for subgroups yielding extended ADE diagrams. For the linear portions of the diagram the spins of corresponding  $SU(2)$  representations increase linearly as  $\dots, j, j + 1/2, j + 1, \dots$

One obtains extended Dynkin diagrams of ADE series representing also Kac-Moody algebras giving  $A_n, D_n, E_6, E_7, E_8$ . Also  $A_\infty$  and  $A_{-\infty, \infty}$  are obtained in case that subgroups are infinite. The Dynkin diagrams of non-simply laced groups  $B_n$  ( $SO(2n+1)$ ),  $C_n$  (symplectic group  $Sp(2n)$  and quaternionic group  $Sp(n)$ ), and exceptional groups  $G_2$  and  $F_4$  are not obtained.

ADE Dynkin diagrams labeling Lie groups instead of Kac-Moody algebras and having one node less, do not appear in this context but appear in the classification of Jones inclusions for  $\mathcal{M} : \mathcal{N} < 4$ . As a matter fact, ADE type Dynkin diagrams appear in very many contexts as one can learn from John Baez's This Week's Finds [59].

1. The classification of integral lattices in  $\mathbb{R}^n$  having a basis of vectors whose length squared equals 2
2. The classification of simply laced semisimple Lie groups.
3. The classification of finite sub-groups of the 3-dimensional rotation group.
4. The classification of simple singularities . In TGD framework these singularities could be assigned to origin for orbifold  $CP_2/G$ ,  $G \subset SU(2)$ .
5. The classification of tame quivers.

### Principal graphs for Connes tensor powers $\mathcal{M}$

The thought provoking findings are following.

1. The so called principal graphs characterizing  $\mathcal{M} : \mathcal{N} = 4$  Jones inclusions for  $G = SU(2)$  are extended Dynkin diagrams characterizing ADE type affine (Kac-Moody) algebras.  $D_n$  is possible only for  $n \geq 4$ .
2.  $\mathcal{M} : \mathcal{N} < 4$  Jones inclusions correspond to ordinary ADE type diagrams for a subset of simply laced Lie groups (all roots have same length)  $A_n$  ( $SU(n)$ ),  $D_{2n}$  ( $SO(2n)$ ), and  $E_6$  and  $E_8$ . Thus  $D_{2n+1}$  ( $SO(2n+2)$ ) and  $E_7$  are not allowed. For instance, for  $G = S_3$  the principal graph is not  $D_3$  Dynkin diagram.

The conceptual background behind principal diagram is necessary if one wants to understand the relationship with McKay correspondence.

1. The hierarchy of higher commutations defines an invariant of Jones inclusion  $\mathcal{N} \subset \mathcal{M}$ . Denoting by  $\mathcal{N}'$  the commutant of  $\mathcal{N}$  one has sequences of horizontal inclusions defined as  $C = \mathcal{N}' \cap \mathcal{N} \subset \mathcal{N}' \cap \mathcal{M} \subset \mathcal{N}' \cap \mathcal{M}^1 \subset \dots$  and  $C = \mathcal{M}' \cap \mathcal{M} \subset \mathcal{M}' \cap \mathcal{M}^1 \subset \dots$ . There is also a sequence of vertical inclusions  $\mathcal{M}' \cap \mathcal{M}^k \subset \mathcal{N}' \cap \mathcal{M}^k$ . This hierarchy defines a hierarchy of Temperley-Lieb algebras [53] assignable to a finite hierarchy of braids. The commutants in the hierarchy are

direct sums of finite-dimensional matrix algebras (irreducible representations) and the inclusion hierarchy can be described in terms of decomposition of irreps of  $k^{\text{th}}$  level to irreps of  $(k-1)^{\text{th}}$  level irreps. These decomposition can be described in terms of Bratteli diagrams [54, 57].

2. The information provided by infinite Bratteli diagram can be coded by a much simpler bi-partite diagram having a preferred vertex. For instance, the number of  $2k$ -loops starting from it tells the dimension of  $k^{\text{th}}$  level algebra. This diagram is known as principal graph.

Principal graph emerges also as a concise description of the fusion rules for Connes tensor powers of  $\mathcal{M}$ .

1. It is natural to decompose the Connes tensor powers [54]  $\mathcal{M}_k = \mathcal{M} \otimes_{\mathcal{N}} \dots \otimes_{\mathcal{N}} \mathcal{M}$  to irreducible  $\mathcal{M} - \mathcal{M}$ ,  $\mathcal{N} - \mathcal{M}$ ,  $\mathcal{M} - \mathcal{N}$ , or  $\mathcal{N} - \mathcal{N}$  bi-modules. If  $\mathcal{M} : \mathcal{N}$  is finite this decomposition involves only finite number of terms. The graphical representation of these decompositions gives rise to Bratteli diagram.
2. If  $\mathcal{N}$  has finite depth the information provided by Bratteli diagram can be represented in nutshell using principal graph. The edges of this bipartite graph connect  $\mathcal{M} - \mathcal{N}$  vertices to vertices describing irreducible  $\mathcal{N} - \mathcal{N}$  representations resulting in the decomposition of  $\mathcal{M} - \mathcal{N}$  irreducibles. If this graph is finite,  $\mathcal{N}$  is said to have finite depth.

### A mechanism assigning to tensor powers Jones inclusions ADE type gauge groups and Kac-Moody algebras

The earliest proposals inspired by the hierarchy of Jones inclusions is that in  $\mathcal{M} : \mathcal{N} < 4$  case it might be possible to construct ADE representations of gauge groups or quantum groups and in  $\mathcal{M} : \mathcal{N} = 4$  using the additional degeneracy of states implied by the multiple-sheeted cover  $H \rightarrow H/G_a \times G_b$  associated with space-time correlates of Jones inclusions. Either  $G_a$  or  $G_b$  would correspond to  $G$ . In the following this mechanism is articulated in a more refined manner by utilizing the general properties of generators of Lie-algebras understood now as a minimal set of elements of algebra from which the entire algebra can be obtained by repeated commutation operator (I have often used "Lie algebra generator" as an synonym for "Lie algebra element"). This set is finite also for Kac-Moody algebras.

#### 1. Two observations

The explanation to be discussed relies on two observations.

1. McKay correspondence for subgroups of  $G$  ( $\mathcal{M} : \mathcal{N} = 4$ ) *resp.* its variants ( $\mathcal{M} : \mathcal{N} < 4$ ) and its counterpart for Jones inclusions means that finite-dimensional irreducible representations of allowed  $G \subset SU(2)$  label both the Cartan algebra generators and the Lie (Kac-Moody) algebra generators of  $t_+$  and  $t_-$  in the decomposition  $g = h \oplus t_+ \oplus t_-$ , where  $h$  is the Lie algebra of maximal compact subgroup.
2. Second observation is related to the generators of Lie-algebras and their quantum counterparts (see Appendix for the explicit formulas for the generators of various algebras considered). The observation is that each Cartan algebra generator of Lie- and quantum group algebras, corresponds to a triplet of generators defining an  $SU(2)$  sub-algebra. The Cartan algebra of affine algebra contains besides Lie group Cartan algebra also a derivation  $d$  identifiable as an infinitesimal scaling operator  $L_0$  measuring the conformal weight of the Kac-Moody generators.  $d$  is exceptional in that it does not give rise to a triplet. It corresponds to the preferred node added to the Dynkin diagram to get the extended Dynkin diagram.

#### 2. Is ADE algebra generated as a quantum deformation of tensor powers of $SU(2)$ Lie algebras representations?

The ADE type symmetry groups could result as an effect of finite quantum resolution described by inclusions of HFFs in TGD inspired quantum measurement theory.

1. The description of finite resolution typically leads to quantization since complex rays of state space are replaced as  $\mathcal{N}$  rays. Hence operators, which would commute for an ideal resolution

cease to do so. Therefore the algebra  $SU(2) \otimes \dots \otimes SU(2)$  characterized by  $n$  mutually commuting triplets, where  $n$  is the number of copies of  $SU(2)$  algebra in the original situation and identifiable as quantum algebra appearing in  $\mathcal{M}$  tensor powers with  $\mathcal{M}$  interpreted as  $\mathcal{N}$  module, could suffer quantum deformation to a simple Lie algebra with  $3n$  Cartan algebra generators. Also a deformation to a quantum group could occur as a consequence.

2. This argument makes sense also for discrete groups  $G \subset SU(2)$  since the representations of  $G$  realized in terms of configuration space spinors extend to the representations of  $SU(2)$  naturally.
3. Arbitrarily high tensor powers of  $\mathcal{M}$  are possible and one can wonder why only finite-dimensional Lie algebra results. The fact that  $\mathcal{N}$  has finite depth as a sub-factor means that the tensor products in tensor powers of  $\mathcal{N}$  are representable by a finite Dynkin diagram. Finite depth could thus mean that there is a periodicity involved the  $kn$  tensor powers decomposes to representations of a Lie algebra with  $3n$  Cartan algebra generators. Thus the additional requirement would be that the number of tensor powers of  $\mathcal{M}$  is multiple of  $n$ .

### 3. Space-time correlate for the tensor powers $\mathcal{M} \otimes_{\mathcal{N}} \dots \otimes_{\mathcal{N}} \mathcal{M}$

By quantum classical correspondence there should exist space-time correlate for the formation of tensor powers of  $\mathcal{M}$  regarded as  $\mathcal{N}$  module. A concrete space-time realization for this kind of situation in TGD would be based on  $n$ -fold cyclic covering of  $H$  implied by the  $H \rightarrow H/G_a \times G_b$  bundle structure in the case of say  $G_b$ . The sheets of the cyclic covering would correspond to various factors in the  $n$ -fold tensor power of  $SU(2)$  and one would obtain a Lie algebra, affine algebra or its quantum counterpart with  $n$  Cartan algebra generators in the process naturally. The number  $n$  for space-time sheets would be also a space-time correlate for the finite depth of  $\mathcal{N}$  as a factor.

Configuration space spinors could provide fermionic representations of  $G \subset SU(2)$ . The Dynkin diagram characterizing tensor products of representations of  $G \subset SU(2)$  with doublet representation suggests that tensor products of doublet representations associated with  $n$  sheets of the covering could realize the Dynkin diagram.

Singlet representation in the Dynkin diagram associated with irreps of  $G$  would not give rise to an  $SU(2)$  sub-algebra in ADE Lie algebra and would correspond to the scaling generator. For ordinary Dynkin diagram representing gauge group algebra scaling operator would be absent and therefore also the exceptional node. Thus the difference between  $(\mathcal{M} : \mathcal{N} = 4)$  and  $(\mathcal{M} : \mathcal{N} < 4)$  cases would be that in the Kac-Moody group would reduce to gauge group  $\mathcal{M} : \mathcal{N} < 4$  because Kac-Moody central charge  $k$  and therefore also Virasoro central charge resulting in Sugawara construction would vanish.

### 4. Do finite subgroups of $SU(2)$ play some role also in $\mathcal{M} : \mathcal{N} = 4$ case?

One can ask wonder the possible interpretation for the appearance of extended Dynkin diagrams in  $(\mathcal{M} : \mathcal{N} = 4)$  case. Do finite subgroups  $G \subset SU(2)$  associated with extended Dynkin diagrams appear also in this case. The formal analog for  $H \rightarrow G_a \times G_b$  bundle structure would be  $H \rightarrow H/G_a \times SU(2)$ . This would mean that the geodesic sphere of  $CP_2$  would define the fiber. The notion of number theoretic braid meaning a selection of a discrete subset of algebraic points of the geodesic sphere of  $CP_2$  suggests that  $SU(2)$  actually reduces to its subgroup  $G$  also in this case.

### 5. Why Kac-Moody central charge can be non-vanishing only for $\mathcal{M} : \mathcal{N} = 4$ ?

From the physical point of view the vanishing of Kac-Moody central charge for  $\mathcal{M} : \mathcal{N} < 4$  is easy to understand. If parton corresponds to a homologically non-trivial geodesic sphere, space-time surface typically represents a string like object so that the generation of Kac-Moody central extension would relate directly to the homological non-triviality of partons. For instance, cosmic strings are string like objects of form  $X^2 \times Y^2$ , where  $X^2$  is minimal surface of  $M^2$  and  $Y^2$  is a holomorphic sub-manifold of  $CP_2$  reducing to a homologically non-trivial geodesic sphere in the simplest situation. A conjecture that deserves to be shown wrong is that central charge  $k$  is proportional/equal to the absolute value of the homology (Kähler magnetic) charge  $h$ .

### 6. More general situation

McKay correspondence generalizes also to the case of subgroups of higher-dimensional Lie groups [54]. The argument above makes sense also for discrete subgroups of more general compact Lie groups  $H$  since also they define unique sub-factors. In this case, algebras having Cartan algebra with  $nk$

generators, where  $n$  is the dimension of Cartan algebra of  $H$ , would emerge in the process. Thus there are reasons to believe that TGD could emulate practically any dynamics having gauge group or Kac-Moody type symmetry. An interesting question concerns the interpretation of non-ADE type principal graphs associated with subgroups of  $SU(2)$ .

#### 7. Flavor groups of hadron physics as a support for HFF?

The deformation assigning to an  $n$ -fold tensor power of representations of Lie group  $G$  with  $k$ -dimensional Cartan algebra a representation of a Lie group with  $nk$ -dimensional Cartan algebra could be also seen as a dynamically generated symmetry. If quantum measurement is characterized by the choice of Lie group  $G$  defining measured quantum numbers and defining Jones inclusion characterizing the measurement resolution, the measurement process itself would generate these dynamical symmetries. Interestingly, the flavor symmetry groups of hadron physics cannot be justified from the structure of the standard model having only electro-weak and color group as fundamental symmetries. In TGD framework flavor group  $SU(n)$  could emerge naturally as a fusion of  $n$  quark doublets to form a representation of  $SU(n)$ .

### 12.7.4 Farey sequences, Riemann hypothesis, tangles, and TGD

Farey sequences allow an alternative formulation of Riemann Hypothesis and subsequent pairs in Farey sequence characterize so called rational 2-tangles. In TGD framework Farey sequences relate very closely to dark matter hierarchy, which inspires "*Platonism as the best possible world in the sense that cognitive representations are optimal*" as the basic variational principle of mathematics. This variational principle supports RH.

Possible TGD realizations of tangles, which are considerably more general objects than braids, are considered. One can assign to a given rational tangle a rational number  $a/b$  and the tangles labeled by  $a/b$  and  $c/d$  are equivalent if  $ad - bc = \pm 1$  holds true. This means that the rationals in question are neighboring members of Farey sequence. Very light-hearted guesses about possible generalization of these invariants to the case of general  $N$ -tangles are made.

#### Farey sequences

Some basic facts about Farey sequences [52] demonstrate that they are very interesting also from TGD point of view.

1. Farey sequence  $F_N$  is defined as the set of rationals  $0 \leq q = m/n \leq 1$  satisfying the conditions  $n \leq N$  ordered in an increasing sequence.
2. Two subsequent terms  $a/b$  and  $c/d$  in  $F_N$  satisfy the condition  $ad - bc = 1$  and thus define an element of the modular group  $SL(2, Z)$ .
3. The number  $|F(N)|$  of terms in Farey sequence is given by

$$|F(N)| = |F(N-1)| + \phi(N-1) . \quad (12.7.4)$$

Here  $\phi(n)$  is Euler's totient function giving the number of divisors of  $n$ . For primes one has  $\phi(p) = p-1$  so that in the transition from  $p$  to  $p+1$  the length of Farey sequence increases by one unit by the addition of  $q = 1/(p+1)$  to the sequence.

The members of Farey sequence  $F_N$  are in one-one correspondence with the set of quantum phases  $q_n = \exp(i2\pi/n)$ ,  $0 \leq n \leq N$ . This suggests a close connection with the hierarchy of Jones inclusions, quantum groups, and in TGD context with quantum measurement theory with finite measurement resolution and the hierarchy of Planck constants involving the generalization of the imbedding space. Also the recent TGD inspired ideas about the hierarchy of subgroups of the rational modular group with subgroups labeled by integers  $N$  and in direct correspondence with the hierarchy of quantum critical phases [23] would naturally relate to the Farey sequence.

### Riemann Hypothesis and Farey sequences

Farey sequences are used in two equivalent formulations of the Riemann hypothesis. Suppose the terms of  $F_N$  are  $a_{n,N}$ ,  $0 < n \leq |F_N|$ . Define

$$d_{n,N} = a_{n,N} - \frac{n}{|F_N|} .$$

In other words,  $d_{n,N}$  is the difference between the  $n$ :th term of the  $N$ :th Farey sequence, and the  $n$ :th member of a set of the same number of points, distributed evenly on the unit interval. Franel and Landau proved that both of the following statements

$$\begin{aligned} \sum_{n=1, \dots, |F_N|} |d_{n,N}| &= O(N^r) \text{ for any } r > 1/2 , \\ \sum_{n=1, \dots, |F_N|} d_{n,N}^2 &= O(N^r) \text{ for any } r > 1 . \end{aligned} \quad (12.7.5)$$

are equivalent with Riemann hypothesis.

One could say that RH would guarantee that the numbers of Farey sequence provide the best possible approximate representation for the evenly distributed rational numbers  $n/|F_N|$ .

### Farey sequences and TGD

Farey sequences seem to relate very closely to TGD.

1. The rationals in the Farey sequence can be mapped to the roots of unity by the map  $q \rightarrow \exp(i2\pi q)$ . The numbers  $1/|F_N|$  are in turn mapped to the numbers  $\exp(i2\pi/|F_N|)$ , which are also roots of unity. The statement would be that the algebraic phases defined by Farey sequence give the best possible approximate representation for the phases  $\exp(in2\pi/|F_N|)$  with evenly distributed phase angle.
2. In TGD framework the phase factors defined by  $F_N$  corresponds to the set of quantum phases corresponding to Jones inclusions labeled by  $q = \exp(i2\pi/n)$ ,  $n \leq N$ , and thus to the  $N$  lowest levels of dark matter hierarchy. There are actually two hierarchies corresponding to  $M^4$  and  $CP_2$  degrees of freedom and the Planck constant appearing in Schrödinger equation corresponds to the ratio  $n_a/n_b$  defining quantum phases in these degrees of freedom.  $Z_{n_a \times n_b}$  appears as a conformal symmetry of "dark" partonic 2-surfaces and with very general assumptions this implies that there are only in TGD Universe [31, 23].
3. The fusion of physics associated with various number fields to single coherent whole requires algebraic universality. In particular, the roots of unity, which are complex algebraic numbers, should define approximations to continuum of phase factors. At least the S-matrix associated with p-adic-to-real transitions and more generally  $p_1 \rightarrow p_2$  transitions between states for which the partonic space-time sheets are  $p_1$ - resp.  $p_2$ -adic can involve only this kind of algebraic phases. One can also say that cognitive representations can involve only algebraic phases and algebraic numbers in general. For real-to-real transitions and real-to-padic transitions U-matrix might be non-algebraic or obtained by analytic continuation of algebraic U-matrix. S-matrix is by definition diagonal with respect to number field and similar continuation principle might apply also in this case.
4. The subgroups of the hierarchy of subgroups of the modular group with rational matrix elements are labeled by integer  $N$  and relate naturally to the hierarchy of Farey sequences. The hierarchy of quantum critical phases is labeled by integers  $N$  with quantum phase transitions occurring only between phases for which the smaller integer divides the larger one [23].

### Interpretation of RH in TGD framework

Number theoretic universality of physics suggests an interpretation for the Riemann hypothesis in TGD framework. RH would be equivalent to the statement that the Farey numbers provide best possible approximation to the set of rationals  $k/|F_N|$  or to the statement that the roots of unity contained by  $F_N$  define the best possible approximation for the roots of unity defined as  $\exp(ik2\pi/|F_N|)$  with evenly spaced phase angles. The roots of unity allowed by the lowest  $N$  levels of the dark matter hierarchy allows the best possible approximate representation for algebraic phases represented exactly at  $|F_N|$ :th level of hierarchy.

A stronger statement would be that the Platonias, where RH holds true would be the best possible world in the sense that algebraic physics behind the cognitive representations would allow the best possible approximation hierarchy for the continuum physics (both for numbers in unit interval and for phases on unit circle). Platonias with RH would be cognitive paradise.

One could see this also from different view point. "Platonias as the cognitively best possible world" could be taken as the "axiom of all axioms": a kind of fundamental variational principle of mathematics. Among other things it would allow to conclude that RH is true: RH must hold true either as a theorem following from some axiomatics or as an axiom in itself.

### Could rational $N$ -tangles exist in some sense?

The article of Kauffman and Lambropoulou [58] about rational 2-tangles having commutative sum and product allowing to map them to rationals is very interesting from TGD point of view. The illustrations of the article are beautiful and make it easy to get the gist of various ideas. The theorem of the article states that equivalent rational tangles giving trivial tangle in the product correspond to subsequent Farey numbers  $a/b$  and  $c/d$  satisfying  $ad - bc = \pm 1$  so that the pair defines element of the modular group  $SL(2, Z)$ .

#### 1. Rational 2-tangles

1. The basic observation is that 2-tangles are 2-tangles in both "s- and t-channels". Product and sum can be defined for all tangles but only in the case of 2-tangles the sum, which in this case reduces to product in t-channel obtained by putting tangles in series, gives 2-tangle. The so called rational tangles are 2-tangles constructible by using addition of  $\pm[1]$  on left or right of tangle and multiplication by  $\pm[1]$  on top or bottom. Product and sum are commutative for rational 2-tangles but the outcome is not a rational 2-tangle in the general case. One can also assign to rational 2-tangle its negative and inverse. One can map 2-tangle to a number which is rational for rational tangles. The tangles  $[0]$ ,  $[\infty]$ ,  $\pm[1]$ ,  $\pm 1/[1]$ ,  $\pm[2]$ ,  $\pm[1/2]$  define so called elementary rational 2-tangles.
2. In the general case the sum of  $M$ - and  $N$ -tangles is  $M + N - 2$ -tangle and combines various  $N$ -tangles to a monoidal structure. Tensor product like operation giving  $M + N$ -tangle looks to me physically more natural than the sum.
3. The reason why general 2-tangles are non-commutative although 2-braids obviously commute is that 2-tangles can be regarded as sequences of  $N$ -tangles with 2-tangles appearing only as the initial and final state:  $N$  is actually even for intermediate states. Since  $N > 2$ -braid groups are non-commutative, non-commutativity results. It would be interesting to know whether braid group representations have been used to construct representations of  $N$ -tangles.

#### 2. Does generalization to $N \gg 2$ case exist?

One can wonder whether the notion of rational tangle and the basic result of the article about equivalence of tangles might somehow generalize to the  $N > 2$  case.

1. Could the commutativity of tangle product allow to characterize the  $N > 2$  generalizations of rational 2-tangles. The commutativity of product would be a space-time correlate for the commutativity of the S-matrices defining time like entanglement between the initial and final quantum states assignable to the  $N$ -tangle. For 2-tangles commutativity of the sum would have an analogous interpretation. Sum is not a very natural operation for  $N$ -tangles for  $N >$



2. Commutativity means that the representation matrices defined as products of braid group actions associated with the various intermediate states and acting in the same representation space commute. Only in very special cases one can expect commutativity for tangles since commutativity is lost already for braids.
2. The representations of 2-tangles should involve the subgroups of  $N$ -braid groups of intermediate braids identifiable as Galois groups of  $N$ :th order polynomials in the realization as number theoretic tangles. Could non-commutative 2-tangles be characterized by algebraic numbers in the extensions to which the Galois groups are associated? Could the non-commutativity reflect directly the non-commutativity of Galois groups involved? Quite generally one can ask whether the invariants should be expressible using algebraic numbers in the extensions of rationals associated with the intermediate braids.
3. Rational 2-tangles can be characterized by a rational number obtained by a projective identification  $[a, b]^T \rightarrow a/b$  from a rational 2-spinor  $[a, b]^T$  to which  $SL(2(N-1), \mathbb{Z})$  acts. Equivalence means that the columns  $[a, b]^T$  and  $[c, d]^T$  combine to form element of  $SL(2, \mathbb{Z})$  and thus defining a modular transformation. Could more general 2-tangles have a similar representation but in terms of algebraic integers?
4. Could  $N$ -tangles be characterized by  $N - 1$   $2(N - 1)$ -component projective column-spinors  $[a_i^1, a_i^2, \dots, a_i^{2(N-1)}]^T$ ,  $i = 1, \dots, N - 1$  so that only the ratios  $a_i^k/a_i^{2(N-1)} \leq 1$  matter? Could equivalence for them mean that the  $N - 1$  spinors combine to form  $N - 1 + N - 1$  columns of  $SL(2(N - 1), \mathbb{Z})$  matrix. Could  $N$ -tangles quite generally correspond to collections of projective  $N - 1$  spinors having as components algebraic integers and could  $ad - bc = \pm 1$  criterion generalize? Note that the modular group for surfaces of genus  $g$  is  $SL(2g, \mathbb{Z})$  so that  $N - 1$  would be analogous to  $g$  and  $1 \leq N \geq 3$ - braids would correspond to  $g \leq 2$  Riemann surfaces.
5. Dark matter hierarchy leads naturally to a hierarchy of modular sub-groups of  $SL(2, \mathbb{Q})$  labeled by  $N$  (the generator  $\tau \rightarrow \tau + 2$  of modular group is replaced with  $\tau \rightarrow \tau + 2/N$ ). What might be the role of these subgroups and corresponding subgroups of  $SL(2(N - 1), \mathbb{Q})$ . Could they arise in "anyonization" when one considers quantum group representations of 2-tangles with twist operation represented by an  $N$ :th root of unity instead of phase  $U$  satisfying  $U^2 = 1$ ?

### How tangles could be realized in TGD Universe?

The article of Kauffman and Lambropoulou stimulated the question in what senses  $N$ -tangles could be realized in TGD Universe as fundamental structures.

#### 1. Tangles as number theoretic braids?

The strands of number theoretical  $N$ -braids correspond to roots of  $N$ :th order polynomial and if one allows time evolutions of partonic 2-surface leading to the disappearance or appearance of real roots  $N$ -tangles become possible. This however means continuous evolution of roots so that the coefficients of polynomials defining the partonic 2-surface can be rational only in initial and final state but not in all intermediate "virtual" states.

#### 2. Tangles as tangled partonic 2-surfaces?

Tangles could appear in TGD also in second manner.

1. Partonic 2-surfaces are sub-manifolds of a 3-D section of space-time surface. If partonic 2-surfaces have genus  $g > 0$  the handles can become knotted and linked and one obtains besides ordinary knots and links more general knots and links in which circle is replaced by figure eight and its generalizations obtained by adding more circles (eyeglasses for  $N$ -eyed creatures).
2. Since these 2-surfaces are space-like, the resulting structures are indeed tangles rather than only braids. Tangles made of strands with fixed ends would result by allowing spherical partons elongate to long strands with fixed ends. DNA tangles would be the basic example, and are discussed also in the article. DNA sequences to which I have speculatively assigned invisible (dark) braid structures might be seen in this context as space-like "written language representations" of genetic programs represented as number theoretic braids.

### 12.7.5 Only the quantum variants of $M^4$ and $M^8$ emerge from local hyper-finite $II_1$ factors

Super-symmetry suggests that the representations of  $CH$  Clifford algebra  $\mathcal{M}$  as  $\mathcal{N}$  module  $\mathcal{M}/\mathcal{N}$  should have bosonic counterpart in the sense that the coordinate for  $M^8$  representable as a particular  $M^2(Q)$  element should have quantum counterpart. Same would apply to  $M^4$  coordinate representable as  $M^2(C)$  element. Quantum matrix representation of  $\mathcal{M}/\mathcal{N}$  as  $SL_q(2, F)$  matrix,  $F = C, H$  is the natural candidate for this representation. As a matter fact, this guess is not quite correct. It is the interpretation of  $M_2(C)$  as a quaternionic quantum algebra whose generalization to the octonionic quantum algebra works.

Quantum variants of  $M^D$  exist for all dimensions but only spaces  $M^4$  and  $M^8$  and their linear sub-spaces emerge from hyper-finite factors of type  $II_1$ . This is due to the non-associativity of the octonionic representation of the gamma matrices making it impossible to absorb the powers of the octonionic coordinate to the Clifford algebra element so that the local algebra character would disappear. Even more: quantum coordinates for these spaces are commutative operators so that their spectra define ordinary  $M^4$  and  $M^8$  which are thus already quantal concepts.

The commutation relations for  $M_{2,q}(C)$  matrices

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad (12.7.6)$$

read as

$$\begin{aligned} ab &= qba, & ac &= qac, & bd &= qdb, & cd &= qdc, \\ [ad, da] &= (q - q^{-1})bc, & bc &= cb. \end{aligned} \quad (12.7.7)$$

These relations can be extended by postulating complex conjugates of these relations for complex conjugates  $a^\dagger, b^\dagger, c^\dagger, d^\dagger$  plus the following non-vanishing commutators of type  $[x, y^\dagger]$ :

$$[a, a^\dagger] = [b, b^\dagger] = [c, c^\dagger] = [d, d^\dagger] = 1. \quad (12.7.8)$$

The matrices representing  $M^4$  point must be expressible as sums of Pauli spin matrices. This can be represented as following conditions on physical states

$$\begin{aligned} O|phys\rangle &= 0, \\ O &\in \{a - a^\dagger, d - d^\dagger, b - b^\dagger, c - c^\dagger\}. \end{aligned} \quad (12.7.9)$$

For instance, the first two conditions follow from the reality of Pauli sigma matrices  $\sigma_x, \sigma_y, \sigma_z$ . These conditions are compatible only if the operators  $O$  commute. This is the case and means also that the operators representing  $M^4$  coordinates commute and it is possible to define quantum states for which  $M^4$  coordinates have well-defined eigenvalues so that ordinary  $M^4$  emerges purely quantally from quaternions whose real coefficients are made non-Hermitian operators to obtain operator complexification of quaternions. Also the quantum states in which  $M^4$  coordinates are emerge naturally.

$M_{2,q}(C)$  matrices define the quantum analog of  $C^4$  and one can wonder whether other linear sub-spaces can be defined consistently or whether  $M_q^4$  and thus Minkowski signature is unique. This seems to be the case. For instance, the replacement  $a - \bar{a} \rightarrow a + \bar{a}$  making also time variable Euclidian is impossible since  $[a + \bar{a}, d - \bar{d}] = 2(q - q^{-1})bc$  does not vanish. The observation that  $M^4$  coordinates can be regarded as eigenvalues of commuting observables proves that quantum  $CD$  and its orbifold description are equivalent.

What about  $M^8$ : does it have analogous description? The representation of  $M^4$  point as  $M_2(C)$  matrix can be interpreted a combination of 4-D gamma matrices defining hyper-quaternionic units. Hyper-octonionic units indeed have anticommutation relations of gamma matrices of  $M^8$  and would give classical representation of  $M^8$ . The counterpart of  $M_{2,q}(C)$  would thus be obtained by replacing the coefficients of hyper-octonionic units with operators satisfying the generalization of  $M_{2,q}(C)$

commutation relations. One should identify the reality conditions and find whether they are mutually consistent.

Introduce the coefficients of  $E^4$  gamma matrices having interpretation as quaterionic units as

$$\begin{aligned} a_0 &= ix(a+d) \ , \quad a_3 = x(a-d) \ , \\ a_1 &= x(b+c) \ , \quad a_2 = x(ib-c) \ , \\ x &= \frac{1}{\sqrt{2}} \ , \end{aligned}$$

and write the commutations relations for them to see how the generalization should be performed.

The selections of commutative and quaternionic sub-algebras of octonion space are fundamental for TGD and quantum octonionic algebra should reflect these selections in its structure. In the case of quaternions the selection of commutative sub-algebra implies the breaking of 4-D Lorentz symmetry. In the case of octonions the selection of quaternion sub-algebra should induce the breaking of 8-D Lorentz symmetry. Quaternionic sub-algebra obeys the commutations of  $M_q(2, C)$  whereas the coefficients in in the complement commute mutually and quantum commute with the complex sub-algebra. This nails down the commutation relations completely:

$$\begin{aligned} [a_0, a_3] &= -i(q - q^{-1})(a_1^2 + a_2^2) \ , \\ [a_i, a_j] &= 0 \ , \quad i, j \neq 0, 3 \ , \\ a_0 a_i &= q a_i a_0 \ , \quad i \neq 0, 3 \ , \\ a_3 a_i &= q a_i a_3 \ , \quad i \neq 0, 3 \ . \end{aligned} \tag{12.7.10}$$

Checking that  $M^8$  indeed corresponds to commutative subspace defined by the eigenvalues of operators is straightforward.

The argument generalizes easily to other dimensions  $D \geq 4$  but now quaternionic and octonionic units must be replaced by gamma matrices and an explicit matrix representation can be introduced. These gamma matrices can be included as a tensor factor to the infinite-dimensional Clifford algebra so that the local Clifford algebra reduces to a mere Clifford algebra. The units of quantum octonions which are just ordinary octonion units do not however allow matrix representation so that this reduction is not possible and imbedding space and space-time indeed emerge genuinely. The non-associativity of octonions would determine the laws of physics in TGD Universe!

Thus the special role of classical number fields and uniqueness of space-time and imbedding space dimensions becomes really manifest only when a quantal deformation of the quaternionic and octonionic matrix algebras is performed. It is possible to construct the quantal variants of the coset spaces  $M^4 \times E^4 / G_a \times G_b$  by simply posing restrictions on the of eigen states of the commuting coordinate operators. Also the quantum variants of the space-time surface and quite generally, manifolds obtained from linear spaces by geometric constructions become possible.

## 12.8 Appendix

### 12.8.1 About inclusions of hyper-finite factors of type $\text{II}_1$

Many names have been assigned to inclusions: Jones, Wenzl, Ocneanu, Pimsner-Popa, Wasserman [56]. It would seem to me that the notion Jones inclusion includes them all so that various names would correspond to different concrete realizations of the inclusions conjugate under outer automorphisms.

1. According to [56] for inclusions with  $\mathcal{M} : \mathcal{N} \leq 4$  (with  $A_1^{(1)}$  excluded) there exists a countable infinity of sub-factors which are pairwise non inner conjugate but conjugate to  $\mathcal{N}$ .
2. Also for any finite group  $G$  and its outer action there exists uncountably many sub-factors which are pairwise non inner conjugate but conjugate to the fixed point algebra of  $G$  [56]. For any amenable group  $G$  the the inclusion is also unique apart from outer automorphism [61].

Thus it seems that not only Jones inclusions but also more general inclusions are unique apart from outer automorphism.

Any \*-endomorphism  $\sigma$ , which is unit preserving, faithful, and weakly continuous, defines a sub-factor of type II<sub>1</sub> factor [56]. The construction of Jones leads to a standard inclusion sequence  $\mathcal{N} \subset \mathcal{M} \subset \mathcal{M}^1 \subset \dots$ . This sequence means addition of projectors  $e_i$ ,  $i < 0$ , having visualization as an addition of braid strand in braid picture. This hierarchy exists for all factors of type II. At the limit  $\mathcal{M}^\infty = \cup_i \mathcal{M}^i$  the braid sequence extends from  $-\infty$  to  $\infty$ . Inclusion hierarchy can be understood as a hierarchy of Connes tensor powers  $\mathcal{M} \otimes_{\mathcal{N}} \mathcal{M} \dots \otimes_{\mathcal{N}} \mathcal{M}$ . Also the ordinary tensor powers of hyper-finite factors of type II<sub>1</sub> (HFF) as well as their tensor products with finite-dimensional matrix algebras are isomorphic to the original HFF so that these objects share the magic of fractals.

Under certain assumptions the hierarchy can be continued also in opposite direction. For a finite index an infinite inclusion hierarchy of factors results with the same value of index.  $\sigma$  is said to be basic if it can be extended to \*-endomorphisms from  $\mathcal{M}^1$  to  $\mathcal{M}$ . This means that the hierarchy of inclusions can be continued in the opposite direction: this means elimination of strands in the braid picture. For finite factors (as opposed to hyper-finite ones) there are no basic \*-endomorphisms of  $\mathcal{M}$  having fixed point algebra of non-abelian  $G$  as a sub-factor [56].

### 1. Jones inclusions

For hyper-finite factors of type II<sub>1</sub> Jones inclusions allow basic \*-endomorphism. They exist for all values of  $\mathcal{M} : \mathcal{N} = r$  with  $r \in \{4 \cos^2(\pi/n) | n \geq 3\} \cap [4, \infty)$  [56]. They are defined for an algebra defined by projectors  $e_i$ ,  $i \geq 1$ . All but nearest neighbor projectors commute.  $\lambda = 1/r$  appears in the relations for the generators of the algebra given by  $e_i e_j e_i = \lambda e_i$ ,  $|i - j| = 1$ .  $\mathcal{N} \subset \mathcal{M}$  is identified as the double commutator of algebra generated by  $e_i$ ,  $i \geq 2$ .

This means that principal graph and its dual are equivalent and the braid defined by projectors can be continued not only to  $-\infty$  but that also the dropping of arbitrary number of strands is possible [56]. It would seem that ADE property of the principal graph meaning single root length codes for the duality in the case of  $r \leq 4$  inclusions.

Irreducibility holds true for  $r < 4$  in the sense that the intersection of  $Q' \cap P = P' \cap P = C$ . For  $r \geq 4$  one has  $\dim(Q' \cap P) = 2$ . The operators commuting with  $Q$  contain besides identify operator of  $Q$  also the identify operator of  $P$ .  $Q$  would contain a single finite-dimensional matrix factor less than  $P$  in this case. Basic \*-endomorphisms with  $\sigma(P) = Q$  is  $\sigma(e_i) = e_{i+1}$ . The difference between genuine symmetries of quantum TGD and symmetries which can be mimicked by TGD could relate to the irreducibility for  $r < 4$  and raise these inclusions in a unique position. This difference could partially justify the hypothesis that only the groups  $G_a \times G_b \subset SU(2) \times SU(2) \subset SL(2, C) \times SU(3)$  define orbifold coverings of  $H_\pm = CD \times CP_2 \rightarrow H_\pm/G_a \times G_b$ .

### 2. Wasserman's inclusion

Wasserman's construction of  $r = 4$  factors clarifies the role of the subgroup of  $G \subset SU(2)$  for these inclusions. Also now  $r = 4$  inclusion is characterized by a discrete subgroup  $G \subset SU(2)$  and is given by  $(1 \otimes \mathcal{M})^G \subset (M_2(C) \times \mathcal{M})^G$ . According to [56] Jones inclusions are irreducible also for  $r = 4$ . The definition of Wasserman inclusion for  $r = 4$  seems however to imply that the identity matrices of both  $\mathcal{M}^G$  and  $(M(2, C) \otimes \mathcal{M})^G$  commute with  $\mathcal{M}^G$  so that the inclusion should be reducible for  $r = 4$ .

Note that  $G$  leaves both the elements of  $\mathcal{N}$  and  $\mathcal{M}$  invariant whereas  $SU(2)$  leaves the elements of  $\mathcal{N}$  invariant.  $M(2, C)$  is effectively replaced with the orbifold  $M(2, C)/G$ , with  $G$  acting as automorphisms. The space of these orbits has complex dimension  $d = 4$  for finite  $G$ .

For  $r < 4$  inclusion is defined as  $\mathcal{M}^G \subset \mathcal{M}$ . The representation of  $G$  as outer automorphism must change step by step in the inclusion sequence  $\dots \subset \mathcal{N} \subset \mathcal{M} \subset \dots$  since otherwise  $G$  would act trivially as one proceeds in the inclusion sequence. This is true since each step brings in additional finite-dimensional tensor factor in which  $G$  acts as automorphisms so that although  $\mathcal{M}$  can be invariant under  $G_{\mathcal{M}}$  it is not invariant under  $G_{\mathcal{N}}$ .

These two inclusions might accompany each other in TGD based physics. One could consider  $r < 4$  inclusion  $\mathcal{N} = \mathcal{M}^G \subset \mathcal{M}$  with  $G$  acting non-trivially in  $\mathcal{M}/\mathcal{N}$  quantum Clifford algebra.  $\mathcal{N}$  would decompose by  $r = 4$  inclusion to  $\mathcal{N}_1 \subset \mathcal{N}$  with  $SU(2)$  taking the role of  $G$ .  $\mathcal{N}/\mathcal{N}_1$  quantum Clifford algebra would transform non-trivially under  $SU(2)$  but would be  $G$  singlet.

In TGD framework the  $G$ -invariance for  $SU(2)$  representations means a reduction of  $S^2$  to the orbifold  $S^2/G$ . The coverings  $H_\pm \rightarrow H_\pm/G_a \times G_b$  should relate to these double inclusions and  $SU(2)$  inclusion could mean Kac-Moody type gauge symmetry for  $\mathcal{N}$ . Note that the presence of the factor containing only unit matrix should relate directly to the generator  $d$  in the generator set of affine algebra in the McKay construction. The physical interpretation of the fact that almost all ADE type

extended diagrams ( $D_n^{(1)}$  must have  $n \geq 4$ ) are allowed for  $r = 4$  inclusions whereas  $D_{2n+1}$  and  $E_6$  are not allowed for  $r < 4$ , remains open.

### 12.8.2 Generalization from $SU(2)$ to arbitrary compact group

The inclusions with index  $\mathcal{M} : \mathcal{N} < 4$  have one-dimensional relative commutant  $\mathcal{N}' \cup \mathcal{M}$ . The most obvious conjecture that  $\mathcal{M} : \mathcal{N} \geq 4$  corresponds to a non-trivial relative commutant is wrong. The index for Jones inclusion is identifiable as the square of quantum dimension of the fundamental representation of  $SU(2)$ . This identification generalizes to an arbitrary representation of arbitrary compact Lie group.

In his thesis Wenzl [55] studied the representations of Hecke algebras  $H_n(q)$  of type  $A_n$  obtained from the defining relations of symmetric group by the replacement  $e_i^2 = (q-1)e_i + q$ .  $H_n$  is isomorphic to complex group algebra of  $S_n$  if  $q$  is not a root of unity and for  $q = 1$  the irreducible representations of  $H_n(q)$  reduce trivially to Young's representations of symmetric groups. For primitive roots of unity  $q = \exp(i2\pi/l)$ ,  $l = 4, 5, \dots$ , the representations of  $H_n(\infty)$  give rise to inclusions for which index corresponds to a quantum dimension of any irreducible representation of  $SU(k)$ ,  $k \geq 2$ . For  $SU(2)$  also the value  $l = 3$  is allowed for spin 1/2 representation.

The inclusions are obtained by dropping the first  $m$  generators  $e_k$  from  $H_\infty(q)$  and taking double commutant of both  $H_\infty$  and the resulting algebra. The relative commutant corresponds to  $H_m(q)$ . By reducing by the minimal projection to relative commutant one obtains an inclusion with a trivial relative commutant. These inclusions are analogous to a discrete states superposed in continuum. Thus the results of Jones generalize from the fundamental representation of  $SU(2)$  to all representations of all groups  $SU(k)$ , and in fact to those of general compact groups as it turns out.

The generalization of the formula for index to square of quantum dimension of an irreducible representation of  $SU(k)$  reads as

$$\mathcal{M} : \mathcal{N} = \prod_{1 \leq r < s \leq k} \frac{\sin^2((\lambda_r - \lambda_s + s - r)\pi/l)}{\sin^2((s - r)\pi/l)}. \quad (12.8.1)$$

Here  $\lambda_r$  is the number of boxes in the  $r^{\text{th}}$  row of the Yang diagram with  $n$  boxes characterizing the representations and the condition  $1 \leq k \leq l - 1$  holds true. Only Young diagrams satisfying the condition  $l - k = \lambda_1 - \lambda_{r_{\max}}$  are allowed.

The result would allow to restrict the generalization of the imbedding space in such a manner that only cyclic group  $Z_n$  appears in the covering of  $M^4 \rightarrow M^4/G_a$  or  $CP_2 \rightarrow CP_2/G_b$  factor. Be as it may, it seems that quantum representations of any compact Lie group can be realized using the generalization of the imbedding space. In the case of  $SU(2)$  the interpretation of higher-dimensional quantum representations in terms of Connes tensor products of 2-dimensional fundamental representations is highly suggestive.

The groups  $SO(3, 1) \times SU(3)$  and  $SL(2, C) \times U(2)_{ew}$  have a distinguished position both in physics and quantum TGD and the vision about physics as a generalized number theory implies them. Also the general pattern for inclusions selects these groups, and one can say that the condition that all possible statistics are realized is guaranteed by the choice  $M^4 \times CP_2$ .

1.  $n > 2$  for the quantum counterparts of the fundamental representation of  $SU(2)$  means that braid statistics for Jones inclusions cannot give the usual fermionic statistics. That Fermi statistics cannot "emerge" conforms with the role of infinite- $D$  Clifford algebra as a canonical representation of HFF of type  $II_1$ .  $SO(3, 1)$  as isometries of  $H$  gives  $Z_2$  statistics via the action on spinors of  $M^4$  and  $U(2)$  holonomies for  $CP_2$  realize  $Z_2$  statistics in  $CP_2$  degrees of freedom.
2.  $n > 3$  for more general inclusions in turn excludes  $Z_3$  statistics as braid statistics in the general case.  $SU(3)$  as isometries induces a non-trivial  $Z_3$  action on quark spinors but trivial action at the imbedding space level so that  $Z_3$  statistics would be in question.



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## Chapter 13

# Quantum Hall effect and Hierarchy of Planck Constants

### 13.1 Introduction

Quantum Hall effect [74, 70, 76] occurs in 2-dimensional systems, typically a slab carrying a longitudinal voltage  $V$  causing longitudinal current  $j$ . A magnetic field orthogonal to the slab generates a transversal current component  $j_T$  by Lorentz force.  $j_T$  is proportional to the voltage  $V$  along the slab and the dimensionless coefficient is known as transversal conductivity. Classically the coefficient is proportional  $ne/B$ , where  $n$  is 2-dimensional electron density and should have a continuous spectrum. The finding that came as surprise was that the change of the coefficient as a function of parameters like magnetic field strength and temperature occurred as discrete steps of same size. In integer quantum Hall effect the coefficient is quantized to  $2\nu\alpha$ ,  $\alpha = e^2/4\pi$ , such that  $\nu$  is integer.

Later came the finding that also smaller steps corresponding to the filling fraction  $\nu = 1/3$  of the basic step were present and could be understood if the charge of electron would have been replaced with  $\nu = 1/3$  of its ordinary value. Later also QH effect with wide large range of filling fractions of form  $\nu = k/m$  was observed.

The model explaining the QH effect is based on pseudo particles known as anyons [50, 70]. According to the general argument of [71] anyons have fractional charge  $\nu e$ . Also the TGD based model for fractionization to be discussed later suggests that the anyon charge should be  $\nu e$  quite generally. The braid statistics of anyon is believed to be fractional so that anyons are neither bosons nor fermions. Non-fractional statistics is absolutely essential for the vacuum degeneracy used to represent logical qubits.

In the case of Abelian anyons the gauge potential corresponds to the vector potential of the divergence free velocity field or equivalently of incompressible anyon current. For non-Abelian anyons the field theory defined by Chern-Simons action is free field theory and in well-defined sense trivial although it defines knot invariants. For non-Abelian anyons situation would be different. They would carry non-Abelian gauge charges possibly related to a symmetry breaking to a discrete subgroup  $H$  of gauge group [50] each of them defining an incompressible hydrodynamical flow. According to [60] the anyons associated with the filling fraction  $\nu = 5/2$  are a good candidate for non-Abelian anyons and in this case the charge of electron is reduced to  $Q = e/4$  rather than being  $Q = \nu e$  [75]. This finding favors non-Abelian models [76].

Non-Abelian anyons [68, 70] are always created in pairs since they carry a conserved topological charge. In the model of [60] this charge should have values in 4-element group  $Z_4$  so that it is conserved only modulo 4 so that charges +2 and -2 are equivalent as are also charges 3 and -1. The state of  $n$  anyon pairs created from vacuum can be show to possess  $2^{n-1}$ -dimensional vacuum degeneracy [69]. When two anyons fuse the  $2^{n-1}$ -dimensional state space decomposes to  $2^{n-2}$ -dimensional tensor factors corresponding to anyon Cooper pairs with topological charges 2 and 0. The topological "spin" is ideal for representing logical qubits. Since free topological charges are not possible the notion of physical qubit does not make sense (note the analogy with quarks). The measurement of topological qubit reduces to a measurement of whether anyon Cooper pair has vanishing topological charge or not.

Topological quantum computation is perhaps the most promising application of anyons [66, 60, 61, 58, 57, 64, 59]. I have already earlier proposed the explanation of FQHE, anyons, and fractionization of quantum numbers in terms of hierarchy of Planck constants realized as a generalization of the imbedding space  $H = M^4 \times CP_2$  to a book like structure [35]. The book like structure applies separately to  $CP_2$  and to causal diamonds ( $CD \subset M^4$ ) defined as intersections of future and past directed light-cones. The pages of the Big Book correspond to singular coverings and factor spaces of  $CD$  ( $CP_2$ ) glued along 2-D subspace of  $CD$  ( $CP_2$ ) and are labeled by the values of Planck constants assignable to  $CD$  and  $CP_2$  and appearing in Lie algebra commutation relations. The observed Planck constant  $\hbar$ , whose square defines the scale of  $M^4$  metric corresponds to the ratio of these Planck constants. The key observation is that fractional filling factor results for ordinary integer QHE if  $\hbar$  is scaled up by a rational number.

In this chapter I try to formulate more precisely this idea. The outcome is a rather detailed view about anyons on one hand, and about the Kähler structure of the generalized imbedding space on the other hand.

1. Fundamental role is played by the assumption that the Kähler gauge potential of  $CP_2$  contains a gauge part with no physical implications in the context of gauge theories but contributing to physics in TGD framework since  $U(1)$  gauge transformations are representations of symplectic transformations of  $CP_2$ . Also in the case of  $CD$  it makes also sense to speak about Kähler gauge potential. The gauge part codes for Planck constants of  $CD$  and  $CP_2$  and leads to the identification of anyons as states associated with partonic 2-surfaces surrounding the tip of  $CD$  and fractionization of quantum numbers. Explicit formulas relating fractionized charges to the coefficients characterizing the gauge parts of Kähler gauge potentials of  $CD$  and  $CP_2$  are proposed based on some empirical input.
2. One important implication is that Poincare and Lorentz invariance are broken inside given  $CD$  although they remain exact symmetries at the level of the geometry of world of classical worlds (WCW). The interpretation is as a breaking of symmetries forced by the selection of quantization axis.
3. Anyons would basically correspond to matter at 2-dimensional "partonic" surfaces of macroscopic size surrounding the tip of the light-cone boundary of  $CD$  and could be regarded as gigantic elementary particle states with very large quantum numbers and by charge fractionization confined around the tip of  $CD$ . Charge fractionization and anyons would be basic characteristic of dark matter (dark only in relative sense). Hence it is not surprising that anyons would have applications going far beyond condensed matter physics. Anyonic dark matter concentrated at 2-dimensional surfaces would play key key role in the the physics of stars and black holes, and also in the formation of planetary system via the condensation of the ordinary matter around dark matter. This assumption was the basic starting point leading to the discovery of the hierarchy of Planck constants [35]. In living matter membrane like structures would represent a key example of anyonic systems as the model of DNA as topological quantum computer indeed assumes [34].
4. One of the basic questions has been whether TGD forces the hierarchy of Planck constants realized in terms of generalized imbedding space or not. The condition that the choice of quantization axes has a geometric correlate at the imbedding space level motivated by quantum classical correspondence of course forces the hierarchy: this has been clear from the beginning. It is now clear that also the first principle description of anyons requires the hierarchy in TGD Universe. The hierarchy reveals also new light to the huge vacuum degeneracy of TGD and reduces it dramatically at pages for which  $CD$  corresponds to a non-trivial covering or factor space, which suggests that mathematical existence of the theory necessitates the hierarchy of Planck constants. Also the proposed manifestation of Equivalence Principle at the level of symplectic fusion algebras as a duality between descriptions relying on the symplectic structures of  $CD$  and  $CP_2$ [31] forces the hierarchy of Planck constants.

The first sections of the chapter contain summary about theories of quantum Hall effect appearing already in [35]. Second section is a slightly modified version of the description of the generalized imbedding space, which has appeared already in [35, 35, 34] and containing brief description of how



to understand QHE in this framework. The third section represents the basic new results about the Kähler structure of generalized imbedding space and represents the resulting model of QHE.

## 13.2 About theories of quantum Hall effect

The most elegant models of quantum Hall effect are in terms of anyons regarded as singularities due to the symmetry breaking of gauge group  $G$  down to a finite sub-group  $H$ , which can be also non-Abelian. Concerning the description of the dynamics of topological degrees of freedom topological quantum field theories based on Chern-Simons action are the most promising approach.

### 13.2.1 Quantum Hall effect as a spontaneous symmetry breaking down to a discrete subgroup of the gauge group

The system exhibiting quantum Hall effect is effectively 2-dimensional. Fractional statistics suggests that topological defects, anyons, allowing a description in terms of the representations of the homotopy group of  $((R^2)^n - D)/S_n$ . The gauge theory description would be in terms of spontaneous symmetry breaking of the gauge group  $G$  to a finite subgroup  $H$  by a Higgs mechanism [50, 70]. This would make all gauge degrees of freedom massive and leave only topological degrees of freedom. What is unexpected that also non-Abelian topological degrees of freedom are in principle possible. Quantum Hall effect is Abelian or non-Abelian depending on whether the group  $H$  has this property.

In the symmetry breaking  $G \rightarrow H$  the non-Abelian gauge fluxes defined as non-integrable phase factors  $Pexp(i \oint A_\mu dx^\mu)$  around large circles (surrounding singularities (so that field approaches a pure gauge configuration) are elements of the first homotopy group of  $G/H$ , which is  $H$  in the case that  $H$  is discrete group and  $G$  is simple. An idealized manner to model the situation [70] is to assume that the connection is pure gauge and defined by an  $H$ -valued function which is many-valued such that the values for different branches are related by a gauge transformation in  $H$ . In the general case a gauge transformation of a non-trivial gauge field by a multi-valued element of the gauge group would give rise to a similar situation.

One can characterize a given topological singularity magnetically by an element in conjugacy class  $C$  of  $H$  representing the transformation of  $H$  induced by a  $2\pi$  rotation around singularity. The elements of  $C$  define states in given magnetic representation. Electrically the particles are characterized by an irreducible representations of the subgroup of  $H_C \subset H$  which commutes with an arbitrarily chosen element of the conjugacy class  $C$ .

The action of  $h(B)$  resulting on particle A when it makes a closed turn around B reduces in magnetic degrees of freedom to translation in conjugacy class combined with the action of element of  $H_C$  in electric degrees of freedom. Closed paths correspond to elements of the braid group  $B_n(X^2)$  identifiable as the mapping class group of the punctured 2-surface  $X^2$  and this means that symmetry breaking  $G \rightarrow H$  defines a representation of the braid group. The construction of these representations is discussed in [70] and leads naturally via the group algebra of  $H$  to the so called quantum double  $D(H)$  of  $H$ , which is a quasi-triangular Hopf algebra allowing non-trivial representations of braid group.

Anyons could be singularities of gauge fields, perhaps even non-Abelian gauge fields, and the latter ones could be modelled by these representations. In particular, braid operations could be represented using anyons.

### 13.2.2 Witten-Chern-Simons action and topological quantum field theories

The Wess-Zumino-Witten action used to model 2-dimensional critical systems consists of a 2-dimensional conformally invariant term for the chiral field having values in group  $G$  combined with 2+1-dimensional term defined as the integral of Chern-Simons 3-form over a 3-space containing 2-D space as its boundary. This term is purely topological and identifiable as winding number for the map from 3-dimensional space to  $G$ . The coefficient of this term is integer  $k$  in suitable normalization.  $k$  gives the value of central extension of the Kac-Moody algebra defined by the theory.

One can couple the chiral field  $g(x)$  to gauge potential defined for some subgroup of  $G_1$  of  $G$ . If the  $G_1$  coincides with  $G$ , the chiral field can be gauged away by a suitable gauge transformation and the theory becomes purely topological Witten-Chern-Simons theory. Pure gauge field configuration

represented either as flat gauge fields with non-trivial holonomy over homotopically non-trivial paths or as multi-valued gauge group elements however remain and the remaining degrees of freedom correspond to the topological degrees of freedom.

Witten-Chern-Simons theories are labelled by a positive integer  $k$  giving the value of central extension of the Kac-Moody algebra defined by the theory. The connection with Wess-Zumino-Witten theory come from the fact that the highest weight states associated with the representations of the Kac-Moody algebra of WZW theory are in one-one correspondence with the representations  $R_i$  possible for Wilson loops in the topological quantum field theory.

In the Abelian case case 2+1-dimensional Chern-Simons action density is essentially the inner product  $A \wedge dA$  of the vector potential and magnetic field known as helicity density and the theory in question is a free field theory. In the non-Abelian case the action is defined by the 3-form

$$\frac{k}{4\pi} \text{Tr} \left( A \wedge (dA + \frac{2}{3} A \wedge A) \right)$$

and contains also interaction term so that the field theory defined by the exponential of the interaction term is non-trivial.

In topological quantum field theory the usual  $n$ -point correlation functions defined by the functional integral are replaced by the functional averages for  $\text{Diff}^3$  invariant quantities defined in terms of non-integrable phase factors defined by ordered exponentials over closed loops. One can consider arbitrary number of loops which can be knotted, linked, and braided. These quantities define both knot and 3-manifold invariants (the functional integral for zero link in particular). The perturbative calculation of the quantum averages leads directly to the Gaussian linking numbers and infinite number of perturbative link and not invariants.

The experience gained from topological quantum field theories defined by Chern-Simons action has led to a very elegant and surprisingly simple category theoretical approach to the topological quantum field theory [51, 81] allowing to assign invariants to knots, links, braids, and tangles and also to 3-manifolds for which braids as morphisms are replaced with cobordisms. The so called modular Hopf algebras, in particular quantum groups  $Sl(2)_q$  with  $q$  a root of unity, are in key role in this approach. Also the connection between links and 3-manifolds can be understood since closed, oriented, 3-manifolds can be constructed from each other by surgery based on links [19].

Witten's article [53] "Quantum Field Theory and the Jones Polynomial" is full of ingenious constructions, and for a physicist it is the easiest and certainly highly enjoyable manner to learn about knots and 3-manifolds. For these reasons a little bit more detailed sum up is perhaps in order.

1. Witten discusses first the quantization of Chern-Simons action at the weak coupling limit  $k \rightarrow \infty$ . First it is shown how the functional integration around flat connections defines a topological invariant for 3-manifolds in the case of a trivial Wilson loop. Next a canonical quantization is performed in the case  $X^3 = \Sigma^2 \times R^1$ : in the Coulomb gauge  $A_3 = 0$  the action reduces to a sum of  $n = \dim(G)$  Abelian Chern-Simons actions with a non-linear constraint expressing the vanishing of the gauge field. The configuration space consists thus of flat non-Abelian connections, which are characterized by their holonomy groups and allows Kähler manifold structure.
2. Perhaps the most elegant quantal element of the approach is the decomposition of the 3-manifold to two pieces glued together along 2-manifold implying the decomposition of the functional integral to a product of functional integrals over the pieces. This together with the basic properties of Hilbert of complex numbers (to which the partition functions defined by the functional integrals over the two pieces belong) allows almost a miracle like deduction of the basic results about the behavior of 3-manifold and link invariants under a connected sum, and leads to the crucial skein relations allowing to calculate the invariants by decomposing the link step by step to a union of unknotted, unlinked Wilson loops, which can be calculated exactly for  $SU(N)$ . The decomposition by skein relations gives rise to a partition function like representation of invariants and allows to understand the connection between knot theory and statistical physics [52]. A direct relationship with conformal field theories and Wess-Zumino-Witten model emerges via Wilson loops associated with the highest weight representations for Kac Moody algebras.
3. A similar decomposition procedure applies also to the calculation of 3-manifold invariants using link surgery to transform 3-manifolds to each other, with 3-manifold invariants being defined as

Wilson loops associated with the homology generators of these (solid) tori using representations  $R_i$  appearing as highest weight representations of the loop algebra of torus. Surgery operations are represented as mapping class group operations acting in the Hilbert space defined by the invariants for representations  $R_i$  for the original 3-manifold. The outcome is explicit formulas for the invariants of trivial knots and 3-manifold invariant of  $S^3$  for  $G = SU(N)$ , in terms of which more complex invariants are expressible.

4. For  $SU(N)$  the invariants are expressible as functions of the phase  $q = \exp(i2\pi/(k + N))$  associated with quantum groups [19]. Note that for  $SU(2)$  and  $k = 3$ , the invariants are expressible in terms of Golden Ratio. The central charge  $k = 3$  is in a special position since it gives rise to  $k + 1 = 4$ -vertex representing naturally 2-gate physically. Witten-Chern-Simons theories define universal unitary modular functors characterizing quantum computations [61].

### 13.2.3 Chern-Simons action for anyons

In the case of quantum Hall effect the Chern-Simons action has been deduced from a model of electrons as a 2-dimensional incompressible fluid [74]. Incompressibility requires that the electron current has a vanishing divergence, which makes it analogous to a magnetic field. The expressibility of the current as a curl of a vector potential  $b$ , and a detailed study of the interaction Lagrangian leads to the identification of an Abelian Chern-Simons for  $b$  as a low energy effective action. This action is Abelian, whereas the anyonic realization of quantum computation would suggest a non-Abelian Chern-Simons action.

Non-Abelian Chern-Simons action could result in the symmetry breaking of a non-Abelian gauge group  $G$ , most naturally electro-weak gauge group, to a non-Abelian discrete subgroup  $H$  [50] so that states would be labelled by representations of  $H$  and anyons would be characterized magnetically  $H$ -valued non-Abelian magnetic fluxes each of them defining its own incompressible hydro-dynamical flow. As will be found, TGD predicts a non-Abelian Chern-Simons term associated with electroweak long range classical fields.

### 13.2.4 Topological quantum computation using braids and anyons

By the general mathematical results braids are able to code all quantum logic operations [59]. In particular, braids allow to realize any quantum circuit consisting of single particle gates acting on qubits and two particle gates acting on pairs of qubits. The coding of braid requires a classical computation which can be done in polynomial time. The coding requires that each dancer is able to remember its dancing history by coding it into its own state.

The general ideas are following.

1. The ground states of anyonic system characterize the logical qubits, One assumes non-Abelian anyons with  $Z_4$ -valued topological charge so that a system of  $n$  anyon pairs created from vacuum allows  $2^{n-1}$ -fold anyon degeneracy [69]. The system is decomposed into blocks containing one anyonic Cooper pair with  $Q_T \in \{2, 0\}$  and two anyons with such topological charges that the net topological charge vanishes. One can say that the states  $(0, 1 - 1)$  and  $(0, -1, +1)$  represent logical qubit 0 whereas the states  $(2, -1, -1)$  and  $(2, +1, +1)$  represent logical qubit 1. This would suggest  $2^2$ -fold degeneracy but actually the degeneracy is 2-fold.

Free physical qubits are not possible and at least four particles are indeed necessarily in order to represent logical qubit. The reason is that the conservation of  $Z^4$  charge would not allow mixing of qubits 1 and 0, in particular the Hadamard 1-gate generating square root of qubit would break the conservation of topological charge. The square root of qubit can be generated only if 2 units of topological charge is transferred between anyon and anyon Cooper pair. Thus qubits can be represented as entangled states of anyon Cooper pair and anyon and the fourth anyon is needed to achieve vanishing total topological charge in the batch.

2. In the initial state of the system the anyonic Cooper pairs have  $Q_T = 0$  and the two anyons have opposite topological charges inside each block. The initial state codes no information unlike in ordinary computation but the information is represented by the braid. Of course, also more general configurations are possible. Anyons are assumed to evolve like free particles except during swap operations and their time evolution is described by single particle Hamiltonians.

Free particle approximation fails when the anyons are too near to each other as during braid operations. The space of logical qubits is realized as  $k$ -code defined by the  $2^{n-1}$  ground states, which are stable against local single particle perturbations for  $k = 3$  Witten-Chern-Simons action. In the more general case the stability against  $n$ -particle perturbations with  $n < [k/2]$  is achieved but the gates would become  $[k/2]$ -particle gates (for  $k = 5$  this would give 6-particle vertices).

3. Anyonic system provides a unitary modular functor as the S-matrix associated with the anyon system whose time evolution is fixed by the pre-existing braid structure. What this means that the S-matrices associated with the braids can be multiplied and thus a unitary representation for the group formed by braids results. The vacuum degeneracy of anyon system makes this representation non-trivial. By the NP complexity of braids it is possible to code any quantum logic operation by a particular braid [57]. There exists a powerful approximation theorem allowing to achieve this coding classically in polynomial time [59]. From the properties of the R-matrices inducing gate operations it is indeed clear that two gates can be realized. The Hadamard 1-gate could be realized as 2-gate in the system formed by anyon Cooper pair and anyon.
4. In [60] the time evolution is regarded as a discrete sequence of modifications of single anyon Hamiltonians induced by swaps [58]. If the modifications define a closed loop in the space of Hamiltonians the resulting unitary operators define a representation of braid group in a dense discrete sub-group of  $U(2^n)$ . The swap operation is 2-local operation acting like a 2-gate and induces quantum logical operation modifying also single particle Hamiltonians. What is important that this modification maps the space of the ground states to a new one and only if the modifications correspond to a closed loop the final state is in the same code space as the initial state. What time evolution does is to affect the topological charges of anyon Cooper pairs representing qubits inside the 4-anyon batches defined by the braids.

In quantum field theory the analog but not equivalent of this description would be following. Quite generally, a given particle in the final state has suffered a unitary transformation, which is an ordered product consisting of two kinds of unitary operators. Unitary single particle operators  $U_n = Pexp(i \int_{t_n}^{t_{n+1}} H_0 dt)$  are analogs of operators describing single qubit gate and play the role of anyon propagators during no-swap periods. Two-particle unitary operators  $U_{swap} = Pexp(i \int H_{swap} dt)$  are analogous to four-particle interactions and describe the effect of braid operations inducing entanglement of states having opposite values of topological charge but conserving the net topological charge of the anyon pair. This entanglement is completely analogous to spin entanglement. In particular, the braid operation mixes different states of the anyon. The unitary time development operator generating entangled state of anyons and defined by the braid structure represents the operation performed by the quantum circuit and the quantum measurement in the final state selects a particular final state.

5. Formally the computation halts with a measurement of the topological charge of the left-most anyon Cooper pair when the outcome is just single bit. If decay occurs with sufficiently high probability it is concluded that the value of the computed bit is 0, otherwise 1.

### 13.3 Hierarchy of Planck constants and the generalization of the notion of imbedding space

In the following the recent view about structure of imbedding space forced by the quantization of Planck constant is summarized. The question is whether it might be possible in some sense to replace  $H$  or its Cartesian factors by their necessarily singular multiple coverings and factor spaces. One can consider two options: either  $M^4$  or the causal diamond  $CD$ . The latter one is the more plausible option from the point of view of WCW geometry.

#### 13.3.1 The evolution of physical ideas about hierarchy of Planck constants

The evolution of the physical ideas related to the hierarchy of Planck constants and dark matter as a hierarchy of phases of matter with non-standard value of Planck constants was much faster than the

evolution of mathematical ideas and quite a number of applications have been developed during last five years.

1. The starting point was the proposal of Nottale [109] that the orbits of inner planets correspond to Bohr orbits with Planck constant  $\hbar_{gr} = GMm/v_0$  and outer planets with Planck constant  $\hbar_{gr} = 5GMm/v_0$ ,  $v_0/c \simeq 2^{-11}$ . The basic proposal [30, 38] was that ordinary matter condenses around dark matter which is a phase of matter characterized by a non-standard value of Planck constant whose value is gigantic for the space-time sheets mediating gravitational interaction. The interpretation of these space-time sheets could be as magnetic flux quanta or as massless extremals assignable to gravitons.
2. Ordinary particles possibly residing at these space-time sheet have enormous value of Compton length meaning that the density of matter at these space-time sheets must be very slowly varying. The string tension of string like objects implies effective negative pressure characterizing dark energy so that the interpretation in terms of dark energy might make sense [29]. TGD predicted a one-parameter family of Robertson-Walker cosmologies with critical or over-critical mass density and the "pressure" associated with these cosmologies is negative.
3. The quantization of Planck constant does not make sense unless one modifies the view about standard space-time is. Particles with different Planck constant must belong to different worlds in the sense local interactions of particles with different values of  $\hbar$  are not possible. This inspires the idea about the book like structure of the imbedding space obtained by gluing almost copies of  $H$  together along common "back" and partially labeled by different values of Planck constant.
4. Darkness is a relative notion in this framework and due to the fact that particles at different pages of the book like structure cannot appear in the same vertex of the generalized Feynman diagram. The phase transitions in which partonic 2-surface  $X^2$  during its travel along  $X^3$  leads to another page of book are however possible and change Planck constant. Particle (say photon -) exchanges of this kind allow particles at different pages to interact. The interactions are strongly constrained by charge fractionization and are essentially phase transitions involving many particles. Classical interactions are also possible. It might be that we are actually observing dark matter via classical fields all the time and perhaps have even photographed it [35].
5. The realization that non-standard values of Planck constant give rise to charge and spin fractionization and anyonization led to the precise identification of the prerequisites of anyonic phase. If the partonic 2-surface, which can have even astrophysical size, surrounds the tip of  $CD$ , the matter at the surface is anyonic and particles are confined at this surface. Dark matter could be confined inside this kind of light-like 3-surfaces around which ordinary matter condenses. If the radii of the basic pieces of these nearly spherical anyonic surfaces - glued to a connected structure by flux tubes mediating gravitational interaction - are given by Bohr rules, the findings of Nottale [109] can be understood. Dark matter would resemble to a high degree matter in black holes replaced in TGD framework by light-like partonic 2-surfaces with a minimum size of order Schwarzschild radius  $r_S$  of order scaled up Planck length  $l_{Pl} = \sqrt{\hbar_{gr}G} = GM$ . Black hole entropy is inversely proportional to  $\hbar$  and predicted to be of order unity so that dramatic modification of the picture about black holes is implied.
6. Perhaps the most fascinating applications are in biology. The anomalous behavior ionic currents through cell membrane (low dissipation, quantal character, no change when the membrane is replaced with artificial one) has a natural explanation in terms of dark supra currents. This leads to a vision about how dark matter and phase transitions changing the value of Planck constant could relate to the basic functions of cell, functioning of DNA and aminoacids, and to the mysteries of bio-catalysis. This leads also a model for EEG interpreted as a communication and control tool of magnetic body containing dark matter and using biological body as motor instrument and sensory receptor. One especially amazing outcome is the emergence of genetic code of vertebrates from the model of dark nuclei as nuclear strings [25, 35].

### 13.3.2 The most general option for the generalized imbedding space

Simple physical arguments pose constraints on the choice of the most general form of the imbedding space.

1. The fundamental group of the space for which one constructs a non-singular covering space or factor space should be non-trivial. This is certainly not possible for  $M^4$ ,  $CD$ ,  $CP_2$ , or  $H$ . One can however construct singular covering spaces. The fixing of the quantization axes implies a selection of the sub-space  $H_4 = M^2 \times S^2 \subset M^4 \times CP_2$ , where  $S^2$  is geodesic sphere of  $CP_2$ .  $\hat{M}^4 = M^4 \setminus M^2$  and  $\hat{CP}_2 = CP_2 \setminus S^2$  have fundamental group  $Z$  since the codimension of the excluded sub-manifold is equal to two and homotopically the situation is like that for a punctured plane. The exclusion of these sub-manifolds defined by the choice of quantization axes could naturally give rise to the desired situation.
2.  $CP_2$  allows two geodesic spheres which left invariant by  $U(2)$  resp.  $SO(3)$ . The first one is homologically non-trivial. For homologically non-trivial geodesic sphere  $H_4 = M^2 \times S^2$  represents a straight cosmic string which is non-vacuum extremal of Kähler action (not necessarily preferred extremal). One can argue that the many-valuedness of  $\hbar$  is un-acceptable for non-vacuum extremals so that only homologically trivial geodesic sphere  $S^2$  would be acceptable. One could go even further. If the extremals in  $M^2 \times CP_2$  can be preferred non-vacuum extremals, the singular coverings of  $M^4$  are not possible. Therefore only the singular coverings and factor spaces of  $CP_2$  over the homologically trivial geodesic sphere  $S^2$  would be possible. This however looks a non-physical outcome.
  - (a) The situation changes if the extremals of type  $M^2 \times Y^2$ ,  $Y^2$  a holomorphic surface of  $CP_3$ , fail to be hyperquaternionic. The tangent space  $M^2$  represents hypercomplex sub-space and the product of the modified gamma matrices associated with the tangent spaces of  $Y^2$  should belong to  $M^2$  algebra. This need not be the case in general.
  - (b) The situation changes also if one reinterprets the gluing procedure by introducing scaled up coordinates for  $M^4$  so that metric is continuous at  $M^2 \times CP_2$  but  $CD$ s with different size have different sizes differing by the ratio of Planck constants and would thus have only piece of lower or upper boundary in common.
3. For the more general option one would have four different options corresponding to the Cartesian products of singular coverings and factor spaces. These options can be denoted by  $C-C$ ,  $C-F$ ,  $F-C$ , and  $F-F$ , where  $C$  ( $F$ ) signifies for covering (factor space) and first (second) letter signifies for  $CD$  ( $CP_2$ ) and correspond to the spaces  $(\hat{CD} \hat{\times} G_a) \times (\hat{CP}_2 \hat{\times} G_b)$ ,  $(\hat{CD} \hat{\times} G_a) \times \hat{CP}_2/G_b$ ,  $\hat{CD}/G_a \times (\hat{CP}_2 \hat{\times} G_b)$ , and  $\hat{CD}/G_a \times \hat{CP}_2/G_b$ .
4. The groups  $G_i$  could correspond to cyclic groups  $Z_n$ . One can also consider an extension by replacing  $M^2$  and  $S^2$  with its orbit under more general group  $G$  (say tetrahedral, octahedral, or icosahedral group). One expects that the discrete subgroups of  $SU(2)$  emerge naturally in this framework if one allows the action of these groups on the singular sub-manifolds  $M^2$  or  $S^2$ . This would replace the singular manifold with a set of its rotated copies in the case that the subgroups have genuinely 3-dimensional action (the subgroups which corresponds to exceptional groups in the ADE correspondence). For instance, in the case of  $M^2$  the quantization axes for angular momentum would be replaced by the set of quantization axes going through the vertices of tetrahedron, octahedron, or icosahedron. This would bring non-commutative homotopy groups into the picture in a natural manner.

### 13.3.3 About the phase transitions changing Planck constant

There are several non-trivial questions related to the details of the gluing procedure and phase transition as motion of partonic 2-surface from one sector of the imbedding space to another one.

1. How the gluing of copies of imbedding space at  $M^2 \times CP_2$  takes place? It would seem that the covariant metric of  $CD$  factor proportional to  $\hbar^2$  must be discontinuous at the singular manifold since only in this manner the idea about different scaling factor of  $CD$  metric can make sense. On the other hand, one can always scale the  $M^4$  coordinates so that the metric is continuous but the sizes of  $CD$ s with different Planck constants differ by the ratio of the Planck constants.
2. One might worry whether the phase transition changing Planck constant means an instantaneous change of the size of partonic 2-surface in  $M^4$  degrees of freedom. This is not the case. Light-likeness in  $M^2 \times S^2$  makes sense only for surfaces  $X^1 \times D^2 \subset M^2 \times S^2$ , where  $X^1$  is light-like

geodesic. The requirement that the partonic 2-surface  $X^2$  moving from one sector of  $H$  to another one is light-like at  $M^2 \times S^2$  irrespective of the value of Planck constant requires that  $X^2$  has single point of  $M^2$  as  $M^2$  projection. Hence no sudden change of the size  $X^2$  occurs.

3. A natural question is whether the phase transition changing the value of Planck constant can occur purely classically or whether it is analogous to quantum tunneling. Classical non-vacuum extremals of Chern-Simons action have two-dimensional  $CP_2$  projection to homologically non-trivial geodesic sphere  $S^2_I$ . The deformation of the entire  $S^2_I$  to homologically trivial geodesic sphere  $S^2_{II}$  is not possible so that only combinations of partonic 2-surfaces with vanishing total homology charge (Kähler magnetic charge) can in principle move from sector to another one, and this process involves fusion of these 2-surfaces such that  $CP_2$  projection becomes single homologically trivial 2-surface. A piece of a non-trivial geodesic sphere  $S^2_I$  of  $CP_2$  can be deformed to that of  $S^2_{II}$  using 2-dimensional homotopy flattening the piece of  $S^2$  to curve. If this homotopy cannot be chosen to be light-like, the phase transitions changing Planck constant take place only via quantum tunnelling. Obviously the notions of light-like homotopies (cobordisms) are very relevant for the understanding of phase transitions changing Planck constant.

### 13.3.4 How one could fix the spectrum of Planck constants?

The question how the observed Planck constant relates to the integers  $n_a$  and  $n_b$  defining the covering and factors spaces, is far from trivial and I have considered several options. The basic physical inputs are the condition that scaling of Planck constant must correspond to the scaling of the metric of  $CD$  (that is Compton lengths) on one hand and the scaling of the gauge coupling strength  $g^2/4\pi\hbar$  on the other hand.

1. One can assign to Planck constant to both  $CD$  and  $CP_2$  by assuming that it appears in the commutation relations of corresponding symmetry algebras. Algebraist would argue that Planck constants  $\hbar(CD)$  and  $\hbar(CP_2)$  must define a homomorphism respecting multiplication and division (when possible) by  $G_i$ . This requires  $r(X) = \hbar(X)\hbar_0 = n$  for covering and  $r(X) = 1/n$  for factor space or vice versa.
2. If one assumes that  $\hbar^2(X)$ ,  $X = M^4$ ,  $CP_2$  corresponds to the scaling of the covariant metric tensor  $g_{ij}$  and performs an over-all scaling of  $H$ -metric allowed by the Weyl invariance of Kähler action by dividing metric with  $\hbar^2(CP_2)$ , one obtains the scaling of  $M^4$  covariant metric by  $r^2 \equiv \hbar^2/\hbar_0^2 = \hbar^2(M^4)/\hbar^2(CP_2)$  whereas  $CP_2$  metric is not scaled at all.
3. The condition that  $\hbar$  scales as  $n_a$  is guaranteed if one has  $\hbar(CD) = n_a\hbar_0$ . This does not fix the dependence of  $\hbar(CP_2)$  on  $n_b$  and one could have  $\hbar(CP_2) = n_b\hbar_0$  or  $\hbar(CP_2) = \hbar_0/n_b$ . The intuitive picture is that  $n_b$ - fold covering gives in good approximation rise to  $n_a n_b$  sheets and multiplies YM action action by  $n_a n_b$  which is equivalent with the  $\hbar = n_a n_b \hbar_0$  if one effectively compresses the covering to  $CD \times CP_2$ . One would have  $\hbar(CP_2) = \hbar_0/n_b$  and  $\hbar = n_a n_b \hbar_0$ . Note that the descriptions using ordinary Planck constant and coverings and scaled Planck constant but contracting the covering would be alternative descriptions.

This gives the following formulas  $r \equiv \hbar/\hbar_0 = r(M^4)/r(CP_2)$  in various cases.

$$\begin{array}{ccccc}
 C - C & F - C & C - F & F - F & \\
 \hline
 r & n_a n_b & \frac{n_a}{n_b} & \frac{n_b}{n_a} & \frac{1}{n_a n_b}
 \end{array}$$

### 13.3.5 Preferred values of Planck constants

Number theoretic considerations favor the hypothesis that the integers corresponding to Fermat polygons constructible using only ruler and compass and given as products  $n_F = 2^k \prod_s F_s$ , where  $F_s = 2^{2^s} + 1$  are distinct Fermat primes, are favored. The reason would be that quantum phase  $q = \exp(i\pi/n)$  is in this case expressible using only iterated square root operation by starting from rationals. The known Fermat primes correspond to  $s = 0, 1, 2, 3, 4$  so that the hypothesis is very strong and predicts that p-adic length scales have satellite length scales given as multiples of  $n_F$  of fundamental p-adic length scale.  $n_F = 2^{11}$  corresponds in TGD framework to a fundamental constant

expressible as a combination of Kähler coupling strength,  $CP_2$  radius and Planck length appearing in the expression for the tension of cosmic strings, and the powers of  $2^{11}$  seem to be especially favored as values of  $n_a$  in living matter [37].

### 13.3.6 How Planck constants are visible in Kähler action?

$\hbar(M^4)$  and  $\hbar(CP_2)$  appear in the commutation and anticommutation relations of various superconformal algebras. Only the ratio of  $M^4$  and  $CP_2$  Planck constants appears in Kähler action and is due to the fact that the  $M^4$  and  $CP_2$  metrics of the imbedding space sector with given values of Planck constants are proportional to the corresponding Planck constants. This implies that Kähler function codes for radiative corrections to the classical action, which makes possible to consider the possibility that higher order radiative corrections to functional integral vanish as one might expect at quantum criticality. For a given p-adic length scale space-time sheets with all allowed values of Planck constants are possible. Hence the spectrum of quantum critical fluctuations could in the ideal case correspond to the spectrum of  $\hbar$  coding for the scaled up values of Compton lengths and other quantal lengths and times. If so, large  $\hbar$  phases could be crucial for understanding of quantum critical superconductors, in particular high  $T_c$  superconductors.

### 13.3.7 Could the dynamics of Kähler action predict the hierarchy of Planck constants?

The original justification for the hierarchy of Planck constants came from the indications that Planck constant could have large values in both astrophysical systems involving dark matter and also in biology. The realization of the hierarchy in terms of the singular coverings and possibly also factor spaces of  $CD$  and  $CP_2$  emerged from consistency conditions. The formula for the Planck constant involves heuristic guess work and physical plausibility arguments. There are good arguments in favor of the hypothesis that only coverings are possible. Only a finite number of pages of the Big Book correspond to a given value of Planck constant, biological evolution corresponds to a gradual dispersion to the pages of the Big Book with larger Planck constant, and a connection with the hierarchy of infinite primes and p-adicization program based on the mathematical realization of finite measurement resolution emerges.

One can however ask whether this hierarchy could emerge directly from the basic quantum TGD rather than as a separate hypothesis. The following arguments suggest that this might be possible. One finds also a precise geometric interpretation of preferred extremal property interpreted as criticality in zero energy ontology.

#### 1-1 correspondence between canonical momentum densities and time derivatives fails for Kähler action

The basic motivation for the geometrization program was the observation that canonical quantization for TGD fails. To see what is involved let us try to perform a canonical quantization in zero energy ontology at the 3-D surfaces located at the light-like boundaries of  $CD \times CP_2$ .

1. In canonical quantization canonical momentum densities  $\pi_k^0 \equiv \pi_k = \partial L_K / \partial(\partial_0 h^k)$ , where  $\partial_0 h^k$  denotes the time derivative of imbedding space coordinate, are the physically natural quantities in terms of which to fix the initial values: once their value distribution is fixed also conserved charges are fixed. Also the weak form of electric-magnetic duality given by  $J^{03} \sqrt{g_4} = 4\pi \alpha_K J_{12}$  and a mild generalization of this condition to be discussed below can be interpreted as a manner to fix the values of conserved gauge charges (not Noether charges) to their quantized values since Kähler magnetic flux equals to the integer giving the homology class of the (wormhole) throat. This condition alone need not characterize criticality, which requires an infinite number of deformations of  $X^4$  for which the second variation of the Kähler action vanishes and implies infinite number conserved charges. This in fact gives hopes of replacing  $\pi_k$  with these conserved Noether charges.
2. Canonical quantization requires that  $\partial_0 h^k$  in the energy is expressed in terms of  $\pi_k$ . The equation defining  $\pi_k$  in terms of  $\partial_0 h^k$  is however highly non-linear although algebraic. By taking squares the equations reduce to equations for rational functions of  $\partial_0 h^k$ .  $\partial_0 h^k$  appears in contravariant



and covariant metric at most quadratically and in the induced Kähler electric field linearly and by multiplying the equations by  $\det(g_4)^3$  one can transform the equations to a polynomial form so that in principle  $\partial_0 h^k$  can be obtained as a solution of polynomial equations.

3. One can always eliminate one half of the coordinates by choosing 4 imbedding space coordinates as the coordinates of the spacetime surface so that the initial value conditions reduce to those for the canonical momentum densities associated with the remaining four coordinates. For instance, for space-time surfaces representable as map  $M^4 \rightarrow CP_2$   $M^4$  coordinates are natural and the time derivatives  $\partial_0 s^k$  of  $CP_2$  coordinates are multivalued. One would obtain four polynomial equations with  $\partial_0 s^k$  as unknowns. In regions where  $CP_2$  projection is 4-dimensional -in particular for the deformations of  $CP_2$  vacuum extremals the natural coordinates are  $CP_2$  coordinates and one can regard  $\partial_0 m^k$  as unknowns. For the deformations of cosmic strings, which are of form  $X^4 = X^2 \times Y^2 \subset M^4 \times CP_2$ , one can use coordinates of  $M^2 \times S^2$ , where  $S^2$  is geodesic sphere as natural coordinates and regard as unknowns  $E^2$  coordinates and remaining  $CP_2$  coordinates.
4. One can imagine solving one of the four polynomial equations for time derivatives in terms of other obtaining  $N$  roots. Then one would substitute these roots to the remaining 3 conditions to obtain algebraic equations from which one solves then second variable. Obviously situation is very complex without additional symmetries. The criticality of the preferred extremals might however give additional conditions allowing simplifications. The reasons for giving up the canonical quantization program was following. For the vacuum extremals of Kähler action  $\pi_k$  are however identically vanishing and this means that there is an infinite number of value distributions for  $\partial_0 h^k$ . For small deformations of vacuum extremals one might however hope a finite number of solutions to the conditions and thus finite number of space-time surfaces carrying same conserved charges.

If one assumes that physics is characterized by the values of the conserved charges one must treat the many-valuedness of  $\partial_0 h^k$ . The most obvious guess is that one should replace the space of space-like 4-surfaces corresponding to different roots  $\partial_0 h^k = F^k(\pi_l)$  with four-surfaces in the covering space of  $CD \times CP_2$  corresponding to different branches of the many-valued function  $\partial_0 h^k = F(\pi_l)$  co-inciding at the ends of  $CD$ .

**Do the coverings forced by the many-valuedness of  $\partial_0 h^k$  correspond to the coverings associated with the hierarchy of Planck constants?**

The obvious question is whether this covering space actually corresponds to the covering spaces associated with the hierarchy of Planck constants. This would conform with quantum classical correspondence. The hierarchy of Planck constants and hierarchy of covering spaces was introduced to cure the failure of the perturbation theory at quantum level. At classical level the multivaluedness of  $\partial_0 h^k$  means a failure of perturbative canonical quantization and forces the introduction of the covering spaces. The interpretation would be that when the density of matter becomes critical the space-time surface splits to several branches so that the density at each branches is sub-critical. It is of course not at all obvious whether the proposed structure of the Big Book is really consistent with this hypothesis and one also consider modifications of this structure if necessary. The manner to proceed is by making questions.

1. The proposed picture would give only single integer characterizing the covering. Two integers assignable to  $CD$  and  $CP_2$  degrees of freedom are however needed. How these two coverings could emerge?
  - (a) One should fix also the values of  $\pi_k^n = \partial L_K / \partial h_n^k$ , where  $n$  refers to space-like normal coordinate at the wormhole throats. If one requires that charges do not flow between regions with different signatures of the metric the natural condition is  $\pi_k^n = 0$  and allows also multi-valued solution. Since wormhole throats carry magnetic charge and since weak form of electric-magnetic duality is assumed, one can assume that  $CP_2$  projection is four-dimensional so that one can use  $CP_2$  coordinates and regard  $\partial_0 m^k$  as unknowns. The basic idea about topological condensation in turn suggests that  $M^4$  projection can be assumed to be 4-D inside space-like 3-surfaces so that here  $\partial_0 s^k$  are the unknowns. At partonic 2-surfaces one would have conditions for both  $\pi_k^0$  and  $\pi_k^n$ . One might hope that the numbers

of solutions are finite for preferred extremals because of their symmetries and given by  $n_a$  for  $\partial_0 m^k$  and by  $n_b$  for  $\partial_0 s^k$ . The optimistic guess is that  $n_a$  and  $n_b$  corresponds to the numbers of sheets for singular coverings of  $CD$  and  $CP_2$ . The covering could be visualized as replacement of space-time surfaces with space-time surfaces which have  $n_a n_b$  branches.  $n_b$  branches would degenerate to single branch at the ends of diagrams of the generated Feynman graph and  $n_a$  branches would degenerate to single one at wormhole throats.

- (b) This picture is not quite correct yet. The fixing of  $\pi_k^0$  and  $\pi_k^n$  should relate closely to the effective 2-dimensionality as an additional condition perhaps crucial for criticality. One could argue that both  $\pi_k^0$  and  $\pi_k^n$  must be fixed at  $X^3$  and  $X_l^3$  in order to effectively bring in dynamics in two directions so that  $X^3$  could be interpreted as a an orbit of partonic 2-surface in space-like direction and  $X_l^3$  as its orbit in light-like direction. The additional conditions could be seen as gauge conditions made possible by symplectic and Kac-Moody type conformal symmetries. The conditions for  $\pi_0^k$  would give  $n_b$  branches in  $CP_2$  degrees of freedom and the conditions for  $\pi_k^n$  would split each of these branches to  $n_a$  branches.
- (c) The existence of these two kinds of conserved charges (possibly vanishing for  $\pi_k^n$ ) could relate also very closely to the slicing of the space-time sheets by string world sheets and partonic 2-surfaces.
2. Should one then treat these branches as separate space-time surfaces or as a single space-time surface? The treatment as a single surface seems to be the correct thing to do. Classically the conserved changes would be  $n_a n_b$  times larger than for single branch. Kähler action need not (but could!) be same for different branches but the total action is  $n_a n_b$  times the average action and this effectively corresponds to the replacement of the  $\hbar_0/g_K^2$  factor of the action with  $\hbar/g_K^2$ ,  $r \equiv \hbar/\hbar_0 = n_a n_b$ . Since the conserved quantum charges are proportional to  $\hbar$  one could argue that  $r = n_a n_b$  tells only that the charge conserved charge is  $n_a n_b$  times larger than without multi-valuedness.  $\hbar$  would be only effectively  $n_a n_b$  fold. This is of course poor man's argument but might catch something essential about the situation.
  3. How could one interpret the condition  $J^{03} \sqrt{g_4} = 4\pi \alpha_K J_{12}$  and its generalization to be discussed below in this framework? The first observation is that the total Kähler electric charge is by  $\alpha_K \propto 1/(n_a n_b)$  same always. The interpretation would be in terms of charge fractionization meaning that each branch would carry Kähler electric charge  $Q_K = n g_K / n_a n_b$ . I have indeed suggested explanation of charge fractionization and quantum Hall effect based on this picture.
  4. The vision about the hierarchy of Planck constants involves also assumptions about imbedding space metric. The assumption that the  $M^4$  covariant metric is proportional to  $\hbar^2$  follows from the physical idea about  $\hbar$  scaling of quantum lengths as what Compton length is. One can always introduce scaled  $M^4$  coordinates bringing  $M^4$  metric into the standard form by scaling up the  $M^4$  size of  $CD$ . It is not clear whether the scaling up of  $CD$  size follows automatically from the proposed scenario. The basic question is why the  $M^4$  size scale of the critical extremals must scale like  $n_a n_b$ ? This should somehow relate to the weak self-duality conditions implying that Kähler field at each branch is reduced by a factor  $1/r$  at each branch. Field equations should possess a dynamical symmetry involving the scaling of  $CD$  by integer  $k$  and  $J^{0\beta} \sqrt{g_4}$  and  $J^{n\beta} \sqrt{g_4}$  by  $1/k$ . The scaling of  $CD$  should be due to the scaling up of the  $M^4$  time interval during which the branched light-like 3-surface returns back to a non-branched one.
  5. The proposed view about hierarchy of Planck constants is that the singular coverings reduce to single-sheeted coverings at  $M^2 \subset M^4$  for  $CD$  and to  $S^2 \subset CP_2$  for  $CP_2$ . Here  $S^2$  is any homologically trivial geodesic sphere of  $CP_2$  and has vanishing Kähler form. Weak self-duality condition is indeed consistent with any value of  $\hbar$  and implies that the vacuum property for the partonic 2-surface implies vacuum property for the entire space-time sheet as holography indeed requires. This condition however generalizes. In weak self-duality conditions the value of  $\hbar$  is free for any 2-D Lagrangian sub-manifold of  $CP_2$ .

The branching along  $M^2$  would mean that the branches of preferred extremals always collapse to single branch when their  $M^4$  projection belongs to  $M^2$ . Magnetically charged light-like throats cannot have  $M^4$  projection in  $M^2$  so that self-duality conditions for different values of  $\hbar$  do not lead to inconsistencies. For spacelike 3-surfaces at the boundaries of  $CD$  the condition

would mean that the  $M^4$  projection becomes light-like geodesic. Straight cosmic strings would have  $M^2$  as  $M^4$  projection. Also  $CP_2$  type vacuum extremals for which the random light-like projection in  $M^4$  belongs to  $M^2$  would represent this of situation. One can ask whether the degeneration of branches actually takes place along any string like object  $X^2 \times Y^2$ , where  $X^2$  defines a minimal surface in  $M^4$ . For these the weak self-duality condition would imply  $\hbar = \infty$  at the ends of the string. It is very plausible that string like objects feed their magnetic fluxes to larger space-times sheets through wormhole contacts so that these conditions are not encountered.

### Connection with the criticality of preferred extremals

Also a connection with quantum criticality and the criticality of the preferred extremals suggests itself. Criticality for the preferred extremals must be a property of space-like 3-surfaces and light-like 3-surfaces with degenerate 4-metric and the degeneration of the  $n_a n_b$  branches of the space-time surface at the its ends and at wormhole throats is exactly what happens at criticality. For instance, in catastrophe theory roots of the polynomial equation giving extrema of a potential as function of control parameters co-incide at criticality. If this picture is correct the hierarchy of Planck constants would be an outcome of criticality and of preferred extremal property and preferred extremals would be just those multi-branched space-time surfaces for which branches co-incide at the the boundaries of  $CD \times CP_2$  and at the throats.

## 13.4 Weak form electric-magnetic duality and its implications

The notion of electric-magnetic duality [87] was proposed first by Olive and Montonen and is central in  $\mathcal{N} = 4$  supersymmetric gauge theories. It states that magnetic monopoles and ordinary particles are two different phases of theory and that the description in terms of monopoles can be applied at the limit when the running gauge coupling constant becomes very large and perturbation theory fails to converge. The notion of electric-magnetic self-duality is more natural since for  $CP_2$  geometry Kähler form is self-dual and Kähler magnetic monopoles are also Kähler electric monopoles and Kähler coupling strength is by quantum criticality renormalization group invariant rather than running coupling constant. The notion of electric-magnetic (self-)duality emerged already two decades ago in the attempts to formulate the Kähler geometric of world of classical worlds. Quite recently a considerable step of progress took place in the understanding of this notion [17]. What seems to be essential is that one adopts a weaker form of the self-duality applying at partonic 2-surfaces. What this means will be discussed in the sequel.

Every new idea must be of course taken with a grain of salt but the good sign is that this concept leads to precise predictions. The point is that elementary particles do not generate monopole fields in macroscopic length scales: at least when one considers visible matter. The first question is whether elementary particles could have vanishing magnetic charges: this turns out to be impossible. The next question is how the screening of the magnetic charges could take place and leads to an identification of the physical particles as string like objects identified as pairs magnetic charged wormhole throats connected by magnetic flux tubes.

1. The first implication is a new view about electro-weak massivation reducing it to weak confinement in TGD framework. The second end of the string contains particle having electroweak isospin neutralizing that of elementary fermion and the size scale of the string is electro-weak scale would be in question. Hence the screening of electro-weak force takes place via weak confinement realized in terms of magnetic confinement.
2. This picture generalizes to the case of color confinement. Also quarks correspond to pairs of magnetic monopoles but the charges need not vanish now. Rather, valence quarks would be connected by flux tubes of length of order hadron size such that magnetic charges sum up to zero. For instance, for baryonic valence quarks these charges could be  $(2, -1, -1)$  and could be proportional to color hyper charge.
3. The highly non-trivial prediction making more precise the earlier stringy vision is that elementary particles are string like objects in electro-weak scale: this should become manifest at LHC energies.

4. The weak form electric-magnetic duality together with Beltrami flow property of Kähler leads to the reduction of Kähler action to Chern-Simons action so that TGD reduces to almost topological QFT and that Kähler function is explicitly calculable. This has enormous impact concerning practical calculability of the theory.
5. One ends up also to a general solution ansatz for field equations from the condition that the theory reduces to almost topological QFT. The solution ansatz is inspired by the idea that all isometry currents are proportional to Kähler current which is integrable in the sense that the flow parameter associated with its flow lines defines a global coordinate. The proposed solution ansatz would describe a hydrodynamical flow with the property that isometry charges are conserved along the flow lines (Beltrami flow). A general ansatz satisfying the integrability conditions is found. The solution ansatz applies also to the extremals of Chern-Simons action and to the conserved currents associated with the modified Dirac equation defined as contractions of the modified gamma matrices between the solutions of the modified Dirac equation. The strongest form of the solution ansatz states that various classical and quantum currents flow along flow lines of the Beltrami flow defined by Kähler current (Kähler magnetic field associated with Chern-Simons action). Intuitively this picture is attractive. A more general ansatz would allow several Beltrami flows meaning multi-hydrodynamics. The integrability conditions boil down to two scalar functions: the first one satisfies massless d'Alembert equation in the induced metric and the the gradients of the scalar functions are orthogonal. The interpretation in terms of momentum and polarization directions is natural.
6. The general solution ansatz works for induced Kähler Dirac equation and Chern-Simons Dirac equation and reduces them to ordinary differential equations along flow lines. The induced spinor fields are simply constant along flow lines of induced spinor field for Dirac equation in suitable gauge. Also the generalized eigen modes of the modified Chern-Simons Dirac operator can be deduced explicitly if the throats and the ends of space-time surface at the boundaries of  $CD$  are extremals of Chern-Simons action. Chern-Simons Dirac equation reduces to ordinary differential equations along flow lines and one can deduce the general form of the spectrum and the explicit representation of the Dirac determinant in terms of geometric quantities characterizing the 3-surface (eigenvalues are inversely proportional to the lengths of strands of the flow lines in the effective metric defined by the modified gamma matrices).

### 13.4.1 Could a weak form of electric-magnetic duality hold true?

Holography means that the initial data at the partonic 2-surfaces should fix the configuration space metric. A weak form of this condition allows only the partonic 2-surfaces defined by the wormhole throats at which the signature of the induced metric changes. A stronger condition allows all partonic 2-surfaces in the slicing of space-time sheet to partonic 2-surfaces and string world sheets. Number theoretical vision suggests that hyper-quaternionicity *resp.* co-hyperquaternionicity constraint could be enough to fix the initial values of time derivatives of the imbedding space coordinates in the space-time regions with Minkowskian *resp.* Euclidian signature of the induced metric. This is a condition on modified gamma matrices and hyper-quaternionicity states that they span a hyper-quaternionic sub-space.

#### Definition of the weak form of electric-magnetic duality

One can also consider alternative conditions possibly equivalent with this condition. The argument goes as follows.

1. The expression of the matrix elements of the metric and Kähler form of  $WCW$  in terms of the Kähler fluxes weighted by Hamiltonians of  $\delta M_{\pm}^4$  at the partonic 2-surface  $X^2$  looks very attractive. These expressions however carry no information about the 4-D tangent space of the partonic 2-surfaces so that the theory would reduce to a genuinely 2-dimensional theory, which cannot hold true. One would like to code to the  $WCW$  metric also information about the electric part of the induced Kähler form assignable to the complement of the tangent space of  $X^2 \subset X^4$ .
2. Electric-magnetic duality of the theory looks a highly attractive symmetry. The trivial manner to get electric magnetic duality at the level of the full theory would be via the identification of

the flux Hamiltonians as sums of the magnetic and electric fluxes. The presence of the induced metric is however troublesome since the presence of the induced metric means that the simple transformation properties of flux Hamiltonians under symplectic transformations -in particular color rotations- are lost.

3. A less trivial formulation of electric-magnetic duality would be as an initial condition which eliminates the induced metric from the electric flux. In the Euclidian version of 4-D YM theory this duality allows to solve field equations exactly in terms of instantons. This approach involves also quaternions. These arguments suggest that the duality in some form might work. The full electric magnetic duality is certainly too strong and implies that space-time surface at the partonic 2-surface corresponds to piece of  $CP_2$  type vacuum extremal and can hold only in the deep interior of the region with Euclidian signature. In the region surrounding wormhole throat at both sides the condition must be replaced with a weaker condition.
4. To formulate a weaker form of the condition let us introduce coordinates  $(x^0, x^3, x^1, x^2)$  such  $(x^1, x^2)$  define coordinates for the partonic 2-surface and  $(x^0, x^3)$  define coordinates labeling partonic 2-surfaces in the slicing of the space-time surface by partonic 2-surfaces and string world sheets making sense in the regions of space-time sheet with Minkowskian signature. The assumption about the slicing allows to preserve general coordinate invariance. The weakest condition is that the generalized Kähler electric fluxes are apart from constant proportional to Kähler magnetic fluxes. This requires the condition

$$J^{03} \sqrt{g_4} = K J_{12} . \quad (13.4.1)$$

A more general form of this duality is suggested by the considerations of [17] reducing the hierarchy of Planck constants to basic quantum TGD and also reducing Kähler function for preferred extremals to Chern-Simons terms [99] at the boundaries of  $CD$  and at light-like wormhole throats. This form is following

$$J^{n\beta} \sqrt{g_4} = K \epsilon \times \epsilon^{n\beta\gamma\delta} J_{\gamma\delta} \sqrt{g_4} . \quad (13.4.2)$$

Here the index  $n$  refers to a normal coordinate for the space-like 3-surface at either boundary of  $CD$  or for light-like wormhole throat.  $\epsilon$  is a sign factor which is opposite for the two ends of  $CD$ . It could be also opposite at the opposite sides of the wormhole throat. Note that the dependence on induced metric disappears at the right hand side and this condition eliminates the potentials singularity due to the reduction of the rank of the induced metric at wormhole throat.

5. Information about the tangent space of the space-time surface can be coded to the configuration space metric with loosing the nice transformation properties of the magnetic flux Hamiltonians if Kähler electric fluxes or sum of magnetic flux and electric flux satisfying this condition are used and  $K$  is symplectic invariant. Using the sum

$$J_e + J_m = (1 + K) J_{12} , \quad (13.4.3)$$

where  $J$  denotes the Kähler magnetic flux, , makes it possible to have a non-trivial configuration space metric even for  $K = 0$ , which could correspond to the ends of a cosmic string like solution carrying only Kähler magnetic fields. This condition suggests that it can depend only on Kähler magnetic flux and other symplectic invariants. Whether local symplectic coordinate invariants are possible at all is far from obvious, If the slicing itself is symplectic invariant then  $K$  could be a non-constant function of  $X^2$  depending on string world sheet coordinates. The light-like radial coordinate of the light-cone boundary indeed defines a symplectically invariant slicing and this slicing could be shifted along the time axis defined by the tips of  $CD$ .

### Electric-magnetic duality physically

What could the weak duality condition mean physically? For instance, what constraints are obtained if one assumes that the quantization of electro-weak charges reduces to this condition at classical level?

1. The first thing to notice is that the flux of  $J$  over the partonic 2-surface is analogous to magnetic flux

$$Q_m = \frac{e}{\hbar} \oint B dS = n .$$

$n$  is non-vanishing only if the surface is homologically non-trivial and gives the homology charge of the partonic 2-surface.

2. The expressions of classical electromagnetic and  $Z^0$  fields in terms of Kähler form [58] read as

$$\begin{aligned} \gamma &= \frac{eF_{em}}{\hbar} = 3J - \sin^2(\theta_W)R_{03} , \\ Z^0 &= \frac{g_Z F_Z}{\hbar} = 2R_{03} . \end{aligned} \quad (13.4.4)$$

Here  $R_{03}$  is one of the components of the curvature tensor in vielbein representation and  $F_{em}$  and  $F_Z$  correspond to the standard field tensors. From this expression one can deduce

$$J = \frac{e}{3\hbar} F_{em} + \sin^2(\theta_W) \frac{g_Z}{6\hbar} F_Z . \quad (13.4.5)$$

3. The weak duality condition when integrated over  $X^2$  implies

$$\begin{aligned} \frac{e^2}{3\hbar} Q_{em} + \frac{g_Z^2 p}{6} Q_{Z,V} &= K \oint J = Kn , \\ Q_{Z,V} &= \frac{I_V^3}{2} - Q_{em} , \quad p = \sin^2(\theta_W) . \end{aligned} \quad (13.4.6)$$

Here the vectorial part of the  $Z^0$  charge rather than as full  $Z^0$  charge  $Q_Z = I_L^3 + \sin^2(\theta_W)Q_{em}$  appears. The reason is that only the vectorial isospin is same for left and right handed components of fermion which are in general mixed for the massive states.

The coefficients are dimensionless and expressible in terms of the gauge coupling strengths and using  $\hbar = r\hbar_0$  one can write

$$\begin{aligned} \alpha_{em} Q_{em} + p \frac{\alpha_Z}{2} Q_{Z,V} &= \frac{3}{4\pi} \times rnK , \\ \alpha_{em} &= \frac{e^2}{4\pi\hbar_0} , \quad \alpha_Z = \frac{g_Z^2}{4\pi\hbar_0} = \frac{\alpha_{em}}{p(1-p)} . \end{aligned} \quad (13.4.7)$$

4. There is a great temptation to assume that the values of  $Q_{em}$  and  $Q_Z$  correspond to their quantized values and therefore depend on the quantum state assigned to the partonic 2-surface. The linear coupling of the modified Dirac operator to conserved charges implies correlation between the geometry of space-time sheet and quantum numbers assigned to the partonic 2-surface. The assumption of standard quantized values for  $Q_{em}$  and  $Q_Z$  would be also seen as the identification of the fine structure constants  $\alpha_{em}$  and  $\alpha_Z$ . This however requires weak isospin invariance.

### The value of $K$ from classical quantization of Kähler electric charge

The value of  $K$  can be deduced by requiring classical quantization of Kähler electric charge.

1. The condition that the flux of  $F^{03} = (\hbar/g_K)J^{03}$  defining the counterpart of Kähler electric field equals to the Kähler charge  $g_K$  would give the condition  $K = g_K^2/\hbar$ , where  $g_K$  is Kähler coupling constant which should be invariant under coupling constant evolution by quantum criticality. Within experimental uncertainties one has  $\alpha_K = g_K^2/4\pi\hbar_0 = \alpha_{em} \simeq 1/137$ , where  $\alpha_{em}$  is finite structure constant in electron length scale and  $\hbar_0$  is the standard value of Planck constant.
2. The quantization of Planck constants makes the condition highly non-trivial. The most general quantization of  $r$  is as rationals but there are good arguments favoring the quantization as integers corresponding to the allowance of only singular coverings of  $CD$  and  $CP_2$ . The point is that in this case a given value of Planck constant corresponds to a finite number of pages of the "Big Book". The quantization of the Planck constant implies a further quantization of  $K$  and would suggest that  $K$  scales as  $1/r$  unless the spectrum of values of  $Q_{em}$  and  $Q_Z$  allowed by the quantization condition scales as  $r$ . This is quite possible and the interpretation would be that each of the  $r$  sheets of the covering carries (possibly same) elementary charge. Kind of discrete variant of a full Fermi sphere would be in question. The interpretation in terms of anyonic phases [17] supports this interpretation.
3. The identification of  $J$  as a counterpart of  $eB/\hbar$  means that Kähler action and thus also Kähler function is proportional to  $1/\alpha_K$  and therefore to  $\hbar$ . This implies that for large values of  $\hbar$  Kähler coupling strength  $g_K^2/4\pi$  becomes very small and large fluctuations are suppressed in the functional integral. The basic motivation for introducing the hierarchy of Planck constants was indeed that the scaling  $\alpha \rightarrow \alpha/r$  allows to achieve the convergence of perturbation theory: Nature itself would solve the problems of the theoretician. This of course does not mean that the physical states would remain as such and the replacement of single particles with anyonic states in order to satisfy the condition for  $K$  would realize this concretely.

The weak form of electric-magnetic duality has surprisingly strong implications for basic view about quantum TGD as following considerations show.

#### 13.4.2 Magnetic confinement, the short range of weak forces, and color confinement

The weak form of electric-magnetic duality has surprisingly strong implications if one combines it with some very general empirical facts such as the non-existence of magnetic monopole fields in macroscopic length scales.

##### How can one avoid macroscopic magnetic monopole fields?

Monopole fields are experimentally absent in length scales above order weak boson length scale and one should have a mechanism neutralizing the monopole charge. How electroweak interactions become short ranged in TGD framework is still a poorly understood problem. What suggests itself is the neutralization of the weak isospin above the intermediate gauge boson Compton length by neutral Higgs bosons. Could the two neutralization mechanisms be combined to single one?

1. In the case of fermions and their super partners the opposite magnetic monopole would be a wormhole throat. If the magnetically charged wormhole contact is electromagnetically neutral but has vectorial weak isospin neutralizing the weak vectorial isospin of the fermion only the electromagnetic charge of the fermion is visible on longer length scales. The distance of this wormhole throat from the fermionic one should be of the order weak boson Compton length. An interpretation as a bound state of fermion and a wormhole throat state with the quantum numbers of a neutral Higgs boson would therefore make sense. The neutralizing throat would have quantum numbers of  $X_{-1/2} = \nu_L \bar{\nu}_R$  or  $X_{1/2} = \bar{\nu}_L \nu_R$ .  $\nu_L \bar{\nu}_R$  would not be neutral Higgs boson (which should correspond to a wormhole contact) but a super-partner of left-handed neutrino obtained by adding a right handed neutrino. This mechanism would apply separately to the fermionic and anti-fermionic throats of the gauge bosons and corresponding space-time sheets and leave only electromagnetic interaction as a long ranged interaction.

2. One can of course wonder what is the situation for the bosonic wormhole throats feeding gauge fluxes between space-time sheets. It would seem that these wormhole throats must always appear as pairs such that for the second member of the pair monopole charges and  $I_V^3$  cancel each other at both space-time sheets involved so that one obtains at both space-time sheets magnetic dipoles of size of weak boson Compton length. The proposed magnetic character of fundamental particles should become visible at TeV energies so that LHC might have surprises in store!

### Magnetic confinement and color confinement

Magnetic confinement generalizes also to the case of color interactions. One can consider also the situation in which the magnetic charges of quarks (more generally, of color excited leptons and quarks) do not vanish and they form color and magnetic singles in the hadronic length scale. This would mean that magnetic charges of the state  $q_{\pm 1/2} - X_{\mp 1/2}$  representing the physical quark would not vanish and magnetic confinement would accompany also color confinement. This would explain why free quarks are not observed. To how degree then quark confinement corresponds to magnetic confinement is an interesting question.

For quark and antiquark of meson the magnetic charges of quark and antiquark would be opposite and meson would correspond to a Kähler magnetic flux so that a stringy view about meson emerges. For valence quarks of baryon the vanishing of the net magnetic charge takes place provided that the magnetic net charges are  $(\pm 2, \mp 1, \mp 1)$ . This brings in mind the spectrum of color hyper charges coming as  $(\pm 2, \mp 1, \mp 1)/3$  and one can indeed ask whether color hyper-charge correlates with the Kähler magnetic charge. The geometric picture would be three strings connected to single vertex. Amusingly, the idea that color hypercharge could be proportional to color hyper charge popped up during the first year of TGD when I had not yet discovered  $CP_2$  and believed on  $M^4 \times S^2$ .

p-Adic length scale hypothesis and hierarchy of Planck constants defining a hierarchy of dark variants of particles suggest the existence of scaled up copies of QCD type physics and weak physics. For p-adically scaled up variants the mass scales would be scaled by a power of  $\sqrt{2}$  in the most general case. The dark variants of the particle would have the same mass as the original one. In particular, Mersenne primes  $M_k = 2^k - 1$  and Gaussian Mersennes  $M_{G,k} = (1 + i)^k - 1$  has been proposed to define zoomed copies of these physics. At the level of magnetic confinement this would mean hierarchy of length scales for the magnetic confinement.

One particular proposal is that the Mersenne prime  $M_{89}$  should define a scaled up variant of the ordinary hadron physics with mass scaled up roughly by a factor  $2^{(107-89)/2} = 512$ . The size scale of color confinement for this physics would be same as the weak length scale. It would look more natural that the weak confinement for the quarks of  $M_{89}$  physics takes place in some shorter scale and  $M_{61}$  is the first Mersenne prime to be considered. The mass scale of  $M_{61}$  weak bosons would be by a factor  $2^{(89-61)/2} = 2^{14}$  higher and about  $1.6 \times 10^4$  TeV.  $M_{89}$  quarks would have virtually no weak interactions but would possess color interactions with weak confinement length scale reflecting themselves as new kind of jets at collisions above TeV energies.

In the biologically especially important length scale range 10 nm -2500 nm there are as many as four Gaussian Mersennes corresponding to  $M_{G,k}$ ,  $k = 151, 157, 163, 167$ . This would suggest that the existence of scaled up scales of magnetic-, weak- and color confinement. An especially interesting possibly testable prediction is the existence of magnetic monopole pairs with the size scale in this range. There are recent claims about experimental evidence for magnetic monopole pairs [108].

### Magnetic confinement and stringy picture in TGD sense

The connection between magnetic confinement and weak confinement is rather natural if one recalls that electric-magnetic duality in super-symmetric quantum field theories means that the descriptions in terms of particles and monopoles are in some sense dual descriptions. Fermions would be replaced by string like objects defined by the magnetic flux tubes and bosons as pairs of wormhole contacts would correspond to pairs of the flux tubes. Therefore the sharp distinction between gravitons and physical particles would disappear.

The reason why gravitons are necessarily stringy objects formed by a pair of wormhole contacts is that one cannot construct spin two objects using only single fermion states at wormhole throats. Of course, also super partners of these states with higher spin obtained by adding fermions and anti-



fermions at the wormhole throat but these do not give rise to graviton like states [18]. The upper and lower wormhole throat pairs would be quantum superpositions of fermion anti-fermion pairs with sum over all fermions. The reason is that otherwise one cannot realize graviton emission in terms of joining of the ends of light-like 3-surfaces together. Also now magnetic monopole charges are necessary but now there is no need to assign the entities  $X_{\pm}$  with gravitons.

Graviton string is characterized by some p-adic length scale and one can argue that below this length scale the charges of the fermions become visible. Mersenne hypothesis suggests that some Mersenne prime is in question. One proposal is that gravitonic size scale is given by electronic Mersenne prime  $M_{127}$ . It is however difficult to test whether graviton has a structure visible below this length scale.

What happens to the generalized Feynman diagrams is an interesting question. It is not at all clear how closely they relate to ordinary Feynman diagrams. All depends on what one is ready to assume about what happens in the vertices. One could of course hope that zero energy ontology could allow some very simple description allowing perhaps to get rid of the problematic aspects of Feynman diagrams.

1. Consider first the recent view about generalized Feynman diagrams which relies zero energy ontology. A highly attractive assumption is that the particles appearing at wormhole throats are on mass shell particles. For incoming and outgoing elementary bosons and their super partners they would be positive it resp. negative energy states with parallel on mass shell momenta. For virtual bosons they the wormhole throats would have opposite sign of energy and the sum of on mass shell states would give virtual net momenta. This would make possible twistor description of virtual particles allowing only massless particles (in 4-D sense usually and in 8-D sense in TGD framework). The notion of virtual fermion makes sense only if one assumes in the interaction region a topological condensation creating another wormhole throat having no fermionic quantum numbers.
2. The addition of the particles  $X^{\pm}$  replaces generalized Feynman diagrams with the analogs of stringy diagrams with lines replaced by pairs of lines corresponding to fermion and  $X_{\pm 1/2}$ . The members of these pairs would correspond to 3-D light-like surfaces glued together at the vertices of generalized Feynman diagrams. The analog of 3-vertex would not be splitting of the string to form shorter strings but the replication of the entire string to form two strings with same length or fusion of two strings to single string along all their points rather than along ends to form a longer string. It is not clear whether the duality symmetry of stringy diagrams can hold true for the TGD variants of stringy diagrams.
3. How should one describe the bound state formed by the fermion and  $X^{\pm}$ ? Should one describe the state as superposition of non-parallel on mass shell states so that the composite state would be automatically massive? The description as superposition of on mass shell states does not conform with the idea that bound state formation requires binding energy. In TGD framework the notion of negentropic entanglement has been suggested to make possible the analogs of bound states consisting of on mass shell states so that the binding energy is zero [49]. If this kind of states are in question the description of virtual states in terms of on mass shell states is not lost. Of course, one cannot exclude the possibility that there is infinite number of this kind of states serving as analogs for the excitations of string like object.
4. What happens to the states formed by fermions and  $X_{\pm 1/2}$  in the internal lines of the Feynman diagram? Twistor philosophy suggests that only the higher on mass shell excitations are possible. If this picture is correct, the situation would not change in an essential manner from the earlier one.

The highly non-trivial prediction of the magnetic confinement is that elementary particles should have stringy character in electro-weak length scales and could behaving to become manifest at LHC energies. This adds one further item to the list of non-trivial predictions of TGD about physics at LHC energies [26].

#### Should $J + J_1$ appear in Kähler action?

The presence of the  $S^2$  Kähler form  $J_1$  in weak form of electric-magnetic duality was originally suggested by an erratic argument about the reduction to almost topological QFT to be described in

the next subsection. In any case this argument raises the question whether one could replace  $J$  with  $J + J_1$  in the Kähler action. This would not affect the basic non-vacuum extremals but would modify the vacuum degeneracy of the Kähler action. Canonically imbedded  $M^4$  would become a monopole configuration with an infinite magnetic energy and Kähler action due to the monopole singularity at the line connecting tips of the  $CD$ . Action and energy can be made small by drilling a small hole around origin. This is however not consistent with the weak form of electro-weak duality. Amusingly, the modified Dirac equation reduces to ordinary massless Dirac equation in  $M^4$ .

This extremal can be transformed to a vacuum extremal by assuming that the solution is also a  $CP_2$  magnetic monopole with opposite contribution to the magnetic charge so that  $J + J_1 = 0$  holds true. This is achieved if one can regard space-time surface as a map  $M^4 \rightarrow CP_2$  reducing to a map  $(\Theta, \Phi) = (\theta, \pm\phi)$  with the sign chosen by properly projecting the homologically non-trivial  $r_M = \text{constant}$  spheres of  $CD$  to the homologically non-trivial geodesic sphere of  $CP_2$ . Symplectic transformations of  $S^2 \times CP_2$  produce new vacuum extremals of this kind. Using Darboux coordinates in which one has  $J = \sum_{k=1,2} P_k dQ^k$  and assuming that  $(P_1, Q_1)$  corresponds to the  $CP_2$  image of  $S^2$ , one can take  $Q_2$  to be arbitrary function of  $P^2$  which in turn is an arbitrary function of  $M^4$  coordinates to obtain even more general vacuum extremals with 3-D  $CP_2$  projection. Therefore the spectrum of vacuum extremals, which is very relevant for the TGD based description of gravitation in long length scales because it allows to satisfy Einstein's equations as an additional condition, looks much richer than for the original option, and it is natural to ask whether this option might make sense.

An objection is that  $J_1$  is a radial monopole field and this breaks Lorentz invariance to  $SO(3)$ . Lorentz invariance is broken to  $SO(3)$  for a given  $CD$  also by the presence of the preferred time direction defined by the time-like line connecting the tips of the  $CD$  becoming carrying the monopole charge but is compensated since Lorentz boosts of  $CD$ s are possible. Could one consider similar compensation also now? Certainly the extremely small breaking of Lorentz invariance and the vanishing of the monopole charge for the vacuum extremals is all that is needed at the space-time level. No new gauge fields would be introduced since only the Kähler field part of photon and  $Z^0$  boson would receive an additional contribution.

The ultimate fate of the modification depends on whether it is consistent with the general relativistic description of gravitation. Since a breaking of spherical symmetry is involved, it is not at all clear whether one can find vacuum extremals which represent small deformations of the Reissner-Nordström metric and Robertson-Walker metric. The argument below shows that this option does not allow the imbedding of small deformations of physically plausible space-time metrics as vacuum extremals.

The basic vacuum extremal whose deformations should give vacuum extremals allowing interpretation as solutions of Einstein's equations is given by a map  $M^4 \rightarrow CP_2$  projecting the  $r_M$  constant spheres  $S^2$  of  $M^2$  to the homologically non-trivial geodesic sphere of  $CP_2$ . The winding number of this map is  $-1$  in order to achieve vanishing of the induced Kähler form  $J + J_1$ . For instance, the following two canonical forms of the map are possible

$$\begin{aligned} (\Theta, \Psi) &= (\theta_M, -\phi_M) , \\ (\Theta, \Psi) &= (\pi - \theta_M, \phi_M) . \end{aligned} \tag{13.4.8}$$

Here  $(\Theta, \Psi)$  refers to the geodesic sphere of  $CP_2$  and  $(\theta_M, \phi_M)$  to the sphere of  $M^4$ .

The resulting space-time surface is not flat and Einstein tensor is non-vanishing. More complex metrics can be constructed from this metric by a deformation making the  $CP_2$  projection 3-dimensional.

Using the expression of the  $CP_2$  line element in Eguchi-Hanson coordinates [56]

$$\frac{ds^2}{R^2} = \frac{dr^2}{F^2} + \frac{r^2}{F} (d\Psi + \cos\Theta d\Phi)^2 + \frac{r^2}{4F} (d\Theta^2 + \text{frac}{r^2} 4F \sin^2\Theta d\Phi^2) \tag{13.4.9}$$

and s the relationship  $r = \tan(\Theta)$ , one obtains following expression for the  $CP_2$  metric

$$\frac{ds^2}{R^2} = d\theta_M^2 + \sin^2(\theta_M) \left[ (d\phi_M + \cos(\theta)d\Phi)^2 + \frac{1}{4}(d\theta^2 + \sin^2(\theta)d\Phi^2) \right]. \quad (13.4.10)$$

The resulting metric is obtained from the metric of  $S^2$  by replacing  $d\phi^2$  which 3-D line element. The factor  $\sin^2(\theta_M)$  implies that the induced metric becomes singular at North and South poles of  $S^2$ . In particular, the gravitational potential is proportional to  $\sin^2(\theta_M)$  so that gravitational force in the radial direction vanishes at equators. It is very difficult to imagine any manner to produce a small deformation of Reissner-Nordström metric or Robertson-Walker metric. Hence it seems that the vacuum extremals produce by  $J + J_1$  option are not physical.

### 13.4.3 Could Quantum TGD reduce to almost topological QFT?

There seems to be a profound connection with the earlier unrealistic proposal that TGD reduces to almost topological quantum theory in the sense that the counterpart of Chern-Simons action assigned with the wormhole throats somehow dictates the dynamics. This proposal can be formulated also for the modified Dirac action action. I gave up this proposal but the following argument shows that Kähler action with weak form of electric-magnetic duality effectively reduces to Chern-Simons action plus Coulomb term.

1. Kähler action density can be written as a 4-dimensional integral of the Coulomb term  $j_K^\alpha A_\alpha$  plus and integral of the boundary term  $J^{n\beta} A_\beta \sqrt{g_4}$  over the wormhole throats and of the quantity  $J^{0\beta} A_\beta \sqrt{g_4}$  over the ends of the 3-surface.
2. If the self-duality conditions generalize to  $J^{n\beta} = 4\pi\alpha_K \epsilon^{n\beta\gamma\delta} J_{\gamma\delta}$  at throats and to  $J^{0\beta} = 4\pi\alpha_K \epsilon^{0\beta\gamma\delta} J_{\gamma\delta}$  at the ends, the Kähler function reduces to the counterpart of Chern-Simons action evaluated at the ends and throats. It would have same value for each branch and the replacement  $\hbar_0 \rightarrow r\hbar_0$  would effectively describe this. Boundary conditions would however give  $1/r$  factor so that  $\hbar$  would disappear from the Kähler function! The original attempt to realize quantum TGD as an almost topological QFT was in terms of Chern-Simons action but was given up. It is somewhat surprising that Kähler action gives Chern-Simons action in the vacuum sector defined as sector for which Kähler current is light-like or vanishes.

Holography encourages to ask whether also the Coulomb interaction terms could vanish. This kind of dimensional reduction would mean an enormous simplification since TGD would reduce to an almost topological QFT. The attribute "almost" would come from the fact that one has non-vanishing classical Noether charges defined by Kähler action and non-trivial quantum dynamics in  $M^4$  degrees of freedom. One could also assign to space-time surfaces conserved four-momenta which is not possible in topological QFTs. For this reason the conditions guaranteeing the vanishing of Coulomb interaction term deserve a detailed analysis.

1. For the known extremals  $j_K^\alpha$  either vanishes or is light-like ("massless extremals" for which weak self-duality condition does not make sense [29]) so that the Coulombic term vanishes identically in the gauge used. The addition of a gradient to  $A$  induces terms located at the ends and wormhole throats of the space-time surface but this term must be cancelled by the other boundary terms by gauge invariance of Kähler action. This implies that the  $M^4$  part of WCW metric vanishes in this case. Therefore massless extremals as such are not physically realistic: wormhole throats representing particles are needed.
2. The original naive conclusion was that since Chern-Simons action depends on  $CP_2$  coordinates only, its variation with respect to Minkowski coordinates must vanish so that the WCW metric would be trivial in  $M^4$  degrees of freedom. This conclusion is in conflict with quantum classical correspondence and was indeed too hasty. The point is that the allowed variations of Kähler function must respect the weak electro-magnetic duality which relates Kähler electric field depending on the induced 4-metric at 3-surface to the Kähler magnetic field. Therefore the dependence on  $M^4$  coordinates creeps via a Lagrange multiplier term

$$\int \Lambda_\alpha (J^{n\alpha} - K \epsilon^{n\alpha\beta\gamma} J_{\beta\gamma}) \sqrt{g_4} d^3x . \quad (13.4.11)$$

The (1,1) part of second variation contributing to  $M^4$  metric comes from this term.

3. This erratic conclusion about the vanishing of  $M^4$  part WCW metric raised the question about how to achieve a non-trivial metric in  $M^4$  degrees of freedom. The proposal was a modification of the weak form of electric-magnetic duality. Besides  $CP_2$  Kähler form there would be the Kähler form assignable to the light-cone boundary reducing to that for  $r_M = \text{constant}$  sphere - call it  $J^1$ . The generalization of the weak form of self-duality would be  $J^{n\beta} = \epsilon^{n\beta\gamma\delta} K (J_{\gamma\delta} + \epsilon J_{\gamma\delta}^1)$ . This form implies that the boundary term gives a non-trivial contribution to the  $M^4$  part of the WCW metric even without the constraint from electric-magnetic duality. Kähler charge is not affected unless the partonic 2-surface contains the tip of  $CD$  in its interior. In this case the value of Kähler charge is shifted by a topological contribution. Whether this term can survive depends on whether the resulting vacuum extremals are consistent with the basic facts about classical gravitation.
4. The Coulombic interaction term is not invariant under gauge transformations. The good news is that this might allow to find a gauge in which the Coulomb term vanishes. The vanishing condition fixing the gauge transformation  $\phi$  is

$$j_K^\alpha \partial_\alpha \phi = -j^\alpha A_\alpha . \quad (13.4.12)$$

This differential equation can be reduced to an ordinary differential equation along the flow lines  $j_K$  by using  $dx^\alpha/dt = j_K^\alpha$ . Global solution is obtained only if one can combine the flow parameter  $t$  with three other coordinates- say those at the either end of  $CD$  to form space-time coordinates. The condition is that the parameter defining the coordinate differential is proportional to the covariant form of Kähler current:  $dt = \phi j_K$ . This condition in turn implies  $d^2t = d(\phi j_K) = d\phi \wedge j_K + \phi dj_K = 0$  implying  $j_K \wedge dj_K = 0$  or more concretely,

$$\epsilon^{\alpha\beta\gamma\delta} j_\beta^K \partial_\gamma j_\delta^K = 0 . \quad (13.4.13)$$

$j_K$  is a four-dimensional counterpart of Beltrami field [62] and could be called generalized Beltrami field.

The integrability conditions follow also from the construction of the extremals of Kähler action [29]. The conjecture was that for the extremals the 4-dimensional Lorentz force vanishes (no dissipation): this requires  $j_K \wedge J = 0$ . One manner to guarantee this is the topologization of the Kähler current meaning that it is proportional to the instanton current:  $j_K = \phi j_I$ , where  $j_I = *(J \wedge A)$  is the instanton current, which is not conserved for 4-D  $CP_2$  projection. The conservation of  $j_K$  implies the condition  $j_I^\alpha \partial_\alpha \phi = \partial_\alpha j^\alpha \phi$  and from this  $\phi$  can be integrated if the integrability condition  $j_I \wedge dj_I = 0$  holds true implying the same condition for  $j_K$ . By introducing at least 3 or  $CP_2$  coordinates as space-time coordinates, one finds that the contravariant form of  $j_I$  is purely topological so that the integrability condition fixes the dependence on  $M^4$  coordinates and this selection is coded into the scalar function  $\phi$ . These functions define families of conserved currents  $j_K^\alpha \phi$  and  $j_I^\alpha \phi$  and could be also interpreted as conserved currents associated with the critical deformations of the space-time surface.

5. There are gauge transformations respecting the vanishing of the Coulomb term. The vanishing condition for the Coulomb term is gauge invariant only under the gauge transformations  $A \rightarrow A + \nabla\phi$  for which the scalar function the integral  $\int j_K^\alpha \partial_\alpha \phi$  reduces to a total divergence a giving an integral over various 3-surfaces at the ends of  $CD$  and at throats vanishes. This is satisfied if the allowed gauge transformations define conserved currents

$$D_\alpha(j^\alpha\phi) = 0 . \quad (13.4.14)$$

As a consequence Coulomb term reduces to a difference of the conserved charges  $Q_\phi^e = \int j^0\phi\sqrt{g_4}d^3x$  at the ends of the CD vanishing identically. The change of the imons type term is trivial if the total weighted Kähler magnetic flux  $Q_\phi^m = \sum \int J\phi dA$  over wormhole throats is conserved. The existence of an infinite number of conserved weighted magnetic fluxes is in accordance with the electric-magnetic duality. How these fluxes relate to the flux Hamiltonians central for WCW geometry is not quite clear.

6. The gauge transformations respecting the reduction to almost topological QFT should have some special physical meaning. The measurement interaction term in the modified Dirac interaction corresponds to a critical deformation of the space-time sheet and is realized as an addition of a gauge part to the Kähler gauge potential of  $CP_2$ . It would be natural to identify this gauge transformation giving rise to a conserved charge so that the conserved charges would provide a representation for the charges associated with the infinitesimal critical deformations not affecting Kähler action. The gauge transformed Kähler potential couples to the modified Dirac equation and its effect could be visible in the value of Kähler function and therefore also in the properties of the preferred extremal. The effect on WCW metric would however vanish since  $K$  would transform only by an addition of a real part of a holomorphic function. Kähler function is identified as a Dirac determinant for Chern-Simons Dirac action and the spectrum of this operator should not be invariant under these gauge transformations if this picture is correct. This is achieved if the gauge transformation is carried only in the Dirac action corresponding to the Chern-Simons term: this assumption is motivated by the breaking of time reversal invariance induced by quantum measurements. The modification of Kähler action can be guessed to correspond just to the Chern-Simons contribution from the instanton term.
7. A reasonable looking guess for the explicit realization of the quantum classical correspondence between quantum numbers and space-time geometry is that the deformation of the preferred extremal due to the addition of the measurement interaction term is induced by a  $U(1)$  gauge transformation induced by a transformation of  $\delta CD \times CP_2$  generating the gauge transformation represented by  $\phi$ . This interpretation makes sense if the fluxes defined by  $Q_\phi^m$  and corresponding Hamiltonians affect only zero modes rather than quantum fluctuating degrees of freedom.

To sum up, one could understand the basic properties of WCW metric in this framework. Effective 2-dimensionality would result from the existence of an infinite number of conserved charges in two different time directions (genuine conservation laws plus gauge fixing). The infinite-dimensional symmetric space for given values of zero modes corresponds to the Cartesian product of the WCWs associated with the partonic 2-surfaces at both ends of  $CD$  and the generalized Chern-Simons term decomposes into a sum of terms from the ends giving single particle Kähler functions and to the terms from light-like wormhole throats giving interaction term between positive and negative energy parts of the state. Hence Kähler function could be calculated without any knowledge about the interior of the space-time sheets and TGD would reduce to almost topological QFT as speculated earlier. Needless to say this would have immense boost to the program of constructing WCW Kähler geometry.

#### 13.4.4 A general solution ansatz based on almost topological QFT property

The basic vision behind the ansatz is the reduction of quantum TGD to almost topological field theory. This requires that the flow parameters associated with the flow lines of isometry currents and Kähler current extend to global coordinates. This leads to integrability conditions implying generalized Beltrami flow and Kähler action for the preferred extremals reduces to Chern-Simons action when weak electro-weak duality is applied as boundary conditions. The strongest form of the hydrodynamical interpretation requires that all conserved currents are parallel to Kähler current. In the more general case one would have several hydrodynamic flows. Also the braidings (several of them for the most general ansatz) assigned with the light-like 3-surfaces are naturally defined by the flow lines of conserved currents. The independent behavior of particles at different flow lines can be seen

as a realization of the complete integrability of the theory. In free quantum field theories on mass shell Fourier components are in a similar role but the geometric interpretation in terms of flow is of course lacking. This picture should generalize also to the solution of the modified Dirac equation.

### Basic field equations

Consider first the equations at general level.

1. The breaking of the Poincare symmetry due to the presence of monopole field occurs and leads to the isometry group  $T \times SO(3) \times SU(3)$  corresponding to time translations, rotations, and color group. The Cartan algebra is four-dimensional and field equations reduce to the conservation laws of energy  $E$ , angular momentum  $J$ , color isospin  $I_3$ , and color hypercharge  $Y$ .
2. Quite generally, one can write the field equations as conservation laws for  $I, J, I_3$ , and  $Y$ .

$$D_\alpha [D_\beta (J^{\alpha\beta} H_A) - j_K^\alpha H^A + T^{\alpha\beta} j_A^l h_{kl} \partial_\beta h^l] = 0 . \quad (13.4.15)$$

The first term gives a contraction of the symmetric Ricci tensor with antisymmetric Kähler form and vanishes so that one has

$$D_\alpha [j_K^\alpha H^A - T^{\alpha\beta} j_A^k h_{kl} \partial_\beta h^l] = 0 . \quad (13.4.16)$$

For energy one has  $H_A = 1$  and energy current associated with the flow lines is proportional to the Kähler current. Its divergence vanishes identically.

3. One can express the divergence of the term involving energy momentum tensor as as sum of terms involving  $j_K^\alpha J_{\alpha\beta}$  and contraction of second fundamental form with energy momentum tensor so that one obtains

$$j_K^\alpha D_\alpha H^A = j_K^\alpha J_{\alpha\beta} j_\beta^A + T^{\alpha\beta} H_{\alpha\beta}^k j_k^A . \quad (13.4.17)$$

### Hydrodynamical solution ansatz

The characteristic feature of the solution ansatz would be the reduction of the dynamics to hydrodynamics analogous to that for a continuous distribution of particles initially at the end of  $X^3$  of the light-like 3-surface moving along flow lines defined by currents  $j_A$  satisfying the integrability condition  $j_A \wedge dj_A = 0$ . Field theory would reduce effectively to particle mechanics along flow lines with conserved charges defined by various isometry currents. The strongest condition is that all isometry currents  $j_A$  and also Kähler current  $j_K$  are proportional to the same current  $j$ . The more general option corresponds to multi-hydrodynamics.

Conserved currents are analogous to hydrodynamical currents in the sense that the flow parameter along flow lines extends to a global space-time coordinate. The conserved current is proportional to the gradient  $\nabla\Phi$  of the coordinate varying along the flow lines:  $J = \Psi\nabla\Phi$  and by a proper choice of  $\Psi$  one can allow to have conservation. The initial values of  $\Psi$  and  $\Phi$  can be selected freely along the flow lines beginning from either the end of the space-time surface or from wormhole throats.

If one requires hydrodynamics also for Chern-Simons action (effective 2-dimensionality is required for preferred extremals), the initial values of scalar functions can be chosen freely only at the partonic 2-surfaces. The freedom to chose the initial values of the charges conserved along flow lines at the partonic 2-surfaces means the existence of an infinite number of conserved charges so that the theory would be integrable and even in two different coordinate directions. The basic difference as compared to ordinary conservation laws is that the conserved currents are parallel and their flow parameter extends to a global coordinate.

1. The most general assumption is that the conserved isometry currents

$$J_A^\alpha = j_K^\alpha H^A - T^{\alpha\beta} j_A^k h_{kl} \partial_\beta h^l \quad (13.4.18)$$

and Kähler current are integrable in the sense that  $J_A \wedge J_A = 0$  and  $j_K \wedge j_K = 0$  hold true. One could imagine the possibility that the currents are not parallel.

2. The integrability condition  $dJ_A \wedge J_A = 0$  is satisfied if one one has

$$J_A = \Psi_A d\Phi_A . \quad (13.4.19)$$

The conservation of  $J_A$  gives

$$d * (\Psi_A d\Phi_A) = 0 . \quad (13.4.20)$$

This would mean separate hydrodynamics for each of the currents involved. In principle there is not need to assume any further conditions and one can imagine infinite basis of scalar function pairs  $(\Psi_A, \Phi_A)$  since criticality implies infinite number deformations implying conserved Noether currents.

3. The conservation condition reduces to d'Alembert equation in the induced metric if one assumes that  $\nabla\Psi_A$  is orthogonal with every  $d\Phi_A$ .

$$d * d\Phi_A = 0 , \quad d\Psi_A \cdot d\Phi_A = 0 . \quad (13.4.21)$$

Taking  $x = \Phi_A$  as a coordinate the orthogonality condition states  $g^{xj} \partial_j \Psi_A = 0$  and in the general case one cannot solve the condition by simply assuming that  $\Psi_A$  depends on the coordinates transversal to  $\Phi_A$  only. These conditions bring in mind  $p \cdot p = 0$  and  $p \cdot e$  condition for massless modes of Maxwell field having fixed momentum and polarization.  $d\Phi_A$  would correspond to  $p$  and  $d\Psi_A$  to polarization. The condition that each isometry current corresponds its own pair  $(\Psi_A, \Phi_A)$  would mean that each isometry current corresponds to independent light-like momentum and polarization. Ordinary free quantum field theory would support this view whereas hydrodynamics and QFT limit of TGD would support single flow.

