

A convergent subsequence of $\theta_n(x + iy)$ in a half strip

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Abstract

For $\frac{1}{2} < x < 1$, $y > 0$ and $n \in \mathbb{N}$, let $\theta_n(x + iy) = \sum_{i=1}^n \frac{\text{sgn } q_i}{q_i^{x+iy}}$, where $Q = \{q_1, q_2, q_3, \dots\}$ is the set of finite product of distinct odd primes and $\text{sgn } q = (-1)^k$ if q is the product of k distinct primes. In this paper we prove that there exists an ordering on Q such that $\theta_n(x + iy)$ has a convergent subsequence.

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1 Introduction

Let \mathbb{N} be the set of natural numbers and P be the set of odd primes.

Definition 1.1. For an ordering on $P = \{p_1, p_2, p_3, \dots\}$ and $m \in \mathbb{N}$, let

$$P_m = \{p_1, p_2, \dots, p_m\}.$$

Definition 1.2. Let Q be the set of finite products of distinct odd primes.

$$Q = \{p_1 p_2 \cdots p_k \mid k \in \mathbb{N} \text{ and } p_1, p_2, \dots, p_k \text{ are distinct primes in } P\}$$

and, for each $m \in \mathbb{N}$, let

$$U_m = \{p_1 p_2 \cdots p_k \mid k \in \mathbb{N} \text{ and } p_1, p_2, \dots, p_k \text{ are distinct primes in } P_m\}.$$

Notice that U_m depends on the choice of ordering on P and $U_m \subset U_{m+1}$.

Lemma 1.3. *The number of elements of U_m is $2^m - 1$.*

Proof. Since

$$U_m = \{p_1, \dots, p_m, p_1p_2, \dots, p_{m-1}p_m, p_1p_2p_3, \dots, p_1p_2 \cdots p_m\},$$

the number of elements of U_m is

$$\binom{m}{1} + \binom{m}{2} + \cdots + \binom{m}{m} = 2^m - 1.$$

□

Definition 1.4. Let

$$Q_1 = U_1 \text{ and } Q_m = U_m - U_{m-1} \text{ for each } m = 2, 3, 4, \dots.$$

Notice that

$$Q_m = \{p_m, p_mq \mid q \in U_{m-1}\}, \quad \bigcup_{i=1}^m Q_m = U_m \quad (1)$$

and Q_1, Q_2, Q_3, \dots are mutually disjoint. Notice also that the number of elements of Q_m is

$$(2^m - 1) - (2^{m-1} - 1) = 2^{m-1}.$$

Example 1.5. In the increasing ordering on P , we have

$$p_1 = 3, p_2 = 5, p_3 = 7, \dots.$$

Therefore

$$Q_1 = \{3\}, Q_2 = \{5, 3 \cdot 5\}, Q_3 = \{7, 3 \cdot 7, 5 \cdot 7, 3 \cdot 5 \cdot 7\}, \dots.$$

Definition 1.6. An ordering on P and the following two conditions (C1)-(C2) induce a unique ordering on $Q = \{q_1, q_2, q_3 \cdots\}$.

(C1) $i < j$ if $q_i < q_j$ and $q_i, q_j \in Q_m$ for some m .

(C2) $i < j$ if $q_i \in Q_m, q_j \in Q_n$ for some $m < n$

Note that any ordering on P induces a unique ordering on Q in this way.

Example 1.7. Suppose that P has the increasing ordering. In the induced ordering on Q , we have

$$q_1 = 3, q_2 = 5, q_3 = 15, q_4 = 7, q_5 = 21, q_6 = 35, q_7 = 105, q_8 = 11, \dots.$$

Definition 1.8. For each $q = p_1p_2 \cdots p_k \in Q$, let

$$\text{sgn } q = (-1)^k$$

where p_1, p_2, \dots, p_k are distinct odd primes.

Definition 1.9. Suppose that an ordering is given on $Q = \{q_1, q_2, q_3, \dots\}$. For $\frac{1}{2} < x < 1$, $y > 0$ and $n \in \mathbb{N}$, let

$$\theta_n(x + iy) = \sum_{i=1}^n \frac{\operatorname{sgn} q_i}{q_i^{x+iy}}$$

In this paper we prove

Theorem 1.10. For each $\frac{1}{2} < x < 1$ and $y > 0$, there exists an ordering on P such that, under the induced ordering on Q , $\theta_n(x + iy)$ has a convergent subsequence.

2 Preliminary Theorems

We need the following theorem in the proof of Theorem 1.10.

Theorem 2.1 ([1]). Suppose that $y > 0$, $0 \leq \alpha < 2\pi$ and $0 < K < 1$. Let P^+ be the set of primes p such that $\cos(y \ln p + \alpha) > K$ and P^- the set of primes p such that $\cos(y \ln p + \alpha) < -K$. Then we have

$$\sum_{p \in P^+} \frac{1}{p} = \infty \quad \text{and} \quad \sum_{p \in P^-} \frac{1}{p} = \infty.$$

From the argument in the proof of the Riemann rearrangement theorem, we have

Theorem 2.2 ([4],[5]). For a series $\sum_{i=1}^{\infty} a_i$ of real numbers, suppose that

$$\lim_{i \rightarrow \infty} a_i = 0$$

and let

$$a_i^+ = \max\{a_i, 0\} \quad \text{and} \quad a_i^- = -\min\{a_i, 0\}. \quad (2)$$

If

$$\sum_{i=1}^{\infty} a_i^+ = \sum_{i=1}^{\infty} a_i^- = \infty$$

then there exists a rearrangement such that the series $\sum_{i=1}^{\infty} a_i$ is convergent.