These are the most general hydrodynamical conditions that one can assume. One can consider also more restricted scenarios.

1. The strongest ansatz is inspired by the hydrodynamical picture in which all conserved isometry charges flow along same flow lines so that one would have

$$J_A = \Psi_A d\Phi . \quad (13.4.22)$$

In this case same  $\Phi$  would satisfy simultaneously the d'Alembert type equations.

$$d * d\Phi = 0 , \quad d\Psi_A \cdot d\Phi = 0. \quad (13.4.23)$$

This would mean that the massless modes associated with isometry currents move in parallel manner but can have different polarizations. The spinor modes associated with light-light like 3-surfaces carry parallel four-momenta, which suggest that this option is correct. This allows a very general family of solutions and one can have a complete 3-dimensional basis of functions  $\Psi_A$  with gradient orthogonal to  $d\Phi$ .

2. Isometry invariance under  $T \times SO(3) \times SU(3)$  allows to consider the possibility that one has

$$J_A = k_A \Psi_A d\Phi_{G(A)} , \quad d*(d\Phi_{G(A)}) = 0 , \quad d\Psi_A \cdot d\Phi_{G(A)} = 0 . \quad (13.4.24)$$

where  $G(A)$  is  $T$  for energy current,  $SO(3)$  for angular momentum currents and  $SU(3)$  for color currents. Energy would thus flow along its own flux lines, angular momentum along its own flow lines, and color quantum numbers along their own flow lines. For instance, color currents would differ from each other only by a numerical constant. The replacement of  $\Psi_A$  with  $\Psi_{G(A)}$  would be too strong a condition since Killing vector fields are not related by a constant factor.

To sum up, the most general option is that each conserved current  $J_A$  defines its own integrable flow lines defined by the scalar function pair  $(\Psi_A, \Phi_A)$ . A complete basis of scalar functions satisfying the d'Alembert type equation guaranteeing current conservation could be imagined with restrictions coming from the effective 2-dimensionality reducing the scalar function basis effectively to the partonic 2-surface. The diametrically opposite option corresponds to the basis obtained by assuming that only single  $\Phi$  is involved.

The proposed solution ansatz can be compared to the earlier ansatz [17] stating that Kähler current is topologized in the sense that for  $D(CP_2) = 3$  it is proportional to the identically conserved instanton current (so that 4-D Lorentz force vanishes) and vanishes for  $D(CP_2) = 4$  (Maxwell phase). This hypothesis requires that instanton current is Beltrami field for  $D(CP_2) = 3$ . In the recent case the assumption that also instanton current satisfies the Beltrami hypothesis in strong sense (single function  $\Phi$ ) generalizes the topologization hypothesis for  $D(CP_2) = 3$ . As a matter fact, the topologization hypothesis applies to isometry currents also for  $D(CP_2) = 4$  although instanton current is not conserved anymore.

### Can one require the extremal property in the case of Chern-Simons action?

Effective 2-dimensionality is achieved if the ends and wormhole throats are extremals of Chern-Simons action. The strongest condition would be that space-time surfaces allow orthogonal slicings by 3-surfaces which are extremals of Chern-Simons action.

Also in this case one can require that the flow parameter associated with the flow lines of the isometry currents extends to a global coordinate. Kähler magnetic field  $B = *J$  defines a conserved current so that all conserved currents would flow along the field lines of  $B$  and one would have 3-D Beltrami flow. Note that in magnetohydrodynamics the standard assumption is that currents flow along the field lines of the magnetic field.

For wormhole throats light-likeness causes some complications since the induced metric is degenerate and the contravariant metric must be restricted to the complement of the light-like direction. This means that d'Alembert equation reduces to 2-dimensional Laplace equation. For space-like 3-surfaces one obtains the counterpart of Laplace equation with partonic 2-surfaces serving as sources. The interpretation in terms of analogs of Coulomb potentials created by 2-D charge distributions would be natural.

### 13.4.5 Hydrodynamic picture in fermionic sector

Super-symmetry inspires the conjecture that the hydrodynamical picture applies also to the solutions of the modified Dirac equation.

#### 4-dimensional modified Dirac equation and hydrodynamical picture

Consider first the solutions of of the induced spinor field in the interior of space-time surface.

1. The local inner products of the modes of the induced spinor fields define conserved currents

$$\begin{aligned} D_\alpha J_{mn}^\alpha &= 0 , \\ J_{mn}^\alpha &= \bar{u}_m \hat{\Gamma}^\alpha u_n , \\ \hat{\Gamma}^\alpha &= \frac{\partial L_K}{\partial(\partial_\alpha h^k)} \Gamma_k . \end{aligned} \quad (13.4.25)$$



The conjecture is that the flow parameters of also these currents extend to a global coordinate so that one would have in the completely general case the condition

$$\begin{aligned} J_{mn}^\alpha &= \Phi_{mn} d\Psi_{mn} \ , \\ d * (d\Phi_{mn}) &= 0 \ , \ \nabla \Psi_{mn} \cdot \Phi_{mn} = 0 \ . \end{aligned} \tag{13.4.26}$$

The condition  $\Phi_{mn} = \Phi$  would mean that the massless modes propagate in parallel manner and along the flow lines of Kähler current. The conservation condition along the flow line implies tht the current component  $J_{mn}$  is constant along it. Everything would reduce to initial values at the ends of the space-time sheet boundaries of  $CD$  and 3-D modified Dirac equation would reduce everything to initial values at partonic 2-surfaces.

2. One might hope that the conservation of these super currents for all modes is equivalent with the modified Dirac equation. The modes  $u_n$  appearing in  $\Psi$  in quantized theory would be kind of "square roots" of the basis  $\Phi_{mn}$  and the challenge would be to deduce the modes from the conservation laws.
3. The quantization of the induced spinor field in 4-D sense would be fixed by those at 3-D space-like ends by the fact that the oscillator operators are carried along the flow lines as such so that the anti-commutator of the induced spinor field at the opposite ends of the flow lines at the light-like boundaries of  $CD$  is in principle fixed by the anti-commutations at the either end. The anti-commutations at 3-D surfaces cannot be fixed freely since one has 3-D Chern-Simons flow reducing the anti-commutations to those at partonic 2-surfaces.

The following argument suggests that induced spinor fields are in a suitable gauge simply constant along the flow lines of the Kähler current just as massless spinor modes are constant along the geodesic in the direction of momentum.

1. The modified gamma matrices are of form  $T_k^\alpha \Gamma^k$ ,  $T_k^\alpha = \partial L_K / \partial (\partial_\alpha h^k)$ . The H-vectors  $T_k^\alpha$  can be expressed as linear combinations of a subset of Killing vector fields  $j_A^k$  spanning the tangent space of  $H$ . For  $CP_2$  the natural choice are the 4 Lie-algebra generators in the complement of  $U(2)$  sub-algebra. For  $CD$  one can used generator time translation and three generators of rotation group  $SO(3)$ . The completeness of the basis defined by the subset of Killing vector fields gives completeness relation  $h_l^k = j^{Ak} j_{Ak}$ . This implies  $T^{\alpha k} = T^{\alpha k} j_A^k j_A^k = T^{\alpha A} j_A^k$ . One can defined gamma matrices  $\Gamma_A$  as  $\Gamma_k j_A^k$  to get  $T_k^\alpha \Gamma^k = T^{\alpha A} \Gamma_A$ .
2. This together with the condition that all isometry currents are proportional to the Kähler current (or if this vanishes to same conserved current- say energy current) satisfying Beltrami flow property implies that one can reduce the modified Dirac equation to an ordinary differential equation along flow lines. The quantities  $T^{tA}$  are constant along the flow lines and one obtains

$$T^{tA} j_A D_t \Psi = 0 \ . \tag{13.4.27}$$

By choosing the gauge suitably the spinors are just constant along flow lines so that the spinor basis reduces by effective 2-dimensionality to a complete spinor basis at partonic 2-surfaces.

**Generalized eigen modes for the modified Chern-Simons Dirac equation and hydrodynamical picture**

Hydrodynamical picture helps to understand also the construction of generalized eigen modes of 3-D Chern-Simons Dirac equation.

*The general form of generalized eigenvalue equation for Chern-Simons Dirac action*

Consider first the the general form and interpretation of the generalized eigenvalue equation assigned with the modified Dirac equation for Chern-Simons action [31]. This is of course only an approximation since an additional contribution to the modified gamma matrices from the Lagrangian multiplier term guaranteing the weak form of electric-magnetic duality must be included.

1. The modified Dirac equation for  $\Psi$  is consistent with that for its conjugate if the coefficient of the instanton term is real and one uses the Dirac action  $\bar{\Psi}(D^\rightarrow - D^\leftarrow)\Psi$  giving modified Dirac equation as

$$D_{C-S}\Psi + \frac{1}{2}(D_\alpha \hat{\Gamma}_{C-S}^\alpha)\Psi = 0 . \quad (13.4.28)$$

As noticed, the divergence  $D_\alpha \hat{\Gamma}_{C-S}^\alpha$  does not contain second derivatives in the case of Chern-Simons action. In the case of Kähler action they occur unless field equations equivalent with the vanishing of the divergence term are satisfied. The extremals of Chern-Simons action provide a natural manner to define effective 2-dimensionality.

Also the fermionic current is conserved in this case, which conforms with the idea that fermions flow along the light-like 3-surfaces. If one uses the action  $\bar{\Psi}D^\rightarrow\Psi$ ,  $\bar{\Psi}$  does not satisfy the Dirac equation following from the variational principle and fermion current is not conserved.

2. The generalized eigen modes of  $D_{C-S}$  should be such that one obtains the counterpart of Dirac propagator which is purely algebraic and does not therefore depend on the coordinates of the throat. This is satisfied if the generalized eigenvalues are expressible in terms of covariantly constant combinations of gamma matrices and here only  $M^4$  gamma matrices are possible. Therefore the eigenvalue equation would read as

$$D\Psi = \lambda^k \gamma_k \Psi , \quad D = D_{C-S} + \frac{1}{2} D_\alpha \hat{\Gamma}_{C-S}^\alpha , \quad D_{C-S} = \hat{\Gamma}_{C-S}^\alpha D_\alpha . \quad (13.4.29)$$

Here the covariant derivatives  $D_\alpha$  contain the measurement interaction term as an apparent gauge term. For extremals one has

$$D = D_{C-S} . \quad (13.4.30)$$

Covariant constancy allows to take the square of this equation and one has

$$(D^2 + [D, \lambda^k \gamma_k])\Psi = \lambda^k \lambda_k \Psi . \quad (13.4.31)$$

The commutator term is analogous to magnetic moment interaction.

3. The generalized eigenvalues correspond to  $\lambda = \sqrt{\lambda^k \lambda_k}$  and Dirac determinant is defined as a product of the eigenvalues and conjecture to give the exponent of Kähler action reducing to Chern-Simons term.  $\lambda$  is completely analogous to mass.  $\lambda_k$  cannot be however interpreted as ordinary four-momentum: for instance, number theoretic arguments suggest that  $\lambda_k$  must be restricted to the preferred plane  $M^2 \subset M^4$  interpreted as a commuting hyper-complex plane of complexified quaternions. For incoming lines this mass would vanish so that all incoming particles irrespective their actual quantum numbers would be massless in this sense and the propagator is indeed that for a massless particle. Note that the eigen-modes define the boundary values for the solutions of  $D_K\Psi = 0$  so that the values of  $\lambda$  indeed define the counterpart of the momentum space.

This transmutation of massive particles to effectively massless ones might make possible the application of the twistor formalism as such in TGD framework [40].  $N = 4$  SUSY is one of the very few gauge theory which might be UV finite but it is definitely unphysical due to the masslessness of the basic quanta. Could the resolution of the interpretational problems be that the four-momenta appearing in this theory do not directly correspond to the observed four-momenta?

2. *Inclusion of the constraint term*

As already noticed one must include also the constraint term due to the weak form of electric-magnetic duality and this changes somewhat the above simple picture.

1. At the 3-dimensional ends of the space-time sheet and at wormhole throats the 3-dimensionality allows to introduce a coordinate varying along the flow lines of Kähler magnetic field  $B = *J$ . In this case the integrability conditions state that the flow is Beltrami flow. Note that the value of  $B^\alpha$  along the flow line defining magnetic flux appearing in anti-commutation relations is constant. This suggests that the generalized eigenvalue equation for the Chern-Simons action reduces to a collection of ordinary apparently independent differential equations associated with the flow lines beginning from the partonic 2-surface. This indeed happens when the  $CP_2$  projection is 2-dimensional. In this case it however seems that the basis  $u_n$  is not of much help.
2. The conclusion is wrong: the variations of Chern-Simons action are subject to the constraint that electric-magnetic duality holds true expressible in terms of Lagrange multiplier term

$$\int \Lambda_\alpha (J^{n\alpha} - K \epsilon^{n\alpha\beta\gamma} J_{\beta\gamma}) \sqrt{g_4} d^3x . \quad (13.4.32)$$

This gives a constraint force to the field equations and also a dependence on the induced 4-metric so that one has only almost topological QFT. This term also guarantees the  $M^4$  part of WCW Kähler metric is non-trivial. The condition that the ends of space-time sheet and wormhole throats are extrema of Chern-Simons action subject to the electric-magnetic duality constraint is strongly suggested by the effective 2-dimensionality. Without the constraint term Chern-Simons action would vanish for its extremals so that Kähler function would be identically zero.

This term implies also an additional contribution to the modified gamma matrices besides the contribution coming from Chern-Simons action so that the first guess for the modified Dirac operator would not be quite correct. This contribution is of exactly of the same general form as the contribution for any general coordinate invariant action. The dependence of the induced metric on  $M^4$  degrees of freedom guarantees that also  $M^4$  gamma matrices are present. In the following this term will not be considered.

3. When the contribution of the constraint term to the modified gamma matrices is neglected, the explicit expression of the modified Dirac operator  $D_{C-S}$  associated with the Chern-Simons term is given by

$$\begin{aligned} D &= \hat{\Gamma}^\mu D_\mu + \frac{1}{2} D_\mu \hat{\Gamma}^\mu , \\ \hat{\Gamma}^\mu &= \frac{\partial L_{C-S}}{\partial_\mu h^k} \Gamma_k = \epsilon^{\mu\alpha\beta} [2J_{kl} \partial_\alpha h^l A_\beta + J_{\alpha\beta} A_k] \Gamma^k D_\mu , \\ D_\mu \hat{\Gamma}^\mu &= B_K^\alpha (J_{k\alpha} + \partial_\alpha A_k) , \\ B_K^\alpha &= \epsilon^{\alpha\beta\gamma} J_{\beta\gamma} , \quad J_{k\alpha} = J_{kl} \partial_\alpha s^l , \quad \hat{\epsilon}^{\alpha\beta\gamma} = \epsilon^{\alpha\beta\gamma} \sqrt{g_3} . \end{aligned} \quad (13.4.33)$$

For the extremals of Chern-Simons action one has  $D_\alpha \hat{\Gamma}^\alpha = 0$ . Analogous condition holds true when the constraining contribution to the modified gamma matrices is added.

3. *Generalized eigenvalue equation for Chern-Simons Dirac action*

Consider now the Chern-Simons Dirac equation in more detail assuming that the inclusion of the constraint contribution to the modified gamma matrices does not induce any complications. Assume also extremal property for Chern-Simons action with constraint term and Beltrami flow property.

1. For the extremals the Chern-Simons Dirac operator (constraint term not included) reduces to a one-dimensional Dirac operator

$$D_{C-S} = \hat{\epsilon}^{r\alpha\beta} [2J_{k\alpha}A_\beta + J_{\alpha\beta}A_k] \Gamma^k D_r . \quad (13.4.34)$$

Constraint term implies only a modification of the modified gamma matrices but the form of the operator remains otherwise same when extrema are in question so that one has  $D_\alpha \hat{\Gamma}^\alpha = 0$ .

2. For the extremals of Chern-Simons action the general solution of the modified Chern-Simons Dirac equation ( $\lambda^k = 0$ ) is covariantly constant with respect to the coordinate  $r$ :

$$D_r \Psi = 0 . \quad (13.4.35)$$

The solution to this condition can be written immediately in terms of a non-integrable phase factor  $P \exp(i \int A_r dr)$ , where integration is along curve with constant transversal coordinates. If  $\hat{\Gamma}^v$  is light-like vector field also  $\hat{\Gamma}^v \Psi_0$  defines a solution of  $D_{C-S}$ . This solution corresponds to a zero mode for  $D_{C-S}$  and does not contribute to the Dirac determinant (suggested to give rise to the exponent of Kähler function identified as Kähler action). Note that the dependence of these solutions on transversal coordinates of  $X_l^3$  is arbitrary which conforms with the hydrodynamic picture. The solutions of Chern-Simons-Dirac are obtained by similar integration procedure also when extremals are not in question.

The formal solution associated with a general eigenvalue  $\lambda$  can be constructed by integrating the eigenvalue equation separately along all coordinate curves. This makes sense if  $r$  indeed assigned to possibly light-like flow lines of  $B^\alpha$  or more general Beltrami field possible induced by the constraint term. There are very strong consistency conditions coming from the conditions that  $\Psi$  in the interior is constant along the flow lines of Kähler current and continuous at the ends and throats (call them collectively boundaries), where  $\Psi$  has a non-trivial variation along the flow lines of  $B^\alpha$ .

1. This makes sense only if the flow lines of the Kähler current are transversal to the boundaries so that the spinor modes at boundaries dictate the modes of the spinor field in the interior. Effective 2-dimensionality means that the spinor modes in the interior can be calculated either by starting from the throats or from the ends so that the data at either upper or lower partonic 2-surfaces dictates everything in accordance with zero energy ontology.
2. This gives an infinite number of commuting diagrams stating that the flow-line time evolution along flow lines along wormhole throats from lower partonic 2-surface to the upper one is equivalent with the flow-line time evolution along the lower end of space-time surface to interior, then along interior to the upper end of the space-time surface and then back to the upper partonic 2-surface. If the space-time surface allows a slicing by partonic 2-surfaces these conditions can be assumed for any pair of partonic 2-surfaces connected by Chern-Simons flow evolution.
3. Since the time evolution along interior keeps the spinor field as constant in the proper gauge and since the flow evolutions at the lower and upper ends are in a reverse direction, there is a strong attemptation to assume that the spinor field at the ends of the of the flow lines of Kähler magnetic field are identical apart from a gauge transformation. This leads to a particle-in-box quantization of the values of the pseudo-mass (periodic boundary conditions). These conditions will be assumed in the sequel.

These assumptions lead to the following picture about the generalized eigen modes.

1. By choosing the gauge so that covariant derivative reduces to ordinary derivative and using the constancy of  $\hat{\Gamma}^r$ , the solution of the generalized eigenvalue equation can be written as

$$\begin{aligned}\Psi &= \exp(iL(r)\hat{\Gamma}^r\lambda^k\Gamma_k)\Psi_0 , \\ L(r) &= \int_0^r \frac{1}{\sqrt{\hat{g}^{rr}}}dr .\end{aligned}\tag{13.4.36}$$

$L(r)$  can be regarded as the along flux line as defined by the effective metric defined by modified gamma matrices. If  $\lambda_k$  is linear combination of  $\Gamma^0$  and  $\Gamma^{rM}$  it anti-commutes with  $\Gamma^r$  which contains only  $CP_2$  gamma matrices so that the pseudo-momentum is a priori arbitrary.

2. When the constraint term taking care of the electric-magnetic duality is included, also  $M^4$  gamma matrices are present. If they are in the orthogonal complement of a preferred plane  $M^2 \subset M^4$ , anti-commutativity is achieved. This assumption cannot be fully justified yet but conforms with the general physical vision. There is an obvious analogy with the condition that polarizations are in a plane orthogonal to  $M^2$ . The condition indeed states that only transversal deformations define quantum fluctuating WCW degrees of freedom contributing to the WCW Kähler metric. In  $M^8-H$  duality the preferred plane  $M^2$  is interpreted as a hyper-complex plane belonging to the tangent space of the space-time surface and defines the plane of non-physical polarizations. Also a generalization of this plane to an integrable distribution of planes  $M^2(x)$  has been proposed and one must consider also now the possibility of a varying plane  $M^2(x)$  for the pseudo-momenta. The scalar function  $\Phi$  appearing in the general solution ansatz for the field equations satisfies massless d'Alembert equation and its gradient defines a local light-like direction at space-time-level and hence a 2-D plane of the tangent space. Maybe the projection of this plane to  $M^4$  could define the preferred  $M^2$ . The minimum condition is that these planes are defined only at the ends of space-time surface and at wormhole throats.

3. If one accepts this hypothesis, one can write

$$\begin{aligned}\Psi &= \left[ \cos(L(r)\lambda) + i\sin(\lambda(r))\hat{\Gamma}^r\lambda^k\Gamma_k \right] \Psi_0 , \\ \lambda &= \sqrt{\lambda_k\lambda^k} .\end{aligned}\tag{13.4.37}$$

4. Boundary conditions should fix the spectrum of masses. If the the flow lines of Kähler current coincide with the flow lines of Kähler magnetic field or more general Beltrami current at wormhole throats one ends up with difficulties since the induced spinor fields must be constant along flow lines and only trivial eigenvalues are possible. Hence it seems that the two Beltrami fields must be transversal. This requires that at the partonic 2-surfaces the value of the induced spinor mode in the interior coincides with its value at the throat. Since the induced spinor fields in interior are constant along flow lines, one must have

$$\exp(i\lambda L(r_{max})) = 1 .\tag{13.4.38}$$

This implies that one has essentially particle in a box with size defined by the effective metric

$$\lambda_n = \frac{n2\pi}{L(r_{max})} .\tag{13.4.39}$$

5. This condition cannot however hold true simultaneously for all points of the partonic 2-surfaces since  $L(r_{max})$  depends on the point of the surface. In the most general case one can consider only a subset consisting of the points for which the values of  $L(r_{max})$  are rational multiples of the value of  $L(r_{max})$  at one of the points -call it  $L_0$ . This implies the notion of number theoretical braid. Induced spinor fields are localized to the points of the braid defined by the flow lines of

the Kähler magnetic field (or equivalently, any conserved current- this resolves the longstanding issue about the identification of number theoretical braids). The number of the included points depends on measurement resolution characterized somehow by the number rationals which are allowed. Only finite number of harmonics and sub-harmonics of  $L_0$  are possible so that for integer multiples the number of points is finite. If  $n_{max}L_0$  and  $L_0/n_{min}$  are the largest and smallest lengths involved, one can argue that the rationals  $n_{max}/n$ ,  $n = 1, \dots, n_{max}$  and  $n/n_{min}$ ,  $n = 1, \dots, n_{min}$  are the natural ones.

6. One can consider also algebraic extensions for which  $L_0$  is scaled from its reference value by an algebraic number so that the mass scale  $m$  must be scaled up in similar manner. The spectrum comes also now in integer multiples. p-Adic mass calculations predicts mass scales to the inverses of square roots of prime and this raises the expectation that  $\sqrt{n}$  harmonics and sub-harmonics of  $L_0$  might be necessary. Notice however that pseudo-momentum spectrum is in question so that this argument is on shaky grounds.

There is also the question about the allowed values of  $(\lambda_0, \lambda_3)$  for a given value of  $\lambda$ . This issue will be discussed in the next section devoted to the attempt to calculate the Dirac determinant assignable to this spectrum: suffice it to say that integer valued spectrum is the first guess implying that the pseudo-momenta satisfy  $n_0^2 - n_3^2 = n^2$  and therefore correspond to Pythagorean triangles. What is remarkable that the notion of number theoretic braid pops up automatically from the Beltrami flow hypothesis.

## 13.5 How to define Dirac determinant?

The basic challenge is to define Dirac determinant hoped to give rise to the exponent of Kähler action associated with the preferred extremal. The reduction to almost topological QFT gives this kind of expression in terms of Chern-Simons action and one might hope of obtaining even more concrete expression from the Chern-Simons Dirac determinant. The calculation of the previous section allowed to calculate the most general spectrum of the modified Dirac operator. If the number of the eigenvalues is infinite as the naive expectation is then Dirac determinant diverges if calculated as the product of the eigenvalues and one must calculate it by using some kind of regularization procedure. Zeta function regularization is the natural manner to do this.

The following arguments however lead to a concrete vision how the regularization could be avoided and a connection with infinite primes. In fact, the manifestly finite option and the option involving zeta function regularization give Kähler functions differing only by a scaling factor and only the manifestly finite option satisfies number theoretical constraints coming from p-adicization. An explicit expression for the Dirac determinant in terms of geometric data of the orbit of the partonic 2-surface emerges.

Arithmetic quantum field theory defined by infinite emerges naturally. The lines of the generalized Feynman graphs are characterized by infinite primes and the selection rules correlating the geometries of the lines of the generalized Feynman graphs corresponds to the conservation of the sum of number theoretic momenta  $\log(p_i)$  assignable to sub-braids corresponding to different primes  $p_i$  assignable to the orbit of parton. This conforms with the vision that infinite primes indeed characterize the geometry of light-like 3-surfaces and therefore also of space-time sheets. The eigenvalues of the modified Dirac operator are proportional  $1/\sqrt{p_i}$  where  $p_i$  are the primes appearing in the definition of the p-adic prime and the interpretation as analogs of Higgs vacuum expectation values makes sense and is consistent with p-adic length scale hypothesis and p-adic mass calculations. It must be emphasized that all this is essentially due to single basic hypothesis, namely the reduction of quantum TGD to almost topological QFT guaranteed by the Beltrami ansatz for field equations and by the weak form of electric-magnetic duality.

### 13.5.1 Dirac determinant when the number of eigenvalues is infinite

At first sight the general spectrum looks the only reasonable possibility but if the eigenvalues correlate with the geometry of the partonic surface as quantum classical correspondence suggests, this conclusion might be wrong. The original hope was the number of eigenvalues would be finite so that also determinant would be finite automatically. There were some justifications for this hope in the definition of Dirac determinant based on the dimensional reduction of  $D_K$  as  $D_K = D_{K,3} + D_1$  and

the identification of the generalized eigenvalues as those assigned to  $D_{K,3}$  as analogs of energy eigenvalues assignable to the light-like 3-surface. It will be found that number theoretic input could allow to achieve a manifest finiteness in the case of  $D_{C-S}$  and that this option is the only possible one if number theoretic universality is required.

If there are no constraints on the eigenvalue spectrum of  $D_{C-S}$  for a given partonic orbit, the naive definition of the determinant gives an infinite result and one must define Dirac determinant using  $\zeta$  function regularization implying that Kähler function reduces to the derivative of the zeta function  $\zeta_D(s)$  -call it Dirac Zeta- associated with the eigenvalue spectrum.

Consider now the situation when the number of eigenvalues is infinite.

1. In this kind of situation zeta function regularization is the standard manner to define the Dirac determinant. What one does is to assign zeta function to the spectrum- let us call it Dirac zeta function and denote by  $\zeta_D(s)$ - as

$$\zeta_D(s) = \sum_k \lambda_k^{-s} . \tag{13.5.1}$$

If the eigenvalue  $\lambda_k$  has degeneracy  $g_k$  it appears  $g_k$  times in the sum. In the case of harmonic oscillator one obtains Riemann zeta for which sum representation converges only for  $Re(s) \geq 1$ . Riemann zeta can be however analytically continued to the entire complex plane and the idea is that this can be done also in the more general case.

2. By the basic conjecture Kähler function corresponds to the logarithm of the Dirac determinant and equals to the sum of the logarithms of the eigenvalues

$$K = \log(\prod \lambda_k) = -\frac{d\zeta_D}{ds} \Big|_{s=0} . \tag{13.5.2}$$

The expression on the left hand side diverges if taken as such but the expression on the right had side based on the analytical continuation of the zeta function is completely well-defined and finite quantity. Note that the replacement of eigenvalues  $\lambda_k$  by their powers  $\lambda_k^n$  -or equivalently the increase of the degeneracy by a factor  $n$  - brings in only a factor  $n$  to  $K$ :  $K \rightarrow nK$ .

3. Dirac determinant involves in the minimal situation only the integer multiples of pseudo-mass scale  $\lambda = 2\pi/L_{min}$ . One can consider also rational and even algebraic multiples  $qL_{min} < L_{max}$ ,  $q \geq 1$ , of  $L_{min}$  so that one would have several integer spectra simultaneously corresponding to different braids. Here  $L_{min}$  and  $L_{max}$  are the extrema of the braid strand length determined in terms of the effective metric as  $L = \int (\hat{g}^{rr})^{-1/2} dr$ . The question what multiples are involved will be needed later.
4. Each rational or algebraic multiple of  $L_{min}$  gives to the zeta function a contribution which is of same form so that one has

$$\zeta_D = \sum_q \zeta(\log(qx)s) , \quad x = \frac{L_{min}}{R} , \quad 1 \leq q < \frac{L_{max}}{L_{min}} . \tag{13.5.3}$$

Kähler function can be expressed as

$$K = \sum_n \log(\lambda_n) = -\frac{d\zeta_D(s)}{ds} = -\sum_q \log(qx) \frac{d\zeta(s)}{ds} \Big|_{s=0} , \quad x = \frac{L_{min}}{R} . \tag{13.5.4}$$

What is remarkable that the number theoretical details of  $\zeta_D$  determine only the overall scaling factor of Kähler function and thus the value of Kähler coupling strength, which would be purely number theoretically determined if the hypothesis about the role of infinite primes is correct. Also the value of  $R$  is irrelevant since it does not affect the Kähler metric.

5. The dependence of Kähler function on WCW degrees of freedom would be coded completely by the dependence of the length scales  $qL_{min}$  on the complex coordinates of WCW: note that this dependence is different for each scale. This is reminiscent of the coding of the shape of the drum (or more generally - manifold) by the spectrum of its eigen frequencies. Now Kähler geometry would code for the dependence of the spectrum on the shape of the drum defined by the partonic 2-surface and the 4-D tangent space distribution associated with it.

What happens at the limit of vacuum extremals serves as a test for the identification of Kähler function as Dirac determinant. The weak form of electric magnetic duality implies that all components of the induced Kähler field vanish simultaneously if Kähler magnetic field cancels. In the modified Chern-Simons Dirac equation one obtains  $L = \int (\hat{g}^{rr})^{-1/2} dr$ . The modified gamma matrix  $\hat{\Gamma}^r$  approaches a finite limit when Kähler magnetic field vanishes

$$\hat{\Gamma}^r = \epsilon^{r\beta\gamma} (2J_{\beta k} A_\gamma + J_{\beta\gamma} A_k) \Gamma^k \rightarrow 2\epsilon^{r\beta\gamma} J_{\beta k} \Gamma^k . \quad (13.5.5)$$

The relevant component of the effective metric is  $\hat{g}^{rr}$  and is given by

$$\hat{g}^{rr} = (\hat{\Gamma}^r)^2 = 4\epsilon^{r\beta\gamma} \epsilon^{r\mu\nu} J_{\beta k} J_\mu{}^k A_\gamma A_\nu . \quad (13.5.6)$$

The limit is non-vanishing in general and therefore the eigenvalues remain finite also at this limit as also the parameter  $L_{min} = \int (\hat{g}^{rr})^{-1/2} dr$  defining the minimum of the length of the braid strand defined by Kähler magnetic flux line in the effective metric unless  $\hat{g}^{rr}$  goes to zero everywhere inside the partonic surface. Chern-Simons action and Kähler action vanish for vacuum extremals so that in this case one could require that Dirac determinant approaches to unity in a properly chosen gauge. Dirac determinant should approach to unit for vacuum extremals indeed approaches to unity since there are no finite eigenvalues at the limit  $\hat{g}^{rr} = 0$ .

### 13.5.2 Hyper-octonionic primes

Before detailed discussion of the hyper-octonionic option it is good to consider the basic properties of hyper-octonionic primes.

1. Hyper-octonionic primes are of form

$$\Pi_p = (n_0, n_3, n_1, n_2, \dots, n_7) , \quad \Pi_p^2 = n_0^2 - \sum_i n_i^2 = p \text{ or } p^2 . \quad (13.5.7)$$

2. Hyper-octonionic primes have a standard representation as hyper-complex primes. The Minkowski norm squared factorizes into a product as

$$n_0^2 - n_3^2 = (n_0 + n_3)(n_0 - n_3) . \quad (13.5.8)$$

If one has  $n_3 \neq 0$ , the prime property implies  $n_0 - n_3 = 1$  so that one obtains  $n_0 = n_3 + 1$  and  $2n_3 + 1 = p$  giving

$$(n_0, n_3) = ((p+1)/2, (p-1)/2) . \quad (13.5.9)$$

Note that one has  $(p+1)/2$  odd for  $p \bmod 4 = 1$  and  $(p+1)/2$  even for  $p \bmod 4 = 3$ . The difference  $n_0 - n_3 = 1$  characterizes prime property.

If  $n_3$  vanishes the prime prime property implies equivalence with ordinary prime and one has  $n_0^2 = p^2$ . These hyper-octonionic primes represent particles at rest.



3. The action of a discrete subgroup  $G(p)$  of the octonionic automorphism group  $G_2$  generates form hyper-complex primes with  $n_3 \neq 0$  further hyper-octonionic primes  $\Pi(p, k)$  corresponding to the same value of  $n_0$  and  $p$  and for these the integer valued projection to  $M^2$  satisfies  $n_0^2 - n_3^2 = n > p$ . It is also possible to have a state representing the system at rest with  $(n_0, n_3) = ((p + 1)/2, 0)$  so that the pseudo-mass varies in the range  $[\sqrt{p}, (p + 1)/2]$ . The subgroup  $G(n_0, n_3) \subset SU(3)$  leaving invariant the projection  $(n_0, n_3)$  generates the hyper-octonionic primes corresponding to the same value of mass for hyper-octonionic primes with same Minkowskian length  $p$  and pseudo-mass  $\lambda = n \geq \sqrt{p}$ .
4. One obtains two kinds of primes corresponding to the lengths of pseudo-momenta equal to  $p$  or  $\sqrt{p}$ . The first kind of particles are always at rest whereas the second kind of particles can be brought at rest only if one interprets the pseudo-momentum as  $M^2$  projection. This brings in mind the secondary p-adic length scales assigned to causal diamonds (CDs) and the primary p-adic lengths scales assigned to particles.

If the  $M^2$  projections of hyper-octonionic primes with length  $\sqrt{p}$  characterize the allowed basic momenta,  $\zeta_D$  is sum of zeta functions associated with various projections which must be in the limits dictated by the geometry of the orbit of the partonic surface giving upper and lower bounds  $L_{max}$  and  $L_{min}$  on the length  $L$ .  $L_{min}$  is scaled up to  $\sqrt{n_0^2 - n_3^2} L_{min}$  for a given projection  $(n_0, n_3)$ . In general a given  $M^2$  projection  $(n_0, n_3)$  corresponds to several hyper-octonionic primes since  $SU(3)$  rotations give a new hyper-octonionic prime with the same  $M^2$  projection. This leads to an inconsistency unless one has a good explanation for why some basic momentum can appear several times. One might argue that the spinor mode is degenerate due to the possibility to perform discrete color rotations of the state. For hyper complex representatives there is no such problem and it seems favored. In any case, one can look how the degeneracy factors for given projection can be calculated.

1. To calculate the degeneracy factor  $D(n)$  associated with given pseudo-mass value  $\lambda = n$  one must find all hyper-octonionic primes  $\Pi$ , which can have projection in  $M^2$  with length  $n$  and sum up the degeneracy factors  $D(n, p)$  associated with them:

$$\begin{aligned}
 D(n) &= \sum_p D(n, p) , \\
 D(n, p) &= \sum_{n_0^2 - n_3^2 = p} D(p, n_0, n_3) , \\
 n_0^2 - n_3^2 &= n , \quad \Pi_p^2(n_0, n_3) = n_0^2 - n_3^2 - \sum_i n_i^2 = n - \sum_i n_i^2 = p .
 \end{aligned}
 \tag{13.5.10}$$

2. The condition  $n_0^2 - n_3^2 = n$  allows only Pythagorean triangles and one must find the discrete subgroup  $G(n_0, n_3) \subset SU(3)$  producing hyper-octonions with integer valued components with length  $p$  and components  $(n_0, n_3)$ . The points at the orbit satisfy the condition

$$\sum n_i^2 = p - n .
 \tag{13.5.11}$$

The degeneracy factor  $D(p, n_0, n_3)$  associated with given mass value  $n$  is the number of elements of in the coset space  $G(n_0, n_3, p)/H(n_0, n_3, p)$ , where  $H(n_0, n_3, p)$  is the isotropy group of given hyper-octonionic prime obtained in this manner. For  $n_0^2 - n_3^2 = p^2$   $D(n_0, n_3, p)$  obviously equals to unity.

### 13.5.3 Three basic options for the pseudo-momentum spectrum

The calculation of the scaling factor of the Kähler function requires the knowledge of the degeneracies of the mass squared eigen values. There are three options to consider.

### First option: all pseudo-momenta are allowed

If the degeneracy for pseudo-momenta in  $M^2$  is same for all mass values- and formally characterizable by a number  $N$  telling how many 2-D pseudo-momenta reside on mass shell  $n_0^2 - n_3^2 = m^2$ . In this case zeta function would be proportional to a sum of Riemann Zetas with scaled arguments corresponding to scalings of the basic mass  $m$  to  $m/q$ .

$$\zeta_D(s) = N \sum_q \zeta(\log(qx)s) , \quad x = \frac{L_{min}}{R} . \quad (13.5.12)$$

This option provides no idea about the possible values of  $1 \leq q \leq L_{max}/L_{min}$ . The number  $N$  is given by the integral of relativistic density of states  $\int dk/2\sqrt{k^2 + m^2}$  over the hyperbola and is logarithmically divergent so that the normalization factor  $N$  of the Kähler function would be infinite.

### Second option: All integer valued pseudomomenta are allowed

Second option is inspired by number theoretic vision and assumes integer valued components for the momenta using  $m_{max} = 2\pi/L_{min}$  as mass unit. p-Adicization motivates also the assumption that momentum components using  $m_{max}$  as mass scale are integers. This would restrict the choice of the number theoretical braids.

Integer valuedness together with masses coming as integer multiples of  $m_{max}$  implies  $(\lambda_0, \lambda_3) = (n_0, n_3)$  with on mass shell condition  $n_0^2 - n_3^2 = n^2$ . Note that the condition is invariant under scaling. These integers correspond to Pythagorean triangles plus the degenerate situation with  $n_3 = 0$ . There exists a finite number of pairs  $(n_0, n_3)$  satisfying this condition as one finds by expressing  $n_0$  as  $n_0 = n_3 + k$  giving  $2n_3k + k^2 = p^2$  giving  $n_3 < n^2/2, n_0 < n^2/2 + 1$ . This would be enough to have a finite degeneracy  $D(n) \geq 1$  for a given value of mass squared and  $\zeta_D$  would be well defined.  $\zeta_D$  would be a modification of Riemann zeta given by

$$\begin{aligned} \zeta_D &= \sum_q \zeta_1(\log(qx)s) , \quad x = \frac{L_{min}}{R} , \\ \zeta_1(s) &= \sum g_n n^{-s} , \quad g_n \geq 1 . \end{aligned} \quad (13.5.13)$$

For generalized Feynman diagrams this option allows conservation of pseudo-momentum and for loops no divergences are possible since the integral over two-dimensional virtual momenta is replaced with a sum over discrete mass shells containing only a finite number of points. This option looks thus attractive but requires a regularization. On the other hand, the appearance of a zeta function having a strong resemblance with Riemann zeta could explain the finding that Riemann zeta is closely related to the description of critical systems. This point will be discussed later.

### Third option: Infinite primes code for the allowed mass scales

According to the proposal of [16, 54] the hyper-complex parts of hyper-octonionic primes appearing in their infinite counterparts correspond to the  $M^2$  projections of real four-momenta. This hypothesis suggests a very detailed map between infinite primes and standard model quantum numbers and predicts a universal mass spectrum [16]. Since pseudo-momenta are automatically restricted to the plane  $M^2$ , one cannot avoid the question whether they could actually correspond to the hyper-octonionic primes defining the infinite prime. These interpretations need not of course exclude each other. This option allows several variants and at this stage it is not possible to exclude any of these options.

1. One must choose between two alternatives for which pseudo-momentum corresponds to hyper-complex prime serving as a canonical representative of a hyper-octonionic prime or a projection of hyper-octonionic prime to  $M^2$ .
2. One must decide whether one allows a) only the momenta corresponding to hyper-complex primes, b) also their powers (p-adic fractality), or c) all their integer multiples ("Riemann option").

One must also decide what hyper-octonionic primes are allowed.

1. The first guess is that all hyper-complex/hyper-octonionic primes defining length scale  $\sqrt{p}L_{min} \leq L_{max}$  or  $pL_{min} \leq L_{max}$  are allowed. p-Adic fractality suggests that also the higher p-adic length scales  $p^{n/2}L_{min} < L_{max}$  and  $p^n L_{min} < L_{max}$ ,  $n \geq 1$ , are possible.

It can however happen that no primes are allowed by this criterion. This would mean vanishing Kähler function which is of course also possible since Kähler action can vanish (for instance, for massless extremals). It seems therefore safer to allow also the scale corresponding to the trivial prime  $(n_0, n_3) = (1, 0)$  (1 is formally prime because it is not divisible by any prime different from 1) so that at least  $L_{min}$  is possible. This option also allows only rather small primes unless the partonic 2-surface contains vacuum regions in which case  $L_{max}$  is infinite: in this case all primes would be allowed and the exponent of Kähler function would vanish.

2. The hypothesis that only the hyper-complex or hyper-octonionic primes appearing in the infinite hyper-octonionic prime are possible looks more reasonable since large values of  $p$  would be possible and could be identified in terms of the p-adic length scale hypothesis. All hyper-octonionic primes appearing in infinite prime would be possible and the geometry of the orbit of the partonic 2-surface would define an infinite prime. This would also give a concrete physical interpretation for the earlier hypothesis that hyper-octonionic primes appearing in the infinite prime characterize partonic 2-surfaces geometrically. One can also identify the fermionic and purely bosonic primes appearing in the infinite prime as braid strands carrying fermion number and purely bosonic quantum numbers. This option will be assumed in the following.

### 13.5.4 Expression for the Dirac determinant for various options

The expressions for the Dirac determinant for various options can be deduced in a straightforward manner. Numerically Riemann option and manifestly finite option do not differ much but their number theoretic properties are totally different.

#### Riemann option

All integer multiples of these basic pseudo-momenta would be allowed for Riemann option so that  $\zeta_D$  would be sum of Riemann zetas with arguments scaled by the basic pseudo-masses coming as inverses of the basic length scales for braid strands. For the option involving only hyper-complex primes the formula for  $\zeta_D$  reads as

$$\zeta_D = \zeta(\log(x_{mins})) + \sum_{i,n} \zeta(\log(x_{i,n}s)) + \sum_{i,n} \zeta(\log(y_{i,n}s)) ,$$

$$x_{i,n} = p_i^{n/2} x_{min} \leq x_{max} , \quad p_i \geq 3 , \quad y_{i,n} = p_i^n x_{min} \leq x_{max} \cdot p_i \geq 2 ,$$
(13.5.14)

$L_{max}$  resp.  $L_{min}$  is the maximal resp. minimal length  $L = \int (\hat{g}^{rr})^{-1/2} dr$  for the braid strand defined by the flux line of the Kähler magnetic field in the effective metric. The contributions correspond to the effective hyper-complex prime  $p_1 = (1, 0)$  and hyper-complex primes with Minkowski lengths  $\sqrt{p}$  ( $p \geq 3$ ) and  $p$ ,  $p \geq 2$ . If also higher p-adic length scales  $L_n = p^{n/2}L_{min} < L_{max}$  and  $L_n = p^n L_{min} < L_{max}$ ,  $n > 1$ , are allowed there is no further restriction on the summation. For the restricted option only  $L_n$ ,  $n = 0, 2$  is allowed.

The expressions for the Kähler function and its exponent reads as

$$K = k(\log(x_{min})) + \sum_i \log(x_i) + \sum_i \log(y_i) ,$$

$$\exp(K) = \left(\frac{1}{x_{min}}\right)^k \times \prod_i \left(\frac{1}{x_i}\right)^k \times \prod_i \left(\frac{1}{y_i}\right)^k ,$$

$$x_i \leq x_{max} , \quad y_i \leq x_{max} , \quad k = -\frac{d\zeta(s)}{ds} \Big|_{s=0} = \frac{1}{2} \log(2\pi) \simeq .9184 .$$
(13.5.15)

From the point of view of p-adicization program the appearance of strongly transcendental numbers in the normalization factor of  $\zeta_D$  is not a well-come property.

If the scaling of the WCW Kähler metric by  $1/k$  is a legitimate procedure it would allow to get rid of the transcendental scaling factor  $k$  and this scaling would cancel also the transcendental from the exponent of Kähler function. The scaling is not however consistent with the view that Kähler coupling strength determines the normalization of the WCW metric.

This formula generalizes in a rather obvious manner to the cases when one allows  $M^2$  projections of hyper-octonionic primes.

### Manifestly finite options

The options for which one does not allow summation over all integer multiples of the basic momenta characterized by the canonical representatives of hyper-complex primes or their projections to  $M^2$  are manifestly finite. They differ from the Riemann option only in that the normalization factor  $k \simeq .9184$  defined by the derivative Riemann Zeta at origin is replaced with  $k = 1$ . This would mean manifest finiteness of  $\zeta_D$ . Kähler function and its exponent are given by

$$K = k(\log(x_{min}) + \sum_i \log(x_i) + \sum_i \log(y_i)) , \quad x_i \leq x_{max} , \quad y_i \leq x_{max} ,$$

$$\exp(K) = \frac{1}{x_{min}} \times \prod_i \frac{1}{x_i} \times \prod_i \frac{1}{y_i} .$$

(13.5.16)

Numerically the Kähler functions do not differ much since their ratio is .9184. Number theoretically these functions are however completely different. The resulting dependence involves only square roots of primes and is an algebraic function of the lengths  $p_i$  and rational function of  $x_{min}$ . p-Adicization program would require rational values of the lengths  $x_{min}$  in the intersection of the real and p-adic worlds if one allows algebraic extension containing the square roots of the primes involved. Note that in p-adic context this algebraic extension involves two additional square roots for  $p > 2$  if one does not want square root of  $p$ . Whether one should allow for  $R_p$  also extension based on  $\sqrt{p}$  is not quite clear. This would give 8-D extension.

For the more general option allowing all projections of hyper-complex primes to  $M^2$  the general form of Kähler function is same. Instead of pseudo-masses coming as primes and their square roots one has pseudomasses coming as square roots of some integers  $n \leq p$  or  $n \leq p^2$  for each  $p$ . In this case the conservation laws are not so strong.

Note that in the case of vacuum extremals  $x_{min} = \infty$  holds true so that there are no primes satisfying the condition and Kähler function vanishes as it indeed should.

### More concrete picture about the option based on infinite primes

The identification of pseudo-momenta in terms of infinite primes suggests a rather concrete connection between number theory and physics.

1. One could assign the finite hyper-octonionic primes  $\Pi_i$  making the infinite prime to the sub-braids identified as Kähler magnetic flux lines with the same length  $L$  in the effective metric. The primes assigned to the finite part of the infinite prime correspond to single fermion and some number of bosons. The primes assigned to the infinite part correspond to purely bosonic states assignable to the purely bosonic braid strands. Purely bosonic state would correspond to the action of a WCW Hamiltonian to the state.

This correspondence can be expanded to include all quantum numbers by using the pair of infinite primes corresponding to the "vacuum primes"  $X \pm 1$ , where  $X$  is the product of all finite primes [16]. The only difference with respect to the earlier proposal is that physical momenta would be replaced by pseudo-momenta.

2. Different primes  $p_i$  appearing in the infinite prime would correspond to their own sub-braids. For each sub-braid there is a  $N$ -fold degeneracy of the generalized eigen modes corresponding

to the number  $N$  of braid strands so that many particle states are possible as required by the braid picture.

3. The correspondence of infinite primes with the hierarchy of Planck constants could allow to understand the fermion-many boson states and many boson states assigned with a given finite prime in terms of many-particle states assigned to  $n_a$  and  $n_b$ -sheeted singular covering spaces of  $CD$  and  $CP_2$  assignable to the two infinite primes. This interpretation requires that only single p-adic prime  $p_i$  is realized as quantum state meaning that quantum measurement always selects a particular p-adic prime  $p_i$  (and corresponding sub-braid) characterizing the p-adicity of the quantum state. This selection of number field behind p-adic physics responsible for cognition looks very plausible.
4. The correspondence between pairs of infinite primes and quantum states [16] allows to interpret color quantum numbers in terms of the states associated with the representations of a finite subgroup of  $SU(3)$  transforming hyper-octonionic primes to each other and preserving the  $M^2$  pseudo-momentum. Same applies to  $SO(3)$ . The most natural interpretation is in terms of wave functions in the space of discrete  $SU(3)$  and  $SO(3)$  transforms of the partonic 2-surface. The dependence of the pseudo-masses on these quantum numbers is natural so that the projection hypothesis finds support from this interpretation.
5. The infinite prime characterizing the orbit of the partonic 2-surface would thus code which multiples of the basic mass  $2\pi/L_{min}$  are possible. Either the  $M^2$  projections of hyper-octonionic primes or their hyper-complex canonical representatives would fix the basic  $M^2$  pseudo-momenta for the corresponding number theoretic braid associated. In the reverse direction the knowledge of the light-like 3-surface, the  $CD$  and  $CP_2$  coverings, and the number of the allowed discrete  $SU(3)$  and  $SU(2)$  rotations of the partonic 2-surface would dictate the infinite prime assignable to the orbit of the partonic 2-surface.

One would also like to understand whether there is some kind of conservation laws associated with the pseudo-momenta at vertices. The arithmetic QFT assignable to infinite primes would indeed predict this kind of conservation laws.

1. For the manifestly finite option the ordinary conservation of pseudo-momentum conservation at vertices is not possible since the addition of pseudo-momenta does not respect the condition  $n_0 - n_3 = 1$ . In fact, this difference in the sum of hyper-complex prime momenta tells how many momenta are present. If one applies the conservation law to the sum of the pseudo-momenta corresponding to different primes and corresponding braids, one can have reactions in which the number of primes involved is conserved. This would give the selection rule  $\sum_1^N p_i = \sum_1^N p_f$ . These reactions have interpretation in terms of the geometry of the 3-surface representing the line of the generalized Feynman diagram.
2. Infinite primes define an arithmetic quantum field theory in which the total momentum defined as  $\sum n_i \log(p_i)$  is a conserved quantity. As matter fact, each prime  $p_i$  would define a separately conserved momentum so that there would be an infinite number of conservation laws. If the sum  $\sum_i \log(p_i)$  is conserved in the vertex, the primes  $p_i$  associated with the incoming particle are shared with the outgoing particles so that also the total momentum is conserved. This looks the most plausible option and would give very powerful number theoretical selection rules at vertices since the collection of primes associated with incoming line would be union of the collections associated with the outgoing lines and also total pseudo-momentum would be conserved.
3. For the both Riemann zeta option and manifestly finite options the arithmetic QFT associated with infinite primes would be realized at the level of pseudo-momenta meaning very strong selection rules at vertices coding for how the geometries of the partonic lines entering the vertex correlate. WCW integration would reduce for the lines of Feynman diagram to a sum over light-like 3-surfaces characterized by  $(x_{min}, x_{max})$  with a suitable weighting factor and the exponent of Kähler function would give an exponential damping as a function of  $x_{min}$ .

### Which option to choose?

One should be able to make two choices. One must select between hyper-complex representations and the projections of hyper-octonionic primes and between the manifestly finite options and the one producing Riemann zeta?

Hyper-complex option seems to be slightly favored over the projection option.

1. The appearance of the scales  $\sqrt{p_i}x_{min}$  and possibly also their  $p^n$  multiples brings in mind p-adic length scales coming as  $\sqrt{p^n}$  multiples of  $CP_2$  length scale. The scales  $p_i x_{min}$  associated with hyper-complex primes reducing to ordinary primes in turn bring in mind the size scales assignable to  $CDs$ . The hierarchy of Planck constants implies also  $\hbar/\hbar_0 = \sqrt{n_a n_b}$  multiples of these length scales but mass scales would not depend on  $n_a$  and  $n_b$  [24]. For large values of  $p$  the pseudo-momenta are almost light-like for hyper-complex option whereas the projection option allows also states at rest.
2. Hyper-complex option predicts that only the p-adic pseudo-mass scales appear in the partition function and is thus favored by the p-adic length scale hypothesis. Projection option predicts also the possibility of the mass scales (not all of them) coming as  $1/\sqrt{n}$ . These mass scales are however not predicted by the hierarchy of Planck constants.
3. The same pseudo-mass scale can appear several times for the projection option. This degeneracy corresponds to the orbit of the hyper-complex prime under the subgroup of  $SU(3)$  respecting integer property. Similar statement holds true in the case of  $SO(3)$ : these groups are assigned to the two infinite primes characterizing parton. The natural assignment of this degeneracy is to the discrete color rotational and rotational degrees associated with the partonic 2-surface itself rather than spinor modes at fixed partonic 2-surface. That the pseudo-mass would depend on color and angular momentum quantum numbers would make sense.

Consider next the arguments in favor of the manifestly finite option.

1. The manifestly finite option is admittedly more elegant than the one based on Riemann zeta and also guarantees that no additional loop summations over pseudo-momenta are present. The strongest support for the manifestly finite option comes from number theoretical universality.
2. One could however argue that the restriction of the pseudo-momenta to a finite number is not consistent with the modified Dirac-Chern-Simons equation. Quantum classical correspondence however implies correlation between the geometry of the partonic orbits and the pseudo-momenta and the summation over all prime valued pseudo-momenta is present but with a weighting factor coming from Kähler function implying exponential suppression.

The Riemann zeta option could be also defended.

1. The numerical difference of the normalization factors of the Kähler function is however only about 8 per cent and quantum field theorists might interpret the replacement the length scales  $x_i$  and  $y_i$  with  $x_i^d$  and  $y_i^d$ ,  $d \simeq .9184$ , in terms of an anomalous dimension of these length scales. Could one say that radiative corrections mean the scaling of the original preferred coordinates so that one could still have consistency with number theoretic universality?
2. Riemann zeta with a non-vanishing argument could have also other applications in quantum TGD. Riemann zeta has interpretation as a partition function and the zeros of partition functions have interpretation in terms of phase transitions. The quantum criticality of TGD indeed corresponds to a phase transition point. There is also experimental evidence that the distribution of zeros of zeta corresponds to the distribution of energies of quantum critical systems in the sense that the energies correspond to the imaginary parts of the zeros of zeta [54].

The first explanation would be in terms of the analogs of the harmonic oscillator coherent states with integer multiple of the basic momentum taking the role of occupation number of harmonic oscillator and the zeros  $s = 1/2 + iy$  of  $\zeta$  defining the values of the complex coherence parameters. TGD inspired strategy for the proof of Riemann hypothesis indeed leads to the identification of the zeros as coherence parameters rather than energies as in the case of Hilbert-Polya hypothesis

[26] and the vanishing of the zeta at zero has interpretation as orthogonality of the state with respect to the state defined by a vanishing coherence parameter interpreted as a tachyon. One should demonstrate that the energies of quantum states can correspond to the imaginary parts of the coherence parameters.

Second interpretation could be in terms of quantum critical zero energy states for which the "complex square root of density matrix" defines time-like entanglement coefficients of  $M$ -matrix. The complex square roots of the probabilities defined by the coefficient of harmonic oscillator states (perhaps identifiable in terms of the multiples of pseudo-momentum) in the coherent state defined by the zero of  $\zeta$  would define the  $M$ -matrix in this situation. Energy would correspond also now to the imaginary part of the coherence parameter. The norm of the state would be completely well-defined.

### Representation of configuration Kähler metric in terms of eigenvalues of $D_{C-S}$

A surprisingly concrete connection of the configuration space metric in terms of generalized eigenvalue spectrum of  $D_{C-S}$  results. From the general expression of Kähler metric in terms of Kähler function

$$G_{k\bar{l}} = \partial_k \partial_{\bar{l}} K = \frac{\partial_k \partial_{\bar{l}} \exp(K)}{\exp(K)} - \frac{\partial_k \exp(K)}{\exp(K)} \frac{\partial_{\bar{l}} \exp(K)}{\exp(K)}, \quad (13.5.17)$$

and from the expression of  $\exp(K) = \prod_i \lambda_i$  as the product of finite number of eigenvalues of  $D_{C-S}$ , the expression

$$G_{k\bar{l}} = \sum_i \frac{\partial_k \partial_{\bar{l}} \lambda_i}{\lambda_i} - \frac{\partial_k \lambda_i}{\lambda_i} \frac{\partial_{\bar{l}} \lambda_i}{\lambda_i} \quad (13.5.18)$$

for the configuration space metric follows. Here complex coordinates refer to the complex coordinates of configuration space. Hence the knowledge of the eigenvalue spectrum of  $D_{C-S}(X^3)$  as function of some complex coordinates of configuration space allows to deduce the metric to arbitrary accuracy. If the above arguments are correct the calculation reduces to the calculation of the derivatives of  $\log(\sqrt{p}L_{min}/R)$ , where  $L_{min}$  is the length of the Kähler magnetic flux line between partonic 2-surfaces with respect to the effective metric defined by the anti-commutators of the modified gamma matrices. Note that these length scales have different dependence on WCW coordinates so that one cannot reduce everything to  $L_{min}$ . Therefore one would have explicit representation of the basic building brick of WCW Kähler metric in terms of the geometric data associated with the orbit of the partonic 2-surface.

### The formula for the Kähler action of $CP_2$ type vacuum extremals is consistent with the Dirac determinant formula

The first killer test for the formula of Kähler function in terms of the Dirac determinant based on infinite prime hypothesis is provided by the action of  $CP_2$  type vacuum extremals. One of the first attempts to make quantitative predictions in TGD framework was the prediction for the gravitational constant. The argument went as follows.

1. For dimensional reasons gravitational constant must be proportional to  $p$ -adic length scale squared, where  $p$  characterizes the space-time sheet of the graviton. It must be also proportional to the square of the vacuum function for the graviton representing a line of generalized Feynman diagram and thus to the exponent  $\exp(-2K)$  of Kähler action for topologically condensed  $CP_2$  type vacuum extremals with very long projection. If topological condensation does not reduce much of the volume of  $CP_2$  type vacuum extremal, the action is just Kähler action for  $CP_2$  itself. This gives

$$\hbar_0 G = L_p^2 \exp(2L_K(CP_2)) = pR^2 \exp(2L_K(CP_2)). \quad (13.5.19)$$

- Using as input the constraint  $\alpha_K \simeq \alpha_{em} \sim 1/137$  for Kähler coupling strengths coming from the comparison of the TGD prediction for the rotation velocity of distant galaxies around galactic nucleus and the p-adic mass calculation for the electron mass, one obtained the result

$$\exp(2L_K(CP_2)) = \frac{1}{p \times \prod_{p_i \leq 23} p_i} . \quad (13.5.20)$$

The product contains the product of all primes smaller than 24 ( $p_i \in \{2, 3, 5, 7, 11, 13, 17, 19, 23\}$ ). The expression for the Kähler function would be just of the form predicted by the Dirac determinant formula with  $L_{min}$  replaced with  $CP_2$  length scale. As a matter fact, this was the first indication that particles are characterized by several p-adic primes but that only one of them is "active". As explained, the number theoretical state function reduction explains this.

- The same formula for the gravitational constant would result for any prime  $p$  but the value of Kähler coupling strength would depend on prime  $p$  logarithmically for this option. I indeed proposed that this formula fixes the discrete evolution of the Kähler coupling strength as function of p-adic prime from the condition that gravitational constant is renormalization group invariant quantity but gave up this hypothesis later. It is wisest to keep an agnostic attitude to this issue.
- I also made numerous brave attempts to deduce an explicit formula for Kähler coupling strength. The general form of the formula is

$$\frac{1}{\alpha_K} = k \log(K^2), \quad K^2 = p \times 2 \times 3 \times 5 \dots \times 23 . \quad (13.5.21)$$

The problem is the exact value of  $k$  cannot be known precisely and the guesses for its value depend on what one means with number theoretical universality. Should Kähler action be a rational number? Or is it Kähler function which is rational number (it is for the Dirac determinant option in this particular case). Is Kähler coupling strength  $g_K^2/4\pi$  or  $g_K^2$  a rational number? Some of the guesses were  $k = \pi/4$  and  $k = 137/107$ . The facts that the value of Kähler action for the line of a generalized diagram is not exactly  $CP_2$  action and the value of  $\alpha_K$  is not known precisely makes these kind of attempts hopeless in absence of additional ideas.

Also other elementary particles -in particular exchanged bosons- should involve the exponent of Kähler action for  $CP_2$  type vacuum extremal. Since the values of gauge couplings are gigantic as compared to the expression of the gravitational constant the value of Kähler action must be rather small form them.  $CP_2$  type vacuum extremals must be short in the sense that  $L_{min}$  in the effective metric is very short. Note however that the p-adic prime characterizing the particle according to p-adic mass calculations would be large also now. One can of course ask whether this p-adic prime characterizes the gravitational space-time sheets associated with the particle and not the particle itself. The assignment of p-adic mass calculations with thermodynamics at gravitational space-time sheets of the particle would be indeed natural. The value of  $\alpha_K$  would depend on  $p$  in logarithmic manner for this option. The topological condensation of could also eat a lot of  $CP_2$  volume for them.

### Eigenvalues of $D_{C-S}$ as vacuum expectations of Higgs field?

Infinite prime hypothesis implies the analog of p-adic length scale hypothesis but since pseudo-momenta are in question, this need not correspond to the p-adic length scale hypothesis for the actual masses justified by p-adic thermodynamics. Note also that  $L_{min}$  does not correspond to  $CP_2$  length scale. This is actually not a problem since the effective metric is not  $M^4$  metric and one can quite well consider the possibility that  $L_{min}$  corresponds to  $CP_2$  length scale in the the induced metric. The reason is that light-like 3- surface is in question the distance along the Kähler magnetic flux line reduces essentially to a distance along the partonic 2-surface having size scale of order  $CP_2$  length for the partonic 2-surfaces identified as wormhole throats. Therefore infinite prime can code for genuine



p-adic length scales associated with the light-like 3-surface and quantum states would correspond by number theoretical state function reduction hypothesis to single ordinary prime.

Support for this identification comes also from the expression of gravitational constant deduced from p-adic length scale hypothesis. The result is that gravitational constant is assumed to be proportional to have the expression  $G = L_p^2 \exp(-2S_K(CP_2))$ , where  $p$  characterizes graviton or the space-time sheet mediating gravitational interaction and exponent gives Kähler action for  $CP_2$  type vacuum extremal representing graviton. The argument allows to identify the p-adic prime  $p = M_{127}$  associated with electron (largest Mersenne prime which does not correspond to super-astronomical length scale) as the p-adic prime characterizing also graviton. The exponent of Kähler action is proportional to  $1/p$  which conforms with the general expression for Kähler function. I have considered several identifications of the numerical factor and one of them has been as product of primes  $2 \leq p \leq 23$  assuming that somehow the primes  $\{2, \dots, 23, p\}$  characterize graviton. This guess is indeed consistent with the prediction of the infinite-prime hypothesis.

The first guess inspired by the p-adic mass calculations is that the squares  $\lambda_i^2$  of the eigenvalues of  $D_{C-S}$  could correspond to the conformal weights of ground states. Another natural physical interpretation of  $\lambda$  is as an analog of the Higgs vacuum expectation. The instability of the Higgs=0 phase would correspond to the fact that  $\lambda = 0$  mode is not localized to any region in which ew magnetic field or induced Kähler field is non-vanishing. By the previous argument one would have order of magnitude estimate  $h_0 = \sqrt{2\pi/L_{min}}$ .

1. The vacuum expectation value of Higgs is only proportional to the scale of  $\lambda$ . Indeed, Higgs and gauge bosons as elementary particles correspond to wormhole contacts carrying fermion and anti-fermion at the two wormhole throats and must be distinguished from the space-time correlate of its vacuum expectation as something proportional to  $\lambda$ . For free fermions the vacuum expectation value of Higgs does not seem to be even possible since free fermions do not correspond to wormhole contacts between two space-time sheets but possess only single wormhole throat (p-adic mass calculations are consistent with this). If fermion suffers topological condensation as indeed assumed to do in interaction region, a wormhole contact is generated and makes possible the generation of Higgs vacuum expectation value.
2. Physical considerations suggest that the vacuum expectation of Higgs field corresponds to a particular eigenvalue  $\lambda_i$  of modified Chern-Simons Dirac operator so that the eigenvalues  $\lambda_i$  would define TGD counterparts for the minima of Higgs potential. For the minimal option one has only a finite number of pseudo-mass eigenvalues inversely proportional  $\sqrt{p}$  so that the identification as a Higgs vacuum expectation is consistent with the p-adic length scale hypothesis. Since the vacuum expectation of Higgs corresponds to a condensate of wormhole contacts giving rise to a coherent state, the vacuum expectation cannot be present for topologically condensed  $CP_2$  type vacuum extremals representing fermions since only single wormhole throat is involved. This raises a hen-egg question about whether Higgs contributes to the mass or whether Higgs is only a correlate for massivation having description using more profound concepts. From TGD point of view the most elegant option is that Higgs does not give rise to mass but Higgs vacuum expectation value accompanies bosonic states and is naturally proportional to  $\lambda_i$ . With this interpretation  $\lambda_i$  could give a contribution to both fermionic and bosonic masses.
3. If the coset construction for super-symplectic and super Kac-Moody algebra implying Equivalence Principle is accepted, one encounters what looks like a problem. p-Adic mass calculations require negative ground state conformal weight compensated by Super Virasoro generators in order to obtain massless states. The tachyonicity of the ground states would mean a close analogy with both string models and Higgs mechanism.  $\lambda_i^2$  is very natural candidate for the ground state conformal weights identified but would have wrong sign. Therefore it seems that  $\lambda_i^2$  can define only a deviation of the ground state conformal weight from negative value and is positive.
4. In accordance with this  $\lambda_i^2$  would give constant contribution to the ground state conformal weight. What contributes to the thermal mass squared is the deviation of the ground state conformal weight from half-odd integer since the negative integer part of the total conformal weight can be compensated by applying Virasoro generators to the ground state. The first guess motivated by cyclotron energy analogy is that the lowest conformal weights are of form  $h_c = -n/2 + \lambda_i^2$  where the negative contribution comes from Super Virasoro representation. The

negative integer part of the net conformal weight can be canceled using Super Virasoro generators but  $\Delta h_c$  would give to mass squared a contribution analogous to Higgs contribution. The mapping of the real ground state conformal weight to a p-adic number by canonical identification involves some delicacies.

5. p-Adic mass calculations are consistent with the assumption that Higgs type contribution is vanishing (that is small) for fermions and dominates for gauge bosons. This requires that the deviation of  $\lambda_i^2$  with smallest magnitude from half-odd integer value in the case of fermions is considerably smaller than in the case of gauge bosons in the scale defined by p-adic mass scale  $1/L(k)$  in question. Somehow this difference could relate to the fact that bosons correspond to pairs of wormhole throats.

### Is there a connection between p-adic thermodynamics, hierarchy of Planck constants, and infinite primes

The following observations suggest that there might be an intrinsic connection between p-adic thermodynamics, hierarchy of Planck constants, and infinite primes.

1. p-Adic thermodynamics [20] is based on string mass formula in which mass squared is proportional to conformal weight having values which are integers apart from the contribution of the conformal weight of vacuum which can be non-integer valued. The thermal expectation in p-adic thermodynamics is obtained by replacing the Boltzman weight  $\exp(-E/T)$  of ordinary thermodynamics with p-adic conformal weight  $p^{n/T_p}$ , where  $n$  is the value of conformal weight and  $1/T_p = m$  is integer values inverse p-adic temperature. Apart from the ground state contribution and scale factor p-adic mass squared is essentially the expectation value

$$\langle n \rangle = \frac{\sum_n g(n) n p^{\frac{n}{T_p}}}{\sum_n g(n) p^{\frac{n}{T_p}}} . \quad (13.5.22)$$

$g(n)$  denotes the degeneracy of a state with given conformal weight and depends only on the number of tensor factors in the representations of Virasoro or Super-Virasoro algebra. p-Adic mass squared is mapped to its real counterpart by canonical identification  $\sum x_n p^n \rightarrow \sum x_n p^{-n}$ . The real counterpart of p-adic thermodynamics is obtained by the replacement  $p^{-\frac{n}{T_p}}$  and gives under certain additional assumptions in an excellent accuracy the same results as the p-adic thermodynamics.

2. An intriguing observation is that one could interpret p-adic and real thermodynamics for mass squared also in terms of number theoretic thermodynamics for the number theoretic momentum  $\log(p^n) = n \log(p)$ . The expectation value for this differs from the expression for  $\langle n \rangle$  only by the factor  $\log(p)$ .
3. In the proposed characterization of the partonic orbits in terms of infinite primes the primes appearing in infinite prime are identified as p-adic primes. For minimal option the p-adic prime characterizes  $\sqrt{p}$ - or  $p$ - multiple of the minimum length  $L_{min}$  of braid strand in the effective metric defined by modified Chern-Simons gamma matrix. One can consider also  $(\sqrt{p})^n$  and  $p^n$  (p-adic fractality)- and even integer multiples of  $L_{min}$  if they are below  $L_{max}$ . If light-like 3-surface contains vacuum regions arbitrary large  $p$ :s are possible since for these one has  $L_{min} \rightarrow \infty$ . Number theoretic state function reduction implies that only single  $p$  can be realized -one might say "is active"- for a given quantum state. The powers  $p_i^n$  appearing in the infinite prime have interpretation as many particle states with total number theoretic momentum  $n_i \log(p)_i$ . For the finite part of infinite prime one has one fermion and  $n_i - 1$  bosons and for the bosonic part  $n_i$  bosons. The arithmetic QFT associated with infinite primes - in particular the conservation of the number theoretic momentum  $\sum n_i \log(p)_i$  - would naturally describe the correlations between the geometries of light-like 3-surfaces representing the incoming lines of the vertex of generalized Feynman diagram. As a matter fact, the momenta associated with different primes are separately conserved so that one has infinite number of conservation laws.

4. One must assign two infinite primes to given partonic two surface so that one has for a given prime  $p$  two integers  $n_+$  and  $n_-$ . Also the hierarchy of Planck constants assigns to a given page of the Big Book two integers and one has  $\hbar = n_a n_b \hbar_0$ . If one has  $n_a = n_+$  and  $n_b = n_-$  then the reactions in which given initial number theoretic momenta  $n_{\pm, i} \log(p_i)$  is shared between final states would have concrete interpretation in terms of the integers  $n_a, n_b$  characterizing the coverings of incoming and outgoing lines.

Note that one can also consider the possibility that the hierarchy of Planck constants emerges from the basic quantum TGD. Basically due to the vacuum degeneracy of Kähler action the canonical momentum densities correspond to several values of the time derivatives of the imbedding space coordinates so that for a given partonic 2-surface there are several space-time sheets with same conserved quantities defined by isometry currents and Kähler current. This forces the introduction of  $N$ -fold covering of  $CD \times CP_2$  in order to describe the situation. The splitting of the partonic 2-surface into  $N$  pieces implies a charge fractionization during its travel to the upper end of  $CD$ . One can also develop an argument suggesting that the coverings factorize to coverings of  $CD$  and  $CP_2$  so that the number of the sheets of the covering is  $N = n_a n_b$  [17].

These observations make one wonder whether there could be a connection between p-adic thermodynamics, hierarchy of Planck constants, and infinite primes.

1. Suppose that one accepts the identification  $n_a = n_+$  and  $n_b = n_-$ . Could one perform a further identification of these integers as non-negative conformal weights characterizing physical states so that conservation of the number theoretic momentum for a given p-adic prime would correspond to the conservation of conformal weight. In p-adic thermodynamics this conformal weight is sum of conformal weights of 5 tensor factors of Super-Virasoro algebra. The number must be indeed five and one could assign them to the factors of the symmetry group. One factor for color symmetries and two factors of electro-weak  $SU(2)_L \times U(1)$  are certainly present. The remaining two factors could correspond to transversal degrees of freedom assignable to string like objects but one can imagine also other identifications [20].
2. If this interpretation is correct, a given conformal weight  $n = n_a = n_+$  (say) would correspond to all possible distributions of five conformal weights  $n_i$ ,  $i = 1, \dots, 5$  between the  $n_a$  sheets of covering of  $CD$  satisfying  $\sum_{i=1}^5 n_i = n_a = n_+$ . Single sheet of covering would carry only unit conformal weight so that one would have the analog of fractionization also now and a possible interpretation would be in terms of the instability of states with conformal weight  $n > 1$ . Conformal thermodynamics would also mean thermodynamics in the space of states determined by infinite primes and in the space of coverings.
3. The conformal weight assignable to the  $CD$  would naturally correspond to mass squared but there is also the conformal weight assignable to  $CP_2$  and one can wonder what its interpretation might be. Could it correspond to the expectation of pseudo mass squared characterizing the generalized eigenstates of the modified Dirac operator? Note that one should allow in the spectrum also the powers of hyper-complex primes up to some maximum power  $p^{n_{max}/2} \leq L_{max}/L_{min}$  so that Dirac determinant would be non-vanishing and Kähler function finite. From the point of conformal invariance this is indeed natural.

## 13.6 Quantum Hall effect, charge fractionization, and hierarchy of Planck constants

In this section the most recent view about the relationship between dark matter hierarchy and quantum Hall effect is discussed. This discussion leads to a more realistic view about FQHE allowing to formulate precisely the conditions under which anyons emerge, describes the fractionization of electric and magnetic charges in terms of the delicacies of the Kähler gauge potential of generalized imbedding space, and relates the TGD based model to the original model of Laughlin. The discussion allows also to sharpen the vision about the formulation of quantum TGD itself.

### 13.6.1 Quantum Hall effect

Recall first the basic facts. Quantum Hall effect (QHE) [74, 70, 106] is an essentially 2-dimensional phenomenon and occurs at the end of current carrying region for the current flowing transversally along the end of the wire in external magnetic field along the wire. For quantum Hall effect transversal Hall conductance characterizing the 2-dimensional current flow is dimensionless and quantized and given by

$$\sigma_{xy} = 2\nu\alpha_{em} ,$$

$\nu$  is so called filling factor telling the number of filled Landau levels in the magnetic field. In the case of integer quantum Hall effect (IQHE)  $\nu$  is integer valued. For fractional quantum Hall effect (FQHE)  $\nu$  is rational number. Laughlin introduced his many-electron wave function predicting fractional quantum Hall effect for filling fractions  $\nu = 1/m$  [74]. The further attempts to understand FQHE led to the notion of anyon by Wilzeck [70]. Anyon has been compared to a vortex like excitation of a dense 2-D electron plasma formed by the current carriers.  $\nu$  is inversely proportional to the magnetic flux and the fractional filling factor can be also understood in terms of fractional magnetic flux.

The starting point of the quantum field theoretical models is the effective 2-dimensionality of the system implying that the projective representations for the permutation group of  $n$  objects are representations of braid group allowing fractional statistics. This is due to the non-trivial first homotopy group of 2-dimensional manifold containing punctures. Quantum field theoretical models allow to assign to the anyon like states also magnetic charge, fractional spin, and fractional electric charge.

Topological quantum computation [66, 60, 35, 34] is one of the most fascinating applications of FQHE. It relies on the notion of braids with strands representing the orbits of anyons. The unitary time evolution operator coding for topological computation is a representation of the element of the element of braid group represented by the time evolution of the braid. It is essential that the group involved is non-Abelian so that the system remembers the order of elementary braiding operations (exchange of neighboring strands). There is experimental evidence that  $\nu = 5/2$  anyons possessing fractional charge  $Q = e/4$  are non-Abelian [75, 76].

During last year I have been developing a model for DNA as topological quantum computer [34]. Therefore it is of considerable interest to find whether TGD could provide a first principle description of anyons and related phenomena. The introduction of a hierarchy of Planck constants realized in terms of generalized imbedding space with a book like structure is an excellent candidate in this respect [35]. As a rule the encounters between real world and quantum TGD have led to a more precise quantitative articulation of basic notions of quantum TGD and the same might happen also now.

### 13.6.2 A simple model for fractional quantum Hall effect

The generalization of the imbedding space suggests that it could possible to understand fractional quantum Hall effect [106] at the level of basic quantum TGD as integer QHE for non-standard value of Planck constant.

The formula for the quantized Hall conductance is given by

$$\begin{aligned} \sigma &= \nu \times \frac{e^2}{h} , \\ \nu &= \frac{n}{m} . \end{aligned} \tag{13.6.1}$$

Series of fractions in  $\nu = 1/3, 2/5, 3/7, 4/9, 5/11, 6/13, 7/15, \dots, 2/3, 3/5, 4/7, 5/9, 6/11, 7/13, \dots, 5/3, 8/5, 11/7, 14/9, \dots, 4/3, 7/5, 10/7, 13/9, \dots, 1/5, 2/9, 3/13, \dots, 2/7, 3/11, \dots, 1/7, \dots$  with odd denominator have been observed as are also  $\nu = 1/2$  and  $\nu = 5/2$  states with even denominator [106].

The model of Laughlin [74] cannot explain all aspects of FQHE. The best existing model proposed originally by Jain is based on composite fermions resulting as bound states of electron and even number of magnetic flux quanta [73]. Electrons remain integer charged but due to the effective magnetic field electrons appear to have fractional charges. Composite fermion picture predicts all the observed fractions and also their relative intensities and the order in which they appear as the quality of sample improves.

Before proposing the TGD based model of FQHE as IQHE with non-standard value of Planck constant, it is good to represent a simple explanation of IQHE effect. Choose the coordinates of the current carrying slab so that  $x$  varies in the direction of Hall current and  $y$  in the direction of the main current. For IQHE the value of Hall conductivity is given by  $\sigma = j_y/E_x = n_e ev/vB = n_e e/B = Ne^2/hBS = Ne^2/mh$ , where  $m$  characterizes the value of magnetized flux and  $N$  is the total number of electrons in the current. In the Landau gauge  $A_y = xB$  one can assume that energy eigenstates are momentum eigenstates in the direction of current and harmonic oscillator Gaussians in  $x$ -direction in which Hall current runs. This gives

$$\Psi \propto \exp(iky)H_n(x + kl^2)\exp(-\frac{(x+kl^2)^2}{2l^2}) , \quad l^2 = \frac{\hbar}{eB} . \tag{13.6.2}$$

Only the states for which the oscillator Gaussian differs considerably from zero inside slab are important so that the momentum eigenvalues are in good approximation in the range  $0 \leq k \leq k_{max} = L_x/l^2$ . Using  $N = (L_y/2\pi) \int_0^{k_{max}} dk$  one obtains that the total number of momentum eigenstates associated with the given value of  $n$  is  $N = eBdL_xL_y/h = n$ . If  $\nu$  Landau states are filled, the value of  $\sigma$  is  $\sigma = \nu e^2/h$ .

The interpretation of FQHE as IQHE with non standard value of Planck constant could explain also the fractionization of charge, spin, and electron number. There are  $2 \times 2 = 4$  combinations of covering and factor spaces of  $CP_2$  and three of them can lead to the increase or at least fractionization of the Planck constant required by FQHE.

1. The prediction for the filling fraction in FQHE would be

$$\nu = \nu_0 \frac{\hbar_0}{\hbar} , \quad \nu_0 = 1, 2, \dots . \tag{13.6.3}$$

$\nu_0$  denotes the number of filled Landau levels.

2. Let us denote the options as C-C, C-F, F-C, F-F, where the first (second) letter tells whether a singular covering or factor space of  $CD$  ( $CP_2$ ) is in question. The observed filling fractions are consistent with options C-C, C-F, and F-C for which  $CD$  or  $CP_2$  or both correspond to a singular covering space. The values of  $\nu$  in various cases are given by the following table.

<i>Option</i>	<i>C – C</i>	<i>C – F</i>	<i>F – C</i>
$\nu$	$\frac{\nu_0}{n_a n_b}$	$\frac{\nu_0 n_b}{n_a}$	$\frac{\nu_0 n_a}{n_b}$

(13.6.4)

There is a complete symmetry under the exchange of  $CD$  and  $CP_2$  as far as values of  $\nu$  are considered.

3. All three options are consistent with observations. Charge fractionization allows only the options  $C – C$  and  $F – C$ . If one believes the general arguments stating that also spin is fractionized in FQHE then only the option  $C – C$ , for which charge and spin units are equal to  $1/n_b$  and  $1/n_a$  respectively, remains. For  $C – C$  option one must allow  $\nu_0 > 1$ .
4. Both  $\nu = 1/2$  and  $\nu = 5/2$  state has been observed [106, 72]. The fractionized charge is believed to be  $e/4$  in the latter case [75, 76]. This requires  $n_b = 4$  allowing only  $(C, C)$  and  $(F, C)$  options.  $n_i \geq 3$  holds true if coverings and factor spaces are correlates for Jones inclusions and this gives additional constraint. The minimal values of  $(\nu_0, n_a, n_b)$  are  $(2, 1, 4)$  for  $\nu = 1/2$  and  $(10, 1, 4)$  for  $\nu = 5/2$  for both  $C – C$  and  $F – C$  option. Filling fraction  $1/2$  corresponds in the composite fermion model and also experimentally to the limit of zero magnetic field [73].  $n_b = 2$  would be inconsistent with the observed fractionization of electric charge for  $\nu = 5/2$  and with the vision inspired by Jones inclusions implying  $n_i \geq 3$ .

5. A possible problematic aspect of the TGD based model is the experimental absence of even values of  $m$  except  $m = 2$  (Laughlin's model predicts only odd values of  $m$ ). A possible explanation is that by some symmetry condition possibly related to fermionic statistics (as in Laughlin model) both  $n_a$  and  $n_b$  must be odd. This would require that  $m = 2$  case differs in some manner from the remaining cases.
6. Large values of  $m$  in  $\nu = n/m$  emerge as  $B$  increases. This can be understood from flux quantization. One has  $e \int B dS = n\hbar$ . By using actual fractional charge  $e_F = e/n_b$  in the flux factor would give for  $(C, C)$  option  $e_F \int B dS = nn_a \hbar_0$ . The interpretation is that each of the  $n_b$  sheets contributes one unit to the flux for  $e$ . Note that the value of magnetic field at given sheet is not affected so that the build-up of multiple covering seems to keep magnetic field strength below critical value.
7. The understanding of the thermal stability is not trivial. The original FQHE was observed in 80 mK temperature corresponding roughly to a thermal energy of  $T \sim 10^{-5}$  eV. For graphene the effect is observed at room temperature. Cyclotron energy for electron is (from  $f_e = 6 \times 10^5$  Hz at  $B = .2$  Gauss) of order thermal energy at room temperature in a magnetic field varying in the range 1-10 Tesla. This raises the question why the original FQHE requires such a low temperature. A possible explanation is that since FQHE involves several values of Planck constant, it is quantum critical phenomenon and is characterized by a critical temperature. The differences of single particle energies associated with the phase with ordinary Planck constant and phases with different Planck constant would characterize the transition temperature.

### 13.6.3 Description of QHE in terms of hierarchy of Planck constants

The proportionality  $\sigma_{xy} \propto \alpha_{em} \propto 1/\hbar$  suggests an explanation of FQHE [70, 74, 106] in terms of the hierarchy of Planck constants. Perhaps filling factors and magnetic fluxes are actually integer valued but the value of Planck constant defining the unit of magnetic flux is changed from its standard value - to its rational multiple in the most general case. The killer test for the hypothesis is to find whether higher order perturbative QED corrections in powers of  $\alpha_{em}$  are reduced from those predicted by QED in QHE phase. The proposed general principle governing the transition to large  $\hbar$  phase is states that Nature loves lazy theoreticians: if perturbation theory fails to converge, a phase transition increasing Planck constant occurs and guarantees the convergence. Geometrically the phase transition corresponds to the leakage of 3-surface from a given 8-D page to another one in the Big Book having singular coverings and factor spaces of  $CD \times CP_2$  as pages. Only cover

The hierarchy of Planck constants strongly suggests the emergence of quantum groups and fractionalization of quantum numbers [19]. The challenge is to figure out the details and see whether this framework is consistent with what is known about FQHE. At least the following questions pop up immediately in the mind of physicist.

1. What the effective 2-dimensionality of the system exhibiting QHE corresponds in TGD framework?
2. What happens in the phase transition leading to the phase exhibiting QHE effect?
3. What are the counterparts anyons? How the fractional electric and magnetic charges emerge at classical and quantum level in the two descriptions?

The TGD inspired description of charge fractionization is based on the weak form of electric-magnetic duality and the reduction of the hierarchy of Planck constants to the basic quantum TGD. Also now one can raise a series of questions.

1. Electric magnetic duality provides a natural description of charge quantization and fractionization. The explanation for the hierarchy of Planck constants predicts that all charges- even Noether charges- are fractionized in the same manner and come as multiples of  $1/n_a$  and  $1/n_b$ . Does this prediction make sense physically?
2. Does the singular gauge part  $\Delta A = d\Phi$  of Kähler gauge potential whose exponent is  $n_a$ - ( $n_b$ -) valued function of appropriate angle coordinates of  $M^4$  and  $CP_2$  provide a description of charge

fractionization for a given sheet of the covering associated with a given value of Planck constant? Does this description reduce to the measurement interaction term which is indeed effective gauge part added to the Kähler gauge potential of either space-time surface or of wormhole throats or ends of space-time surface.

3. The Chern-Simons action associated with the induced Kähler gauge potential is Abelian: is this consistent with the non-Abelian character of the braiding matrix?

In the following I try to summarize the basic ideas giving hopes about a coherent description of quantum Hall effect and charge and spin fractionization in TGD framework.

### Hierarchy of Planck constants and book like structure of imbedding space

TGD leads to a description for the hierarchy of Planck constants in terms of the generalization of  $CD \times CP_2$  to book like structure. To be more precise, the generalization takes place for any region  $CD \times CP_2 \subset H$ , where  $CD$  corresponds to a causal diamond defined as an intersection of future and past directed light-cones of  $M^4$ .  $CD$ s play key role in the formulation of quantum TGD in zero energy ontology in which the light-like boundaries of  $CD$  connected by light-like 3-surfaces can be said to be carriers of positive and negative energy parts of zero energy states. They are also crucial for TGD inspired theory of consciousness, in particular for understanding the relationship between experienced and geometric time [40].

1. *Should one postulate the hierarchy of Planck constants separately?*

In the most general case both  $CD$  and  $CP_2$  are replaced with a book like structure consisting of singular coverings and factor spaces associated with them. A simple geometric argument identifying the square of Planck constant as scaling factor of the covariant metric tensor of  $M^4$  (or actually  $CD$ ) leads in the most general case to the identification of Planck constant as the ratio  $\hbar/\hbar_0 = x_a x_b$ , where  $x = n$  holds true for a singular covering of  $X$  and  $x = 1/n$  holds true for a singular factor space.  $x$  is the order of the maximal cyclic subgroup of the covering/divisor group  $G \subset SO(3)$ . The order of  $G$  can be thus larger than  $n$ . As a consequence, the spectrum of Planck constants is in principle rational-valued.  $\hbar_0$  is unique since it corresponds to the unit of rational numbers.

2. *Does the hierarchy follow from the basic quantum TGD?*

The proposed option is too general if one believes on the argument reducing the hierarchy of Planck constants to the basic quantum TGD. Recall that the argument goes as follows.

1. By the extreme non-linearity of the Kähler action the correspondence between the time derivatives of the imbedding space coordinates and canonical momentum densities is many-to-one. This leads naturally to the introduction of covering spaces of  $CD \times CP_2$ , which are singular in the sense that the sheets of the covering co-incide at the ends of  $CD$  and at wormhole throats. One can say that quantum criticality means also the instability of the 3-surfaces defined by the throats and the ends against the decay to several space-time sheets and consequent charge fractionization. The interpretation is as an instability caused by too strong density of mass and making perturbative description possible since the matter density at various branches is reduced. The nearer the vacuum extremal the system is, the lower the mass density needed to induce the instability is and the larger is the number of sheets resulting in this manner is.
2. The singular regions of the covering are regions in which the integer characterizing the multiple-valuedness of the time derivatives of the imbedding space coordinates as functions of canonical momentum densities is reduced from the maximal value. The reduction to single sheeted covering could (but need not!) take place over any Lagrangian manifold of  $CP_2$  rather than only over a homologically trivial geodesic sphere and would thus directly correspond to the vacuum degeneracy of Kähler action. One can also imagine the reduction of the integer characterizing multivaluedness to a smaller value different from one in non-vacuum regions.
3. In  $M^4$  degrees of freedom branching to a single sheeted covering can occur over any partonic 2-surface which does not enclose the tip of  $CD$ . In this case the Kähler gauge potential would contain a singular gauge term having an archetypal form  $\Delta A = d\phi/n_a$  at say upper hemisphere so that the magnetic flux would receive a non-vanishing contribution from North pole and give

rise to a fractionized Kähler magnetic and therefore also to Kähler electric charge. This term is pure gauge for all partonic 2-surface not containing the tip of  $CD$ . Thus one species of anyons would be associated with this kind of partonic 2-surfaces. Second species would correspond to singular gauge transforms about which example would be  $\Delta A = d\Psi/n_b$ , where  $\Psi$  is the angle coordinate associated with a homologically non-trivial geodesic sphere. The modification of the Kähler gauge potential could be interpreted in terms of a measurement interaction term added to the Dirac action and their sum at the ends would give rise to the non-fractional contribution to the measurement interaction term. This kind of term would be also associated with Noether charges such as 4-momentum. Depending on whether one considers the end of space-time sheet or at wormhole throat, the measurement interaction term would be given as  $1/n_b$  or  $1/n_a$  multiple of the measurement interaction term in absence of branching and would be more complex than the simple archetypal forms. The general form of the measurement interaction term is discussed in [18].

4. Classically the fractional Noether charges would emerge from Chern-Simons representation of Kähler function with the Lagrangian multiplier term realizing the weak form of electric-magnetic duality as a constraint. The latter term would be responsible for the non-vanishing values of four-momentum and angular momentum. The isometry charges in  $CP_2$  degrees of freedom would receive a contribution also from the Chern-Simons term.
5. The situation can be described mathematically either by using effectively only single sheet but an integer multiple of Planck constant or many-sheeted covering and ordinary value of Planck constant. In [35] the argument that this indeed leads to hierarchy of Planck constants including charge fractionization is developed in detail. The restriction to singular coverings is consistent with the experimental constraints and means that only integer valued Planck constants are possible. A given value of Planck constant corresponds only to a finite number of the pages of the Big Book and that the evolution by quantum jumps is analogous to a diffusion at half-line and tends to increase the value of Planck constant.
6. The following argument would suggest a direct connection between vacuum degeneracy, coverings, and the hierarchy of infinite primes. For vacuum extremal the number of sheets is formally infinite but the sheets are in a well-define sense "passive". On the other hand, by the arguments of [18] the numbers  $n_a$  and  $n_b$  for sheets correspond to powers  $p^{n_a}$  and  $p^{n_b}$  for a prime appearing in infinite prime characterizing the partonic 3-surface and having interpretation as particle numbers. The unit infinite primes  $X \pm 1$  correspond to the two basic infinite primes having interpretation as fermionic vacua are interpreted as Dirac sea: the numbers of bosons and fermions are vanishing for them. This suggests that the fermions of Dirac sea correspond to the "passive" sheets. This raises the question whether one could characterize the infinite degeneracy associated with vacuum extremals by these two infinite primes and non-vacuum extremals by infinite primes for which boson and fermion numbers are non-vanishing. The two infinite primes would correspond to  $CD$  and  $CP_2$  degrees of freedom. They could also correspond to the space-time sheets of Euclidian and Minkowskian signature of the induced metric meeting at the wormhole throat at which the induced 4-metric is degenerate. Bose-Einstein condensate of  $n_i$  bosons ( $i = a, b$ ) or fermion plus  $n_i - 1$  bosons would correspond to  $n_i$  sheets of covering.

Arithmetic quantum field theory allows infinite number of conservation laws corresponding to the conservation of the number theoretic momentum  $p = \sum_i n_i \log(p_i)$  which forces separate conservation of each number theoretic momentum  $n_i \log(p_i)$  since the logarithms of primes are linearly independent in the realm of rationals. This conservation law could correlate the partonic lines arriving in the interaction vertices and state that the total number of sheets of the covering is conserved although it can be shared by several partonic space-time sheets in the final state.

The reduction of the hierarchy of Planck constants to basic quantum TGD is of course only an interesting idea and the best strategy to proceed is to develop objections against it.

1. The branching of partonic 2-surfaces at the ends of space-time sheets and wormhole throats is analogous to the branching of the line of Feynman graph. The 3-D lines of generalized Feynman graphs indeed branch at the vertices and this leads to the basic objection against the proposed interpretation of the fractionization. Could one consider the possibility that branching



corresponds to what happens in the vertices of Feynman diagrams? This cannot not seem to be the case. The point is that canonical momentum densities are identical so that also the conserved classical Noether and Kähler charges associated with various branches should be the same.

2. The value of gravitational Planck constant is enormous and one would mean enormously many-fold branching of partonic 2-surfaces of astrophysical size. Does this really make sense? Is this simply due the fact that the basic parameter  $GM_1M_2$  characterizing the strength of gravitational interaction is much larger than unity so that perturbation theory in terms of it fails to converge and the splitting to  $\hbar_{gr}/\hbar_0$  sheets guarantees that the perturbation theory at each sheet converges.
3. One can also ask whether the fractional charges can be observed directly since it seems that only the partonic 2-surfaces at the ends of the space-time sheet are observable.
4. Perhaps the most serious objection relates to the basic intuition about scaling of quantum lengths by  $\hbar$  since this scaling is fundamental for all predictions in the model of quantum biology. It is not obvious why the basic quantum lengths in  $M^4$  degrees of freedom - in particular the size scale of  $CD$  - should be scaled up by  $n_a n_b$ . Could this scaling up result dynamically or can one find some simple kinematic argument forcing the size scale spectrum of  $CD$ s? Kinematic argument is more plausible and indeed exists. Suppose that one can speak about plane waves  $\exp(inEt/\hbar_0)$ , where  $t$  is proper time coordinate associated with the line connecting the tips of  $CD$ . Periodic boundary conditions at  $t = T$  imply  $E = n\hbar_0/2\pi T$  where  $T$  is the proper time distance between the tips of  $CD$ . Suppose that  $\hbar_0$  is replaced with its  $n_a n_b$  multiple in the plane wave. As a consequence, the plane waves for sheets and for same value of  $E$  do not anymore satisfy periodic boundary conditions at  $t = T$  anymore. These conditions are however satisfied for  $t = n_a n_b T$ .

### 3. Connection with quantum measurement theory

The hierarchy of Planck constants relates closely to quantum measurement theory. The selection of quantization axis implied by the gauge terms  $\Delta A$  proportional to appropriate angle coordinates has a direct correlate at the level of imbedding space geometry. This means breaking of isometries of  $H$  for a given  $CD$  with preferred choice time axis (rest frame) and quantization axis of spin. For  $CP_2$  the choice of the quantization axes of color hyper charge and isospin imply symmetry breaking  $SU(3) \rightarrow U(2) \rightarrow U(1) \times U(1)$ . The "world of classical worlds" (WCW) is union over all Poincare and color translates of given  $CD \times CP_2$  so that these symmetries are not lost at the level of WCW although the loss can happen at the level of quantum states.

### 4. How the different sectors of the generalized imbedding space are glued together?

Intuitively the scaling of Planck constant scales up quantum lengths, in particular the size of  $CD$ . This looks trivial but one one must describe precisely what is involved to check internal consistency and also to understand how to model the quantum phase transitions changing Planck constant. The first manner to understand the situation is to consider  $CD$  with a fixed range of  $M^4$  coordinates. The scaling up of the covariant Kähler metric of  $CD$  by  $r^2 = (\hbar/\hbar_0)^2$  scales up the size of  $CD$  by  $r$ . Another manner to see the situation is by scaling up the linear  $M^4$  coordinates by  $r$  for the larger  $CD$  so that  $M^4$  metric becomes same for both  $CD$ s. The smaller  $CD$  is glued to the larger one isometrically together along  $(M^2 \cap CD) \subset CD$  anywhere in the interior of the larger  $CD$ . What happens is non-trivial for the following reasons.

1. The singular coverings (and possibly also factor spaces) are different and  $M^4$  scaling is not a symmetry of the Kähler action so that the preferred extrema in the two cases do not relate by a simple scaling. The interpretation is in terms of the coding of the radiative corrections in powers of  $\hbar$  to the shape of the preferred extremals. This becomes clear from the representation of Kähler action in which  $M^4$  coordinates have the same range for two  $CD$ s but  $M^4$  metric differs by  $r^2$  factor.
2. In common  $M^4$  coordinates the  $M^4$  gauge part  $A_a$  of  $CP_2$  Kähler potential for the larger  $CD$  differs by a factor  $1/r$  from that for the smaller  $CD$ . This guarantees the invariance of four-momentum assignable to Chern-Simons action in the phase transition changing  $\hbar$ . The resulting

discontinuity of  $A_a$  at  $M^2$  is analogous to a static voltage difference between the two  $CD$ s and  $M^2$  could be seen as an analog of Josephson junction. In absence of dissipation (expected in quantum criticality) the Kähler voltage could generate oscillatory fermion, em, and  $Z^0$  Josephson currents between the two  $CD$ s. Fermion current would flow in opposite directions for fermions and antifermions and also for quarks and leptons since Kähler gauge potential couples to quarks and leptons with opposite signs. In presence of dissipation fermionic currents would be ohmic and could force quarks and leptons and matter and antimatter to different pages of the Big Book. Quarks inside hadrons could have nonstandard value of Planck constant.

### Measurement interaction term as gauge transform of Kähler gauge potential and description of charge fractionization in terms of singular gauge transforms

The introduction of a gauge part to the Kähler gauge potential of the imbedding space looks somewhat tricky idea. Can one really assign non-trivial physics to a mere gauge transformation? This is certainly the case if the gauge transformation is singular and induces a fractional Kähler magnetic charge and by electric-magnetic duality also a fractional Kähler electric charge. The introduction of a measurement interaction term as a formal gauge transform of the Kähler gauge potential only in Dirac Kähler action or Kähler Chern-Simons Dirac action but not both provides a second manner to achieve a non-trivial physical effect. It is good to summarize the background in more detail before continuing.

The idea about description of quantum Hall effect in terms of a gauge part of Kähler gauge potential emerged from the idea that Chern-Simons action for Kähler gauge potential (equivalently the for induced classical color gauge field proportional to the Kähler form) could define TGD as an almost topological QFT. It turned out however that Kähler action and the corresponding modified Dirac action containing also Chern-Simons boundary term with the constraint term coming from electric-magnetic duality are the fundamental actions. The general ansatz for the classical field equations based on the proportionality of Kähler current to instanton current reduces TGD to almost topological QFT with action reducing to Chern-Simons term with a Lagrangian multiplier term guaranteeing the weak form of electric-magnetic duality. This term is of extreme importance since the extremals of mere Chern-Simons action would give rise to identically vanishing Kähler function and Kähler metric and WCW metric would not have any  $M^4$  part even if one gives up the extremality condition.

The measurement interaction term which corresponds to a gauge part of the Kähler gauge potential and can be added either to the interior part of Kähler Dirac action (and Kähler action) or to the Chern-Simons Dirac action. The measurement interaction term therefore modifies the physics and is visible also in the classical dynamics by the proportionality of Kähler current to instanton current. Note that the modification of Chern-Simons term assigned to the ends of the space-time sheet and to wormhole throats affects the space-time sheet since the Kähler action changes.

For Noether charges the Lagrangian multiplier term guaranteeing the weak form of electric magnetic duality in Chern-Simons action gives rise to non-vanishing Noether charges also in  $M^4$  degrees of freedom. The proposed view about the basic process behind the charge fractionization implies that all charges are fractionized in basically the same manner although it seems that  $M^4$  charges are  $n_b$  multiples and  $CP_2$  charges  $n_a$  multiples of  $1/n_a n_b$ . Also in this case the additional of a formal gauge term would realize the fractionization at the level of couplings and total anomalous coupling would correspond to a non-singular gauge transformation of  $A$ .

One can imagine several kinds of pseudo gauge transformations appearing in the measurement interaction term.

1. The first kind of gauge transformation corresponds to a gauge change for  $A_\mu$  with no reference to the fact that it is a projection of  $CP_2$  Kähler gauge potential. It is not clear whether measurement interaction could be induced also by this kind of gauge transform. In any case, the proposed form of measurement interaction can be interpreted in terms of a gauge transform at the level of imbedding space [18]
2. Second kind of gauge transformations are induced by the symplectic transformations of  $\delta M_\pm^4 \times CP_2$  and in general affect the induced metric and thus the gravitational properties of the system in the case of non-vacuum extremals. Furthermore, there exist no symplectic transformation allowing to eliminate the "gauge part" of  $A$  in  $M^2 \subset M^4$  or gauge part in  $CD \setminus M^2$  or  $CP_2 \setminus S^2$  if it corresponds to a scalar function which is discontinuous.  $\Delta A_\phi = k\phi$ ,  $k \neq n$ , where  $\phi$  is an angle variable in  $M^4$  or  $CP_2$  would represent a canonical example of this.

3. Third kind of gauge transform would characterize the pages of the Big Book and give rise to fractional Kähler magnetic charge and by definition would not be reducible to a gauge transform induced by a symplectic transformation. This raises the idea that the gauge parts of  $A$  in  $CD$  and  $CP_2$  could characterize the pages of the Big Book and thus the charge fractionization. In particular in the case of coverings one might argue that  $\Delta A$  must be pure gauge in the covering implying  $k = m/n_a$  or  $k = m/n_b$ .

The simplest hypothesis is that the ordinary measurement interaction term for trivial covering is simply scaled down by  $1/n_a n_b$  in the interior of the space-time sheet and by  $1/n_b$  or  $1/n_a$  at its ends and at throats where  $n_b$  or  $n_a$  sheets co-incide. With this interpretation  $\Delta A$  would provide a description of physics at a particular sheet of covering and there would be no need to introduce anything new at the level of imbedding space geometry since the coverings of the imbedding space would provide only a formal tool to describe the situation caused by the extreme non-linearity of the Kähler action.

### 13.6.4 In what kind of situations do anyons emerge?

Charge fractionization is a fundamental piece of quantum TGD and should be extremely general phenomenon and the basic characteristic of dark matter known to contribute 95 per cent to the matter of Universe.

1. In TGD framework scaling  $\hbar = m\hbar_0$  implies the scaling of the unit of angular momentum for  $m$ -fold covering of  $CD$  only if the many particle state is  $Z_m$  singlet.  $Z_m$  singletness for many particle states allows of course non-singletness for single particle states. For factor spaces of  $CD$  -if present- the scaling  $\hbar \rightarrow \hbar/m$  is compensated by the scaling  $l \rightarrow ml$  for  $L_z = l\hbar$  guaranteeing invariance under rotations by multiples of  $2\pi/m$ . Again one can pose the invariance condition on many-particle states but not to individual particles so that genuine physical effect is in question.
2. There is analogy with  $Z_3$ -singletness holding true for many quark states and one cannot completely exclude the possibility that quarks are actually fractionally charged leptons with  $m = 3$ -covering of  $CP_2$  reducing the value of Planck constant [33, 35] so that quarks would be anyonic dark matter with smaller Planck constant and the impossibility to observe quarks directly would reduce to the impossibility for them to exist at our space-time sheet. Confinement would in this picture relate to the fractionization requiring that the 2-surface associated with quark must surround the tip of  $CD$ . Whether this option really works remains an open question. In any case, TGD anyons are quite generally confined around the tip of  $CD$ .
3. The model of DNA as topological quantum computer [34] assumes that DNA nucleotides are connected by magnetic flux tubes to the lipids of the cell membrane. In this case, p-adically scaled down u and d quarks and their antiquarks are assumed to be associated with the ends of the flux tubes and provide a representation of DNA nucleotides. Quantum Hall states would be associated with partonic 2-surfaces assignable to the lipid layers of the cell and nuclear membranes and also endoplasmic reticulum filling the cell interior and making it macroscopic quantum system and explaining also its stability. The entire system formed in this manner would be single extremely complex anyonic surface and the coherent behavior of living system would result from the fusion of anyonic 2-surfaces associated with cells to larger anyonic surfaces giving rise to organs and organisms and maybe even larger macroscopically quantum coherent connected systems. An interesting possibility is that the ends of the flux tubes assumed to connect DNA nucleotides to lipids of various membranes carry instead of  $u, d$  and their antiquarks fractionally charged electrons and neutrinos and their anti-particles having  $n_b = 3$  and large value of  $n_a$ .

In astrophysical scales gigantic values of Planck constants would be realized meaning coverings with huge number of sheets. This conforms with the fact that for vacuum extremals the coverings would be formally infinitely many sheeted.

1. Quite generally, one would expect that dark matter and its anyonic forms emerge in situations where the density of plasma like state of matter is very high so that  $N$ -fold cover of  $CD$  reduces the density of matter by  $1/N$  factor at given sheet of covering and thus also the repulsive

Coulomb energy. Plasma state resulting in QHE is one example of this. The interiors of neutron stars and black hole like structures are extreme examples of this, and I have proposed that black holes are dark matter with a gigantic value of gravitational Planck constant implying that black hole entropy -which is proportional to  $1/\hbar$  - is of the same order of magnitude and even smaller as the entropy assignable to the spin of elementary particle. If the covering results from the basic quantum TGD this entropy would characterize single sheet of the covering only. The fact that there are  $n_a n_b$  sheets would mean that the total entropy has just the standard value! Could this mean that entropy is the critical contrple parameter which splits the 3-surface into parallel sheets?

2. The confinement of matter inside black hole could have interpretation in terms of macroscopic anyonic 2-surfaces containing the topologically condensed elementary particles. This conforms with the TGD inspired model for the final state of star [32] inspiring the conjecture that even ordinary stars could possess onion like structure with thin layers with radii given by p-adic length scale hypothesis.
3. The idea about hierarchy of Planck constants was inspired by the finding that planetary orbits can be regarded as Bohr orbits [109, 35]: the explanation was that visible matter has condensed around dark matter at spherical cells or tubular structures around planetary orbits. This led to the proposal that planetary system has formed through this kind of condensation process around spherical shells or flux tubes surrounding planetary orbits and containing dark matter.

The question why dark matter would concentrate around flux tubes surrounding planetary orbits was not answered. The answer could be that dark matter is anyonic matter at partonic 2-surfaces whose light-like orbits define the basic geometric objects of quantum TGD. These partonic 2-surfaces could contain a central spherical anyonic 2-surface connected by radial flux tubes to flux tubes surrounding the orbits of planets and other massive objects of solar system to form connected anyonic surfaces analogous to elementary particles.

4. If factor spaces appear in  $M^4$  degrees of freedom, they give rise to  $Z_n \subset G_a$  symmetries. In astrophysical systems the large value of  $\hbar$  necessarily requires a large value of  $n_a$  for  $CD$  coverings as the considerations of [38] - in particular the model for graviton dark graviton emission and detection - forces to conclude. The same conclusion follows also from the absence of evidence for exact orbifold type symmetries in  $M^4$  degrees of freedom for dark matter in astrophysical scales.

Coverings alone are enough to produce rational number valued spectrum for  $\hbar$  consistent with the observed spectrum of  $\nu$ , and one must keep in mind that the applications of theory do not allow to decide whether singular factor spaces are really needed and that the reduction of the hierarchy of Planck constants to basic quantum TGD for coverings disfavors the factor spaces. The possibility to interpret evolution in terms of the increase of Planck constant also favors coverings-only option.

### 13.6.5 What happens in FQHE?

This picture suggest following description for what would happen in QHE in TGD Universe accepting the C-C option implied by the basic quantum TGD.

1. Light-like 3-surfaces - locally random light-like orbits of partonic 2-surfaces- are identifiable as very tiny wormhole throats in the case of elementary particles. This is the case for electrons in particular. Partonic surfaces can be also large, even macroscopic, and the size scales up in the scaling of Planck constant. To avoid confusion, it must be emphasized that light-likeness is with respect to the induced metric and does not imply expansion with light velocity in Minkowski space since the contribution to the induced metric implying light-likeness typically comes from  $CP_2$  degrees of freedom. Strong classical gravitational fields are present near the wormhole throats. Second important point is that regions of space-time surface with Euclidian signature of the induced metric are implied:  $CP_2$  type extremals representing elementary particles and having light-like random curve as  $CP_2$  projection represents basic example of this. Hence rather exotic gravitational physics is predicted to manifest itself in everyday length scales.

2. The simplest identification for what happens in the phase transition to quantum Hall phase is that the end of wire carrying the Hall current corresponds to a partonic 2-surface having a macroscopic size. The electrons in the current correspond to similar 2-surfaces but with size of elementary particle for the ordinary value of Planck constant. As the electrons meet the end of the wire, the tiny wormhole throats of electrons suffer topological condensation to the boundary. One can say that one very large elementary particle having very high electron number is formed.
3. Fractionization occurs for charges in  $CP_2$  degrees of freedom with unit  $1/n_a$ . If the end of the wire forms part of a spherical surface surrounding the tip of the  $CD$  involved fractionization occurs also in  $CD$  degrees of freedom so that electrons can become carriers of anomalous electric and magnetic charges. If not then the total spin is  $n_a$  multiple of fundamental spin unit.

One of the basic question was whether it is possible to describe non-Abelian FQHE in TGD framework.

1. Chern- Simons action for Kähler gauge potential is Abelian. This raises the question whether the representations of the number theoretical braid group are also Abelian. Since there is evidence for non-Abelian anyons, one might argue that this means a failure of the proposed approach. There are however many reasons to expect that braid group representations are non-Abelian. The action is for induced Kähler form rather than primary Maxwell field,  $U(1)$  gauge symmetry is transformed to a dynamical symmetry (symplectic transformations of  $CP_2$  representing isometries of WCW and definitely non-Abelian), and the particles of the theory belong to the representations of electro-weak and color gauge groups naturally defining the representations of braid group.
2. The finite subgroups of  $SU(2)$  defining covering and factor groups are in the general case non-commutative subgroups of  $SU(2)$  since the hierarchies of coverings and factors spaces are assumed to correspond to the two hierarchy of Jones inclusions to which one can assign ADE Lie algebras by McKay correspondence. The ADE Lie algebras define effective gauge symmetries having interpretation in terms of finite measurement resolution described in terms of Jones inclusion so that extremely rich structures are expected. The question arises whether the covering option implied by the basic quantum TGD allows coverings defined by finite groups. There seems to be no obvious reason why this could not be the case.

An interesting challenge is to relate concrete models of FQHE to the proposed description. Here only some comments about Laughlin's wave function are made.

1. In the description provided by Laughlin wave function FQHE results from a minimization of Coulomb energy. In TGD framework the tunneling to the page of  $H$  with  $m$  sheets of covering has the same effect since the density of electrons is reduced by  $1/m$  factor.
2. The formula  $\nu \propto e^2 N_e / e \int B dS$  with scaling up of magnetic flux by  $\hbar/h_0 = m$  implies effective fractional filling factor. The scaling up of magnetic flux results from the presence of  $m$  sheets carrying magnetic field with same strength. Since the  $N_e$  electrons are shared between  $m$  sheets, the filling factor is fractional when one restricts the consideration to single sheet as one indeed does.
3. Laughlin wave function makes sense for  $\nu = 1/m$ ,  $m$  odd, and is  $m$ :th power of the many electron wave function for IQHE and expressible as the product  $\prod_{i < j} (z_i - z_j)^m$ , where  $z$  represents complex coordinate for the anyonic plane. The relative orbital angular momenta of electrons satisfy  $L_z \geq m$  if the value of Planck constant is standard. If Laughlin wave function makes sense also in TGD framework, then  $m$ :th power implies that many-electron wave function is singlet with respect to  $Z_m$  acting in covering and the value of relative angular momentum indeed satisfies  $L_z \geq m\hbar_0$  just as in Laughlin's theory.



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Part IV

**APPLICATIONS**



## Chapter 14

# Cosmology and Astrophysics in Many-Sheeted Space-Time

### 14.1 Introduction

This chapter is devoted to the applications of TGD to astrophysics and cosmology are discussed. It must be admitted that the development of the proper interpretation of the theory has been rather slow and involved rather weird twists motivated by conformist attitudes. Typically these attempts have brought into theory ad hoc identifications of say gravitational four-momentum although theory itself has from very beginning provided completely general formulas.

Perhaps the real problem has been that radically new views about ontology were necessary before it was possible to see what had been there all the time. Zero energy ontology states that all physical states have vanishing net quantum numbers. The hierarchy of dark matter identified as macroscopic quantum phases labeled by arbitrarily large values of Planck constant is second aspect of the new ontology.

#### 14.1.1 Does Equivalence Principle hold true in TGD Universe?

The motivation for TGD as a Poincare invariant theory of gravitation was that the notion of four-momentum is poorly defined in curved space-time since corresponding Noether currents do not exist. There however seems to be a fundamental obstacle against the existence of a Poincare invariant theory of gravitation related to the notions of inertial and gravitational energy.

1. The conservation laws of inertial energy and momentum assigned to the fundamental action would be exact in this kind of a theory. Gravitational four-momentum can be assigned to the curvature scalar as Noether currents and is thus completely well-defined unlike in GRT. Equivalence Principle requires that inertial and gravitational four-momenta are identical. This is satisfied if curvature scalar defines the fundamental action principle crucial for the definition of quantum TGD. Curvature scalar as a fundamental action is however non-physical and had to be replaced with so called Kähler action.
2. One can question Equivalence Principle because the conservation of gravitational four-momentum seems to fail in cosmological scales.
3. For the extremals of Kähler action the Noether currents associated with curvature scalar are well-defined but non-conserved. Also for vacuum extremals satisfying Einstein's equations gravitational four-momentum fails to be conserved and non-conservation becomes large for small values of cosmic time. This looks fine but the problem is whether the possible failure of Equivalence Principle is so serious that it leads to conflict with experimental facts.

The failure of Equivalence Principle was something which I could not take seriously and I ended up with a long series of ad hoc constructs trying to save Equivalence Principle. Eventually I decided to take the possible failure seriously and to find out whether it has catastrophic consequences, and

to look also for possible positive consequences by trying to relate the failure to the recent problems of cosmology, in particular the necessity to postulate somewhat mysterious dark energy characterized by cosmological constant.

My basic mistake looks now obvious. I tried to deduce the formulation of Equivalence Principle in the framework provided by General Relativity framework rather than in string model context. There were several steps in the enlightenment process.

1. First came the conviction that coset representation for super-symplectic and super Kac-Moody algebras provides extremely general formulation of Equivalence Principle in which inertial and gravitational four-momenta are replaced with Super Virasoro generators of two algebras whose differences annihilate physical states. This idea came for years before becoming aware of its importance and I simply forgot it.
2. Next came the realization of the fundamental role of number theoretical compactification providing a number theoretical interpretation of  $M^4 \times CP_2$  and thus also of standard model quantum numbers. This led to the identification of the preferred extremals of Kähler action and to the formulation of quantum TGD in terms of second quantized induced spinors fields. One of the conclusions was that dimensional reduction for preferred extremals of Kähler action- if they have the properties required by theoretic compactification- leads to string model with string tension which is however not proportional to the inverse of Newton's constant but to  $L_p^2$ , p-adic length scale squared and thus gigantic. The connection between gravitational constant and  $L_p^2$  comes from an old argument that I discovered about two decades ago and which allowed to predict the value of Kähler coupling strength by using as input electron mass and p-adic mass calculations. In this framework the role of Planck length as a fundamental length scale is taken by  $CP_2$  size so that Planck length scale loses its magic role as a length scale in which usual views about space-time geometry cease to hold true.
3. The next step was the realization that zero energy ontology allows to avoid the paradox implied in positive energy ontology by the fact that gravitational energy is not conserved but inertial energy identified as Noether charge is. Energy conservation is always in some length scale in zero energy ontology.
4. As a matter of fact, there was still one step. I had to become fully aware that the identification of gravitational four-momentum in terms of Einstein tensor makes sense only in long length scales. This is of course trivial but for some reason I did not realize that this fact resolves the paradoxes associated with objects like cosmic strings.

To sum up, the understanding of Equivalence Principle in TGD context required quite many discoveries of mostly mathematical character: the understanding of the super-conformal symmetries of quantum TGD, the discovery of zero energy ontology, the identification of preferred extremals of Kähler action by requiring number theoretical compactification, and the discovery that dimensional reduction allows to formulate quantum in terms of slicing of space-time surface by stringy world sheets.

### 14.1.2 Zero energy ontology

In zero energy ontology one replaces positive energy states with zero energy states with positive and negative energy parts of the state at the boundaries of future and past direct light-cones forming a causal diamond. All conserved quantum numbers of the positive and negative energy states are of opposite sign so that these states can be created from vacuum. "Any physical state is creatable from vacuum" becomes thus a basic principle of quantum TGD and together with the notion of quantum jump resolves several philosophical problems (What was the initial state of universe?, What are the values of conserved quantities for Universe, Is theory building completely useless if only single solution of field equations is realized?).

At the level of elementary particle physics positive and negative energy parts of zero energy state are interpreted as initial and final states of a particle reaction so that quantum states become physical events. Equivalence Principle would hold true in the sense that the classical gravitational four-momentum of the vacuum extremal whose small deformations appear as the argument of configuration space spinor field is equal to the positive energy of the positive energy part of the zero energy quantum state.



Robertson-Walker cosmologies correspond to vacua with respect to inertial energy and in fact with respect to all quantum numbers. They are not vacua with respect to gravitational charges defined as Noether charges associated with the curvature scalar. Also more general imbeddings of Einstein's equations are typically vacuum extremals with respect to Noether charges assignable to Kähler action since otherwise one ends up with conflict between imbeddability and dynamics. This suggests that physical states have vanishing net quantum numbers quite generally. The construction of quantum theory [23, 18] indeed leads naturally to zero energy ontology stating that everything is creatable from vacuum.

Zero energy states decompose into positive and negative energy parts having identification as initial and final states of particle reaction in time scales of perception longer than the geometro-temporal separation  $T$  of positive and negative energy parts of the state. If the time scale of perception is smaller than  $T$ , the usual positive energy ontology applies.

In zero energy ontology inertial four-momentum is a quantity depending on the temporal time scale  $T$  used and in time scales longer than  $T$  the contribution of zero energy states with parameter  $T_1 < T$  to four-momentum vanishes. This scale dependence alone implies that it does not make sense to speak about conservation of inertial four-momentum in cosmological scales. Hence it would be in principle possible to identify inertial and gravitational four-momenta and achieve strong form of Equivalence Principle. It however seems that this is not the correct approach to follow.

The concept of negative potential energy is completely standard notion in physics. Perhaps so standard that physicists have begun to regard it as understood. The precise physical origin of the negative potential energy is however complete mystery, and one is forced to take the potential energy as a purely phenomenological concept deriving from quantum theory as an effective description.

In TGD framework topological field quantization leads to the hypothesis that quantum concepts should have geometric counterparts and also potential energy should have precise correlate at the level of description based on topological field quanta. This indeed seems to be the case. As already explained, TGD allows space-time sheets to have both positive and negative time orientations. This in turn implies that also the sign of energy can be also negative. This suggests that the generation of negative energy space-time sheets representing virtual gravitons together with energy conservation makes possible the generation of huge gravitationally induced kinetic energies and gravitational collapse. In this process inertial energy would be conserved since instead, of positive energy gravitons, the inertial energy would go to the energy of matter.

This picture has a direct correlate in quantum field theory where the exchange negative energy virtual bosons gives rise to the interaction potential. The gravitational red-shift of microwave background photons is the strongest support for the non-conservation of energy in General Relativity. In TGD it could have concrete explanation in terms of absorption of negative energy virtual gravitons by photons leading to gradual reduction of their energies. This explanation is consistent with the classical geometry based explanation of the red-shift based on the stretching of electromagnetic wave lengths. This explanation is also consistent with the intuition based on Feynman diagram description of gravitational acceleration in terms of graviton exchanges.

### 14.1.3 Dark matter hierarchy and hierarchy of Planck constants

The idea about hierarchy of Planck constants relying on generalization of the imbedding space was inspired both by empirical input (Bohr quantization of planetary orbits and anomalies of biology) and by the mathematics of hyper-finite factors of type  $II_1$  combined with the quantum classical correspondence. Consider first the mathematical structure in question.

1. The Clifford algebra of World of Classical Worlds (WCW) creating many fermion states is a standard example of an algebra expressible as a direct integral of copies of von Neumann algebras known as hyper-finite factor of type  $II_1$  (HFFs). The inclusions of HFFs relate very intimately to the notion of finite measurement resolution. There is a canonical hierarchy of Jones inclusions [44] labeled by finite subgroups of  $SU(2)$  [45]. Quantum classical correspondence suggests that these inclusions have space-time correlates [33, 35] and the generalization of imbedding space would provide these correlates.
2. The space  $CD \times CP_2$ , where  $CD \subset M^4$  is so called causal diamond identified as the intersection of future and past directed light-cones defines the basic geometric structure in zero energy

ontology. The positive (negative) energy part of the zero energy state is located to the lower (upper) light-like boundaries of  $CD \times CP_2$  and has interpretation as the initial (final) state of the physical event in standard positive energy ontology. p-Adic length scale hypothesis follows if one assumes that the temporal distance between the tips of  $CD$  comes as an octave of fundamental time scale defined by the size of  $CP_2$ . The "world of classical worlds" ( $WCW$ ) is union of sub- $WCW$ s associated with spaces  $CD \times CP_2$  with different locations in  $M^4 \times CP_2$ .

3. One can say that causal diamond  $CD$  and the space  $CP_2$  appearing as factors in  $CD \times CP_2$  forms the basic geometric structure in zero energy ontology, is replaced with a book like structure obtained by gluing together infinite number of singular coverings and factor spaces of  $CD$  resp.  $CP_2$  together. The copies are glued together along a common "back"  $M^2 \subset M^2$  of the book in the case of  $CD$ . In the case of  $CP_2$  the most general option allows two backs corresponding to the two non-isometric geodesic spheres  $S_i^2$ ,  $i = I, II$ , represented as sub-manifolds  $\xi^1 = \bar{\xi}^2$  and  $\xi^1 = \xi^2$  in complex coordinates transforming linearly under  $U(2) \subset SU(3)$ . Color rotations in  $CP_2$  produce different choices of this pair.
4. The selection of geodesic spheres  $S^2$  and  $M^2$  is an imbedding space correlate for the fixing of quantization axes and means symmetry breaking at the level of imbedding space geometry.  $WCW$  is union over all possible choices of  $CD$  and pairs of geodesic spheres so that at the level no symmetry breaking takes place. The points of  $M^2$  and  $S^2$  have a physical interpretation in terms of quantum criticality with respect to the phase transition changing Planck constant (leakage to another page of the book through the back of the book).
5. The pages of the singular coverings are characterized by finite subgroups  $G_a$  and  $G_b$  of  $SU(2)$  and these groups act in covering or leave the points of factor space invariant. The pages are labeled by Planck constants  $\hbar(CD) = n_a \hbar_0$  and  $\hbar(CP_2) = n_b \hbar_0$ , where  $n_a$  and  $n_b$  are integers characterizing the orders of maximal cyclic subgroups of  $G_a$  and  $G_b$ . For singular factor spaces one has  $\hbar(CD) = \hbar_0/n_a$  and  $\hbar(CP_2) = \hbar_0/n_b$ . The observed Planck constant corresponds to  $\hbar = (\hbar(CD)/\hbar(CP_2)) \times \hbar_0$ . What is also important is that  $(\hbar/\hbar_0)^2$  appears as a scaling factor of  $M^4$  covariant metric so that Kähler action via its dependence on induced metric codes for radiative corrections coming in powers of ordinary Planck constant: therefore quantum criticality and vanishing of radiative corrections to functional integral over  $WCW$  does not mean vanishing of radiative corrections.

The interpretation in terms of dark matter comes as follows.

1. Large values of  $\hbar$  make possible macroscopic quantum phase since all quantum scales are scaled upwards by  $\hbar/\hbar_0$ . Anyonic and charge fractionization effects allow to "measure"  $\hbar(CD)$  and  $\hbar(CP_2)$  rather than only their ratio.  $\hbar(CD) = \hbar(CP_2) = \hbar_0$  corresponds to what might be called standard physics without any anyonic effects and visible matter is identified as this phase.
2. Particle states belonging to different pages of the book can interact via classical fields and by exchanging particles, such as photons, which leak between the pages of the book. This leakage means a scaling of frequency and wavelength in such a manner that energy and momentum of photon are conserved. Direct interactions in which particles from different pages appear in the same vertex of generalized Feynman diagram are impossible. This seems to be enough to explain what is known about dark matter. This picture differs in many respects from more conventional models of dark matter making much stronger assumptions and has far reaching implications for quantum biology, which also provides support for this view about dark matter.

This is the basic picture. One can imagine large number of speculative applications.

1. The number theoretically simple ruler-and-compass integers  $n$  having as factors only first powers of Fermat primes and power of 2 would define a physically preferred values of  $n_a$  and  $n_b$  and thus a sub-hierarchy of quantum criticality for which subsequent levels would correspond to powers of 2: a connection with p-adic length scale hypothesis suggests itself. Ruler and compass hypothesis implies that besides p-adic length scales also their 3- and 5- multiples should be important.

2.  $G_a$  could correspond directly to the observed symmetries of visible matter induced by the underlying dark matter if singular factor space is in question [35]. For instance, in living matter molecules with 5- and 6-cycles could directly reflect the fact that free electron pairs associated with these cycles correspond to  $n_a = 5$  and  $n_a = 6$  dark matter possibly responsible for anomalous conductivity of DNA [35, 37] and recently reported strange properties of graphene [50]. Also the tetrahedral and icosahedral symmetries of water molecule clusters could have similar interpretation [51, 28].
3. A further fascinating possibility is that the evidence for Bohr orbit quantization of planetary orbits [109] could have interpretation in terms of gigantic Planck constant for underlying dark matter [30] so that macroscopic and -temporal quantum coherence would be possible in astrophysical length scales manifesting itself in many manners: say as preferred directions of quantization axis (perhaps related to the CMB anomaly) or as anomalously low dissipation rates.
4. Since the gravitational Planck constant  $\hbar_{gr} = GM_1m/v_0$ ,  $v_0 = 2^{-11}$  for the inner planets, is proportional to the product of the gravitational masses of interacting systems, it must be assigned to the field body of the two systems and characterizes the interaction between systems rather than systems themselves. This observation applies quite generally and each field body of the system (em, weak, color, gravitational) is characterized by its own Planck constant.

#### 14.1.4 Many-sheeted cosmology

The many-sheeted space-time concept, the new view about the relationship between inertial and gravitational four-momenta, the basic properties of the paired cosmic strings, the existence of the limiting temperature, the assumption about the existence of the vapor phase dominated by cosmic strings, and quantum criticality imply a rather detailed picture of the cosmic evolution, which differs from that provided by the standard cosmology in several respects but has also strong resemblances with inflationary scenario.

The most important differences are following.

1. Many-sheetedness implies cosmologies inside cosmologies Russian doll like structure with a spectrum of Hubble constants.
2. TGD cosmology is also genuinely quantal: each quantum jump in principle recreates each sub-cosmology in 4-dimensional sense: this makes possible a genuine evolution in cosmological length scales so that the use of anthropic principle to explain why fundamental constants are tuned for life is not necessary.
3. The new view about energy means that inertial energy is negative for space-time sheets with negative time orientation and that the density of inertial energy vanishes in cosmological length scales. Therefore any cosmology is in principle creatable from vacuum and the problem of initial values of cosmology disappears. The density of matter near the initial moment is dominated by cosmic strings approaches to zero so that big bang is transformed to a silent whisper amplified to a relatively big bang.
4. Dark matter hierarchy with dynamical quantized Planck constant implies the presence of dark space-time sheets which differ from non-dark ones in that they define multiple coverings of  $M^4$ . Quantum coherence of dark matter in the length scale of space-time sheet involved implies that even in cosmological length scales Universe is more like a living organism than a thermal soup of particles.
5. Sub-critical and over-critical Robertson-Walker cosmologies are fixed completely from the imbeddability requirement apart from a single parameter characterizing the duration of the period after which transition to sub-critical cosmology necessarily occurs. The fluctuations of the microwave background reflect the quantum criticality of the critical period rather than amplification of primordial fluctuations by exponential expansion. This and also the finite size of the space-time sheets predicts deviations from the standard cosmology.

### 14.1.5 Cosmic strings

Cosmic strings belong to the basic extremals of the Kähler action. The string tension of the cosmic strings is  $T \simeq .2 \times 10^{-6}/G$  and slightly smaller than the string tension of the GUT strings and this makes them very interesting cosmologically.

TGD predicts two basic types of strings.

1. The analogs of hadronic strings correspond to deformations of vacuum extremals carrying non-vanishing induced Kähler fields. p-Adic thermodynamics for super-symplectic quanta condensed on them with additivity of mass squared yields without further assumptions stringy mass formula. These strings are associated with various fractally scaled up variants of hadron physics.
2. Cosmic strings correspond to homologically non-trivial geodesic sphere of  $CP_2$  (more generally to complex sub-manifolds of  $CP_2$ ) and have a huge string tension. These strings are expected to have deformations with smaller string tension which look like magnetic flux tubes with finite thickness in  $M^4$  degrees of freedom. The signature of these strings would be the homological non-triviality of the  $CP_2$  projection of the transverse section of the string.

p-Adic fractality and simple quantitative observations lead to the hypothesis that pairs of cosmic strings are responsible for the evolution of astrophysical structures in a very wide length scale range. Large voids with size of order  $10^8$  light years can be seen as structures containing knotted and linked cosmic string pairs wound around the boundaries of the void. Galaxies correspond to same structure with smaller size and linked around the supra-galactic strings. This conforms with the finding that galaxies tend to be grouped along linear structures. Simple quantitative estimates show that even stars and planets could be seen as structures formed around cosmic strings of appropriate size. Thus Universe could be seen as fractal cosmic necklace consisting of cosmic strings linked like pearls around longer cosmic strings linked like...

## 14.2 Basic principles of General Relativity from TGD point of view

General Coordinate Invariance, Equivalence Principle, and Machian Principle are the basic principles underlying General Relativity. One can say that TGD shares all of these basic principles albeit in different form.

### 14.2.1 General Coordinate Invariance

General Coordinate Invariance plays in the formulation of quantum TGD even deeper role than in that of GRT. Since the fundamental objects are 3-D surfaces, the construction of the geometry of the configuration space of 3-surfaces (the world of classical worlds, WCW) requires that the definition of the geometry assigns to a given 3-surface  $X^3$  a unique space-time surface  $X^4(X^3)$ . This space-time surface is completely analogous to Bohr orbit, which means a completely unexpected connection with quantum theory.

General Coordinate Invariance is a gauge symmetry and requires gauge fixing. The definition assigning  $X^4(X^3)$  to given  $X^3$  must be such that the outcome is same for all 4-diffeomorphs of  $X^3$ . This condition is highly non-trivial since  $X^4(X^3) = X^4(Y^3)$  must hold true if  $X^3$  and  $Y^3$  are 4-diffeomorphs. One manner to satisfy this condition is by assuming quantum holography and weakened form of General Coordinate Invariance: there exists physically preferred 3-surfaces  $X^3$  defining  $X^4(X^3)$ , and the 4-diffeomorphs  $Y^3$  of  $X^3$  at  $X^4(X^3)$  provide classical holograms of  $X^3$ :  $X^4(Y^3) = X^4(X^3)$  is trivially true. Zero energy ontology allows to realize this form of General Coordinate Invariance.

1. In zero energy ontology configuration space decomposes into a union of sub-configuration spaces associated with causal diamonds  $CD \times CP_2$  ( $CD$  denotes the intersection of future and past directed light-cones of  $M^4$ ), and the intersections of space-time surface with the light-light boundaries of  $CD \times CP_2$  are excellent candidates for preferred space-like 3-surfaces  $X^3$ . The 3-surfaces at  $\delta CD \times CP_2$  are indeed physically special since they carry the quantum numbers of positive and negative energy parts of the zero energy state.

2. Preferred 3-surfaces could be also identified as light-like 3-surfaces  $X_l^3$  at which the Euclidian signature of the induced space-time metric changes to Minkowskian. Also light-like boundaries of  $X^4$  can be considered. These 3-surfaces are assumed to carry elementary particle quantum numbers and their intersections with the space-like 3-surfaces  $X^3$  are 2-dimensional partonic surfaces so that effective 2-dimensionality consistent with the conformal symmetries of  $X_l^3$  results if the identifications of 3-surfaces are physically equivalent. Light-like 3-surfaces are identified as generalized Feynman diagrams and due to the presence of 2-D partonic 2-surfaces representing vertices fail to be 3-manifolds. Generalized Feynman diagrams could be also identified as Euclidian regions of space-time surface.
3. General Coordinate Invariance in minimal form requires that the slicing of  $X^4(X_l^3)$  by light like 3-surfaces  $Y_l^3$  "parallel" to  $X_l^3$  predicted by number theoretic compactification gives rise to quantum holography in the sense that the data associated with any  $Y_l^3$  allows an equivalent formulation of quantum TGD. This poses a strong condition on the spectra of the modified Dirac operator at  $Y_l^3$  and thus to the preferred extremals of Kähler action since the configuration space Kähler functions defined by various choices of  $Y_l^3$  can differ only by a sum of a holomorphic function and its conjugate [31, 23].

### 14.2.2 Equivalence Principle

Coset construction for super-symplectic and super Kac-Moody algebras discussed in [31, 20, 23] allows to generalize Equivalence Principle and understand it at quantum level. This is however not quite enough: a precise understanding of Equivalence Principle is required also at the classical level. In the following the notion of gravitational mass and its equivalence with inertial mass is discussed first. The strategy is to deduce connection with string model type description rather than trying to show that General Relativity emerges from TGD. This connection emerges through dimensional reduction of the dynamics defined by Kähler action to stringy dynamics. If one believes that string model description implies General Relativity in long scales, the situation is settled. The determination of gravitational mass as flux does not apply generally so that one cannot identify  $GM$  as a gravitational flux assignable to a wormhole throat. Hence one cannot formulate the evolution of  $G$  at space-time level as evolution of gravitational fluxes and it seems that only p-adic coupling constant evolution makes sense for  $G$ .

#### Is stringy action principle coded by the geometry of preferred extremals?

It seems very difficult to deduce Equivalence Principle as an identity of gravitational and inertial masses identified as Noether charges associated with corresponding action principles. Since string model is an excellent theory of quantum gravitation, one can consider a less direct approach in which one tries to deduce a connection between classical TGD and string model and hope that the bridge from string model to General Relativity is easier to build. Number theoretical compactification gives good hopes that this kind of connection exists.

1. Number theoretic compactification implies that the preferred extremals of Kähler action have the property that one can assign to each point of  $M^4$  projection  $P_{M^4}(X^4(X_l^3))$  of the preferred extremal  $M^2(x)$  identified as the plane of non-physical polarizations and also as the plane in which local massless four-momentum lies.
2. If the distribution of the planes  $M^2(x)$  is integrable, one can slice  $P_{M^4}(X^4(X_l^3))$  to string world-sheets. The intersection of string world sheets with  $X^3 \subset \delta M_{\pm}^4 \times CP_2$  corresponds to a light-like curve having tangent in local tangent space  $M^2(x)$  at light-cone boundary. This is the first candidate for the definition of number theoretic braid. Second definition assumes  $M^2$  to be fixed at  $\delta CD$ : in this case the slicing is parameterized by the sphere  $S^2$  defined by the light rays of  $\delta M_{\pm}^4$ .
3. One can assign to the string world sheet -call it  $Y^2$  - the standard area action

$$S_G(Y^2) = \int_{Y^2} T \sqrt{g_2} d^2 y \ , \quad (14.2.1)$$

where  $g_2$  is either the induced metric or only its  $M^4$  part. The latter option looks more natural since  $M^4$  projection is considered.  $T$  is string tension.

4. The naivest guess would be  $T = 1/\hbar G$  apart from some numerical constant but one must be very cautious here since  $T = 1/L_p^2$  apart from a numerical constant is also a good candidate if one accepts the basic argument identifying  $G$  in terms of p-adic length  $L_p$  and Kähler action for two pieces of  $CP_2$  type vacuum extremals representing propagating graviton. The formula reads  $G = L_p^2 \exp(-2aS_K(CP_2))$ ,  $a \leq 1$ . The interaction strength which would be  $L_p^2$  without the presence of  $CP_2$  type vacuum extremals is reduced by the exponential factor coming from the exponent of Kähler function of configuration space.
5. One would have string model in either  $CD \times CP_2$  or  $CD \subset M^4$  with the constraint that stringy world sheet belongs to  $X^4(X_l^3)$ . For the extremals of  $S_G(Y^2)$  gravitational four-momentum defined as Noether charge is conserved. The extremal property of string world sheet need not however be consistent with the preferred extremal property. This constraint might bring in coupling of gravitons to matter. The natural guess is that graviton corresponds to a string connecting wormhole contacts. The strings could also represent formation of gravitational bound states when they connect wormhole contacts separated by a large distance. The energy of the string is roughly  $E \sim \hbar TL$  and for  $T = 1/\hbar G$  gives  $E \sim L/G$ . Macroscopic strings are not allowed except as models of black holes. The identification  $T \sim 1/L_p^2$  gives  $E \sim \hbar L/L_p^2$ , which does not favor long strings for large values of  $\hbar$ . The identification  $G_p = L_p^2/\hbar_0$  gives  $T = 1/\hbar G_p$  and  $E \sim \hbar_0 L/L_p^2$ , which makes sense and allows strings with length not much longer than p-adic length scale. Quantization - that is the presence of configuration space degrees of freedom - would bring in massless gravitons as deformations of string whereas strings would carry the gravitational mass.
6. The exponent  $\exp(iS_G)$  can appear as a phase factor in the definition of quantum states for preferred extremals.  $S_G$  is not however enough. One can assign also to the points of number theoretic braid action describing the interaction of a point like current  $Qdx^\mu/ds$  with induced gauge potentials  $A_\mu$ . The corresponding contribution to the action is

$$S_{braid} = \int_{braid} iTr(Q \frac{dx^\mu}{ds} A_\mu) dx . \quad (14.2.2)$$

In stationary phase approximation subject to the additional constraint that a preferred extremal of Kähler action is in question one obtains the desired correlation between the geometry of preferred extremal and the quantum numbers of elementary particle. This interaction term carries information only about the charges of elementary particle. It is quite possible that the interaction term is more complex: for instance, it could contain spin dependent terms (Stern-Gerlach experiment).

7. The constraint coming from preferred extremal property of Kähler action can be expressed in terms of Lagrange multipliers

$$S_c = \int_{Y^2} \lambda^k D_\alpha \left( \frac{\partial L_K}{\partial \alpha \hbar^k} \right) \sqrt{g_2} d^2 y . \quad (14.2.3)$$

8. The action exponential reads as

$$\exp(iS_G + S_{braid} + S_c) . \quad (14.2.4)$$

The resulting field equations couple stringy  $M^4$  degrees of freedom to the second variation of Kähler action with respect to  $M^4$  coordinates and involve third derivatives of  $M^4$  coordinates at the right hand side. If the second variation of Kähler action with respect to  $M^4$  coordinates vanishes, free string results. This is trivially the case if a vacuum extremal of Kähler action is in question.

9. An interesting question is whether the preferred extremal property boils down to the condition that the second variation of Kähler action with respect to  $M^4$  coordinates vanishes so that gravitonic string is free. The physical interpretation would be in terms of quantum criticality which is the basic conjecture about the dynamics of quantum TGD. This is clear from the fact that in 1-D system criticality means that the potential  $V(x) = ax + bx^2 + ..$  has  $b = 0$ . In field theory criticality corresponds to the vanishing of the term  $m^2\phi^2/2$  so that massless situation corresponds to massless theory and criticality and long range correlations.

### What does the equality of gravitational and inertial masses mean?

Consider next the question in what form Equivalence Principle could be realized in this framework.

1. Coset construction inspires the conjecture that gravitational and inertial four-momenta are identical. Also some milder form of it would make sense. What is clear is that the construction of preferred extremal involving the distribution of  $M^2(x)$  implies that conserved four-momentum associated with Kähler action can be expressed formally as stringy four-momentum. The integral of the conserved inertial momentum current over  $X^3$  indeed reduces to an integral over the curve defining string as one integrates over other two degrees of freedom. It would not be surprising if a stringy expression for four-momentum would result but with string tension depending on the point of string and possibly also on the component of four-momentum. If the dependence of string tension on the point of string and on the choice of the stringy world sheet is slow, the interpretation could be in terms of coupling constant evolution associated with the stringy coordinates. An alternative interpretation is that string tension corresponds to a scalar field. A quite reasonable option is that for given  $X_i^3$   $T$  defines a scalar field and that the observed  $T$  corresponds to the average value of  $T$  over deformations of  $X_i^3$ .
2. The minimum option is that Kähler mass is equal to the sum gravitational masses assignable to strings connecting points of wormhole throat or two different wormhole throats. This hypothesis makes sense even for wormhole contacts having size of order Planck length.
3. The condition that gravitational mass equals to the inertial mass (rest energy) assigned to Kähler action is the most obvious condition that one can imagine. The breaking of Poincare invariance to Lorentz invariance with respect to the tip of  $CD$  supports this form of Equivalence Principle. This would predict the value of the ratio of the parameter  $R^2T$  and p-adic length scale hypothesis would allow only discrete values for this parameter.  $p \simeq 2^k$  following from the quantization of the temporal distance  $T(n)$  between the tips of  $CD$  as  $T(n) = 2^n T_0$  (a weaker condition would be  $T_p = pT_0$ ,  $p$  prime) would suggest string tension  $T_n = 2^n R^2$  apart from a numerical factor.  $G_p \propto 2^n R^2/\hbar_0$  would emerge as a prediction of the theory.  $G$  could be seen as a prediction or RG invariant input parameter fixed by quantum criticality. The arguments related to p-adic coupling constant evolution suggest  $R^2/\hbar_0 G = 3 \times 2^{23}$  [35].
4. The scalar field property of string tension should be consistent with the vacuum degeneracy of Kähler action. For instance, for the vacuum extremals of Kähler action stringy action is non-vanishing. The simplest possibility is that one includes the integral of the scalar  $J^{\mu\nu} J_{\mu\nu}$  over the degrees transversal to  $M^2$  to the stringy action so that string tension vanishes for vacuum extremals. This would be nothing but dimensional reduction of 4-D theory to a 2-D theory using the slicing of  $X^4(X_i^3)$  to partonic 2-surfaces and stringy world sheets. For cosmic strings Kähler action reduces to stringy action with string tension  $T \propto 1/g_K^2 R^2$  apart from a numerical constant. If one wants consistency with  $T \propto 1/L_p^2$ , one must have  $T \propto 1/g_K^2 2^n R^2$  for the cosmic strings deformed to Kähler magnetic flux tubes. This looks rather plausible if the thickness of deformed string in  $M^4$  degrees of freedom is given by p-adic length scale.

### Should one introduce induced spinor fields at string world sheets?

In the previous section it was found that TGD should allow also dimensionally reduced descriptions in terms of either string world sheets or partonic 2-surfaces. This raises the question whether it makes sense to introduce induced spinor fields at string world sheets. This is indeed the case. The modified Dirac action would in this case correspond to the Dirac operator for the dimensionally reduced Kähler action. The effective minimal surface property of  $Y^2$  would guarantee the conservation of the super

current. The realization of the effective 3-dimensionality in turn means that the stringy coordinate  $u$  corresponds to a gauge degree of freedom or to the condition  $D_u\Psi = 0$ . There would no spinor waves propagating along this direction of string and only the deformations of string represented by symplectic and Kac-Moody algebras present also in the dynamics of Kähler action responsible for the p-adic thermodynamics would be present. Besides this there would be the fermionic excitations associated with the ends of the string and correspond to the eigenmodes of  $D_K(X^2)$  or equivalently with  $D_K(Y^1)$  so that the Dirac determinant would be the same as obtained for  $D_K$ . For the description in terms of partonic 2-surfaces the Dirac operator would be just  $D_K(X^2)$  and also now the equivalence with the 4-D description follows trivially.

### What is the connection with General Relativity?

The connection with the stringy description makes it easier to believe that General Relativity gives a reasonable approximate description of gravitational interactions in long length scales also in TGD framework. In short length scales paradoxes are obtained if the description in terms of curvature scalar is assumed.

The vacuum degeneracy of Kähler action is in key role. The topological condensation of  $CP_2$  type vacuum extremals representing fermions and pieces of  $CP_2$  type extremals (wormhole contacts) identified as gauge bosons deforms the vacuum extremals to non-vacuum extremals, and the resulting density of inertial momentum equals to the density of gravitational momentum in stringy sense. If stringy gravitational energy momentum density is proportional to  $1/L_p^2$  and if  $G$  relates to  $L_p^2$  in the proposed manner, the natural hypothesis is that Einstein tensor provides a good approximation for the density of gravitational four-momentum as non-conserved Noether currents for the curvature scalar action associated with the induced metric. In zero energy ontology the non-conservation of the density of gravitational momentum does not lead to a contradiction with the conservation of inertial four-momentum since inertial four-momentum is defined only for  $CD$  in given scale so that conservation laws hold also only in this scale and in finite measurement resolution.

### What does one mean with the evolution of gravitational constant?

From above it is clear that although it is possible to speak about the evolution of string tension  $T(x)$  for string space-time sheets inside given  $CD$ , it does not makes sense to speak about evolution of  $G$  inside  $CD$ s because the relationship between  $T$  and  $G$  is not so simple as one might naively expect. One can of course consider the possibility that  $T(x)$  is RG invariant and thus constant for the preferred extremals of Kähler action. This could hold module finite measurement resolution for  $M^4$  coordinates defined by the size of the sub- $CD$ s of a given  $CD$ . Hence string model description would be exact under quantum criticality assumption in the sense that the second variation of Kähler action with respect to  $M^4$  coordinates vanishes.

As found, gravitational constant can be understood as a product of  $L_p^2$  with the exponential of Kähler action for the two pieces of  $CP_2$  type vacuum extremals representing wormhole contacts assignable to graviton connected by string world sheet. The volume of the typical  $CP_2$  type extremals associated with the graviton increases with  $L_p$  so that the exponential factor decreases reducing the growth due to the increase of  $L_p$ . Hence  $G$  could be RG invariant in p-adic coupling constant evolution: this requires that volume depends on logarithmically on  $L_p$ . This point will be discussed in more detail later.

### Can one predict the value of gravitational constant?

A lot remains to be understood. The value of gravitational constant is one important example in this respect. For a given space-time sheet defined as a preferred extremal of Kähler action one can in principle calculate the value of  $G_{class}$ . Physical gravitational constant  $G$  is however expected to quantum average of  $G_{class}$  for a given quantum state.

For years ago I found a nice formula relating  $G$  to  $CP_2$  length scale, the p-adic prime  $p$  characterizing gravitons and equal to  $M_{127}$  in the case of ordinary graviton, and Kähler coupling strength [27, 18]. Quantum formula is in question since the exponent for the Kähler action for  $CP_2$  type vacuum extremals appears in it. The task would be to calculate explicitly the  $G_{class}$  and its quantum expectation value.



What seems clear is that  $G$  is state dependent. For instance, for quantum states concentrated around almost vacuum extremals (such as hadronic strings)  $G$  should be large since they are almost Kähler vacua and the model for hadrons indeed leads to the identification of strong gravitons with  $G_{strong}$  characterized by corresponding p-adic length scale.

One can write the basic hypothesis for the relationship between Kähler coupling strength,  $CP_2$  size  $R$  and gravitational constant  $G$  [27, 18] as

$$\frac{\exp(-2S_K(CP_2))}{G(p)} = \frac{1}{pR^2} . \quad (14.2.5)$$

$S_K(CP_2)$  is Kähler action for  $CP_2$  type vacuum extremals with small renormalization reflecting the fact that entire free  $CP_2$  type extremal is not in question topological condensation. The two sides of this equation suggest an interpretation in terms of two thermodynamics. The vacuum functional defined by Kähler function would define the thermodynamics of the left hand side and Planck mass  $M_{Pl}(p) = 1/\sqrt{G(p)}$  defining the fundamental mass equal to Planck mass for  $p = M_{127}$  but depending on  $p$  as  $1/\sqrt{p}$ . Right hand side would correspond to p-adic thermodynamics with  $CP_2$  mass  $M_{CP_2} = 1/R$  defining the fundamental mass in this case. Thus the formula could be interpreted as stating as equivalence of two different approaches to the calculation of particle masses.

### Equivalence Principle and zero energy ontology

In TGD framework Equivalence Principle has several formulations.

1. The fundamental quantum formulation is in terms of coset representation for super-symplectic and super Kac-Moody algebras and identifies the four-momenta associated with these representations.
2. Second formulation is at space-time level and is based on the dimensional reduction of Kähler action to stringy action if preferred extremals possess the properties required by number theoretical compactification. It is essential that the information about preferred extremal is feeded into the eigenvalues spectrum of the modified Dirac action.
3. String tension is not however equal to gravitational constant which is identified as gravitational coupling and is equal to inverse of string tension multiplied by a factor corresponding to exponent of Kähler action for  $CP_2$  type vacuum extremals representing graviton. The third formulation corresponds to long length scale limit at which it is possible to identify the density of gravitational four-momentum in terms of Einstein tensor. This formulation predicts that gravitational mass defined by Einstein tensor is identical with inertial mass defined by Kähler action but in some average sense since length scale resolution is not ideal.

To make this picture more concrete, it is good to list some examples about paradoxes implied by the naive application of Equivalence Principle identifying the four-momenta defined by the curvature scalar and Kähler action.

1. For the imbeddings of Robertson-Walker cosmologies inertial four-momentum density associated with Kähler action vanishes unlike gravitational four-momentum density, which for a long time remained quite a mystery. The solution of the paradox is that real space-time surface is a deformation of the vacuum extremal representing Robertson-Walker cosmology. The deformation obtained by glueing fermions as  $CP_2$  type vacuum extremals. Also gauge bosons represented as wormhole contacts connecting the space-time surface to a space-time sheet with opposite arrow of geometric time (negative energy state) are present. The gravitational and inertial four-momenta of these particles are equal to the four-momentum density characterized by Einstein tensor. The density of Kähler four-momentum is not visible since it resides in the details which are smoothed out.
2. The empirical fact is that inertial 4-momentum as measurement in laboratory time scales is conserved whereas gravitational momentum is not. Zero energy ontology resolves this paradox. One can speak of positive energy states only in a given length scale characterizing the size of

causal diamond ( $CD$ ). Improved measurement resolution brings visible new zero energy states in shorter time scales. In principle zero energy ontology allows generation of entire galaxies from vacuum so that energy conservation holds true only inside given  $CD$  and within measurement resolution associated with it. Hence Robertson-Walker cosmologies in which gravitational four-momentum is not conserved provides a statistical description for how the energy of positive energy state changes. As a matter fact, TGD strongly suggests a hierarchy of Robertson-Walker cosmologies corresponding to p-adic length scale hierarchy and dark matter hierarchy.

3. For cosmic strings of form  $X^2 \times Y^2 \subset M^4 \times CP_2$  Einstein's equations hold true but with wrong value of gravitational constant. TGD predicts also a huge variety of string like vacuum extremals of form  $X^2 \times Y^2$  metrically. The dimension of  $M^4$  projection is smaller than 4. The gravitational mass of the object -if given by Einstein tensor- depends on the genus of  $Y^2$  and is negative if the genus is larger than 1. Einstein's equations do not make sense in these cases and there is no reason to expect this since the length scale associated with this objects is of order  $CP_2$  length since  $M^4$  projection is not 4-dimensional.

### Equivalence Principle at elementary particle level

The following concrete example about interpretation of Equivalence Principle at elementary particle level is included to illustrate how ideas have gradually evolved and also to show that one must still keep mind open.

Topologically condensed  $CP_2$  type vacuum extremals define a model for elementary particle. Their gravitational four-momentum -if defined by Noether current associated with curvature scalar- is non-vanishing, light-like, and non-conserved. For free  $CP_2$  type extremal the inertial four-momentum vanishes since Kähler currents vanish in  $M^4$  degrees of freedom. In topological condensation  $CP_2$  type vacuum extremal is necessarily deformed to a non-vacuum extremal. The induced four-metric becomes degenerate at the light-like wormhole throat(s) in the case of fermions (gauge bosons) since the Euclidian signature of metric is changed to Minkowskian one.

The natural expectation is that the inertial four-momentum associated with topologically condensed  $CP_2$  type vacuum extremal equals to the gravitational four-momentum assignable to  $CP_2$  type extremal. The question was what this gravitational four-momentum means.

1. The Einstein tensor associated with  $CP_2$  type extremal gives rise to a non-conserved light-like four-momentum in the direction of the tangent light-like curve. The identification of gravitational four-momentum in terms of Einstein tensor however leads to difficulties with cosmic strings. For instance, gravitational mass can be negative.
2. The attempt to realize gravitational four-momentum as Noether current in the framework of almost-topological QFT based on Chern-Simons action led also to a difficulty since the four-momentum Noether current associated with  $C - S$  action vanishes identically. Same is true for the Noether current associated with the modified Dirac action associated with  $C - S$  action. The proposed solution of the problem was the addition of pure gauge part  $A_a = \text{constant}$  to the Kähler gauge potential of  $CP_2$ , where  $a$  refers to the light-cone proper time assignable to  $CD$  [31]. This gives under some conditions constant mass squared but the four-momentum given by Noether current is of course non-conserved and the conserved four-momentum should correspond to average of this four-momentum (option I) or simply the integral over these four-momenta over 2-D sections of  $X_l^3$  (option II). This approach led to a difficulty with the realization of the hierarchy of Planck constants in the most general sense.
3. After the realization that number theoretical compactification implies the slicing of preferred extremal  $X^4(X_l^3)$  to light-like 3-surfaces  $Y_l^3$  parallel to  $X_l^3$  and also dual slicings to string worlds sheets  $Y^2$  and partonic 2-surfaces  $X^2$ , it became clear that preferred extremals have the property that the slices  $Y_l^3$  behave like independent dynamical units so that 3-dimensional dynamical objects become effectively 2-dimensional [18, 31]. This made it also clear how to code information about the preferred extremal of Kähler action to the eigenvalue spectrum associated with the modified Dirac operator  $D_K$  associated with the Kähler action for the preferred extremal. This spectrum codes also for the conserved charges associated with the preferred extremal so that there is not need to assign the four-momentum to  $C - S$  action. One

can also assign conserved charges to the modified Dirac action if the first variation of  $D_K$  with respect to the imbedding space coordinates vanishes which means that the second variation of Kähler action vanishes. It is actually enough that the second variations representing symmetries giving rise to the conserved charges vanish. This gives a rather precise content for the notion of quantum criticality and for the notion of preferred extremal.

4. In this framework  $C - S$  action is replaced with the imaginary part of Kähler action expressible as instanton density proportional to  $J \wedge J$ . This contribution does not affect Kähler function but gives rise to  $C - S$  term at surfaces  $X_l^3$ . Modified Dirac operator receives an imaginary contribution from  $J \wedge J$ , and its spectrum becomes complex so that Dirac determinant can be equal to the exponent of Kähler action multiplied by the exponent imaginary instanton term. This provides a first principle explanation for CP breaking behind matter antimatter asymmetry and CKM mixing as well as anyonization and quantum Hall effect [17].
5. The discovery of dual slicings of  $X^4(X_l^3)$  by stringy world sheets and partonic two-surfaces lead also to the realization that dimensional reduction allows to assign to Kähler action stringy action and Equivalence Principle naturally follows at elementary particle level. In this framework both Kähler coupling strength and gravitational constant emerge as predictions of the theory.

The random light-like motion of partonic 2-surface provides justification for p-adic thermodynamics. The original interpretation was however partially wrong.

1. The random zitterbewegung of  $CP_2$  type vacuum extremal with light velocity allows to understand heuristically the massivation of fermions in terms of p-adic thermodynamics. The first guess was that four-momentum would be simply the average of or sum over the non-conserved four-momenta associated with partonic 2-surface and led to the vision about the role of  $C - S$  action. This vision must be given up.
2. p-Adic thermodynamics corresponds to thermodynamics for conformal weight. The basic dynamical object must be therefore 2-dimensional partonic surface. Also Lorentz invariance requires that it is thermal conformal weight which is generated by p-adic thermodynamics and mass squared is proportional to this. Light-like randomness implies the thermalization of conformal weight. Conformal symmetry indeed allows to identify conformal weight as quantum number and the squares of generalized eigenvalues of  $D_{C-S}$  have identification as conformal weights. One must of course remember also that it is not at all clear whether the masses as predicted by p-adic thermodynamics are identical with classical masses.
3. The equivalence of mass squared identified as thermal conformal weight with the square of inertial or gravitational momentum remains to be proven rigorously. The understanding of this connection might lead to unexpected progress.

### 14.2.3 Various interpretations of Machian Principle in TGD framework

TGD allows several interpretations of Machian Principle and leads also to a generalization of the Principle.

1. Machian Principle is true in the sense that the notion of completely free particle is non-sensible. Free  $CP_2$  type extremal (having random light-like curve as  $M^4$  projection) is a pure vacuum extremal and only its topological condensation creates a wormhole throat (two of them) in the case of fermion (boson). Topological condensation to space-time sheet(s) generates all quantum numbers, not only mass. Both thermal massivation and massivation via the generation of coherent state of Higgs type wormhole contacts are due to topological condensation.
2. Machian Principle has also interpretation in terms of p-adic physics [24]. Most points of p-adic space-time sheets have infinite distance from the tip light-cone in the real sense. The discrete algebraic intersection of the p-adic space-time sheet with the real space-time sheet gives rise to effective p-adicity of the topology of the real space-time sheet if the number of these points is large enough. Hence p-adic thermodynamics with given p also assigned to the partonic 3-surface by the modified Dirac operator makes sense. The continuity and smoothness of the dynamics

corresponds to the p-adic fractality and long range correlations for the real dynamics and allows to apply p-adic thermodynamics in the real context. p-Adic variant of Machian Principle says that p-adic dynamics of cognition and intentionality in literally infinite scale in the real sense dictates the values of masses among other things.

3. A further interpretation of Machian Principle is in terms of number theoretic Brahman=Atman identity or equivalently, Algebraic Holography [16]. This principle states that the number theoretic structure of the space-time point is so rich due to the presence of infinite hierarchy of real units obtained as ratios of infinite integers that single space-time point can represent the entire world of classical worlds. This could be generalized also to a criterion for a good mathematics: only those mathematical structures which are representable in the set of real units associated with the coordinates of single space-time point are really fundamental.

### 14.3 TGD inspired cosmology

TGD Universe is quantum counterpart of a statistical system at critical temperature. As a consequence, topological condensate is expected to possess hierarchical, fractal like structure containing topologically condensed 3-surfaces with all possible sizes. Both Kähler magnetized and Kähler electric 3-surfaces ought to be important and string like objects indeed provide a good example of Kähler magnetic structures important in TGD inspired cosmology. In particular space-time is expected to be many-sheeted even at cosmological scales and ordinary cosmology must be replaced with many-sheeted cosmology. The presence of vapor phase consisting of free cosmic strings and possibly also elementary particles is second crucial aspects of TGD inspired cosmology.

Quantum criticality of TGD Universe (Kähler coupling strength is analogous to critical temperature) supports the view that many-sheeted cosmology is in some sense critical. Criticality in turn suggests fractality. Phase transitions, in particular the topological phase transitions giving rise to new space-time sheets, are (quantum) critical phenomena involving no scales. If the curvature of the 3-space does not vanish, it defines scale: hence the flatness of the cosmic time=constant section of the cosmology implied by the criticality is consistent with the scale invariance of the critical phenomena. This motivates the assumption that the new space-time sheets created in topological phase transitions are in good approximation modellable as critical Robertson-Walker cosmologies for some period of time at least.

Any one-dimensional sub-manifold allows global imbeddings of subcritical cosmologies whereas for a given 2-dimensional Lagrange manifold of  $CP_2$  critical and overcritical cosmologies allow only one-parameter family of partial imbeddings. The infinite size of the horizon for the imbeddable critical cosmologies is in accordance with the presence of arbitrarily long range quantum fluctuations at criticality and guarantees the average *isotropy* of the cosmology. Imbedding is possible for some critical duration of time. The parameter labelling these cosmologies is a scale factor characterizing the duration of the critical period. These cosmologies have the same optical properties as inflationary cosmologies but exponential expansion is replaced with logarithmic one. Critical cosmology can be regarded as a 'Silent Whisper amplified to Bang' rather than 'Big Bang' and transformed to hyperbolic cosmology before its imbedding fails. Split strings decay to elementary particles in this transition and give rise to seeds of galaxies. In some later stage the hyperbolic cosmology can decompose to disjoint 3-surfaces. Thus each sub-cosmology is analogous to biological growth process leading eventually to death.

The critical cosmologies can be used as a building blocks of a fractal cosmology containing cosmologies containing ... cosmologies. p-Adic length scale hypothesis allows a quantitative formulation of the fractality [30]. Fractal cosmology predicts cosmos to have essentially same optical properties as inflationary scenario. Fractal cosmology explains the paradoxical result that the observed density of the matter is much lower than the critical density associated with the largest space-time sheet of the fractal cosmology. Also the observation that some astrophysical objects seem to be older than the Universe, finds a nice explanation.

Absolutely essential element of the considerations (and longstanding puzzle of TGD inspired cosmology) is the conservation of energy implied by Poincare invariance which seems to be in conflict with the non-conservation of gravitational energy. It took long time to discover the natural resolution of the paradox. In TGD Universe matter and antimatter have opposite energies and gravitational

four-momentum is identified as difference of the four momenta of matter and antimatter (or vice versa, so that gravitational energy is positive). The assumption that the net inertial energy density vanishes in cosmological length scales is the proper interpretation for the fact that Robertson-Walker cosmologies correspond to vacuum extremals of Kähler action.

Tightly bound, possibly coiled pairs of cosmic strings are the basic building block of TGD inspired cosmology and all structures including large voids, galaxies, stars, and even planets can be seen as pearls in a cosmic fractal necklace consisting of cosmic strings containing smaller cosmic strings linked around them containing... During cosmological evolution the cosmic strings are transformed to magnetic flux tubes and these structures are also key players in TGD inspired quantum biology.

Negative energy virtual gravitons represented by topological quanta having negative time orientation and hence also negative energy. The absorption of negative energy gravitons by photons could explain gradual red-shifting of the microwave background radiation at particle level. Negative energy virtual gravitons give also rise to a negative gravitational potential energy. Quite generally, negative energy virtual bosons build up the negative interaction potential energy. An important constraint to TGD inspired cosmology is the requirement that Hagedorn temperature  $T_H \sim 1/R$ , where  $R$  is  $CP_2$  size, is the limiting temperature of radiation dominated phase.

### 14.3.1 Robertson-Walker cosmologies

Robertson-Walker cosmologies are the basic building block of standard cosmologies and sub-critical R-W cosmologies have a very natural place in TGD framework as Lorentz invariant cosmologies. Inflationary cosmologies are replaced with critical cosmologies being parameterized by a single parameter telling the duration of the critical cosmology. Over-critical cosmologies are not possible at all.

#### Why Robertson-Walker cosmologies?

Robertson Walker cosmology, which is a vacuum extremal of the Kähler action, is a reasonable idealization only in the length scales, where the density of the Kähler charge vanishes. Since (visible) matter and antimatter carry Kähler charges of opposite sign this means that Kähler charge density vanishes in length scales, where matter-antimatter asymmetry disappears on the average. This length scale is certainly very large in present day cosmology: in the proposed model for cosmology its present value is of the order of  $10^8$  light years: the size of the observed regions containing visible matter predominantly on their boundaries [66]. That only matter is observed can be understood from the fact that fermions reside dominantly at future oriented space-time sheets and anti-fermions on past-oriented space-time sheets.

Robertson Walker cosmology is expected to apply in the description of the condensate locally at each condensate level and it is assumed that the GRT based criteria for the formation of "structures" apply. In particular, the Jeans criterion stating that density fluctuations with size between Jeans length and horizon size can lead to the development of the "structures" will be applied.

#### Imbeddability requirement for RW cosmologies

Standard Robertson-Walker cosmology is characterized by the line element [57]

$$ds^2 = f(a)da^2 - a^2\left(\frac{dr^2}{1 - kr^2} + r^2d\Omega^2\right), \quad (14.3.1)$$

where the values  $k = 0, \pm 1$  of  $k$  are possible.

The line element of the light cone is given by the expression

$$ds^2 = da^2 - a^2\left(\frac{dr^2}{1 + r^2} + r^2d\Omega^2\right). \quad (14.3.2)$$

Here the variables  $a$  and  $r$  are defined in terms of standard Minkowski coordinates as

$$\begin{aligned} a &= \sqrt{(m^0)^2 - r_M^2}, \\ r_M &= ar. \end{aligned} \quad (14.3.3)$$

Light cone clearly corresponds to mass density zero cosmology with  $k = -1$  and this makes the case  $k = -1$  is rather special as far imbeddings are considered since any Lorentz invariant map  $M_+^4 \rightarrow CP_2$  defines imbedding

$$s^k = f^k(a) . \quad (14.3.4)$$

Here  $f^k$  are arbitrary functions of  $a$ .

$k = -1$  requirement guarantees imbeddability if the matter density is positive as is easy to see. The matter density is given by the expression

$$\rho = \frac{3}{8\pi G a^2} \left( \frac{1}{g_{aa}} + k \right) . \quad (14.3.5)$$

A typical imbedding of  $k = -1$  cosmology is given by

$$\begin{aligned} \phi &= f(a) , \\ g_{aa} &= 1 - \frac{R^2}{4} (\partial_a f)^2 . \end{aligned} \quad (14.3.6)$$

where  $\phi$  can be chosen to be the angular coordinate associated with a geodesic sphere of  $CP_2$  (any one-dimensional sub-manifold of  $CP_2$  works equally well). The square root term is always positive by the positivity of the mass density and the imbedding is indeed well defined. Since  $g_{aa}$  is smaller than one, the matter density is necessarily positive.

### Critical and over-critical cosmologies

TGD allows the imbeddings of a one-parameter family of critical over-critical cosmologies. Critical cosmologies are however not inflationary in the sense that they would involve the presence of scalar fields. Exponential expansion is replaced with a logarithmic one so that the cosmologies are in this sense exact opposites of each other. Critical cosmology has been used hitherto as a possible model for the very early cosmology. What is remarkable that this cosmology becomes vacuum at the moment of 'Big Bang' since mass density behaves as  $1/a^2$  as function of the light cone proper time. Instead of 'Big Bang' one could talk about 'Small Whisper' amplified to bang gradually. This is consistent with the idea that space-time sheet begins as a vacuum space-time sheet for some moment of cosmic time. As an imbedded 4-surface this cosmology would correspond to a deformed future light cone having its tip inside the future light cone. The interpretation of the tip as a seed of a phase transition is possible. The imbedding makes sense up to some moment of cosmic time after which the cosmology becomes necessarily hyperbolic. At later time hyperbolic cosmology stops expanding and decomposes to disjoint 3-surfaces behaving as particle like objects co-moving at larger cosmological space-time sheet. These 3-surfaces topologically condense on larger space-time sheets representing new critical cosmologies.

Consider now in more detail the imbeddings of the critical and overcritical cosmologies. For  $k = 0, 1$  the imbeddability requirement fixes the cosmology almost uniquely. To see this, consider as an example of  $k = 0/1$  imbedding the map from the light cone to  $S^2$ , where  $S^2$  is a geodesic sphere of  $CP_2$  with a vanishing Kähler form (any Lagrange manifold of  $CP_2$  would do instead of  $S^2$ ). In the standard coordinates  $(\Theta, \Phi)$  for  $S^2$  and Robertson-Walker coordinates  $(a, r, \theta, \phi)$  for future light cone (, which can be regarded as empty hyperbolic cosmology), the imbedding is given as

$$\begin{aligned} \sin(\Theta) &= \frac{a}{a_1} , \\ (\partial_r \Phi)^2 &= \frac{1}{K_0} \left[ \frac{1}{1 - kr^2} - \frac{1}{1 + r^2} \right] , \\ K_0 &= \frac{R^2}{4a_1^2} , \quad k = 0, 1 , \end{aligned} \quad (14.3.7)$$

when Robertson-Walker coordinates are used for both the future light cone and space-time surface. The differential equation for  $\Phi$  can be written as

$$\partial_r \Phi = \pm \sqrt{\frac{1}{K_0} \left[ \frac{1}{1 - kr^2} - \frac{1}{1 + r^2} \right]} . \quad (14.3.8)$$

For  $k = 0$  case the solution exists for all values of  $r$ . For  $k = 1$  the solution extends only to  $r = 1$ , which corresponds to a 4-surface  $r_M = m^0/\sqrt{2}$  identifiable as a ball expanding with the velocity  $v = c/\sqrt{2}$ . For  $r \rightarrow 1$   $\Phi$  approaches constant  $\Phi_0$  as  $\Phi - \Phi_0 \propto \sqrt{1 - r}$ . The space-time sheets corresponding to the two signs in the previous equation can be glued together at  $r = 1$  to obtain sphere  $S^3$ .

The expression of the induced metric follows from the line element of future light cone

$$ds^2 = da^2 - a^2 \left( \frac{dr^2}{1 - kr^2} + r^2 d\Omega^2 \right) . \quad (14.3.9)$$

The imbeddability requirement fixes almost uniquely the dependence of the  $S^2$  coordinates  $a$  and  $r$  and the  $g_{aa}$  component of the metric is given by the same expression for both  $k = 0$  and  $k = 1$ .

$$\begin{aligned} g_{aa} &= 1 - K , \\ K &\equiv K_0 \frac{1}{(1 - u^2)} , \\ u &\equiv \frac{a}{a_1} . \end{aligned} \quad (14.3.10)$$

The imbedding fails for  $a \geq a_1$ . For  $a_1 \gg R$  the cosmology is essentially flat up to immediate vicinity of  $a = a_1$ . Energy density and "pressure" follow from the general equation of Einstein tensor and are given by the expressions

$$\begin{aligned} \rho &= \frac{3}{8\pi G a^2} \left( \frac{1}{g_{aa}} + k \right) , \quad k = 0, 1 , \\ \frac{1}{g_{aa}} &= \frac{1}{1 - K} , \\ p &= -\left( \rho + \frac{a \partial_a \rho}{3} \right) = -\frac{\rho}{3} + \frac{2}{3} K_0 u^2 \frac{1}{(1 - K)(1 - u^2)^2} \rho_{cr} , \\ u &\equiv \frac{a}{a_1} . \end{aligned} \quad (14.3.11)$$

Here the subscript 'cr' refers to  $k = 0$  case. Since the time component  $g_{aa}$  of the metric approaches constant for very small values of the cosmic time, there are no horizons associated with this metric. This is clear from the formula

$$r(a) = \int_0^a \sqrt{g_{aa}} \frac{da}{a}$$

for the horizon radius.

The mass density associated with these cosmologies behaves as  $\rho \propto 1/a^2$  for very small values of the  $M_+^4$  proper time. The mass in a co-moving volume is proportional to  $a/(1 - K)$  and goes to zero at the limit  $a \rightarrow 0$ . Thus, instead of Big Bang one has 'Silent Whisper' gradually amplifying to Big Bang. The imbedding fails at the limit  $a \rightarrow a_1$ . At this limit energy density becomes infinite. This cosmology can be regarded as a cosmology for which co-moving strings ( $\rho \propto 1/a^2$ ) dominate the mass density as is clear also from the fact that the "pressure" becomes negative at big bang ( $p \rightarrow -\rho/3$ ) reflecting the presence of the string tension. The natural interpretation is that cosmic strings condense on the space-time sheet which is originally empty.

The facts that the imbedding fails and gravitational energy density diverges for  $a = a_1$  necessitates a transition to a hyperbolic cosmology. For instance, a transition to radiation or matter dominated

hyperbolic cosmology can occur at the limit  $\theta \rightarrow \pi/2$ . At this limit  $\phi(r)$  must transform to a function  $\phi(a)$ . The fact, that vacuum extremals of Kähler action are in question, allows large flexibility for the modelling of what happens in this transition. Quantum criticality and p-adic fractality suggest the presence of an entire fractal hierarchy of space-time sheets representing critical cosmologies created at certain values of cosmic time and having as their light cone projection sub-light cone with its tip at some  $a=\text{constant}$  hyperboloid.

### More general imbeddings of critical and over-critical cosmologies as vacuum extremals

In order to obtain imbeddings as more general vacuum extremals, one must pose the condition guaranteeing the vanishing of corresponding the induced Kähler form (see the Appendix of this book). Using coordinates  $(r, u = \cos(\Theta), \Psi, \Phi)$  for  $CP_2$  the surfaces in question can be expressed as

$$\begin{aligned} r &= \sqrt{\frac{X}{1-X}} \ , \\ X &= D|k+u| \ , \\ u &\equiv \cos(\Theta) \ , \quad D = \frac{r_0^2}{1+r_0^2} \times \frac{1}{C} \ , \quad C = |k + \cos(\Theta_0)| \ . \end{aligned} \quad (14.3.12)$$

Here  $C$  and  $D$  are integration constants.

These imbeddings generalize to imbeddings to  $M^4 \times Y^2$ , where  $Y^2$  belongs to a family of Lagrange manifolds described in the Appendix of this book with induced metric

$$\begin{aligned} ds_{eff}^2 &= \frac{R^2}{4} [s_{\Theta\Theta}^{eff} d\Theta^2 + s_{\Phi\Phi}^{eff} d\Phi^2] \ , \\ s_{\Theta\Theta}^{eff} &= X \times \left[ \frac{(1-u^2)}{(k+u)^2} \times \frac{1}{1-X} + 1 - X \right] \ , \\ s_{\Phi\Phi}^{eff} &= X \times [(1-X)(k+u)^2 + 1 - u^2] \ . \end{aligned} \quad (14.3.13)$$

For  $k \neq 1$   $u = \pm 1$  corresponds in general to circle rather than single point as is clear from the fact that  $s_{\Phi\Phi}^{eff}$  is non-vanishing at  $u = \pm 1$  so that  $u$  and  $\Phi$  parameterize a piece of cylinder. The generalization of the previous imbedding is as

$$\sin(\Theta) = ka \quad \rightarrow \quad \sqrt{s_{\Phi\Phi}^{eff}} = ka \ . \quad (14.3.14)$$

For  $\Phi$  the expression is as in the previous case and determined by the requirement that  $g_{rr}$  corresponds to  $k = 0, 1$ .

The time component of the metric can be expressed as

$$g_{aa} = 1 - \frac{R^2 k^2}{4} \frac{s_{\Theta\Theta}^{eff}}{\frac{d\sqrt{s_{\Phi\Phi}^{eff}}}{d\Theta}} \quad (14.3.15)$$

In this case the  $1/(1-k^2a^2)$  singularity of the density of gravitational mass at  $\Theta = \pi/2$  is shifted to the maximum of  $s_{\Phi\Phi}^{eff}$  as function of  $\Theta$  defining the maximal value  $a_{max}$  of  $a$  for which the imbedding exists at all. Already for  $a_0 < a_{max}$  the vanishing of  $g_{aa}$  implies the non-physicality of the imbedding since gravitational mass density becomes infinite.

The geometric properties of critical cosmology change radically in the transition to the radiation dominated cosmology: before the transition the  $CP_2$  projection of the critical cosmology is two-dimensional. After the transition it is one-dimensional. Also the isometry group of the cosmology changes from  $SO(3) \times E^3$  to  $SO(3, 1)$  in the transition. One could say that critical cosmology represents Galilean Universe whereas hyperbolic cosmology represents Lorentzian Universe.



### String dominated cosmology

A particularly interesting cosmology is string dominated cosmology with very nearly critical mass density. Assuming that strings are co-moving the mass density of this cosmology is proportional to  $1/a^2$  instead of the  $1/a^3$  behavior characteristic to the standard matter dominated cosmology. The line element of this metric is very simple: the time component of the metric is simply constant smaller than 1:

$$g_{aa} = K < 1 . \quad (14.3.16)$$

The Hubble constant for this cosmology is given by

$$H = \frac{1}{\sqrt{K}a} , \quad (14.3.17)$$

and the so called acceleration parameter [57]  $k_0$  proportional to the second derivative  $\ddot{a}$  therefore vanishes. Mass density and pressure are given by the expression

$$\rho = \frac{3}{8\pi G K a^2} (1 - K) = -3p . \quad (14.3.18)$$

What makes this cosmology so interesting is the absence of the horizons. The comparison with the critical cosmology shows that these two cosmologies resemble each other very closely and both could be used as a model for the very early cosmology.

### Stationary cosmology

An interesting candidate for the asymptotic cosmology is stationary cosmology for which gravitational four-momentum currents (and also gravitational color currents) are conserved. This cosmology extremizes the Einstein-Hilbert action with cosmological term given by  $\int (kR + \lambda)\sqrt{g}d^4x + \lambda$  and is obtained as a sub-manifold  $X^4 \subset M_+^4 \times S^1$ , where  $S^1$  is the geodesic circle of  $CP_2$  (note that imbedding is now unique apart from isometries by variational principle).

For a vanishing cosmological constant, field equations reduce to the conservation law for the isometry associated with  $S^1$  and read

$$\partial_a (G^{aa} \partial_a \phi \sqrt{g}) = 0 , \quad (14.3.19)$$

where  $\phi$  denotes the angle coordinate associated with  $S^1$ . From this one finds for the relevant component of the metric the expression

$$\begin{aligned} g_{aa} &= \frac{(1-2x)}{(1-x)} , \\ x &= \left(\frac{C}{a}\right)^{2/3} . \end{aligned} \quad (14.3.20)$$

The mass density and "pressure" of this cosmology are given by the expressions

$$\begin{aligned} \rho &= \frac{3}{8\pi G a^2} \frac{x}{(1-2x)} , \\ p &= -\left(\rho + \frac{a\partial_a \rho}{3}\right) = -\frac{\rho}{9} \left[3 - \frac{2}{(1-2x)}\right] . \end{aligned} \quad (14.3.21)$$

The asymptotic behavior of the energy density is  $\rho \propto a^{-8/3}$ . "Pressure" becomes negative indicating that this cosmology is dominated by the string like objects, whose string tension gives negative contribution to the "pressure". Also this cosmology is horizon free as are all string dominated cosmologies: this is of crucial importance in TGD inspired cosmology.

It should be noticed that energy density for this cosmology becomes infinite for  $x = (C/a)^{2/3} = 1/2$  implying that this cosmology doesn't make sense at very early times so that the non-conservation of gravitational energy is necessary during the early stages of the cosmology.

### Non-conservation of gravitational energy in RW cosmologies

In *RW* cosmology the gravitational energy in a given co-moving sphere of radius  $r$  in local light cone coordinates  $(a, r, \theta, \phi)$  is given by

$$E = \int \rho g^{aa} \partial_a m^0 \sqrt{g} dV . \quad (14.3.22)$$

The rate characterizing the non-conservation of gravitational energy is determined by the parameter  $X$  defined as

$$X \equiv \frac{(dE/da)_{vap}}{E} = \frac{(dE/da + \int |g^{rr}| p \partial_r m^0 \sqrt{g} d\Omega)}{E} , \quad (14.3.23)$$

where  $p$  denotes the pressure and  $d\Omega$  denotes angular integration over a sphere with radius  $r$ . The latter term subtracts the energy flow through the boundary of the sphere.

The generation of the pairs of positive and negative (inertial) energy space-time sheets leads to non-conservation of gravitational energy. The generation of pairs of positive and negative energy cosmic strings would be involved with the generation of a critical sub-cosmology.

For *RW* cosmology with subcritical mass density the calculation gives

$$X = \frac{\partial_a(\rho a^3/\sqrt{g_{aa}})}{(\rho a^3/\sqrt{g_{aa}})} + \frac{3pg_{aa}}{\rho a} . \quad (14.3.24)$$

This formula applies to any infinitesimal volume. The rate doesn't depend on the details of the imbedding (recall that practically any one-dimensional sub-manifold of  $CP_2$  defines a huge family of subcritical cosmologies). Apart from the numerical factors, the rate behaves as  $1/a$  in the most physically interesting *RW* cosmologies. In the radiation dominated and matter dominated cosmologies one has  $X = -1/a$  and  $X = -1/2a$  respectively so that gravitational energy decreases in radiation and matter dominated cosmologies. For the string dominated cosmology with  $k = -1$  having  $g_{aa} = K$  one has  $X = 2/a$  so that gravitational energy increases: this might be due to the generation of dark matter due to pairs of cosmic strings with vanishing net inertial energy.

For the cosmology with exactly critical mass density Lorentz invariance is broken and the contribution of the rate from 3-volume depends on the position of the co-moving volume. Taking the limit of infinitesimal volume one obtains for the parameter  $X$  the expression

$$\begin{aligned} X &= X_1 + X_2 , \\ X_1 &= \frac{\partial_a(\rho a^3/\sqrt{g_{aa}})}{(\rho a^3/\sqrt{g_{aa}})} , \\ X_2 &= \frac{pg_{aa}}{\rho a} \times \frac{3 + 2r^2}{(1 + r^2)^{3/2}} . \end{aligned} \quad (14.3.25)$$

Here  $r$  refers to the position of the infinitesimal volume. Simple calculation gives

$$\begin{aligned} X &= X_1 + X_2 , \\ X_1 &= \frac{1}{a} \left[ 1 + 3K_0 u^2 \frac{1}{1-K} \right] , \\ X_2 &= -\frac{1}{3a} \left[ 1 - K - \frac{2K_0 u^2}{(1-u^2)^2} \right] \times \frac{3+2r^2}{(1+r^2)^{3/2}} , \\ K &= \frac{K_0}{1-u^2} , \quad u = \frac{a}{a_0} , \quad K_0 = \frac{R^2}{4a_0^2} . \end{aligned} \quad (14.3.26)$$

The positive density term  $X_1$  corresponds to increase of gravitational energy which is gradually amplified whereas pressure term ( $p < 0$ ) corresponds to a decrease of gravitational energy changing however its sign at the limit  $a \rightarrow a_0$ .

The interpretation is in terms of creation of pairs of positive and negative energy particles contributing nothing to the inertial energy. Also pairs of positive energy gravitons and negative anti-gravitons are involved. The contributions of all particle species are determined by thermal arguments so that gravitons should not play any special role as thought originally.

Pressure term is negligible at the limit  $r \rightarrow \infty$  so that topological condensation occurs all the time at this limit. For  $a \rightarrow 0, r \rightarrow 0$  one has  $X > 0 \rightarrow 0$  so that condensation starts from zero at  $r = 0$ . For  $a \rightarrow 0, r \rightarrow \infty$  one has  $X = 1/a$  which means that topological condensation is present already at the limit  $a \rightarrow 0$ .

Both the existence of the finite limiting temperature and of the critical mass density imply separately finite energy per co-moving volume for the condensate at the very early stages of the cosmic evolution. In fact, the mere requirement that the energy per co-moving volume in the vapor phase remains finite and non-vanishing at the limit  $a \rightarrow 0$  implies string dominance as the following argument shows.

Assuming that the mass density of the condensate behaves as  $\rho \propto 1/a^{2(1+\alpha)}$  one finds from the expression

$$\rho \propto \frac{\left(\frac{1}{g_{aa}} - 1\right)}{a^2} ,$$

that the time component of the metric behaves as  $g_{aa} \propto a^\alpha$ . Unless the condition  $\alpha < 1/3$  is satisfied or equivalently the condition

$$\rho < \frac{k}{a^{2+2/3}} \tag{14.3.27}$$

is satisfied, gravitational energy density is reduced. In fact, the limiting behavior corresponds to the stationary cosmology, which is not imbeddable for the small values of the cosmic time. For stationary cosmology gravitational energy density is conserved which suggests that the reduction of the density of cosmic strings is solely due to the cosmic expansion.

### 14.3.2 Free cosmic strings

The free cosmic strings correspond to four-surfaces of type  $X^2 \times S^2$ , where  $S^2$  is the homologically nontrivial geodesic sphere of  $CP_2$  [58] and  $X^2$  is minimal surface in  $M_+^4$ . As a matter fact, any complex manifold  $Y^2 \subset CP_2$  is possible. In this section, a co-moving cosmic string solution inside the light cone  $M_+^4(m)$  associated with a given  $m$  point of  $M_+^4$  will be constructed.

Recall that the line element of the light cone in co-moving coordinates inside the light cone is given by

$$ds^2 = da^2 - a^2\left(\frac{dr^2}{1+r^2} + r^2 d\Omega^2\right) . \tag{14.3.28}$$

Outside the light cone the line element is given

$$ds^2 = -da^2 - a^2\left(-\frac{dr^2}{1-r^2} + r^2 d\Omega^2\right) , \tag{14.3.29}$$

and is obtained from the line element inside the light cone by replacements  $a \rightarrow ia$  and  $r \rightarrow -ir$ .

#### Simplest solutions

Using the coordinates ( $a = \sqrt{(m^0)^2 - r_M^2}, ar = r_M$ ) for  $X^2$  the orbit of the cosmic string is given by

$$\begin{aligned}\theta &= \frac{\pi}{2} , \\ \phi &= f(r) .\end{aligned}\tag{14.3.30}$$

Inside the light cone the line element of the induced metric of  $X^2$  is given by

$$ds^2 = da^2 - a^2 \left( \frac{1}{1+r^2} + r^2 f_{,r}^2 \right) dr^2 .\tag{14.3.31}$$

The equations stating the minimal surface property of  $X^2$  can be expressed as a differential conservation law for energy or equivalently for the component of the angular momentum in the direction orthogonal to the plane of the string. The conservation of the energy current  $T^\alpha$  gives

$$\begin{aligned}T_{,\alpha}^\alpha &= 0 , \\ T^\alpha &= T g^{\alpha\beta} m_{,\beta}^0 \sqrt{g} , \\ T &= \frac{1}{8\alpha_K R^2} \simeq .52 \times 10^{-6} \frac{1}{G} .\end{aligned}\tag{14.3.32}$$

The numerical estimate  $TG \simeq .52 \times 10^{-6}$  for the string tension is upper bound and corresponds to a situation in which the entire area of  $S^2$  contributes to the tension. It has been obtained using  $\alpha_K/104$  and  $R^2/G = 2.5 \times 10^7 G$  given by the most recent version of p-adic mass calculations (the earlier estimate was roughly by a factor 1/2 too small due to error in the calculation [27, 18]). The string tension belongs to the range  $TG \in [10^{-6} - 10^{-7}]$  predicted for GUT strings [59]. WMAP data give the upper bound  $TG \in [10^{-6} - 10^{-7}]$ , which does not however hold true in the recent case since criticality predicts adiabatic spectrum of perturbations as in the inflationary scenarios.

The non-vanishing components of energy current are given by

$$\begin{aligned}T^a &= TUa , \\ T^r &= -T \frac{r}{U} , \\ U &= \sqrt{1 + r^2(1+r^2)f_{,r}^2} .\end{aligned}\tag{14.3.33}$$

The equations of motion give

$$U = \frac{r}{\sqrt{r^2 - r_0^2}} ,\tag{14.3.34}$$

or equivalently

$$\phi_{,r} = \frac{r_0}{r \sqrt{(r^2 - r_0^2)(1+r^2)}} ,\tag{14.3.35}$$

where  $r_0$  is an integration constant to be determined later. Outside the light cone the solution has the form

$$\phi_{,r} = \frac{r_0}{\sqrt{r^2 + r_0^2} r \sqrt{1-r^2}} .\tag{14.3.36}$$

In the region inside the light cone, where the conditions

$$r_0 \ll r \ll 1\tag{14.3.37}$$

hold, the solution has the form

$$\begin{aligned}\phi(r) &\simeq \phi_0 + \frac{v}{r} , \\ v &= \frac{r_0}{\sqrt{1+r_0^2}} ,\end{aligned}\tag{14.3.38}$$

corresponding to the linearized equations of motion

$$f_{,rr} + \frac{2f_{,r}}{r} = 0 ,\tag{14.3.39}$$

obtained most nicely from the angular momentum conservation condition.

### Cosmic string is stationary in comoving coordinates

In co-moving coordinates (in general the co-moving coordinates of sub-light-cone  $M_+^4$ !) the string is stationary. In Minkowski coordinates string rotates with an angular velocity inversely proportional to the distance from the origin

$$\omega \simeq \frac{v}{r_M}\tag{14.3.40}$$

so that the orbital velocity of the string becomes essentially constant in this region. For very large values of  $r$  the orbital velocity of the string vanishes as  $1/r$ . Outside the light cone the variable  $r$  is in the role of time and for a given value of the time variable  $r$  strings are straight and one can regard the string as a rigidly rotating straight string in this region.

Inside the light cone, the solution becomes ill defined for the values of  $r$  smaller than the critical value  $r_0$ . Although the derivative  $\phi_{,r}$  becomes infinite at this limit, the limiting value of  $\phi$  is finite so that strings winds through a finite angle. The normal component  $T^r$  of the energy momentum current vanishes at  $r = r_0$  identically, which means that no energy flows out at the end of the string. The coordinate variable  $r$  becomes however bad at  $r = r_0$  (string resembles a circle at  $r_0$ ) and this conclusion must be checked using  $\phi$  as coordinate instead of  $r$ . The result is that the normal component of the energy current indeed vanishes.

Field equations are not however satisfied at the end of the string since the normal component of the angular momentum current (in  $z$ - direction) is non-vanishing at the boundary and given by

$$J^r = Tr^2 a .\tag{14.3.41}$$

This means that the string loses angular momentum through its ends although the angular momentum density of the string is vanishing. The angular momentum lost at moment  $a$  is given by

$$J = \frac{Tr^2 a^2}{2} = \frac{Tr_M^2}{2} .\tag{14.3.42}$$

This angular momentum is of the same order of magnitude as the angular momentum of a typical galaxy [67].

In  $M^4$  coordinates singularity corresponds to a disk in the plane of string growing with a constant velocity, when the coordinate  $m^0$  is positive

$$\begin{aligned}r_M &= vm^0 , \\ v &= \frac{r_0}{\sqrt{1+r_0^2}} .\end{aligned}\tag{14.3.43}$$

From the expression of the energy density of the string

$$\begin{aligned}
T^a &= T \frac{ar}{\sqrt{r^2 - r_0^2}} , \\
T &= \frac{1}{8\alpha_K R^2} ,
\end{aligned} \tag{14.3.44}$$

it is clear that energy density diverges at the singularity.

### Energy of the cosmic string

As already noticed, the string tension is by a factor of order  $10^{-6}$  smaller than the critical string tension  $T_{cr} = 1/4G$  implying angle deficit of  $2\pi$  in GRT so that there seems to be no conflict with General Relativity (unlike in the original scenario, in which the  $CP_2$  radius was of order Planck length).

The energy of the string portion ranging from  $r_0$  to  $r_1$  is given by

$$E = T\sqrt{(r_1^2 - r_0^2)}a = T\sqrt{\delta r_M^2} . \tag{14.3.45}$$

It should be noticed that  $M^4$  time development of the string can be regarded as a scaling: each point of the string moves to radial direction with a constant velocity  $v$ .

One can calculate the total change of the angle  $\phi$  from the integral

$$\Delta\phi = \sqrt{\frac{r_0^2}{1+r_0^2}} \int_{r_0}^{\infty} dr \frac{1}{r\sqrt{(r^2 - r_0^2)(1+r^2)}} . \tag{14.3.46}$$

The upper bound of this quantity is obtained at the limit  $r_0 \rightarrow 0$  and equals to  $\Delta\phi = \pi/2$ .

### 14.3.3 Cosmic strings and cosmology

The model for cosmic strings has forced to question all cherished assumptions including positive energy ontology, Equivalence Principle, and positivity of gravitational mass. The final outcome turned out to be rather conservative. Zero energy ontology is unavoidable, Equivalence Principle holds true universally but its general relativistic formulation makes sense only in long length scales, and gravitational mass has definite sign for positive/negative energy states. As a matter fact, all problems were created by the failure to realize that the expression of gravitational energy in terms of Einstein's tensor does not hold true in short length scales and must be replaced with the stringy expression resulting naturally by dimensional reduction of quantum TGD to string model like theory [31, 27, 18].

#### Zero energy ontology and cosmic strings

There are two kinds of cosmic strings: free and topological condensed ones and both are important in TGD inspired cosmology.

1. Free cosmic strings are not absolute minima of the Kähler action (the action has wrong sign). In the original identification of preferred extremals as absolute minima of Kähler action this was a problem. In the new formulation preferred extremals correspond to quantum criticality identified as the vanishing of the second variation of Kähler action at least for the deformations defining symmetries of Kähler action [31, 18]. Criticality guarantees the conservation of the Noether charges assignable to the modified Dirac action. Ideal cosmic strings are excluded because they fail to satisfy the conditions characterizing the preferred extremal as a space-time surface containing regions with both Euclidian and Minkowskian signature of the induced metric with light-like 3-surface separating them identified as orbits of partonic 2-surfaces carrying elementary particle quantum numbers. The topological condensation of  $CP_2$  type vacuum extremals representing fermions generates negative contribution to the action and reduces the string tension and leaves cosmic strings still free.

2. If the topologically condensate of fermions has net Kähler charges as the model for matter antimatter asymmetry suggests, the repulsive interaction of the particles tends to thicken the cosmic string by increasing the thickness of its infinitely thin  $M^4$  projection so that Kähler magnetic flux tubes result. These flux tubes are ideal candidates for the carriers of dark matter with a large value of Planck constant. The criterion for the phase transition increasing  $\hbar$  is indeed the presence of a sufficiently dense plasma implying that perturbation theory in terms of  $Z^2\alpha_{em}$  ( $Z$  is the effective number of charges with interacting with each other without screening effects) fails for the standard value of Planck constant. The phase transition  $\hbar_0 \rightarrow \hbar$  reduces the value of  $\alpha_{em} = e^2/4\pi\hbar$  so that perturbation theory works. This phase transition scales up also the transversal size of the cosmic string. Similar criterion works also for other charges. The resulting phase is anyonic if the resulting 2-surfaces containing almost spherical portions connected by flux tubes to each other encloses the tip of the causal diamond ( $CD$ ). The proposal is that dark matter resides on complex anyonic 2-surfaces surrounding the tips of  $CD$ s.
3. The topological condensation of cosmic strings generates wormhole contacts represented as pieces of  $CP_2$  type vacuum extremals identified as bosons composed of fermion-antifermion pairs. Also this generates negative action and can make cosmic string a preferred extremal of Kähler action. The earliest picture was based on dynamical cancelation mechanism involving generation of strong Kähler electric fields in the condensation whose action compensated for Kähler magnetic action [42]. Also this mechanism might be at work. Cosmic strings could also form bound states by the formation graviton like flux tubes connecting them and having wormhole contacts at their ends so that again action is reduced.
4. One can argue that in long enough length and time scales Kähler action per volume must vanish so that the idealization of cosmology as a vacuum extremal becomes possible and there must be some mechanism compensating the positive action of the free cosmic strings. The general mechanism could be topological condensation of fermions and creation of bosons by topological condensation of cosmic strings to space-time sheets.

In this framework zero energy states correspond to cosmologies leading from big bang to big crunch separated by some time interval  $T$  of geometric time. Quantum jumps can gradually increase the value  $T$  and TGD inspired theory of consciousness suggests that the increase of  $T$  might relate to the shift for the contents of conscious experience towards geometric future. In particular, what is usually regarded as cosmology could have started from zero energy state with a small value of  $T$ .

### Topological condensation of cosmic strings

In the original vision about topological condensation of cosmic strings I assumed that large voids represented by space-time sheets contain "big" cosmic string in their interior and galactic strings near their boundaries. The recent much simpler view is that there are just galactic strings which carry net fermion numbers (matter antimatter asymmetry). If they have also net em charge they have a repulsive interaction and tend to end up to the boundaries of the large void. Since this slows down the expansive motion of strings, the repulsive interaction energy increases and a phase transition increasing Planck constant and scaling up the size of the void occurs after which cosmic strings are again driven towards the boundary of the resulting larger void.

One cannot assume that the exterior metric of the galactic strings is the one predicted by assuming General Relativity in the exterior region. This would mean that metric decomposes as  $g = g_2(X^2) + g_2(Y^2)$ .  $g(X^2)$  would be flat as also  $g_2(Y^2)$  expect at the position of string. The resulting angle defect due to the replacement of plane  $Y^2$  with cone would be large and give rise to lense effect of same magnitude as in the case of GUT cosmic strings. Lense effect has not been observed.

This suggests that General Relativity fails in the length scale of large void as far as the description of topologically condensed cosmic strings is considered. The constant velocity spectrum for distant stars of galaxies and the fact that galaxies are organized along strings suggests that these string generate in a good approximation Newtonian potential. This potential predicts constant velocity spectrum with a correct value velocity.

In the stationary situation one expects that the exterior metric of galactic string corresponds to a small deformation of vacuum extremal of Kähler action which is also extremal of the curvature scalar in the induced metric. This allows a solution ansatz which conforms with Newtonian intuitions and

for which metric decomposes as  $g = g_1 + g_3$ , where  $g_1$  corresponds to axis in the direction of string and  $g_3$  remaining 1 + 2 directions.

### Dark energy is replaced with dark matter in TGD framework

The observed accelerating expansion of the Universe has forced to introduce the notion of cosmological constant in the GRT based cosmology. In TGD framework the situation is different.

1. The gigantic value of gravitational Planck constant implies that dark matter makes TGD Universe a macroscopic quantum system even in cosmological length scales. Astrophysical systems become stationary quantum systems which participate in cosmic expansion only via quantum phase transitions increasing the value of gravitational Planck constant.
2. Critical cosmologies, which are determined apart from a single parameter in TGD Universe, are natural during all quantum phase transitions, in particular the phase transition periods increasing the size of large voids and having interpretation in terms of an increase of gravitational Planck constant. Cosmic expansion is predicted to be accelerating during these periods. The mere criticality requires that besides ordinary matter there is a contribution  $\Omega_\Lambda \simeq .74$  to the mass density besides visible matter and dark matter. In fact, also for the over-critical cosmologies expansion is accelerating.
3. In GRT framework the essential characteristic of dark energy is its negative pressure. In TGD framework critical and over-critical cosmologies have automatically effective negative pressure. This is essentially due to the constraint that Lorentz invariant vacuum extremal of Kähler action is in question. The mysterious negative pressure would be thus a signal about the representability of space-time as 4-surface in  $H$  and there is no need for any microscopic description in terms of exotic thermodynamics.

### The values for the TGD counterpart of cosmological constant

One can introduce a parameter characterizing the contribution of dark mass to the mass density during critical periods and call it cosmological constant recalling however that the contribution does not correspond to dark energy. The value of this parameter is same as in the standard cosmology from mere criticality assumption.

What is new that p-adic fractality predicts that  $\Lambda$  scales as  $1/L^2(k)$  as a function of the p-adic scale characterizing the space-time sheet implying a series of phase transitions reducing  $\Lambda$ . The order of magnitude for the recent value of the cosmological constant comes out correctly. The gravitational energy density assignable to the cosmological constant is identifiable as that associated with topologically condensed cosmic strings and magnetic flux tubes to which they are gradually transformed during cosmological evolution.

The naive expectation would be the density of cosmic strings would behave as  $1/a^2$  as function of  $M_+^4$  proper time. The vision about dark matter as a phase characterized by gigantic Planck constant however implies that large voids do not expand in continuous manner during cosmic evolution but in discrete quantum jumps increasing the value of the gravitational Planck constant and thus increasing the size of the large void as a quantum state. Since the set of preferred values of Planck constant is closed under multiplication by powers of 2, p-adic length scales  $L_p$ ,  $p \simeq 2^k$  form a preferred set of sizes scales for the large voids.

### TGD cosmic strings are consistent with the fluctuations of CMB

GUT cosmic strings were excluded by the fluctuation spectrum of the CMB background [54]. In GRT framework these fluctuations can be classified to adiabatic density perturbations and isocurvature density perturbations. Adiabatic density perturbations correspond to overall scaling of various densities and do not affect the vanishing curvature scalar. For isocurvature density fluctuations the net energy density remains invariant. GUT cosmic strings predict isocurvature density perturbations while inflationary scenario predicts adiabatic density fluctuations.

In TGD framework inflation is replaced with quantum criticality of the phase transition period leading from the cosmic string dominated phase to matter dominated phase. Since curvature scalar vanishes during this period, the density perturbations are indeed adiabatic.



### Matter-antimatter asymmetry and cosmic strings

Despite huge amount of work done during last decades (during the GUT era the problem was regarded as being solved!) matter-antimatter asymmetry remains still an unresolved problem of cosmology. A possible resolution of the problem is matter-antimatter asymmetry in the sense that cosmic strings contain antimatter and their exteriors matter. The challenge would be to understand the mechanism generating this asymmetry. The vanishing of the net gauge charges of cosmic string allows this symmetry since electro-weak charges of quarks and leptons can cancel each other.

The challenge is to identify the mechanism inducing the CP breaking necessary for the matter-antimatter asymmetry. Quite a small CP breaking inside cosmic strings would be enough.

1. The key observation is that vacuum extremals as such are not physically acceptable: small deformations of vacuum extremals to non-vacua are required. This applies also to cosmic strings since as such they do not present preferred extremals. The reason is that the preferred extremals involve necessary regions with Euclidian signature providing four-dimensional representations of generalized Feynman diagrams with particle quantum numbers at the light-like 3-surfaces at which the induced metric is degenerate.
2. The simplest deformation of vacuum extremals and cosmic strings would be induced by the topological condensation of  $CP_2$  type vacuum extremals representing fermions. The topological condensation at larger space-time surface in turn creates bosons as wormhole contacts.
3. This process induces a Kähler electric fields and could induce a small Kähler electric charge inside cosmic string. This in turn would induce CP breaking inside cosmic string inducing matter antimatter asymmetry by the minimization of the ground state energy. Conservation of Kähler charge in turn would induce asymmetry outside cosmic string and the annihilation of matter and antimatter would then lead to a situation in which there is only matter.
4. Either galactic cosmic strings or big cosmic strings (in the sense of having large string tension) at the centers of galactic voids or both could generate the asymmetry and in the recent scenario big strings are not necessary. One might argue that the photon to baryon ratio  $r \sim 10^{-9}$  characterizing matter asymmetry quantitatively must be expressible in terms of some fundamental constant possibly characterizing cosmic strings. The ratio  $\epsilon = G/\hbar R^2 \simeq 4 \times 10^{-8}$  is certainly a fundamental constant in TGD Universe. By replacing  $R$  with  $2\pi R$  would give  $\epsilon = G/(2\pi R)^2 \simeq 1.0 \times 10^{-9}$ . It would not be surprising if this parameter would determine the value of  $r$ .

The model can be criticized.

1. The model suggest only a mechanism and one can argue that the Kähler electric fields created by topological condensates could be random and would not generate any Kähler electric charge. Also the sign of the asymmetry could depend on cosmic string. A CP breaking at the fundamental level might be necessary to fix the sign of the breaking locally.
2. The model is not the only one that one can imagine. It is only required that antimatter is somewhere else. Antimatter could reside also at other p-adic space-time sheets and at the dark space-time sheets with different values of Planck constant.

The needed CP breaking is indeed predicted by the fundamental formulation of quantum TGD in terms of the modified Dirac action associated with Kähler action and its generalization allowing include instanton term as imaginary part of Kähler action inducing CP breaking [31, 17].

1. The key idea in the formulation of quantum TGD in terms of modified Dirac equation associated with Kähler action is that the Dirac determinant defined by the generalized eigenvalues assignable to the Dirac operator  $D_K$  equals to the vacuum functional defined as the exponent of Kähler function in turn identifiable as Kähler action for a preferred extremal for which the second variation of Kähler action vanishes at least for the variations responsible for dynamical symmetries. The interpretation is in terms of quantum criticality with the hierarchy of symmetries defining a hierarchy of criticalities analogous to the hierarchy defined by the rank of the matrix defined by the second derivatives of potential function in Thom's catastrophe theory.

2. This representation generalizes. One can add an imaginary instanton term to the Kähler function and corresponding modified Dirac operator  $D_K$  so that the generalized eigenvalues assignable to  $D_K$  (analogous to Higgs vacuum expectation) become complex. The natural conjecture is that the resulting Dirac determinant equals to the exponent of Kähler action and imaginary instanton term for the preferred extremal. The instanton term does not contribute to the configuration space metric but provides a first principle description for CP breaking and anyonic effects. It also predicts the dependence of these effects on the page of the book like structure defined by the generalized imbedding space realizing the dark matter hierarchy with levels labeled by the value of Planck constant.
3. In the case of cosmic strings CP breaking could be especially significant and force the generation of Kähler electric charge. Instanton term is proportional to  $1/\hbar$  so that CP breaking would be small for the gigantic values of  $\hbar$  characterizing dark matter. For small values of  $\hbar$  the breaking is large provided that the topological condensation is able to make the  $CP_2$  projection of cosmic string four-dimensional so that the instanton contribution to the complexified Kähler action is non-vanishing and large enough. Since instanton contribution as a local divergence reduces to the contributions assignable to the light-like 3-surfaces  $X_l^3$  representing topologically condensed particles, CP breaking is large if the density of topologically condensed fermions and wormhole contacts generated by the condensation of cosmic strings is high enough.

### CP breaking at the level of CKM matrix

The CKM matrix for quarks contains CP breaking phase factors and this could lead to different evaporation rates for baryons and anti-baryons are different (quark cannot appear as vapor phase particle since vapor phase particle must have vanishing color gauge charges and in the recent vision about quantum TGD  $CP_2$  type vacuum extremal which has not suffered topological condensation represents vacuum). The CP breaking at the level of CKM matrix would be implied by the instanton term present in the complexified Kähler action and modified Dirac operator. The mechanism might rely on hadronic Kähler electric fields which are accompanied by color electric gauge fields proportional to induced Kähler form.

The topological condensation of quarks on hadronic strings containing weak color electric fields proportional to Kähler electric fields should be responsible for its string tension and this should in turn generate CP breaking. At the parton level the presence of CP breaking phase factor  $\exp(ikS_{CS})$ , where  $S_{CS} = \int_{X^4} J \wedge J + \text{boundary term}$  is purely topological Chern Simons term and naturally associated with the boundaries of space-time sheets with at most  $D = 3$ -dimensional  $CP_2$  projection, could have something to do with the matter antimatter asymmetry. Note however that TGD predicts no strong CP breaking as QCD does [27].

### Development of strings in the string dominated cosmology

The development of the string perturbations in the Robertson Walker cosmology has been studied [69] and the general conclusion seems to be that that all the details smaller than horizon are rapidly smoothed out. One must of course take very cautiously the application of these result in TGD framework.

In present case, the horizon has an infinite size so that details in all scales should die away. To see what actually happens consider small perturbations of a static string along z-axis. Restrict the consideration to a perturbation in the y-direction. Using instead of the proper time coordinate  $t$  the "conformal time coordinate"  $\tau$  defined by  $d\tau = dt/a$  the equations of motion read [69]

$$\begin{aligned} (\partial_\tau + \frac{2\dot{a}}{a})(\dot{y}U) &= \partial_z(y'U) , \\ U &= \frac{1}{\sqrt{1 + (y')^2 - \dot{y}^2}} . \end{aligned} \tag{14.3.47}$$

Restrict the consideration to small perturbations for which the condition  $U \simeq 1$  holds. For the string dominated cosmology the quantity  $\dot{a}/a = 1/\sqrt{K}$  is constant and the equations of motion reduce to a very simple approximate form

$$\ddot{y} + \frac{2}{\sqrt{K}}\dot{y} - y'' = 0 . \quad (14.3.48)$$

The separable solutions of this equation are of type

$$\begin{aligned} y &= g(a)(C \sin(kz) + D \cos(kz)) , \\ g(a) &= \left(\frac{a}{a_0}\right)^r . \end{aligned} \quad (14.3.49)$$

where  $r$  is a solution of the characteristic equation  $r^2 + 2r/\sqrt{K} + k^2 = 0$ :

$$r = -\frac{1}{\sqrt{K}}(1 \pm \sqrt{1 - k^2 K}) . \quad (14.3.50)$$

For perturbations of small wavelength  $k > 1/\sqrt{K}$ , an extremely rapid attenuation occurs;  $1/\sqrt{K} \simeq 10^{27}$ ! For the long wavelength perturbations with  $k \ll 1/\sqrt{K}$  (physical wavelength is larger than  $t$ ) the attenuation is milder for the second root of above equation: attenuation takes place as  $(a/a_0)^{\sqrt{K}k^2/2}$ . The conclusion is that irregularities in all scales are smoothed away but that attenuation is much slower for the long wave length perturbations.

The absence of horizons in the string dominated phase has a rather interesting consequence. According to the well known Jeans criterion the size  $L$  of density fluctuations leading to the formation of structures [69] must satisfy the following conditions

$$l_J < L < l_H , \quad (14.3.51)$$

where  $l_H$  denotes the size of horizon and  $l_J$  denotes the Jeans length related to the sound velocity  $v_s$  and cosmic proper time as [69]

$$l_J \simeq 10v_s t . \quad (14.3.52)$$

For a string dominated cosmology the size of the horizon is infinite so that no upper bound for the size of the possible structures results. These structures of course, correspond to string like objects of various sizes in the microscopic description. This suggests that primordial fluctuations create structures of arbitrary large size, which become visible at much later time, when cosmology becomes string dominated again.

### Limiting temperature

Since particles are extended objects in TGD, one expects the existence of the limiting temperature  $T_H$  (Hagedorn temperature as it is called in string models) so that the primordial cosmology is in Hagedorn temperature. A special consequence is that the contribution of the light particles to the energy density becomes negligible: this is in accordance with the string dominance of the critical mass cosmology. The value of  $T_H$  is of order  $T_H \sim \hbar/R$ , where  $R$  is  $CP_2$  radius of order  $R \sim 10^{3.5}\sqrt{G}$  and thus considerably smaller than Planck temperature. Note that  $T_H$  increases with Planck constant and one can wonder whether this increase continues only up to  $T_H = \hbar_{cr}/R = \sqrt{\hbar_{cr}/G}$ , which corresponds to the critical value  $\hbar_{cr} = R^2/G$ . The value  $R^2/G = 3 \times 10^{23}\hbar_0$  is consistent with p-adic mass calculations and is favored by number theoretical arguments [27, 18].

The existence of limiting temperature gives strong constraint to the value of the light cone proper time  $a_F$  when radiation dominance must have established itself in the critical cosmology which gave rise to our sub-cosmology. Before the moment of transition to hyperbolic cosmology critical cosmology is string dominated and the generation of negative energy virtual gravitons builds up gradually the huge energy density density, which can lead to gravitational collapse, splitting of the strings and establishment of thermal equilibrium with gradually rising temperature. This temperature cannot however become higher than Hagedorn temperature  $T_H$ , which serves thus as the highest possible

temperature of the effectively radiation dominated cosmology following the critical period. The decay of the split strings generates elementary particles providing the seeds of galaxies.

If most strings decay to light particles then energy density is certainly of the form  $1/a^4$  of radiation dominated cosmology. This is not the only manner to obtain effective radiation dominance. Part of the thermal energy goes to the kinetic energy of the vibrational motion of strings and energy density  $\rho \propto 1/a^2$  cannot hold anymore. The strings of the condensate is expected to obey the scaling law  $\rho \propto 1/a^4$ ,  $p = \rho/3$  [69]. The simulations with string networks suggest that the energy density of the string network behaves as  $\rho \propto 1/a^{2(1+v^2)}$ , where  $v^2$  is the mean square velocity of the point of the string [53]. Therefore, if the value of the mean square velocity approaches light velocity, effective radiation dominance results even when strings dominate [52]. In radiation dominated cosmology the velocity of sound is  $v = 1/\sqrt{3}$ . When  $v$  lowers to sound velocity one obtains stationary cosmology which is string dominated.

An estimate for  $a_F$  is obtained from the requirement that the temperature of the radiation dominated cosmology, when extrapolated from its value  $T_R \simeq .3\text{eV}$  at the time about  $a_R \sim 3 \times 10^7$  years for the decoupling of radiation and matter to  $a = a_F$  using the scaling law  $T \propto 1/a$ , corresponds to Hagedorn temperature. This gives

$$a_F = a_R \frac{T_R}{T_H} , \tag{14.3.53}$$

$$T_H = \frac{n}{R} , \quad a_R \sim 3 \times 10^7 \text{ y} , \quad T_R = .27 \text{ eV} .$$

This gives a rough estimate  $a_F \sim 3 \times 10^{-10}$  seconds, which corresponds to length scale of order  $7.7 \times 10^{-2}$  meters. The value of  $a_F$  is quite large.

The result does not mean that radiation dominated sub-cosmologies might have not developed before  $a = a_F$ . In fact, entire series of critical sub-cosmologies could have developed to radiation dominated phase before the final one leading to our sub-cosmology is actually possible. The contribution of sub-cosmology  $i$  to the total energy density of recent cosmology is in the first approximation equal to the fraction  $(a_F(i)/a_F)^4$ . This ratio is multiplied by a ratio of numerical factors telling the number of effectively massless particle species present in the condensate if elementary particles dominate the mass density. If strings dominate the mass density (as expected) the numerical factor is absent.

For some reason the later critical cosmologies have not evolved to the radiation dominated phase. This might be due to the reduced density of cosmic strings in the vapor phase caused by the formation of the earlier cosmologies which does not allow sufficiently strong gravitational collapse to develop and implies that critical cosmology transforms directly to stationary cosmology without the intervening effectively radiation dominated phase. Indeed, condensed cosmic strings develop Kähler electric field compensating the huge positive Kähler action of free string and can survive the decay to light particles if they are not split. The density of split strings yielding light particles is presumably the proper parameter in this respect.

p-Adic length scale hypothesis allows rather predictive quantitative model for the series of sub-cosmologies [30] predicting the number of them and allowing to estimate the moments of their birth, the durations of the critical periods and also the durations of radiation dominated phases. p-Adic length scale hypothesis allows also to estimate the maximum temperature achieved during the critical period: this temperature depends on the duration of the critical period  $a_1$  as  $T \sim n/a_1$ , where  $n$  turns out to be of order  $10^{30}$ . This means that if the duration of the critical period is long enough, transition to string dominated asymptotic cosmology occurs with the intervening decay of cosmic strings leading to the radiation dominated phase.

The existence of the limiting temperature has radical consequences concerning the properties of the very early cosmology. The contribution of a given massless particle to the energy density becomes constant. So, unless the number of the effectively massless particle families  $N(a)$  increases too fast the contribution of the effectively massless particles to the energy density becomes negligible. The massive excitations of large size (string like objects) are indeed expected to become dominant in the mass density.

### What about thermodynamical implications of dark matter hierarchy?

The previous discussion has not mentioned dark matter hierarchy labeled by increasing values of Planck constants and predicted macroscopic quantum coherence in arbitrarily long scales. In TGD

Universe dark matter hierarchy means also a hierarchy of conscious entities with increasingly long span of memory and higher intelligence [8, 37].

This forces to ask whether the second law is really a fundamental law and whether it could reflect a wrong view about existence resulting when all these dark matter levels and information associated with conscious experiences at these levels is neglected. For instance, biological evolution difficult to understand in a universe obeying second law relies crucially on evolution as gradual progress in which sudden leaps occur as new dark matter levels emerge.

TGD inspired consciousness suggests that Second Law holds true only for the mental images of a given self (a system able to avoid bound state entanglement with environment [8]) rather than being a universal physical law. Besides these mental images there is irreducible basic awareness of self and second law does not apply to it. Also the hierarchy of higher level conscious entities is there. In this framework second law would basically reflect the exclusion of conscious observers from the physical model of the Universe.

#### 14.3.4 Mechanism of accelerated expansion in TGD Universe

In TGD framework the most plausible identification for the accelerated periods of cosmic expansion is in terms of phase transitions increasing gravitational Planck constant. These phase transitions would in average sense provide quantum counterpart for smooth cosmic expansion. These phase transitions might be initiated by the repulsive Coulomb interaction between cosmic strings driven to the boundaries of the large voids. It is interesting to see how this view relates with the assumption of positive cosmological constant.

##### How accelerated expansion results in standard cosmology?

The accelerated of cosmic expansion means that the deceleration parameter

$$q = -(a d^2 a / ds^2) / (da / ds)^2$$

is negative. For Robertson-Walker cosmologies one has

$$\begin{aligned} H^2 &\equiv \left(\frac{da/ds}{a}\right)^2 = \frac{8\pi G\rho + \Lambda}{3} - K/a^2, \quad K = 0, \pm 1, \\ 3\frac{d^2 a / ds^2}{a} &= \Lambda - 4\pi G(\rho + 3p) \equiv -4\pi G(1 + 3w)\rho. \end{aligned} \quad (14.3.54)$$

It is clear that the accelerated expansion requires positive value of  $\Lambda$ .

The deceleration parameter can be expressed as  $q = \frac{1}{2}(1 + 3w)(1 + K/(aH)^2)$ .  $K = 0, 1, -1$  tells whether the cosmology is flat, hyper-spherical, or hyperbolic. The rate for the change of Hubble constant can be expressed as  $(dH/ds)/H^2 = (1 + q)$  and the acceleration of cosmic expansion means  $q < -1$ . All particle models predict  $q \geq -1$ .

On basis of modified Einstein's equations written for the recent metric convention (+, -, -) (note that opposite signature changes the sign of the left hand side)

$$-G^{\alpha\beta} - \Lambda g^{\alpha\beta} = 8\pi G T^{\alpha\beta} \quad (14.3.55)$$

it is clear that the introduction of a positive cosmological constant could be interpreted by saying that for gravitational vacuum carries energy density equal to  $\Lambda/8\pi$  and negative pressure. The negative gravitational pressure would induce the acceleration.

Cosmological term at the level of field equations could be also interpreted by saying that Einstein's equations hold true in the original sense but that energy momentum tensor contains besides the density of inertial mass also a positive density of purely gravitational mass:  $T \rightarrow T + \Lambda g$  so that Equivalence Principle fails. Since cosmological constant means effectively negative pressure  $p = -\Lambda/8\pi$  the introduction of the cosmological constant means the effective replacement  $\rho + 3p \rightarrow \rho + 3p - 2\Lambda/8\pi$ . In the so called  $\Lambda - CDM$  model [60] the densities of dark energy, ordinary matter, and dark matter are assumed to sum up to critical mass density  $\rho_{cr} = 3/(8\pi g_{aa} G a^2)$ . The fraction of dark matter density is deduced to be  $\Omega_\Lambda = .74$  from mere criticality.

### Critical cosmology predicts accelerated expansion

In order to get clue about the mechanism of accelerated cosmic expansion in TGD framework it is useful to study the deceleration parameter for various cosmologies in TGD framework.

In standard Friedmann cosmology with non-vanishing cosmological constant one has

$$3\frac{d^2a/ds^2}{a} = \Lambda - 4\pi G(\rho + 3p) . \quad (14.3.56)$$

From this form it is obvious why  $\Lambda > 0$  is required in order to obtain accelerating expansion.

Deceleration parameter is a purely geometric property of cosmology and defined as

$$q \equiv -a\frac{d^2a/ds^2}{(da/ds)^2} . \quad (14.3.57)$$

During radiation and matter dominated phases the value of  $q$  is positive. In TGD framework there are several metrics which are independent of details of dynamics.

#### 1. String dominated cosmology

String dominated cosmology is hyperbolic cosmology and might serve as a model for very early cosmology corresponds to the metric

$$g_{aa} \equiv (ds/da)^2 = 1 - K_0 . \quad (14.3.58)$$

In this case one has  $q = 0$ .

#### 2. Critical cosmology

Critical cosmology with flat 3-space corresponds to

$$\begin{aligned} g_{aa} &= 1 - K , \\ K &\equiv \frac{K_0}{1 - u^2} , \\ u &\equiv \frac{a}{a_1} . \end{aligned} \quad (14.3.59)$$

$g_{aa}$  has the same form also for over-critical cosmologies. Both cosmologies have finite duration. In this case  $q$  is given by

$$q = -K_0\frac{K_0u^2}{1 - u^2 - K_0} < 0 , \quad (14.3.60)$$

and is negative. The rate of change for Hubble constant is

$$\frac{dH/ds}{H^2} = -(1 + q) , \quad (14.3.61)$$

so that one must have  $q < -1$  in order to have acceleration. This holds true for  $a > \sqrt{(1 - K_0)/(1 + K_0)}a_1$ .

Quantum critical cosmology could be seen as a universal characteristic of quantum critical phases associated with phase transition like phenomena. No assumptions about the mechanism behind the transition are made. There is great temptation to assign this cosmology to the phase transitions increasing the size of large voids occurring during late cosmology. The observed jerk assumed to lead from de-accelerated to accelerated expansion for about 13 billion years ago might have interpretation as a transition of this kind.

#### 3. Stationary cosmology

TGD predicts a one-parameter family of stationary cosmologies from the requirement that the density of gravitational 4-momentum is conserved. This is guaranteed if curvature scalar is extremized. These cosmologies are expected to define asymptotic cosmologies or at least characterize the stationary phases between quantum phase transitions. The metric is given by

$$\begin{aligned} g_{aa} &= \frac{1-2x}{1-x} , \\ x &= \left(\frac{a_0}{a}\right)^{2/3} . \end{aligned} \tag{14.3.62}$$

The deceleration parameter

$$q = \frac{1}{3} \frac{x}{(1-2x)(1-x)} . \tag{14.3.63}$$

is positive so that it seems that TGD does not lead to a continual acceleration which might be regarded as tearing galaxies into pieces.

If quantum critical phases correspond to the expansion of large voids induced by the accelerated radial motion of galactic strings as they reach the boundaries of the voids, one can consider a series of phase transitions between stationary cosmologies in which the value of gravitational Planck constant and the parameter  $a_0$  characterizing the stationary cosmology increase by some even power of two as the ruler-and-compass integer hypothesis [35, 18] and p-adic length scale hypothesis suggests.

#### 4. Summary

One can safely conclude that TGD predict accelerated cosmic expansion during critical periods and that dark energy is replaced with dark matter in TGD framework. There is also a rather clear view about detailed mechanism leading to the accelerated expansion at "microscopic" level. Some summarizing remarks are in order.

1. Accelerated expansion is predicted only during periods of over-critical and critical cosmologies parameterized essentially by their duration. The microscopic description would be in terms of phase transitions increasing the size scale of large void. This phase transition is basically a quantum jump increasing gravitational Planck constant and thus the size of the large void. p-Adic length scales are favored sizes of the large voids. A large piece of 4-D cosmological history would be replaced by a new one in this transition so that quite a dramatic event would be in question.
2. p-Adic fractality forces to ask whether there is a fractal hierarchy of time scales in which Equivalence Principle in the formulation provided by General Relativity sense fails locally (no failure in stringy sense). This would predict a fractal hierarchy of large voids and phase transitions during which accelerated expansion occurs.
3. Cosmological constant can be said to be vanishing in TGD framework and the description of accelerated expansion in terms of a positive cosmological constant is not equivalent with TGD description since only effective pressure is negative. TGD description has some resemblance to the description in terms of quintessence [65], a hypothetical form of matter for which equation of state is of form  $p = -w\rho$ ,  $w < -1/3$ , so that one has  $\rho + 3p = 1 - w < 0$  and deceleration parameter can be negative. The energy density of quintessence is however positive. TGD does not predict endlessly accelerated acceleration tearing galaxies into pieces if the total purely gravitational energy of large voids is assumed to vanish so that Equivalence Principle holds above this length scale.

#### TGD counterpart of $\Lambda$ as a density of dark matter rather than dark energy

The value of  $\Lambda$  is expressed usually as a fraction of vacuum energy density from the critical mass density. Combining the data about acceleration of cosmic expansion with the data about cosmic microwave background gives  $\Omega_\Lambda \simeq .74$ .

1. Critical mass density requires also in TGD framework the presence of dark contribution since visible matter contribute only a few percent of the total mass density and  $\Omega_\Lambda \simeq . - 74$  characterizes this contribution. Since the acceleration mechanism has nothing to do with dark energy, dark energy can be replaced with dark matter in TGD framework.
2. The dark matter hierarchy labeled by the values of Planck constant suggests itself. The  $1/a^2$  behavior of dark matter density suggests an interpretation as dark matter topologically condensed on cosmic strings. Besides ordinary particles also super-symplectic bosons and their super partners playing a key role in the model of hadrons and black holes suggest themselves.
3. Stationary cosmology predicts that the density of stringy matter and thus dark matter decreases like  $1/a^2$  as a function of  $M_+^4$  proper time. This behavior is very natural in cosmic string dominated cosmology and one expects that the TGD counterpart of cosmological constant should behave as  $\Lambda \propto 1/a^2$  in average sense. At primordial period cosmological constant would be gigantic but its recent value would be extremely small and naturally of correct order of magnitude if the fraction of positive gravitational energy is few per cent about negative gravitational energy. Hence the basic problem of the standard cosmology would find an elegant solution.

### Piecewise constancy of TGD counterpart of $\Lambda$ and p-adic length scale hypothesis

There are good reasons to believe that TGD counterpart of  $\Lambda$  is piecewise constant. Classical picture suggests that the sizes of large voids increase in discrete jumps. The transitions increasing the size of the void would occur when the galactic strings end up to the boundary of the large void and large repulsive Coulomb energy forces the phase transition increasing Planck constant.

Also the quantum astrophysics based on the notion of gravitational Planck constant strongly suggests that astrophysical systems are analogous to stationary states of atoms so that the sizes of astrophysical systems remain constant during the cosmological expansion, and can change only in quantum jumps increasing the value of Planck constant and therefore increasing the radius of the large void regarded as dark matter bound state.

Since the set of preferred values of Planck constant is closed under multiplication by powers of 2, p-adic length scales  $L_p$ ,  $p \simeq 2^k$  form a preferred set of sizes scales for the large voids with phase transitions increasing  $k$  by even integer. What values of  $k$  are realized depends on the time scale of the dynamics driving the galactic strings to the boundaries of expanded large void. Even if all values of  $k$  are realized the transitions becomes very rare for large values of  $a$ .

p-Adic fractality predicts that the effective cosmological constant  $\Lambda$  scales as  $1/L^2(k)$  as a function of the p-adic scale characterizing the space-time sheet implying a series of phase transitions reducing the value of effective cosmological constant  $\Lambda$ . As noticed, the allowed values of  $k$  would be of form  $k = k_0 + 2n$ , where however all integer value need not be realized. By p-adic length scale hypothesis primes are candidates for  $k$ . The recent value of the effective cosmological constant can be understood. The gravitational energy density usually assigned to the cosmological constant is identifiable as that associated with topologically condensed cosmic strings and magnetic flux tubes to which they are gradually transformed during cosmological evolution.

p-Adic prediction is consistent with the recent study [70] according to which cosmological constant has not changed during the last 8 billion years: the conclusion comes from the reshifts of supernovae of type Ia. If p-adic length scales  $L(k) = p \simeq 2^k$ ,  $k$  any positive integer, are allowed, the finding gives the lower bound  $T_N > \sqrt{(2)/(\sqrt{2} - 1)} \times 8 = 27.3$  billion years for the recent age of the universe.

Brad Shaefer from Louisiana University has studied the red shifts of gamma ray bursters up to a red shift  $z = 6.3$ , which corresponds to a distance of 13 billion light years [58], and claims that the fit to the data is not consistent with the time independence of the cosmological constant. In TGD framework this would mean that a phase transition changing the value of the cosmological constant must have occurred during last 13 billion years. In principle the phase transitions increasing the size of large voids could be observed as sudden changes of sign for the deceleration parameter.

### The reported cosmic jerk as an accelerated period of cosmic expansion

There is an objection against the hypothesis that cosmological constant has been gradually decreasing during the cosmic evolution. Type Ia supernovae at red shift  $z \sim .45$  are fainter than expected, and the interpretation is in terms of an accelerated cosmic expansion [55]. If a period of an accelerated



expansion has been preceded by a decelerated one, one would naively expect that for older supernovae from the period of decelerating expansion, say at redshifts about  $z > 1$ , the effect should be opposite. The team led by Adam Riess [63] has identified 16 type Ia supernovae at redshifts  $z > 1.25$  and concluded that these supernovae are indeed brighter. The conclusion is that about 5 billion years ago corresponding to  $z \simeq .48$ , the expansion of the Universe has suffered a cosmic jerk and transformed from a decelerated to an accelerated expansion.

The apparent dimming/brightening of supernovae at the period of accelerated/decelerated expansion follows from the luminosity distance relation

$$\mathcal{F} = \frac{\mathcal{L}}{4\pi d_L^2} , \quad (14.3.64)$$

where  $\mathcal{L}$  is actual luminosity and  $\mathcal{F}$  measured luminosity, and from the expression for the distance  $d_L$  in flat cosmology in terms of red shift  $z$  in a flat Universe

$$\begin{aligned} d_L &= (1+z) \int_0^z \frac{du}{H(u)} \\ &= (1+z) H_0^{-1} \int_0^z \exp \left[ - \int_0^u du [1 + q(u)] d(\ln(1+u)) \right] du , \end{aligned} \quad (14.3.65)$$

where one has

$$\begin{aligned} H(z) &= \frac{d \ln(a)}{ds} , \\ q &\equiv - \frac{d^2 a / ds^2}{a H^2} = \frac{dH^{-1}}{ds} - 1 . \end{aligned} \quad (14.3.66)$$

In TGD framework  $a$  corresponds to the light-cone proper time and  $s$  to the proper time of Robertson-Walker cosmology. Depending on the sign of the deceleration parameter  $q$ , the distance  $d_L$  is larger or smaller and accordingly the object looks dimmer or brighter.

The natural interpretation for the jerk would be as a period of accelerated cosmic expansion due to a phase transition increasing the value of gravitational Planck constant.

## 14.4 Microscopic description of black-holes in TGD Universe

In TGD framework the imbedding of the metric for the interior of Schwarzschild black-hole fails below some critical radius. This strongly suggests that only the exterior metric of black-hole makes sense in TGD framework and that TGD must provide a microscopic description of black-holes. Somewhat unexpectedly, I ended up with this description from a model of hadrons.

Super-symplectic algebra is a generalization of Kac-Moody algebra obtained by replacing the finite-dimensional group  $G$  with the group of symplectic transformations of  $\delta M_{\pm}^4 \times CP_2$ . This algebra defines the group of isometries for the "world of classical worlds" and together with the Kac-Moody algebra assignable to the deformations of light-like 3-surfaces representing orbits of 2-D partonic surfaces it defines the mathematical backbone of quantum TGD as almost topological QFT.

From the point of view of experimentalist the basic question is how these super-symplectic degrees of freedom reflect themselves in existing physics and the pleasant surprise was that super-symplectic bosons explain what might be called the missing hadronic mass and spin. The point is that quarks explain only about 170 MeV of proton mass. Also the spin puzzle of proton is known for years. Also precise mass formulas for hadrons emerge.

Super-symplectic degrees of freedom represent dark matter in electro-weak sense and highly entangled hadronic strings in Hagedorn temperature are very much analogous to black-holes. This indeed generalizes to a microscopic model for black-holes created when hadronic strings fuse together in high density.

### 14.4.1 Super-symplectic bosons

TGD predicts also exotic bosons which are analogous to fermion in the sense that they correspond to single wormhole throat associated with  $CP_2$  type vacuum extremal whereas ordinary gauge bosons corresponds to a pair of wormhole contacts assignable to wormhole contact connecting positive and negative energy space-time sheets. These bosons have super-conformal partners with quantum numbers of right handed neutrino and thus having no electro-weak couplings. The bosons are created by the purely bosonic part of super-symplectic algebra [17, 31], whose generators belong to the representations of the color group and 3-D rotation group but have vanishing electro-weak quantum numbers. Their spin is analogous to orbital angular momentum whereas the spin of ordinary gauge bosons reduces to fermionic spin. Recall that super-symplectic algebra is crucial for the construction of configuration space Kähler geometry. If one assumes that super-symplectic gluons suffer topological mixing identical with that suffered by say  $U$  type quarks, the conformal weights would be (5,6,58) for the three lowest generations. The application of super-symplectic bosons in TGD based model of hadron masses is discussed in [23] and here only a brief summary is given.

As explained in [23], the assignment of these bosons to hadronic space-time sheet is an attractive idea.

1. Quarks explain only a small fraction of the baryon mass and that there is an additional contribution which in a good approximation does not depend on baryon. This contribution should correspond to the non-perturbative aspects of QCD. A possible identification of this contribution is in terms of super-symplectic gluons. Baryonic space-time sheet with  $k = 107$  would contain a many-particle state of super-symplectic gluons with net conformal weight of 16 units. This leads to a model of baryons masses in which masses are predicted with an accuracy better than 1 per cent.
2. Hadronic string model provides a phenomenological description of non-perturbative aspects of QCD and a connection with the hadronic string model indeed emerges. Hadronic string tension is predicted correctly from the additivity of mass squared for  $J = 2$  bound states of super-symplectic quanta. If the topological mixing for super-symplectic bosons is equal to that for  $U$  type quarks then a 3-particle state formed by 2 super-symplectic quanta from the first generation and 1 quantum from the second generation would define baryonic ground state with 16 units of conformal weight. A very precise prediction for hadron masses results by assuming that the spin of hadron correlates with its super-symplectic particle content.
3. Also the baryonic spin puzzle caused by the fact that quarks give only a small contribution to the spin of baryons, could find a natural solution since these bosons could give to the spin of baryon an angular momentum like contribution having nothing to do with the angular momentum of quarks.
4. Super-symplectic bosons suggest a solution to several other anomalies related to hadron physics. The events observed for a couple of years ago in RHIC [47] suggest a creation of a black-hole like state in the collision of heavy nuclei and inspire the notion of color glass condensate of gluons, whose natural identification in TGD framework would be in terms of a fusion of hadronic space-time sheets containing super-symplectic matter materialized also from the collision energy. In the collision, valence quarks connected together by color bonds to form separate units would evaporate from their hadronic space-time sheets in the collision, and would define TGD counterpart of Pomeron, which experienced a reincarnation for few years ago [46]. The strange features of the events related to the collisions of high energy cosmic rays with hadrons of atmosphere (the particles in question are hadron like but the penetration length is anomalously long and the rate for the production of hadrons increases as one approaches surface of Earth) could be also understood in terms of the same general mechanism.

### 14.4.2 Are ordinary black-holes replaced with super-symplectic black-holes in TGD Universe?

Some variants of super string model predict the production of small black-holes at LHC. I have never taken this idea seriously but in a well-defined sense TGD predicts black-hole like states associated with

super-symplectic gravitons with strong gravitational constant defined by the hadronic string tension. The proposal is that super-symplectic black-holes have been already seen in Hera, RHIC, and the strange cosmic ray events.

Baryonic super-symplectic black-holes of the ordinary  $M_{107}$  hadron physics would have mass 934.2 MeV, very near to proton mass. The mass of their  $M_{89}$  counterparts would be 512 times higher, about 478 GeV. "Ionization energy" for Pomeron, the structure formed by valence quarks connected by color bonds separating from the space-time sheet of super-symplectic black-hole in the production process, corresponds to the total quark mass and is about 170 MeV for ordinary proton and 87 GeV for  $M_{89}$  proton. This kind of picture about black-hole formation expected to occur in LHC differs from the stringy picture since a fusion of the hadronic mini black-holes to a larger black-hole is in question.

An interesting question is whether the ultrahigh energy cosmic rays having energies larger than the GZK cut-off of  $5 \times 10^{10}$  GeV are baryons, which have lost their valence quarks in a collision with hadron and therefore have no interactions with the microwave background so that they are able to propagate through long distances.

In neutron stars the hadronic space-time sheets could form a gigantic super-symplectic black-hole and ordinary black-holes would be naturally replaced with super-symplectic black-holes in TGD framework (only a small part of black-hole interior metric is representable as an induced metric). This obviously means a profound difference between TGD and string models.

1. Hawking-Bekenstein black-hole entropy would be replaced with its p-adic counterpart given by

$$S_p = \left(\frac{M}{m(CP_2)}\right)^2 \times \log(p) , \quad (14.4.1)$$

where  $m(CP_2)$  is  $CP_2$  mass, which is roughly  $10^{-4}$  times Planck mass.  $M$  is the contribution of p-adic thermodynamics to the mass. This contribution is extremely small for gauge bosons but for fermions and super-symplectic particles it gives the entire mass.

2. If p-adic length scale hypothesis  $p \simeq 2^k$  holds true, one obtains

$$S_p = k \log(2) \times \left(\frac{M}{m(CP_2)}\right)^2, \quad (14.4.2)$$

$m(CP_2) = \hbar/R$ ,  $R$  the "radius" of  $CP_2$ , corresponds to the standard value of  $\hbar_0$  for all values of  $\hbar$ .

3. Hawking-Bekenstein area law gives in the case of Schwarzschild black-hole

$$S = \frac{A}{4G} \times \hbar = \pi G M^2 \times \hbar . \quad (14.4.3)$$

For the p-adic variant of the law Planck mass is replaced with  $CP_2$  mass and  $k \log(2) \simeq \log(p)$  appears as an additional factor. Area law is obtained in the case of elementary particles if  $k$  is prime and wormhole throats have  $M^4$  radius given by p-adic length scale  $L_k = \sqrt{k}R$  which is exponentially smaller than  $L_p$ . For macroscopic super-symplectic black-holes modified area law results if the radius of the large wormhole throat equals to Schwarzschild radius. Schwarzschild radius is indeed natural: a simple deformation of the Schwarzschild exterior metric to a metric representing rotating star transforms Schwarzschild horizon to a light-like 3-surface at which the signature of the induced metric is transformed from Minkowskian to Euclidian.

4. The formula for the gravitational Planck constant appearing in the Bohr quantization of planetary orbits and characterizing the gravitational field body mediating gravitational interaction between masses  $M$  and  $m$  [30] reads as

$$\hbar_{gr} = \frac{GMm}{v_0} \hbar_0 .$$

$v_0 = 2^{-11}$  is the preferred value of  $v_0$ . One could argue that the value of gravitational Planck constant is such that the Compton length  $\hbar_{gr}/M$  of the black-hole equals to its Schwarzschild radius. This would give

$$\hbar_{gr} = \frac{GM^2}{v_0} \hbar_0 , \quad v_0 = 1/2 . \quad (14.4.4)$$

The requirement that  $\hbar_{gr}$  is a ratio of ruler-and-compass integers expressible as a product of distinct Fermat primes (only four of them are known) and power of 2 would quantize the mass spectrum of black hole [30]. Even without this constraint  $M^2$  is integer valued using p-adic mass squared unit and if p-adic length scale hypothesis holds true this unit is in an excellent approximation power of two.

5. The gravitational collapse of a star would correspond to a process in which the initial value of  $v_0$ , say  $v_0 = 2^{-11}$ , increases in a stepwise manner to some value  $v_0 \leq 1/2$ . For a supernova with solar mass with radius of 9 km the final value of  $v_0$  would be  $v_0 = 1/6$ . The star could have an onion like structure with largest values of  $v_0$  at the core as suggested by the model of planetary system. Powers of two would be favored values of  $v_0$ . If the formula holds true also for Sun one obtains  $1/v_0 = 3 \times 17 \times 2^{13}$  with 10 per cent error.
6. Black-hole evaporation could be seen as means for the super-symplectic black-hole to get rid of its electro-weak charges and fermion numbers (except right handed neutrino number) as the antiparticles of the emitted particles annihilate with the particles inside super-symplectic black-hole. This kind of minimally interacting state is a natural final state of star. Ideal super-symplectic black-hole would have only angular momentum and right handed neutrino number.
7. In TGD light-like partonic 3-surfaces are the fundamental objects and space-time interior defines only the classical correlates of quantum physics. The space-time sheet containing the highly entangled cosmic string might be separated from environment by a wormhole contact with size of black-hole horizon.

This looks the most plausible option but one can of course ask whether the large partonic 3-surface defining the horizon of the black-hole actually contains all super-symplectic particles so that super-symplectic black-hole would be single gigantic super-symplectic parton. The interior of super-symplectic black-hole would be a space-like region of space-time, perhaps resulting as a large deformation of  $CP_2$  type vacuum extremal. Black-hole sized wormhole contact would define a gauge boson like variant of the black-hole connecting two space-time sheets and getting its mass through Higgs mechanism. A good guess is that these states are extremely light.

### 14.4.3 Anyonic view about blackholes

A new element to the model of black hole comes from the vision that black hole horizon as a light-like 3-surface corresponds to a light-like orbit of light-like partonic 2-surface. This allows two kinds of black holes. Fermion like black hole would correspond to a deformed  $CP_2$  type extremal which Euclidian signature of metric and topologically condensed at a space-time sheet with a Minkowskian signature. Boson like black hole would correspond to a wormhole contact connecting two space-time sheets with Minkowskian signature. Wormhole contact would be a piece deformed  $CP_2$  type extremal possessing two light-like throats defining two black hole horizons very near to each other. It does not seem absolutely necessary to assume that the interior metric of the black-hole is realized in another space-time sheet with Minkowskian signature.

Second new element relates to the value of Planck constant. For  $\hbar_{gr} = 4GM^2$  the Planck length  $L_P(\hbar) = \sqrt{\hbar G}$  equals to Schwarzschild radius and Planck mass equals to  $M_P(\hbar) = \sqrt{\hbar/G} = 2M$ . If

the mass of the system is below the ordinary Planck mass:  $M \leq m_P(\hbar_0)/2 = \sqrt{\hbar_0/4G}$ , gravitational Planck constant is smaller than the ordinary Planck constant.

Black hole surface contains ultra dense matter so that perturbation theory is not expected to converge for the standard value of Planck constant but do so for gravitational Planck constant. If the phase transition increasing Planck constant is a friendly gesture of Nature making perturbation theory convergent, one expects that only the black holes for which Planck constant is such that  $GM^2/4\pi\hbar < 1$  holds true are formed. Black hole entropy -being proportional to  $1/\hbar$ - is of order unity so that TGD black holes are not very entropic.  $\hbar = GM^2/v_0$ ,  $v_0 = 1/4$ , would hold true for an ideal black hole with Planck length  $(\hbar G)^{1/2}$  equal to Schwarzschild radius  $2GM$ . Since black hole entropy is inversely proportional to  $\hbar$ , this would predict black hole entropy to be of order single bit. This of course looks totally non-sensible if one believes in standard thermodynamics. For the star with mass equal to  $10^{40}$  Planck masses the entropy associated with the initial state of the star would be roughly the number of atoms in star equal to about  $10^{60}$ . Black hole entropy proportional to  $GM^2/\hbar$  would be of order  $10^{80}$  provided the standard value of  $\hbar$  is used as unit. This stimulates some questions.

1. Does second law pose an upper bound on the value of  $\hbar$  of dark black hole from the requirement that black hole has at least the entropy of the initial state. The maximum value of  $\hbar$  would be given by the ratio of black hole entropy to the entropy of the initial state and about  $10^{20}$  in the example consider to be compared with  $GM^2/v_0 \sim 10^{80}$ .
2. Or should one generalize thermodynamics in a manner suggested by zero energy ontology by making explicit distinction between subjective time (sequence of quantum jumps) and geometric time? The arrow of geometric time would correlate with that of subjective time. One can argue that the geometric time has opposite direction for the positive and negative energy parts of the zero energy state interpreted in standard ontology as initial and final states of quantum event. If second law would hold true with respect to subjective time, the formation of ideal dark black hole would destroy entropy only from the point of view of observer with standard arrow of geometric time. The behavior of phase conjugate laser light would be a more mundane example. Do self assembly processes serve as example of non-standard arrow of geometric time in biological systems? In fact, zero energy state is geometrically analogous to a big bang followed by big crunch. One can however criticize the basic assumption as ad hoc guess. One should really understand the the arrow of geometric time. This is discussed in detail in [43].

If the partonic 2-surface surrounds the tip of causal diamond  $CD$ , the matter at its surface is in anyonic state with fractional charges. Anyonic black hole can be seen as single gigantic elementary particle stabilized by fractional quantum numbers of the constituents preventing them from escaping from the system and transforming to ordinary visible matter. A huge number of different black holes are possible for given value of  $\hbar$  since there is infinite variety of pairs  $(n_a, n_b)$  of integers giving rise to same value of  $\hbar$ .

One can imagine that the partonic surface is not exact sphere except for ideal black holes but contains large number of magnetic flux tubes giving rise to handles. Also a pair of spheres with different radii can be considered with surfaces of spheres connected by braided flux tubes. The braiding of these handles can represent information and one can even consider the possibility that black hole can act as a topological quantum computer. There would be no sharp difference between the dark parts of black holes and those of ordinary stars. Only the volume containing the complex flux tube structures associated with the orbits of planets and various objects around star would become very small for black hole so that the black hole might code for the topological information of the matter collapsed into it.

## 14.5 A quantum model for the formation of astrophysical structures and dark matter?

D. Da Rocha and Laurent Nottale, the developer of Scale Relativity, have ended up with an highly interesting quantum theory like model for the evolution of astrophysical systems [109] (I am grateful for Victor Christianito for informing me about the article). In particular, this model applies to planetary orbits. I learned later that also A. Rubric and J. Rubric have proposed a Bohr model for planetary orbits [68] already 1998.

The model is simply Schrödinger equation with Planck constant  $\hbar$  replaced with what might be called gravitational Planck constant

$$\hbar \rightarrow \hbar_{gr} = \frac{GmM}{v_0} . \quad (14.5.1)$$

Here I have used units  $\hbar = c = 1$ .  $v_0$  is a velocity parameter having the value  $v_0 = 144.7 \pm .7$  km/s giving  $v_0/c = 4.6 \times 10^{-4}$ . The peak orbital velocity of stars in galactic halos is  $142 \pm 2$  km/s whereas the average velocity is  $156 \pm 2$  km/s. Also sub-harmonics and harmonics of  $v_0$  seem to appear.

The model makes fascinating predictions which hold true. For instance, the radii of planetary orbits fit nicely with the prediction of the hydrogen atom like model. The inner solar system (planets up to Mars) corresponds to  $v_0$  and outer solar system to  $v_0/5$ .

The predictions for the distribution of major axis and eccentricities have been tested successfully also for exoplanets. Also the periods of 3 planets around pulsar PSR B1257+12 fit with the predictions with a relative accuracy of few hours/per several months. Also predictions for the distribution of stars in the regions where morphogenesis occurs follow from the gravitational Schrödinger equation.

What is important is that there are no free parameters besides  $v_0$ . In [109] a wide variety of astrophysical data is discussed and it seems that the model works and has already now made predictions which have been later verified. In the following I shall discuss Nottale's model from the point of view of TGD.

### 14.5.1 TGD prediction for the parameter $v_0$

One of the basic questions is the origin of the parameter  $v_0$ , which according to a rich amount of experimental data discussed in [109] seems to play a role of a constant of Nature. One of the first applications of cosmic strings in TGD sense was an explanation of the velocity spectrum of stars in the galactic halo in terms of dark matter which could consist of cosmic strings. Cosmic strings could be orthogonal to the galactic plane going through the nucleus (jets) or they could be in galactic plane in which case the strings and their decay products would explain dark matter assuming that the length of cosmic string inside a sphere of radius  $R$  is or has been roughly  $R$  [33]. The predicted value of the string tension is determined by the  $CP_2$  radius whose ratio to Planck length is fixed by electron mass via p-adic mass calculations. The resulting prediction for the  $v_0$  is correct and provides a working model for the constant orbital velocity of stars in the galactic halo.

The parameter  $v_0 \simeq 2^{-11}$ , which has actually the dimension of velocity unless one puts  $c = 1$ , and also its harmonics and sub-harmonics appear in the scaling of  $\hbar$ .  $v_0$  corresponds to the velocity of distant stars in the model of galactic dark matter. TGD allows to identify this parameter as the parameter

$$\begin{aligned} v_0 &= 2\sqrt{TG} = \sqrt{\frac{1}{2\alpha_K}} \sqrt{\frac{G}{R^2}} , \\ T &= \frac{1}{8\alpha_K} \frac{\hbar_0}{R^2} . \end{aligned} \quad (14.5.2)$$

Here  $T$  is the string tension of cosmic strings,  $R$  denotes the "radius" of  $CP_2$  ( $2R$  is the radius of geodesic sphere of  $CP_2$ ).  $\alpha_K$  is Kähler coupling strength, the basic coupling constant strength of TGD, whose evolution as a function of p-adic length scale is fixed by quantum criticality. The condition that  $G$  is invariant in the p-adic coupling constant evolution and number theoretical arguments predict

$$\begin{aligned} \alpha_K(p) &= k \frac{1}{\log(p) + \log(K)} , \\ K &= \frac{R^2}{\hbar_0 G} = 2 \times 3 \times 5 \times 7 \times 11 \times 13 \times 17 \times 19 \times 23 , \quad k \simeq \pi/4 . \end{aligned} \quad (14.5.3)$$

The predicted value of  $v_0$  depends logarithmically on the p-adic length scale and for  $p \simeq 2^{127} - 1$  (electron's p-adic length scale) one has  $v_0 \simeq 2^{-11}$ .

### 14.5.2 Model for planetary orbits without $v_0 \rightarrow v_0/5$ scaling

Also harmonics and sub-harmonics of  $v_0$  appear in the model of Nottale and Da Rocha. For instance, the outer planets (Jupiter, Saturn,...) correspond to  $v_0/5$  whereas inner planets correspond to  $v_0$ . Quite generally, it is found that the values seem to come as harmonics and sub-harmonics of  $v_0$ :  $v_n = nv_0$  and  $v_0/n$ , and the argument [109] is that the different values of  $n$  relate to fractality. This scaling is not necessary for the planetary orbits in TGD based model.

Effectively a multiplication  $n \rightarrow 5n$  of the principal quantum number is in question in the case of outer planets. If one accepts the interpretation that visible matter has concentrated around dark matter, which is in macroscopic quantum phase around Bohr orbits, this allows to consider also the possibility that  $\hbar_{gr}$  has the same value for all planets.

1. Some gravitational perturbation has kicked dark matter from the region of the asteroid belt to  $n \simeq 5k$ ,  $k = 2, \dots, 6$ , orbits. The best fit is obtained by using values of  $n$  deviating somewhat from multiples of 5 which suggests that the scaling of  $v_0$  is not needed. Gravitational perturbations might have caused the same for the visible matter. The fact that the tilt angles of Earth and outer planets other than Pluto are nearly the same suggests that the orbits of these planets might be an outcome of some violent quantum process for dark matter preserving the orbital plane in a good approximation. Pluto might in turn have experienced some violent collision changing its orbital plane.
2. There could exist at least small amounts of dark matter at all orbits but visible matter is concentrated only around orbits containing some critical amount of dark matter.

	Exp.	T-B	Bohr <sub>1</sub>	Bohr <sub>2</sub>
Planet	$R/R_M$	$R/R_M$	$[n, R/R_M]$	$[n, R/R_M]$
Mercury	1	1	[3, 1]	
Venus	1.89	1.75	[4, 1.8]	
Earth	2.6	2.5	[5, 2.8]	
Mars	3.9	4	[6, 4]	
Asteroids	6.1-8.7	7	[(7, 8, 9), (5.4, 7.1, 9)]	
Jupiter	13.7	13	[11, 13.4]	[2 × 5, 11.1]
Saturn	25.0	25	[3 × 5, 25]	[3 × 5, 25]
Uranus	51.5	49	[22, 53.8]	[4 × 5, 44.4]
Neptune	78.9	97	[27, 81]	[5 × 5, 69.4]
Pluto	105.2	97	[31, 106.7]	[6 × 5, 100]

Table 1. The table represents the experimental average orbital radii of planets, the predictions of Titius-Bode law (note the failure for Neptune), and the predictions of Bohr orbit model assuming a) that the principal quantum number  $n$  corresponds to best possible fit, b) the scaling  $v_0 \rightarrow v_0/5$  for outer planets. Option a) gives the best fit with errors being considerably smaller than the maximal error  $|\Delta R|/R \simeq 1/n$  except for Uranus.  $R_M$  denotes the orbital radius of Mercury. T-B refers to Titius-Bode law.

#### How to understand the harmonics and sub-harmonics of $v_0$ in TGD framework?

Also harmonics and sub-harmonics of  $v_0$  appear in the model of Nottale and Da Rocha. In particular, the outer planets (Jupiter, Saturn,...) correspond to  $v_0/5$  whereas inner planets correspond to  $v_0$  in this model. As already found, TGD allows also an alternative explanation.

Quite generally, it is found that the values seem to come as harmonics and sub-harmonics of  $v_0$ :  $v_n = nv_0$  and  $v_0/n$ , and the argument [109] is that the different values of  $n$  relate to fractality. This quantization is a challenge for TGD since  $v_0$  certainly defines a fundamental constant in TGD Universe.

1. Consider first the harmonics of  $v_0$ . Besides cosmic strings of type  $X^2 \times S^2 \subset M^4 \times CP_2$  one can consider also deformations of these strings defining their multiple coverings so that the deformation is  $n$ -valued as a function of  $S^2$ -coordinates  $(\Theta, \Phi)$  and the projection to  $S^2$  is thus

an  $n \rightarrow 1$  map. The solutions are higher dimensional analogs of originally closed orbits which after perturbation close only after  $n$  turns. This kind of surfaces emerge in the TGD inspired model of quantum Hall effect naturally [35] and  $n \rightarrow \infty$  limit has an interpretation as an approach to chaos [27].

Using the coordinates  $(x, y, \theta, \phi)$  of  $X^2 \times S^2$  and coordinates  $m^k$  for  $M^4$  of the unperturbed solution the space-time surface the deformation can be expressed as

$$\begin{aligned} m^k &= m^k(x, y, \theta, \phi) , \\ (\Theta, \Phi) &= (\theta, n\phi) . \end{aligned} \tag{14.5.4}$$

The value of the string tension would be indeed  $n^2$ -fold in the first approximation since the induced Kähler form defining the Kähler magnetic field would be  $J_{\theta\phi} = n \sin(\Theta)$  and one would have  $v_n = n v_0$ . At the limit  $m^k = m^k(x, y)$  different branches for these solutions collapse together.

2. Consider next how sub-harmonics appear in TGD framework. Suppose that cosmic strings decay to magnetic flux tube structures. This could be the counterpart for cosmic expansion. The Kähler magnetic flux  $\Phi = BS$  is conserved in the process but the thickness of the  $M^4$  projection of the cosmic string increases field strength is reduced. This means that string tension, which is proportional to  $B^2 S$ , is reduced (so that also Kähler action is reduced). The fact that space-time surface is Bohr orbit in generalized sense means that the reduced string tension (magnetic energy per unit length) is quantized.

The task is to guess how the quantization occurs. There are two options.

1. The simplest explanation for the reduction of  $v_0$  is based on the decay of a flux tube resembling a disk with a hole to  $n$  identical flux tubes so that  $v_0 \rightarrow v_0/n$  results for the resulting flux tubes. It turns out that this mechanism is favored and explains elegantly the value of  $\hbar_{gr}$  for outer planetary system. One can also consider small-p p-adicity so that  $n$  would be prime.
2. Second explanation is more intricate. Consider a magnetic flux tube. Since magnetic flux is quantized, the magnetic field strengths are quantized in integer multiples of basic strength:  $B = nB_0$  and would rather naturally correspond to the multiple coverings of the original magnetic flux tube with magnetic energy quantized in multiples of  $n^2$ . The idea is to require internal consistency in the sense that the allowed reduced field strengths are such that the spectrum associated with  $B_0$  is contained to the spectrum associated with the quantized field strengths  $B_1 > B_0$ . This would allow only field strengths  $B = B_S/n^2$ , where  $B_S$  denotes the field strength of the fundamental cosmic string and one would have  $v_n = v_0/n$ . Flux conservation requires that the area of the flux tube scales as  $n^2$ .

Sub-harmonics might appear in the outer planetary system and there are indications for the higher harmonics below the inner planetary system [109]: for instance, solar radius corresponds to  $n = 1$  orbital for  $v_3 = 3v_0$ . This would suggest that Sun and also planets have an onion like structure with highest harmonics of  $v_0$  and strongest string tensions appearing in the solar core and highest sub-harmonics appearing in the outer regions. If the matter results as decay remnants of cosmic strings this means that the mass density inside Sun should correlate strongly with the local value of  $n$  characterizing the multiple covering of cosmic strings.

One can ask whether the very process of the formation of the structures could have excited the higher values of  $n$  just like closed orbits in a perturbed system become closed only after  $n$  turns. The energy density of the cosmic string is about one Planck mass per  $\sim 10^7$  Planck lengths so that  $n > 1$  excitation increasing this density by a factor of  $n^2$  is obviously impossible except under the primordial cosmic string dominated period of cosmology during which the net inertial energy density must have vanished. The structure of the future solar system would have been dictated already during the primordial phase of cosmology when negative energy cosmic string suffered a time reflection to positive energy cosmic strings.



**Nottale equation is consistent with the TGD based model for dark matter**

TGD allows two models of dark matter. The first one is spherically symmetric and the second one cylindrically symmetric. The first thing to do is to check whether these models are consistent with the gravitational Schrödinger equation/Bohr quantization.

1. *Spherically symmetric model for the dark matter*

The following argument based on Bohr orbit quantization demonstrates that this is indeed the case for the spherically symmetric model for dark matter. The argument generalizes in a trivial manner to the cylindrically symmetric case.

1. The gravitational potential energy  $V(r)$  for a mass distribution  $M(r) = xTr$  ( $T$  denotes string tension) is given by

$$V(r) = Gm \int_r^{R_0} \frac{M(r)}{r^2} dr = GmxT \log\left(\frac{r}{R_0}\right) . \tag{14.5.5}$$

Here  $R_0$  corresponds to a large radius so that the potential is negative as it should in the region where binding energy is negative.

2. The Newton equation  $\frac{mv^2}{r} = \frac{GmxT}{r}$  for circular orbits gives

$$v = xGT . \tag{14.5.6}$$

3. Bohr quantization condition for angular momentum by replacing  $\hbar$  with  $\hbar_{gr}$  reads as  $mvr = n\hbar_{gr}$  and gives

$$\begin{aligned} r_n &= \frac{n\hbar_{gr}}{mv} = nr_1 , \\ r_1 &= \frac{GM}{vv_0} . \end{aligned} \tag{14.5.7}$$

Here  $v$  is rather near to  $v_0$ .

4. Bound state energies are given by

$$E_n = \frac{mv^2}{2} - xT \log\left(\frac{r_1}{R_0}\right) + xT \log(n) . \tag{14.5.8}$$

The energies depend only weakly on the radius of the orbit.

5. The centrifugal potential  $l(l+1)/r^2$  in the Schrödinger equation is negligible as compared to the potential term at large distances so that one expects that degeneracies of orbits with small values of  $l$  do not depend on the radius. This would mean that each orbit is occupied with same probability irrespective of value of its radius. If the mass distribution for the stars does not depend on  $r$ , the number of stars rotating around galactic nucleus is simply the number of orbits inside sphere of radius  $R$  and thus given by  $N(R) \propto R/r_0$  so that one has  $M(R) \propto R$ . Hence the model is self consistent in the sense that one can regard the orbiting stars as remnants of cosmic strings and thus obeying same mass distribution.

### 2. Cylindrically symmetric model for the galactic dark matter

TGD allows also a model of the dark matter based on cylindrical symmetry. In this case the dark matter would correspond to the mass of a cosmic string orthogonal to the galactic plane and traversing through the galactic nucleus. The string tension would be the one predicted by TGD. In the directions orthogonal to the plane of galaxy the motion would be free motion so that the orbits would be helical, and this should make it possible to test the model. The quantization of radii of the orbits would be exactly the same as in the spherically symmetric model. Also the quantization of inclinations predicted by the spherically symmetric model could serve as a sensitive test. In this kind of situation general theory of relativity would predict only an angle deficit giving rise to a lens effect. TGD predicts a Newtonian  $1/\rho$  potential in a good approximation.

Spiral galaxies are accompanied by jets orthogonal to the galactic plane and a good guess is that they are associated with the cosmic strings. The two models need not exclude each other. The vision about astrophysical structures as pearls of a fractal necklace would suggest that the visible matter has resulted in the decay of cosmic strings originally linked around the cosmic string going through the galactic plane and creating  $M(R) \propto R$  for the density of the visible matter in the galactic bulge. The finding that galaxies are organized along linear structures [66] fits nicely with this picture.

### 3. MOND and TGD

TGD based model explains also the MOND (Modified Newton Dynamics) model of Milgrom [56] for the dark matter. Instead of dark matter the model assumes a modification of Newton's laws. The model is based on the observation that the transition to a constant velocity spectrum seems in the galactic halos seems to occur at a constant value of the stellar acceleration equal to  $a_0 \simeq 10^{-11}g$ , where  $g$  is the gravitational acceleration at the Earth. MOND theory assumes that Newtonian laws are modified below  $a_0$ .

The explanation relies on Bohr quantization. Since the stellar radii in the halo are quantized in integer multiples of a basic radius and since also rotation velocity  $v_0$  is constant, the values of the acceleration are quantized as  $a(n) = v_0^2/r(n)$  and  $a_0$  correspond to the radius  $r(n)$  of the smallest Bohr orbit for which the velocity is still constant. For larger orbital radii the acceleration would indeed be below  $a_0$ .  $a_0$  would correspond to the distance above which the density of the visible matter does not appreciably perturb the gravitational potential of the straight string. This of course requires that gravitational potential is that given by Newton's theory and is indeed allowed by TGD.

## 14.5.3 The interpretation of $\hbar_{gr}$ and pre-planetary period

$\hbar_{gr}$  could correspond to a unit of angular momentum for quantum coherent states at magnetic flux tubes or walls containing macroscopic quantum states. Quantitative estimate demonstrates that  $\hbar_{gr}$  for astrophysical objects cannot correspond to spin angular momentum. For Sun-Earth system one would have  $\hbar_{gr} \simeq 10^{77}\hbar$ . This amount of angular momentum realized as a mere spin would require  $10^{77}$  particles! Hence the only possible interpretation is as a unit of orbital angular momentum. The linear dependence of  $\hbar_{gr}$  on  $m$  is consistent with the additivity of angular momenta in the fusion of magnetic flux tubes to larger units if the angular momentum associated with the tubes is proportional to both  $m$  and  $M$ .

Just as the gravitational acceleration is a more natural concept than gravitational force, also  $\hbar_{gr}/m = GM/v_0$  could be more natural unit than  $\hbar_{gr}$ . It would define a universal unit for the circulation  $\oint v \cdot dl$ , which is apart from  $1/m$ -factor equal to the phase integral  $\oint p_\phi d\phi$  appearing in Bohr rules for angular momentum. The circulation could be associated with the flow associated with outer boundaries of magnetic flux tubes surrounding the orbit of mass  $m$  around the central mass  $M \gg m$  and defining light like 3-D CDs analogous to black hole horizons.

The expression of  $\hbar_{gr}$  depends on masses  $M$  and  $m$  and can apply only in space-time regions carrying information about the space-time sheets of  $M$  and the orbit of  $m$ . Quantum gravitational holography suggests that the formula applies at 3-D light like causal determinant (CD)  $X_l^3$  defined by the wormhole contacts gluing the space-time sheet  $X_l^3$  of the planet to that of Sun. More generally,  $X_l^3$  could be the space-time sheet containing the planet, most naturally the magnetic flux tube surrounding the orbit of the planet and possibly containing dark matter in super-conducting state. This would give a precise meaning for  $\hbar_{gr}$  and explain why  $\hbar_{gr}$  does not depend on the masses of other planets.

The simplest option consistent with the quantization rules and with the explanatory role of magnetic flux structures is perhaps the following one.

1.  $X_l^3$  is a torus like surface around the orbit of the planet containing delocalized dark matter. The key role of magnetic flux quantization in understanding the values of  $v_0$  suggests the interpretation of the torus as a magnetic or  $Z^0$  magnetic flux tube. At pre-planetary period the dark matter formed a torus like quantum object. The conditions defining the radii of Bohr orbits follow from the requirement that the torus-like object is in an eigen state of angular momentum in the center of mass rotational degrees of freedom. The requirement that rotations do not leave the torus-like object invariant is obviously satisfied. Newton's law required by the quantum-classical correspondence stating that the orbit corresponds to a geodesic line in general relativistic framework gives the additional condition implying Bohr quantization.
2. A simple mechanism leading to the localization of the matter would have been the pinching of the torus causing kind of a traffic jam leading to the formation of the planet. This process could quite well have involved a flow of matter to a smaller planet space-time sheet  $Y_l^3$  topologically condensed at  $X_l^3$ . Most of the angular momentum associated with torus like object would have transformed to that of planet and situation would have become effectively classical.
3. The conservation of magnetic flux means that the splitting of the orbital torus would generate a pair of Kähler magnetic charges. It is not clear whether this is possible dynamically and hence the torus could still be there. In fact, TGD explanation for the tritium beta decay anomaly citeTroitsk,Mainz in terms of classical  $Z^0$  force [21] requires the existence of this kind of torus containing neutrino cloud whose density varies along the torus. This picture suggests that the lacking  $n = 1$  and  $n = 2$  orbits in the region between Sun and Mercury are still in magnetic flux tube state containing mostly dark matter.
4. The fact that  $\hbar_{gr}$  is proportional to  $m$  means that it could have varied continuously during the accumulation of the planetary mass without any effect in the planetary motion: this is of course nothing but a manifestation of Equivalence Principle.
5. It is interesting to look for the scaled up versions of Planck mass  $m_{Pl} = \sqrt{\hbar_{gr}/\hbar} \times \sqrt{\hbar/G} = \sqrt{M_1 M_2/v_0}$  and Planck length  $L_{Pl} = \sqrt{\hbar_{gr}/\hbar} \times \sqrt{\hbar/G} = G\sqrt{M_1 M_2/v_0}$ . For  $M_1 = M_2 = M$  this gives  $m_{Pl} = M/\sqrt{v_0} \simeq 45.6 \times M$  and  $L_{Pl} = r_S/2\sqrt{v_0} \simeq 22.8 \times r_S$ , where  $r_S$  is Schwarzschild radius. For Sun  $r_S$  is about 2.9 km so that one has  $L_{Pl} \simeq 66$  km. For a few years ago it was found that Sun contains "inner-inner" core of radius about  $R = 300$  km [71] which is about  $4.5 \times L_{Pl}$ .

#### 14.5.4 Inclinations for the planetary orbits and the quantum evolution of the planetary system

The inclinations of planetary orbits provide a test bed for the theory. The semiclassical quantization of angular momentum gives the directions of angular momentum from the formula

$$\cos(\theta) = \frac{m}{\sqrt{j(j+1)}} \quad , \quad |m| \leq j \quad . \quad (14.5.9)$$

where  $\theta$  is the angle between angular momentum and quantization axis and thus also that between orbital plane and (x,y)-plane. This angle defines the angle of tilt between the orbital plane and (x,y)-plane.

$m = j = n$  gives minimal value of angle of tilt for a given value of  $n$  of the principal quantum number as

$$\cos(\theta) = \frac{n}{\sqrt{n(n+1)}} \quad . \quad (14.5.10)$$

For  $n = 3, 4, 5$  (Mercury, Venus, Earth) this gives  $\theta = 30.0, 26.6,$  and  $24.0$  degrees respectively.

Only the relative tilt angles can be compared with the experimental data. Taking as usual the Earth's orbital plane as the reference the relative tilt angles give what are known as inclinations. The predicted inclinations are 6 degrees for Mercury and 2.6 degrees for Venus. The observed values [64] are 7.0 and 3.4 degrees so that the agreement is satisfactory. If one allows half-odd integer spin the fit is improved. For  $j = m = n - 1/2$  the predictions are 7.1 and 2.9 degrees for Mercury and Venus respectively. For Mars, Jupiter, Saturn, Uranus, Neptune, and Pluto the inclinations are 1.9, 1.3, 2.5, 0.8, 1.8, 17.1 degrees. For Mars and outer planets the tilt angles are predicted to have wrong sign for  $m = j$ . In a good approximation the inclinations vanish for outer planets except Pluto and this would allow to determine  $m$  as  $m \simeq \sqrt{5n(n+1)}/6$ : the fit is not good.

The assumption that matter has condensed from a matter rotating in (x,y)-plane orthogonal to the quantization axis suggests that the directions of the planetary rotation axes are more or less the same and by angular momentum conservation have not changed appreciably. The prediction for the tilt of the rotation axis of the Earth is 24 degrees of freedom in the limit that the Earth's spin can be treated completely classically, that is for  $m = j \gg 1$  in the units used for the quantization of the Earth's angular momentum. What is the value of  $\hbar_{gr}$  for Earth is not obvious (using the unit  $\hbar_{gr} = GM^2/v_0$  the Earth's angular momentum would be much smaller than one). The tilt of the rotation axis of Earth with respect to the orbit plane is 23.5 degrees so that the agreement is again satisfactory. This prediction is essentially quantal: in purely classical theory the most natural guess for the tilt angle for planetary spins is 0 degrees.

The observation that the inner planets Mercury, Venus, and Earth have in a reasonable approximation the predicted inclinations suggest that they originate from a primordial period during which they formed spherical cells of dark matter and had thus full rotational degrees of freedom and were in eigen states of angular momentum corresponding to a full rotational symmetry. The subsequent  $SO(3) \rightarrow SO(2)$  symmetry breaking leading to the formation of torus like configurations did not destroy the information about this period since the information about the value of  $j$  and  $m$  was coded by the inclination of the planetary orbit.

In contrast to this, the dark matter associated with Earth and outer planets up to Neptune formed a flattened magnetic or  $Z^0$  magnetic flux tube resembling a disk with a hole and the subsequent symmetry breaking broke it to separate flux tubes. Earth's spherical disk was joined to the disk formed by the outer planets. The spherical disk could be still present and contain super-conducting dark matter. The presence of this "heavenly sphere" might closely relate to the fact that Earth is a living planet. The time scale  $T = 2\pi R/c$  is very nearly equal to 5 minutes and defines a candidate for a bio-rhythm.

If this flux tube carried the same magnetic flux as the flux tubes associated with the inner planets, the decomposition of the disk with a hole to 5 flux tubes corresponding to Earth and to the outer planets Mars, Jupiter, Saturn and Neptune, would explain the value of  $v_0$  correctly and also the small inclinations of outer planets. That Pluto would not originate from this structure, is consistent with its anomalously large values of inclination  $i = 17.1$  degrees, small value of eccentricity  $e = .248$ , and anomalously large value of inclination of equator to orbit about 122 degrees as compared to 23.5 degrees in the case of Earth [64].

### 14.5.5 Eccentricities and comets

Bohr-Sommerfeld quantization allows also to deduce the eccentricities of the planetary and comet orbits. One can write the quantization of energy as

$$\frac{p_r^2}{2m_1} + \frac{p_\theta^2}{2m_1 r^2} + \frac{p_\phi^2}{2m_1 r^2 \sin^2(\theta)} - \frac{k}{r} = -\frac{E_1}{n^2} ,$$

$$E_1 = \frac{k^2}{2\hbar_{gr}^2} \times m_1 = \frac{v_0^2}{2} \times m_1 . \quad (14.5.11)$$

Here one has  $k = GMm_1$ .  $E_1$  is the binding energy of  $n = 1$  state. In the orbital plane ( $\theta = \pi/2, p_\theta = 0$ ) the conditions are simplified. Bohr quantization gives  $p_\phi = m\hbar_{gr}$  implying

$$\frac{p_r^2}{2m_1} + \frac{k^2 \hbar_{gr}^2}{2m_1 r^2} - \frac{k}{r} = -\frac{E_1}{n^2} . \quad (14.5.12)$$

For  $p_r = 0$  the formula gives maximum and minimum radii  $r_{\pm}$  and eccentricity is given by

$$e^2 = \frac{r_+ - r_-}{r_+} = \frac{2\sqrt{1 - \frac{m^2}{n^2}}}{1 + \sqrt{1 - \frac{m^2}{n^2}}} . \quad (14.5.13)$$

For small values of  $n$  the eccentricities are very large except for  $m = n$ . For instance, for  $(m = n - 1, n)$  for  $n = 3, 4, 5$  gives  $e = (.93, .89, .86)$  to be compared with the experimental values (.206, .007, .0167). Thus the planetary eccentricities with Pluto included ( $e = .248$ ) must vanish in the lowest order approximation and must result as a perturbation of the magnetic flux tube.

The large eccentricities of comet orbits might however have an interpretation in terms of  $m < n$  states. The prediction is that comets with small eccentricities have very large orbital radius. Oort's cloud is a system weakly bound to a solar system extending up to 3 light years. This gives the upper bound  $n \leq 700$  if the comets of the cloud belong to the same family as Mercury, otherwise the bound is smaller. This gives a lower bound to the eccentricity of not nearly circular orbits in the Oort cloud as  $e > .32$ .

### 14.5.6 Why the quantum coherent dark matter is not visible?

The obvious objection against quantal astrophysics is that astrophysical systems look extremely classical. Quantal dark matter in many-sheeted space-time resolves this counter argument. As already explained, the sequence of symmetry breakings of the rotational symmetry would explain nicely why astral Bohr rules work. The prediction is however that delocalized quantal dark matter is probably still present at (the boundaries of) magnetic flux tubes and spherical shells. It is however the entire structure defined by the orbit which behaves like a single extended particle so that the localization in quantum measurement does not mean a localization to a point of the orbit. Planet itself corresponds to a smaller localized space-time sheet condensed at the flux tube.

One should however understand why this dark matter with a gigantic Planck constant is not visible. The simplest explanation is that there cannot be any direct quantum interactions between ordinary and dark matter in the sense that particles with different values of Planck constant could appear in the same particle vertex. This would allow also a fractal hierarchy copies of standard model physics to exist with different p-adic mass scales.

There is also second argument. The inability to observe dark matter could mean inability to perform state function reduction localizing the dark matter. The probability for this should be proportional to the strength of the measurement interaction. For photons the strength of the interaction is characterized by the fine structure constant. In the case of dark matter the fine structure constant is replaced with

$$\alpha_{em,gr} = \alpha_{em} \times \frac{\hbar}{\hbar_{gr}} = \alpha_{em} \times \frac{v_0}{GMm} . \quad (14.5.14)$$

For  $M = m = m_{Pl} \simeq 10^{-8}$  kg the value of the fine structure constant is smaller than  $\alpha_{em} v_0$  and completely negligible for astrophysical masses. However, for processes for which the lowest order classical rates are non-vanishing, rates are not affected in the lowest order since the increase of the Compton length compensates the reduction of  $\alpha$ . Higher order corrections become however small. What makes dark matter invisible is not the smallness of  $\alpha_{em}$  but the fact that the binding energies of say hydrogen atom proportional to  $\alpha^2 m_e$  are scaled as  $1/\hbar^2$  so that the spectrum is scaled down.

### 14.5.7 Quantum interpretation of gravitational Schrödinger equation

Schrödinger equation in astrophysical length scales with a gigantic value of Planck constant looks sheer madness idea from the standard physics point of view. In TGD Universe situation might be different.

1. In TGD inertial four-momentum (or conserved four-momentum) is not positive definite and the net four-momentum of the Universe vanishes. Already in cosmological length scales the density

of inertial mass vanishes. Gravitational masses and inertial masses can be identified only at the limit when one can neglect the interaction between positive and negative energy matter. The masses appearing in the gravitational Schrödinger equation are gravitational masses and one can ask whether inertial and gravitational Planck constants are different.

2. The fractality of the many-sheeted space-time predicts that quantum effects appear in all length and time scales. In particular, dark matter is at larger space-time sheets and hence almost invisible.
3. An even more weirder looks the idea that Planck constant could have a gigantic value in astrophysical length scales being of order of magnitude of product of masses using Planck mass as a unit for  $\hbar = c = 1$ . This would mean that gravitation at space-time sheets of astrophysical size would have super quantal character! But even the gigantic value of Planck constant might be understood in TGD framework.

### Jones inclusions and quantization of Planck constants

Quantum TGD emerges from infinite-dimensional Clifford algebra defined as infinite power of 8-dimensional Clifford algebra  $C(8)$  generalized to a local algebra by constructing power series of quantum octonionic variable having the elements of this Clifford algebra as coefficients. The eigenstates for the commuting hermitian coordinates assignable to this octonionic variable have  $M^8$  as spectrum and extremely general arguments imply both classical and quantum TGD. The construction works only for  $D = 8$  (by non-associativity of the octonionic units) since for other dimensions the local field defined by algebra could not be distinguished from algebra itself.