We need the Lévy-Steinitz theorem which is a generalization of the Riemann rearrangement theorem and Theorem 2.2.

Lévy-Steinitz theorem ([5]). The set of all sums of rearrangements of a given series of vectors

$$\sum_{i=1}^{\infty} \mathbf{v}_i$$

in \mathbf{R}^n is either the empty set or a translate of subspace i.e., a set of the form $\mathbf{v} + M$, where \mathbf{v} is a vector and M is a subspace. If the following two conditions (a)-(b) are satisfied then it is nonempty i.e., it has convergent rearrangements.

(a) $\lim_{i \rightarrow \infty} \mathbf{v}_i = \mathbf{0}$

(b) For all vector \mathbf{w} in \mathbb{R}^n ,

$$\sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^+ \quad \text{and} \quad \sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^-$$

are either both finite or both infinite, where we use the notations in eq. (2) and $(\mathbf{v}_i, \mathbf{w})$ is the Euclidean inner product of \mathbf{v}_i and \mathbf{w} .

The Coriolis test is useful in the proof of Theorem 1.10..

Coriolis Test ([6]). If z_i is a sequence of complex numbers such that

$$\sum_{i=1}^{\infty} z_i \quad \text{and} \quad \sum_{i=1}^{\infty} |z_i|^2$$

are convergent, then

$$\prod_{i=1}^{\infty} (1 + z_i)$$

converges.

3 Proof of Theorem 1.10

Definition 3.1. Suppose that P has the increasing ordering. For $\frac{1}{2} < x < 1$ and $y > 0$, let

$$\begin{aligned} \rho(x + iy) &= \frac{1}{2^{x+iy}} + \sum_{i=1}^{\infty} \frac{1}{p_i^{x+iy}} \\ &= \frac{\cos(y \ln 2) - i \sin(y \ln 2)}{2^x} + \sum_{i=1}^{\infty} \frac{\cos(y \ln p_i) - i \sin(y \ln p_i)}{p_i^x} \end{aligned}$$

Lemma 3.2. $\rho(x + iy)$ has a convergent rearrangement and therefore

$$\sum_{i=1}^{\infty} \frac{1}{p_i^{x+iy}} \tag{3}$$

has a convergent rearrangement, too. In other words, P has an ordering such that eq. (3) is convergent.

Proof. Recall that $\frac{1}{2} < x < 1$ and $y > 0$. Let

$$\mathbf{v}_1 = \left(\frac{\cos(y \ln 2)}{2^x}, -\frac{\sin(y \ln 2)}{2^x} \right)$$

and, for $i \in \mathbb{N}$, let

$$\mathbf{v}_{i+1} = \left(\frac{\cos(y \ln p_i)}{p_i^x}, -\frac{\sin(y \ln p_i)}{p_i^x} \right).$$

Since P has the increasing ordering, we have

$$\lim_{i \rightarrow \infty} \mathbf{v}_i = \mathbf{0}. \quad (4)$$

Let

$$\mathbf{w} = r(\cos \alpha, \sin \alpha)$$

be a vector in \mathbb{R}^2 , where $r \geq 0$ and $0 \leq \alpha < 2\pi$. If $r = 0$ then $(\mathbf{v}_i, \mathbf{w}) = 0$ for all $i \in \mathbb{N}$ and therefore

$$\sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^+ = \sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^- = 0. \quad (5)$$

Suppose that $r > 0$. We have

$$\begin{aligned} \mathbf{v}_1 \cdot \mathbf{w} &= \frac{r \cos(y \ln 2) \cos \alpha - r \sin(y \ln 2) \sin \alpha}{2^x} \\ &= \frac{r \cos(y \ln 2 + \alpha)}{2^x} \end{aligned}$$

and

$$\begin{aligned} \mathbf{v}_{i+1} \cdot \mathbf{w} &= \frac{r \cos(y \ln p_i) \cos \alpha - r \sin(y \ln p_i) \sin \alpha}{p_i^x} \\ &= \frac{r \cos(y \ln p_i + \alpha)}{p_i^x} \end{aligned}$$

Let P^+ be the set of primes p such that $\cos(y \ln p + \alpha) > \frac{1}{2}$ and P^- the set of primes p such that $\cos(y \ln p + \alpha) < -\frac{1}{2}$. From Theorem 2.1, we have

$$\begin{aligned} \sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^+ &\geq \sum_{p \in P^+} \frac{r \cos(y \ln p + \alpha)}{p^x} \\ &\geq \frac{r}{2} \sum_{p \in P^+} \frac{1}{p^x} \geq \frac{r}{2} \sum_{p \in P^+} \frac{1}{p} = \infty \end{aligned}$$

and

$$\begin{aligned} \sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^- &\geq - \sum_{p \in P^-} \frac{r \cos(y \ln p + \alpha)}{p^x} \\ &\geq \frac{r}{2} \sum_{p \in P^-} \frac{1}{p^x} \geq \frac{r}{2} \sum_{p \in P^-} \frac{1}{p} = \infty. \end{aligned}$$