Perhaps the most important outcome is a general master formula for S-matrix with interactions described as a deformation of ordinary tensor product to Connes tensor products and new view theory of quantum measurement. Further outcomes are prediction the spectra of the quantized values of  $M^4$  and  $CP_2$  Planck constants as characterizers of Jones inclusions associated with quantum phases  $q = \exp(i\pi/n)$ .

#### 1. Some background

It has been for few years clear that TGD could emerge from the mere infinite-dimensionality of the Clifford algebra of infinite-dimensional "world of classical worlds" and from number theoretical vision in which classical number fields play a key role and determine imbedding space and space-time dimensions. This would fix completely the "world of classical worlds".

Infinite-dimensional Clifford algebra is a standard representation for von Neumann algebra known as a hyper-finite factor of type  $II_1$ . In TGD framework the infinite tensor power of  $C(8)$ , Clifford algebra of 8-D space would be the natural representation of this algebra.

#### 2. How to localize infinite-dimensional Clifford algebra?

The basic new idea is to make this algebra *local*: local Clifford algebra as a generalization of gamma field of string models.

1. Represent Minkowski coordinate of  $M^d$  as linear combination of gamma matrices of D-dimensional space. This is the first guess. One fascinating finding is that this notion can be quantized and classical  $M^d$  is genuine quantum  $M^d$  with coordinate values eigenvalues of quantal commuting Hermitian operators built from matrix elements. Euclidian space is not obtained in this manner. Minkowski signature is something quantal and the standard quantum group  $Gl(2, q)(C)$  with (non-Hermitian matrix elements) gives  $M^4$ .
2. Form power series of the  $M^d$  coordinate represented as linear combination of gamma matrices with coefficients in corresponding infinite-D Clifford algebra. One would get tensor product of two algebra.
3. There is however a problem: one cannot distinguish the tensor product from the original infinite-D Clifford algebra.  $D = 8$  is however an exception! One can replace gammas in the expansion of  $M^8$  coordinate by hyper-octonionic units which are non-associative (or octonionic units in quantum complexified-octonionic case). Now one cannot anymore absorb the tensor factor to the Clifford algebra and one gets a genuine  $M^8$ -localized factor of type  $II_1$ . Everything is determined

by infinite-dimensional gamma matrix fields analogous to conformal super fields with  $z$  replaced by hyperoctonion.

4. Octonionic non-associativity actually reproduces whole classical and quantum TGD: space-time surface must be associative sub-manifolds hence hyper-quaternionic surfaces of  $M^8$ . Representability as surfaces in  $M^4 \times CP_2$  follows naturally, the notion of configuration space of 3-surfaces, etc....

3. *Connes tensor product for free fields as a universal definition of interaction quantum field theory*

This picture has profound implications. Consider first the construction of S-matrix.

1. A non-perturbative construction of S-matrix emerges. The deep principle is simple. The canonical outer automorphism for von Neumann algebras defines a natural candidate unitary transformation giving rise to propagator. This outer automorphism is trivial for  $II_1$  factors meaning that all lines appearing in Feynman diagrams must be on mass shell states satisfying Super Virasoro conditions. One can allow all possible diagrams: all on mass shell loop corrections vanish by unitarity and what remains are diagrams with single N-vertex.
2. At 2-surface representing N-vertex space-time sheets representing generalized Bohr orbits of incoming and outgoing particles meet. This vertex involves von Neumann trace (finite!) of localized gamma matrices expressible in terms of fermionic oscillator operators and defining free fields satisfying Super Virasoro conditions.
3. For free fields ordinary tensor product would not give interacting theory. What makes S-matrix non-trivial is that *Connes tensor product* is used instead of the ordinary one. This tensor product is a universal description for interactions and we can forget perturbation theory! Interactions result as a deformation of tensor product. Unitarity of resulting S-matrix is unproven but I dare believe that it holds true.
4. The subfactor  $\mathcal{N}$  defining the Connes tensor product has interpretation in terms of the interaction between experimenter and measured system and each interaction type defines its own Connes tensor product. Basically  $\mathcal{N}$  represents the limitations of the experimenter. For instance, IR and UV cutoffs could be seen as primitive manners to describe what  $\mathcal{N}$  describes much more elegantly. At the limit when  $\mathcal{N}$  contains only single element, theory would become free field theory but this is ideal situation never achievable.

4. *The quantization of Planck constant and ADE hierarchies*

The quantization of Planck constant has been the basic them of TGD for more than one and half years and leads also the understanding of ADE correspondences (index  $\beta \leq 4$  and  $\beta = 4$ ) from the point of view of Jones inclusions.

1. The new view allows to understand how and why Planck constant is quantized and gives an amazingly simple formula for the separate Planck constants assignable to  $M^4$  and  $CP_2$  and appearing as scaling constants of their metrics. This in terms of a mild generalizations of standard Jones inclusions. The emergence of imbedding space means only that the scaling of these metrics have spectrum: no landscape.
2. In ordinary phase Planck constants  $\hbar(M^4)$  and  $\hbar(CP_2)$  are same and have their standard values. Large Planck constant phases correspond to situations in which a transition to a phase in which quantum groups occurs. These situations correspond to standard Jones inclusions in which Clifford algebra is replaced with a sub-algebra of its G-invariant elements. G is product  $G_a \times G_b$  of subgroups of  $SL(2, C)$  and  $SU(2)_L \times U(1)$  which also acts as a subgroup of SU(3). Space-time sheets are  $n(G_b)$ -fold coverings of  $M^4$  and  $n(G_a)$ -fold coverings of  $CP_2$  generalizing the picture which has emerged already. An elementary study of these coverings fixes the values of scaling factors of  $M^4$  and  $CP_2$  Planck constants to orders of the maximal cyclic sub-groups. Mass spectrum is invariant under these scalings. The values of Planck constants are  $\hbar(M^4) = n_a \hbar_0$  and  $\hbar(CP_2) = n_b \hbar_0$  and scaling factor of  $M^4$  covariant metric is  $n_b$  and that of  $CP_2$  metric  $n_a$ . In Kähler action only the ratio  $n_a/n_b$  occurs and the Planck constant  $\hbar_{eff}$  occurring in Schrödinger equation is by quantum classical correspondence  $\hbar_{eff}/\hbar_0 = n_a/n_b$ .

3. This predicts automatically arbitrarily large and also small values of Planck constant depending in the value of the ratio  $n_a/n_b$  and assigns the preferred values of Planck constant to quantum phases  $q = \exp(i\pi/n_i)$ ,  $i = a, b$  expressible in terms of iterated square roots of rationals: these correspond to polygons obtainable by compass and ruler construction. In particular, experimentally favored values of  $\hbar$  in living matter correspond to these special values of Planck constant. This model reproduces also the other aspects of the general vision. The subgroups of  $SL(2, C)$  in turn can give rise to re-scaling of  $SU(3)$  Planck constant. The most general situation can be described in terms of Jones inclusions for fixed point subalgebras of number theoretic Clifford algebras defined by  $G_a \times G_b \subset SL(2, C) \times SU(2)$ .
4. These inclusions (apart from those for which  $G_a$  contains infinite number of elements) are represented by ADE or extended ADE diagrams depending on the value of index. The group algebras of these groups give rise to additional degrees of freedom which make possible to construct the multiplets of the corresponding gauge groups. For  $\beta \leq 4$  the gauge groups  $A_n$ ,  $D_{2n}$ ,  $E_6$ ,  $E_8$  are possible so that TGD seems to be able to mimick these gauge theories. For  $\beta = 4$  all ADE Kac Moody groups are possible and again mimicry becomes possible: TGD would be kind of universal physics emulator but it would be anyonic dark matter which would perform this emulation.

### Bohr quantization of planetary orbits and prediction for Planck constant

The predictions of the generalization of the p-adic length scale hypothesis are consistent with the TGD based model for the Bohr quantization of planetary orbits and some new non-trivial predictions follow.

#### 1. Generalization of the p-adic length scale hypothesis

The evolution in phase resolution in p-adic degrees of freedom corresponds to emergence of algebraic extensions allowing increasing variety of phases  $\exp(i\pi/n)$  expressible p-adically. This evolution can be assigned to the emergence of increasingly complex quantum phases and the increase of Planck constant.

One expects that quantum phases  $q = \exp(i\pi/n)$  which are expressible using only square roots of rationals are number theoretically very special since they correspond to algebraic extensions of p-adic numbers involving only square roots which should emerge first and therefore systems involving these values of  $q$  should be especially abundant in Nature.

These polygons are obtained by ruler and compass construction and Gauss showed that these polygons, which could be called Fermat polygons, have  $n_F = 2^k \prod_s F_{n_s}$  sides/vertices: all Fermat primes  $F_{n_s}$  in this expression must be different. The analog of the p-adic length scale hypothesis emerges since larger Fermat primes are near a power of 2. The known Fermat primes  $F_n = 2^{2^n} + 1$  correspond to  $n = 0, 1, 2, 3, 4$  with  $F_0 = 3$ ,  $F_1 = 5$ ,  $F_2 = 17$ ,  $F_3 = 257$ ,  $F_4 = 65537$ . It is not known whether there are higher Fermat primes.  $n = 3, 5, 15$ -multiples of p-adic length scales clearly distinguishable from them are also predicted and this prediction is testable in living matter. I have already earlier considered the possibility that Fermat polygons could be of special importance for cognition and for biological information processing [42].

This condition could be interpreted as a kind of resonance condition guaranteeing that scaled up sizes for space-time sheets have sizes given by p-adic length scales. The numbers  $n_F$  could take the same role in the evolution of Planck constants assignable with the phase resolution as Mersenne primes have in the evolution assignable to the p-adic length scale resolution.

#### 2. Do the values of gravitational Planck constant correspond to polygons obtained by ruler and compass construction?

Since the macroscopic quantum phases with minimum dimension of algebraic extension should be especially abundant in the universe, the natural guess is that the values of the gravitational Planck constant correspond to  $n_F$ -multiples of ordinary Planck constant.

1. The model can explain the enormous values of gravitational Planck constant  $\hbar_{gr}/\hbar_0 \simeq GMm/v_0 = n_a/n_b$ . The favored values of this parameter should correspond to  $n_{F_a}/n_{F_b}$  so that the mass ratios  $m_1/m_2 = n_{F_{a,1}}n_{F_{b,2}}/n_{F_{b,1}}n_{F_{a,2}}$  for planetary masses should be preferred. The general prediction  $GMm/v_0 = n_a/n_b$  is of course not testable.



- Nottale [109] has suggested that also the harmonics and subharmonics of  $\lambda$  are possible and in fact required by the model for planetary Bohr orbits (in TGD framework this is not absolutely necessary). The prediction is that favored values of  $n$  should be of form  $n_F = 2^k \prod F_i$  such that  $F_i$  appears at most once. In Nottale's model for planetary orbits as Bohr orbits in solar system  $n = 5$  harmonics appear and are consistent with either  $n_{F,a} \rightarrow F_1 n_{F_a}$  or with  $n_{F,b} \rightarrow n_{F_b}/F_1$  if possible.

The prediction for the ratios of planetary masses can be tested. In the table below are the experimental mass ratios  $r_{exp} = m(pl)/m(E)$ , the best choice of  $r_R = [n_{F,a}/n_{F,b}] * X$ ,  $X$  common factor for all planets, and the ratios  $r_{pred}/r_{exp} = n_{F,a}(planet)n_{F,b}(Earth)/n_{F,a}(Earth)n_{F,b}(planet)$ . The deviations are at most 2 per cent.

<i>planet</i>	<i>Me</i>	<i>V</i>	<i>E</i>	<i>M</i>	<i>J</i>
<i>y</i>	$\frac{2^{13} \times 5}{17}$	$2^{11} \times 17$	$2^9 \times 5 \times 17$	$2^8 \times 17$	$\frac{2^{23} \times 5}{7}$
<i>y/x</i>	1.01	.98	1.00	.98	1.01
<i>planet</i>	<i>S</i>	<i>U</i>	<i>N</i>	<i>P</i>	
<i>y</i>	$2^{14} \times 3 \times 5 \times 17$	$\frac{2^{21} \times 5}{17}$	$\frac{2^{17} \times 17}{3}$	$\frac{2^4 \times 17}{3}$	
<i>y/x</i>	1.01	.98	.99	.99	

Table 1. The table compares the ratios  $x = m(pl)/(m(E))$  of planetary mass to the mass of Earth to prediction for these ratios in terms of integers  $n_F$  associated with Fermat polygons.  $y$  gives the best fit for the allowed factors of the known part  $y$  of the rational  $n_{F,a}/n_{F,b} = yX$  characterizing planet, and the ratios  $y/x$ . Errors are at most 2 per cent.

A stronger prediction comes from the requirement that  $GMm/v_0$  equals to  $n = n_{F_a}/n_{F_b}$   $n_F = 2^k \prod_k F_{n_k}$ , where  $F_i = 2^{2^i} + 1$ ,  $i = 0, 1, 2, 3, 4$  is Fibonacci prime. The fit using solar mass and Earth mass gives  $n_F = 2^{254} \times 5 \times 17$  for  $1/v_0 = 2044$ , which within the experimental accuracy equals to the value  $2^{11} = 2048$  whose powers appear as scaling factors of Planck constant in the model for living matter [37]. For  $v_0 = 4.6 \times 10^{-4}$  reported by Nottale the prediction is by a factor 16/17.01 too small (6 per cent discrepancy).

A possible solution of the discrepancy is that the empirical estimate for the factor  $GMm/v_0$  is too large since  $m$  contains also the the visible mass not actually contributing to the gravitational force between dark matter objects whereas  $M$  is known correctly. The assumption that the dark mass is a fraction  $1/(1 + \epsilon)$  of the total mass for Earth gives

$$1 + \epsilon = \frac{17}{16} \tag{14.5.15}$$

in an excellent approximation. This gives for the fraction of the visible matter the estimate  $\epsilon = 1/16 \simeq 6$  per cent. The estimate for the fraction of visible matter in cosmos is about 4 per cent so that estimate is reasonable and would mean that most of planetary and solar mass would be also dark (as a matter dark energy would be in question).

That  $v_0(eff) = v_0/(1 - \epsilon) \simeq 4.6 \times 10^{-4}$  equals with  $v_0(eff) = 1/(2^7 \times F_2) = 4.5956 \times 10^{-4}$  within the experimental accuracy suggests a number theoretical explanation for the visible-to-dark fraction.

3. *Can one really identify gravitational and inertial Planck constants?*

The original unconsciously performed identification of the gravitational and inertial Planck constants leads to some confusing conclusions but it seems that the new view about the quantization of Planck constants resolves these problems and allows to see  $\hbar_{gr}$  as a special case of  $\hbar_I$ .

- $\hbar_{gr}$  is proportional to the product of masses of interacting systems and not a universal constant like  $\hbar$ . One can however express the gravitational Bohr conditions as a quantization of circulation  $\oint v \cdot dl = n(GM/v_0)\hbar_0$  so that the dependence on the planet mass disappears as required by Equivalence Principle. This suggests that gravitational Bohr rules relate to velocity rather than inertial momentum as is indeed natural. The quantization of circulation is consistent with the basic prediction that space-time surfaces are analogous to Bohr orbits.

2.  $\hbar_{gr}$  seems to characterize a relationship between planet and central mass and quite generally between two systems with the property that smaller system is topologically condensed at the space-time sheet of the larger system. Thus it would seem that  $\hbar_{gr}$  is not a universal constant and cannot correspond to a special value of ordinary Planck constant. Certainly this would be the case if  $\hbar_I$  is quantized as  $\lambda^k$ -multiplet of ordinary Planck constant with  $\lambda \simeq 2^{11}$ .

The recent view about the quantization of Planck constant in terms of coverings of  $M^4$  seems to resolve these problems.

1. The integer quantization of Planck constants is consistent with the huge values of gravitational Planck constant within experimental resolution and the killer test for  $\hbar = \hbar_{gr}$  emerges if one takes seriously the stronger prediction  $\hbar_{gr} = n_{F,a}/n_{F,b}$ .
2. One can also regard  $\hbar_{gr}$  as ordinary Planck constant  $\hbar_{eff}$  associated with the space-time sheet along which the masses interact provided each pair  $(M, m_i)$  of masses is characterized by its own sheets. These sheets could correspond to flux tube like structures carrying the gravitational flux of dark matter. If these sheets corresponds to  $n_{F_a}$ -fold covering of  $M^4$ , one can understand  $\hbar_{gr}$  as a particular instance of the  $\hbar_{eff}$ .

### Quantization as a means of avoiding gravitational collapse

Schrödinger equation provided a solution to the infrared catastrophe of the classical model of atom: the classical prediction was that electron would radiate its energy as brehmstrahlung and would be captured by the nucleus. The gravitational variant of this process would be the capture of the planet by a black hole, and more generally, a collapse of the star to a black hole. Gravitational Schrödinger equation could obviously prevent the catastrophe.

For  $1/r$  gravitation potential the Bohr radius is given by  $a_{gr} = GM/v_0^2 = r_S/2v_0^2$ , where  $r_S = 2GM$  is the Schwarzschild radius of the mass creating the gravitational potential: obviously Bohr radius is much larger than the Schwarzschild radius. That the gravitational Bohr radius does not depend on  $m$  conforms with Equivalence Principle, and the proportionality  $\hbar_{gr} \propto Mm$  can be deduced from it. Gravitational Bohr radius is by a factor  $1/2v_0^2$  larger than black hole radius so that black hole can swallow the piece of matter with a considerable rate only if it is in the ground state and also in this state the rate is proportional to the black hole volume to the volume defined by the black hole radius given by  $2^3 v_0^6 \sim 10^{-20}$ .

The  $\hbar_{gr} \rightarrow \infty$  limit for  $1/r$  gravitational potential means that the exponential factor  $exp(-r/a_0)$  of the wave function becomes constant: on the other hand, also Schwarzschild and Bohr radii become infinite at this limit. The gravitational Compton length associated with mass  $m$  does not depend on  $m$  and is given by  $GM/v_0$  and the time  $T = E_{gr}/\hbar_{gr}$  defined by the gravitational binding energy is twice the time taken to travel a distance defined by the radius of the orbit with velocity  $v_0$  which suggests that signals travelling with a maximal velocity  $v_0$  are involved with the quantum dynamics.

In the case of planetary system the proportionality  $\hbar_{gr} \propto mM$  creates problems of principle since the influence of the other planets is not taken account. One might argue that the generalization of the formula should be such that  $M$  is determined by the gravitational field experienced by mass  $m$  and thus contains also the effect of other planets. The problem is that this field depends on the position of  $m$  which would mean that  $\hbar_{gr}$  itself would become kind of field quantity.

### Does the transition to non-perturbative phase correspond to a change in the value of $\hbar$ ?

Nature is populated by systems for which perturbative quantum theory does not work. Examples are atoms with  $Z_1 Z_2 e^2 / 4\pi\hbar > 1$  for which the binding energy becomes larger than rest mass, non-perturbative QCD resulting for  $Q_{s,1} Q_{s,2} g_s^2 / 4\pi\hbar > 1$ , and gravitational systems satisfying  $GM_1 M_2 / 4\pi\hbar > 1$ . Quite generally, the condition guaranteeing troubles is of the form  $Q_1 Q_2 g^2 / 4\pi\hbar > 1$ . There is no general mathematical approach for solving the quantum physics of these systems but it is believed that a phase transition to a new phase of some kind occurs.

The gravitational Schrödinger equation forces to ask whether Nature herself takes care of the problem so that this phase transition would involve a change of the value of the Planck constant to guarantee that the perturbative approach works. The values of  $\hbar$  would vary in a stepwise manner

from  $\hbar(\infty)$  to  $\hbar(3) = \hbar(\infty)/4$ . The non-perturbative phase transition would correspond to transition to the value of

$$\frac{\hbar}{\hbar_0} \rightarrow \left[ \frac{Q_1 Q_2 g^2}{v} \right] \quad (14.5.16)$$

where  $[x]$  is the integer nearest to  $x$ , inducing

$$\frac{Q_1 Q_2 g^2}{4\pi\hbar} \rightarrow \frac{v}{4\pi} . \quad (14.5.17)$$

The simplest (and of course ad hoc) assumption making sense in TGD Universe is that  $v$  is a harmonic or subharmonic of  $v_0$  appearing in the gravitational Schrödinger equation. For instance, for the Kepler problem the spectrum of binding energies would be universal (independent of the values of charges) and given by  $E_n = v^2 m / 2n^2$  with  $v$  playing the role of small coupling. Bohr radius would be  $g^2 Q_2 / v^2$  for  $Q_2 \gg Q_1$ .

This provides a new insight to the problems encountered in quantizing gravity. QED started from the model of atom solving the infrared catastrophe. In quantum gravity theories one has started directly from the quantum field theory level and the recent decline of the M-theory shows that we are still practically where we started. If the gravitational Schrödinger equation indeed allows quantum interpretation, one could be more modest and start from the solution of the gravitational IR catastrophe by assuming a dynamical spectrum of  $\hbar$  determined by Beraha numbers. The implications would be profound: the whole program of quantum gravity would have been misled as far as the quantization of systems with  $GM_1 M_2 / \hbar > 1$  is considered. In practice, these systems are the most interesting ones and the prejudice that their quantization is a mere academic exercise would have been completely wrong.

An alternative formulation for the occurrence of a transition increasing the value of  $\hbar$  could rely on the requirement that classical bound states have reasonable quantum counterparts. In the gravitational case one would have  $r_n = n^2 \hbar_{gr}^2 / GM_1^2 M$ , for  $M_1 \ll M$ , which is extremely small distance for  $\hbar_{gr} = \hbar$  and reasonable values of  $n$ . Hence, either  $n$  is so large that the system is classical or  $\hbar_{gr} / \hbar$  is very large. Equivalence Principle requires the independence of  $r_n$  on  $M_1$ , which gives  $\hbar = kGM_1 M_2$  giving  $r_n = n^2 kGM$ . The requirement that the radius is above Schwarzschild radius gives  $k \geq 2$ . In the case of Dirac equation the solutions cease to exist for  $Z \geq 137$  and which suggests that  $\hbar$  is large for hypothetical atoms having  $Z \geq 137$ .

### 14.5.8 How do the magnetic flux tube structures and quantum gravitational bound states relate?

In the case of stars in galactic halo the appearance of the parameter  $v_0$  characterizing cosmic strings as orbital rotation velocity can be understood classically. That  $v_0$  appears also in the gravitational dynamics of planetary orbits could relate to the dark matter at magnetic flux tubes. The argument explaining the harmonics and sub-harmonics of  $v_0$  in terms of properties of cosmic strings and magnetic flux tubes identifiable as their descendants strengthens this expectation.

#### The notion of magnetic body

In TGD inspired theory of consciousness the notion of magnetic body plays a key role: magnetic body is the ultimate intentional agent, experiencer, and performer of bio-control and can have astrophysical size: this does not sound so counter-intuitive if one takes seriously the idea that cognition has p-adic space-time sheets as space-time correlates and that rational points are common to real and p-adic number fields. The point is that infinitesimal in p-adic topology corresponds to infinite in real sense so that cognitive and intentional structures would have literally infinite size.

The magnetic flux tubes carrying various supra phases can be interpreted as special instance of dark energy and dark matter. This suggests a correlation between gravitational self-organization and quantum phases at the magnetic flux tubes and that the gravitational Schrödinger equation somehow relates to the ordinary Schrödinger equation satisfied by the macroscopic quantum phases at magnetic flux tubes. Interestingly, the transition to large Planck constant phase should occur when the masses

of interacting is above Planck mass since gravitational self-interaction energy is  $V \sim GM^2/R$ . For the density of water about  $10^3 \text{ kg/m}^3$  the volume carrying a Planck mass correspond to a cube with side  $2.8 \times 10^{-4}$  meters. This corresponds to a volume of a large neuron, which suggests that this phase transition might play an important role in neuronal dynamics.

### Could gravitational Schrödinger equation relate to a quantum control at magnetic flux tubes?

An infinite self hierarchy is the basic prediction of TGD inspired theory of consciousness ("everything is conscious and consciousness can be only lost"). Topological quantization allows to assign to any material system a field body as the topologically quantized field pattern created by the system [43, 39]. This field body can have an astrophysical size and would utilize the material body as a sensory receptor and motor instrument.

Magnetic flux tube and flux wall structures are natural candidates for the field bodies. Various empirical inputs have led to the hypothesis that the magnetic flux tube structures define a hierarchy of magnetic bodies, and that even Earth and larger astrophysical systems possess magnetic body which makes them conscious self-organizing living systems. In particular, life at Earth would have developed first as a self-organization of the super-conducting dark matter at magnetic flux tubes [43].

For instance, EEG frequencies corresponds to wavelengths of order Earth size scale and the strange findings of Libet about time delays of conscious experience [74, 75] find an elegant explanation in terms of time taken for signals propagate from brain to the magnetic body [39]. Cyclotron frequencies, various cavity frequencies, and the frequencies associated with various p-adic frequency scales are in a key role in the model of bio-control performed by the magnetic body. The cyclotron frequency scale is given by  $f = eB/m$  and rather low as are also cavity frequencies such as Schumann frequencies: the lowest Schumann frequency is in a good approximation given by  $f = 1/2\pi R$  for Earth and equals to 7.8 Hz.

#### 1. Quantum time scales as "bio-rhythms" in solar system?

To get some idea about the possible connection of the quantum control possibly performed by the dark matter with gravitational Schrödinger equation, it is useful to look for the values of the periods defined by the gravitational binding energies of test particles in the fields of Sun and Earth and look whether they correspond to some natural time scales. For instance, the period  $T = 2GM_S n^2/v_0^3$  defined by the energy of  $n^{\text{th}}$  planetary orbit depends only on the mass of Sun and defines thus an ideal candidate for a universal "bio-rhythm".

For Sun black hole radius is about 2.9 km. The period defined by the binding energy of lowest state in the gravitational field of Sun is given  $T_S = 2GM_S/v_0^3$  and equals to 23.979 hours for  $v_0/c = 4.8233 \times 10^{-4}$ . Within experimental limits for  $v_0/c$  the prediction is consistent with 24 hours! The value of  $v_0$  corresponding to exactly 24 hours would be  $v_0 = 144.6578 \text{ km/s}$  (as a matter fact, the rotational period of Earth is 23.9345 hours). As if as the frequency defined by the lowest energy state would define a "biological" clock at Earth! Mars is now a strong candidate for a seat of life and the day in Mars lasts 24hr 37m 23s!  $n = 1$  and  $n = 2$  are orbitals are not realized in solar system as planets but there is evidence for the  $n = 1$  orbital as being realized as a peak in the density of IR-dust [109]. One can of course consider the possibility that these levels are populated by small dark matter planets with matter at larger space-time sheets. Bet as it may, the result supports the notion of quantum gravitational entrainment in the solar system.

The slower rhythms would become as  $n^2$  sub-harmonics of this time scale. Earth itself corresponds to  $n = 5$  state and to a rhythm of .96 hours: perhaps the choice of 1 hour to serve as a fundamental time unit is not merely accidental. The magnetic field with a typical ionic cyclotron frequency around 24 hours would be very weak: for 10 Hz cyclotron frequency in Earth's magnetic field the field strength would about  $10^{-11} \text{ T}$ . However,  $T = 24$  hours corresponds with 6 per cent accuracy to the p-adic time scale  $T(k = 280) = 2^{13}T(2, 127)$ , where  $T(2, 127)$  corresponds to the secondary p-adic time scale of .1 s associated with the Mersenne prime  $M_{127} = 2^{127} - 1$  characterizing electron and defining a fundamental bio-rhythm and the duration of memetic codon [12].

Comorosan effect [73, 72, 34] demonstrates rather peculiar looking facts about the interaction of organic molecules with visible laser light at wavelength  $\lambda = 546 \text{ nm}$ . As a result of irradiation molecules seem to undergo a transition  $S \rightarrow S^*$ .  $S^*$  state has anomalously long lifetime and stability in solution.  $S \rightarrow S^*$  transition has been detected through the interaction of  $S^*$  molecules with

different biological macromolecules, like enzymes and cellular receptors. Later Comorosan found that the effect occurs also in non-living matter. The basic time scale is  $\tau = 5$  seconds. p-Adic length scale hypothesis does not explain  $\tau$ , and it does not correspond to any obvious astrophysical time scale and has remained a mystery.

The idea about astro-quantal dark matter as a fundamental bio-controller inspires the guess that  $\tau$  could correspond to some Bohr radius  $R$  for a solar system via the correspondence  $\tau = R/c$ . As observed by Nottale,  $n = 1$  orbit for  $v_0 \rightarrow 3v_0$  corresponds in a good approximation to the solar radius and to  $\tau = 2.18$  seconds. For  $v_0 \rightarrow 2v_0$   $n = 1$  orbit corresponds to  $\tau = AU/(4 \times 25) = 4.992$  seconds: here  $R = AU$  is the astronomical unit equal to the average distance of Earth from Sun. The deviation from  $\tau_C$  is only one per cent and of the same order of magnitude as the variation of the radius for the orbit due to orbital eccentricity  $(a - b)/a = .0167$  [64].

### 2. Earth-Moon system

For Earth serving as the central mass the Bohr radius is about 18.7 km, much smaller than Earth radius so that Moon would correspond to  $n = 147.47$  for  $v_0$  and  $n = 1.02$  for the sub-harmonic  $v_0/12$  of  $v_0$ . For an aficionado of cosmic jokes or a numerologist the presence of the number of months in this formula might be of some interest. Those knowing that the Mayan calendar had 11 months and that Moon is receding from Earth might rush to check whether a transition from  $v/11$  to  $v/12$  state has occurred after the Mayan culture ceased to exist: the increase of the orbital radius by about 3 per cent would be required! Returning to a more serious mode, an interesting question is whether light satellites of Earth consisting of dark matter at larger space-time sheets could be present. For instance, in [43] I have discussed the possibility that the larger space-time sheets of Earth could carry some kind of intelligent life crucial for the bio-control in the Earth's length scale.

The period corresponding to the lowest energy state is from the ratio of the masses of Earth and Sun given by  $M_E/M_S = (5.974/1.989) \times 10^{-6}$  given by  $T_E = (M_E/M_S) \times T_S = .2595$  s. The corresponding frequency  $f_E = 3.8535$  Hz frequency is at the lower end of the theta band in EEG and is by 10 per cent higher than the p-adic frequency  $f(251) = 3.5355$  Hz associated with the p-adic prime  $p \simeq 2^k$ ,  $k = 251$ . The corresponding wavelength is 2.02 times Earth's circumference. Note that the cyclotron frequencies of Nn, Fe, Co, Ni, and Cu are 5.5, 5.0, 5.2, 4.8 Hz in the magnetic field of  $.5 \times 10^{-4}$  Tesla, which is the nominal value of the Earth's magnetic field. In [40] I have proposed that the cyclotron frequencies of Fe and Co could define biological rhythms important for brain functioning. For  $v_0/12$  associated with Moon orbit the period would be 7.47 s: I do not know whether this corresponds to some bio-rhythm.

It is better to leave for the reader to decide whether these findings support the idea that the superconducting cold dark matter at the magnetic flux tubes could perform bio-control and whether the gravitational quantum states and ordinary quantum states associated with the magnetic flux tubes couple to each other and are synchronized.

### 14.5.9 p-Adic length scale hypothesis and $v_0 \rightarrow v_0/5$ transition at inner-outer border for planetary system

$v_0 \rightarrow v_0/5$  transition would allow to interpret the orbits of outer planets as  $n \geq 1$  orbits. The obvious question is whether inner to outer zone as  $v_0 \rightarrow v_0/5$  transition could be interpreted in terms of the p-adic length scale hierarchy.

1. The most important p-adic length scale are given by primary p-adic length scales  $L(k) = 2^{(k-151)/2} \times 10$  nm and secondary p-adic length scales  $L(2, k) = 2^{k-151} \times 10$  nm,  $k$  prime.
2. The p-adic scale  $L(2, 139) = 114$  Mkm is slightly above the orbital radius 109.4 Mkm of Venus. The p-adic length scale  $L(2, 137) \simeq 28.5$  Mkm is roughly one half of Mercury's orbital radius 57.9 Mkm. Thus strong form of p-adic length scale hypothesis could explain why the transition  $v_0 \rightarrow v_0/5$  occurs in the region between Venus and Earth ( $n = 5$  orbit for  $v_0$  layer and  $n = 1$  orbit for  $v_0/5$  layer).
3. Interestingly, the *primary* p-adic length scales  $L(137)$  and  $L(139)$  correspond to fundamental atomic length scales which suggests that solar system be seen as a fractally scaled up "secondary" version of atomic system.

4. Planetary radii have been fitted also using Titius-Bode law predicting  $r(n) = r_0 + r_1 \times 2^n$ . Hence one can ask whether planets are in one-one correspondence with primary and secondary p-adic length scales  $L(k)$ . For the orbital radii 58, 110, 150, 228 Mkm of Mercury, Venus, Earth, and Mars indeed correspond approximately to  $k = 276, 278, 279, 281$ : note the special position of Earth with respect to its predecessor. For Jupiter, Saturn, Uranus, Neptune, and Pluto the radii are 52,95,191,301,395 Mkm and would correspond to p-adic length scales  $L(280 + 2n)$ ,  $n = 0, \dots, 3$ . Obviously the transition  $v_0 \rightarrow v_0/5$  could occur in order to make the planet-p-adic length scale one-one correspondence possible.
5. It is interesting to look whether the p-adic length scale hierarchy applies also to the solar structure. In a good approximation solar radius .696 Mkm corresponds to  $L(270)$ , the lower radius .496 Mkm of the convective zone corresponds to  $L(269)$ , and the lower radius .174 Mkm of the radiative zone (radius of the solar core) corresponds to  $L(266)$ . This encourages the hypothesis that solar core has an onion like sub-structure corresponding to various p-adic length scales. In particular,  $L(2, 127)$  ( $L(127)$  corresponds to electron) would correspond to 28 Mm. The core is believed to contain a structure with radius of about 10 km: this would correspond to  $L(231)$ . This picture would suggest universality of star structure in the sense that stars would differ basically by the number of the onion like shells having standard sizes.

Quite generally, in TGD Universe the formation of join along boundaries bonds is the space-time correlate for the formation of bound states. This encourages to think that ( $Z^0$ ) magnetic flux tubes are involved with the formation of gravitational bound states and that for  $v_0 \rightarrow v_0/k$  corresponds either to a splitting of a flux tube resembling a disk with a whole to  $k$  pieces, or to the scaling down  $B \rightarrow B/k^2$  so that the magnetic energy for the flux tube thickened and stretched by the same factor  $k^2$  would not change.

#### 14.5.10 About the interpretation of the parameter $v_0$

The formula for the gravitational Planck constant contains the parameter  $v_0/c = 2^{-11}$ . This velocity defines the rotation velocities of distant stars around galaxies. The presence of a parameter with dimensions of velocity should carry some important information about the geometry of dark matter space-time sheets.

Velocity like parameters appear also in other contexts. There is evidence for the Tiff's quantization of cosmic redshifts in multiples of  $v_0/c = 2.68 \times 10^{-5}/3$ : also other units of quantization have been proposed but they are multiples of  $v_0$  [61].

The strange behavior of graphene includes high conductivity with conduction electrons behaving like massless particles with light velocity replaced with  $v_0/c = 1/300$ . The TGD inspired model [37] explains the high conductivity as being due to the Planck constant  $\hbar(M^4) = 6\hbar_0$  increasing the delocalization length scale of electron pairs associated with hexagonal rings of mono-atomic graphene layer by a factor 6 and thus making possible overlap of electron orbitals. This explains also the anomalous conductivity of DNA containing 5- and 6-cycles [37].

#### Is dark matter warped?

The reduced light velocity could be due to the warping of the space-time sheet associated with dark electrons. TGD predicts besides gravitational red-shift a non-gravitational red-shift due to the warping of space-time sheets possible because space-time is 4-surface rather than abstract 4-manifold. A simple example of everyday life is the warping of a paper sheet: it bends but is not stretched, which means that the induced metric remains flat although one of its component scales (distance becomes longer along direction of bending). For instance, empty Minkowski space represented canonically as a surface of  $M^4 \times CP_2$  with constant  $CP_2$  coordinates can become periodically warped in time direction because of the bending in  $CP_2$  direction. As a consequence, the distance in time direction shortens and effective light-velocity decreases when determined from the comparison of the time taken for signal to propagate from A to B along warped space-time sheet with propagation time along a non-warped space-time sheet.

The simplest warped imbedding defined by the map  $M^4 \rightarrow S^1, S^1$  a geodesic circle of  $CP_2$ . Let the angle coordinate of  $S^1$  depend linearly on time:  $\Phi = \omega t$ .  $g_{tt}$  component of metric becomes  $1 - R^2\omega^2$  so that the light velocity is reduced to  $v_0/c = \sqrt{1 - R^2\omega^2}$ . No gravitational field is present.

The fact that  $M^4$  Planck constant  $n_a \hbar_0$  defines the scaling factor  $n_a^2$  of  $CP_2$  metric could explain why dark matter resides around strongly warped imbeddings of  $M^4$ . The quantization of the scaling factor of  $CP_2$  by  $R^2 \rightarrow n_a^2 R^2$  implies that the initial small warping in the time direction given by  $g_{tt} = 1 - \epsilon$ ,  $\epsilon = R^2 \omega^2$ , will be amplified to  $g_{tt} = 1 - n_a^2 \epsilon$  if  $\omega$  is not affected in the transition to dark matter phase.  $n_a = 6$  in the case of graphene would give  $1 - x \simeq 1 - 1/36$  so that only a one per cent reduction of light velocity is enough to explain the strong reduction of light velocity for dark matter.

#### Is $c/v_0$ quantized in terms of ruler and compass rationals?

The known cases suggests that  $c/v_0$  is always a rational number expressible as a ratio of integers associated with n-polygons constructible using only ruler and compass.

1.  $c/v_0 = 300$  would explain graphene. The nearest rational satisfying the ruler and compass constraint would be  $q = 5 \times 2^{10}/17 \simeq 301.18$ .
2. If dark matter space-time sheets are warped with  $c_0/v = 2^{11}$  one can understand Nottale's quantization for the radii of the inner planets. For dark matter space-time sheets associated with outer planets one would have  $c/v_0 = 5 \times 2^{11}$ .
3. If Tift's red-shifts relate to the warping of dark matter space-time sheets, warping would correspond to  $v_0/c = 2.68 \times 10^{-5}/3$ .  $c/v_0 = 2^5 \times 17 \times 257/5$  holds true with an error smaller than .1 per cent.

#### Tift's quantization and cosmic quantum coherence

An explanation for Tift's quantization in terms of Jones inclusions could be that the subgroup  $G$  of Lorentz group defining the inclusion consists of boosts defined by multiples  $\eta = n\eta_0$  of the hyperbolic angle  $\eta_0 \simeq v_0/c$ . This would give  $v/c = \sinh(n\eta_0) \simeq nv_0/c$ . Thus the dark matter systems around which visible matter is condensed would be exact copies of each other in cosmic length scales since  $G$  would be an exact symmetry. The property of being an exact copy applies of course only in single level in the dark matter hierarchy. This would mean a delocalization of elementary particles in cosmological length scales made possible by the huge values of Planck constant. A precise cosmic analog for the delocalization of electron pairs in benzene ring would be in question.

Why then  $\eta_0$  should be quantized as ruler and compass rationals? In the case of Planck constants the quantum phases  $q = \exp(im\pi/n_F)$  are number theoretically simple for  $n_F$  a ruler and compass integer. If the boost  $\exp(\eta)$  is represented as a unitary phase  $\exp(im\eta)$  at the level of discretely delocalized dark matter wave functions, the quantization  $\eta_0 = n/n_F$  would give rise to number theoretically simple phases. Note that this quantization is more general than  $\eta_0 = n_{F,1}/n_{F,2}$ .





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## Chapter 15

# Overall View About TGD from Particle Physics Perspective

### 15.1 Introduction

Topological Geometroynamics is able to make rather precise and often testable predictions. In this and two other articles I want to describe the recent over all view about the aspects of quantum TGD relevant for particle physics.

During these 32 years TGD has become quite an extensive theory involving also applications to quantum biology and quantum consciousness theory. Therefore it is difficult to decide in which order to proceed. Should one represent first the purely mathematical theory as done in the articles in Prespacetime Journal [34]? Or should one start from the TGD inspired heuristic view about space-time and particle physics and represent the vision about construction of quantum TGD briefly after that? In this and other two chapters I have chosen the latter approach since the emphasis is on the applications on particle physics.

Second problem is to decide about how much material one should cover. If the representation is too brief no-one understands and if it is too detailed no-one bothers to read. I do not know whether the outcome was a success or whether there is any way to success but in any case I have been sweating a lot in trying to decide what would be the optimum dose of details.

In the first chapter I concentrate the heuristic picture about TGD with emphasis on particle physics.

- First I represent briefly the basic ontology: the motivations for TGD and the notion of many-sheeted space-time, the concept of zero energy ontology, the identification of dark matter in terms of hierarchy of Planck constant which now seems to follow as a prediction of quantum TGD, the motivations for p-adic physics and its basic implications, and the identification of space-time surfaces as generalized Feynman diagrams and the basic implications of this identification.
- Symmetries of quantum TGD are discussed. Besides the basic symmetries of the imbedding space geometry allowing to geometrize standard model quantum numbers and classical fields there are many other symmetries. General Coordinate Invariance is especially powerful in TGD framework allowing to realize quantum classical correspondence and implies effective 2-dimensionality realizing strong form of holography. Super-conformal symmetries of super string models generalize to conformal symmetries of 3-D light-like 3-surfaces and one can understand the generalization of Equivalence Principle in terms of coset representations for the two super Virasoro algebras associated with lightlike boundaries of so called causal diamonds defined as intersections of future and past directed lightcones (*CDs*) and with light-like 3-surfaces. Super-conformal symmetries imply generalization of the space-time supersymmetry in TGD framework consistent with the supersymmetries of minimal supersymmetric variant of the standard model. Twistorial approach to gauge theories has gradually become part of quantum TGD and the natural generalization of the Yangian symmetry identified originally as symmetry of  $\mathcal{N} = 4$  SYMs is postulated as basic symmetry of quantum TGD.

- The so called weak form of electric-magnetic duality has turned out to have extremely far reaching consequences and is responsible for the recent progress in the understanding of the physics predicted by TGD. The duality leads to a detailed identification of elementary particles as composite objects of massless particles and predicts new electro-weak physics at LHC. Together with a simple postulate about the properties of preferred extremals of Kähler action the duality allows also to realized quantum TGD as almost topological quantum field theory giving excellent hopes about integrability of quantum TGD.
- There are two basic visions about the construction of quantum TGD. Physics as infinite-dimensional Kähler geometry of world of classical worlds (WCW) endowed with spinor structure and physics as generalized number theory. These visions are briefly summarized as also the practical constructing involving the concept of Dirac operator. As a matter fact, the construction of TGD involves three Dirac operators. The Kähler Dirac equation holds true in the interior of space-time surface and its solutions have a natural interpretation in terms of description of matter, in particular condensed matter. Chern-Simons Dirac action is associated with the light-like 3-surfaces and space-like 3-surfaces at ends of space-time surface at light-like boundaries of  $CD$ . One can assign to it a generalized eigenvalue equation and the matrix valued eigenvalues correspond to the the action of Dirac operator on momentum eigenstates. Momenta are however not usual momenta but pseudo-momenta very much analogous to region momenta of twistor approach. The third Dirac operator is associated with super Virasoro generators and super Virasoro conditions define Dirac equation in WCW. These conditions characterize zero energy states as modes of WCW spinor fields and code for the generalization of  $S$ -matrix to a collection of what I call  $M$ -matrices defining the rows of unitary  $U$ -matrix defining unitary process.
- Twistor approach has inspired several ideas in quantum TGD during the last years and it seems that the Yangian symmetry and the construction of scattering amplitudes in terms of Grassmannian integrals generalizes to TGD framework. This is due to ZEO allowing to assume that all particles have massless fermions as basic building blocks. ZEO inspires the hypothesis that incoming and outgoing particles are bound states of fundamental fermions associated with wormhole throats. Virtual particles would also consist of on mass shell massless particles but without bound state constraint. This implies very powerful constraints on loop diagrams and there are excellent hopes about their finiteness. Twistor approach also inspires the conjecture that quantum TGD allows also formulation in terms of 6-dimensional holomorphic surfaces in the product  $CP_3 \times CP_3$  of two twistor spaces and general arguments allow to identify the partial differential equations satisfied by these surfaces.

The discussion of this chapter is rather sketchy and the reader interesting in details can consult the books about TGD [1, 2, 3, 5, 6, 4, 27].

## 15.2 Some aspects of quantum TGD

In the following I summarize very briefly those basic notions of TGD which are especially relevant for the applications to particle physics. The representation will be practically formula free. The article series published in *Prespacetime Journal* [34] describes the mathematical theory behind TGD. The seven books about TGD [1, 2, 3, 4, 6, 5, 7] provide a detailed summary about the recent state of TGD.

### 15.2.1 New space-time concept

The physical motivation for TGD was what I have christened the energy problem of General Relativity. The notion of energy is ill-defined because the basic symmetries of empty space-time are lost in the presence of gravity. The way out is based on assumption that space-times are imbeddable as 4-surfaces to certain 8-dimensional space by replacing the points of 4-D empty Minkowski space with 4-D very small internal space. This space -call it  $S$ - is unique from the requirement that the theory has the symmetries of standard model:  $S = CP_2$ , where  $CP_2$  is complex projective space with 4 real dimensions [56], is the unique choice.



The replacement of the abstract manifold geometry of general relativity with the geometry of surfaces brings the shape of surface as seen from the perspective of 8-D space-time and this means additional degrees of freedom giving excellent hopes of realizing the dream of Einstein about geometrization of fundamental interactions.

The work with the generic solutions of the field equations assignable to almost any general coordinate invariant variational principle led soon to the realization that the space-time in this framework is much more richer than in general relativity.

1. Space-time decomposes into space-time sheets with finite size: this led to the identification of physical objects that we perceive around us as space-time sheets. For instance, the outer boundary of the table is where that particular space-time sheet ends. Besides sheets also string like objects and elementary particle like objects appear so that TGD can be regarded also as a generalization of string models obtained by replacing strings with 3-D surfaces.
2. Elementary particles are identified as topological inhomogeneities glued to these space-time sheets. In this conceptual framework material structures and shapes are not due to some mysterious substance in slightly curved space-time but reduce to space-time topology just as energy-momentum currents reduce to space-time curvature in general relativity.
3. Also the view about classical fields changes. One can assign to each material system a field identity since electromagnetic and other fields decompose to topological field quanta. Examples are magnetic and electric flux tubes and flux sheets and topological light rays representing light propagating along tube like structure without dispersion and dissipation making it an ideal tool for communications [48]. One can speak about field body or magnetic body of the system.

Field body indeed becomes the key notion distinguishing TGD inspired model of quantum biology from competitors but having applications also in particle physics since also leptons and quarks possess field bodies. There is evidence for the Lamb shift anomaly of muonic hydrogen [72] and the color magnetic body of  $u$  quark whose size is somewhat larger than the Bohr radius could explain the anomaly [26].

### 15.2.2 Zero energy ontology

In standard ontology of quantum physics physical states are assumed to have positive energy. In zero energy ontology physical states decompose to pairs of positive and negative energy states such that all net values of the conserved quantum numbers vanish. The interpretation of these states in ordinary ontology would be as transitions between initial and final states, physical events. By quantum classical correspondences zero energy states must have space-time and imbedding space correlates.

1. Positive and negative energy parts reside at future and past light-like boundaries of causal diamond ( $CD$ ) defined as intersection of future and past directed light-cones and visualizable as double cone. The analog of  $CD$  in cosmology is big bang followed by big crunch.  $CD$ s for a fractal hierarchy containing  $CD$ s within  $CD$ s. Disjoint  $CD$ s are possible and  $CD$ s can also intersect.
2.  $p$ -Adic length scale hypothesis [20] motivates the hypothesis that the temporal distances between the tips of the intersecting light-cones come as octaves  $T = 2^n T_0$  of a fundamental time scale  $T_0$  defined by  $CP_2$  size  $R$  as  $T_0 = R/c$ . One prediction is that in the case of electron this time scale is .1 seconds defining the fundamental biorhythm. Also in the case  $u$  and  $d$  quarks the time scales correspond to biologically important time scales given by 10 ms for  $u$  quark and by 2.5 ms for  $d$  quark [45]. This means a direct coupling between microscopic and macroscopic scales.

Zero energy ontology conforms with the crossing symmetry of quantum field theories meaning that the final states of the quantum scattering event are effectively negative energy states. As long as one can restrict the consideration to either positive or negative energy part of the state ZEO is consistent with positive energy ontology. This is the case when the observer characterized by a particular  $CD$  studies the physics in the time scale of much larger  $CD$  containing observer's  $CD$  as a sub- $CD$ . When the time scale sub- $CD$  of the studied system is much shorter than the time scale of

sub- $CD$  characterizing the observer, the interpretation of states associated with sub- $CD$  is in terms of quantum fluctuations.

ZEO solves the problem which results in any theory assuming symmetries giving rise to conservation laws. The problem is that the theory itself is not able to characterize the values of conserved quantum numbers of the initial state. In ZEO this problem disappears since in principle any zero energy state is obtained from any other state by a sequence of quantum jumps without breaking of conservation laws. The fact that energy is not conserved in general relativity based cosmologies can be also understood since each  $CD$  is characterized by its own conserved quantities. As a matter fact, one must be speak about average values of conserved quantities since one can have a quantum superposition of zero energy states with the quantum numbers of the positive energy part varying over some range.

For thermodynamical states this is indeed the case and this leads to the idea that quantum theory in ZEO can be regarded as a "complex square root" of thermodynamics obtained as a product of positive diagonal square root of density matrix and unitary  $S$ -matrix.  $M$ -matrix defines time-like entanglement coefficients between positive and negative energy parts of the zero energy state and replaces  $S$ -matrix as the fundamental observable. In standard quantum measurement theory this time-like entanglement would be reduced in quantum measurement and regenerated in the next quantum jump if one accepts Negentropy Maximization Principle (NMP) [49] as the fundamental variational principle. Various  $M$ -matrices define the rows of the unitary  $U$  matrix characterizing the unitary process part of quantum jump. From the point of view of consciousness theory the importance of ZEO is that conservation laws in principle pose no restrictions for the new realities created in quantum jumps: free will is maximal.

### 15.2.3 The hierarchy of Planck constants

The motivations for the hierarchy of Planck constants come from both astrophysics and biology [44, 37]. In astrophysics the observation of Nottale [109] that planetary orbits in solar system seem to correspond to Bohr orbits with a gigantic gravitational Planck constant motivated the proposal that Planck constant might not be constant after all [30, 38].

This led to the introduction of the quantization of Planck constant as an independent postulate. It has however turned that quantized Planck constant in effective sense could emerge from the basic structure of TGD alone. Canonical momentum densities and time derivatives of the imbedding space coordinates are the field theory analogs of momenta and velocities in classical mechanics. The extreme non-linearity and vacuum degeneracy of Kähler action imply that the correspondence between canonical momentum densities and time derivatives of the imbedding space coordinates is 1-to-many: for vacuum extremals themselves 1-to-infinite.

A convenient technical manner to treat the situation is to replace imbedding space with its  $n$ -fold singular covering. Canonical momentum densities to which conserved quantities are proportional would be same at the sheets corresponding to different values of the time derivatives. At each sheet of the covering Planck constant is effectively  $\hbar = n\hbar_0$ . This splitting to multisheeted structure can be seen as a phase transition reducing the densities of various charges by factor  $1/n$  and making it possible to have perturbative phase at each sheet (gauge coupling strengths are proportional to  $1/\hbar$  and scaled down by  $1/n$ ). The connection with fractional quantum Hall effect [106] is almost obvious. At the more detailed level one finds that the spectrum of Planck constants would be given by  $\hbar = n_a n_b \hbar_0$  [35].

This has many profound implications, which are wellcome from the point of view of quantum biology but the implications would be profound also from particle physics perspective and one could say that living matter represents zoomed up version of quantum world at elementary particle length scales.

1. Quantum coherence and quantum superposition become possible in arbitrary long length scales. One can speak about zoomed up variants of elementary particles and zoomed up sizes make it possible to satisfy the overlap condition for quantum length parameters used as a criterion for the presence of macroscopic quantum phases. In the case of quantum gravitation the length scale involved are astrophysical. This would conform with Penrose's intuition that quantum gravity is fundamental for the understanding of consciousness and also with the idea that consciousness cannot be localized to brain.

2. Photons with given frequency can in principle have arbitrarily high energies by  $E = hf$  formula, and this would explain the strange anomalies associated with the interaction of ELF em fields with living matter [113]. Quite generally the cyclotron frequencies which correspond to energies much below the thermal energy for ordinary value of Planck constant could correspond to energies above thermal threshold.
3. The value of Planck constant is a natural characterizer of the evolutionary level and biological evolution would mean a gradual increase of the largest Planck constant in the hierarchy characterizing given quantum system. Evolutionary leaps would have interpretation as phase transitions increasing the maximal value of Planck constant for evolving species. The space-time correlate would be the increase of both the number and the size of the sheets of the covering associated with the system so that its complexity would increase.
4. The phase transitions changing Planck constant change also the length of the magnetic flux tubes. The natural conjecture is that biomolecules form a kind of Indra's net connected by the flux tubes and  $\hbar$  changing phase transitions are at the core of the quantum bio-dynamics. The contraction of the magnetic flux tube connecting distant biomolecules would force them near to each other making possible for the bio-catalysis to proceed. This mechanism could be central for DNA replication and other basic biological processes. Magnetic Indra's net could be also responsible for the coherence of gel phase and the phase transitions affecting flux tube lengths could induce the contractions and expansions of the intracellular gel phase. The reconnection of flux tubes would allow the restructuring of the signal pathways between biomolecules and other subsystems and would be also involved with ADP-ATP transformation inducing a transfer of negentropic entanglement [43]. The braiding of the magnetic flux tubes could make possible topological quantum computation like processes and analog of computer memory realized in terms of braiding patterns[34].
5. p-Adic length scale hypothesis and hierarchy of Planck constants suggest entire hierarchy of zoomed up copies of standard model physics with range of weak interactions and color forces scaling like  $\hbar$ . This is not conflict with the known physics for the simple reason that we know very little about dark matter (partly because we might be making misleading assumptions about its nature). One implication is that it might be someday to study zoomed up variants particle physics at low energies using dark matter.

Dark matter would make possible the large parity breaking effects manifested as chiral selection of bio-molecules [58]. What is required is that classical  $Z^0$  and  $W$  fields responsible for parity breaking effects are present in cellular length scale. If the value of Planck constant is so large that weak scale is some biological length scale, weak fields are effectively massless below this scale and large parity breaking effects become possible.

For the solutions of field equations which are almost vacuum extremals  $Z^0$  field is non-vanishing and proportional to electromagnetic field. The hypothesis that cell membrane corresponds to a space-time sheet near a vacuum extremal (this corresponds to criticality very natural if the cell membrane is to serve as an ideal sensory receptor) leads to a rather successful model for cell membrane as sensory receptor with lipids representing the pixels of sensory qualia chart. The surprising prediction is that bio-photons [112] and bundles of EEG photons can be identified as different decay products of dark photons with energies of visible photons. Also the peak frequencies of sensitivity for photoreceptors are predicted correctly [44].

#### 15.2.4 p-Adic physics and number theoretic universality

p-Adic physics [19, 4] has become gradually a key piece of TGD inspired biophysics. Basic quantitative predictions relate to p-adic length scale hypothesis and to the notion of number theoretic entropy. Basic ontological ideas are that life resides in the intersection of real and p-adic worlds and that p-adic space-time sheets serve as correlates for cognition and intentionality. Number theoretical universality requires the fusion of real physics and various p-adic physics to single coherent whole. On implication is the generalization of the notion of number obtained by fusing real and p-adic numbers to a larger structure.

### p-Adic number fields

p-Adic number fields  $Q_p$  [82] -one for each prime  $p$ - are analogous to reals in the sense that one can speak about p-adic continuum and that also p-adic numbers are obtained as completions of the field of rational numbers. One can say that rational numbers belong to the intersection of real and p-adic numbers. p-Adic number field  $Q_p$  allows also an infinite number of its algebraic extensions. Also transcendental extensions are possible. For reals the only extension is complex numbers.

p-Adic topology defining the notions of nearness and continuity differs dramatically from the real topology. An integer which is infinite as a real number can be completely well defined and finite as a p-adic number. In particular, powers  $p^n$  of prime  $p$  have p-adic norm (magnitude) equal to  $p^{-n}$  in  $Q_p$  so that at the limit of very large  $n$  real magnitude becomes infinite and p-adic magnitude vanishes.

p-Adic topology is rough since p-adic distance  $d(x, y) = d(x - y)$  depends on the lowest binary digit of  $x - y$  only and is analogous to the distance between real points when approximated by taking into account only the lowest digit in the decimal expansion of  $x - y$ . A possible interpretation is in terms of a finite measurement resolution and resolution of sensory perception. p-Adic topology looks somewhat strange. For instance, p-adic spherical surface is not infinitely thin but has a finite thickness and p-adic surfaces possess no boundary in the topological sense. Ultrametricity is the technical term characterizing the basic properties of p-adic topology and is coded by the inequality  $d(x - y) \leq \text{Min}\{d(x), d(y)\}$ . p-Adic topology brings in mind the decomposition of perceptive field to objects.

### Motivations for p-adic number fields

The physical motivations for p-adic physics came from the observation that p-adic thermodynamics -not for energy but infinitesimal scaling generator of so called super-conformal algebra [70] acting as symmetries of quantum TGD [2]- predicts elementary particle mass scales and also masses correctly under very general assumptions [4]. The calculations are discussed in more detail in the second article of the series. In particular, the ratio of proton mass to Planck mass, the basic mystery number of physics, is predicted correctly. The basic assumption is that the preferred primes characterizing the p-adic number fields involved are near powers of two:  $p \simeq 2^k$ ,  $k$  positive integer. Those nearest to power of two correspond to Mersenne primes  $M_n = 2^n - 1$ . One can also consider complex primes known as Gaussian primes, in particular Gaussian Mersennes  $M_{G,n} = (1 + i)^n - 1$ .

It turns out that Mersennes and Gaussian Mersennes are in a preferred position physically in TGD based world order. What is especially interesting that the length scale range 10 nm-2.5  $\mu$  assignable to DNA contains as many as 4 Gaussian Mersennes corresponding to  $n = 151, 157, 163, 167$  [44]. This number theoretical miracle supports the view that p-adic physics is especially important for the understanding of living matter.

The philosophical for p-adic numbers fields come from the question about the possible physical correlates of cognition and intention [42]. Cognition forms representations of the external world which have finite cognitive resolution and the decomposition of the perceptive field to objects is an essential element of these representations. Therefore p-adic space-time sheets could be seen as candidates of thought bubbles, the mind stuff of Descartes. One can also consider p-adic space-time sheets as correlates of intentions. The quantum jump in which p-adic space-time sheet is replaced with a real one could serve as a quantum correlate of intentional action. This process is forbidden by conservation laws in standard ontology: one cannot even compare real and p-adic variants of the conserved quantities like energy in the general case. In zero energy ontology the net values of conserved quantities for zero energy states vanish so that conservation laws allow these transitions.

Rational numbers belong to the intersection of real and p-adic continua. An obvious generalization of this statement applies to real manifolds and their p-adic variants. When extensions of p-adic numbers are allowed, also some algebraic numbers can belong to the intersection of p-adic and real worlds. The notion of intersection of real and p-adic worlds has actually two meanings.

1. The intersection could consist of the rational and possibly some algebraic points in the intersection of real and p-adic partonic 2-surfaces at the ends of  $CD$ . This set is in general discrete. The interpretation could be as discrete cognitive representations.
2. The intersection could also have a more abstract meaning. For instance, the surfaces defined by rational functions with rational coefficients have a well-defined meaning in both real and p-adic

context and could be interpreted as belonging to this intersection. There is strong temptation to assume that intentions are transformed to actions only in this intersection. One could say that life resides in the intersection of real and p-adic worlds in this abstract sense.

Additional support for the idea comes from the observation that Shannon entropy  $S = -\sum p_n \log(p_n)$  allows a p-adic generalization if the probabilities are rational numbers by replacing  $\log(p_n)$  with  $-\log(|p_n|_p)$ , where  $|x|_p$  is p-adic norm. Also algebraic numbers in some extension of p-adic numbers can be allowed. The unexpected property of the number theoretic Shannon entropy is that it can be negative and its unique minimum value as a function of the p-adic prime  $p$  it is always negative. Entropy transforms to information!

In the case of number theoretic entanglement entropy there is a natural interpretation for this. Number theoretic entanglement entropy would measure the information carried by the entanglement whereas ordinary entanglement entropy would characterize the uncertainty about the state of either entangled system. For instance, for  $p$  maximally entangled states both ordinary entanglement entropy and number theoretic entanglement negentropy are maximal with respect to  $R_p$  norm. Entanglement carries maximal information. The information would be about the relationship between the systems, a rule. Schrödinger cat would be dead enough to know that it is better to not open the bottle completely.

Negentropy Maximization Principle [49] coding the basic rules of quantum measurement theory implies that negentropic entanglement can be stable against the effects of quantum jumps unlike entropic entanglement. Therefore living matter could be distinguished from inanimate matter also by negentropic entanglement possible in the intersection of real and p-adic worlds. In consciousness theory negentropic entanglement could be seen as a correlate for the experience of understanding or any other positively colored experience, say love.

Negentropically entangled states are stable but binding energy and effective loss of relative translational degrees of freedom is not responsible for the stability. Therefore bound states are not in question. The distinction between negentropic and bound state entanglement could be compared to the difference between unhappy and happy marriage. The first one is a social jail but in the latter case both parties are free to leave but do not want to. The special characteristics of negentropic entanglement raise the question whether the problematic notion of high energy phosphate bond [111] central for metabolism could be understood in terms of negentropic entanglement. This would also allow an information theoretic interpretation of metabolism since the transfer of metabolic energy would mean a transfer of negentropy [43].

## 15.3 Symmetries of quantum TGD

Symmetry principles play key role in the construction of WCW geometry have become and deserve a separate explicit treatment even at the risk of repetitions.

### 15.3.1 General Coordinate Invariance

General coordinate invariance is certainly of the most important guidelines and is much more powerful in TGD framework than in GRT context.

1. General coordinate transformations as a gauge symmetry so that the diffeomorphic slices of space-time surface equivalent physically. 3-D light-like 3-surfaces defined by wormhole throats define preferred slices and allows to fix the gauge partially apart from the remaining 3-D variant of general coordinate invariance and possible gauge degeneracy related to the choice of the light-like 3-surface due to the Kac-Moody invariance. This would mean that the random light-likeness represents gauge degree of freedom except at the ends of the light-like 3-surfaces.
2. GCI can be strengthened so that the pairs of space-like ends of space-like 3-surfaces at  $CDs$  are equivalent with light-like 3-surfaces connecting them. The outcome is effective 2-dimensionality because their intersections at the boundaries of  $CDs$  must carry the physically relevant information.

### 15.3.2 Generalized conformal symmetries

One can assign Kac-Moody type conformal symmetries to light-like 3-surfaces as isometries of  $H$  localized with respect to light-like 3-surfaces. Kac Moody algebra essentially the Lie algebra of gauge group with central extension meaning that projective representation in which representation matrices are defined only modulo a phase factor. Kac-Moody symmetry is not quite a pure gauge symmetry.

One can assign a generalization of Kac-Moody symmetries to the boundaries of  $CD$  by replacing Lie-group of Kac Moody algebra with the group of symplectic (contact-) transformations [72] of  $H_+$  provided with a degenerate Kähler structure made possible by the effective 2-dimensionality of  $\delta M_+^4$ . The light-like radial coordinate of  $\delta M_+^4$  plays the role of the complex coordinate of conformal transformations or their hyper-complex analogs. These symmetries are also localized with respect to the internal coordinates of the partonic 2-surface so that rather huge symmetry group is in question. The basic hypothesis is that these transformations with possible some restrictions on the dependence on the coordinates of  $X^2$  define the isometries of  $WCW$ .

A further physically well-motivated hypothesis inspired by holography and extended GCI is that these symmetries extend so that they apply at the entire space-time sheet. This requires the slicing of space-time surface by partonic 2- surfaces and by stringy world sheets such that each point of stringy world sheet defines a partonic 2-surface and vice versa. This slicing has deep physical motivations since it realizes geometrically standard facts about gauge invariance (partonic 2-surface defines the space of physical polarizations and stringy space-time sheet corresponds to non-physical polarizations) and its existence is a hypothesis about the properties of the preferred extremals of Kähler action. There is a similar decomposition also at the level of  $CD$  and so called Hamilton-Jacobi coordinates for  $M_+^4$  [29] define this kind of slicings. This slicing can induced the slicing of the space-time sheet. The number theoretic vision gives a further justification for this hypothesis and also strengthens it by postulating the presence of the preferred time direction having interpretation in terms of real unit of octonions. In ZEO this time direction corresponds to the time-like vector connecting the tips of  $CD$ .

Conformal symmetries would provide the realization of  $WCW$  as a union of symmetric spaces. Symmetric spaces are coset spaces of form  $G/H$ . The natural identification of  $G$  and  $H$  is as groups of  $X^2$ -local symplectic transformations and local Kac-Moody group of  $X^2$ -local  $H$  isometries. Quantum fluctuating (metrically non-trivial) degrees of freedom would correspond to symplectic transformations of  $H_+$  and induced Kähler form at  $X^2$  would define a local representation for zero modes: not necessarily all of them.

### 15.3.3 Equivalence Principle and super-conformal symmetries

Equivalence Principle (EP) is a second corner stone of General Relativity and together with GCI leads to Einstein's equations. What EP states is that inertial and gravitational masses are identical. In this form it is not well-defined even in GRT since the definition of gravitational and inertial four-momenta is highly problematic because Noether theorem is not available. The realization is in terms of local equations identifying energy momentum tensor with Einstein tensor.

Whether EP is realized in TGD has been a longstanding open question[32]. The problem has been that at the classical level EP in its GRT form can hold true only in long enough length scales and it took long to time to realize that only the stringy form of this principle is required. The first question is how to identify the gravitational and inertial four-momenta. This is indeed possible. One can assign to the two types super-conformal symmetries assigned with light-like 3-surfaces and space-like 3-surfaces four-momenta to both. EP states that these four momenta are identical and is equivalent with the generalization of GCI and effective 2-dimensionality. The condition generalizes so that it applies to the generators of super-conformal algebras associated with the two super-conformal symmetries. This leads to a generalization of a standard mathematical construction of super-conformal theories known as coset representation [61]. What the construction states is that the differences of super-conformal generators defined by super-symmetric algebra and Kac-Moody algebra annihilate physical states.

### 15.3.4 Extension to super-conformal symmetries

The original idea behind the extension of conformal symmetries to super-conformal symmetries was the observation that isometry currents defining infinitesimal isometries of  $WCW$  have natural super-counterparts obtained by contracting the Killing vector fields with the complexified gamma matrices

of the imbedding space.

This vision has generalized considerably as the construction of WCW spinor structure in terms of modified Dirac action has developed. The basic philosophy behind this idea is that configuration space spinor structure must relate directly to the fermionic sector of quantum physics. In particular, modified gamma matrices should be expressible in terms of the fermionic oscillator operators associated with the second quantized induced spinor fields. The explicit realization of this program leads to an identification of rich spectrum of super-conformal symmetries and generalization of the ordinary notion of space-time supersymmetry. What happens that all fermionic oscillator operator generate broken super-symmetries whereas in SUSYs there is only finite number of them. One can however identify sub-algebra of super-conformal symmetries associated with right handed neutrino and this gives  $\mathcal{N} = 1$  super-symmetry [90] of SUSYs [18].

### 15.3.5 Space-time supersymmetry in TGD Universe

It has been clear from the beginning that the notion of super-conformal symmetry crucial for the successes of super-string models generalizes in TGD framework. The answer to the question whether space-time SUSY makes sense in TGD framework has not been obvious at all but it seems now that the answer is affirmative. The evolution of the ideas relevant for the formulation of SUSY in TGD framework is summarized in the chapters of [5]. The chapters devoted to the notion of bosonic emergence [21], to the SUSY QFT limit of TGD [18], to twistor approach to TGD [40], and to the generalization of Yangian symmetry of  $\mathcal{N} = 4$  SYM manifest in the Grassmannian twistor approach [97] to a multi-local variant of super-conformal symmetries [32] represent a gradual development of the ideas about how super-symmetric  $M$ -matrix could be constructed in TGD framework. A warning to the reader is in order. In their recent form these chapters do not represent the final outcome but just an evolution of ideas proceeding by trial and error. There are however good reasons to believe that the chapter about Yangian symmetry is nearest to the correct physical interpretation and mathematical formulation.

Contrary to the original expectations, TGD seems to allow a generalization of the space-time super-symmetry. This became clear with the increased understanding of the modified Dirac action [31, 18, 23]. The appearance of the momentum and color quantum numbers in the measurement interaction part of the modified Dirac action associated with the light-like wormhole throats [18] couples space-time degrees of freedom to quantum numbers and allows also to define SUSY algebra at fundamental level as anti-commutation relations of fermionic oscillator operators. Depending on the situation  $\mathcal{N} = 2N$  SUSY algebra (an inherent cutoff on the number of fermionic modes at light-like wormhole throat) or fermionic part of super-conformal algebra with infinite number of oscillator operators results. The addition of fermion in particular mode would define particular super-symmetry. This super-symmetry is badly broken due to the dynamics of the modified Dirac operator which also mixes  $M^4$  chiralities inducing massivation. Since right-handed neutrino has no electro-weak couplings the breaking of the corresponding super-symmetry should be weakest.

Zero energy ontology combined with the analog of the twistor approach to  $\mathcal{N} = 4$  SYMs and weak form of electric-magnetic duality has actually led to this kind of formulation [32]. What is new that also virtual particles have massless fermions as their building blocks. This implies manifest finiteness of loop integrals so that the situation simplifies dramatically. What is also new element that physical particles and also string like objects correspond to bound states of massless fermions.

The question is whether this SUSY has a realization as a SUSY algebra at space-time level and whether the QFT limit of TGD could be formulated as a generalization of SUSY QFT. There are several problems involved.

1. In TGD framework super-symmetry means addition of fermion to the state and since the number of spinor modes is larger states with large spin and fermion numbers are obtained. This picture does not fit to the standard view about super-symmetry. In particular, the identification of theta parameters as Majorana spinors and super-charges as Hermitian operators is not possible.
2. The belief that Majorana spinors are somehow an intrinsic aspect of super-symmetry is however only a belief. Weyl spinors meaning complex theta parameters are also possible. Theta parameters can also carry fermion number meaning only the supercharges carry fermion number and are non-hermitian. The general classification of super-symmetric theories indeed demonstrates

that for  $D = 8$  Weyl spinors and complex and non-hermitian super-charges are possible. The original motivation for Majorana spinors might come from MSSM assuming that right handed neutrino does not exist. This belief might have also led to string theories in  $D = 10$  and  $D = 11$  as the only possible candidates for TOE after it turned out that chiral anomalies cancel. It indeed turns out that TGD view about space-time SUSY is internally consistent. Even more, the separate conservation of quark and lepton number is essential for the internal consistency of this view [18].

3. The massivation of particles is the basic problem of both SUSYs and twistor approach. I have discussed several solutions to this problem [40, 32]. The simplest and most convincing solution of the problem is following and inspired by twistor Grassmannian approach to  $\mathcal{N} = 4$  SYM and the generalization of the Yangian symmetry of this theory. In zero energy ontology one can construct physical particles as bound states of massless particles associated with the opposite wormhole throats. If the particles have opposite 3-momenta the resulting state is automatically massive. In fact, this forces massivation of also spin one bosons since the fermion and antifermion must move in opposite directions for their spins to be parallel so that the net mass is non-vanishing: note that this means that even photon, gluons, and graviton have small mass. This mechanism makes topologically condensed fermions massive and p-adic thermodynamics allows to describe the massivation in terms of zero energy states and  $M$ -matrix. Bosons receive to their mass besides the small mass coming from thermodynamics also a contribution which is counterpart of the contribution coming from Higgs vacuum expectation value and Higgs gives rise to longitudinal polarizations. No Higgs potential is however needed. The cancellation of infrared divergences necessary for exact Yangian symmetry and the observation that even photon receives small mass suggest that scalar Higgs would disappear completely from the spectrum.

### Basic data bits

Let us first summarize the data bits about possible relevance of super-symmetry for TGD before the addition of the 3-D measurement interaction term to the modified Dirac action [31, 18].

1. Right-handed covariantly constant neutrino spinor  $\nu_R$  defines a super-symmetry in  $CP_2$  degrees of freedom in the sense that Dirac equation is satisfied by covariant constancy and there is no need for the usual ansatz  $\Psi = D\Psi_0$  giving  $D^2\Psi = 0$ . This super-symmetry allows to construct solutions of Dirac equation in  $CP_2$  [73, 17, 80, 59].
2. In  $M^4 \times CP_2$  this means the existence of massless modes  $\Psi = \not{p}\Psi_0$ , where  $\Psi_0$  is the tensor product of  $M^4$  and  $CP_2$  spinors. For these solutions  $M^4$  chiralities are not mixed unlike for all other modes which are massive and carry color quantum numbers depending on the  $CP_2$  chirality and charge. As matter fact, covariantly constant right-handed neutrino spinor mode is the only color singlet. The mechanism leading to non-colored states for fermions is based on super-conformal representations for which the color is neutralized [20, 22]. The negative conformal weight of the vacuum also cancels the enormous contribution to mass squared coming from mass in  $CP_2$  degrees of freedom.
3. Right-handed covariantly constant neutrino allows to construct the gamma matrices of the world of classical worlds (WCW) as fermionic counterparts of Hamiltonians of WCW. This gives rise super-symplectic symmetry algebra having interpretation also as a conformal algebra. Also more general super-conformal symmetries exist.
4. Space-time (in the sense of Minkowski space  $M^4$ ) super-symmetry in the conventional sense of the word is impossible in TGD framework since it would require Majorana spinors. In 8-D space-time with Minkowski signature of metric Majorana spinors are definitely ruled out by the standard argument leading to super string model. Majorana spinors would also break separate conservation of lepton and baryon numbers in TGD framework.

### Could one generalize super-symmetry?

Could one then consider a more general space-time super-symmetry with "space-time" identified as space-time surface rather than Minkowski space?



1. The TGD variant of the super-symmetry could correspond quite concretely to the addition to fermion and boson states right-handed neutrinos. Since right-handed neutrinos do not have electro-weak interactions, the addition might not appreciably affect the mass formula although it could affect the  $p$ -adic prime defining the mass scale.
2. The problem is to understand what this addition of the right-handed neutrino means. To begin with, notice that in TGD Universe fermions reside at light-like 3-surfaces at which the signature of induced metric changes. Bosons correspond to pairs of light-like wormhole throats with wormhole contact having Euclidian signature of the induced metric. The long standing problem has been that for bosons with parallel light-like four-momenta with same sign of energy the spins of fermion and antifermion are opposite so that one would obtain only scalar bosons!

I have considered several solutions to the problem but the final solution came from the basic problem of twistor approach to  $\mathcal{N} = 4$  SUSY. This theory is believed to be UV finite but has IR divergences spoiling the Yangian SUSY. These infinities cancel if the physical particles are bound states of pairs of wormhole throats with light-like momenta. Just the requirement that spin is equal to one forces massivation. This is true for all spin 1 particles, also those regarded as massless. Massivation of the photon is not a problem if the mass corresponds to the IR cutoff determined by the largest causal diamond ( $CD$ ) defining the measurement resolution. For electron the size of  $CD$  corresponds to the size scale of Earth. The basic prediction is that Higgs disappears completely from the spectrum so that this mechanism is testable at LHC.

The first proposal to the solution of problem was that either fermion or antifermion in the boson state carries what might be called un-physical polarization in the standard conceptual framework. This means that it has negative energy but three-momentum parallel to that of the second wormhole throat. The assumption that the bosonic wormhole throats correspond to positive and negative energy space-time sheets realizes this constraint in the framework of zero energy ontology. It however turned out that for light-like momenta these states have more natural interpretation in terms of virtual bosons able to have space-like momenta. This means that one can realize virtual particles as pairs of on mass shell wormhole throats with either sign of energy and 3-momentum so that the basic condition of twistorial approach is satisfied. The conservation of 4-momentum at vertices gives extremely powerful kinematical constraints so that there are excellent hopes about cancellation of UV divergences of loop integrals.

3. The super-symmetry as an addition to the fermion state a second wormhole throats carrying right handed neutrino quantum numbers does not make sense since the resulting state cannot be distinguished from gauge boson or Higgs type particle. The light-like 3-surfaces can however carry fermion numbers up to the number of modes of the induced spinor field, which is expected to be infinite inside string like objects having wormhole throats at ends and finite when one has space time sheets containing the throats [18]. In very general sense one could say that each mode defines a very large broken  $N$ -super-symmetry with the value of  $N$  depending on state and light-like 3-surface. The breaking of this super-symmetry would come from electro-weak -, color -, and gravitational interactions. Right-handed neutrino would by its electro-weak and color inertness define a minimally broken super-symmetry.
4. What this addition of the right handed neutrinos or more general fermion modes could precisely mean? One cannot assign fermionic oscillator operators to right handed neutrinos which are covariantly constant in both  $M^4$  and  $CP_2$  degrees of freedom since the modes with vanishing energy (frequency) cannot correspond to fermionic oscillator operator creating a physical state since one would have  $a = a^\dagger$ . The intuitive view is that all the spinor modes move in an exactly collinear manner -somewhat like quarks inside hadron do approximately.

### Modified Dirac equation briefly

The answer to the question what "collinear motion" means mathematically emerged from the recent progress in the understanding of the modified Dirac equation.

1. The modified Dirac action involves two terms. Besides the original 4-D modified Dirac action there is measurement interaction which can be localized to wormhole throat or to any light-like 3-surfaces "parallel" to it in the slicing of space-time sheet by light-like 3-surfaces. This term

correlates space-time geometry with quantum numbers assignable to super-conformal representations and is also necessary to obtain almost-stringy propagator.

2. The modified Dirac equation with measurement action added reads as

$$\begin{aligned} D_K \Psi &= 0 , \\ D_3 \Psi &= (D_{C-S} + Q \times O) \Psi = 0 , \\ [D_3, D_K] \Psi &= 0 . \end{aligned} \tag{15.3.1}$$

- (a)  $D_K$  corresponds formally to 4-D massless Dirac equation in  $X^4$ .  $D_3$  realizes measurement interaction.  $D_{C-S}$  is the 3-D modified Dirac action defined by Chern-Simons action.
- (b)  $Q$  is linear in Cartan algebra generators of the isometry algebra of imbedding space (color isospin and hypercharge plus four-momentum or two components of four momentum and spin and boost in direction of 3-momentum).  $Q$  is expressible as

$$Q = Q_A \partial_\alpha h^k g^{AB} j_{Bk} \hat{\Gamma}_{CS}^\alpha . \tag{15.3.2}$$

Here  $Q_A$  is Cartan algebra generator acting on physical states. Physical states must be eigen states of  $Q_A$  since otherwise the equations do not make sense.  $g^{AB}$  is the inverse of the matrix defined by the imbedding space inner product of Killing vector fields  $j_A^k$  and  $j_B^l$ : its existence allows only Cartan algebra charges.  $\hat{\Gamma}_{CS}^\alpha$  is the modified gamma matrix associated with the Chern-Simons action.

- (c) In general case the modified gamma matrices are defined in terms of action density  $L$  as

$$\hat{\Gamma}^\alpha = \frac{\partial L}{\partial_\alpha h^k} \gamma^k . \tag{15.3.3}$$

$\gamma^k$  denotes imbedding space gamma matrices.

- (d) The operator  $O$  characterizes the conserved fermionic current to which Cartan algebra generators of isometries couple. The simplest conserved currents correspond to quark or lepton currents and corresponding vectorial isospin- and spin currents [18]. Besides this there is an infinite hierarchy of conserved currents relating to quantum criticality and in one-one correspondence with vanishing second variations of Kähler action for preferred extremal. These couplings allow to represent measurement interaction for any observable.
3. The equation  $D_3 \nu_R = 0$  would reduce for vanishing color charges and covariantly constant spinor to the analog of algebraic fermionic on mass shell condition  $p_A \gamma^A \nu_R = 0$  since  $Q$  is obtained by projecting the total four-momentum of the parton state interpreted as a vector-field of  $H$  to the space-time surface and by replacing ordinary gamma matrices with the modified ones. This equation cannot be exact since  $Q$  depends on the point of the light-like 3-surface so that covariant constancy fails and  $D_{C-S}$  cannot annihilate the state. This is the space-time correlate for the breaking of super-symmetry. The action of the Cartan algebra generators is purely algebraic and on the state of super-conformal representations rather than that of a differential operator on spinor field. The modified equation implies that all spinor modes represent fermions moving collinearly in the sense an equation with the same total four-momentum and total color quantum numbers is satisfied by all of them. Note that  $p_A$  represents the total four-momentum of the state rather than individual four-momenta of fermions.

### TGD counterpart of space-time super-symmetry

This picture allows to define more precisely what one means with the approximate super-symmetries in TGD framework.

1. One can in principle construct many-fermion states containing both fermions and anti-fermions at given light-like 3-surface. The four-momenta of states related by super-symmetry need not be same. Super-symmetry breaking is present and has as the space-time correlate the deviation of the modified gamma matrices from the ordinary  $M^4$  gamma matrices. In particular, the fact that  $\hat{\Gamma}^\alpha$  possesses  $CP_2$  part in general means that different  $M^4$  chiralities are mixed: a space-time correlate for the massivation of the elementary particles.
2. For right-handed neutrino super-symmetry breaking is expected to be smallest but also in the case of the right-handed neutrino mode mixing of  $M^4$  chiralities takes place and breaks the TGD counterpart of super-symmetry.
3. The fact that all helicities in the state are physical for a given light-like 3-surface has important implications. For instance, the addition of a right-handed antineutrino to right-handed (left-handed) electron state gives scalar (spin 1) state. Also states with fermion number two are obtained from fermions. For instance, for  $e_R$  one obtains the states  $\{e_R, e_R\nu_R\bar{\nu}_R, e_R\bar{\nu}_R, e_R\nu_R\}$  with lepton numbers  $(1, 1, 0, 2)$  and spins  $(1/2, 1/2, 0, 1)$ . For  $e_L$  one obtains the states  $\{e_L, e_L\nu_R\bar{\nu}_R, e_L\bar{\nu}_R, e_L\nu_R\}$  with lepton numbers  $(1, 1, 0, 2)$  and spins  $(1/2, 1/2, 1, 0)$ . In the case of gauge boson and Higgs type particles -allowed by TGD but not required by p-adic mass calculations- gauge boson has 15 super partners with fermion numbers  $[2, 1, 0, -1, -2]$ .

The cautious conclusion is that the recent view about quantum TGD allows the analog of super-symmetry which is necessary broken and for which the multiplets are much more general than for the ordinary super-symmetry. Right-handed neutrinos might however define something resembling ordinary super-symmetry to a high extent. The question is how strong prediction one can deduce using quantum TGD and proposed super-symmetry.

1. For a minimal breaking of super-symmetry only the p-adic length scale characterizing the super-partner differs from that for partner but the mass of the state is same. This would allow only a discrete set of masses for various super-partners coming as half octaves of the mass of the particle in question. A highly predictive model results.
2. The quantum field theoretic description should be based on QFT limit of TGD formulated in terms of bosonic emergence [21]. This formulation should allow to calculate the propagators of the super-partners in terms of fermionic loops.
3. This TGD variant of space-time super-symmetry resembles ordinary super-symmetry in the sense that selection rules due to the right-handed neutrino number conservation and analogous to the conservation of R-parity hold true. The states inside super-multiplets have identical electro-weak and color quantum numbers but their p-adic mass scales can be different. It should be possible to estimate reaction rates using rules very similar to those of super-symmetric gauge theories.
4. It might be even possible to find some simple generalization of standard super-symmetric gauge theory to get rough estimates for the reaction rates. There are however problems. The fact that spins  $J = 0, 1, 2, 3/2, 2$  are possible for super-partners of gauge bosons forces to ask whether these additional states define an analog of non-stringy strong gravitation. Note that graviton in TGD framework corresponds to a pair of wormhole throats connected by flux tube (counterpart of string) and for gravitons one obtains  $2^8$ -fold degeneracy.

### 15.3.6 Twistorial approach, Yangian symmetry, and generalized Feynman diagrams

There has been impressive steps in the understanding of  $\mathcal{N} = 4$  maximally supersymmetric YM theory possessing 4-D super-conformal symmetry. This theory is related by AdS/CFT duality to certain string theory in  $AdS_5 \times S^5$  background. Second stringy representation was discovered by Witten and is based on 6-D Calabi-Yau manifold defined by twistors. The unifying proposal is that so called Yangian symmetry is behind the mathematical miracles involved.

The notion of Yangian symmetry would have a generalization in TGD framework obtained by replacing conformal algebra with appropriate super-conformal algebras. Also a possible realization

of twistor approach and the construction of scattering amplitudes in terms of Yangian invariants defined by Grassmannian integrals is considered in TGD framework and based on the idea that in zero energy ontology one can represent massive states as bound states of massless particles. There is also a proposal for a physical interpretation of the Cartan algebra of Yangian algebra allowing to understand at the fundamental level how the mass spectrum of n-particle bound states could be understood in terms of the n-local charges of the Yangian algebra.

Twistors were originally introduced by Penrose to characterize the solutions of Maxwell's equations. Kähler action is Maxwell action for the induced Kähler form of  $CP_2$ . The preferred extremals allow a very concrete interpretation in terms of modes of massless non-linear field. Both conformally compactified Minkowski space identifiable as so called causal diamond and  $CP_2$  allow a description in terms of twistors. These observations inspire the proposal that a generalization of Witten's twistor string theory relying on the identification of twistor string world sheets with certain holomorphic surfaces assigned with Feynman diagrams could allow a formulation of quantum TGD in terms of 3-dimensional holomorphic surfaces of  $CP_3 \times CP_3$  mapped to 6-surfaces dual  $CP_3 \times CP_3$ , which are sphere bundles so that they are projected in a natural manner to 4-D space-time surfaces. Very general physical and mathematical arguments lead to a highly unique proposal for the holomorphic differential equations defining the complex 3-surfaces conjectured to correspond to the preferred extremals of Kähler action.

## Background

I am outsider as far as concrete calculations in  $\mathcal{N} = 4$  SUSY are considered and the following discussion of the background probably makes this obvious. My hope is that the reader had patience to not care about this and try to see the big pattern.

The developments began from the observation of Parke and Taylor [85] that n-gluon tree amplitudes with less than two negative helicities vanish and those with two negative helicities have unexpectedly simple form when expressed in terms of spinor variables used to represent light-like momentum. In fact, in the formalism based on Grassmannian integrals the reduced tree amplitude for two negative helicities is just "1" and defines Yangian invariant. The article *Perturbative Gauge Theory As a String Theory In Twistor Space* [86] by Witten led to so called Britto-Cachazo-Feng-Witten (BCFW) recursion relations for tree level amplitudes [92, 89] allowing to construct tree amplitudes using the analogs of Feynman rules in which vertices correspond to maximally helicity violating tree amplitudes (2 negative helicity gluons) and propagator is massless Feynman propagator for boson. The progress inspired the idea that the theory might be completely integrable meaning the existence of infinite-dimensional un-usual symmetry. This symmetry would be so called Yangian symmetry [32] assigned to the super counterpart of the conformal group of 4-D Minkowski space.

Drumond, Henn, and Plefka represent in the article *Yangian symmetry of scattering amplitudes in  $\mathcal{N} = 4$  super Yang-Mills theory* [94] an argument suggesting that the Yangian invariance of the scattering amplitudes is an intrinsic property of planar  $\mathcal{N} = 4$  super Yang Mills at least at tree level.

The latest step in the progress was taken by Arkani-Hamed, Bourjaily, Cachazo, Carot-Huot, and Trnka and represented in the article *Yangian symmetry of scattering amplitudes in  $\mathcal{N} = 4$  super Yang-Mills theory* [97]. At the same day there was also the article of Rutger Boels entitled *On BCFW shifts of integrands and integrals* [96] in the archive. Arkani-Hamed *et al* argue that a full Yangian symmetry of the theory allows to generalize the BCFW recursion relation for tree amplitudes to all loop orders at planar limit (planar means that Feynman diagram allows imbedding to plane without intersecting lines). On mass shell scattering amplitudes are in question.

## Yangian symmetry

The notion equivalent to that of Yangian was originally introduced by Faddeev and his group in the study of integrable systems. Yangians are Hopf algebras which can be assigned with Lie algebras as the deformations of their universal enveloping algebras. The elegant but rather cryptic looking definition is in terms of the modification of the relations for generating elements [32]. Besides ordinary product in the enveloping algebra there is co-product  $\Delta$  which maps the elements of the enveloping algebra to its tensor product with itself. One can visualize product and co-product in terms of particle reactions. Particle annihilation is analogous to annihilation of two particles to single one and co-product is analogous to the decay of particle to two.  $\Delta$  allows to construct higher generators of the

algebra.

Lie-algebra can mean here ordinary finite-dimensional simple Lie algebra, Kac-Moody algebra or Virasoro algebra. In the case of SUSY it means conformal algebra of  $M^4$ - or rather its super counterpart. Witten, Nappi and Dolan have described the notion of Yangian for super-conformal algebra in very elegant and concrete manner in the article *Yangian Symmetry in D=4 superconformal Yang-Mills theory* [95]. Also Yangians for gauge groups are discussed.

In the general case Yangian resembles Kac-Moody algebra with discrete index  $n$  replaced with a continuous one. Discrete index poses conditions on the Lie group and its representation (adjoint representation in the case of  $\mathcal{N} = 4$  SUSY). One of the conditions is that the tensor product  $R \otimes R^*$  for representations involved contains adjoint representation only once. This condition is non-trivial. For  $SU(n)$  these conditions are satisfied for any representation. In the case of  $SU(2)$  the basic branching rule for the tensor product of representations implies that the condition is satisfied for the product of any representations.

Yangian algebra with a discrete basis is in many respects analogous to Kac-Moody algebra. Now however the generators are labelled by non-negative integers labeling the light-like incoming and outgoing momenta of scattering amplitude whereas in the case of Kac-Moody algebra also negative values are allowed. Note that only the generators with non-negative conformal weight appear in the construction of states of Kac-Moody and Virasoro representations so that the extension to Yangian makes sense.

The generating elements are labelled by the generators of ordinary conformal transformations acting in  $M^4$  and their duals acting in momentum space. These two sets of elements can be labelled by conformal weights  $n = 0$  and  $n = 1$  and their mutual commutation relations are same as for Kac-Moody algebra. The commutators of  $n = 1$  generators with themselves are however something different for a non-vanishing deformation parameter  $h$ . Serre's relations characterize the difference and involve the deformation parameter  $h$ . Under repeated commutations the generating elements generate infinite-dimensional symmetric algebra, the Yangian. For  $h = 0$  one obtains just one half of the Virasoro algebra or Kac-Moody algebra. The generators with  $n > 0$  are  $n + 1$ -local in the sense that they involve  $n + 1$ -forms of local generators assignable to the ordered set of incoming particles of the scattering amplitude. This non-locality generalizes the notion of local symmetry and is claimed to be powerful enough to fix the scattering amplitudes completely.

### How to generalize Yangian symmetry in TGD framework?

As far as concrete calculations are considered, I have nothing to say. I am just perplexed. It is however possible to keep discussion at general level and still say something interesting (as I hope!). The key question is whether it could be possible to generalize the proposed Yangian symmetry and geometric picture behind it to TGD framework.

1. The first thing to notice is that the Yangian symmetry of  $\mathcal{N} = 4$  SUSY in question is quite too limited since it allows only single representation of the gauge group and requires massless particles. One must allow all representations and massive particles so that the representation of symmetry algebra must involve states with different masses, in principle arbitrary spin and arbitrary internal quantum numbers. The candidates are obvious: Kac-Moody algebras [62] and Virasoro algebras[70] and their super counterparts. Yangians indeed exist for arbitrary super Lie algebras. In TGD framework conformal algebra of Minkowski space reduces to Poincare algebra and its extension to Kac-Moody allows to have also massive states.
2. The formal generalization looks surprisingly straightforward at the formal level. In zero energy ontology one replaces point like particles with partonic two-surfaces appearing at the ends of light-like orbits of wormhole throats located to the future and past light-like boundaries of causal diamond ( $CD \times CP_2$  or briefly  $CD$ ). Here  $CD$  is defined as the intersection of future and past directed light-cones. The polygon with light-like momenta is naturally replaced with a polygon with more general momenta in zero energy ontology and having partonic surfaces as its vertices. Non-point-likeness forces to replace the finite-dimensional super Lie-algebra with infinite-dimensional Kac-Moody algebras and corresponding super-Virasoro algebras assignable to partonic 2-surfaces.

3. This description replaces disjoint holomorphic surfaces in twistor space with partonic 2-surfaces at the boundaries of  $CD \times CP_2$  so that there seems to be a close analogy with Cachazo-Svrcek-Witten picture. These surfaces are connected by either light-like orbits of partonic 2-surface or space-like 3-surfaces at the ends of  $CD$  so that one indeed obtains the analog of polygon.

What does this then mean concretely (if this word can be used in this kind of context;-)?

1. At least it means that ordinary Super Kac-Moody and Super Virasoro algebras associated with isometries of  $M^4 \times CP_2$  annihilating the scattering amplitudes must be extended to a co-algebras with a non-trivial deformation parameter. Kac-Moody group is thus the product of Poincare and color groups. This algebra acts as deformations of the light-like 3-surfaces representing the light-like orbits of particles which are extremals of Chern-Simon action with the constraint that weak form of electric-magnetic duality holds true. I know so little about the mathematical side that I cannot tell whether the condition that the product of the representations of Super-Kac-Moody and Super-Virasoro algebras contains adjoint representation only once, holds true in this case. In any case, it would allow all representations of finite-dimensional Lie group in vertices whereas  $\mathcal{N} = 4$  SUSY would allow only the adjoint.
2. Besides this ordinary kind of Kac-Moody algebra there is the analog of Super-Kac-Moody algebra associated with the light-cone boundary which is metrically 3-dimensional. The finite-dimensional Lie group is in this case replaced with infinite-dimensional group of symplectomorphisms of  $\delta M_{+/-}^4$  made local with respect to the internal coordinates of partonic 2-surface. A coset construction is applied to these two Virasoro algebras so that the differences of the corresponding Super-Virasoro generators and Kac-Moody generators annihilate physical states. This implies that the corresponding four-momenta are same: this expresses the equivalence of gravitational and inertial masses. A generalization of the Equivalence Principle is in question. This picture also justifies p-adic thermodynamics applied to either symplectic or isometry Super-Virasoro and giving thermal contribution to the vacuum conformal and thus to mass squared.
3. The construction of TGD leads also to other super-conformal algebras and the natural guess is that the Yangians of all these algebras annihilate the scattering amplitudes.
4. Obviously, already the starting point symmetries look formidable but they still act on single partonic surface only. The discrete Yangian associated with this algebra associated with the closed polygon defined by the incoming momenta and the negatives of the outgoing momenta acts in multi-local manner on scattering amplitudes. It might make sense to speak about polygons defined also by other conserved quantum numbers so that one would have generalized light-like curves in the sense that state are massless in 8-D sense.

### Is there any hope about description in terms of Grassmannians?

At technical level the successes of the twistor approach rely on the observation that the amplitudes can be expressed in terms of very simple integrals over sub-manifolds of the space consisting of  $k$ -dimensional planes of  $n$ -dimensional space defined by delta function appearing in the integrand. These integrals define super-conformal Yangian invariants appearing in twistorial amplitudes and the belief is that by a proper choice of the surfaces of the twistor space one can construct all invariants. One can construct also the counterparts of loop corrections by starting from tree diagrams and annihilating pair of particles by connecting the lines and quantum entangling the states at the ends in the manner dictated by the integration over loop momentum. These operations can be defined as operations for Grassmannian integrals in general changing the values of  $n$  and  $k$ . This description looks extremely powerful and elegant and nosta importantly involves only the external momenta.

The obvious question is whether one could use similar invariants in TGD framework to construct the momentum dependence of amplitudes.

1. The first thing to notice is that the super algebras in question act on infinite-dimensional representations and basically in the world of classical worlds assigned to the partonic 2-surfaces correlated by the fact that they are associated with the same space-time surface. This does not promise anything very practical. On the other hand, one can hope that everything related to other than  $M^4$  degrees of freedom could be treated like color degrees of freedom in  $\mathcal{N} = 4$  SYM

and would boil down to indices labeling the quantum states. The Yangian conditions coming from isometry quantum numbers, color quantum numbers, and electroweak quantum numbers are of course expected to be highly non-trivial and could fix the coefficients of various singlets resulting in the tensor product of incoming and outgoing states.

2. The fact that incoming particles can be also massive seems to exclude the use of the twistor space. The following observation however raises hopes. The Dirac propagator for wormhole throat is massless propagator but for what I call pseudo momentum. It is still unclear how this momentum relates to the actual four-momentum. Could it be actually equal to it? The recent view about pseudo-momentum does not support this view but it is better to keep mind open. In any case this finding suggests that twistorial approach could work in in more or less standard form. What would be needed is a representation for massive incoming particles as bound states of massless partons. In particular, the massive states of super-conformal representations should allow this kind of description.

Could zero energy ontology allow to achieve this dream?

1. As far as divergence cancellation is considered, zero energy ontology suggests a totally new approach producing the basic nice aspects of QFT approach, in particular unitarity and coupling constant evolution. The big idea related to zero energy ontology is that all virtual particle particles correspond to wormhole throats, which are pairs of on mass shell particles. If their momentum directions are different, one obtains time-like continuum of virtual momenta and if the signs of energy are opposite one obtains also space-like virtual momenta. The on mass shell property for virtual partons (massive in general) implies extremely strong constraints on loops and one expect that only very few loops remain and that they are finite since loop integration reduces to integration over much lower-dimensional space than in the QFT approach. There are also excellent hopes about Cutkoski rules.
2. Could zero energy ontology make also possible to construct massive incoming particles from massless ones? Could one construct the representations of the super conformal algebras using only massless states so that at the fundamental level incoming particles would be massless and one could apply twistor formalism and build the momentum dependence of amplitudes using Grassmannian integrals.

One could indeed construct on mass shell massive states from massless states with momenta along the same line but with three-momenta at opposite directions. Mass squared is given by  $M^2 = 4E^2$  in the coordinate frame, where the momenta are opposite and of same magnitude. One could also argue that partonic 2-surfaces carrying quantum numbers of fermions and their superpartners serve as the analogs of point like massless particles and that topologically condensed fermions and gauge bosons plus their superpartners correspond to pairs of wormhole throats. Stringy objects would correspond to pairs of wormhole throats at the same space-time sheet in accordance with the fact that space-time sheet allows a slicing by string worlds sheets with ends at different wormhole throats and defining time like braiding.

The weak form of electric magnetic duality indeed supports this picture. To understand how, one must explain a little bit what the weak form of electric magnetic duality means.

1. Elementary particles correspond to light-like orbits of partonic 2-surfaces identified as 3-D surfaces at which the signature of the induced metric of space-time surface changes from Euclidian to Minkowskian and 4-D metric is therefore degenerate. The analogy with black hole horizon is obvious but only partial. Weak form of electric-magnetic duality states that the Kähler electric field at the wormhole throat and also at space-like 3-surfaces defining the ends of the space-time surface at the upper and lower light-like boundaries of the causal diamond is proportional to Kähler magnetic field so that Kähler electric flux is proportional Kähler magnetic flux. This implies classical quantization of Kähler electric charge and fixes the value of the proportionality constant.
2. There are also much more profound implications. The vision about TGD as almost topological QFT suggests that Kähler function defining the Kähler geometry of the "world of classical

worlds" (WCW) and identified as Kähler action for its preferred extremal reduces to the 3-D Chern-Simons action evaluated at wormhole throats and possible boundary components. Chern-Simons action would be subject to constraints. Wormhole throats and space-like 3-surfaces would represent extremals of Chern-Simons action restricted by the constraint force stating electric-magnetic duality (and realized in terms of Lagrange multipliers as usual).

If one assumes that Kähler current and other conserved currents are proportional to current defining Beltrami flow whose flow lines by definition define coordinate curves of a globally defined coordinate, the Coulombic term of Kähler action vanishes and it reduces to Chern-Simons action if the weak form of electric-magnetic duality holds true. One obtains almost topological QFT. The absolutely essential attribute "almost" comes from the fact that Chern-Simons action is subject to constraints. As a consequence, one obtains non-vanishing four-momenta and WCW geometry is non-trivial in  $M^4$  degrees of freedom. Otherwise one would have only topological QFT not terribly interesting physically.

Consider now the question how one could understand stringy objects as bound states of massless particles.

1. The observed elementary particles are not Kähler monopoles and there much exist a mechanism neutralizing the monopole charge. The only possibility seems to be that there is opposite Kähler magnetic charge at second wormhole throat. The assumption is that in the case of color neutral particles this throat is at a distance of order intermediate gauge boson Compton length. This throat would carry weak isospin neutralizing that of the fermion and only electromagnetic charge would be visible at longer length scales. One could speak of electro-weak confinement. Also color confinement could be realized in analogous manner by requiring the cancellation of monopole charge for many-parton states only. What comes out are string like objects defined by Kähler magnetic fluxes and having magnetic monopoles at ends. Also more general objects with three strings branching from the vertex appear in the case of baryons. The natural guess is that the partons at the ends of strings and more general objects are massless for incoming particles but that the 3-momenta are in opposite directions so that stringy mass spectrum and representations of relevant super-conformal algebras are obtained. This description brings in mind the description of hadrons in terms of partons moving in parallel apart from transversal momentum about which only momentum squared is taken as observable.
2. Quite generally, one expects for the preferred extremals of Kähler action the slicing of space-time surface with string world sheets with stringy curves connecting wormhole throats. The ends of the stringy curves can be identified as light-like braid strands. Note that the strings themselves define a space-like braiding and the two braidings are in some sense dual. This has a concrete application in TGD inspired quantum biology, where time-like braiding defines topological quantum computer programs and the space-like braidings induced by it its storage into memory. Stringlike objects defining representations of super-conformal algebras must correspond to states involving at least two wormhole throats. Magnetic flux tubes connecting the ends of magnetically charged throats provide a particular realization of stringy on mass shell states. This would give rise to massless propagation at the parton level. The stringy quantization condition for mass squared would read as  $4E^2 = n$  in suitable units for the representations of super-conformal algebra associated with the isometries. For pairs of throats of the same wormhole contact stringy spectrum does not seem plausible since the wormhole contact is in the direction of  $CP_2$ . One can however expect generation of small mass as deviation of vacuum conformal weight from half integer in the case of gauge bosons.

If this picture is correct, one might be able to determine the momentum dependence of the scattering amplitudes by replacing free fermions with pairs of monopoles at the ends of string and topologically condensed fermions gauge bosons with pairs of this kind of objects with wormhole throat replaced by a pair of wormhole throats. This would mean suitable number of doublings of the Grassmannian integrations with additional constraints on the incoming momenta posed by the mass shell conditions for massive states.



### Could zero energy ontology make possible full Yangian symmetry?

The partons in the loops are on mass shell particles have a discrete mass spectrum but both signs of energy are possible for opposite wormhole throats. This implies that in the rules for constructing loop amplitudes from tree amplitudes, propagator entanglement is restricted to that corresponding to pairs of partonic on mass shell states with both signs of energy. As emphasized in [97], it is the Grassmannian integrands and leading order singularities of  $\mathcal{N} = 4$  SYM, which possess the full Yangian symmetry. The full integral over the loop momenta breaks the Yangian symmetry and brings in IR singularities. Zero energy ontologist finds it natural to ask whether QFT approach shows its inadequacy both via the UV divergences and via the loss of full Yangian symmetry. The restriction of virtual partons to discrete mass shells with positive or negative sign of energy imposes extremely powerful restrictions on loop integrals and resembles the restriction to leading order singularities. Could this restriction guarantee full Yangian symmetry and remove also IR singularities?

### Could Yangian symmetry provide a new view about conserved quantum numbers?

The Yangian algebra has some properties which suggest a new kind of description for bound states. The Cartan algebra generators of  $n = 0$  and  $n = 1$  levels of Yangian algebra commute. Since the co-product  $\Delta$  maps  $n = 0$  generators to  $n = 1$  generators and these in turn to generators with high value of  $n$ , it seems that they commute also with  $n \geq 1$  generators. This applies to four-momentum, color isospin and color hyper charge, and also to the Virasoro generator  $L_0$  acting on Kac-Moody algebra of isometries and defining mass squared operator.

Could one identify total four momentum and Cartan algebra quantum numbers as sum of contributions from various levels? If so, the four momentum and mass squared would involve besides the local term assignable to wormhole throats also  $n$ -local contributions. The interpretation in terms of  $n$ -parton bound states would be extremely attractive.  $n$ -local contribution would involve interaction energy. For instance, string like object would correspond to  $n = 1$  level and give  $n = 2$ -local contribution to the momentum. For baryonic valence quarks one would have 3-local contribution corresponding to  $n = 2$  level. The Yangian view about quantum numbers could give a rigorous formulation for the idea that massive particles are bound states of massless particles.

## 15.4 Weak form electric-magnetic duality and color and weak forces

The notion of electric-magnetic duality [87] was proposed first by Olive and Montonen and is central in  $\mathcal{N} = 4$  supersymmetric gauge theories. It states that magnetic monopoles and ordinary particles are two different phases of theory and that the description in terms of monopoles can be applied at the limit when the running gauge coupling constant becomes very large and perturbation theory fails to converge. The notion of electric-magnetic self-duality is more natural since for  $CP_2$  geometry Kähler form is self-dual and Kähler magnetic monopoles are also Kähler electric monopoles and Kähler coupling strength is by quantum criticality renormalization group invariant rather than running coupling constant. The notion of electric-magnetic (self-)duality emerged already two decades ago in the attempts to formulate the Kähler geometric of world of classical worlds. Quite recently a considerable step of progress took place in the understanding of this notion [17]. What seems to be essential is that one adopts a weaker form of the self-duality applying at partonic 2-surfaces. What this means will be discussed in the sequel.

Every new idea must be of course taken with a grain of salt but the good sign is that this concept leads to precise predictions. The point is that elementary particles do not generate monopole fields in macroscopic length scales: at least when one considers visible matter. The first question is whether elementary particles could have vanishing magnetic charges: this turns out to be impossible. The next question is how the screening of the magnetic charges could take place and leads to an identification of the physical particles as string like objects identified as pairs magnetic charged wormhole throats connected by magnetic flux tubes.

1. The first implication is a new view about electro-weak massivation reducing it to weak confinement in TGD framework. The second end of the string contains particle having electroweak isospin neutralizing that of elementary fermion and the size scale of the string is electro-weak

scale would be in question. Hence the screening of electro-weak force takes place via weak confinement realized in terms of magnetic confinement.

2. This picture generalizes to the case of color confinement. Also quarks correspond to pairs of magnetic monopoles but the charges need not vanish now. Rather, valence quarks would be connected by flux tubes of length of order hadron size such that magnetic charges sum up to zero. For instance, for baryonic valence quarks these charges could be  $(2, -1, -1)$  and could be proportional to color hyper charge.
3. The highly non-trivial prediction making more precise the earlier stringy vision is that elementary particles are string like objects in electro-weak scale: this should become manifest at LHC energies.
4. The weak form electric-magnetic duality together with Beltrami flow property of Kähler leads to the reduction of Kähler action to Chern-Simons action so that TGD reduces to almost topological QFT and that Kähler function is explicitly calculable. This has enormous impact concerning practical calculability of the theory.
5. One ends up also to a general solution ansatz for field equations from the condition that the theory reduces to almost topological QFT. The solution ansatz is inspired by the idea that all isometry currents are proportional to Kähler current which is integrable in the sense that the flow parameter associated with its flow lines defines a global coordinate. The proposed solution ansatz would describe a hydrodynamical flow with the property that isometry charges are conserved along the flow lines (Beltrami flow). A general ansatz satisfying the integrability conditions is found. The solution ansatz applies also to the extremals of Chern-Simons action and to the conserved currents associated with the modified Dirac equation defined as contractions of the modified gamma matrices between the solutions of the modified Dirac equation. The strongest form of the solution ansatz states that various classical and quantum currents flow along flow lines of the Beltrami flow defined by Kähler current (Kähler magnetic field associated with Chern-Simons action). Intuitively this picture is attractive. A more general ansatz would allow several Beltrami flows meaning multi-hydrodynamics. The integrability conditions boil down to two scalar functions: the first one satisfies massless d'Alembert equation in the induced metric and the the gradients of the scalar functions are orthogonal. The interpretation in terms of momentum and polarization directions is natural.
6. The general solution ansatz works for induced Kähler Dirac equation and Chern-Simons Dirac equation and reduces them to ordinary differential equations along flow lines. The induced spinor fields are simply constant along flow lines of induced spinor field for Dirac equation in suitable gauge. Also the generalized eigen modes of the modified Chern-Simons Dirac operator can be deduced explicitly if the throats and the ends of space-time surface at the boundaries of  $CD$  are extremals of Chern-Simons action. Chern-Simons Dirac equation reduces to ordinary differential equations along flow lines and one can deduce the general form of the spectrum and the explicit representation of the Dirac determinant in terms of geometric quantities characterizing the 3-surface (eigenvalues are inversely proportional to the lengths of strands of the flow lines in the effective metric defined by the modified gamma matrices).

#### 15.4.1 Could a weak form of electric-magnetic duality hold true?

Holography means that the initial data at the partonic 2-surfaces should fix the configuration space metric. A weak form of this condition allows only the partonic 2-surfaces defined by the wormhole throats at which the signature of the induced metric changes. A stronger condition allows all partonic 2-surfaces in the slicing of space-time sheet to partonic 2-surfaces and string world sheets. Number theoretical vision suggests that hyper-quaternionicity *resp.* co-hyperquaternionicity constraint could be enough to fix the initial values of time derivatives of the imbedding space coordinates in the space-time regions with Minkowskian *resp.* Euclidian signature of the induced metric. This is a condition on modified gamma matrices and hyper-quaternionicity states that they span a hyper-quaternionic sub-space.

### Definition of the weak form of electric-magnetic duality

One can also consider alternative conditions possibly equivalent with this condition. The argument goes as follows.

1. The expression of the matrix elements of the metric and Kähler form of  $WCW$  in terms of the Kähler fluxes weighted by Hamiltonians of  $\delta M_{\pm}^4$  at the partonic 2-surface  $X^2$  looks very attractive. These expressions however carry no information about the 4-D tangent space of the partonic 2-surfaces so that the theory would reduce to a genuinely 2-dimensional theory, which cannot hold true. One would like to code to the  $WCW$  metric also information about the electric part of the induced Kähler form assignable to the complement of the tangent space of  $X^2 \subset X^4$ .
2. Electric-magnetic duality of the theory looks a highly attractive symmetry. The trivial manner to get electric magnetic duality at the level of the full theory would be via the identification of the flux Hamiltonians as sums of of the magnetic and electric fluxes. The presence of the induced metric is however troublesome since the presence of the induced metric means that the simple transformation properties of flux Hamiltonians under symplectic transformations -in particular color rotations- are lost.
3. A less trivial formulation of electric-magnetic duality would be as an initial condition which eliminates the induced metric from the electric flux. In the Euclidian version of 4-D YM theory this duality allows to solve field equations exactly in terms of instantons. This approach involves also quaternions. These arguments suggest that the duality in some form might work. The full electric magnetic duality is certainly too strong and implies that space-time surface at the partonic 2-surface corresponds to piece of  $CP_2$  type vacuum extremal and can hold only in the deep interior of the region with Euclidian signature. In the region surrounding wormhole throat at both sides the condition must be replaced with a weaker condition.
4. To formulate a weaker form of the condition let us introduce coordinates  $(x^0, x^3, x^1, x^2)$  such  $(x^1, x^2)$  define coordinates for the partonic 2-surface and  $(x^0, x^3)$  define coordinates labeling partonic 2-surfaces in the slicing of the space-time surface by partonic 2-surfaces and string world sheets making sense in the regions of space-time sheet with Minkowskian signature. The assumption about the slicing allows to preserve general coordinate invariance. The weakest condition is that the generalized Kähler electric fluxes are apart from constant proportional to Kähler magnetic fluxes. This requires the condition

$$J^{03}\sqrt{g_4} = K J_{12} . \quad (15.4.1)$$

A more general form of this duality is suggested by the considerations of [17] reducing the hierarchy of Planck constants to basic quantum TGD and also reducing Kähler function for preferred extremals to Chern-Simons terms [99] at the boundaries of  $CD$  and at light-like wormhole throats. This form is following

$$J^{n\beta}\sqrt{g_4} = K \epsilon \times \epsilon^{n\beta\gamma\delta} J_{\gamma\delta}\sqrt{g_4} . \quad (15.4.2)$$

Here the index  $n$  refers to a normal coordinate for the space-like 3-surface at either boundary of  $CD$  or for light-like wormhole throat.  $\epsilon$  is a sign factor which is opposite for the two ends of  $CD$ . It could be also opposite of opposite at the opposite sides of the wormhole throat. Note that the dependence on induced metric disappears at the right hand side and this condition eliminates the potentials singularity due to the reduction of the rank of the induced metric at wormhole throat.

5. Information about the tangent space of the space-time surface can be coded to the configuration space metric with loosing the nice transformation properties of the magnetic flux Hamiltonians

if Kähler electric fluxes or sum of magnetic flux and electric flux satisfying this condition are used and  $K$  is symplectic invariant. Using the sum

$$J_e + J_m = (1 + K)J , \quad (15.4.3)$$

where  $J$  can denote the Kähler magnetic flux, makes it possible to have a non-trivial configuration space metric even for  $K = 0$ , which could correspond to the ends of a cosmic string like solution carrying only Kähler magnetic fields. This condition suggests that it can depend only on Kähler magnetic flux and other symplectic invariants. Whether local symplectic coordinate invariants are possible at all is far from obvious, If the slicing itself is symplectic invariant then  $K$  could be a non-constant function of  $X^2$  depending on string world sheet coordinates. The light-like radial coordinate of the light-cone boundary indeed defines a symplectically invariant slicing and this slicing could be shifted along the time axis defined by the tips of  $CD$ .

### Electric-magnetic duality physically

What could the weak duality condition mean physically? For instance, what constraints are obtained if one assumes that the quantization of electro-weak charges reduces to this condition at classical level?

1. The first thing to notice is that the flux of  $J$  over the partonic 2-surface is analogous to magnetic flux

$$Q_m = \frac{e}{\hbar} \oint B dS = n .$$

$n$  is non-vanishing only if the surface is homologically non-trivial and gives the homology charge of the partonic 2-surface.

2. The expressions of classical electromagnetic and  $Z^0$  fields in terms of Kähler form [58] read as

$$\begin{aligned} \gamma &= \frac{e F_{em}}{\hbar} = 3J - \sin^2(\theta_W) R_{03} , \\ Z^0 &= \frac{g_Z F_Z}{\hbar} = 2R_{03} . \end{aligned} \quad (15.4.4)$$

Here  $R_{03}$  is one of the components of the curvature tensor in vielbein representation and  $F_{em}$  and  $F_Z$  correspond to the standard field tensors. From this expression one can deduce

$$J = \frac{e}{3\hbar} F_{em} + \sin^2(\theta_W) \frac{g_Z}{6\hbar} F_Z . \quad (15.4.5)$$

3. The weak duality condition when integrated over  $X^2$  implies

$$\begin{aligned} \frac{e^2}{3\hbar} Q_{em} + \frac{g_Z^2 p}{6} Q_{Z,V} &= K \oint J = Kn , \\ Q_{Z,V} &= \frac{I_V^3}{2} - Q_{em} , \quad p = \sin^2(\theta_W) . \end{aligned} \quad (15.4.6)$$

Here the vectorial part of the  $Z^0$  charge rather than as full  $Z^0$  charge  $Q_Z = I_L^3 + \sin^2(\theta_W) Q_{em}$  appears. The reason is that only the vectorial isospin is same for left and right handed components of fermion which are in general mixed for the massive states.

The coefficients are dimensionless and expressible in terms of the gauge coupling strengths and using  $\hbar = r\hbar_0$  one can write

$$\begin{aligned} \alpha_{em} Q_{em} + p \frac{\alpha_Z}{2} Q_{Z,V} &= \frac{3}{4\pi} \times rnK , \\ \alpha_{em} &= \frac{e^2}{4\pi\hbar_0} , \quad \alpha_Z = \frac{g_Z^2}{4\pi\hbar_0} = \frac{\alpha_{em}}{p(1-p)} . \end{aligned} \quad (15.4.7)$$

4. There is a great temptation to assume that the values of  $Q_{em}$  and  $Q_Z$  correspond to their quantized values and therefore depend on the quantum state assigned to the partonic 2-surface. The linear coupling of the modified Dirac operator to conserved charges implies correlation between the geometry of space-time sheet and quantum numbers assigned to the partonic 2-surface. The assumption of standard quantized values for  $Q_{em}$  and  $Q_Z$  would be also seen as the identification of the fine structure constants  $\alpha_{em}$  and  $\alpha_Z$ . This however requires weak isospin invariance.

### The value of $K$ from classical quantization of Kähler electric charge

The value of  $K$  can be deduced by requiring classical quantization of Kähler electric charge.

1. The condition that the flux of  $F^{03} = (\hbar/g_K)J^{03}$  defining the counterpart of Kähler electric field equals to the Kähler charge  $g_K$  would give the condition  $K = g_K^2/\hbar$ , where  $g_K$  is Kähler coupling constant which should invariant under coupling constant evolution by quantum criticality. Within experimental uncertainties one has  $\alpha_K = g_K^2/4\pi\hbar_0 = \alpha_{em} \simeq 1/137$ , where  $\alpha_{em}$  is finite structure constant in electron length scale and  $\hbar_0$  is the standard value of Planck constant.
2. The quantization of Planck constants makes the condition highly non-trivial. The most general quantization of  $r$  is as rationals but there are good arguments favoring the quantization as integers corresponding to the allowance of only singular coverings of  $CD$  and  $CP_2$ . The point is that in this case a given value of Planck constant corresponds to a finite number pages of the "Big Book". The quantization of the Planck constant implies a further quantization of  $K$  and would suggest that  $K$  scales as  $1/r$  unless the spectrum of values of  $Q_{em}$  and  $Q_Z$  allowed by the quantization condition scales as  $r$ . This is quite possible and the interpretation would be that each of the  $r$  sheets of the covering carries (possibly same) elementary charge. Kind of discrete variant of a full Fermi sphere would be in question. The interpretation in terms of anyonic phases [17] supports this interpretation.
3. The identification of  $J$  as a counterpart of  $eB/\hbar$  means that Kähler action and thus also Kähler function is proportional to  $1/\alpha_K$  and therefore to  $\hbar$ . This implies that for large values of  $\hbar$  Kähler coupling strength  $g_K^2/4\pi$  becomes very small and large fluctuations are suppressed in the functional integral. The basic motivation for introducing the hierarchy of Planck constants was indeed that the scaling  $\alpha \rightarrow \alpha/r$  allows to achieve the convergence of perturbation theory: Nature itself would solve the problems of the theoretician. This of course does not mean that the physical states would remain as such and the replacement of single particles with anyonic states in order to satisfy the condition for  $K$  would realize this concretely.

The weak form of electric-magnetic duality has surprisingly strong implications for basic view about quantum TGD as following considerations show.

### 15.4.2 Magnetic confinement, the short range of weak forces, and color confinement

The weak form of electric-magnetic duality has surprisingly strong implications if one combines it with some very general empirical facts such as the non-existence of magnetic monopole fields in macroscopic length scales.

### How can one avoid macroscopic magnetic monopole fields?

Monopole fields are experimentally absent in length scales above order weak boson length scale and one should have a mechanism neutralizing the monopole charge. How electroweak interactions become short ranged in TGD framework is still a poorly understood problem. What suggests itself is the neutralization of the weak isospin above the intermediate gauge boson Compton length by neutral Higgs bosons. Could the two neutralization mechanisms be combined to single one?

1. In the case of fermions and their super partners the opposite magnetic monopole would be a wormhole throat. If the magnetically charged wormhole contact is electromagnetically neutral but has vectorial weak isospin neutralizing the weak vectorial isospin of the fermion only the electromagnetic charge of the fermion is visible on longer length scales. The distance of this wormhole throat from the fermionic one should be of the order weak boson Compton length. An interpretation as a bound state of fermion and a wormhole throat state with the quantum numbers of a neutral Higgs boson would therefore make sense. The neutralizing throat would have quantum numbers of  $X_{-1/2} = \nu_L \bar{\nu}_R$  or  $X_{1/2} = \bar{\nu}_L \nu_R$ .  $\nu_L \bar{\nu}_R$  would not be neutral Higgs boson (which should correspond to a wormhole contact) but a super-partner of left-handed neutrino obtained by adding a right handed neutrino. This mechanism would apply separately to the fermionic and anti-fermionic throats of the gauge bosons and corresponding space-time sheets and leave only electromagnetic interaction as a long ranged interaction.
2. One can of course wonder what is the situation for the bosonic wormhole throats feeding gauge fluxes between space-time sheets. It would seem that these wormhole throats must always appear as pairs such that for the second member of the pair monopole charges and  $I_V^3$  cancel each other at both space-time sheets involved so that one obtains at both space-time sheets magnetic dipoles of size of weak boson Compton length. The proposed magnetic character of fundamental particles should become visible at TeV energies so that LHC might have surprises in store!

### Magnetic confinement and color confinement

Magnetic confinement generalizes also to the case of color interactions. One can consider also the situation in which the magnetic charges of quarks (more generally, of color excited leptons and quarks) do not vanish and they form color and magnetic singlets in the hadronic length scale. This would mean that magnetic charges of the state  $q_{\pm 1/2} - \bar{X}_{\mp 1/2}$  representing the physical quark would not vanish and magnetic confinement would accompany also color confinement. This would explain why free quarks are not observed. To how degree then quark confinement corresponds to magnetic confinement is an interesting question.

For quark and antiquark of meson the magnetic charges of quark and antiquark would be opposite and meson would correspond to a Kähler magnetic flux so that a stringy view about meson emerges. For valence quarks of baryon the vanishing of the net magnetic charge takes place provided that the magnetic net charges are  $(\pm 2, \mp 1, \mp 1)$ . This brings in mind the spectrum of color hyper charges coming as  $(\pm 2, \mp 1, \mp 1)/3$  and one can indeed ask whether color hyper-charge correlates with the Kähler magnetic charge. The geometric picture would be three strings connected to single vertex. Amusingly, the idea that color hypercharge could be proportional to color hyper charge popped up during the first year of TGD when I had not yet discovered  $CP_2$  and believed on  $M^4 \times S^2$ .

p-Adic length scale hypothesis and hierarchy of Planck constants defining a hierarchy of dark variants of particles suggest the existence of scaled up copies of QCD type physics and weak physics. For p-adically scaled up variants the mass scales would be scaled by a power of  $\sqrt{2}$  in the most general case. The dark variants of the particle would have the same mass as the original one. In particular, Mersenne primes  $M_k = 2^k - 1$  and Gaussian Mersennes  $M_{G,k} = (1 + i)^k - 1$  has been proposed to define zoomed copies of these physics. At the level of magnetic confinement this would mean hierarchy of length scales for the magnetic confinement.

One particular proposal is that the Mersenne prime  $M_{89}$  should define a scaled up variant of the ordinary hadron physics with mass scaled up roughly by a factor  $2^{(107-89)/2} = 512$ . The size scale of color confinement for this physics would be same as the weak length scale. It would look more natural that the weak confinement for the quarks of  $M_{89}$  physics takes place in some shorter scale and  $M_{61}$  is the first Mersenne prime to be considered. The mass scale of  $M_{61}$  weak bosons would

be by a factor  $2^{(89-61)/2} = 2^{14}$  higher and about  $1.6 \times 10^4$  TeV.  $M_{89}$  quarks would have virtually no weak interactions but would possess color interactions with weak confinement length scale reflecting themselves as new kind of jets at collisions above TeV energies.

In the biologically especially important length scale range 10 nm -2500 nm there are as many as four Gaussian Mersennes corresponding to  $M_{G,k}$ ,  $k = 151, 157, 163, 167$ . This would suggest that the existence of scaled up scales of magnetic-, weak- and color confinement. An especially interesting possibly testable prediction is the existence of magnetic monopole pairs with the size scale in this range. There are recent claims about experimental evidence for magnetic monopole pairs [108].

### Magnetic confinement and stringy picture in TGD sense

The connection between magnetic confinement and weak confinement is rather natural if one recalls that electric-magnetic duality in super-symmetric quantum field theories means that the descriptions in terms of particles and monopoles are in some sense dual descriptions. Fermions would be replaced by string like objects defined by the magnetic flux tubes and bosons as pairs of wormhole contacts would correspond to pairs of the flux tubes. Therefore the sharp distinction between gravitons and physical particles would disappear.

The reason why gravitons are necessarily stringy objects formed by a pair of wormhole contacts is that one cannot construct spin two objects using only single fermion states at wormhole throats. Of course, also super partners of these states with higher spin obtained by adding fermions and anti-fermions at the wormhole throat but these do not give rise to graviton like states [18]. The upper and lower wormhole throat pairs would be quantum superpositions of fermion anti-fermion pairs with sum over all fermions. The reason is that otherwise one cannot realize graviton emission in terms of joining of the ends of light-like 3-surfaces together. Also now magnetic monopole charges are necessary but now there is no need to assign the entities  $X_{\pm}$  with gravitons.

Graviton string is characterized by some p-adic length scale and one can argue that below this length scale the charges of the fermions become visible. Mersenne hypothesis suggests that some Mersenne prime is in question. One proposal is that gravitonic size scale is given by electronic Mersenne prime  $M_{127}$ . It is however difficult to test whether graviton has a structure visible below this length scale.

What happens to the generalized Feynman diagrams is an interesting question. It is not at all clear how closely they relate to ordinary Feynman diagrams. All depends on what one is ready to assume about what happens in the vertices. One could of course hope that zero energy ontology could allow some very simple description allowing perhaps to get rid of the problematic aspects of Feynman diagrams.

1. Consider first the recent view about generalized Feynman diagrams which relies zero energy ontology. A highly attractive assumption is that the particles appearing at wormhole throats are on mass shell particles. For incoming and outgoing elementary bosons and their super partners they would be positive it resp. negative energy states with parallel on mass shell momenta. For virtual bosons they the wormhole throats would have opposite sign of energy and the sum of on mass shell states would give virtual net momenta. This would make possible twistor description of virtual particles allowing only massless particles (in 4-D sense usually and in 8-D sense in TGD framework). The notion of virtual fermion makes sense only if one assumes in the interaction region a topological condensation creating another wormhole throat having no fermionic quantum numbers.
2. The addition of the particles  $X^{\pm}$  replaces generalized Feynman diagrams with the analogs of stringy diagrams with lines replaced by pairs of lines corresponding to fermion and  $X_{\pm 1/2}$ . The members of these pairs would correspond to 3-D light-like surfaces glued together at the vertices of generalized Feynman diagrams. The analog of 3-vertex would not be splitting of the string to form shorter strings but the replication of the entire string to form two strings with same length or fusion of two strings to single string along all their points rather than along ends to form a longer string. It is not clear whether the duality symmetry of stringy diagrams can hold true for the TGD variants of stringy diagrams.
3. How should one describe the bound state formed by the fermion and  $X^{\pm}$ ? Should one describe the state as superposition of non-parallel on mass shell states so that the composite state would

be automatically massive? The description as superposition of on mass shell states does not conform with the idea that bound state formation requires binding energy. In TGD framework the notion of negentropic entanglement has been suggested to make possible the analogs of bound states consisting of on mass shell states so that the binding energy is zero [49]. If this kind of states are in question the description of virtual states in terms of on mass shell states is not lost. Of course, one cannot exclude the possibility that there is infinite number of this kind of states serving as analogs for the excitations of string like object.

4. What happens to the states formed by fermions and  $X_{\pm 1/2}$  in the internal lines of the Feynman diagram? Twistor philosophy suggests that only the higher on mass shell excitations are possible. If this picture is correct, the situation would not change in an essential manner from the earlier one.

The highly non-trivial prediction of the magnetic confinement is that elementary particles should have stringy character in electro-weak length scales and could behaving to become manifest at LHC energies. This adds one further item to the list of non-trivial predictions of TGD about physics at LHC energies [26].

## 15.5 Quantum TGD very briefly

There are two basic approaches to the construction of quantum TGD. The first approach relies on the vision of quantum physics as infinite-dimensional Kähler geometry [78] for the "world of classical worlds" (WCW) identified as the space of 3-surfaces in in certain 8-dimensional space. Essentially a generalization of the Einstein's geometrization of physics program is in question. The second vision is the identification of physics as a generalized number theory involving p-adic number fields and the fusion of real numbers and p-adic numbers to a larger structure, classical number fields, and the notion of infinite prime.

With a better resolution one can distinguish also other visions crucial for quantum TGD. Indeed, the notion of finite measurement resolution realized in terms of hyper-finite factors, TGD as almost topological quantum field theory, twistor approach, zero energy ontology, and weak form of electric-magnetic duality play a decisive role in the actual construction and interpretation of the theory. One can however argue that these visions are not so fundamental for the formulation of the theory than the first two.

### 15.5.1 Physics as infinite-dimensional geometry

It is good to start with an attempt to give overall view about what the dream about physics as infinite-dimensional geometry is. The basic vision is generalization of the Einstein's program for the geometrization of classical physics so that entire quantum physics would be geometrized. Finite-dimensional geometry is certainly not enough for this purposed but physics as infinite-dimensional geometry of what might be called world of classical worlds (WCW) -or more neutrally configuration space of 3-surfaces of some higher-dimensional imbeddign space- might make sense. The requirement that the Hermitian conjugation of quantum theories has a geometric realization forces Kähler geometry for WCW. WCW defines the fixed arena of quantum physics and physical states are identified as spinor fields in WCW. These spinor fields are classical and no second quantization is needed at this level. The justification comes from the observation that infinite-dimensional Clifford algebra [63] generated by gamma matrices allows a natural identification as fermionic oscillator algebra.

The basic challenges are following.

1. Identify WCW.
2. Provide WCW with Kähler metric and spinor structure
3. Define what spinors and spinor fields in WCW are.

There is huge variety of finite-dimensional geometries and one might think that in infinite-dimensional case one might be drowned with the multitude of possibilities. The situation is however exactly opposite. The loop spaces associated with groups have a unique Kähler geometry due to the simple



condition that Riemann connection exists mathematically [68]. This condition requires that the metric possesses maximal symmetries. Thus raises the vision that infinite-dimensional Kähler geometric existence is unique once one poses the additional condition that the resulting geometry satisfies some basic constraints forced by physical considerations.

The observation about the uniqueness of loop geometries leads also to a concrete vision about what this geometry could be. Perhaps WCW could be regarded as a union of symmetric spaces [75] for which every point is equivalent with any other. This would simplify the construction of the geometry immensely and would mean a generalization of cosmological principle to infinite-D context [17, 51].

This still requires an answer to the question why  $M^4 \times CP_2$  is so unique. Something in the structure of this space must distinguish it in a unique manner from any other candidate. The uniqueness of  $M^4$  factor can be understood from the miraculous conformal symmetries of the light-cone boundary but in the case of  $CP_2$  there is no obvious mathematical argument of this kind although physically  $CP_2$  is unique [56]. The observation that  $M^4 \times CP_2$  has dimension 8, the space-time surfaces have dimension 4, and partonic 2-surfaces, which are the fundamental objects by holography have dimension 2, suggests that classical number fields [79, 71, 67] are involved and one can indeed end up to the choice  $M^4 \times CP_2$  from physics as generalized number theory vision by simple arguments [19, 52]. In particular, the choices  $M^8$  -a subspace of complexified octonions (for octonions see [67]), which I have used to call hyper-octonions- and  $M^4 \times CP_2$  can be regarded as physically equivalent: this "number theoretical compactification" is analogous to spontaneous compactification in M-theory. No dynamical compactification takes place so that  $M^8 - H$  duality is a more appropriate term.

### 15.5.2 Physics as generalized number theory

Physics as a generalized number theory (for an overview about number theory see [69]) program consists of three separate threads: various p-adic physics and their fusion together with real number based physics to a larger structure [24, 53], the attempt to understand basic physics in terms of classical number fields [19, 52] (in particular, identifying associativity condition as the basic dynamical principle), and infinite primes [16, 55], whose construction is formally analogous to a repeated second quantization of an arithmetic quantum field theory. In this article a summary of the philosophical ideas behind this dream and a summary of the technical challenges and proposed means to meet them are discussed.

The construction of p-adic physics and real physics poses formidable looking technical challenges: p-adic physics should make sense both at the level of the imbedding space, the "world of classical worlds" (WCW), and space-time and these physics should allow a fusion to a larger coherent whole. This forces to generalize the notion of number by fusing reals and p-adics along rationals and common algebraic numbers. The basic problem that one encounters is definition of the definite integrals and harmonic analysis [77] in the p-adic context [20]. It turns out that the representability of WCW as a union of symmetric spaces [75] provides a universal group theoretic solution not only to the construction of the Kähler geometry of WCW but also to this problem. The p-adic counterpart of a symmetric space is obtained from its discrete invariant by replacing discrete points with p-adic variants of the continuous symmetric space. Fourier analysis [77] reduces integration to summation. If one wants to define also integrals at space-time level, one must pose additional strong constraints which effectively reduce the partonic 2-surfaces and perhaps even space-time surfaces to finite geometries and allow assign to a given partonic 2-surface a unique power of a unique p-adic prime characterizing the measurement resolution in angle variables. These integrals might make sense in the intersection of real and p-adic worlds defined by algebraic surfaces.

The dimensions of partonic 2-surface, space-time surface, and imbedding space suggest that classical number fields might be highly relevant for quantum TGD. The recent view about the connection is based on hyper-octonionic representation of the imbedding space gamma matrices, and the notions of associative and co-associative space-time regions defined as regions for which the modified gamma matrices span quaternionic or co-quaternionic plane at each point of the region. A further condition is that the tangent space at each point of space-time surface contains a preferred hyper-complex (and thus commutative) plane identifiable as the plane of non-physical polarizations so that gauge invariance has a purely number theoretic interpretation. WCW can be regarded as the space of sub-algebras of the local octonionic Clifford algebra [63] of the imbedding space defined by space-time surfaces with the property that the local sub-Clifford algebra spanned by Clifford algebra valued functions restricted

at them is associative or co-associative in a given region.

The recipe for constructing infinite primes is structurally equivalent with a repeated second quantization of an arithmetic super-symmetric quantum field theory. At the lowest level one has fermionic and bosonic states labeled by finite primes and infinite primes correspond to many particle states of this theory. Also infinite primes analogous to bound states are predicted. This hierarchy of quantizations can be continued indefinitely by taking the many particle states of the previous level as elementary particles at the next level. Construction could make sense also for hyper-quaternionic and hyper-octonionic primes although non-commutativity and non-associativity pose technical challenges. One can also construct infinite number of real units as ratios of infinite integers with a precise number theoretic anatomy. The fascinating finding is that the quantum states labeled by standard model quantum numbers allow a representation as wave functions in the discrete space of these units. Space-time point becomes infinitely richly structured in the sense that one can associate to it a wave function in the space of real (or octonionic) units allowing to represent the WCW spinor fields. One can speak about algebraic holography or number theoretic Brahman=Atman identity and one can also say that the points of imbedding space and space-time surface are subject to a number theoretic evolution.

### 15.5.3 Questions

The experience has shown repeatedly that a correct question and identification of some weakness of existing vision is what can only lead to a genuine progress. In the following I discuss the basic questions, which have stimulated progress in the challenge of constructing WCW geometry.

#### What is WCW?

Concerning the identification of WCW I have made several guesses and the progress has been basically due to the gradual realization of various physical constraints and the fact that standard physics ontology is not enough in TGD framework.

1. The first guess was that WCW corresponds to all possible space-like 3-surfaces in  $H = M^4 \times CP_2$ , where  $M^4$  denotes Minkowski space and  $CP_2$  denotes complex projective space of two complex dimensions having also representation as coset space  $SU(3)/U(2)$  (see the separate article summarizing the basic facts about  $CP_2$  and how it codes for standard model symmetries [58, 54]). What led to this particular choice  $H$  was the observation that the geometry of  $H$  codes for standard model quantum numbers and that the generalization of particle from point like particle to 3-surface allows to understand also remaining quantum numbers having no obvious explanation in standard model (family replication phenomenon). What is important to notice is that Poincare symmetries act as exact symmetries of  $M^4$  rather than space-time surface itself: this realizes the basic vision about Poincare invariant theory of gravitation. This lifting of symmetries to the level of imbedding space and the new dynamical degrees of freedom brought by the sub-manifold geometry of space-time surface are absolutely essential for entire quantum TGD and distinguish it from general relativity and string models. There is however a problem: it is not obvious how to get cosmology.
2. The second guess was that WCW consists of space-like 3-surfaces in  $H_+ = M_+^4 \times CP_2$ , where  $M_+^4$  future light-cone having interpretation as Big Bang cosmology at the limit of vanishing mass density with light-cone property time identified as the cosmic time. One obtains cosmology but loses exact Poincare invariance in cosmological scales since translations lead out of future light-cone. This as such has no practical significance but due to the metric 2-dimensionality of light-cone boundary  $\delta M_+^4$  the conformal symmetries of string model assignable to finite-dimensional Lie group generalize to conformal symmetries assignable to an infinite-dimensional symplectic group of  $S^2 \times CP_2$  and also localized with respect to the coordinates of 3-surface. These symmetries are simply too beautiful to be important only at the moment of Big Bang and must be present also in elementary particle length scales. Note that these symmetries are present only for 4-D Minkowski space so that a partial resolution of the old conundrum about why space-time dimension is just four emerges.
3. The third guess was that the light-like 3-surfaces in  $H$  or  $H_+$  are more attractive than space-like 3-surfaces. The reason is that the infinite-D conformal symmetries characterize also light-like

3-surfaces because they are metrically 2-dimensional. This leads to a generalization of Kac-Moody symmetries [62] of super string models with finite-dimensional Lie group replaced with the group of isometries of  $H$ . The natural identification of light-like 3-surfaces is as 3-D surfaces defining the regions at which the signature of the induced metric changes from Minkowskian  $(1, -1, -1, -1)$  to Euclidian  $(-1 - 1 - 1 - 1)$ - I will refer these surfaces as throats or wormhole throats in the sequel. Light-like 3-surfaces are analogous to blackhole horizons and are static because strong gravity makes them light-like. Therefore also the dimension 4 for the space-time surface is unique.

This identification leads also to a rather unexpected physical interpretation. Single lightlike wormhole throat carries elementary particle quantum numbers. Fermions and their superpartners are obtained by glueing Euclidian regions (deformations of so called  $CP_2$  type vacuum extremals of Kähler action) to the background with Minkowskian signature. Bosons are identified as wormhole contacts with two throats carrying fermion *resp.* antifermionic quantum numbers. These can be identified as deformations of  $CP_2$  vacuum extremals between between two parallel Minkowskian space-time sheets. One can say that bosons and their superpartners emerge. This has dramatic implications for quantum TGD [31] and QFT limit of TGD [21].

The question is whether one obtains also a generalization of Feynman diagrams. The answer is affirmative. Light-like 3-surfaces or corresponding Euclidian regions of space-time are analogous to the lines of Feynman diagram and vertices are replaced by 2-D surface at which these surfaces glued together. One can speak about Feynman diagrams with lines thickened to light-like 3-surfaces and vertices to 2-surfaces. The generalized Feynman diagrams are singular as 3-manifolds but the vertices are non-singular as 2-manifolds. Same applies to the corresponding space-time surfaces and space-like 3-surfaces. Therefore one can say that WCW consists of generalized Feynman diagrams- something rather different from the original identification as space-like 3-surfaces and one can wonder whether these identification could be equivalent.

4. The fourth guess was a generalization of the WCW combining the nice aspects of the identifications  $H = M^4 \times CP_2$  (exact Poincare invariance) and  $H = M_+^4 \times CP_2$  (Big Bang cosmology). The idea was to generalize WCW to a union of basic building bricks -causal diamonds (CDs) - which themselves are analogous to Big Bang-Big Crunch cosmologies breaking Poincare invariance, which is however regained by the allowance of union of Poincare transforms of the causal diamonds.

The starting point is General Coordinate Invariance (GCI). It does not matter, which 3-D slice of the space-time surface one choose to represent physical data as long as slices are related by a diffeomorphism of the space-time surface. This condition implies holography in the sense that 3-D slices define holograms about 4-D reality.

The question is whether one could generalize GCI in the sense that the descriptions using space-like and light-like 3-surfaces would be equivalent physically. This requires that finite-sized space-like 3-surfaces are somehow equivalent with light-like 3-surfaces. This suggests that the light-like 3-surfaces must have ends. Same must be true for the space-time surfaces and must define preferred space-like 3-surfaces just like wormhole throats do. This makes sense only if the 2-D intersections of these two kinds of 3-surfaces -call them partonic 2-surfaces- and their 4-D tangent spaces carry the information about quantum physics. A strengthening of holography principle would be the outcome. The challenge is to understand, where the intersections defining the partonic 2-surfaces are located.

Zero energy ontology (ZEO) allows to meet this challenge.

- (a) Assume that WCW is union of sub-WCWs identified as the space of light-like 3-surfaces assignable to  $CD \times CP_2$  with given  $CD$  defined as an intersection of future and past directed lightcones of  $M^4$ . The tips of  $CD$ s have localization in  $M^4$  and one can perform for  $CD$  both translations and Lorentz boost for  $CD$ s. Space-time surfaces inside  $CD$  define the basic building brick of WCW. Also unions of  $CD$ s allowed and the  $CD$ s belonging to the union can intersect. One can of course consider the possibility of intersections and analogy with the set theoretic realization of topology.
- (b) ZEO property means that the light-like boundaries of these objects carry positive and negative energy states, whose quantum numbers are opposite. Everything can be created

from vacuum and can be regarded as quantum fluctuations in the standard vocabulary of quantum field theories.

- (c) Space-time surfaces inside  $CDs$  begin from the lower boundary and end to the upper boundary and in ZEO it is natural to identify space-like 3-surfaces as pairs of space-like 3-surfaces at these boundaries. Light-like 3-surfaces connect these boundaries.
- (d) The generalization of GCI states that the descriptions based on space-like 3-surfaces must be equivalent with that based on light-like 3-surfaces. Therefore only the 2-D intersections of light-like and space-like 3-surfaces - partonic 2-surfaces- and their 4-D tangent spaces (4-surface is there!) matter. Effective 2-dimensionality means a strengthened form of holography but does not imply exact 2-dimensionality, which would reduce the theory to a mere string model like theory. Once these data are given, the 4-D space-time surface is fixed and is analogous to a generalization of Bohr orbit to infinite-D context. This is the first guess. The situation is actually more delicate due to the non-determinism of Kähler action motivating the interaction of the hierarchy of  $CDs$  within  $CDs$ .

In this framework one obtains cosmology:  $CDs$  represent a fractal hierarchy of big bang-big crunch cosmologies. One obtains also Poincare invariance. One can also interpret the non-conservation of gravitational energy in cosmology which is an empirical fact but in conflict with exact Poincare invariance as it is realized in positive energy ontology [32, 29]. The reason is that energy and four-momentum in zero energy ontology correspond to those assignable to the positive energy part of the zero energy state of a particular  $CD$ . The density of energy as cosmologist defines it is the statistical average for given  $CD$ : this includes the contributions of sub- $CDs$ . This average density is expected to depend on the size scale of  $CD$  density is should therefore change as quantum dispersion in the moduli space of  $CDs$  takes place and leads to large time scale for any fixed sub- $CD$ .

Even more, one obtains actually quantum cosmology! There is large variety of  $CDs$  since they have position in  $M^4$  and Lorentz transformations change their shape. The first question is whether the  $M^4$  positions of both tips of  $CD$  can be free so that one could assign to both tips of  $CD$  momentum eigenstates with opposite signs of four-momentum. The proposal, which might look somewhat strange, is that this not the case and that the proper time distance between the tips is quantized in octaves of a fundamental time scale  $T = R/c$  defined by  $CP_2$  size  $R$ . This would explain p-adic length scale hypothesis which is behind most quantitative predictions of TGD. That the time scales assignable to the  $CD$  of elementary particles correspond to biologically important time scales [37] forces to take this hypothesis very seriously.

The interpretation for  $T$  could be as a cosmic time quantized in powers of two. Even more general quantization is proposed to take place. The relative position of the second tip with respect to the first defines a point of the proper time constant hyperboloid of the future light cone. The hypothesis is that one must replace this hyperboloid with a lattice like structure. This implies very powerful cosmological predictions finding experimental support from the quantization of redshifts for instance [29]. For quite recent further empirical support see [110].

One should not take this argument without a grain of salt. Can one really realize zero energy ontology in this framework? The geometric picture is that translations correspond to translations of  $CDs$ . Translations should be done independently for the upper and lower tip of  $CD$  if one wants to speak about zero energy states but this is not possible if the proper time distance is quantized. If the relative  $M^4_+$  coordinate is discrete, this pessimistic conclusion is strengthened further.

The manner to get rid of problem is to assume that translations are represented by quantum operators acting on states at the light-like boundaries. This is just what standard quantum theory assumes. An alternative- purely geometric- way out of difficulty is the Kac-Moody symmetry associated with light-like 3-surfaces meaning that local  $M^4$  translations depending on the point of partonic 2-surface are gauge symmetries. For a given translation leading out of  $CD$  this gauge symmetry allows to make a compensating transformation which allows to satisfy the constraint.

This picture is roughly the recent view about  $WCW$ . What deserves to be emphasized is that a very concrete connection with basic structures of quantum field theory emerges already at the level of

basic objects of the theory and GCI implies a strong form of holography and almost stringy picture.

### Some Why's

In the following I try to summarize the basic motivations behind quantum TGD in form of various Why's.

#### 1. Why WCW?

Einstein's program has been extremely successful at the level of classical physics. Fusion of general relativity and quantum theory has however failed. The generalization of Einstein's geometrization program of physics from classical physics to quantum physics gives excellent hopes about the success in this project. Infinite-dimensional geometries are highly unique and this gives hopes about fixing the physics completely from the uniqueness of the infinite-dimensional Kähler geometric existence.

#### 2. Why spinor structure in WCW?

Gamma matrices defining the Clifford algebra [63] of WCW are expressible in terms of fermionic oscillator operators. This is obviously something new as compared to the view about gamma matrices as bosonic objects. There is however no deep reason denying this kind of identification. As a consequence, a geometrization of fermionic oscillator operator algebra and fermionic statistics follows as also geometrization of super-conformal symmetries [70, 62] since gamma matrices define super-generators of the algebra of WCW isometries extended to a super-algebra.

#### 3. Why Kähler geometry?

Geometrization of the bosonic oscillator operators in terms of WCW vector fields and fermionic oscillator operators in terms of gamma matrices spanning Clifford algebra. Gamma matrices span hyper-finite factor of type  $II_1$  and the extremely beautiful properties of these von Neuman algebras [60] (one of the three von Neuman algebras that von Neumann suggests as possible mathematical frameworks behind quantum theory) lead to a direct connection with the basic structures of modern physics (quantum groups, non-commutative geometries,.. [81]).

A further reason why is the finiteness of the theory.

- (a) In standard QFTs there are two kinds of divergences. Action is a local functional of fields in 4-D sense and one performs path integral over **all** 4-surfaces to construct S-matrix. Mathematically path integration is a poorly defined procedure and one obtains diverging Gaussian determinants and divergences due to the local interaction vertices. Regularization provides the manner to get rid of the infinities but makes the theory very ugly.
- (b) Kähler function defining the Kähler geometry is expected to be non-local functional of the partonic 2-surface (Kähler action for a preferred extremal having as its ends the positive and negative energy 3-surfaces). Path integral is replaced with a functional integral which is mathematically well-defined procedure and one performs functional integral only over the partonic 2-surfaces rather than all 4-surfaces (holography). The exponent of Kähler function defines a unique vacuum functional. The local divergences of local quantum field theories since there are no local interaction vertices. Also the divergences associated with the Gaussian determinant and metric determinant cancel since these two determinants cancel each other in the integration over WCW. As a matter fact, symmetric space property suggest a much more elegant manner to perform the functional integral by reducing it to harmonic analysis in infinite-dimensional symmetric space [18].
- (c) One can imagine also the possibility of divergences in fermionic degrees of freedom but it has turned out that the generalized Feynman diagrams in ZEO are manifestly finite. Even more: it is quite possible that only finite number of these diagrams give non-vanishing contributions to the scattering amplitude. This is essentially due to the new view about virtual particles, which are identified as bound states of on mass shell states assigned with the throats of wormhole contacts so that the integration over loop momenta of virtual particles is extremely restricted [18].

## 4. Why infinite-dimensional symmetries?

WCW must be a union of symmetric spaces in order that the Riemann connection exists (this generalizes the finding of Freed for loop groups [68]). Since the points of symmetric spaces are metrically equivalent, the geometrization becomes tractable although the dimension is infinite. A union of symmetric spaces is required because 3-surfaces with a size of galaxy and electron cannot be metrically equivalent. Zero modes distinguish these surfaces and can be regarded as purely classical degrees of freedom whereas the degrees of freedom contributing to the WCW line element are quantum fluctuating degrees of freedom.

One immediate implication of the symmetric space property is constant curvature space property meaning that the Ricci tensor proportional to metric tensor. Infinite-dimensionality means that Ricci scalar either vanishes or is infinite. This implies vanishing of Ricci tensor and vacuum Einstein equations for WCW.

5. Why  $M^4 \times CP_2$ ?

This choice provides an explanation for standard model quantum numbers. The conjecture is that infinite-D geometry of 3-surfaces exists only for this choice. As noticed, the dimension of space-time surfaces and  $M^4$  fixed by the requirement of generalized conformal invariance [83] making possible to achieve symmetric space property. If  $M^4 \times CP_2$  is so special, there must be a good reason for this. Number theoretical vision [19, 52] indeed leads to the identification of this reason. One can assign the hierarchy of dimensions associated with partonic 2-surfaces, space-time surfaces and imbedding space to classical number fields and can assign to imbedding space what might be called hyper-octonionic structure. "Hyper" comes from the fact that the tangent space of  $H$  corresponds to the subspaces of complexified octonions with octonionic imaginary units multiplied by a commuting imaginary unit. The space-time regions would be either hyper-quaternionic or co-hyper-quaternionic so that associativity/co-associativity would become the basic dynamical principle at the level of space-time dynamics. Whether this dynamical principle is equivalent with the preferred extremal property of Kähler action remains an open conjecture.

## 6. Why zero energy ontology and why causal diamonds?

The consistency between Poincare invariance and GRT requires ZEO. In positive energy ontology only one of the infinite number of classical solutions is realized and partially fixed by the values of conserved quantum numbers so that the theory becomes obsolete. Even in quantum theory conservation laws mean that only those solutions of field equations with the quantum numbers of the initial state of the Universe are interesting and one faces the problem of understanding what the the initial state of the universe was. In ZEO these problems disappear. Everything is creatable from vacuum: if the physical state is mathematically realizable it is in principle reachable by a sequence of quantum jumps. There are no physically non-reachable entities in the theory. Zero energy ontology leads also to a fusion of thermodynamics with quantum theory. Zero energy states are defined as entangled states of positive and negative energy states and entanglement coefficients define what I call  $M$ -matrix identified as "complex square root" of density matrix expressible as a product of diagonal real and positive density matrix and unitary  $S$ -matrix [31].

There are several good reasons why for causal diamonds. ZEO requires  $CDs$ , the generalized form of GCI and strong form of holography (light-like and space-like 3-surfaces are physically equivalent representations) require  $CDs$ , and also the view about light-like 3-surfaces as generalized Feynman diagrams requires  $CDs$ . Also the classical non-determinism of Kähler action can be understood using the hierarchy  $CDs$  and the addition of  $CDs$  inside  $CDs$  to obtain a fractal hierarchy of them provides an elegant manner to understand radiative corrections and coupling constant evolution in TGD framework.

A strong physical argument in favor of  $CDs$  is the finding that the quantized proper time distance between the tips of  $CD$  fixed to be an octave of a fundamental time scale defined by  $CP_2$  happens to define fundamental biological time scale for electron,  $u$  quark and  $d$  quark [37]: there would be a deep connection between elementary particle physics and living matter leading to testable predictions.

### 15.5.4 Modified Dirac action

The construction of the spinor structure for the world of classical worlds (WCW) leads to the vision that second quantized modified Dirac equation codes for the entire quantum TGD. Among other things this would mean that Dirac determinant would define the vacuum functional of the theory having interpretation as the exponent of Kähler function of WCW and Kähler function would reduce to Kähler action for a preferred extremal of Kähler action. In this chapter the recent view about the modified Dirac action are explained in more detail.

#### Identification of the modified Dirac action

The modified Dirac action involves several terms. The first one is 4-dimensional assignable to Kähler action. Second term is instanton term reducible to an expression restricted to wormhole throats or any light-like 3-surfaces parallel to them in the slicing of space-time surface by light-like 3-surfaces. The third term is assignable to Chern-Simons term and has interpretation as a measurement interaction term linear in Cartan algebra of the isometry group of the imbedding space in order to obtain stringy propagators and also to realize coupling between the quantum numbers associated with super-conformal representations and space-time geometry required by quantum classical correspondence.

This means that 3-D light-like wormhole throats carry induced spinor field which can be regarded as independent degrees of freedom having the spinor fields at partonic 2-surfaces as sources and acting as 3-D sources for the 4-D induced spinor field. The most general measurement interaction would involve the corresponding coupling also for Kähler action but is not physically motivated. There are good arguments in favor of Chern-Simons Dirac action and corresponding measurement interaction.

1. A correlation between 4-D geometry of space-time sheet and quantum numbers is achieved by the identification of exponent of Kähler function as Dirac determinant making possible the entanglement of classical degrees of freedom in the interior of space-time sheet with quantum numbers.
2. Cartan algebra plays a key role not only at quantum level but also at the level of space-time geometry since quantum critical conserved currents vanish for Cartan algebra of isometries and the measurement interaction terms giving rise to conserved currents are possible only for Cartan algebras. Furthermore, modified Dirac equation makes sense only for eigen states of Cartan algebra generators. The hierarchy of Planck constants realized in terms of the book like structure of the generalized imbedding space assigns to each  $CD$  (causal diamond) preferred Cartan algebra: in case of Poincare algebra there are two of them corresponding to linear and cylindrical  $M^4$  coordinates.
3. Quantum holography and dimensional reduction hierarchy in which partonic 2-surface defined fermionic sources for 3-D fermionic fields at light-like 3-surfaces  $Y_l^3$  in turn defining fermionic sources for 4-D spinors find an elegant realization. Effective 2-dimensionality is achieved if the replacement of light-like wormhole throat  $X_l^3$  with light-like 3-surface  $Y_l^3$  "parallel" with it in the definition of Dirac determinant corresponds to the  $U(1)$  gauge transformation  $K \rightarrow K + f + \bar{f}$  for Kähler function of WCW so that WCW Kähler metric is not affected. Here  $f$  is holomorphic function of WCW ("world of classical worlds") complex coordinates and arbitrary function of zero mode coordinates.
4. An elegant description of the interaction between super-conformal representations realized at partonic 2-surfaces and dynamics of space-time surfaces is achieved since the values of Cartan charges are feeded to the 3-D Dirac equation which also receives mass term at the same time. Almost topological QFT at wormhole throats results at the limit when four-momenta vanish: this is in accordance with the original vision about TGD as almost topological QFT.
5. A detailed view about the physical role of quantum criticality results. Quantum criticality fixes the values of Kähler coupling strength as the analog of critical temperature. Quantum criticality implies that second variation of Kähler action vanishes for critical deformations and the existence of conserved current except in the case of Cartan algebra of isometries. Quantum criticality allows to fix the values of couplings appearing in the measurement interaction by using

the condition  $K \rightarrow K + f + \bar{f}$ . p-Adic coupling constant evolution can be understood also and corresponds to scale hierarchy for the sizes of causal diamonds (CDs).

6. The inclusion of imaginary instanton term to the definition of the modified gamma matrices is not consistent with the conjugation of the induced spinor fields. Measurement interaction can be however assigned to both Kähler action and its instanton term. CP breaking, irreversibility and the space-time description of dissipation are closely related and the CP and T oddness of the instanton part of the measurement interaction term could provide first level description for dissipative effects. It must be however emphasized that the mere addition of instanton term to Kähler function could be enough.
7. A radically new view about matter antimatter asymmetry based on zero energy ontology emerges and one could understand the experimental absence of antimatter as being due to the fact antimatter corresponds to negative energy states. The identification of bosons as wormhole contacts is the only possible option in this framework.
8. Almost stringy propagators and a consistency with the identification of wormhole throats as lines of generalized Feynman diagrams is achieved. The notion of bosonic emergence leads to a long sought general master formula for the  $M$ -matrix elements. The counterpart for fermionic loop defining bosonic inverse propagator at QFT limit is wormhole contact with fermion and cutoffs in mass squared and hyperbolic angle for loop momenta of fermion and antifermion in the rest system of emitting boson have precise geometric counterpart.

### Hyper-quaternionicity and quantum criticality

The conjecture that quantum critical space-time surfaces are hyper-quaternionic in the sense that the modified gamma matrices span a quaternionic subspace of complexified octonions at each point of the space-time surface is consistent with what is known about preferred extremals. The condition that both the modified gamma matrices and spinors are quaternionic at each point of the space-time surface leads to a precise ansatz for the general solution of the modified Dirac equation making sense also in the real context. The octonionic version of the modified Dirac equation is very simple since  $SO(7, 1)$  as vielbein group is replaced with  $G_2$  acting as automorphisms of octonions so that only the neutral Abelian part of the classical electro-weak gauge fields survives the map.

Octonionic gamma matrices provide also a non-associative representation for the 8-D version of Pauli sigma matrices and encourage the identification of 8-D twistors as pairs of octonionic spinors conjectured to be highly relevant also for quantum TGD. Quaternionicity condition implies that octo-twistors reduce to something closely related to ordinary twistors.

### The exponent of Kähler function as Dirac determinant for the modified Dirac action

Although quantum criticality in principle predicts the possible values of Kähler coupling strength, one might hope that there exists even more fundamental approach involving no coupling constants and predicting even quantum criticality and realizing quantum gravitational holography.

1. The Dirac determinant defined by the product of Dirac determinants associated with the light-like partonic 3-surfaces  $X_l^3$  associated with a given space-time sheet  $X^4$  is the simplest candidate for vacuum functional identifiable as the exponent of the Kähler function. Individual Dirac determinant is defined as the product of eigenvalues of the dimensionally reduced modified Dirac operator  $D_{K,3}$  and there are good arguments suggesting that the number of eigenvalues is finite. p-Adicization requires that the eigenvalues belong to a given algebraic extension of rationals. This restriction would imply a hierarchy of physics corresponding to different extensions and could automatically imply the finiteness and algebraic number property of the Dirac determinants if only finite number of eigenvalues would contribute. The regularization would be performed by physics itself if this were the case.
2. It remains to be proven that the product of eigenvalues gives rise to the exponent of Kähler action for the preferred extremal of Kähler action. At this moment the only justification for the conjecture is that this the only thing that one can imagine.



3. A long-standing conjecture has been that the zeros of Riemann Zeta are somehow relevant for quantum TGD. Riemann zeta is however naturally replaced Dirac zeta defined by the eigenvalues of  $D_{K,3}$  and closely related to Riemann Zeta since the spectrum consists essentially for the cyclotron energy spectra for localized solutions region of non-vanishing induced Kähler magnetic field and hence is in good approximation integer valued up to some cutoff integer. In zero energy ontology the Dirac zeta function associated with these eigenvalues defines "square root" of thermodynamics assuming that the energy levels of the system in question are expressible as logarithms of the eigenvalues of the modified Dirac operator defining kind of fundamental constants. Critical points correspond to approximate zeros of Dirac zeta and if Kähler function vanishes at criticality as it indeed should, the thermal energies at critical points are in first order approximation proportional to zeros themselves so that a connection between quantum criticality and approximate zeros of Dirac zeta emerges.
4. The discretization induced by the number theoretic braids reduces the world of classical worlds to effectively finite-dimensional space and configuration space Clifford algebra reduces to a finite-dimensional algebra. The interpretation is in terms of finite measurement resolution represented in terms of Jones inclusion  $\mathcal{M} \subset \mathcal{N}$  of HFFs with  $\mathcal{M}$  taking the role of complex numbers. The finite-D quantum Clifford algebra spanned by fermionic oscillator operators is identified as a representation for the coset space  $\mathcal{N}/\mathcal{M}$  describing physical states modulo measurement resolution. In the sectors of generalized imbedding space corresponding to non-standard values of Planck constant quantum version of Clifford algebra is in question.

### 15.5.5 Three Dirac operators and their interpretation

The physical interpretation of Kähler Dirac equation is not at all straightforward. The following arguments inspired by effective 2-dimensionality suggest that the modified gamma matrices and corresponding effective metric could allow dual gravitational description of the physics associated with wormhole throats. This applies in particular to condensed matter physics.

#### Three Dirac equations

To begin with, Dirac equation appears in three forms in TGD.

1. The Dirac equation in world of classical worlds codes for the super Virasoro conditions for the super Kac-Moody and similar representations formed by the states of wormhole contacts forming the counterpart of string like objects (throats correspond to the ends of the string. This Dirac generalizes the Dirac of 8-D imbedding space by bringing in vibrational degrees of freedom. This Dirac equation should give as its solutions zero energy states and corresponding M-matrices generalizing S-matrix and their collection defining the unitary U-matrix whose natural application appears in consciousness theory as a coder of what Penrose calls U-process.
2. There is generalized eigenvalue equation for Chern-Simons Dirac operator at light-like wormhole throats. The generalized eigenvalue is  $p^k \gamma_k$ . The interpretation of pseudo-momentum  $p^k$  has been a problem but twistor Grassmannian approach suggests strongly that it can be interpreted as the counterpart of equally mysterious region momentum appearing in momentum twistor Grassmannian approach to  $\mathcal{N} = 4$  SYM. The pseudo-/region momentum  $p$  is quantized (this does not spoil the basics of Grassmannian residues integral approach) and  $1/p^k \gamma_k$  defines propagator in lines of generalized Feynman diagrams. The Yangian symmetry discovered generalizes in a very straightforward manner and leads also to the realization that TGD could allow also a twistorial formulation in terms of product  $CP_3 \times CP_3$  of two twistor spaces [32]. General arguments lead to a proposal for explicit form for the solutions of field equation represented identified as holomorphic 6-surfaces in this space subject to additional partial differential equations for homogenous functions of projective twistor coordinates suggesting strongly the quantal interpretation as analogs of partial waves. Therefore quantum-classical correspondence would be realized in beautiful manner.
3. There is Kähler Dirac equation in the interior of space-time. In this equation the gamma matrices are replaced with modified gamma matrices defined by the contractions of canonical momentum

currents  $T_k^\alpha = \partial L / \partial_\alpha h^k$  with imbedding space gamma matrices  $\Gamma_k$ . This replacement is required by internal consistency and by super-conformal symmetries.

Could Kähler Dirac equation provide a first principle justification for the light-hearted use of effective mass and the analog of Dirac equation in condensed matter physics? This would conform with the holographic philosophy. Partonic 2-surfaces with tangent space data and their light-like orbits would give hologram like representation of physics and the interior of space-time the 4-D representation of physics. Holography would have in the recent situation interpretation also as quantum classical correspondence between representations of physics in terms of quantized spinor fields at the light-like 3-surfaces on one hand and in terms of classical fields on the other hand.

The resulting dispersion relation for the square of the Kähler-Dirac operator assuming that induced like metric, Kähler field, etc. are very slowly varying contains quadratic and linear terms in momentum components plus a term corresponding to magnetic moment coupling. In general massive dispersion relation is obtained as is also clear from the fact that Kähler Dirac gamma matrices are combinations of  $M^4$  and  $CP_2$  gammas so that modified Dirac mixes different  $M^4$  chiralities (basic signal for massivation). If one takes into account the dependence of the induced geometric quantities on space-time point dispersion relations become non-local.

### Does energy metric provided the gravitational dual for condensed matter systems?

The modified gamma matrices define an effective metric via their anticommutators which are quadratic in components of energy momentum tensor (canonical momentum densities). This effective metric vanishes for vacuum extremals. Note that the use of modified gamma matrices guarantees among other things internal consistency and super-conformal symmetries of the theory. The physical interpretation has remained obscure hitherto although corresponding effective metric for Chern-Simons Dirac action has now a clear physical interpretation.

If the above argument is on the right track, this effective metric should have applications in condensed matter theory. In fact, energy metric has a natural interpretation in terms of effective light velocities which depend on direction of propagation. One can diagonalize the energy metric  $g_e^{\alpha\beta}$  (contravariant form results from the anticommutators) and one can denote its eigenvalues by  $(v_0, v_i)$  in the case that the signature of the effective metric is  $(1, -1, -1, -1)$ . The 3-vector  $v_i/v_0$  has interpretation as components of effective light velocity in various directions as becomes clear by thinking the d'Alembert equation for the energy metric. This velocity field could be interpreted as that of hydrodynamic flow. The study of the extremals of Kähler action shows that if this flow is actually Beltrami flow so that the flow parameter associated with the flow lines extends to global coordinate, Kähler action reduces to a 3-D Chern-Simons action and one obtains effective topological QFT. The conserved fermion current  $\bar{\Psi}\Gamma_e^\alpha\Psi$  has interpretation as incompressible hydrodynamical flow.

This would give also a nice analogy with AdS/CFT correspondence allowing to describe various kinds of physical systems in terms of higher-dimensional gravitation and black holes are introduced quite routinely to describe condensed matter systems. In TGD framework one would have an analogous situation but with 10-D space-time replaced with the interior of 4-D space-time and the boundary of AdS representing Minkowski space with the light-like 3-surfaces carrying matter. The effective gravitation would correspond to the "energy metric". One can associate with it curvature tensor, Ricci tensor and Einstein tensor using standard formulas and identify effective energy momentum tensor associated as Einstein tensor with effective Newton's constant appearing as constant of proportionality. Note however that the besides ordinary metric and "energy" metric one would have also the induced classical gauge fields having purely geometric interpretation and action would be Kähler action. This 4-D holography would provide a precise, dramatically simpler, and also a very concrete dual description. This cannot be said about model of graphene based on the introduction of 10-dimensional black holes, branes, and strings chosen in more or less ad hoc manner.

This raises questions. Does this give a general dual gravitational description of dissipative effects in terms of the "energy" metric and induced gauge fields? Does one obtain the counterparts of black holes? Do the general theorems of general relativity about the irreversible evolution leading to black holes generalize to describe analogous fate of condensed matter systems caused by dissipation? Can one describe non-equilibrium thermodynamics and self-organization in this manner?

One might argue that the incompressible Beltrami flow defined by the dynamics of the preferred extremals is dissipationless and viscosity must therefore vanish locally. The failure of complete non-determinism of Kähler action however means generation of entropy since the knowledge about the

state decreases gradually. This in turn should have a phenomenological local description in terms of viscosity which characterizes the transfer of energy to shorter scales and eventually to radiation. The deeper description should be non-local and basically topological and might lead to quantization rules. For instance, one can imagine the quantization of the ratio  $\eta/s$  of the viscosity to entropy density as multiples of a basic unit defined by its lower bound (note that this would be analogous to Quantum Hall effect). For the first M-theory inspired derivation of the lower bound of  $\eta/s$  [98]. The lower bound for  $\eta/s$  is satisfied in good approximation by what should have been QCD plasma but found to be something different (RHIC and the first evidence for new physics from LHC [26]).

An encouraging sign comes from the observation that for so called massless extremals representing classically arbitrarily shaped pulses of radiation propagating without dissipation and dispersion along single direction the canonical momentum currents are light-like. The effective contravariant metric vanishes identically so that fermions cannot propagate in the interior of massless extremals! This is of course the case also for vacuum extremals. Massless extremals are purely bosonic and represent bosonic radiation. Many-sheeted space-time decomposes into matter containing regions and radiation containing regions. Note that when wormhole contact (particle) is glued to a massless extremal, it is deformed so that  $CP_2$  projection becomes 4-D guaranteeing that the weak form of electric magnetic duality can be satisfied. Therefore massless extremals can be seen as asymptotic regions. Perhaps one could say that dissipation corresponds to a decoherence process creating space-time sheets consisting of matter and radiation. Those containing matter might be even seen as analogs blackholes as far as energy metric is considered.

## 15.6 The role of twistors in quantum TGD

### 15.6.1 Could the Grassmannian program be realized in TGD framework?

In the following the TGD based modification of the approach based on zero energy ontology is discussed in some detail. It is found that pseudo-momenta are very much analogous to region momenta and the approach leading to discretization of pseudo-mass squared for virtual particles - and even the discretization of pseudo-momenta - is consistent with the Grassmannian approach in the simple case considered and allow to get rid of IR divergences. Also the possibility that the number of generalized Feynman diagrams contributing to a given scattering amplitude is finite so that the recursion formula for the scattering amplitudes would involve only a finite number of steps (maximum number of loops) is considered. One especially promising feature of the residue integral approach with discretized pseudo-momenta is that it makes sense also in the p-adic context in the simple special case discussed since residue integral reduces to momentum integral (summation) and lower-dimensional residue integral.

#### What Yangian symmetry could mean in TGD framework?

The loss of the Yangian symmetry in the integrations over the region momenta  $x^a$  ( $p^a = x^{a+1} - x^a$ ) assigned to virtual momenta seems to be responsible for many ugly features. It is basically the source of IR divergences regulated by "moving out on the Coulomb branch theory" so that IR singularities remain the problem of the theory. This raises the question whether the loss of Yangian symmetry is the signature for the failure of QFT approach and whether the restriction of loop momentum integrations to avoid both kind of divergences might be a royal road beyond QFT. In TGD framework zero energy ontology indeed leads to a concrete proposal based on the vision that virtual particles are something genuinely real.

The detailed picture is of course far from clear but to get an idea about what is involved one can look what kind of assumptions are needed if one wants to realize the dream that only a finite number of generalized Feynman diagrams contribute to a scattering amplitude which is Yangian invariant allowing a description using a generalization of the Grassmannian integrals.

1. Assume the bosonic emergence and its super-symmetric generalization holds true. This means that incoming and outgoing states are bound states of massless fermions assignable to wormhole throats but the fermions can opposite directions of three-momenta making them massive. Incoming and outgoing particles would consist of fermions associated with wormhole throats and would be characterized by a pair of twistors in the general situation and in general massive. This allows also string like mass squared spectrum for bound states having fermion and antifermion

at the ends of the string as well as more general  $n$ -particle bound states. Hence one can speak also about the emergence of string like objects. For virtual particles the fermions would be massive and have discrete mass spectrum. Also super partners containing several collinear fermions and antifermions at a given throat are possible. Collinearity is required by the generalization of SUSY. The construction of these states bring strongly in mind the merge procedure involving the replacement  $Z^{n+1} \rightarrow Z^n$ .

2. The basic question is how the momentum twistor diagrams and the ordinary Feynman diagrams behind them are related to the generalized Feynman diagrams.
  - (a) It is good to start from a common problem. In momentum twistor approach the relationship of region momenta to physical momenta remains somewhat mysterious. In TGD framework in turn the relationship of pseudo-momenta identified as generalized eigenvalues of the Chern-Simons Dirac operator at the lines of Feynman diagram (light-like wormhole throats) to the physical momenta has remained unclear. The identification of the pseudo-momentum as the TGD counterpart of the region momentum  $x$  looks therefore like a natural first guess.
  - (b) The identification  $x_{a+1} - x_a = p_a$  with  $p_a$  representing light-like physical four-momentum generalizes in obvious manner. Also the identification of the light-like momentum of the external parton as pseudo-momentum looks natural. What is important is that this does not require the identification of the pseudo-momenta propagating along internal lines of generalized Feynman diagram as actual physical momenta since pseudo-momentum just like  $x$  is fixed only apart from an overall shift. The identification allows the physical four-momenta associated with the wormhole throats to be always on mass shell and massless: if the sign of the physical energy can be also negative space-like momentum exchanges become possible.
  - (c) The pseudo-momenta and light-like physical massless momenta at the lines of generalized Feynman diagrams on one hand, and region momenta and the light-like momenta associated with the collinear singularities on the other hand would be in very similar mutual relationship. Partonic 2-surfaces can carry large number of collinear light-like fermions and bosons since super-symmetry is extended. Generalized Feynman diagrams would be analogous to momentum twistor diagrams if this picture is correct and one could hope that the recursion relations of the momentum twistor approach generalize.
3. The discrete mass spectrum for pseudo-momentum would in the momentum twistor approach mean the restriction of  $x$  to discrete mass shells, and the obvious reason for worry is that this might spoil the Grassmannian approach relying heavily on residue integrals and making sense also  $p$ -adically. It seems however that there is no need to worry. In [97] the  $M_{6,4,l=0}(1234AB)$  the integration over twistor variables  $z_A$  and  $z_B$  using "entangled" integration contour leads to 1-loop MHV amplitude  $N^p MHV$ ,  $p = 1$ . The parametrization of the integration contour is  $z_A = (\lambda_A, x\lambda_A)$ ,  $z_B = (\lambda_B, x\lambda_B)$ , where  $x$  is the  $M^4$  coordinate representing the loop momentum. This boils down to an integral over  $CP_1 \times CP_1 \times M^4$  [97]. The integrals over spheres  $CP_1$ s are contour integrals so that only an ordinary integral over  $M^4$  remains. The reduction to this kind of sums occurs completely generally thanks to the recursion formula.
4. The obvious implication of the restriction of the pseudo-momenta  $x$  on massive mass shells is the absence of IR divergences and one might hope that under suitable assumptions one achieves Yangian invariance. The first question is of course whether the required restriction of  $x$  to mass shells in  $z_A$  and  $z_B$  or possibly even algebraic discretization of momenta is consistent with the Yangian invariance. This seems to be the case: the integration contour reduces to entangled integration contour in  $CP_1 \times CP_1$  not affected by the discretization and the resulting loop integral differs from the standard one by the discretization of masses and possibly also momenta with massless states excluded. Whether Yangian invariance poses also conditions on mass and momentum spectrum is an interesting question.
5. One can consider also the possibility that the incoming and outgoing particles - in general massive and to be distinguished from massless fermions appearing as their building blocks- have actually small masses presumably related to the IR cutoff defined by the size scale of the largest causal diamond involved.  $p$ -Adic thermodynamics could be responsible for this mass. Also

the binding of the wormhole throats can give rise to a small contribution to vacuum conformal weight possibly responsible for gauge boson masses. This would imply that a given  $n$ -particle state can decay to  $N$ -particle states for which  $N$  is below some limit. The fermions inside loops would be also massive. This allows to circumvent the IR singularities due to integration over the phase space of the final states (say in Coulomb scattering).

6. The representation of the off mass shell particles as pairs of wormhole throats with non-parallel four-momenta (in the simplest case only the three-momenta need be in opposite directions) makes sense and that the particles in question are on mass shell with mass squared being proportional to inverse of a prime number as the number theoretic vision applied to the modified Dirac equation suggests. On mass shell property poses extremely powerful constraints on loops and when the number of the incoming momenta in the loop increases, the number of constraints becomes larger than the number of components of loop momentum for the generic values of the external momenta. Therefore there are excellent hopes of getting rid of UV divergences.

A stronger assumption encouraged by the classical space-time picture about virtual particles is that the 3-momenta associated with throats of the same wormhole contact are always in same or opposite directions. Even this allows to have virtual momentum spectrum and non-trivial mass spectrum for them assuming that the three momenta are opposite.

7. The best that one can hope is that only a finite number of generalized Feynman diagrams contributes to a given reaction. This would guarantee that amplitudes belong to a finite-dimensional algebraic extension of rational functions with rational coefficients since finite sums do not lead out from a finite algebraic extension of rationals. The first problem are self energy corrections. The assumption that the mass non-renormalization theorems of SUSYs generalize to TGD framework would guarantee that the loops contributing to fermionic propagators (and their super-counterparts) do not affect them. Also the iteration of more complex amplitudes as analogs of ladder diagrams representing sequences of reactions  $M \rightarrow M_1 \rightarrow M_2 \cdots \rightarrow N$  such that at each  $M_n$  in the sequence can appear as on mass shell state could give a non-vanishing contribution to the scattering amplitude and would mean infinite number of Feynman diagrams unless these amplitudes vanish. If  $N$  appears as a virtual state the fermions must be however massive on mass shell fermions by the assumption about on-mass shell states and one can indeed imagine a situation in which the decay  $M \rightarrow N$  is possible when  $N$  consists of states made of massless fermions is possible but not when the fermions have non-vanishing masses. This situation seems to be consistent with unitarity. The implication would be that the recursion formula for the all loop amplitudes for a given reaction would give vanishing result for some critical value of loops.

Already these assumptions give good hopes about a generalization of the momentum Grassmann approach to TGD framework. Twistors are doubled as are also the Grassmann variables and there are wave functions correlating the momenta of the the fermions associated with the opposite wormhole throats of the virtual particles as well as incoming gauge bosons which have suffered massivation. Also wave functions correlating the massless momenta at the ends of string like objects and more general many parton states are involved but do not affect the basic twistor formalism. The basic question is whether the hypothesis of unbroken Yangian symmetry could in fact imply something resembling this picture. The possibility to discretize integration contours without losing the representation as residue integral quite generally is basic prerequisite for this and should be shown to be true.

### How to achieve Yangian invariance without trivial scattering amplitudes?

In  $\mathcal{N} = 4$  SYM the Yangian invariance implies that the MHV amplitudes are constant as demonstrated in [97]. This would mean that the loop contributions to the scattering amplitudes are trivial. Therefore the breaking of the dual super-conformal invariance by IR singularities of the integrand is absolutely essential for the non-triviality of the theory. Could the situation be different in TGD framework? Could it be possible to have non-trivial scattering amplitudes which are Yangian invariants. Maybe! The following heuristic argument is formulated in the language of super-twistors.

1. The dual conformal super generators of the super-Lie algebra  $U(2, 2)$  acting as super vector fields reducing effectively to the general form  $J = \eta_a^K \partial / \partial Z_a^J$  and the condition that they annihilate scattering amplitudes implies that they are constant as functions of twistor variables. When

particles are replaced with pairs of wormhole throats the super generators are replaced by sums  $J_1 + J_2$  of these generators for the two wormhole throats and it might be possible to achieve the condition

$$(J_1 + J_2)M = 0 \quad (15.6.1)$$

with a non-trivial dependence on the momenta if the super-components of the twistors associated with the wormhole throats are in a linear relationship. This should be the case for bound states.

2. This kind of condition indeed exists. The condition that the sum of the super-momenta expressed in terms of super-spinors  $\lambda$  reduces to the sum of real momenta alone is not usually posed but in the recent case it makes sense as an additional condition to the super-components of the the spinors  $\lambda$  associated with the bound state. This quadratic condition is exactly of the same general form as the one following from the requirement that the sum of all external momenta vanishes for scattering amplitude and reads as

$$X = \lambda_1 \eta_1 + \lambda_2 \eta_2 = 0 \quad (15.6.2)$$

The action of the generators  $\eta_1 \partial_{\lambda_1} + \eta_2 \partial_{\lambda_2}$  forming basic building blocks of the super generators on  $p_1 + p_2 = \lambda_1 \lambda_1 + \lambda_2 \lambda_2$  appearing as argument in the scattering amplitude in the case of bound states gives just the quantity  $X$ , which vanishes so that one has super-symmetry. The generalization of this condition to n-parton bound state is obvious.

3. The argument does not apply to free fermions which have not suffered topological condensation and are therefore represented by  $CP_2$  type vacuum extremal with single wormhole throat. If one accepts the weak form of electric-magnetic duality, one can circumvent this difficulty. The free fermions carry Kähler magnetic charge whereas physical fermions are accompanied by a bosonic wormhole throat carrying opposite Kähler magnetic charge and opposite electroweak isospin so that a ground state of string like object with size of order electroweak length scale is in question. In the case of quarks the Kähler magnetic charges need not be opposite since color confinement could involve Kähler magnetic confinement: electro-weak confinement holds however true also now. The above argument generalizes as such to the pairs formed by wormhole throats at the ends of string like object. One can of course imagine also more complex hybrids of these basic options but the general idea remains the same.

Note that the argument involves in an essential manner non-locality, which is indeed the defining property of the Yangian algebra and also the fact that physical particles are bound states. The massivation of the physical particles brings in the IR cutoff.

### Number theoretical constraints on the pseudo-momenta

One can consider also further assumptions motivated by the recent view about the generalized eigenvalues of Chern-Simons Dirac operator having interpretation as pseudo-momentum. The details of this view need not of course be final.

1. Assume that the pseudo-momentum assigned to fermion lines by the modified Dirac equation [18] is the counterpart of region momentum as already explained and therefore does not directly correspond to the actual light-like four-momentum associated with partonic line of the generalized Feynman diagram. This assumption conforms with the assumption that incoming particles are built out of massless partonic fermions. It also implies that the propagators are massless propagators as required by twistorialization and Yangian generalization of super-conformal invariance.

2. Since (pseudo)-mass squared is number theoretically quantized as the length of a hyper-complex prime in preferred plane  $M^2$  of pseudo-momentum space fermionic propagators are massless propagators with pseudo-masses restricted on discrete mass shells. Lorentz invariance suggests that  $M^2$  cannot be common to all particles but corresponds to preferred reference frame for the virtual particle having interpretation as plane spanned by the quantization axes of energy and spin.
3. Hyper-complex primeness means also the quantization of pseudo-momentum components so that one has hyper-complex primes of form  $\pm((p+1)/2, \pm(p-1)/1)$  corresponding to pseudo-mass squared  $M^2 = p$  and hypercomplex primes  $\pm(p, 0)$  with pseudo-mass squared  $M^2 = p^2$ . Space-like fermionic momenta are not needed since for opposite signs of energy wormhole throats can have space-like net momenta. If space-like pseudo-momenta are allowed/needed for some reason, they could correspond to space-like hyper-complex primes  $\pm((p-1)/2, \pm(p+1)/1)$  and  $\pm(0, p)$  so that one would obtain also discretization of space-like mass shells also. The number theoretical mass squared is proportional to  $p$ , whereas p-adic mass squared is proportional to  $1/p$ . For p-adic mass calculations canonical identification  $\sum x_n p^n$  maps p-adic mass squared to its real counterpart. The simplest mapping consistent with this would be  $(p_0, p_1) \rightarrow (p_0, p_1)/p$ . This could be assumed from the beginning in real context and would mean that the mass squared scale is proportional to  $1/p$ .
4. Lorentz invariance requires that the preferred coordinate system in which this holds must be analogous to the rest system of the virtual fermion and thus depends on the virtual particle. In accordance with the general vision discussed in [18] Lorentz invariance could correspond to a discrete algebraic subgroup of Lorentz group spanned by transformation matrices expressible in terms of roots of unity. This would give a discrete version of mass shell and the preferred coordinate system would have a precise meaning also in the real context. Unless one allows algebraic extension of p-adic numbers p-adic mass shell reduces to the set of above number-theoretic momenta. For algebraic extensions of p-adic numbers the same algebraic mass shell is obtained as in real correspondence and is essential for the number theoretic universality. The interpretation for the algebraic discretization would be in terms of a finite measurement resolution. In real context this would mean discretization inducing a decomposition of the mass shell to cells. In the p-adic context each discrete point would be replaced with a p-adic continuum. As far as loop integrals are considered, this vision means that they make sense in both real and p-adic context and reduce to summations in p-adic context. This picture is discussed in detail in [18].
5. Concerning p-adicization the beautiful aspect of residue integral is that it makes sense also in p-adic context provided one can circumvent the problems related to the identification of p-adic counterpart of  $\pi$  requiring infinite-dimensional transcendental extension coming in powers of  $\pi$ . Together with the discretization of both real and virtual four-momenta this would allow to define also p-adic variants of the scattering amplitudes.

### Could recursion formula allow interpretation in terms of zero energy ontology?

The identification of pseudo-momentum as a counterpart of region momentum suggests that generalized Feynman diagrams could be seen as a generalization of momentum twistor diagrams. Of course, the generalization from  $\mathcal{N} = 4$  SYM to TGD is an enormous step in complexity and one must take all proposals in the following with a big grain of salt. For instance, the replacement of point-like particles with wormhole throats and the decomposition of gauge bosons to pairs of wormhole throats means that naive generalizations are dangerous.

With this in firmly in mind one can ask whether the recursion formula could allow interpretation in terms of zero energy states assigned to causal diamonds (*CDs*) containing *CDs* containing  $\dots$ . In this framework loops could be assigned with sub-*CDs*.

The interpretation of the leading order singularities forming the basic building blocks of the twistor approach in zero ontology is the basic source of questions. Before posing these questions recall the basic proposal that partonic fermions are massless but opposite signs of energy are possible for the opposite throats of wormhole contacts. Partons would be on mass shell but besides physical states identified as bound states formed from partons also more general intermediate states would be possible

but restricted by momentum conservation and mass shell conditions for partons at vertices. Consider now the questions.

1. Suppose that the massivation of virtual fermions and their super partners allows only ladder diagrams in which the intermediate states contain on mass shell massless states. Should one allow this kind of ladder diagrams? Can one identify them in terms of leading order singularities? Could one construct the generalized Feynman diagrams from Yangian invariant tree diagrams associated with the hierarchy of sub- $CD$ s and using BCFW bridges and entangled pairs of massless states having interpretation as box diagrams with on mass shell momenta at microscopic level? Could it make sense to say that scattering amplitudes are represented by tree diagrams inside  $CD$ s in various scales and that the fermionic momenta associated with throats and emerging from sub- $CD$ s are always massless?
2. Could BCFW bridge generalizes as such and could the interpretation of BCFW bridge be in terms of a scattering in which the four on mass shell massless partonic states (partonic throats have arbitrary fermion number) are exchanged between four sub- $CD$ s. This admittedly looks somewhat artificial.
3. Could the addition of 2-particle zero energy state responsible for addition of loop in the recursion relations and having interpretation in terms of the cutting of line carrying loop momentum correspond to an addition of sub- $CD$  such that the 2-particle zero energy state has its positive and negative energy part on its past and future boundaries? Could this mean that one cuts a propagator line by adding  $CD$  and leaves only the portion of the line within  $CD$ . Could the reverse operation mean to the addition of zero energy "thermally entangled" states in shorter time and length scales and assignable as a zero energy state to a sub- $CD$ . Could one interpret the Cutkosky rule for propagator line in terms of this cutting or its reversal. Why only pairs would be needed in the recursion formula? Why not more general states? Does the recursion formula imply that they are included? Does this relate to the fact that these zero energy states have interpretation as single particle states in the positive energy ontology and that the basic building block of Feynman diagrams is single particle state? Could one regard the unitarity as an identity which states that the discontinuity of T-matrix characterizing zero energy state over cut is expressible in terms of  $TT^\dagger$  and  $T$  matrix is the relevant quantity?

Maybe it is again dangerous to try to draw too detailed correspondences: after all, point like particles are replaced by partonic two-surfaces in TGD framework.

4. If I have understood correctly the genuine l-loop term results from  $l - 1$ -loop term by the addition of the zero energy pair and integration over  $GL(2)$  as a representative of loop integral reducing  $n + 2$  to  $n$  and calculating the added loop at the same time [97]. The integrations over the two momentum twistor variables associated with a line in twistor space defining off mass shell four-momentum and integration over the lines represent the integration over loop momentum. The reduction to  $GL(2)$  integration should result from the delta functions relating the additional momenta to  $GL(2)$  variables (note that  $GL(2)$  performs linear transformations in the space spanned by the twistors  $Z_A$  and  $Z_B$  and means integral over the positions of  $Z_A$  and  $Z_B$ ). The resulting object is formally Yangian invariant but IR divergences along some contours of integration breaks Yangian symmetry.

The question is what happens in TGD framework. The previous arguments suggests that the reduction of the the loop momentum integral to integrals over discrete mass shells and possibly to a sum over their discrete subsets does not spoil the reduction to contour integrals for loop integrals in the example considered in [97]. Furthermore, the replacement of mass continuum with a discrete set of mass shells should eliminate IR divergences and might allow to preserve Yangian symmetry. One can however wonder whether the loop corrections with on mass shell massless fermions are needed. If so, one would have at most finite number of loop diagrams with on mass shell fermionic momenta and one of the TGD inspired dreams already forgotten would be realized.

### What about unitarity?

The approach of Arkani-Hamed and collaborators means that loop integral over four-momenta are replaced with residue integrals around a small sphere  $p^2 = \epsilon$ . This is very much reminiscent of my



own proposal for a few years ago based on the idea that the condition of twistorialization forces to accept only massless virtual states [21, 40]. I of course soon gave up this proposal as too childish.

This idea seems to however make a comeback in a modified form. At this time one would have only massive and quantized pseudo-momenta located at discrete mass shells. Can this picture be consistent with unitarity?

Before trying to answer this question one must make clear what one could assume in TGD framework.

1. Physical particles are in the general case massive and consist of collinear fermions at wormhole throats. External partons at wormhole throats must be massless to allow twistorial interpretation. Therefore massive states emerge. This applies also to stringy states.
2. The simplest assumption generalizing the childish idea is that on mass shell massless states for partons appear as both virtual particles and external particles. Space-like virtual momentum exchanges are possible if the virtual particles can consist of pairs of positive and negative energy fermions at opposite wormhole throats. Hence also partons at internal lines should be massless and this raises the question about the identification of propagators.
3. Generalized eigenvalue equation for Chern-Simons Dirac operator implies that virtual elementary fermions have massive and quantized pseudo-momenta whereas external elementary fermions are massless. The massive pseudo-momentum assigned with the Dirac propagator of a parton line cannot be identified with the massless real momentum assigned with the fermionic propagator line. The region momenta introduced in Grassmannian approach are something analogous.

As already explained, this brings in mind is the identification of this pseudo momentum as the counterpart of the region momentum of momentum twistor diagrams so that the external massless fermionic momenta would be differences of the pseudo-momenta. Indeed, since region momenta are determined apart from a common shift, they need not correspond to real momenta. Same applies to pseudo-momenta and one could assume that both internal and external fermion lines carry light-like pseudo-momenta and that external pseudo-momenta are equal to real momenta.

4. This picture has natural correspondence with twistor diagrams. For instance, the region momentum appearing in BCFW bridge defining effective propagator is in general massive although the underlying Feynman diagram would contain on-line massless momenta. In TGD framework massless lines of Feynman graphs associated with singularities would correspond to real momenta of massless fermions at wormhole throats. Also other canonical operations for Yangian invariants involve light-like momenta at the level of Feynman diagrams and would in TGD framework have a natural identification in terms of partonic momenta. Hence partonic picture would provide a microscopic description for the lines of twistor diagrams.

Let us assume being virtual particle means only that the discretized pseudo-momentum is on shell but massive whereas all real momenta of partons are light-like, and that negative partonic energies are possible. Can one formulate Cutkosky rules for unitarity in this framework? What could the unitarity condition

$$iDisc(T - T^\dagger) = -TT^\dagger$$

mean now? In particular, are the cuts associated with mass shells of physical particles or with mass shells of pseudo-momenta? Could these two assignments be equivalent?

1. The restriction of the partons to be massless but having both signs of energy means that the spectrum of intermediate states contains more states than the external states identified as bound states of partons with the same sign of energy. Therefore the summation over intermediate states does not reduce to a mere summation over physical states but involves a summation over states formed from massless partons with both signs of energy so that also space-like momentum exchanges become possible.
2. The understanding of the unitarity conditions in terms of Cutkosky rules would require that the cuts of the loop integrands correspond to mass shells for the virtual states which are also

physical states. Therefore real momenta have a definite sign and should be massless. Besides this bound state conditions guaranteeing that the mass spectrum for physical states is discrete must be assumed. With these assumptions the unitary cuts would not be assigned with the partonic light-cones but with the mass shells associated of physical particles.

3. There is however a problem. The pseudo-momenta of partons associated with the external partons are assumed to be light-like and equal to the physical momenta.
  - (a) If this holds true also for the intermediate physical states appearing in the unitarity conditions, the pseudo-momenta at the cuts are light-like and cuts must be assigned with pseudo-momentum light-cones. This could bring in IR singularities and spoil Yangian symmetry. The formation of bound states could eliminate them and the size scale of the largest  $CD$  involved would bring in a natural IR cutoff as the mass scale of the lightest particle. This assumption would however force to give up the assumption that only massive pseudo-momenta appear at the lines of the generalized Feynman diagrams.
  - (b) On the other hand, if pseudo-momenta are not regarded as a property of physical state and are thus allowed to be massive for the real intermediate states in Cutkosky rules, the cuts at parton level correspond to on mass shell hyperboloids and IR divergences are absent.

### 15.6.2 Could TGD allow formulation in terms of twistors

There are many questions to be asked. There would be innumerable questions upwelling from my very incomplete understanding of the technical issues. In the following I restrict only to the questions which relate to the relationship of TGD approach to Witten's twistor string approach [86] and M-theory like frameworks. The arguments lead to an explicit proposal how the preferred extremals of Kähler action could correspond to holomorphic 4-surfaces in  $CP_3 \times CP_3$ . The basic motivation for this proposal comes from the observation that Kähler action is Maxwell action for the induced Kähler form and metric. Hence Penrose's original twistorial representation for the solutions of linear Maxwell's equations could have a generalization to TGD framework.

#### $M^4 \times CP_2$ from twistor approach

The first question which comes to mind relates to the origin of the Grassmannians. Do they have some deeper interpretation in TGD context. In twistor string theory Grassmannians relate to the moduli spaces of holomorphic surfaces defined by string world sheets in twistor space. Could partonic 2-surfaces have analogous interpretation and could one assign Grassmannians to their moduli spaces? If so, one could have rather direct connection with topological QFT defining twistor strings [86] and the almost topological QFT defining TGD. There are some hints to this direction which could be of course seen as figments of a too wild imagination.

1. The geometry of  $CD$  brings strongly in mind Penrose diagram for the conformally compactified Minkowski space [65], which indeed becomes  $CD$  when its points are replaced with spheres. This would suggest the information theoretic idea about interaction between observer and externals as a map in which  $M^4$  is mapped to its conformal compactification represented by  $CD$ . Compactification means that the light-like points at the light-like boundaries of  $CD$  are identified and the physical counterpart for this in TGD framework is conformal invariance along light-rays along the boundaries of  $CD$ . The world of conscious observer for which  $CD$  is identified as a geometric correlate would be conformally compactified  $M^4$  (plus  $CP_2$  or course).
2. Since the points of the conformally compactified  $M^4$  correspond to twistor pairs [93], which are unique only apart from opposite complex scalings, it would be natural to assign twistor space to  $CD$  and represent its points as pairs of twistors. This suggest an interpretation for the basic formulas of Grassmannian approach involving integration over twistors. The incoming and outgoing massless particles could be assigned at point-like limit light-like points at the lower and upper boundaries of  $CD$  and the lifting of the points of the light-cone boundary at partonic surfaces would give rise to the description in terms of ordinary twistors. The assumption that massless collinear fermions at partonic 2-surfaces are the basic building blocks of physical

particles at partonic 2-surfaces defined as many particles states involving several partonic 2-surfaces would lead naturally to momentum twistor description in which massless momenta and described by twistors and virtual momenta in terms of twistor pairs. It is important to notice that in TGD framework string like objects would emerge from these massless fermions.

3. Partonic 2-surfaces are located at the upper and lower light-like boundaries of the causal diamond ( $CD$ ) and carry energies of opposite sign in zero energy ontology. Quite generally, one can assign to the point of the conformally compactified Minkowski space a twistor pair using the standard description. The pair of twistors is determined apart from  $Gl(2)$  rotation. At the light-cone boundary  $M^4$  points are light-like so that the two spinors of the two twistors differ from each other only by a complex scaling and single twistor is enough to characterize the space-time point this degenerate situation. The components of the twistor are related by the well known twistor equation  $\mu^{a'} = -ix^{aa'}\lambda_a$ . One can therefore lift each point of the partonic 2-surface to single twistor determined apart from opposite complex scalings of  $\mu$  and  $\lambda$  so that the lift of the point would be 2-sphere. In the general case one must lift the point of  $CD$  to a twistor pair. The degeneracy of the points is given by  $Gl(2)$  and each point corresponds to a 2-sphere in projective twistor space.
4. The new observation is that one can understand also  $CP_2$  factor in twistor framework. The basic observation about which I learned in [93] (giving also a nice description of basics of twistor geometry) is that a pair  $(X, Y)$  of twistors defines a point of  $CD$  on one hand and complex 2-planes of the dual twistor space -which is nothing but  $CP_2$ - by the equations

$$X_\alpha W^\alpha = 0 \quad , \quad Y_\alpha W^\alpha = 0 \quad .$$

The intersection of these planes is the complex line  $CP_1 = S^2$ . The action of  $G(2)$  on the twistor pair affects the pair of surfaces  $CP_2$  determined by these equations since it transforms the equations to their linear combination but not the the point of conformal  $CD$  resulting as projection of the sphere. Therefore twistor pair defines both a point of  $M^4$  and assigns with it pair of  $CP_2$ :s represented as holomorphic surfaces of the projective dual twistor space. Hence the union over twistor pairs defines  $M^4 \times CP_2$  via this assignment if it is possible to choose "the other"  $CP_2$  in a unique manner for all points of  $M^4$ . The situation is similar to the assignment of a twistor to a point in the Grassmannian diagrams forming closed polygons with light-like edges. In this case one assigns to the the "region momenta" associated with the edge the twistor at the either end of the edge. One possible interpretation is that the two  $CP_2$ :s correspond to the opposite ends of the  $CD$ . My humble hunch is that this observation might be something very deep.

Recall that the assignment of  $CP_2$  to  $M^4$  point works also in another direction.  $M^8 - H$  duality associates with so called hyper-quaternionic 4-surface of  $M^8$  allowing preferred hyper-complex plane at each point 4-surfaces of  $M^4 \times CP_2$ . The basic observation behind this duality is that the hyper-quaternionic planes (copies of  $M^4$ ) with preferred choices of hyper-complex plane  $M^2$  are parameterized by points of  $CP_2$ . One can therefore assign to a point of  $CP_2$  a copy of  $M^4$ . Maybe these both assignments indeed belong to the core of quantum TGD. There is also an interesting analogy with Uncertainty Principle: complete localization in  $M^4$  implies maximal uncertainty of the point in  $CP_2$  and vice versa.

### Does twistor string theory generalize to TGD?

With this background the key speculative questions seem to be the following ones.

1. Could one relate twistor string theory to TGD framework? Partonic 2-surfaces at the boundaries of  $CD$  are lifted to 4-D sphere bundles in twistor space. Could they serve as a 4-D counterpart for Witten's holomorphic twistor strings assigned to point like particles? Could these surfaces be actually lifts of the holomorphic curves of twistor space replaced with the product  $CP_3 \times CP_2$  to 4-D sphere bundles? If I have understood correctly, the Grassmannians  $G(n, k)$  can be assigned to the moduli spaces of these holomorphic curves characterized by the degree of the polynomial expressible in terms of genus, number of negative helicity gluons, and the number of loops for twistor diagram.

Could one interpret  $G(n, k)$  as a moduli space for the  $\delta CD$  projections of  $n$  partonic 2-surfaces to which  $k$  negative helicity gluons and  $n - k$  positive helicity gluons are assigned (or something more complex when one considers more general particle states)? Could quantum numbers be mapped to integer valued algebraic invariants? IF so, there would be a correlation between the geometry of the partonic 2-surface and quantum numbers in accordance with quantum classical correspondence.

2. Could one understand light-like orbits of partonic 2-surfaces and space-time surfaces in terms of twistors? To each point of the 2-surface one can assign a 2-sphere in twistor space  $CP_3$  and  $CP_2$  in its dual. These  $CP_2$ s can be identified. One should be able to assign to each sphere  $S^2$  at least one point of corresponding  $CP_2$ s associated with its points in the dual twistor space and identified as single  $CP_2$  union of  $CP_2$ s in the dual twistor space a point of  $CP_2$  or even several of them. One should be also able to continue this correspondence so that it applies to the light-like orbit of the partonic 2-surface and to the space-time surface defining a preferred extremal of Kähler action. For space-time sheets representable as graph of a map  $M^4 \rightarrow CP_2$  locally one should select from a  $CP_2$  assigned with a particular point of the space-time sheet a unique point of corresponding  $CP_2$  in a manner consistent with field equations. For surfaces with lower dimensional  $M^4$  projection one must assign a continuum of points of  $CP_2$  to a given point of  $M^4$ . What kind equations-could allow to realize this assignment? Holomorphy is strongly favored also by the number theoretic considerations since in this case one has hopes of performing integrals using residue calculus.
  - (a) Could two holomorphic equations in  $CP_3 \times CP_2$  defining 6-D surfaces as sphere bundles over  $M^4 \times CP_2$  characterize the preferred extremals of Kähler action? Could partonic 2-surfaces be obtained by posing an additional holomorphic equation reducing twistors to null twistors and thus projecting to the boundaries of  $CD$ ? A philosophical justification for this conjecture comes from effective 2-dimensionality stating that partonic 2-surfaces plus their 4-D tangent space data code for physics. That the dynamics would reduce to holomorphy would be an extremely beautiful result. Of course this is only an additional item in the list of general conjectures about the classical dynamics for the preferred extremals of Kähler action.
  - (b) One could also work in  $CP_3 \times CP_3$ . The first  $CP_3$  would represent twistors endowed with a metric conformally equivalent to that of  $M^{2,4}$  and having the covering of  $SU(2, 2)$  of  $SO(2, 4)$  as isometries. The second  $CP_3$  defining its dual would have a metric consistent with the Calabi-Yau structure (having holonomy group  $SU(3)$ ). Also the induced metric for canonically imbedded  $CP_2$ s should be the standard metric of  $CP_2$  having  $SU(3)$  as its isometries. In this situation the linear equations assigning to  $M^4$  points twistor pairs and  $CP_2 \subset CP_3$  as a complex plane would hold always true. Besides this two holomorphic equations coding for the dynamics would be needed.
  - (c) The issues related to the induced metric are important. The conformal equivalence class of  $M^4$  metric emerges from the 5-D light-cone of  $M^{2,4}$  under projective identification. The choice of a proper projective gauge would select  $M^4$  metric locally. Twistors inherit the conformal metric with signature  $(2, 4)$  from the metric of 4+4 component spinors with metric having  $(4, 4)$  signature. One should be able to assign a conformal equivalence class of Minkowski metric with the orbits of pairs of twistors modulo  $GL(2)$ . The metric of conformally compactified  $M^4$  would be obtained from this metric by dropping from the line element the contribution to the  $S^2$  fiber associated with  $M^4$  point.
  - (d) Witten related [86] the degree  $d$  of the algebraic curve describing twistor string, its genus  $g$ , the number  $k$  of negative helicity gluons, and the number  $l$  of loops by the following formula

$$d = k - 1 + l \quad , \quad g \leq l \quad . \quad (15.6.3)$$

One should generalize the definition of the genus so that it applies to 6-D surfaces. For projective complex varieties of complex dimension  $n$  this definition indeed makes sense.

Algebraic genus [64] is expressible in terms of the dimensions of the spaces of closed holomorphic forms known as Hodge numbers  $h^{p,q}$  as

$$g = \sum (-1)^{n-k} h^{k,0} . \quad (15.6.4)$$

The first guess is that the formula of Witten generalizes by replacing genus with its algebraic counterpart . This requires that the allowed holomorphic surfaces are projective curves of twistor space, that is described in terms of homogenous polynomials of the 4+4 projective coordinates of  $CP_3 \times CP_3$ .

### What is the relationship of TGD to M-theory and F-theory?

There are also questions relating to the possible relationship to M-theory and F-theory.

1. Calabi-Yau-manifolds [74, 84] are central for the compactification in super string theory and emerge from the condition that the super-symmetry breaks down to  $\mathcal{N} = 1$  SUSY. The dual twistor space  $CP_3$  with Euclidian signature of metric is a Calabi-Yau manifold [86]. Could one have in some sense two Calabi-Yaus! Twistorial  $CP_3$  can be interpreted as a four-fold covering and conformal compactification of  $M^{2,4}$ . I do not know whether Calabi-Yau property has a generalization to the situation when Euclidian metric is replaced with a conformal equivalence class of flat metrics with Minkowskian signature and thus having a vanishing Ricci tensor. As far as differential forms (no dependence on metric) are considered there should be no problems. Whether the replacement of the maximal holonomy group  $SU(3)$  with its non-compact version  $SU(1,2)$  makes sense is not clear to me.
2. The lift of the  $CD$  to projective twistor space would replace  $CD \times CP_2$  with 10-dimensional space which inspires the familiar questions about connection between TGD and M-theory. If Calabi-Yau with a Minkowskian signature of metric makes sense then the Calabi-Yau of the standard M-theory would be replaced with its Minkowskian counterpart! Could it really be that M-theory like theory based on  $CP_3 \times CP_2$  reduces to TGD in  $CD \times CP_2$  if an additional symmetry mapping 2-spheres of  $CP_3$  to points of  $CD$  is assumed? Could the formulation based on 12-D  $CP_3 \times CP_3$  correspond to F-theory which also has two time-like dimensions. Of course, the additional conditions defined by the maps to  $M^4$  and  $CP_2$  would remove the second time-like dimension which is very difficult to justify on purely physical grounds.
3. One can actually challenge the assumption that the first  $CP_3$  should have a conformal metric with signature (2,4). Metric appears nowhere in the definition holomorphic functions and once the projections to  $M^4$  and  $CP_2$  are known, the metric of the space-time surface is obtained from the metric of  $M^4 \times CP_2$ . The previous argument for the necessity of the presence of the information about metric in the second order differential equation however suggests that the metric is needed.
4. The beginner might ask whether the 6-D 2-sphere bundles representing space-time sheets could have interpretation as Calabi-Yau manifolds. In fact, the Calabi-Yau manifolds defined as complete intersections in  $CP_3 \times CP_3$  discovered by Tian and Yau are defined by three polynomials [84]. Two of them have degree 3 and depend on the coordinates of single  $CP_3$  only whereas the third is bilinear in the coordinates of the  $CP_3$ 's. Obviously the number of these manifolds is quite too small (taking into account scaling the space defined by the coefficients is 6-dimensional). All these manifolds are deformation equivalent. These manifolds have Euler characteristic  $\chi = \pm 18$  and a non-trivial fundamental group. By dividing this manifold by  $Z_3$  one obtains  $\chi = \pm 6$ , which guarantees that the number of fermion generations is three in heterotic string theory. This manifold was the first one proposed to give rise to three generations and  $\mathcal{N} = 1$  SUSY.

### What could the field equations be in twistorial formulation?

The fascinating question is whether one can identify the equations determining the 3-D complex surfaces of  $CP_3 \times CP_3$  in turn determining the space-time surfaces.

The first thing is to clarify in detail how space-time  $M^4 \times CP_2$  results from  $CP_3 \times CP_3$ . Each point  $CP_3 \times CP_3$  define a line in third  $CP_3$  having interpretation as a point of conformally compactified  $M^4$  obtained by sphere bundle projection. Each point of either  $CP_3$  in turn defines  $CP_2$  in fourth  $CP_3$  as a 2-plane. Therefore one has  $(CP_3 \times CP_3) \times (CP_3 \times CP_3)$  but one can reduce the consideration to  $CP_3 \times CP_3$  fixing  $M^4 \times CP_2$ . In the generic situation 6-D surface in 12-D  $CP_3 \times CP_3$  defines 4-D surface in the dual  $CP_3 \times CP_3$  and its sphere bundle projection defines a 4-D surface in  $M^4 \times CP_2$ .

1. The vanishing of three holomorphic functions  $f^i$  would characterize 3-D holomorphic surfaces of 6-D  $CP_3 \times CP_3$ . These are determined by three real functions of three real arguments just like a holomorphic function of single variable is dictated by its values on a one-dimensional curve of complex plane. This conforms with the idea that initial data are given at 3-D surface. Note that either the first or second  $CP_3$  can determine the  $CP_2$  image of the holomorphic 3-surface unless one assumes that the holomorphic functions are symmetric under the exchange of the coordinates of the two  $CP_3$ s. If symmetry is not assumed one has some kind of duality.
2. Effective 2-dimensionality means that 2-D partonic surfaces plus 4-D tangent space data are enough. This suggests that the 2 holomorphic functions determining the dynamics satisfy some second order differential equation with respect to their three complex arguments: the value of the function and its derivative would correspond to the initial values of the imbedding space coordinates and their normal derivatives at partonic 2-surface. Since the effective 2-dimensionality brings in dependence on the induced metric of the space-time surface, this equation should contain information about the induced metric.
3. The no-where vanishing holomorphic 3-form  $\Omega$ , which can be regarded as a "complex square root" of volume form characterizes 6-D Calabi-Yau manifold [74, 84], indeed contains this information albeit in a rather implicit manner but in spirit with TGD as almost topological QFT philosophy. Both  $CP_3$ s are characterized by this kind of 3-form if Calabi-Yau with (2, 4) signature makes sense.
4. The simplest second order- and one might hope holomorphic- differential equation that one can imagine with these ingredients is of the form

$$\Omega_1^{i_1 j_1 k_1} \Omega_2^{j_2 j_2 k_2} \partial_{i_1 i_2} f^1 \partial_{j_1 j_2} f^2 \partial_{k_1 k_2} f^3 = 0, \quad \partial_{ij} \equiv \partial_i \partial_j. \quad (15.6.5)$$

Since  $\Omega_i$  is by its antisymmetry equal to  $\Omega_i^{123} \epsilon^{ijk}$ , one can divide  $\Omega^{123}$ s away from the equation so that one indeed obtains holomorphic solutions. Note also that one can replace ordinary derivatives in the equation with covariant derivatives without any effect so that the equations are general coordinate invariant.

One can consider more complex equations obtained by taking instead of  $(f^1, f^2, f^3)$  arbitrary combinations  $(f^i, f^j, f^k)$  which results uniquely if one assumes anti-symmetrization in the labels (1, 2, 3). In the sequel only this equation is considered.

5. The metric disappears completely from the equations and skeptic could argue that this is inconsistent with the fact that it appears in the equations defining the weak form of electric-magnetic duality as a Lagrange multiplier term in Chern-Simons action. Optimist would respond that the representation of the 6-surfaces as intersections of three hyper-surfaces is different from the representation as imbedding maps  $X^4 \rightarrow H$  used in the usual formulation so that the argument does not bite, and continue by saying that the metric emerges in any case when one endows space-time with the induced metric given by projection to  $M^4$ .
6. These equations allow infinite families of obvious solutions. For instance, when some  $f^i$  depends on the coordinates of either  $CP_3$  only, the equations are identically satisfied. As a special case one obtains solutions for which  $f^1 = Z \cdot W$  and  $(f^2, f^3) = (f^2(Z), f^3(W))$  This family contains also the Calabi-Yau manifold found by Yau and Tian, whose factor space was proposed as the first candidate for a compactification consistent with three fermion families.

7. One might hope that an infinite non-obvious solution family could be obtained from the ansatz expressible as products of exponential functions of  $Z$  and  $W$ . Exponentials are not consistent with the assumption that the functions  $f_i$  are homogenous polynomials of finite degree in projective coordinates so that the following argument is only for the purpose for learning something about the basic character of the equations.

$$\begin{aligned}
 f^1 &= E_{a_1, a_2, a_3}(Z) E_{\hat{a}_1, \hat{a}_2, \hat{a}_3}(W) \ , & f^2 &= E_{b_1, b_2, b_3}(Z) E_{\hat{b}_1, \hat{b}_2, \hat{b}_3}(W) \ , \\
 f^3 &= E_{c_1, c_2, c_3}(Z) E_{\hat{c}_1, \hat{c}_2, \hat{c}_3}(W) \ , & & \\
 E_{a, b, c}(Z) &= \exp(az_1) \exp(bz_2) \exp(cz_3) \ .
 \end{aligned}
 \tag{15.6.6}$$

The parameters  $a, b, c$ , and  $\hat{a}, \hat{b}, \hat{c}$  can be arbitrary real numbers in real context. By the basic properties of exponential functions the field equations are algebraic. The conditions reduce to the vanishing of the products of determinants  $\det(a, b, c)$  and  $\det(\hat{a}, \hat{b}, \hat{c})$  so that the vanishing of either determinant is enough. Therefore the dependence can be arbitrary either in  $Z$  coordinates or in  $W$  coordinates. Linear superposition holds for the modes for which determinant vanishes which means that the vectors  $(a, b, c)$  or  $(\hat{a}, \hat{b}, \hat{c})$  are in the same plane.

Unfortunately, the vanishing conditions reduce to the conditions  $f^i(W) = 0$  for case a) and to  $f^i(Z) = 0$  for case b) so that the conditions are equivalent with those obtained by putting the "wave vector" to zero and the solutions reduce to obvious ones. The lesson is that the equations do not commute with the multiplication of the functions  $f^i$  with nowhere vanishing functions of  $W$  and  $Z$ . The equation selects a particular representation of the surfaces and one might argue that this should not be the case unless the hyper-surfaces defined by  $f^i$  contain some physically relevant information. One could consider the possibility that the vanishing conditions are replaced with conditions  $f^i = c_i$  with  $f^i(0) = 0$  in which case the information would be coded by a family of space-time surfaces obtained by varying  $c_i$ .

One might criticize the above equations since they are formulated directly in the product  $CP_3 \times CP_3$  of projective twistor by choosing a specific projective gauge by putting  $z^4 = 1, w^4 = 1$ . The manifestly projectively invariant formulation for the equations is in full twistor space so that 12-D space would be replaced with 16-D space. In this case one would have 4-D complex permutation symbol giving for these spaces Calabi-Yau structure with flat metric. The product of functions  $f = z^4 = constant$  and  $g = w^4 = constant$  would define the fourth function  $f_4 = fg$  fixing the projective gauge

$$\epsilon^{i_1 j_1 k_1 l_1} \epsilon^{i_2 j_2 k_2 l_2} \partial_{i_1 i_2} f^1 \partial_{j_1 j_2} f^2 \partial_{k_1 k_2} f^3 \partial_{l_1 l_2} f^4 = 0 \ , \ \partial_{ij} \equiv \partial_i \partial_j \ .
 \tag{15.6.7}$$

The functions  $f^i$  are homogenous polynomials of their twistor arguments to guarantee projective invariance. These equations are projectively invariant and reduce to the above form which means also loss of homogenous polynomial property. The undesirable feature is the loss of manifest projective invariance by the fixing of the projective gauge.

A more attractive ansatz is based on the idea that one must have one equation for each  $f^i$  to minimize the non-determinism of the equations obvious from the fact that there is single equation in 3-D lattice for three dynamical variables. The quartets  $(f^1, f^2, f^3, f^i)$ ,  $i = 1, 2, 3$  would define a possible minimally non-linear generalization of the equation

$$\epsilon^{i_1 j_1 k_1 l_1} \epsilon^{i_2 j_2 k_2 l_2} \partial_{i_1 i_2} f^1 \partial_{j_1 j_2} f^2 \partial_{k_1 k_2} f^m \partial_{l_1 l_2} f^4 = 0 \ , \ \partial_{ij} \equiv \partial_i \partial_j \ , \ m = 1, 2, 3 \ .
 \tag{15.6.8}$$

Note that the functions are homogenous polynomials of their arguments and analogous to spherical harmonics suggesting that they can allow a nice interpretation in terms of quantum classical correspondence.

The minimal non-linearity of the equations also conforms with the non-linearity of the field equations associated with Kähler action. Note that also in this case one can solve the equations by diagonalizing the dynamical coefficient matrix associated with the quadratic term and by identifying

the eigen-vectors of zero eigen values. One could consider also more complicated strongly non-linear ansätze such as  $(f^i, f^i, f^i, f^i)$ ,  $i = 1, 2, 3$ , but these do not seem plausible.

1. *The explicit form of the equations using Taylor series expansion for multi-linear case*

In this section the equations associated with  $(f_1, f_2, f_3)$  ansatz are discussed in order to obtain a perspective about the general structure of the equations by using simpler (multilinearity) albeit probably non-realistic case as starting point. This experience can be applied directly to the  $(f^1, f^2, f^3, f^i)$  ansatz, which is quadratic in  $f^i$ .

The explicit form of the equations is obtained as infinite number of conditions relating the coefficients of the Taylor series of  $f^1$  and  $f^2$ . The treatment of the two variants for the equations is essentially identical and in the following only the manifestly projectively invariant form will be considered.

1. One can express the Taylor series as

$$\begin{aligned} f^1(Z, W) &= \sum_{m,n} C_{m,n} M_m(Z) M_n(W) , \\ f^2(Z, W) &= \sum_{m,n} D_{m,n} M_m(Z) M_n(W) , \\ f^3(Z, W) &= \sum_{m,n} E_{m,n} M_m(Z) M_n(W) , \\ M_{m \equiv (m_1, m_2, m_3)}(Z) &= z_1^{m_1} z_2^{m_2} z_3^{m_3} . \end{aligned} \quad (15.6.9)$$

2. The application of derivatives to the functions reduces to a simple algebraic operation

$$\partial_{ij}(M_m(Z) M_n(W)) = m_i n_j M_{m_1 - e_i}(Z) M_{n - e_j}(W) . \quad (15.6.10)$$

Here  $e_i$  denotes  $i$ :th unit vector.

3. Using the product rule  $M_m M_n = M_{m+n}$  one obtains

$$\begin{aligned} &\partial_{ij}(M_m(Z) M_n(W)) \partial_{rs}(M_k(Z) M_l(W)) \\ &= m_i n_j k_r l_s \times M_{m - e_i}(Z) M_{n - e_j}(W) \times M_{k - e_r}(Z) M_{l - e_s}(W) \\ &= m_i n_j k_r l_s \times M_{m+k - e_i - e_r}(Z) \times M_{n+l - e_j - e_l}(W) . \end{aligned} \quad (15.6.11)$$

4. The equations reduce to the trilinear form

$$\begin{aligned} &\sum_{m,n,k,l,r,s} C_{m,n} D_{k,l} E_{r,s}(m, k, r)(n, l, s) M_{m+k+r-E}(Z) M_{n+l+s-E}(W) = 0 , \\ E &= e_1 + e_2 + e_3 , \quad (a, b, c) = \epsilon^{ijk} a_i b_j c_c . \end{aligned} \quad (15.6.12)$$

Here  $(a, b, c)$  denotes the determinant defined by the three index vectors involved. By introducing the summation indices

$$(M = m + k + r - E, k, r) , \quad (N = n + l + s - E, l, s)$$

one obtains an infinite number of conditions, one for each pair  $(M, N)$ . The condition for a given pair  $(M, N)$  reads as



$$\sum_{k,l,r,s} C_{M-k-r+E, N-l-s+E} D_{k,l} E_{r,s} \times (M-k-r+E, k, r)(N-l-s+E, l, s) = 0 . \quad (15.6.13)$$

These equations can be regarded as linear equations by regarding any matrix selected from  $\{C, D, E\}$  as a vector of linear space. The existence solutions requires that the determinant associated with the tensor product of other two matrices vanishes. This matrix is dynamical. Same applies to the tensor product of any of the matrices.

5. Hyper-determinant [91] is the generalization of the notion of determinant whose vanishing tells that multilinear equations have solutions. Now the vanishing of the hyper-determinant defined for the tensor product of the three-fold tensor power of the vector space defined by the coefficients of the Taylor expansion should provide the appropriate manner to characterize the conditions for the existence of the solutions. As already seen, solutions indeed exist so that the hyper-determinant must vanish. The elements of the hyper matrix are now products of determinants for the exponents of the monomials involved. The non-locality of the Kähler function as a functional of the partonic surface leads to the argument that the field equations of TGD for vanishing  $n$ :th variations of Kähler action are multilinear and that a vanishing of a generalized hyper-determinant characterizes this [18].
6. Since the differential operators are homogenous polynomials of partial derivatives, the total degrees of  $M_m(Z)$  and  $M_n(W)$  defined as a sum  $D = \sum m_i$  is reduced by one unit by the action of both operators  $\partial_{ij}$ . For given value of  $M$  and  $N$  only the products

$$M_m(Z)M_n(W)M_k(Z)M_r(W)M_s(Z)M_l(W)$$

for which the vector valued degrees  $D_1 = m + k + r$  and  $D_2 = n + l + s$  have the same value are coupled. Since the degree is reduced by the operators appearing in the equation, polynomial solutions for which  $f^i$  contain monomials labelled by vectors  $m_i, n_i, r_i$  for which the components vary in a finite range  $(0, n_{max})$  look like a natural solution ansatz. All the degrees  $D_i \leq D_{i,max}$  appear in the solution ansatz so that quite a large number of conditions is obtained.

What is nice is that the equation can be interpreted as a difference equation in 3-D lattice with "time direction" defined by the direction of the diagonal.

1. The counterparts of time=constant slices are the planes  $n_1 + n_2 + n_3 = n$  defining outer surfaces of simplices having  $E$  as a normal vector. The difference equation does not seem to say nothing about the behavior in the transversal directions.  $M$  and  $N$  vary in the simplex planes satisfying  $\sum M_i = T_1$ ,  $\sum N_i = T_2$ . It seems natural to choose  $T_1 = T_2 = T$  so that  $Z$  and  $W$  dynamics corresponds to the same "time". The number of points in the  $T = constant$  simplex plane increases with  $T$  which is analogous to cosmic expansion.
2. The "time evolution" with respect to  $T$  can be solved iteratively by increasing the value of  $\sum M_i = N_i = T$  by one unit at each step. Suppose that the values of coefficients are known and satisfy the conditions for  $(m, k, r)$  and  $(n, l, s)$  up to the maximum value  $T$  for the sum of the components of each of these six vectors. The region of known coefficients -"past"- obviously corresponds to the interior of the simplex bounded by the plane  $\sum M_i = \sum N_i = T$  having  $E$  as a normal. Let  $(m_{min}, n_{min}), (k_{min}, l_{min})$  and  $(r_{min}, s_{min})$  correspond to the smallest values of 3-indices for which the coefficients are non-vanishing- this could be called the moment of "Big Bang". The simplest but not necessary assumption is that these indices correspond zero vectors  $(0, 0, 0)$  analogous to the tip of light-cone.
3. For given values of  $M$  and  $N$  corresponding to same value of "cosmic time"  $T$  one can separate from the formula the terms which correspond to the un-known coefficients as the sum  $C_{M+E, N+E} D_{0,0} E_{0,0} + D_{M+E, N+E} D_{0,0} C_{0,0} + E_{M+E, N+E} C_{0,0} D_{0,0}$ . The remaining terms are by assumption already known. One can fix the normalization by choosing  $C_{0,0} = D_{0,0} = E_{0,0} = 1$ .

With these assumptions the equation reduces at each point of the outer boundary of the simplex to the form

$$C_{M+E,N+E} + D_{M+E,N+E} + E_{M+E,N+E} = X$$

where  $X$  is something already known and contain only data about points in the plane  $m+k+r = M$  and  $n+r+s = N$ . Note that these planes have one "time like direction" unlike the simplex plane so that one could speak about a discrete analog of string world sheet in 3+3+3-D lattice space defined by a 2-plane with one time-like direction.

4. For each point of the simplex plane one has equation of the above form. The equation is non-deterministic since only constrain only the sum  $C_{M+E,N+E} + D_{M+E,N+E} + E_{M+E,N+E}$  at each point of the simplex plane to a plane in the complex 3-D space defined by them. Hence the number of solutions is very large. The condition that the solutions reduce to polynomials poses conditions on the coefficients since the quantities  $X$  associated with the plane  $T = T_{max}$  must vanish for each point of the simplex plane in this case. In fact, projective invariance means that the functions involved are homogenous functions in projective coordinates and thus polynomials and therefore reduce to polynomials of finite degree in 3-D treatment. This obviously gives additional condition to the equations.

### 2. The minimally non-linear option

The simple equation just discussed should be taken with a caution since the non-determinism seems to be too large if one takes seriously the analogy with classical dynamics. By the vacuum degeneracy also the time evolution associated with Kähler action breaks determinism in the standard sense of the word. The non-determinism is however not so strong and removed completely in local sense for non-vacuum extremals. One could also try to see the non-determinism as the analog for non-deterministic time evolution by quantum jumps.

One can however consider the already mentioned possibility of increasing the number of equations so that one would have three equations corresponding to the three unknown functions  $f^i$  so that the determinism associated with each step would be reduced. The equations in question would be of the same general form but with  $(f^1, f^2, f^3)$  replaced with some some other combination.

1. In the genuinely projective situation where one can consider the  $(f^1, f^2, f^3, f^i)$ ,  $i = 1, 2, 3$  as a unique generalization of the equation. This would make the equations quadratic in  $f_i$  and reduce the non-determinism at given step of the time evolution. The new element is that now only monomials  $M_m(z)$  associated with the  $f^i$  with same degree of homogeneity defined by  $d = \sum m_i$  are consistent with projective invariance. Therefore the solutions are characterized by six integers  $(d_{i,1}, d_{i,2})$  having interpretation as analogs of conformal weights since they correspond to eigenvalues of scaling operators. That homogenous polynomials are in question gives hopes that a generalization of Witten's approach might make sense. The indices  $m$  vary at the outer surfaces of the six 3-simplices defined by  $(d_{i,1}, d_{i,2})$  and looking like tetrahedrons in 3-D space. The functions  $f^i$  are highly analogous to the homogenous functions appearing in group representations and quantum classical correspondence could be realized through the representation of the space-time surfaces in this manner.
2. The 3-determinants  $(a, b, c)$  appearing in the equations would be replaced by 4-determinants and the equations would have the same general form. One has

$$\begin{aligned} & \sum_{k,l,r,s,t,u} C_{M-k-r-t+E, N-l-s-u+E} D_{k,l} E_{r,s} C_{t,u} \times \\ & \times (M-k-r-t+E, k, r, t)(N-l-s-u+E, l, s, u) = 0 \quad , \\ & E = e_1 + e_2 + e_3 + e_4 \quad , \quad (a, b, c, d) = \epsilon^{ijkl} a_i b_j c_k d_l \quad . \end{aligned} \quad (15.6.14)$$

and its variants in which  $D$  and  $E$  appear quadratically. The values of  $M$  and  $N$  are restricted to the tetrahedrons  $\sum M_i = \sum d_{k,1} + d_{1,i}$  and  $\sum N_i = \sum d_{i,2} + d_{i,2}$ ,  $i = 1, 2, 3$ . Therefore

the dynamics in the index space is 3-dimensional. Since the index space is in a well-defined sense dual to  $CP_3$  as is also the  $CP_3$  in which the solutions are represented as counterparts of 3-surfaces, one could say that the 3-dimensionality of the dynamics corresponds to the dynamics of Chern-Simons action at space-like at the ends of  $CD$  and at light-like 3-surfaces.

3. The view based on 4-D time evolution is not useful since the solutions are restricted to time=constant plane in 4-D sense. The elimination of one of the projective coordinates would lead however to the analog of the above describe time evolution. In four-D context a more appropriate form of the equations is

$$\sum_{m,n,k,l,r,s} C_{m,n} D_{k,l} E_{r,s} C_{t,u}(m, k, r, t)(n, l, s, u) M_{m+k+r-E}(Z) M_{n+l+s-E}(W) = 0 \quad (15.6.15)$$

with similar equations for  $f^2$  and  $f^3$ . If one assumes that the  $CP_2$  image of the holomorphic 3-surface is unique (it can correspond to either  $CP_3$ ) the homogenous polynomials  $f^i$  must be symmetric under the exchange of  $Z$  and  $W$  so that the matrices  $C, D$ , and  $E$  are symmetric. This is equivalent to a replacement of the product of determinants with a sum of 16 products of determinants obtained by permuting the indices of each index pair  $(m, n)$ ,  $(k, l)$ ,  $(r, s)$  and  $(t, u)$ .

4. The number  $N_{cond}$  of conditions is given by the product  $N_{cond} = N(d_M)N(d_N)$  of numbers of points in the two tetrahedrons defined by the total conformal weights

$$\sum M_r = d_M = \sum_k d_{k,1} + d_{i,1} \quad \text{and} \quad \sum N_r = d_N = \sum_k d_{k,2} + d_{i,2} \quad , \quad i = 1, 2, 3.$$

The number  $N_{coeff}$  of coefficients is

$$N_{coeff} = \sum_k n(d_{k,1}) + \sum_k n(d_{k,2}) \quad ,$$

where  $n(d_{k,i})$  is the number points associated with the tetrahedron with conformal weight  $d_{k,i}$ . Since one has  $n(d) \propto d^3$ ,  $N_{cond}$  scales as

$$N_{cond} \propto d_M^3 d_N^3 = \left( \sum_k d_{k,1} + d_{1,i} \right)^3 \times \left( \sum_k d_{k,2} + d_{i,2} \right)^3$$

whereas the number  $N_{coeff}$  of coefficients scales as

$$N_{coeff} \propto \sum_k (d_{k,1}^3 + d_{k,2}^3) \quad .$$

$N_{cond}$  is clearly much larger than  $N_{coeff}$  so the solutions are analogous to partial waves and that the reduction of the rank for the matrices involved is an essential aspect of being a solution. The reduction of the rank for the coefficient matrices should reduce the effective number of coefficients so that solutions can be found. An interesting question is whether the coefficients are rationals with a suitable normalization allowed by independent conformal scalings. An analogy for the dynamics is quantum entanglement for 3+3 systems respecting the conservation of conformal weights and quantum classical correspondence taken to extreme suggests something like this.

5. One can interpret these equations as linear equations for the coefficients of the either linear term or as quadratic equations for the non-linear term. Also in the case of quadratic term one can apply general linear methods to identify the vanishing eigen values of the matrix of the quadratic form involved and to find the zero modes as solutions. The rank of the dynamically determined multiplier matrix must be non-maximal for the solutions to exist. One can imagine that the rank changes at critical surfaces in the space of Taylor coefficients meaning a multi-furcation in

the space determined by the coefficients of the polynomials. Also the degree of the polynomial can change at the critical point.

Solutions for which either determinant vanishes for all terms present in the solution exist. This is achieved if either the index vectors  $(m, l, r, t)$  or  $(n, l, s, u)$  in their respective parallel 3-planes are also in a 3-plane going through the origin. These solutions might be seen as the analogs of vacuum extremals of Chern-Simons action for which the  $CP_2$  projection is at most 2-D Lagrangian manifold.

Quantum classical correspondence requires that the space-time surface carries also information about the momenta of partons. This information is quasi-continuous. Also information about zero modes should have representation in terms of the coefficients of the polynomials. Is this really possible if only products of polynomials of fixed conformal weights with strong restrictions on coefficients can be used? The counterpart for the vacuum degeneracy of Kähler action might resolve the problem. The analog for the construction of space-time surfaces as deformations of vacuum extremals would be starting from a trivial solution and adding to the building blocks of  $f^i$  some terms of same degree for which the wave vectors are not in the intersection of a 3-plane and simplex planes. The still existing "vacuum part" of the solution could carry the needed information.

6. One can take "obvious solutions" characterized by different common 3-planes for the "wave vectors" characterizing the 8 monomials  $M_a(Z)$  and  $M_b(W)$ ,  $a \in \{m, k, r, t\}$  and  $b \in \{n, l, s, u\}$ . The coefficient matrices  $C, D, E, F$  are completely free. For the sum of these solutions the equations contain interaction terms for which at least two "wave vectors" belong to different 3-planes so that the corresponding 4-determinants are non-vanishing. The coefficients are not anymore free. Could the "obvious solutions" have interpretation in terms of different space-time sheets interacting via wormhole contacts? Or can one equate "obvious" with "vacuum" so that interaction between different vacuum space-time sheets via wormhole contact with 3-D  $CP_2$  projection would deform vacuum extremals to non-vacuum extremals? Quantum classical correspondence inspires the question whether the products for functions  $f_i$  associated with an obvious solution associated with a particular plane correspond to a tensor products for quantum states associated with a particular partonic 2-surface or space-time sheet.
7. Effective 2-dimensionality realized in terms of the extremals of Chern-Simons actions with Lagrange multiplier term coming from the weak form of electric magnetic duality should also have a concrete counterpart if one takes the analogy with the extremals of Kähler action seriously. The equations can be transformed to 3-D ones by the elimination of the fourth coordinate but the interpretation in terms of discrete time evolution seems to be impossible since all points are coupled. The total conformal weights of the monomials vary in the range  $[0, d_{1,i}]$  and  $[0, d_{2,i}]$  so that the non-vanishing coefficients are in the interior of 3-simplex. The information about the fourth coordinate is preserved being visible via the four-determinants.
8. It should be possible to relate the hierarchy with respect to conformal weights would to the geometrization of loop integrals if a generalization of twistor strings is in question. One could hope that there exists a hierarchy of solutions with levels characterized by the rank of the matrices appearing in the linear representation. There is a temptation to associate this hierarchy with the hierarchy of deformations of vacuum extremals of Kähler action forming also a hierarchy. If this is the case the obvious solutions would correspond to vacuum extremals. At each step when the rank of the matrices involved decreases the solution becomes nearer to vacuum extremal and there should exist vanishing second variation of Kähler action. This structural similarity gives hopes that the proposed ansatz might work. Also the fact that a generalization of the Penrose's twistorial description for the solutions of Maxwell's equations to the situation when Maxwell field is induced from the Kähler form of  $CP_2$  raises hopes. One must however remember that the consistency with other proposed solution ansätze and with what is believed to be known about the preferred extremals is an enormously powerful constraint and a mathematical miracle would be required.

## 15.7 Finiteness of generalized Feynman diagrams zero energy ontology

By effective 2-dimensionality partonic 2-surfaces plus the 4-D tangent space data at them code for quantum physics. The light-like orbits of partonic 2-surfaces in turn have interpretation as analogs of Feynman diagrams which correspond to 3-surfaces defining the regions at which the signature of the induced metric changes and 4-metric becomes degenerate. One could also identify the space-like regions of the space-time surfaces (deformed  $CP_2$  type vacuum extremals, in particular wormhole throats) as the counterparts of generalized Feynman diagrams. The regions with Minkowskian signature of the induced metric would in turn correspond to the many-sheeted version of external space-time in which the particles move. A very concrete connection between particle and space-time geometry and topology is clearly in question.

Zero energy ontology has already led to the idea of interpreting the virtual particles as pairs of positive and negative energy wormhole throats. Hitherto I have taken it as granted that ordinary Feynman diagrammatics generalizes more or less as such. It is however far from clear what really happens in the vertices of the generalized Feynmann diagrams. The safest approach relies on the requirement that unitarity realized in terms of Cutkosky rules in ordinary Feynman diagrammatics allows a generalization. This requires loop diagrams. In particular, photon-photon scattering can take place only via a fermionic square loop so that it seems that loops must be present at least in the topological sense.

One must be however ready for the possibility that something unexpectedly simple might emerge. For instance, the vision about algebraic physics allows naturally only finite sums for diagrams and does not favor infinite perturbative expansions. Hence the true believer on algebraic physics might dream about finite number of diagrams for a given reaction type. For simplicity generalized Feynman diagrams without the complications brought by the magnetic confinement since by the previous arguments the generalization need not bring in anything essentially new.

The basic idea of duality in early hadronic models was that the lines of the dual diagram representing particles are only re-arranged in the vertices. This however does not allow to get rid of off mass shell momenta. Zero energy ontology encourages to consider a stronger form of this principle in the sense that the virtual momenta of particles could correspond to pairs of on mass shell momenta of particles. If also interacting fermions are pairs of positive and negative energy throats in the interaction region the idea about reducing the construction of Feynman diagrams to some kind of lego rules might work.

### 15.7.1 Virtual particles as pairs of on mass shell particles in ZEO

The first thing is to try to define more precisely what generalized Feynman diagrams are. The direct generalization of Feynman diagrams implies that both wormhole throats and wormhole contacts join at vertices.

1. A simple intuitive picture about what happens is provided by diagrams obtained by replacing the points of Feynman diagrams (wormhole contacts) with short lines and imagining that the throats correspond to the ends of the line. At vertices where the lines meet the incoming on mass shell quantum numbers would sum up to zero. This approach leads to a straightforward generalization of Feynman diagrams with virtual particles replaced with pairs of on mass shell throat states of type  $++$ ,  $--$ , and  $+-$ . Incoming lines correspond to  $++$  type lines and outgoing ones to  $--$  type lines. The first two line pairs allow only time like net momenta whereas  $+-$  line pairs allow also space-like virtual momenta. The sign assigned to a given throat is dictated by the the sign of the on mass shell momentum on the line. The condition that Cutkosky rules generalize as such requires  $++$  and  $--$  type virtual lines since the cut of the diagram in Cutkosky rules corresponds to on mass shell outgoing or incoming states and must therefore correspond to  $++$  or  $--$  type lines.
2. The basic difference as compared to the ordinary Feynman diagrammatics is that loop integrals are integrals over mass shell momenta and that all throats carry on mass shell momenta. In each vertex of the loop mass incoming on mass shell momenta must sum up to on mass shell momentum. These constraints improve the behavior of loop integrals dramatically and give

excellent hopes about finiteness. It does not however seem that only a finite number of diagrams contribute to the scattering amplitude besides tree diagrams. The point is that if the reactions  $N_1 \rightarrow N_2$  and  $N_2 \rightarrow N_3$ , where  $N_i$  denote particle numbers, are possible in a common kinematical region for  $N_2$ -particle states then also the diagrams  $N_1 \rightarrow N_2 \rightarrow N_3$  are possible. The virtual states  $N_2$  include all states in the intersection of kinematically allowed regions for  $N_1 \rightarrow N_2$  and  $N_2 \rightarrow N_3$ . Hence the dream about finite number possible diagrams is not fulfilled if one allows massless particles. If all particles are massive then the particle number  $N_2$  for given  $N_1$  is limited from above and the dream is realized.

3. For instance, loops are not possible in the massless case or are highly singular (bringing in mind twistor diagrams) since the conservation laws at vertices imply that the momenta are parallel. In the massive case and allowing mass spectrum the situation is not so simple. As a first example one can consider a loop with three vertices and thus three internal lines. Three on mass shell conditions are present so that the four-momentum can vary in 1-D subspace only. For a loop involving four vertices there are four internal lines and four mass shell conditions so that loop integrals would reduce to discrete sums. Loops involving more than four vertices are expected to be impossible.
4. The proposed replacement of the elementary fermions with bound states of elementary fermions and monopoles  $X_{\pm}$  brings in the analog of stringy diagrammatics. The 2-particle wave functions in the momentum degrees of freedom of fermions and  $X_{\pm}$  might allow more flexibility and allow more loops. Note however that there are excellent hopes about the finiteness of the theory also in this case.

### 15.7.2 Loop integrals are manifestly finite

One can make also more detailed observations about loops.

1. The simplest situation is obtained if only 3-vertices are allowed. In this case conservation of momentum however allows only collinear momenta although the signs of energy need not be the same. Particle creation and annihilation is possible and momentum exchange is possible but is always light-like in the massless case. The scattering matrices of supersymmetric YM theories would suggest something less trivial and this raises the question whether something is missing. Magnetic monopoles are an essential element of also these theories as also massivation and symmetry breaking and this encourages to think that the formation of massive states as fermion  $X_{\pm}$  pairs is needed. Of course, in TGD framework one has also high mass excitations of the massless states making the scattering matrix non-trivial.
2. In YM theories on mass shell lines would be singular. In TGD framework this is not the case since the propagator is defined as the inverse of the 3-D dimensional reduction of the modified Dirac operator  $D$  containing also coupling to four-momentum (this is required by quantum classical correspondence and guarantees stringy propagators),

$$\begin{aligned} D &= i\hat{\Gamma}^{\alpha}p_{\alpha} + \hat{\Gamma}^{\alpha}D_{\alpha} \ , \\ p_{\alpha} &= p_k\partial_{\alpha}h^k \ . \end{aligned} \tag{15.7.1}$$

The propagator does not diverge for on mass shell massless momenta and the propagator lines are well-defined. This is of course of essential importance also in general case. Only for the incoming lines one can consider the possibility that 3-D Dirac operator annihilates the induced spinor fields. All lines correspond to generalized eigenstates of the propagator in the sense that one has  $D_3\Psi = \lambda\gamma\Psi$ , where  $\gamma$  is modified gamma matrix in the direction of the stringy coordinate emanating from light-like surface and  $D_3$  is the 3-dimensional dimensional reduction of the 4-D modified Dirac operator. The eigenvalue  $\lambda$  is analogous to energy. Note that the eigenvalue spectrum depends on 4-momentum as a parameter.

3. Massless incoming momenta can decay to massless momenta with both signs of energy. The integration measure  $d^2k/2E$  reduces to  $dx/x$  where  $x \geq 0$  is the scaling factor of massless momentum. Only light-like momentum exchanges are however possible and scattering matrix is essentially trivial. The loop integrals are finite apart from the possible delicacies related to poles since the loop integrands for given massless wormhole contact are proportional to  $dx/x^3$  for large values of  $x$ .
4. Irrespective of whether the particles are massless or not, the divergences are obtained only if one allows too high vertices as self energy loops for which the number of momentum degrees of freedom is  $3N - 4$  for  $N$ -vertex. The construction of SUSY limit of TGD in [18] led to the conclusion that the parallelly propagating  $N$  fermions for given wormhole throat correspond to a product of  $N$  fermion propagators with same four-momentum so that for fermions and ordinary bosons one has the standard behavior but for  $N > 2$  non-standard so that these excitations are not seen as ordinary particles. Higher vertices are finite only if the total number  $N_F$  of fermions propagating in the loop satisfies  $N_F > 3N - 4$ . For instance, a 4-vertex from which  $N = 2$  states emanate is finite.

### 15.7.3 Taking into account magnetic confinement

What has been said above is not quite enough. As shown in the accompanying article and in [18] the weak form of electric-magnetic duality [87] leads to the picture about elementary particles as pairs of magnetic monopoles inspiring the notions of weak confinement based on magnetic monopole force. Also color confinement would have magnetic counterpart. This means that elementary particles would behave like string like objects in weak boson length scale. Therefore one must also consider the stringy case with wormhole throats replaced with fermion- $X_{\pm}$  pairs ( $X_{\pm}$  is electromagnetically neutral and  $\pm$  refers to the sign of the weak isospin opposite to that of fermion) and their super partners.

1. The simplest assumption in the stringy case is that fermion- $X_{\pm}$  pairs behave as coherent objects, that is scatter elastically. In more general case only their higher excitations identifiable in terms of stringy degrees of freedom would be created in vertices. The massivation of these states makes possible non-collinear vertices. An open question is how the massivation fermion- $X_{\pm}$  pairs relates to the existing TGD based description of massivation in terms of Higgs mechanism and modified Dirac operator.
2. Mass renormalization could come from self energy loops with negative energy lines as also vertex normalization. By very general arguments supersymmetry implies the cancellation of the self energy loops but would allow non-trivial vertex renormalization [18].
3. If only 3-vertices are allowed, the loops containing only positive energy lines are possible if on mass shell fermion- $X_{\pm}$  pair (or its superpartner) can decay to a pair of positive energy pair particles of same kind. Whether this is possible depends on the masses involved. For ordinary particles these decays are not kinematically possible below intermediate boson mass scale (the decays  $F_1 \rightarrow F_2 + \gamma$  are forbidden kinematically or by the absence of flavor changing neutral currents whereas intermediate gauge bosons can decay to on mass shell fermion-antifermion pair).
4. The introduction of IR cutoff for 3-momentum in the rest system associated with the largest  $CD$  (causal diamond) looks natural as scale parameter of coupling constant evolution and p-adic length scale hypothesis favors the inverse of the size scale of  $CD$  coming in powers of two. This parameter would define the momentum resolution as a discrete parameter of the p-adic coupling constant evolution. This scale does not have any counterpart in standard physics. For electron,  $d$  quark, and  $u$  quark the proper time distance between the tips of  $CD$  corresponds to frequency of 10 Hz, 1280 Hz, and 160 Hz: all these frequencies define fundamental bio-rhythms [37].

These considerations have left completely untouched one important aspect of generalized Feynman diagrams: the necessity to perform a functional integral over the deformations of the partonic 2-surfaces at the ends of the lines- that is integration over WCW. Number theoretical universality requires that WCW and these integrals make sense also p-adically and in the following these aspects of generalized Feynman diagrams are discussed.





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## Chapter 16

# Particle Massivation in TGD Universe

### 16.1 Introduction

This chapter represents the most recent view about particle massivation in TGD framework. This topic is necessarily quite extended since many several notions and new mathematics is involved. Therefore the calculation of particle masses involves five chapters ([20, 31, 22, 23, 26] of [4]). In the following my goal is to provide an up-to-date summary whereas the chapters are unavoidably a story about evolution of ideas.

The identification of the spectrum of light particles reduces to two tasks: the construction of massless states and the identification of the states which remain light in p-adic thermodynamics. The latter task is relatively straightforward. The thorough understanding of the massless spectrum requires however a real understanding of quantum TGD. It would be also highly desirable to understand why p-adic thermodynamics combined with p-adic length scale hypothesis works. A lot of progress has taken place in these respects during last years.

Zero energy ontology providing a detailed geometric view about bosons and fermions, the generalization of  $S$ -matrix to what I call  $M$ -matrix, the notion of finite measurement resolution characterized in terms of inclusions of von Neumann algebras, the derivation of p-adic coupling constant evolution and p-adic length scale hypothesis from the first principles, the realization that the counterpart of Higgs mechanism involves generalized eigenvalues of the modified Dirac operator: these are represent important steps of progress during last years with a direct relevance for the understanding of particle spectrum and massivation although the predictions of p-adic thermodynamics are not affected.

During 2010 a further progress took place as I wrote articles about TGD to Prespacetime journal [34]. These steps of progress relate closely to zero energy ontology, bosonic emergence, the realization of the importance of twistors in TGD, and to the discovery of the weak form of electric-magnetic duality. Twistor approach and the understanding of the Chern-Simons Dirac operator served as a midwife in the process giving rise to the birth of the idea that all particles at fundamental level are massless and that both ordinary elementary particles and string like objects emerge from them. Even more, one can interpret virtual particles as being composed of these massless on mass shell particles assignable to wormhole throats so that four-momentum conservation poses extremely powerful constraints on loop integrals and makes them manifestly finite.

The weak form of electric-magnetic duality led to the realization that elementary particles correspond to bound states of two wormhole throats with opposite Kähler magnetic charges with second throat carrying weak isospin compensating that of the fermion state at second wormhole throat. Both fermions and bosons correspond to wormhole contacts: in the case of fermions topological condensation generates the second wormhole throat. This means that altogether four wormhole throats are involved with both fermions, gauge bosons, and gravitons (for gravitons this is unavoidable in any case). For p-adic thermodynamics the mathematical counterpart of string corresponds to a wormhole contact with size of order  $CP_2$  size with the role of its ends played by wormhole throats at which the signature of the induced 4-metric changes. The key observation is that for massless states the throats of spin 1 particle must have opposite three-momenta so that gauge bosons are necessarily

massive, even photon and other particles usually regarded as massless must have small mass which in turn cancels infrared divergences and give hopes about exact Yangian symmetry generalizing that of  $\mathcal{N} = 4$  SYM. Besides this there is weak "stringy" contribution to the mass assignable to the magnetic flux tubes connecting the two wormhole throats at the two space-time sheets.

### 16.1.1 Physical states as representations of super-symplectic and Super Kac-Moody algebras

Physical states belong to the representation of super-symplectic algebra and Super Kac-Moody algebra assignable  $SO(2) \times SU(3) \times SU(2)_{rot} \times U(2)_{ew}$  associated with the 2-D surfaces  $X^2$  defined by the intersections of 3-D light like causal determinants with  $\delta M_{\pm}^4 \times CP_2$ . These 2-surfaces have interpretation as partons.

It has taken considerable effort to understand the relationship between super-symplectic and super Kac-Moody algebras and there are still many uncertainties involved. What looks like the most plausible option relies on the generalization of a coset construction proposed already for years ago but given up because of the lacking understanding of how SKM and SC algebras could be lifted to the level of imbedding space. The progress in the *Physics as generalized number theory* program provided finally a justification for the coset construction.

1. Assume a generalization of the coset construction in the sense that the differences of super Kac-Moody Virasoro generators (SKMV) and super-symplectic Virasoro generators (SSV) annihilate the physical states. The interpretation is in terms of TGD counterpart for Einstein's equations realizing Equivalence Principle. Mass squared is identified as the p-adic thermal expectation value of either  $SKMV$  or  $SSV$  conformal weight (gravitational or inertial mass) in a superposition of states with  $SKMV$  ( $SSV$ ) conformal weight  $n \geq 0$  annihilated by  $SKMV - SSV$ .
2. Construct first ground states with negative conformal weight annihilated by  $SKMV$  and  $SSV$  generators  $G_n, L_n, n < 0$ . Apply to these states generators of tensor factors of Super Viraroso algebras to obtain states with vanishing  $SSV$  and  $SKMV$  conformal weights. After this construct thermal states as superpositions of states obtained by applying  $SKMV$  generators and corresponding  $SSV$  generators  $G_n, L_n, n > 0$ . Assume that these states are annihilated by  $SSV$  and  $SKMV$  generators  $G_n, L_n, n > 0$  and by the differences of all  $SSV$  and  $SKMV$  generators.
3. Super-symplectic algebra represents a completely new element and in the case of hadrons the non-perturbative contribution to the mass spectrum is easiest to understand in terms of super-symplectic thermal excitations contributing roughly 70 per cent to the p-adic thermal mass of the hadron. It must be however emphasized that by SKMV-SSV duality one can regard these contributions equivalently as SKM or SC contributions.

Yangian algebras associated with the super-conformal algebras and motivated by twistorial approach generalize the super-conformal symmetry and make it multi-local in the sense that generators can act on several partonic 2-surfaces simultaneously. These partonic 2-surfaces generalize the vertices for the external massless particles in twistor Grassmann diagrams [32]. The implications of this symmetry are yet to be deduced but one thing is clear: Yangians are tailor made for the description of massive bound states formed from several partons identified as partonic 2-surfaces. The preliminary discussion of what is involved can be found in [32].

### 16.1.2 Particle massivation

Particle massivation can be regarded as a generation of thermal conformal weight identified as mass squared and due to a thermal mixing of a state with vanishing conformal weight with those having higher conformal weights. The observed mass squared is not p-adic thermal expectation of mass squared but that of conformal weight so that there are no problems with Lorentz invariance.

One can imagine several microscopic mechanisms of massivation. The following proposal is the winner in the fight for survival between several competing scenarios.

1. The original observation was that the pieces of  $CP_2$  type vacuum extremals representing elementary particles have random light-like curve as an  $M^4$  projection so that the average motion

correspond to that of massive particle. Light-like randomness gives rise to classical Virasoro conditions. This picture generalizes since the basic dynamical objects are light-like but otherwise random 3-surfaces. The identification of elementary particles developed in three steps.

- (a) Fermions are identified as light-like 3-surfaces at which the signature of induced metric of deformed  $CP_2$  type extremals changes from Euclidian to the Minkowskian signature of the background space-time sheet. Gauge bosons and Higgs correspond to wormhole contacts with light-like throats carrying fermion and antifermion quantum numbers. Gravitons correspond to pairs of wormhole contacts bound to string like object by the fluxes connecting the wormhole contacts. The randomness of the light-like 3-surfaces and associated super-conformal symmetries justify the use of thermodynamics and the question remains why this thermodynamics can be taken to be p-adic. The proposed identification of bosons means enormous simplification in thermodynamical description since all calculations reduced to the calculations to fermion level. This picture generalizes to include super-symmetry. The fermionic oscillator operators associated with the partonic 2-surfaces act as generators of badly broken SUSY and right-handed neutrino gives to the not so badly broken  $\mathcal{N} = 1$  SUSY consistent with empirical facts.
  - (b) The next step was to realize that the topological condensation of fermion generates second wormhole throat which carries momentum but no fermionic quantum numbers. This is also needed to the massivation by p-adic thermodynamics applied to the analogs of string like objects defined by wormhole throats with throats taking the role of string ends. p-Adic thermodynamics did not however allow a satisfactory understanding of the gauge bosons masses and it was clear that Higgsy contribution should be present and dominate for gauge bosons. Gauge bosons should also somehow obtain their longitudinal polarizations and here Higgs like particles indeed predicted by the basic picture suggests itself strongly.
  - (c) A further step was the discovery of the weak form of electric-magnetic duality, which led to the realization that wormhole throats possess Kähler magnetic charge so that a wormhole throat with opposite magnetic charge is needed to compensate this charge. This wormhole throat can also compensate the weak isospin of the second wormhole throat so that weak confinement and massivation results. In the case of quarks magnetic confinement might take place in hadronic rather than weak length scale. Second crucial observation was that gauge bosons are necessarily massive since the light-like momenta at two throats must correspond to opposite three-momenta so that no Higgs potential is needed. This leads to a picture in which gauge bosons eat the Higgs scalars and also photon, gluons, and gravitons develop small mass.
2. The fundamental parton level description of TGD is based on almost topological QFT for light-like 3-surfaces. Dynamics is constrained by the requirement that  $CP_2$  projection is for extremals of Chern-Simons action 2-dimensional and for off-shell states light-likeness is the only constraint. As a matter fact, the basic theory relies on the modified Dirac action associated with Chern-Simons action and Kähler action in the sense that the generalizes eigenmodes of Chern-Simons Dirac operator correspond to the zero modes of Kähler action localized to the light-like 3-surfaces representing partons. In this manner the data about the dynamics of Kähler action is feeded to the eigenvalue spectrum. Eigenvalues are interpreted as square roots of ground state conformal weights.
  3. The symmetries respecting light-likeness property give rise to Kac-Moody type algebra and super-symplectic symmetries emerge also naturally as well as  $\mathcal{N} = 4$  character of super-conformal invariance. The coset construction for super-symplectic Virasoro algebra and Super Kac-Moody algebra identified in physical sense as sub-algebra of former implies that the four-momenta assignable to the two algebras are identical. The interpretation is in terms of the identity of gravitational inertial masses and generalization of Equivalence Principle.
  4. Instead of energy, the Super Kac-Moody Virasoro (or equivalently super-symplectic) generator  $L_0$  (essentially mass squared) is thermalized in p-adic thermodynamics (and also in its real version assuming it exists). The fact that mass squared is thermal expectation of conformal weight guarantees Lorentz invariance. That mass squared, rather than energy, is a fundamental quantity at  $CP_2$  length scale is also suggested by a simple dimensional argument (Planck mass

squared is proportional to  $\hbar$  so that it should correspond to a generator of some Lie-algebra (Virasoro generator  $L_0!$ )).

5. By Equivalence Principle the thermal average of mass squared can be calculated either in terms of thermodynamics for either super-symplectic of Super Kac-Moody Virasoro algebra and p-adic thermodynamics is consistent with conformal invariance.
6. There is also a modular contribution to the mass squared, which can be estimated using elementary particle vacuum functionals in the conformal modular degrees of freedom of the partonic 2-surface. It dominates for higher genus partonic 2-surfaces. For bosons both Virasoro and modular contributions seem to be negligible and could be due to the smallness of the p-adic temperature.
7. A long standing problem has been whether coupling to Higgs boson is needed to explain gauge boson masses via a generation of Higgs vacuum expectation having possibly interpretation in terms of a coherent state. Before the detailed model for elementary particles in terms of pairs of wormhole contacts at the ends of flux tubes the picture about the situation was as follows. From the beginning it was clear that is that ground state conformal weight must be negative. Then it became clear that the ground state conformal weight need not be a negative integer. The deviation  $\Delta h$  of the total ground state conformal weight from negative integer gives rise to Higgs type contribution to the thermal mass squared and dominates in case of gauge bosons for which p-adic temperature is small. In the case of fermions this contribution to the mass squared is small. The possible Higgs vacuum expectation makes sense only at QFT limit and would be naturally proportional to  $\Delta h$  so that the coupling to Higgs would only apparently cause gauge boson massivation. It is natural to relate  $\Delta h$  to the generalized eigenvalues of Chern-Simons Dirac operator.
8. A natural identification of the non-integer contribution to the conformal weight is as Higgsy and stringy contributions to the vacuum conformal weight (strings are now "weak strings"). In twistor approach the generalized eigenvalues of Chern-Simons Dirac operator for external particles indeed correspond to light-like momenta and when the three-momenta are opposite this gives rise to non-vanishing mass. Higgs is necessary to give longitudinal polarizations for gauge bosons and also gauge bosons usually regarded as exactly massless particles would naturally receive small mass in this manner so that Higgs would disappear completely from the spectrum. The theoretical motivation for small mass would be exact Yangian symmetry. Higgs vacuum expectation assignable to coherent state of Higgs bosons is not needed to explain the boson masses.
9. Hadron massivation requires the understanding of the CKM mixing of quarks reducing to different topological mixing of U and D type quarks. Number theoretic vision suggests that the mixing matrices are rational or algebraic and this together with other constraints gives strong constraints on both mixing and masses of the mixed quarks.

An important question concerns the justification of p-adic thermodynamics.

1. The underlying philosophy is that real number based TGD can be algebraically continued to various p-adic number fields. This gives justification for the use of p-adic thermodynamics although the mapping of p-adic thermal expectations to real counterparts is not completely unique. The physical justification for p-adic thermodynamics is effective p-adic topology characterizing the 3-surface: this is the case if real variant of light-like 3-surface has large number of common algebraic points with its p-adic counterpart obeying same algebraic equations but in different number field. In fact, there is a theorem stating that for rational surfaces the number of rational points is finite and rational (more generally algebraic points) would naturally define the notion of number theoretic braid essential for the realization of number theoretic universality.
2. The most natural option is that the descriptions in terms of both real and p-adic thermodynamics make sense and are consistent. This option indeed makes if the number of generalized eigenmodes of modified Dirac operator is finite. This is indeed the case if one accepts periodic boundary conditions for the Chern-Simons Dirac operator. In fact, the solutions are localized at

the strands of braids [18]. This makes sense because the theory has hydrodynamic interpretation [18]. This reduces  $\mathcal{N} = \infty$  to finite SUSY and realizes finite measurement resolution as an inherent property of dynamics.

The finite number of fermionic oscillator operators implies an effective cutoff in the number conformal weights so that conformal algebras reduce to finite-dimensional algebras. The first guess would be that integer label for oscillator operators becomes a number in finite field for some prime. This means that one can calculate mass squared also by using real thermodynamics but the consistency with p-adic thermodynamics gives extremely strong number theoretical constraints on mass scale. This consistency condition allows also to solve the problem how to map a negative ground state conformal weight to its p-adic counterpart. Negative conformal weight is divided into a negative half odd integer part plus positive part  $\Delta h$ , and negative part corresponds as such to p-adic integer whereas positive part is mapped to p-adic number by canonical identification.

p-Adic thermodynamics is what gives to this approach its predictive power.

1. p-Adic temperature is quantized by purely number theoretical constraints (Boltzmann weight  $\exp(-E/kT)$  is replaced with  $p^{L_0/T_p}$ ,  $1/T_p$  integer) and fermions correspond to  $T_p = 1$  whereas  $T_p = 1/n$ ,  $n > 1$ , seems to be the only reasonable choice for gauge bosons.
2. p-Adic thermodynamics forces to conclude that  $CP_2$  radius is essentially the p-adic length scale  $R \sim L$  and thus of order  $R \simeq 10^{3.5} \sqrt{\hbar G}$  and therefore roughly  $10^{3.5}$  times larger than the naive guess. Hence p-adic thermodynamics describes the mixing of states with vanishing conformal weights with their Super Kac-Moody Virasoro excitations having masses of order  $10^{-3.5}$  Planck mass.

### 16.1.3 What next?

The successes of p-adic mass calculations are basically due to the power of super-conformal symmetries and of number theory. One cannot deny that the description of the Higgsey aspects of massivation and of hadrons involves phenomenological elements. There are however excellent hopes that it might be possible some day to calculate everything from first principles. The non-local Yangian symmetry generalizing the super-conformal algebras suggests itself strongly as a fundamental symmetry of quantum TGD. The generalized of the Yangian symmetry replaces points with partonic 2-surfaces being multi-local with respect to them, and leads to general formulas for multi-local operators representing four-momenta and other conserved charges of composite states. In TGD framework even elementary particles involve two wormhole contacts having each two wormhole throats identified as the fundamental partonic entities. Therefore Yangian approach would naturally define the first principle approach to the understanding of masses of elementary particles and their bound states (say hadrons). The power of this extended symmetry might be enough to deduce universal mass formulas. One of the future challenges would therefore be the mathematical and physical understanding of Yangian symmetry. This would however require the contributions of professional mathematicians.

## 16.2 Identification of elementary particles

### 16.2.1 Partons as wormhole throats and particles as bound states of wormhole contacts

The assumption that partonic 2-surfaces correspond to representations of Super Virasoro algebra has been an unchallenged assumption of the p-adic mass calculations for a long time although one might argue that these objects do not possess stringy characteristics, in particular they do not possess two ends. The progress in the understanding of the modified Dirac equation and the introduction of the weak form of electric magnetic duality [18] however forces to modify the picture about the origin of the string mass spectrum.

1. The weak form of electric-magnetic duality, the basic facts about modified Dirac equation and the proposed twistorialization of quantum TGD [32] force to conclude that both strings and bosons

and their super-counterparts emerge from massless fermions moving collinearly at partonic two-surfaces. Stringy mass spectrum is consistent with this only if p-adic thermodynamics describes wormhole contacts as analogs of stringy objects having quantum numbers at the throats playing the role of string ends. For instance, the three-momenta of massless wormhole throats could be in opposite direction so that wormhole contact would become massive. The fundamental string like objects would therefore correspond to the wormhole contacts with size scale of order  $CP_2$  length. Already these objects must have a correct correlation between color and electroweak quantum numbers. The colored super-generators taking care that anomalous color is compensated can be assigned with purely bosonic quanta associated with the wormhole throats which carry no fermion number.

2. Second modification comes from the necessity to assume weak confinement in the sense that each wormhole throat carrying fermionic numbers is accompanied by a second wormhole throat carrying neutrino pair cancelling the net weak isospin so that only electromagnetic charge remains unscreened. This screening must take place in weak length scale so that ordinary elementary particles are predicted to be string like objects. This string tension has however nothing to do with the fundamental string tension responsible for the mass spectrum. This picture is forced also by the fact that fermionic wormhole throats necessarily carry Kähler magnetic charge [18] so that in the case of leptons the second wormhole throat must carry a compensating Kähler magnetic charge. In the case of quarks one can consider the possibility that magnetic charges are not neutralized completely in weak scale and that the compensation occurs in QCD length scale so that Kähler magnetic confinement would accompany color confinement. This means color magnetic confinement since classical color gauge fields are proportional to induced Kähler field.

These modifications do not seem to appreciably affect the results of calculations, which depend only on the number of tensor factors in super Virasoro representation, they are not taken explicitly into account in the calculations. The predictions of the general theory are consistent with the earliest mass calculations, and the earlier ad hoc parameters disappear. In particular, optimal lowest order predictions for the charged lepton masses are obtained and photon, gluon and graviton appear as essentially massless particles. What is new is the possibility to describe the massivation of gauge bosons by including the contribution from the string tension of weak string like objects: weak boson masses have indeed been the trouble makers and have forced to conclude that Higgs expectation might be needed unless some other mechanism contributes to the conformal vacuum weight of the ground state.

### 16.2.2 Family replication phenomenon topologically

One of the basic ideas of TGD approach has been genus-generation correspondence: boundary components of the 3-surface should be carriers of elementary particle numbers and the observed particle families should correspond to various boundary topologies.

With the advent of zero energy ontology this picture changed somewhat. It is the wormhole throats identified as light-like 3-surfaces at which with the induced metric of the space-time surface changes its signature from Minkowskian to Euclidian, which correspond to the light-like orbits of partonic 2-surfaces. One cannot of course exclude the possibility that also boundary components could allow to satisfy boundary conditions without assuming vacuum extremal property of nearby space-time surface. The intersections of the wormhole throats with the light-like boundaries of causal diamonds ( $CD$ s) identified as intersections of future and past directed light cones ( $CD \times CP_2$  is actually in question but I will speak about  $CD$ s) define special partonic 2-surfaces and it is the moduli of these partonic 2-surfaces which appear in the elementary particle vacuum functionals naturally.

The first modification of the original simple picture comes from the identification of physical particles as bound states of pairs of wormhole contacts and from the assumption that for generalized Feynman diagrams stringy trouser vertices are replaced with vertices at which the ends of light-like wormhole throats meet. In this picture the interpretation of the analog of trouser vertex is in terms of propagation of same particle along two different paths. This interpretation is mathematically natural since vertices correspond to 2-manifolds rather than singular 2-manifolds which are just splitting to two disjoint components. Second complication comes from the weak form of electric-magnetic duality

forcing to identify physical particles as weak strings with magnetic monopoles at their ends and one should understand also the possible complications caused by this generalization.

These modifications force to consider several options concerning the identification of light fermions and bosons and one can end up with a unique identification only by making some assumptions. Masslessness of all wormhole throats- also those appearing in internal lines- and dynamical  $SU(3)$  symmetry for particle generations are attractive general enough assumptions of this kind. This means that bosons and their super-partners correspond to wormhole contacts with fermion and antifermion at the throats of the contact. Free fermions and their superpartners could correspond to  $CP_2$  type vacuum extremals with single wormhole throat. It turns however that dynamical  $SU(3)$  symmetry forces to identify massive (and possibly topologically condensed) fermions as  $(g, g)$  type wormhole contacts.

### Do free fermions correspond to single wormhole throat or $(g, g)$ wormhole?

The original interpretation of genus-generation correspondence was that free fermions correspond to wormhole throats characterized by genus. The idea of  $SU(3)$  as a dynamical symmetry suggested that gauge bosons correspond to octet and singlet representations of  $SU(3)$ . The further idea that all lines of generalized Feynman diagrams are massless poses a strong additional constraint and it is not clear whether this proposal as such survives.

1. Twistorial program assumes that fundamental objects are massless wormhole throats carrying collinearly moving many-fermion states and also bosonic excitations generated by super-symplectic algebra. In the following consideration only purely bosonic and single fermion throats are considered since they are the basic building blocks of physical particles. The reason is that propagators for high excitations behave like  $p^{-n}$ ,  $n$  the number of fermions associated with the wormhole throat. Therefore single throat allows only spins 0,1/2,1 as elementary particles in the usual sense of the word.
2. The identification of massive fermions (as opposed to free massless fermions) as wormhole contacts follows if one requires that fundamental building blocks are massless since at least two massless throats are required to have a massive state. Therefore the conformal excitations with  $CP_2$  mass scale should be assignable to wormhole contacts also in the case of fermions. As already noticed this is not the end of the story: weak strings are required by the weak form of electric-magnetic duality.
3. If free fermions corresponding to single wormhole throat, topological condensation is an essential element of the formation of stringy states. The topological condensation of fermions by topological sum (fermionic  $CP_2$  type vacuum extremal touches another space-time sheet) suggest  $(g, 0)$  wormhole contact. Note however that the identification of wormhole throat is as 3-surface at which the signature of the induced metric changes so that this conclusion might be wrong. One can indeed consider also the possibility of  $(g, g)$  pairs as an outcome of topological condensation. This is suggested also by the idea that wormhole throats are analogous to string like objects and only this option turns out to be consistent with the  $BFF$  vertex based on the requirement of dynamical  $SU(3)$  symmetry to be discussed later. The structure of reaction vertices makes it possible to interpret  $(g, g)$  pairs as  $SU(3)$  triplet. If bosons are obtained as fusion of fermionic and antifermionic throats (touching of corresponding  $CP_2$  type vacuum extremals) they correspond naturally to  $(g_1, g_2)$  pairs.
4. p-Adic mass calculations distinguish between fermions and bosons and the identification of fermions and bosons should be consistent with this difference. The maximal p-adic temperature  $T = 1$  for fermions could relate to the weakness of the interaction of the fermionic wormhole throat with the wormhole throat resulting in topological condensation. This wormhole throat would however carry momentum and 3-momentum would in general be non-parallel to that of the fermion, most naturally in the opposite direction.

p-Adic mass calculations suggest strongly that for bosons p-adic temperature  $T = 1/n$ ,  $n > 1$ , so that thermodynamical contribution to the mass squared is negligible. The low p-adic temperature could be due to the strong interaction between fermionic and antifermionic wormhole throat leading to the "freezing" of the conformal degrees of freedom related to the relative motion of wormhole throats.

5. The weak form of electric-magnetic duality forces second wormhole throat with opposite magnetic charge and the light-like momenta could sum up to massive momentum. In this case string tension corresponds to electroweak length scale. Therefore p-adic thermodynamics must be assigned to wormhole contacts and these appear as basic units connected by Kähler magnetic flux tube pairs at the two space-time sheets involved. Weak stringy degrees of freedom are however expected to give additional contribution to the mass, perhaps by modifying the ground state conformal weight.

### Dynamical $SU(3)$ fixes the identification of fermions and bosons and fundamental interaction vertices

For 3 light fermion families  $SU(3)$  suggests itself as a dynamical symmetry with fermions in fundamental  $N = 3$ -dimensional representation and  $N \times N = 9$  bosons in the adjoint representation and singlet representation. The known gauge bosons have same couplings to fermionic families so that they must correspond to the singlet representation. The first challenge is to understand whether it is possible to have dynamical  $SU(3)$  at the level of fundamental reaction vertices.

This is a highly non-trivial constraint. For instance, the vertices in which  $n$  wormhole throats with same  $(g_1, g_2)$  glued along the ends of lines are not consistent with this symmetry. The splitting of the fermionic worm-hole contacts before the proper vertices for throats might however allow the realization of dynamical  $SU(3)$ . The condition of  $SU(3)$  symmetry combined with the requirement that virtual lines resulting also in the splitting of wormhole contacts are always massless, leads to the conclusion that massive fermions correspond to  $(g, g)$  type wormhole contacts transforming naturally like  $SU(3)$  triplet. This picture conforms with the identification of free fermions as throats but not with the naive expectation that their topological condensation gives rise to  $(g, 0)$  wormhole contact.

The argument leading to these conclusions runs as follows.

1. The question is what basic reaction vertices are allowed by dynamical  $SU(3)$  symmetry.  $FFB$  vertices are in principle all that is needed and they should obey the dynamical symmetry. The meeting of entire wormhole contacts along their ends is certainly not possible. The splitting of fermionic wormhole contacts before the vertices might be however consistent with  $SU(3)$  symmetry. This would give two a pair of 3-vertices at which three wormhole lines meet along partonic 2-surfaces (rather than along 3-D wormhole contacts).
2. Note first that crossing gives all possible reaction vertices of this kind from  $F(g_1)\bar{F}(g_2) \rightarrow B(g_1, g_2)$  annihilation vertex, which is relatively easy to visualize. In this reaction  $F(g_1)$  and  $\bar{F}(g_2)$  wormhole contacts split first. If one requires that all wormhole throats involved are massless, the two wormhole throats resulting in splitting and carrying no fermion number must carry light-like momentum so that they cannot just disappear. The ends of the wormhole throats of the boson must be glued together with the end of the fermionic wormhole throat and its companion generated in the splitting of the wormhole. This means that fermionic wormhole first splits and the resulting throats meet at the partonic 2-surface.

This requires that topologically condensed fermions correspond to  $(g, g)$  pairs rather than  $(g, 0)$  pairs. The reaction mechanism allows the interpretation of  $(g, g)$  pairs as a triplet of dynamical  $SU(3)$ . The fundamental vertices would be just the splitting of wormhole contact and 3-vertices for throats since  $SU(3)$  symmetry would exclude more complex reaction vertices such as  $n$ -boson vertices corresponding to the gluing of  $n$  wormhole contact lines along their 3-dimensional ends. The couplings of singlet representation for bosons would have same coupling to all fermion families so that the basic experimental constraint would be satisfied.

3. Both fermions and bosons cannot correspond to octet and singlet of  $SU(3)$ . In this case reaction vertices should correspond algebraically to the multiplication of matrix elements  $e_{ij}$ :  $e_{ij}e_{kl} = \delta_{jk}e_{il}$  allowing for instance  $F(g_1, g_2) + \bar{F}(g_2, g_3) \rightarrow B(g_1, g_3)$ . Neither the fusion of entire wormhole contacts along their ends nor the splitting of wormhole throats before the fusion of partonic 2-surfaces allows this kind of vertices so that  $BFF$  vertex is the only possible one. Also the construction of QFT limit starting from bosonic emergence led to the formulation of perturbation theory in terms of Dirac action allowing only  $BFF$  vertex as fundamental vertex [18].



4. Weak electric-magnetic duality brings in an additional complication.  $SU(3)$  symmetry poses also now strong constraints and it would seem that the reactions must involve copies of basic  $BFF$  vertices for the pairs of ends of weak strings. The string ends with the same Kähler magnetic charge should meet at the vertex and give rise to  $BFF$  vertices. For instance,  $F\bar{F}B$  annihilation vertex would in this manner give rise to the analog of stringy diagram in which strings join along ends since two string ends disappear in the process.

If one accepts this picture the remaining question is why the number of genera is just three. Could this relate to the fact that  $g \leq 2$  Riemann surfaces are always hyper-elliptic (have global  $Z_2$  conformal symmetry) unlike  $g > 2$  surfaces? Why the complete bosonic de-localization of the light families should be restricted inside the hyper-elliptic sector? Does the  $Z_2$  conformal symmetry make these states light and make possible delocalization and dynamical  $SU(3)$  symmetry? Could it be that for  $g > 2$  elementary particle vacuum functionals vanish for hyper-elliptic surfaces? If this the case and if the time evolution for partonic 2-surfaces changing  $g$  commutes with  $Z_2$  symmetry then the vacuum functionals localized to  $g \leq 2$  surfaces do not disperse to  $g > 2$  sectors.

### The notion of elementary particle vacuum functional

Obviously one must know something about the dependence of the elementary particle state functionals on the geometric properties of the boundary component and in the sequel an attempt to construct what might be called elementary particle vacuum functionals, is made.

The basic assumptions underlying the construction are the following ones:

1. Elementary particle vacuum functionals depend on the geometric properties of the two-surface  $X^2$  representing elementary particle.
2. Vacuum functionals possess extended Diff invariance: all 2-surfaces on the orbit of the 2-surface  $X^2$  correspond to the same value of the vacuum functional. This condition is satisfied if vacuum functionals have as their argument, not  $X^2$  as such, but some 2-surface  $Y^2$  belonging to the unique orbit of  $X^2$  (determined by the principle selecting preferred extremal of the Kähler action as a generalized Bohr orbit [17]) and determined in  $Dif f^3$  invariant manner.
3. Zero energy ontology allows to select uniquely the partonic two surface as the intersection of the wormhole throat at which the signature of the induced 4-metric changes with either the upper or lower boundary of  $CD \times CP_2$ . This is essential since otherwise one one could not specify the vacuum functional uniquely.
4. Vacuum functionals possess conformal invariance and therefore for a given genus depend on a finite number of variables specifying the conformal equivalence class of  $Y^2$ .
5. Vacuum functionals satisfy the cluster decomposition property: when the surface  $Y^2$  degenerates to a union of two disjoint surfaces (particle decay in string model inspired picture), vacuum functional decomposes into a product of the vacuum functionals associated with disjoint surfaces.
6. Elementary particle vacuum functionals are stable against the decay  $g \rightarrow g_1 + g_2$  and one particle decay  $g \rightarrow g - 1$ . This process corresponds to genuine particle decay only for stringy diagrams. For generalized Feynman diagrams the interpretation is in terms of propagation along two different paths simultaneously.

In [31] the construction of elementary particle vacuum functionals is described in more detail. This requires some basic concepts related to the description of the space of the conformal equivalence classes of Riemann surfaces and the concept of hyper-ellipticity. Since theta functions will play a central role in the construction of the vacuum functionals, also their basic properties are needed. Also possible explanations for the experimental absence of the higher fermion families are considered.

### 16.2.3 Basic facts about Riemann surfaces

In the following some basic aspects about Riemann surfaces will be summarized. The basic topological concepts, in particular the concept of the mapping class group, are introduced, and the Teichmueller parameters are defined as conformal invariants of the Riemann surface, which in fact specify the conformal equivalence class of the Riemann surface completely.