Therefore

$$\sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^+ = \sum_{i=1}^{\infty} (\mathbf{v}_i, \mathbf{w})^- = \infty. \quad (6)$$

From eq. (4), (5), (6) and Lévy-Steinitz theorem, we know that the series of vectors in \mathbb{R}^2

$$\sum_{i=1}^{\infty} \mathbf{v}_i$$

has a convergent rearrangement, and therefore $\rho(x + iy)$ has a convergent rearrangement. \square

Lemma 3.3. *Let $z = x + iy$. For all $m \in \mathbb{N}$, we have*

$$\prod_{i=1}^m \left(1 - \frac{1}{p_i^z}\right) - 1 = \sum_{q \in Q_1} \frac{\operatorname{sgn} q}{q^z} + \sum_{q \in Q_2} \frac{\operatorname{sgn} q}{q^z} + \cdots + \sum_{q \in Q_m} \frac{\operatorname{sgn} q}{q^z}.$$

Proof. We use induction on m . If $m = 1$, it is clear. Suppose that it is true for $m = k - 1$. We will show that it is true for $m = k$. From eq. (1), we have

$$\begin{aligned} \prod_{i=1}^k \left(1 - \frac{1}{p_i^z}\right) &= \left(\prod_{i=1}^{k-1} \left(1 - \frac{1}{p_i^z}\right) \right) \left(1 - \frac{1}{p_k^z}\right) \\ &= \left(1 + \sum_{q \in Q_1} \frac{\operatorname{sgn} q}{q^z} + \cdots + \sum_{q \in Q_{k-1}} \frac{\operatorname{sgn} q}{q^z}\right) \left(1 - \frac{1}{p_k^z}\right) \\ &= \left(1 + \sum_{q \in Q_1} \frac{\operatorname{sgn} q}{q^z} + \cdots + \sum_{q \in Q_{k-1}} \frac{\operatorname{sgn} q}{q^z}\right) \\ &\quad - \frac{1}{p_k^z} \left(1 + \sum_{q \in Q_1} \frac{\operatorname{sgn} q}{q^z} + \cdots + \sum_{q \in Q_{k-1}} \frac{\operatorname{sgn} q}{q^z}\right) \\ &= \left(1 + \sum_{q \in Q_1} \frac{\operatorname{sgn} q}{q^z} + \cdots + \sum_{q \in Q_{k-1}} \frac{\operatorname{sgn} q}{q^z}\right) - \frac{1}{p_k^z} \left(1 + \sum_{q \in U_{k-1}} \frac{\operatorname{sgn} q}{q^z}\right) \\ &= 1 + \sum_{q \in Q_1} \frac{\operatorname{sgn} q}{q^z} + \cdots + \sum_{q \in Q_{k-1}} \frac{\operatorname{sgn} q}{q^z} + \sum_{q \in Q_k} \frac{\operatorname{sgn} q}{q^z} \end{aligned}$$

\square

Now we can prove Theorem 1.10.

Proof of Theorem 1.10

By Lemma 3.2, we can choose an ordering on P such that

$$\sum_{i=1}^{\infty} \frac{1}{p_i^{x+iy}}$$

is convergent. From now on, we assume that P has the chosen ordering, and Q has the induced ordering.

Since $\frac{1}{2} < x < 1$,

$$\sum_{i=1}^{\infty} \left| \frac{1}{p_i^{x+iy}} \right|^2 = \sum_{i=1}^{\infty} \frac{1}{p_i^{2x}}$$

is convergent. Therefore, by the Coriolis test,

$$\prod_{i=1}^{\infty} \left(1 - \frac{1}{p_i^{x+iy}} \right)$$

is convergent. By Lemma 3.3, Lemma 1.3 and eq. (1), we have

$$\begin{aligned} \prod_{i=1}^m \left(1 - \frac{1}{p_i^{x+iy}} \right) - 1 &= \sum_{q \in Q_1} \frac{\operatorname{sgn} q}{q^{x+iy}} + \sum_{q \in Q_2} \frac{\operatorname{sgn} q}{q^z} + \cdots + \sum_{q \in Q_m} \frac{\operatorname{sgn} q}{q^{x+iy}} \\ &= \sum_{q \in U_m} \frac{\operatorname{sgn} q}{q^{x+iy}} \\ &= \sum_{i=1}^{2^m-1} \frac{\operatorname{sgn} q_i}{q_i^{x+iy}}. \end{aligned}$$

Therefore

$$\theta_{2^m-1}(x+iy) = \sum_{q \in U_m} \frac{\operatorname{sgn} q}{q^{x+iy}} \quad (7)$$

is a convergent subsequence of $\theta_n(x+iy)$. \square

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