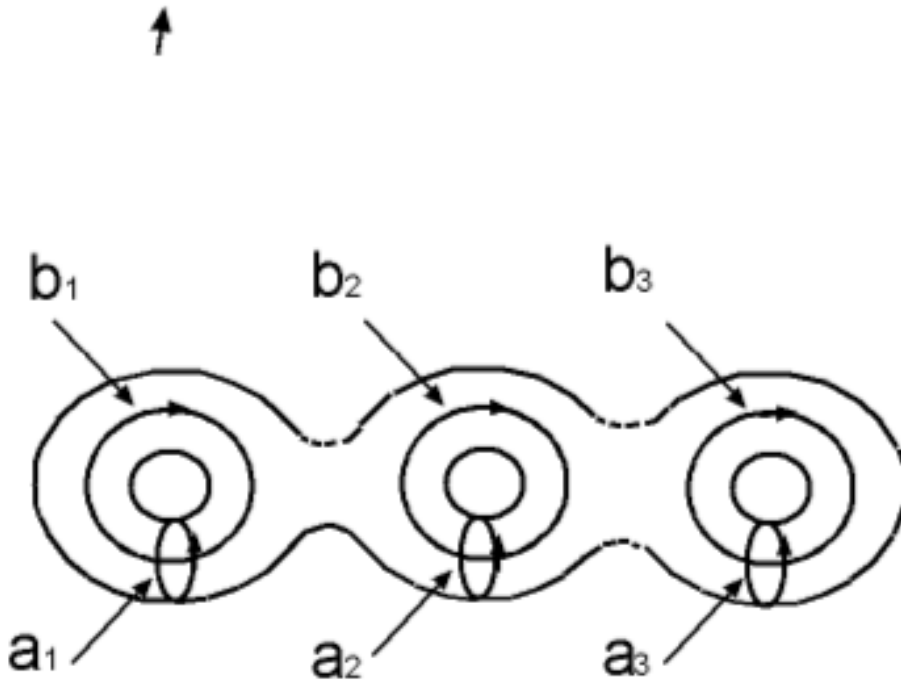


Figure 16.1: Definition of the canonical homology basis

### Mapping class group

The first homology group  $H_1(X^2)$  of a Riemann surface of genus  $g$  contains  $2g$  generators [37, 38, 39]: this is easy to understand geometrically since each handle contributes two homology generators. The so called canonical homology basis can be identified as in Fig. 16.2.3.

One can define the so called intersection number  $J(a, b)$  for two elements  $a$  and  $b$  of the homology group as the number of intersection points for the curves  $a$  and  $b$  counting the orientation. Since  $J(a, b)$  depends on the homology classes of  $a$  and  $b$  only, it defines an antisymmetric quadratic form in  $H_1(X^2)$ . In the canonical homology basis the non-vanishing elements of the intersection matrix are:

$$J(a_i, b_j) = -J(b_j, a_i) = \delta_{i,j} . \quad (16.2.1)$$

$J$  clearly defines symplectic structure in the homology group.

The dual to the canonical homology basis consists of the harmonic one-forms  $\alpha_i, \beta_i, i = 1, \dots, g$  on  $X^2$ . These 1-forms satisfy the defining conditions

$$\begin{aligned} \int_{a_i} \alpha_j &= \delta_{i,j} & \int_{b_i} \alpha_j &= 0 \\ \int_{a_i} \beta_j &= 0 & \int_{b_i} \beta_j &= \delta_{i,j} . \end{aligned} \quad (16.2.2)$$

The following identity helps to understand the basic properties of the Teichmueller parameters

$$\int_{X^2} \theta \wedge \eta = \sum_{i=1, \dots, g} \left[ \int_{a_i} \theta \int_{b_i} \eta - \int_{b_i} \theta \int_{a_i} \eta \right] . \quad (16.2.3)$$

The existence of topologically nontrivial diffeomorphisms, when  $X^2$  has genus  $g > 0$ , plays an important role in the sequel. Denoting by  $Diff$  the group of the diffeomorphisms of  $X^2$  and by  $Diff_0$  the normal subgroup of the diffeomorphisms homotopic to identity, one can define the mapping class group  $M$  as the coset group

$$M = Diff/Diff_0 . \tag{16.2.4}$$

The generators of  $M$  are so called Dehn twists along closed curves  $a$  of  $X^2$ . Dehn twist is defined by excising a small tubular neighborhood of  $a$ , twisting one boundary of the resulting tube by  $2\pi$  and gluing the tube back into the surface: see Fig. 16.2.3.

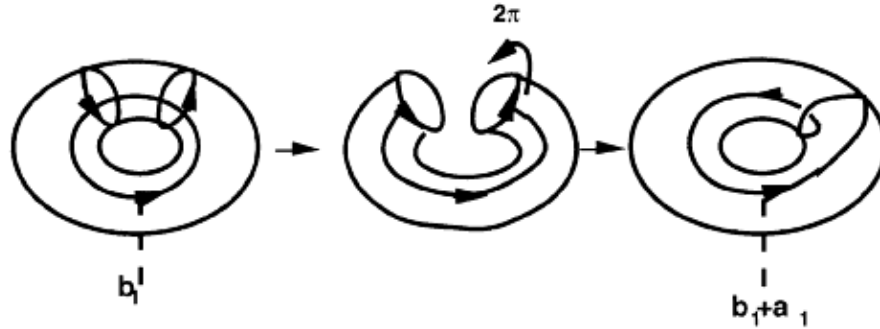


Figure 16.2: Definition of the Dehn twist

It can be shown that a minimal set of generators is defined by the following curves

$$a_1, b_1, a_1^{-1} a_2^{-1}, a_2, b_2, a_2^{-1} a_3^{-1}, \dots, a_g, b_g . \tag{16.2.5}$$

The action of these transformations in the homology group can be regarded as a symplectic linear transformation preserving the symplectic form defined by the intersection matrix. Therefore the matrix representing the action of  $Diff$  on  $H_1(X^2)$  is  $2g \times 2g$  matrix  $M$  with integer entries leaving  $J$  invariant:  $MJM^T = J$ . Mapping class group is often referred also as a symplectic modular group and denoted by  $Sp(2g, Z)$ . The matrix representing the action of  $M$  in the canonical homology basis decomposes into four  $g \times g$  blocks  $A, B, C$  and  $D$

$$M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} , \tag{16.2.6}$$

where  $A$  and  $D$  operate in the subspaces spanned by the homology generators  $a_i$  and  $b_i$  respectively and  $C$  and  $D$  map these spaces to each other. The notation  $D = [A, B; C, D]$  will be used in the sequel: in this notation the representation of the symplectic form  $J$  is  $J = [0, 1; -1, 0]$ .

**Teichmueller parameters**

The induced metric on the two-surface  $X^2$  defines a unique complex structure. Locally the metric can always be written in the form

$$ds^2 = e^{2\phi} dz d\bar{z} . \tag{16.2.7}$$

where  $z$  is local complex coordinate. When one covers  $X^2$  by coordinate patches, where the line element has the above described form, the transition functions between coordinate patches are holomorphic and therefore define a complex structure.

The conformal transformations  $\xi$  of  $X^2$  are defined as the transformations leaving invariant the angles between the vectors of  $X^2$  tangent space invariant: the angle between the vectors  $X$  and  $Y$  at point  $x$  is same as the angle between the images of the vectors under Jacobian map at the image point

$\xi(x)$ . These transformations need not be globally defined and in each coordinate patch they correspond to holomorphic (anti-holomorphic) mappings as is clear from the diagonal form of the metric in the local complex coordinates. A distinction should be made between local conformal transformations and globally defined conformal transformations, which will be referred to as conformal symmetries: for instance, for hyper-elliptic surfaces the group of the conformal symmetries contains two-element group  $Z_2$ .

Using the complex structure one can decompose one-forms to linear combinations of one-forms of type  $(1,0)$  ( $f(z, \bar{z})dz$ ) and  $(0,1)$  ( $f(z, \bar{z})d\bar{z}$ ).  $(1,0)$  form  $\omega$  is holomorphic if the function  $f$  is holomorphic:  $\omega = f(z)dz$  on each coordinate patch.

There are  $g$  independent holomorphic one forms  $\omega_i$  known also as Abelian differentials of the first kind [37, 38, 39] and one can fix their normalization by the condition

$$\int_{a_i} \omega_j = \delta_{ij} . \quad (16.2.8)$$

This condition completely specifies  $\omega_i$ .

Teichmueller parameters  $\Omega_{ij}$  are defined as the values of the forms  $\omega_i$  for the homology generators  $b_j$

$$\Omega_{ij} = \int_{b_j} \omega_i . \quad (16.2.9)$$

The basic properties of Teichmueller parameters are the following:

- i) The  $g \times g$  matrix  $\Omega$  is symmetric: this is seen by applying the formula (16.2.3) for  $\theta = \omega_i$  and  $\eta = \omega_j$ .
- ii) The imaginary part of  $\Omega$  is positive:  $Im(\Omega) > 0$ . This is seen by the application of the same formula for  $\theta = \eta$ . The space of the matrices satisfying these conditions is known as Siegel upper half plane.
- iii) The space of Teichmueller parameters can be regarded as a coset space  $Sp(2g, R)/U(g)$  [38]: the action of  $Sp(2g, R)$  is of the same form as the action of  $Sp(2g, Z)$  and  $U(g) \subset Sp(2g, R)$  is the isotropy group of a given point of Teichmueller space.
- iv) Teichmueller parameters are conformal invariants as is clear from the holomorphy of the defining one-forms.
- v) Teichmueller parameters specify completely the conformal structure of Riemann surface [39].

Although Teichmueller parameters fix the conformal structure of the 2-surface completely, they are not in one-to-one correspondence with the conformal equivalence classes of the two-surfaces:

- i) The dimension for the space of the conformal equivalence classes is  $D = 3g - 3$ , when  $g > 1$  and smaller than the dimension of Teichmueller space given by  $d = (g \times g + g)/2$  for  $g > 3$ : all Teichmueller matrices do not correspond to a Riemann surface. In TGD approach this does not produce any problems as will be found later.
- ii) The action of the topologically nontrivial diffeomorphisms on Teichmueller parameters is nontrivial and can be deduced from the action of the diffeomorphisms on the homology ( $Sp(2g, Z)$  transformation) and from the defining condition  $\int_{a_i} \omega_j = \delta_{i,j}$ : diffeomorphisms correspond to elements  $[A, B; C, D]$  of  $Sp(2g, Z)$  and act as generalized Möbius transformations

$$\Omega \rightarrow (A\Omega + B)(C\Omega + D)^{-1} . \quad (16.2.10)$$

All Teichmueller parameters related by  $Sp(2g, Z)$  transformations correspond to the same Riemann surface.

- iii) The definition of the Teichmueller parameters is not unique since the definition of the canonical homology basis involves an arbitrary numbering of the homology basis. The permutation  $S$  of the handles is represented by same  $g \times g$  orthogonal matrix both in the basis  $\{a_i\}$  and  $\{b_i\}$  and induces a similarity transformation in the space of the Teichmueller parameters

$$\Omega \rightarrow S\Omega S^{-1} . \quad (16.2.11)$$

Clearly, the Teichmüller matrices related by a similarity transformations correspond to the same conformal equivalence class. It is easy to show that handle permutations in fact correspond to  $Sp(2g, Z)$  transformations.

### Hyper-ellipticity

The motivation for considering hyper-elliptic surfaces comes from the fact, that  $g > 2$  elementary particle vacuum functionals turn out to be vanishing for hyper-elliptic surfaces and this in turn will be later used to provide a possible explanation the non-observability of  $g > 2$  particles.

Hyper-elliptic surface  $X$  can be defined abstractly as two-fold branched cover of the sphere having the group  $Z_2$  as the group of conformal symmetries (see [38, 40, 39]). Thus there exists a map  $\pi : X \rightarrow S^2$  so that the inverse image  $\pi^{-1}(z)$  for a given point  $z$  of  $S^2$  contains two points except at a finite number (say  $p$ ) of points  $z_i$  (branch points) for which the inverse image contains only one point.  $Z_2$  acts as conformal symmetries permuting the two points in  $\pi^{-1}(z)$  and branch points are fixed points of the involution.

The concept can be generalized [40]:  $g$ -hyper-elliptic surface can be defined as a 2-fold covering of genus  $g$  surface with a finite number of branch points. One can consider also  $p$ -fold coverings instead of 2-fold coverings: a common feature of these Riemann surfaces is the existence of a discrete group of conformal symmetries.

A concrete representation for the hyper-elliptic surfaces [38] is obtained by studying the surface of  $C^2$  determined by the algebraic equation

$$w^2 - P_n(z) = 0, \quad (16.2.12)$$

where  $w$  and  $z$  are complex variables and  $P_n(z)$  is a complex polynomial. One can solve  $w$  from the above equation

$$w_{\pm} = \pm \sqrt{P_n(z)}, \quad (16.2.13)$$

where the square root is determined so that it has a cut along the positive real axis. What happens that  $w$  has in general two roots (two-fold covering property), which coincide at the roots  $z_i$  of  $P_n(z)$  and if  $n$  is odd, also at  $z = \infty$ : these points correspond to branch points of the hyper-elliptic surface and their number  $r$  is always even:  $r = 2k$ .  $w$  is discontinuous at the cuts associated with the square root in general joining two roots of  $P_n(z)$  or if  $n$  is odd, also some root of  $P_n$  and the point  $z = \infty$ . The representation of the hyper-elliptic surface is obtained by identifying the two branches of  $w$  along the cuts. From the construction it is clear that the surface obtained in this manner has genus  $k - 1$ . Also it is clear that  $Z_2$  permutes the different roots  $w_{\pm}$  with each other and that  $r = 2k$  branch points correspond to fixed points of the involution.

The following facts about the hyper-elliptic surfaces [38, 39] turn out to be important in the sequel:

- i) All  $g < 3$  surfaces are hyper-elliptic.
- ii)  $g \geq 3$  hyper-elliptic surfaces are not in general hyper-elliptic and form a set of codimension 2 in the space of the conformal equivalence classes [38].

### Theta functions

An extensive and detailed account of the theta functions and their applications can be found in the book of Mumford [38]. Theta functions appear also in the loop calculations of string model [37]. In the following the so called Riemann theta function and theta functions with half integer characteristics will be defined as sections (not strictly speaking functions) of the so called Jacobian variety.

For a given Teichmüller matrix  $\Omega$ , Jacobian variety is defined as the  $2g$ -dimensional torus obtained by identifying the points  $z$  of  $C^g$  (vectors with  $g$  complex components) under the equivalence

$$z \sim z + \Omega m + n, \quad (16.2.14)$$

where  $m$  and  $n$  are points of  $Z^g$  (vectors with  $g$  integer valued components) and  $\Omega$  acts in  $Z^g$  by matrix multiplication.

The definition of Riemann theta function reads as

$$\Theta(z|\Omega) = \sum_n \exp(i\pi n \cdot \Omega \cdot n + i2\pi n \cdot z) . \quad (16.2.15)$$

Here  $\cdot$  denotes standard inner product in  $C^g$ . Theta functions with half integer characteristics are defined in the following manner. Let  $a$  and  $b$  denote vectors of  $C^g$  with half integer components (component either vanishes or equals to  $1/2$ ). Theta function with characteristics  $[a, b]$  is defined through the following formula

$$\Theta[a, b](z|\Omega) = \sum_n \exp[i\pi(n+a) \cdot \Omega \cdot (n+a) + i2\pi(n+a) \cdot (z+b)] . \quad (16.2.16)$$

A brief calculation shows that the following identity is satisfied

$$\Theta[a, b](z|\Omega) = \exp(i\pi a \cdot \Omega \cdot a + i2\pi a \cdot b) \times \Theta(z + \Omega a + b|\Omega) \quad (16.2.17)$$

Theta functions are not strictly speaking functions in the Jacobian variety but rather sections in an appropriate bundle as can be seen from the identities

$$\begin{aligned} \Theta[a, b](z+m|\Omega) &= \exp(i2\pi a \cdot m) \Theta[a, b](z|\Omega) , \\ \Theta[a, b](z+\Omega m|\Omega) &= \exp(\alpha) \Theta[a, b](z|\Omega) , \\ \exp(\alpha) &= \exp(-i2\pi b \cdot m) \exp(-i\pi m \cdot \Omega \cdot m - 2\pi m \cdot z) . \end{aligned} \quad (16.2.18)$$

The number of theta functions is  $2^{2g}$  and same as the number of nonequivalent spinor structures defined on two-surfaces. This is not an accident [37]: theta functions with given characteristics turn out to be in a close relation to the functional determinants associated with the Dirac operators defined on the two-surface. It is useful to divide the theta functions to even and odd theta functions according to whether the inner product  $4a \cdot b$  is even or odd integer. The numbers of even and odd theta functions are  $2^{g-1}(2^g+1)$  and  $2^{g-1}(2^g-1)$  respectively.

The values of the theta functions at the origin of the Jacobian variety understood as functions of Teichmueller parameters turn out to be of special interest in the following and the following notation will be used:

$$\Theta[a, b](\Omega) \equiv \Theta[a, b](0|\Omega) , \quad (16.2.19)$$

$\Theta[a, b](\Omega)$  will be referred to as theta functions in the sequel. From the defining properties of odd theta functions it can be found that they are odd functions of  $z$  and therefore vanish at the origin of the Jacobian variety so that only even theta functions will be of interest in the sequel.

An important result is that also some *even* theta functions vanish for  $g > 2$  hyper-elliptic surfaces : in fact one can characterize  $g > 2$  hyper-elliptic surfaces by the vanishing properties of the theta functions [38, 39]. The vanishing property derives from conformal symmetry ( $Z_2$  in the case of hyper-elliptic surfaces) and the vanishing phenomenon is rather general [40]: theta functions tend to vanish for Riemann surfaces possessing discrete conformal symmetries. It is not clear (to the author) whether the presence of a conformal symmetry is in fact equivalent with the vanishing of some theta functions. As already noticed, spinor structures and the theta functions with half integer characteristics are in one-to-one correspondence and the vanishing of theta function with given half integer characteristics is equivalent with the vanishing of the Dirac determinant associated with the corresponding spinor structure or equivalently: with the existence of a zero mode for the Dirac operator [37]. For odd characteristics zero mode exists always: for even characteristics zero modes exist, when the surface is hyper-elliptic or possesses more general conformal symmetries.

### 16.2.4 Elementary particle vacuum functionals

The basic assumption is that elementary particle families correspond to various elementary particle vacuum functionals associated with the 2-dimensional boundary components of the 3-surface. These functionals need not be localized to a single boundary topology. Neither need their dependence on the boundary component be local. An important role in the following considerations is played by the fact that the minimization requirement of the Kähler action associates a unique 3-surface to each boundary component, the "Bohr orbit" of the boundary and this surface provides a considerable (and necessarily needed) flexibility in the definition of the elementary particle vacuum functionals. There are several natural constraints to be satisfied by elementary particle vacuum functionals.

#### Extended Diff invariance and Lorentz invariance

Extended Diff invariance is completely analogous to the extension of 3-dimensional Diff invariance to four-dimensional Diff invariance in the interior of the 3-surface. Vacuum functional must be invariant not only under diffeomorphisms of the boundary component but also under the diffeomorphisms of the 3-dimensional "orbit"  $Y^3$  of the boundary component. In other words: the value of the vacuum functional must be same for any time slice on the orbit the boundary component. This is guaranteed if vacuum functional is functional of some two-surface  $Y^2$  belonging to the orbit and defined in  $Diff^3$  invariant manner.

An additional natural requirement is Poincare invariance. In the original formulation of the theory only Lorentz transformations of the light cone were exact symmetries of the theory. In this framework the definition of  $Y^2$  as the intersection of the orbit with the hyperboloid  $\sqrt{m_{kl}m^k m^l} = a$  is  $Diff^3$  and Lorentz invariant.

##### 1. Interaction vertices as generalization of stringy vertices

For stringy diagrams Poincare invariance of conformal equivalence class and general coordinate invariance are far from being a trivial issues. Vertices are now not completely unique since there is an infinite number of singular 3-manifolds which can be identified as vertices even if one assumes space-likeness. One should be able to select a unique singular 3-manifold to fix the conformal equivalence class.

One might hope that Lorentz invariant invariant and general coordinate invariant definition of  $Y^2$  results by introducing light cone proper time  $a$  as a height function specifying uniquely the point at which 3-surface is singular (stringy diagrams help to visualize what is involved), and by restricting the singular 3-surface to be the intersection of  $a = \text{constant}$  hyperboloid of  $M^4$  containing the singular point with the space-time surface. There would be non-uniqueness of the conformal equivalence class due to the choice of the origin of the light cone but the decomposition of the configuration space of 3-surfaces to a union of configuration spaces characterized by unions of future and past light cones could resolve this difficulty.

##### 2. Interaction vertices as generalization of ordinary ones

If the interaction vertices are identified as intersections for the ends of space-time sheets representing particles, the conformal equivalence class is naturally identified as the one associated with the intersection of the boundary component or light like causal determinant with the vertex. Poincare invariance of the conformal equivalence class and generalized general coordinate invariance follow trivially in this case.

#### Conformal invariance

Conformal invariance implies that vacuum functionals depend on the conformal equivalence class of the surface  $Y^2$  only. What makes this idea so attractive is that for a given genus  $g$  configuration space becomes effectively finite-dimensional. A second nice feature is that instead of trying to find coordinates for the space of the conformal equivalence classes one can construct vacuum functionals as functions of the Teichmueller parameters.

That one can construct this kind of functions as suitable functions of the Teichmueller parameters is not trivial. The essential point is that the boundary components can be regarded as submanifolds of  $M_+^4 \times CP_2$ : as a consequence vacuum functional can be regarded as a composite function:

2-surface  $\rightarrow$  Teichmueller matrix  $\Omega$  determined by the induced metric  $\rightarrow \Omega_{vac}(\Omega)$

Therefore the fact that there are Teichmueller parameters which do not correspond to any Riemann surface, doesn't produce any trouble. It should be noticed that the situation differs from that in the Polyakov formulation of string models, where one doesn't assume that the metric of the two-surface is induced metric (although classical equations of motion imply this).

### Diff invariance

Since several values of the Teichmueller parameters correspond to the same conformal equivalence class, one must pose additional conditions on the functions of the Teichmueller parameters in order to obtain single valued functions of the conformal equivalence class.

The first requirement of this kind is the invariance under topologically nontrivial Diff transformations inducing  $Sp(2g, Z)$  transformation  $(A, B; C, D)$  in the homology basis. The action of these transformations on Teichmueller parameters is deduced by requiring that holomorphic one-forms satisfy the defining conditions in the transformed homology basis. It turns out that the action of the topologically nontrivial diffeomorphism on Teichmueller parameters can be regarded as a generalized Möbius transformation:

$$\Omega \rightarrow (A\Omega + B)(C\Omega + D)^{-1} . \quad (16.2.20)$$

Vacuum functional must be invariant under these transformations. It should be noticed that the situation differs from that encountered in the string models. In TGD the integration measure over the configuration space is Diff invariant: in string models the integration measure is the integration measure of the Teichmueller space and this is not invariant under  $Sp(2g, Z)$  but transforms like a density: as a consequence the counterpart of the vacuum functional must be also modular covariant since it is the product of vacuum functional and integration measure, which must be modular invariant.

It is possible to show that the quantities

$$(\Theta[a, b]/\Theta[c, d])^4 . \quad (16.2.21)$$

and their complex conjugates are  $Sp(2g, Z)$  invariants [38] and therefore can be regarded as basic building blocks of the vacuum functionals.

Teichmueller parameters are not uniquely determined since one can always perform a permutation of the  $g$  handles of the Riemann surface inducing a redefinition of the canonical homology basis (permutation of  $g$  generators). These transformations act as similarities of the Teichmueller matrix:

$$\Omega \rightarrow S\Omega S^{-1} , \quad (16.2.22)$$

where  $S$  is the  $g \times g$  matrix representing the permutation of the homology generators understood as orthonormal vectors in the  $g$ -dimensional vector space. Therefore the Teichmueller parameters related by these similarity transformations correspond to the same conformal equivalence class of the Riemann surfaces and vacuum functionals must be invariant under these similarities.

It is easy to find out that these similarities permute the components of the theta characteristics:  $[a, b] \rightarrow [S(a), S(b)]$ . Therefore the invariance requirement states that the handles of the Riemann surface behave like bosons: the vacuum functional constructed from the theta functions is invariant under the permutations of the theta characteristics. In fact, this requirement brings in nothing new. Handle permutations can be regarded as  $Sp(2g, Z)$  transformations so that the modular invariance alone guarantees invariance under handle permutations.

### Cluster decomposition property

Consider next the behavior of the vacuum functional in the limit, when boundary component with genus  $g$  splits to two separate boundary components of genera  $g_1$  and  $g_2$  respectively. The splitting into two separate boundary components corresponds to the reduction of the Teichmueller matrix  $\Omega^g$  to a direct sum of  $g_1 \times g_1$  and  $g_2 \times g_2$  matrices ( $g_1 + g_2 = g$ ):



$$\Omega^g = \Omega^{g_1} \oplus \Omega^{g_2} \quad , \quad (16.2.23)$$

when a suitable definition of the Teichmueller parameters is adopted. The splitting can also take place without a reduction to a direct sum: the Teichmueller parameters obtained via  $Sp(2g, Z)$  transformation from  $\Omega^g = \Omega^{g_1} \oplus \Omega^{g_2}$  do not possess direct sum property in general.

The physical interpretation is obvious: the non-diagonal elements of the Teichmueller matrix describe the geometric interaction between handles and at this limit the interaction between the handles belonging to the separate surfaces vanishes. On the physical grounds it is natural to require that vacuum functionals satisfy cluster decomposition property at this limit: that is they reduce to the product of appropriate vacuum functionals associated with the composite surfaces.

Theta functions satisfy cluster decomposition property [38, 37]. Theta characteristics reduce to the direct sums of the theta characteristics associated with  $g_1$  and  $g_2$  ( $a = a_1 \oplus a_2$ ,  $b = b_1 \oplus b_2$ ) and the dependence on the Teichmueller parameters is essentially exponential so that the cluster decomposition property indeed results:

$$\Theta[a, b](\Omega^g) = \Theta[a_1, b_1](\Omega^{g_1})\Theta[a_2, b_2](\Omega^{g_2}) \quad . \quad (16.2.24)$$

Cluster decomposition property holds also true for the products of theta functions. This property is also satisfied by suitable homogenous polynomials of thetas. In particular, the following quantity playing central role in the construction of the vacuum functional obeys this property

$$Q_0 = \sum_{[a,b]} \Theta[a, b]^4 \bar{\Theta}[a, b]^4 \quad , \quad (16.2.25)$$

where the summation is over all even theta characteristics (recall that odd theta functions vanish at the origin of  $C^g$ ).

Together with the  $Sp(2g, Z)$  invariance the requirement of cluster decomposition property implies that the vacuum functional must be representable in the form

$$\Omega_{vac} = P_{M,N}(\Theta^4, \bar{\Theta}^4) / Q_{MN}(\Theta^4, \bar{\Theta}^4) \quad (16.2.26)$$

where the homogenous polynomials  $P_{M,N}$  and  $Q_{M,N}$  have same degrees ( $M$  and  $N$  as polynomials of  $\Theta[a, b]^4$  and  $\bar{\Theta}[a, b]^4$ ).

### Finiteness requirement

Vacuum functional should be finite. Finiteness requirement is satisfied provided the numerator  $Q_{M,N}$  of the vacuum functional is real and positive definite. The simplest quantity of this type is the quantity  $Q_0$  defined previously and its various powers.  $Sp(2g, Z)$  invariance and finiteness requirement are satisfied provided vacuum functionals are of the following general form

$$\Omega_{vac} = \frac{P_{N,N}(\Theta^4, \bar{\Theta}^4)}{Q_0^N} \quad , \quad (16.2.27)$$

where  $P_{N,N}$  is homogenous polynomial of degree  $N$  with respect to  $\Theta[a, b]^4$  and  $\bar{\Theta}[a, b]^4$ . In addition  $P_{N,N}$  is invariant under the permutations of the theta characteristics and satisfies cluster decomposition property.

### Stability against the decay $g \rightarrow g_1 + g_2$

Elementary particle vacuum functionals must be stable against the genus conserving decays  $g \rightarrow g_1 + g_2$ . This decay corresponds to the limit at which Teichmueller matrix reduces to a direct sum of the matrices associated with  $g_1$  and  $g_2$  (note however the presence of  $Sp(2g, Z)$  degeneracy). In accordance with the topological description of the particle reactions one expects that this decay doesn't occur if the vacuum functional in question vanishes at this limit.

In general the theta functions are non-vanishing at this limit and vanish provided the theta characteristics reduce to a direct sum of the odd theta characteristics. For  $g < 2$  surfaces this condition is trivial and gives no constraints on the form of the vacuum functional. For  $g = 2$  surfaces the theta function  $\Theta(a, b)$ , with  $a = b = (1/2, 1/2)$  satisfies the stability criterion identically (odd theta functions vanish identically), when Teichmueller parameters separate into a direct sum. One can however perform  $Sp(2g, Z)$  transformations giving new points of Teichmueller space describing the decay. Since these transformations transform theta characteristics in a nontrivial manner to each other and since all even theta characteristics belong to same  $Sp(2g, Z)$  orbit [38, 37], the conclusion is that stability condition is satisfied provided  $g = 2$  vacuum functional is proportional to the product of fourth powers of all even theta functions multiplied by its complex conjugate.

If  $g > 2$  there always exists some theta functions, which vanish at this limit and the minimal vacuum functional satisfying this stability condition is of the same form as in  $g = 2$  case, that is proportional to the product of the fourth powers of all even Theta functions multiplied by its complex conjugate:

$$\Omega_{vac} = \prod_{[a,b]} \Theta[a, b]^4 \bar{\Theta}[a, b]^4 / Q_0^N, \quad (16.2.28)$$

where  $N$  is the number of even theta functions. The results obtained imply that genus-generation correspondence is one to one for  $g > 1$  for the minimal vacuum functionals. Of course, the multiplication of the minimal vacuum functionals with functionals satisfying all criteria except stability criterion gives new elementary particle vacuum functionals: a possible physical identification of these vacuum functionals is most naturally as some kind of excited states.

One of the questions posed in the beginning was related to the experimental absence of  $g > 0$ , possibly massless, elementary bosons. The proposed stability criterion suggests a nice explanation. The point is that elementary particles are stable against decays  $g \rightarrow g_1 + g_2$  but not with respect to the decay  $g \rightarrow g + sphere$ . As a consequence the direct emission of  $g > 0$  gauge bosons is impossible unlike the emission of  $g = 0$  bosons: for instance the decay muon  $\rightarrow$  electron  $+(g = 1)$  photon is forbidden.

### Stability against the decay $g \rightarrow g - 1$

This stability criterion states that the vacuum functional is stable against single particle decay  $g \rightarrow g - 1$  and, if satisfied, implies that vacuum functional vanishes, when the genus of the surface is smaller than  $g$ . In stringy framework this criterion is equivalent to a separate conservation of various lepton numbers: for instance, the spontaneous transformation of muon to electron is forbidden. Notice that this condition doesn't imply that the vacuum functional is localized to a single genus: rather the vacuum functional of genus  $g$  vanishes for all surfaces with genus smaller than  $g$ . This hierarchical structure should have a close relationship to Cabibbo-Kobayashi-Maskawa mixing of the quarks.

The stability criterion implies that the vacuum functional must vanish at the limit, when one of the handles of the Riemann surface suffers a pinch. To deduce the behavior of the theta functions at this limit, one must find the behavior of Teichmueller parameters, when  $i$ :th handle suffers a pinch. Pinch implies that a suitable representative of the homology generator  $a_i$  or  $b_i$  contracts to a point.

Consider first the case, when  $a_i$  contracts to a point. The normalization of the holomorphic one-form  $\omega_i$  must be preserved so that  $\omega_i$  must behave as  $1/z$ , where  $z$  is the complex coordinate vanishing at pinch. Since the homology generator  $b_i$  goes through the pinch it seems obvious that the imaginary part of the Teichmueller parameter  $\Omega_{ii} = \int_{b_i} \omega_i$  diverges at this limit (this conclusion is made also in [38]):  $Im(\Omega_{ii}) \rightarrow \infty$ .

Of course, this criterion doesn't cover all possible manners the pinch can occur: pinch might take place also, when the components of the Teichmueller matrix remain finite. In the case of torus topology

one finds that  $Sp(2g, Z)$  element  $(A, B; C, D)$  takes  $Im(\Omega) = \infty$  to the point  $C/D$  of real axis. This suggests that pinch occurs always at the boundary of the Teichmueller space: the imaginary part of  $\Omega_{ij}$  either vanishes or some matrix element of  $Im(\Omega)$  diverges.

Consider next the situation, when  $b_i$  contracts to a point. From the definition of the Teichmueller parameters it is clear that the matrix elements  $\Omega_{kl}$ , with  $k, l \neq i$  suffer no change. The matrix element  $\Omega_{ki}$  obviously vanishes at this limit. The conclusion is that  $i$ :th row of Teichmueller matrix vanishes at this limit. This result is obtained also by deriving the  $Sp(2g, Z)$  transformation permuting  $a_i$  and  $b_i$  with each other: in case of torus this transformation reads  $\Omega \rightarrow -1/\Omega$ .

Consider now the behavior of the theta functions, when pinch occurs. Consider first the limit, when  $Im(\Omega_{ii})$  diverges. Using the general definition of  $\Theta[a, b]$  it is easy to find out that all theta functions for which the  $i$ :th component  $a_i$  of the theta characteristic is non-vanishing (that is  $a_i = 1/2$ ) are proportional to the exponent  $exp(-\pi\Omega_{ii}/4)$  and therefore vanish at the limit. The theta functions with  $a_i = 0$  reduce to  $g - 1$  dimensional theta functions with theta characteristic obtained by dropping  $i$ :th components of  $a_i$  and  $b_i$  and replacing Teichmueller matrix with Teichmueller matrix obtained by dropping  $i$ :th row and column. The conclusion is that all theta functions of type  $\Theta(a, b)$  with  $a = (1/2, 1/2, \dots, 1/2)$  satisfy the stability criterion in this case.

What happens for the  $Sp(2g, Z)$  transformed points on the real axis? The transformation formula for theta function is given by [38, 37]

$$\Theta[a, b]((A\Omega + B)(C\Omega + D)^{-1}) = exp(i\phi)det(C\Omega + D)^{1/2}\Theta[c, d](\Omega) , \tag{16.2.29}$$

where

$$\begin{pmatrix} c \\ d \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \left( \begin{pmatrix} a \\ b \end{pmatrix} - \begin{pmatrix} (CD^T)_d/2 \\ (AB^T)_d/2 \end{pmatrix} \right) . \tag{16.2.30}$$

Here  $\phi$  is a phase factor irrelevant for the recent purposes and the index  $d$  refers to the diagonal part of the matrix in question.

The first thing to notice is the appearance of the diverging square root factor, which however disappears from the vacuum functionals ( $P$  and  $Q$  have same degree with respect to thetas). The essential point is that theta characteristics transform to each other: as already noticed all even theta characteristics belong to the same  $Sp(2g, Z)$  orbit. Therefore the theta functions vanishing at  $Im(\Omega_{ii}) = \infty$  do not vanish at the transformed points. It is however clear that for a given Teichmueller parametrization of pinch some theta functions vanish always.

Similar considerations in the case  $\Omega_{ik} = 0$ ,  $i$  fixed, show that all theta functions with  $b = (1/2, \dots, 1/2)$  vanish identically at the pinch. Also it is clear that for  $Sp(2g, Z)$  transformed points one can always find some vanishing theta functions. The overall conclusion is that the elementary particle vacuum functionals obtained by using  $g \rightarrow g_1 + g_2$  stability criterion satisfy also  $g \rightarrow g - 1$  stability criterion since they are proportional to the product of all even theta functions. Therefore the only nontrivial consequence of  $g \rightarrow g - 1$  criterion is that also  $g = 1$  vacuum functionals are of the same general form as  $g > 1$  vacuum functionals.

A second manner to deduce the same result is by restricting the consideration to the hyper-elliptic surfaces and using the representation of the theta functions in terms of the roots of the polynomial appearing in the definition of the hyper-elliptic surface [38]. When the genus of the surface is smaller than three (the interesting case), this representation is all what is needed since all surfaces of genus  $g < 3$  are hyper-elliptic.

Since hyper-elliptic surfaces can be regarded as surfaces obtained by gluing two compactified complex planes along the cuts connecting various roots of the defining polynomial it is obvious that the process  $g \rightarrow g - 1$  corresponds to the limit, when two roots of the defining polynomial coincide. This limit corresponds either to disappearance of a cut or the fusion of two cuts to a single cut. Theta functions are expressible as the products of differences of various roots (Thomae's formula [38])

$$\Theta[a, b]^4 \propto \prod_{i < j \in T} (z_i - z_j) \prod_{k < l \in CT} (z_k - z_l) , \tag{16.2.31}$$

where  $T$  denotes some subset of  $\{1, 2, \dots, 2g\}$  containing  $g+1$  elements and  $CT$  its complement. Hence the product of all even theta functions vanishes, when two roots coincide. Furthermore, stability criterion is satisfied only by the product of the theta functions.

Lowest dimensional vacuum functionals are worth of more detailed consideration.

- i)  $g = 0$  particle family corresponds to a constant vacuum functional: by continuity this vacuum functional is constant for all topologies.
- ii) For  $g = 1$  the degree of  $P$  and  $Q$  as polynomials of the theta functions is 24: the critical number of transversal degrees of freedom in bosonic string model! Probably this result is not an accident.
- ii) For  $g = 2$  the corresponding degree is 80 since there are 10 even genus 2 theta functions.

There are large numbers of vacuum functionals satisfying the relevant criteria, which do not satisfy the proposed stability criteria. These vacuum functionals correspond either to many particle states or to unstable single particle states.

### Continuation of the vacuum functionals to higher genus topologies

From continuity it follows that vacuum functionals cannot be localized to single boundary topology. Besides continuity and the requirements listed above, a natural requirement is that the continuation of the vacuum functional from the sector  $g$  to the sector  $g+k$  reduces to the product of the original vacuum functional associated with genus  $g$  and  $g=0$  vacuum functional at the limit when the surface with genus  $g+k$  decays to surfaces with genus  $g$  and  $k$ : this requirement should guarantee the conservation of separate lepton numbers although different boundary topologies suffer mixing in the vacuum functional. These requirements are satisfied provided the continuation is constructed using the following rule:

Perform the replacement

$$\Theta[a, b]^4 \rightarrow \sum_{c, d} \Theta[a \oplus c, b \oplus d]^4 \quad (16.2.32)$$

for each fourth power of the theta function. Here  $c$  and  $d$  are Theta characteristics associated with a surface with genus  $k$ . The same replacement is performed for the complex conjugates of the theta function. It is straightforward to check that the continuations of elementary particle vacuum functionals indeed satisfy the cluster decomposition property and are continuous.

To summarize, the construction has provided hoped for answers to some questions stated in the beginning: stability requirements explain the separate conservation of lepton numbers and the experimental absence of  $g > 0$  elementary bosons. What has not not been explained is the experimental absence of  $g > 2$  fermion families. The vanishing of the  $g > 2$  elementary particle vacuum functionals for the hyper-elliptic surfaces however suggest a possible explanation: under some conditions on the surface  $X^2$  the surfaces  $Y^2$  are hyper-elliptic or possess some conformal symmetry so that elementary particle vacuum functionals vanish for them. This conjecture indeed might make sense since the surfaces  $Y^2$  are determined by the asymptotic dynamics and one might hope that the surfaces  $Y^2$  are analogous to the final states of a dissipative system.

### 16.2.5 Explanations for the absence of the $g > 2$ elementary particles from spectrum

The decay properties of the intermediate gauge bosons [58] are consistent with the assumption that the number of the light neutrinos is  $N = 3$ . Also cosmological considerations pose upper bounds on the number of the light neutrino families and  $N = 3$  seems to be favored [42]. It must be however emphasized that p-adic considerations [26] encourage the consideration the existence of higher genera with neutrino masses such that they are not produced in the laboratory at present energies. In any case, for TGD approach the finite number of light fermion families is a potential difficulty since genus-generation correspondence suggests that the number of the fermion (and possibly also boson) families is infinite. Therefore one had better to find a good argument showing that the number of the observed neutrino families, or more generally, of the observed elementary particle families, is small also in the world described by TGD.

It will be later found that also TGD inspired cosmology requires that the number of the effectively massless fermion families must be small after Planck time. This suggests that boundary topologies with handle number  $g > 2$  are unstable and/or very massive so that they, if present in the spectrum,

disappear from it after Planck time, which correspond to the value of the light cone proper time  $a \simeq 10^{-11}$  seconds.

In accordance with the spirit of TGD approach it is natural to wonder whether some geometric property differentiating between  $g > 2$  and  $g < 3$  boundary topologies might explain why only  $g < 3$  boundary components are observable. One can indeed find a good candidate for this kind of property: namely hyper-ellipticity, which states that Riemann surface is a two-fold branched covering of sphere possessing two-element group  $Z_2$  as conformal automorphisms. All  $g < 3$  Riemann surfaces are hyper-elliptic unlike  $g > 2$  Riemann surfaces, which in general do not possess this property. Thus it is natural to consider the possibility that hyper-ellipticity or more general conformal symmetries might explain why only  $g < 2$  topologies correspond to the observed elementary particles.

As regards to the present problem the crucial observation is that some even theta functions vanish for the hyper-elliptic surfaces with genus  $g > 2$  [38]. What is essential is that these surfaces have the group  $Z_2$  as conformal symmetries. Indeed, the vanishing phenomenon is more general. Theta functions tend to vanish for  $g > 2$  two-surfaces possessing discrete group of conformal symmetries [40]: for instance, instead of sphere one can consider branched coverings of higher genus surfaces.

From the general expression of the elementary particle vacuum functional it is clear that elementary particle vacuum functionals vanish, when  $Y^2$  is hyper-elliptic surface with genus  $g > 2$  and one might hope that this is enough to explain why the number of elementary particle families is three.

### Hyper-ellipticity implies the separation of $g \leq 2$ and $g > 2$ sectors to separate worlds

If the vertices are defined as intersections of space-time sheets of elementary particles and if elementary particle vacuum functionals are required to have  $Z_2$  symmetry, the localization of elementary particle vacuum functionals to  $g \leq 2$  topologies occurs automatically. Even if one allows as limiting case vertices for which 2-manifolds are pinched to topologies intermediate between  $g > 2$  and  $g \leq 2$  topologies,  $Z_2$  symmetry present for both topological interpretations implies the vanishing of this kind of vertices. This applies also in the case of stringy vertices so that also particle propagation would respect the effective number of particle families.  $g > 2$  and  $g \leq 2$  topologies would behave much like their own worlds in this approach. This is enough to explain the experimental findings if one can understand why the  $g > 2$  particle families are absent as incoming and outgoing states or are very heavy.

### What about $g > 2$ vacuum functionals which do not vanish for hyper-elliptic surfaces?

The vanishing of all  $g \geq 2$  vacuum functionals for hyper-elliptic surfaces cannot hold true generally. There must exist vacuum functionals which do satisfy this condition. This suggests that elementary particle vacuum functionals for  $g > 2$  states have interpretation as bound states of  $g$  handles and that the more general states which do not vanish for hyper-elliptic surfaces correspond to many-particle states composed of bound states  $g \leq 2$  handles and cannot thus appear as incoming and outgoing states. Thus  $g > 2$  elementary particles would decouple from  $g \leq 2$  states.

### Should higher elementary particle families be heavy?

TGD predicts an entire hierarchy of scaled up variants of standard model physics for which particles do not appear in the vertices containing the known elementary particles and thus behave like dark matter [33]. Also  $g > 2$  elementary particles would behave like dark matter and in principle there is no absolute need for them to be heavy.

The safest option would be that  $g > 2$  elementary particles are heavy and the breaking of  $Z_2$  symmetry for  $g \geq 2$  states could guarantee this. p-Adic considerations lead to a general mass formula for elementary particles such that the mass of the particle is proportional to  $\frac{1}{\sqrt{p}}$  [4]. Also the dependence of the mass on particle genus is completely fixed by this formula. What remains however open is what determines the p-adic prime associated with a particle with given quantum numbers. Of course, it could quite well occur that  $p$  is much smaller for  $g > 2$  genera than for  $g \leq 2$  genera.

### 16.3 Non-topological contributions to particle masses from p-adic thermodynamics

In TGD framework p-adic thermodynamics provides a microscopic theory of particle massivation in the case of fermions. The idea is very simple. The mass of the particle results from a thermal mixing of the massless states with  $CP_2$  mass excitations of super-conformal algebra. In p-adic thermodynamics the Boltzmann weight  $\exp(-E/T)$  does not exist in general and must be replaced with  $p^{L_0/T_p}$  which exists for Virasoro generator  $L_0$  if the inverse of the p-adic temperature is integer valued  $T_p = 1/n$ . The expansion in powers of  $p$  converges extremely rapidly for physical values of  $p$ , which are rather large. Therefore the three lowest terms in expansion give practically exact results. Thermal massivation does not necessarily lead to light states and this drops a large number of exotic states from the spectrum of light particles. The partition functions of N-S and Ramond type representations are not changed in TGD framework despite the fact that fermionic super generators carry fermion numbers and are not Hermitian. Thus the practical calculations are relatively straightforward.

In free fermion picture the p-adic thermodynamics in the boson sector is for fermion-antifermion states associated with the two throats of the bosonic wormhole. The question is whether the thermodynamical mass squared is just the sum of the two independent fermionic contributions for Ramond representations or should one use N-S type representation resulting as a tensor product of Ramond representations.

The overall conclusion about p-adic mass calculations is that fermionic mass spectrum is predicted in an excellent accuracy but that the thermal masses of the intermediate gauge bosons come 20-30 per cent to large for  $T_p = 1$  and are completely negligible for  $T_p = 1/2$ . This forces to consider very seriously the possibility that thermal contribution to the bosonic mass is negligible and that TGD can, contrary to the original expectations, provide dynamical Higgs field as a fundamental field. The identification of Higgs as wormhole contact would provide this field. The bound state character of the boson states could be responsible for  $T_p < 1$ . For this option the Higgs contribution to fermion masses would be negligible.

A more plausible option is based on the identification of the Higgs like contribution in terms of the deviation of the ground state conformal weight from negative half integer. The negative ground state conformal weights in turn correspond to the squares of the generalized eigenvalues of the modified Dirac operator determined by the dynamics of Kähler action for preferred extremals.

A microscopic theory explaining the non-half integer contribution to the conformal weight follows from the identification of the physical elementary particles in terms of pairs of wormhole contacts with upper and lower throat pairs connected by Kähler magnetic flux tubes. This requires zero energy ontology, weak form of electric-magnetic duality, and twistor approach as theoretical ingredients. This gives also a nice connection with Higgs mechanism. TGD predicts scalar and pseudo scalar Higgs which correspond to SU(2) triplet and singlet and therefore same representations of SU(2) as electroweak gauge bosons. Higgs vacuum expectation is not needed and there are strong reasons to believe that also gauge bosons regarded usually as massless receive a small mass and scalar Higgs boson disappears completely from the spectrum. This could happen also for Higgsinos if they combine with gauginos to form massive fermions. Only pseudo scalar Higgs and its super partner would remain in the spectrum for this option unless they combine with possibly existing massless axial gauge bosons and their super partners to form massive states.

#### 16.3.1 Partition functions are not changed

One must write Super Virasoro conditions for  $L_n$  and both  $G_n$  and  $G_n^\dagger$  rather than for  $L_n$  and  $G_n$  as in the case of the ordinary Super Virasoro algebra, and it is a priori not at all clear whether the partition functions for the Super Virasoro representations remain unchanged. This requirement is however crucial for the construction to work at all in the fermionic sector, since even the slightest changes for the degeneracies of the excited states can change light state to a state with mass of order  $m_0$  in the p-adic thermodynamics.

#### Super conformal algebra

Super Virasoro algebra is generated by the bosonic the generators  $L_n$  ( $n$  is an integer valued index) and by the fermionic generators  $G_r$ , where  $r$  can be either integer (Ramond) or half odd integer (NS).

$G_r$  creates quark/lepton for  $r > 0$  and antiquark/antilepton for  $r < 0$ . For  $r = 0$ ,  $G_0$  creates lepton and its Hermitian conjugate anti-lepton. The defining commutation and anti-commutation relations are the following:

$$\begin{aligned}
 [L_m, L_n] &= (m - n)L_{m+n} + \frac{c}{2}m(m^2 - 1)\delta_{m,-n} , \\
 [L_m, G_r] &= \left(\frac{m}{2} - r\right)G_{m+r} , \\
 [L_m, G_r^\dagger] &= \left(\frac{m}{2} - r\right)G_{m+r}^\dagger , \\
 \{G_r, G_s^\dagger\} &= 2L_{r+s} + \frac{c}{3}\left(r^2 - \frac{1}{4}\right)\delta_{m,-n} , \\
 \{G_r, G_s\} &= 0 , \\
 \{G_r^\dagger, G_s^\dagger\} &= 0 .
 \end{aligned} \tag{16.3.1}$$

By the inspection of these relations one finds some results of a great practical importance.

1. For the Ramond algebra  $G_0, G_1$  and their Hermitian conjugates generate the  $r \geq 0, n \geq 0$  part of the algebra via anti-commutations and commutations. Therefore all what is needed is to assume that Super Virasoro conditions are satisfied for these generators in case that  $G_0$  and  $G_0^\dagger$  annihilate the ground state. Situation changes if the states are *not* annihilated by  $G_0$  and  $G_0^\dagger$  since then one must assume the gauge conditions for both  $L_1, G_1$  and  $G_1^\dagger$  besides the mass shell conditions associated with  $G_0$  and  $G_0^\dagger$ , which however do not affect the number of the Super Virasoro excitations but give mass shell condition and constraints on the state in the cm spin degrees of freedom. This will be assumed in the following. Note that for the ordinary Super Virasoro only the gauge conditions for  $L_1$  and  $G_1$  are needed.
2. NS algebra is generated by  $G_{1/2}$  and  $G_{3/2}$  and their Hermitian conjugates (note that  $G_{3/2}$  cannot be expressed as the commutator of  $L_1$  and  $G_{1/2}$ ) so that only the gauge conditions associated with these generators are needed. For the ordinary Super Virasoro only the conditions for  $G_{1/2}$  and  $G_{3/2}$  are needed.

### Conditions guaranteing that partition functions are not changed

The conditions guaranteing the invariance of the partition functions in the transition to the modified algebra must be such that they reduce the number of the excitations and gauge conditions for a given conformal weight to the same number as in the case of the ordinary Super Virasoro.

1. The requirement that physical states are invariant under  $G \leftrightarrow G^\dagger$  corresponds to the charge conjugation symmetry and is very natural. As a consequence, the gauge conditions for  $G$  and  $G^\dagger$  are not independent and their number reduces by a factor of one half and is the same as in the case of the ordinary Super Virasoro.
2. As far as the number of the thermal excitations for a given conformal weight is considered, the only remaining problem are the operators  $G_n G_n^\dagger$ , which for the ordinary Super Virasoro reduce to  $G_n G_n = L_{2n}$  and do not therefore correspond to independent degrees of freedom. In present case this situation is achieved only if one requires

$$(G_n G_n^\dagger - G_n^\dagger G_n)|phys\rangle = 0 . \tag{16.3.2}$$

It is not clear whether this condition must be posed separately or whether it actually follows from the representation of the Super Virasoro algebra automatically.

### Partition function for Ramond algebra

Under the assumptions just stated, the partition function for the Ramond states not satisfying any gauge conditions

$$Z(t) = 1 + 2t + 4t^2 + 8t^3 + 14t^4 + \dots , \quad (16.3.3)$$

which is identical to that associated with the ordinary Ramond type Super Virasoro.

For a Super Virasoro representation with  $N = 5$  sectors, of main interest in TGD, one has

$$\begin{aligned} Z_N(t) &= Z^{N=5}(t) = \sum D(n)t^n \\ &= 1 + 10t + 60t^2 + 280t^3 + \dots \end{aligned} \quad (16.3.4)$$

The degeneracies for the states satisfying gauge conditions are given by

$$d(n) = D(n) - 2D(n-1) . \quad (16.3.5)$$

corresponding to the gauge conditions for  $L_1$  and  $G_1$ . Applying this formula one obtains for  $N = 5$  sectors

$$d(0) = 1 , \quad d(1) = 8 , \quad d(2) = 40 , \quad d(3) = 160 . \quad (16.3.6)$$

The lowest order contribution to the p-adic mass squared is determined by the ratio

$$r(n) = \frac{D(n+1)}{D(n)} ,$$

where the value of  $n$  depends on the effective vacuum weight of the ground state fermion. Light state is obtained only provided the ratio is integer. The remarkable result is that for lowest lying states the ratio is integer and given by

$$r(1) = 8 , \quad r(2) = 5 , \quad r(3) = 4 . \quad (16.3.7)$$

It turns out that  $r(2) = 5$  gives the best possible lowest order prediction for the charged lepton masses and in this manner one ends up with the condition  $h_{vac} = -3$  for the tachyonic vacuum weight of Super Virasoro.

### Partition function for NS algebra

For NS representations the calculation of the degeneracies of the physical states reduces to the calculation of the partition function for a single particle Super Virasoro

$$Z_{NS}(t) = \sum_n z(n/2)t^{n/2} . \quad (16.3.8)$$

Here  $z(n/2)$  gives the number of Super Virasoro generators having conformal weight  $n/2$ . For a state with  $N$  active sectors (the sectors with a non-vanishing weight for a given ground state) the degeneracies can be read from the N-particle partition function expressible as

$$Z_N(t) = Z^N(t) . \quad (16.3.9)$$

Single particle partition function is given by the expression

$$Z(t) = 1 + t^{1/2} + t + 2t^{3/2} + 3t^2 + 4t^{5/2} + 5t^3 + \dots . \quad (16.3.10)$$



Using this representation it is an easy task to calculate the degeneracies for the operators of conformal weight  $\Delta$  acting on a state having  $N$  active sectors.

One can also derive explicit formulas for the degeneracies and calculation gives

$$\begin{aligned}
 D(0, N) &= 1, & D(1/2, N) &= N, \\
 D(1, N) &= \frac{N(N+1)}{2}, & D(3/2, N) &= \frac{N}{6}(N^2 + 3N + 8), \\
 D(2, N) &= \frac{N}{2}(N^2 + 2N + 3), & D(5/2, N) &= 9N(N - 1), \\
 D(3, N) &= 12N(N - 1) + 2N(N - 1).
 \end{aligned}
 \tag{16.3.11}$$

as a function of the conformal weight  $\Delta = 0, 1/2, \dots, 3$ .

The number of states satisfying Super Virasoro gauge conditions created by the operators of a conformal weight  $\Delta$ , when the number of the active sectors is  $N$ , is given by

$$d(\Delta, N) = D(\Delta, N) - D(\Delta - 1/2, N) - D(\Delta - 3/2, N) . \tag{16.3.12}$$

The expression derives from the observation that the physical states satisfying gauge conditions for  $G^{1/2}, G^{3/2}$  satisfy the conditions for all Super Virasoro generators. For  $T_p = 1$  light bosons correspond to the integer values of  $d(\Delta + 1, N)/d(\Delta, N)$  in case that massless states correspond to thermal excitations of conformal weight  $\Delta$ : they are obtained for  $\Delta = 0$  only (massless ground state). This is what is required since the thermal degeneracy of the light boson ground state would imply a corresponding factor in the energy density of the black body radiation at very high temperatures. For the physically most interesting nontrivial case with  $N = 2$  two active sectors the degeneracies are

$$d(0, 2) = 1, \quad d(1, 2) = 1, \quad d(2, 2) = 3, \quad d(3, 2) = 4 . \tag{16.3.13}$$

$N, \Delta$	0	1/2	1	3/2	2	5/2	3
2	1	1	1	3	3	4	4
3	1	2	3	9	11		
4	1	3	5	19	26		
5	1	4	10	24	150		

Table 3. Degeneracies  $d(\Delta, N)$  of the operators satisfying NS type gauge conditions as a function of the number  $N$  of the active sectors and of the conformal weight  $\Delta$  of the operator. Only those degeneracies, which are needed in the mass calculation for bosons assuming that they correspond to N-S representations are listed.

### 16.3.2 Fundamental length and mass scales

The basic difference between quantum TGD and super-string models is that the size of  $CP_2$  is not of order Planck length but much larger: of order  $10^{3.5}$  Planck lengths. This conclusion is forced by several consistency arguments, the mass scale of electron, and by the cosmological data allowing to fix the string tension of the cosmic strings which are basic structures in TGD inspired cosmology.

#### The relationship between $CP_2$ radius and fundamental p-adic length scale

One can relate  $CP_2$  'cosmological constant' to the p-adic mass scale: for  $k_L = 1$  one has

$$m_0^2 = \frac{m_1^2}{k_L} = m_1^2 = 2\Lambda . \tag{16.3.14}$$

$k_L = 1$  results also by requiring that p-adic thermodynamics leaves charged leptons light and leads to optimal lowest order prediction for the charged lepton masses.  $\Lambda$  denotes the 'cosmological constant' of  $CP_2$  ( $CP_2$  satisfies Einstein equations  $G^{\alpha\beta} = \Lambda g^{\alpha\beta}$  with cosmological term).

The real counterpart of the p-adic thermal expectation for the mass squared is sensitive to the choice of the unit of p-adic mass squared which is by definition mapped as such to the real unit in canonical identification. Thus an important factor in the p-adic mass calculations is the correct identification of the p-adic mass squared scale, which corresponds to the mass squared unit and hence to the unit of the p-adic numbers. This choice does not affect the spectrum of massless states but can affect the spectrum of light states in case of intermediate gauge bosons.

1. For the choice

$$M^2 = m_0^2 \leftrightarrow 1 \tag{16.3.15}$$

the spectrum of  $L_0$  is integer valued.

2. The requirement that all sufficiently small mass squared values for the color partial waves are mapped to real integers, would fix the value of p-adic mass squared unit to

$$M^2 = \frac{m_0^2}{3} \leftrightarrow 1 . \tag{16.3.16}$$

For this choice the spectrum of  $L_0$  comes in multiples of 3 and it is possible to have a first order contribution to the mass which cannot be of thermal origin (say  $m^2 = p$ ). This indeed seems to happen for electro-weak gauge bosons.

p-Adic mass calculations allow to relate  $m_0$  to electron mass and to Planck mass by the formula

$$\begin{aligned} \frac{m_0}{m_{Pl}} &= \frac{1}{\sqrt{5 + Y_e}} \times 2^{127/2} \times \frac{m_e}{m_{Pl}} , \\ m_{Pl} &= \frac{1}{\sqrt{\hbar G}} . \end{aligned} \tag{16.3.17}$$

For  $Y_e = 0$  this gives  $m_0 = .2437 \times 10^{-3} m_{Pl}$ .

This means that  $CP_2$  radius  $R$  defined by the length  $L = 2\pi R$  of  $CP_2$  geodesic is roughly  $10^{3.5}$  times the Planck length. More precisely, using the relationship

$$\Lambda = \frac{3}{2R^2} = M^2 = m_0^2 ,$$

one obtains for

$$L = 2\pi R = 2\pi \sqrt{\frac{3}{2}} \frac{1}{m_0} \simeq 3.1167 \times 10^4 \sqrt{\hbar G} \text{ for } Y_e = 0 . \tag{16.3.18}$$

The result came as a surprise: the first belief was that  $CP_2$  radius is of order Planck length. It has however turned out that the new identification solved elegantly some long standing problems of TGD.

$Y_e$	0	.5	.7798
$(m_0/m_{Pl})10^3$	.2437	.2323	.2266
$K_R \times 10^{-7}$	2.5262	2.7788	2.9202
$(L_R/\sqrt{\hbar G}) \times 10^{-4}$	3.1580	3.3122	3.3954
$K \times 10^{-7}$	2.4606	2.4606	2.4606
$(L/\sqrt{\hbar G}) \times 10^{-4}$	3.1167	3.1167	3.1167
$K_R/K$	1.0267	1.1293	1.1868

Table 1. Table gives the values of the ratio  $K_R = R^2/G$  and  $CP_2$  geodesic length  $L = 2\pi R$  for  $Y_e \in \{0, 0.5, 0.7798\}$ . Also the ratio of  $K_R/K$ , where  $K = 2 \times 3 \times 5 \times 7 \times 11 \times 13 \times 17 \times 19 \times 23 \times 2^{-3} * (15/17)$  is rational number producing  $R^2/G$  approximately is given.

The value of top quark mass favors  $Y_e = 0$  and  $Y_e = .5$  is largest value of  $Y_e$  marginally consistent with the limits on the value of top quark mass.

### $CP_2$ radius as the fundamental p-adic length scale

The identification of  $CP_2$  radius as the fundamental p-adic length scale is forced by the Super Virasoro invariance. The pleasant surprise was that the identification of the  $CP_2$  size as the fundamental p-adic length scale rather than Planck length solved many long standing problems of older TGD.

1. The earliest formulation predicted cosmic strings with a string tension larger than the critical value giving the angle deficit  $2\pi$  in Einstein's equations and thus excluded by General Relativity. The corrected value of  $CP_2$  radius predicts the value  $k/G$  for the cosmic string tension with  $k$  in the range  $10^{-7} - 10^{-6}$  as required by the TGD inspired model for the galaxy formation solving the galactic dark matter problem.
2. In the earlier formulation there was no idea as how to derive the p-adic length scale  $L \sim 10^{3.5} \sqrt{\hbar G}$  from the basic theory. Now this problem becomes trivial and one has to predict gravitational constant in terms of the p-adic length scale. This follows in principle as a prediction of quantum TGD. In fact, one can deduce  $G$  in terms of the p-adic length scale and the action exponential associated with the  $CP_2$  extremal and gets a correct value if  $\alpha_K$  approaches fine structure constant at electron length scale (due to the fact that electromagnetic field equals to the Kähler field if  $Z^0$  field vanishes).

Besides this, one obtains a precise prediction for the dependence of the Kähler coupling strength on the p-adic length scale by requiring that the gravitational coupling does not depend on the p-adic length scale. p-Adic prime  $p$  in turn has a nice physical interpretation: the critical value of  $\alpha_K$  is same for the zero modes with given  $p$ . As already found, the construction of graviton state allows to understand the small value of the gravitational constant in terms of a de-coherence caused by multi-p fractality reducing the value of the gravitational constant from  $L_p^2$  to  $G$ .

3. p-Adic length scale is also the length scale at which super-symmetry should be restored in standard super-symmetric theories. In TGD this scale corresponds to the transition to Euclidian field theory for  $CP_2$  type extremals. There are strong reasons to believe that sparticles are however absent and that super-symmetry is present only in the sense that super-generators have complex conformal weights with  $Re(h) = \pm 1/2$  rather than  $h = 0$ . The action of this super-symmetry changes the mass of the state by an amount of order  $CP_2$  mass.

### 16.3.3 Color degrees of freedom

The ground states for the Super Virasoro representations correspond to spinor harmonics in  $M^4 \times CP_2$  characterized by momentum and color quantum numbers. The correlation between color and electro-weak quantum numbers is wrong for the spinor harmonics and these states would be also hyper-massive. The super-symplectic generators allow to build color triplet states having negative vacuum conformal weights, and their values are such that p-adic massivation is consistent with the predictions of the earlier model differing from the recent one in the quark sector. In the following the construction and the properties of the color partial waves for fermions and bosons are considered. The discussion follows closely to the discussion of [17].

### SKM algebra and counterpart of Super Virasoro conditions

The geometric part of SKM algebra is defined as an algebra respecting the light-likeness of the partonic 3-surface. It consists of  $X^3$ -local conformal transformations of  $M^4_{\pm}$  and  $SU(3)$ -local  $SU(3)$  rotations. The requirement that generators have well defined radial conformal weight with respect to the lightlike coordinate  $r$  of  $X^3$  restricts  $M^4$  conformal transformations to the group  $SO(3) \times E^3$ . This involves choice of preferred time coordinate. If the preferred  $M^4$  coordinate is chosen to correspond to a preferred light-like direction in  $\delta M^4_{\pm}$  characterizing the theory, a reduction to  $SO(2) \times E^2$  more familiar

from string models occurs. The algebra decomposes into a direct sum of sub-algebras mapped to themselves by the Kac-Moody algebra generated by functions depending on  $r$  only. SKM algebra contains also  $U(2)_{ew}$  Kac-Moody algebra acting as holonomies of  $CP_2$  and having no bosonic counterpart.

p-Adic mass calculations require  $N = 5$  sectors of super-conformal algebra. These sectors correspond to the 5 tensor factors for the  $SO(3) \times E^3 \times SU(3) \times U(2)_{ew}$  (or  $SO(2) \times E^2 \times SU(3) \times U(2)_{ew}$ ) decomposition of the SKM algebra to gauge symmetries of gravitation, color and electro-weak interactions. These symmetries act on the intersections  $X^2 = X_l^3 \cap X^7$  of 3-D light like causal determinants (CDs)  $X_l^3$  and 7-D light like CDs  $X^7 = \delta M_+^4 \times CP_2$ . This constraint leaves only the 2 transversal  $M^4$  degrees of freedom since the translations in light like directions associated with  $X_l^3$  and  $\delta M_+^4$  are eliminated.

The algebra differs from the standard one in that super generators  $G(z)$  carry lepton and quark numbers are not Hermitian as in super-string models (Majorana conditions are not satisfied). The counterparts of Ramond representations correspond to zero modes of a second quantized spinor field with vanishing radial conformal weight. Non-zero modes with generalized eigenvalues  $\lambda = 1/2 + iy$ ,  $y = \sum_k n_k y_k$ ,  $n_k \geq 0$ , of the modified Dirac operator with  $s_k = 1/2 + iy_k$  a zero or Riemann Zeta, define ground states of N-S type super Virasoro representations.

What is new is the imaginary part of conformal weight which means that the arrow of geometric time manifests itself via the sign of the imaginary part  $y$  already at elementary particle level. More concretely, positive energy particle propagating to the geometric future is not equivalent with negative energy particle propagating to the geometric past. The strange properties of the phase conjugate provide concrete physical demonstration of this difference. p-Adic mass calculations suggest the interpretation of  $y$  in terms of a decay width of the particle.

The Ramond or N-S type Virasoro conditions satisfied by the physical states in string model approach are replaced by the formulas expressing mass squared as a conformal weight. The condition is not equivalent with super Virasoro conditions since four-momentum does not appear in super Virasoro generators. It seems possible to assume that the commutator algebra  $[SKM, SC]$  and the commutator of  $[SKMV, SSV]$  of corresponding Super Virasoro algebras annihilate physical states. This would give rise to the analog of Super Virasoro conditions which could be seen as a Dirac equation in the world of classical worlds.

### 1. $CP_2$ CM degrees of freedom

Important element in the discussion are center of mass degrees of freedom parameterized by imbedding space coordinates. By the effective 2-dimensionality it is indeed possible to assign to partons momenta and color partial waves and they behave effectively as free particles. In fact, the technical problem of the earlier scenario was that it was not possible to assign symmetry transformations acting only on the boundary components of 3-surface.

One can assign to each eigen state of color quantum numbers a color partial wave in  $CP_2$  degrees of freedom. Thus color quantum numbers are not spin like quantum numbers in TGD framework except effectively in the length scales much longer than  $CP_2$  length scale. The correlation between color partial waves and electro-weak quantum numbers is not physical in general: only the covariantly constant right handed neutrino has vanishing color.

### 2. Mass formula, and condition determining the effective string tension

Mass squared eigenvalues are given by

$$M^2 = m_{CP_2}^2 + kL_0 . \quad (16.3.19)$$

The contribution of  $CP_2$  spinor Laplacian to the mass squared operator is in general not integer valued.

The requirement that mass squared spectrum is integer valued for color partial waves possibly representing light states fixes the possible values of  $k$  determining the effective string tension modulo integer. The value  $k = 1$  is the only possible choice. The earlier choice  $k_L = 1$  and  $k_q = 2/3$ ,  $k_B = 1$  gave integer conformal weights for the lowest possible color partial waves. The assumption that the total vacuum weight  $h_{vac}$  is conserved in particle vertices implied  $k_B = 1$ .

**General construction of solutions of Dirac operator of  $H$**

The construction of the solutions of massless spinor and other d'Alembertians in  $M_+^4 \times CP_2$  is based on the following observations.

1. d'Alembertian corresponds to a massless wave equation  $M^4 \times CP_2$  and thus Kaluza-Klein picture applies, that is  $M_+^4$  mass is generated from the momentum in  $CP_2$  degrees of freedom. This implies mass quantization:

$$M^2 = M_n^2 \text{ ,}$$

where  $M_n^2$  are eigenvalues of  $CP_2$  Laplacian. Here of course, ordinary field theory is considered. In TGD the vacuum weight changes mass squared spectrum.

2. In order to get a respectable spinor structure in  $CP_2$  one must couple  $CP_2$  spinors to an odd integer multiple of the Kähler potential. Leptons and quarks correspond to  $n = 3$  and  $n = 1$  couplings respectively. The spectrum of the electromagnetic charge comes out correctly for leptons and quarks.
3. Right handed neutrino is covariantly constant solution of  $CP_2$  Laplacian for  $n = 3$  coupling to Kähler potential whereas right handed 'electron' corresponds to the covariantly constant solution for  $n = -3$ . From the covariant constancy it follows that all solutions of the spinor Laplacian are obtained from these two basic solutions by multiplying with an appropriate solution of the scalar Laplacian coupled to Kähler potential with such a coupling that a correct total Kähler charge results. Left handed solutions of spinor Laplacian are obtained simply by multiplying right handed solutions with  $CP_2$  Dirac operator: in this operation the eigenvalues of the mass squared operator are obviously preserved.
4. The remaining task is to solve scalar Laplacian coupled to an arbitrary integer multiple of Kähler potential. This can be achieved by noticing that the solutions of the massive  $CP_2$  Laplacian can be regarded as solutions of  $S^5$  scalar Laplacian.  $S^5$  can indeed be regarded as a circle bundle over  $CP_2$  and massive solutions of  $CP_2$  Laplacian correspond to the solutions of  $S^5$  Laplacian with  $exp(is\tau)$  dependence on  $S^1$  coordinate such that  $s$  corresponds to the coupling to the Kähler potential:

$$s = n/2 \text{ .}$$

Thus one obtains

$$D_5^2 = (D_\mu - iA_\mu \partial_\tau)(D^\mu - iA^\mu \partial_\tau) + \partial_\tau^2 \tag{16.3.20}$$

so that the eigen values of  $CP_2$  scalar Laplacian are

$$m^2(s) = m_5^2 + s^2 \tag{16.3.21}$$

for the assumed dependence on  $\tau$ .

5. What remains to do, is to find the spectrum of  $S^5$  Laplacian and this is an easy task. All solutions of  $S^5$  Laplacian can be written as homogenous polynomial functions of  $C^3$  complex coordinates  $Z^k$  and their complex conjugates and have a decomposition into the representations of  $SU(3)$  acting in natural manner in  $C^3$ .

6. The solutions of the scalar Laplacian belong to the representations  $(p, p + s)$  for  $s \geq 0$  and to the representations  $(p + |s|, p)$  of  $SU(3)$  for  $s \leq 0$ . The eigenvalues  $m^2(s)$  and degeneracies  $d$  are

$$\begin{aligned} m^2(s) &= \frac{2\Lambda}{3}[p^2 + (|s| + 2)p + |s|] , \quad p > 0 , \\ d &= \frac{1}{2}(p + 1)(p + |s| + 1)(2p + |s| + 2) . \end{aligned} \quad (16.3.22)$$

$\Lambda$  denotes the 'cosmological constant' of  $CP_2$  ( $R_{ij} = \Lambda s_{ij}$ ).

### Solutions of the leptonic spinor Laplacian

Right handed solutions of the leptonic spinor Laplacian are obtained from the ansatz of form

$$\nu_R = \Phi_{s=0} \nu_R^0 ,$$

where  $u_R$  is covariantly constant right handed neutrino and  $\Phi$  scalar with vanishing Kähler charge. Right handed 'electron' is obtained from the ansatz

$$e_R = \Phi_{s=3} e_R^0 ,$$

where  $e_R^0$  is covariantly constant for  $n = -3$  coupling to Kähler potential so that scalar function must have Kähler coupling  $s = n/2 = 3$  in order to get a correct Kähler charge. The d'Alembert equation reduces to

$$\begin{aligned} (D_\mu D^\mu - (1 - \epsilon)\Lambda)\Phi &= -m^2\Phi , \\ \epsilon(\nu) &= 1 , \quad \epsilon(e) = -1 . \end{aligned} \quad (16.3.23)$$

The two additional terms correspond to the curvature scalar term and  $J_{kl}\Sigma^{kl}$  terms in spinor Laplacian. The latter term is proportional to Kähler coupling and of different sign for  $\nu$  and  $e$ , which explains the presence of the sign factor  $\epsilon$  in the formula.

Right handed neutrinos correspond to  $(p, p)$  states with  $p \geq 0$  with mass spectrum

$$\begin{aligned} m^2(\nu) &= \frac{m_1^2}{3}[p^2 + 2p] , \quad p \geq 0 , \\ m_1^2 &\equiv 2\Lambda . \end{aligned} \quad (16.3.24)$$

Right handed 'electrons' correspond to  $(p, p + 3)$  states with mass spectrum

$$m^2(e) = \frac{m_1^2}{3}[p^2 + 5p + 6] , \quad p \geq 0 . \quad (16.3.25)$$

Left handed solutions are obtained by operating with  $CP_2$  Dirac operator on right handed solutions and have the same mass spectrum and representational content as right handed leptons with one exception: the action of the Dirac operator on the covariantly constant right handed neutrino ( $(p = 0, p = 0)$  state) annihilates it.

### Quark spectrum

Quarks correspond to the second conserved  $H$ -chirality of  $H$ -spinors. The construction of the color partial waves for quarks proceeds along similar lines as for leptons. The Kähler coupling corresponds to  $n = 1$  (and  $s = 1/2$ ) and right handed  $U$  type quark corresponds to a right handed neutrino.  $U$  quark type solutions are constructed as solutions of form

$$U_R = u_R \Phi_{s=1} ,$$

where  $u_R$  possesses the quantum numbers of covariantly constant right handed neutrino with Kähler charge  $n = 3$  ( $s = 3/2$ ). Hence  $\Phi_s$  has  $s = -1$ . For  $D_R$  one has

$$D_R = d_r \Phi_{s=2} .$$

$d_R$  has  $s = -3/2$  so that one must have  $s = 2$ . For  $U_R$  the representations  $(p + 1, p)$  with triality one are obtained and  $p = 0$  corresponds to color triplet. For  $D_R$  the representations  $(p, p + 2)$  are obtained and color triplet is missing from the spectrum ( $p = 0$  corresponds to  $\bar{6}$ ).

The  $CP_2$  contributions to masses are given by the formula

$$\begin{aligned} m^2(U, p) &= \frac{m_1^2}{3} [p^2 + 3p + 2] , \quad p \geq 0 , \\ m^2(D, p) &= \frac{m_1^2}{3} [p^2 + 4p + 4] , \quad p \geq 0 . \end{aligned} \tag{16.3.26}$$

Left handed quarks are obtained by applying Dirac operator to right handed quark states and mass formulas and color partial wave spectrum are the same as for right handed quarks.

The color contributions to p-adic mass squared are integer valued if  $m_0^2/3$  is taken as a fundamental p-adic unit of mass squared. This choice has an obvious relevance for p-adic mass calculations since canonical identification does not commute with a division by integer. More precisely, the images of number  $xp$  in canonical identification has a value of order 1 when  $x$  is a non-trivial rational whereas for  $x = np$  the value is  $n/p$  and extremely is small for physically interesting primes. This choice does not however affect the spectrum of massless states but can affect the spectrum of light states in case of electro-weak gauge bosons.

### 16.3.4 Spectrum of elementary particles

The assumption that  $k = 1$  holds true for all particles forces to modify the earlier construction of quark states. This turns out to be possible without affecting the p-adic mass calculations whose outcome depend in an essential manner on the ground state conformal weights  $h_{gr}$  of the fermions (which can be negative).

#### Leptonic spectrum

For  $k = 1$  the leptonic mass squared is integer valued in units of  $m_0^2$  only for the states satisfying

$$p \bmod 3 \neq 2 .$$

Only these representations can give rise to massless states. Neutrinos correspond to  $(p, p)$  representations with  $p \geq 1$  whereas charged leptons correspond to  $(p, p + 3)$  representations. The earlier mass calculations demonstrate that leptonic masses can be understood if the ground state conformal weight is  $h_{gr} = -1$  for charged leptons and  $h_{gr} = -2$  for neutrinos.

The contribution of color partial wave to conformal weight is  $h_c = (p^2 + 2p)/3$ ,  $p \geq 1$ , for neutrinos and  $p = 1$  gives  $h_c = 1$  (octet). For charged leptons  $h_c = (p^2 + 5p + 6)/3$  gives  $h_c = 2$  for  $p = 0$  (decuplet). In both cases super-symplectic operator  $O$  must have a net conformal weight  $h_{sc} = -3$  to produce a correct conformal weight for the ground state. p-adic considerations suggests the use of operators  $O$  with super-symplectic conformal weight  $z = -1/2 - i \sum n_k y_k$ , where  $s_k = 1/2 + iy_k$  corresponds to zero of Riemann  $\zeta$ . If the operators in question are color Hamiltonians in octet representation net super-symplectic conformal weight  $h_{sc} = -3$  results. The tensor product of two octets with conjugate super-symplectic conformal weights contains both octet and decuplet so that singlets are obtained. What strengthens the hopes that the construction is not adhoc is that the same operator appears in the construction of quark states too.

Right handed neutrino remains essentially massless.  $p = 0$  right handed neutrino does not however generate  $N = 1$  space-time (or rather, imbedding space) super symmetry so that no sparticles are predicted. The breaking of the electro-weak symmetry at the level of the masses comes out basically from the anomalous color electro-weak correlation for the Kaluza-Klein partial waves implying that the weights for the ground states of the fermions depend on the electromagnetic charge of the fermion. Interestingly, TGD predicts leptohadron physics based on color excitations of leptons and color bound

states of these excitations could correspond topologically condensed on string like objects but not fundamental string like objects.

### Spectrum of quarks

Earlier arguments [23] related to a model of CKM matrix as a rational unitary matrix suggested that the string tension parameter  $k$  is different for quarks, leptons, and bosons. The basic mass formula read as

$$M^2 = m_{CP_2}^2 + kL_0 .$$

The values of  $k$  were  $k_q = 2/3$  and  $k_L = k_B = 1$ . The general theory however predicts that  $k = 1$  for all particles.

1. By earlier mass calculations and construction of CKM matrix the ground state conformal weights of  $U$  and  $D$  type quarks must be  $h_{gr}(U) = -1$  and  $h_{gr}(D) = 0$ . The formulas for the eigenvalues of  $CP_2$  spinor Laplacian imply that if  $m_0^2$  is used as unit, color conformal weight  $h_c \equiv m_{CP_2}^2$  is integer for  $p \bmod = \pm 1$  for U type quark belonging to  $(p+1, p)$  type representation and obeying  $h_c(U) = (p^2 + 3p + 2)/3$  and for  $p \bmod 3 = 1$  for D type quark belonging  $(p, p+2)$  type representation and obeying  $h_c(D) = (p^2 + 4p + 4)/3$ . Only these states can be massless since color Hamiltonians have integer valued conformal weights.
2. In the recent case  $p = 1$  states correspond to  $h_c(U) = 2$  and  $h_c(D) = 3$ .  $h_{gr}(U) = -1$  and  $h_{gr}(D) = 0$  reproduce the previous results for quark masses required by the construction of CKM matrix. This forces the super-symplectic operator  $O$  to compensate the anomalous color to have a net conformal weight  $h_{sc} = -3$  just as in the leptonic case. The facts that the values of  $p$  are minimal for spinor harmonics and the super-symplectic operator is same for both quarks and leptons suggest that the construction is not had hoc. The real justification would come from the demonstration that  $h_{sc} = -3$  defines null state for SSV: this would also explain why  $h_{sc}$  would be same for all fermions.
3. It would seem that the tensor product of the spinor harmonic of quarks (as also leptons) with Hamiltonians gives rise to a large number of exotic colored states which have same thermodynamical mass as ordinary quarks (and leptons). Why these states have smaller values of p-adic prime than ordinary quarks and leptons, remains a challenge for the theory. Note that the decay widths of intermediate gauge bosons pose strong restrictions on the possible color excitations of quarks. On the other hand, the large number of fermionic color exotics can spoil the asymptotic freedom, and it is possible to have an entire p-adic length scale hierarchy of QCDs existing only in a finite length scale range without affecting the decay widths of gauge bosons.

The following table summarizes the color conformal weights and super-symplectic vacuum conformal weights for the elementary particles.

	$L$	$\nu_L$	$U$	$D$	$W$	$\gamma, G, g$
$h_{vac}$	-3	-3	-3	-3	-2	0
$h_c$	2	1	2	3	2	0

Table 2. The values of the parameters  $h_{vac}$  and  $h_c$  assuming that  $k = 1$ . The value of  $h_{vac} \leq -h_c$  is determined from the requirement that p-adic mass calculations give best possible fit to the mass spectrum.

### Photon, graviton and gluon

For photon, gluon and graviton the conformal weight of the  $p = 0$  ground state is  $h_{gr} = h_{vac} = 0$ . The crucial condition is that  $h = 0$  ground state is non-degenerate: otherwise one would obtain several physically more or less identical photons and this would be seen in the spectrum of black-body radiation. This occurs if one can construct several ground states not expressible in terms of the action of the Super Virasoro generators.



Masslessness or approximate masslessness requires low enough temperature  $T_p = 1/n$ ,  $n > 1$  at least and small enough value of the possible contribution coming from the ground state conformal weight.

In NS thermodynamics the only possibility to get exactly massless states in thermal sense is to have  $\Delta = 0$  state with one active sector so that NS thermodynamics becomes trivial due to the absence of the thermodynamical excitations satisfying the gauge conditions. For neutral gauge bosons this is indeed achieved. For  $T_p = 1/2$ , which is required by the mass spectrum of intermediate gauge bosons, the thermal contribution to the mass squared is however extremely small even for  $W$  boson.

## 16.4 Modular contribution to the mass squared

The success of the p-adic mass calculations gives convincing support for the generation-genus correspondence. The basic physical picture is following.

1. Fermionic mass squared is dominated by partonic contribution, which is sum of cm and modular contributions:  $M^2 = M^2(cm) + M^2(mod)$ . Here 'cm' refers to the thermal contribution. Modular contribution can be assumed to depend on the genus of the boundary component only.
2. If Higgs contribution for diagonal  $(g, g)$  bosons (singlets with respect to "topological"  $SU(3)$ ) dominates, the genus dependent contribution can be assumed to be negligible. This should be due to the bound state character of the wormhole contacts reducing thermal motion and thus the p-adic temperature.
3. Modular contribution to the mass squared can be estimated apart from an overall proportionality constant. The mass scale of the contribution is fixed by the p-adic length scale hypothesis. Elementary particle vacuum functionals are proportional to a product of all even theta functions and their conjugates, the number of even theta functions and their conjugates being  $2N(g) = 2^g(2^g + 1)$ . Also the thermal partition function must also be proportional to  $2N(g)$ :th power of some elementary partition function. This implies that thermal/ quantum expectation  $M^2(mod)$  must be proportional to  $2N(g)$ . Since single handle behaves effectively as particle, the contribution must be proportional to genus  $g$  also. The success of the resulting mass formula encourages the belief that the argument is essentially correct.

The challenge is to construct theoretical framework reproducing the modular contribution to mass squared. There are two alternative manners to understand the origin modular contribution.

1. The realization that super-symplectic algebra is relevant for elementary particle physics leads to the idea that two thermodynamics are involved with the calculation of the vacuum conformal weight as a thermal expectation. The first thermodynamics corresponds to Super Kac-Moody algebra and second thermodynamics to super-symplectic algebra. This approach allows a first principle understanding of the origin and general form of the modular contribution without any need to introduce additional structures in modular degrees of freedom. The very fact that super-symplectic algebra does not commute with the modular degrees of freedom explains the dependence of the super-symplectic contribution on moduli.
2. The earlier approach was based on the idea that the modular contribution could be regarded as a quantum mechanical expectation value of the Virasoro generator  $L_0$  for the elementary particle vacuum functional. Quantum treatment would require generalization the concepts of the moduli space and theta function to the p-adic context and finding an acceptable definition of the Virasoro generator  $L_0$  in modular degrees of freedom. The problem with this interpretation is that it forces to introduce, not only Virasoro generator  $L_0$ , but the entire super Virasoro algebra in modular degrees of freedom. One could also consider of interpreting the contribution of modular degrees of freedom to vacuum conformal weight as being analogous to that of  $CP_2$  Laplacian but also this would raise the challenge of constructing corresponding Dirac operator. Obviously this approach has become obsolete.

The thermodynamical treatment taking into account the constraints from that p-adicization is possible might go along following lines.

1. In the real case the basic quantity is the thermal expectation value  $h(M)$  of the conformal weight as a function of moduli. The average value of the deviation  $\Delta h(M) = h(M) - h(M_0)$  over moduli space  $\mathcal{M}$  must be calculated using elementary particle vacuum functional as a modular invariant partition function. Modular invariance is achieved if this function is proportional to the logarithm of elementary particle vacuum functional: this reproduces the qualitative features basic formula for the modular contribution to the conformal weight. p-Adicization leads to a slight modification of this formula.
2. The challenge of algebraically continuing this calculation to the p-adic context involves several sub-tasks. The notions of moduli space  $\mathcal{M}_p$  and theta function must be defined in the p-adic context. An appropriately defined logarithm of the p-adic elementary particle vacuum functional should determine  $\Delta h(M)$ . The average of  $\Delta h(M)$  requires an integration over  $\mathcal{M}_p$ . The problems related to the definition of this integral could be circumvented if the integral in the real case could be reduced to an algebraic expression, or if the moduli space is discrete in which case integral could be replaced by a sum.
3. The number theoretic existence of the p-adic  $\Theta$  function leads to the quantization of the moduli so that the p-adic moduli space is discretized. Accepting the sharpened form of Riemann hypothesis [26], the quantization means that the imaginary *resp.* real parts of the moduli are proportional to integers *resp.* combinations of imaginary parts of zeros of Riemann Zeta. This quantization could occur also for the real moduli for the maxima of Kähler function. This reduces the problematic p-adic integration to a sum and the resulting sum defining  $\langle \Delta h \rangle$  converges extremely rapidly for physically interesting primes so that only the few lowest terms are needed.

### 16.4.1 Conformal symmetries and modular invariance

The full SKM invariance means that the super-conformal fields depend only on the conformal moduli of 2-surface characterizing the conformal equivalence class of the 2-surface. This means that all induced metrics differing by a mere Weyl scaling have same moduli. This symmetry is extremely powerful since the space of moduli is finite-dimensional and means that the entire infinite-dimensional space of deformations of parton 2-surface  $X^2$  degenerates to a finite-dimensional moduli spaces under conformal equivalence. Obviously, the configurations of given parton correspond to a fiber space having moduli space as a base space. Super-symplectic degrees of freedom could break conformal invariance in some appropriate sense.

#### Conformal and SKM symmetries leave moduli invariant

Conformal transformations and super Kac Moody symmetries must leave the moduli invariant. This means that they induce a mere Weyl scaling of the induced metric of  $X^2$  and thus preserve its non-diagonal character  $ds^2 = g_{z\bar{z}} dz d\bar{z}$ . This is indeed true if

1. the Super Kac Moody symmetries are holomorphic isometries of  $X^7 = \delta M_{\pm}^4 \times CP_2$  made local with respect to the complex coordinate  $z$  of  $X^2$ , and
2. the complex coordinates of  $X^7$  are holomorphic functions of  $z$ .

Using complex coordinates for  $X^7$  the infinitesimal generators can be written in the form

$$J^{An} = z^n j^{Ak} D_k + \bar{z}^n j^{A\bar{k}} D_{\bar{k}}. \quad (16.4.1)$$

The intuitive picture is that it should be possible to choose  $X^2$  freely. It is however not always possible to choose the coordinate  $z$  of  $X^2$  in such a manner that  $X^7$  coordinates are holomorphic functions of  $z$  since a consistency of inherent complex structure of  $X^2$  with that induced from  $X^7$  is required. Geometrically this is like meeting of two points in the space of moduli.

Lorentz boosts produce new inequivalent choices of  $S^2$  with their own complex coordinate: this set of complex structures is parameterized by the hyperboloid of future light cone (Lobatchevski space or mass shell), but even this is not enough. The most plausible manner to circumvent the problem is that only the maxima of Kähler function correspond to the holomorphic situation so that super-symplectic algebra representing quantum fluctuations would induce conformal anomaly.

### The isometries of $\delta M_+^4$ are in one-one correspondence with conformal transformations

For  $CP_2$  factor the isometries reduce to  $SU(3)$  group acting also as symplectic transformations. For  $\delta M_+^4 = S^2 \times R_+$  one might expect that isometries reduce to Lorentz group containing rotation group of  $SO(3)$  as conformal isometries. If  $r_M$  corresponds to a macroscopic length scale, then  $X^2$  has a finite sized  $S^2$  projection which spans a rather small solid angle so that group  $SO(3)$  reduces in a good approximation to the group  $E^2 \times SO(2)$  of translations and rotations of plane.

This expectation is however wrong! The light-likeness of  $\delta M_+^4$  allows a dramatic generalization of the notion of isometry. The point is that the conformal transformations of  $S^2$  induce a conformal factor  $|df/dw|^2$  to the metric of  $\delta M_+^4$  and the local radial scaling  $r_M \rightarrow r_M/|df/dw|$  compensates it. Hence the group of conformal isometries consists of conformal transformations of  $S^2$  with compensating radial scalings. This compensation of two kinds of conformal transformations is the deep geometric phenomenon which translates to the condition  $L_{SC} - L_{SKM} = 0$  in the sub-space of physical states. Note that an analogous phenomenon occurs also for the light-like CDs  $X_l^3$  with respect to the metrically 2-dimensional induced metric.

The  $X^2$ -local radial scalings  $r_M \rightarrow r_M(z, \bar{z})$  respect the conditions  $g_{zz} = g_{\bar{z}\bar{z}} = 0$  so that a mere Weyl scaling leaving moduli invariant results. By multiplying the conformal isometries of  $\delta M_+^4$  by  $z^n$  ( $z$  is used as a complex coordinate for  $X^2$  and  $w$  as a complex coordinate for  $S^2$ ) a conformal localization of conformal isometries would result. Kind of double conformal transformations would be in question. Note however that this requires that  $X^7$  coordinates are holomorphic functions of  $X^2$  coordinate. These transformations deform  $X^2$  unlike the conformal transformations of  $X^2$ . For  $X_l^3$  similar local scalings of the light like coordinate leave the moduli invariant but lead out of  $X^7$ .

### Symplectic transformations break the conformal invariance

In general, infinitesimal symplectic transformations induce non-vanishing components  $g_{zz}, g_{\bar{z}\bar{z}}$  of the induced metric and can thus change the moduli of  $X^2$ . Thus the quantum fluctuations represented by super-symplectic algebra and contributing to the configuration space metric are in general moduli changing. It would be interesting to know explicitly the conditions (the number of which is the dimension of moduli space for a given genus), which guarantee that the infinitesimal symplectic transformation is moduli preserving.

### 16.4.2 The physical origin of the genus dependent contribution to the mass squared

Different p-adic length scales are not enough to explain the charged lepton mass ratios and an additional genus dependent contribution in the fermionic mass formula is required. The general form of this contribution can be guessed by regarding elementary particle vacuum functionals in the modular degrees of freedom as an analog of partition function and the modular contribution to the conformal weight as an analog of thermal energy obtained by averaging over moduli. p-Adic length scale hypothesis determines the overall scale of the contribution.

The exact physical origin of this contribution has remained mysterious but super-symplectic degrees of freedom represent a good candidate for the physical origin of this contribution. This would mean a sigh of relief since there would be no need to assign conformal weights, super-algebra, Dirac operators, Laplacians, etc.. with these degrees of freedom.

### Thermodynamics in super-symplectic degrees of freedom as the origin of the modular contribution to the mass squared

The following general picture is the simplest found hitherto.

1. Elementary particle vacuum functionals are defined in the space of moduli of surfaces  $X^2$  corresponding to the maxima of Kähler function. There some restrictions on  $X^2$ . In particular, p-adic length scale poses restrictions on the size of  $X^2$ . There is an infinite hierarchy of elementary particle vacuum functionals satisfying the general constraints but only the lowest elementary particle vacuum functionals are assumed to contribute significantly to the vacuum expectation value of conformal weight determining the mass squared value.

2. The contribution of Super-Kac Moody thermodynamics to the vacuum conformal weight  $h$  coming from Virasoro excitations of the  $h = 0$  massless state is estimated in the previous calculations and does not depend on moduli. The new element is that for a partonic 2-surface  $X^2$  with given moduli, Virasoro thermodynamics is present also in super-symplectic degrees of freedom.

Super-symplectic thermodynamics means that, besides the ground state with  $h_{gr} = -h_{SC}$  with minimal value of super-symplectic conformal weight  $h_{SC}$ , also thermal excitations of this state by super-symplectic Virasoro algebra having  $h_{gr} = -h_{SC} - n$  are possible. For these ground states the SKM Virasoro generators creating states with net conformal weight  $h = h_{SKM} - h_{SC} - n \geq 0$  have larger conformal weight so that the SKM thermal average  $h$  depends on  $n$ . It depends also on the moduli  $M$  of  $X^2$  since the Beltrami differentials representing a tangent space basis for the moduli space  $\mathcal{M}$  do not commute with the super-symplectic algebra. Hence the thermally averaged SKM conformal weight  $h_{SKM}$  for given values of moduli satisfies

$$h_{SKM} = h(n, M) . \quad (16.4.2)$$

3. The average conformal weight induced by this double thermodynamics can be expressed as a super-symplectic thermal average  $\langle \cdot \rangle_{SC}$  of the SKM thermal average  $h(n, M)$ :

$$h(M) = \langle h(n, M) \rangle_{SC} = \sum p_n(M) h(n) , \quad (16.4.3)$$

where the moduli dependent probability  $p_n(M)$  of the super-symplectic Virasoro excitation with conformal weight  $n$  should be consistent with the p-adic thermodynamics. It is convenient to write  $h(M)$  as

$$h(M) = h_0 + \Delta h(M) , \quad (16.4.4)$$

where  $h_0$  is the minimum value of  $h(M)$  in the space of moduli. The form of the elementary particle vacuum functionals suggest that  $h_0$  corresponds to moduli with  $Im(\Omega_{ij}) = 0$  and thus to singular configurations for which handles degenerate to one-dimensional lines attached to a sphere.

4. There is a further averaging of  $\Delta h(M)$  over the moduli space  $\mathcal{M}$  by using the modulus squared of elementary particle vacuum functional so that one has

$$h = h_0 + \langle \Delta h(M) \rangle_{\mathcal{M}} . \quad (16.4.5)$$

Modular invariance allows to pose very strong conditions on the functional form of  $\Delta h(M)$ . The simplest assumption guaranteeing this and thermodynamical interpretation is that  $\Delta h(M)$  is proportional to the logarithm of the vacuum functional  $\Omega$ :

$$\Delta h(M) \propto -\log\left(\frac{\Omega(M)}{\Omega_{max}}\right) . \quad (16.4.6)$$

Here  $\Omega_{max}$  corresponds to the maximum of  $\Omega$  for which  $\Delta h(M)$  vanishes.

**Justification for the general form of the mass formula**

The proposed general ansatz for  $\Delta h(M)$  provides a justification for the general form of the mass formula deduced by intuitive arguments.

1. The factorization of the elementary particle vacuum functional  $\Omega$  into a product of  $2N(g) = 2^g(2^g + 1)$  terms and the logarithmic expression for  $\Delta h(M)$  imply that the thermal expectation values is a sum over thermal expectation values over  $2N(g)$  terms associated with various even characteristics  $(a, b)$ , where  $a$  and  $b$  are  $g$ -dimensional vectors with components equal to  $1/2$  or  $0$  and the inner product  $4a \cdot b$  is an even integer. If each term gives the same result in the averaging using  $\Omega_{vac}$  as a partition function, the proportionality to  $2N_g$  follows.
2. For genus  $g \geq 2$  the partition function defines an average in  $3g - 3$  complex-dimensional space of moduli. The analogy of  $\langle \Delta h \rangle$  and thermal energy suggests that the contribution is proportional to the complex dimension  $3g - 3$  of this space. For  $g \leq 1$  the contribution the complex dimension of moduli space is  $g$  and the contribution would be proportional to  $g$ .

$$\begin{aligned} \langle \Delta h \rangle &\propto g \times X(g) \text{ for } g \leq 1, \\ \langle \Delta h \rangle &\propto (3g - 3) \times X(g) \text{ for } g \geq 2, \\ X(g) &= 2^g(2^g + 1). \end{aligned} \tag{16.4.7}$$

If  $X^2$  is hyper-elliptic for the maxima of Kähler function, this expression makes sense only for  $g \leq 2$  since vacuum functionals vanish for hyper-elliptic surfaces.

3. The earlier argument, inspired by the interpretation of elementary particle vacuum functional as a partition function, was that each factor of the elementary particle vacuum functional gives the same contribution to  $\langle \Delta h \rangle$ , and that this contribution is proportional to  $g$  since each handle behaves like a particle:

$$\langle \Delta h \rangle \propto g \times X(g). \tag{16.4.8}$$

The prediction following from the previous differs by a factor  $(3g - 3)/g$  for  $g \geq 2$ . This would scale up the dominant modular contribution to the masses of the third  $g = 2$  fermionic generation by a factor  $\sqrt{3/2} \simeq 1.22$ . One must of course remember, that these rough arguments allow  $g$ -dependent numerical factors of order one so that it is not possible to exclude either argument.

**16.4.3 Generalization of  $\Theta$  functions and quantization of p-adic moduli**

The task is to find p-adic counterparts for theta functions and elementary particle vacuum functionals. The constraints come from the p-adic existence of the exponentials appearing as the summands of the theta functions and from the convergence of the sum. The exponentials must be proportional to powers of  $p$  just as the Boltzmann weights defining the p-adic partition function. The outcome is a quantization of moduli so that integration can be replaced with a summation and the average of  $\Delta h(M)$  over moduli is well defined.

It is instructive to study the problem for torus in parallel with the general case. The ordinary moduli space of torus is parameterized by single complex number  $\tau$ . The points related by  $SL(2, Z)$  are equivalent, which means that the transformation  $\tau \rightarrow (A\tau + B)/(C\tau + D)$  produces a point equivalent with  $\tau$ . These transformations are generated by the shift  $\tau \rightarrow \tau + 1$  and  $\tau \rightarrow -1/\tau$ . One can choose the fundamental domain of moduli space to be the intersection of the slice  $Re(\tau) \in [-1/2, 1/2]$  with the exterior of unit circle  $|\tau| = 1$ . The idea is to start directly from physics and to look whether one might some define p-adic version of elementary particle vacuum functionals in the p-adic counter part of this set or in some modular invariant subset of this set.

Elementary particle vacuum functionals are expressible in terms of theta functions using the functions  $\Theta^4[a, b] \overline{\Theta}^4[a, b]$  as a building block. The general expression for the theta function reads as

$$\Theta[a, b](\Omega) = \sum_n \exp(i\pi(n+a) \cdot \Omega \cdot (n+a)) \exp(2i\pi(n+a) \cdot b) . \quad (16.4.9)$$

The latter exponential phase gives only a factor  $\pm i$  or  $\pm 1$  since  $4a \cdot b$  is integer. For  $p \bmod 4 = 3$  imaginary unit exists in an algebraic extension of p-adic numbers. In the case of torus  $(a, b)$  has the values  $(0, 0)$ ,  $(1/2, 0)$  and  $(0, 1/2)$  for torus since only even characteristics are allowed.

Concerning the p-adicization of the first exponential appearing in the summands in Eq. 16.4.9, the obvious problem is that  $\pi$  does not exist p-adically unless one allows infinite-dimensional extension.

1. Consider first the real part of  $\Omega$ . In this case the proper manner to treat the situation is to introduce an algebraic extension involving roots of unity so that  $Re(\Omega)$  rational. This approach is proposed as a general approach to the p-adicization of quantum TGD in terms of harmonic analysis in symmetric spaces allowing to define integration also in p-adic context in a physically acceptable manner by reducing it to Fourier analysis. The simplest situation corresponds to integer values for  $Re(\Omega)$  and in this case the phase are equal to  $\pm i$  or  $\pm 1$  since  $a$  is half-integer valued. One can consider a hierarchy of variants of moduli space characterized by the allowed roots of unity. The physical interpretation for this hierarchy would be in terms of a hierarchy of measurement resolutions. Note that the real parts of  $\Omega$  can be assumed to be rationals of form  $m/n$  where  $n$  is constructed as a product of finite number of primes and therefore the allowed rationals are linear combinations of inverses  $1/p_i$  for a subset  $\{p_i\}$  of primes.
2. For the imaginary part of  $\Omega$  different approach is required. One wants a rapid convergence of the sum formula and this requires that the exponents reduce in this case to positive powers of  $p$ . This is achieved if one has

$$Im(\Omega) = -n \frac{\log(p)}{\pi} , \quad (16.4.10)$$

Unfortunately this condition is not consistent with the condition  $Im(\Omega) > 0$ . A manner to circumvent the difficulty is to replace  $\Omega$  with its complex conjugate. Second approach is to define the real discretized variant of theta function first and then map it by canonical identification to its p-adic counterpart: this would map phase to phases and powers of  $p$  to their inverses. Note that a similar change of sign must be performed in p-adic thermodynamics for powers of  $p$  to map p-adic probabilities to real ones. By rescaling  $Im(\Omega) \rightarrow \frac{\log(p)}{\pi} Im(\Omega)$  one has non-negative integer valued spectrum for  $Im(\Omega)$  making possible to reduce integration in moduli space to a summation over finite number of rationals associated with the real part of  $\Omega$  and powers of  $p$  associated with the imaginary part of  $\Omega$ .

3. Since the exponents appearing in

$$p^{(n+a) \cdot Im(\Omega_{ij,p}) \cdot (n+a)} = p^{a \cdot Im(\Omega) \cdot a} \times p^{2a \cdot Im(\Omega) \cdot n} \times p^{+n \cdot Im(\Omega_{ij,p}) \cdot n}$$

are positive integers valued,  $\Theta_{[a,b]}$  exist in  $R_p$  and converges. The problematic factor is the first exponent since the components of the vector  $a$  can have values  $1/2$  and  $0$  and its existence implies a quantization of  $Im(\Omega_{ij})$  as

$$Im(\Omega) = -Kn \frac{\log(p)}{p} , \quad n \in Z , \quad n \geq 1 , \quad (16.4.11)$$

In p-adic context this condition must be formulated for the exponent of  $\Omega$  defining the natural coordinate.  $K = 4$  guarantees the existence of  $\Theta$  functions and  $K = 1$  the existence of the building blocks  $\Theta^4[a, b] \bar{\Theta}^4[a, b]$  of elementary particle vacuum functionals in  $R_p$ . The extension to higher genera means only replacement of  $\Omega$  with the elements of a matrix.

4. One can criticize this approach for the loss of the full modular covariance in the definition of theta functions. The modular transformations  $\Omega \rightarrow \Omega + n$  are consistent with the number theoretic constraints but the transformations  $\Omega \rightarrow -1/\Omega$  do not respect them. It seem that one can circumvent the difficulty by restricting the consideration to a fundamental domain satisfying the number theoretic constraints.

This variant of moduli space is discrete and p-adicity is reflected only in the sense that the moduli space makes sense also p-adically. One can consider also a continuum variant of the p-adic moduli space using the same prescription as in the construction of p-adic symmetric spaces [24].

1. One can introduce  $\exp(i\pi Re(\Omega))$  as the counterpart of  $Re(\Omega)$  as a coordinate of the Teichmueller space. This coordinate makes sense only as a local coordinate since it does not differentiate between  $Re(\Omega)$  and  $Re(\Omega + 2n)$ . On the other hand, modular invariance states that  $\Omega$  and  $\Omega + n$  correspond to the same moduli so that nothing is lost. In the similar manner one can introduce  $\exp(\pi Im(\Omega)) \in \{p^n, n > 0\}$  as the counterpart of discretized version of  $Im(\Omega)$ .
2. The extension to continuum would mean in the case of  $Re(\Omega)$  the extension of the phase  $\exp(i\pi Re(\Omega))$  to a product  $\exp(i\pi Re(\Omega))\exp(ipx) = \exp(i\pi Re(\Omega) + \exp(ipx))$ , where  $x$  is p-adic integer which can be also infinite as a real integer. This would mean that each root of unity representing allowed value  $Re(\Omega)$  would have a p-adic neighborhood consisting of p-adic integers. This neighborhood would be the p-adic counterpart for the angular integral  $\Delta\phi$  for a given root of unity and would not make itself visible in p-adic integration.
3. For the imaginary part one can also consider the extension of  $\exp(\pi Im(\Omega))$  to  $p^n \times \exp(np\pi x)$  where  $x$  is a p-adic integer. This would assign to each point  $p^n$  a p-adic neighborhood defined by p-adic integers. This neighborhood is same all integers  $n$  with same p-adic norm. When  $n$  is proportional to  $p^k$  one has  $\exp(np\pi x) - 1 \propto p^k$ .

The quantization of moduli characterizes precisely the conformal properties of the partonic 2-surfaces corresponding to different p-adic primes. In the real context -that is in the intersection of real and p-adic worlds- the quantization of moduli of torus would correspond to

$$\tau = K \left[ \sum q + i \times n \frac{\log(p)}{\pi} \right], \tag{16.4.12}$$

where  $q$  is a rational number expressible as linear combination of inverses of a finite fixed set of primes defining the allowed roots of unity.  $K = 1$  guarantees the existence of elementary particle vacuum functionals and  $K = 4$  the existence of Theta functions. The ratio for the complex vectors defining the sides of the plane parallelogram defining torus via the identification of the parallel sides is quantized. In other words, the angles  $\Phi$  between the sides and the ratios of the sides given by  $|\tau|$  have quantized values.

The quantization rules for the moduli of the higher genera is of exactly same form

$$\Omega_{ij} = K \left[ \sum q_{ij} + i \times n_{ij} \times \frac{\log(p)}{\pi} \right], \tag{16.4.13}$$

If the quantization rules hold true also for the maxima of Kähler function in the real context or more precisely- in the intersection of real and p-adic variants of the "world of classical worlds" identified as partonic 2-surfaces at the boundaries of causal diamond plus the data about their 4-D tangent space, there are good hopes that the p-adicized expression for  $\Delta h$  is obtained by a simple algebraic continuation of the real formula. Thus p-adic length scale would characterize partonic surface  $X^2$  rather than the light like causal determinant  $X_l^3$  containing  $X^2$ . Therefore the idea that various p-adic primes label various  $X_l^3$  connecting fixed partonic surfaces  $X_i^2$  would not be correct.

Quite generally, the quantization of moduli means that the allowed 2-dimensional shapes form a lattice and are thus additive. It also means that the maxima of Kähler function would obey a linear superposition in an extreme abstract sense. The proposed number theoretical quantization is

expected to apply for any complex space allowing some preferred complex coordinates. In particular, configuration space of 2-surfaces could allow this kind of quantization in the complex coordinates naturally associated with isometries and this could allow to define configuration space integration, at least the counterpart of integration in zero mode degrees of freedom, as a summation.

Number theoretic vision leads to the notion of multi-p-adicity in the sense that the same partonic 2-surface can correspond to several p-adic primes and that infinite primes code for these primes [16, 18]. At the level of the moduli space this corresponds to the replacement of  $p$  with an integer in the formulas so that one can interpret the formulas both in real sense and p-adic sense for the primes  $p$  dividing the integer. Also the exponent of given prime in the integer matters. The construction of generalized eigen modes of Chern-Simons Dirac operator leads to the proposal that the collection of infinite primes characterizing infinite prime characterizes the geometry of the orbit of partonic 2-surface [18]. It would not be too surprising if this connection would reduce to the proposed discretization of the modular parameters of the partonic 2-surface.

#### 16.4.4 The calculation of the modular contribution $\langle \Delta h \rangle$ to the conformal weight

The quantization of the moduli implies that the integral over moduli can be defined as a sum over moduli. The theta function  $\Theta[a, b](\Omega)_p(\tau_p)$  is proportional to  $p^{a \cdot a \text{Im}(\Omega_{ij,p})} = p^{Kn_{ij}m(a)/4}$  for  $a \cdot a = m(a)/4$ , where  $K = 1$  resp.  $K = 4$  corresponds to the existence of elementary particle vacuum functionals resp. theta functions in  $R_p$ . These powers of  $p$  can be extracted from the thetas defining the vacuum functional. The numerator of the vacuum functional gives  $(p^n)^{2K \sum_{a,b} m(a)}$ . The denominator gives  $(p^n)^{2K \sum_{a,b} m(a_0)}$ , where  $a_0$  corresponds to the minimum value of  $m(a)$ .  $a_0 = (0, 0, \dots, 0)$  is allowed and gives  $m(a_0) = 0$  so that the p-adic norm of the denominator equals to one. Hence one has

$$|\Omega_{vac}(\Omega_p)|_p = p^{-2nK \sum_{a,b} m(a)} \quad (16.4.14)$$

The sum converges extremely rapidly for large values of  $p$  as function of  $n$  so that in practice only few moduli contribute.

The definition of  $\log(\Omega_{vac})$  poses however problems since in  $\log(p)$  does not exist as a p-adic number in any p-adic number field. The argument of the logarithm should have a unit p-adic norm. The simplest manner to circumvent the difficulty is to use the fact that the p-adic norm  $|\Omega_p|_p$  is also a modular invariant, and assume that the contribution to conformal weight depends on moduli as

$$\Delta h_p(\Omega_p) \propto \log\left(\frac{\Omega_{vac}}{|\Omega_{vac}|_p}\right). \quad (16.4.15)$$

The sum defining  $\langle \Delta h_p \rangle$  converges extremely rapidly and gives a result of order  $O(p)$  p-adically as required.

The p-adic expression for  $\langle \Delta h_p \rangle$  should result from the corresponding real expression by an algebraic continuation. This encourages the conjecture that the allowed moduli are quantized for the maxima of Kähler function, so that the integral over the moduli space is replaced with a sum also in the real case, and that  $\Delta h$  given by the double thermodynamics as a function of moduli can be defined as in the p-adic case. The positive power of  $p$  multiplying the numerator could be interpreted as a degeneracy factor. In fact, the moduli are not primary dynamical variables in the case of the induced metric, and there must be a modular invariant weight factor telling how many 2-surfaces correspond to given values of moduli. The power of  $p$  could correspond to this factor.

### 16.5 General mass formulas for non-Higgsy contributions

In the sequel various contributions to the mass squared are discussed.



### 16.5.1 General mass squared formula

The thermal independence of Super Virasoro and modular degrees of freedom implies that mass squared for elementary particle is the sum of Super Virasoro, modular and Higgsy contributions:

$$M^2 = M^2(\text{color}) + M^2(SV) + M^2(\text{mod}) + M^2(\text{Higgsy}) . \quad (16.5.1)$$

Also small renormalization correction contributions might be possible.

### 16.5.2 Color contribution to the mass squared

The mass squared contains a non-thermal color contribution to the ground state conformal weight coming from the mass squared of  $CP_2$  spinor harmonic. The color contribution is an integer multiple of  $m_0^2/3$ , where  $m_0^2 = 2\Lambda$  denotes the 'cosmological constant' of  $CP_2$  ( $CP_2$  satisfies Einstein equations  $G^{\alpha\beta} = \Lambda g^{\alpha\beta}$ ).

The color contribution to the p-adic mass squared is integer valued only if  $m_0^2/3$  is taken as a fundamental p-adic unit of mass squared. This choice has an obvious relevance for p-adic mass calculations since the simplest form of the canonical identification does not commute with a division by integer. More precisely, the image of number  $xp$  in canonical identification has a value of order 1 when  $x$  is a non-trivial rational number whereas for  $x = np$  the value is  $n/p$  and extremely is small for physically interesting primes.

The choice of the p-adic mass squared unit are no effects on zeroth order contribution which must vanish for light states: this requirement eliminates quark and lepton states for which the  $CP_2$  contribution to the mass squared is not integer valued using  $m_0^2$  as a unit. There can be a dramatic effect on the first order contribution. The mass squared  $m^2 = p/3$  using  $m_0^2/3$  means that the particle is light. The mass squared becomes  $m^2 = p/3$  when  $m_0^2$  is used as a unit and the particle has mass of order  $10^{-4}$  Planck masses. In the case of  $W$  and  $Z^0$  bosons this problem is actually encountered. For light states using  $m_0^2/3$  as a unit only the second order contribution to the mass squared is affected by this choice.

### 16.5.3 Modular contribution to the mass of elementary particle

The general form of the modular contribution is derivable from p-adic partition function for conformally invariant degrees of freedom associated with the boundary components. The general form of the vacuum functionals as modular invariant functions of Teichmuller parameters was derived in [31] and the square of the elementary particle vacuum functional can be identified as a partition function. Even theta functions serve as basic building blocks and the functionals are proportional to the product of all even theta functions and their complex conjugates. The number of theta functions for genus  $g > 0$  is given by

$$N(g) = 2^{g-1}(2^g + 1) . \quad (16.5.2)$$

One has  $N(1) = 3$  for muon and  $N(2) = 10$  for  $\tau$ .

1. Single theta function is analogous to a partition function. This implies that the modular contribution to the mass squared must be proportional to  $2N(g)$ . The factor two follows from the presence of both theta functions and their conjugates in the partition function.
2. The factorization properties of the vacuum functionals imply that handles behave effectively as particles. For example, at the limit, when the surface splits into two pieces with  $g_1$  and  $g - g_1$  handles, the partition function reduces to a product of  $g_1$  and  $g - g_1$  partition functions. This implies that the contribution to the mass squared is proportional to the genus of the surface. Altogether one has

$$\begin{aligned} M^2(\text{mod}, g) &= 2k(\text{mod})N(g)g\frac{m_0^2}{p} , \\ k(\text{mod}) &= 1 . \end{aligned} \quad (16.5.3)$$

Here  $k(mod)$  is some integer valued constant (in order to avoid ultra heavy mass) to be determined.  $k(mod) = 1$  turns out to be the correct choice for this parameter.

Summarizing, the real counterpart of the modular contribution to the mass of a particle belonging to  $g + 1$ :th generation reads as

$$\begin{aligned}
 M^2(mod) &= 0 \text{ for } e, \nu_e, u, d \text{ ,} \\
 M^2(mod) &= 9 \frac{m_0^2}{p(X)} \text{ for } X = \mu, \nu_\mu, c, s \text{ ,} \\
 M^2(mod) &= 60 \frac{m_0^2}{p(X)} \text{ for } X = \tau, \nu_\tau, t, b \text{ .}
 \end{aligned} \tag{16.5.4}$$

The requirement that hadronic mass spectrum and CKM matrix are sensible however forces the modular contribution to be the same for quarks, leptons and bosons. The higher order modular contributions to the mass squared are completely negligible if the degeneracy of massless state is  $D(0, mod, g) = 1$  in the modular degrees of freedom as is in fact required by  $k(mod) = 1$ .

#### 16.5.4 Thermal contribution to the mass squared

One can deduce the value of the thermal mass squared in order  $O(p^2)$  (an excellent approximation) using the general mass formula given by p-adic thermodynamics. Assuming maximal p-adic temperature  $T_p = 1$  one has

$$\begin{aligned}
 M^2 &= k(sp + Xp^2 + O(p^3)) \text{ ,} \\
 s_\Delta &= \frac{D(\Delta + 1)}{D(\Delta)} \text{ ,} \\
 X_\Delta &= 2 \frac{D(\Delta + 2)}{D(\Delta)} - \frac{D^2(\Delta + 1)}{D^2(\Delta)} \text{ ,} \\
 k &= 1 \text{ .}
 \end{aligned} \tag{16.5.5}$$

$\Delta$  is the conformal weight of the operator creating massless state from the ground state.

The ratios  $r_n = D(n+1)/D(n)$  allowing to deduce the values of  $s$  and  $X$  have been deduced from p-adic thermodynamics in [20]. Light state is obtained only provided  $r(\Delta)$  is an integer. The remarkable result is that for lowest lying states this is the case. For instance, for Ramond representations the values of  $r_n$  are given by

$$(r_0, r_1, r_2, r_3) = (8, 5, 4, \frac{55}{16}) \text{ .} \tag{16.5.6}$$

The values of  $s$  and  $X$  are

$$\begin{aligned}
 (s_0, s_1, s_2) &= (8, 5, 4) \text{ ,} \\
 (X_0, X_1, X_2) &= (16, 15, 11 + 1/2) \text{ .}
 \end{aligned} \tag{16.5.7}$$

The result means that second order contribution is extremely small for quarks and charged leptons having  $\Delta < 2$ . For neutrinos having  $\Delta = 2$  the second order contribution is non-vanishing.

#### 16.5.5 The contribution from the deviation of ground state conformal weight from negative integer

The interpretation inspired by p-adic mass calculations is that the squares  $\lambda_i^2$  of the eigenvalues of the modified Dirac operator correspond to the conformal weights of ground states. Another natural physical interpretation of  $\lambda$  is as an analog of the Higgs vacuum expectation. The instability of the Higgs=0 phase would corresponds to the fact that  $\lambda = 0$  mode is not localized to any region in which

ew magnetic field or induced Kähler field is non-vanishing. A good guess is that induced Kähler magnetic field  $B_K$  dictates the magnitude of the eigenvalues which is thus of order  $h_0 = \sqrt{B_K R}$ ,  $R$   $CP_2$  radius. The first guess is that eigenvalues in the first approximation come as  $(n + 1/2)h_0$ . Each region where induced Kähler field is non-vanishing would correspond to different scale mass scale  $h_0$ .

1. The vacuum expectation value of Higgs is only proportional to an eigenvalue  $\lambda$ , not equal to it. Indeed, Higgs and gauge bosons as elementary particles correspond to wormhole contacts carrying fermion and antifermion at the two wormhole throats and must be distinguished from the space-time correlate of its vacuum expectation as something proportional to  $\lambda$ . In the fermionic case the vacuum expectation value of Higgs does not seem to be even possible since fermions do not correspond to wormhole contacts between two space-time sheets but possess only single wormhole throat (p-adic mass calculations are consistent with this).
2. Physical considerations suggest that the vacuum expectation of Higgs field corresponds to a particular eigenvalue  $\lambda_i$  of modified Dirac operator so that the eigenvalues  $\lambda_i$  would define TGD counterparts for the minima of Higgs potential. Since the vacuum expectation of Higgs corresponds to a condensate of wormhole contacts giving rise to a coherent state, the vacuum expectation cannot be present for topologically condensed  $CP_2$  type vacuum extremals representing fermions since only single wormhole throat is involved. This raises a hen-egg question about whether Higgs contributes to the mass or whether Higgs is only a correlate for massivation having description using more profound concepts. From TGD point of view the most elegant option is that Higgs does not give rise to mass but Higgs vacuum expectation value accompanies bosonic states and is naturally proportional to  $\lambda_i$ . With this interpretation  $\lambda_i$  could give a contribution to both fermionic and bosonic masses.
3. If the coset construction for super-symplectic and super Kac-Moody algebra implying Equivalence Principle is accepted, one encounters what looks like a problem. p-Adic mass calculations require negative ground state conformal weight compensated by Super Virasoro generators in order to obtain massless states. The tachyonicity of the ground states would mean a close analogy with both string models and Higgs mechanism.  $\lambda_i^2$  is very natural candidate for the ground state conformal weights identified but would have wrong sign if the effective metric of  $X_i^3$  defined by the inner products  $T_K^{k\alpha} T_K^{l\beta} h_{kl}$  of the Kähler energy momentum tensor  $T^{k\alpha} = h^{kl} \partial L_K / \partial h_\alpha^l$  and appearing in the modified Dirac operator  $D_K$  has Minkowskian signature.

The situation changes if the effective metric has Euclidian signature. This seems to be the case for the light-like surfaces assignable to the known extremals such as MEs and cosmic strings. In this kind of situation light-like coordinate possesses Euclidian signature and real eigenvalue spectrum is replaced with a purely imaginary one. Since Dirac operator is in question both signs for eigenvalues are possible and one obtains both exponentially increasing and decreasing solutions. This is essential for having solutions extending from the past end of  $X_i^3$  to its future end. Non-unitary time evolution is possible because  $X_i^3$  does not strictly speaking represent the time evolution of 2-D dynamical object but actual dynamical objects (by light-likeness both interpretation as dynamical evolution and dynamical object are present). The Euclidian signature of the effective metric would be a direct analog for the tachyonicity of the Higgs in unstable minimum and the generation of Higgs vacuum expectation would correspond to the compensation of ground state conformal weight by conformal weights of Super Virasoro generators.

4. In accordance with this  $\lambda_i^2$  would give constant contribution to the ground state conformal weight. What contributes to the thermal mass squared is the deviation of the ground state conformal weight from half-odd integer since the negative integer part of the total conformal weight can be compensated by applying Virasoro generators to the ground state. The first guess motivated by cyclotron energy analogy is that the lowest conformal weights are of form  $h_c = \lambda_i^2 = -1/2 - n + \Delta h_c$  so that lowest ground state conformal weight would be  $h_c = -1/2$  in the first approximation. The negative integer part of the net conformal weight can be canceled using Super Virasoro generators but  $\Delta h_c$  would give to mass squared a contribution analogous to Higgs contribution. The mapping of the real ground state conformal weight to a p-adic number by canonical identification involves some delicacies.

5. p-Adic mass calculations are consistent with the assumption that Higgs type contribution is vanishing (that is small) for fermions and dominates for gauge bosons. This requires that the deviation of  $\lambda_i^2$  with smallest magnitude from half-odd integer value in the case of fermions is considerably smaller than in the case of gauge bosons in the scale defined by p-adic mass scale  $1/L(k)$  in question. Somehow this difference could relate to the fact that bosons correspond to pairs of wormhole throats.

### 16.5.6 General mass formula for Ramond representations

By taking the modular contribution from the boundaries into account the general p-adic mass formulas for the Ramond type states read for states for which the color contribution to the conformal weight is integer valued as

$$\begin{aligned}
 \frac{m^2(\Delta = 0)}{m_0^2} &= (8 + n(g))p + Yp^2 , \\
 \frac{m^2(\Delta = 1)}{m_0^2} &= (5 + n(g))p + Yp^2 , \\
 \frac{m^2(\Delta = 2)}{m_0^2} &= (4 + n(g))p + (Y + \frac{23}{2})p^2 , \\
 n(g) &= 3g \cdot 2^{g-1}(2^g + 1) .
 \end{aligned} \tag{16.5.8}$$

Here  $\Delta$  denotes the conformal weight of the operators creating massless states from the ground state and  $g$  denotes the genus of the boundary component. The values of  $n(g)$  for the three lowest generations are  $n(0) = 0$ ,  $n(1) = 9$  and  $n(2) = 60$ . The value of second order thermal contribution is nontrivial for neutrinos only. The value of the rational number  $Y$  can, which corresponds to the renormalization correction to the mass, can be determined using experimental inputs.

Using  $m_0^2$  as a unit, the expression for the mass of a Ramond type state reads in terms of the electron mass as

$$\begin{aligned}
 M(\Delta, g, p)_R &= K(\Delta, g, p) \sqrt{\frac{M_{127}}{p}} m_e \\
 K(0, g, p) &= \sqrt{\frac{n(g) + 8 + Y_R}{X}} \\
 K(1, g, p) &= \sqrt{\frac{n(g) + 5 + Y_R}{X}} \\
 K(2, g, p) &= \sqrt{\frac{n(g) + 4 + Y_R}{X}} , \\
 X &= \sqrt{5 + Y(e)_R} .
 \end{aligned} \tag{16.5.9}$$

$Y$  can be assumed to depend on the electromagnetic charge and color representation of the state and is therefore same for all fermion families. Mathematica provides modules for calculating the real counterpart of the second order contribution and for finding realistic values of  $Y$ .

### 16.5.7 General mass formulas for NS representations

Using  $m_0^2/3$  as a unit, the expression for the mass of a light NS type state for  $T_p = 1$  and  $k_B = 1$  reads in terms of the electron mass as

$$\begin{aligned}
 M(\Delta, g, p, N)_R &= K(\Delta, g, p, N) \sqrt{\frac{M_{127}}{p} m_e} \\
 K(0, g, p, 1) &= \sqrt{\frac{n(g) + Y_R}{X}} , \\
 K(0, g, p, 2) &= \sqrt{\frac{n(g) + 1 + Y_R}{X}} , \\
 K(1, g, p, 3) &= \sqrt{\frac{n(g) + 3 + Y_R}{X}} , \\
 K(2, g, p, 4) &= \sqrt{\frac{n(g) + 5 + Y_R}{X}} , \\
 K(2, g, p, 5) &= \sqrt{\frac{n(g) + 10 + Y_R}{X}} , \\
 X &= \sqrt{5 + Y(\epsilon)_R} .
 \end{aligned} \tag{16.5.10}$$

Here  $N$  is the number of the 'active' NS sectors (sectors for which the conformal weight of the massless state is non-vanishing).  $Y$  denotes the renormalization correction to the boson mass and in general depends on the electro-weak and color quantum numbers of the boson.

The thermal contribution to the mass of  $W$  boson is too large by roughly a factor  $\sqrt{3}$  for  $T_p = 1$ . Hence  $T_p = 1/2$  must hold true for gauge bosons and their masses must have a non-thermal origin perhaps analogous to Higgs mechanism. Alternatively, the non-covariant constancy of charge matrices could induce the boson mass [20].

It is interesting to notice that the minimum mass squared for gauge boson corresponds to the p-adic mass unit  $M^2 = m_0^2 p/3$  and this just what is needed in the case of  $W$  boson. This forces to ask whether  $m_0^2/3$  is the correct choice for the mass squared unit so that non-thermally induced  $W$  mass would be the minimal  $m_W^2 = p$  in the lowest order. This choice would mean the replacement

$$Y_R \rightarrow \frac{(3Y)_R}{3}$$

in the preceding formulas and would affect only neutrino mass in the fermionic sector.  $m_0^2/3$  option is excluded by charged lepton mass calculation. This point will be discussed later.

### 16.5.8 Primary condensation levels from p-adic length scale hypothesis

p-Adic length scale hypothesis states that the primary condensation levels correspond to primes near prime powers of two  $p \simeq 2^k$ ,  $k$  integer with prime values preferred. Black hole-elementary particle analogy [27] suggests a generalization of this hypothesis by allowing  $k$  to be a power of prime. The general number theoretical vision discussed in [24] provides a first principle justification for p-adic length scale hypothesis in its most general form. The best fit for the neutrino mass squared differences is obtained for  $k = 13^2 = 169$  so that the generalization of the hypothesis might be necessary.

A particle primarily condensed on the level  $k$  can suffer secondary condensation on a level with the same value of  $k$ : for instance, electron ( $k = 127$ ) suffers secondary condensation on  $k = 127$  level.  $u, d, s$  quarks ( $k = 107$ ) suffer secondary condensation on nuclear space-time sheet having  $k = 113$ ). All quarks feed their color gauge fluxes at  $k = 107$  space-time sheet. There is no deep reason forbidding the condensation of  $p$  on  $p$ . Primary and secondary condensation levels could also correspond to different but nearly identical values of  $p$  with the same value of  $k$ .

## 16.6 Fermion masses

In the earlier model the coefficient of  $M^2 = kL_0$  had to be assumed to be different for various particle states.  $k = 1$  was assumed for bosons and leptons and  $k = 2/3$  for quarks. The fact that  $k = 1$  holds true for all particles in the model including also super-symplectic invariance forces to modify the earlier construction of quark states. This turns out to be possible without affecting the earlier

p-adic mass calculations whose outcome depend in an essential manner on the ground state conformal weights  $h_{gr}$  of the fermions ( $h_{gr}$  can be negative). The structure of lepton and quark states in color degrees of freedom was discussed in [20].

### 16.6.1 Charged lepton mass ratios

The overall mass scale for lepton and quark masses is determined by the condensation level given by prime  $p \simeq 2^k$ ,  $k$  prime by length scale hypothesis. For charged leptons  $k$  must correspond to  $k = 127$  for electron,  $k = 113$  for muon and  $k = 107$  for  $\tau$ . For muon  $p = 2^{113} - 1 - 4 * 378$  is assumed (smallest prime below  $2^{113}$  allowing  $\sqrt{2}$  but not  $\sqrt{3}$ ). So called Gaussian primes are to complex integers what primes are for the ordinary integers and the Gaussian counterparts of the Mersenne primes are Gaussian primes of form  $(1 \pm i)^k - 1$ . Rather interestingly,  $k = 113$  corresponds to a Gaussian Mersenne so that all charged leptons correspond to generalized Mersenne primes.

For  $k = 1$  the leptonic mass squared is integer valued in units of  $m_0^2$  only for the states satisfying

$$p \text{ mod } 3 \neq 2 .$$

Only these representations can give rise to massless states. Neutrinos correspond to  $(p, p)$  representations with  $p \geq 1$  whereas charged leptons correspond to  $(p, p + 3)$  representations. The earlier mass calculations demonstrate that leptonic masses can be understood if the ground state conformal weight is  $h_{gr} = -1$  for charged leptons and  $h_{gr} = -2$  for neutrinos.

The contribution of color partial wave to conformal weight is  $h_c = (p^2 + 2p)/3$ ,  $p \geq 1$ , for neutrinos and  $p = 1$  gives  $h_c = 1$  (octet). For charged leptons  $h_c = (p^2 + 5p + 6)/3$  gives  $h_c = 2$  for  $p = 0$  (decuplet). In both cases super-symplectic operator  $O$  must have a net conformal weight  $h_{sc} = -3$  to produce a correct conformal weight for the ground state. p-adic considerations suggests the use of operators  $O$  with super-symplectic conformal weight  $z = -1/2 - i \sum n_k y_k$ , where  $s_k = 1/2 + iy_k$  corresponds to zero of Riemann  $\zeta$ . If the operators in question are color Hamiltonians in octet representation net super-symplectic conformal weight  $h_{sc} = -3$  results. The tensor product of two octets with conjugate super-symplectic conformal weights contains both octet and decuplet so that singlets are obtained. What strengthens the hopes that the construction is not adhoc is that the same operator appears in the construction of quark states too.

Using  $CP_2$  mass scale  $m_0^2$  [20] as a p-adic unit, the mass formulas for the charged leptons read as

$$\begin{aligned} M^2(L) &= A(\nu) \frac{m_0^2}{p(L)} , \\ A(e) &= 5 + X(p(e)) , \\ A(\mu) &= 14 + X(p(\mu)) , \\ A(\tau) &= 65 + X(p(\tau)) . \end{aligned} \tag{16.6.1}$$

$X(\cdot)$  corresponds to the yet unknown second order corrections to the mass squared.

The following table gives the basic parameters as determined from the mass of electron for some values of  $Y_e$ . The mass of top quark favors as maximal value of  $CP_2$  mass which corresponds to  $Y_e = 0$ .

$Y_e$	0	.5	.7798
$(m_0/m_{Pl}) \times 10^3$	.2437	.2323	.2266
$K \times 10^{-7}$	2.5262	2.7788	2.9202
$(L_R/\sqrt{G}) \times 10^{-4}$	3.1580	3.3122	3.3954

Table 1. Table gives the values of  $CP_2$  mass  $m_0$  using Planck mass  $m_{Pl} = 1/\sqrt{G}$  as unit, the ratio  $K = R^2/G$  and  $CP_2$  geodesic length  $L = 2\pi R$  for  $Y_e \in \{0, 0.5, 0.7798\}$ .

The following table lists the lower and upper bounds for the charged lepton mass ratios obtained by taking second order contribution to zero or allowing it to have maximum possible value. The values of lepton masses are  $m_e = .510999$  MeV,  $m_\mu = 105.76583$  MeV,  $m_\tau = 1775$  MeV.

$$\begin{aligned}
\frac{m(\mu)_+}{m(\mu)} &= \sqrt{\frac{15}{5}} 2^7 \frac{m_e}{m(\mu)} \simeq 1.0722 \ , \\
\frac{m(\mu)_-}{m(\mu)} &= \sqrt{\frac{14}{6}} 2^7 \frac{m_e}{m(\mu)} \simeq 0.9456 \ , \\
\frac{m(\tau)_+}{m(\tau)} &= \sqrt{\frac{66}{5}} 2^{10} \frac{m_e}{m(\tau)} \simeq 1.0710 \ , \\
\frac{m(\tau)_-}{m(\tau)} &= \sqrt{\frac{65}{6}} 2^{10} \frac{m_e}{m(\tau)} \simeq .9703 \ .
\end{aligned}
\tag{16.6.2}$$

For the maximal value of  $CP_2$  mass the predictions for the mass ratio are systematically too large by a few per cent. From the formulas above it is clear that the second order corrections to mass squared can be such that correct masses result.

$\tau$  mass is least sensitive to  $X(p(e)) \equiv Y_e$  and the maximum value of  $Y_e \equiv Y_{e,max}$  consistent with  $\tau$  mass corresponds to  $Y_{e,max} = .7357$  and  $Y_\tau = 1$ . This means that the  $CP_2$  mass is at least a fraction .9337 of its maximal value. If  $Y_L$  is same for all charged leptons and has the maximal value  $Y_{e,max} = .7357$ , the predictions for the mass ratios are

$$\begin{aligned}
\frac{m(\mu)_{pr}}{m(\mu)} &= \sqrt{\frac{14 + Y_{e,max}}{5 + Y_{e,max}}} \times 2^7 \frac{m_e}{m(\mu)} \simeq .9922 \ , \\
\frac{m(\tau)_{pr}}{m(\tau)} &= \sqrt{\frac{65 + Y_{e,max}}{5 + Y_{e,max}}} \times 2^{10} \frac{m_e}{m(\tau)} \simeq .9980 \ .
\end{aligned}
\tag{16.6.3}$$

The error is .8 per cent *resp.* .2 per cent for muon *resp.*  $\tau$ .

The argument leading to estimate for the modular contribution to the mass squared [20] leaves two options for the coefficient of the modular contribution for  $g = 2$  fermions: the value of coefficient is either  $X = g$  for  $g \leq 1$ ,  $X = 3g - 3$  for  $g \geq 2$  or  $X = g$  always. For  $g = 2$  the predictions are  $X = 2$  and  $X = 3$  in the two cases. The option  $X = 3$  allows slightly larger maximal value of  $Y_e$  equal to  $Y_{e,max}^1 = Y_{e,max} + (5 + Y_{e,max})/66$ .

### 16.6.2 Neutrino masses

The estimation of neutrino masses is difficult at this stage since the prediction of the primary condensation level is not yet possible and neutrino mixing cannot yet be predicted from the basic principles. The cosmological bounds for neutrino masses however help to put upper bounds on the masses. If one takes seriously the LSND data on neutrino mass measurement of [48, 50] and the explanation of the atmospheric  $\nu$ -deficit in terms of  $\nu_\mu - \nu_\tau$  mixing [49, 52] one can deduce that the most plausible condensation level of  $\mu$  and  $\tau$  neutrinos is  $k = 167$  or  $k = 13^2 = 169$  allowed by the more general form of the p-adic length scale hypothesis suggested by the blackhole-elementary particle analogy. One can also deduce information about the mixing matrix associated with the neutrinos so that mass predictions become rather precise. In particular, the mass splitting of  $\mu$  and  $\tau$  neutrinos is predicted correctly if one assumes that the mixing matrix is a rational unitary matrix.

#### Super Virasoro contribution

Using  $m_0^2/3$  as a p-adic unit, the expression for the Super Virasoro contribution to the mass squared of neutrinos is given by the formula

$$\begin{aligned}
M^2(SV) &= (s + (3Yp)_R/3) \frac{m_0^2}{p} , \\
s &= 4 \text{ or } 5 , \\
Y &= \frac{23}{2} + Y_1 ,
\end{aligned} \tag{16.6.4}$$

where  $m_0^2$  is universal mass scale. One can consider two possible identifications of neutrinos corresponding to  $s(\nu) = 4$  with  $\Delta = 2$  and  $s(\nu) = 5$  with  $\Delta = 1$ . The requirement that CKM matrix is sensible forces the asymmetric scenario in which quarks and, by symmetry, also leptons correspond to lowest possible excitation so that one must have  $s(\nu) = 4$ .  $Y_1$  represents second order contribution to the neutrino mass coming from renormalization effects coming from self energy diagrams involving intermediate gauge bosons. Physical intuition suggest that this contribution is very small so that the precise measurement of the neutrino masses should give an excellent test for the theory.

With the above described assumptions and for  $s = 4$ , one has the following mass formula for neutrinos

$$\begin{aligned}
M^2(\nu) &= A(\nu) \frac{m_0^2}{p(\nu)} , \\
A(\nu_e) &= 4 + \frac{(3Y(p(\nu_e)))_R}{3} , \\
A(\nu_\mu) &= 13 + \frac{(3Y(p(\nu_\mu)))_R}{3} , \\
A(\nu_\tau) &= 64 + \frac{(3Y(p(\nu_\tau)))_R}{3} , \\
3Y &\simeq \frac{1}{2} .
\end{aligned} \tag{16.6.5}$$

The predictions must be consistent with the recent upper bounds [41] of order 10 eV, 270 keV and 0.3 MeV for  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  respectively. The recently reported results of LSND measurement [50] for  $\nu_e - \nu_\mu$  mixing gives string limits for  $\Delta m^2(\nu_e, \nu_\mu)$  and the parameter  $\sin^2(2\theta)$  characterizing the mixing: the limits are given in the figure 30 of [50]. The results suggests that the masses of both electron and muon neutrinos are below 5 eV and that mass squared difference  $\Delta m^2 = m^2(\nu_\mu) - m^2(\nu_e)$  is between .25 – 25 eV<sup>2</sup>. The simplest possibility is that  $\nu_\mu$  and  $\nu_e$  have common condensation level (in analogy with d and s quarks). There are three candidates for the primary condensation level: namely  $k = 163, 167$  and  $k = 169$ . The p-adic prime associated with the primary condensation level is assumed to be the nearest prime below  $2^k$  allowing p-adic  $\sqrt{2}$  but not  $\sqrt{3}$  and satisfying  $p \bmod 4 = 3$ . The following table gives the values of various parameters and unmixed neutrino masses in various cases of interest.

k	p	$(3Y)_R/3$	$m(\nu_e)/eV$	$m(\nu_\mu)/eV$	$m(\nu_\tau)/eV$
163	$2^{163} - 4 * 144 - 1$	1.36	1.78	3.16	6.98
167	$2^{167} - 4 * 144 - 1$	.34	.45	.79	1.75
169	$2^{169} - 4 * 210 - 1$	.17	.22	.40	.87

### Could neutrino topologically condense also in other p-adic length scales than $k = 169$ ?

One must keep mind open for the possibility that there are several p-adic length scales at which neutrinos can condense topologically. Biological length scales are especially interesting in this respect. In fact, all intermediate p-adic length scales  $k = 151, 157, 163, 167$  could correspond to metastable neutrino states. The point is that these p-adic lengths scales are number theoretically completely exceptional in the sense that there exist Gaussian Mersenne  $2^k \pm i$  (prime in the ring of complex integers) for all these values of  $k$ . Since charged leptons, atomic nuclei ( $k = 113$ ), hadrons and intermediate gauge bosons correspond to ordinary or Gaussian Mersennes, it would not be surprising if the biologically important Gaussian Mersennes would correspond to length scales giving rise to metastable neutrino states. Of course, one can keep mind open for the possibility that  $k = 167$  rather than  $k = 13^2 = 169$  is the length scale defining the stable neutrino physics.



### Neutrino mixing

Consider next the neutrino mixing. A quite general form of the neutrino mixing matrix  $D$  given by the table below will be considered.

	$\nu_e$	$\nu_\mu$	$\nu_\tau$
$\nu_e$	$c_1$	$s_1 c_3$	$s_1 s_3$
$\nu_\mu$	$-s_1 c_2$	$c_1 c_2 c_3 - s_2 s_3 \exp(i\delta)$	$c_1 c_2 s_3 + s_2 c_3 \exp(i\delta)$
$\nu_\tau$	$-s_1 s_2$	$c_1 s_2 c_3 + c_2 s_3 \exp(i\delta)$	$c_1 s_2 s_3 - c_2 c_3 \exp(i\delta)$

Physical intuition suggests that the angle  $\delta$  related to CP breaking is small and will be assumed to be vanishing. Topological mixing is active only in modular degrees of freedom and one obtains for the first order terms of mixed masses the expressions

$$\begin{aligned}
 s(\nu_e) &= 4 + 9|U_{12}|^2 + 60|U_{13}|^2 = 4 + n_1 , \\
 s(\nu_\mu) &= 4 + 9|U_{22}|^2 + 60|U_{23}|^2 = 4 + n_2 , \\
 s(\nu_\tau) &= 4 + 9|U_{32}|^2 + 60|U_{33}|^2 = 4 + n_3 .
 \end{aligned}
 \tag{16.6.6}$$

The requirement that resulting masses are not ultraheavy implies that  $s(\nu)$  must be small integers. The condition  $n_1 + n_2 + n_3 = 69$  follows from unitarity. The simplest possibility is that the mixing matrix is a rational unitary matrix. The same ansatz was used successfully to deduce information about the mixing matrices of quarks. If neutrinos are condensed on the same condensation level, rationality implies that  $\nu_\mu - \nu_\tau$  mass squared difference must come from the first order contribution to the mass squared and is therefore quantized and bounded from below.

The first piece of information is the atmospheric  $\nu_\mu/\nu_e$  ratio, which is roughly by a factor 2 smaller than predicted by standard model [49]. A possible explanation is the CKM mixing of muon neutrino with  $\tau$ -neutrino, whereas the mixing with electron neutrino is excluded as an explanation. The latest results from Kamiokande [49] are in accordance with the mixing  $m^2(\nu_\tau) - m^2(\nu_\mu) \simeq 1.6 \cdot 10^{-2} eV^2$  and mixing angle  $\sin^2(2\theta) = 1.0$ : also the zenith angle dependence of the ratio is in accordance with the mixing interpretation. If mixing matrix is assumed to be rational then only  $k = 169$  condensation level is allowed for  $\nu_\mu$  and  $\nu_\tau$ . For this level  $\nu_\mu - \nu_\tau$  mass squared difference turns out to be  $\Delta m^2 \simeq 10^{-2} eV^2$  for  $\Delta s \equiv s(\nu_\tau) - s(\nu_\mu) = 1$ , which is the only acceptable possibility and predicts  $\nu_\mu - \nu_\tau$  mass squared difference correctly within experimental uncertainties! The fact that the predictions for mass squared differences are practically exact, provides a precision test for the rationality assumption.

What is measured in LSND experiment is the probability  $P(t, E)$  that  $\nu_\mu$  transforms to  $\nu_e$  in time  $t$  after its production in muon decay as a function of energy  $E$  of  $\nu_\mu$ . In the limit that  $\nu_\tau$  and  $\nu_\mu$  masses are identical, the expression of  $P(t, E)$  is given by

$$\begin{aligned}
 P(t, E) &= \sin^2(2\theta) \sin^2\left(\frac{\Delta E t}{2}\right) , \\
 \sin^2(2\theta) &= 4c_1^2 s_1^2 c_2^2 ,
 \end{aligned}
 \tag{16.6.7}$$

where  $\Delta E$  is energy difference of  $\nu_\mu$  and  $\nu_e$  neutrinos and  $t$  denotes time. LSND experiment gives stringent conditions on the value of  $\sin^2(2\theta)$  as the figure 30 of [50] shows. In particular, it seems that  $\sin^2(2\theta)$  must be considerably below  $10^{-1}$  and this implies that  $s_1^2$  must be small enough.

The study of the mass formulas shows that the only possibility to satisfy the constraints for the mass squared and  $\sin^2(2\theta)$  given by LSND experiment is to assume that the mixing of the electron neutrino with the tau neutrino is much larger than its mixing with the muon neutrino. This means that  $s_3$  is quite near to unity. At the limit  $s_3 = 1$  one obtains the following (nonrational) solution of

the mass squared conditions for  $n_3 = n_2 + 1$  (forced by the atmospheric neutrino data)

$$\begin{aligned} s_1^2 &= \frac{69 - 2n_2 - 1}{60} , \\ c_2^2 &= \frac{n_2 - 9}{2n_2 - 17} , \\ \sin^2(2\theta) &= \frac{4(n_2 - 9)(34 - n_2)(n_2 - 4)}{51 \cdot 30^2} , \\ s(\nu_\mu) - s(\nu_e) &= 3n_2 - 68 . \end{aligned} \quad (16.6.8)$$

The study of the LSND data shows that there is only one acceptable solution to the conditions obtained by assuming maximal mass squared difference for  $\nu_e$  and  $\nu_\mu$

$$\begin{aligned} n_1 &= 2 \quad n_2 = 33 \quad n_3 = 34 , \\ s_1^2 &= \frac{1}{30} \quad c_2^2 = \frac{24}{49} , \\ \sin^2(2\theta) &= \frac{24}{49} \frac{2}{15} \frac{29}{30} \simeq .0631 , \\ s(\nu_\mu) - s(\nu_e) &= 31 \leftrightarrow .32 \text{ eV}^2 . \end{aligned} \quad (16.6.9)$$

That  $c_2^2$  is near 1/2 is not surprise taking into account the almost mass degeneracy of  $\nu_{mu}$  and  $\nu_\tau$ . From the figure 30 of [50] it is clear that this solution belongs to 90 per cent likelihood region of LSND experiment but  $\sin^2(2\theta)$  is about two times larger than the value allowed by Bugey reactor experiment. The study of various constraints given in [50] shows that the solution is consistent with bounds from all other experiments. If one assumes that  $k > 169$  for  $\nu_e$   $\nu_\mu - \nu_e$  mass difference increases, implying slightly poorer consistency with LSND data.

There are reasons to hope that the actual rational solution can be regarded as a small deformation of this solution obtained by assuming that  $c_3$  is non-vanishing.  $s_1^2 = \frac{69 - 2n_2 - 1}{60 - 51c_3^2}$  increases in the deformation by  $O(c_3^2)$  term but if  $c_3$  is positive the value of  $c_2^2 \simeq \frac{24 - 102c_1^0 c_2^0 s_2^0 c_3}{49} \sim \frac{24 - 61c_3}{49}$  decreases by  $O(c_3)$  term so that it should be possible to reduce the value of  $\sin^2(2\theta)$ . Consistency with Bugey reactor experiment requires  $.030 \leq \sin^2(2\theta) < .033$ .  $\sin^2(2\theta) = .032$  is achieved for  $s_1^2 \simeq .035, s_2^2 \simeq .51$  and  $c_3^2 \simeq .068$ . The construction of U and D matrices for quarks shows that very stringent number theoretic conditions are obtained and as in case of quarks it might be necessary to allow complex CP breaking phase in the mixing matrix. One might even hope that the solution to the conditions is unique.

For the minimal rational mixing one has  $s(\nu_e) = 5$ ,  $s(\nu_\mu) = 36$  and  $s(\nu_\tau) = 37$  if unmixed  $\nu_e$  corresponds to  $s = 4$ . For  $s = 5$  first order contributions are shifted by one unit. The masses ( $s = 4$  case) and mass squared differences are given by the following table.

k	$m(\nu_e)$	$m(\nu_\mu)$	$m(\nu_\tau)$	$\Delta m^2(\nu_\mu - \nu_e)$	$\Delta m^2(\nu_\tau - \nu_\mu)$
169	.27 eV	.66 eV	.67 eV	.32 eV <sup>2</sup>	.01 eV <sup>2</sup>

Predictions for neutrino masses and mass squared splittings for  $k = 169$  case.

### Evidence for the dynamical mass scale of neutrinos

In recent years (I am writing this towards the end of year 2004 and much later than previous lines) a great progress has been made in the understanding of neutrino masses and neutrino mixing. The pleasant news from TGD perspective is that there is a strong evidence that neutrino masses depend on environment [55]. In TGD framework this translates to the statement that neutrinos can suffer topological condensation in several p-adic length scales. Not only in the p-adic length scales suggested by the number theoretical considerations but also in longer length scales, as will be found.

The experiments giving information about mass squared differences can be divided into three categories [55].

i) There along baseline experiments, which include solar neutrino experiments [44], KamLAND [47], K2K [46], and SuperK [45] as well as earlier studies of solar neutrinos. These experiments see

evidence for the neutrino mixing and involve significant propagation through dense matter. For the solar neutrinos and KamLAND the mass splittings are estimated to be of order  $O(8 \times 10^{-5}) \text{ eV}^2$  or more cautiously  $8 \times 10^{-5} \text{ eV}^2 < \delta m^2 < 2 \times 10^{-3} \text{ eV}^2$ . For K2K and atmospheric neutrinos the mass splittings are of order  $O(2 \times 10^{-3}) \text{ eV}^2$  or more cautiously  $\delta m^2 > 10^{-3} \text{ eV}^2$ . Thus the scale of mass splitting seems to be smaller for neutrinos in matter than in air, which would suggest that neutrinos able to propagate through a dense matter travel at space-time sheets corresponding to a larger p-adic length scale than in air.

ii) There are null short baseline experiments including CHOOZ, Bugey, and Palo Verde reactor experiments, and the higher energy CDHS, JARME, CHORUS, and NOMAD experiments, which involve muonic neutrinos (for references see [55]). No evidence for neutrino oscillations have been seen in these experiments.

iii) The results of LSND experiment[50] are consistent with oscillations with a mass splitting greater than  $3 \times 10^{-2} \text{ eV}^2$ . LSND has been generally been interpreted as necessitating a mixing with sterile neutrino. If neutrino mass scale is dynamical, situation however changes.

If one assumes that the p-adic length scale for the space-time sheets at which neutrinos can propagate is different for matter and air, the situation changes. According to [55] a mass  $3 \times 10^{-2} \text{ eV}$  in air could explain the atmospheric results whereas mass of order  $.1 \text{ eV}$  and  $.07 \text{ eV}^2 < \delta m^2 < .26 \text{ eV}^2$  would explain the LSND result. These limits are of the same order as the order of magnitude predicted by  $k = 169$  topological condensation.

Assuming that the scale of the mass splitting is proportional to the p-adic mass scale squared, one can consider candidates for the topological condensation levels involved.

1. Suppose that  $k = 169 = 13^2$  is indeed the condensation level for LSND neutrinos.  $k = 173$  would predict  $m_{\nu_e} \sim 7 \times 10^{-2} \text{ eV}$  and  $\delta m^2 \sim .02 \text{ eV}^2$ . This could correspond to the masses of neutrinos propagating through air. For  $k = 179$  one has  $m_{\nu_e} \sim .8 \times 10^{-2} \text{ eV}$  and  $\delta m^2 \sim 3 \times 10^{-4} \text{ eV}^2$  which could be associated with solar neutrinos and KamLAND neutrinos.
2. The primes  $k = 157, 163, 167$  associated with Gaussian Mersennes would give  $\delta m^2(157) = 2^6 \delta m^2(163) = 2^{10} \delta m^2(167) = 2^{12} \delta m^2(169)$  and mass scales  $m(157) \sim 22.8 \text{ eV}$ ,  $m(163) \sim 3.6 \text{ eV}$ ,  $m(167) \sim .54 \text{ eV}$ . These mass scales are unrealistic or propagating neutrinos. The interpretation consistent with TGD inspired model of condensed matter in which neutrinos screen the classical  $Z^0$  force generated by nucleons would be that condensed matter neutrinos are confined inside these space-time sheets whereas the neutrinos able to propagate through condensed matter travel along  $k > 167$  space-time sheets.

### The results of MiniBooNE group as a support for the energy dependence of p-adic mass scale of neutrino

The basic prediction of TGD is that neutrino mass scale can depend on neutrino energy and the experimental determinations of neutrino mixing parameters support this prediction. The newest results (11 April 2007) about neutrino oscillations come from MiniBooNE group which has published its first findings [53] concerning neutrino oscillations in the mass range studied in LSND experiments [51].

#### 1. The motivation for MiniBooNE

Neutrino oscillations are not well-understood. Three experiments LSND, atmospheric neutrinos, and solar neutrinos show oscillations but in widely different mass regions ( $1 \text{ eV}^2$ ,  $3 \times 10^{-3} \text{ eV}^2$ , and  $8 \times 10^{-5} \text{ eV}^2$ ).

In TGD framework the explanation would be that neutrinos can appear in several p-adically scaled up variants with different mass scales and therefore different scales for the differences  $\Delta m^2$  for neutrino masses so that one should not try to explain the results of these experiments using single neutrino mass scale. In single-sheeted space-time it is very difficult to imagine that neutrino mass scale would depend on neutrino energy since neutrinos interact so extremely weakly with matter. The best known attempt to assign single mass to all neutrinos has been based on the use of so called sterile neutrinos which do not have electro-weak couplings. This approach is an ad hoc trick and rather ugly mathematically and excluded by the results of MiniBooNE experiments.

#### 2. The result of MiniBooNE experiment

The purpose of the MiniBooNE experiment was to check whether LSND result  $\Delta m^2 = 1\text{eV}^2$  is genuine. The group used muon neutrino beam and looked whether the transformations of muonic neutrinos to electron neutrinos occur in the mass squared region  $\Delta m^2 \simeq 1\text{eV}^2$ . No such transitions were found but there was evidence for transformations at low neutrino energies.

What looks first as an over-diplomatic formulation of the result was *MiniBooNE researchers showed conclusively that the LSND results could not be due to simple neutrino oscillation, a phenomenon in which one type of neutrino transforms into another type and back again.* rather than direct refutation of LSND results.

### 3. LSND and MiniBooNE are consistent in TGD Universe

The habitant of the many-sheeted space-time would not regard the previous statement as a mere diplomatic use of language. It is quite possible that neutrinos studied in MiniBooNE have suffered topological condensation at different space-time sheet than those in LSND if they are in different energy range (the preferred rest system fixed by the space-time sheet of the laboratory or Earth). To see whether this is the case let us look more carefully the experimental arrangements.

1. In LSND experiment 800 MeV proton beam entering in water target and the muon neutrinos resulted in the decay of produced pions. Muonic neutrinos had energies in 60-200 MeV range [51].
2. In MiniBooNE experiment [53] 8 GeV muon beam entered Beryllium target and muon neutrinos resulted in the decay of resulting pions and kaons. The resulting muonic neutrinos had energies the range 300-1500 GeV to be compared with 60-200 MeV.

Let us try to make this more explicit.

1. Neutrino energy ranges are quite different so that the experiments need not be directly comparable. The mixing obeys the analog of Schrödinger equation for free particle with energy replaced with  $\Delta m^2/E$ , where  $E$  is neutrino energy. The mixing probability as a function of distance  $L$  from the source of muon neutrinos is in 2-component model given by

$$P = \sin^2(\theta)\sin^2(1.27\Delta m^2 L/E) .$$

The characteristic length scale for mixing is  $L = E/\Delta m^2$ . If  $L$  is sufficiently small, the mixing is fifty-fifty already before the muon neutrinos enter the system, where the measurement is carried out and no mixing is detected. If  $L$  is considerably longer than the size of the measuring system, no mixing is observed either. Therefore the result can be understood if  $\Delta m^2$  is much larger or much smaller than  $E/L$ , where  $L$  is the size of the measuring system and  $E$  is the typical neutrino energy.

2. MiniBooNE experiment found evidence for the appearance of electron neutrinos at low neutrino energies (below 500 MeV) which means direct support for the LSND findings and for the dependence of neutron mass scale on its energy relative to the rest system defined by the space-time sheet of laboratory.
3. Uncertainty Principle inspires the guess  $L_p \propto 1/E$  implying  $m_p \propto E$ . Here  $E$  is the energy of the neutrino with respect to the rest system defined by the space-time sheet of the laboratory. Solar neutrinos indeed have the lowest energy (below 20 MeV) and the lowest value of  $\Delta m^2$ . However, atmospheric neutrinos have energies starting from few hundreds of MeV and  $\Delta m^2$  is by a factor of order 10 higher. This suggests that the the growth of  $\Delta m^2$  with  $E^2$  is slower than linear. It is perhaps not the energy alone which matters but the space-time sheet at which neutrinos topologically condense. For instance, MiniBooNE neutrinos above 500 MeV would topologically condense at space-time sheets for which the p-adic mass scale is higher than in LSND experiments and one would have  $\Delta m^2 \gg 1\text{eV}^2$  implying maximal mixing in length scale much shorter than the size of experimental apparatus.
4. One could also argue that topological condensation occurs in condensed matter and that no topological condensation occurs for high enough neutrino energies so that neutrinos remain massless. One can even consider the possibility that the p-adic length scale  $L_p$  is proportional

to  $E/m_0^2$ , where  $m_0$  is proportional to the mass scale associated with non-relativistic neutrinos. The p-adic mass scale would obey  $m_p \propto m_0^2/E$  so that the characteristic mixing length would be by a factor of order 100 longer in MiniBooNE experiment than in LSND.

### Comments

Some comments on the proposed scenario are in order: some of the are written much later than the previous text.

1. Mass predictions are consistent with the bound  $\Delta m(\nu_\mu, \nu_e) < 2 eV^2$  coming from the requirement that neutrino mixing does not spoil the so called r-process producing heavy elements in Super Novae [54].
2. TGD neutrinos cannot solve the dark matter problem: the total neutrino mass required by the cold+hot dark matter models would be about 5 eV. In [33] a model of galaxies based on string like objects of galaxy size and providing a more exotic source of dark matter, is discussed.
3. One could also consider the explanation of LSND data in terms of the interaction of  $\nu_\mu$  and nucleon via the exchange of  $g = 1$  W boson. The fraction of the reactions  $\bar{\nu}_\mu + p \rightarrow e^+ + n$  at low neutrino energies  $P \sim \frac{m_W^4(g=0)}{m_W^4(g=1)} \sin^2(\theta_c)$ , where  $\theta_c$  denotes Cabibbo angle. Even if the condensation level of  $W(g = 1)$  is  $k = 89$ , the ratio is by a factor of order .05 too small to explain the average  $\nu_\mu \rightarrow \nu_e$  transformation probability  $P \simeq .003$  extracted from LSND data.
4. The predicted masses exclude MSW and vacuum oscillation solutions to the solar neutrino problem unless one assumes that several condensation levels and thus mass scales are possible for neutrinos. This is indeed suggested by the previous considerations.

### 16.6.3 Quark masses

The prediction of quark masses is more difficult due to the facts that the deduction of even the p-adic length scale determining the masses of these quarks is a non-trivial task, and the original identification was indeed wrong. Second difficulty is related to the topological mixing of quarks. The new scenario leads to a unique identification of masses with top quark mass as an empirical input and the thermodynamical model of topological mixing as a new theoretical input. Also CKM matrix is predicted highly uniquely.

#### Basic mass formulas

By the earlier mass calculations and construction of CKM matrix the ground state conformal weights of  $U$  and  $D$  type quarks must be  $h_{gr}(U) = -1$  and  $h_{gr}(D) = 0$ . The formulas for the eigenvalues of  $CP_2$  spinor Laplacian imply that if  $m_0^2$  is used as a unit, color conformal weight  $h_c \equiv m_{CP_2}^2$  is integer for  $p \bmod 3 = \pm 1$  for  $U$  type quark belonging to  $(p+1, p)$  type representation and obeying  $h_c(U) = (p^2 + 3p + 2)/3$  and for  $p \bmod 3 = 1$  for  $D$  type quark belonging to  $(p, p+2)$  type representation and obeying  $h_c(D) = (p^2 + 4p + 4)/3$ . Only these states can be massless since color Hamiltonians have integer valued conformal weights.

In the recent case the minimal  $p = 1$  states correspond to  $h_c(U) = 2$  and  $h_c(D) = 3$ .  $h_{gr}(U) = -1$  and  $h_{gr}(D) = 0$  reproduce the previous results for quark masses required by the construction of CKM matrix. This requires super-symplectic operators  $O$  with a net conformal weight  $h_{sc} = -3$  just as in the leptonic case. The facts that the values of  $p$  are minimal for spinor harmonics and the super-symplectic operator is same for both quarks and leptons suggest that the construction is not had hoc. The real justification would come from the demonstration that  $h_{sc} = -3$  defines null state for SCV: this would also explain why  $h_{sc}$  would be same for all fermions.

Consider now the mass squared values for quarks. For  $h(D) = 0$  and  $h(U) = -1$  and using  $m_0^2/3$  as a unit the expression for the thermal contribution to the mass squared of quark is given by the formula

$$\begin{aligned}
M^2 &= (s + X) \frac{m_0^2}{p} , \\
s(U) &= 5 , \quad s(D) = 8 , \\
X &\equiv \frac{(3Yp)_R}{3} ,
\end{aligned} \tag{16.6.10}$$

where the second order contribution  $Y$  corresponds to renormalization effects coming and depending on the isospin of the quark. When  $m_0^2$  is used as a unit  $X$  is replaced by  $X = (Y_p)_R$ .

With the above described assumptions one has the following mass formula for quarks

$$\begin{aligned}
M^2(q) &= A(q) \frac{m_0^2}{p(q)} , \\
A(u) &= 5 + X_U(p(u)) , \quad A(c) = 14 + X_U(p(c)) , \quad A(t) = 65 + X_U(p(t)) , \\
A(d) &= 8 + X_D(p(d)) , \quad A(s) = 17 + X_D(p(s)) , \quad A(b) = 68 + X_D(p(b)) .
\end{aligned} \tag{16.6.11}$$

p-Adic length scale hypothesis allows to identify the p-adic primes labelling quarks whereas topological mixing of U and D quarks allows to deduce topological mixing matrices U and D and CKM matrix V and precise values of the masses apart from effects like color magnetic spin orbit splitting, color Coulombic energy, etc..

Integers  $n_{q_i}$  satisfying  $\sum_i n(U_i) = \sum_i n(D_i) = 69$  characterize the masses of the quarks and also the topological mixing to high degree. The reason that modular contributions remain integers is that in the p-adic context non-trivial rationals would give  $CP_2$  mass scale for the real counterpart of the mass squared. In the absence of mixing the values of integers are  $n_d = n_u = 0$ ,  $n_s = n_c = 9$ ,  $n_b = n_t = 60$ .

The fact that CKM matrix  $V$  expressible as a product  $V = U^\dagger D$  of topological mixing matrices is near to a direct sum of  $2 \times 2$  unit matrix and  $1 \times 1$  unit matrix motivates the approximation  $n_b \simeq n_t$ . The large masses of top quark and of  $t\bar{t}$  meson encourage to consider a scenario in which  $n_t = n_b = n \leq 60$  holds true.

The model for topological mixing matrices and CKM matrix predicts U and D matrices highly uniquely and allows to understand quark and hadron masses in surprisingly detailed level.

1.  $n_d = n_u = 60$  is not allowed by number theoretical conditions for  $U$  and  $D$  matrices and by the basic facts about CKM matrix but  $n_t = n_b = 59$  allows almost maximal masses for  $b$  and  $t$ . This is not yet a complete hit. The unitarity of the mixing matrices and the construction of CKM matrix to be discussed in the next section forces the assignments

$$(n_d, n_s, n_b) = (5, 5, 59) , \quad (n_u, n_c, n_t) = (5, 6, 58) . \tag{16.6.12}$$

fixing completely the quark masses apart possible Higgs contribution [23]. Note that top quark mass is still rather near to its maximal value.

2. The constraint that valence quark contribution to pion mass does not exceed pion mass implies the constraint  $n(d) \leq 6$  and  $n(u) \leq 6$  in accordance with the predictions of the model of topological mixing.  $u - d$  mass difference does not affect  $\pi^+ - \pi^0$  mass difference and the quark contribution to  $m(\pi)$  is predicted to be  $\sqrt{(n_d + n_u + 13)}/24 \times 136.9$  MeV for the maximal value of  $CP_2$  mass (second order p-adic contribution to electron mass squared vanishes).

### The p-adic length scales associated with quarks and quark masses

The identification of p-adic length scales associated with the quarks has turned to be a highly non-trivial problem. The reasons are that for light quarks it is difficult to deduce information about quark masses for hadron masses and that the unknown details of the topological mixing (unknown until the

advent of the thermodynamical model [23]) made possible several p-adic length scales for quarks. It has also become clear that the p-adic length scale can be different for free quark and bound quark and that bound quark p-adic scale can depend on hadron.

Two natural constraints have however emerged from the recent work.

1. Quark contribution to the hadron mass cannot be larger than color contribution and for quarks having  $k_q \neq 107$  quark contribution to mass is added to color contribution to the mass. For quarks with same value of  $k$  conformal weight rather than mass is additive whereas for quarks with different value of  $k$  masses are additive. An important implication is that for diagonal mesons  $M = q\bar{q}$  having  $k(q) \neq 107$  the condition  $m(M) \geq \sqrt{2}m_q$  must hold true. This gives strong constraints on quark masses.
2. The realization that scaled up variants of quarks explain elegantly the masses of light hadrons allows to understand large mass splittings of light hadrons without the introduction of strong isospin-isospin interaction.

The new model for quark masses is based on the following identifications of the p-adic length scales.

1. The nuclear p-adic length scale  $L(k)$ ,  $k = 113$ , corresponds to the p-adic length scale determining the masses of u, d, and s quarks. Note that  $k = 113$  corresponds to a so called Gaussian Mersenne. The interpretation is that quark massivation occurs at nuclear space-time sheet at which quarks feed their em fluxes. At  $k = 107$  space-time sheet, where quarks feed their color gauge fluxes, the quark masses are vanishing in the first p-adic order. This could be due to the fact that the p-adic temperature is  $T_p = 1/2$  at this space-time sheet so that the thermal contribution to the mass squared is negligible. This would reflect the fact that color interactions do not involve any counterpart of Higgs mechanism.

p-Adic mass calculations turn out to work remarkably well for massive quarks. The reason could be that  $M_{107}$  hadron physics means that *all* quarks feed their color gauge fluxes to  $k = 107$  space-time sheets so that color contribution to the masses becomes negligible for heavy quarks as compared to Super-Kac Moody and modular contributions corresponding to em gauge fluxes fed to  $k > 107$  space-time sheets in case of heavy quarks. Note that  $Z^0$  gauge flux is fed to space-time sheets at which neutrinos reside and screen the flux and their size corresponds to the neutrino mass scale. This picture might throw some light to the question of whether and how it might be possible to demonstrate the existence of  $M_{89}$  hadron physics.

One might argue that  $k = 107$  is not allowed as a condensation level in accordance with the idea that color and electro-weak gauge fluxes cannot be fed at the space-time space time sheet since the classical color and electro-weak fields are functionally independent. The identification of  $\eta'$  meson as a bound state of scaled up  $k = 107$  quarks is not however consistent with this idea unless one assumes that  $k = 107$  space-time sheets in question are separate.

2. The requirement that the masses of diagonal pseudoscalar mesons of type  $M = q\bar{q}$  are larger but as near as possible to the quark contribution  $\sqrt{2}m_q$  to the valence quark mass, fixes the p-adic primes  $p \simeq 2^k$  associated with  $c$ ,  $b$  quarks but not  $t$  since toponium does not exist. These values of  $k$  are "nominal" since  $k$  seems to be dynamical.  $c$  quark corresponds to the p-adic length scale  $k(c) = 104 = 2^3 \times 13$ .  $b$  quark corresponds to  $k(b) = 103$  for  $n(b) = 5$ . Direct determination of p-adic scale from top quark mass gives  $k(t) = 94 = 2 \times 47$  so that secondary p-adic length scale is in question.

Top quark mass tends to be slightly too low as compared to the most recent experimental value of  $m(t) = 169.1$  GeV with the allowed range being [164.7, 175.5] GeV [43]. The optimal situation corresponds to  $Y_e = 0$  and  $Y_t = 1$  and happens to give top mass exactly equal to the most probable experimental value. It must be emphasized that top quark is experimentally in a unique position since toponium does not exist and top quark mass is that of free top.

In the case of light quarks there are good reasons to believe that the p-adic mass scale of quark is different for free quark and bound state quark and that in case of bound quark it can also depend on hadron. This would explain the notions of valence (constituent) quark and current quark mass as

masses of bound state quark and free quark and leads also to a TGD counterpart of Gell-Mann-Okubo mass formula [23].

1. Constituent quark masses

Constituent quark masses correspond to masses derived assuming that they are bound to hadrons. If the value of  $k$  is assumed to depend on hadron one obtains nice mass formula for light hadrons as will be found later. The following table summarizes constituent quark masses as predicted by this model.

$q$	d	u	s	c	b	t
$n_q$	4	5	6	6	59	58
$s_q$	12	10	14	11	67	63
$k(q)$	113	113	113	104	103	94
$m(q)/GeV$	.105	.092	.105	2.191	7.647	167.8

Table 2. Constituent quark masses predicted for diagonal mesons assuming  $(n_d, n_s, n_b) = (5, 5, 59)$  and  $(n_u, n_c, n_t) = (5, 6, 58)$ , maximal  $CP_2$  mass scale ( $Y_e = 0$ ), and vanishing of second order contributions.

2. Current quark masses

Current quark masses would correspond to masses of free quarks which tend to be lower than valence quark masses. Hence  $k$  could be larger in the case of light quarks. The table of quark masses in Wikipedia [56] gives the value ranges for current quark masses depicted in the table below together with TGD predictions for the spectrum of current quark masses.

$q$	d	u	s
$m(q)_{exp}/MeV$	4-8	1.5-4	80-130
$k(q)$	(122,121,120)	(125,124,123,122)	(114,113,112)
$m(q)/MeV$	(4.5,6.6,9.3)	(1.4,2.0,2.9,4.1)	(74,105,149)
$q$	c	b	t
$m(q)_{exp}/MeV$	1150-1350	4100-4400	1691
$k(q)$	(106,105)	(105,104)	92
$m(q)/MeV$	(1045,1477)	(3823,5407)	$167.8 \times 10^3$

Table 3. The experimental value ranges for current quark masses [56] and TGD predictions for their values assuming  $(n_d, n_s, n_b) = (5, 5, 59)$ ,  $(n_u, n_c, n_t) = (5, 6, 58)$ , and  $Y_e = 0$ . For top quark  $Y_t = 0$  is assumed.  $Y_t = 1$  would give 169.2 GeV.

Some comments are in order.

1. The long p-adic length associated with light quarks seem to be in conflict with the idea that quarks have sizes smaller than hadron size. The paradox disappears when one realized that  $k(q)$  characterizes the electromagnetic "field body" of quark having much larger size than hadron.
2.  $u$  and  $d$  current quarks correspond to a mass scale not much higher than that of electron and the ranges for mass estimates suggest that  $u$  could correspond to scales  $k(u) \in (125, 124, 123, 122) = (5^3, 4 \times 31, 3 \times 41, 2 \times 61)$ , whereas  $d$  would correspond to  $k(d) \in (122, 121, 120) = (2 \times 61, 11^2, 3 \times 5 \times 8)$ .
3. The TGD based model for nuclei based on the notion of nuclear string leads to the conclusion that exotic copies of  $k = 113$  quarks having  $k = 127$  are present in nuclei and are responsible for the color binding of nuclei [21, 25].
4. The predicted values for  $c$  and  $b$  masses are slightly too low for  $(k(c), k(b)) = (106, 105) = (2 \times 53, 3 \times 5 \times 7)$ . Second order Higgs contribution could increase the  $c$  mass into the range given in [56] but not that of  $b$ .



5. The mass of top quark has been slightly below the experimental estimate for long time. The experimental value has been coming down slowly and the most recent value obtained by CDF [57] is  $m_t = 165.1 \pm 3.3 \pm 3.1$  GeV and consistent with the TGD prediction for  $Y_e = Y_t = 0$ .

One can talk about constituent and current quark masses simultaneously only if they correspond to dual descriptions.  $M^8 - H$  duality [20] has been indeed suggested to relate the old fashioned low energy description of hadrons in terms of  $SO(4)$  symmetry (Skyrme model) and higher energy description of hadrons based on QCD. In QCD description the mass of say baryon would be dominated by the mass associated with super-symplectic quanta carrying color. In  $SO(4)$  description constituent quarks would carry most of the hadron mass.

### Can Higgs field develop a vacuum expectation in fermionic sector at all?

An important conclusion following from the calculation of lepton and quark masses is that if Higgs contribution is present, it can be of second order p-adically and even negligible, perhaps even vanishing. There is indeed an argument forcing to consider this possibility seriously. The recent view about elementary particles is following.

1. Fermions correspond to  $CP_2$  type vacuum extremals topologically condensed at positive/negative energy space-time sheets carrying quantum numbers at light-like wormhole throat. Higgs and gauge bosons correspond to wormhole contacts connecting positive and negative energy space-time sheets and carrying fermion and anti-fermion quantum numbers at the two light-like wormhole throats.
2. If the values of p-adic temperature are  $T_p = 1$  and  $T_p = 1/n$ ,  $n > 1$  for fermions and bosons the thermodynamical contribution to the gauge boson mass is negligible.
3. Different p-adic temperatures and Kähler coupling strengths for fermions and bosons make sense if bosonic and fermionic partonic 3-surfaces meet only along their ends at the vertices of generalized Feynman diagrams but have no other common points [31]. This forces to consider the possibility that fermions cannot develop Higgs vacuum expectation value although they can couple to Higgs. This is not in contradiction with the modification of sigma model of hadrons based on the assumption that vacuum expectation of  $\sigma$  field gives a small contribution to hadron mass [26] since this field can be assigned to some bosonic space-time sheet pair associated with hadron.
4. Perhaps the most elegant interpretation is that ground state conformal is equal to the square of the eigenvalue of the modified Dirac operator. The ground state conformal weight is negative and its deviation from half odd integer value gives contribution to both fermion and boson masses. The Higgs expectation associated with coherent state of Higgs like wormhole contacts is naturally proportional to this parameter since no other parameter with dimensions of mass is present. Higgs vacuum expectation determines gauge boson masses only apparently if this interpretation is correct. The contribution of the ground state conformal weight to fermion mass square is near to zero. This means that  $\lambda$  is very near to negative half odd integer and therefore no significant difference between fermions and gauge bosons is implied.

## 16.7 Higgsy aspects of particle massivation

### 16.7.1 Can p-adic thermodynamics explain the masses of intermediate gauge bosons?

The requirement that the electron-intermediate gauge boson mass ratios are sensible, serves as a stringent test for the hypothesis that intermediate gauge boson masses result from the p-adic thermodynamics. It seems that the only possible option is that the parameter  $k$  has same value for both bosons, leptons, and quarks:

$$k_B = k_L = k_q = 1 \quad .$$

In this case all gauge bosons have  $D(0) = 1$  and there are good changes to obtain boson masses correctly.  $k = 1$  together with  $T_p = 1$  implies that the thermal masses of very many boson states are extremely heavy so that the spectrum of the boson exotics is reduced drastically. For  $T_p = 1/2$  the thermal contribution to the mass squared is completely negligible.

Contrary to the original optimistic beliefs based on calculational error, it turned out impossible to predict  $W/e$  and  $Z/e$  mass ratios correctly in the original p-adic thermodynamics scenario. Although the errors are of order 20-30 percent, they seemed to exclude the explanation for the massivation of gauge bosons using p-adic thermodynamics.

1. The thermal mass squared for a boson state with  $N$  active sectors (non-vanishing vacuum weight) is determined by the partition function for the tensor product of  $N$  NS type Super Virasoro algebras. The degeneracies of the excited states as a function of  $N$  and the weight  $\Delta$  of the operator creating the massless state are given in the table below.
2. Both  $W$  and  $Z$  must correspond to  $N = 2$  active Super Virasoro sectors for which  $D(1) = 1$  and  $D(2) = 3$  so that (using the formulas of p-adic thermodynamics the thermal mass squared is  $m^2 = k_B(p + 5p^2)$  for  $T_p = 1$ . The second order contribution to the thermal mass squared is extremely small so that Weinberg angle vanishes in the thermal approximation.  $k_B = 1$  gives  $Z/e$  mass-ratio which is about 22 per cent too high. For  $T_p = 1/2$  thermal masses are completely negligible.
3. The thermal prediction for W-boson mass is the same as for  $Z^0$  mass and thus even worse since the two masses are related  $M_W^2 = M_Z^2 \cos^2(\theta_W)$ .

### 16.7.2 Comparison of TGD Higgs and with MSSM Higgs

The notion of Higgs in TGD framework differs from that of standard model and super-symmetric extension in several respects. Very concisely, the two complex  $SU(2)_V$  doublets are replaced with scalar and pseudoscalar triplet and singlet so that the number of field components is same. The Higgs possibly developing vacuum expectation is now uniquely the scalar singlet unless one allows parity breaking. The number of remaining Higgs field components is 5 as in the minimal supersymmetric extension of the standard model.

#### TGD based particle concept very briefly

Before attempt to clarify the differences between TGD and standard model Higgs it is good to list the basic ideas behind TGD based notion of particle.

1. Bosonic emergence means that gauge bosons and Higgs and their super partners can be in the first approximation regarded as wormhole contacts with the throats carrying quantum numbers of fermion and antifermion. A given throat carrying fermionic quantum numbers. Also many fermion states are possible and have interpretation in terms of a supersymmetry extending the ordinary space-time supersymmetry in which super-generators are simply the fermionic oscillator operators assignable to the partonic 2-surface. These generators can be used to construct various super-conformal algebras. Right-handed neutrinos define the analog of ordinary space-time super-supersymmetry as it is encountered in MSSM. In topological condensation also fermions become wormhole contacts with second throat carrying purely bosonic quantum numbers.
2. The weak form of electric magnetic duality forces the conclusion that wormhole throats carry Kähler magnetic charges which much be neutralized by opposite Kähler magnetic charge. The natural idea is that monopole confinement is also behind color confinement and electroweak screening. In the case of color confinement the valence quarks would form wormhole throats connected by color magnetic flux tubes having total Kähler magnetic charge. Weak screening would mean that the throat compensating the Kähler magnetic charge of fermionic throat contains a neutrino-antineutrino pairs screening the weak isospin. This leaves to  $Z^0$  coupling  $I_L^3 + \sin^2(\theta_W)Q_{em}$  and if classical  $Z^0$  field is present this leads to an interaction distinguishing between TGD and standard model.

3. Particle massivation is described by p-adic thermodynamics. p-Adic thermodynamics cannot explain gauge boson masses and if it contributes the contribution is small and corresponds to low p-adic temperatures  $T_p = 1/n$ . It is not yet completely clear whether the generation of vacuum contribution to ground state conformal weight implying deviation from half-integer value is responsible for weak gauge boson masses. It might be sensible to speak about coherent state of Higgs bosons in zero energy ontology and also in the case of fermions if interacting fermions have suffered topological condensation. If this is the case Higgs vacuum expectation value defining the coherent state can contribute to the particle mass but only in the case of weak gauge bosons give a dominating contribution. It is not clear whether the generation of non-half-integer vacuum conformal weight and Higgs mechanisms could be seen descriptions of one and same thing.

### Scalar and pseudo-scalar triplet and singlet instead of two doublets

TGD based notion of Higgs differs from its standard model and MSSM counterpart because the notion of spinor is different. If one believes on the following arguments, the basic implication is that two Higgs doublets of MSSM are replaced with scalar and pseudo-scalar triplet and singlet.

1. In TGD framework space-time spinors are induced spinors and therefore spinors of 8-D space  $M^4 \times CP_2$ . The mixing of  $M^4$  chiralities in the modified Dirac equation in the space-time interior serves as a tell-tale signature for the massivation and does not imply mixing of the imbedding space chiralities identified in terms of leptons and quarks.
2. Group theoretically gauge bosons and Higgs itself corresponds to a tensor product of two  $M^4 \times CP_2$  spinors giving rise to a spin singlet. In electroweak degrees of freedom one has a tensor product of right and left handed doublets decomposing to triplet and singlet under  $SU(2)_V$ . The first guess would be that one obtains just triplet 3 and singlet 1 whereas in standard model one has a complex  $SU(2)_V$  doublet. In MSSM the cancellation of anomalies requires two doublets. As noticed, TGD allows supersymmetry generalizing the usual space-time supersymmetry and also no anomaly cancellation argument allows to expect a pairs of triplets and singlets.
3. One can assign fermion with "upper" throat and antifermion with the "lower" throat or vice versa and one can have both the sum or difference of these two states. This does not however imply additional degeneracy. Fermionic statistics requires the antisymmetry of the state with respect to the exchange of all quantum numbers. Spin and isospin triplets (singlets) are symmetric (antisymmetric) under the exchange of spin quantum numbers and singlets antisymmetric. In the case of Higgs triplet (singlet) the sum (difference) of these states must be assumed and there is no additional degeneracy.
4. One can construct gauge bosons and Higgs type particles from both quarks and leptons. The requirement that the gauge bosons couple to both quarks and leptons implies that they correspond to sums of these Higgses and behave like  $H$ -vectors for one has  $\Gamma_9 = 1$ . One can however ask whether also  $H$ -axial vector gauge bosons and Higgs with  $\Gamma_9 = -1$  should be allowed. They are not suggested by the study of the modified Dirac equation and it seems that this leads to physically non-sensical results. First of all, the exchanges of vectorial and axial Higgses between leptons and quarks would be of opposite sign and at high energies the sum over these exchanges would approach zero so that quark and lepton sectors would separate into non-interacting worlds. It is also difficult to imagine how one could avoid  $H$ -axial massless photon. p-Adic thermodynamics would allow the  $H$ -axial photon to become massive but it is not possible to understand how the  $H$ -axial scalar Higgs could transform to a longitudinal degree of freedom of the resulting  $H$ -axial photon.
5. One can construct the most general candidate for a Higgs particle using as charge matrix contracted between spinors associated with the opposite wormhole throats the product of a vector in the tangent space of  $CP_2$  represented as sum of constant gamma matrices  $\gamma_A$  and electroweak charge matrix. One can express the products of the  $CP_2$  gamma matrices and charge matrices in terms of  $CP_2$  gamma matrices  $\gamma_A$  and  $\gamma_5(CP_2)\gamma_A$ . The action of  $CP_2$  gamma matrix  $\gamma_5$  however reduces to that of  $\epsilon\gamma_5(M^4)$ , where the sign factor  $\epsilon = \pm 1$  depends on H-chirality. Therefore one would have scalar Higgs and pseudo-scalar Higgs and the couplings of pseudo-scalar

Higgs are of opposite sign for quarks and leptons. In unitary gauge one would have neutral scalar Higgs and 4 pseudo-scalar Higgses with the same charge spectrum as in MSSM. One can indeed construct Higgs particles as fermion-antifermion pairs by using products of charge matrices and  $CP_2$  tangent space vector and transform them to scalar and pseudoscalar multiplets.

6. In Higgs mechanism the key idea is that one can represent the directional degrees of freedom of Higgs field in terms of coset space  $G/H$ , now  $SU(2)_L \times U(1)/U(1)_{em}$ . Therefore Higgs field can be written as in the form  $exp(\sum_{T_a \in t} T^a \xi_a/v)(\rho+v)$ ,  $t = g-h$ , where  $v$  is the expectation value of the Higgs field fixing a preferred direction. The gauge transformation  $g = exp(-\sum_{T_a \in t} T^a \xi_a/v)$  transforms Higgs to  $\rho+v$  so that the degrees of freedom corresponding to the direction of Higgs are "eaten" by charge gauge potentials. In the resulting gauge the action contains only the YM part and Higgs term restricted to the fluctuations of Higgs around vacuum in the direction of  $v$ .

In the recent case the coset space would be the coset space of the holonomy group of  $CP_2$  divided by the subgroup defined by electromagnetic charge commuting with the vacuum expectation value which is therefore linear combination of  $\gamma_0$  and  $\gamma_3$  in the most general case. The condition that entire  $SU(2)_V$  leaves invariant the preferred direction fixes this direction to  $\gamma_0$  which corresponds to the radial coordinate of  $CP_2$  in the standard vielbein basis. In the recent case  $CP_2$  holonomy group naturally defines a preferred direction of Higgs field and it seems that vacuum expectation value is not necessary for the elimination of the charged Higgs. Neutral Higgs would essentially correspond to the magnitude of the Higgs field.

7. If the TGD based description of radiative corrections relying on the notion of generalized Feynman diagram is approximately equivalent with QFT based description and if should not differ too dramatically from those of MSSM in the approximation of  $N = 1$  supersymmetry meaning that only the super partners obtained using right handed neutrinos and antineutrinos are taken into account. At high energies the the action of  $\gamma_5$  gives only a minus sign telling the  $M^4$  chirality of approximately massless particle and one has right to expect that the effects of pseudo-scalar exchange in loops do not differ dramatically from those of a scalar exchange.

### Can one identify a classical correlate for the Higgs?

The natural question is whether one can identify classical correlates for the Higgs field and massivation. Kähler action does not allow to identify any obvious correlates whereas Kähler Dirac action does.

1. Kähler Dirac action in the interior of space-time surface should contain the counterpart of Higgs term whose signature is that it mixes  $M^4$  chiralities. The interaction term analogous to that appearing in the ordinary Dirac action coupled to gauge fields is

$$\begin{aligned} L_{int} &= \bar{\Psi} \hat{\Gamma}^\alpha A_\alpha \Psi , \\ \hat{\Gamma}^\alpha &= T^{\alpha k} \gamma_k = T^{\alpha k} \gamma_k(M^4) + T^{\alpha k} \gamma_k(CP_2) , \\ T^{\alpha k} &= \frac{\partial L_K}{\partial(\partial_\alpha h^k)} . \end{aligned} \tag{16.7.1}$$

Here  $A_\alpha$  are the components of the induced spinor connection.  $T^{\alpha k}$  denotes canonical momentum densities and conserved momentum and color currents are closely related to them. They are required by internal consistency (in particular, by the consistency with the vacuum degeneracy of Kähler action) and super-symmetry. If action were defined by the volume of space-time surface in the induced metric, modified gamma matrices would reduce to induced gamma matrices coding information about classical gravitational fields. Also now information about gravitation is coded besides the dynamics of Kähler action associated with zero modes. Kähler field can indeed be said to characterize zero modes locally whereas quantum fluctuating degrees contributing to the WCW metric and therefore identifiable as gravitational degrees of freedom in generalized sense of the word [17].

The modified gamma matrices decompose to two parts corresponding to  $M^4$  and  $CP_2$  gamma matrices and the presence of  $CP_2$  gamma matrices implies the mixing of  $M^4$  chiralities so that

massivation is unavoidable once one has a space-time surface which does not correspond to the canonically imbedded  $M^4$ . Also the kinetic part of  $\hat{\Gamma}^\alpha \partial_\alpha$  contains a term mixing  $M^4$  chiralities having no obvious counterpart in the ordinary Dirac equation. The important conclusion is that whatever the dynamical details of massivation are it must take place.

2. The interaction term  $T^{\alpha k} \gamma_k (CP_2) A_\alpha$  of the modified Dirac action defined by the contraction of canonically conjugate momenta with gauge potentials mixes  $M^4$  chiralities so that it is in this sense analogous to Higgs coupling. In gauge transformations the gauge potentials however transform inhomogeneously. Does this mean that the term in question can be interpreted only as a signature for the presence of particle massivation or is also the identification as the classical counterpart of Higgs field sensible?

Optimist could argue that there is a natural preferred gauge associated with the classical spinor connection. For instance, the Coulomb interaction term for Kähler action vanishes in preferred gauge for the general solution ansatz implying the reduction of Kähler function to Chern-Simons term for extremal in presence of a constraint expressing the weak form of electric-magnetic duality. For Kähler gauge potential this gauge is highly unique. Also, if one imagines adding to the induced gauge potential quantum fluctuating part representing the quantum field, one could say that the classical Higgs field transforms homogeneously and that quantum part is gauge transformed inhomogeneously. The situation remains unsettled.

3. The classical correlate for the Higgs field in TGD is not a genuine scalar field but defines a vector in the 4-D tangent space of  $CP_2$ . This allows to speak about  $CP_2$  polarization. If the notion of unitary gauge meaning that an electro-weak gauge rotation takes Higgs to a standard direction invariant under  $SU(2)_V$  rotations - in particular those induced by the vectorial isospin  $I_V^3$  appearing in electromagnetic charge- then one can say that  $CP_2$  polarization is always in the same direction for the scalar Higgs. In the case of pseudo-scalar Higgs all four  $CP_2$  polarizations are possible.

### 16.7.3 How TGD based description of particle massivation relates to Higgs mechanism

In TGD framework p-adic thermodynamics gives the dominating contribution to fermion masses, which is something completely new. In the case of gauge bosons thermodynamic contribution is small since the inverse integer valued p-adic temperature is  $T = 1/2$  for bosons or even lower: for fermions one has  $T = 1$ .

Whether Higgs can contribute to the masses is not completely clear. In TGD framework Mexican hat potential however looks like trick. One must however keep in mind that any other mechanism must explain the ratio of W and  $Z^0$  masses and how these bosons receive their longitudinal polarizations. One must also consider seriously the possibility that all components for the TGD counterpart of Higgs boson are transformed to the longitudinal polarizations of the gauge bosons. Twistorial approach to TGD indeed strongly suggests that also the gauge bosons regarded usually as massless have a small mass guaranteeing cancellation of IR singularities. As I started write to write this piece of text I believed that photon does not eat Higgs but had to challenge my beliefs. Maybe there is no Higgs to be found at LHC! Only pseudo-scalar partner of Higgs would remain to be discovered.

The weak form of electric magnetic duality implying that each wormhole throat carrying fermionic quantum numbers is accompanied by a second wormhole throat carrying opposite magnetic charge and neutrino pair screening weak isospin and making gauge bosons massive. Concerning the implications the following view looks the most plausible one at this moment.

1. Neutral Higgs-if not eaten by photon- could develop a coherent state meaning vacuum expectation value and this is naturally proportional to the inverse of the p-adic length scale as are boson masses. This contribution can be assigned to the magnetic flux tube mentioned above since it screens weak force - or equivalently - makes them massive. Higgs expectation would not cause boson massivation. Rather, massivation and Higgs vacuum expectation would be caused by the presence of the magnetic flux tubes. Standard model would suffer from a causal illusion. Even a worse illusion is possible if the photon eats the neutral Higgs.

2. The "stringy" magnetic flux tube connecting fermion wormhole throat and the wormhole throat containing neutrino pair would give to the vacuum conformal weight a small contribution and therefore to the mass squared of both fermions and gauge bosons (dominating one for the latter). This contribution would be small in the p-adic sense (proportional  $1/p^2$  rather than  $1/p$ ). I cannot calculate this "stringy" contribution but stringy formula in weak scale is very suggestive.
3. In the case of light fermions and massless gauge bosons the stringy contribution must vanish and therefore must correspond to  $n = 0$  string excitation (string does not vibrate at all) : otherwise the mass of fermion would be of order weak boson mass. For weak bosons  $n = 1$  would look like a natural identification but also  $n = 0$  makes sense since  $h \pm 1$  states corresponds opposite three-momenta for massless fermion and antifermion so that the state is massive. The mechanism bringing in the  $h = 0$  helicity of gauge boson would be the TGD counterpart for the transformation of Higgs component to a longitudinal polarization.  $n \geq 0$  excited states of fermions and  $n \geq 1$  excitations of bosons having masses above weak boson masses are predicted and would mean new physics becoming possibly visible at LHC.

Consider now the identification of Higgs in TGD framework.

1. In TGD framework Higgs particles do not correspond to complex  $SU(2)$  doublets but to triplet and singlet having same quantum numbers as gauge bosons. Therefore the idea that photon eats neutral Higgs is suggestive. Also a pseudo-scalar variant of Higgs is predicted. Let us see how these states emerge from weak strings.
2. The two kinds of massive states corresponding to  $n = 0$  and  $n = 1$  give rise to massive spin 1 and spin 2 particles. First of all, the helicity doublet  $(1, -1)$  is necessarily massive since the 3-momenta for massless fermion and anti-fermion are opposite. For  $n = L = 0$  this gives two states but helicity zero component is lacking. For  $n = L = 1$  one has tensor product of doublet  $(1, -1)$  and angular momentum triplet formed by  $L = 1$  rotational state of the weak string. This gives  $2 \times 3$  states corresponding to  $J = 0$  and  $J = 2$  multiplets. Note however than in spin degrees of freedom the Higgs candidate is not a genuine elementary scalar particle.
3. Fermion and antifermion can have parallel three momenta summing up to a massless 4-momentum. Spin vanishes so that one has Higgs like particle also now. This particle is however pseudo-scalar being group theoretically analogous to meson formed as a pair of quark and antiquark. p-Adic thermodynamics gives a contribution to the mass squared. By taking a tensor product with rotational states of strings one would obtain Regge trajectory containing pseudoscalar Higgs as the lowest state.

Consider now the problem how the gauge bosons can eat the Higgs boson to get their longitudinal component.

1. ( $J = 0, n = 1$ ) Higgs state can be combined with  $n = 0$   $h = \pm 1$  doublet to give spin 1 massive triplet provided the masses of the two states are same. This will be discussed below.
2. Also gauge bosons usually regarded as massless can eat the scalar Higgs so that Higgs like particle could disappear completely. There would be no Higgs to be discovered at LHC! But is this a real prediction? Could it be that it is not possible to have exactly massless photons and gluons? The mixing of  $M^4$  chiralities for Chern-Simons Dirac equation implies that also collinear massless fermion and antifermion can have helicity  $\pm 1$ . The problem is that the mixing of the chiralities is a signature of massivation!

Could it really be that even the gauge bosons regarded as massless have a small mass characterized by the length scale of the causal diamond defining the physical IR cutoff and that the remaining Higgs component would correspond to the longitudinal component of photon? This would mean the number of particles in the final states for a particle reaction with a fixed initial state is always bounded from above. This is important for the twistorial aesthetics of generalized Feynman diagrammatics implied by zero energy ontology. Also the vanishing of IR divergences is guaranteed by a small physical mass [32]. Maybe internal consistency allows only pseudo-scalar Higgs.

The weak form of electric-magnetic duality suggests strongly the existence of weak Regge trajectories.

1. The most general mass squared formula with spin-orbit interaction term  $M_{L-S}^2 L \cdot S$  reads as

$$M^2 = nM_1^2 + M_0^2 + M_{L-S}^2 L \cdot S, \quad n = 0, 2, 4 \text{ or } n = 1, 3, 5, \dots, \quad (16.7.2)$$

$M_1^2$  corresponds to string tension and  $M_0^2$  corresponds to the thermodynamical mass squared and possible other contributions. For a given trajectory even (odd) values of  $n$  have same parity and can correspond to excitations of same ground state. From ancient books written about hadronic string model one vaguely recalls that one can have several trajectories (satellites) and if one has something called exchange degeneracy, the even and odd trajectories define single line in  $M^2 - J$  plane. As already noticed TGD variant of Higgs mechanism combines together  $n = 0$  states and  $n = 1$  states to form massive gauge bosons so that the trajectories are not independent.

2. For fermions, possible Higgs, and pseudo-scalar Higgs and their super partners also p-adic thermodynamical contributions are present.  $M_0^2$  must be non-vanishing also for gauge bosons and be equal to the mass squared for the  $n = L = 1$  spin singlet. By applying the formula to  $h = \pm 1$  states one obtains

$$M_0^2 = M^2(\text{boson}) . \quad (16.7.3)$$

The mass squared for transversal polarizations with  $(h, n, L) = (\pm 1, n = L = 0, S = 1)$  should be same as for the longitudinal polarization with  $(h = 0, n = L = 1, S = 1, J = 0)$  state. This gives

$$M_1^2 + M_0^2 + M_{L-S}^2 L \cdot S = M_0^2 . \quad (16.7.4)$$

From  $L \cdot S = [J(J + 1) - L(L + 1) - S(S + 1)] / 2 = -2$  for  $J = 0, L = S = 1$  one has

$$M_{L-S}^2 = -\frac{M_1^2}{2} . \quad (16.7.5)$$

Only the value of weak string tension  $M_1^2$  remains open.

3. If one applies this formula to arbitrary  $n = L$  one obtains total spins  $J = L + 1$  and  $L - 1$  from the tensor product. For  $J = L - 1$  one obtains

$$M^2 = (2n + 1)M_1^2 + M_0^2 .$$

For  $J = L + 1$  only  $M_0^2$  contribution remains so that one would have infinite degeneracy of the lightest states. Therefore stringy mass formula must contain a non-linear term making Regge trajectory curved. The simplest possible generalization which does not affect  $n=0$  and  $n=1$  states is of from

$$M^2 = n(n - 1)M_2^2 + \left(n - \frac{L \cdot S}{2}\right)M_1^2 + M_0^2 . \quad (16.7.6)$$

The challenge is to understand the ratio of W and  $Z^0$  masses, which is purely group theoretic and provides a strong support for the massivation by Higgs mechanism.

1. The above formula and empirical facts require

$$\frac{M_0^2(W)}{M_0^2(Z)} = \frac{M^2(W)}{M^2(Z)} = \cos^2(\theta_W) . \quad (16.7.7)$$

in excellent approximation. Since this parameter measures the interaction energy of the fermion and antifermion decomposing the gauge boson depending on the net quantum numbers of the pair, it would look very natural that one would have

$$M_0^2(W) = g_W^2 M_{SU(2)}^2 , \quad M_0^2(Z) = g_Z^2 M_{SU(2)}^2 . \quad (16.7.8)$$

Here  $M_{SU(2)}^2$  would be the fundamental mass squared parameter for  $SU(2)$  gauge bosons. p-Adic thermodynamics of course gives additional contribution which is vanishing or very small for gauge bosons.

2. The required mass ratio would result in an excellent approximation if one assumes that the mass scales associated with  $SU(2)$  and  $U(1)$  factors suffer a mixing completely analogous to the mixing of  $U(1)$  gauge boson and neutral  $SU(2)$  gauge boson  $W_3$  leading to  $\gamma$  and  $Z^0$ . Also Higgs, which consists of  $SU(2)$  triplet and singlet in TGD Universe, would very naturally suffer similar mixing. Hence  $M_0(B)$  for gauge boson  $B$  would be analogous to the vacuum expectation of corresponding mixed Higgs component. More precisely, one would have

$$\begin{aligned} M_0(W) &= M_{SU(2)} , \\ M_0(Z) &= \cos(\theta_W) M_{SU(2)} + \sin(\theta_W) M_{U(1)} , \\ M_0(\gamma) &= -\sin(\theta_W) M_{SU(2)} + \cos(\theta_W) M_{U(1)} . \end{aligned} \quad (16.7.9)$$

The condition that photon mass is very small and corresponds to IR cutoff mass scale gives  $M_0(\gamma) = \epsilon \cos(\theta_W) M_{SU(2)}$ , where  $\epsilon$  is very small number, and implies

$$\begin{aligned} \frac{M_{U(1)}}{M(W)} &= \tan(\theta_W) + \epsilon , \\ \frac{M(\gamma)}{M(W)} &= \epsilon \times \cos(\theta_W) , \\ \frac{M(Z)}{M(W)} &= \frac{1 + \epsilon \times \sin(\theta_W) \cos(\theta_W)}{\cos(\theta_W)} . \end{aligned} \quad (16.7.10)$$

There is a small deviation from the prediction of the standard model for W/Z mass ratio but by the smallness of photon mass the deviation is so small that there is no hope of measuring it. One can of course keep mind open for  $\epsilon = 0$ . The formulas allow also an interpretation in terms of Higgs vacuum expectations as it must. The vacuum expectation would most naturally correspond to interaction energy between the massless fermion and antifermion with opposite 3-momenta at the throats of the wormhole contact and the challenge is to show that the proposed formulas characterize this interaction energy. Since  $CP_2$  geometry codes for standard model symmetries and their breaking, it would not be surprising if this would happen. One cannot exclude the possibility that p-adic thermodynamics contributes to  $M_0^2(boson)$ . For instance,  $\epsilon$  might characterize the p-adic thermal mass of photon.

If the mixing applies to the entire Regge trajectories, the above formulas would apply also to weak string tensions, and also photons would belong to Regge trajectories containing high spin excitations.



3. What one can one say about the value of the weak string tension  $M_1^2$ ? The naive order of magnitude estimate is  $M_1^2 \simeq m_W^2 \simeq 10^4 \text{ GeV}^2$  is by a factor 1/25 smaller than the direct scaling up of the hadronic string tension about  $1 \text{ GeV}^2$  scaled up by a factor  $2^{18}$ . The above argument however allows also the identification as the scaled up variant of hadronic string tension in which case the higher states at weak Regge trajectories would not be easy to discover since the mass scale defined by string tension would be 512 GeV to be compared with the recent beam energy 7 TeV. Weak string tension need of course not be equal to the scaled up hadronic string tension. Weak string tension - unlike its hadronic counterpart- could also depend on the electromagnetic charge and other characteristics of the particle.

## 16.8 Calculation of hadron masses and topological mixing of quarks

The calculation of quark masses is not enough since one must also understand CKM mixing of quarks in order to calculate hadron masses. A model for CKM matrix and hadron masses is constructed in [23] and here only a brief summary about basic ideas involved is given.

### 16.8.1 Topological mixing of quarks

In TGD framework CKM mixing is induced by topological mixing of quarks (that is 2-dimensional topologies characterized by genus). The strongest number theoretical constraint on mixing matrices would be that they are rational. Perhaps a more natural constraint is that they are expressible in terms of roots of unity for some finite dimensional algebraic extension of rationals and therefore also p-adic numbers.

Number theoretical constraints on topological mixing can be realized by assuming that topological mixing leads to a thermodynamical equilibrium subject to constraints from the integer valued modular contributions remaining integer valued in the mixing. This gives an upper bound of 1200 for the number of different  $U$  and  $D$  matrices and the input from top quark mass and  $\pi^+ - \pi^0$  mass difference implies that physical  $U$  and  $D$  matrices can be constructed as small perturbations of matrices expressible as direct sum of essentially unique  $2 \times 2$  and  $1 \times 1$  matrices. The maximally entropic solutions can be found numerically by using the fact that only the probabilities  $p_{11}$  and  $p_{21}$  can be varied freely. The solutions are unique in the accuracy used, which suggests that the system allows only single thermodynamical phase.

The matrices  $U$  and  $D$  associated with the probability matrices can be deduced straightforwardly in the standard gauge. The  $U$  and  $D$  matrices derived from the probabilities determined by the entropy maximization turn out to be unitary for most values of integers  $n_1$  and  $n_2$  characterizing the lowest order contribution to quark mass. This is a highly non-trivial result and means that mass and probability constraints together with entropy maximization define a sub-manifold of  $SU(3)$  regarded as a sub-manifold in 9-D complex space. The choice  $(n(u), n(c)) = (4, n)$ ,  $n < 9$ , does not allow unitary  $U$  whereas  $(n(u), n(c)) = (5, 6)$  does. This choice is still consistent with top quark mass and together with  $n(d) = n(s) = 5$  it leads to a rather reasonable CKM matrix with a value of CP breaking invariant within experimental limits. The elements  $V_{i3}$  and  $V_{3i}$ ,  $i = 1, 2$  are however roughly twice larger than their experimental values deduced assuming standard model.  $V_{31}$  is too large by a factor 1.6. The possibility of scaled up variants of light quarks could lead to too small experimental estimates for these matrix elements. The whole parameter space has not been scanned so that better candidates for CKM matrices might well exist.

### 16.8.2 Higgsy contribution to fermion masses is negligible

There are good reasons to believe that Higgs expectation for the fermionic space-time sheets is vanishing although fermions couple to Higgs. Thus p-adic thermodynamics would explain fermion masses completely. This together with the fact that the prediction of the model for the top quark mass is consistent with the most recent limits on it, fixes the  $CP_2$  mass scale with a high accuracy to the maximal one obtained if second order contribution to electron's p-adic mass squared vanishes. This is very strong constraint on the model.

### 16.8.3 The p-adic length scale of quark is dynamical

The assumption about the presence of scaled up variants of light quarks in light hadrons leads to a surprisingly successful model for pseudo scalar meson masses using only quark masses and the assumption mass squared is additive for quarks with same p-adic length scale and mass for quarks labelled by different primes  $p$ . This conforms with the idea that pseudo scalar mesons are Goldstone bosons in the sense that color Coulombic and magnetic contributions to the mass cancel each other. Also the mass differences between hadrons containing different numbers of strange and heavy quarks can be understood if  $s$ ,  $b$  and  $c$  quarks appear as several scaled up versions.

This hypothesis yields surprisingly good fit for meson masses but for some mesons the predicted mass is slightly too high. The reduction of  $CP_2$  mass scale to cure the situation is not possible since top quark mass would become too low. In case of diagonal mesons for which quarks correspond to same p-adic prime, quark contribution to mass squared can be reduced by ordinary color interactions and in the case of non-diagonal mesons one can require that quark contribution is not larger than meson mass.

It should be however made clear that the notion of quark mass is problematic. One can speak about current quark masses and constituent quark masses. For  $u$  and  $d$  quarks constituent quark masses have scale  $10^2$  GeV are much higher than current quark masses having scale 10 GeV. For current quarks the dominating contribution to hadron mass would come from super-symplectic bosons at quantum level and at more phenomenological level from hadronic string tension. The open question is which option to choose or whether one should regard the two descriptions as duals of each other based on  $M^8 - H$  duality.  $M^8$  description would be natural at low energies since  $SO(4)$  takes the role of color group. One could also say that current quarks are created in deconfinement phase transition which involves change of the p-adic length scale characterizing the quark. Somewhat counter intuitively but in accordance with Uncertainty Principle this length scale would increase but one could assign it the color magnetic field body of the quark.

### 16.8.4 Super-symplectic bosons at hadronic space-time sheet can explain the constant contribution to baryonic masses

Current quarks explain only a small fraction of the baryon mass and that there is an additional contribution which in a good approximation does not depend on baryon. This contribution should correspond to the non-perturbative aspects of QCD which could be characterized in terms of constituent quark masses in  $M^8$  picture and in terms of current quark masses and string tension or super-symplectic bosons in  $M^4 \times CP_2$  picture.

Super-symplectic gluons provide an attractive description of this contribution. They need not exclude more phenomenological description in terms of string tension. Baryonic space-time sheet with  $k = 107$  would contain a many-particle state of super-symplectic gluons with net conformal weight of 16 units. This leads to a model of baryons masses in which masses are predicted with an accuracy better than 1 per cent. Super-symplectic gluons also provide a possible solution to the spin puzzle of proton.

Hadronic string model provides a phenomenological description of non-perturbative aspects of QCD and a connection with the hadronic string model indeed emerges. Hadronic string tension is predicted correctly from the additivity of mass squared for  $J = 2$  bound states of super-symplectic quanta. If the topological mixing for super-symplectic bosons is equal to that for  $U$  type quarks then a 3-particle state formed by 2 super-symplectic quanta from the first generation and 1 quantum from the second generation would define baryonic ground state with 16 units of conformal weight.

In the case of mesons pion could contain super-symplectic boson of first generation preventing the large negative contribution of the color magnetic spin-spin interaction to make pion a tachyon. For heavier bosons super-symplectic boson need not to be assumed. The preferred role of pion would relate to the fact that its mass scale is below QCD  $\Lambda$ .

### 16.8.5 Description of color magnetic spin-spin splitting in terms of conformal weight

What remains to be understood are the contributions of color Coulombic and magnetic interactions to the mass squared. There are contributions coming from both ordinary gluons and super-symplectic

gluons and the latter is expected to dominate by the large value of color coupling strength.

Conformal weight replaces energy as the basic variable but group theoretical structure of color magnetic contribution to the conformal weight associated with hadronic space-time sheet ( $k = 107$ ) is same as in case of energy. The predictions for the masses of mesons are not so good than for baryons, and one might criticize the application of the format of perturbative QCD in an essentially non-perturbative situation.

The comparison of the super-symplectic conformal weights associated with spin 0 and spin 1 states and spin 1/2 and spin 3/2 states shows that the different masses of these states could be understood in terms of the super-symplectic particle contents of the state correlating with the total quark spin. The resulting model allows excellent predictions also for the meson masses and implies that only pion and kaon can be regarded as Goldstone boson like states. The model based on spin-spin splittings is consistent with the model.

To sum up, the model provides an excellent understanding of baryon and meson masses. This success is highly non-trivial since the fit involves only the integers characterizing the p-adic length scales of quarks and the integers characterizing color magnetic spin-spin splitting plus p-adic thermodynamics and topological mixing for super-symplectic gluons. The next challenge would be to predict the correlation of hadron spin with super-symplectic particle content in case of long-lived hadrons.



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# Chapter 17

## New Physics Predicted by TGD

### 17.1 Introduction

TGD predicts a lot of new physics and it is quite possible that this new physics becomes visible at LHC. Although calculational formalism is still lacking, p-adic length scale hypothesis allows to make precise quantitative predictions for particle masses by using simple scaling arguments. Actually there is already now evidence for effects providing further support for TGD based view about QCD and first rumors about super-symmetric particles have appeared.

Before detailed discussion it is good to summarize what elements of quantum TGD are responsible for new physics.

1. The new view about particles relies on their identification as partonic 2-surfaces (plus 4-D tangent space data to be precise). This effective metric 2-dimensionality implies generalization of the notion of Feynman diagram and holography in strong sense. One implication is the notion of field identity or field body making sense also for elementary particles and the Lamb shift anomaly of muonic hydrogen could be explained in terms of field bodies of quarks.
2. The topological explanation for family replication phenomenon implies genus generation correspondence and predicts in principle infinite number of fermion families. One can however develop a rather general argument based on the notion of conformal symmetry known as hyper-ellipticity stating that only the genera  $g = 0, 1, 2$  are light [31]. What "light" means is however an open question. If light means something below  $CP_2$  mass there is no hope of observing new fermion families at LHC. If it means weak mass scale situation changes.

For bosons the implications of family replication phenomenon can be understood from the fact that they can be regarded as pairs of fermion and antifermion assignable to the opposite wormhole throats of wormhole throat. This means that bosons formally belong to octet and singlet representations of dynamical  $SU(3)$  for which 3 fermion families define 3-D representation. Singlet would correspond to ordinary gauge bosons. Also interacting fermions suffer topological condensation and correspond to wormhole contact. One can either assume that the resulting wormhole throat has the topology of sphere or that the genus is same for both throats.

3. The view about space-time supersymmetry differs from the standard view in many respects. First of all, the super symmetries are not associated with Majorana spinors. Super generators correspond to the fermionic oscillator operators assignable to leptonic and quark-like induced spinors and there is in principle infinite number of them so that formally one would have  $\mathcal{N} = \infty$  SUSY. I have discussed the required modification of the formalism of SUSY theories in [18] and it turns out that effectively one obtains just  $\mathcal{N} = 1$  SUSY required by experimental constraints. The reason is that the fermion states with higher fermion number define only short range interactions analogous to van der Waals forces. Right handed neutrino generates this super-symmetry broken by the mixing of the  $M^4$  chiralities implied by the mixing of  $M^4$  and  $CP_2$  gamma matrices for induced gamma matrices. The simplest assumption is that particles and their superpartners obey the same mass formula but that the p-adic length scale can be different for them.

4. The new view about particle massivation involves besides p-adic thermodynamics also Higgs but there is no need to assume that Higgs vacuum expectation plays any role. The most natural option favored by the assumption that elementary bosons are bound states of massless elementary fermions, by twistorial considerations, and by the fact that both gauge bosons and Higgs form SU(2) triplet and singlet, predicts that also photon and other massless gauge bosons develop small mass so that all Higgs particles and their colored variants would disappear from spectrum. Also Higgsinos could be eaten by gauginos so that only massive gauginos would be seen at LHC.
5. One of the basic distinctions between TGD and standard model is the new view about color.
  - (a) The first implication is separate conservation of quark and lepton quantum numbers implying the stability of proton against the decay via the channels predicted by GUTs. This does not mean that proton would be absolutely stable. p-Adic and dark length scale hierarchies indeed predict the existence of scale variants of quarks and leptons and proton could decay to hadrons of some zoomed up copy of hadrons physics. These decays should be slow and presumably they would involve phase transition changing the value of Planck constant characterizing proton. It might be that the simultaneous increase of Planck constant for all quarks occurs with very low rate.
  - (b) Also color excitations of leptons and quarks are in principle possible. Detailed calculations would be required to see whether their mass scale is given by  $CP_2$  mass scale. The so called leptohadron physics proposed to explain certain anomalies associated with both electron, muon, and  $\tau$  lepton could be understood in terms of color octet excitations of leptons [24].
6. Fractal hierarchies of weak and hadronic physics labelled by p-adic primes and by the levels of dark matter hierarchy are highly suggestive. Ordinary hadron physics corresponds to  $M_{107} = 2^{107} - 1$ . One especially interesting candidate would be scaled up hadronic physics which would correspond to  $M_{89} = 2^{89} - 1$  defining the p-adic prime of weak bosons. The corresponding string tension is about 512 GeV and it might be possible to see the first signatures of this physics at LHC. Nuclear string model in turn predicts that nuclei correspond to nuclear strings of nucleons connected by colored flux tubes having light quarks at their ends. The interpretation might be in terms of  $M_{127}$  hadron physics. In biologically most interesting length scale range 10 nm-2.5  $\mu\text{m}$  there are four Gaussian Mersennes and the conjecture is that these and other Gaussian Mersennes are associated with zoomed up variants of hadron physics relevant for living matter. Cosmic rays might also reveal copies of hadron physics corresponding to  $M_{61}$  and  $M_{31}$ .
7. Weak form of electric magnetic duality implies that the fermions and antifermions associated with both leptons and bosons are Kähler magnetic monopoles accompanied by monopoles of opposite magnetic charge and with opposite weak isospin. For quarks Kähler magnetic charge need not cancel and cancellation might occur only in hadronic length scale. The magnetic flux tubes behave like string like objects and if the string tension is determined by weak length scale, these string aspects should become visible at LHC. If the string tension is 512 GeV the situation becomes less promising.

In this chapter the predicted new physics and possible indications for it are discussed.

## 17.2 Scaled variants of quarks and leptons

### 17.2.1 Are scaled up variants of quarks there?

The following arguments suggest that p-adically scaled up variants of quarks might appear not only at very high energies but even in low energy hadron physics.

#### Aleph anomaly and scaled up copy of $b$ quark

The prediction for the  $b$  quark mass is consistent with the explanation of the long since forgotten Aleph anomaly [42] suggesting the existence of a particle with 55 GeV mass which might represent something real. If  $b$  quark condenses at  $k(b) = 97$  level, the predicted mass is  $m(b, 97) = 52.3$  GeV for  $n_b = 59$  for the maximal  $CP_2$  mass consistent with  $\eta'$  mass and interpretation as Aleph particle.

If the mass of the particle candidate is defined experimentally as one half of the mass of resonance,  $b$  quark mass is actually by a factor  $\sqrt{2}$  higher and scaled up  $b$  corresponds to  $k(b) = 96 = 2^5 \times 3$ . The prediction is consistent with the estimate 55 GeV for the mass of the Aleph particle and gives additional support for the model of topological mixing. Also the decay characteristics of Aleph particle are consistent with the interpretation as a scaled up  $b$  quark.

### Could top quark have scaled variants?

Tony Smith has emphasized the fact that the distribution for the mass of the top quark candidate has a clear structure suggesting the existence of several states, which he interprets as excited states of top quark [43]. According to the figures 17.2.1 and 17.2.1 representing published FermiLab data, this structure is indeed clearly visible.

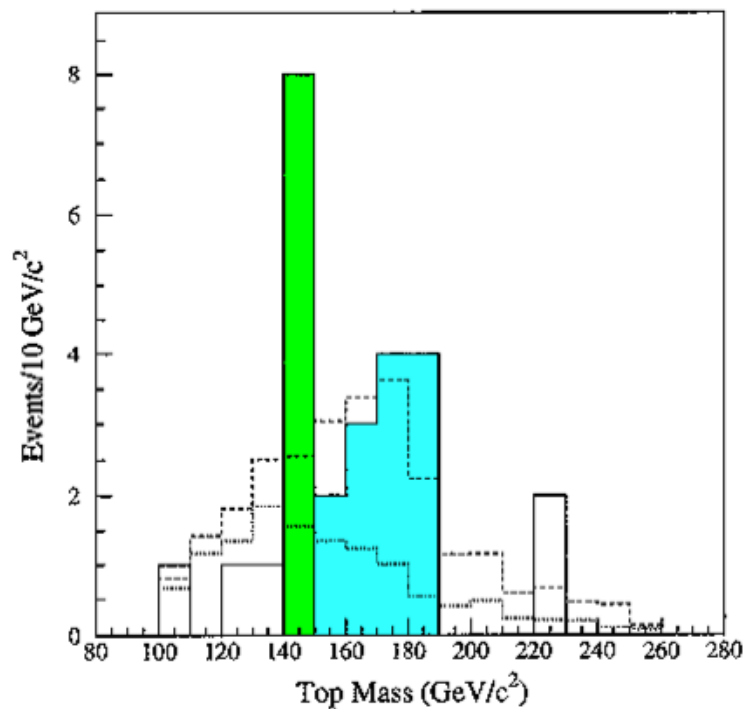


Figure 17.1: Fermilab semileptonic histogram for the distribution of the mass of top quark candidate (FERMILAB-PUB-94/097-E).

There is evidence for a sharp peak in the mass distribution of the top quark in 140-150 GeV range (Fig. 17.2.1). There is also a peak slightly below 120 GeV, which could correspond to a p-adically scaled down variant  $t$  quark with  $k = 95$  having mass 119.6 GeV for  $(Y_e = 0, Y_t = 1)$ . There is also a small peak also around 265 GeV which could relate to  $m(t(93)) = 240.4$  GeV. There top could appear at least for the p-adic scales  $k = 93, 94, 95$  as also u and d quarks seem to appear as current quarks.

### Scaled up variants of d, s, u, c in top quark mass scale

The fact that all neutrinos seem to appear as scaled up versions in several scales, encourages to look whether also u, d, s, and c could appear as scaled up variants transforming to the more stable variants by a stepwise increase of the size scale involving the emission of electro-weak gauge bosons. In the following the scenario in which  $t$  and  $b$  quarks mix minimally is considered.

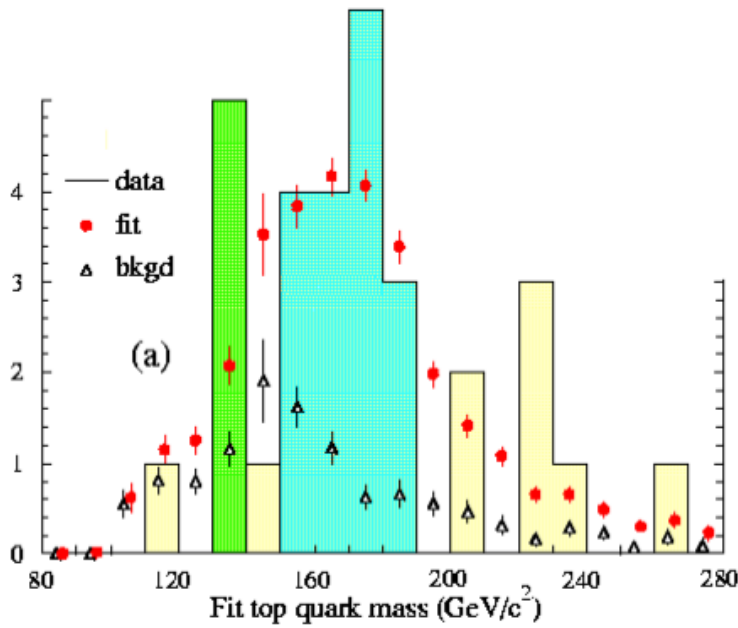


Figure 17.2: Fermilab D0 semileptonic histogram for the distribution of the mass of top quark candidate (hep-ex/9703008, April 26, 1994)

$q$	$m(92)/GeV$	$m(91)/GeV$	$m(90)/GeV$
$u$	134	189	267
$d$	152	216	304
$c$	140	198	280
$s$	152	216	304

Table 1. The masses of  $k = 92, 91$  and  $k = 90$  scaled up variants of u,d,c,s quarks assuming same integers  $n_{q_i}$  as for ordinary quarks in the scenario  $(n_d, n_s, n_b) = (5, 5, 59)$  and  $(n_u, n_c, n_t) = (5, 6, 58)$  and maximal  $CP_2$  mass consistent with the  $\eta'$  mass.

1. For  $k = 92$ , the masses would be  $m(q, 92) = 134, 140, 152, 152$  GeV in the order  $q = u, c, d, s$  so that all these quarks might appear in the critical region where the top quark mass has been wandering.
2. For  $k = 91$  copies would have masses  $m(q, 91) = 189, 198, 256, 256$  GeV in the order  $q = u, c, d, s$ . The masses of u and c are somewhat above the value of latest estimate 170 GeV for top quark mass [75].

Note that it is possible to distinguish between scaled up quarks of  $M_{107}$  hadron physics and the quarks of  $M_{89}$  hadron physics since the unique signature of  $M_{89}$  hadron physics would be the increase of the scale of color Coulombic and magnetic energies by a factor of 512. As will be found, this allows to estimate the masses of corresponding mesons and baryons by a direct scaling. For instance,  $M_{89}$  pion and nucleon would have masses 71.7 GeV and 481 GeV.

It must be added that the detailed identifications are sensitive to the exact value of the  $CP_2$  mass scale. The possibility of at most 2.5 per cent downward scaling of masses occurs is allowed by the recent value range for top quark mass.

### Fractally scaled up copies of light quarks and low mass hadrons?

One can of course ask, whether the fractally scaled up quarks could appear also in low lying hadrons. The arguments to be developed in detail later suggest that  $u$ ,  $d$ , and  $s$  quark masses could be dynamical in the sense that several fractally scaled up copies can appear in low mass hadrons and explain the mass differences between hadrons.

In this picture the mass splittings of low lying hadrons with different flavors would result from fractally scaled up excitations of  $s$  and also  $u$  and  $d$  quarks in case of mesons. This notion would also throw light into the paradoxical presence of two kinds of quark masses: constituent quark masses and current quark masses having much smaller values than constituent quarks masses. That color spin-spin splittings are of same order of magnitude for all mesons supports the view that color gauge fluxes are feeded to  $k = 107$  space-time sheet.

The alert reader has probably already asked whether also proton mass could be understood in terms of scaled up copies of  $u$  and  $d$  quarks. This does not seem to be the case, and an argument predicting with 23 per cent error proton mass scale from  $\rho - \pi$  and  $\Delta - N$  color magnetic splittings emerges.

To sum up, it seems quite possible that the scaled up quarks predicted by TGD have been observed for decade ago in FermiLab about that the prevailing dogmas has led to their neglect as statistical fluctuations. Even more, scaled up variants of  $s$  quarks might have been in front of our eyes for half century! Phenomenon is an existing phenomenon only if it is an understood phenomenon.

### The mystery of two $\Omega_b$ baryons

Tommaso Dorigo has three interesting postings [44] about the discovery of  $\Omega_b$  baryon containing two strange quarks and one bottom quark.  $\Omega_b$  has been discovered -even twice. This is not a problem. The problem is that the masses of these  $\Omega_b$ s differ quite too much. D0 collaboration discovered  $\Omega_b$  with a significance of 5.4 sigma and a mass of  $6165 \pm 16.4$  MeV [76]. Later CDF collaboration announced the discovery of the same particle with a significance of 5.5 sigma and a mass of  $6054.4 \pm 6.9$  MeV. Both D0 and CDF agree that the particle is there at better than 5 sigma significance and also that the other collaboration is wrong. They cant both be right Or could they? In some other Universe that that of standard model and all its standard generalizations, maybe in some less theoretically respected Universe, say TGD Universe?

The mass difference between the two  $\Omega_b$  candidates is 111 MeV, which represents the mass scale of strange quark. TDG inspired model for quark masses relies on p-adic thermodynamics and predicts that quarks can appear in several p-adic mass scales forming a hierarchy of half octaves - in other words mass scales comes as powers of square root of two. This property is absolutely essential for the TGD based model for masses of even low lying baryons and mesons where strange quarks indeed appear with several different p-adic mass scales. It also explains the large difference of the mass scales assigned to current quarks and constituent quarks. Light variants of quarks appear also in nuclear string model where nucleons are connected by color bonds containing light quark and antiquark at their ends.

$\Omega_b$  contains two strange quarks and the mass difference between the two candidates is of order of mass of strange quark. Could it be that both  $\Omega_b$  s are real and the discrepancy provides additional support for p-adic length scale hypothesis? The prediction of p-adic mass calculations for the mass of  $s$  quark is 105 MeV (see Table 1) so that the mass difference can be understood if the second  $s$ -quark in  $\Omega_b$  has mass which is twice the "standard" value. Therefore the strange finding about  $\Omega_b$  could give additional support for quantum TGD. Before buying a bottle of champagne, one should however understand why D0 and CDF collaborations only one  $\Omega_b$  instead of both of them.

### 17.2.2 Could neutrinos appear in several p-adic mass scales?

There are some indications that neutrinos can appear in several mass scales from neutrino oscillations [83]. These oscillations can be classified to vacuum oscillations and to solar neutrino oscillations believed to be due to the so called MSW effect in the dense matter of Sun. There are also indications that the mixing is different for neutrinos and antineutrinos[85, 64].

In TGD framework padic length scale hypothesis might explain these findings. The basic vision is that the p-adic length scale of neutrino can vary so that the mass squared scale comes as octaves. Mixing matrices would be universal. The large discrepancy between LSND and MiniBoone results [85]

contra solar neutrino results could be understood if electron and muon neutrinos have same p-adic mass scale for solar neutrinos but for LSND and MiniBoone the mass scale of either neutrino type is scaled up. The existence of a sterile neutrino [65] suggested as an explanation of the findings would be replaced by p-adically scaled up variant of ordinary neutrino having standard weak interactions. This scaling up can be different for neutrinos and antineutrinos as suggested by the fact that the anomaly is present only for antineutrinos.

The different values of  $\Delta m^2$  for neutrinos and antineutrinos in MINOS experiment [64] can be understood if the p-adic mass scale for neutrinos increases by one unit. The breaking of CP and CPT would be spontaneous and realized as a choice of different p-adic mass scales and could be understood in zero energy ontology. Similar mechanism would break supersymmetry and explain large differences between the mass scales of elementary fermions, which for same p-adic prime would have mass scales differing not too much.

## Experimental results

There several different type of experimental approaches to study the oscillations. One can study the deficit of electron type solar electron neutrinos (Kamiokande, Super-Kamiokande); one can measure the deficit of muon to electron flux ratio measuring the rate for the transformation of  $\nu_\mu$  to  $\nu_\tau$  (super-Kamiokande); one can study directly the deficit of  $\nu_e$  ( $\bar{\nu}_e$ ) neutrinos due to transformation to  $\nu_\mu$   $\nu_\mu$  coming from nuclear reactor with energies in the same range as for solar neutrinos (KamLAND); and one can also study neutrinos from particle accelerators in much higher energy range such as solar neutrino oscillations (K2K,LSND,MiniBoone,Minos).

### 1. Solar neutrino experiments and atmospheric neutrino experiments

The rate of neutrino oscillations is sensitive to the mass squared differences  $\Delta m_{12}^2$ ,  $\Delta m_{13}^2$ ,  $\Delta m_{23}^2$  and corresponding mixing angles  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$  between  $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$  (ordered in obvious manner). Solar neutrino experiments allow to determine  $\sin^2(2\theta_{12})$  and  $\Delta m_{12}^2$ . The experiments involving atmospheric neutrino oscillations allow to determine  $\sin^2(2\theta_{23})$  and  $\Delta m_{23}^2$ .

The estimates of the mixing parameters obtained from solar neutrino experiments and atmospheric neutrino experiments are  $\sin^2(2\theta_{13}) = 0.08$ ,  $\sin^2(2\theta_{23}) = 0.95$ , and  $\sin^2(2\theta_{12}) = 0.86$ . The mixing between  $\nu_e$  and  $\nu_\tau$  is very small. The mixing between  $\nu_e$  and  $\nu_\mu$ , and  $\nu_\mu$  and  $\nu_\tau$  tends is rather near to maximal. The estimates for the mass squared differences are  $\Delta m_{12}^2 = 8 \times 10^{-5} \text{ eV}^2$ ,  $\Delta m_{23}^2 \simeq \Delta m_{13}^2 = 2.4 \times 10^{-3} \text{ eV}^2$ . The mass squared differences have obviously very different scale but this need not mean that the same is true for mass squared values.

### 2. The results of LSND and MiniBoone

LSND experiment measuring the transformation of  $\bar{\nu}_\mu$  to  $\bar{\nu}_e$  gave a totally different estimate for  $\Delta m_{12}^2$  than solar neutrino experiments [85, 65]. If one assumes same value of  $\sin^2(\theta_{12})^2 \simeq .86$  one obtains  $\Delta m_{23}^2 \sim .1 \text{ eV}^2$  to be compared with  $\Delta m_{12}^2 = 8 \times 10^{-5} \text{ eV}^2$ . This result is known as LSND anomaly and led to the hypothesis that there exists a sterile neutrino having no weak interactions and mixing with the ordinary electron neutrino and inducing a rapid mixing caused by the large value of  $\Delta m^2$ . The purpose of MiniBoone experiment [85] was to test LSND anomaly.

1. It was found that the two-neutrino fit for the oscillations for  $\nu_\mu \rightarrow \nu_e$  is not consistent with LSND results. There is an unexplained  $3\sigma$  electron excess for  $E < 475 \text{ MeV}$ . For  $E > 475 \text{ MeV}$  the two-neutrino fit is not consistent with LSND fit. The estimate for  $\Delta m^2$  is in the range  $.1 - 1 \text{ eV}^2$  and differs dramatically from the solar neutrino data.
2. For antineutrinos there is a small  $1.3\sigma$  electron excess for  $E < 475 \text{ MeV}$ . For  $E > 475 \text{ MeV}$  the excess is 3 per cent consistent with null. Two-neutrino oscillation fits are consistent with LSND. The best fit gives  $(\Delta m_{12}^2, \sin^2(2\theta_{12})) = (0.064 \text{ eV}^2, 0.96)$ . The value of  $\Delta m_{12}^2$  is by a factor 800 larger than that estimated from solar neutrino experiments.

All other experiments (see the table of the summary of [65] about sterile neutrino hypothesis) are consistent with the absence of  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  mixing and only LSND and MiniBoone report an indication for a signal. If one however takes these findings seriously they suggest that neutrinos and antineutrinos behave differently in the experimental situations considered. Two-neutrino scenarios for

the mixing (no sterile neutrinos) are consistent with data for either neutrinos or antineutrinos but not both [65].

### 3. The results of MINOS group

The MINOS group at Fermi National Accelerator Laboratory has reported evidence that the mass squared differences between neutrinos are not same for neutrinos and antineutrinos [64]. In this case one measures the disappearance of  $\nu_\mu$  and  $\bar{\nu}_\mu$  neutrinos from high energy beam in the range .5-1 GeV and the dominating contribution comes from the transformation to  $\tau$  neutrinos.  $\Delta m_{23}^2$  is reported to be about 40 percent larger for antineutrinos than for neutrinos. There is 5 percent probability that the mass squared differences are same. The best fits for the basic parameters are ( $\Delta m_{23}^2 = 2.35 \times 10^{-3}$ ,  $\sin^2(2\theta_{23}) = 1$ ) for neutrinos with error margin for  $\Delta m^2$  being about 5 per cent and ( $\Delta m_{23}^2 = 3.36 \times 10^{-3}$ ,  $\sin^2(2\theta_{23}) = .86$ ) for antineutrinos with errors margin around 10 per cent. The ratio of mass squared differences is  $r \equiv \Delta m^2(\bar{\nu})/\Delta m^2(\nu) = 1.42$ . If one assumes  $\sin^2(2\theta_{23}) = 1$  in both cases the ratio comes as  $r = 1.3$ .

## Explanation of findings in terms of p-adic length scale hypothesis

p-Adic length scale hypothesis predicts that fermions can correspond to several values of p-adic prime meaning that the mass squared comes as octaves (powers of two). The simplest model for the neutrino mixing assumes universal topological mixing matrices and therefore for CKM matrices so that the results should be understood in terms of different p-adic mass scales. Even CP breaking and CPT breaking at fundamental level is un-necessary although it would occur spontaneously in the experimental situation selecting different p-adic mass scales for neutrinos and antineutrinos. The expression for the mixing probability a function of neutrino energy in two-neutrino model for the mixing is of form

$$P(E) = \sin^2(2\theta)\sin^2(X) \ , \ X = k \times \Delta m^2 \times \frac{L}{E} \ .$$

Here  $k$  is a numerical constant,  $L$  is the length travelled, and  $E$  is neutrino energy.

### 1. LSND and MiniBoone results

LSND and MiniBoone results are inconsistent with solar neutrino data since the value of  $\Delta m_{12}^2$  is by a factor 800 larger than that estimated from solar neutrino experiments. This could be understood if in solar neutrino experiments  $\nu_\mu$  and  $\nu_w$  correspond to the same p-adic mass scale  $k = k_0$  and have very nearly identical masses so that  $\Delta m^2$  scale is much smaller than the mass squared scale. If either p-adic scale is changed from  $k_0$  to  $k_0 + k$ , the mass squared difference increases dramatically. The counterpart of the sterile neutrino would be a p-adically scaled up version of the ordinary neutrino having standard electro-weak interactions. The p-adic mass scale would correspond to the mass scale defined by  $\Delta m^2$  in LSND and MiniBoone experiments and therefore a mass scale in the range .3-1 eV. The p-adic length scale assignable to eV mass scale could correspond to  $k = 167$ , which corresponds to cell length scale of  $2.5 \mu\text{m}$ .  $k = 167$  defines one of the Gaussian Mersennes  $M_{G,k} = (1+i)^k - 1$   $k = 151, 157, 163, 167$  varying in the range 10 nm (cell membrane thickness) and  $2.5 \mu\text{m}$  defining the size of cell nucleus proposed to be fundamental for the understanding of living matter [37].

### 2. MINOS results

One must assume also now that the p-adic mass scales for  $\nu_\tau$  and  $\bar{\nu}_\tau$  are near to each other in the "normal" experimental situation. Assuming that the mass squared scales of  $\nu_\mu$  or  $\bar{\nu}_\mu$  come as  $2^{-k}$  powers of  $m_{\nu_\mu}^2 = m_{\nu_\tau}^2 + \Delta m^2$ , one obtains

$$m_{\nu_\tau}^2(k_0) - m_{\nu_\mu}^2(k_0 + k) = (1 - 2^{-k})m_{\nu_\tau}^2 - 2^{-k}\Delta m_0^2 \ .$$

For  $k = 1$  this gives

$$r = \frac{\Delta m^2(k=2)}{\Delta m^2(k=1)} = \frac{\frac{3}{2} - \frac{2r}{3}}{1-r} \ , \ r = \frac{\Delta m_0^2}{m_{\nu_\tau}^2} \ . \quad (17.2.1)$$

One has  $r \geq 3/2$  for  $r > 0$  if one has  $m_{\nu_\tau} > m_{\nu_\mu}$  for the same p-adic length scale. The experimental ratio  $r \simeq 1.3$  could be understood for  $r \simeq -31$ . The experimental uncertainties certainly allow the value  $r = 1.5$  for  $k(\bar{\nu}_\mu) = 1$  and  $k(\nu_\mu) = 2$ .

This result implies that the mass scale of  $\nu_\mu$  and  $\nu_\tau$  differ by a factor  $1/2$  in the "normal" situation so that mass squared scale of  $\nu_\tau$  would be of order  $5 \times 10^{-3} \text{ eV}^2$ . The mass scales for  $\bar{\nu}_\tau$  and  $\nu_\tau$  would be about .07 eV and .05 eV. In the LSND and MiniBoone experiments the p-adic mass scale of other neutrino would be around .1-1 eV so that different p-adic mass scale large by a factor  $2^{k/2}$ ,  $2 \leq 2 \leq 7$  would be in question. The different results from various experiments could be perhaps understood in terms of the sensitivity of the p-adic mass scale to the experimental situation. Neutrino energy could serve as a control parameter.

### CP and CPT breaking

Different values of  $\Delta m_{ij}^2$  for neutrinos and antineutrinos would require in standard QFT framework not only the violation of CP but also CP [40, 87] which is the cherished symmetry of quantum field theories. CPT symmetry states that when one reverses time's arrow, reverses the signs of momenta and replaces particles with their antiparticles, the resulting Universe obeys the same laws as the original one. CPT invariance follows from Lorentz invariance, Lorentz invariance of vacuum state, and from the assumption that energy is bounded from below. On the other hand, CPT violation requires the breaking of Lorentz invariance.

In TGD framework this kind of violation does not seem to be necessary at fundamental level since p-adic scale hypothesis allowing neutrinos and also other fermions to have several mass scales coming as half-octaves of a basic mass scale for given quantum numbers. In fact, even in TGD inspired low energy hadron physics quarks appear in several mass scales. One could explain the different choice of the p-adic mass scales as being due to the experimental arrangement which selects different p-adic length scales for neutrinos and antineutrinos so that one could speak about spontaneous breaking of CP and possibly CPT. The CP breaking at the fundamental level which is however expected to be small in the case considered. The basic prediction of TGD and relates to the CP breaking of Chern-Simons action inducing CP breaking in the modified Dirac action defining the fermionic propagator [38].

One can indeed consider the possibility of a spontaneous breaking of CPT symmetry in TGD framework since for a given  $CD$  (causal diamond defined as the intersection of future and past directed light-cones whose size scales are assumed to come as octaves) the Lorentz invariance is broken due to the preferred time direction (rest system) defined by the time-like line connecting the tips of  $CD$ . Since the world of classical worlds is union of  $CD$ s with all boosts included the Lorentz invariance is not violated at the level of WCW. Spontaneous symmetry breaking would be analogous to that for the solutions of field equations possessing the symmetry themselves. The mechanism of breaking would be same as that for supersymmetry. For same p-adic length scale particles and their super-partners would have same masses and only the selection of the p-adic mass scale would induce the mass splitting.

There is an article about CPT violation[41] of the dynamics defined by what the authors also call Chern-Simons term. This term is not identical with the measurement interaction term introduced in TGD framework. It is however linear in momentum as is also the measurement interaction term added to Chern-Simons Dirac action and this is what is essential from the point of view of CPT. The measurement interaction term has a formal interpretation as  $U(1)$  gauge transform but having non-trivial physical effect since it is added only to the Chern-Simons Dirac action term but not to Kähler-Dirac action. The linearity with respect to momentum suggests CPT oddness of the measurement interaction term. In absence of the measurement interaction CPT would be intact but the change of the sign of the measurement interaction term in PT would bring in CPT violation. One must however notice that in TGD framework both imbedding space level and space-time level are involved and this does not allow straightforward application of standard arguments.

## 17.3 Family replication phenomenon and super-symmetry

### 17.3.1 Family replication phenomenon for bosons

TGD predicts that also gauge bosons, with gravitons included, should be characterized by family replication phenomenon but not quite in the expected manner. The first expectation was that these



gauge bosons would have at least 3 light generations just like quarks and leptons.

Only within last years it has become clear that there is a deep difference between fermions and gauge bosons. Elementary fermions and particles super-conformally related to elementary fermions correspond to single throat of a wormhole contact assignable to a topologically condensed  $CP_2$  type vacuum extremal whereas gauge bosons would correspond to a wormhole throat pair assignable to wormhole contact connecting two space-time sheets. Wormhole throats correspond to light-like partonic 3-surfaces at which the signature of the induced metric changes.

In the case of 3 generations gauge bosons can be arranged to octet and singlet representations of a dynamical  $SU(3)$  and octet bosons for which wormhole throats have different genus could be massive and effectively absent from the spectrum.

Exotic gauge boson octet would induce particle reactions in which conserved handle number would be exchanged between incoming particles such that total handle number of boson would be difference of the handle numbers of positive and negative energy throat. These gauge bosons would induce flavor changing but genus conserving neutral current. There is no evidence for this kind of currents at low energies which suggests that octet mesons are heavy. Typical reaction would be  $\mu + e \rightarrow e + \mu$  scattering by exchange of  $\Delta g = 1$  exotic photon.

### 17.3.2 Masses of super partners and first rumors about supersymmetric partners from LHC

#### Experimental indication for space-time super-symmetry

There is experimental indication for super-symmetry dating back to 1995 [89]. The event involves  $e^+e^- \rightarrow \gamma\gamma$  plus missing transverse energy  $E_T$ . The electron-positron pair has transversal energies  $E_T = (36, 59)$  GeV and invariant mass  $M_{ee} = 165$  GeV. The two photons have transversal energies (30,38) GeV. The missing transverse energy is  $E_T = 53$  GeV. The cross sections for these events in standard model are too small to be observed. Statistical fluctuation could be in question but one could also consider the event as an indication for super-symmetry.

In [88] an explanation of the event in terms of minimal super-symmetric standard model (MSSM) was proposed.

1. The collision of proton and antiproton would induce an annihilation of quark and antiquark to selectron pair  $\tilde{e}^-\tilde{e}^+$  via virtual photon or  $Z^0$  boson with the mass of  $\tilde{e}$  in the range (80,130) GeV (the upper bound comes from the total energy of the particles involved).
2.  $\tilde{e}^\pm$  would in turn decay to  $e^\pm$  and neutralino  $\chi_2^0$  and  $\chi_1^0$  to the lightest super-symmetric particle  $\chi_1^0$  and photon. The neutralinos are in principle mixtures of the super partners associated with  $\gamma$ ,  $Z^0$ , and neutral higgs  $h$  (there are two of them in minimal super-symmetric generalization of standard model). The highest probability for the chain is obtained if  $\chi_2^0$  is gluino and  $\chi_1^0$  is higgsino.
3. The kinematics of the event allows to deduce the bounds

$$\begin{aligned}
 80 &< m(\tilde{e})/GeV < 130 \quad , \\
 38 &\leq m(\chi_2^0)/GeV \leq \min [1.12m(\tilde{e})/GeV - 37, 95 + 0.17m(\chi_1^0)/GeV] \quad , \\
 m(\chi_1^0)/GeV &\leq m(\chi_2^0)/GeV \leq \min [1.4m(\tilde{e})/GeV - 105, 1.6m(\chi_2^0)/GeV - 60] \quad .
 \end{aligned}
 \tag{17.3.1}$$

4. Sfermion production rate depends only on masses of the sfermions, so that slepton production cross section decouples from the analysis of particular scenarios. The cross section is at the level of  $\sigma = 10$  fb and consistent with data (one event!). The parameters of MSSM are super-symmetric soft-breaking parameters, super-potential parameters, and the parameter  $\tan(\beta)$ . This allows to derive more stringent limits on the masses and parameters of MSSM.

Consider now the explanation of the event in TGD framework.

1. By the properties of super-partners the production rate for  $\tilde{e}^-\tilde{e}^+$  is predicted to be same as in MSSM for  $\tilde{e} = e_R\bar{\nu}_R$ . Same order of magnitude is predicted also for more exotic super-partners such as  $e_L\bar{\nu}_R$  with spin 1.
2. In TGD framework it is safest to use just the kinematical bounds on the masses and p-adic length scale hypothesis. If super-symmetry breaking means same mass formula from p-adic thermodynamics but in a different p-adic mass scale,  $m(\tilde{e})$  is related by a power of  $\sqrt{2}$  to  $m(e)$ . Using  $m(\tilde{e}) = 2^{(127-k(\tilde{e}))/2}m(e)$  one finds that the mass range [80, 130] GeV allows two possible masses for selectron corresponding to  $p \simeq 2^k$ ,  $k = 91$  with  $m(\tilde{e}) = 131.1$  GeV and  $k = 92$  with  $m(\tilde{e}) = 92.7$  GeV. The bounds on  $m(Z)$  leave only the option  $m(\tilde{Z}) = m(Z) = 91.2$  GeV and  $m(\tilde{e}) = 131.1$  GeV.
3. The indirect determinations of Higgs masses from experimental data seem to converge to two different values. The first one would correspond to  $m(h) = 129$  GeV and  $k(h) = 94$  and second one to  $m(h) = 91$  GeV with  $k(h) = 95$  [20]. The fact that already the TGD counterpart for the Gell-Mann-Okubo mass formula in TGD framework requires quarks to exist at several p-adic mass scales [23], suggests that Higgs can exist in both of these mass scales depending on the experimental situation. The mass of Higgsino would correspond to some half octave of  $m(h)$ . Note that the model allows to conclude that Higgs indeed exists also in TGD Universe although it does not seem to play the same role in particle massivation as in the standard model. The bounds allow only  $k(\tilde{h}) = k(h) + 3 = 97$  and  $m(\tilde{h}) = 45.6$  GeV for  $m(h) = 129$  GeV. The same mass is obtained for  $m(h) = 91$  GeV. Therefore the kinematic limits plus super-symmetry breaking at the level of p-adic mass scale fix completely the masses of the super-particles involved in absence of mixing effects for sneutralinos. To sum up, the masses of sparticles involved are predicted to be

$$m(\tilde{e}) = 131 \text{ GeV} , \quad m(\tilde{Z}^0) = 91.2 \text{ GeV} , \quad m(\tilde{h}) = 45.6 \text{ GeV} . \quad (17.3.2)$$

### First rumors about supersymmetric partners from LHC

Lubos Motl has reported the first rumors from LHC concerning super-partners [90]. The estimates for the masses are 200 GeV for a scalar super partner of fermion and 160 GeV for a fermionic superpartner of gauge boson or Higgs. Being an incurable optimist I suppose that the rumors from LHC are more trustworthy than the physics blog rumors usually. If so, can one understand these masses in TGD framework and what can one conclude about them?

Consider first the theoretical background in light p-adic mass calculations, the weak form of electric-magnetic duality, and TGD based view about supersymmetry.

1. The simplest possibility is that the p-adic length scale of the super-partner differs from that of partner but the p-adic thermodynamical contributions to the mass squared obey the same formula.
2. If the p-adic prime  $p \simeq 2^k$  of super-partner is smaller than  $M_{89} = 2^{89} - 1$ , the weak length scale must be scaled down and  $M_{61} = 2^{61} - 1$  is the next Mersenne prime. Scaled up variant of QCD for  $M_{89}$  would naturally correspond to  $M_{61}$  weak physics and would have hadronic string tension about  $2^{18}$  GeV<sup>2</sup> by scaling the ordinary hadronic string tension of about 1 GeV<sup>2</sup>. This scaled up variant of hadronic physics is an old prediction of TGD. As noticed, also weak string tension could have the same value. Quite generally, the pairs of weak and hadronic scales predicted to form a hierarchy could correspond to pairs of subsequent (possibly Gaussian) Mersenne primes.
3. What happens for  $k = 89$ ? Can the particle topologically condense at the same p-adic scale that characterizes its weak flux tube? Or should one assume that the p-adic prime corresponds to  $k \leq 89$  assuming that the particle has standard weak interactions. If so then the superpartners of light fermions would have  $k \leq 89$ . This is a strong prediction if superpartners obey the same mass formula as particles. In the case of weak gluinos and also QCD gluinos the bound would be  $k \leq 89$  and even stronger bound would be  $k = 89$  so that the masses of wino and zino would be same as W and Z<sup>0</sup>.

One must be however very cautious with this kind of arguments since one is dealing with quantum theory. For instance, quarks inside proton have masses in 10 MeV scale and their Compton lengths are much larger than the Compton size of proton and even atomic nucleus. The interpretation is that for the corresponding space-time sheets is in terms of the color magnetic body of quark. These large space-time sheets are essential in the model of the Lamb shift anomaly of muonic hydrogen discussed in the section "The incredibly shrinking proton".

4. In TGD framework Higgs and its pseudo-scalar companion define electroweak triplet and singlet and Higgs could be eaten completely by electro-weak gauge bosons if the TGD based mechanism of massivation is correct. The condition of exact Yangian symmetry demands the cancellation of IR divergences requiring a small mass for all gauge bosons and graviton. The twistorially natural assumption that gauge bosons are bound states of massless fermion and antifermion implies that the three-momenta of fermion and antifermion are in opposite directions so that all gauge bosons -even photon- and graviton would be massive. Super-symmetry strongly suggests that gauginos eat Higgsinos as they become massive so that only massive gauge bosons and gauginos and possible pseudoscalar Higgs and its superpartner would remain to be discovered at LHC. Similar mechanism can indeed work also in the case of gluons expected to have colored scalar counterparts. Gluon would be massless below the scale corresponding to QCD  $\Lambda$  and massive above this scale.

What does this picture give when compared with the rumors about super-partners of fermion and scalar. If selectron corresponds to the not necessarily allowed  $M_{89} = 2^{89} - 1$ , and obeys otherwise the same mass formula as electron, the mass should be 250 GeV, which is too large. For  $k = 88$  which is the smallest value allowed by the above argument, one would obtain 177 GeV not far from 160 GeV. Therefore the interpretation as selectron could make sense.

In the case of super-partner of scalar one can consider several options.

1. The first observation is that 200 GeV mass does not satisfy the proposed upper bound  $k \geq 89$  for higgsinos and gauginos suggested by the condition that the weak string cannot have p-adic length scale longer than the p-adic length scale at which the particle condensed topologically. Hence neither higgsino nor longitudinal polarization of gaugino can be in question.
2. If one gives up the upper bound  $m_Z = 91.2$  GeV on mass but takes the twistorially motivated and mathematically beautiful horror scenario for LHC seriously, the 200 GeV particle can only correspond to a longitudinal polarization of Zino or photino.

One can of course forget the upper bound on mass and give up the horror scenario for a moment and look what one obtains.

1. If photonic Higgs is not eaten by photon, one would obtain  $k(\text{Higgs}) = k(\text{Higgsino}) + n$ .  $n = 1, 2, 3$  would give Higgs mass equal to (141, 100, 71) GeV for  $m(\text{Higgsino}) = 200$  GeV. On basis of experimental data mildly suggesting that neutral Higgs appears in two mass scales I have considered the possibility that Higgs indeed appears at two p-adic length scales corresponding to about 130 GeV and 92 GeV related by square root of two factor. 130 GeV would give  $m(\text{Higgsino}) = 184$  GeV: I dare guess that this is consistent with the estimate 200 GeV.
2. For W and  $Z^0$  Higgsinos the mass mass would be p-adically scaled up variant of W or  $Z^0$  mass and for  $Z^0$  mass about 91.2 GeV  $Z^0$  Higgsino mass would be 182.4 GeV for  $n = 2$ . For W Higgsino the mass would be around 160.8 GeV.

I have already earlier considered the predictions of p-adic length scale hypothesis for super partners on basis of single very strange scattering event (see the section "Experimental indication for space-time supersymmetry"). This kind of considerations must of course be taken as a mere blog entertainment. The hypothesis assuming that the mass formulas for particles and sparticles are same but p-adic length scale is possibly different, combined with kinematical constraints fixes the masses of TGD counterparts of selectron, higgsino, and  $Z^0$ -gluino to be 131 GeV (just at the upper bound allowed kinematically), 45.6 GeV, and 91.2 GeV ( $Z^0$  mass) respectively. The masses are consistent with the bounds predicted by the MSSM inspired model.

Selectron mass would be by a factor  $2^{-1/2}$  smaller than 177 GeV and presumably consistent with the 160 GeV rumor. Higgsino mass would be one half of  $Z^0$  mass and would satisfy the proposed constraint  $k \leq 89$ .  $Z^0$  gluino mass would be equal to  $Z^0$  mass also in accordance with the proposed constraint. W gluino is predicted to have same mass as W. In the case of photino the upper bound to the mass would be given by weak boson mass scale. Could it be that the life would be so simple? Could these predictions make it easy to discover super partners at LHC? Well-informed reader might be able to answer these questions.

## 17.4 New hadron physics

### 17.4.1 Leptohadron physics

TGD suggest strongly ('predicts' is perhaps too strong expression) the existence of color excited leptons. The mass calculations based on p-adic thermodynamics and p-adic conformal invariance lead to a rather detailed picture about color excited leptons.

1. The simplest color excited neutrinos and charged leptons belong to the color octets  $\nu_8$  and  $L_{10}$  and  $L_{\bar{10}}$  decuplet representations respectively and lepto-hadrons are formed as the color singlet bound states of these and possible other representations. Electro-weak symmetry suggests strongly that the minimal representation content is octet and decuplets for both neutrinos and charged leptons.
2. The basic mass scale for lepto-hadron physics is completely fixed by p-adic length scale hypothesis. The first guess is that color excited leptons have the levels  $k = 127, 113, 107, \dots$  ( $p \simeq 2^k$ ,  $k$  prime or power of prime) associated with charged leptons as primary condensation levels. p-Adic length scale hypothesis allows however also the level  $k = 11^2 = 121$  in case of electronic lepto-hadrons. Thus both  $k = 127$  and  $k = 121$  must be considered as a candidate for the level associated with the observed lepto-hadrons. If also lepto-hadrons correspond non-perturbatively to exotic Super Virasoro representations, lepto-pion mass relates to pion mass by the scaling factor  $L(107)/L(k) = k^{(107-k)/2}$ . For  $k = 121$  one has  $m_{\pi_L} \simeq 1.057$  MeV which compares favorably with the mass  $m_{\pi_L} \simeq 1.062$  MeV of the lowest observed state: thus  $k = 121$  is the best candidate contrary to the earlier beliefs. The mass spectrum of lepto-hadrons is expected to have same general characteristics as hadronic mass spectrum and a satisfactory description should be based on string tension concept. Regge slope is predicted to be of order  $\alpha' \simeq 1.02/MeV^2$  for  $k = 121$ . The masses of ground state lepto-hadrons are calculable once primary condensation levels for colored leptons and the CKM matrix describing the mixing of color excited lepton families is known.

The strongest counter arguments against color excited leptons are the following ones.

1. The decay widths of  $Z^0$  and  $W$  boson allow only  $N = 3$  light particles with neutrino quantum numbers. The introduction of new light elementary particles seems to make the decay widths of  $Z^0$  and  $W$  intolerably large.
2. Lepto-hadrons should have been seen in  $e^+e^-$  scattering at energies above few  $MeV$ . In particular, lepto-hadronic counterparts of hadron jets should have been observed.

A possible resolution of these problems is provided by the loss of asymptotic freedom in lepto-hadron physics. Lepto-hadron physics would effectively exist in a rather limited energy range about one MeV.

The development of the ideas about dark matter hierarchy [32, 21, 28, 19] led however to a much more elegant solution of the problem.

1. TGD predicts an infinite hierarchy of various kinds of dark matters which in particular means a hierarchy of color and electro-weak physics with weak mass scales labelled by appropriate p-adic primes different from  $M_{89}$ : the simplest option is that also ordinary photons and gluons are labelled by  $M_{89}$ .

2. There are number theoretical selection rules telling which particles can interact with each other. The assignment of a collection of primes to elementary particle as characterizer of p-adic primes characterizing the particles coupling directly to it, is inspired by the notion of infinite primes [16], and discussed in [32]. Only particles characterized by integers having common prime factors can interact by the exchange of elementary bosons: the p-adic length scale of boson corresponds to a common primes.
3. Also the physics characterized by different values of  $\hbar$  are dark with respect to each other as far quantum coherent gauge interactions are considered. Laser beams might well correspond to photons characterized by p-adic prime different from  $M_{89}$  and de-coherence for the beam would mean decay to ordinary photons. De-coherence interaction involves scaling down of the Compton length characterizing the size of the space-time of particle implying that particles do not anymore overlap so that macroscopic quantum coherence is lost.
4. Those dark physics which are dark relative to each other can interact only via graviton exchange. If lepto-hadrons correspond to a physics for which weak bosons correspond to a p-adic prime different from  $M_{89}$ , intermediate gauge bosons cannot have direct decays to colored excitations of leptons irrespective of whether the QCD in question is asymptotically free or not. Neither are there direct interactions between the QED:s and QCD:s in question if  $M_{89}$  characterizes also ordinary photons and gluons. These ideas are discussed and applied in detail in [32, 21, 28].

Skeptic reader might stop the reading after these counter arguments unless there were definite experimental evidence supporting the lepto-hadron hypothesis.

1. The production of anomalous  $e^+e^-$  pairs in heavy ion collisions (energies just above the Coulomb barrier) suggests the existence of pseudoscalar particles decaying to  $e^+e^-$  pairs. A natural identification is as lepto-pions that is bound states of color octet excitations of  $e^+$  and  $e^-$ .
2. The second puzzle, Karmen anomaly, is quite recent [81]. It has been found that in charge pion decay the distribution for the number of neutrinos accompanying muon in decay  $\pi \rightarrow \mu + \nu_\mu$  as a function of time seems to have a small shoulder at  $t_0 \sim ms$ . A possible explanation is the decay of charged pion to muon plus some new weakly interacting particle with mass of order  $30 MeV$  [77]: the production and decay of this particle would proceed via mixing with muon neutrino. TGD suggests the identification of this state as color singlet leptobaryon of, say type  $L_B = f_{abc}L_8^a L_8^b \bar{L}_8^c$ , having electro-weak quantum numbers of neutrino.
3. The third puzzle is the anomalously high decay rate of orto-positronium. [78].  $e^+e^-$  annihilation to virtual photon followed by the decay to real photon plus virtual lepto-pion followed by the decay of the virtual lepto-pion to real photon pair,  $\pi_L \gamma \gamma$  coupling being determined by axial anomaly, provides a possible explanation of the puzzle.
4. There exists also evidence for anomalously large production of low energy  $e^+e^-$  pairs [80, 48, 82, 79] in hadronic collisions, which might be basically due to the production of lepto-hadrons via the decay of virtual photons to colored leptons.

In this chapter a revised form of lepto-hadron hypothesis is described.

1. Sigma model realization of PCAC hypothesis allows to determine the decay widths of lepto-pion and lepto-sigma to photon pairs and  $e^+e^-$  pairs. Ortopositronium anomaly determines the value of  $f(\pi_L)$  and therefore the value of lepto-pion-lepto-nucleon coupling and the decay rate of lepto-pion to two photons. Various decay widths are in accordance with the experimental data and corrections to electro-weak decay rates of neutron and muon are small.
2. One can consider several alternative interpretations for the resonances.

*Option 1:* For the minimal color representation content, three lepto-pions are predicted corresponding to 8, 10,  $\bar{10}$  representations of the color group. If the lightest lepto-nucleons  $e_{ex}$  have masses only slightly larger than electron mass, the anomalous  $e^+e^-$  could be actually  $e_{ex}^+ + e_{ex}^-$  pairs produced in the decays of lepto-pions. One could identify 1.062, 1.63 and 1.77 MeV states as the three lepto-pions corresponding to 8, 10,  $\bar{10}$  representations and also understand why the

latter two resonances have nearly degenerate masses. Since  $d$  and  $s$  quarks have same primary condensation level and same weak quantum numbers as colored  $e$  and  $\mu$ , one might argue that also colored  $e$  and  $\mu$  correspond to  $k = 121$ . From the mass ratio of the colored  $e$  and  $\mu$ , as predicted by TGD, the mass of the muonic lepto-pion should be about 1.8 MeV in the absence of topological mixing. This suggests that 1.83 MeV state corresponds to the lightest  $g = 1$  lepto-pion.

*Option 2:* If one believes sigma model (in ordinary hadron physics the existence of sigma meson is not established and its width is certainly very large if it exists), then lepto-pions are accompanied by sigma scalars. If lepto-sigmas decay dominantly to  $e^+e^-$  pairs (this might be forced by kinematics) then one could adopt the previous scenario and could identify 1.062 state as lepto-pion and 1.63, 1.77 and 1.83 MeV states as lepto-sigmas rather than lepto-pions. The fact that muonic lepto-pion should have mass about 1.8 MeV in the absence of topological mixing, suggests that the masses of lepto-sigma and lepto-pion should be rather close to each other.

*Option 3:* One could also interpret the resonances as string model 'satellite states' having interpretation as radial excitations of the ground state lepto-pion and lepto-sigma. This identification is not however so plausible as the genuinely TGD based identification and will not be discussed in the sequel.

3. PCAC hypothesis and sigma model leads to a general model for lepto-hadron production in the electromagnetic fields of the colliding nuclei and production rates for lepto-pion and other lepto-hadrons are closely related to the Fourier transform of the instanton density  $\vec{E} \cdot \vec{B}$  of the electromagnetic field created by nuclei. The first source of anomalous  $e^+e^-$  pairs is the production of  $\sigma_L\pi_L$  pairs from vacuum followed by  $\sigma_L \rightarrow e^+e^-$  decay. If  $e_{ex}^+e_{ex}^-$  pairs rather than genuine  $e^+e^-$  pairs are in question, the production is production of lepto-pions from vacuum followed by lepto-pion decay to lepto-nucleon pair.

*Option 1:* For the production of lepto-nucleon pairs the cross section is only slightly below the experimental upper bound for the production of the anomalous  $e^+e^-$  pairs and the decay rate of lepto-pion to lepto-nucleon pair is of correct order of magnitude.

*Option 2:* The rough order of magnitude estimate for the production cross section of anomalous  $e^+e^-$  pairs via  $\sigma_L\pi_L$  pair creation followed by  $\sigma_L \rightarrow e^+e^-$  decay, is by a factor of order  $1/\sum N_c^2$  ( $N_c$  is the total number of states for a given colour representation and sum over the representations contributing to the orthopositronium anomaly appears) smaller than the reported cross section in case of 1.8 MeV resonance. The discrepancy could be due to the neglect of the large radiative corrections (the coupling  $g(\pi_L\pi_L\sigma_L) = g(\sigma_L\sigma_L\sigma_L)$  is very large) and also due to the uncertainties in the value of the measured cross section.

Given the unclear status of sigma in hadron physics, one has a temptation to conclude that anomalous  $e^+e^-$  pairs actually correspond to lepto-nucleon pairs.

4. The vision about dark matter suggests that direct couplings between leptons and lepto-hadrons are absent in which case no new effects in the direct interactions of ordinary leptons are predicted. If colored leptons couple directly to ordinary leptons, several new physics effects such as resonances in photon-photon scattering at cm energy equal to lepto-pion masses and the production of  $e_{ex}\bar{e}_{ex}$  ( $e_{ex}$  is leptobaryon with quantum numbers of electron) and  $e_{ex}\bar{e}$  pairs in heavy ion collisions, are possible. Lepto-pion exchange would give dominating contribution to  $\nu - e$  and  $\bar{\nu} - e$  scattering at low energies. Lepto-hadron jets should be observed in  $e^+e^-$  annihilation at energies above few MeV:s unless the loss of asymptotic freedom restricts lepto-hadronic physics to a very narrow energy range and perhaps to entirely non-perturbative regime of lepto-hadronic QCD.

During 18 years after the first published version of the model also evidence for colored  $\mu$  has emerged. Towards the end of 2008 CDF anomaly gave a strong support for the colored excitation of  $\tau$ . The lifetime of the light long lived state identified as a charged  $\tau$ -pion comes out correctly and the identification of the reported 3 new particles as p-adically scaled up variants of neutral  $\tau$ -pion predicts their masses correctly. The observed muon jets can be understood in terms of the special reaction kinematics for the decays of neutral  $\tau$ -pion to 3  $\tau$ -pions with mass scale smaller by a factor 1/2 and therefore almost at rest. A spectrum of new particles is predicted. The discussion of CDF

anomaly led to a modification and generalization of the original model for lepto-pion production and the predicted production cross section is consistent with the experimental estimate.

### 17.4.2 Evidence for TGD view about quark gluon plasma

The emergence of the first interesting findings from LHC by CMS collaboration [69, 68] provide new insights to the TGD picture about the phase transition from QCD plasma to hadronic phase and inspired also the updating of the model of RHIC events (mainly elimination of some remnants from the time when the ideas about hierarchy of Planck constants had just born).

In some proton-proton collisions more than hundred particles are produced suggesting a single object from which they are produced. Since the density of matter approaches to that observed in heavy ion collisions for five years ago at RHIC, a formation of quark gluon plasma and its subsequent decay is what one would expect. The observations are not however quite what QCD plasma picture would allow to expect. Of course, already the RHIC results disagreed with what QCD expectations. What is so striking is the evolution of long range correlations between particles in events containing more than 90 particles as the transverse momentum of the particles increases in the range 1-3 GeV (see the excellent description of the correlations by Lubos Motl in his blog [67]).

One studies correlation function for two particles as a function of two variables. The first variable is the difference  $\Delta\phi$  for the emission angles and second is essentially the difference for the velocities described relativistically by the difference  $\Delta\eta$  for hyperbolic angles. As the transverse momentum  $p_T$  increases the correlation function develops structure. Around origin of  $\Delta\eta$  axis a widening plateau develops near  $\Delta\phi = 0$ . Also a wide ridge with almost constant value as function of  $\Delta\eta$  develops near  $\Delta\phi = \pi$ . The interpretation is that particles tend to move collinearly and or in opposite directions. In the latter case their velocity differences are large since they move in opposite directions so that a long ridge develops in  $\Delta\eta$  direction in the graph.

Ideal QCD plasma would predict no correlations between particles and therefore no structures like this. The radiation of particles would be like blackbody radiation with no correlations between photons. The description in terms of string like object proposed also by Lubos on basis of analysis of the graph showing the distributions as an explanation of correlations looks attractive. The decay of a string like structure producing particles at its both ends moving nearly parallel to the string to opposite directions could be in question.

Since the densities of particles approach those at RHIC, I would bet that the explanation (whatever it is!) of the hydrodynamical behavior observed at RHIC for some years ago should apply also now. The introduction of string like objects in this model was natural since in TGD framework even ordinary nuclei are string like objects with nucleons connected by color flux tubes [25]: this predicts a lot of new nuclear physics for which there is evidence. The basic idea was that in the high density hadronic color flux tubes associated with the colliding nucleon connect to form long highly entangled hadronic strings containing quark gluon plasma. The decay of these structures would explain the strange correlations. It must be however emphasized that in the recent case the initial state consists of two protons rather than heavy nuclei so that the long hadronic string could form from the QCD like quark gluon plasma at criticality when long range fluctuations emerge.

The main assumptions of the model for the RHIC events and those observed now deserve to be summarized. Consider first the "macroscopic description".

1. A critical system associated with confinement-deconfinement transition of the quark-gluon plasma formed in the collision and inhibiting long range correlations would be in question.
2. The proposed hydrodynamic space-time description was in terms of a scaled variant of what I call critical cosmology defining a universal space-time correlate for criticality: the specific property of this cosmology is that the mass contained by comoving volume approaches to zero at the the initial moment so that Big Bang begins as a silent whisper and is not so scaring;-). Criticality means flat 3-space instead of Lobatchevski space and means breaking of Lorentz invariance to SO(4). Breaking of Lorentz invariance was indeed observed for particle distributions but now I am not so sure whether it has much to do with this.

The microscopic level the description would be like follows.

1. A highly entangled long hadronic string like object (color-magnetic flux tube) would be formed at high density of nucleons via the fusion of ordinary hadronic color-magnetic flux tubes to much longer one and containing quark gluon plasma. In QCD world plasma would not be at flux tube.
2. This geometrically (and perhaps also quantally!) entangled string like object would straighten and split to hadrons in the subsequent "cosmological evolution" and yield large numbers of almost collinear particles. The initial situation should be apart from scaling similar as in cosmology where a highly entangled soup of cosmic strings (magnetic flux tubes) precedes the space-time as we understand it. Maybe ordinary cosmology could provide analogy as galaxies arranged to form linear structures?
3. This structure would have also black hole like aspects but in totally different sense as the 10-D hadronic black-hole proposed by Nastase to describe the findings. Note that M-theorists identify black holes as highly entangled strings: in TGD 1-D strings are replaced by 3-D string like objects.

### 17.4.3 New view about space-time and particles and Lamb shift anomaly of muonium

The discovery that the charge radius of proton deduced from the muonic version of hydrogen atom is about 4 per cent smaller than from the radius deduced from hydrogen atom [70, 73] is in complete conflict with the cherished belief that atomic physics belongs to the museum of science. The title of the article *Quantum electrodynamics-a chink in the armour?* of the article published in Nature [86] expresses well the possible implications, which might actually go well extend beyond QED.

The finding is a problem of QED or to the standard view about what proton is. Lamb shift [72] is the effect distinguishing between the states hydrogen atom having otherwise the same energy but different angular momentum. The effect is due to the quantum fluctuations of the electromagnetic field. The energy shift factorizes to a product of two expressions. The first one describes the effect of these zero point fluctuations on the position of electron or muon and the second one characterizes the average of nuclear charge density as "seen" by electron or muon. The latter one should be same as in the case of ordinary hydrogen atom but it is not. Does this mean that the presence of muon reduces the charge radius of proton as determined from muon wave function? This of course looks implausible since the radius of proton is so small. Note that the compression of the muon's wave function has the same effect.

Before continuing it is good to recall that QED and quantum field theories in general have difficulties with the description of bound states: something which has not received too much attention. For instance, van der Waals force at molecular scales is a problem. A possible TGD based explanation and a possible solution of difficulties proposed for two decades ago is that for bound states the two charged particles (say nucleus and electron or two atoms) correspond to two 3-D surfaces glued by flux tubes rather than being idealized to points of Minkowski space. This would make the non-relativistic description based on Schrödinger amplitude natural and replace the description based on Bethe-Salpeter equation having horrible mathematical properties.

#### Basic facts and notions

In this section the basic TGD inspired ideas and notions - in particular the notion of field body- are introduced and the general mechanism possibly explaining the reduction of the effective charge radius relying on the leakage of muon wave function to the flux tubes associated with u quarks is introduced. After this the value of leakage probability is estimated from the standard formula for the Lamb shift in the experimental situation considered.

##### 1. Basic notions of TGD which might be relevant for the problem

Can one say anything interesting about the possible mechanism behind the anomaly if one accepts TGD framework? How the presence of muon could reduce the charge radius of proton? Let us first list the basic facts and notions.

1. One can say that the size of muonic hydrogen characterized by Bohr radius is by factor  $m_e/m_\mu = 1/211.4 = 4.7 \times 10^{-4}$  smaller than for hydrogen atom and equals to 250 fm. Hydrogen atom Bohr radius is .53 Angstroms.



2. Proton contains 2 quarks with charge  $2e/3$  and one d quark which charge  $-e/3$ . These quarks are light. The last determination of u and d quark masses[74] gives masses, which are  $m_u = 2$  MeV and  $m_d = 5$  MeV (I leave out the error bars). The standard view is that the contribution of quarks to proton mass is of same order of magnitude. This would mean that quarks are not too relativistic meaning that one can assign to them a size of order Compton wave length of order  $4 \times r_e \simeq 600$  fm in the case of u quark (roughly twice the Bohr radius of muonic hydrogen) and  $10 \times r_e \simeq 24$  fm in the case of d quark. These wavelengths are much longer than the proton charge radius and for u quark more than twice longer than the Bohr radius of the muonic hydrogen. That parts of proton would be hundreds of times larger than proton itself sounds a rather weird idea. One could of course argue that the scales in question do not correspond to anything geometric. In TGD framework this is not the way out since quantum classical correspondence requires this geometric correlate.
3. There is also the notion of classical radius of electron and quark. It is given by  $r = \alpha \hbar/m$  and is in the case of electron this radius is 2.8 fm whereas proton charge radius is .877 fm and smaller. The dependence on Planck constant is only apparent as it should be since classical radius is in question. For u quark the classical radius is .52 fm and smaller than proton charge radius. The constraint that the classical radii of quarks are smaller than proton charge radius gives a lower bound of quark masses: p-adic scaling of u quark mass by  $2^{-1/2}$  would give classical radius .73 fm which still satisfies the bound. TGD framework the proper generalization would be  $r = \alpha_K \hbar/m$ , where  $\alpha_K$  is Kähler coupling strength defining the fundamental coupling constant of the theory and quantized from quantum criticality. Its value is very near or equal to fine structure constant in electron length scale.
4. The intuitive picture is that light-like 3-surfaces assignable to quarks describe random motion of partonic 2-surfaces with light-velocity. This is analogous to zitterbewegung assigned classically to the ordinary Dirac equation. The notion of braid emerging from Chern-Simons Dirac equation via periodic boundary conditions means that the orbits of partonic 2-surface effectively reduces to braids carrying fermionic quantum numbers. These braids in turn define higher level braids which would move inside a structure characterizing the particle geometrically. Internal consistency suggests that the classical radius  $r = \alpha_K \hbar/m$  characterizes the size scale of the zitterbewegung orbits of quarks.

I cannot resist the temptation to emphasize the fact that Bohr orbitology is now reasonably well understood. The solutions of field equations with higher than 3-D  $CP_2$  projection describing radiation fields allow only generalizations of plane waves but not their superpositions in accordance with the fact it is these modes that are observed. For massless extremals with 2-D  $CP_2$  projection superposition is possible only for parallel light-like wave vectors. Furthermore, the restriction of the solutions of the Chern-Simons Dirac equation at light-like 3-surfaces to braid strands gives the analogs of Bohr orbits. Wave functions of -say electron in atom- are wave functions for the position of wormhole throat and thus for braid strands so that Bohr's theory becomes part of quantum theory.

5. In TGD framework quantum classical correspondence requires -or at least strongly suggests- that also the p-adic length scales assignable to u and d quarks have geometrical correlates. That quarks would have sizes much larger than proton itself how sounds rather paradoxical and could be used as an objection against p-adic length scale hypothesis. Topological field quantization however leads to the notion of field body as a structure consisting of flux tubes and and the identification of this geometric correlate would be in terms of Kähler (or color-, or electro-) magnetic body of proton consisting of color flux tubes beginning from space-time sheets of valence quarks and having length scale of order Compton wavelength much longer than the size of proton itself. Magnetic loops and electric flux tubes would be in question. Also secondary p-adic length scale characterizes field body. For instance, in the case of electron the causal diamond assigned to electron would correspond to the time scale of .1 seconds defining an important bio-rhythm.

2. *Could the notion of field body explain the anomaly?*

The large Compton radii of quarks and the notion of field body encourage the attempt to imagine a mechanism affecting the charge radius of proton as determined from electron's or muon's wave function.

1. Muon's wave function is compressed to a volume which is about 8 million times smaller than the corresponding volume in the case of electron. The Compton radius of u quark more that twice larger than the Bohr radius of muonic hydrogen so that muon should interact directly with the field body of u quark. The field body of d quark would have size 24 fm which is about ten times smaller than the Bohr radius so that one can say that the volume in which muons sees the field body of d quark is only one thousandth of the total volume. The main effect would be therefore due to the two u quarks having total charge of  $4e/3$ .

One can say that muon begins to "see" the field bodies of u quarks and interacts directly with u quarks rather than with proton via its electromagnetic field body. With d quarks it would still interact via protons field body to which d quark should feed its electromagnetic flux. This could be quite enough to explain why the charge radius of proton determined from the expectation value defined by its wave function wave function is smaller than for electron. One must of course notice that this brings in also direct magnetic interactions with u quarks.

2. What could be the basic mechanism for the reduction of charge radius? Could it be that the electron is caught with some probability into the flux tubes of u quarks and that Schrödinger amplitude for this kind state vanishes near the origin? If so, this portion of state would not contribute to the charge radius and the since the portion ordinary state would smaller, this would imply an effective reduction of the charge radius determined from experimental data using the standard theory since the reduction of the norm of the standard part of the state would be erratically interpreted as a reduction of the charge radius.
3. This effect would be of course present also in the case of electron but in this case the u quarks correspond to a volume which million times smaller than the volume defined by Bohr radius so that electron does not in practice "see" the quark sub-structure of proton. The probability  $P$  for getting caught would be in a good approximation proportional to the value of  $|\Psi(r_u)|^2$  and in the first approximation one would have

$$\frac{P_e}{P_\mu} \sim (a_\mu/a_e)^3 = (m_e/m_\mu)^3 \sim 10^{-7} .$$

from the proportionality  $\Psi_i \propto 1/a_i^{3/2}$ ,  $i=e,\mu$ .

### 3. A general formula for Lamb shift in terms of proton charge radius

The charge radius of proton is determined from the Lamb shift between 2S- and 2P states of muonic hydrogen. Without this effect resulting from vacuum polarization of photon Dirac equation for hydrogen would predict identical energies for these states. The calculation reduces to the calculation of vacuum polarization of photon inducing to the Coulomb potential and an additional vacuum polarization term. Besides this effect one must also take into account the finite size of the proton which can be coded in terms of the form factor deducible from scattering data. It is just this correction which makes it possible to determine the charge radius of proton from the Lamb shift.

1. In the article [71] the basic theoretical results related to the Lamb shift in terms of the vacuum polarization of photon are discussed. Proton's charge density is in this representation is expressed in terms of proton form factor in principle deducible from the scattering data. Two special cases can be distinguished corresponding to the point like proton for which Lamb shift is non-vanishing only for S wave states and non-point like proton for which energy shift is present also for other states. The theoretical expression for the Lamb shift involves very refined calculations. Between 2P and 2S states the expression for the Lamb shift is of form

$$\Delta E(2P_{3/2}^{F=2} 2S_{1/2}^{F=1}) = a - br_p^2 + cr_p^3 = 209.968(5)5.2248 \times r_p^2 + 0.0347 \times r_p^3 \text{ meV} . \quad (17.4.1)$$

where the charge radius  $r_p = .8750$  is expressed in femtometers and energy in meVs.

2. The general expression of Lamb shift is given in terms of the form factor by

$$E(2P - 2S) = \int \frac{d^3q}{(2\pi)^3} \times (-4\pi\alpha) \frac{F(q^2)}{q^2} \frac{\Pi(q^2)}{q^2} \times \int (|\Psi_{2P}(r)|^2 - |\Psi_{2S}(r)|^2) \exp(iq \cdot r) dV . \quad (17.4.2)$$

Here  $\Pi$  is a scalar representing vacuum polarization due to decay of photon to virtual pairs.

The model to be discussed predicts that the effect is due to a leakage from "standard" state to what I call flux tube state. This means a multiplication of  $|\Psi_{2P}|^2$  with the normalization factor  $1/N$  of the standard state orthogonalized with respect to flux tube state. It is essential that  $1/N$  is larger than unity so that the effect is a genuine quantum effect not understandable in terms of classical probability.

The modification of the formula is due to the normalization of the 2P and 2S states. These are in general different. The normalization factor  $1/N$  is same for all terms in the expression of Lamb shift for a given state but in general different for 2S and 2P states. Since the lowest order term dominates by a factor of  $\sim 40$  over the second one, one can conclude that the modification should affect the lowest order term by about 4 per cent. Since the second term is negative and the modification of the first term is interpreted as a modification of the second term when  $r_p$  is estimated from the standard formula, the first term must increase by about 4 per cent. This is achieved if this state is orthogonalized with respect to the flux tube state. For states  $\Psi_0$  and  $\Psi_{tube}$  with unit norm this means the modification

$$\begin{aligned} \Psi_0 &\rightarrow \frac{1}{1 - |C|^2} \times (\Psi_i - C\Psi_{tube}) , \\ C &= \langle \Psi_{tube} | \Psi_0 \rangle . \end{aligned} \quad (17.4.3)$$

In the lowest order approximation one obtains

$$a - br_p^2 + cr_p^3 \rightarrow (1 + |C|^2)a - br_p^2 + cr_p^3 . \quad (17.4.4)$$

Using instead of this expression the standard formula gives a wrong estimate  $r_p$  from the condition

$$a - b\hat{r}_p^2 + c\hat{r}_p^3 \rightarrow (1 + |C|^2)a - br_p^2 + cr_p^3 . \quad (17.4.5)$$

This gives the equivalent conditions

$$\begin{aligned} \hat{r}_p^2 &= r_p^2 - \frac{|C|^2 a}{b} , \\ P_{tube} &\equiv |C|^2 \simeq 2 \frac{b}{a} \times r_p^2 \times \frac{(r_p - \hat{r}_p)}{r_p} . \end{aligned} \quad (17.4.6)$$

The resulting estimate for the leakage probability is  $P_{tube} \simeq .0015$ . The model should be able to reproduce this probability.

#### A model for the coupling between standard states and flux tube states

Just for fun one can look whether the idea about confinement of muon to quark flux tube carrying electric flux could make sense.

1. Assume that the quark is accompanied by a flux tube carrying electric flux  $\int E dS = -\int \nabla \Phi \cdot dS = q$ , where  $q = 2e/3 = ke$  is the u quark charge. The potential created by the u quark at the proton end of the flux tube with transversal area  $S = \pi R^2$  idealized as effectively 1-D structure is

$$\Phi = -\frac{ke}{\pi R^2}|x| + \Phi_0 . \quad (17.4.7)$$

The normalization factor comes from the condition that the total electric flux is  $q$ . The value of the additive constant  $V_0$  is fixed by the condition that the potential coincides with Coulomb potential at  $r = r_u$ , where  $r_u$  is u quark Compton length. This gives

$$e\Phi_0 = \frac{e^2}{r_u} + Kr_u , \quad K = \frac{ke^2}{\pi R^2} . \quad (17.4.8)$$

2. Parameter  $R$  should be of order of magnitude of charge radius  $\alpha_K r_u$  of u quark is free parameter in some limits.  $\alpha_K = \alpha$  is expected to hold true in excellent approximation. Therefore a convenient parametrization is

$$R = z\alpha r_u . \quad (17.4.9)$$

This gives

$$K = \frac{4k}{\alpha r_u^2} , \quad e\Phi_0 = 4\left(\pi\alpha + \frac{k}{\alpha}\right)\frac{1}{r_u} . \quad (17.4.10)$$

3. The requirement that electron with four times larger charge radius than  $u$  quark can topologically condensed inside the flux tube without a change in the average radius of the flux tube (and thus in a reduction in p-adic length scale increasing its mass by a factor 4!) suggests that  $z \geq 4$  holds true at least far away from proton. Near proton the condition that the radius of the flux tube is smaller than electron's charge radius is satisfied for  $z = 1$ .

#### 1. Reduction of Schrödinger equation at flux tube to Airy equation

The 1-D Schrödinger equation at flux tube has as its solutions Airy functions and the related functions known as "Bairy" functions.

1. What one has is a one-dimensional Schrödinger equation of general form

$$-\frac{\hbar^2}{2m_\mu} \frac{d^2\Psi}{dx^2} + (Kx - e\Phi_0)\Psi = E\Psi , \quad K = \frac{ke^2}{\pi R^2} . \quad (17.4.11)$$

By performing a linear coordinate change

$$u = \left(\frac{2m_\mu K}{\hbar^2}\right)^{1/3}(x - x_E) , \quad x_E = \frac{-|E| + e\Phi_0}{K} , \quad (17.4.12)$$

one obtains

$$\frac{d^2\Psi}{du^2} - u\Psi = 0 . \quad (17.4.13)$$

This differential equation is known as Airy equation (or Stokes equation) and defines special functions  $Ai(x)$  known as Airy functions and related functions  $Bi(x)$  referred to as "Bairy" functions [39]. Airy functions characterize the intensity near an optical directional caustic such as that of rainbow.

2. The explicit expressions for  $Ai(u)$  and  $Bi(u)$  are is given by

$$\begin{aligned} Ai(u) &= \frac{1}{\pi} \int_0^\infty \cos\left(\frac{1}{3}t^3 + ut\right) dt , \\ Bi(u) &= \frac{1}{\pi} \int_0^\infty \left[ \exp\left(-\frac{1}{3}t^3\right) + \sin\left(\frac{1}{3}t^3 + ut\right) \right] dt . \end{aligned} \quad (17.4.14)$$

$Ai(u)$  oscillates rapidly for negative values of  $u$  having interpretation in terms of real wave vector and goes exponentially to zero for  $u > 0$ .  $Bi(u)$  oscillates also for negative values of  $x$  but increases exponentially for positive values of  $u$ . The oscillatory behavior and its character become obvious by noticing that stationary phase approximation is possible for  $x < 0$ .

The approximate expressions of  $Ai(u)$  and  $Bi(u)$  for  $u > 0$  are given by

$$\begin{aligned} Ai(u) &\sim \frac{1}{2\pi^{1/2}} \exp\left(-\frac{2}{3}u^{3/2}\right) u^{-1/4} , \\ Bi(u) &\sim \frac{1}{\pi^{1/2}} \exp\left(\frac{2}{3}u^{3/2}\right) u^{-1/4} . \end{aligned} \quad (17.4.15)$$

For  $u < 0$  one has

$$\begin{aligned} Ai(u) &\sim \frac{1}{\pi^{1/2}} \sin\left(\frac{2}{3}(-u)^{3/2}\right) (-u)^{-1/4} , \\ Bi(u) &\sim \frac{1}{\pi^{1/2}} \cos\left(\frac{2}{3}(-u)^{3/2}\right) (-u)^{-1/4} . \end{aligned} \quad (17.4.16)$$

3.  $u = 0$  corresponds to the turning point of the classical motion where the kinetic energy changes sign.  $x = 0$  and  $x = r_u$  correspond to the points

$$\begin{aligned} u_{min} \equiv u(0) &= -\left(\frac{2m_\mu K}{\hbar^2}\right)^{1/3} x_E , \\ u_{max} \equiv u(r_u) &= \left(\frac{2m_\mu K}{\hbar^2}\right)^{1/3} (r_u - x_E) , \\ x_E &= \frac{-|E| + e\Phi_0}{K} . \end{aligned} \quad (17.4.17)$$

4. The general solution is

$$\Psi = aAi(u) + bBi(u) . \quad (17.4.18)$$

The natural boundary condition is the vanishing of  $\Psi$  at the lower end of the flux tube giving

$$\frac{b}{a} = -\frac{Ai(u(0))}{Bi(u(0))} . \quad (17.4.19)$$

A non-vanishing value of  $b$  implies that the solution increases exponentially for positive values of the argument and the solution can be regarded as being concentrated in an excellent approximation near the upper end of the flux tube.

Second boundary condition is perhaps most naturally the condition that the energy is same for the flux tube amplitude as for the standard solution. Alternative boundary conditions would require the vanishing of the solution at both ends of the flux tube and in this case one obtains very large number of solutions as WKB approximation demonstrates. The normalization of the state so that it has a unit norm fixes the magnitude of the coefficients  $a$  and  $b$  since one can choose them to be real.

### 2. Estimate for the probability that muon is caught to the flux tube

The simplest estimate for the muon to be caught to the flux tube state characterized by the same energy as standard state is the overlap integral of the ordinary hydrogen wave function of muon and of the effectively one-dimensional flux tube. What one means with overlap integral is however not quite obvious.

1. The basic condition is that the modified "standard" state is orthogonal to the flux tube state. One can write the expression of a general state as

$$\begin{aligned} \Psi_{nlm} &\rightarrow N \times (\Psi_{nlm} - C(E, nlm)\Phi_{nlm}) , \\ \Phi_{nlm} &= Y_{lm}\Psi_E , \\ C(E, nlm) &= \langle \Psi_E | \Psi_{nlm} \rangle . \end{aligned} \quad (17.4.20)$$

Here  $\Phi_{nlm}$  depends a flux tube state in which spherical harmonics is wave function in the space of orientations of the flux tube and  $\Psi_E$  is flux tube state with same energy as standard state. Here an inner product between standard states and flux tube states is introduced.

2. Assuming same energy for flux tube state and standard state, the expression for the total total probability for ending up to single flux tube would be determined from the orthogonality condition as

$$P_{nlm} = \frac{|C(E, nlm)|^2}{1 - |C(E, lmn)|^2} . \quad (17.4.21)$$

Here  $E$  refers to the common energy of flux tube state and standard state. The fact that flux tube states vanish at the lower end of the flux tube implies that they do not contribute to the expression for average charge density. The reduced contribution of the standard part implies that the attempt to interpret the experimental results in "standard model" gives a reduced value of the charge radius. The size of the contribution is given by  $P_{nlm}$  whose value should be about 4 per cent.

One can consider two alternative forms for the inner product between standard states and flux tube states. Intuitively it is clear that an overlap between the two wave functions must be in question.

1. The simplest possibility is that one takes only overlap at the upper end of the flux tube which defines 2-D surface. Second possibility is that the overlap is over entire flux tube projection at the space-time sheet of atom.

$$\begin{aligned}\langle \Psi_E | \Psi_{nlm} \rangle &= \int_{end} \bar{\Psi}_r \Psi_{nlm} dS \quad (\text{Option I}) \quad , \\ \langle \Psi_E | \Psi_{nlm} \rangle &= \int_{tube} \bar{\Psi}_r \Psi_{nlm} dV \quad (\text{Option II}) \quad .\end{aligned}\tag{17.4.22}$$

2. For option I the inner product is non-vanishing only if  $\Psi_E$  is non-vanishing at the end of the flux tube. This would mean that electron ends up to the flux tube through its end. The inner product is dimensionless without introduction of a dimensional coupling parameter if the inner product for flux tube states is defined by 1-dimensional integral: one might criticize this assumption as illogical. Unitarity might be a problem since the local behaviour of the flux tube wave function at the end of the flux tube could imply that the contribution of the flux tube state in the quantum state dominates and this does not look plausible. One can of course consider the introduction to the inner product a coefficient representing coupling constant but this would mean loss of predictivity. Schrödinger equation at the end of the flux tubes guarantees the conservation of the probability current only if the energy of flux tube state is same as that of standard state or if the flux tube Schrödinger amplitude vanishes at the end of the flux tube.
3. For option II there are no problems with unitary since the overlap probability is always smaller than unity. Option II however involves overlap between standard states and flux tube states even when the wave function at the upper end of the flux tube vanishes. One can however consider the possibility that the possible flux tube states are orthogonalized with respect to standard states with leakage to flux tubes. The interpretation for the overlap integral would be that electron ends up to the flux tube via the formation of wormhole contact.

### 3. Option I fails

The considerations will be first restricted to the simpler option I. The generalization of the results of calculation to option II is rather straightforward. It turns out that option II gives correct order of magnitude for the reduction of charge radius for reasonable parameter values.

1. In a good approximation one can express the overlap integrals over the flux tube end (option I) as

$$\begin{aligned}C(E, nlm) &= \int_{tube} \bar{\Psi}_E \Psi_{nlm} dS \simeq \pi R^2 \times Y_{lm} \times C(E, nl) \quad , \\ C(E, nl) &= \bar{\Psi}_E(r_u) R_{nl}(r_u) \quad .\end{aligned}\tag{17.4.23}$$

An explicit expression for the coefficients can be deduced by using expression for  $\Psi_E$  as a superposition of Airy and Bairy functions. This gives

$$\begin{aligned}C(E, nl) &= \bar{\Psi}_E(r_u) R_{nl}(r_u) \quad , \\ \Psi_E(x) &= a_E Ai(u_E) + b Bi(u_E) \quad , \quad \frac{a_E}{b_E} = -\frac{Bi(u_E(0))}{Ai(u_E(0))} \quad , \\ u_E(x) &= \left(\frac{2m_\mu K}{\hbar^2}\right)^{1/3} (x - x_E) \quad , \quad x_E = \frac{|E| - e\Phi_0}{K} \quad , \\ K &= \frac{ke^2}{\pi R^2} \quad , \quad R = z\alpha_K r_u \quad , \quad k = \frac{2}{3} \quad .\end{aligned}\tag{17.4.24}$$

The normalization of the coefficients is fixed from the condition that  $a$  and  $b$  chosen in such a manner that  $\Psi$  has unit norm. For these boundary conditions  $Bi$  is expected to dominate completely in the sum and the solution can be regarded as exponentially decreasing function concentrated around the upper end of the flux tube.

In order to get a quantitative view about the situation one can express the parameters  $u_{min}$  and  $u_{max}$  in terms of the basic dimensionless parameters of the problem.

1. One obtains

$$\begin{aligned} u_{min} \equiv u(0) &= -2\left(\frac{k}{z\alpha}\right)^{1/3} \left[1 + \pi \frac{z}{k} \alpha^2 \left(1 - \frac{1}{2} \alpha r\right)\right] \times r^{1/3} , \\ u_{max} \equiv u(r_u) &= u(0) + 2\frac{k}{z\alpha} \times r^{1/3} , \\ r &= \frac{m_\mu}{m_u} , \quad R = z\alpha r_u . \end{aligned} \quad (17.4.25)$$

Using the numerical values of the parameters one obtains for  $z = 1$  and  $\alpha = 1/137$  the values  $u_{min} = -33.807$  and  $u_{max} = 651.69$ . The value of  $u_{max}$  is so large that the normalization is in practice fixed by the exponential behavior of  $Bi$  for the suggested boundary conditions.

2. The normalization constant is in good approximation defined by the integral of the approximate form of  $Bi^2$  over positive values of  $u$  and one has

$$N^2 \simeq \frac{dx}{du} \times \int_{u_{min}}^{u_{max}} Bi(u)^2 du , \quad \frac{dx}{du} = \frac{1}{2} \left(\frac{z^2 \alpha}{k}\right)^{1/3} \times r^{1/3} r_u , \quad (17.4.26)$$

By taking  $t = \exp(\frac{4}{3}u^{3/2})$  as integration variable one obtains

$$\begin{aligned} \int_{u_{min}}^{u_{max}} Bi(u)^2 du &\simeq \pi^{-1} \int_{u_{min}}^{u_{max}} \exp\left(\frac{4}{3}u^{3/2}\right) u^{-1/2} du \\ &= \left(\frac{4}{3}\right)^{2/3} \pi^{-1} \int_{t_{min}}^{t_{max}} \frac{dt}{\log(t)^{2/3}} \simeq \frac{1}{\pi} \frac{\exp(\frac{4}{3}u_{max}^{3/2})}{u_{max}} . \end{aligned} \quad (17.4.27)$$

This gives for the normalization factor the expression

$$N \simeq \frac{1}{2} \left(\frac{z^2 \alpha}{k}\right)^{2/3} r^{1/3} r_u^{1/2} \exp\left(\frac{2}{3}u_{max}^{3/2}\right) . \quad (17.4.28)$$

3. One obtains for the value of  $\Psi_E$  at the end of the flux tube the estimate

$$\Psi_E(r_u) = \frac{Bi(u_{max})}{N} \simeq 2\pi^{-1/2} \times \left(\frac{k}{z^2 \alpha}\right)^{2/3} r^{1/3} r_u^{-1/2} , \quad r = \frac{r_u}{r_\mu} . \quad (17.4.29)$$



4. The inner product defined as overlap integral gives for the ground state

$$\begin{aligned}
C_{E,00} &= \Psi_E(r_u) \times \Psi_{1,0,0}(r_u) \times \pi R^2 \\
&= 2\pi^{-1/2} \left(\frac{k}{z^2\alpha}\right)^{2/3} r^{1/3} r_u^{-1/2} \times \left(\frac{1}{\pi a(\mu)^3}\right)^{1/2} \times \exp(-\alpha r) \times \pi z^2 \alpha^2 r_u^2 \\
&= 2\pi^{1/2} k^{2/3} z^{2/3} r^{11/6} \alpha^{17/6} \exp(-\alpha r) .
\end{aligned} \tag{17.4.30}$$

The relative reduction of charge radius equals to  $P = C_{E,00}^2$ . For  $z = 1$  one obtains  $P = C_{E,00}^2 = 5.5 \times 10^{-6}$ , which is by three orders of magnitude smaller than the value needed for  $P_{tube} = C_{E,20}^2 = .0015$ . The obvious explanation for the smallness is the  $\alpha^2$  factor coming from the area of flux tube in the inner product.

#### 4. Option II could work

The failure of the simplest model is essentially due to the inner product. For option II the inner product for the flux tube states involves the integral over the area of flux tube so that the normalization factor for the state is obtained from the previous one by the replacement  $N \rightarrow N/\sqrt{\pi R^2}$ . In the integral over the flux tube the exponent function is in the first approximation equal to constant since the wave function for ground state is at the end of the flux tube only by a factor .678 smaller than at the origin and the wave function is strongly concentrated near the end of the flux tube. The inner product defined by the overlap integral over the flux tube implies  $N \rightarrow NS^{1/2}$ ,  $S = \pi R^2 = z^2 \alpha^2 r_u^2$ . In good approximation the inner product for option II means the replacement

$$\begin{aligned}
C_{E,n0} &\rightarrow A \times B \times C_{E,n0} , \\
A &= \frac{\frac{dx}{du}}{\sqrt{\pi R^2}} = \frac{1}{2\sqrt{\pi}} z^{-1/3} k^{-1/3} \alpha^{-2/3} r^{1/3} , \\
B &= \frac{\int Bi(u) du}{\sqrt{Bi(u_{max})}} = u_{max}^{-1/4} = 2^{-1/4} z^{1/2} k^{-1/4} \alpha^{1/4} r^{-1/12} .
\end{aligned} \tag{17.4.31}$$

Using the expression

$$R_{20}(r_u) = \frac{1}{2\sqrt{2}} \times \left(\frac{1}{a_\mu}\right)^{3/2} \times (2 - r\alpha) \times \exp(-r\alpha) , \quad r = \frac{r_u}{r_\mu} \tag{17.4.32}$$

one obtains for  $C_{E,20}$  the expression

$$C_{E,20} = 2^{-3/4} z^{5/6} k^{1/12} \alpha^{29/12} r^{25/12} \times (2 - r\alpha) \times \exp(-r\alpha) . \tag{17.4.33}$$

By the earlier general argument one should have  $P_{tube} = |C_{E,20}|^2 \simeq .0015$ .  $P_{tube} = .0015$  is obtained for  $z = 1$  and  $N = 2$  corresponding to single flux tube per u quark. If the flux tubes are in opposite directions, the leakage into 2P state vanishes. Note that this leakage does not affect the value of the coefficient  $a$  in the general formula for the Lamb shift. The radius of the flux tube is by a factor 1/4 smaller than the classical radius of electron and one could argue that this makes it impossible for electron to topologically condense at the flux tube. For  $z = 4$  one would have  $P_{tube} = .015$  which is 10 times too large a value. Note that the nucleus possess a wave function for the orientation of the flux tube. If this corresponds to S-wave state then only the leakage between S-wave states and standard states is possible.

### Are exotic flux tube bound states possible?

There seems to be no deep reason forbidding the possibility of genuine flux tube states decoupling from the standard states completely. To get some idea about the energy eigenvalues one can apply WKB approximation. This approach should work now: in fact, the study on WKB approximation near turning point by using linearization of the the potential leads always to Airy equation so that the linear potential represents an ideal situation for WKB approximation. As noticed these states do not seem to be directly relevant for the recent situation. The fact that these states have larger binding energies than the ordinary states of hydrogen atom might make possible to liberate energy by inducing transitions to these states.

1. Assume that a bound state with a negative energy  $E$  is formed inside the flux tube. This means that the condition  $p^2 = 2m(E - V) \geq 0$ ,  $V = -e\Phi$ , holds true in the region  $x \leq x_{max} < r_u$  and  $p^2 = 2m(E - V) < 0$  in the region  $r_u > x \geq x_{max}$ . The expression for  $x_{max}$  is

$$x_{max} = \frac{\pi R^2}{k} \left( -\frac{|E|}{e^2} + \frac{1}{r_u} + \frac{kr_u}{\pi R^2} \right) \hbar . \quad (17.4.34)$$

$x_{max} < r_u$  holds true if one has

$$|E| < \frac{e^2}{r_u} = E_{max} . \quad (17.4.35)$$

The ratio of this energy to the ground state energy of muonic hydrogen is from  $E(1) = e^2/2a(\mu)$  and  $a = \hbar/\alpha m$  given by

$$\frac{E_{max}}{E(n=1)} = \frac{2m_u}{\alpha m_\mu} \simeq 5.185 . \quad (17.4.36)$$

This encourages to think that the ground state energy could be reduced by the formation of this kind of bound state if it is possible to find a value of  $n$  in the allowed range. The physical state would of course contain only a small fraction of this state. In the case of electron the increase of the binding energy is even more dramatic since one has

$$\frac{E_{max}}{E(n=1)} = \frac{2m_u}{\alpha m_e} = \frac{8}{\alpha} \simeq 1096 . \quad (17.4.37)$$

Obviously the formation of this kind of states could provide a new source of energy. There have been claims about anomalous energy production in hydrogen [?]. I have discussed these claims from TGD viewpoint in [27]

2. One can apply WKB quantization in the region where the momentum is real to get the condition

$$I = \int_0^{x_{max}} \sqrt{2m(E + e\Phi)} \frac{dx}{\hbar} = n + \frac{1}{2} . \quad (17.4.38)$$

By performing the integral one obtains the quantization condition

$$\begin{aligned}
I &= k^{-1}(8\pi\alpha)^{1/2} \times \frac{R^2}{r_u^{3/2} r_\mu} \times A^{3/2} = n + \frac{1}{2} , \\
A &= 1 + kx^2 - \frac{|E|r_u}{e^2} , \\
x &= \frac{r_u}{R} , \quad k = \frac{2}{3\pi} , \quad r_i = \frac{\hbar}{m_i} .
\end{aligned} \tag{17.4.39}$$

3. Parameter  $R$  should be of order of magnitude of charge radius  $\alpha_K r_u$  of  $u$  quark is free parameter in some limits.  $\alpha_K = \alpha$  is expected to hold true in excellent approximation. Therefore a convenient parametrization is

$$R = z\alpha r_u . \tag{17.4.40}$$

This gives for the binding energy the general expression in terms of the ground state binding energy  $E(1, \mu)$  of muonic hydrogen as

$$\begin{aligned}
|E| &= C \times E(1, \mu) , \\
C &= D \times (1 + Kz^{-2}\alpha^{-2} - (\frac{y}{z^2})^{2/3} \times (n + 1/2)^{2/3}) , \\
D &= 2y \times (\frac{K^2}{8\pi\alpha})^{1/3} , \\
y &= \frac{m_u}{m_\mu} , \quad K = \frac{2}{3\pi} .
\end{aligned} \tag{17.4.41}$$

4. There is a finite number of bound states. The above mentioned consistency conditions coming from  $0 < x_{max} < r_\mu$  give  $0 < C < C_{max} = 5.185$  restricting the allowed value of  $n$  to some interval. One obtains the estimates

$$\begin{aligned}
n_{min} &\simeq \frac{z^2}{y} (1 + Kz^{-2}\alpha^{-2} - \frac{C_{max}}{D})^{3/2} - \frac{1}{2} , \\
n_{max} &= \frac{z^2}{y} (1 + Kz^{-2}\alpha^{-2})^{3/2} - \frac{1}{2} .
\end{aligned} \tag{17.4.42}$$

Very large value of  $n$  is required by the consistency condition. The calculation gives  $n_{min} \in \{1.22 \times 10^7, 4.59 \times 10^6, 1.48 \times 10^5\}$  and  $n_{max} \in \{1.33 \times 10^7, 6.66 \times 10^6, 3.34 \times 10^6\}$  for  $z \in \{1, 2, 4\}$ . This would be a very large number of allowed bound states -about  $3.2 \times 10^6$  for  $z = 1$ .

The WKB state behaves as a plane wave below  $x_{max}$  and sum of exponentially decaying and increasing amplitudes above  $x_{max}$ :

$$\begin{aligned}
&\frac{1}{\sqrt{k(x)}} \left[ A \exp(i \int_0^x k(y) dy) + B \exp(-i \int_0^x k(y) dy) \right] , \\
&\frac{1}{\sqrt{\kappa(x)}} \left[ C \exp(- \int_{x_{max}}^x \kappa(y) dy) + D \exp(\int_{x_{max}}^x \kappa(y) dy) \right] , \\
&k(x) = \sqrt{2m(-|E| + e\Phi)} , \quad \kappa(x) = \sqrt{2m(|E| - e\Phi)} .
\end{aligned} \tag{17.4.43}$$

At the classical turning point these two amplitudes must be identical.

The next task is to decide about natural boundary conditions. Two types of boundary conditions must be considered. The basic condition is that genuine flux tube states are in question. This requires that the inner product between flux tube states and standard states defined by the integral over flux tube ends vanishes. This is guaranteed if the Schrödinger amplitude for the flux tube state vanishes at the ends of the flux tube so that flux tube behaves like an infinite potential well. The condition  $\Psi(0) = 0$  at the lower end of the flux tube would give  $A = -B$ . Combined with the continuity condition at the turning point these conditions imply that  $\Psi$  can be assumed to be real. The  $\Psi(r_u) = 0$  gives a condition leading to the quantization of energy.

The wave function over the directions of flux tube with a given value of  $n$  is given by the spherical harmonics assigned to the state  $(n, l, m)$ .

#### 17.4.4 Dark nucleons and genetic code

Water memory is one of the ugly words in the vocabulary of a main stream scientist. The work of pioneers is however now carrying fruit. The group led by Jean-Luc Montagnier, who received Nobel prize for discovering HIV virus, has found strong evidence for water memory and detailed information about the mechanism involved [91, 35, 36]. The work leading to the discovery was motivated by the following mysterious finding. When the water solution containing human cells infected by bacteria was filtered in purpose of sterilizing it, it indeed satisfied the criteria for the absence of infected cells immediately after the procedure. When one however adds human cells to the filtrate, infected cells appear within few weeks. If this is really the case and if the filter does what it is believed to do, this raises the question whether there might be a representation of genetic code based on nano-structures able to leak through the filter with pores size below 200 nm.

The question is whether dark nuclear strings might provide a representation of the genetic code. In fact, I posed this question year before the results of the experiment came with motivation coming from attempts to understand water memory. The outcome was a totally unexpected finding: the states of dark nucleons formed from three quarks can be naturally grouped to multiplets in one-one correspondence with 64 DNAs, 64 RNAs, and 20 aminoacids and there is natural mapping of DNA and RNA type states to aminoacid type states such that the numbers of DNAs/RNAs mapped to given aminoacid are same as for the vertebrate genetic code.

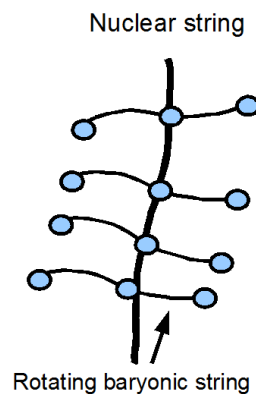


Figure 17.3: Illustration of a possible vision about dark nucleus as a nuclear string consisting of rotating baryonic strings.

The basic idea is simple. Since baryons consist of 3 quarks just as DNA codons consist of three nucleotides, one might ask whether codons could correspond to baryons obtained as open strings with quarks connected by two color flux tubes. This representation would be based on entanglement rather than letter sequences. The question is therefore whether the dark baryons constructed as string of 3 quarks using color flux tubes could realize 64 codons and whether 20 aminoacids could be identified as equivalence classes of some equivalence relation between 64 fundamental codons in a natural manner.

The following model indeed reproduces the genetic code directly from a model of dark neutral baryons as strings of 3 quarks connected by color flux tubes.

1. Dark nuclear baryons are considered as a fundamental realization of DNA codons and constructed as open strings of 3 dark quarks connected by two colored flux tubes, which can be also charged. The baryonic strings cannot combine to form a strictly linear structure since strict rotational invariance would not allow the quark strings to have angular momentum with respect to the quantization axis defined by the nuclear string. The independent rotation of quark strings and breaking of rotational symmetry from  $SO(3)$  to  $SO(2)$  induced by the direction of the nuclear string is essential for the model.
  - (a) Baryonic strings could form a helical nuclear string (stability might require this) locally parallel to DNA, RNA, or aminoacid) helix with rotations acting either along the axis of the DNA or along the local axis of DNA along helix. The rotation of a flux tube portion around an axis parallel to the local axis along DNA helix requires that magnetic flux tube has a kink in this portion. An interesting question is whether this kink has correlate at the level of DNA too. Notice that color bonds appear in two scales corresponding to these two strings. The model of DNA as topological quantum computer [34] allows a modification in which dark nuclear string of this kind is parallel to DNA and each codon has a flux tube connection to the lipid of cell membrane or possibly to some other bio-molecule.
  - (b) The analogs of DNA -, RNA -, and of amino-acid sequences could also correspond to sequences of dark baryons in which baryons would be 3-quark strings in the plane transversal to the dark nuclear string and expected to rotate by stringy boundary conditions. Thus one would have nuclear string consisting of short baryonic strings not connected along their ends (see Fig. 17.4.4). In this case all baryons would be free to rotate.
2. The new element as compared to the standard quark model is that between both dark quarks and dark baryons can be charged carrying charge  $0, \pm 1$ . This is assumed also in nuclear string model and there is empirical support for the existence of exotic nuclei containing charged color bonds between nuclei.
3. The net charge of the dark baryons in question is assumed to vanish to minimize Coulomb repulsion:

$$\sum_q Q_{em}(q) = - \sum_{flux\ tubes} Q_{em}(flux\ tube) . \quad (17.4.44)$$

This kind of selection is natural taking into account the breaking of isospin symmetry. In the recent case the breaking cannot however be as large as for ordinary baryons (implying large mass difference between  $\Delta$  and nucleon states).

4. One can classify the states of the open 3-quark string by the total charges and spins associated with 3 quarks and to the two color bonds. Total em charges of quarks vary in the range  $Z_B \in \{2, 1, 0, -1\}$  and total color bond charges in the range  $Z_b \in \{2, 1, 0, -1, -2\}$ . Only neutral states are allowed. Total quark spin projection varies in the range  $J_B = 3/2, 1/2, -1/2, -3/2$  and the total flux tube spin projection in the range  $J_b = 2, 1, -1, -2$ . If one takes for a given total charge assumed to be vanishing one representative from each class  $(J_B, J_b)$ , one obtains  $4 \times 5 = 20$  states which is the number of amino-acids. Thus genetic code might be realized at the level of baryons by mapping the neutral states with a given spin projection to single representative state with the same spin projection. The problem is to find whether one can identify the analogs of DNA, RNA and aminoacids as baryon like states.

#### States in the quark degrees of freedom

One must construct many-particle states both in quark and flux tube degrees of freedom. These states can be constructed as representations of rotation group  $SU(2)$  and strong isospin group  $SU(2)$  by using

the standard tensor product rule  $j_1 \times j_2 = j_1 + j_2 \oplus j_1 + j_2 - 1 \oplus \dots \oplus |j_1 - j_2|$  for the representation of SU(2) and Fermi statistics and Bose-Einstein statistics are used to deduce correlations between total spin and total isospin (for instance,  $J = I$  rule holds true in quark degrees of freedom). Charge neutrality is assumed and the breaking of rotational symmetry in the direction of nuclear string is assumed.

Consider first the states of dark baryons in quark degrees of freedom.

1. The tensor product  $2 \otimes 2 \otimes 2$  is involved in both cases. Without any additional constraints this tensor product decomposes as  $(3 \oplus 1) \otimes 2 = 4 \oplus 2 \oplus 2$ : 8 states altogether. This is what one should have for DNA and RNA candidates. If one has only identical quarks  $uuu$  or  $ddd$ , Pauli exclusion rule allows only the 4-D spin  $3/2$  representation corresponding to completely symmetric representation -just as in standard quark model. These 4 states correspond to a candidate for amino-acids. Thus RNA and DNA should correspond to states of type  $uud$  and  $ddu$  and aminoacids to states of type  $uuu$  or  $ddd$ . What this means physically will be considered later.
2. Due to spin-statistics constraint only the representations with  $(J, I) = (3/2, 3/2)$  ( $\Delta$  resonance) and the second  $(J, I) = (1/2, 1/2)$  (proton and neutron) are realized as free baryons. Now of course a dark -possibly p-adically scaled up - variant of QCD is considered so that more general baryonic states are possible. By the way, the spin statistics problem which forced to introduce quark color strongly suggests that the construction of the codons as sequences of 3 nucleons - which one might also consider - is not a good idea.
3. Second nucleon like spin doublet - call it  $2_{odd}$  - has wrong parity in the sense that it would require  $L = 1$  ground state for two identical quarks ( $uu$  or  $dd$  pair). Dropping  $2_{odd}$  and using only  $4 \oplus 2$  for the rotation group would give degeneracies  $(1, 2, 2, 1)$  and 6 states only. All the representations in  $4 \oplus 2 \oplus 2_{odd}$  are needed to get 8 states with a given quark charge and one should transform the wrong parity doublet to positive parity doublet somehow. Since open string geometry breaks rotational symmetry to a subgroup SO(2) of rotations acting along the direction of the string and since the boundary conditions on baryonic strings force their ends to rotate with light velocity, the attractive possibility is to add a baryonic stringy excitation with angular momentum projection  $L_z = -1$  to the wrong parity doublet so that the parity comes out correctly.  $L_z = -1$  orbital angular momentum for the relative motion of  $uu$  or  $dd$  quark pair in the open 3-quark string would be in question. The degeneracies for spin projection value  $J_z = 3/2, \dots, -3/2$  are  $(1, 2, 3, 2)$ . Genetic code means spin projection mapping the states in  $4 \oplus 2 \oplus 2_{odd}$  to 4.

### States in the flux tube degrees of freedom

Consider next the states in flux tube degrees of freedom.

1. The situation is analogous to a construction of mesons from quarks and antiquarks and one obtains the analogs of  $\pi$  meson (pion) with spin 0 and  $\rho$  meson with spin 1 since spin statistics forces  $J = I$  condition also now. States of a given charge for a flux tube correspond to the tensor product  $2 \otimes 2 = 3 \oplus 1$  for the rotation group.
2. Without any further constraints the tensor product  $3 \otimes 3 = 5 \oplus 3 \oplus 1$  for the flux tubes states gives  $8+1$  states. By dropping the scalar state this gives 8 states required by DNA and RNA analogs. The degeneracies of the states for DNA/RNA type realization with a given spin projection for  $5 \oplus 3$  are  $(1, 2, 2, 2, 1)$ .  $8 \times 8$  states result altogether for both  $uud$  and  $udd$  for which color bonds have different charges. Also for  $ddd$  state with quark charge -1 one obtains  $5 \oplus 3$  states giving 40 states altogether.
3. If the charges of the color bonds are identical as the are for  $uuu$  type states serving as candidates for the counterparts of aminoacids bosonic statistics allows only 5 states ( $J = 2$  state). Hence 20 counterparts of aminoacids are obtained for  $uuu$ . Genetic code means the projection of the states of  $5 \oplus 3$  to those of 5 with the same spin projection and same total charge.

### Analogs of DNA, RNA, aminoacids, and of translation and transcription mechanisms

Consider next the identification of analogs of DNA, RNA and aminoacids and the baryonic realization of the genetic code, translation and transcription.

1. The analogs of DNA and RNA can be identified dark baryons with quark content  $uud$ ,  $ddu$  with color bonds having different charges. There are 3 color bond pairs corresponding to charge pairs  $(q_1, q_2) = (-1, 0), (-1, 1), (0, 1)$  (the order of charges does not matter). The condition that the total charge of dark baryon vanishes allows for  $uud$  only the bond pair  $(-1, 0)$  and for  $udd$  only the pair  $(-1, 1)$ . These thus only single neutral dark baryon of type  $uud$  resp.  $udd$ : these would be the analogous of DNA and RNA codons. Amino-acids would correspond to  $uuu$  states with identical color bonds with charges  $(-1, -1), (0, 0)$ , or  $(1, 1)$ .  $uuu$  with color bond charges  $(-1, -1)$  is the only neutral state. Hence only the analogs of DNA, RNA, and aminoacids are obtained, which is rather remarkable result.
2. The basic transcription and translation machinery could be realized as processes in which the analog of DNA can replicate, and can be transcribed to the analog of mRNA in turn translated to the analogs of amino-acids. In terms of flux tube connections the realization of genetic code, transcription, and translation, would mean that only dark baryons with same total quark spin and same total color bond spin can be connected by flux tubes. Charges are of course identical since they vanish.
3. Genetic code maps of  $(4 \oplus 2 \oplus 2) \otimes (5 \oplus 3)$  to the states of  $4 \times 5$ . The most natural map takes the states with a given spin to a state with the same spin so that the code is unique. This would give the degeneracies  $D(k)$  as products of numbers  $D_B \in \{1, 2, 3, 2\}$  and  $D_b \in \{1, 2, 2, 2, 1\}$ :  $D = D_B \times D_b$ . Only the observed degeneracies  $D = 1, 2, 3, 4, 6$  are predicted. The numbers  $N(k)$  of aminoacids coded by  $D$  codons would be

$$[N(1), N(2), N(3), N(4), N(6)] = [2, 7, 2, 6, 3] .$$

The correct numbers for vertebrate nuclear code are  $(N(1), N(2), N(3), N(4), N(6)) = (2, 9, 1, 5, 3)$ . Some kind of symmetry breaking must take place and should relate to the emergence of stopping codons. If one codon in second 3-plet becomes stopping codon, the 3-plet becomes doublet. If 2 codons in 4-plet become stopping codons it also becomes doublet and one obtains the correct result  $(2, 9, 1, 5, 3)!$

4. Stopping codons would most naturally correspond to the codons, which involve the  $L_z = -1$  relative rotational excitation of  $uu$  or  $dd$  type quark pair. For the 3-plet the two candidates for the stopping codon state are  $|1/2, -1/2\rangle \otimes \{|2, k\rangle\}$ ,  $k = 2, -2$ . The total spins are  $J_z = 3/2$  and  $J_z = -7/2$ . The three candidates for the 4-plet from which two states are thrown out are  $|1/2, -3/2\rangle \otimes \{|2, k\rangle, |1, k\rangle\}$ ,  $k = 1, 0, -1$ . The total spins are now  $J_z = -1/2, -3/2, -5/2$ . One guess is that the states with smallest value of  $J_z$  are dropped which would mean that  $J_z = -7/2$  states in 3-plet and  $J_z = -5/2$  states 4-plet become stopping codons.
5. One can ask why just vertebrate code? Why not vertebrate mitochondrial code, which has unbroken  $A - G$  and  $T - C$  symmetries with respect to the third nucleotide. And is it possible to understand the rarely occurring variants of the genetic code in this framework? One explanation is that the baryonic realization is the fundamental one and biochemical realization has gradually evolved from non-faithful realization to a faithful one as kind of emulation of dark nuclear physics. Also the role of tRNA in the realization of the code is crucial and could explain the fact that the code can be context sensitive for some codons.

### Understanding the symmetries of the code

Quantum entanglement between quarks and color flux tubes would be essential for the baryonic realization of the genetic code whereas chemical realization could be said to be classical. Quantal aspect means that one cannot decompose to codon to letters anymore. This raises questions concerning the symmetries of the code.

1. What is the counterpart for the conjugation  $ZYZ \rightarrow X_c Y_c Z_c$  for the codons?

2. The conjugation of the second nucleotide  $Y$  having chemical interpretation in terms of hydrophoby-hydrophilily dichotomy in biology. In DNA as tqc model it corresponds to matter-antimatter conjugation for quarks associated with flux tubes connecting DNA nucleotides to the lipids of the cell membrane. What is the interpretation in now?
3. The A-G, T-C symmetries with respect to the third nucleotide  $Z$  allow an interpretation as weak isospin symmetry in DNA as tqc model. Can one identify counterpart of this symmetry when the decomposition into individual nucleotides does not make sense?

Natural candidates for the building blocks of the analogs of these symmetries are the change of the sign of the spin direction for quarks and for flux tubes.

1. For quarks the spin projections are always non-vanishing so that the map has no fixed points. For flux tube spin the states of spin  $S_z = 0$  are fixed points. The change of the sign of quark spin projection must therefore be present for both  $XYZ \rightarrow X_c Y_c Z_c$  and  $Y \rightarrow Y_c$  but also something else might be needed. Note that without the symmetry breaking  $(1, 3, 3, 1) \rightarrow (1, 2, 3, 2)$  the code table would be symmetric in the permutation of 2 first and 2 last columns of the code table induced by both full conjugation and conjugation of  $Y$ .
2. The analogs of the approximate  $A - G$  and  $T - C$  symmetries cannot involve the change of spin direction in neither quark nor flux tube sector. These symmetries act inside the A-G and T-C sub-2-columns of the 4-columns defining the rows of the code table. Hence this symmetry must permute the states of same spin inside 5 and 3 for flux tubes and 4 and 2 for quarks but leave  $2_{odd}$  invariant. This guarantees that for the two non-degenerate codons coding for only single amino-acid and one of the codons inside triplet the action is trivial. Hence the baryonic analog of the approximate  $A - G$  and  $T - C$  symmetry would be exact symmetry and be due to the basic definition of the genetic code as a mapping states of same flux tube spin and quark spin to single representative state. The existence of full 4-columns coding for the same aminoacid would be due to the fact that states with same quark spin inside  $(2, 3, 2)$  code for the same amino-acid.
3. A detailed comparison of the code table with the code table in spin representation should allow to fix their correspondence uniquely apart from permutations of n-plets and thus also the representation of the conjugations. What is clear that  $Y$  conjugation must involve the change of quark spin direction whereas  $Z$  conjugation which maps typically 2-plets to each other must involve the permutation of states with same  $J_z$  for the flux tubes. It is not quite clear what  $X$  conjugation correspond to.

### Some comments about the physics behind the code

Consider next some particle physicist's objections against this picture.

1. The realization of the code requires the dark scaled variants of spin  $3/2$  baryons known as  $\Delta$  resonance and the analogs (and only the analogs) of spin 1 mesons known as  $\rho$  mesons. The lifetime of these states is very short in ordinary hadron physics. Now one has a scaled up variant of hadron physics: possibly in both dark and p-adic senses with latter allowing arbitrarily small overall mass scales. Hence the lifetimes of states can be scaled up.
2. Both the absolute and relative mass differences between  $\Delta$  and  $N$  resp.  $\rho$  and  $\pi$  are large in ordinary hadron physics and this makes the decays of  $\Delta$  and  $\rho$  possible kinematically. This is due to color magnetic spin-spin splitting proportional to the color coupling strength  $\alpha_s \sim .1$ , which is large. In the recent case  $\alpha_s$  could be considerably smaller - say of the same order of magnitude as fine structure constant  $1/137$  - so that the mass splittings could be so small as to make decays impossible.
3. Dark hadrons could have lower mass scale than the ordinary ones if scaled up variants of quarks in p-adic sense are in question. Note that the model for cold fusion that inspired the idea about genetic code requires that dark nuclear strings have the same mass scale as ordinary baryons. In any case, the most general option inspired by the vision about hierarchy of conscious entities extended to a hierarchy of life forms is that several dark and p-adic scaled up variants of baryons realizing genetic code are possible.



4. The heaviest objection relates to the addition of  $L_z = -1$  excitation to  $S_z = |1/2, \pm 1/2\rangle_{odd}$  states which transforms the degeneracies of the quark spin states from  $(1, 3, 3, 1)$  to  $(1, 2, 3, 2)$ . The only reasonable answer is that the breaking of the full rotation symmetry reduces  $SO(3)$  to  $SO(2)$ . Also the fact that the states of massless particles are labeled by the representation of  $SO(2)$  might be of some relevance. The deeper level explanation in TGD framework might be as follows. The generalized imbedding space is constructed by gluing almost copies of the 8-D imbedding space with different Planck constants together along a 4-D subspace like pages of book along a common back. The construction involves symmetry breaking in both rotational and color degrees of freedom to Cartan sub-group and the interpretation is as a geometric representation for the selection of the quantization axis. Quantum TGD is indeed meant to be a geometrization of the entire quantum physics as a physics of the classical spinor fields in the "world of classical worlds" so that also the choice of measurement axis must have a geometric description.

The conclusion is that genetic code can be understood as a map of stringy baryonic states induced by the projection of all states with same spin projection to a representative state with the same spin projection. Genetic code would be realized at the level of dark nuclear physics and biochemical representation would be only one particular higher level representation of the code. A hierarchy of dark baryon realizations corresponding to p-adic and dark matter hierarchies can be considered. Translation and transcription machinery would be realized by flux tubes connecting only states with same quark spin and flux tube spin. Charge neutrality is essential for having only the analogs of DNA, RNA and aminoacids and would guarantee the em stability of the states.

## 17.5 Cosmic rays and Mersenne primes

TGD suggests the existence of a scaled up copy of hadron physics associated with each Mersenne prime  $M_n = 2^n - 1$ ,  $n$  prime:  $M_{107}$  corresponds to ordinary hadron physics. There is some evidence for exotic hadrons. Also Gaussian Mersennes  $(1 + i)^k - 1$ , could correspond to hadron physics. Four of them ( $k = 151, 157, 163, 167$ ) are in the biologically interesting length scale range between cell membrane thickness and the size of cell nucleus.

Centauro events and the peculiar events associated with  $E > 10^5$  GeV radiation from Cygnus X-3 could be understood as due to the decay of gamma rays to  $M_{89}$  hadron pair in the atmosphere. The decay  $\pi_n \rightarrow \gamma\gamma$  produces a peak in the spectrum of the cosmic gamma rays at energy  $\frac{m(\pi_n)}{2}$  and there is evidence for the peaks at energies  $E_{89} \simeq 34$  GeV and  $E_{31} \simeq 3.5 \cdot 10^{10}$  GeV. The absence of the peak at  $E_{61} \simeq 1.5 \cdot 10^6$  GeV can be understood as due to the strong absorption caused by the  $e^+e^-$  pair creation with photons of the cosmic microwave background.

Cosmic string decays  $cosmic\ string \rightarrow M_2\ hadrons \rightarrow M_3\ hadrons \dots \rightarrow M_{107}\ hadrons$  is a new source of cosmic rays. The mechanism could explain the change of the slope in the hadronic cosmic ray spectrum at  $3 \cdot 10^6$  GeV which is not far from  $M_{61}$  pion rest energy  $1.2 \cdot 10^6$  GeV.

The cosmic ray radiation at energies near  $10^9$  GeV apparently consisting of protons and nuclei not lighter than Fe might be actually dominated by gamma rays: at these energies  $\gamma$  and  $p$  induced showers have same muon content and the decays of gamma rays to  $M_{89}$  and  $M_{61}$  hadrons in the atmosphere can mimic the presence of heavy nuclei in the cosmic radiation.

The identification of the hadronic space-time sheet as a super-symplectic mini black-hole [23] suggests that part of ultra-high energy cosmic rays could be protons which have lost their valence quarks. These particles would have essentially same mass as proton and would behave like mini black-holes consisting of dark matter. They could even give a dominating contribution to the dark matter. Since electro-weak interactions are absent, the scattering from microwave background is absent, and they could propagate over much longer distances than ordinary particles. An interesting question is whether the ultrahigh energy cosmic rays having energies larger than the GZK cut-off of  $5 \times 10^{10}$  GeV are super-symplectic mini black-holes associated with  $M_{107}$  hadron physics or some other copy of hadron physics.

### 17.5.1 Mersenne primes and mass scales

p-Adic mass calculations lead to quite detailed predictions for elementary particle masses. In particular, there are reasons to believe that the most important fundamental elementary particle mass scales

correspond to Mersenne primes  $M_n = 2^n - 1$ ,  $n = 2, 3, 7, 13, 17, 19, \dots$

$$\begin{aligned} m_n^2 &= \frac{m_0^2}{M_n}, \\ m_0 &\simeq 1.41 \cdot \frac{10^{-4}}{\sqrt{G}}, \end{aligned} \quad (17.5.1)$$

where  $\sqrt{G}$  is Planck length. The lower bound for  $n$  can be of course larger than  $n = 2$ . The known elementary particle mass scales were identified as mass scales associated identified with Mersenne primes  $M_{127} \simeq 10^{38}$  (leptons),  $M_{107}$  (hadrons) and  $M_{89}$  (intermediate gauge bosons). Of course, also other p-adic length scales are possible and it is quite possible that not all Mersenne primes are realized. On the other hand, also Gaussian Mersennes could be important (muon and atomic nuclei corresponds to Gaussian Mersenne  $(1+i)^k - 1$  with  $k = 113$ ).

Theory predicts also some higher mass scales corresponding to the Mersenne primes  $M_n$  for  $n = 89, 61, 31, 19, 17, 13, 7, 3$  and suggests the existence of a scaled up copy of hadron physics with each of these mass scales. In particular, masses should be related by simple scalings to the masses of the ordinary hadrons.

An attractive hypothesis is that the color interactions of the particles of level  $M_n$  can be described using the ordinary QCD scaled up to the level  $M_n$  so that that masses and the confinement mass scale  $\Lambda$  is scaled up by the factor  $\sqrt{M_n/M_{107}}$ .

$$\Lambda_n = \sqrt{\frac{M_n}{M_{107}}} \Lambda. \quad (17.5.2)$$

In particular, the naive scaling prediction for the masses of the exotic pions associated with  $M_n$  is given by

$$m(\pi_n) = \sqrt{\frac{M_n}{M_{107}}} m_\pi. \quad (17.5.3)$$

Here  $m_\pi \simeq 135 \text{ MeV}$  is the mass of the ordinary pion.

The interactions between the different level hadrons are mediated by the emission of electro-weak gauge bosons and by gluons with cm energies larger than the energy defined by the confinement scale of level with smaller  $p$ . The decay of the exotic hadrons at level  $M_{n_k}$  to exotic hadrons at level  $M_{n_{k+1}}$  must take place by a transition sequence leading from the effective  $M_{n_k}$ -adic space-time topology to effective  $M_{n_{k+1}}$ -adic topology. All intermediate p-adic topologies might be involved.

### 17.5.2 Cosmic strings and cosmic rays

Cosmic strings are fundamental objects in quantum TGD and dominated during early cosmology.

#### Cosmic strings

Cosmic strings (not quite the same thing in TGD as in GUTs) are basic objects in TGD inspired cosmology [29, 33].

1. In TGD inspired galaxy model galaxies are regarded as mass concentrations around cosmic strings and the energy of the string corresponds to the dark energy whereas the particles condensed at cosmic strings and magnetic flux tubes resulting from them during cosmic expansion correspond to dark matter [29, 33]. The galactic nuclei, often regarded as candidates for black holes, are the most probable seats for decaying highly entangled cosmic strings.
2. Galaxies are known to organize to form larger linear structures. This can be understood if the highly entangled galactic strings organize around long strings like pearls in necklace. Long strings could correspond to galactic jets and their gravitational field could explain the constant velocity spectrum of distant stars in the galactic halo.

3. In [29, 33, 30] it is suggested that decaying cosmic strings might provide a common explanation for the energy production of quasars, galactic jets and gamma ray bursters and that the visible matter in galaxies could be regarded as decay products of cosmic strings. The magnetic and  $Z^0$  magnetic flux tubes resulting during the cosmic expansion from cosmic strings allow to assign at least part of gamma ray bursts to neutron stars. Hot spots (with temperature even as high as  $T \sim \frac{10^{-3,5}}{\sqrt{G}}$ ) in the cosmic string emitting ultra high energy cosmic rays might be created under the violent conditions prevailing in the galactic nucleus.

The decay of the cosmic strings provides a possible mechanism for the production of the exotic hadrons and in particular, exotic pions. In [51] the idea that cosmic strings might produce gamma rays by decaying first into 'X' particles with mass of order  $10^{15} \text{ GeV}$  and then to gamma rays, was proposed. As authors notice this model has some potential difficulties resulting from the direct production of gamma rays in the source region and the presence of intensive electromagnetic fields near the source. These difficulties are overcome if cosmic strings decay first into exotic hadrons of type  $M_{n_0}$ ,  $n_0 \geq 3$  of energy of order  $2^{-n_0+2}10^{25} \text{ GeV}$ , which in turn decay to exotic hadrons corresponding to  $M_k$ ,  $k > n_0$  via ordinary color interaction, and so on so that a sequence of  $M_k$ 's starting some value of  $n_0$  in  $n = 2, 3, 7, 13, 17, 19, 31, 61, 89, 107$  is obtained. The value of  $n$  remains open at this stage and depends on the temperature of the hot spot and much smaller temperatures than the  $T \sim m_0$  are possible: favored temperatures are the temperatures  $T_n \sim m_n$  at which  $M_n$  hadrons become unstable against thermal decay.

### Decays of cosmic strings as producer of high energy cosmic gamma rays

In [63] the gamma ray signatures from ordinary cosmic strings were considered and a dynamical QCD based model for the decay of cosmic string was developed. In this model the final state particles were assumed to be ordinary hadrons and final state interactions were neglected. In present case the string decays first to  $M_{n_0}$  hadrons and the time scale of for color interaction between  $M_{n_0}$  hadrons is extremely short (given by the length scale defined by the inverse of  $\pi_{n_0}$  mass) as compared to the time time scale in case of ordinary hadrons. Therefore the interactions between the final state particles must be taken into account and there are good reasons to expect that thermal equilibrium sets on and much simpler thermodynamic description of the process becomes possible.

A possible description for the decaying part of the highly tangled cosmic string is as a 'fireball' containing various  $M_{n_0}$  ( $n \geq 3$ ) partons in thermal equilibrium at Hagedorn temperature  $T_{n_0}$  of order  $T_{n_0} \sim m_{n_0} = 2^{-2+n_0} \frac{10^{-4}}{k\sqrt{G}}$ ,  $k \simeq 1.288$ . The experimental discoveries made in RHIC suggest [66] that high energy nuclear collisions create instead of quark gluon plasma a liquid like phase involving gluonic BE condensate christened as color glass condensate. Also black hole like behavior is suggested by the experiments.

RHIC findings inspire a TGD based model for this phase as a macroscopic quantum phase condensed on a highly tangled color magnetic string at Hagedorn temperature. The model relies also on the notion of dynamical but quantized  $\hbar$  [19] and its recent form to the realization that super-symplectic many-particle states at hadronic space-time sheets give dominating contribution to the baryonic mass and explain hadronic masses with an excellent accuracy.

This phase has no direct gauge interactions with ordinary matter and is identified in TGD framework as a particular instance of dark matter. Quite generally, quantum coherent dark matter would reside at magnetic flux tubes idealizable as string like objects with string tension determined by the p-adic length scale and thus outside the "ordinary" space-time. This suggests that color glass condensate forms when hadronic space-time sheets fuse to single long string like object containing large number of super-symplectic bosons.

Color glass condensate has black-hole like properties by its electro-weak darkness and there are excellent reasons to believe that also ordinary black holes could by their large density correspond to states in which super-symplectic matter would form single connected string like structure (if Planck constant is larger for super-symplectic hadrons, this fusion is even more probable).

This inspires the following mechanism for the decay of exotic boson.

1. The tangled cosmic string begins to cool down and when the temperature becomes smaller than  $m(\pi_{n_0})$  mass it has decayed to  $M_{n_1}$  matter which in turn continues to decay to  $M_{n_2}$  matter. The decay to  $M_{n_1}$  matter could occur via a sequence  $n_0 \rightarrow n_0 - 1 \rightarrow \dots n_1$  of phase

transitions corresponding to the intermediate p-adic length scales  $p \simeq 2^k$ ,  $n_1 \geq k > n_0$ . Of course, all intermediate p-adic length scales are in principle possible so that the process would be practically continuous and analogous to p-adic length scale evolution with  $p \simeq 2^k$  representing more stable intermediate states.

2. The first possibility is that virtual hadrons decay to virtual hadrons in the transition  $k \rightarrow k-1$ . The alternative option is that the density of final state hadrons is so high that they fuse to form a single highly entangled hadronic string at Hagedorn temperature  $T_{k-1}$  so that the process would resemble an evaporation of a hadronic black hole staying in quark plasma phase without freezing to hadrons in the intermediate states. This entangled string would contain partons as "color glass condensate".
3. The process continues until all particles have decayed to ordinary hadrons. Part of the  $M_n$  low energy thermal pions decay to gamma ray pairs and produce a characteristic peak in cosmic gamma ray spectrum at energies  $E_n = \frac{m(\pi_n)}{2}$  (possibly red-shifted by the expansion of the Universe). The decay of the cosmic string generates also ultra high energy hadronic cosmic rays, say protons. Since the creation of ordinary hadron with ultra high energy is certainly a rare process there are good hopes of avoiding the problems related to the direct production of protons by cosmic strings (these protons produce two high flux of low energy gamma rays, when interacting with cosmic microwave background [51]).

### Topologically condensed cosmic strings as analogs super-symplectic black-holes?

Super-symplectic matter has very stringy character. For instance, it obeys stringy mass formula due the additivity and quantization of mass squared as multiples of p-adic mass scale squared [23]. The ensuing additivity of mass squared defines a universal formula for binding energy having no independence on interaction mechanism. Highly entangled strings carrying super-symplectic dark matter are indeed excellent candidates for TGD variants of black-holes. The space-time sheet containing the highly entangled cosmic string is separated from environment by a wormhole contact with a radius of black-hole horizon. Schwarzschild radius has also interpretation as Compton length with Planck constant equal to gravitational Planck constant  $\hbar/\hbar_0 = 2GM^2$ . In this framework the proposed decay of cosmic strings would represent nothing but the TGD counterpart of Hawking radiation. Presumably the value of p-adic prime in primordial stage was as small as possible, even  $p = 2$  can be considered.

### Exotic cosmic ray events and exotic hadrons

One signature of the exotic hadrons is related to the interaction of the ultra high energy gamma rays with the atmosphere. What can happen is that gamma rays in the presence of an atmospheric nucleus decay to virtual exotic quark pair associated with  $M_{n_k}$ , which in turn produces a cascade of exotic hadrons associated with  $M_{n_k}$  through the ordinary scaled up color interaction. These hadrons in turn decay  $M_{n_{k+1}}$  type hadrons via mechanisms to be discussed later. At the last step ordinary hadrons are produced. The collision creates in the atmospheric nucleus the analog of quark gluon plasma which forms a second kind of fireball decaying to ordinary hadrons. RHIC experiments have already discovered these fireballs and identified them as color glass condensates [66]. It must be emphasized that it is far from clear whether QCD really predicts this phase.

These showers differ from ordinary gamma ray showers in several respects.

1. Exotic hadrons can have small momenta and the decay products can have isotropic angular distribution so that the shower created by gamma rays looks like that created by a massive particle.
2. The muon content is expected to be similar to that of a typical hadronic shower generated by proton and larger than the muon content of ordinary gamma ray shower [54].
3. Due to the kinematics of the reactions of type  $\gamma + p \rightarrow H_{M_n} + \dots + p$  the only possibility at the available gamma ray energies is that  $M_{89}$  hadrons are produced at gamma ray energies above 10 TeV. The masses of these hadrons are predicted to be above 70 GeV and this suggests that these hadrons might be identified incorrectly as heavy nuclei (heavier than  $^{56}\text{Fe}$ ). These signatures will be discussed in more detail in the sequel in relation to Centauro type events,

Cygnus X-3 events and other exotic cosmic ray events. For a good review for these events and models form them see the review article [59].

Some cosmic ray events [60, 46] have total laboratory energy as high as 3000 TeV which suggests that the shower contains hadron like particles, which are more penetrating than ordinary hadrons.

1. One might argue that exotic hadrons corresponding  $M_k$ ,  $k > 107$  with interact only electro-weakly (color is confined in the length scale associated with  $M_n$ ) with the atmosphere one might argue that they are more penetrating than the ordinary hadrons.
2. The observed highly penetrating fireballs could also correspond super-symplectic dark matter part of incoming, possibly exotic, hadron fused with that for a hadron of atmosphere. Both hadrons would have lost their valence quarks in the collision just as in the case of Pomeron events. Large fraction of the collision energy would be transformed to super-symplectic quanta in the process and give rise to a large color spin glass condensate. These condensates would have no direct electro-weak interactions with ordinary matter which would explain their long penetration lengths in the atmosphere. Sooner or later the color glass condensate would decay to hadrons by the analog of blackhole evaporation. This process is different from QCD type hadronization process occurring in hadronic collisions and this might allow to understand the anomalously low production of neutral pions.

Exotic mesons can also decay to lepton pairs and neutral exotic pions produce gamma pairs. These gamma pairs in principle provide a signature for the presence of exotic pions in the cosmic ray shower. If  $M_{89}$  proton is sufficiently long-lived enough they might be detectable. The properties of Centauro type events however suggest that  $M_{89}$  protons are short lived.

### 17.5.3 Centauro type events, Cygnus X-3 and $M_{89}$ hadrons

The results reported by Brazil-Japan Emulsion Chamber Collaboration [60, 50] on multiple production of hadrons induced by cosmic rays with energies  $E_{lab} > 10^5$  GeV provide evidence for new Physics. The distributions for the transverse momentum  $p_T$  and longitudinal momentum fraction  $x$  for pions were found to differ from the distributions extrapolated from lower energies. The widening of the transversal momentum distributions has also been observed at accelerator energies (*ISR* above  $\sqrt{s} = 63$  GeV and CERN SPS- $p\bar{p}$  Collider at  $\sqrt{s} = 540$  GeV). Furthermore, exotic events called Geminion, Centauro, Chiron with emission of  $n_B \leq 100$  hundred baryons but practically no pions were detected. There are also peculiar events associated with the radiation coming from Cygnus X-3. A recent summary about peculiar events is given in the review article [59].

#### Mirim, Acu and Quacu

The exotic cosmic ray events are described in the review article of [60]. In [60] the multiple production of pions is classified into 3 jet types called Mirim, Acu and Quacu. Although the transverse momentum distributions for pions observed at low energies are universal, Acu and Quacu jets are characterized by wider transverse momentum distributions with larger value of average transverse momentum  $p_T$  than in low energy pionization: this widening is in accordance with accelerator results. The distributions for the longitudinal momentum fraction  $x$  scale but differ from the low energy situation for Acu and Quacu jets.

In [60, 53, 56] a description of these events in terms of 'fireballs' decaying into ordinary hadrons were considered. The  $p_T$  distribution associated with Mirim is just the ordinary low energy transverse momentum distribution whereas the distributions associated with Acu and Quacu are wider. The masses of the fireballs were assumed to be discrete and were found to be  $M_0 \sim 2 - 3$  GeV (Mirim),  $M_1 \sim 15 - 30$  GeV (Acu),  $M_2 \sim 100 - 300$  GeV (Quacu). It should be noticed that the upper bounds for the masses associated with Acu and Quacu fireballs are roughly by a factor of two smaller than the naive mass estimates 69 GeV and 481 GeV associated with  $M_{89}$  pion and  $M_{89}$  proton. The temperatures were found to be in range 0.4–10 GeV for Acu and Quacu fireball and to be substantially larger than the ordinary Hagedorn temperature  $T_H \simeq 0.16$  GeV.

### Chirons, Centauros, anti-Centauros, and Geminions

For the second class of events consisting of Chirons, Centauros and Geminions observed at laboratory energies  $100 - 1000 \text{ TeV}$  pion production is strongly suppressed (gamma pairs resulting from the decay of neutral pions are almost absent) [60]. The primary event takes place few hundred meters above the detector and decay products are known to be hadrons and mostly baryons: about 15 (100) for Mini-Centauros (Centauros). This excludes the possibility that exotic hadrons decay in emulsion chamber and implies also that the decay mechanism of the primary particle is such that very few mesons are produced.

The fireball hypothesis has been applied also to Centauro type events assuming that fireballs corresponds to a different phase than in the case of Mirim, Acu and Quacu [60, 56]. The fireball masses associated with Mini-Centauro and Centauro are according to the estimate of [60]  $M_{mini} = 35 \text{ GeV}$  and  $M_{Centauro} = 230 \text{ GeV}$ . These masses are almost exactly one half of the masses of the  $M_{89}$  pion (70 GeV) and proton (470 GeV) respectively!

$$\begin{aligned} M_{Mini} &\simeq \frac{m(\pi_{89})}{2} , \\ M_{Centauro} &\simeq \frac{m(p_{89})}{2} . \end{aligned} \tag{17.5.4}$$

This suggests that the decay of cosmic gamma ray to  $M_{89}$  quark pair which in turn hadronizes to (possibly virtual)  $M_{89}$  hadrons induced by the interaction with the nucleon of atmosphere is the origin of Mini-Centauro/Centauro events.

The basic difference between the decaying fireballs in Acu/Quacu events and Centauro type events is that Acu/Quacu decays produce neutral pions unlike Centauros.

The appearance of the factor of  $1/2$  in the mass estimates needs an explanation. One explanation is systematic error in the evaluation of hadronic energy: for instance, the gamma inelasticity  $k_\gamma$  telling which fraction of hadronic energy is transformed to electromagnetic energy might be actually smaller than believed by a factor of order two. An alternative explanation is related to the decay mechanism of  $M_{89}$  particle: if the decay takes place via a decay to two off mass shell  $M_{89}$  hadrons decaying in turn to hadrons then the average rest energy of the fireball is indeed one half of the mass of the decaying on mass shell particle. The reason for the necessity of off mass shell intermediate states is perhaps the stability of the on mass shell exotic hadrons against the direct decay to ordinary hadrons.

Anti-Centauros are much like Centauros except that neutral pions are over-abundant [59]. The speculative model [58] relies on the notion of chiral condensates consisting of neutral pions in the case of Centauros and charged pions in the case of anti-Centauros. If one wants to explain Anti-Centauros in terms of  $M_{89}$  physics should be able to explain the over abundance of neutral pions in terms of decay products of ordinary hadrons at later stages of the decay cascade.

### The case of Cygnus X-3

There are peculiar events associated with the cosmic rays coming from Cygnus X-3 at gamma ray energies above  $10^5 \text{ GeV}$  [55]. The primary particle must be massless particle and is most probably ordinary gamma ray. The structure of the shower however suggests that the decaying particle is very massive! Furthermore, the muon content of the shower is larger than that associated with gamma ray shower. A possible explanation is that the gamma rays coming from Cygnus X-3 with energy above the threshold  $10^4 \text{ GeV}$  produce  $M_{89}$  hadrons, which in turn create the cosmic ray shower through the decay to  $M_{89}$  hadrons and the decay of these to the ordinary  $M_{107}$  hadrons: this indeed means that the gamma rays behave like a massive particles in the atmosphere.

### 17.5.4 TGD based explanation of the exotic events

The TGD based model for exotic events involve p-adic length scale hierarchy, many-sheeted space-time, and TGD inspired view about dark matter. A decisive empirical input comes from RHIC events suggesting that quark gluon plasma is actually a liquid like "macroscopic" quantum phase identifiable as a particular instance of dark matter.

### General considerations

The mass estimates for the fireballs and the absence of neutral pions suggest that Mini-Centauro/Centauro type events correspond to the decay of  $M_{89}$  hadrons (pion/proton) to ordinary hadrons. The general model for the exotic events would be following.

1. Cosmic gamma ray decays first into  $M_{89}$  quark pair via electromagnetic interaction with the nucleon of the atmosphere. Pairs of Centauros/anti-Centauros and quark-gluon-plasma blobs explaining Mirim/Qcu/Quacu events would be naturally created in these collisions.
2. The quark pair in turn hadronizes to  $M_{89}$  hadrons decaying to virtual  $k > 89$  hadrons which in turn end up via a sequential decay process to ordinary hadrons. This process is kinematically possible if the condition  $E_{tot} > 2M^2/m_p$ , is satisfied ( $M$  is the mass of the exotic hadron). For example, the energy of the gamma ray must be larger than  $500 \text{ TeV}$  for exotic proton pair production. For the exotic pion the corresponding lower bound is about  $10 \text{ TeV}$ . The energies of the exotic events are indeed above  $100 \text{ TeV}$  in accordance with these bounds. The average total energy is about  $E_{tot} = 1740 \text{ TeV}$  for Centauros and  $E_{tot} \simeq 903 \text{ TeV}$  for Mini-Centauros [56]. The mechanism implies that two  $M_{89}$  fireballs are produced. 'Binocular' events (Geminions) consisting of two widely separated fireballs have indeed been observed [60].
3. If anti-Centauros result via the same mechanism there must be a mechanism explaining why the production of neutral pions varies from event to event. One proposal is that the difference is due to a formation of pion condensates consisting of neutral *resp.* charged pions in the two situations [58]. This hypothesis would unify Centauro events with anti-Centauro events in which the production of neutral pions is abnormally high [59].
4. Mirim/Acu/Quacu events could correspond to the decay of a high temperature quark-gluon plasma blob, or rather color glass condensate, to hadrons (recall that the estimated plasma temperatures are much lower than for Centauros). The collision of  $M_{89}$  hadron possibly generated in the interaction of the cosmic gamma ray with ordinary nucleon could induce both the decay of  $M_{89}$  hadron to virtual hadrons and generate quark-gluon plasma blob in the atmospheric target nucleus. Hagedorn temperature  $T(k)$ ,  $89 < k \leq 107$  is a good guess for the temperature of this plasma blob. RHIC findings [66] suggest that the blob corresponds to highly tangled hadronic string containing super-symplectic dark matter and decaying by de-coherence to ordinary hadrons [19].

### Connection with TGD based model for RHIC events

The counterparts of Centauros and other exotic events have not been observed in accelerator experiments. More than a decade after writing the first version of the model for Centauros came however data from RHIC experiment [66], which seems to provide a connection between laboratory and cosmic ray data. In RHIC collisions of very energetic Gold nuclei are studied. The collisions were expected to create a quark gluon plasma freezing to ordinary hadrons. The surprise was that the resulting state behaves like an ideal liquid and has also black hole like properties [66].

Recall that the TGD based model [30, 19] for RHIC findings is following.

1. The state in question corresponds to a highly entangled hadronic string at Hagedorn temperature defining the analog of black hole and decaying by evaporation. The gravitational constant defined by Planck length is effectively replaced by a hadronic gravitational constant defined by the hadronic length scale. p-Adic length scale hypothesis predicts entire hierarchy of Hagedorn temperatures.
2. Bose-Einstein condensate of gluons referred to as color glass condensate has been proposed as an explanation for the liquid like behavior of the quark-gluon phase. TGD based explanation for the liquid like state is that that the state in question corresponds to a large Bose-Einstein condensate like state of super-symplectic particles resulting as hadronic space-time sheets fuse. Super-symplectic bosons have vanishing electro-weak quantum numbers since super-symplectic generators are either purely bosonic or possess quantum numbers of right handed neutrino. Dark matter is in question.

3. LHC has already produce evidence for quark gluon plasma possessing anomalous properties but created in collisions of protons rather than those of heavy nuclei. The TGD based explanation is in formation of long highly entangled color flux tube producing hadrons as it decays [26]. It might be that the creation of these objects in the decays of  $M_{89}$  hadrons are responsible for some aspects of the exotic cosmic ray events.

### A more precise model for exotic events

A more detailed formulation necessitates a rough model for the transformation of  $M_{89}$  hadrons to  $M_{107}$  hadrons.

1. On mass shell exotic hadrons can be assumed to be stable against direct decay to ordinary hadrons so that their decay must take place via a sequential decay to off mass shell exotic hadrons characterized by  $107 > k > 89$ , which eventually decay to ordinary hadrons. The simplest decay mode is the decay to two virtual exotic hadrons with average mass, which is one half of the mass of the decaying exotic hadron in accordance with observations.
2.  $M_{89}$  hadron decays to virtual hadrons with  $p \simeq 2^k > M_{89}$  dominate over electro-weak decays since the characteristic time scale is defined by  $\Lambda(QCD, M_{89}) = 512\Lambda(QCD, 107)$ . This means that most of the energy in the process goes to virtual  $k > 89$  virtual mesons. Neutral  $k > 89$  virtual pions, if created, can decay to gamma pairs so that the problem of understanding the absence of neutral pions remains.
3.  $M_{89}$  hadronic space-time sheet suffers a topological phase transition to  $M_{107}$  hadronic space-time sheet via several steps  $k = 89 \rightarrow k_1 > 89 \dots \rightarrow k_n = 107$ . In the process the size of hadronic surface suffers a  $2^9 = 512$ -fold expansion meaning the increase of volume by a factor for  $2^{27} \sim 10^9/8$  so that a small scale Big Bang is really in question! The expansion brings in mind liquid-vapor phase transition but the freezing to hadrons (due to the properties of color coupling constant evolution) makes the transition more like a liquid-solid phase transition.

As noticed, all p-adic length scales in the range involved could be present but  $p \simeq 2^k$  would define more stable intermediate states. A possible experimental signature for the sequence of the phase transitions labeled by  $89 \leq k \leq 107$  is a bumpy structure of the detected hadronic cascades with a maximum of 17 maxima. This kind of structure with a constant distance between maxima and 11 maxima has been indeed observed for some cascades (see Fig. 8 of [59]).

A good guess for the critical temperature of the Big Bang like phase transition to occur is  $T_{cr}(89) = km_{89}$ , where  $k$  is some numerical factor. TGD inspired model for the early cosmology provides a universal hydrodynamics model for this period as a mini Big Bang, or rather "a soft whisper amplified to a relatively big bang", containing the duration of the period as the only parameter [29].

4. If the decay process is fast enough, the density of virtual hadrons in the final state becomes so high that they form single highly tangled cosmic string in Hagedorn temperature  $T(k)$ . An entire sequence of  $T(k) = km_k$ ,  $107 > k > 89$  of phase transition temperatures could be involved without intermediate freezing to hadrons. Since the transformation of  $k = 89$  hadrons to  $k = 107$  hadrons would be essentially a decay process, the distribution of decay products is isotropic in the center of mass frame of  $k = 89$  hadron (Centaurus/anti-Centaurus). The same conclusion holds true for the decay of quark gluon plasma (Mirim/Qcu/Quacu).

### How to understand the anomalous production of pions?

One can imagine two different explanations for the varying number of pions in the events.

1.  $M_{89}$  hadrons produce  $M_{89}$  pions

This model would explain the special features of Centaurus. To Anti-Centaurus the model does not apply. One could hope that the decay cascade of Centauro leads at later stages to color glass phases for ordinary hadrons producing surplus of neutral pions.

2. *Restoration of electro-weak symmetry?*



The anomalous production of pions might relate to the restoration of electro-weak symmetry in case of  $M_{89}$  hadrons. For  $M_{89}$  hadrons the restoration of the electro-weak symmetry would be natural since in TGD framework classical induced gauge fields are massless for known non-vacuum extremals below the p-adic length scale  $L(89)$  defining the fundamental electro-weak length scale. The finite size of the space-time sheet carrying these fields brings in the length scale determining the boson mass when the space-time sheet in question looks point like in the length scale resolution used. The model of elementary particles as weak strings (Kähler magnetic flux tubes) suggests that electroweak symmetry restoration takes place inside weak magnetic flux tubes and that one might have Bose-Einstein condensate with negative and positive net charges in turn implying the abundance of charged pions. One might argue that for particles topologically condensed to space-time sheets with  $k > 89$   $M_{61}$  defines the weak scale so that weak interactions effectively disappear.

In zero energy ontology zero energy states are characterized by time-like entanglement coefficients defining  $M$ -matrices in turn identifiable as the rows of the unitary  $U$ -matrix coding for physics in TGD Universe. The superposition of zero energy states for which positive energy parts have varying values of conserved charges (say electromagnetic charge) do not break conservation laws. Note that also in super-conductors coherent states of Cooper pairs make sense in zero energy ontology without breaking the conservation of fermion number. Therefore one can consider generation of coherent states of pions with non-standard direction of isospin in the collisions of cosmic rays with the nuclei of atmosphere. The TGD inspired model for leptohadrons [24] assumes that the coherent states of leptopions consisting of pionlike bound states of colored excitations of leptons are created in the strong non-orthogonal magnetic and electric fields of the colliding heavy nuclei or other charged particles. Similar situation might be encountered in the collision of high energy cosmic rays with the nuclei of the atmosphere.

Both Centauros and anti-Centauros could be understood if the transformation of  $M_{89}$  hadrons to ordinary hadrons generates "mis-aligned" pionic BE condensates.  $U(2)_{ew}$  symmetry is restored for  $M_{89}$  hadrons and there is no preferred isospin direction for the order parameter of  $M_{89}$  pionic BE condensate. This BE condensate is however excluded by energetic considerations. The sequence of phase transitions leading to  $M_{107}$  hadrons involving intermediate p-adic length scales could however generate this kind of BE condensate.

If an overcooling occurs in the sense that electro-weak symmetry is not lost, the first intermediate pion condensate can correspond to  $\pi_+, \pi_-$  or  $\pi_0$ . Charged  $\pi$  condensates would be created in pairs with opposite charges. In this kind of situation the number of gamma rays produced in the decay to ordinary hadrons would vary from event to event.

The presence of pionic BE condensates favors the decay to  $M_{107}$  hadrons via hadronic intermediate states rather than via the cooling of partonic phase condensed on single tangled string whose length grows. This and the idea that  $U(2)_{ew}$  symmetry could be exact for the dark matter phase, encourages to consider also the possibility that  $M_{89}$  hadron decays to a state consisting of dark  $M_{107}$  hadrons forming a BE condensate like state behaving like single coherent unit and interacting with the ordinary matter only via emission of dark gauge boson BE condensates de-cohering to ordinary gauge bosons.

Dark pionic BE condensates with various charges could be present. These dark  $\pi$  condensates would decay coherently to pairs of dark ew boson "laser beams", which can interact with the ordinary matter only after they have de-cohered to ordinary ew gauge bosons and remain undetected if the de-coherence time for dark bosons is long enough, probably not so. Dark hadron option could thus explain also the abnormally long penetration lengths.

### 3. *Is long range charge entanglement involved?*

The variation for the number of pions could involve electromagnetic charge entanglement between particles produced in the event and ordinary matter. This would guarantee strict charge conservation when the quantization axis for weak isospin for the resulting hadrons differs from that for the ordinary matter. The decay of the pion to gamma pair becomes possible only after the entanglement is reduced and if de-coherence time is long enough it is possible to understand the variation.

## 17.5.5 Cosmic ray spectrum and exotic hadrons

The hierarchy of  $M_n$  hadron physics provides also a mechanism producing ultra high energy cosmic gamma rays and hadrons.

### Do gamma rays dominate the spectrum at ultrahigh energies?

A possible piece of evidence for  $M_{89}$  hadrons is related to the analysis [47] of the cosmic ray composition near  $10^9$  GeV. The analysis was based on the assumption that the spectrum consists of nuclei. The assumptions and conclusions of the analysis can be criticized:

1. There is argument [45], which states that the interaction of protons having energy above  $10^9$  GeV with the cosmic microwave background implies pion pair creation and a rapid loss of proton energy so that the contribution of protons should be strongly suppressed in the cosmic ray spectrum above  $E = 7 \cdot 10^{10}$  GeV. If protons dominate, cosmic ray spectrum should effectively terminate at energy of order  $7 \cdot 10^{10}$  GeV: some events above  $E = 10^{11}$  GeV have been however detected [57].
2. It is not obvious whether one can distinguish between protons and gamma rays at these energies since the muon content of the photon and proton showers are near to each other at these energies [51]. Therefore the particles identified as protons might well be gamma rays.
3. The spectrum can be fitted assuming that cosmic ray spectrum has two components. Light component ('protons') can be identified as protons and He nuclei. The heavy component ('Fe') corresponds to Fe and heavier nuclei. The nuclei between He and Fe seem to be peculiarly absent. Furthermore, there are also indications that spectrum contains only light nuclei in the range  $3 \cdot 10^7 - 10^{11}$  GeV [52].

An alternative interpretation suggested also in [51] is that cosmic ray flux is dominated by gamma rays at these energies. 'Protons' correspond to gamma rays interacting ordinarily with matter. 'Fe nuclei' correspond to the fraction of gamma rays decaying first into  $M_{89}$  exotic quark pair producing corresponding exotic hadrons, which then decay to ordinary hadrons and produce showers resembling ordinary heavy nucleus shower. Super-symplectic vision allows to consider the possibility that 'protons' correspond to super-symplectic part of proton having essentially the same mass.

### Hadronic component of the cosmic ray spectrum

The properties of the hadronic cosmic ray spectrum above  $4 \cdot 10^5$  GeV are not well understood.

1. It has turned out difficult to invent acceleration mechanisms producing hadronic cosmic rays having energies above  $10^5$  GeV [47].
2. The spectrum contains a 'knee' (power  $E^{-2.7}$  changes to about  $E^{-3}$  at the knee), which is at the energy  $3 \cdot 10^6$  GeV [47] and equals to the mass of  $M_{61}$  pion. It is difficult to understand how the knee is generated although several explanations have been proposed (these are reviewed shortly in [47]).

A possible solution of the problems is that part of the hadronic cosmic rays are generated in the decay of string like objects rather than by some acceleration mechanism. Assume that  $M_{n_k}$  hadron is created in the decay cascade. Since  $M_{n_k+m}$ ,  $m = 1, 2, ..$  hadrons can have rest masses above  $M_{n_k}$  threshold mass, one can consider the possibility that  $M_{n_k}$  hadron decays sequentially to ordinary  $M_{107}$  hadron with arbitrary large rest mass (even larger than  $M_{n_k}$  pion mass) and that this ordinary hadron in turn produces some very energetic low mass hadrons, say proton and antiproton, identifiable as cosmic rays. The most efficient producers of hadrons are  $M_{n_k}$  pions since these are produced most abundantly in the decay of  $M_{n_k+1}$  hadrons.  $M_{n_k}$  pion at rest cannot however decay to ordinary hadrons with energy above  $M_{n_k}$  pion mass. Therefore the slope of the cosmic ray energy flux should become steeper above  $M_{n_k}$ , in particular  $M_{61}$ , threshold.

### The problem of relic quarks and hierarchy of QCD:s

Baryon and lepton numbers are conserved separately in TGD and one of the basic problems of the gauge theories with conserved baryon number is the problem of relic quarks. Hadronization starts in temperature of the order of quark mass and since hadronization is basically many quark process it continues until the expansion rate of the Universe becomes larger than the rate of the hadronization. As a consequence the number density of relic quarks is much larger than the upper bound  $n_{relic} <$

$\rho_B/m_q = 10^{-9}n_\gamma m_p/m_q$  obtained from the requirement that the contribution of relic quarks to mass density is smaller than the baryonic mass density. There is also an experimental upper bound  $n_{relic} < 10^{-28}n_\gamma$ .

The assumption about the existence of QCD:s with a hierarchy of increasing scales  $\Lambda_{QCD}(M_n)$  implies that the length scale  $L(n) \sim 1/\sqrt{\Lambda_{QCD}(M_n)}$  below which quarks are free, decreases with increasing cosmic temperature and therefore the problem of the relic quarks disappears.

### 17.5.6 Ultrahigh energy cosmic rays as super-symplectic quanta?

Near the end of year 2007 Pierre Auger Collaboration made a very important announcement relating to ultrahigh energy cosmic rays. I glue below a popular summary of the findings [62].

*Scientists of the Pierre Auger Collaboration announced today (8 Nov. 2007) that active galactic nuclei are the most likely candidate for the source of the highest-energy cosmic rays that hit Earth. Using the Pierre Auger Observatory in Argentina, the largest cosmic-ray observatory in the world, a team of scientists from 17 countries found that the sources of the highest-energy particles are not distributed uniformly across the sky. Instead, the Auger results link the origins of these mysterious particles to the locations of nearby galaxies that have active nuclei in their centers. The results appear in the Nov. 9 issue of the journal Science.*

*Active Galactic Nuclei (AGN) are thought to be powered by supermassive black holes that are devouring large amounts of matter. They have long been considered sites where high-energy particle production might take place. They swallow gas, dust and other matter from their host galaxies and spew out particles and energy. While most galaxies have black holes at their center, only a fraction of all galaxies have an AGN. The exact mechanism of how AGNs can accelerate particles to energies 100 million times higher than the most powerful particle accelerator on Earth is still a mystery.*

#### What has been found?

About million cosmic ray events have been recorded and 80 of them correspond to particles with energy above the so called GKZ bound, which is  $.54 \times 10^{11}$  GeV. Electromagnetically interacting particles with these energies from distant galaxies should not be able to reach Earth. This would be due to the scattering from the photons of the microwave background. About 20 particles of this kind however comes from the direction of distant active galactic nuclei and the probability that this is an accident is about 1 per cent. Particles having only strong interactions would be in question. The problem is that this kind of particles are not predicted by the standard model (gluons are confined).

#### What does TGD say about the finding?

TGD provides an explanation for the new kind of particles.

1. The original TGD based model for the galactic nucleus is as a highly tangled cosmic string (in TGD sense of course [33]). Much later it became clear that also TGD based model for black-hole is as this kind of string like object near Hagedorn temperature [33]. Ultrahigh energy particles could result as decay products of a decaying split cosmic string as an extremely energetic galactic jet. Kind of cosmic fire cracker would be in question. Originally I proposed this decay as an explanation for the gamma ray bursts. It seems that gamma ray bursts however come from thickened cosmic strings having weaker magnetic field and much lower energy density [30].
2. TGD predicts particles having only strong interactions [20]. I have christened these particles super-symplectic quanta. These particles correspond to the vibrational degrees of freedom of partonic 2-surface and are not visible at the quantum field theory limit for which partonic 2-surfaces become points.

#### What super-symplectic quanta are?

Super-symplectic quanta are created by the elements of super-symplectic algebra, which creates quantum states besides the super Kac-Moody algebra present also in super string model. Both algebras relate closely to the conformal invariance of light-like 3-surfaces.

1. The elements of super-symplectic algebra are in one-one correspondence with the Hamiltonians generating symplectic transformations of  $\delta M_+^4 \times CP_2$ . Note that the 3-D light-cone boundary is metrically 2-dimensional and possesses degenerate symplectic and Kähler structures so that one can indeed speak about symplectic (canonical) transformations.
2. This algebra is the analog of Kac-Moody algebra with finite-dimensional Lie group replaced with the infinite-dimensional group of symplectic transformations [17]. This should give an idea about how gigantic a symmetry is in question. This is as it should be since these symmetries act as the largest possible symmetry group for the Kähler geometry of the world of classical worlds (WCW) consisting of light-like 3-surfaces in 8-D imbedding space for given values of zero modes (labeling the spaces in the union of infinite-dimensional symmetric spaces). This implies that for the given values of zero modes all points of WCW are metrically equivalent: a generalization of the perfect cosmological principle making theory calculable and guaranteeing that WCW metric exists mathematically. Super-symplectic generators correspond to gamma matrices of WCW and have the quantum numbers of right handed neutrino (no electro-weak interactions). Note that a geometrization of fermionic statistics is achieved.
3. The Hamiltonians and super-Hamiltonians have only color and angular momentum quantum numbers and no electro-weak quantum numbers so that electro-weak interactions are absent. Super-symplectic quanta however interact strongly.

#### Also hadrons contain super-symplectic quanta

One can say that TGD based model for hadron is at space-time level kind of combination of QCD and old fashioned string model forgotten when QCD came in fashion and then transformed to the highly unsuccessful but equally fashionable theory of everything.

1. At quantum level the energy corresponding to string tension explaining about 70 per cent of proton mass corresponds to super-symplectic quanta [23]. super-symplectic quanta allow to understand hadron masses with a precision better than 1 per cent.
2. Super-symplectic degrees of freedom allow also to solve spin puzzle of the proton: the average quark spin would be zero since same net angular momentum of hadron can be obtained by coupling quarks of opposite spin with angular momentum eigen states with different projection to the direction of quantization axis.
3. If one considers proton without valence quarks and gluons, one obtains a boson with mass very nearly equal to that of proton (for proton super-symplectic binding energy compensates quark masses with high precision). These kind of pseudo protons might be created in high energy collisions when the space-time sheets carrying valence quarks and super-symplectic space-time sheet separate from each other. Super-symplectic quanta might be produced in accelerators in this manner and there is actually experimental support for this from Hera.
4. The exotic particles could correspond to some p-adic copy of hadron physics predicted by TGD and have very large mass smaller however than the energy. Mersenne primes  $M_n = 2^n - 1$  define excellent candidates for these copies. Ordinary hadrons correspond to  $M_{107}$ . The protons of  $M_{31}$  hadron physics would have the mass of proton scaled up by a factor  $2^{(107-31)/2} = 2^{38} \simeq 2.6 \times 10^{11}$ . Energy should be above  $2.6 \times 10^{11}$  GeV and is above  $.54 \times 10^{11}$  GeV for the particles above the GKZ limit. Even super-symplectic quanta associated with proton of this kind could be in question. Note that  $CP_2$  mass corresponds roughly to about  $10^{14}$  proton masses.
5. Ideal blackholes would be very long highly tangled string like objects, scaled up hadrons, containing only super-symplectic quanta. Hence it would not be surprising if they would emit super-symplectic quanta. The transformation of supernovas to neutron stars and possibly blackholes would involve the fusion of hadronic strings to longer strings and eventual annihilation and evaporation of the ordinary matter so that only super-symplectic matter would remain eventually. A wide variety of intermediate states with different values of string tension would be possible and the ultimate blackhole would correspond to highly tangled cosmic string. Dark matter would be in question in the sense that Planck constant could be very large.

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# Chapter 1

## Appendix

### A-1 Basic properties of $CP_2$ and elementary facts about p-adic numbers

#### A-1.1 $CP_2$ as a manifold

$CP_2$ , the complex projective space of two complex dimensions, is obtained by identifying the points of complex 3-space  $C^3$  under the projective equivalence

$$(z^1, z^2, z^3) \equiv \lambda(z^1, z^2, z^3) . \quad (\text{A-1.1})$$

Here  $\lambda$  is any non-zero complex number. Note that  $CP_2$  can be also regarded as the coset space  $SU(3)/U(2)$ . The pair  $z^i/z^j$  for fixed  $j$  and  $z^i \neq 0$  defines a complex coordinate chart for  $CP_2$ . As  $j$  runs from 1 to 3 one obtains an atlas of three coordinate charts covering  $CP_2$ , the charts being holomorphically related to each other (e.g.  $CP_2$  is a complex manifold). The points  $z^3 \neq 0$  form a subset of  $CP_2$  homeomorphic to  $R^4$  and the points with  $z^3 = 0$  a set homeomorphic to  $S^2$ . Therefore  $CP_2$  is obtained by "adding the 2-sphere at infinity to  $R^4$ ".

Besides the standard complex coordinates  $\xi^i = z^i/z^3$ ,  $i = 1, 2$  the coordinates of Eguchi and Freund [59] will be used and their relation to the complex coordinates is given by

$$\begin{aligned} \xi^1 &= z + it , \\ \xi^2 &= x + iy . \end{aligned} \quad (\text{A-1.2})$$

These are related to the "spherical coordinates" via the equations

$$\begin{aligned} \xi^1 &= r \exp(i \frac{(\Psi + \Phi)}{2}) \cos(\frac{\Theta}{2}) , \\ \xi^2 &= r \exp(i \frac{(\Psi - \Phi)}{2}) \sin(\frac{\Theta}{2}) . \end{aligned} \quad (\text{A-1.3})$$

The ranges of the variables  $r, \Theta, \Phi, \Psi$  are  $[0, \infty], [0, \pi], [0, 4\pi], [0, 2\pi]$  respectively.

Considered as a real four-manifold  $CP_2$  is compact and simply connected, with Euler number Euler number 3, Pontryagin number 3 and second  $b = 1$ .

#### A-1.2 Metric and Kähler structure of $CP_2$

In order to obtain a natural metric for  $CP_2$ , observe that  $CP_2$  can be thought of as a set of the orbits of the isometries  $z^i \rightarrow \exp(i\alpha)z^i$  on the sphere  $S^5$ :  $\sum z^i \bar{z}^i = R^2$ . The metric of  $CP_2$  is obtained by projecting the metric of  $S^5$  orthogonally to the orbits of the isometries. Therefore the distance between the points of  $CP_2$  is that between the representative orbits on  $S^5$ .

The line element has the following form in the complex coordinates

$$ds^2 = g_{a\bar{b}} d\xi^a d\bar{\xi}^b , \quad (\text{A-1.4})$$

where the Hermitian, in fact Kähler metric  $g_{a\bar{b}}$  is defined by

$$g_{a\bar{b}} = R^2 \partial_a \partial_{\bar{b}} K , \quad (\text{A-1.5})$$

where the function  $K$ , Kähler function, is defined as

$$\begin{aligned} K &= \log(F) , \\ F &= 1 + r^2 . \end{aligned} \quad (\text{A-1.6})$$

The Kähler function for  $S^2$  has the same form. It gives the  $S^2$  metric  $dzd\bar{z}/(1+r^2)^2$  related to its standard form in spherical coordinates by the coordinate transformation  $(r, \phi) = (\tan(\theta/2), \phi)$ .

The representation of the  $CP_2$  metric is deducible from  $S^5$  metric is obtained by putting the angle coordinate of a geodesic sphere constant in it and is given

$$\frac{ds^2}{R^2} = \frac{(dr^2 + r^2 \sigma_3^2)}{F^2} + \frac{r^2(\sigma_1^2 + \sigma_2^2)}{F} , \quad (\text{A-1.7})$$

where the quantities  $\sigma_i$  are defined as

$$\begin{aligned} r^2 \sigma_1 &= \text{Im}(\xi^1 d\xi^2 - \xi^2 d\xi^1) , \\ r^2 \sigma_2 &= -\text{Re}(\xi^1 d\xi^2 - \xi^2 d\xi^1) , \\ r^2 \sigma_3 &= -\text{Im}(\xi^1 d\bar{\xi}^1 + \xi^2 d\bar{\xi}^2) . \end{aligned} \quad (\text{A-1.8})$$

$R$  denotes the radius of the geodesic circle of  $CP_2$ . The vierbein forms, which satisfy the defining relation

$$s_{kl} = R^2 \sum_A e_k^A e_l^A , \quad (\text{A-1.9})$$

are given by

$$\begin{aligned} e^0 &= \frac{dr}{F} , & e^1 &= \frac{r\sigma_1}{\sqrt{F}} , \\ e^2 &= \frac{r\sigma_2}{\sqrt{F}} , & e^3 &= \frac{r\sigma_3}{F} . \end{aligned} \quad (\text{A-1.10})$$

The explicit representations of vierbein vectors are given by

$$\begin{aligned} e^0 &= \frac{dr}{F} , & e^1 &= \frac{r(\sin\Theta \cos\Psi d\Phi + \sin\Psi d\Theta)}{2\sqrt{F}} , \\ e^2 &= \frac{r(\sin\Theta \sin\Psi d\Phi - \cos\Psi d\Theta)}{2\sqrt{F}} , & e^3 &= \frac{r(d\Psi + \cos\Theta d\Phi)}{2F} . \end{aligned} \quad (\text{A-1.11})$$

The explicit representation of the line element is given by the expression

$$ds^2/R^2 = \frac{dr^2}{F^2} + \frac{r^2}{4F^2} (d\Psi + \cos\Theta d\Phi)^2 + \frac{r^2}{4F} (d\Theta^2 + \sin^2\Theta d\Phi^2) . \quad (\text{A-1.12})$$

The vierbein connection satisfying the defining relation

$$de^A = -V_B^A \wedge e^B, \quad (\text{A-1.13})$$

is given by

$$\begin{aligned} V_{01} &= -\frac{e^1}{r}, & V_{23} &= \frac{e^1}{r_2}, \\ V_{02} &= -\frac{e^2}{r}, & V_{31} &= \frac{e^2}{r}, \\ V_{03} &= (r - \frac{1}{r})e^3, & V_{12} &= (2r + \frac{1}{r})e^3. \end{aligned} \quad (\text{A-1.14})$$

The representation of the covariantly constant curvature tensor is given by

$$\begin{aligned} R_{01} &= e^0 \wedge e^1 - e^2 \wedge e^3, & R_{23} &= e^0 \wedge e^1 - e^2 \wedge e^3, \\ R_{02} &= e^0 \wedge e^2 - e^3 \wedge e^1, & R_{31} &= -e^0 \wedge e^2 + e^3 \wedge e^1, \\ R_{03} &= 4e^0 \wedge e^3 + 2e^1 \wedge e^2, & R_{12} &= 2e^0 \wedge e^3 + 4e^1 \wedge e^2. \end{aligned} \quad (\text{A-1.15})$$

Metric defines a real, covariantly constant, and therefore closed 2-form  $J$

$$J = -ig_{a\bar{b}}d\xi^a d\bar{\xi}^b, \quad (\text{A-1.16})$$

the so called Kähler form. Kähler form  $J$  defines in  $CP_2$  a symplectic structure because it satisfies the condition

$$J^k_r J^{rl} = -s^{kl}. \quad (\text{A-1.17})$$

The form  $J$  is integer valued and by its covariant constancy satisfies free Maxwell equations. Hence it can be regarded as a curvature form of a  $U(1)$  gauge potential  $B$  carrying a magnetic charge of unit  $1/2g$  ( $g$  denotes the gauge coupling). Locally one has therefore

$$J = dB, \quad (\text{A-1.18})$$

where  $B$  is the so called Kähler potential, which is not defined globally since  $J$  describes homological magnetic monopole.

It should be noticed that the magnetic flux of  $J$  through a 2-surface in  $CP_2$  is proportional to its homology equivalence class, which is integer valued. The explicit representations of  $J$  and  $B$  are given by

$$\begin{aligned} B &= 2re^3, \\ J &= 2(e^0 \wedge e^3 + e^1 \wedge e^2) = \frac{r}{F^2} dr \wedge (d\Psi + \cos\Theta d\Phi) + \frac{r^2}{2F} \sin\Theta d\Theta d\Phi. \end{aligned} \quad (\text{A-1.19})$$

The vierbein curvature form and Kähler form are covariantly constant and have in the complex coordinates only components of type (1,1).

Useful coordinates for  $CP_2$  are the so called canonical coordinates in which Kähler potential and Kähler form have very simple expressions

$$\begin{aligned} B &= \sum_{k=1,2} P_k dQ_k, \\ J &= \sum_{k=1,2} dP_k \wedge dQ_k. \end{aligned} \quad (\text{A-1.20})$$

The relationship of the canonical coordinates to the "spherical" coordinates is given by the equations

$$\begin{aligned}
P_1 &= -\frac{1}{1+r^2} , \\
P_2 &= \frac{r^2 \cos \Theta}{2(1+r^2)} , \\
Q_1 &= \Psi , \\
Q_2 &= \Phi .
\end{aligned} \tag{A-1.21}$$

### A-1.3 Spinors in $CP_2$

$CP_2$  doesn't allow spinor structure in the conventional sense [17]. However, the coupling of the spinors to a half odd multiple of the Kähler potential leads to a respectable spinor structure. Because the delicacies associated with the spinor structure of  $CP_2$  play a fundamental role in TGD, the arguments of Hawking are repeated here.

To see how the space can fail to have an ordinary spinor structure consider the parallel transport of the vierbein in a simply connected space  $M$ . The parallel propagation around a closed curve with a base point  $x$  leads to a rotated vierbein at  $x$ :  $e^A = R_B^A e^B$  and one can associate to each closed path an element of  $SO(4)$ .

Consider now a one-parameter family of closed curves  $\gamma(v) : v \in (0, 1)$  with the same base point  $x$  and  $\gamma(0)$  and  $\gamma(1)$  trivial paths. Clearly these paths define a sphere  $S^2$  in  $M$  and the element  $R_B^A(v)$  defines a closed path in  $SO(4)$ . When the sphere  $S^2$  is contractible to a point e.g., homologically trivial, the path in  $SO(4)$  is also contractible to a point and therefore represents a trivial element of the homotopy group  $\Pi_1(SO(4)) = Z_2$ .

For a homologically nontrivial 2-surface  $S^2$  the associated path in  $SO(4)$  can be homotopically nontrivial and therefore corresponds to a nonclosed path in the covering group  $Spin(4)$  (leading from the matrix 1 to -1 in the matrix representation). Assume this is the case.

Assume now that the space allows spinor structure. Then one can parallel propagate also spinors and by the above construction associate a closed path of  $Spin(4)$  to the surface  $S^2$ . Now, however this path corresponds to a lift of the corresponding  $SO(4)$  path and cannot be closed. Thus one ends up with a contradiction.

From the preceding argument it is clear that one could compensate the non-allowed  $-1$ -factor associated with the parallel transport of the spinor around the sphere  $S^2$  by coupling it to a gauge potential in such a way that in the parallel transport the gauge potential introduces a compensating  $-1$ -factor. For a  $U(1)$  gauge potential this factor is given by the exponential  $\exp(i2\Phi)$ , where  $\Phi$  is the magnetic flux through the surface. This factor has the value  $-1$  provided the  $U(1)$  potential carries half odd multiple of Dirac charge  $1/2g$ . In case of  $CP_2$  the required gauge potential is half odd multiple of the Kähler potential  $B$  defined previously. In the case of  $M^4 \times CP_2$  one can in addition couple the spinor components with different chiralities independently to an odd multiple of  $B/2$ .

### A-1.4 Geodesic sub-manifolds of $CP_2$

Geodesic sub-manifolds are defined as sub-manifolds having common geodesic lines with the imbedding space. As a consequence the second fundamental form of the geodesic manifold vanishes, which means that the tangent vectors  $h_\alpha^k$  (understood as vectors of  $H$ ) are covariantly constant quantities with respect to the covariant derivative taking into account that the tangent vectors are vectors both with respect to  $H$  and  $X^4$ .

In [16] a general characterization of the geodesic sub-manifolds for an arbitrary symmetric space  $G/H$  is given. Geodesic sub-manifolds are in 1-1-correspondence with the so called Lie triple systems of the Lie-algebra  $g$  of the group  $G$ . The Lie triple system  $t$  is defined as a subspace of  $g$  characterized by the closedness property with respect to double commutation

$$[X, [Y, Z]] \in t \text{ for } X, Y, Z \in t . \tag{A-1.22}$$

$SU(3)$  allows, besides geodesic lines, two nonequivalent (not isometry related) geodesic spheres. This is understood by observing that  $SU(3)$  allows two nonequivalent  $SU(2)$  algebras corresponding to

subgroups  $SO(3)$  (orthogonal  $3 \times 3$  matrices) and the usual isospin group  $SU(2)$ . By taking any subset of two generators from these algebras, one obtains a Lie triple system and by exponentiating this system, one obtains a 2-dimensional geodesic sub-manifold of  $CP_2$ .

Standard representatives for the geodesic spheres of  $CP_2$  are given by the equations

$$S_I^2 : \xi^1 = \bar{\xi}^2 \text{ or equivalently } (\Theta = \pi/2, \Psi = 0) ,$$

$$S_{II}^2 : \xi^1 = \xi^2 \text{ or equivalently } (\Theta = \pi/2, \Phi = 0) .$$

The non-equivalence of these sub-manifolds is clear from the fact that isometries act as holomorphic transformations in  $CP_2$ . The vanishing of the second fundamental form is also easy to verify. The first geodesic manifold is homologically trivial: in fact, the induced Kähler form vanishes identically for  $S_I^2$ .  $S_{II}^2$  is homologically nontrivial and the flux of the Kähler form gives its homology equivalence class.

## A-2 $CP_2$ geometry and standard model symmetries

### A-2.1 Identification of the electro-weak couplings

The delicacies of the spinor structure of  $CP_2$  make it a unique candidate for space  $S$ . First, the coupling of the spinors to the  $U(1)$  gauge potential defined by the Kähler structure provides the missing  $U(1)$  factor in the gauge group. Secondly, it is possible to couple different  $H$ -chiralities independently to a half odd multiple of the Kähler potential. Thus the hopes of obtaining a correct spectrum for the electromagnetic charge are considerable. In the following it will be demonstrated that the couplings of the induced spinor connection are indeed those of the GWS model [21] and in particular that the right handed neutrinos decouple completely from the electro-weak interactions.

To begin with, recall that the space  $H$  allows to define three different chiralities for spinors. Spinors with fixed  $H$ -chirality  $e = \pm 1$ ,  $CP_2$ -chirality  $l, r$  and  $M^4$ -chirality  $L, R$  are defined by the condition

$$\begin{aligned} \Gamma\Psi &= e\Psi , \\ e &= \pm 1 , \end{aligned} \tag{A-2.1}$$

where  $\Gamma$  denotes the matrix  $\Gamma_9 = \gamma_5 \times \gamma_5$ ,  $1 \times \gamma_5$  and  $\gamma_5 \times 1$  respectively. Clearly, for a fixed  $H$ -chirality  $CP_2$ - and  $M^4$ -chiralities are correlated.

The spinors with  $H$ -chirality  $e = \pm 1$  can be identified as quark and lepton like spinors respectively. The separate conservation of baryon and lepton numbers can be understood as a consequence of generalized chiral invariance if this identification is accepted. For the spinors with a definite  $H$ -chirality one can identify the vielbein group of  $CP_2$  as the electro-weak group:  $SO(4) = SU(2)_L \times SU(2)_R$ .

The covariant derivatives are defined by the spinorial connection

$$A = V + \frac{B}{2}(n_+ 1_+ + n_- 1_-) . \tag{A-2.2}$$

Here  $V$  and  $B$  denote the projections of the vielbein and Kähler gauge potentials respectively and  $1_{+(-)}$  projects to the spinor  $H$ -chirality  $+(-)$ . The integers  $n_{\pm}$  are odd from the requirement of a respectable spinor structure.

The explicit representation of the vielbein connection  $V$  and of  $B$  are given by the equations

$$\begin{aligned} V_{01} &= -\frac{e^1}{r} , & V_{23} &= \frac{e^1}{r} , \\ V_{02} &= -\frac{e^2}{r} , & V_{31} &= \frac{e^2}{r} , \\ V_{03} &= (r - \frac{1}{r})e^3 , & V_{12} &= (2r + \frac{1}{r})e^3 , \end{aligned} \tag{A-2.3}$$

and

$$B = 2re^3 , \tag{A-2.4}$$

respectively. The explicit representation of the vielbein is not needed here.

Let us first show that the charged part of the spinor connection couples purely left handedly. Identifying  $\Sigma_3^0$  and  $\Sigma_2^1$  as the diagonal (neutral) Lie-algebra generators of  $SO(4)$ , one finds that the charged part of the spinor connection is given by

$$A_{ch} = 2V_{23}I_L^1 + 2V_{13}I_L^2, \quad (\text{A-2.5})$$

where one have defined

$$\begin{aligned} I_L^1 &= \frac{(\Sigma_{01} - \Sigma_{23})}{2}, \\ I_L^2 &= \frac{(\Sigma_{02} - \Sigma_{13})}{2}. \end{aligned} \quad (\text{A-2.6})$$

$A_{ch}$  is clearly left handed so that one can perform the identification

$$W^\pm = \frac{2(e^1 \pm ie^2)}{r}, \quad (\text{A-2.7})$$

where  $W^\pm$  denotes the charged intermediate vector boson.

Consider next the identification of the neutral gauge bosons  $\gamma$  and  $Z^0$  as appropriate linear combinations of the two functionally independent quantities

$$\begin{aligned} X &= re^3, \\ Y &= \frac{e^3}{r}, \end{aligned} \quad (\text{A-2.8})$$

appearing in the neutral part of the spinor connection. We show first that the mere requirement that photon couples vectorially implies the basic coupling structure of the GWS model leaving only the value of Weinberg angle undetermined.

To begin with let us define

$$\begin{aligned} \bar{\gamma} &= aX + bY, \\ \bar{Z}^0 &= cX + dY, \end{aligned} \quad (\text{A-2.9})$$

where the normalization condition

$$ad - bc = 1,$$

is satisfied. The physical fields  $\gamma$  and  $Z^0$  are related to  $\bar{\gamma}$  and  $\bar{Z}^0$  by simple normalization factors.

Expressing the neutral part of the spinor connection in term of these fields one obtains

$$\begin{aligned} A_{nc} &= [(c+d)2\Sigma_{03} + (2d-c)2\Sigma_{12} + d(n_+1_+ + n_-1_-)]\bar{\gamma} \\ &+ [(a-b)2\Sigma_{03} + (a-2b)2\Sigma_{12} - b(n_+1_+ + n_-1_-)]\bar{Z}^0. \end{aligned} \quad (\text{A-2.10})$$

Identifying  $\Sigma_{12}$  and  $\Sigma_{03} = 1 \times \gamma_5 \Sigma_{12}$  as vectorial and axial Lie-algebra generators, respectively, the requirement that  $\gamma$  couples vectorially leads to the condition

$$c = -d. \quad (\text{A-2.11})$$

Using this result plus previous equations, one obtains for the neutral part of the connection the expression



$$A_{nc} = \gamma Q_{em} + Z^0(I_L^3 - \sin^2\theta_W Q_{em}) . \quad (\text{A-2.12})$$

Here the electromagnetic charge  $Q_{em}$  and the weak isospin are defined by

$$\begin{aligned} Q_{em} &= \Sigma^{12} + \frac{(n_+1_+ + n_-1_-)}{6} , \\ I_L^3 &= \frac{(\Sigma^{12} - \Sigma^{03})}{2} . \end{aligned} \quad (\text{A-2.13})$$

The fields  $\gamma$  and  $Z^0$  are defined via the relations

$$\begin{aligned} \gamma &= 6d\bar{\gamma} = \frac{6}{(a+b)}(aX + bY) , \\ Z^0 &= 4(a+b)\bar{Z}^0 = 4(X - Y) . \end{aligned} \quad (\text{A-2.14})$$

The value of the Weinberg angle is given by

$$\sin^2\theta_W = \frac{3b}{2(a+b)} , \quad (\text{A-2.15})$$

and is not fixed completely. Observe that right handed neutrinos decouple completely from the electro-weak interactions.

The determination of the value of Weinberg angle is a dynamical problem. The angle is completely fixed once the YM action is fixed by requiring that action contains no cross term of type  $\gamma Z^0$ . Pure symmetry non-broken electro-weak YM action leads to a definite value for the Weinberg angle. One can however add a symmetry breaking term proportional to Kähler action and this changes the value of the Weinberg angle.

To evaluate the value of the Weinberg angle one can express the neutral part  $F_{nc}$  of the induced gauge field as

$$F_{nc} = 2R_{03}\Sigma^{03} + 2R_{12}\Sigma^{12} + J(n_+1_+ + n_-1_-) , \quad (\text{A-2.16})$$

where one has

$$\begin{aligned} R_{03} &= 2(2e^0 \wedge e^3 + e^1 \wedge e^2) , \\ R_{12} &= 2(e^0 \wedge e^3 + 2e^1 \wedge e^2) , \\ J &= 2(e^0 \wedge e^3 + e^1 \wedge e^2) , \end{aligned} \quad (\text{A-2.17})$$

in terms of the fields  $\gamma$  and  $Z^0$  (photon and  $Z$ - boson)

$$F_{nc} = \gamma Q_{em} + Z^0(I_L^3 - \sin^2\theta_W Q_{em}) . \quad (\text{A-2.18})$$

Evaluating the expressions above one obtains for  $\gamma$  and  $Z^0$  the expressions

$$\begin{aligned} \gamma &= 3J - \sin^2\theta_W R_{03} , \\ Z^0 &= 2R_{03} . \end{aligned} \quad (\text{A-2.19})$$

For the Kähler field one obtains

$$J = \frac{1}{3}(\gamma + \sin^2\theta_W Z^0) . \quad (\text{A-2.20})$$

Expressing the neutral part of the symmetry broken YM action

$$\begin{aligned} L_{ew} &= L_{sym} + f J^{\alpha\beta} J_{\alpha\beta} , \\ L_{sym} &= \frac{1}{4g^2} Tr(F^{\alpha\beta} F_{\alpha\beta}) , \end{aligned} \quad (\text{A-2.21})$$

where the trace is taken in spinor representation, in terms of  $\gamma$  and  $Z^0$  one obtains for the coefficient  $X$  of the  $\gamma Z^0$  cross term (this coefficient must vanish) the expression

$$\begin{aligned} X &= -\frac{K}{2g^2} + \frac{fp}{18} , \\ K &= Tr [Q_{em}(I_L^3 - \sin^2\theta_W Q_{em})] , \end{aligned} \quad (\text{A-2.22})$$

In the general case the value of the coefficient  $K$  is given by

$$K = \sum_i \left[ -\frac{(18 + 2n_i^2)\sin^2\theta_W}{9} \right] , \quad (\text{A-2.23})$$

where the sum is over the spinor chiralities, which appear as elementary fermions and  $n_i$  is the integer describing the coupling of the spinor field to the Kähler potential. The cross term vanishes provided the value of the Weinberg angle is given by

$$\sin^2\theta_W = \frac{9 \sum_i 1}{(fg^2 + 2 \sum_i (18 + n_i^2))} . \quad (\text{A-2.24})$$

In the scenario where both leptons and quarks are elementary fermions the value of the Weinberg angle is given by

$$\sin^2\theta_W = \frac{9}{(\frac{fg^2}{2} + 28)} . \quad (\text{A-2.25})$$

The bare value of the Weinberg angle is  $9/28$  in this scenario, which is quite close to the typical value  $9/24$  of GUTs [19].

## A-2.2 Discrete symmetries

The treatment of discrete symmetries C, P, and T is based on the following requirements:

- Symmetries must be realized as purely geometric transformations.
- Transformation properties of the field variables should be essentially the same as in the conventional quantum field theories [22].

The action of the reflection  $P$  on spinors is given by

$$\Psi \rightarrow P\Psi = \gamma^0 \otimes \gamma^0 \Psi . \quad (\text{A-2.26})$$

in the representation of the gamma matrices for which  $\gamma^0$  is diagonal. It should be noticed that  $W$  and  $Z^0$  bosons break parity symmetry as they should since their charge matrices do not commute with the matrix of  $P$ .

The guess that a complex conjugation in  $CP_2$  is associated with T transformation of the physicist turns out to be correct. One can verify by a direct calculation that pure Dirac action is invariant under T realized according to

$$\begin{aligned} m^k &\rightarrow T(M^k) , \\ \xi^k &\rightarrow \bar{\xi}^k , \\ \Psi &\rightarrow \gamma^1 \gamma^3 \otimes 1 \Psi . \end{aligned} \quad (\text{A-2.27})$$

The operation bearing closest resemblance to the ordinary charge conjugation corresponds geometrically to complex conjugation in  $CP_2$ :

$$\begin{aligned} \xi^k &\rightarrow \bar{\xi}^k, \\ \Psi &\rightarrow \Psi^\dagger \gamma^2 \gamma^0 \otimes 1. \end{aligned} \tag{A-2.28}$$

As one might have expected symmetries CP and T are exact symmetries of the pure Dirac action.

### A-3 Basic facts about induced gauge fields

Since the classical gauge fields are closely related in TGD framework, it is not possible to have space-time sheets carrying only single kind of gauge field. For instance, em fields are accompanied by  $Z^0$  fields for extremals of Kähler action. Weak forces is however absent unless the space-time sheets contains topologically condensed exotic weakly charged particles responding to this force. Same applies to classical color forces. The fact that these long range fields are present forces to assume that there exists a hierarchy of scaled up variants of standard model physics identifiable in terms of dark matter.

Classical em fields are always accompanied by  $Z^0$  field and some components of color gauge field. For extremals having homologically non-trivial sphere as a  $CP_2$  projection em and  $Z^0$  fields are the only non-vanishing electroweak gauge fields. For homologically trivial sphere only  $W$  fields are non-vanishing. Color rotations does not affect the situation.

For vacuum extremals all electro-weak gauge fields are in general non-vanishing although the net gauge field has  $U(1)$  holonomy by 2-dimensionality of the  $CP_2$  projection. Color gauge field has  $U(1)$  holonomy for all space-time surfaces and quantum classical correspondence suggest a weak form of color confinement meaning that physical states correspond to color neutral members of color multiplets.

#### A-3.1 Induced gauge fields for space-times for which $CP_2$ projection is a geodesic sphere

If one requires that space-time surface is an extremal of Kähler action and has a 2-dimensional  $CP_2$  projection, only vacuum extremals and space-time surfaces for which  $CP_2$  projection is a geodesic sphere, are allowed. Homologically non-trivial geodesic sphere correspond to vanishing  $W$  fields and homologically non-trivial sphere to non-vanishing  $W$  fields but vanishing  $\gamma$  and  $Z^0$ . This can be verified by explicit examples.

$r = \infty$  surface gives rise to a homologically non-trivial geodesic sphere for which  $e_0$  and  $e_3$  vanish imply the vanishing of  $W$  field. For space-time sheets for which  $CP_2$  projection is  $r = \infty$  homologically non-trivial geodesic sphere of  $CP_2$  one has

$$\gamma = \left(\frac{3}{4} - \frac{\sin^2(\theta_W)}{2}\right) Z^0 \simeq \frac{5Z^0}{8}.$$

The induced  $W$  fields vanish in this case and they vanish also for all geodesic sphere obtained by  $SU(3)$  rotation.

$Im(\xi^1) = Im(\xi^2) = 0$  corresponds to homologically trivial geodesic sphere. A more general representative is obtained by using for the phase angles of standard complex  $CP_2$  coordinates constant values. In this case  $e^1$  and  $e^3$  vanish so that the induced em,  $Z^0$ , and Kähler fields vanish but induced  $W$  fields are non-vanishing. This holds also for surfaces obtained by color rotation. Hence one can say that for non-vacuum extremals with 2-D  $CP_2$  projection color rotations and weak symmetries commute.

#### A-3.2 Space-time surfaces with vanishing em, $Z^0$ , or Kähler fields

In the following the induced gauge fields are studied for general space-time surface without assuming the extremal property. In fact, extremal property reduces the study to the study of vacuum extremals and surfaces having geodesic sphere as a  $CP_2$  projection and in this sense the following arguments are somewhat obsolete in their generality.

### Space-times with vanishing em, $Z^0$ , or Kähler fields

The following considerations apply to a more general situation in which the homologically trivial geodesic sphere and extremal property are not assumed. It must be emphasized that this case is possible in TGD framework only for a vanishing Kähler field.

Using spherical coordinates  $(r, \Theta, \Psi, \Phi)$  for  $CP_2$ , the expression of Kähler form reads as

$$\begin{aligned} J &= \frac{r}{F^2} dr \wedge (d\Psi + \cos(\Theta)d\Phi) + \frac{r^2}{2F} \sin(\Theta)d\Theta \wedge d\Phi , \\ F &= 1 + r^2 . \end{aligned} \quad (\text{A-3.1})$$

The general expression of electromagnetic field reads as

$$\begin{aligned} F_{em} &= (3 + 2p) \frac{r}{F^2} dr \wedge (d\Psi + \cos(\Theta)d\Phi) + (3 + p) \frac{r^2}{2F} \sin(\Theta)d\Theta \wedge d\Phi , \\ p &= \sin^2(\Theta_W) , \end{aligned} \quad (\text{A-3.2})$$

where  $\Theta_W$  denotes Weinberg angle.

a) The vanishing of the electromagnetic fields is guaranteed, when the conditions

$$\begin{aligned} \Psi &= k\Phi , \\ (3 + 2p) \frac{1}{r^2 F} (d(r^2)/d\Theta)(k + \cos(\Theta)) + (3 + p) \sin(\Theta) &= 0 , \end{aligned} \quad (\text{A-3.3})$$

hold true. The conditions imply that  $CP_2$  projection of the electromagnetically neutral space-time is 2-dimensional. Solving the differential equation one obtains

$$\begin{aligned} r &= \sqrt{\frac{X}{1-X}} , \\ X &= D \left[ \left| \frac{k+u}{C} \right| \right]^\epsilon , \\ u &\equiv \cos(\Theta) , \quad C = k + \cos(\Theta_0) , \quad D = \frac{r_0^2}{1+r_0^2} , \quad \epsilon = \frac{3+p}{3+2p} , \end{aligned} \quad (\text{A-3.4})$$

where  $C$  and  $D$  are integration constants.  $0 \leq X \leq 1$  is required by the reality of  $r$ .  $r = 0$  would correspond to  $X = 0$  giving  $u = -k$  achieved only for  $|k| \leq 1$  and  $r = \infty$  to  $X = 1$  giving  $|u+k| = [(1+r_0^2)/r_0^2]^{(3+2p)/(3+p)}$  achieved only for

$$\text{sign}(u+k) \times \left[ \frac{1+r_0^2}{r_0^2} \right]^{\frac{3+2p}{3+p}} \leq k+1 ,$$

where  $\text{sign}(x)$  denotes the sign of  $x$ .

The expressions for Kähler form and  $Z^0$  field are given by

$$\begin{aligned} J &= -\frac{p}{3+2p} X du \wedge d\Phi , \\ Z^0 &= -\frac{6}{p} J . \end{aligned} \quad (\text{A-3.5})$$

The components of the electromagnetic field generated by varying vacuum parameters are proportional to the components of the Kähler field: in particular, the magnetic field is parallel to the Kähler magnetic field. The generation of a long range  $Z^0$  vacuum field is a purely TGD based feature not encountered in the standard gauge theories.

b) The vanishing of  $Z^0$  fields is achieved by the replacement of the parameter  $\epsilon$  with  $\epsilon = 1/2$  as becomes clear by considering the condition stating that  $Z^0$  field vanishes identically. Also the relationship  $F_{em} = 3J = -\frac{3}{4} \frac{r^2}{F} du \wedge d\Phi$  is useful.

c) The vanishing Kähler field corresponds to  $\epsilon = 1, p = 0$  in the formula for em neutral space-times. In this case classical em and  $Z^0$  fields are proportional to each other:

$$\begin{aligned} Z^0 &= 2e^0 \wedge e^3 = \frac{r}{F^2}(k+u) \frac{\partial r}{\partial u} du \wedge d\Phi = (k+u) du \wedge d\Phi \ , \\ r &= \sqrt{\frac{X}{1-X}} \ , \ X = D|k+u| \ , \\ \gamma &= -\frac{p}{2} Z^0 \ . \end{aligned} \tag{A-3.6}$$

For a vanishing value of Weinberg angle ( $p = 0$ ) em field vanishes and only  $Z^0$  field remains as a long range gauge field. Vacuum extremals for which long range  $Z^0$  field vanishes but em field is non-vanishing are not possible.

### The effective form of $CP_2$ metric for surfaces with 2-dimensional $CP_2$ projection

The effective form of the  $CP_2$  metric for a space-time having vanishing em,  $Z^0$ , or Kähler field is of practical value in the case of vacuum extremals and is given by

$$\begin{aligned} ds_{eff}^2 &= (s_{rr}(\frac{dr}{d\Theta})^2 + s_{\Theta\Theta})d\Theta^2 + (s_{\Phi\Phi} + 2ks_{\Phi\Psi})d\Phi^2 = \frac{R^2}{4}[s_{\Theta\Theta}^{eff}d\Theta^2 + s_{\Phi\Phi}^{eff}d\Phi^2] \ , \\ s_{\Theta\Theta}^{eff} &= X \times \left[ \frac{\epsilon^2(1-u^2)}{(k+u)^2} \times \frac{1}{1-X} + 1 - X \right] \ , \\ s_{\Phi\Phi}^{eff} &= X \times [(1-X)(k+u)^2 + 1 - u^2] \ , \end{aligned} \tag{A-3.7}$$

and is useful in the construction of vacuum imbedding of, say Schwartzchild metric.

### Topological quantum numbers

Space-times for which either em,  $Z^0$ , or Kähler field vanishes decompose into regions characterized by six vacuum parameters: two of these quantum numbers ( $\omega_1$  and  $\omega_2$ ) are frequency type parameters, two ( $k_1$  and  $k_2$ ) are wave vector like quantum numbers, two of the quantum numbers ( $n_1$  and  $n_2$ ) are integers. The parameters  $\omega_i$  and  $n_i$  will be referred as electric and magnetic quantum numbers. The existence of these quantum numbers is not a feature of these solutions alone but represents a much more general phenomenon differentiating in a clear cut manner between TGD and Maxwell's electrodynamics.

The simplest manner to avoid surface Kähler charges and discontinuities or infinities in the derivatives of  $CP_2$  coordinates on the common boundary of two neighboring regions with different vacuum quantum numbers is topological field quantization, 3-space decomposes into disjoint topological field quanta, 3-surfaces having outer boundaries with possibly macroscopic size.

Under rather general conditions the coordinates  $\Psi$  and  $\Phi$  can be written in the form

$$\begin{aligned} \Psi &= \omega_2 m^0 + k_2 m^3 + n_2 \phi + \text{Fourier expansion} \ , \\ \Phi &= \omega_1 m^0 + k_1 m^3 + n_1 \phi + \text{Fourier expansion} \ . \end{aligned} \tag{A-3.8}$$

$m^0, m^3$  and  $\phi$  denote the coordinate variables of the cylindrical  $M^4$  coordinates) so that one has  $k = \omega_2/\omega_1 = n_2/n_1 = k_2/k_1$ . The regions of the space-time surface with given values of the vacuum parameters  $\omega_i, k_i$  and  $n_i$  and  $m$  and  $C$  are bounded by the surfaces at which space-time surface becomes ill-defined, say by  $r > 0$  or  $r < \infty$  surfaces.

The space-time surface decomposes into regions characterized by different values of the vacuum parameters  $r_0$  and  $\Theta_0$ . At  $r = \infty$  surfaces  $n_2, \omega_2$  and  $m$  can change since all values of  $\Psi$  correspond to the same point of  $CP_2$ : at  $r = 0$  surfaces also  $n_1$  and  $\omega_1$  can change since all values of  $\Phi$  correspond to same point of  $CP_2$ , too. If  $r = 0$  or  $r = \infty$  is not in the allowed range space-time surface develops a boundary.

This implies what might be called topological quantization since in general it is not possible to find a smooth global imbedding for, say a constant magnetic field. Although global imbedding exists

it decomposes into regions with different values of the vacuum parameters and the coordinate  $u$  in general possesses discontinuous derivative at  $r = 0$  and  $r = \infty$  surfaces. A possible manner to avoid edges of space-time is to allow field quantization so that 3-space (and field) decomposes into disjoint quanta, which can be regarded as structurally stable units a 3-space (and of the gauge field). This doesn't exclude partial join along boundaries for neighboring field quanta provided some additional conditions guaranteeing the absence of edges are satisfied.

For instance, the vanishing of the electromagnetic fields implies that the condition

$$\Omega \equiv \frac{\omega_2}{n_2} - \frac{\omega_1}{n_1} = 0 \quad , \quad (\text{A-3.9})$$

is satisfied. In particular, the ratio  $\omega_2/\omega_1$  is rational number for the electromagnetically neutral regions of space-time surface. The change of the parameter  $n_1$  and  $n_2$  ( $\omega_1$  and  $\omega_2$ ) in general generates magnetic field and therefore these integers will be referred to as magnetic (electric) quantum numbers.

## A-4 p-Adic numbers and TGD

### A-4.1 p-Adic number fields

p-Adic numbers ( $p$  is prime: 2,3,5,...) can be regarded as a completion of the rational numbers using a norm, which is different from the ordinary norm of real numbers [18]. p-Adic numbers are representable as power expansion of the prime number  $p$  of form:

$$x = \sum_{k \geq k_0} x(k)p^k, \quad x(k) = 0, \dots, p-1 \quad . \quad (\text{A-4.1})$$

The norm of a p-adic number is given by

$$|x| = p^{-k_0(x)} \quad . \quad (\text{A-4.2})$$

Here  $k_0(x)$  is the lowest power in the expansion of the p-adic number. The norm differs drastically from the norm of the ordinary real numbers since it depends on the lowest pinary digit of the p-adic number only. Arbitrarily high powers in the expansion are possible since the norm of the p-adic number is finite also for numbers, which are infinite with respect to the ordinary norm. A convenient representation for p-adic numbers is in the form

$$x = p^{k_0} \varepsilon(x) \quad , \quad (\text{A-4.3})$$

where  $\varepsilon(x) = k + \dots$  with  $0 < k < p$ , is p-adic number with unit norm and analogous to the phase factor  $\exp(i\phi)$  of a complex number.

The distance function  $d(x, y) = |x - y|_p$  defined by the p-adic norm possesses a very general property called ultra-metricity:

$$d(x, z) \leq \max\{d(x, y), d(y, z)\} \quad . \quad (\text{A-4.4})$$

The properties of the distance function make it possible to decompose  $R_p$  into a union of disjoint sets using the criterion that  $x$  and  $y$  belong to same class if the distance between  $x$  and  $y$  satisfies the condition

$$d(x, y) \leq D \quad . \quad (\text{A-4.5})$$

This division of the metric space into classes has following properties:

a) Distances between the members of two different classes  $X$  and  $Y$  do not depend on the choice of points  $x$  and  $y$  inside classes. One can therefore speak about distance function between classes.

b) Distances of points  $x$  and  $y$  inside single class are smaller than distances between different classes.

c) Classes form a hierarchical tree.

Notice that the concept of the ultra-metricity emerged in physics from the models for spin glasses and is believed to have also applications in biology [20]. The emergence of p-adic topology as the topology of the effective space-time would make ultra-metricity property basic feature of physics.

### A-4.2 Canonical correspondence between p-adic and real numbers

The basic challenge encountered by p-adic physicist is how to map the predictions of the p-adic physics to real numbers. p-Adic probabilities provide a basic example in this respect. Identification via common rationals and canonical identification and its variants have turned out to play a key role in this respect.

#### Basic form of canonical identification

There exists a natural continuous map  $I : R_p \rightarrow R_+$  from p-adic numbers to non-negative real numbers given by the "pinary" expansion of the real number for  $x \in R$  and  $y \in R_p$  this correspondence reads

$$\begin{aligned}
 y &= \sum_{k>N} y_k p^k \rightarrow x = \sum_{k<N} y_k p^{-k} , \\
 y_k &\in \{0, 1, \dots, p-1\} .
 \end{aligned}
 \tag{A-4.6}$$

This map is continuous as one easily finds out. There is however a little difficulty associated with the definition of the inverse map since the pinary expansion like also decimal expansion is not unique ( $1 = 0.999\dots$ ) for the real numbers  $x$ , which allow pinary expansion with finite number of pinary digits

$$\begin{aligned}
 x &= \sum_{k=N_0}^N x_k p^{-k} , \\
 x &= \sum_{k=N_0}^{N-1} x_k p^{-k} + (x_N - 1)p^{-N} + (p-1)p^{-N-1} \sum_{k=0,\dots} p^{-k} .
 \end{aligned}
 \tag{A-4.7}$$

The p-adic images associated with these expansions are different

$$\begin{aligned}
 y_1 &= \sum_{k=N_0}^N x_k p^k , \\
 y_2 &= \sum_{k=N_0}^{N-1} x_k p^k + (x_N - 1)p^N + (p-1)p^{N+1} \sum_{k=0,\dots} p^k \\
 &= y_1 + (x_N - 1)p^N - p^{N+1} ,
 \end{aligned}
 \tag{A-4.8}$$

so that the inverse map is either two-valued for p-adic numbers having expansion with finite pinary digits or single valued and discontinuous and non-surjective if one makes pinary expansion unique by choosing the one with finite pinary digits. The finite pinary digit expansion is a natural choice since in the numerical work one always must use a pinary cutoff on the real axis.

### The topology induced by canonical identification

The topology induced by the canonical identification in the set of positive real numbers differs from the ordinary topology. The difference is easily understood by interpreting the p-adic norm as a norm in the set of the real numbers. The norm is constant in each interval  $[p^k, p^{k+1})$  (see Fig. A-4.2) and is equal to the usual real norm at the points  $x = p^k$ : the usual linear norm is replaced with a piecewise constant norm. This means that p-adic topology is coarser than the usual real topology and the higher the value of  $p$  is, the coarser the resulting topology is above a given length scale. This hierarchical ordering of the p-adic topologies will be a central feature as far as the proposed applications of the p-adic numbers are considered.

Ordinary continuity implies p-adic continuity since the norm induced from the p-adic topology is rougher than the ordinary norm. p-Adic continuity implies ordinary continuity from right as is clear already from the properties of the p-adic norm (the graph of the norm is indeed continuous from right). This feature is one clear signature of the p-adic topology.

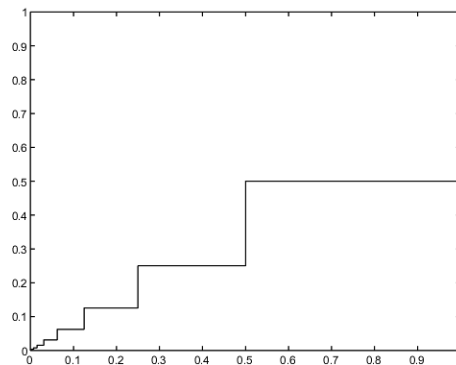


Figure 1: The real norm induced by canonical identification from 2-adic norm.

The linear structure of the p-adic numbers induces a corresponding structure in the set of the non-negative real numbers and p-adic linearity in general differs from the ordinary concept of linearity. For example, p-adic sum is equal to real sum only provided the summands have no common binary digits. Furthermore, the condition  $x +_p y < \max\{x, y\}$  holds in general for the p-adic sum of the real numbers. p-Adic multiplication is equivalent with the ordinary multiplication only provided that either of the members of the product is power of  $p$ . Moreover one has  $x \times_p y < x \times y$  in general. The p-Adic negative  $-1_p$  associated with p-adic unit 1 is given by  $(-1)_p = \sum_k (p-1)p^k$  and defines p-adic negative for each real number  $x$ . An interesting possibility is that p-adic linearity might replace the ordinary linearity in some strongly nonlinear systems so these systems would look simple in the p-adic topology.

These results suggest that canonical identification is involved with some deeper mathematical structure. The following inequalities hold true:

$$\begin{aligned} (x + y)_R &\leq x_R + y_R , \\ |x|_p |y|_R &\leq (xy)_R \leq x_R y_R , \end{aligned} \tag{A-4.9}$$

where  $|x|_p$  denotes p-adic norm. These inequalities can be generalized to the case of  $(R_p)^n$  (a linear vector space over the p-adic numbers).

$$\begin{aligned} (x + y)_R &\leq x_R + y_R , \\ |\lambda|_p |y|_R &\leq (\lambda y)_R \leq \lambda_R y_R , \end{aligned} \tag{A-4.10}$$

where the norm of the vector  $x \in T_p^n$  is defined in some manner. The case of Euclidian space suggests the definition



$$(x_R)^2 = \left( \sum_n x_n^2 \right)_R . \quad (\text{A-4.11})$$

These inequalities resemble those satisfied by the vector norm. The only difference is the failure of linearity in the sense that the norm of a scaled vector is not obtained by scaling the norm of the original vector. Ordinary situation prevails only if the scaling corresponds to a power of  $p$ .

These observations suggests that the concept of a normed space or Banach space might have a generalization and physically the generalization might apply to the description of some non-linear systems. The nonlinearity would be concentrated in the nonlinear behavior of the norm under scaling.

### Modified form of the canonical identification

The original form of the canonical identification is continuous but does not respect symmetries even approximately. This led to a search of variants which would do better in this respect. The modification of the canonical identification applying to rationals only and given by

$$I_Q(q = p^k \times \frac{r}{s}) = p^k \times \frac{I(r)}{I(s)} \quad (\text{A-4.12})$$

is uniquely defined for rationals, maps rationals to rationals, has also a symmetry under exchange of target and domain. This map reduces to a direct identification of rationals for  $0 \leq r < p$  and  $0 \leq s < p$ . It has turned out that it is this map which most naturally appears in the applications. The map is obviously continuous locally since p-adically small modifications of  $r$  and  $s$  mean small modifications of the real counterparts.

Canonical identification is in a key role in the successful predictions of the elementary particle masses. The predictions for the light elementary particle masses are within extreme accuracy same for  $I$  and  $I_Q$  but  $I_Q$  is theoretically preferred since the real probabilities obtained from p-adic ones by  $I_Q$  sum up to one in p-adic thermodynamics.

### Generalization of number concept and notion of imbedding space

TGD forces an extension of number concept: roughly a fusion of reals and various p-adic number fields along common rationals is in question. This induces a similar fusion of real and p-adic imbedding spaces. Since finite p-adic numbers correspond always to non-negative reals  $n$ -dimensional space  $R^n$  must be covered by  $2^n$  copies of the p-adic variant  $R_p^n$  of  $R^n$  each of which projects to a copy of  $R_+^n$  (four quadrants in the case of plane). The common points of p-adic and real imbedding spaces are rational points and most p-adic points are at real infinity.

For a given p-adic space-time sheet most points are literally infinite as real points and the projection to the real imbedding space consists of a discrete set of rational points: the interpretation in terms of the unavoidable discreteness of the physical representations of cognition is natural. Purely local p-adic physics implies real p-adic fractality and thus long range correlations for the real space-time surfaces having enough common points with this projection.

p-Adic fractality means that  $M^4$  projections for the rational points of space-time surface  $X^4$  are related by a direct identification whereas  $CP_2$  coordinates of  $X^4$  at these points are related by  $I$ ,  $I_Q$  or some of its variants implying long range correlates for  $CP_2$  coordinates. Since only a discrete set of points are related in this manner, both real and p-adic field equations can be satisfied and there are no problems with symmetries. p-Adic effective topology is expected to be a good approximation only within some length scale range which means infrared and UV cutoffs. Also multi-p-fractality is possible.



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